

Genie

event generator and recent developments



Dr Marco Roda
marco.roda@liverpool.ac.uk

on behalf of the GENIE collaboration

15 April 2024 - NuINT 2024
Sao Paulo, Brazil

UNIVERSITY OF
LIVERPOOL



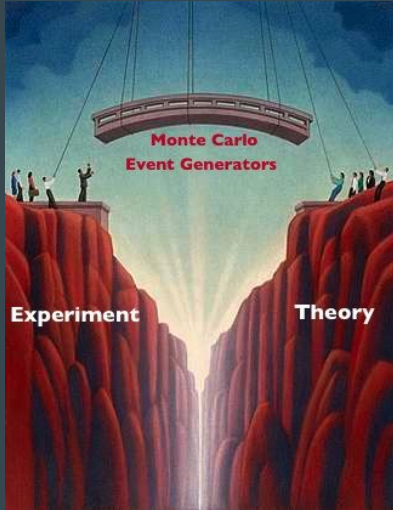
Collaboration

Luis Alvarez-Ruso (IFIC), Costas Andreopoulos (Liverpool and STFC/RAL), Adi Ashkenazi (Tel Aviv),
Joshua Barrow (Tel Aviv; MIT), Steve Dytman (Pittsburgh), Hugh Gallagher (Tufts),
Alfonso Andres Garcia Soto (Harvard and IFIC), Steven Gardiner (Fermilab), Matan Goldenberg (Tel Aviv),
Robert Hatcher (Fermilab), Or Hen (MIT), Igor Kakorin (JINR), Konstantin Kuzmin (ITEP and JINR), Weijun Li (Oxford),
Xianguo Lu (Warwick), Anselmo Mereaglia (Bordeaux, CNRS/IN2P3), Vadim Naumov (JINR), Afrodit Papadopoulou (MIT),
Gabriel Perdue (Fermilab), Komninos-John Plows (Liverpool/Oxford), Marco Roda (Liverpool), Beth Slater (Liverpool),
Alon Sportes (Tel Aviv), Noah Steinberg (Fermilab), Vladyslav Syrotenko (Tufts), Júlia Tena Vidal (Tel Aviv), Jeremy Wolcott (Tufts),
Qiyu Yan (UCAS and Warwick)

[Faculty, Postdocs, PhD Students, Master Students]

- 27 active authors
 - With many different backgrounds
 - 14 institutions from various countries
- About 10 past authors
- Many contributors for specific projects that are not authors
 - will be highlighted with their specific contributions

Our vision for MC generators



- Connect neutrino fluxes and observables
 - predict event topologies and kinematics
- Experiments and analysers need more
 - Coverage of physics processes
 - Uncertainty validation against data
 - Tune against data in order to obtain
 - Optimised initial configuration
 - Data-driven constraints of the generator parameters
 - Capability to propagate configuration changes to prediction
 - Usually reweighting
 - Support for geometry and flux
- Core Mission
 - Framework “... provide a state-of-the-art neutrino MC generator for the world experimental neutrino community ...”
 - Universality “... simulate all processes for all neutrino species and nuclear targets, from MeV to PeV energy scales ...”
 - Global fit “... perform global fits to neutrino, charged-lepton and hadron scattering data and provide global neutrino interaction model tunes ...”

Status overview

- Well established generator
 - Used by many experiments around the world
 - Main new addition is JUNO
 - Main generator for all the LAr experiments
- Two main efforts
 - Model development
 - Tuning
- Contacts, details and code are all available from our website: www.genie-mc.org/
- Latest release: version 3.04.02, released in April 2024
 - Previous release was 3.04.00, released in March 2023
 - <http://releases.genie-mc.org/>
- Recent publications
 - Neutrino-nucleon cross-section model tuning in GENIE v3 - [Phys.Rev.D 104 \(2021\) 7, 072009](#)
 - Hadronization model tuning in genie v3 - [Phys.Rev.D 105 \(2022\) 1, 012009](#)
 - Recent highlights from GENIE v3 - [Eur.Phys.J.ST 230 \(2021\) 24, 4449-4467](#)
 - Neutrino-nucleus $CC0\pi$ cross-section tuning in GENIE v3 - [Phys. Rev. D 106 \(2022\) 11, 112001](#)
 - First combined tuning on transverse kinematic imbalance data with and without pion production constraints - [Arxiv 2404.08510](#)

