Final-State Interactions in inclusive and exclusive one-nucleon knockout

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Self-consistent mean field



Mean field nucleus

- Mean field potential
- Single-particle wavefunctions
- Binding energies
- Orthogonal states (\rightarrow Pauli-blocking)

RMF

 Non-linear extended sigma-omega model Extension of the original

σ-ω Walecka model (Ann. Phys.83,491 (1974)).

HF-SkE2

 Hartree-Fock with extended Skyrme force

M. Waroquier et al. / Effective Skyrme-type interaction (I) Nuclear Physics A404 (1983) 269–297

Self-consistent mean field



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- Binding energies
- Orthogonal states (\rightarrow Pauli-blocking)

Effective interactions constrained by properties of nuclei and nuclear matter

		$({ m MeV}\cdot{ m f}$	m ⁸)	K (MeV)		$(E/A)_{\rm n.}$ (MeV)	.m.)	$k_{\rm F} \ ({ m fm}^{-1})$	m^*/m	a_{τ} (MeV)
SkE2 SkE4 SkIII		-15808.79 -12258.97 0.0		200 250 356		-16.0 -16.0 -15.87		1.33 1.31 1.29	0.72 0.75 0.76	29.7 30.0 28.2
	E/A	rp	r _n	re	E/A	rp	r _n	rc		
		16	0			40	Ca			
SkE2	-7.92	2.63	2.60	2.68	-8.56	3.37	3.31	3.42		
SkE4	-7.96	2.65	2.62	2.70	-8,59	3.40	3.35	3.46		
SkIII	-8.03	2.64	2.61	2,70	-8.57	3.41	3.36	3.46		
exp	-7.98			2.71 ^a)	-8.55		3.36°)	3.48 ^b)		
		90	Zr			13	² Sn			
SkE2	-8.67	4.17	4.24	4.21	-8.36	4.62	4.84	4.66		
SkE4	-8.71	4.22	4.29	4.26	-8.36	4.68	4.89	4.71		
SkIII	-8.69	4.26	4.31	4.30	-8.36	4.73	4.90	4.78		
exp	-8.71			4.27 °)	-8.36					

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Effective interactions constrained by properties of nuclei and nuclear matter



Charge (and weak) form factors in [N. Van Dessel et al. Arxiv:2007.03658]

HF-Skyrme mean field + Continuum RPA

Coordinate space formulation \rightarrow No cut-off in momenta (full continuum) Use the same Skyrme interaction for HF nucleus and in RPA

Takes into account collective d.o.f. : Giant Resonance region : Quenching of QE peak at low Q²

$$|\Psi_{RPA}\rangle = \sum_{c} \left\{ X_{(\Psi,C)} | ph^{-1} \rangle - Y_{(\Psi,C)} | hp^{-1} \rangle \right\}$$

Electron scattering ⁴⁰Ca



(e,e') off Calcium

• Blue band uncertainty due to residual interaction in CRPA



Cut off determined in

[V. Pandey, et al Phys. Rev. C 92, 024606 (2015)]

[A. Nikolakopoulos et al. PRC 103 (2021) 6, 064603]

Electron scattering ⁵⁶Fe



(e,e') off Iron

• Blue band uncertainty due to residual interaction in CRPA



Cut off determined in

[V. Pandey, et al Phys. Rev. C 92, 024606 (2015)]

[A. Nikolakopoulos et al. PRC 103 (2021) 6, 064603]





Asymmetry T2K measurement

SuSAv2 MEC (RFG calculation) [G. D. Megias et al. PRD91, 073004 (2015)]

Add Hydrogen in anti-neutrino reactions

Dashed lines: assumption of isospin symmetry (neglect Coulomb effects)

Asymmetry quite model-independent

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GFMC ab-initio results

1000

0

2000

3000



GFMC results

- Consistent treatment of oneand two-body currents!
- CRPA & GFMC 1b are similar
- CRPA + SuSAv2 MEC & GFMC 1+2b Similar in backward bins
- **Discrepancies in** forward low- P_{ii} region

