



# Electronic and Atomistic Modeling of Qubit Materials & Interfaces

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# Acknowledgments



## ➤ Materials Theory and Design Group

(1) UG – Alex Tai

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(4) PD – Danilo Puggioni, Mike Waters, Alex Georgescu, John Cavin

➤ Numerous Collaborators



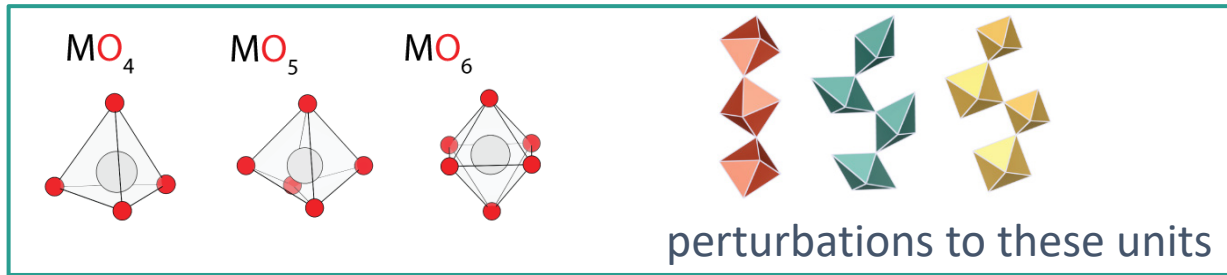
Alfred P. Sloan  
FOUNDATION

Office of  
Science

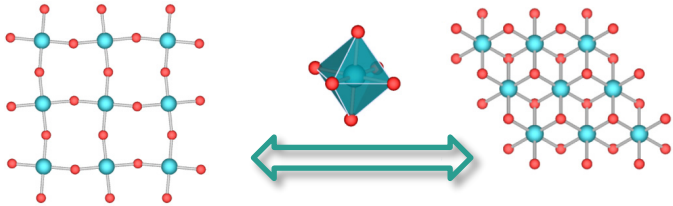


# Picoscale structure (100 pm = 1 Å)

Local structure ~ geometry of these units

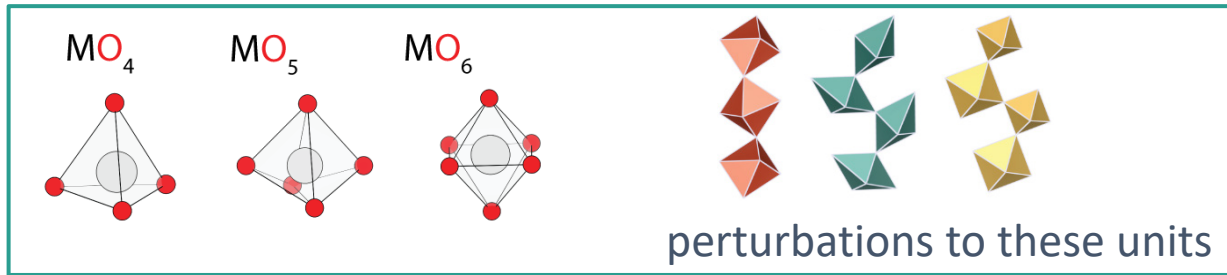


Crystal structure ~ how one or more of these units tile space to form an extended solid

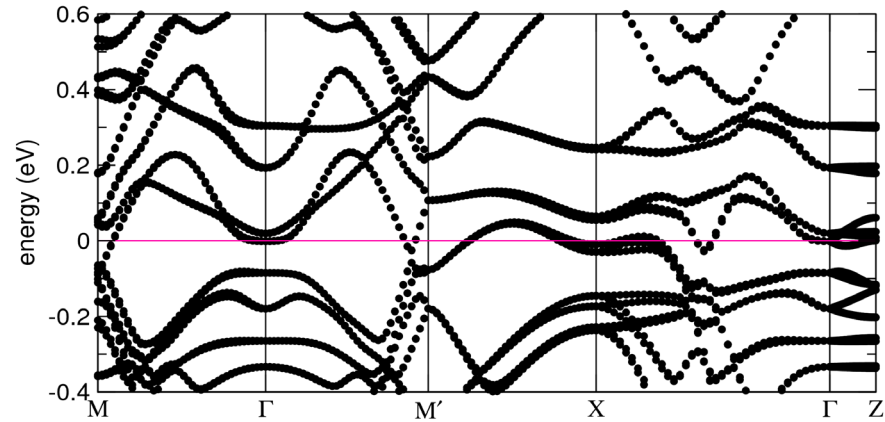
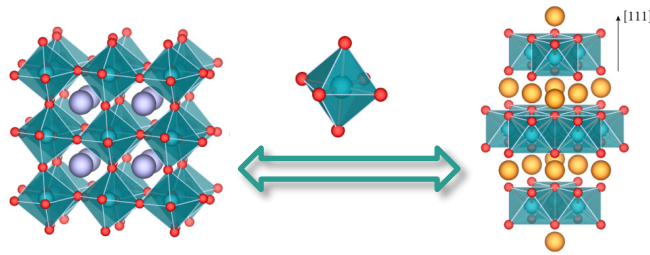


# Picoscale structure (100 pm = 1 Å)

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Crystal structure ~ how one or more of these units tile space to form an extended solid



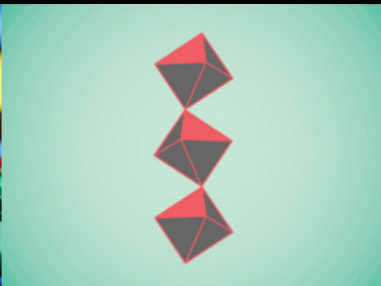
$$E[n(\mathbf{r})] = F[n(\mathbf{r})] + \int V_{ext}(\mathbf{r})n(\mathbf{r})d\mathbf{r}$$

## heterostructures and superlattices



*Phys. Rev. B* **100**, 125119 (2019)  
*JPCM* **31**, 365602 (2019)  
*Phys. Rev. Materials* **2**, 054409 (2018)  
*Phys. Rev. B* **96**, 195108 (2017)

## polyhedral-property control



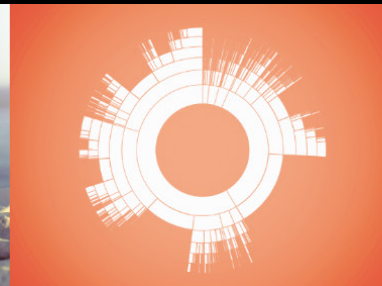
*Phys. Rev. B* **100**, 064101 (2019)  
*JACS* **140**, 4477 (2018)  
*Inorg. Chem.* **56**, 11854 (2017)  
*JACS* **139**, 3015 (2017)  
*JACS* **139**, 2833 (2017)

## harmonizing contraindicated properties



*Nat. Commun.* **10**, 3217 (2019)  
*Phys. Rev. Materials* **3**, 095002 (2019)  
*Matter* **1**, 33 (2019)  
*Phys. Rev. B* **99**, 014105 (2019)  
*Nano Lett.* **18**, 3088 (2018)

## materials informatics



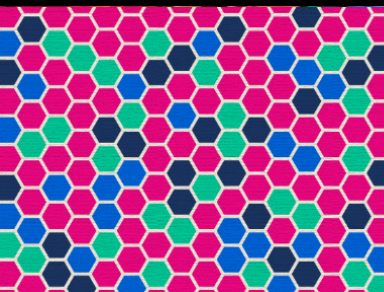
*MRS Commun.* **9**, 793 (2019)  
*J. Chem. Inf. Model* **58**, 2491 (2018)  
*Nat. Commun.* **8**, 14282 (2017)

## corrosion and electrochemistry



*Ann. Rev. Mater. Res.* **49**, 53 (2019)  
*Npj Mater. Degrad.* **3**, 26 (2019)  
*JPC* **121**, 9782 (2017)  
*Phys. Rev. Mater.* **1**, 063001 (2017)  
*JPCM* **29**, 475501 (2017)

## quantum materials and molecules



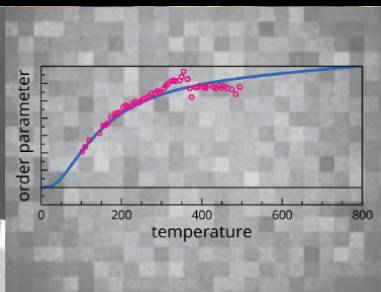
*Phys. Rev. B* **99**, 224411 (2019)  
*Phys. Rev. B* **99**, 041106(R) (2019)  
*Nat. Commun.* **9**, 492 (2018)  
*Phys. Rev. B* **98**, 024102 (2018)  
*Phys. Rev. Mater.* **2**, 015002 (2018)  
*Rev. Mod. Phys.* **89**, 025006 (2017)

## transition metal compounds



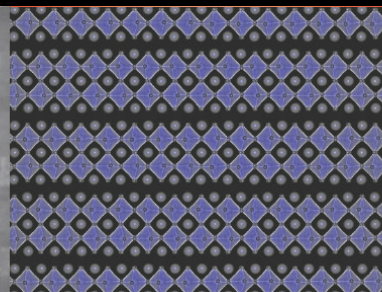
*Phys. Rev. B* **100**, 115149 (2019)  
*Adv. Mater.* **31**, 1805295 (2019)  
*Chem. Mater.* **30**, 3528 (2018)  
*Phys. Rev. B* **97**, 115116 (2018)  
*Nat. Commun.* **9**, 772 (2018)  
*Chem Mater* **28**, 2852 (2016)

## ultrafast/metastable transitions



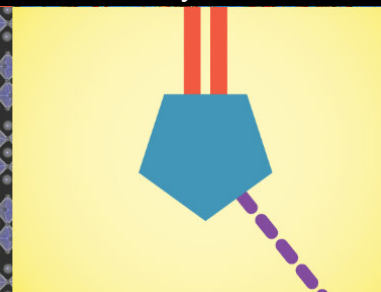
*Chem. Mater.* **31**, 3083 (2019)  
*Phys. Rev. B* **98**, 024102 (2018)  
*Nat. Commun.* **9**, 492 (2018)  
*Phys. Rev. B* **95**, 024109 (2017)  
*JACS* **138**, 11882 (2016)  
*Phys. Rev. B* **93**, 085118 (2016)

## ferroics and multiferroics



*Phys. Rev. Mater.* **3**, 065001 (2019)  
*Phys. Rev. Mater.* **2**, 065406 (2018)  
*Adv. Funct. Mater.* **18**, 1801856 (2018)  
*Adv. Funct. Mater.* **27**, 1604312 (2017)  
*Phys. Rev. Lett.* **117**, 115901 (2016)  
*Nat Mater* **15**, 951 (2016)

## nonlinear optical crystals



*Nat. Commun.* **9**, 2972 (2018)  
*Angew. Chem. Int. Ed.* **57**, 6100 (2018)  
*Adv. Opt. Mater.* **5**, 1700840 (2017)  
*Angew. Chem.* **129**, 3015 (2017)  
*Chem. Mater.* **28**, 5238 (2016)  
*J. Mater. Chem. C* **4**, 5858 (2016)

# Lecture Outcomes

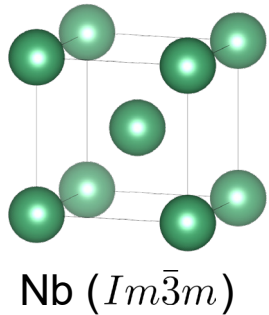
- Begin to bridge the gap between theory and experiment, fostering a deeper understanding of qubit materials and interfaces and their practical applications

Use systems charts to guide material-property correlation studies

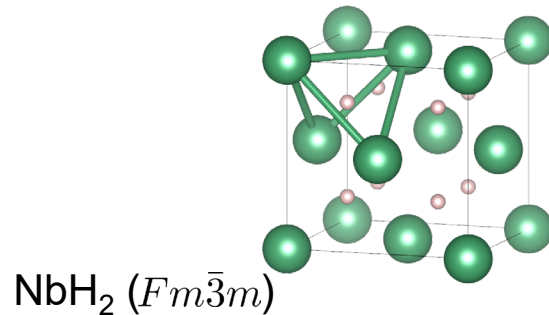
Create atomistic models of materials and interfaces used for superconducting qubits

Understand principles of electronic and atomistic modeling

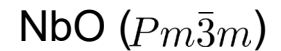
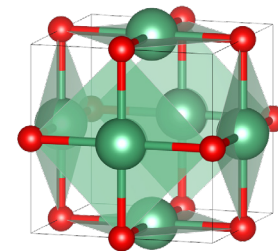
Analyze and interpret modeling results from electronic and atomistic calculations



## • Niobium and its hydrides



## • Niobium and its oxides



# Agenda

- SC Qubit Materials and Systems Charts – Introduction
- Modeling Materials
  - Model Formulation
  - Electronic Structure Models
  - Atomistic Models
  - From Phenomenological to Microscopic
- Use Cases
  - Nb and its hydrides
  - Nb and its oxides
- Co-Design and Integration with Experimentation – Summary

Use systems charts to guide material-property correlation studies



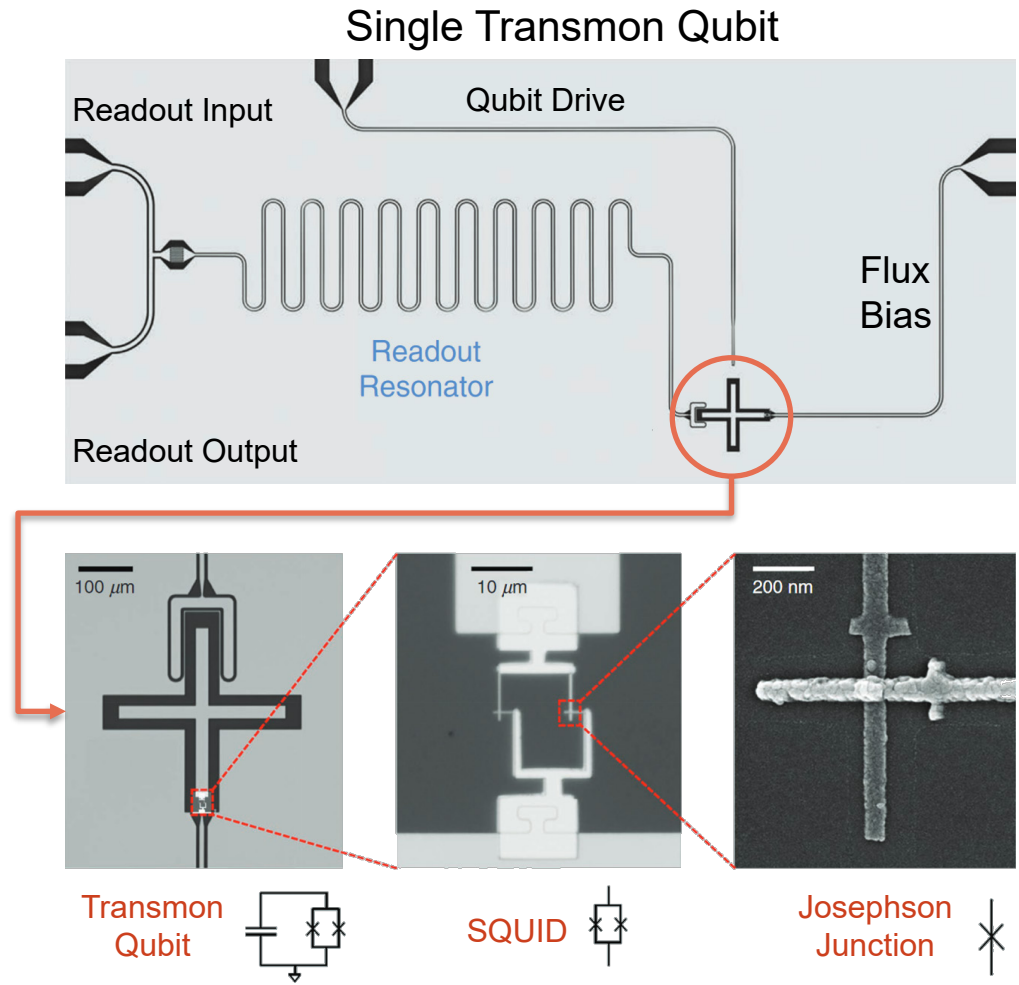
# SC Qubit Architecture

## Primary Materials

- Superconducting Films (Al, Nb, Ta)
- Insulating Substrate (Si, Sapphire)
- Josephson Junction (S-I-S)

## Secondary Materials

- Silicon and metal oxides
- Intermetallic phases (Nb-Si)
- Adhered contaminants
- Interstitial contaminants
  - Hydrogen, Oxygen, Carbon, ...



# Materials Contributions to Losses ( $T_1$ ) from CPW Resonators

## Two-level systems

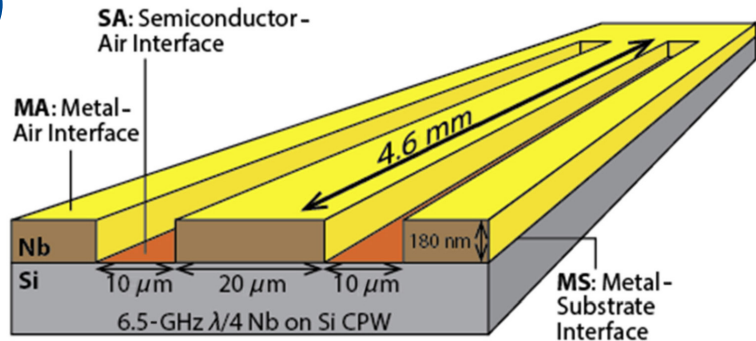
- Electric dipoles (charge noise)
- Magnetic moments (flux noise)
- Quasiparticles (broken pairs)

## Quasiparticles

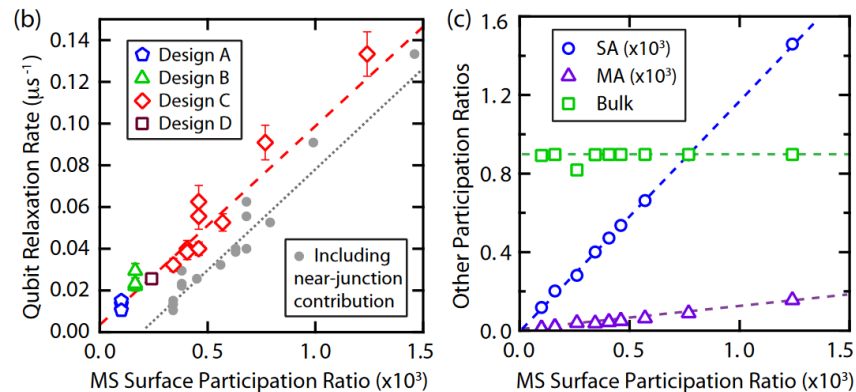
- Coupling directly to qubit

## Thermal losses

- Dielectric loss at CPW interfaces
- Quasiparticles



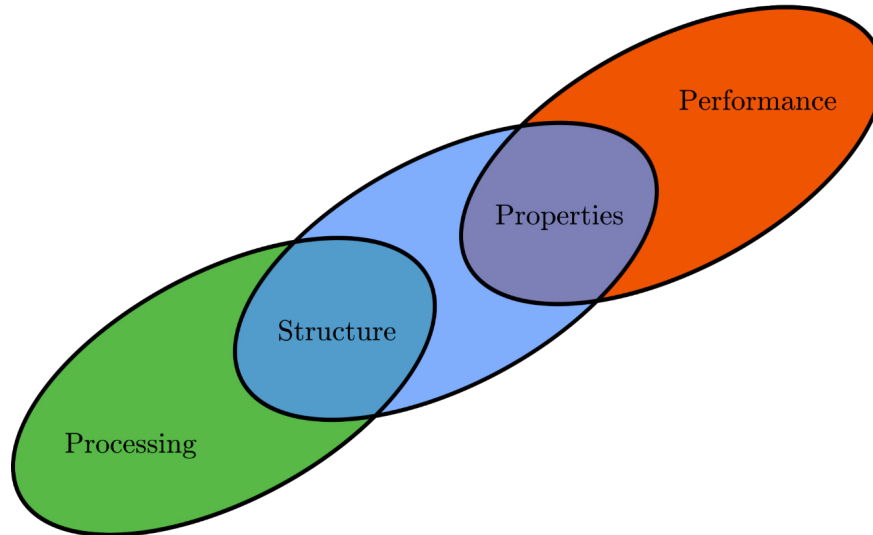
M. V. P. Altoé et al., Localization and Mitigation of Loss in Niobium Superconducting Circuits, PRX Quantum 3, (2022).



C. Wang, C. Axline, Y. Y. Gao, T. Brecht, Y. Chu, L. Frunzio, M. H. Devoret, and R. J. Schoelkopf, Surface Participation and Dielectric Loss in Superconducting Qubits, Appl. Phys. Lett. 107, 162601 (2015).

