



# Theory needs of neutrino experiments

Noemi Rocco

Lattice 2023

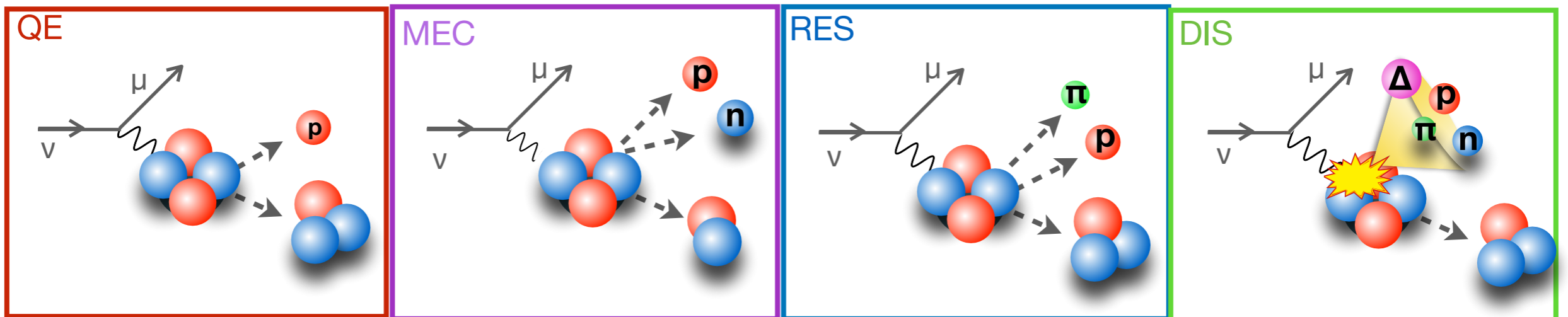
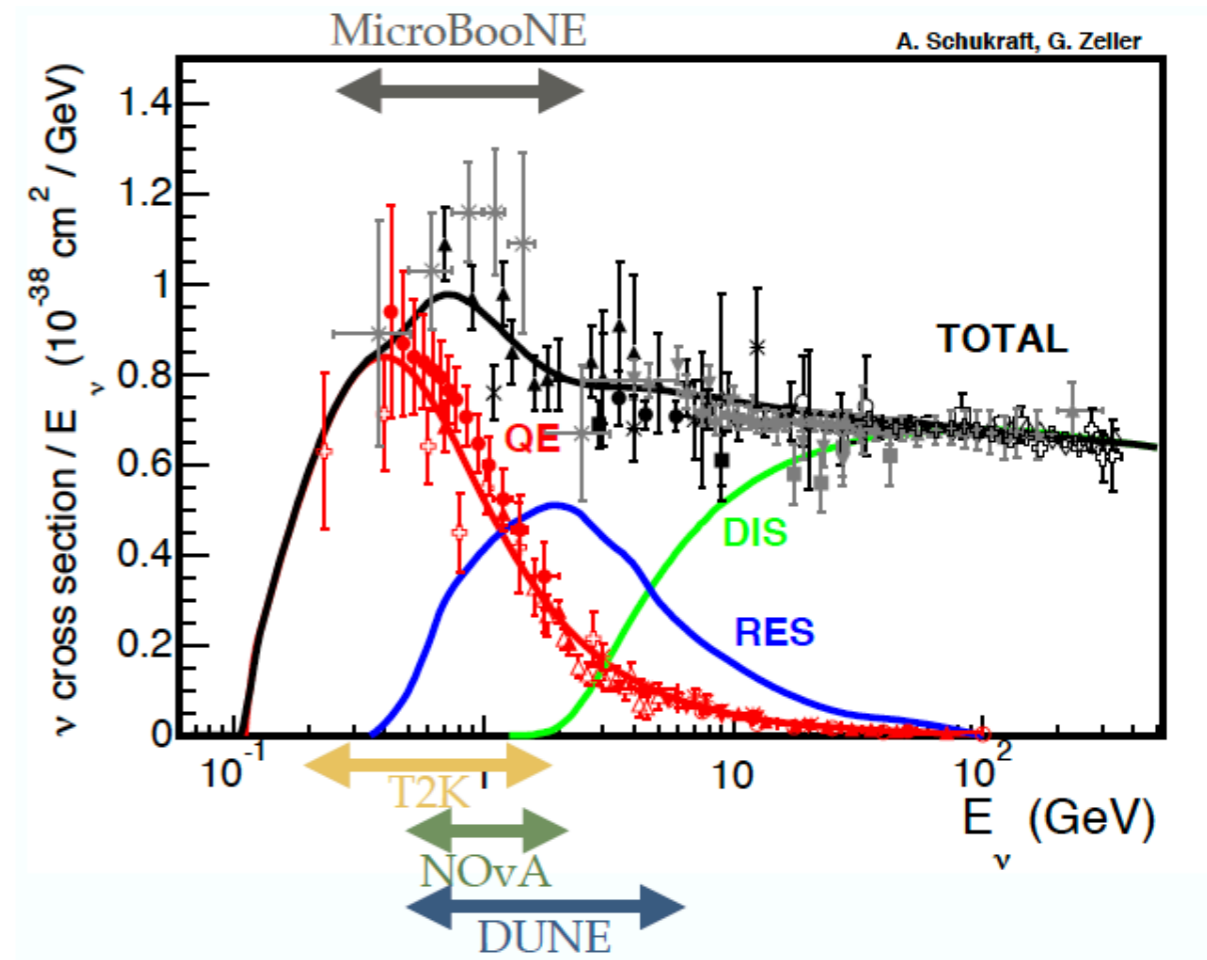
Fermilab— July 31- August 4, 2023

# Inputs for the nuclear model

Unprecedented accuracy in the determination of **neutrino-argon cross section** is required to achieve design sensitivity to CP violation at DUNE

More than 60% of the interactions at DUNE are non-quasielastic

Theoretical tools for neutrino scattering,  
Contribution to: 2022 Snowmass Summer Study





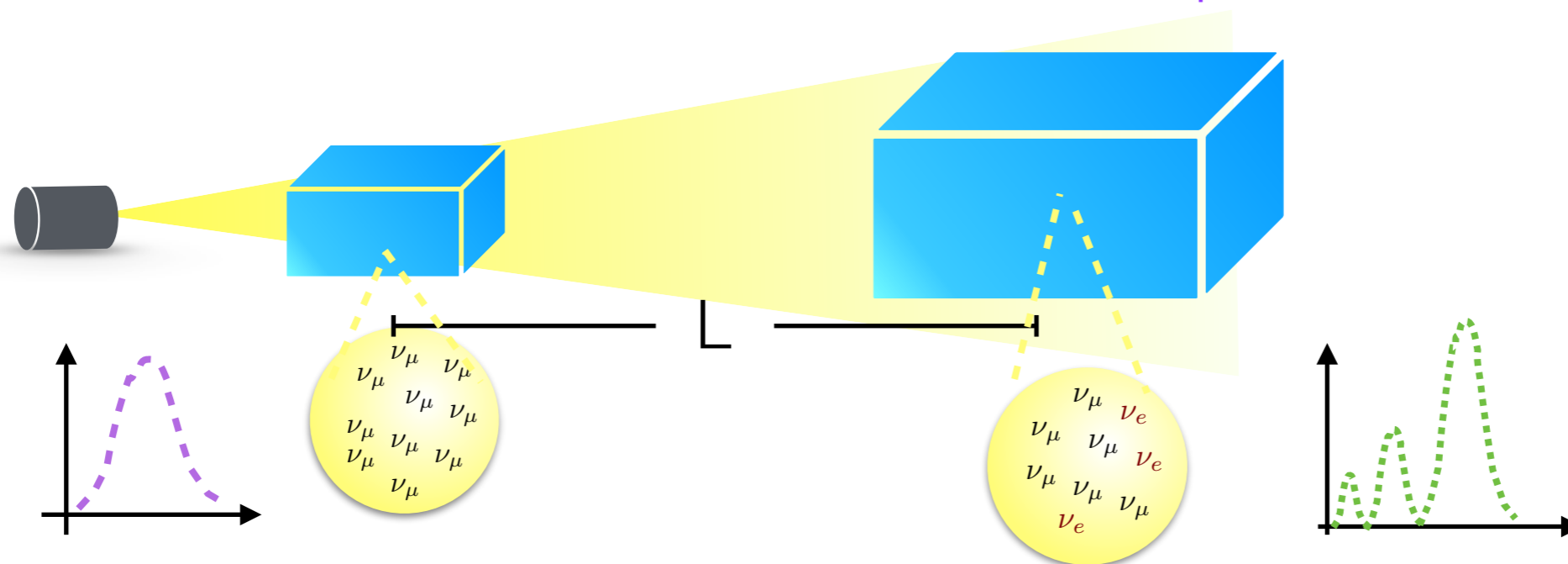
# Why do we need more precision?

$$P(\nu_\mu \rightarrow \nu_e, E_\nu, L) = \frac{\Phi(E_\nu, L)}{\Phi_\mu(E_\nu, 0)} = \frac{N_e(E_\nu, L)/\sigma_e(E_\nu)}{N_\mu(E_\nu, L)/\sigma_\mu(E_\nu)}$$

Theory

Detectors measure the **neutrino interaction rate**:

Experiment

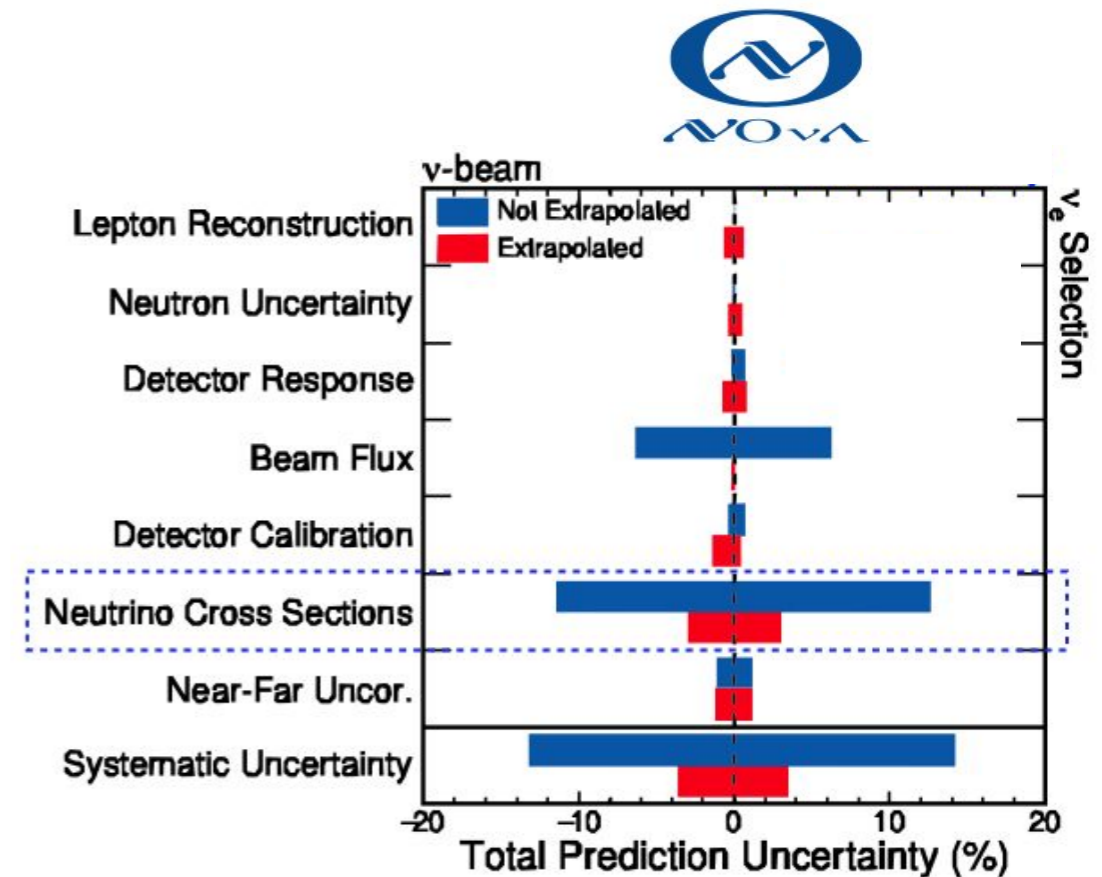


A precise determination of  $\sigma(E)$  is crucial to extract  $\nu$  oscillation parameters. Nuclear effects at near and far detector **do not** cancel

# Neutrino-nucleus cross section systematics

Current oscillation experiments report **large systematic uncertainties** associated with neutrino-nucleus interaction models.

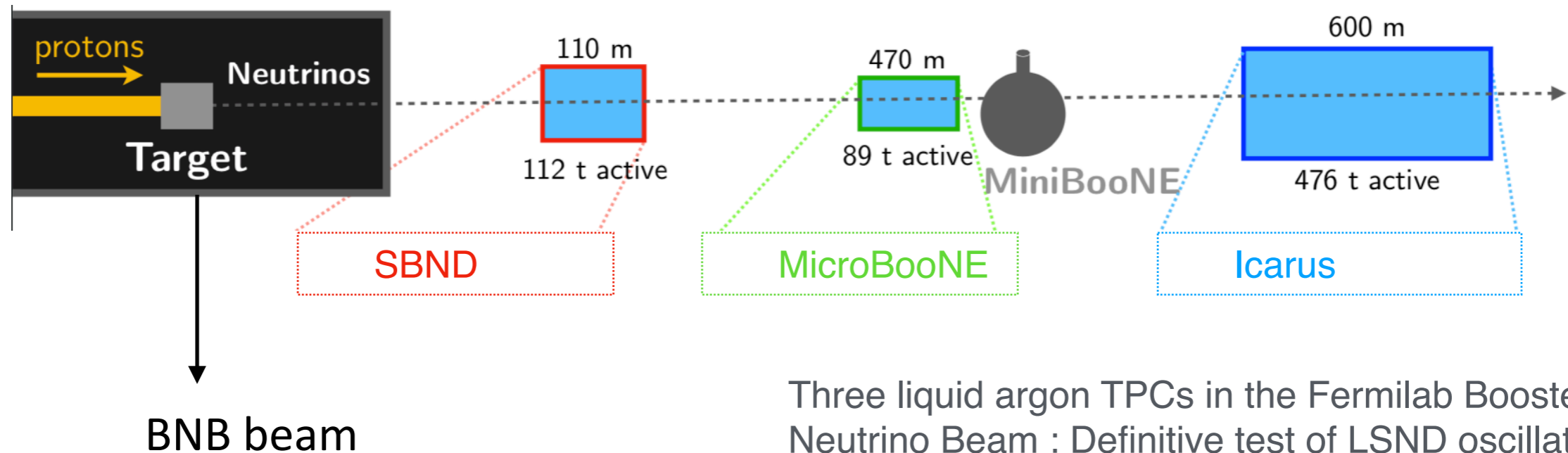
Error source	T2K		$\nu_e / \bar{\nu}_e$
	$\nu_e$ FHC	$\bar{\nu}_e$ RHC	
Flux and (ND unconstrained)	15.1	12.2	1.2
cross section (ND constrained)	3.2	3.1	2.7
SK detector	2.8	3.8	1.5
SK FSI + SI + PN	3.0	2.3	1.6
Nucleon removal energy	7.1	3.7	3.6
$\sigma(\nu_e)/\sigma(\bar{\nu}_e)$	2.6	1.5	3.0
NC1 $\gamma$	1.1	2.6	1.5
NC other	0.2	0.3	0.2
$\sin^2 \theta_{23}$ and $\Delta m_{21}^2$	0.5	0.3	2.0
$\sin^2 \theta_{13}$ PDG2018	2.6	2.4	1.1
All systematics	8.8	7.1	6.0



T2K, Phys. Rev. D 103, 112008 (2021)

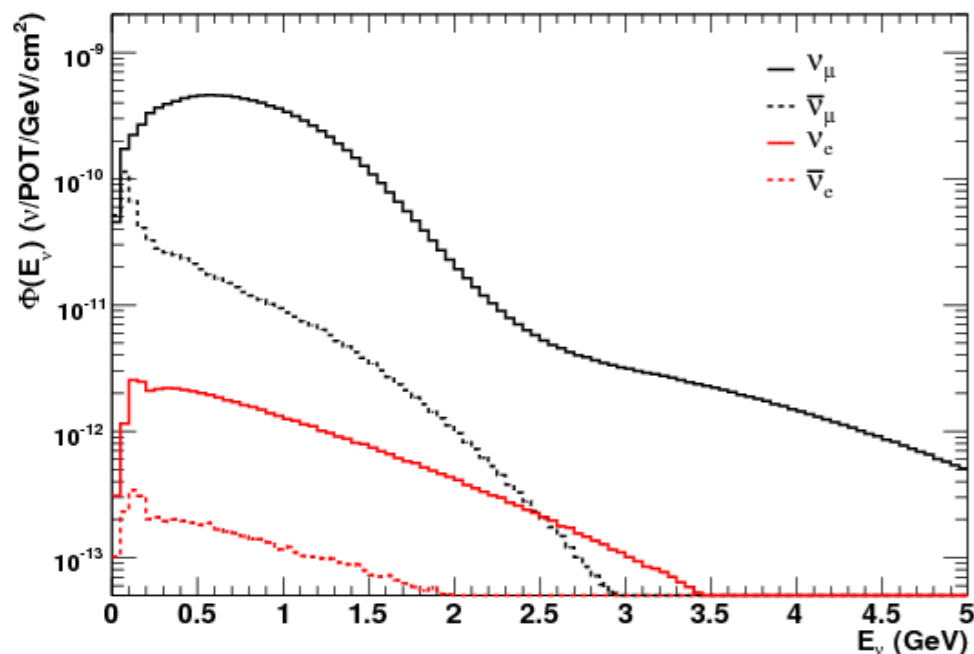


# Short Baseline Neutrino program



Three liquid argon TPCs in the Fermilab Booster Neutrino Beam : Definitive test of LSND oscillations using three baselines

