

Lattice studies of Sp(2N) gauge theories using GRID

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Overview

- Framework used: GRID^[1] library, running on GPUs.
- Targeted theories: Sp(2N) gauge theories with N_f fundamental fermions, N_{as} 2-antisymmetric fermions. Case study will be Sp(4) with N_f = 0, N_{as} = 4.
 Plots will refer to this theory, unless stated.
- Lattice used: $\tilde{V}/a^4 = 8^4$ lattice, unless stated.
- Update algorithm used: hybrid Monte-Carlo and rational hybrid Monte-Carlo.
- Algorithm tests:
 - Behaviour of integrators and checks on molecular dynamics details.
 - Tests of implementation of symmetries and fermionic operators.
- Other tests:
 - Parameter scans of Sp(4) theories.
 - Scale setting and topology.
- Based on the work presented in <u>arXiv:2306.11649</u>.

Gauge theories with symplectic group

• The Sp(2N) group is the subgroup of SU(2N) such that $U\Omega U^T = \Omega$ where $\Omega = \begin{pmatrix} 0 & \mathbb{1}_N \\ -\mathbb{1}_N & 0 \end{pmatrix}$

 The lattice (Euclidean) Sp(2N) field theories with N_f and N_{as} fermions have action:

$$S \equiv S_g + S_f$$

$$S_g \equiv \beta \sum_x \sum_{\mu < \nu} \left(1 - \frac{1}{2N} \operatorname{Re} \operatorname{Tr} \mathcal{P}_{\mu\nu}(x) \right)$$

$$S_f \equiv a^4 \sum_{j=1}^{N_f} \sum_x \overline{Q}^j(x) D_m^{(f)} Q^j(x) + a^4 \sum_{j=1}^{N_{as}} \sum_x \overline{\Psi}^j(x) D_m^{(as)} \Psi^j(x)$$

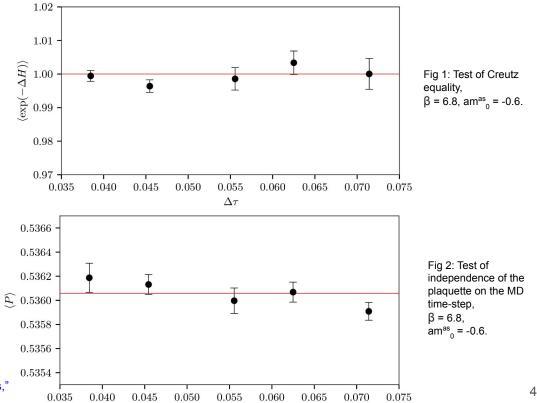
GRID: basic tests of the algorithm

• By measuring the difference in Hamiltonian, ΔH , evaluated before and after the molecular dynamics evolution (*Creutz equality*)^[2]

$$\left\langle \exp\left(-\Delta H\right)\right
angle \ = \ 1$$

• The ensemble average of the plaquette is independent of the molecular dynamics time-step $\Delta \tau$.

[2] M. Creutz, "Global Monte Carlo algorithms for many-fermion systems," Phys. Rev. D 38, 1228-1238 (1988) doi:10.1103/PhysRevD.38.1228



 $\Delta \tau$

GRID: basic tests of the algorithm (2)

• Dependence of $\langle \Delta H \rangle$ on $\ \Delta \tau$, which for a second-order integrator has to scale as $\ ^{10^{-1}}$

 $\langle \Delta H \rangle \propto (\Delta \tau)^4$

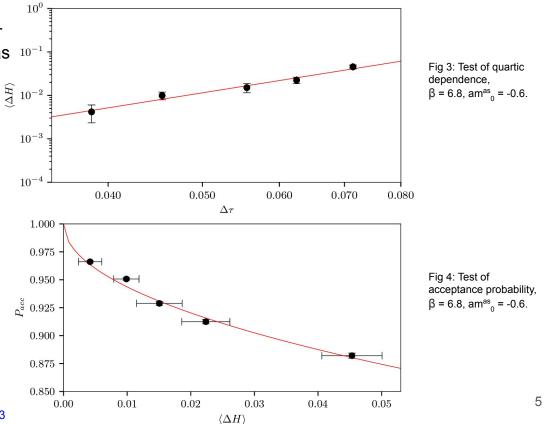
The best-fit curve is

$$\log \langle \Delta H \rangle = \mathcal{K}_1 \, \log(\Delta \tau) + \mathcal{K}_2$$
$$\mathcal{K}_1 = 3.6(4) \qquad \chi^2 / N_{\rm d.o.f.} = 0.6$$

