

Theory summary

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Theory at NuInt'24

- ~20 theory talks

Nuclear effects in electron and neutrino scattering in a variety of approaches, pion production, eta production, tau neutrino cross sections, BSM physics, ...

- ~10 generator talks

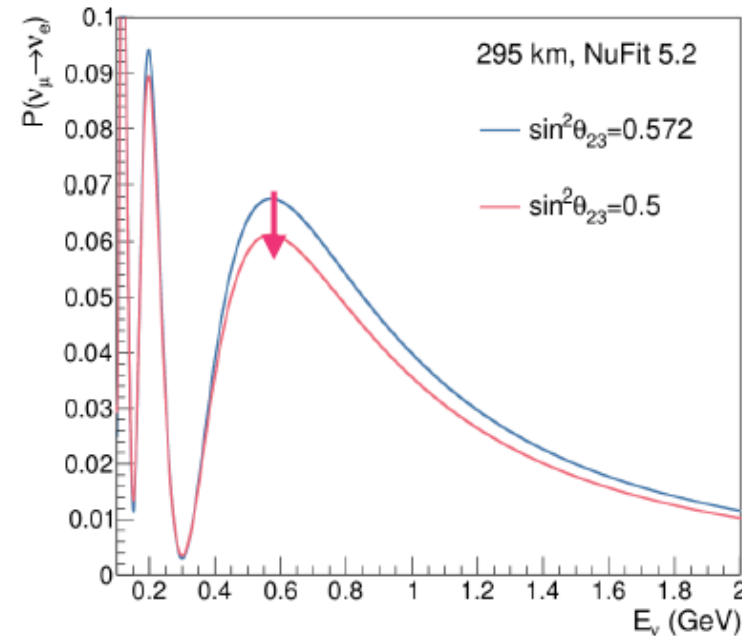
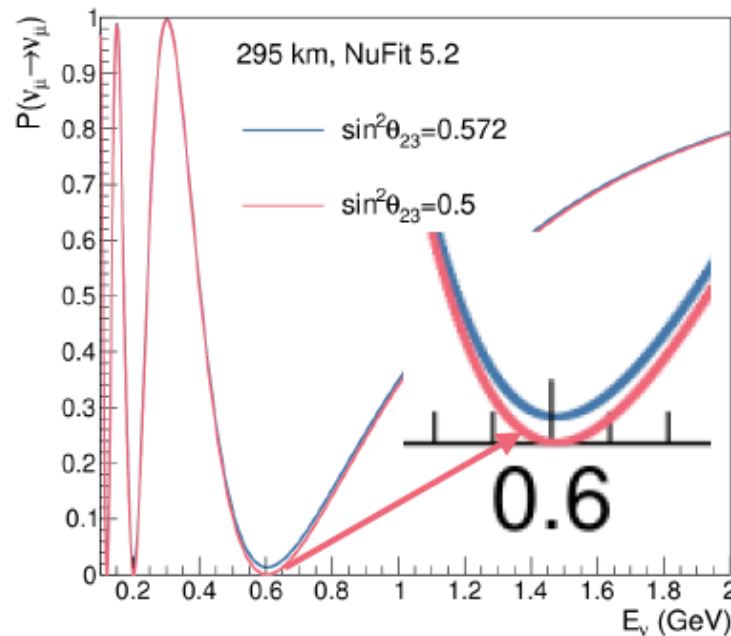
GiBUU, NuWro, GENIE, NEUT, ACHILLES, HE interactions, gamma rays, INCL + ABLA, NUISANCE

- Many posters

I won't be able to cover them, sorry!

What can go wrong?

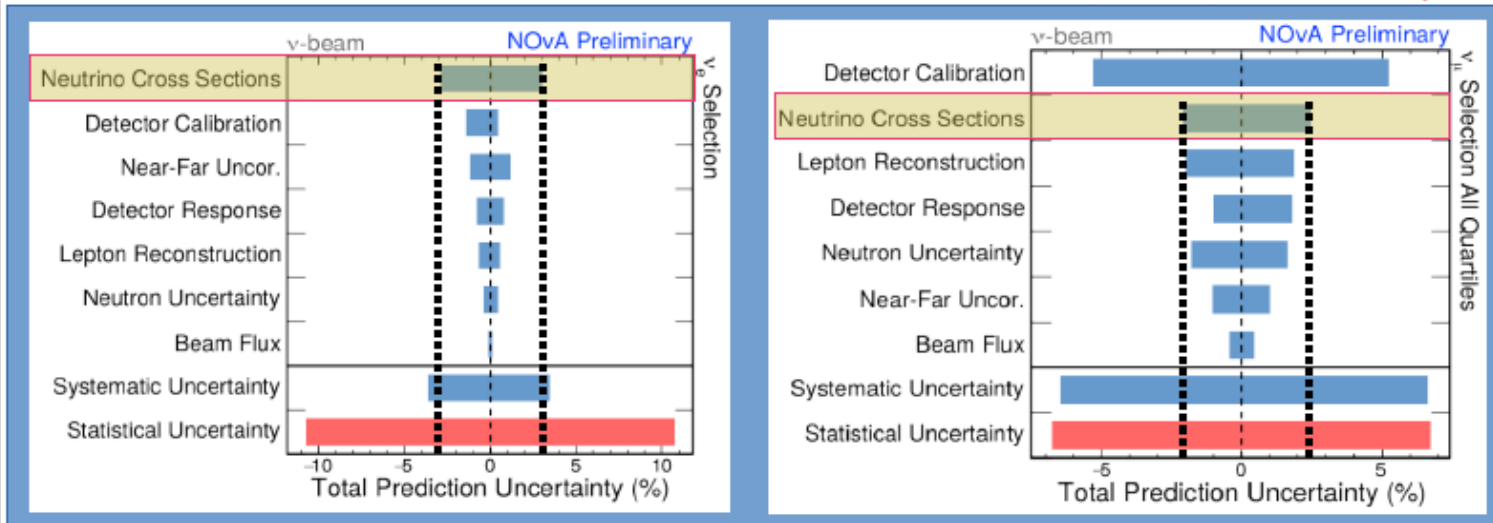
- Rate of appearance and disappearance
 - Is the ν_e rate higher because of a larger value of δ_{CP} , or is your model for $\nu_e \rightarrow \nu_\mu$ wrong?
 - Is the increased rate of ν_μ due to $\sin^2\theta_{23}$, or a larger cross section?



Impact of systematics at the FD


- Neutrino cross-section uncertainties contribute ~3% to number of ν_e on NOvA and T2K

M. Elkins, T. Nosek, Neutrino 2020 poster



Sample		Uncertainty source (%)			Flux ⊗ Interaction (%)	Total (%)
		Flux	Interaction	FD + SI + PN		
1Rμ	ν	2.9 (5.0)	3.1 (11.7)	2.1 (2.7)	2.2 (12.7)	3.0 (13.0)
	$\bar{\nu}$	2.8 (4.7)	3.0 (10.8)	1.9 (2.3)	3.4 (11.8)	4.0 (12.0)
1Re	ν	2.8 (4.8)	3.2 (12.6)	3.1 (3.2)	3.6 (13.5)	4.7 (13.8)
	$\bar{\nu}$	2.9 (4.7)	3.1 (11.1)	3.9 (4.2)	4.3 (12.1)	5.9 (12.7)
1Re1de	ν	2.8 (4.9)	4.2 (12.1)	13.4 (13.4)	5.0 (13.1)	14.3 (18.7)

Event counts at the FDs

Sample	 T2K	 NOVA	 Hyper-Kamiokande	 DUNE
N_{μ}^{rec} FHC	318	211	10000	7000
N_{μ}^{rec} RHC	137	105	14000	3500
N_e^{rec} FHC	108	82	3000	1500
N_e^{rec} RHC	16	33	3000	500

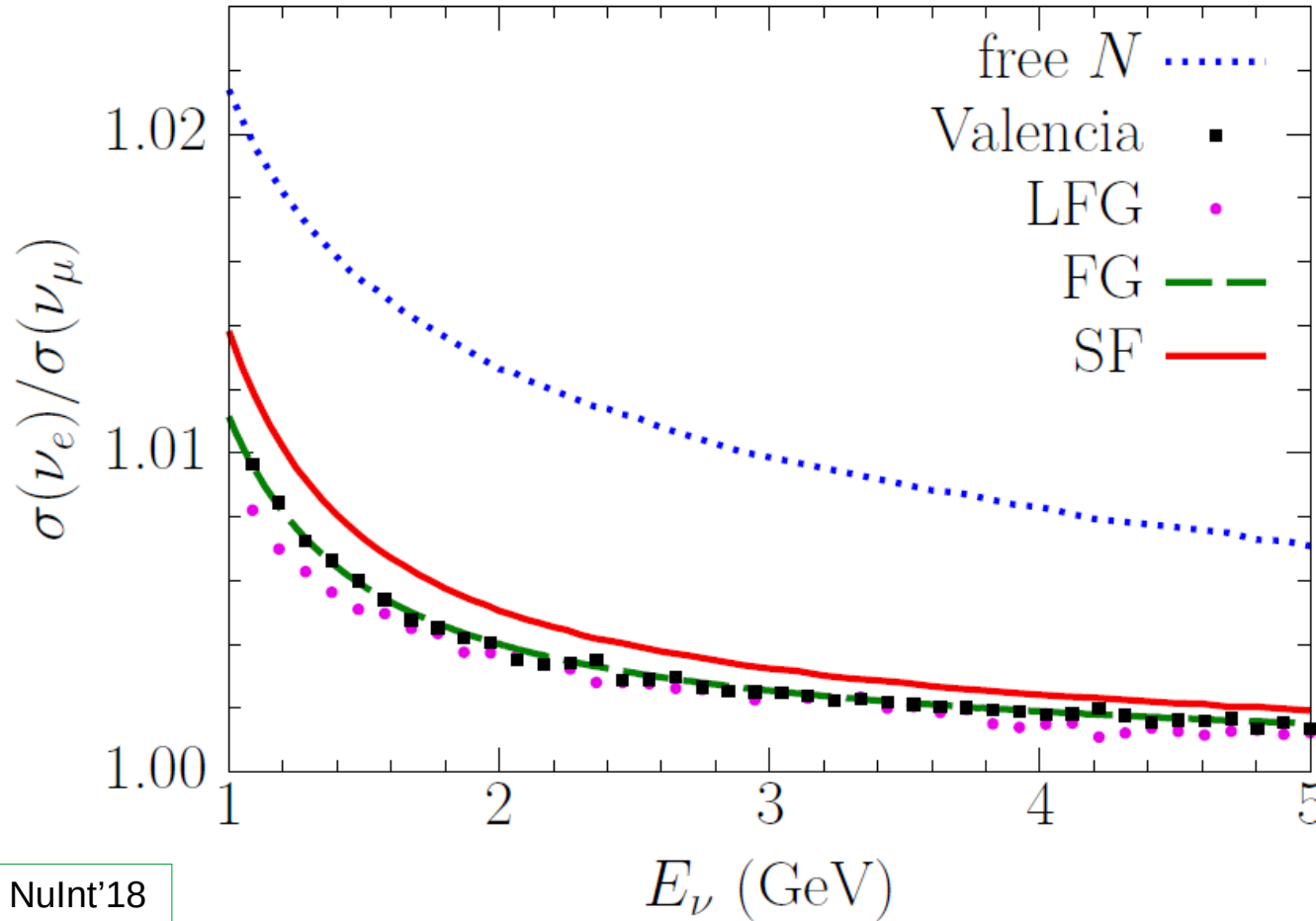
- HK and DUNE will have **enough events** to be limited by the **$\sim 3\%$ (anti-) ν_e uncertainty**
- Current experiments at the **3-5% level** uncertainties*

*Exception of T2K's single-pion-below-threshold sample (10-15%)

What do I worry about?

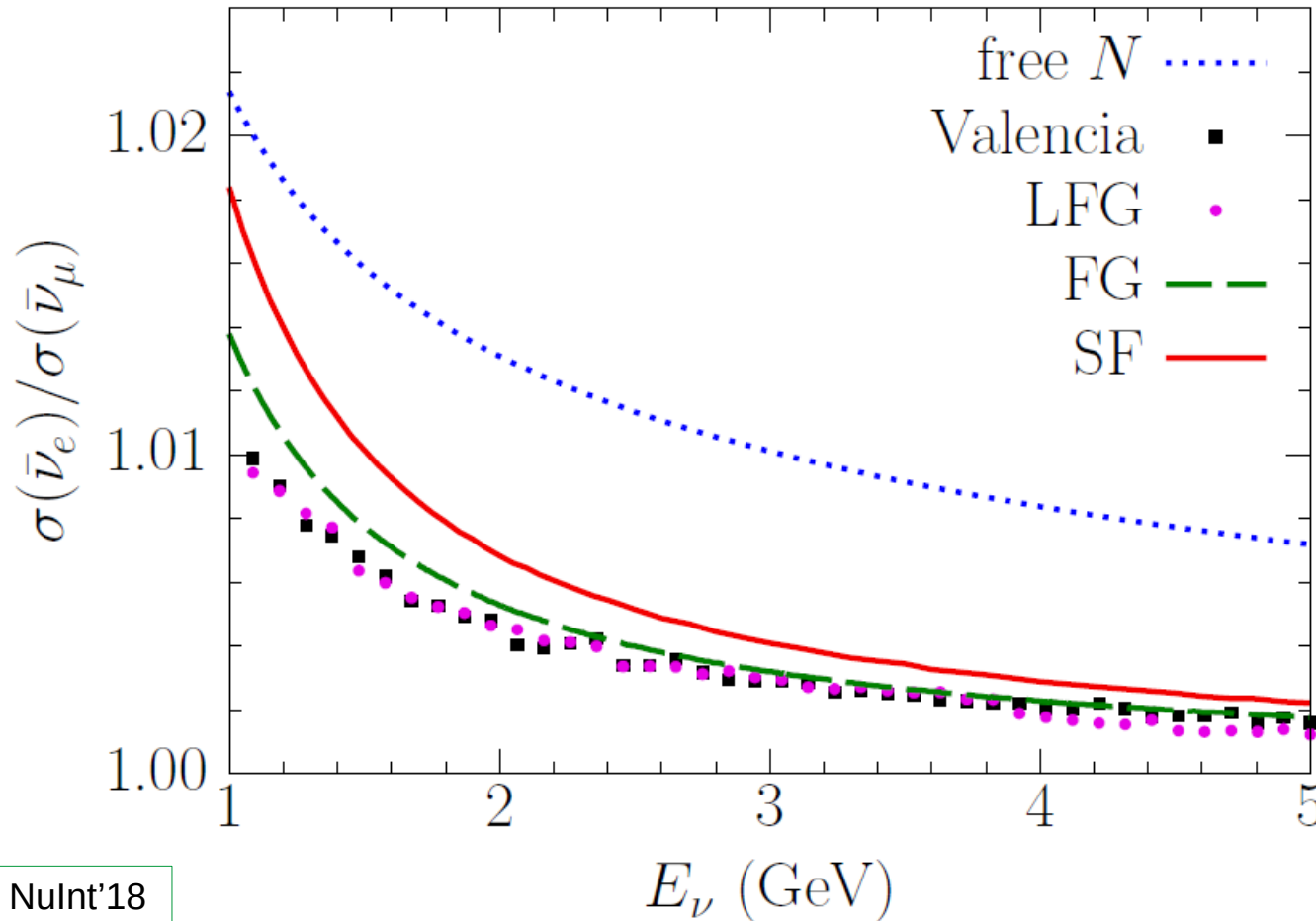
- Will **(anti-) ν_e** uncertainties fall below 2-3%?
 - Critical for δ_{CP} , mass ordering, for both **atmospheric** and **accelerator** experiments, and **MiniBooNE LEE**
- Do we understand **transition, SIS and DIS** interactions sufficiently for **DUNE**?
 - Worry that the day DUNE ND turns on, it'll show how poorly we describe these samples
- Will we understand **nuclear effects in ^{40}Ar** nuclear in 10 years time?
- Will we understand **neutron final-state interactions** sufficiently to use them for e.g. **energy estimators** and **tagging events**?
- **ν_τ uncertainties** for **atmospheric neutrinos** and **mass ordering** sensitivity
- How do we diagnose **low momentum pion modelling**

Cross sections' ratio for neutrinos



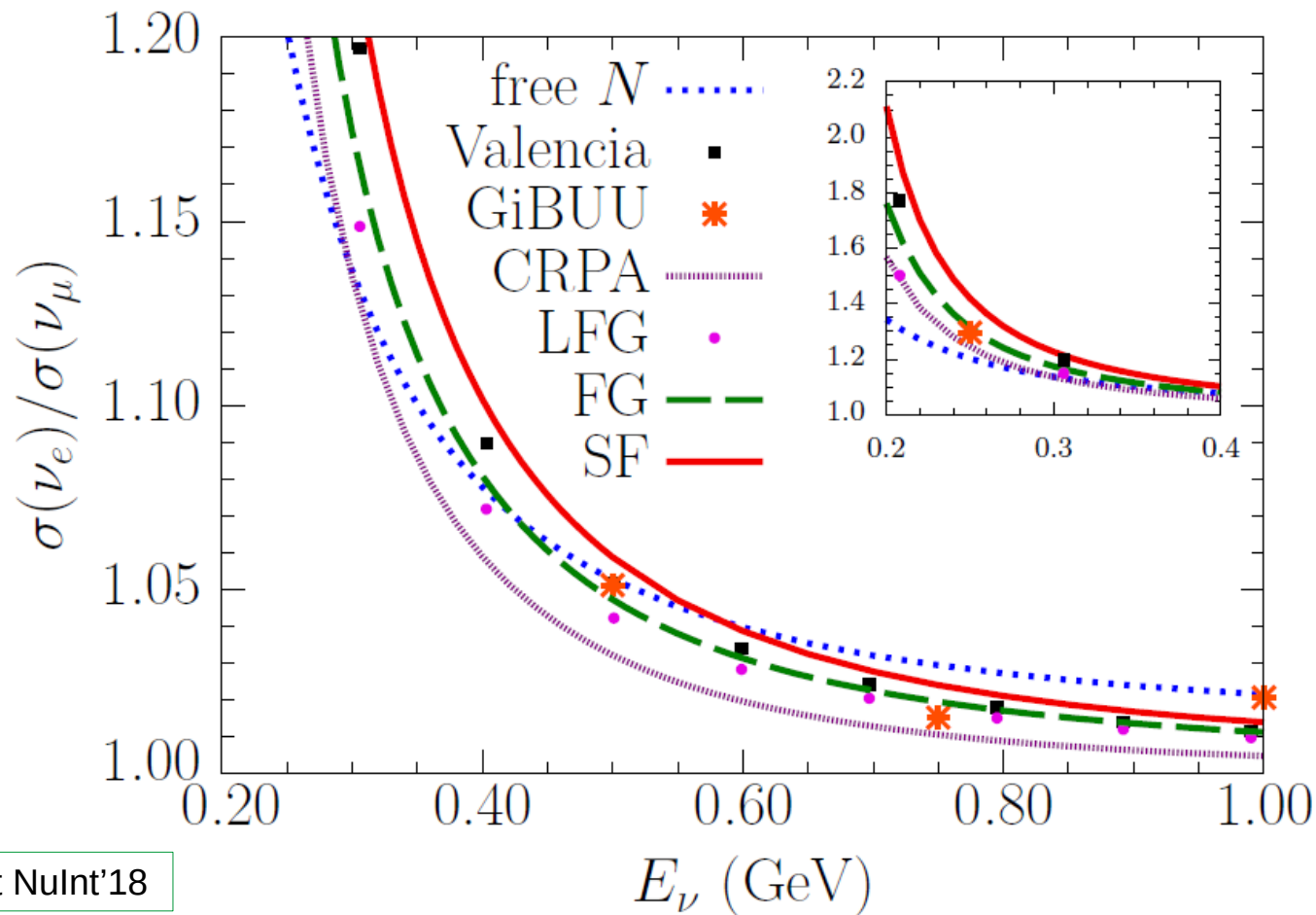
Contributions by A. M. A., J. Amaro *et al.*,
V. Pandey *et al.*, J. E. Sobczyk

