

# Search for CP-violating Non-standard Interactions at the NOvA Experiment

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On Behalf of the NOvA Collaboration

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THE UNIVERSITY of  
**MISSISSIPPI**



# NOvA Experiment

- Neutrino oscillation
- Charge parity (CP) violation
- Neutrino mass ordering
- Physics beyond the Standard Model





# NOvA Experiment

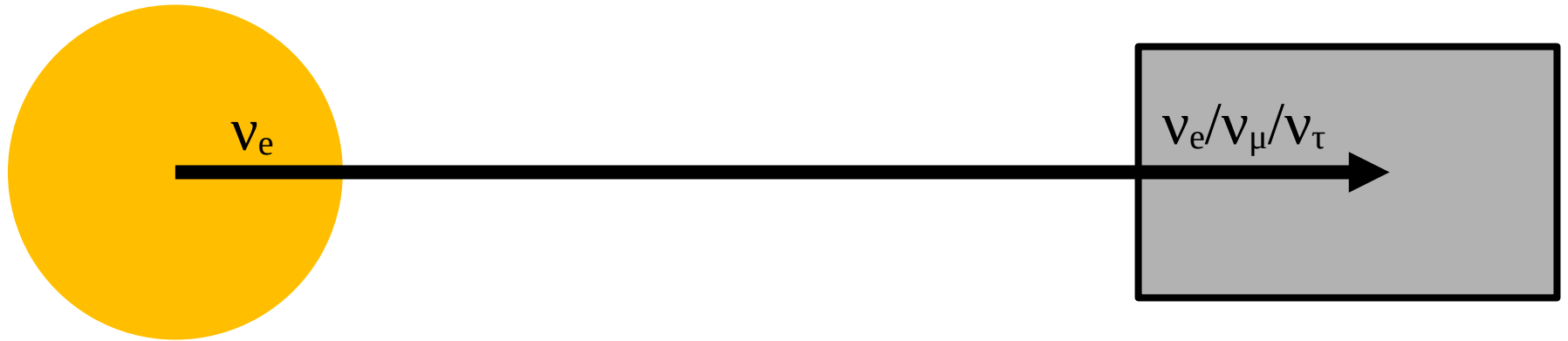
- Neutrino oscillation
- Charge parity (CP) violation
- Neutrino mass ordering
- Physics beyond the Standard Model

→ Non-standard Interactions



# Neutrino Oscillation

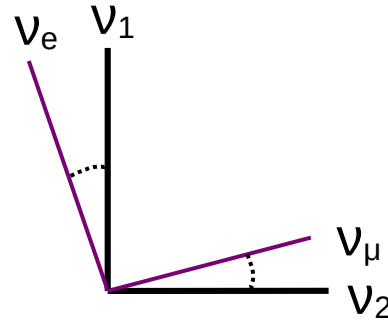
- As neutrinos propagate, they change flavor
- A direct consequence of neutrino masses
  - One of the few unexplained hiccups in the standard model



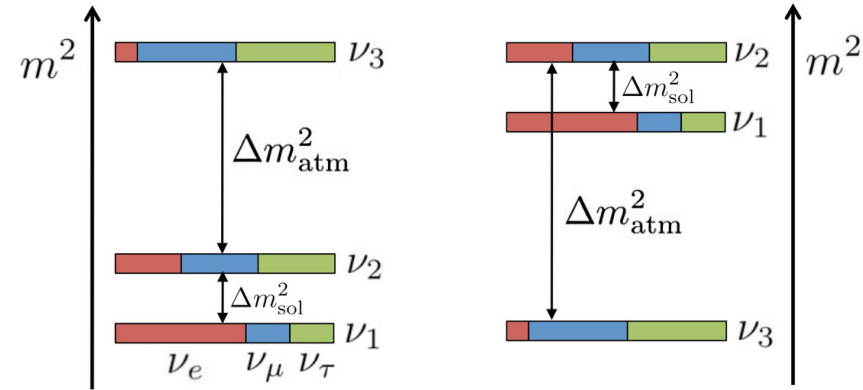
Next slide: The model

# Oscillation Model

$$\mathcal{H} = U \begin{pmatrix} 0 & 0 & 0 \\ 0 & \Delta_{21} & 0 \\ 0 & 0 & \Delta_{31} \end{pmatrix} U^\dagger$$

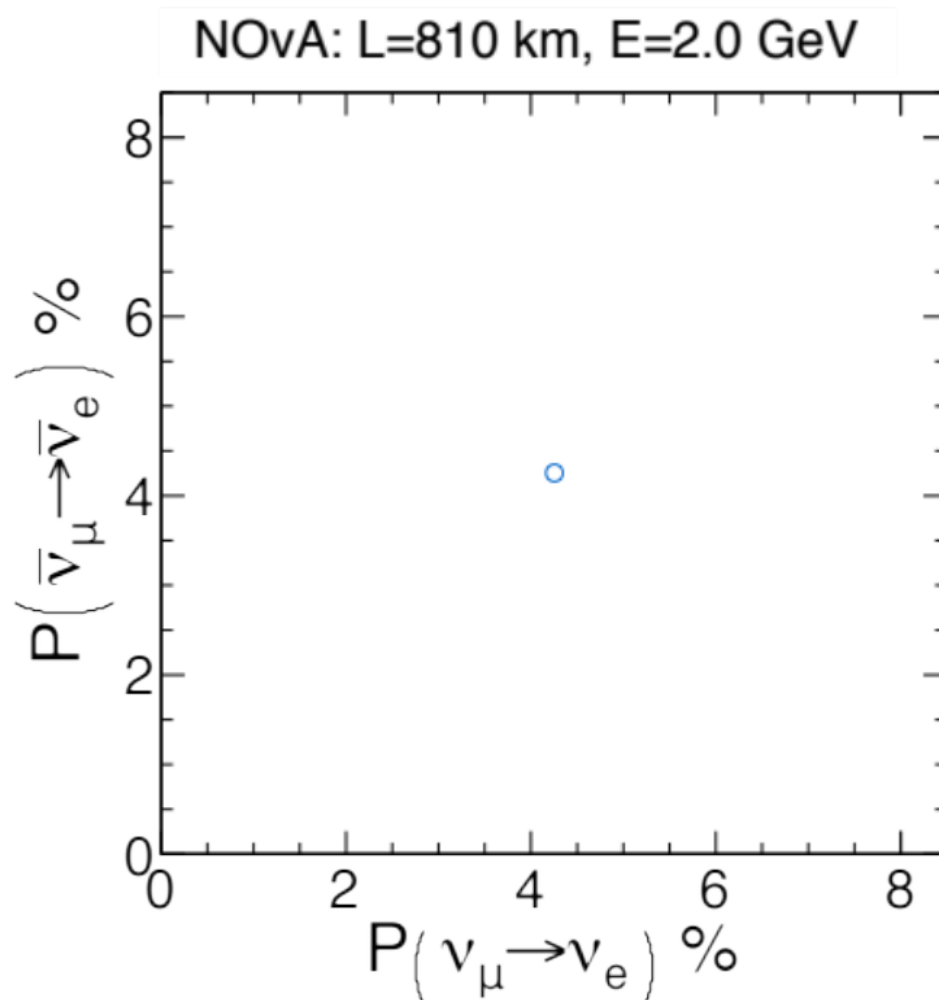


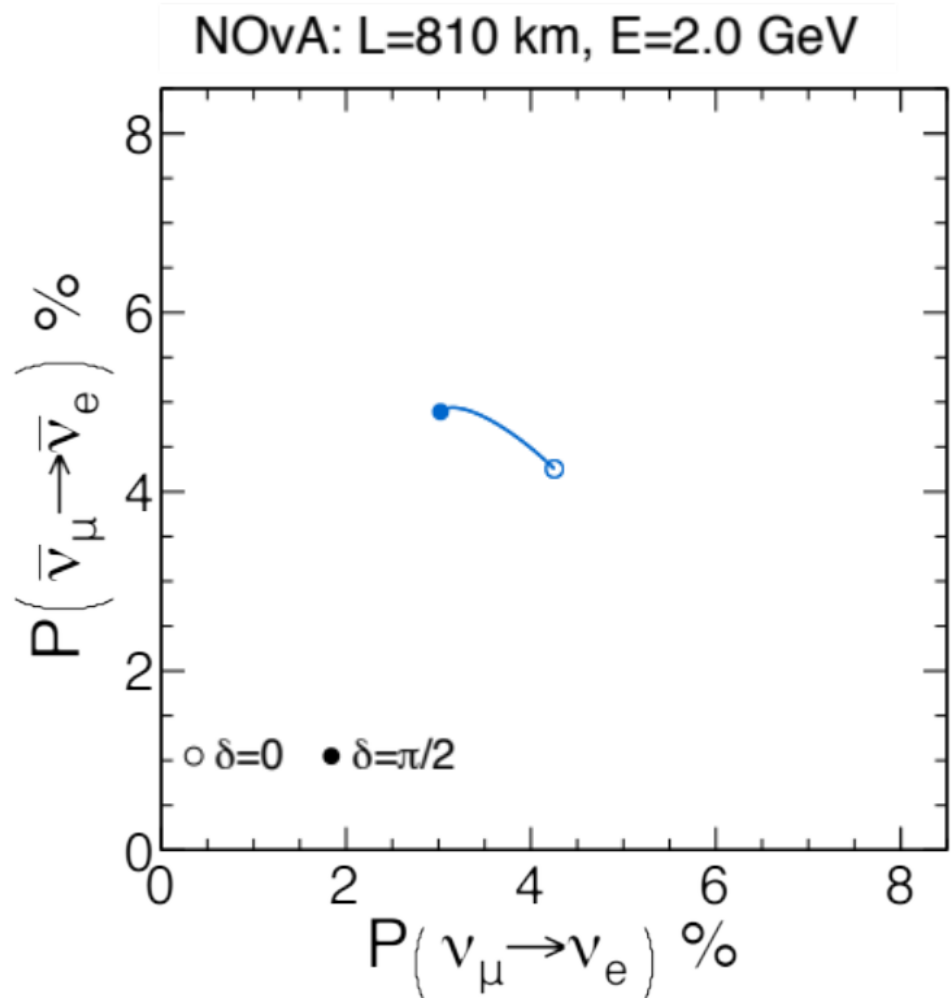
Normal Ordering (NO)    Inverted Ordering (IO)



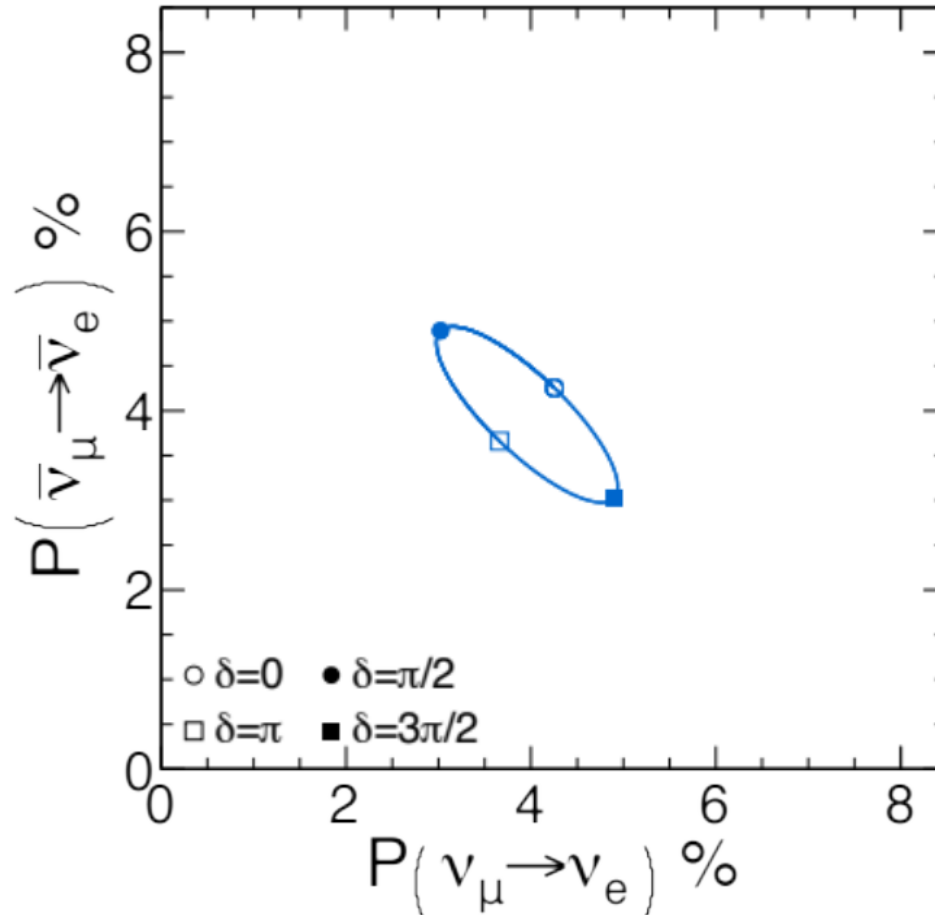
Courtesy of the JUNO collaboration

$$U = \begin{bmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{bmatrix} \begin{bmatrix} c_{13} & 0 & s_{13}e^{-i\delta_{cp}} \\ 0 & 1 & 0 \\ s_{13}e^{-i\delta_{cp}} & 0 & c_{13} \end{bmatrix} \begin{bmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 0 \end{bmatrix}$$





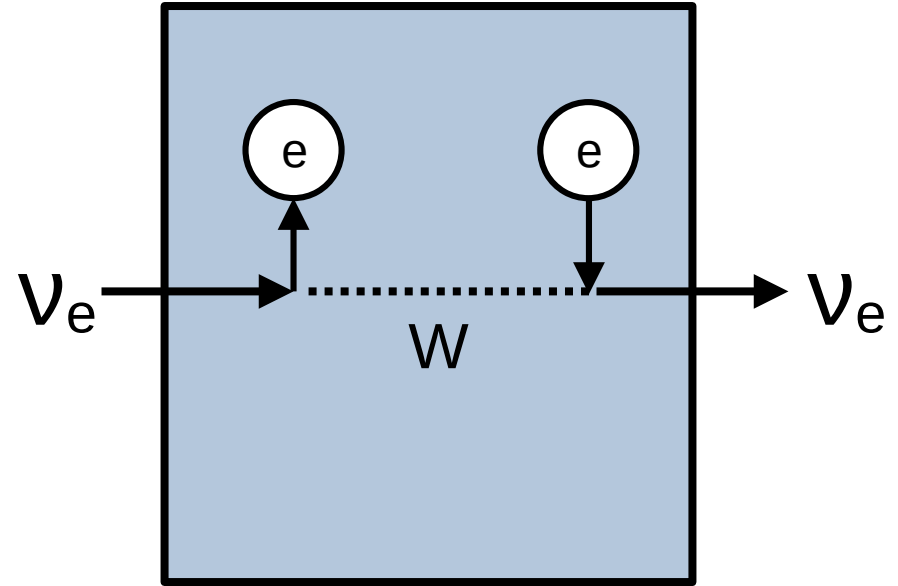
NOvA: L=810 km, E=2.0 GeV



# Matter Effects

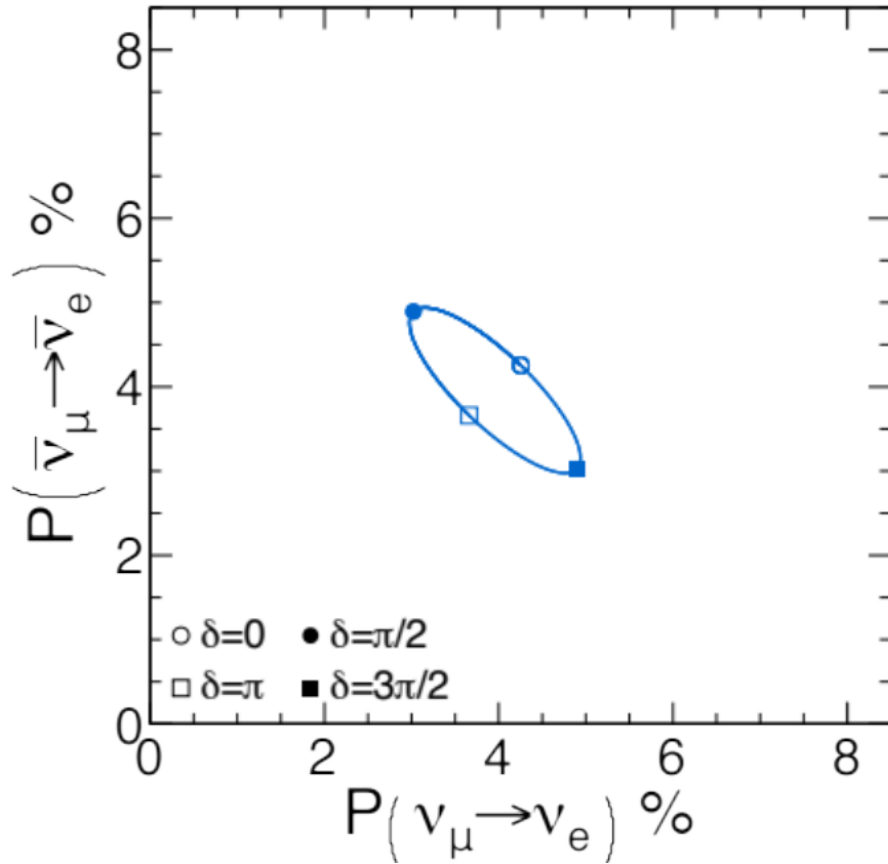
# Mikheyev–Smirnov–Wolfenstein (MSW) Effect

- $\nu_e$  different from  $\nu_\mu$  and  $\nu_\tau$  in matter
- $\nu_e$  scatters coherently against matter's electron cloud
  - Similar to how light scatters, causing refraction
- Reversed for anti-neutrinos

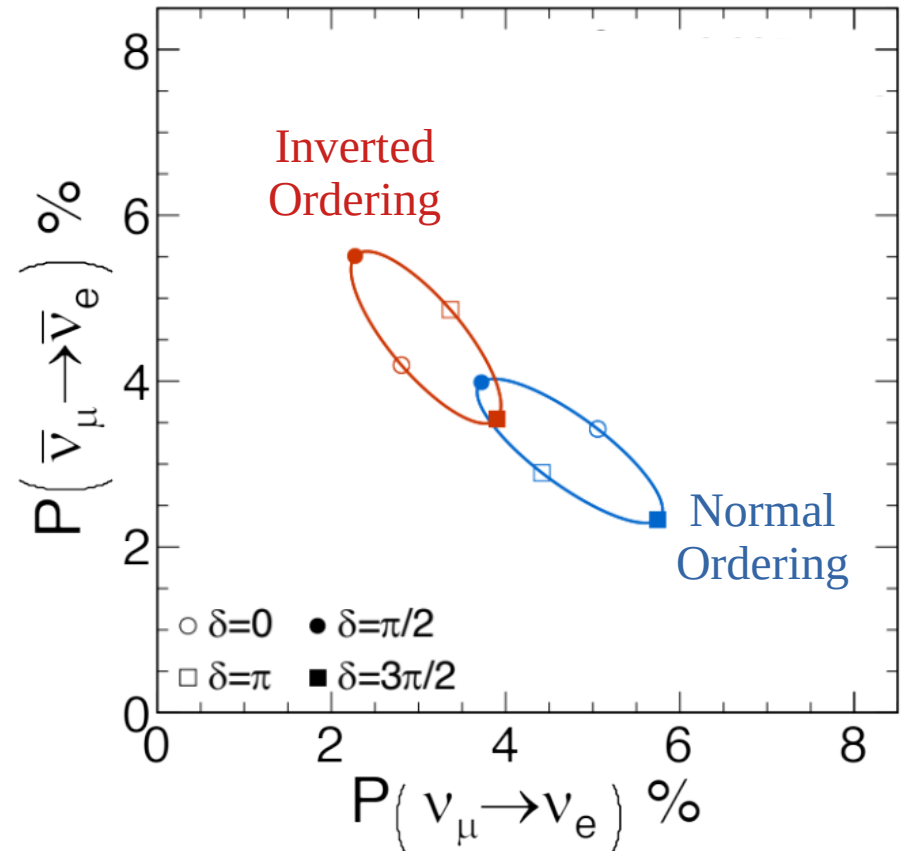




No Matter Effect



With Matter Effect



# Matter Effect Model

$$\mathcal{H} = U \begin{pmatrix} 0 & 0 & 0 \\ 0 & \Delta_{21} & 0 \\ 0 & 0 & \Delta_{31} \end{pmatrix} U^\dagger + V \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

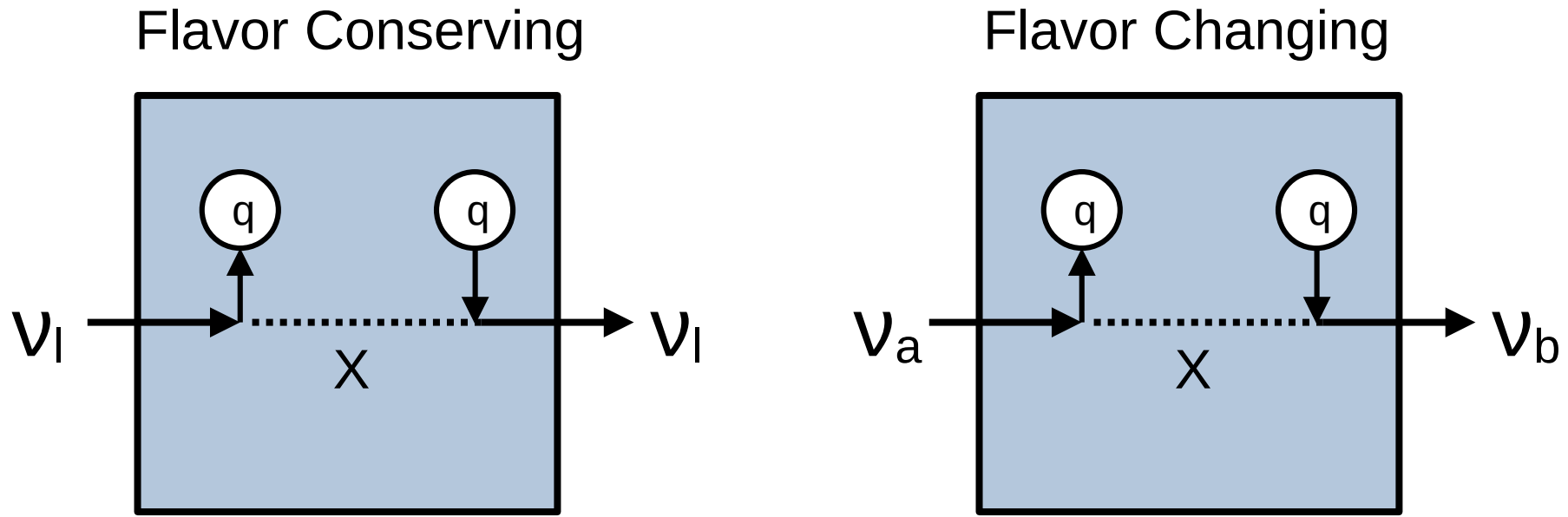
$$V = V_e - V_{\text{other}} = \sqrt{2}G_F n_e$$

Density of electron cloud  
which can change based on position.  
Ultimately leads to resonances when  
oscillation frequency  $\sim$  MSW frequency

# Non-Standard Interactions

# Non-Standard Interactions (NSI)

- NSI are an BSM extension of the standard matter effect



$q$  = constituents of matter: electrons, up/down quark

# Non-Standard Interactions (NSI)

- Effective approach

$$\mathcal{H} = U \begin{bmatrix} 0 & 0 & 0 \\ 0 & \Delta_{21} & 0 \\ 0 & 0 & \Delta_{31} \end{bmatrix} U^\dagger + \sum_f V_f \begin{bmatrix} \delta_{ef} + \varepsilon_{ee}^f & \varepsilon_{e\mu}^f & \varepsilon_{e\tau}^f \\ \varepsilon_{e\mu}^{f*} & \varepsilon_{\mu\mu}^f & \varepsilon_{\mu\tau}^f \\ \varepsilon_{e\tau}^{f*} & \varepsilon_{\mu\tau}^{f*} & \varepsilon_{\tau\tau}^f \end{bmatrix}$$

f = e, u, d

# Non-Standard Interactions (NSI)

- Off-diagonal terms can be complex
  - Complex phases  $\delta_{\alpha\beta}$

$$\mathcal{H} = U \begin{bmatrix} 0 & 0 & 0 \\ 0 & \Delta_{21} & 0 \\ 0 & 0 & \Delta_{31} \end{bmatrix} U^\dagger + \sum_f V_f \begin{bmatrix} \delta_{ef} + \varepsilon_{ee}^f & \varepsilon_{e\mu}^f & \varepsilon_{e\tau}^f \\ \varepsilon_{e\mu}^{f*} & \varepsilon_{\mu\mu}^f & \varepsilon_{\mu\tau}^f \\ \varepsilon_{e\tau}^{f*} & \varepsilon_{\mu\tau}^{f*} & \varepsilon_{\tau\tau}^f \end{bmatrix}$$

$f = e, u, d$

$$\varepsilon_{\alpha\beta}^f = |\varepsilon_{\alpha\beta}^f| e^{i\delta_{\alpha\beta}^f}$$

# Experimental Simplification

$$\mathcal{H} = U \begin{bmatrix} 0 & 0 & 0 \\ 0 & \Delta_{21} & 0 \\ 0 & 0 & \Delta_{31} \end{bmatrix} U^\dagger + \sum_f V_f \begin{bmatrix} \delta_{ef} + \varepsilon_{ee}^f & \varepsilon_{e\mu}^f & \varepsilon_{e\tau}^f \\ \varepsilon_{e\mu}^{f*} & \varepsilon_{\mu\mu}^f & \varepsilon_{\mu\tau}^f \\ \varepsilon_{e\tau}^{f*} & \varepsilon_{\mu\tau}^{f*} & \varepsilon_{\tau\tau}^f \end{bmatrix}$$

Redefine sum of matrices to single effective matrix

# Experimental Simplification

$$\mathcal{H} = U \begin{bmatrix} 0 & 0 & 0 \\ 0 & \Delta_{21} & 0 \\ 0 & 0 & \Delta_{31} \end{bmatrix} U^\dagger + \sum_f V_f \begin{bmatrix} \delta_{ef} + \varepsilon_{ee}^f & \varepsilon_{e\mu}^f & \varepsilon_{e\tau}^f \\ \varepsilon_{e\mu}^{f*} & \varepsilon_{\mu\mu}^f & \varepsilon_{\mu\tau}^f \\ \varepsilon_{e\tau}^{f*} & \varepsilon_{\mu\tau}^{f*} & \varepsilon_{\tau\tau}^f \end{bmatrix}$$

Redefine sum of matrices to single effective matrix

$$\mathcal{H} = U \begin{pmatrix} 0 & 0 & 0 \\ 0 & \Delta_{21} & 0 \\ 0 & 0 & \Delta_{31} \end{pmatrix} U^\dagger + V \begin{pmatrix} \delta_e + \varepsilon_{ee} & \varepsilon_{e\mu} & \varepsilon_{e\tau} \\ (\varepsilon_{e\mu})^* & \varepsilon_{\mu\mu} & \varepsilon_{\mu\tau} \\ (\varepsilon_{e\tau})^* & (\varepsilon_{\mu\tau})^* & \varepsilon_{\tau\tau} \end{pmatrix}$$

Where  $\varepsilon = 1 \rightarrow$  same size as MSW effect

Assume all NSI comes from electrons

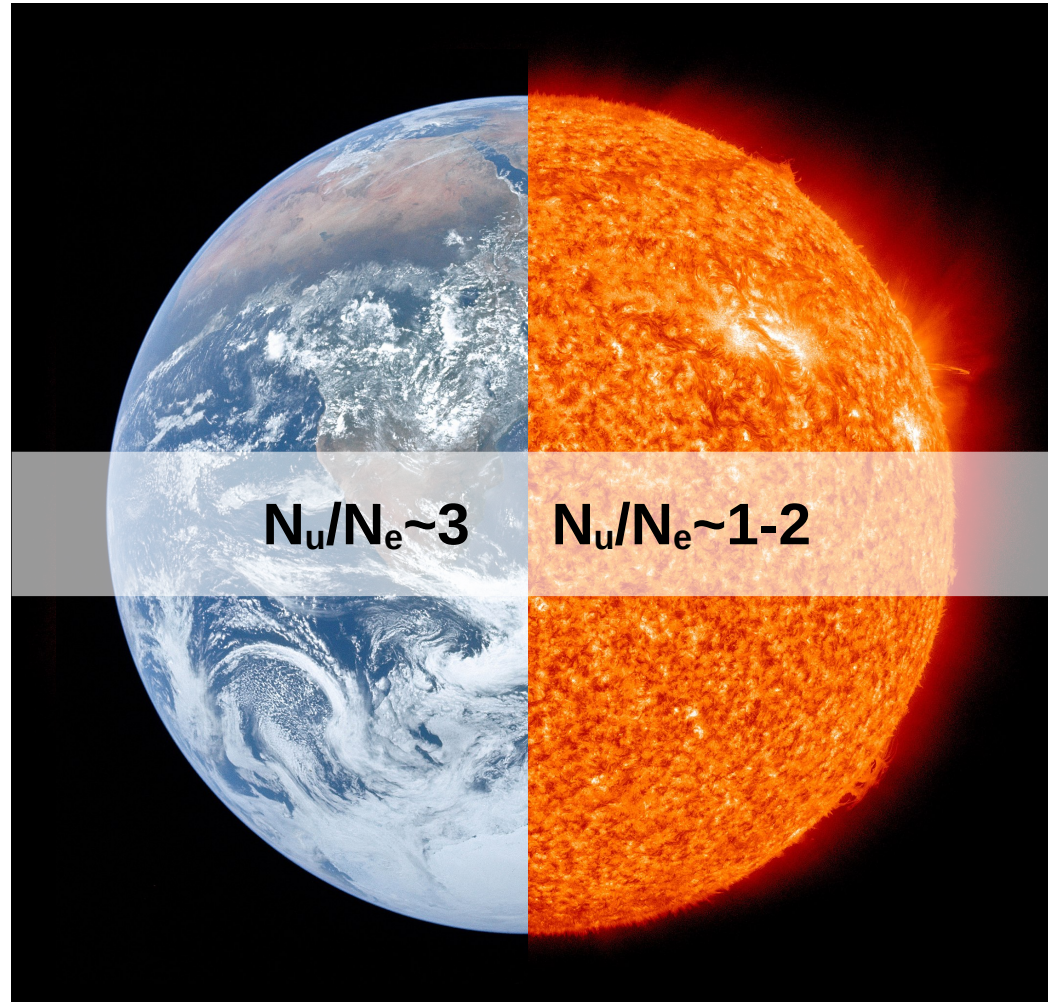
and correct if theory says up or down quark.