• We have also tested the expected relation^[3] between the acceptance probability and $\langle \Delta H \rangle$

$$P_{\rm acc} = \operatorname{erfc}\left(\frac{1}{2}\sqrt{\left\langle \Delta H \right\rangle}\right)$$

[3] S. Gupta, A. Irback, F. Karsch and B. Petersson, "The Acceptance Probability in the Hybrid Monte Carlo Method," Phys. Lett. B 242, 437-443

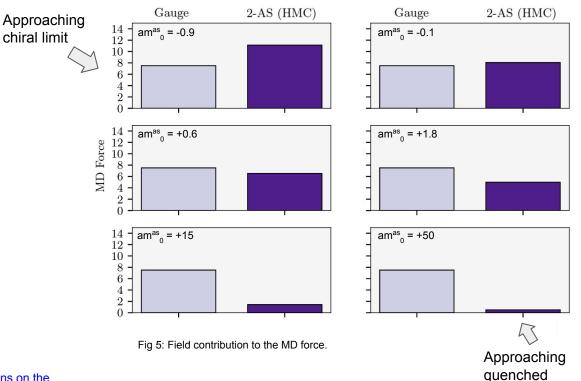


GRID: field contribution to the MD force

 Force is splitted in its contribution from the gauge and fermion dynamics^[4]

 $F(x, \mu) = F_g(x, \mu) + F_f(x, \mu)$

- For positive and very large Wilson bare masses, (approaching *quenched regime*), the fermion contribution disappears.
- Conversely, in the opposite regime (*chiral limit*) the fermion contribution become progressively larger.



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approximation

The N = 2 quenched theory: Distribution of unfolded density of spacing

- Ensemble of gauge configurations without dynamical fermions (*quenched approximation*) can be used to verify that the Dirac fermions are correctly implemented.
- Because the spectrum captures the properties of the theory, the distribution P(s) differs, depending on the symmetry-breaking pattern predicted.
- We compare the results to the exact predictions of chiral Random Matrix Theory (chRMT).^[5]

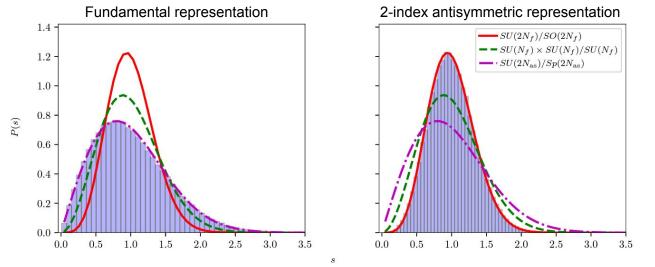


Fig 6: Distribution of the unfolded density of spacing between subsequent eigenvalues of the hermitian Dirac-Wilson operator, $Q_m = \gamma_5 D_m$ where β = 8.0, am^{as}₀ = -0.2, $\tilde{V} = (4a)^4$.

[5] J. J. M. Verbaarschot, "The Spectrum of the QCD Dirac operator and chiral random matrix theory: The Threefold 7 way," Phys. Rev. Lett. 72, 2531-2533 (1994) doi:10.1103/PhysRevLett.72.2531 [arXiv:hep-th/9401059 [hep-th]].

