

# Chemical potential dependence of the endpoint of the first-order phase transition in the heavy-quark region of finite-temperature lattice QCD



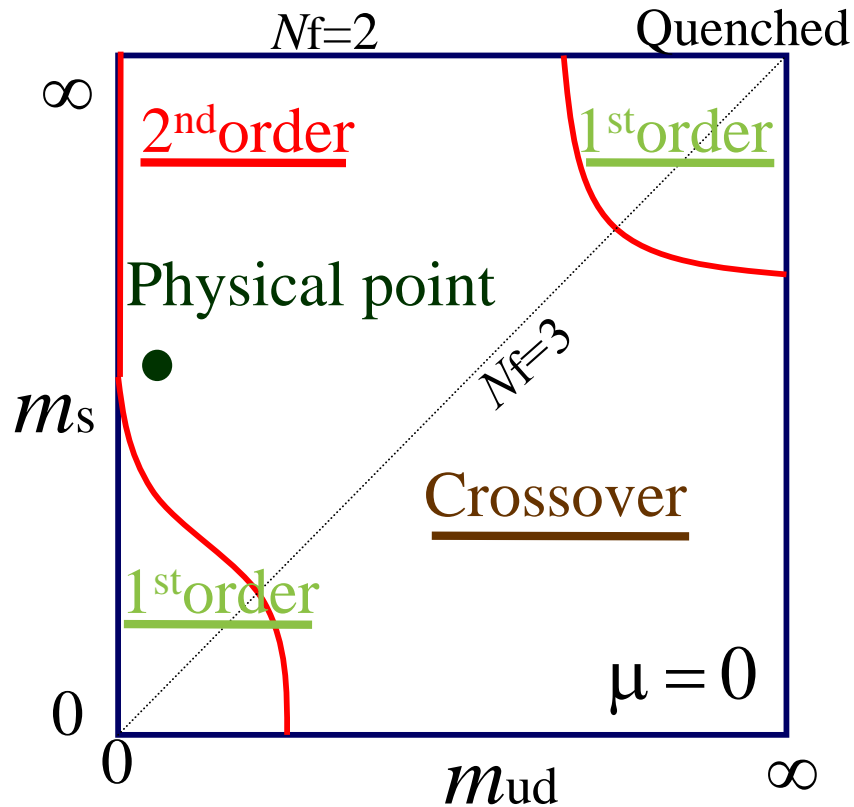
Shinji Ejiri (Niigata University)

Kazuyuki Kanaya (Univ. Tsukuba)

Masakiyo Kitazawa (YITP, Kyoto Univ.)

Lattice 2023, Fermilab, 2023/7/31

# QCD phase transition in heavy quark region



- The critical mass at which the first-order phase transition turns into a crossover
- Light quark regime
  - chiral symmetry breaking
- Heavy quark region
  - Confinement phase transition
  - Center symmetry breaking
  - Critical line at finite density
  - We study the heavy region
- It is important to calculate thermodynamic quantities as continuous functions
  - Reweighting method
  - Hopping parameter expansion

WHOT-QCD Collab.

Phys.Rev.D84, 054502(2011)

Phys.Rev.D89, 034507(2014)

Phys.Rev.D101, 054505(2020)

Phys.Rev.D104, 114509(2021)

PTEP 2022, 033B05 (2022)

# Efficient theory by hopping parameter expansion

- expectation value of physical quantity  $O$

$$\langle O \rangle(\beta, \kappa) = \frac{1}{Z} \int DU O[U] (\det M(\kappa))^{N_f} e^{-S_g} = \frac{1}{Z} \int DU O[U] e^{-S_{\text{eff}}}$$

- Hopping parameter expansion ( $\kappa \sim 1/(\text{mass})$ )

$$\ln(\det M(\kappa)) = 288 N_{\text{site}} \kappa^4 P + [768 N_{\text{site}} \kappa^6 (3 \text{plaquette} + \text{6-step Wilson loop} + 6 \text{loop})] + \dots$$

$$+ 12 \times 2^{N_t} N_s^3 [\underbrace{\kappa^{N_t} \text{Re}\Omega}_{\text{Polyakov loop}} + 6 N_t \kappa^{N_t+2} \text{loop} + 6 N_t \kappa^{N_t+2} \text{loop} + 3 N_t \kappa^{N_t+2} \text{loop}]$$

Leading term      Next to leading terms      (for  $N_t=6$ )

- Near the critical point of  $N_t = 4$ ,  $\det M$  can be well approximated by the lowest order terms.

• Effective action: 
 $S_{\text{eff}} \approx 6 N_{\text{site}} (\beta + N_f 48 \kappa^4) P + 12 N_f 2^{N_t} N_s^3 \kappa^{N_t} \text{Re}\Omega$

- Monte Carlo simulation with the Polyakov loop term

- $S_{\text{eff}} \approx 6 N_{\text{site}} \beta' P + N_s^3 \lambda \text{Re}\Omega$     with     $\lambda = 12 N_f 2^{N_t} \kappa^{N_t}$

- In this talk, we discuss the correct calculation method when  $N_t$  is large.<sup>3</sup>

