

# A staggered $U(1)$ gauge theory inspired by self-adjoint extensions

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[2309.xxxxx](#)

# Kogut-Susskind Hamiltonian

[Kogut & Susskind PRD11 (1975) 395]

see also [D. Grabowska Tue 11:00]

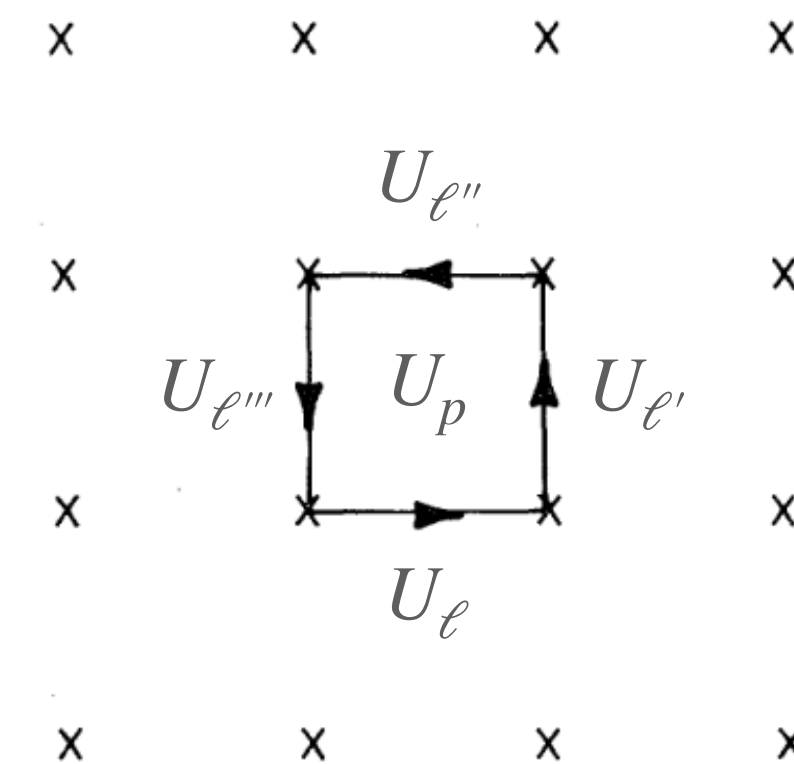
Non-perturbative formulation of gauge theory  
via spatial lattice and Hamiltonian picture

$$H = \frac{e^2}{2} \sum_{\text{links } \ell} E_\ell^2 + \frac{1}{2e^2} \sum_{\text{plaqs } p} B^2(U_p)$$

$$E = -i\partial/\partial\varphi_\ell$$

$$B^2(U_p) \stackrel{\text{e.g.}}{=} 1 - \text{Re}U_p \\ = 1 - \cos \varphi_p$$

Hilbert space: tensor product of  
wavefunctions over  $U(1)$ -valued links



$$U_p = U_\ell U_{\ell'} U_{\ell''} U_{\ell'''} \in U(1)$$



$$\varphi_p = \varphi_\ell \varphi_{\ell'} \varphi_{\ell''} \varphi_{\ell'''} \in [0, 2\pi]$$

# Trotterization

Familiar Euclidean lattice gauge theory path integral emerges by Trotterization

$$Z = \text{Tr}[e^{-\beta H}] \approx \text{Tr}[(e^{-\beta H_E/n} e^{-\beta H_B/n})^n]$$

Inserting complete sets of states  $1 = \int dU |U\rangle\langle U|$ , evaluating matrix elements

$$Z = \int d[U] e^{-S_{g,w}[U]}, \quad \text{where } S_{g,w}[U] = \frac{1}{e^2} \sum_{\text{plaqs } p} (1 - \text{Re} U_p)$$

***Isotropic* Trotterization is a useful construction.**

# Introducing a “twist angle” $\Theta$

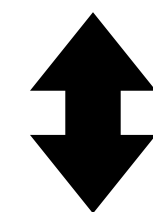
Hilbert space: tensor product of wavefunctions over  $U(1)$ -valued links  $\longrightarrow$  Ambiguous!

$U(1)$  group structure requires that **probabilities** must be periodic

$$|\psi(\varphi = 0)|^2 = |\psi(\varphi = 2\pi)|^2$$

Consistent with an entire family of Hilbert spaces

$$\psi(\varphi = 0) = e^{i\Theta} \psi(\varphi = 2\pi)$$



$$E = -i\partial/\partial\varphi + \Theta/2\pi$$

Related to the mathematical concept of “self-adjoint extensions”

[Reed & Simon “*Fourier Analysis, Self-Adjointness: Volume 2*”, MMMP (1975)]

[Gieres RPP63 (2000) 1893]

# Preserving symmetries

## 1. Gauge symmetry:

- For  $\Theta \neq 0$ , the links provide a *projective* representation of the gauge group

## 2. Charge conjugation:

- $\psi(\theta) \xrightarrow{C} \psi(2\pi - \theta)$
- Preserved for  $\Theta = 0$  (ordinary theory) and  $\Theta = \pi$  (“staggered” theory)

## 3. (Hyper-)Cubic rotations:

- Space-time rotation mixes  $E^2$  and  $B^2 \rightarrow$  choose  $B^2(U_p)$  consistent with  $E^2$
- Straightforward in path integral picture...

# Dual theory

$$\vartheta(z; \tau) = \sum_{n=-\infty}^{\infty} \exp(\pi i n^2 \tau + 2\pi i n z)$$

Jacobi theta function

The “staggered” theory faces a **severe sign problem**.

