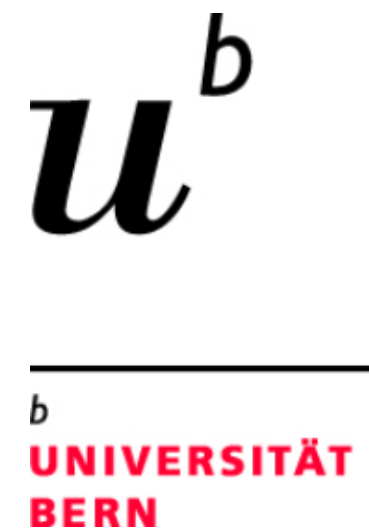


# Machine learning a fixed point action

with a lattice gauge covariant convolutional neural network (L-CNN)

Urs Wenger

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in collaboration with **Kieran Holland** (University of Pacific), **Andreas Ipp** and **David Müller** (TU Wien)

40th Intl. lattice conference, 31 July 2023, Fermilab Chicago (IL), USA

# Introduction

Consider an asymptotically free quantum field theory on the lattice, e.g.,  $SU(N_c)$  lattice gauge theory:

$$Z(\beta) = \int \mathcal{D}U \exp\{-\beta A[U]\} \quad \text{with gauge coupling} \quad \beta = \frac{2N_c}{g^2}$$

and expectation values for observables:

$$\langle \mathcal{O}_\xi(\beta) \rangle = \frac{1}{Z} \int \mathcal{D}U \exp\{-\beta A[U]\} \mathcal{O}_\xi[U]$$

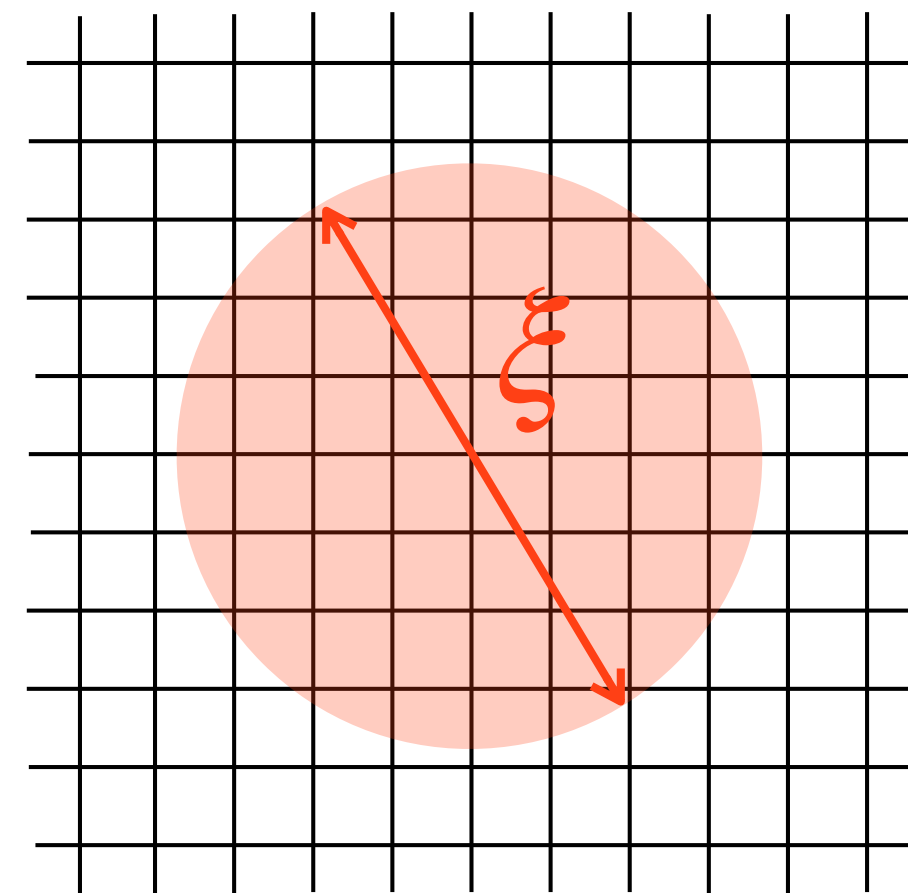
with a characteristic length scale  $\xi$  in units of the lattice spacing  $a$ :

$$\frac{\xi}{a} \Rightarrow \text{dimensionless}$$

# Introduction

The lattice spacing  $a$  is determined by the gauge coupling:  $\beta = \frac{2N_c}{g^2}$

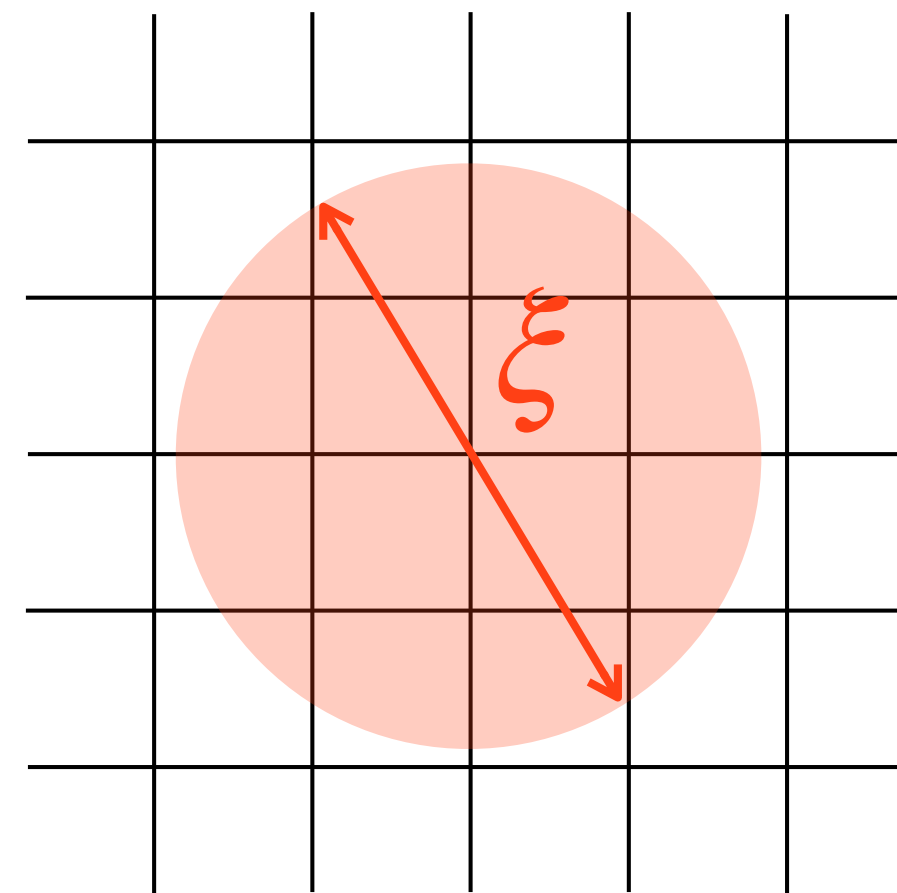
← continuum limit (2nd order phase transition  $\xi/a \rightarrow \infty$ )



$a$

$g$

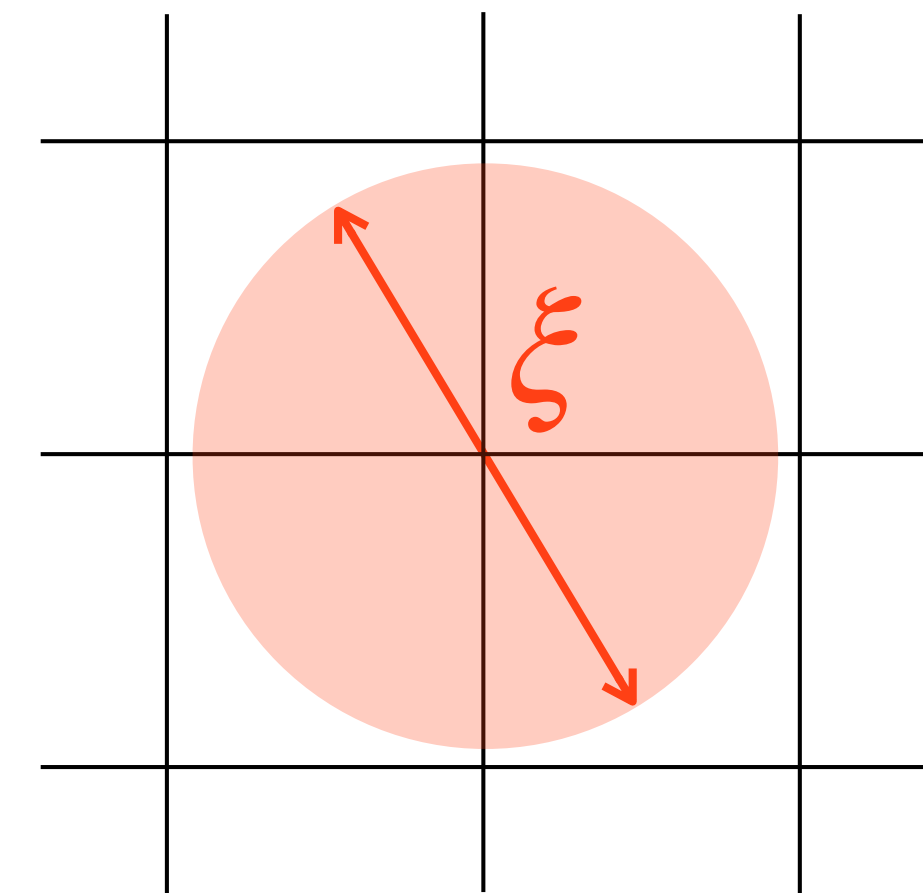
$\beta$



$a'$

$g'$

$\beta'$



$a''$

$g''$

$\beta''$

$\ll$

$\gg$

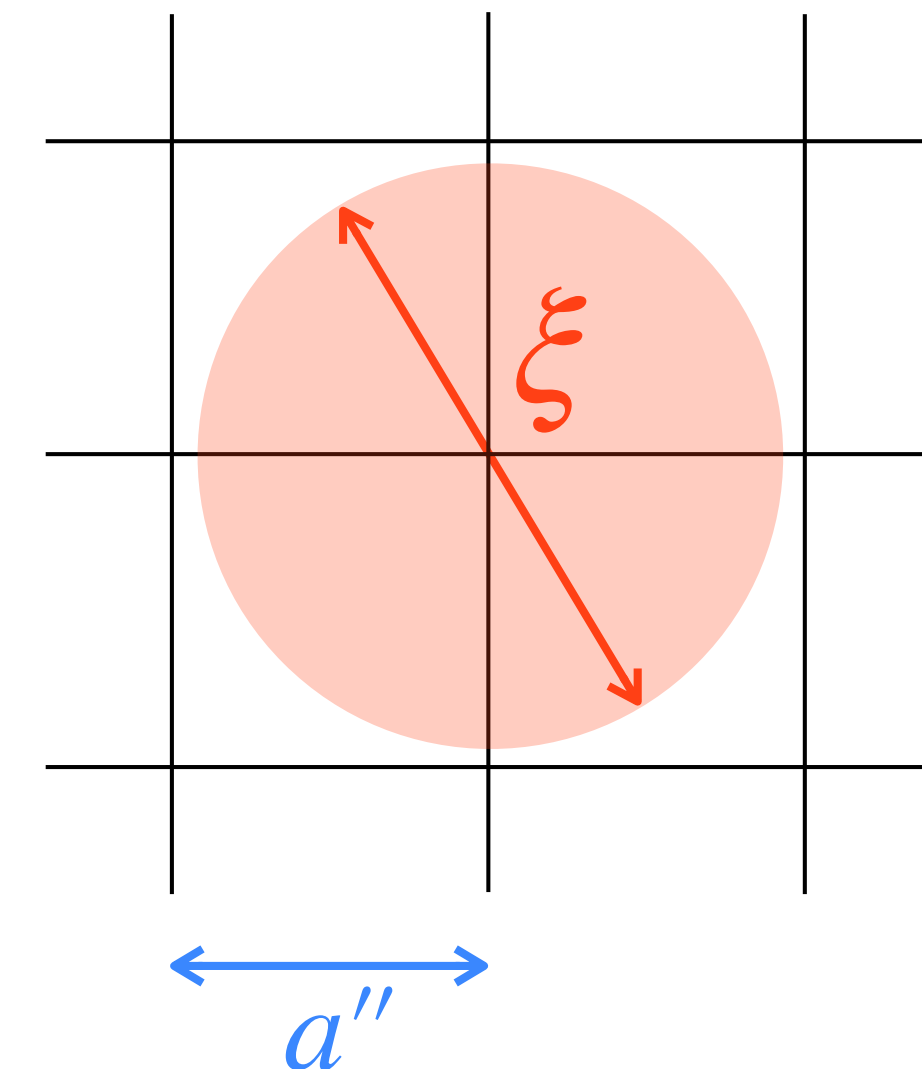
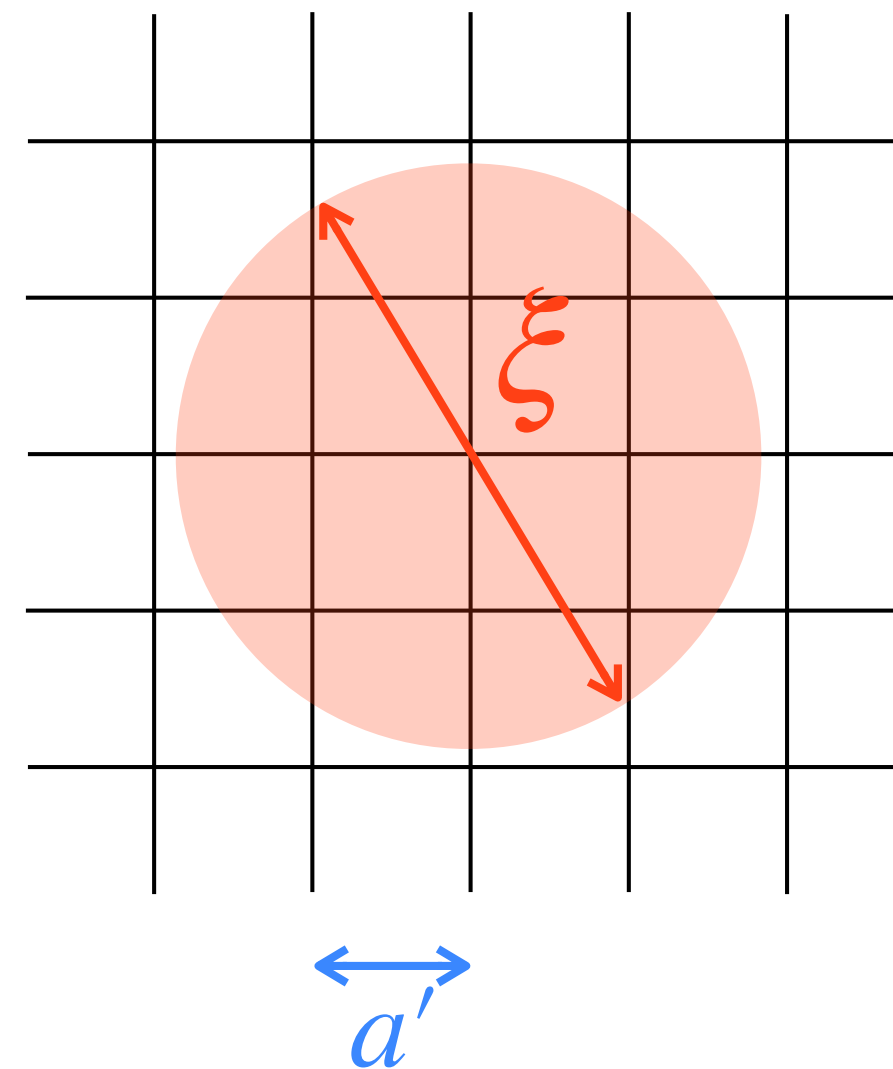
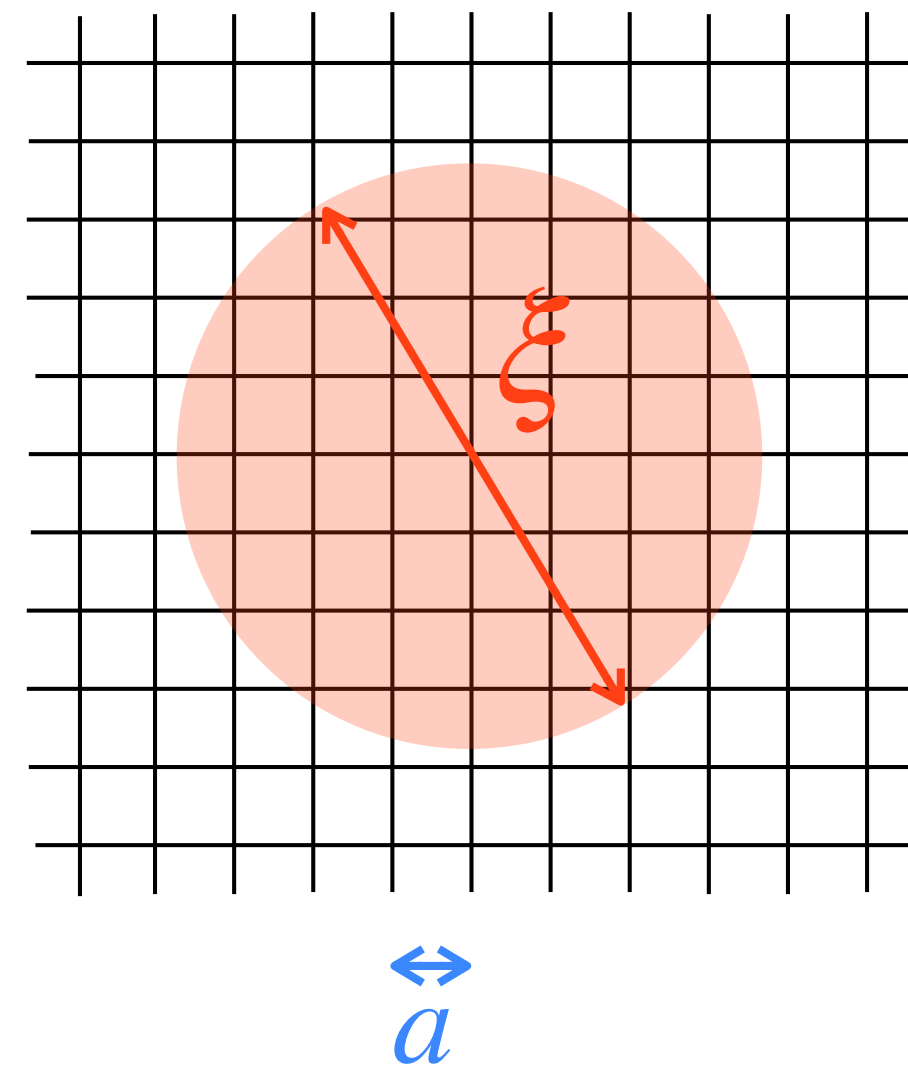
$\ll$