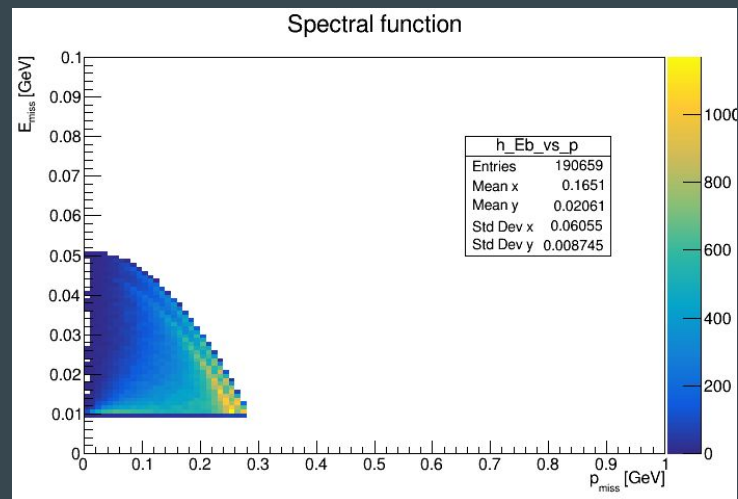
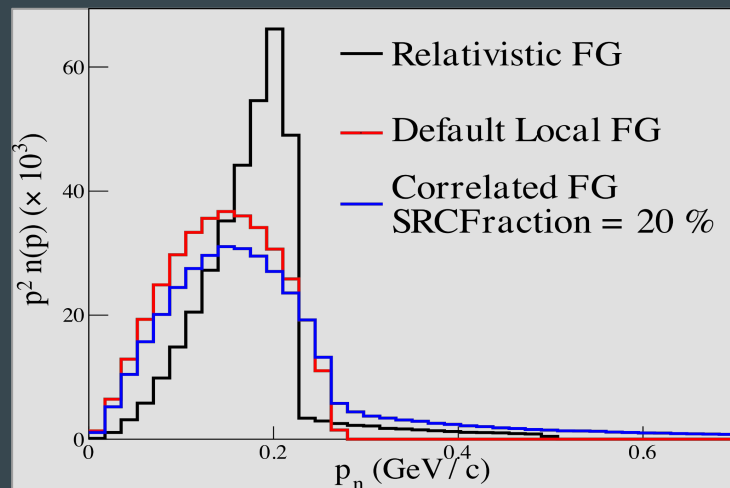
Physics overview

- Large physics coverage:
 - From very low energy (coherent scattering) to very high energy
 - Various incoming particles: neutrinos, electrons and hadrons
 - Extensive variety of models for GeV region
 - QEL (LS, Nieves, SuSAv2, ...)
 - 2p2h (Nieves, SuSAv2, empirical)
 - Resonant (RS, BS)
 - Nuclear Initial states: RFG, variations of LFG
 - FSI: two internally developed (hA, hN), two from 3rd party (INCL, Geant4)
 - etc, list too long to be reported here
 - We also have a number of BSM processes
 - For interactions (dark neutrino)
 - Or more exotic processes: nucleon decay, NNBar oscillations
- The combinations are unlimited especially if we add parameters in the mix
 - We developed the concept of TUNE to make sure to provide consistent configurations
 - Of course users are free to create their own
 - We do consider maintaining configurations designed by users, should there be interest
 - <http://tunes.genie-mc.org/>
- Tools
 - Flux and geometry support
 - Including a support for external event library
 - additional package for reweight that is now a separate repository

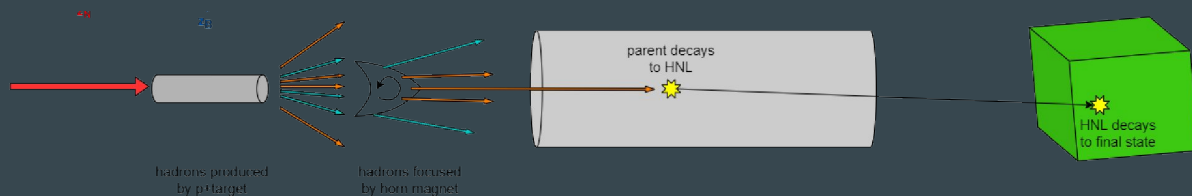
Modelling effort

Initial state: Correlated Fermi Gas

- Attempt to model the high energy tail
 - Measured at electron scattering
 - [Phys. Rev. C 68, 014313](#)
 - expected from two-nucleon short range correlations
- Implementation inspired by
 - <https://arxiv.org/abs/1710.07966>
- Final result: extension of the Local FG
 - Fraction of nucleons are above Fermi momentum
- In v3.4.0 we also added the possibility for the binding energy to be a function of the nucleon momentum
 - We call it spectral-function-like approach
 - It's not a full implementation of the spectral function
 - It just populates the space
 - A reweight module can use this as an input to proper SF distribution
- Contributor
 - Afroditi Papadopoulou
 - **Steven Dolan** and **Laura Munteanu**
 - Deployed as an Argon tune requested by LAr based experiments
 - AR23_20i_00_000



Heavy neutral lepton



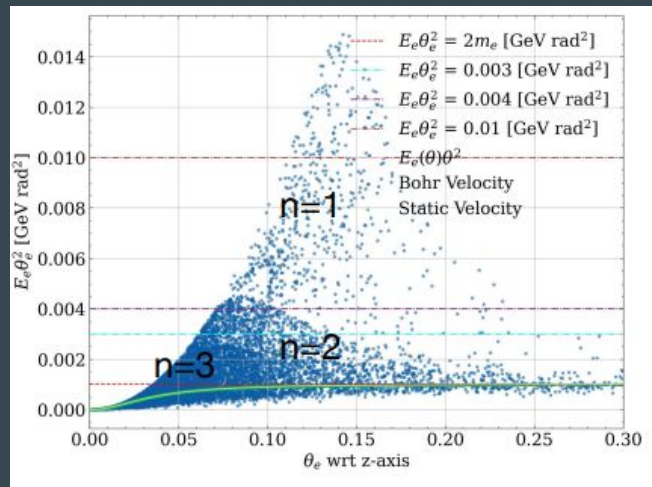
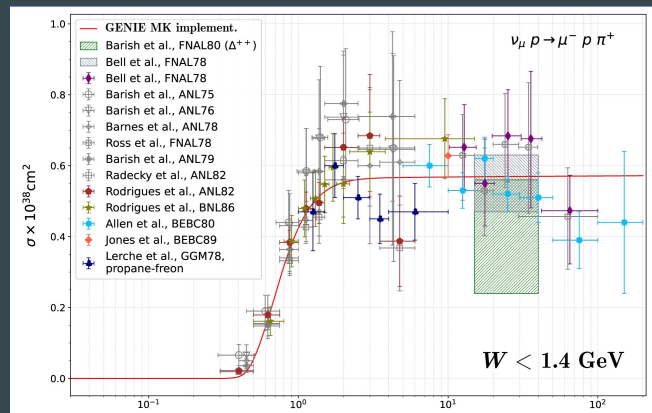
- neutrino mass eigenstates with masses $O(\text{MeV})$
 - In our implementation $m_4 < m_K$
 - Lagrangian implemented according to [Eur. Phys. J. C 81, 78 \(2021\)](#)
 - With caveats for some decay channels: [link](#) to code review for details
 - The link contains all the instructions to run etc
- HNL are produced in same beam as standard model's neutrinos
 - HNL decay to some appropriately selected decay channel
 - Particle stack constructed appropriately
 - Probe is the decaying HNL particle
- Decay vertex assigned along HNL trajectory inside detector
 - Detector ROOT geometry used as simulation input
- Provides tools for POT accounting
 - usually intended to generate weighted events
- This is a huge amount of work
 - Started from a MINERvA development
 - That has been generalised to be used by other experiments
 - Use cases presented here [Phys.Rev.D 107 \(2023\) 5, 055003](#)

