

# Tunneling with Time Dependence

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("student 6")



Carena-Wagner Fest 2023



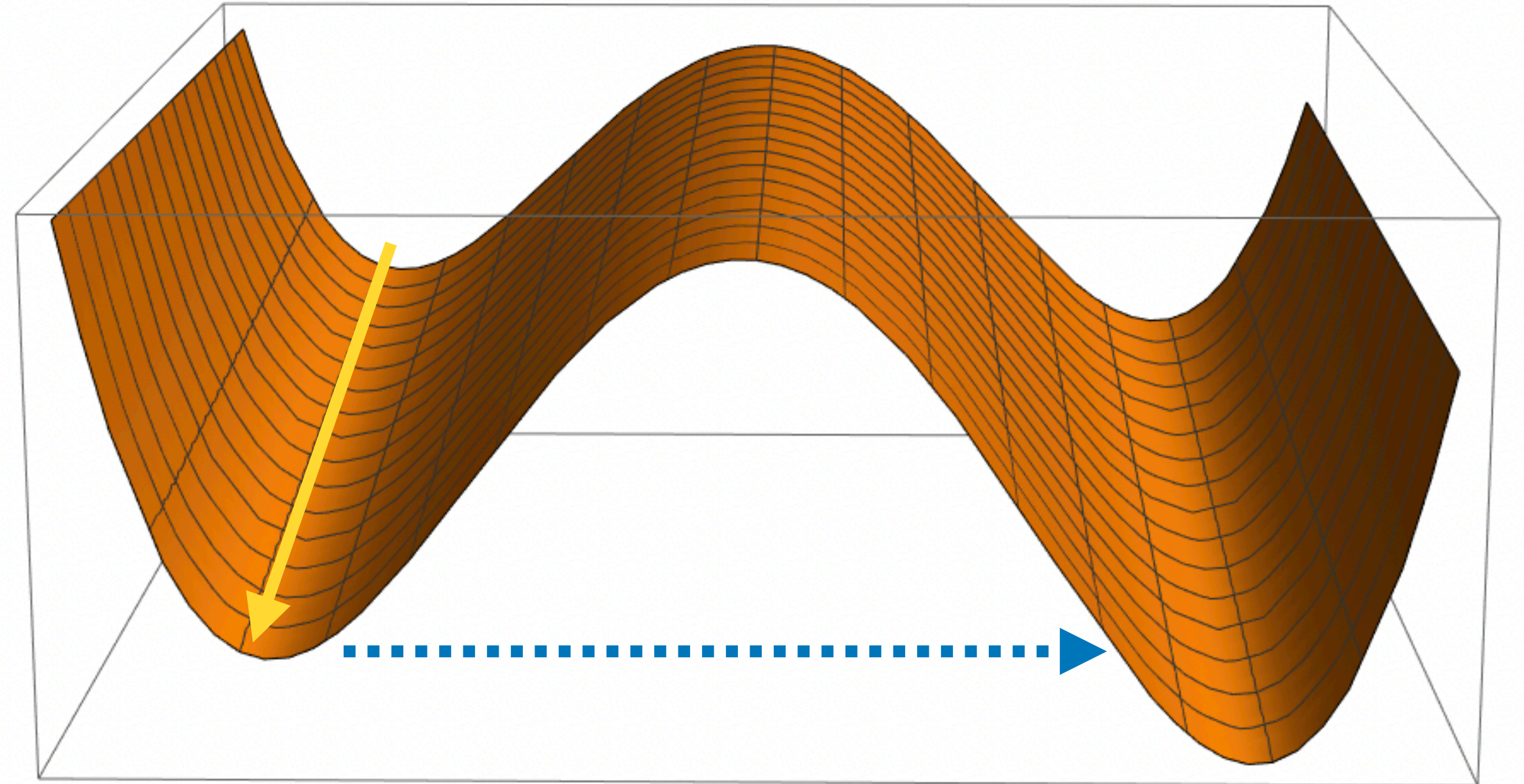


Semiclassics provides useful tools/intuition for many problems in QFT. Vacuum decay is a prototypical example.

How do we approach semiclassics of bubble nucleation on time-dependent backgrounds?

Euclidean continuation will result in a complex action

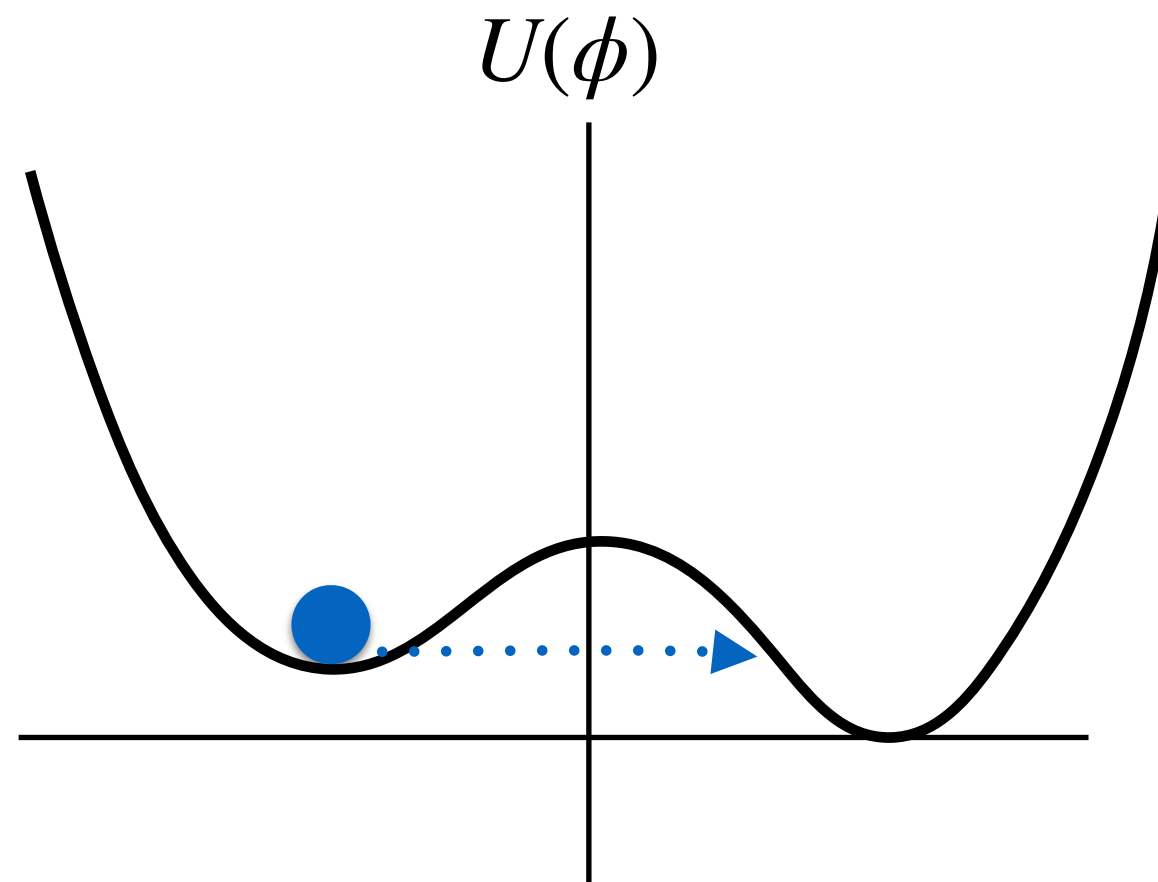
Based on work to appear with Manthos Karydas and Hao Zhang



# Semiclassical theory of vacuum decay by bubble nucleation

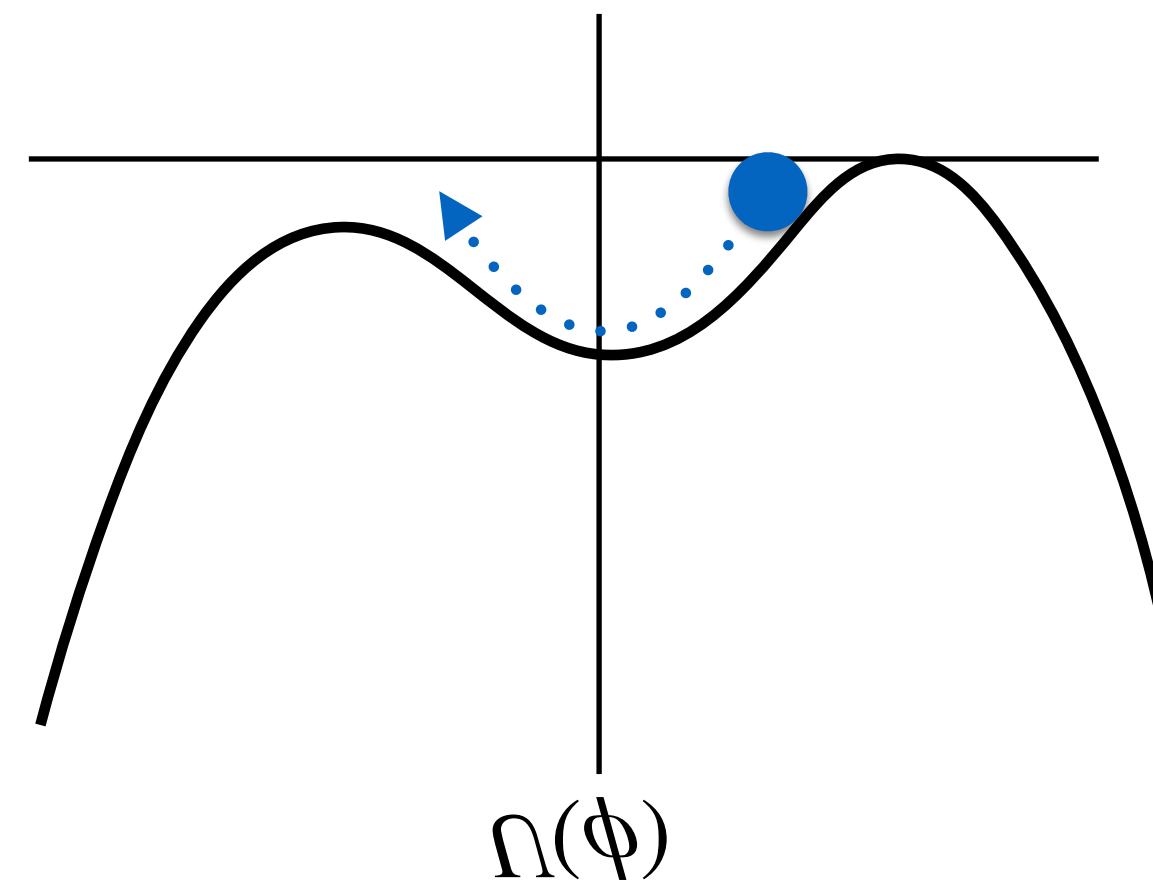
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Typical tunneling potential  
$$V(\phi) = \frac{1}{2}\lambda(\phi^2 - a^2)^2 - (\epsilon/2a)\phi$$