GFMC ab-initio results



GFMC results

- Consistent treatment of oneand two-body currents!
- CRPA & GFMC 1b are similar
- CRPA + SuSAv2 MEC & GFMC 1+2b Similar in backward bins
- Discrepancies in forward low- P_{μ} region

GFMC: [A. Lovato et al. Phys. Rev. X 10, 031068 (2020)]

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Treatment of final-state nucleon



FIG. 4: QE predictions for the process ${}^{12}C(e, e')$ with the RPWIA, PB-RPWIA, RPWIA($p_N > 230$), and RMF



v + 40 Ar (CC) at E_v = 200 MeV

Treatment of final-state nucleon

Same initial-state nucleon, different treatments of final-state wavefunction



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Inclusive cross sections in generators



Inclusive cross sections in generators

The idealized version of the event generator:



[Fig. From K. Niewczas]

When an inclusive calculation is implemented: (part of) the initial-state, the 'extra effects' and the FSI are included

It is not possible to obtain the hadron information from inclusive CS

Kinematics of single-nucleon knockout



All particles: $P_X \cdot P_X = M_X^2$

No 'off-shell' initial nucleon

Kinematics of single-nucleon knockout



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Kinematics of single-nucleon knockout



given $\omega q \cos \theta_N \varphi_N$

$$E_m = E_i - E_f - T_N - T_B = M_B + M_N - M_A.$$
 (2)

The total energy of the residual system is

$$E_B = T_B + M_B = \sqrt{M_B^2 + |\vec{p}_m|^2}, \qquad (3)$$

 $P_{B}^{\ \mu} = (E_{B}, \ p_{m} = q - k_{N})$ and its momentum is the missing momentum

$$\vec{p}_m = \vec{k}_i - \vec{k}_f - \vec{k}_N. \tag{4}$$

All particles: $P_X \cdot P_X = M_X^2$

+Nuclear model → Available states





[M. Leuschner et al. PRC49, 955 (1994)]

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Exclusive electron scattering



[M. Leuschner et al. PRC49, 955 (1994)]

Independent particle model



Independent particle model



Wave function behaves like a momentum state only for large radius

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Relativistic plane-wave impulse approximation



With <u>plane-wave</u> $\overline{\Psi}_{pw}(\vec{r}, k_N, s) = \overline{u}(k_N, s)e^{-i\vec{r}\cdot\vec{k}_N}$

 $J_{n,\kappa}^{\mu} = (2\pi)^{3/2} \,\overline{u}(k_N,s)\mathcal{O}^{\mu}\phi_{\kappa}^{m_j}(\vec{p}_m)$

The squared matrix element is 'proportional' to $n(p_m) = \sum_{\kappa,m_j} |\phi_\kappa^{m_j}(p_m)|^2$

With <u>distorted-wave</u> : probe a broader region in momentum space

Factorization in PWIA and (R)DWIA



Factorization in PWIA and (R)DWIA



From inclusive to exclusive



[Fig. from K. Niewczas]

Input to the generator here:

$$\frac{\mathrm{d}\sigma(E_{\nu})}{\mathrm{d}E_{l}\mathrm{d}\cos\theta_{l}} = G^{2}\frac{k_{l}}{E_{\nu}}L_{\mu\nu}\int\mathrm{d}\Omega_{N}\sum_{n,\kappa}H_{n,\kappa}^{\mu\nu}(\omega,q,\Omega_{N},E_{n,\kappa})$$
$$G^{2}\frac{k_{l}}{E_{\nu}}\left[L_{00}\tilde{H}^{00} - 2L_{03}\tilde{H}^{03} + L_{33}\tilde{H}^{33} + \frac{L_{11} + L_{22}}{2}\left(\tilde{H}^{11} + \tilde{H}^{22}\right) + 2L_{12}\mathrm{Im}\tilde{H}^{12}\right]$$

As function of ω , q

Nucleon variables in GENIE

Input to the generator is inclusive cross section:

$$\frac{\mathrm{d}\sigma(E_{\nu})}{\mathrm{d}E_{l}\mathrm{d}\cos\theta_{l}} = G^{2}\frac{k_{l}}{E_{\nu}}L_{\mu\nu}\int\mathrm{d}\Omega_{N}\sum_{n,\kappa}H_{n,\kappa}^{\mu\nu}(\omega,q,\Omega_{N},E_{n,\kappa})$$

