



Chebyshev and Backus-Gilbert reconstruction for inclusive semileptonic $B_{(s)}$ -meson decays from Lattice QCD



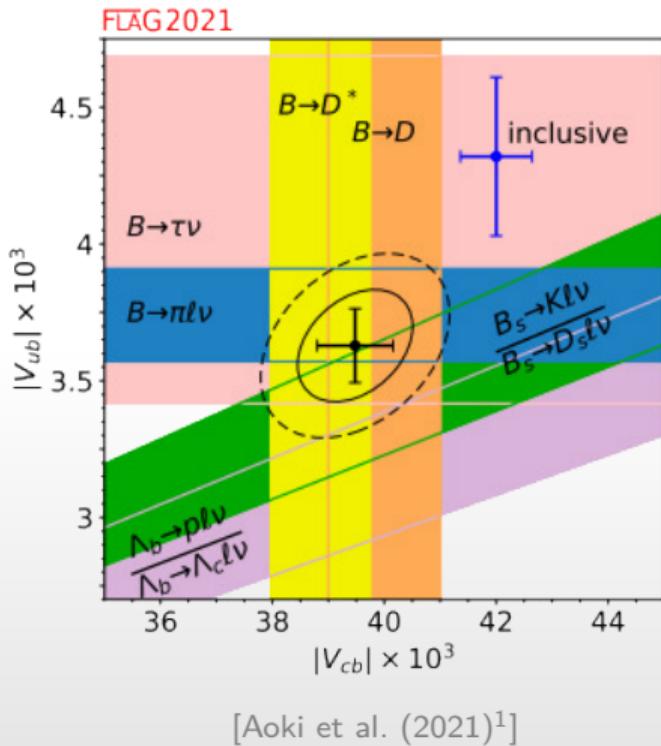
Alessandro Barone

in collaboration with

Andreas Jüttner, Shoji Hashimoto,
Takashi Kaneko, Ryan Kellermann

Lattice2023, 31st July 2023

Introduction and motivations



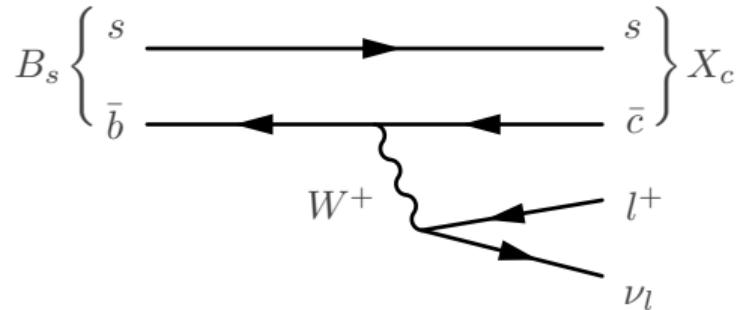
- ▶ $\sim 3\sigma$ discrepancy (in the plot) between inclusive (blue cross)/exclusive (black cross) determination;
- ▶ lattice QFT represents a fully nonperturbative theoretical approach to QCD;
- ▶ no current predictions from lattice QCD for the inclusive decays.

This talk: Pilot study $B_s \rightarrow X_c l \nu_l$
[Barone et al. (2023)²]

- ▶ improve existing strategies for inclusive decays on the lattice;
- ▶ compare two different methods for the analysis.

→ see also **Ryan Kellermann's talk, Mon 17:20**

Differential decay rate



Differential decay rate:

$$\frac{d\Gamma}{dq^2 dq_0 dE_l} = \frac{G_F^2 |V_{cb}|^2}{8\pi^3} [L_{\mu\nu}] [W^{\mu\nu}],$$

Leptonic tensor
(analytically known)

Hadronic tensor

$$[W^{\mu\nu}] = \sum_{X_c} (2\pi)^3 \delta^{(4)}(p - q - r) \frac{1}{2E_B} \langle B_s(\mathbf{p}) | J^{\mu\dagger}(\mathbf{q}) | X_c(\mathbf{r}) \rangle \langle X_c(\mathbf{r}) | J^\nu(\mathbf{q}) | B_s(\mathbf{p}) \rangle.$$

→ contains all the **nonperturbative QCD**

Observables

The total decay rate is

$$\Gamma \propto \int d\mathbf{q}^2 d\omega dE_l \boxed{L_{\mu\nu} W^{\mu\nu}}, \quad \omega = E_{X_c}.$$

Other interesting observables are *moments* such as

q^2 moments $\langle (q^2)^n \rangle \propto \frac{1}{\Gamma} \int d\mathbf{q}^2 d\omega dE_l \boxed{(q^2)^n} L_{\mu\nu} W^{\mu\nu},$

hadronic mass moments $\langle (M_{X_c}^2)^n \rangle \propto \frac{1}{\Gamma} \int d\mathbf{q}^2 d\omega dE_l \boxed{(M_{X_c}^2)^n} L_{\mu\nu} W^{\mu\nu},$

lepton moments $\langle E_l^n \rangle \propto \frac{1}{\Gamma} \int d\mathbf{q}^2 d\omega dE_l \boxed{E_l^n} L_{\mu\nu} W^{\mu\nu}.$

Observables

After integrating over E_l , all of them can be rewritten as

$$\Gamma \propto \int_0^{q_{\max}^2} dq^2 \sqrt{q^2} \boxed{\bar{X}},$$

and similarly

$$\langle (q^2)^n \rangle \propto \frac{1}{\Gamma} \int_0^{q_{\max}^2} dq^2 \sqrt{q^2} \boxed{\bar{X}_Q^{(n)}},$$

$$\langle (M_{X_c}^2)^n \rangle \propto \frac{1}{\Gamma} \int_0^{q_{\max}^2} dq^2 \sqrt{q^2} \boxed{\bar{X}_H^{(n)}},$$

$$\langle E_l^n \rangle \propto \frac{1}{\Gamma} \int_0^{q_{\max}^2} dq^2 \sqrt{q^2} \boxed{\bar{X}_L^{(n)}},$$

know kinematics

$$\boxed{\bar{X}} = \int_{\omega_{\min}}^{\omega_{\max}} d\omega \boxed{k_{\mu\nu}} \times \boxed{W^{\mu\nu}}.$$

from lattice?

→ portal to compute the observables

Inclusive decays on the lattice

[Hansen et al. (2017)³, Hashimoto (2017)⁴, Gambino and Hashimoto (2020)⁵]

We need the nonperturbative calculation of the hadronic tensor

$$W^{\mu\nu}(\mathbf{q}, \omega) \sim \sum_{X_c} \langle B_s | J^{\mu\dagger} | X_c \rangle \langle X_c | J^\nu | B_s \rangle.$$

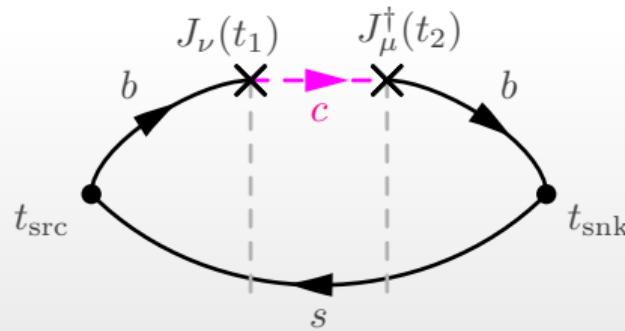
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On the lattice, this is achieved with a **4pt correlation function**:



- $t_{\text{src}}, t_2, t_{\text{snk}}$ fixed
- $t_{\text{src}} \leq t_1 \leq t_2$
- $t = t_2 - t_1$
- t small \rightarrow
signal-to-noise ratio
deteriorate with t

$$C^{\mu\nu}(t) \quad \leftrightarrow \quad \langle B_s | \tilde{J}^{\mu\dagger}(\mathbf{q}, 0) e^{-t\hat{H}} \tilde{J}^\nu(\mathbf{q}, 0) | B_s \rangle.$$

Inclusive observables from lattice data

For the inclusive case we compute \bar{X}

lattice data for inclusive

$$\bar{X} = \int_{\omega_{\min}}^{\omega_{\max}} d\omega W^{\mu\nu} [k_{\mu\nu}(\mathbf{q}, \omega)],$$

kinematics factors

$$C_{\mu\nu}(t) = \int_{\omega_0}^{\infty} d\omega [W_{\mu\nu}(\mathbf{q}, \omega)] e^{-\omega t}$$

$\sum_{X_c} \langle B_s | J_\mu^\dagger | X_c \rangle \langle X_c | J_\nu | B_s \rangle \delta(\omega - E_{X_c})$

where the hadronic tensor acts now as a spectral function.

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To make use of $C_{\mu\nu}(t)$ we need to extend the range

$$\begin{aligned}\bar{X} &= \int_{\omega_{\min}}^{\omega_{\max}} d\omega W^{\mu\nu} [k_{\mu\nu}(\mathbf{q}, \omega)] \\ &= \int_{\omega_0}^{\infty} d\omega W^{\mu\nu} [k_{\mu\nu}(\mathbf{q}, \omega) \theta(\omega_{\max} - \omega)] \rightarrow \text{kernel operator} \\ 0 \leq \omega_0 \leq \omega_{\min} &\leftarrow \boxed{\omega_0} \\ &= \int_{\omega_0}^{\infty} d\omega W^{\mu\nu} K_{\mu\nu}(\mathbf{q}, \omega)\end{aligned}$$

Inclusive observables from lattice data

For the inclusive case the observable we compute is $O \equiv \bar{X}$

lattice data for inclusive

$$\bar{X} = \int_{\omega_0}^{\infty} d\omega \boxed{W^{\mu\nu} K_{\mu\nu}(\mathbf{q}, \omega)},$$

Kernel

$$C_{\mu\nu}(t) = \int_{\omega_0}^{\infty} d\omega \boxed{W_{\mu\nu}(\mathbf{q}, \omega)} e^{-\omega t}$$

$\sum_{X_c} \langle B_s | J_\mu^\dagger | X_c \rangle \langle X_c | J_\nu | B_s \rangle \delta(\omega - E_{X_c})$

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Kernel

$$\sum_{X_c} \langle B_s | J_\mu^\dagger | X_c \rangle \langle X_c | J_\nu | B_s \rangle \delta(\omega - E_{X_c})$$

Approximating the Kernel in polynomials in $e^{-a\omega}$ ($a = 1$ in lattice units)

$$K_{\mu\nu} \simeq c_{\mu\nu,0} + c_{\mu\nu,1} e^{-\omega} + \cdots + c_{\mu\nu,N} e^{-\omega N},$$

$$\Rightarrow \bar{X} \simeq c_{\mu\nu,0} \underbrace{\int_{\omega_0}^{\infty} d\omega W^{\mu\nu}}_{C^{\mu\nu}(0)} + c_{\mu\nu,1} \underbrace{\int_{\omega_0}^{\infty} d\omega W^{\mu\nu} e^{-\omega}}_{C^{\mu\nu}(1)} + \cdots + c_{\mu\nu,N} \underbrace{\int_{\omega_0}^{\infty} d\omega W^{\mu\nu} e^{-\omega N}}_{C^{\mu\nu}(N)}$$

Polynomial approximation strategies

$$K(\omega) : [\omega_0, \infty) \rightarrow \mathbb{R}, \quad K(\omega) \simeq \sum_j^N c_j \tilde{P}_j(\omega).$$

\downarrow \downarrow

$\omega_0 \in [0, \omega_{\min})$ family of (shifted) polynomials in $e^{-\omega}$, $\omega \in [\omega_0, \infty)$

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Chebyshev approach

Standard Chebyshev polynomials:

$$T_j(\omega) : [-1, 1] \rightarrow [-1, 1],$$

generic shifted Chebyshev

$$K(\omega) \simeq \sum_{j=0}^N \tilde{c}_j \tilde{T}_j(\omega),$$

$$\tilde{c}_j = \langle K, \tilde{T}_j \rangle.$$

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Backus-Gilbert approach

We minimise the functional (L_2 -norm)

$$A[g] = \int_{\omega_0}^{\infty} d\omega \Omega(\omega) \left[K(\omega) - \sum_{j=0}^N g_j \tilde{P}_j(\omega) \right]^2,$$

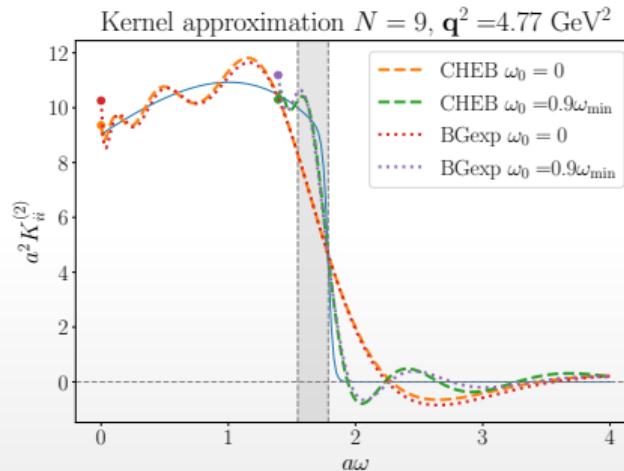
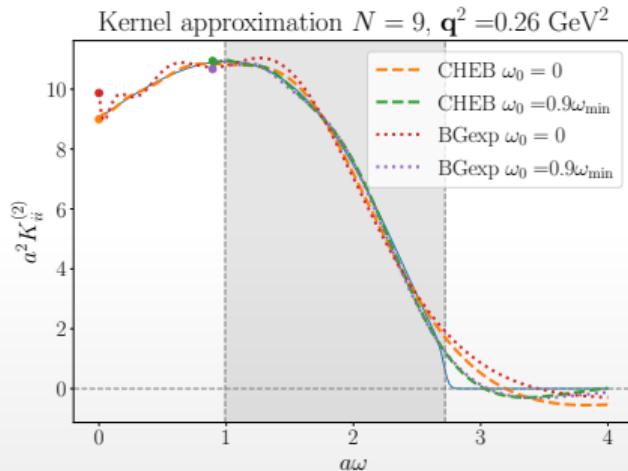
weight factor to help numerical stability

$$g_j \leftrightarrow \frac{\partial A}{\partial g_j} = 0.$$

Kernel: polynomial approximation

$$K_{\mu\nu}(\mathbf{q}, \omega) = e^{2\omega t_0} k_{\mu\nu}(\mathbf{q}, \omega) \theta_\sigma(\omega_{\max} - \omega)$$

