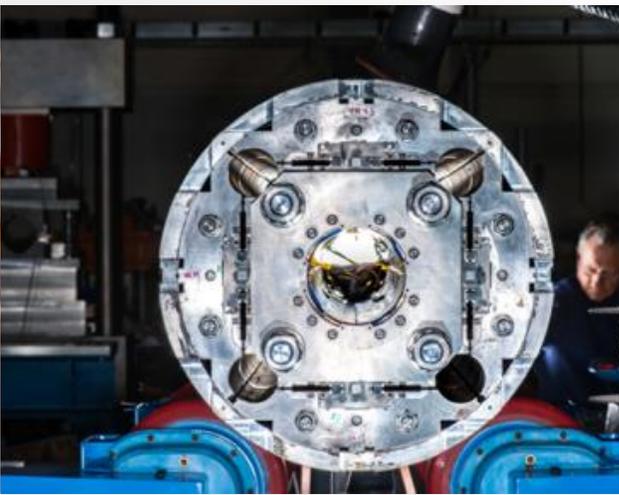




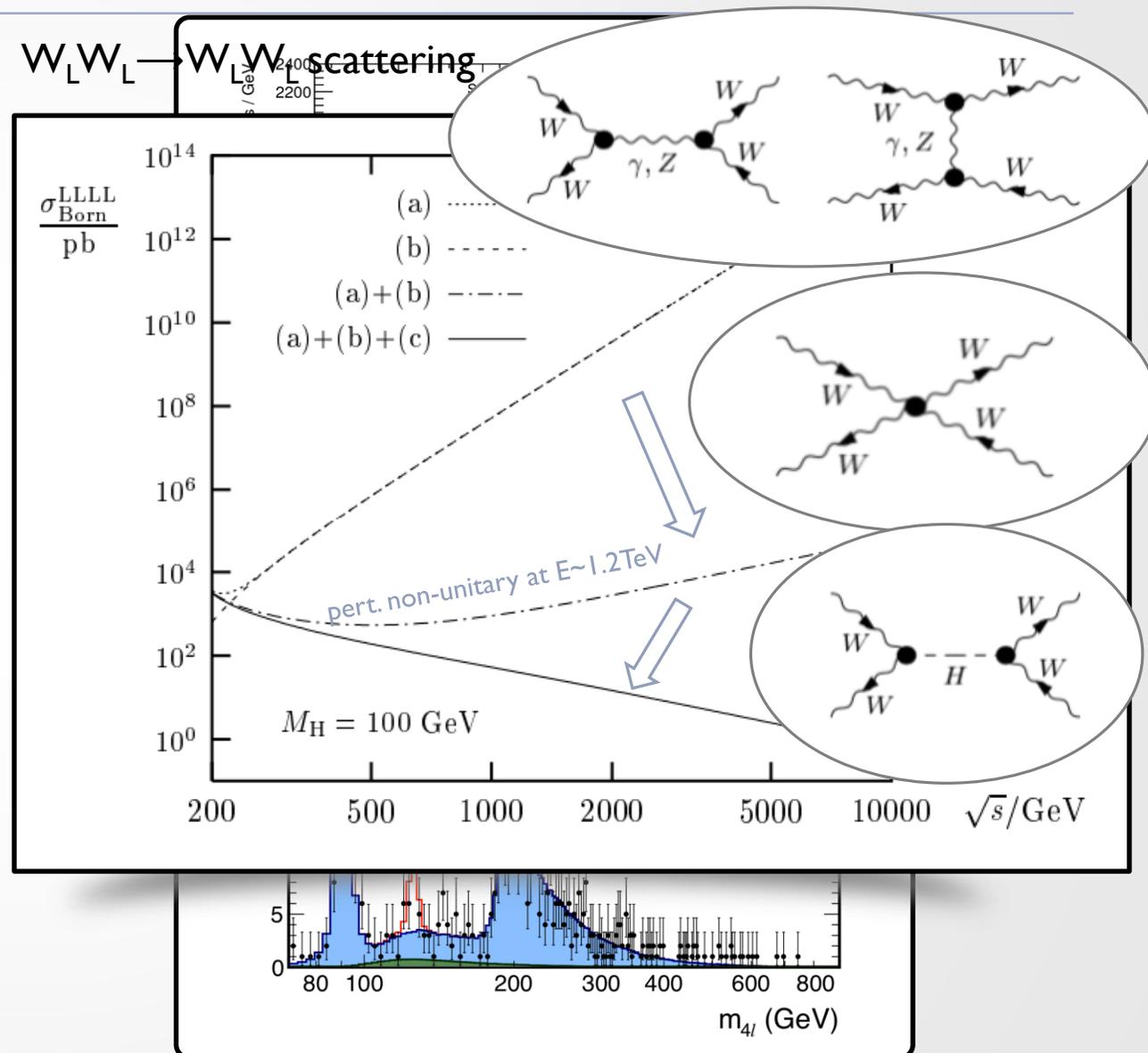
MACHINE-LEARNING & UNCONVENTIONAL APPROACHES TO EFFECTIVE FIELD THEORIES AT THE LHC