Other available things as 3.4.2

- Better handling of the 3rd party FSI
 - The parent-daughter relations of the particles has been improved
- GENIE Boosted-Christy Fit of eA Scattering Data
 - Boosted-Christy empirical fit to electron-nucleus scattering data
 - [PRC 77 (2008); PRC 81 (2010) 055213; arXiv:1203.2262].
 - Cross section only, as the model is inclusive
- A new normalization channel used to validate the efficiency of a generation

What's coming in the next release

- MK Single pion production model
 - 4-fold cross section – including angular distribution of final pion
 - interference between resonances
 - non-resonant background with Born diagrams
 - according to Hernández, Nieves and Valverde [PRD 76 (2007) 033005]
 - Deployed in a tune on its own as the moment we don't know how to combine it with the other pion productions
- Discontinuing pythia 6
 - Move everything to pythia8
 - Physics and functionality will be similar
- Electron motion
 - Adding a simple Bohr motion to the electrons in the initial states
 - Initial implementation valid for noble gasses
 - Main contributor is **Bear Carlson** from SBND collaboration



Tuning and reweight

Tuning requirements and objectives

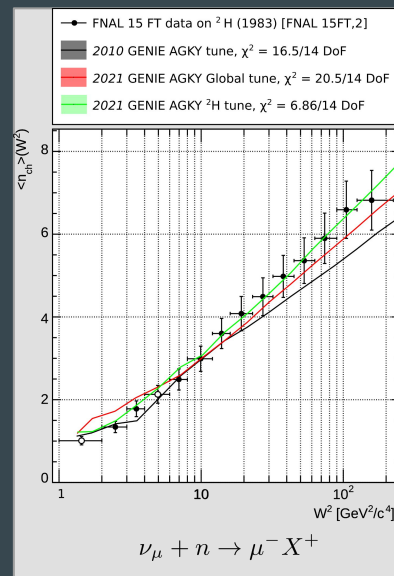
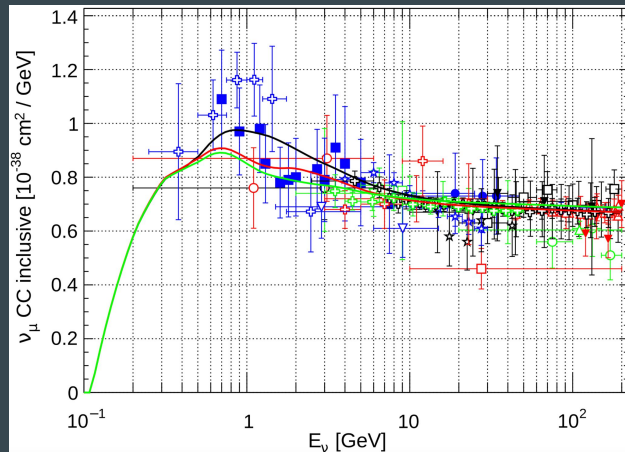
- Tuning is always necessary whenever empirical approaches are used
 - Empirical models are always introduced when joining different models together
 - Tuning has to be repeated whenever a modeling element is added or changed in the system
- Ideally, no additional code should be necessary for the tuning
 - Models are already complicated enough without requiring more tuning oriented development
 - We would like every parameter to be tunable
 - Going beyond the event-by-event reweight that is not always justifiable
- Expected Output
 - Parameter sets from data from various experiments
 - with estimated systematic errors
 - Parameter covariance matrix
 - \Rightarrow Once all of this is fully supported we will go in the v4 era

Tuning strategy

- Technology of choice consists of a brute force approach
 - Predictions are constructed in specific points of the parameter space
 - The predictions are then interpolated using multidimensional polynomials
 - As a function of the parameter space
 - Current numerical assistant is [Professor](#)
 - [The European Physical Journal C volume 65, 331 \(2010\)](#)
 - Possibly to be replaced by Apprentice in the future
 - [EPI Web Conf., 251 \(2021\) 03060](#)
- On top of the parameterisation an entire fitting framework has been developed by GENIE
 - correlations between datasets
 - multidimensional priors on the parameters
 - And other priors
 - control weights associated to each degree of freedom
 - Validation of interpolated polynomials and population of the parameter space
- Benefits
 - Every parameter becomes reweightable because we match the effect of the parameters directly on the experimental distribution
 - No additional code is necessary:
 - If there is a parameter we can configure we can exploit it in the tuning
 - Multiple parameters can be tuned at the same time, $O(20)$
 - Multiple datasets can be used at the same time
 - Of course we are trying to organise the tuning tackling different problems for each tune

