



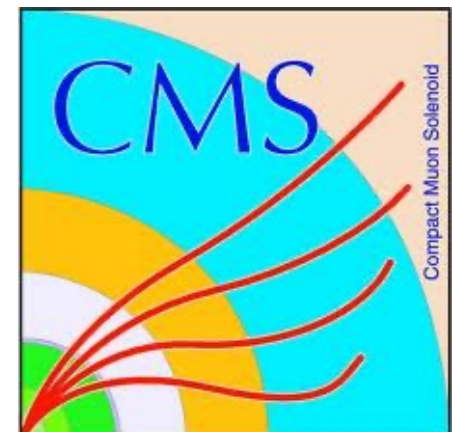
Image credit: Marguerite Tonjes

Higgs-charm couplings

Nick Smith, on behalf of ATLAS+CMS

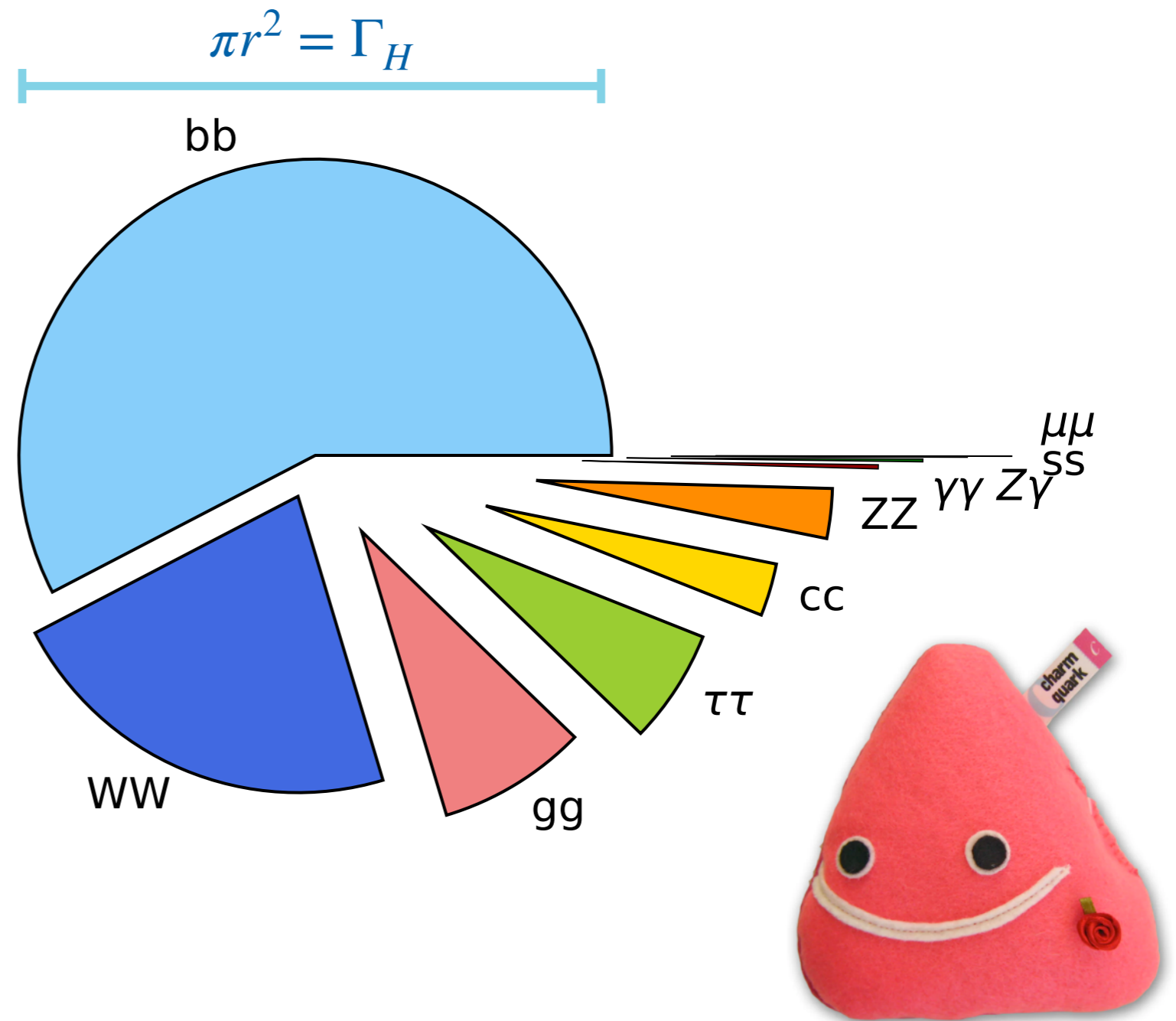
SM@LHC 2023

12 July, 2023



Outline

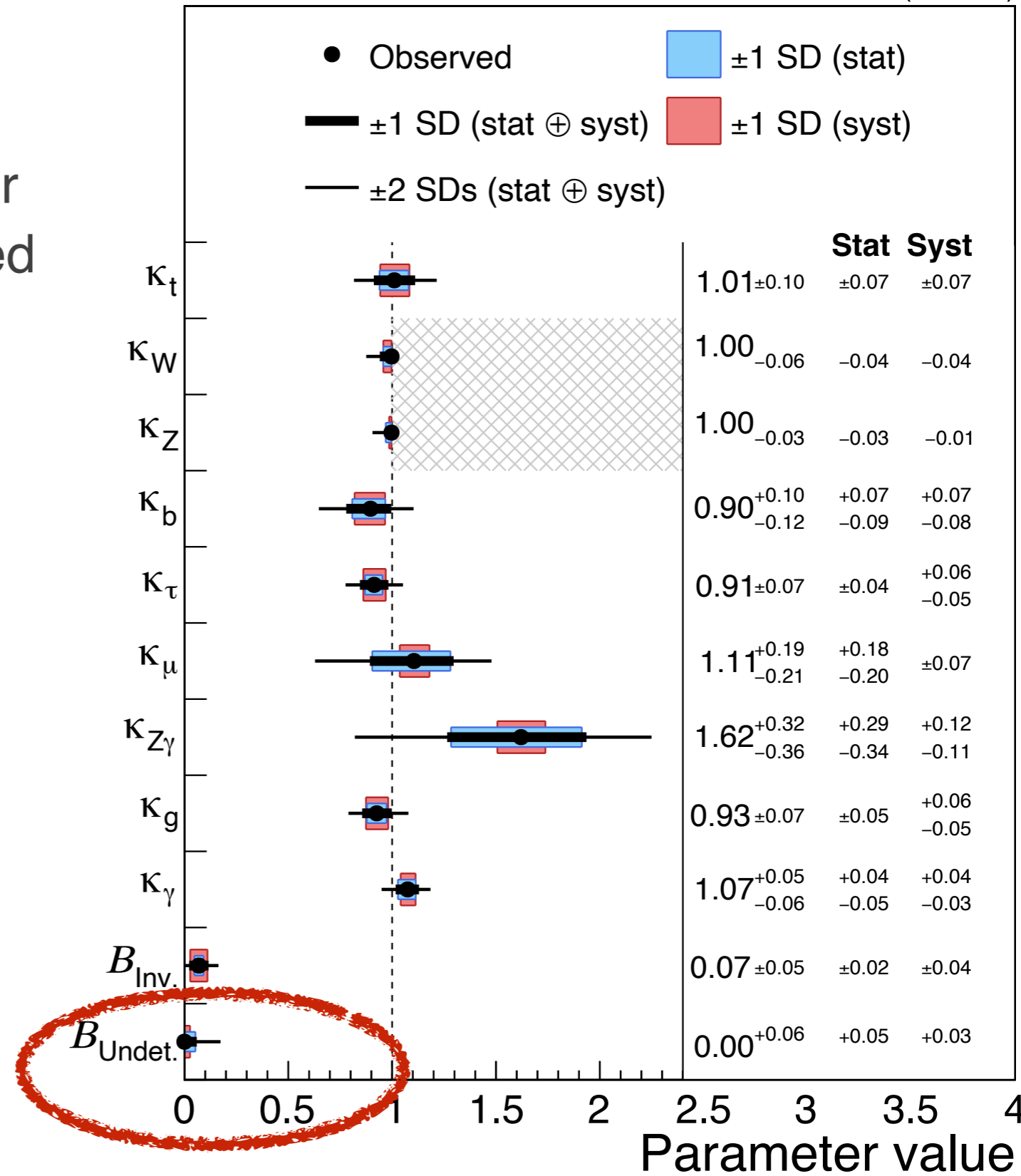
- Indirect methods
 - Higgs Combination
 - p_T differential
- Direct searches
 - Rare decays
 - VH(cc)
 - ggH(cc)
 - VH(cc) enters combinations
- Outlook for κ_c



H(cc) as what's left of the pie

- Assume $|\kappa_V| \leq 1 \rightarrow$ 95% CL upper limit on branching ratio of undetected decays

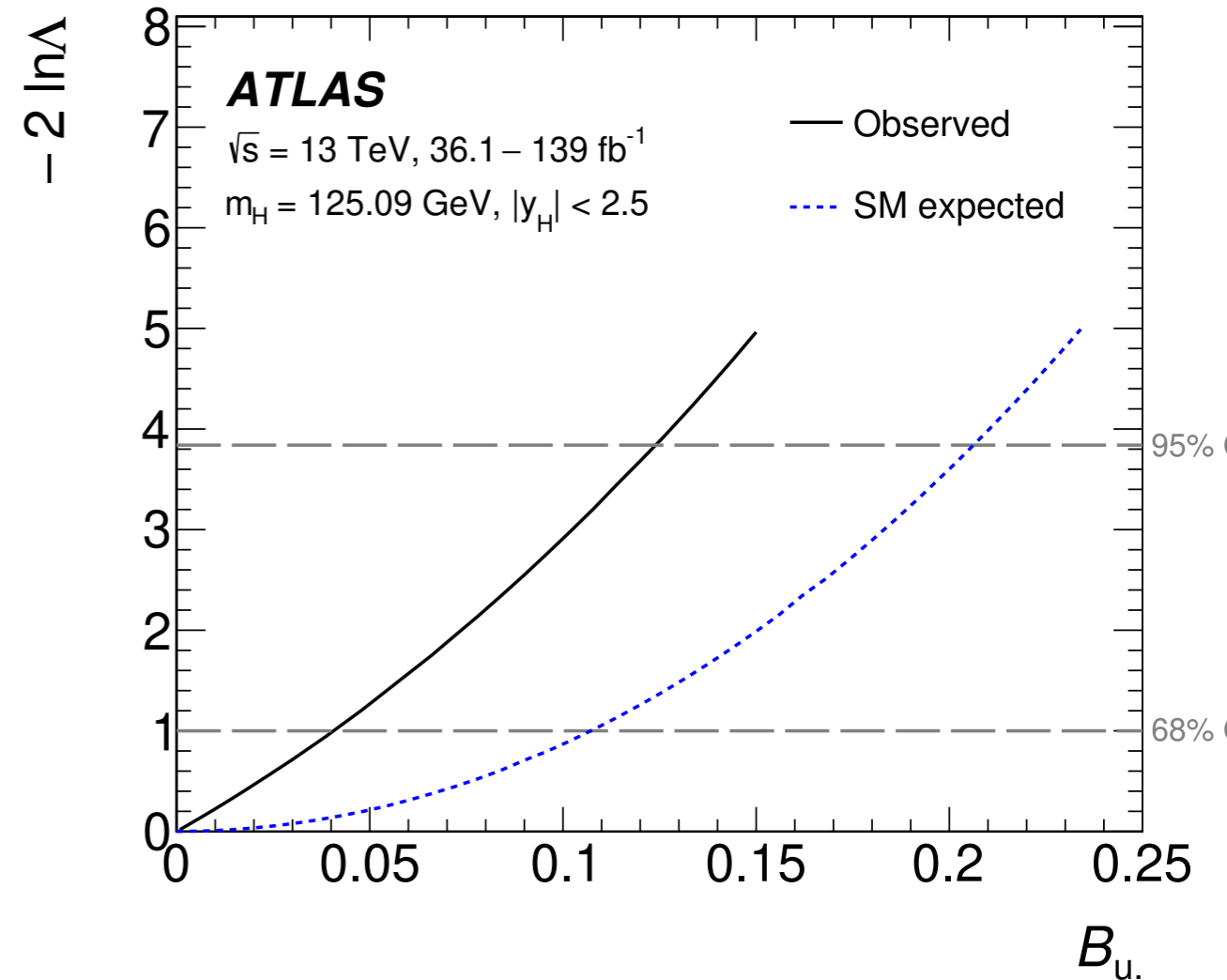
- CMS: ~ 0.18
- ATLAS: 0.12



[Nature 607 \(2022\) 60-68](#)

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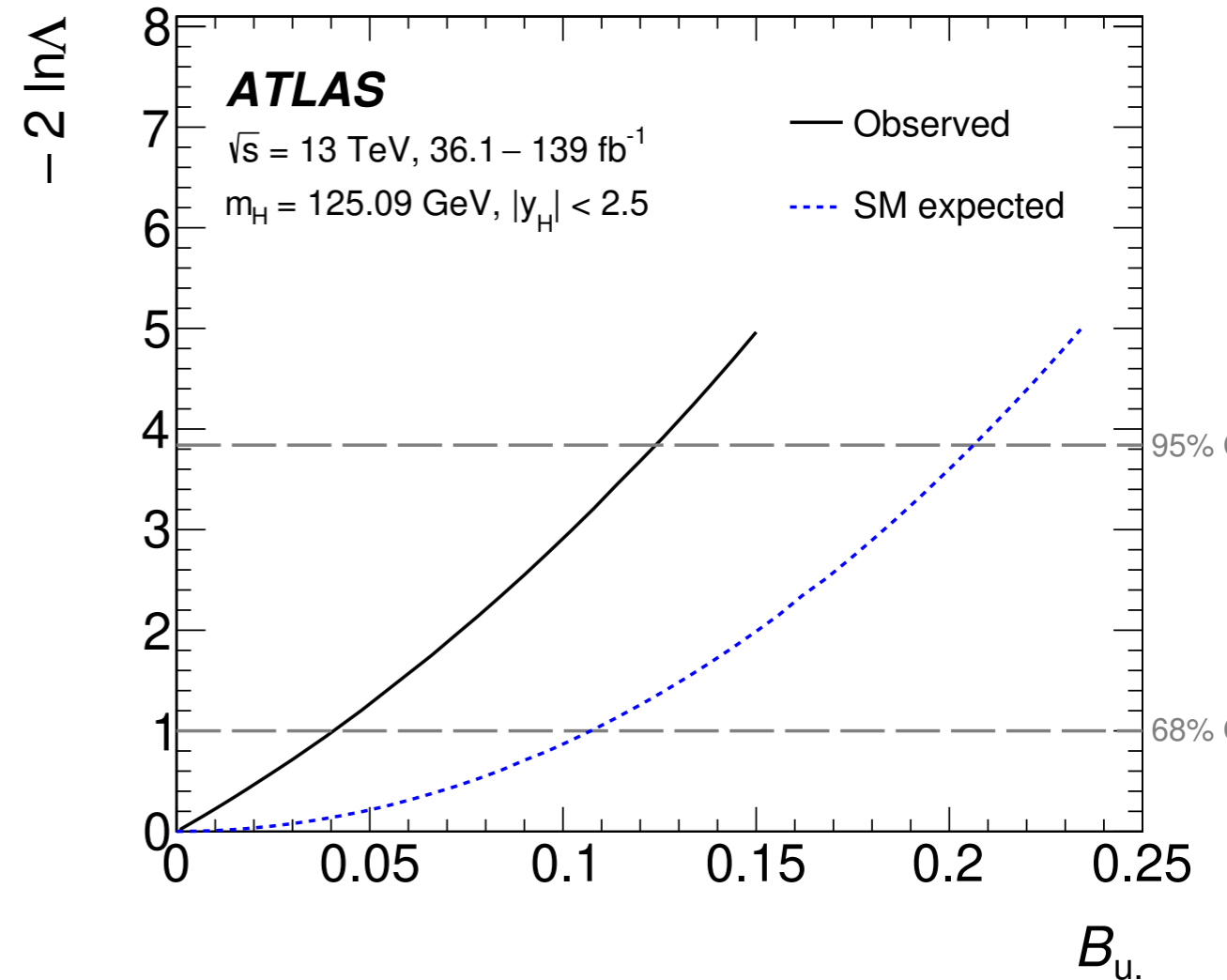
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[Nature 607, pages 52-59 \(2022\)](#)

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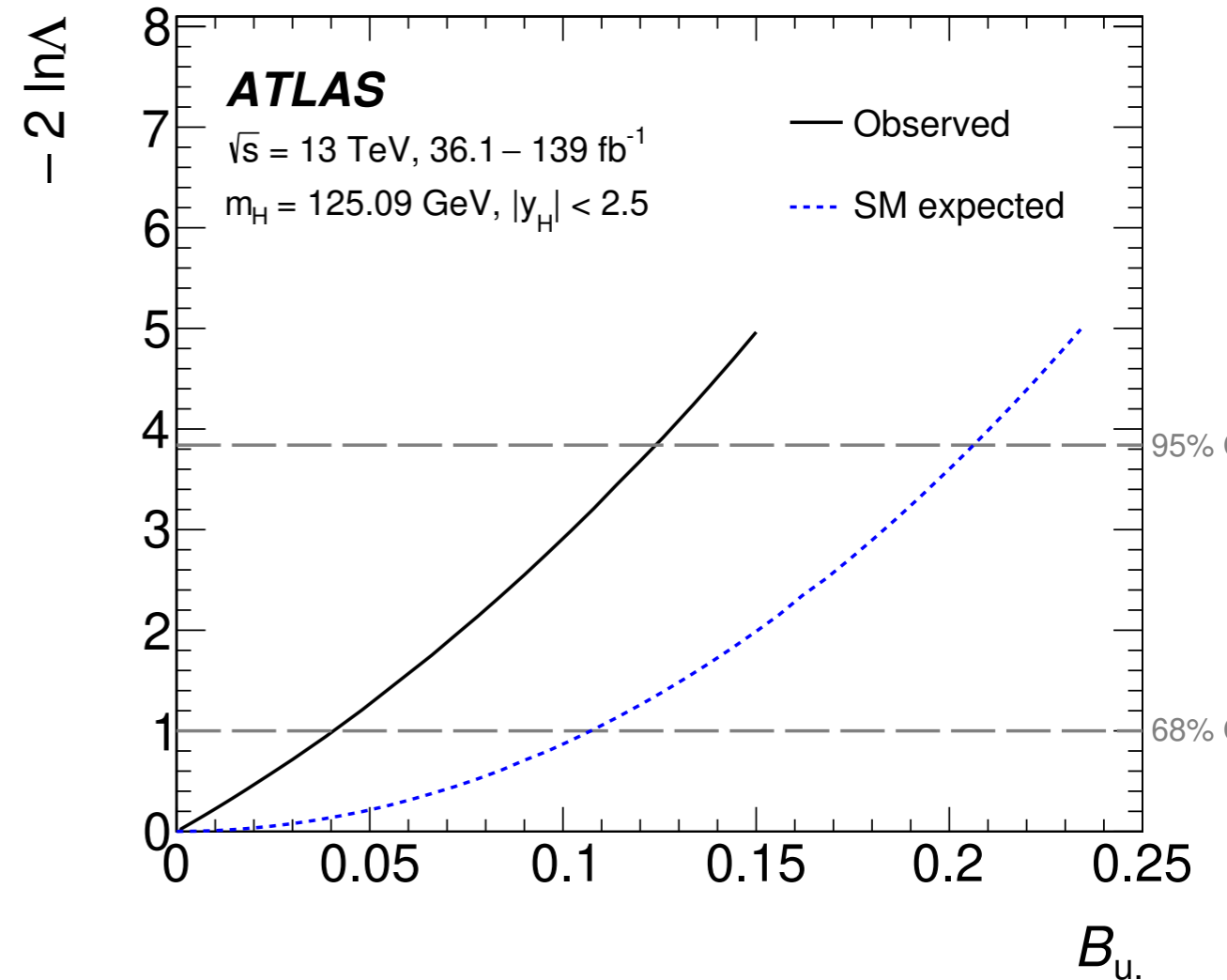
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 - CMS: ~ 0.18
 - ATLAS: 0.12
- Back of envelope: assume B_u is excess H(cc), other $\kappa \approx 1$,
 - $B_{undet} = \frac{(\kappa_c^2 - 1)B_{cc}^{SM}}{1 + (\kappa_c^2 - 1)B_{cc}^{SM}}$
 - Implies $\kappa_c \lesssim 2.4$



[Nature 607, pages 52-59 \(2022\)](#)

H(cc) as what's left of the pie

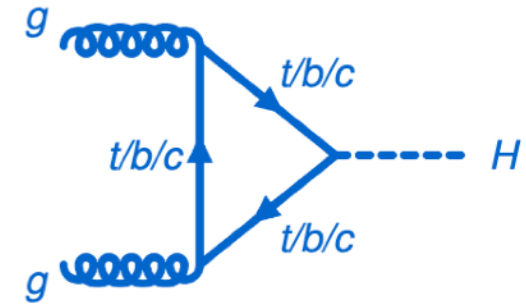
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 - Implies $\kappa_c \lesssim 2.4$
- Better: fit with full κ_c dependence, $|\kappa_V| \leq 1, B_{undet} = 0$
 - Or include direct Γ_H constraint (as seen in Ulascan's talk)
 - Bound is $\sim 2x$ weaker ([1905.09360](#))



[Nature 607, pages 52-59 \(2022\)](#)

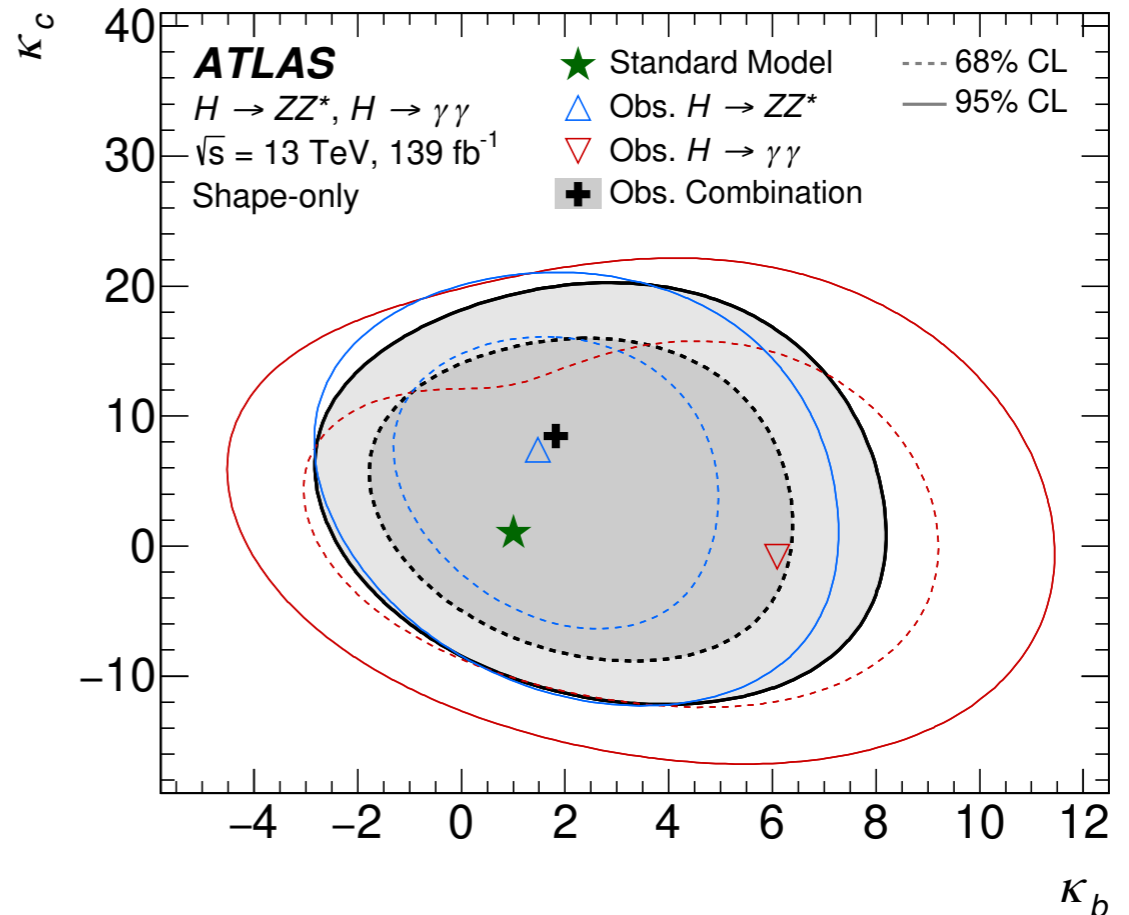
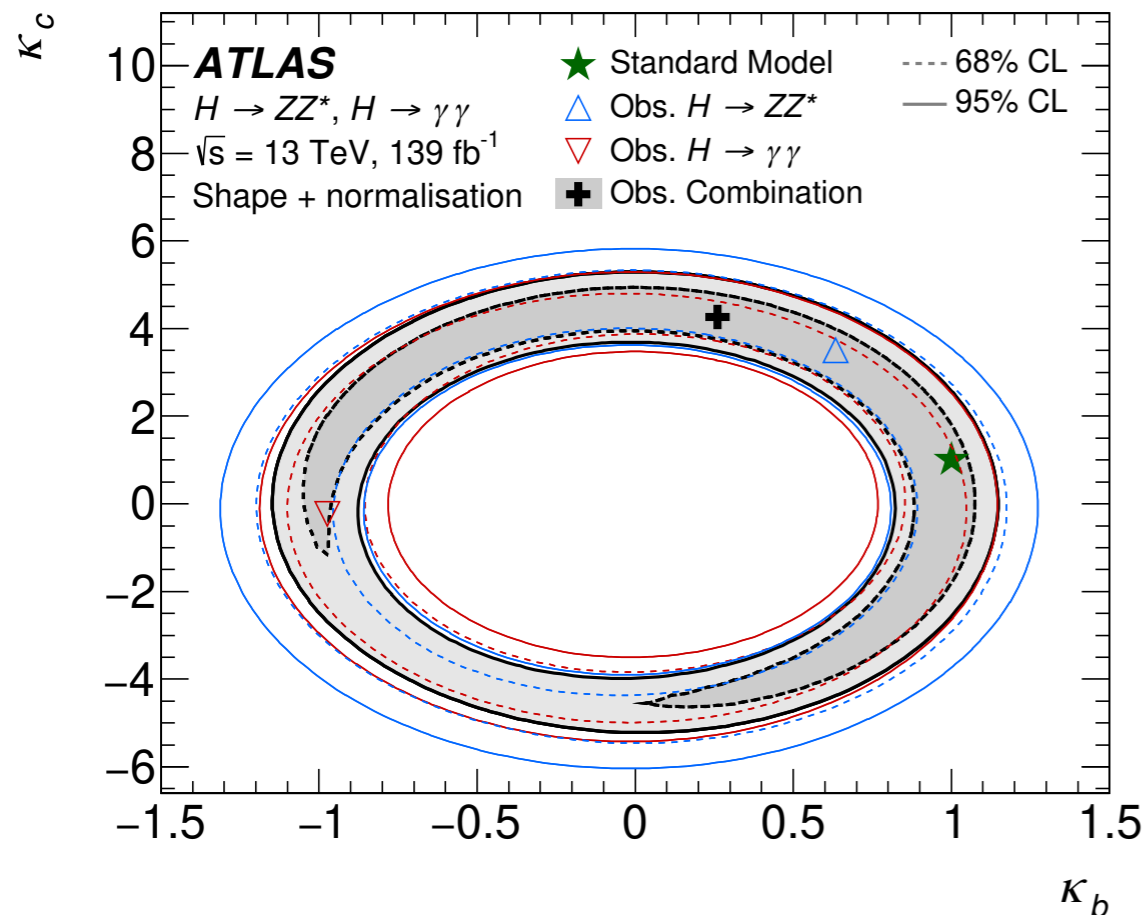
Charm coupling distorts the loop