The N = 2 theories coupled to fermions. Bulk phase structure. $N_{as} = 4$, $N_{f} = 0$. Sp(4) $N_{as} = 4$, hysteresis between hot and cold start

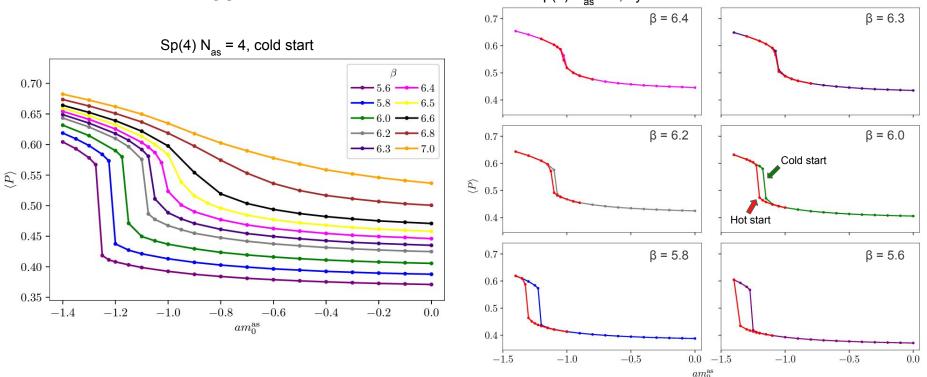


Fig 7: Parameter scan of the Sp(4) theory with $N_{as} = 4$, $N_{f} = 0$ fermions.

Fig 8: The colour scheme is the same as the figure on the left of the slide.

Scale setting and topology: Wilson flow

 $\mathcal{E}(t)|_{t=t_0} = \mathcal{E}_0 \qquad \mathcal{W}(t)|_{t=w_0^2} = \mathcal{W}_0$

Standard Clover-leaf In the continuum theory, Fig 9: Standard plaquette introducing the fifth plaquette (left panel) and component, flow time, we Clover-leaf Plaquette solve (right panel), both used for $\frac{\mathrm{d}B_{\mu}(x,\,t)}{\mathrm{d}t} = D_{\nu}G_{\nu\mu}(x,\,t)$ computing Wilson flow. with boundary conditions 1.75 $\beta = 6.8$, pl. $\beta = 6.8$, pl. $B_{\mu}(x, 0) = A_{\mu}(x)$ 1.50 $\beta = 6.8, \text{cl.}$ $\beta = 6.8, cl.$ 1.50 $\beta = 6.9, pl.$ $\beta = 6.9, \text{pl.}$ 1.251.25 $\beta = 6.9, cl.$ $\beta = 6.9, cl.$ To define a scale, one 1.00 $\stackrel{(i)}{\not\gtrsim} \, \stackrel{1.00}{}_{0.75} \,$ defines $(t) _{\omega} 0.75$ $\mathcal{E}(t) \equiv \frac{t^2}{2} \langle \text{Tr} \left[G_{\mu\nu}(t) G_{\mu\nu}(t) \right] \rangle$ 0.50 -0.50 $\mathcal{W}(t) \equiv t \frac{d}{dt} \mathcal{E}(t)$ 0.250.250.00 0.00 and the scales 3 3 Ω 2 2

Fig 10: Wilson flow energy density E(t) and W(t), computed from the standard plaquette and the clover-leaf plaquette. am_{0}^{as} = -0.8 and $\tilde{V} = (12a)^{4}$.

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Scale setting and topology: topological charge

 We monitored the evolution of the topological charge,

 $q_L(x,t) \equiv \frac{1}{32\pi^2} \varepsilon^{\mu\nu\rho\sigma} \operatorname{Tr} \left[\mathcal{C}_{\mu\nu}(x,t) \mathcal{C}_{\rho\sigma}(x,t) \right]$ $Q_L(t) \equiv \sum_x q_L(x,t)$

to show that *topological freezing* was avoided.

- Fit of the histograms are compatible with Gaussian distributions centered at $\langle Q_L(t=w_0^2)\rangle = 0$
- Madras-Sokal^[6] integrated autocorrelation time τ_Q is many orders of magnitude smaller than the number of trajectories.

