

Genie

v3.4.0

...

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on behalf of the GENIE collaboration

Generator workshop
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Fermilab



UNIVERSITY OF
LIVERPOOL



Collaboration

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Alon Sportes (Tel Aviv), Noah Steinberg (Fermilab), Vladyslav Syrotenko (Tufts), Júlia Tena Vidal (Tel Aviv), Jeremy Wolcott (Tufts)

[Faculty, Postdocs, PhD Students, Master Students]

- 25 active authors
 - With many different backgrounds
 - 11 institutions from various countries
- About 10 past authors
- Many contributors for specific projects that are not authors

Status overview

- Two main efforts
 - Model development
 - Tuning
- Contacts, details and code are all available from an initial entry point: www.genie-mc.org/
 - The code lives on github so all github features are available
- We also offer a monthly User Forum on the 3rd Wednesday of the month
 - We share news, issues found by users, we discuss developments soon to be available, etc
 - Running experiments have representatives so the users can know how the code is used
 - Announcements sent to the GENIE user mailing list, please subscribe there if you are interested
- Recent releases - <http://releases.genie-mc.org/>
 - version 3.02.00 from March 2022 - physics changes and upgrades
 - version 3.02.02 from November 2022 - minor fixes
 - version 3.04.00 from March 2023
 - Same splines as for 3.02.00
- Recent publications
 - Neutrino-nucleon cross-section model tuning in GENIE v3 - [Phys.Rev.D 104 \(2021\) 7, 072009](#)
 - Hadronization model tuning in genie v3 - [Phys.Rev.D 105 \(2022\) 1, 012009](#)
 - Recent highlights from GENIE v3 - [Eur.Phys.JST 230 \(2021\) 24, 4449-4467](#)
 - Neutrino-nucleus $CC0\pi$ cross-section tuning in GENIE v3 - [Phys. Rev. D 106 \(2022\) 11, 112001](#)

Outlook for this presentation

- Overview of version 3.04.00 with respect to 3.00.00
 - Key concepts unique for GENIE
 - GENIE configurations
 - how to add models in an official release
 - GENIE output
 - What's new in models
 - Both standard and BSM physics
 - Reweight
 - Tuning strategy
 - Future developments and releases

Configurations and tunes

- GENIE has a high level of configuration
 - Combinatory of possible configurations is starting to create confusion
 - Among users trying to reproduce results
 - Reusing splines that might be generated using different configurations
 - Just saying “We use GENIE v3.00.00” is not enough
- New system: standard configurations can be uniquely identified
 - Unique IDs identify both the models and the parameter’s values assigned to a certain model configuration
 - We call them tunes
 - Examples: G18_10a_02_11b, GEM21_11b_00_000, GHE19_00a_00_000
 - Full list <http://tunes.genie-mc.org/> and explanation of the naming scheme in the manual
 - These are operative definitions
 - The code knows of these names and configures itself based on the selected tune
 - Of course, users are still able to try their own configurations without defining a dedicated tune
- The system has been in use since version 3.00.00
 - It working so far, new tunes are constantly added
 - Some of the current tunes will be discontinued eventually as we know they are not very used
 - G18_01* series
 - Experiments are invited to share their configurations, tunes, etc
 - One example of this line of development is the new AR23_20i_00_000 tune created by SBN and DUNE collaborations

Incubator projects

- An incubator project is the unique route for inclusion of physics or software developments into GENIE product releases.
 - in-house development activities
 - community development efforts overseen by the GENIE scientific and technical leadership
- Incubator projects may include, but not limited to:
 - development of a new physics model or improvement an existing one
 - systematic study
 - tuning of a physics component
 - development of a new tool or the addition of a new feature to an existing tool
 - upgrade of the framework
 - improvement of numerical procedure
- start with the identification of a GENIE development need
 - either by member of the GENIE collaboration or a contributor / member of the community
 - Following a consultation with GENIE leaders, one or more incubator projects may be launched to address the identified GENIE need
 - scope and milestones
 - requirements, including physics validation, tuning, software engineering, computational efficiency and documentation ones, as appropriate
 - A clear reporting line, and a plan for collaboration reviews encompassing both physics and technical aspects of work
- We don't have more specifications based on the physics content
 - Every project is considered separately and different solutions will be used
 - BSM projects tends to be easier to be included because they couple less with the rest of the code
 - But things might change as more BSM physics is included