$\gg$

# Introduction

The lattice spacing  $a$  is determined by the gauge coupling:  $\beta = \frac{2N_c}{g^2}$

← continuum limit (2nd order phase transition  $\xi/a \rightarrow \infty$ )



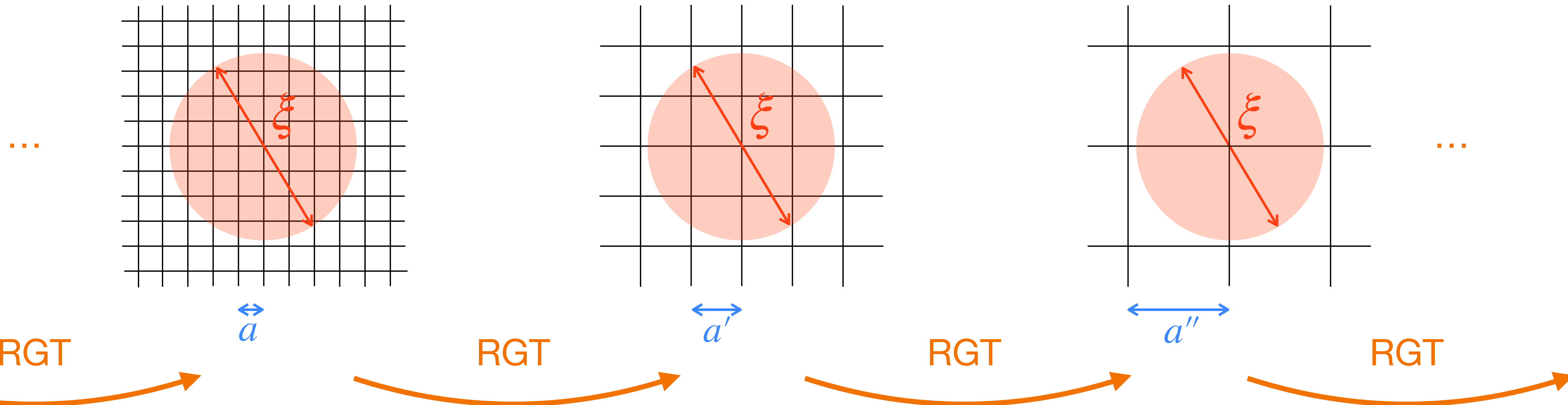
← critical slowing down (topological freezing) ?

← large lattice artefacts?

# Renormalization group transformation

Introduce (real space) renormalization group transformation (RGT):

← continuum limit (2nd order phase transition  $\xi/a \rightarrow \infty$ )



⇒ provides solution for **avoiding critical slowing down** and **lattice artefacts**

# Renormalization group transformation

Introduce (real space) renormalization group transformation (RGT):

$$\exp \{ -\beta' A'[V] \} = \int \mathcal{D}U \exp \{ -\beta (A[U] + T[U, V]) \}$$

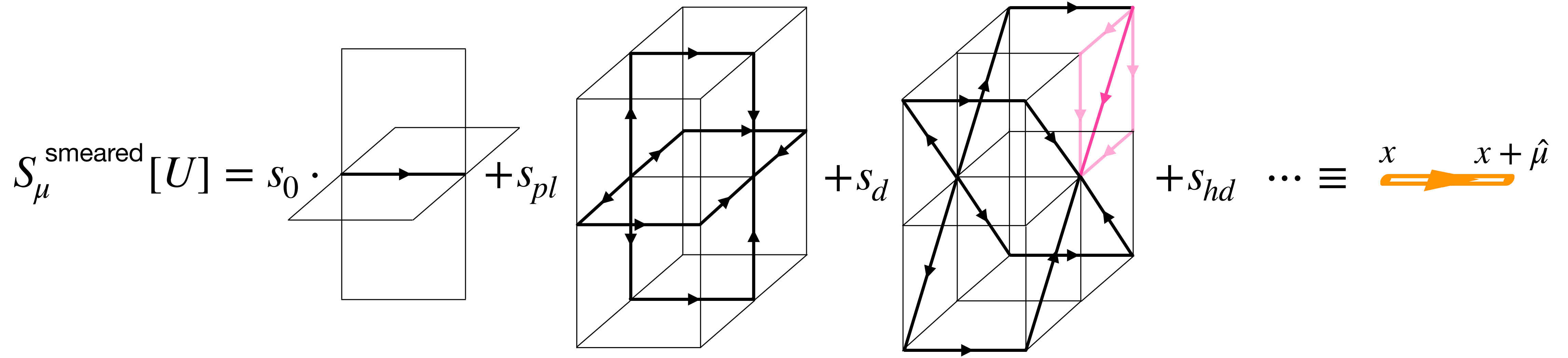
where  $T[U, V]$  is a blocking kernel relating the fine gauge links  $U$  to the coarse gauge links  $V \equiv U'$ :

$$T[U, V] = -\frac{\kappa}{N_c} \sum_{x_B, \mu} \left\{ \text{ReTr} \left( V_\mu(x_B) \cdot Q_\mu^\dagger(x_B) \right) - \mathcal{N}_\mu^\beta \right\}$$

( $\mathcal{N}_\mu^\beta$  is a normalization factor guaranteeing  $Z(\beta') = Z(\beta)$ , i.e., unchanged long distance physics)

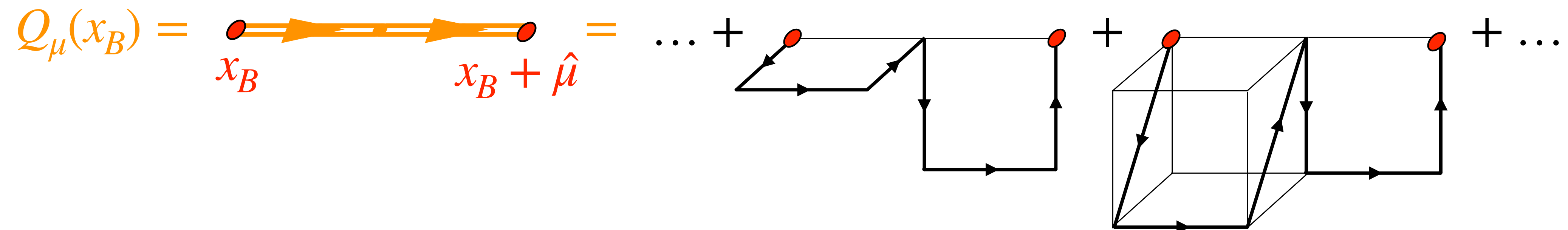
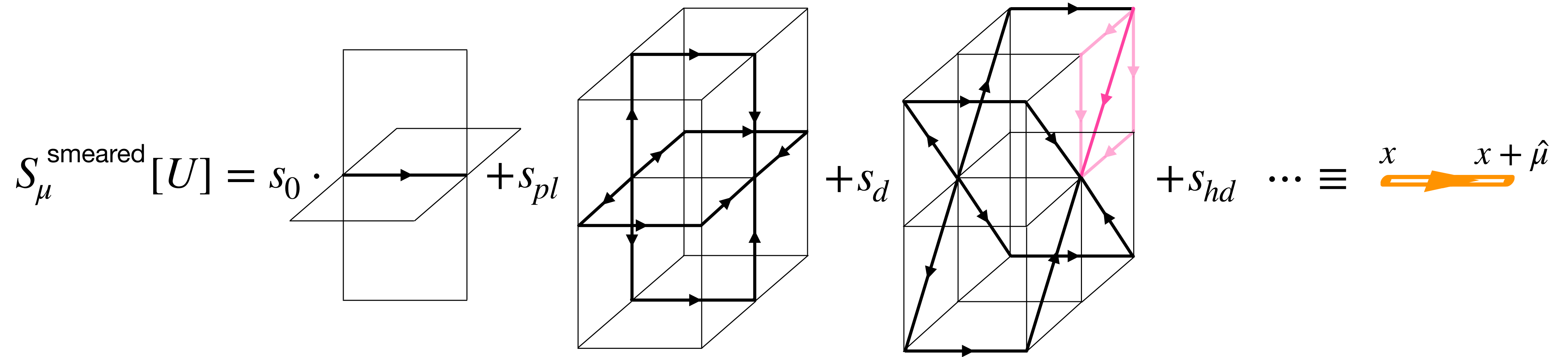
# RGT blocking kernel

$$T[U, V] = -\frac{\kappa}{N_c} \sum_{x_B, \mu} \left\{ \text{ReTr} \left( V_\mu(x_B) \cdot Q_\mu^\dagger(x_B) \right) - \mathcal{N}_\mu^\beta \right\}$$



# RGT blocking kernel

$$T[U, V] = -\frac{\kappa}{N_c} \sum_{x_B, \mu} \left\{ \text{ReTr} \left( V_\mu(x_B) \cdot Q_\mu^\dagger(x_B) \right) - \mathcal{N}_\mu^\beta \right\}$$



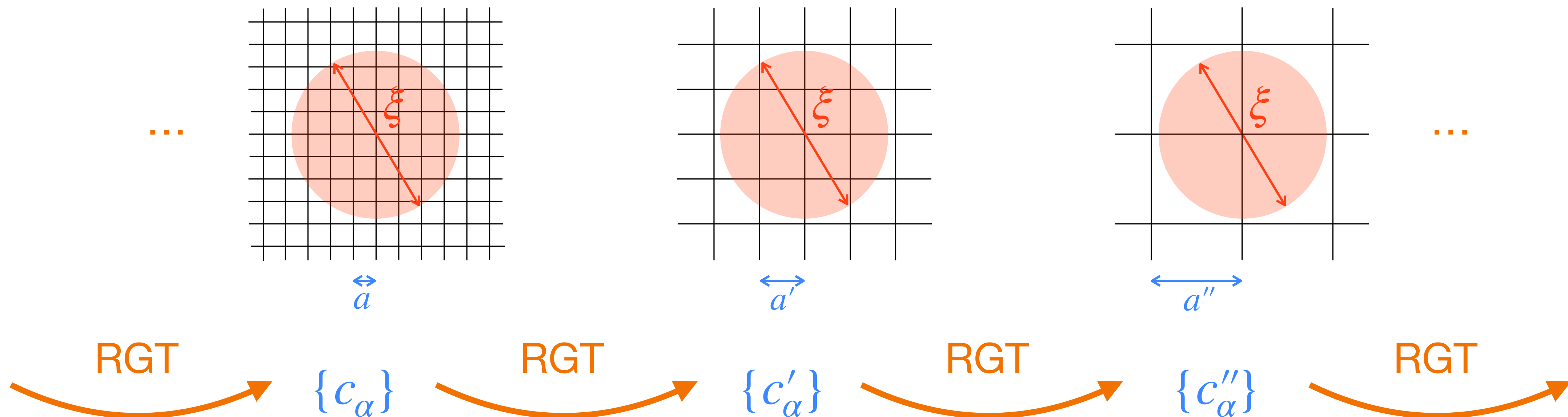


# Renormalization group transformation

Introduce (real space) renormalization group transformation (RGT):

