# Lattice QCD input for neutrino-Z scattering 

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- USQCD Community white paper:

Lattice QCD and Neutrino-Nucleus Scattering, Eur.Phys.J.A 55 (2019) 11, 196

- Snowmass 2021 White Paper

Theoretical tools for neutrino scattering: interplay between lattice QCD, EFTs, nuclear physics, phenomenology, and neutrino event generators. e-Print: 2203.09030 [hep-ph]

## Outline:

- What LQCD can provide for v-nucleus oscillation experiments
- Axial and vector form factors of nucleons [nuclei are much more challenging]
- Nuclear corrections $(p, n) \rightarrow\left(C^{12}, O^{16}, A r^{40}\right)$
- Challenges to the calculations of nucleon matrix elements
- Signal-to-noise falls as $e^{-\left(M_{N}-1.5 M_{\pi}\right) \tau}$
- Excited states in nucleon correlation functions
- Extrapolation in $\left\{a, M_{\pi}, M_{\pi} L\right\}$
- FF must satisfy PCAC
- What we learned from $\left\langle N\left(p_{f}\right)\right| A_{4}(q)\left|N\left(p_{i}\right)\right\rangle$
- Towers of $N \pi, N \pi \pi$, states contribute to axial and PS correlators
- Comparison of published results for $g_{A}, G_{A}$
- Comparison with MINERvA and $v-D$ analyses
- Summary of unpublished results for $g_{A}, G_{A}$
- Transition matrix elements; Results for $G_{E}, G_{M}$
- Future



## $v$ energy-range covers complex physics



- Neutrino energy and flux not known precisely
- Dynamics of struck Argon nucleus is too complex to simulate directly and connect to final states seen in the detectors



## Ultimate Goal: Inputs for DUNE

Two matrix elements for $v-{ }^{40} \operatorname{Ar}$ scattering.

$$
\begin{aligned}
& \left.\left.\langle X| A_{\mu}(q)\right|^{40} A r\right\rangle \\
& \left.\left.\langle X| V_{\mu}(q)\right|^{40} A r\right\rangle
\end{aligned}
$$

Building blocks for different energy regions: starting with nucleons
$\langle p| J_{\mu}^{w}(q)|n\rangle$
Quasi-elastic
$\langle n \pi| J_{\mu}^{w}(q)|n\rangle,\langle\Delta| J_{\mu}^{w}(q)|n\rangle$
$\langle\mathrm{X}| J_{\mu}^{w}(q)|n\rangle$

## Including nuclear effects in complex nuclear targets

Nuclear many body Hamiltonian takes as input matrix elements involving successively more multi-particles

- One nucleon $\langle p| J_{\mu}^{+}(q)|n\rangle$
- Transition $\langle n \pi| J_{\mu}^{w}(q)|n\rangle,\langle\Delta| J_{\mu}^{w}(q)|n\rangle$
- Two nucleon $\langle n p| J_{\mu}^{w+}(q)|n n\rangle$


## Discussed by Noemi Rocco

## The $v$-n differential cross-section:

$$
\begin{aligned}
& \frac{d \sigma}{d Q^{2}}\binom{\nu_{l}+n \rightarrow l^{-}+p}{\overline{\nu_{l}}+p \rightarrow l^{+}+n} \\
& =\frac{M^{2} G_{F}{ }^{2} \cos ^{2} \theta_{c}}{8 \pi E_{\nu}{ }^{2}}\left\{A\left(Q^{2}\right) \pm B\left(Q^{2}\right) \frac{(s-u)}{M^{2}}+C\left(Q^{2}\right) \frac{(s-u)^{2}}{M^{4}}\right\} \text {, } \\
& A\left(Q^{2}\right)=\frac{\left(m^{2}+Q^{2}\right)}{M^{2}}\left[(1+\tau) F_{A}^{2}-(1-\tau) F_{1}^{2}+\tau(1-\tau) F_{2}^{2}+4 \tau F_{1} F_{2}\right. \\
& \left.-\frac{m^{2}}{4 M^{2}}\left(\left(F_{1}+F_{2}\right)^{2}+\left(F_{A}+2 F_{P}\right)^{2}-4\left(1+\frac{Q^{2}}{4 M^{2}}\right) F_{P}^{2}\right)\right], \\
& B\left(Q^{2}\right)=\frac{Q^{2}}{M^{2}} F_{A}\left(F_{1}+F_{2}\right), \\
& C\left(Q^{2}\right)=\frac{1}{4}\left(F_{A}^{2}+F_{1}^{2}+\tau F_{2}^{2}\right) . \\
& \left\langle N A_{\mu} N\right\rangle \rightarrow \text { linear combination of } F_{A}, \widetilde{F}_{P} \\
& \left\langle N V_{\mu} N\right\rangle \rightarrow G_{E}, G_{M} \\
& F_{A}=\text { axial form factor } \\
& G_{E}=F_{1}-\tau F_{2} \text { Electric } \\
& G_{M}=F_{1}+F_{2} \text { Magnetic } \\
& \tau=Q^{2} / 4 M^{2} \\
& M=M_{p}=939 \mathrm{MeV} \\
& m=\text { mass of the lepton }
\end{aligned}
$$