GENIE output format

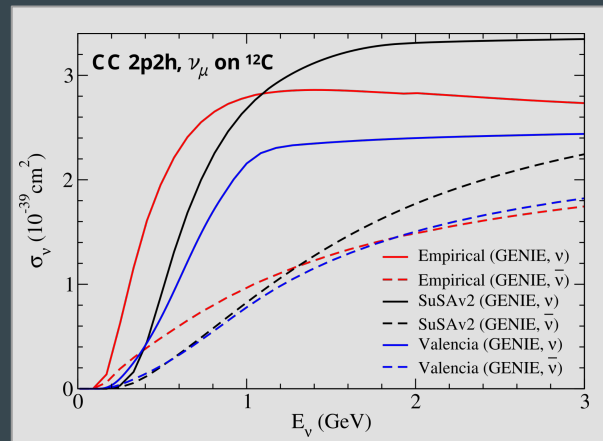
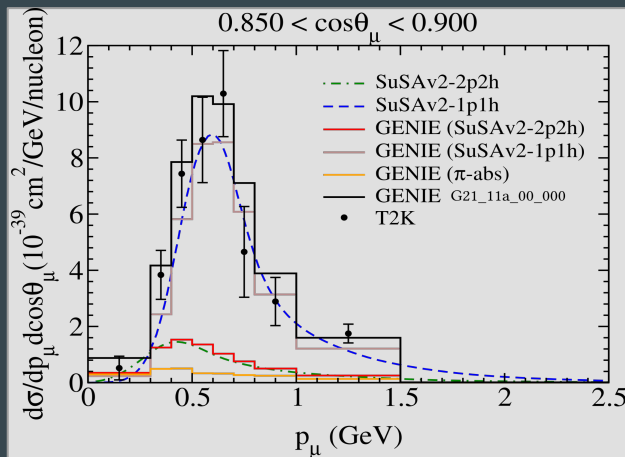
- ROOT file
- For each event we store
 - The particle stack including mother-daughter relations
 - To provide the history of the generation
 - Which particles underwent FSI, ...
 - on/off shellness of each particle
 - polarisation
 - momentum, position, time, binding energy
 - ... full definition [here](#)
 - A summary of the event
 - Process type, Scattering type
 - all the information used by GENIE to generate the event
 - Geometry agnostic
- Most of this is useful for reweighting too
- We also have a number of converters
 - gst (GENIE standard tree), gxml, roottracker,
 - In order to use reweight we have to use the main output which contains all the information

Modeling of standard model processes

SuSv2 - CC neutrino scattering

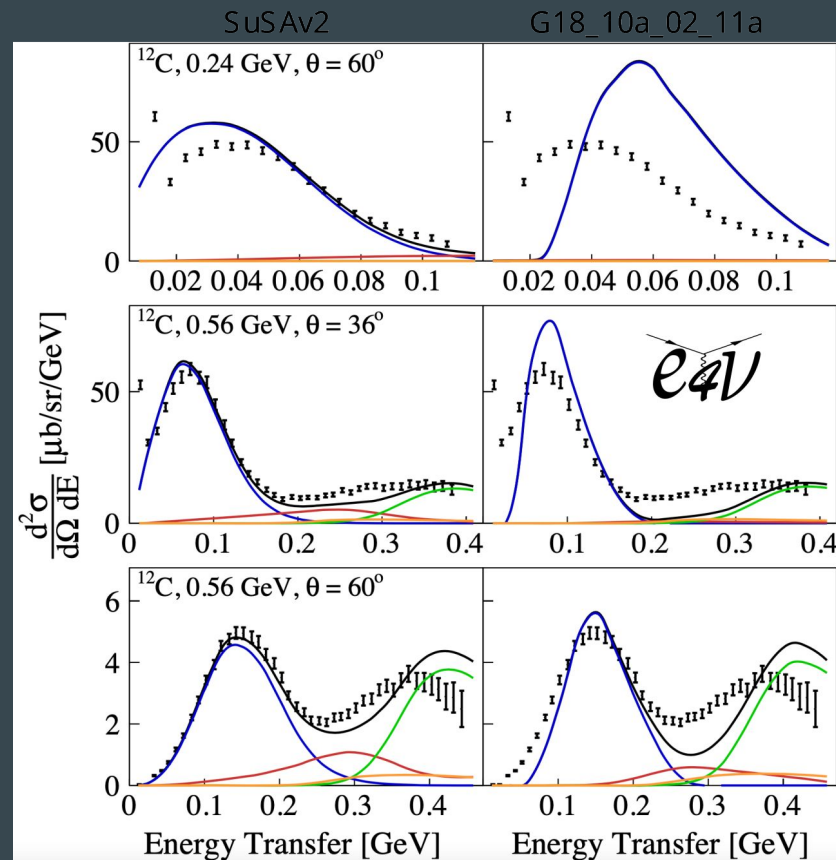
- Provides 1p1h and 2p2h predictions based on the SuperScaling approach
 - e.g., [Phys. Rev. D 94, 093004 \(2016\)](#)
- Contributors:
 - Stephen Dolan, Guillermo Magias and Sara Bolognesi
 - Steven Gardiner
- The model is released in many tunes:
 - G21_11*_00_000
 - with 4 different variations for the FSI
- In principle the idea can be used also for NC
 - But we need the tables to add