# Correction Factors



# Careful



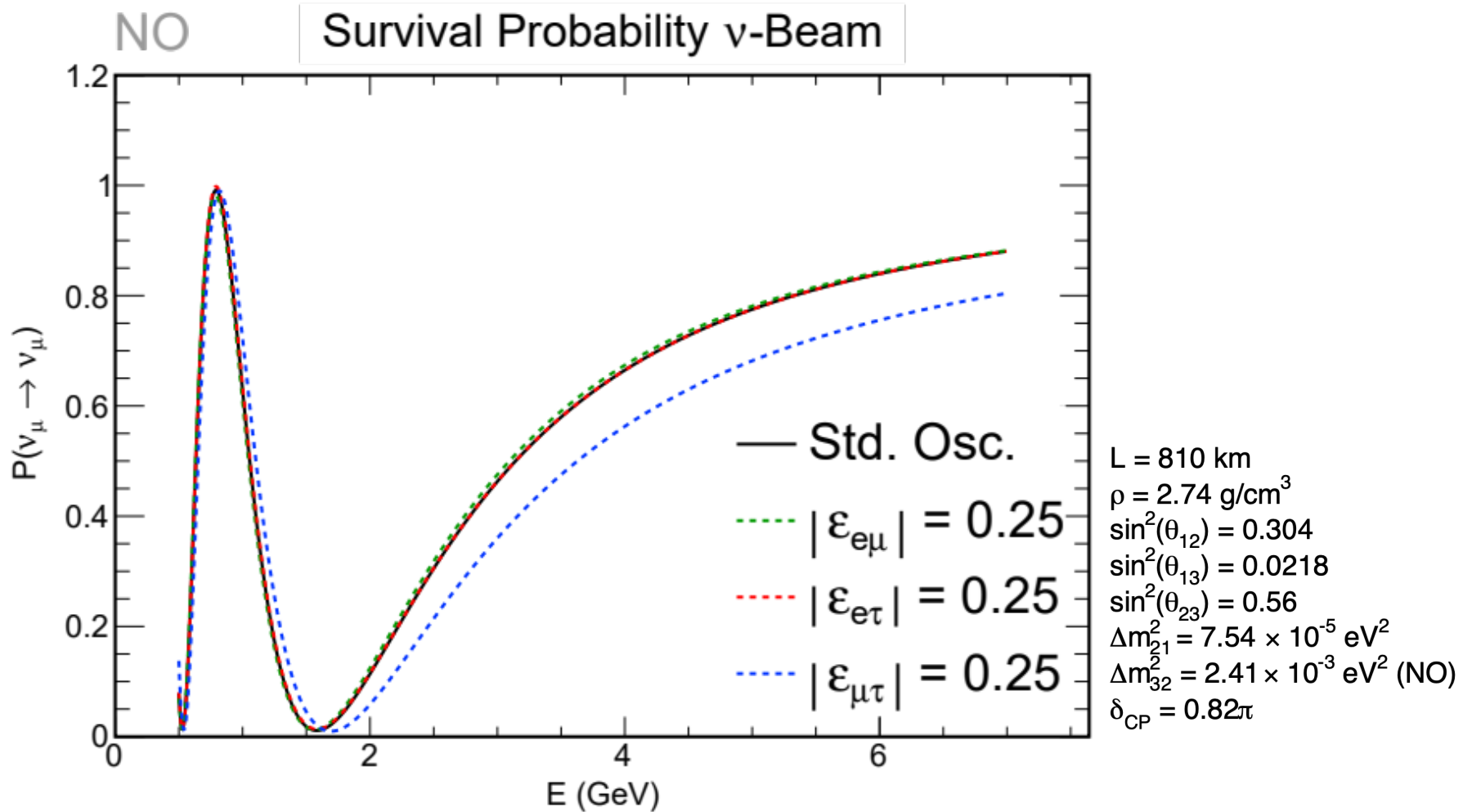
# Non-Standard Interactions (NSI)

$$\mathcal{H} = U \begin{pmatrix} 0 & 0 & 0 \\ 0 & \Delta_{21} & 0 \\ 0 & 0 & \Delta_{31} \end{pmatrix} U^\dagger + V \begin{pmatrix} \delta_e + \varepsilon_{ee} & \varepsilon_{e\mu} & \varepsilon_{e\tau} \\ (\varepsilon_{e\mu})^* & \varepsilon_{\mu\mu} & \varepsilon_{\mu\tau} \\ (\varepsilon_{e\tau})^* & (\varepsilon_{\mu\tau})^* & \varepsilon_{\tau\tau} \end{pmatrix}$$

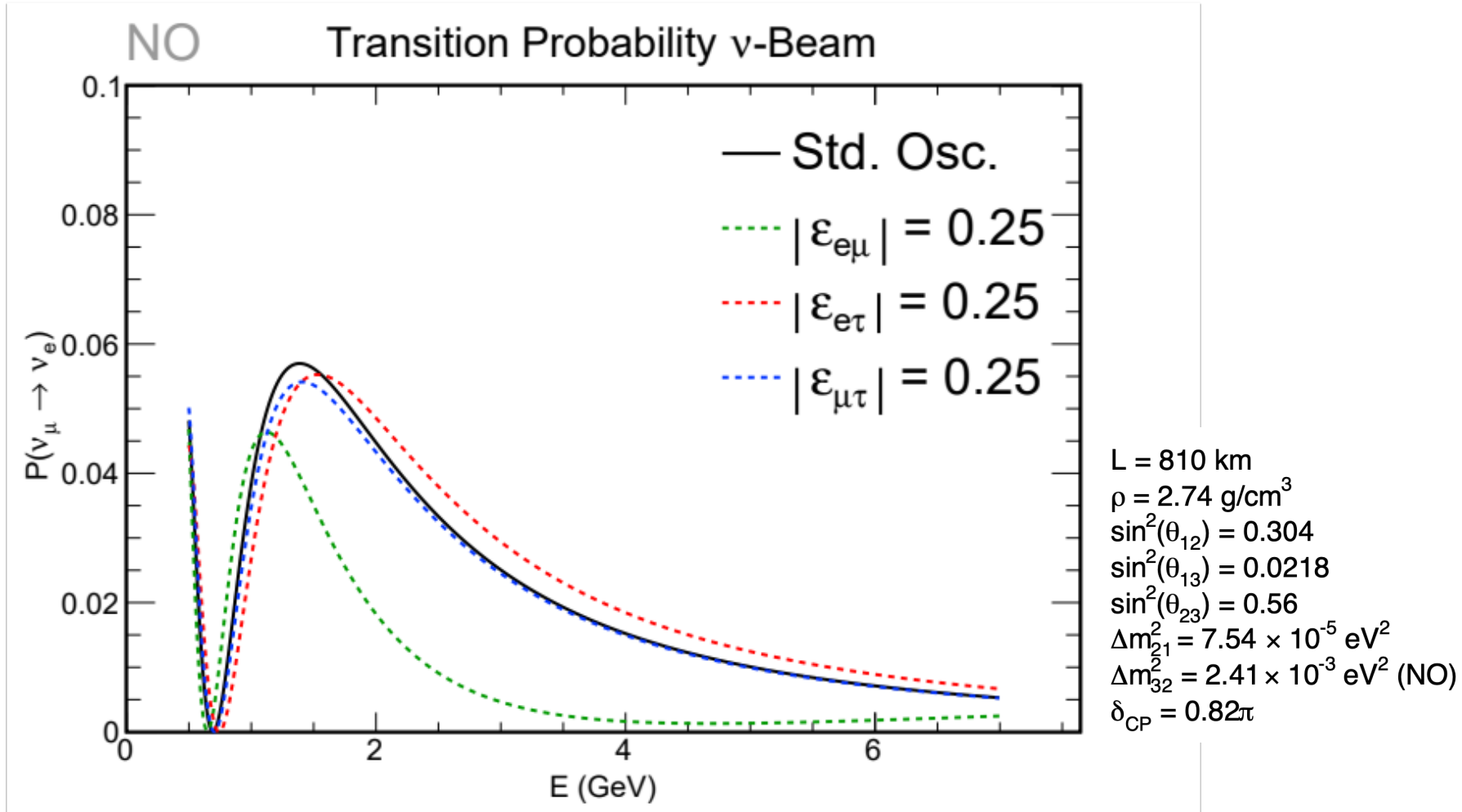
- Off-diagonal terms can be written with a CP violating phase

$$\varepsilon_{\alpha\beta} = |\varepsilon_{\alpha\beta}| e^{i\delta_{\alpha\beta}}$$

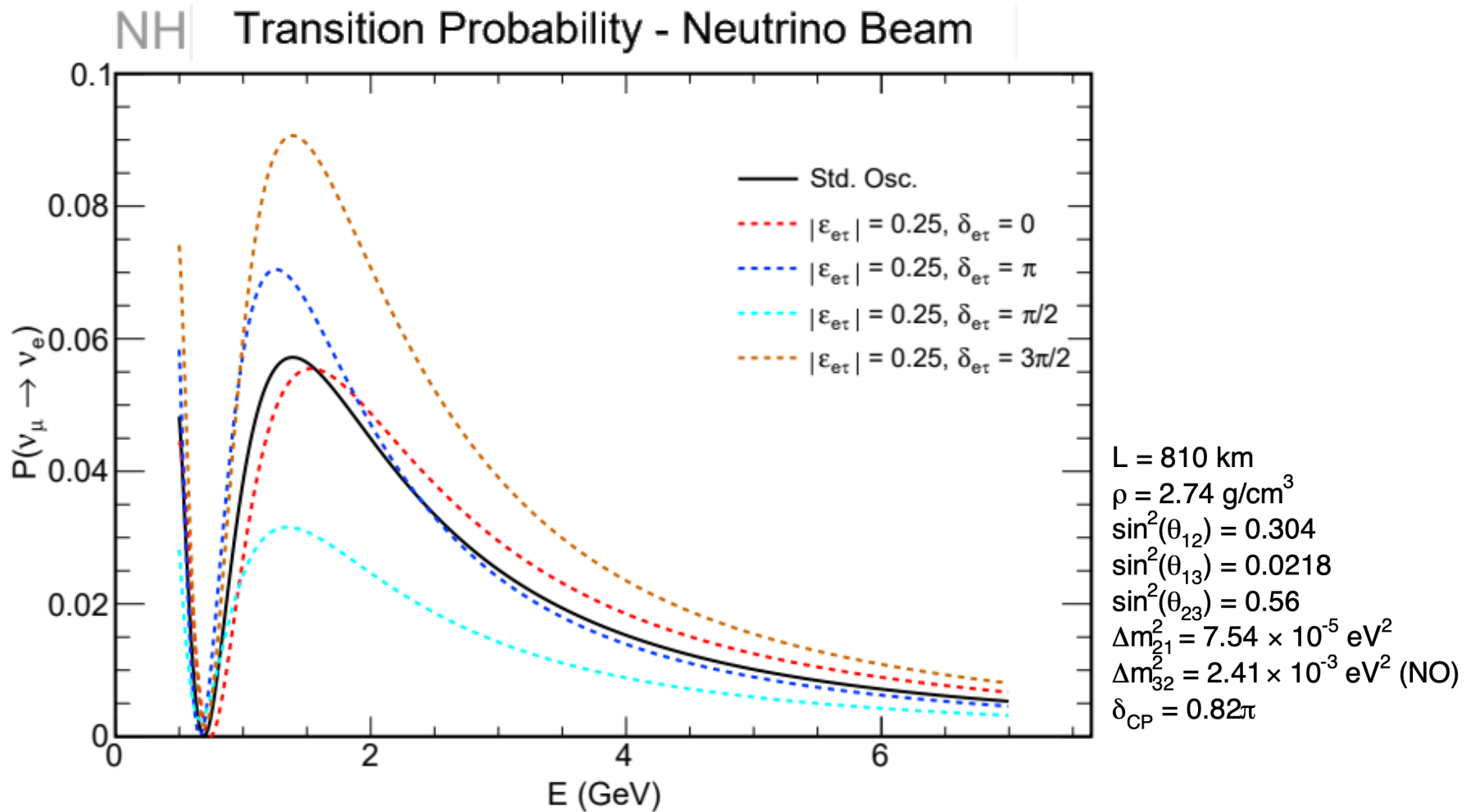
# Effect of Each Parameter



# Effect of Each Parameter

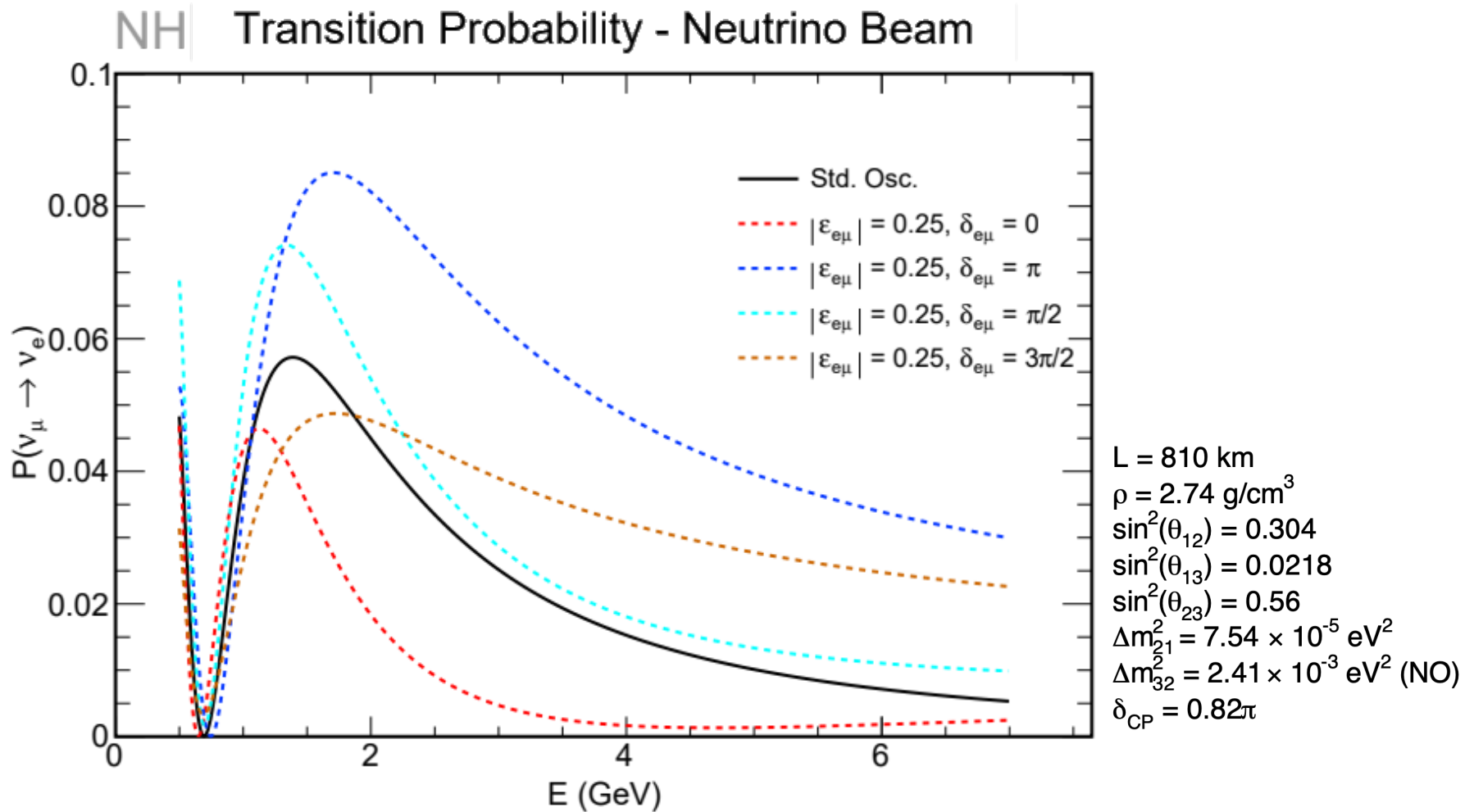


# Effect of Phase: $e\tau$ sector





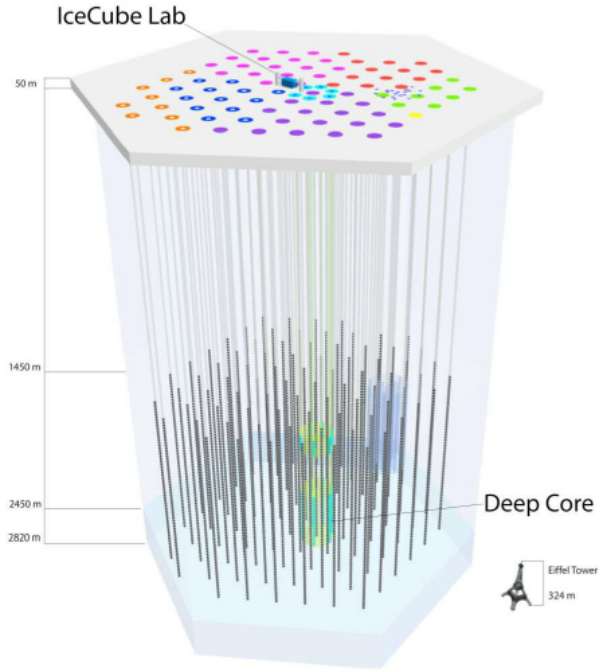
# Effect of Phase: $e\mu$ sector



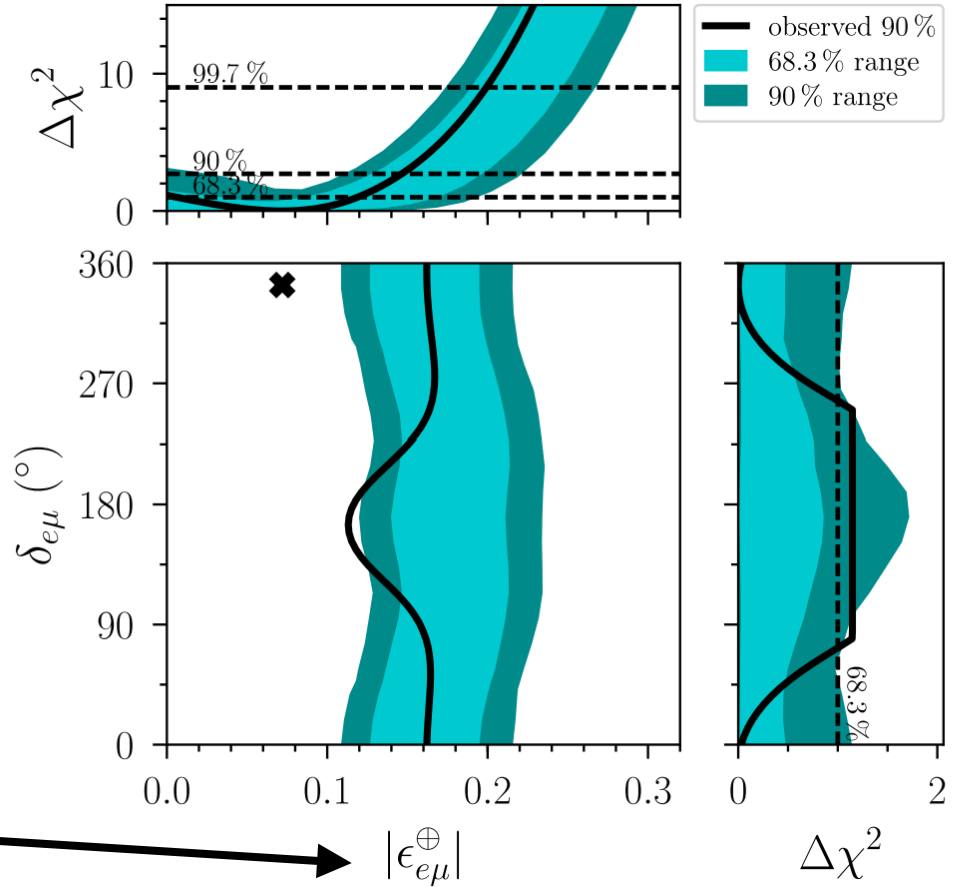
# What we know



# Icecube



$$\epsilon_{\alpha\beta} = |\epsilon_{\alpha\beta}| e^{i\delta_{\alpha\beta}}$$

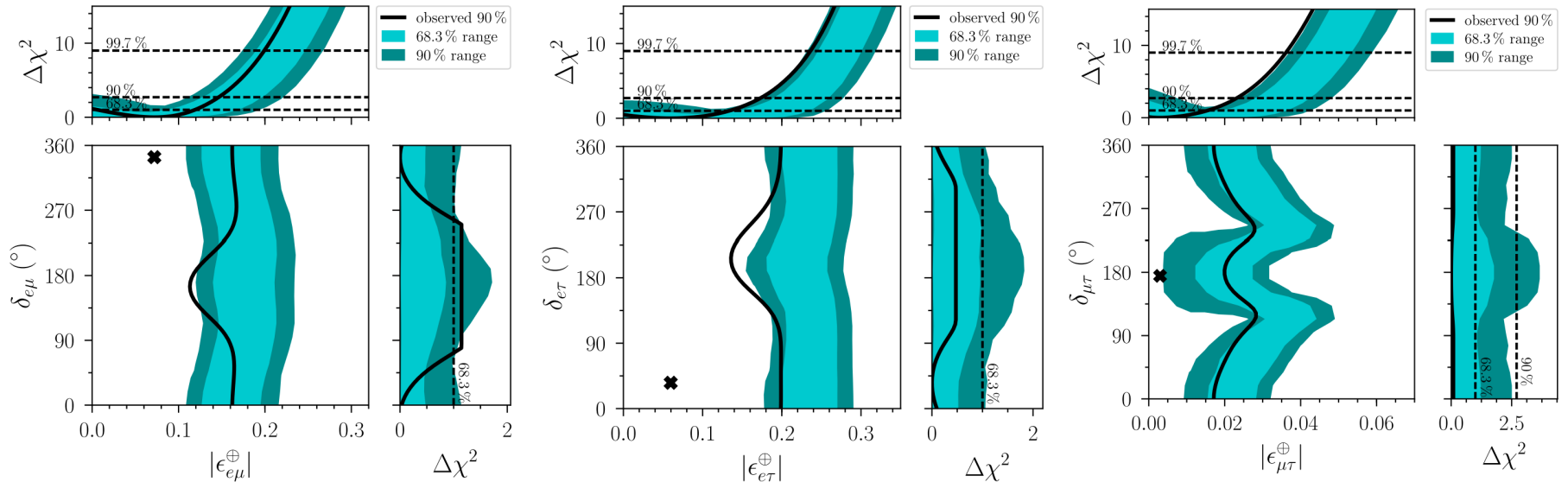


Phys. Rev. D104(Oct, 2021) 072006

# Icecube

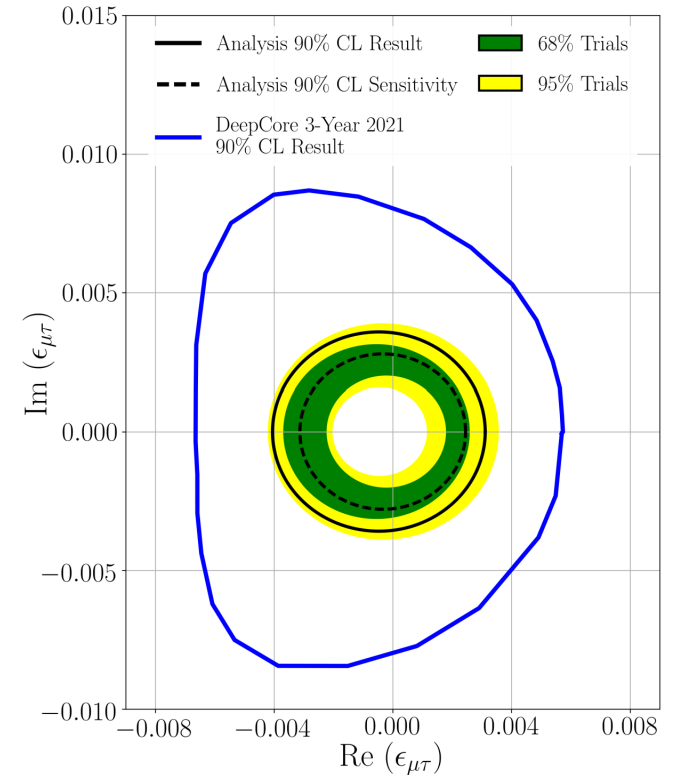
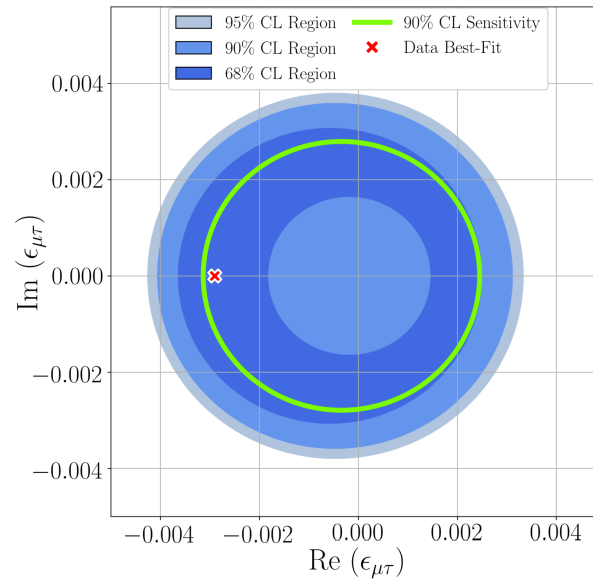
- Very tight constraints on NSI using atmospheric neutrinos
- Assumes  $\delta_{CP} = 0$

Phys. Rev. D104(Oct, 2021) 072006



# Icecube: Tighter $\mu\tau$ Limits

- Measuring  $\text{Re}(\epsilon_{\mu\tau})$  &  $\text{Im}(\epsilon_{\mu\tau})$
- Using up to TeV level neutrinos



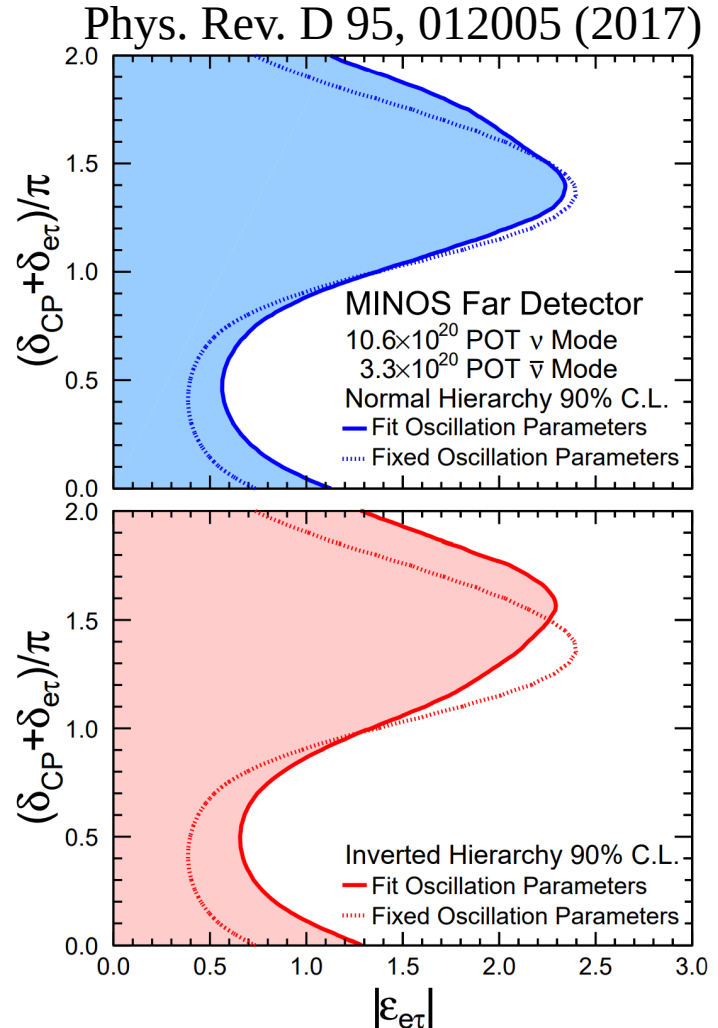
Phys. Rev. Lett. 129, 011804

# $\delta_{CP}$ and $\delta_{e\tau}$

- $P(\nu_\mu \rightarrow \nu_e)$ 
  - $\sim \sin \delta_{CP}$  &  $\cos \delta_{CP}$  terms
  - $\sim \varepsilon_{e\tau} \sin (\delta_{CP} + \delta_{e\tau}), \varepsilon_{e\tau} \cos (\delta_{CP} + \delta_{e\tau})$
- A  $\varepsilon_{e\tau}$  grows,  $\delta_{CP} + \delta_{e\tau}$  terms become dominant effect
  - Similar in  $\varepsilon_{e\mu}$

# MINOS

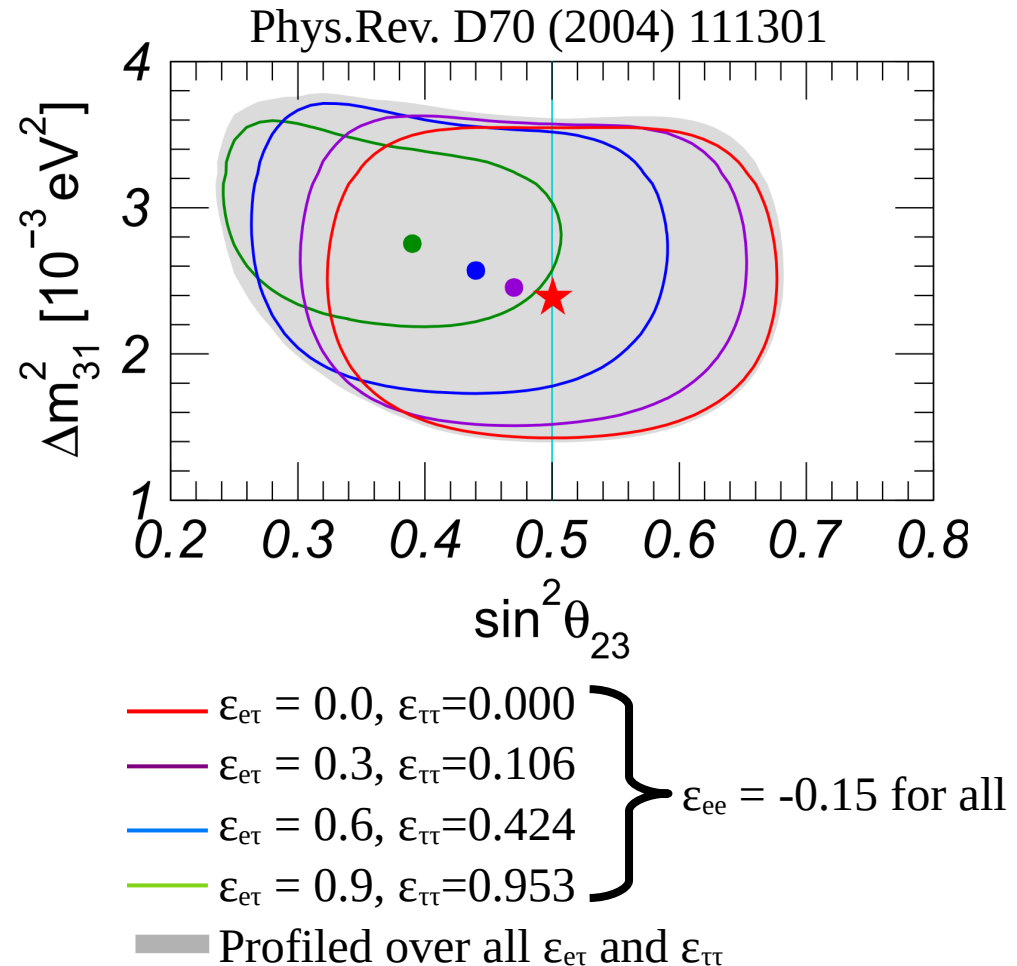
- Measure vs  $\delta_{cp} + \delta_{et}$ 
  - Largest terms are proportional to  $\varepsilon_{e\tau} \cos(\delta_{cp} + \delta_{et})$
  - Profile over the difference  $\delta_{cp} - \delta_{et}$