## Analysis of $(e, \mu, v)-n$ scattering involves 5 Form Factors \& 3 charges $g_{A}, \mu, g_{p}^{*}$

- $G_{E}\left(Q^{2}\right) \quad$ Electric
- $G_{M}\left(Q^{2}\right) \quad$ Magnetic
- $G_{A}\left(Q^{2}\right)$ Axial
- $\tilde{G}_{P}\left(Q^{2}\right) \quad$ Induced pseudoscalar
- $G_{P}\left(Q^{2}\right) \quad$ Pseudoscalar (extracted from $\langle N P N\rangle \rightarrow G_{P}$ )
- Lattice methodology is common: all calculated at the same time
- Precise experimental data exist for $G_{E}\left(Q^{2}\right)$ and $G_{M}\left(Q^{2}\right)$
- Axial ward identity (PCAC) relates $G_{A}\left(Q^{2}\right), \tilde{G}_{P}\left(Q^{2}\right), G_{P}\left(Q^{2}\right)$
- $G_{E}\left(Q^{2}=0\right) \quad=1$
- $G_{M}\left(Q^{2}=0\right) \quad=\boldsymbol{\mu}=4.7058 \quad$ Magnetic moment
- $G_{A}\left(Q^{2}=0\right) \quad=g_{A}=1.276(2) \quad$ Axial charge
- $\tilde{G}_{P}\left(Q^{2}=0.88 m_{\mu}^{2}\right)=g_{p}^{*}=8.06(55) \quad$ Induced pseudoscalar charge

Conserved vector charge

## Lattice QCD gives us

## 2-point function

 $\longleftarrow \tau$

$$
\begin{aligned}
& \langle\Omega|{\widehat{N_{\tau}}}^{\dagger} \widehat{N}_{0}|\Omega\rangle \\
& \Gamma^{2 p t}(\tau)=\sum_{i}\left|A_{i}\right|^{2} e^{-E_{i} \tau}
\end{aligned}
$$

$\langle\Omega| \widehat{N}_{\tau}^{\dagger} O(t) \widehat{N}_{0}|\Omega\rangle$ $\Gamma_{O}^{3 p t}(t, \tau)=\sum_{i, j} A_{i}^{*} A_{j}\langle i| O|j\rangle e^{-E_{i} t-E_{j}(\tau-t)}$


Connected

## Calculations of nucleon 2,3-point functions using LQCD are mature

Spectrum (energies $E_{i}$, amplitudes $A_{i}$ ) and ME are extracted from fits to the spectral decomposition of 2- and 3-point functions

$$
\begin{aligned}
\Gamma^{2 p t}(\tau) & =\sum_{i}\left|A_{i}\right|^{2} e^{-E_{i} \tau} \\
\Gamma_{O}^{3 p t}(t, \tau)= & \sum_{i, j} A_{i}^{*} A_{j}\langle i| O|j\rangle e^{-E_{i} t-E_{j}(\tau-t)} \\
& \text { Extract }\langle 0| O|0\rangle
\end{aligned}
$$