- Higgs p_T measurements can separate c/b contributions to ggH production loop [1606.09253](#)
- ATLAS probed this effect w/full Run-II $H(\gamma\gamma) + H(ZZ^*)$ combination
 - Left: allow couplings to modify total cross section
 - Right: differential shape only
 - Both assume all other $\kappa = 1$



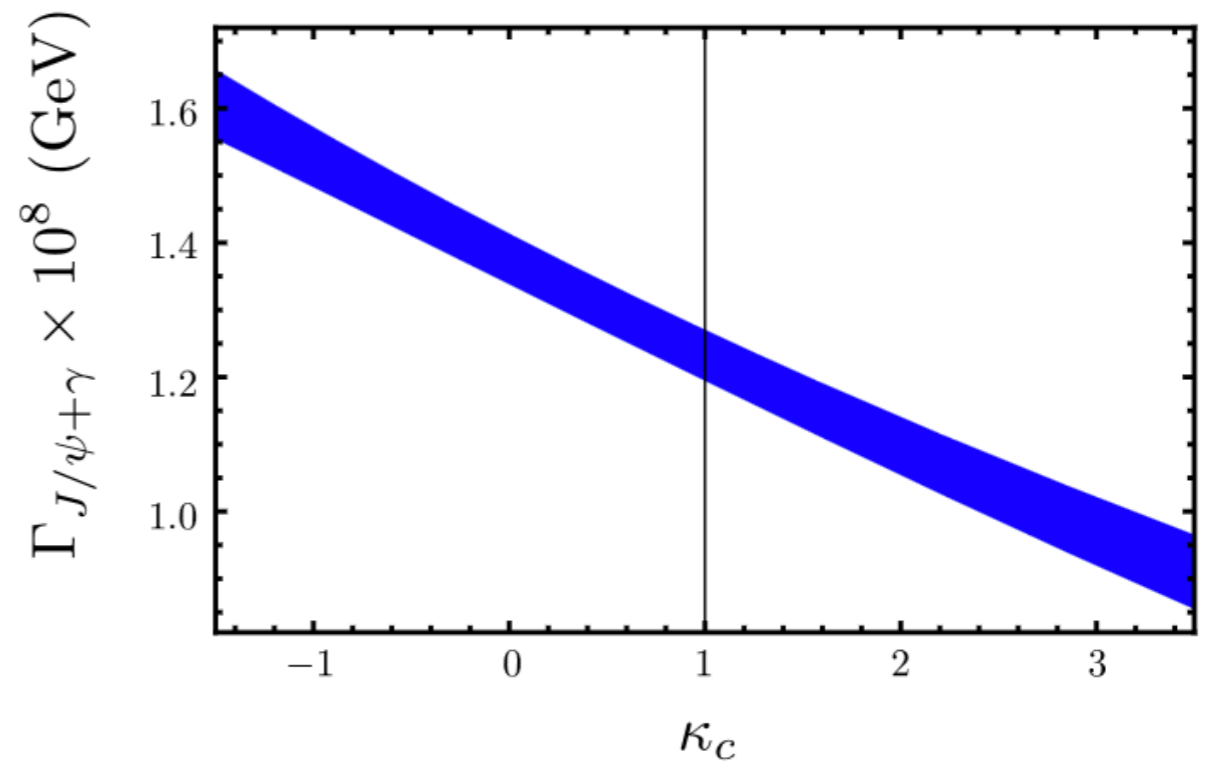
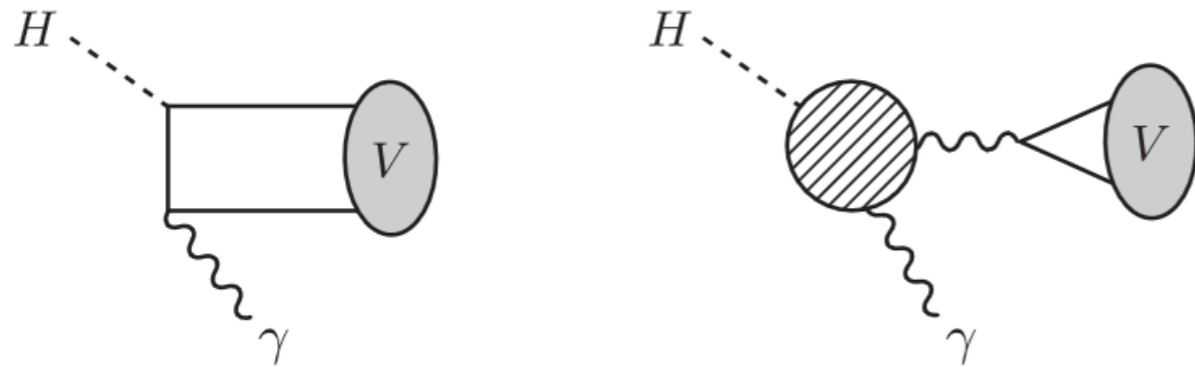
[ATLAS JHEP 05 \(2023\) 028](#)

(CMS partial Run-II: [Phys. Lett. B 792 \(2019\) 369](#))



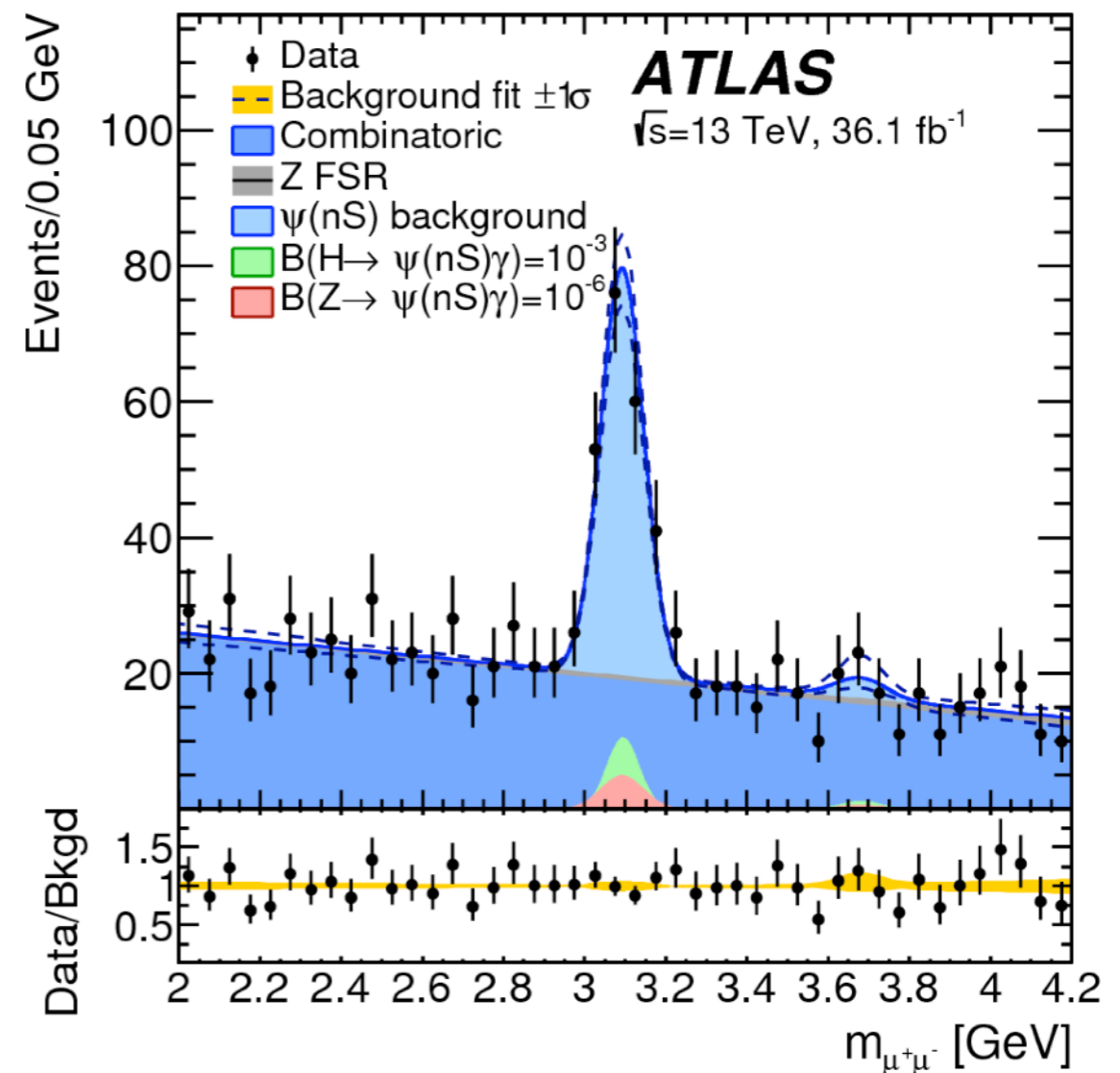
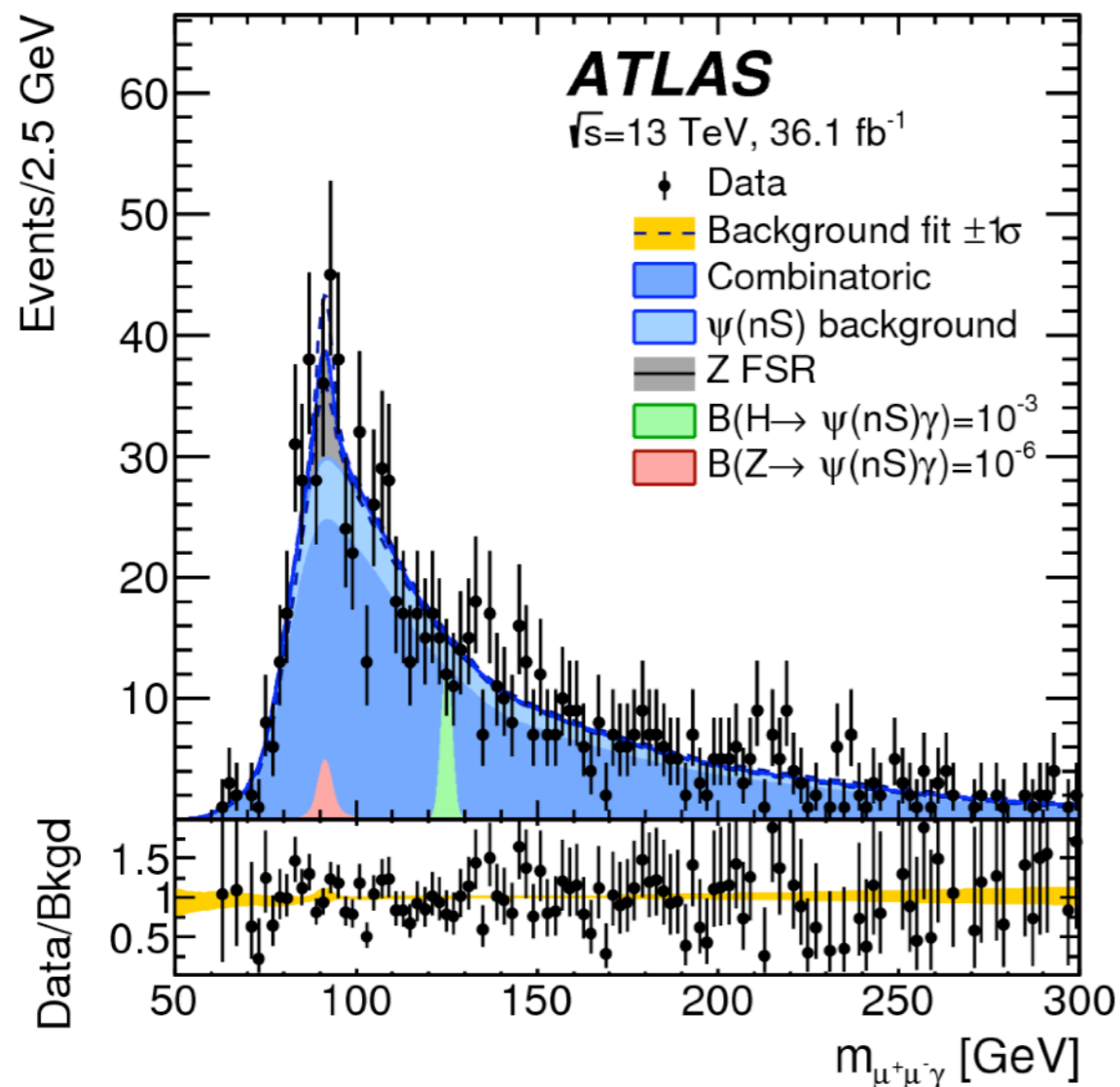
Higgs to γ +meson

- $H \rightarrow J/\psi \gamma$ decays can probe κ_c
 - SM expected cross section: 3×10^{-6}
 - Contribution from direct H(cc) coupling is sub-leading, hence mild κ_c dependence



Higgs to $\gamma + \text{meson}$

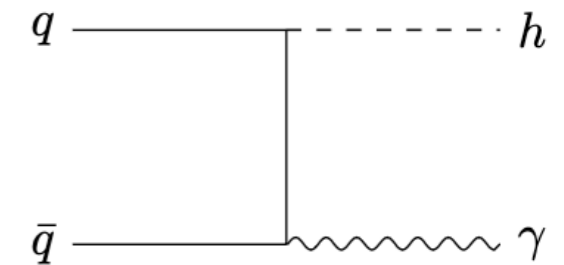
- Both ATLAS & CMS probe several exclusive final states, in particular:
 - ATLAS: $B(H \rightarrow J/\psi \gamma) < 3.5 (3.0) \times 10^{-4}$ ([Phys. Lett. B 786 \(2018\) 134](#))
 - CMS: $B(H \rightarrow J/\psi \gamma) < 7.6 (5.2) \times 10^{-4}$ ([Eur. Phys. J. C 79 \(2019\) 94](#))
 - Corresponds to ~ 120 times SM expectation



Probing κ_c via the initial state

- $WW\gamma$ tri-boson with $H(WW)\gamma$ interpretation ([SMP-22-006](#))
 - Main analysis: SM $WW\gamma$ observation, dim-8 QGC limits
 - Selection modified to target $H(WW)$ decay ($\Delta\phi_{\ell\ell}$, etc.)
 - Assume other Higgs κ scale to preserve measured BR

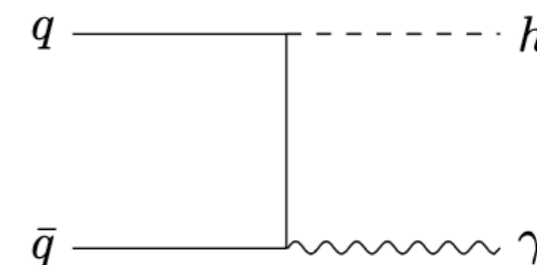
Process	σ_{up} pb exp.(obs.)	Yukawa couplings limits exp.(obs.)
$u\bar{u} \rightarrow H + \gamma \rightarrow e\mu\gamma$	0.067 (0.085)	$ \kappa_u \leq 13000$ (16000)
$d\bar{d} \rightarrow H + \gamma \rightarrow e\mu\gamma$	0.058 (0.072)	$ \kappa_d \leq 14000$ (17000)
$s\bar{s} \rightarrow H + \gamma \rightarrow e\mu\gamma$	0.049 (0.068)	$ \kappa_s \leq 1300$ (1700)
$c\bar{c} \rightarrow H + \gamma \rightarrow e\mu\gamma$	0.067 (0.087)	$ \kappa_c \leq 110$ (200)



Probing κ_c via the initial state

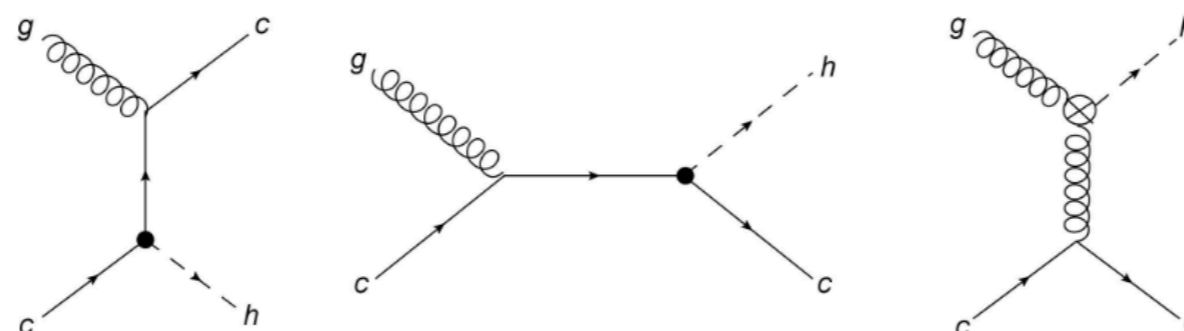
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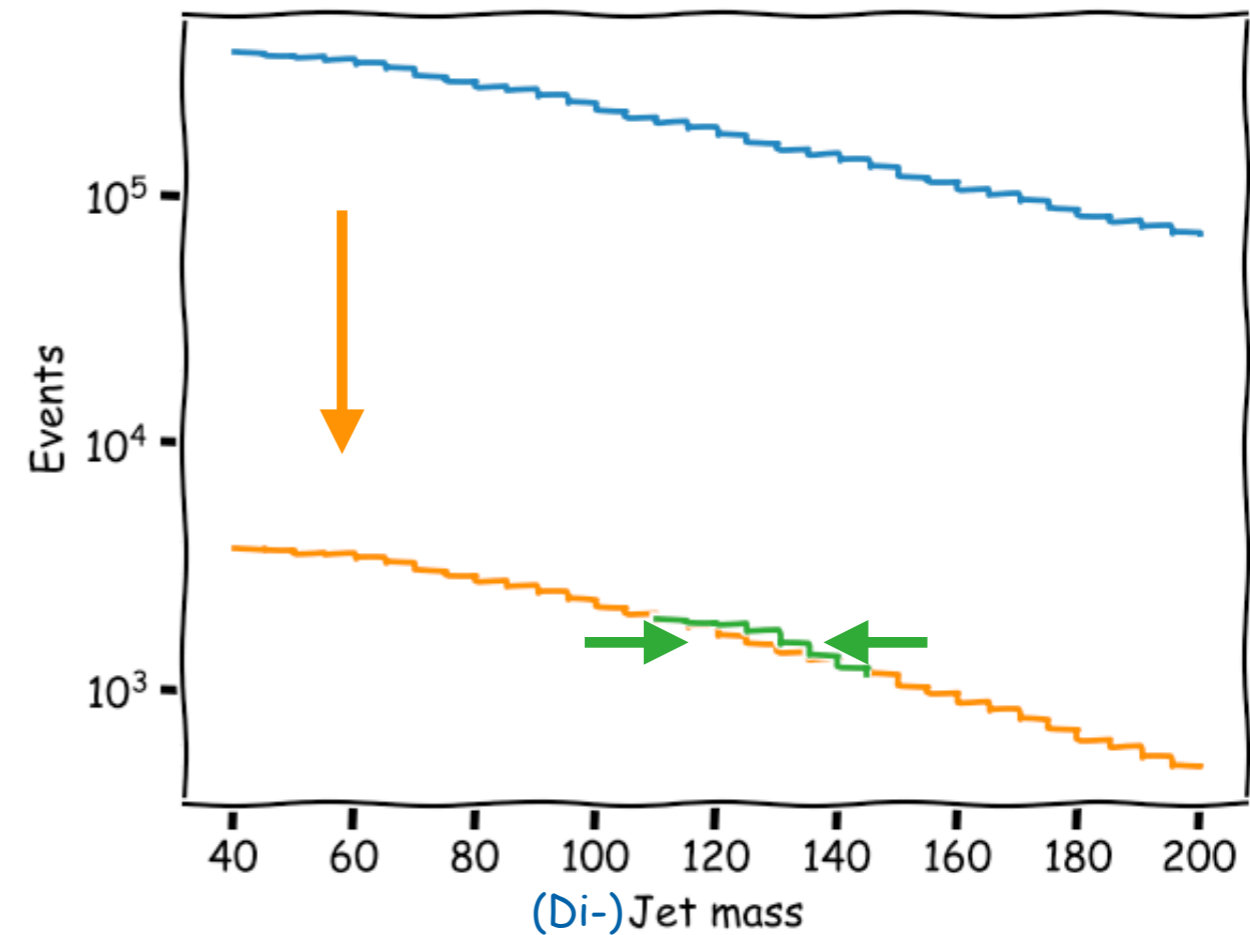
• Higgs+charm

- Proposed in [1507.02916](#), expect $|\kappa_c| < 2.6$ (95% CL) with 3/ab HL-LHC data
- Aside: parton tagging for x+1j EFT searches?



Higgs to cc via jet flavor

- Direct measurement requires:
 - Sharp mass peak with well-understood efficiency
 - Over well-suppressed & well-understood background



Higgs to cc via jet flavor

- Direct measurement requires:
 - Sharp mass peak with well-understood efficiency
 - Over well-suppressed & well-understood background
- Long history of developments for H(bb) largely translate
 - Challenge: mis-tag from two directions