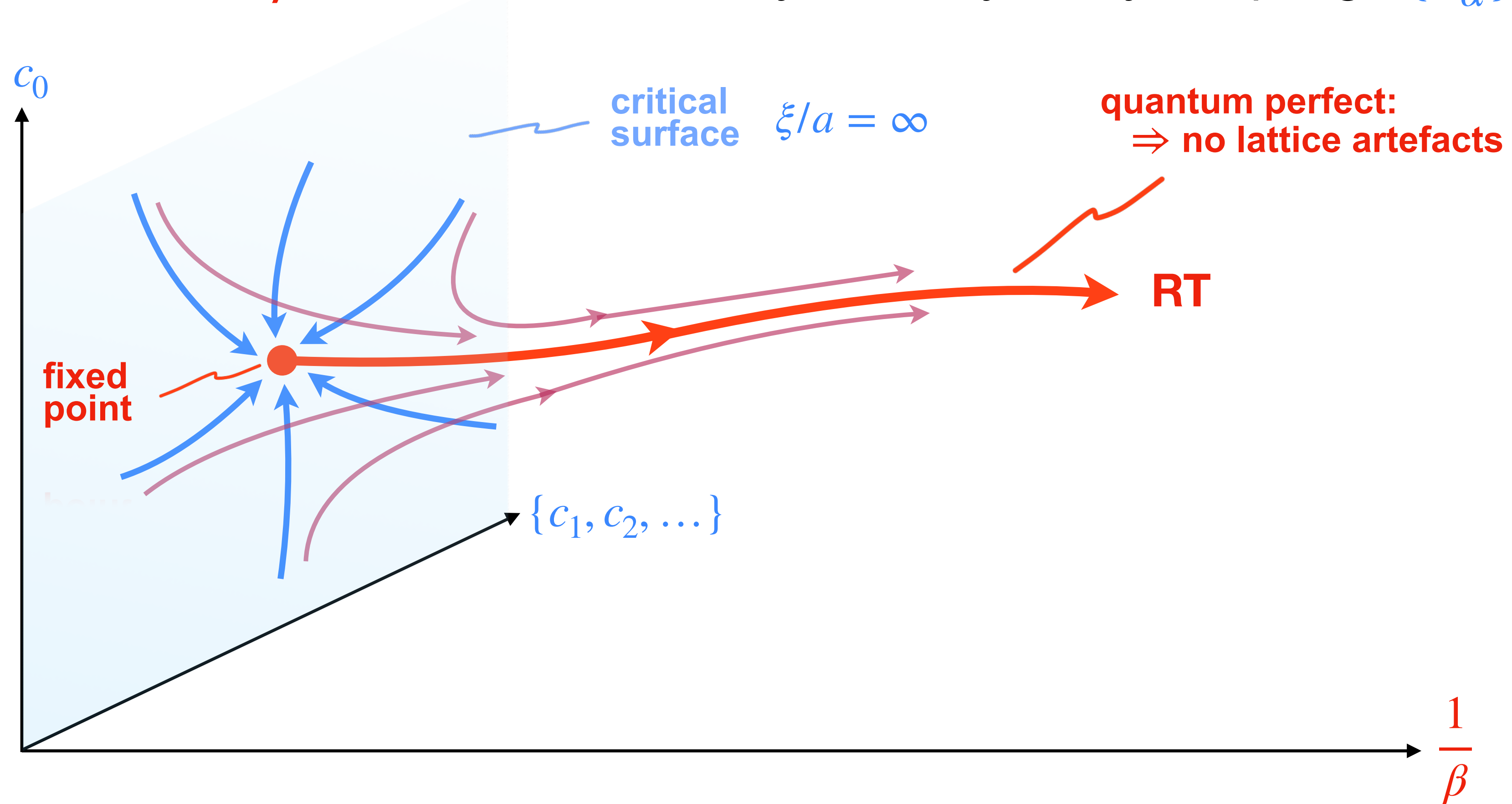
$$\exp \{ -\beta' A'[V] \} = \int \mathcal{D}U \exp \{ -\beta (A[U] + T[U, V]) \}$$

The effective action  $\beta' A'[V]$  is described by infinitely many couplings  $\{c'_\alpha\}$ :



# Renormalization group transformation

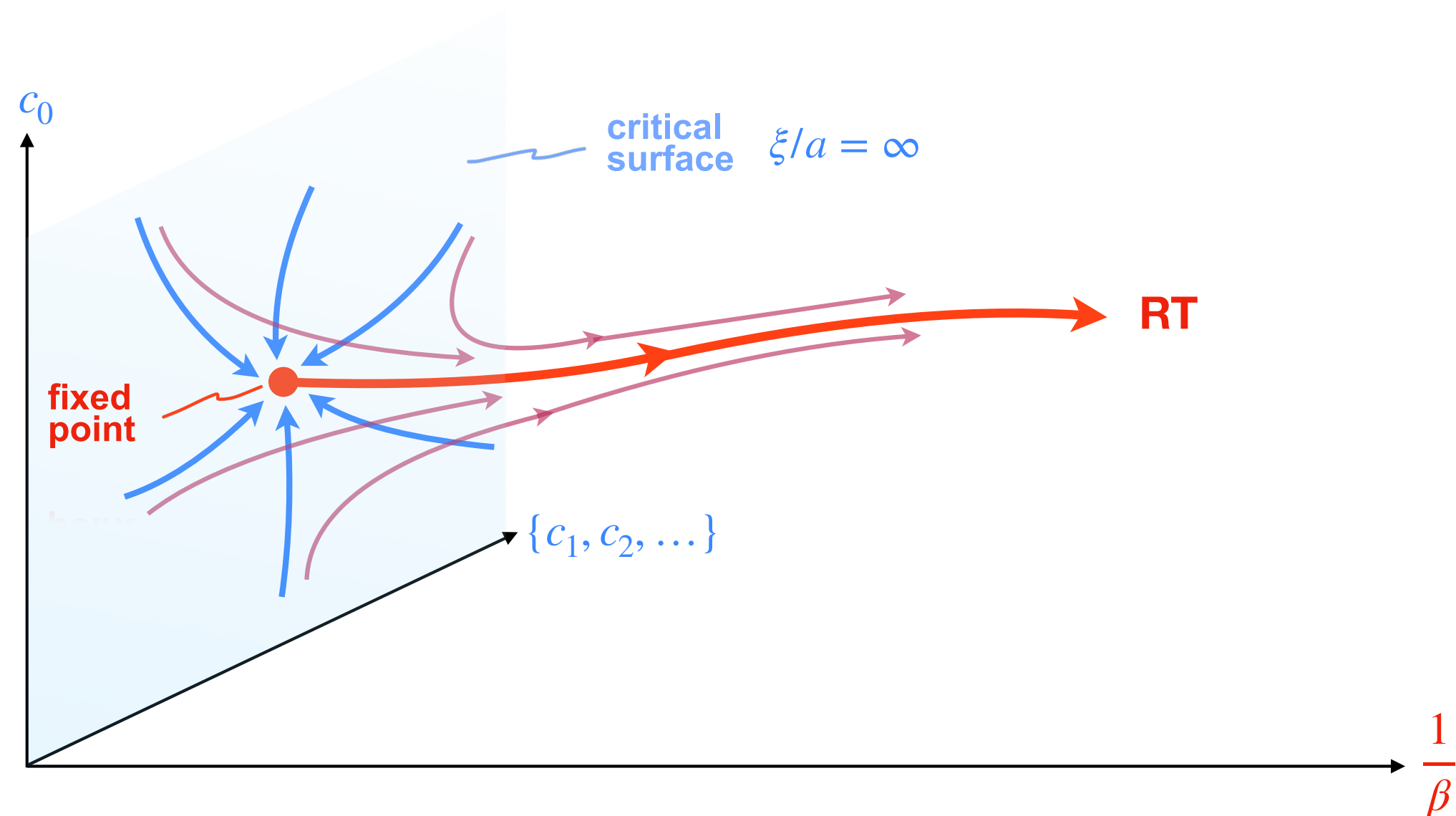
The effective action  $\beta A[V]$  is described by infinitely many couplings  $\{c_\alpha\}$ :



$\Rightarrow$  **fixed point** of RGT iterations (when  $\xi/a \rightarrow \infty$ ):  $\{c_\alpha^*\}$   $\xrightarrow{\text{RGT}}$   $\{c_\alpha^*\}$

# Renormalization group transformation

The effective action  $\beta A[V]$  is described by infinitely many couplings  $\{c_\alpha\}$ :



$$\exp \{-\beta' A'[V]\} = \int \mathcal{D}U \exp \{-\beta (A[U] + T[U, V])\}$$

Two practical problems:

- how to parametrize **RT**, i.e., which set  $\{c_\alpha\}$ ?
- how to determine  $\{c_\alpha^{\text{RT}}\}$  or  $\{c_\alpha^{\text{FP}}\}$ ?

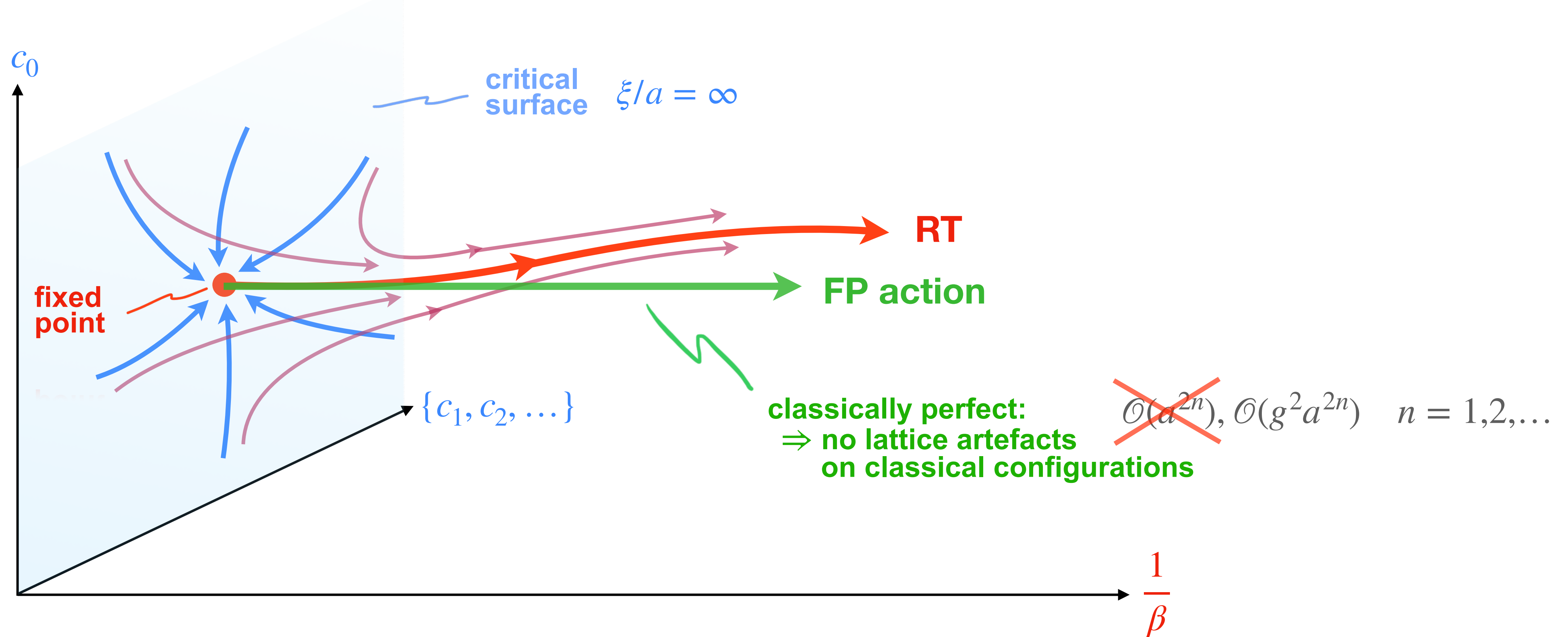
P. Hasenfratz, F. Niedermayer [Nucl.Phys.B 414 (1994) 785, hep-lat/9308004]

for  $\beta \rightarrow \infty$  (on critical surface) the **RGT** becomes a **classical saddle point problem**:

$$A^{\text{FP}}[V] = \min_{\{U\}} \{A^{\text{FP}}[U] + T[U, V]\}$$

# Classically perfect FP actions

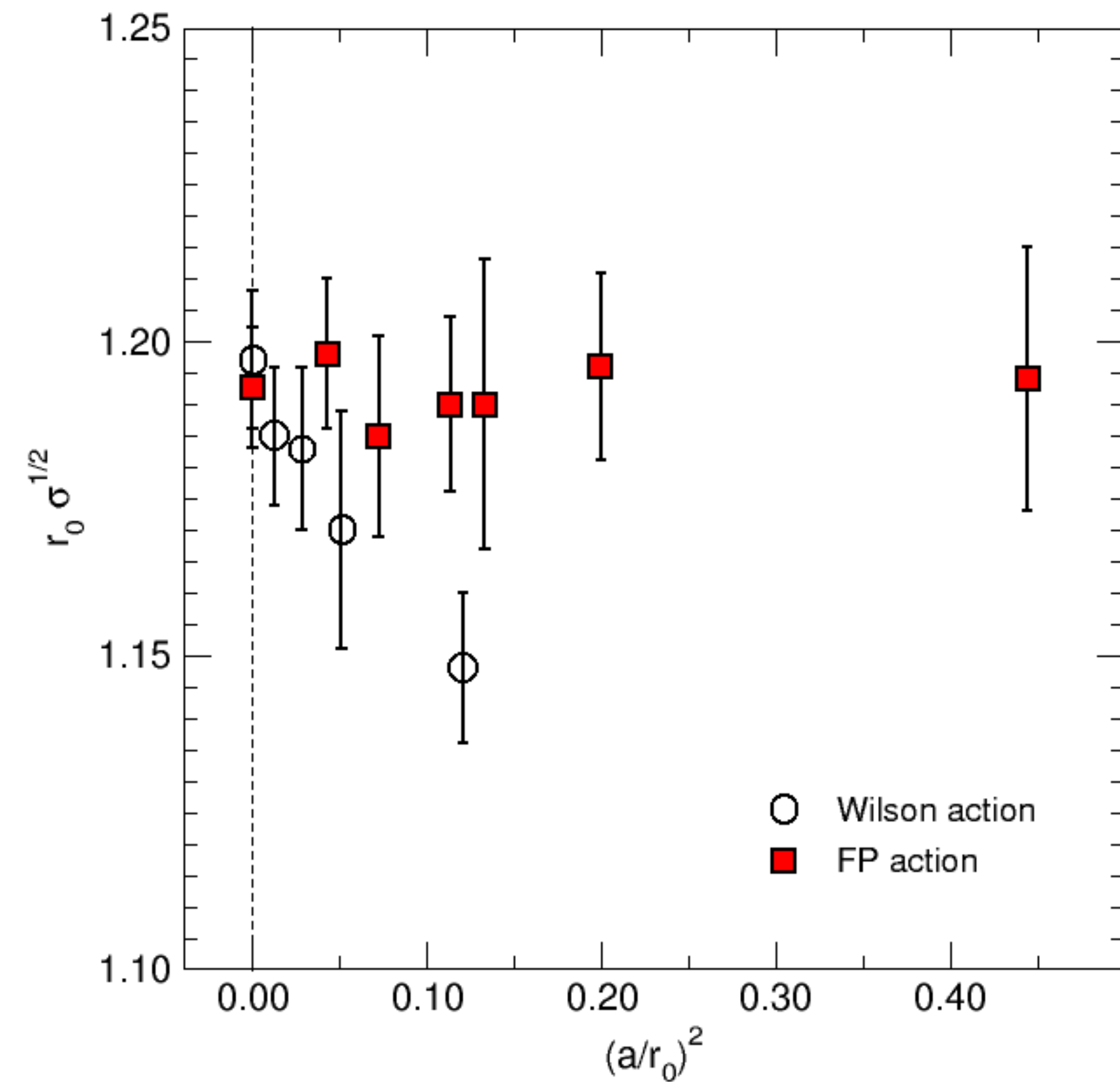
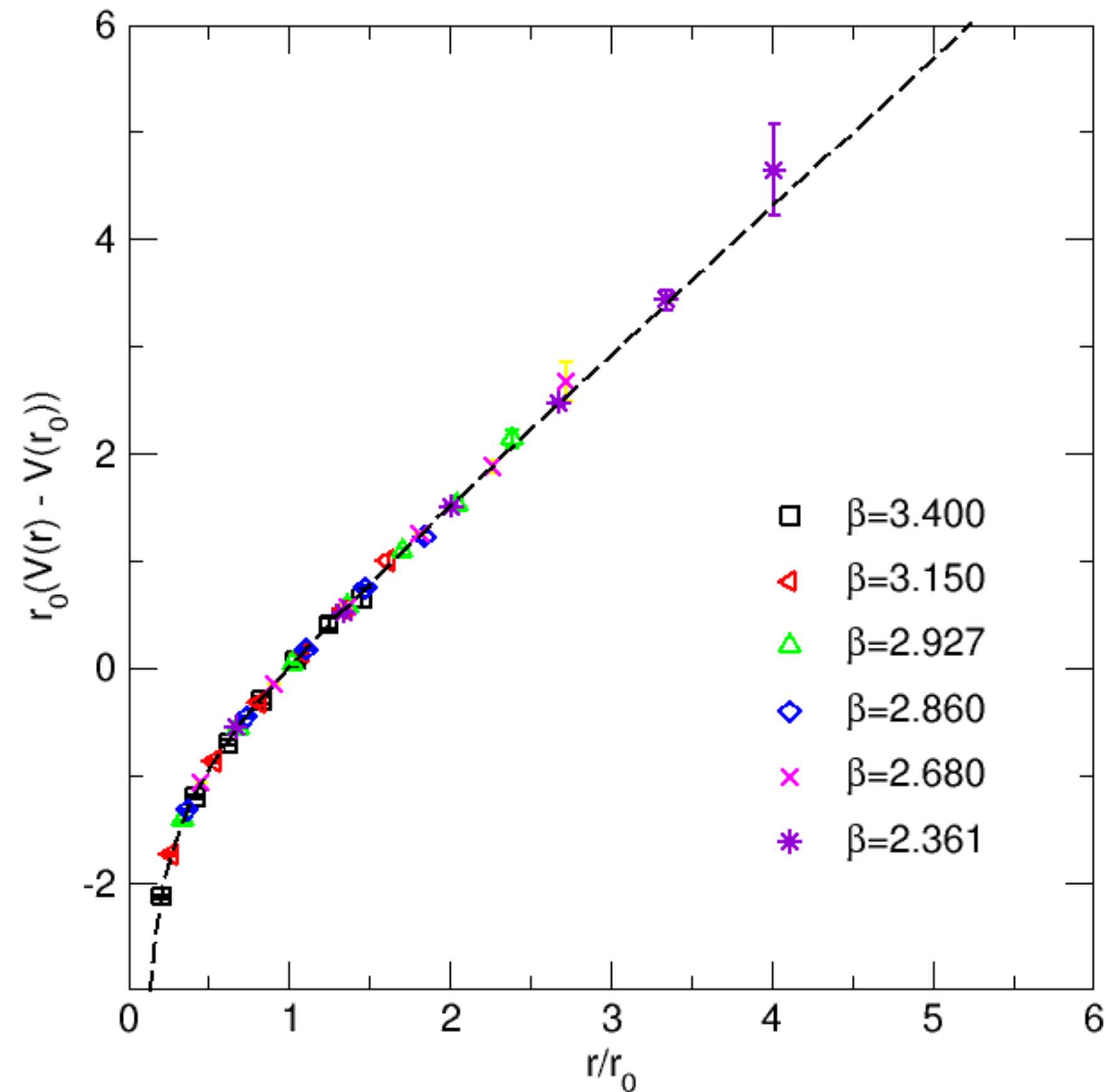
The **FP action** values for rough configurations defined through an inception procedure:



$$A^{\text{FP}}[V] = \min_{\{U\}} \{A^{\text{FP}}[U] + T[U, V]\} = \min_{\{U', U\}} \{A^{\text{FP}}[U'] + T[U', U] + T[U, V]\}$$

# FP action in action

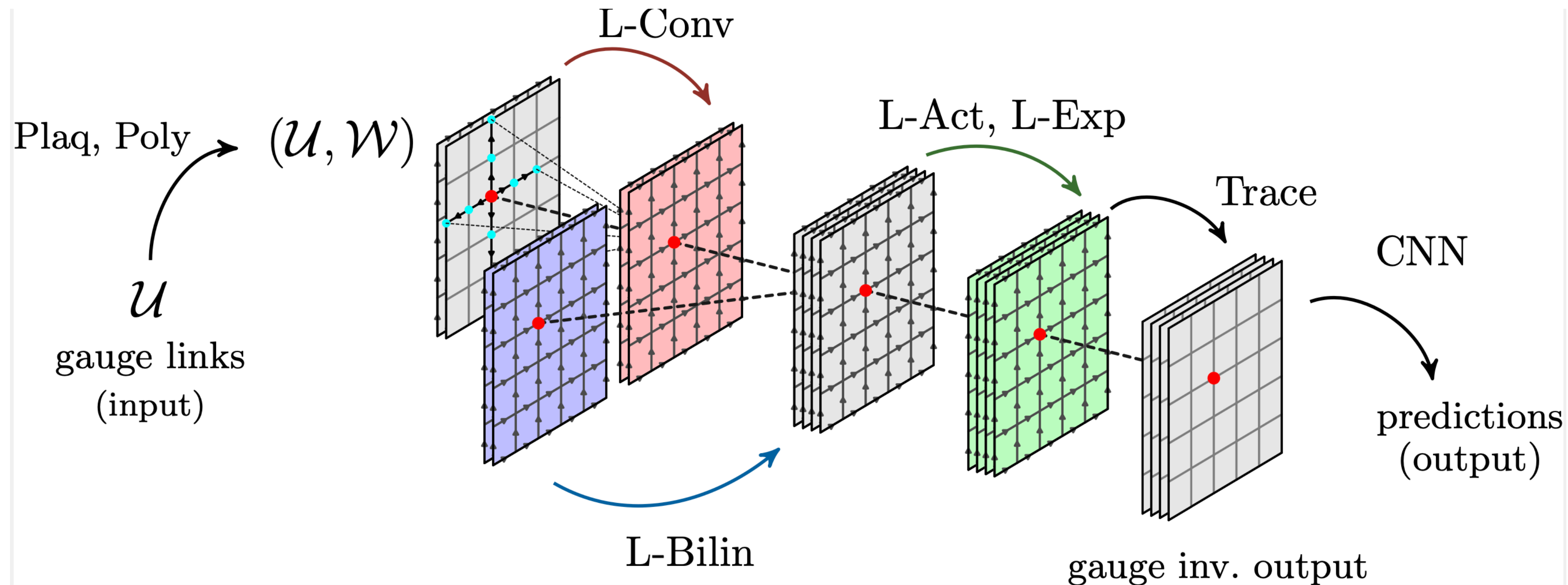
Static quark-antiquark potential, lattice spacings between  $a = 0.33 \text{ fm}, \dots, 0.10 \text{ fm}$  :



# Machine learning the FP action

ML architecture: Lattice gauge equivariant Convolutional Neural Network (L-CNN)

[Favoni, Ipp, Müller, Schuh, PRL 128 (2022) 3, 2012.12901]



**L-Conv:**

$$W'_{x+k\cdot\mu,j} = U_{x,k\cdot\mu} W_{x+k\cdot\mu,j} U_{x,k\cdot\mu}^\dagger$$

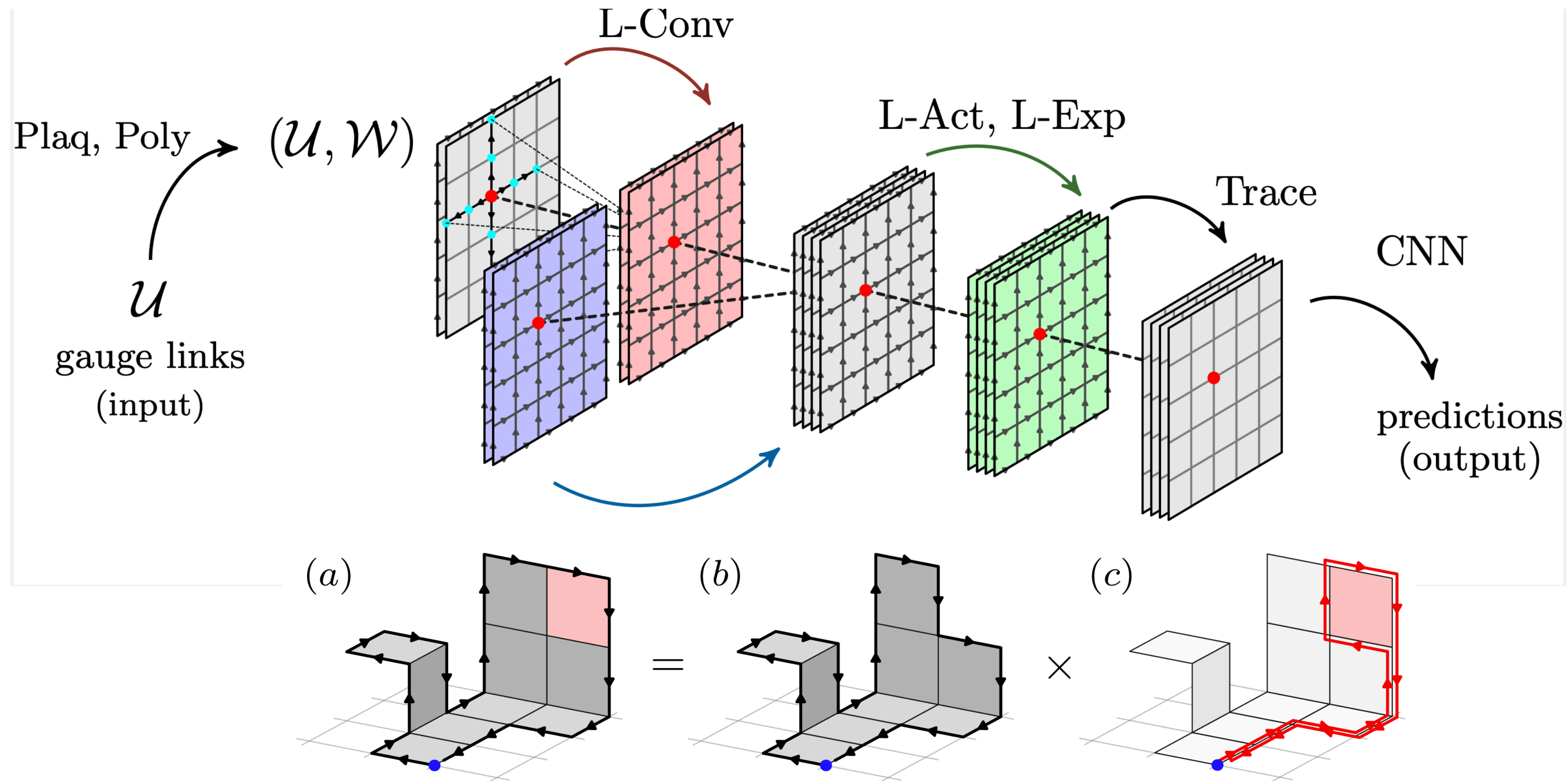
**L-Bilin:**

$$W_{x,i} \rightarrow \sum_{j,j',k} \alpha_{i,j,j',k} W_{x,j} W'_{x+k\cdot\mu,j'}$$

# Machine learning the FP action

ML architecture: Lattice gauge equivariant Convolutional Neural Network (L-CNN)

[Favoni, Ipp, Müller, Schuh, PRL 128 (2022) 3, 2012.12901]



# Machine learning the FP action

For given coarse  $V$  the **FP action value** determined by the minimizing confs.  $U, U', \dots$ :

$$A^{\text{FP}}[V] = \min_{\{U\}} \{A^{\text{FP}}[U] + T[U, V]\} = \min_{\{U', U\}} \{A^{\text{FP}}[U'] + T[U', U] + T[U, V]\}$$

Use the exact **FP action values** for training, plus the **derivatives of the FP action**:

$$\frac{\delta A^{\text{FP}}[V]}{\delta V_{x,\mu}^a} = \frac{\delta T[U, V]}{\delta V_{x,\mu}^a} = -\kappa \text{Re Tr}(it^a V_{x,\mu} Q_{x,\mu}^\dagger) \quad Q_{x,\mu}^\dagger = Q_{x,\mu}^\dagger[U]$$

$\Rightarrow$  yields 4 x 8 x Volume (link) (color) (position) data per configuration

Minimising the RHS is crucial for generating the training data:

- generate **ensembles of coarse gauge configurations** for a range of field fluctuations
- find **minimizing fine configuration**

}  $\Rightarrow$  data set for supervised ML



# Machine learning the FP action

ML loss function from two weighted contribution:

$$\frac{1}{N_{\text{cfg}}} \sum_i |A^{\text{FP}}(V_i) - A^{\text{pred}}(V_i)| \quad \frac{1}{32L^4} \frac{1}{N_{\text{cfg}}} \sum_{i,x,\mu} |\text{Tr}(D_{x,\mu}^{\text{FP}}(V_i) - D_{x,\mu}^{\text{pred}}(V_i))^2|$$