Radial excited States:
$\mathrm{N}(1440), \mathrm{N}(1710)$
Towers of multihadrons states

$$
N(\vec{k}) \pi(-\vec{k}) \quad>1200 \mathrm{MeV}
$$

$N(0) \pi(\vec{k}) \pi(-\vec{k})>1200 \mathrm{MeV}$
but removing ESC from multihadron states remains a challenge

## Challenges

- Need large $\tau$ to "kill" states with small mass gap ( $\Delta M \sim 300$ )
- Cannot go to large enough $\tau$ because the signal/noise degrades as $e^{-\left(M_{N}-1.5 M_{\pi}\right) \tau}$
- Signal: 2-pt: $\tau \sim 2 \mathrm{fm}$; 3-pt: $\tau \sim 1.5 \mathrm{fm}$
- Typical interpolating operator $\widehat{N}$ couples to the nucleon, its excitations and multi-hadron states with the same quantum numbers

- As $\vec{q} \rightarrow 0$, the towers of physical $N \pi, N \pi \pi$, states become arbitrarily dense above $\sim 1230 \mathrm{MeV}$ (the $\Delta$ region)
- Quantities impacted by $N \pi$, $N \pi \pi$, states should be analyzed on $M_{\pi} \precsim 200 \mathrm{MeV}$ ensembles
- Excited states that give significant contribution to a particular ME are not known a priori. $\chi$ PT is a very useful guide
- The potential of variational methods for isolating the ground state is just starting to be realized!


## $\chi \mathrm{PT}$ and excited states



- Corrections from pion loops are in all matrix elements
- Loops that originate or end at sources are ESC. These can be removed by a perfect source.
- Loops that originate on the nucleon line give rise to both: corrections to the physical result and excited state contributions (from pion going on-shell in Minkowski)
- The latter are suppressed exponentially by the mass gap
- Unless there are large cancellations, both should be considered in (i) removing excited state contamination in getting the data, and in (ii) the final chiral fits to the data


## Extract Axial-vector Form Factors, $G_{A}, \widetilde{G}_{P}, G_{P}$



3-point functions $\rightarrow$ ground state matrix elements $\rightarrow$ Form factors

$$
\begin{gathered}
\left\langle N\left(p_{f}\right)\right| A^{\mu}(q)\left|N\left(p_{i}\right)\right\rangle=\bar{u}\left(p_{f}\right)\left[\gamma^{\mu} G_{A}\left(q^{2}\right)+q_{\mu} \frac{\tilde{G}_{P}\left(q^{2}\right)}{2 M}\right] \gamma_{5} u\left(p_{i}\right) \\
\left\langle N\left(p_{f}\right)\right| P(q)\left|N\left(p_{i}\right)\right\rangle=\bar{u}\left(p_{f}\right) G_{P}\left(q^{2}\right) \gamma_{5} u\left(p_{i}\right) \\
\text { PCAC }\left[\partial_{\mu} A_{\mu}=2 m P\right] \text { relates } G_{A}, \tilde{G}_{P}, G_{P}
\end{gathered}
$$

## Constraints once FF are extracted from ground state matrix elements

1) $\operatorname{PCAC}\left(\partial_{u} A_{u}=2 \widehat{m} \mathrm{P}\right)$ requires

$$
2 \widehat{m} G_{P}\left(Q^{2}\right)=2 M_{N} G_{A}\left(Q^{2}\right)-\frac{Q^{2}}{2 M_{N}} \tilde{G}_{P}\left(Q^{2}\right)
$$

2) In any [nucleon] ground state

$$
\partial_{4} A_{4}=\left(E_{q}-M_{0}\right) A_{4}
$$

3) $G_{A}, \tilde{G}_{P}$ extracted from $\quad\left\langle N\left(p_{f}\right)\right| A_{i}(q)\left|N\left(p_{i}\right)\right\rangle$ must be consistent with $\left\langle N\left(p_{f}\right)\right| A_{4}(q)\left|N\left(p_{i}\right)\right\rangle$

Decomposition of ground state matrix elements: $\left\langle N_{\tau} A_{\mu}(t) N_{0}\right\rangle$ provides an over-determined set