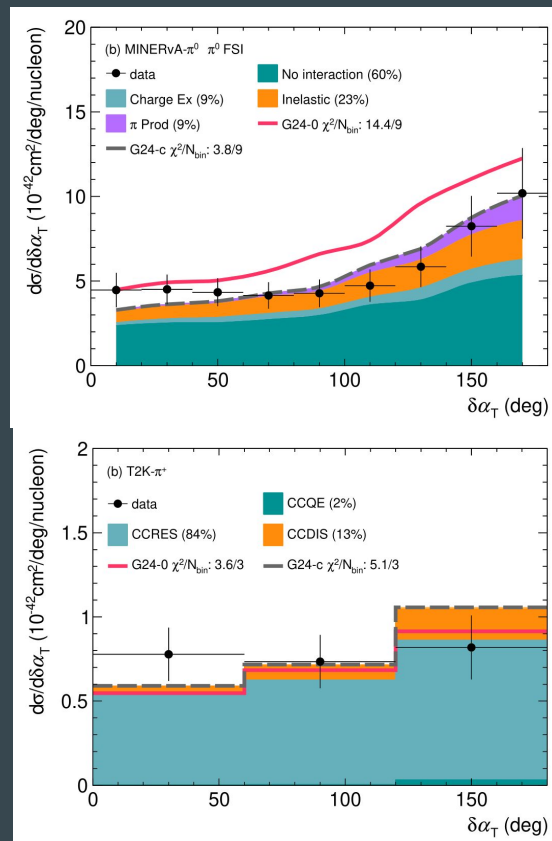
The tuning so far

- Tunes using bubble chamber data
 - hydrogen and deuterium
- Global CC inclusive, 1π , and 2π data sets
 - Tune the Shallow inelastic region
 - [Phys. Rev. D 104, 072009 \(2021\)](#)
- First neutrino-induced hadronization tune on average charged multiplicity data
 - as a function of W
 - [Phys. Rev. D 105, 012009 \(2022\)](#)
- We are starting working on nuclear tunes
 - [Phys. Rev. D 106 \(2022\) 11, 112001](#)
 - Now starting exploring using TKI variables
 - Main contributor: **Weijun Li**



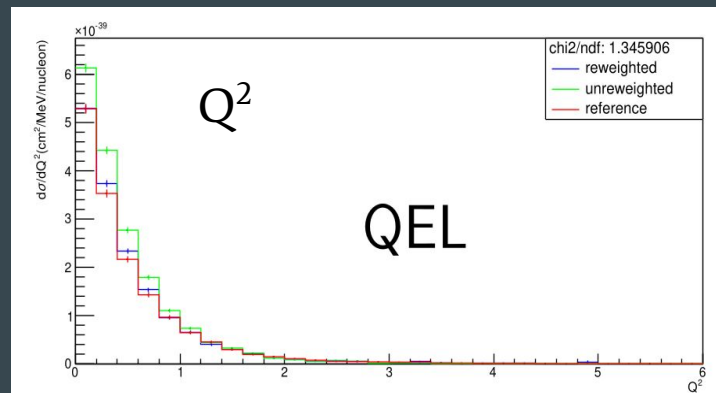
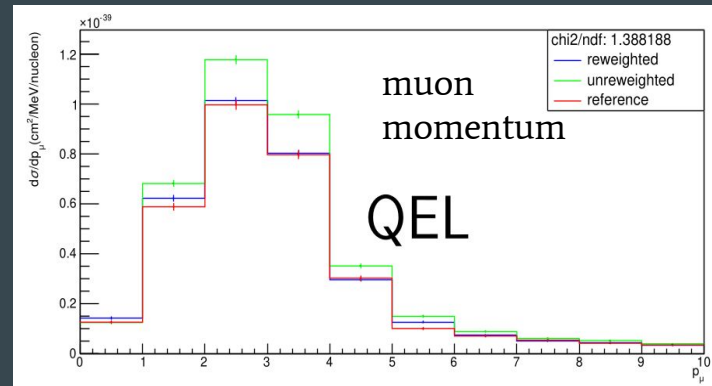
First combined tuning on transverse kinematic imbalance data

- Available from today on [arxiv](#)
- Using α_T and p_N TKI variables
- Data from Minerva and T2K
 - both with and without pion production
- It was an initial proof of concept to explore if there are tensions between the datasets
 - To see if there is a reasonable agreement across datasets
- We tuned parameters from LFG and from hA FSI
 - Starting from AR23_20i tune
 - Interesting starting point because of the new initial state and used by a number of experiments
- Results are encouraging
 - Complete results in the paper and the tune is available as part of the configuration of the master branch
 - To be released in version 3.6.0
- Comments are welcome



Professor based reweight

- Extracting values from data is not useful if we cannot use the new information in our analyses
 - We need to propagate the uncertainty of all the parameters we tuned
- What if we used the same idea used in the tuning?
 - Brute force extraction of parameterisation of “differential cross sections”
 - Using those parameterisation to reweight events
- Number of benefits
 - No additional reweight code that is specific for the interaction
 - Driven by exact simulation and no approximation
- Colossal work in progress
 - Main contributor is **Qiyu Yan**
 - Initial draft that proves that reweighting on a differential cross section of p_μ and W makes a valid distribution also for Q^2
- Status
 - We are improving the API of reweight and generator to allow this development to proceed
 - A paper in preparation to showcase the possibility of this tool
- Expected workflow
 - Experiments will run their own brute force scans according to the need of their analyses
 - Using all experiment inputs, e.g. flux
 - They will be able to design their own reweight phase space