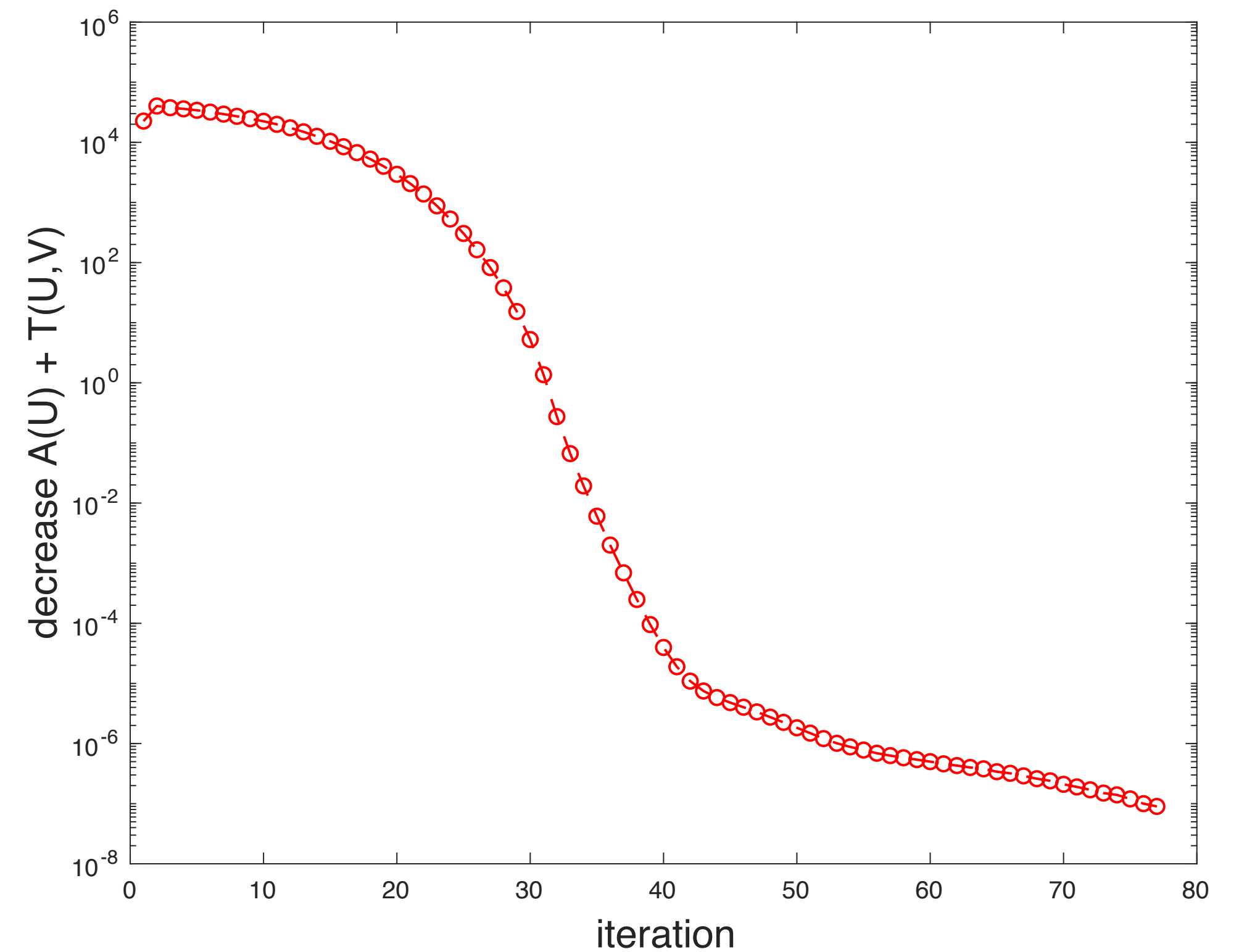
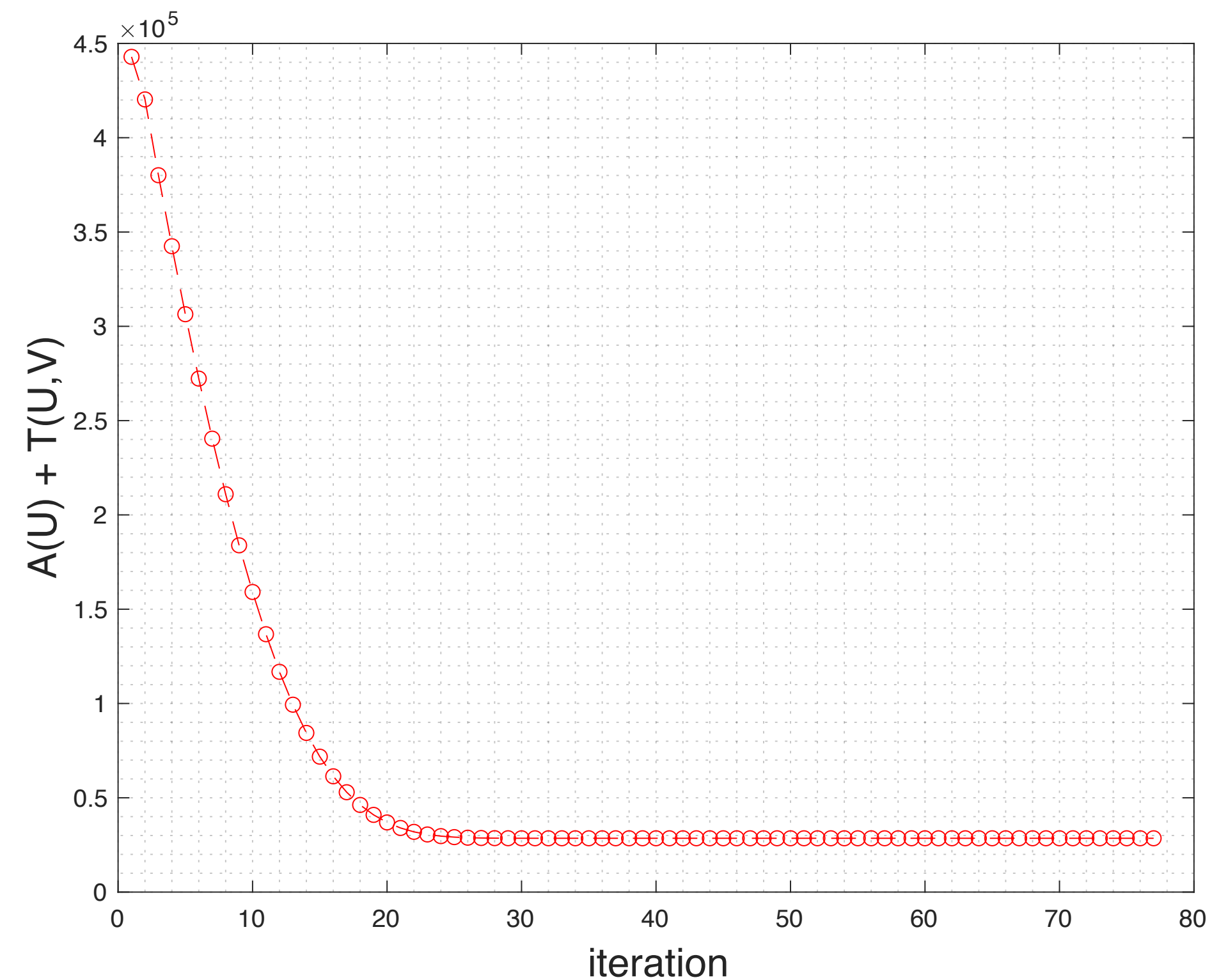
Technical points: **derivatives in L-CNN are given through back propagation,**  
(since they are derivatives of part of loss function w.r.t. input)

FP action parameterised by

$$A^{\text{pred}}[V](x) = A^{\text{std.}}[V](x) \exp \{ (N[V](x) - N[1])^m \} \quad \text{std.} = \text{Wil, tlSym, ...}$$

# Machine learning the FP action

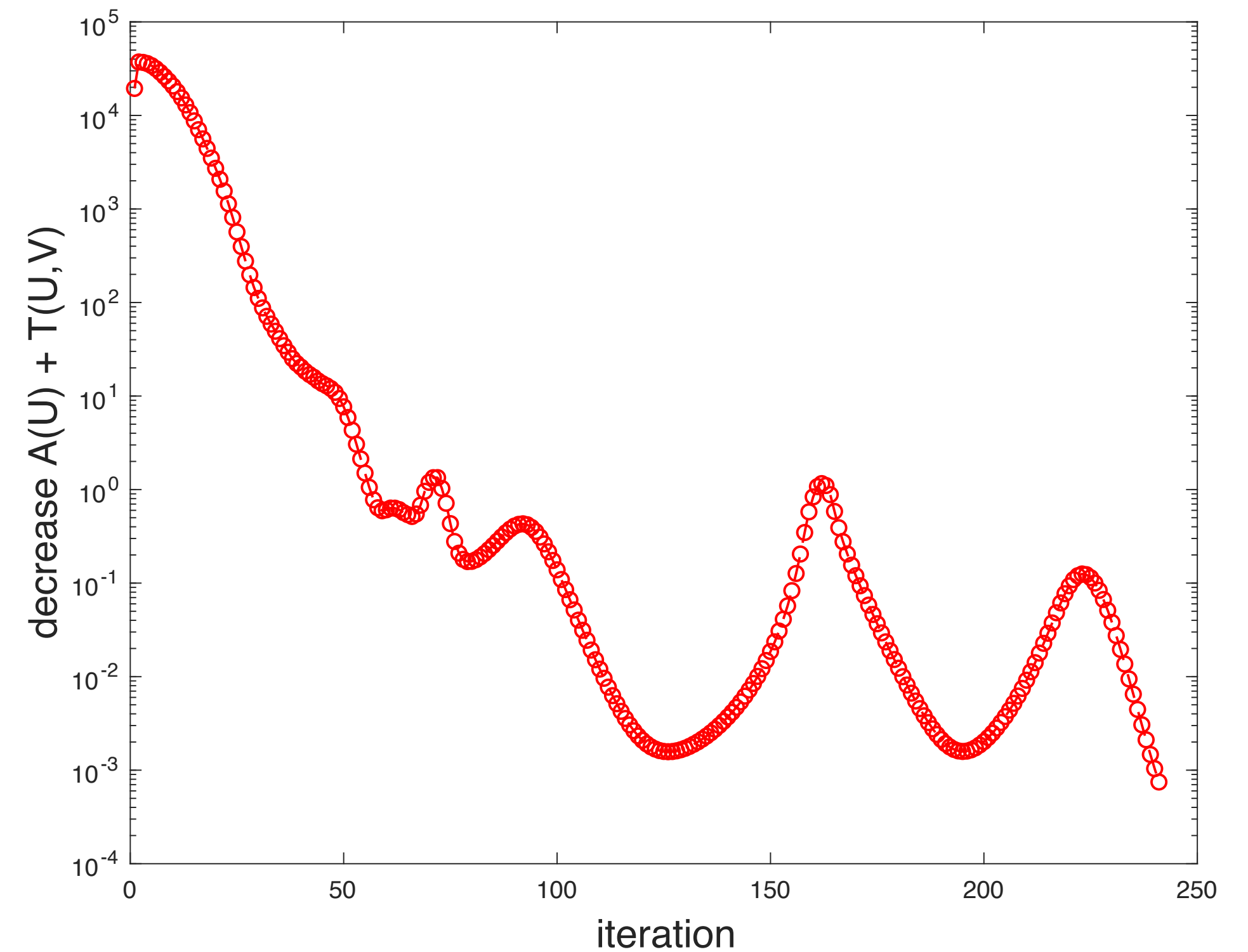
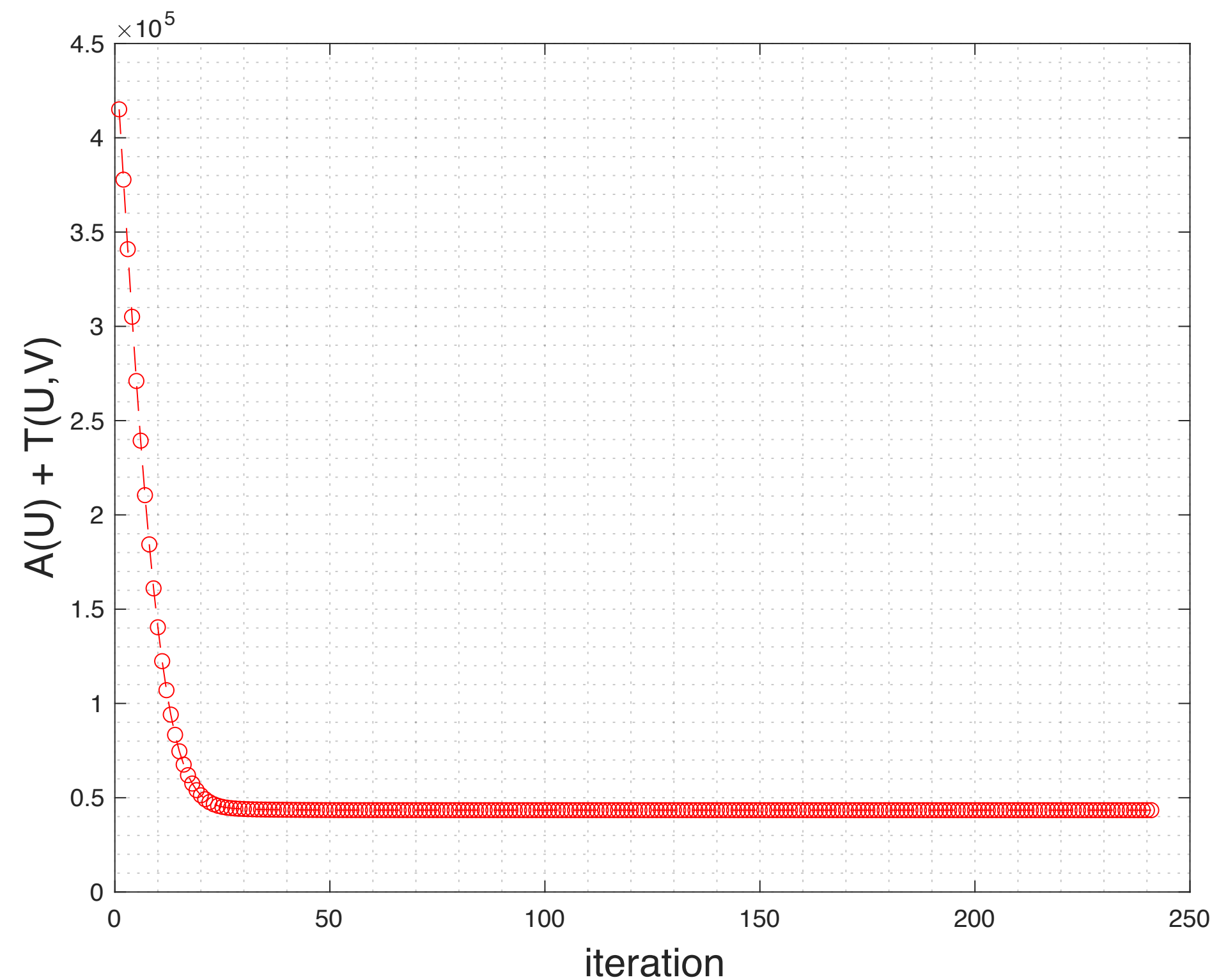
Minimisation evolution of fine configuration  $U$  for fixed coarse configuration  $V$



Coarse configuration:  $SU(3)$ ,  $V = 8^4$ ,  $\beta^{\text{wil}} = 6.0 \Rightarrow$  lattice spacing  $a \simeq 0.10$  fm

# Machine learning the FP action

Minimisation evolution of fine configuration  $U$  for fixed coarse configuration  $V$