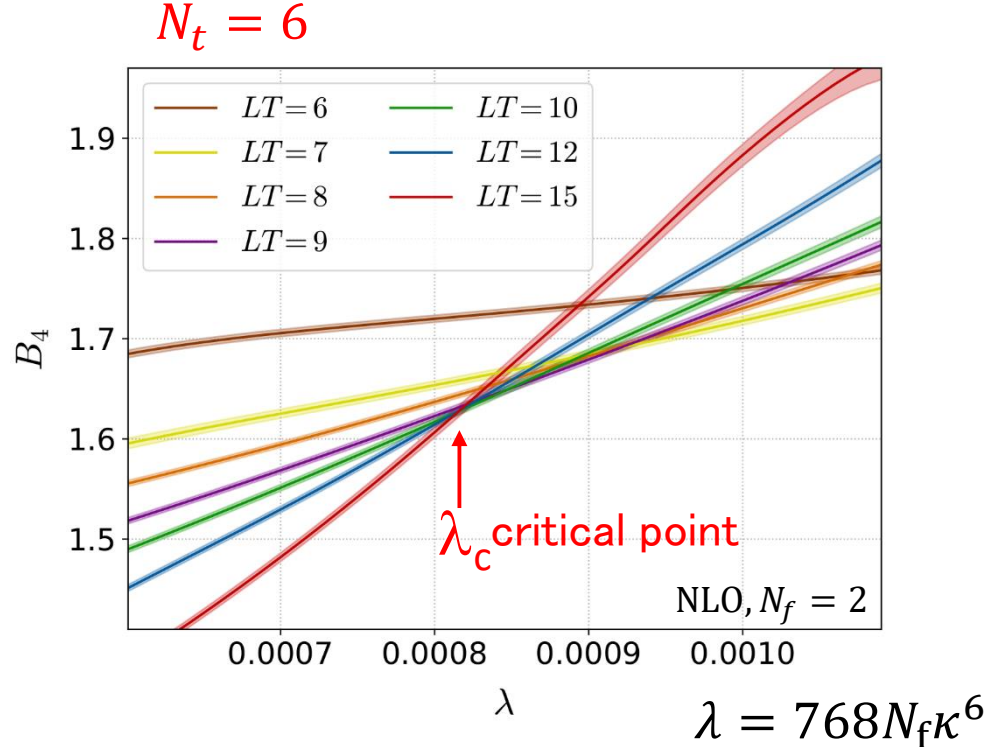
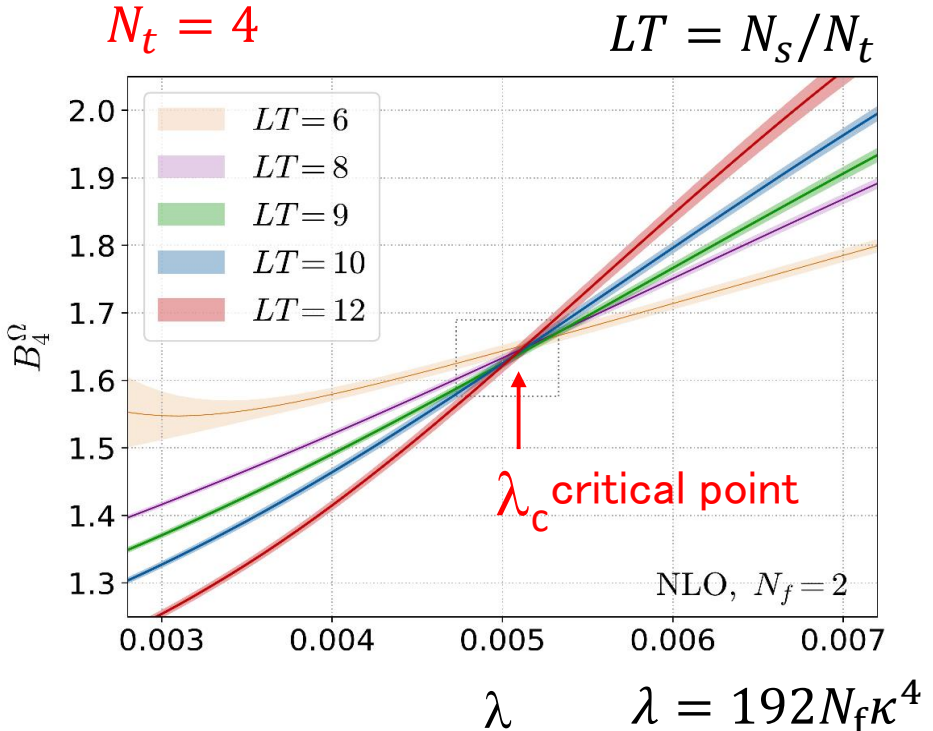
# End point of the first-order phase transition

Quenched QCD with Polyakov loop term, simulation + reweighting

Binder cumulant  $B_4 = \frac{\langle (\Omega - \langle \Omega \rangle)^4 \rangle}{\langle (\Omega - \langle \Omega \rangle)^2 \rangle^2}$

Next to leading order effect  
 $\beta$  and  $\lambda$  shift

$B_4$  is volume independent at the critical point.



[Phys.Rev.D104, 114509(2021)]

$\lambda_{c,NLO} = 0.00503(14)$   
 $\kappa_{c,NLO} = 0.0602(4)$

Talk by Masakiyo Kitazawa (Tue, 13:50)

$\lambda_{c,NLO} = 0.0008144(67)$   
 $\kappa_{c,NLO} = 0.08997(12)$   
 preliminary

- Next to leading order results

# Correlation among expansion terms in HPE [PTEP 2022, 033B05 (2022)]

- Expansion terms:  $\ln \det M(\kappa) = \sum_{n=1}^{\infty} \frac{1}{n!} \frac{\partial^n \ln \det M}{\partial \kappa^n} \kappa^n \equiv N_{\text{site}} \sum_{n=1}^{\infty} D_n \kappa^n$

$$D_n = \frac{1}{N_{\text{site}}} \frac{(-1)^{n-1}}{n} \text{tr} \left[ \left( \frac{\partial M}{\partial \kappa} \right)^n \right] = \underbrace{W(n)}_{\text{Wilson loop type}} + \underbrace{L(N_t, n)}_{\text{Polyakov loop type closed with periodic boundary}}$$

- A matrix trace is computed by the noise method at each gauge configuration.
- Separate  $W(n)$  and  $L(N_t, n)$  by changing the boundary conditions.
- $W^0(n)$  and  $L^0(N_t, n)$  are these terms when all  $U_\mu(x)$  are set to 1.

Leading order term

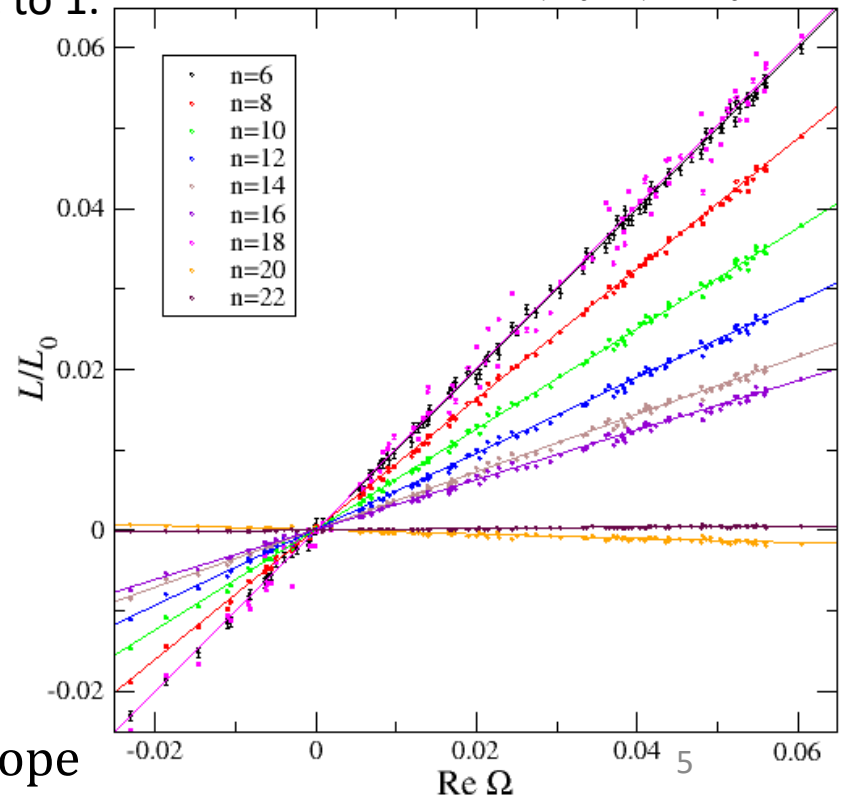
$$L(N_t, N_t) = L^0(N_t, N_t) \text{Re } \Omega = \frac{12 \times 2^{N_t}}{N_t} \text{Re } \Omega$$

Polyakov loop

- The correlation between  $L(N_t, n)$  and  $\text{Re } \Omega$  is very strong.
- Approximation:  $L(N_t, n) = L^0(N_t, n) c_n \text{Re } \Omega$

- The values of  $c_n$  is found to be independent of  $\beta$  and  $\kappa$ .
- $W(n)$  terms are improvement terms for the gauge action.
  - As an improvement term, the value is very small.
  - Omitted in this talk.