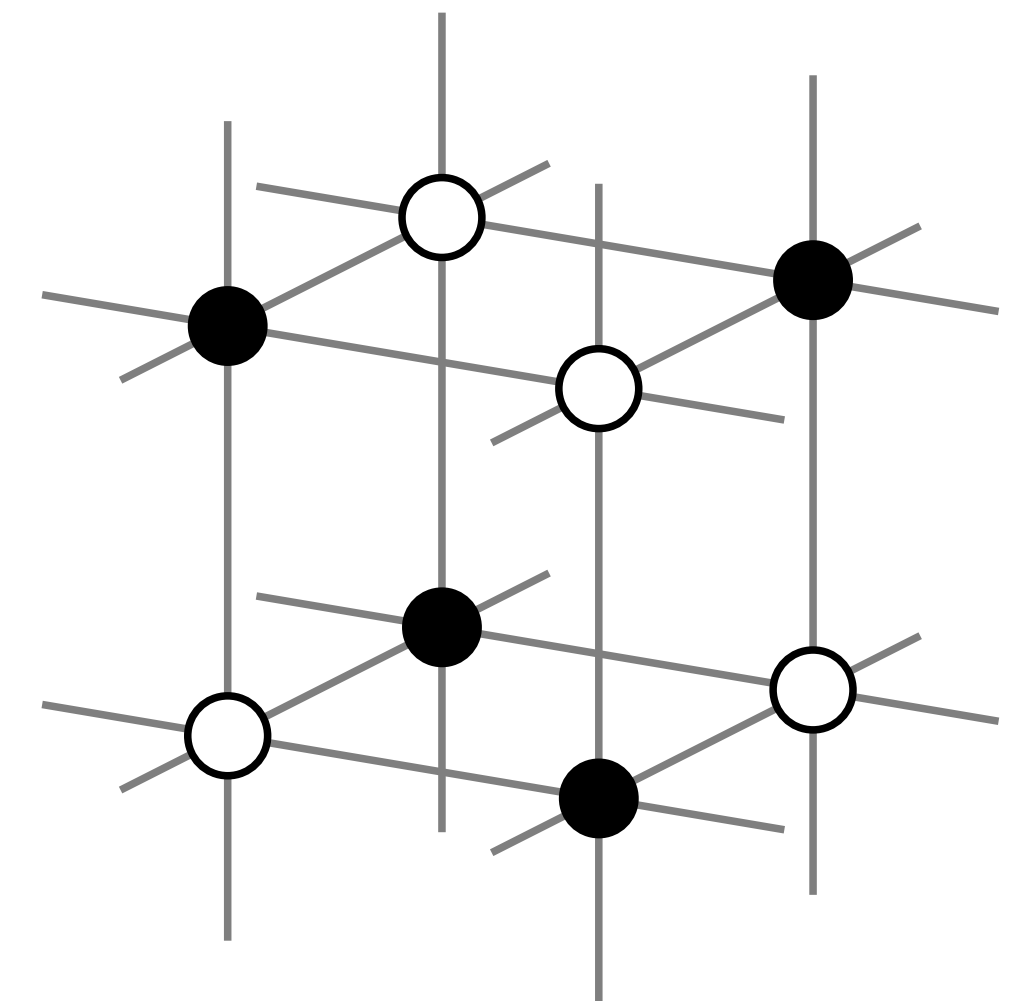
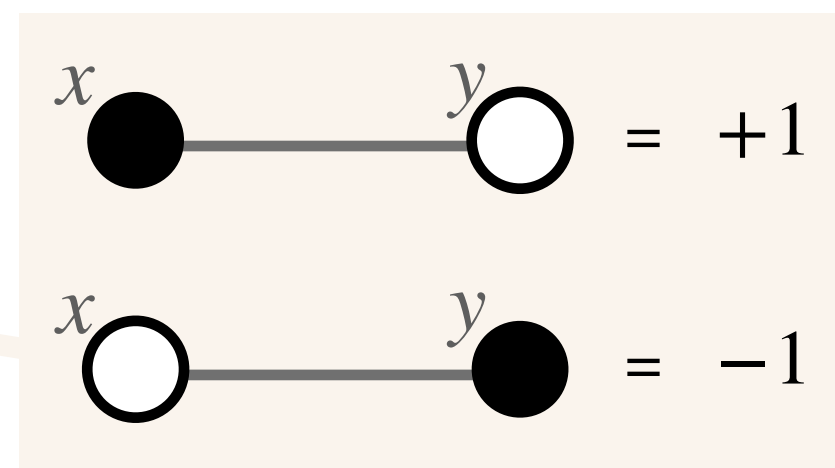
$$S[\varphi_p] = \sum_{\text{plaqs } p} \frac{1}{2e^2} \varphi_p^2 - \frac{i\Theta}{2\pi} \varphi_p - \ln \vartheta(i\varphi_p/e^2 + \Theta/2\pi; 2\pi i/e^2)$$

Circumvent this issue by dualization!

*2+1D:  $U(1)$  gauge theory  $\leftrightarrow \mathbb{Z}$  spin model*

$$Z = \int d[\varphi_p] e^{-S[\varphi_p]} = \left[ \prod_{x \in \Lambda^*} \sum_{h_x} \right] e^{-S[h_x]}$$

$$S[h_x] = \sum_{\langle xy \rangle} \frac{e^2}{2} \left( h_x - h_y \pm \frac{\Theta}{2\pi} \right)^2$$



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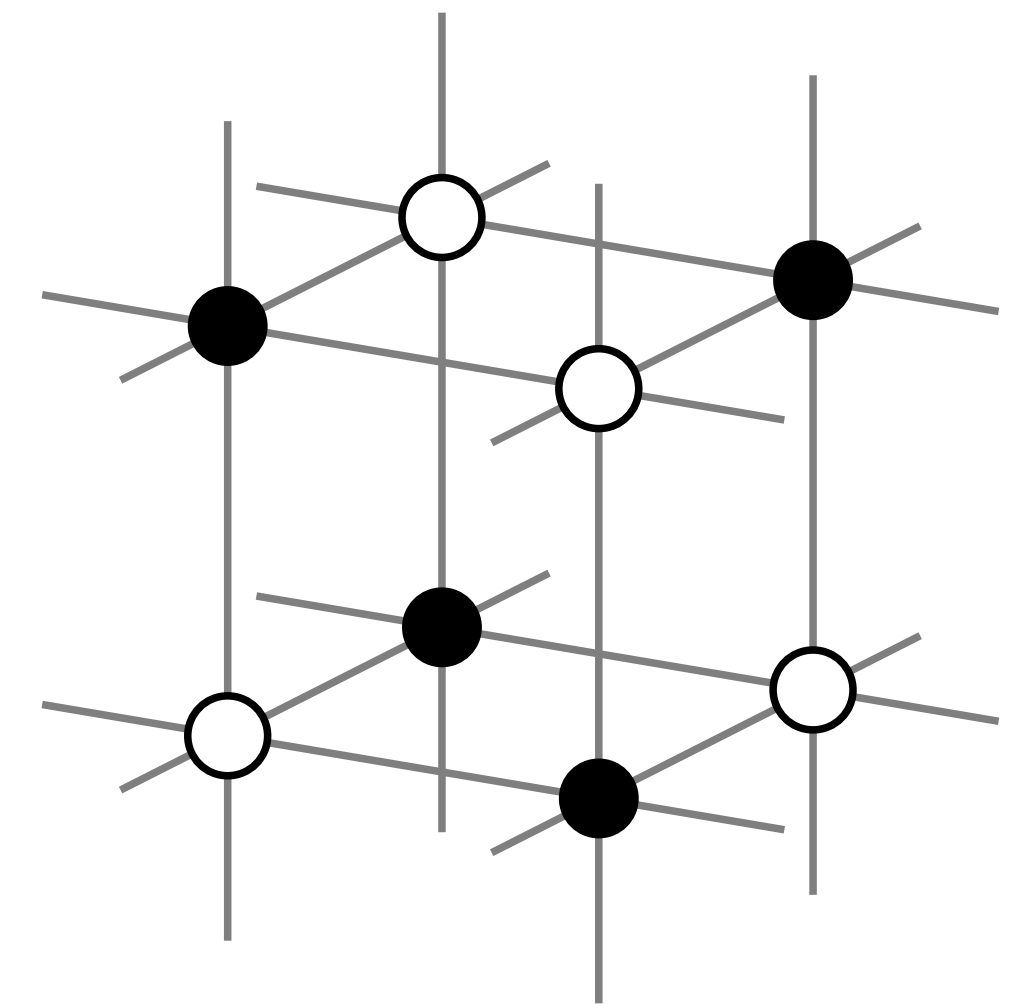
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$$S[h_x] = \sum_{\langle xy \rangle} \frac{e^2}{2} (h_x - h_y)^2$$

$$x \bullet \Rightarrow h_x \in \mathbb{Z} + \frac{\Theta}{2\pi}$$

$$x \circ \Rightarrow h_x \in \mathbb{Z}$$



# Symmetries in the dual theory

1. Charge conjugation  $C \cong \mathbb{Z}_2$

$$h_x \rightarrow -h_x$$

2. One-site translation  $S \cong \mathbb{Z}_2$

$$h_x \rightarrow h_{x+\hat{\mu}}$$

3. Offsets  $\cong \mathbb{Z}$

$$h_x \rightarrow h_x + n$$

To close the symmetry group,  
must combine with  
(a) parity + (b) charge conjugation +  
(c) quarter integer offset