Lost nucleon information \rightarrow Need to generate it in GENIE

- 1. Draw initial nucleon \mathbf{p}_{m} from p² n(p) (e.g. LFG)
- **!!**2. Compute $E_m^2 = p_m^2 + M_N^2$
 - 3. $E_N = E_m + \omega E_b(q)$

4.
$$k_N^2 = E_N^{2-} M_N^2$$

!! $|\mathbf{p}_m + \mathbf{q}| \neq k_N = \sqrt{E_N^2 - M_N^2}$

 $-\mathbf{k}_N = \frac{\kappa_N}{|\mathbf{p}_m + \mathbf{q}|} \ (\mathbf{p}_m + \mathbf{q})$



0.5

5. Give residual momentum to remnant

Nucleon variables in GENIE and RDWIA

Input to the generator is inclusive cross section:

$$\frac{\mathrm{d}\sigma(E_{\nu})}{\mathrm{d}E_{l}\mathrm{d}\cos\theta_{l}} = G^{2}\frac{k_{l}}{E_{\nu}}L_{\mu\nu}\int\mathrm{d}\Omega_{N}\sum_{n,\kappa}H_{n,\kappa}^{\mu\nu}(\omega,q,\Omega_{N},E_{n,\kappa})$$

Same inclusive cross sections different nucleon observables



Nucleon variables in GENIE and RDWIA

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Same inclusive cross sections different nucleon observables



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Benchmarking intra-nuclear cascade models for neutrino scattering with relativistic optical potentials.

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arXiv:2202.01689v1 [nucl-th] 3 Feb 2022

A direct comparison of RDWIA with relativistic optical potential (ROP) with (NEUT) intra-nuclear cascade (INC) model

1.) Differences and similarities between RDWIA and INC

- 2.) Consistent input from the RDWIA for the INC
- 3.) Event selection to compare ROP and INC
- 4.) The actual comparison

Empirical relativistic optical potential (ROP)

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Global Dirac phenomenology for proton-nucleus elastic scattering

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R. L. Mercer

IBM Thomas J. Watson Research Center, Yorktown Heights, New York 10598

				EDAD-fit		Reference
Target	T_p (MeV)	EDAI-fit	fit 1	fit 2	fit 3	
^{12}C	29.00	420.2	435.5	433.1	422.7	[6]
	30.30	415.9	429.0	425.6	414.2	[7]
	49.00	358.8	363.0	348.4	327.7	[6]
	49.48	357.4	361.8	347.0	326.1	[8]
	61.40	323.3	335.6	317.0	294.8	[9]
	65.00	313.5	329.0	309.7	287.4	[10]
	122.00	202.2	269.0	254.4	230.5	[11]
	160.00	177.8	252.3	246.4	215.2	[11]
	200.00	177.6	243.0	243.9	205.0	[11-13]
	300.00	201.1	233.0	235.4	194.9	[14]
	398.00	215.8	227.4	218.6	199.1	[15]
	494.00	227.2	223.7	203.0	211.6	[16]
	797.50	238.4	235.3	209.9	250.0	[17,18]
	1040.00	198.6	259.4	243.8	232.2	[19,20]

(Received 31 August 1992)





RDWIA with ROP for exclusive (e,e'p)





FIG. 11. The reduced cross section (σ_{red}) of the ¹⁶O(e, e'p) reaction as a function of the recoil momentum p_m for the transitions to the $1/2^-$ ground state and to the $3/2^-$ excited state of ¹⁵N, in

RDWIA with ROP for exclusive (e,e'p)



[M. Leuschner et al. PRC49, 955 (1994)]

RDWIA with ROP for exclusive (e,e'p)

[M.C. Martinez et al. PRC73 024607]



Imaginary part of the potential removes Strength lost in inelastic FSI i.e. FSI which changes E_m considerably



