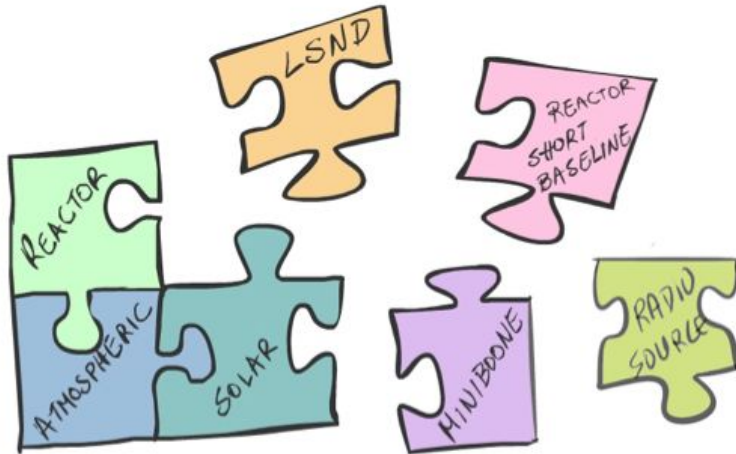


# Future 8 GeV Short-Baseline Neutrino Program at Fermilab



Georgia Karagiorgi, Columbia University  
ACE Workshop, Fermilab

June 14, 2023

- (1) Multiple, interesting **anomalies** in the field of experimental neutrino physics
  
- (2) A broad and diverse program to address them under way, including a **powerful program** right here at Fermilab about to turn on, with unique capabilities
  
- (3) Exciting **opportunity** to expand beyond this program, and, if we are lucky, follow up on interesting signals about to be seen/discovered!

SNOWMASS NEUTRINO FRONTIER:  
NF02 TOPICAL GROUP REPORT  
UNDERSTANDING EXPERIMENTAL NEUTRINO ANOMALIES

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SUBMITTED TO THE PROCEEDINGS OF THE US COMMUNITY STUDY  
ON THE FUTURE OF PARTICLE PHYSICS (SNOWMASS 2021)

---

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ON BEHALF OF THE NF02 TOPICAL GROUP COMMUNITY\*

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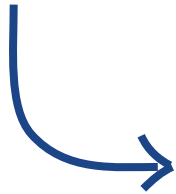
arXiv:2209.05352v1 [hep-ex] 12 Sep 2022

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\*This report is based on the NF02-contributed *White Paper on Light Sterile Neutrino Searches and Related Phenomenology* [1].

**\*Although pertaining to the ongoing SBN program at Fermilab, the work, statements, and conclusions presented in this talk are not to be considered as results or statements from that program.**

# (Short-baseline) Experimental Neutrino Anomalies



i.e. very close to neutrino production, before any (three-neutrino) oscillations “turn on” (at experimental neutrino  $L/E \sim 1\text{m/MeV}$ )

Two categories of anomalous signals:



“appearance” of electron (anti)neutrinos in very pure **accelerator-based** muon (anti)neutrino beams from pion decay-in-flight (DIF) or pion decay-at-rest (DAR)



“disappearance” of electron (anti)neutrinos in pure sources of electron (anti)neutrinos, including reactors\* and electron-capture radioactive sources

\*now understood as limitations in neutrino flux modeling

# Leading theoretical interpretation c. 2010

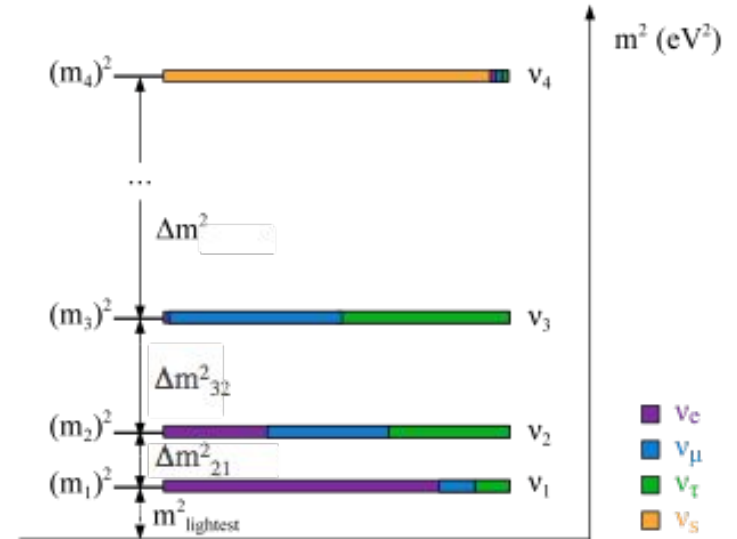
## “Vanilla” light sterile neutrino oscillations

$\Delta m^2 \sim 1 \text{ eV}^2 \rightarrow$  oscillations at  $L/E \sim 1 \text{ m/MeV}$

### Expect:

- ✓ Electron anti/neutrino disappearance  $\sim O(10\%)$
- ? Muon anti/neutrino disappearance  $\sim O(10\%)$
- ✓ Muon to electron anti/neutrino appearance  $\sim O(1\%)$

Probability amplitudes are approx. proportional to electron and/or muon flavor content(s) of new mass states



increasing sterile neutrino mass  $\rightarrow$  decreasing mixing

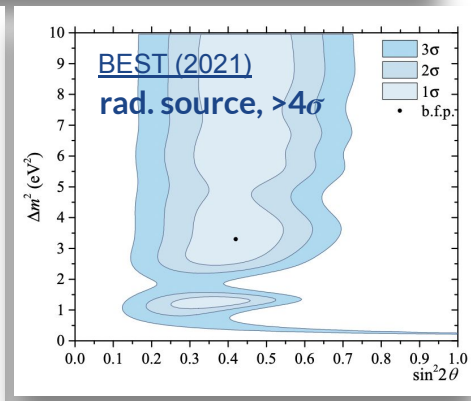
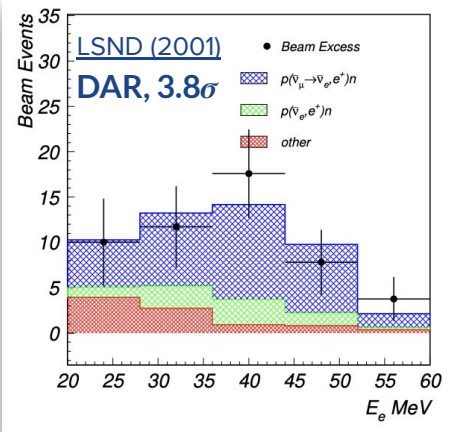
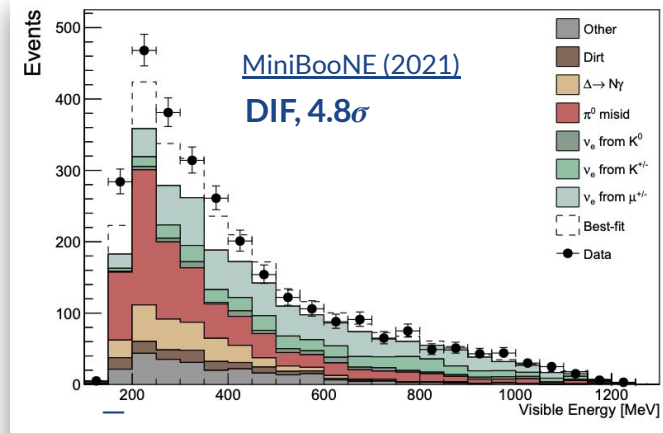