We take  $t \rightarrow -i\tau$  and look for O(4) invariant saddle points. Solve a shooting problem:

$$\frac{d^2\phi}{d\rho^2} + \frac{3}{\rho} \frac{d\phi}{d\rho} = U'(\phi)$$



$$\Gamma \approx v^4 \exp(-S_E/\hbar)$$

- Simple because  $O(4)$  invariance  $\Rightarrow$  ODEs
- nucleation point is a classical bubble with zero momentum
- real-valued fields remain real



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Two generalizations:

- $t \rightarrow e^{i\gamma} \tau$
- $V(\phi) \rightarrow V(\phi, \chi_0(t))$

$O(4)$  invariance broken, DOFs complexified, final states may carry momentum



Loss of  $O(4)$  invariance is a technical complication because ODEs  $\Rightarrow$  PDEs.

To avoid this complication we will work with effective QM models for collective coordinate DOFs. QM  $\Rightarrow$  back to ODEs.

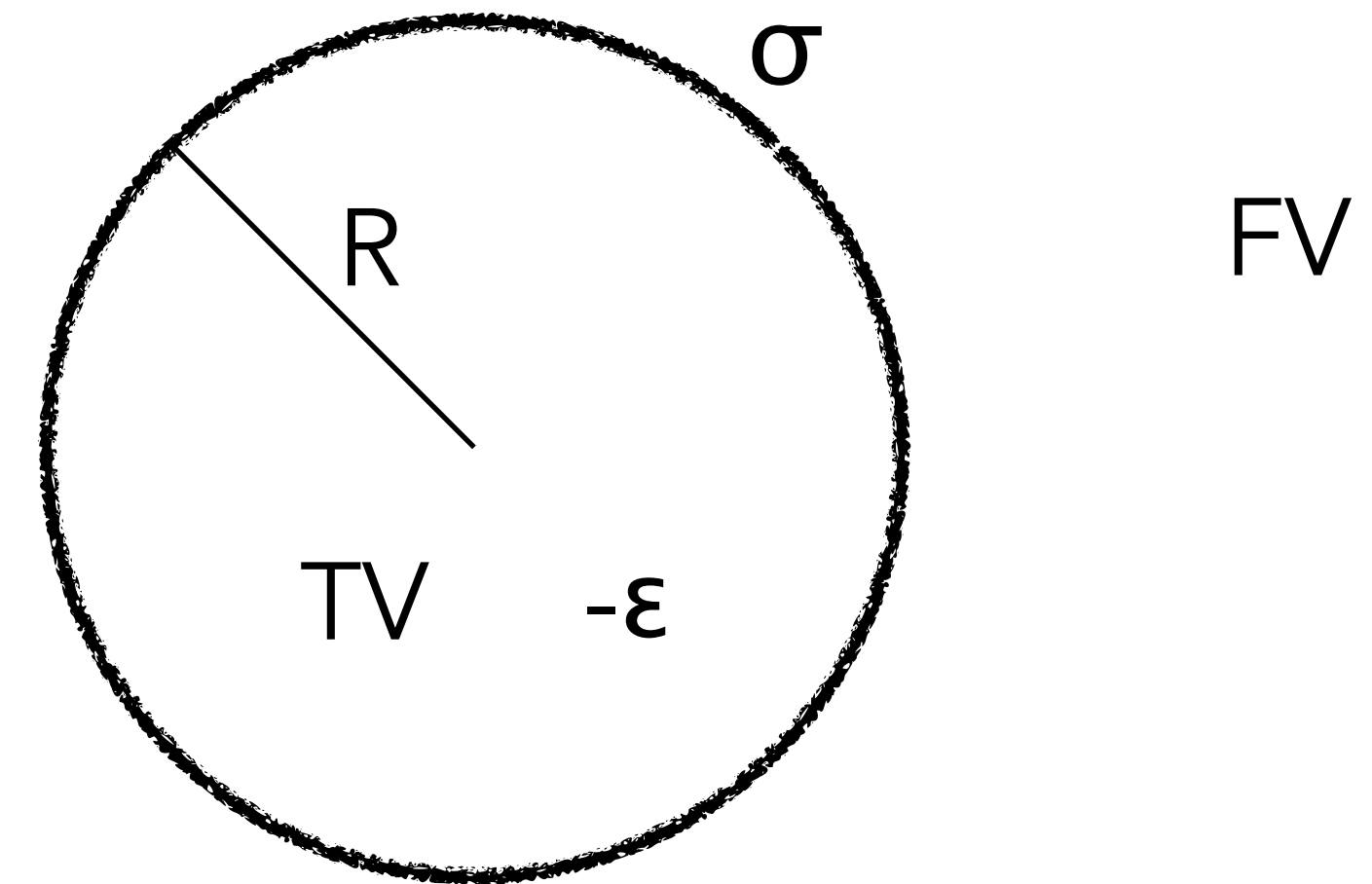
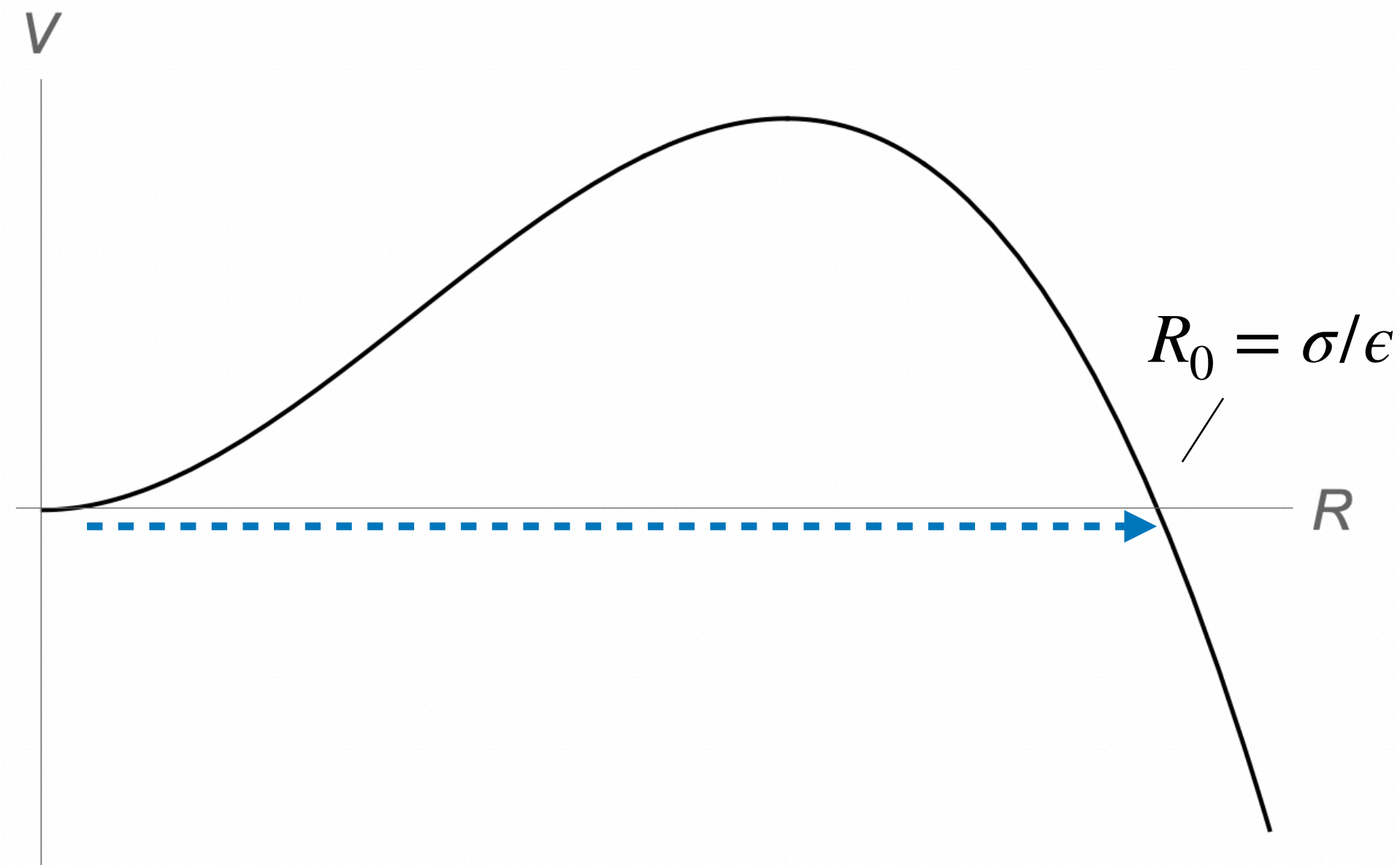
Start by reviewing how this works for the standard, time-indep, Euclidean case,  
then extend to  $t \rightarrow e^{i\gamma}\tau$ ,  
then add time dependence



standard, time-independent Euclidean analysis:

thin-wall effective Lagrangian captures leading semiclassics.