# Numerical results

Efficient cluster update method

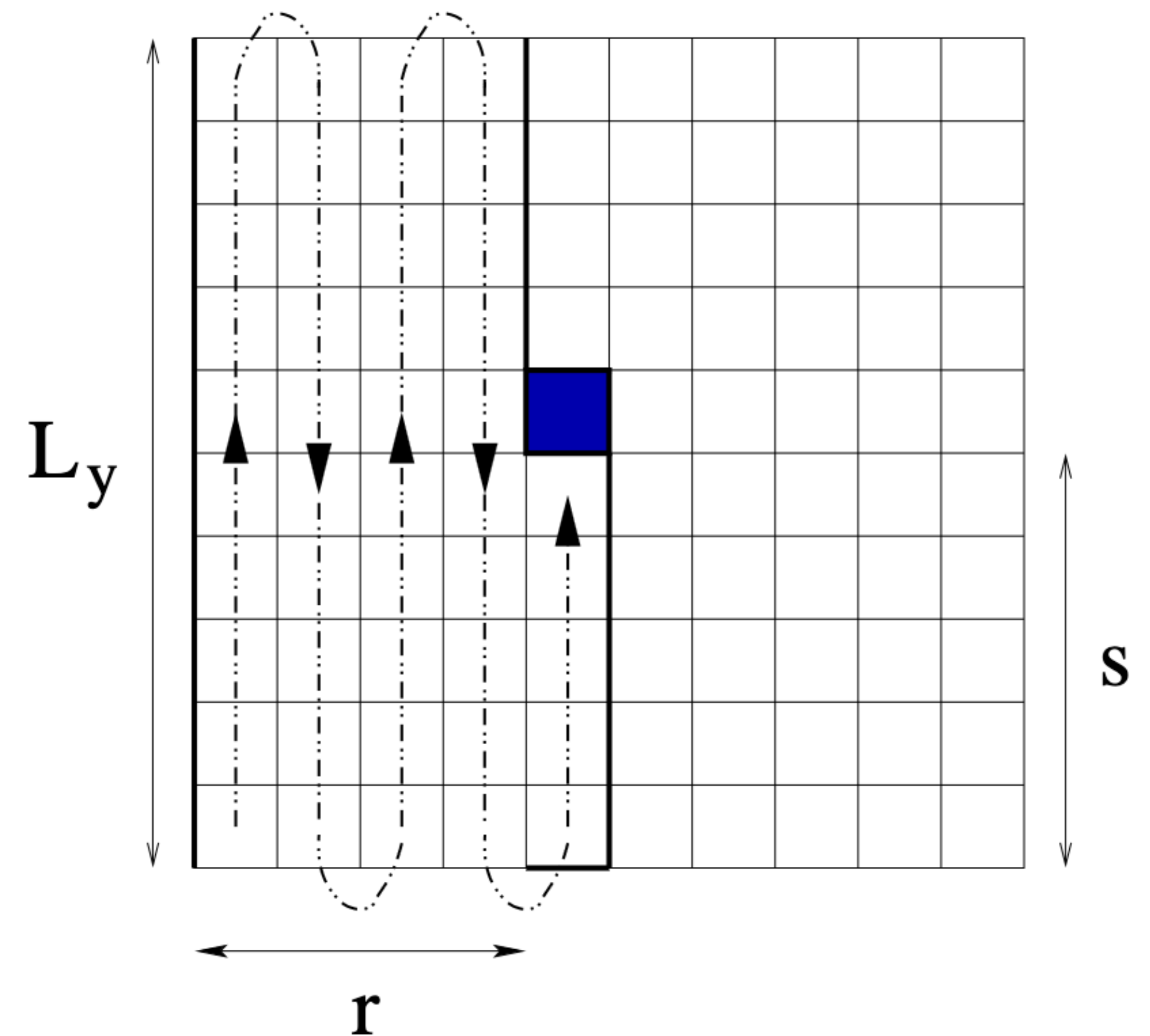
Dual theory ensembles

- $V/a^3 = 32^3$  to  $V/a^3 = 256^3$
- Bare coupling  $e^2 \in [0.25, 2.0]$

Modified Snake algorithm for string tension

[\[de Forcrand, d’Elia, Pepe PRL86 \(2001\) 1438\]](#)  
[\[de Forcrand & Noth PRD72 \(2005\) 114501\]](#)

- Heatbath resampling snake “head”
- Improved estimator for string force



# Mass gap

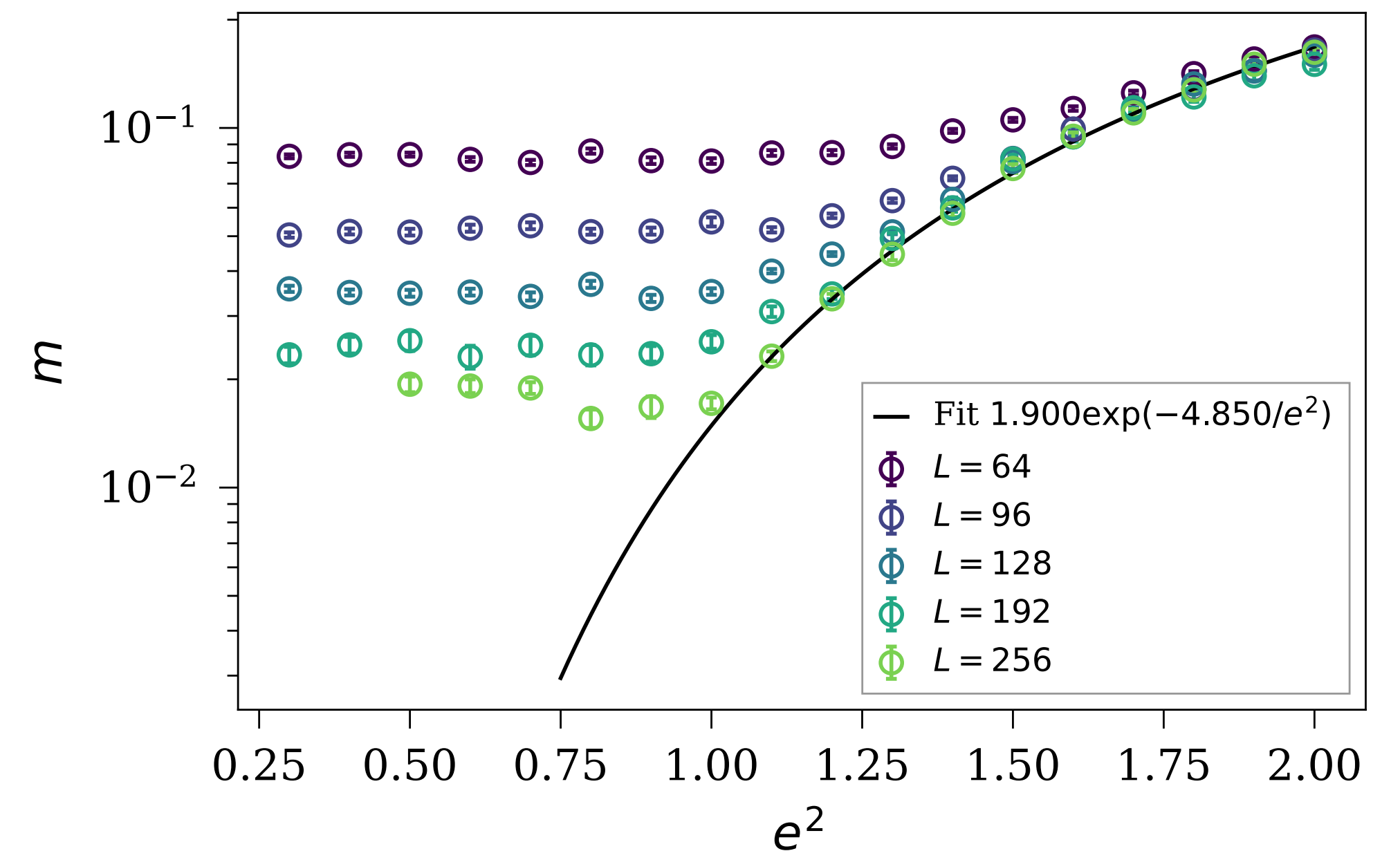
Two-point connected correlation function

$$C(x, y) = \left\langle (h_x - h_y)^2 \right\rangle - \left\langle (h_0 - h_z)^2 \Big|_{z \rightarrow \infty} \right\rangle$$

Single exponential fits sufficient to extract masses when volume is large enough.