# Leading theoretical interpretation c. 2010 $\rightsquigarrow$ 2020

“Vanilla” light sterile neutrino oscillations



Compelling theoretical interpretation to each experimental signal when considered independently



# Leading theoretical interpretation c. 2010 $\rightsquigarrow$ 2020

“Vanilla” light sterile neutrino oscillations



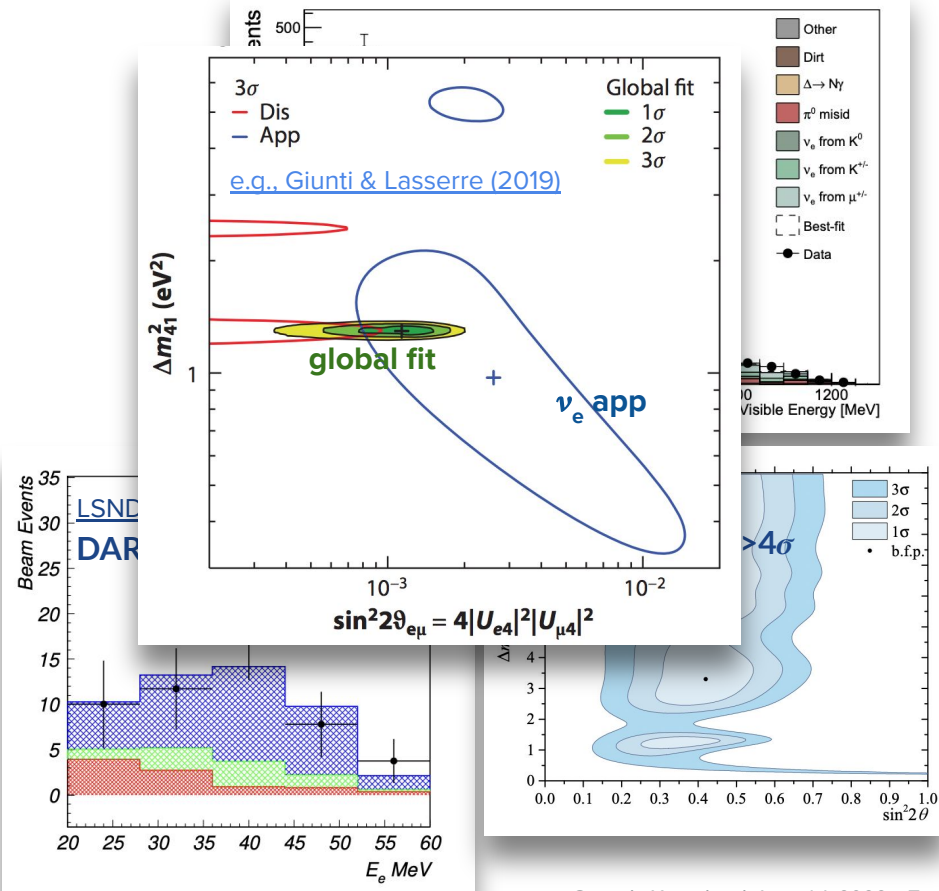
Compelling theoretical interpretation to each experimental signal when considered independently



A contrived solution to all anomalies when considered collectively with muon neutrino disappearance constraints

Significant tensions in global data sets exist for any scenario: 3+1, 3+2, 3+3

*\*Caveat: treatment of all global data sets using consistent assumptions (e.g. flux, cross-section) is challenging  $\rightarrow$  comprehensive, multi-channel searches are needed that account for flux and cross-section correlations across different flavor measurements to put the “vanilla” 3+N model eternally to rest*



# Leading theoretical interpretation c. 2010 $\rightsquigarrow$ 2020

“Vanilla” light sterile neutrino oscillations



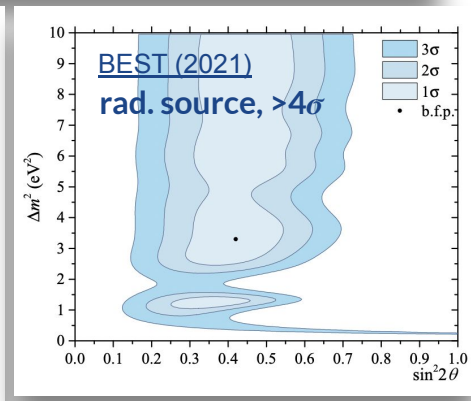
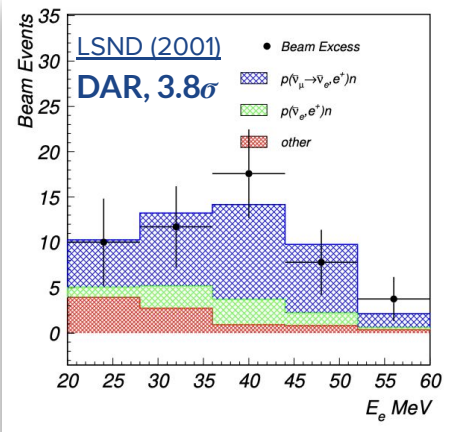
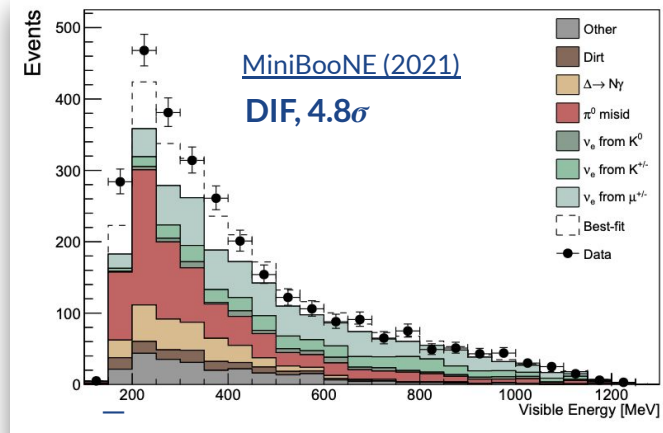
Compelling theoretical interpretation to each experimental signal when considered independently



A contrived solution to all anomalies when considered collectively with muon neutrino disappearance constraints



Anomalies still stand, unexplained, and represent tantalizing indications for new physics beyond the three-neutrino framework