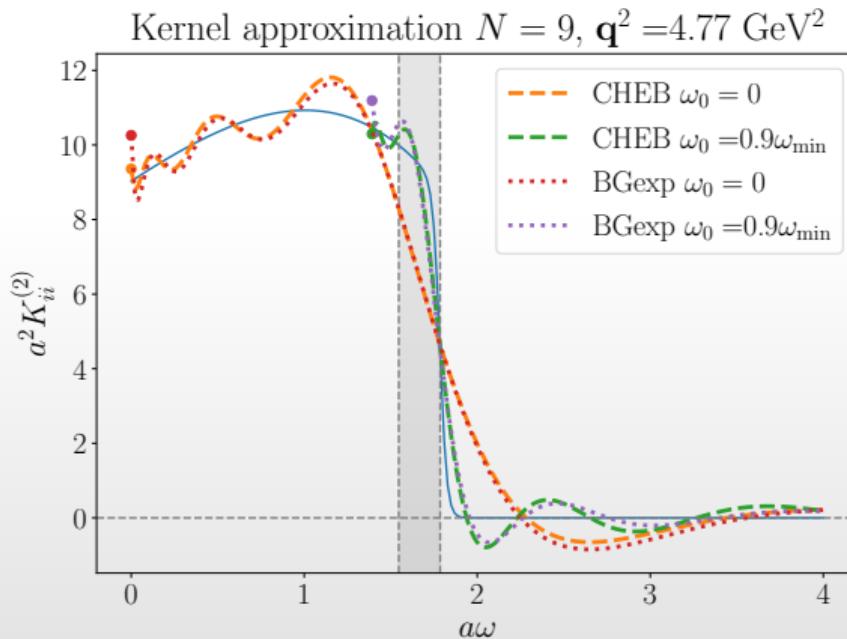
smooth step-function (sigmoid):
cut the unphysical states above ω_{\max}



The kernel plotted here corresponds to the main contribution to the total decay rate from the $A_i A_i$ channel. The smearing parameter of the sigmoid $\theta_\sigma(x) = 1/(1 + e^{-x/\sigma})$ is kept fixed at $\sigma = 0.02$.

Kernel: polynomial approximation

$$K_{\mu\nu}(\mathbf{q}, \omega) = e^{2\omega t_0} k_{\mu\nu}(\mathbf{q}, \omega) \theta_\sigma(\omega_{\max} - \omega) \rightarrow \text{smooth step-function (sigmoid): cut the unphysical states above } \omega_{\max}$$



Analysis strategy

Problem: data too noisy, statistical errors add up with a “naive” approach!

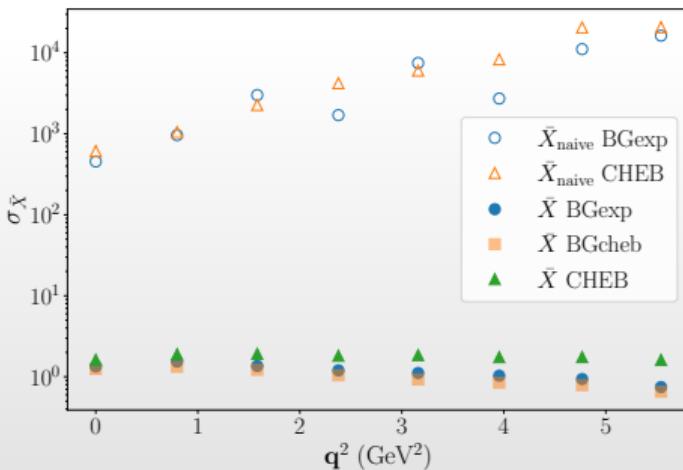
$$\bar{X}_{\text{naive}} \simeq c_{\mu\nu,0} \int_{\omega_0}^{\infty} d\omega \, \mathbf{W}^{\mu\nu} \tilde{P}_0(\omega) + \cdots + c_{\mu\nu,N} \int_{\omega_0}^{\infty} d\omega \, \mathbf{W}^{\mu\nu} \tilde{P}_N(\omega) = \sum_{k=0}^N \bar{c}_{\mu\nu,k} C_{\mu\nu}(k).$$

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We need to add a correction term $\bar{X} = \bar{X}_{\text{naive}} + \delta\bar{X}$ (essentially a noisy zero) that takes care of the variance reduction.

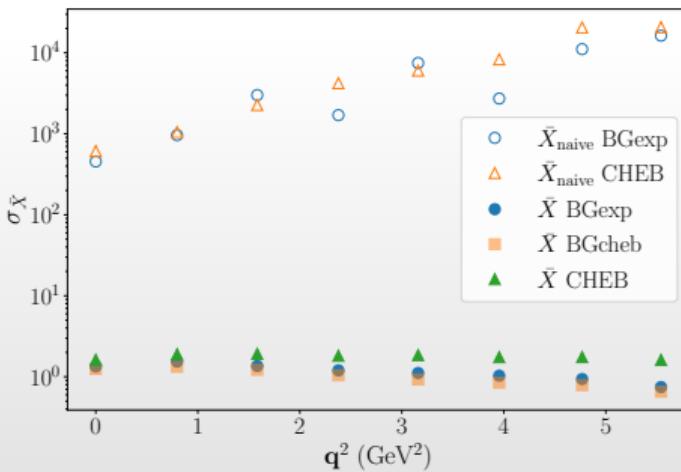


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$$\left\{ \begin{array}{l} \delta\bar{X}^{\text{CHEB}} = \sum_{k=0}^N c_{\mu\nu,k} \boxed{\delta C_{\mu\nu}(k)} \\ \delta\bar{X}^{\text{BG}} = \sum_{k=0}^N \boxed{\delta g_{\mu\nu,k}} C_{\mu\nu}(k) \end{array} \right.$$

acts on the data
(by imposing
rigourous bounds)

acts on the coefficients
(by including info
from the data)

Analysis strategy: Chebyshev

[Bailas et al. (2020)⁶]

For Chebyshev polynomials we have $\tilde{P}_k(\omega) \equiv \tilde{T}_k(\omega)$

$$\bar{X}_{\text{naive}} \simeq c_{\mu\nu,0} \int_{\omega_0}^{\infty} d\omega \, W^{\mu\nu} \tilde{T}_0(\omega) + \cdots + c_{\mu\nu,N} \int_{\omega_0}^{\infty} d\omega \, W^{\mu\nu} \tilde{T}_N(\omega), \quad \tilde{T}_k(\omega) = \sum_{j=0}^k \tilde{t}_j^{(k)} e^{-j\omega}$$

Chebyshev polynomials are **bounded**, so we normalize

$$\int_{\omega_0}^{\infty} d\omega \, W^{\mu\nu} \tilde{T}_k(\omega) \quad \rightarrow \quad -1 \leq \boxed{\frac{\int_{\omega_0}^{\infty} d\omega \, W^{\mu\nu} \tilde{T}_k(\omega)}{\int_{\omega_0}^{\infty} d\omega \, W^{\mu\nu} \tilde{T}_0(\omega)}} \leq 1.$$

$$\text{Chebyshev matrix element } \langle \tilde{T}_k \rangle_{\mu\nu} = \sum_{j=0}^k \tilde{t}_j^{(k)} C_{\mu\nu}(j) / C_{\mu\nu}(0)$$

We extract $\langle \tilde{T}_k \rangle_{\mu\nu}$ from a **Bayesian fit with constraints** to the data such that

$$C_{\mu\nu}^{\text{fit}}(k) = C_{\mu\nu}(0) \sum_{j=0}^k \tilde{a}_j^{(k)} \langle \tilde{T}_j \rangle_{\mu\nu} \quad \Rightarrow \quad \delta C_{\mu\nu}(k) = C_{\mu\nu}^{\text{fit}}(k) - C_{\mu\nu}(k).$$