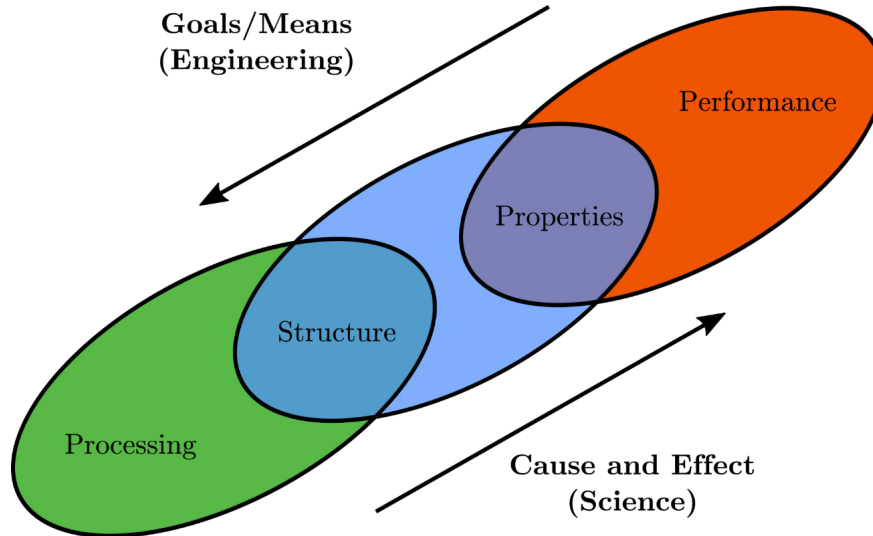
# Simplify complexity using the materials science paradigm

- Processing
- Structure
- Properties
- Performance



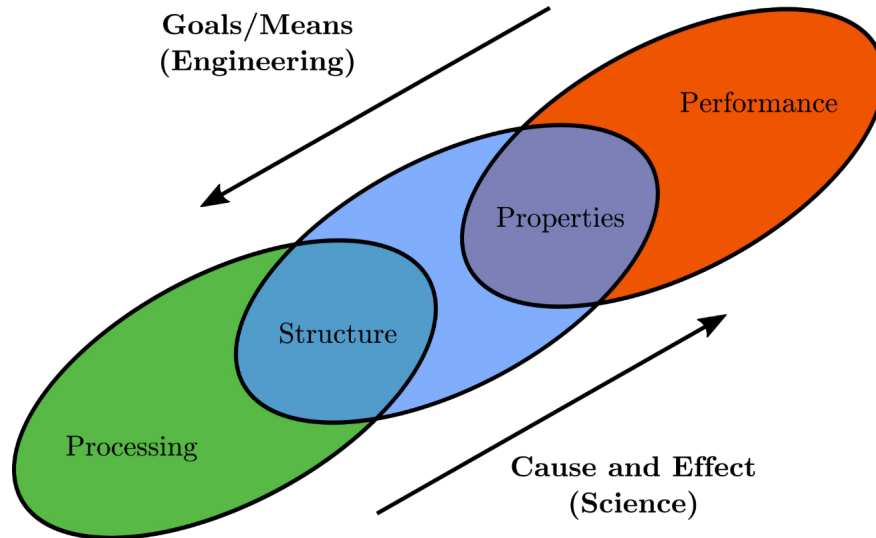
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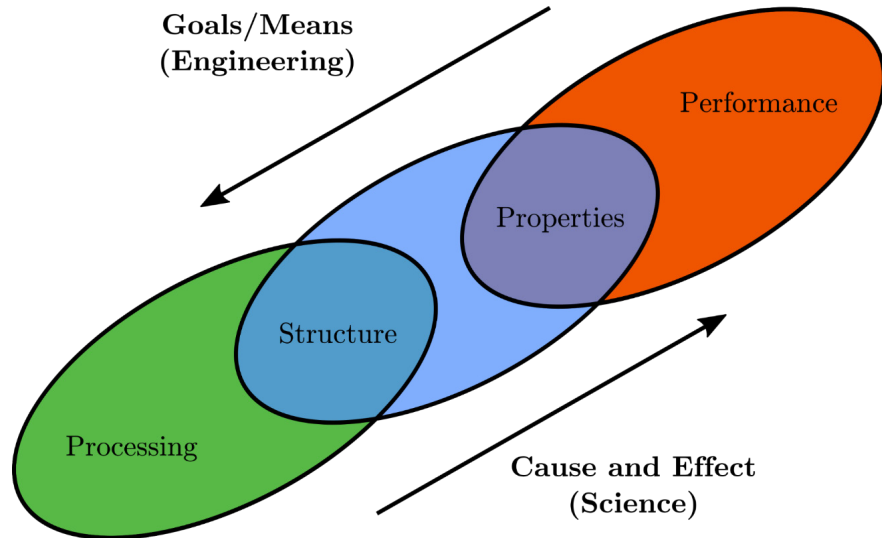
# Simplify complexity using the materials science paradigm

- **Processing:** The way a material is made (thermal, chemical, physical)
- Structure
- Properties
- Performance



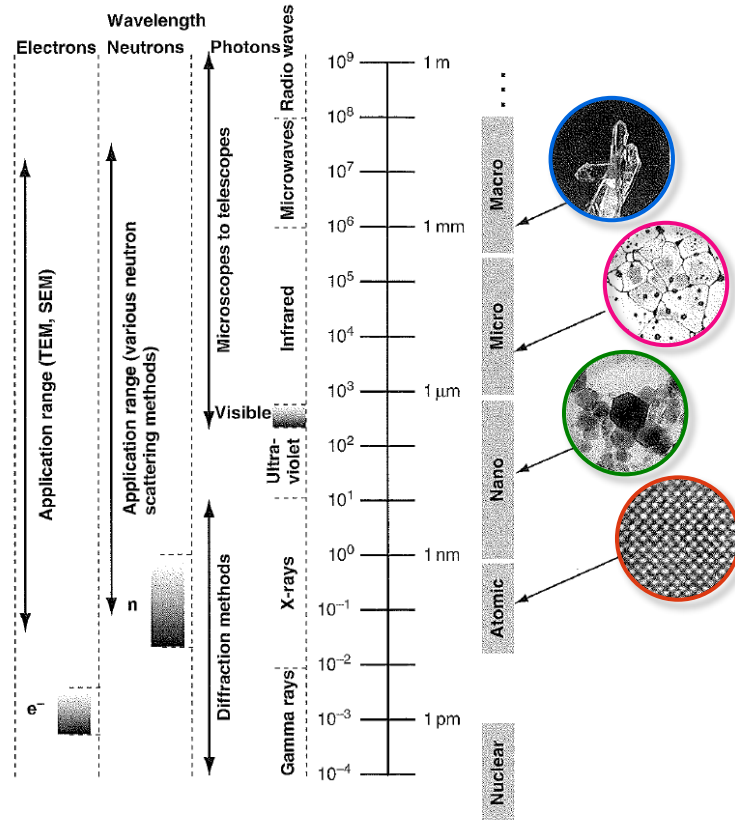
# Simplify complexity using the materials science paradigm

- Processing: The way a material is made (thermal, chemical, physical)
- **Structure:** The way the material is organized
- Properties
- Performance



# Internal structures of materials

- The way in which atoms, molecules, phases, etc. assemble across length scales



Quartz crystal

Grains in a  $\text{SrTiO}_3$  ceramic

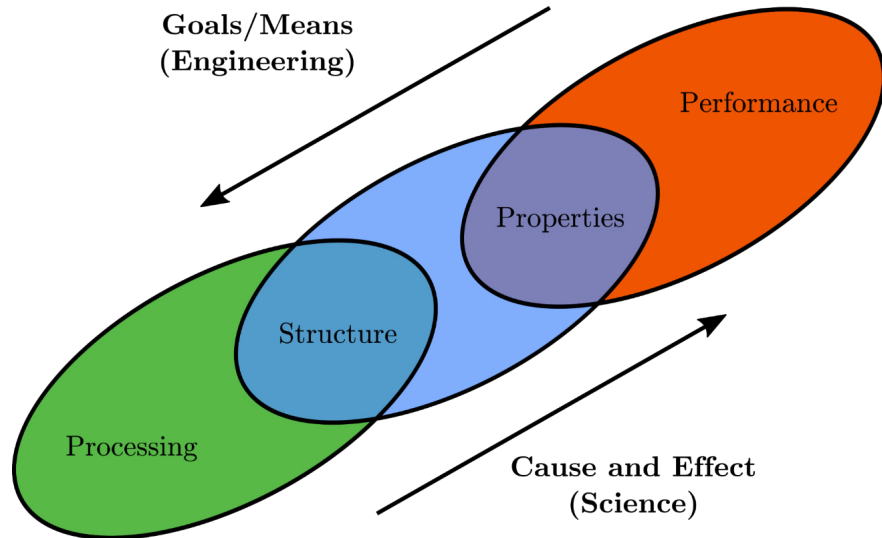
Nanocrystalline  $\text{Mn}_{0.5}\text{Zn}_{0.5}\text{Fe}_2\text{O}_4$  particles

Atomic resolution image of  $\text{BaTiO}_3$

Structure of a material depends on processing history, not just composition, and often exhibits hierarchical order

# Simplify complexity using the materials science paradigm

- Processing: The way a material is made (thermal, chemical, physical)
- Structure: The way the material is organized
- **Properties:** The way the material behaves
- Performance



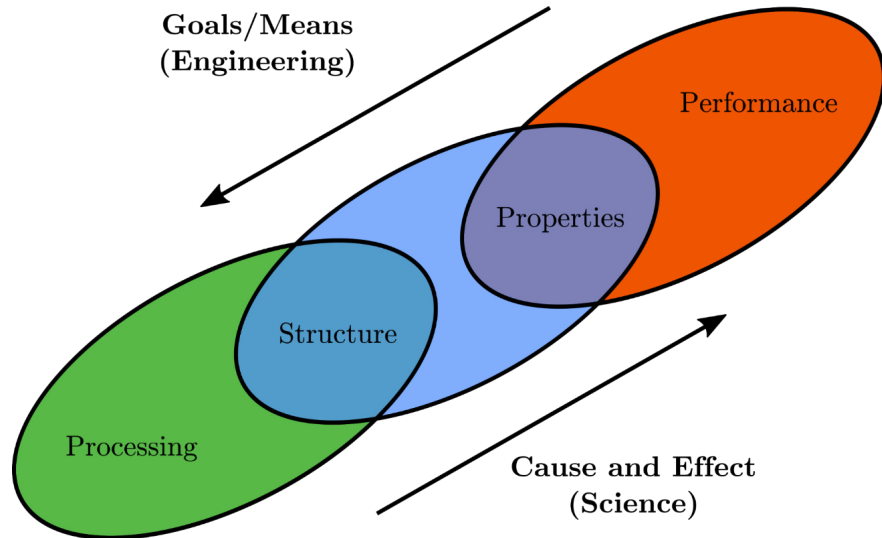
A quantifiable attribute of a material and informs us about how we expect a material to respond (displace) to a stimulus (force)

- **Mechanical** – stiffness, piezoelectricity
- **Electrical** – electrical conductivity
- **Optical** – transparency, emission
- **Magnetic** – ferromagnetic, diamagnetic
- **Chemical** – corrosion resistance
- **Thermal** – heat capacity, conductivity



# Simplify complexity using the materials science paradigm

- Processing: The way a material is made (thermal, chemical, physical)
- Structure: The way the material is organized
- Properties: The way the material behaves
- **Performance**: How well a material does what it is intended to do



## MSE Tools of the Trade

- Theory
- Characterization
- Computation/Modeling
- Data Science/AI

# Apply systems approach to simplify complexity

- *System engineering* developed by G.M. Jenkins (1970s)
- **Nature of systems**
  - Complex grouping of components
  - Divisible into subsystems (flow-block diagrams)
  - Subsystems interactive: output of 1 is input of 2
  - System is a part of hierarchy of systems (strong influence of higher levels)
  - System has **objective(s)** influenced by the wider system; overall objective is compromise between conflicting objectives
  - System must be **designed** to function at maximum efficiency
- Apply systems approach to materials, integrating processing, structure, property, and performance (G.B. Olson)
  - [Science 277,1237-1242 \(1997\)](#)

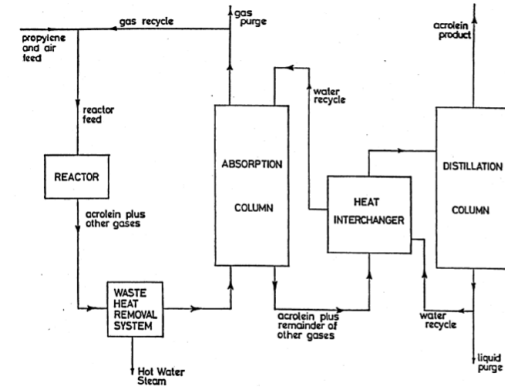


Figure 4.1 Simplified flow-block diagram of an acrolein plant as an example of a simple system with interacting sub-systems.



Greg Olson



# Using materials science paradigm – Systems Design Charts

- Consider the material, interface(s), or device(s) as a system
- Identify connections between processing-structure-properties-performance
  - Understanding the strength of connections requires domain expertise



- Guidelines
  - Processing is sequential (use arrows to show direction)
  - Structures can interact with one-way arrows
  - “Links” represent the reciprocal interactions
  - Properties are quantitative / measurable
  - Performance is what an end-user may request for a component or application

# Using materials science paradigm – Systems Design Charts

- Consider the material, interface(s), or device(s) as a system
- Identify connections between processing-structure-properties-performance
  - Understanding the strength of connections requires domain expertise

**PROCESSING**

**STRUCTURE**

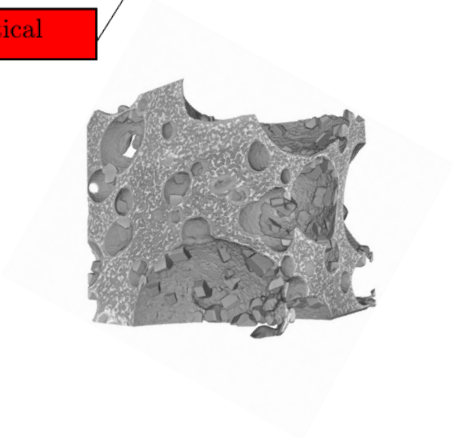
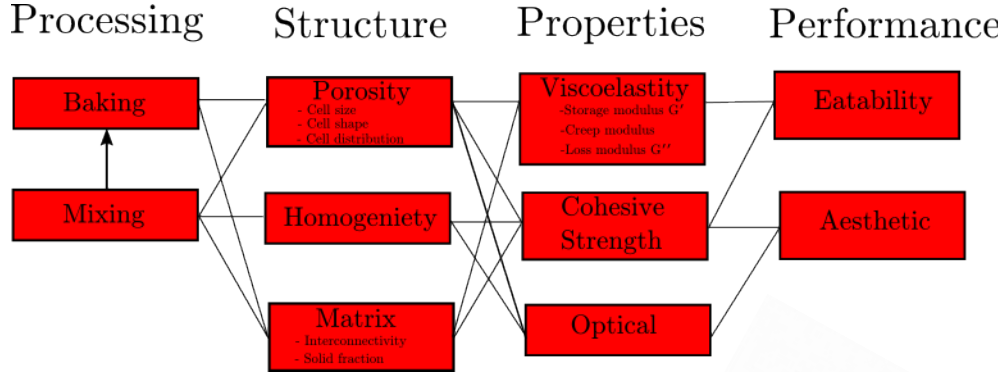
**PROPERTIES**

**PERFORMANCE**

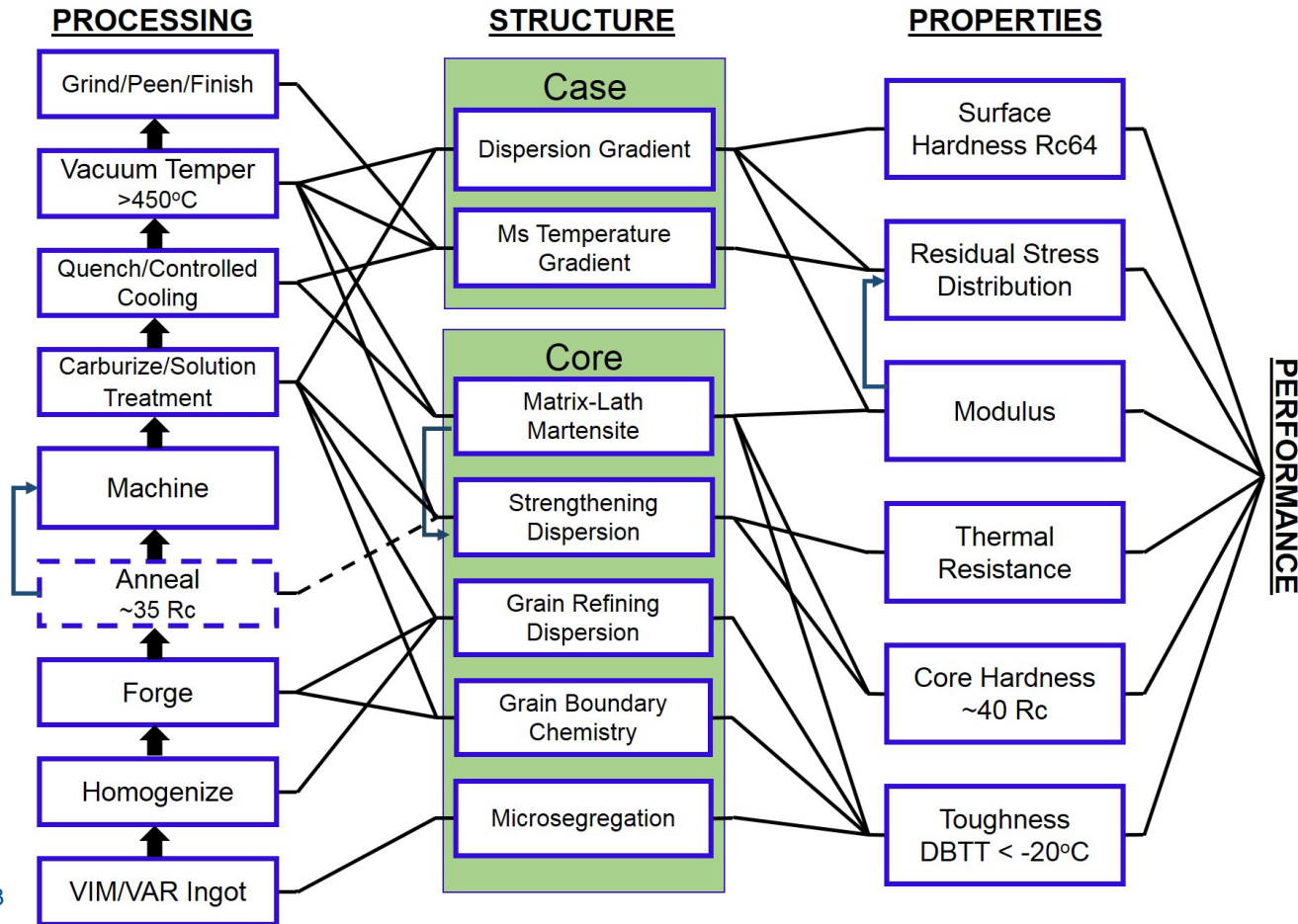
- Systems design chart for chocolate cake
  - Processing?
  - Structures?
  - Properties?
  - Performance?