NEUT Cascade model

1.) Nucleon propagates in straight lines with step of 0.2 fm

2.) Check for interaction based on density and in-medium nucleonnucleon CS

- 3.) Pauli-blocking: Reaction products must be above p_{Fermi}
- 4.) Track created particles on the way Eur. Phys. J. Spec. Top. (2021) 230:4469–4481

Differences with ROP:

Explicit description of reaction products

No elastic FSI

Interactions only with constituent nucleons

Cascade does not affect inclusive CS



Nucleon – nucleus scattering



Used as benchmark (and/or input) to INC

Generated unfactorized RDWIA events as input to cascade

Events are 1p 1µ with T2K flux Distributed according to \rightarrow

$$\langle \frac{d^6 \sigma}{\mathrm{d}k_l \mathrm{d}\Omega_l \mathrm{d}p_N \mathrm{d}\Omega_N} \rangle = \int \mathrm{d}E_m \,\phi(E)$$
$$\times \mathcal{F} \frac{k_l^2 p_N^2 M_B^*}{(2\pi)^5 E_B f_{rec}} \,\ell_{\mu\nu} H^{\mu\nu} \,,$$

Generated <u>unfactorized RDWIA events as input</u> to cascade

Events are 1p 1 μ with T2K flux

Single-particle states for GS are included \rightarrow



Generated unfactorized RDWIA events as input to cascade

Events are 1p 1 μ with T2K flux

Single-particle states for GS

See [RGJ et al. PRC105, 025502] \rightarrow For inclusion of effective SF



Generated unfactorized RDWIA events as input to cascade

40

nb/MeV/sr 30 52

1.2

Events are $1p \ 1\mu$ with T2K flux

Single-particle states for GS

Motivation:

Realistic inclusive cross section is retained with cascade

rROP modifies dispersion relation in nucleus \rightarrow not included in cascade 'elastic FSI'

[RG] et al. PRC101, 015503]



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NEUT Cascade with rROP input



RDWIA with ROP removes inelastic FSI from signal

 \rightarrow Need to remove it from NEUT output

Selecting 'elastic' events



In NEUT any interaction will produce additional particle tracks

 \rightarrow 1 track events 'nothing happens'

 \rightarrow Is equivalent to selecting missing energy from the shell-model region

A cut on ${\rm E_m}$ makes NEUT and ROP comparable

Selecting 'elastic' events



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rROP+NEUT and ROP for carbon



Agreement for T_p large ($T_p > 150$ MeV)

Disagreement at small T_p

Below (local) T_F : Pauli-blocking The cascade lets <u>all nucleons</u> escape without interaction

rROP+NEUT and ROP for carbon



Important: E.g. large differences in produced neutrons at low T_n

rROP+NEUT and ROP for carbon



Important: E.g. large differences in produced neutrons at low T_n

At small energy optical model 'breaks down'

Should be more suitable than INC

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Dependence on radius



Introduce events at CS-weighted density

7

8

 \rightarrow Resulting distribution similar to GS

 \rightarrow Agreement between ROP and INC is lost when uniform sperical density is used

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A-dependence



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Transverse Kinematic Imbalance



Because $p_p > 450$ MeV low energy differences are not seen

Effect of non-elastic FSI visible in $P_{_{T}}$ and $\alpha_{_{T}}$

Large non-QE 'background' not separable from FSI effects

Non-QE from [Bourguille et al. JHEP04(2021)004]

Transverse Kinematic Imbalance



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Degeneracy of interaction channels

Is reduced, but not removed by fixing incoming energy



[M. Khachatryan et al. Nature 599, 565]

(a)

2.2

2

1.8

1.6

E' (GeV)

Degeneracy of interaction channels

Is reduced, but not removed by fixing incoming energy

Is removed by restricting the energy/momentum of residual system

Restricted kinematics	$l + A \to l' + N + B$	Unrestricted kinematics
exclusive (e,e'p)	Fixed-E experiment (v _ı ,l'p) or (e,e'p)	Accelerator (v _ı ,l'p)
Fixed nucleon and lepton kinematics	Range of nucleon and lepton kinematics	Range of nucleon and lepton
Severely restrict E _m	<u>Restrict E_mto probe</u> <u>specific interaction</u> <u>mechanisms</u>	kinematics No E _m restriction
Learn nuclear structure and Reduce FSI and kinematic effects		possible

