

Physics reach with DUNE FD3

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DUNE FD3 mini-workshop toward a combined photon detection and field cage system

Stony Brook, 26-29 June, 2023



Outline



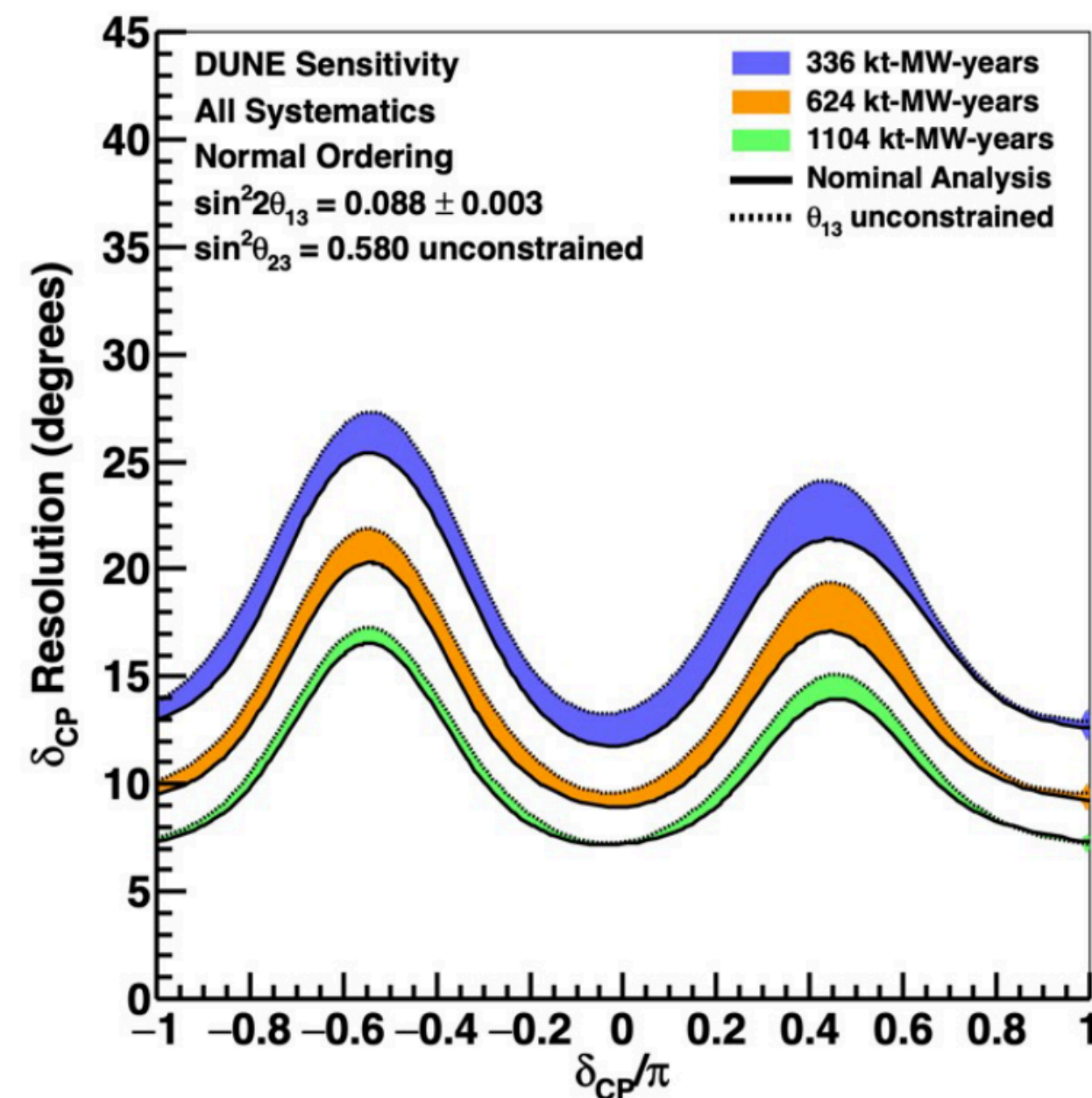
DEEP UNDERGROUND
NEUTRINO EXPERIMENT

- DUNE Physics goals
 - ▶ LBL neutrino oscillations
 - ▶ Beyond Standard Model searches
- Detection of low energy events with LAr TPCs
- Astrophysical neutrinos
 - ▶ Solar neutrinos
 - ▶ Supernova neutrinos
 - ▶ Diffuse Supernova Neutrino Background
- Main detector challenges
- Other low-energy neutrino physics

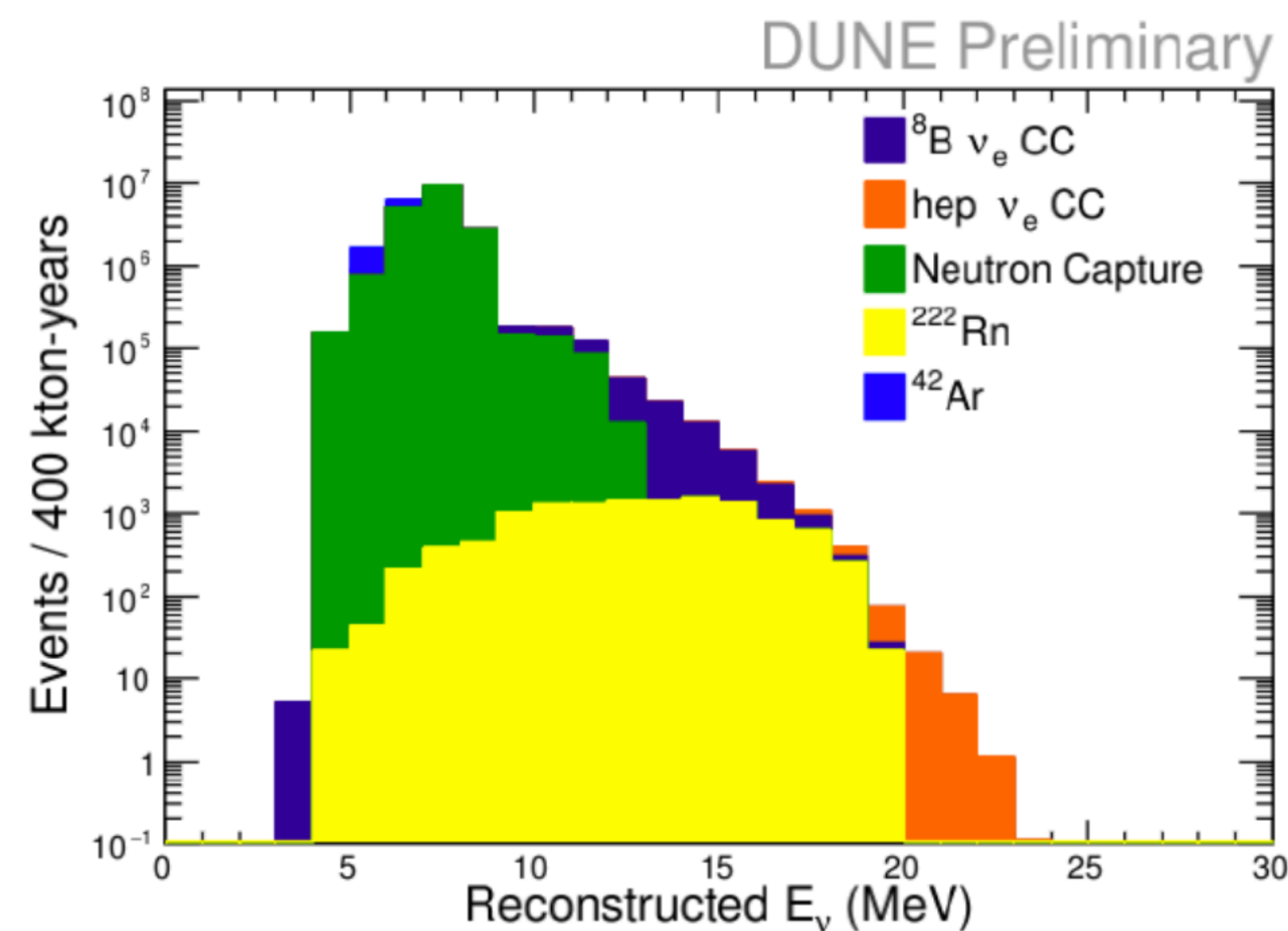
DUNE physics goals

- DUNE has a broad and compelling physics program: long-baseline neutrino oscillations, low-energy neutrino physics, and BSM searches

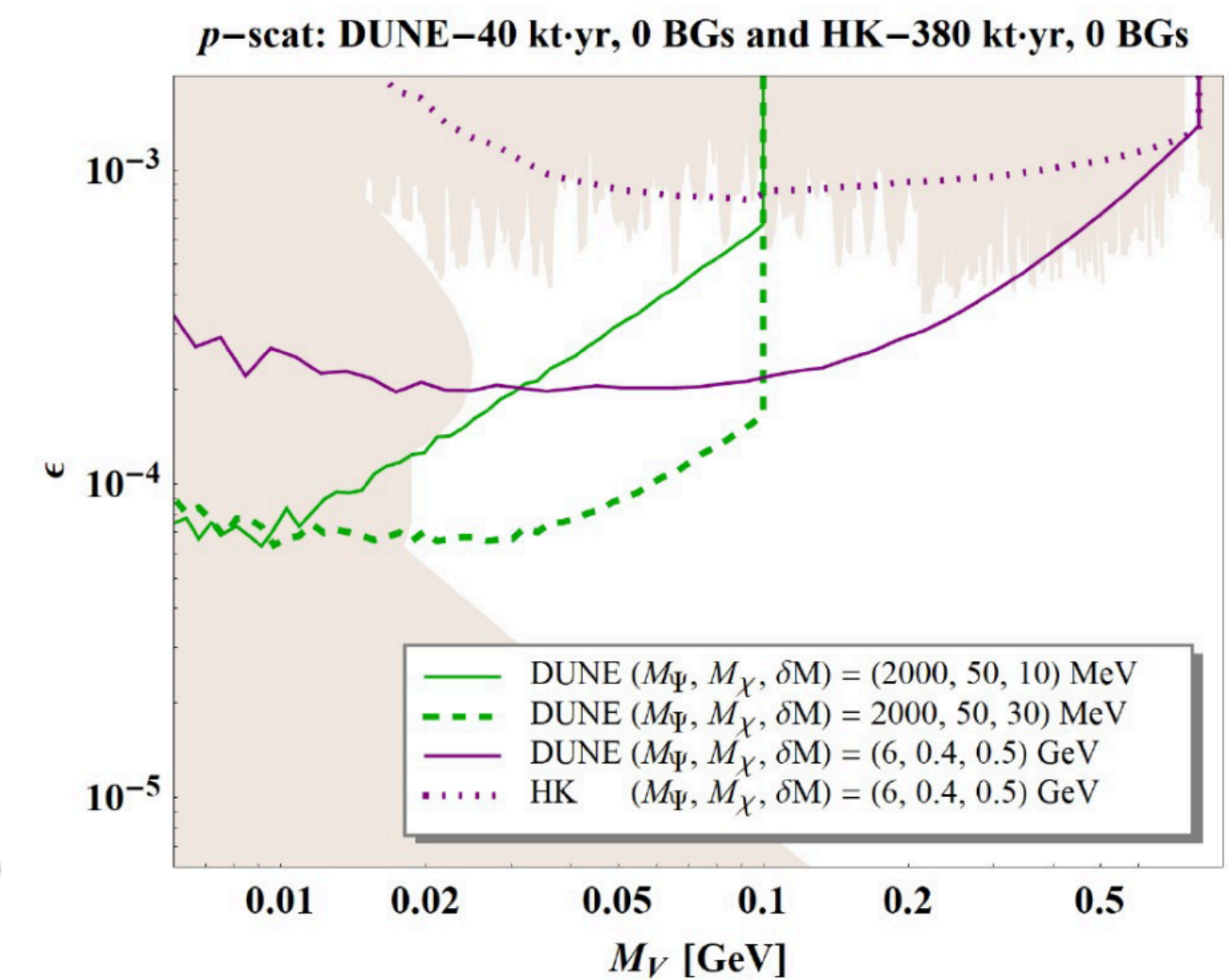
δ_{CP} resolution



Solar neutrinos



Inelastic BDM



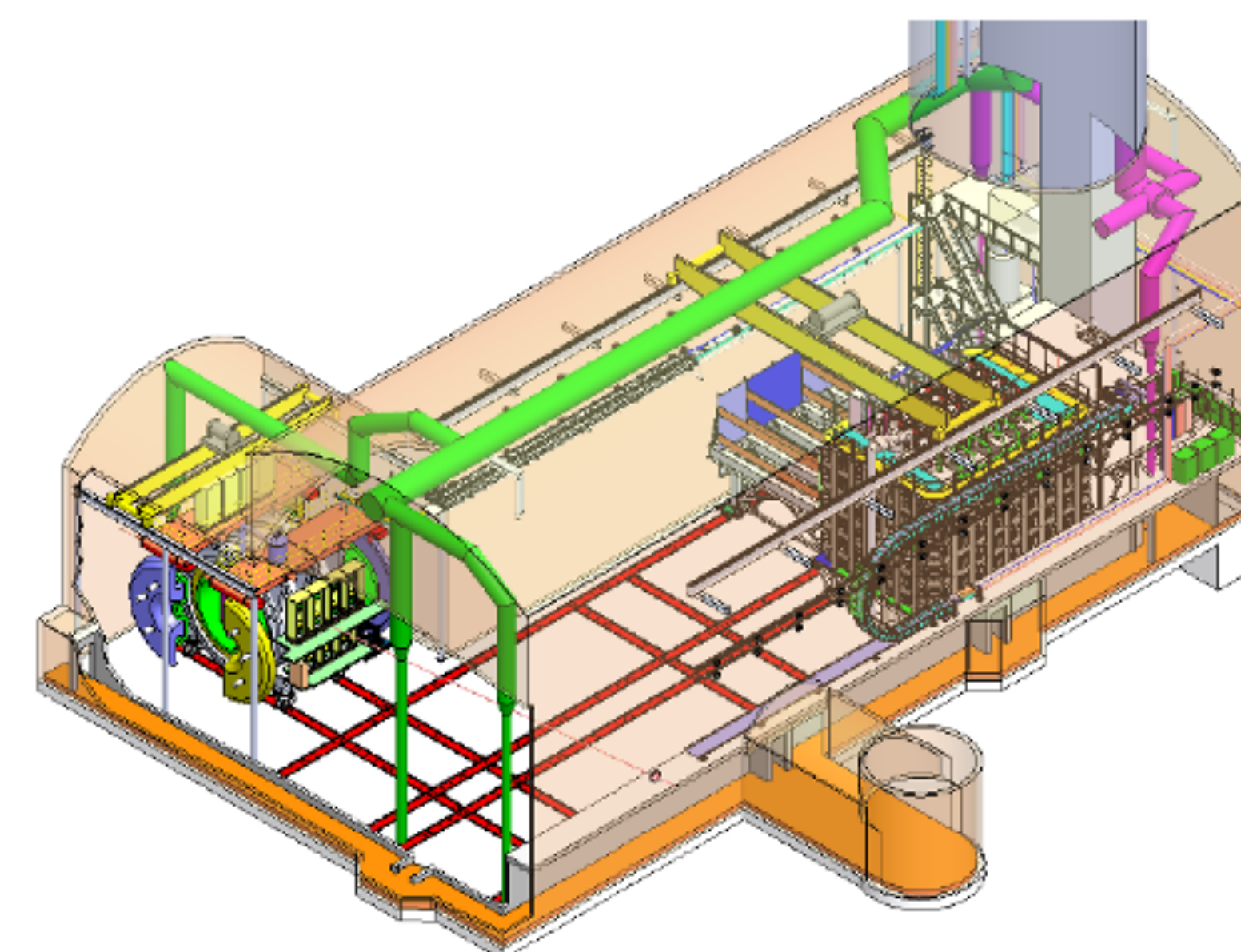
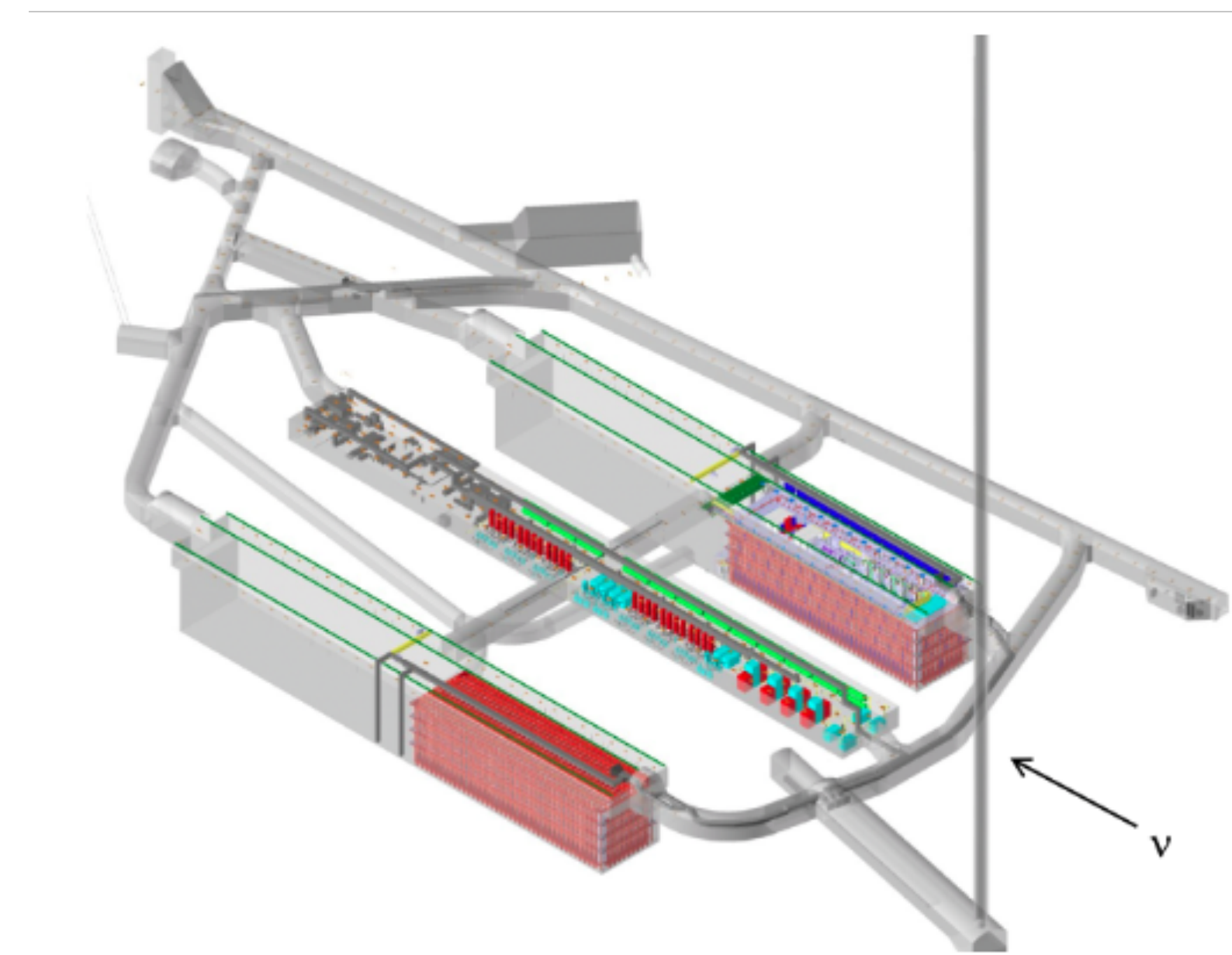
- **DUNE Phase I:**

- ▶ Full near + far site facility and infrastructure
- ▶ Upgradeable 1.2 MW beam
- ▶ Two 17 kt LArTPC modules
- ▶ Movable LArTPC near detector with muon catcher
- ▶ On-axis near detector

- **DUNE Phase II:**

**CRITICAL TO ACHIEVE
DUNE PHYSICS GOALS**

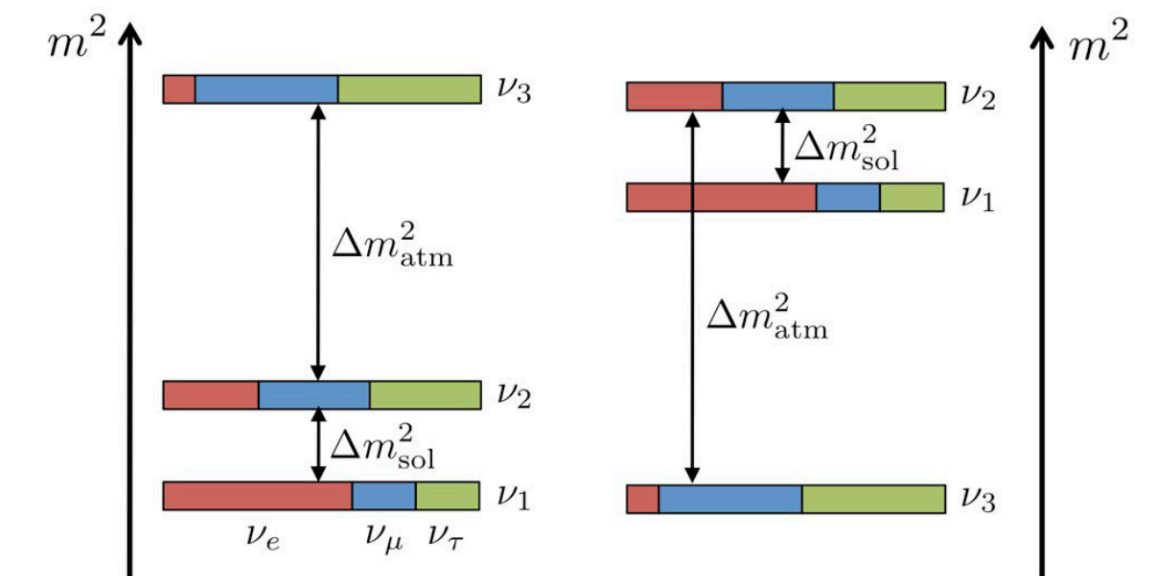
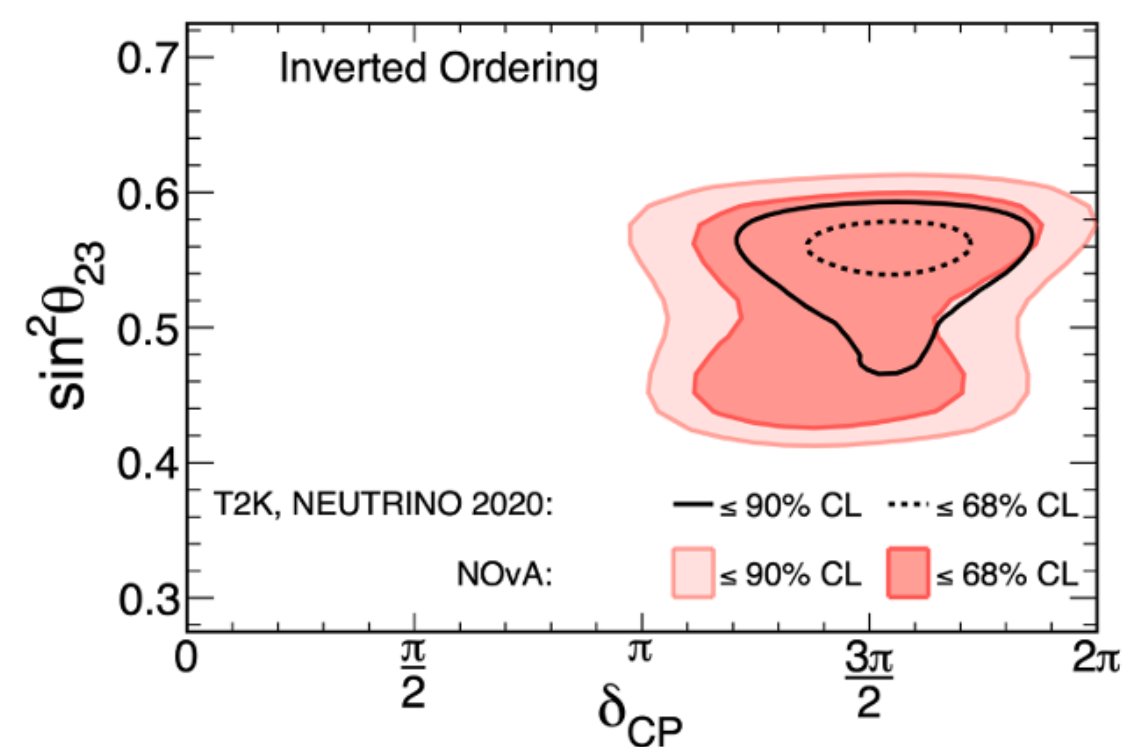
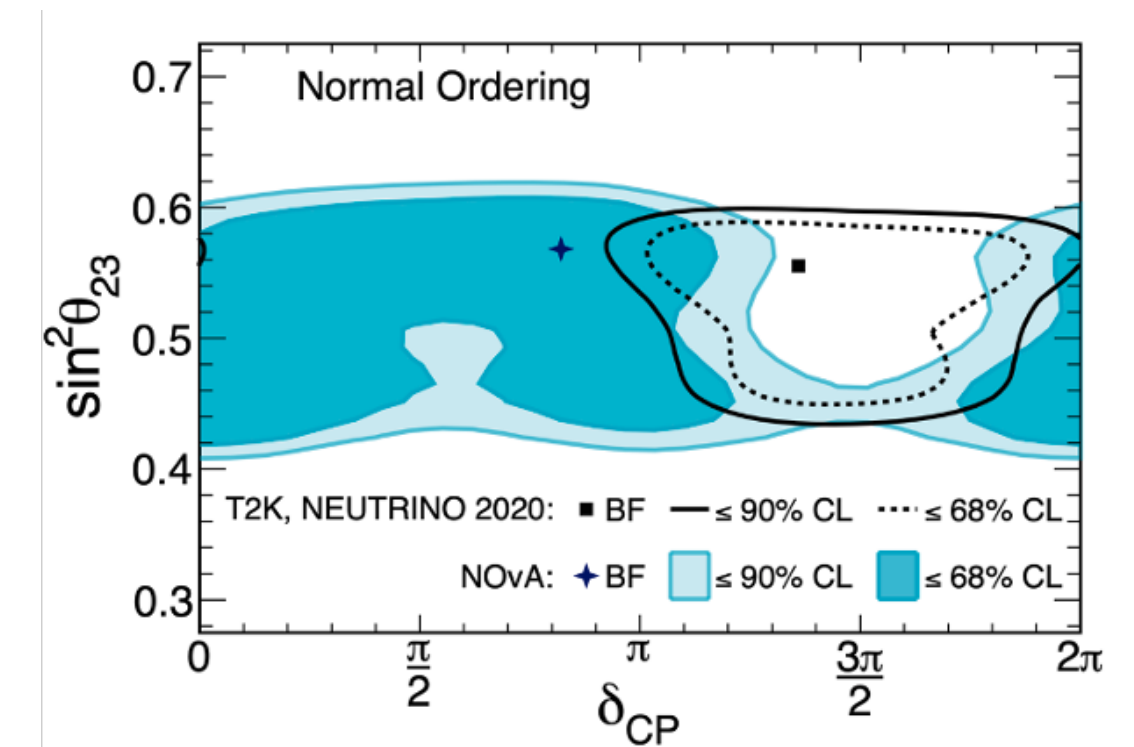
- ▶ Two additional FD modules (≥ 40 kt fiducial in total)
- ▶ Beam upgrade to >2 MW
- ▶ More capable Near Detector



LBL neutrino oscillation physics goals

- Measure neutrino mixing:
 - ▶ Is there CP violation? How large is it?
 - ▶ Are there symmetries? Is $U_{\mu 3} = U_{\tau 3}$?
 - ▶ Is the PMNS matrix unitary?
 - ▶ What is Δm^2_{32} ? Is it positive or negative?
- Search for new physics: Is this three-flavor picture complete?
- We really do not know the mass ordering or δ_{CP} → we need definitive experiments

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \underbrace{\begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix}}_{U_{\text{PMNS}}} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

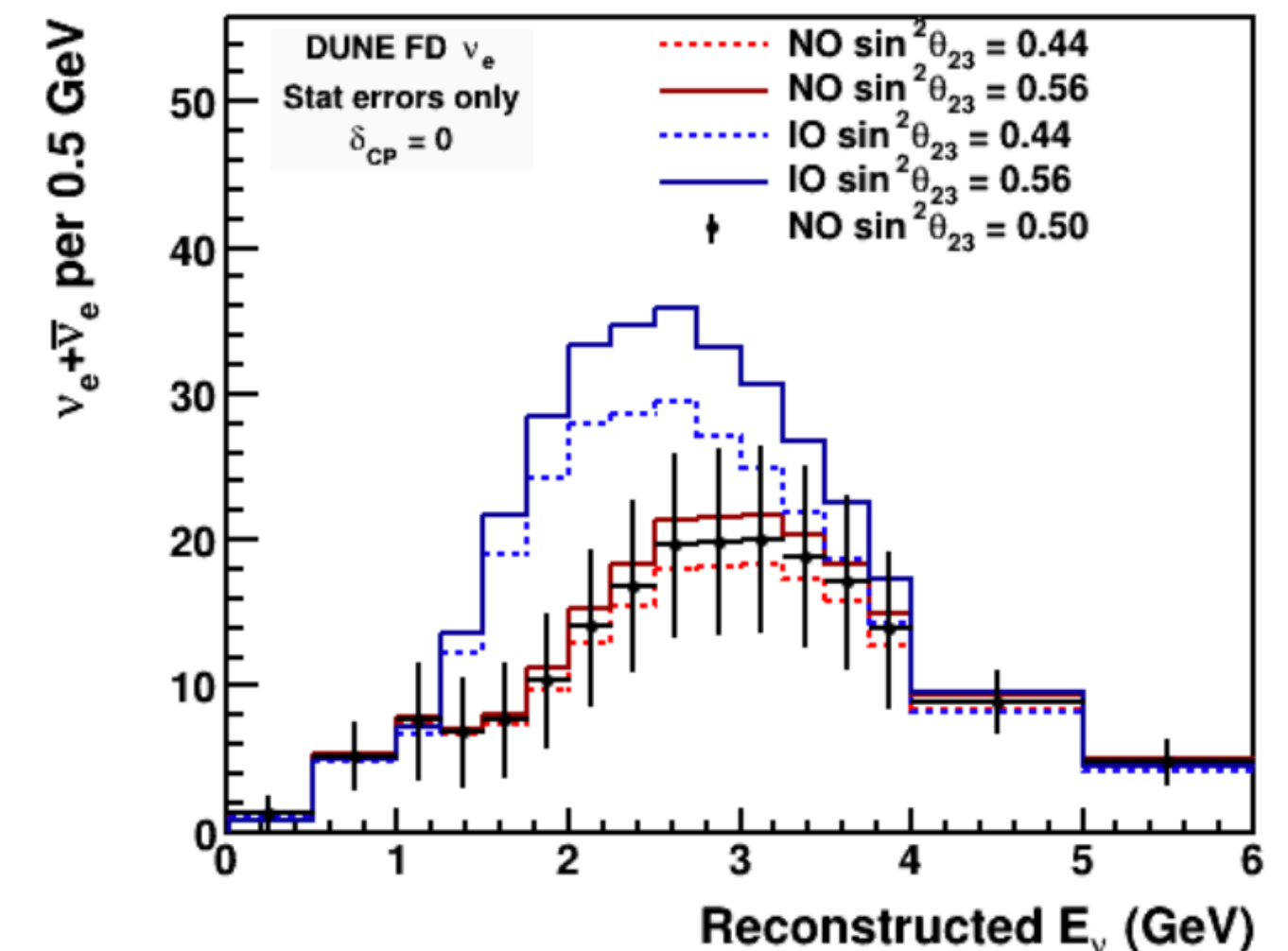
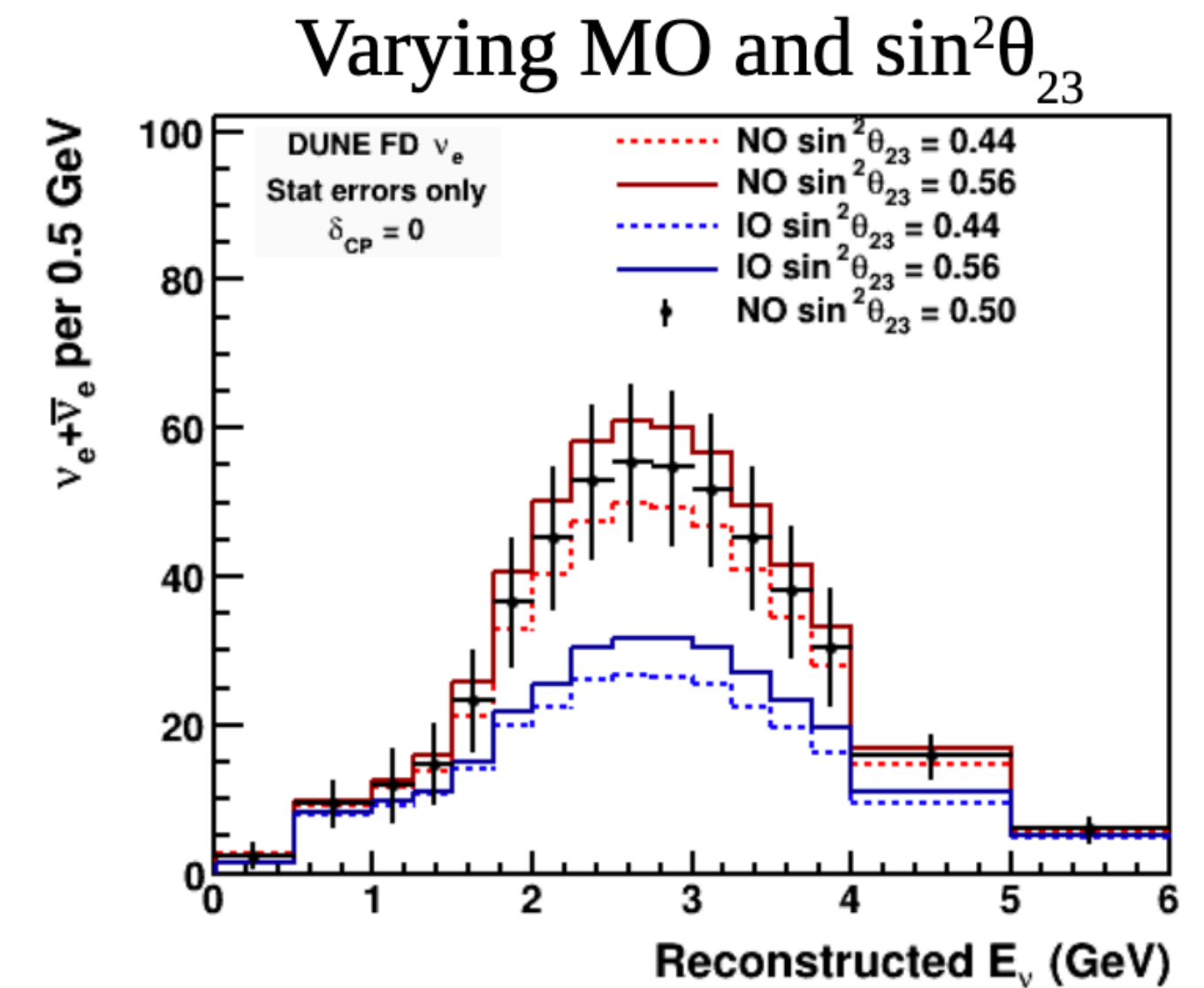
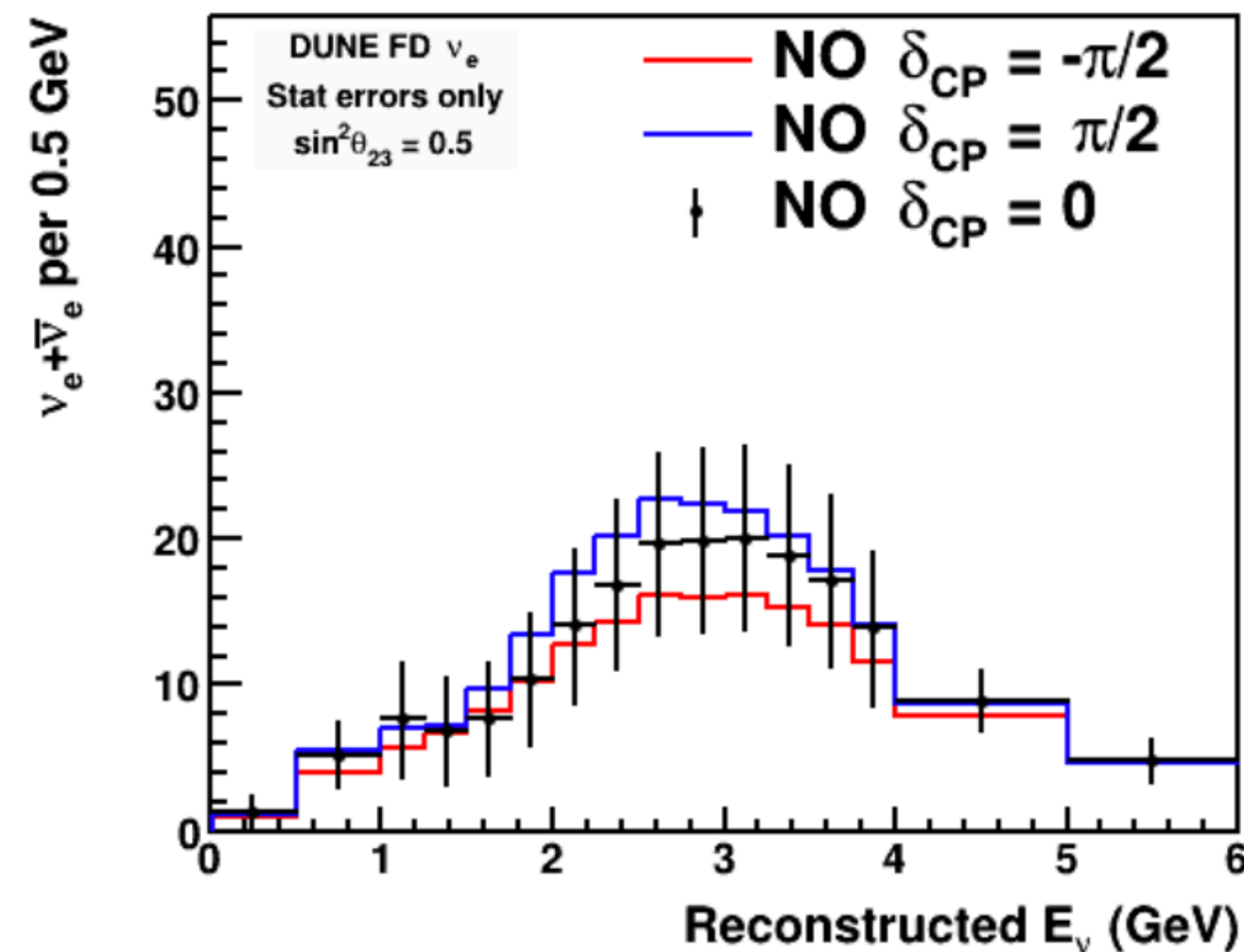
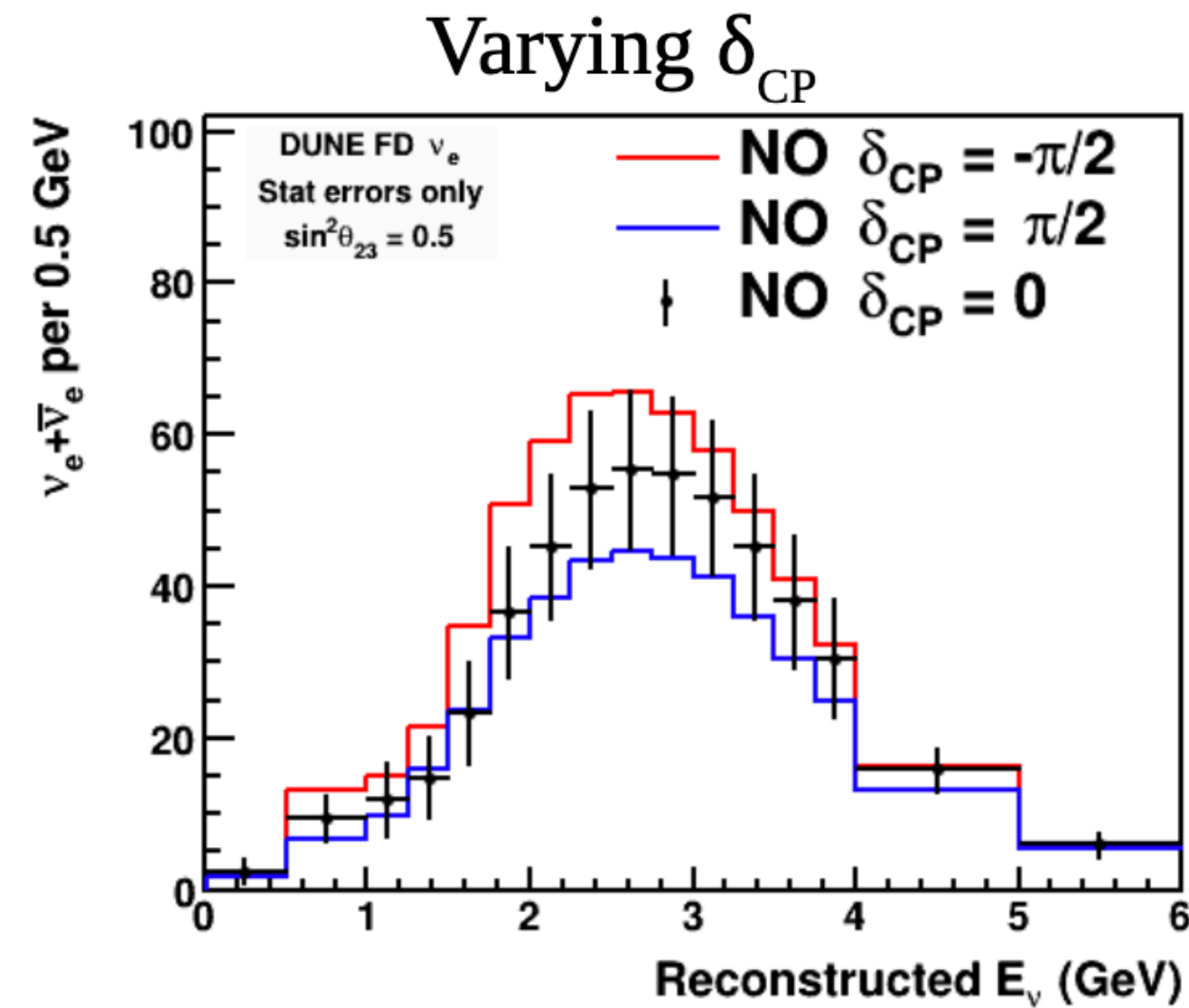