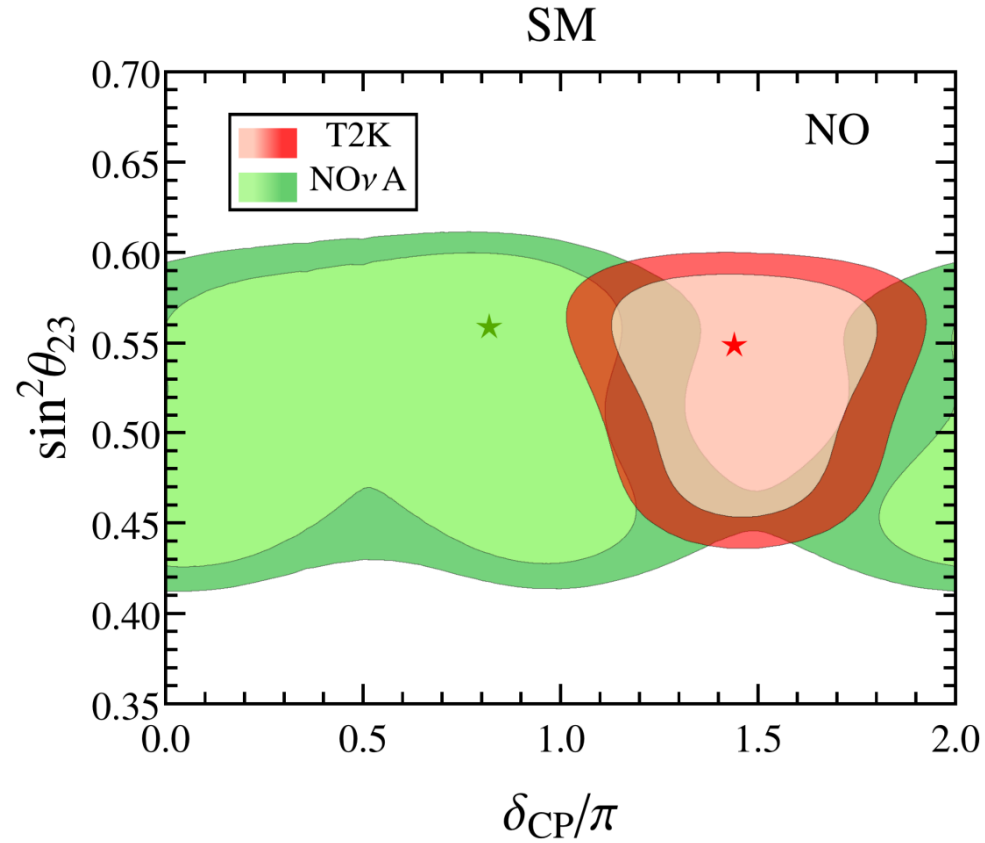
# NSI's Effects on Standard Neutrino Oscillation Results

# Effect on Std. Osc. Parameters

- Presence of NSI can bias interpretation of std. osc. parameters



# T2K-NO $\nu$ A + NSI

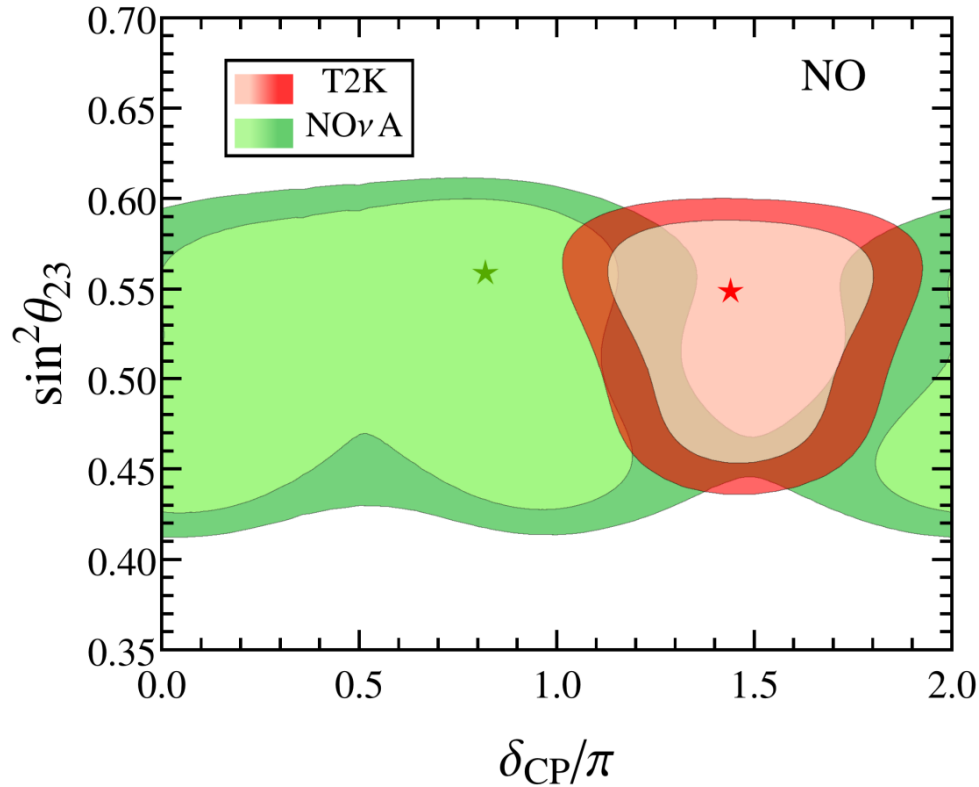


Phys. Rev. Lett. 126, 051802 (2021)

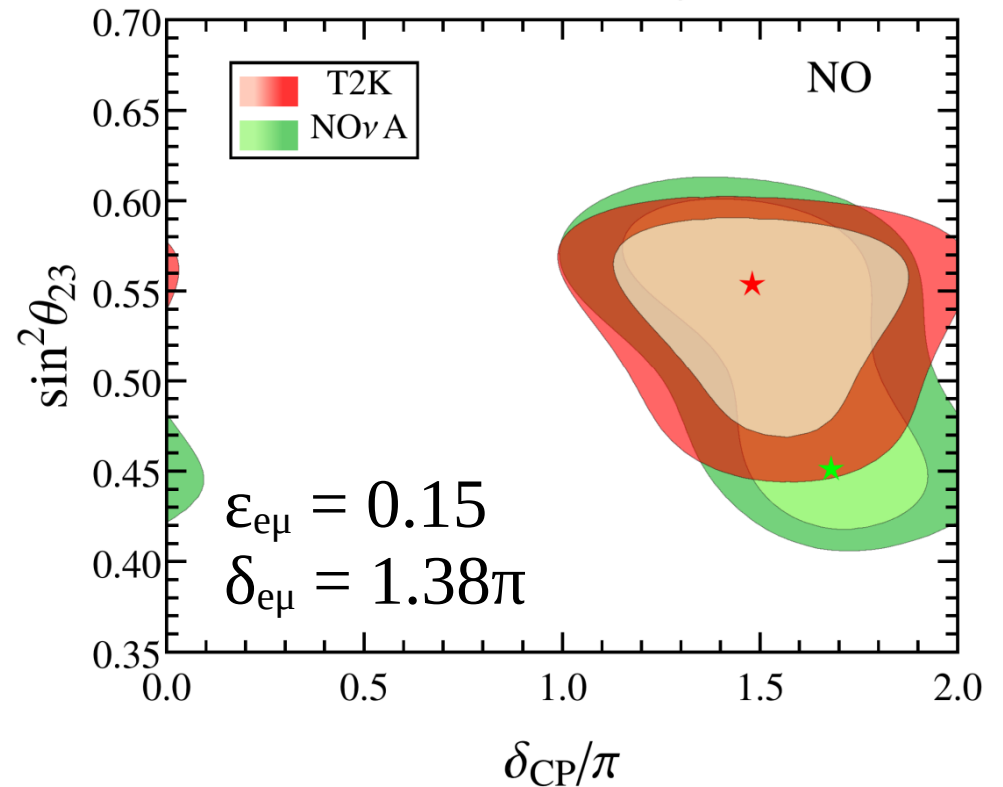


# T2K-NO $\nu$ A + NSI

SM



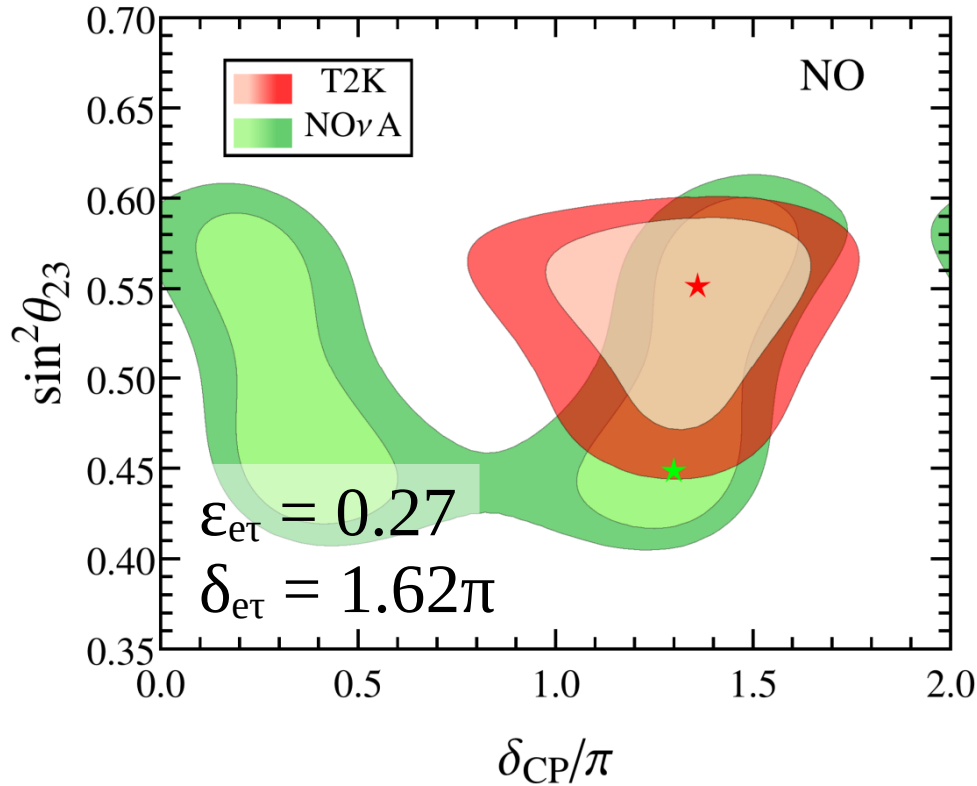
SM + NSI ( $\epsilon_{e\mu}$ )



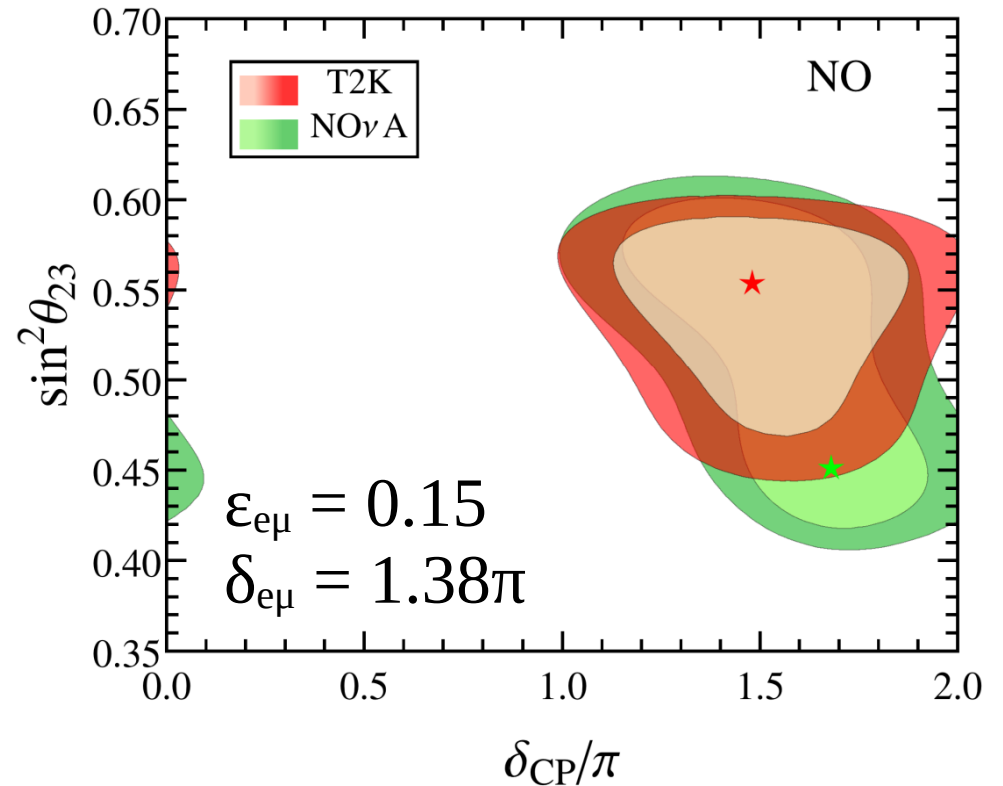
Phys. Rev. Lett. 126, 051802 (2021)

# T2K-NO $\nu$ A + NSI

SM + NSI ( $\epsilon_{e\tau}$ )



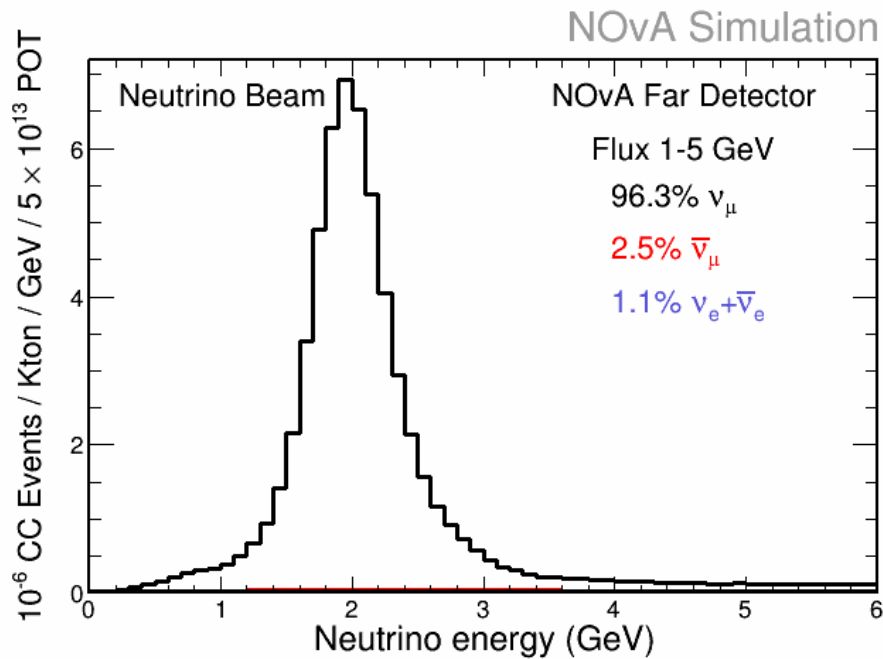
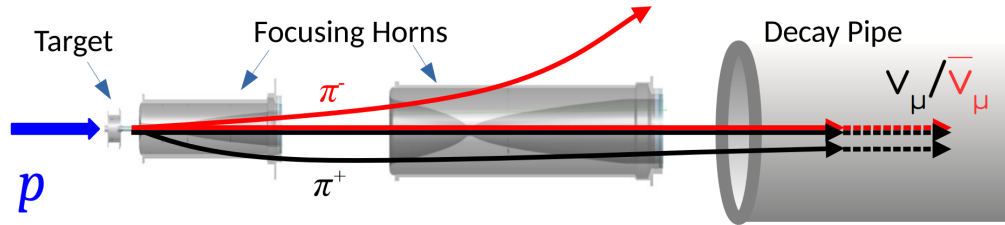
SM + NSI ( $\epsilon_{e\mu}$ )



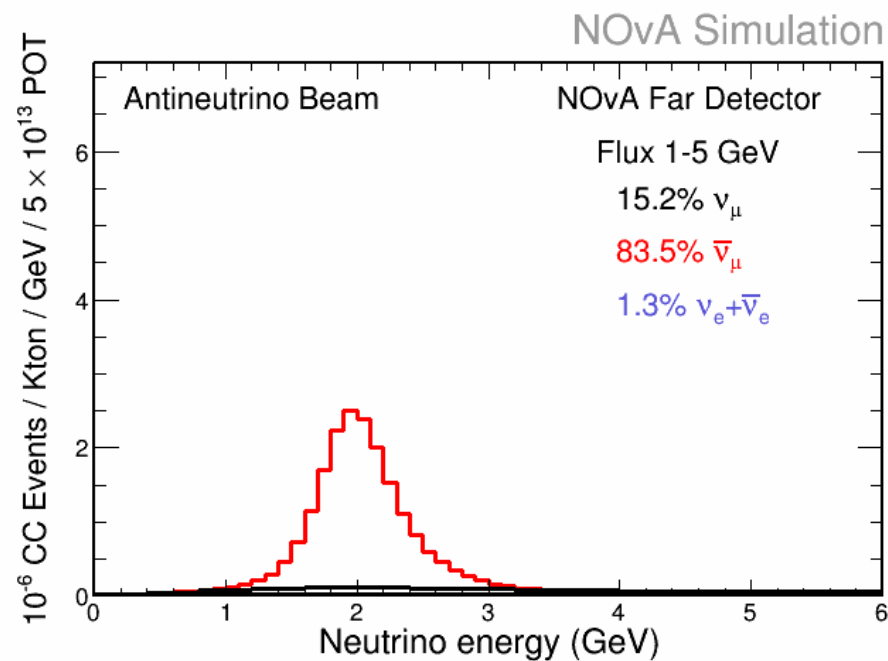
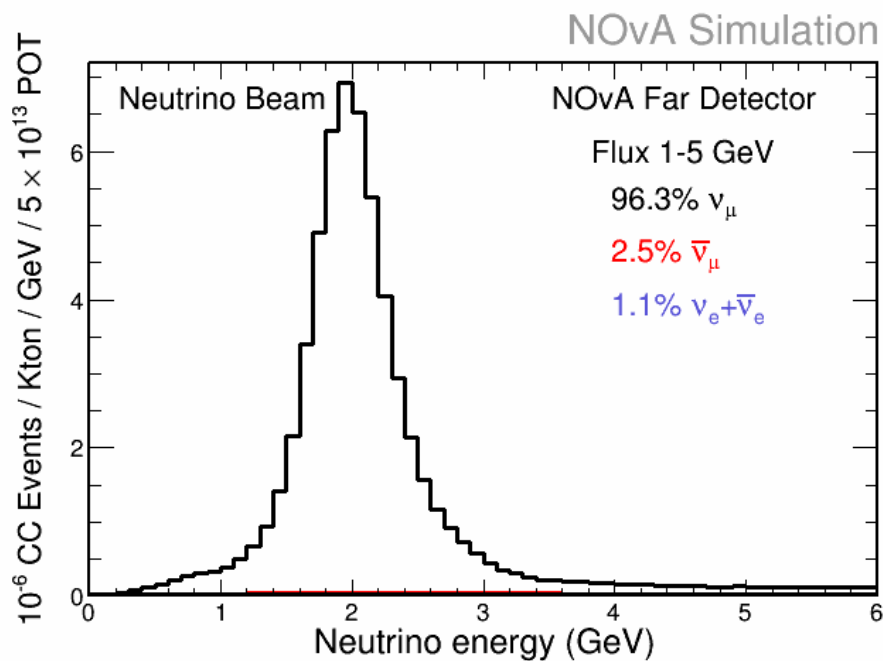
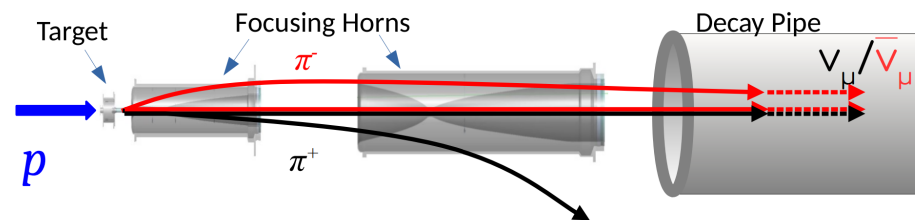
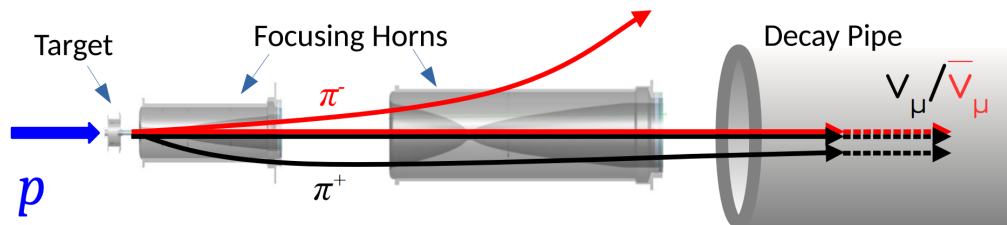
Phys. Rev. Lett. 126, 051802 (2021)

# Measuring NSI at the NOvA Experiment

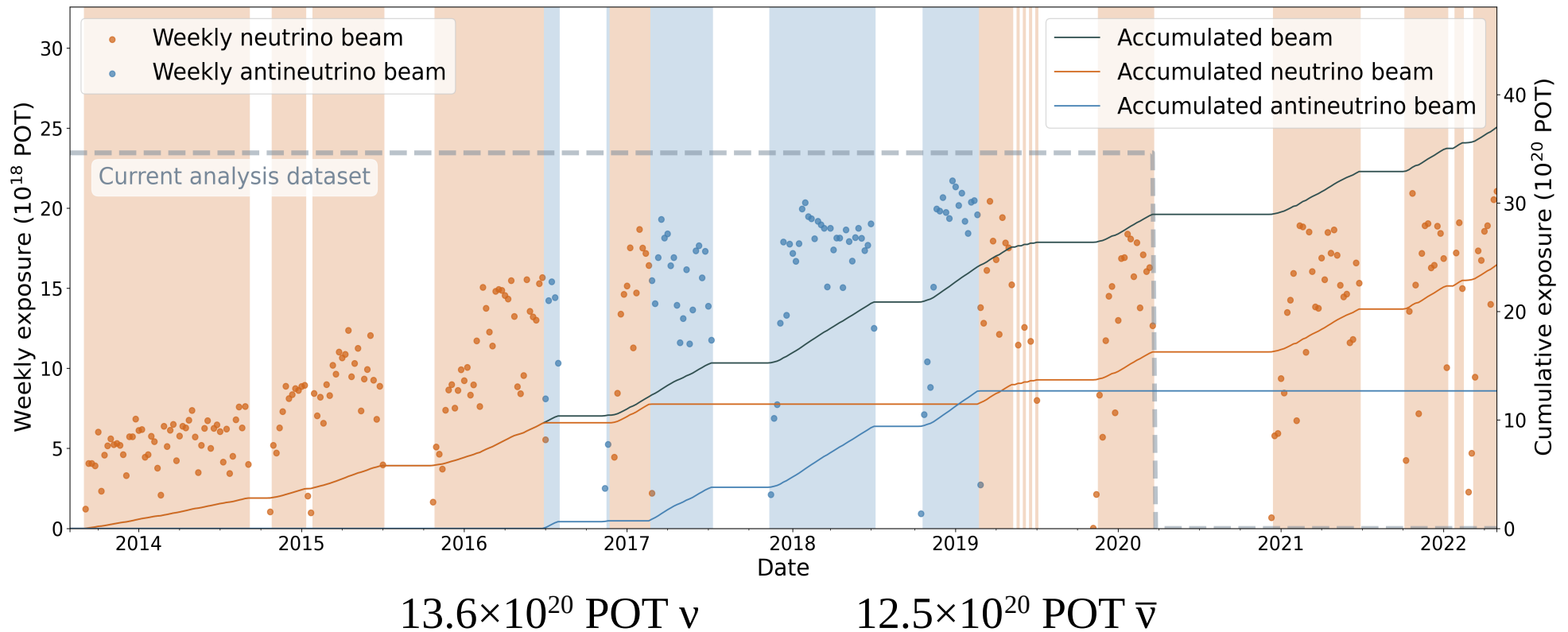
# Neutrino Flux from NuMI beam



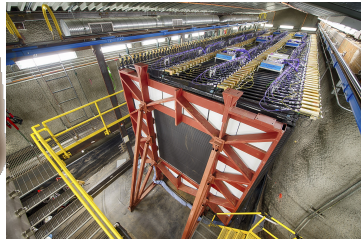
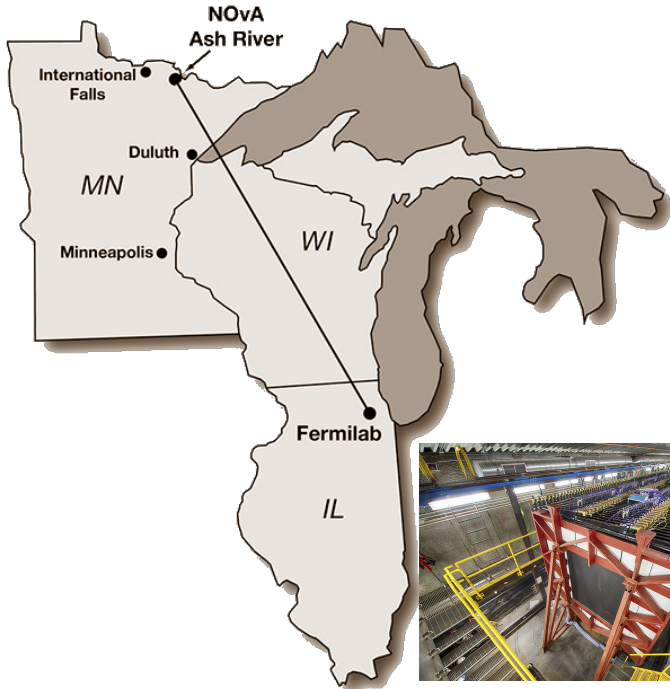
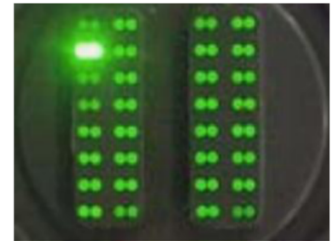
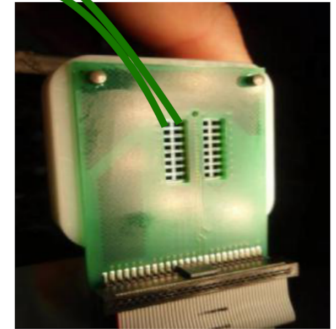
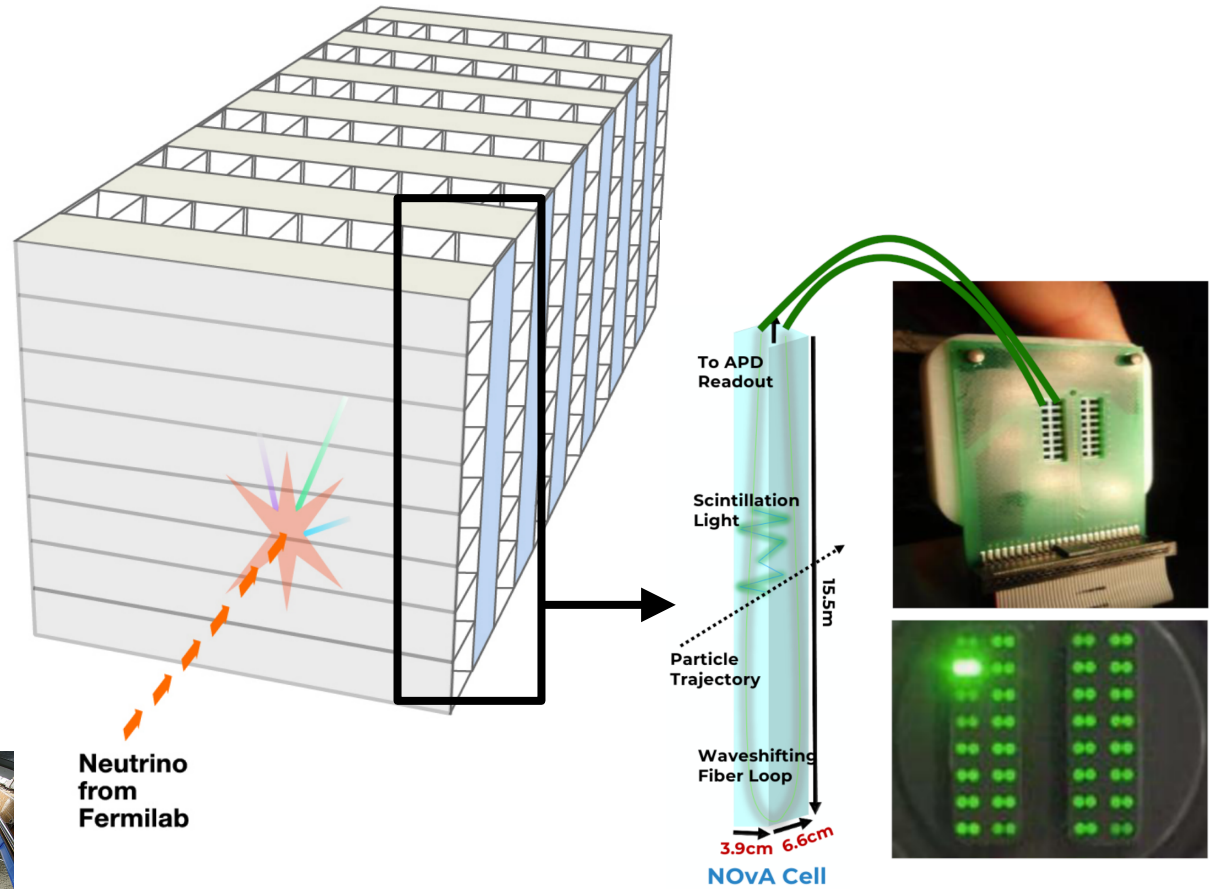
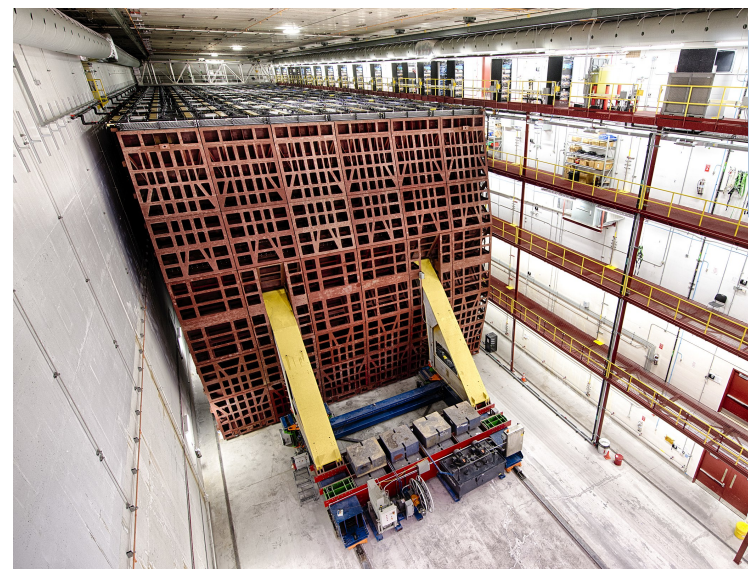
# Neutrino Flux from NuMI beam