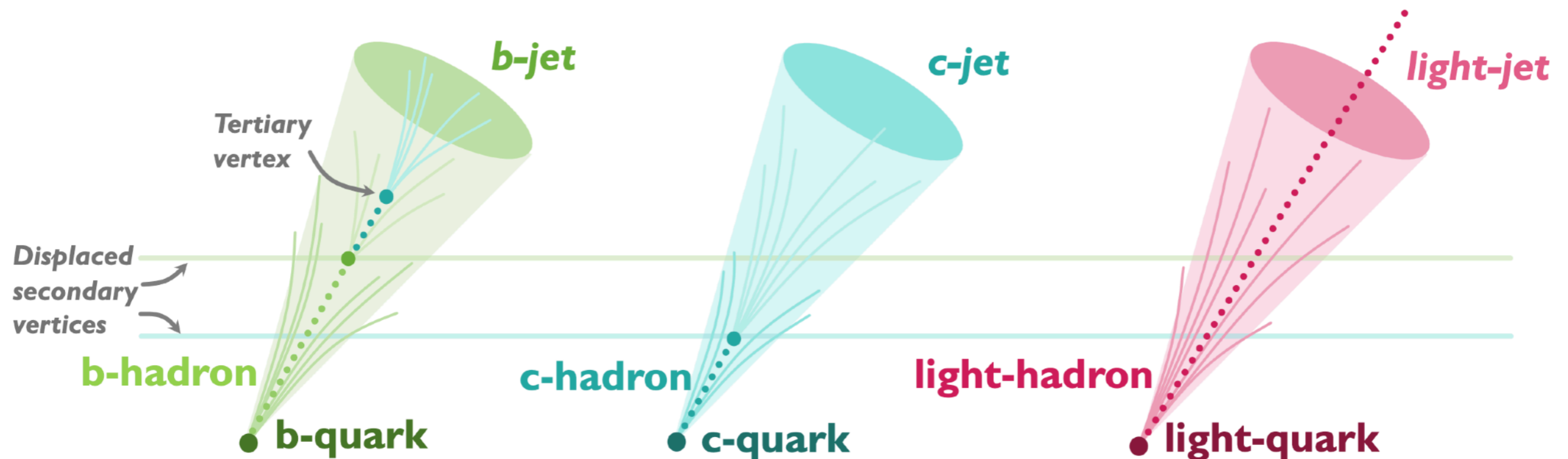
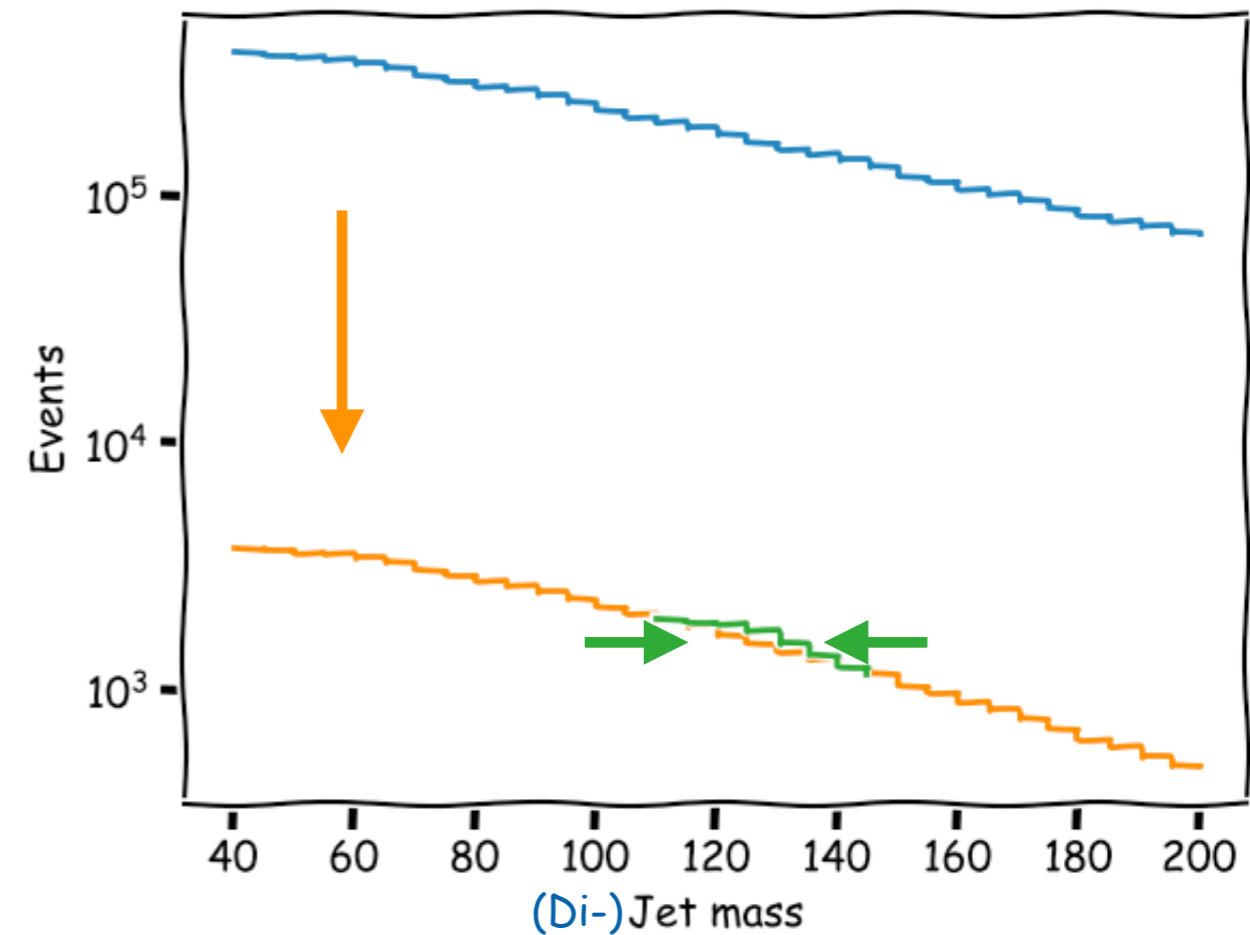
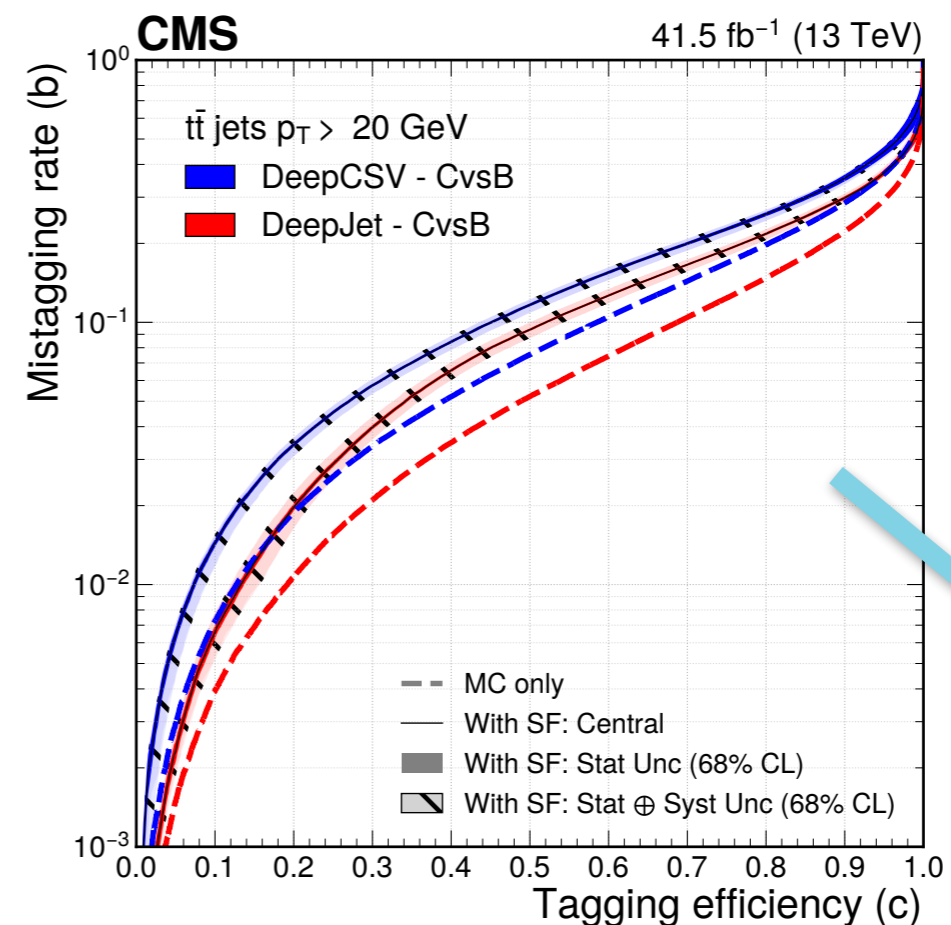
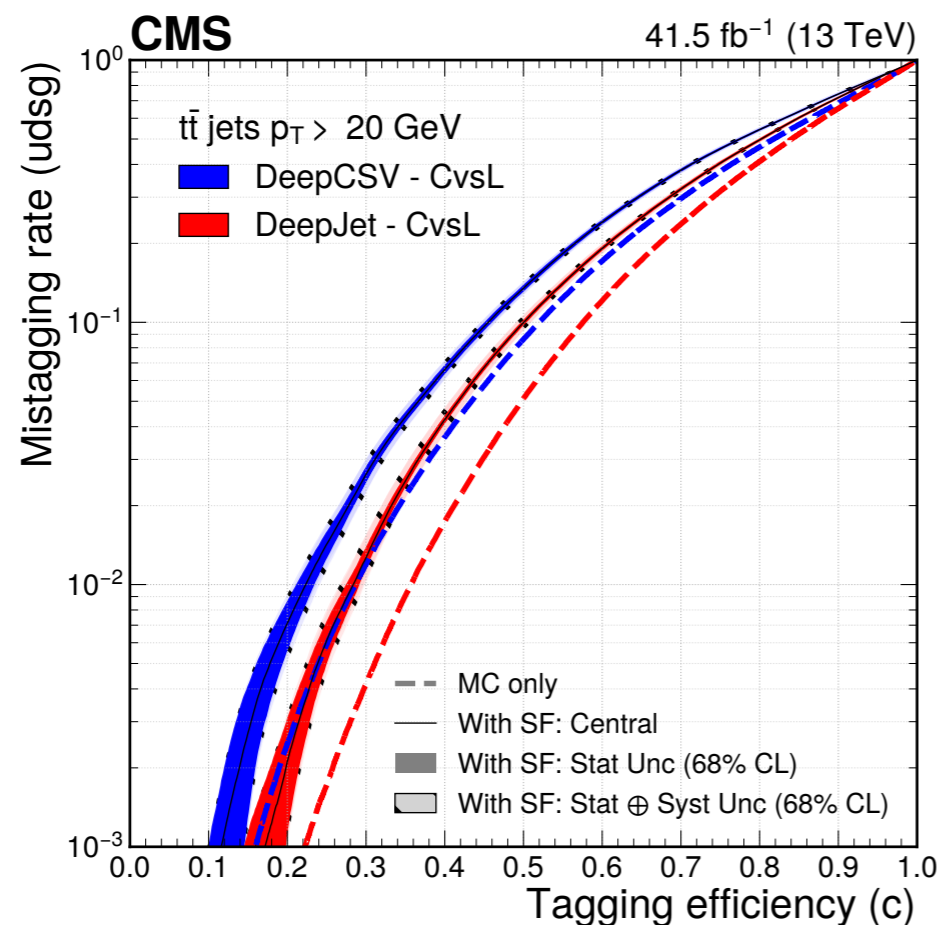


Diagram: Maria Mironova

Charm flavor tagging advances

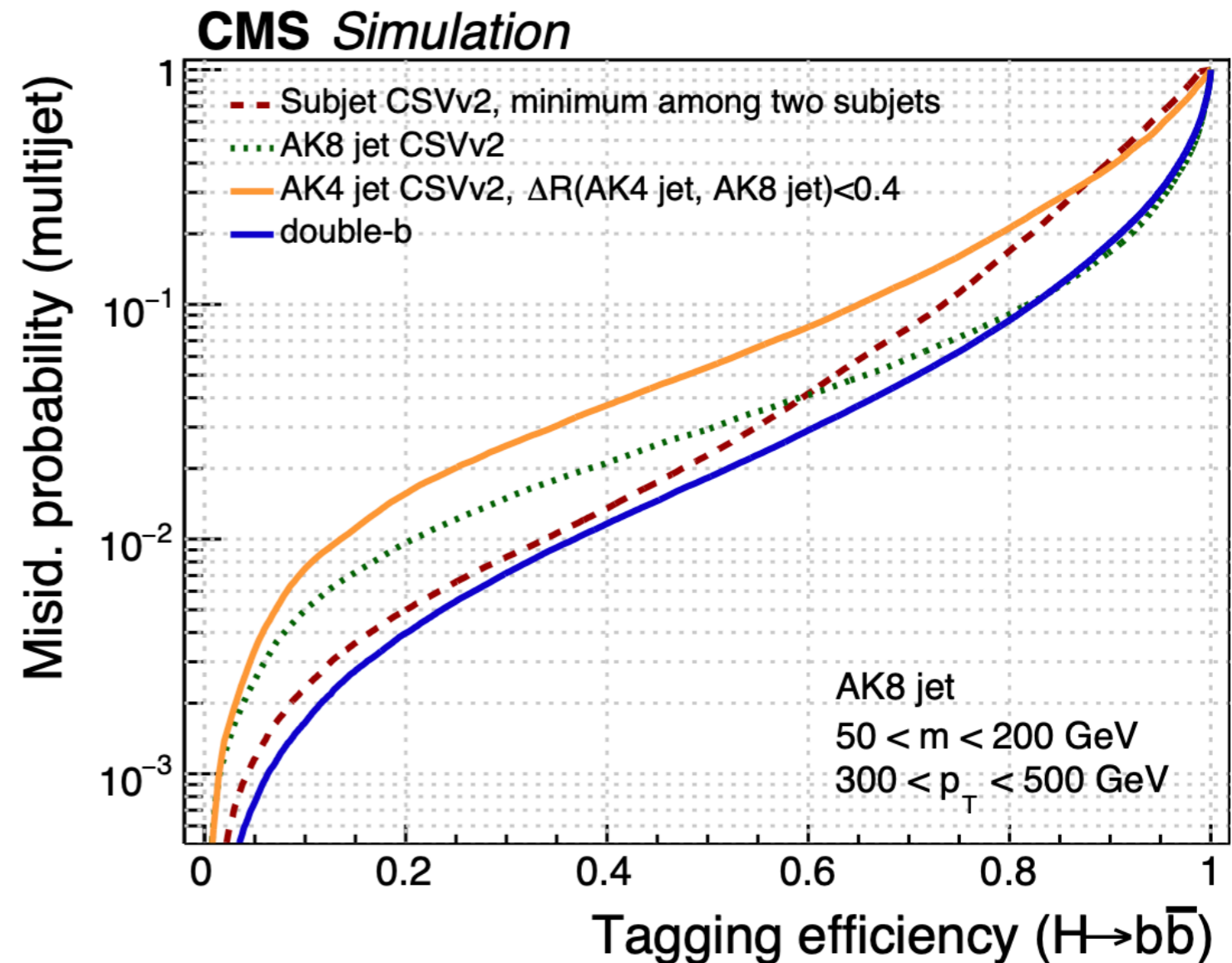
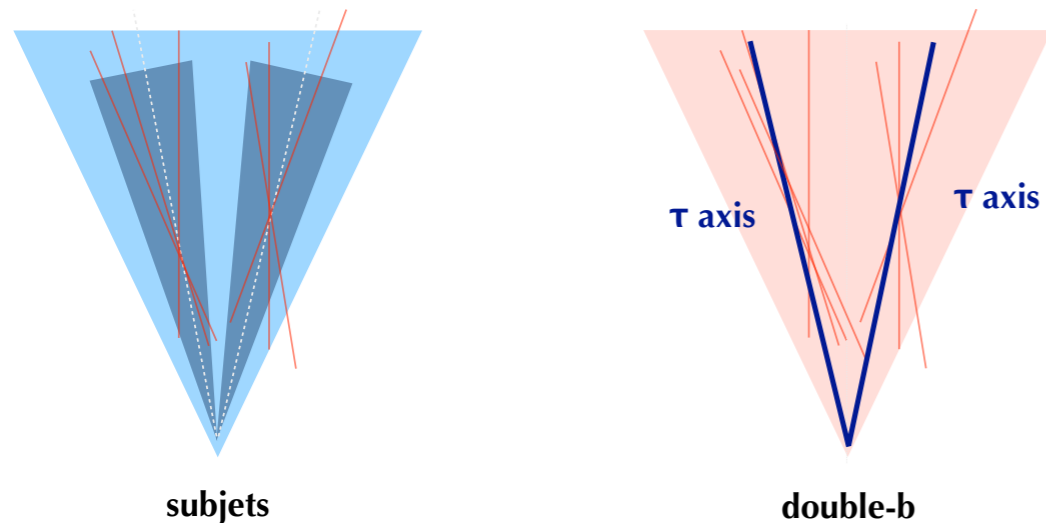
- As usual, lower-level features + inductive bias = gain
 - *DeepCSV*: MLP on ~ 70 expert variables ([JINST 13 \(2018\) P05011](#))
 - *DeepJet*: CNN+RNN+MLP on ~ 600 low-level observables ([JINST 15 \(2020\) P12012](#))
- ATLAS has NNs too (with less cool names)
 - *MV2* (BDT) for b-tag veto, *DL1* (MLP) for charm-tag ([ATL-PHYS-PUB-2017-013](#))



[JINST 17 \(2022\) P03014](#)

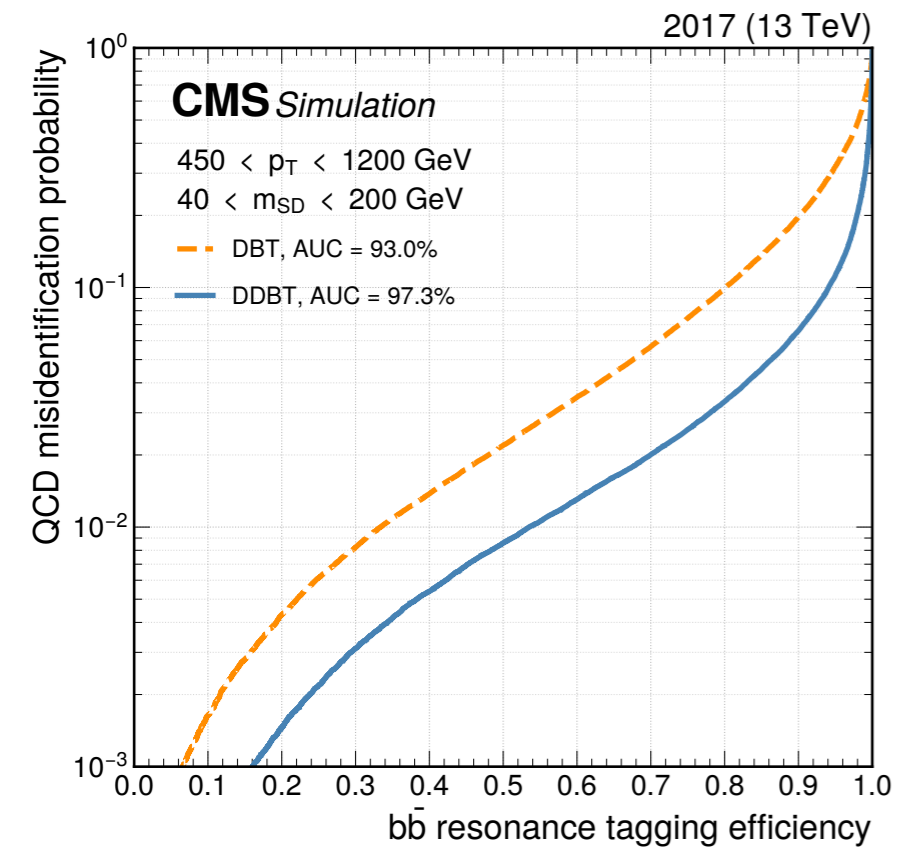
Double charm tagging rationale

- Whole large-R jet tagger wins over sub-jet tag [JINST 13 \(2018\) P05011](#)
- Downside: cannot calibrate using top tag & probe
 - Instead through gluon splitting proxy jet



Double charm tagging advances

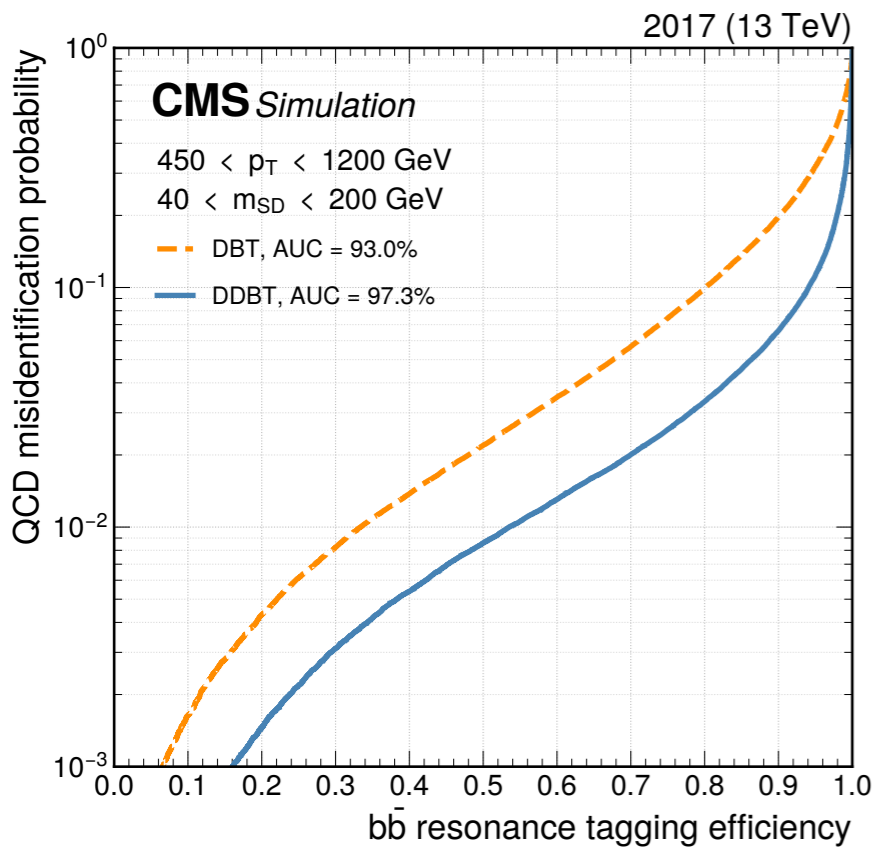
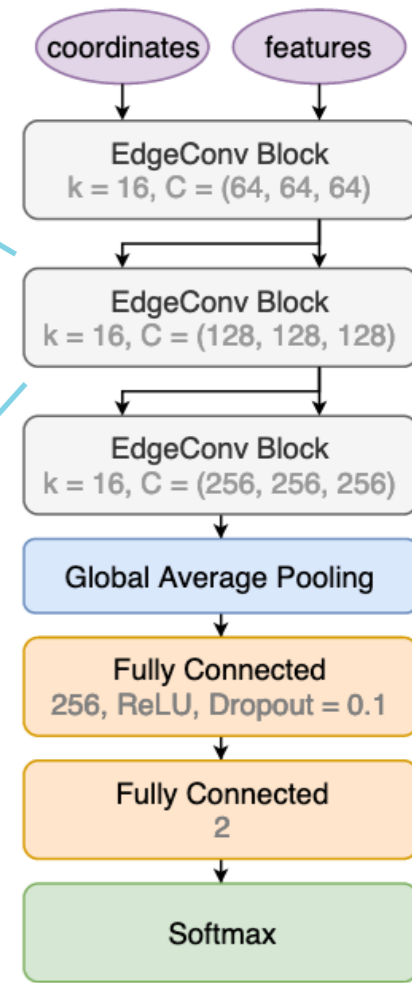
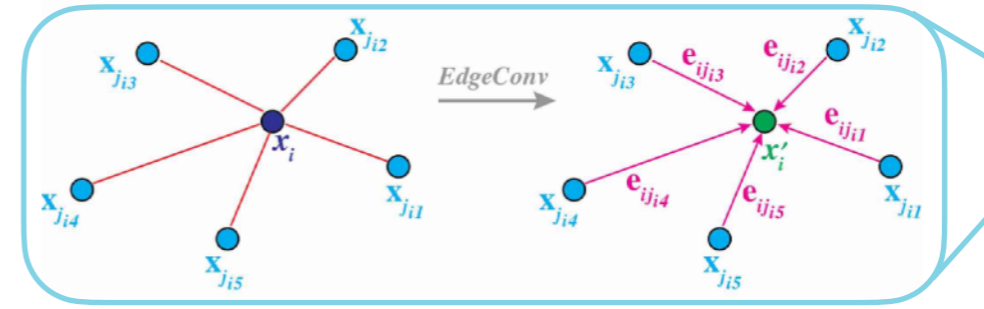
- Big gains with more complex DNN
 - SOTA graph networks



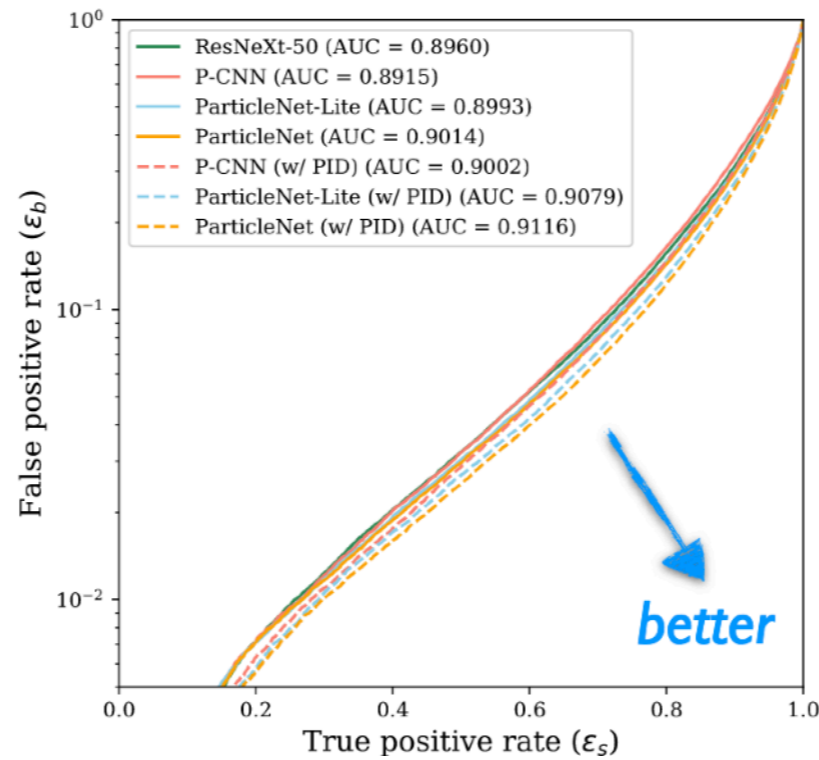
[JHEP 12 \(2020\) 085](#)

Double charm tagging advances

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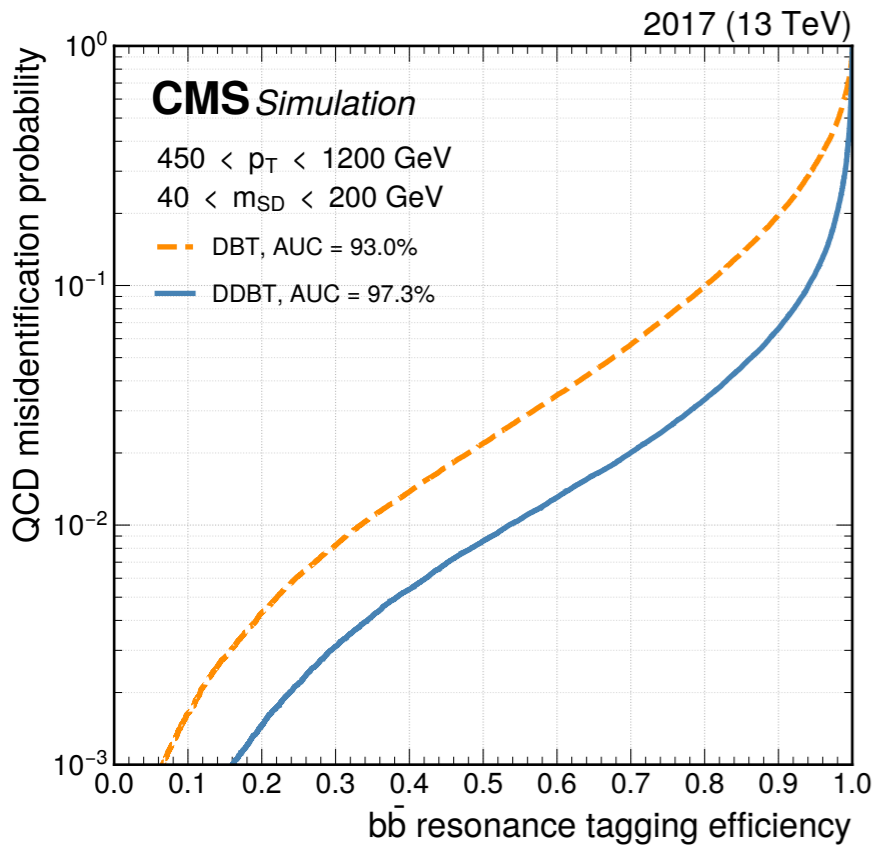
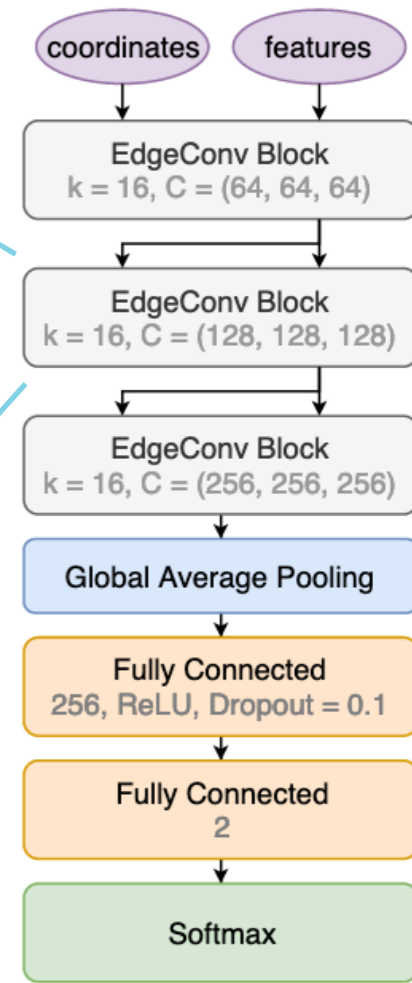
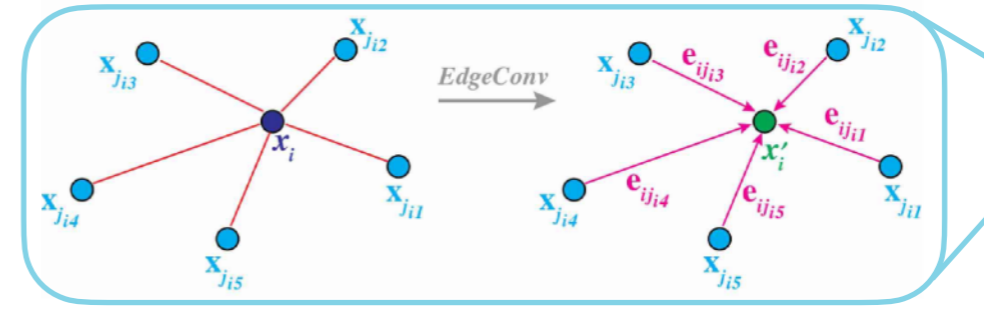
[JHEP 12 \(2020\) 085](#)