Neutrino flux @SBND



For BNB and T2K the dominant reaction mechanisms are quasi-elastic scattering

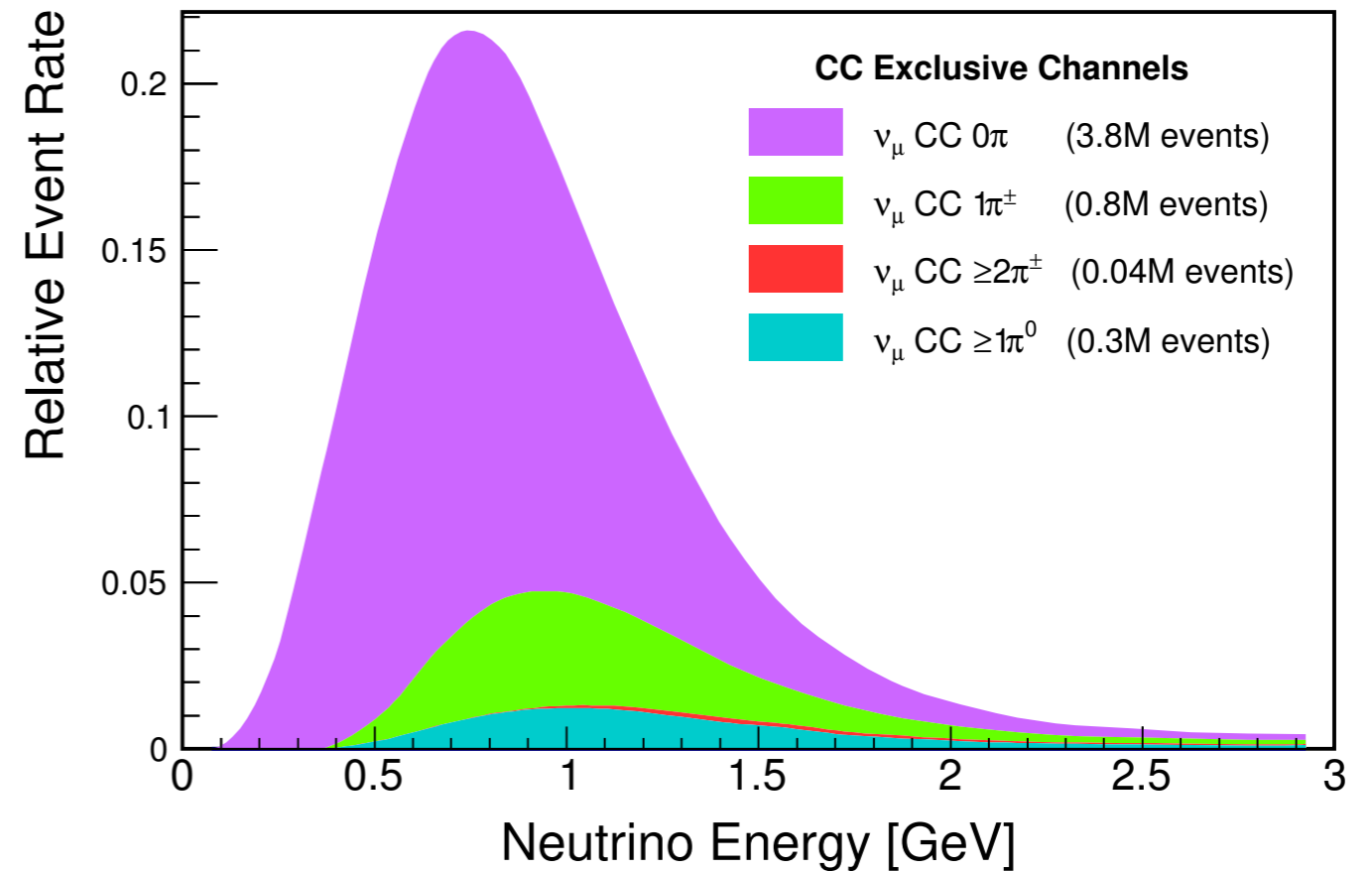
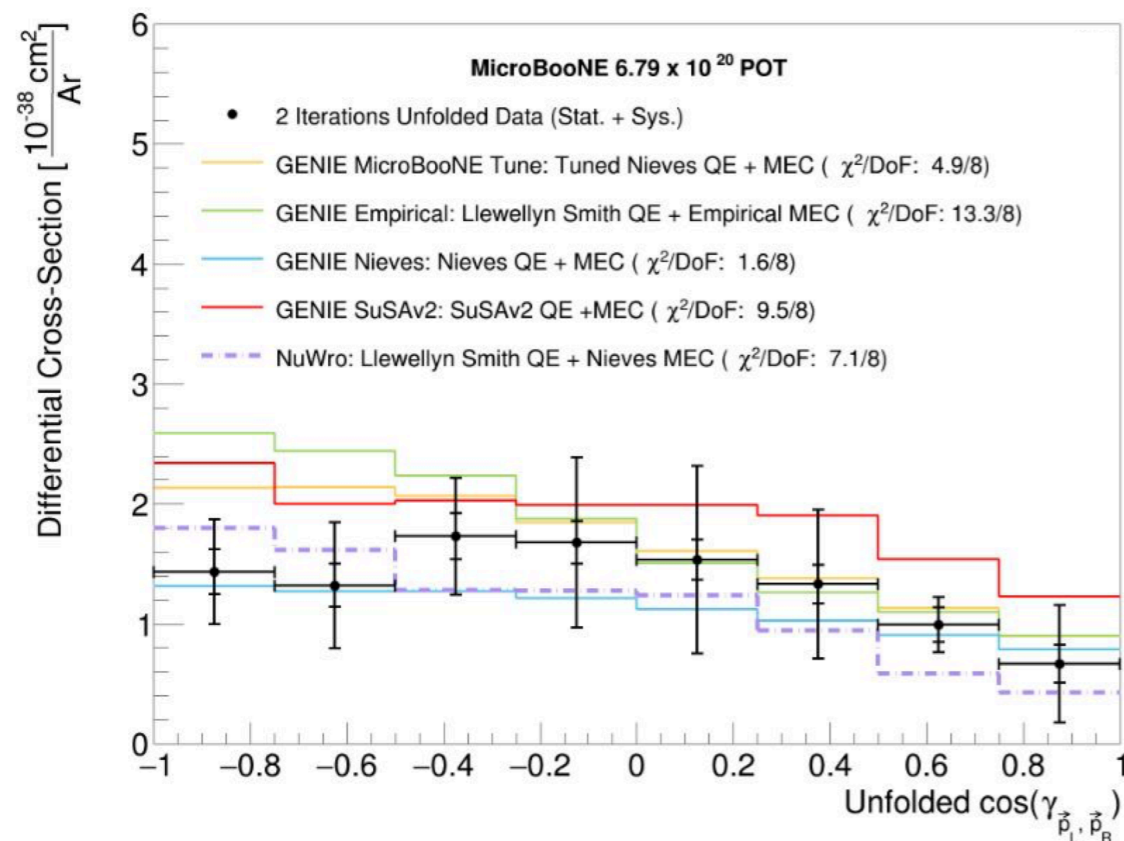
The contribution of  $\pi$ -production channels is  $\sim 25\%$

For the sub-GeV experiments the Delta is the only relevant resonance

# Short Baseline Neutrino program

P. Machado et al, 1903.04608 (2019)

SBND will provide the world's highest statistics cross section measurements in LAr: 2 million events for  $\nu_\mu$  per year for the next 3 years



**A. Papadopoulou W&C seminar June 2023**

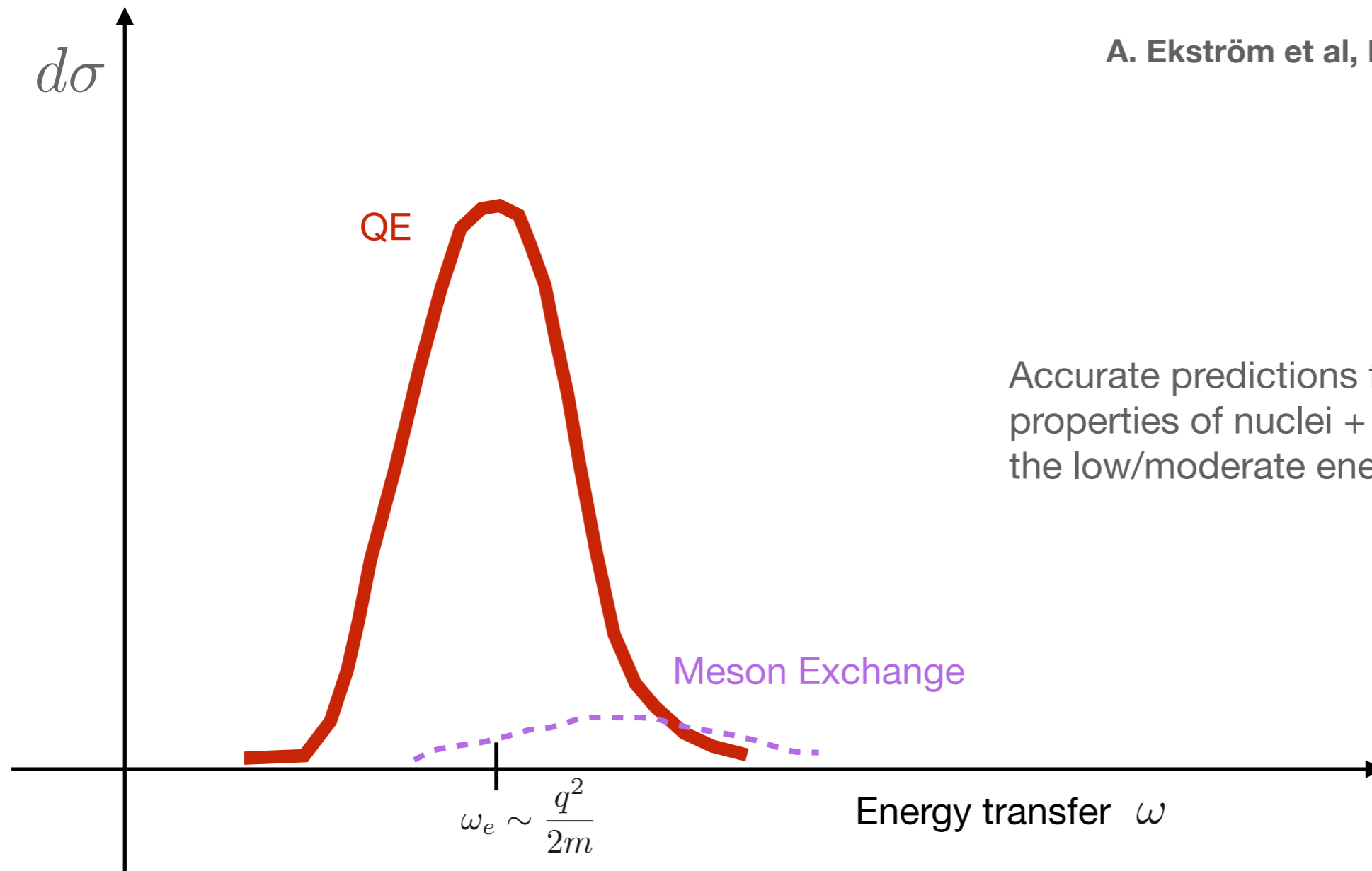
**MicroBooNE provided first two-proton knockout single-differential cross section on argon 2211.03734**



# Ab initio Methods

Ab-initio methods (CC, IMSRG, SCGF, QMC, etc) are systematically improvable many-body approaches.

A. Ekström et al, *Front. Phys.*11 (2023) 29094

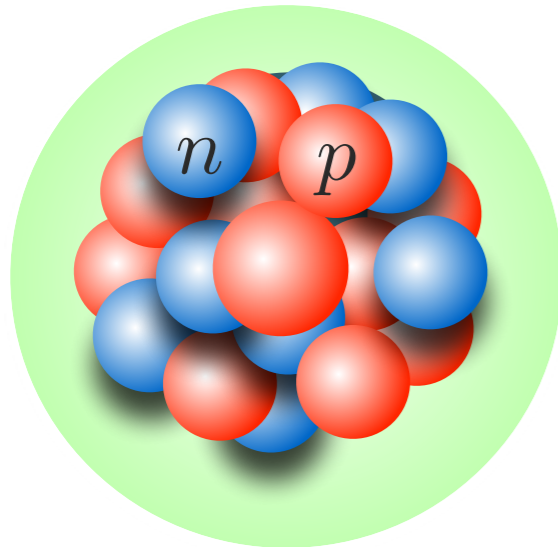


Accurate predictions for ground state properties of nuclei + response functions in the low/moderate energy region

# Hamiltonian and Currents

At low energy, the effective degrees of freedom are pions and nucleons:

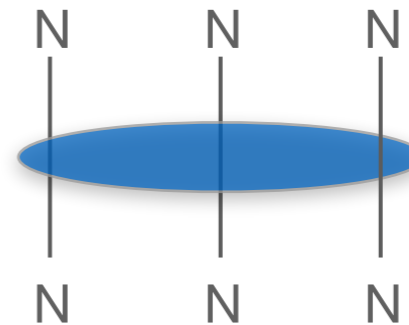
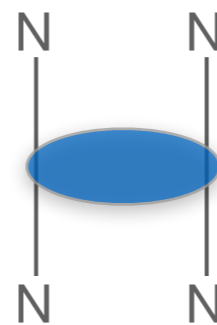
$$H = \sum_i \frac{\mathbf{p}_i^2}{2m} + \sum_{i < j} v_{ij} + \sum_{i < j < k} V_{ijk} + \dots$$



1-body

2-body

3-body



- AV18+IL7
- chiral interactions

The electromagnetic current is constrained by the Hamiltonian through the **continuity equation**

$$\nabla \cdot \mathbf{J}_{\text{EM}} + i[H, J_{\text{EM}}^0] = 0 \quad [v_{ij}, j_i^0] \neq 0$$

The above equation implies that the current operator includes one and two-body contributions

$$J^\mu(q) = \sum_i j_i^\mu + \sum_{i < j} j_{ij}^\mu + \dots$$



# Chiral effective field theory

Chiral Hamiltonians exploits the (approximate) broken chiral symmetry of QCD

Identify the soft and hard scale of the problem  $\mathcal{L}^{(n)} \sim \left(\frac{q}{\Lambda_b}\right)^n \sim 100 \text{ MeV}$  soft scale  
 $\sim 1 \text{ GeV}$  hard scale

Design an organizational scheme that can distinguish between more and less important terms:

$$\mathcal{L}_{\text{eff}} = \mathcal{L}^{(0)} + \mathcal{L}^{(1)} + \mathcal{L}^{(2)}$$

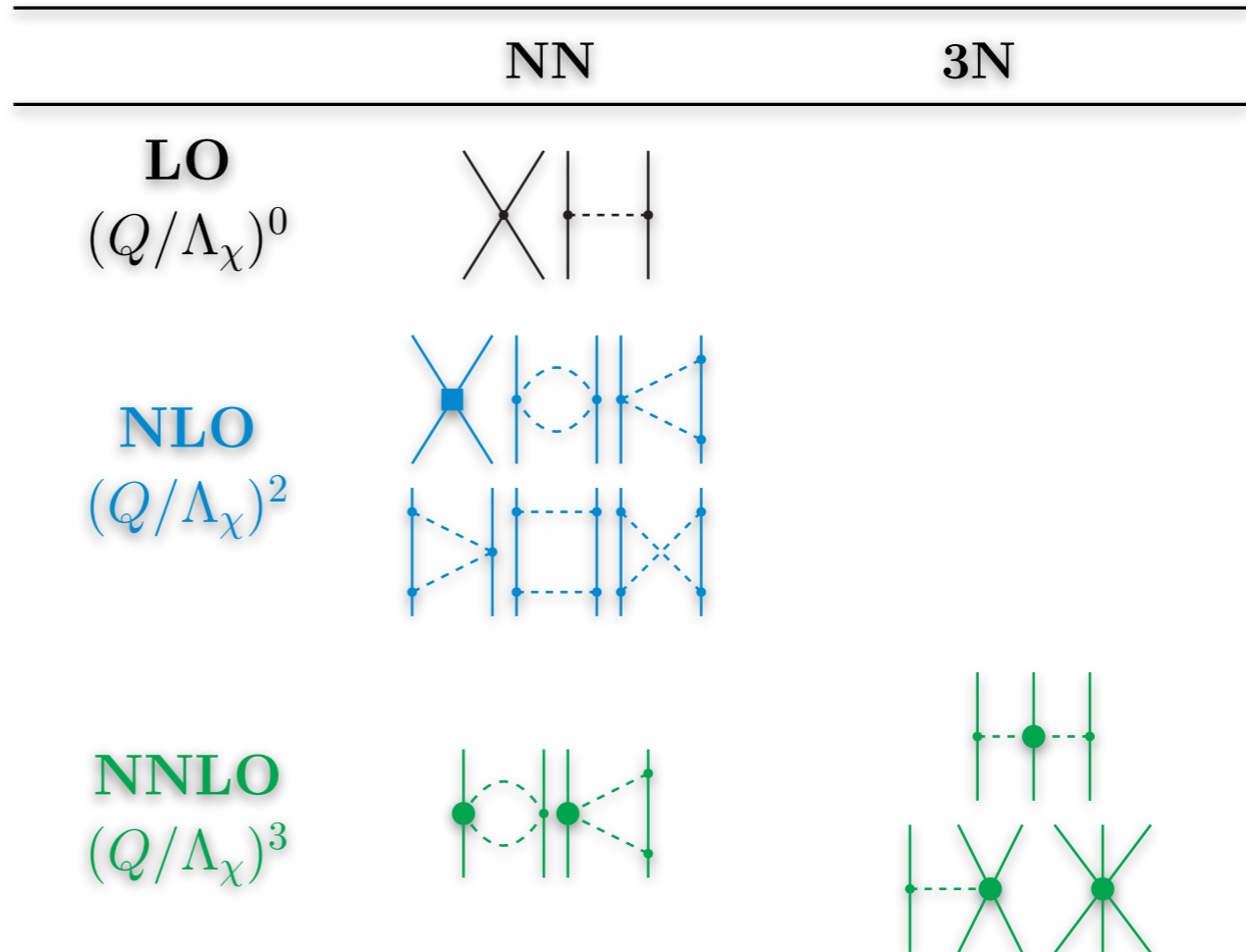
H. Hergert, *Front. in Phys.* **8**, 379 (2020)