# Leading interpretations today

Three broad categories of theoretical interpretations:

- Flavor conversion models
- Dark sector portal models
- “Standard Model” or “conventional” interpretations

For an up to date review, and list of references, see the Snowmass NF02-wide White Paper: <https://arxiv.org/abs/2203.07323>

Category	Model	Signature	Anomalies				References
			LSND	MiniBooNE	Reactor	Gallium	
Flavor Conversion: Transitions	$3+N$ oscillations	oscillations	✓	✓	✓	✓	Reviews and global fits [103–106]
	$3+N$ w/ invisible sterile decay	oscillations w/ $\nu_4$ invisible decay	✓	✓	✓	✓	[46, 47]
	$3+N$ w/ sterile decay	$\nu_4 \rightarrow \phi\nu_e$	✓	✓	✓	✓	[44, 45, 48–50]
Flavor Conversion: Matter Effects	$3+N$ w/ anomalous matter effects	$\nu_\mu \rightarrow \nu_e$ via matter effects	✓	✓	✗	✗	[38–42]
	$3+N$ w/ quasi-sterile neutrinos	$\nu_\mu \rightarrow \nu_e$ w/ resonant $\nu_s$ matter effects	✓	✓	✓	✓	[43]
Flavor Conversion: Flavor Violation	lepton-flavor-violating $\mu$ decays	$\mu^+ \rightarrow e^+ \nu_\alpha \bar{\nu}_e$	✓	✗	✗	✗	[51–53]
	neutrino-flavor-changing bremsstrahlung	$\nu_\mu A \rightarrow e \phi A$	✓	✓	✗	✗	[54]
Dark Sector: Decays in Flight	transition magnetic mom., heavy $\nu$ decay	$N \rightarrow \nu\gamma$	✗	✓	✗	✗	[75]
	dark sector heavy neutrino decay	$N \rightarrow \nu(X \rightarrow e^+e^-)$ or $N \rightarrow \nu(X \rightarrow \gamma\gamma)$	✗	✓	✗	✗	[73]
Dark Sector: Neutrino Scattering	neutrino-induced up-scattering	$\nu A \rightarrow NA$ , $N \rightarrow \nu e^+e^-$ or $N \rightarrow \nu\gamma\gamma$	✓	✓	✗	✗	[63–72]
	neutrino dipole up-scattering	$\nu A \rightarrow NA$ , $N \rightarrow \nu\gamma$	✓	✓	✗	✗	[55–62]
Dark Sector: Dark Matter Scattering	dark particle-induced up-scattering	$\gamma$ or $e^+e^-$	✗	✓	✗	✗	[74]
	dark particle-induced inverse Primakoff	$\gamma$	✓	✓	✗	✗	[74]

✓ – the model can naturally explain the anomaly, ✓ – the model can partially explain the anomaly, ✗ – the model cannot explain the anomaly.

# Putting interpretations to the test

- A broad experimental program is being pursued by the community

Source	Flavor Conversion: 3+N Oscillations	Flavor Conversion: Anomalous Matter Effects	Flavor Conversion: Lepton Flavor Violation	Dark Sector: Decays in Flight	Dark Sector: Neutrino-induced Up-scattering	Dark Sector: Dark-particle-induced Up-scattering
Reactor	DANSS Upgrade, JUNO-TAO, NEOS-II, Neutrino-4 Upgrade, PROSPECT-II					
Radioactive Source	BEST-2, IsoDAR, THEIA, Jinping					
Atmospheric	IceCube Upgrade, KM3NET, ORCA and ARCA, DUNE, Hyper-Kamiokande, THEIA				IceCube Upgrade, KM3NET, ORCA and ARCA, DUNE, Hyper-Kamiokande, THEIA	
Pion/Kaon Decay-At-Rest	JSNS <sup>2</sup> , COHERENT, Coherent CAPTAIN-Mills, KPIPE		JSNS <sup>2</sup> , COHERENT, Coherent CAPTAIN-Mills, KPIPE, PIP2-BD			COHERENT, Coherent CAPTAIN-Mills, KPIPE, PIP2-BD, SBN-BD
Beam Short Baseline	SBN			SBN, FASER $\nu$ , SND@LHC, FLArE		
Beam Long Baseline	DUNE, Hyper-Kamiokande, ESSnuSB			DUNE, Hyper-Kamiokande, ESSnuSB		
Muon Decay-In-Flight	nuSTORM				nuSTORM	
Beta Decay and Electron Capture	KATRIN/TRISTAN, Project-8, HUNTER, BeEST, DUNE ( <sup>39</sup> Ar), PTOLEMY, 2 $\nu\beta\beta$					

For an up to date review, and list of references, see the Snowmass NF02-wide White Paper: <https://arxiv.org/abs/2203.07323>

# Putting interpretations to the test

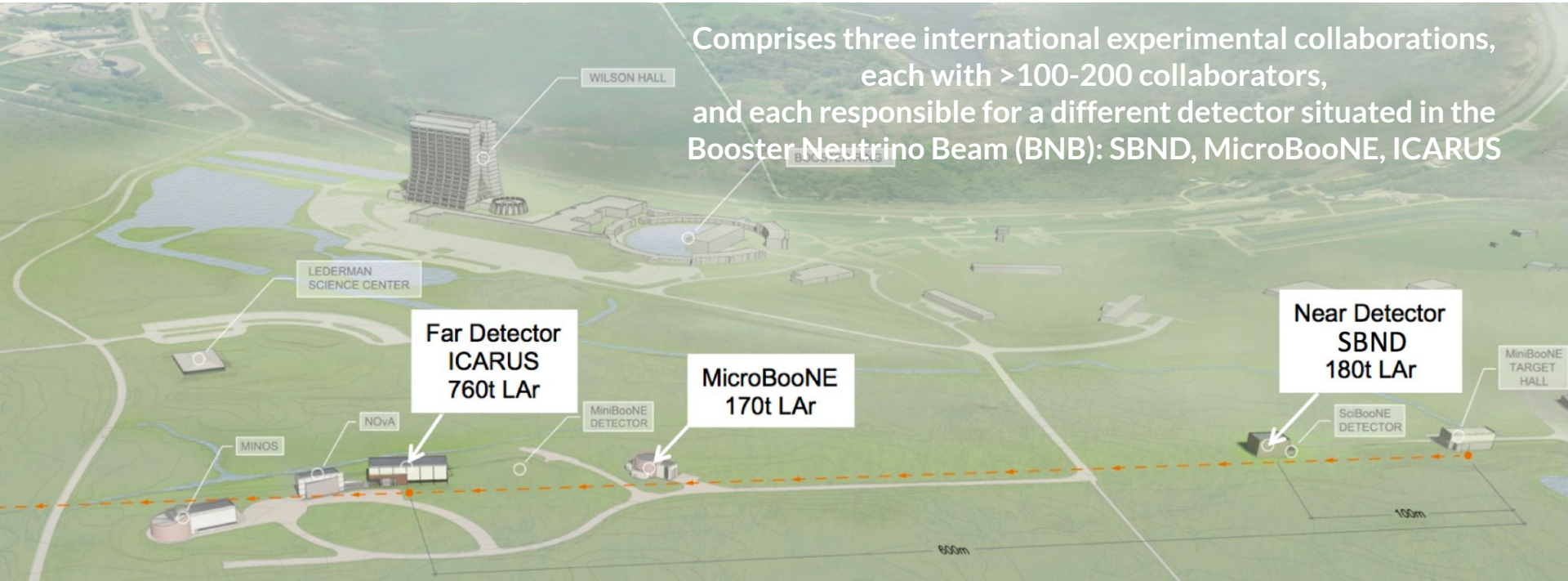
- A broad experimental program is being pursued by the community
- Including dedicated accelerator-based short-baseline neutrino program at Fermilab