# Protons on Target

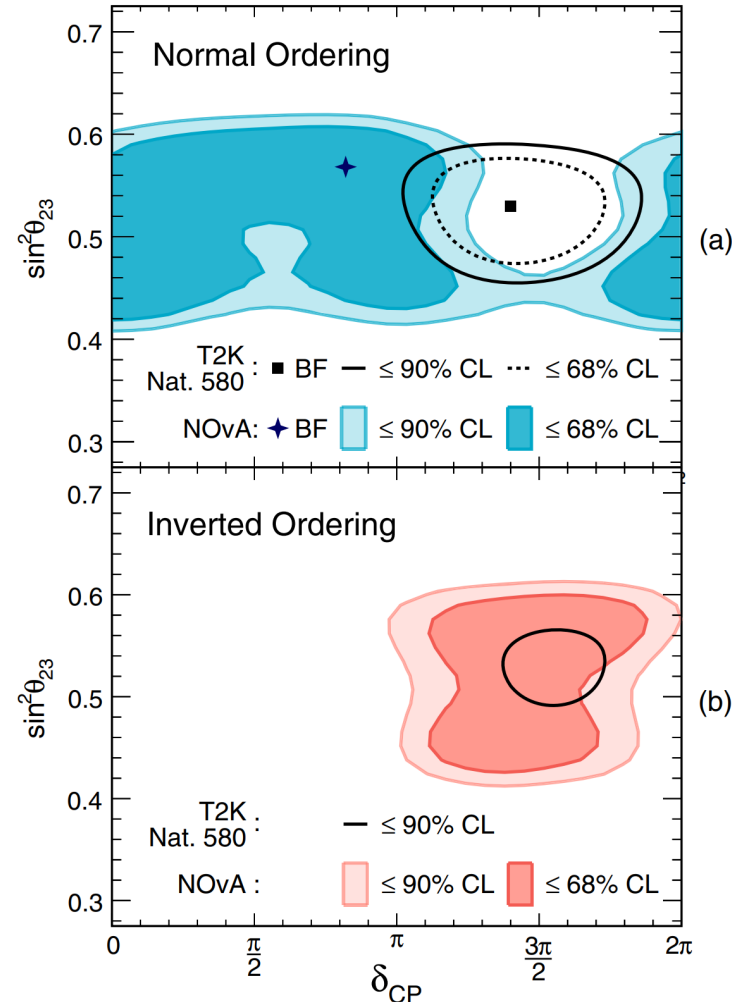


# NOvA Experiment



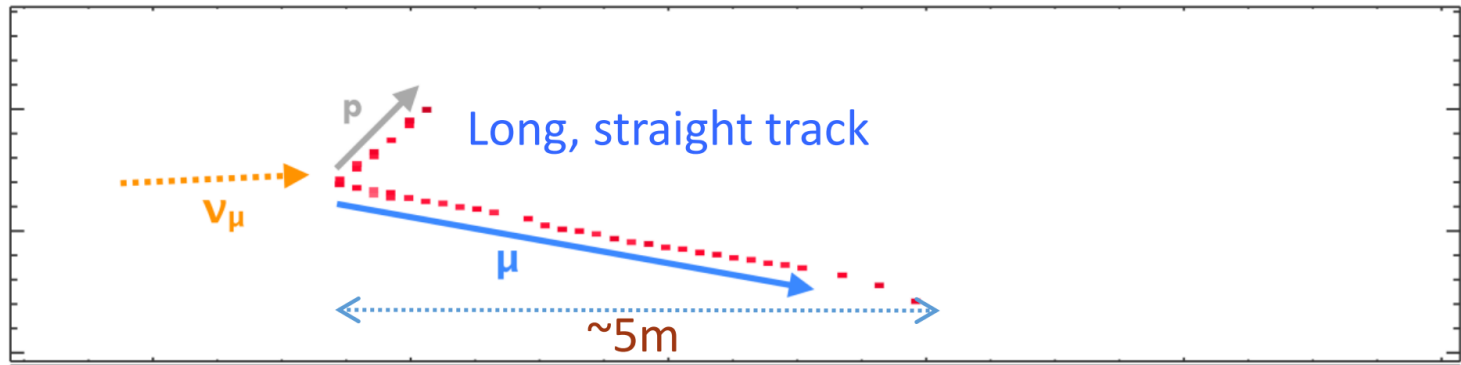
# Reminder of Std. Osc. Result

- Published August 1<sup>st</sup>, 2022
  - Improved measurement of neutrino oscillation parameters by the NOvA experiment
  - Phys. Rev. D 106, 032004
- Today's results are an NSI extension of the previous measurement

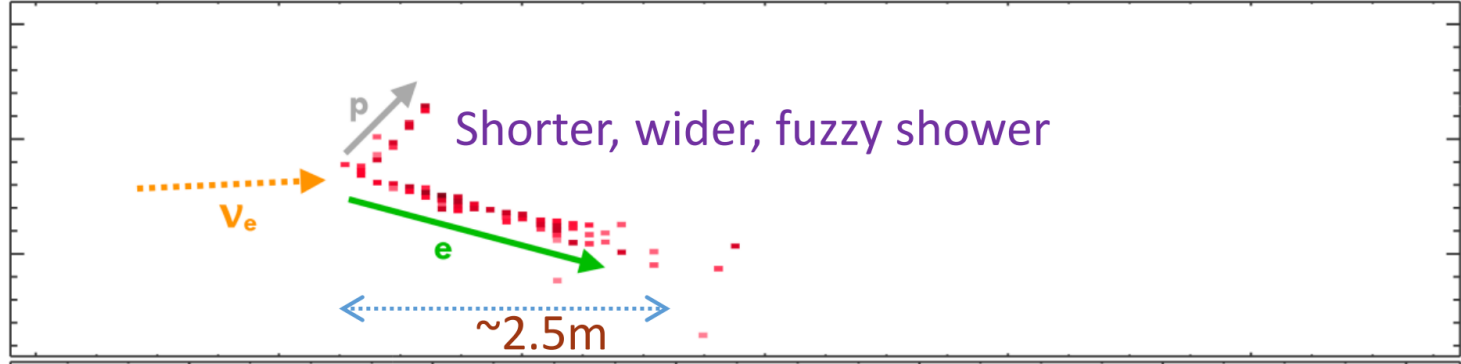




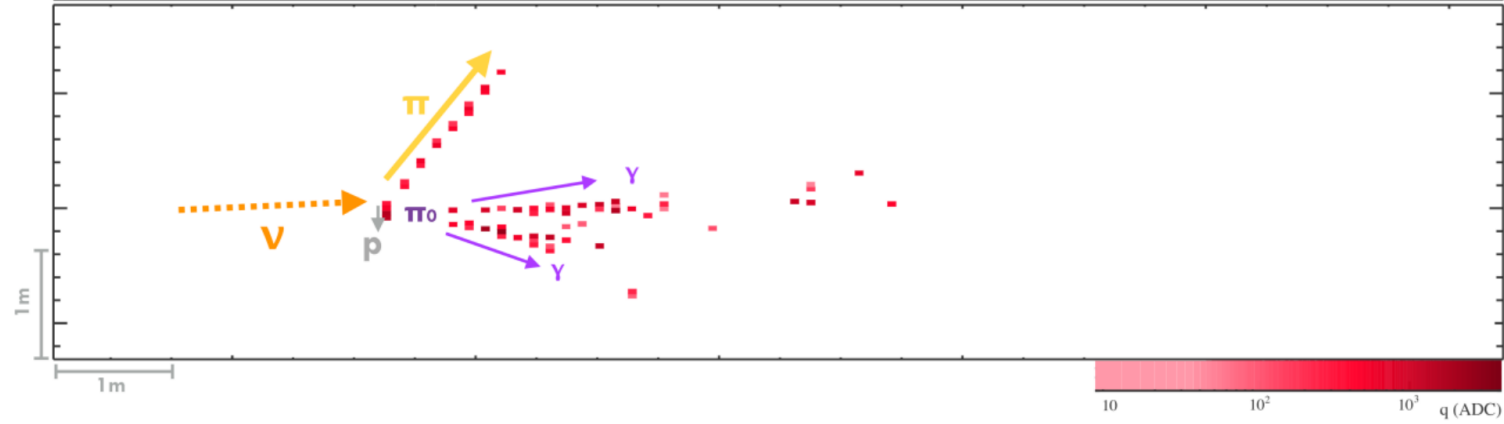
$\nu_\mu$  CC



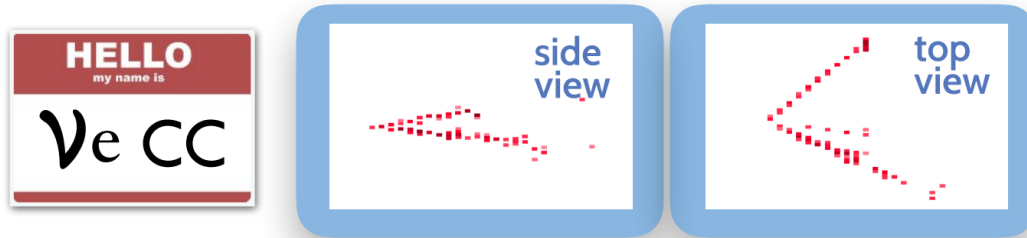
$\nu_e$  CC



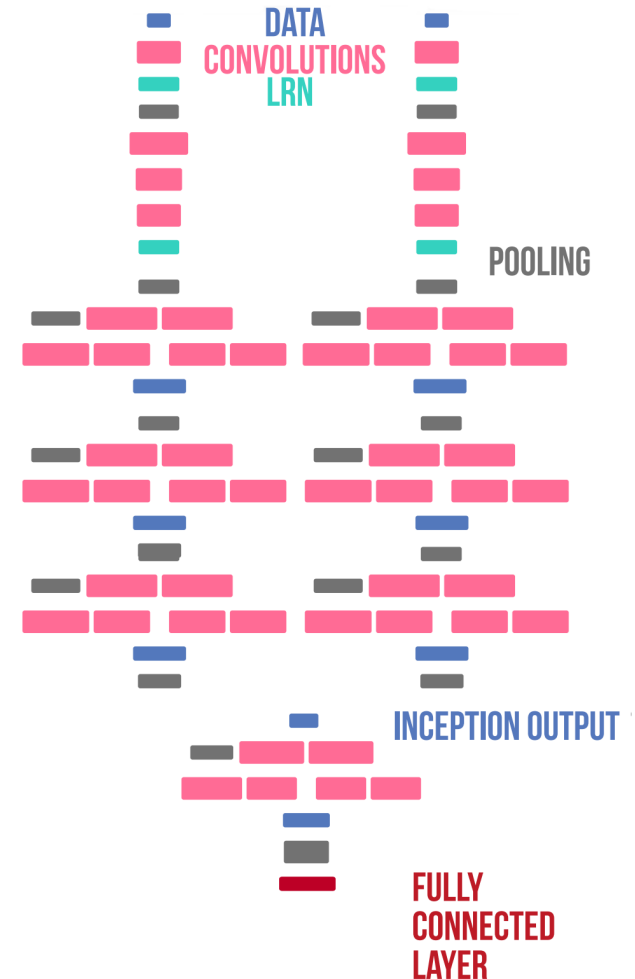
NC



# Finding Neutrinos w/ CNNs

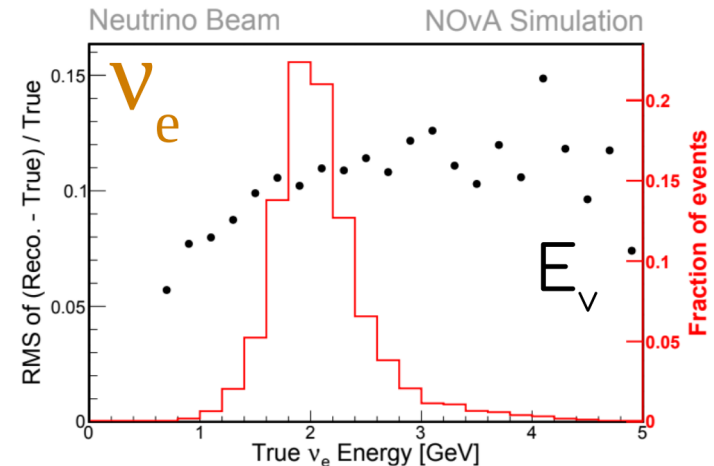
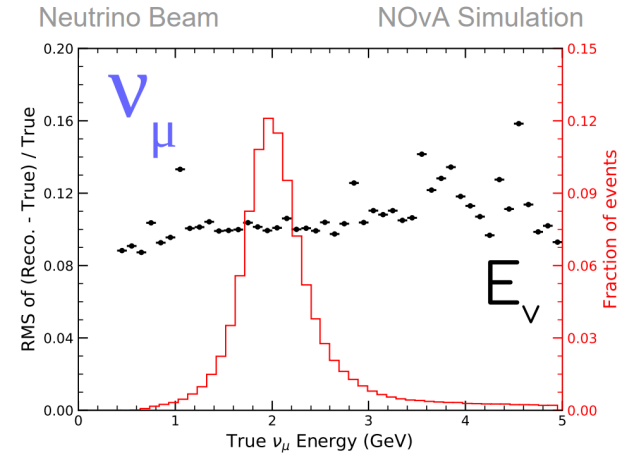


- 3rd generation
- Data-driven validation
- Increases effective exposure

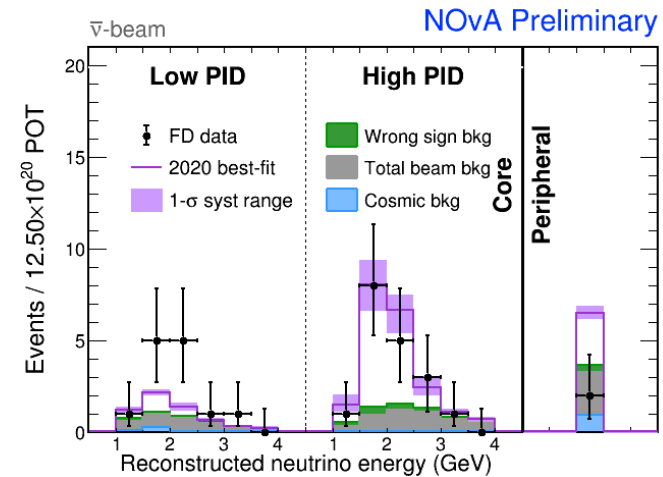
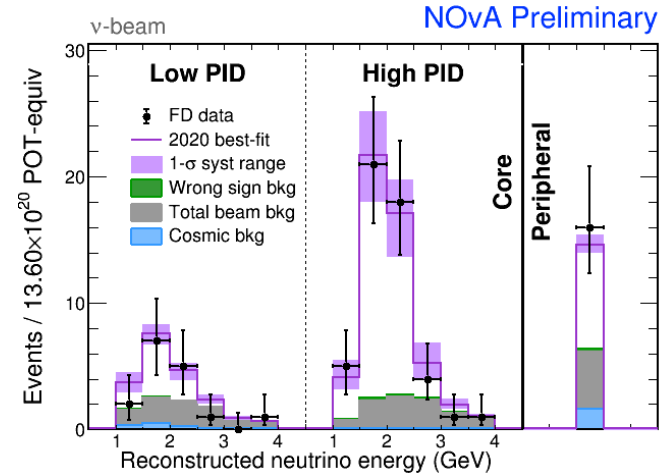
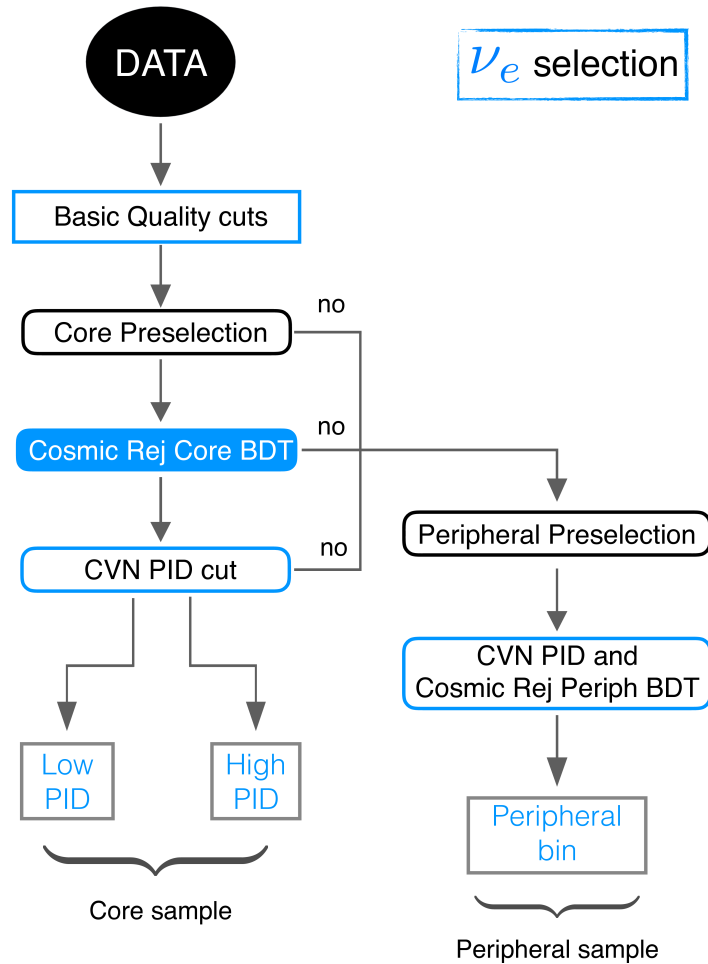


# Energy Estimation

- $E_\nu \leftarrow E_l \text{ \& } E_{\text{hadronic}}$ 
  - $\langle E_l \rangle \sim 3\%$
  - $\langle E_{\text{hadronic}} \rangle \sim 30\%$
- $\langle E_\nu \rangle \sim 9\% (\nu_\mu)$
- $\langle E_\nu \rangle \sim 11\% (\nu_e)$

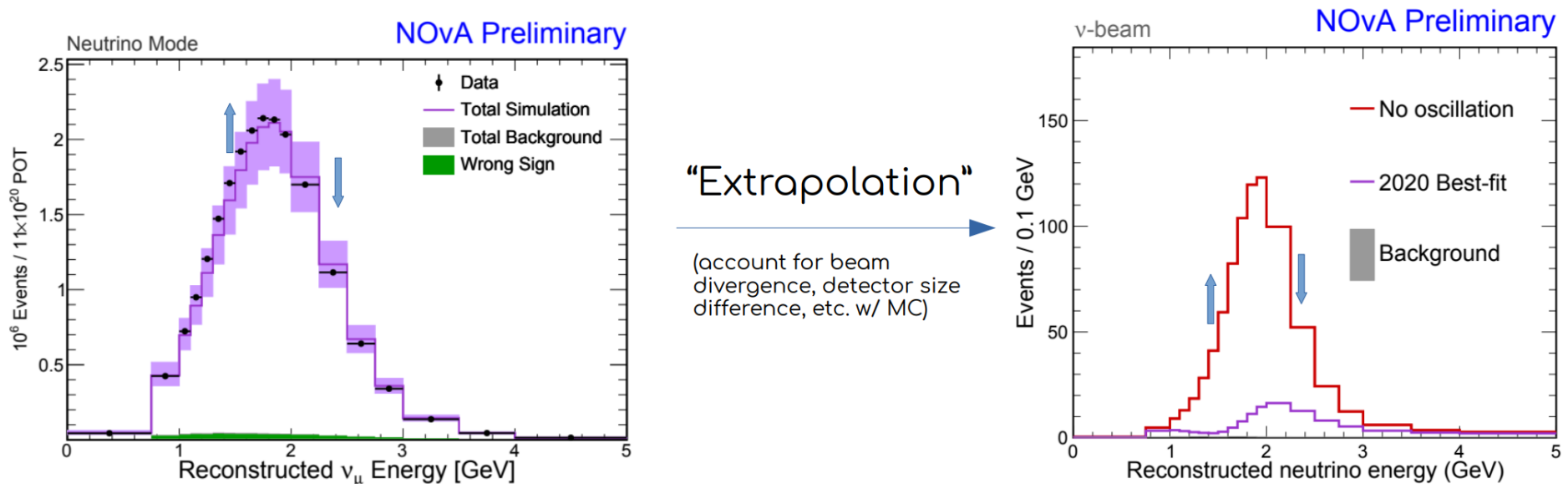


# $\nu_e$ Reconstructed Spectra



# ND Extrapolation

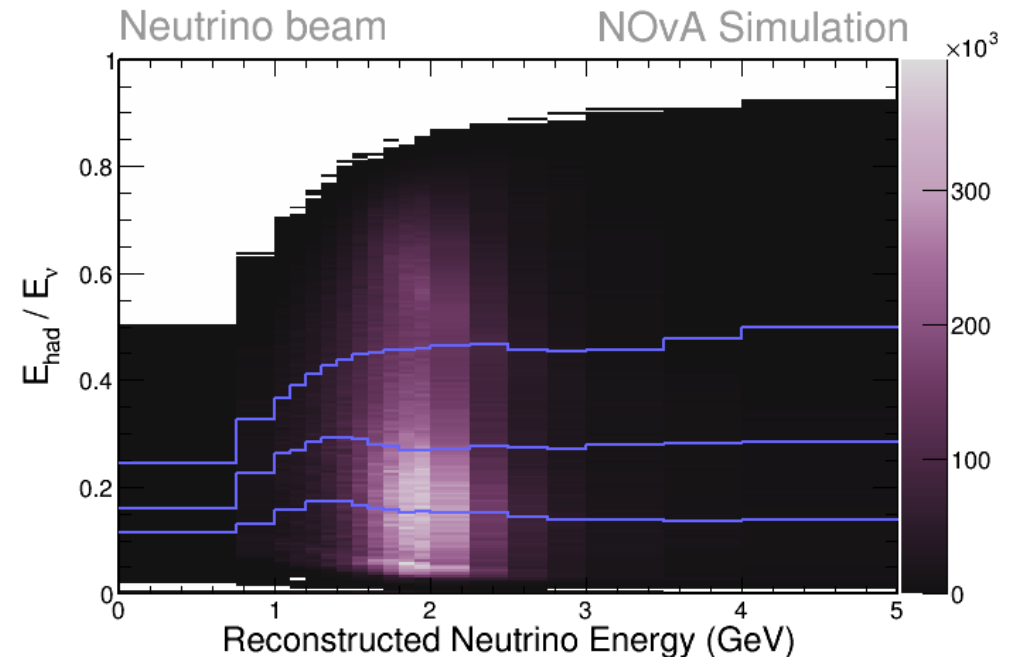
Extrapolating ND  $\rightarrow$  FD mitigates both “known” and “unknown” effects



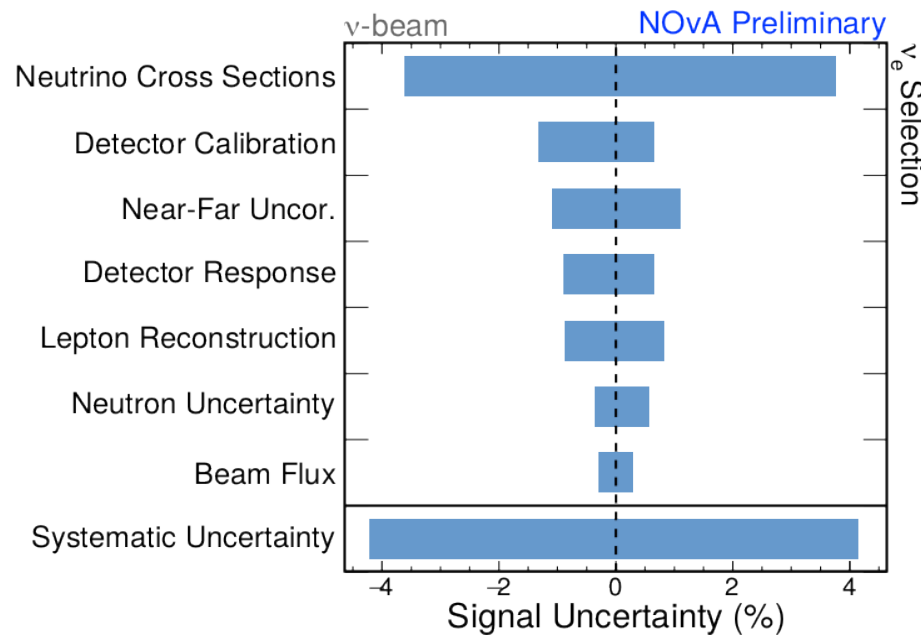
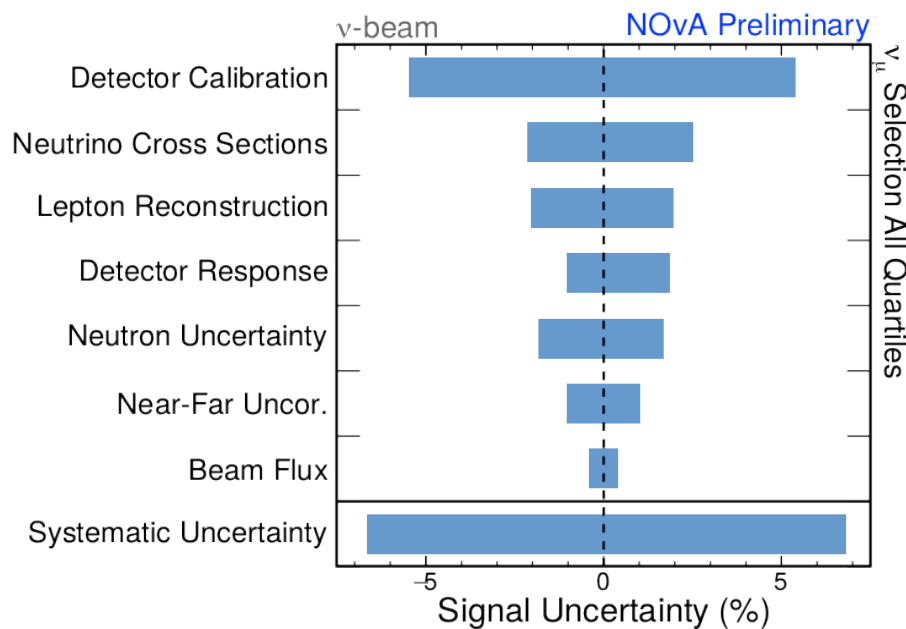
Slide courtesy of Jeremy Wolcott's 2020-09-18 W&C

# ND Data Exploitation

- Data is split into 4 quartiles based on hadronic energy fraction
  - $\langle E_{\nu} \rangle$  better for low fraction
- Within each quartile, data is further split into bins of  $P_T$ 
  - Helps with controlling differences between ND and FD acceptance



# Final Systematic Uncertainty



- Statistical uncertainty  $\sim 10\%$

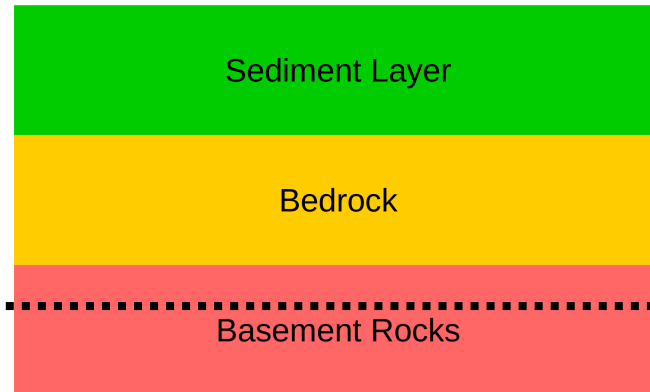
# NSI and the Analysis

- Need to be careful with two components when measuring NSI
- Rock density
- Constraints used for nuisance parameters



# $\rho$ Intro

- Density important to NSI
  - Signal  $\sim \varepsilon * \rho$
- Neutrinos go up to 11km underground



NOvA Neutrinos Max Depth  
 $\sim 7$  miles ( $\sim 11$  km)

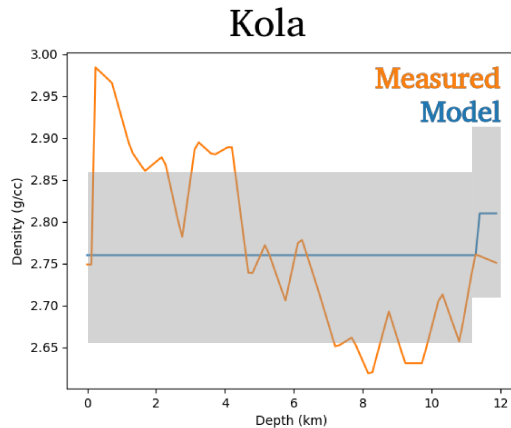
# CRUST Model

- Model of crust densities
- 1x1 degree longitude and latitude resolution
  - 12 chunks between Fermilab and Ash River
- Predicts an average density of  $2.74 \text{ g/cm}^3$

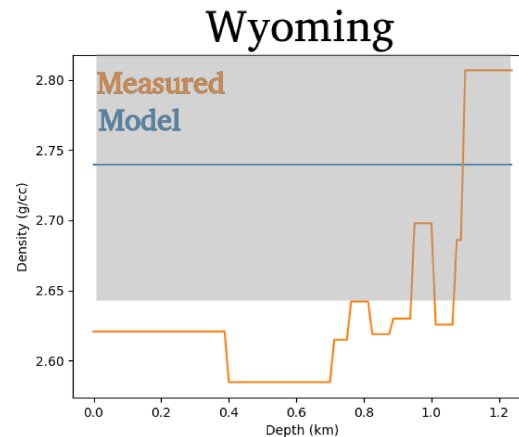
Laske, G., Masters., G., Ma, Z. and Pasyanos, M., Update on CRUST1.0 - A 1-degree Global Model of Earth's Crust, Geophys. Res. Abstracts, 15, Abstract EGU2013-2658, 2013.  
<http://igppweb.ucsd.edu/~gabi/rem.html>

# $\rho$ Update: Uncertainty

- Compare CRUST model to real data
- Kola bore – deepest bore
- Wyoming oil bore – geologically similar
- Also direct bores from the MINOS cave
- 3.7% uncertainty



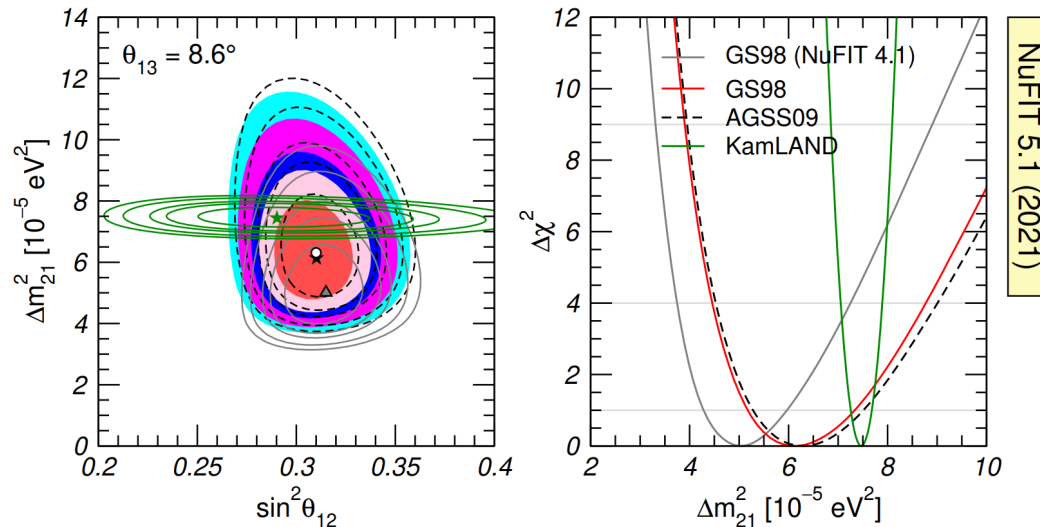
Kola Data: Acta Geodyn. Geomater., Vol. 11, No. 2 (174), 165–174, 20141



Wyoming Data: L.A. Beyer and F.G. Clutson, Density and porosity of oil reservoirs 1055 and overlying formations from borehole gravity measurements, Gebo Oil 1056 Field, Hot Springs County, Wyoming, Report, 1978 doi:10.3133/oc88