DUNE can distinguish MO in Phase I

Data points show NO, $\delta_{CP} = 0$, $\sin^2\theta_{23} = 0.5$

Neutrino mode

Antineutrino mode

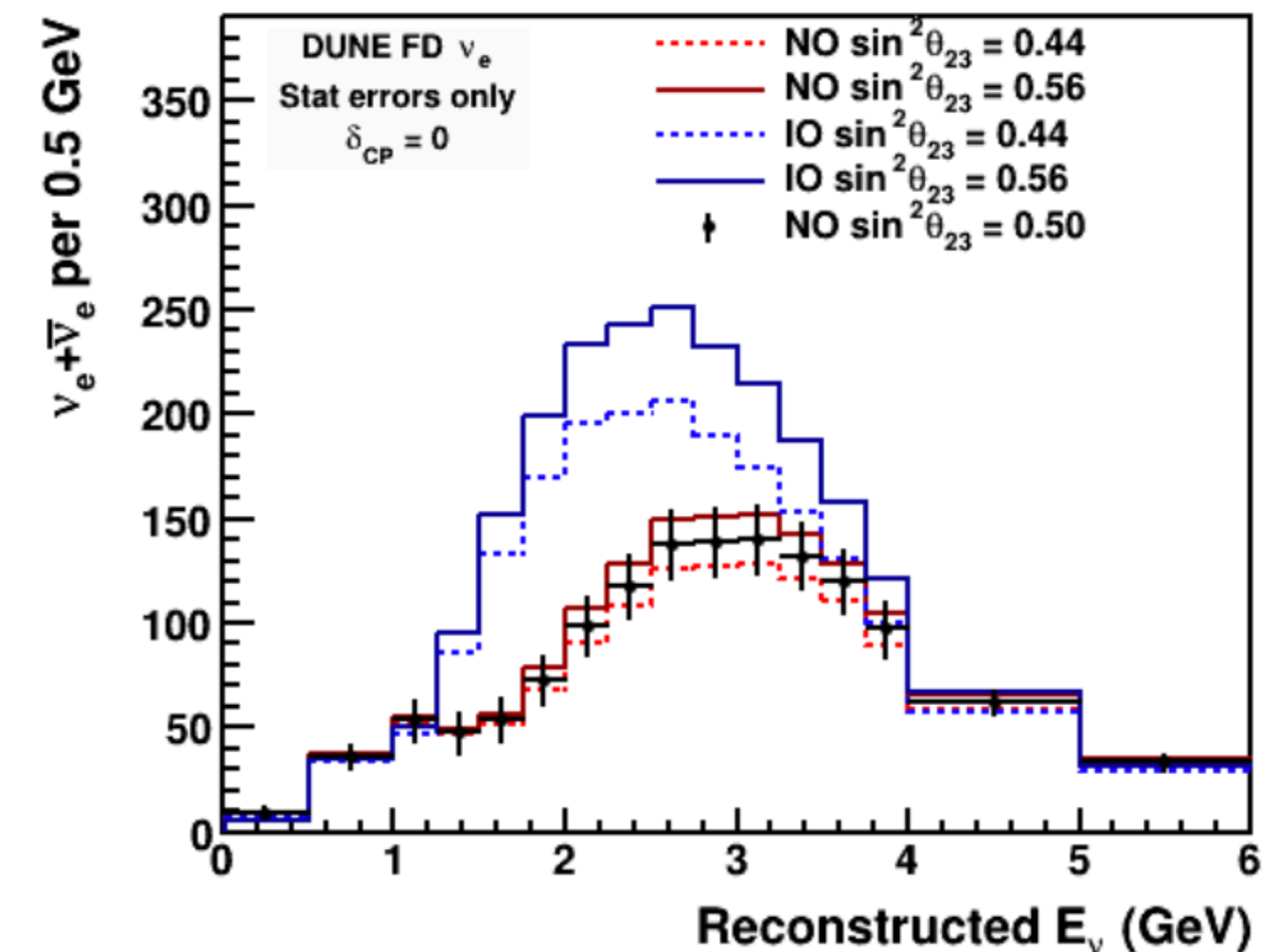
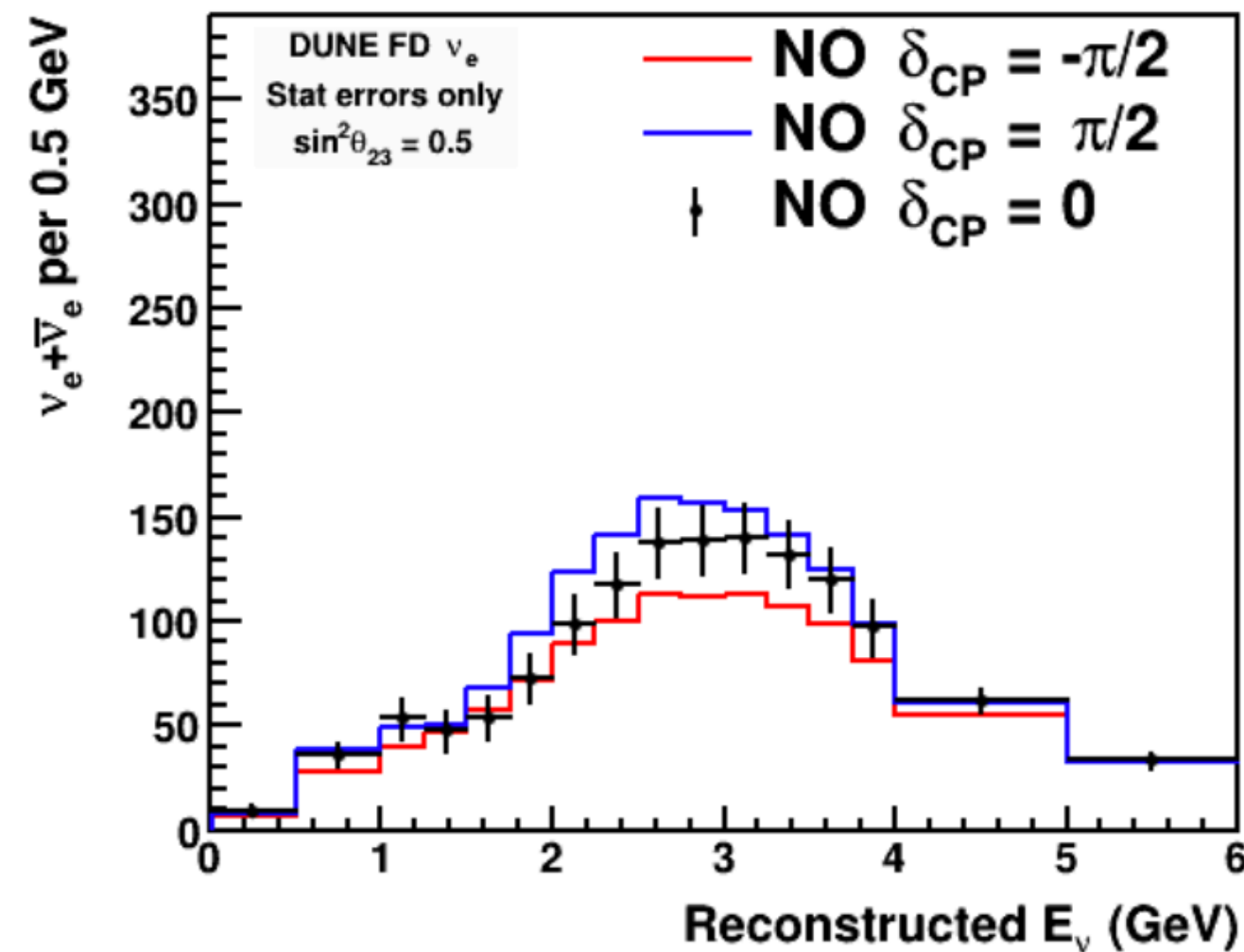
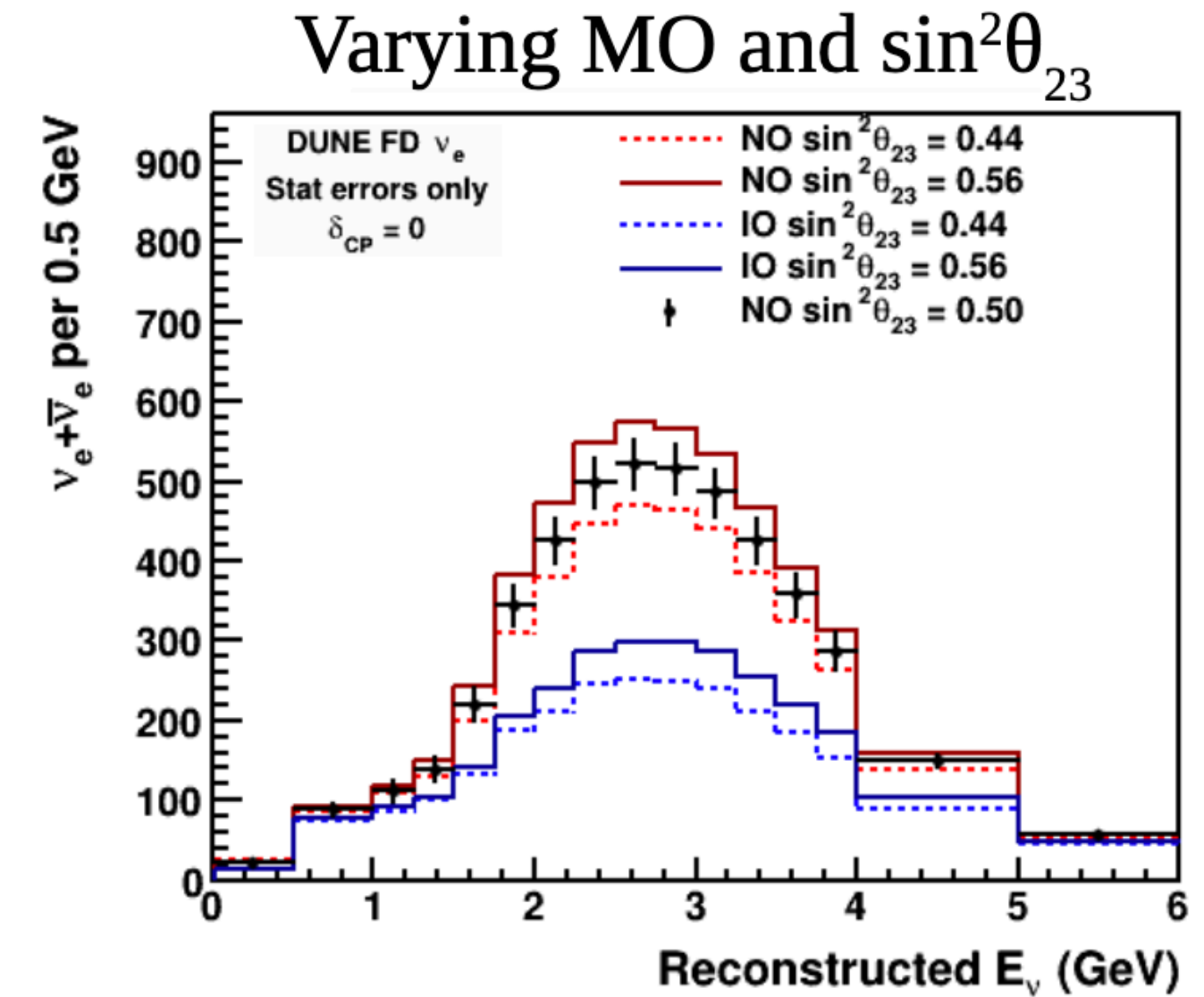
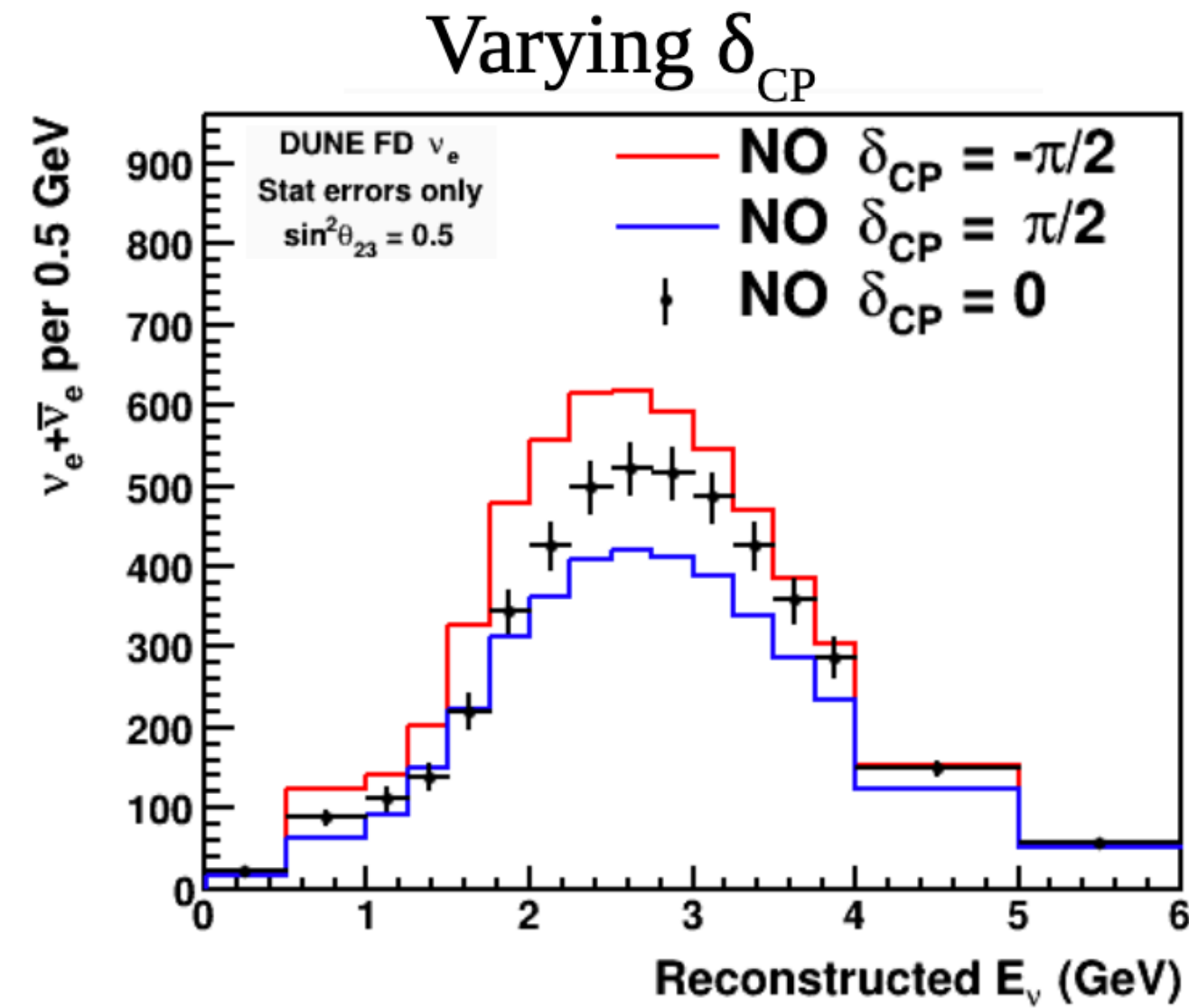


DUNE can measure δ_{CP} , θ_{13} octant in Phase II

Data points show NO, $\delta_{CP} = 0$, $\sin^2\theta_{23} = 0.5$

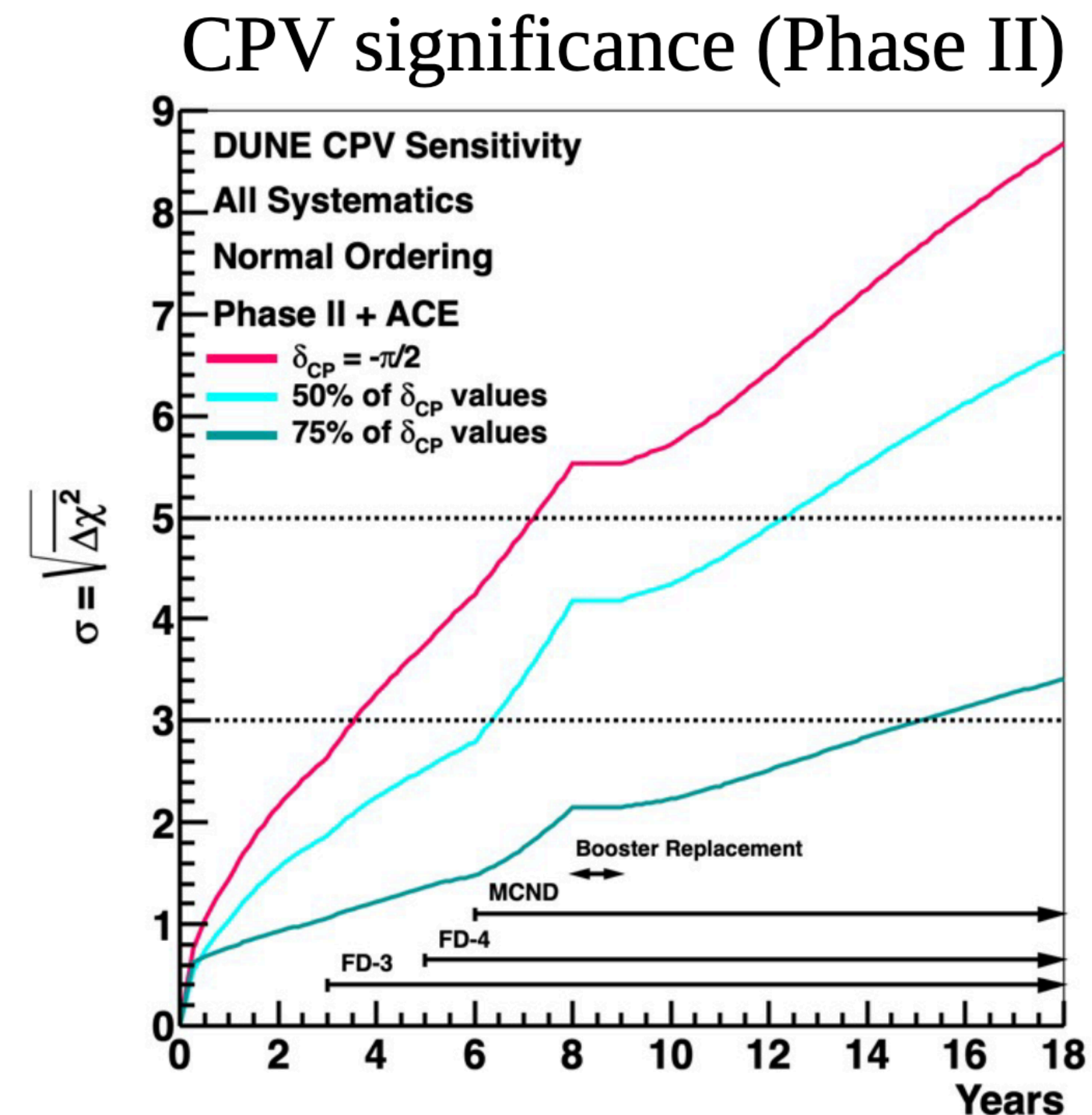
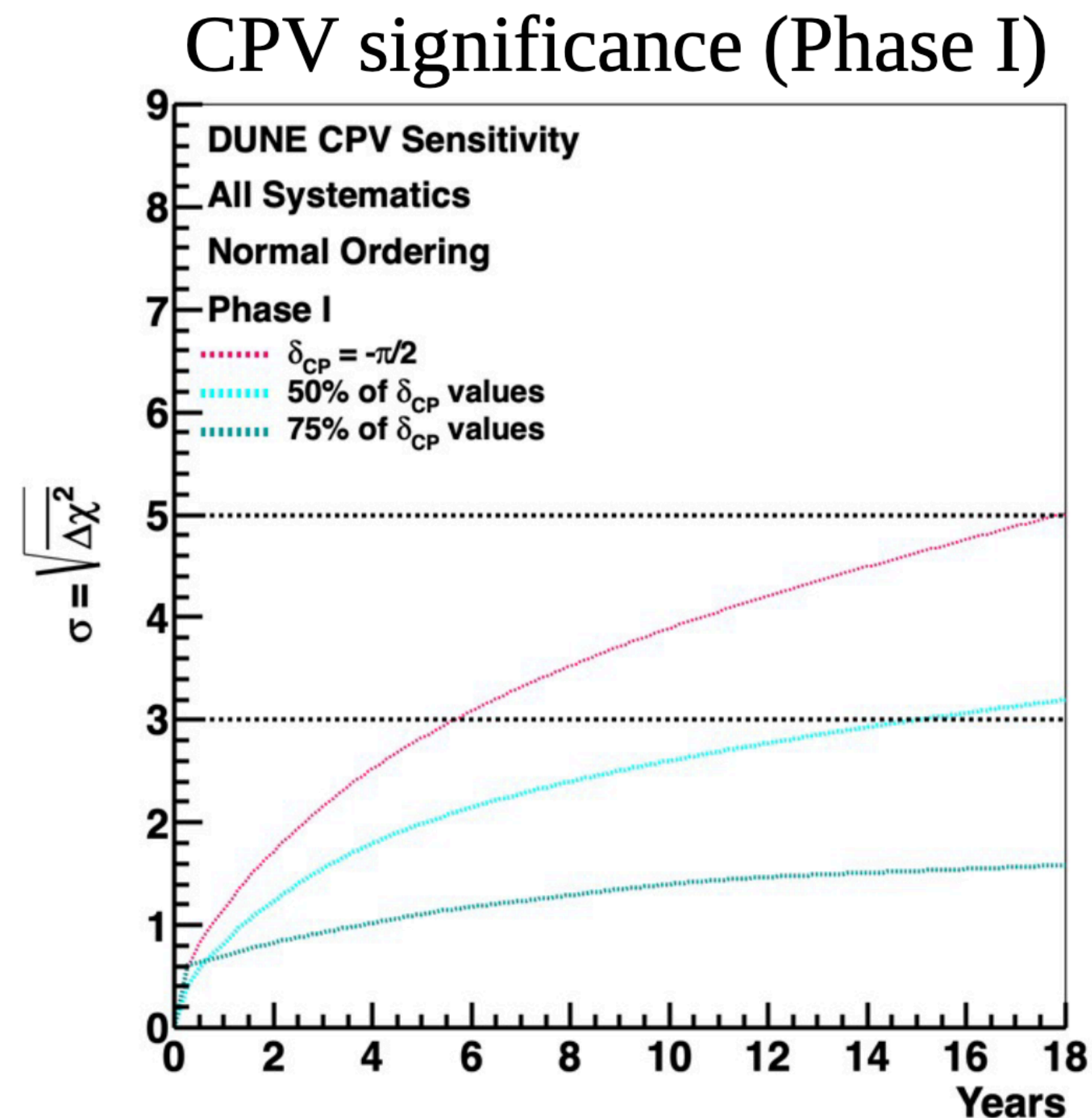
Neutrino mode

Antineutrino mode



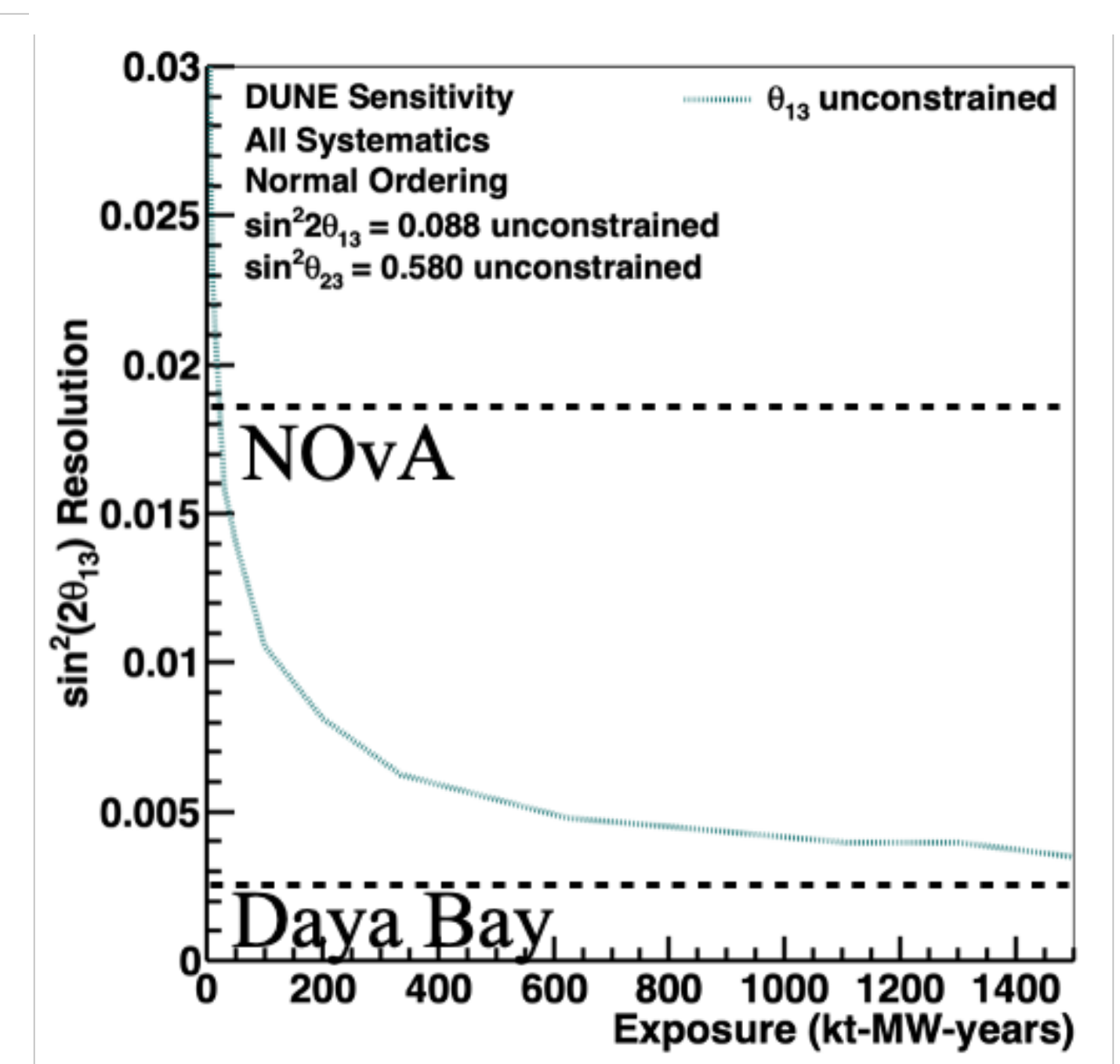
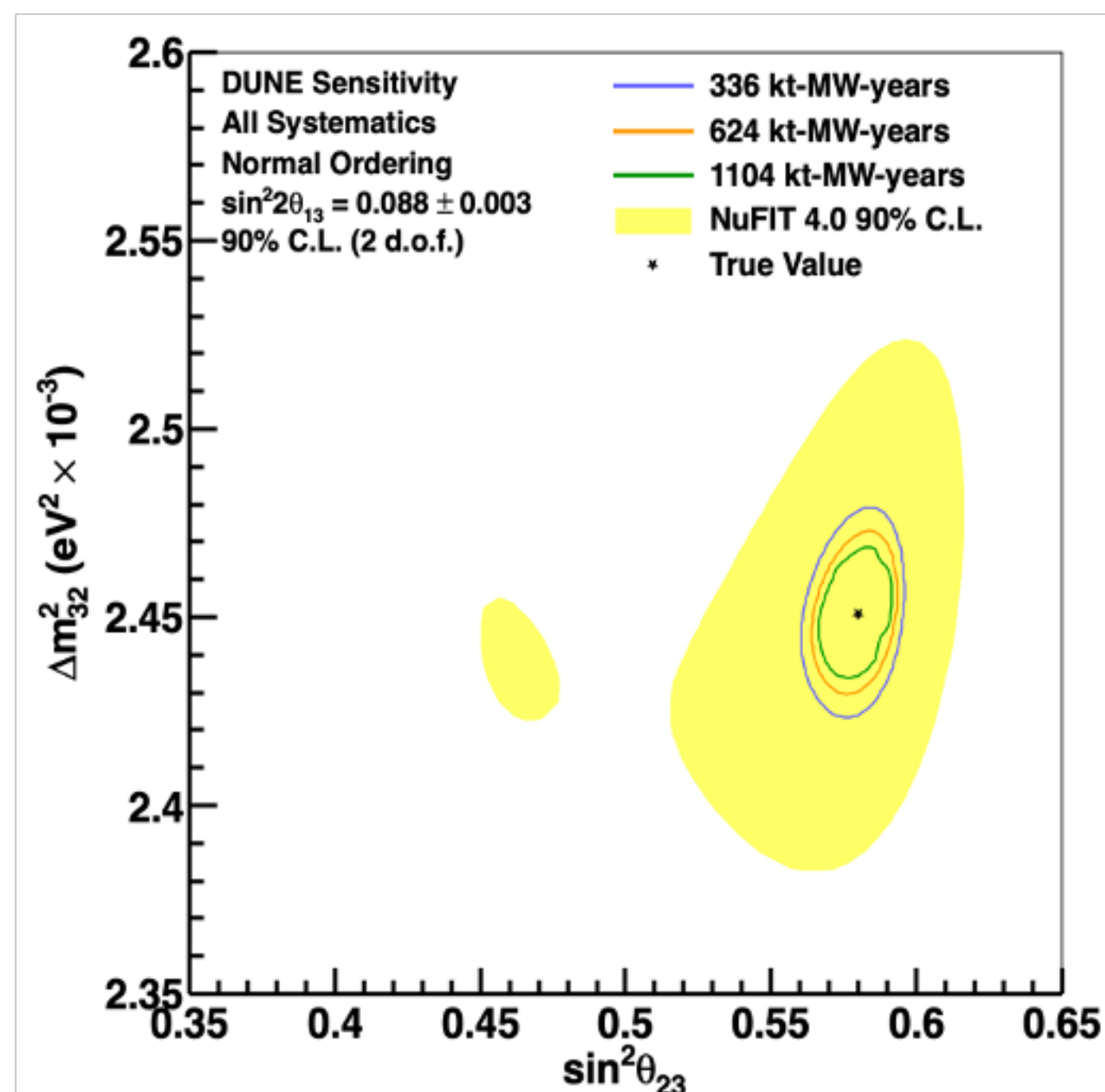
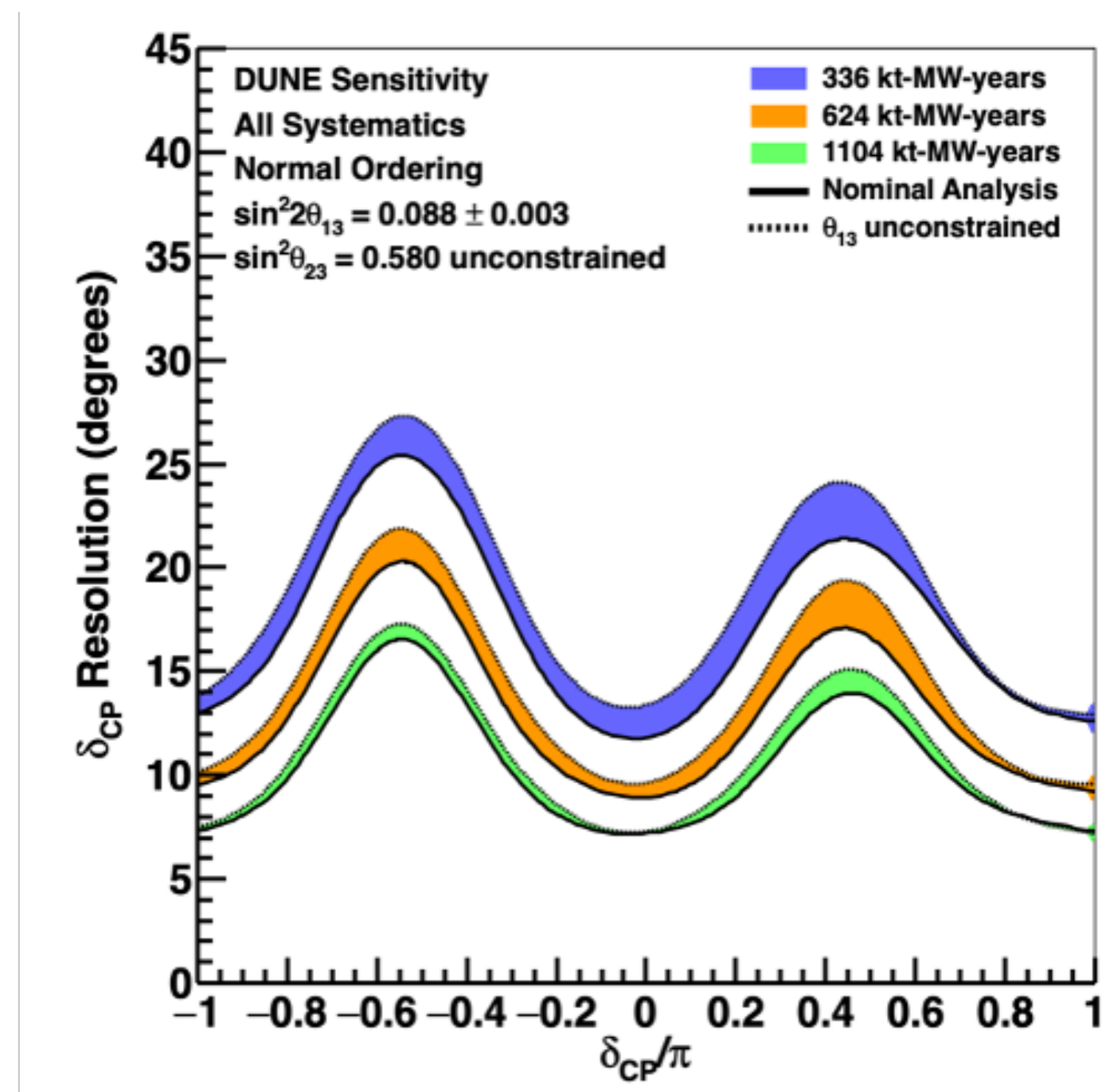
LBL neutrino oscillation physics goals

- **DUNE Phase II is required** to achieve the physics goals: beam upgrade to >2 MW, additional FD mass to >40 kt, near detector upgrade