R. Schöfbeck (HEPHY Vienna, FNAL), Sept. 8th, 2023, Fermilab Wine and Cheese Seminar



A LONG ROAD

- Early LHC physics goals (1984!)
 - origin of **electroweak symmetry** breaking & SUSY
 - discover **Higgs boson** via gluon-induced top loop
 - top quark discovery (1995) in resonant production
- LHC no-loose theorem: $W_L W_L \rightarrow W_L W_L$
 - resonant Higgs $\lesssim 1.2$ TeV or strongly interacting BSM
- ATLAS/CMS (2012) Higgs boson discovery (125 GeV)
 - We understand *something* about the TeV scale
- What about other resonances?



Model	Signature	$\int \mathcal{L} dt [\text{fb}^{-1}]$	Mass limit					
Inclusive Searches	$\tilde{q}\tilde{q}, \tilde{q} \rightarrow q\tilde{\chi}_1^0$	0 e, μ mono-jet	2-6 jets 1-3 jets	E_T^{miss} E_T^{miss}	139 139	\tilde{q} [1x, 8x Degen.] \tilde{q} [8x Degen.]		
	$\tilde{g}\tilde{g}, \tilde{g} \rightarrow q\tilde{q}\tilde{\chi}_1^0$	0 e, μ	2-6 jets	E_T^{miss}	139	\tilde{g} \tilde{g}		
	$\tilde{g}\tilde{g}, \tilde{g} \rightarrow q\tilde{q}W\tilde{\chi}_1^0$	1 e, μ	2-6 jets	E_T^{miss}	139	\tilde{g}		
	$\tilde{g}\tilde{g}, \tilde{g} \rightarrow q\tilde{q}(\ell\ell)\tilde{\chi}_1^0$	$ee, \mu\mu$	2 jets	E_T^{miss}	139	\tilde{g}		
	$\tilde{g}\tilde{g}, \tilde{g} \rightarrow qqWZ\tilde{\chi}_1^0$	0 e, μ SS e, μ	7-11 jets 6 jets	E_T^{miss} E_T^{miss}	139 139	\tilde{g} \tilde{g}		
	$\tilde{g}\tilde{g}, \tilde{g} \rightarrow t\tilde{\chi}_1^0$	0-1 e, μ SS e, μ	3 b 6 jets	E_T^{miss}	79.8 139	\tilde{g} \tilde{g}		
3 rd gen. squarks direct production	$\tilde{b}_1\tilde{b}_1$	0 e, μ	2 b	E_T^{miss}	139	\tilde{b}_1 \tilde{b}_1		
	$\tilde{b}_1\tilde{b}_1, \tilde{b}_1 \rightarrow b\tilde{\chi}_2^0 \rightarrow bh\tilde{\chi}_1^0$	0 e, μ 2 τ	6 b 2 b	E_T^{miss} E_T^{miss}	139 139	\tilde{b}_1 Forbidden \tilde{b}_1		
	$\tilde{t}_1\tilde{t}_1, \tilde{t}_1 \rightarrow t\tilde{\chi}_1^0$	0-1 e, μ	≥ 1 jet	E_T^{miss}	139	\tilde{t}_1		
	$\tilde{t}_1\tilde{t}_1, \tilde{t}_1 \rightarrow Wb\tilde{\chi}_1^0$	1 e, μ	3 jets/1 b	E_T^{miss}	139	\tilde{t}_1 Forbidden		
	$\tilde{t}_1\tilde{t}_1, \tilde{t}_1 \rightarrow \tilde{\tau}_1 b\nu, \tilde{\tau}_1 \rightarrow \tau\tilde{G}$	1-2 τ	2 jets/1 b	E_T^{miss}	139	\tilde{t}_1 Forbidden	1.4	$m(\tilde{\tau}_1)=800 \text{ GeV}$
	$\tilde{t}_1\tilde{t}_1, \tilde{t}_1 \rightarrow c\tilde{\chi}_1^0 / \tilde{c}\tilde{c}, \tilde{c} \rightarrow c\tilde{\chi}_1^0$	0 e, μ 0 e, μ	2 c mono-jet	E_T^{miss} E_T^{miss}	36.1 139	\tilde{c} \tilde{t}_1	0.85 0.55	$m(\tilde{\chi}_1^0)=0 \text{ GeV}$ $m(\tilde{t}_1, \tilde{c})-m(\tilde{\chi}_1^0)=5 \text{ GeV}$
	$\tilde{t}_1\tilde{t}_1, \tilde{t}_1 \rightarrow t\tilde{\chi}_2^0, \tilde{\chi}_2^0 \rightarrow Z/h\tilde{\chi}_1^0$	1-2 e, μ	1-4 b	E_T^{miss}	139	\tilde{t}_1	0.067-1.18	$m(\tilde{\chi}_2^0)=500 \text{ GeV}$