Coarse configuration: SU(3),  $V = 8^4$ ,  $\beta^{\text{wil}} = 5.4 \Rightarrow$  lattice spacing  $a \simeq 0.25$  fm

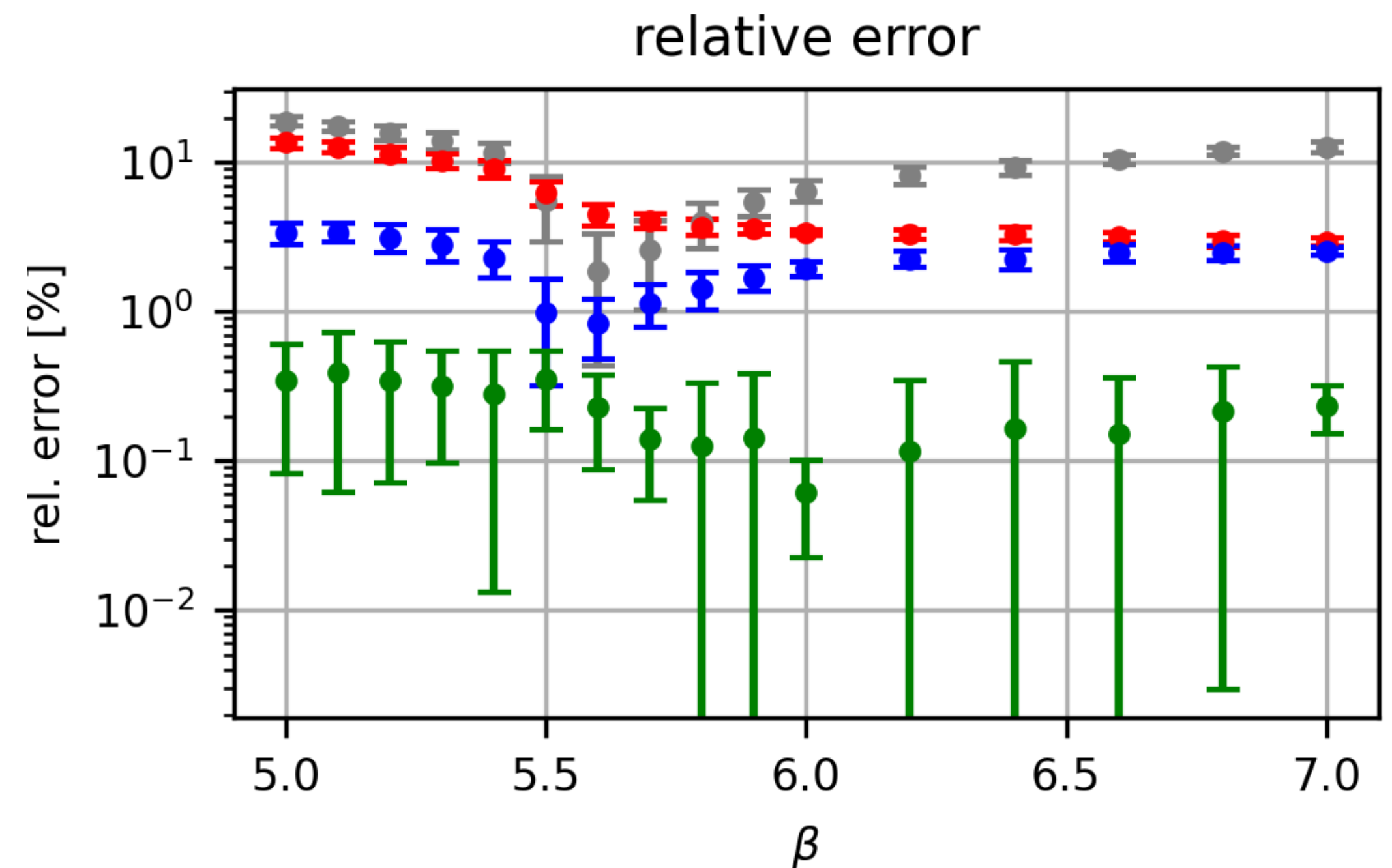
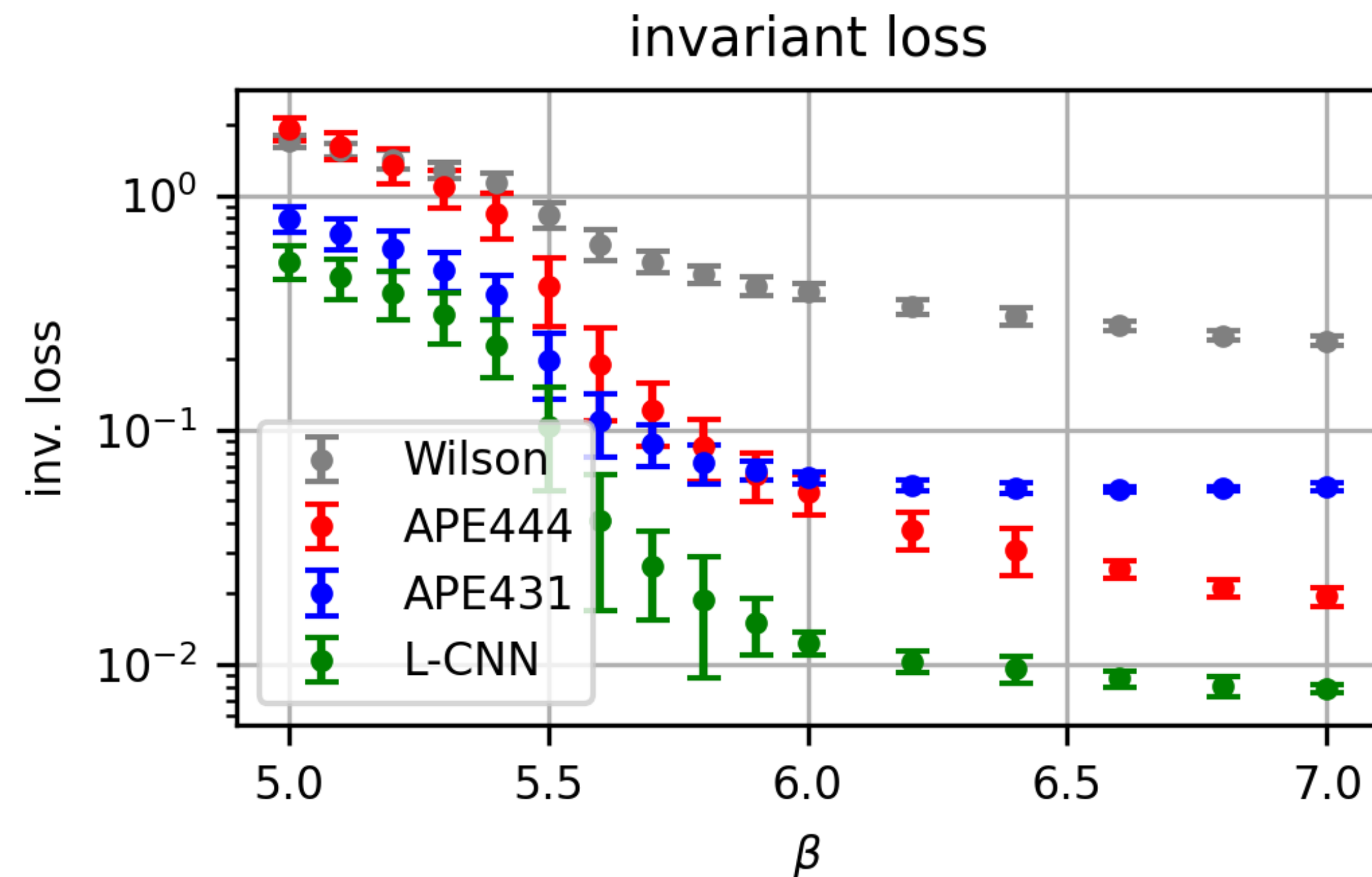
# Machine learning the FP action

Training example: L-CNN model with

- 3 layers with 12, 24, 24 channels each
- parallel transport in  $\pm 1$  in first 2 layers
- local in 3rd layer

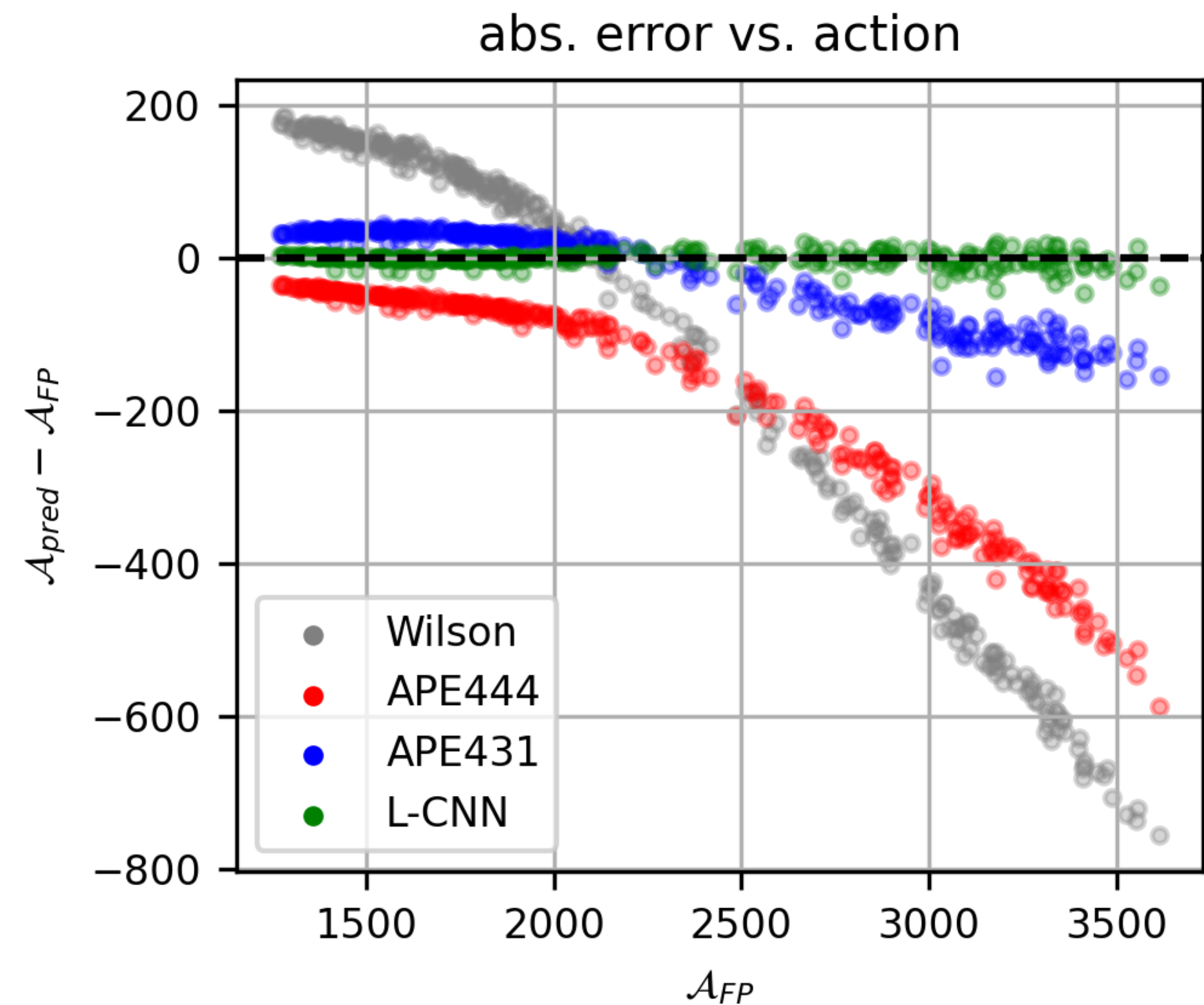
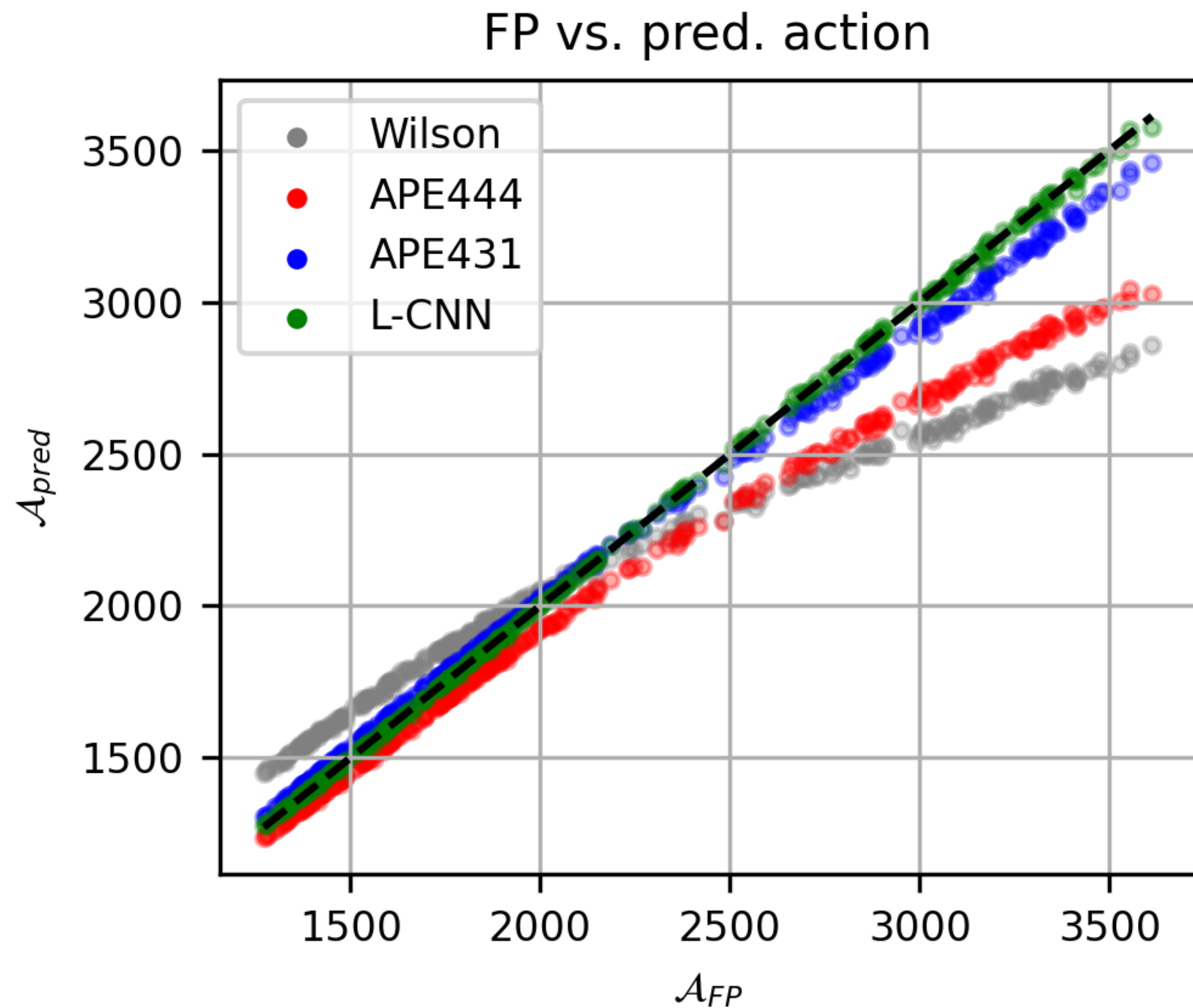
|                | L-CNN   | APE431  | APE444  | Wilson  |
|----------------|---------|---------|---------|---------|
| L1 (A/V)       | 0.02148 | 0.19690 | 0.62189 | 0.90898 |
| rel. err.      | 0.226%  | 2.1965% | 6.1356% | 9.7577% |
| inv. loss (DA) | 0.13480 | 0.23799 | 0.49264 | 0.73533 |

