

Introduction to Electron Microscopy and Associated Techniques

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Outline

- History of electron microscope
- Types of electron microscopes and major instrument components
- Scanning electron microscopy (SEM)
- Focus ion beam (FIB)
- Transmission electron microscopy (TEM)
- Application of electron microscopy in superconducting qubits



I. History of Microscopes

Microscope is an instrument for viewing what is small.

 Spectacle-makers and fatherand-son team, Hans and Zacharias Janssen create the first microscope (~1590)

• **Robert Hooke** publishes "*Micrographia*" (~1667)



https://www.microscope.com/education-center/microscopes-101/history-of-microscopes https://www.sciencelearn.org.nz/resources/1692-history-of-microscopy-timeline

Modern Optical Microscopes



https://micro.magnet.fsu.edu/primer/anatomy/ bx51cutaway.html W

Proceedings of WRFPM 2014, Sendai, Japan, Sep. 14-17, 100092



Modern Microscope Component Configuration

Why Electron Microscopy

- High resolution (short wavelength, Electron: $200KV: \sim 0.025\text{\AA}$)
- Strong electron-matter interaction (large scattering cross section)
 -> An ideal tool for imaging on the atomic to nanoscale



https://ozonedepletiontheory.info/ImagePages/em-spectrum-properties/



Invention of Electron Microscopes

first TEM, 1931



Ruska (in the lab coat) and Knoll Berlin in the early 1930s

The Nobel Prize in Physics 1986









Photo from the Nobel Foun archive

archive

Ernst Ruska

Prize share: 1/2

Photo from the Nobel I Gerd Binnig Prize share: 1/4

Heinrich Rohrer Prize share: 1/4

The Nobel Prize in Physics 1986 was divided, one half awarded to Ernst Ruska "for his fundamental work in electron optics, and for the design of the first electron microscope", the other half jointly to Gerd Binnig and Heinrich Rohrer "for their design of the scanning tunneling microscope."





Nobel Prize in Chemistry 2011: Quasicrystals





Dan Shechtman "for the discovery of quasicrystals"



• The Nobel Prize in Chemistry 2011 – Quasicrystals: Dan Shechtman





Nobel Prize in Chemistry 2017: Cryo-EM



"for developing cryo-electron microscopy for the high-resolution structure determination of biomolecules in solution"



Jacques Dubochet Joachim Frank Richard Henderson Credit: © Johan Jarnestad/The Royal Swedish Academy of Sciences



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Sensitive Instrument Facility

- SEM/FIB/(S)TEM: ThermoFisher (Philips, FEI.), JEOL, Tescan, Hitachi...
- SEM (typically operated at <30 kV), TEM (typically operated between 30-300 kV)







SEM-Teneo



FIB-Helios



STEM-Tecnai



STEM -Titan Themis



II. Microscopes: Optical, TEM, SEM



https://microbiologyinfo.com/differences-between- light-microscope-and-electron-microscope/



Electron Source



- E_w: 4.5 eV
- Temperature: 2700K
- Vacuum < 10⁻³ Pa (10⁻⁵ torr)
- $d_0: 30 \sim 100 \text{ mm}$
- Brightness: $10^4 \sim 10^5 \text{ A/cm}^2 \text{sr}$
- inexpensive, but a short lifetime
- Stable high current: Suitable for X-ray analysis

LaB₆



- $E_w \downarrow : 2.5 \text{ eV}$
- Temperature ↓: 1800K
- Vacuum < 10⁻⁵ Pa (10⁻⁷ torr)
- Tip : $d_0 = 5 \sim 50 \text{ mm}$
- Brightness: $10^5 \sim 10^6 \text{ A/cm}^2 \text{sr}$

Field Emission Gun



- Electric field : $>10^7 \text{ V/cm}$
- Vacuum < 10⁻⁸ Pa (10⁻¹⁰ torr)
- $d_0 : < 5 \text{ nm}$
- Brightness (b) : $\sim 10^8 \text{ A/cm}^2 \text{sr}$
- long lifetime (>1000 hr)
- Energy spread (ΔE) < 1 eV

Goldstein et al., Scanning Electron Microscopy and X-Ray Microanalysis, Third Ed. (2003) https://www.nanoscience.com/techniques/scanning-electron-microscopy/components/



Lens

• Electromagnetic lens (for electrons)



• Use a magnetic field for focusing



• Magnetic lens behaves only like a convex lens!

