

# Nucleon Electromagnetic Form Factors at Large Momentum from Lattice QCD

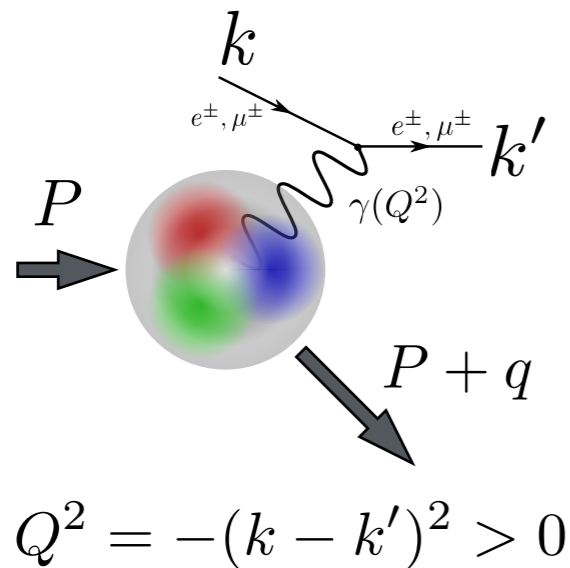
Sergey Syritsyn (Stony Brook University)  
with M.Engelhardt, J.Green,  
S.Krieg, A.Pochinsky, J.Negele  
(Lattice Hadron Physics collaboration)  
*LATTICE 2023, Aug 1, 2023*



# Outline

- Nucleon vector form factors at large momentum
- Challenges for large- $Q^2$  hadron structure on lattice
- Preliminary results and comparison to experiment & phenomenology
- Examining systematic effects
- Summary and Outlook

# Nucleon Elastic E&M Form Factors



Elastic  $e^-p$  amplitude

$$\langle P + q | \bar{q} \gamma^\mu q | P \rangle = \bar{U}_{P+q} \left[ \overset{\text{(Dirac)}}{F_1(Q^2)} \gamma^\mu + \overset{\text{(Pauli)}}{F_2(Q^2)} \frac{i\sigma^{\mu\nu} q_\nu}{2M_N} \right] U_P$$

Sachs Electric  $G_E(Q^2) = F_1(Q^2) - \frac{Q^2}{4M^2} F_2(Q^2)$

Magnetic  $G_M(Q^2) = F_1(Q^2) + F_2(Q^2)$

Elastic  $e^-p$  cross-section

- $G_{E,M}$  from  $\epsilon$ -dep. at fixed  $\tau(Q^2)$  ("**Rosenbluth separation**")
- dominated by  $G_M$  at large  $Q^2$
- $2\gamma$  corrections at  $Q^2 \gtrsim 1 \text{ GeV}^2$

$$\frac{d\sigma}{d\Omega} = \frac{\sigma_{\text{Mott}}}{1 + \tau} \left[ G_E^2 + \frac{\tau}{\epsilon} G_M^2 \right]$$

$$\tau = \frac{Q^2}{4M_N^2} \quad \epsilon = \left[ 1 + 2(1 + \tau) \tan^2 \frac{\theta}{2} \right]^{-1}$$

**Polarization transfer:** polarized  $e^-$  beam

+ detect polarization of recoil nucleon

(alt.: transverse asymmetry on pol. target)

- $G_E/G_M$  ratio (only small radiative corrections)

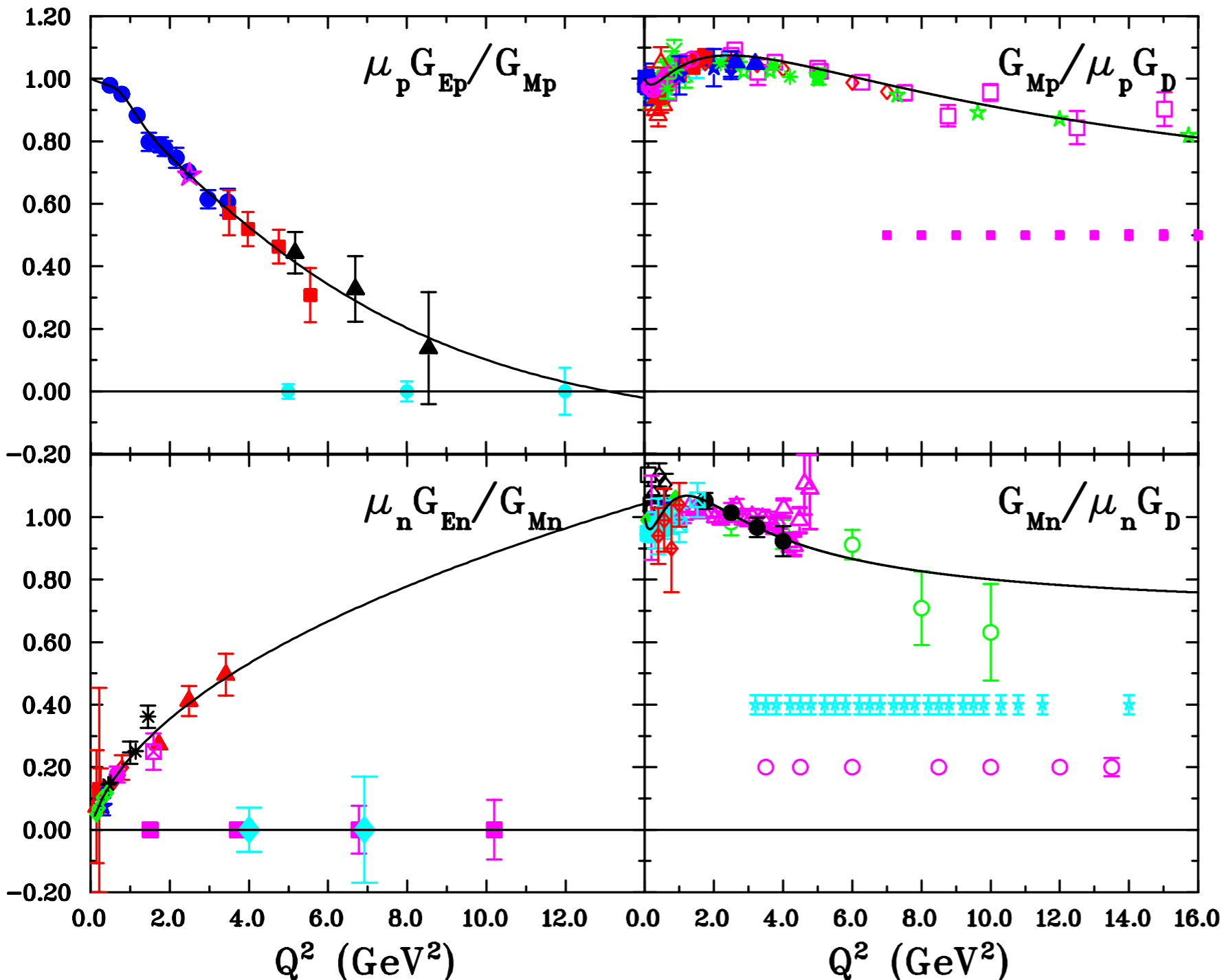
$$P_t/P_l \propto G_E/G_M$$

# Recent/Ongoing Experiments



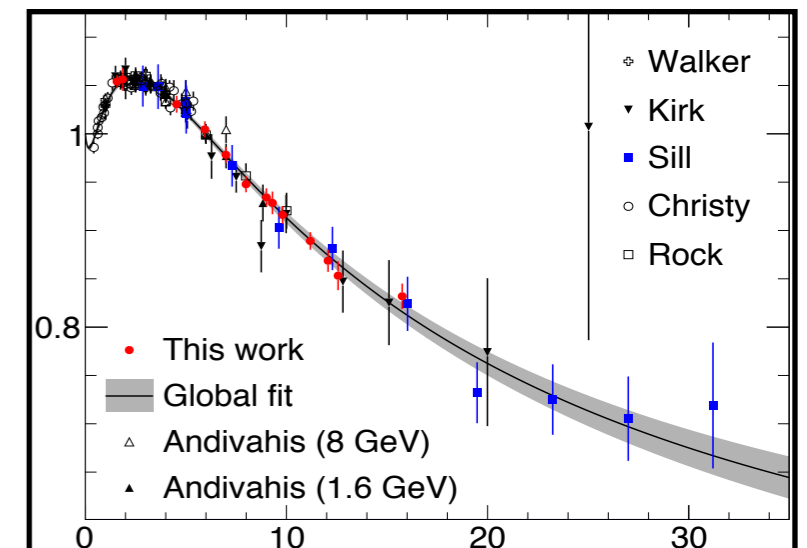
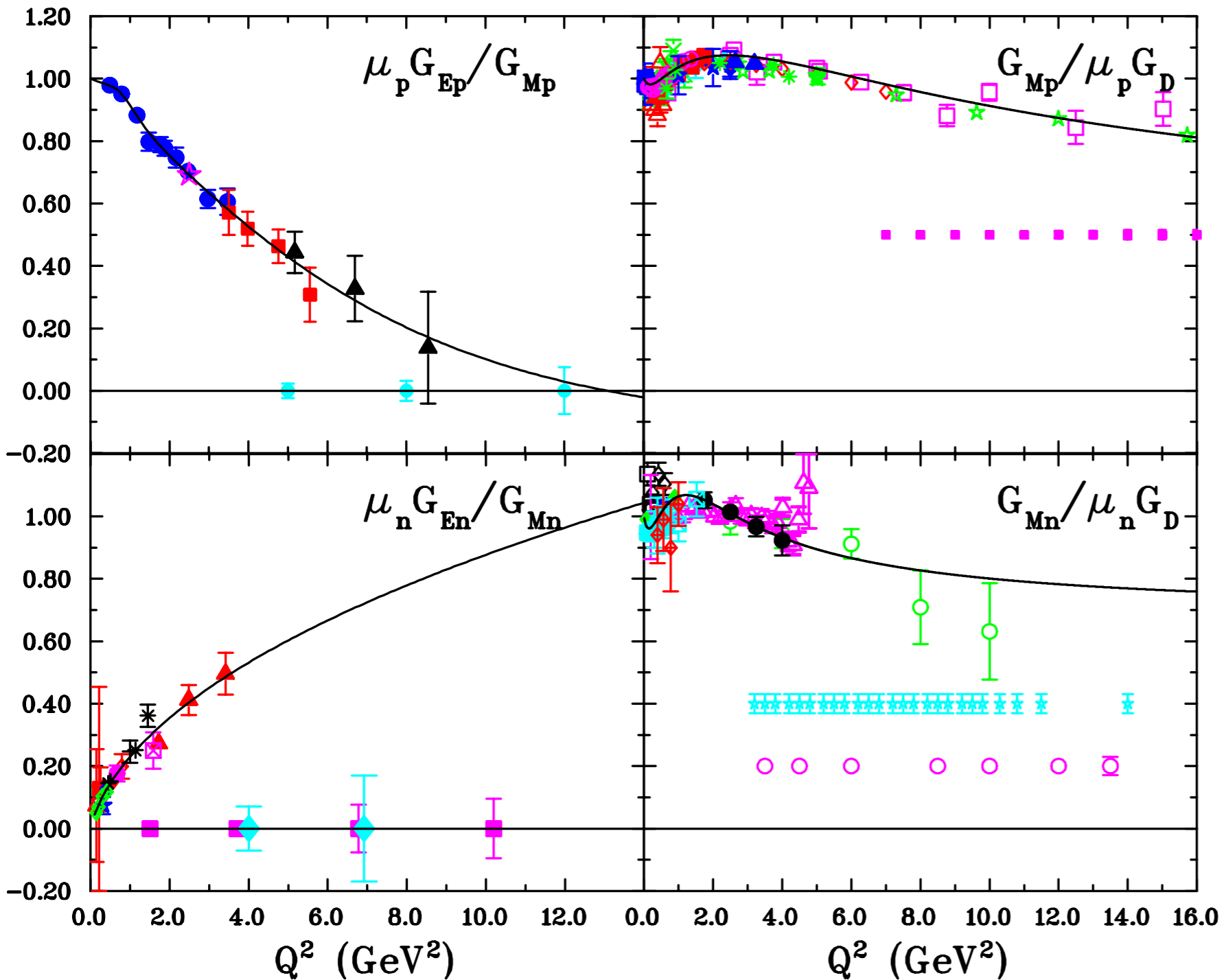
Experiments at JLab@12GeV

- Hall A (HRS, SBS):
  - $G_{Mp}$  @  $Q^2 \approx 17.5 \text{ GeV}^2$
  - $G_{Ep}/G_{Mp}$  @  $Q^2 \approx 15 \text{ GeV}^2$ ;
  - $G_{Mn}$  @  $Q^2 \approx 18 \text{ GeV}^2$
  - $G_{En}/G_{Mn}$  @  $Q^2 \approx 10.2 \text{ GeV}^2$ ;
- Hall B (CLAS12):
  - $G_{Mn}$  @  $Q^2 \approx 14 \text{ GeV}^2$
- Hall C :
  - $G_{En}/G_{Mn}$  @  $Q^2 \approx 6.9 \text{ GeV}^2$



Projected new precision on proton & neutron form factors  
 [V. Punjabi et al, EPJ A51: 79 (2015); arXiv: 1503.01452]