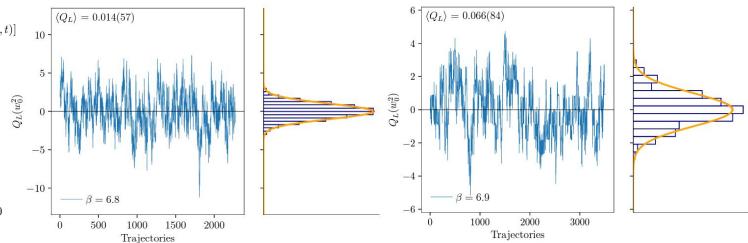
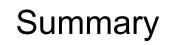


Fig 11: Evolution with the ensemble trajectories of the topological charge, computed at flow time $t = w_0^2$. The lattice size is $\tilde{V} = (12a)^4$ and bare mass $am_0^{as} = -0.8$.

[6] N.Madras and A.D.Sokal, "The Pivot algorithm: a highly efficient Monte Carlo method for self avoiding walk,"J.Statist. Phys 10 .50 ,109-186 (1988) doi:10.1007/BF01022990



- We developed and tested new software, embedded into the GRID environment to take full advantage of its flexibility.
- We reported the (positive) results of our tests of the algorithms.
- We focused particularly on the Sp(4) theory coupled to N_{as} = 4 (Dirac) fermions transforming in the antisymmetric representation.
- There are no obvious problems in the software implementation.



- This work, and the software we developed for it, set the stage needed to explore and quantify future large-scale studies (e.g. extent of the conformal window).
- The tools we developed can be used also in the context of the recent literature discussing the spectroscopy of Sp(2N) theories with various representations.
- This effort can be complemented and further extended by applying new techniques based on the spectral densities.

Thanks for listening.

Backup slides

Sp(2N): Group-theoretical definitions

- The dimension of the group is $\dim Sp(2N) = (2N+1)N$

• This implies the block structure

$$U = \begin{pmatrix} A & B \\ -B^* & A^* \end{pmatrix}$$

where the block matrices satisfy

 $AB^T = BA^T$, $AA^{\dagger} + BB^{\dagger} = \mathbb{1}_N$

More group-theoretical definitions

T

Expanding the group element U in terms of the hermitian generators $U = \exp(i\omega^a t^a)$

We arrive at the following condition $T\Omega=-\Omega T^*$ where $T=\sum_a \omega^a t^{a_a}$

which implies

$$= \begin{pmatrix} X & Y \\ Y^* & -X^* \end{pmatrix}$$

where hermiticity imposes the conditions

$$X = X^{\dagger} \quad Y = Y^T$$

HMC and RHMC

• Bosonic degrees of freedom ϕ and ϕ^{\dagger} , known as *pseudofermions*, are introduced replacing a generic number n_f of fermions.

$$(\det D_m^R)^{n_f} = (\det Q_m^R)^{n_f} = \int \mathcal{D}\phi \mathcal{D}\phi^{\dagger} e^{-a^4 \sum_x \phi^{\dagger}(x)(Q_m^2)^{-n_f/2}\phi(x)}$$

• A fictitious classical system is defined, described by gauge links and the conjugate momenta $\pi(x, \mu) = \pi^a(x, \mu) t^a$ And the fictitious Hamiltonian is

$$H = \frac{1}{2} \sum_{x,\mu,a} \pi^{a}(x,\,\mu) \pi^{a}(x,\,\mu) + H_{g} + H_{f} \qquad H_{g} = S_{g} \qquad H_{f} = S_{f}$$

and the molecular dynamics evolution in fictitious time is dictated by $\frac{dU_{\mu}(x)}{d\tau} = \pi(x, \mu)U_{\mu}(x)$, $\frac{d\pi(x, \mu)}{d\tau} = F(x, \mu)$ (1)

- The update process can be described as follows:
 - Generate pseudofermions with distribution $e^{-a^4 \sum_x \phi^{\dagger}(x)(Q_m^2)^{-n_f/2}\phi(x)}$
 - Starting with Gaussian random conjugate momenta, integrating (1) numerically.
 - Accepting or rejecting the gauge configuration by a Metropolis test.