Correlation among  $L(N_t, n)$  ( $N_t = 6$ )



$c_n$ : slope

# Determination of critical $\kappa$

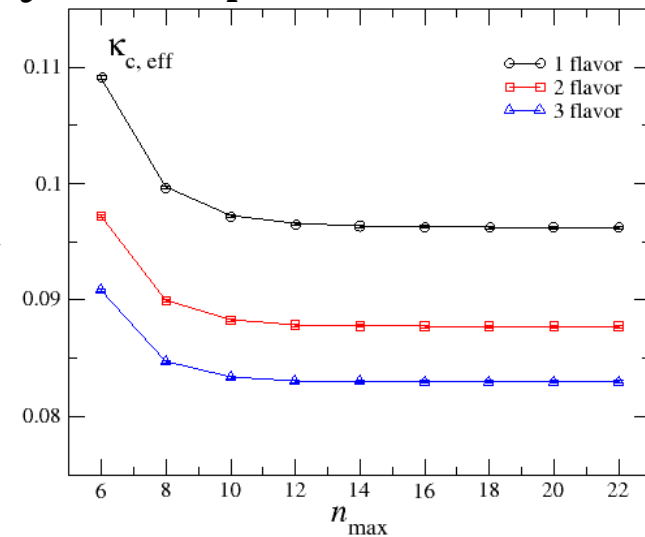
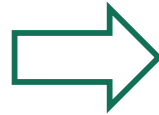
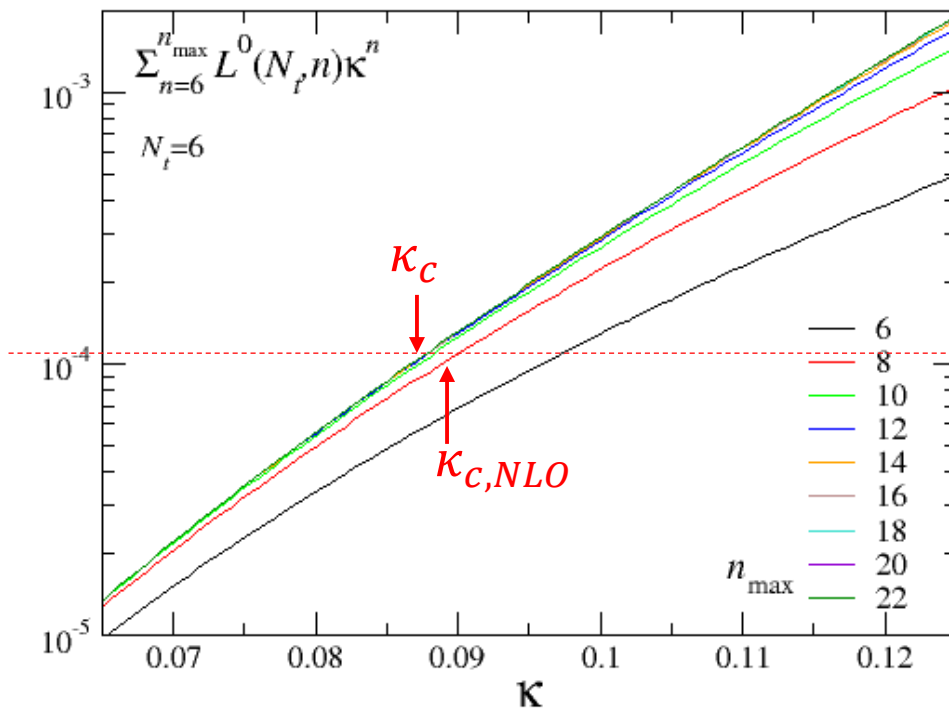
$$L(N_t, n) = L^0(N_t, n) c_n \operatorname{Re} \Omega$$

$$\frac{\ln \det M(\kappa)}{N_{\text{site}}} \text{ -- (Wilson loops) } = \sum_{n=N_t}^{n_{\text{max}}} L(N_t, n) \kappa^n \approx \operatorname{Re} \Omega \underbrace{\sum_{n=N_t}^{n_{\text{max}}} L^0(N_t, n) c_n \kappa^n}_{\text{One parameter}}$$

- The same as determining the critical point by the first order term only.

$$\sum_{n=N_t}^{n_{\text{max}}} L^0(N_t, n) c_n \kappa_{c,\text{eff}}^n = L^0(N_t, N_t) \kappa_{c,\text{LO}}^{N_t}$$

- Solving this equation gives us the critical  $\kappa$  considering the higher order terms.
- Determination of effective critical points ( $N_t = 6, N_f = 2$ )



convergence value:  $\kappa_c = 0.08775(11)$

$\kappa_c = 0.0877(9)$  by a full QCD simulation  
[Cuteri et al., Phys.Rev.D103(2021), 014513]

# Critical Line of 2+1 Flavor QCD Incorporating Higher Order Effects

$$\ln \left[ \prod_f \det M(\kappa_f) \right] = N_{\text{site}} \operatorname{Re} \Omega \sum_f \sum_{n=N_t}^{n_{\text{max}}} L^0(N_t, n) c_n \kappa_f^n + (\text{Wilson loops})$$