[Phys. Rev. D 101, 033003 \(2020\)](#)



SuSAv2 - electron scattering

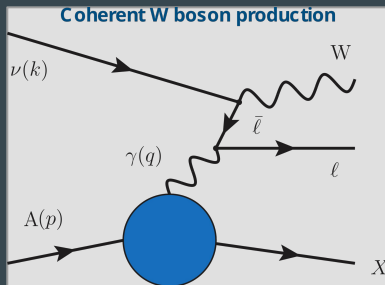
- Consistent with neutrino version
- Benchmarked against inclusive (e, e') data
 - by members of the $e4\nu$ collaboration
- Improvement with respect to G18_10a_02_11a
 - Which is not a tune used electrons
 - Rosenbluth + Empirical MEC (with no tuning)
- Contributors:
 - Afroditi Papadopoulou
 - Alon Sportes



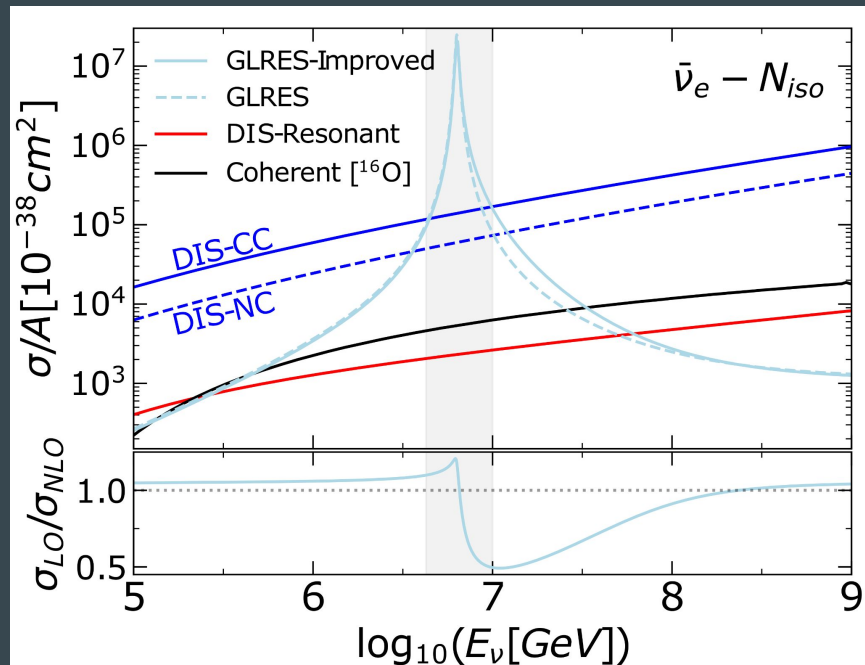
(blue) QE, (red) MEC, (green) RES and
(orange) DIS

High energy DIS: extension up to 10^9 GeV

- Complete refactoring of the very high energy processes
 - Support for neutrino telescopes
 - Dedicated tune for High energy physics
 - Again in 4 variations with different FSI's
- New processes were included too
 - state-of-the-art NLO DIS cross sections and event generation
 - Based on [APFEL](#) code: optional GENIE dependency
 - COH W boson production
 - with NLO corrections
- First observation of a Glashow resonance candidate at IceCube
 - [Nature 591, 220–224 \(2021\)](#)