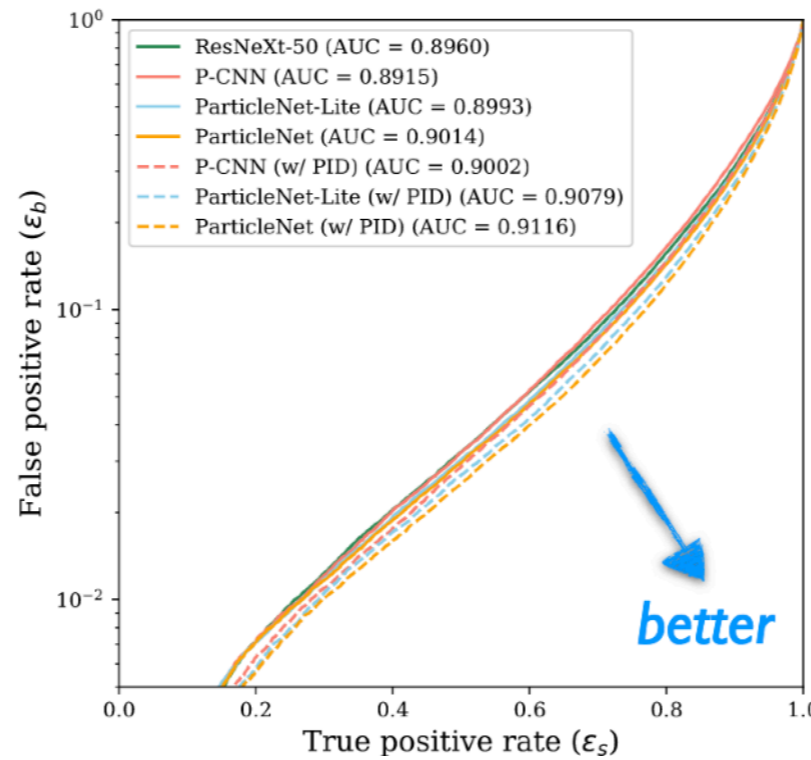
[PRD 101, 056019 \(2020\)](#)

Double charm tagging advances

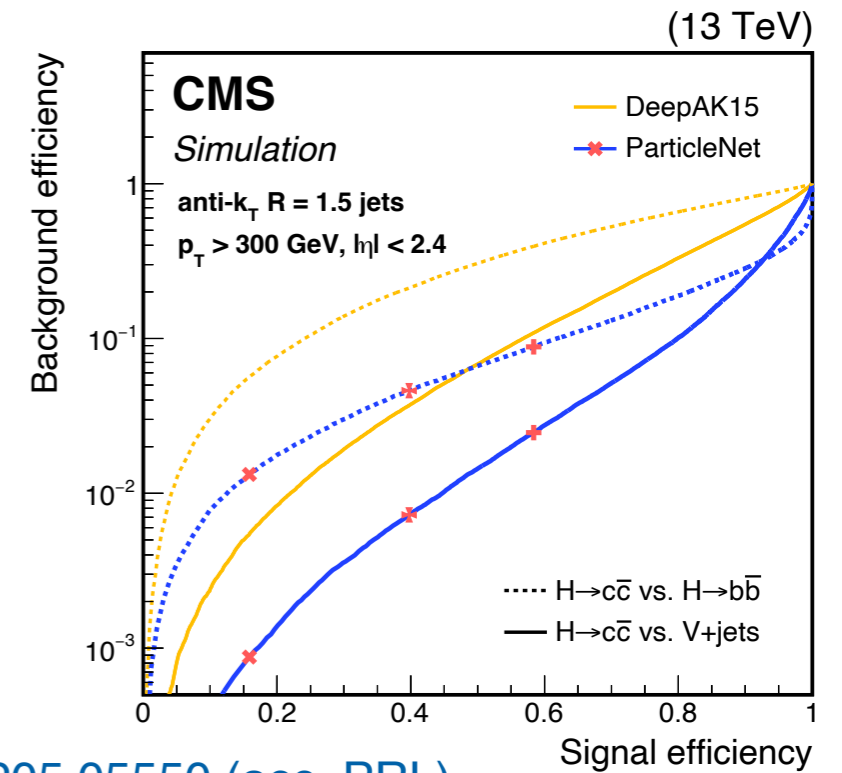
- Big gains with more complex DNN
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[JHEP 12 \(2020\) 085](#)



[PRD 101, 056019 \(2020\)](#)



[2205.05550 \(acc. PRL\)](#)

Double charm tagging advances

- Big gains with more complex DNN
 - SOTA graph networks transformers

[PMLR 162:18281-18292, 2022](#)

	All classes		$H \rightarrow b\bar{b}$	$H \rightarrow c\bar{c}$
	Accuracy	AUC	Rej _{50%}	Rej _{50%}
PFN	0.772	0.9714	2924	841
P-CNN	0.809	0.9789	4890	1276
ParticleNet	0.844	0.9849	7634	2475
ParT	0.861	0.9877	10638	4149
ParT (plain)	0.849	0.9859	9569	2911

	Model complexity		
	Accuracy	# params	FLOPs
PFN	0.772	86.1 k	4.62 M
P-CNN	0.809	354 k	15.5 M
ParticleNet	0.844	370 k	540 M
ParT	0.861	2.14 M	340 M
ParT (plain)	0.849	2.13 M	260 M

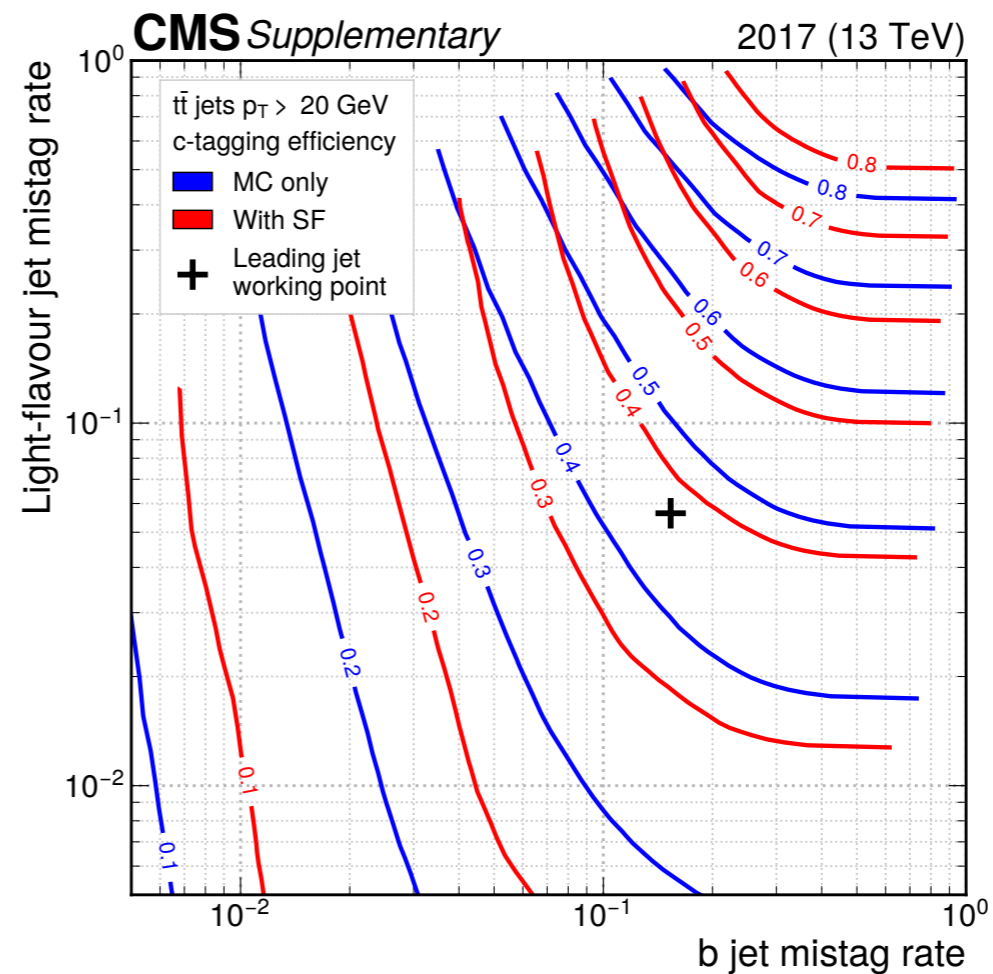
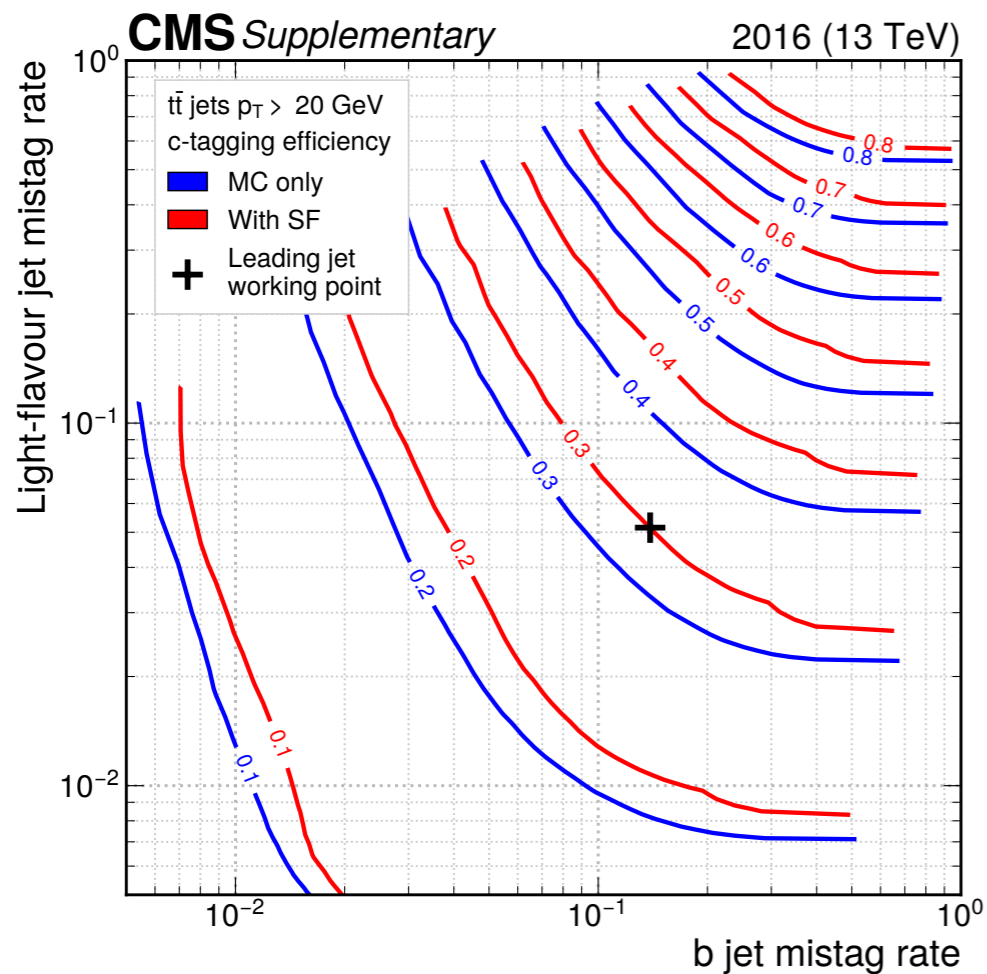
■ Computation cost still under control.

Single-charm jet calibration

- CMS: calibrate via template fit to $W + c, t\bar{t}, Z + jets$ topologies
 - ATLAS: top only
- Calibrated performance degrades, SF $0.9-1 \pm 2-5\%$
 - Aside: 2017 > 2016 due to CMS Phase-I pixel upgrade

Selection	Jet yield	c %	b %	udsg %
W+c	362 002	92.9	0.957	6.14
t \bar{t}	380 366	12.1	81.0	6.91
DY + jet	8 509 206	8.87	5.05	86.1

[JINST 17 \(2022\) P03014](#)

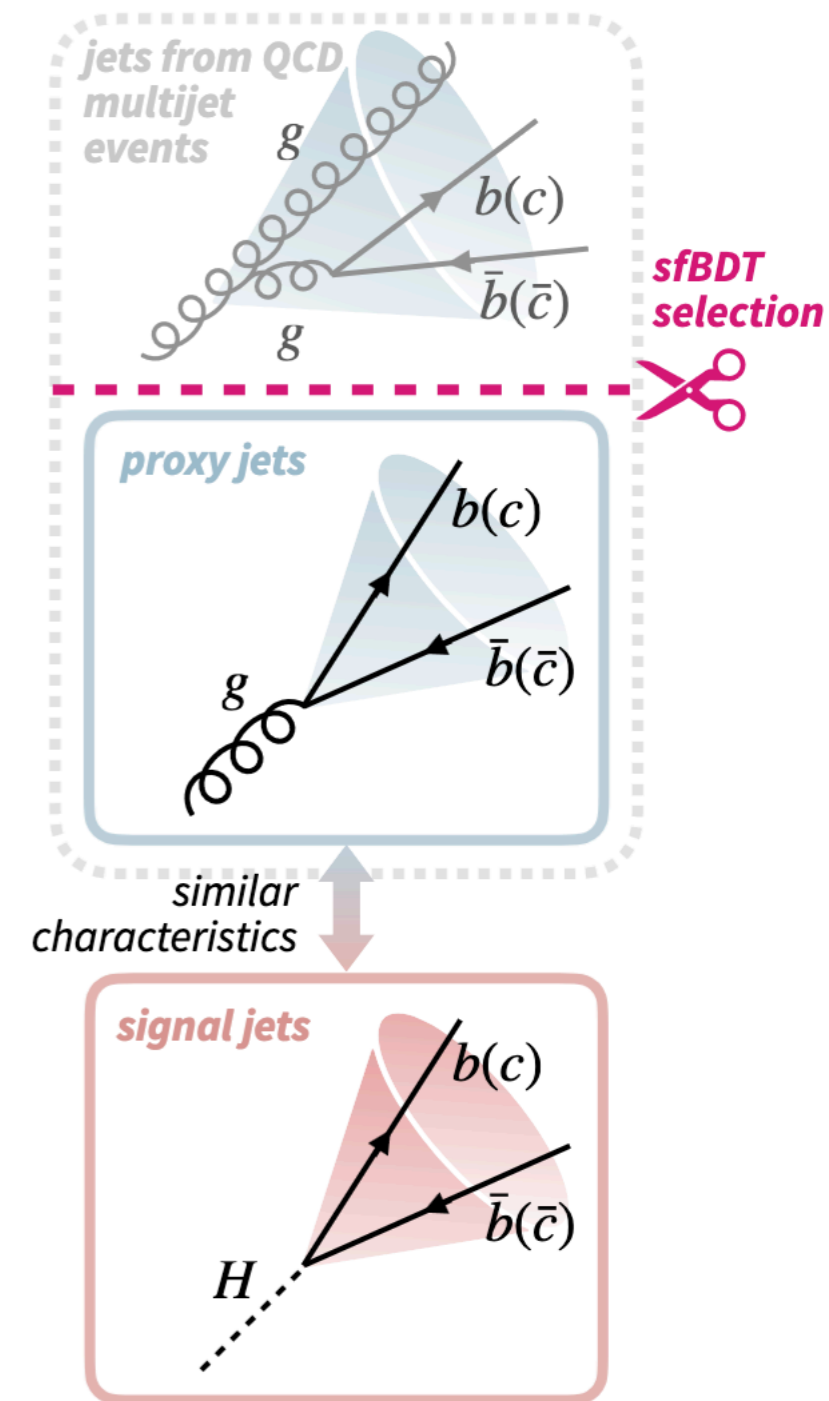
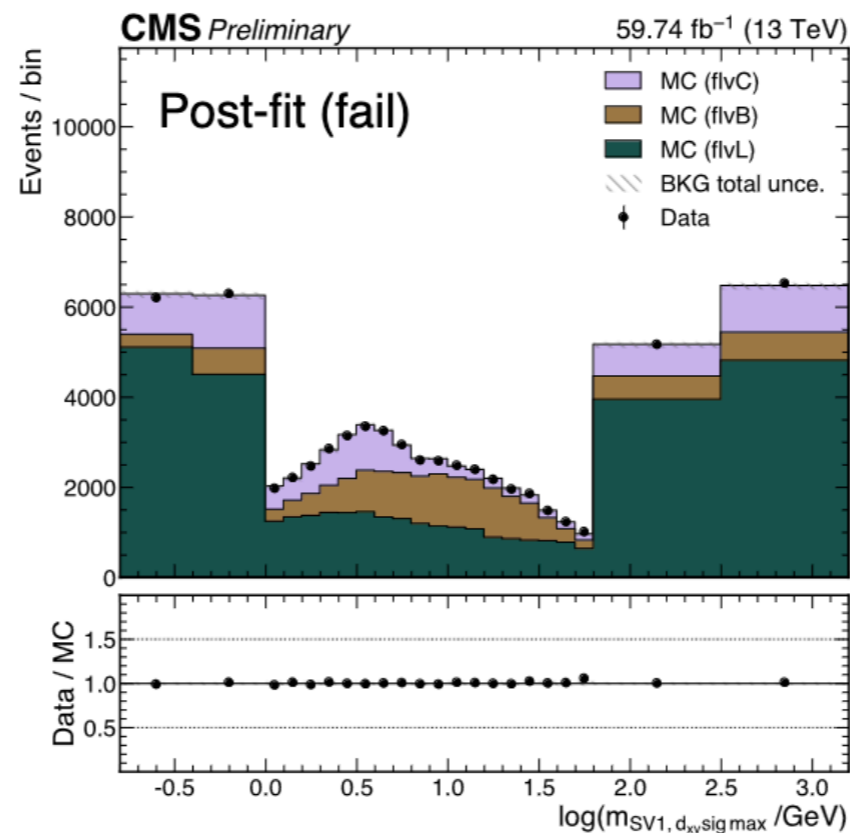
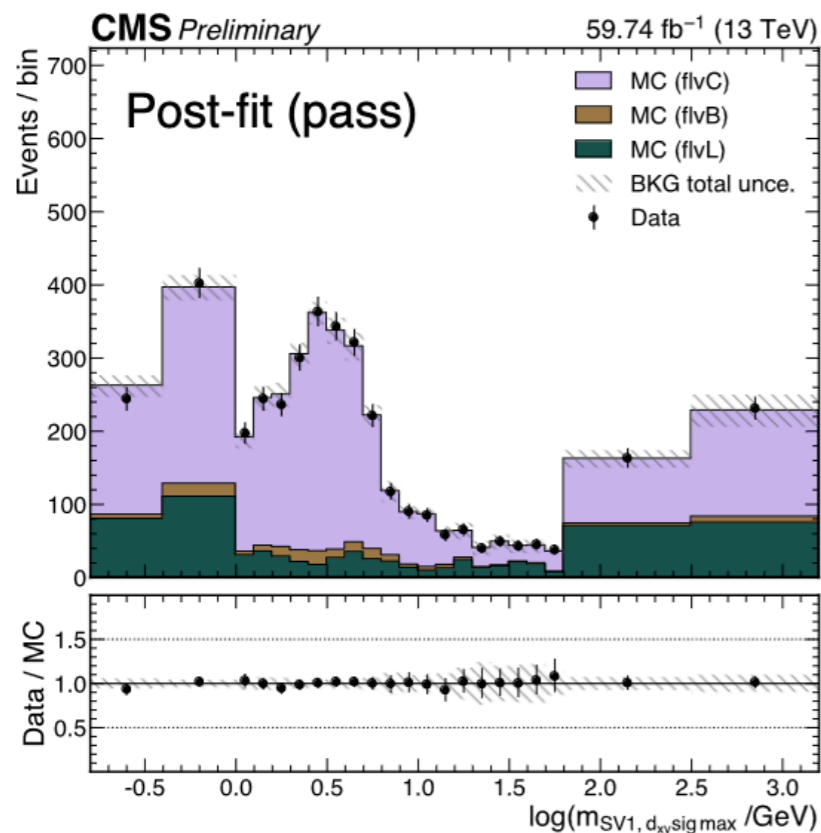


[2205.05550](#)
(acc. PRL)



Double-charm jet calibration

- Gluon-splitting proxy jet sample obtained via
 - Soft muon selection (low- p_T muon in each subjet)
 - sfBDT (trained on parton-level gluon energy fraction)
- Template fit to 3 components in leading SV mass
- Typical uncertainty $\sim 30\%$
 - Dominant systematic for VH(cc), ggH(cc)



CMS-DP-2022-005

Applying charm tagging in VH topology

- Analysis strategy very much like VH(bb)
 - Tag V boson in lepton multiplicity categories
 - Use DNN to tag c jets, improve mass resolution
 - Multi-region fit to constrain V+jets background
- For CMS, split analysis into resolved + boosted
 - Boosted: anti- k_T R=1.5 jet with $p_T > 300$ GeV exists