Infinite-volume curve collapse, fit to this curve inspired by unstaggered theory analytical results [\[Göpfert & Mack CMP82 \(1982\) 545\]](#)

$$m(e^2) \sim 1.900e^{-4.850/e^2}$$



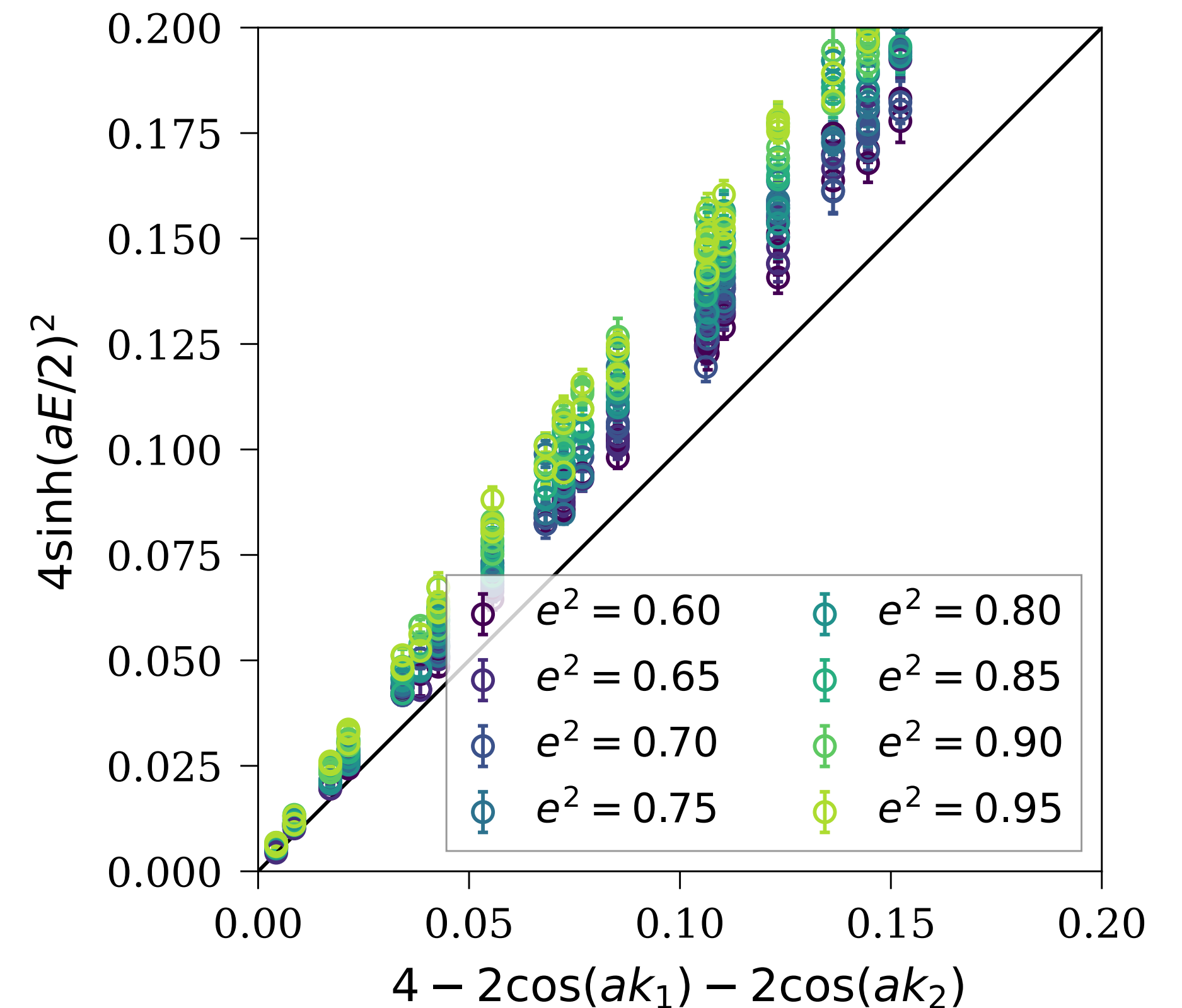
# Relativistic dispersion relation

Momentum-projected two-point function for a variety of  $\vec{k} = (k_1, k_2)$

Lattice relativistic dispersion relation

$$4 \sinh(aE/2)^2 = 4 - 2 \sum_i \cos(ak_i)$$

... emerging for small lattice spacings



# Confinement and string tension

Snake algorithm yields force

$$F(r) = V(r + 1) - V(r)$$

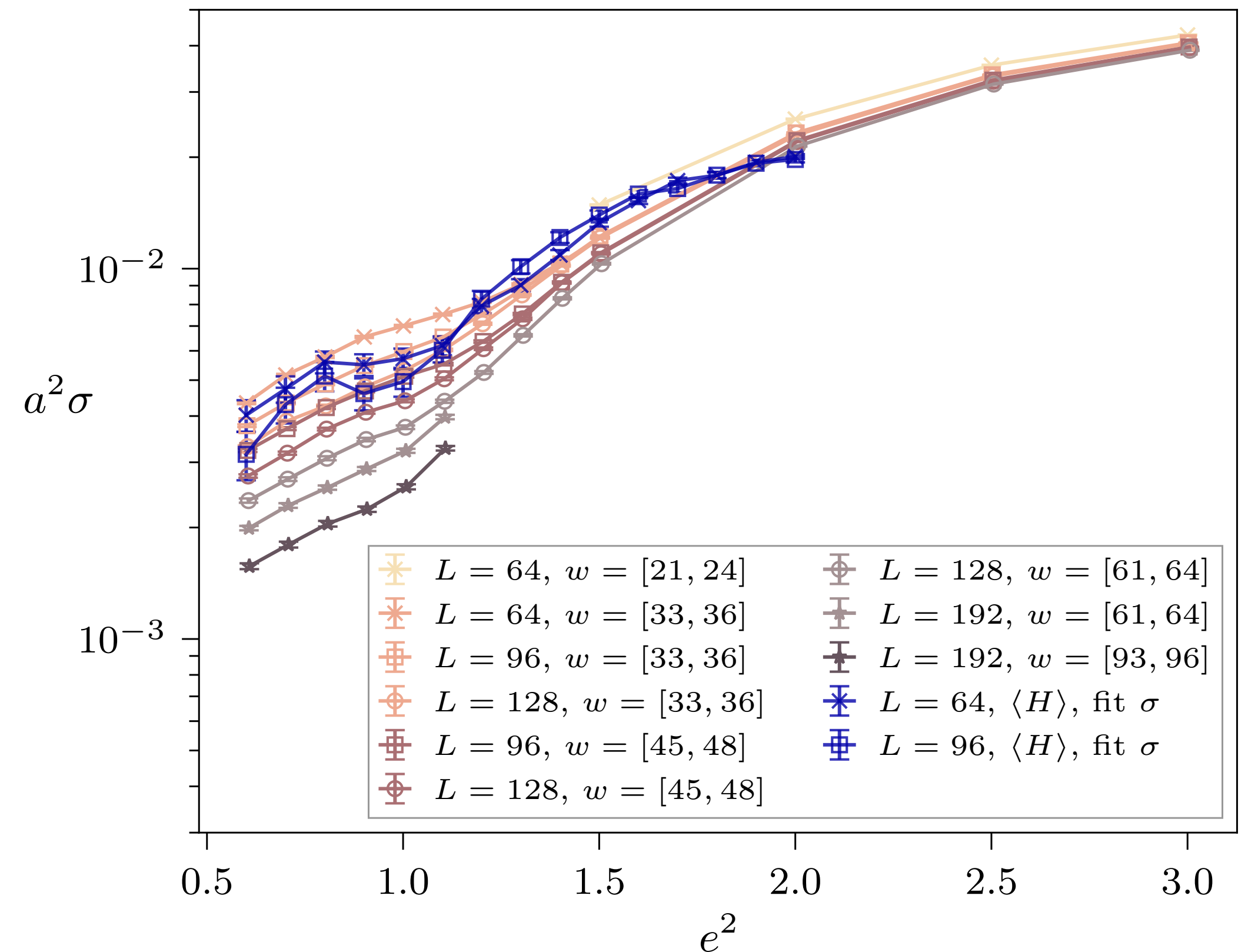
for select distances  $r$ .

