### A puzzle: Solar Neutrinos





- Experiments measuring  $\nu_e$  observe a deficit
- Deficit disappears in NC  $\Rightarrow$  Solar Model Independent Effect
- Deficit is energy dependent  $\Rightarrow P_{ee} \sim 30\% (< 0.5)$ !!! for  $E_{\nu} \gtrsim 8 \text{ MeV}$ But  $\Delta m_{21}^2 L_{\text{sun-Earth}}/E_{\nu} \sim 10^5 \Rightarrow \text{averaged oscillations } ((P_{ee}) = 1 - \frac{1}{2} \sin^2 2\theta)$ How is it possible to have  $\langle P_{ee} \rangle < \frac{1}{2}$  in averaged oscillation regime???

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# INTRO TO PHENOMENOLOGY WITH MASSIVE NEUTRINOS: LECTURE III

### Concha Gonzalez-Garcia

(ICREA-University of Barcelona & YITP-Stony Brook )

### OUTLINE

- Propagation in Matter: Effective Potentials
- Flavour Transitions in Matter: MSW
- Global  $3\nu$  picture

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### **Neutrinos in Matter: Effective Potentials**

• In SM the characteristic  $\nu$ -p interaction cross section

$$\sigma \sim \frac{G_F^2 E^2}{\pi} \sim 10^{-43} \text{cm}^2 \quad \text{at } \mathcal{E}_{\nu} \sim \text{MeV}$$

• So if a beam of  $\Phi_{\nu} \sim 10^{10} \nu' s$  was aimed at the Earth only 1 would be deflected so it seems that for neutrinos *matter does not matter* 

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- In *coherent* interactions  $\Rightarrow \nu$  and medium momentum remain unchanged Interference of scattered and unscattered  $\nu$  waves
- Coherence  $\Rightarrow$  decoupling of  $\nu$  evolution equation from eqs of medium.
- The effect of the medium is described by an effective potential depending on density and composition of matter

• Lets consider  $\nu_e$  in a medium with e, p, and n. The low-energy Hamiltonian density:

$$H_W = \frac{G_F}{\sqrt{2}} \left[ J^{(+)\alpha}(x) J^{(-)}_{\alpha}(x) + \frac{1}{4} J^{(N)\alpha}(x) J^{(N)}_{\alpha}(x) \right]$$

 $CC Int \quad J_{\alpha}^{(+)}(x) = \overline{\nu_{e}}(x)\gamma_{\alpha}(1-\gamma_{5})e(x) \qquad J_{\alpha}^{(-)}(x) = \overline{e}(x)\gamma_{\alpha}(1-\gamma_{5})\nu_{e}(x)$   $NC Int \quad J_{\alpha}^{(N)}(x) = \overline{\nu_{e}}(x)\gamma_{\alpha}(1-\gamma_{5})\nu_{e}(x) - \overline{e}(x)[\gamma_{\alpha}(1-\gamma_{5})-s_{W}^{2}\gamma_{\alpha}]e(x)$   $+\overline{p}(x)[\gamma_{\alpha}(1-g_{A}^{(p)}\gamma_{5})-4s_{W}^{2}\gamma_{\alpha}]p(x) - \overline{n}(x)[\gamma_{\alpha}(1-g_{A}^{(n)}\gamma_{5})-4s_{W}^{2}\gamma_{\alpha}]n(x)$ 

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• Example: The effect of CC with the *e* medium. The effective CC Hamiltonian density:

$$H_{CC}^{(e)} = \frac{G_F}{\sqrt{2}} \int d^3 p_e f(E_e) \left\langle \langle e(s, p_e) | \overline{e} \gamma^{\alpha} (1 - \gamma_5) \nu_e \overline{\nu_e} \gamma_{\alpha} (1 - \gamma_5) | e(s, p_e) \rangle \right\rangle$$
  
Fierz  
rearrange 
$$= \frac{G_F}{\sqrt{2}} \overline{\nu_e} \gamma_{\alpha} (1 - \gamma_5) \nu_e \int d^3 p_e f(E_e) \left\langle \langle e(s, p_e) | \overline{e} \gamma_{\alpha} (1 - \gamma_5) e | e(s, p_e) \rangle \right\rangle$$

rea

 $f(E_e)$  statistical energy distribution of e in homogeneous and isotropic medium.  $\int d^3 p_e f(E_e) = 1$  $\langle ... \rangle \equiv$  summing over all *e* of momentum  $p_e$ .

coherence  $\Rightarrow$  s,  $p_e$  same for initial and final e

• Expanding the electron fields e in plane waves (quantized in a volume  $\mathcal{V}$ )

 $\langle e(s, p_e) | \overline{e} \gamma_{\alpha} (1 - \gamma_5) e | e(s, p_e) \rangle = \frac{1}{2E_e \mathcal{V}} \langle e(s, p_e) | \overline{u_s}(p_e) a_s^{\dagger}(p_e) \gamma_{\alpha} (1 - \gamma_5) a_s(p_e) u_s(p_e) | e(s, p_e) \rangle$ 