Choosing " 3 " the direction of spin projection

$$
\begin{aligned}
& \left\langle N\left(p_{f}\right)\right| A_{1,2}(q)\left|N\left(p_{i}\right)\right\rangle \rightarrow-\frac{q_{1,2} q_{3}}{2 M} \tilde{G}_{P} \\
& \left.\left\langle N\left(p_{f}\right)\right| A_{3}(q)\left|N\left(p_{i}\right)\right\rangle \rightarrow-\left[\frac{q_{3}^{2}}{2 M} \tilde{G}_{P}-(M+E) G_{A}\right]\right] \begin{array}{l}
\text { Gives both } \\
G_{A}, \tilde{G}_{P}
\end{array} \\
& \left\langle N\left(p_{f}\right)\right| A_{4}(q)\left|N\left(p_{i}\right)\right\rangle \rightarrow-q_{3}\left[\frac{E-M}{2 M} \tilde{G}_{P}-G_{A}\right] \quad \begin{array}{l}
\text { Redundant. } \\
\text { Dominated by } \\
\text { excited states }
\end{array}
\end{aligned}
$$

$\chi P T: N \pi$ state coupling large in the axial channel


Enhanced coupling to $N \pi$ state: Since the pion is light, the vertex can be anywhere in the lattice 3-volume


## $\left\langle N_{\tau} A_{4}(t) N_{0}\right\rangle$ has large ESC Fits with $N \pi$ state preferred



## Data driven evidence for $N \pi$ state. Including $\boldsymbol{N} \boldsymbol{\pi}$ state also addressed PCAC

## $2017 \rightarrow 2019$ : Resolution of PCAC and PPD

Gupta et al, PhysRevD.96.114503 $\rightarrow$ Jang et al, PRL 124 (2020) 072002

On including low mass $N_{p=0} \pi_{p}$ and $N_{p} \pi_{-p}$ excited states neglected in previous works, FF satisfy PCAC and PPD at $\sim 5 \%$
$\begin{array}{cc}\widehat{m} G_{P} \\ M_{N} G_{A}\end{array}+\frac{Q^{2} \tilde{G}_{P}}{4 M_{N}^{2} G_{A}}=1$
-Also see RQCD Collaboration: JHEP 05 (2020) 126, 1911.13150

## $N \pi$ state in the axial channel



Mass gaps extracted from fits match the above picture

$\Delta M_{1}^{A 4}$ and $\Delta E_{1}^{A 4}$ are outputs of 2state fits and not driven by priors

## How large is the " $N \pi$ " effect?

Output of a simultaneous fit to $\left\langle A_{i}\right\rangle,\left\langle A_{4}\right\rangle,\langle P\rangle$ (called $\left\{4^{N \pi}, 2^{\text {sim }}\right\}$ fit) increases the form factors by:

$$
-\left[\begin{array}{rl}
G_{A} & \sim 5 \% \\
\tilde{G}_{P} & \sim 35 \% \\
G_{P} & \sim 35 \%
\end{array}\right.
$$



Standard 3-state fit to $\langle P\rangle$


Simultaneous 2-state to $\left\langle A_{i}\right\rangle,\left\langle A_{4}\right\rangle,\langle P\rangle$ correlators

## Essential steps in the analysis

- Remove ESC from correlation functions
- Decompose into form factors to get $G\left(Q^{2}\right)$ on each ensemble
- Parameterize this $G\left(Q^{2}\right)$
- Perform CCFV extrapolation to get $G\left(Q^{2}\right)$ in the continuum
- Parameterize this $\left.G\left(Q^{2}\right)\right|_{\text {cont }}$


## Model averaging should include model choices at each step that have significant effect on result

If ESC is the largest systematic and fits do not select between $\left\{A_{i}, E_{i}\right\}$


- 2-state fit: Model average different $E_{1}$
- 3-state fit: Model average over $\left\{E_{1}, E_{2}\right\}$


## Consistency in the extraction of $g_{A}$

- $g_{A}$ from forward ME versus $g_{A}=G_{A}\left(Q^{2} \rightarrow 0\right)$
- With / without including $N \pi$ state in the analysis
- PCAC

Spectrum from $\Gamma^{2}$

$G_{A}, \widetilde{G}_{P}, G_{P}$ do not satisfy PCAC
$N \pi$ included in fits
(via $A_{4}$ or priors)