String tension from  $F(r) \stackrel{r \rightarrow \infty}{=} \sigma$

- We extract effective  $\sigma$  from windows

$$r \in w = [r_i, r_f]$$

Infinite-volume curve collapse visible for large enough volume. Extrapolation / fit remains to do!



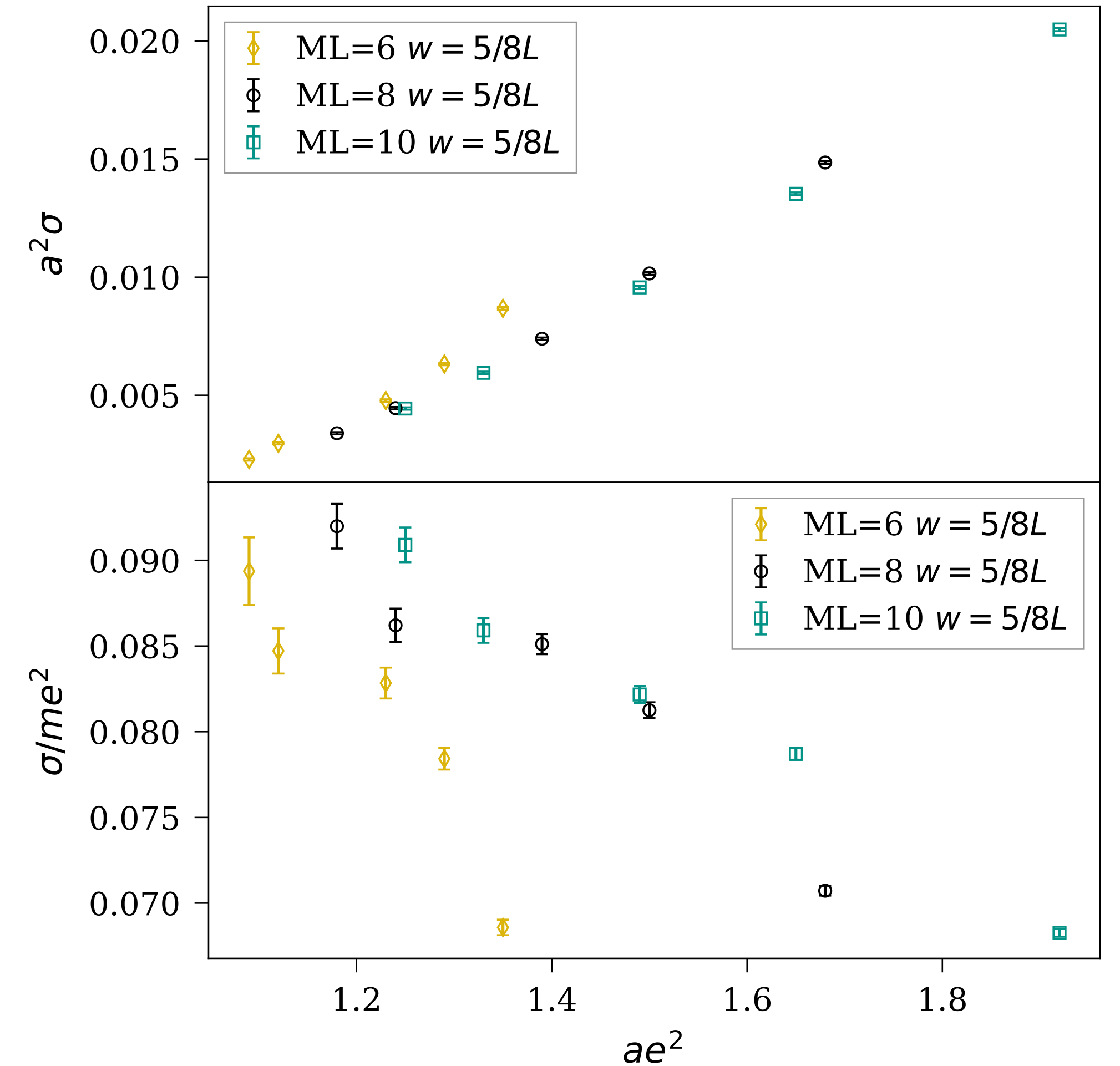
# Scaling

Unstaggered theory features peculiar scaling between  $m$  and  $\sigma$ :

$$\sigma = \text{const.} \times me^2$$

Taking this as an ansatz, measure  $\sigma/me^2$  for *fixed* physical volume  $mL$

- Expect scaling for each choice of box size
- Difference in scaling only up to FSE