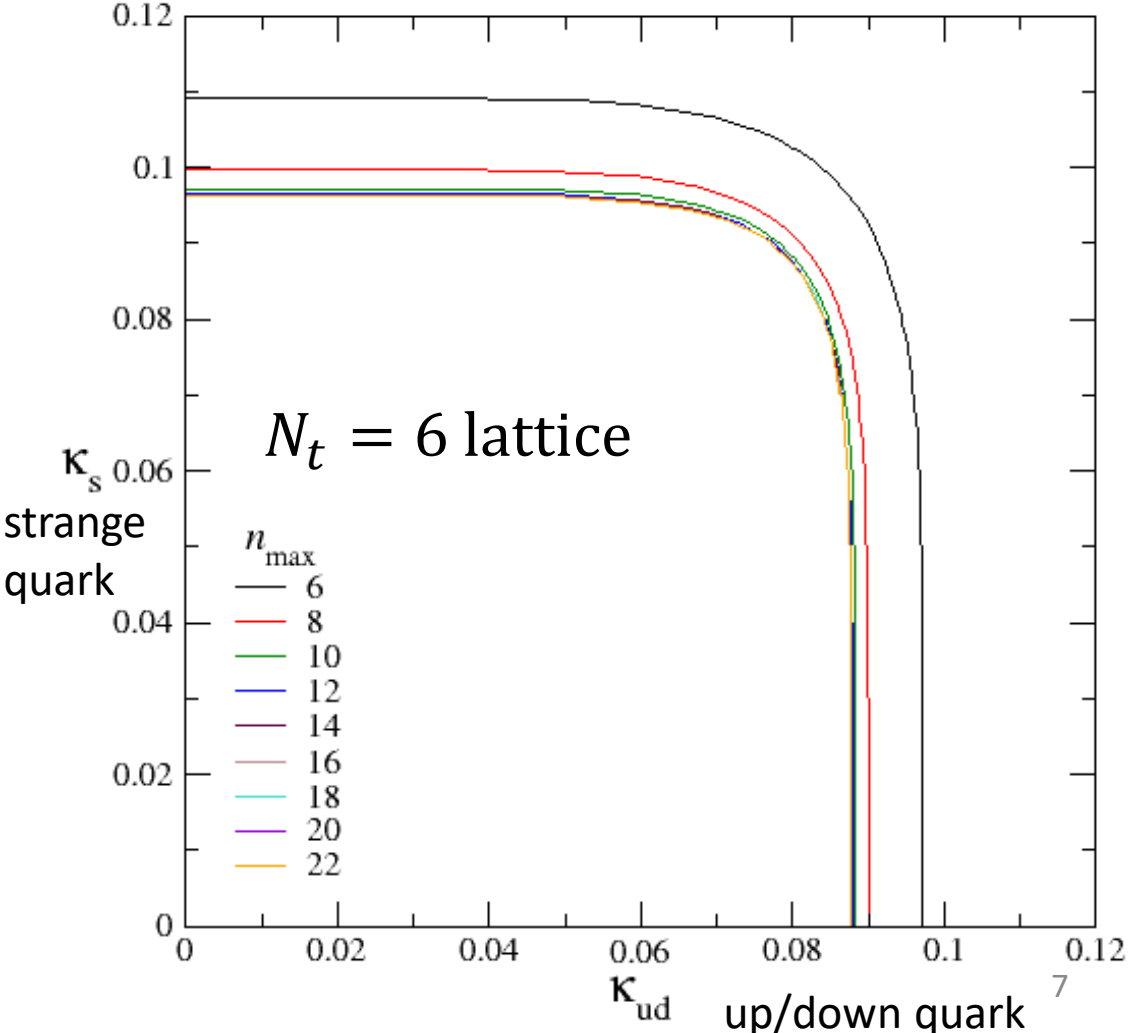
$$2 \sum_{n=N_t}^{n_{\text{max}}} L^0(N_t, n) c_n \kappa_{c,ud}^n + \sum_{n=N_t}^{n_{\text{max}}} L^0(N_t, n) c_n \kappa_{c,s}^n = 2L^0(N_t, N_t) \kappa_{c,2f,LO}^{N_t}$$

Solve this equation.

Once one  $\kappa_c$  is determined, the critical line can be obtained for any number of flavors by using  $c_n$ .

The critical line converges at  $n_{\text{max}} \geq 12$ .

$N_t = 8$  simulations are in progress. Kitazawa's talk



# Critical point in finite density QCD

[Phys.Rev.D89, 034507(2014)]

$$U_4(x) \Rightarrow e^{\mu a} U_4(x), \quad U_4^\dagger(x) \Rightarrow e^{-\mu a} U_4^\dagger(x) \quad \text{in } \det M$$



$$\Omega \Rightarrow e^{\mu/T} \Omega, \quad \Omega^* \Rightarrow e^{-\mu/T} \Omega^*$$

Polyakov loop

$$\begin{aligned} \ln \det M(\kappa, \mu) &= 288 N_{\text{site}} \kappa^4 P + 6 \cdot 2^{N_t} N_s^3 \kappa^{N_t} \left( e^{\frac{\mu}{T}} \Omega + e^{-\frac{\mu}{T}} \Omega^* \right) + \dots \\ &= 288 N_{\text{site}} \kappa^4 P + 12 \cdot 2^{N_t} N_s^3 \kappa^{N_t} \left( \cosh(\mu/T) \text{Re}\Omega + i \sinh(\mu/T) \text{Im}\Omega \right) + \dots \end{aligned}$$

phase

Determination with  $N_t = 4$     Phys.Rev.D89, 034507(2014)

$$\kappa^{N_t} \Rightarrow \kappa^{N_t} \cosh(\mu/T)$$

- First order term is dominant in HPE.
- Critical point : Solve  $\kappa_c^{N_t}(\mu) \cosh(\mu/T) = \kappa_c^{N_t}(0)$
- The contribution from the complex phase is small.

Complex phase of  $(\det M)^{N_f}$     The sign problem is not serious (because  $\tanh(\mu/T) < 1$ )

$$\theta = 12 \cdot 2^{N_t} N_s^3 N_f \kappa_c^{N_t}(0) \tanh(\mu/T) \text{Im}\Omega$$

When incorporating higher-order terms for  $N_t = 6$

$$\cosh\left(\frac{\mu}{T}\right) \sum_{n=N_t}^{n_{\max}} L^0(N_t, n) c_n \kappa_c^n(\mu) = L^0(N_t, N_t) \kappa_{c, LQ_8}^{N_t}(0)$$