Cross sections' ratio for antineutrinos



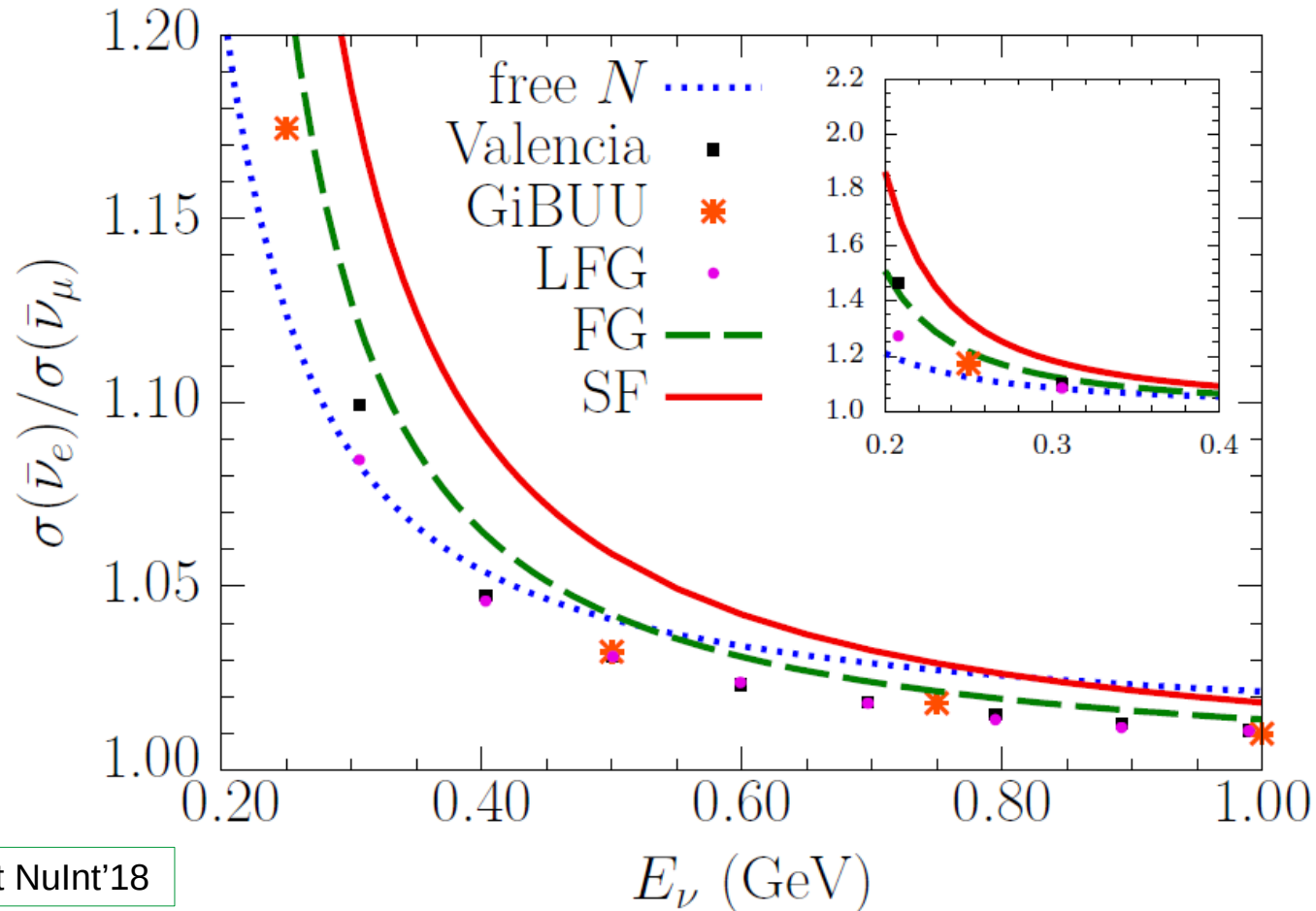
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Cross sections' ratio for neutrinos



Contributions by A. M. A., J. Amaro *et al.*,
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Cross sections' ratio for antineutrinos

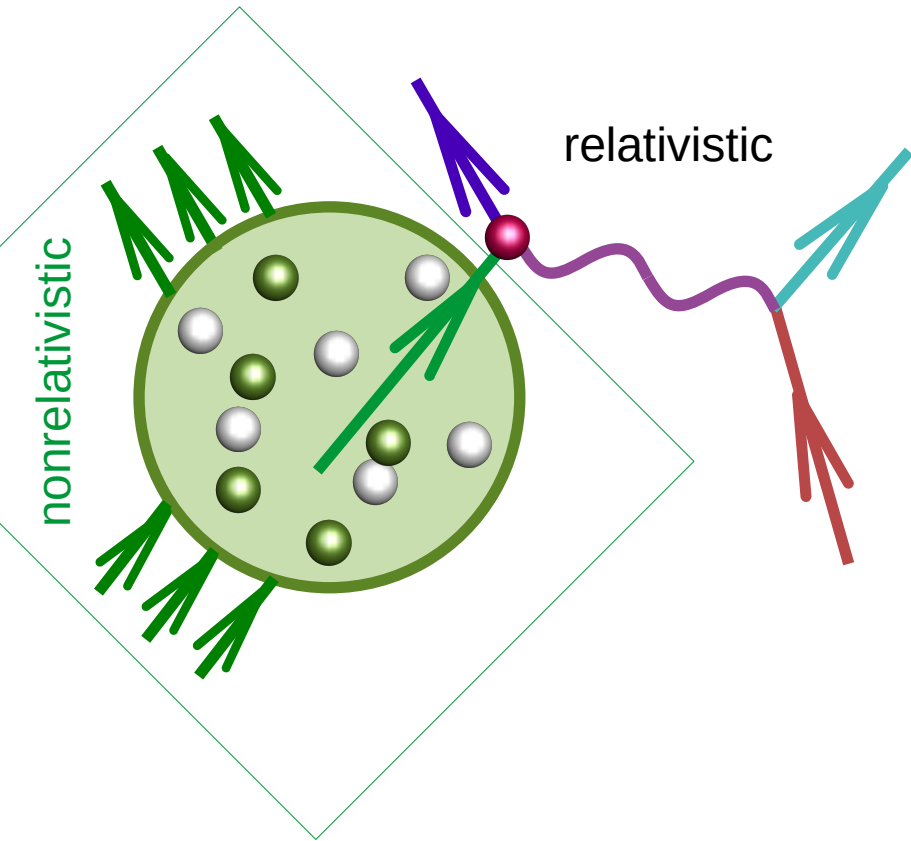


Contributions by A. M. A., J. Amaro *et al.*,
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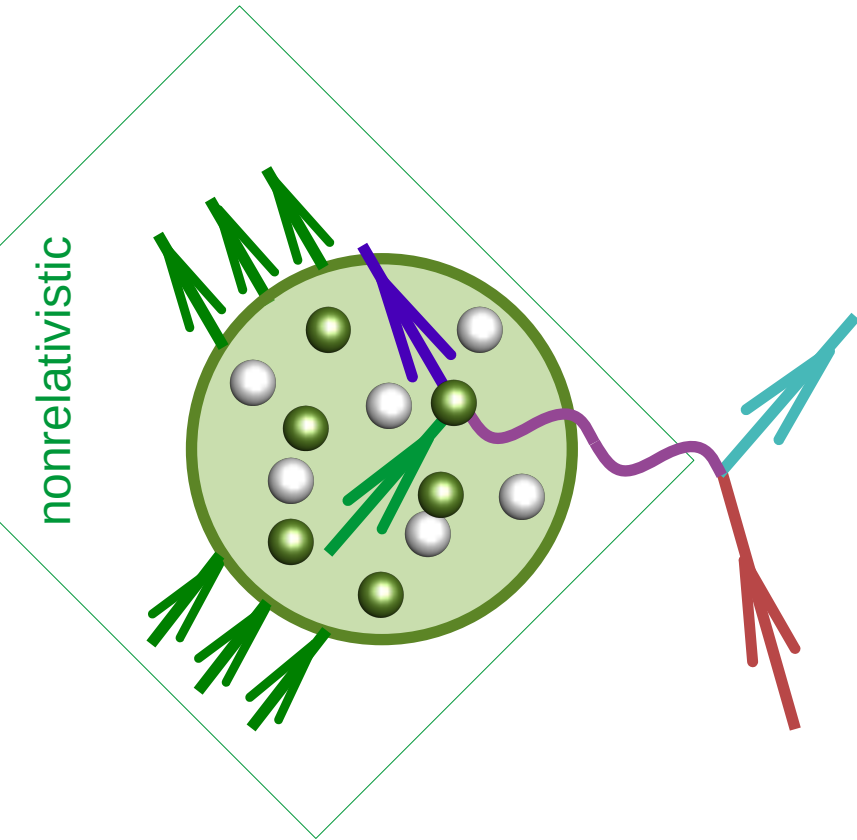
Crash course

Spectral function



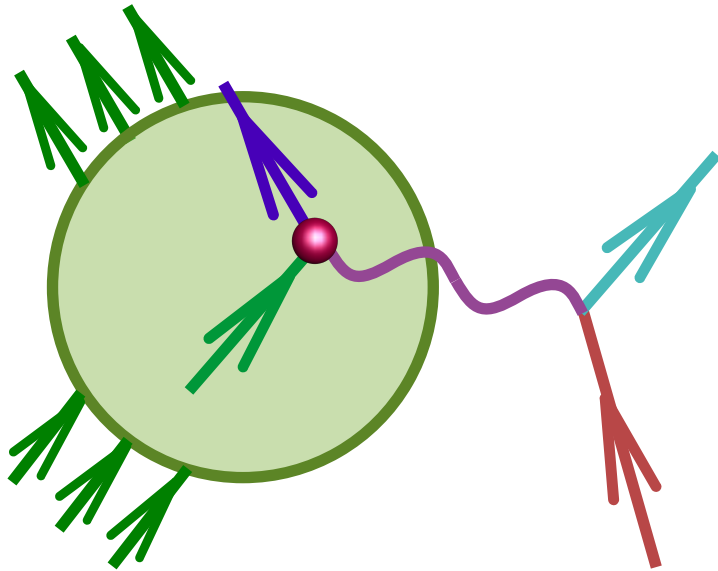
- Emphasis on the initial state (shell structure & SRC)
- Interaction (1 or 2 nucleons) factorized
- Final-state interactions treated as corrections
- Inclusive and exclusive cross sections
- Relativistic final states

Quantum Monte Carlo



- Emphasis on the nuclear dynamics
- Interaction not factorized
- Initial and final-state interactions treated consistently
- Nuclear responses and inclusive cross sections
- Relativistic effects treated as corrections

Relativistic mean field



- Emphasis on relativistic dynamics of the interaction
- Interaction not factorized
- Nucleus treated as a potential
- Initial and final-state interactions treated consistently
- Exclusive and inclusive cross sections
- Effects of interactions need to be added *ad hoc*
- SRCs treated as corrections

Multinucleon final states

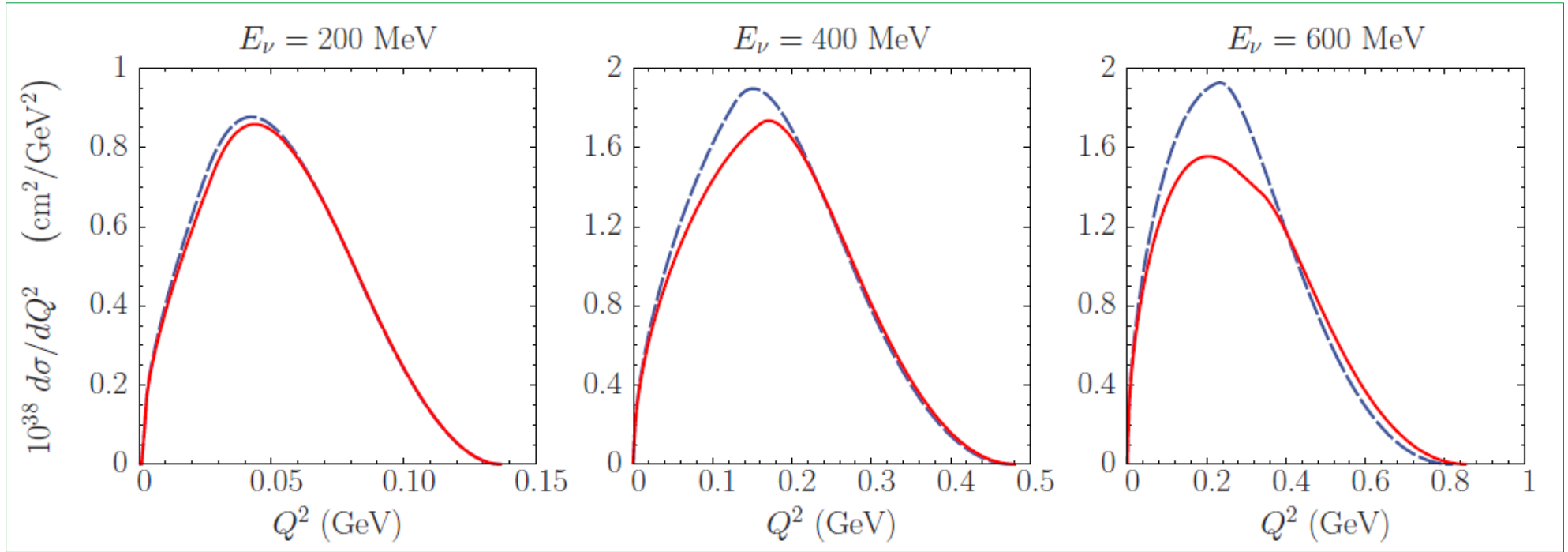
Two (or more) nucleons in the final state may come from

- initial-state correlations
- two-body reaction mechanisms, such as meson exchange currents (MEC)
- final-state interactions (intranuclear cascade)

We cannot distinguish these processes, so they would need to be added at the level of amplitudes, and they interfere.

Shimizu & Faessler, Nucl. Phys. A 333, 495 (1980)
Alberico *et al.*, Ann. Phys. 154, 356 (1984)

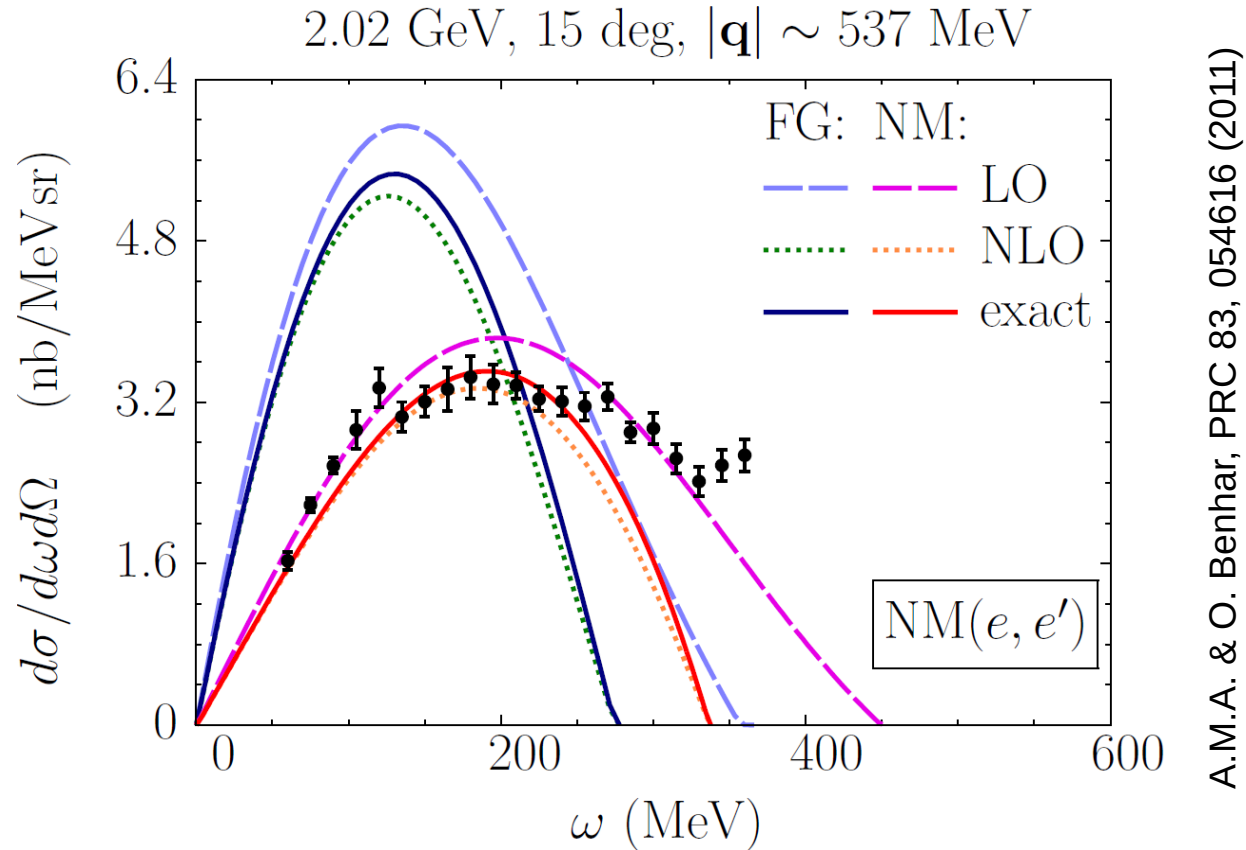
Importance of fully relativistic kinematics



A.M.A. & O. Benhar, PRC 83, 054616 (2011)

Sizable differences between the **relativistic** and **nonrelativistic** cross sections for neutrino energies $O(500$ MeV).

Importance of fully relativistic kinematics



At $|\mathbf{q}| \sim 500$ MeV, semi-relativistic result **5% lower** than the relativistic one.

Coulomb distortion effects

"These effects generally turn out to be sizable even for light nuclei ... and introduce in the components of the nuclear response a dependence on all the kinematic variables of the incoming and outgoing electrons which in principle invalidates the Rosenbluth separation and makes the experimental determination of R_L and R_T **extremely complicated**.

...

[W]hen L/T separation is obtained from the data at large scattering angles, small uncertainties in the cross-section measurement can lead to relatively large uncertainties in the extracted value of R_L "

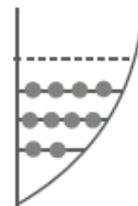
Boffi *et al.*, *Electromagnetic response of atomic nuclei* (Clarendon, Oxford, 1996)



What did we learn?