Analysis strategy - Backus-Gilbert

[Hansen et al. (2019)⁷, Bulava et al. (2021)⁸, Alexandrou et al. (2023)⁹]

$$A_{\mu\nu}[g] = \int_{\omega_0}^{\infty} d\omega \Omega(\omega) \left[K_{\mu\nu}(\omega, \mathbf{q}) - \sum_{k=1}^N g_{\mu\nu,k} \tilde{P}_k(\omega) \right]^2, \quad \tilde{P}_k(\omega) = \sum_{j=0}^k \tilde{p}_j^{(k)} e^{-j\omega},$$

$$B_{\mu\nu}[g] = \sigma_X^2 = \sum_{i,j=1}^N g_{\mu\nu,i} \text{Cov}[\bar{C}_{\mu\nu}^P(i), \bar{C}_{\mu\nu}^P(j)] g_{\mu\nu,j}, \quad \bar{C}_{\mu\nu}^P(k) = \sum_{j=0}^k \tilde{p}_j^{(k)} \bar{C}_{\mu\nu}(j).$$

→ systematic error
 ↓ statistical error

We minimise the functional

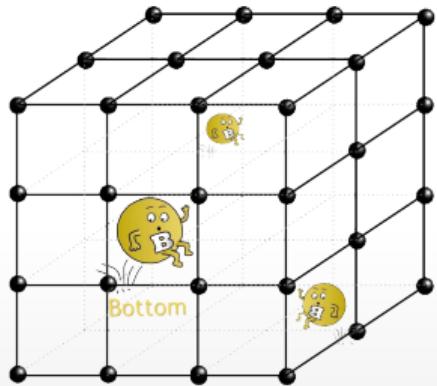
$$F_{\mu\nu,\theta}[g] = A_{\mu\nu}[g] + \theta^2 B_{\mu\nu}[g], \quad \frac{\partial F_{\mu\nu,\theta}}{\partial g_{\mu\nu,k}} = 0.$$

and choose the value θ^{*2} that achieve optimal balance between statistical and systematical error, i.e. $A_{\mu\nu}[g^*] = B_{\mu\nu}[g^*]$

$$\delta g_{\mu\nu,j} = g_{\mu\nu,j} \Big|_{\theta^2 \neq 0} - g_{\mu\nu,j} \Big|_{\theta^2 = 0}.$$

Inclusive decays on the lattice: setup

Simulations carried out on the DiRAC Extreme Scaling service at the University of Edinburgh using the **Grid**[Boyle et al.¹⁰] and **Hadrons**[Portelli et al.¹¹] software packages



Pilot study with RBC/UKQCD 2+1 flavour ensembles [Allton et al. (2008)¹²]:

- ▶ lattice size: $24^3 \times 64$;
- ▶ lattice spacing $a \simeq 0.11 \text{ fm}$;
- ▶ $M_\pi \simeq 330 \text{ MeV}$.



Limited statistics/qualitative results!

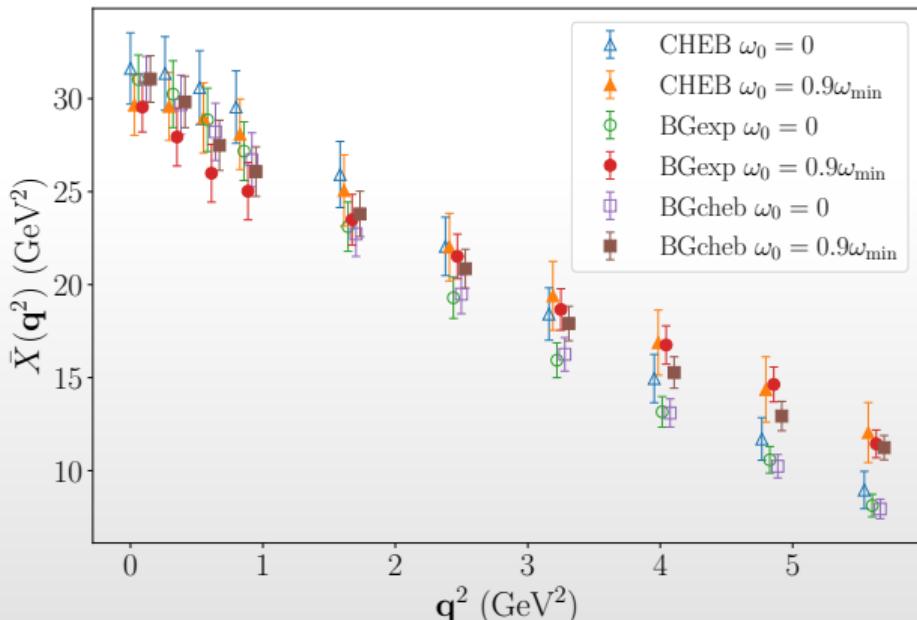
Simulation:

- ▶ b quark simulated at its **physical** mass (RHQ action [El-Khadra et al. (1997)¹³, Christ et al. (2007)¹⁴, Lin and Christ (2007)¹⁵]);
- ▶ s, c quarks simulated at **near-to-physical** mass (DWF action [Shamir (1993)¹⁶, Furman and Shamir (1994)¹⁷]).

Results and comparison: decay rate

[Barone et al. (2023)²]

Comparison of Chebyshev (**CHEB**) and Backus-Gilbert (**BG**) approaches with different values of starting point of the approximation ω_0 and $\begin{cases} \text{exponential (BGexp)} & \tilde{P}_k(\omega) = e^{-k\omega} \\ \text{Chebyshev (BGcheb)} & \tilde{P}_k(\omega) = \tilde{T}_k(\omega) \end{cases}$ basis.

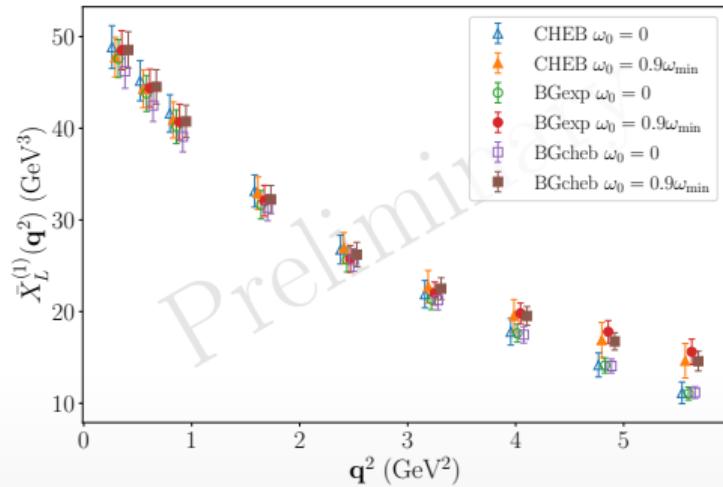
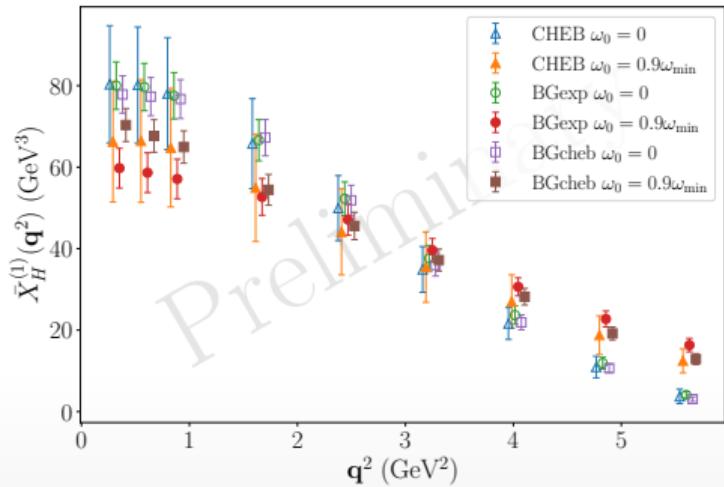


The smearing parameter of the sigmoid in the kernels is fixed at $\sigma = 0.02$.