# Constraints

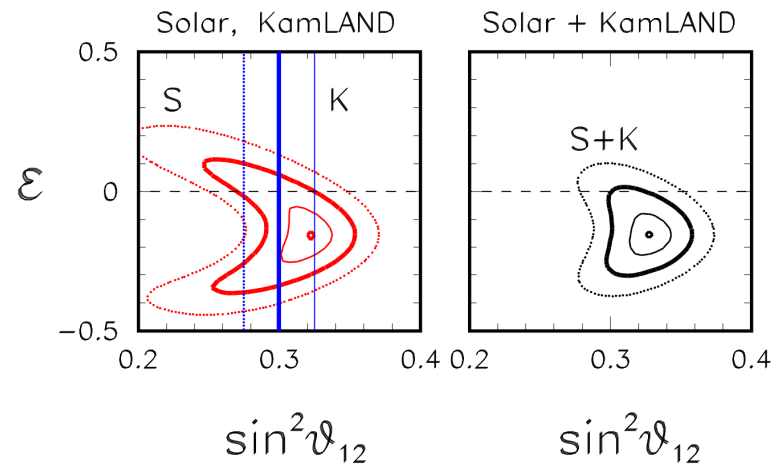
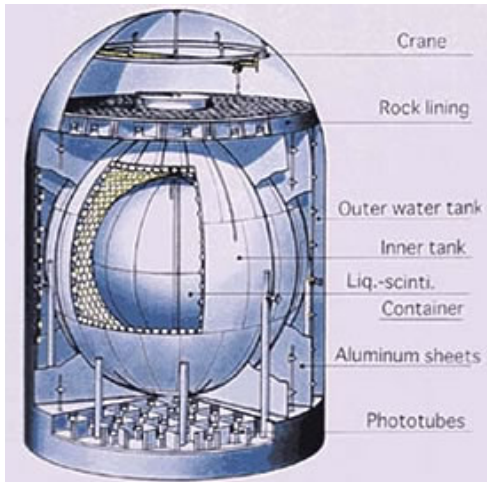
- NOvA is insensitive to some oscillation parameters
  - External sources are used to constrain those parameters
    - e.g. Particle Data Group or NuFit
- Combine results from various experiments



Universe 2021, 7, 459

# NSI Effects

- In principle, NSI could effect the measurement of certain parameters
  - e.g. Solar + KamLAND prefer NSI at 1.9 sigma



Phys.Rev.D83:101701,2011

# Reactor-only Constraints

- Rely only on reactor experiments
  - Daya Bay, RENO, Chooz and KamLAND

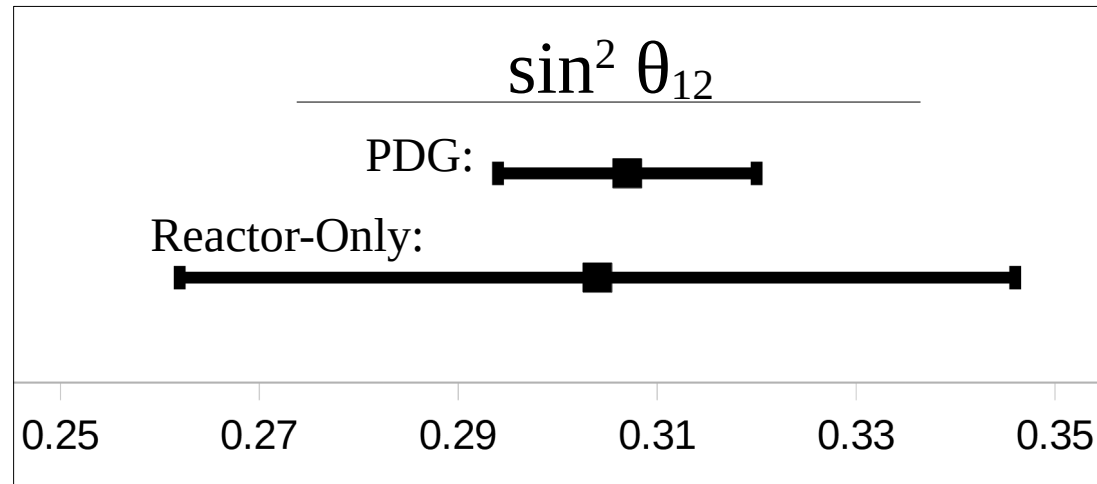
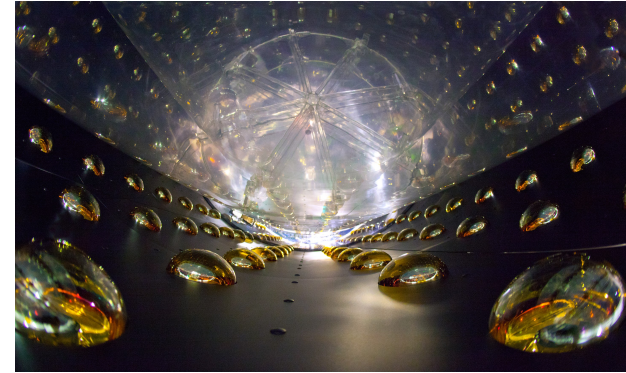
- $\Delta m^2_{21} (10^{-5} \text{eV}^2) = 7.54 \pm 0.19$

- PDG:  $7.53 \pm 0.18$

- $\sin^2 \theta_{12} = 0.304 \pm 0.042$

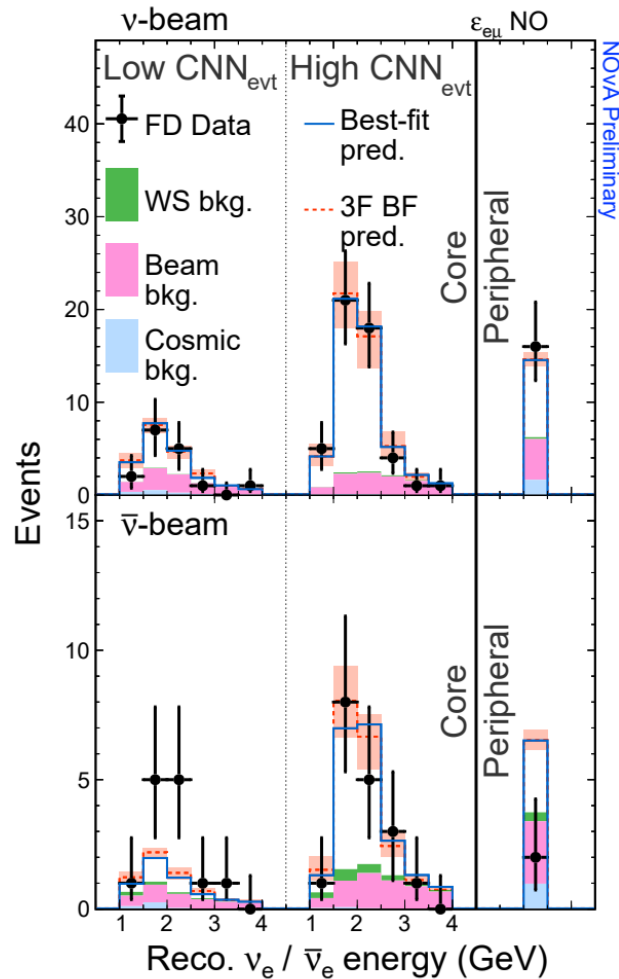
- PDG:  $0.307 \pm 0.013$

- $\sin^2 \theta_{13} = 0.0218 \pm 0.0007$



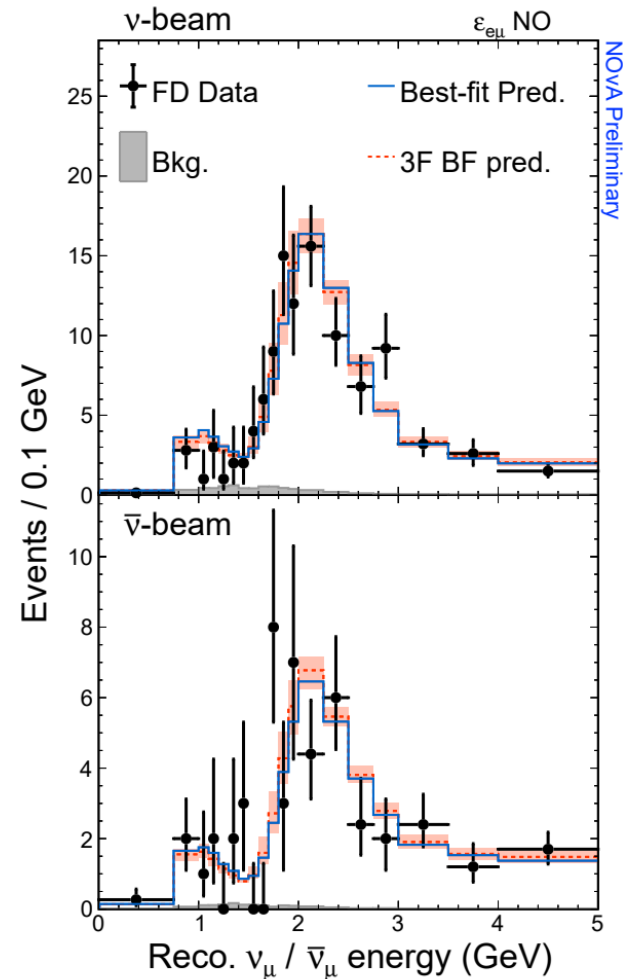
# Results

# $e\mu$ Spectra



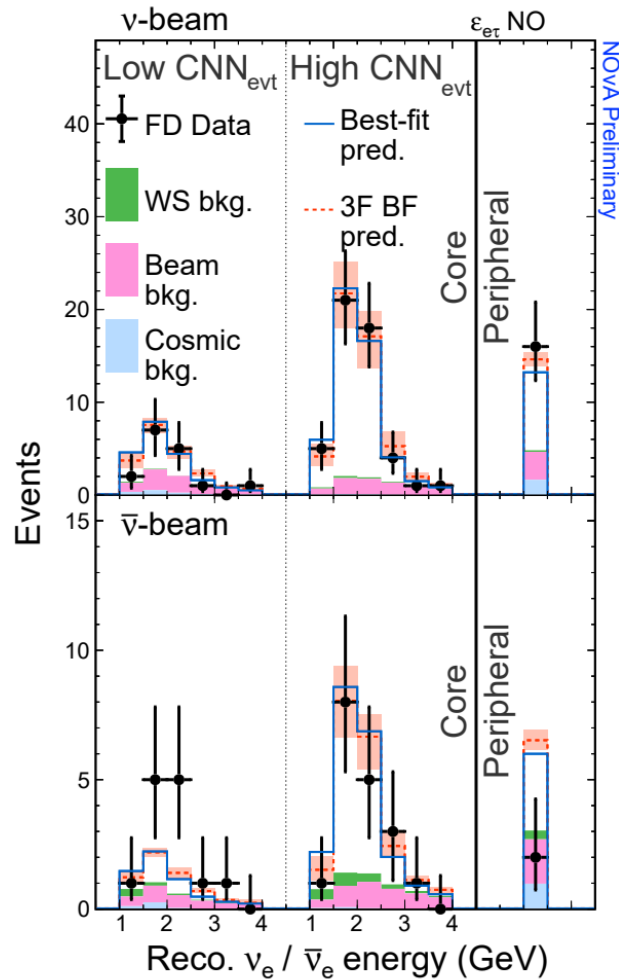
NSI *not* needed to explain NOvA spectra.

$\chi^2$  improvement only  $\sim 0.65$  for 2 additional parameters.



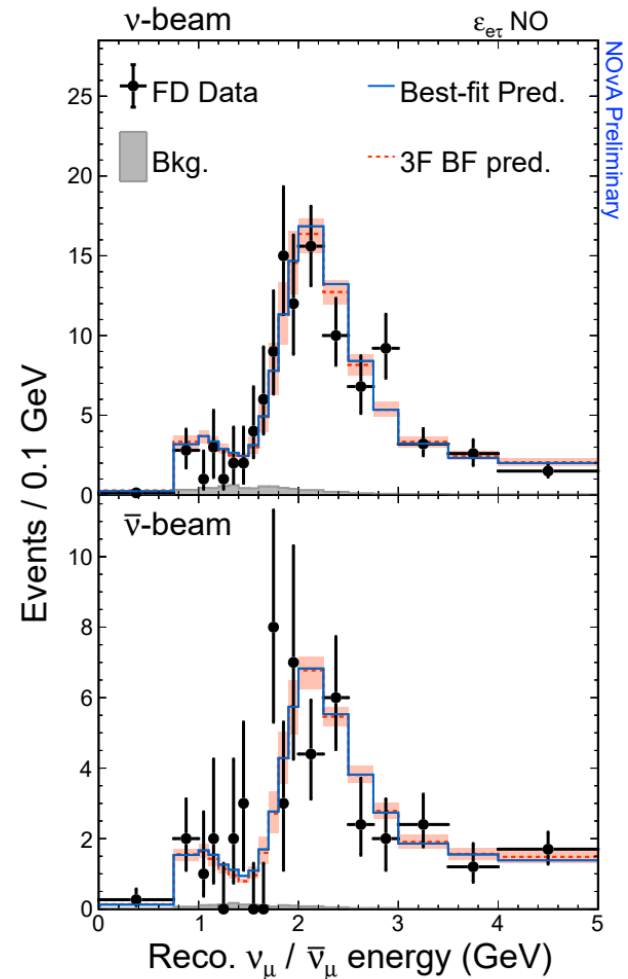


# $e\tau$ Spectra



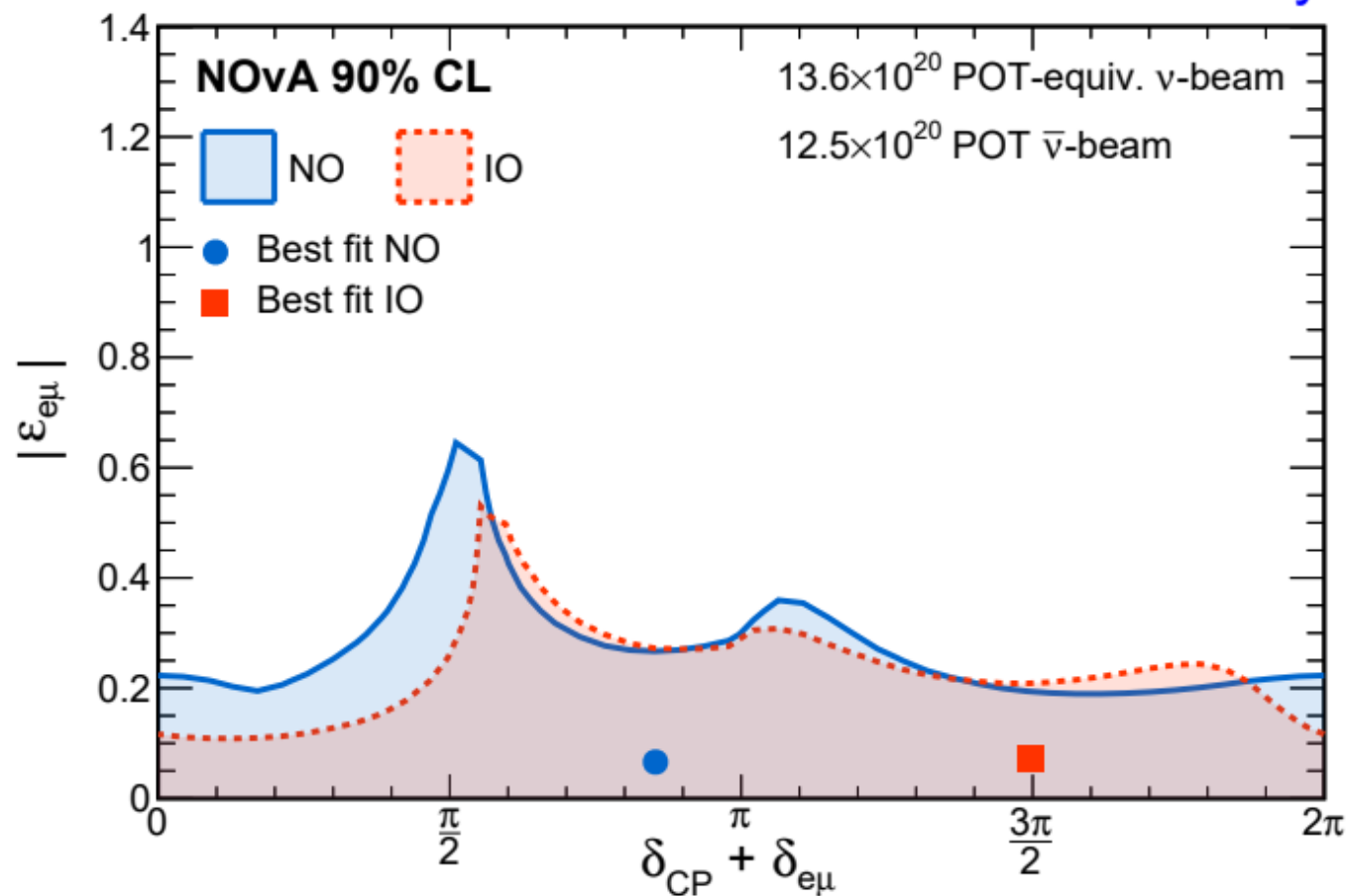
NSI *not* needed  
to explain  
NOvA spectra.

$\chi^2$  improvement  
only  $\sim 0.65$  for  
2 additional  
parameters.



# $\epsilon_{e\mu}$ Result

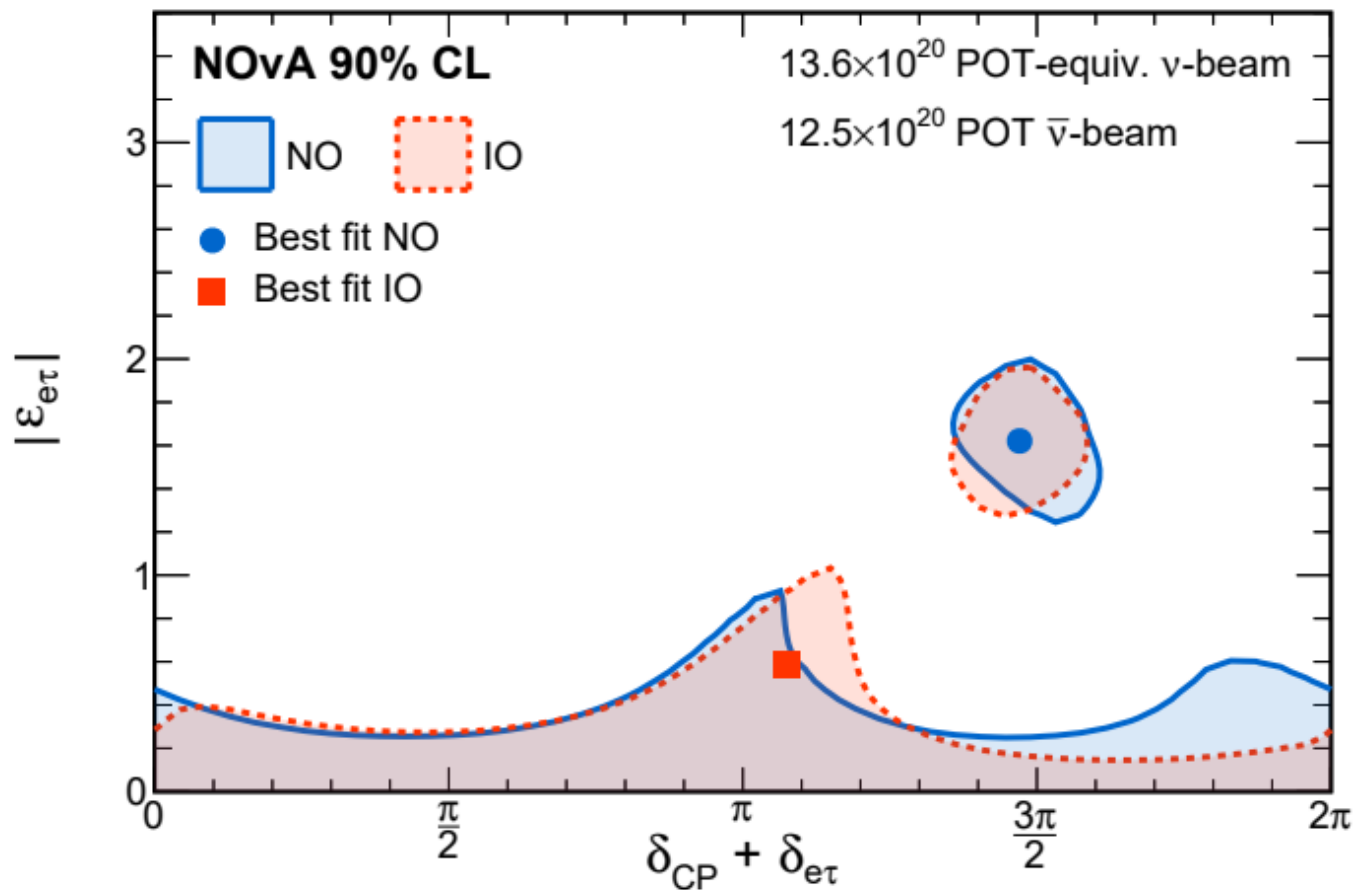
NOvA Preliminary



$$\epsilon_{e\mu} < \sim 0.3$$

# $e\tau$ Result

NOvA Preliminary

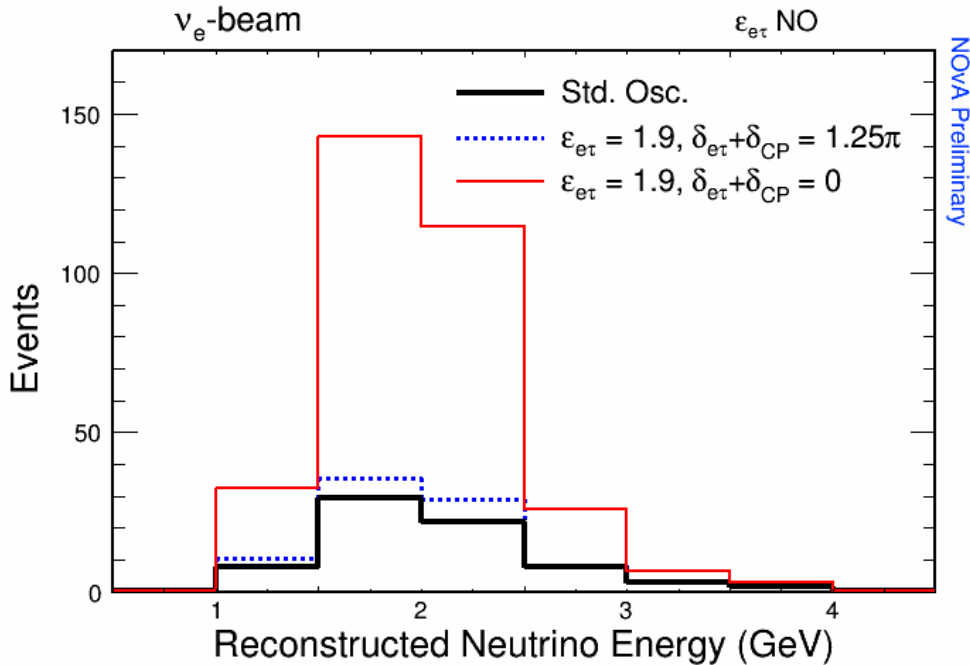


$\epsilon_{\mu\tau} < \sim 0.4$   
for most of  
phase space.

Upper region due  
to degeneracy.