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• Since  $a_s^{\dagger}(p_e)a_s(p_e) = \mathcal{N}_e^{(s)}(p_e)$  (number operator) and assuming that there are the same number of electrons with spin 1/2 and -1/2

$$\frac{1}{\mathcal{V}}\left\langle \langle e(s,p_e)|a_s^{\dagger}(p_e)a_s(p_e)|e(s,p_e)\rangle \right\rangle \equiv \sum_s N_e^s(p_e) = N_e(p_e)\frac{1}{2}\sum_s N_e^s(p_e)\frac{1}{2}\sum_s N$$

where  $N_e(p_e)$  number density of electrons with momentum  $p_e$  summed over helicities

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$$\left\langle \langle e(s,p_e) | \overline{e} \gamma_{\alpha} (1-\gamma_5) e | e(s,p_e) \rangle \right\rangle = \frac{N_e(p_e)}{4E_e} \sum_s \overline{u_s}(p_e) \gamma_{\alpha} (1-\gamma_5) u_s(p_e)$$

$$= \frac{N_e(p_e)}{4E_e} \sum_s Tr \left[ \overline{u_s}(p_e) \gamma_{\alpha} (1-\gamma_5) u_s(p_e) \right] = \frac{N_e(p_e)}{4E_e} \sum_s Tr \left[ u_s(p_e) \overline{u_s}(p_e) \gamma_{\alpha} (1-\gamma_5) \right]$$

$$= \frac{N_e(p_e)}{4E_e} Tr \sum_s \left[ u_s(p_e) \overline{u_s}(p_e) \gamma_{\alpha} (1-\gamma_5) \right] = \frac{N_e(p_e)}{4E_e} Tr \left[ (m_e + p) \gamma_{\alpha} (1-\gamma_5) \right] = N_e(p_e) \frac{p_e^{\alpha}}{E_e}$$

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• For isotropic medium  $\Rightarrow \int d^3 p_e \vec{p_e} f(E_e) N_e(p_e) = 0$ 

• By definition  $\int d^3 p_e f(E_e) N_e(p_e) = N_e$  electron number density

• The effective charged current Hamiltonian density due to electrons in matter is then:

$$H_{CC}^{(e)} = \frac{G_F N_e}{\sqrt{2}} \overline{\nu_e}(x) \gamma_0 (1 - \gamma_5) \nu_e(x)$$

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• Thus the effective potential than  $\nu_e$  "feels" due to *e*'s

$$\begin{aligned} V_{CC} &= \langle \nu_e | \int d^3 x H_{CC}^{(e)} | \nu_e \rangle \\ &= \frac{G_F N_e}{\sqrt{2}} \langle \nu_e | \int d^3 x \overline{\nu_e}(x) \gamma_0 (1 - \gamma_5) \nu_e(x) | \nu_e \rangle \\ &= \frac{G_F N_e}{\sqrt{2}} \frac{1}{2E_\nu \mathcal{V}} 2 \int d^3 x \ u_{\nu_L}^{\dagger} u_{\nu_L} = \sqrt{2} G_F N_e \end{aligned}$$

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$$V_{CC} = \sqrt{2}G_F N_e$$

• for  $\overline{\nu_e}$  the sign of  $V_{CC}$  is reversed

• Other potentials for  $\nu_e$  ( $\overline{\nu}_e$ ) due to different particles in medium

| medium                | $V_{CC}$                          | $V_{NC}$  |
|-----------------------|-----------------------------------|---|
| $e^+$ and $e^-$       | $\pm\sqrt{2}G_F(N_e-N_{\bar{e}})$ | $\mp \frac{G_F}{\sqrt{2}} (N_e - N_{\bar{e}}) (1 - 4\sin^2 \theta_W)$ |
| $p 	ext{ and } ar{p}$ | 0                                 | $\mp \frac{G_F}{\sqrt{2}} (N_p - N_{\bar{p}}) (1 - 4\sin^2 \theta_W)$ |
| $n 	ext{ and } ar{n}$ | 0                                 | $\mp rac{G_F}{\sqrt{2}}(N_{m{n}}-N_{ar{m{n}}})$                      |
| Neutral $(N_e = N_p)$ | $\pm \sqrt{2}G_F N_e$             | $\mp \frac{G_F}{\sqrt{2}} N_n$  |

For  $\nu_{\mu}$  and  $\nu_{\tau}$ :  $V_{NC}$  are the same as for  $\nu_e$  BUT  $V_{CC} = 0$  for any of these media

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| $p 	ext{ and } ar{p}$ | 0                                 | $\mp \frac{G_F}{\sqrt{2}} (N_p - N_{\bar{p}}) (1 - 4\sin^2 \theta_W)$ |
| $n 	ext{ and } ar{n}$ | 0                                 | $\mp rac{G_F}{\sqrt{2}}(N_n-N_{ar{n}})$                              |
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For  $\nu_{\mu}$  and  $\nu_{\tau}$ :  $V_{NC}$  are the same as for  $\nu_e$  BUT  $V_{CC} = 0$  for any of these media

• Estimating typical values:

$$V_{CC} = \sqrt{2}G_F N_e \simeq 7.6 Y_e \frac{\rho}{10^{14} \text{g/cm}^3} \text{ eV}$$
$$Y_e = \frac{N_e}{N_p + N_n} \equiv \text{relative number density}$$
$$\rho \equiv \text{matter density}$$