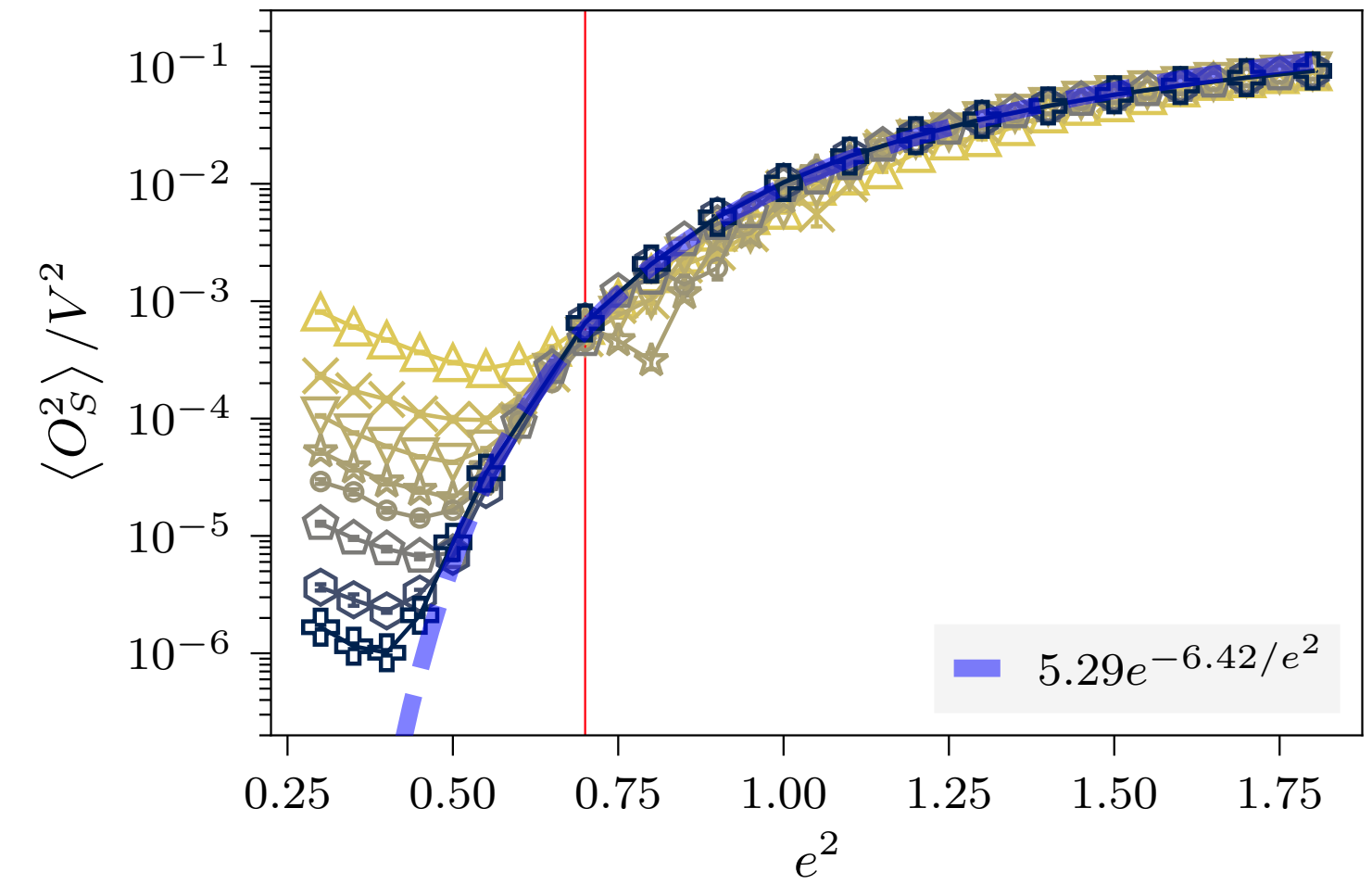
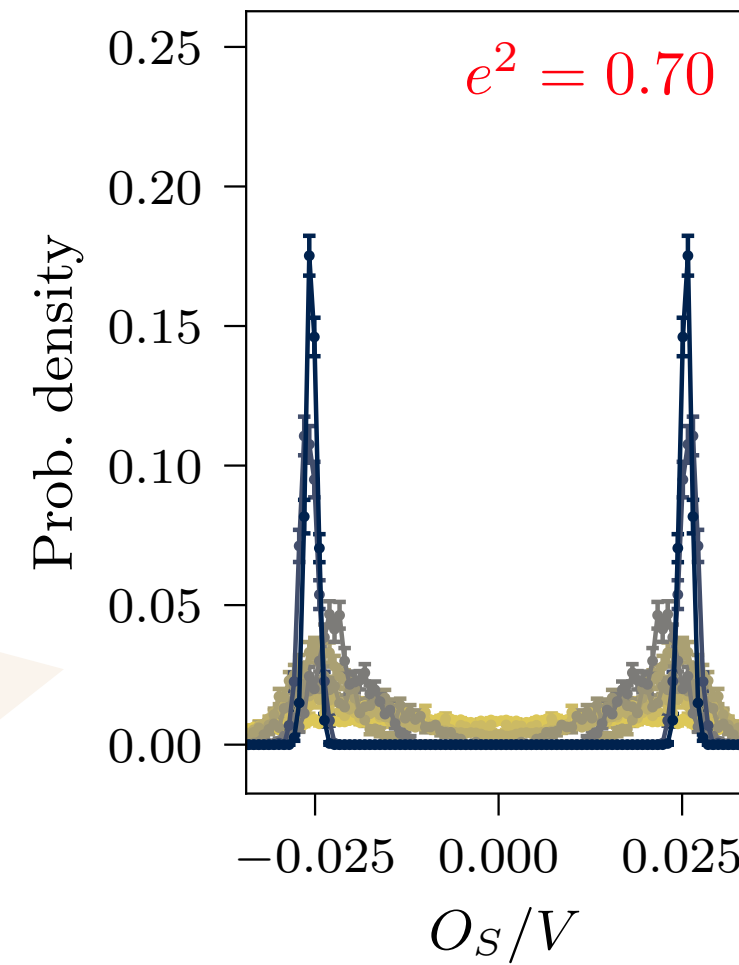


# Order parameters

$$O_S = \sum_{\text{cubes } c} \sum_{x \in c} (-1)^x (h_x - \bar{h}_c)^2$$

(where  $\bar{h}_c = \frac{1}{8} \sum_{x \in c} h_x$ )