Older parametrizations of FP action as baselines:



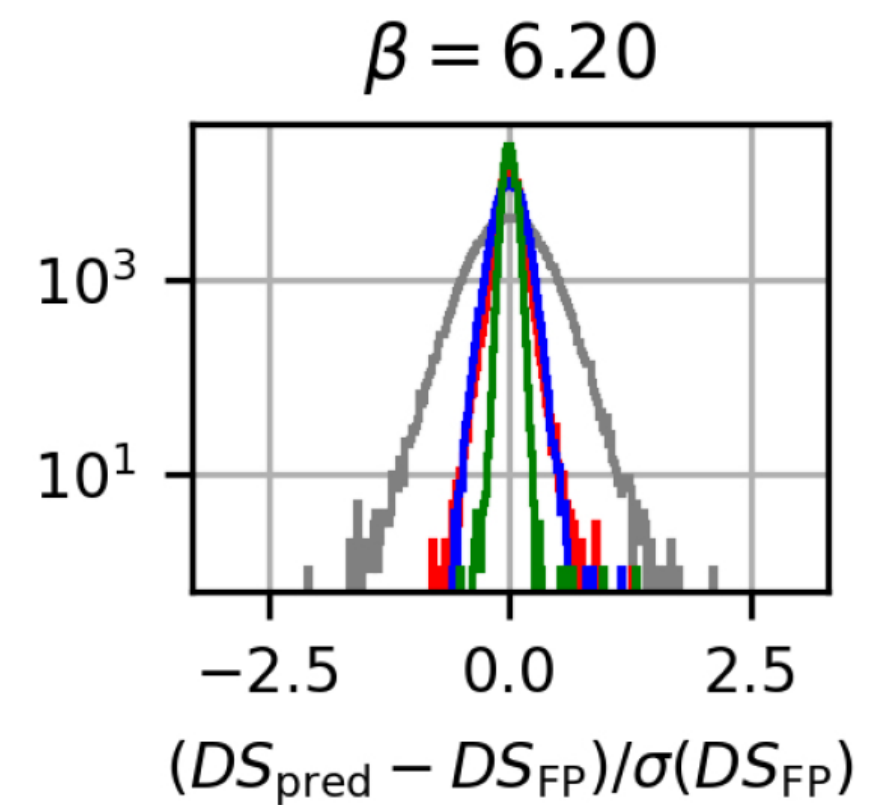
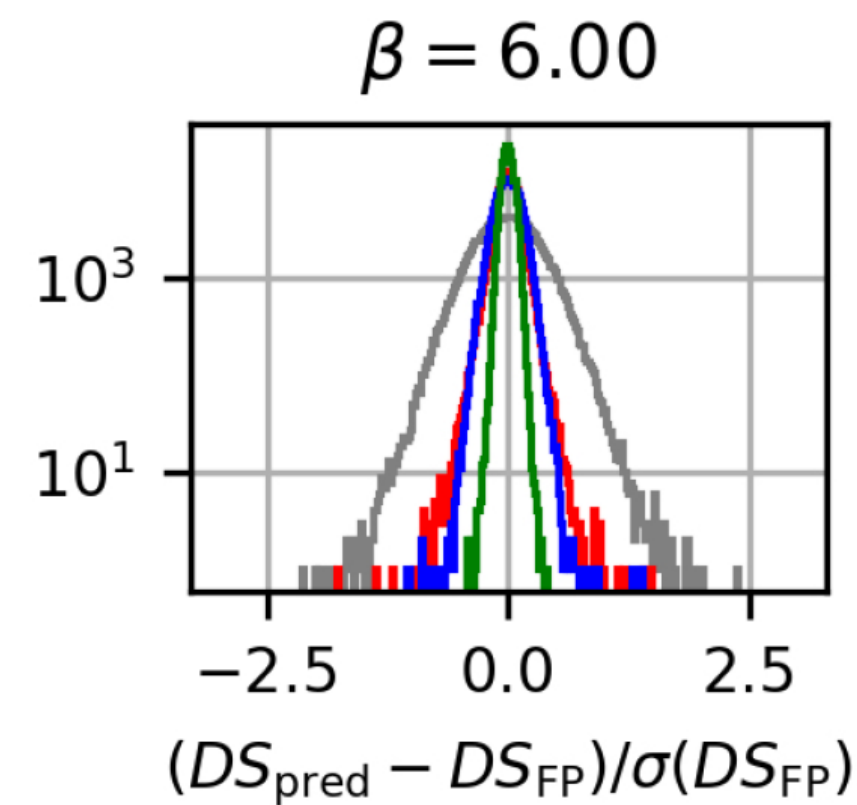
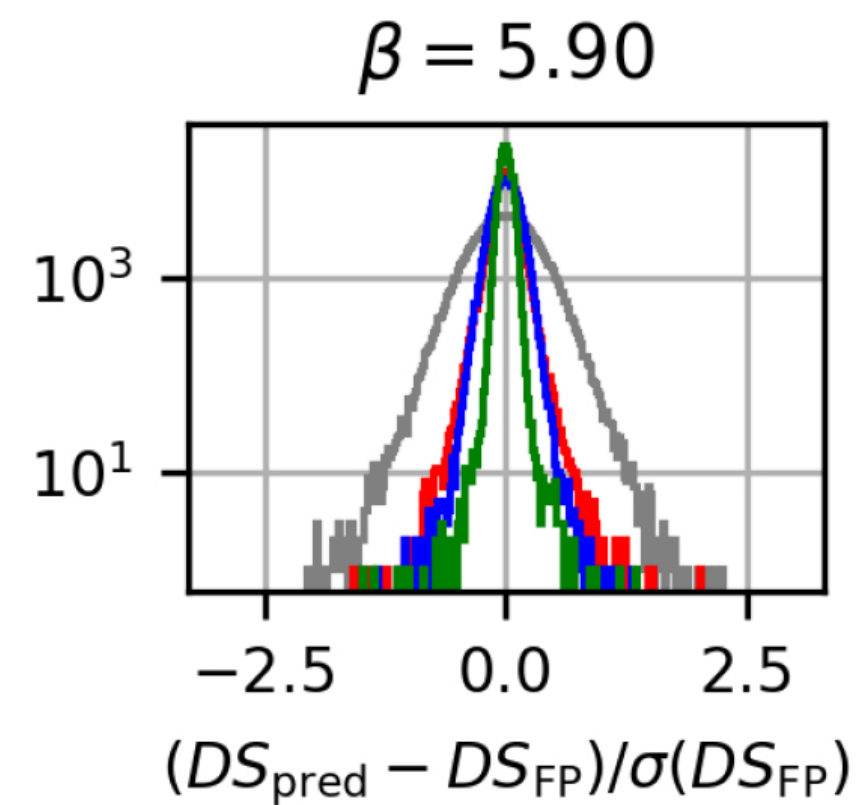
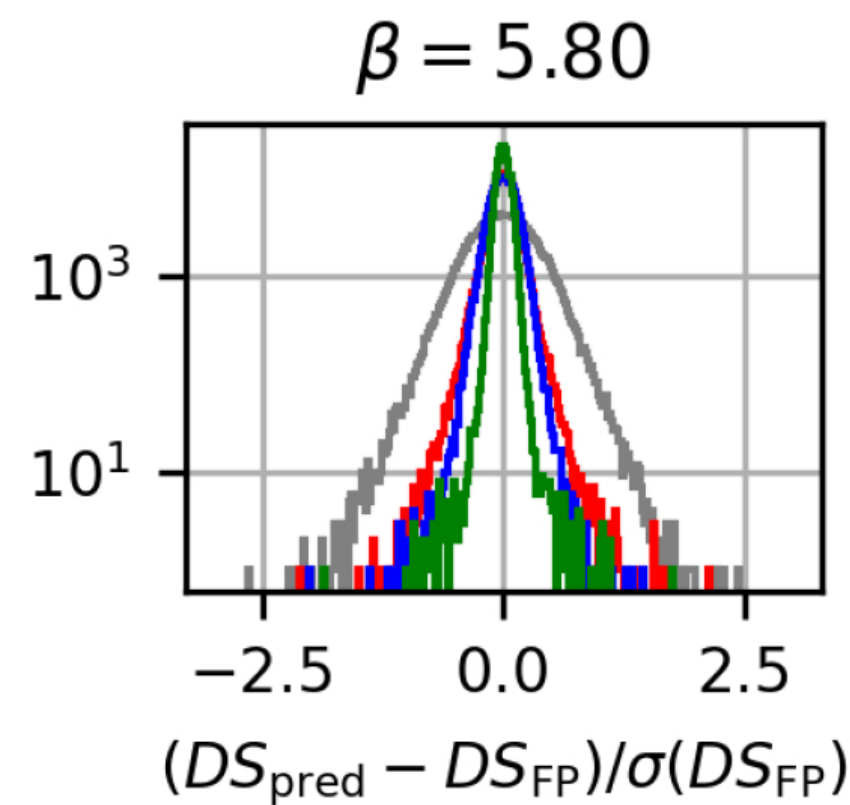
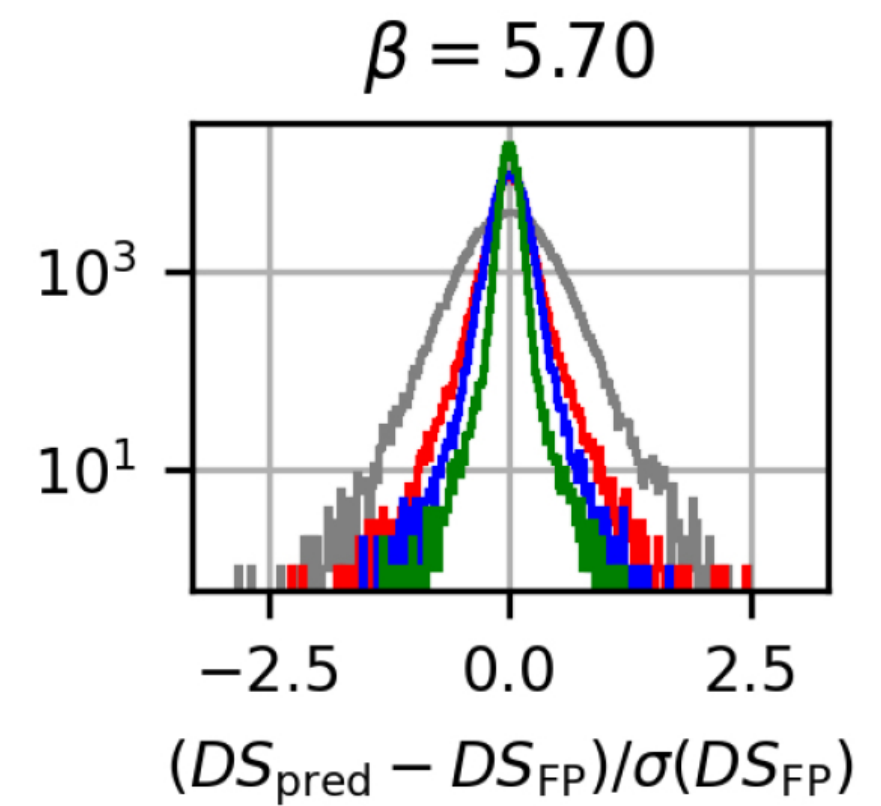
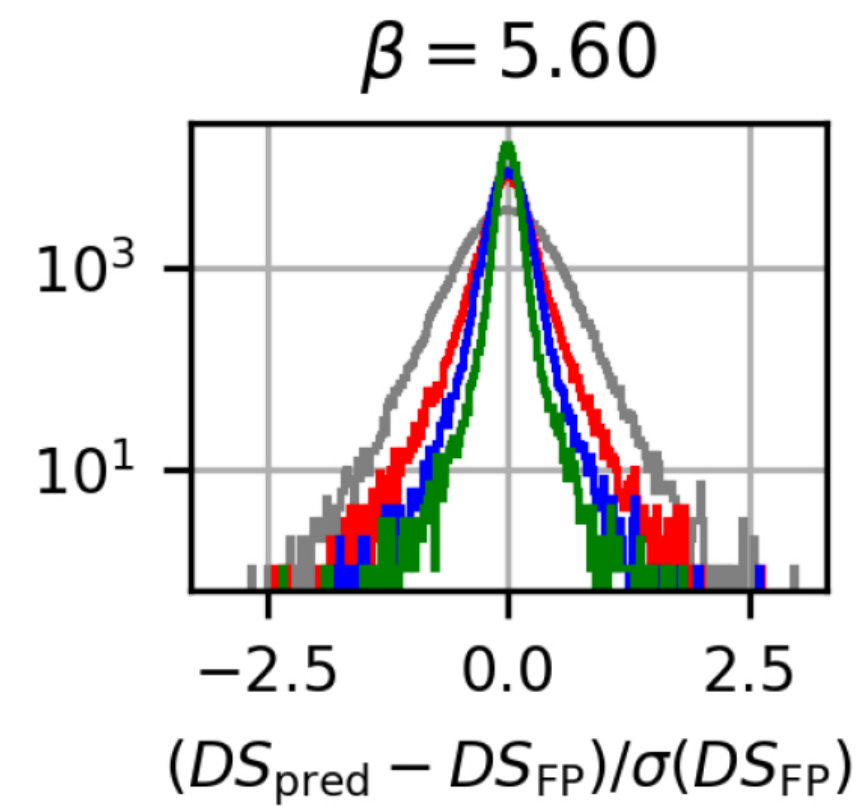
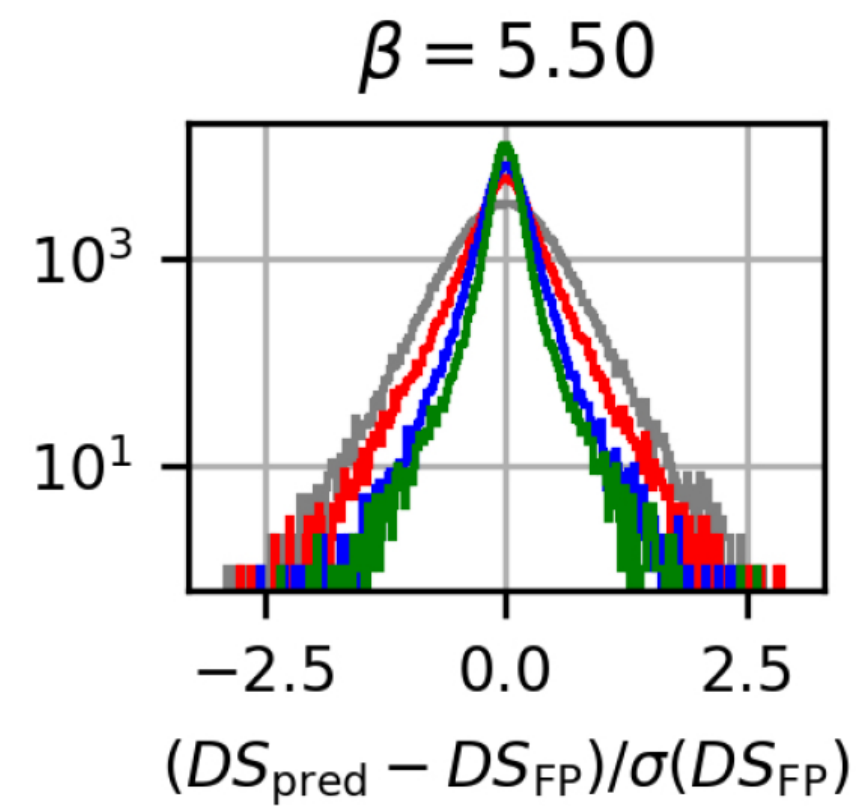
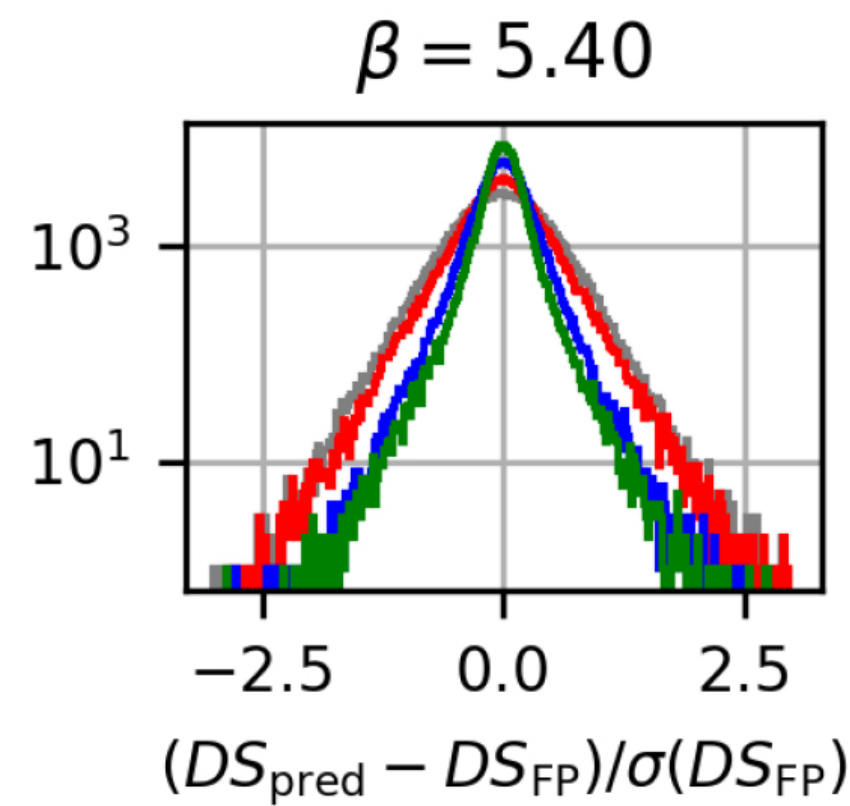
# Machine learning the FP action