Key points:

- ▶ Chebyshev and Backus-Gilbert approaches are fully compatible;
- ▶ pilot study:
 - ▶ values are in the right ballpark;
 - ▶ low statistics, roughly 5% statistical error.

Results and comparison: moments



Compatible results between the two approaches also for

- ▶ hadronic mass moments $\bar{X}_H^{(1)}$;
- ▶ lepton moments $\bar{X}_L^{(1)}$.

⇒ prospects for computing more observables (e.g. differential and central moments) and compare with continuum approaches.

Summary and outlook

Summary:

- ▶ full and flexible setup for studying inclusive semileptonic decays on the lattice;
- ▶ double approach for the analysis: Chebyshev and Backus-Gilbert approaches compatible within error;
- ▶ prospects for phenomenology studies and comparison with continuum approaches.

Coming next:

- ▶ continue to work towards understanding the systematics involved in solving the inverse problem;
- ▶ dedicated simulations to address the systematics for polynomial approximation, finite volume effects (\rightarrow see Ryan Kellermann's talk, Mon 17:20), continuum limit,...;
- ▶ prepare for a full study B_s/B .

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THANK YOU!

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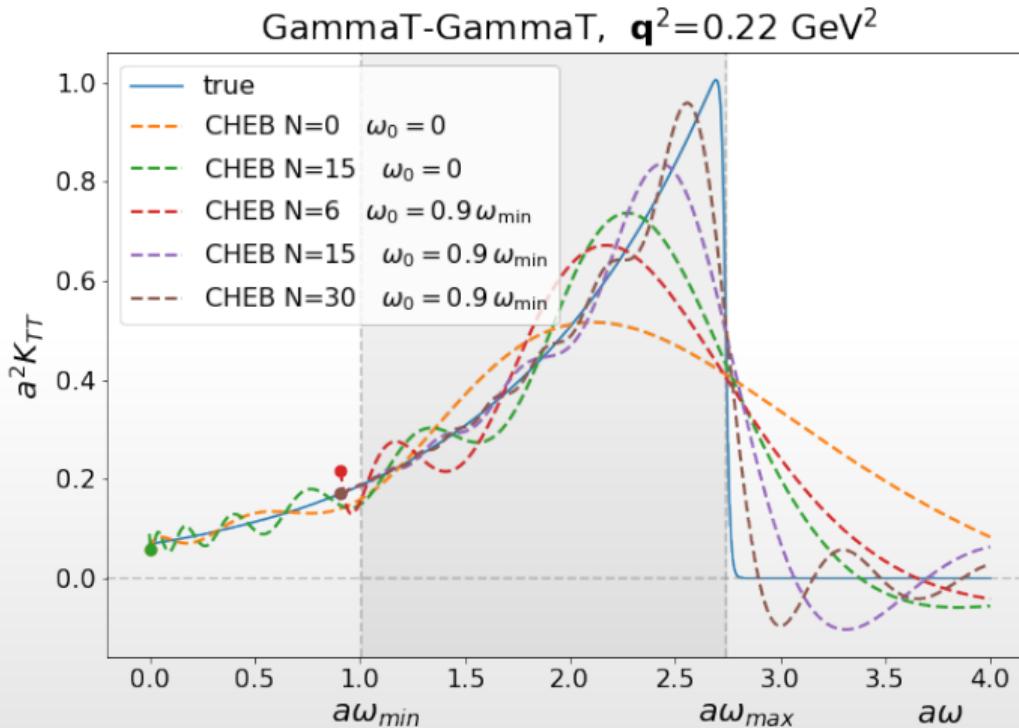
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References III

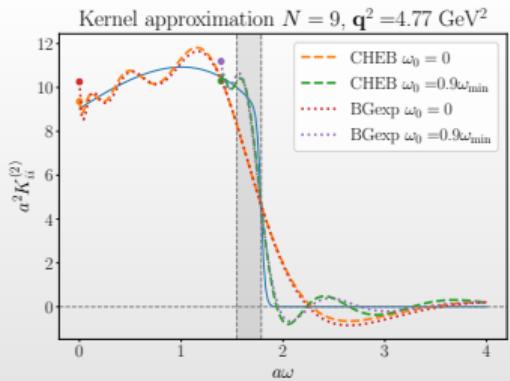
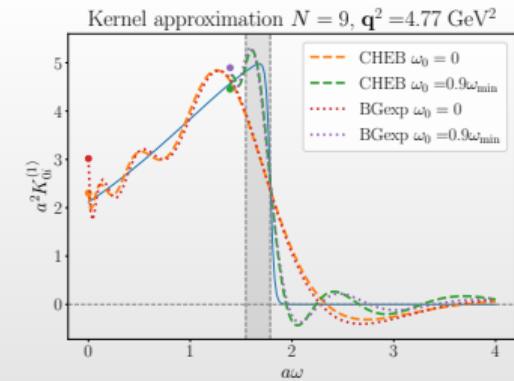
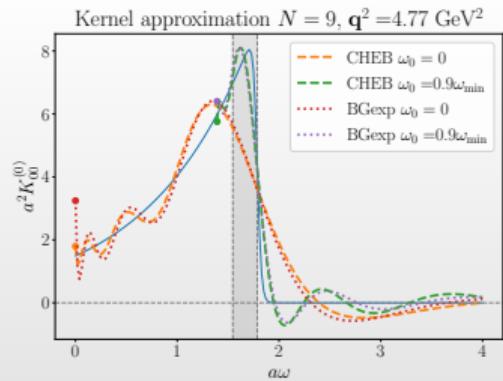
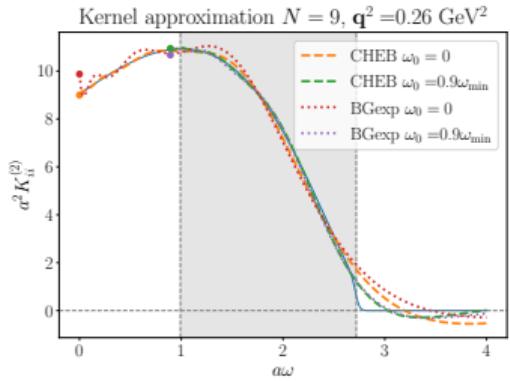
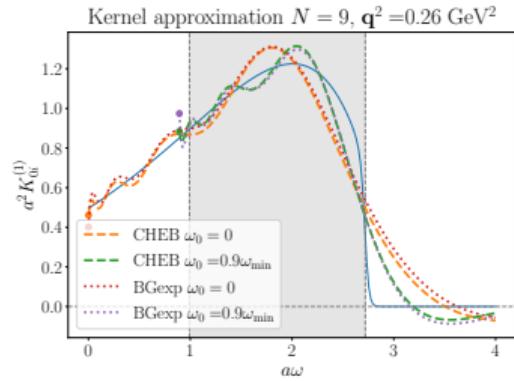
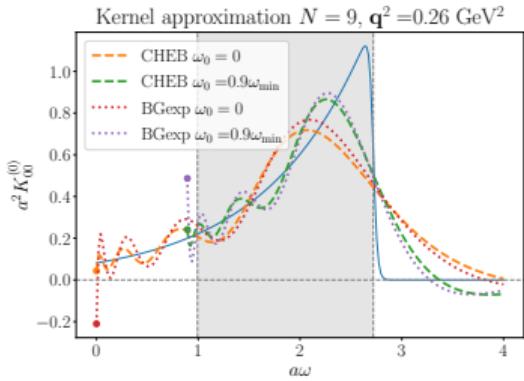
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BACKUP