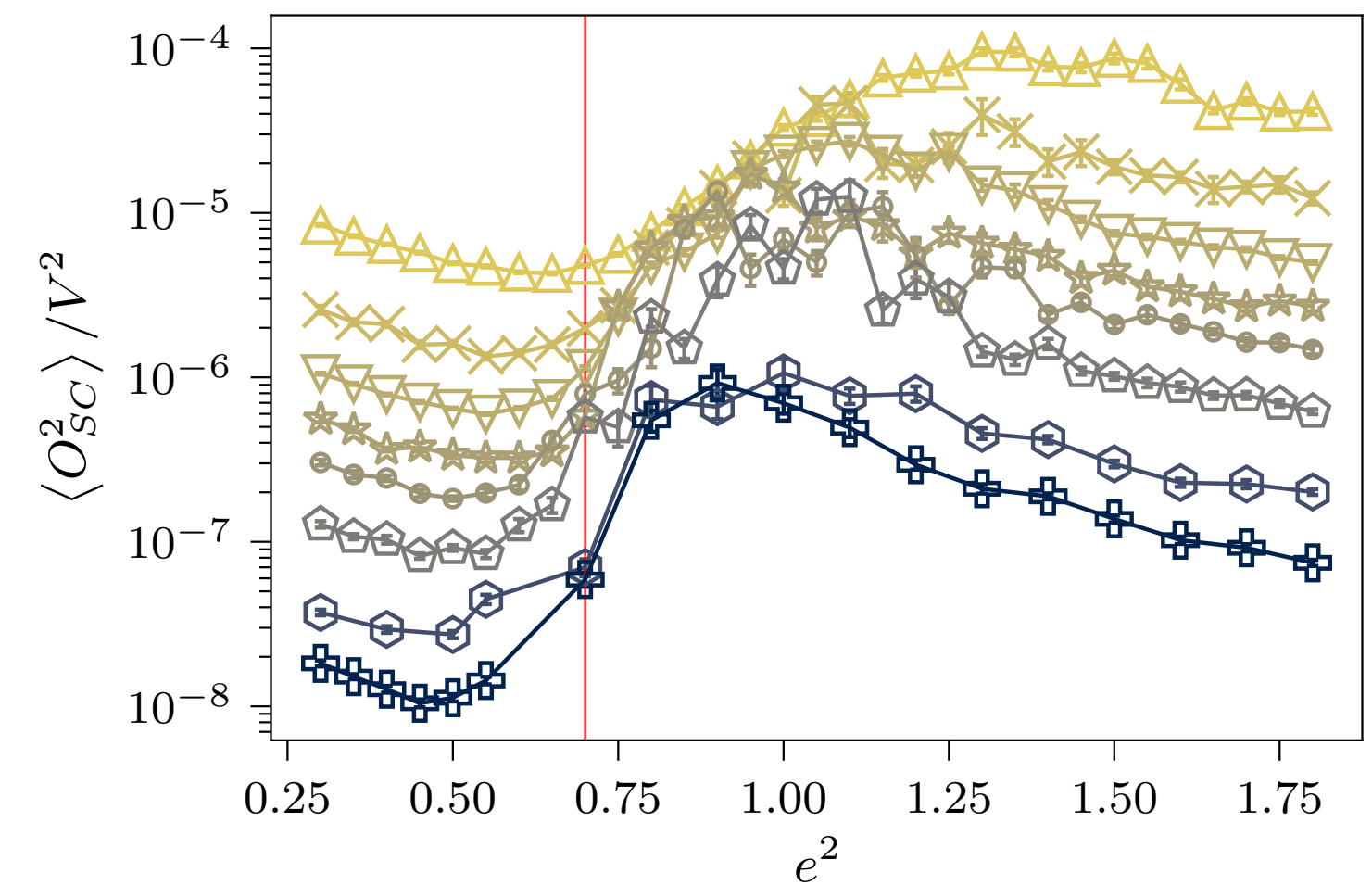
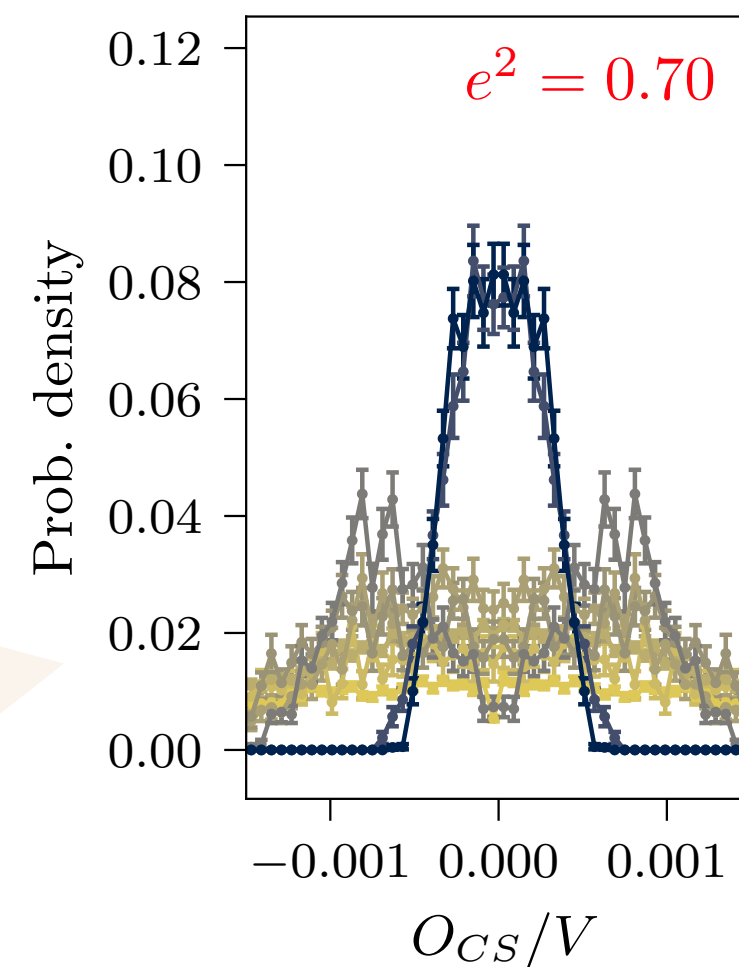
spontaneously broken



- + L = 32
- + L = 48
- + L = 64
- + L = 80
- + L = 96
- + L = 128
- + L = 192
- + L = 256

$$O_{CS} = \sum_x (-1)^x h_x$$

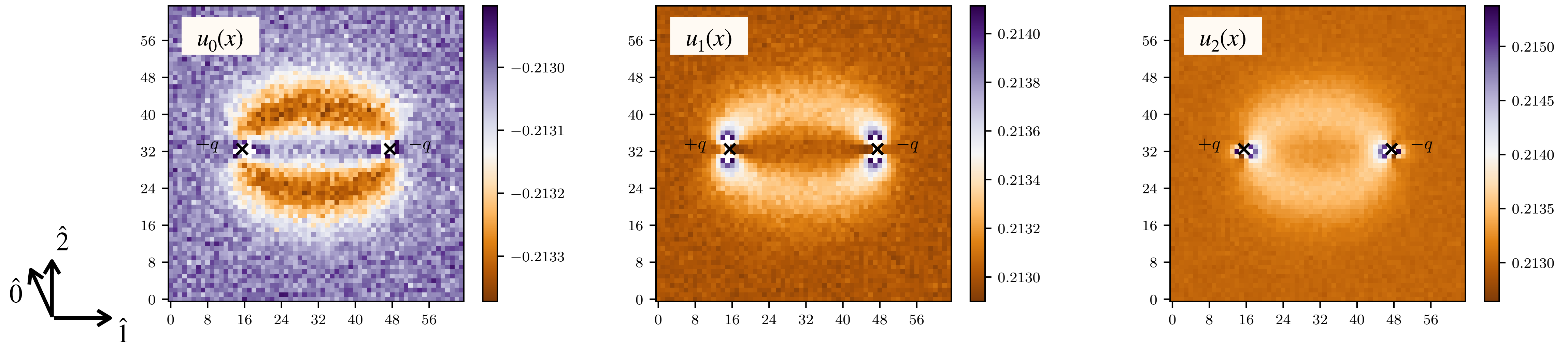
preserved



- + L = 32
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# Fractionalized strings