- At the solar core  $\rho \sim 100 \text{ g/cm}^3 \Rightarrow V \sim 10^{-12} \text{ eV}$
- At supernova  $\rho \sim 10^{14} \text{ g/cm}^3 \Rightarrow V \sim \text{eV}$

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## **Neutrinos in Matter: Evolution Equation**

Evolution Eq. for  $|\nu\rangle = \nu_1 |\nu_1\rangle + \nu_2 |\nu_2\rangle \equiv \nu_\alpha |\nu_\alpha\rangle + \nu_\beta |\nu_\beta\rangle$ 

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(a) In vacuum in the mass basis: 
$$-i\frac{\partial}{\partial x}\begin{pmatrix}\nu_1\\\nu_2\end{pmatrix} = \left\{E \times I - \begin{pmatrix}\frac{m_1^2}{2E} & 0\\0 & \frac{m_2^2}{2E}\end{pmatrix}\right\}\begin{pmatrix}\nu_1\\\nu_2\end{pmatrix}$$

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(b) In vacuum in the weak basis

$$-i\frac{\partial}{\partial x}\begin{pmatrix}\nu_{\alpha}\\\nu_{\beta}\end{pmatrix} = \left\{ \begin{bmatrix} E - \frac{m_{1}^{2} + m_{2}^{2}}{4E} \end{bmatrix} \times I - \begin{pmatrix}-\frac{\Delta m^{2}}{4E}\cos 2\theta & \frac{\Delta m^{2}}{4E}\sin 2\theta\\\frac{\Delta m^{2}}{4E}\sin 2\theta & \frac{\Delta m^{2}}{4E}\cos 2\theta \end{pmatrix} \right\} \begin{pmatrix}\nu_{\alpha}\\\nu_{\beta}\end{pmatrix}$$

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(b) In vacuum in the weak basis

$$-i\frac{\partial}{\partial x}\begin{pmatrix}\nu_{\alpha}\\\nu_{\beta}\end{pmatrix} = \left\{ \left[E - \frac{m_{1}^{2} + m_{2}^{2}}{4E}\right] \times I - \left(\begin{array}{c}-\frac{\Delta m^{2}}{4E}\cos 2\theta & \frac{\Delta m^{2}}{4E}\sin 2\theta\\\frac{\Delta m^{2}}{4E}\sin 2\theta & \frac{\Delta m^{2}}{4E}\cos 2\theta\end{array}\right) \right\} \begin{pmatrix}\nu_{\alpha}\\\nu_{\beta}\end{pmatrix}$$

(c) In matter (e, p, n) in weak basis

$$-i\frac{\partial}{\partial x}\begin{pmatrix}\nu_{\alpha}\\\nu_{\beta}\end{pmatrix} = \left\{ \begin{bmatrix} E - \frac{m_{1}^{2} + m_{2}^{2}}{4E} \end{bmatrix} \times I - \begin{pmatrix}V_{\alpha} - \frac{\Delta m^{2}}{4E}\cos 2\theta & \frac{\Delta m^{2}}{4E}\sin 2\theta\\\frac{\Delta m^{2}}{4E}\sin 2\theta & V_{\beta} + \frac{\Delta m^{2}}{4E}\cos 2\theta \end{pmatrix} \right\} \begin{pmatrix}\nu_{\alpha}\\\nu_{\beta}\end{pmatrix}$$

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(c) In matter (e, p, n) in weak basis

$$-i\frac{\partial}{\partial x}\begin{pmatrix}\nu_{\alpha}\\\nu_{\beta}\end{pmatrix} = \left\{ \begin{bmatrix} E - \frac{V_{\alpha} + V_{\beta}}{2} - \frac{m_{1}^{2} + m_{2}^{2}}{4E} \end{bmatrix} \times I - \begin{pmatrix}\frac{V_{\alpha} - V_{\beta}}{2} - \frac{\Delta m^{2}}{4E}\cos 2\theta & \frac{\Delta m^{2}}{4E}\sin 2\theta \\ \frac{\Delta m^{2}}{4E}\sin 2\theta & -\frac{V_{\alpha} - V_{\beta}}{2} + \frac{\Delta m^{2}}{4E}\cos 2\theta \end{pmatrix} \right\} \begin{pmatrix}\nu_{\alpha}\\\nu_{\beta}\end{pmatrix}$$

Diagonalizing:

$$-i\frac{\partial}{\partial x}\begin{pmatrix}\nu_{\alpha}\\\nu_{\beta}\end{pmatrix} \equiv \left\{ \begin{bmatrix} E - \frac{\mu_{1}^{2} + \mu_{2}^{2}}{4E} \end{bmatrix} \times I - \begin{pmatrix}-\frac{\Delta\mu^{2}}{4E}\cos 2\theta_{m} & \frac{\Delta\mu^{2}}{4E}\sin 2\theta_{m}\\\frac{\Delta\mu^{2}}{4E}\sin 2\theta_{m} & \frac{\Delta\mu^{2}}{4E}\cos 2\theta_{m}\end{pmatrix} \right\} \begin{pmatrix}\nu_{\alpha}\\\nu_{\beta}\end{pmatrix}$$