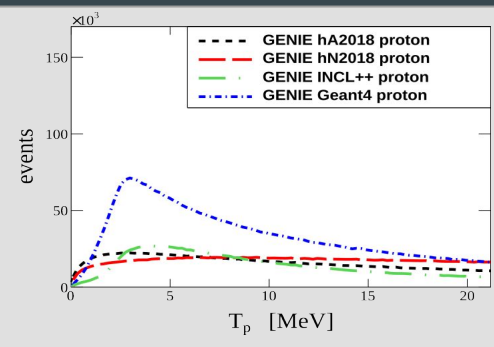
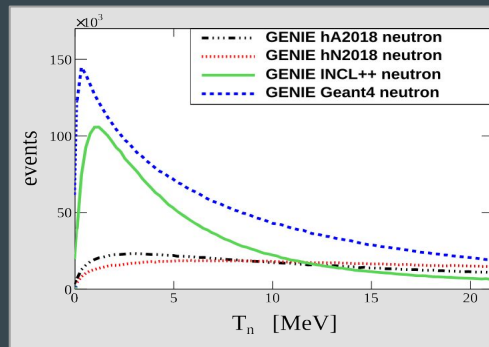
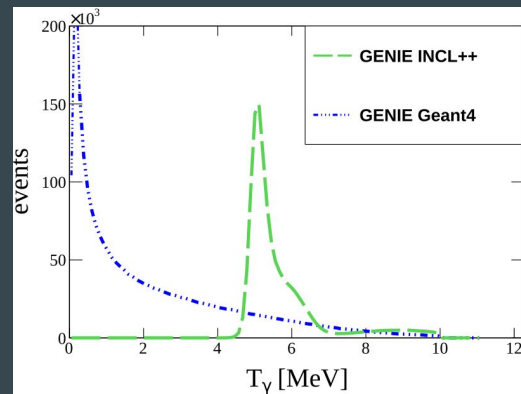


[J. Cosmol. Astropart. Phys. 09 \(2020\) 025](#)



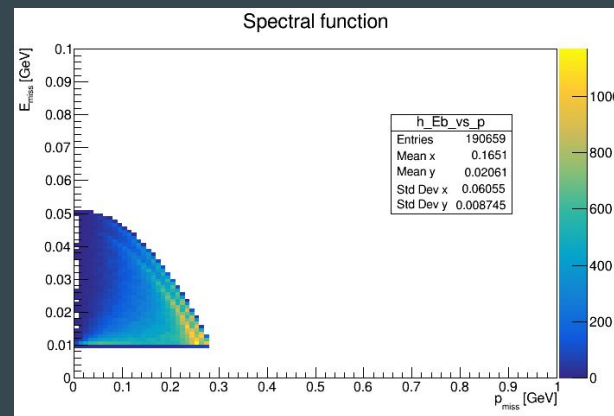
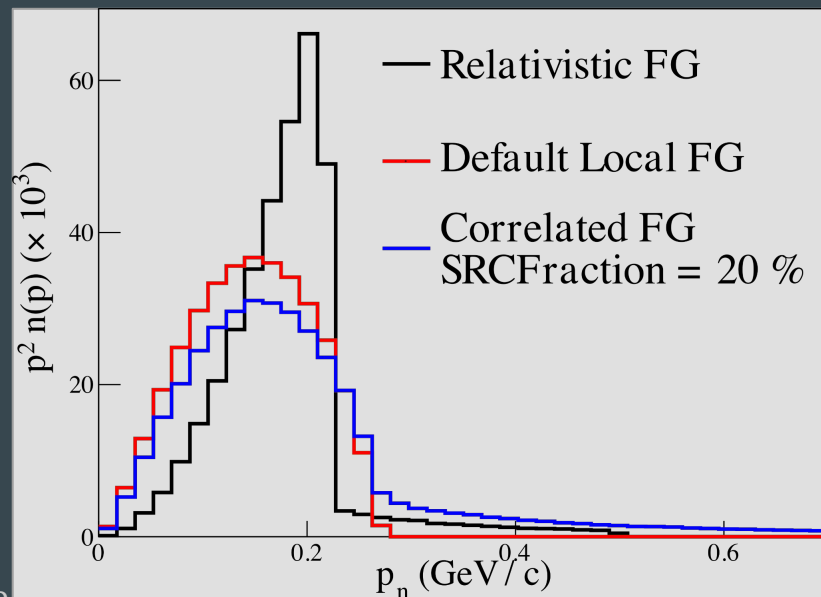
New FSI models: INCL++ and Geant4 Bertini cascade