More details about the lattice theory

The massive Wilson-Dirac operators in the Lagrangian considered are:

$$D_m^{(f)}Q^j(x) \equiv (4/a + m_0^f)Q^j(x) \\ -\frac{1}{2a}\sum_{\mu} \left\{ (1 - \gamma_{\mu})U_{\mu}^{(f)}(x)Q^j(x + \hat{\mu}) + (1 + \gamma_{\mu})U_{\mu}^{(f),\dagger}(x - \hat{\mu})Q^j(x - \hat{\mu}) \right\}$$

and

$$D_m^{(as)}\Psi^j(x) \equiv (4/a + m_0^{as})\Psi^j(x) \\ -\frac{1}{2a}\sum_{\mu} \left\{ (1 - \gamma_{\mu})U_{\mu}^{(as)}(x)\Psi^j(x + \hat{\mu}) + (1 + \gamma_{\mu})U_{\mu}^{(as),\dagger}(x - \hat{\mu})\Psi^j(x - \hat{\mu}) \right\}$$

Sp(2N) antisymmetric representation

The link variables in the 2-antisymmetric representation are defined as

$$U_{\mu,\,(ab)(cd)}^{(as)} = \text{Tr}\left(e^{(ab)\,T}U_{\mu}^{(f)}e^{(cd)}U_{\mu}^{(f)\,T}\right)$$

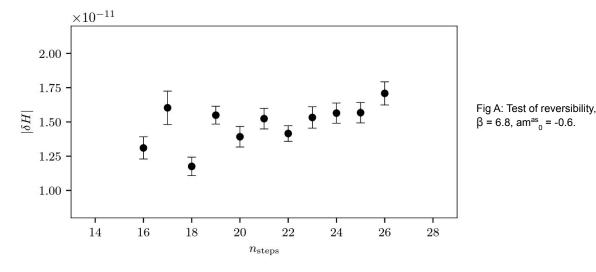
where $e^{(ab)}$ are an orthonormal basis in the N(2N - 1) - 1 dimensional space of 2N x 2N antisymmetric and Ω -traceless matrices. The multi-indices (ab) run over $1 \le a < b \le 2N$. The entry of the basis is defined as follows:

$$\begin{array}{ll} \text{for } b \neq N + a \text{ we have} & e_{ij}^{(ab)} \equiv \frac{1}{\sqrt{2}} \left(\delta_{aj} \delta_{bi} - \delta_{ai} \delta_{bj} \right) \\ \text{while for } b = N + a \text{ and } 2 \leq a \leq N & e_{i,i+N}^{(ab)} = -e_{i+N,i}^{(ab)} \equiv \begin{cases} \frac{1}{\sqrt{2a(a-1)}} \ , & \text{for } i < a \ , \\ \frac{1-a}{\sqrt{2a(a-1)}} \ , & \text{for } i = a \ . \end{cases}$$

• Transformation of fundamental and 2AS fermions: $Q \rightarrow UQ$, and $\Psi \rightarrow U\Psi U^{T}$

GRID: basic tests of the algorithm (3)

- Average difference of Hamiltonian evaluated by evolving molecular dynamics forward and backward and flipping the momentum at unitary MD-time.
- Since the Hamiltonian is $\sim 10^6$ and the typical $\delta H \sim 10^{-11}$ violation of reversibility is consistent with relative precision for double-precision floating-point numbers.



(where $\Delta \tau = \tau / n_{\text{steps}}$)

Generators of the algebra in GRID

In GRID, the explicit representation for Sp(4) generators is

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The N = 2 lattice Yang-Mills theory: Polyakov loops

• Considering the pure gauge Sp(4) theory, we verify that centre symmetry, $(\mathbb{Z}_2)^4$ is broken at small volumes, but restored at large volumes, by looking at the *Polyakov loop*

$$\Phi \equiv \frac{1}{N_c N_s^3} \sum_{\vec{x}} \operatorname{Tr} \left(\prod_{t=0}^{t=N_t-1} U_0(t, \vec{x}) \right)$$

 Zero-temperature Sp(4) lattice theory expected to preserve the centre symmetry (*confinement*): verified for sufficiently large volumes.