D. Williams & B. Carter, Transmission Electron Microscopy: A Textbook for Materials Science, Springer (2009).



Apertures



D. Williams & B. Carter, Transmission Electron Microscopy: A Textbook for Materials Science, Springer (2009).

- Regulate electron/ion beam
 - Spot size
 - Convergence angle
 - Current
- Moveable (adjustable) in column



Image Recording System



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III. Scanning Electron Microscopy (SEM)

History

1942 : Ernst Ruska, Scanning electron microscope1973 : John Venables and CJ Harland, Electron backscatter patterns observed in SEM.





http://emicroscope.blogspot.com/2011/03/scanning-electron-microscope-sem-how-it.html



Objective Lens in SEM



Goldstein et al., Scanning Electron Microscopy and X-Ray Microanalysis, Third Ed. (2003)



Lens Aberration



• Nonuniformity in the magnetic field

• Energy spread in electrons.

• Imperfection in axial asymmetry of the lens



Astigmatism

With astigmatism





Exact focus

Under focus

- Image blur at an overfocus position (a) and an underfocus position (c) appear in the two orthogonal directions (indicated by arrows).
- At the exact focus position (b), the image blur is isotropic.

https://www.jeol.com/words/semterms/



Secondary and Backscattered Electrons



Schematic of an electron beam interaction

https://www.nanoscience.com/techniques/scanning-electron-microscopy/components/

Secondary Electron (SE)

- Electrons from the specimen due inelastic scattering
- Weakly bound conduction-band electrons (metals) or outer-shell valence electrons (semiconductors and insulators).

Backscattered Electron (BSE)

- Re-emergent primary electron beam
- usually by multiple elastic scattering events → cumulative effect

Characteristic X-ray

• Electrons from the specimen due inelastic scattering



Secondary and Backscattered Electrons

Backscattered Electron (BSE)

- Sensitive to average atomic number
- A few hundred to several keV

Secondary Electron (SE)

- Sensitive to surface morphology
- A few to a few hundred eV
- Strong signal



Schematic of a backscattered electron detector (BSD) for scanning electron microscopy (SEM).

https://www.nanoscience.com/techniques/scan ning-electron-microscopy/components/



Secondary electron image Backscattered electron image the letter part of a carbon-coated name card

https://www.jeol.com/words/semterms/20190129.113542.php#gsc.tab=0



Electrons Backscattered Diffraction (EBSD)

Bragg's law: $n \lambda = 2d \sin \theta_{\rm B}$



https://nano.oxinst.com/products/ebsd/

- Diffraction pattern created by Backscattered electron
- Bright bands corresponding to (hkl) plane



Posen et. al, *Supercond. Sci. Technol.* **30** (2017) 033004.



Energy-dispersive X-ray Spectroscopy



• Micro-scale chemical composition analysis



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IV. Focus Ion Beam (FIB)

History

- **1975**, Krohn and Ringo, Liquid metal ion sources (LMIS)
- **1978** Seliger et. al., the first FIB based on an LMIS
 - For ion implantation without a mask on semiconductor micro device.
 - Nano-micro size material fabrication.

A high-intensity scanning ion probe with submicrometer spot size

R. L. Seliger, J. W. Ward, V. Wang, and R. L. Kubena



FIG. 1. Schematic of the 57-kV gallium scanning ion probe.

https://en.wikipedia.org/wiki/Focused_ion_beam



Electron vs. Ion

	ELECTRONS	IONS	
Source size (weight)	Small	Big	
Sample interaction	Mostly inner shell	Mostly outer shell	
Interaction Volume	Broad	Narrow	
Source speed	Fast	Slow	
Charge	Negative	Positive	
Lens type	electromagnetic lens	electrostatic lens	



Ion Beam Column



https://www.orsayphysics.com/what-is-fib

- SEM and FIB can only scan alternatively.