$G_{A}, \widetilde{G}_{P}, G_{P}$ with $N \pi$
satisfy PCAC

## Calculations reviewed in 2305.11330

| Collab. | Ens. | Excited <br> State | $\boldsymbol{M}_{\boldsymbol{\pi}}$ | $\boldsymbol{Q}^{\mathbf{2}}$ | Continuum- <br> chiral-finite- <br> volume <br> extrap | $\boldsymbol{g}_{\boldsymbol{A}}$ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| PNDME 23 | 13 | With $N \pi$ | 2 physical | $z^{2}+z^{2}$ | CCFV | $1.292(53)(24)$ |
| Mainz 22 | 14 | Simultaneous <br> ESC, $Q^{2}$ | 2 physical | $z^{2}$ | CCFV | $1.225(39)(25)$ |
| NME 21* | 7 <br> $(12)$ | With $N \pi$ | 2 | 170 MeV | $z^{2}$ | Ignore <br> $\left\{a, M_{\pi}^{2} M_{\pi}^{2} \mathrm{~L}\right\}$ <br> dependence |
| ETMC 20 | 3 | Without $N \pi$ | 3 physical | data | $\{a\}$ | $1.32(6)(5)$ |
| RQCD <br> $19 / 23$ | 36 <br> $(47)$ | With $N \pi$ <br> only for |  | data |  | $\left[1.284_{27}^{28}\right]$ |
| $\tilde{G}_{P}, G_{P}$ |  |  |  |  |  |  |

PNDME: arXiv:2305.11330, NME: PRD 105, 054505 (2022), RQCD: JHEP 05, 126 (2020), PRD 107, L051505 (2023)

Mainz: PRD 106, 074503 (2022) ETMC: PRD 103, 034509 (2021)

## Comparing axial form factor from LQCD



## A consensus is emerging

## Comparing prediction of x -section using AFF from $v-D$ and PNDME with MINERvA data



$$
Q^{2}<0.2 \mathrm{GeV}^{2} \quad 0.2<Q^{2}<1 \quad Q^{2}>1 \mathrm{GeV}^{2}
$$

T. Cai, et al., (MINERvA) Nature volume 614, pages 48-53 (2023); Phys. Rev. Lett. 130, 161801 (2023)

Oleksandr Tomalak, Rajan Gupta, Tanmoy Bhattacharya, arXiv:2307.14920

## Mapping the AFF

- $0<Q^{2}<0.2 \mathrm{GeV}^{2}$
- This region will get populated by simulations with $M_{\pi} \approx 135$ $\mathrm{MeV}, \mathrm{a} \rightarrow 0, M_{\pi} L>4$
- MINER $v$ A data has large errors
- Characterized by $\mathrm{g}_{A}$ and $\left\langle r_{A}^{2}\right\rangle$ and $\mathrm{G}_{\mathrm{A}}\left(\mathrm{Q}^{2}\right)$ parameterized by a z-expansion with a few terms
- $0.2<Q^{2}<1 \mathrm{GeV}^{2}$
- Lattice data mostly from $M_{\pi}>200 \mathrm{MeV}$ simulations
- Competitive with MINERvA data. Cross check of each other
- $Q^{2}>1 \mathrm{GeV}^{2}$
- Lattice needs new ideas
- MINERvA and future experiments

Update from ETMC (3 $M_{\pi} \approx 135 \mathrm{MeV}$ ensembles)
$2+1+1$-flavor twisted mass ensembles

| Ens. ID | latt. Vol. | $\mathrm{a}[\mathrm{fm}]$ | $\mathrm{Lm}_{\pi}$ |
| :---: | :---: | :---: | :---: |
| cB211.072.64 (cB64) | $64^{3} \times 128$ | 0.080 | 3.62 |
| cC211.060.80 (cC80) | $80^{3} \times 160$ | 0.069 | 3.78 |
| cD211.054.96 (cD96) | $96^{3} \times 192$ | 0.057 | 3.90 |




## Excited state fits

- 2-state checked against 3-state
- $N \pi$ state not included
- $\quad 1^{\text {st }}$ excited state mass $\approx x x \mathrm{MeV}$