Effective masses and mixing are different than in vacuum

⇒ Effective masses and mixing are different than in vacuum – The effective masses:  $(A = 2E(V_{\alpha} - V_{\beta}))$ 

$$\mu_{1,2}^2(x) = \frac{m_1^2 + m_2^2}{2} + E(V_\alpha + V_\beta) \mp \frac{1}{2}\sqrt{(\Delta m^2 \cos 2\theta - A)^2 + (\Delta m^2 \sin 2\theta)^2}$$

$$\Delta \mu^2(x) = \sqrt{\left(\Delta m^2 \cos 2\theta - A\right)^2 + \left(\Delta m^2 \sin 2\theta\right)^2}$$

– The mixing angle in matter

$$\tan 2\theta_m = \frac{\Delta m^2 \sin 2\theta}{\Delta m^2 \cos 2\theta - A}$$

 $\Rightarrow$  Effective masses and mixing are different than in vacuum – The effective masses: ( $A = 2E(V_{\alpha} - V_{\beta})$ )

$$\mu_{1,2}^2(x) = \frac{m_1^2 + m_2^2}{2} + E(V_\alpha + V_\beta) \mp \frac{1}{2}\sqrt{(\Delta m^2 \cos 2\theta - A)^2 + (\Delta m^2 \sin 2\theta)^2}$$

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• Dependence on relative sign between A and  $\Delta m^2 \cos(2\theta)$ 

 $\Rightarrow$  Information on sign  $\Delta m^2$  or Octant of  $\theta$ 

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 $\Rightarrow$  If matter density varies along  $\nu$  trajectory the effective masses and mixing vary too

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At resonant potential:  $A_R = \Delta m^2 \cos 2\theta$ Minimum  $\Delta \mu^2 = \mu_2^2 - \mu_1^2$   $\Rightarrow$  If matter density varies along  $\nu$  trajectory the effective masses and mixing vary too



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### The oscillation length in vacuum

$$L_0^{osc} = \frac{4\pi E}{\Delta m^2}$$

The oscillation length in matter

$$L^{osc} \equiv \frac{4\pi E}{\Delta \mu^2} = \frac{L_0^{osc}}{\sqrt{(\Delta m^2 \cos 2\theta - A)^2 + (\Delta m^2 \sin 2\theta)^2}}$$

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Losc presents a resonant behaviour

At the resonant density  $A_R = \Delta m^2 \cos \theta$ 



$$L_R^{osc} = \frac{L_0^{osc}}{\sin 2\theta}$$

The width of the resonance in potential:

$$\delta V_R \equiv \frac{\delta A_R}{E} = \frac{\Delta m^2 \sin 2\theta}{E}$$

The width of the resonance in distance:

$$\delta r_R = \frac{\delta V_R}{|\frac{dV}{dr}|_R}$$

• In terms of the instantaneous mass eigenstates in matter:

$$\begin{pmatrix} \nu_{\alpha} \\ \nu_{\beta} \end{pmatrix} = U[\theta_m(x)] \begin{pmatrix} \nu_1^m(x) \\ \nu_2^m(x) \end{pmatrix}$$

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• In terms of the instantaneous mass eigenstates in matter:

$$\binom{\nu_{\alpha}}{\nu_{\beta}} = U[\theta_m(x)] \binom{\nu_1^m(x)}{\nu_2^m(x)}$$

• For varying potential:  $\begin{pmatrix} \dot{\nu}_{\alpha} \\ \dot{\mu}_{\alpha} \end{pmatrix}$ 

$$\begin{pmatrix} \dot{\nu}_{\alpha} \\ \dot{\nu}_{\beta} \end{pmatrix} = \dot{U}[\theta_m(x)] \begin{pmatrix} \nu_1^m(x) \\ \nu_2^m(x) \end{pmatrix} + U[\theta_m(x)] \begin{pmatrix} \dot{\nu}_1^m(x) \\ \dot{\nu}_2^m(x) \end{pmatrix}$$

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 $\Rightarrow$  the evolution equation in flavour basis (removing diagonal part)

$$i\begin{pmatrix}\dot{\nu}_{\alpha}\\\dot{\nu}_{\beta}\end{pmatrix} = \frac{1}{4E}\begin{pmatrix}A - \Delta m^{2}\cos 2\theta & \Delta m^{2}\sin 2\theta\\\Delta m^{2}\sin 2\theta & -A + \Delta m^{2}\cos 2\theta\end{pmatrix}\begin{pmatrix}\nu_{\alpha}\\\nu_{\beta}\end{pmatrix}$$

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$$\Rightarrow i \begin{pmatrix} \dot{\nu}_1^m \\ \dot{\nu}_2^m \end{pmatrix} = \frac{1}{4E} \begin{pmatrix} -\Delta\mu^2(x) & -4i E \dot{\theta}_m(x) \\ 4i E \dot{\theta}_m(x) & \Delta\mu^2(x) \end{pmatrix} \begin{pmatrix} \nu_1^m \\ \nu_2^m \end{pmatrix}$$