# Simplified Systems Design Chart for Cake



# System Design Charts Get Complex! – C64 Gear Steel



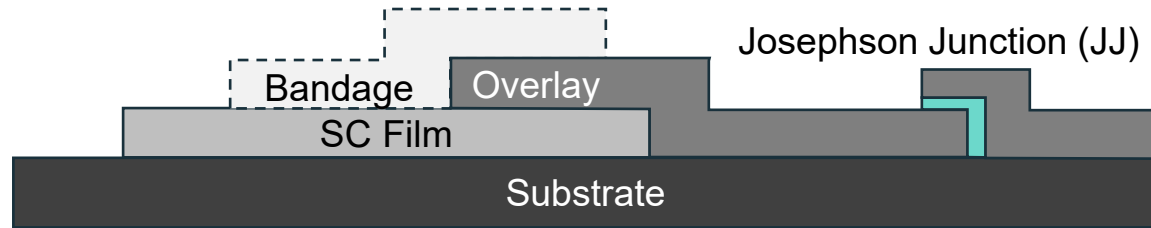
Pub. No.: US 2009/0199930 A1  
 Pub. Date: Aug. 13, 2009

# Superconducting Qubits as a Complex System

## Materials

- Substrates
- SC Films
- Dielectrics

## Interfaces



## Metrics

- Resonator  $Q$
- Qubit Coherence Times ( $T_1/T_2$ )
- Coherence Time Fluctuations

PROCESSING

STRUCTURE

PROPERTIES

PERFORMANCE

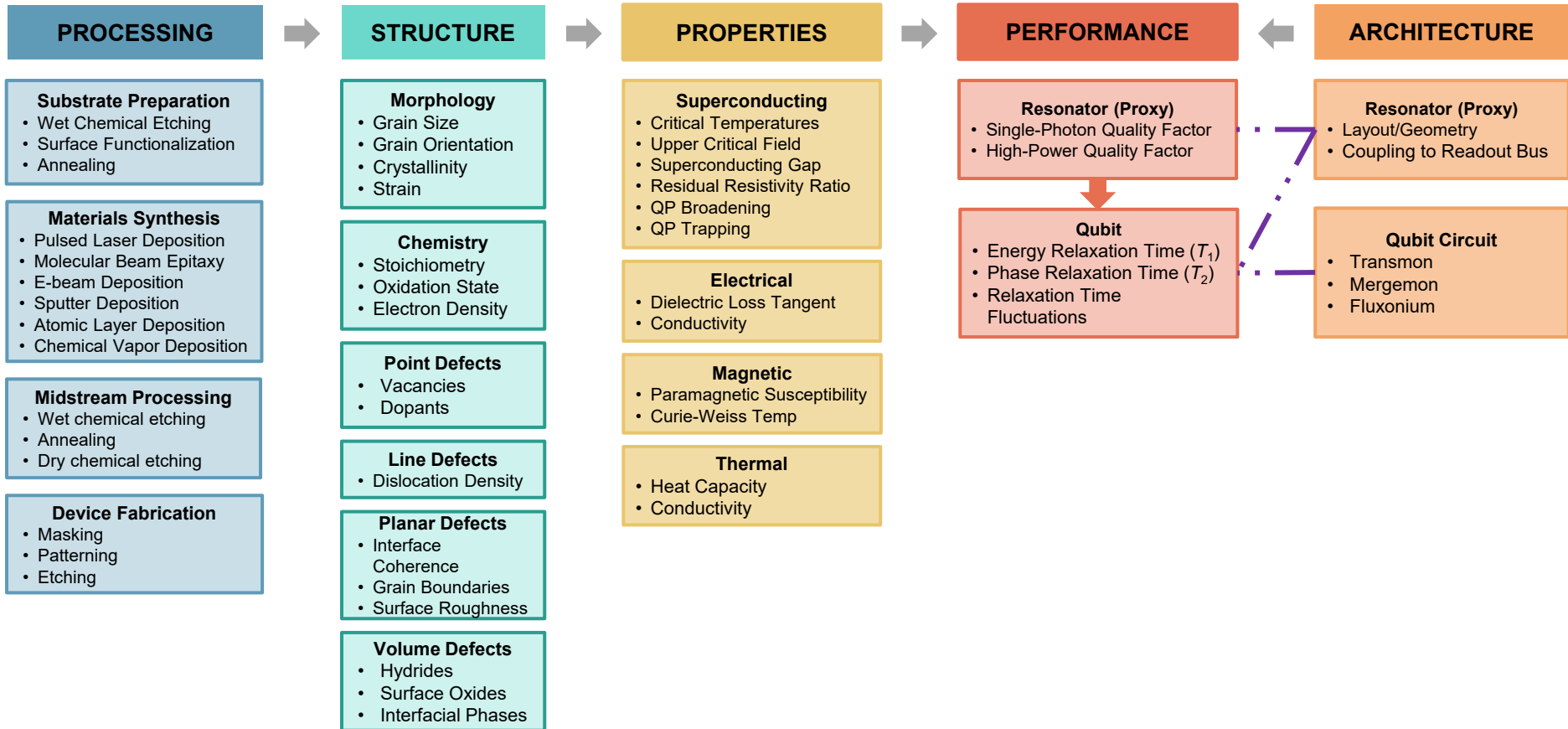
ARCHITECTURE

## More recommendations for system design charts

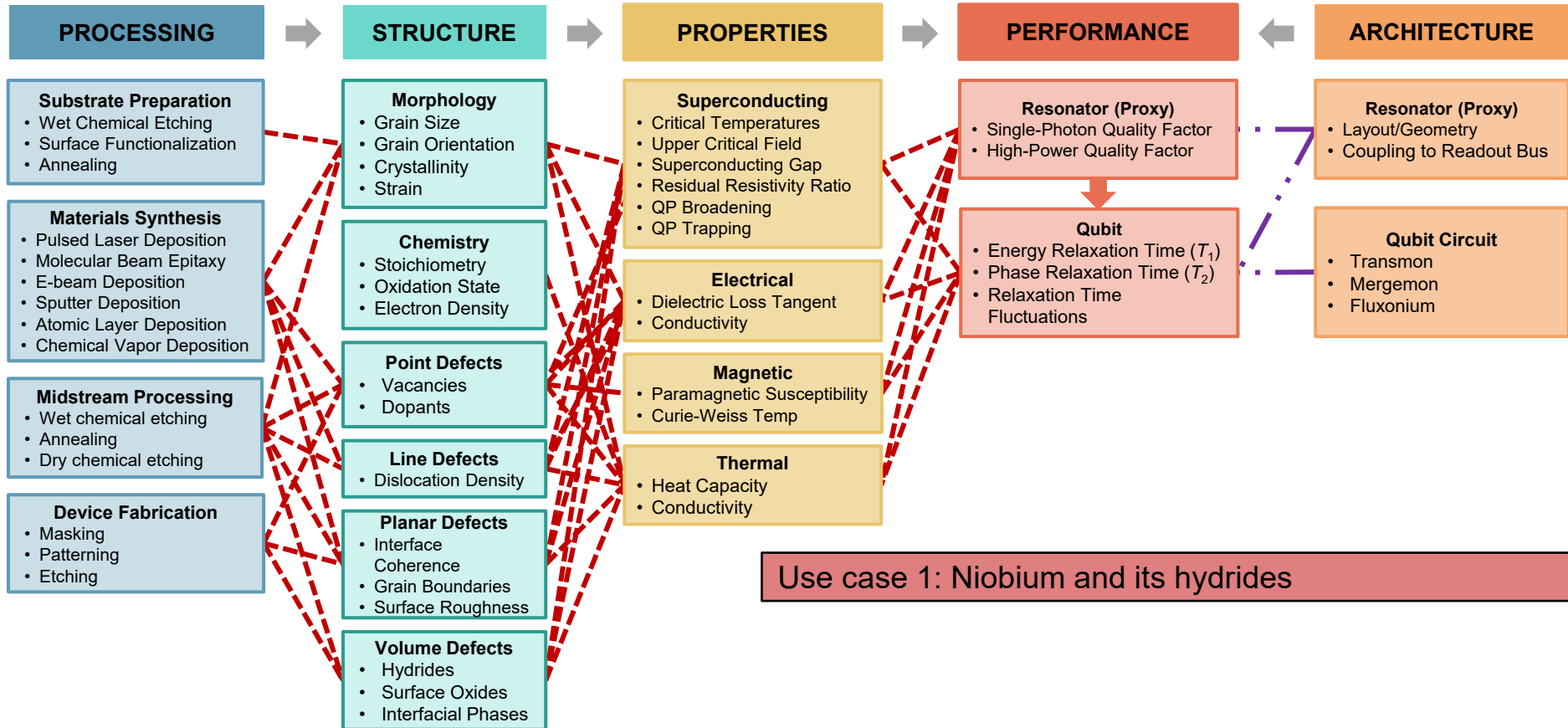
- Be as specific as possible
- Charts can and should evolve as we understand more about the system
- Subsystems are usually your focus, but also consider the whole system first
- Consider what models, tools, experiments the links represent



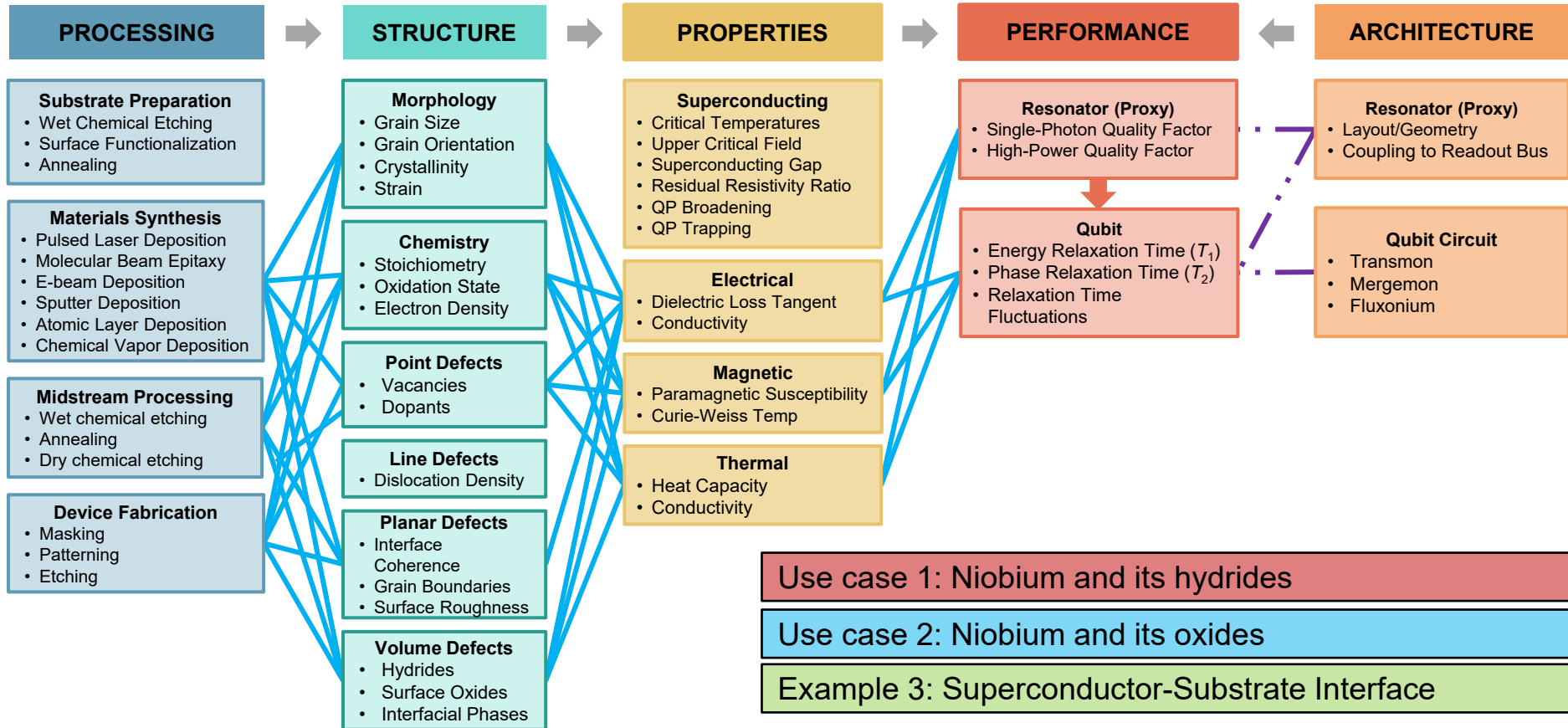
# Systems Design Chart



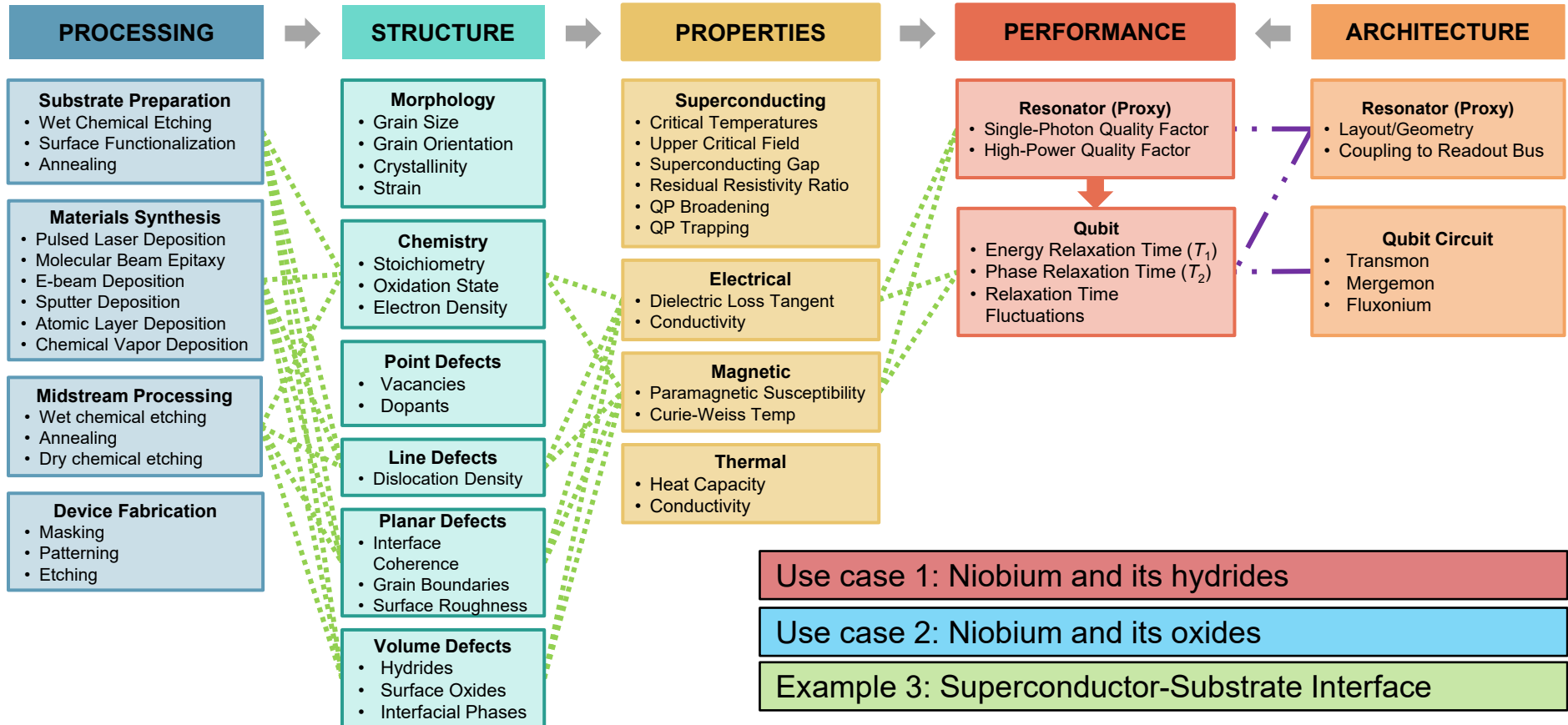
# Systems Design Chart



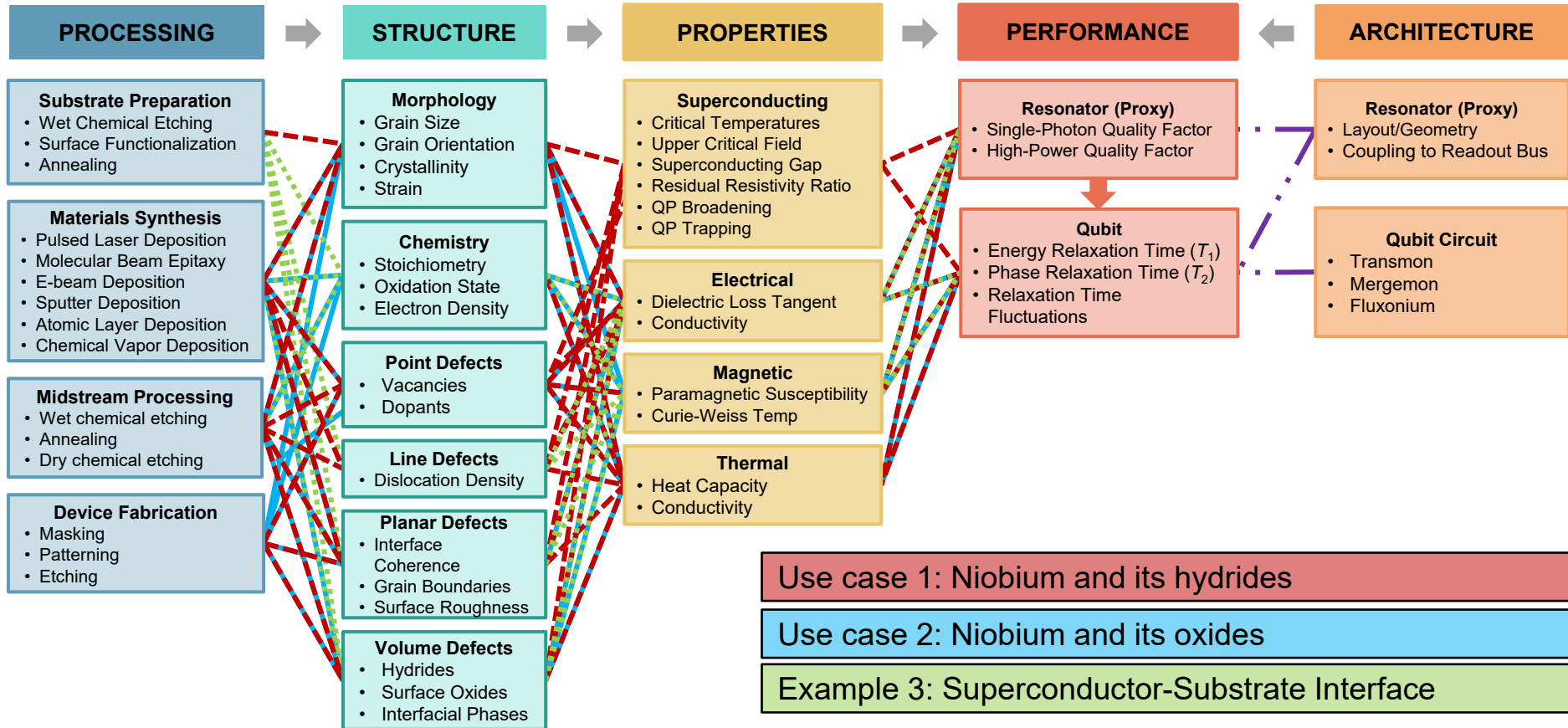
# Systems Design Chart



# Systems Design Chart



# Systems Design Chart



# Agenda

- SC Qubit Materials and Systems Charts – Introduction

- Modeling Materials
  - Model Formulation
  - Electronic Structure Models
  - Atomistic Models
  - From Phenomenological to Microscopic

- Use Cases
  - Nb and its hydrides
  - Nb and its oxides

- Co-Design and Integration with Experimentation – Summary

Create atomistic models of materials and interfaces used for superconducting qubits

Understand principles of electronic and atomistic modeling

# Theory, modeling, and simulation

- **Theory**

- Attempt to find a **fundamental description** of a physical process
- Coherent group of general propositions used as principles of explanation for a class of phenomena

- **Model**

- An idealization of real behavior
- Approximate description based on empirical and/or physical reasoning
- Distinction from theory: **Model attempts to create idealization of real behavior to a given accuracy, not a fundamental description that is strictly true**

*models describes a part of a real system by using a similar but simpler structure; they providing a starting point for theory*

# Model representation

- Models always have an external representation of some kind
  - Representation is approximate
  - Each representation is useful for some purposes

## Electronic Structure Model

S. Griffin (2023): [arXiv: 2307.16892](https://arxiv.org/abs/2307.16892)

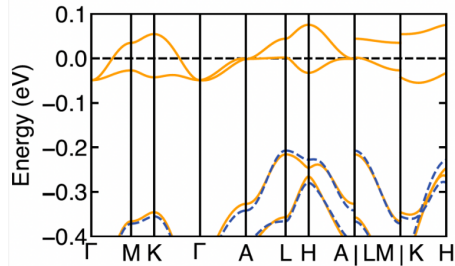
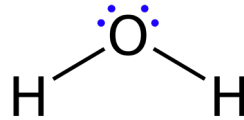


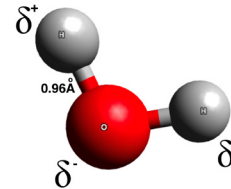
FIG. 4. Calculated spin-polarized electronic band structure in smaller energy range around the Fermi level showing the isolated two-band Cu-*d* manifold. The Fermi level is set to 0 eV and is marked by the dashed line.