DUNE Phase II precised osc. measurements

- Resolution to δ_{CP} is $\sim 6-16^\circ$ depending on true value, and sensitivity to CPV even if Nature is relatively unkind
- Excellent resolution to θ_{23} , including octant discovery potential
- Resolution to θ_{13} approaches Daya Bay \rightarrow DUNE-reactor comparison is sensitive to new physics

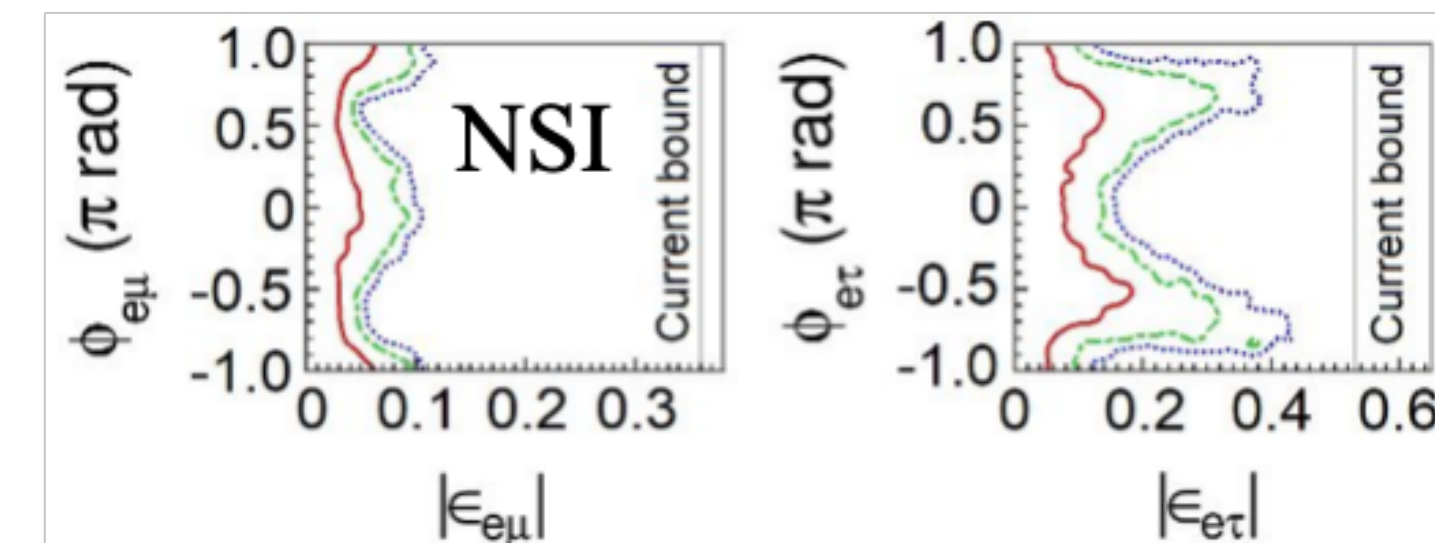
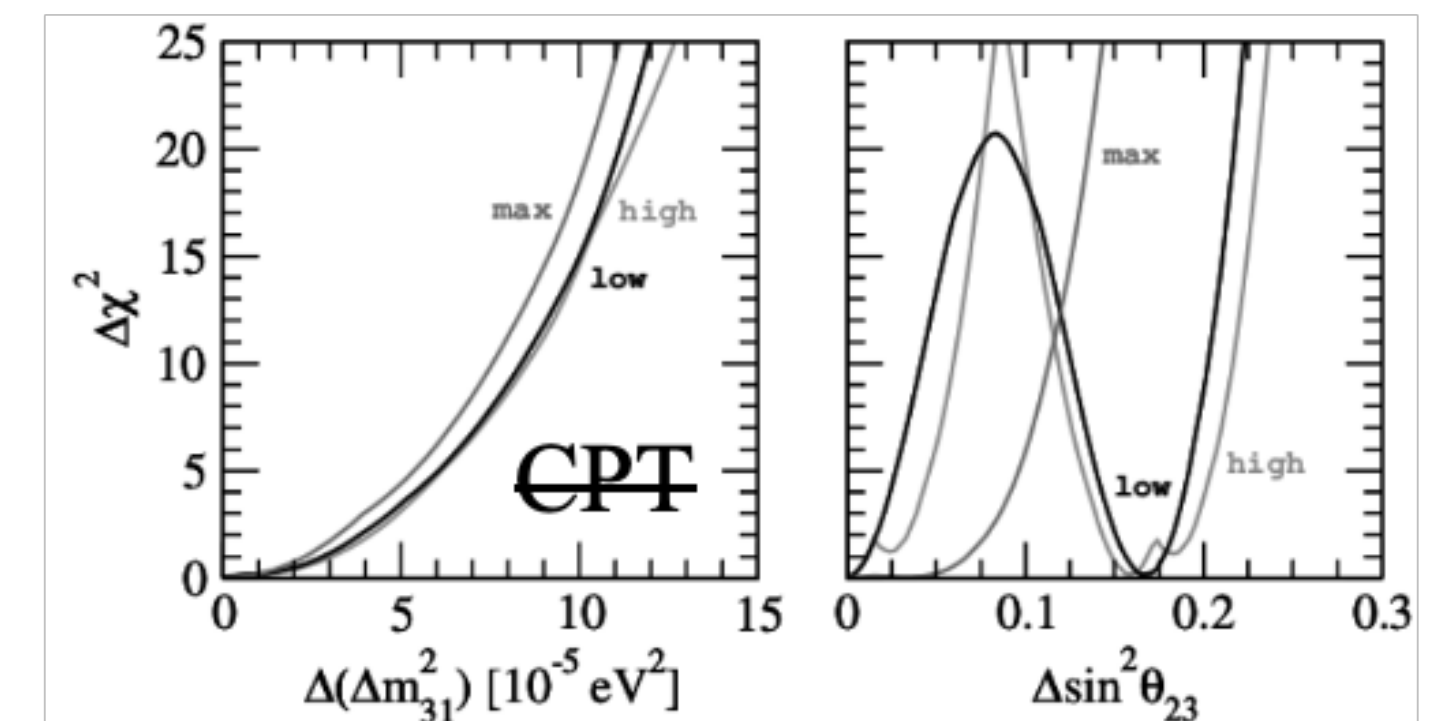
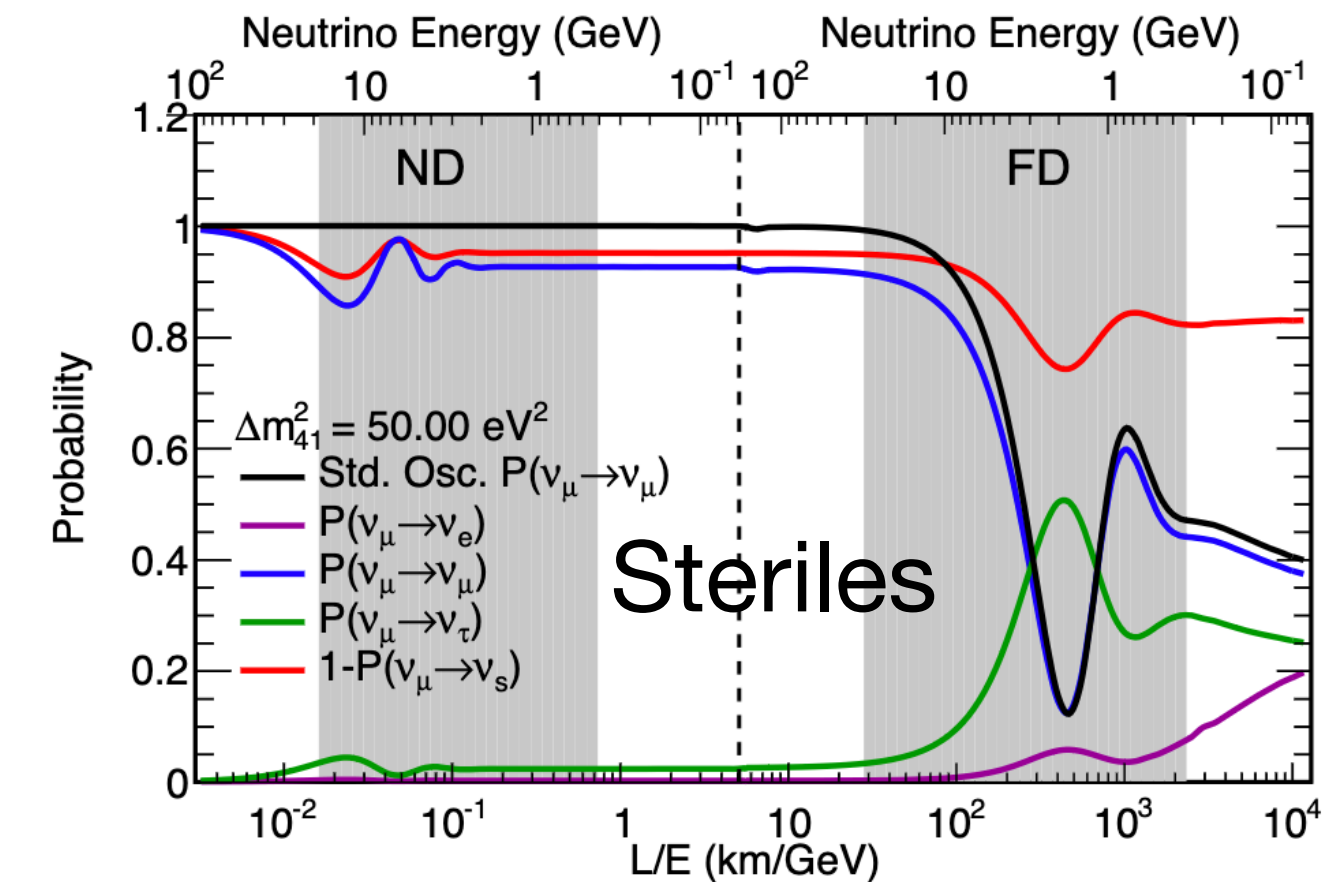


Search for BSM physics (not ordered)

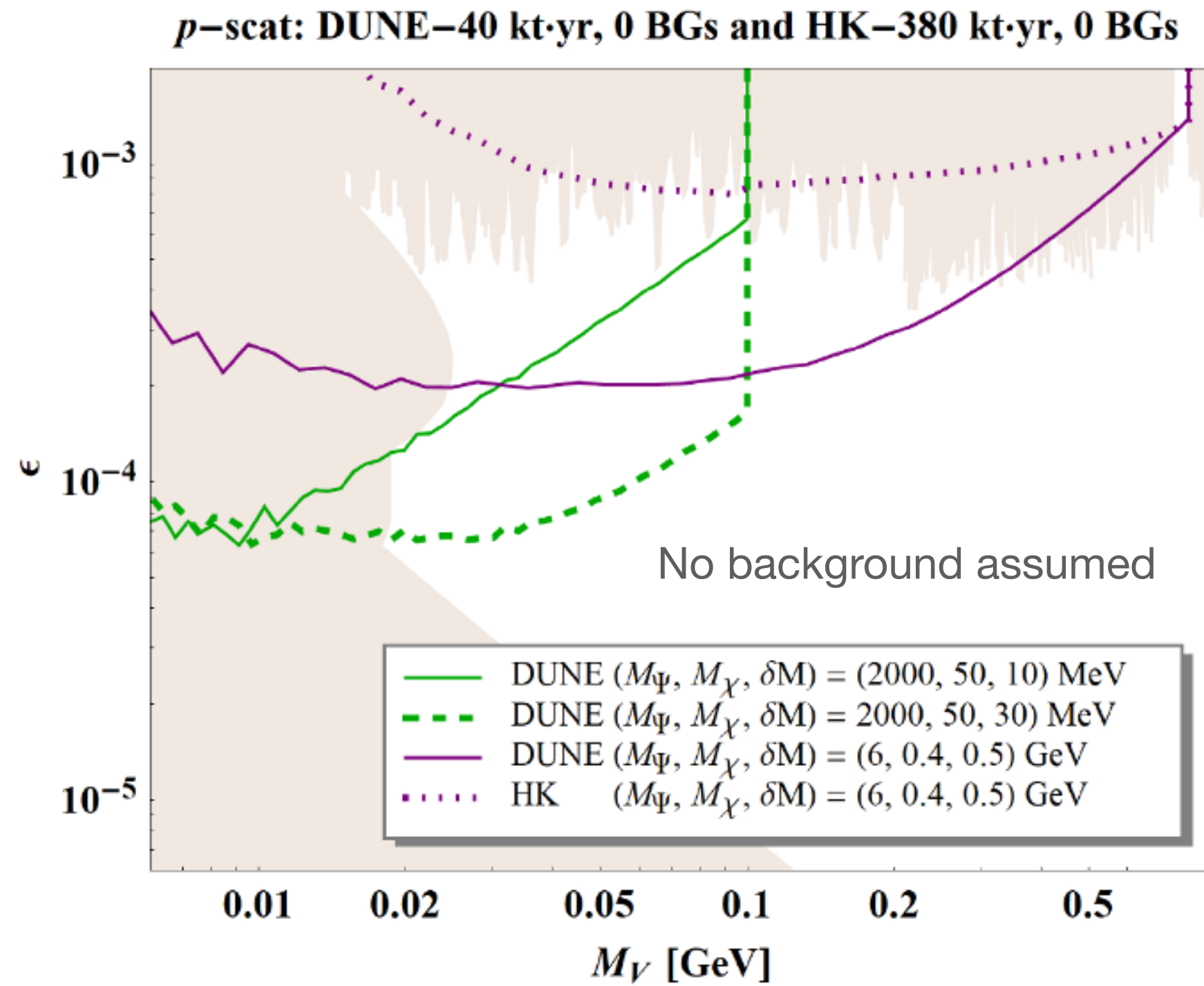
- Neutrino magnetic moment (ND + FD) - signal discrimination, backgrounds...
- Sterile neutrinos (ND + FD)
- Large-extra dimensions (FD)
- Neutrino tridents (ND)
- Baryon number violating processes (FD) - backgrounds...
- Dark matter/axions (ND + FD) - spatial resolution, backgrounds...
- Millicharged particles (ND)
- Tau neutrinos (FD)
- ...

New physics in neutrino oscillations

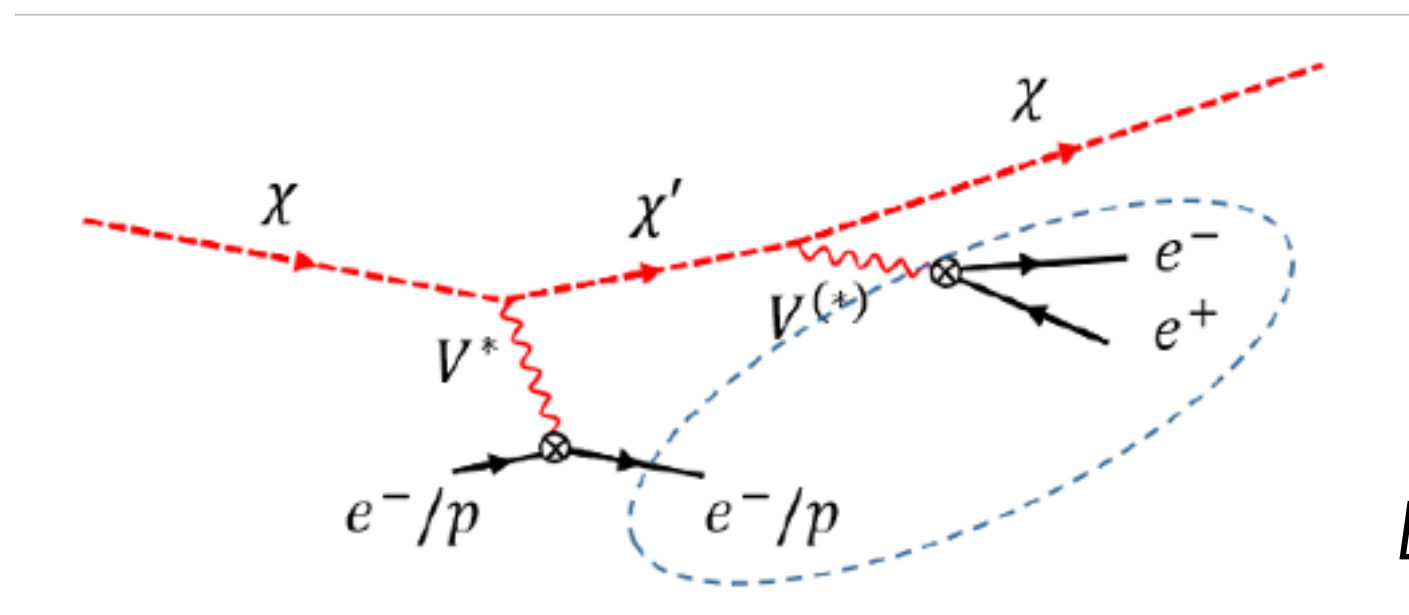
- If ν and $\bar{\nu}$ spectra are inconsistent with three-flavor oscillations, it could be due to sterile neutrinos, CPT violation, NSI...
- DUNE covers a very broad range of L/E at both the ND and FD
- DUNE can measure parameters like Δm^2_{32} with neutrinos and with antineutrinos
- DUNE has unique sensitivity to NSI matter effects due to long baseline
- Characterizing new physics will be challenging: **precise measurements** with large matter effect in DUNE Phase II likely required



BSM physics in the FD (a few examples)



- DUNE's **imaging** and **spatial resolution** are critical for some signals
 - **Inelastic dark matter scattering** gives a signature of two low-energy electron tracks, and a detached low-energy electron or proton
- DUNE can see all of these tracks, and the displacement → world leading sensitivity at low mass already in Phase I



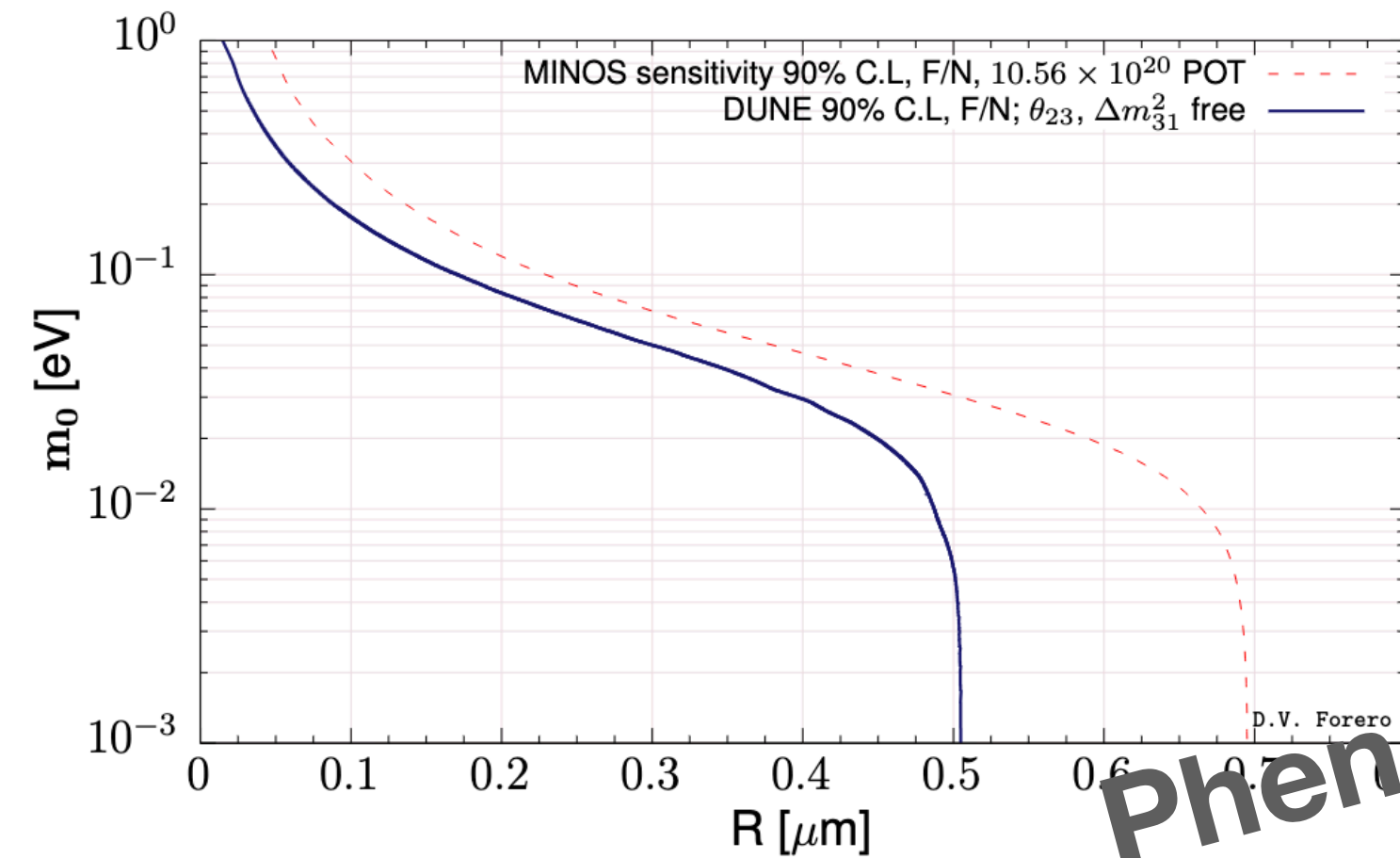
DUNE, *Eur. Phys. J. C* 81 (2021) 4, 322

BSM physics in the FD (a few examples)

Constraining the size of large extra-dimensions

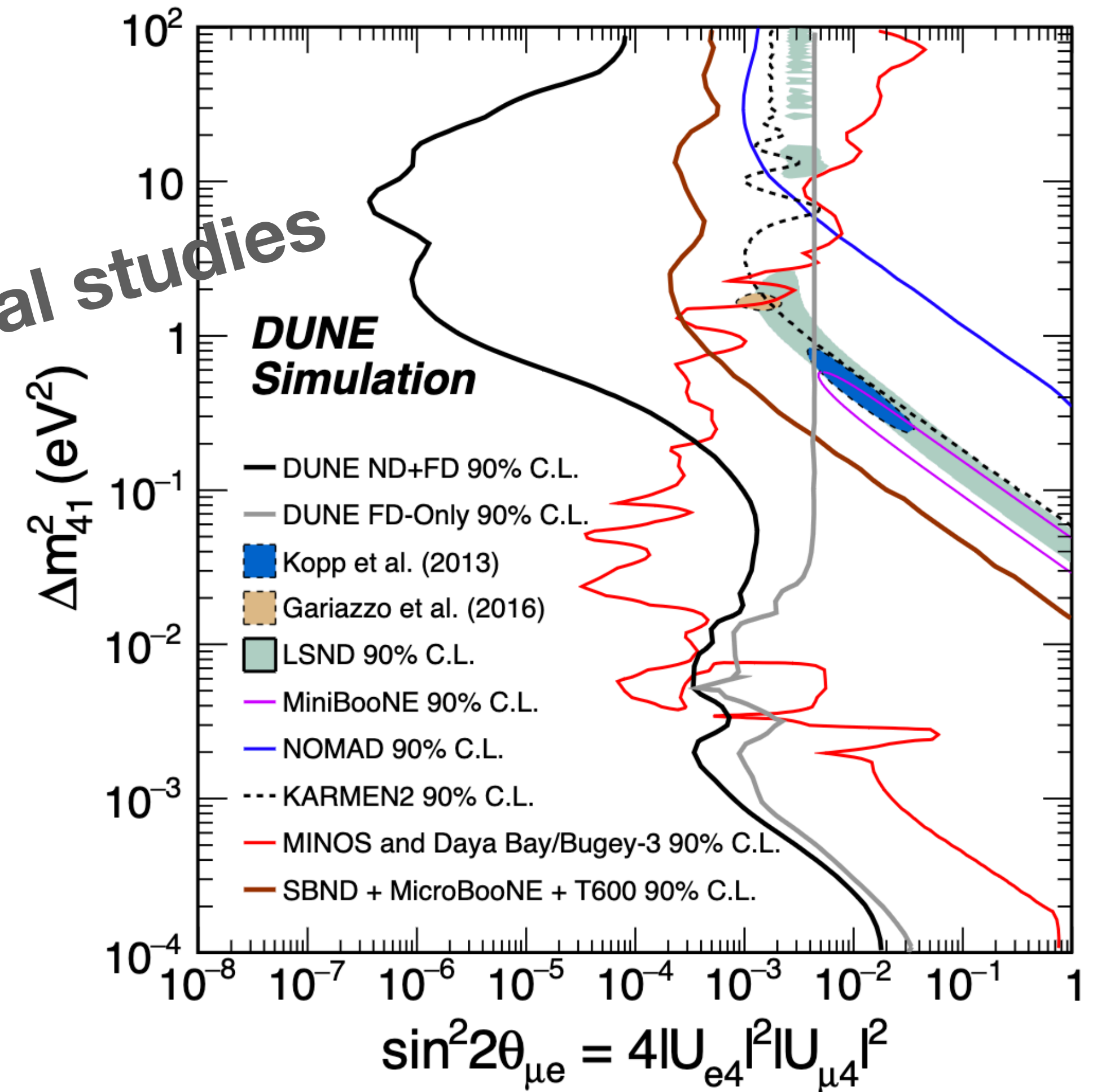
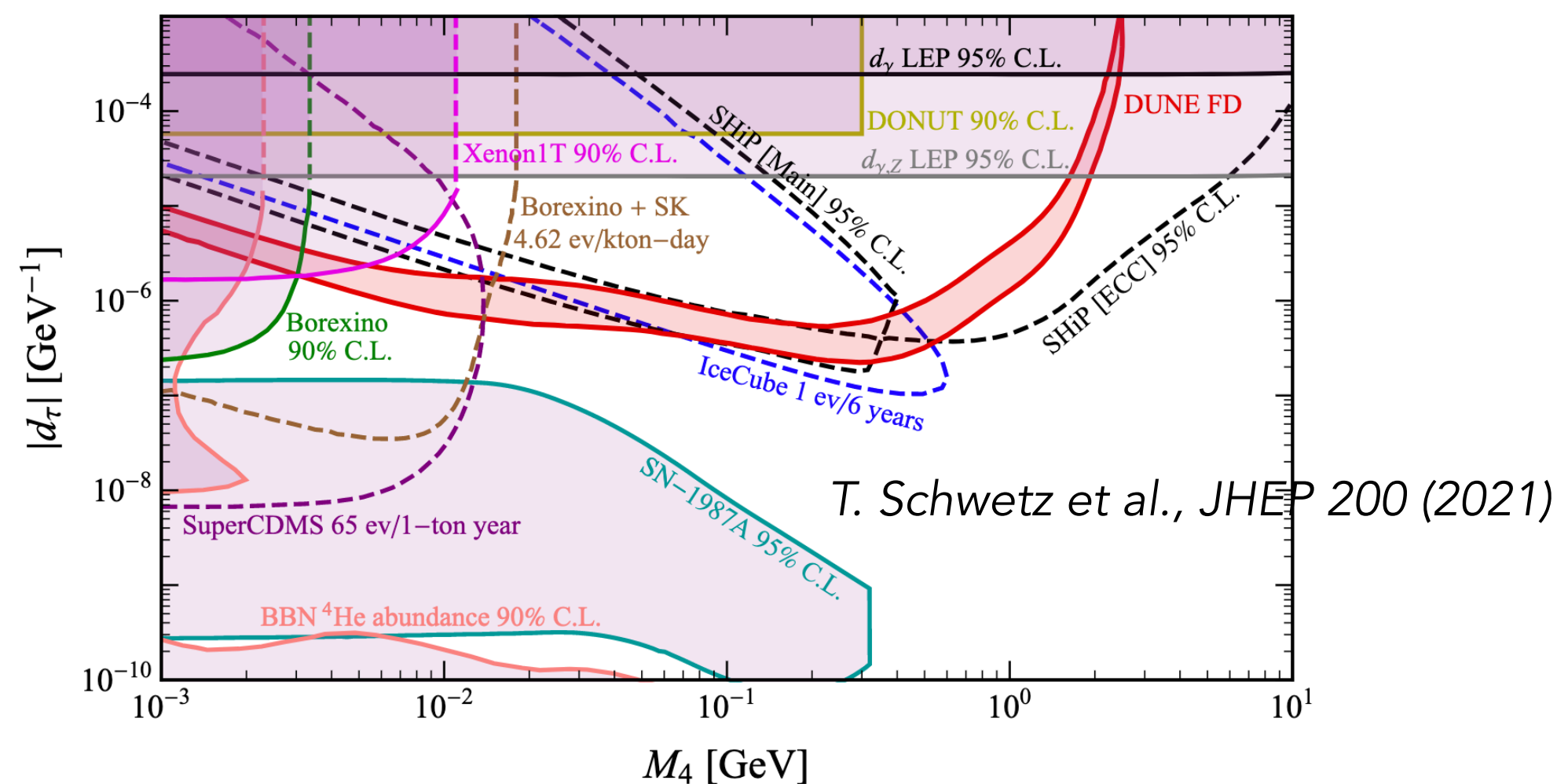
DUNE, Eur. Phys. J. C 81 (2021) 4, 322

Sterile neutrino mixing



Phenomenological studies

Constraining active-sterile neutrino transition magnetic moments



Astrophysical neutrinos - physics program

Expand neutrino physics capabilities at low-energy (\sim MeV) range in LAr TPCs

- **Solar neutrinos:**

- ▶ Improve the precision on the solar neutrino oscillation parameters (solar-reactor tension?)
- ▶ First observation of the hep solar neutrino flux

- **Supernova neutrinos:**

- ▶ First measurement of SN burst neutrinos in all flavors in real time
- ▶ Neutrino oscillations
- ▶ Information about star collapse mechanism

A possibility??

- **Diffuse Supernova Neutrino Background**

- ▶ First measurement of the neutrino flux from old supernovae in the Universe

Low energy neutrino signal in LAr

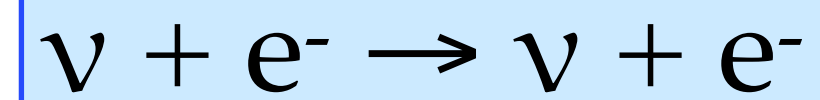
I. Gil-Botella & A. Rubbia

hep-ph/0307222

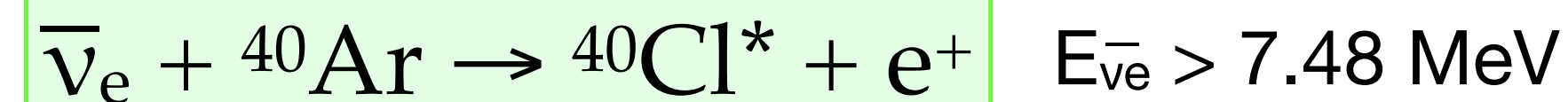
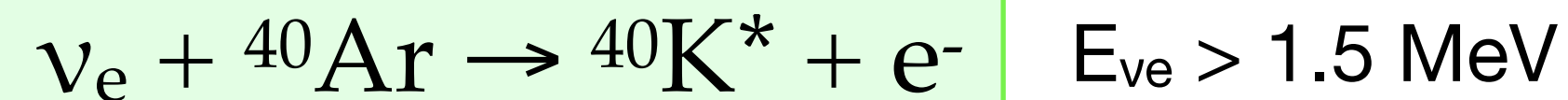
JCAP 10 (2003) 009

JCAP 08 (2004) 001

- Elastic scattering (ES) on electrons



- Charged-current (CC) interactions on Ar

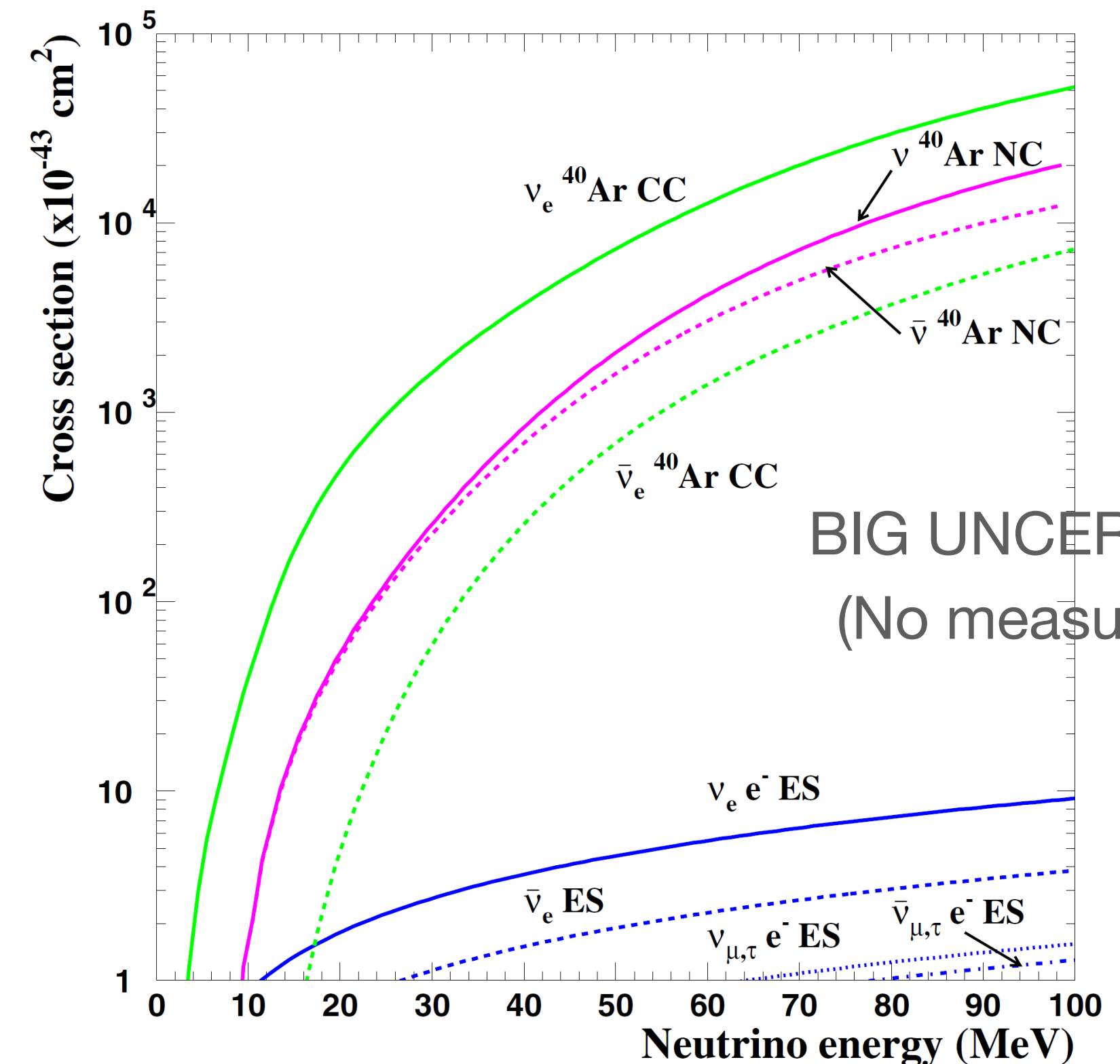


- Neutral current (NC) interactions on Ar

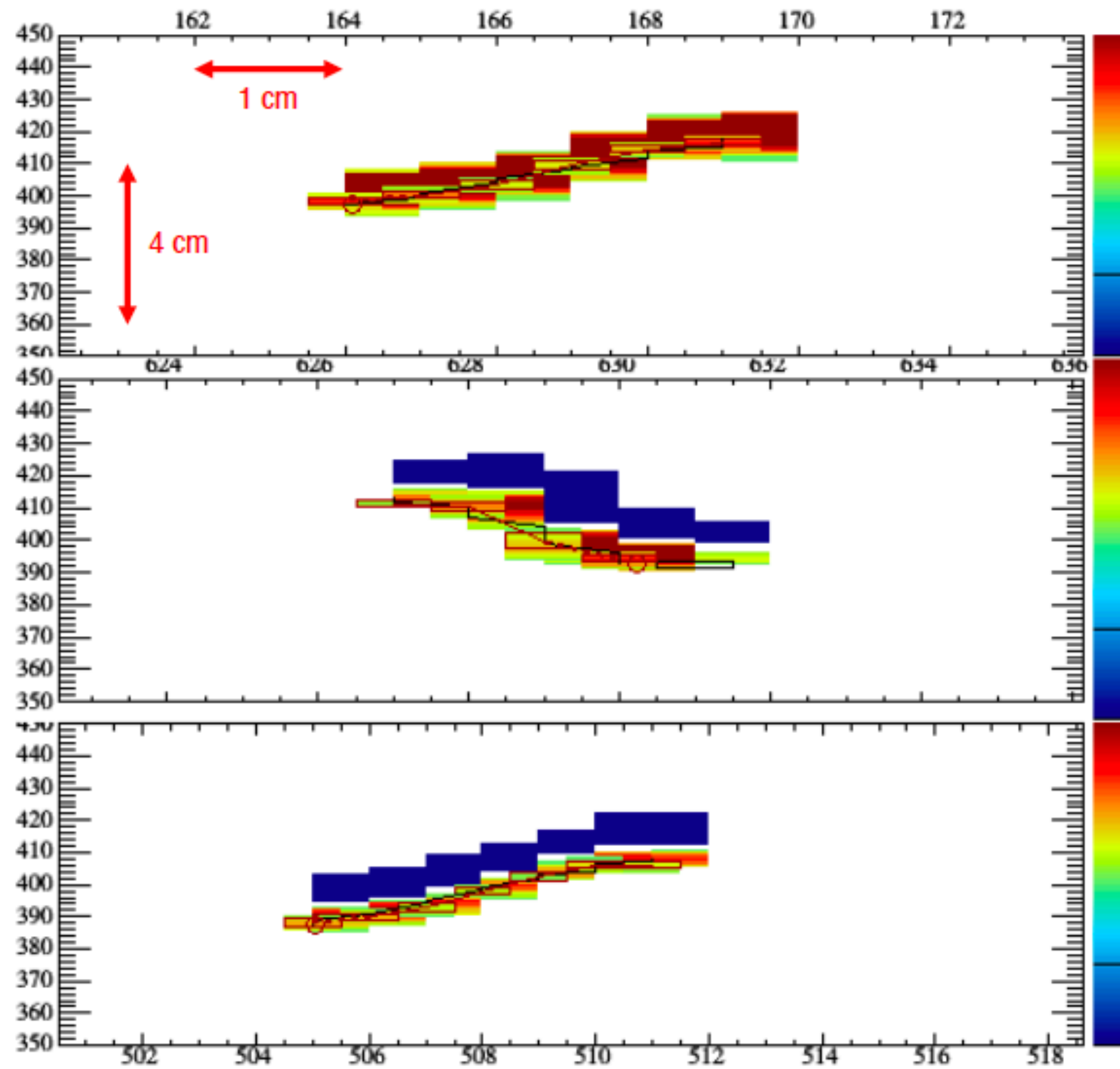


Possibility to separate the different channels by a classification of the associated photons from the K, Cl or Ar de-excitation (specific spectral lines for CC and NC) or by the absence of photons (ES)