Superiority of L-CNN over old parameterization of FP action:



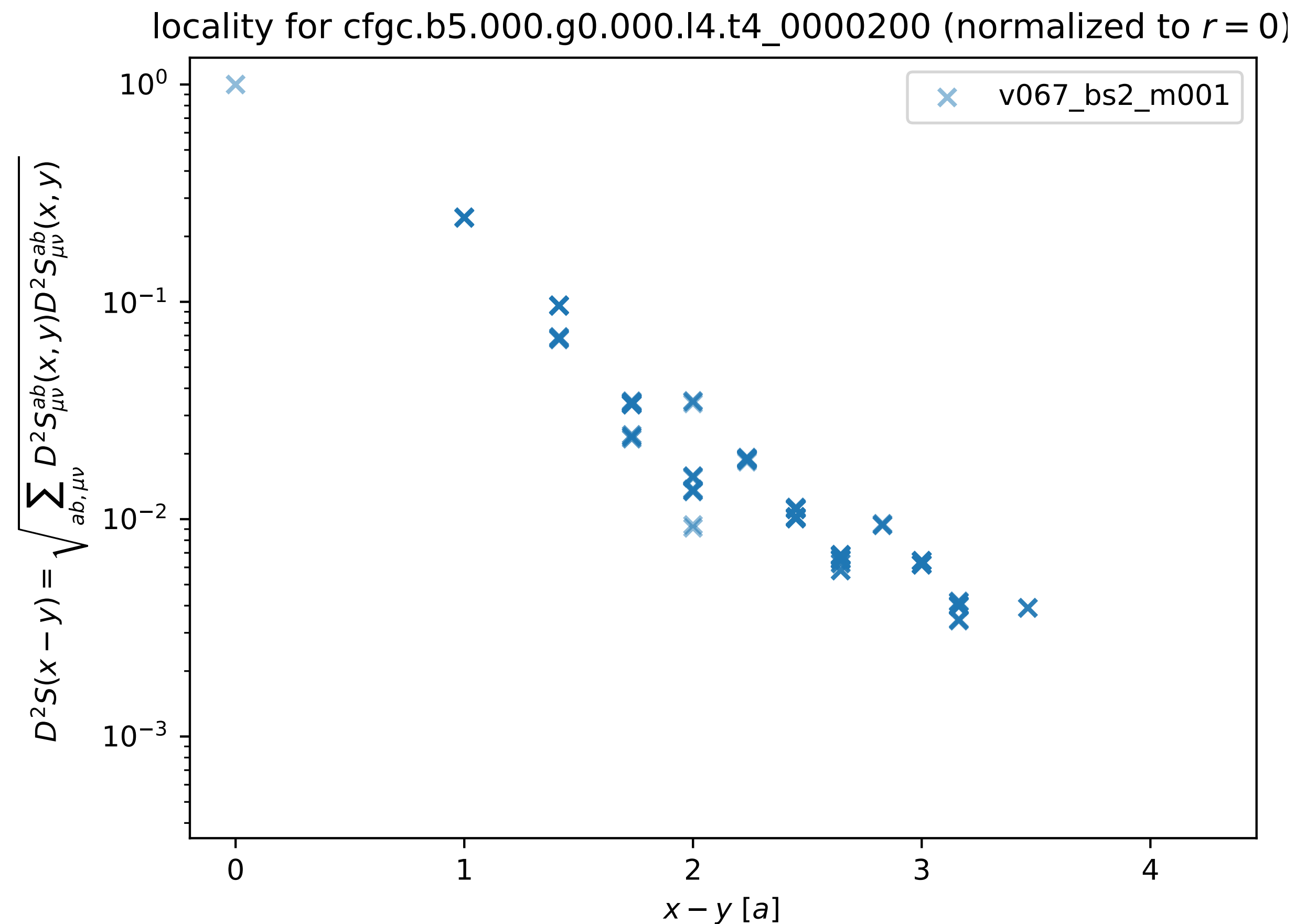
# Machine learning the FP action

Superiority of L-CNN over old parameterization of FP action:



# Machine learning the FP action

Locality of L-CNN trained FP action  $\frac{\delta^2 A^{\text{pred}}(V)}{\delta V_{x,\mu}^a \delta V_{y,\nu}^b}$  :



- couplings fall off exponentially, as desired
- even on coarse configurations

# FP action with L-CNN

Two questions were addressed:

- can the FP action be parametrised sufficiently well? ✓
- is the FP action sufficiently local for truncations to work? ✓

Could provide a solution to critical slowing down and topological freezing...

- how good are scaling properties of L-CNN FP action?

Availability of derivatives is the stepping stone for further developments:

- HMC, Langevin, GF (based on derivatives)
- apply exact RGT step(s)



**Backup slides**

# Classically perfect FP actions

The classical FP equation can be iterated:

$$A^{\text{FP}}[V] = \min_{\{U\}} \{A^{\text{FP}}[U] + T[U, V]\} = \min_{\{U', U\}} \{A^{\text{FP}}[U'] + T[U', U] + T[U, V]\}$$

- There are no lattice artefacts on classical configurations:
- For large  $\beta$ ,  $A^{\text{FP}}[V]$  is very close to  $A^{\text{RT}}[V]$ :

$$\frac{\delta A^{\text{FP}}[V]}{\delta V} = 0 \quad \Rightarrow \quad \frac{\delta A^{\text{FP}}[U]}{\delta U} = 0$$

$\Rightarrow A^{\text{FP}}[V]$  has scale invariant instanton solutions

$\Rightarrow$  lattice artefacts expected to be substantially reduced:

~~$\mathcal{O}(a^{2n}), \mathcal{O}(g^2 a^{2n})$~~   $n = 1, 2, \dots$

# Parametrization of the FP actions

Parametrization should be **as local as possible**, but still **as expressive as possible**.

- Wilson plaquette variable:

$$u_{\mu\nu} = \text{ReTr} \left( 1 - U_{\mu\nu}^{pl} \right)$$

from usual links  $U_\mu, U_\nu$

- Smearred plaquette

$$w_{\mu\nu} = \text{ReTr} \left( 1 - W_{\mu\nu}^{pl} \right)$$

from asymmetricly smeared links

- FP action:  $A^{FP}[U] = \sum_{\mu < \nu} f(u_{\mu\nu}, w_{\mu\nu})$  e.g.  $f(u, w) = \sum_{k,l} p_{kl} u^k w^l$

- Asymmetricly smeared links:

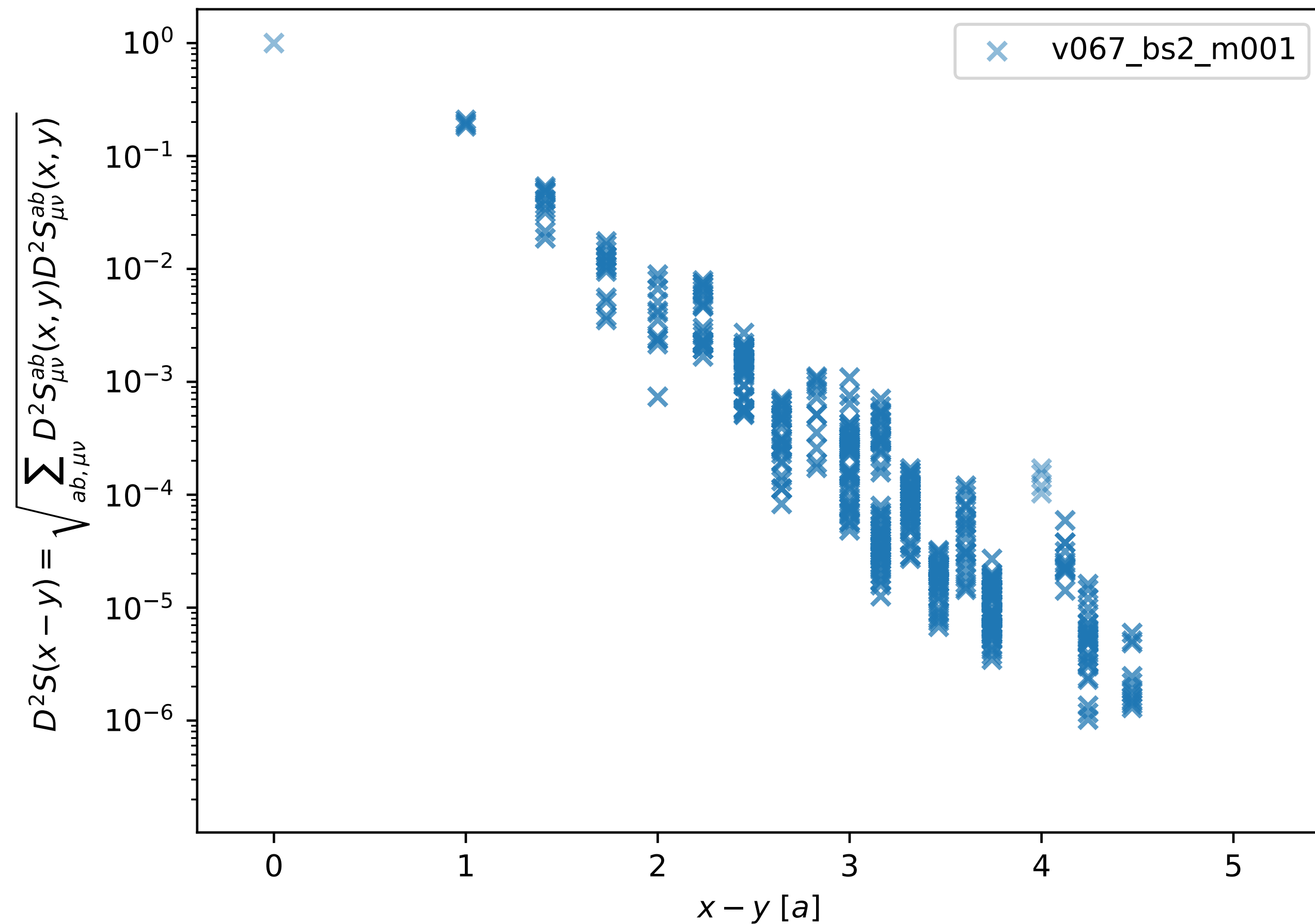
$$Q_\mu^S = \frac{1}{6} \sum_{\lambda \neq \mu} S_\mu^{(\lambda)} - U_\mu, \quad Q_\mu^{(\nu)} = \frac{1}{4} \left( \sum_{\lambda \neq \mu, \nu} S_\mu^{(\lambda)} + \eta(x_\mu) \cdot S_\mu^{(\nu)} \right) - \left( 1 + \frac{1}{2} \eta(x_\mu) \right) U_\mu,$$

$$W_\mu^{(\nu)} = U_\mu + c_1(x_\mu) \cdot Q_\mu^{(\nu)} + c_2(x_\mu) \cdot Q_\mu^{(\nu)} U_\mu^\dagger Q_\mu^{(\nu)} + \dots, \quad x_\mu = \text{ReTr} \left( Q_\mu^S \cdot U_\mu^\dagger \right),$$

$$\eta(x) = \eta^{(0)} + \eta^{(1)} \cdot x + \eta^{(2)} \cdot x^2 + \dots,$$

$$c_i(x) = c_i^{(0)} + c_i^{(1)} \cdot x + c_i^{(2)} \cdot x^2 + \dots$$

locality for unit matrices (normalized to  $r = 0$ )



locality of L-CNN trained action

$$\frac{\delta^2 A^{\text{pred}}(V)}{\delta V_{x,\mu}^a \delta V_{y,\nu}^b}$$

exponential fall off, as desired