$\tilde{t}_2\tilde{t}_2, \tilde{t}_2 \rightarrow \tilde{t}_1 + Z$	3 e, μ	1 b	E_T^{miss}	139	\tilde{t}_2 Forbidden	0.86	$m(\tilde{\chi}_1^0)=360 \text{ GeV}, m(\tilde{t}_1)-m(\tilde{\chi}_1^0)=40 \text{ GeV}$	
Long-lived particles	Direct $\tilde{\chi}_1^+ \tilde{\chi}_1^-$ prod., long-lived $\tilde{\chi}_1^\pm$	Disapp. trk	1 jet	E_T^{miss}	139	$\tilde{\chi}_1^\pm$ $\tilde{\chi}_1^\pm$	0.66 0.21	Pure Wino Pure higgsino
	Stable \tilde{g} R-hadron	pixel dE/dx		E_T^{miss}	139	\tilde{g}	2.05	
	Metastable \tilde{g} R-hadron, $\tilde{g} \rightarrow qq\tilde{\chi}_1^0$	pixel dE/dx		E_T^{miss}	139	\tilde{g} [$\tau(\tilde{g})=10 \text{ ns}$]	2.2	$m(\tilde{\chi}_1^0)=100 \text{ GeV}$
	$\tilde{\ell}\tilde{\ell}, \tilde{\ell} \rightarrow \ell\tilde{G}$	Displ. lep		E_T^{miss}	139	$\tilde{e}, \tilde{\mu}$ $\tilde{\tau}$	0.7 0.34	$\tau(\tilde{\ell}) = 0.1 \text{ ns}$ $\tau(\tilde{\ell}) = 0.1 \text{ ns}$
		pixel dE/dx		E_T^{miss}	139	$\tilde{\tau}$	0.36	$\tau(\tilde{\ell}) = 10 \text{ ns}$
RPV	$\tilde{\chi}_1^+ \tilde{\chi}_1^+ / \tilde{\chi}_1^0, \tilde{\chi}_1^+ \rightarrow Z\ell \rightarrow \ell\ell\ell$	3 e, μ		E_T^{miss}	139	$\tilde{\chi}_1^+ / \tilde{\chi}_1^0$ [BR($Z\tau$)=1, BR(Ze)=1]	0.625 1.05	Pure Wino
	$\tilde{\chi}_1^+ \tilde{\chi}_1^+ / \tilde{\chi}_2^0 \rightarrow WW/Z\ell\ell\ell\nu\nu$	4 e, μ	0 jets	E_T^{miss}	139	$\tilde{\chi}_1^+ / \tilde{\chi}_2^0$ [$\lambda_{133} \neq 0, \lambda_{12k} \neq 0$]	0.95 1.55	$m(\tilde{\chi}_1^0)=200 \text{ GeV}$
	$\tilde{g}\tilde{g}, \tilde{g} \rightarrow qq\tilde{\chi}_1^0, \tilde{\chi}_1^0 \rightarrow qq\tilde{q}$		4-5 large jets		36.1	\tilde{g} [$m(\tilde{\chi}_1^0)=200 \text{ GeV}, 1100 \text{ GeV}$]	1.3 1.9	Large λ'_{12}
	$\tilde{u}, \tilde{t} \rightarrow t\tilde{\chi}_1^0, \tilde{\chi}_1^0 \rightarrow tbs$		Multiple		36.1	\tilde{t} [$\lambda'_{323}=2e-4, 1e-2$]	0.55 1.05	$m(\tilde{\chi}_1^0)=200 \text{ GeV}, \text{bino-like}$
	$\tilde{u}, \tilde{t} \rightarrow b\tilde{\chi}_1^+, \tilde{\chi}_1^+ \rightarrow bbs$		$\geq 4b$		139	\tilde{t} Forbidden	0.95	$m(\tilde{\chi}_1^+)=500 \text{ GeV}$
	$\tilde{t}_1\tilde{t}_1, \tilde{t}_1 \rightarrow bs$		2 jets + 2 b		36.7	\tilde{t}_1 [qq, bs]	0.42 0.61	
	$\tilde{t}_1\tilde{t}_1, \tilde{t}_1 \rightarrow q\ell$	2 e, μ 1 μ	2 b DV		36.1 136	\tilde{t}_1 \tilde{t}_1 [$1e-10 < \lambda'_{23k} < 1e-8, 3e-10 < \lambda'_{23k} < 3e-9$]	1.0 0.4-1.45 1.6	BR($\tilde{t}_1 \rightarrow b\ell/b\mu$)>20% BR($\tilde{t}_1 \rightarrow q\mu$)=100%, $\cos\theta_t=1$
	$\tilde{\chi}_1^+ / \tilde{\chi}_2^0 / \tilde{\chi}_1^0, \tilde{\chi}_{1,2}^0 \rightarrow tbs, \tilde{\chi}_1^+ \rightarrow bbs$	1-2 e, μ	≥ 6 jets		139	$\tilde{\chi}_1^0$	0.2-0.32	Pure higgsino