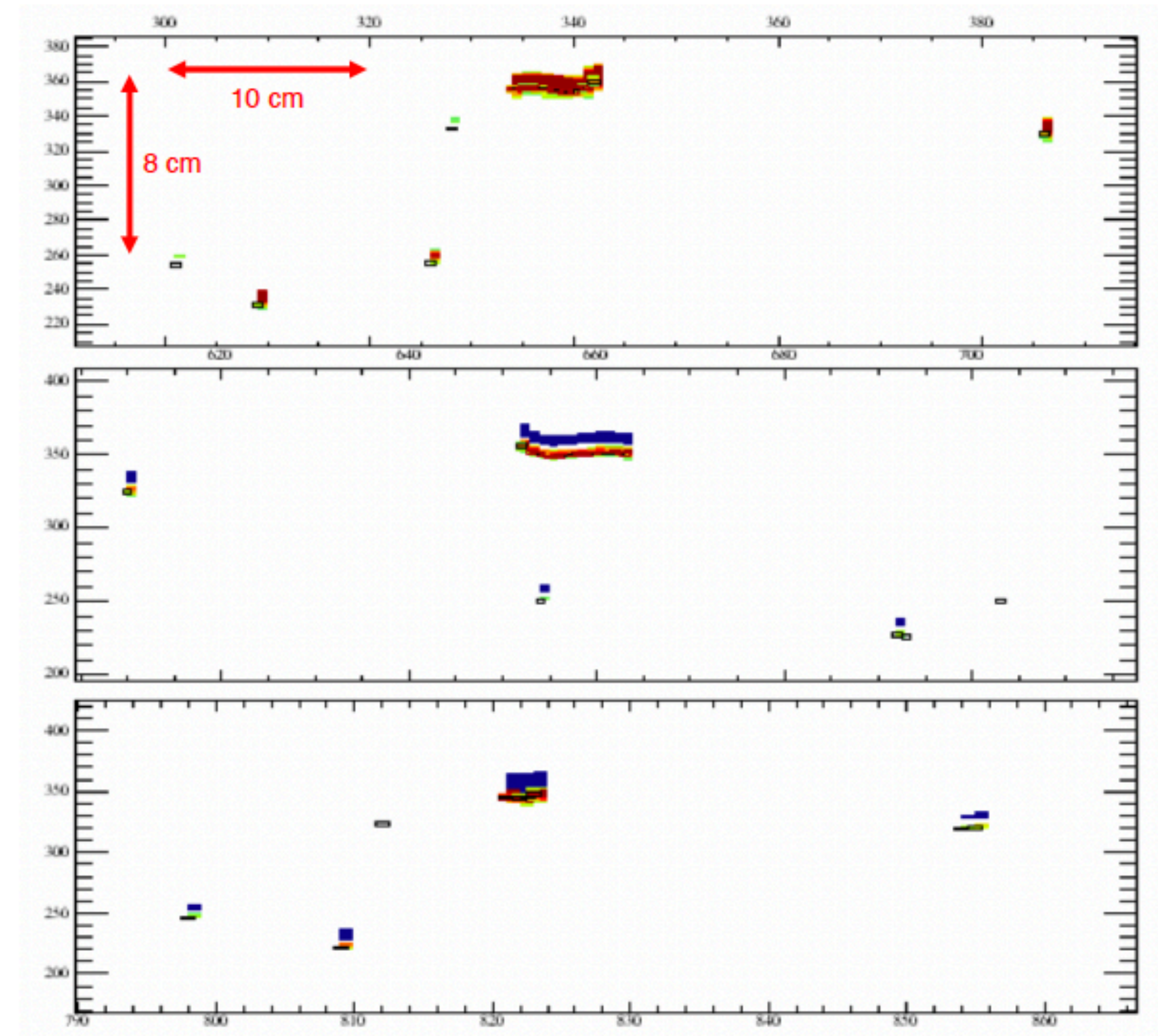
SN ν cross sections on Ar



Neutrino interactions in LAr

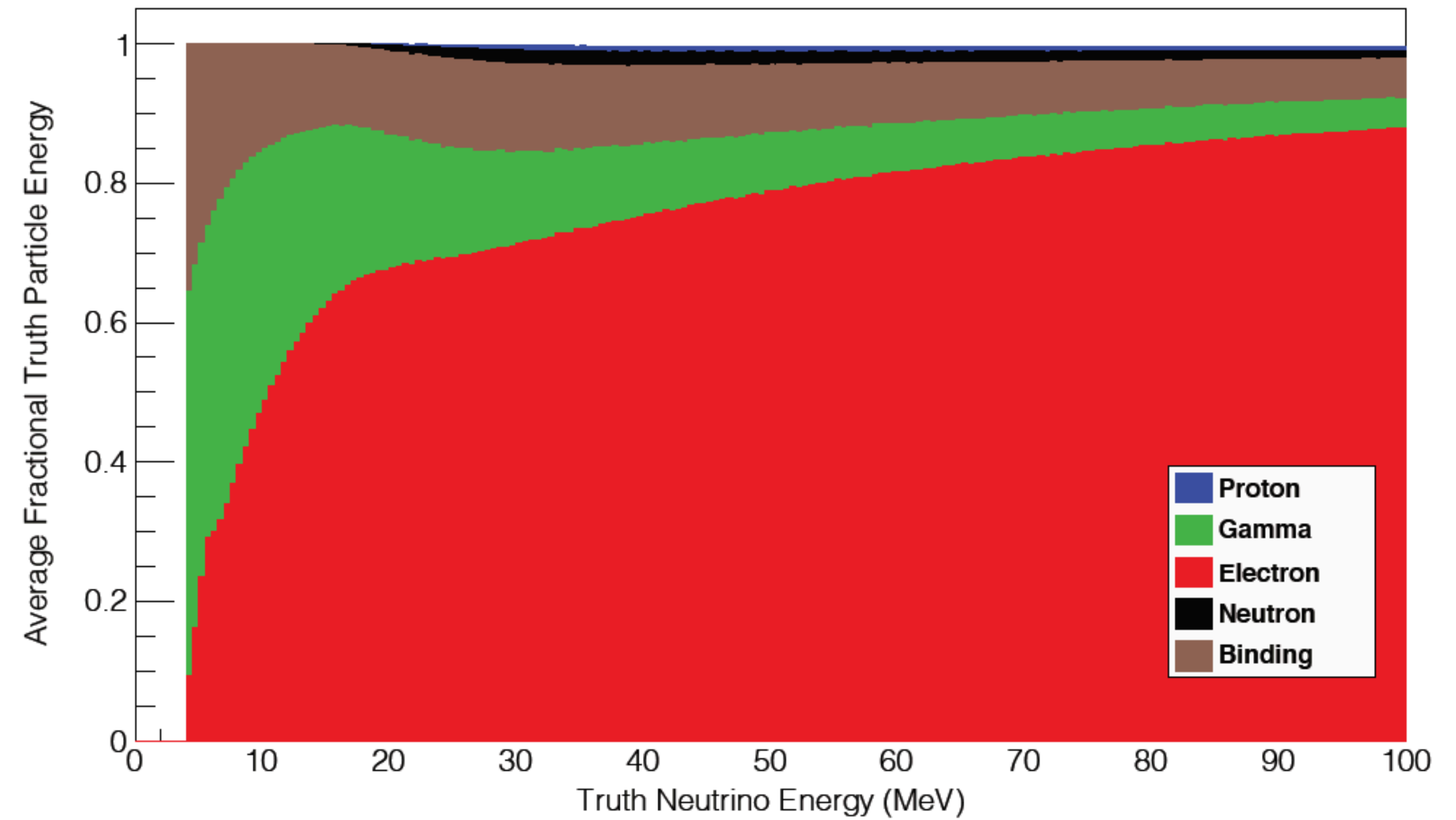
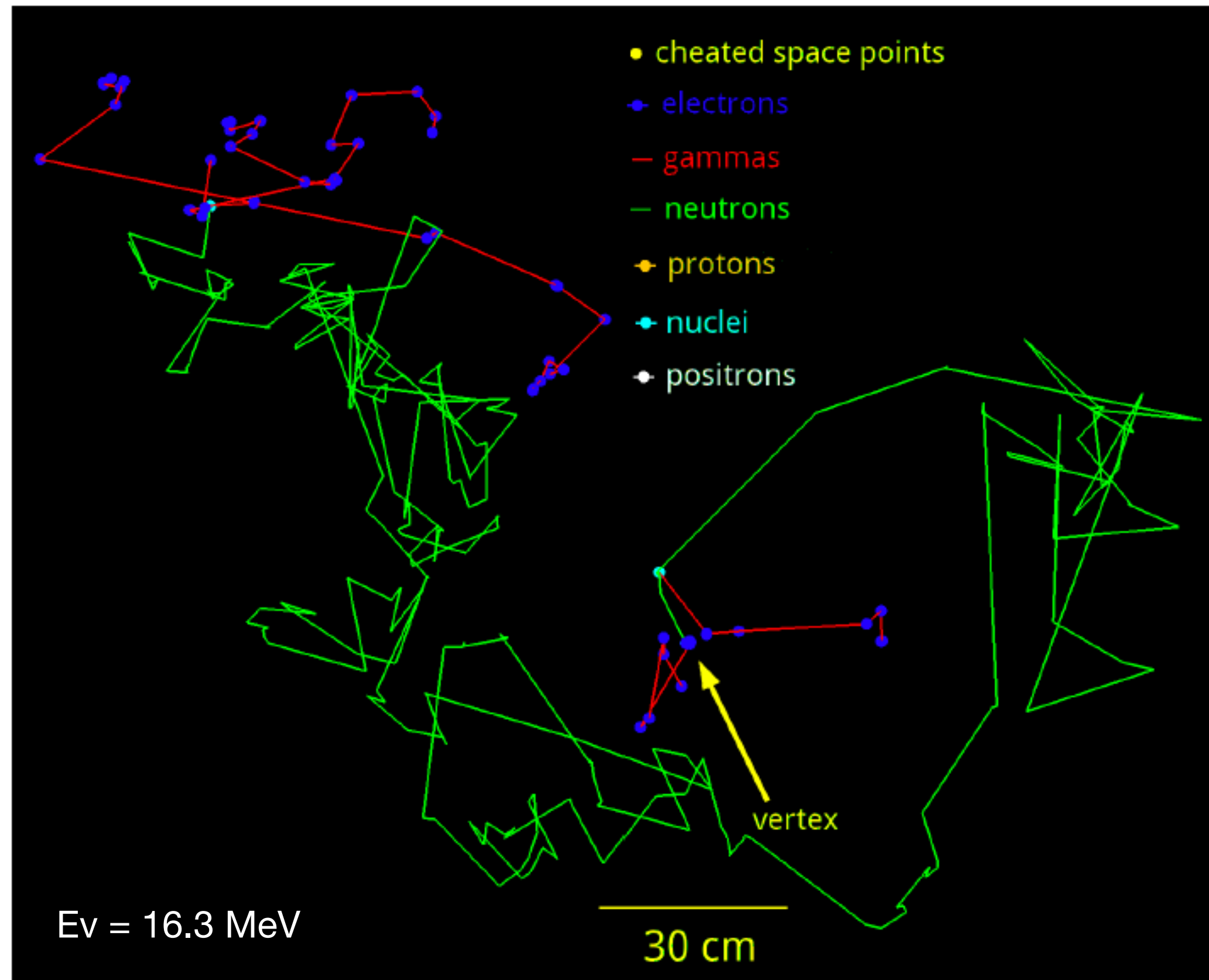


Pointing capabilities ES



CC

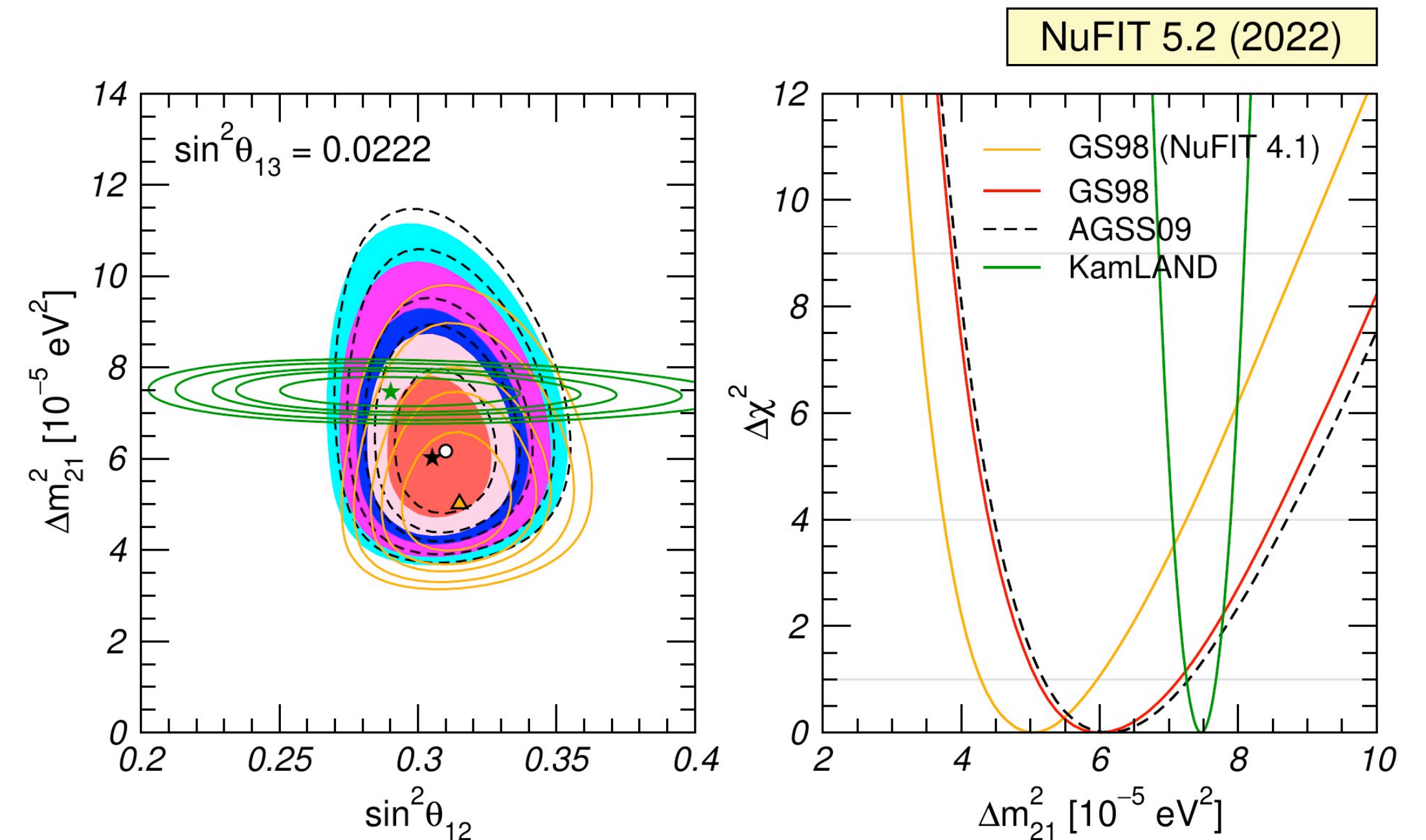
Neutrino interactions in LAr



Current status solar neutrinos

- **^8B flux** has been used to extract the solar neutrino oscillation parameters
 - ▶ Slight tension ($\sim 1\sigma$) between the solar and KamLAND experiments
 - ▶ Need of more precise measurements (JUNO, HK, DUNE)
- Neutrinos from **hep fusion**:

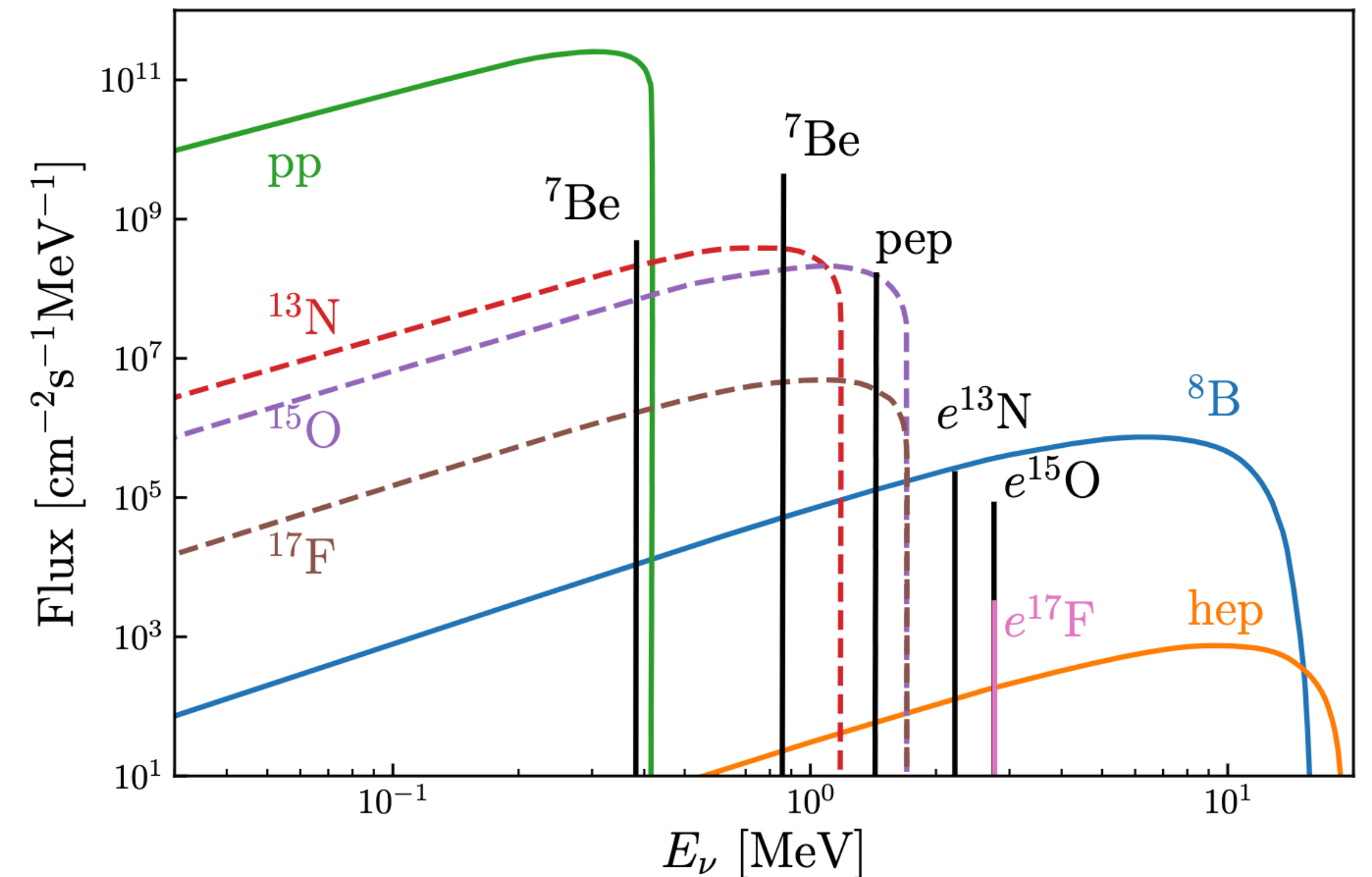
$$^3\text{He} + p \rightarrow ^4\text{He} + e^+ + \nu_e$$
 have not been observed yet
 - ▶ Stellar astrophysics



	bfp $\pm 1\sigma$
$\sin^2 \theta_{12}$	$0.303^{+0.012}_{-0.012}$
$\frac{\Delta m_{21}^2}{10^{-5} \text{ eV}^2}$	$7.41^{+0.21}_{-0.20}$

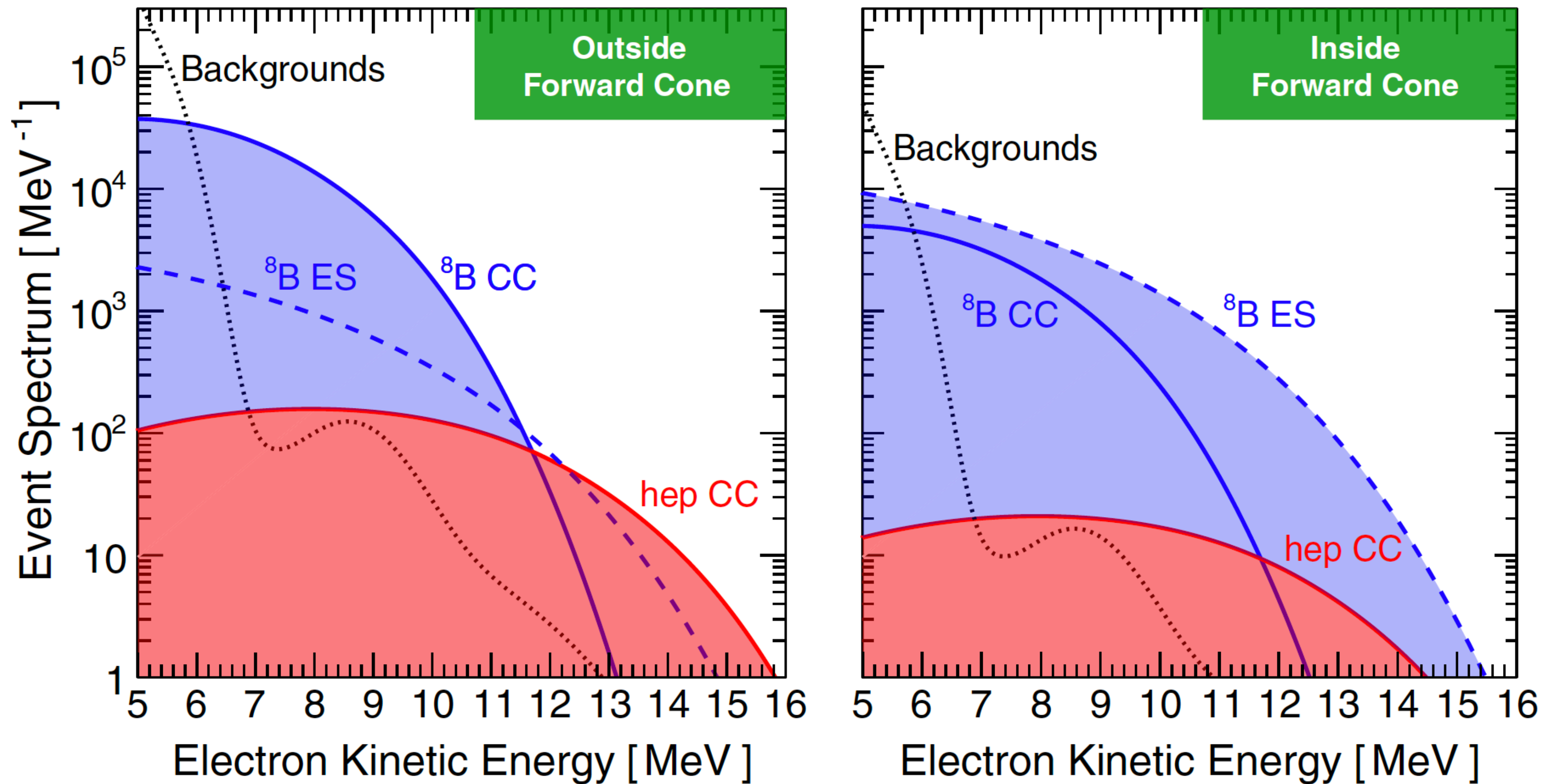
Expected solar neutrinos in DUNE

- DUNE has the potential to record an enormous amount of solar neutrinos
 - ▶ Measurement of ^8B solar flux and observe hep flux
- For an energy threshold of 5 MeV in a 10 kt FD:
 - ▶ **~10000 CC** evts/year
 - ▶ **~2000 ν -e scattering** evts/year
- Above 14 MeV, pure hep neutrino sample
 - ▶ **Up to 50** evts/year



Expected solar signals and backgrounds

Capozzi et al., PRL 123, 131803 (2019)

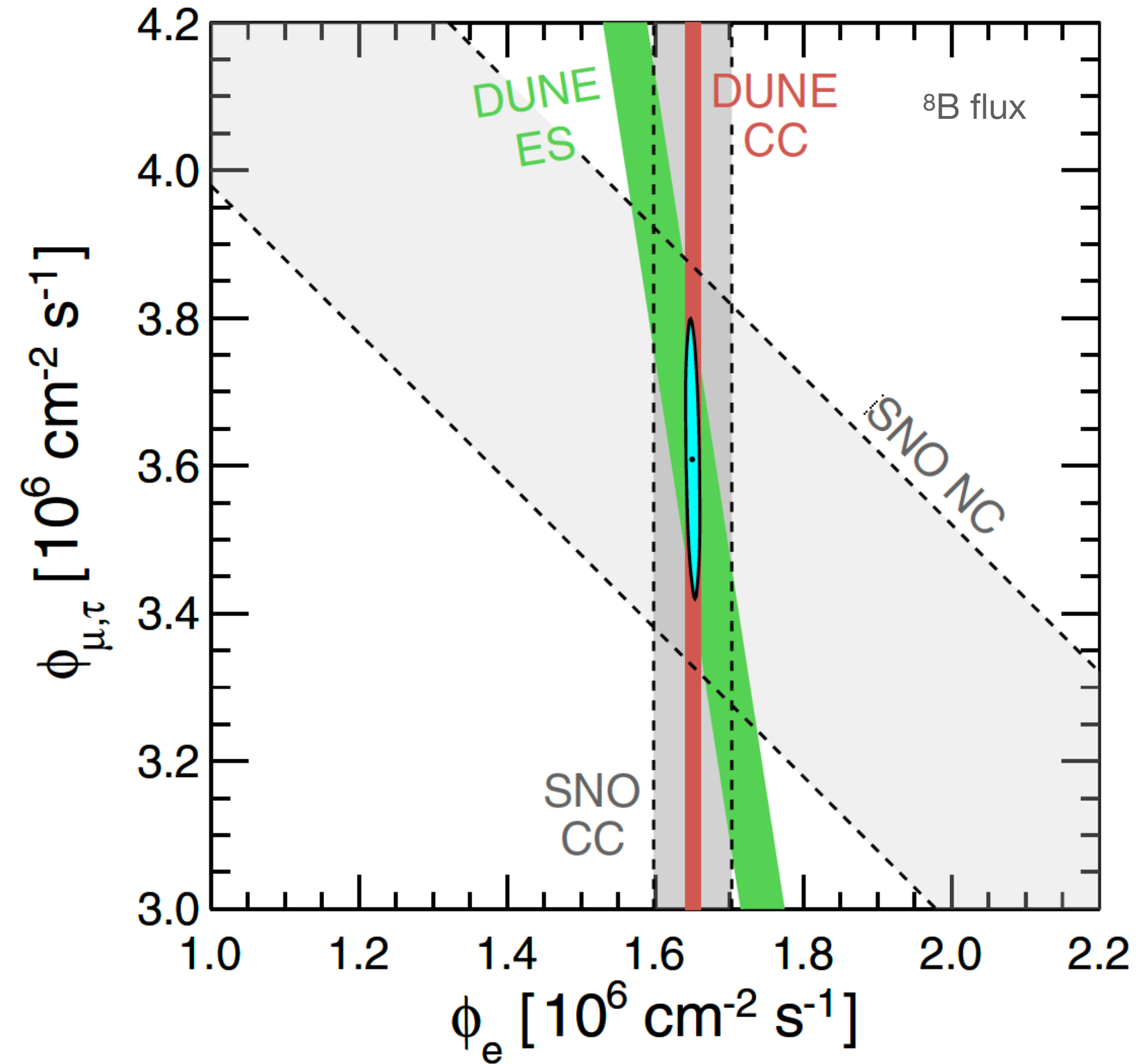
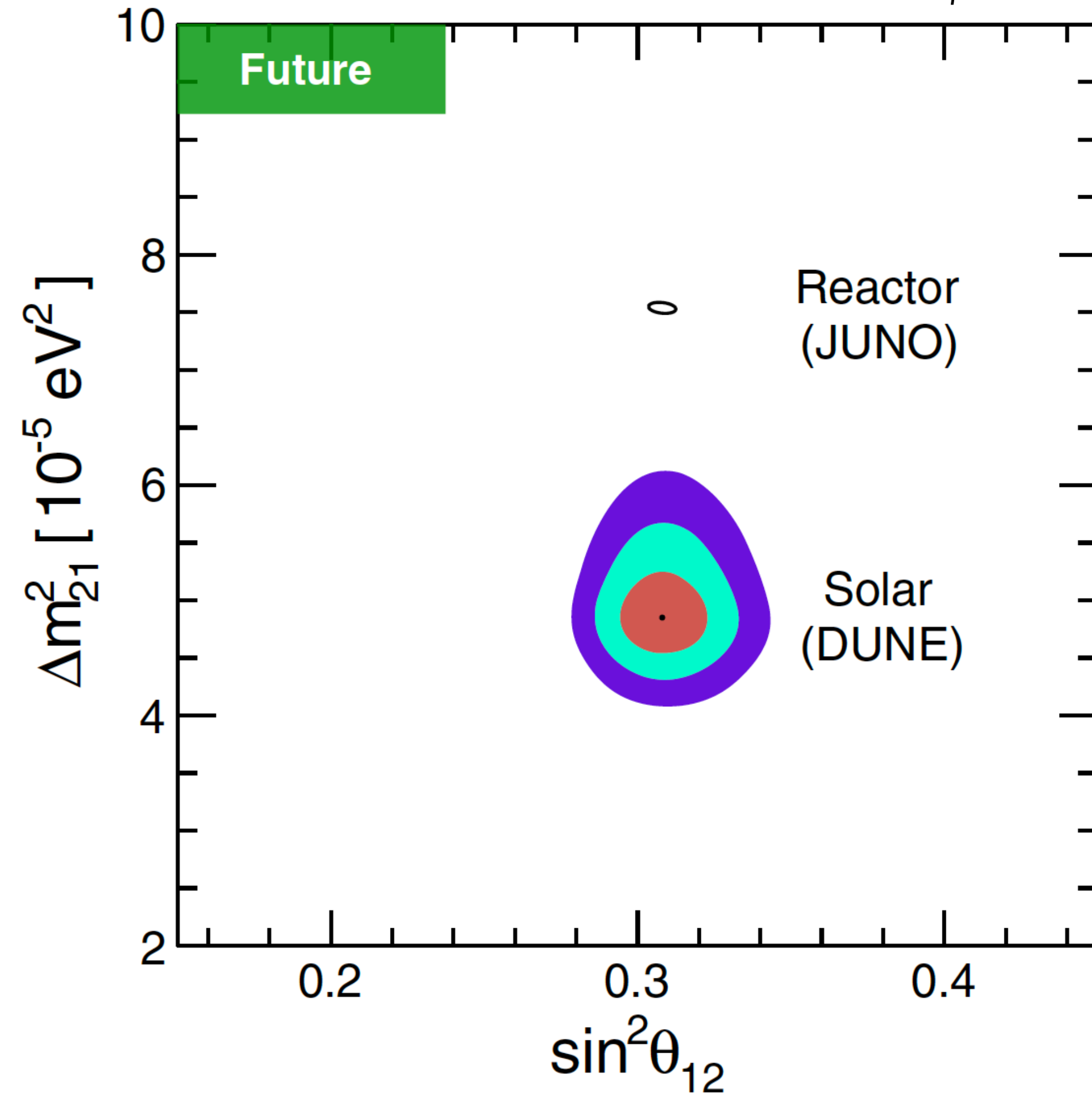


100 kton-yr exposure
+ 3 flavor mixing +
some detector effects

Considered backgs are neutron captures on ^{40}Ar , NC νAr and beta-decaying radioactivities induced by muons

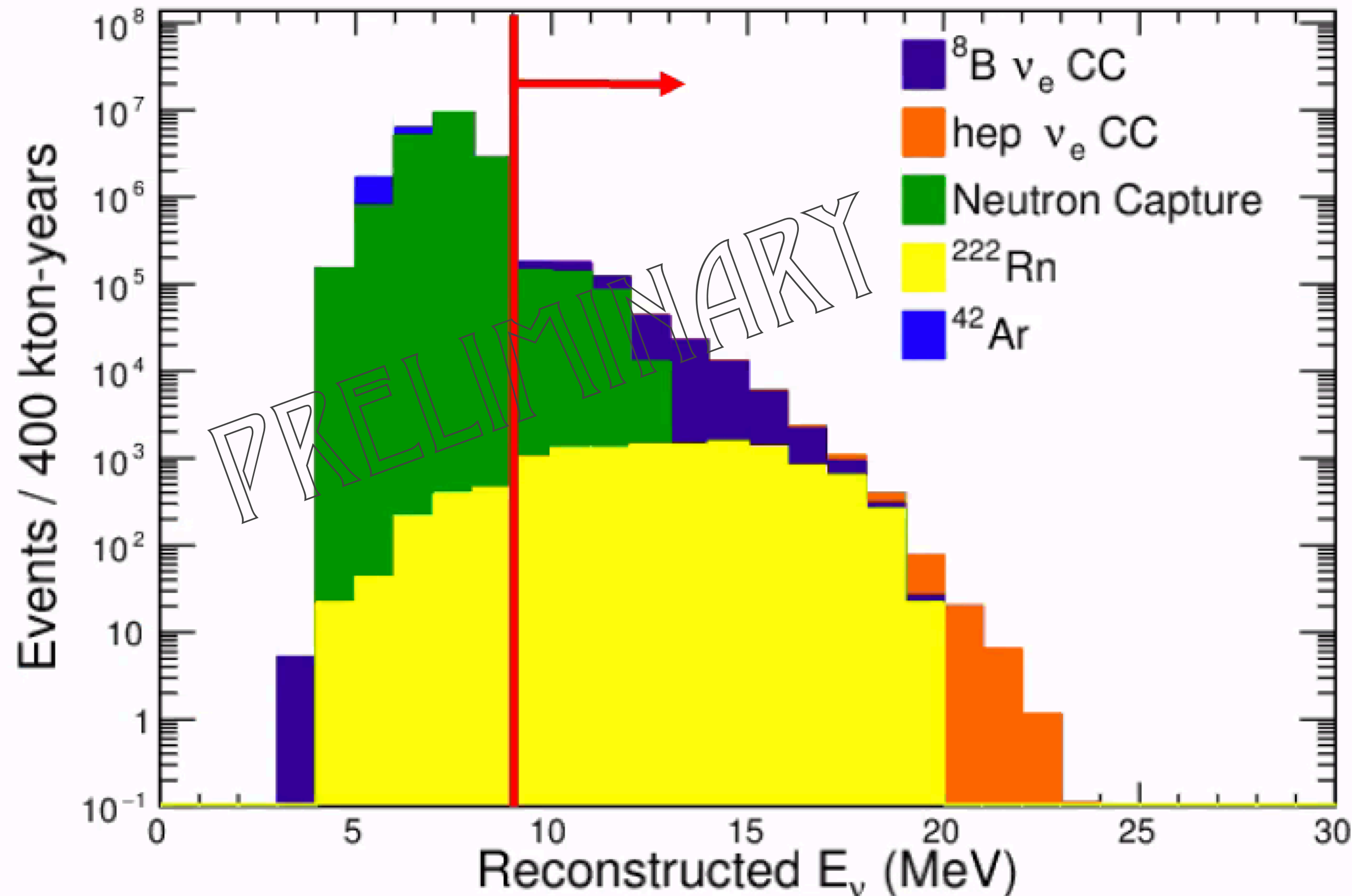
Precision of neutrino mixing and neutrino fluxes

Capozzi et al., PRL 123, 131803 (2019)



Preliminary solar studies in DUNE FD-HD

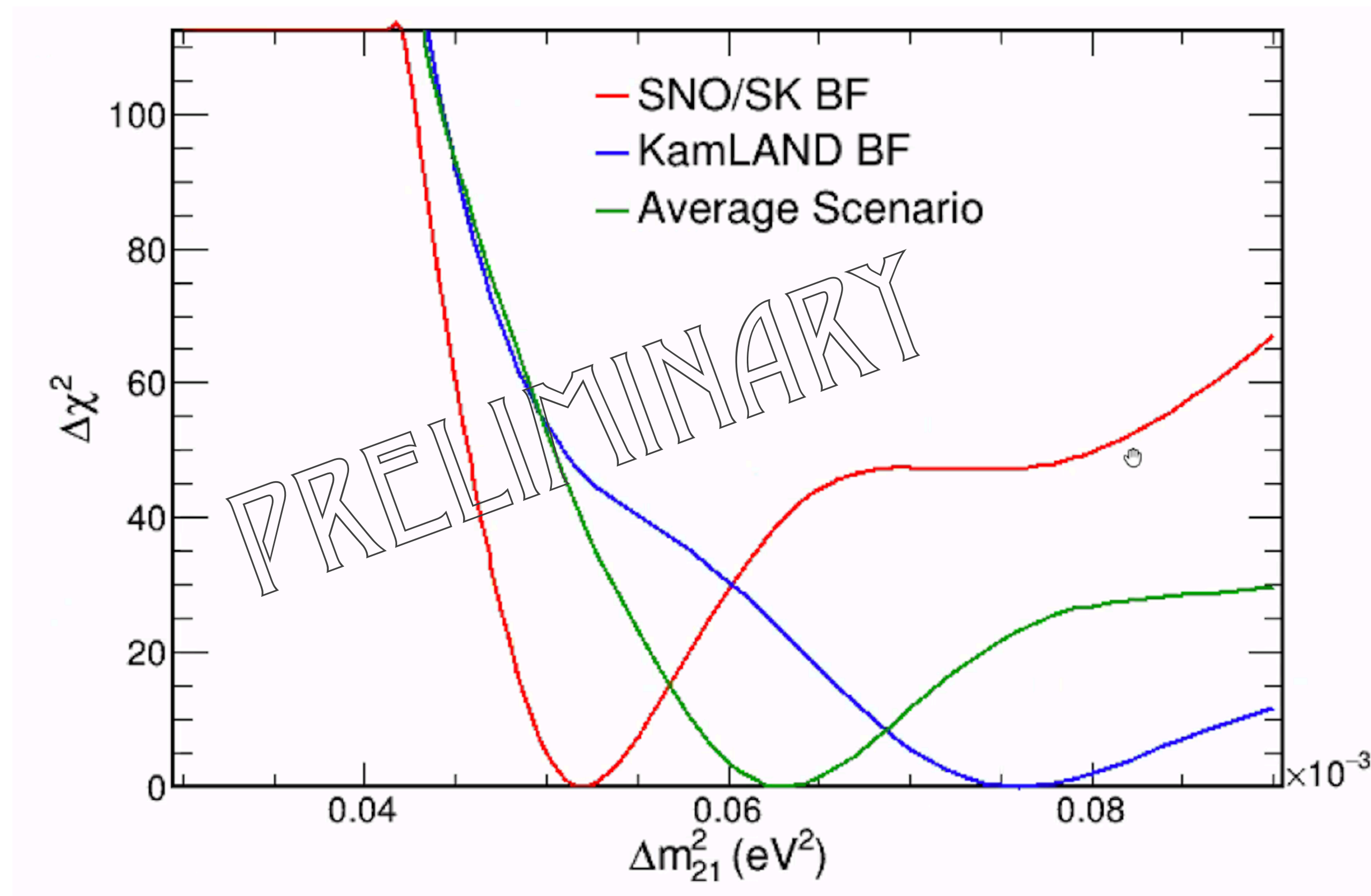
Dan Pershey



- Analysis threshold > 9 MeV
- Folding oscillation and efficiency effects
 - ▶ ~ 7200 evts/module/year (only CC)
- Neutron capture is the dominant background
 - ▶ $^{40}\text{Ar}(n, \gamma)$
 - ▶ $^{36}\text{Ar}(n, \gamma)$
 - ▶ $^{40}\text{Ar}(\alpha, \gamma)$ important at higher energy