$$L = \underbrace{-\sigma R^2 \sqrt{1 - (\partial_t R)^2}}_{\text{tension+lorentz contraction}} + \underbrace{\epsilon R^3}_{\text{pressure}}$$



similar  $L$  can be used for Schwinger model, BON,...



$$A = \langle \text{TV bubble}, T_{\text{f}} = 0 | \text{FV}, T_{\text{i}} \rangle = ?$$

$$e^{iS} = \exp \left( i \int_{T_i}^{T_f} dt \left[ -\sigma R^2 \sqrt{1 - (\partial_t R)^2} + \epsilon R^3 \right] \right)$$



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$$t \rightarrow -i\tau \qquad \text{continuation of } T_{\text{i}}$$

$$e^{-S_c} = \exp \left( - \int_{T_i}^{T_f} d\tau \left[ \sigma R^2 \sqrt{1 + (\partial_\tau R)^2} - \epsilon R^3 \right] \right)$$

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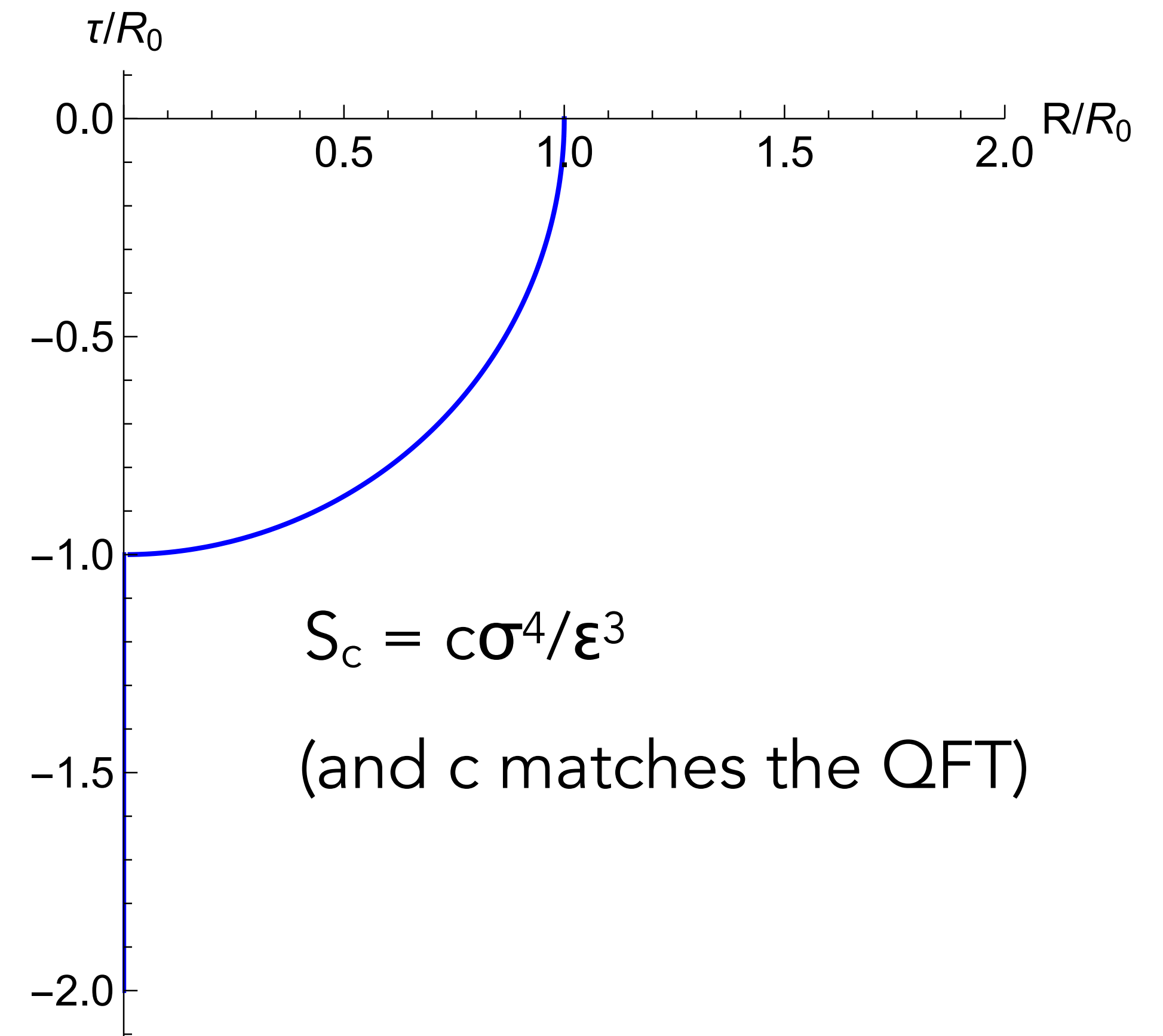
$$e^{-S_c} = \exp \left( - \int_{T_i}^{T_f} d\tau \left[ \sigma R^2 \sqrt{1 + (\partial_\tau R)^2} - \epsilon R^3 \right] \right)$$

Zero-energy saddle point for which  $R=0$  at some  $\tau_0$ :

$$R = \Theta(\tau + R_0) \sqrt{R_0^2 - \tau^2}.$$

$$R_0 = \sigma/\epsilon, \quad \tau_0 = -R_0$$

"Amplitude to nucleate a bubble  
at rest at  $t=0$ "





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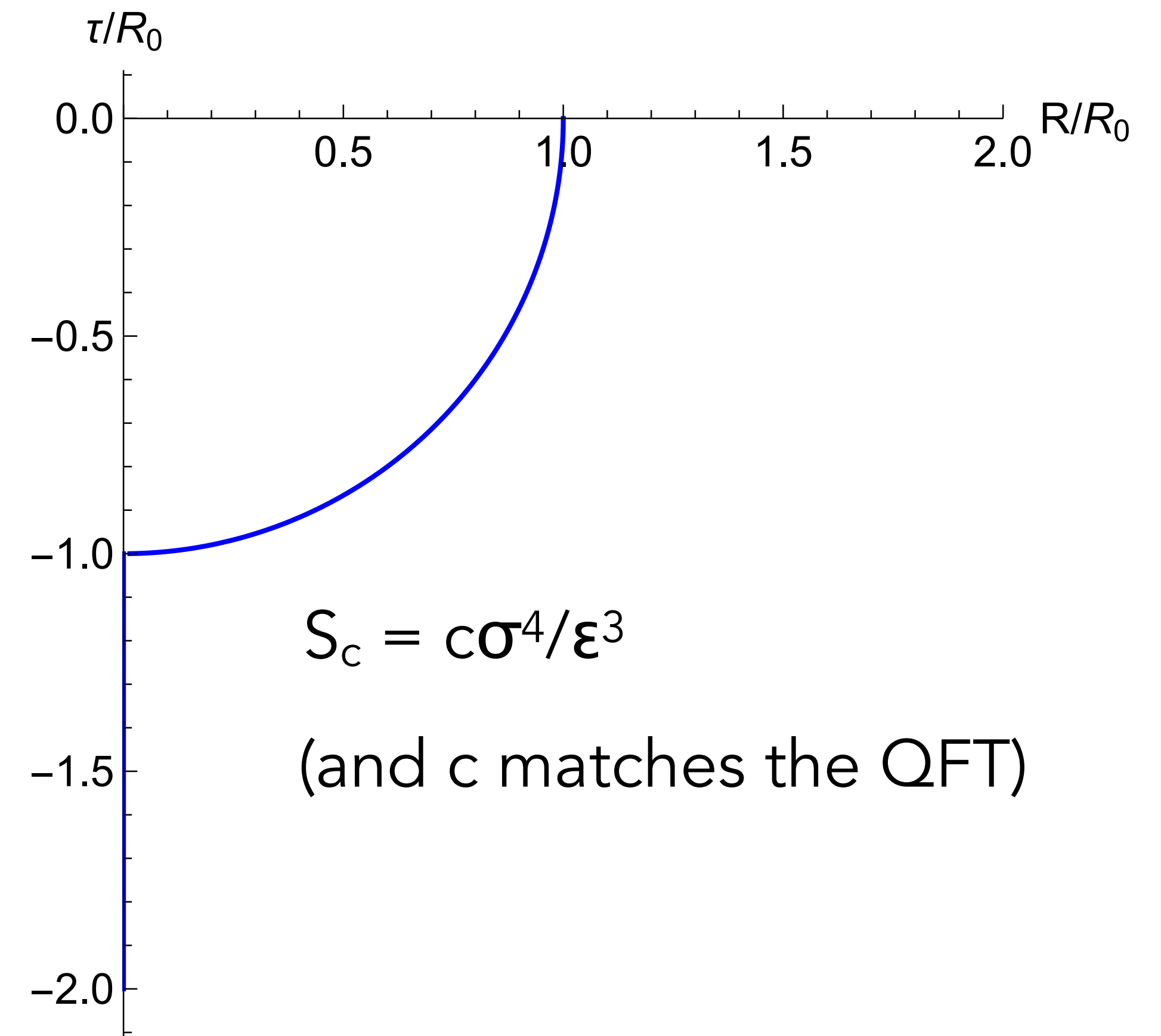
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Technically this joins two zero-energy solutions piecewise.