Source	Flavor Conversion: 3+ <i>N</i> Oscillations ✓	Flavor Conversion: Anomalous Matter Effects	Flavor Conversion: Lepton Flavor Violation	Dark Sector: Decays in Flight ✓	Dark Sector: Neutrino-induced Up-scattering ✓	Dark Sector: Dark-particle-induced Up-scattering ✓
Reactor	DANSS Upgrade, JUNO-TAO, NEOS-II, Neutrino-4 Upgrade, PROSPECT-II					
Radioactive Source	BEST-2, IsoDAR, THEIA, Jinping					
Atmospheric	IceCube Upgrade, KM3NET, ORCA and ARCA, DUNE, Hyper-Kamiokande, THEIA				IceCube Upgrade, KM3NET, ORCA and ARCA, DUNE, Hyper-Kamiokande, THEIA	
Pion/Kaon Decay-At-Rest	JSNS <sup>2</sup> , COHERENT, Coherent CAPTAIN-Mills, KPIPE		JSNS <sup>2</sup> , COHERENT, Coherent CAPTAIN-Mills, KPIPE, PIP2-BD			COHERENT, Coherent CAPTAIN-Mills, KPIPE, PIP2-BD, SBN-BD
Beam Short Baseline	SBN			SBN, FASER $\nu$ , SND@LHC, FLArE		
Beam Long Baseline	DUNE, Hyper-Kamiokande, ESSnuSB			DUNE, Hyper-Kamiokande, ESSnuSB		
Muon Decay-In-Flight	nuSTORM				nuSTORM	
Beta Decay and Electron Capture	KATRIN/TRISTAN, Project-8, HUNTER, BeEST, DUNE ( <sup>39</sup> Ar), PTOLEMY, 2 $\nu\beta\beta$					

For an up to date review, and list of references, see the Snowmass NF02-wide White Paper: <https://arxiv.org/abs/2203.07323>

# SBN @ Fermilab

Currently ongoing **Short Baseline Neutrino (SBN) Program**: can directly test a vast array of MiniBooNE Anomaly interpretations, ranging from conventional origins, to flavor transformation, and to new particle production in the beam or in neutrino scattering



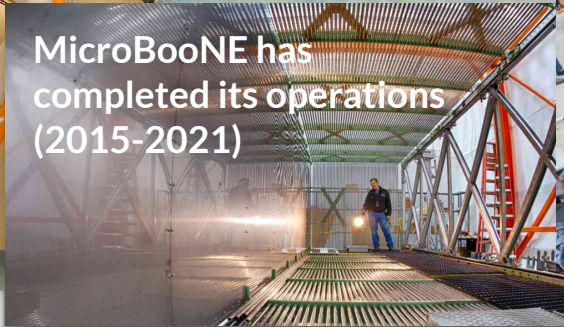
# SBN @ Fermilab

All three detectors share the same technology  
(also the same as future DUNE FD1): Liquid Argon TPC

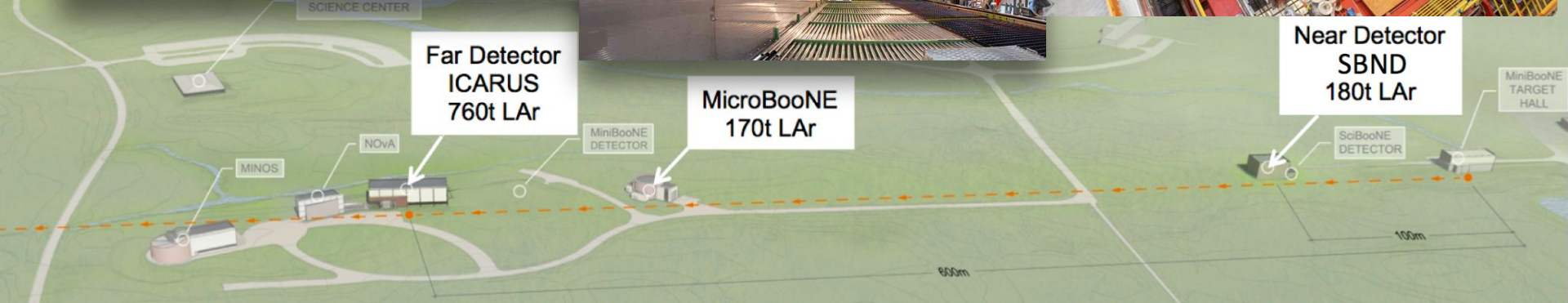


SBN Far detector (ICARUS) has begun operations

MicroBooNE has completed its operations (2015-2021)