## Contact interactions lead to LEC:

Short range two-nucleon interaction  
fit to deuteron and NN scattering

Three nucleon interactions fitted on  
light nuclei

Long-range LEC are determined from  
 $\pi$ -nucleon scattering



Formulate statistical models for uncertainties: Bayesian estimates of EFT errors

S. Wesolowski, et al, *PRC* **104**, 064001 (2021)

# Green's Function Monte Carlo

Any trial wave function can be expanded in the complete set of eigenstates of the the Hamiltonian according to

$$|\Psi_V\rangle = \sum_n c_n |\Psi_n\rangle \quad H|\Psi_n\rangle = E_n |\Psi_n\rangle$$

GFMC uses a projection technique to **enhance the true ground-state component** of a starting wave function.

$$\lim_{\tau \rightarrow \infty} e^{-(H-E_0)\tau} |\Psi_T\rangle = \lim_{\tau \rightarrow \infty} \sum_n c_n e^{-(E_n-E_0)\tau} |\Psi_n\rangle = c_0 |\Psi_0\rangle$$

The direct calculation of the imaginary-time propagator for strongly-interacting systems involves prohibitive difficulties

J. Carlson , et al. Rev. Mod. Phys. 87 (2015) 1067

The imaginary-time evolution is broken into N small imaginary-time steps, and complete sets of states are inserted

$$e^{-(H-E_0)\tau} |\Psi_V\rangle = \int dR_1 \dots dR_N |R_N\rangle \langle R_N | e^{-(H-E_0)\Delta\tau} |R_{N-1}\rangle \dots \langle R_2 | e^{-(H-E_0)\Delta\tau} |R_1\rangle \Psi_V(R_1)$$

Short Time Propagator

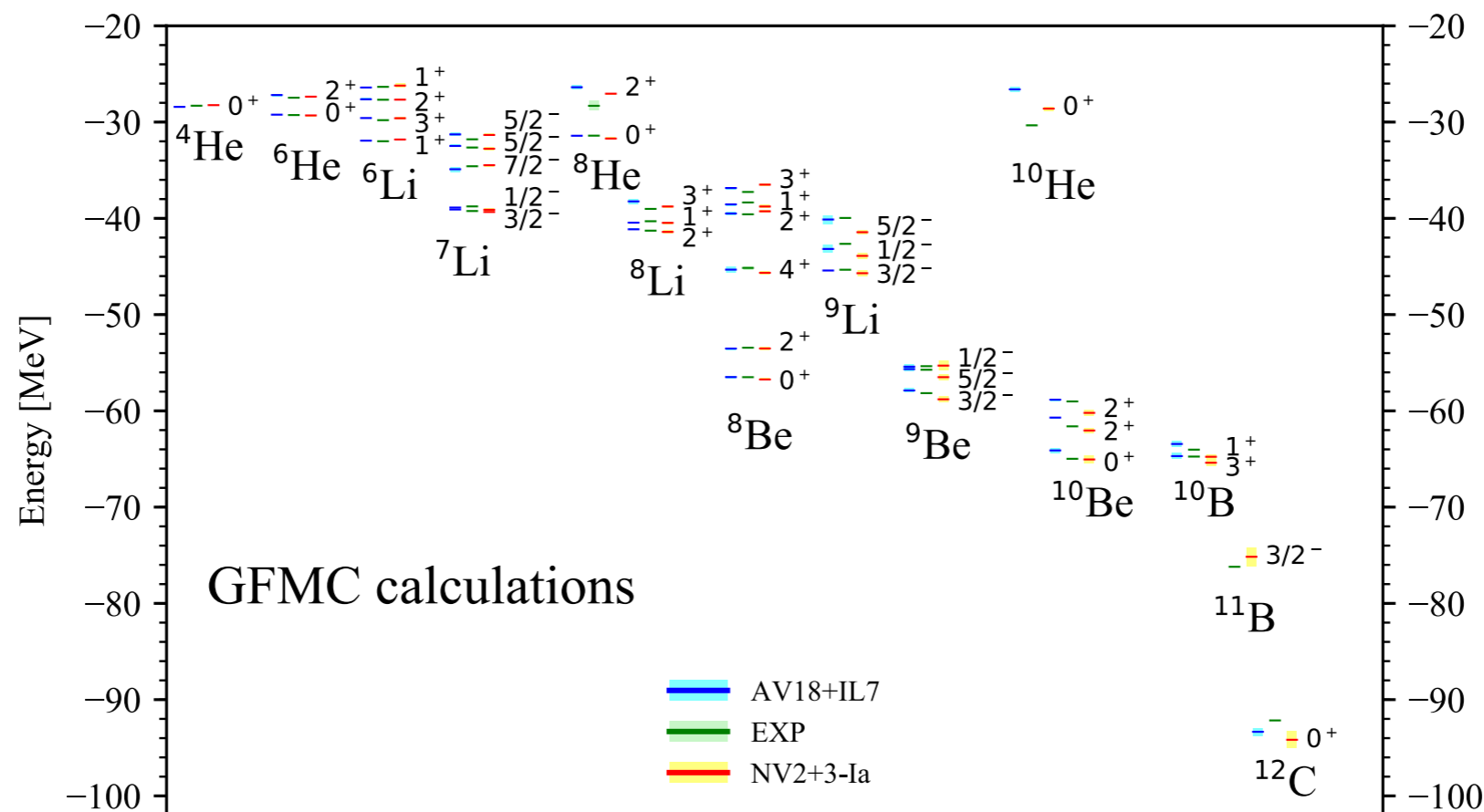


# Solve the Many Body Nuclear problem

A sum over all the many-body spin-isospin states is performed

$$\sum_{SS'} \langle S' | e^{-[V-E_0]\delta\tau} | S \rangle \simeq \sum_{SS'} \langle S' | \prod_{i<j} e^{-V_{ij}\delta\tau} | S \rangle e^{E_0\delta\tau}$$

GFMC is extremely accurate but limited to  $A < 13$  nuclei. Semi-phenomenological, or chiral potentials can be used, excellent agreement with energy spectral of different targets



M. Piarulli, et al. Phys.Rev.Lett. 120 (2018) 5, 052503

# Green's Function Monte Carlo

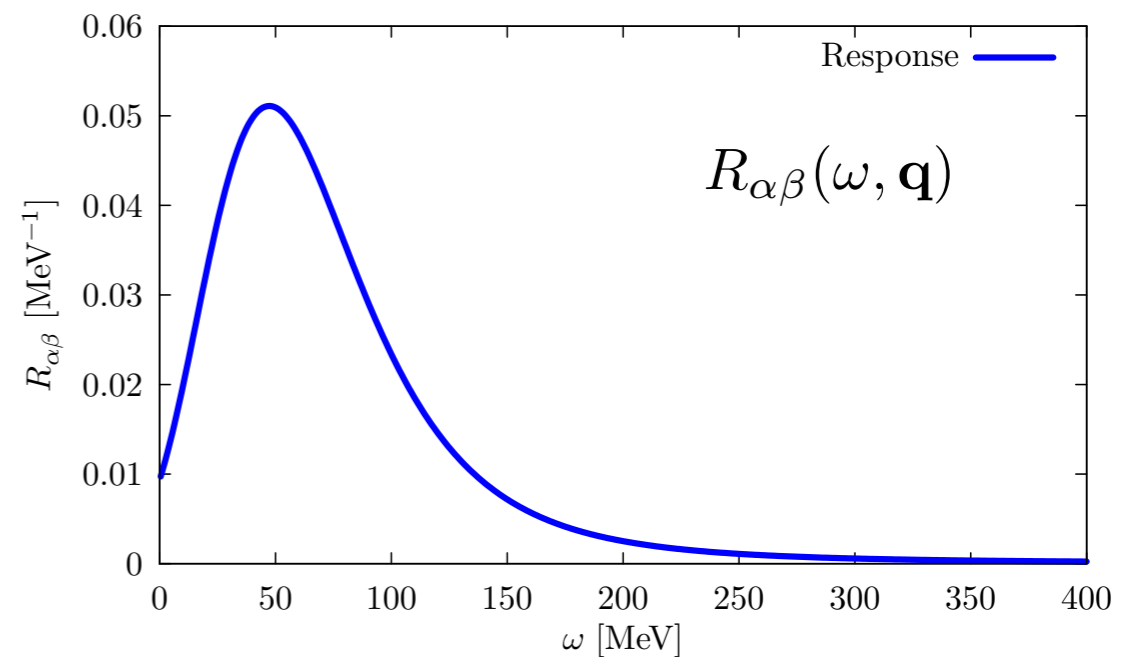
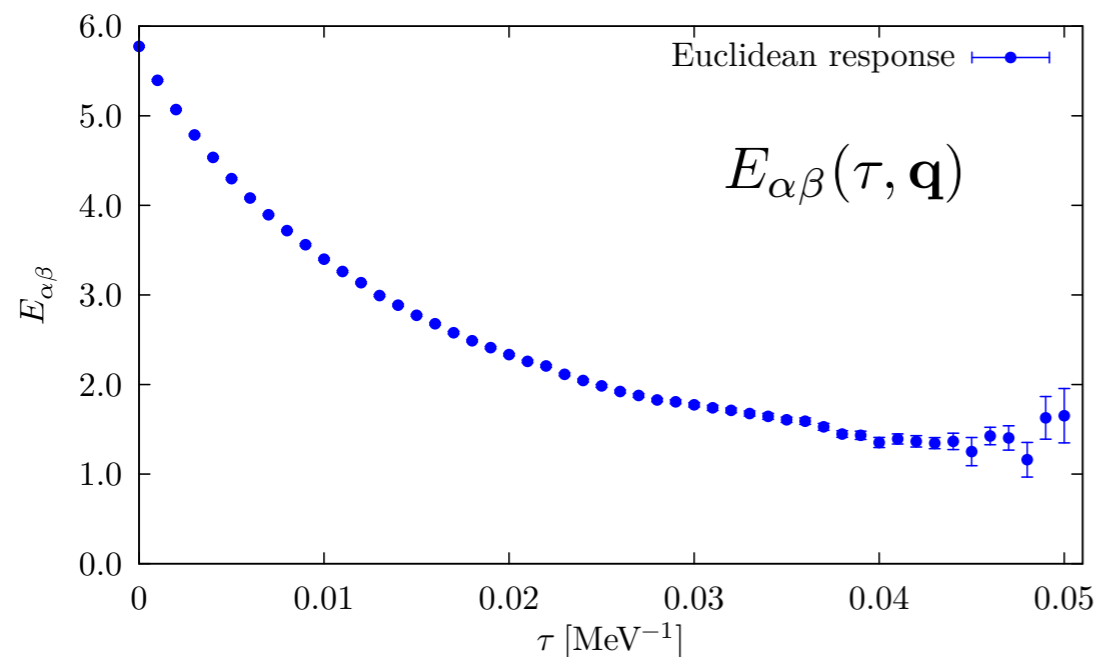
Nuclear response function involves evaluating a number of transition amplitudes.

Valuable information can be obtained from the **integral transform of the response function**

$$E_{\alpha\beta}(\sigma, \mathbf{q}) = \int d\omega K(\sigma, \omega) R_{\alpha\beta}(\omega, \mathbf{q}) = \langle \psi_0 | J_{\alpha}^{\dagger}(\mathbf{q}) K(\sigma, H - E_0) J_{\beta}(\mathbf{q}) | \psi_0 \rangle$$

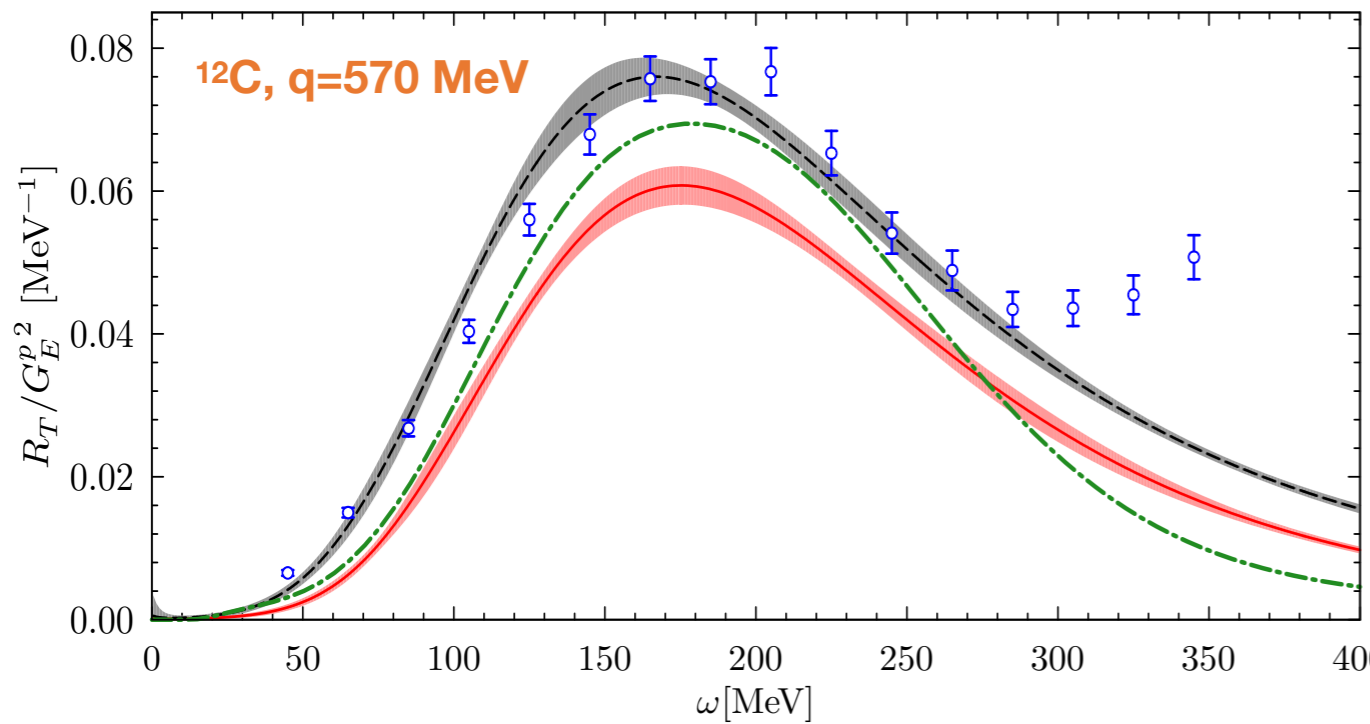
Inverting the integral transform is a complicated problem

[A. Lovato et al, PRL117 \(2016\), 082501,](#)  
[PRC97 \(2018\), 022502](#)



Same problem applies to different realm physics for example lattice QCD

# Cross sections: Green's Function Monte Carlo



Legend for the top plot:

- GFMC  $O_{1b}$
- GFMC  $O_{1b+2b}$
- PWIA
- World data

Alessandro Lovato et al. PRL 117 082501 (2016)