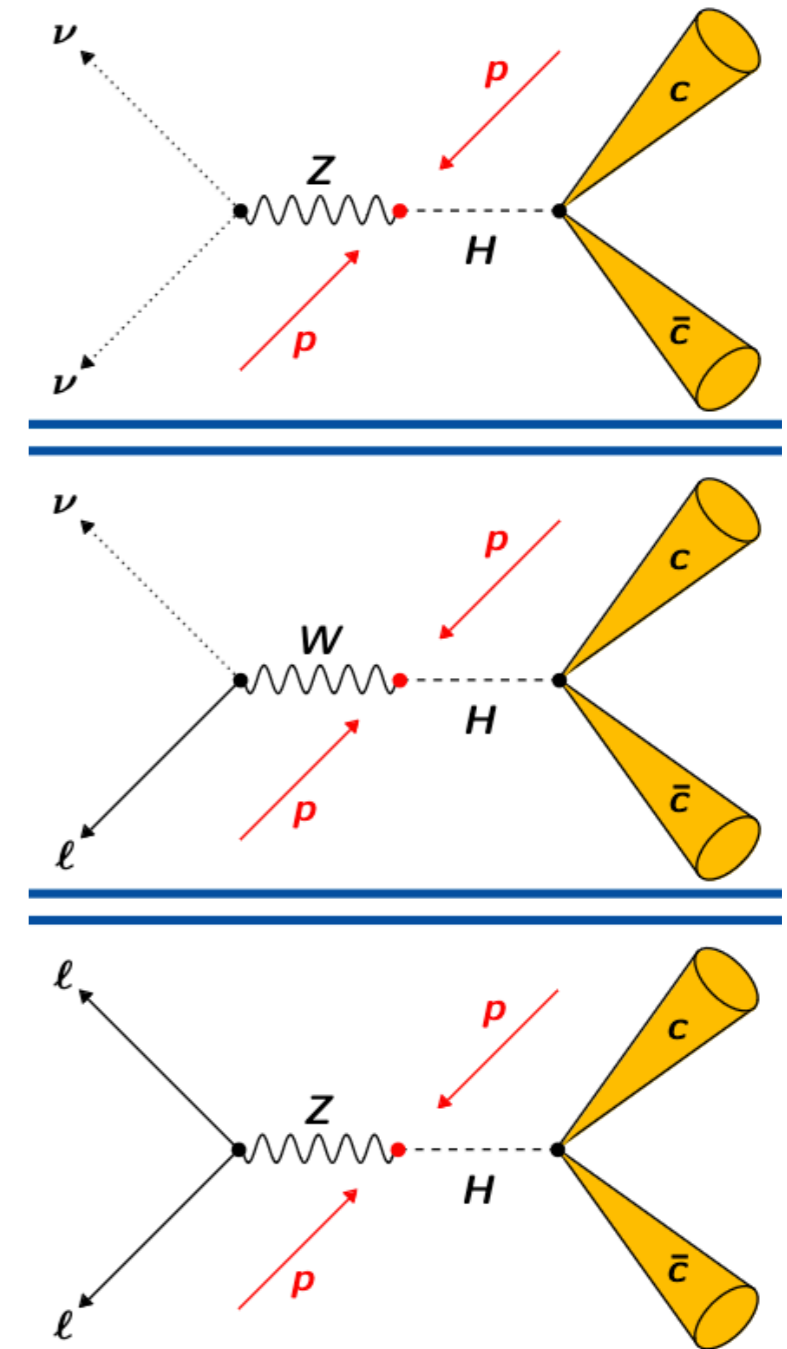
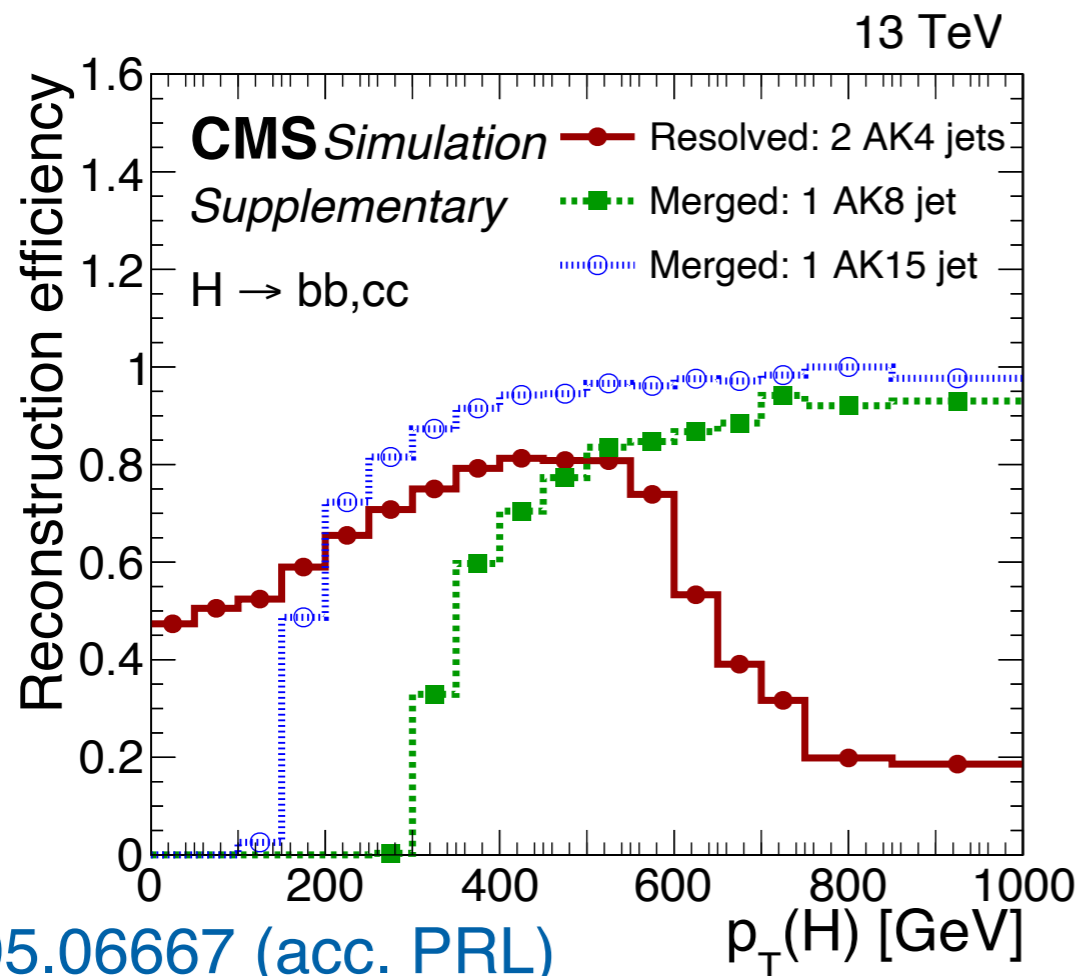
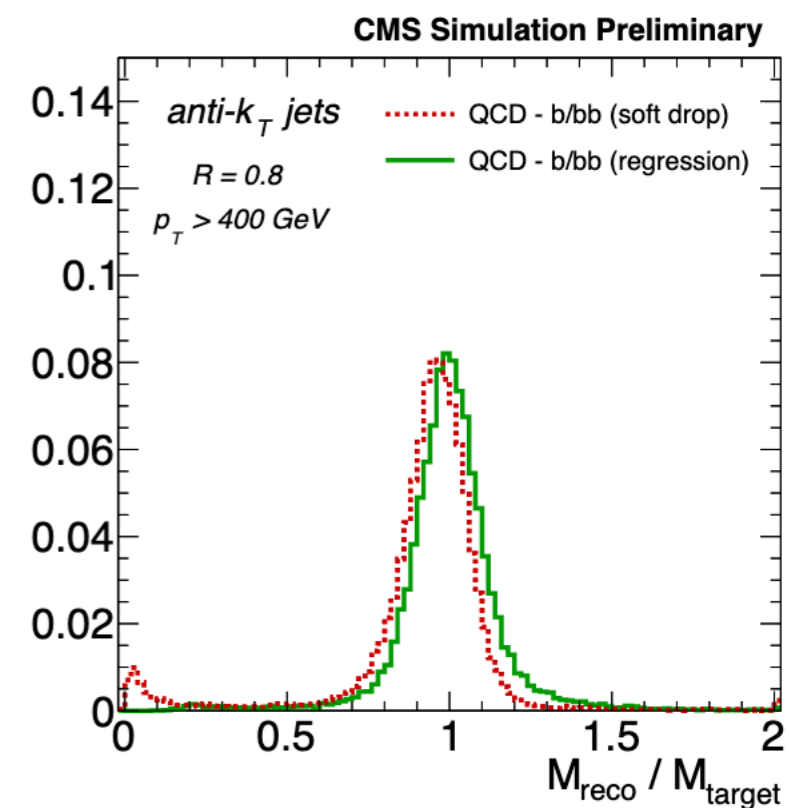
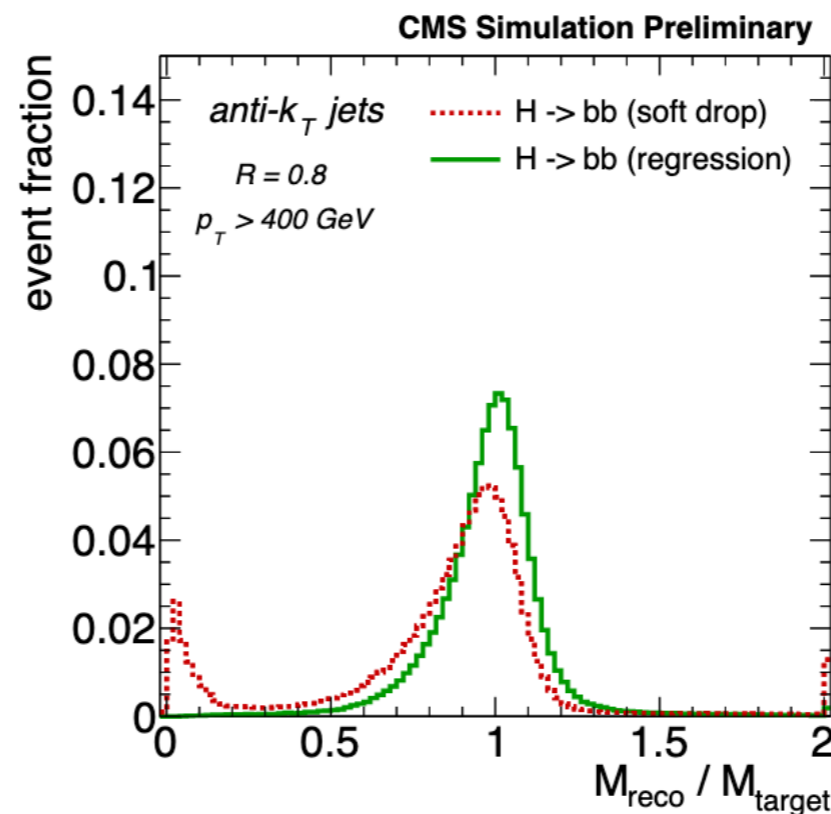
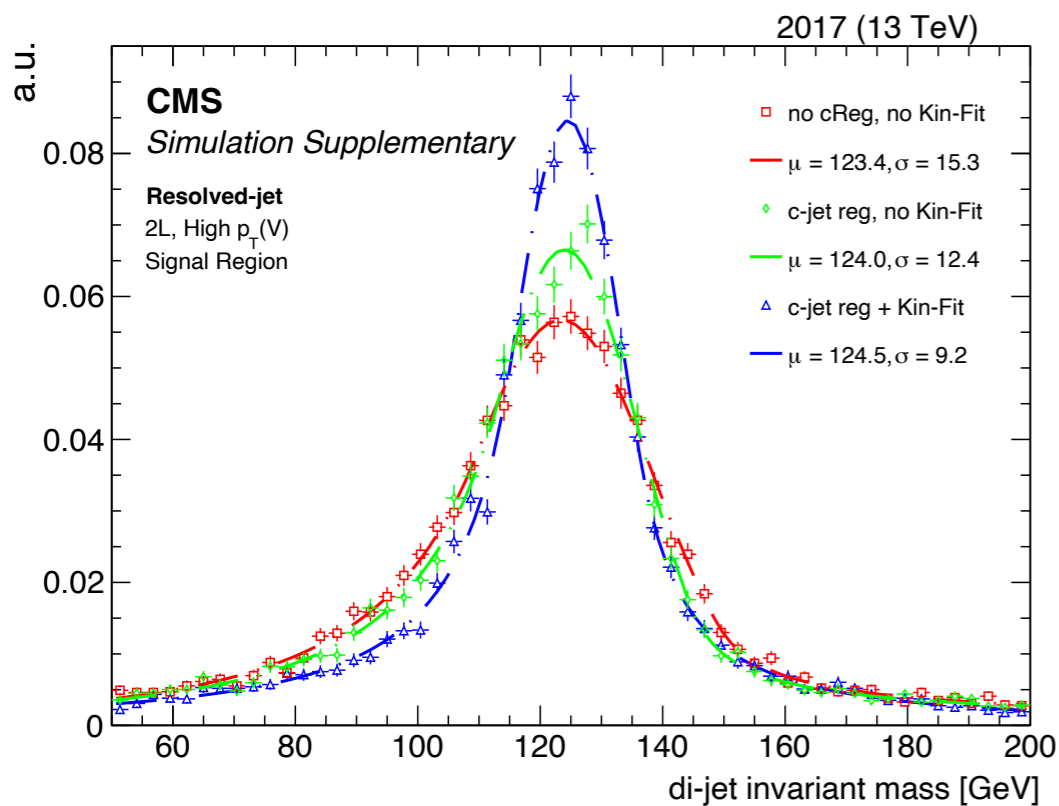


Diagram: A. Chisholm, [CERN Seminar](#)

Jet mass resolution

- For resolved jets, challenge is energy resolution & FSR recovery
 - ATLAS: muon recovery (topoclusters)
 - For 2ℓ channel, can use kinematic fit as well
- For boosted jets, challenge is ISR, underlying event, and pileup
 - Historically handled via soft-drop grooming
 - Soft-drop can send mass to zero; instead train ParticleNet regression on PF candidates



[2205.05550 \(acc. PRL\)](#)

$$M_{\text{target}} = \begin{cases} M_{\text{SD}}^{\text{gen}} & \text{if jet is QCD} \\ m_{\text{H}} \in [15, 250] & \text{otherwise} \end{cases}$$

[CMS-DP-2021-017](#)

Dealing with V+jets

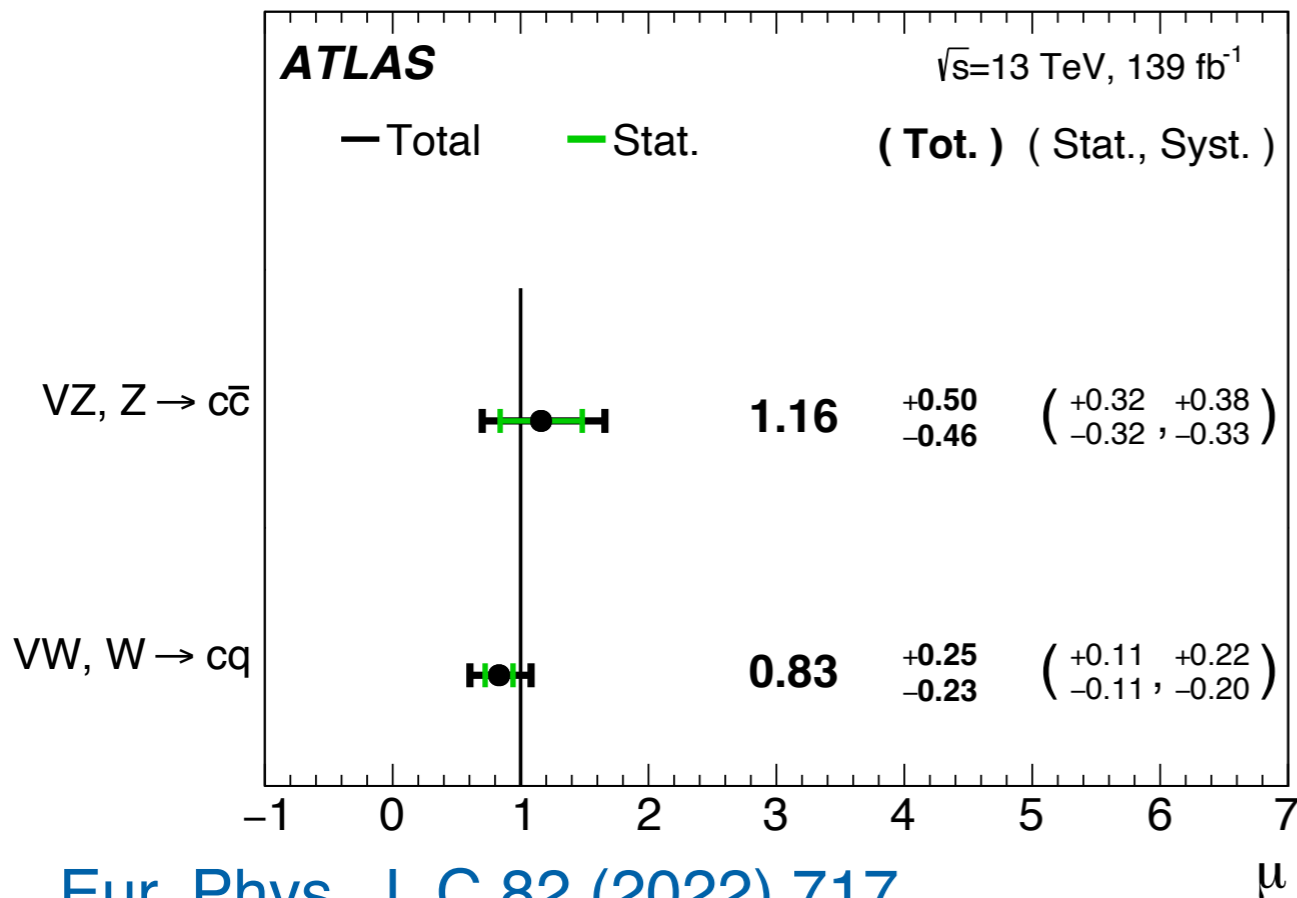
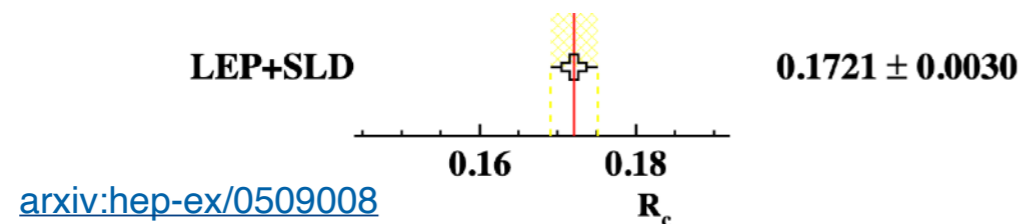
- For all analyses, control regions (CRs) are formed via kinematic sidebands
 - Resolved: sideband in $\Delta R(j, j)$ or m_{jj}
 - Boosted: kinematic BDT (only jet + leptons)
- CRs further split by flavor tagger inversions
 - Independent normalization+shape uncertainties for light, c , and b flavor, varied definitions ATLAS/CMS
- Common theme: free parameters in fit necessary, even when using NLO QCD V+jets samples
 - ATLAS: 2-point Sherpa/FxFx modeling uncertainty
 - MC statistics also challenging

Excerpt from ATLAS VH(cc) uncertainty model

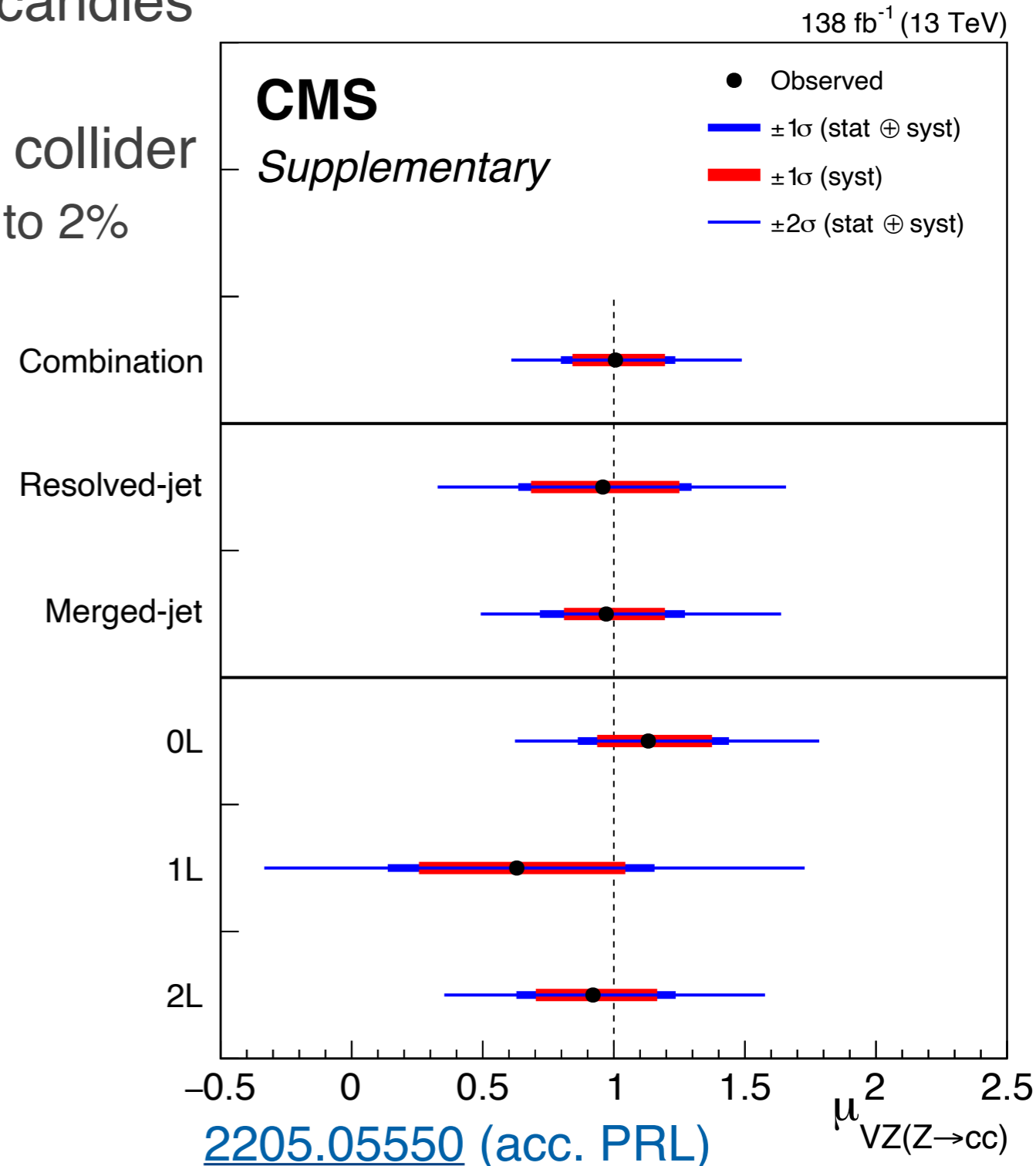
Z + jets	
Z + hf normalisation	Floating
Z + mf normalisation	Floating
Z + lf normalisation	Floating
Z + bb to Z + cc ratio	20%
Z + bl to Z + cl ratio	18%
Z + bc to Z + cl ratio	6%
p_T^V acceptance	1%–8%
N_{jet} acceptance	10%–37%
High- ΔR CR to SR	12%–37%
0- to 2-lepton ratio	4%–5%
W + jets	
W + hf normalisation	Floating
W + mf normalisation	Floating
W + lf normalisation	Floating
W + bb to W + cc ratio	4%–10%
W + bl to W + cl ratio	31%–32%
W + bc to W + cl ratio	31%–33%
W $\rightarrow \tau\nu(+c)$ to W + cl ratio	11%
W $\rightarrow \tau\nu(+b)$ to W + cl ratio	27%
W $\rightarrow \tau\nu(+l)$ to W + l ratio	8%
N_{jet} acceptance	8%–14%
High- ΔR CR to SR	15%–29%
W $\rightarrow \tau\nu$ SR to high- ΔR CR ratio	5%–18%
0- to 1-lepton ratio	1%–6%

Standard candles

- VW , VZ production serve as standard candles
 - In all analyses, compatible with SM
- CMS: first $Z(cc)$ observation at hadron collider
 - LEP: R_c ratio of cc to hadronic Z decay BR to 2%

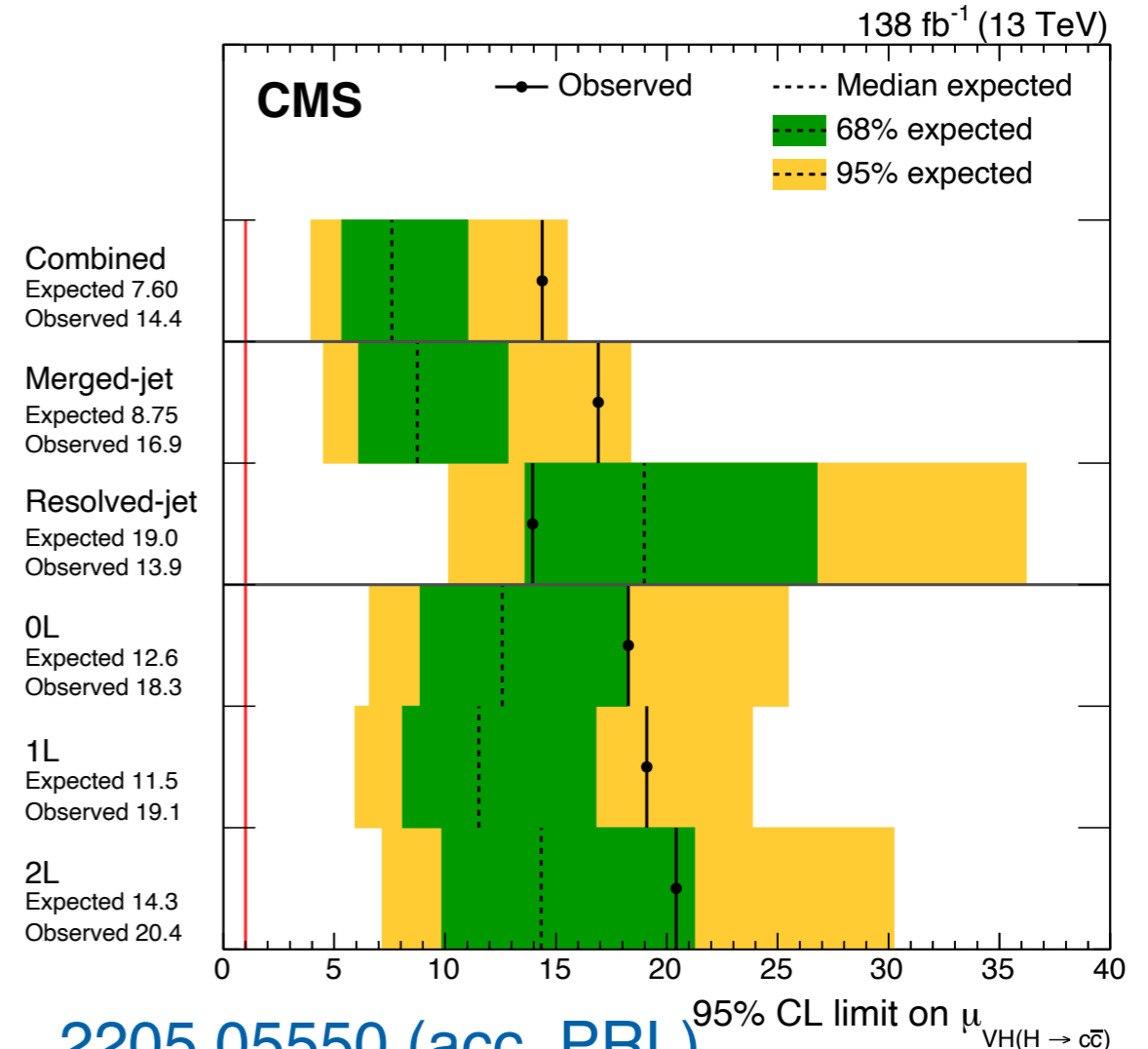
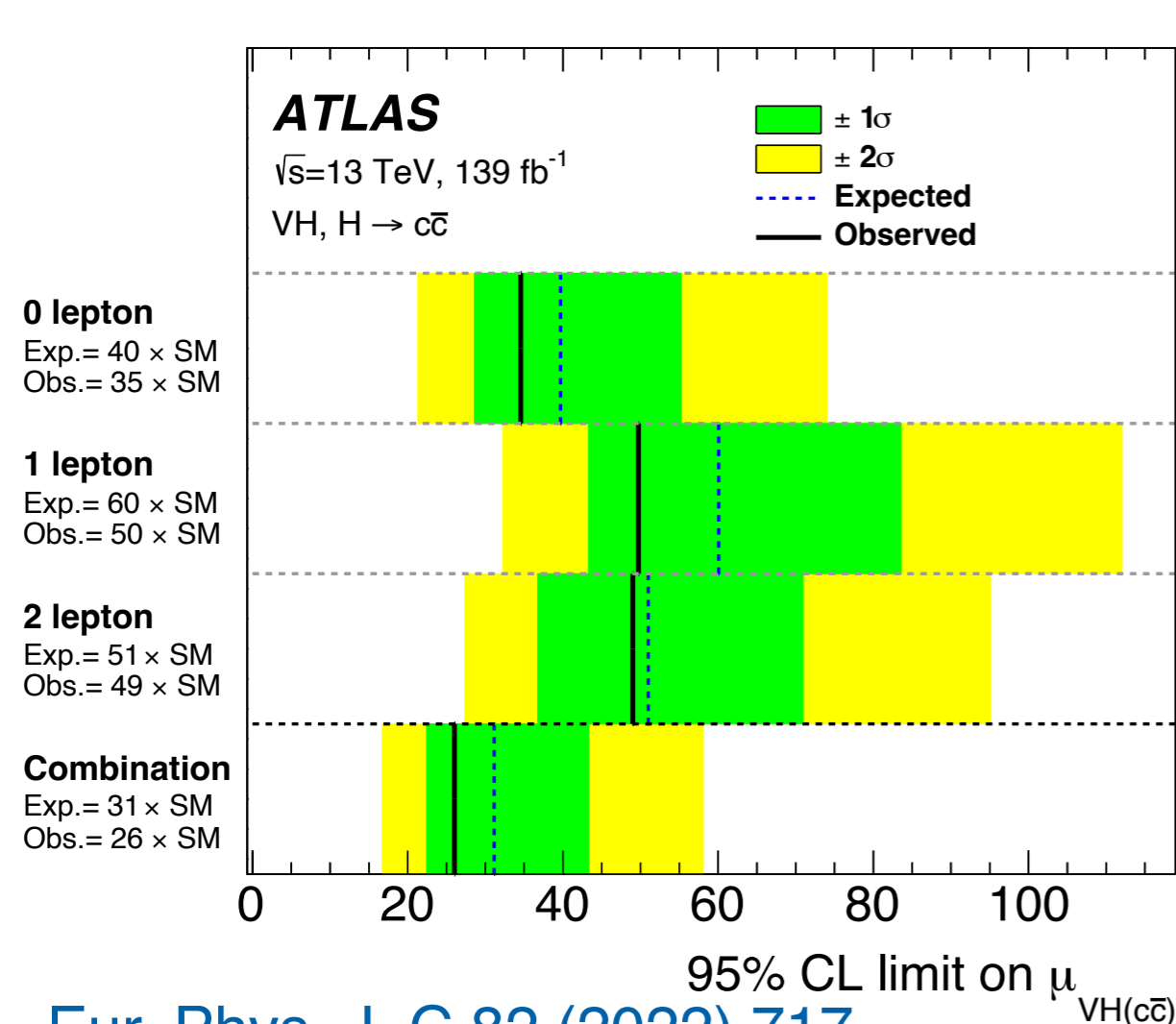


