## ChatJesseT

Type your question below.

Question: When do you think Phiala solve QCD with her amazing work on Normalizing Flows?

Ah, Phiala! She's a brilliant physicist, and her work on Normalizing Flows is truly remarkable. While Normalizing Flows have shown great promise in various applications, such as LHC event generation and anomaly detection, solving Quantum Chromodynamics (QCD) is a whole different beast. QCD, the theory that describes the strong nuclear force, is notoriously complex. It governs the interactions of quarks and gluons, and understanding its behavior at low energies, where confinement occurs, is still an open challenge. While Normalizing Flows offer a powerful tool for modeling probability distributions, they are not a magic wand that can instantly solve the intricacies of QCD. However, Phiala's work on Normalizing Flows can certainly contribute to our understanding of QCD. By providing a tractable likelihood evaluation and the ability to train on weighted events, Normalizing Flows can help us generate more accurate simulations and improve our theoretical models. They offer a valuable tool for exploring the phase space of QCD processes and quantifying uncertainties. So, while Phiala's amazing work on Normalizing Flows won't solve QCD on its own, it is a significant step forward in our quest to unravel the mysteries of the strong nuclear force. It's like a powerful microscope that allows us to zoom in on the intricate details of QCD, bringing us closer to a deeper understanding of the fundamental particles and forces that shape our universe.


# Ask Jesse Thaler Al bot at https://chatjesset com/ 

## Enhancing Expressivity in Machine Learning: Application of Normalizing Flows in lattice QCD <br> Lattice 2023

Denis Boyda IAIFI, MIT

On behalf of MIT ML collaboration: P. Shanahan(MIT), D. Hackett(MIT), F. RomeroLópez(MIT), S. Racanière(DM), D. Rezende(DM), A. Razavi(DM), A. Botev(DM), G. Kanwar(UB), K. Cranmer(NYU), M. Albergo(NYU)

August 3 ${ }^{\text {rd }}$, 2023,
@ Fermilab

Other talks from our group


## Julian Urban

(Thursday 2:10)
Constructing
approximate semi-
analytic and machinelearned trivializing maps for lattice gauge theory


Daniel Hackett (Thursday 3:10) Practical applications of machine-learned flows on gauge fields

This talk is not about results but about a principle and a way of approaching NFs for LGT

## Spectral Flow: v1

$\mathrm{NF}: \int d V e^{S}=\int d U e^{S+\log J}$
$\underline{\text { Target action } S=\operatorname{ReTr} P_{1}+\operatorname{ReTr} P_{2}+\operatorname{ReTr} P_{3}+\operatorname{ReTr} P_{4}, ~}$

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1) Name (mask) plaquettes
2) Transform blue (active) link $U \rightarrow U^{\prime}$

> Maximal Torus Flow
3) Expressive transformation should have $\log J \sim-\operatorname{Re} \operatorname{Tr} A(-\operatorname{Re} \operatorname{Tr} B)$
4) (Ideal) Action after transformation $S \rightarrow S^{\prime}=\operatorname{Re} \operatorname{Tr} P_{3}+\operatorname{ReTr} P_{4}$

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4) ReName plaquettes / (alternate mask)
5) Transform blue (active) link $U \rightarrow U^{\prime}$
6) Expressive transformation should have $v$
7) (Ideal) Action after transformation $S \rightarrow S^{\prime}=0$

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2) Transform blue (active) link $U \rightarrow U^{\prime}$
a) Transform active plaquette $A \rightarrow A^{\prime}=f(A \mid \operatorname{Tr} F 1, \operatorname{Tr} F 2)$

- Diagonalize $A=V^{\dagger} L V$
- Transform diagonals $L \rightarrow L^{\prime}=g(L \mid \operatorname{TrF} 1, \operatorname{Tr} F 2)$
- Undiagonalize $A=V^{\dagger} L^{\prime} V$
b) Push update to the link $U \rightarrow U^{\prime}=A^{\prime} A^{\dagger} U$

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## Key observations:

- Jacobian of expressive transformation should compensate active/passive plaquettes in the action. However, it never happens in practice.
- Transformation is conditioned on invariant features fully ignoring equivariant information
- Degrees of freedom argument

1) ReName plaquettes / (alternate mask)
2) Transform blue (active) link $U \rightarrow U^{\prime}$
3) Expressive transformation should have $v$
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## A deeper look

Target action
$S=\operatorname{Re} \operatorname{Tr}(A+P)$


Aim: $\int d U^{\prime} \exp [S(U)+\log J]=\int d U$

## A deeper look

Target action
$S=\operatorname{Re} \operatorname{Tr}(A+P)$

$U^{\prime}=f(U)$
We need take into dependence of passive loop on active. Both are transformed though an active link, let's use it.

$$
A=S_{A} U^{\dagger}, P=U S_{P}=>P=A^{\dagger} S_{A} S_{P}
$$

$\Rightarrow-\log J=S(U)=\operatorname{ReTr}(A+P)=\operatorname{ReTr}(A(1+Q))$


## A deeper look

## Target action

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$$
A=S_{A} U^{\dagger}, P=U S_{P}=>P=A^{\dagger} S_{A} S_{P}
$$