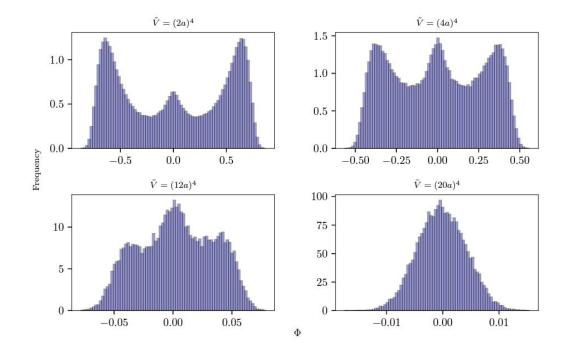


Fig B: Study of finite-size effects on the lattice, for the Sp(4) Yang-Mills theory, β = 9.0 and $\tilde{V} = (2a)^4$, $(4a)^4$, $(12a)^4$, $(20a)^4$.

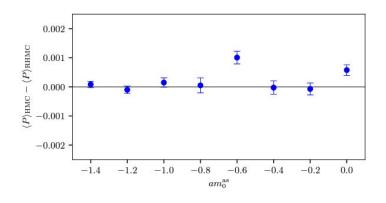
GRID: comparing HMC and RHMC

 As we will consider also odd numbers of fermions, we compute the average plaquette

$$P \equiv \frac{a^4}{6\tilde{V}} \sum_{x} \sum_{\mu < \nu} \left[\frac{1}{2N} \operatorname{Re} \operatorname{Tr} \mathcal{P}_{\mu\nu}(x) \right]$$

for:

- All fermions with the HMC
- Two fermions with HMC and two with RHMC
- All fermions with RHMC.
- No visible discrepancies are detected.



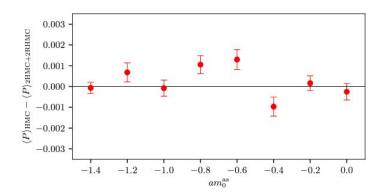


Fig C: Compatibility between plaquette averages obtained with HMC and RHMC algorithms for the theory N = 2, N_r = 0, N_{so} = 4, β = 6.8, -1.4 ≤ am^{as}₀ ≤ 0.0

Deconfinement with Polyakov loops

The expectation value of a Polyakov loop^[7] determines the free energy F_q of a static quark as a function of the inverse temperature 1/T according to

$$\langle \phi(\vec{n}) \rangle = \exp\left(-\frac{F_q}{T}\right)$$

and the correlation function of two Polyakov loops yields the static quark-antiquark free energy $F_{q\bar{q}}$

$$\langle \phi(\vec{n})\phi^{\dagger}(\vec{m})\rangle = \exp\left(-(\vec{n}-\vec{m})\frac{F_{q\bar{q}}}{T}\right)$$

Therefore, static quarks will be confined if this correlation function vanishes when $|\vec{n} - \vec{m}| \rightarrow 0$.

chRMT, Distribution of folded density of spacing

• According to ref. [5], the folded density of spacing

$$P(s) = N_{\tilde{\beta}}s^{\tilde{\beta}}\exp\left(-c_{\tilde{\beta}}s^{2}\right), \quad \text{where} \quad N_{\tilde{\beta}} = 2\frac{\Gamma^{\tilde{\beta}+1}\left(\frac{\tilde{\beta}}{2}+1\right)}{\Gamma^{\tilde{\beta}+2}\left(\frac{\tilde{\beta}+1}{2}\right)}, \\ c_{\tilde{\beta}} = \frac{\Gamma^{2}\left(\frac{\tilde{\beta}}{2}+1\right)}{\Gamma^{2}\left(\frac{\tilde{\beta}+1}{2}\right)} \qquad \qquad s = \frac{n_{i+1}^{(c)} - n_{i}^{(c)}}{\mathcal{N}} \\ c = 1, \cdots, N_{\text{conf}}$$

• The Dyson index $\tilde{\beta}$ can take three different values:

 $\begin{array}{lll} \mbox{For} & \tilde{\beta} = 1 & , & \mbox{we will have} & SU(2N_f) \to Sp(2N_f) \end{array}$ $\label{eq:solution} \mbox{For} & \tilde{\beta} = 2 & , & \mbox{we will have} & SU(N_f) \times S | U(N_f) \to SU(N_f) \end{array}$ $\label{eq:solution} \mbox{For} & \tilde{\beta} = 4 & , & \mbox{we will have} & SU(2N_f) \to SO(2N_f) \end{array}$

(c)

(c)

Madras-Sokal windowing algorithm

• According to Madras-Sokal widowing algorithm, the integrated autocorrelation time of a finite sample A_1 , ..., A_n is

$$\hat{\tau}_{int} \equiv \frac{1}{2} \sum_{t=-(n-1)}^{n-1} \lambda(t) \,\hat{\rho}(t) \qquad \text{var}(\hat{\tau}_{int}) \approx \frac{2(2M+1)}{n} \tau_{int}^2$$

and
$$\hat{\rho}(t) \equiv \hat{C}(t)/\hat{C}(0)$$
 $\hat{C}(t) \equiv \frac{1}{n-|t|} \sum_{i=1}^{n-|t|} (A_i - \bar{A})(A_{i+|t|} - \bar{A})$ $\lambda(t) = \begin{cases} 1 & \text{if } |t| \leq M \\ 0 & \text{if } |t| > M \end{cases}$

where M is a suitable chose cut-off.

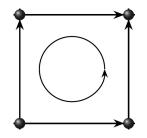
Standard plaquette and Clover-leaf plaquette

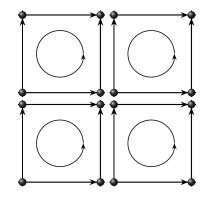
• Standard plaquette:

 $\mathcal{P}_{\mu\nu}(x) \equiv U_{\mu}(x)U_{\nu}(x+\hat{\mu})U_{\mu}^{\dagger}(x+\hat{\nu})U_{\nu}^{\dagger}(x)$

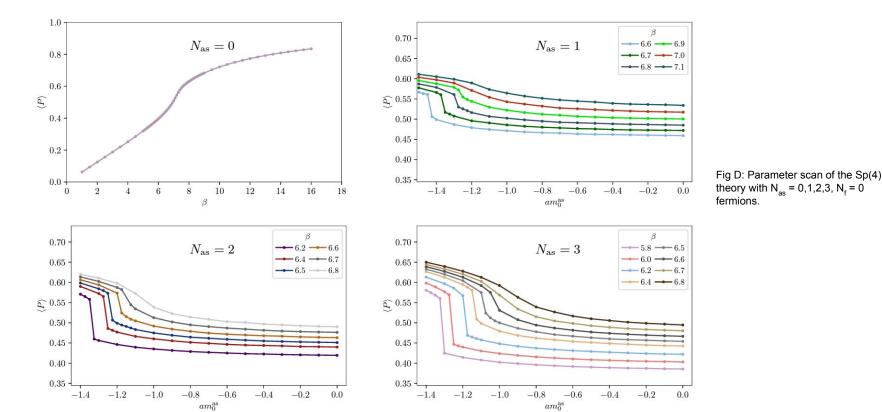
• Clover-leaf plaquette:

$$\begin{aligned} \mathcal{C}_{\mu\nu}(x) &\equiv \frac{1}{8} \left\{ U_{\mu}(x)U_{\nu}(x+\hat{\mu})U_{\mu}^{\dagger}(x+\hat{\nu})U_{\nu}^{\dagger}(x) + \\ &+ U_{\nu}(x)U_{\mu}^{\dagger}(x+\hat{\nu}-\hat{\mu})U_{\nu}^{\dagger}(x-\hat{\mu})U_{\mu}(x-\hat{\mu}) + \\ &+ U_{\mu}^{\dagger}(x-\hat{\mu})U_{\nu}^{\dagger}(x-\hat{\nu}-\hat{\mu})U_{\mu}(x-\hat{\nu}-\hat{\mu})U_{\nu}(x-\hat{\nu}) + \\ &+ U_{\nu}^{\dagger}(x-\hat{\nu})U_{\mu}(x-\hat{\nu})U_{\nu}(x-\hat{\nu}+\hat{\mu})U_{\mu}^{\dagger}(x) - \text{h.c.} \right\}. \end{aligned}$$





The N = 2 theories couples to fermions. Bulk phase structure: varying N_{as} .