- https://analyticalscience.wiley.com/do/10.1002/was.00070009/
 - MATERIALS & SYSTEMS CENTER

Ion Beam Column

Ga - LMIS



• Pico to a few nanoamperes, larger beam size, sample damage

Focused Ion Beam Micro-structuring, Maja D. Bachmann (2020)

Xe – RF plasma



• Nano to microamperes, smaller beam size (higher resolution), less damage, more expansive



Lens

• Electrostatic lens (for ion)



• Use an electric field to bend the trajectory of the ions

https://www.matsusada.com/column/sem-tech2.html

• Electromagnetic lens (for electrons)



• Use a magnetic field for focusing

D. Williams & B. Carter, Transmission Electron Microscopy: A Textbook for Materials Science, Springer (2009).



Imaging, Milling, Deposition



Steve Reyntjens and Robert Puers 2001 J. Micromech. Microeng. 11 287

- Many precursors (e.g. W, Pt, Au, Al, and Cu).
- Deposited films contain both the desired metals, impurities, and source ions.



Applications: Sample Preparation



• TEM sample prepared by FIB from JJ.



Applications: Sample Preparation



Fig. 1.8 Annular milling stage of atom probe specimen preparation. The size of the annular mask and the ion current are decreased as shown during the annular milling procedure [39]

Miller, M.K., Russell, K.F., Thompson, K., Alvis, R., Larson, D.J.: Review of atom probe FIB based specimen preparation methods. Microsc. Microanal. 13 (6), 428–436 (2007)

• Atom probe tomography: needle shape sample



Applications: Tomography



• Taking SEM image after milling with FIB, slice by slice.

Advances in Materials Science and Engineering, Volume 2019, Article ID 8680715, 8 pages https://doi.org/10.1155/2019/8680715



Applications: Tomography



SUPERCONDUCTING QUANTUM MATERIALS & SYSTEMS CENTER

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Improvement of Resolution

- Increasing beam voltage
- Correcting electron-optical aberrations



D. A. Muller, Nature Mater. 8, 263-270 (2009). Adapted.



TEM: Column





TEM: Beam and Sample Interaction

 $d \sim \lambda$

- Eyes: $\sim 0.1-0.2 \text{ mm}$
- Visible light microscope: ~300 nm
- Electron: 200KV ~ **0.025**Å
- resolution, chemistry, strain, magnetic/electric fields, bandgap, ...

$\Psi_i(\boldsymbol{r}) = A_i(\boldsymbol{r}) \exp[i\varphi_i(\boldsymbol{r})]$



D. Williams & B. Carter, *Transmission Electron Microscopy: A Textbook for Materials Science*, Springer (2009).



TEM vs STEM



Conventional TEM

- Planar illumination,
- Multi-beam scattering.

Scanning TEM

- Scans a fine probe;
- Electrons scattered to annular detectors.



Diffraction and Imaging



diffraction contrast imaging

high-resolution TEM imaging



Z-contrast Imaging with EELS and EDS



• Combination of high-resolution imaging with subnanometer chemical analysis.



EDS and EELS

- Ionization process (two steps): an inner-shell electron is ejected and replaced by an outer-shell electron.
- Energy difference between the different shell levels is released and X-ray is generated.
- The primary electron is inelastically scattered by sample elements and loss some energy.
- The energy loss is measured and analyzed to obtain information about the sample's electronic properties





Comparison of EELS and EDS

• EDS

- Low collection efficiency (small solid angle)
- Good peak/background ratio
- Good for high-Z elements
- No banding information
- EELS
 - High collection efficiency (>90%)
 - Poor peak/background ratio
 - Good for low-Z elements
 - Banding information
 - High spatial resolution (<1 nm)
 - Only for TEM & thin samples



NiO: EDS vs. EELS



Transmission Electron Microscopy (TEM)

• **Diffraction contrast imaging** overall microstructure, defects



Zhou, et. al., Appl. Phys Lett, 88 (2006) 231906.