## PCAC test of form factors

$$
r_{\mathrm{PCAC}}=\frac{\frac{m_{q}}{m_{N}} G_{5}\left(Q^{2}\right)+\frac{Q^{2}}{4 m_{N}^{2}} G_{P}\left(Q^{2}\right)}{G_{A}\left(Q^{2}\right)}
$$

Large cut-off effects in twisted mass involving pions

## Update from CalLAT Collaboration

(A. Meyer, A. Walker-Loud)
domain-wall on HISQ calculation using sequential prop through sink $48^{3} \times 64$ ensemble (a12m130): $a^{-1}=1.66 \mathrm{GeV} ; M_{\pi}=132 \mathrm{MeV}$
Gaussian sources for quark propagators
1000 X 32 (configurations X measurements)


## Updates from PACS

Talk by Ryutaro Tsuji

Stout smeared O(a) improved Wilson quark and Iwasaki gauge actions. $2+1$ flavors

| Lattice size | $128^{4}$ | $160^{4}$ |
| :--- | :---: | :---: |
| Spatial volume | $(10.9 \mathrm{fm})^{3}$ | $(10.1 \mathrm{fm})^{3}$ |
| Pion mass | 135 MeV | 135 MeV |
| Nucleon mass | $0.935(11) \mathrm{GeV}$ | $0.946(3) \mathrm{GeV}$ |
| Lattice spacing | 0.086 fm | 0.063 fm |
| $\mid t_{\text {sink }}-t_{\text {src }} / a$ | $10,12,14,16$ | $13,16,19$ |
| Renormalization | SF, RI-MOM/SMOM | SF |



Tuned exponential sources show very little excited-state effects in axial


## Update from LHP/RBC/UKQCD Collaboration

(S. Ohta arXiv:2211.16018)

2+1-flavor domain-wall-fermions $48^{3} \times 96$ ensemble: $a^{-1}=1.730(4) \mathrm{GeV}$
Gaussian sources for quark propagators
120 configurations, \#\# measurements

Data at $\tau=8,9,10$ do not show significant change indicating small excited state effect

Slower fall-off than PNDME 23 data


## Comparison with unpublished data






## Roper transition helicity amplitude using $\left\langle N V_{\mu} V_{v} N\right\rangle$ hadronic tensor



Extracted using a simple spectral decomposition of $H_{\mu \nu}$ that gives reasonable estimates

Talk by Raza Sufian for $\chi Q C D$

## Electric \& Magnetic FF



Electric


Magnetic

- The extraction of electric and magnetic form factors is insensitive to the details of the excited states
- Vector meson dominance $\rightarrow N \pi \pi$ state should contribute (some evidence)
- The form factors do not show significant dependence on the lattice spacing or the quark mass
- Good agreement with the Kelly curve. Validates the lattice methodology
- Improve precision and get data over larger range of parameter values


## Much harder: <br> Multi-hadron states


$\left\langle n \pi^{+}\right| J_{\mu}^{+}(q)|n\rangle$


See

- Barca et al, 2211.12278, 2110.11908
- NPLQCD Collaboration, Phys.Rev.Lett. 120 (2018) 15, 152002
- Nuclear matrix elements from lattice QCD for electroweak and beyond-StandardModel processes, 2008.11160 [hep-lat]


## Looking ahead

- Challenges in lattice calculations of nucleon matrix elements:
- Signal to noise degrades as $e^{-\left(M_{N}-1.5 M_{\pi}\right) t}$
- removing multi-hadrons excited states to get ground state ME
- including multi-hadrons in initial and/or final state for transition ME
- Continue to develop a robust analysis strategy for removing dominant excited states in various nucleon matrix elements
- Improve chiral and continuum extrapolation. Simulate at more $\left\{a, M_{\pi}\right\}$
- Current $0.04<Q^{2}<1 \mathrm{GeV}^{2}$ Extend to larger $Q^{2}$ for DUNE
- Transition matrix elements
- Goal: Perform a comprehensive analysis of scattering data with input of lattice results for $g_{A}, G_{E}\left(Q^{2}\right), G_{M}\left(Q^{2}\right), G_{A}\left(Q^{2}\right), \widetilde{G}_{P}\left(Q^{2}\right)$


## Improvements in algorithms and computing power are needed to reach few percent precision