Take away

- We thanks all the developers for their important contributions
- GENIE is an active generator and widely used
 - Support for a variety of physics analyses
 - from SM to BSM and at many different energies
 - You had an overview of recent developments
 - But others are in progress, more details in recent publications
 - We have a formal process to add contributions, called [incubator](#)
 - This is to support developers during implementation and validation
 - Contact us if you are interest to start a project
- We have developed a machinery to support a tuning programme
 - First results are already published
 - Work toward more ambitious goals so that the results can be directly used by analysers
- News are sent around via the GENIE mailing list
 - please subscribe if interested



Backup

Configurations and tunes

- GENIE has a high level of configuration
 - Combinatory of possible configurations is starting to create confusion
 - Among users trying to reproduce results
 - Reusing splines that might be generated using different configurations
 - Just saying “We use GENIE v3.00.00” is not enough
- New system: standard configurations can be uniquely identified
 - Unique IDs identify both the models and the parameter’s values assigned to a certain model configuration
 - We call them tunes
 - Examples: G18_10a_02_11b, GEM21_11b_00_000, GHE19_00a_00_000
 - Full list <http://tunes.genie-mc.org/> and explanation of the naming scheme in the manual
 - These are operative definitions
 - The code knows of these names and configures itself based on the selected tune
 - Of course, users are still able to try their own configurations without defining a dedicated tune
- The system has been in use since version 3.00.00
 - It working so far, new tunes are constantly added
 - Some of the current tunes will be discontinued eventually as we know they are not very used
 - G18_01* series
 - Experiments are invited to share their configurations, tunes, etc
 - One example of this line of development is the new AR23_20i_00_000 tune created by SBN and DUNE collaborations

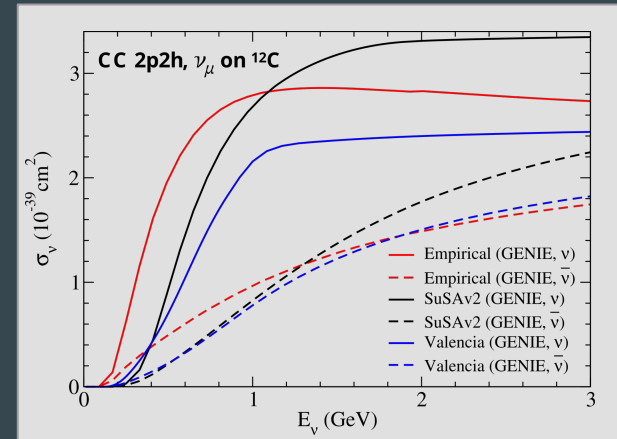
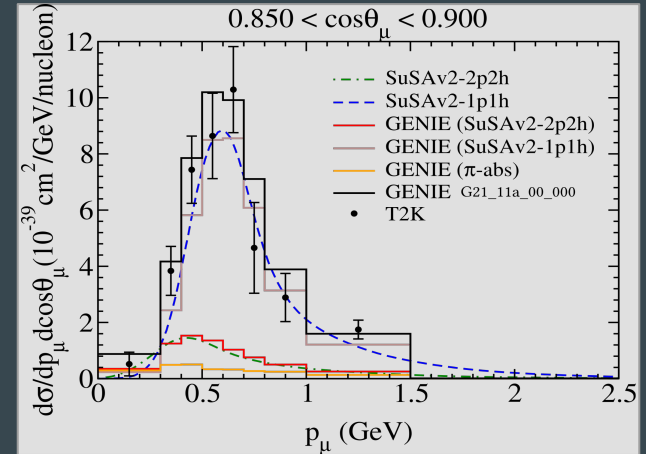
Incubator projects

- An incubator project is the unique route for inclusion of physics or software developments into GENIE product releases.
 - in-house development activities
 - community development efforts overseen by the GENIE scientific and technical leadership
- Incubator projects may include, but not limited to:
 - development of a new physics model or improvement an existing one
 - systematic study
 - tuning of a physics component
 - development of a new tool or the addition of a new feature to an existing tool
 - upgrade of the framework
 - improvement of numerical procedure
- start with the identification of a GENIE development need
 - either by member of the GENIE collaboration or a contributor / member of the community
 - Following a consultation with GENIE leaders, one or more incubator projects may be launched to address the identified GENIE need
 - scope and milestones
 - requirements, including physics validation, tuning, software engineering, computational efficiency and documentation ones, as appropriate
 - A clear reporting line, and a plan for collaboration reviews encompassing both physics and technical aspects of work
- We don't have more specifications based on the physics content
 - Every project is considered separately and different solutions will be used
 - BSM projects tends to be easier to be included because they couple less with the rest of the code
 - But things might change as more BSM physics is included