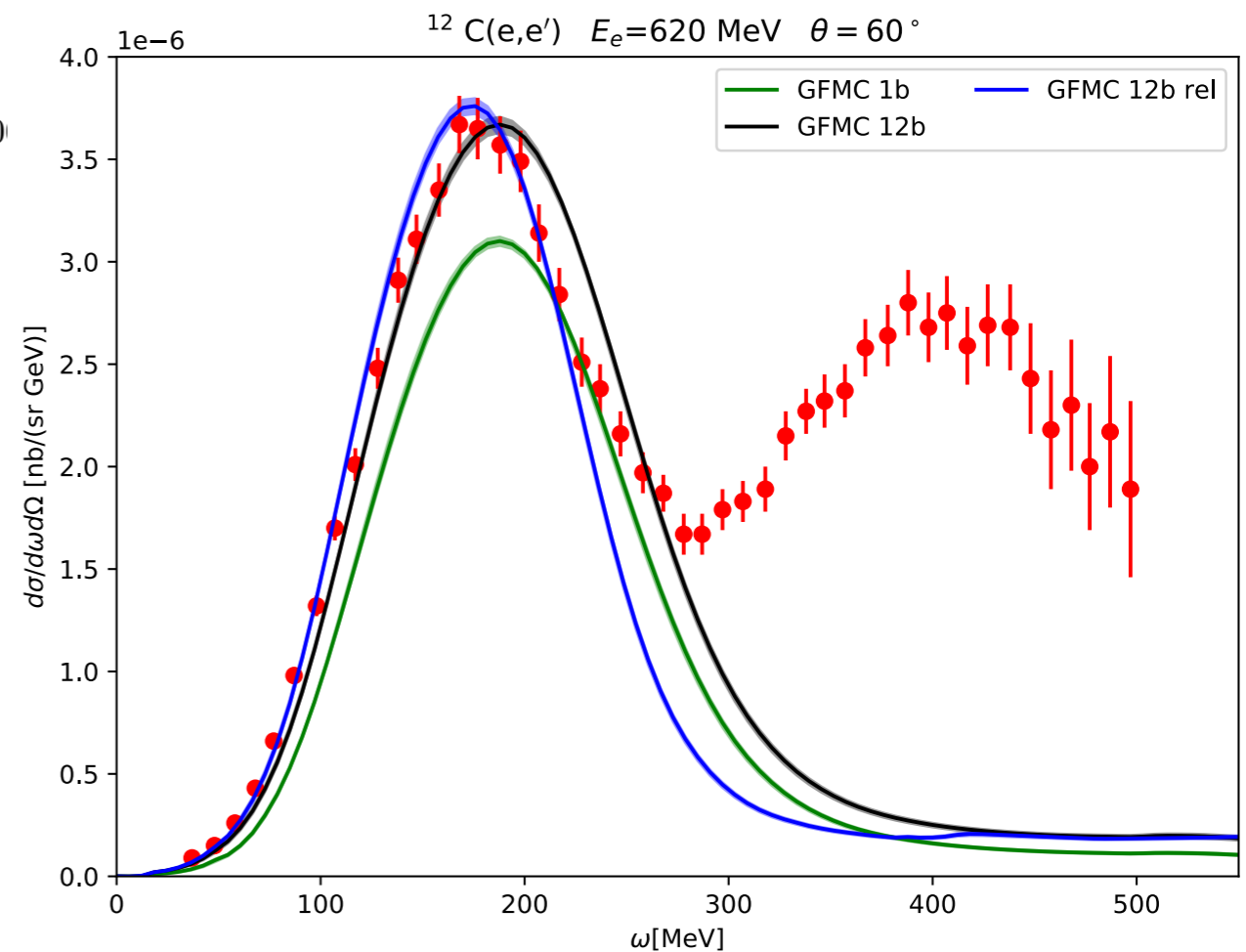
## Limitations:

Medium mass nuclei  $A < 13$

Inclusive results which are virtually correct in the QE

Relies on non-relativistic treatment of the kinematics

Can not handle explicit pion degrees of freedom



A.Lovato, NR, et al, submitted to Universe



# Axial form factor determination

- The axial form-factor has been fit to the dipole form

$$F_A(q^2) = \frac{g_A}{(1 - q^2/m_A^2)^2}$$

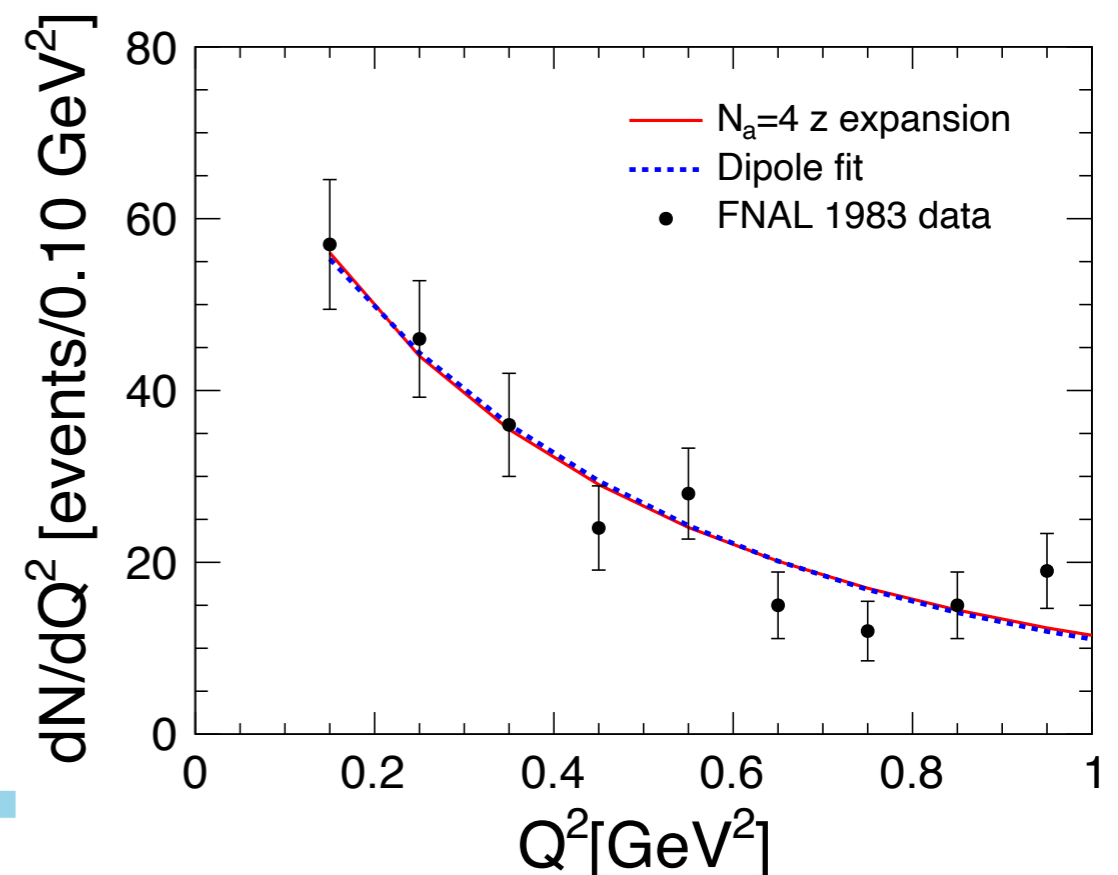
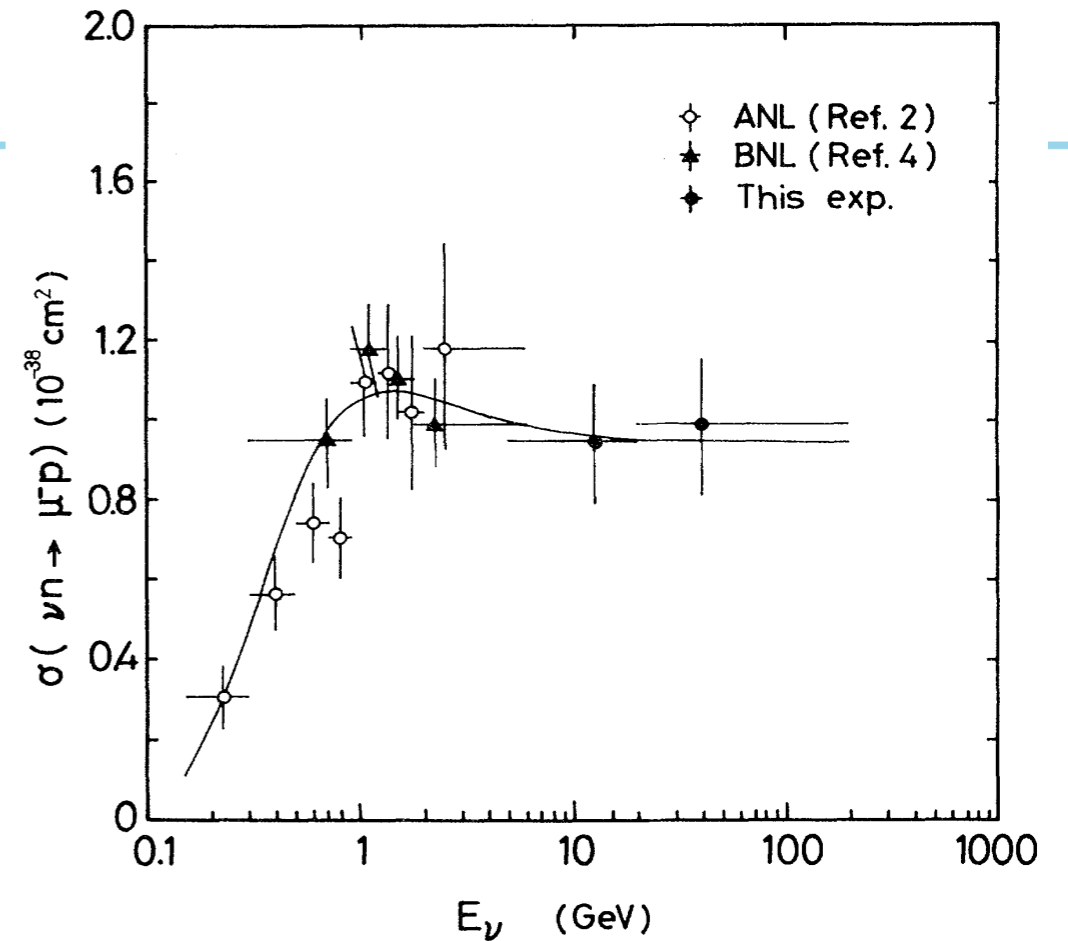
- The intercept  $g_A = -1.2723$  is known from neutron  $\beta$  decay
- Different values of  $m_A$  from experiments
  - $m_A = 1.02$  GeV q.e. scattering from deuterium
  - $m_A = 1.35$  GeV @ MiniBooNE
- Alternative derivation based on **z-expansion**
  - model independent parametrization

$$F_A(q^2) = \sum_{k=0}^{k_{\max}} a_k z(q^2)^k,$$

↑ known functions  
↑ free parameters

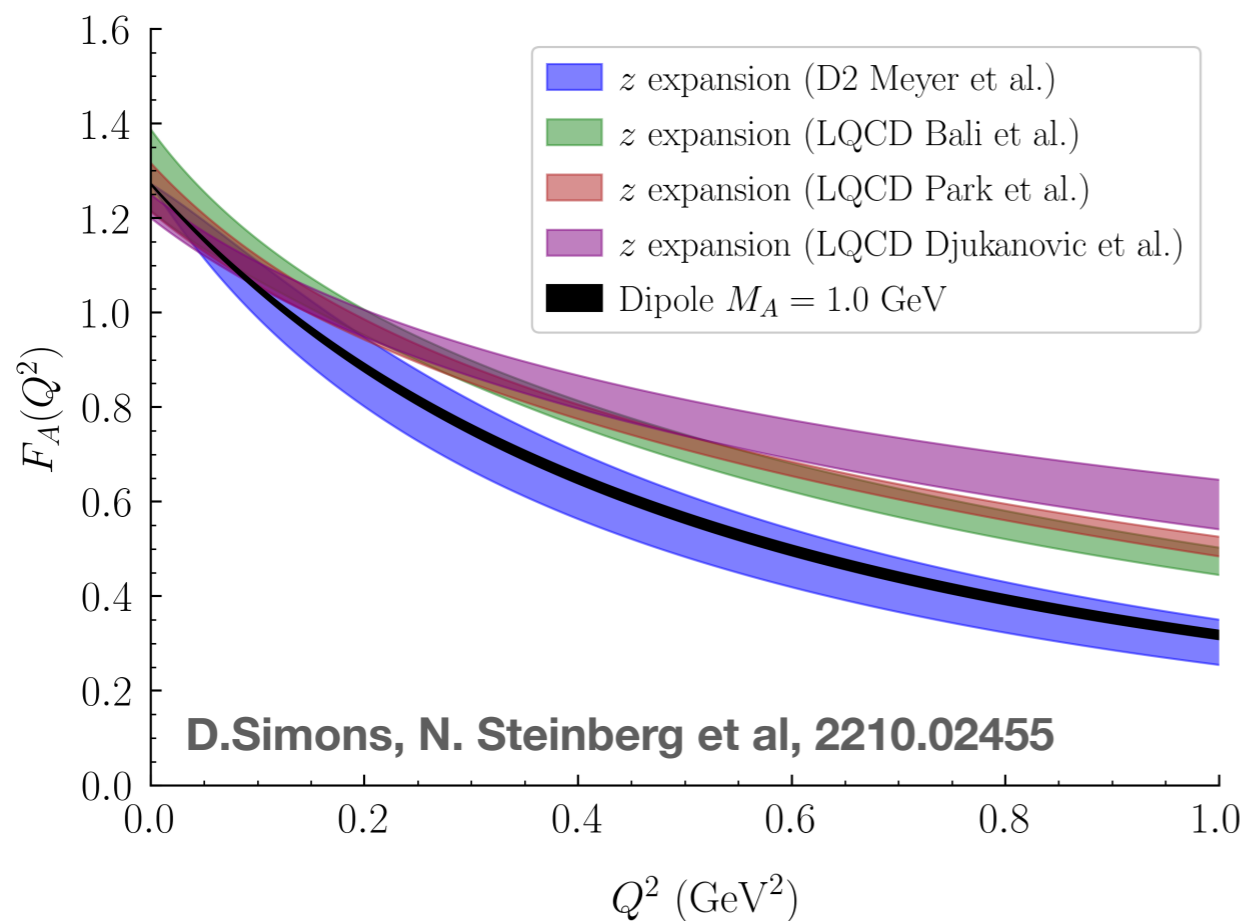
Bhattacharya, Hill, and Paz PRD 84 (2011) 073006

A.S.Meyer et al, Phys.Rev.D 93 (2016) 11, 113015





# Axial form factor determination



Comparison with recent MINERvA antineutrino-hydrogen charged-current measurements

1-2 $\sigma$  agreement with MINERvA data and LQCD prediction by PNDME Collaboration

Novel methods are needed to remove excited-state contributions and discretization errors

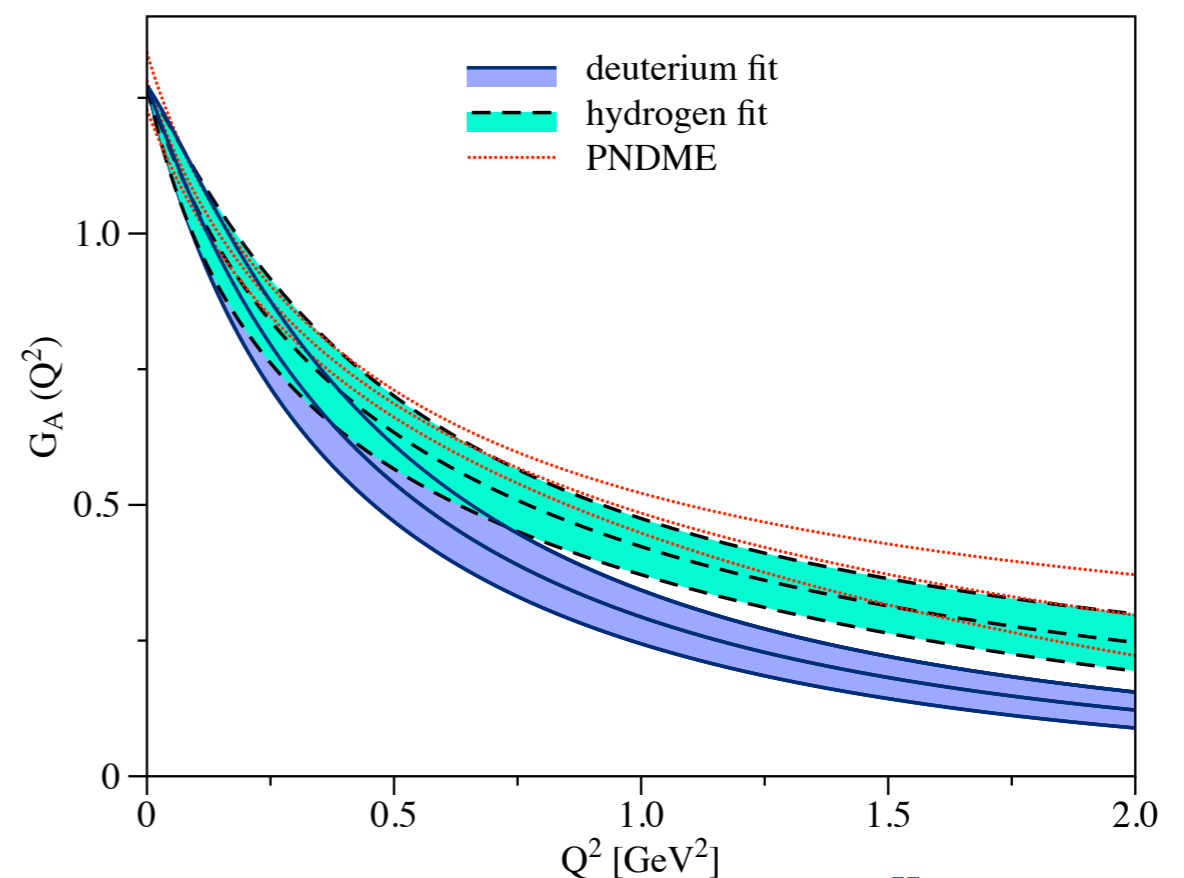
**A. Meyer, A. Walker-Loud, C. Wilkinson, 2201.01839**