$L$ is a diagonal matrix of eigen values


Recall for spectral flow

$$
\Rightarrow \operatorname{Haar}\left(L^{\prime}\right) \frac{d L^{\prime}}{d L}=\operatorname{Haar}(L) \exp \left[-\operatorname{Re} \operatorname{Tr}\left(L V(1+Q) V^{\dagger}\right)\right]
$$

- Diagonalize $A=V^{\dagger} L V \rightarrow$ Transform diagonals $L \rightarrow L^{\prime}=g(L \mid \operatorname{TrF} 1, \operatorname{TrF} 2)$
- Undiagonalize $A=V^{\dagger} L^{\prime} V$
b) Push update to the link $U \rightarrow U^{\prime}=A^{\prime} A^{\dagger} U$

And don't forget Haar measure

## A deeper look

## Target action



What would happen if we used different active loops (W2x1, W2x2,...)? It would only change loop(s) Q!
$S=\operatorname{Re} \operatorname{Tr}(A+P)$

$$
U^{\prime}=f(U)
$$

Aim: $\int d U^{\prime} \exp [S(U)+\log J]=\int d U$

We need take into dependence of passive loop on active. Both are transformed though an active link, let's use it.

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A=S_{A} U^{\dagger}, P=U S_{P}=>P=A^{\dagger} S_{A} S_{P}
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Recall for spectral flow
a) Transform active plaquette $A \rightarrow A^{\prime}=f(A \mid \operatorname{TrF} 1, \operatorname{TrF} 2)$

- Diagonalize $A=V^{\dagger} L V D \Rightarrow \operatorname{Haar}\left(L^{\prime}\right) \frac{d L^{\prime}}{d L}=\operatorname{Haar}(L) \exp \left[-\operatorname{Re} \operatorname{Tr}\left(L V(1+Q) V^{\dagger}\right)\right]$
- Undiagonalize $A=V^{\dagger} L^{\prime} V$
b) Push update to the link $U \rightarrow U^{\prime}=A^{\prime} A^{\dagger} U$

And don't forget Haar measure

$$
\Rightarrow L^{\prime}=\int \exp \left[-\operatorname{Re} \operatorname{Tr}\left(L\left(V(1+Q) V^{\dagger}\right)\right] \operatorname{Haar}(L) / \operatorname{Haar}\left(L^{\prime}\right) d L\right.
$$

Denis: I don't want to solve it, I'll use AI

Julian: I love old-style, I will solve it!

## A deeper look

Target action $S=\operatorname{Re} \operatorname{Tr}(A+P)$

$$
\text { We can add diag because } \mathrm{L} \text { is diagonal! }
$$



$$
L^{\prime}=\int \exp \left[-\operatorname{Re} \operatorname{Tr}\left(L V(1+Q) V^{\dagger}\right)\right] \operatorname{Haar}(L) / \operatorname{Haar}\left(L^{\prime}\right) d L
$$

Coupling transformation $L^{\prime}=f(L \mid$ features $)$ must be conditioned on features

$$
\operatorname{diag}\left(V(1+Q) V^{\dagger}\right)
$$

which are gauge-invariant and eigen decomposition invariant.
How would transformation change if we had frozen loops $S=\operatorname{Re} \operatorname{Tr}(A+P+F 1+F 2)$ ?


- With one iteration we can trivialize only plaquettes (active and passive) which contain active link
- In this case there is no useful information in frozen plaquettes
=> With dense mask we can(speaking only about 2D) trivialize all plaquettes in the action



## Spectral flow v2

Lessons:

1) Use dense mask as frozen loops contain no useful information

| P | A | P | A |
| :---: | :---: | :---: | :---: |
| P | A | P | A |
| P | A | P | A |
| $P$ P | A | P | A |

2) Build proper features

$$
\operatorname{diag}\left(V(1+Q) V^{\dagger}\right)
$$

3) Use expressive transformation (splines)

## Spectral flow Coupling Layer:

- Apply mask
- Transform active links $U \rightarrow U^{\prime}$
- Transform active plaquette $A \rightarrow A^{\prime}=f(A \mid$ features $)$
- Diagonalize $A=V^{\dagger} L V$
- Compute loops $Q$ (more on this later)
- Build "diagonal" features $\operatorname{diag}\left(V(1+Q) V^{\dagger}\right)$
- Transform $L \rightarrow L^{\prime}=g(L \mid$ features $)$
- Undiagonilize $A=V^{\dagger} L^{\prime} V$
- Push update to the link $U \rightarrow U^{\prime}=A^{\prime} A^{\dagger} U$

With expressive transformation $g(L \mid$ features $)$ logJ should trivialize/compensate plaquettes in the action.