Still a stationary point of the action:  $\sigma \textcolor{red}{R}^2 \sqrt{1 + (\partial_\tau R)^2}$

"Amplitude to nucleate a bubble  
at rest at  $t=0$ "



Now we repeat the computation, but with

$$\underline{t \rightarrow e^{i\gamma} \tau}$$



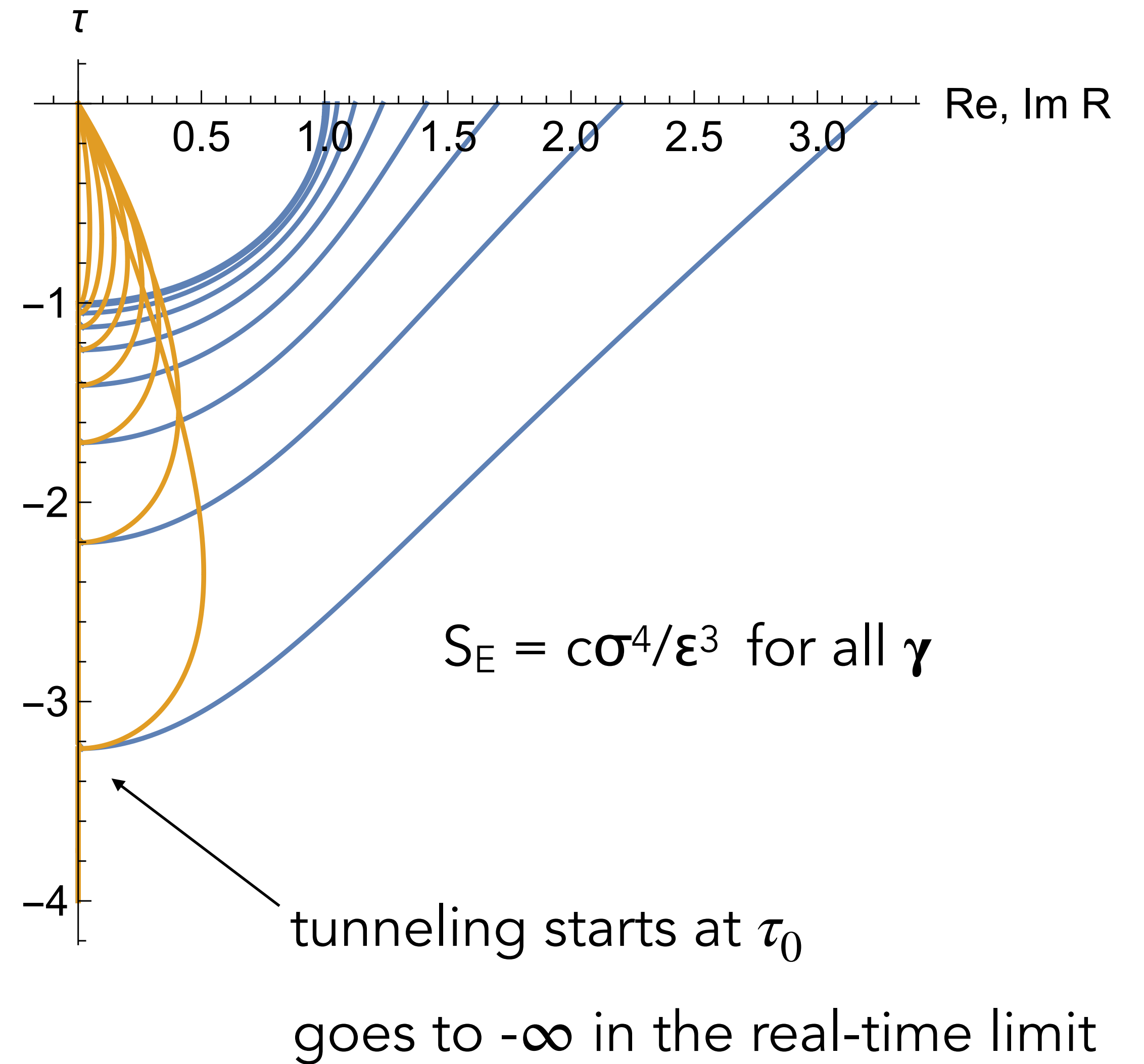
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$$R = \Theta(\tau - R_0 \csc \gamma) \sqrt{R_0^2 + (e^{i\gamma} \tau - R_0 \cot \gamma)^2}$$

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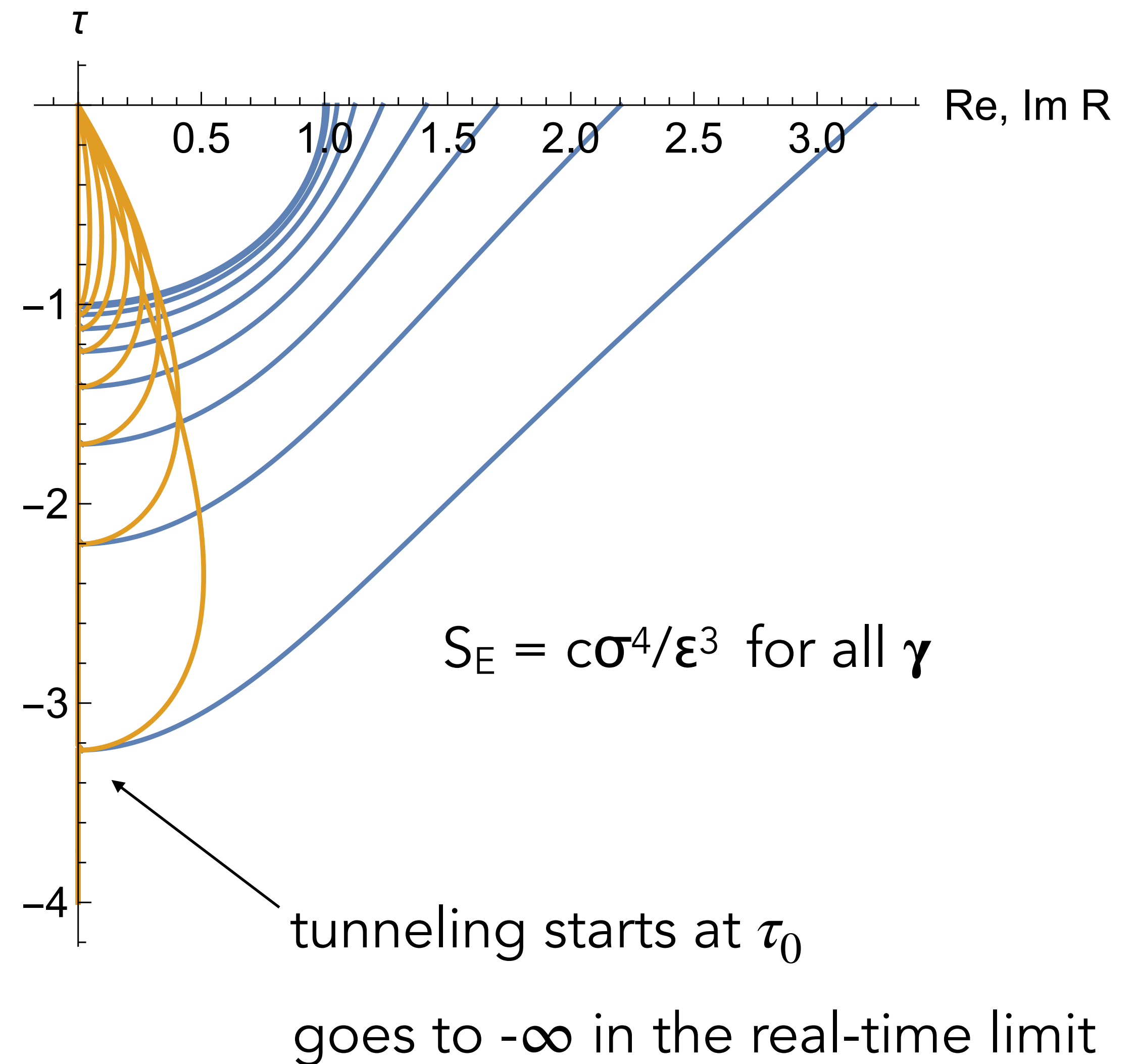
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Return to real  $R$  at  $\tau = 0$ ,  
but beyond the classical turning point  $R_0$ ,  
with nonzero momentum

What do they mean?





amplitudes with semiclassical wavepackets:

$$\langle \psi_f(R, T_f) | \psi_i(R, T_i) \rangle$$

$$\psi(R) = e^{iS_{i,f}(R)/\hbar}$$

amplitudes with semiclassical wavepackets:

$$\begin{aligned}
 &\langle \psi_f(R, T_f) | \psi_i(R, T_i) \rangle \longrightarrow \int dR_i dR_f \int_{R(T_i)=R_i}^{R(T_f)=R_f} DR e^{-S_c}, \\
 &\psi(R) = e^{iS_{i,f}(R)/\hbar} \begin{cases} \longrightarrow S_c = -iS_i(R_i) + iS_f(R_f) - i \int_{T_i}^{T_f} d\tau L_c(\partial_\tau R, R, \tau) \\ \longrightarrow L_c(\partial_\tau R, R, \tau) = e^{i\gamma} L(e^{-i\gamma} \partial_\tau R, R, e^{i\gamma} \tau). \end{cases}
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 \end{aligned}$$

same bulk EOM,  
boundary variations relate  
initial and final semiclassical  
R,p to features of the states:

$$\begin{aligned}
 p_i &\equiv \left. \frac{\delta L_c}{\delta \partial_\tau R} \right|_{\tau=T_i} = S'_i(R_i) \\
 p_f &\equiv \left. \frac{\delta L_c}{\delta \partial_\tau R} \right|_{\tau=T_f} = S'_f(R_f).
 \end{aligned}$$