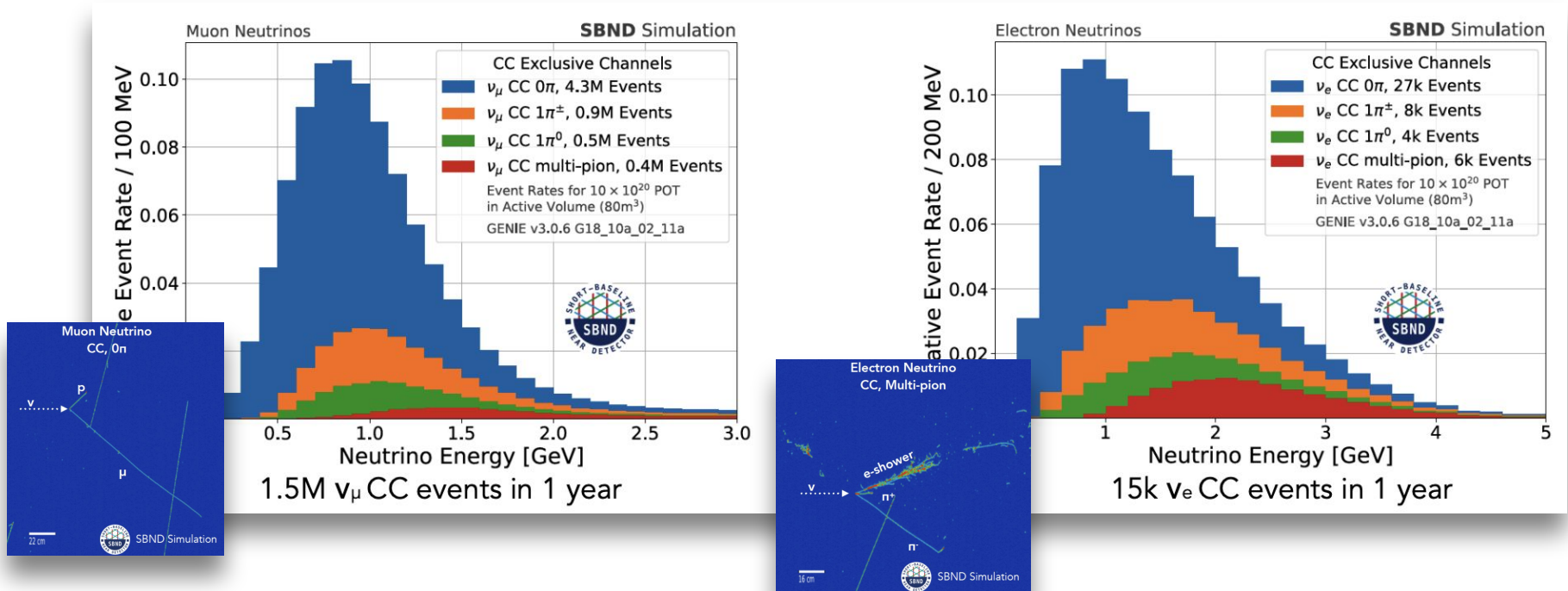
SBND expected to begin operations in 2023-24



# SBN @ Fermilab

Expected to run until the planned accelerator long-shutdown (2027), delivering a diverse physics program:

- (1) Neutrino interactions measurements (increasing available data by more than an order of magnitude)



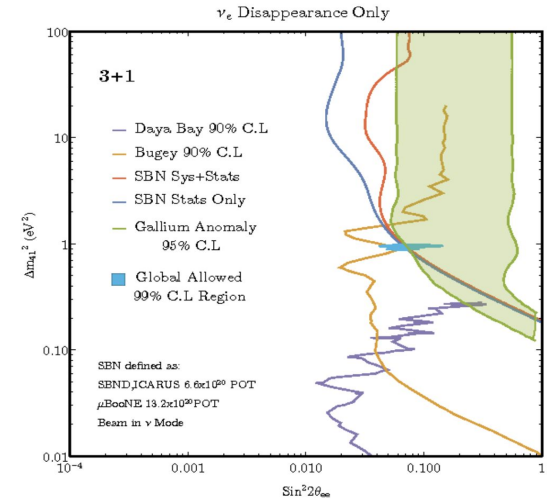
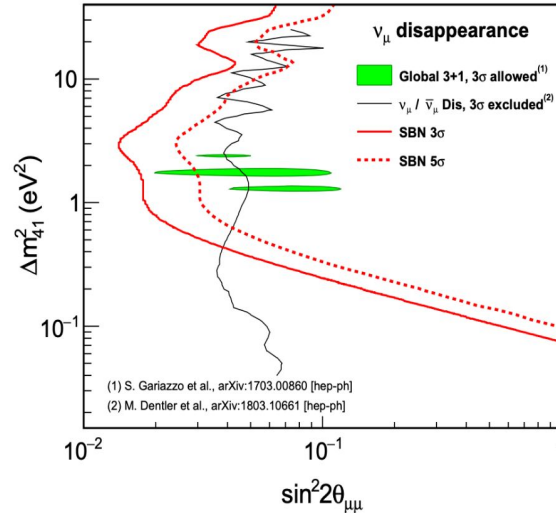
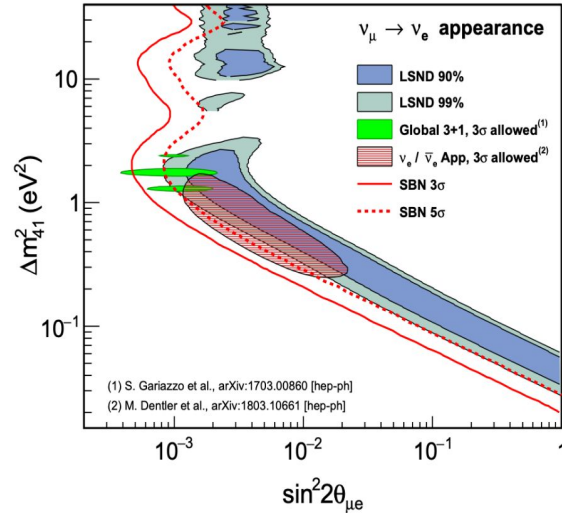
# SBN @ Fermilab

Expected to run until the planned accelerator long-shutdown (2027), delivering a diverse physics program:

- (1) Neutrino interactions measurements (increasing available data by more than an order of magnitude)
- (2) eV-scale sterile neutrino searches ( $\nu_e$  appearance,  $\nu_\mu$  and  $\nu_e$  disappearance, and NC rate disappearance)

[SBN Collab. arXiv:1503.01520](#), also  
[Machado, Palamara, Schmitz, Ann.Rev.Nucl.Part.Sci. 69 \(2019\) 363-387](#)

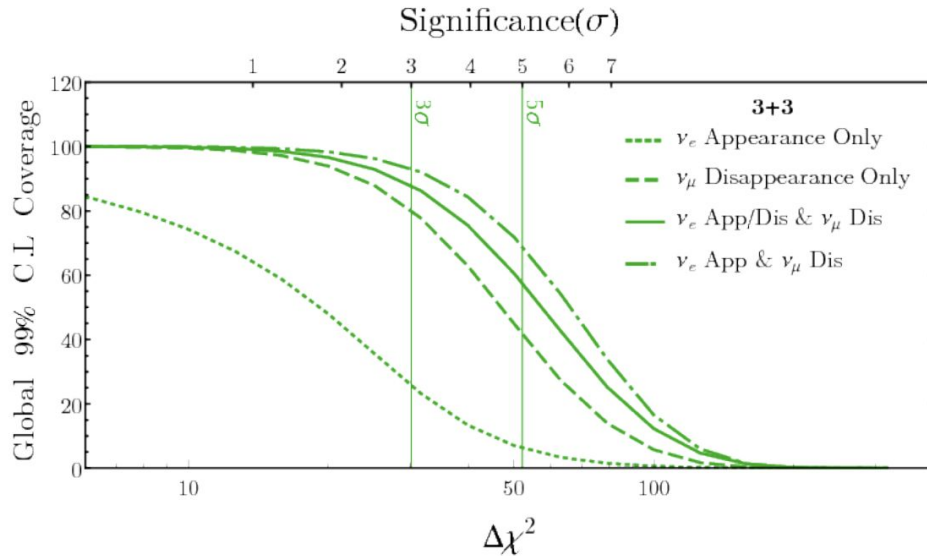
[D. Cianci, et al, Phys.Rev.D 96 \(2017\) 5, 055001](#)