- New cascade FSI models added as external dependencies
 - Liege intranuclear rescattering model, via INCL++
 - Bertini cascade, via GEANT4
- Both predict higher proton and neutron multiplicities
 - Room for the experiment to investigate
- Both predict lower energy nucleons
- New: de-excitation photons
 - Not available in previous GENIE FSI models
- No reweight modules available for these cascades



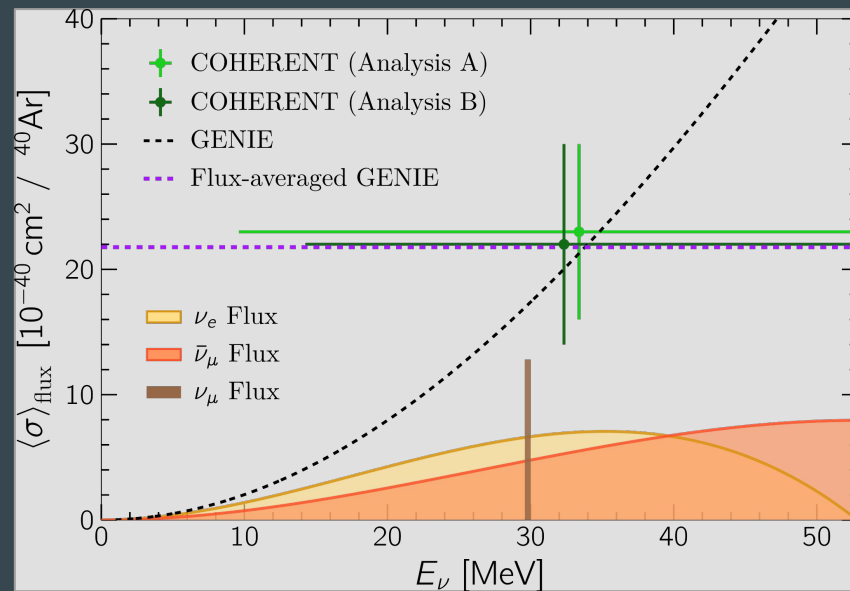
Initial state: Correlated Fermi Gas

- Attempt to model the high energy tail
 - Measured at electron scattering
 - [Phys. Rev. C 68, 014313](#)
 - expected from two-nucleon short range correlations
- Implementation inspired by
 - <https://arxiv.org/abs/1710.07966>
- Final result: extension of the Local FG
 - Fraction of nucleons are above Fermi momentum
- In v3.4.0 we also added the possibility for the binding energy to be a function of the nucleon momentum
 - We call it spectral-function-like approach
 - It's not a full implementation of the spectral function
 - It just populates the space
 - A reweight module can use this as an input to proper SF distribution
- Contributor
 - Afroditi Papadopoulou
 - Steven Dolan and Laura Munteanu