Coupled cluster theory

Reference state (Hartree-Fock): $|\Psi\rangle = a_i^\dagger a_j^\dagger \dots a_k^\dagger |0\rangle$

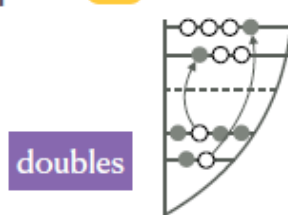
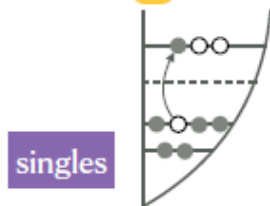


Include **correlations** through e^T operator

$$\mathcal{H}_N e^T |\Psi\rangle = E e^T |\Psi\rangle$$

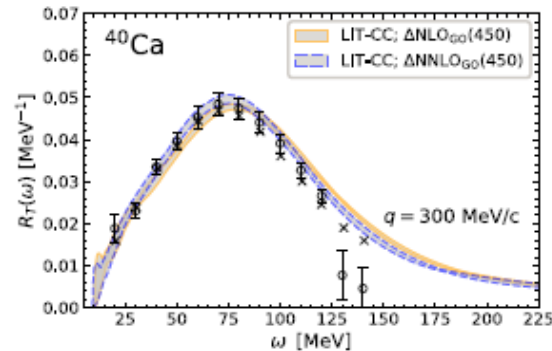
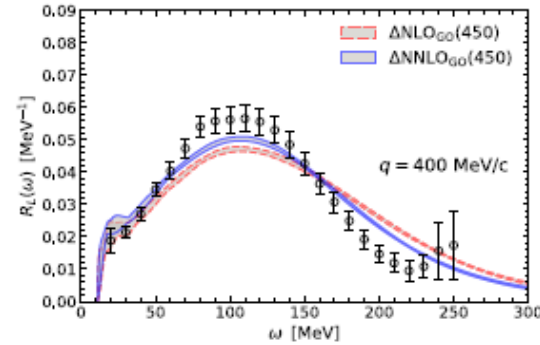
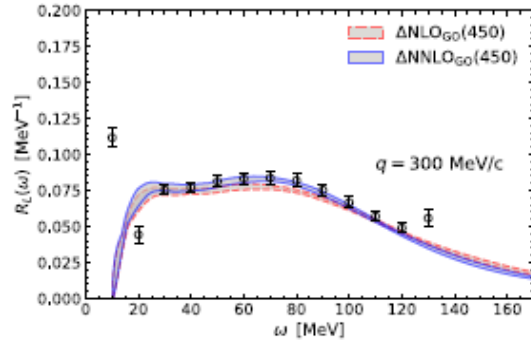
- ✓ Controlled approximation through truncation in T
- ✓ Polynomial scaling with A (predictions for ^{132}Sn and ^{208}Pb)

$$\text{Expansion: } T = \sum_{\text{1p1h}} t_a^i a_a^\dagger a_i + \frac{1}{4} \sum_{\text{2p2h}} t_{ab}^{ij} a_a^\dagger a_b^\dagger a_i a_j + \dots$$



Chiral expansion for ^{40}Ca

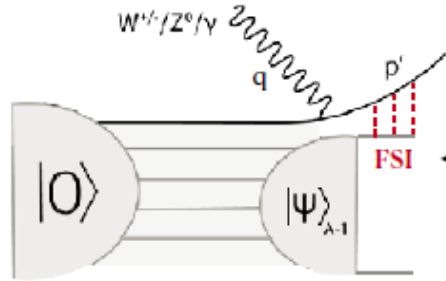
(Electromagnetic responses)



- ✓ Two orders of chiral expansion
- ✓ Convergence better for lower q (as expected)
- ✓ Higher order brings results closer to the data

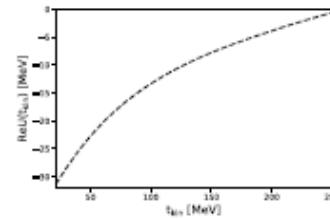
^{16}O spectral function

Error propagation to cross sections



Phenomenological optical potential

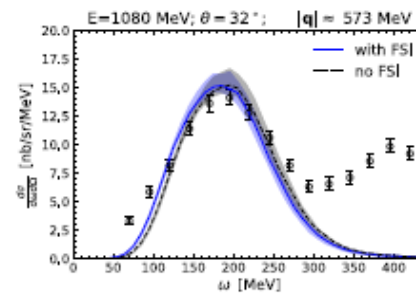
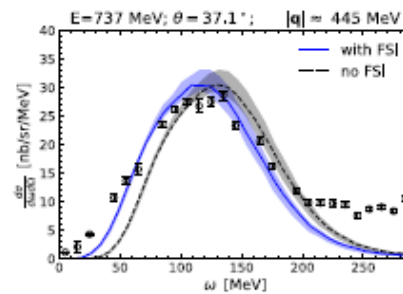
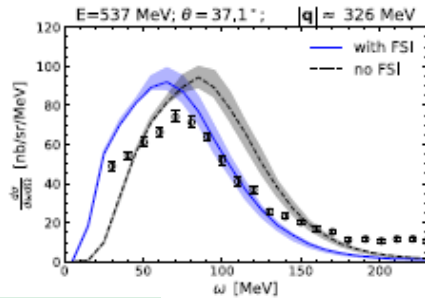
$$E_{p+q} \rightarrow E_{p+q} + \text{Re}U(t_{kin})$$



E. D. Cooper et al. *Phys.Rev.C* 47, 297-311

growing q momentum transfer \rightarrow final state interactions play minor role

Scattering off ^{16}O



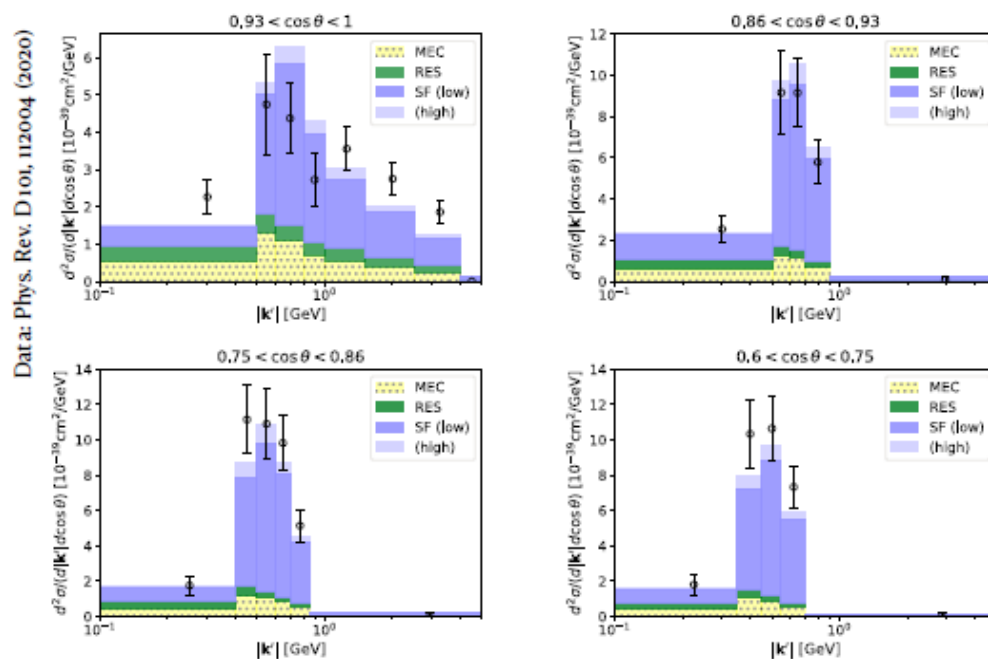
JES, S. Bacca, *Phys.Rev.C* 109 044314

^{16}O spectral function

Error propagation to cross sections

$$\nu_{\mu} + ^{16}\text{O} \rightarrow \mu^{-} + X$$

- Comparison with T2K long baseline ν oscillation experiment
- $\text{CC}0\pi$ events
- Spectral function implemented into NuWro MC generator



JES, S. Bacca, *Phys.Rev.C* 109 044314

Generalized contact formalism

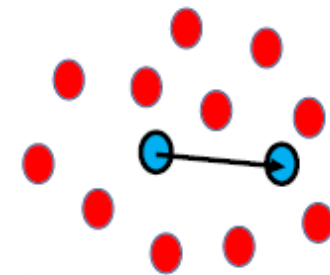
- **Nuclear short-range correlations – beyond mean-field effects**

- **Generalized Contact Formalism:** $\Psi(r_1, r_2, \dots, r_A) \xrightarrow{r_{12} \rightarrow 0} \varphi(\mathbf{r}) \times A(\mathbf{R}, \{\mathbf{r}_k\}_{k \neq 1,2})$

- Consistent and comprehensive description of short-range correlated pairs at leading order
- Accurate description of large-momentum transfer **electron scattering reactions**

- **Short-range expansion:**

- **Systematic framework** with organized subleading contributions
- Valid for larger distances / lower momenta
- Various observables can be described (kinetic and potential energy, $0\nu\beta\beta, \dots$)



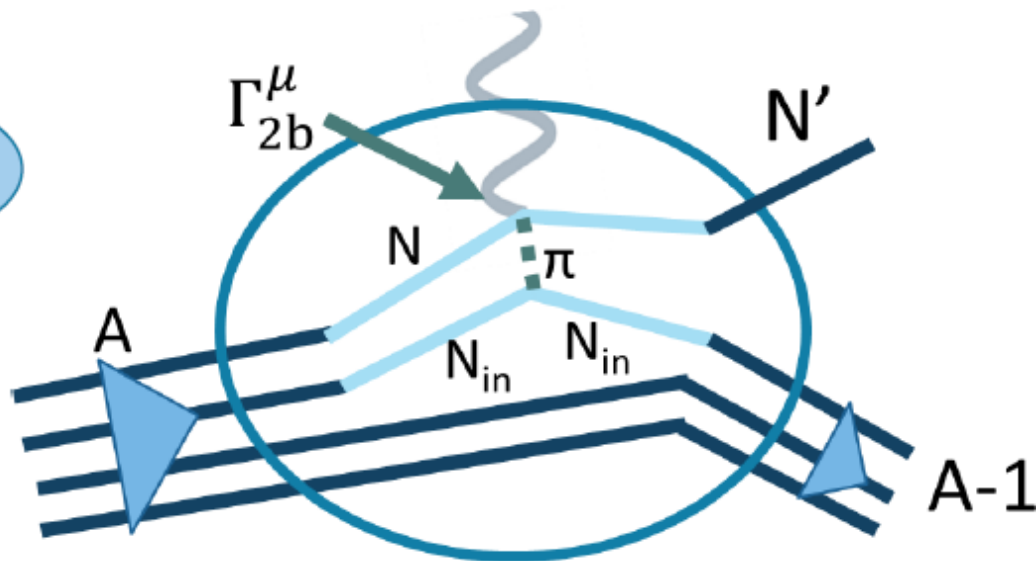
- **3N SRCs**

Meson exchange currents

- We include **one-pion exchange effects** by incorporating **two-body meson-exchange currents** with a final particle-hole state.

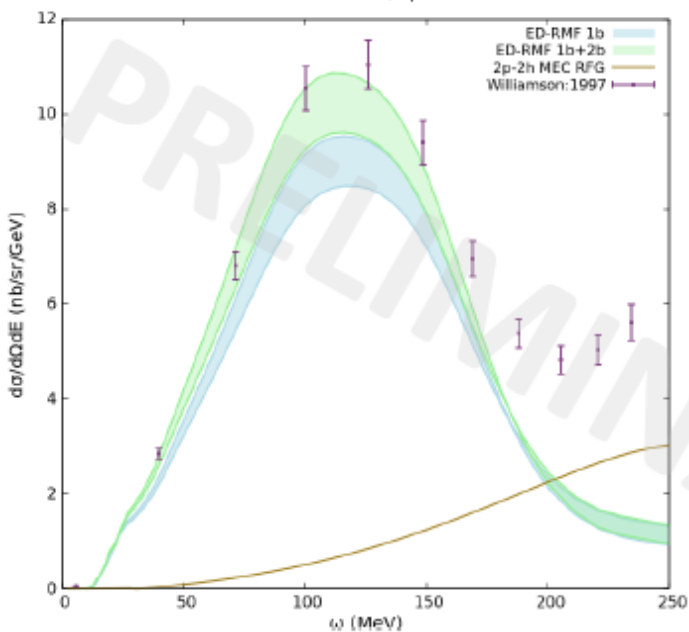
$$J_{had}^{\mu} = J_{had,1b}^{\mu} + J_{had,2b}^{\mu}$$

- The **1p-1h excitation** occurs when one of the outgoing nucleons of the two-particle two-hole interaction remains bound to the nucleus.

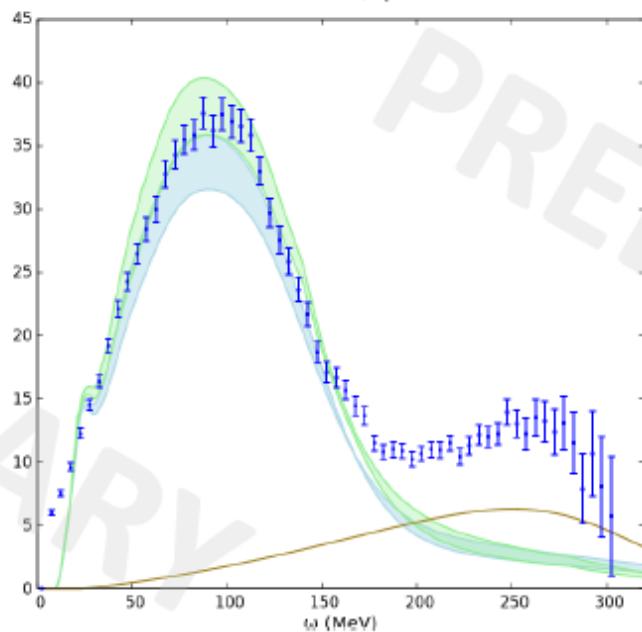


^{40}Ca electromagnetic inclusive cross section

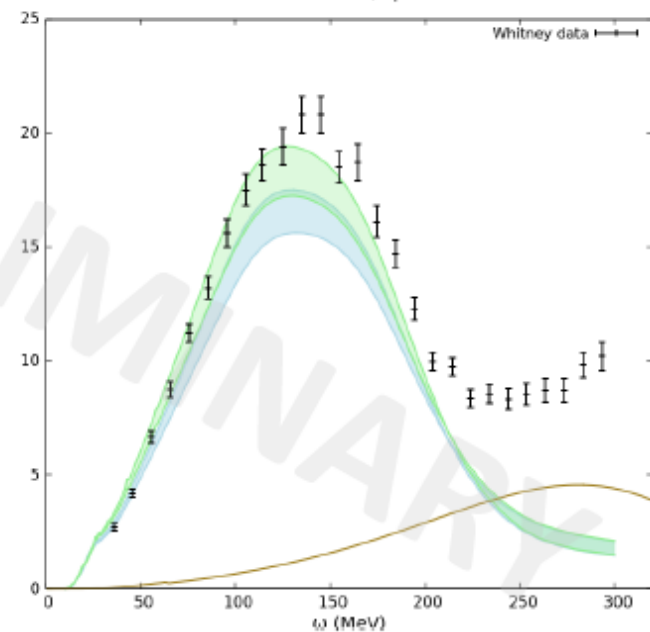
$E_i=347$ MeV, $\theta_1=90^\circ$



$E_i=400$ MeV, $\theta_1=60^\circ$

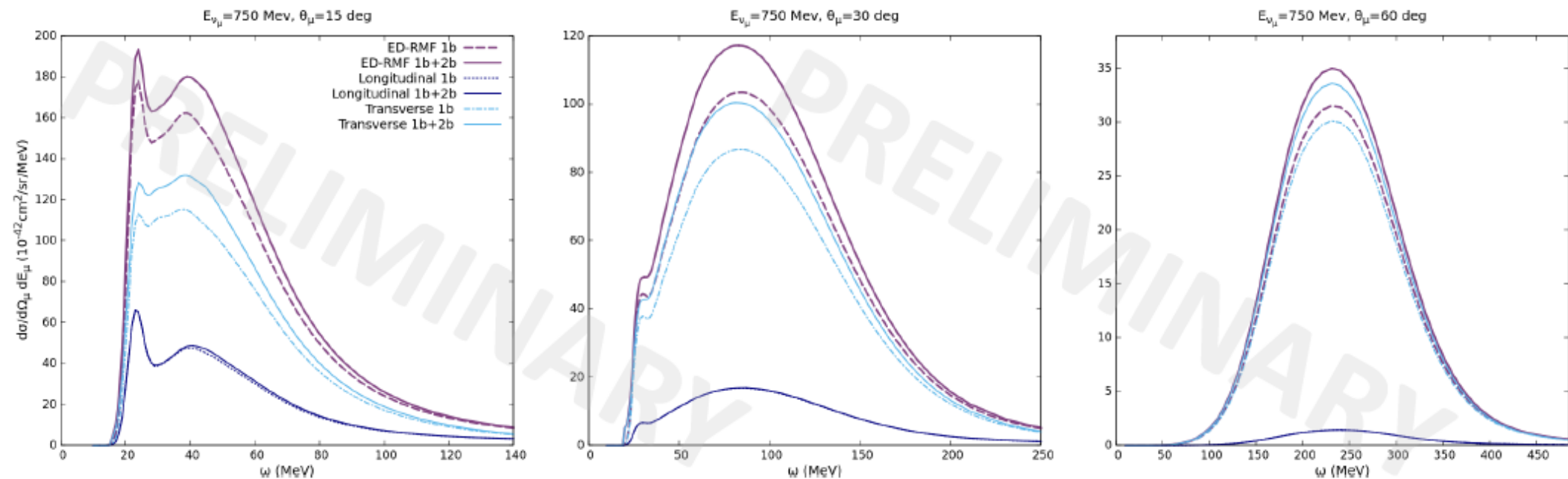


$E_i=500$ MeV, $\theta_1=60^\circ$



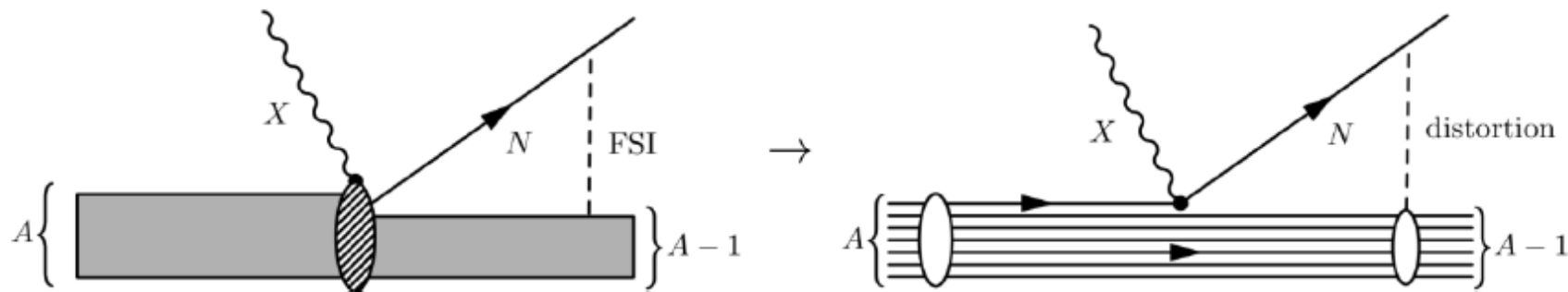
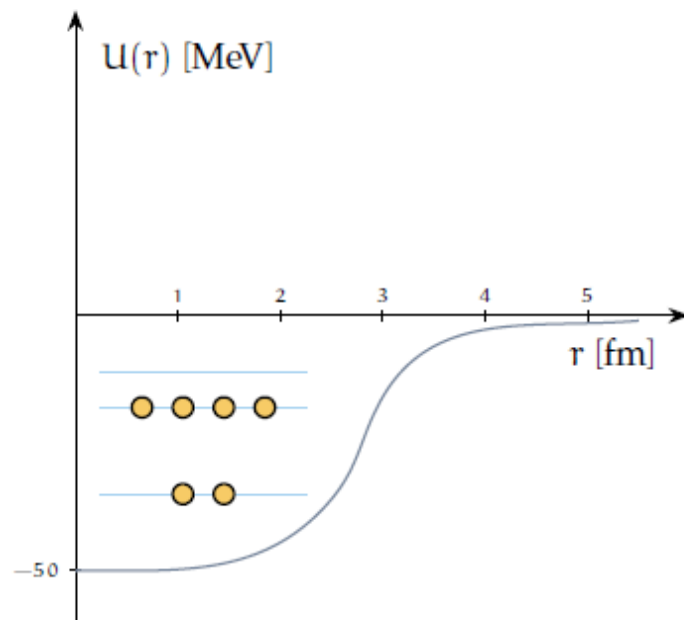
Data: discovery.phys.virginia.edu/research/groups/qes-archive/data/40Ca.html