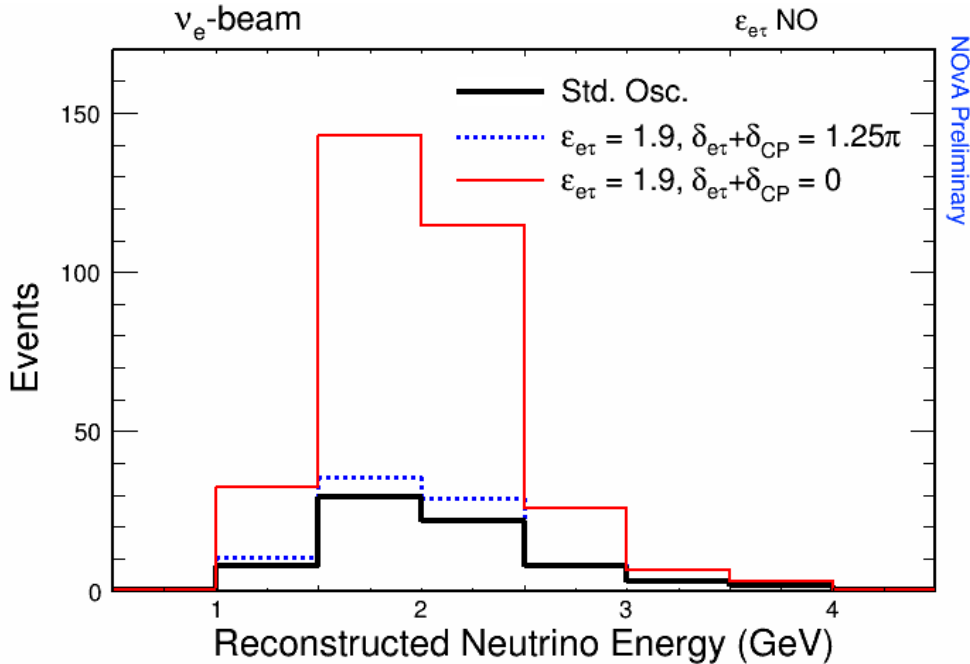
# Degeneracy

## Neutrino Mode

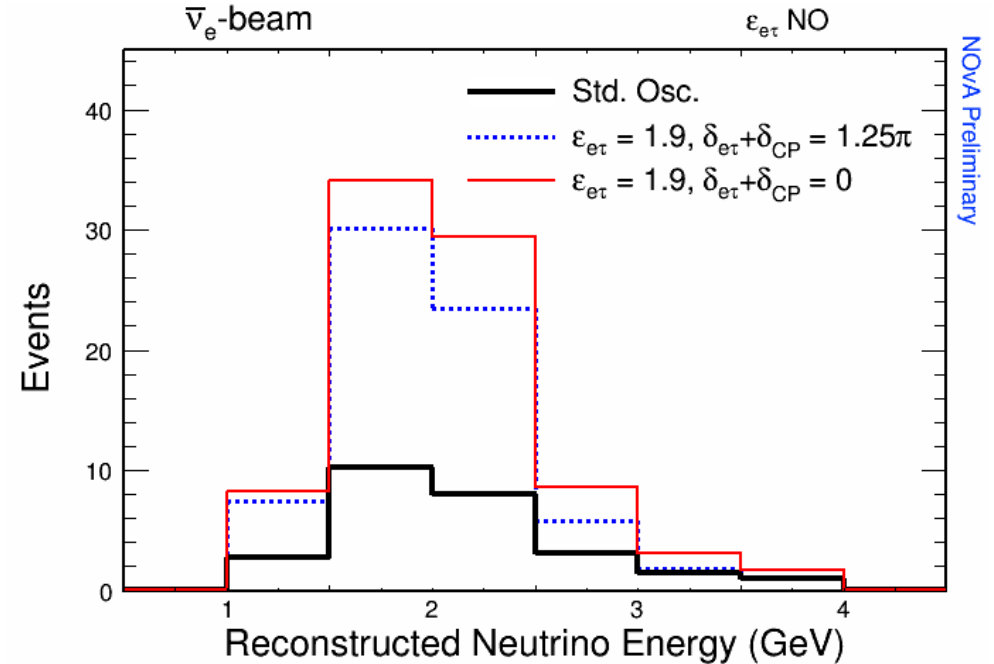


# Degeneracy

## Neutrino Mode

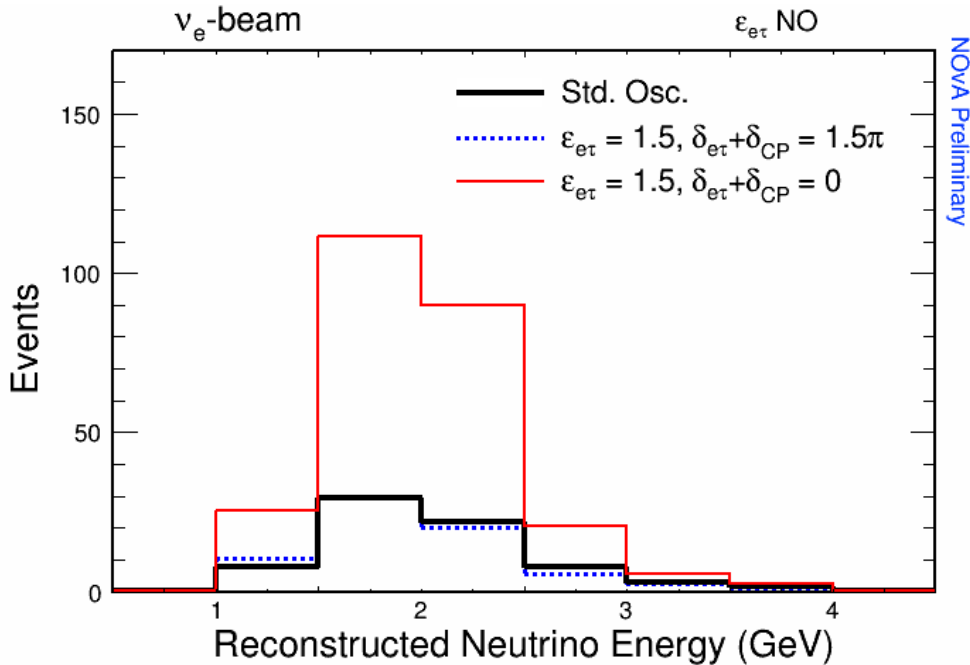


## Anti-neutrino Mode

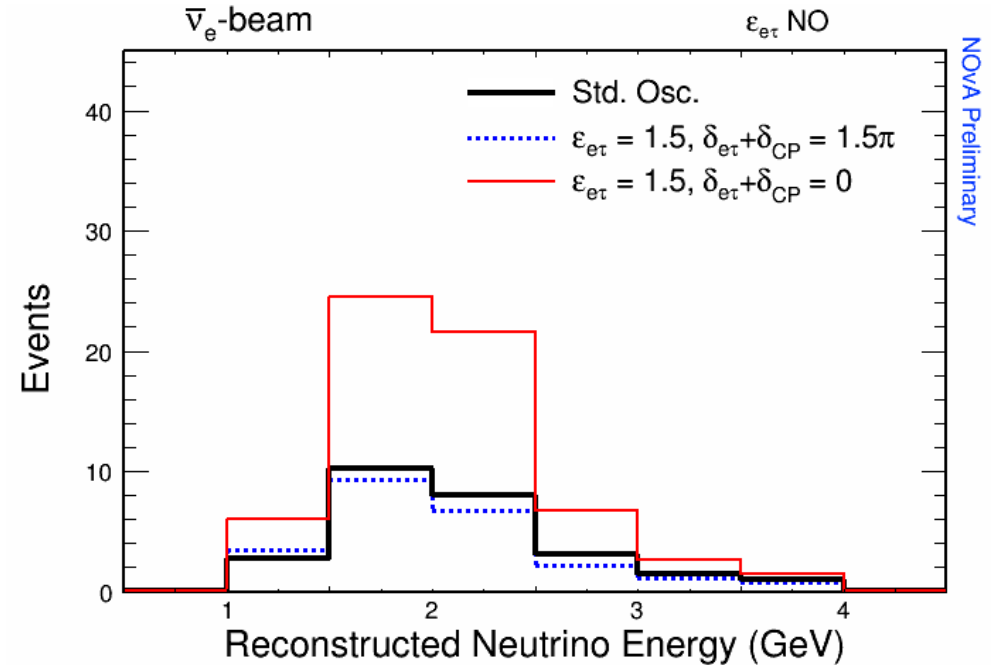


# Dual Degeneracy

## Neutrino Mode

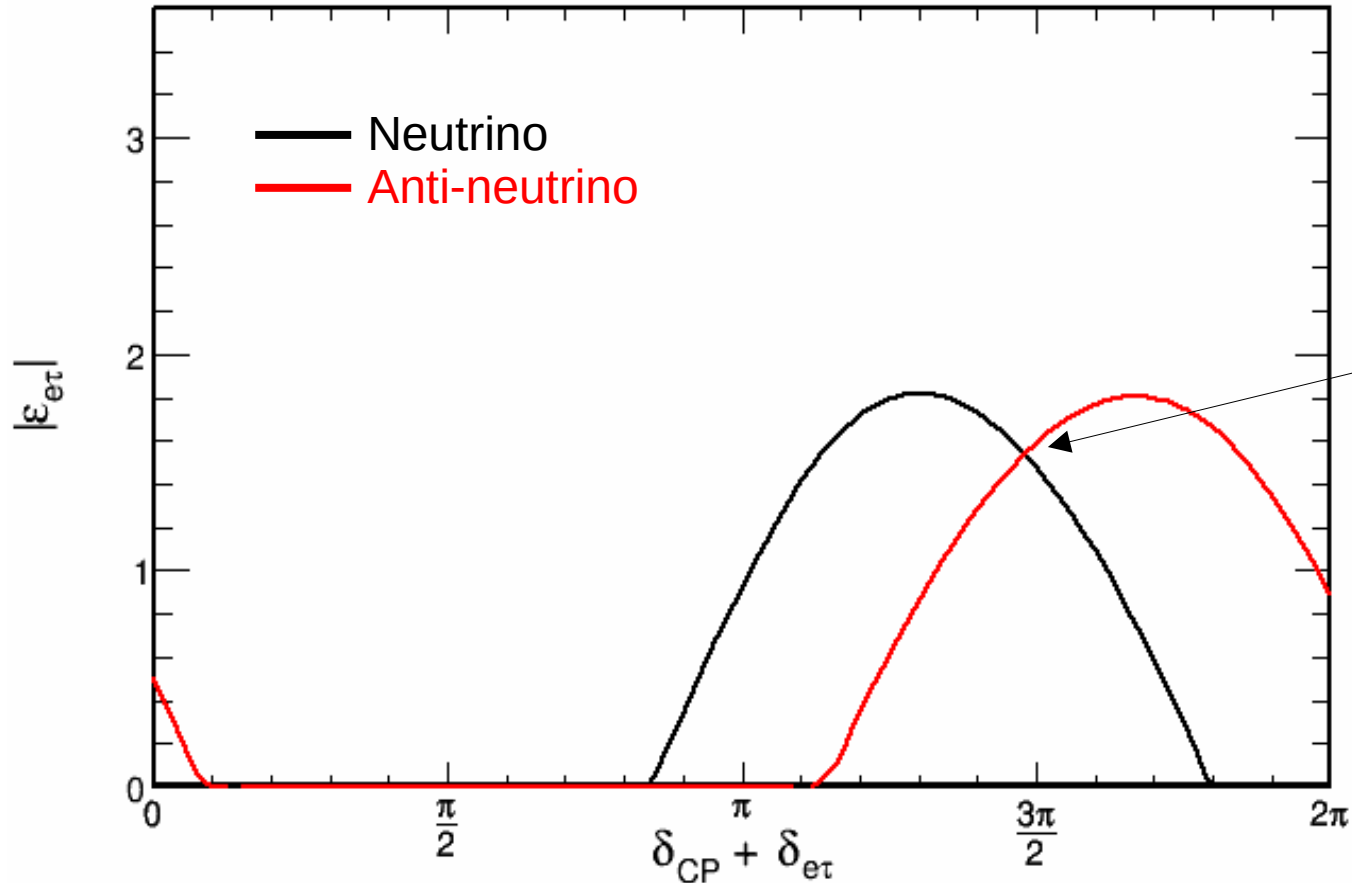


## Anti-neutrino Mode



# Degeneracy vs Delta

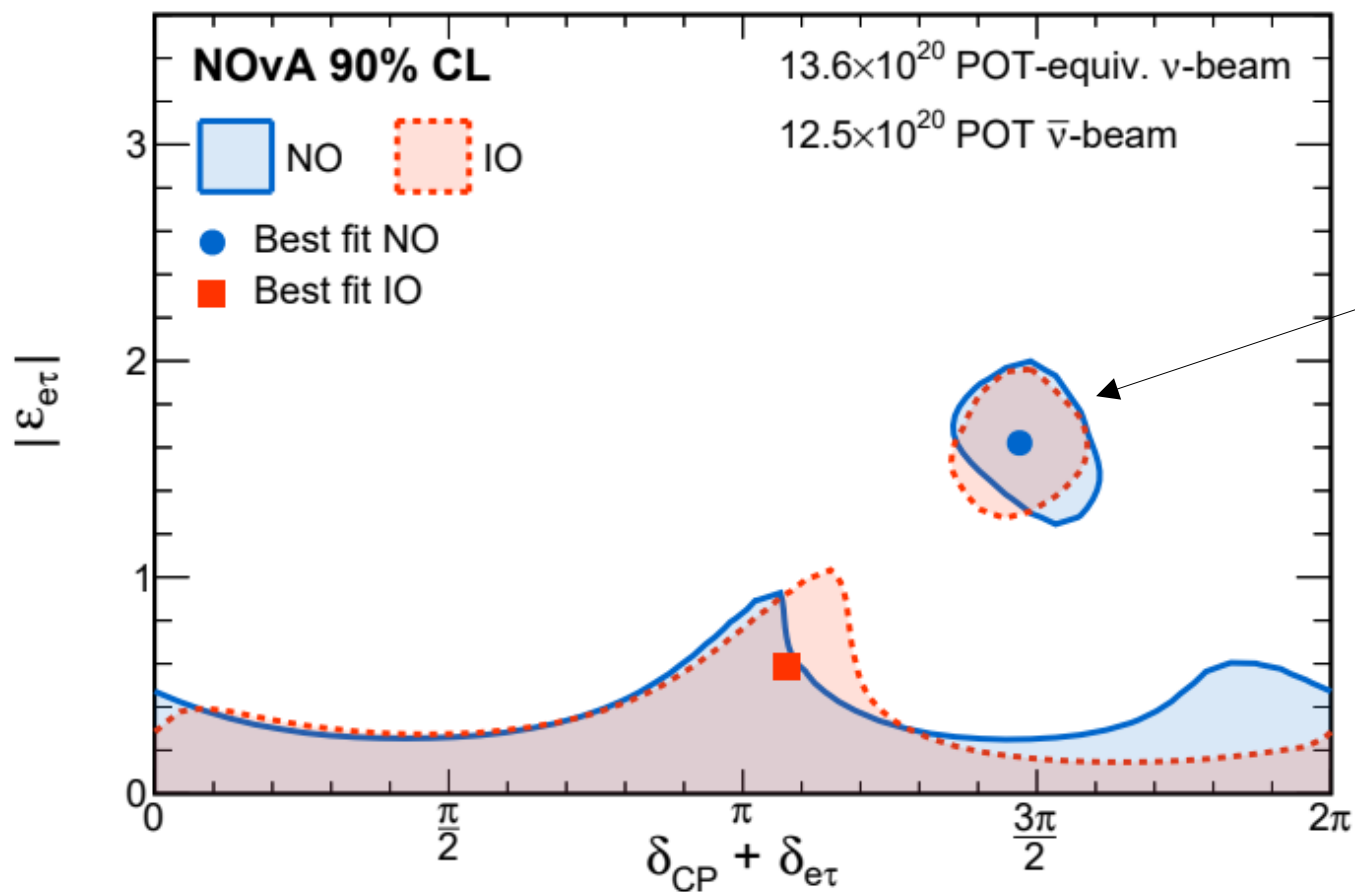
Points where  $P(\nu_\mu \rightarrow \nu_e | \epsilon_{e\tau}) = P(\nu_e | \epsilon_{e\tau}=0)$  for  $E = 1.75\text{GeV}$



Would expect a  
loss in sensitivity  
here

# $e\tau$ Result

NOvA Preliminary

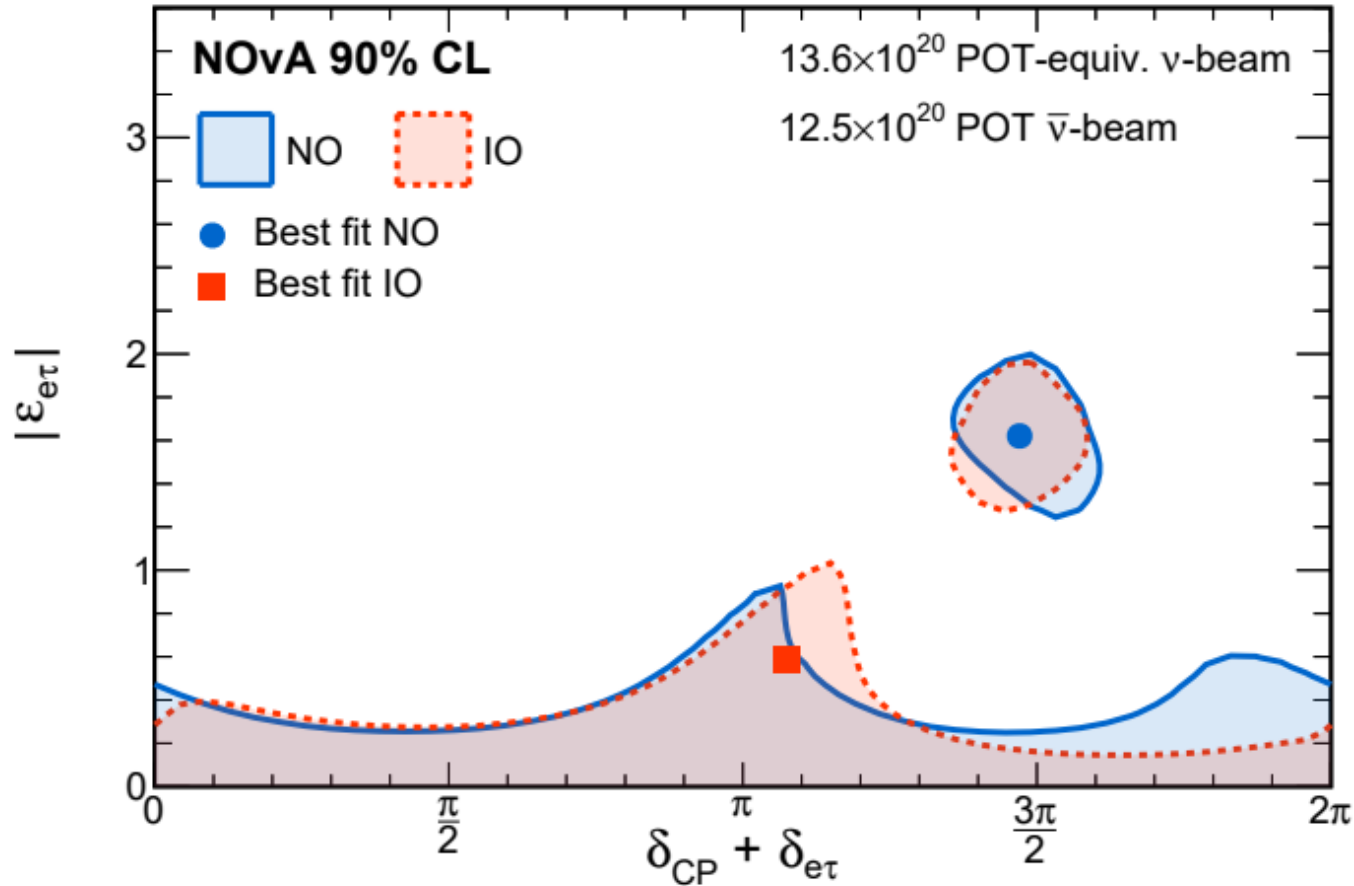


Additional contour at high  $\epsilon_{e\tau}$  due to this dual degeneracy

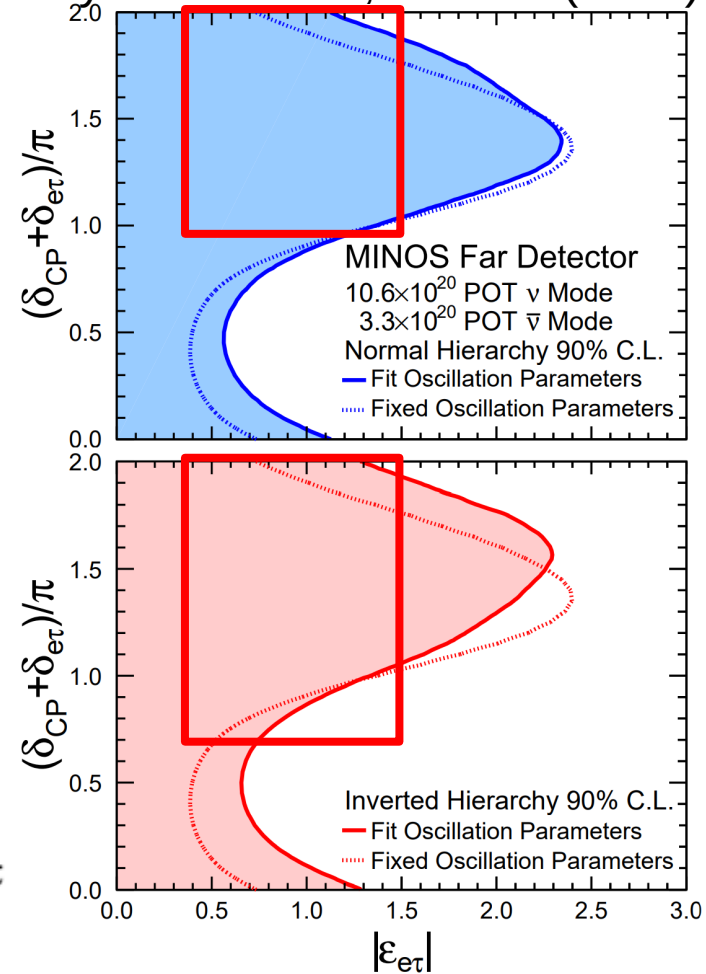


# $e\tau$ Result: Comparison to Minos

NOvA Preliminary

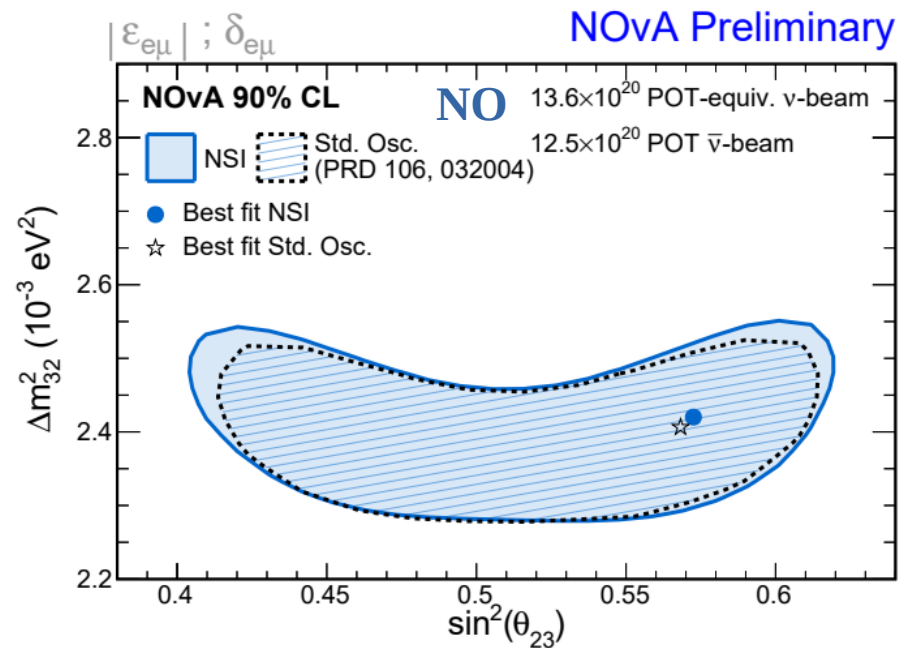
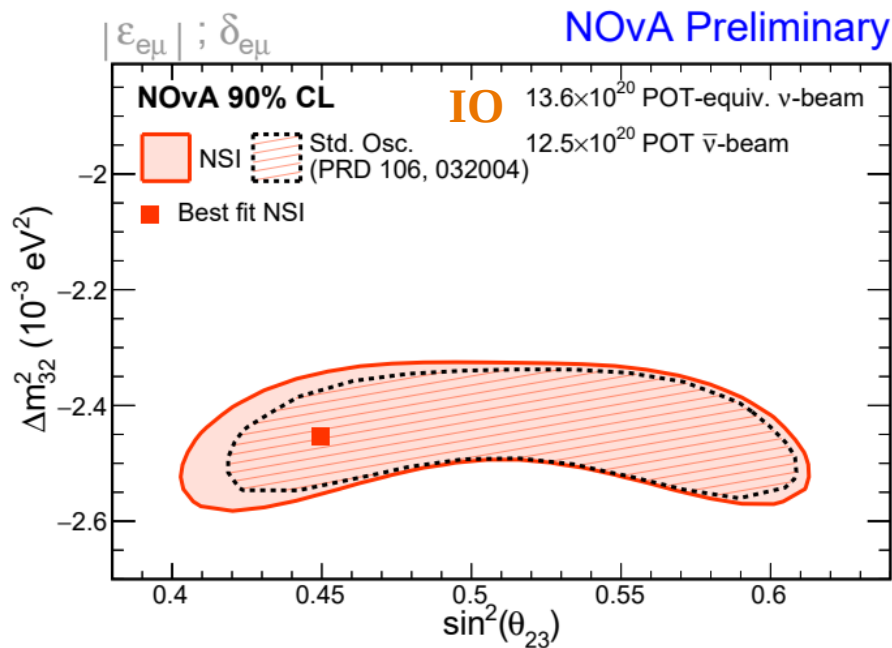


Phys. Rev. D 95, 012005 (2017)



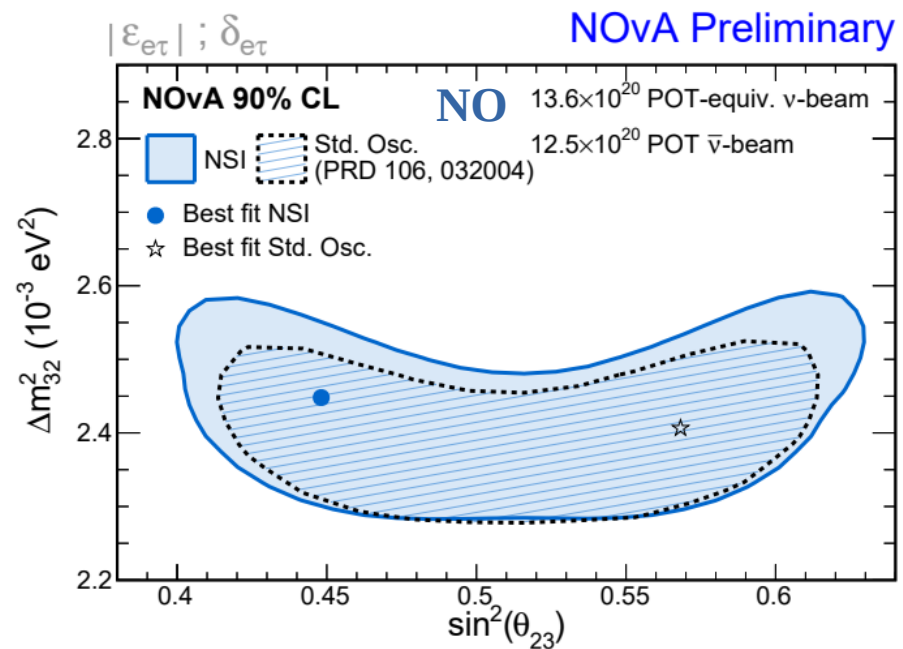
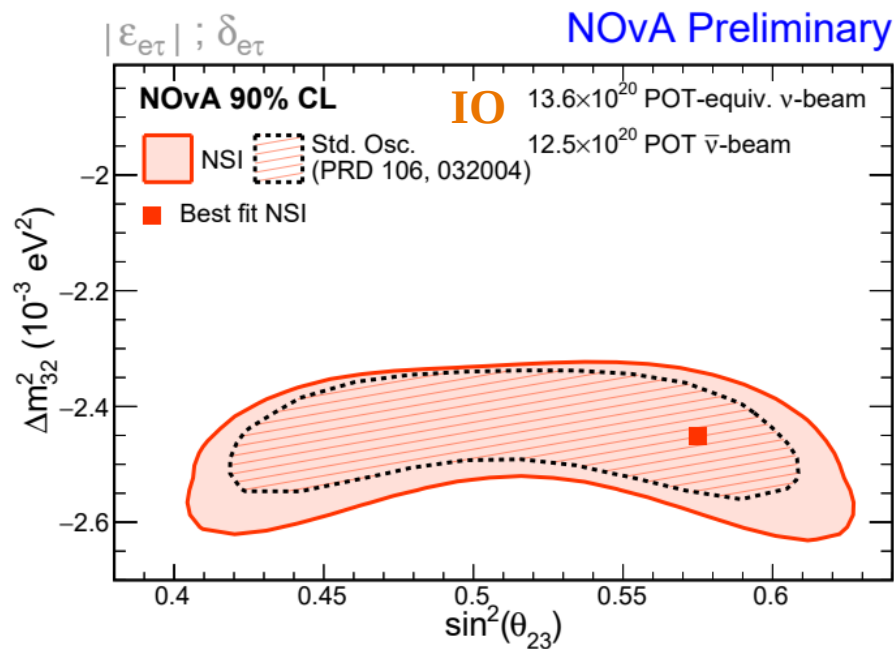
# Effect of NSI on Standard Oscillation Parameters

# $\Delta m^2_{32}$ vs $\sin^2\theta_{23}$ with $e\mu$ model



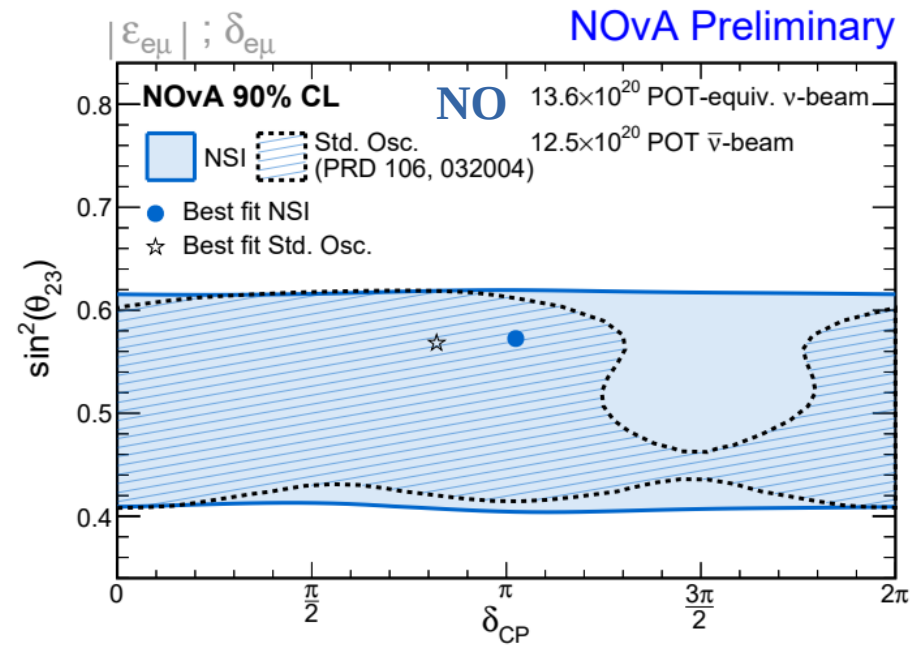
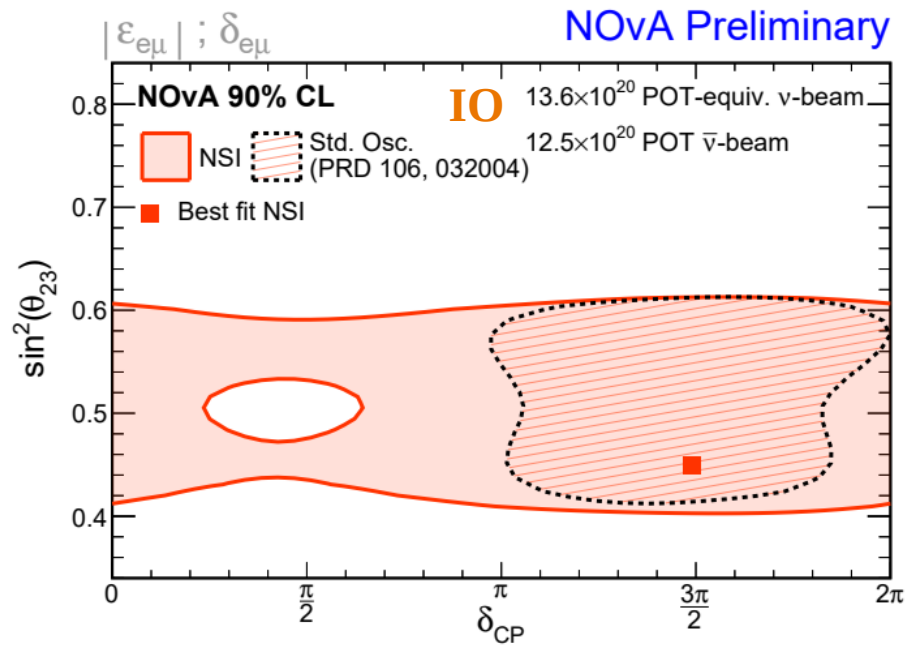
$\nu_\mu$  disappearance unaffected by NSI

# $\Delta m^2_{32}$ vs $\sin^2\theta_{23}$ with $\epsilon\tau$ model



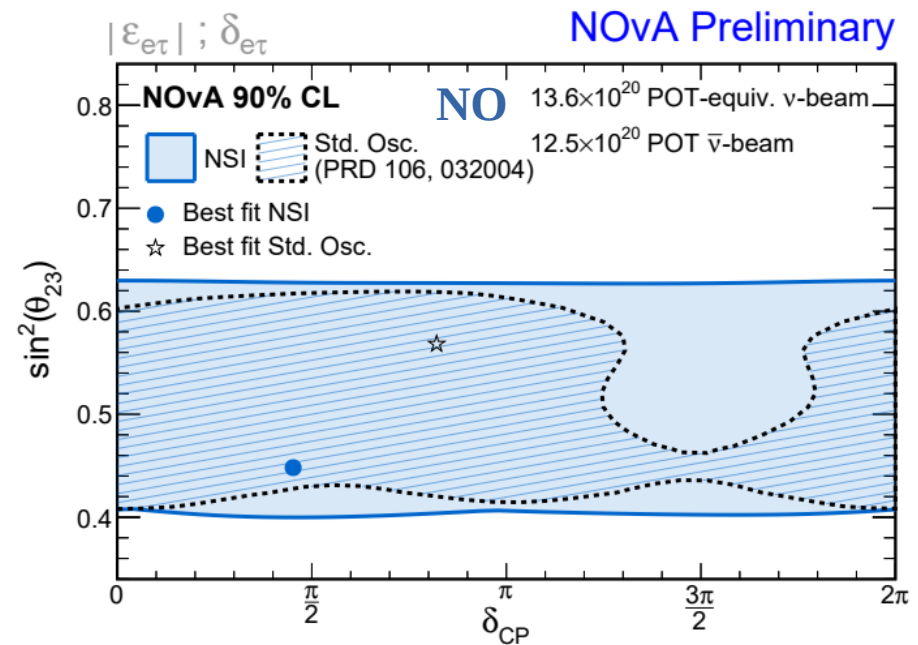
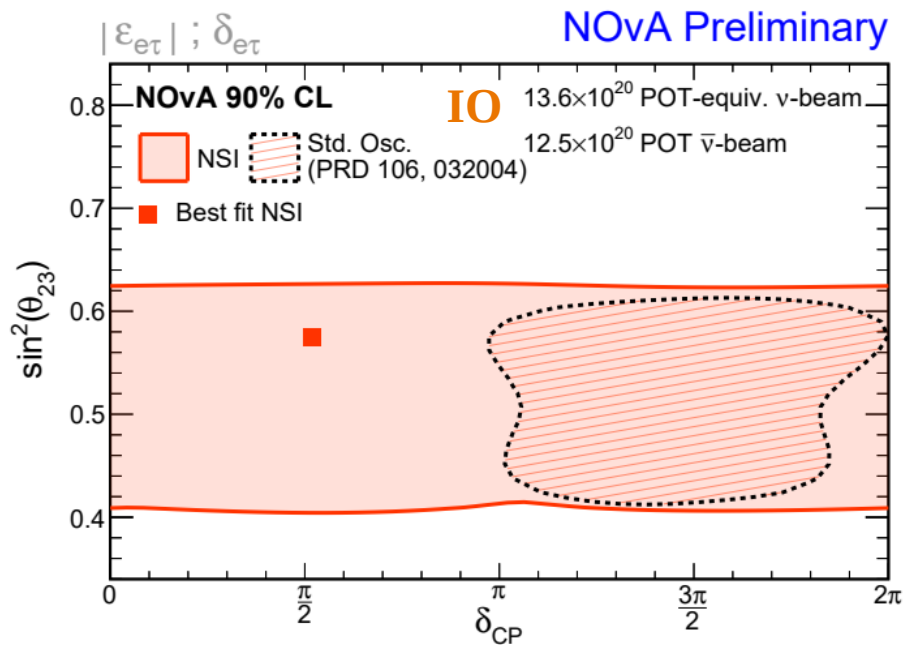
$\nu_\mu$  disappearance unaffected by NSI

# $\sin^2\theta_{23}$ vs $\delta_{CP}$ with $e\mu$ model



$\nu_e$  appearance affected by non-zero NSI

# $\sin^2\theta_{23}$ vs $\delta_{CP}$ with $\epsilon\tau$ model



$\nu_e$  appearance affected by non-zero NSI

# Conclusion

- NOvA alone doesn't need NSI to explain spectra
- $\varepsilon_{e\mu} < 0.3$
- $\varepsilon_{e\tau} > 0.4$  ruled out for most of phase space
  - High  $\varepsilon_{e\tau}$  degeneracy
- $\delta_{CP}$  measurements difficult with non-zero NSI