• The evolution equation in instantaneous mass basis

$$i\begin{pmatrix}\dot{\nu}_1^m\\\dot{\nu}_2^m\end{pmatrix} = \frac{1}{4E}\begin{pmatrix}-\Delta\mu^2(x) & -4\,i\,E\,\dot{\theta}_m(x)\\4\,i\,E\,\dot{\theta}_m(x) & \Delta\mu^2(x)\end{pmatrix}\begin{pmatrix}\nu_1^m\\\nu_2^m\end{pmatrix}$$

- $\Rightarrow$  It is not diagonal  $\Rightarrow$  Instantaneous mass eigenstates  $\neq$  eigenstates of evolution
- $\Rightarrow$  Transitions  $\nu_1^m \rightarrow \nu_2^m$  can occur  $\equiv$  *Non adiabaticity*
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- For  $\Delta \mu^2(x) \gg 4 E \dot{\theta}_m(x) \left[ \frac{1}{V} \frac{dV}{dx} \right]_R \ll \frac{\Delta m^2}{2E} \frac{\sin^2 2\theta}{\cos 2\theta} \equiv$ Slowly varying matter potent

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• The evolution equation in instantaneous mass basis

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#### The adiabaticity condition

$$\frac{1}{V}\frac{dV}{dx}\Big|_{R} \ll \frac{\Delta m^{2}}{2E}\frac{\sin^{2}2\theta}{\cos 2\theta} \equiv \frac{\delta r_{R} \gg L_{R}^{osc}/2\pi}{\delta r_{R}}$$

 $\Rightarrow$  Many oscillations take place in the resonant region

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**Neutrinos in The Sun : MSW Effect** 







- For  $\nu_e \leftrightarrow \nu_{\mu(\tau)}$ , in vacuum  $\nu_e = \cos \theta \nu_1 + \sin \theta \nu_2$
- For  $10^{-9} \text{ eV}^2 \lesssim \Delta m^2 \lesssim 10^{-4} \text{ eV}^2 \Rightarrow 2E_{\nu}V_{CC,0} > \Delta m^2 \cos 2\theta$

• Solar neutrinos are  $\nu_e$  produced in the core ( $R \leq 0.3 R_{\odot}$ ) of the Sun



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- For  $10^{-9} \text{ eV}^2 \lesssim \Delta m^2 \lesssim 10^{-4} \text{ eV}^2 \Rightarrow 2E_{\nu}V_{CC,0} > \Delta m^2 \cos 2\theta$

 $\Rightarrow \nu$  can cross resonance condition in its way out of the Sun

For 
$$\theta \ll \frac{\pi}{4}$$
: In vacuum  $\nu_e = \cos \theta \nu_1 + \sin \theta \nu_2$  is mostly  $\nu_1$   
In Sun core  $\nu_e = \cos \theta_{m,0} \nu_1 + \sin \theta_{m,0} \nu_2$  is mostly  $\nu_2$ 

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 $v_2$ 

 $\boldsymbol{v}_{1}$ 

A

 $\lesssim 3\times 10^{-9}$ 

ve

 $v_{\mu}$ 

 $A_{R}$ 

transition

For 
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: In vacuum  $\nu_e = \cos \theta \nu_1 + \sin \theta \nu_2$  is mostly  $\nu_1$   
In Sun core  $\nu_e = \cos \theta_{m,0} \nu_1 + \sin \theta_{m,0} \nu_2$  is mostly  $\nu_2$ 

If 
$$\frac{(\Delta m^2/eV^2)\sin^2 2\theta}{(E/MeV)\cos 2\theta} \gg 3 \times 10^{-9}$$
  
 $\Rightarrow$  Adiabatic transition  
\*  $\nu$  is mostly  $\nu_2$  before and after resonance  
\*  $\theta_m \downarrow dramatically$  at resonance  
 $\Rightarrow \nu_e$  component  $\downarrow \Rightarrow P_{ee} \downarrow$   
This is the MSW effect  
 $\mu^2_{\mu_1}^{\mu_2}$   
 $\mu_1^{\mu_2}^{\mu_2}$   
 $\mu_1^{\mu_2}$   
 $\mu_2^{\mu_2}$   
 $\mu_2^{\mu_2}$   

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### **Neutrinos in The Sun : MSW Effect**



 $\nu$  does not cross resonance:  $P_{ee} = 1 - \frac{1}{2}\sin^2 2\theta > \frac{1}{2}$ 



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### **Neutrinos in The Sun : The answer**



 $\Rightarrow$  Effective masses and mixing are different than in vacuum

- The effective masses:  $(A = 2E(V_{\alpha} - V_{\beta}))$ 

$$\mu_{1,2}^2(x) = \frac{m_1^2 + m_2^2}{2} + E(V_\alpha + V_\beta) \pm \frac{1}{2}\sqrt{(\Delta m^2 \cos 2\theta - A)^2 + (\Delta m^2 \sin 2\theta)^2}$$

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- For constant matter density  $\Rightarrow \theta_m$  and  $\mu_i$  are constant along  $\nu$  evolution
  - $\Rightarrow$  the evolution is determined by masses and mixing in matter so

$$P_{\alpha \neq \beta} = \sin^2(2\theta_m) \, \sin^2\left(\frac{\Delta \mu^2 L}{2E}\right)$$

- Dependence on relative sign between A and  $\Delta m^2 \cos(2\theta)$  $\Rightarrow$  Information on sign  $\Delta m^2$  and Octant of  $\theta$
- Constant matter potential is a good approximation for LBL experiments.