• Electron holography nanoscale electric/magnetic fields



Zhou, et. al., Appl. Phys. Lett, 99, (2011) 101905.

• High resolution TEM atomic arrangement



Zhou, et. al., J. Crystal Growth, 311 (2009) 1456.

• Lorentz microscopy magnetic domain imaging



Zhou, et. al., Acta Materialia, **74**, (2014) 224.

• **Convergent beam electron diffraction** crystallographic analysis; lattice parameter determination



Zhou, et. al., J. Crystal Growth, 311 (2009) 4162.

Energy-filtered imaging (EFTEM) diffraction, composition mapping



Mendoza-Garcia, et. al, Angew. Chem., 127 (2015), 9778.

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Scanning Transmission Electron Microscopy

(aberration-corrected) (Scanning) Transmission electron microscopy (STEM) ٠

atomic arrangement imaging, orientation/strain/ordering mapping, electric/magnetic field, ...

- High-angle annular dark field (HAADF, "Z"- contrast) / annular bright filed imaging 0 (ABF)...
- 4D-STEM: 2D probe image + 2D probe position 0
- Nanospectroscopy (EELS, EDS) ٠

determination of local composition, bonding states, bandgap ...

- energy dispersive X-ray spectroscopy 0
- electron energy loss spectroscopy 0





T. Ma,...L. Zhou, Physical Review Letters, 123 (2019) 217602.



In-situ/operando Electron Microscopy

heating and/or biasing





T. Ma, ...L. Zhou, CHEM, 5 (2019) 1235.

cryo/cooling





Peng, ...Zhou, Nano Letters, 18 (2018) 7777.

mechanical force







Kim, ...Zhou, manuscript in preparation.



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Coherence Limiting Factors

- Major coherence loss source: microwave dissipation by two-level system (TLS) defects at interfaces, such as the metal/air, metal/substrate, and substrate/air interface.
- TLS: individual atoms tunneling between two local energy minimum within the amorphous part of the device.



Nb Resonator: Formation of NbOx

- Niobium: cavity, readout resonators, capacitors, and interconnects.
- Nb surface oxide: increase surface resistance, ~5-6 nm thick NbOx.
- Formation of Nb-hydride (non-superconducting at T>1.3K): high field Q slope





Barkov et al., J. Appl. Phys. 114, 164904 (2013)

- What is the structure and formation mechanism of NbO_x ?
- Why can we mitigate the impact of NbO_x and/or hydride by
 - annealing?
 - N-doping?



Nb Resonator: Annealing

Heating under UHV eliminates Nb₂O₅, improves the quality factor of cavities.
reduce surface resistance and TLS by eliminating the surface oxidation layer.



A. Romanenko et al., Phys. Rev. Applied 13 (2020)

• Microstructural evolution of the oxide layer? *In-situ* heating in TEM





Microstructures of Nb Film



- Nb film deposited on Si [100] substrate by HiPIMS.
- Nb film has thickness of ~ 160 nm, lateral grain size of ~ 50 nm.
- Columnar structures with [110] texture.





Decomposition of Nb₂O₅ During Heating



- *In-situ* heating up to 360 °C for 30 min at 5 x 10^{-5} mTorr.
- NbO_x layer decomposed after heating.



Jin-Su Oh, et.al., Applied Surface Science, (2023), 157297.

Structure of NbO_x After Heating



• Nanograins in the NbO_x region after heating is indexed as *fcc*-Nb.







Decomposition of Nb₂O₅ During Heating



at%	Nb	Ο
Region 1	35.37	64.63
Region 2	91.52	8.48
Region 3	60.98	39.02
Region 4	98.34	1.66

- Oxygen content significantly decreased after heating.
- Thickness of oxide layer decreased.
- Original interface remains almost unchanged.





Structure of NbO_x After Heating

Jin-Su Oh, et.al., Applied Surface Science, (2023), 157297.



Structure of NbO_x After Heating



• The amorphous niobium oxide layer decomposed into nanocrystalline FCC Nb in amorphous NbO matrix.