# Recent/Ongoing Experiments

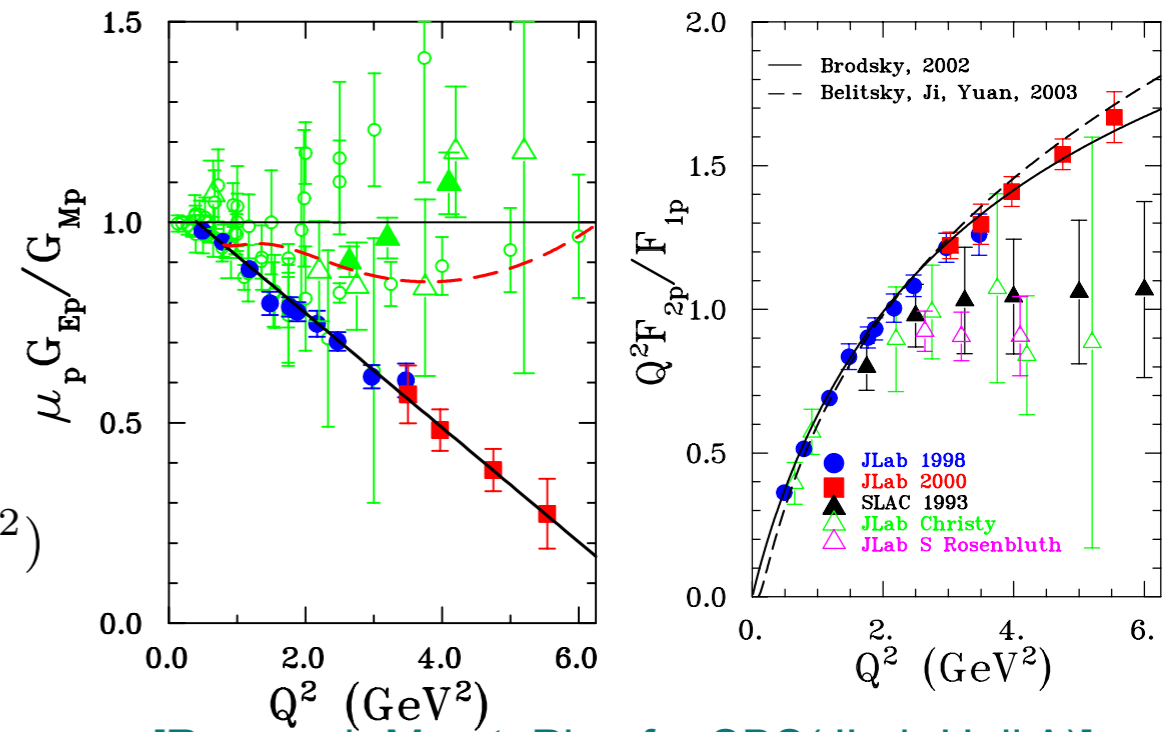


Projected new precision on proton & neutron form factors  
 [V. Punjabi et al, EPJ A51: 79 (2015); arXiv: 1503.01452]

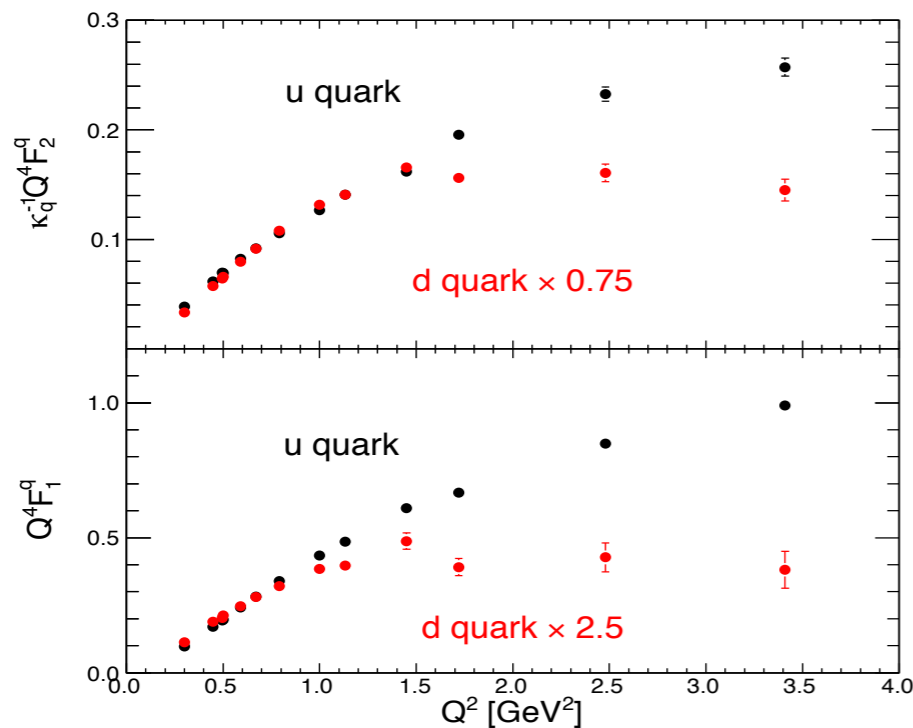
New  $G_{Mp}$  data from Hall A  
 [Christy et al, PRL'22]

# Nucleon Form Factors: Open Questions

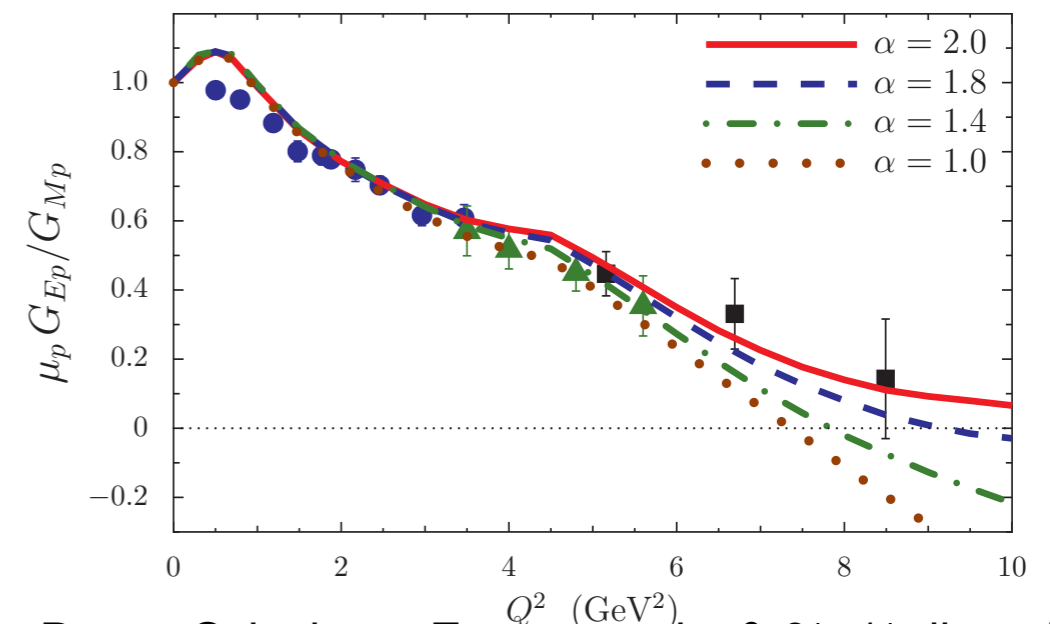
- Are model descriptions of the nucleon viable ?  
Nucleon models disagree beyond explored range
- Role of diquark correlations in elastic scattering ?  
Neutron & proton  $G_E/G_M$  at/above  $Q^2 = 8 \text{ GeV}^2$
- Scale of transition to perturbative QCD ?  
( $F_2/F_1$ ) scaling at large  $Q^2$ :  $Q^2 F_{2p}/F_{1p} \stackrel{?}{\propto} \log^2(Q^2/\Lambda^2)$
- What are contributions from  $u$  and  $d$  flavors?  
Proton and neutron data needed in wide  $Q^2$  range



[Research Mgmt. Plan for SBS(JLab Hall A)]



[G.D.Cates, C.W.de Jager, S.Riordan, B.Wojtsekhovski, PRL106:252003, arXiv:1103.1808]



Dyson-Schwinger Eqns : quarks &  $0^+$ ,  $1^+$  diquarks  
( $\alpha \approx$  rate of transition const. quarks  $\rightarrow$  pQCD with  $Q^2$ )  
[Cloet, Roberts, Prog.Part.Nucl.Phys 77:1 (2014)]

# Challenges at Large $Q^2$

- Discretization effects:  
O(a) Correction to current operator

$$(V_\mu)_I = [\bar{q}\gamma_\mu q] + c_V a \underbrace{\partial_\nu [\bar{q}i\sigma_{\mu\nu}q]}_{\propto Q}$$

- Stochastic noise grows faster with  $T$  [Lepage'89]:

$$\begin{aligned} \text{Signal} & \langle N(T)\bar{N}(0) \rangle && \sim e^{-E_N T} \\ \text{Noise} & \langle |N(T)\bar{N}(0)|^2 \rangle - |\langle N(T)\bar{N}(0) \rangle|^2 && \sim e^{-3m_\pi T} \\ \text{Signal/Noise} & && \sim e^{-(E_N - \frac{3}{2}m_\pi)T} \end{aligned}$$

**SNR reduction**  
at 1 fm/c  $\sim \mathbf{O(10^{-4})}$   
(phys. quarks,  $Q^2 \approx 12 \text{ GeV}^2$ )

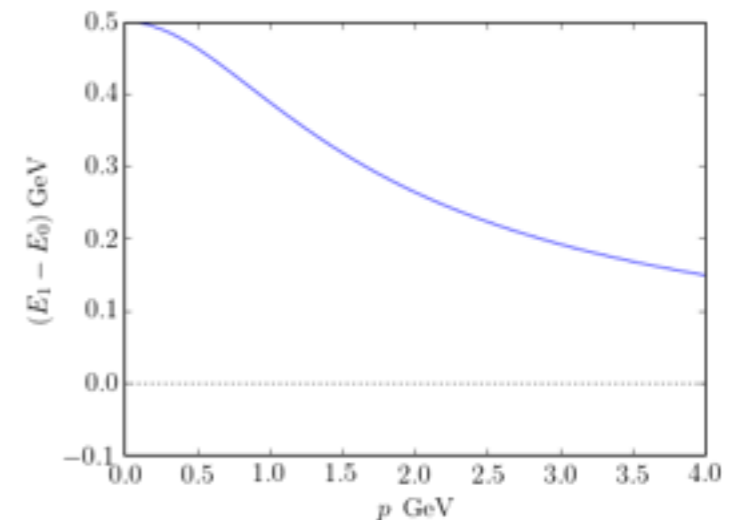
- Excited states: boosting "shrinks" the energy gap

$$E_1 - E_0 = \sqrt{M_1^2 + \vec{p}^2} - \sqrt{M_2^2 + \vec{p}^2} < M_1 - M_0$$

- $N(\sim 1500)$ :  $p_N \rightarrow 1.5 \text{ GeV} \Rightarrow \Delta E = 500 \rightarrow 300 \text{ MeV}$

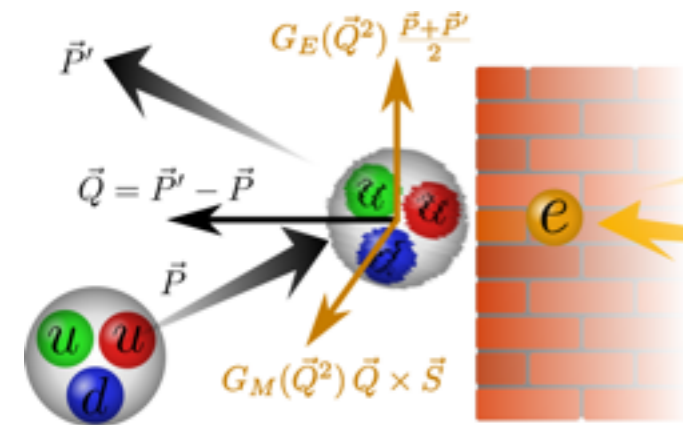
- Quark-disconnected contributions:  
negligible ( $\approx 1\%$ ) at  $Q^2 \leq 1 \text{ GeV}^2$ , unknown at large  $Q^2$

- Large  $p_N$ : no reliable EFT/ChPT for  $m_{\pi^-}$ , lattice size-extrapolation



*Large statistics required to suppress MC noise in lattice correlators*

# Accessing Large $Q^2$ : Breit Frame on a Lattice



"Brick-Wall" frame

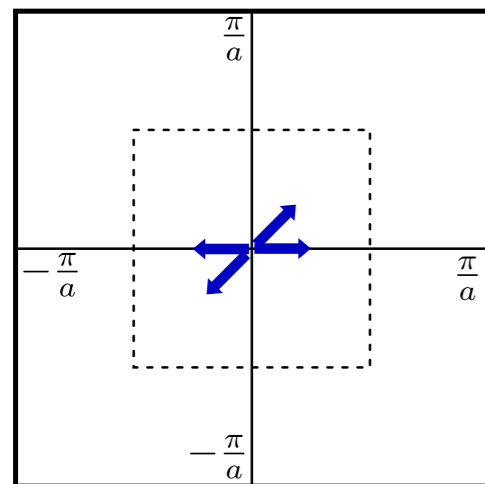
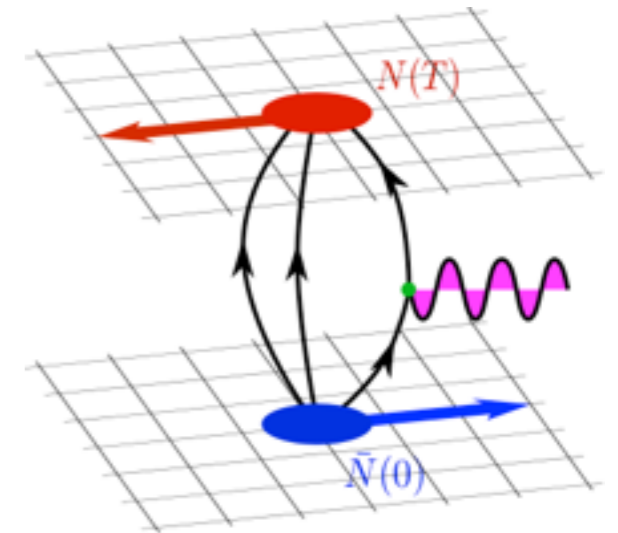
Minimize  $E_{in,out}$  for target  $Q^2$ :

$$Q^2 = (\vec{p}_{in} - \vec{p}_{out})^2 - (E_{in} - E_{out})^2$$

Back-to-back  $Q^2 = 4\vec{p}^2$

For  $(Q^2)_{max} = 10 \text{ GeV}^2$  ( $E_N \approx 1.9 \text{ GeV}$ )

$$|\vec{p}| = \frac{1}{2} \sqrt{Q^2_{max}} \approx 1.6 \text{ GeV}$$



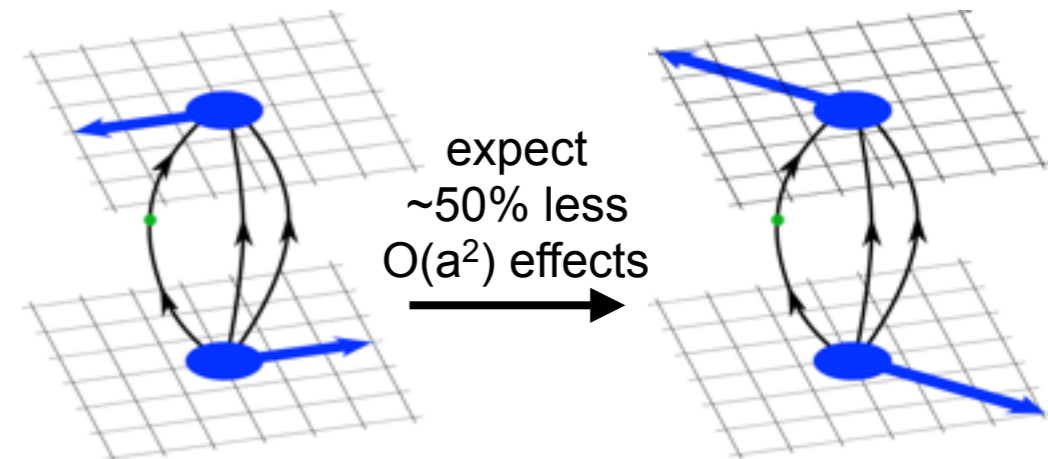
lattice kinematics for  $Q^2 \approx 10 \text{ GeV}^2$