## Atomic Structure Model

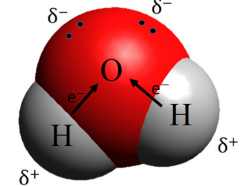
Lewis Structure



Ball-Stick



Space Filling



## Equation Models

## Classification Models



# Theory, modeling, and simulation

- **Simulation**

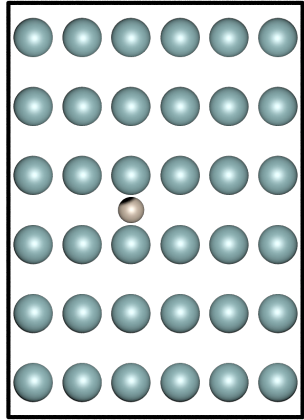
- Study of the dynamical response of a system
- Subjecting models to inputs and constraints that simulate real events

- *Does not mimic reality, but mimics a model of reality*

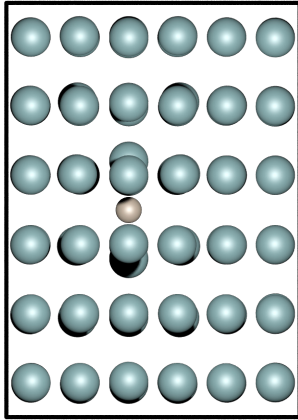
- Accuracy depends on many factors

- Numerical methods and their accuracy
- Inadequacies of the model (assumptions, principles) upon which simulation is based

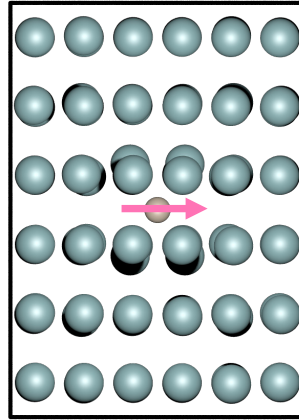
# Interstitial Oxygen Diffusion in Nb



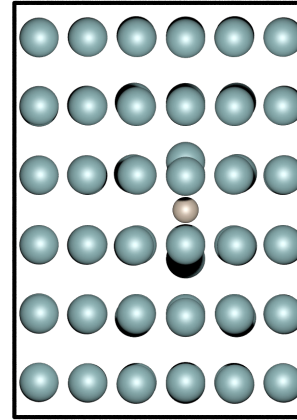
Initial State  
Pre-Relaxation



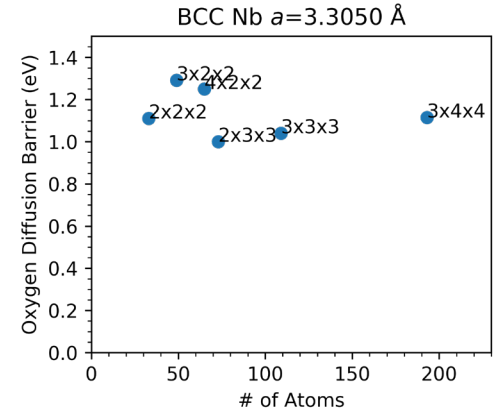
Initial State  
Post-Relaxation



Transition State  
Post-Relaxation



Final State  
Post-Relaxation



Calculated  
diffusion barrier:  
1.1 eV

- Successful materials modeling relies on
  - Adequate familiarity with the underlying mathematics and numerical analysis principles
  - Solid understanding of the materials physics or chemistry of the problem to be solved

# Theory, modeling, and simulation

- **Simulation**
  - Study of the dynamical response of a system
  - Subjecting models to inputs and constraints that simulate real events
  - *Does not mimic reality, but mimics a model of reality*
- Accuracy depends on many factors
  - Numerical methods and their accuracy
  - Inadequacies of the model (assumptions, principles) upon which simulation is based
- Cannot separate simulations from the underlying models!

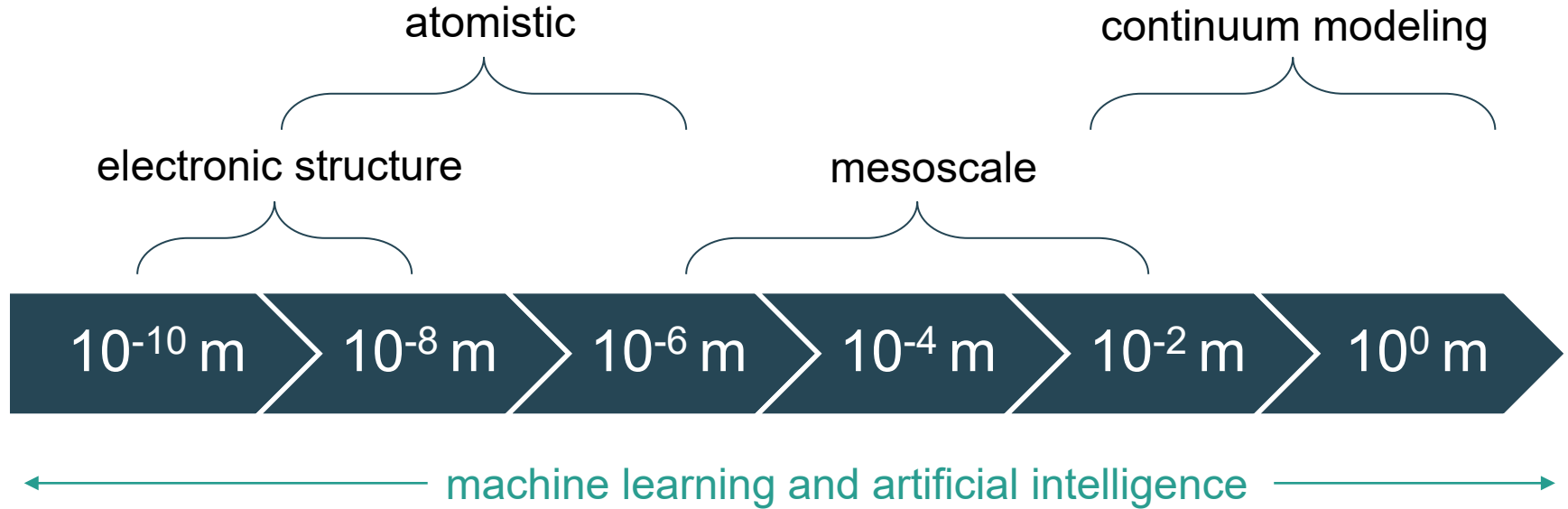
# Building physical and testable models

- Simulations are not reality, they represent a model of reality
- Cost/benefit analysis
  - Just because you can calculate something, it does not mean it's always the best approach
  - It is sometimes simpler and better to do a measurement
- Garbage In = Garbage out (GIGO)

***“COMPUTERS ARE  
USELESS. THEY CAN ONLY  
GIVE YOU ANSWERS.”***

– Pablo Picasso

# Length scales and methods



# Types of methods

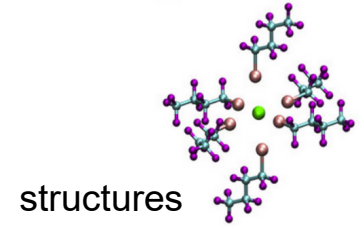
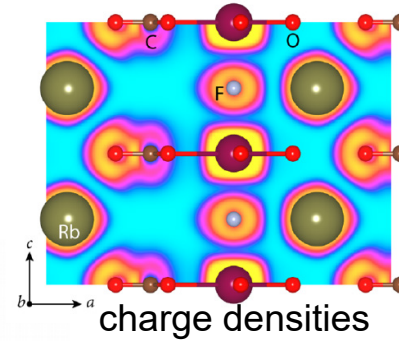
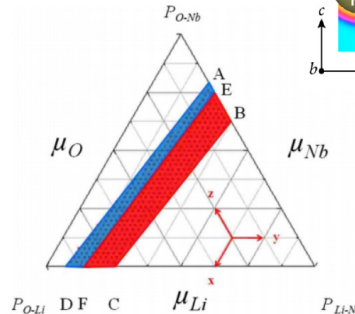
- **Electronic structure**

- Calculate where the electrons are in materials
- Relies on quantum mechanics, wave functions and methods to solve Schrodinger equations

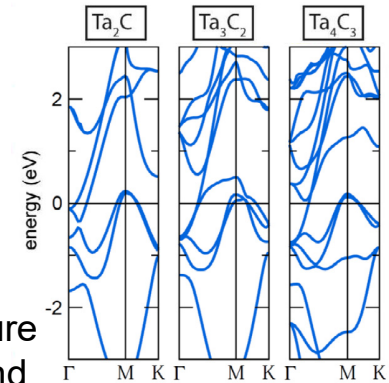
- **Atomistic**

- Follow individual atoms in materials
  - Molecular statics
  - Quasi-harmonic theory
  - Molecular dynamics
  - (Kinetic) Monte Carlo

total energy  
relative stability of configurations  
and concentrations



band structure  
electronic and  
optical properties



# Types of methods

- **Mesoscale**

- Track other entities (grains size, magnetization,...)
  - Cellular automata (CA)
  - Monte Carlo
  - Phase fields

- **Continuum modeling**

- General differential equation solving
- Numerical methods of ODEs/PDEs
- Thermal transport

- **Data-driven methods**

- Unsupervised learning
- Supervised learning
- Neural networks
- Symbolic regression

**Metropolis Monte Carlo** – generates configurations according to the desired statistical mechanics distribution

- ✓ There is no time, the method cannot be used to study evolution of the system
- ✓ Equilibrium properties can be studied

**Kinetic Monte Carlo (KMC)** – can address kinetics of a system

- ✓ Use transition rates that depend on the energy barrier between the states, with time increments formulated so that they relate to the microscopic kinetics of the system

# Methodology: Density Function Theory (DFT) Simulations

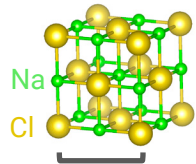


NaCl  
(Salt)

Macroscopic  
(visible scale)



Modelling



Microscopic  
building blocks  
(atomic scale)

5.7Å (1Å = 10<sup>-10</sup>m)

From theory to computation

$$E[n] = \int d\mathbf{r} n(\mathbf{r}) V_n(\mathbf{r}) + \frac{1}{2} \int d\mathbf{r} d\mathbf{r}' \frac{n(\mathbf{r})n(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} - \sum_i \int d\mathbf{r} \phi_i^*(\mathbf{r}) \frac{\nabla^2}{2} \phi_i(\mathbf{r}) + E_{xc}[n]$$

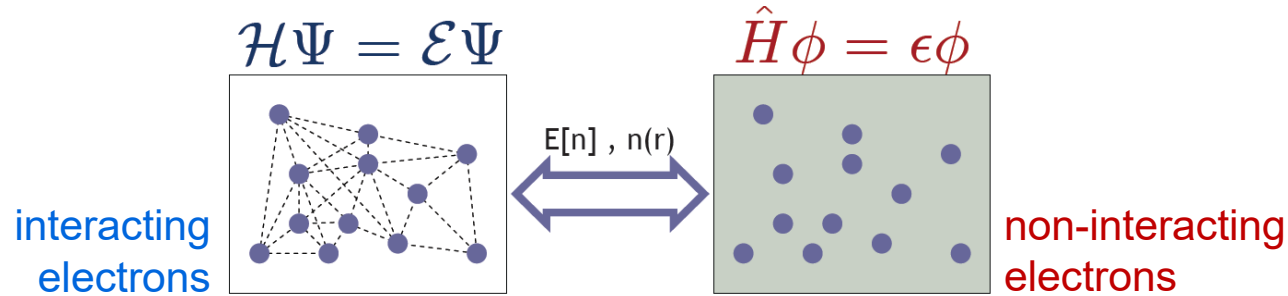
Annotations for the equation above:

- ↑ N-electron potential energy
- ↑ Electron-electron interactions
- ↓ DFT total energy
- ↓ N-electron kinetic energy
- ↓ Exchange-correlation energy

- Solve a set of Schrodinger-like equations for the electronic states to obtain the ground state density (and properties) of a material



# DFT approach (band theory)



$$E[n(\mathbf{r})] = F[n(\mathbf{r})] + \int V_{ext}(\mathbf{r})n(\mathbf{r})d\mathbf{r}$$

kinetic energy, Hartree terms, quantum mechanical (exchange-correlation) and electromagnetic terms

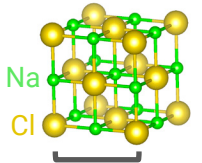
the atomic structure and any external fields are contained in this term

- The ground-state density of electrons interacting with each other can be calculated as the ground-state density of an auxiliary system of non-interacting electrons (Kohn & Sham, 1965)

# Popularity of DFT for materials modeling

- Transferability – method applies to many material classes
- Simplicity – direct link between elementary QM (independent particles) and materials physics and chemistry
- Reliability
  - Accuracies can be as good as a few percent
  - Approximations (uncontrolled but often very good)
- Software sharing
  - Efficient numerical methods to perform the minimization
- Reasonable starting point for higher level methods

# Methodology: Density Function Theory (DFT) Simulations



5.7Å (1Å = 10<sup>-10</sup>m)

Macroscopic  
(visible scale)

Modelling

Microscopic  
building blocks  
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From theory to computation

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DFT total energy

$$- \sum_i \int d\mathbf{r} \phi_i^*(\mathbf{r}) \frac{\nabla^2}{2} \phi_i(\mathbf{r}) + E_{xc}[n]$$

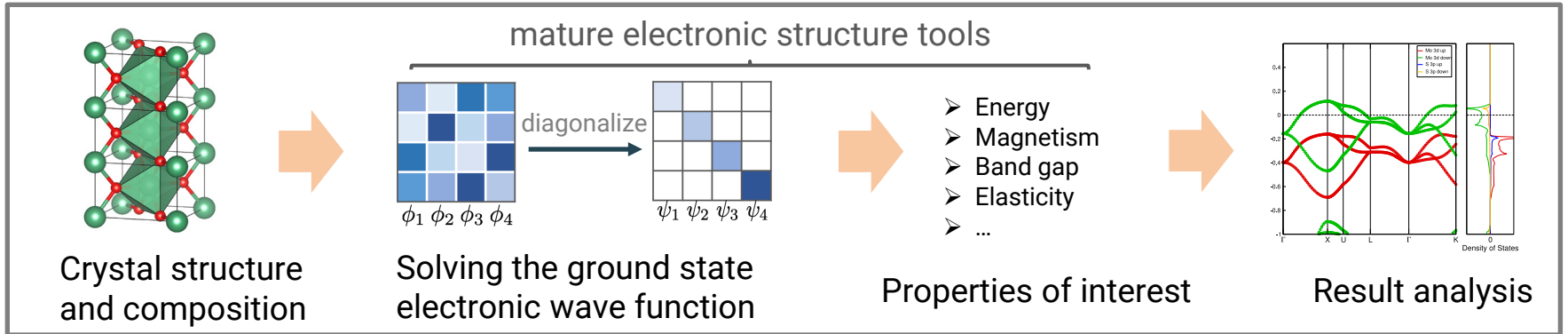
N-electron kinetic energy

Exchange-correlation energy

N-electron potential energy

Electron-electron interactions

Workflow of DFT simulations



# What we can calculate with DFT (accessible properties)

- Total energy in the ground state
  - Cohesive energies
  - Relative stability of different phases/structures
  - Heats of formation
  - Surface and interface energies
  - Adsorption energies, diffusion barriers, etc.
- Structural Optimization
  - Cell shape and size
  - Atomic positions
- Electronic properties
  - Electron density plots
  - Atomic charges and magnetic moments
  - Kohn-Sham band structure/ eigenvalues (not real-world structure, but often compared)

Material	Expt	Theory	Delta	Type
LaBi	6.57	6.648	1.2%	alloy
CaF <sub>2</sub>	5.4626	5.496	0.6%	halide
Ag	4.086	4.112	0.6%	metal
V	3.028	3.019	-0.3%	metal
ZrN	4.62	4.634	0.3%	misc
NbO	4.2103	4.2344	0.6%	oxide
GaAs	5.653	5.663	0.2%	semiconductor
CoSi <sub>2</sub>	5.36	5.3	-1.1%	silicide

[Chris J. Pickard](#)

Accuracy: 1-2% for a weakly correlated material  
Except for  $E_{\text{coh}}$  and band gaps

# What we can calculate (energy derivatives)

- First-order
  - Atomic forces, stress tensor
  - Macroscopic polarization (Berry Phase approach)
  - Magnetoelectric coupling (recent development)
- Second-order
  - Optical and static dielectric tensor
  - Elastic constants and piezoelectric tensor
  - Born effective charges and IR intensities
  - Phonon dispersion plots
- Third-order
  - Non-linear optical susceptibilities
  - Raman intensities

Accuracy: 5-10%  
Except for high-frequency  
dielectric constant

# Building models

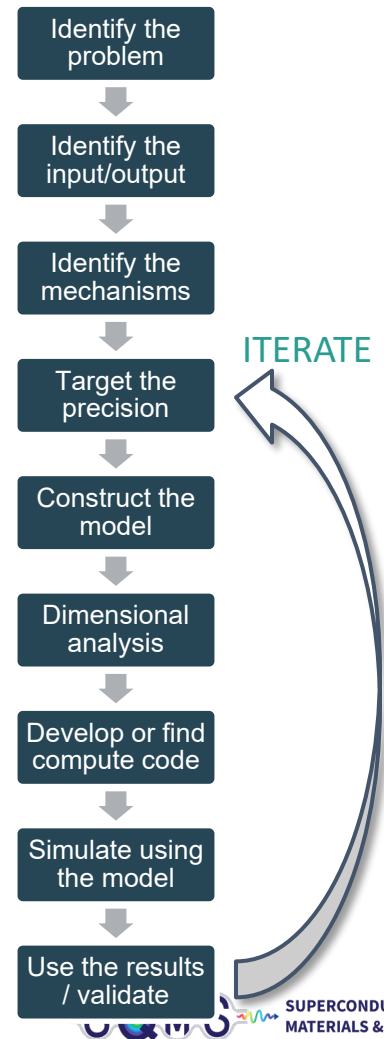
- Standard steps which are often not explicitly recognized
- Approach is often used even implicitly

**DISCLAIMER:**  
Inaccurate answers from numerical methods do not necessarily imply errors in the model

*and*

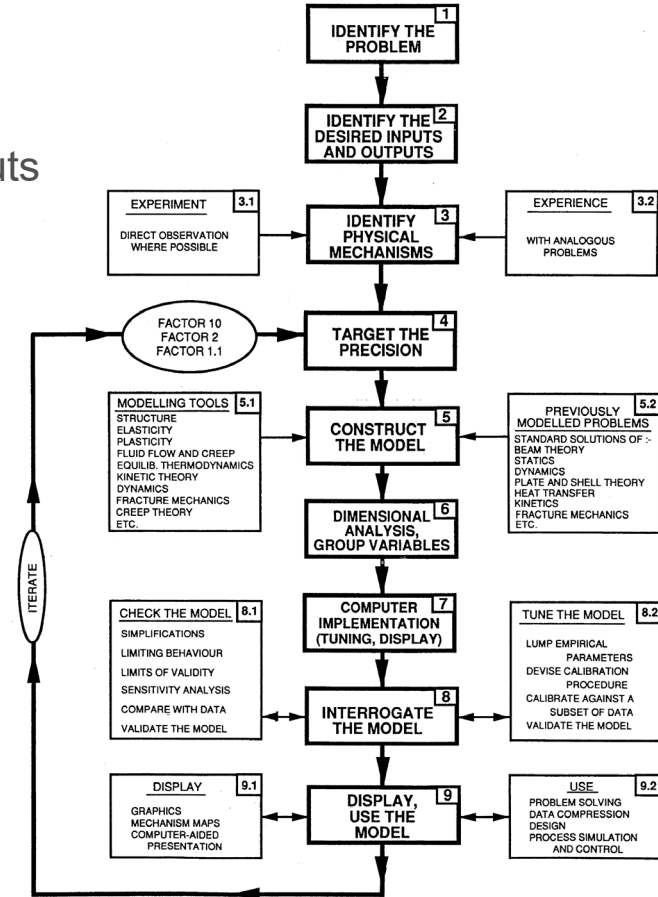
Accurate numerical solutions do not imply a correct model

- Models should be no more complicated than necessary
- “Everything should be made as **simple** as possible, but **no simpler.**”



# Steps in physical model formulation

- Step 1 : Identifying the problem
- Step 2 : Identifying the desired inputs and outputs
- Step 3 : Identifying the physical mechanisms
- Step 4 : Targeting the precision
- Step 5 : Constructing the model
- Step 6 : Dimensional analysis
- Step 7 : Computer implementation
- Step 8 : Interrogating the model
- Step 9 : Displaying the results
- Step 10: Validate



# Step 1: Identifying the problem

- Pertinent length scales and materials structure

- E-M Fields

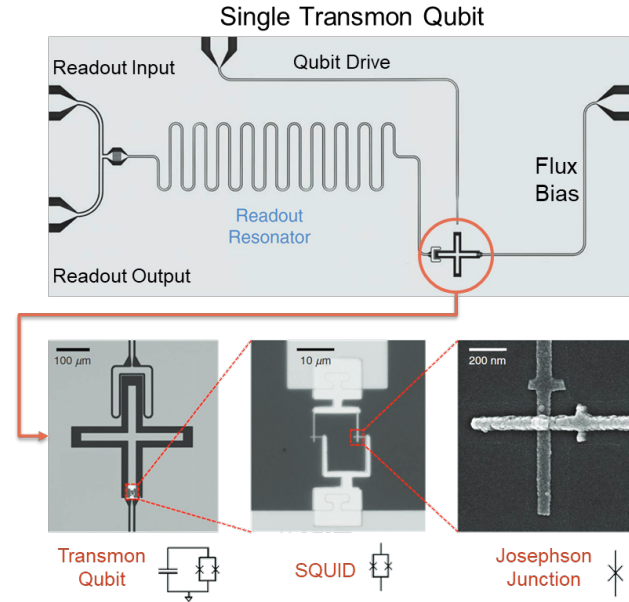
$10^{-2}$  m

- Localized materials defects

$10^{-10}$  m  $\rightarrow$   $10^{-8}$  m

- Extend materials defects

$10^{-6}$  m



- Hydrogen interstitials
- Role of oxygen vacancies
- Processing RRR
- Quasiparticles
- ...