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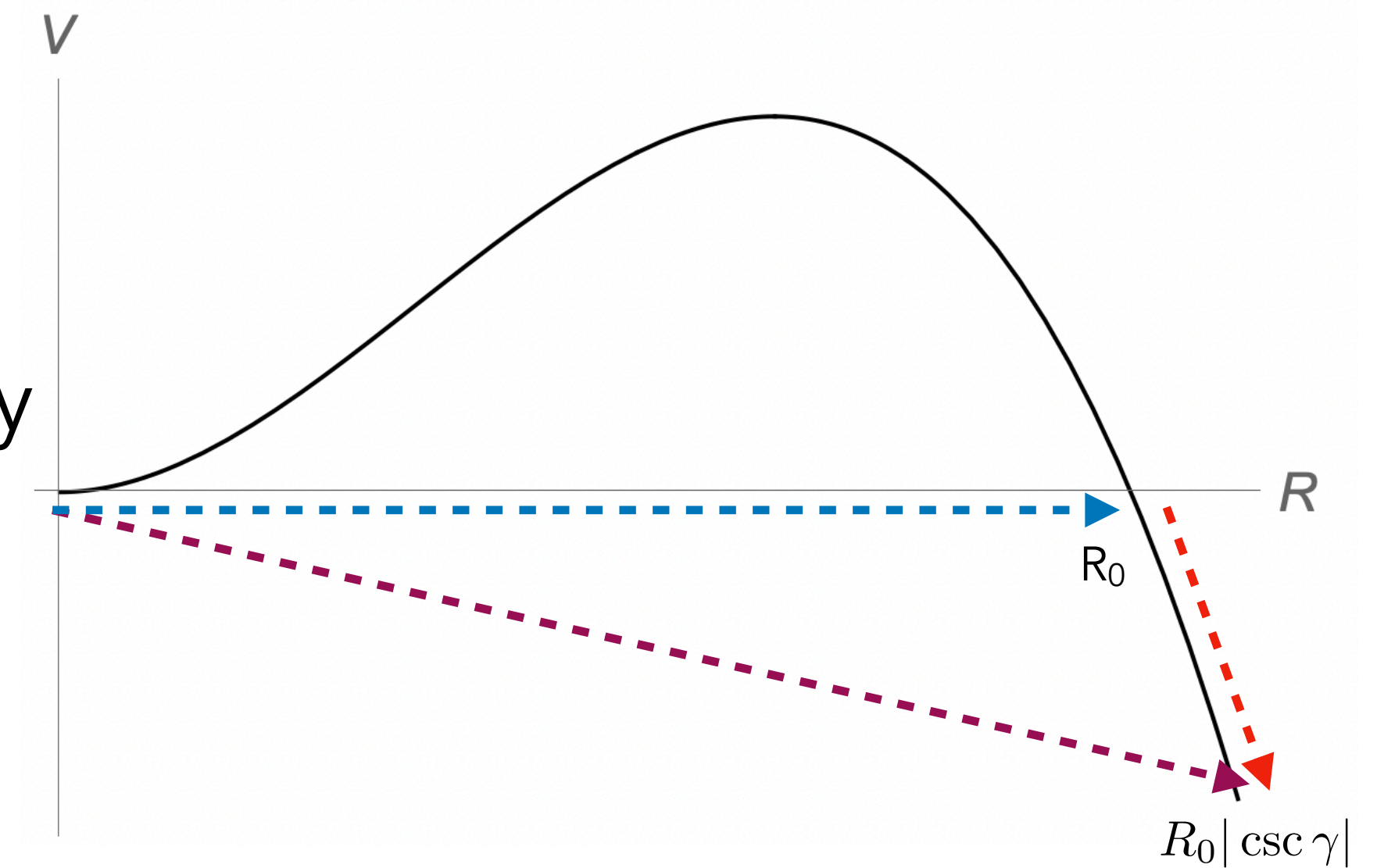
semiclassical trajectories also  
appx certain packet amplitudes!

e.g.  $\text{Re}(p_f) = \langle p \rangle$  for packets:  $\psi_f = N e^{-(R_f - R_0)^2 / 2\sigma^2 + ipR_f} \Rightarrow \text{Re } S'_f(R_f) = p = \text{Re}(p_f)$

Interpretation:

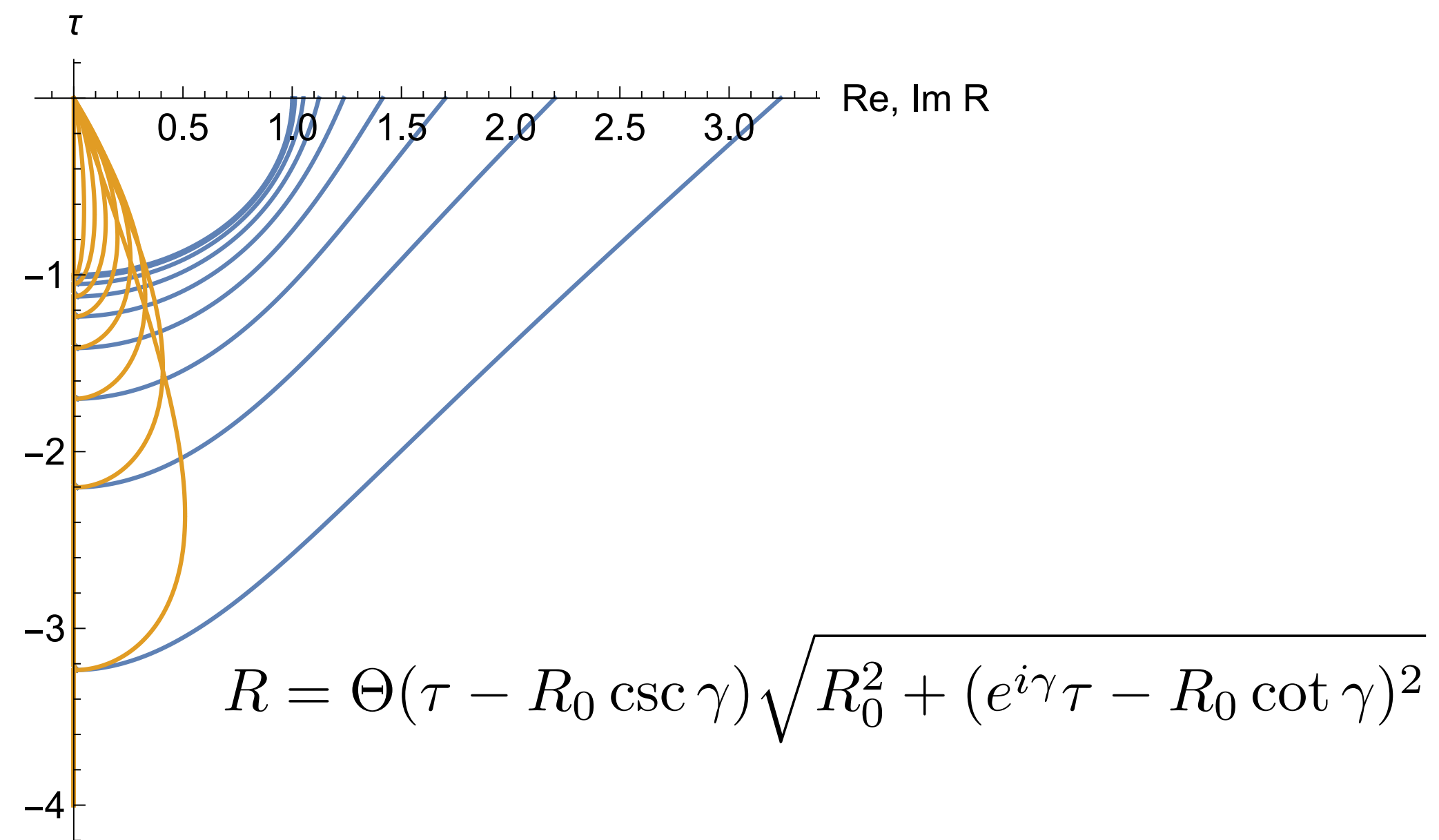
- The solutions for general  $\gamma$  connect the false vacuum to wavepackets outside the barrier with zero **classical** energy

connected by classical, real time evolution to the nucleation point of the critical bubble ( $R=R_0$ , at rest)



- tunneling starts earlier  
(only makes sense if  $T_i < R_0 \csc \gamma$ )