Nucleon momentum  $\sim$  Brillouin zone

$$\langle N\bar{N} \rangle^{-1}(p) \stackrel{?}{=} -i\cancel{p}^{\text{lat}} + m_N$$

$$p_{\mu}^{\text{lat}} = k_{\mu} + O(k^3)$$

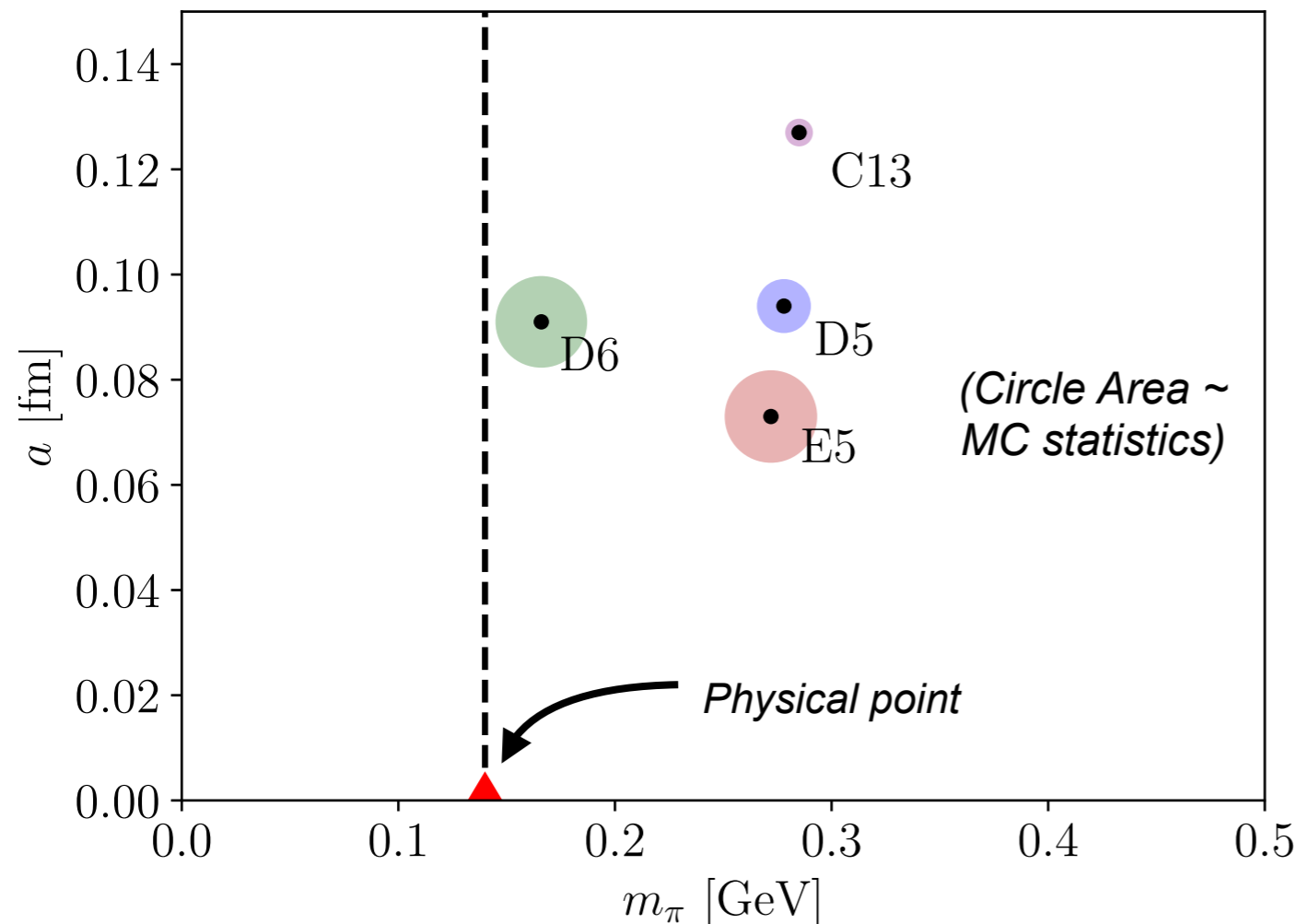
$\Rightarrow$  **expect**  $O(a^2)$  corrections from lattice nucleon spinor





# Present QCD Calculation Parameters

- $N_F = 2+1$  clover-improved Wilson fermion ensembles (JLab / W&M / LANL / MIT)
- Lattice spacing  $a \approx 0.073 \div 0.091$  fm
- Light quark masses approaching physical :  $m_\pi = 170 \div 280$  MeV
- Large physical volume  $L \gtrsim 3.7 (m_\pi)^{-1}$
- Source-sink separation  $t_{\text{sep}} = 0.51 \div 1.09$  fm
- Momentum smearing, AMA sampling
- Estimate disconnected contributions



## 2022/23:

- MC Statistics  $\sim 250k$  on D6 ( $48^3 \times 96$ ), E5 ( $48^3 \times 128$ )
- Disconnected contractions on D6 (1000+ configs)

Made possible by new nVidia A100 clusters

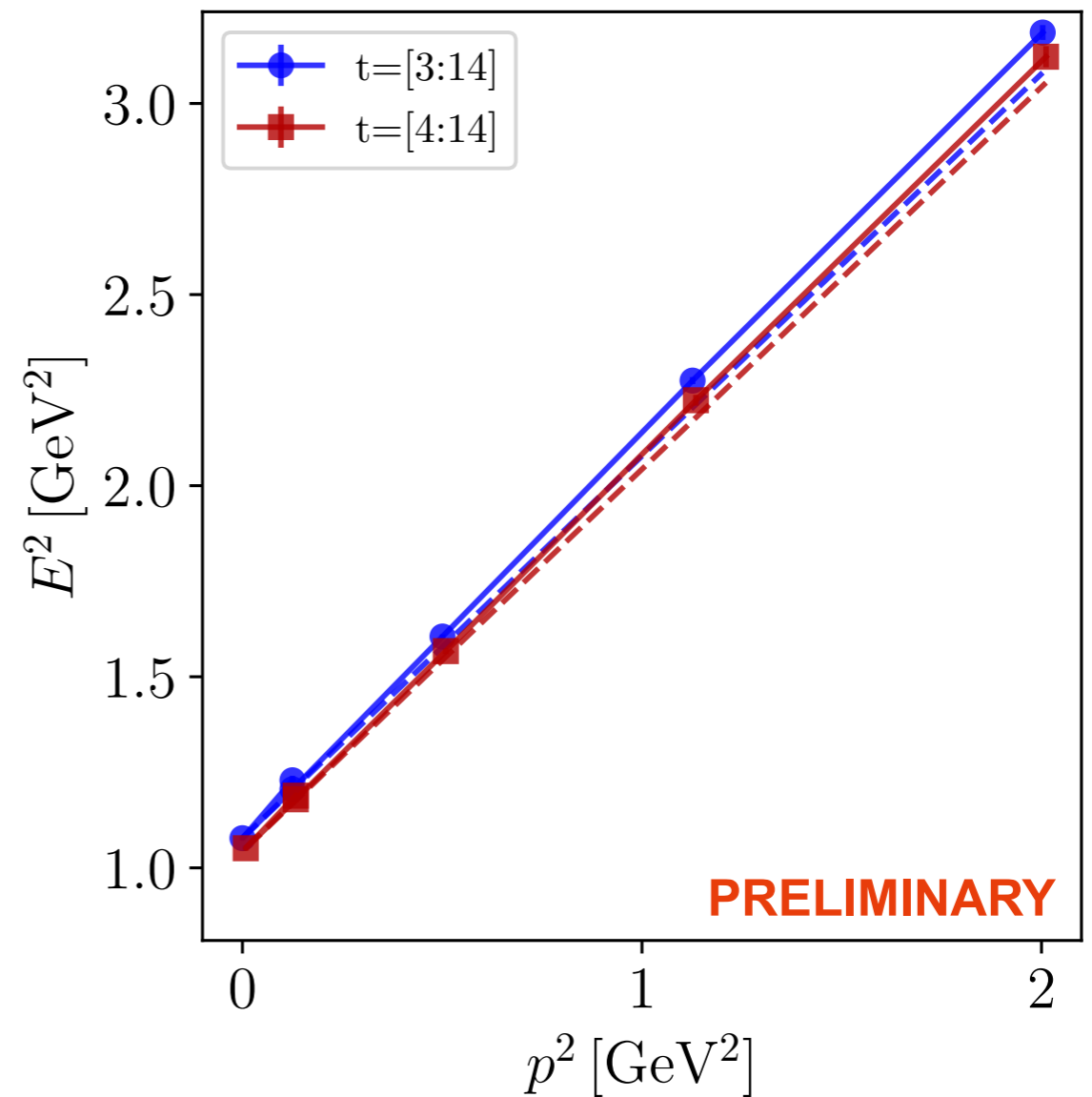
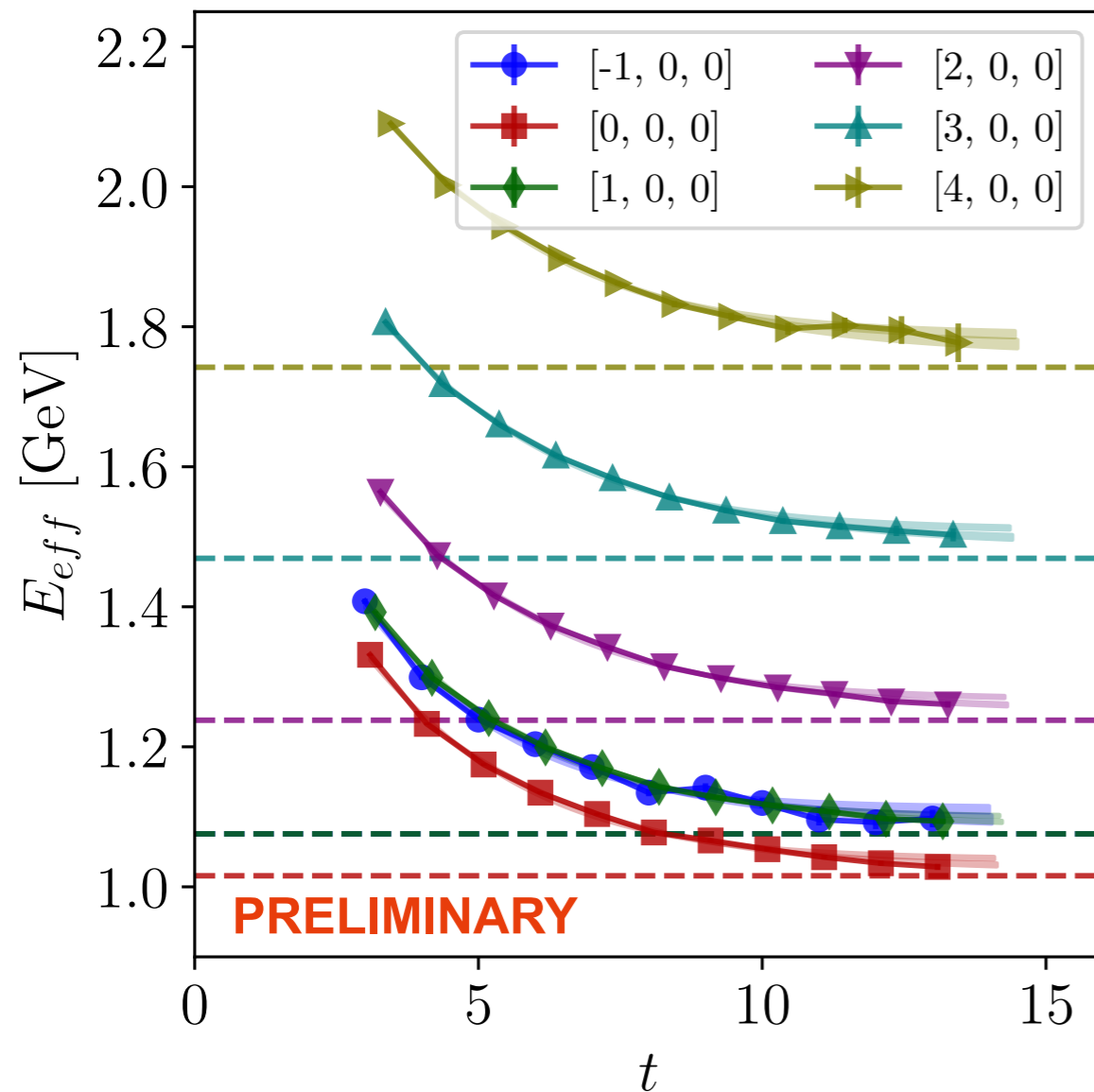
- Perlmutter [NERSC]
- Juwels-Booster [Fz. Juelich]

Many thanks to the QUDA team!