D2 Meyer et al: fits to neutrino-deuteron scattering data

LQCD result: general agreement between the different calculations

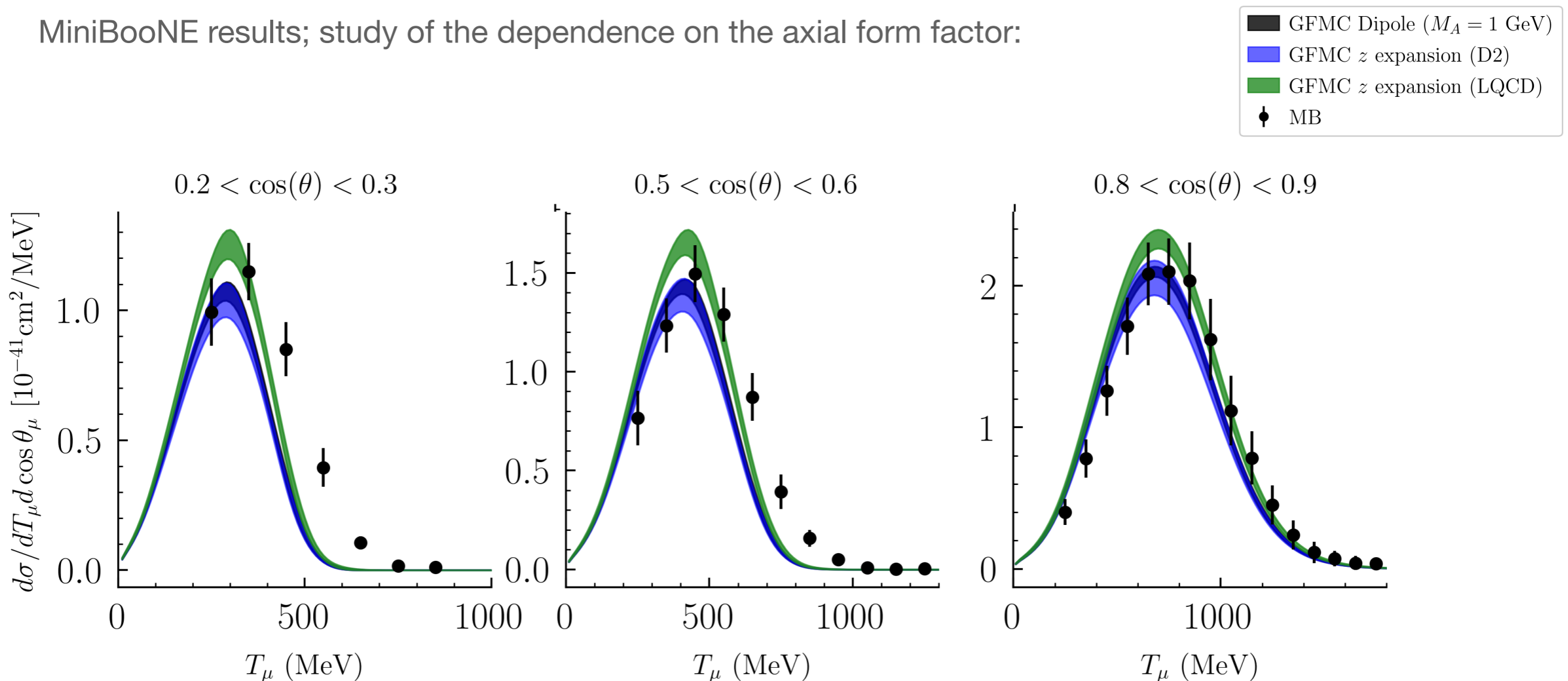
LQCD results are 2-3 $\sigma$  larger than D2 Meyer ones for  $Q^2 > 0.3$  GeV<sup>2</sup>

**O. Tomalak, R. Gupta, T. Battacharaya, 2307.14920**



# Study of model dependence in neutrino predictions

MiniBooNE results; study of the dependence on the axial form factor:

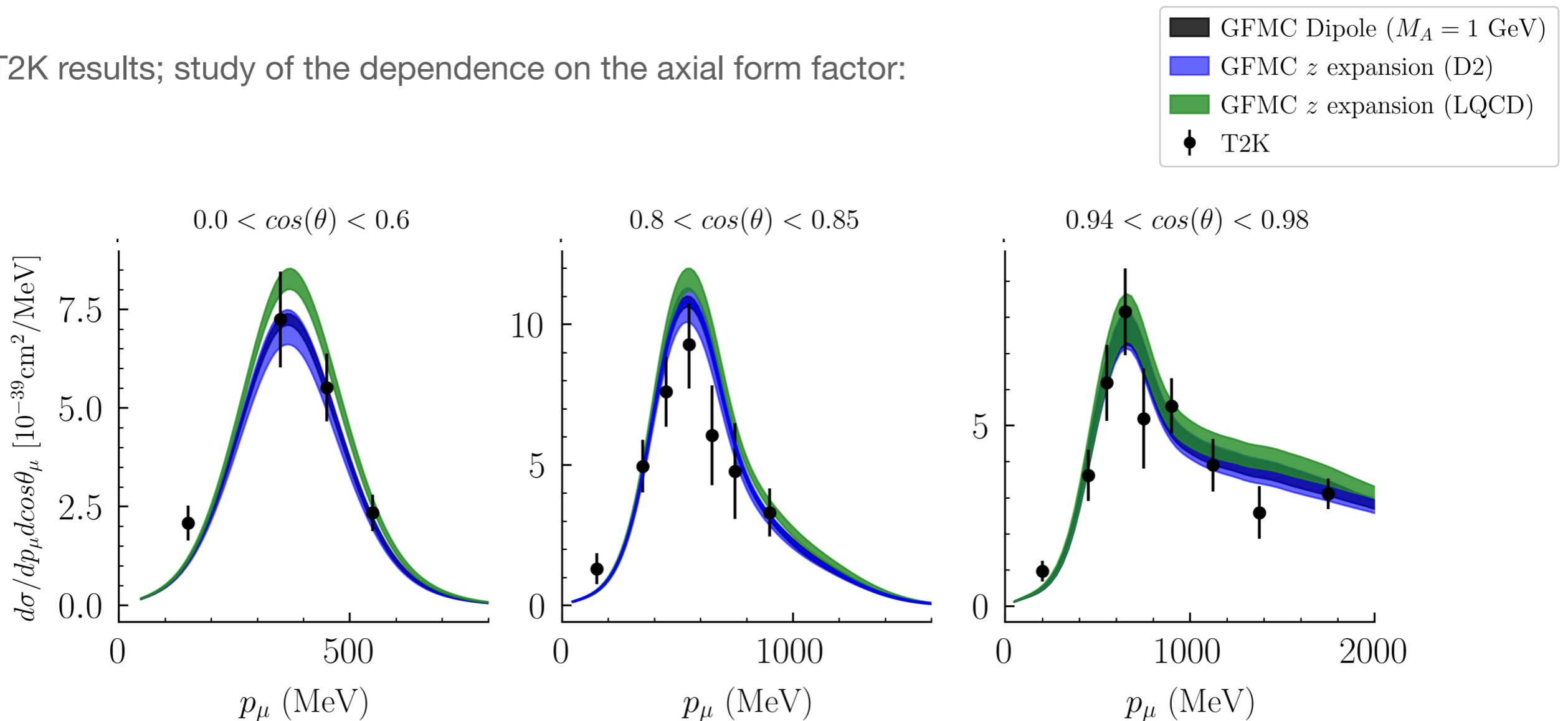


D.Simons, N. Steinberg et al, 2210.02455

MiniBooNE	$0.2 < \cos \theta_\mu < 0.3$	$0.5 < \cos \theta_\mu < 0.6$	$0.8 < \cos \theta_\mu < 0.9$
GFMC Difference in $d\sigma_{\text{peak}}$ (%)	18.6	17.1	12.2

# Study of model dependence in neutrino predictions

T2K results; study of the dependence on the axial form factor:



D.Simons, N. Steinberg et al, 2210.02455

T2K	$0.0 < \cos \theta_\mu < 0.6$	$0.80 < \cos \theta_\mu < 0.85$	$0.94 < \cos \theta_\mu < 0.98$
GFMC difference in $d\sigma_{\text{peak}}$ (%)	15.8	8.0	4.6

# Coupled Cluster Method

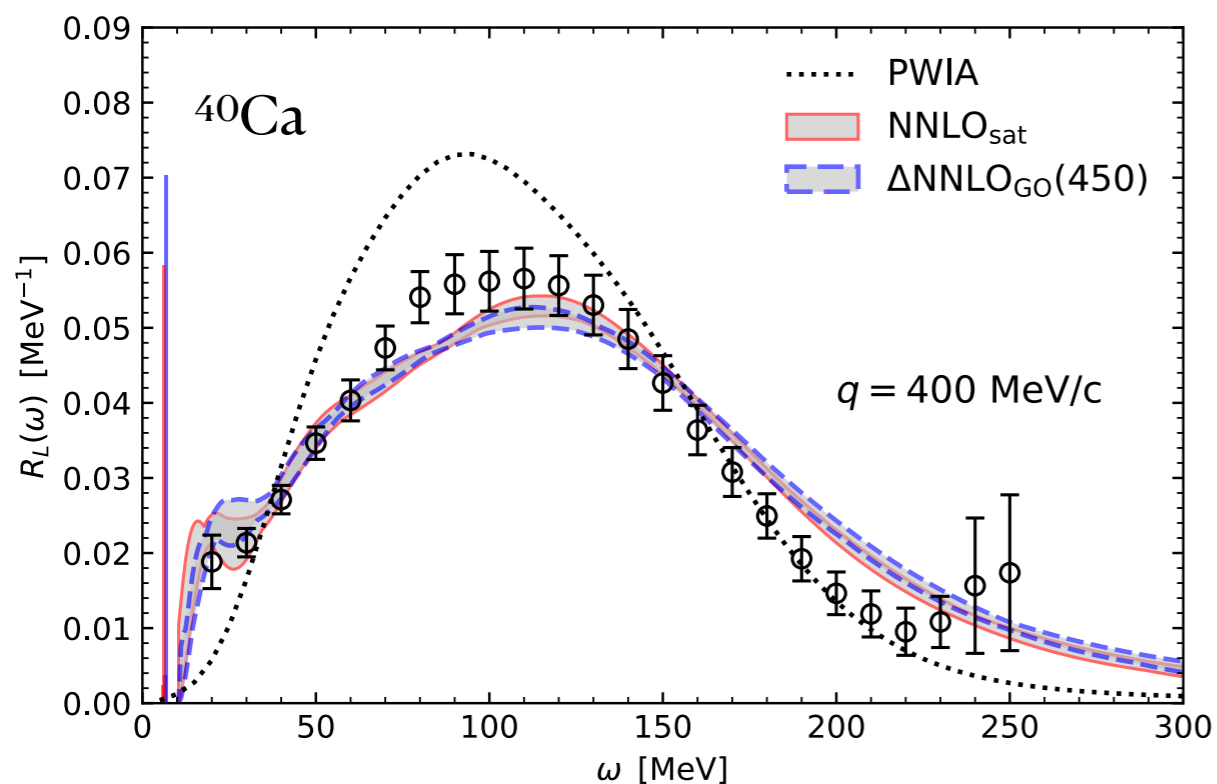
Reference state Hartree Fock:  $|\Psi\rangle$

Include correlations through  $e^T$  operator

Similarity transformed Hamiltonian  $e^{-T} H e^T |\Psi\rangle = \bar{H} |\Psi\rangle = E |\Psi\rangle$

Expansion in second quantization single + doubles:

$$T = \sum t_a^i a_a^\dagger a_i + \sum t_{ab}^{ij} a_a^\dagger a_b^\dagger a_i a_j + \dots$$



Polynomial scaling with the number of nucleons (predictions for  $^{132}\text{Sn}$  and  $^{208}\text{Pb}$ )

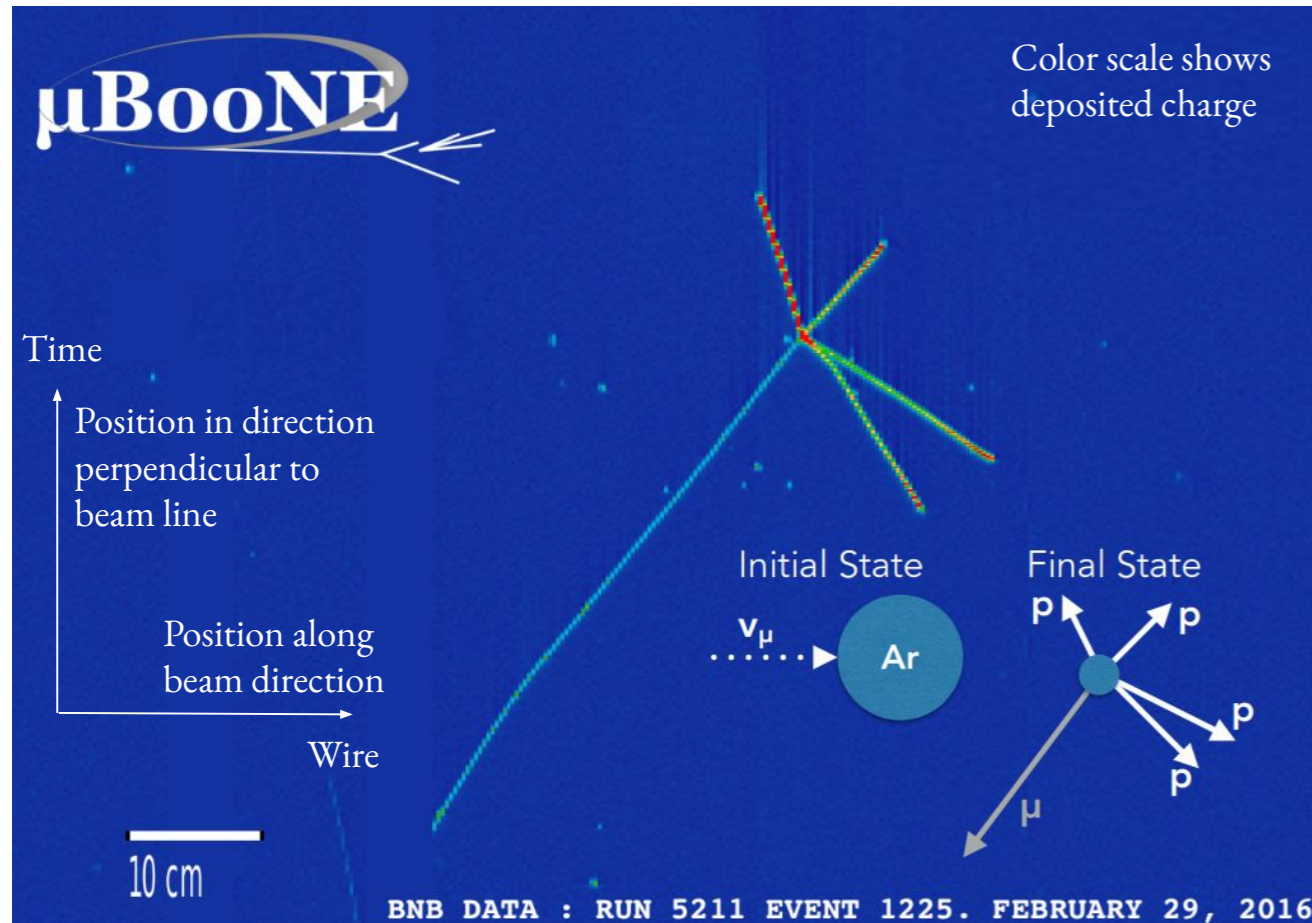
Electroweak response functions obtained using **LIT**

$$K_\Gamma(\omega, \sigma) = \frac{1}{\pi} \frac{\Gamma}{\Gamma^2 + (\omega - \sigma)^2}$$

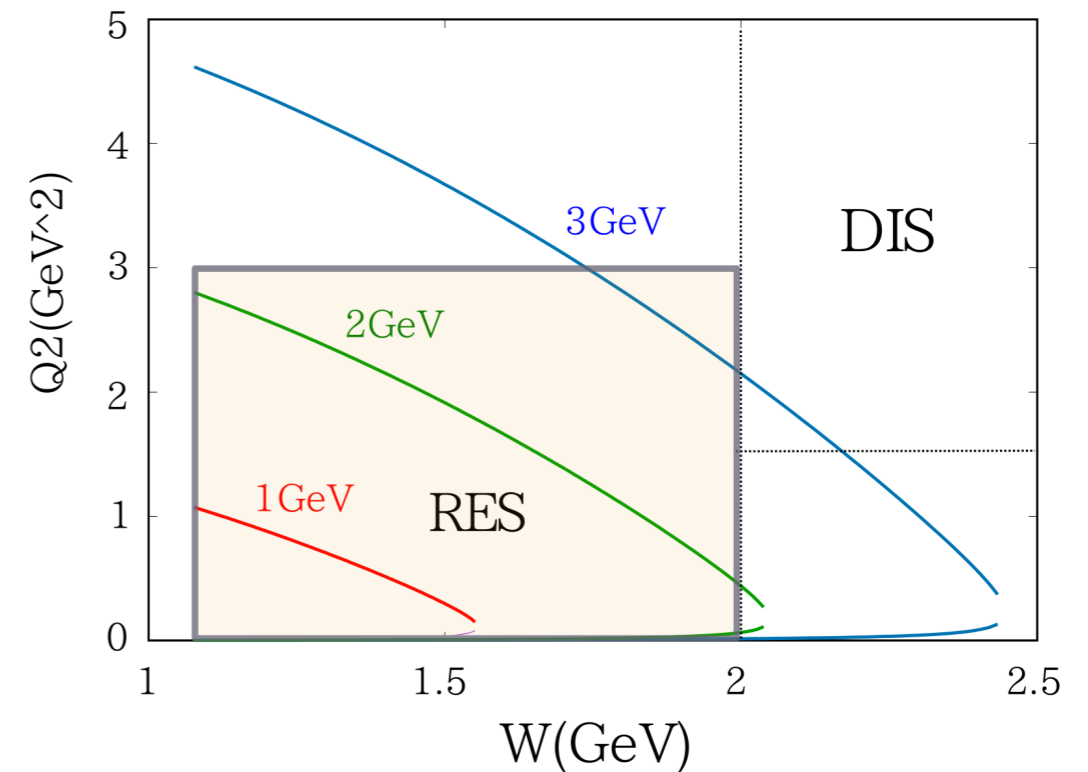
JES, B. Acharya, S. Bacca, G. Hagen; PRL 127 (2021) 7, 072501