CE ν NS event generator

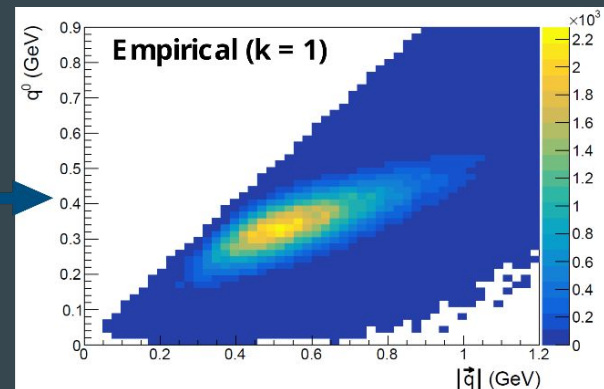
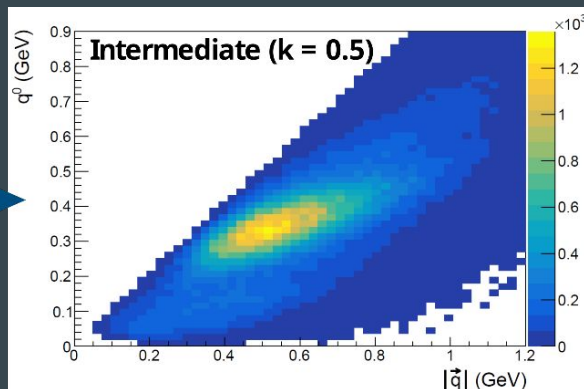
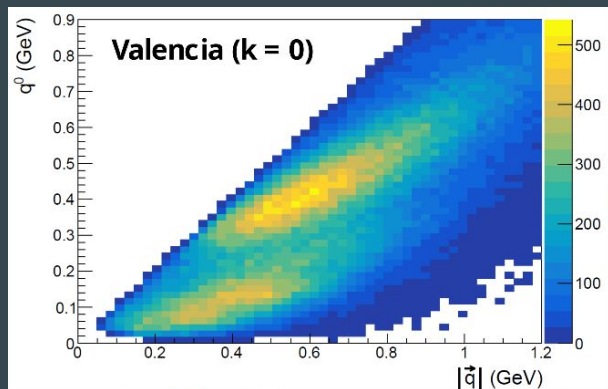
- NC process which leaves the struck nucleus in its ground state
 - Detection via recoil
- GENIE implementation based on Patton et al.
 - [Phys. Rev. C 86, 024612 \(2012\)](#)
- Part of a dedicated tune focused on very low energy neutrinos
 - GVLE18_01a_00_000



COHERENT data from [Phys. Rev. Lett. 126, 012002 \(2021\)](#)

Reweight improvements

- “MicroBooNE tune”: reweighting of CC QE+2p2h to fit T2K CC0 π data
 - Details described in [Phys. Rev. D 105, 072001 \(2022\)](#)
 - Contribution of new calculators in GENIE Reweight
- Now available to the entire community as part of GENIE v3.2.0
- introduction of a shape variable k
 - controls the $(q^0, |q|)$ distributions from Valencia ($k=0$) to empirical ($k=1$)
- Example plots obtained with BNB ν_μ CC 2p2h on argon



BSM generators and other tools

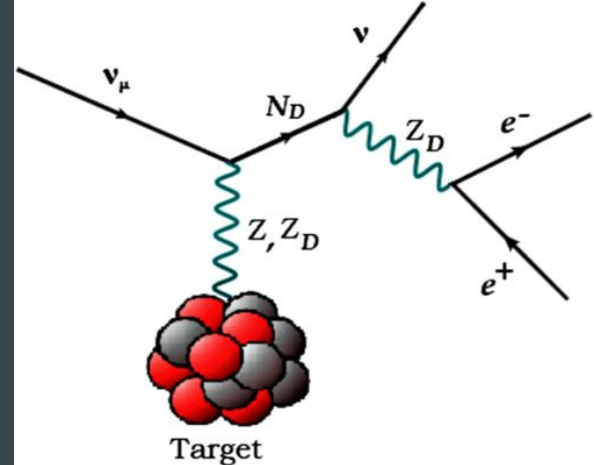
Boosted Dark Matter

- Upgrade with what described in [arXiv:1812.05616](https://arxiv.org/abs/1812.05616)
- The newly deployed BDM code
 - allows a broader set of particle physics models
 - including both vector and axial couplings, as well as different isospin structures
 - has improved modeling of the elastic scattering process
 - including a pseudoscalar form factor
 - includes the simulation of scattering off electrons
 - includes anti-dark matter scattering
- Contribution by Joshua Berger (CSU)

Dark neutrinos

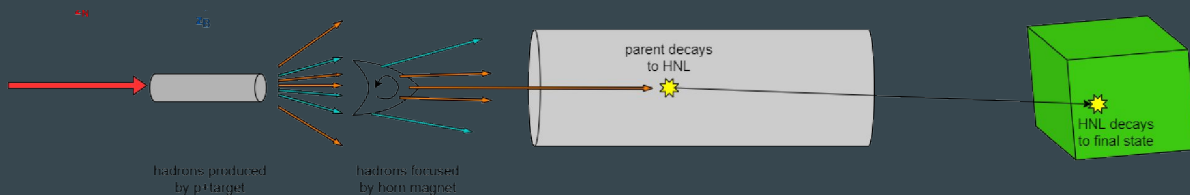
$$\nu_\alpha = \sum_{i=1}^3 U_{\alpha i} \nu_i + U_{\alpha 4} N_{\mathcal{D}}, \quad \alpha = e, \mu, \tau, \mathcal{D}$$