Only one coupling layer is needed!
4) Not all links need to be transformed

## A more deeper look

Target action $S=\operatorname{Re} \operatorname{Tr}(A+P)$

$$
L^{\prime}=\int \exp \left[-\operatorname{Re} \operatorname{Tr}\left(L\left(V(1+Q) V^{\dagger}\right)\right] \frac{\operatorname{Haar}(L)}{\operatorname{Haar}\left(L^{\prime}\right)} d L *\right. \text { Constant }
$$

Remember Coupling transformation $L^{\prime}=f(L \mid$ features $)$ must be diffeomorphism on circle!

| Diffeomorphism if: |
| :---: |
| $f(0)=0$, |
| $f(2 \pi)=2 \pi$, |
| $\nabla f(\theta)>0$, |
| $\left.\nabla f(\theta)\right\|_{\theta=0}=\left.\nabla f(\theta)\right\|_{\theta=2 \pi}$ |



Image credit: F. Romero-López
This uniquely determined a solution from this family! Coupling transformation should satisfy:

- $L^{\prime}=e^{\Theta^{\prime}=0}=f\left(L=e^{\Theta=0} \mid\right.$ features $)=>f(I \mid$ features $)=I$

$$
L^{\prime}=\frac{1}{\operatorname{Normalizer}(\operatorname{Tr}(1+Q))} \int \exp \left[-\operatorname{Re} \operatorname{Tr}\left(L\left(V(1+Q) V^{\dagger}\right)\right] \frac{\operatorname{Haar}(L)}{\operatorname{Haar}\left(L^{\prime}\right)} d L\right.
$$



Denis: Oh, we need hierarchical algorithm...


## Example trivializing 2D LGT

| $P$ | $A$ | $P$ | $A$ |
| :---: | :---: | :---: | :---: |
| $P$ | $A$ | $P$ | $A$ |
| $P$ | $A$ | $P$ | $A$ |
| $P$ | $A$ | $P$ | $A$ |



Effective action on every step contains all active
(A) and passive ( P ) loops

$$
S_{e f f}=\sum \operatorname{ReTr}\left(A_{i}+P_{i}\right)
$$

Every transformation trivialize active and passive loops but create larger loops


## Interpretation

$W=\left(\begin{array}{lll}a & b & c \\ d & e & f \\ g & h & i\end{array}\right) \Rightarrow(\operatorname{nondiag}(W), \operatorname{diag}(W))$
$\operatorname{nondiag}(W)=(b, c, d, f, g, h), \quad \operatorname{diag}(W)=(a, e, i)$


- In "static" (conventional) coordinate system every loop is rotated with a gauge
- Target action is "scalar" and does not depend on choice of coordinate system
- There is a local coordinate system in which loop is diagonal
- These coordinate systems are not unique and transformed under symmetry (gauge) transformation
- They are transformed in a same way as loops in "static" coordinate system
- Every loop is evaluated in local coordinate system (Gauge symmetry)
- Relevant degrees of freedom are transformed in a local coordinate system when loop is diagonal


## Interpretation



- Relevant degrees of freedom are transformed in a local coordinate system when loop is diagonal

- Relevant features are loops evaluated in the same coordinate system
- Map between different coordinate systems is defined by eigen vectors $V$


## Example

- Active loop $P_{01}(x)=V_{01}(x)^{\dagger} D(x) V_{01}(x)$ is transformed in local C.S. $-D(x)^{\prime}=f(D(x) \mid$.)
- Conditional information could be a loop $P_{23}(y)=V_{23}(y)^{\dagger} D(y) V_{23}(y)$
- Map is defined by parallel transport and eigenvectors $V_{01}(x)^{\dagger} L(x, y) V_{23}(y)^{\dagger}$
- Transformation $\mathrm{L}^{\prime}(\mathrm{x})=\mathrm{f}\left(\mathrm{L}(\mathrm{x}) \mid V(x) U_{\mu} V(x+\mu), \mathrm{L}(\mathrm{x}+\mu)\right)$


## Uncovered but Important topics

- Defects. 2D LGT can be tilled and trivialized in hierarchical way. However, 3/4D LGT can not be tiled. In 3/4D there are limited number of links/loops which transformed more/less then others, I call them defects.

- In 3/4D to deal with defects more expressive transformation must be used. It results in more complicated features and autoregressive coupling.
- Location update is one of such techniques, and it requires specific masking.
- We can think of a algorithm which automatically builds necessary featured loops from active links/loops
- In LGT volume scaling is determined by residual of interpolation. Keeping residual the same as volume growth will result in a same model quality. Naive analysis predicts increasing number of interpolation intervals as $\sqrt{\boldsymbol{V}}$


## Take away message

## Blind application of ML techniques delivers not the best results. Approach should be adapted with math and knowledge of the physical domain

## This is not only about trivialization. This is about the expressivity of a general NF. The principle should be replicated for flow between any two distributions.

- Hierarchical approach is necessary for fully trivializing LGT
- LGT can be trivialized with expressive coupling transformation in $\mathrm{O}\left(\log _{2} 2(\mathrm{~V})\right)$ coupling steps. Model quality is controlled by interpolation residual
- Type of active loops does not matter if relevant features used
- For proper trivialization relevant features must be used. Those are loops evaluated in local diagonal coordinate system
- 2D LGT can be tiled and trivialized in hierarchical way for log_2(V). 3D/4D LGT has defects, loops transformed more/less than others. This results in necessary of creating specific mask, specific features and autoregressive transformation.


## I am glad to be here

## Thank you!

Question?


## Back up