# Address new experimental capabilities



T.Sato talks @ NuSTEC Workshop on Neutrino-Nucleus Pion Production in the Resonance Region



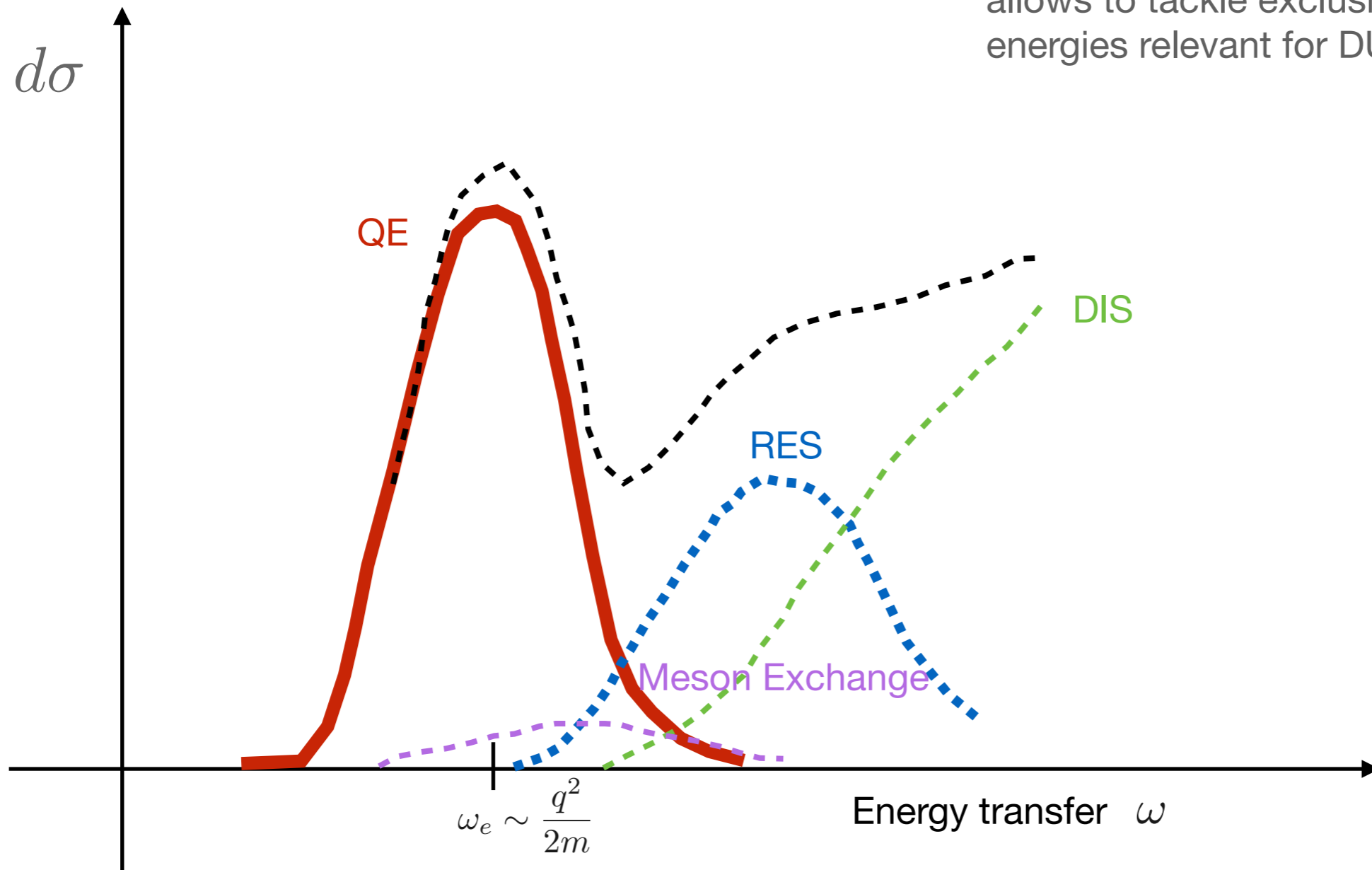
## A. Papadopoulou W&C seminar June 2023

- Excellent spatial resolution
- Precise calorimetric information
- Powerful particle identification

$$W = \sqrt{(p + q)^2}, Q^2 = -q^2 = -(p_\nu - p_l)^2$$

# Factorization Based Approaches

Factorization of the hadronic final states:  
allows to tackle exclusive channels + higher  
energies relevant for DUNE



# Short-Time Approximation

Response functions are given by the **scattering from pairs of fully interacting nucleons** that **propagate** into a **correlated pair** of nucleons

The sum over all final states is replaced by a two nucleon propagator

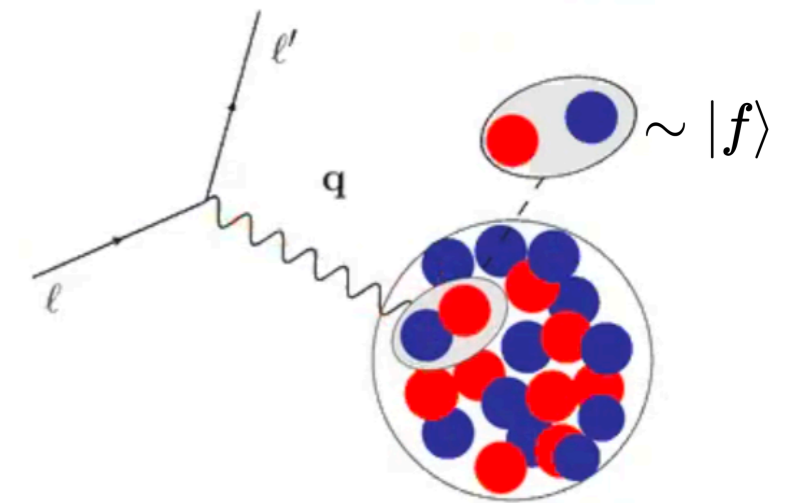
$$R_\alpha(q, \omega) = \int_{-\infty}^{\infty} \frac{dt}{2\pi} e^{i(\omega + E_i)t} \langle \Psi_i | O_\alpha^\dagger(\mathbf{q}) e^{-iHt} O_\alpha(\mathbf{q}) | \Psi_i \rangle$$

Provides “more” exclusive information in terms of nucleon-pair kinematics via the Response Densities as functions of (E,e)

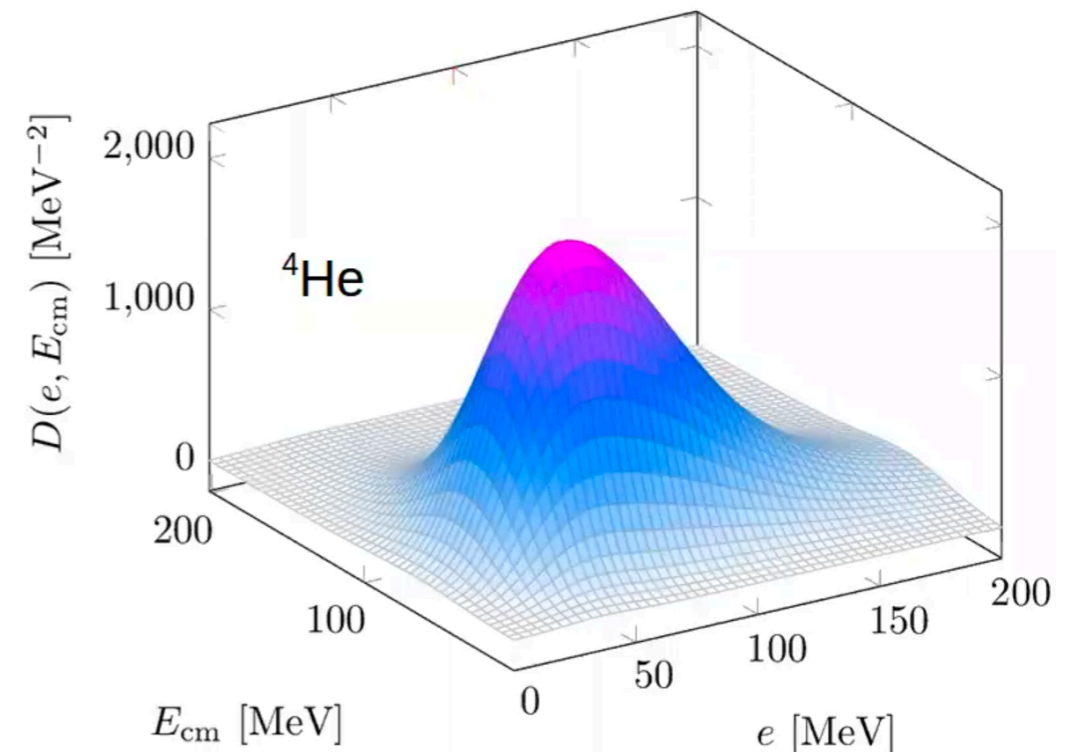
$$R^{\text{STA}}(q, \omega) \sim \int \delta(\omega + E_0 - E_f) de dE_{cm} \mathcal{D}(e, E_{cm}; q)$$

Pastore et al. PRC101(2020)044612

L. Andreoli, NR, et al. PRC 105, 014002 (2022)



Transverse Density  $q = 500 \text{ MeV}/c$



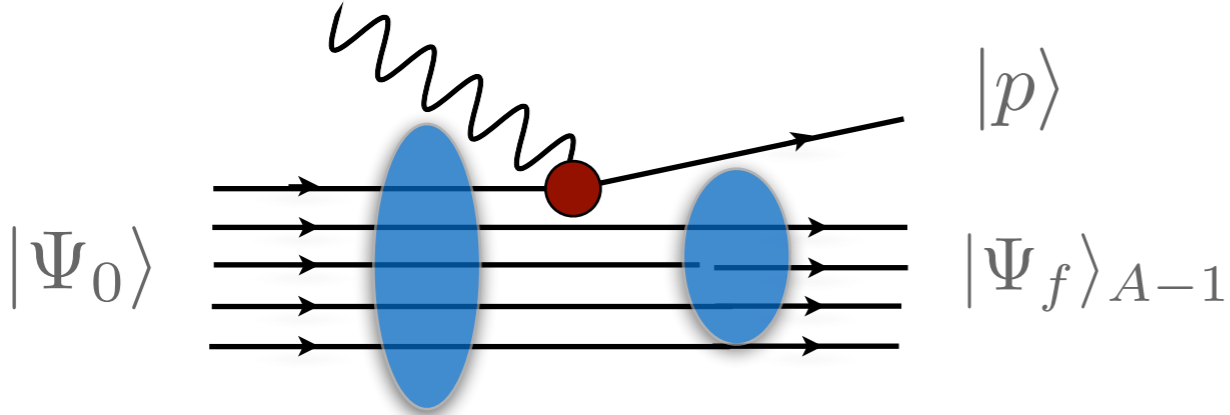
# Spectral function approach

At large momentum transfer, the scattering reduces to the sum of individual terms

$$J_\alpha = \sum_i j_\alpha^i \qquad |\Psi_f\rangle \rightarrow |p\rangle \otimes |\Psi_f\rangle_{A-1}$$

The incoherent contribution of the one-body response reads

$$R_{\alpha\beta} \simeq \int \frac{d^3k}{(2\pi)^3} dE P_h(\mathbf{k}, E) \sum_i \langle k | j_\alpha^{i\dagger} | k + q \rangle \langle k + q | j_\beta^i | k \rangle \delta(\omega + E - e(\mathbf{k} + \mathbf{q}))$$



The Spectral Function is the imaginary part of the two point Green's Function

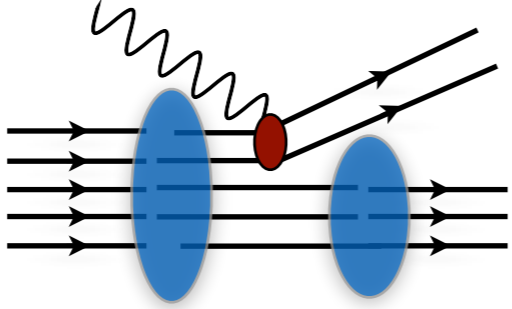
Different many-body methods can be adopted to determine it

I. Korover, et al Phys.Rev.C 107 (2023) 6, L061301  
 L. Andreoli, NR, et al. PRC 105, 014002 (2022)  
 NR, Frontiers in Phys. 8 (2020) 116

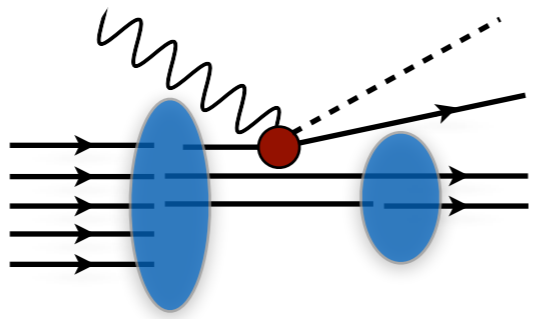


# Spectral function approach

$$|f\rangle \rightarrow |pp'\rangle_a \otimes |f_{A-2}\rangle$$



$$|f\rangle \rightarrow |p_\pi p\rangle \otimes |f_{A-1}\rangle$$



Production of real  $\pi$  in the final state

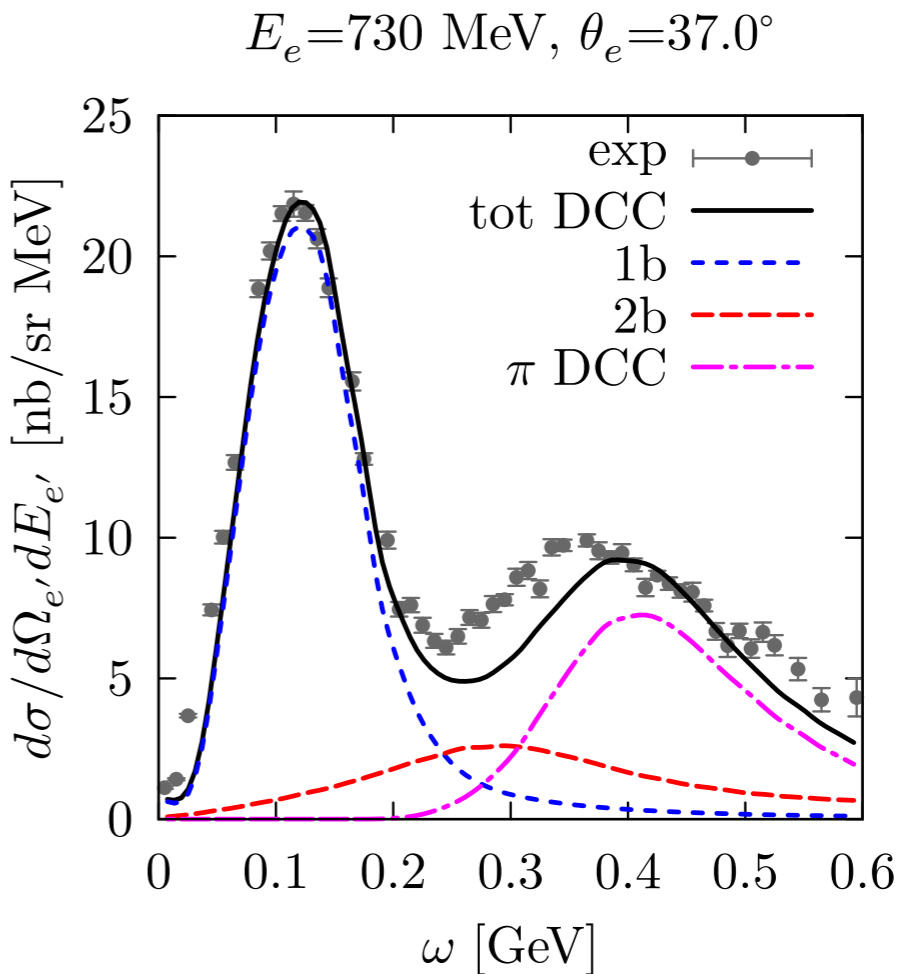
$$R_{1b\pi}^{\mu\nu}(\mathbf{q}, \omega) \propto \int dE d^3k P_{1b}(\mathbf{k}, E) \times d^3p d^3k_\pi |\langle k | j^\mu | p k_\pi \rangle|^2$$

\* Pion production elementary amplitudes currently derived within the extremely sophisticated **Dynamic Couple Chanel approach**;

S.X.Nakamura, et al PRD92(2015)  
T. Sato, et al PRC67(2003)