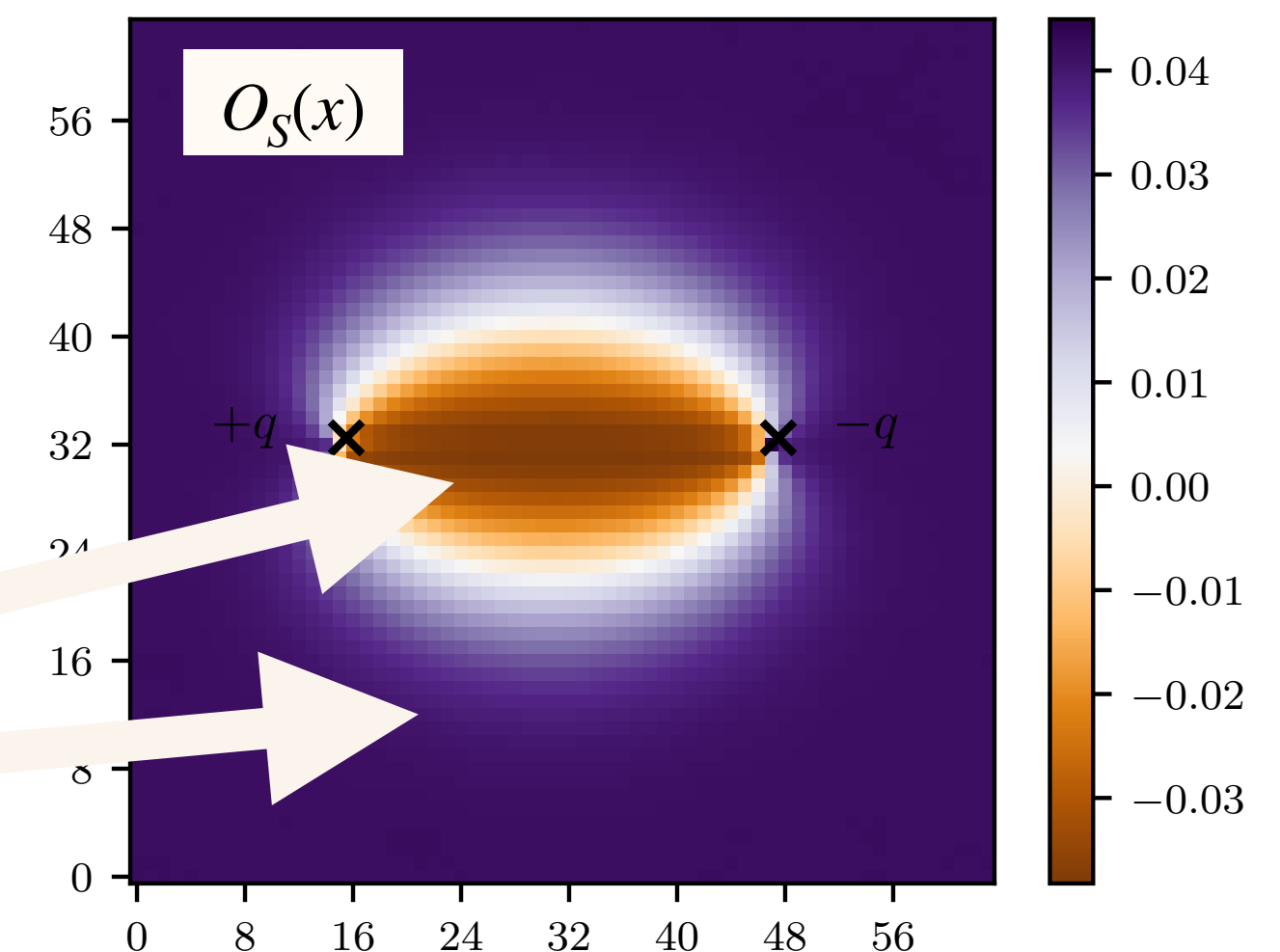


Local energy measure

$$u_\mu(x) = \pm (h_x - h_{x+\hat{\mu}} + s_\mu(x))^2$$

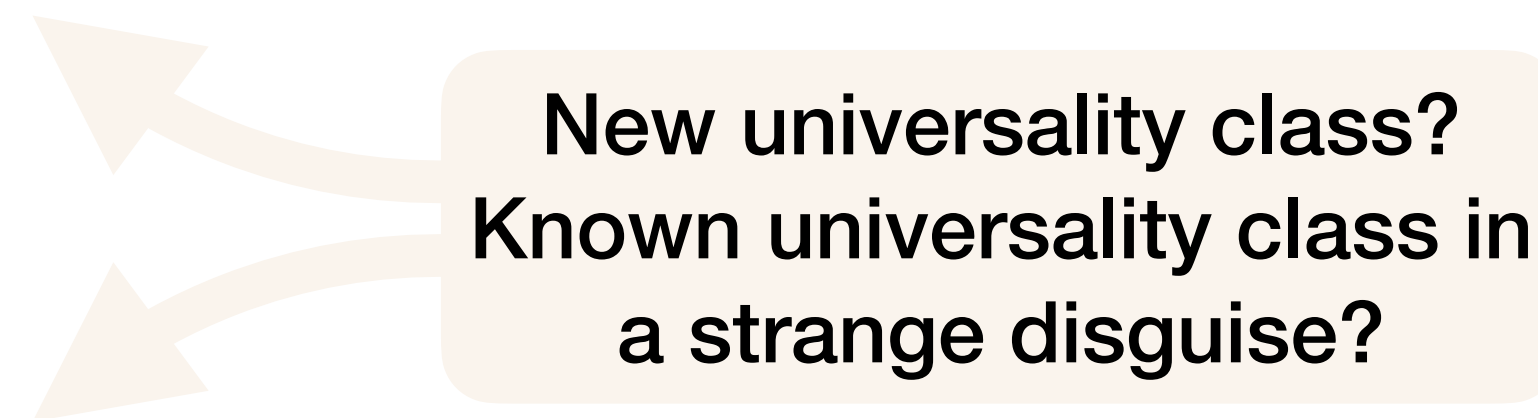
- $s_\mu(x) = 1$  where links cross  
Dirac string connecting charges
- negative sign for timelike,  
positive for spacelike

String half-strands  
separate vacua of  
broken  $S$  symmetry



# Conclusions & Outlook

1. Formulated a “staggered”  $U(1)$  gauge theory satisfying all usual symmetries
2. Dual variables  $\implies$  sign-problem-free lattice theory
3. Verified expected properties
  - Continuum limit as  $e^2 \rightarrow 0$ , confinement, emergence of relativistic  $O(3)$  symmetry
4. More exotic results
  - Spontaneous breaking of  $\mathbb{Z}_2$  one-site shift symmetry (persisting as  $e^2 \rightarrow 0$ )
  - String fractionalization

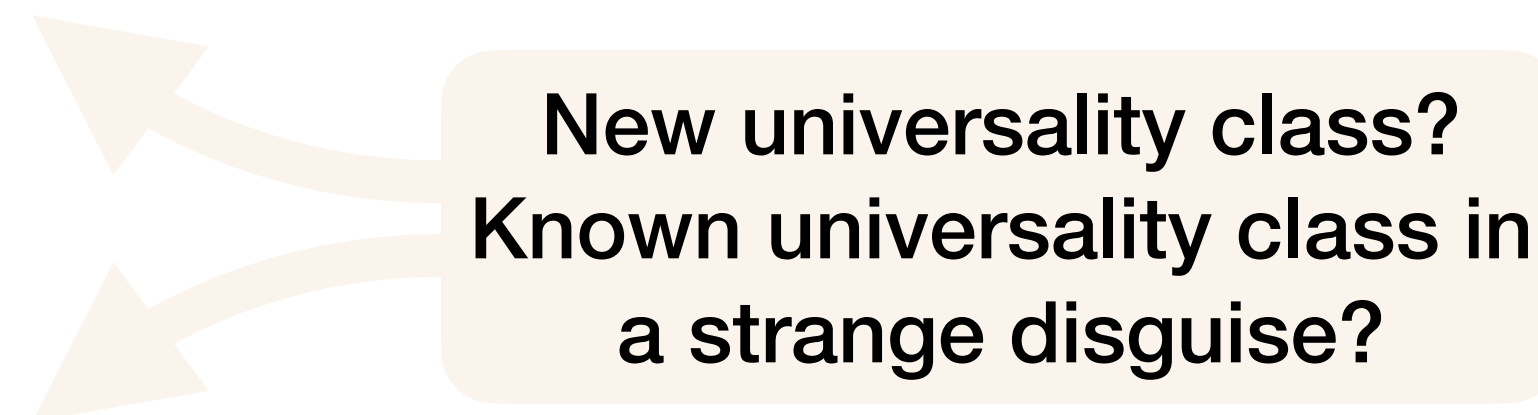


New universality class?  
Known universality class in  
a strange disguise?



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**Thanks! Questions?**

**Backup slides**

# Staggered theory action

Transfer matrix for electric term Trotter step can be explicitly evaluated:

$$\begin{aligned} T(U', U) &= \left\langle U' \left| e^{-\frac{e^2}{2} E^2} \right| U \right\rangle = \sum_m \langle U' | m \rangle \langle m | U \rangle e^{-\frac{e^2}{2} E_m^2} \\ &= \sum_m e^{-i\varphi_p m} e^{-\frac{e^2}{2} (m + \Theta/2\pi)^2} \\ &= \sqrt{\frac{2\pi}{e^2}} \exp \left[ -\frac{1}{2e^2} \varphi_p^2 + \frac{i\Theta}{2\pi} \varphi_p \right] \vartheta \left( \frac{i}{e^2} \varphi_p + \frac{\Theta}{2\pi}; \frac{2\pi i}{e^2} \right) \end{aligned}$$