[Eur. Phys. J. C 82 \(2022\) 717](#)



VH(cc) results

- CMS/ATLAS comparison in resolved result is difficult
 - Competition with merged jet for phase space
- Uncertainty budget: statistical \sim systematic
 - Leading systematic: Z+jets modeling (ATLAS); MC stat (CMS resolved); c-tag efficiency (CMS boosted)



[Eur. Phys. J. C 82 \(2022\) 717](#)

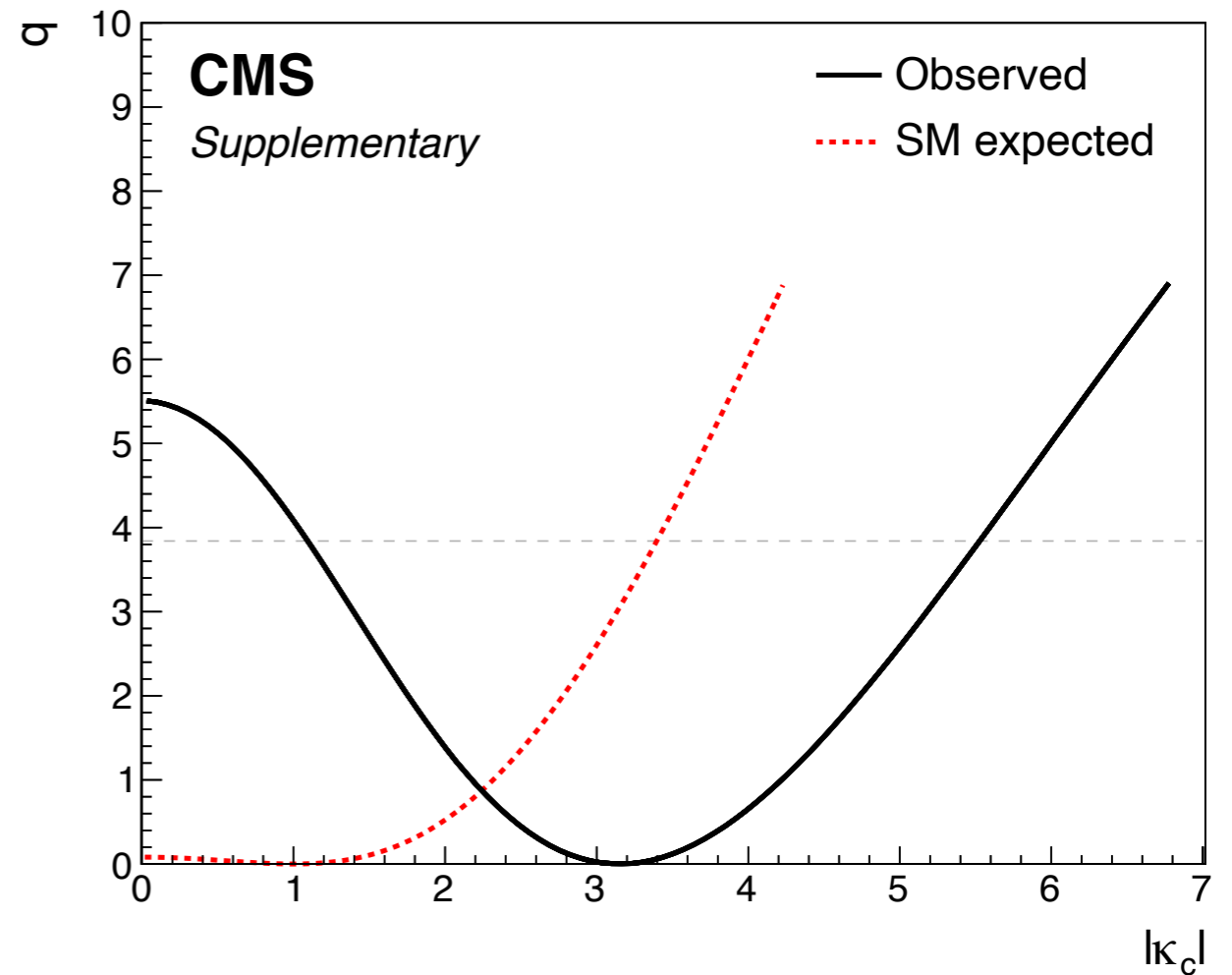
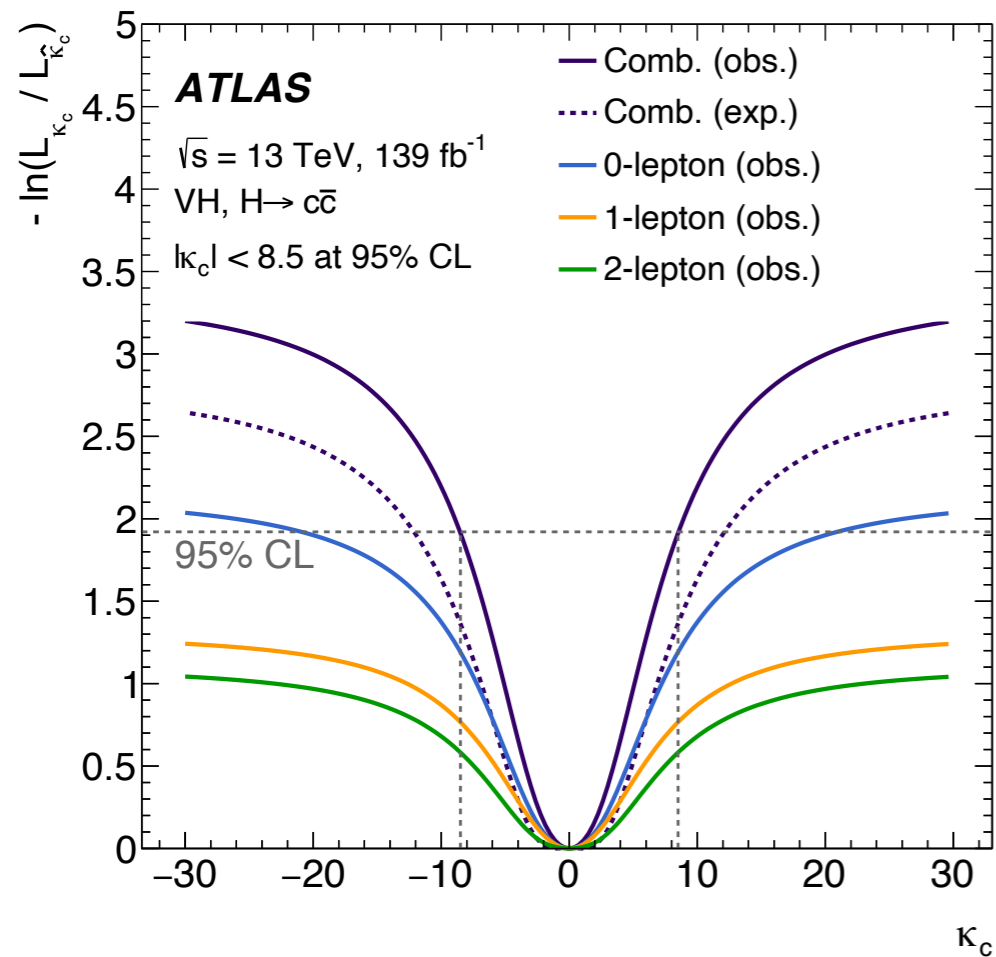
[2205.05550 \(acc. PRL\)](#)



VH(cc) results

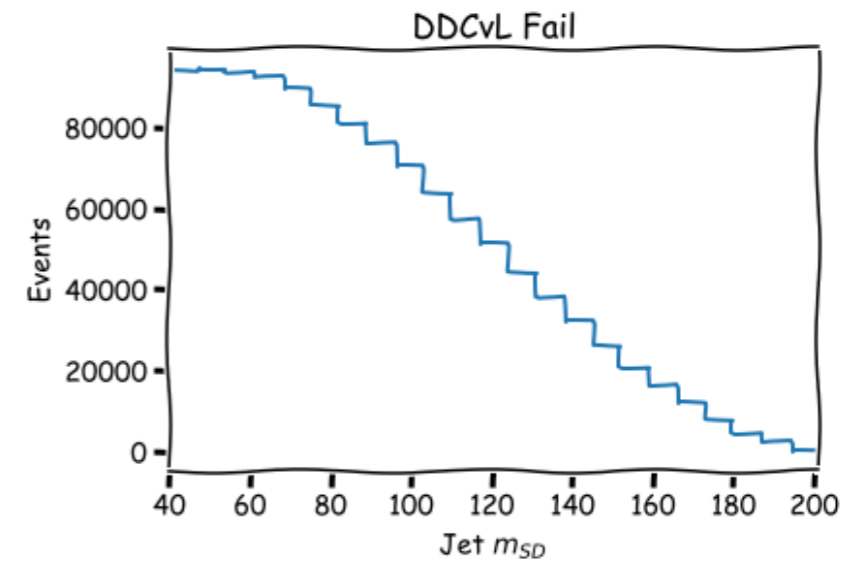
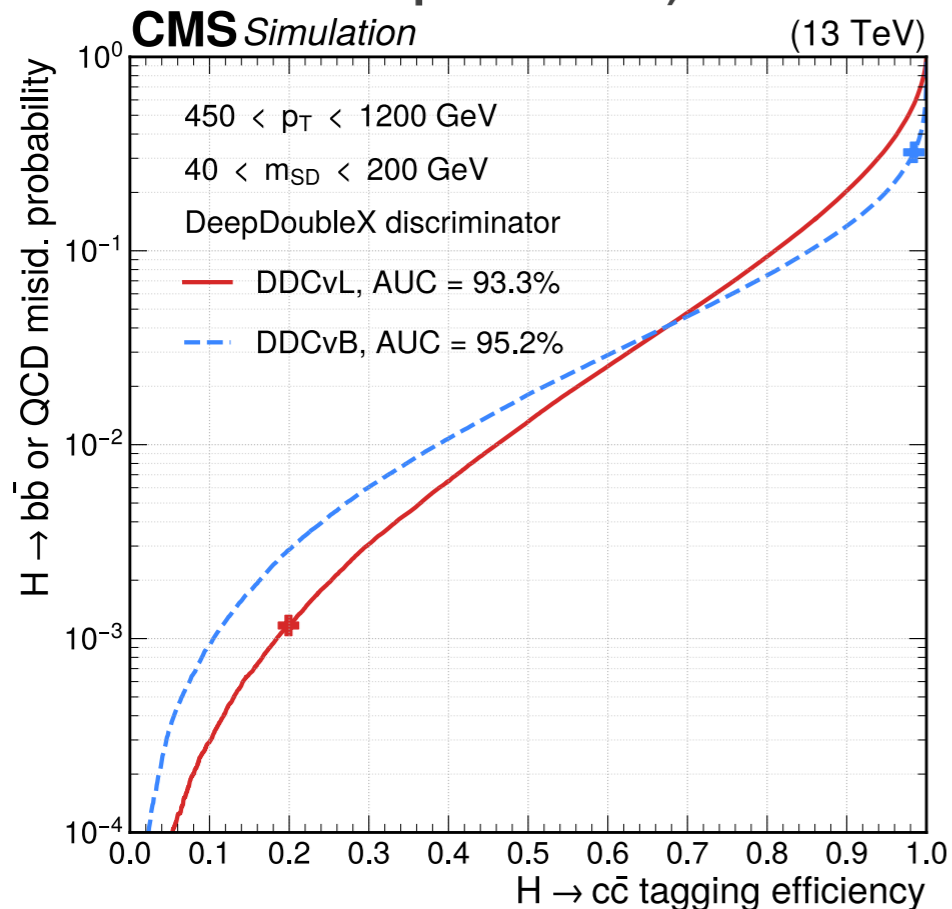
- Translation to κ_c constraint assuming other $\kappa = 1$

$$\mu_{VH(cc)} = \frac{\kappa_c^2}{1 + B_{cc}^{SM}(\kappa_c^2 - 1)}$$

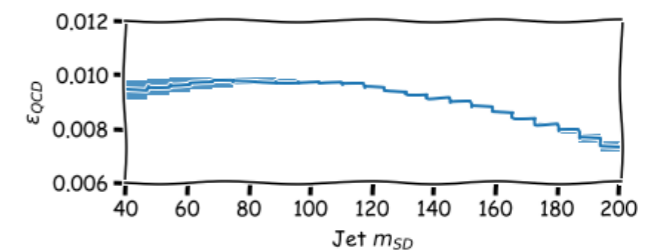


Boosted ggH(cc)

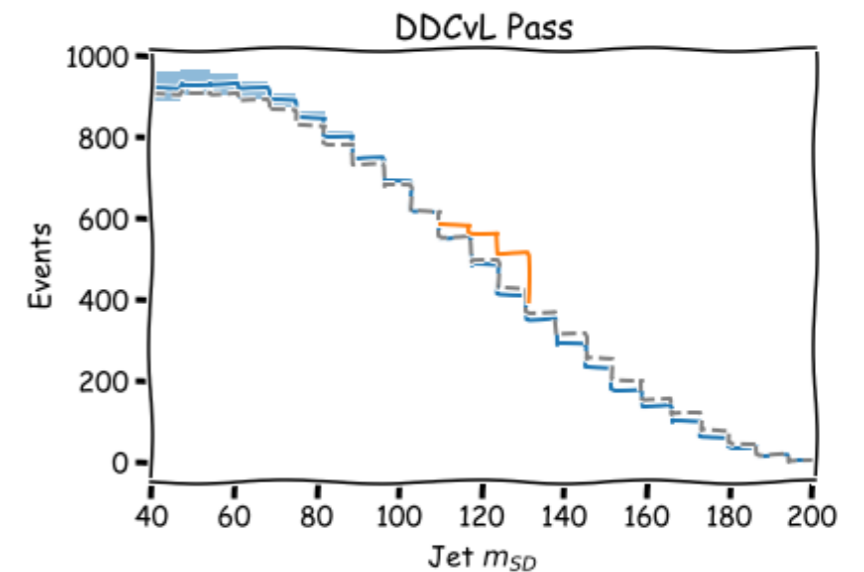
- CMS has probed ggH(cc) with full Run II data
- Event selection:
 - One AK8 *DeepDoubleX* cc-tagged jet
 - No leptons, b-tag veto
- Large QCD background suppressed by 10^{-3} , accurately modeled via CR + sideband constraint (“differential alphabet”)



×



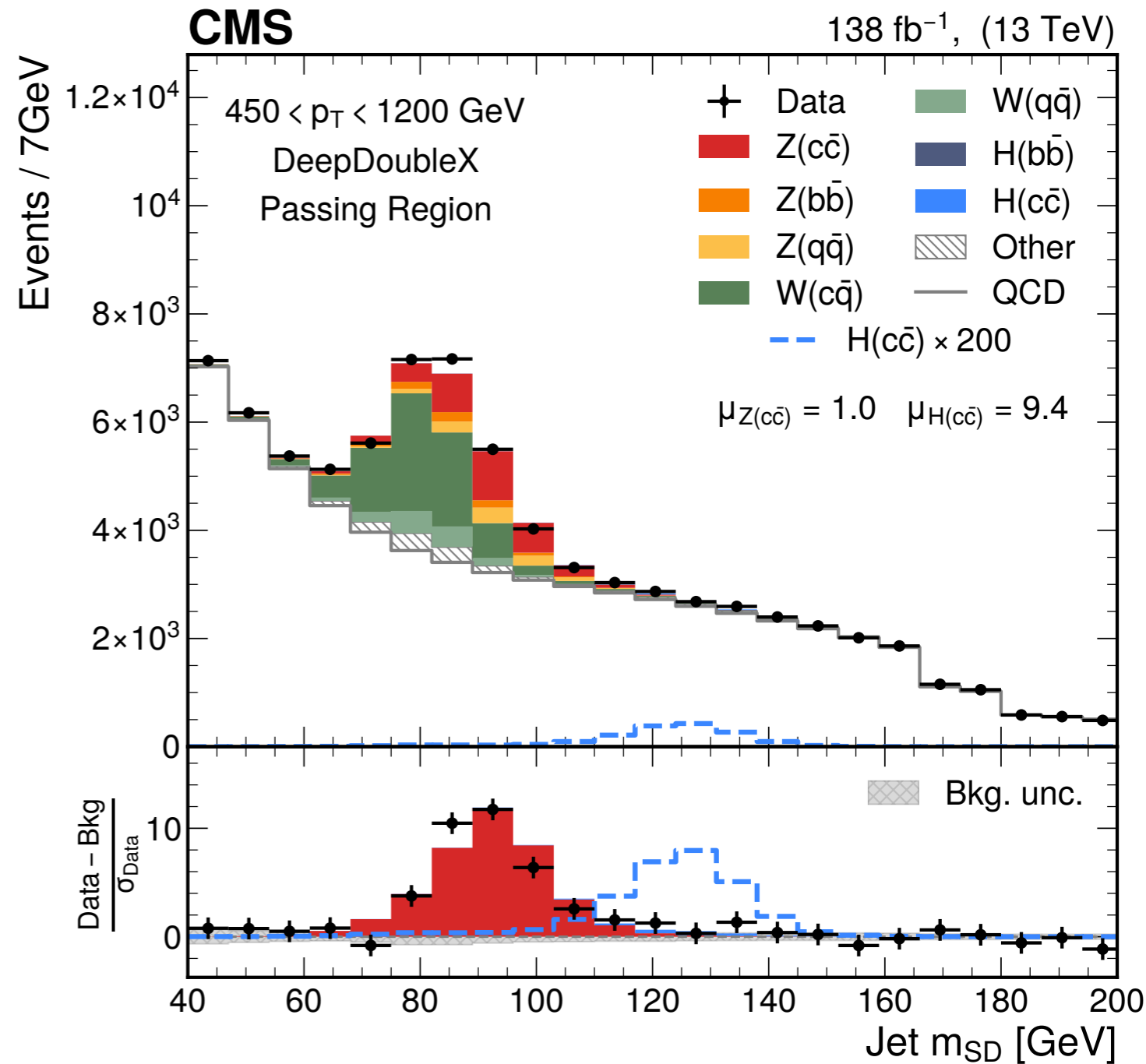
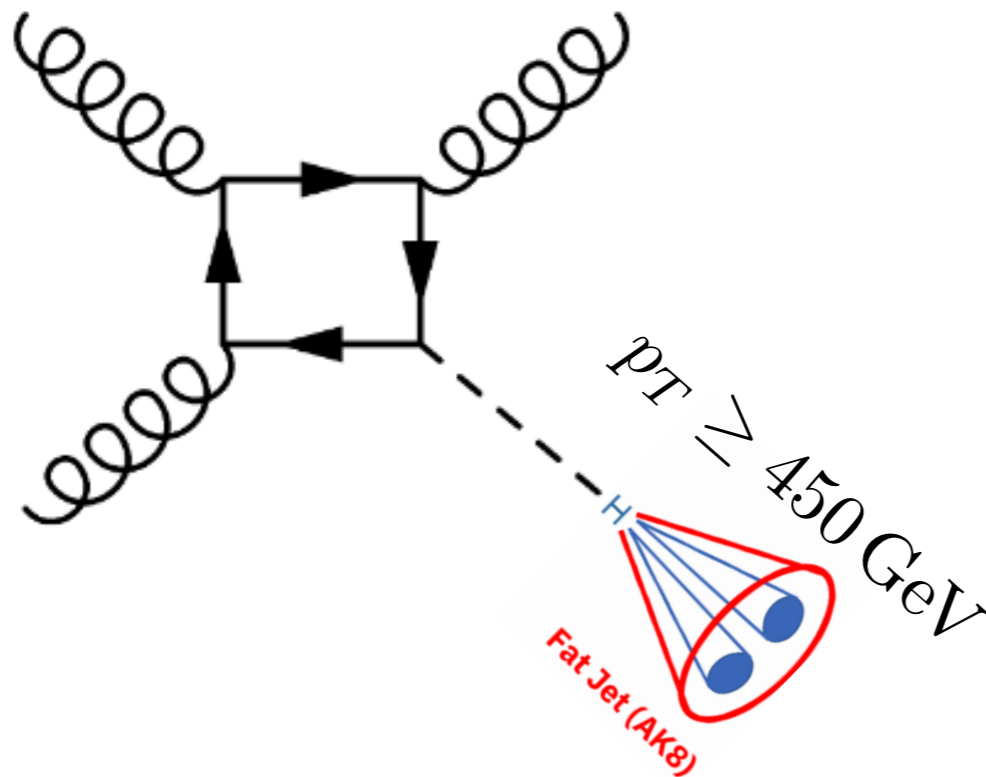
=



[2211.14181 \(acc. PRL\)](#)

Boosted ggH(cc)

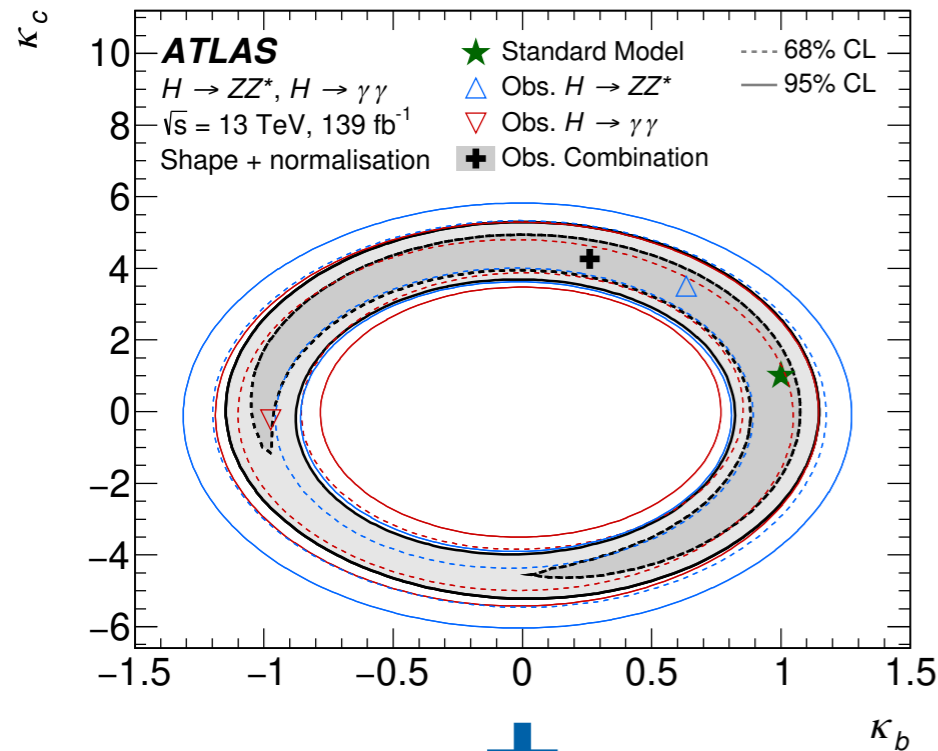
- Double charm tagger calibration validated using Z(cc)
- Observation of Z(cc)+jets!
- ggH(cc) upper limit: 47 (39) x SM
- Leading uncertainty is statistical