$$\langle \psi_f(R, T_f) | \psi_i(R, T_i) \rangle$$

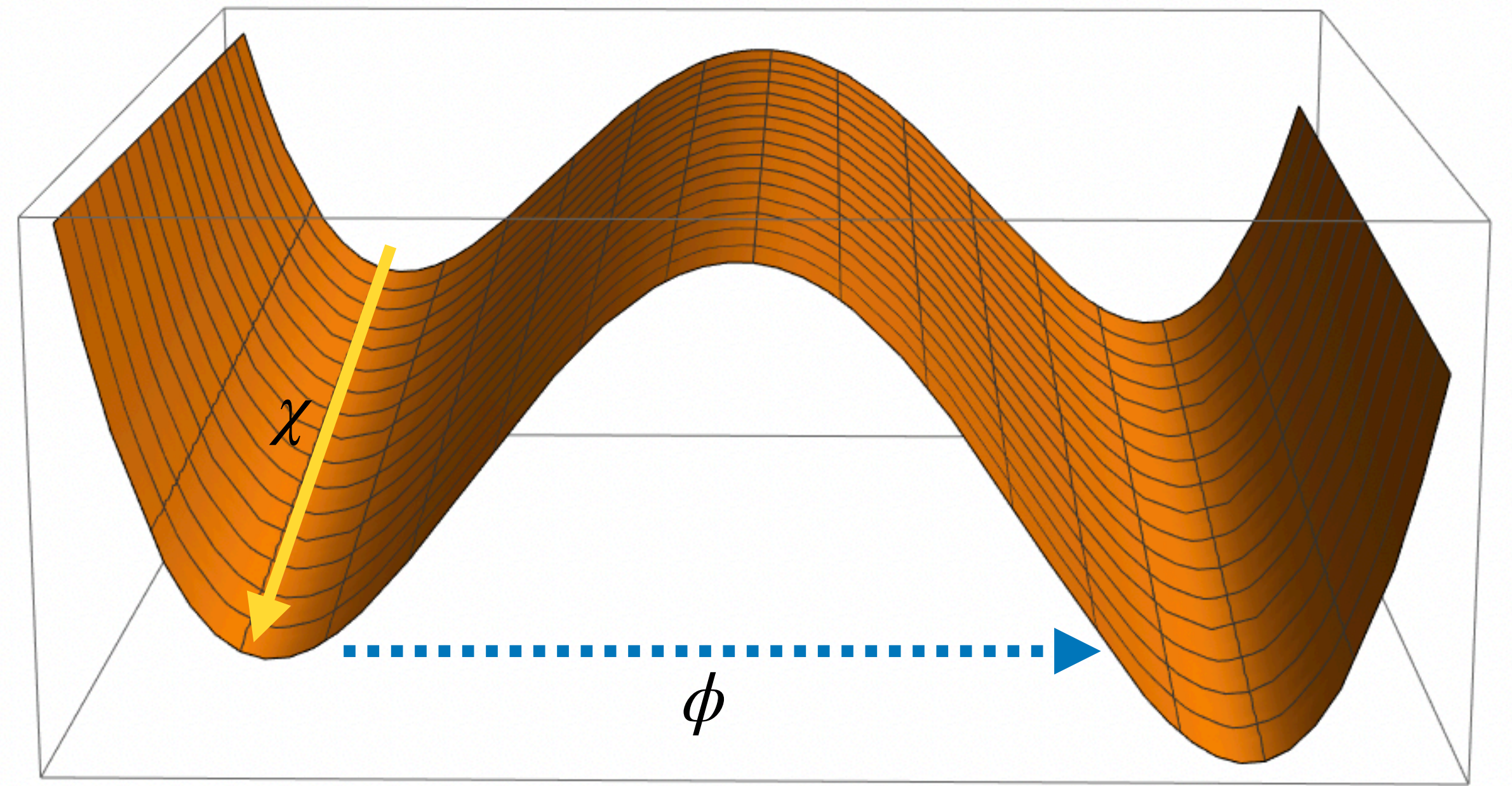


$\gamma$  is accounting for the time translation collective coordinate



Now let us add time dependence.

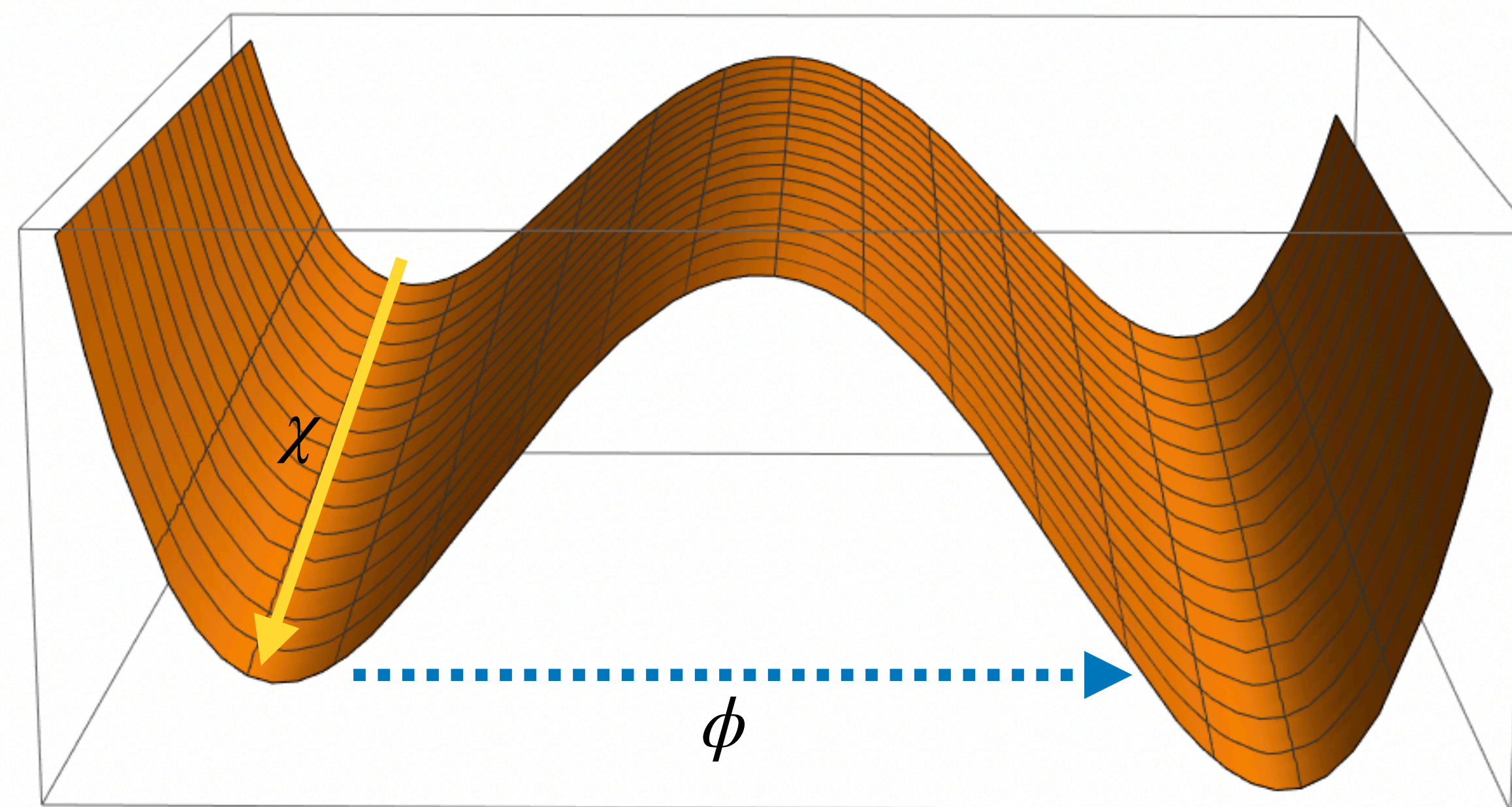
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at zeroth order in the coupling, same thin-wall profile for  $\phi$  + rolling solution for  $\chi$

integrate out space to get  $L = -\sigma(t)R^2\sqrt{1 - (\partial_t R)^2} + \epsilon(t)R^3$   $\chi(t) \rightarrow \sigma(t), \epsilon(t)$

could also include backreaction of  $\phi$  profile on  $\chi$ ,  
but higher order and may be subleading to corrections to the thin-wall limit

$$\underline{L = -\sigma(t)R^2\sqrt{1 - (\partial_t R)^2} + \epsilon(t)R^3 \quad + \quad t \rightarrow e^{i\gamma}\tau}$$

Look for nontrivial small-R solutions that can connect to the R=0 "vacuum"

Relevant solutions behave as

$$R = c\sqrt{\tau - \tau_0} (1 + \mathcal{O}(\tau - \tau_0)) ,$$

$$c = ie^{i\gamma/2} \sqrt{\frac{6(\sigma_0 + e^{i\gamma}\tau_0 v_\sigma)}{3i(\epsilon_0 + e^{i\gamma}\tau_0 v_\epsilon) + v_\sigma}}$$

where

$$\sigma(e^{i\gamma}\tau) = \sigma_0 + e^{i\gamma}v_\sigma(\tau - \tau_0) + \dots ,$$

$$\epsilon(e^{i\gamma}\tau) = \epsilon_0 + e^{i\gamma}v_\epsilon(\tau - \tau_0) + \dots$$

To determine  $\tau_0$ , shoot for solutions that return to Im R=0 at  $\tau=0$

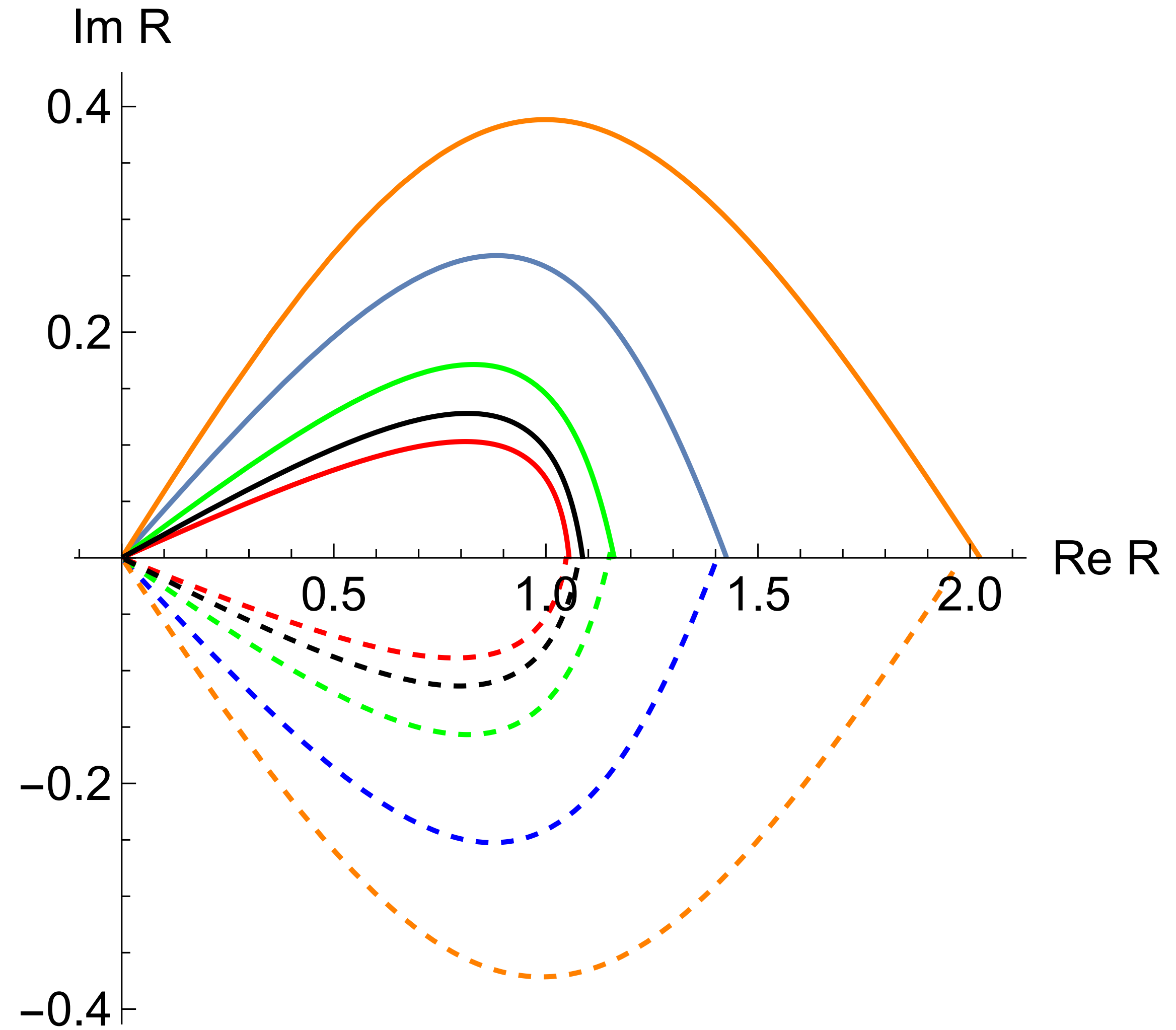


examples with slow linear  
evolution of  $\sigma$ ,  $\varepsilon$  and a range of  $\gamma$