• In the  $3\nu$  scenario one must solve:  $i\frac{d\vec{\nu}}{dt} = H\,\vec{\nu}$   $H = U \cdot H_0^d \cdot U^\dagger + V$ 

$$H_0^d = \frac{1}{2E_{\nu}} \operatorname{diag}\left(-\Delta m_{21}^2, 0, \Delta m_{32}^2\right) \qquad V = \operatorname{diag}\left(\pm\sqrt{2}G_F N_e, 0, 0\right)$$

 $\Rightarrow H = \tilde{U} \cdot H_m^d \cdot \tilde{U}^{\dagger} \qquad \tilde{U} = \text{effective mixing matrix in matter} \\ H_m^d = \frac{1}{2E_{\nu}} \text{diag} \left( -\Delta \mu_{21}^2, 0, \Delta \mu_{32}^2 \right) = \text{effec masses in matter}$ 

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- At LBL:  $\sqrt{2}G_F N_e \equiv V_{\oplus, \text{CRUST}} \sim 5 \times 10^{-14} \text{ eV} \sim \text{constant}$  at  $\nu$  trajectory
- $\bullet$  The oscillation probability at L

$$P_{\alpha\beta} = \delta_{\alpha\beta} - 4\sum_{j$$

 $\Rightarrow$  Exact numerically computed probabilities

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 $\Rightarrow$  Exact numerically computed probabilities

- Using:  $\Delta m_{21}^2 \ll \Delta m_{31}^2$  and  $\theta_{13}$  relatively small
  - $\Rightarrow$  Approximate analitical expressions expanded in the small parameters

• Most relevant for  $\nu_{\mu} \rightarrow \nu_{e}$ 

 $\Rightarrow$  Sensitivity to  $\theta_{13}$ , octant of  $\theta_{23}$ ,  $\delta_{CP}$ , sign $\Delta m_{31}^2 \equiv$  Ordering

• Most relevant for  $\nu_{\mu} \rightarrow \nu_{e}$ 

• Most relevant for  $\nu_{\mu} \rightarrow \nu_{e}$ 

$$P_{\mu e(\bar{\mu}\bar{e})} \simeq s_{23}^{2} \sin^{2} 2\theta_{13} \left(\frac{\Delta_{31}}{\Delta_{31} \mp V_{\oplus}}\right)^{2} \sin^{2} \left(\frac{(\Delta_{31} \mp V_{\oplus})L}{2}\right)$$

$$+ \tilde{J} \frac{\Delta_{21}}{V_{\oplus}} \frac{\Delta_{31}}{\Delta_{31} \mp V_{\oplus}} \sin\left(\frac{V_{\oplus}L}{2}\right) \sin\left(\frac{(\Delta_{31} \mp V_{\oplus})L}{2}\right) \cos\delta\cos\left(\frac{\Delta_{31}L}{2}\right)$$

$$\pm \tilde{J} \frac{\Delta_{21}}{V_{\oplus}} \frac{\Delta_{31}}{\Delta_{31} \mp V_{\oplus}} \sin\left(\frac{V_{\oplus}L}{2}\right) \sin\left(\frac{(\Delta_{31} \mp V_{\oplus})L}{2}\right) \sin\delta\sin\left(\frac{\Delta_{31}L}{2}\right) + \dots$$

$$\Delta_{ij} = \frac{\Delta m_{ij}^{2}}{2E_{\nu}}$$

$$\tilde{J} = c_{13} \sin^{2} 2\theta_{13} \sin^{2} 2\theta_{23} \sin^{2} 2\theta_{12}$$
In plots:  $\theta_{13} \sim 8^{\circ}$  fix
In plots:  $A_{21}L_{\nu} \simeq \pi$  (osc max)



In plots:  $\theta_{13} \sim 8^{\circ}$  fix In plots:  $\Delta_{31}L \sim \pi$  (osc max) Left:  $V_{\oplus} \ll \Delta_{31}$  (no matter) Right:  $V_{\oplus}L \sim 0.2$  (NO $\nu$ A)

Plots taken from J. Wolcott 52nd FNAL users meeting talk

- We have observed with high (or good) precision:
  - \* Atmospheric  $\nu_{\mu}$  &  $\bar{\nu}_{\mu}$  disappear most likely to  $\nu_{\tau}$  (SK,MINOS, ICECUBE)
  - \* Accel.  $\nu_{\mu}$  &  $\bar{\nu}_{\mu}$  disappear at  $L \sim 300/800$  Km (K2K, **T2K, MINOS, NO** $\nu$ **A**)
  - \* Some accelerator  $\nu_{\mu}$  appear as  $\nu_e$  at  $L \sim 300/800$  Km (**T2K**, MINOS, NO $\nu$ A)
  - \* Solar  $\nu_e$  convert to  $\nu_{\mu}/\nu_{\tau}$  (Cl, Ga, SK, SNO, Borexino)
  - \* Reactor  $\overline{\nu_e}$  disappear at  $L \sim 200$  Km (KamLAND)
  - \* Reactor  $\overline{\nu_e}$  disappear at  $L \sim 1$  Km (D-Chooz, **Daya Bay, Reno**)
- Confirmed<sub>Vacuum</sub> oscillation L/E pattern with 2 frequencies