The N = 2 theories couples to fermions. Bulk phase structure: varying N_{as} . (2)

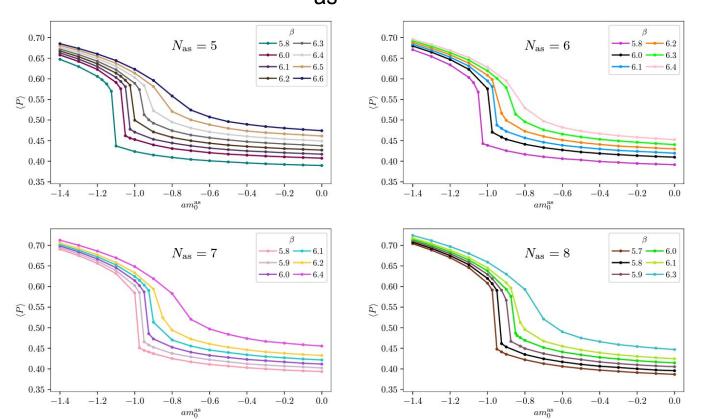


Fig E: Parameter scan of the Sp(4) theory with N_{as} = 5,6,7,8, N_{f} = 0 fermions.

The N = 2 theories couples to fermions. Bulk phase structure. $N_{as} = 4$, $N_{f} = 0$ (2)

 We computed the plaquette susceptibility

$$\chi_P \equiv \frac{\tilde{V}}{a^4} \left(\langle P^2 \rangle - \left(\langle P \rangle \right)^2 \right)$$

and compare the numerical results obtained with ensembles having two different volumes $\tilde{V} = (8a)^4$ $\tilde{V} = (16a)^4$.

 When β is small, first order phase transition.
 When it's not, smooth crossover.

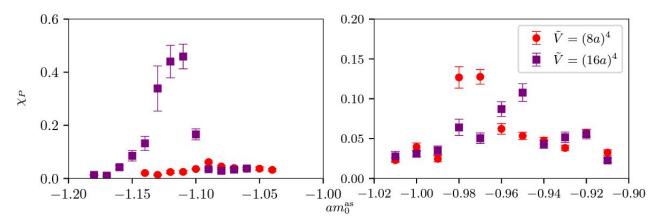
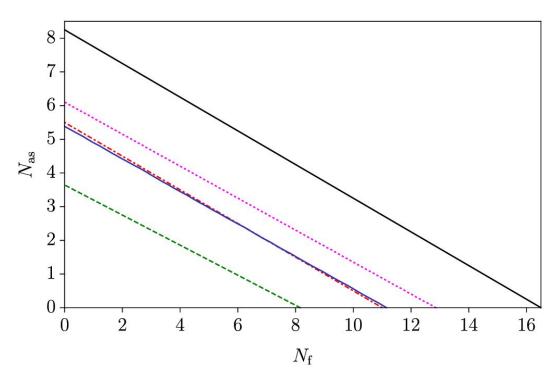


Fig F: Plaquette susceptibility of the Sp(4) theory with N_{as} = 4, N_{f} = 0 fermions, for β = 6.2 (left panel) and β = 6.5 (right panel).

The conformal window



- The challenging question of identifying the lower end of the conformal window in these theories coupled to matter fields in various representations of the group requires the non-perturbative instruments of lattice field theory.
- This work, and the software we developed for it, set the stage needed to explore and quantify the extent of the conformal window in these theories.

Fig G: Estimates of the extent of the conformal window in Sp(4) theories coupled to Nf Dirac fermions transforming in the fundamental and Nas in the 2-index antisymmetric representation.