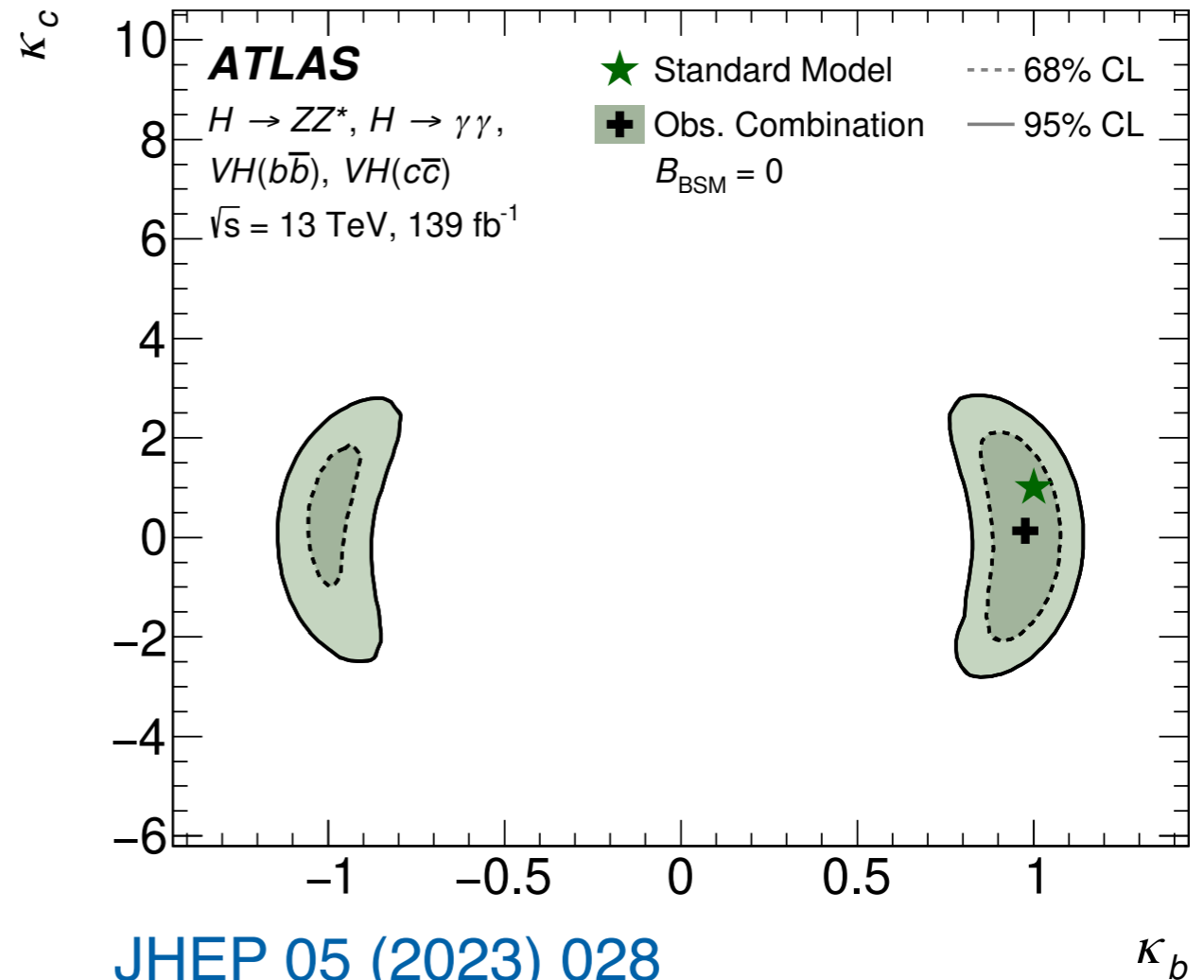
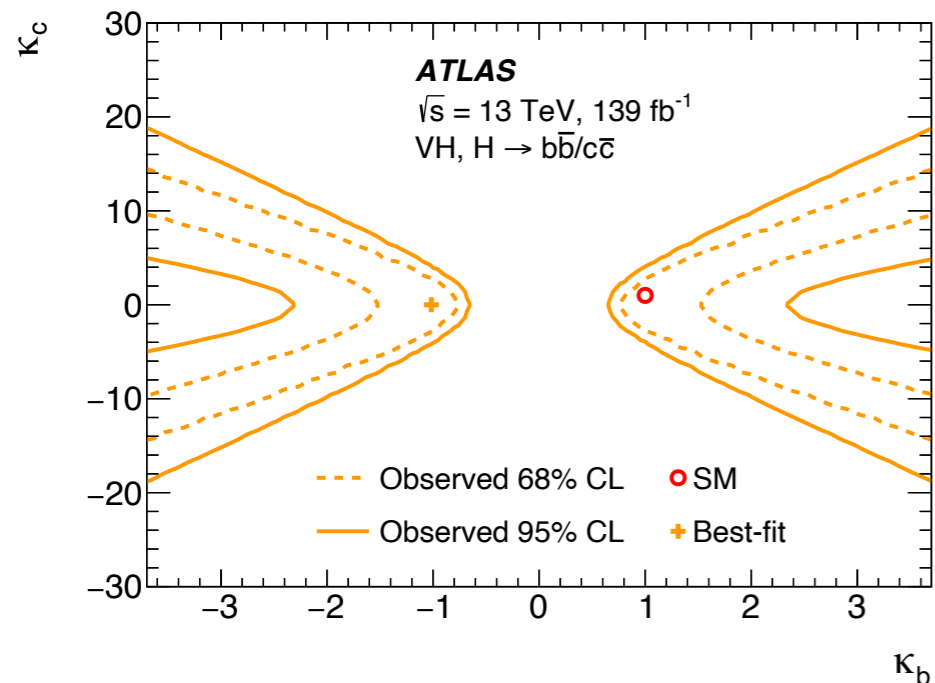
[2211.14181](#) (acc. PRL)

Combinations

- ATLAS VH(bb)+VH(cc) combination provides complementary constraints to Higgs p_T differential measurement: combine them!
- Removing VH(cc) degrades κ_c constraint 10% for $B_{BSM} = 0$ (2x for floating BSM)



+ =



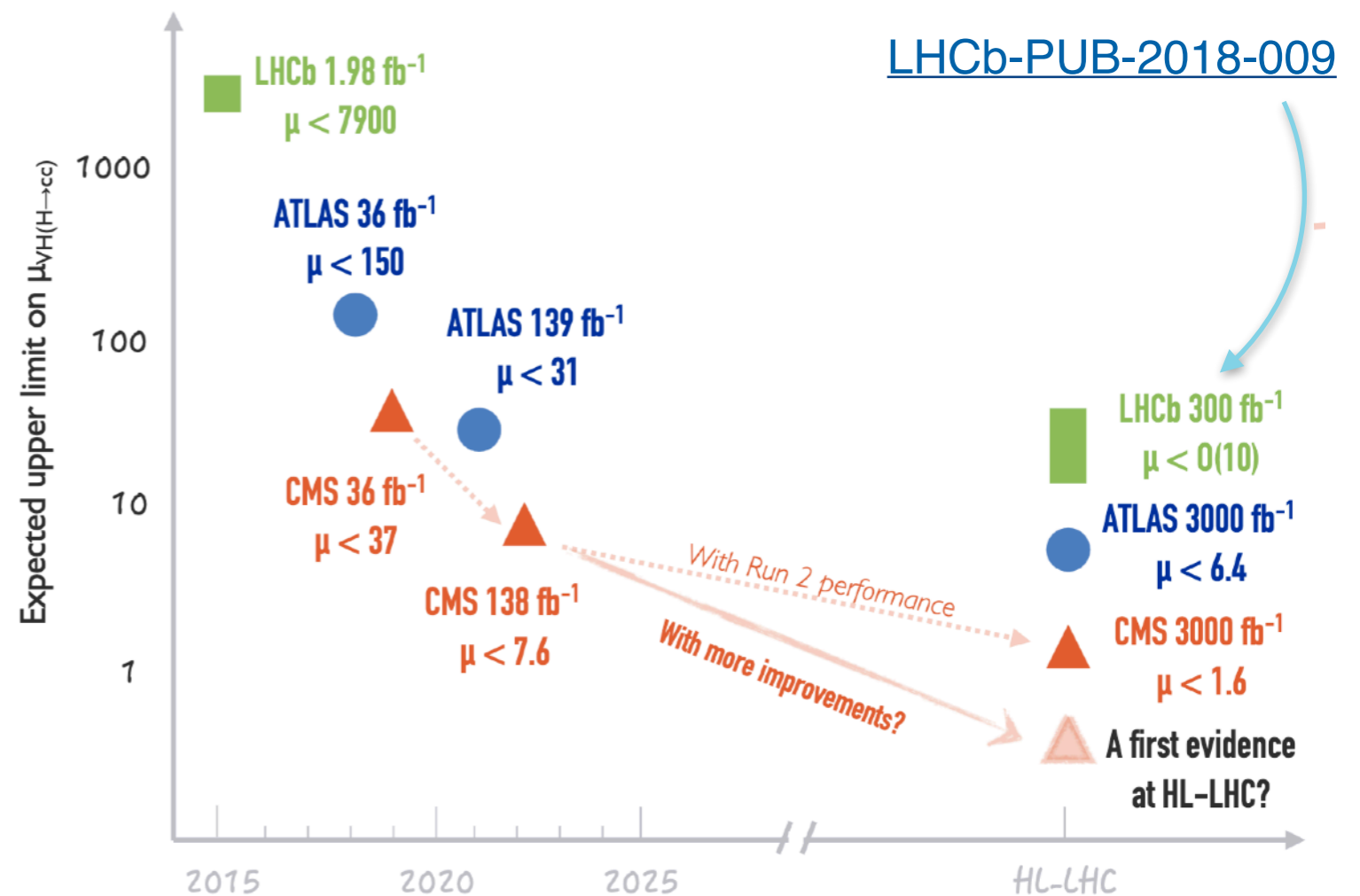
[JHEP 05 \(2023\) 028](#)

Summary & Outlook

- A large amount of progress towards κ_c in the last few years
- Indirect searches become more precise w/\sqrt{L}
- Direct searches see large gains with novel experimental techniques
 - cc-tagging as good now as bb-tagging was 3 years ago!
- Statistical uncertainty still significant in all searches—we will gain much from HL-LHC



Thanks for your attention!



From H. Qu & L. Mastrolorenzo, [CERN seminar](#)

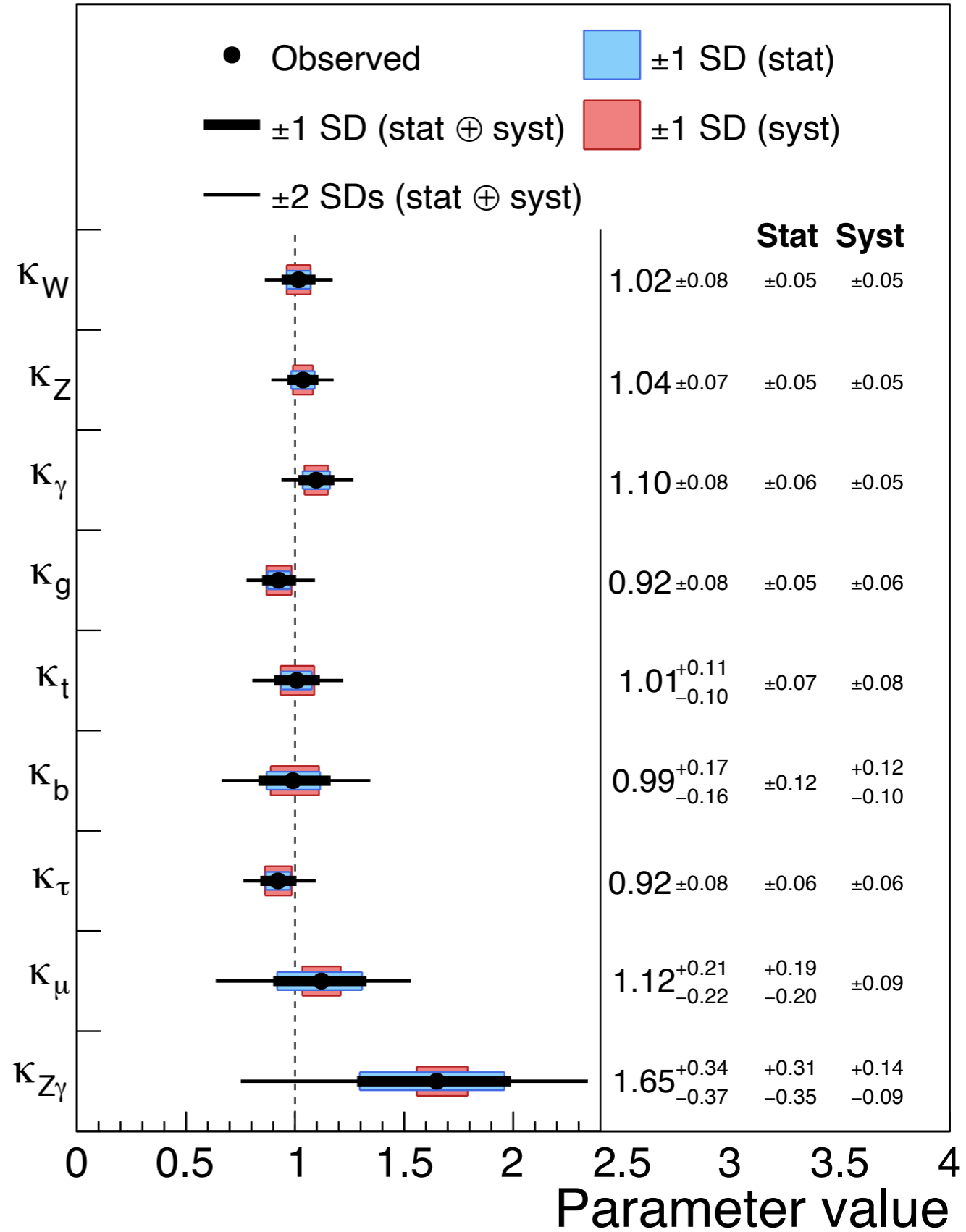
Backup

CMS kappa fit

- Without invisible/undetected component

CMS

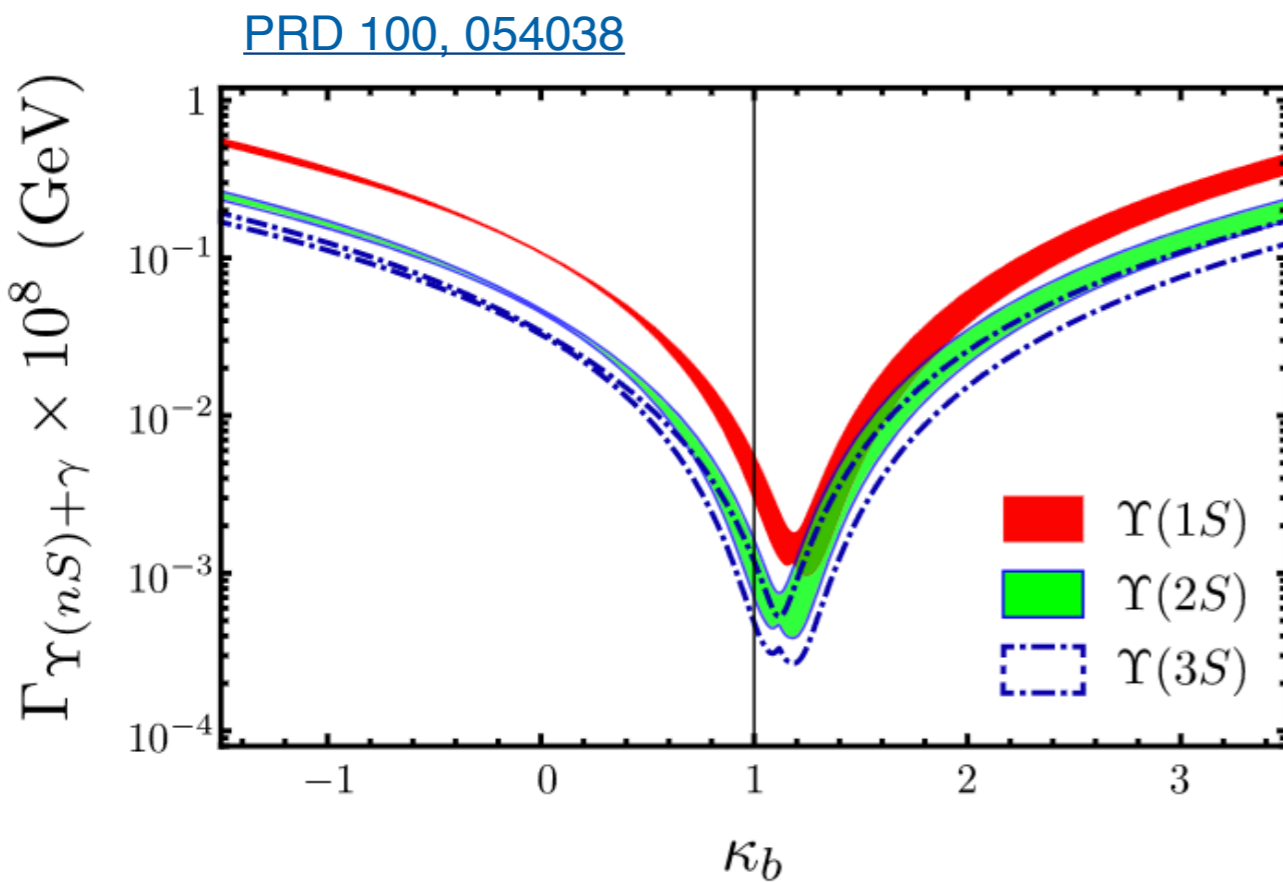
138 fb⁻¹ (13 TeV)



Other γ + meson decays

- Υ may be more interesting

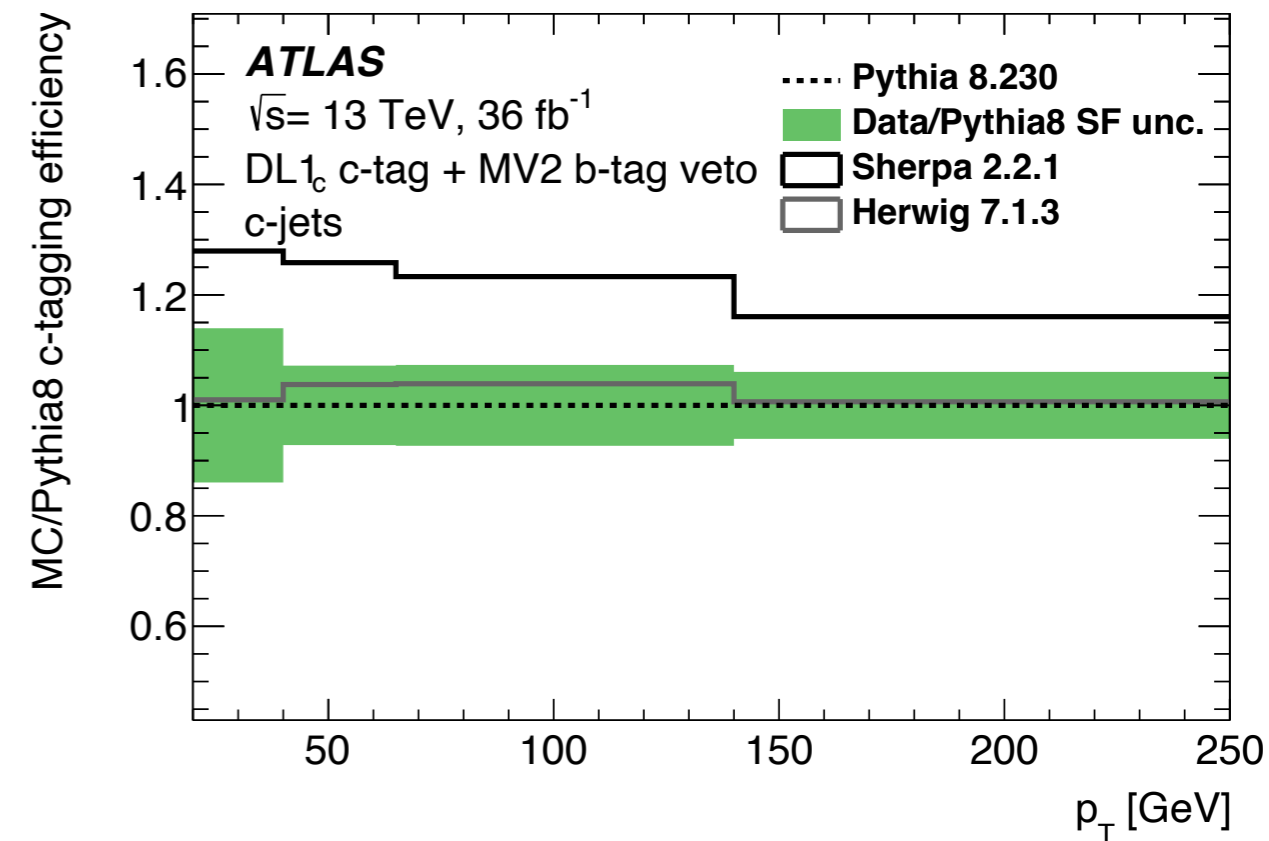
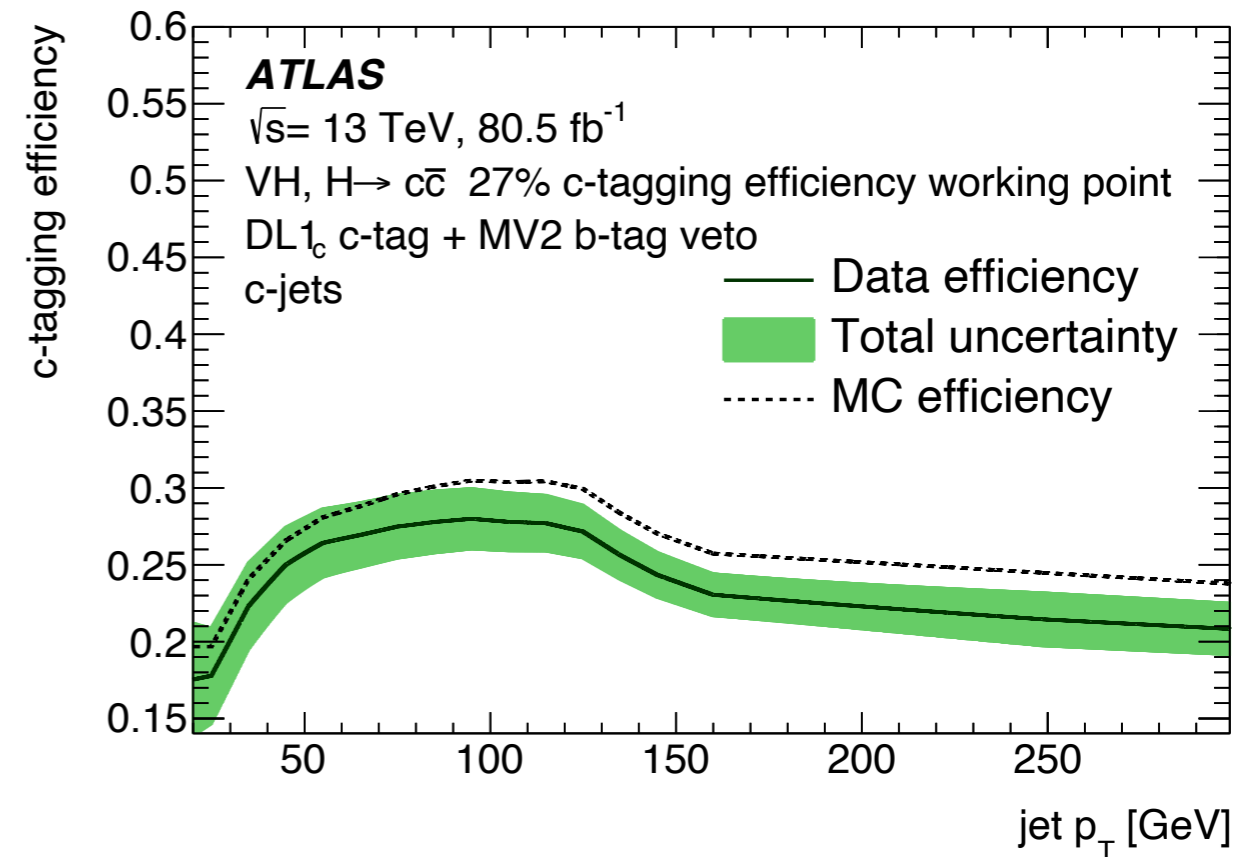
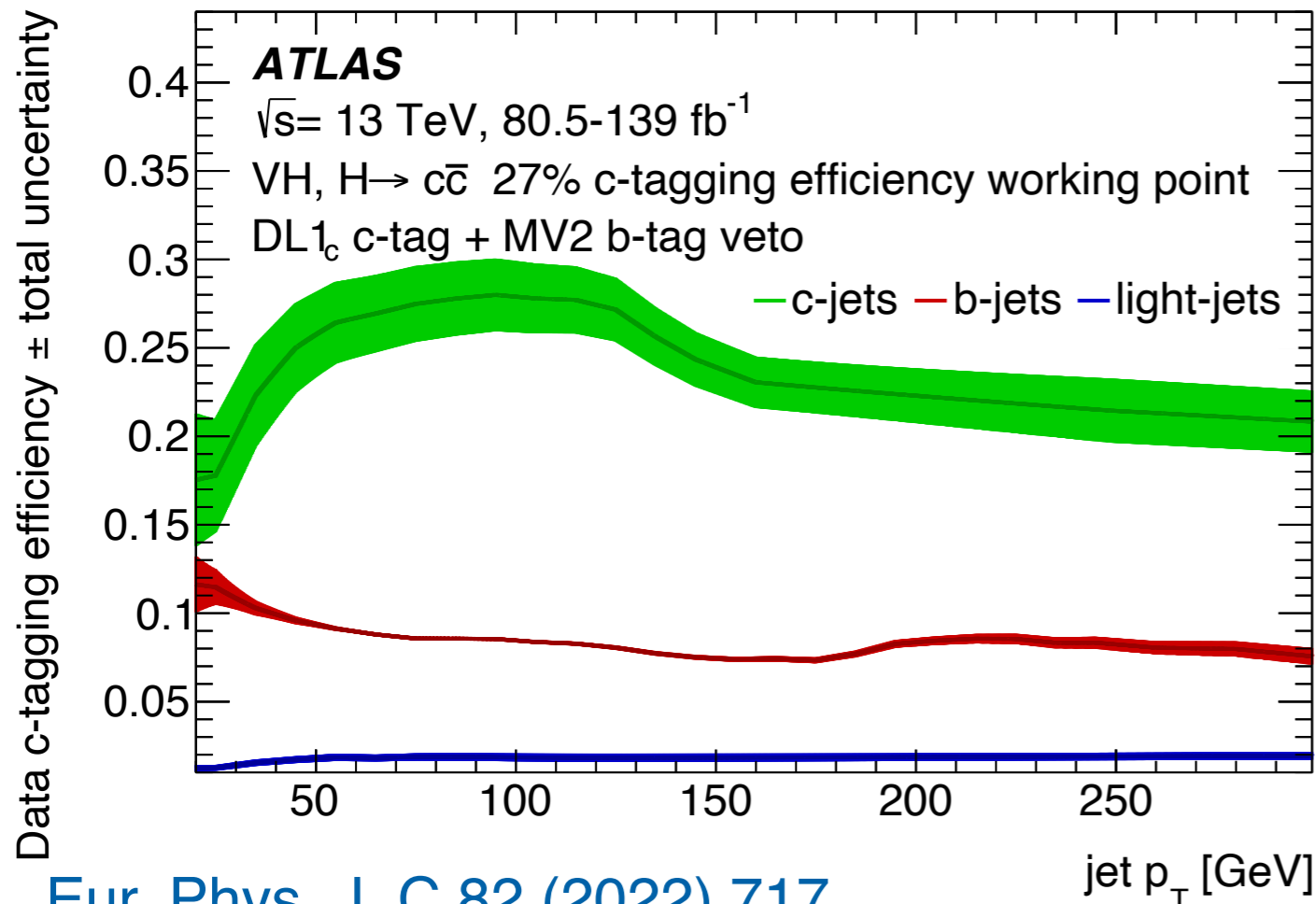
- [Phys. Lett. B 786 \(2018\) 134](#)