• For for 3  $\nu$ 's : 3 Mixing angles + 1 Dirac Phase + 2 Majorana Phases

$$U_{\rm LEP} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{i\delta_{\rm CP}} \\ 0 & 1 & 0 \\ -s_{13}e^{-i\delta_{\rm CP}} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{21} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} e^{i} & 0 & 0 \\ 0 & q^2 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

• Convention:  $0 \le \theta_{ij} \le 90^\circ$   $0 \le \delta \le 360^\circ \Rightarrow 2$  Orderings



#### Global 6-parameter fit http://www.nu-fit.org

Esteban, Gonzalez-Garcia, Maltoni, Schwetz, Zhou, JHEP'20 [2007.14792]







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# **Flavour Parameters: Mixing Matrix**

• We have the three leptonic mixing angles determined (at  $\pm 3\sigma/6$ )

|                   | $(0.80 \rightarrow 0.85)$ | 0.51  ightarrow 0.56 | $0.14 \rightarrow 0.16$ |
|-------------------|---------------------------|----------------------|-------------------------|
| $ U _{3\sigma} =$ | 0.23  ightarrow 0.51      | 0.46  ightarrow 0.69 | 0.63  ightarrow 0.78    |
|                   | 0.26  ightarrow 0.53      | 0.47  ightarrow 0.70 | 0.61  ightarrow 0.76 /  |

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• Good progress but still precision very far from:

 $|V|_{\rm CKM} = \begin{pmatrix} 0.97427 \pm 0.00015 & 0.22534 \pm 0.0065 & (3.51 \pm 0.15) \times 10^{-3} \\ 0.2252 \pm 0.00065 & 0.97344 \pm 0.00016 & (41.2^{+1.1}_{-5}) \times 10^{-3} \\ (8.67^{+0.29}_{-0.31}) \times 10^{-3} & (40.4^{+1.1}_{-0.5}) \times 10^{-3} & 0.999146^{+0.000021}_{-0.000046} \end{pmatrix}$ 

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|                   | $0.26 \rightarrow 0.53$   | 0.47  ightarrow 0.70 | 0.61  ightarrow 0.76 /  |

• Good progress but still precision very far from:

 $|V|_{\rm CKM} = \begin{pmatrix} 0.97427 \pm 0.00015 & 0.22534 \pm 0.0065 & (3.51 \pm 0.15) \times 10^{-3} \\ 0.2252 \pm 0.00065 & 0.97344 \pm 0.00016 & (41.2^{+1.1}_{-5}) \times 10^{-3} \\ (8.67^{+0.29}_{-0.31}) \times 10^{-3} & (40.4^{+1.1}_{-0.5}) \times 10^{-3} & 0.999146^{+0.000021}_{-0.000046} \end{pmatrix}$ 

• Also very different flavour mixing of leptons vs quarks

#### Massive Neutrinos CPV and MO in LBL

 $\nu_e$  and  $\overline{\nu}_e$  apperance events



Each T2K and NO $\nu$ A favour NO But tension in values of  $\delta_{CP}$  in NO  $\Rightarrow$  IO best fit in LBL combination

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#### Concha Gonzalez-Garcia CPV and MO in LBL+Reactors

At LBL determined in  $\nu_{\mu}$  and  $\overline{\nu}_{\mu}$  disapp  $\Delta m^2_{\mu\mu} \simeq \Delta m^2_{3l} + \frac{c_{12}^2 \Delta m^2_{21} \text{ NO}}{s_{12}^2 \Delta m^2_{21} \text{ IO}} + \dots$ At reactors Daya-Bay, Reno in  $\overline{\nu}_e$  disapp  $\Delta m^2_{ee} \simeq \Delta m^2_{3l} + \frac{s_{12}^2 \Delta m^2_{21} \text{ NO}}{c_{12}^2 \Delta m^2_{21} \text{ IO}}$ Nunokawa,Parke,Zukanovich (2005)

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- $\Rightarrow$  Contribution to MO from combination
- $\Rightarrow$  **NO** best fit in LBL+Reactors



• in NO: b.f  $\delta_{CP} = 195^{\circ} \Rightarrow \underline{CPC}$  allowed at 0.6  $\sigma$ • in IO: b.f  $\delta_{CP} \sim 270^{\circ} \Rightarrow \underline{CPC}$  disfav. at 3  $\sigma$ 