Degeneracy of interaction channels



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NuSTEC Cross Theory and Generators Working Group Seminar

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Conclusions

- (R)DWIA calculations in mean-field provide a robust description of inclusive electron scattering over a large mass range and reasonable agreement with neutrino data
- Serves as the basis for additional nuclear effects like in RPA
- Implementation of inclusive cross sections in event generators do not provide nucleon observables. The nucleon distributions obtained in the generator do not agree with exclusive RDWIA calculations
- Unfactorized 1 nucleon knockout calculations over the whole phase space can be used to generate events
- Direct comparison of optical potential approach and nuclear cascade is possible and sets constraints on cascade model from elastic p-A
- Agreement for high Energy and different targets validates the cascade
- Disagreement for low energy requires assessment!
- Restricting energy of the residual hadron system through fixed incoming energy can isolate interaction mechanisms and provide further insight in FSI

Hartree-Fock with effective Skyrme interaction

$$-\nabla \left[\frac{\hbar^2}{2m_q^*(\mathbf{r})}\nabla \phi_{\alpha_q}(\mathbf{r})\right] + \left[U_q(\mathbf{r}) - iW_q(\mathbf{r}) \cdot (\nabla \times \sigma)\right] \phi_{\alpha_q}(\mathbf{r}) = \varepsilon_{\alpha_q}^{\mathrm{HF}} \phi_{\alpha_q}(\mathbf{r}) \,.$$

Density dependent effective mass and potential:

$$\frac{\hbar^{2}}{2m_{q}^{*}}(\mathbf{r}) = \frac{\hbar^{2}}{2m_{q}} + \frac{1}{4}(t_{1}+t_{2})\rho_{\text{tot}}(\mathbf{r}) + \frac{1}{8}(t_{2}-t_{1})\rho_{q}(\mathbf{r}) + \frac{1}{24}t_{4}(\rho_{\text{tot}}^{2}(\mathbf{r})-\rho_{q}^{2}(\mathbf{r})) .$$

$$U_{q}(\mathbf{r}) = t_{0}\left[(1+\frac{1}{2}x_{0})\rho_{\text{tot}}-(\frac{1}{2}+x_{0})\rho_{q}\right] + \frac{1}{4}(t_{1}+t_{2})\tau_{\text{tot}} + \frac{1}{8}(t_{2}-t_{1})\tau_{q} + \frac{1}{8}(t_{2}-3t_{1})\nabla^{2}\rho_{\text{tot}} + \frac{1}{16}(3t_{1}+t_{2})\nabla^{2}\rho_{q} + \frac{1}{4}t_{3}(\rho_{\text{tot}}^{2}-\rho_{q}^{2}) - \frac{1}{2}W_{0}'(\nabla\cdot\mathbf{J}_{\text{tot}}+\nabla\cdot\mathbf{J}_{q}) + \delta_{qp}V^{C}(\mathbf{r}) + \frac{1}{24}t_{4}\left[2\rho_{\text{tot}}\tau_{\text{tot}}-2\rho_{q}\tau_{q} + \frac{5}{2}\rho_{q}\nabla^{2}\rho_{q} - \frac{5}{2}\rho_{\text{tot}}\nabla^{2}\rho_{\text{tot}} + \frac{5}{4}(\nabla\rho_{q})^{2} - \frac{5}{4}(\nabla\rho_{\text{tot}})^{2} + \frac{1}{2}J_{q'}^{2}\right],$$
(2.11)

With density:

Relativistic mean field with nlsw interaction

$$\mathcal{L} = \overline{\Psi} \left(i \gamma_{\mu} \partial^{\mu} - M \right) \Psi + \frac{1}{2} \left(\partial_{\mu} \sigma \partial^{\mu} \sigma - m_{\sigma}^{2} \sigma^{2} \right) - U(\sigma) - \frac{1}{4} \Omega_{\mu\nu} \Omega^{\mu\nu} + \frac{1}{2} m_{\omega}^{2} \omega_{\mu} \omega^{\mu} - \frac{1}{4} \mathbf{R}_{\mu\nu} \mathbf{R}^{\mu\nu} + \frac{1}{2} m_{\rho}^{2} \rho_{\mu} \rho^{\mu} - \frac{1}{4} F_{\mu\nu} F^{\mu\nu} - g_{\sigma} \overline{\Psi} \sigma \Psi - g_{\omega} \overline{\Psi} \gamma_{\mu} \omega^{\mu} \Psi - g_{\rho} \overline{\Psi} \gamma_{\mu} \tau \rho^{\mu} \Psi - g_{e} \frac{1 + \tau_{3}}{2} \overline{\Psi} \gamma_{\mu} A^{\mu} \Psi .$$

Extension of the original σ-ω Walecka model (Ann. Phys.83,491 (1974)).

$$U(\sigma) = \frac{1}{3}g_2\sigma^3 + \frac{1}{4}g_3\sigma^4$$

$$\left[\hat{\alpha} \cdot \hat{\mathbf{p}} + \beta \left(m_N + S\left(r\right)\right) - \left(E - V\left(r\right)\right)\right] \psi = 0,$$

Where:

$$S(r) = g_{\sigma}\sigma(r)$$

$$V(r) = g_{\omega}\omega^{0}(r) + g_{\rho}\tau_{3}\rho_{3}^{0}(r) + e\frac{1+\tau_{3}}{2}A^{0}(r).$$

$$\begin{aligned} \left(\nabla^2 - m_{\sigma}^2\right)\sigma(r) &= g_{\sigma}\rho_s(r) + g_2\sigma^2(r) + g_3\sigma^3(r), \\ \left(\nabla^2 - m_{\omega}^2\right)\omega^0(r) &= g_{\omega}\rho_B(r), \\ \left(\nabla^2 - m_{\rho}^2\right)\rho_3^0(r) &= g_{\rho}\rho_I(r), \\ \nabla^2\sigma(r) &= -e\rho_e(r), \end{aligned}$$

Main approximations:

1) Mean-field approximation:

$$\omega_{\mu} \rightarrow \langle \omega_{\mu} \rangle$$
 $\sigma \rightarrow \langle \sigma \rangle$ $\rho_{\mu} \rightarrow \langle \rho_{\mu} \rangle$

2) Static limit:

$$\partial^{\mathbf{0}}\omega_{\mathbf{0}} = \partial^{\mathbf{0}}\boldsymbol{\rho}_{\mathbf{0}} = \partial^{\mathbf{0}}\sigma = \mathbf{0} \quad \omega_{\mu} = \delta_{\mu\mathbf{0}}\omega_{\mathbf{0}}, \quad \boldsymbol{\rho}_{\mu} = \delta_{\mu\mathbf{0}}\boldsymbol{\rho}_{\mathbf{0}}$$

3) Spherical symmetry for finite nuclei:

$$\omega_0 = \omega_0(r)$$
 $\rho_0 = \rho_0(r)$ $\sigma = \sigma(r)$

Solving the RPA equations in coordinate space

One gets coupled self-consistent integral equation for the radial transition densities :

$$\begin{aligned} \langle \Psi_{0} || X_{\eta J} || \Psi_{C}(J; E) \rangle_{r} &= - \langle h || X_{\eta J} || p(\varepsilon_{ph}) \rangle_{r} \\ &+ \sum_{\mu, \nu} \int dr_{1} \int dr_{2} \ U^{J}_{\mu\nu}(r_{1}, r_{2}) \ \mathcal{R} \left(R^{(0)}_{\eta\mu; J}(r, r_{1}; E) \right) \ \langle \Psi_{0} || X_{\nu J} || \Psi_{C}(J; E) \rangle_{r_{2}} \end{aligned}$$

Solved numerically by discretizing on a mesh in coordinate space Translates into a matrix inversion for the transition densities:

$$\rho_C^{RPA} = -\frac{1}{1-R U} \rho_C^{HF}$$