Nb Resonator: Nitrogen-doping

- Oxides and hydrides still formed after baking or polishing.
- Nitrogen surface treatment improves the quality factor of niobium radio frequency cavities beyond the expected limit for niobium.



Table 1. List of SRF niobium cavities used in the study and respective parameters and performance post nitrogen heat treatment, for
different amount of material removal via EP.

Cavity ID	Туре	Treatment	Subsequent cumulative material removal via EP for each RF test (µm)	Highest Q measured at $T = 2$ K (correspondent to material removal in bold); max Q value located at $\sim B_{pk}$ (mT)
TE1AES016	Large-grain EP	$1000 ^{\circ}\text{C}$ 1 h with $\sim 2 \times 10^{-2}$ Torr p.p. nitrogen	80	$(7.4 \pm 1.4) \times 10^{10}, 40 \text{ mT}$
FE1AES003	Fine-grain BCP	1000 °C 10 min with $\sim 2 \times 10^{-2}$ Torr p.p. nitrogen	10 , 60	$(4.1 \pm 0.6) \times 10^{10}, 50 \text{ mT}$
TE1AES005	Fine-grain EP	$1000 \degree C 1$ h with $\sim 2 \times 10^{-2}$ Torr p.p. nitrogen	20, 40, 80	$(4.2 \pm 0.13) \times 10^{10}, 70 \text{ mT}$
TE1NR005	Fine-grain EP	800 °C 3 h in UHV, followed by 800 °C 10 min with $\sim 2 \times 10^{-2}$ Torr p.p. nitrogen	5, 15	$(5.3 \pm 0.85) \times 10^{10}, 70 \text{ mT}$

A. Grassellino et al., Supercond. Sci. Technol. 26 (2013) 102001.

• Structural changes that bring Q improvement?





Nb Resonator: Nitrogen-doping

- Nitrogen doping introduces a compressive strain close to the Nb/air interface.
- The strain impedes the diffusion of oxygen and hydrogen atoms.

Xiaotian Fang, Jin-Su Oh, Matt Kramer, A. Romanenko, A. Grassellino, John Zasadzinski, Lin Zhou, Materials Research Letter, 11, 108 (2023)



Formation of NbOx





- The NbOx/Nb interface is faceted on {100} and {110} planes.
- Nb lattice is distorted close to the NbOx/Nb interface.
- Interstitial oxygen at octahedral center of Nb bcc lattice(indicated by blue arrows).
- Suboxide(s) at NbOx/Nb interface has the potential of magnetic moments as a possible two-level-system and pairbreaking source.

Xiaotian Fang, Jin-Su Oh, Matt Kramer, A. Romanenko, A. Grassellino, John Zasadzinski, Lin Zhou, Materials Research Letter, 11, 108 (2023)



Nb Resonator: Nitrogen-doping



- The NbOx layer is ~4.8nm thick, ~20% thinner than non-N-doped sample.
- NbOx/Nb interface is faceted on {100} and {110} planes.
- Suboxide(s) at NbOx/Nb interface has the potential of magnetic moments as possible two-levelsystem and pairbreaking source.

Xiaotian Fang, Jin-Su Oh, Matt Kramer, A. Romanenko, A. Grassellino, John Zasadzinski, Lin Zhou, Materials Research Letter, 11, 108 (2023)



Nb resonator: TEM Sample Preparation



• Surface protection is required before FIB TEM sample preparation for Nb.



Josephson Junction: Two-angled Al Evaporated Layers



- Aluminum is a common choice: ease of creating a high-quality oxide, a low melting point (660 °C), making it versatile.
- Two angled Al evaporated layers on Si with an oxidation step in between.



Josephson Junction: Fabrication Defects



R. Kim, et al, Communications Physics, (2023) 6, 147.



- A notch and small gap is formed between the upper Al and upper JJ lead.
- A gap partially filled with oxides is on one side of the JJ.
- The gap and notch structures are potential superconducting weak link that may impact coherence.



Conclusion

• Electron microcopy and associated techniques provide critical information and guidance for material improvement, fabrication optimization, and device design modification for qubit.



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