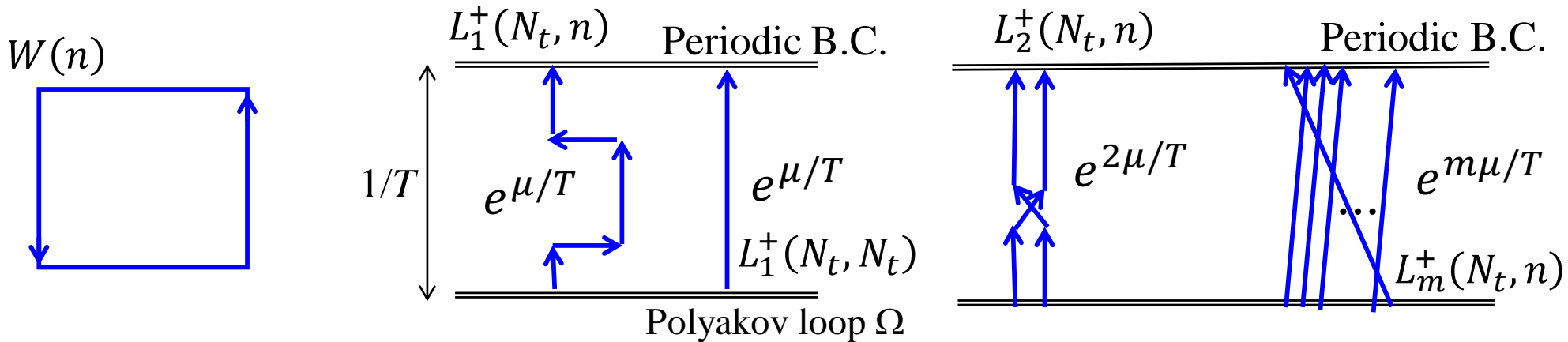


# Finite density hopping parameter expansion

$$\begin{aligned} \ln \det M(\kappa) &= N_{\text{site}} \sum_{n=1}^{\infty} D_n \kappa^n \\ &= N_{\text{site}} \sum_{n=1}^{\infty} \left( W(n) + \sum_{m=1}^{\infty} e^{m\mu/T} L_m^+(N_t, n) + \sum_{m=1}^{\infty} e^{-m\mu/T} L_m^-(N_t, n) \right) \kappa^n \\ &= N_{\text{site}} \sum_{n=1}^{\infty} \left( W(n) + \sum_{m=1}^{\infty} \cosh\left(\frac{m\mu}{T}\right) L_m(N_t, n) + \underbrace{\sum_{m=1}^{\infty} i \sinh\left(\frac{m\mu}{T}\right) 2 \operatorname{Im} L_m^+(N_t, n)}_{\text{Complex Phase}} \right) \kappa^n \end{aligned}$$

$$L_m(N_t, n) = L_m^+(N_t, n) + L_m^-(N_t, n)$$

$$L_m^+(N_t, n) = (L_m^-(N_t, n))^*, \quad 2i \operatorname{Im} L_m^+(N_t, n) = L_m^+(N_t, n) - L_m^-(N_t, n)$$



We ignore the phase and  $m \geq 2$  terms, since  $m \geq 2$  terms are very small for  $N_t = 6$ .

$$L(N_t, n) \approx L^0(N_t, n) c_n \operatorname{Re} \Omega \quad \rightarrow \quad \cosh\left(\frac{\mu}{T}\right) L(N_t, n) \approx \cosh\left(\frac{\mu}{T}\right) L^0(N_t, n) c_n \operatorname{Re} \Omega \quad \text{at } \mu = 0$$

# Critical point at finite density (ignoring complex phase)

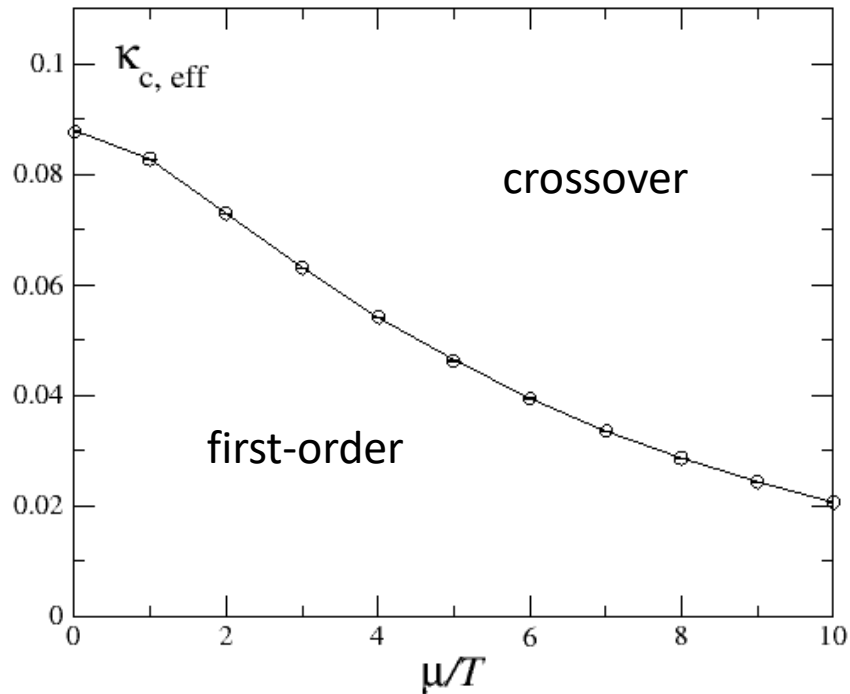
$$\cosh\left(\frac{\mu}{T}\right) \sum_{n=N_t}^{n_{\max}} L^0(N_t, n) c_n \kappa_{c,\text{eff}}^n(\mu) = L^0(N_t, N_t) \kappa_{c,\text{LO}}^{N_t}(0)$$