Preliminary solar studies in DUNE

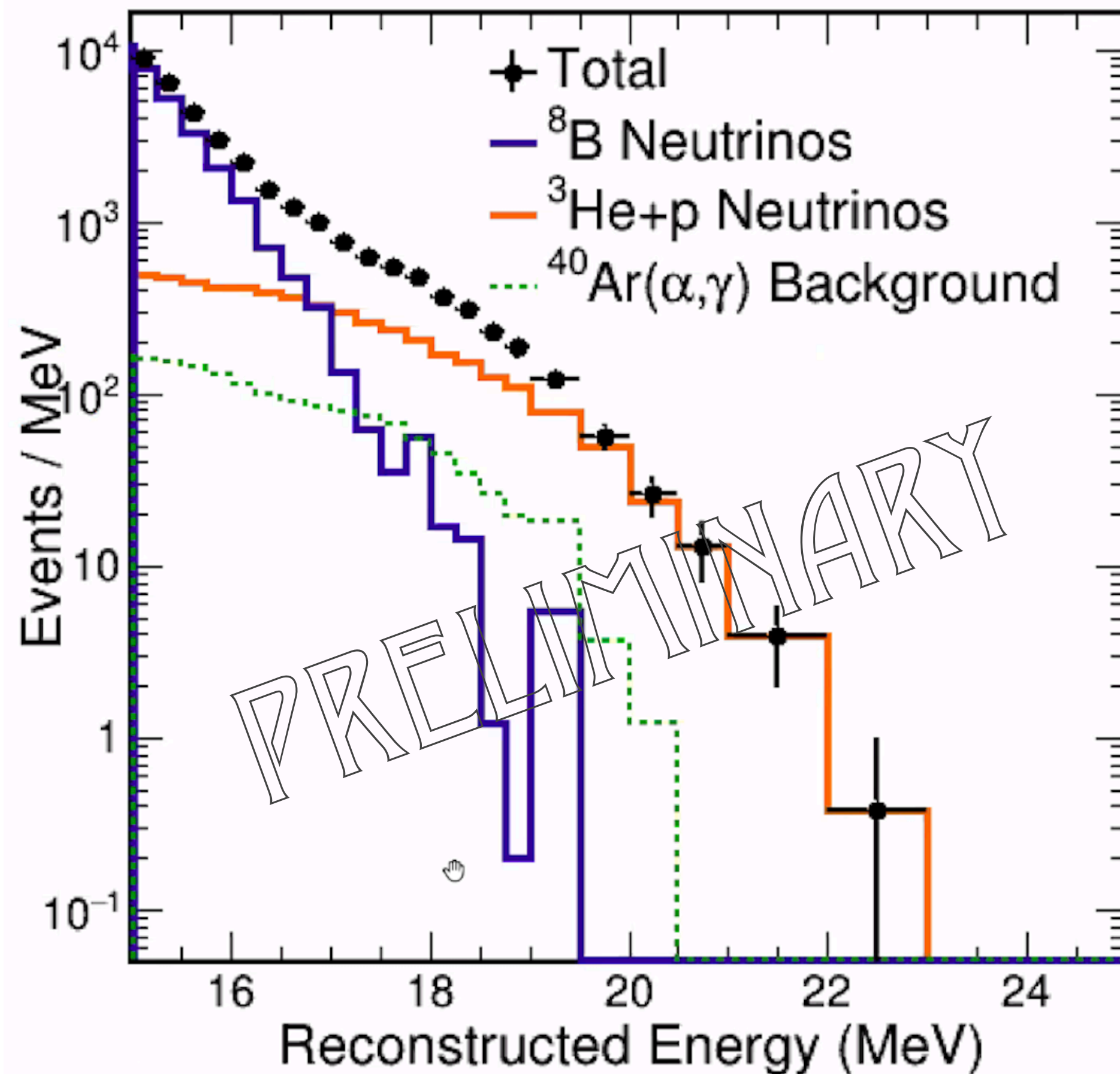
Dan Pershey



- Δm_{21}^2 sensitivity for 400 kt-yrs
 - ▶ $\sim 5\text{-}7\sigma$ sensitivity to discern between SNO/SK (2021) and KamLAND best fits
 - ▶ 1.8% (3.8%) 1σ errors at the SNO/SK (KamLAND) best fits

Preliminary hep neutrinos in DUNE

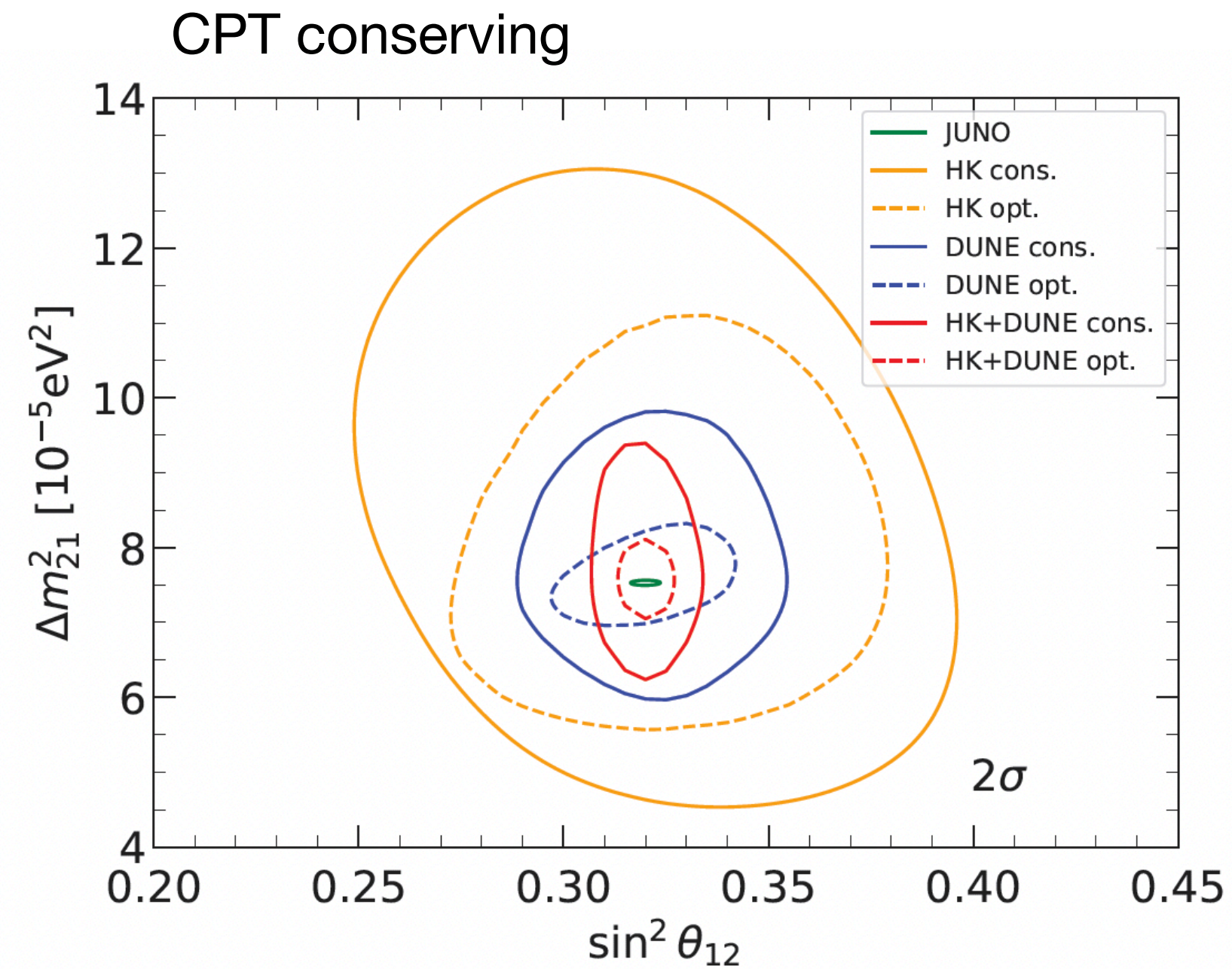
Dan Pershey



- At 400 kt-yrs, reach is 8.8% measurement of flux ratio
- Background free above 20 MeV
- 30 kt-yrs after first two years of running, above 19 MeV we would expect
 - ▶ 10.7 hep neutrinos
 - ▶ 2.3 bkg events
 - ▶ **7.1 σ evidence**
 - ▶ 34% measurement of flux, comparable to current theoretical flux errors

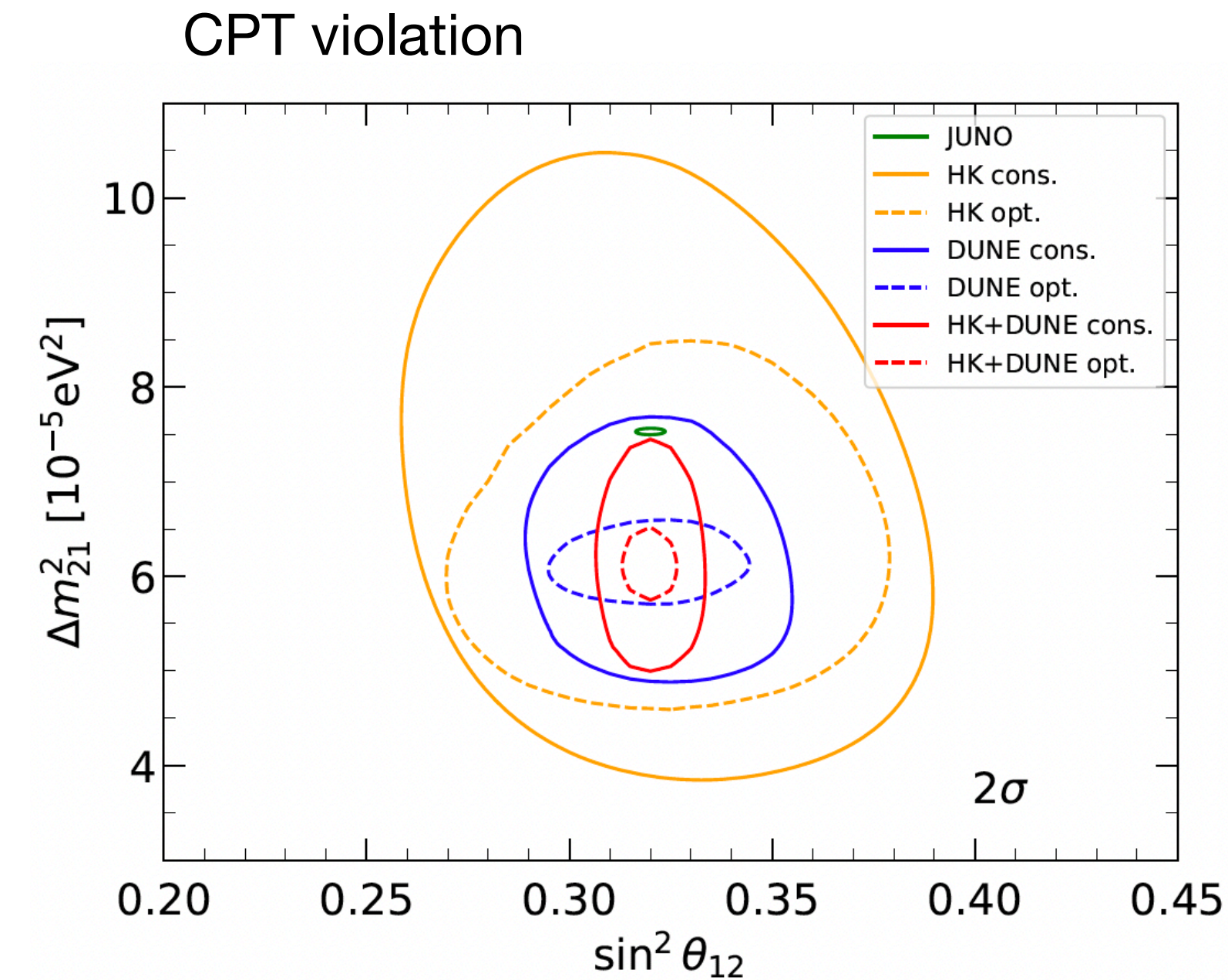
Neutrino CPT violation in the solar sector

Barenboim et al., arxiv:2305.06384



Same oscillation parameters for neutrinos and antineutrinos

$$\Delta m_{21}^2 = \Delta \bar{m}_{21}^2 = 7.53 \times 10^{-5} \text{ eV}^2 \quad \sin^2 \theta_{12} = \sin^2 \bar{\theta}_{12} = 0.32$$

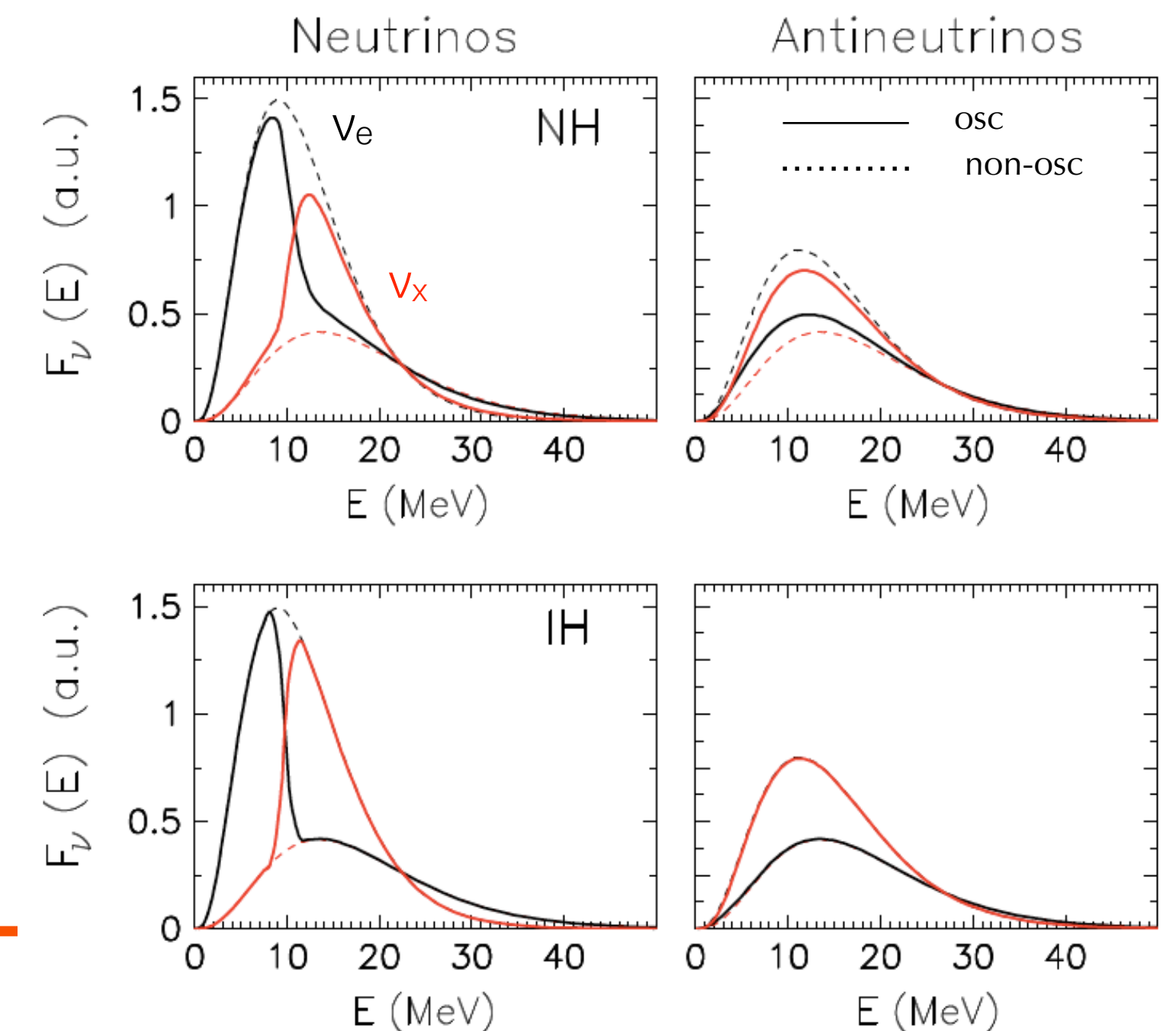
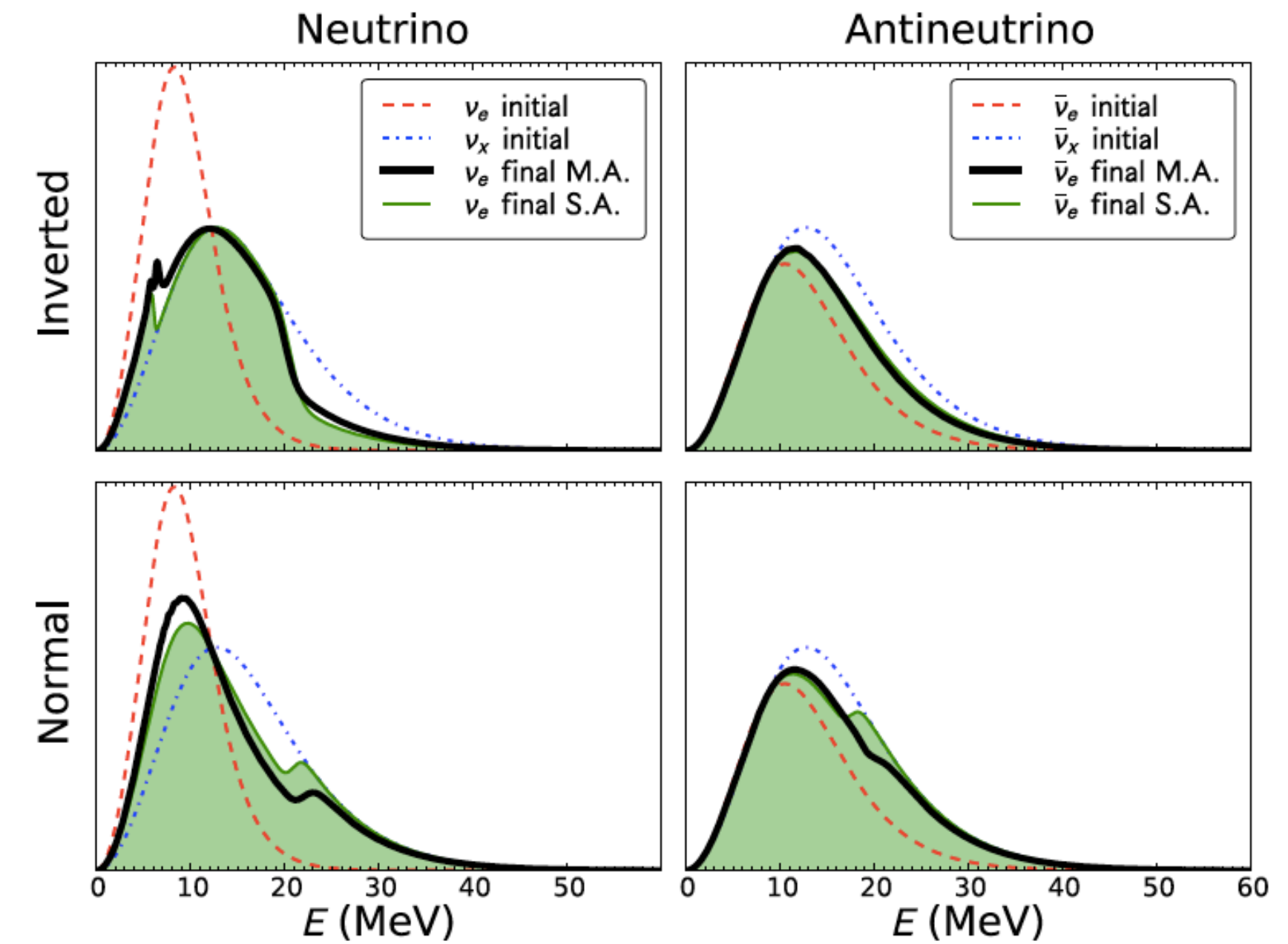
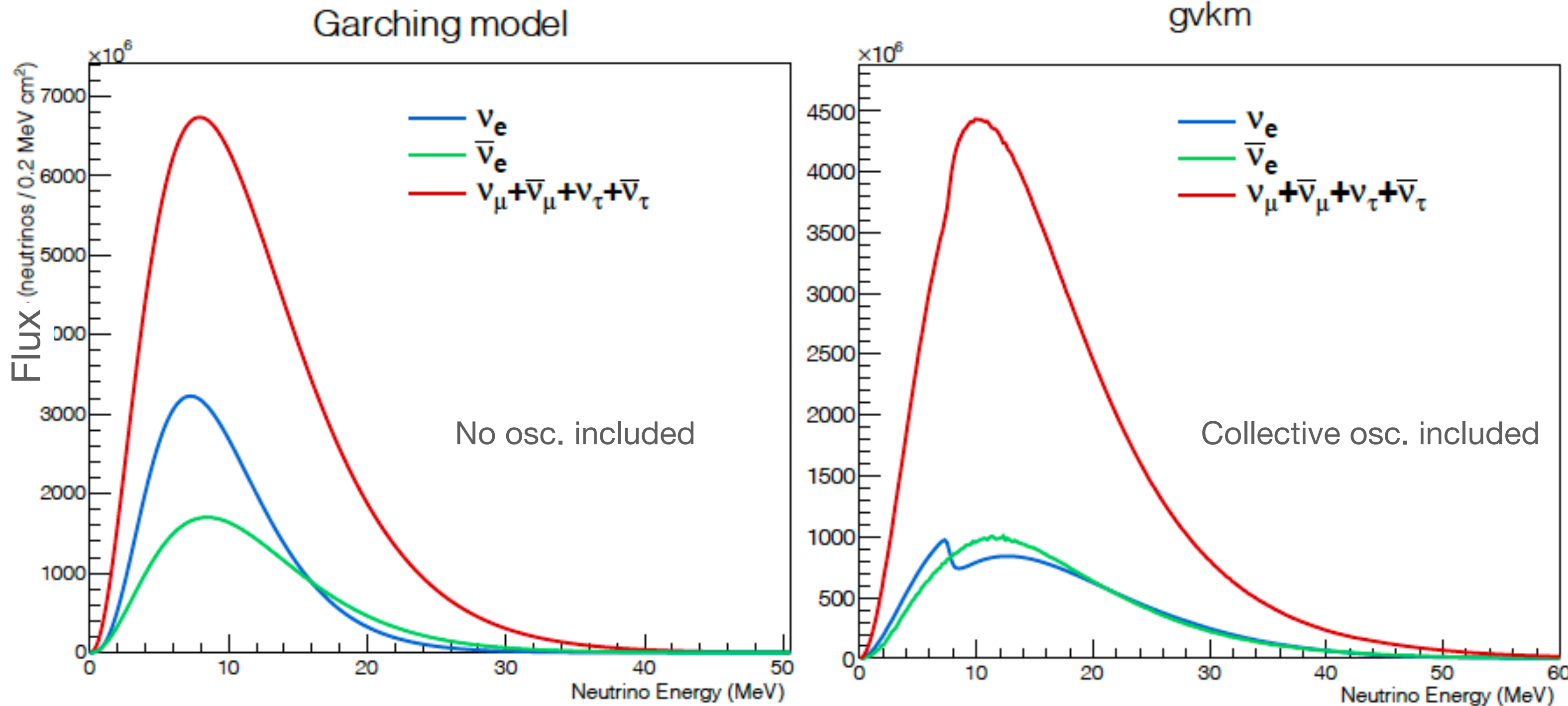


Different oscillation parameters for neutrinos and antineutrinos

$$\Delta \bar{m}_{21}^2 = 7.53 \times 10^{-5} \text{ eV}^2 \quad \Delta m_{21}^2 = 6.10 \times 10^{-5} \text{ eV}^2$$

- **DUNE conservative** (solid line) = $E > 9 \text{ MeV}$, 400 kt-yr, E resolution = 20%, Eff = 30% (9 MeV) - 60% (21 MeV)
- **DUNE optimal** (dashed line) = 1 order of magnitude n-capture reduction and 100% efficiency

SN neutrino fluxes



- Typical spectra: “pinched” Fermi-Dirac distribution
- Very model-dependent
- Affected by MSW + collective neutrino oscillations

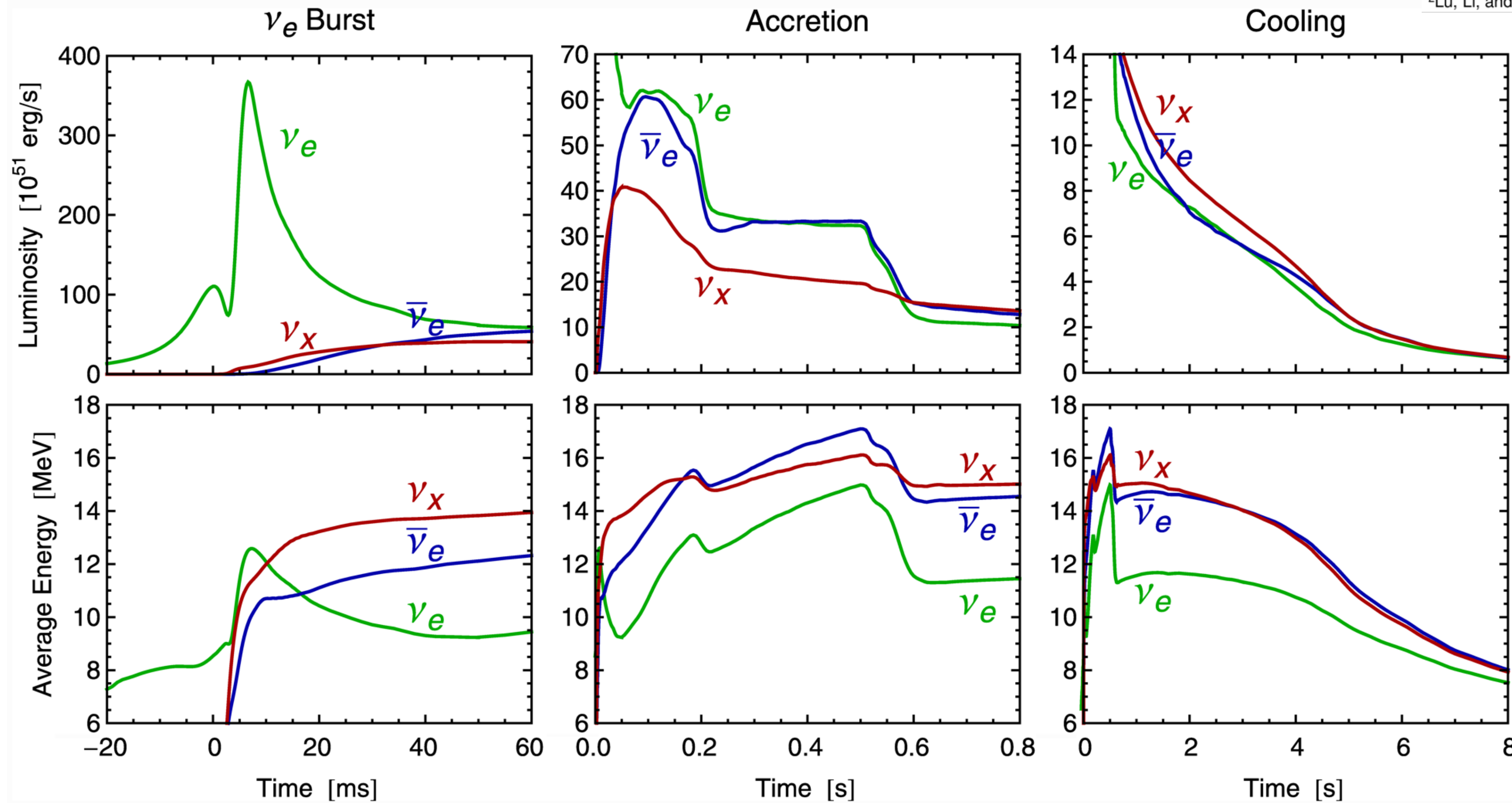
Time evolution (Garching model)

	ν_e	$\bar{\nu}_e$	ν_x
DUNE	89%	4%	7%
SK ¹	10%	87%	3%
JUNO ²	1%	72%	27%

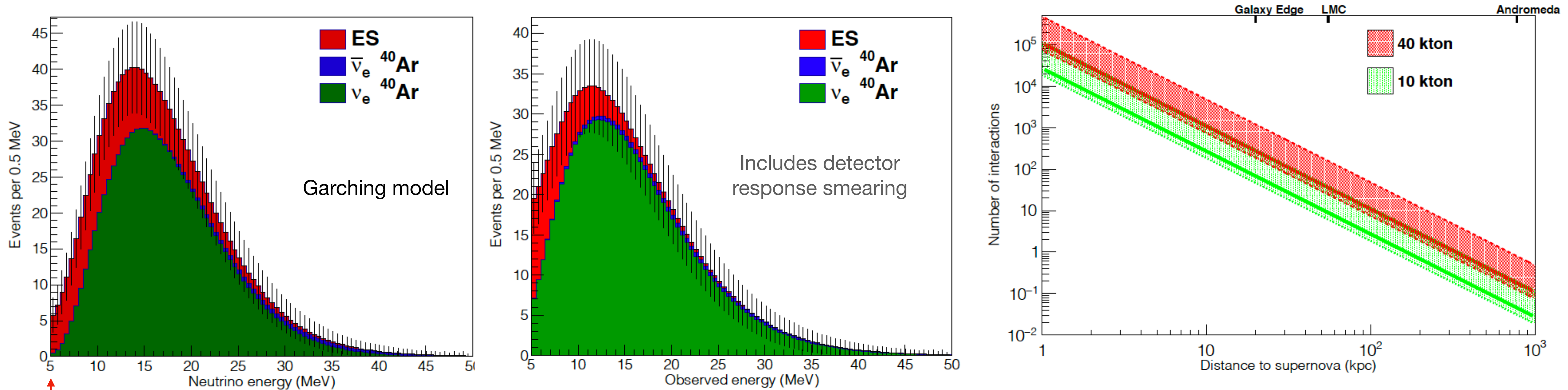
¹Super-Kamiokande, *Astropart. Phys.* **81** 39-48 (2016)

²Lu, Li, and Zhou, *Phys Rev. D* **94** 023006 (2016)

Garching model (25 M_⊙)



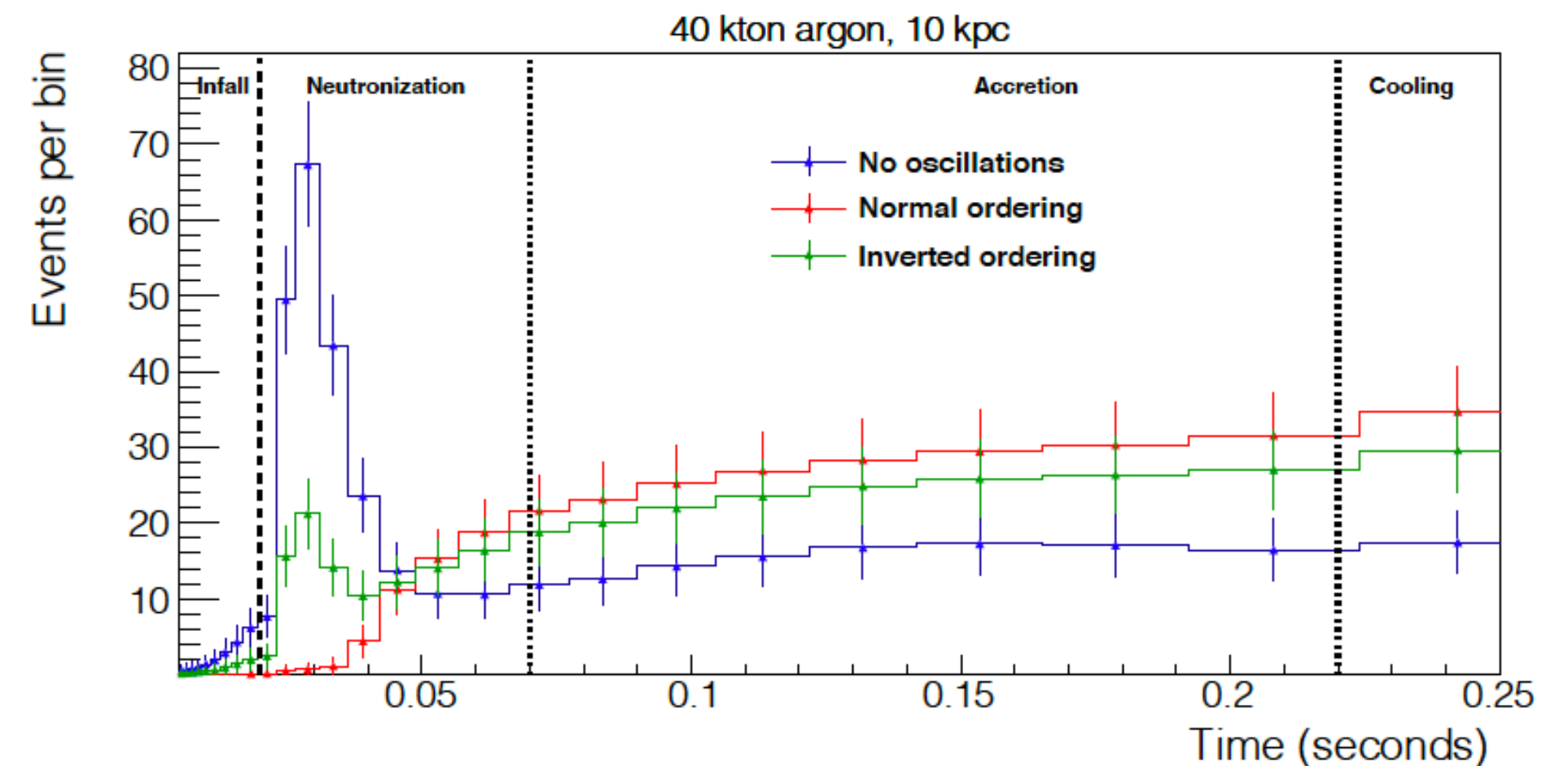
Expected SN neutrino events in 40 kt DUNE



5 MeV threshold

SN at 10 kpc, 40 kt

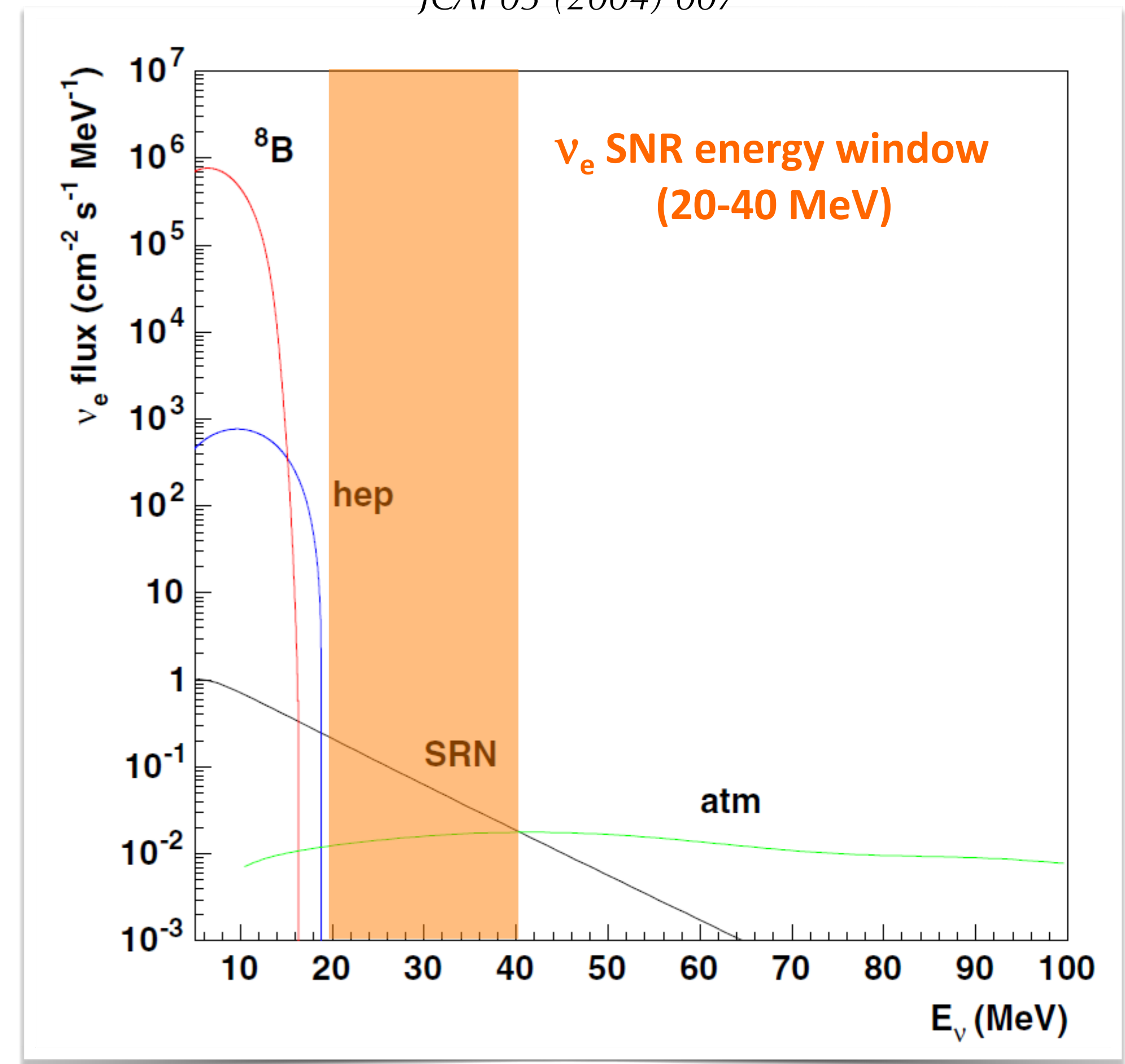
Channel	Livermore	GKVM	Garching
$\nu_e + {}^{40}\text{Ar} \rightarrow e^- + {}^{40}\text{K}^*$	2648	3295	882
$\bar{\nu}_e + {}^{40}\text{Ar} \rightarrow e^+ + {}^{40}\text{Cl}^*$	224	155	23
$\nu_X + e^- \rightarrow \nu_X + e^-$	341	206	142
Total	3213	3656	1047