- No tell-tale signals from model-dependent searches
 - push mass scale into the multi-TeV regime
 - we chart the TeV scale using SUSY models
- How can we
 - chart the TeV scales using symmetries
 - how to use ML to squeeze the data "optimally"

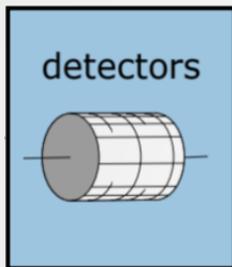
*Only a selection of the available mass limits on new states or phenomena is shown. Many of the limits are based on simplified models, c.f. refs. for the assumptions made.



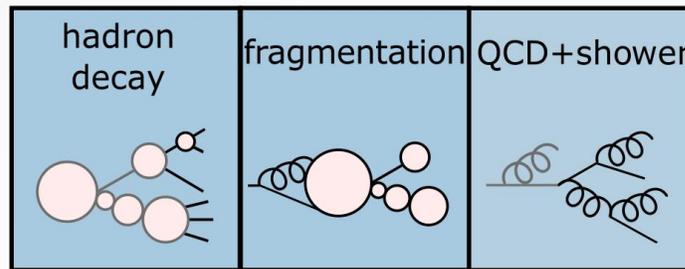
A CONDITIONAL SEQUENCE

adapted from [arXiv:2211.01421](https://arxiv.org/abs/2211.01421)

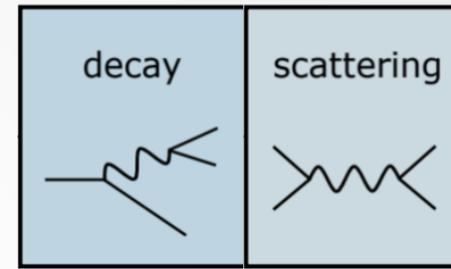
analysis level



particle level



parton level



theory



$$p(x_{\text{det}}|\theta) = \int dz_{\text{ptl}} \int dz_p [\dots] p(x_{\text{det}}|z_{\text{ptl}}) p(z_{\text{ptl}}|z_p) p(z_p|\theta)$$

Squeezing with **Neyman-Pearson Lemma**

Likelihood ratio is the optimal statistic

$$\text{LR}(x_{\text{det}}|H_1, H_2) \equiv \frac{p(x_{\text{det}}|\theta = H_1)}{p(x_{\text{det}}|\theta = H_2)}$$

Would like to evaluate for varying θ, ν

→ “Simulation based inference”

(fit of a function)