SuSAv2 - CC neutrino scattering

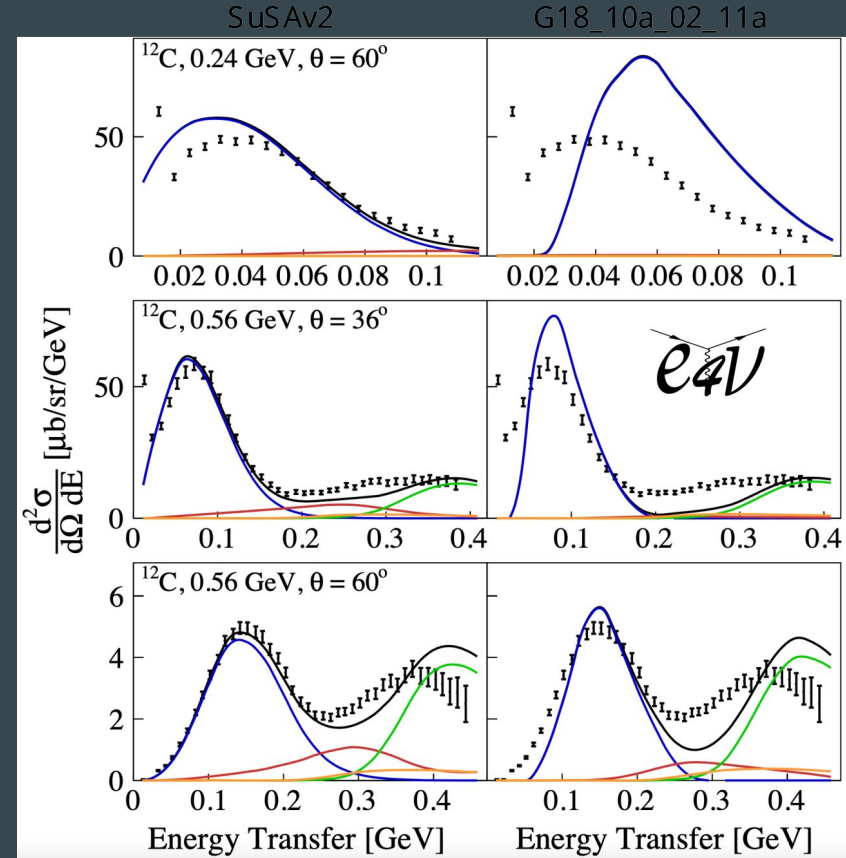
- Provides 1p1h and 2p2h predictions based on the SuperScaling approach
 - e.g., [Phys. Rev. D 94, 093004 \(2016\)](#)
- External contributors:
 - Stephen Dolan, Guillermo Magias and Sara Bolognesi
- The model is released in many tunes:
 - G21_11*_00_000
 - with 4 different variations for the FSI
- In principle the idea can be used also for NC
 - But we need the tables to add

[Phys. Rev. D 101, 033003 \(2020\)](#)



SuSAv2 - electron scattering

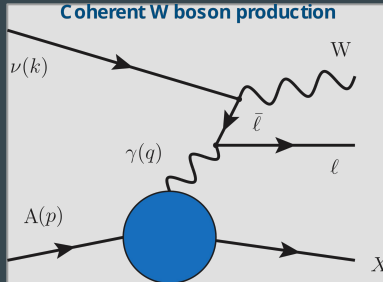
- Consistent with neutrino version
- Benchmarked against inclusive (e, e') data
 - by members of the $e4\nu$ collaboration
- Improvement with respect to G18_10a_02_11a
 - Which is not a tune used electrons
 - Rosenbluth + Empirical MEC (with no tuning)



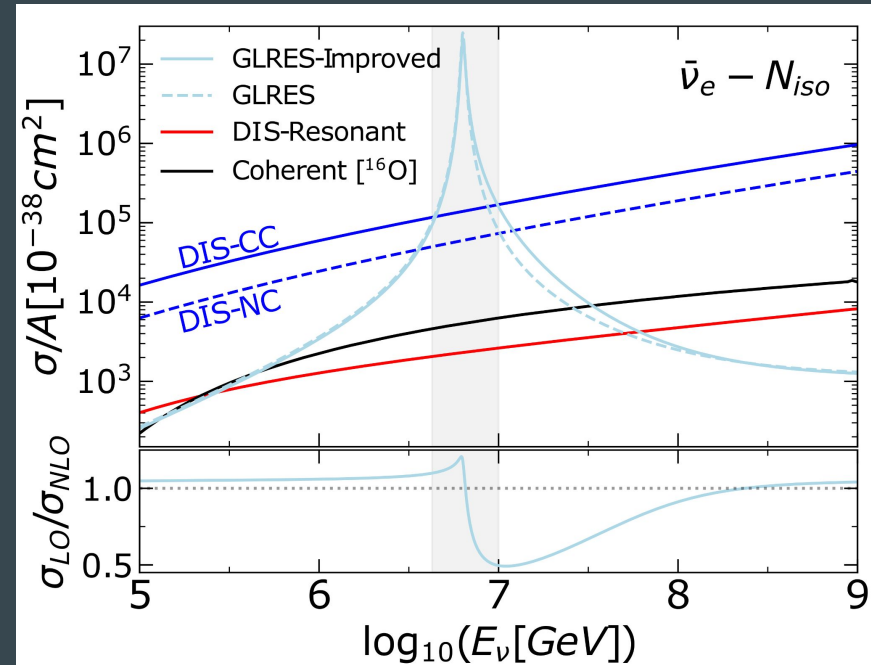
(blue) QE, (red) MEC, (green) RES and (orange) DIS

High energy DIS: extension up to 10^9 GeV

- Complete refactoring of the very high energy processes
 - Support for neutrino telescopes
 - Dedicated tune for High energy physics
 - Again in 4 variations with different FSIs
- New processes were included too
 - state-of-the-art NLO DIS cross sections and event generation
 - Based on [APFEL](#) code: optional GENIE dependency
 - COH W boson production
 - with NLO corrections
- External contributors:
 - Juan Rojo, Rhorry Gauld and Aart Heijboer (NIKHEF)
- First observation of a Glashow resonance candidate at IceCube
 - [Nature 591, 220–224 \(2021\)](#)