## Step 2: Identifying desired inputs and outputs

- What are the essential ingredients of the model?
  - Composition, structure, boundary conditions, temperature, time, etc.
- What are the less important quantities that could be added later?
  - Start simple and then add complexity
- Remember that the output...
  - Is only as good as the input
  - Could be used as input for a higher-level model

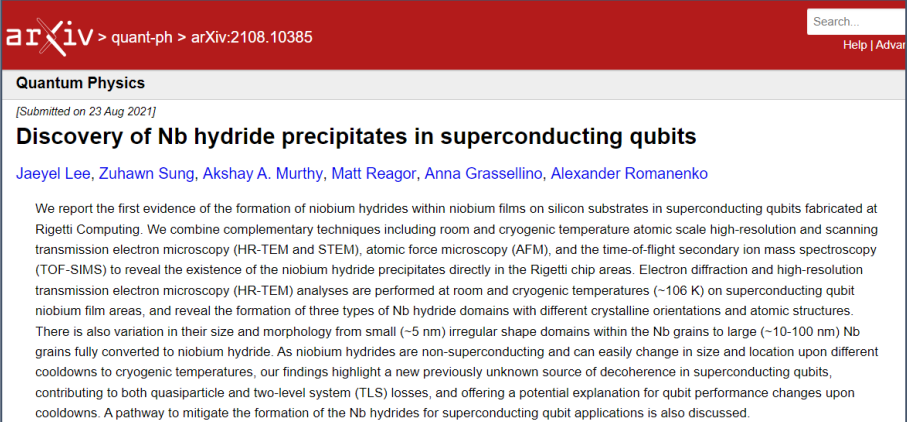
# Agenda

- SC Qubit Materials and Systems Charts – Introduction
- Modeling Materials
  - Model Formulation
  - Electronic Structure Models
  - Atomistic Models
  - From Phenomenological to Microscopic
- Use Cases
  - Nb and its hydrides
  - Nb and its oxides
- Co-Design and Integration with Experimentation – Summary

Analyze and interpret modeling results from electronic and atomistic calculations

# Nb and its hydrides (NbH<sub>x</sub>)

- Recent discovery – hydrides in Nb films on Silicon processed by Rigetti
  - 3 types of Nb hydrides with varying atomic structures, crystalline orientation, and morphology
  - Small irregularly shaped (~5 nm) to large distinctly shaped (~100 nm)



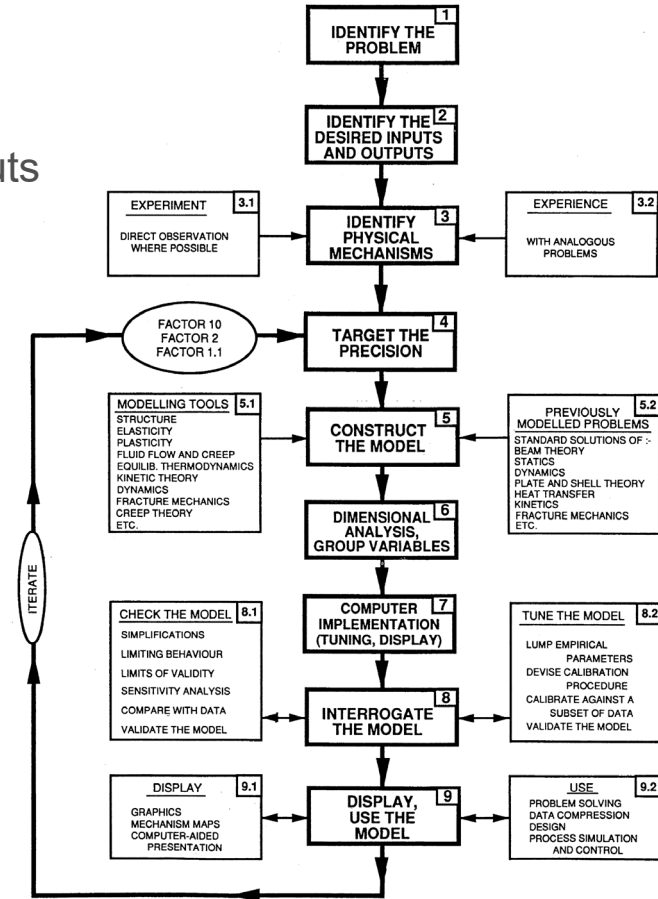
The screenshot shows the arXiv preprint page for the paper "Discovery of Nb hydride precipitates in superconducting qubits" by Jaeyel Lee, Zuhawn Sung, Akshay A. Murthy, Matt Reagor, Anna Grassellino, and Alexander Romanenko. The paper is submitted on 23 Aug 2021 and is categorized under Quantum Physics. The abstract describes the discovery of niobium hydride precipitates in niobium films on silicon substrates used for superconducting qubits. The authors used a combination of room and cryogenic temperature atomic scale high-resolution and scanning transmission electron microscopy (HR-TEM and STEM), atomic force microscopy (AFM), and time-of-flight secondary ion mass spectroscopy (TOF-SIMS) to identify three types of Nb hydride domains with different crystalline orientations and atomic structures. The domains range in size from small (~5 nm) irregular shapes to large (~10-100 nm) Nb grains fully converted to niobium hydride. The paper also discusses the impact of these hydrides on qubit performance and potential mitigation strategies.

<https://arxiv.org/abs/2108.10385>

- Hydrides lead to loss of performance in SRF Cavities (Alex's lecture): Q disease  
[Supercond. Sci. Technol. 26 035003 \(2013\)](#)
- Hydrides are metallic and non-superconducting
  - Small hydrides, proximity-induced superconductivity
  - Large hydrides, regular metal conductivity
- Additional QPs due to proximity breakdown under high fields ( $H > H_b$ )

# Steps in physical model formulation

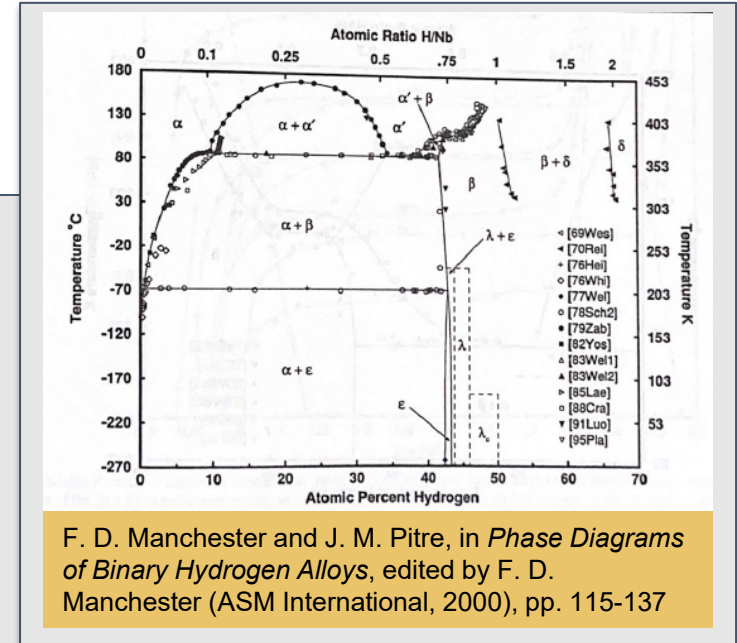
- Step 1 : Identifying the problem
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- Step 9 : Displaying the results



# Steps in physical model formulation

- Step 1 : Identifying the problem
- Step 2 : Identifying the desired inputs and outputs
- Step 3 : Identifying the physical mechanisms

1. Which are the relevant hydride phases?
2. Are bulk or interface structure/properties important?
3. What are the electronic/magnetic properties of the Nb/NbH grain boundaries?
  - Input – structure
  - Output – electronic properties
4. Can we understand and predict hydride nucleation and growth?
  - Input – structure
  - Output – elastic stiffness tensors



# Building atomistic model – a short diversion

- BCC crystal has 12 tetrahedral sites and 6 octahedral sites

# Structures and densities can be visualized in VESTA

- Structure can be rendered in 3D using minimal information (atomic species and their occupied Wyckoff sites) and a structure visualization program like VESTA

 **Windows**

- [VESTA.zip](#) (ver. 3.5.8, built on Aug 11 2022, 14.3MB)  
For 32-bit version of Windows.
- [VESTA-win64.zip](#) (ver. 3.5.8, built on Aug 11 2022, 17.2MB)  
For 64-bit version of Windows.

 **macOS**

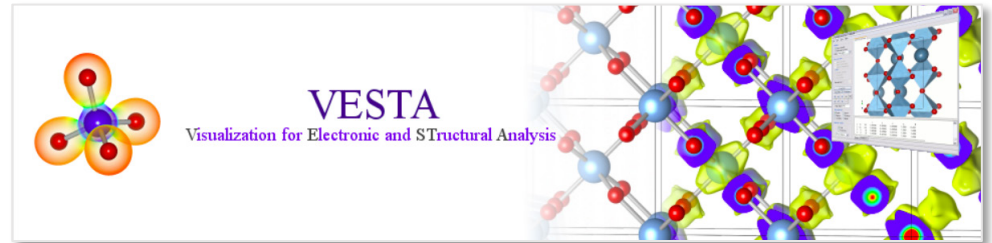
- [VESTA.dmg](#) (ver. 3.5.8, built on Aug 11 2022, 25.3MB)  
Requires OS X 10.9 or newer, Intel CPUs that are capable of 64 bit instruction sets.

 **Linux x86\_64**

- [VESTA-gtk3.tar.bz2](#) (ver. 3.5.8, built on Aug 11 2022, 23.8MB)
- [vesta-3.5.8-1.x86\\_64.rpm](#) (built on Aug 11 2022, 40.9MB)

Requires GTK 3.22 or newer.  
Distributions where VESTA is known to work:

- Redhat Enterprise Linux 7 or later
- Ubuntu 18.04 or later



*Choose the VESTA version for your OS and follow the install directions or extract the files*

*You can use this (or equivalent software) for constructing input structures and analyzing crystal structures*

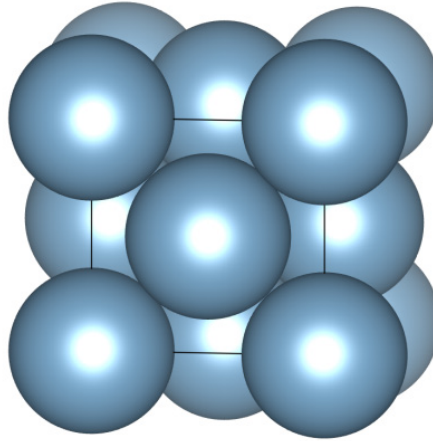
# VESTA demo (later for homework)

- Opening files
- Drawing bonds
- Measuring angles and distances
- Applying periodic boundary conditions
- Creating supercells



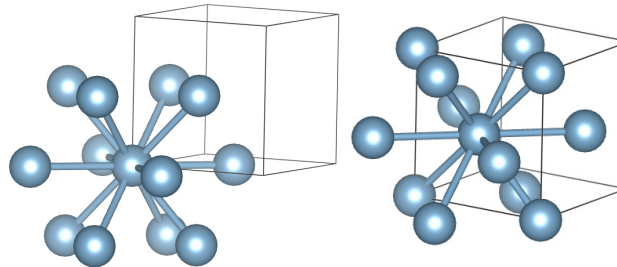
# Face Centered Cubic (FCC) structure

- Atoms touch each other along the face diagonals

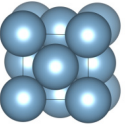


*Example: Al, Cu, Au, Pb, Ni, Pt, Ag*

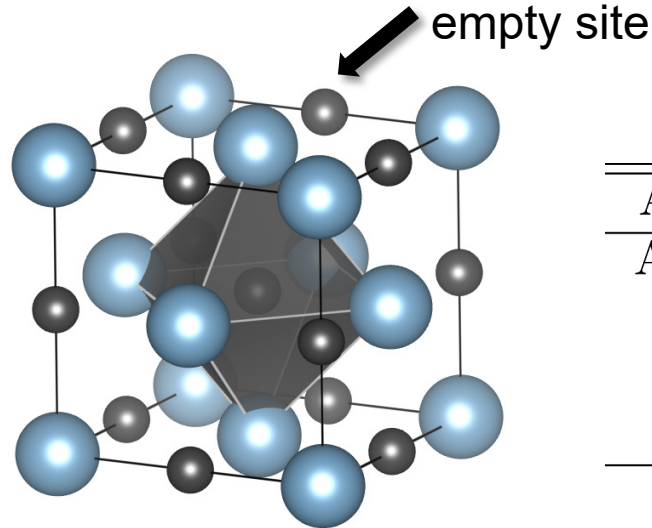
Coordination # = 12  
Cubic-close packing  
forms an **antiprism**



# Octahedral and tetrahedral voids in cubic close-packed structures



- Full list of site positions



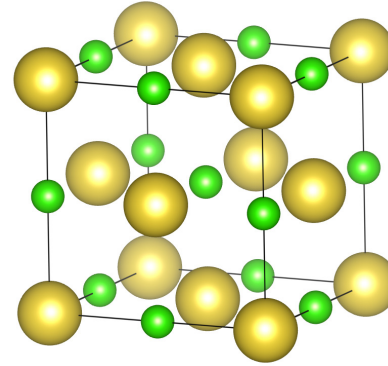
octahedral voids  
 SG= $Fm\bar{3}m$  (No. 225)

Atom	$x$	$y$	$z$
Anion	0	0	0
hole	1/2	1/2	1/2

Atom	$x$	$y$	$z$
Anion	0	0	0
	1/2	1/2	0
	1/2	0	1/2
	0	1/2	1/2
hole	1/2	1/2	1/2
	1/2	0	0
	0	1/2	0
	0	0	1/2

# AB crystal structures

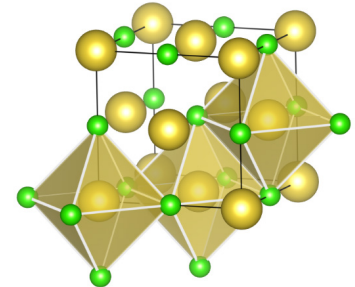
- NaCl structure
  - Equal number of cations and anions
  - CCP array of  $\text{Cl}^-$  anions
  - $\text{Na}^+$  cations fill **100% of octahedral voids filled**
  - **Tetrahedral interstices remain empty**
- Alternative description
  - Can be considered as two interpenetrating FCC lattices (one cation FCC lattice and one anion FCC lattice)
- Examples
  - Alkali metal hydrides
  - Alkali halides except for  $\text{CsX}$  ( $X=\text{Cl}, \text{Br}, \text{I}$ )
  - Alkaline earth oxides, sulfides, selenides, and tellurides Mg-Ba except  $\text{MgTe}$
  - Carbides and nitrides  $\text{MC}$  and  $\text{MN}$  and some phosphides
  - Many alloy phases



NaCl

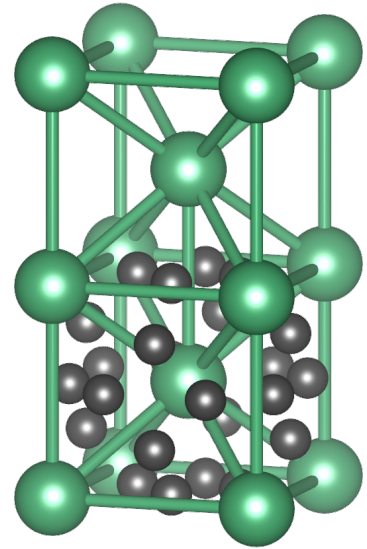
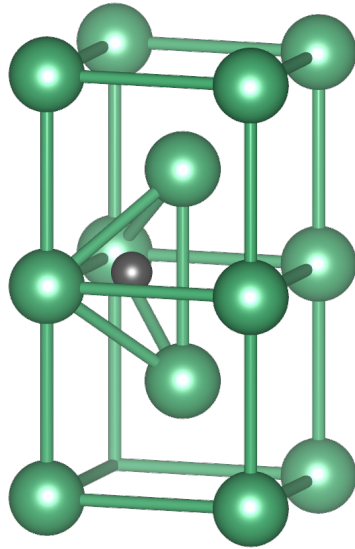
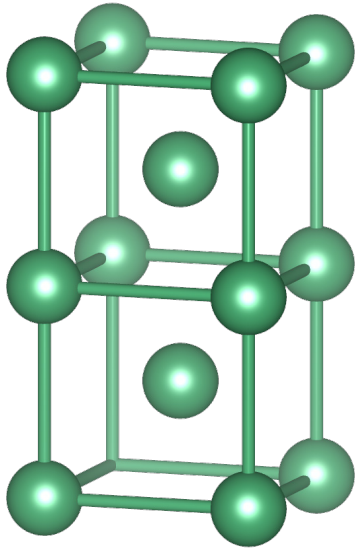
$\text{SG} = Fm\bar{3}m$  (No. 225),  $a = 5.63 \text{ \AA}$

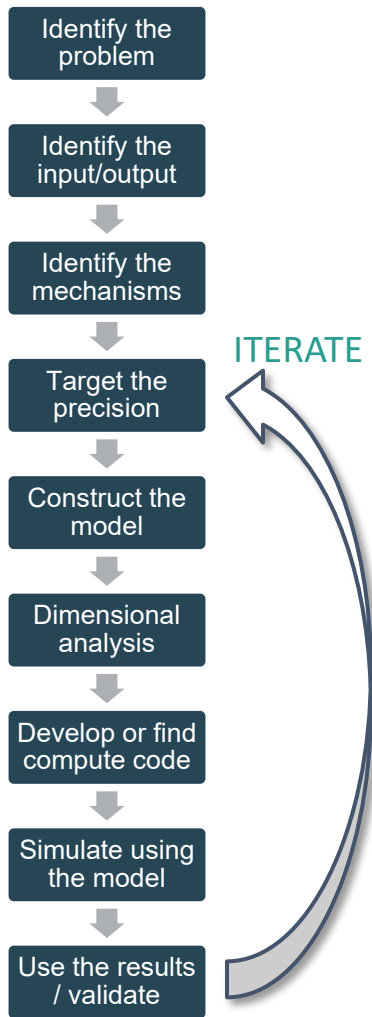
Atom	$x$	$y$	$z$
Na	0	0	0
Cl	1/2	1/2	1/2



# Build atomistic model

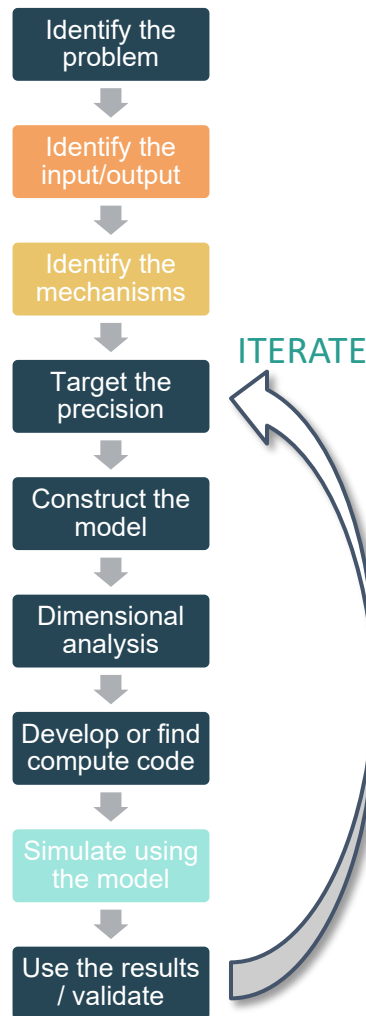
- BCC crystal has 12 tetrahedral sites and 6 octahedral sites
- Hydrogen can occupy any of the 12 tetrahedral sites, and its concentration governs which Nb hydrides can form in the Nb matrix





- Hydride-induced decoherence in Nb superconducting planar resonators and superconducting qubits
- Various hydride structures
  - Bulk (single phase)
  - Interfaces (two-phase)

Electronic structure



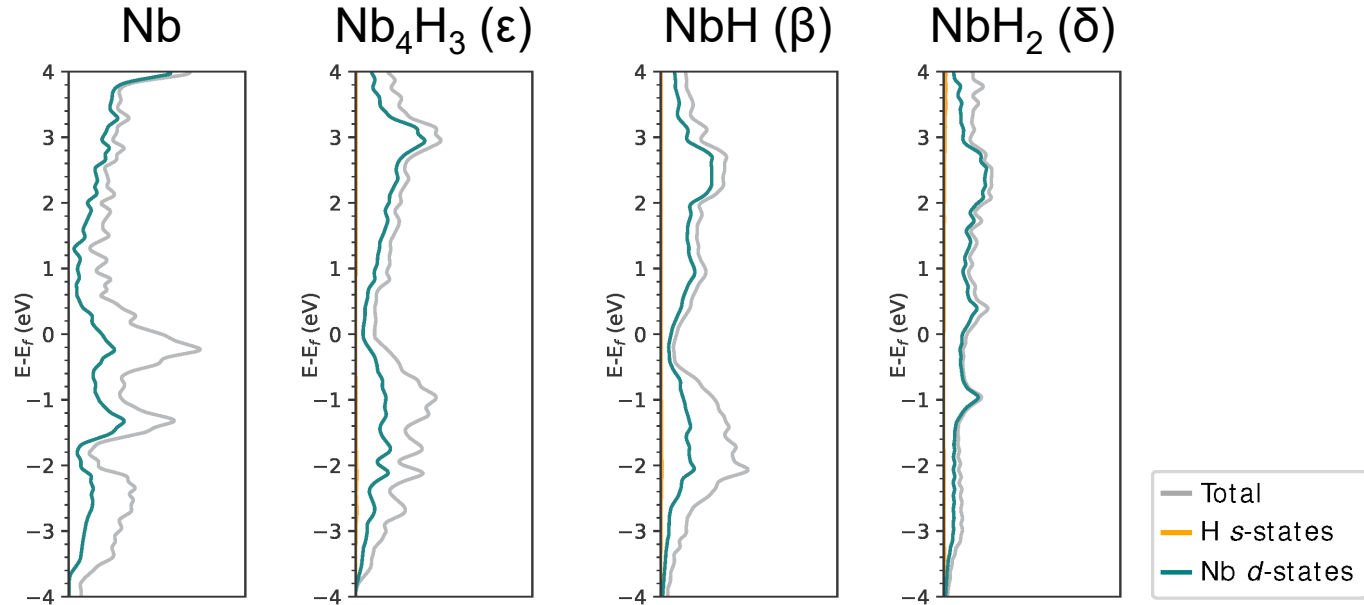
- Microwave dissipation emerges due to Nb hydrides being poor or non-superconductors

Quality factor  $Q_0$  of SRF cavities is determined by the surface resistance via the formula:

$$Q_0 = \frac{\omega U}{P_{\text{diss}}} = \frac{\omega \mu_0 \int_V H^2 dV}{\int_A R_s(H) H^2 dA} \quad (1)$$

where  $\omega$  is the angular frequency,  $U$  is stored energy calculated from the integral of the field over cavity volume, and  $P_{\text{diss}}$  is the power dissipated in cavity walls calculated as an integral of surface resistance over cavity walls. Extremely low surface resistance in superconducting state and hence very high quality factors  $Q_0 > 10^{11}$  are achievable in such structures.