- Model to explain EM excess
 - Main reference paper <https://doi.org/10.1103/PhysRevLett.121.241801>
- Neutrino interaction via exchange of a light dark boson ($Z_{\mathcal{D}}$)
 - light compared to Z and W
 - producing dark neutrino with non-zero mass ($\nu_{\mathcal{D}}$)
- The dark neutrino then decays
 - In either neutrinos and/or electron pairs
 - Only tree-level decays are allowed so far
 - We can add more but we need the decay amplitudes
 - The decay length is visible in our detectors!
 - varies a lot with couplings and mixings but it can be of the order of mm
- The dark boson exchanged with the nucleus can give rise to all NC scattering mechanisms
 - The main process would be the coherent production (implemented in GENIE now)
 - The second leading process would be the QE process, not implemented yet
- Contributions by Iker de Icaza (Sussex)
 - Inputs from Pedro Machado (FNAL)



$$\mathcal{L}_{\mathcal{D}} \supset \frac{m_{Z_{\mathcal{D}}}^2}{2} Z_{\mathcal{D}\mu} Z_{\mathcal{D}}^\mu + g_{\mathcal{D}} Z_{\mathcal{D}}^\mu \bar{\nu}_{\mathcal{D}} \gamma_\mu \nu_{\mathcal{D}} + e \epsilon Z_{\mathcal{D}}^\mu J_\mu^{\text{em}} + \frac{g}{c_W} \epsilon' Z_{\mathcal{D}}^\mu J_\mu^Z,$$

Heavy neutral lepton



- neutrino mass eigenstates with masses $O(\text{MeV})$
 - In our implementation $m_4 < m_K$
 - Lagrangian implemented according to [Eur. Phys. J. C 81, 78 \(2021\)](#)
 - With caveats for some decay channels: [link](#) to code review for details
 - The link contains all the instructions to run etc
- HNL are produced in same beam as SM neutrinos
 - Use dk2nu flux files as simulation input
- • HNL decay to some appropriately selected decay channel
 - Particle stack constructed appropriately
 - Probe is the decaying HNL particle
- • Decay vertex assigned along HNL trajectory inside detector
 - Detector ROOT geometry used as simulation input
- Provides tools for POT accounting
 - usually intended to generate weighted events
- This is a huge amount of work
 - Started from a MINERvA development
 - That has been generalised to be used by other experiments

Event Library Interface generator

- Importing events from a file interface to external events generated with
 - other generators
 - arbitrary physics models
- Users just need to be able to
 - Fill a ROOT TTree with the momenta of the particle generated by the interaction
 - Produce integrated cross sections
- The system will create GENIE events randomly selecting events from the library
 - The selection is based on the neutrino energy associated to the event
- the event library interface allows experiments to import events
 - re-using their existing GENIE MC production workflows
 - the extensive GENIE flux and geometry tools
 - The cost is that we lose true information from the generation
- Instructions on the file format are in the manual
- Contribution from NOvA experiment