Branching fraction limit (95% CL)	Expected	Observed
$\mathcal{B}(H \rightarrow J/\psi \gamma) [10^{-4}]$	$3.0^{+1.4}_{-0.8}$	3.5
$\mathcal{B}(H \rightarrow \psi(2S) \gamma) [10^{-4}]$	$15.6^{+7.7}_{-4.4}$	19.8
$\mathcal{B}(Z \rightarrow J/\psi \gamma) [10^{-6}]$	$1.1^{+0.5}_{-0.3}$	2.3
$\mathcal{B}(Z \rightarrow \psi(2S) \gamma) [10^{-6}]$	$6.0^{+2.7}_{-1.7}$	4.5
$\mathcal{B}(H \rightarrow \Upsilon(1S) \gamma) [10^{-4}]$	$5.0^{+2.4}_{-1.4}$	4.9
$\mathcal{B}(H \rightarrow \Upsilon(2S) \gamma) [10^{-4}]$	$6.2^{+3.0}_{-1.7}$	5.9
$\mathcal{B}(H \rightarrow \Upsilon(3S) \gamma) [10^{-4}]$	$5.0^{+2.5}_{-1.4}$	5.7
$\mathcal{B}(Z \rightarrow \Upsilon(1S) \gamma) [10^{-6}]$	$2.8^{+1.2}_{-0.8}$	2.8
$\mathcal{B}(Z \rightarrow \Upsilon(2S) \gamma) [10^{-6}]$	$3.8^{+1.6}_{-1.1}$	1.7
$\mathcal{B}(Z \rightarrow \Upsilon(3S) \gamma) [10^{-6}]$	$3.0^{+1.3}_{-0.8}$	4.8

ATLAS single-charm tagging

- Charm calibration gives mild reduction in efficiency
- At 27% c-tag, 1% b-mistag:
 - light-mistag 0.2% \approx CMS



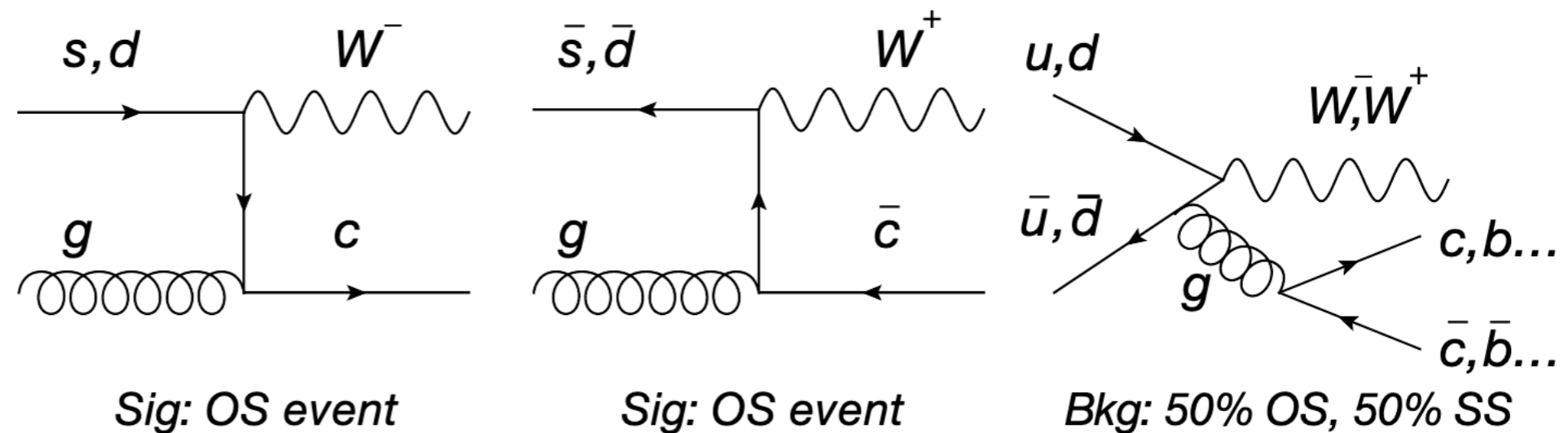
[Eur. Phys. J. C 82 \(2022\) 717](#)

Single-charm calibration region definitions

- $W+c$
 - Leptonic W decay, tag charm jet with soft muon
- Top
 - B jet from top decay with soft muon; semi-leptonic and dilepton decays; >4 jets
- DY+jet
 - Dilepton (ee/mm); no soft muon in jet requirement

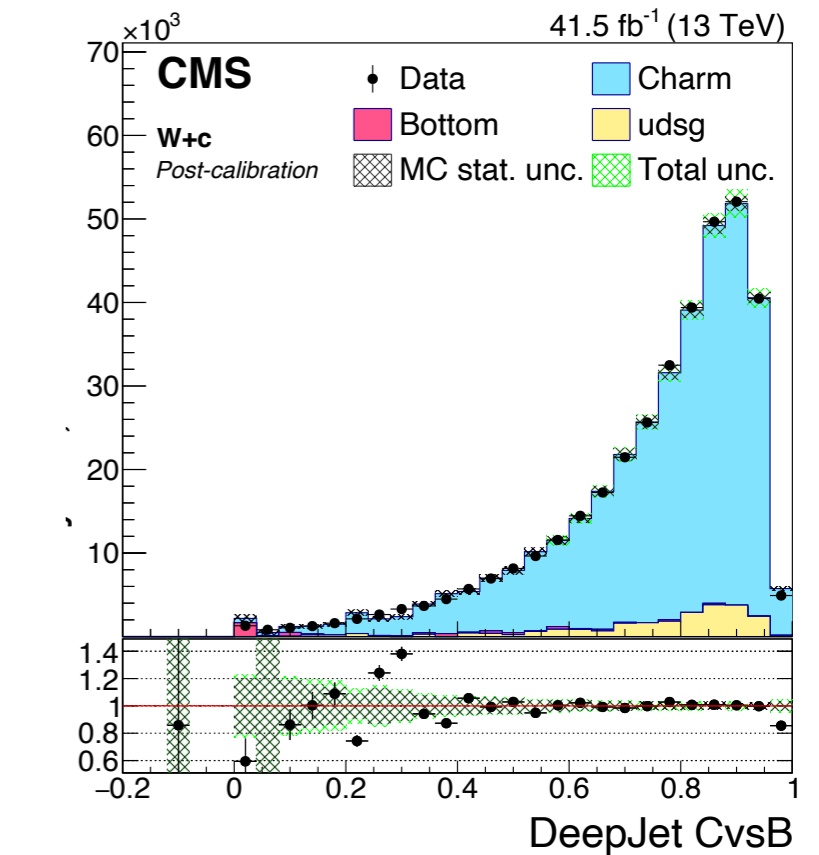
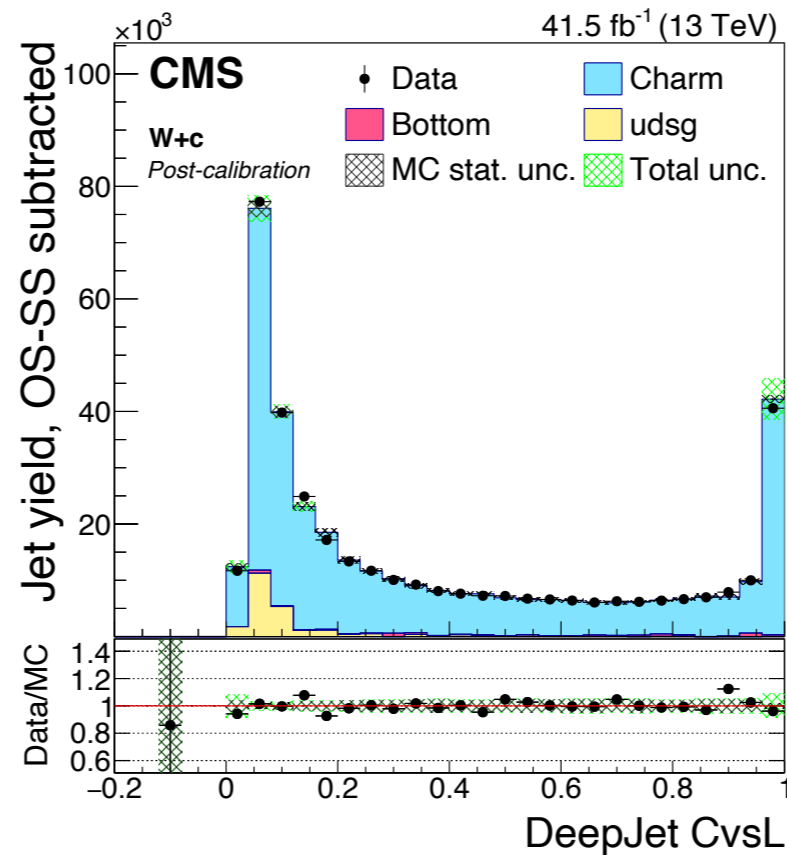
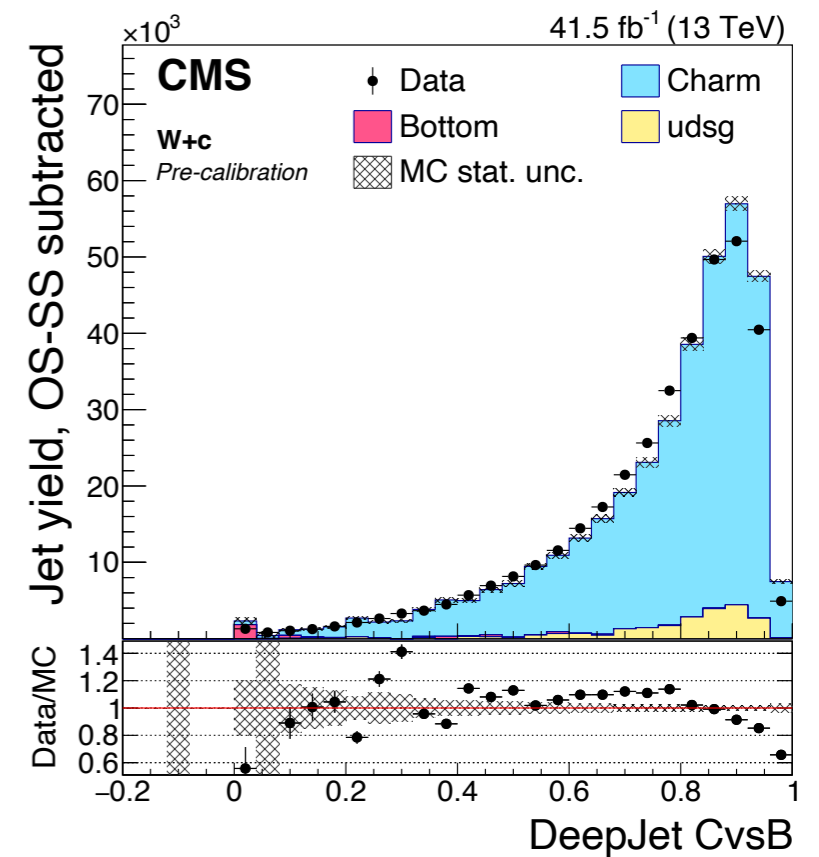
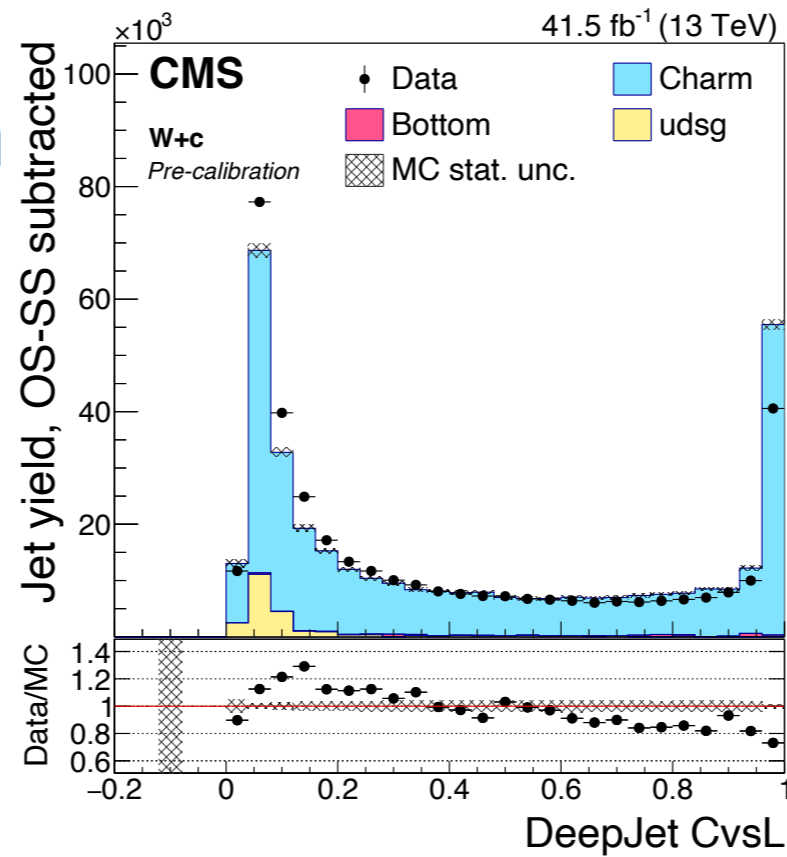
[JINST 17 \(2022\) P03014](#)

Selection	Jet yield	c %	b %	udsg %
$W+c$	362 002	92.9	0.957	6.14
$t\bar{t}$	380 366	12.1	81.0	6.91
DY + jet	8 509 206	8.87	5.05	86.1



Single-charm calibration

- Significant improvement in tagger response modeling

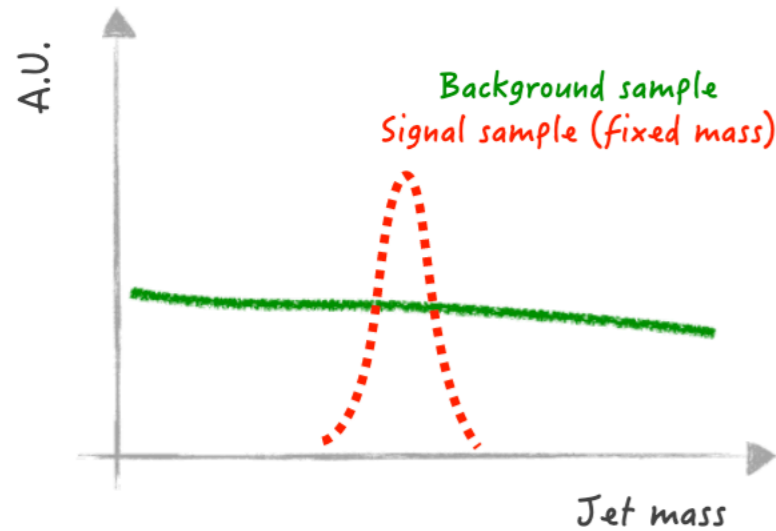


[JINST 17 \(2022\) P03014](#)

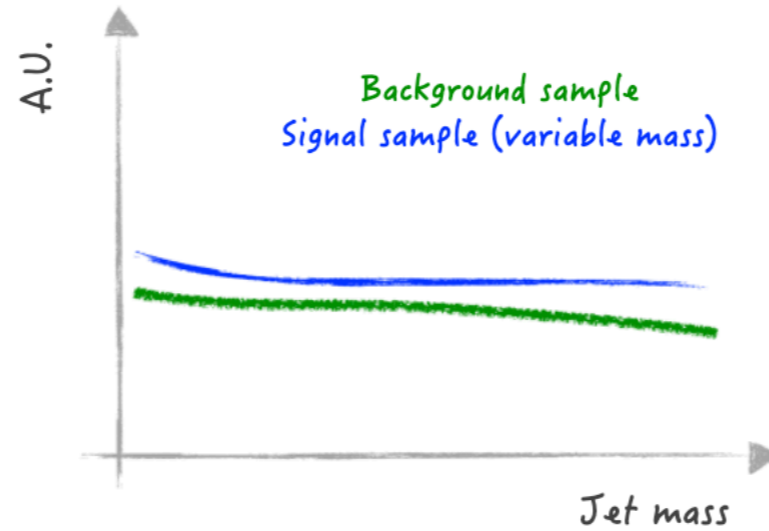
Double-charm jet mass decorrelation

CMS-DP-2020-002

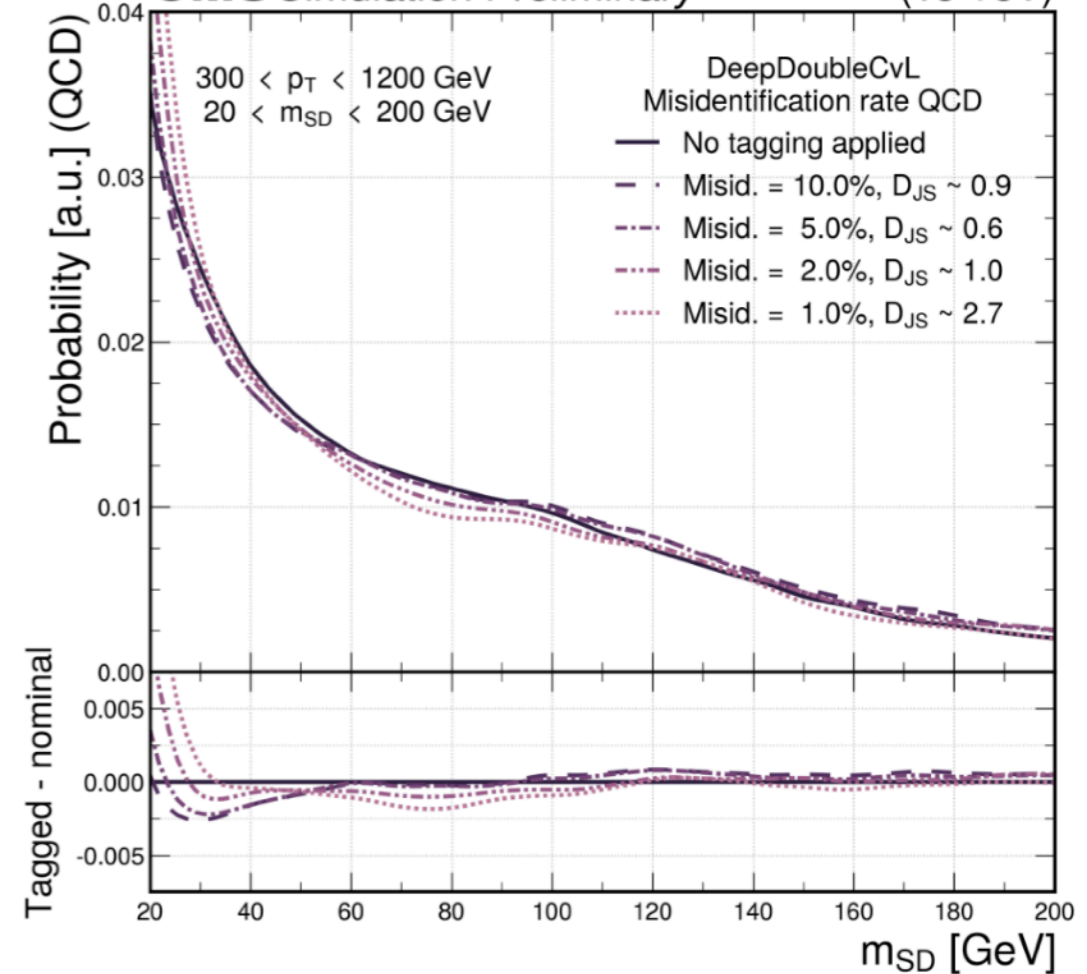
Plain training:
no mass decorrelation



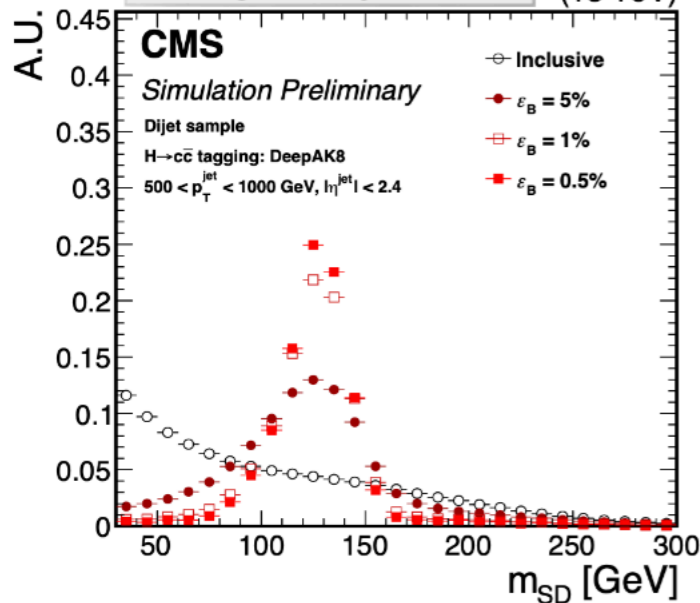
Mass-decorrelated
training



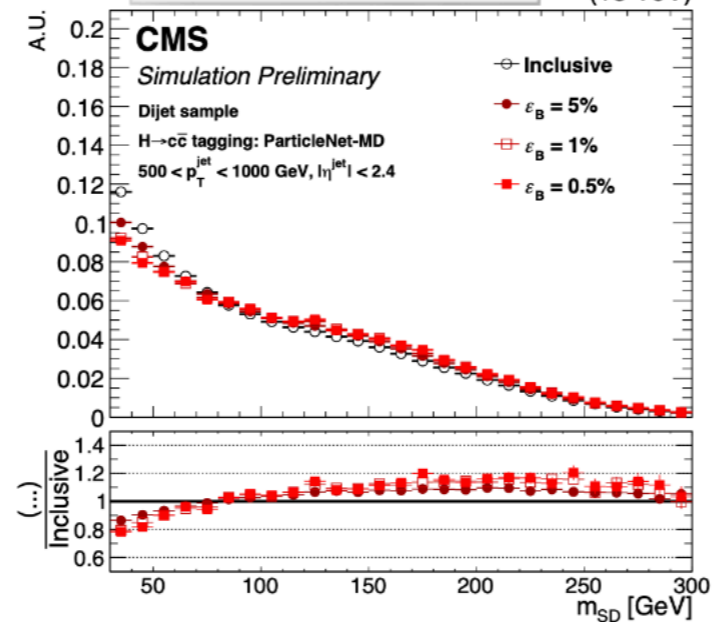
CMS Simulation Preliminary (13 TeV)



Background jet mass (13 TeV)



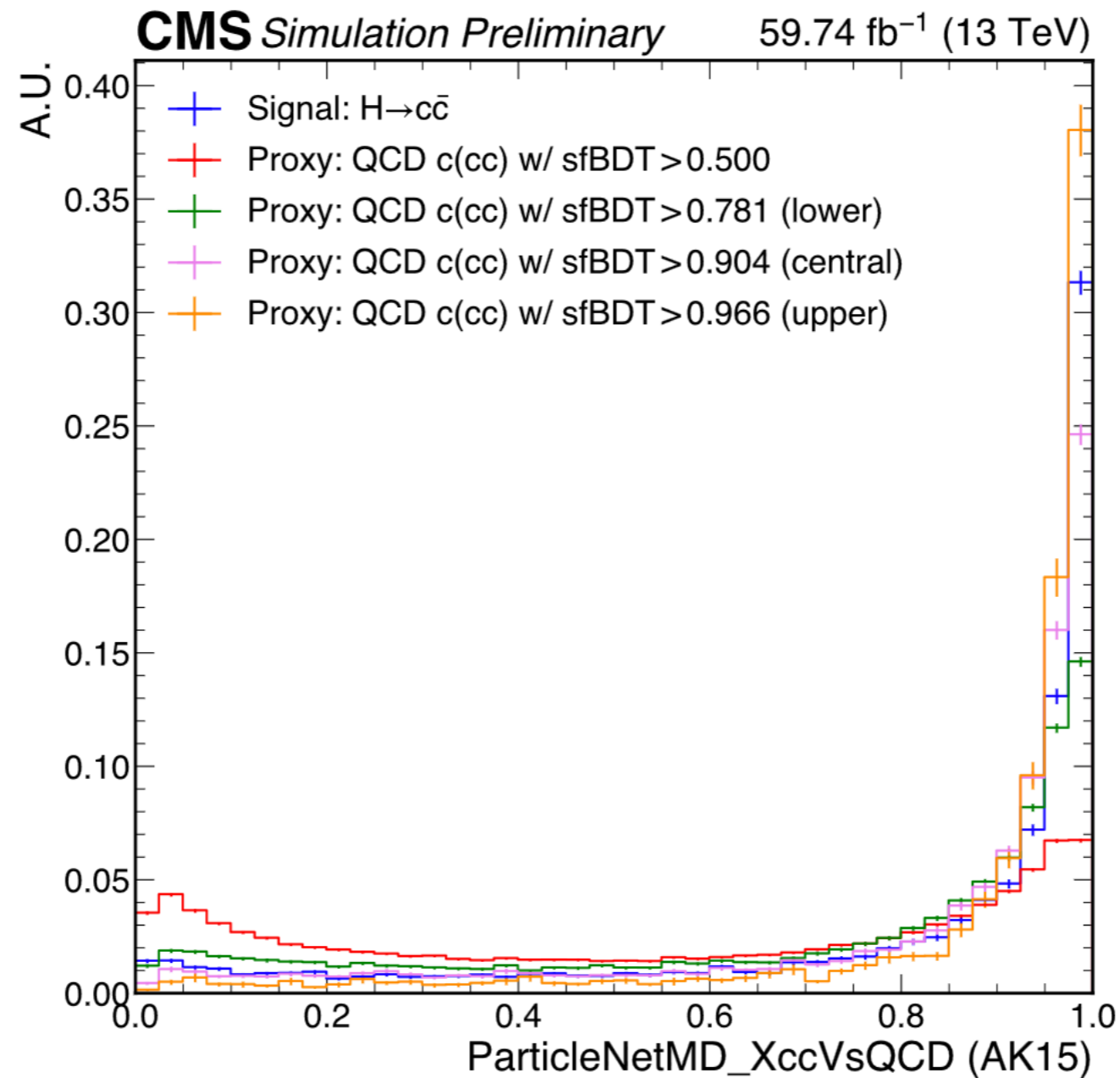
Background jet mass (13 TeV)



CMS-DP-2022-041



Double-charm proxy jets with sfBDT



[CMS-DP-2022-005](#)