$^{12}\text{C}-\nu_{\mu}$ inclusive cross section



Our nuclear framework

- Nucleons are solutions to the Schrödinger equation in a **mean-field potential**
- We calculate single-particle states with the **Hartree-Fock** procedure and SkE2 NN force
- We describe outgoing nucleons as **continuum states** of the nuclear potential



Short-range correlations

→ Nucleons with strongly **overlapping wave functions** for a short period of time

$$\hat{g}_v^{\text{eff}} \simeq \sum_{i=1}^A \hat{g}_v^{[1]}(i) + \sum_{i<j}^A \hat{g}_v^{[1],\text{SRC}}(i,j)$$

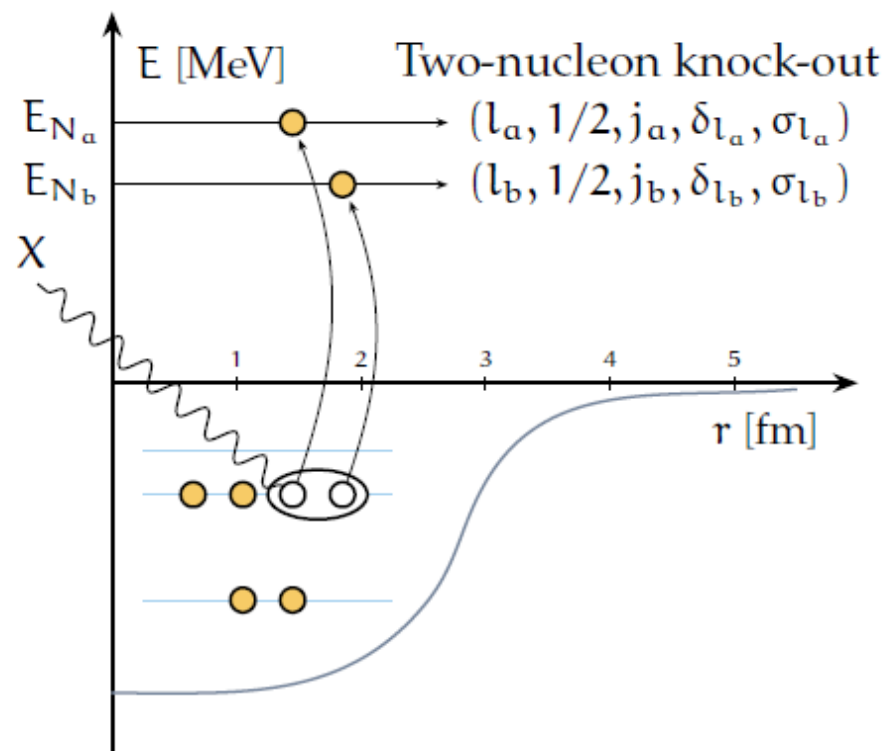
with

$$\hat{g}_v^{[1],\text{SRC}}(i,j) = \left[\hat{g}_v^{[1]}(i) + \hat{g}_v^{[1]}(j) \right] \hat{l}(i,j)$$

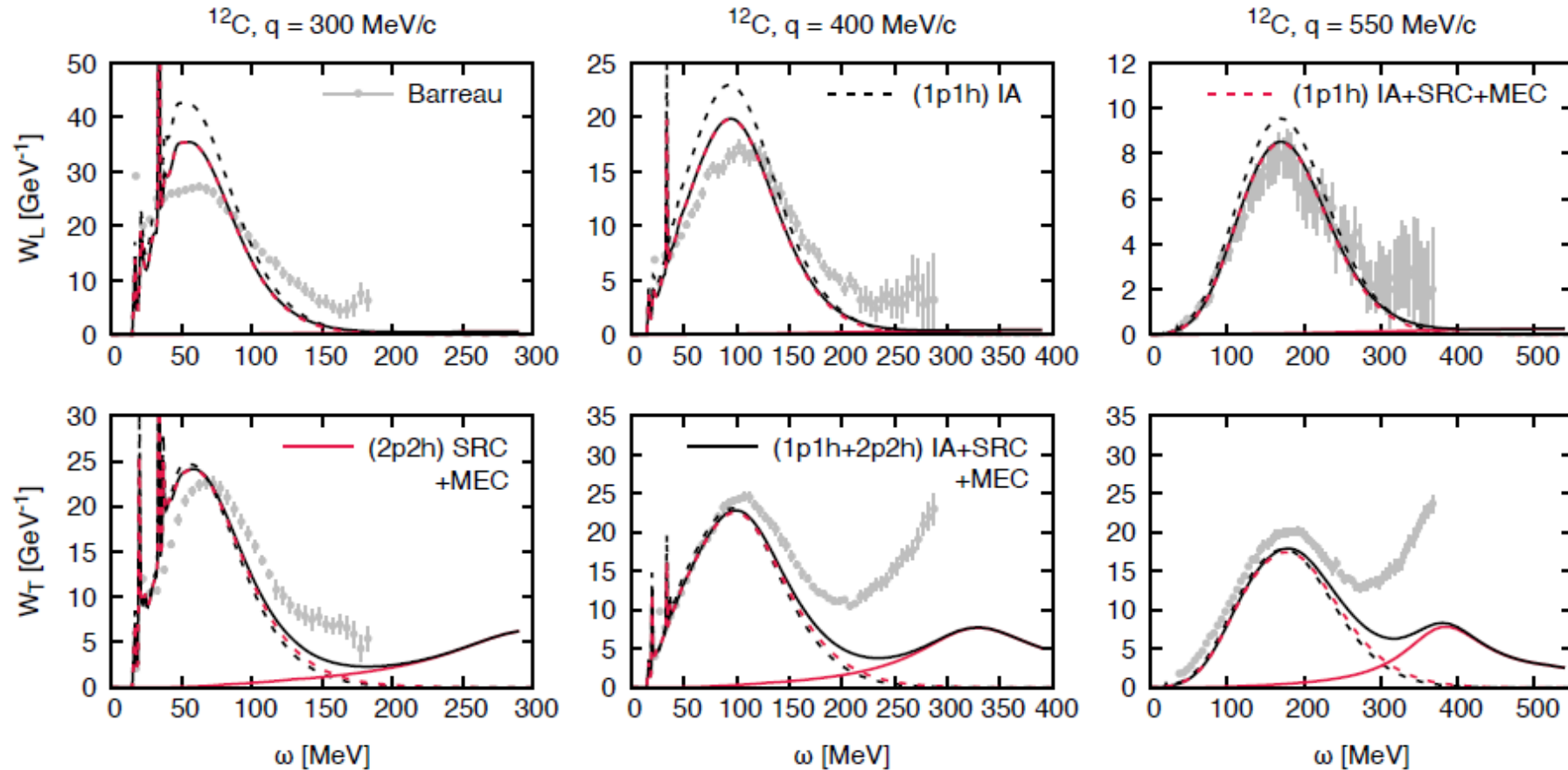
→ The correlation operator $\hat{l}(i,j)$ includes **central**, **tensor**, and **spin-isospin correlations**

→ First corrections to the **independent-particle model** picture for 1p1h

→ **Two-body currents** also leading to **two-nucleon knock-out** reactions



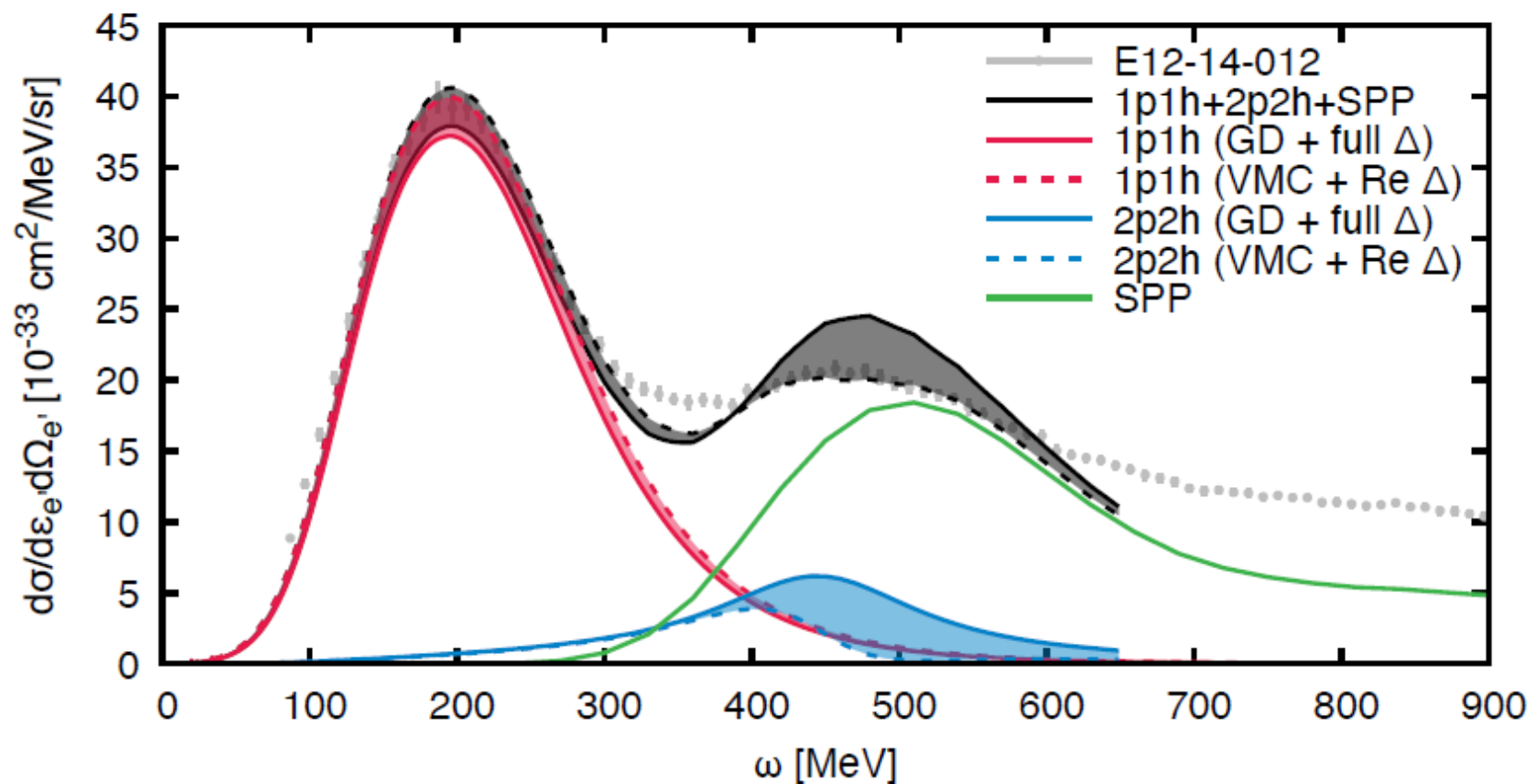
Consistent modeling of two-body currents: electron scattering



→ Coherent sum of SRC and MEC enhances our predictions

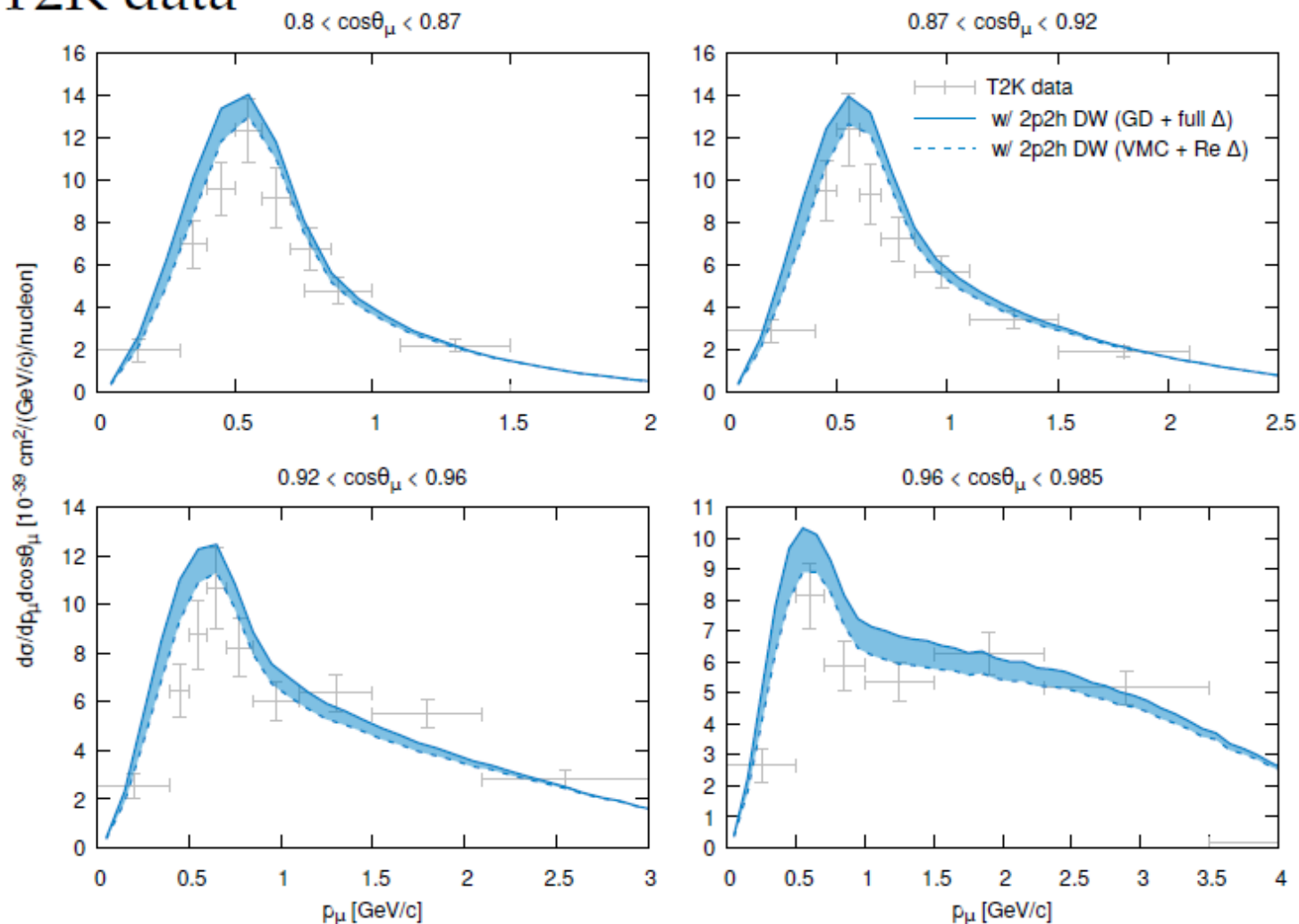
JLab Hall A data

^{12}C , $\varepsilon_e = 2222 \text{ MeV}$, $\theta_{e'} = 15.541^\circ$



→ Combining variation in given d.f. provides **flexibility in describing QE and Δ peaks**

Inclusive T2K data

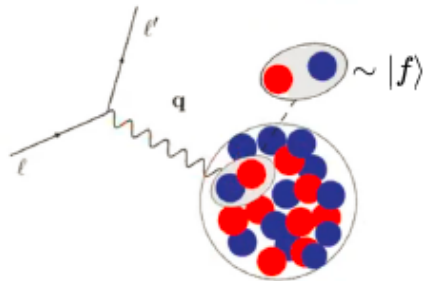




Short-time approximation

S. Pastore, J. Carlson, S. Gandolfi, R. Schiavilla, and R. B. Wiringa PRC101(2020)044612

Describe electroweak scattering from $A \geq 12$ without losing two-body physics, account for exclusive processes, Incorporate relativistic effects



The sum over all final states is replaced by a two nucleon propagator

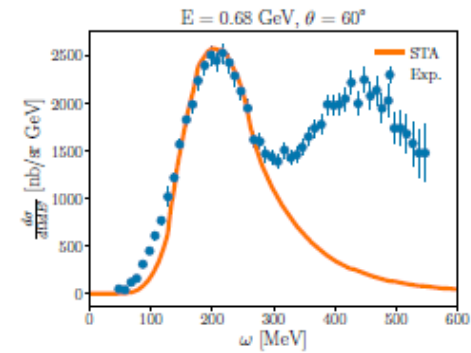
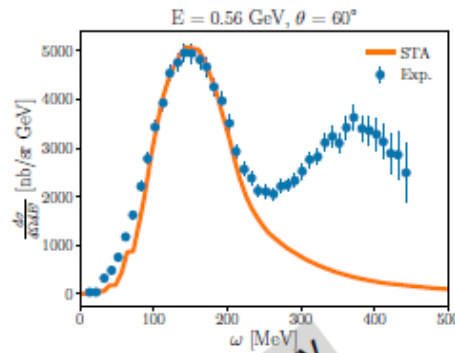
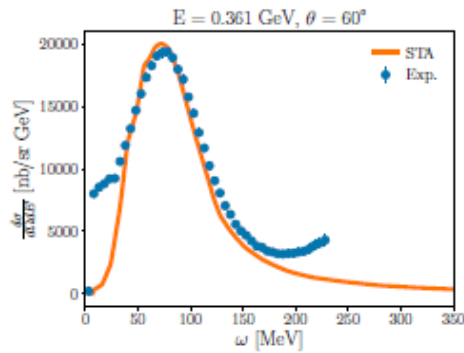
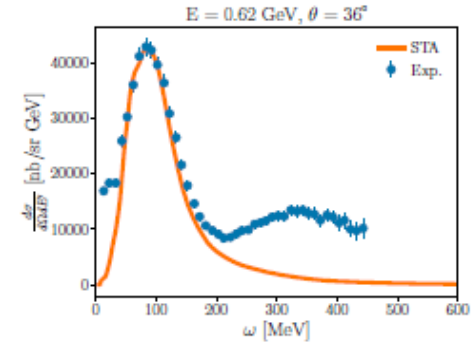
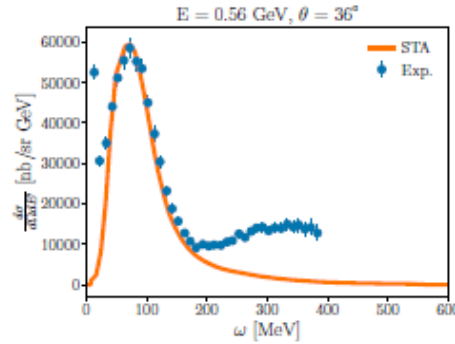
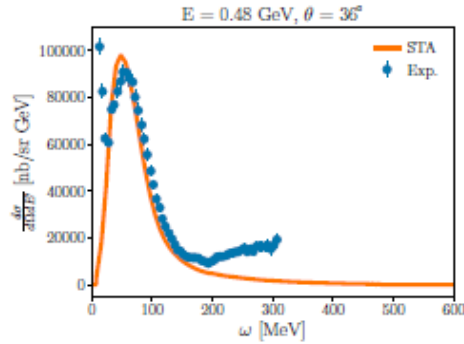
Response functions

$$R_\alpha(q, \omega) = \sum_f \delta(\omega + E_0 - E_f) |\langle f | O_\alpha(\mathbf{q}) | 0 \rangle|^2$$

$$R_\alpha(q, \omega) = \int_{-\infty}^{\infty} \frac{dt}{2\pi} e^{i(\omega + E_i)t} \langle \Psi_i | O_\alpha^\dagger(\mathbf{q}) e^{-iHt} O_\alpha(\mathbf{q}) | \Psi_i \rangle$$

$$\begin{aligned} O^\dagger e^{-iHt} O &= \left(\sum_i O_i^\dagger + \sum_{i < j} O_{ij}^\dagger \right) e^{-iHt} \left(\sum_{i'} O_{i'} + \sum_{i' < j'} O_{i'j'} \right) \\ &= \sum_i O_i^\dagger e^{-iHt} O_i + \sum_{i \neq j} O_i^\dagger e^{-iHt} O_j \\ &\quad + \sum_{i \neq j} \left(O_i^\dagger e^{-iHt} O_{ij} + O_{ij}^\dagger e^{-iHt} O_i \right. \\ &\quad \left. + O_{ij}^\dagger e^{-iHt} O_{ij} \right) + \dots \end{aligned}$$