Current status of DSNB

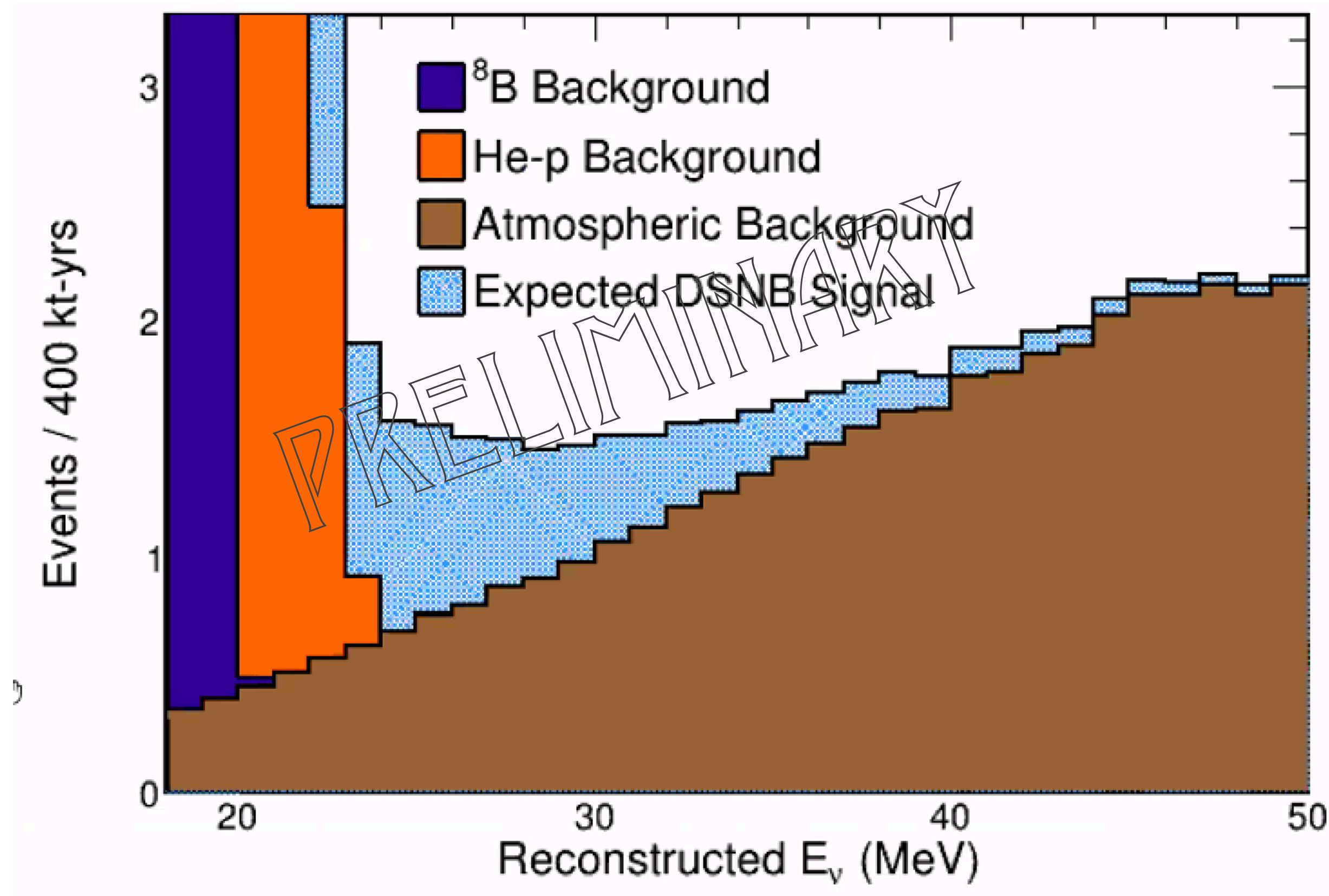
SNR flux prediction based on Strigari et al.,
JCAP03 (2004) 007

- Guaranteed source of SN neutrinos (averaged neutrino flux from all supernovae)
- Not detected yet
 - ▶ Best limit by SK: *Phys. Rev. D* 104, 122002 (2021)
 $\Phi(\bar{\nu}_e) < 2.7 \text{ cm}^{-2} \text{ s}^{-1}$ for $E_\nu > 17.3 \text{ MeV}$
- WC and LSc experiments detecting antineutrinos while DUNE will uniquely constrain the neutrino flux
- Main backgrounds for ν_e channel: solar and atmospheric neutrinos
- Look for an energy window where signal dominates over backgrounds
- **DUNE**, in 10 years, n.h. (from DUNE Physics TDR)
 - ▶ $N_{\text{DSNB}} = 46 \pm 10$ ($16 \text{ MeV} \leq E_e \leq 40 \text{ MeV}$)



Diffuse Supernova Neutrino Background

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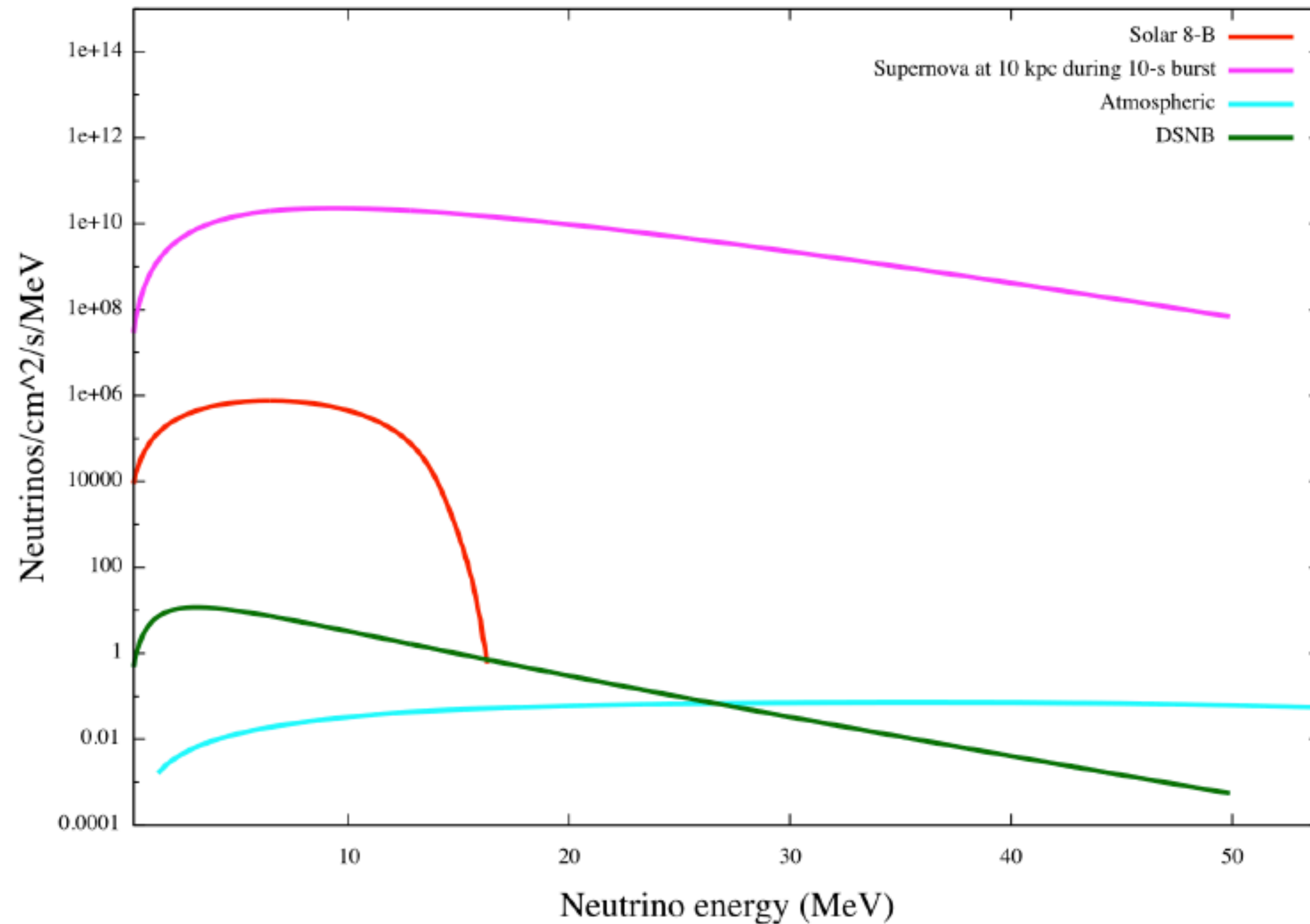


- Region between 22 and 33 MeV
- After 400 kt-yr
 - ▶ 2.2 σ significance can be obtained (6 events)
 - ▶ 8.8% measurement of $\phi(\text{hep})/\phi(^8\text{B})$
- Can we improve it?

Main detector challenges

- Large **detector mass**
- Develop an **efficient event reconstruction** (including de-excitation gammas) and **particle ID**
- Identify **neutrino direction** (angular resolution)
- Tag different **neutrino flavors**
- Achieve an excellent **energy resolution**
- Reduce low-energy **backgrounds**
- Lower **energy thresholds**
- Good **calibration** at MeV energies across the detector volume

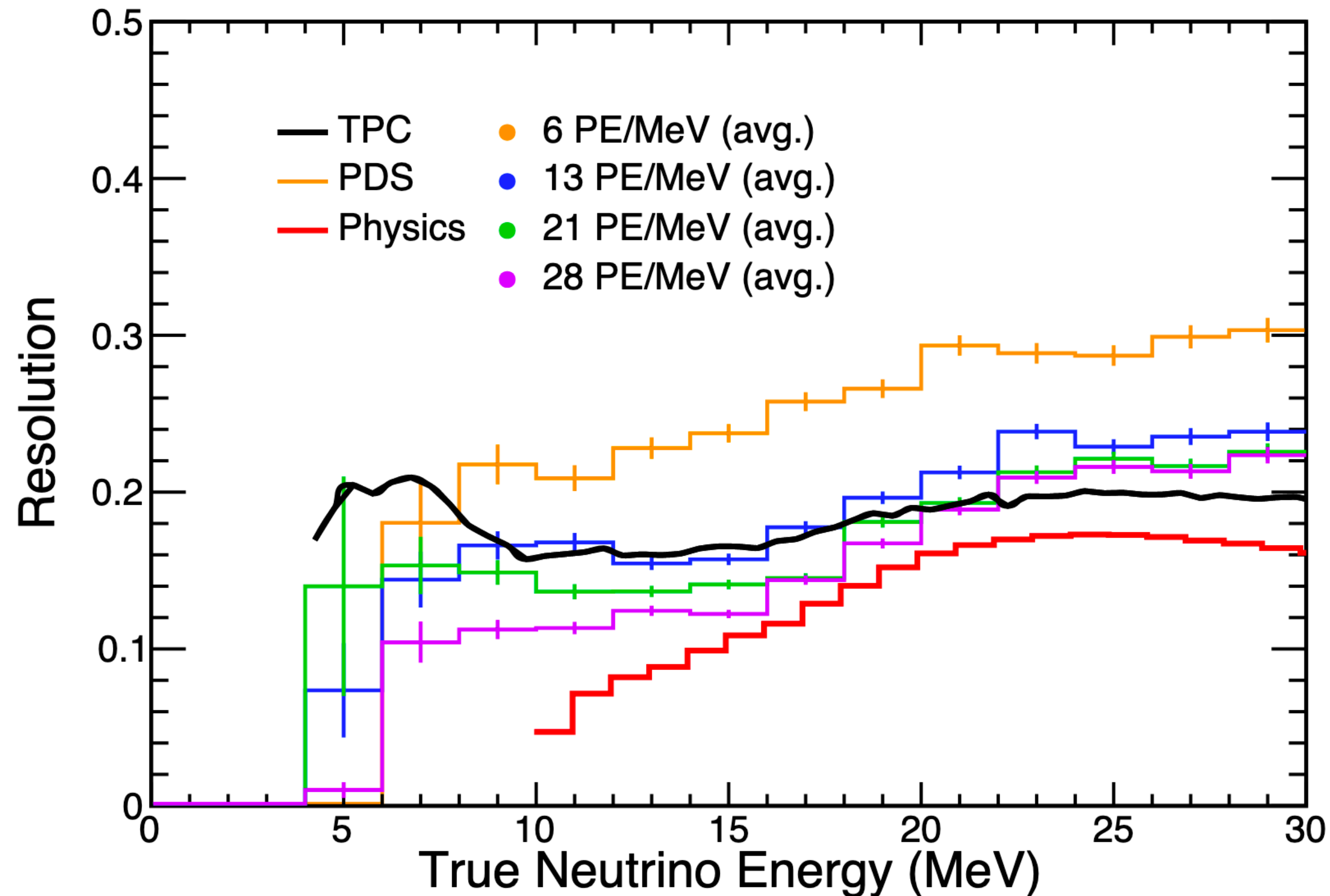
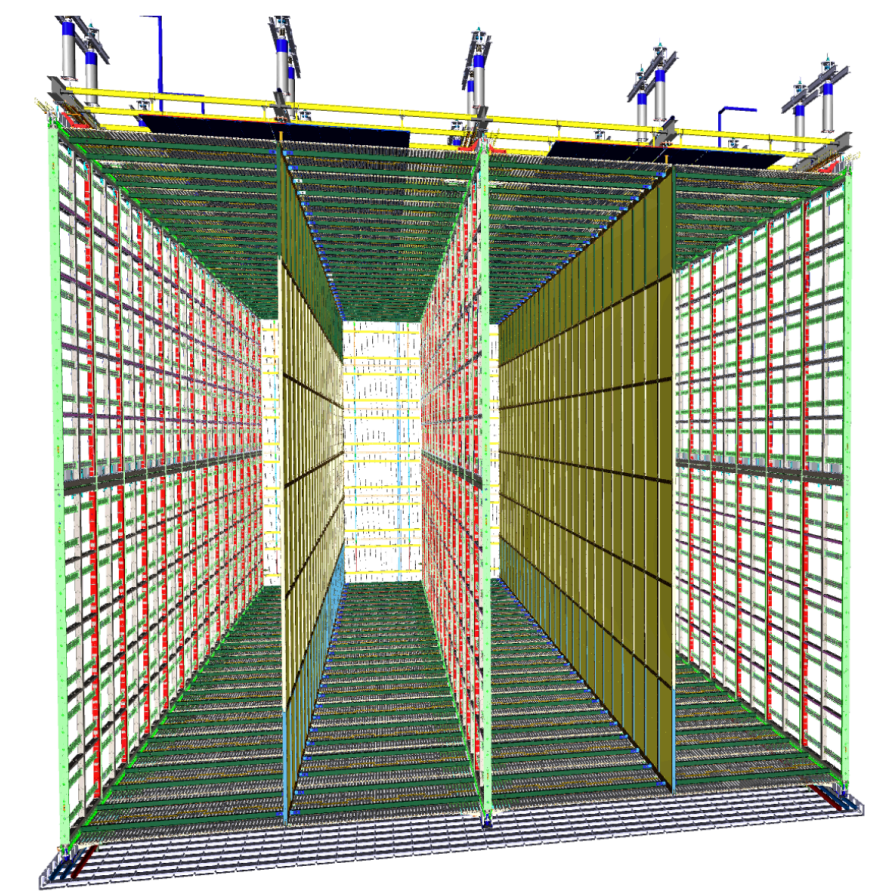
Energy range of interest



- Up to ~100 MeV

Energy resolution FD1-HD

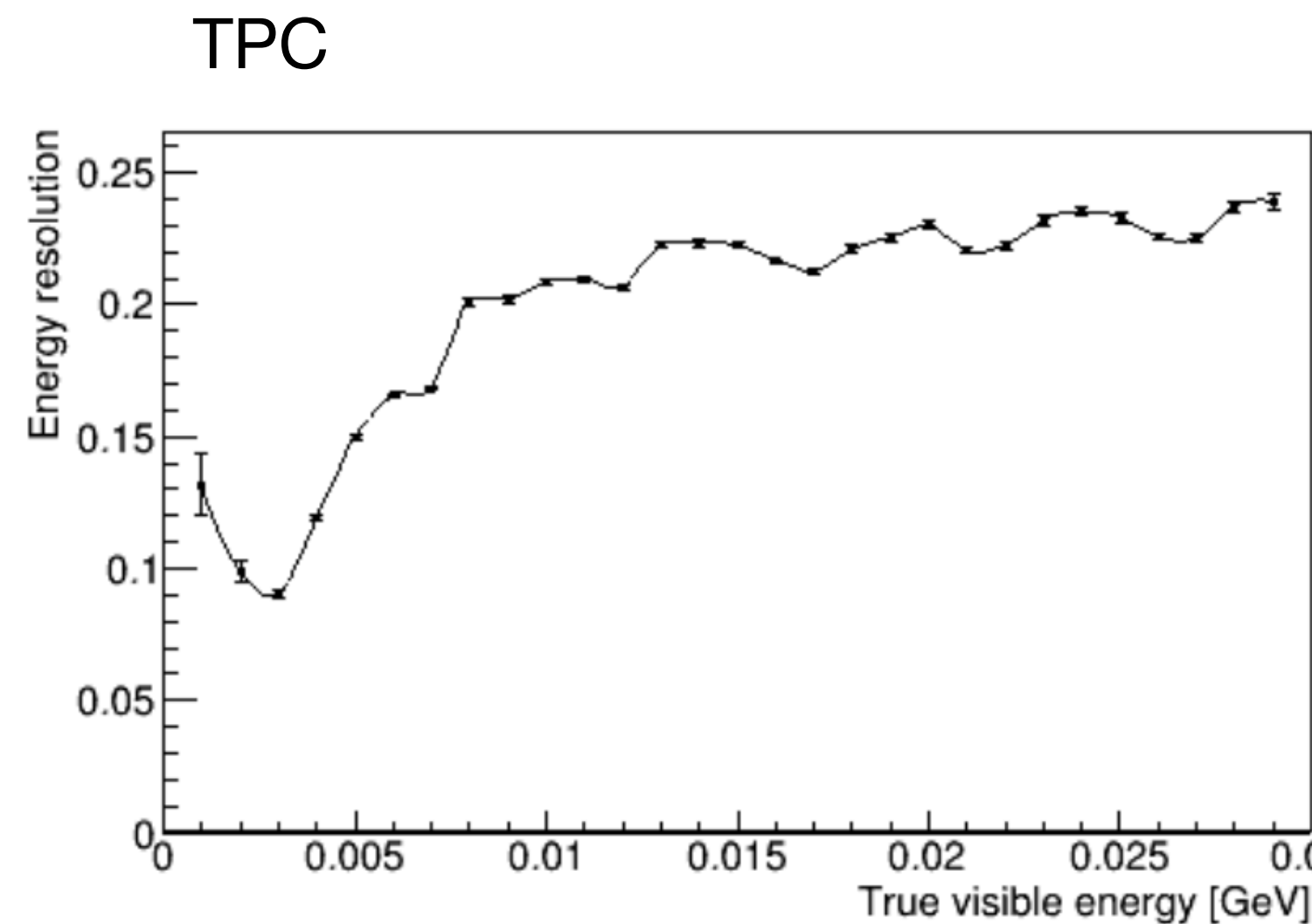
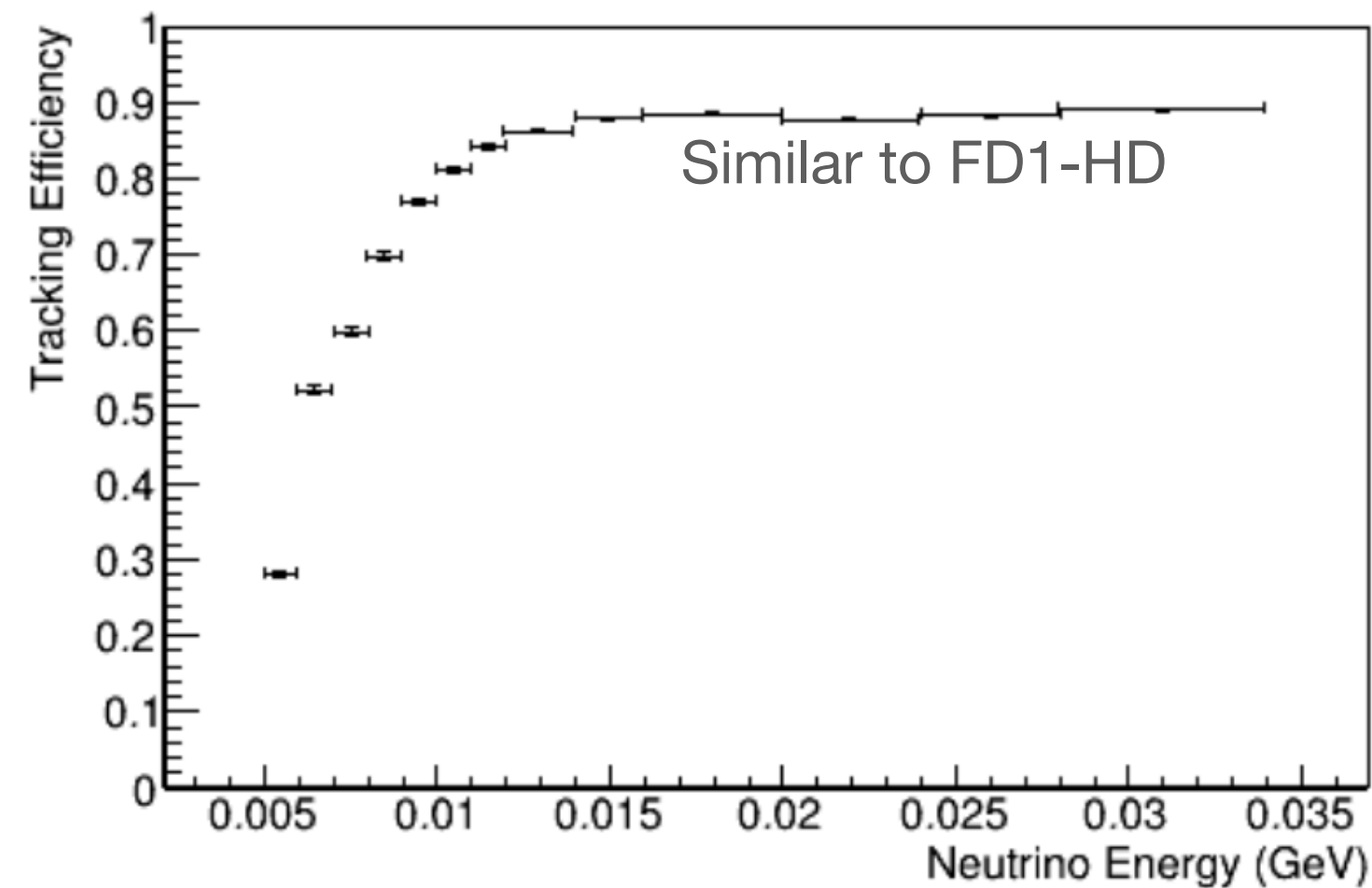
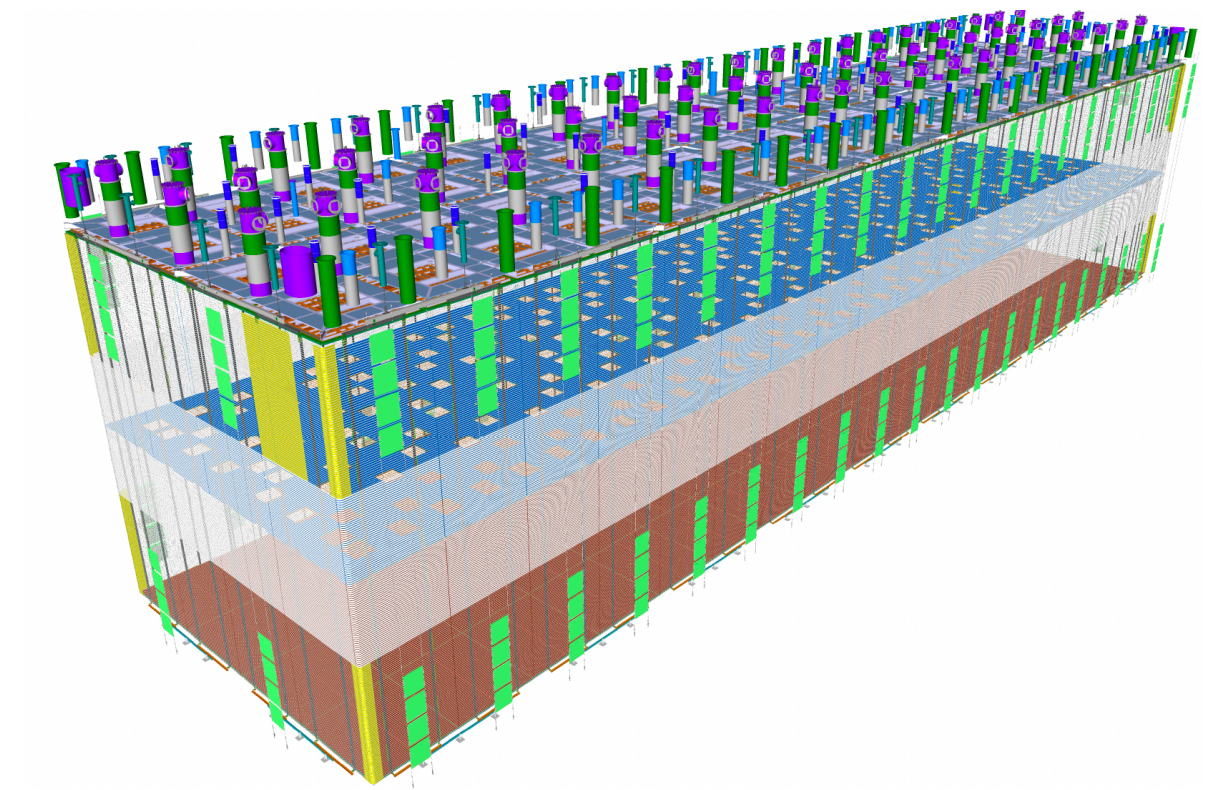
DUNE FD1-HD TDR
(For SN neutrino events)



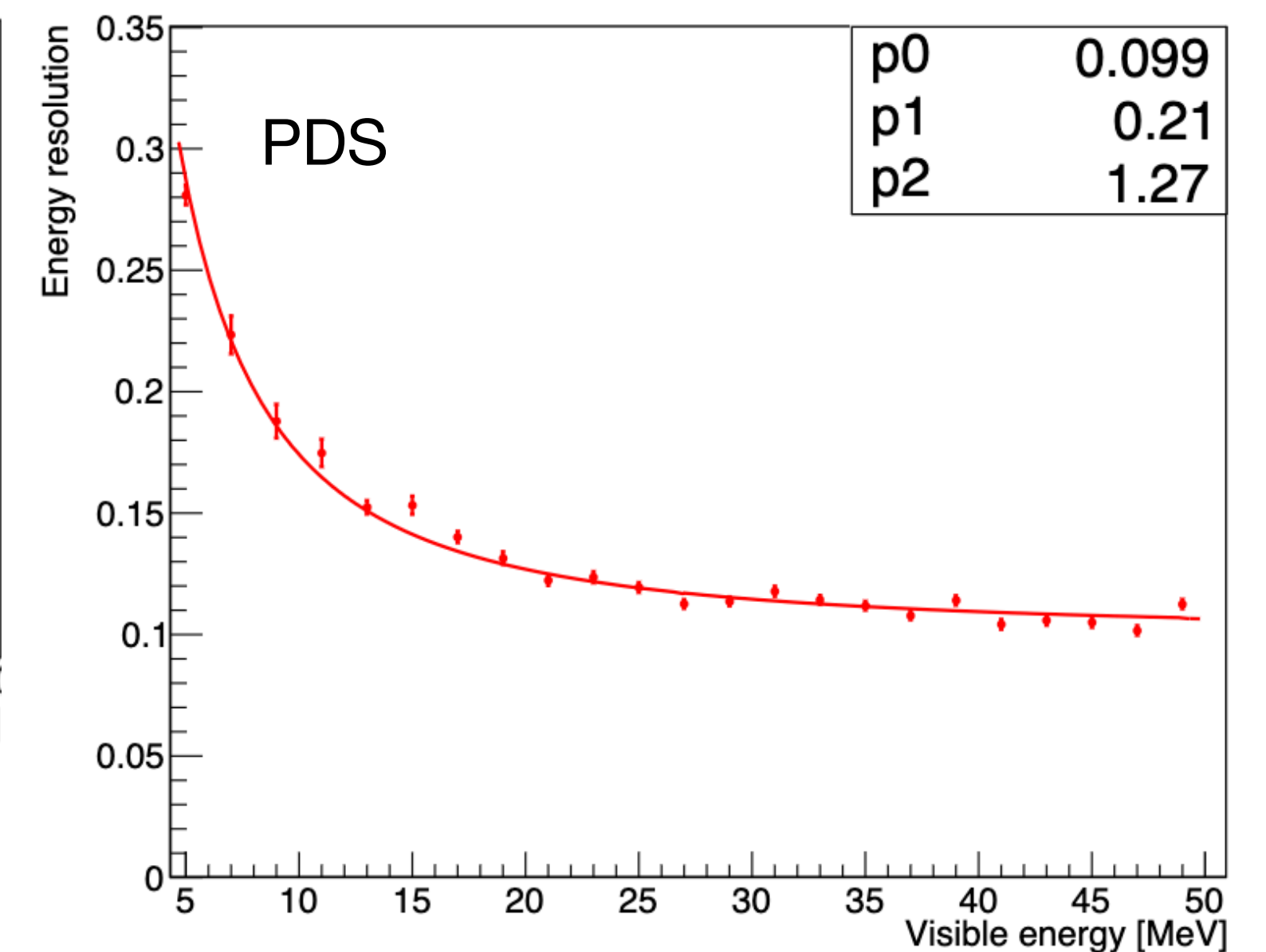
- Energy resolution for true energy
- PD and TPC similar energy resolution for ~ 20 PE/MeV light yield
- Can we improve it?

Energy resolution FD2-VD

DUNE FD2-VD TDR
ve CC signal sample

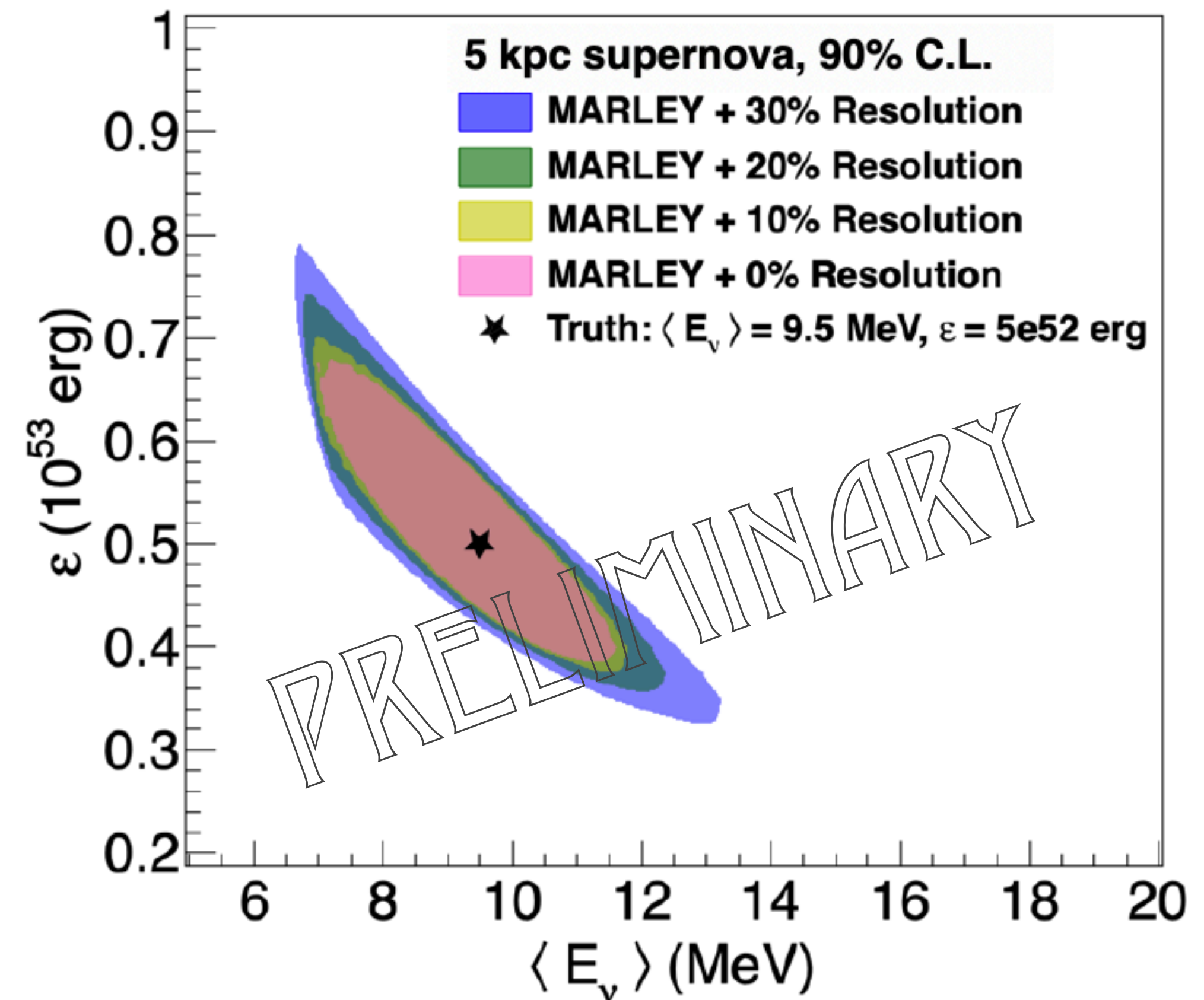
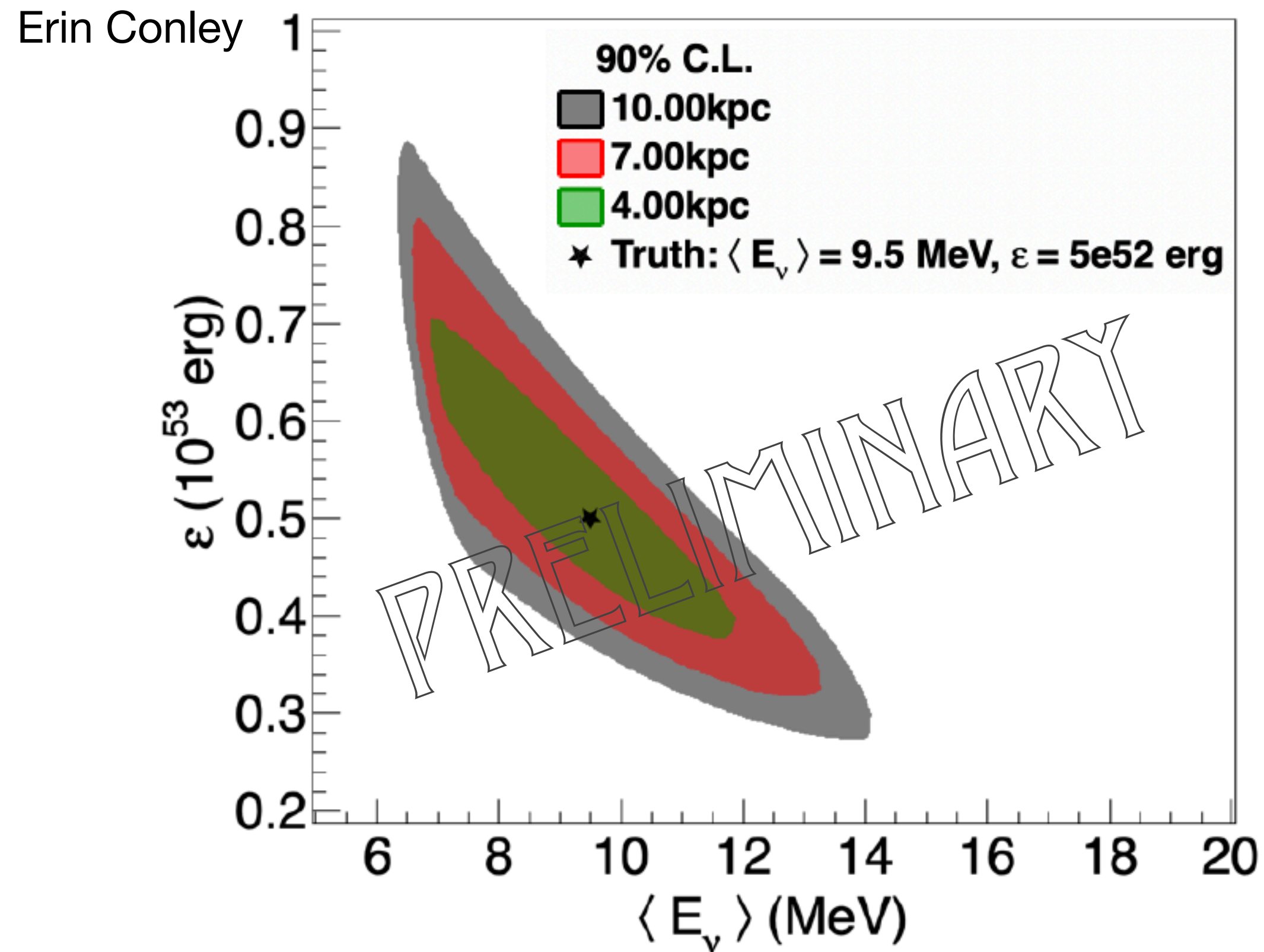


Assuming neutrino's true position in the detector
Statistical-only uncertainties



- Can we improve it?