1. Generators run in ‘forward mode’

2. Pick up uncertainties

$$p(z_{\text{ptl}}|z_p, \nu_{\text{th.}})$$

$$p(x_{\text{det}}|z_{\text{ptl}}, \nu_{\text{exp.}})$$

$$\frac{1}{\sigma_\theta} \frac{d\sigma_\theta}{dz_p} = p(z_p|\theta)$$

parton-level
differential cross section
~ pdf

~~$p(\theta)$~~

θ NOT
stochastic;
Frequentist

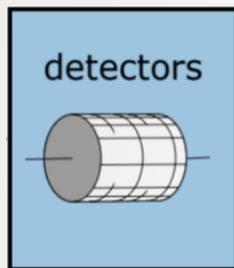
tagging: $z_p \sim \{0, 1\}$

EFT: $\sim \mathbb{R}^{20} - \mathbb{R}^{50}$

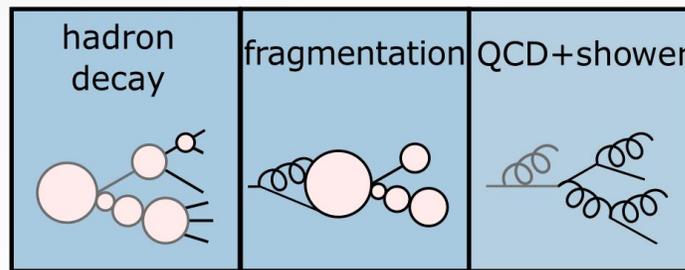
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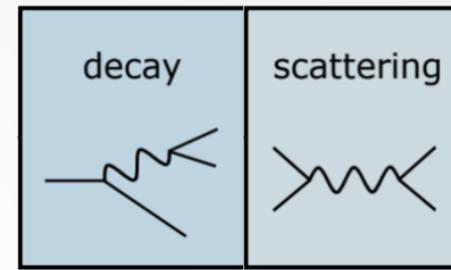
analysis level



particle level



parton level



theory



$$p(x|is\tau = 1) = \int dz_{ptl} [\dots] p(x_{det}|z_{ptl})$$

$$p(z_{ptl}|z_p)$$

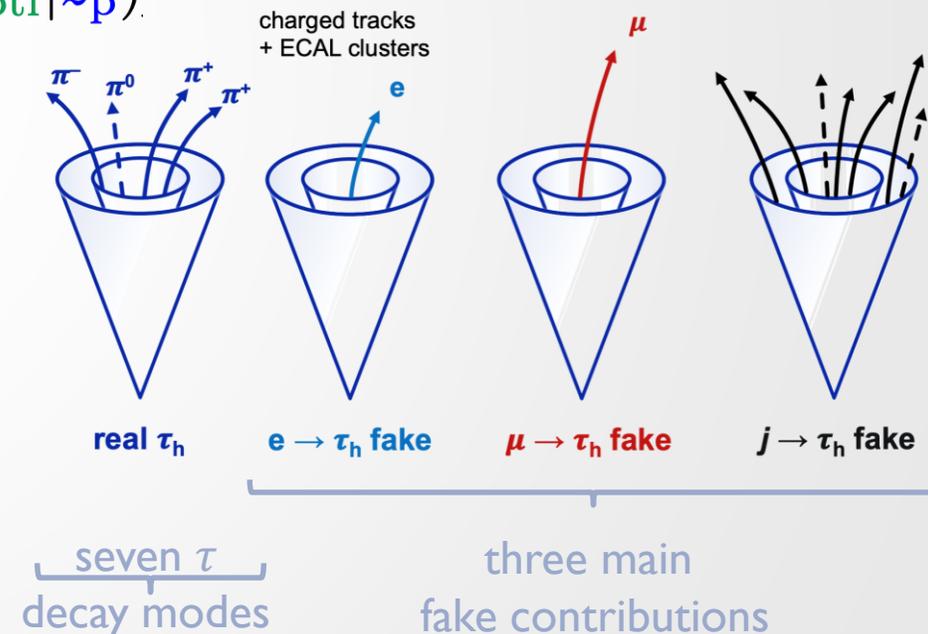
Divide & conquer: Assume some degree of factorization

Identify τ leptons: $z_p \rightarrow is\tau \in \{0,1\}$, $x_{det} \rightarrow x \in \tau$ candidate

$$L = -\langle \log f(x) \rangle_{is\tau=1} + \langle f(x) - 1 \rangle_{is\tau \neq 1} = \sum_{is\tau} \int dx \dots$$

$$f^*(x) = \frac{p(x|is\tau = 1)}{p(x|is\tau \neq 1)}$$

Learn LR by classification;
“Likelihood ratio trick”
achieve NP optimality;