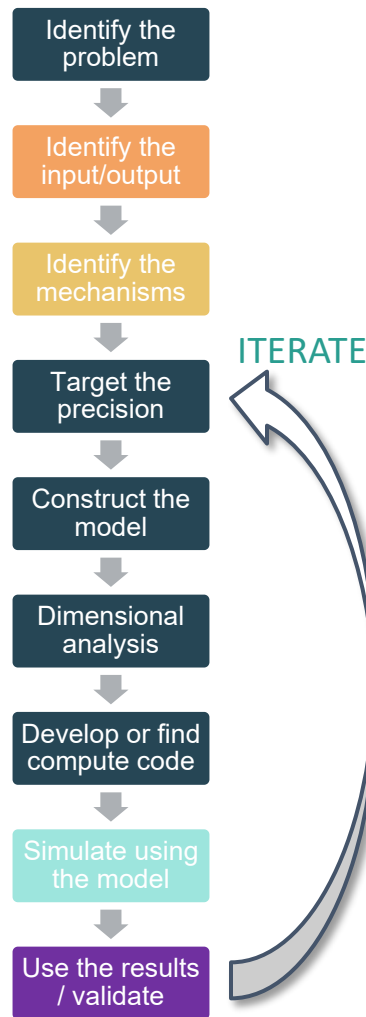
# Electronic density of states of bulk NbH<sub>x</sub>



- Nb<sub>4</sub>H<sub>3</sub> (ε) is the low temperature stable hydride phase
- Metallic character persists with increasing hydrogen content but poor SCs
- Superconducting character lost with increasing content
- Hydrides are nonmagnetic

- Hydride-induced decoherence in Nb superconducting planar resonators and superconducting qubits
- Various hydride structures
  - Bulk (single phase)
  - Interfaces (two-phase)

Electronic structure



- Microwave dissipation emerges due to Nb hydrides being poor or non-superconductors

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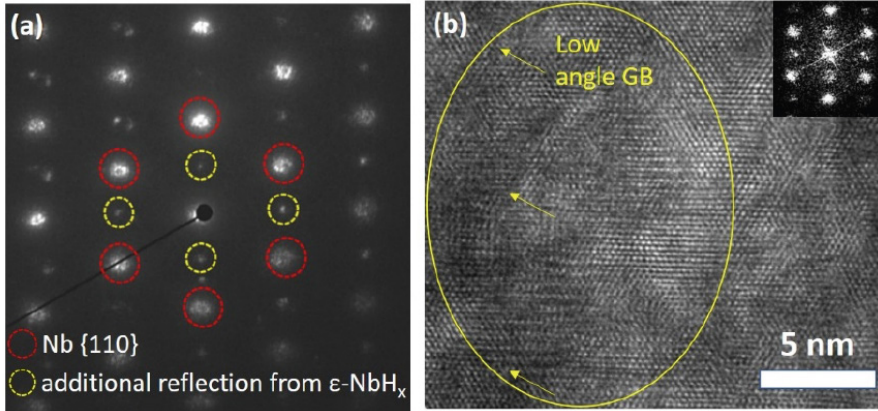
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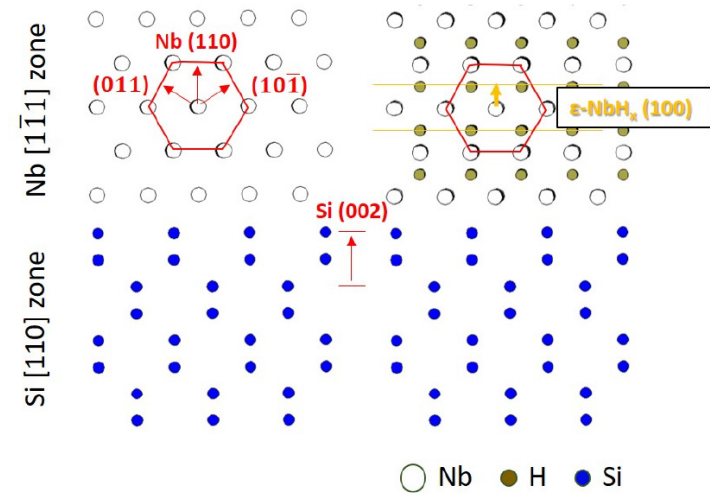


# Nb hydride precipitates in superconducting qubits

- Nb hydrides analyzed in detail using HR-TEM
- Nb hydrides in Nb grains within the superconducting coplanar resonators
- Hydride volume fraction is estimated to be 0.1~1%



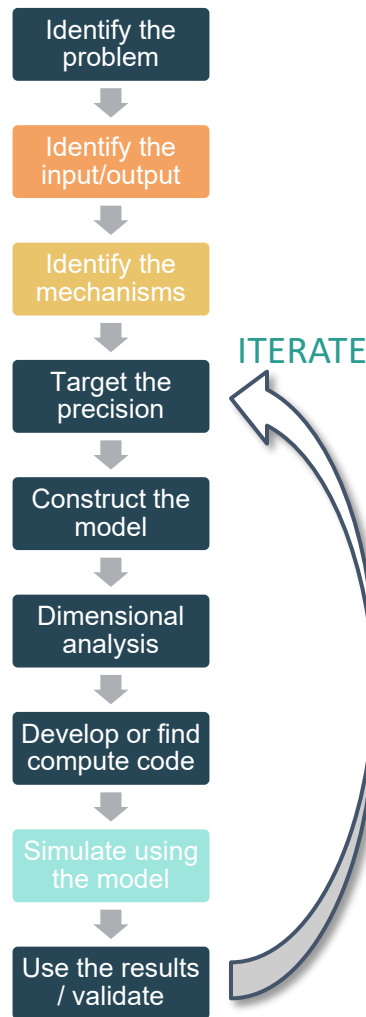
<https://arxiv.org/abs/2108.10385>



(100) plane of  $\epsilon$ -NbH<sub>x</sub> is parallel to the (110) Nb in the film

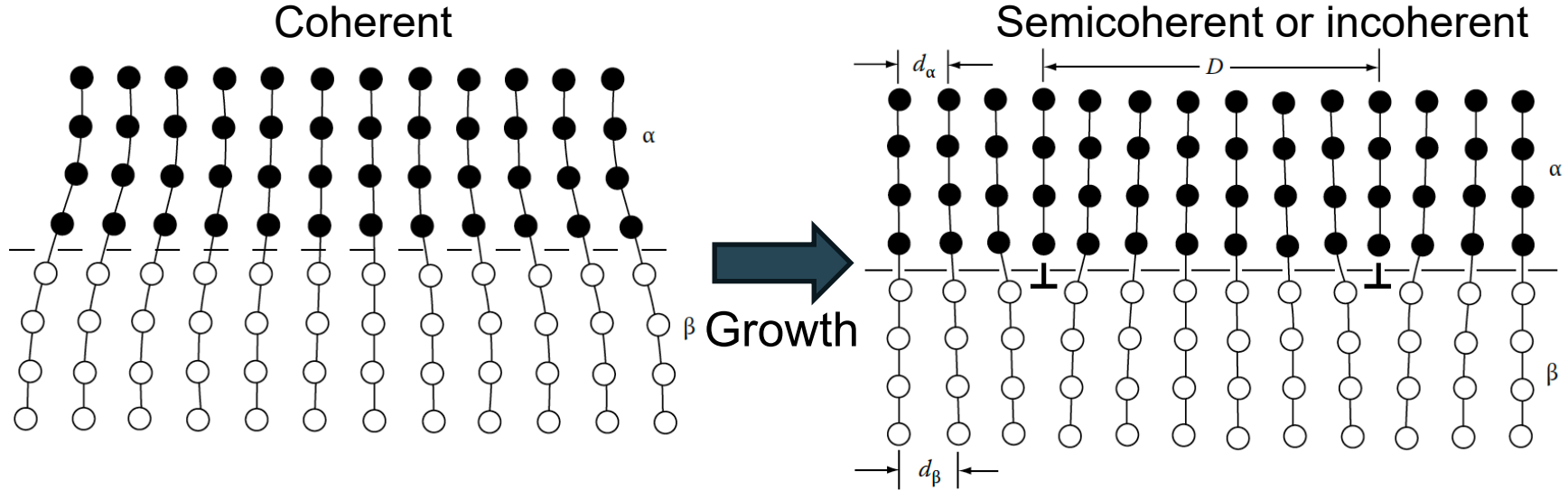
- Hydride-induced decoherence in Nb superconducting planar resonators and superconducting qubits
- Various hydride structures
  - Bulk (single phase)
  - Interfaces (two-phase)

- Elastic moduli



- Nucleation and growth
- Balancing interfacial energies

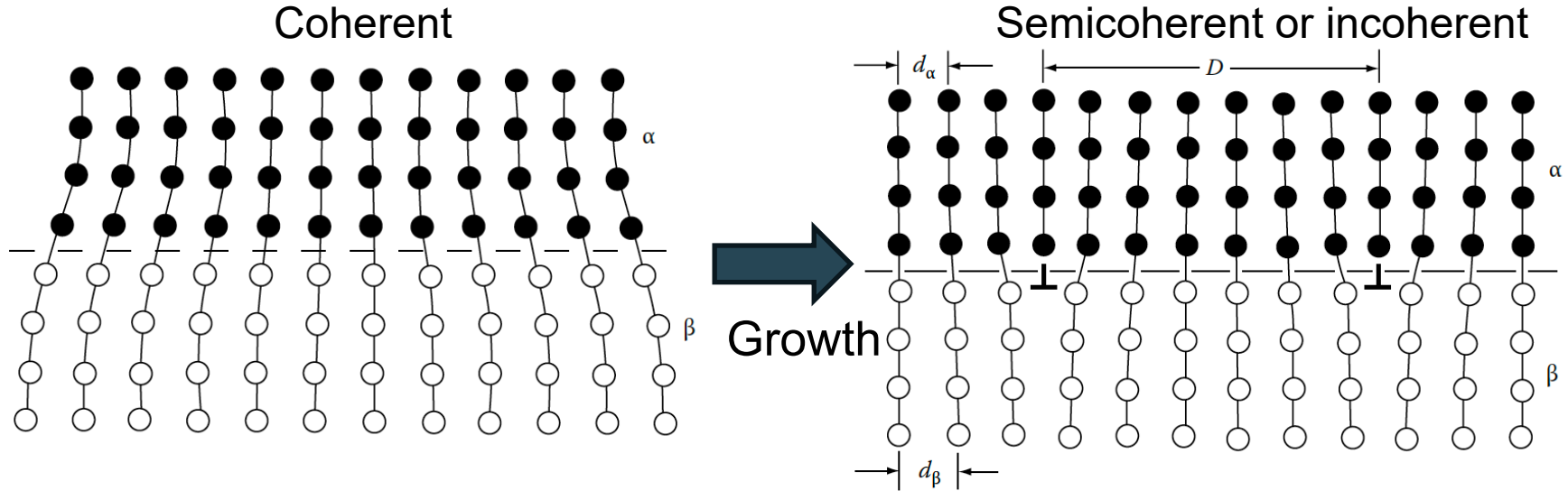
# Are the interfaces coherent?



A coherent interface with slight mismatch leads to coherency strains (or lattice distortions) in the adjoining lattices.

A semicoherent interface. The misfit parallel to the interface is accommodated by a series of edge dislocations.

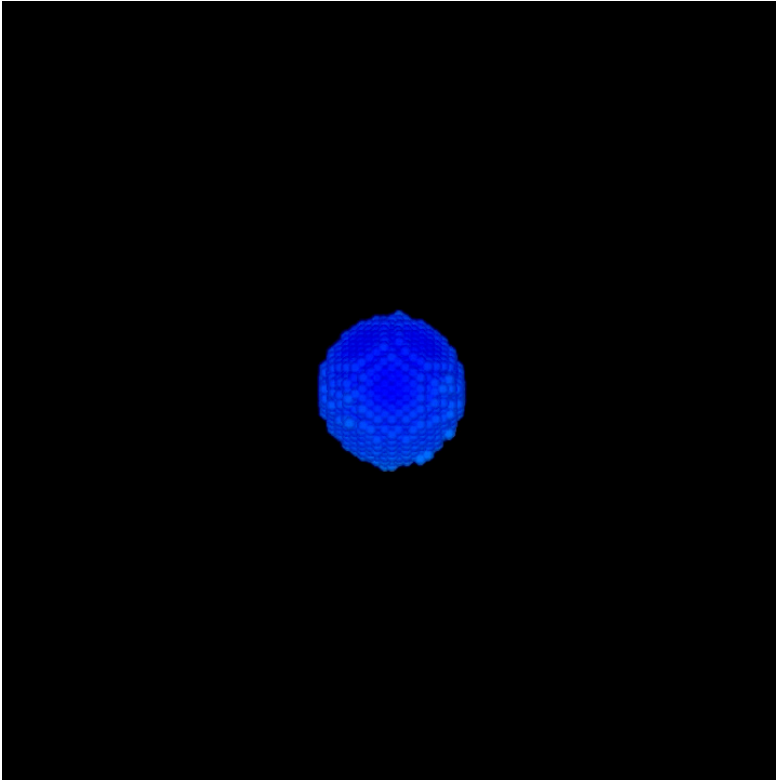
# Are the interfaces coherent?



The transition occurs at critical a particle size  $R_c \sim \frac{3\Delta\sigma}{4\mu\epsilon^2}$

For Nb hydrides  $R_c \sim 2 \text{ nm}$

# How a coherent precipitate becomes incoherent?



- MD simulation shows a TiC precipitate (20% lattice misfit)
- The precipitate is completely encased in dislocations

# Hydride Mitigation Strategies

- Post-annealing of Nb films above 600 °C to degas H
  - Supercond. Sci. Technol. 30(9) 094004 (2017)
- Challenge – Nb silicides can form at Nb/Si interfaces

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PHYSICAL REVIEW MATERIALS 6, 064402 (2022)

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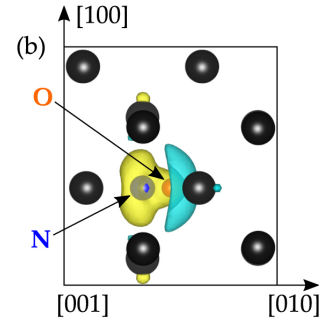
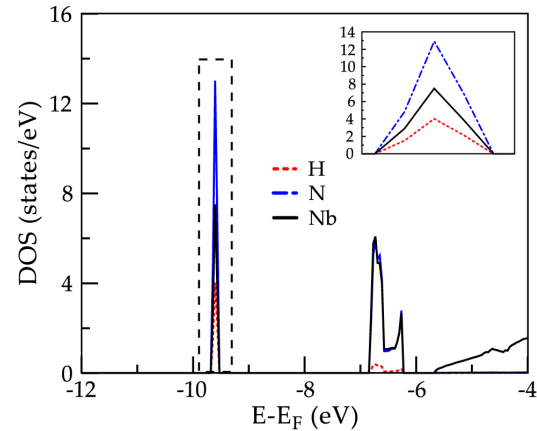
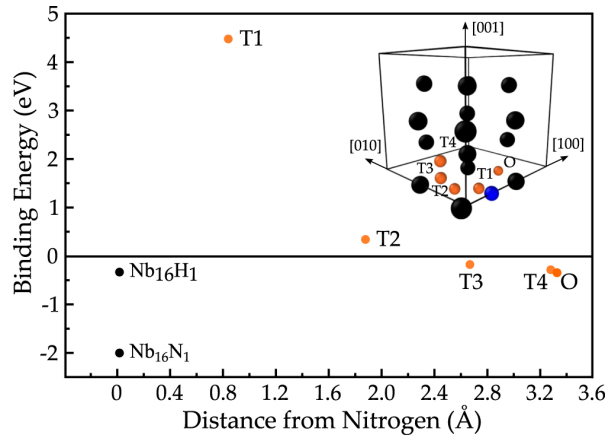
## Stability, metallicity, and magnetism in niobium silicide nanofilms

Xuezeng Lu,<sup>1</sup> Dominic P. Goronzy<sup>1,2</sup>, Carlos G. Torres-Castanedo,<sup>1</sup> Paul Masih Das,<sup>1</sup> Maryam Kazemzadeh-Atoufi<sup>1</sup>, Anthony McFadden<sup>3</sup>, Corey Rae H. McRae<sup>4,5</sup>, Peter W. Voorhees,<sup>1</sup> Vinayak P. Dravid<sup>1,6</sup>, Michael J. Bedzyk<sup>1,7,8</sup>, Mark C. Hersam,<sup>1,9,10</sup> and James M. Rondinelli<sup>1,\*</sup>

- Use nitrogen during processing to control nucleation
  - [Supercond. Sci. Technol. 31\(11\) 115007 \(2018\)](#)
- Modify grain boundary structure (size, texture, etc.) to nucleation
  - [J. Appl. Phys. 121\(19\) 193903 \(2017\)](#)

# Suppression of Hydrides with Nitrogen

- DFT calculations identify why there are low hydride concentrations observed near nitrogen treated niobium surfaces
  - Hydrogen binding is suppressed (short-range effect limited  $\sim 2.5$  Å away)
  - Hydrogen disrupts covalent bonding between Nb-H
  - Nitrogen increases the energy barrier for hydrogen diffusion



# Papers for More Information

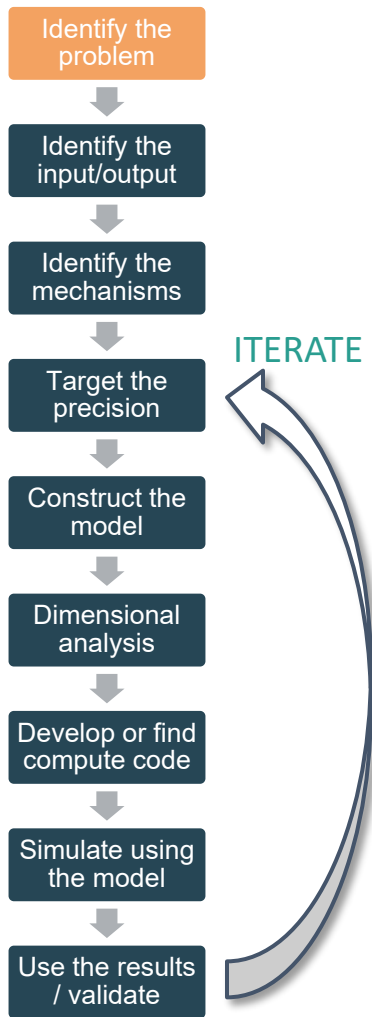
- *Suppression of hydride precipitates in niobium superconducting radio-frequency cavities*
  - Supercond. Sci. Technol. 26 105003 (2013)
  - <https://doi.org/10.1088/0953-2048/26/10/105003>
- *Revealing the role of nitrogen on hydride nucleation and stability in pure niobium using first-principles calculations*
  - Supercond. Sci. Technol. 31 115007 (2018)
  - <https://doi.org/10.1088/1361-6668/aae147>
- *Suppression of nano-hydride growth on Nb(100) due to nitrogen doping*
  - J. Chem. Phys. **152**, 214703 (2020)
  - <https://doi.org/10.1063/5.0007042>



# Agenda

- SC Qubit Materials and Systems Charts – Introduction
- Modeling Materials
  - Model Formulation
  - Electronic Structure Models
  - Atomistic Models
  - From Phenomenological to Microscopic
- Use Cases
  - Nb and its hydrides
  - Nb and its oxides
- Co-Design and Integration with Experimentation – Summary

Analyze and interpret modeling results from electronic and atomistic calculations



# Magnetic Impurities as Loss Source in amorphous $\text{Nb}_2\text{O}_{5-x}$

- Reduced Nb pentoxides exhibit temperature dependent paramagnetism
  - Magnetic susceptibility increases with decreasing oxygen content
- Magnetic moments provide several channels for qubit decoherence:
  - Increased quasiparticle losses through Shiba mechanism\*
  - Bloch relaxation of moments
  - Magnetic flux noise

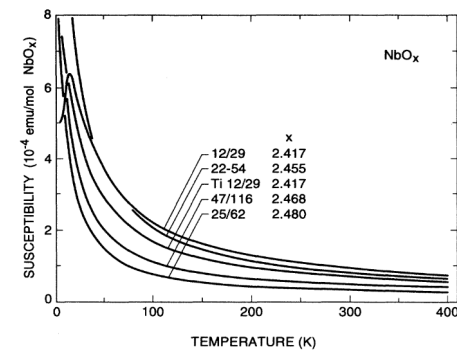
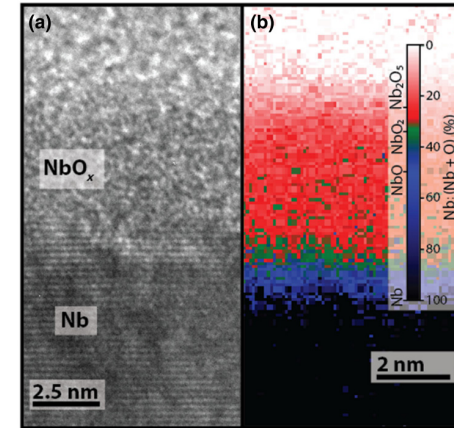


FIG. 13. Magnetic susceptibilities of  $\text{Nb}_{22}\text{O}_{62}$ ,  $\text{Nb}_{47}\text{O}_{116}$ ,  $\text{Nb}_{22}\text{O}_{54}$ ,  $\text{Nb}_{12}\text{O}_{29}$ , and  $\text{Ti}_{0.5}\text{Nb}_{11.5}\text{O}_{29}$  plotted per mole  $(\text{Nb}, \text{Ti})\text{O}_x$ .