[ K. Clark, R. Babich, R. Brower, M. Wagner, E. Weinberg, and many others ]

# Lattice Nucleon Energy & Dispersion Relation (E5)

● E5 :  $m\pi = 272$  MeV , spacing  $a = 0.073$  fm , 266k MC samples



● Effective energy and 2-state fits

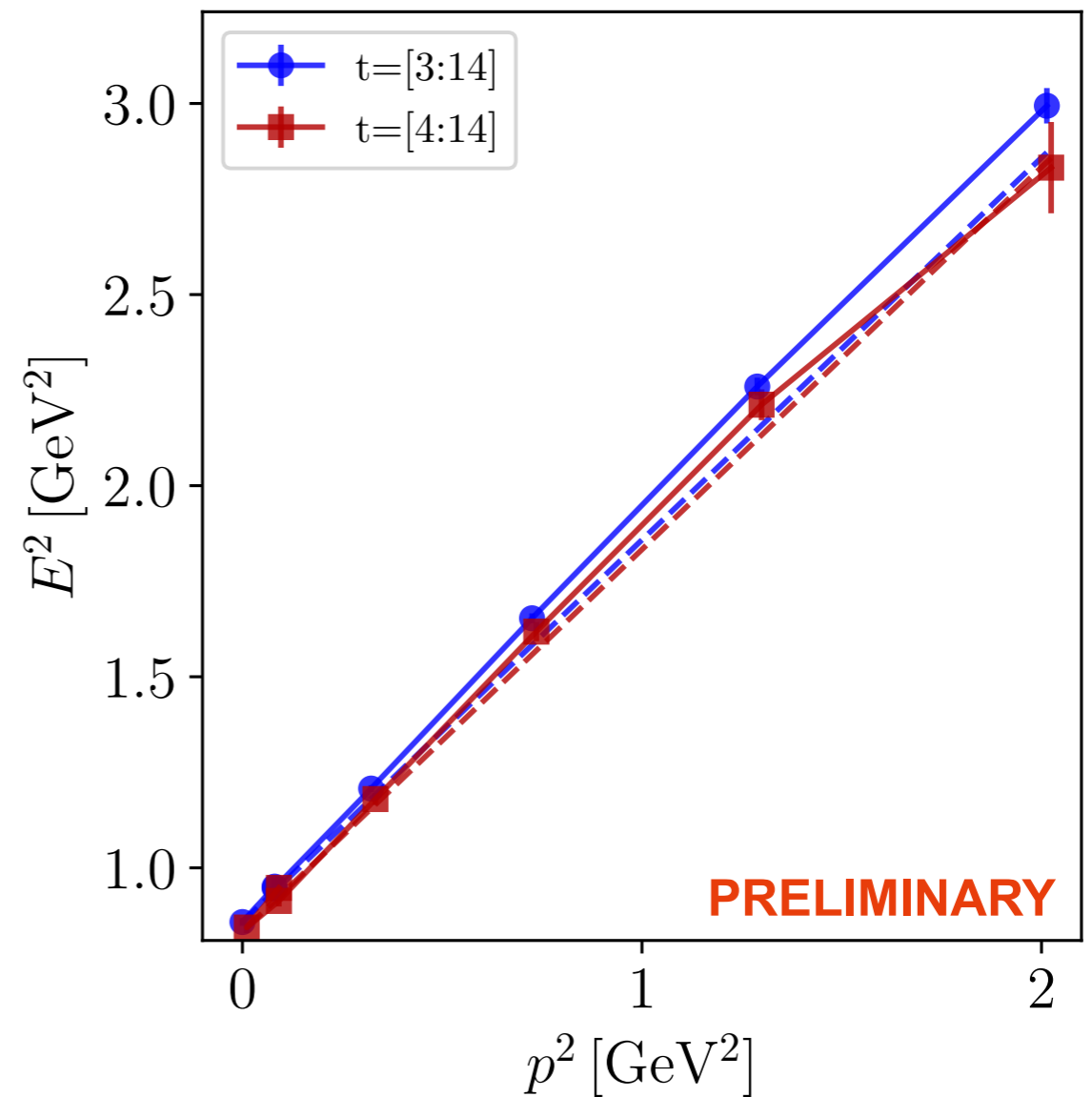
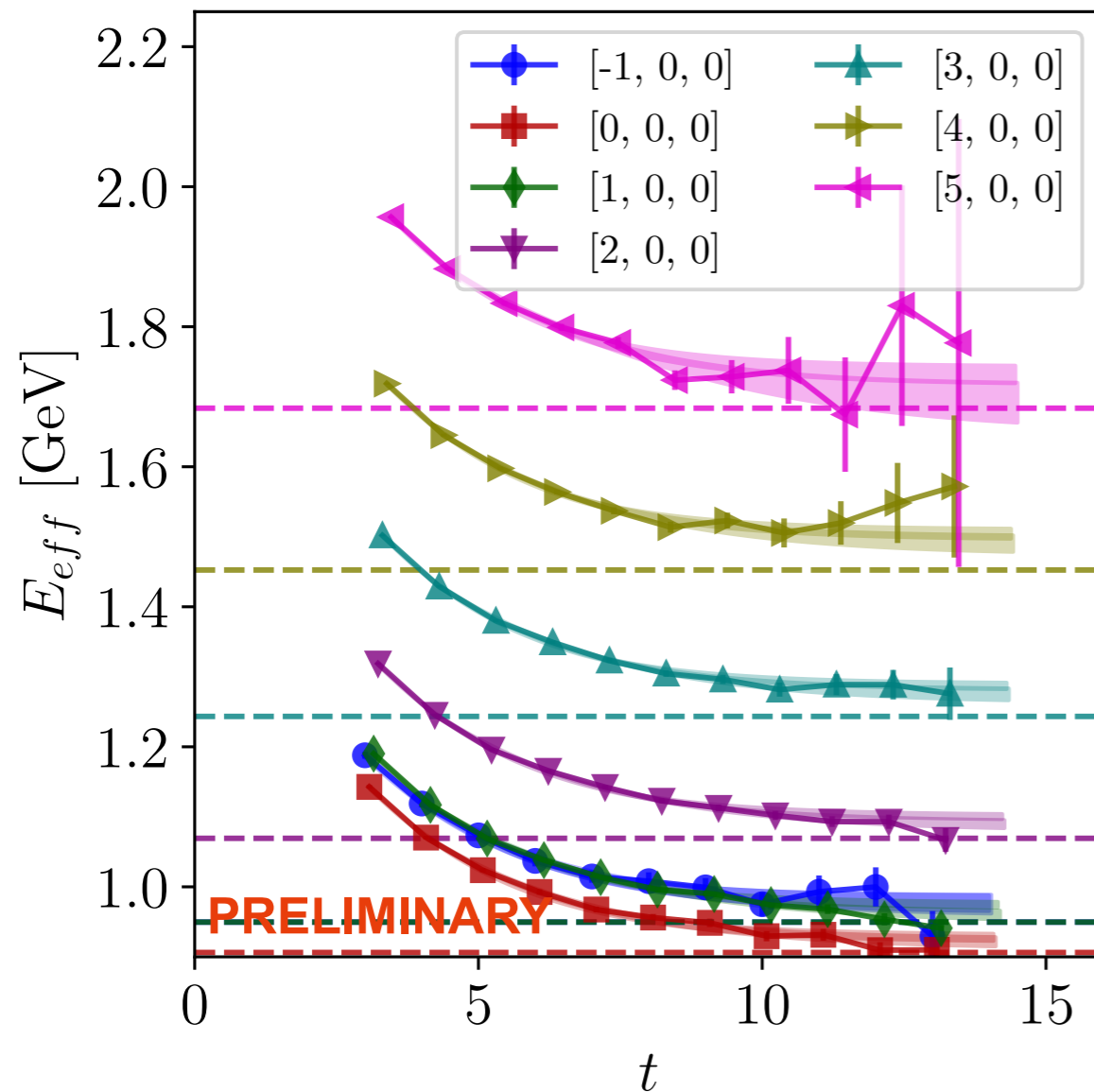
$$E_{eff} = \frac{1}{a} \log \frac{C_{N\bar{N}}(t)}{C_{N\bar{N}}(t+a)}$$

● Dispersion relation

Dashed lines: cont.  $E^2(p) = E^2(0) + p^2$

# Lattice Nucleon Energy & Dispersion Relation (D6)

● D6 :  $m\pi = 166 \text{ MeV}$ , spacing  $a = 0.091 \text{ fm}$ , 261k MC samples