CMS [[DeepTau](#)] algorithm: identifies τ_h

DEEPTAU: τ IDENTIFICATION IN CMS



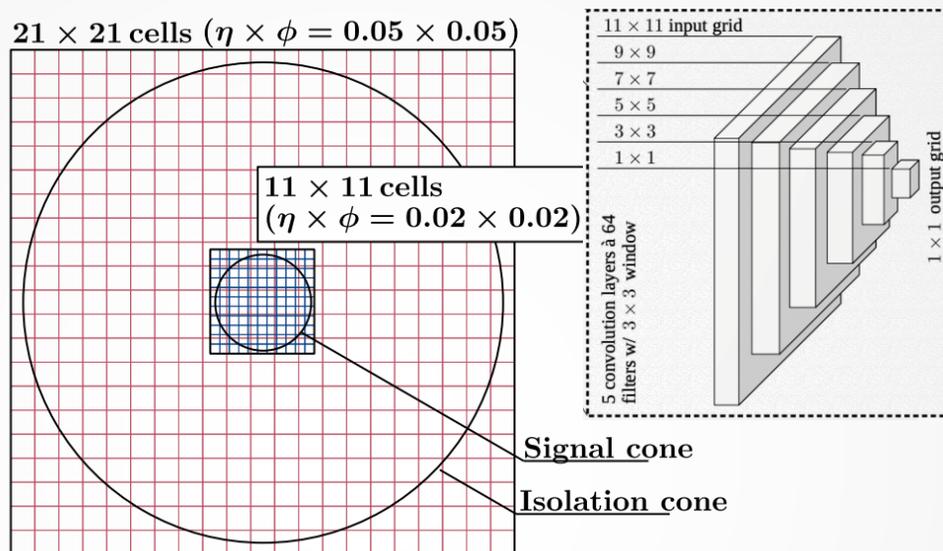
JINST 17 (2022) P07023

Inputs:

- High-level *cand-features* (DNN)
- *Feature maps of constituents* on two grids, 106k inputs

Convolutional layers

- Read out particles on grid
- Exploiting translation symmetry
- fed into subsequent DNNs



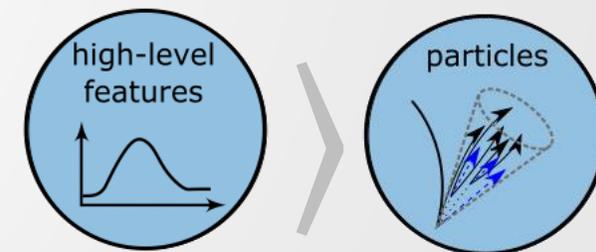
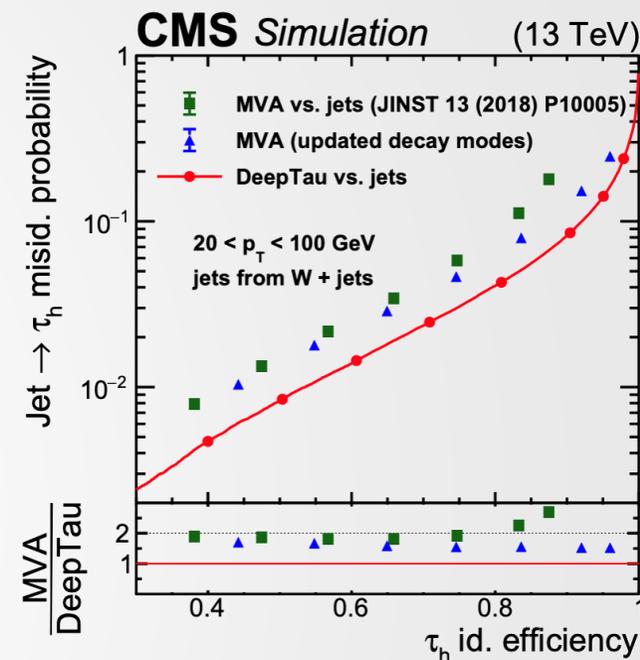
- training: 1.1M parameters
- 140M τ , 690 hrs

- Result: **Factor of two** in background suppression from using *constituent-level inputs*

- Make **low-level inputs** approachable via symmetries

- Similarly, all other taggers: RNNs, graphNNs, transformers, ...