DOI: 10.1103/PhysRevB.44.6973

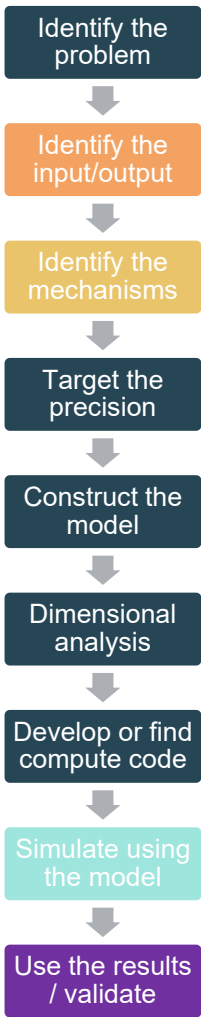


DOI: 10.1103/PRXQuantum.3.020312

Shiba theory applied to SRF cavities to model surface resistance impedance of s-wave superconductors with magnetic impurities [[Phys. Rev. B 86, 024514 \(2012\)](https://doi.org/10.1103/PhysRevB.86.024514)]

- Various NbO<sub>x</sub> structures
  - Bulk Crystalline
  - Bulk Amorphous

- Electronic structure



**The Shiba Theory for magnetic impurities in a superconducting host**

$$\phi(v) = \frac{\varepsilon}{|\Delta|} = v \left( 1 - \frac{1}{\tau_s |\Delta|} \frac{\sqrt{1-v^2}}{((1-\gamma)^2 - v^2)} \right)$$

**Surface impedance in the Superconductor Resonator Frequency Limit**

$$Z(\omega) = \frac{32\pi\omega}{c^4} \int_0^\infty dk \frac{Q_2(\omega, k)}{[k^2 + 4\pi Q_1(k)/c^2]^2}$$

**Parameters**

Magnetic impurity density:  $\tau_s^{-1}$

Dissipation:  $Q_2(\omega, k)$

Superconducting gap:  $|\Delta|$

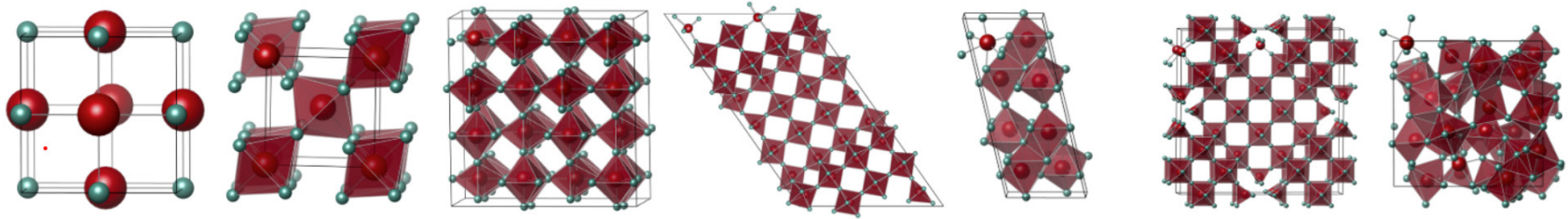
**Energy scale**

$\gamma \approx 0$  Weak exchange

$\gamma \approx 1$  Strong exchange

Courtesy S. Griffin (Molecular Foundry)

# Nb Oxide Crystal Structures



NbO Pm3m	NbO <sub>2</sub> Rutile, P4 <sub>2</sub> /mnm	NbO <sub>2</sub> I4 <sub>1</sub> /a	N-Nb <sub>2</sub> O <sub>5</sub> C2/m	B-Nb <sub>2</sub> O <sub>5</sub> C2/c	M-Nb <sub>2</sub> O <sub>5</sub> I4/mmm	Nb <sub>2</sub> O <sub>5</sub> Amorphous
4d <sup>3</sup>	4d <sup>1</sup>	4d <sup>1</sup>	4d <sup>0</sup>	4d <sup>0</sup>	4d <sup>0</sup>	4d <sup>0</sup>
Planar	Octahedral	Octahedral	Octahedral+ Tetrahedral	Octahedral	Octahedral+ Tetrahedral	4-, 5- and 6- coordinated
Paramagnetic	Paramagnetic	Paramagnetic	Diamagnetic	Diamagnetic	Diamagnetic	Diamagnetic

FIG. 1. Crystal structures of the Nb oxides considered in this work with their nominal valence configuration on the Nb atoms, the Nb coordination environment(s) and the resulting magnetic order in the stoichiometric case.

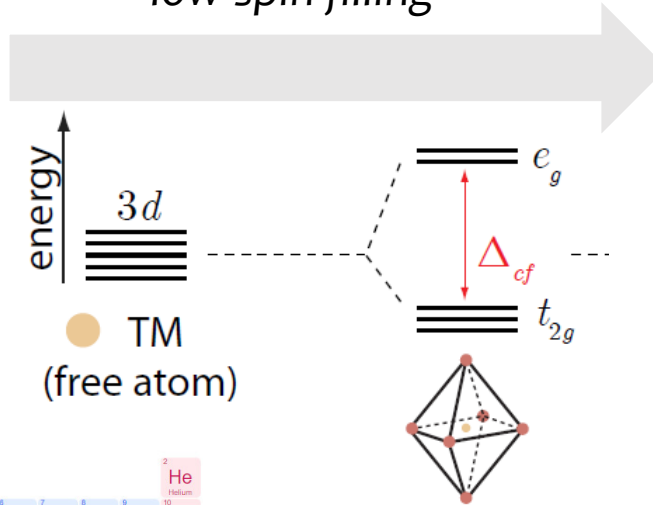
Sheridan, E., Harrelson, T. F., Sivonxay, E., Persson, K. A., Altoé, M. V. P., Siddiqi, I., Ogletree, D. F., Santiago, D. I., & Griffin, S. M. (2021). *Microscopic Theory of Magnetic Disorder-Induced Decoherence in Superconducting Nb Films*. <https://arxiv.org/abs/2111.11684>

# Electronic structure of NbO<sub>2</sub>

Niobium

Charge	Ionic Radius (Å)
3+	0.72
4+	0.68
5+	0.64

low-spin filling

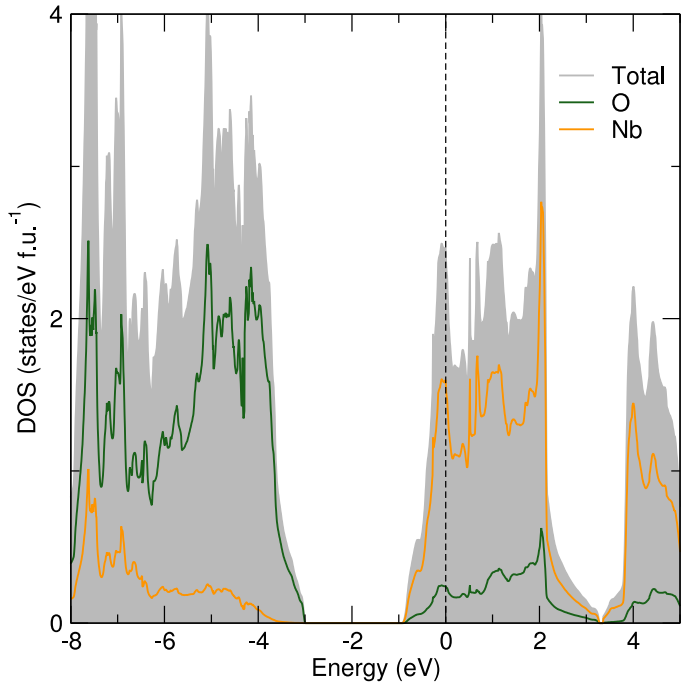
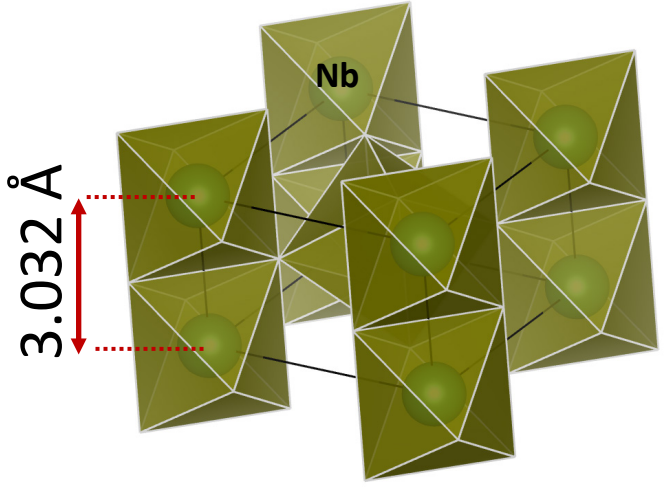


$d^n$	Class
2	Metal
1	Metal
0	Insulator

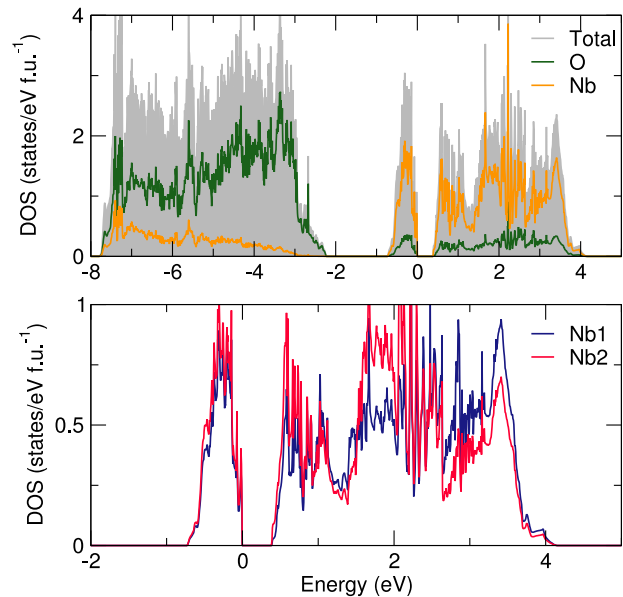
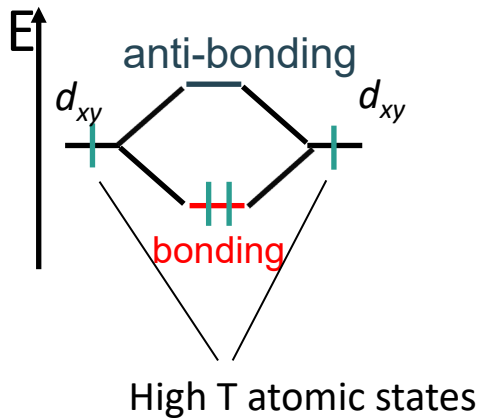
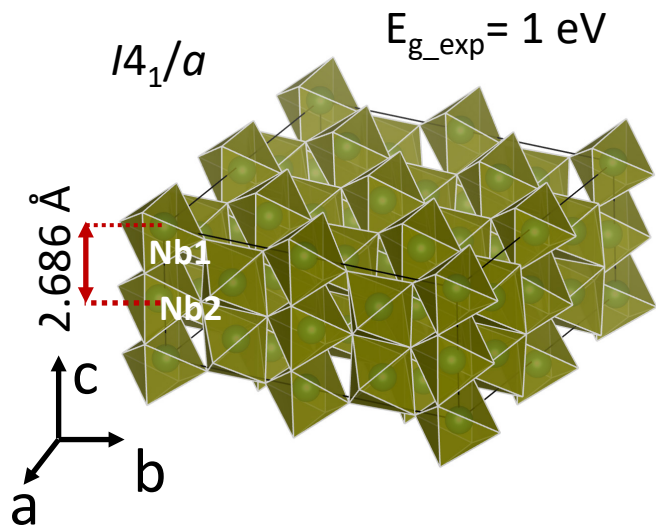
Periodic Table

octahedral coordination

# Electronic structure of NbO<sub>2</sub>



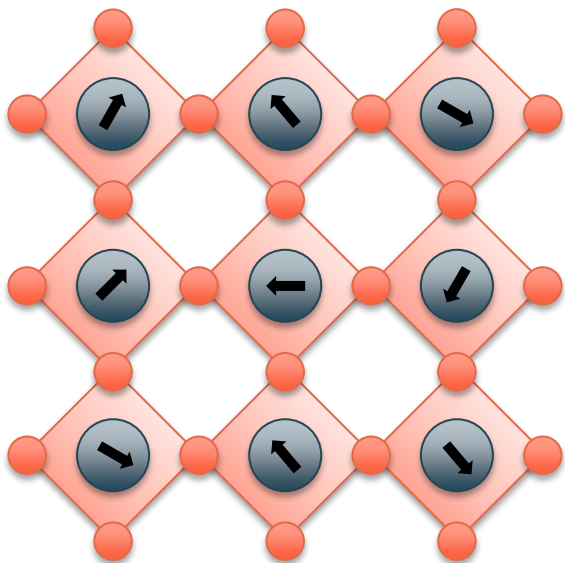
# Electronic structure of NbO<sub>2</sub>



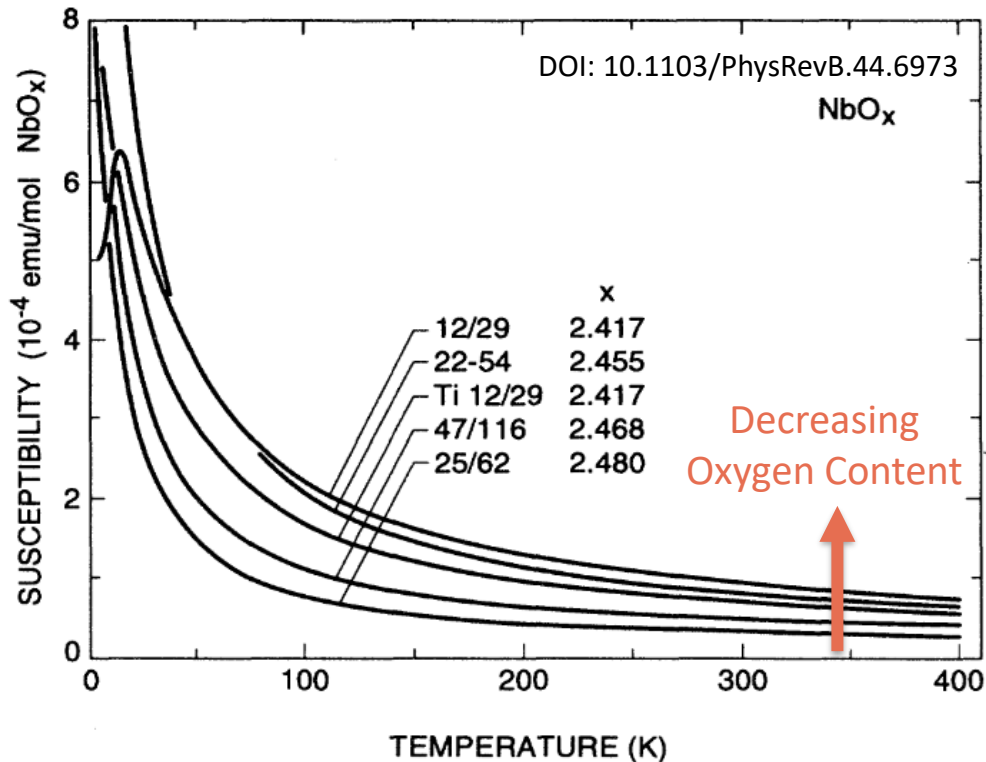
MIT = 1081 K



# Paramagnetism in Reduced Nb Pentoxides (oxygen vacancies)

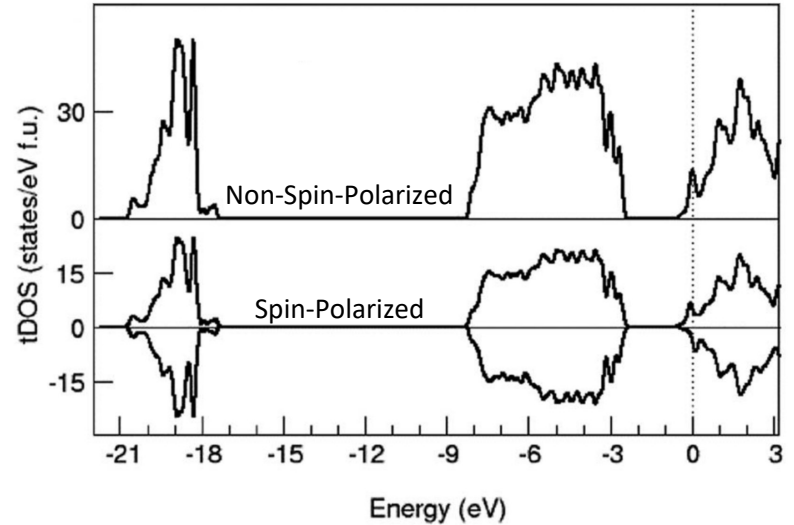


Paramagnetic moments  
hosted on transition metal sites

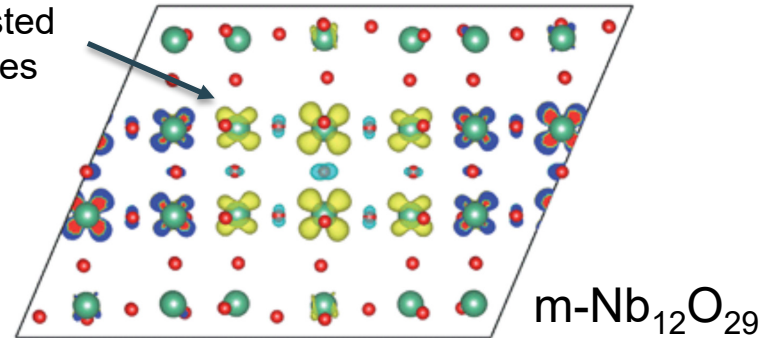


# Electronic Structure Origin

- $\text{Nb}_{12}\text{O}_{29}$  exhibits two forms with different symmetries, monoclinic (m) and orthorhombic (o)
- M- $\text{Nb}_{12}\text{O}_{29}$  is an unusual metallic antiferromagnetic below 12 K
- Stoner-like instability but with delocalized moments across multiple Nb sites