# Thank you

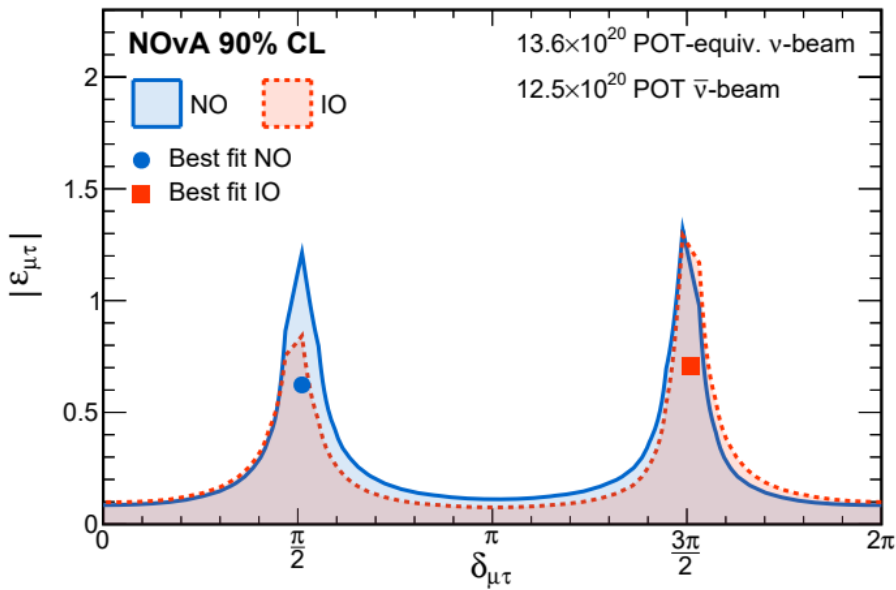


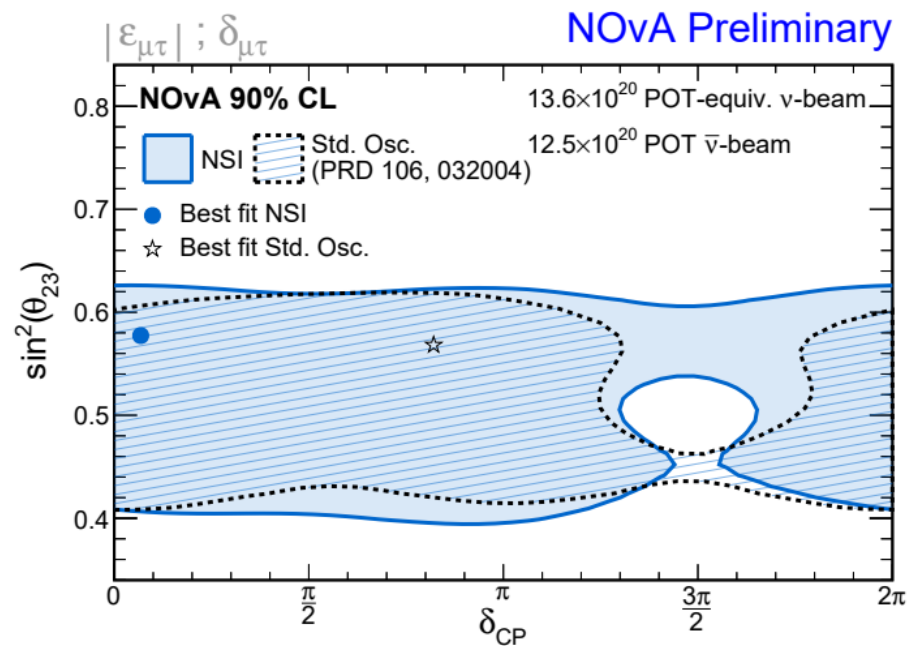
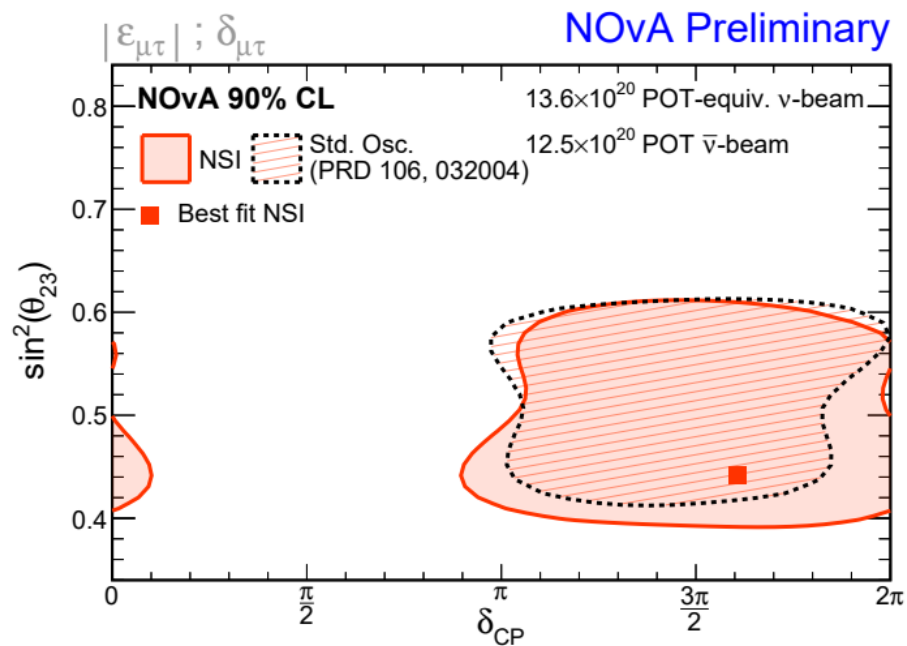


# Backup Slides

# MuTau

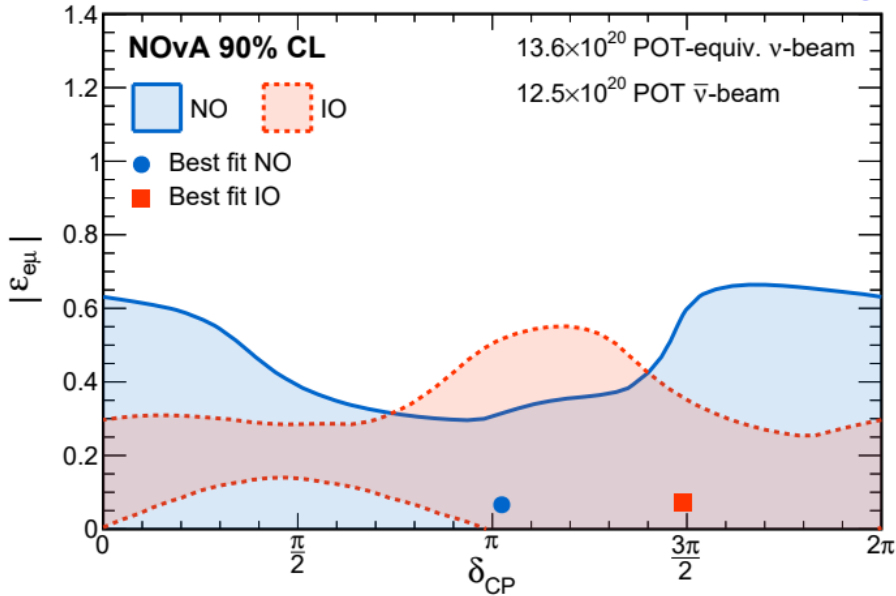
NOvA Preliminary



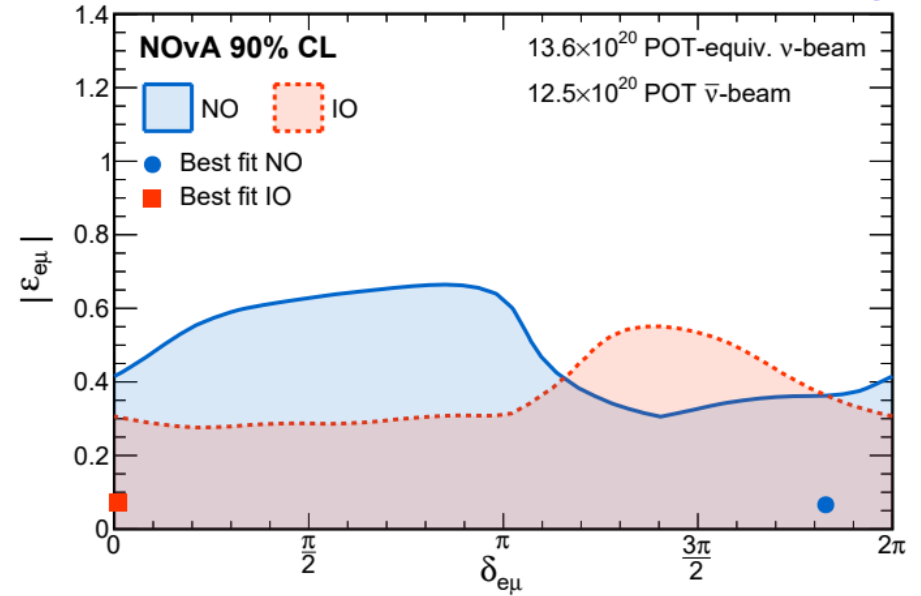


# EMu

NOvA Preliminary

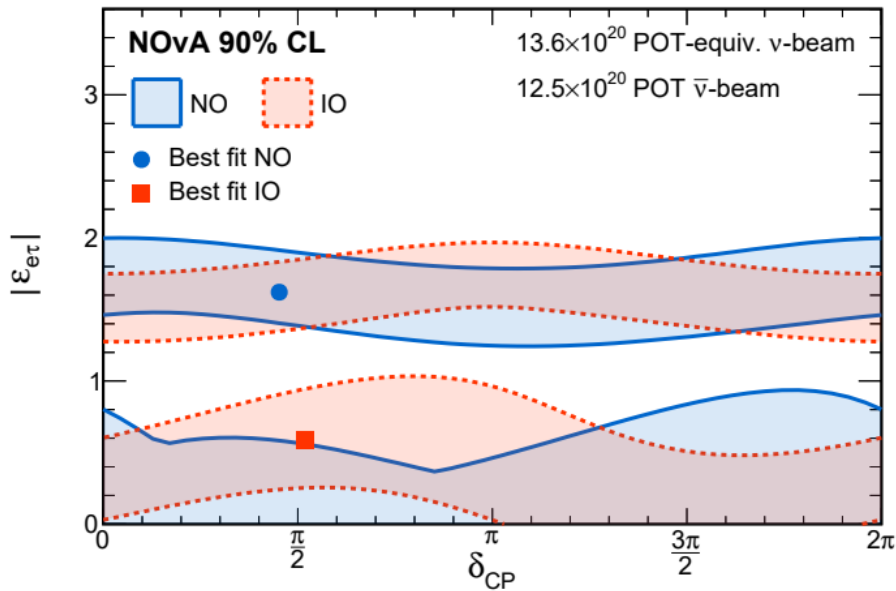


NOvA Preliminary

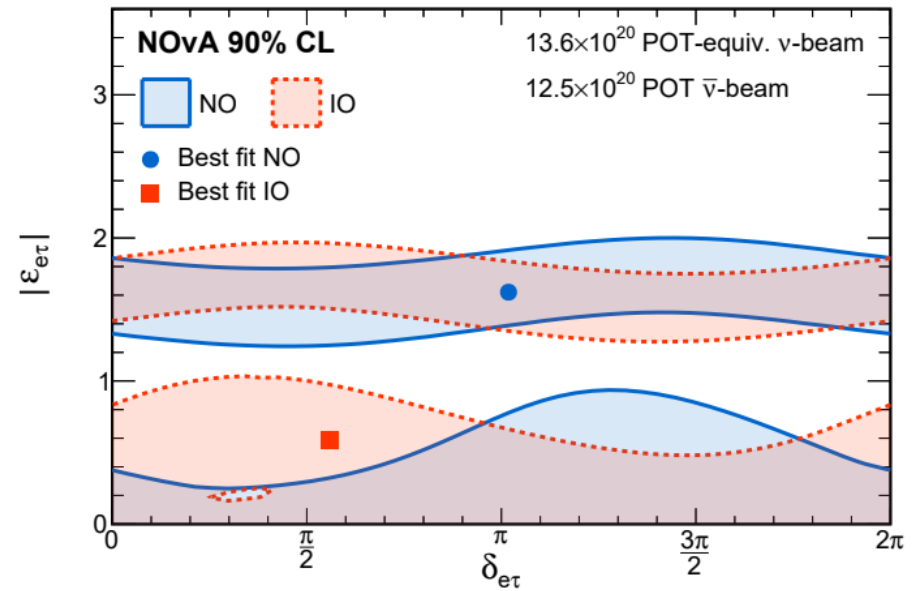


# ETau

NOvA Preliminary

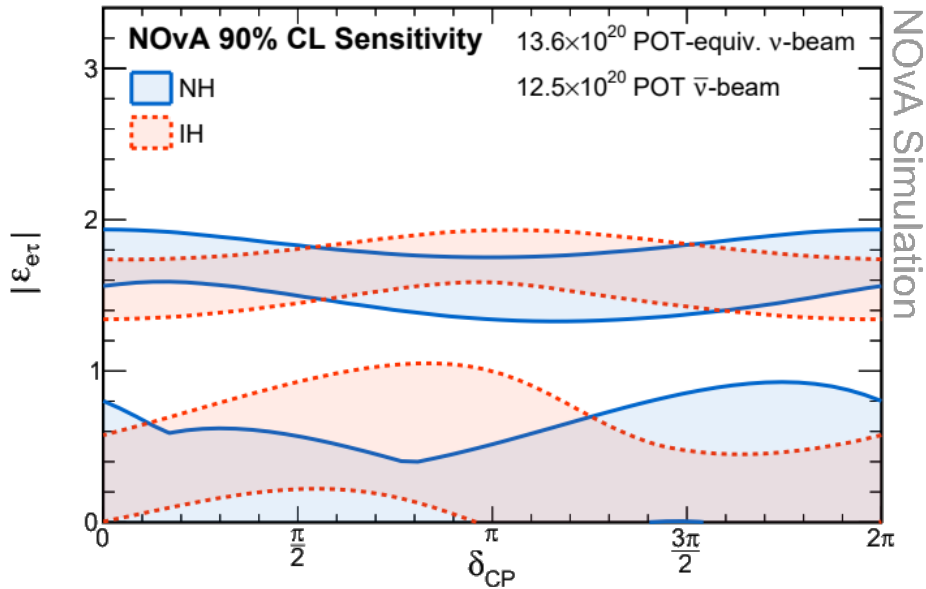


NOvA Preliminary

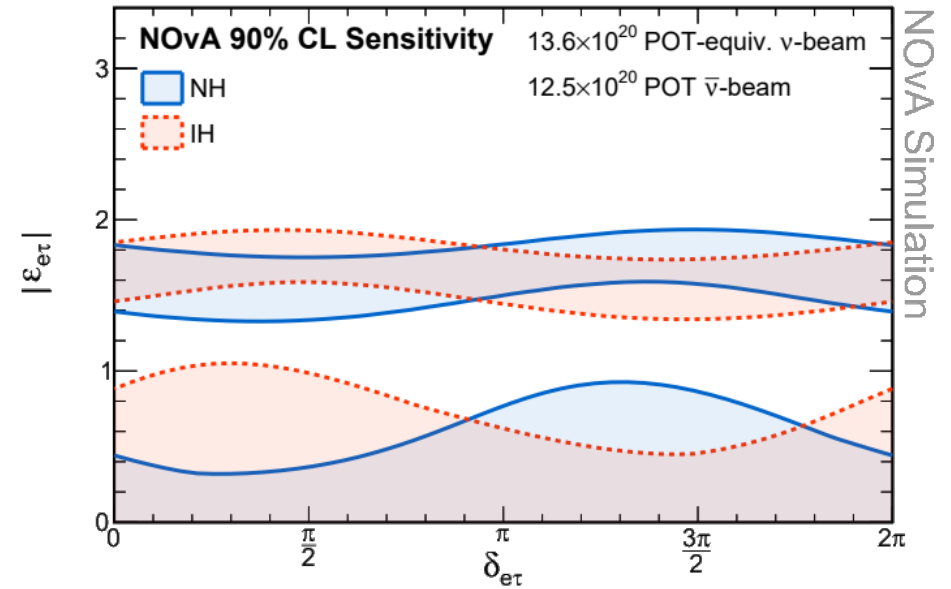


# Sensitivity

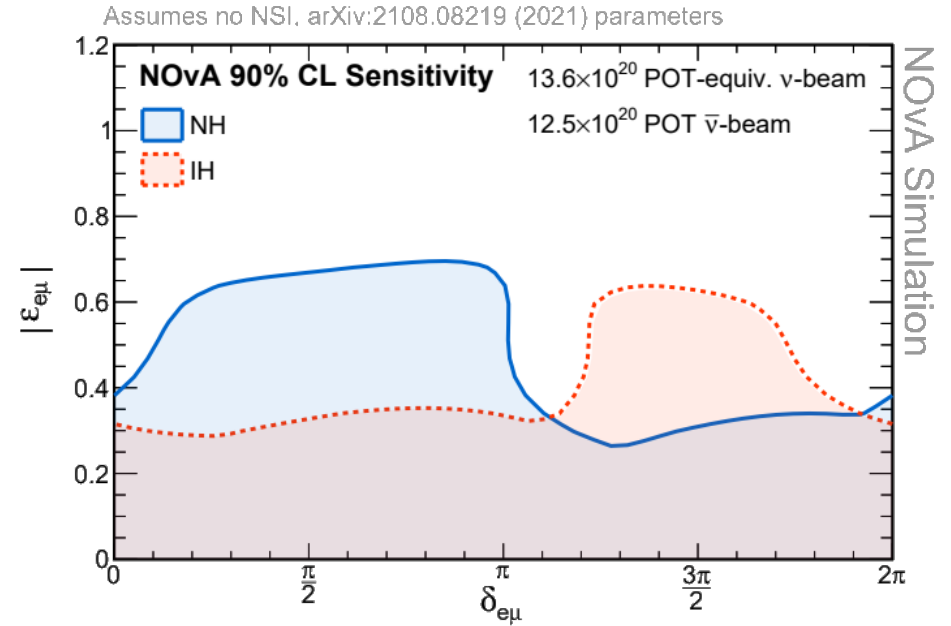
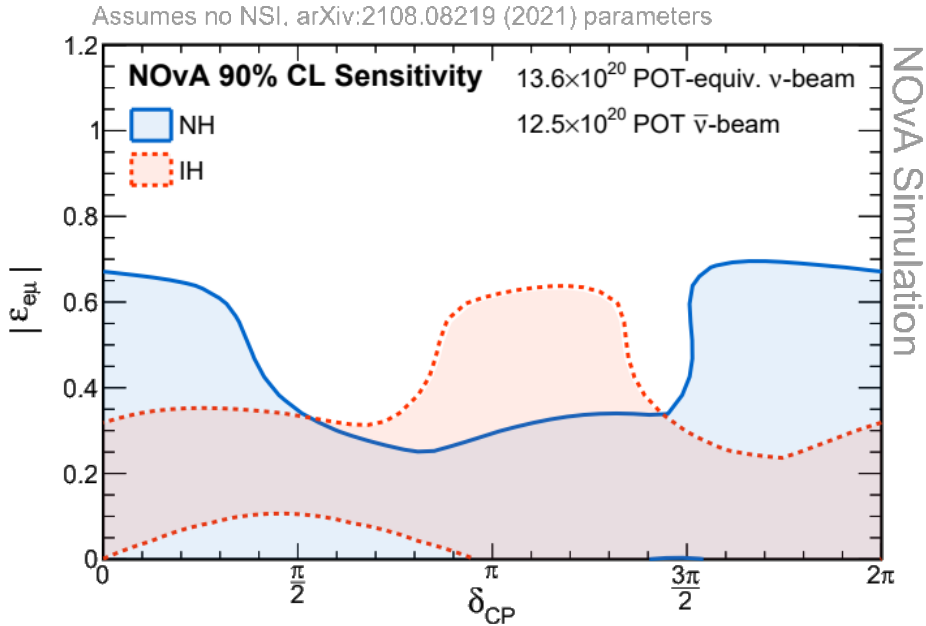
Assumes no NSI, arXiv:2108.08219 (2021) parameters



Assumes no NSI, arXiv:2108.08219 (2021) parameters

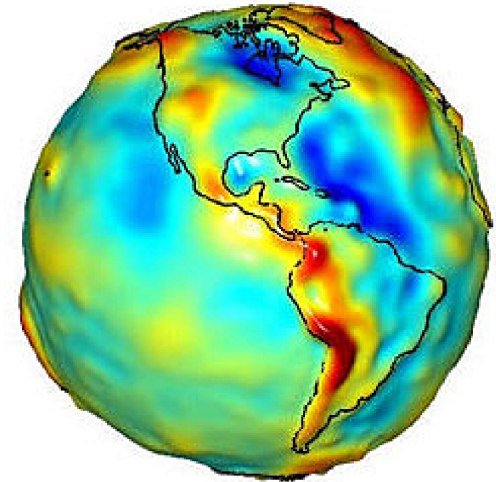
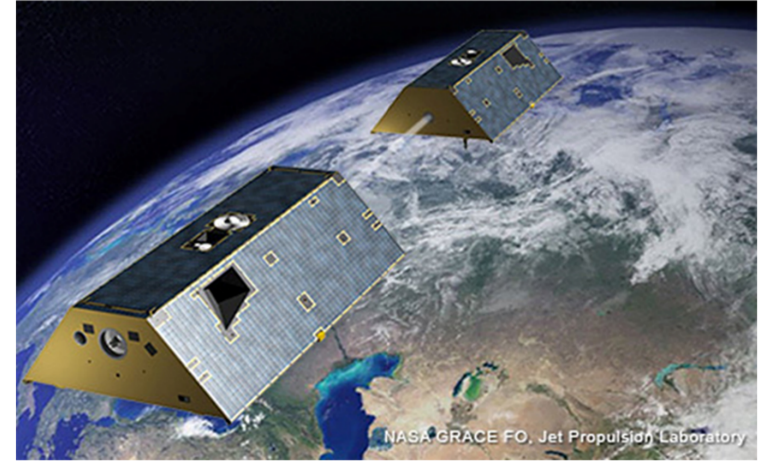


# Sensitivity



# Measuring $\rho$

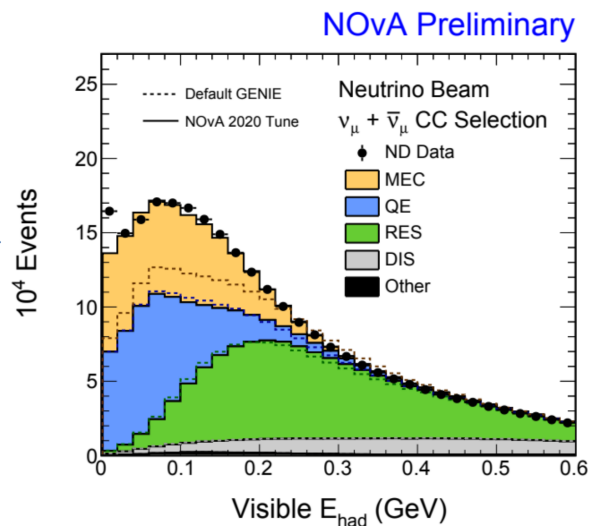
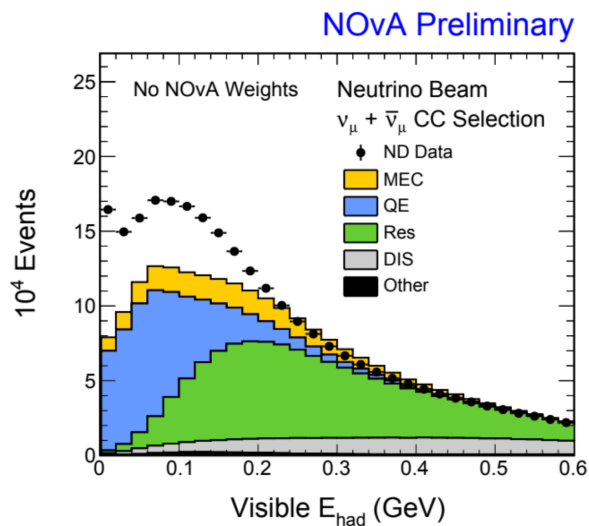
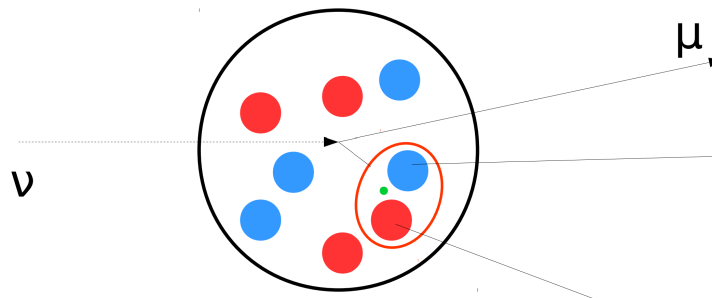
- Seismology
  - Depth = 0km- $R_{\text{earth}}$
- Gravity
  - Depth = 0km-moho (35km)
  - Uses assumptions based on seismology data
- Direct bores
  - 1-3 km fracking bore holes
  - 12km superdeep record





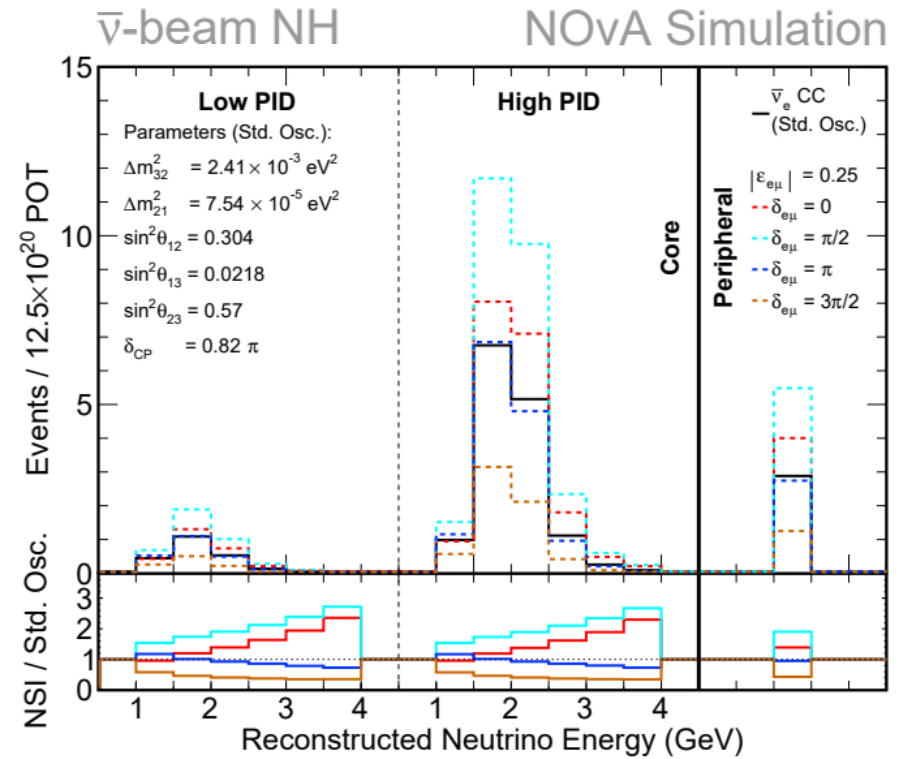
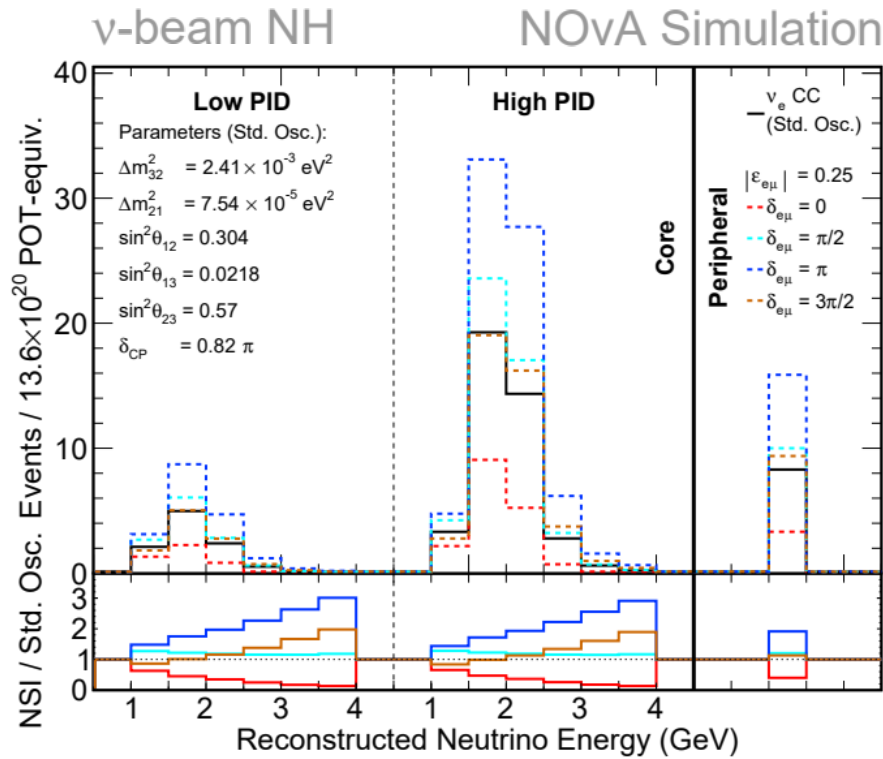
# MEC Model

- Valencia MEC tuned to data
- NN/PP vs NP vs MINERvA systematics

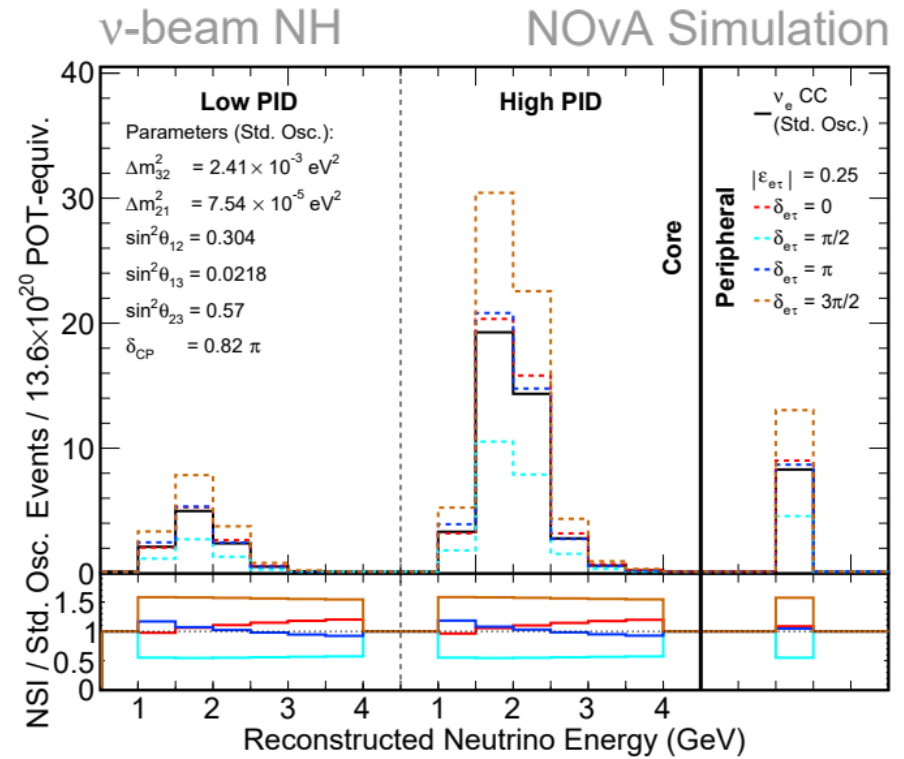
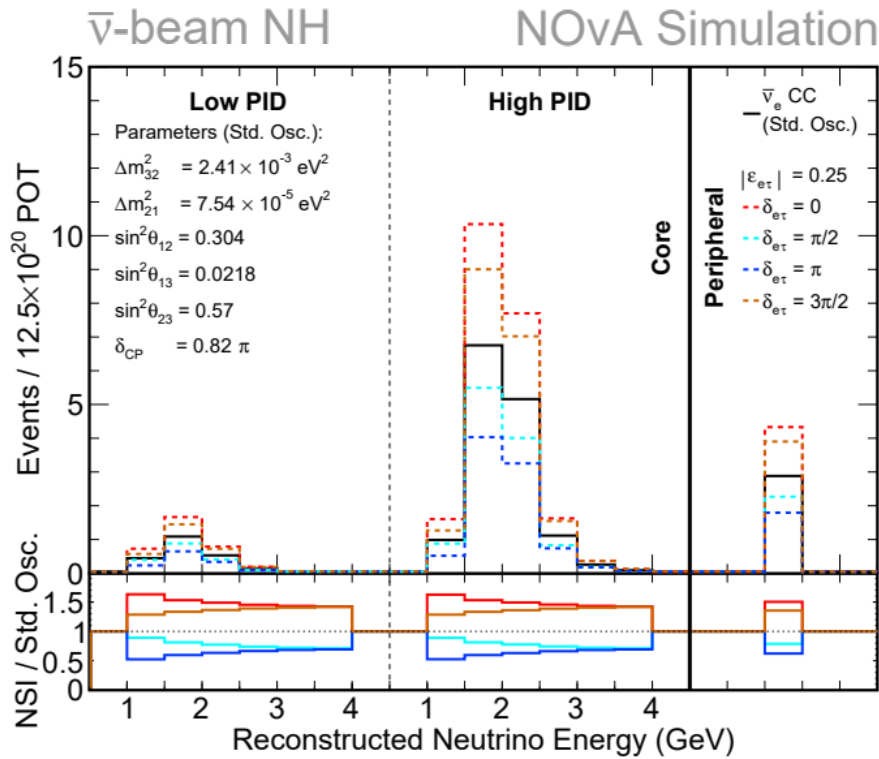