Cross sections results for ^{12}C



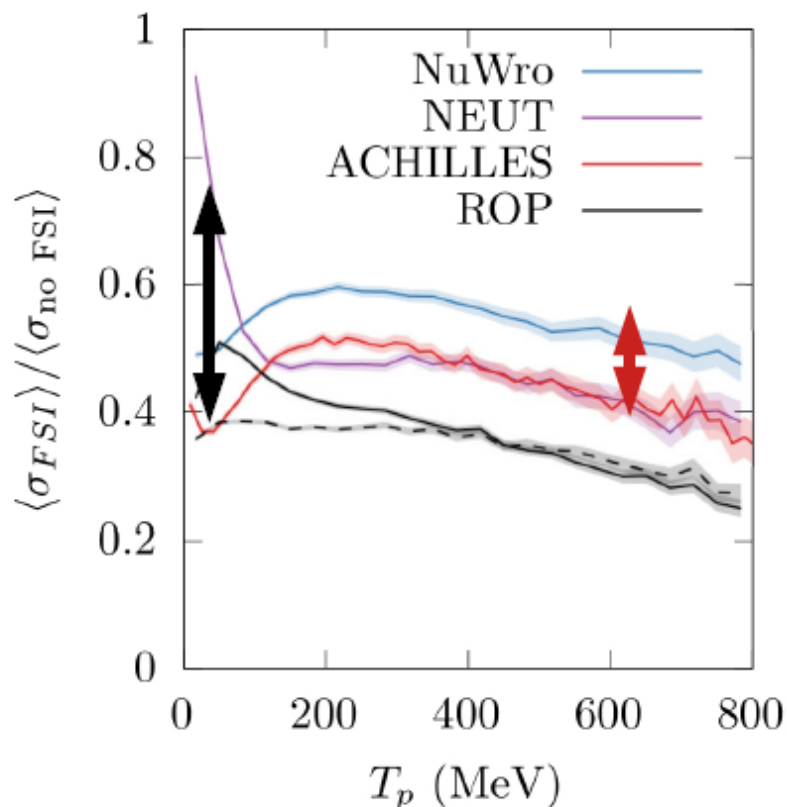
Preliminary

Nonrelativistic calculations

Benchmarking INCs with RDWIA calculations for Argon

[In preparation]

Comparison of T_p dependence in different INCs

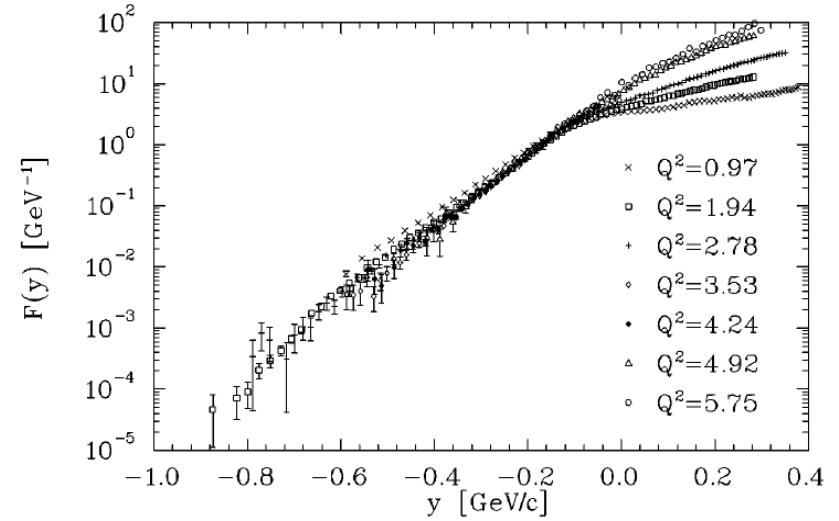
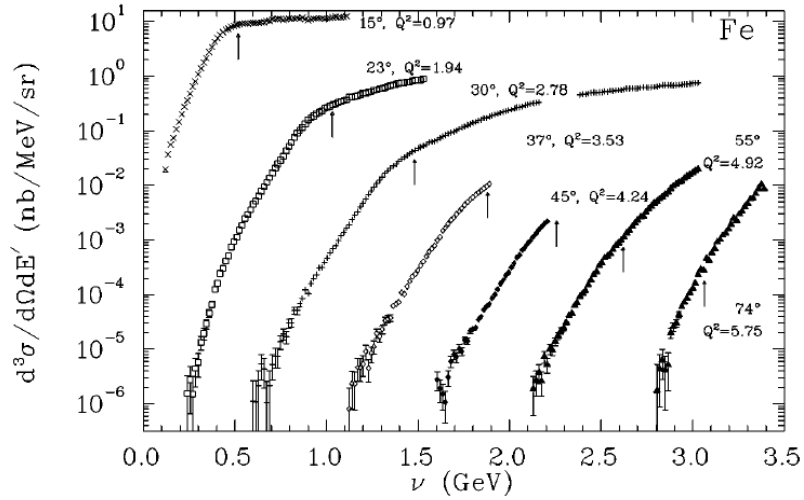


Ratio **OUT/INPUT**

→ independent of INPUT in INC
= 'INC Transparency'

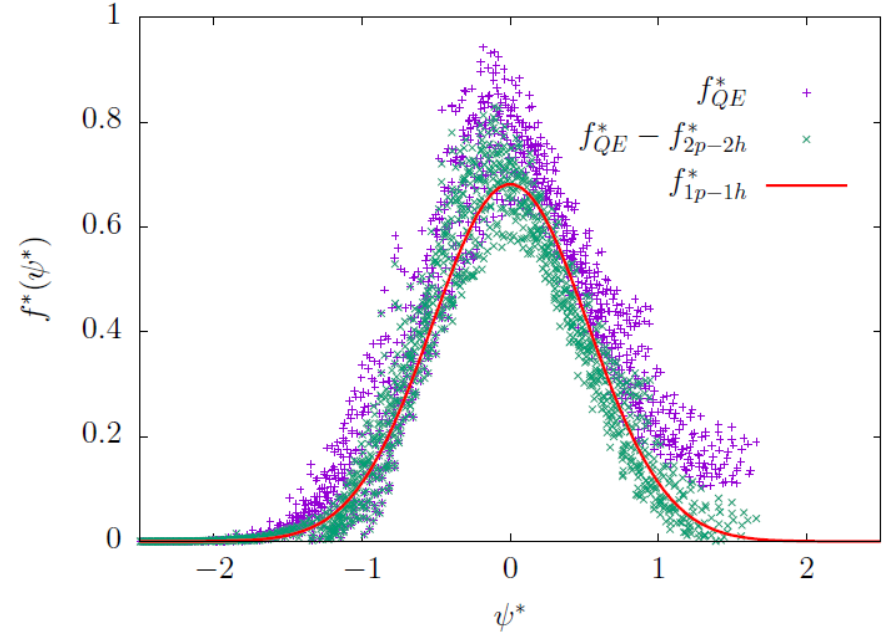
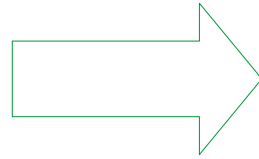
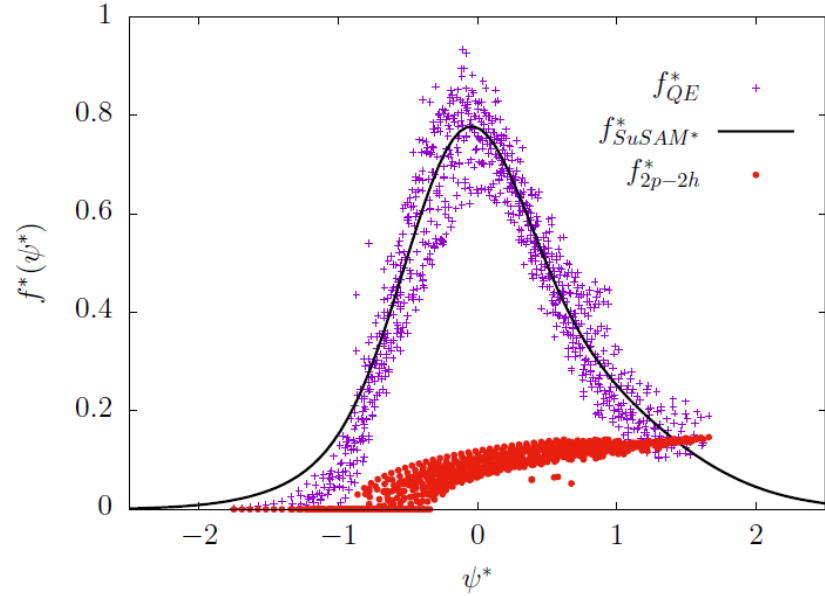
- **NEUT & ACHILLES:**
- Low- T_p differences
- **NuWro & ACHILLES:**
- Treatment of SRCs

Superscaling

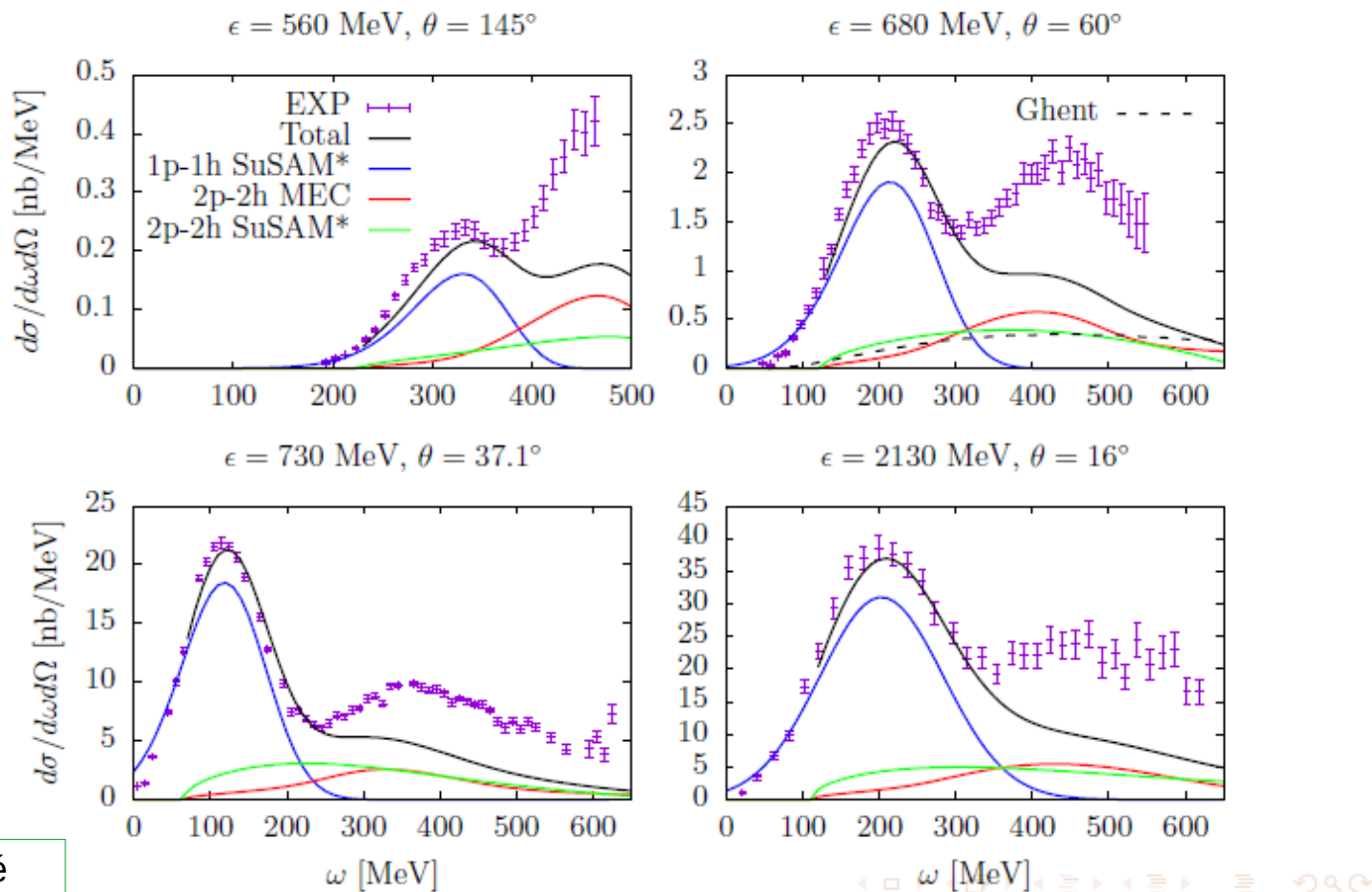


Arrington *et al.*, PRL 82, 2056 (1999)

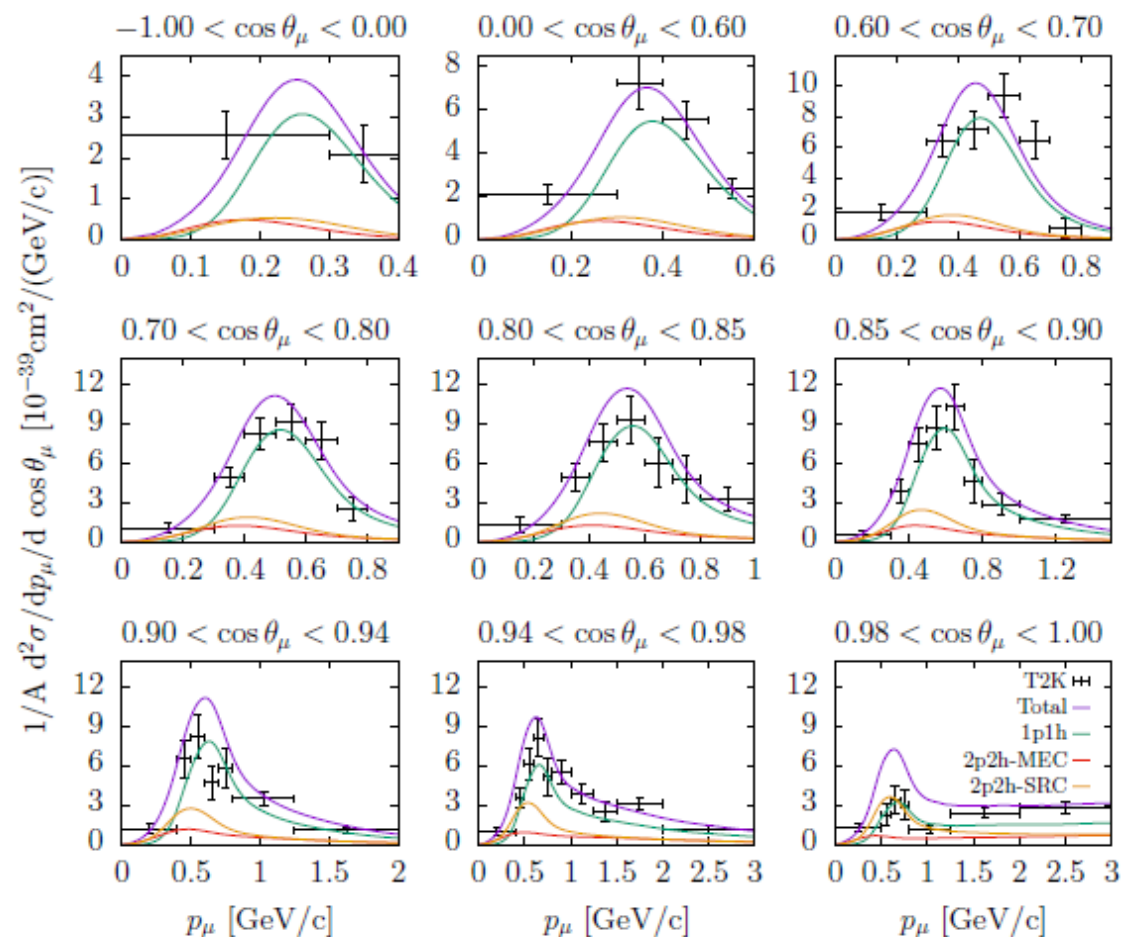
Superscaling



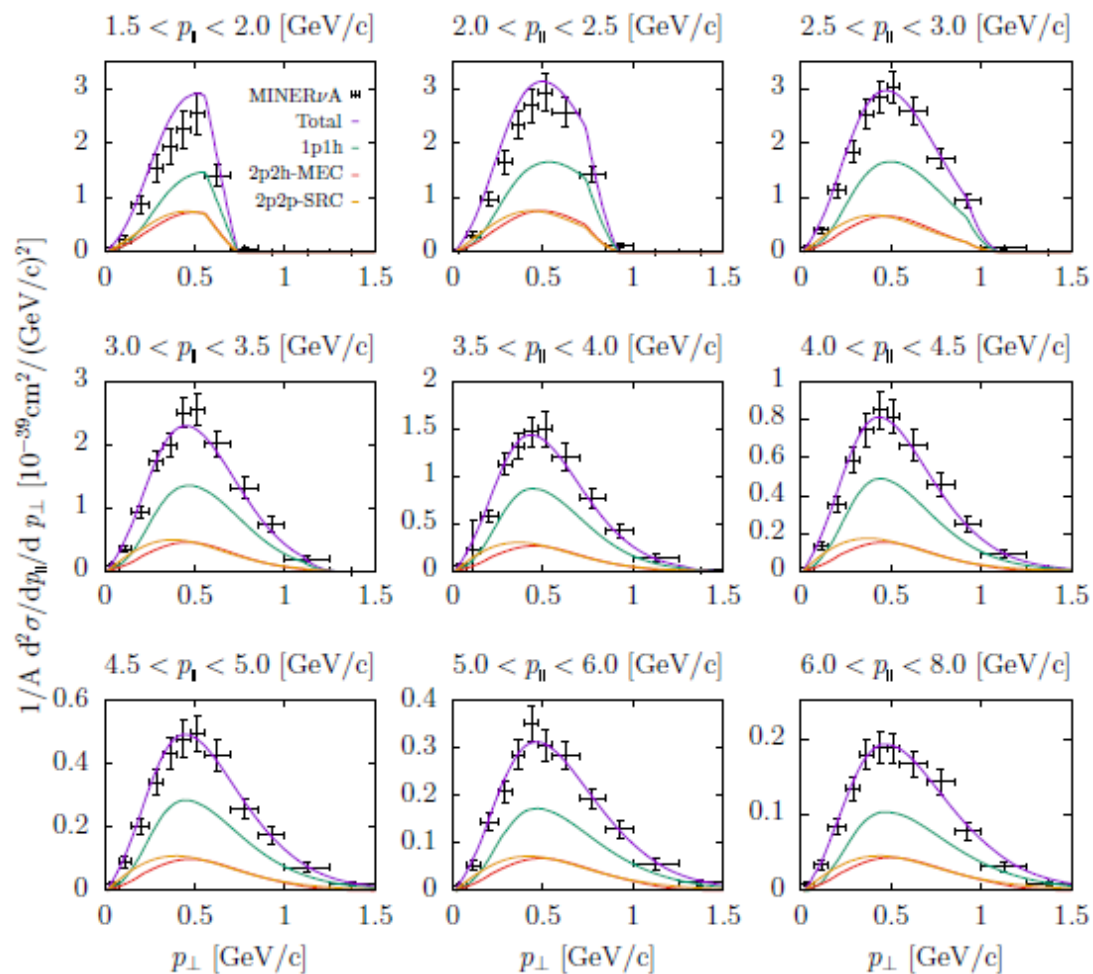
$$\frac{d\sigma}{d\omega d\Omega} = f(\psi') (N\sigma_{en} + Z\sigma_{ep})$$