Tuning programme

Tuning requirements and objectives

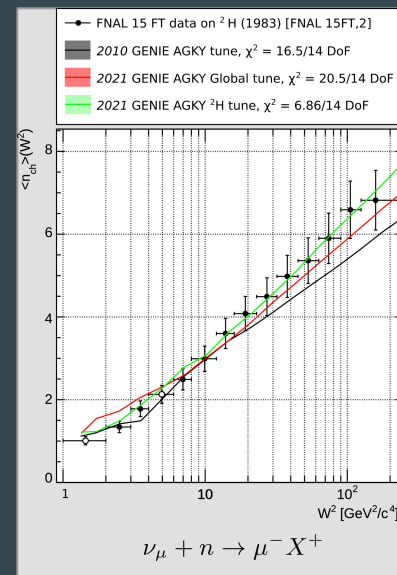
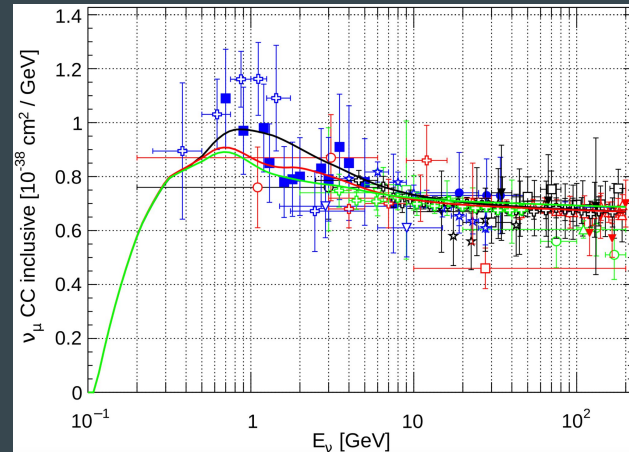
- Tuning is one of the strategies to tackle the problem of systematics
 - some dataset can constraint a parameter to the point that variations are pointless
 - The other part of the strategy being reweight, which is a more traditional approach
- Tuning is always necessary whenever empirical approaches are used
 - Tuning has to be repeated whenever a modeling element is added or changed in the system
 - It also helps to understand which parameters are constrained by available data
 - Good starting point for experimental analyses
- Ideally, no additional code should be necessary for the tuning
 - Models are already complicated enough without requiring more tuning oriented development
 - We would like every parameter to be tunable
 - Going beyond the event-by-event reweight that is not always justifiable
- Expected Output
 - Parameter sets from data from various experiments
 - with estimated systematic errors
 - Parameter covariance matrix
 - \Rightarrow No official reweight support until v4
 - These outputs can be fed into reweights

Tuning strategy

- Technology of choice consists in a brute force approach
 - Predictions are constructed in specific points of the parameter space
 - The predictions are then interpolated using multidimensional polynomials
 - As a function of the parameter space
 - Current numerical assistant is [Professor](#)
 - [The European Physical Journal C volume 65, 331 \(2010\)](#)
 - Possibly to be replaced by Apprentice in the future
 - [EPJ Web Conf., 251 \(2021\) 03060](#)
- On top of the parameterisation an entire fitting framework has been developed by GENIE
 - correlations between datasets
 - multidimensional priors on the parameters
 - And other priors
 - control weights associated to each degree of freedom
 - Validation of interpolated polynomials and population of the parameter space
- Future developments
 - We expect to develop a reweight machinery using similar strategies
 - That will allow reweight to operate using response functions obtained from brute force scan of parameter space
 - Provide a reweight for those parameters tuned with our machinery but without a reweight module

The tuning so far

- Tunes using bubble chamber data
 - hydrogen and deuterium
- Global CC inclusive, 1π , and 2π data sets
 - Tune the Shallow inelastic region
 - [Phys. Rev. D 104, 072009 \(2021\)](#)
- First neutrino-induced hadronization tune on average charged multiplicity data
 - as a function of W
 - [Phys. Rev. D 105, 012009 \(2022\)](#)
- We are starting working on nuclear tunes
 - [Phys.Rev.D 106 \(2022\) 11, 112001](#)
 - to be extended using both neutrino data and electron scattering data



Conclusions

Future developments

- Getting close to the release of Minoo's model for single pion production
- upgrade for the ν -e elastic scattering to include electron initial distribution
 - This will require a change in the data model we write in the file
- COH single gamma production is also getting close to completion

Take away

- Lots of new models and configurations
 - Plenty choice and we are happy to receive requests and inputs
- New models are being in the process of being merged
 - Incubators are the way we track new code
 - When things are discussed in advance it's easier to include new processes
- Tuning campaign ongoing
 - Julia will go into details tomorrow



Backup

Our vision for MC generators



- Connect neutrino fluxes and observables
 - predict event topologies and kinematics
- The community wants more
 - Coverage of physics processes
 - Uncertainty validation against data
 - Tune against data in order to obtain
 - Optimised initial configuration
 - Data-driven constraints of the generator parameters
 - Capability to propagate configuration changes to prediction
 - Usually reweighting
 - Support for geometry and flux
- Core Mission
 - Framework “... provide a state-of-the-art neutrino MC generator for the world experimental neutrino community ...”
 - Universality “... simulate all processes for all neutrino species and nuclear targets, from MeV to PeV energy scales ...”
 - Global fit “... perform global fits to neutrino, charged-lepton and hadron scattering data and provide global neutrino interaction model tunes ...”