# SBN @ Fermilab

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- (2) eV-scale sterile neutrino searches ( $\nu_e$  appearance,  $\nu_\mu$  and  $\nu_e$  disappearance, and NC rate disappearance)



**SBN can probe, with 5 $\sigma$  sensitivity, more than 50% of the globally-allowed (at 99% CL) 3+3 sterile neutrino oscillation parameter space**

[D. Cianci, et al. Phys.Rev.D 96 \(2017\) 5, 055001](https://arxiv.org/abs/1705.05500)

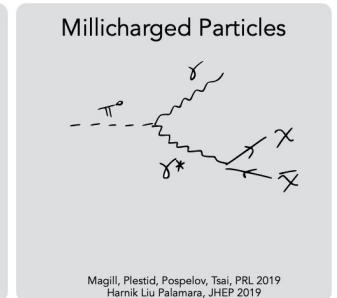
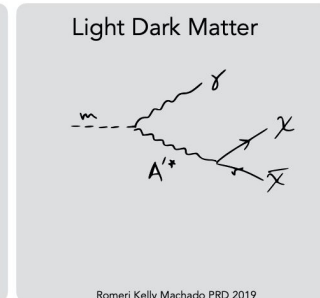
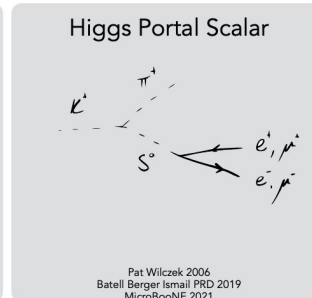
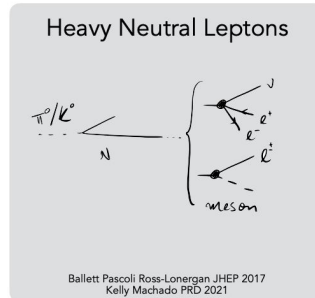
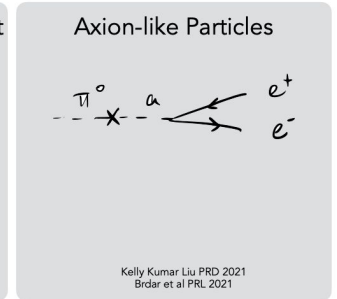
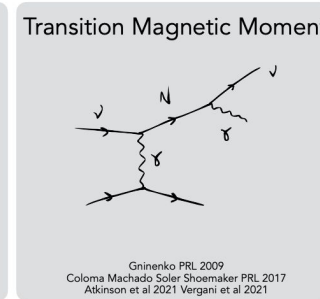
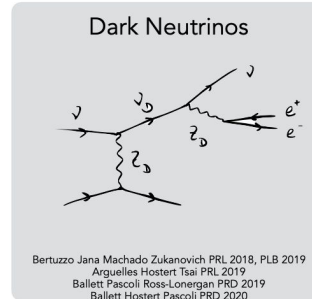


# SBN @ Fermilab

Expected to run until the planned accelerator long-shutdown (2027), delivering a diverse physics program:

- (1) Neutrino interactions measurements (increasing available data by more than an order of magnitude)
- (2) eV-scale sterile neutrino searches ( $\nu_e$  appearance,  $\nu_\mu$  and  $\nu_e$  disappearance, and NC rate disappearance)
- (3) Conventional and alternative explanations of MiniBooNE anomaly, and other new physics scenarios:

- Dark neutrinos
- Transition magnetic moment
- Axion-like particles
- Heavy neutral leptons
- Higgs portal scalars
- Light dark matter
- Millicharged particles
- ...



Note: not an exhaustive list!

Image credit: P. Machado and M. Del Tutto

# What could be done beyond SBN?

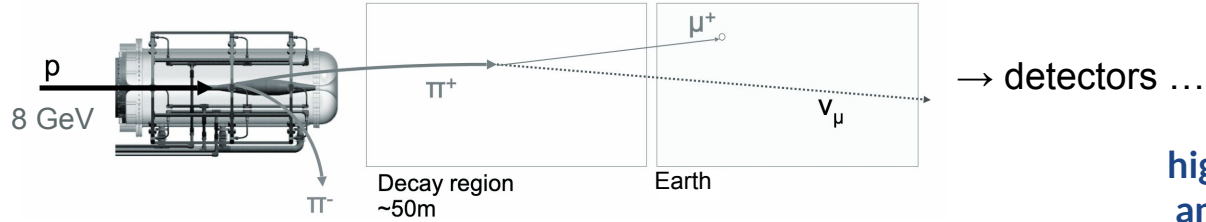
Possible SBN running configurations beyond accelerator long-shutdown:

- ...
- Dedicated beam dump experiments
- Antineutrino running mode and detector upgrades  
(e.g. magnetized detectors for sign differentiation)
- Antineutrino running mode
- Increased statistics in neutrino running mode



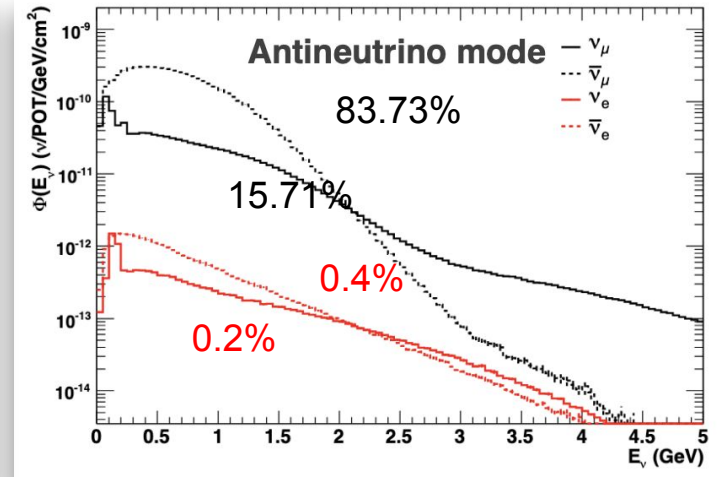
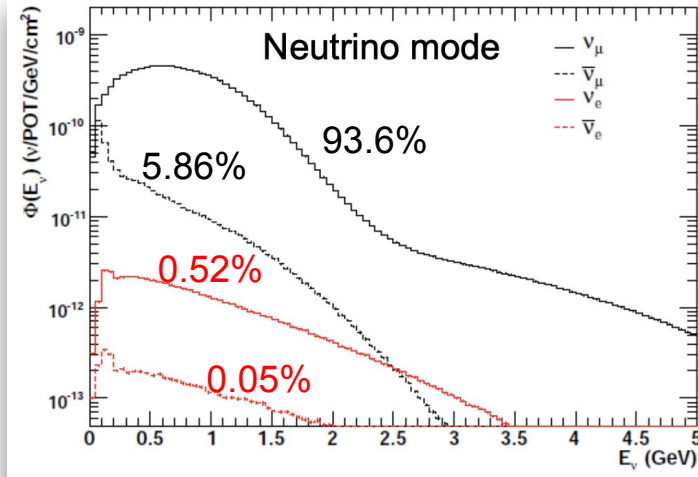
# E.g. scenario: antineutrino running at SBN