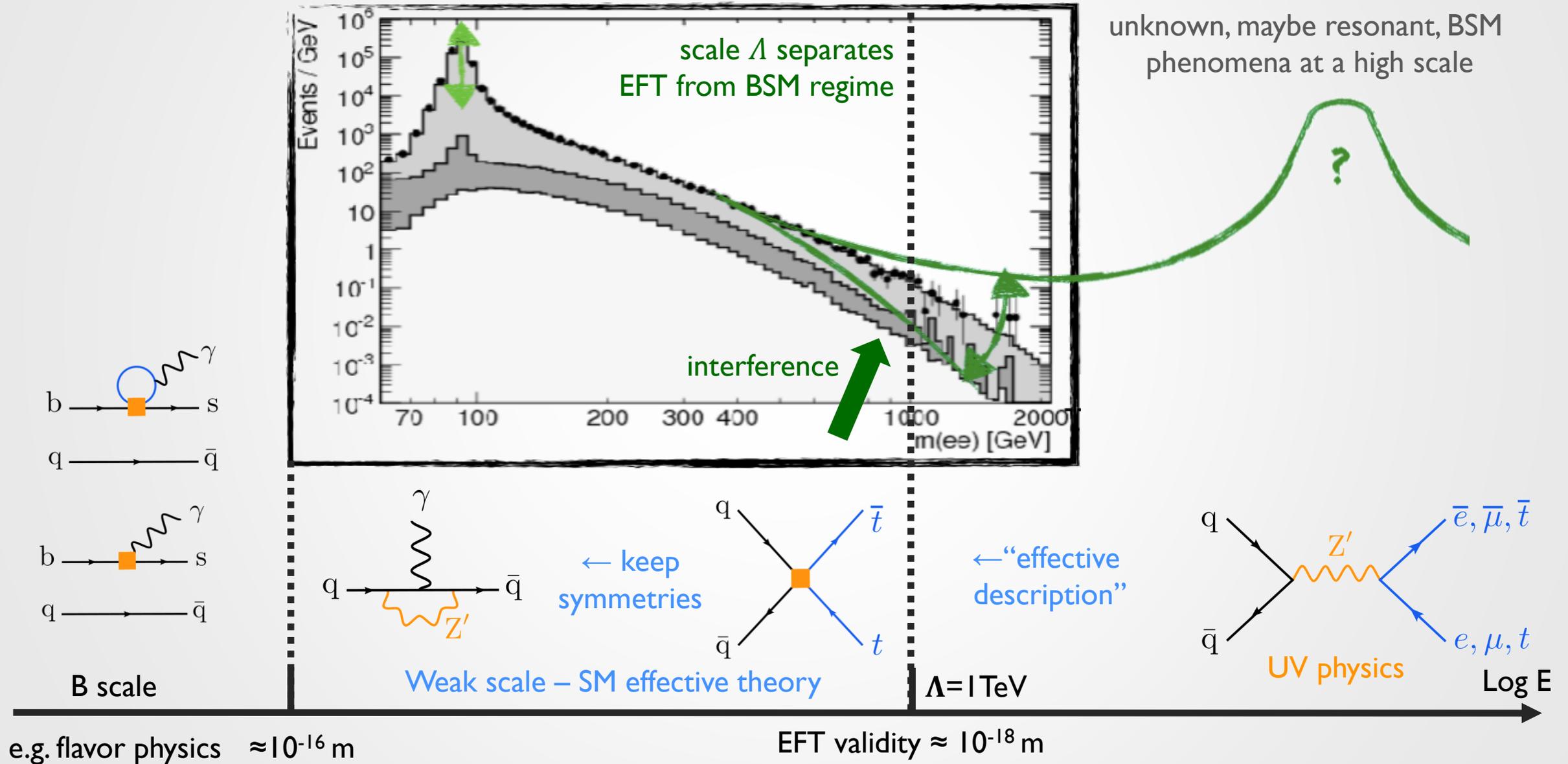
- ATLAS τ ID [using RNNs], and constituent based [top taggers] using PF flow networks
- CMS: [DeepJet] for g/c/b/uds/leptons identification, and [DeepAK8] for t/W/Z/H



- What about *theory landscape*?

GOING "LOW-LEVEL" IN THEORY LANDSCAPE

Sketch from F. Riva



THE STANDARD MODEL EFFECTIVE FIELD THEORY

- Organize the pieces in terms of mass dimension:

$$\mathcal{L}_{eff} = \mathcal{L}_{SM}^{(4)} + \sum \frac{C_x}{\Lambda^2} O_{6,x} + h.c.$$

- Keep SM symmetries
 - $SU(3)_c \otimes SU(2)_L \otimes U(1)$
- Keep particle content
- scale hierarchy