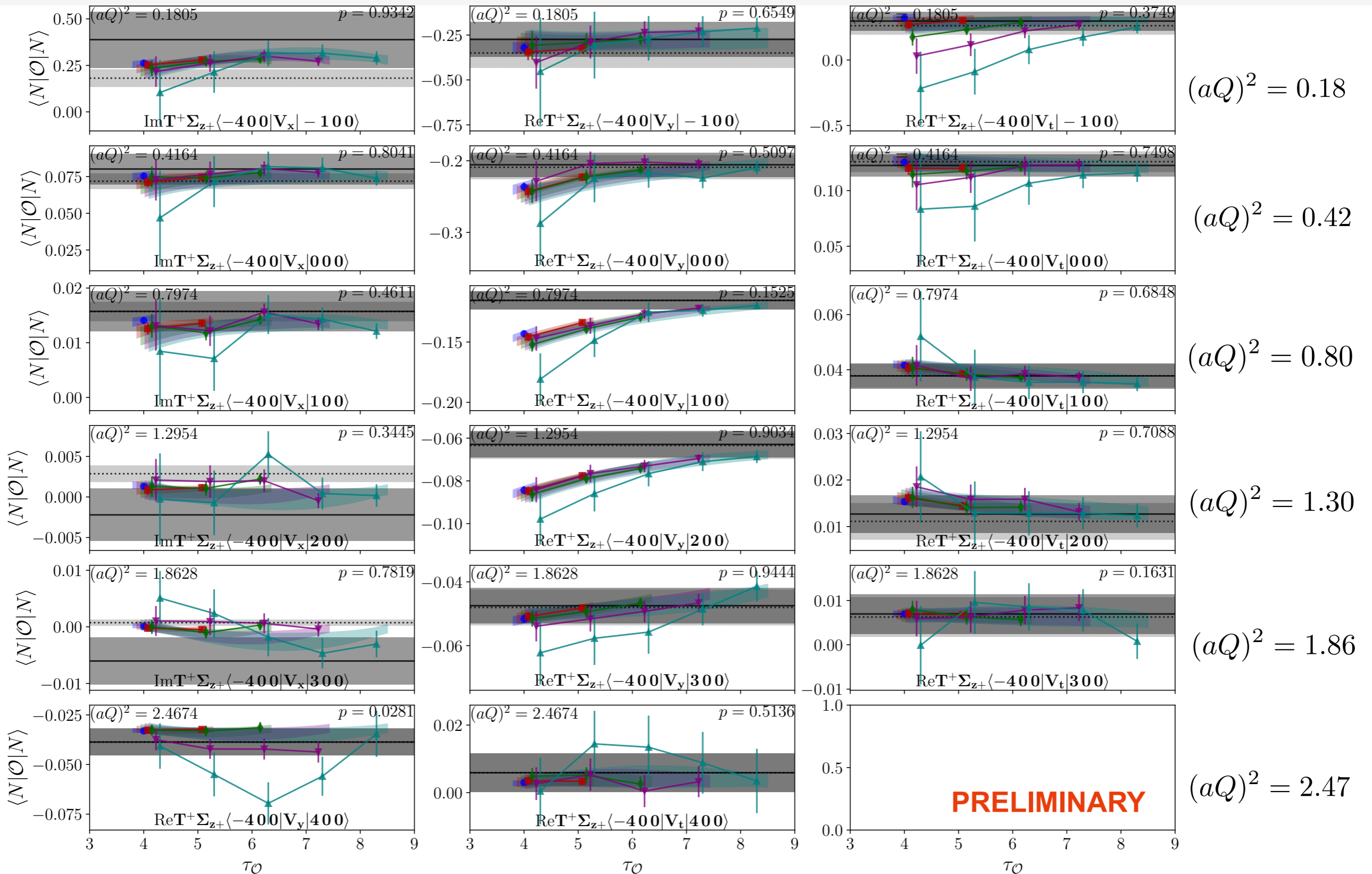
● Effective energy and 2-state fits

$$E_{eff} = \frac{1}{a} \log \frac{C_{N\bar{N}}(t)}{C_{N\bar{N}}(t+a)}$$

● Dispersion relation

Dashed lines: cont.  $E^2(p) = E^2(0) + p^2$

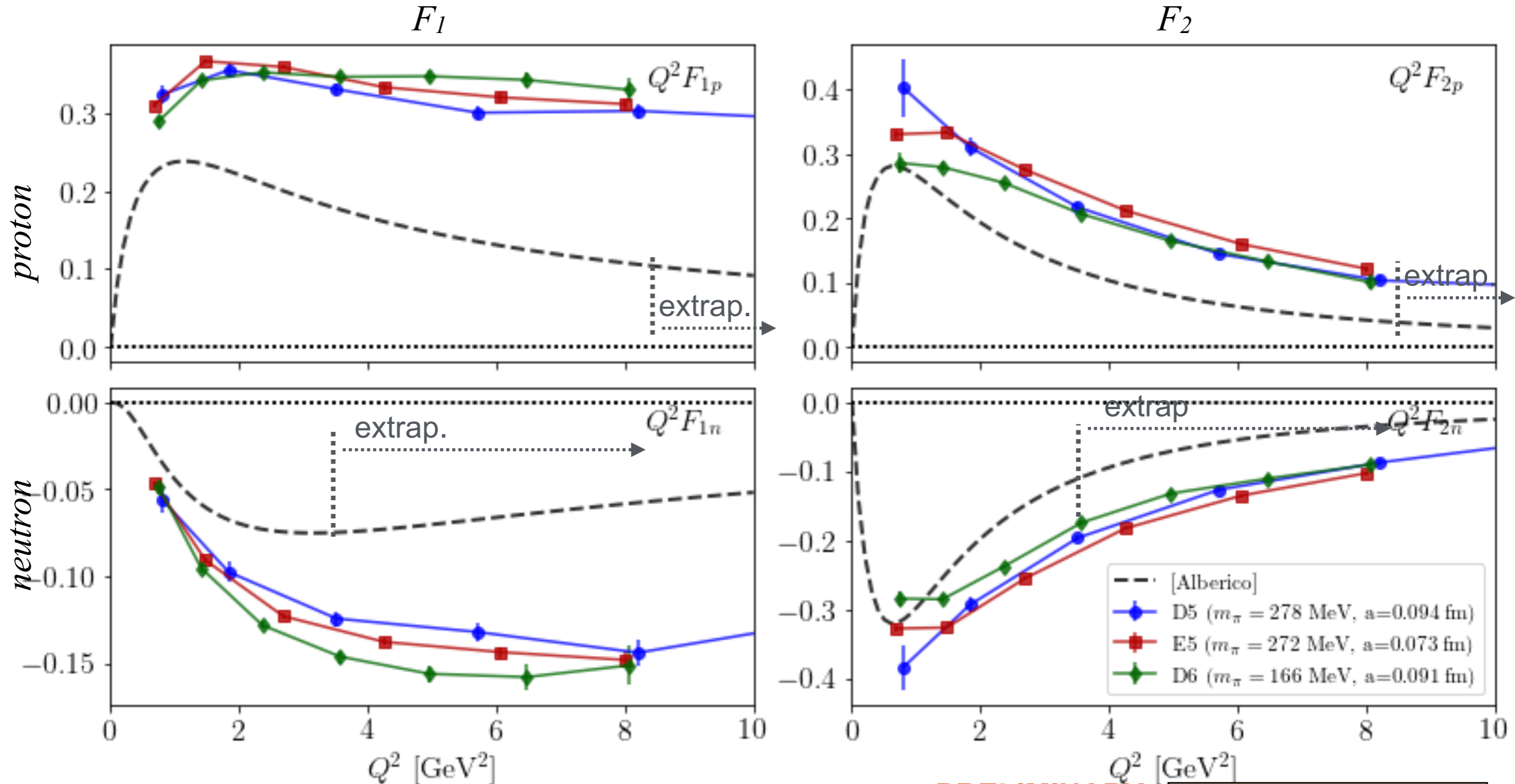
# Nucleon Matrix Element & Form Factor Fits (D5)



● 2-state fit  $t_{\text{sep}}=0.73 \div 1.09$  fm ( $8a \div 12a$ ); energies fixed from 2-state fits to  $\langle N\bar{N} \rangle$

# Nucleon Form Factors

- 2-state fits to extract the ground state
- discrepancy  $\times(2..2.5)$  for  $Q^2 > 2 \text{ GeV}^2$ : exc.states? discretization? quark mass
- Phenomenology curves : [Alberico et al, PRC79:065204 (2008)]

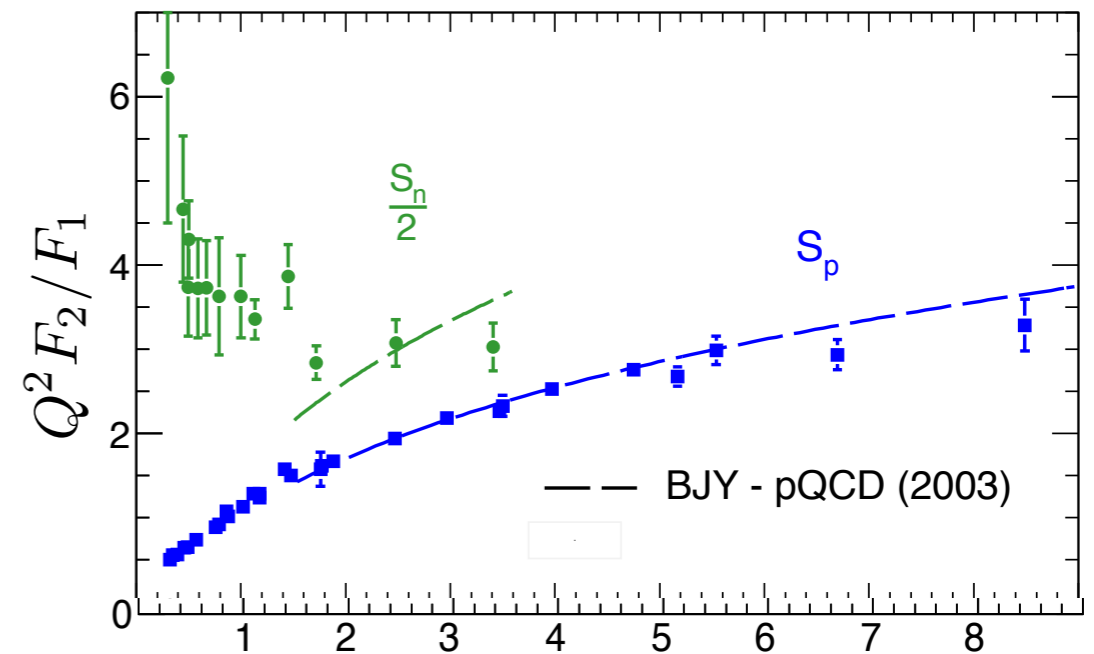
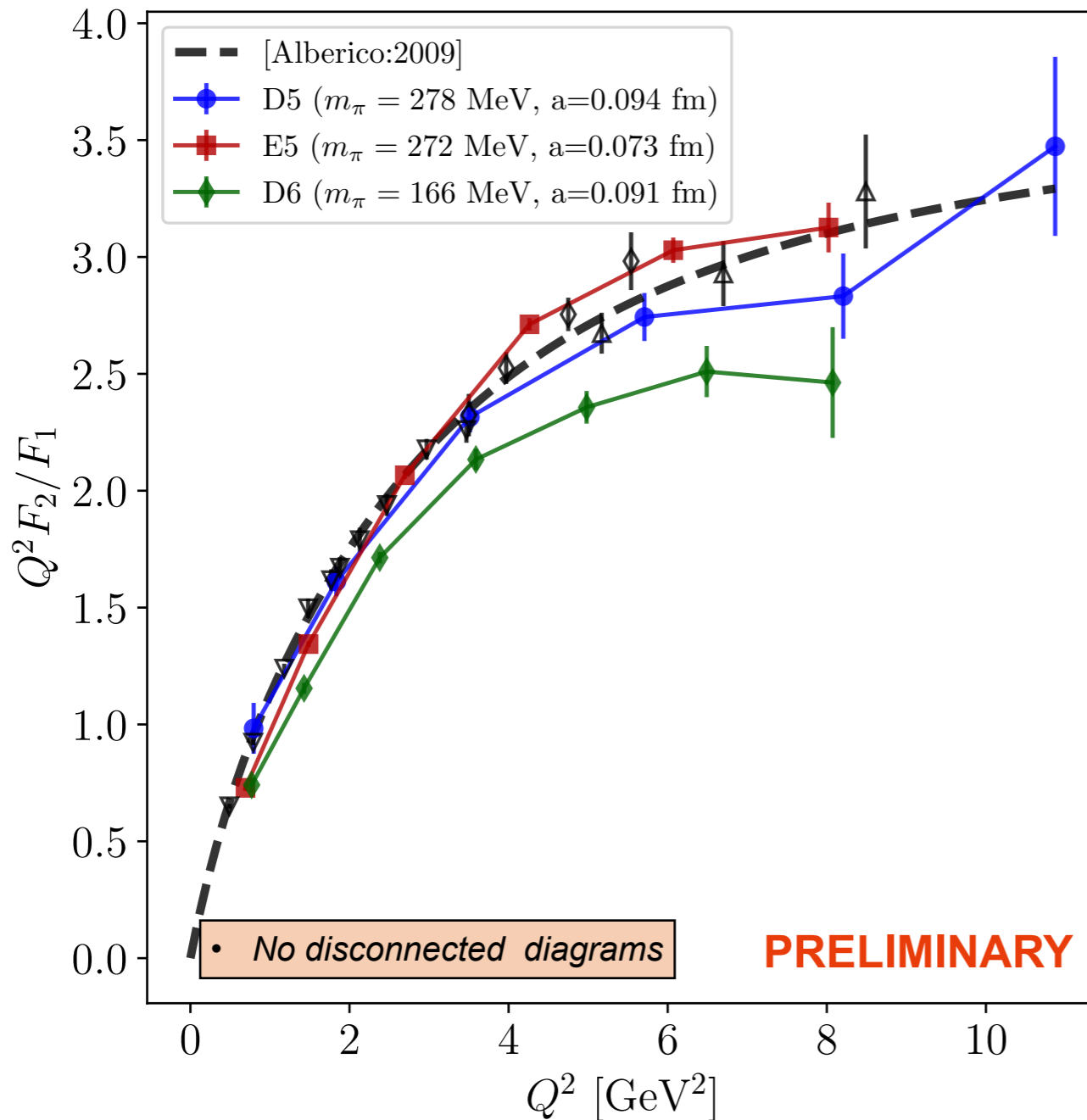


**PRELIMINARY**

• No disconnected diagrams

# Proton $F_2/F_1$ Ratio

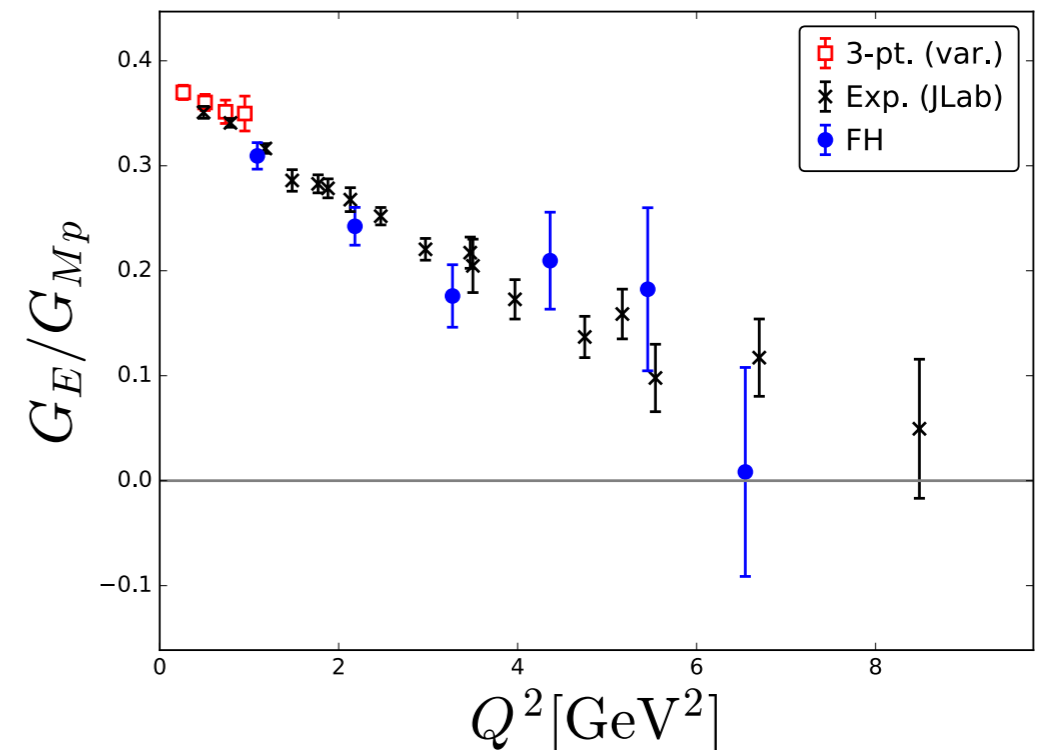
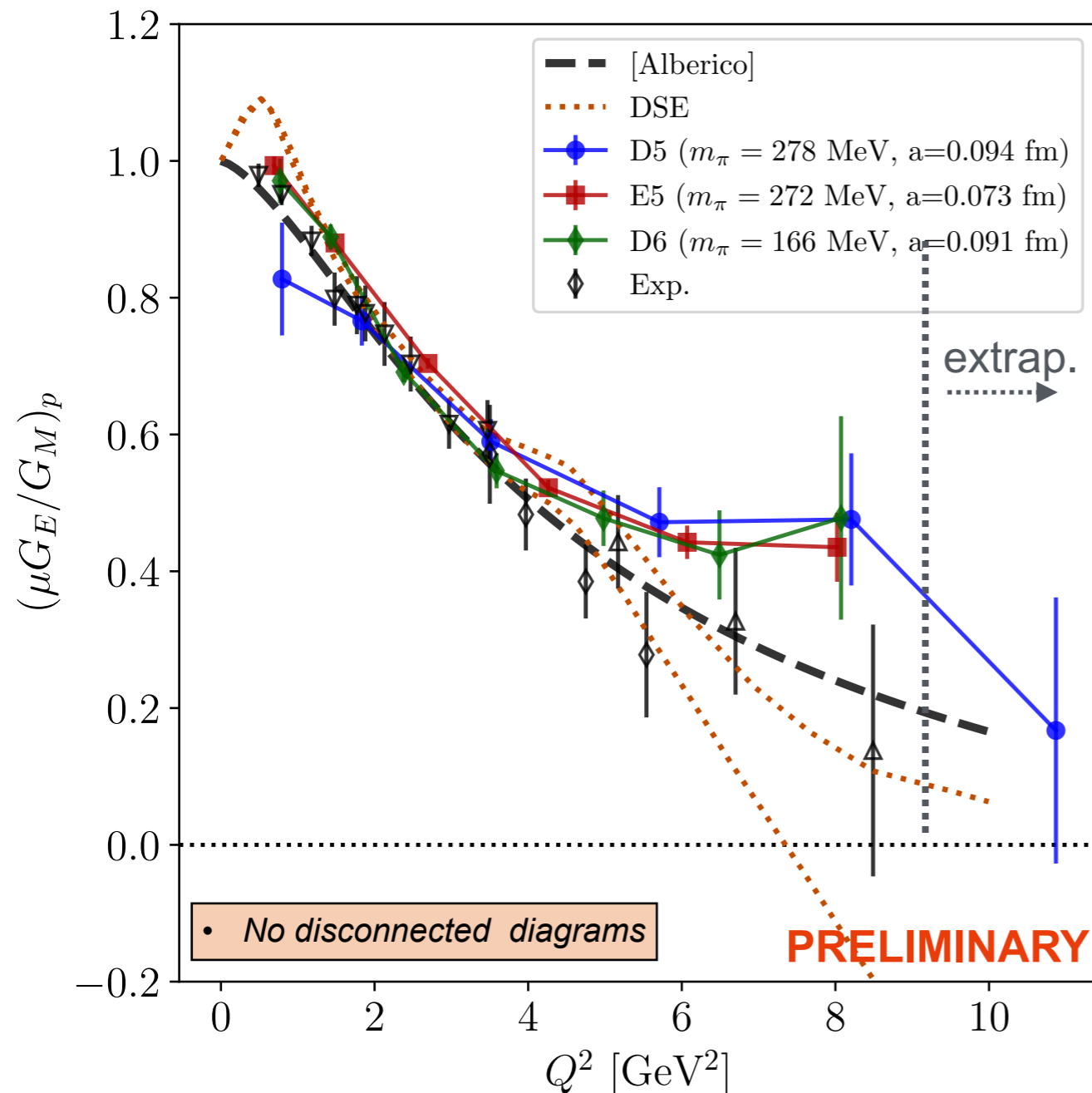
- Lattice data: 2-state fits
- Phenomenology curves : [Alberico et al, PRC79:065204 (2008)]
- Comparison to experimental data (black points)