- Solve this equation

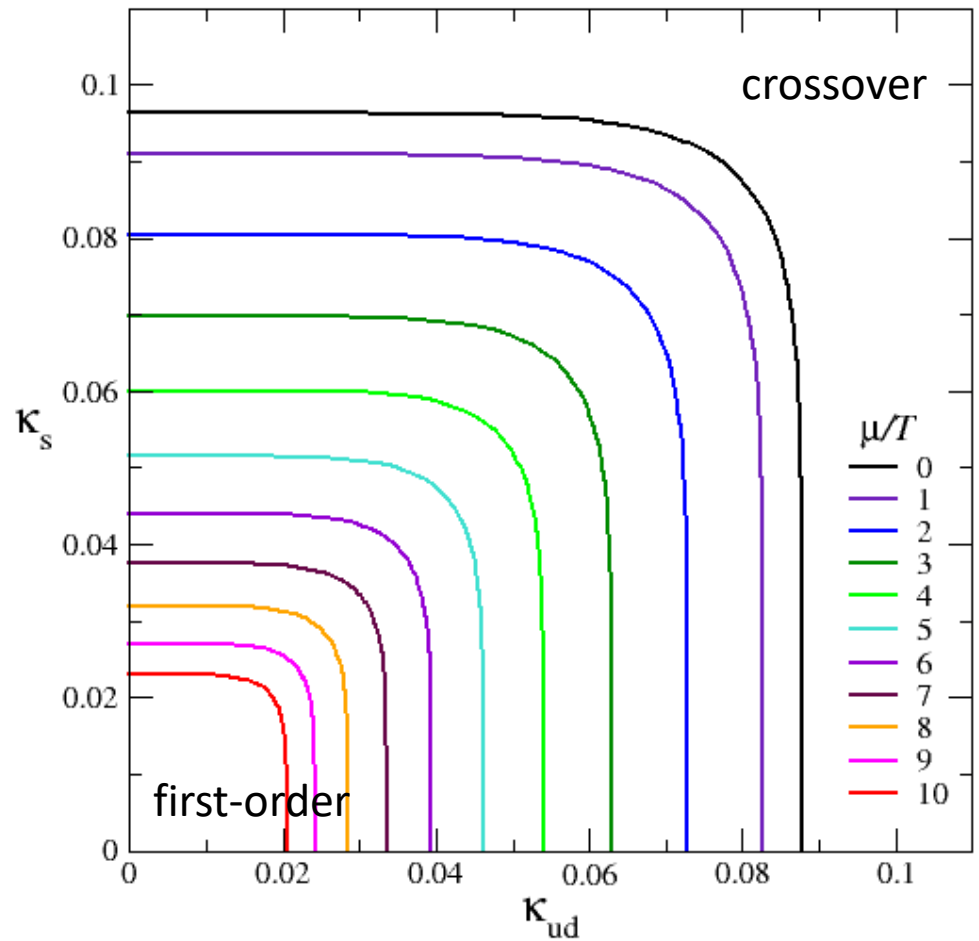
$N_t = 6, N_f = 2$  case

$\kappa_{c,\text{NLO}} = 0.08997(12)$  preliminary

$\kappa_c = 0.08775(11)$  ( $n_{\max} = 22$ )  
 $N_t=6, 2$  flavor



$N_t = 6, N_f = 2 + 1$  case



The higher the density, the smaller the first-order phase transition region.<sup>10</sup>

# Complex phase in the hopping parameter expansion

In  $\det M(\kappa)$

$$= N_{\text{site}} \sum_{n=1}^{\infty} \left( W(n) + \sum_{m=1}^{\infty} \cosh\left(\frac{m\mu}{T}\right) L_m(N_t, n) + \sum_{m=1}^{\infty} i \sinh\left(\frac{m\mu}{T}\right) 2 \operatorname{Im} L_m^+(N_t, n) \right) \kappa^n$$

Complex Phase

In the lowest order

$$2i \operatorname{Im} L_1^+(N_t, N_t) = L_1^+(N_t, N_t) - L_1^-(N_t, N_t) = L^0(N_t, N_t) i \operatorname{Im} \Omega$$

- Strong correlation between  $\operatorname{Arg} L_1^+(N_t, n)$  and  $\operatorname{Arg} \Omega$

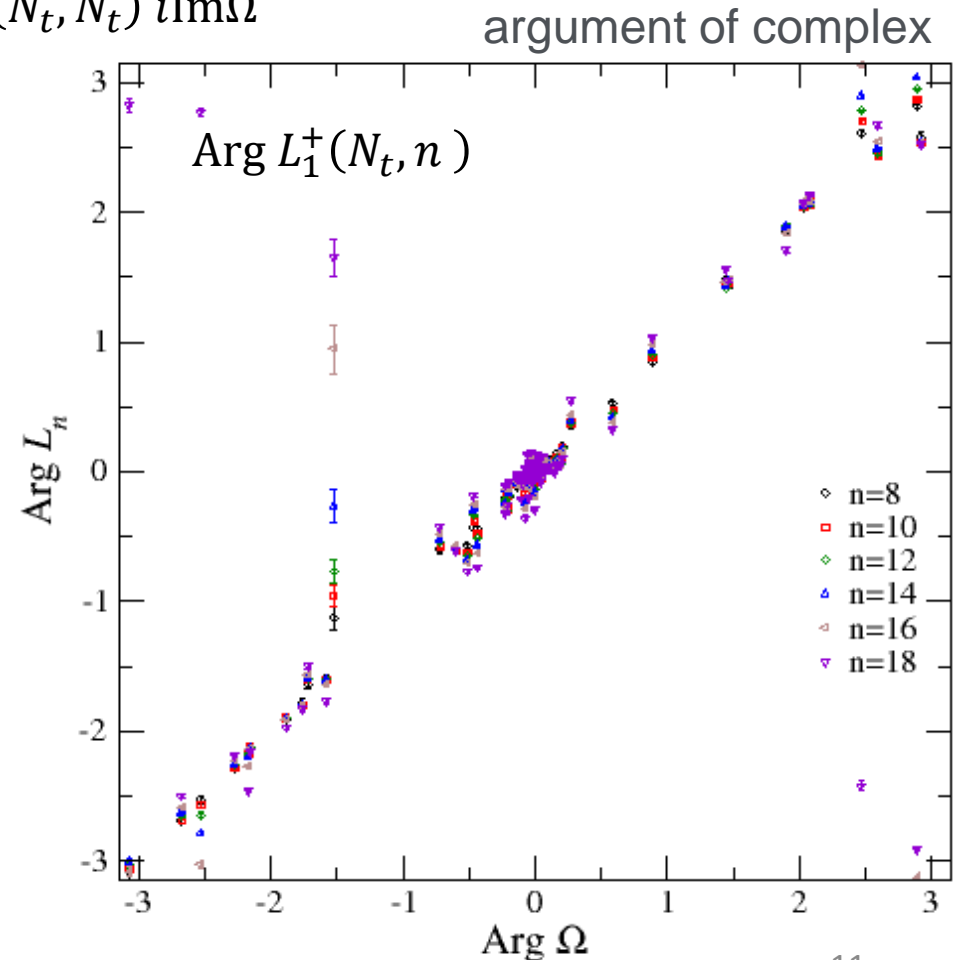
$$\operatorname{Arg} L_1^+(N_t, n) \approx \operatorname{Arg} \Omega$$

$$\frac{\operatorname{Im} L_1^+(N_t, n)}{\operatorname{Re} L_1^+(N_t, n)} \approx \frac{\operatorname{Im} \Omega}{\operatorname{Re} \Omega}$$

We can approximate as

$$\underline{2L^+(N_t, n) \approx L^0(N_t, n) c_n \Omega}$$

$$(2 \operatorname{Im} L^+(N_t, n) = L^0(N_t, n) c_n \operatorname{Im} \Omega)$$



For  $m \geq 2$ , there was no appreciable correlation within error.