Impact of energy resolution in SN physics

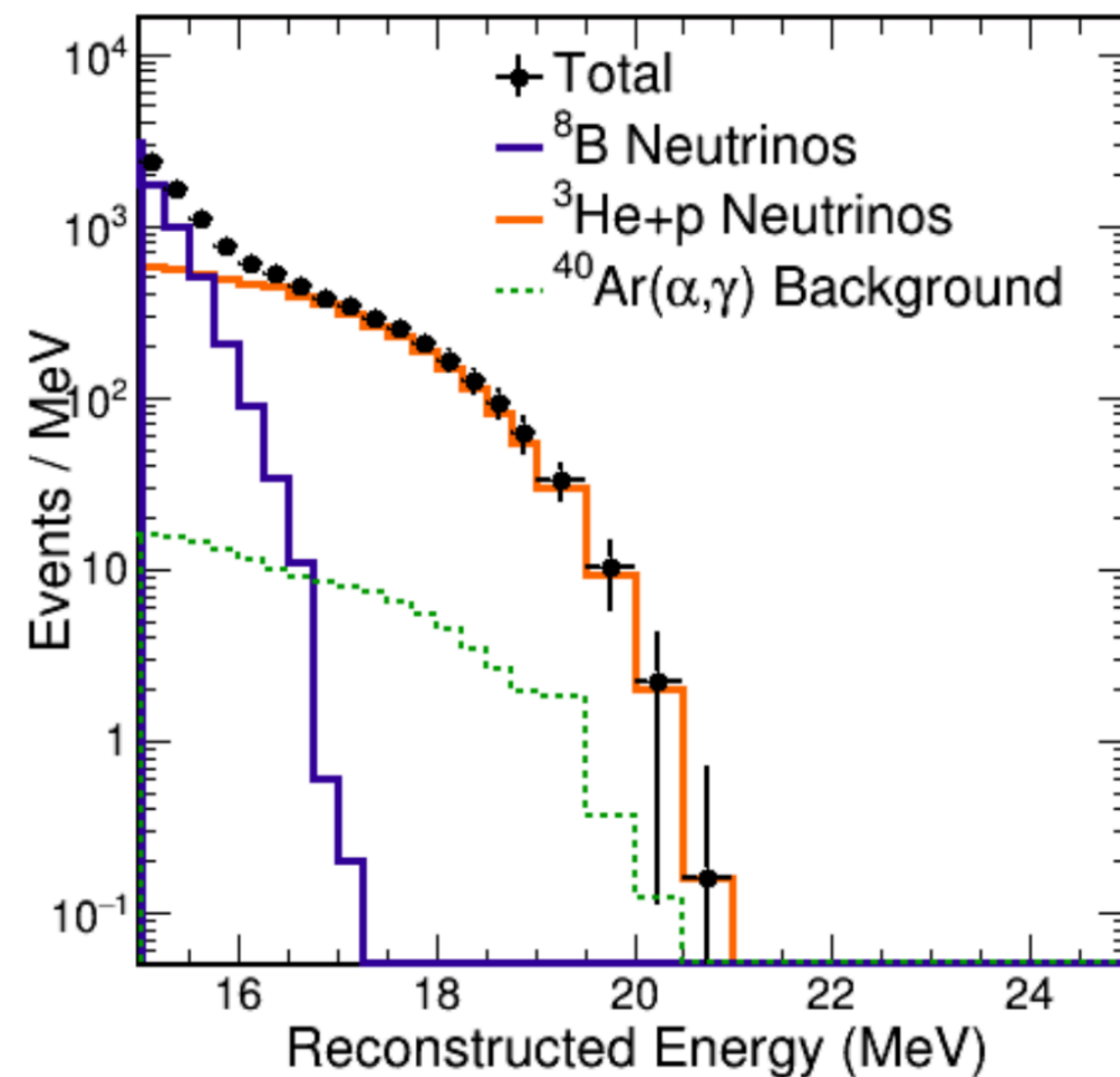


Precision of the measurement of SN spectral parameters is **limited more strongly by statistics than by energy resolution**

Impact of energy resolution in solar neutrinos

Dan Pershey

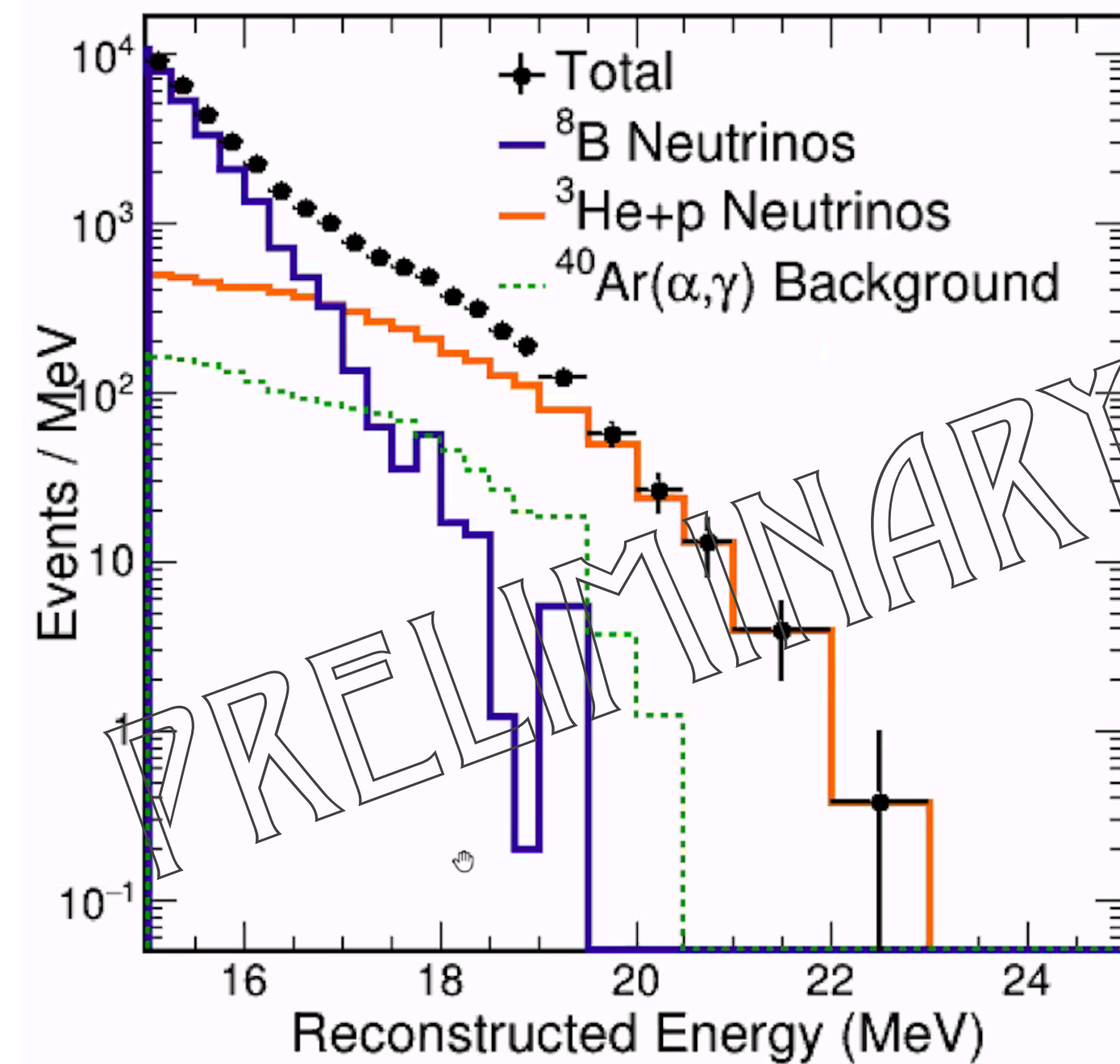
α backg x1/10
Optimistic smearing -50%



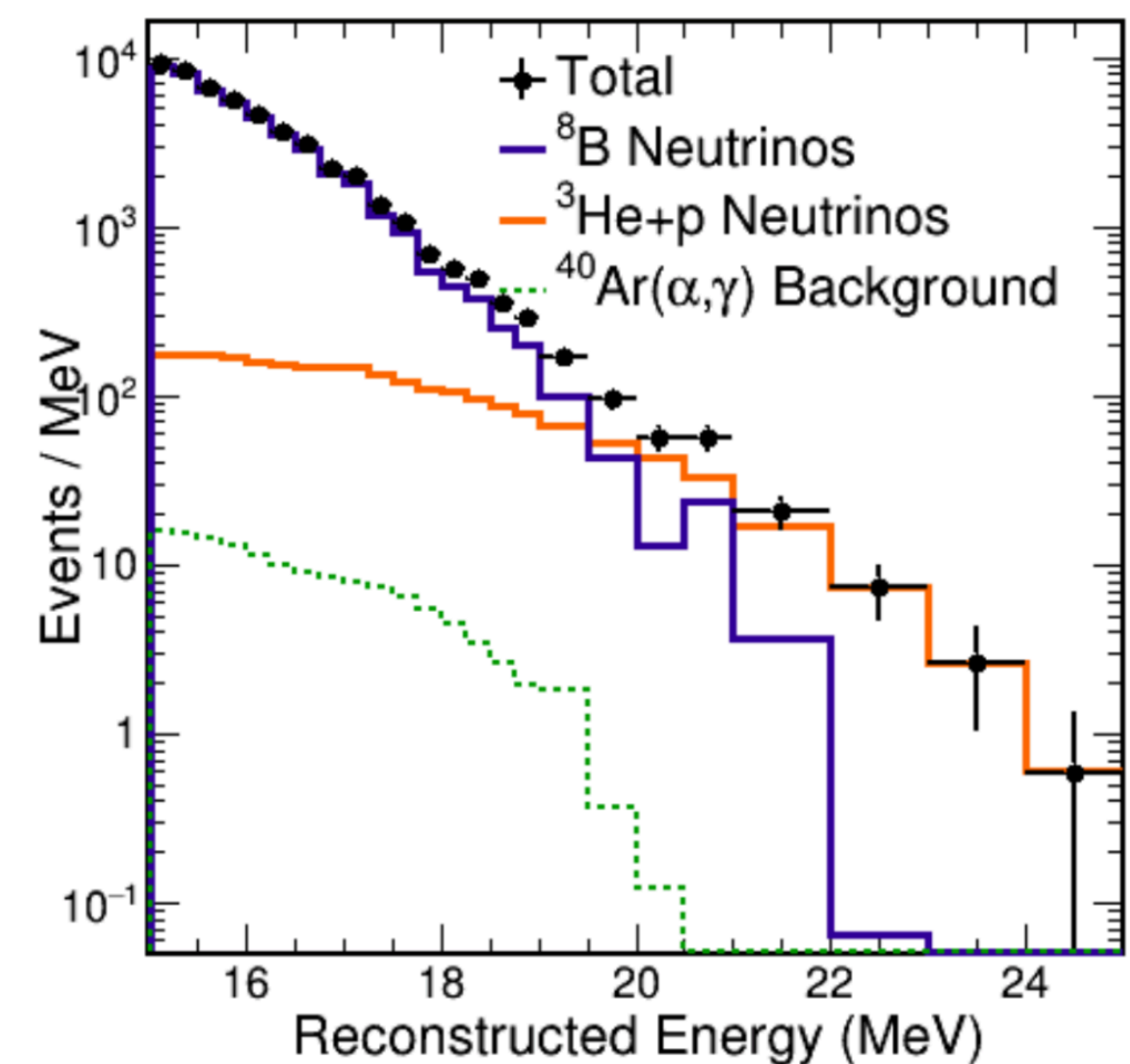
- 4-5% flux measurement
- 7 σ after 10 kt-yr



α backg x1/10
Pessimistic smearing +50%



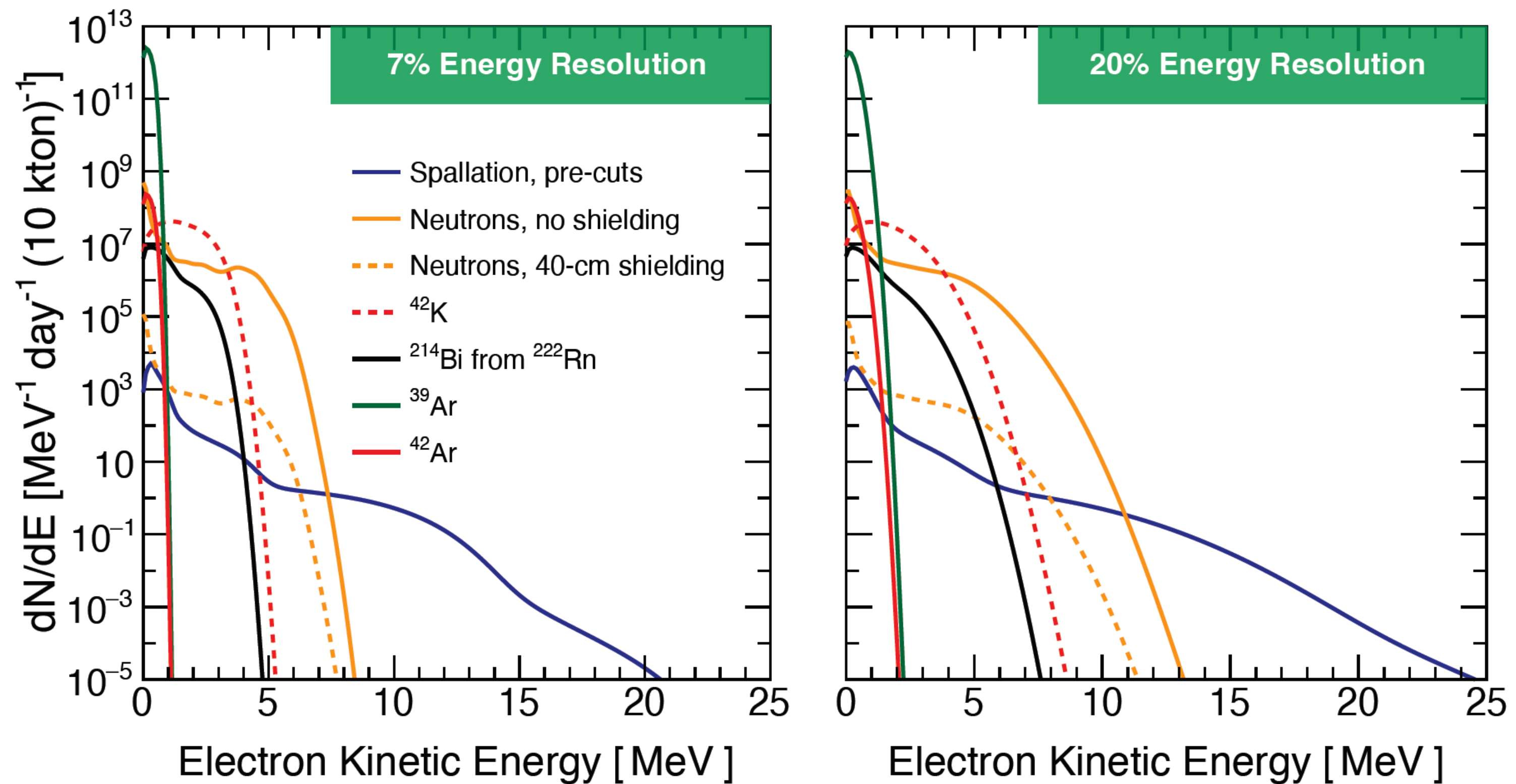
- 9% flux measurement
- 7 σ after 30 kt-yr



- 12% flux measurement
- 5 σ after 30 kt-yr

Impact of energy resolution in solar neutrinos

Capozzi et al., PRL 123, 131803 (2019)



- Impact on backgrounds
- Needed to distinguish the ^8B shoulder from the hep neutrinos

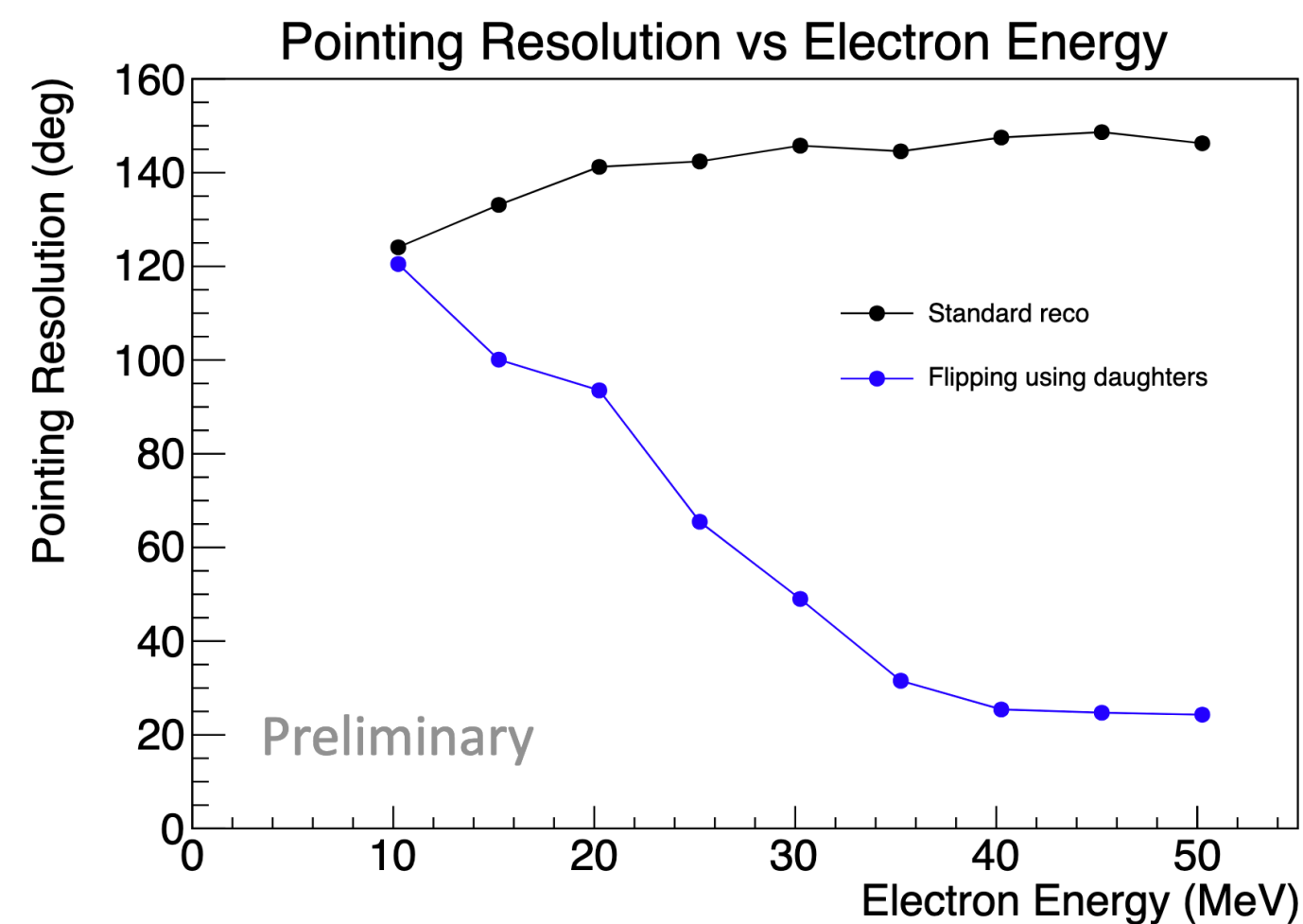
Background model

- Build a complete background model including:
 - ▶ Gammas from the rock
 - ▶ External neutrons
 - ▶ Cryostat and detector background
 - ▶ Liquid Argon background (Ar-42, Ar-39, Kr-85, Rn-222, ...)
- Develop reduction techniques:
 - ▶ Pulse shape discrimination
 - ▶ Self-shielding capabilities
 - ▶ ...

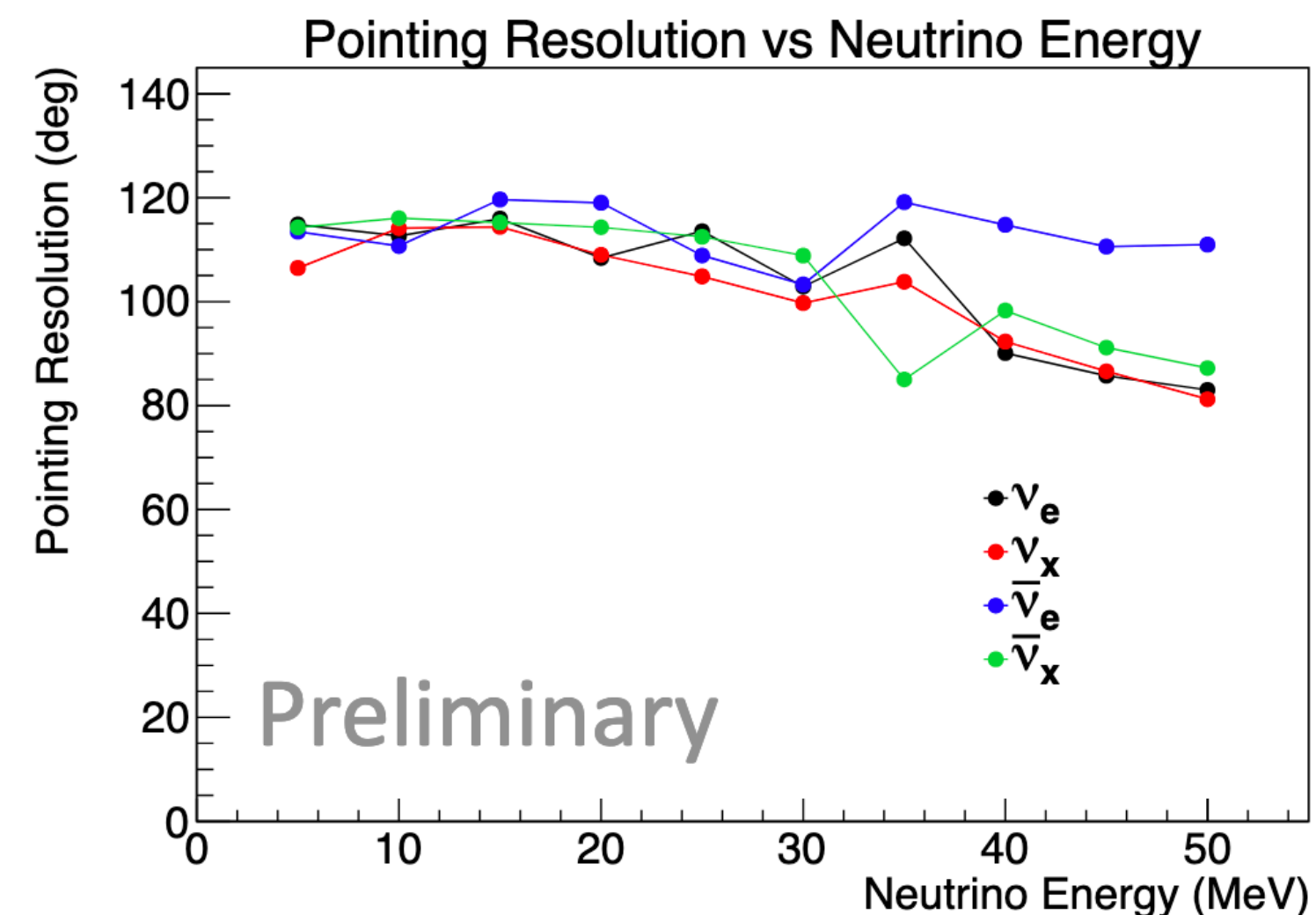
Pointing resolution studies in DUNE

- Event by event resolution limited by **statistics** and **reconstruction**
- Use statistical ensemble to point to supernova
- Pointing resolution = angle at which 68% of events are closer to truth

AJ Roeth



Single electron pointing resolution



ES reconstructed electron direction wrt neutrino direction

Reconstructed SN direction (from all electron directions and energies)

SN pointing resolution = **~5 (11) degrees** (10 kpc, 40kt (10 kt), GVKM model, ES + CC events)

- Can we use directionality for solar neutrinos?

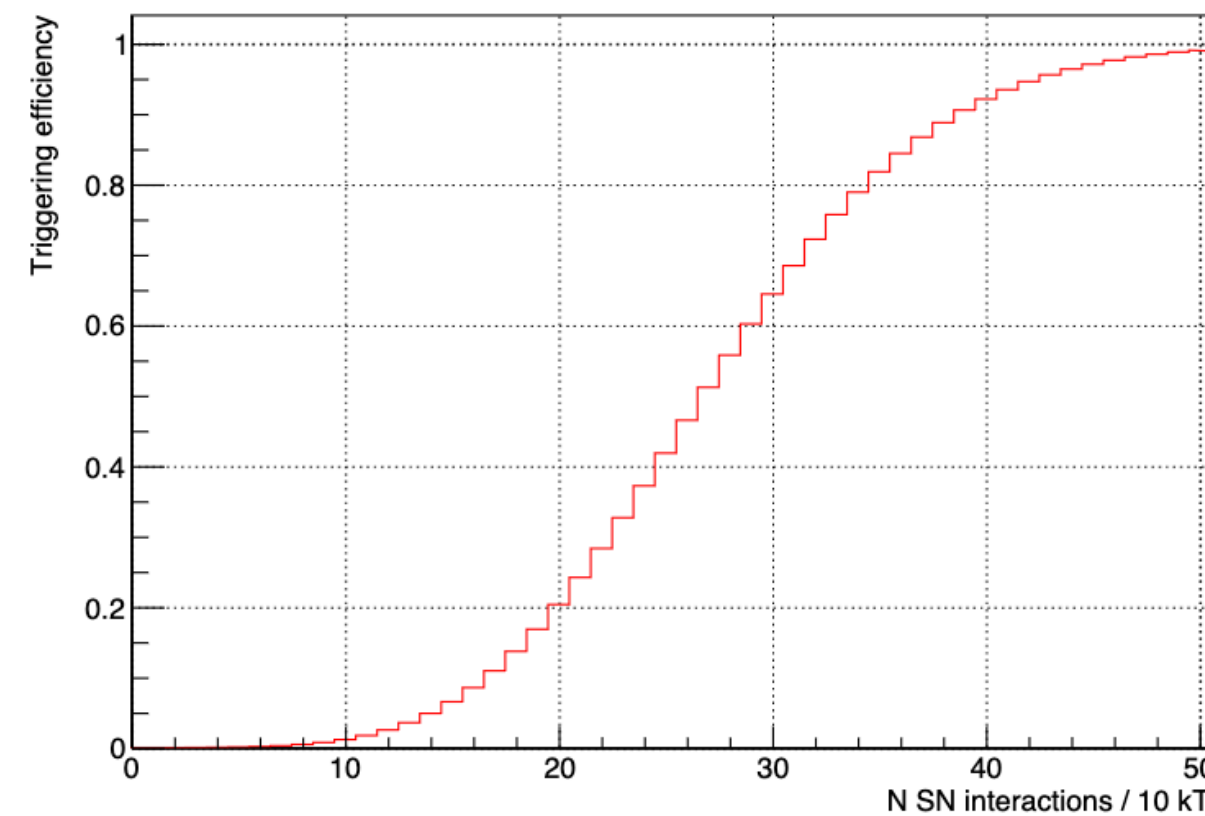
Timing resolution

- From SN physics point of view: **sub-ms** timing enough to identify time features
 - ▶ SASI oscillations: ~ 10 ms
 - ▶ Trapping of neutrinos in the stellar core before the neutralization burst: $\sim 1-2$ ms
- From the event reconstruction point of view:
 - ▶ During the first 50 ms of a 10-kpc-distant supernova, the mean interval between the successive neutrino interactions is **0.5-1.7 ms** depending on the model
 - ▶ Closer SN will produce higher neutrino rates (x10-100 higher)
 - ▶ **< 1 μ s** to assign a unique event time for a minimal energy deposition (10 MeV), spatial separation (1 m) and temporal separation (1 ms) - 1 mm position resolution

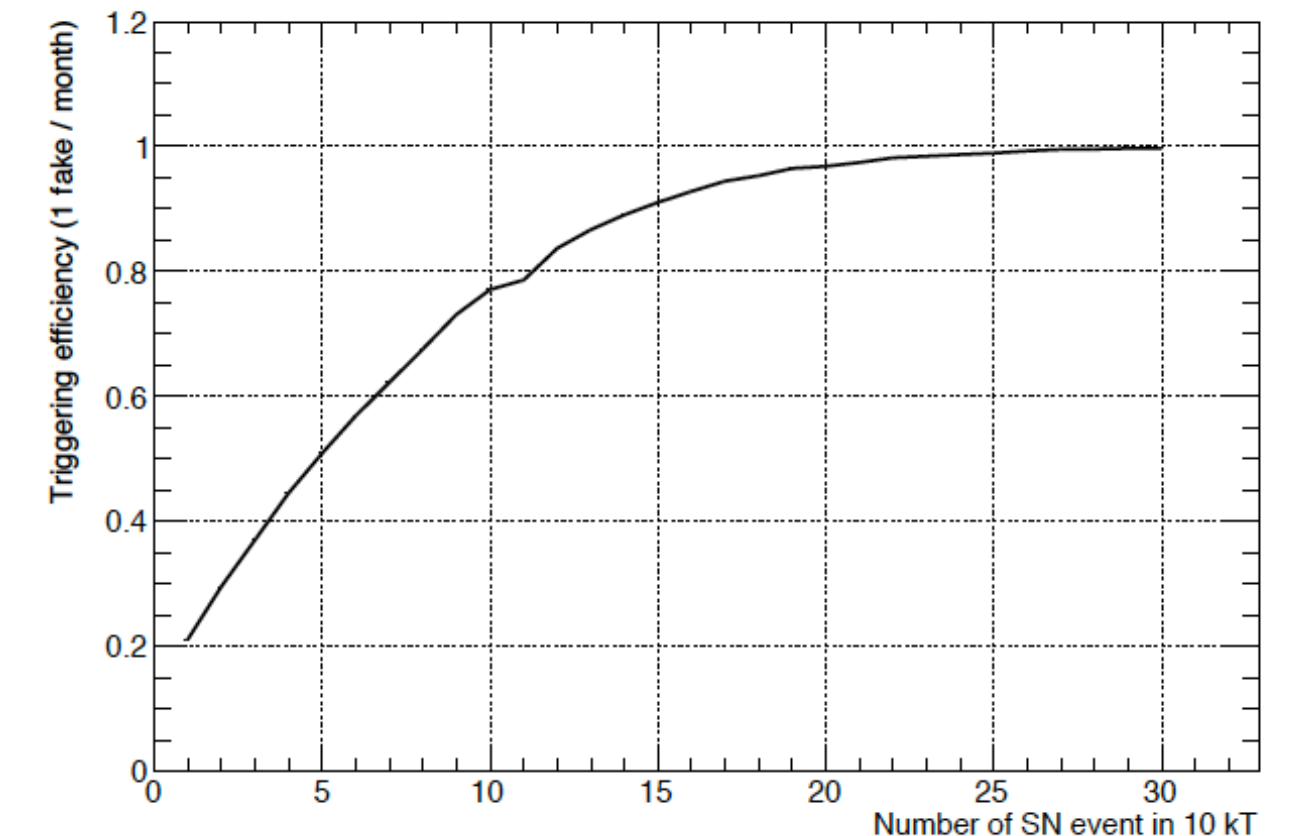
SN burst trigger

- Identification of the burst (time coincidence of multiple signals in the SNB timescale) with:
 - ▶ TPC trigger:
 - based on Trigger Primitives (hits from the collection wires) → Trigger Candidates (hit clusters based on correlation in **time** and **channel space**) → Trigger decision
 - ▶ PDS trigger:
 - Hits in photodetectors → Optical clusters (**time/spatial information**)
- Requirement: **>99% trigger efficiency in the whole detector for 50 interactions in 10 kt** (far side of our Galaxy) with false positive trigger rate less than 1/month (noise and radiological background)