final states generally acquire  
small  $\text{Im } p_f$  — slightly off-peak

$$\psi_f = N e^{-(R_f - R_0)^2 / 2\sigma^2 + i p R_f}$$

$$\text{Re } p_f = -\sigma R_0^2 \csc^2 \gamma \cot \gamma + \mathcal{O}(v_{\epsilon, \sigma})$$



$v=0$  on-shell action  $S \sim R_0^3 \sigma_0, R_0^4 \epsilon_0$

first correction can be computed from time-independent solutions  
(no boundary terms)

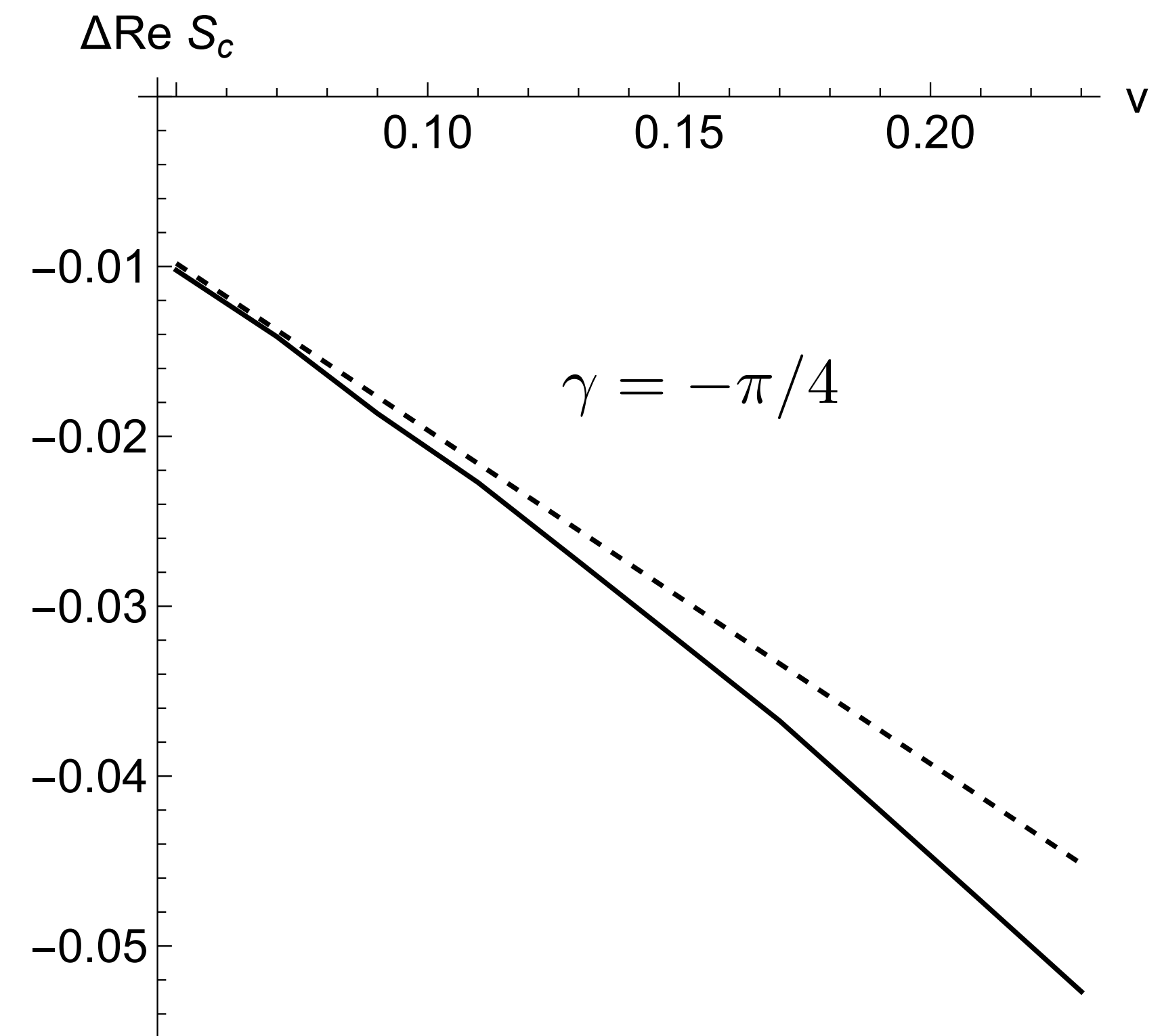
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$$\Delta \text{Re } S_c|_{\mathcal{O}(v)} = \frac{\pi}{4} \cot(\gamma) R_0^4 \left( v_\sigma - \frac{3}{4} R_0 v_\epsilon \right)$$

For fixed  $\gamma$ , small cf. leading order  
if variations are slow.

But unbounded as  $\gamma$  approaches 0,  $\pi$ ...  
what  $\gamma$  should we use?



Recall tunneling starts at  $\tau_0 = R_0 \csc \gamma$  — require  $> T_i$

If e.g. barrier is growing monotonically, starting earlier = larger amplitudes

$$\gamma = \csc^{-1}(T_i/R_0) \Rightarrow \Delta \text{Re } S_c|_{\mathcal{O}(v), \text{max}} = -\frac{\pi}{4} \sqrt{T_i^2 - R_0^2} R_0^3 \left( v_\sigma - \frac{3}{4} R_0 v_\epsilon \right)$$

if +ve, favors earlier decay

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Otherwise later is better,  $\gamma = -\pi/2$  (Euclidean) and leading  $\mathcal{O}(v)$  correction vanishes



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What precise question does  $\exp(-S_c)$  address?

$T_i$  is the latest time when we're sure there was no bubble

maximizing over  $\gamma$  computes the leading decay probability over times up to  $T_f=0$

## Coupling to gravity

Three approaches to keep the problem tractable (not exhaustive):

- Rigid Minkowski  $\Rightarrow$  rigid something time-dep e.g. FRW
- Effective action for a membrane coupled to gravity
- Exact solutions from analytic continuation

Rigid Minkowski => rigid FRW:

$$ds^2 = -dt^2 + a(t)^2 dx_i^2$$

$$a \approx 1 + Ht \quad (HR_0 \ll 1)$$

$$S \approx \int d^4x \left[ \mathcal{L}_{flat} + Ht(3\mathcal{L}_{flat} + (\partial_i \phi)^2) \right]$$

background spacetime  
provides the time dependence

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$$S \approx \int d^4x [\mathcal{L}_{flat} + Ht(3\mathcal{L}_{flat} + (\partial_i \phi)^2)]$$

$$\Rightarrow L_{eff} = \underbrace{-(1 + 3Ht)\sigma R^2 \sqrt{1 - (\partial_t R)^2} + (1 + 3Ht)\epsilon R^3}_{\text{same as previous}} + \underbrace{\frac{\sigma Ht R^2}{\sqrt{1 - (\partial_t R)^2}}}_{\text{new term}}$$

O(H) contribution to the on-shell action vanishes



Membrane effective action:

in the static case, use a small generalization of an effective action found by Visser (1992)

EH + spherical brane:

$$(1) \quad S = \frac{1}{16\pi G_N} \int_{\mathcal{M}_{1,2}} \sqrt{|g|} d^4x (R_{1,2} - 2\Lambda_{1,2}) + \frac{1}{8\pi G_N} \int_{\mathcal{T}} \sqrt{|h|} d^3y K_{1,2} - \mu \int_{\mathcal{T}} \sqrt{|h|} d^3y$$

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Effective action for  $R_{\text{brane}}$ :

$$(2) \quad S_{\text{brane}}^{\text{eff}} = \frac{1}{2G_N} \int d\lambda \left[ -2R\dot{R} \sinh^{-1} \left( \frac{\dot{R}}{\sqrt{N^2 f_{\text{dS}_T}}} \right) + 2R \sqrt{f_{\text{dS}_T} N^2 + \dot{R}^2} \quad \boxed{f_{T,F} = 1 - R^2/L_{1,2}^2} \right. \\ \left. + 2R\dot{R} \sinh^{-1} \left( \frac{\dot{R}}{\sqrt{N^2 f_{\text{dS}_F}}} \right) - 2R \sqrt{f_{\text{dS}_F} N^2 + \dot{R}^2} - 8\pi G_N \mu N R^2 \right]$$

- $\lambda$  is an arbitrary parametrization and  $N$  is the lapse on the world"line"  $-N^2 d\lambda^2 = -f_{T,F} dt_{T,F}^2 + f_{T,F}^{-1} dR^2$
- The arcsinh is Visser's trick to rewrite in 1st order form
- Looks rather different, but actually equivalent to previous for  $G_N \rightarrow 0$

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$dS/dN=0$  in (2) = junction condition from (1):

$$R\sqrt{f_{\text{dS}_T} + \dot{R}^2} - R\sqrt{f_{\text{dS}_F} + \dot{R}^2} - 4\pi\mu G_N R^2 = 0 \quad \text{gauge fix } N=1, \lambda=\text{proper time}$$

$N$  provides reparam invariance  $\Rightarrow$  equiv to  $H=0$

This is the only independent equation ( $dS/dR=0$  gives the proper time derivative of it)

Now we continue  $\lambda \rightarrow e^{i\gamma}\tau$

The continued energy is

$$E = e^{i\gamma} \frac{\pi}{G_N} \left[ R \sqrt{f_{dS_F} + e^{-2i\gamma} \dot{R}^2} - R \sqrt{f_{dS_T} + e^{-2i\gamma} \dot{R}^2} + 4\pi G_N \mu R^2 \right] = 0$$

One solution is  $R=0$ .