# Reweighting method at finite density

- Quark determinant:  $(\det M(\kappa, \mu))^{N_f} = |\det M(\kappa, \mu)|^{N_f} e^{i\theta}$
- If  $2 \operatorname{Im} L_m^+(N_t, n) = L^0(N_t, n) c_n \operatorname{Im} \Omega$ , then the complex phase is
 
$$\theta = N_f N_{\text{site}} \sum_{n,m} \sinh\left(\frac{m\mu}{T}\right) 2 \operatorname{Im} L_m^+(N_t, n) \kappa^n \approx N_f N_{\text{site}} \sum_n \sinh\left(\frac{\mu}{T}\right) L^0(N_t, n) c_n \kappa^n \operatorname{Im} \Omega$$

- Expectation value of  $F(x)$  with  $x = \operatorname{Re} \Omega$  by the reweighting method

$$\begin{aligned} \langle F(x) \rangle_{(\beta, \kappa, \mu)} &= \frac{1}{Z} \int DU F (\det M(\kappa, \mu))^{N_f} e^{-Sg} = \frac{\int DU F \cos \theta |\det M(\kappa, \mu)|^{N_f} e^{-Sg}}{\int DU \cos \theta |\det M(\kappa, \mu)|^{N_f} e^{-Sg}} \\ &= \frac{\int F(x) \langle \cos \theta \rangle_{x=\operatorname{Re} \Omega} W(x) dx}{\int \langle \cos \theta \rangle_{x=\operatorname{Re} \Omega} W(x) dx} \end{aligned}$$

- $\langle \cos \theta \rangle_{x=\operatorname{Re} \Omega}$  is the expectation value calculated by classifying the value of  $\operatorname{Re} \Omega$ ,
- $W(x)$  with  $x = \operatorname{Re} \Omega$  is the probability distribution of  $\operatorname{Re} \Omega$  when configurations are generated by  $|\det M(\kappa, \mu)|^{N_f}$  (phase quench simulation).
- We estimate the shift from the Phase-ignoring critical line by the effect of  $\langle \cos \theta \rangle_x$ .
- We calculate  $\langle \cos \theta \rangle_x$  on the critical line and discuss the shift by  $\langle \cos \theta \rangle_x$ .

# Complex phase along the critical line $\kappa_c$

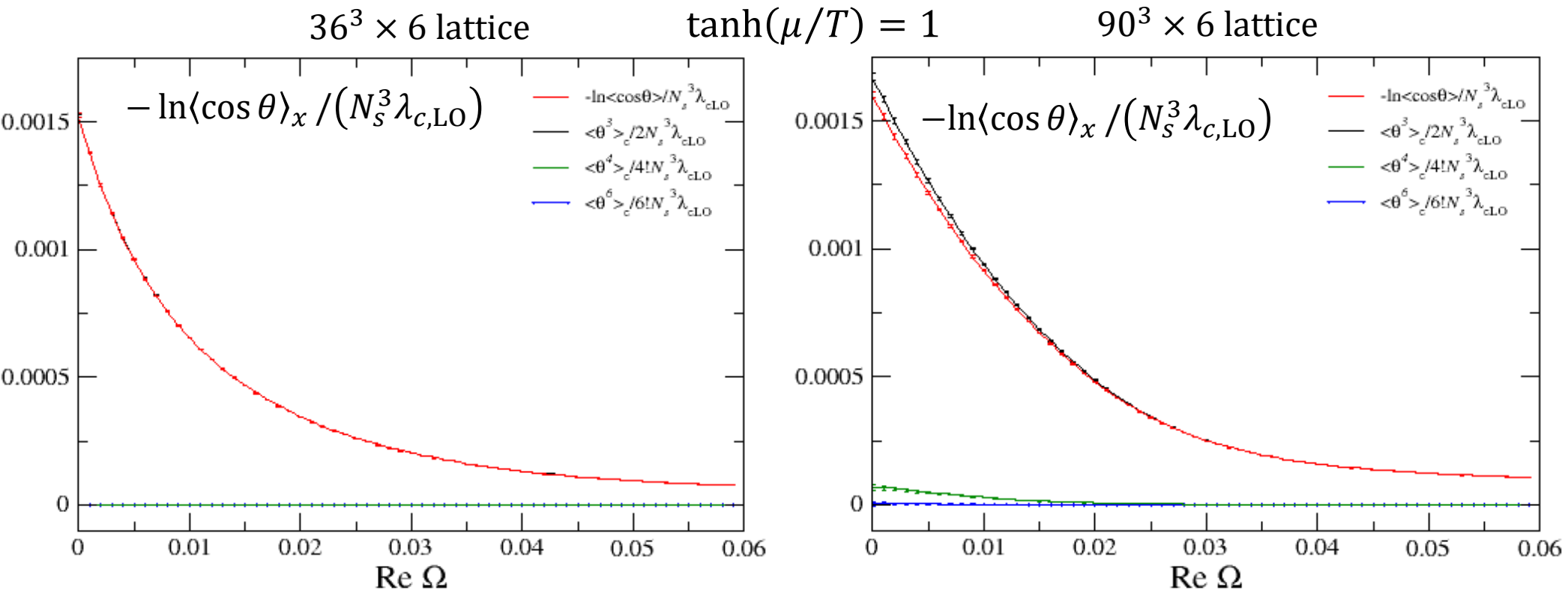
- Because  $\cosh\left(\frac{\mu}{T}\right) \sum_n L^0(N_t, n) c_n \kappa_c^n(\mu) = L^0(N_t, N_t) \kappa_{c,LO}^{N_t}$  on the line of  $\kappa_c$ .
- $\theta \approx N_f N_{\text{site}} \sum_n \sinh\left(\frac{\mu}{T}\right) L^0(N_t, n) c_n \kappa_c^n(\mu) \text{Im } \Omega \approx N_f N_{\text{site}} L^0(N_t, N_t) \kappa_{c,LO}^{N_t}(0) \tanh\left(\frac{\mu}{T}\right) \text{Im } \Omega$
- $\theta = N_S^3 \lambda_{c,LO} \tanh\left(\frac{\mu}{T}\right) \text{Im } \Omega$   $(\lambda = N_t N_f L^0(N_t, N_t) \kappa^{N_t} = 12 N_f 2^{N_t} \kappa^{N_t})$
- Because  $\lambda_{c,LO}$  is small and  $\tanh\left(\frac{\mu}{T}\right) < 1$ ,  $\ln\langle \cos \theta \rangle$  is computable without sign problem.
- If the volume is very large,  $\ln\langle \cos \theta \rangle$  cannot be calculated, so in that case we use the cumulant expansion

$$\ln\langle \cos \theta \rangle \approx -\frac{1}{2!} \langle \theta^2 \rangle_c + \frac{1}{4!} \langle \theta^4 \rangle_c - \frac{1}{6!} \langle \theta^6 \rangle_c + \dots$$

$$\langle \theta^2 \rangle_c = \langle \theta^2 \rangle, \quad \langle \theta^4 \rangle_c = \langle \theta^4 \rangle - 3\langle \theta^2 \rangle^2, \quad \langle \theta^6 \rangle_c = \langle \theta^6 \rangle - 15\langle \theta^4 \rangle \langle \theta^2 \rangle + 30\langle \theta^2 \rangle^3, \dots$$