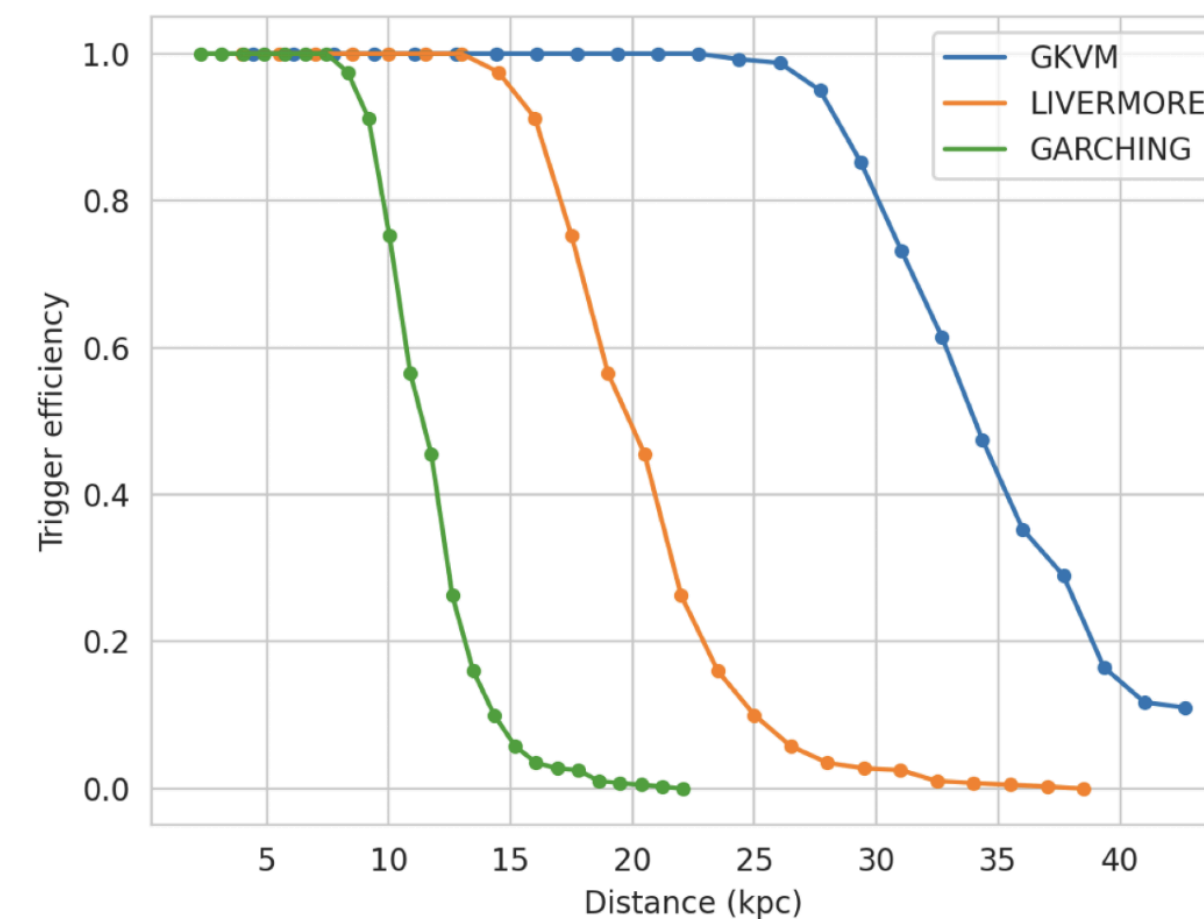
TPC trigger efficiency in FD-VD



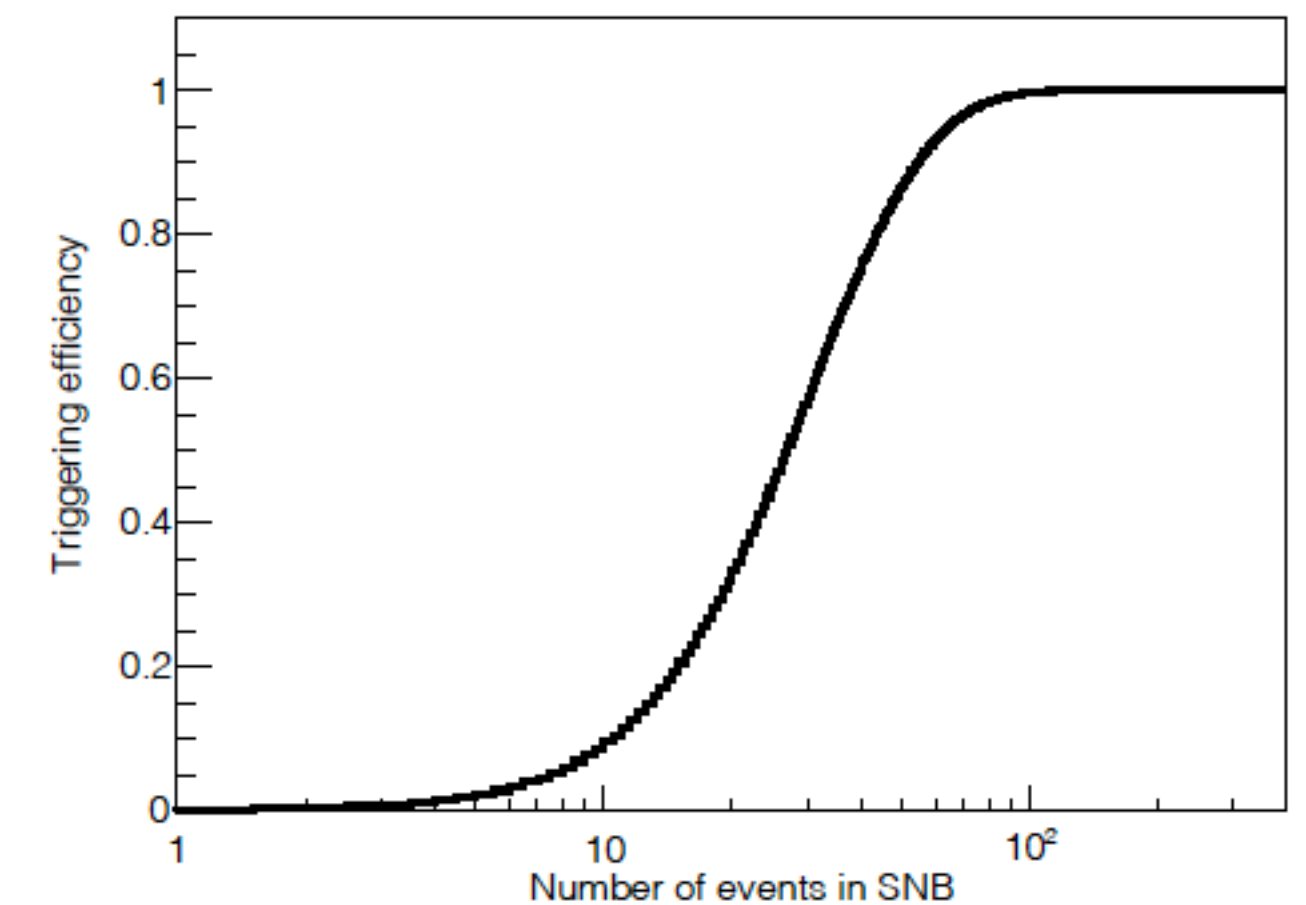
TPC trigger efficiency in SP FD



PDS trigger efficiency in FD-VD



PDS trigger efficiency in DP FD

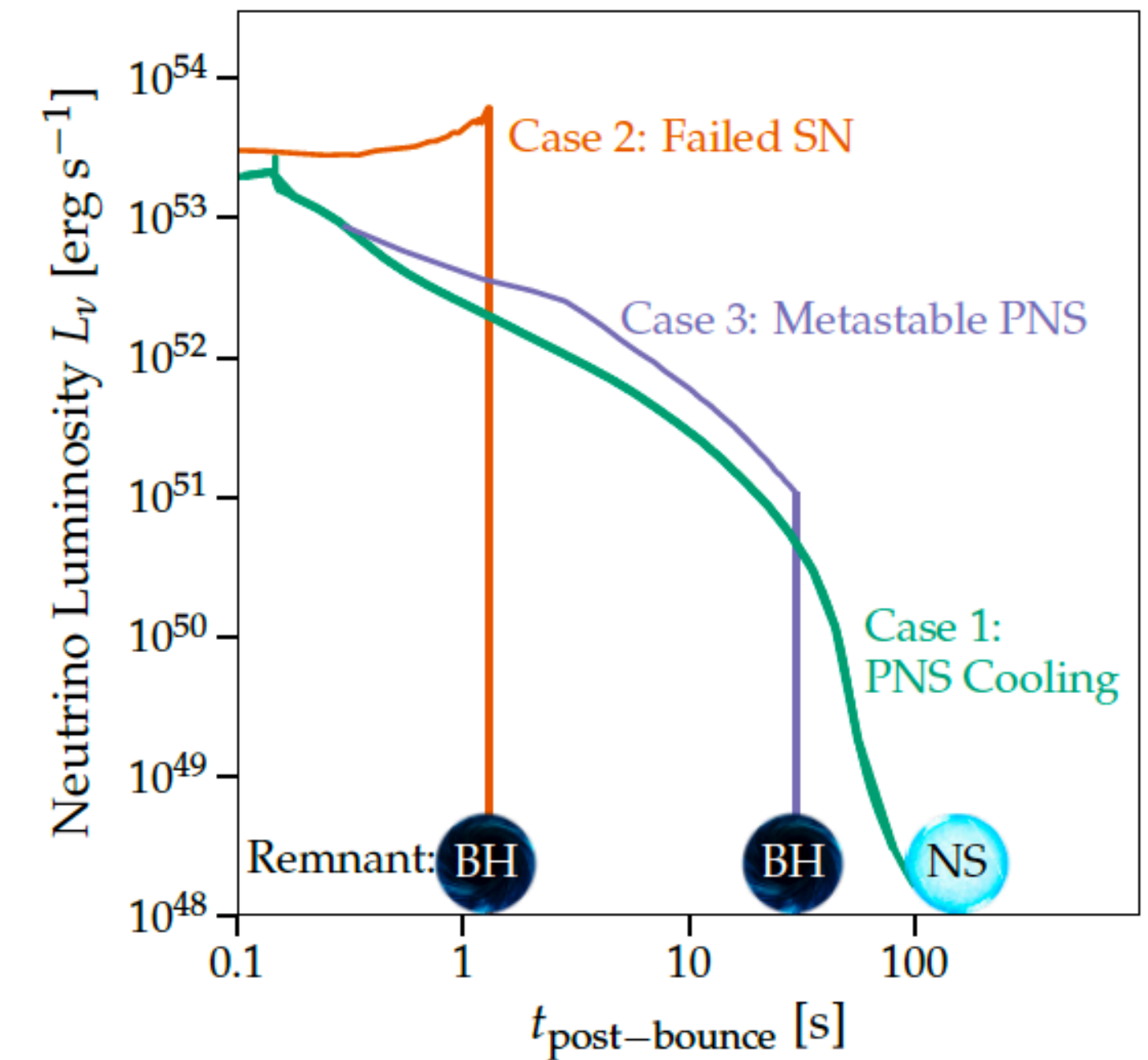
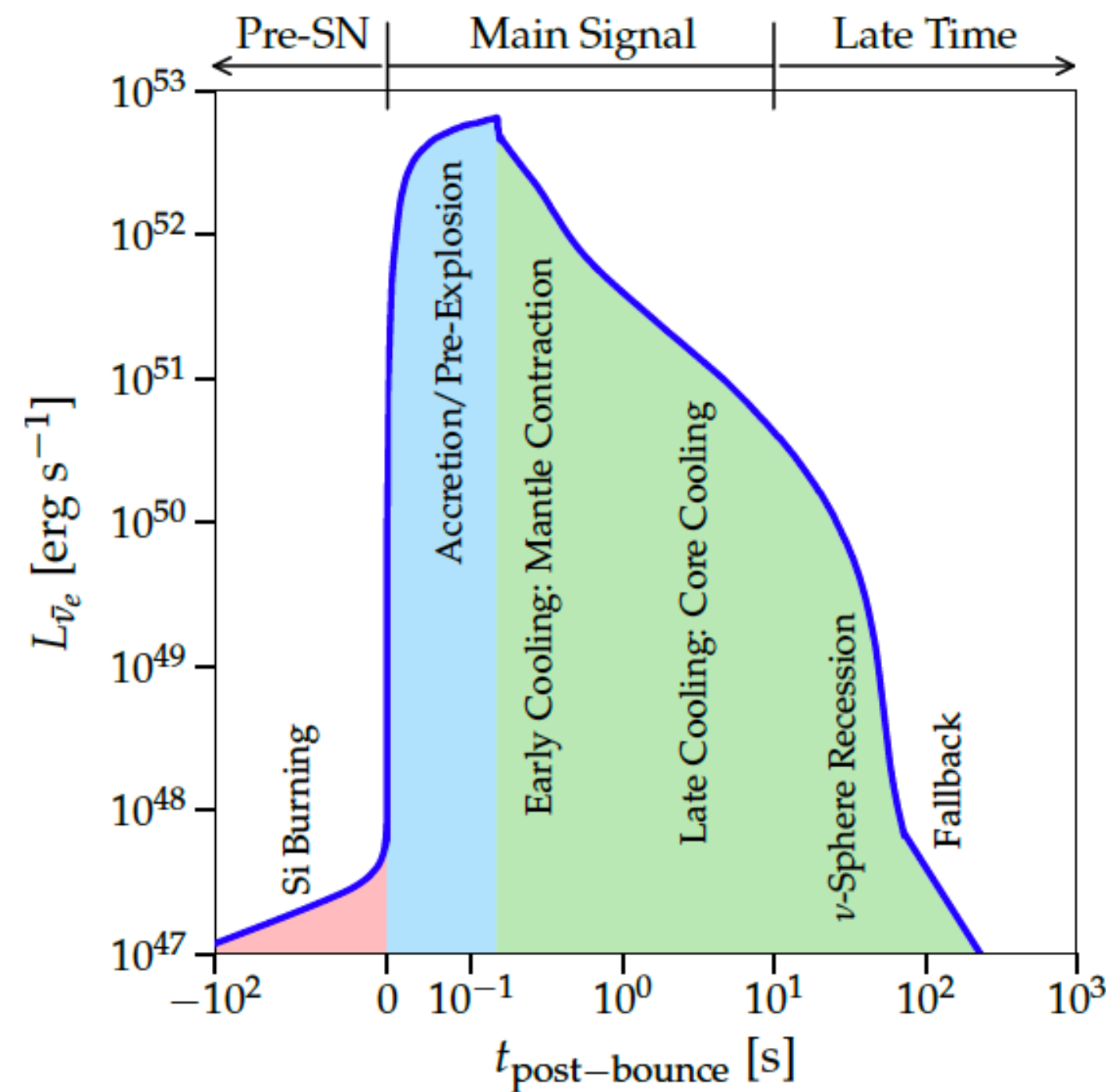


Solar Neutrino Trigger not studied yet in DUNE

Readout time

- By default, we considered 10 seconds as SNB readout time (mainly because the limitations from current theoretical models).
- However, interesting physics may happen at later stages (“as long as possible”)

Phase	Physics Opportunities
Pre-SN	early warning, progenitor physics
Neutronization	flavor mixing, SN distance, new physics
Accretion	flavor mixing, SN direction, multi-D effects
Early cooling	equation of state, energy loss rates, PNS radius, diffusion time, new physics
Late cooling	NS vs. BH formation, transparency time, integrated losses, new physics



- Current goal is to store full raw data **up to 100 seconds for full readout**

Other low energy (< 5 MeV) neutrino physics

- Possible physics goals:

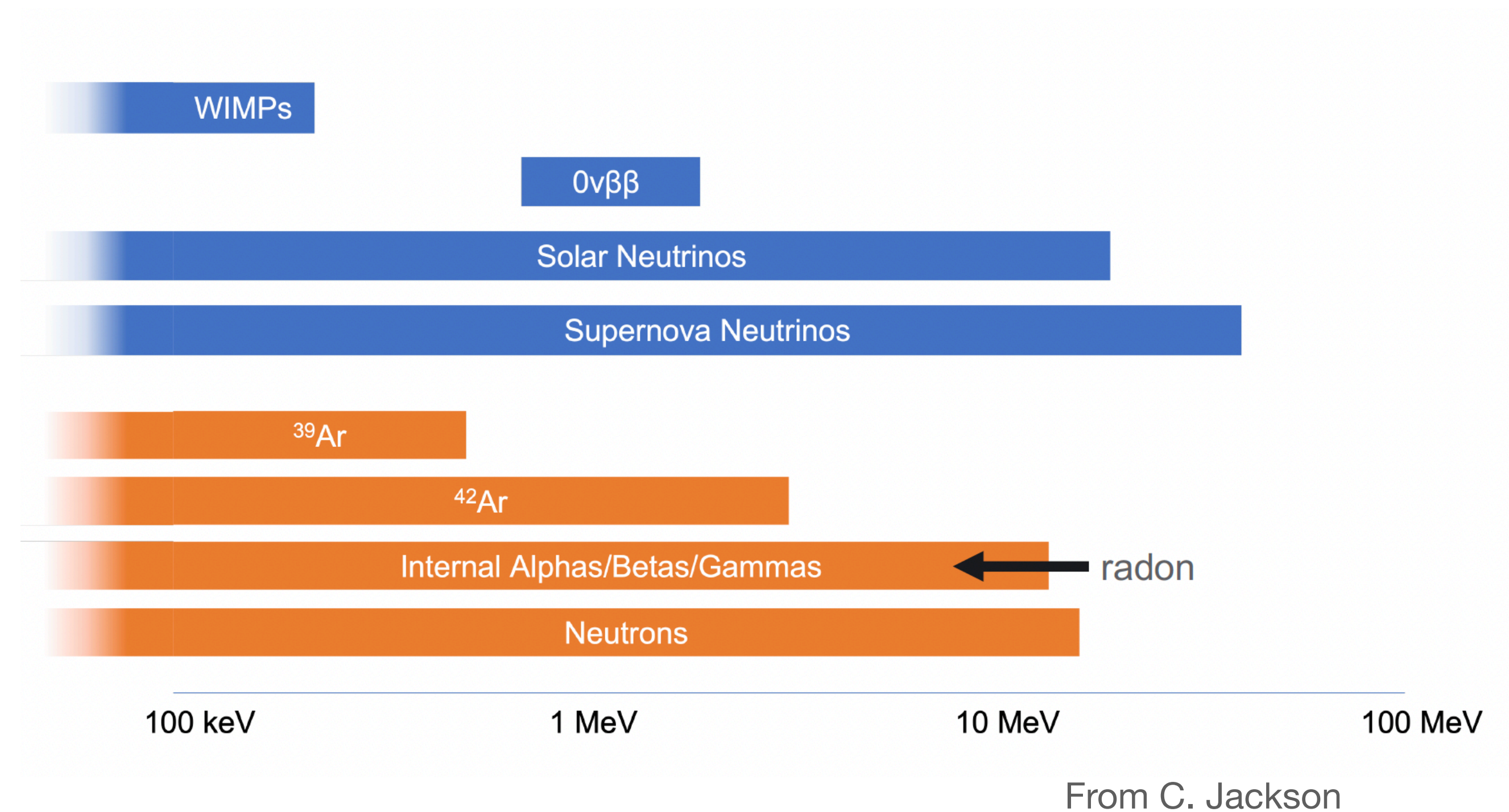
- ▶ Measurement of lower-energy neutrinos in real time with high statistics

- ▶ $0\nu\beta\beta$

- ▶ WIMP dark matter

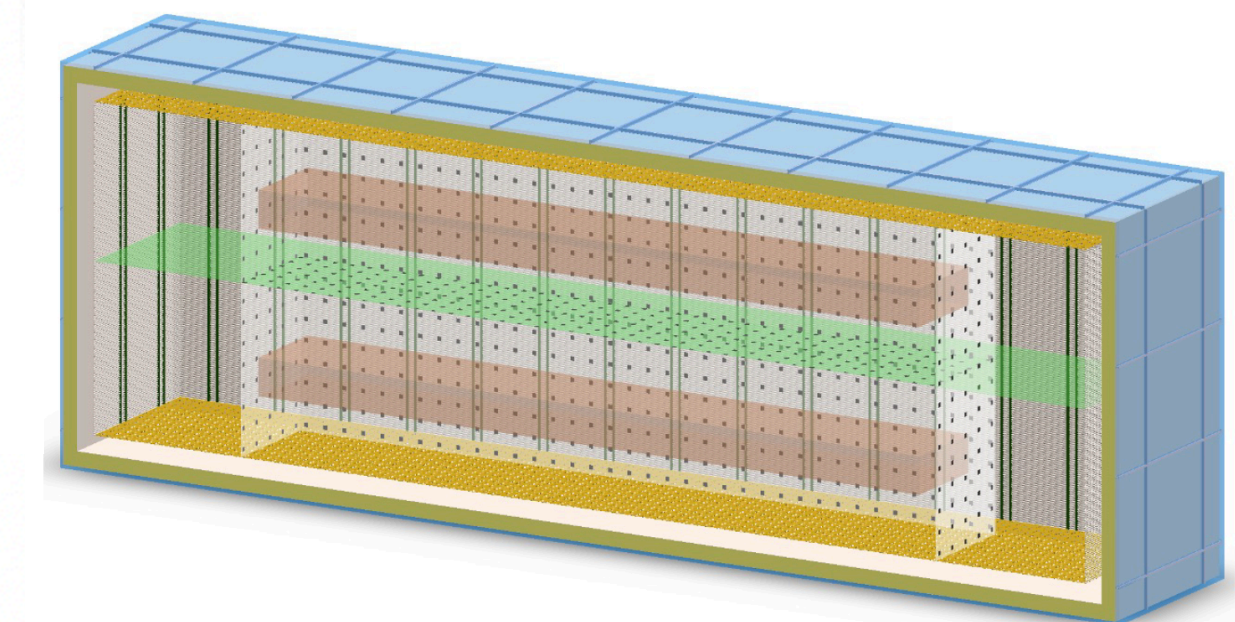
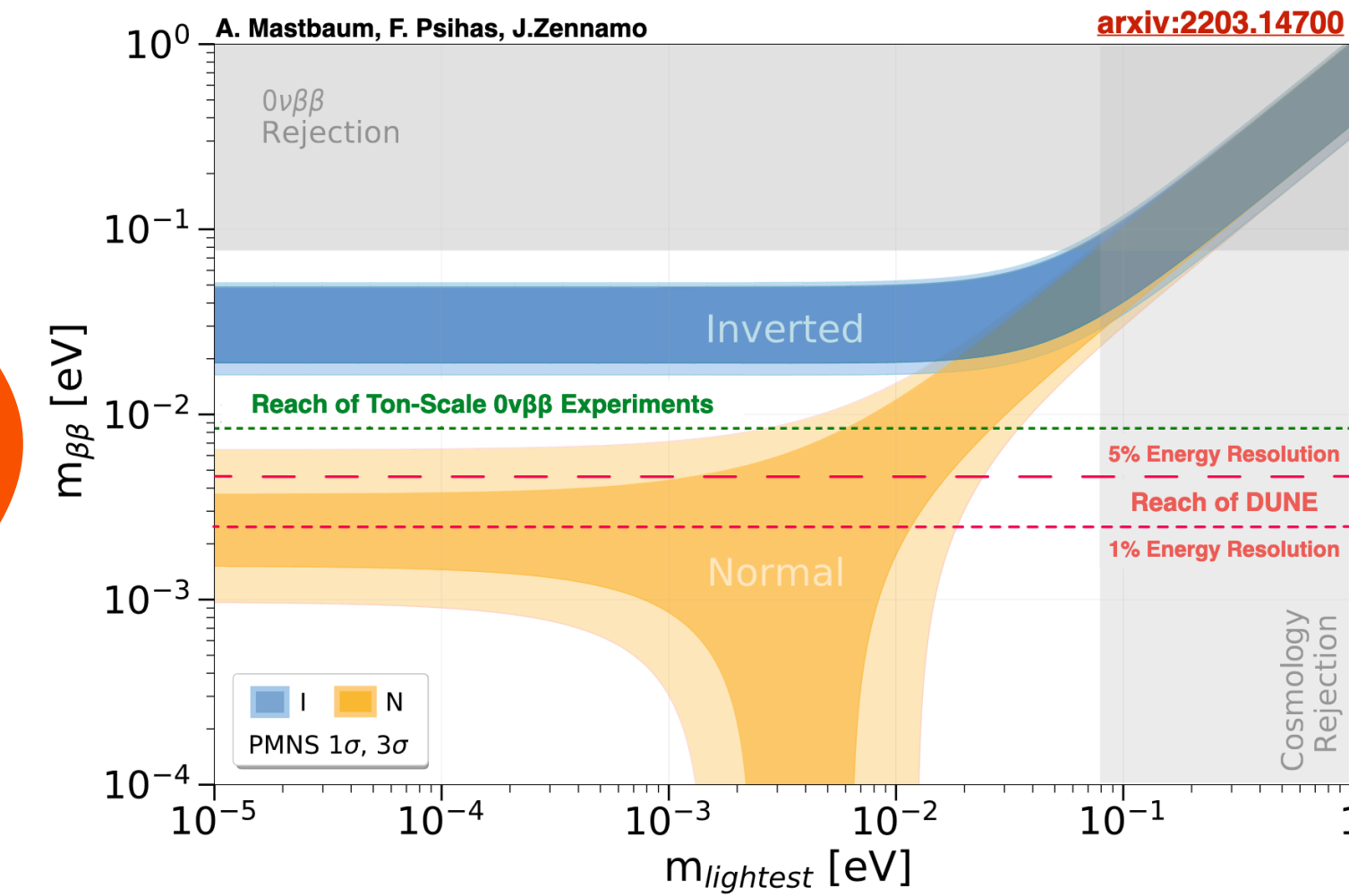
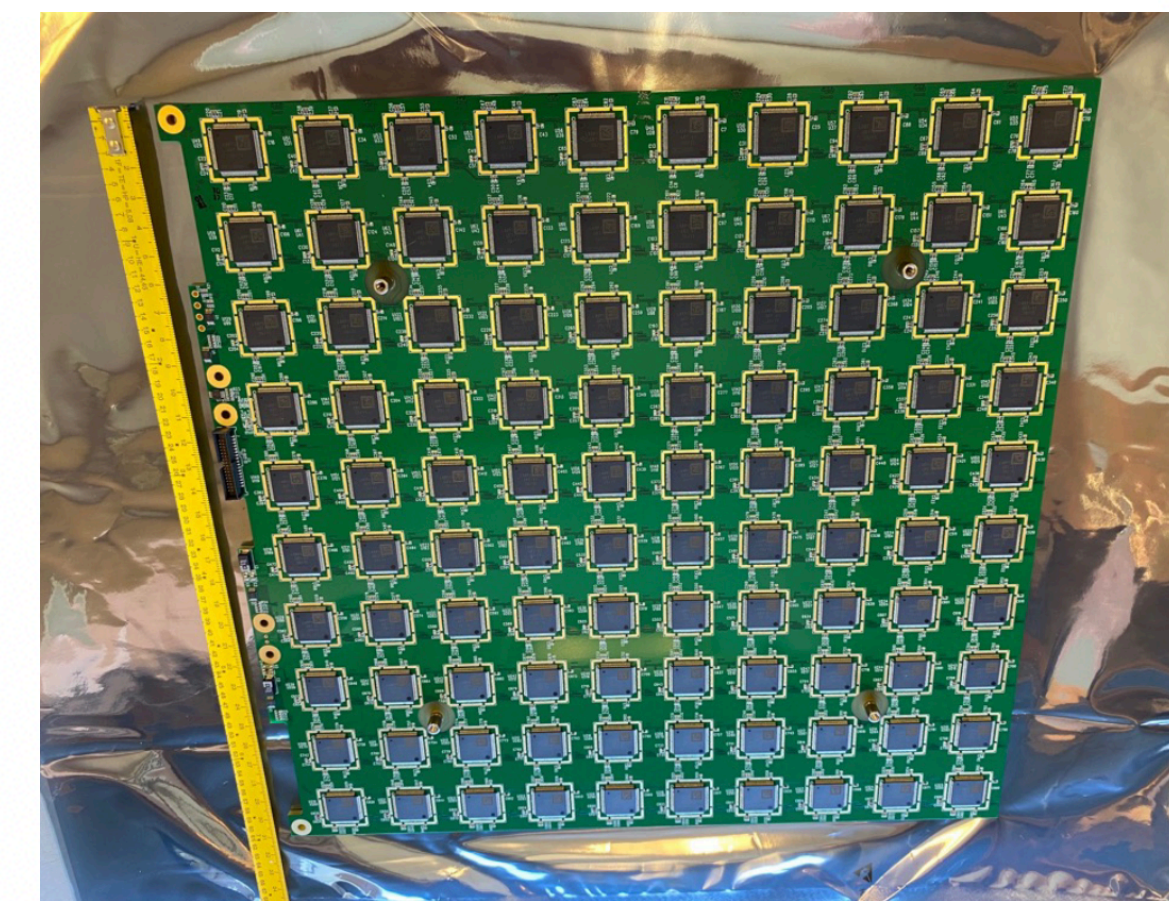
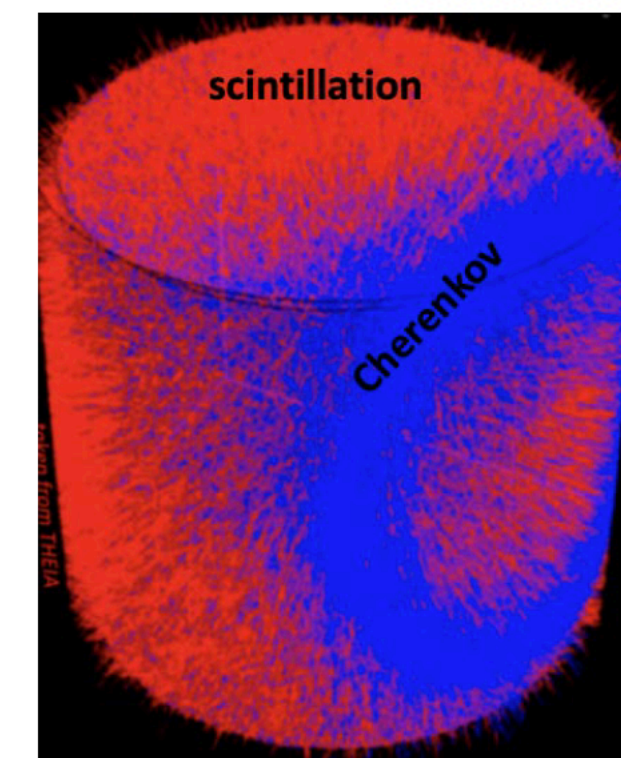
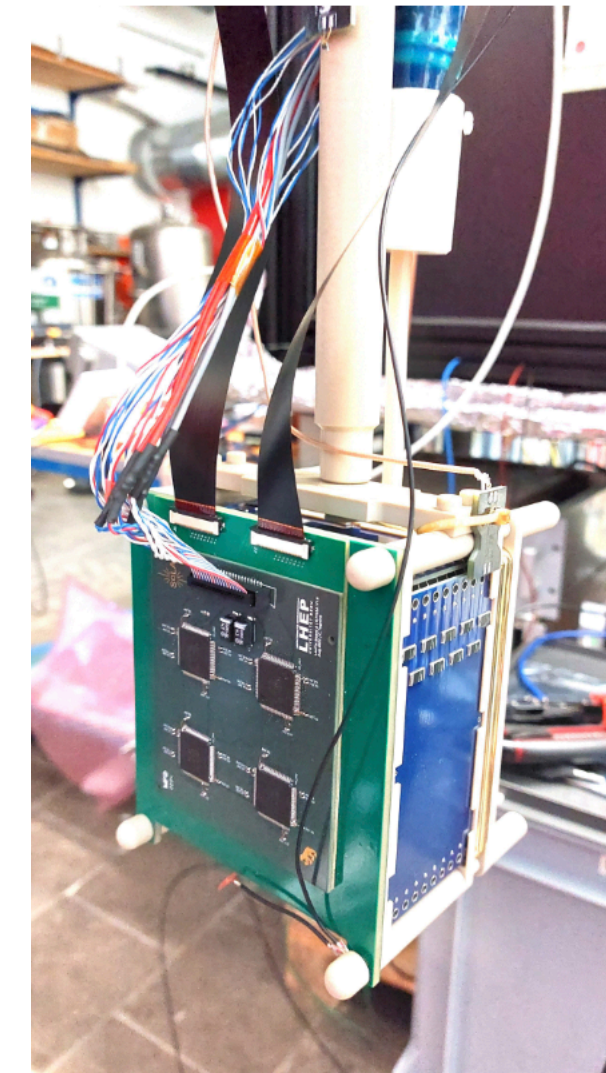
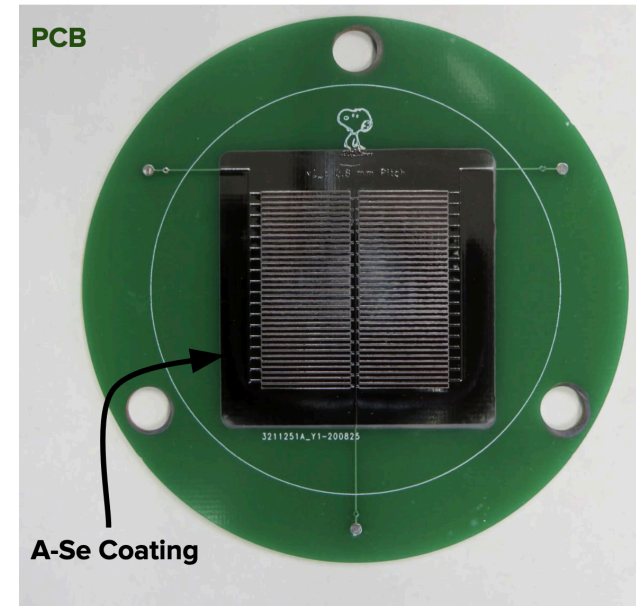
- ▶ CEvNS...

- Technologies ready for FD3?



Higher detector challenges (depending on the technology)

- External shielding
- Materials selection QA/QC
- Radon reduction
- Low-radioactivity underground argon
- % level energy resolution
- % level Xe-doping
- Photosensitive dopants
- ...



Conclusions

- DUNE is a best-in-class **long-baseline neutrino oscillation** experiment
 - ▶ Mass ordering and initial measurements in Phase I
 - ▶ CP violation, precision measurements, and search for new physics in Phase II
- DUNE has unique sensitivity to **MeV-scale neutrinos**
 - ▶ Only experiment sensitive to supernova ν_e
 - ▶ Detection of hep solar flux and measurement of solar parameters
 - ▶ Opportunities to greatly enhance LE reach in Phase II
- DUNE has a rich and broad **BSM program**
 - ▶ BSM oscillations with large L/E range and large matter effect
- DUNE is both **competitive** with, and **complementary** to the global experimental program

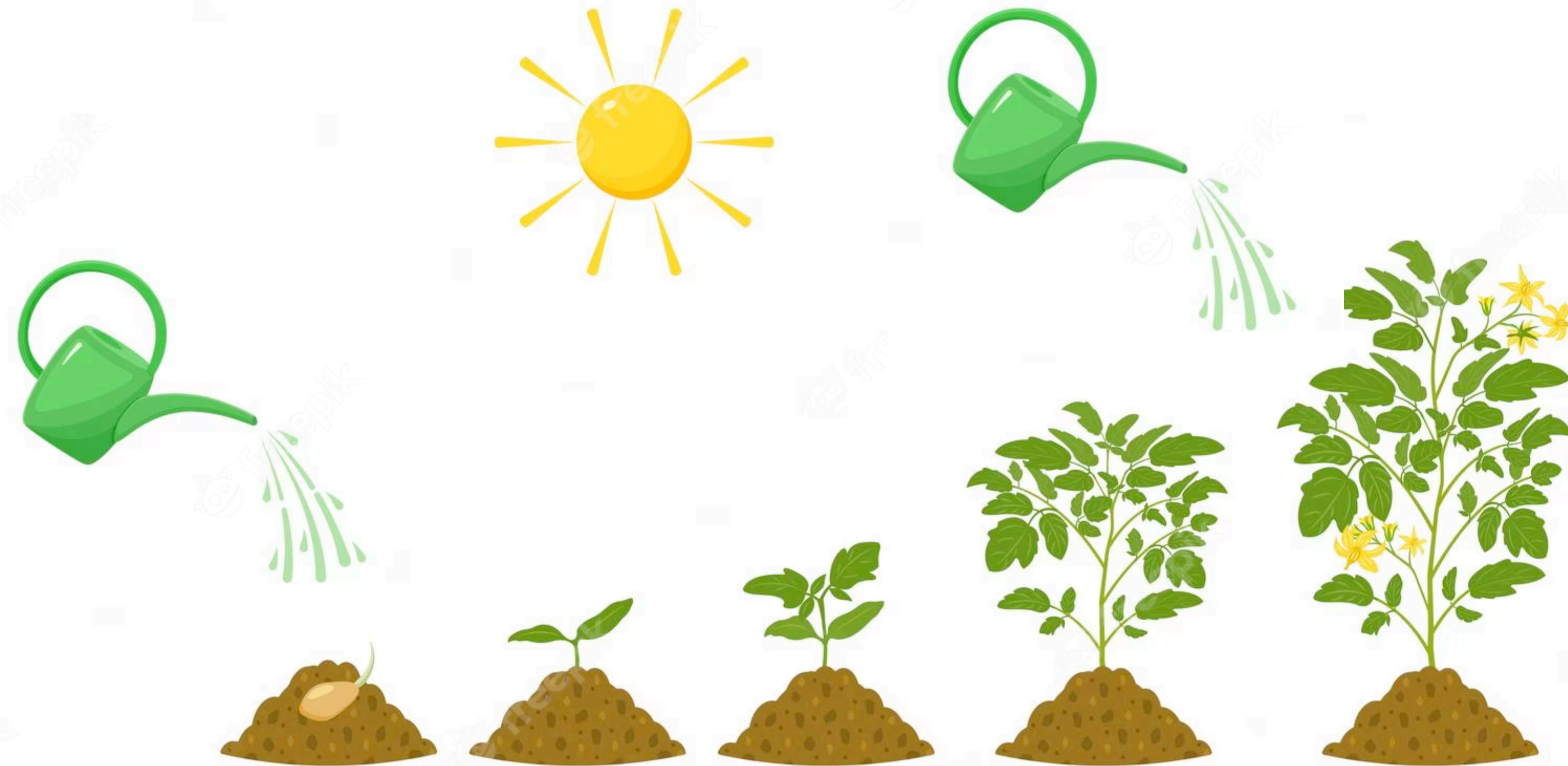


DUNE PHASE I



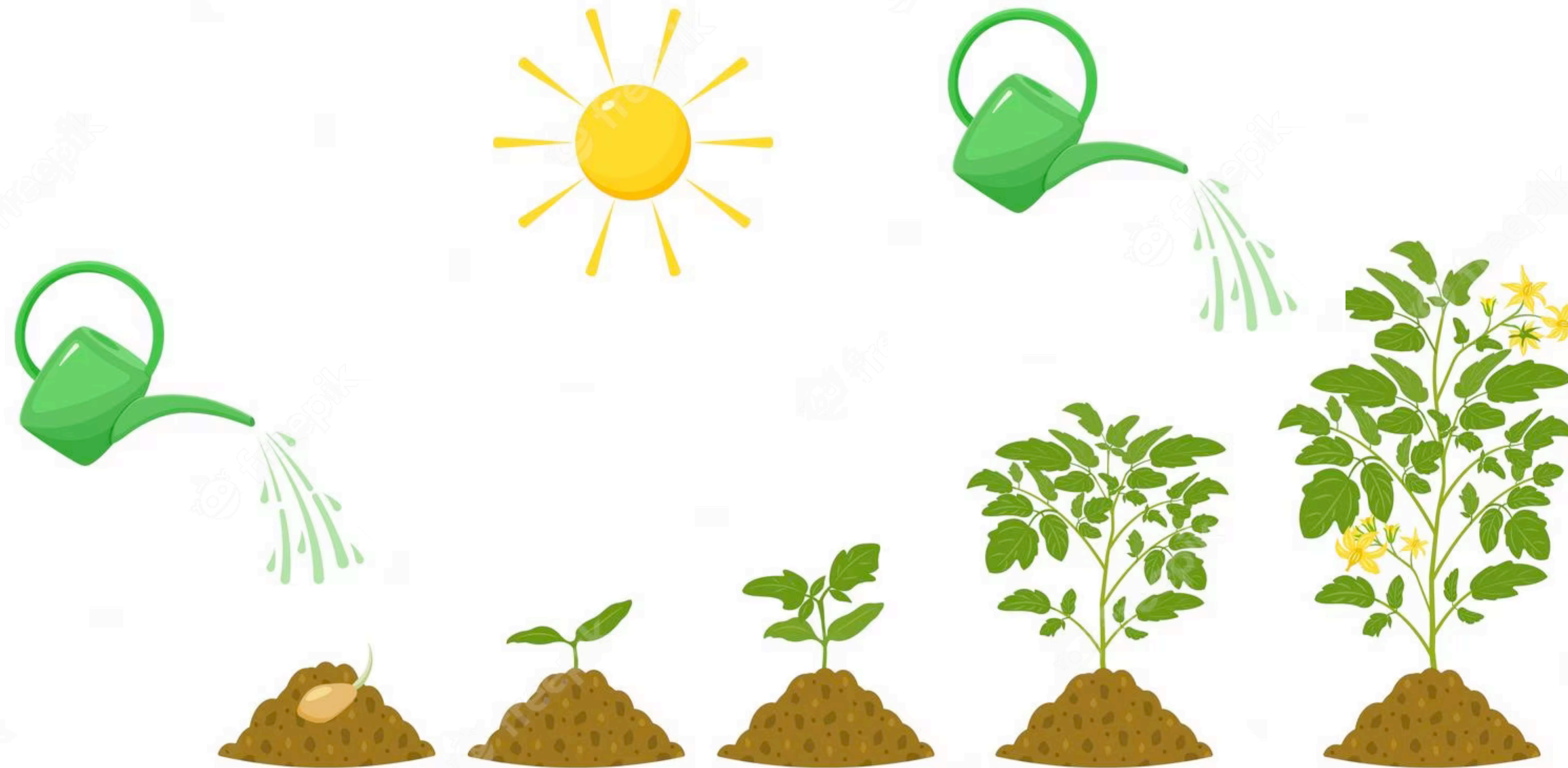
DUNE PHASE I

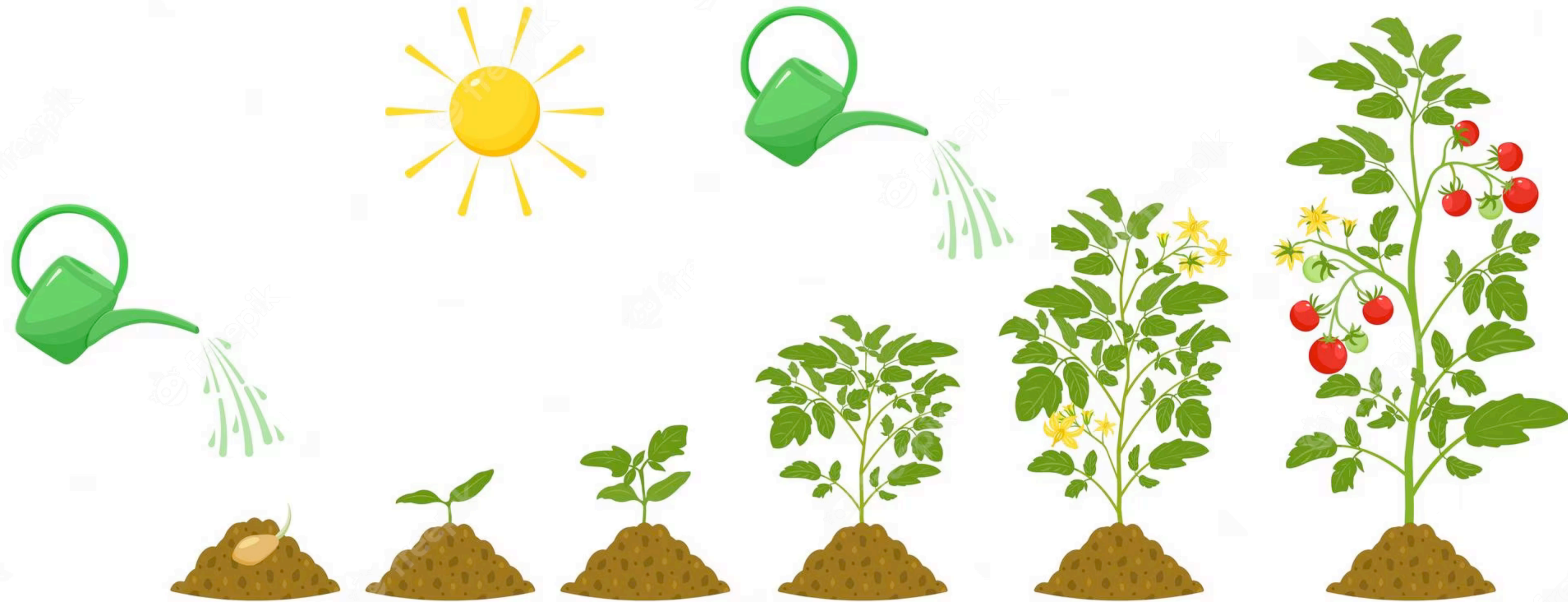
DUNE PHASE II



DUNE PHASE I

DUNE PHASE II





DUNE PHASE I

DUNE PHASE II

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