Moments hosted on Nb  $t_{2g}$  states



Fang, C. M., van Huis, M. A., Xu, Q., Cava, R. J., & Zandbergen, H. W. (2015). Unexpected origin of magnetism in monoclinic  $\text{Nb}_{12}\text{O}_{29}$  from first-principles calculations. *Journal of Materials Chemistry C*, 3(3), 651–657 (2015)

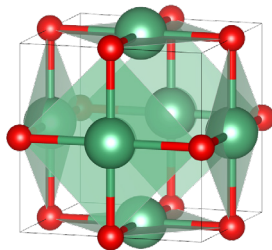
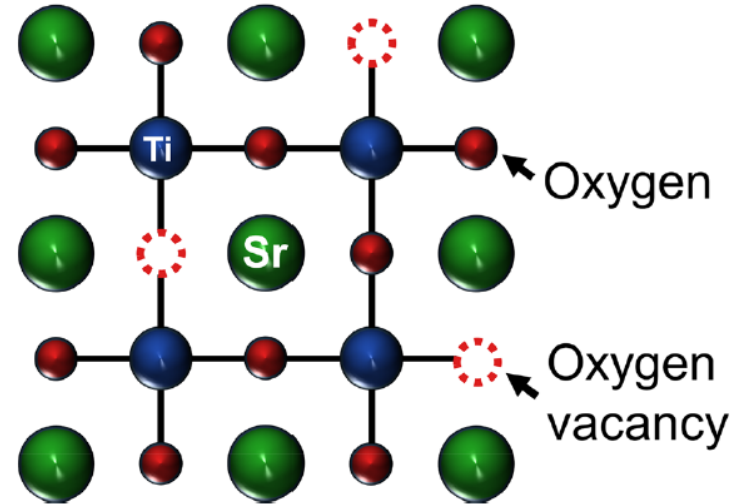
# Modeling Nonstoichiometric Crystalline $\text{Nb}_2\text{O}_{5-x}$ with Oxygen Defects

## Oxygen vacancies

- Donates two electrons (n-type)
- Occupy conduction band (donor states)

## Oxygen interstitials

- Donates two holes (p-type)
- Occupy valence band (acceptor states)



$\text{NbO}$  ( $Pm\bar{3}m$ )

Stabilized by  $\pi$ -type interaction between Nb 4d and O 2p orbitals

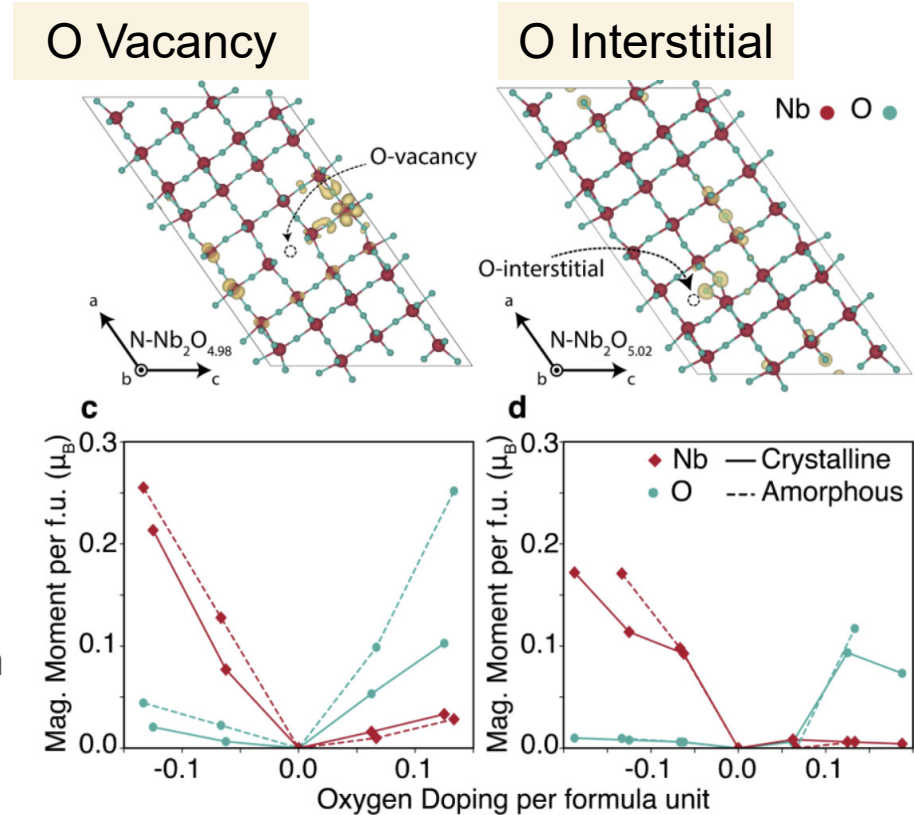
# Modeling Nonstoichiometric Crystalline $\text{Nb}_2\text{O}_{5-x}$ with Oxygen Defects

## Rigid band model

- *Pros*: Simple, fast, and qualitatively correct
- *Cons*: Cannot account for localized defect states, structural rearrangements, polarons, etc.

## Explicit oxygen vacancies

- *Pros*: Explicit representation of environment around defects
- *Cons*: Dilute defects require large simulation cells



Sheridan, E., Harrelson, T. F., Sivonxay, E., Persson, K. A., Altoé, M. V. P., Siddiqi, I., Ogletree, D. F., Santiago, D. I., & Griffin, S. M. (2021). *Microscopic Theory of Magnetic Disorder-Induced Decoherence in Superconducting Nb Films*. <https://arxiv.org/abs/2111.11684>

# Proposed Link: Magnetic induced Losses

- Dilute magnetic moment in superconductors can lead to ‘impurity’ band development in SC density of states
  - SCs have gap at the Fermi level
    - Cooper pair states below fermi level
    - Broken cooper pairs (e-) above fermi level
    - Scattering of e- leads to resistive losses
  - For large gap, thermal occupation of states above fermi level is small
  - With impurity band, these states can be more easily thermally occupied

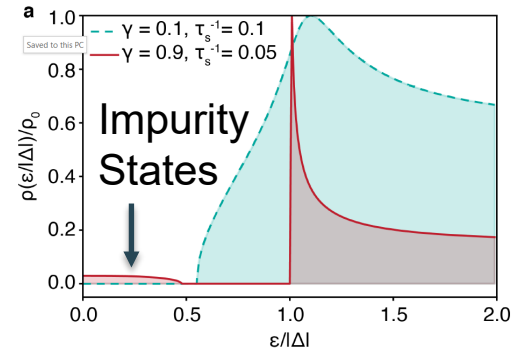


FIG. 4. (a) The normalised superconducting density of states for a Nb film with magnetic impurities in the strong (red) [ $\gamma = 0.9, \tau_s^{-1} = 0.05$ ] and weak (teal) [ $\gamma = 0.1, \tau_s^{-1} = 0.1$ ] coupling limits in the vicinity of the Fermi level. (b) The real part of the current dissipation function in the corresponding limits, where the inset shows the region near the Fermi level which participates in the dissipation. For strong coupling, the decoherence is associated to  $d$ -channel losses, while for weak coupling it is compatible with  $p$ -channel losses.

Sheridan, E., Harrelson, T. F., Sivonxay, E., Persson, K. A., Altoé, M. V. P., Siddiqi, I., Ogletree, D. F., Santiago, D. I., & Griffin, S. M. (2021). *Microscopic Theory of Magnetic Disorder-Induced Decoherence in Superconducting Nb Films*. <https://arxiv.org/abs/2111.11684>

# Proposed Link: Magnetic induced Losses

- Dilute magnetic moment in superconductors can lead to 'impurity' band development in SC density of states
- Add microscopics to phenomenological theory

Key parameters:

$J$ : magnetic coupling strength (from DFT)

$\tau_s$ : Scattering time (measured, approximated DFT)

$\Delta$ : Energy gap (measured, DFT)

## Current Dissipation Function

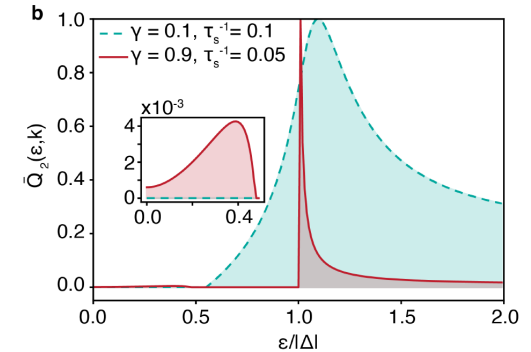
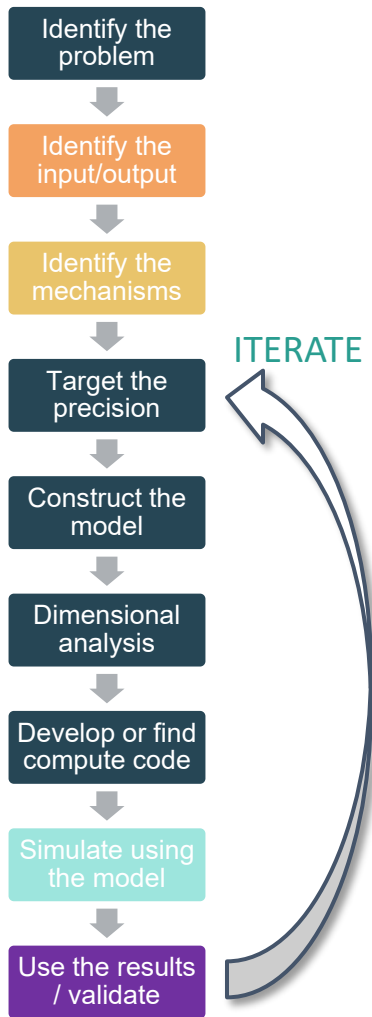


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Sheridan, E., Harrelson, T. F., Sivonxay, E., Persson, K. A., Altoé, M. V. P., Siddiqi, I., Ogletree, D. F., Santiago, D. I., & Griffin, S. M. (2021). *Microscopic Theory of Magnetic Disorder-Induced Decoherence in Superconducting Nb Films*. <https://arxiv.org/abs/2111.11684>

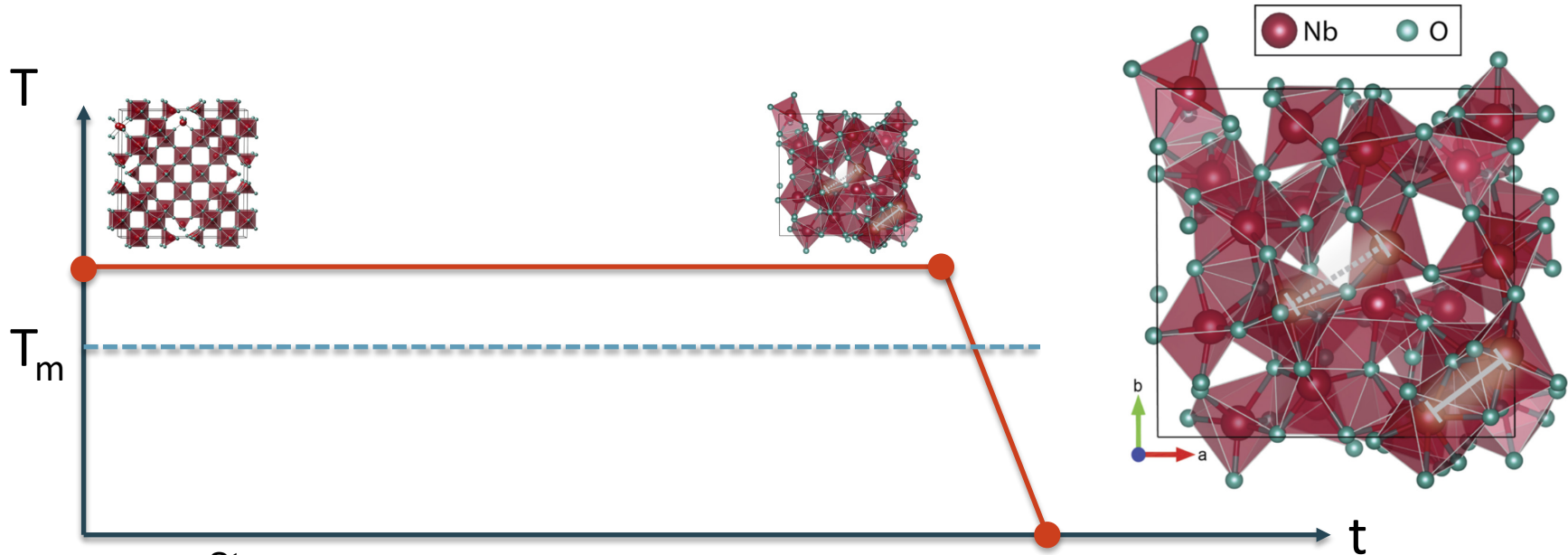
- Various NbO<sub>x</sub> structures
  - Bulk Crystalline
  - Bulk Amorphous

- Electronic structure



# Simulating Amorphous $\text{Nb}_2\text{O}_5$ with DFT

- Combine simulated-annealing process with *ab initio* molecular dynamics (AIMD)



## Steps:

1. Increase  $T$  to  $>3000\text{K}$  with expanded cell (15% expansion) (*NVE*)
2. Decrease temperature to 500 K (*NVE*)
3. AIMD at 500 K for 1-2ps (*NVT*)



# Validation of Model

- Why should we trust simulations?
  - Elucidating the local atomic and electronic structure of amorphous oxidized superconducting niobium films.  
[\*Applied Physics Letters\*, 119\(24\), 244004 \(2021\)](#)
- Good match between simulated and experimental amorphous XAS absorption spectra

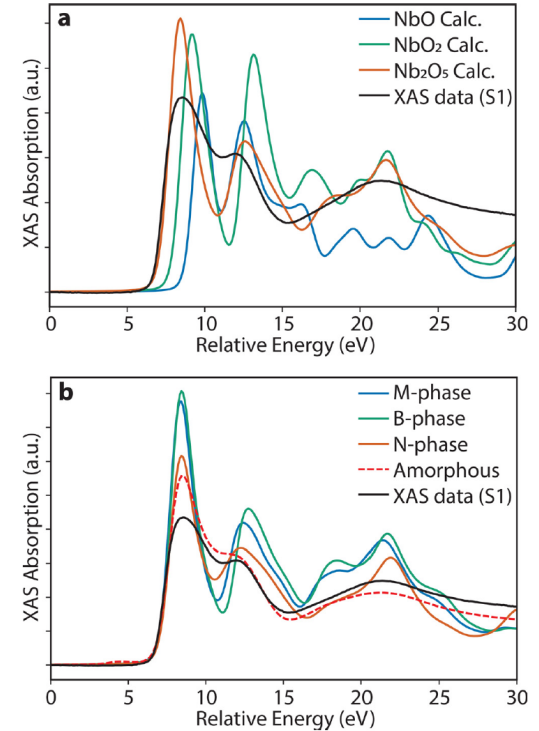


FIG. 3. (a) Calculated XAS spectra for crystalline NbO, NbO<sub>2</sub>, and Nb<sub>2</sub>O<sub>5</sub> (averaged over all three calculated phases) and XAS measurements of the O K edge of sample 1. (b) Calculated XAS spectra for crystalline Nb<sub>2</sub>O<sub>5</sub> in the M-, B-, and N-phases, a representative *ab initio* generated amorphous structure, and XAS measurements of sample 1. Experimental data are normalized by rigidly shifting the spectrum to the relative scale, removing the background signal, and normalizing the heights to be comparable to our XAS calculations.

# Implications of Magnetic-Induced Losses

- d-band moments (on Nb atoms from oxygen vacancies) lead to impurity band states in the SC DOS
  - Their thermal occupation leads to additional dissipation channels (YSR dissipation)
- p-band moments (on O atoms from oxygen interstitials) do not lead to impurity band states
- Mitigation strategies
  - Need to fill oxygen vacancies (annealing, surface passivation, etc.)
  - Remove native oxides completely

Sheridan, E., Harrelson, T. F., Sivonxay, E., Persson, K. A., Altoé, M. V. P., Siddiqi, I., Ogletree, D. F., Santiago, D. I., & Griffin, S. M. (2021). *Microscopic Theory of Magnetic Disorder-Induced Decoherence in Superconducting Nb Films*. <https://arxiv.org/abs/2111.11684>

# Papers for More Information

## Sheridan Nb<sub>2</sub>O<sub>5</sub> magnetism and structure

- Sheridan, E., Harrelson, T. F., Sivonxay, E., Persson, K. A., Altoé, M. V. P., Siddiqi, I., Ogletree, D. F., Santiago, D. I., & Griffin, S. M. (2021). *Microscopic Theory of Magnetic Disorder-Induced Decoherence in Superconducting Nb Films*. <https://arxiv.org/abs/2111.11684>
- Elucidating the local atomic and electronic structure of amorphous oxidized superconducting niobium films. *Applied Physics Letters*, 119(24), 244004 (2021)

## Nb<sub>2</sub>O<sub>5</sub> Crystalline paramagnetism and electronic structure origin of moments

- Electrical and magnetic properties of Nb<sub>2</sub>O<sub>5-δ</sub> crystallographic shear structures. *Physical Review B*, 44(13), 6973–6981 (1991)
- Fang, C. M., van Huis, M. A., Xu, Q., Cava, R. J., & Zandbergen, H. W. (2015). Unexpected origin of magnetism in monoclinic Nb<sub>12</sub>O<sub>29</sub> from first-principles calculations. *Journal of Materials Chemistry C*, 3(3), 651–657 (2015)

## Nb<sub>2</sub>O<sub>5</sub> structure in resonators

- Localization and Mitigation of Loss in Niobium Superconducting Circuits, *PRX Quantum* 3, 020312 (2022)

## Lambda Ta<sub>2</sub>O<sub>5</sub>

- Hidden Structural Order in Orthorhombic Ta<sub>2</sub>O<sub>5</sub>, *Phys. Rev. Lett.* 110, 235502 (2013)

## Stephenson Roth Phase

- Structural systematics in the binary system Ta<sub>2</sub>O<sub>5</sub>-WO<sub>3</sub>, *Acta Cryst. B* 27, 1037-1044 (1971)

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  - Nb and its hydrides
  - Nb and its oxides
- Co-Design and Integration with Experimentation – Summary

# Integrated theoretical, computational & experimental approaches

- Advance novel experimental methods guided by systems design charts

PROCESSING

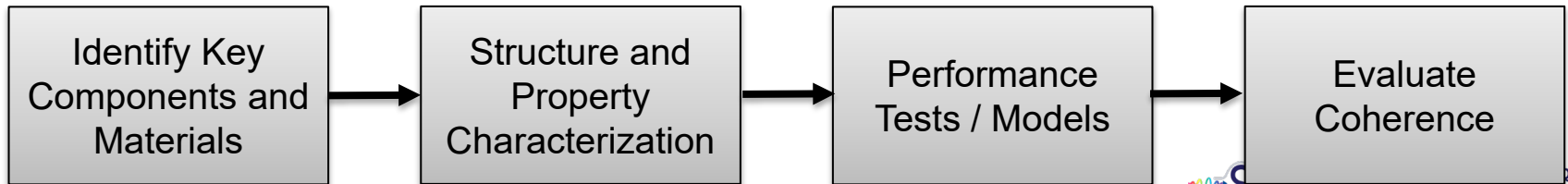
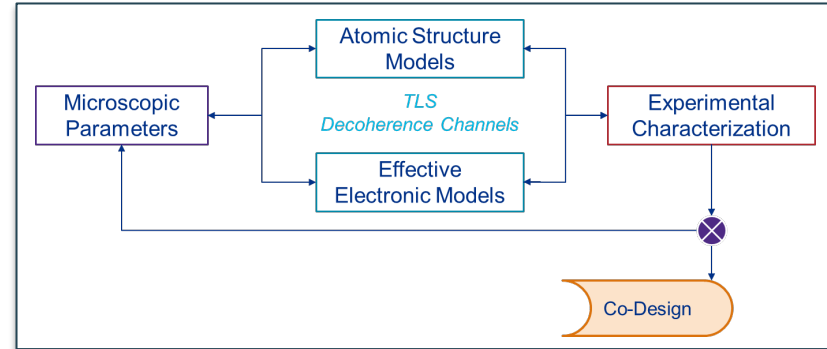
STRUCTURE

PROPERTIES

PERFORMANCE

ARCHITECTURE

- Fuse simulations at multiple length scales with phenomenological models
- Computationally assess mitigation strategies for identified decoherence mechanisms and ensure industry compatible
- Streamline data acquisition and analysis for rapid model assessments





# Thank you!

✉ [jrondinelli@northwestern.edu](mailto:jrondinelli@northwestern.edu)

🌐 <http://mtd.mccormick.northwestern.edu>

## Learn more about our work