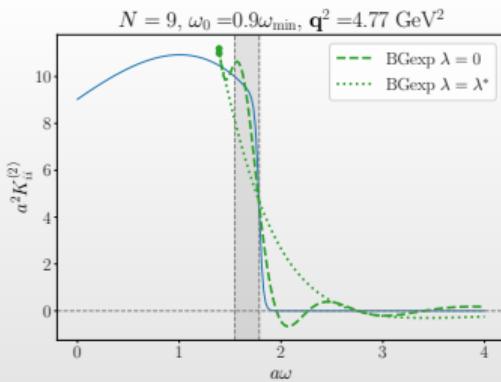
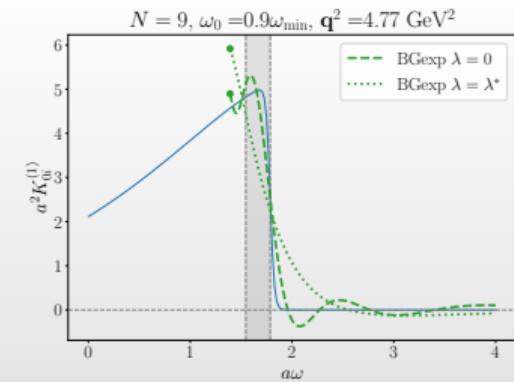
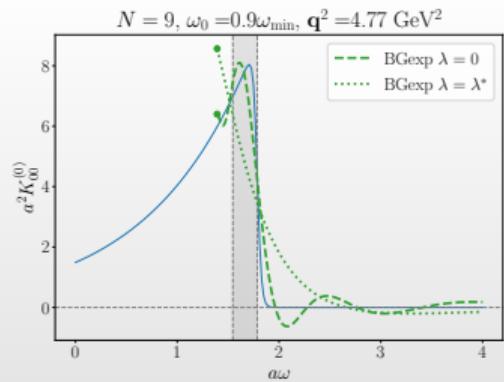
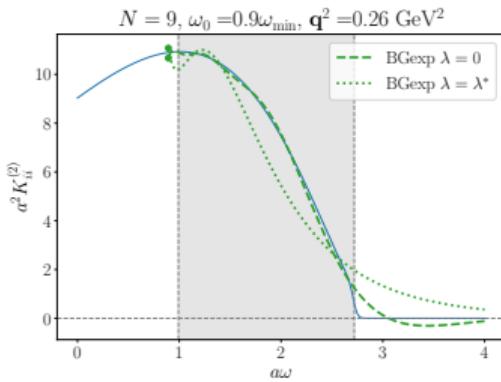
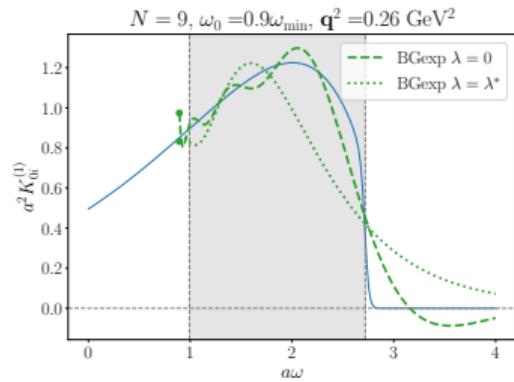
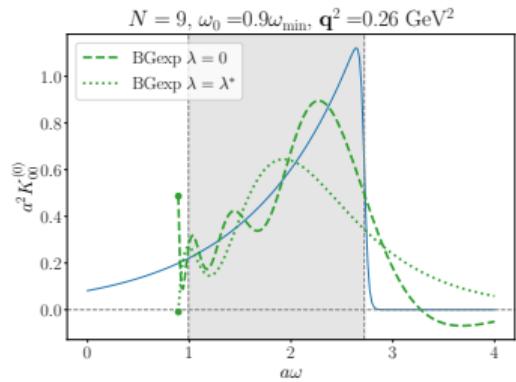
Chebyshev polynomial approximation: more