Results - $^{12}\text{C}(e, e')$ 

Results-T2K



Results-MINERνA



The contribution analysed depends of the limits of the integral and the parametrization used

- TrueDIS (Deep inelastic scattering)

$$W_x^{min} = 2.1 \text{ GeV}; W_x^{max} = m_N + \omega - E_s$$

Bodek-Ritchie/ Bosted-Christy/ Parton Distribution Function

- RES (Resonances)

$$W_x^{min} = m_N + m_\pi; W_x^{max} = 2.1 \text{ GeV}$$

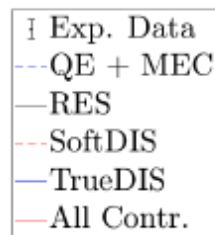
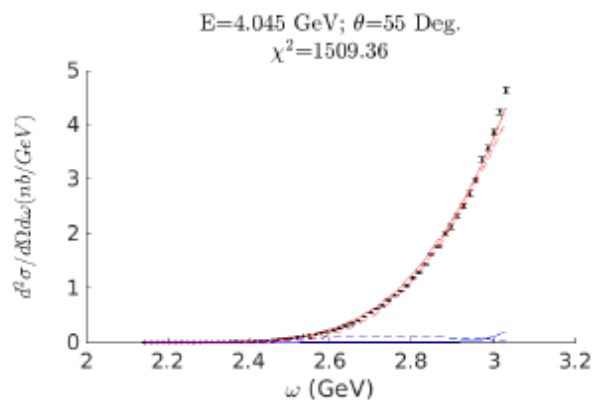
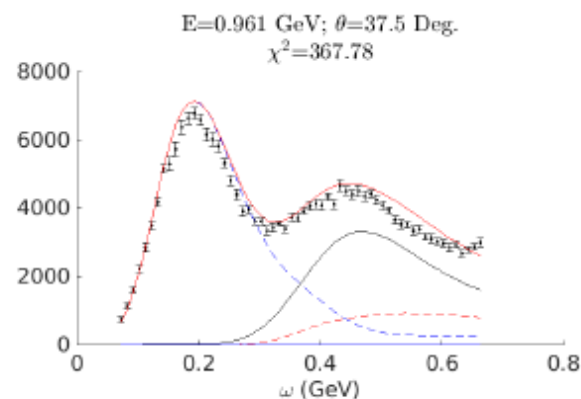
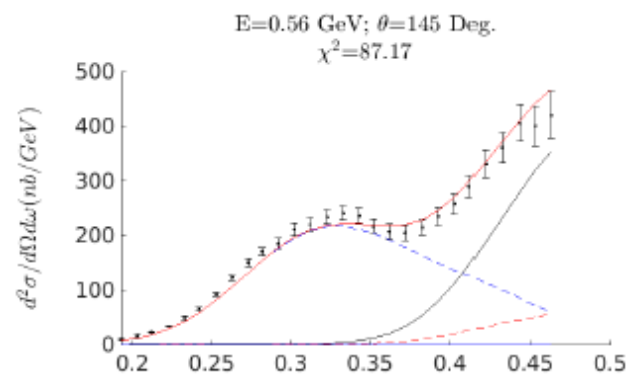
Dynamical Coupled Channels

- SoftDIS (Deep inelastic scattering in the resonance region)

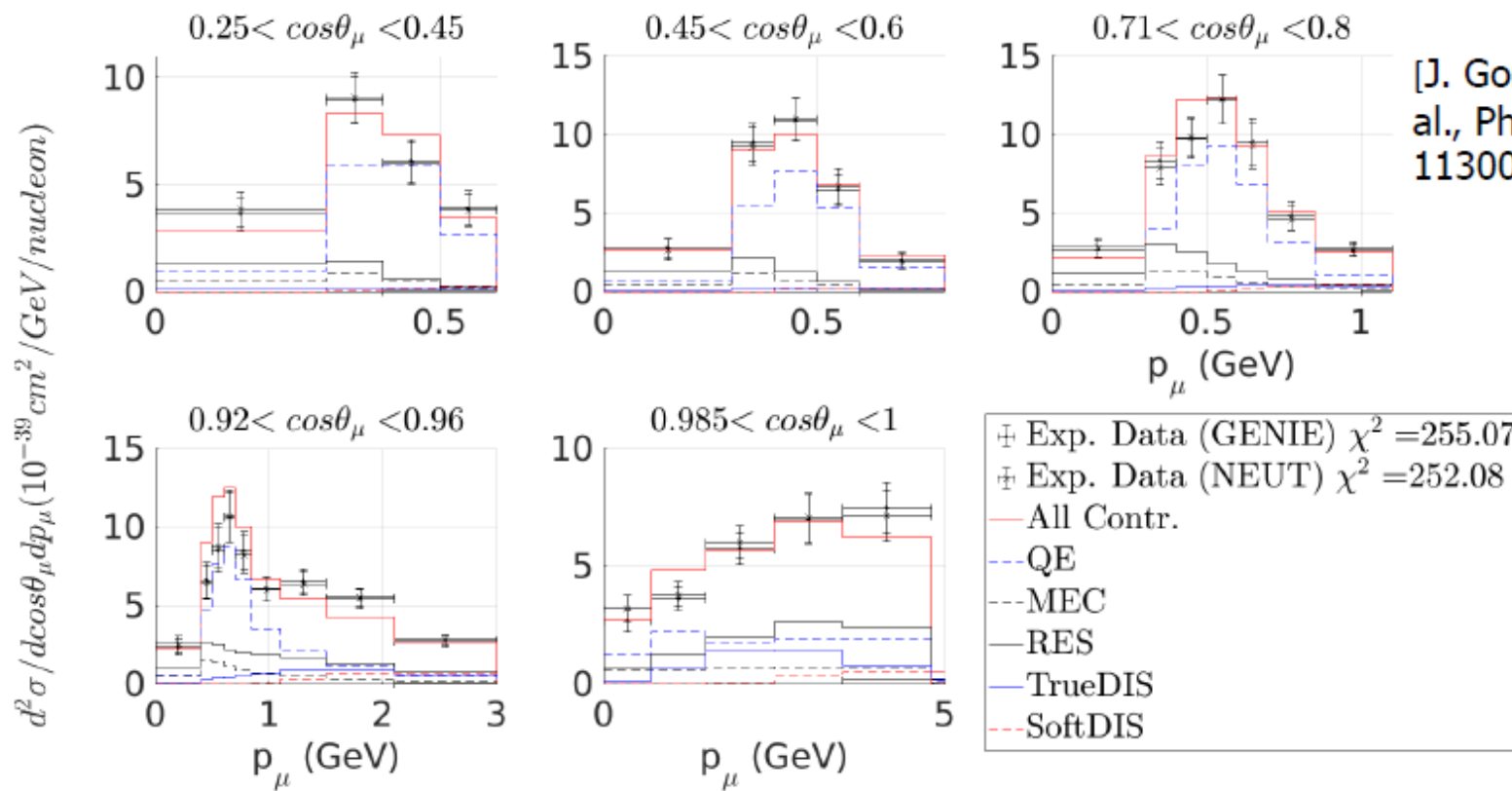
$$W_x^{min} = m_N + m_\pi; W_x^{max} = 2.1 \text{ GeV}$$

Dynamical Coupled Channels and Bodek-Ritchie/Bosted-Christy

Results: Electron scattering



[J. Gonzalez-Rosa et al.,
Phys. Rev. D 108, 113008
(2023)].

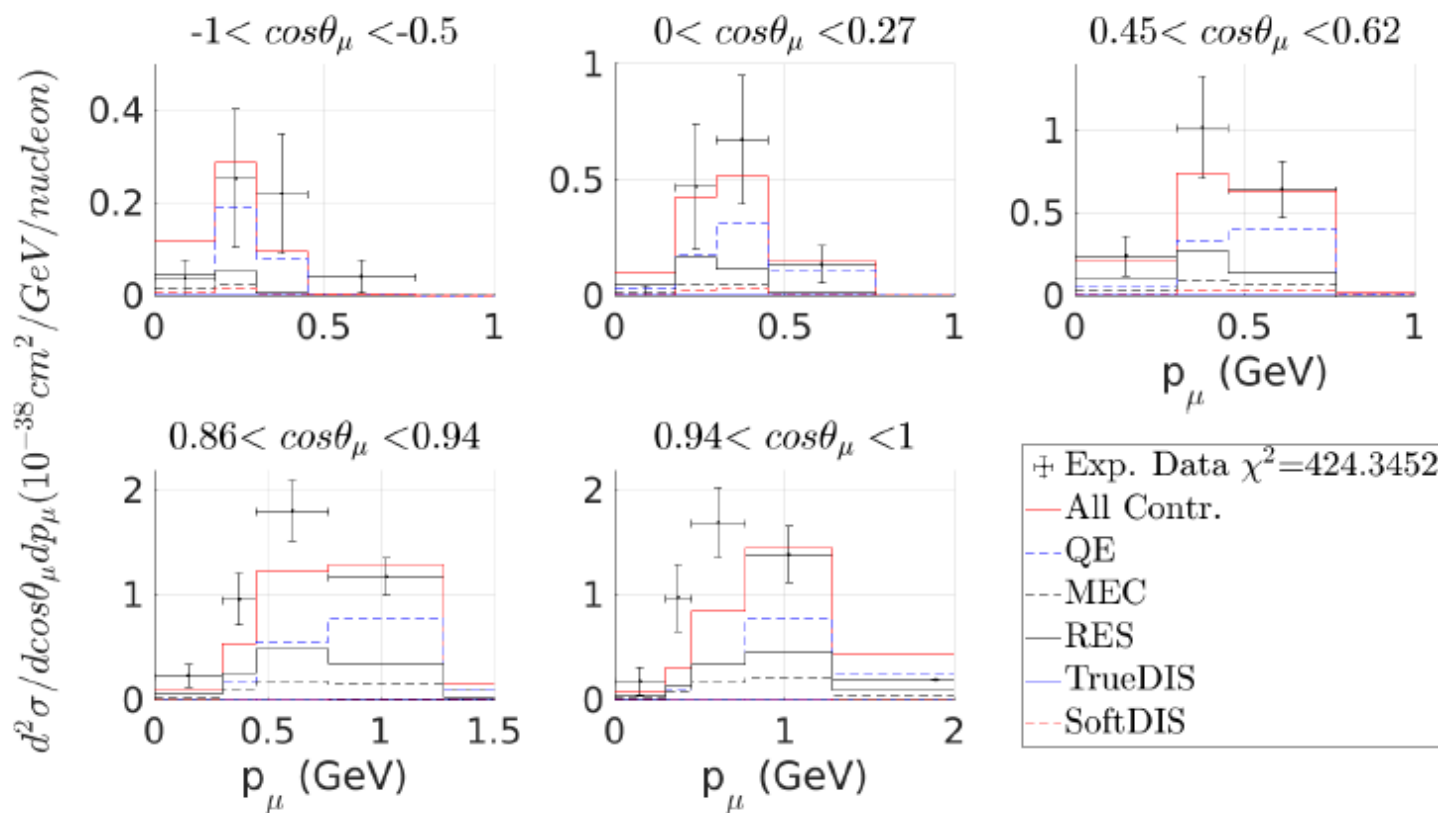


[J. Gonzalez-Rosa et al., Phys. Rev. D 108, 113008 (2023)].

$\chi^2 = 218.3$
(GENIE)
 $\chi^2 = 192.0$
(NEUT)

T2K CC $\nu_\mu < E_{\nu_\mu} > \sim 0.6 \text{ GeV}$

Results: MicroBooNE

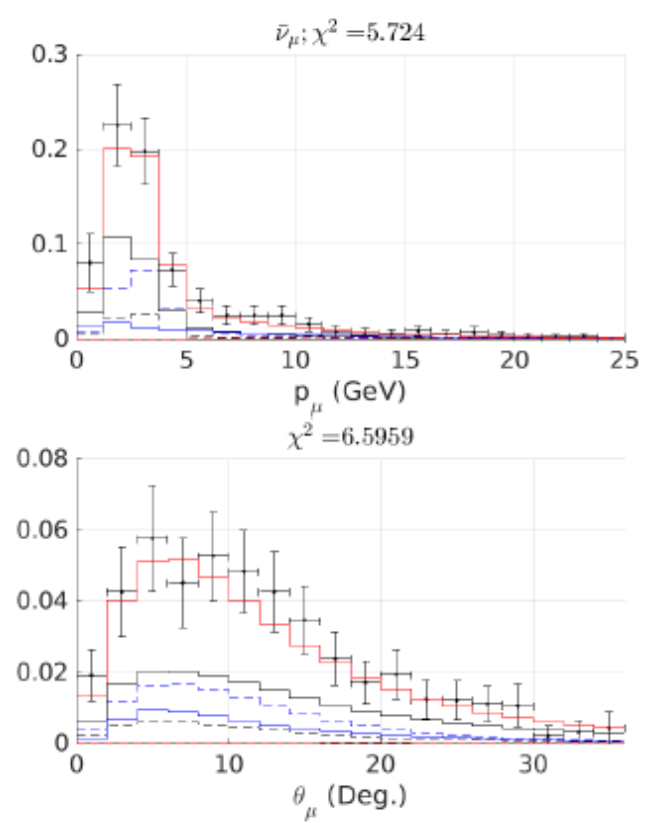
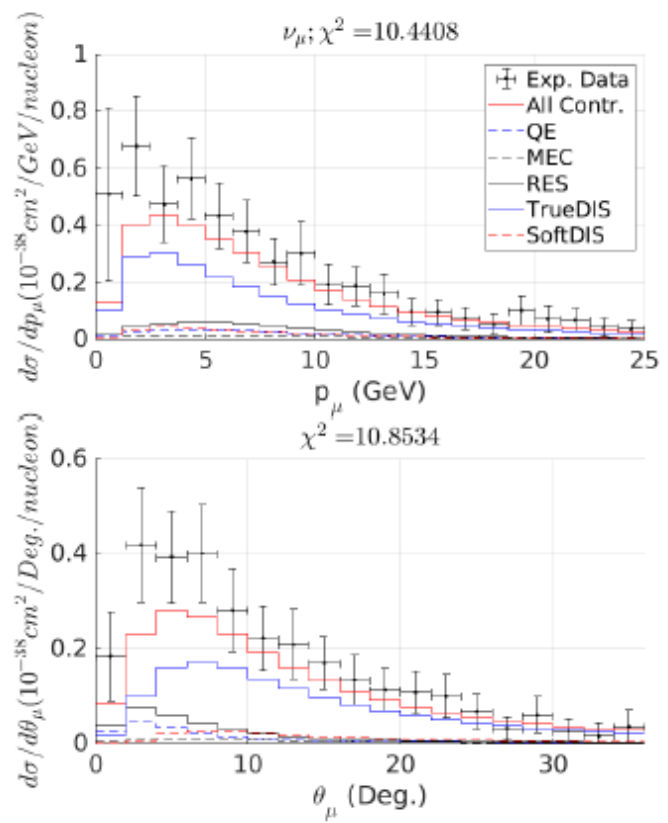


[J. Gonzalez-Rosa et al., Phys. Rev. D 108, 113008 (2023)].

$\chi^2 = 103.9$
(GENIEv3)

MicroBooNE CC $\nu_\mu < E_{\nu_\mu} > \sim 0.8 \text{ GeV}$

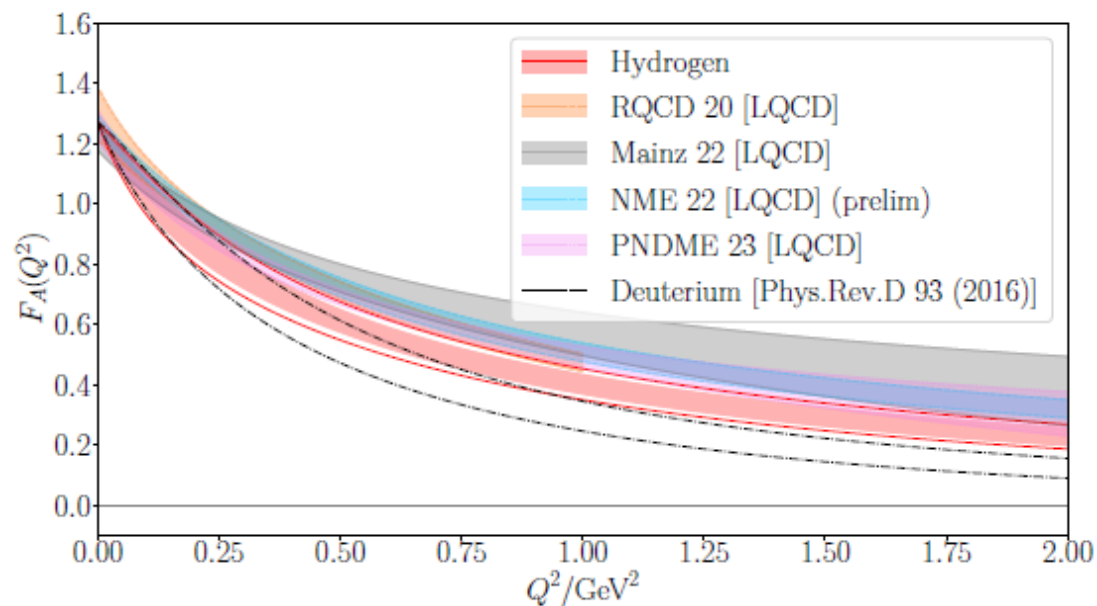
Results: ArgoNEUT



[J. Gonzalez-Rosa et al., Phys. Rev. D 108, 113008 (2023)].