The hadronic tensor for two-body current factorizes as

$$R_{2b}^{\mu\nu}(\mathbf{q}, \omega) \propto \int dE d^3k d^3k' P_{2b}(\mathbf{k}, \mathbf{k}', E) \times d^3p d^3p' |\langle k k' | j_{2b}^\mu | p p' \rangle|^2$$

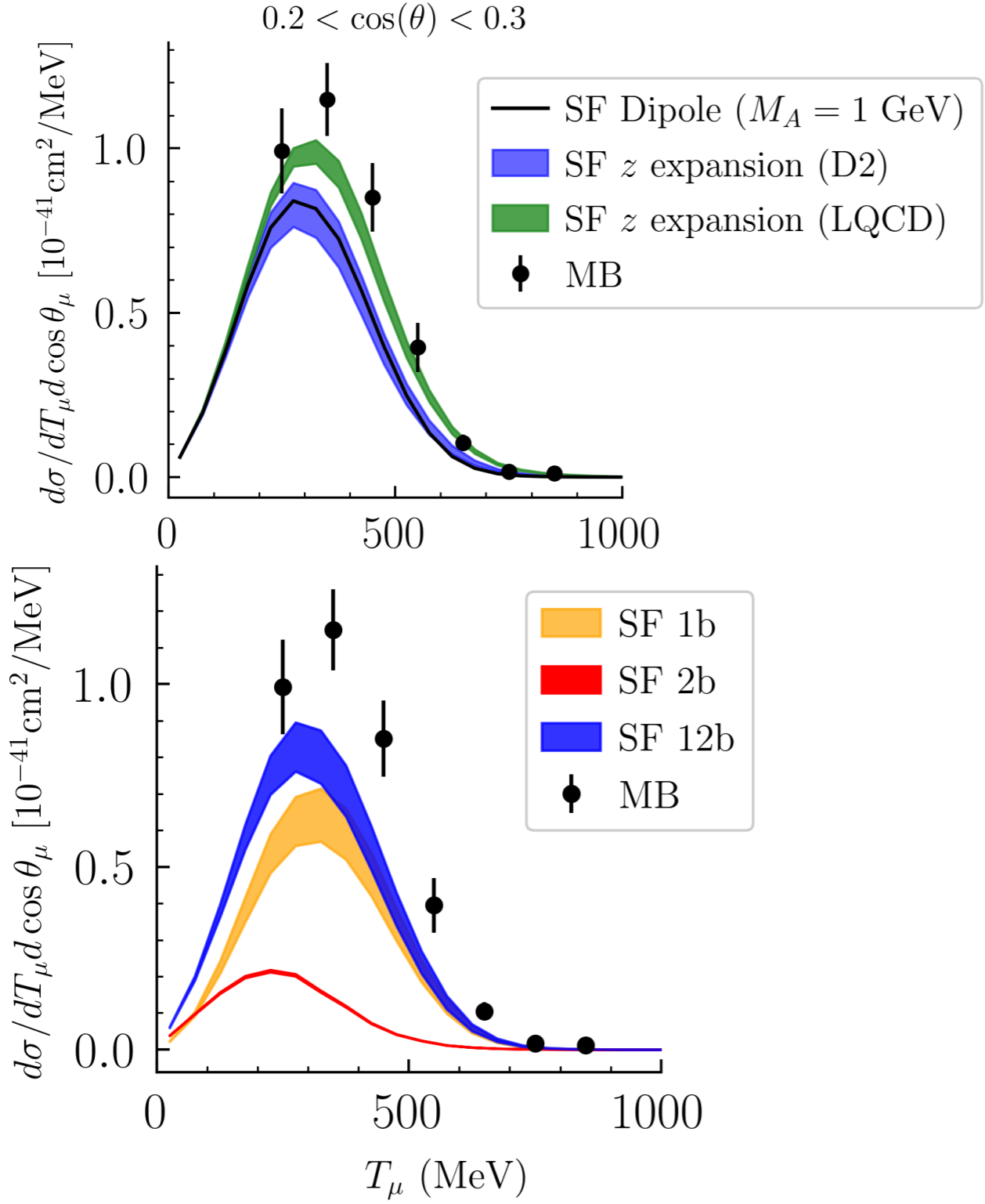


NR, Frontiers in Phys. 8 (2020) 116



# Axial Form Factors Uncertainty needs

D.Simons, N. Steinberg et al, 2210.02455



\* Axial form factor dependence:

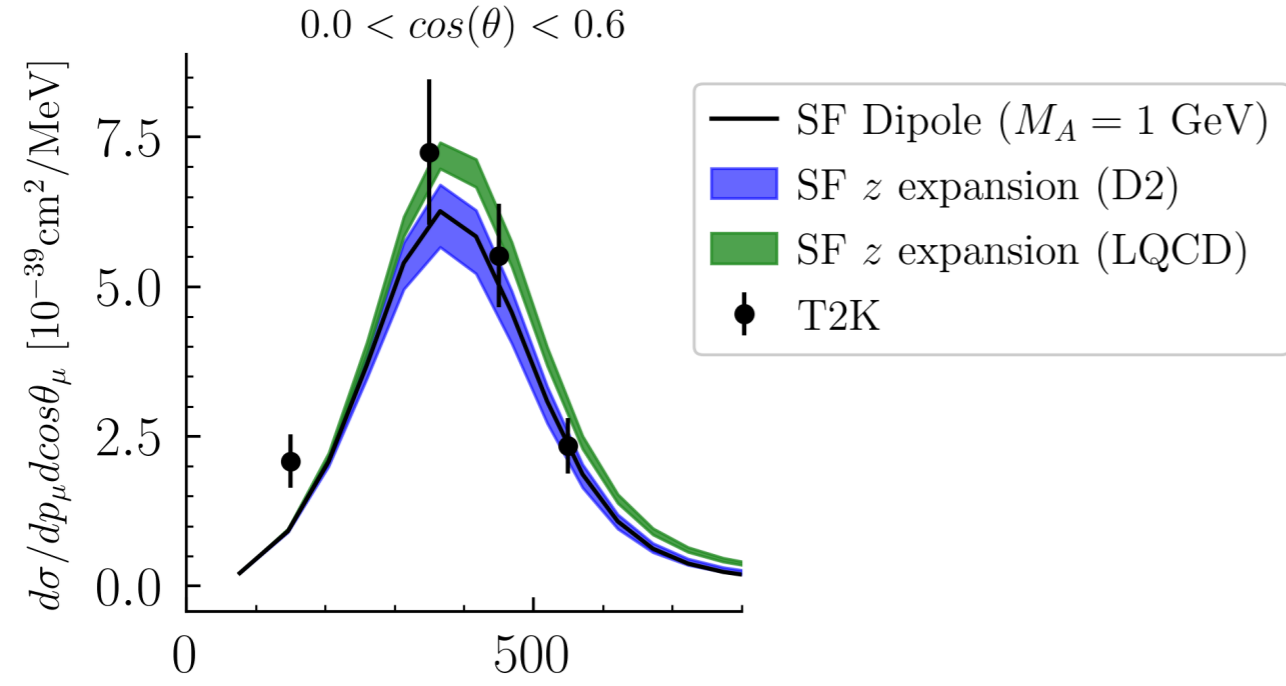
MiniBooNE	0.2 < cos θ <sub>μ</sub> < 0.3
SF Difference in $d\sigma_{\text{peak}}$ (%)	16.3

\* Many-body method dependence:

MiniBooNE	0.2 < cos θ <sub>μ</sub> < 0.3
GFMC/SF difference in $d\sigma_{\text{peak}}$ (%)	22.8

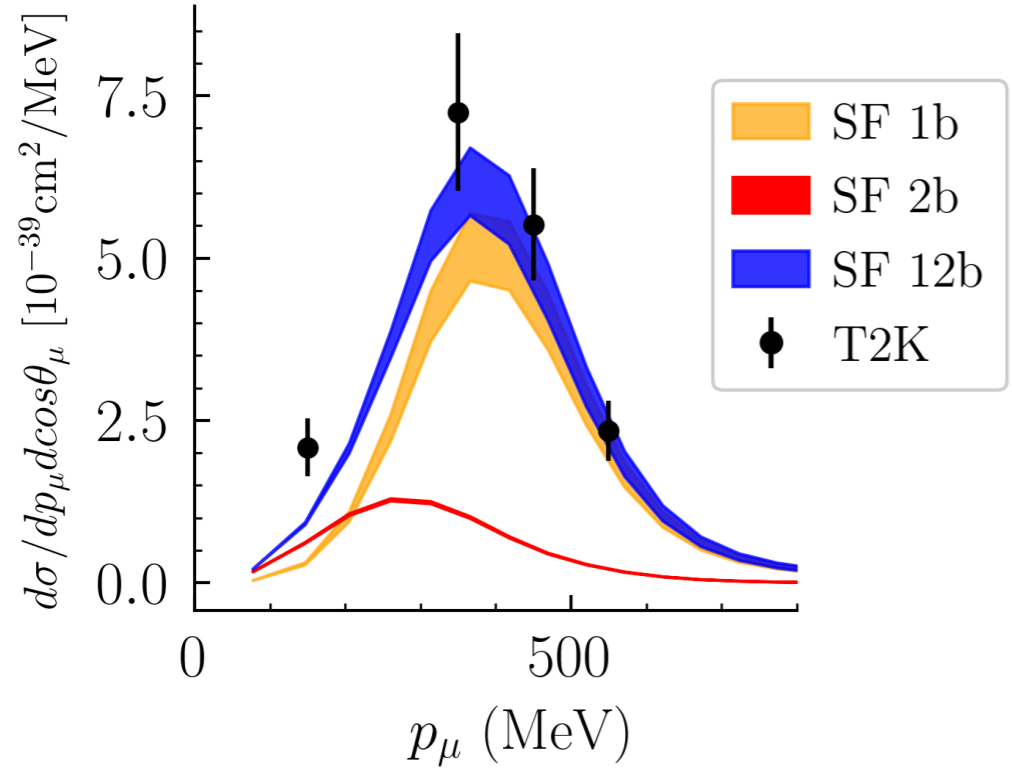
# Axial Form Factors Uncertainty needs

D.Simons, N. Steinberg et al, 2210.02455



\* Axial form factor dependence:

T2K	0.0 < $\cos\theta_\mu$ < 0.6
SF difference in $d\sigma_{\text{peak}}$ (%)	15.3

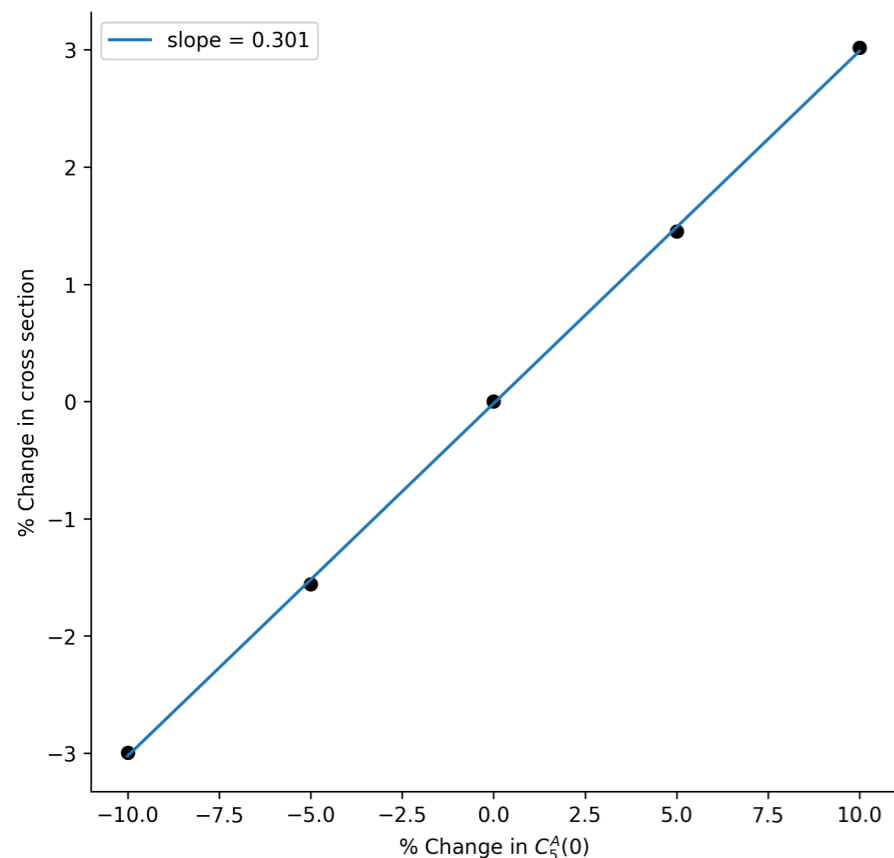


\* Many-body method dependence:

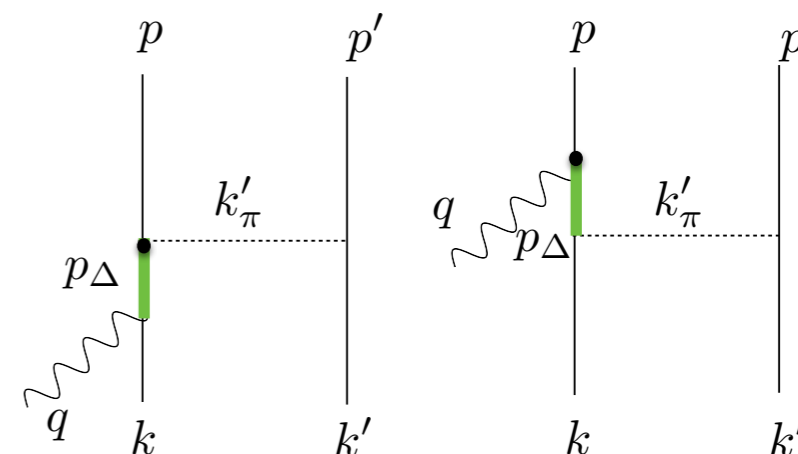
T2K	0.0 < $\cos\theta_\mu$ < 0.6
GFMC/SF difference in $d\sigma_{\text{peak}}$ (%)	13.4

# Resonance Uncertainty needs

The largest contributions to two-body currents arise from resonant  $N \rightarrow \Delta$  transitions yielding pion production



D.Simons, N. Steinberg et al, 2210.02455



The normalization of the dominant  $N \rightarrow \Delta$  transition form factor needs to be known to 3% precision to achieve 1% cross-section precision for MiniBooNE kinematics

State-of-the-art determinations of this form factor from experimental data on pion electroproduction achieve 10-15% precision (under some assumptions)

Hernandez et al, PRD 81 (2010)

Further constraints on  $N \rightarrow \Delta$  transition relevant for two-body currents and  $\pi$  production will be necessary to achieve few-percent cross-section precision



# Conclusions

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- \* Assessing the overall uncertainty of theory calculations requires evaluating uncertainties:

Nuclear Hamiltonians: different efforts in place to provide UQ in chiral EFT

Form factors: one- and two-body currents, resonance/ $\pi$  production

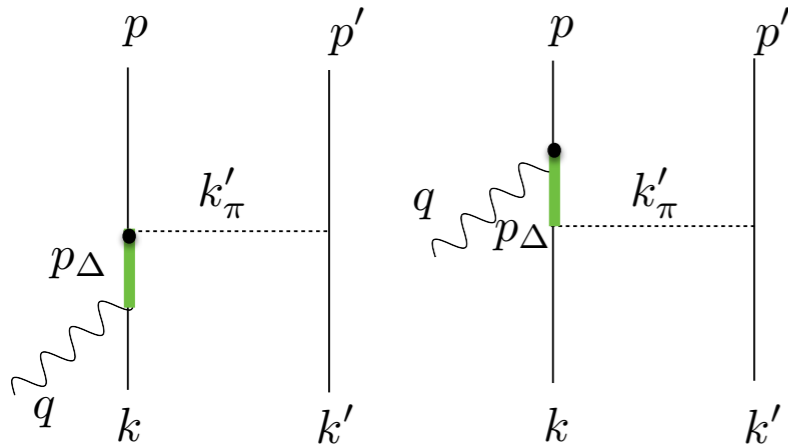
Error of factorizing the hard interaction vertex / using a non relativistic approach

- \* Address neutrino precision goals requires studying relations between cross section uncertainties and input parameter uncertainties
- \* Additional constraints on few-nucleon inputs from experiment and lattice QCD will be crucial
- \* Factorized approaches ideally suited to incorporate elementary amplitudes - nucleon hadron tensor

Thank you for your attention!