[G.D.Cates, et al, PRL106:252003 (2011)]

# Proton $G_E/G_M$ Ratio

- Lattice data: 2-state fits
- Phenomenology curves : [Alberico et al, PRC79:065204 (2008)]
- Comparison to experimental data (black points)



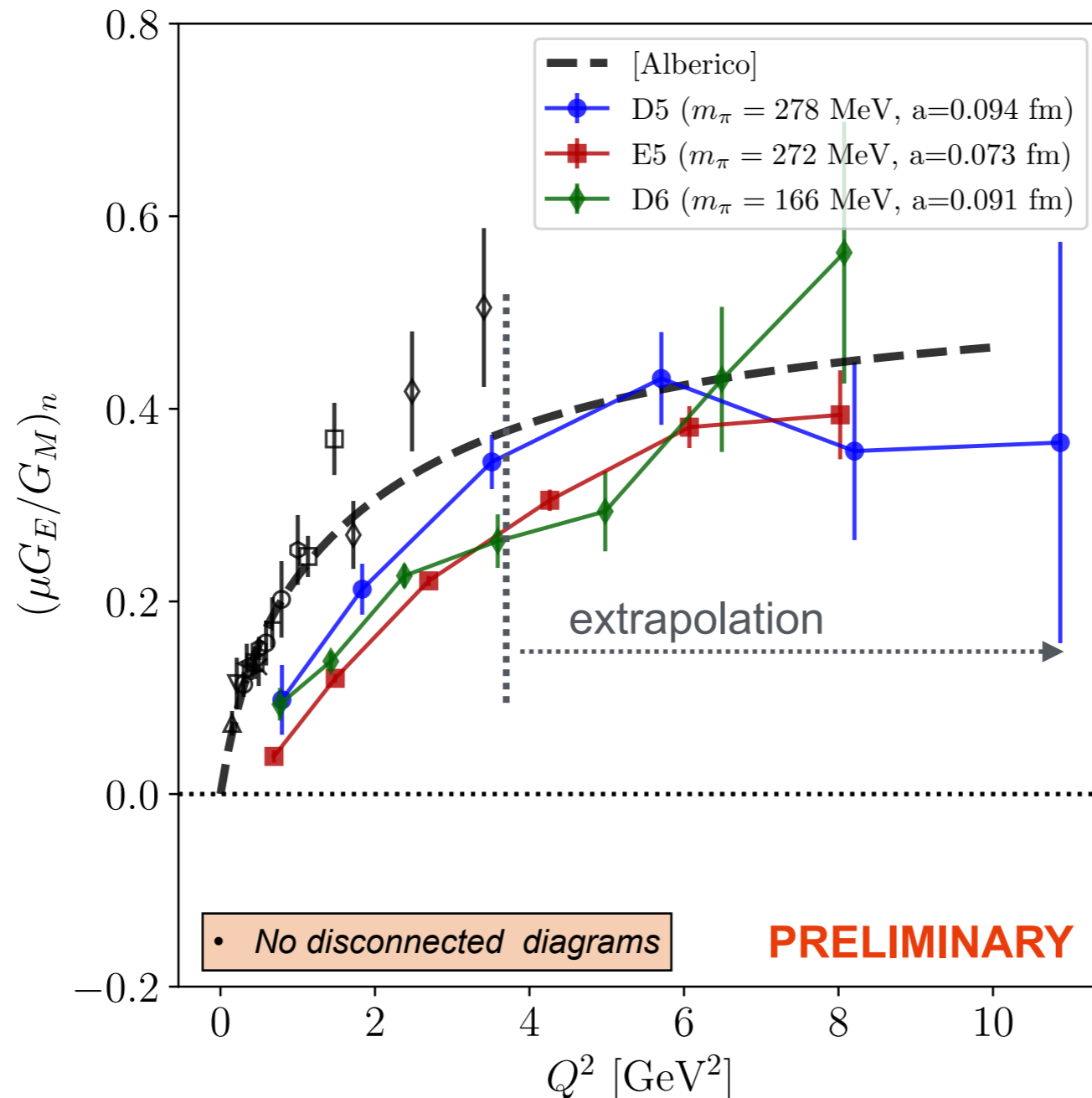
Earlier calculation: ( $a=0.074$  fm,  $m_\pi=470$ MeV)

Feynman-Hellman method

[Chambers et al (CSSM), PRD96: 114509]

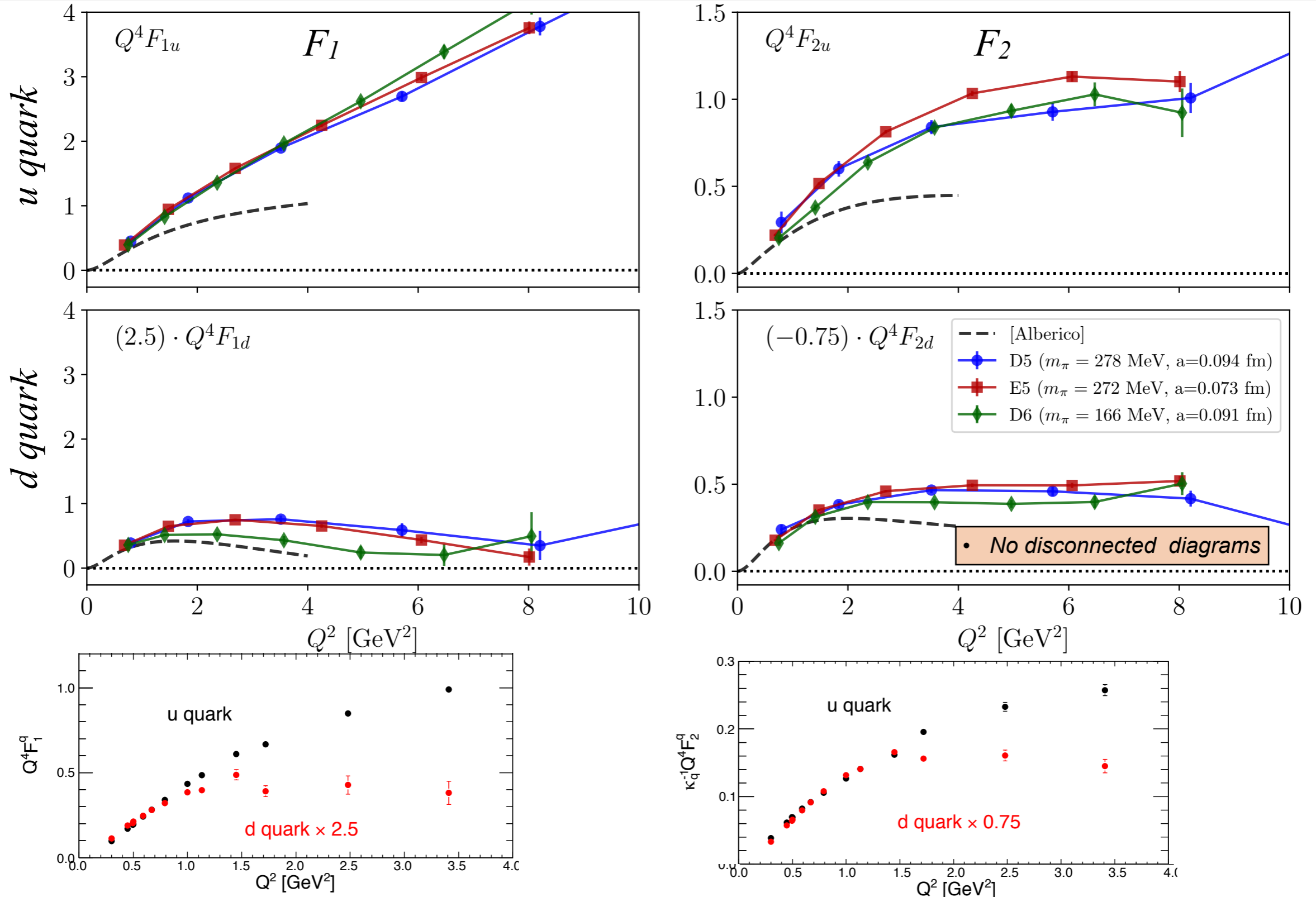
# Neutron $G_E/G_M$ Ratio

- Lattice data: 2-state fits
- Phenomenology curves : [Alberico et al, PRC79:065204 (2008)]
- Comparison to experimental data (black points)





# Light-Flavor Decomposition (Proton)

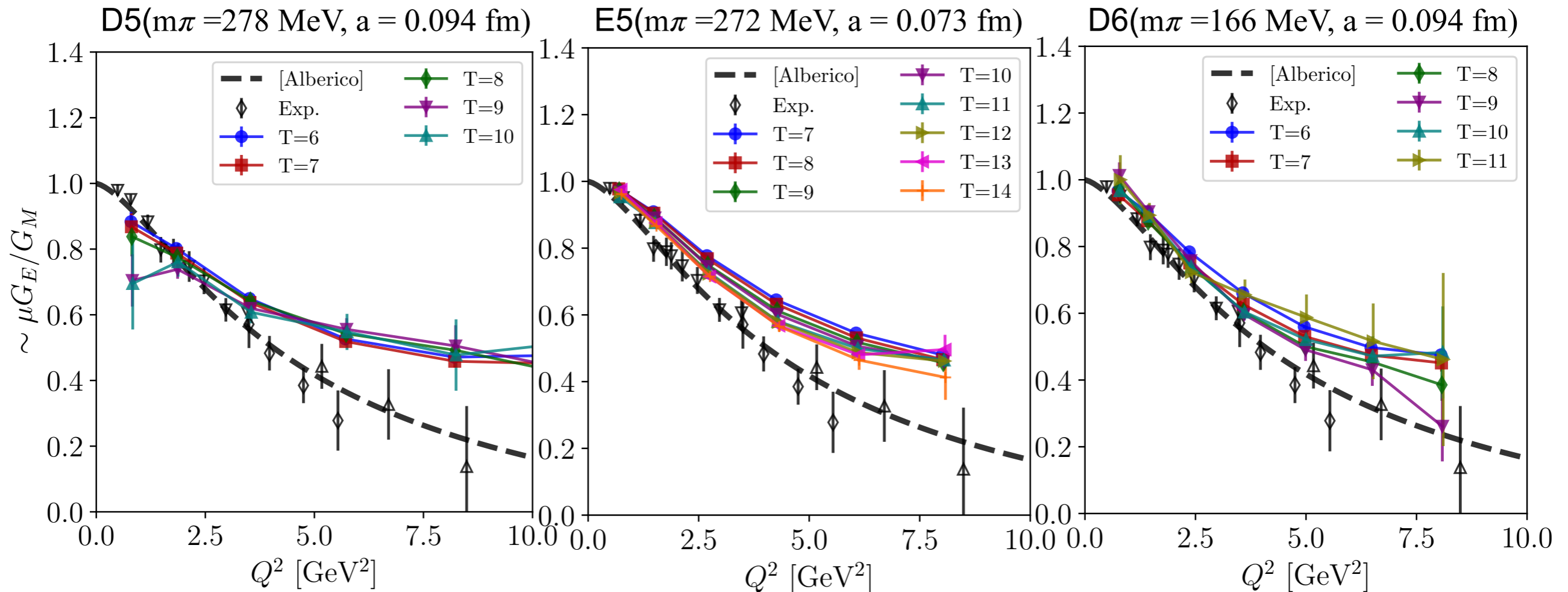


● Similar qual.features of flavor dependence [G.D.Cates, et al, PRL 106:252003(2011)]

# Examine Exc.States : Proton $G_E/G_M$

- Robust estimator from nucleon-current correlators:  
avoid lattice correlators fits to  $\sim \Sigma \exp(-Et)$

$$\begin{aligned} \text{Re} \langle p' \hat{x} | J_t | p \hat{x} \rangle &\propto \cosh \frac{\lambda' + \lambda}{2} G_E \\ \text{Re} \langle p' \hat{x} | J_y | p \hat{x} \rangle &\propto \sinh \frac{\lambda' - \lambda}{2} G_M \end{aligned} \quad \text{where} \quad \left( \begin{array}{l} p^{(\prime)} = m_N \sinh \lambda^{(\prime)} \\ E^{(\prime)} = m_N \cosh \lambda^{(\prime)} \end{array} \right)$$