ArgoNEUT CC $\nu_\mu, \langle E_{\nu_\mu} \rangle \sim 9.6 \text{ GeV}$; CC $\bar{\nu}_\mu, \langle E_{\nu_\mu} \rangle \sim 3.6 \text{ GeV}$

Hydrogen–Deuterium Comparison Summary



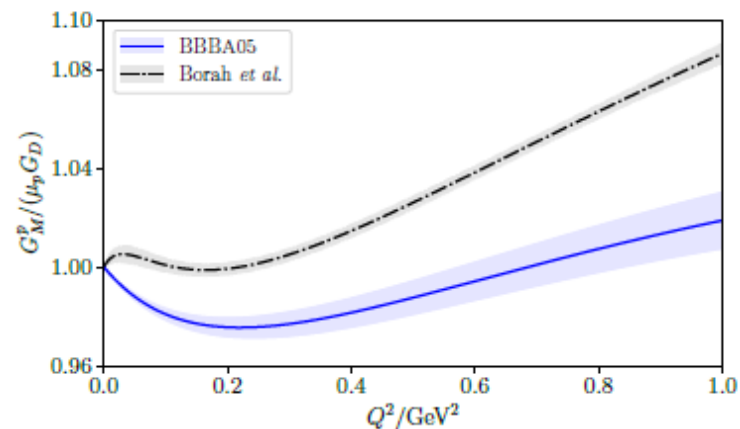
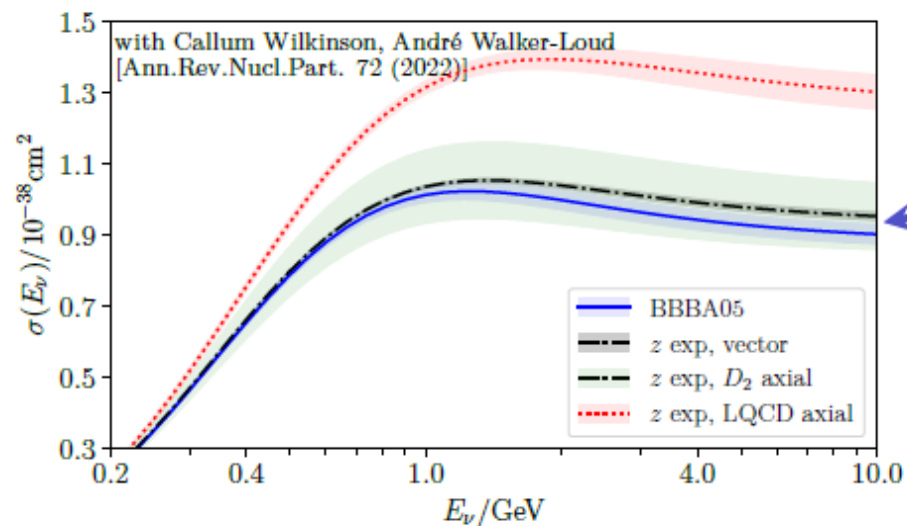
LQCD “prediction”: deuterium fits underestimate axial form factor at high Q^2

Unphysical deuterium fit degeneracy between floating normalization, axial form factor

Independent of norm degeneracy, hydrogen & deuterium shapes mutually incompatible

We need more modern hydrogen data!

Free Nucleon Cross Section



LQCD prefers 30-40% enhancement of ν_μ CCQE cross section

recent Monte Carlo tunes require 20% enhancement of QE

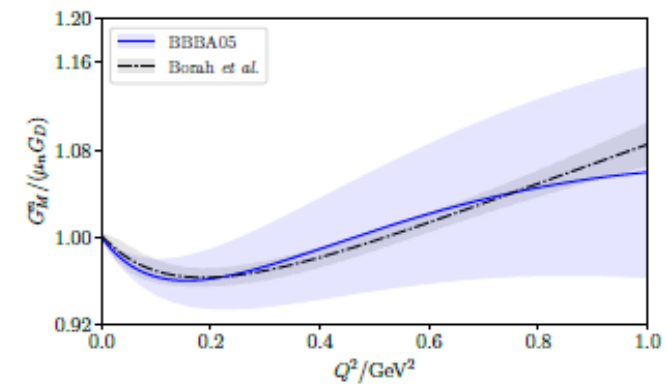
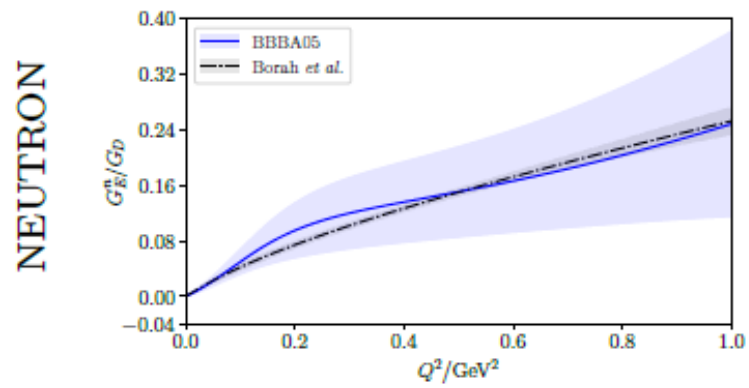
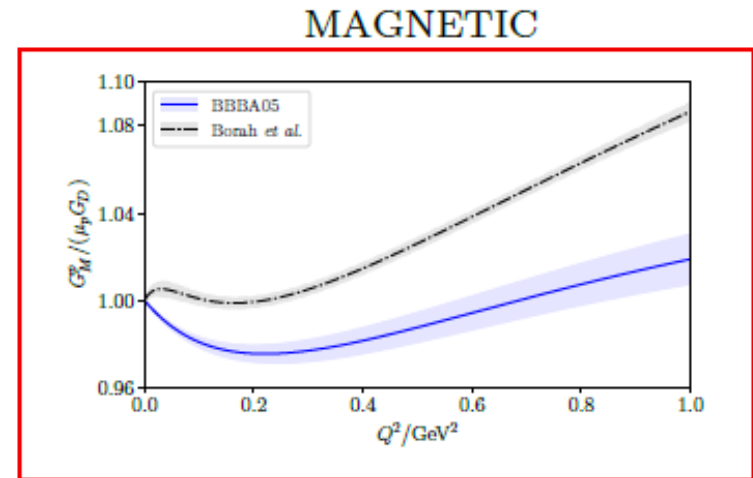
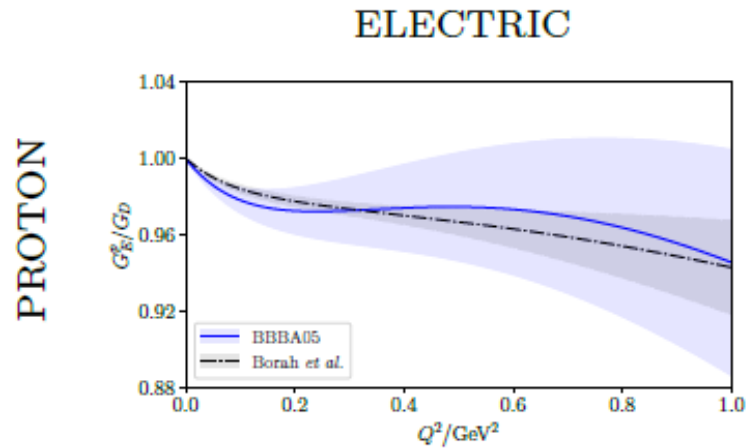
[Phys.Rev.D 105 (2022)] [2206.11050 [hep-ph]]

Sensitive to vector form factor tension with improved precision [Phys.Rev.D 102 (2020)] [Nucl.Phys.B Proc.Suppl. 159 (2006)]
(red uncertainty vs black–blue difference)

\Rightarrow vector form factors will limit precision in near future

Aaron Meyer

Vector Form Factors - Proton/Neutron

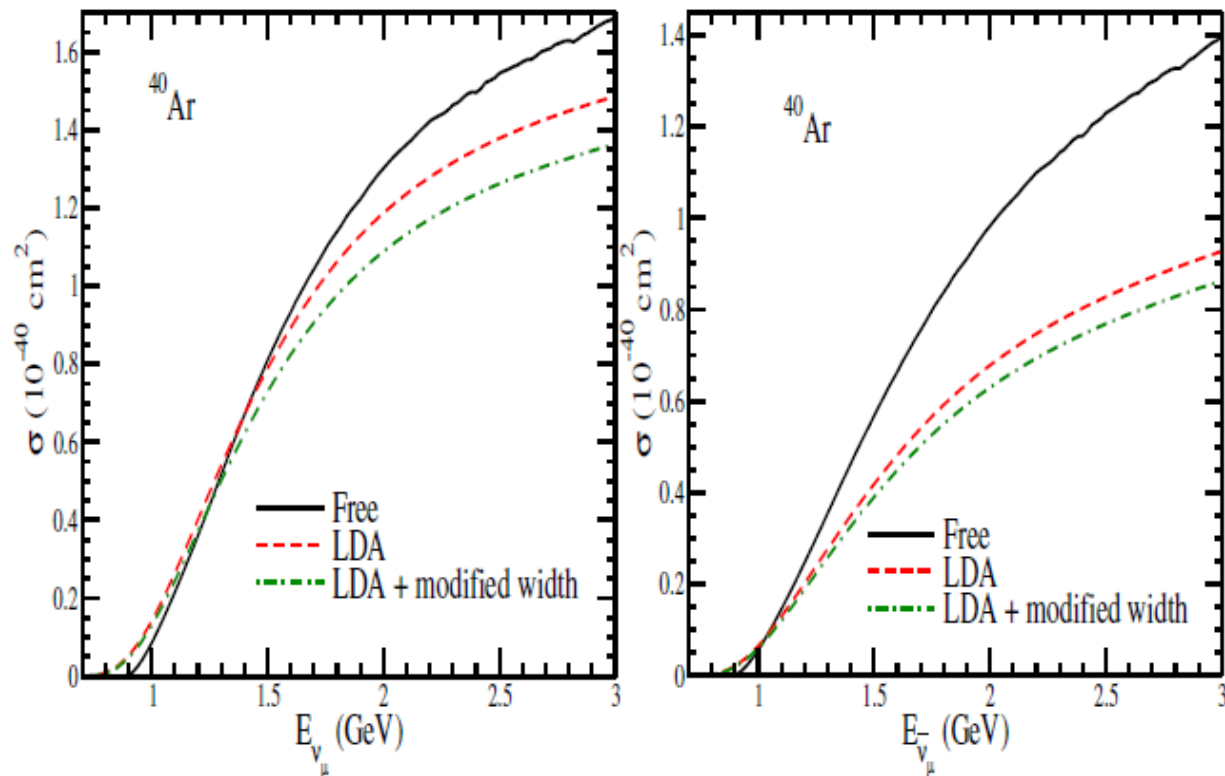


Borah et al., PRD 102, 074012 (2020)

Large tension in proton magnetic form factor

Aaron Meyer

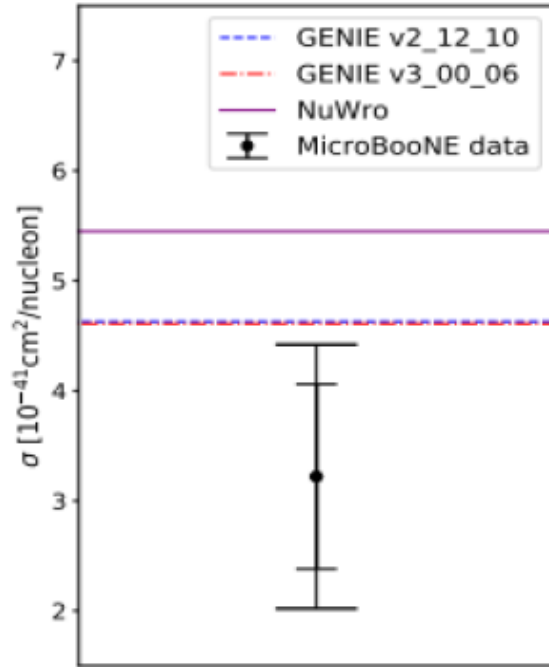
σ per interacting nucleon for the CC neutrino (left) and antineutrino (right) from ^{40}Ar nuclear target



AF, MSA, SKS, Paper in preparation

MicroBooNE η production result

$$\langle\sigma\rangle = (3.22 \pm 0.84 \pm 0.86) \times 10^{-41} \text{ cm}^2/\text{nucleon}$$



Phys. Rev. Lett. 132, 151801
(2024)

- $\langle\sigma\rangle_{\text{free}} = 1.87 \times 10^{-41} \text{ cm}^2/\text{nucleon}$
- $\langle\sigma\rangle_{^{40}\text{Ar}} = 1.78 \times 10^{-41} \text{ cm}^2/\text{nucleon}$

- GENIE v2_12_10:
 $4.63 \times 10^{-41} \text{ cm}^2/\text{nucleon}$
- GENIE v3_00_06G18_10a_02_11a:
 $4.61 \times 10^{-41} \text{ cm}^2/\text{nucleon}$
- NuWro 19.02.1:
 $5.45 \times 10^{-41} \text{ cm}^2/\text{nucleon}$
- NEUT v5.4.0:
 $11.9 \times 10^{-41} \text{ cm}^2/\text{nucleon}$

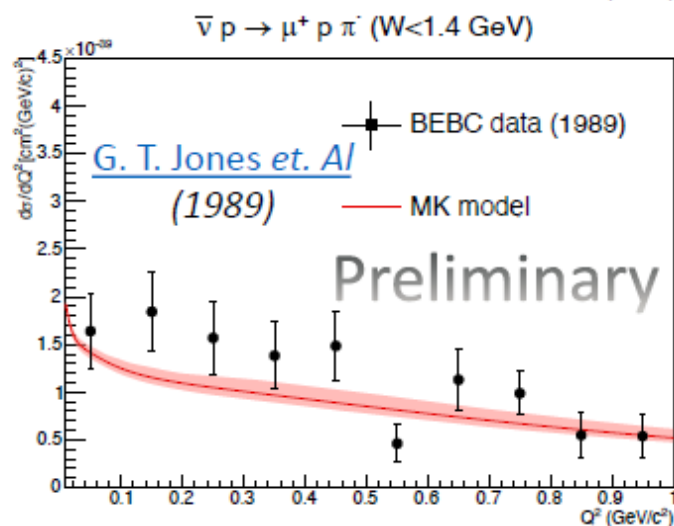
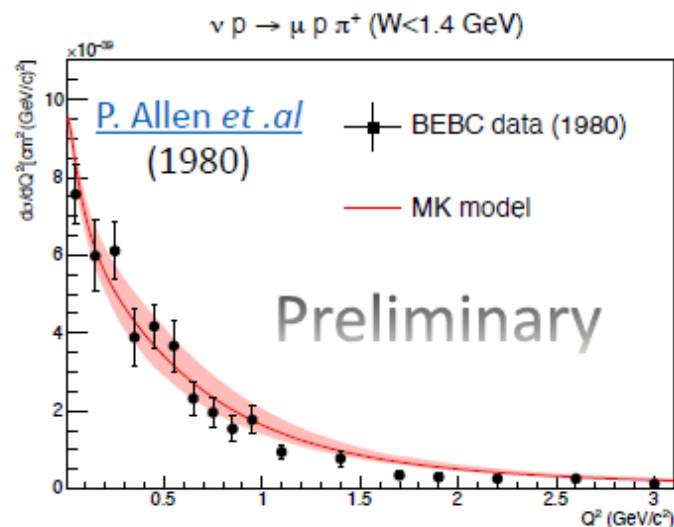
Further reduction expected due to FSI

MK model

- The MK model is applicable in the resonance region ($W < 2.0$ GeV), covering both resonance and nonresonant interactions.
- It utilises a form-factor model (Meson Dominance) that complies with the **unitary condition**, respects **CVC and PCAC**, and is consistent with QCD principles. Consequently, the model provides accurate predictions across both low and high Q^2 regions.
- All form factors (neutron, proton, CC, and NC) are determined through a **joint fit** incorporating approximately 50,000 data points on **electron, photon, pion, and neutrino scattering data**, providing **covariance matrix**.

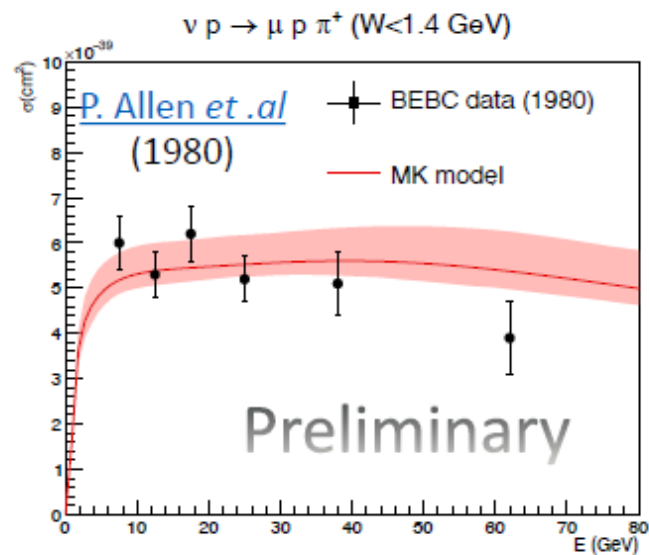
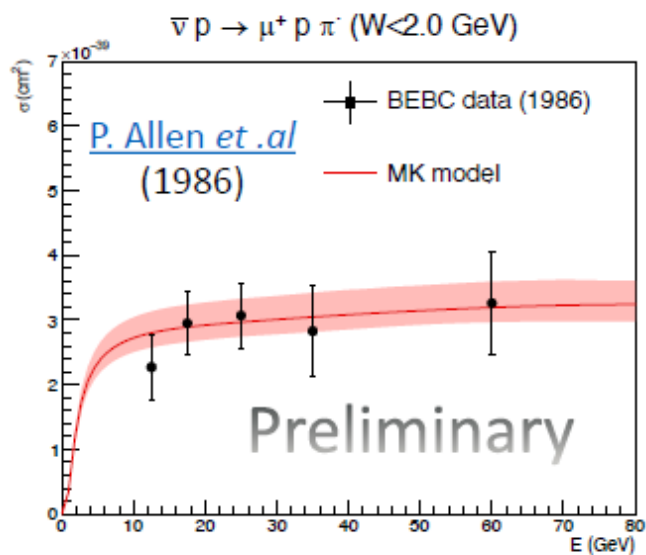
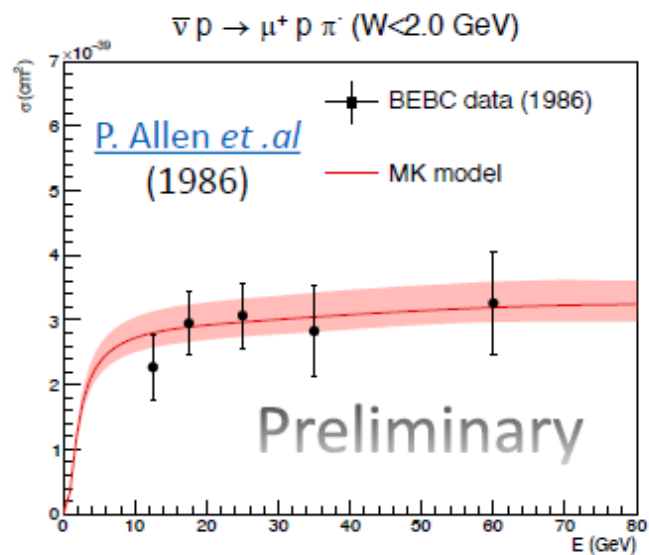
Highlight 1 (neutrino vs anti-neutrino)

- By employing an advanced model for the form factors and incorporating data from both neutrino and anti-neutrino interactions, we can ensure a reliable prediction for both types of interactions.