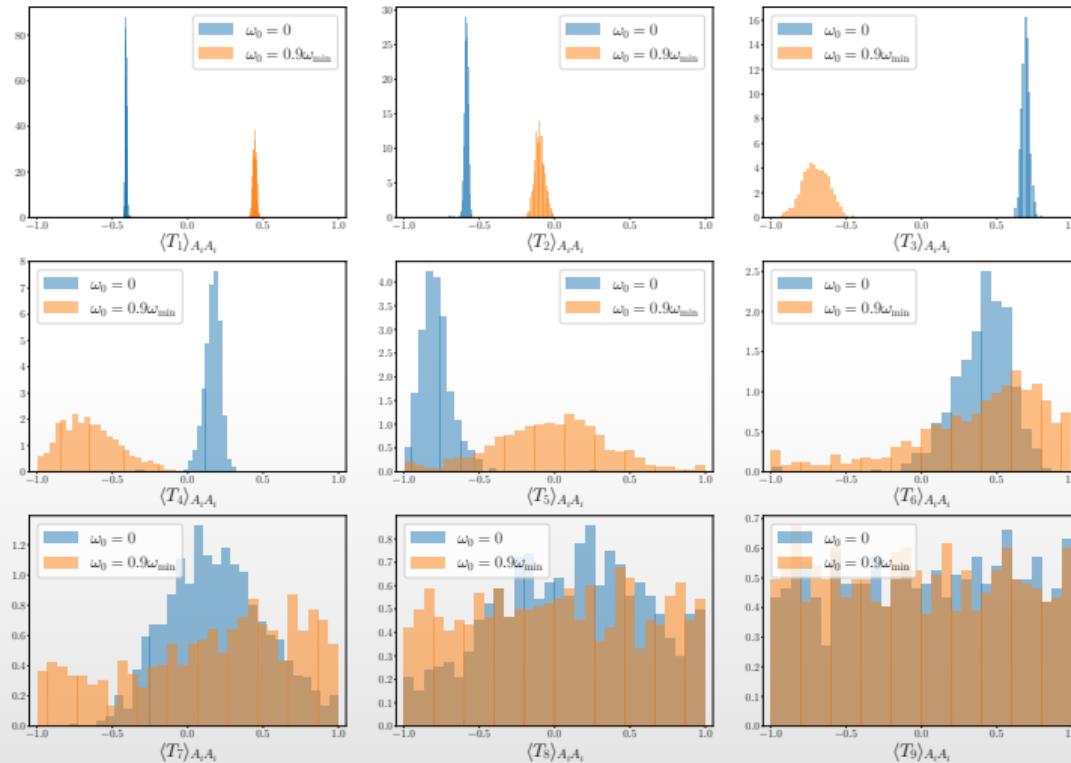
Kernels



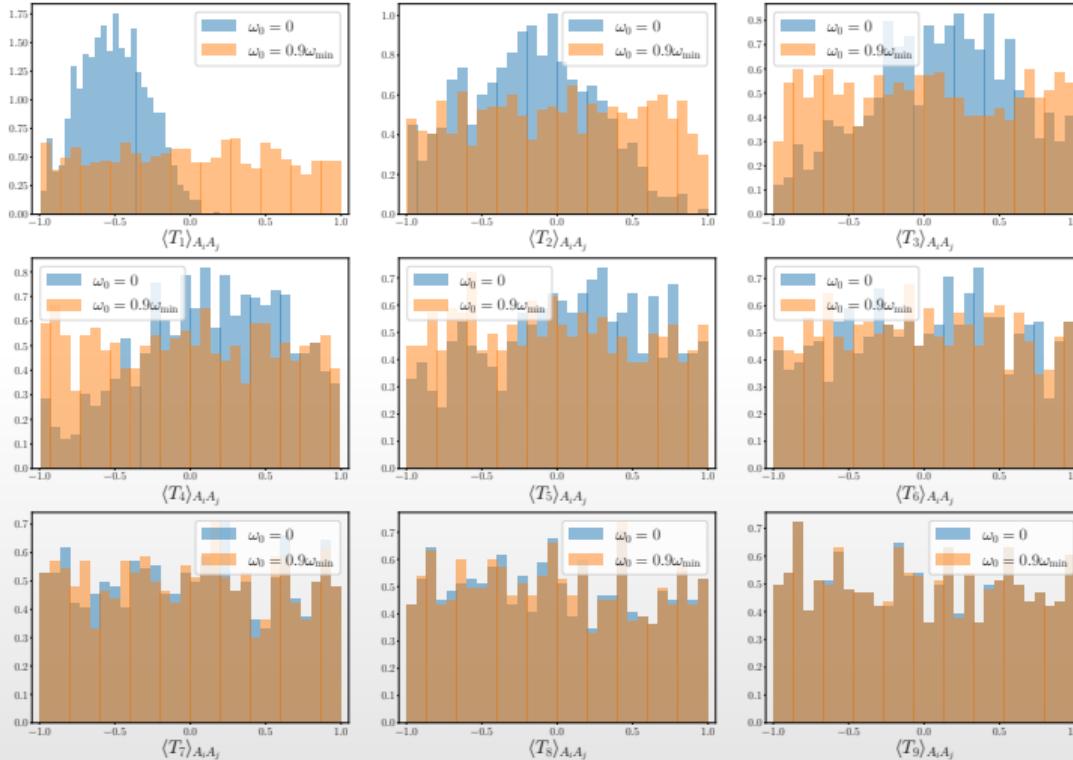
Kernels Backus-Gilbert



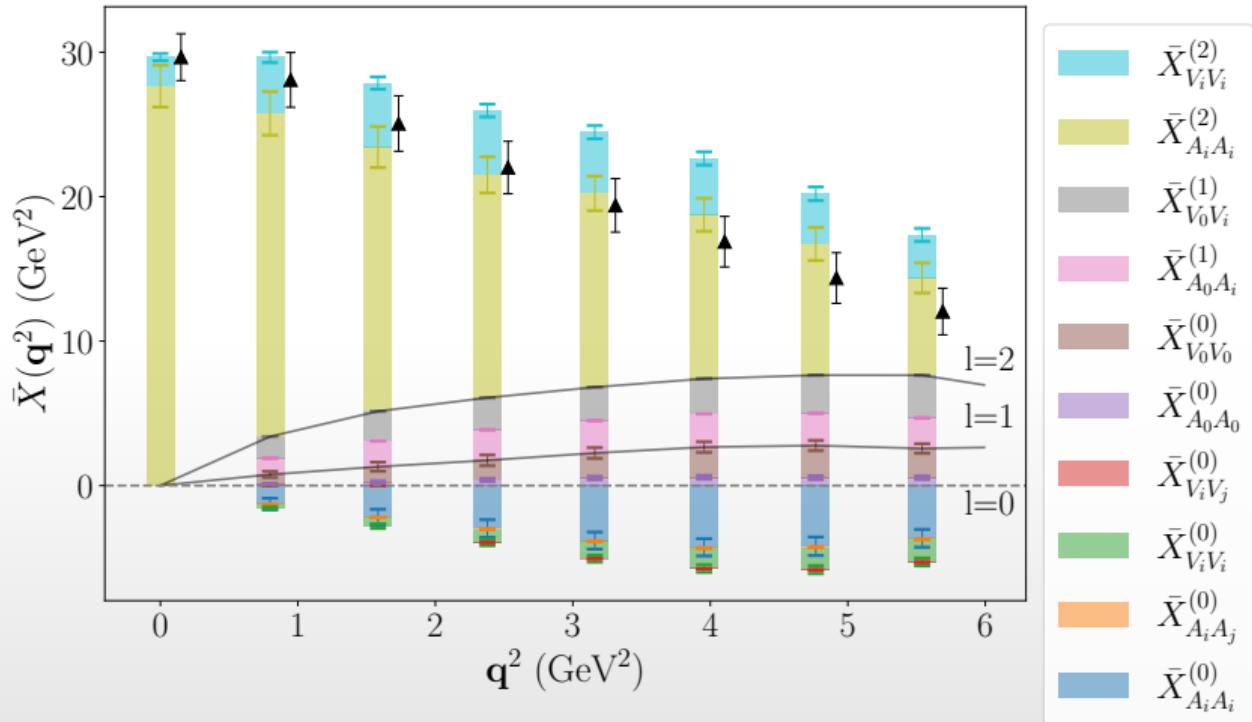
Chebyshev data reconstruction - $A_i A_i$ distribution



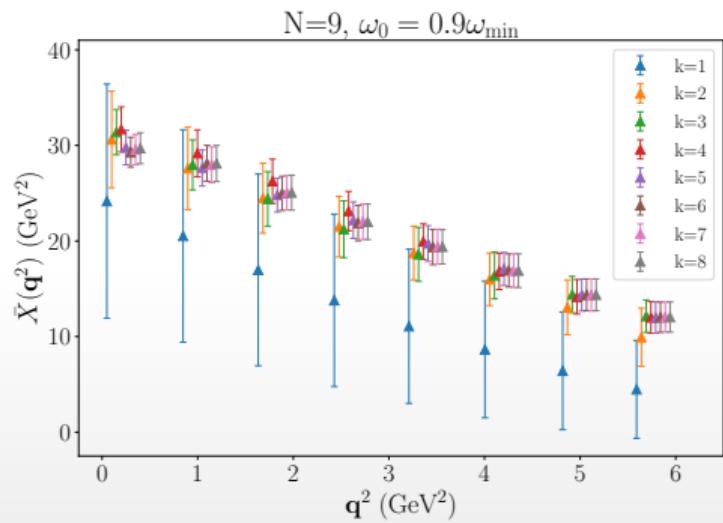
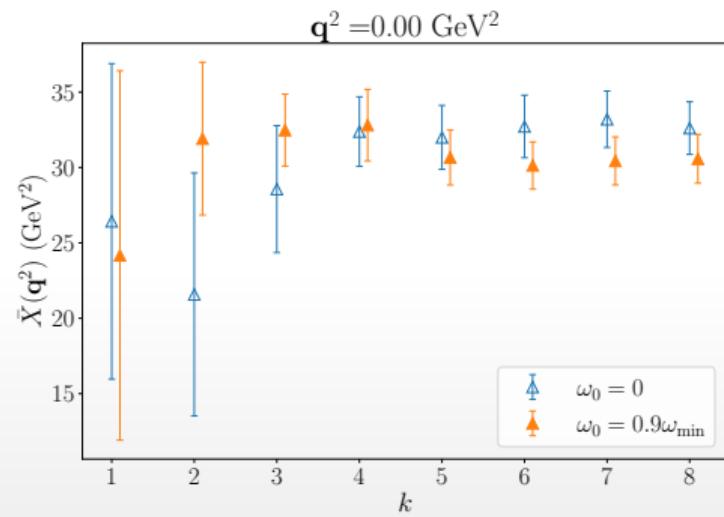
Chebyshev data reconstruction - $A_i A_j$ distribution