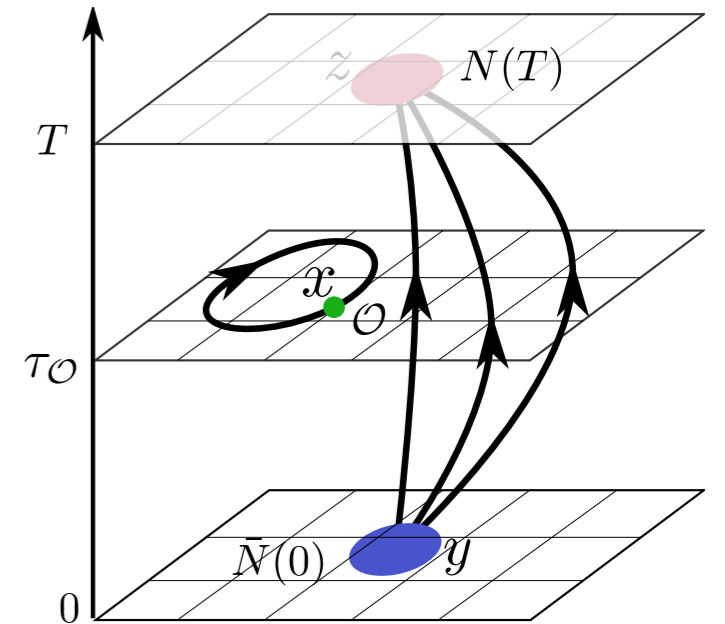
$$\left( \frac{\sinh \frac{\lambda' - \lambda}{2}}{\cosh \frac{\lambda' + \lambda}{2}} \right) \frac{\text{Re} \langle N_{\uparrow}(p'_x, T) J_t(T/2) \bar{N}_{\uparrow}(p_x, 0) \rangle}{\text{Re} \langle N_{\uparrow}(p'_x, T) J_y(T/2) \bar{N}_{\uparrow}(p_x, 0) \rangle} \stackrel{T \rightarrow \infty}{=} G_E/G_M$$

# Disconnected Quark Loops

- Stochastic evaluation:  $\begin{cases} \xi(x) = \text{random } Z_2\text{-vector} \\ E[\xi^\dagger(x)\xi(y)] = \delta_{x,y} \end{cases}$

$$\sum_x e^{iqx} \mathbb{D}^{-1}(x, x) \approx \frac{1}{N_{MC}} \sum_i^{N_{MC}} \xi_{(i)}^\dagger (e^{iqx} \mathbb{D}^{-1} \xi_{(i)})$$

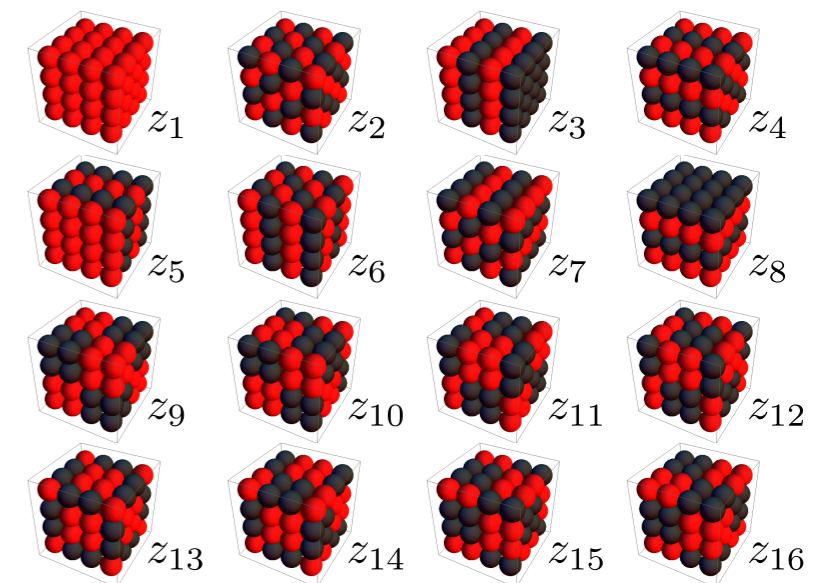
$$\text{Var}\left(\sum_x \mathbb{D}^{-1}(x, x)\right) \sim \frac{1}{N_{MC}} \quad (\text{contributions from } \mathbb{D}^{-1}(x \neq y))$$



- Exploit  $\mathbb{D}^{-1}(x, y)$  falloff to reduce  $\sum_{x \neq y} |\mathbb{D}^{-1}(x, y)|^2$  :

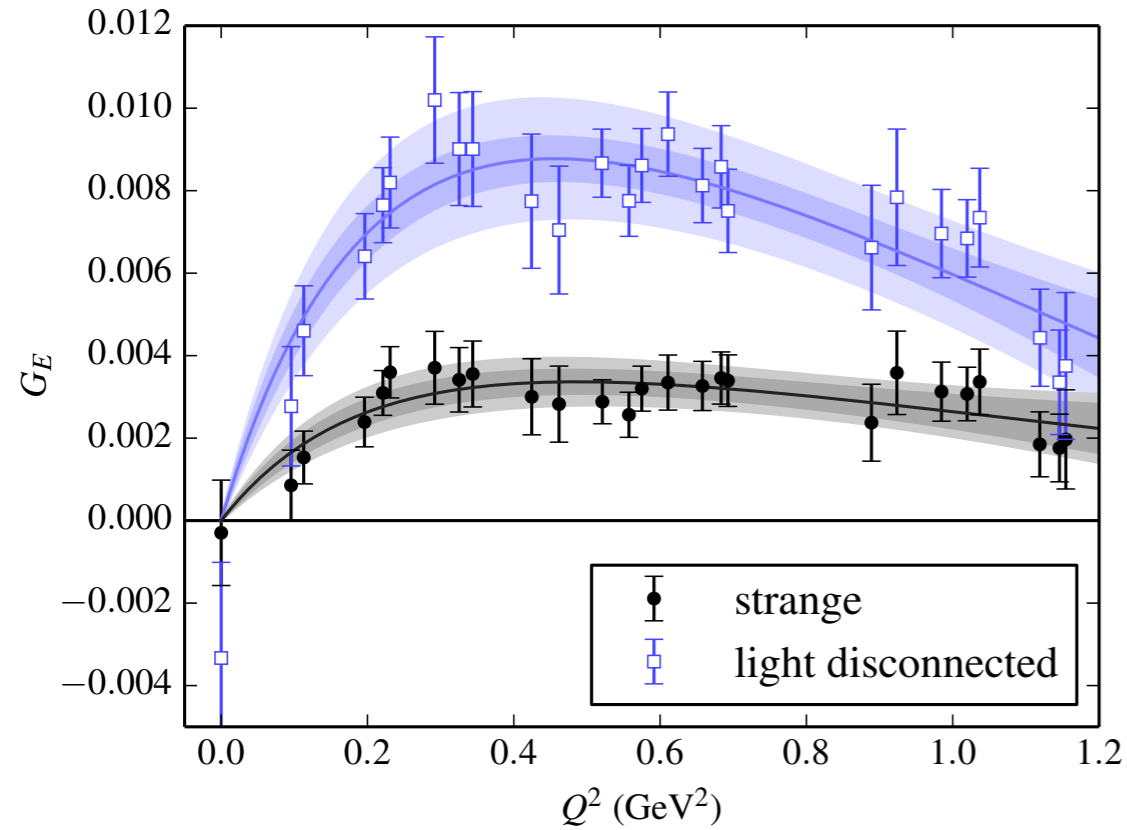
Hierarchical probing method [K.Orginos, A.Stathopoulos, '13] :  
In sum over  $N=2^{nd+1}$  3D(4D) **Hadamard vectors**,  
near-(x,y) terms cancel:

$$\frac{1}{N} \sum_i z_i(x) z_i(y)^\dagger = \begin{cases} 0, & 1 \leq |x - y| \leq 2^k, \\ 1, & x = y \text{ or } 2^k < |x - y| \end{cases}$$



- Further decrease variance by deflating low-lying, long-range modes [A.Gambhir's PhD thesis]

# Prior work: Disc.Light & Strange Quark F.F's



$N_f=2+1$  dynamical fermions,  $m_\pi \approx 320$  MeV  
(C13 ensemble)

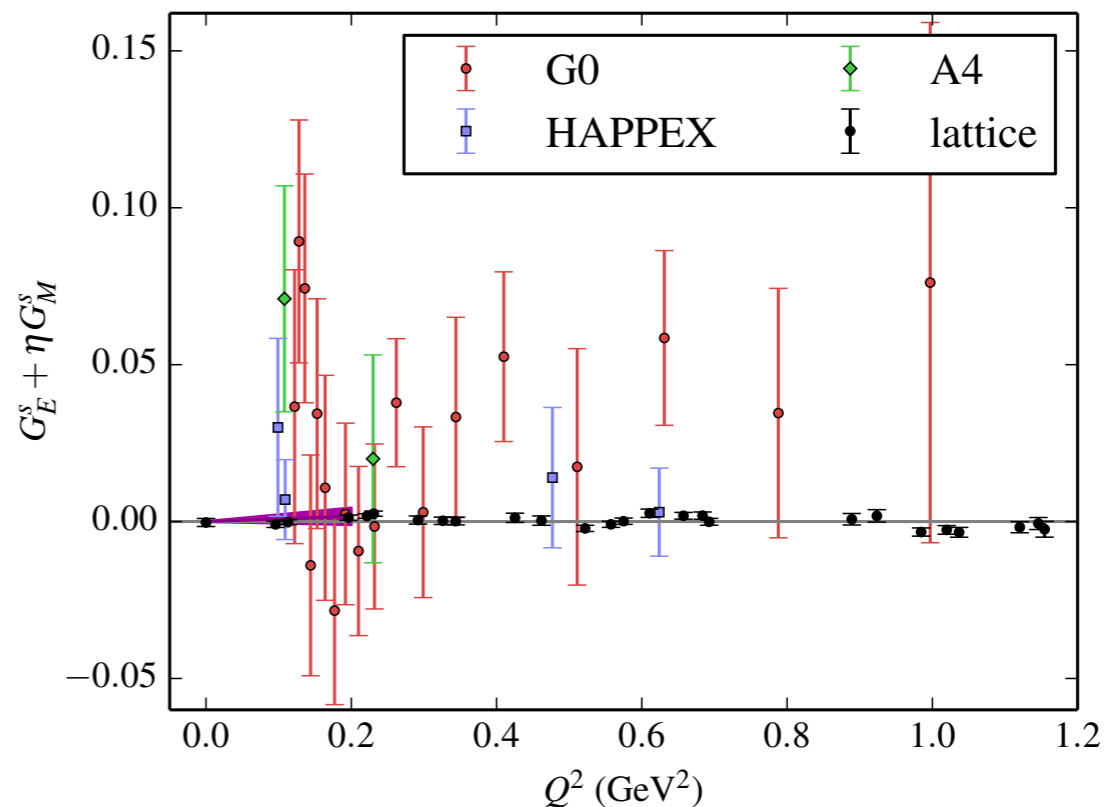
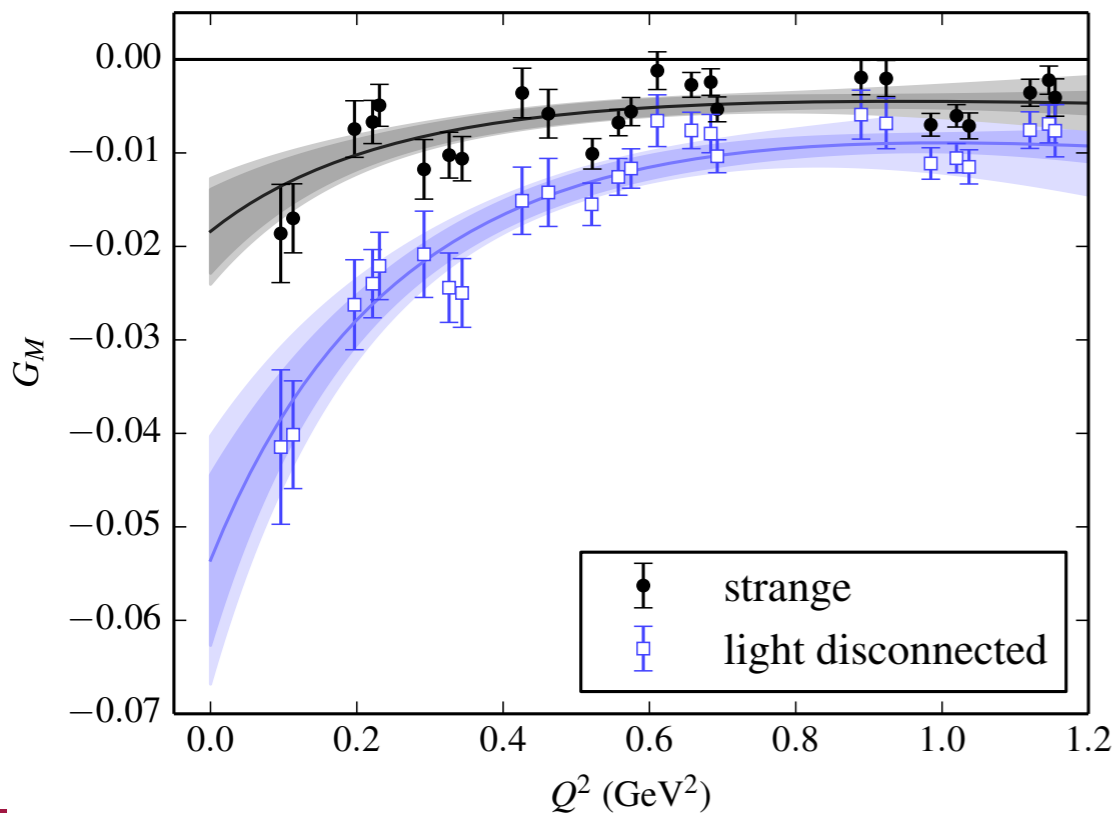
$$|(G_E^{u/d})_{\text{disc}}| \lesssim 0.010 \text{ of } |(G_E^{u-d})_{\text{conn}}|$$

$$|(G_E^s)_{\text{disc}}| \lesssim 0.005 \text{ of } |(G_E^{u-d})_{\text{conn}}|$$