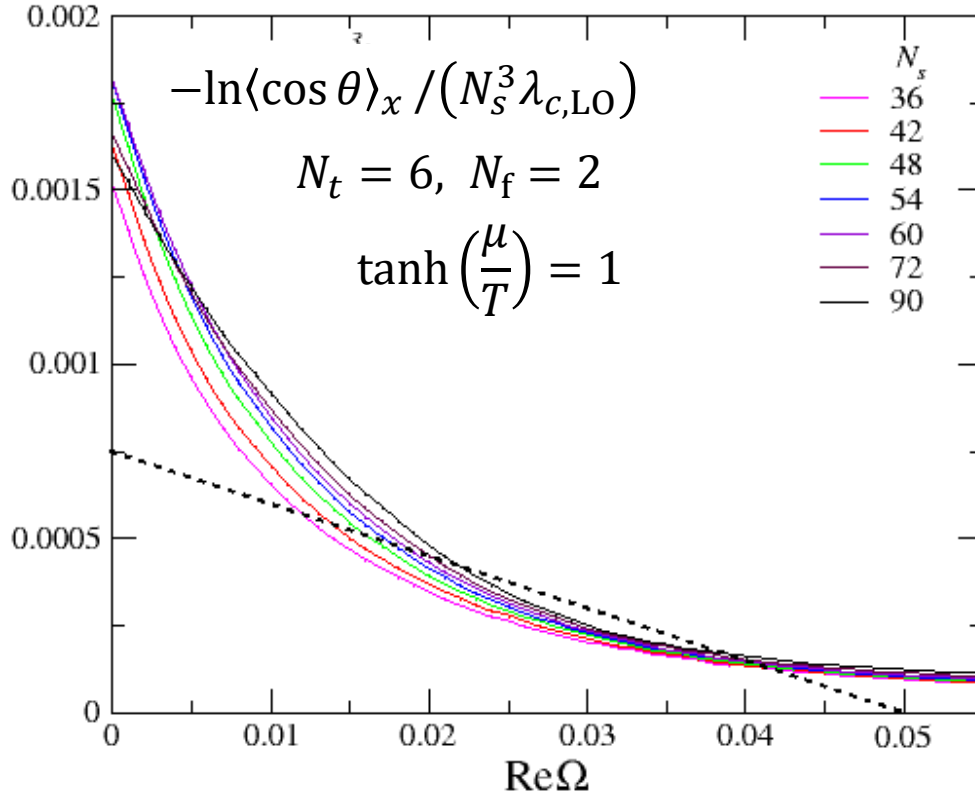
# Complex phase fluctuation at the critical point

- $\langle \theta^n \rangle_c = [N_S^3 \lambda_{c,LO} \tanh(\mu/T)]^n \langle (\text{Im}\Omega)^n \rangle_c$  on the critical line for  $\tanh(\mu/T) = 1$
- Even with  $N_S = 90$ , the phase fluctuation is small.  $\langle \cos \theta \rangle$  can be easily calculated.
- $\ln \langle \cos \theta \rangle_x \approx -\frac{1}{2} \langle \theta^2 \rangle_c$  This indicates an approximately Gaussian distribution.
- Then, the volume dependence is  $\langle \theta^2 \rangle_c \sim N_S^3$ .
- The volume dependence of  $\ln \langle \cos \theta \rangle_x / (N_S^3 \lambda_{c,LO})$  is small. ( $x = \text{Re}\Omega$ )



# Effect from the phase fluctuation to $\kappa_c$

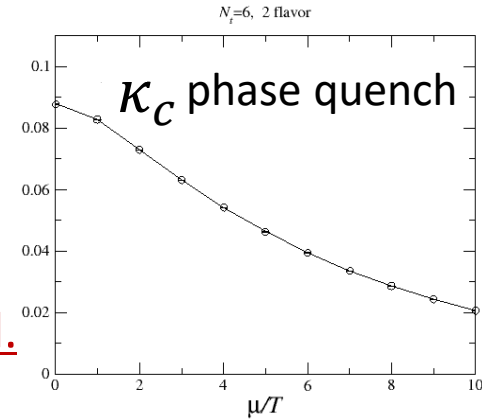
volume dependence



$$\langle F(x) \rangle = \frac{\int F(x) \langle \cos \theta \rangle_{x=\text{Re}\Omega} W(x) dx}{\int \langle \cos \theta \rangle_{x=\text{Re}\Omega} W(x) dx}$$

Approximation: black dashed line

$$-\ln \langle \cos \theta \rangle_x / (N_s^3 \lambda_{c,LO}) = d_0 + d_1 \text{Re}\Omega \approx 0.00075 - 0.015 \text{Re}\Omega$$



Effect from the phase fluctuation is very small.

- On the Phase-ignoring critical line,

$$N_f \ln |\det M| - (WL) \approx N_{\text{site}} N_f \cosh\left(\frac{\mu}{T}\right) \sum_n L^0(N_t, n) c_n \kappa^n \text{Re}\Omega = N_s^3 \lambda_{c,LO} \text{Re}\Omega$$

$$\theta = N_s^3 \lambda_{c,LO} \tanh\left(\frac{\mu}{T}\right) \text{Im}\Omega$$

$$\langle \cos \theta \rangle_{\text{Re}\Omega} |\det M|^{N_f} \approx \exp [\ln \langle \cos \theta \rangle_{\text{Re}\Omega} + N_s^3 \lambda_{c,LO} \text{Re}\Omega] \approx C \exp [N_s^3 \lambda_{c,LO} (1 - d_1) \text{Re}\Omega]$$

- Multiplying  $\langle \cos \theta \rangle$  is the same as  $\lambda \rightarrow \lambda(1 - d_1)$ . For  $\tanh\left(\frac{\mu}{T}\right) = 1$ ,  $d_1 \approx -0.015$ .
- The phase effect reduces  $\lambda_c$  by 1.5%, i.e.  $\underline{\kappa_c}$  is 0.25% smaller.  $(\lambda = 12N_f 2^{N_t} \kappa^{N_t})^{15}$

# Conclusion and outlook

- We discussed how to efficiently determine the critical point of QCD in the heavy quark region, including the finite density region.
- There is a strong correlation between the expansion terms of the hopping parameter expansion.

- Approximation:  $L(N_t, n) = L^0(N_t, n)c_n \operatorname{Re} \Omega$

- We performed numerical simulations with the effective theory.

$$S_{\text{eff}} = 6N_{\text{site}}\beta'P + N_s^3\lambda\operatorname{Re}\Omega .$$

- Based on this effective theory with the reweighting method, the critical point of the heavy quark region can be determined relatively easily, even if the lattice spacing is small.
  - $N_t = 6$  (Kitazawa's talk)  $\rightarrow N_t = 8$  (in progress)  $\rightarrow$  Continuum limit
- The study at finite density is possible. The critical point decreases exponentially as the chemical potential increases.