Magnetic horn polarity flip  $\rightarrow$  defocuses  $\pi^+$ , focuses  $\pi^-$



higher wrong-sign fraction,  
amplified by anti/neutrino  
relative cross sections

Well-understood flux content;  
leverages experience and expertise  
from extended MiniBooNE antineutrino  
running [Phys.Rev.D 79 \(2009\) 072002](#)



# E.g. scenario: antineutrino running at SBN

## SBN antineutrino running and 3+N:

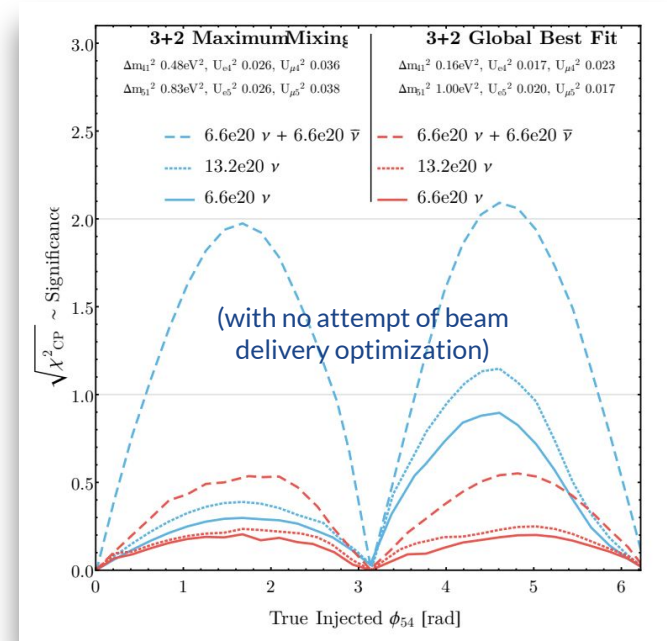
From [D. Cianci, et al, Phys.Rev.D 96 \(2017\) 5, 055001](#) \*

*\*This study projected only 6.6e20 POT in neutrino mode for SBN, and similar projections for antineutrino mode. Current neutrino mode projections are ~2x higher.*

Overall, “coverage” of 3+N globally-allowed regions improves with added antineutrino running (though not as rapidly as it would by additional proton beam delivery in neutrino mode).

On the other hand, **observable differences in neutrino and antineutrino oscillation probabilities provide access to leptonic CP violation** associated with the sterile sector:

$$\begin{aligned}
 \text{E.g., 3+2: } P(\nu_\alpha \rightarrow \nu_\beta) &= 4|U_{\alpha 4}|^2|U_{\beta 4}|^2\sin^2x_{41} + 4|U_{\alpha 5}|^2|U_{\beta 5}|^2\sin^2x_{51} \\
 &+ 8|U_{\alpha 4}||U_{\beta 4}||U_{\alpha 5}||U_{\beta 5}| \\
 &\times \sin x_{41} \sin x_{51} \cos(x_{54} - \phi_{54}), \quad x_{ij} \equiv 1.27\Delta m_{ij}^2 L/E
 \end{aligned}$$



**Under this assumption, it is possible to observe maximal CP violation with SBN, depending on underlying parameters and beam delivery!**

# E.g. scenario: antineutrino running at SBN

SBN antineutrino running and MiniBooNE Anomaly investigations (I):

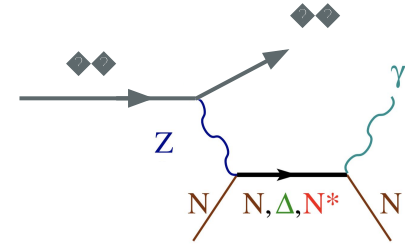
E.g., a conventional interpretation of MiniBooNE Anomaly involves rare, never-before-measured SM processes involving single-photon production in neutrino scattering below 1 GeV, e.g.:

- NC coherent single-photon production
- NC Delta production followed by radiative decay

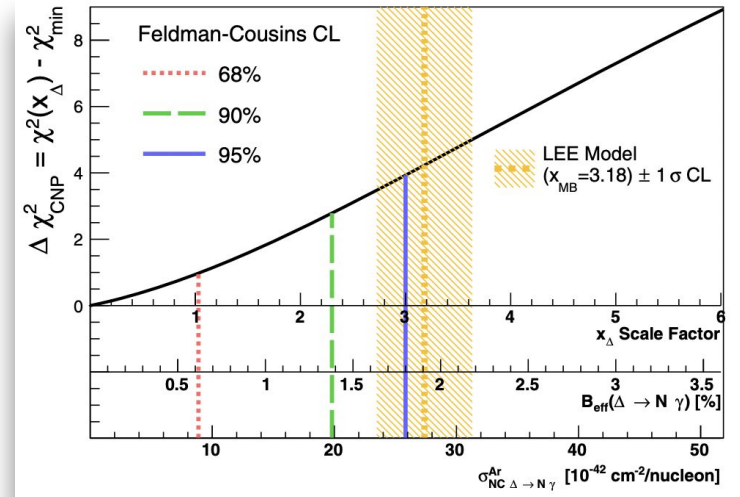
It will be important to study and confirm that the rates of these processes scale as predicted for SM neutrinos and antineutrinos.

Important input also for long-baseline neutrino oscillation measurements, where SM single-photon processes can contribute as background.

Statistics is key!



MicroBooNE, [Phys.Rev.Lett. 128 \(2022\) 111801](https://arxiv.org/abs/2201.11180)



# E.g. scenario: antineutrino running at SBN

## SBN antineutrino running and MiniBooNE Anomaly investigations (II):

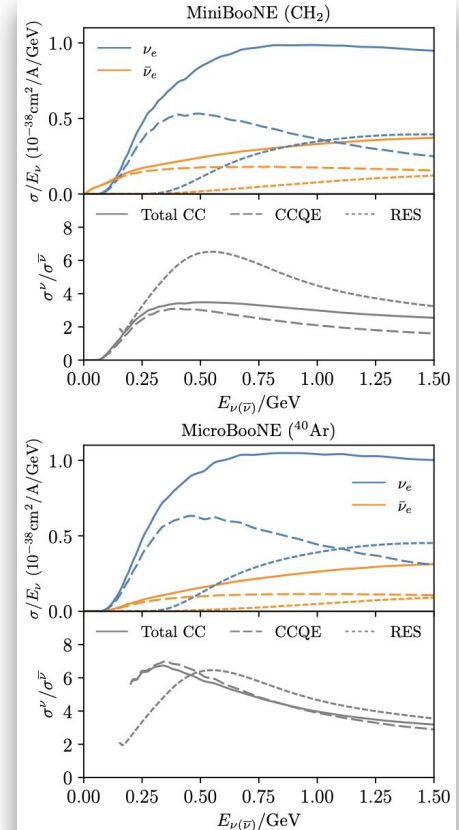
E.g., another recently suggested possibility is that the MiniBooNE Anomaly is **sourced entirely of electron antineutrinos**, evading present MicroBooNE constraints, due to

- Choice of interaction final states for current analyses
- Under-reconstruction of electron antineutrino energy
- Lower antineutrino cross-section for argon vs. carbon

In principle, if the excess scales with flux, SBND should be able to observe a higher rate of antineutrino interactions than MicroBooNE, but measurement will be overwhelmed by right-sign (neutrino) rates with no proton in final state.