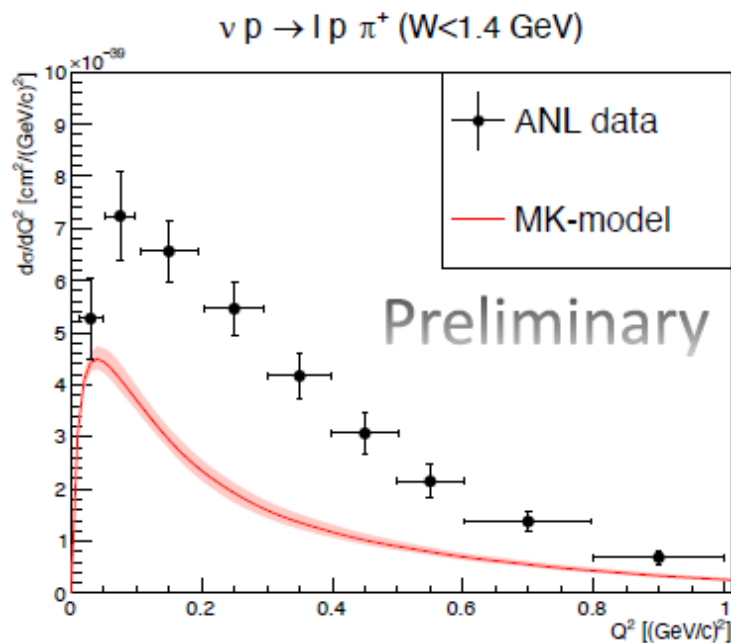
Highlight 1 (neutrino vs anti-neutrino)

- Integrated cross section



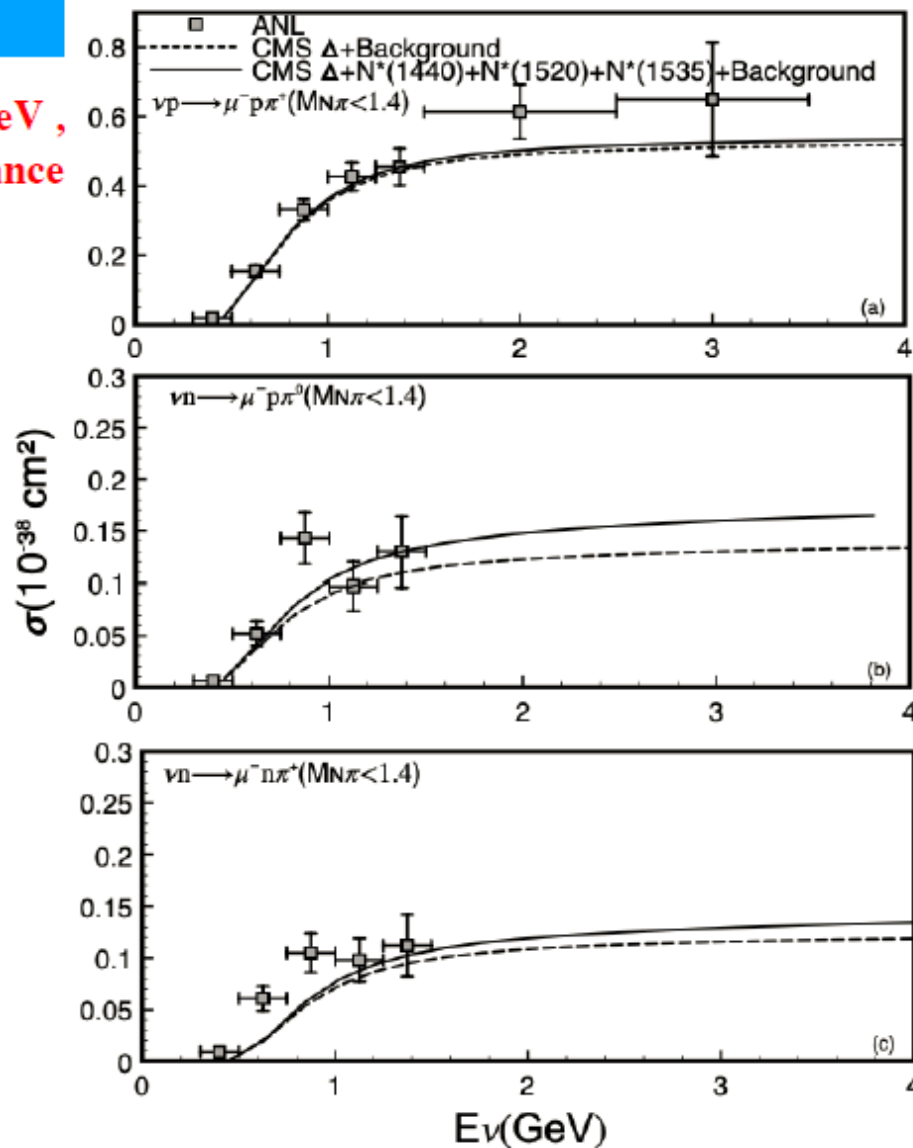
Highlight 3: Low Q^2 region

- The model is designed to address the low Q^2 region, where existing models struggle to predict empirical data:
- This ANL data is not included in the fit.
- The proton/deuteron ratio is similar to neutron/deuteron in electron scattering measurements.
- This ANL data on deuterium is utilised to fit the axial form factor in event generators.



Total cross sections

Results with $M_{\pi N} < 1.4$ GeV,
 Δ and Δ plus 2nd resonance
region (old ANL data)



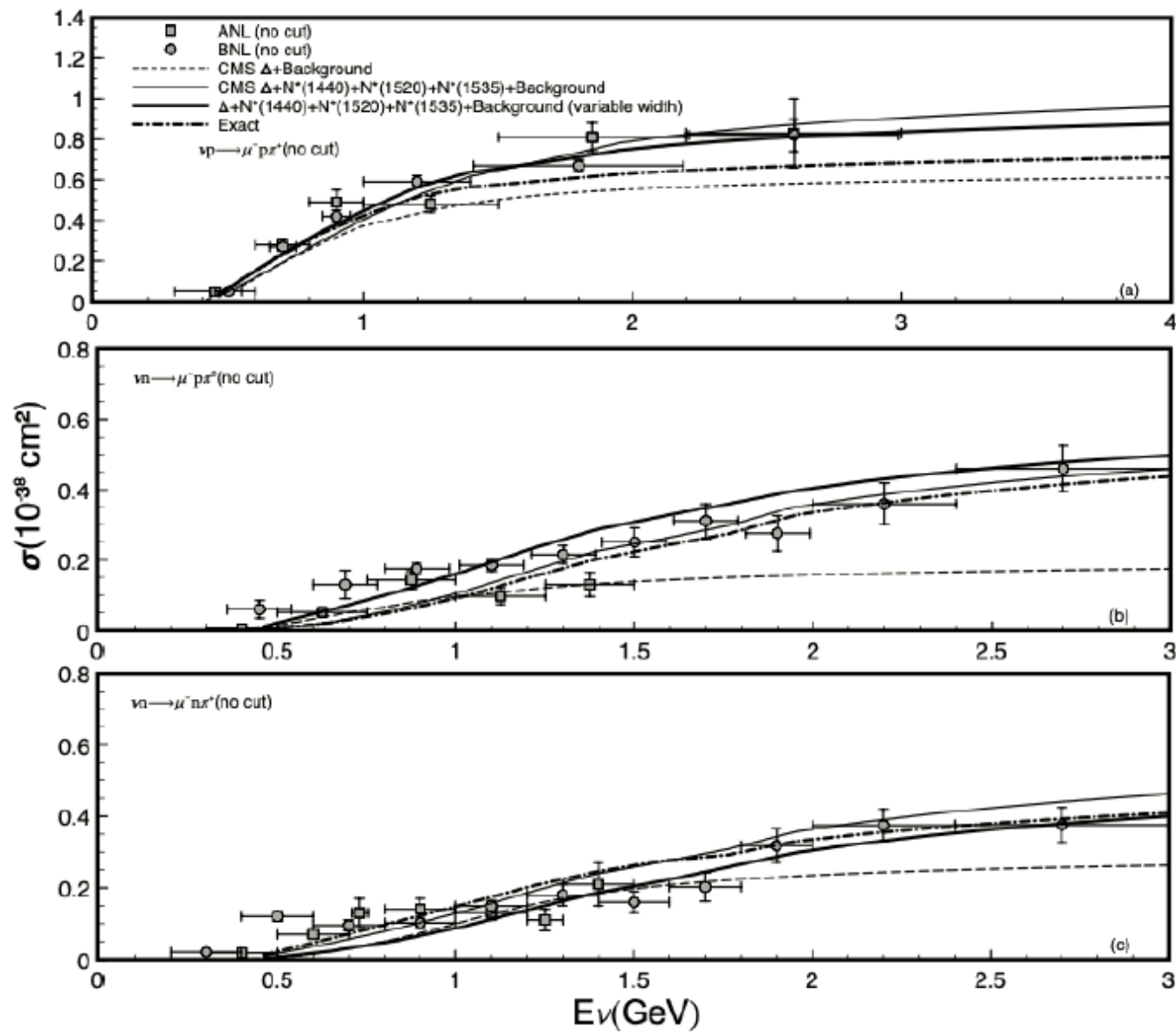
● We use CMS approach previously implemented to get, strong and weak parameters for the Δ , with $M_{\pi N} < 1.4$ GeV.

● The effect of adding 2nd resonance region depends on the channel, for $E_\nu = 3.0, 1.5, 1.5$ GeV we get a 4%, 17% and 10% of contribution respectively.

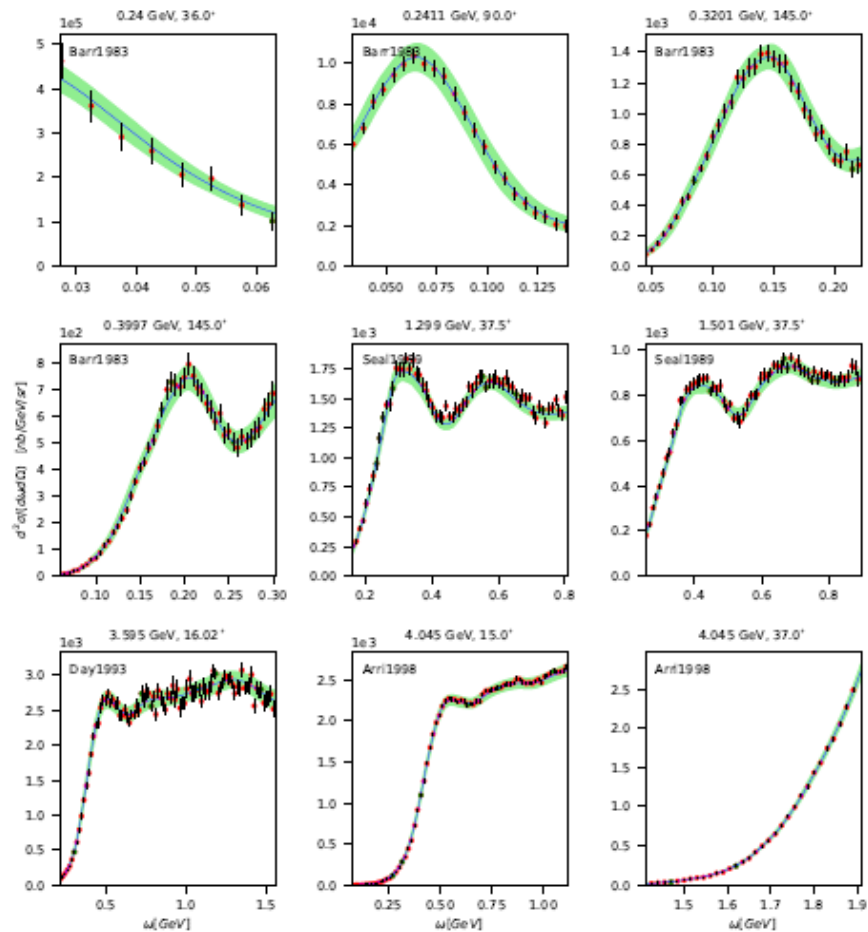
Comparison with reanalyzed ANL and BNL

- The increase in the cross section due additional resonances is persistent and the best working approach is CMS as before . We will use χ^2/dof in spite we are not fitting anything.
- We have also shown results with variable widths, which are not consistent without vertex corrections, since there are works with this approach. Also we show results with the exact propagator, which has a more complex structure and one should consistently include the rescattering in the total amplitude.

Alejandro Mariano

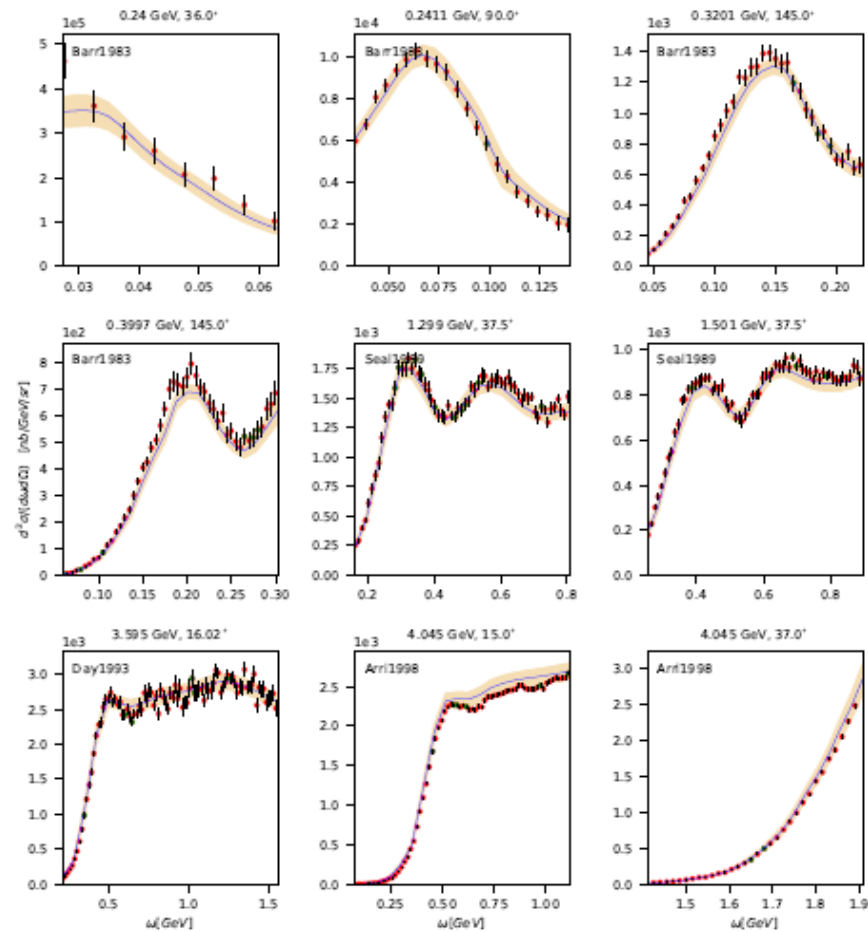


Model A (bootstrap)



Krzysztof Graczyk

Model B (MC dropout)



<https://github.com/bekowal/CarbonElectronNeuralNetwork>

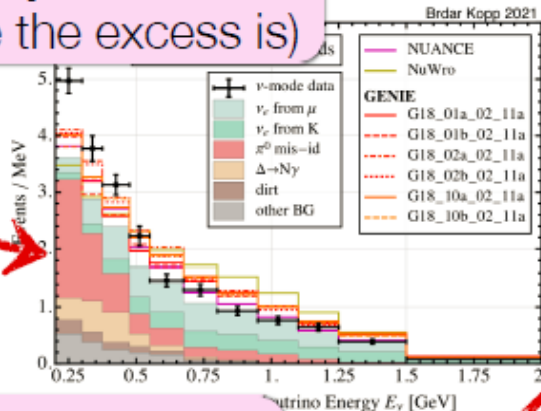
Consistency of the data normalization

Abbrev.	Norm. uncert.	model A λ_k	model B $\lambda_k(p = 0.01)$
Arri1995	4.0%	1.01	1.02
Arri1998	4.0%	1.00	0.96
Bagd1988	10.0%	1.03	1.06
Bara1988	3.7%	1.01	0.98
Barr1983	2.0%	0.99	1.02
Dai2018	2.2%	1.00	0.97
Day1993	3.4%	0.99	0.98
Fomi2010	4.0%	1.01	0.96
O'Con1987	5.0%	1.02	1.01
Seal1989	2.5%	1.02	1.04
Whit1974	3.0%	0.93	0.93

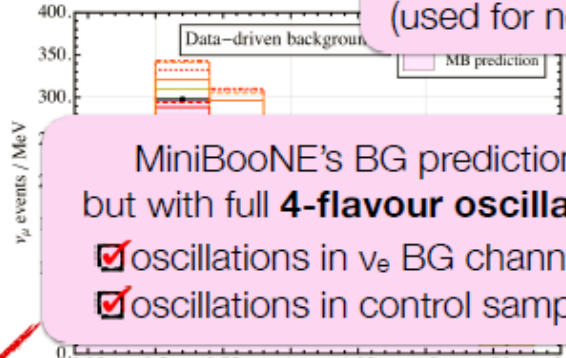
► A tension between Whit1974 and the rest of datasets?

3+1 Models in MiniBooNE – Comparison of Generators

ν_e spectrum
(where the excess is)



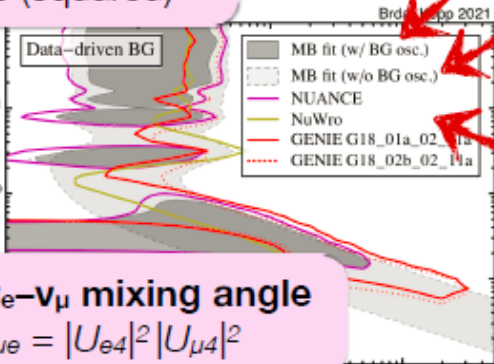
ν_μ spectrum
(used for normalization)



MiniBooNE's BG predictions, but with full **4-flavour oscillations**

- oscillations in ν_e BG channels
- oscillations in control sample

ν_s mass (squared)



MiniBooNE's fit (2-flavour oscillations)

using our own BG predictions:

- NUANCE:** 4σ
- NuWro:** 3.1σ
- G18_01a_02_11a:** 4.4σ
- G18_02b_02_11a:** 3.7σ

effective ν_e - ν_μ mixing angle
 $\sin^2 2\theta_{\mu e} = |U_{e4}|^2 |U_{\mu 4}|^2$

ν_s - ν_μ mixing



Joachim Kopp

High-energy studies

- Alfonso Andres Garcia Soto: High-energy neutrino-matter interaction cross-sections in neutrino event generators
- Farhana Zaidi: Nuclear medium effects in ν_τ -A scattering at DUNE energies
- Jorge Morfin: The Physics of SIS – target mass correction and higher twist
- Yu Seon Jeong: Neutrino Cross Sections for collider neutrinos

Summary

- The success of the neutrino-oscillation program (DUNE and Hyper-Kamiokande) requires reliable cross sections.
 - **The requirement for precision will steadily increase.**
- Theory and generator developments needed for many years to come.
- Plenty of new experimental data need to be understood.
 - **We need to grow as a community to meet the demands of the oscillation program and ensure its full potential is realized.**

Our needs

- Inclusive and exclusive cross sections for electron scattering on nuclear targets, especially argon and oxygen. Both data and theory predictions.
 - Neutron multiplicities and spectra for argon. Proton knockout from titanium may be a simple way forward.
- The transition from resonance production to deep-inelastic scattering requires our attention. The problem is challenging, particularly relevant for DUNE.
- More efficient theory implementation in Monte Carlo generators.
- New measurements from the short-baseline program will shed new light on intranuclear cascades. Expect the unexpected!
- Uncertainties and regions of validity for the theory predictions.



Thank you!