#### **Questions, Implications, Lessons ...**

- Still missing in the minimal  $3\nu$  scenario:
  - Majorana or Dirac?Absolute values of  $\nu$  mass scaleCP violation in leptons?Normal or Inverted Ordering?
  - Other Standing Experimental Puzzles:
  - LSND-MiniBooNE  $\nu_{\mu} \rightarrow \nu_{e}$  and others signals at SBL  $\Rightarrow$  light sterile  $\nu$ 's?
    - $\Rightarrow$  More data needed
- Still have no fundamental understanding of:
  - Why are neutrinos so light? The Origin of Neutrino Mass
  - Why are lepton mixing so different from quark's? The Flavour Puzzle
    - $\Rightarrow$  More data needed

# Summary II

- If  $m_{\nu} \neq 0 \rightarrow$  Lepton Mixing  $\equiv$  breaking of  $L_e \times L_{\mu} \times L_{\tau}$
- Neutrino masses and mixing  $\Rightarrow$  Flavour oscillations in  $\nu$  propagation
- Experiments observing oscillations  $\Rightarrow$  measurement of  $\Delta m_{ij}^2$  and  $\theta_{ij}$
- $\nu$  traveling through matter  $\Rightarrow$  Modification of oscillation pattern
- Matter effect is crucial to interpretation of solar data
- Matter effect is allows to resolve angle octant and mass ordering
- $3\nu$  mixing consistently *describes* all confirmed signals. But is that all there is?

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> Young people with fresh new ideas needed!!! AND HERE YOU ARE!!

• Most relevant for  $\nu_{\mu} \rightarrow \nu_{e}$ 

$$P_{\mu e(\bar{\mu}\bar{e})} \simeq s_{23}^{2} \sin^{2} 2\theta_{13} \left(\frac{\Delta_{31}}{\Delta_{31} \mp V_{\oplus}}\right)^{2} \sin^{2} \left(\frac{(\Delta_{31} \mp V_{\oplus})L}{2}\right) + \tilde{J} \frac{\Delta_{21}}{V_{\oplus}} \frac{\Delta_{31}}{\Delta_{31} \mp V_{\oplus}} \sin\left(\frac{V_{\oplus}L}{2}\right) \sin\left(\frac{(\Delta_{31} \mp V_{\oplus})L}{2}\right) \cos\delta\cos\left(\frac{\Delta_{31}L}{2}\right) \pm \tilde{J} \frac{\Delta_{21}}{V_{\oplus}} \frac{\Delta_{31}}{\Delta_{31} \mp V_{\oplus}} \sin\left(\frac{V_{\oplus}L}{2}\right) \sin\left(\frac{(\Delta_{31} \mp V_{\oplus})L}{2}\right) \sin\delta\sin\left(\frac{\Delta_{31}L}{2}\right) + .(2) \Delta_{ij} = \frac{\Delta m_{ij}^{2}}{2E_{\nu}} \tilde{J} = c_{13} \sin^{2} 2\theta_{13} \sin^{2} 2\theta_{23} \sin^{2} 2\theta_{12}$$

• Without independent determination of  $\theta_{13}$ 

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(a) 
$$\theta_{23} \leftrightarrow \frac{\pi}{2} - \theta_{23}$$
 ambiguity:  
 $P_{\mu\mu} \propto \sin^2 2\theta_{23}$  and  $P_{\mu e(\bar{\mu}\bar{e})}(\theta_{23}, \theta_{13}, \delta) = P_{\mu e(\bar{\mu}\bar{e})}(\frac{\pi}{2} - \theta_{23}, \theta_{13}', \delta')$ 

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(b)  $(\theta_{13}, \delta)$  ambiguity:  $P_{\mu e(\bar{\mu}\bar{e})}(\theta_{13}, \delta) = P_{\mu e(\bar{\mu}\bar{e})}(\theta'_{13}, \delta')$ 

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(c) (ordering,  $\delta$ ) ambiguity:  $P_{\mu e(\bar{\mu}\bar{e})}(\Delta m_{31}^2, \delta) = P_{\mu e(\bar{\mu}\bar{e})}(-\Delta m_{31}^2, \delta')$ 

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If only total number of  $\nu_e$ ,  $\nu_\mu$ ,  $\overline{\nu}_e$  and  $\overline{\nu}_\mu$  at given L are measured  $\Rightarrow$  8-fold degeneracy

• Most relevant for  $\nu_{\mu} \rightarrow \nu_{e}$ 

• If  $\theta_{13}$  known and some  $E_{\nu}$  information and large L

(a) Partial θ<sub>23</sub> ↔ π/2 - θ<sub>23</sub> ambiguity if θ<sub>23</sub> not very non-maximal P<sub>μμ</sub> ∝ sin<sup>2</sup> 2θ<sub>23</sub> and P<sub>μe(μē)</sub>(θ<sub>23</sub>, θ<sub>13</sub>, δ) ≃ P<sub>μe(μē)</sub>(π/2 - θ<sub>23</sub>, θ<sub>13</sub>, δ')
(b) (θ<sub>13</sub>, δ) ambiguity: P<sub>μe(μē)</sub>(θ<sub>13</sub>, δ) 
(c) Partial (ordering, δ) ambiguity: P<sub>μe(μē)</sub>(Δm<sup>2</sup><sub>31</sub>, δ) = P<sub>μe(μē)</sub>(-Δm<sup>2</sup><sub>31</sub>, δ') if not long enough L