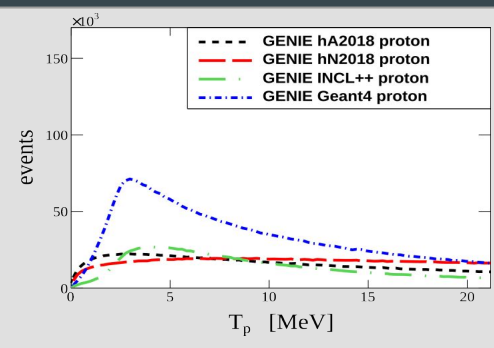
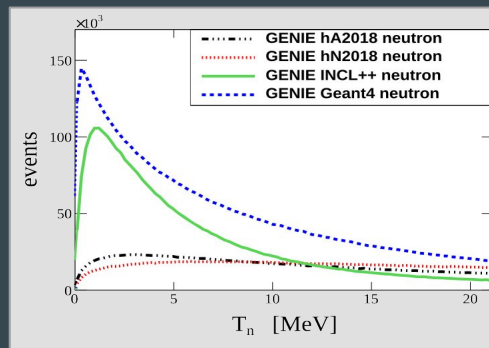
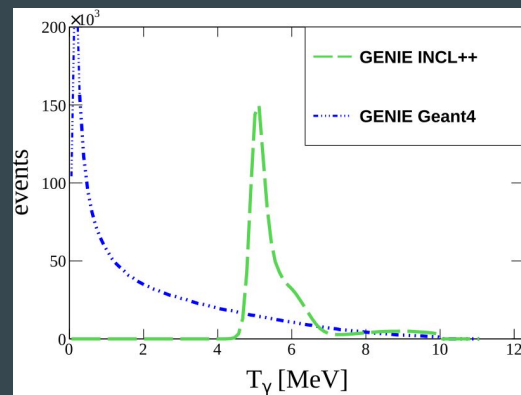


[J. Cosmol. Astropart. Phys. 09 \(2020\) 025](#)



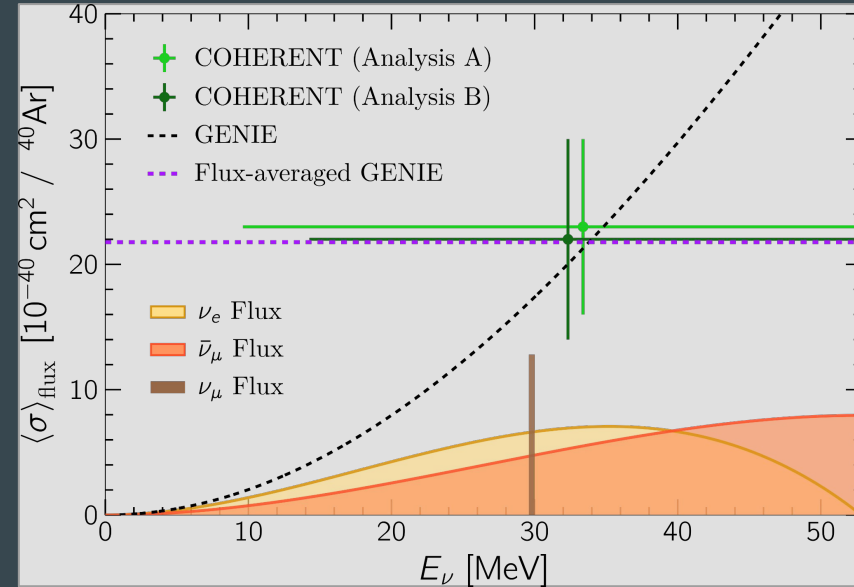
New FSI models: INCL++ and Geant4 Bertini cascade

- New cascade FSI models added as external dependencies
 - Liege intranuclear rescattering model, via INCL++
 - Bertini cascade, via GEANT4
 - Contributions by Dennis Wright and Makoto Asai (SLAC)
- Both predict higher proton and neutron multiplicities
 - Room for the experiment to investigate
- Both predict lower energy nucleons
- New: de-excitation photons
 - Not available in previous GENIE FSI models
- No reweight modules available for these cascades



CE ν NS event generator

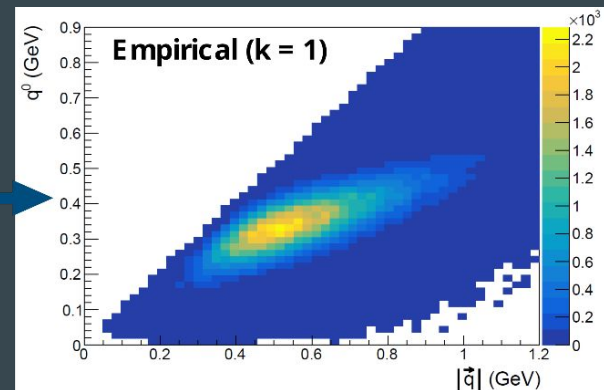
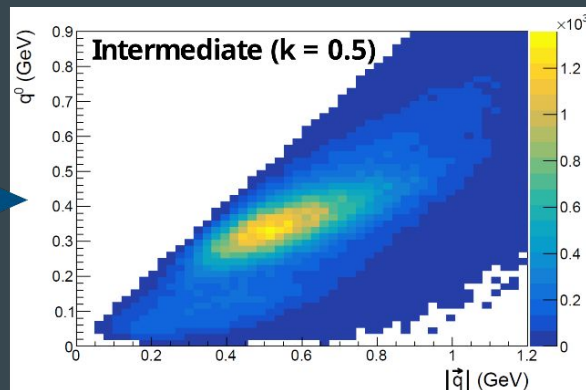
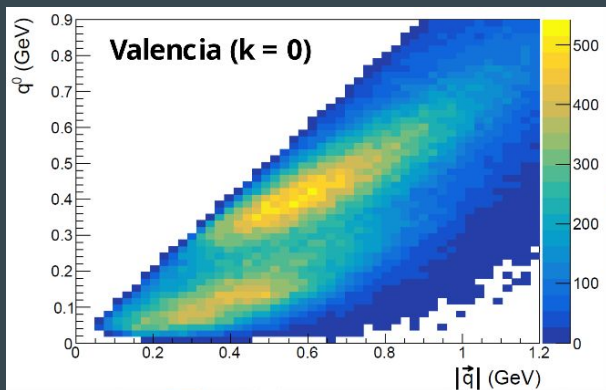
- NC process which leaves the struck nucleus in its ground state
 - Detection via recoil
- GENIE implementation based on Patton et al.
 - [Phys. Rev. C 86, 024612 \(2012\)](#)
- Part of a dedicated tune focused on very low energy neutrinos
 - GVLE18_01a_00_000