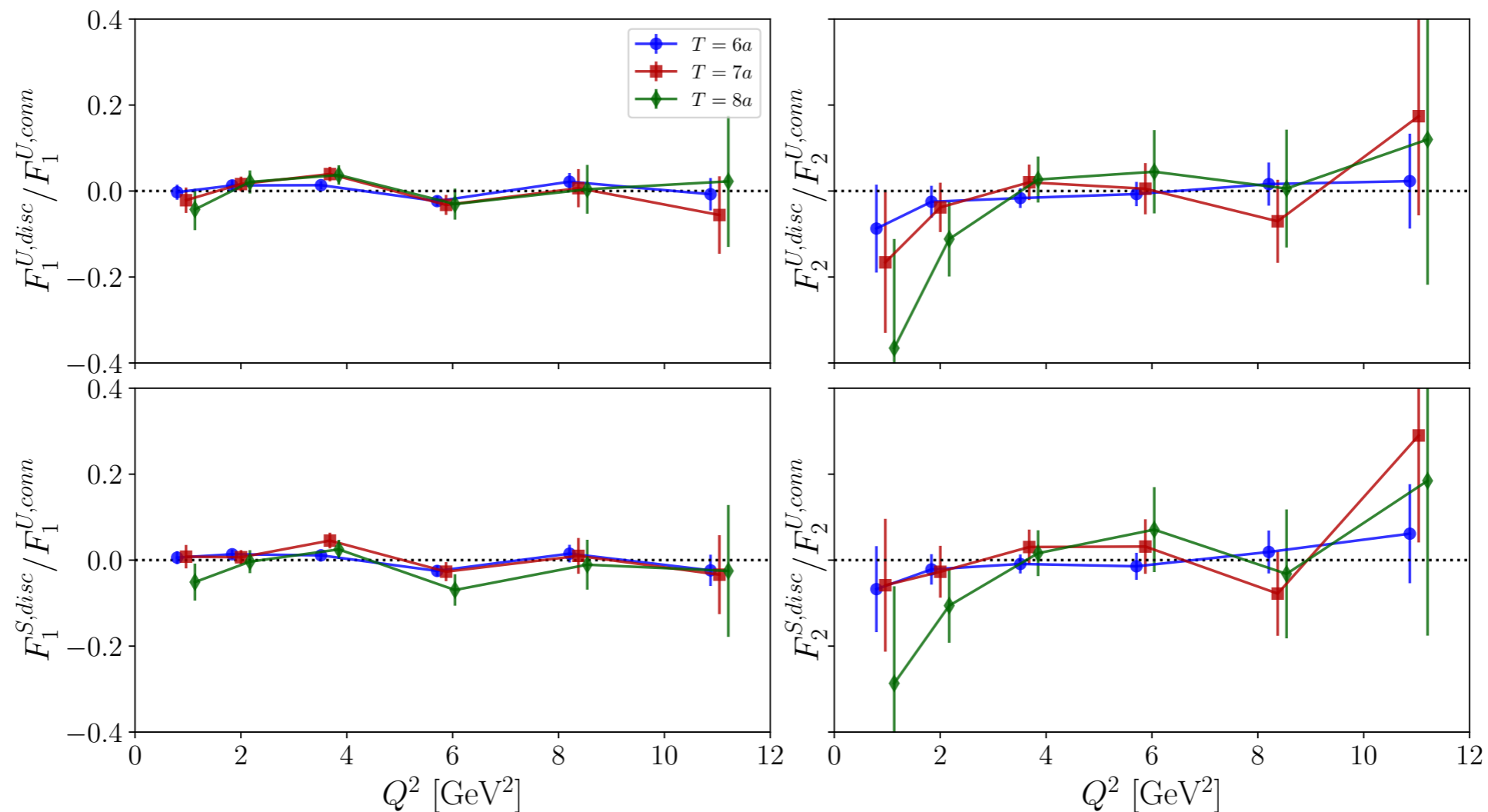
$$|(G_M^{u/d})_{\text{disc}}| \lesssim 0.015 \text{ of } |(G_M^{u-d})_{\text{conn}}|$$

$$|(G_M^s)_{\text{disc}}| \lesssim 0.005 \text{ of } |(G_M^{u-d})_{\text{conn}}|$$

[J. Green, S. Meinel, S.S. et al;  
PRD92:031501 (2015)]



# Disconnected Light, Strange vs. Connected



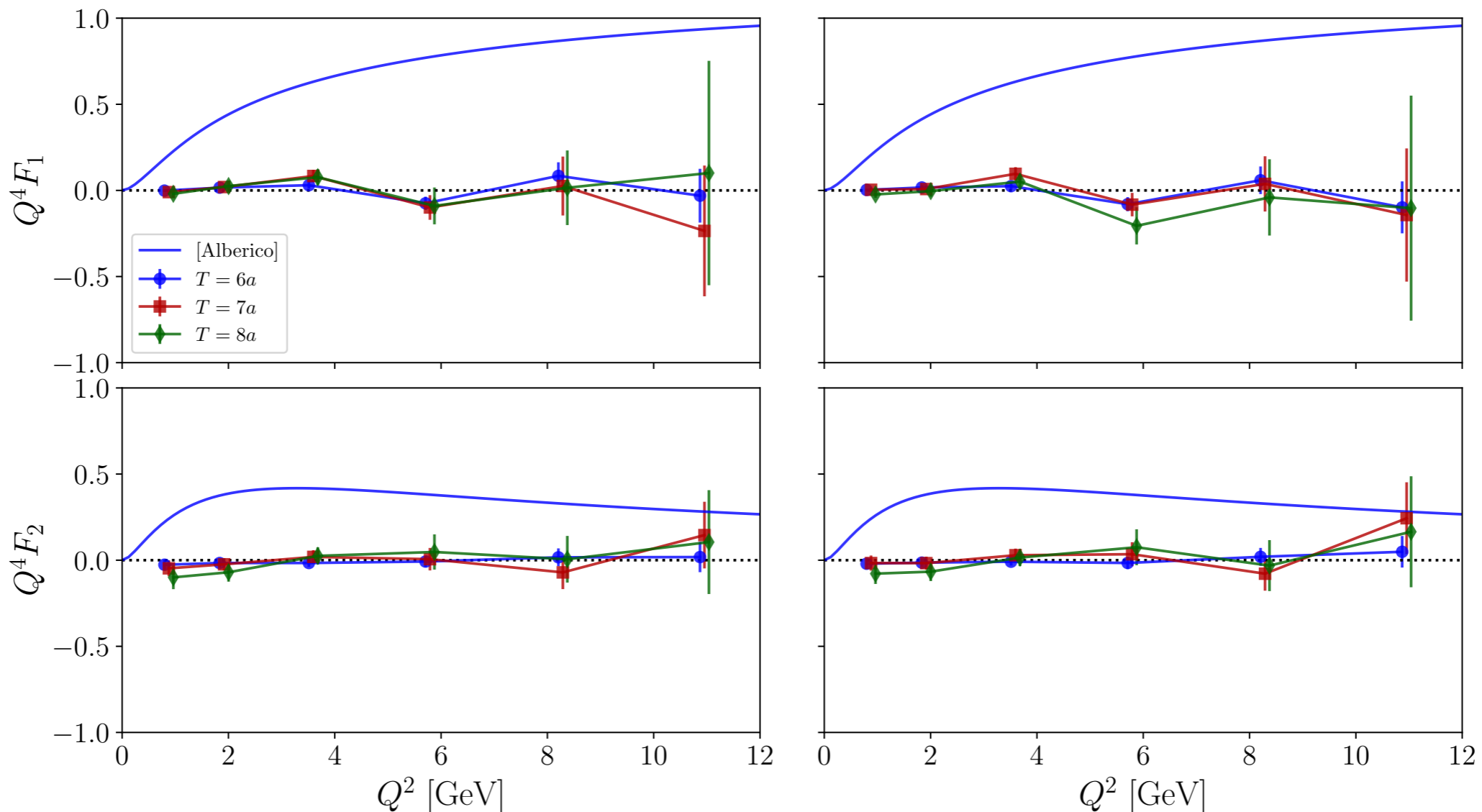
- D5 ensemble(  $m_\pi=280$  MeV,  $a=0.094$  fm), 1346 configs,
- 512 HP vectors ; UD: also deflation with 500 DdagD evecs  
[Stathopoulos et al (2013) ; Gambhir et al 2017]

- s-, disconnected  $u, d$ - contributions are small  
also at high  $Q^2$  up to  $\approx 10$  GeV<sup>2</sup>

$$|F_1^s| \lesssim |(F_1^{u/d})_{\text{disc}}| \lesssim 10\% \text{ of } |F_2^{u,d}|$$

$$|F_2^s| \lesssim |(F_2^{u/d})_{\text{disc}}| \lesssim 20\% \text{ of } |F_2^{u,d}|$$

# Disconnected Light, Strange vs. Connected



- D5 ensemble(  $m_\pi=280$  MeV,  $a=0.094$  fm), 1346 configs,
- 512 HP vectors ; UD: also deflation with 500 DdagD evects  
[Stathopoulos et al (2013) ; Gambhir et al 2017]

- s-, disconnected  $u, d$ - contributions are small  
also at high  $Q^2$  up to  $\approx 10$  GeV<sup>2</sup>

$$|F_1^s| \lesssim |(F_1^{u/d})_{\text{disc}}| \lesssim 10\% \text{ of } |F_2^{u,d}|$$

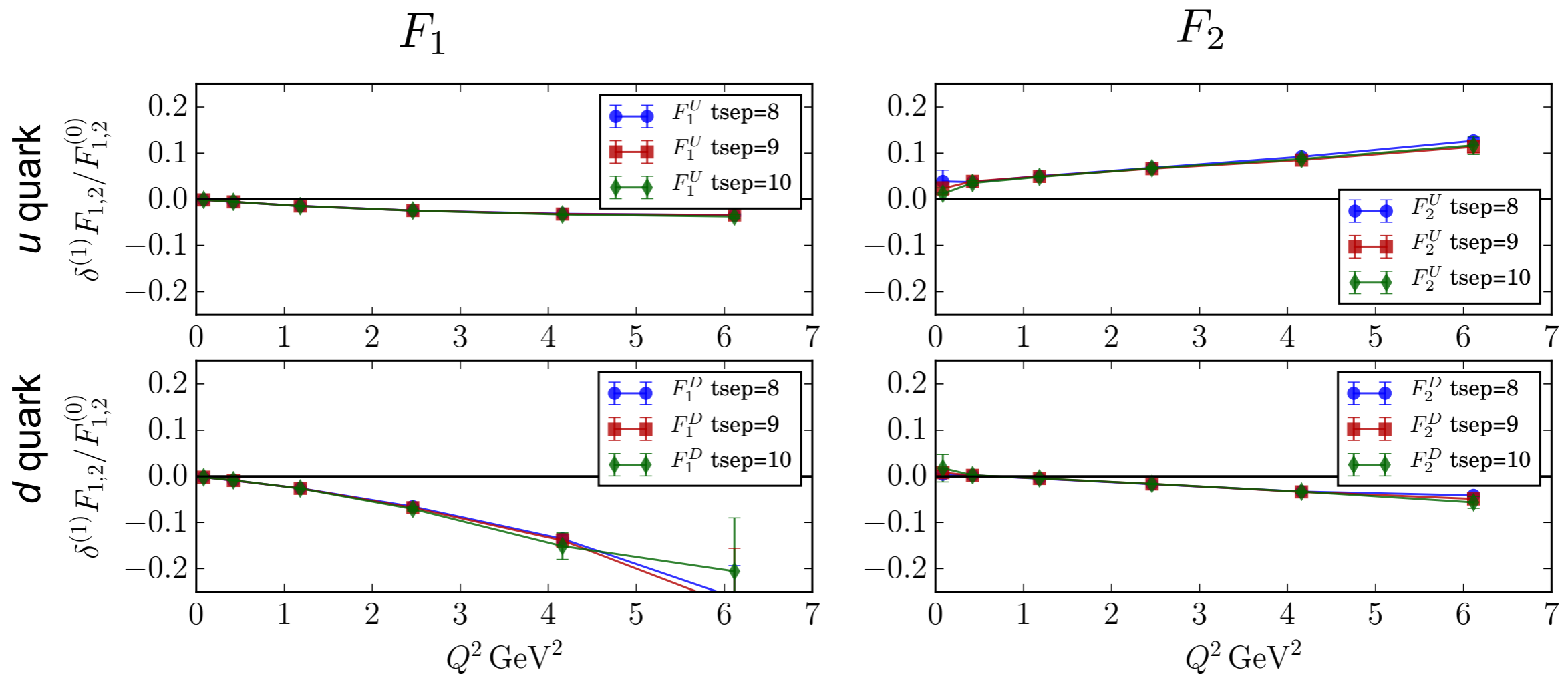
$$|F_2^s| \lesssim |(F_2^{u/d})_{\text{disc}}| \lesssim 20\% \text{ of } |F_2^{u,d}|$$

# O(a) Vector Current Correction

• No disconnected diagrams

Improved vector current  $(V_\mu)_I = \bar{q}\gamma_\mu q + c_V a\partial_\nu \bar{q}i\sigma_{\mu\nu}q$

O(a<sup>1</sup>) correction : form factors of  $a \langle N | \partial_\nu (\bar{q}i\sigma^{\mu\nu}q) | N \rangle$



Relative magnitude of O(a<sup>1</sup>) effects :  $\{O(a^1)\} / \{O(a^0)\}$  form factors  
(assuming  $c_V=0.05$ )

- improvement coefficient  $c_V$ : must be computed on lattice from WI
- perturbation theory:  $c_V \approx -0.01 C_F (g_0)^2$

# Summary

- Preliminary results for high MC-statistics high-momentum form factors up to  $Q^2 \approx 12 \text{ GeV}^2$ , two lattice spacings  $a \approx 0.07 \text{ fm}$ , two pion masses  $m_\pi \approx 170 \text{ MeV}$   
*(No quark-disconnected contributions yet)*
- Form factor results overshoot experimental data  $\times(2 \dots 2.5)$  ;  
 $G_E/G_M$  ratios in qualitative agreement  
*Discretization?*  
*Excited states?*  
*Non-physical quark masses?*  
*Quark-Disconnected contributions?*
- Comparison to experiment important to validate lattice methods for computing relativistic nucleon matrix elements  
*Impact on lattice methodology for TMDs, PDFs, DAs calculation*



# BACKUP