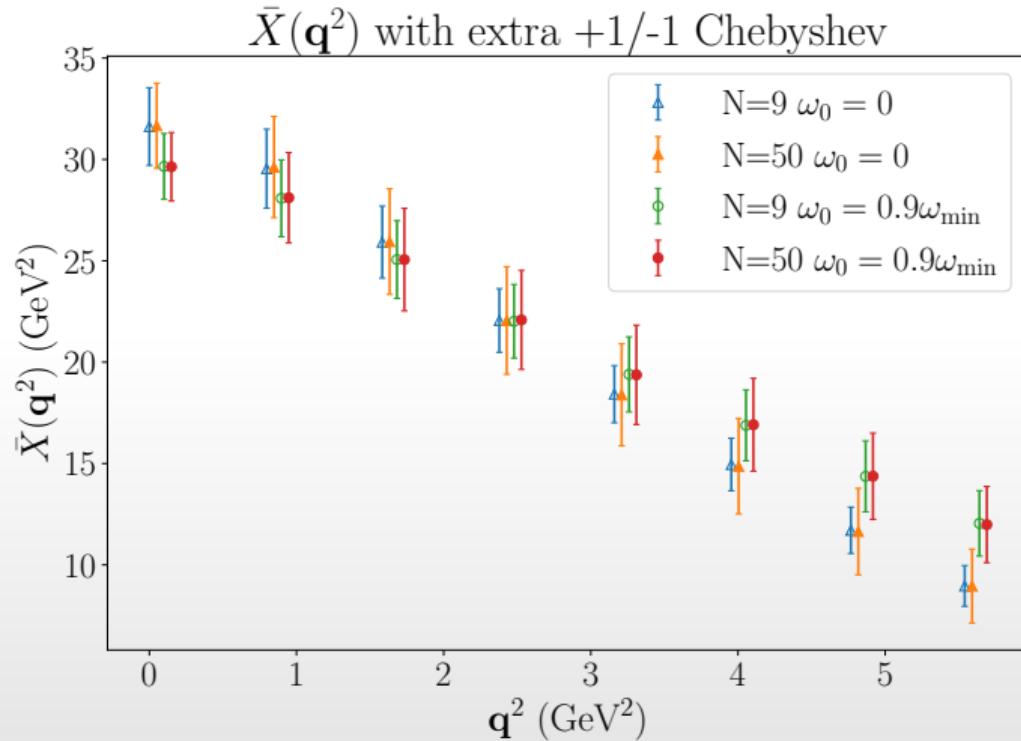
\bar{X} contributions



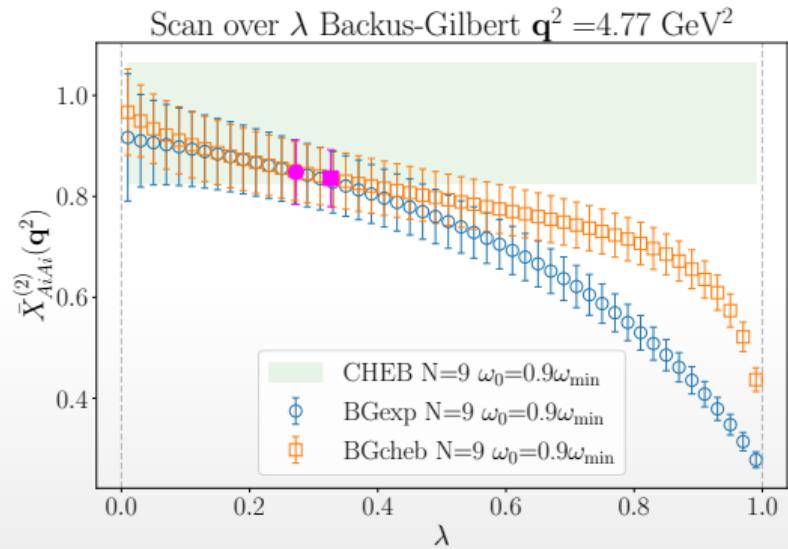
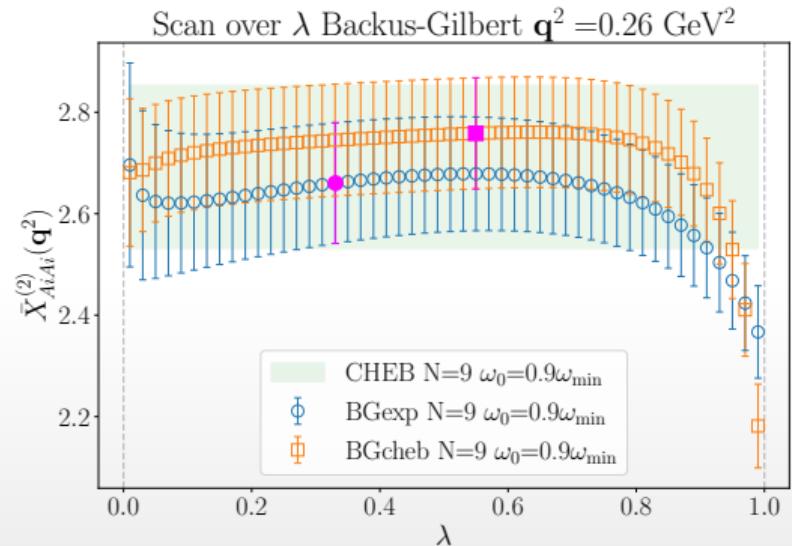
Systematics from Chebyshev



Systematics from Chebyshev



Scan over λ (Backus-Gilbert)



Ground state limit

As a useful cross-check of the inclusive analysis, we can consider the limit where only the ground state dominates, i.e.

$$W_{\mu\nu} \rightarrow \delta(\omega - E_{D_s}) \frac{1}{4M_{B_s}E_{D_s}} \langle B_s | J_\mu^\dagger | D_s^{(*)} \rangle \langle D_s^{(*)} | J_\nu | B_s \rangle$$

If we decompose

$$\bar{X} = \bar{X}^{\parallel} + \bar{X}^{\perp}$$

and restrict to the **vector currents (VV)** the matrix element can be decomposed as

$$\langle D_s | V_\mu | B_s \rangle = f_+(q^2)(p_{B_s} + p_{D_s})_\mu + f_-(q^2)(p_{B_s} - p_{D_s})_\mu$$

and we can show that

$$\bar{X}_{VV}^{\parallel} \rightarrow \frac{M_{B_s}}{E_{D_s}} \mathbf{q}^2 |f_+(q^2)|^2$$

Exclusive decay limit

The matrix element and form factors can be extracted from **3pt-correlation functions**. From that we can generate mock data for the 4pt functions (where only the ground state contributes)

$$C_{\mu\nu}^G = \frac{1}{4M_{B_s}E_{D_s}} \langle B_s | V_\mu^\dagger | D_s \rangle \langle D_s | V_\nu | B_s \rangle e^{-E_{D_s}t}$$

and run the analysis!