Dedicated antineutrino running would provide **higher purity and statistics** to test this hypothesis with improved sensitivity.

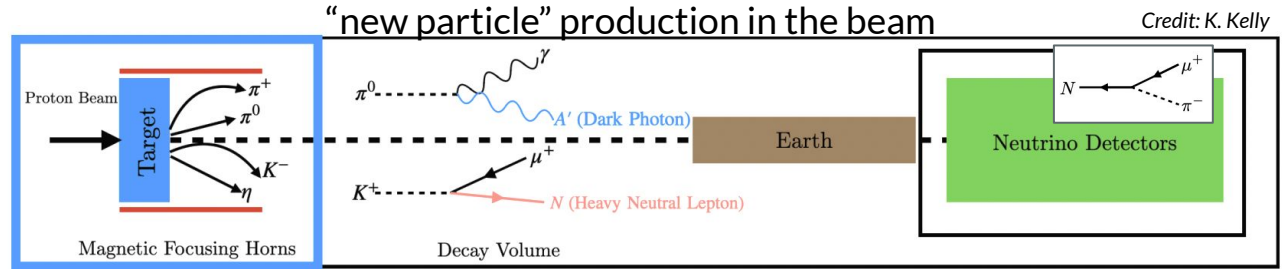
[N. Kamp, et al, Phys.Rev.D 107 \(2023\) 9, 092002](#)



# E.g. scenario: antineutrino running at SBN

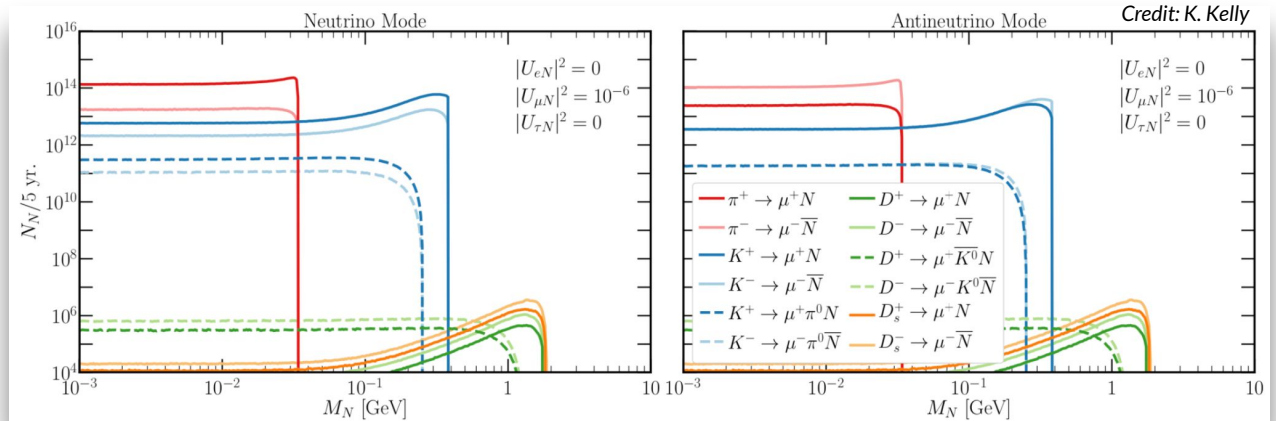
SBN antineutrino running and Dark Sector physics searches:

E.g. Heavy Neutral Lepton (HNL) searches



Comparable rate of HNL production, but composition of  $N$  and anti- $N$  changes between neutrino and antineutrino mode, while the neutrino beam background is also reduced in antineutrino running.

Are  $N$  production and decay chain rates to leptons and anti-leptons the same?

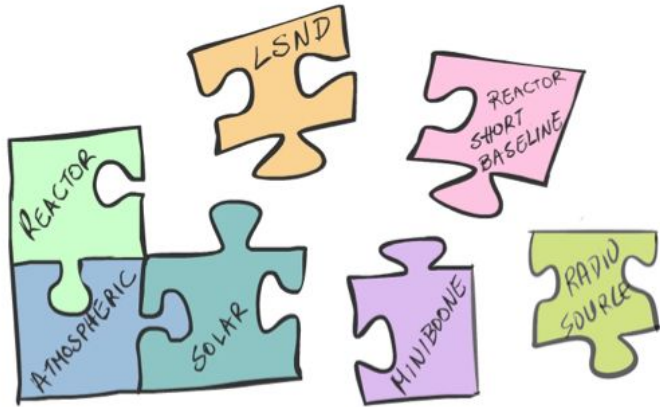


# Summary (I)

Evidence for new physics beyond the three-neutrino framework is accumulating, all at neutrino short-baseline experiments, but as of yet, **no single, definitive experimental result in favor of eV-scale sterile neutrinos.**

Alongside efforts to search for potentially unidentified sources of systematic effects, strong tension between measurements has led to recent **shift toward more “exotic” interpretations, with plethora of models and rich phenomenology.**

**Implications span multiple frontiers and multiple fields.**





# Summary (II)

A **premier accelerator-based short-baseline neutrino program** is underway at Fermilab

- Neutrino-argon interaction measurements
- Neutrino flavor conversion
- Dark sector physics

Enabled by an **over-performing Booster Neutrino Beam facility**, and thanks to decades of experimental users in the beamline (MiniBooNE, SciBooNE, ANNIE, MicroBooNE, and now SBN) contributing to a well-understood beam (BNB flux prediction paper has >400 citations).

Booster upgrades/running beyond the 2027 accelerator long-shutdown provide an **opportunity to significantly stretch or enhance the science value** of a short-baseline neutrino program.

**A new physics signal at SBN would be a game changer! It would necessitate follow-up with either additional neutrino running, or antineutrino running!**

# Summary (III)

It would be extremely valuable for our field to further explore the possibility of additional neutrino vs. antineutrino running enabled by a future booster upgrade, and in tandem with upcoming first results from the SBN program, as well as possible complementary detector upgrades.