COHERENT data from [Phys. Rev. Lett. 126, 012002 \(2021\)](#)

Reweight improvements

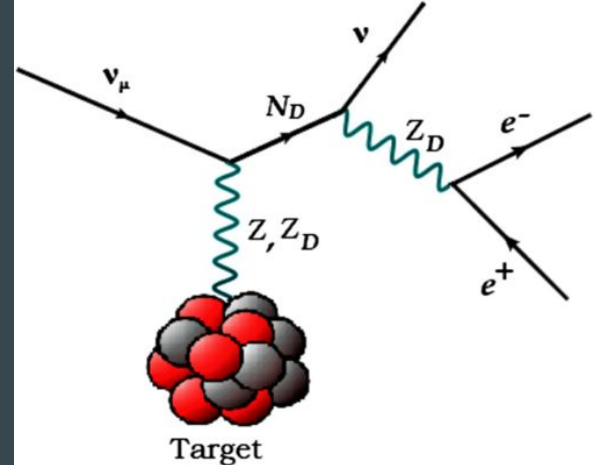
- “MicroBooNE tune”: reweighting of CC QE+2p2h to fit T2K CC0 π data
 - Details described in [Phys. Rev. D 105, 072001 \(2022\)](#)
 - Contribution of new calculators in GENIE Reweight
- Now available to the entire community as part of GENIE v3.2.0
- introduction of a shape variable k
 - controls the $(q^0, |q|)$ distributions from Valencia ($k=0$) to empirical ($k=1$)
- Example plots obtained with BNB $\nu\mu$ CC 2p2h on argon



Dark neutrinos

$$\nu_\alpha = \sum_{i=1}^3 U_{\alpha i} \nu_i + U_{\alpha 4} N_{\mathcal{D}}, \quad \alpha = e, \mu, \tau, \mathcal{D}$$

- Model to explain EM excess
 - Main reference paper <https://doi.org/10.1103/PhysRevLett.121.241801>
- Neutrino interaction via exchange of a light dark boson ($Z_{\mathcal{D}}$)
 - light compared to Z and W
 - producing dark neutrino with non-zero mass ($\mathbf{v}_{\mathcal{D}}$)
- The dark neutrino then decays
 - In either neutrinos and/or electron pairs
 - The decay length is visible in our detectors!
 - varies a lot with couplings and mixings but it can be of the order of mm
- The dark boson exchanged with the nucleus can give rise to all NC scattering mechanisms
 - The main process would be the coherent production (implemented in GENIE now)
 - The second leading process would be the QE process, not implemented yet
- Contributions by Iker de Icaza (Sussex) and Pedro Machado (FNAL)



$$\mathcal{L}_{\mathcal{D}} \supset \frac{m_{Z_{\mathcal{D}}}^2}{2} Z_{\mathcal{D}\mu} Z_{\mathcal{D}}^\mu + g_{\mathcal{D}} Z_{\mathcal{D}}^\mu \bar{\nu}_{\mathcal{D}} \gamma_\mu \nu_{\mathcal{D}} + e \epsilon Z_{\mathcal{D}}^\mu J_\mu^{\text{em}} + \frac{g}{c_W} \epsilon' Z_{\mathcal{D}}^\mu J_\mu^Z,$$

Boosted Dark Matter

- Upgrade with what described in [arXiv:1812.05616](https://arxiv.org/abs/1812.05616)
- The newly deployed BDM code
 - allows a broader set of particle physics models
 - including both vector and axial couplings, as well as different isospin structures
 - has improved modeling of the elastic scattering process
 - including a pseudoscalar form factor
 - includes the simulation of scattering off electrons
 - includes anti-dark matter scattering
- Contribution by Joshua Berger (CSU)

Event Library Interface generator

- Importing events from a file interface to external events generated with
 - other generators
 - arbitrary physics models
- Users just need to be able to
 - Fill a ROOT TTree with the momenta of the particle generated by the interaction
 - Produce integrated cross sections
- The system will create GENIE events randomly selecting events from the library
 - The selection is based on the neutrino energy associated to the event
- the event library interface allows experiments to import events
 - re-using their existing GENIE MC production workflows
 - the extensive GENIE flux and geometry tools
 - The cost is that we lose true information from the generation
- Instructions on the file format are in the manual
- Contribution from NOvA experiment