To find the other, rearrange:

$$e^{-2i\gamma} \left( \frac{dR}{d\tau} \right)^2 + 1 - \alpha^2 R^2 = 0$$
$$\alpha^2 = L_F^{-2} + \frac{(L_F^{-2} - L_T^{-2} - 16\pi^2 G_N^2 \mu^2)^2}{64\pi^2 G_N^2 \mu^2}$$
$$\approx R_0^{-2} + (2L_F)^{-2} + (2L_T)^{-2} + \mathcal{O}(G_N^2)$$



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Relevant solutions:

$$R(\tau) = \alpha^{-1} \cosh [\alpha e^{i\gamma} (\tau - \tau_0) + i(\pi/2)]$$

$$\tau_0 = \frac{\pi}{2} \alpha^{-1} \csc(\gamma)$$

Nucleation point:

$$R(0) = \alpha^{-1} \cosh((\pi/2) \cot \gamma) \quad \text{real}$$

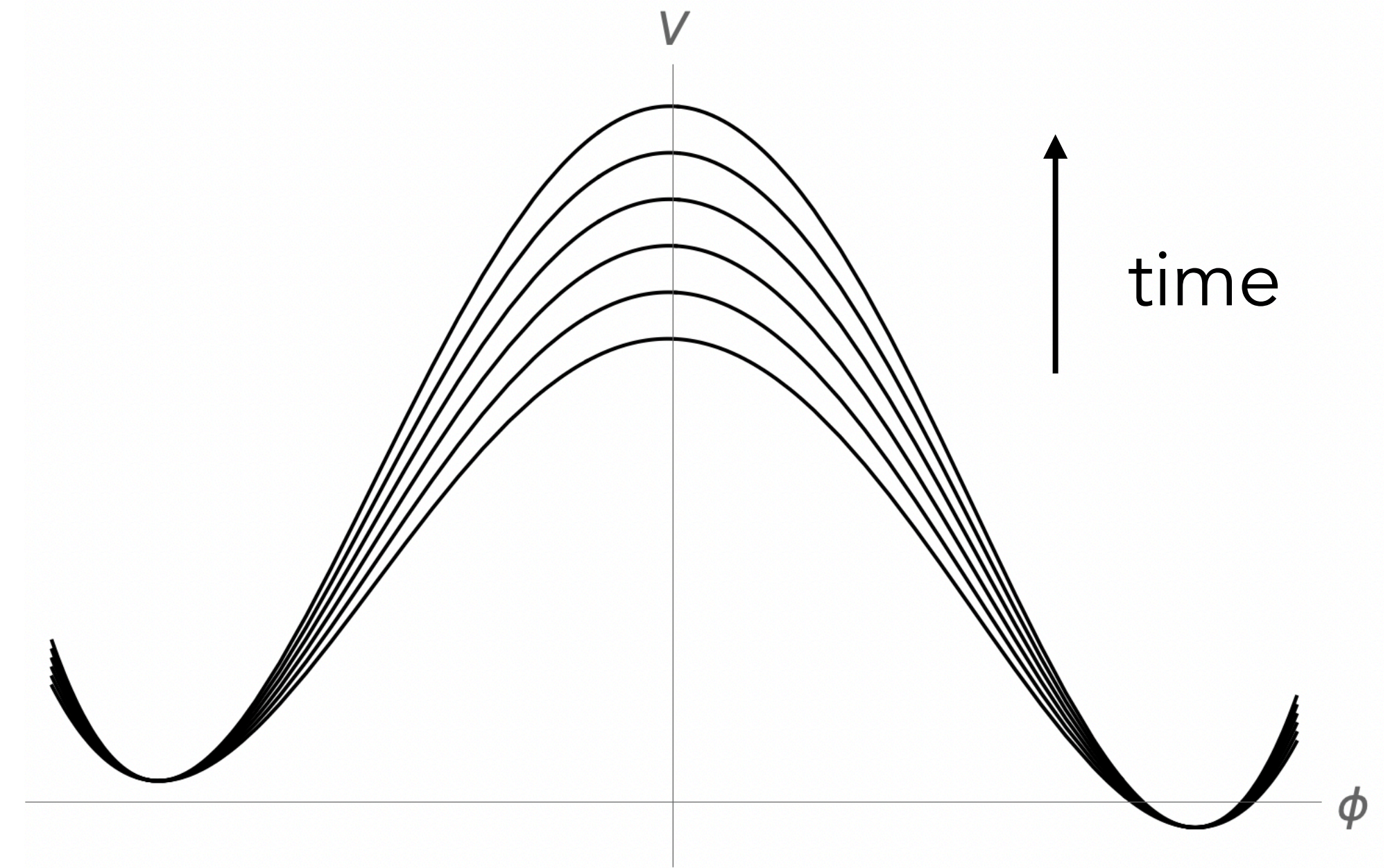
Similar to previous, real-time  $\langle E \rangle$  of the final wavepacket vanishes

Simplest (space)time-dependent modification:

$$\mu \Rightarrow \mu(t, r)$$

other modifications quite nontrivial...

This can again arise from certain (rather artificial) weak couplings to slowly-evolving spectators.



EOM:

$$\frac{d}{d\lambda} \left[ \frac{2R}{\left( \frac{\partial q}{\partial N} \right)} \left( \sqrt{f_{dS_T} + N^{-2} \dot{R}^2} - \sqrt{f_{dS_F} + N^{-2} \dot{R}^2} - 4\pi G_N \mu R \right) \right] = -8\pi G_N N R^2 \frac{\partial \mu}{\partial t_{dS_F}}$$

$$q \equiv f_{dS_F}^{-1} \sqrt{f_{dS_F} N^2 + \dot{R}^2}$$

gauge fix  $N=1$  at the end

Can follow same procedure: continue, solve  $d\mu/dt=0$  case, compute  $d\mu/dt$  correction to  $S$

## An exact solution

Lorentzian KK cosmology:  $ds^2 = -dy^2 + y^2 d\phi^2 + dt^2 + dx^2 + x^2 d\psi^2$

If  $t \sim t + 2\pi$  this is ordinary KK theory in a funny “Milne”-type coordinate system.

If  $\phi \sim \phi + 2\pi$  it is a KK cosmology with the circle shrinking/growing in time  $y$

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The singularity at  $y=0$  is an annoyance which we can regulate by twisting the periodicity:

take  $(t, \phi) \sim (t + 2\pi n \frac{\mu}{\sqrt{\mu - a^2}}, \phi + 2\pi n \frac{a}{\sqrt{\mu - a^2}})$  with  $0 \leq a^2 \leq \mu$

The minimum circle radius in Milne patch is now  $\mu/\sqrt{\mu - a^2}$ . Also extend spacetime  
Milne  $\rightarrow$  Mink (not completely innocuous)

## An exact solution

This “vacuum” cosmology is unstable. There is a candidate “decay product”:

$$ds^2 = \frac{r^2 + a^2 \cosh^2 \theta}{r^2 + a^2 - \mu} dr^2 + dt^2 - \frac{\mu}{r^2 + a^2 \cosh^2 \theta} (dt - a \sinh^2 \theta d\phi)^2 \\ + r^2 \left( - \left[ 1 + \frac{a^2 \cosh^2 \theta}{r^2} \right] d\theta^2 + \sinh^2 \theta \left[ 1 + \frac{a^2}{r^2} \right] d\phi^2 + \cosh^2 \theta d\psi^2 \right)$$

This is a real Lorentzian manifold given by the continuation  $t \rightarrow it, \theta \rightarrow i\theta, \phi \rightarrow i\phi$  of the 5D Myers-Perry black hole with one angular momentum ( $a = J/M, \mu = r_S$ )

Geometry caps off smoothly at  $r = r_H = \sqrt{\mu - a^2}$  : a bubble of nothing

Asymptotics match the vacuum spacetime+periodicities ( $\theta \rightarrow \tanh^{-1}(y/x), r \rightarrow \sqrt{x^2 - y^2}$ )



The induced metric on the bubble wall is that of a spheroid which expands in time

The “instanton” (MP:  $dt \rightarrow idt$ ) is **quasi-Euclidean** because MP is stationary rather than static,  
 $dt d\phi \rightarrow idt d\phi$

It can be joined smoothly to the nucleated bubble on a hypersurface of zero momentum

$S = \frac{\pi^2 \mu^2}{G_5 \sqrt{\mu - a^2}}$  recovers Witten’s result for  $a=0$ , diverges in the extremal limit where the minimum KK circle size diverges

Since the background is time dependent, there is no time translation symmetry and the instanton computes a probability rather than a rate.

Questions:

application to time-dependent Schwinger process?

generalization to multiple collective coordinate QM, full QFT?

membrane approach to other cases with dynamical gravity?

other exact instantons from other MP/black ring continuations?