# Effect of NSI on Reconstructed Spectra

# $e\mu$



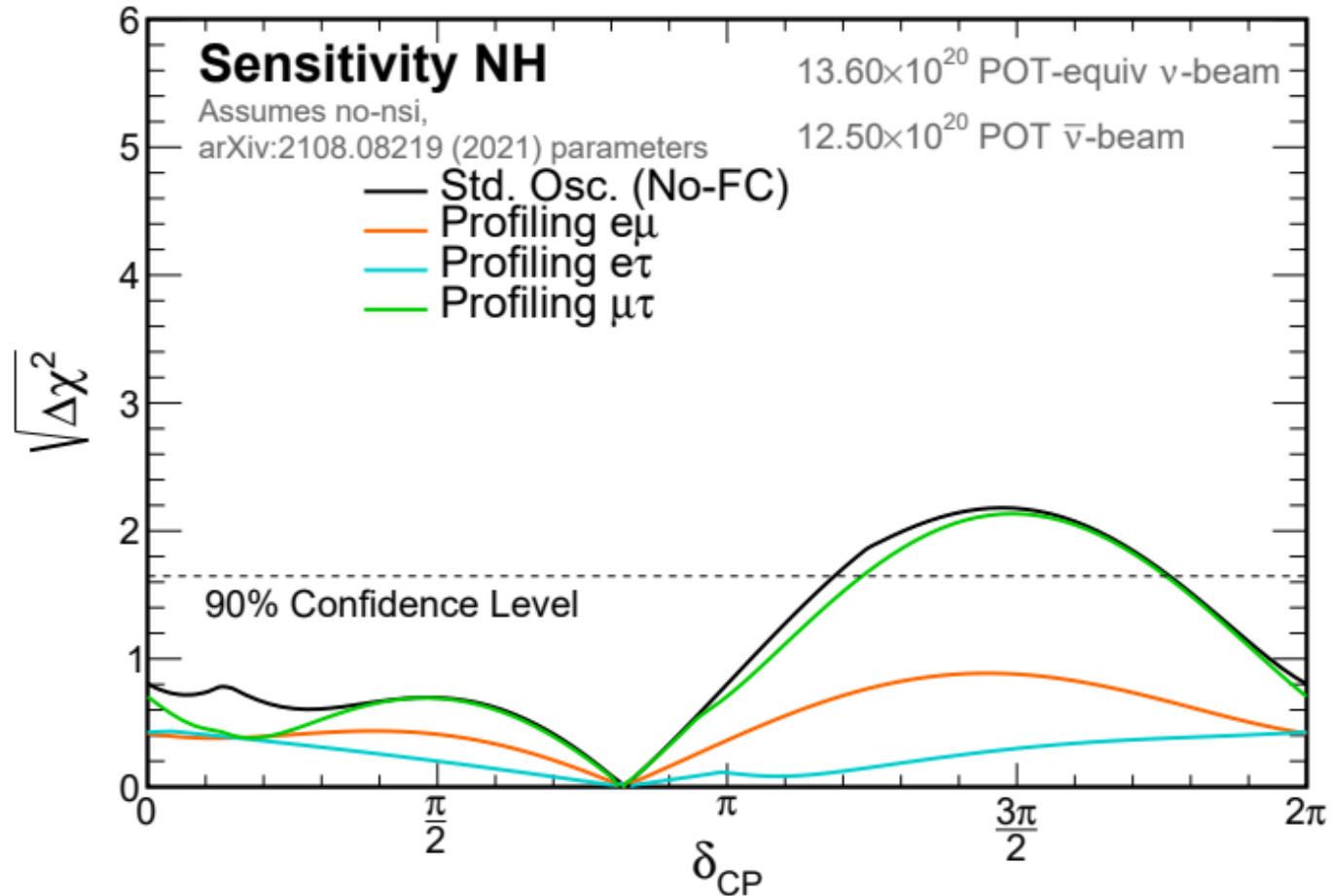
# eT



# Sensitivities

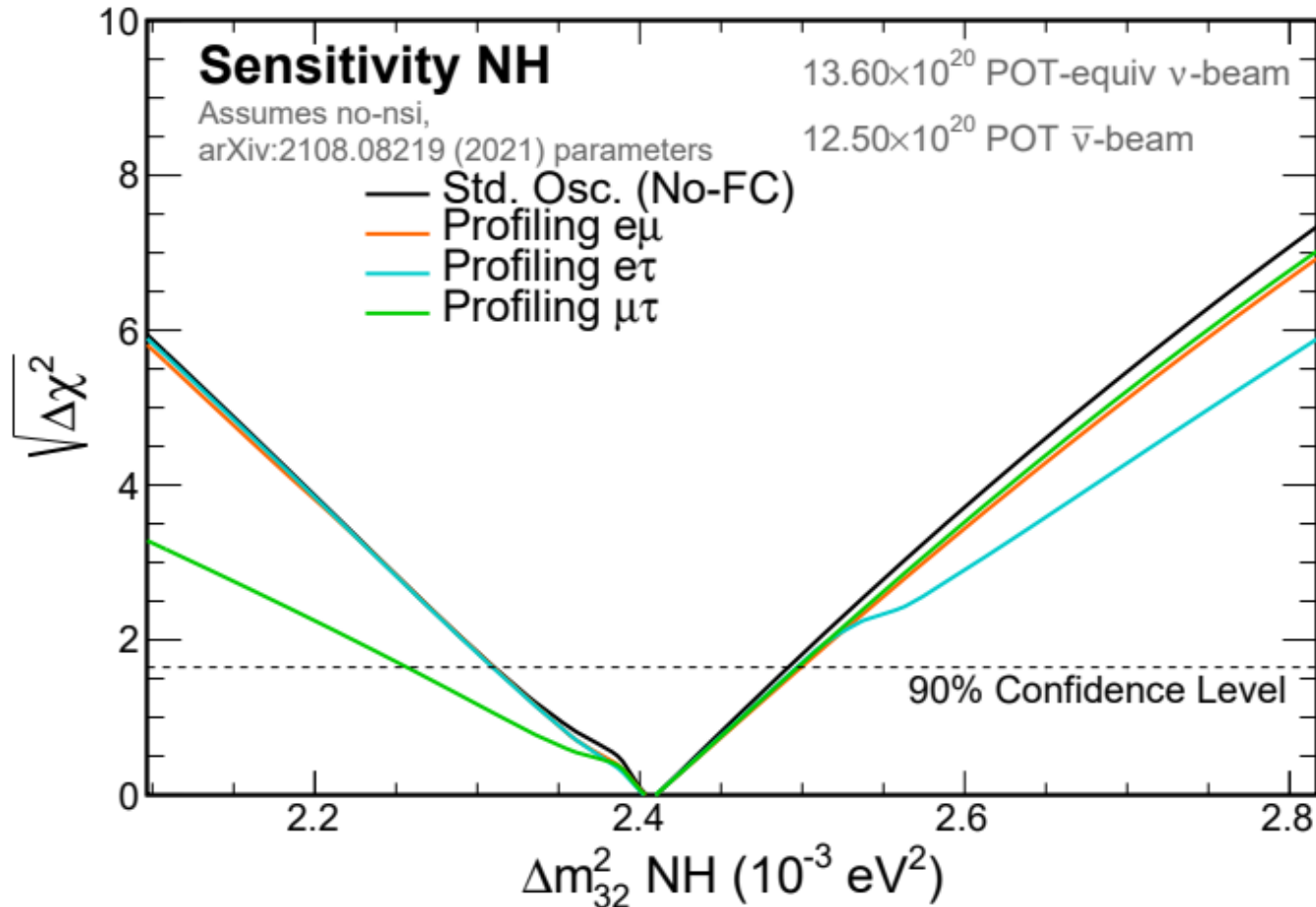
# $\delta_{CP}$

## NOvA Simulation

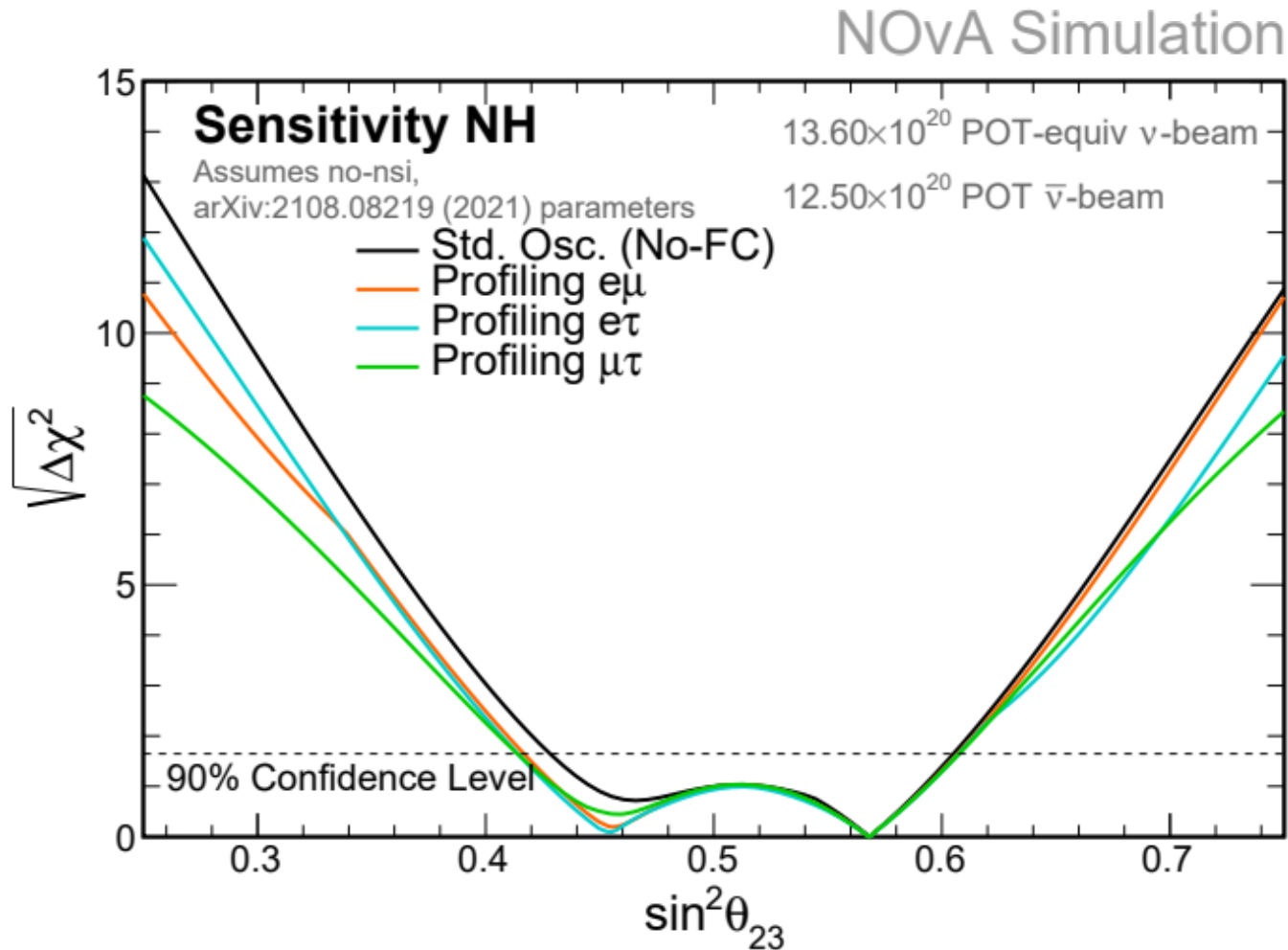


# $\Delta m^2_{32}$

## NOvA Simulation



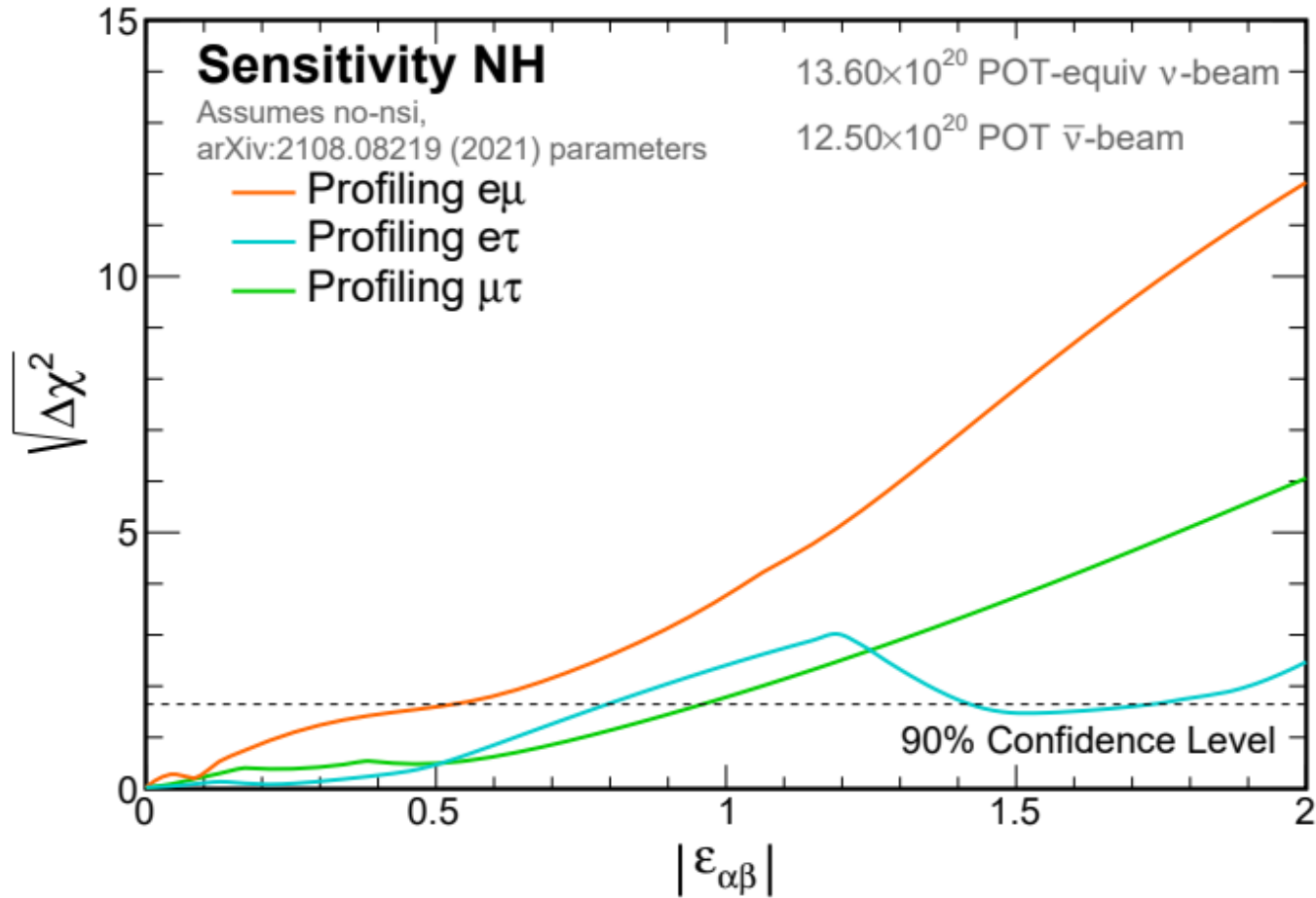
# $\sin^2\theta_{23}$





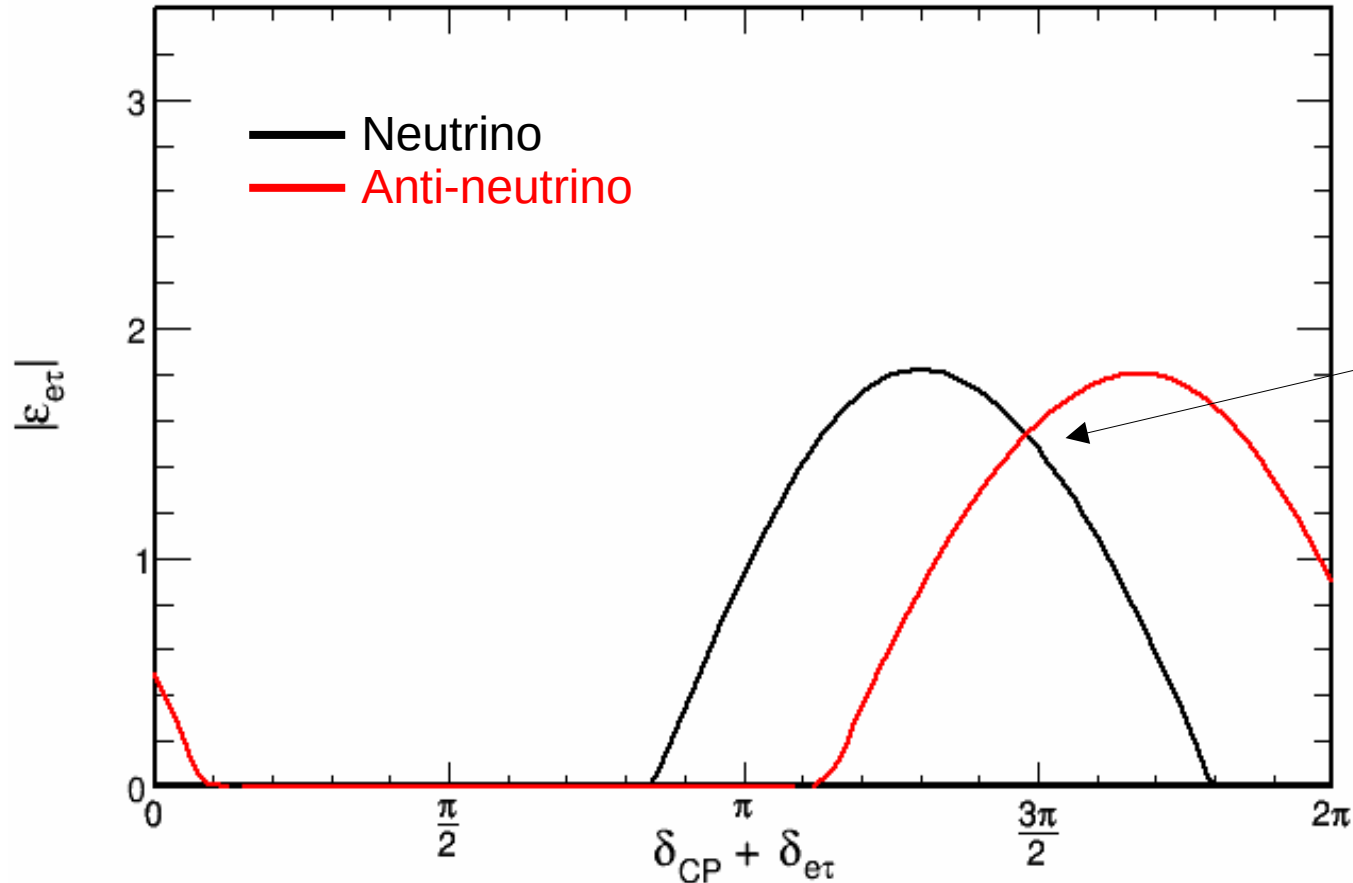
# $\epsilon_{\alpha\beta}$

## NOvA Simulation



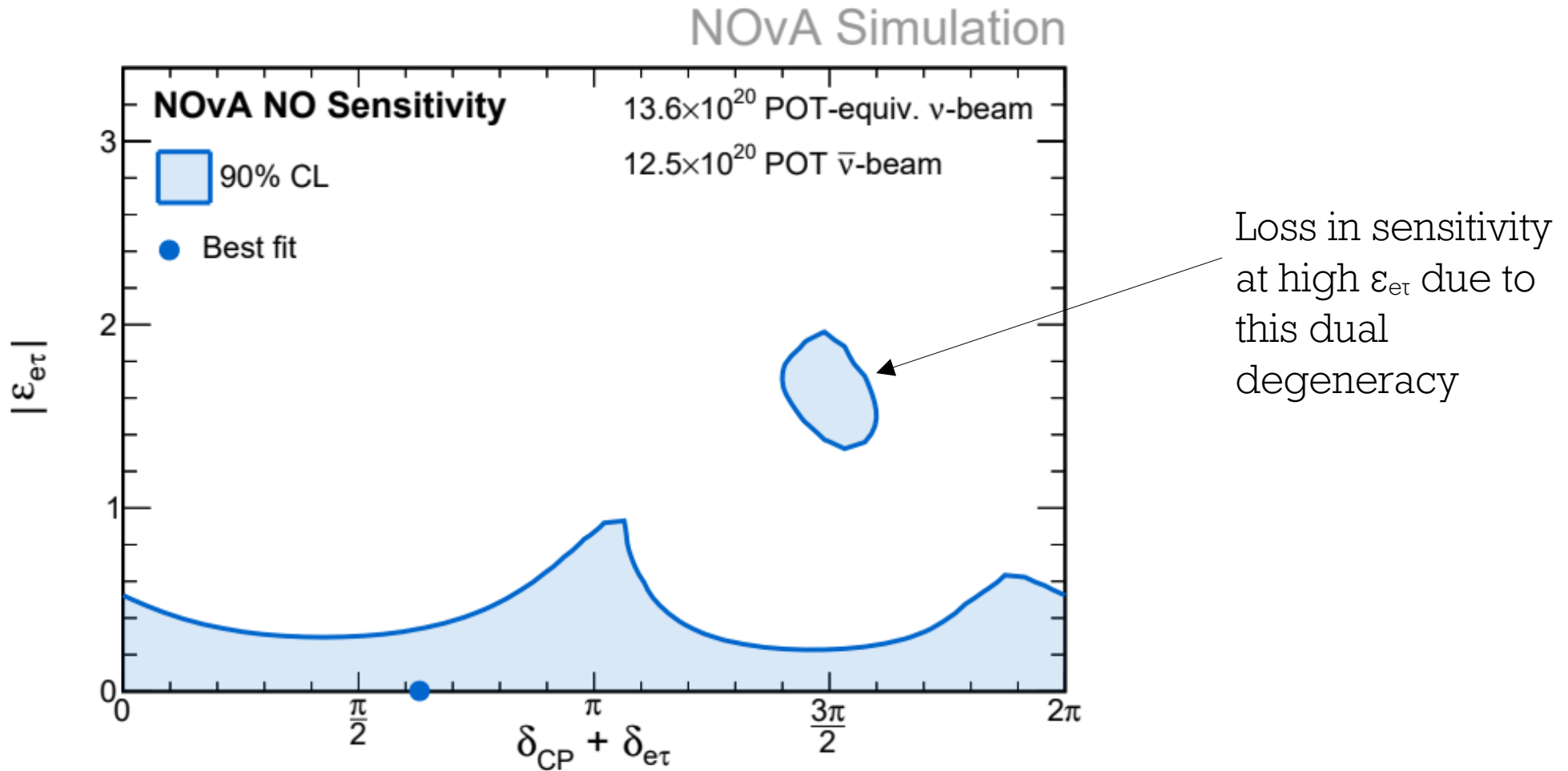
# Degeneracy vs Delta

Points where  $P(\nu_\mu \rightarrow \nu_e | \epsilon_{e\tau}) = P(\nu_e | \epsilon_{e\tau}=0)$  for  $E = 1.75\text{GeV}$

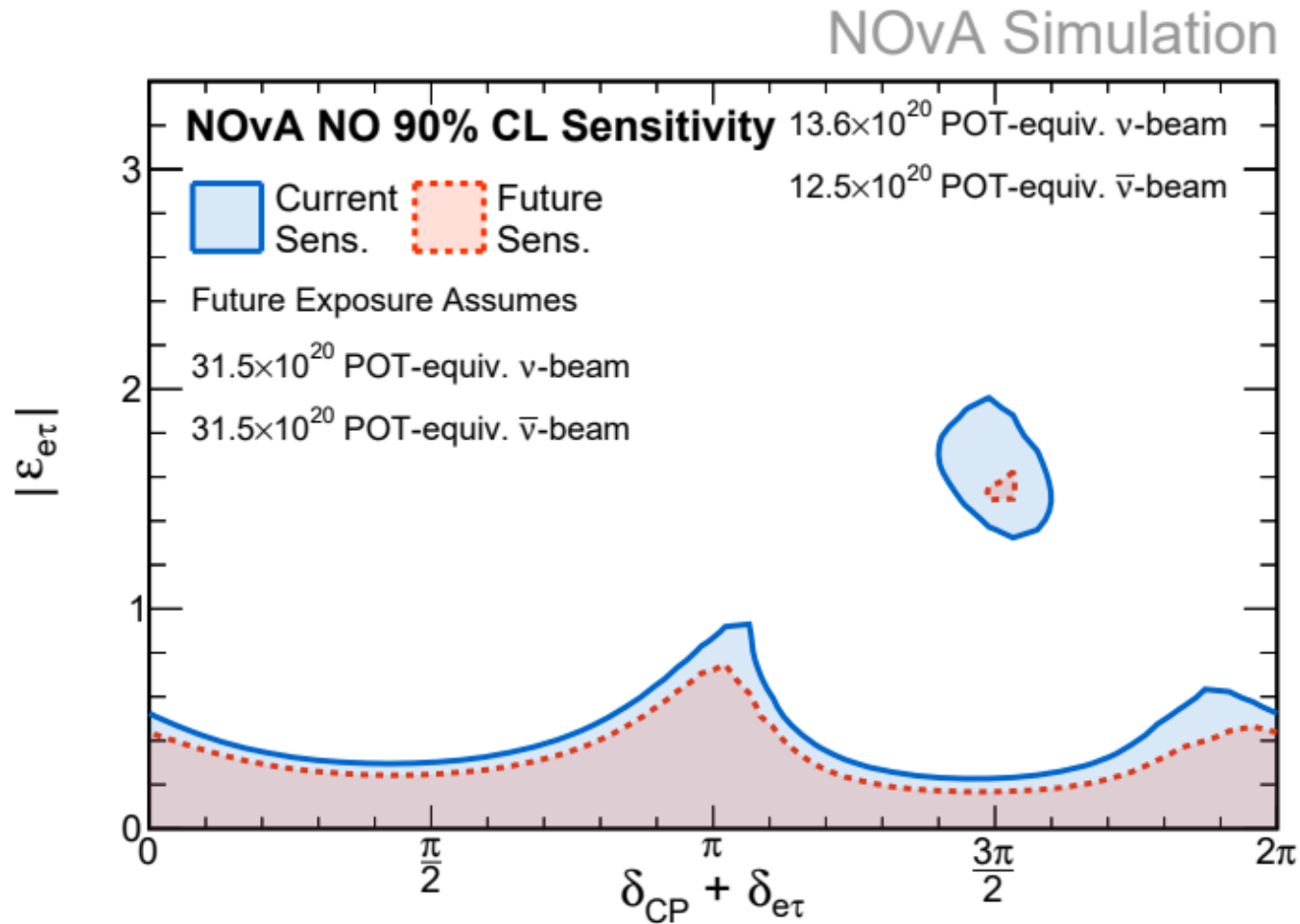


Would expect a  
loss in sensitivity  
here

# $\epsilon_{\tau}$ Sensitivity



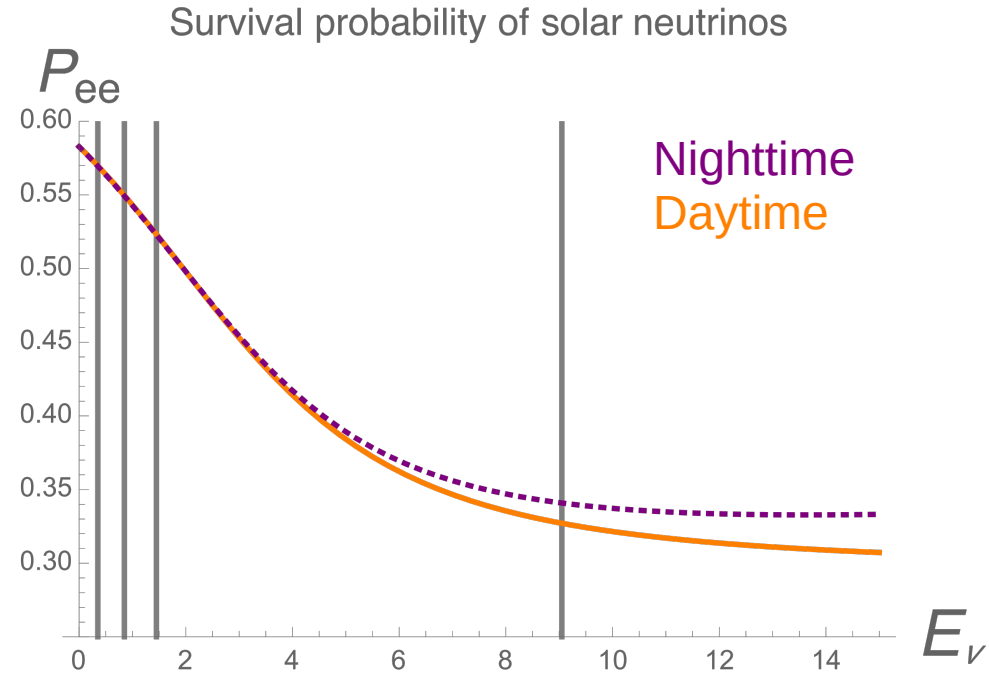
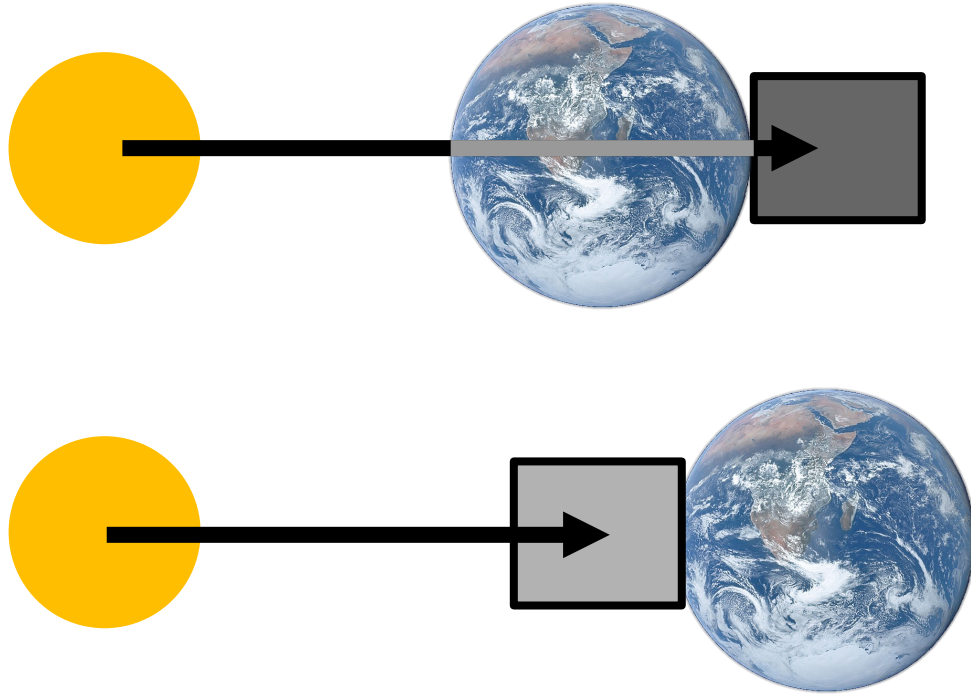
# Future $\epsilon_{\text{et}}$ Sensitivity



Future statistics not quite enough to remove high  $\epsilon_{\text{et}}$  band.

Looking into additional improvements to the analysis

# Mikheyev–Smirnov–Wolfenstein (MSW) Effect



Next slide: The math view

# NSI in the Sun