# Delta contribution to MEC

Diagrams including the Delta current depend on many parameters.



$$\begin{aligned}
 (j_a^\mu)_A = & (k'_\pi)^\alpha G_{\alpha\beta}(p_\Delta) \left[ \frac{C_3^A}{m_N} (g^{\beta\mu} \not{q} - q^\beta \gamma^\mu) \right. \\
 & + \frac{C_4^A}{m_N^2} (g^{\beta\mu} q \cdot p_\Delta - q^\beta p_\Delta^\mu) \\
 & \left. + C_5^A g^{\beta\mu} + \frac{C_6^A}{m_N^2} q^\mu q^\alpha \right],
 \end{aligned}$$

Parametrization chosen for the vector ff:

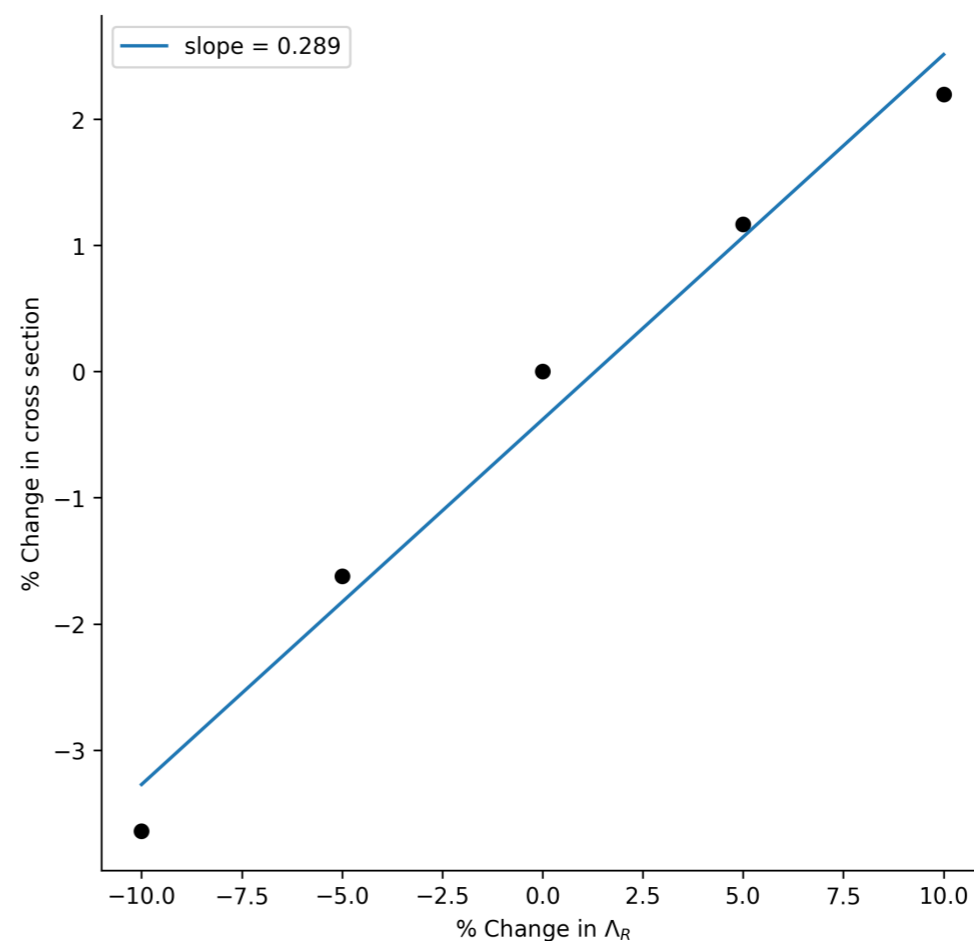
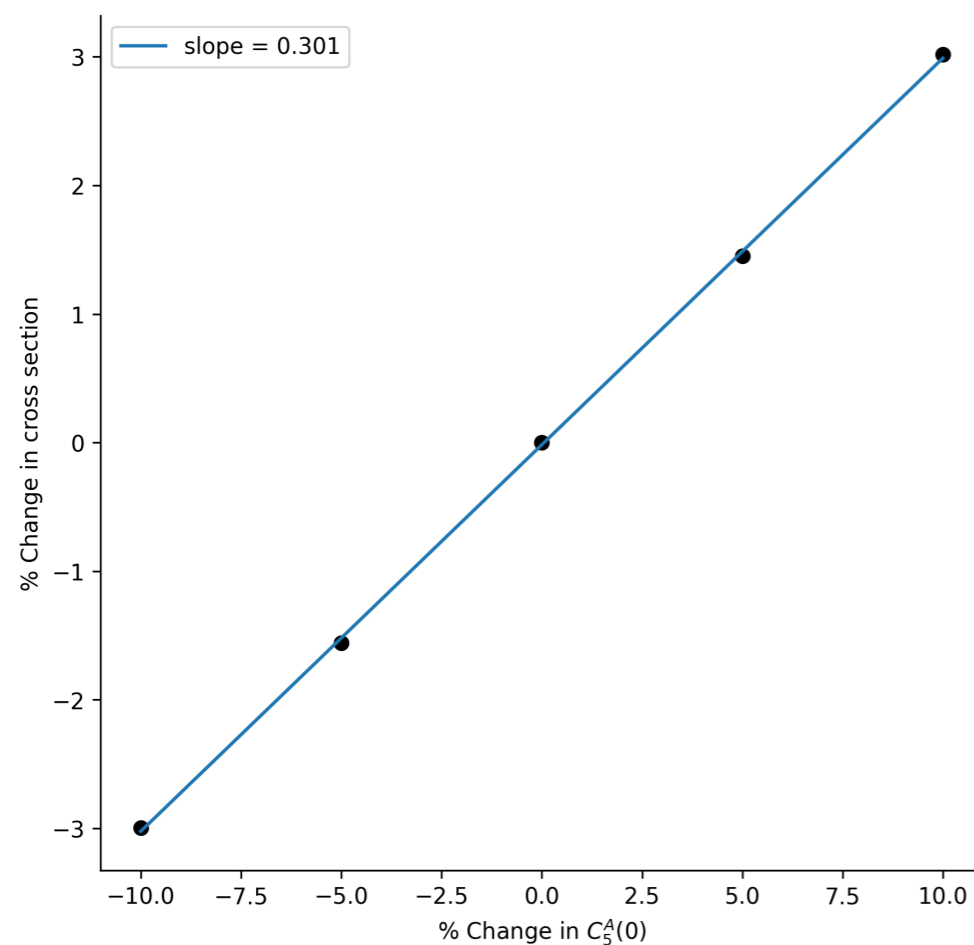
$$C_5^A = \frac{1.2}{(1 - q^2/M_{A\Delta})^2} \times \frac{1}{1 - q^2/(3M_{A\Delta})^2},$$

Current extractions of  $C_5^A(0)$  rely on single pion production data from deuterium bubble chamber experiments; estimated uncertainty  $\sim 15\%$

$$\text{Delta decay width: } \Gamma(p_\Delta) = \frac{(4f_{\pi N\Delta})^2}{12\pi m_\pi^2} \frac{|\mathbf{d}|^3}{\sqrt{s}} (m_N + E_d) R(\mathbf{r}^2) \qquad R(\mathbf{r}^2) = \left( \frac{\Lambda_R^2}{\Lambda_R^2 - \mathbf{r}^2} \right)$$

# Study of model dependence in neutrino predictions

Percent change in the MiniBooNE cross section versus the percent change in the two-body current parameters for  $0.5 < \cos \theta_\mu < 0.6$ ,  $T_\mu = 325$  MeV

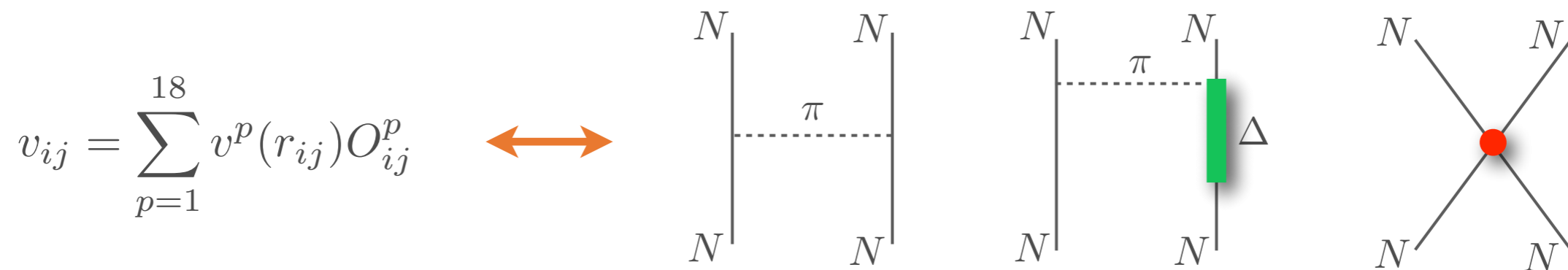


A 15% variation in either  $C_5^A(0)$  or  $\Lambda_R$  changes the flux-averaged cross section by roughly 5%

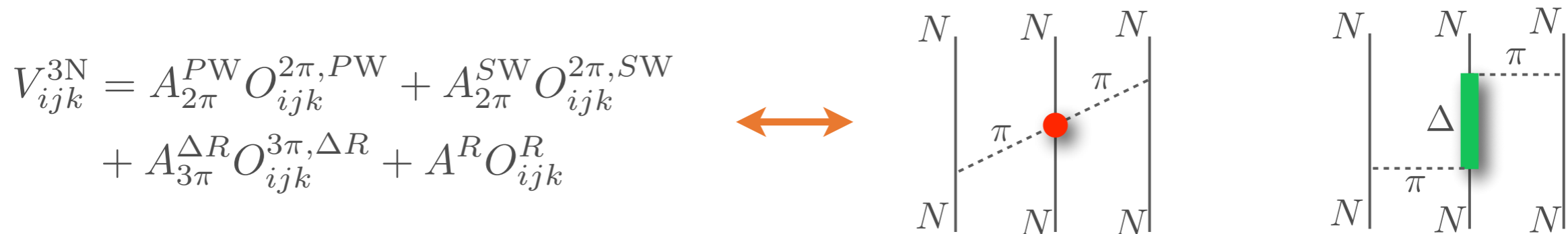
# Phenomenological potential: av18 + IL7

Phenomenological potentials explicitly include the **long-range one-pion exchange interaction** and a set of **intermediate- and short-range phenomenological terms**

- **Argonne v<sub>18</sub>** is a finite, local, configuration-space potential controlled by ~4300 np and pp scattering data below 350 MeV of the Nijmegen database



- Phenomenological three-nucleon interactions, like the **Illinois 7**, effectively include the lowest nucleon excitation, the  $\Delta(1232)$  resonance, and other nuclear effects



The parameters of the AV18 + IL7 are fit to properties of **exactly solvable light nuclear systems**.

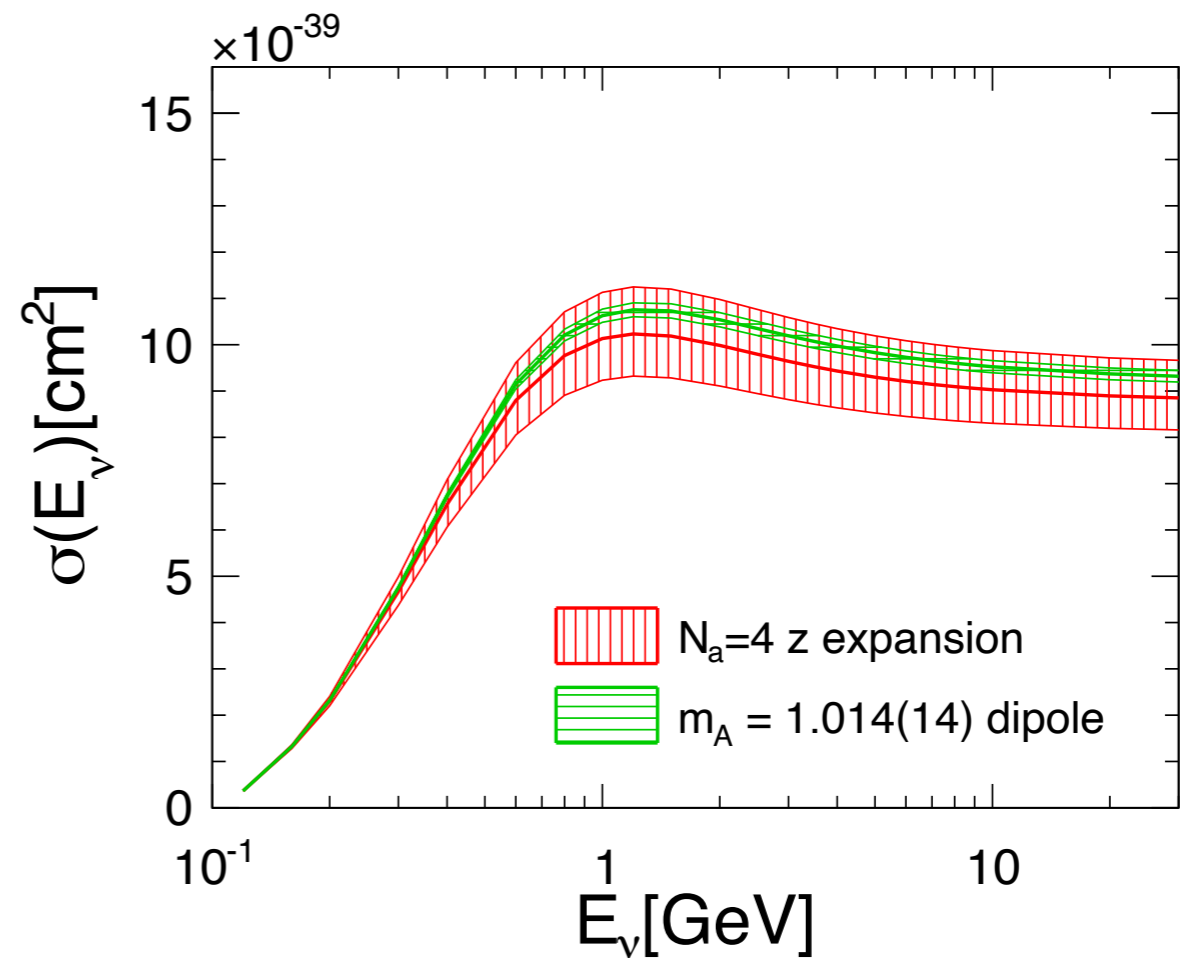
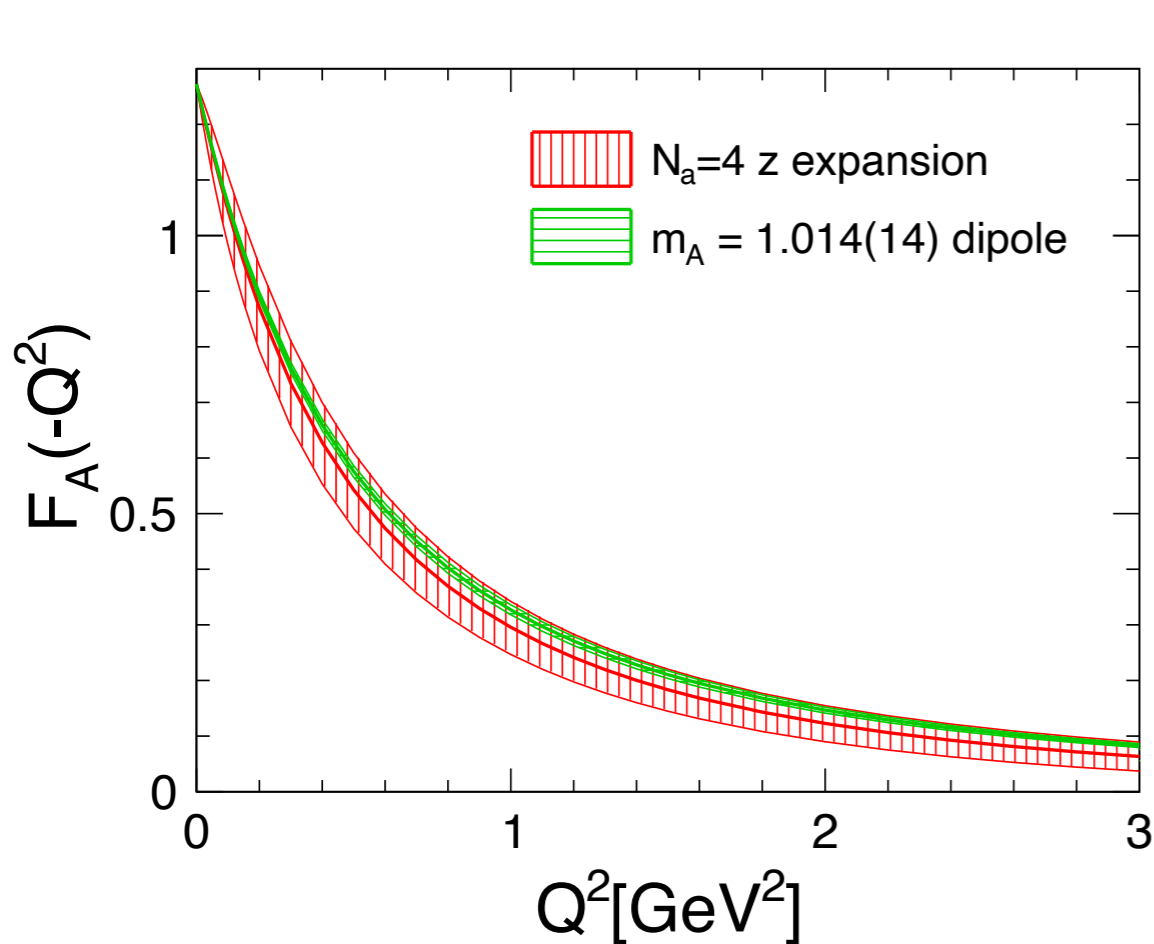


# Neutrino-Nucleon scattering

- Sum rule can be enforced ensuring that the form factor falls smoothly to zero at large  $Q^2$

$$\sum_{k=n}^{\infty} k(k-1)\cdots(k-n+1)a_k = 0, \quad n = 0, 1, 2, 3$$

Fit deuteron data replacing dipole axial form factor with z-expansion, enforce the sum rule constraints



A.S.Meyer, Phys.Rev.D 93 (2016) 11, 113015