- 59 operators affect all SM predictions

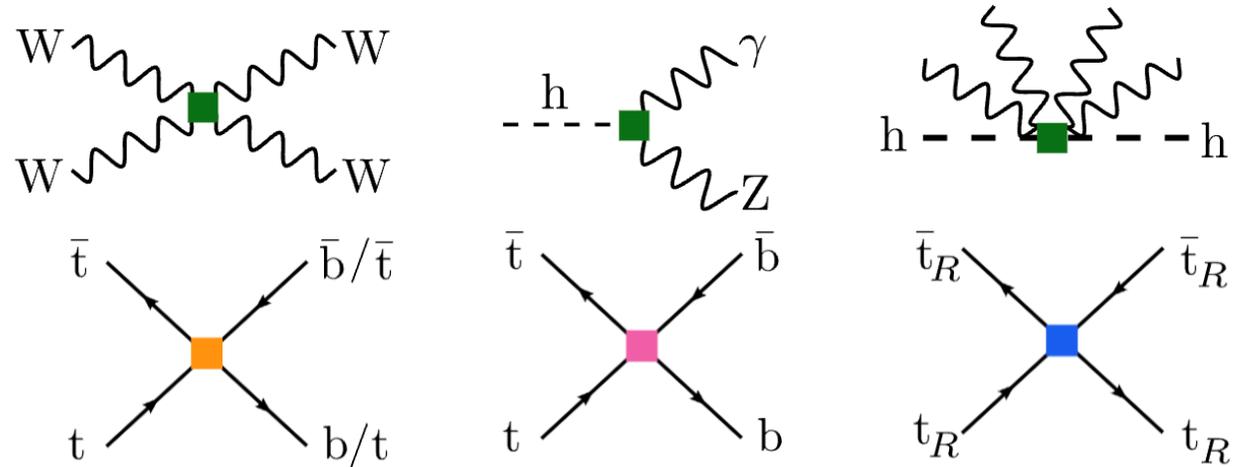
$$\frac{C_{\phi W}}{\Lambda^2} (\phi^\dagger \phi) W_I^{\mu\nu} W_{\mu\nu}^I \leftarrow \begin{array}{l} \text{known SM} \\ \text{particles} \end{array}$$

unknown coefficients

$$\frac{C_{qq}^{(8)}}{\Lambda^2} (\bar{q} \gamma^\mu T^A q) (\bar{q} \gamma_\mu T^A q)$$

$$\frac{C_{qq}^{(3)}}{\Lambda^2} (\bar{q} \gamma^\mu \tau^I q) (\bar{q} \gamma_\mu \tau^I q) \leftarrow \begin{array}{l} \text{known SM} \\ \text{symmetries} \end{array}$$

Anomalous couplings & new interactions (tiny selection!)



- Predicting rates from "squared" diagrams:

$$\left| \begin{array}{c} \bar{q} \rightarrow \bar{t} \\ q \rightarrow t \end{array} \text{ (loop) } + \begin{array}{c} \bar{q} \rightarrow \bar{t} \\ q \rightarrow t \end{array} \text{ (square) } \right|^2 = \sigma^{\text{SM}} + \frac{C}{\Lambda^2} \sigma^{\text{int}} + \frac{C^2}{\Lambda^4} \sigma^{\text{quad}}$$

- Quite exceptional simplification!
- Being general & keeping SM symmetries: ask big questions!

NEW FORCES INVOLVING TOP QUARKS?

- Extended scalar sectors “two Higgs doublet models” from SUSY or other BSM physics

[\[review\]](#)

- High-mass force carriers similar to the W and Z bosons : Z' and W' bosons

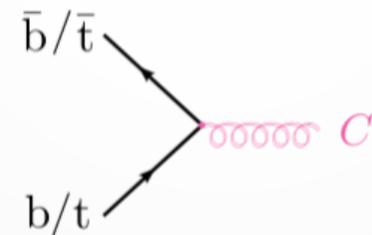
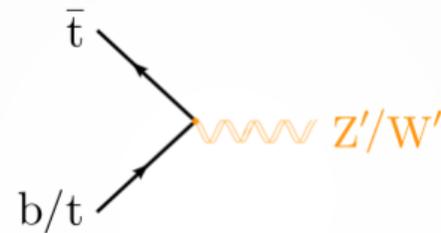
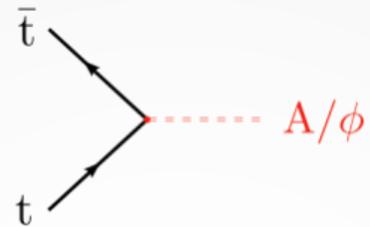
[\[review\]](#)

- Massive “chiral” colored force carriers, otherwise similar to the gluon:

axigluons [\[Mimasu et.al.\]](#)

- Composite sector whose bound states mix with the SM particles: (right-handed) top-quark and/or Higgs compositeness

[\[review\]](#)

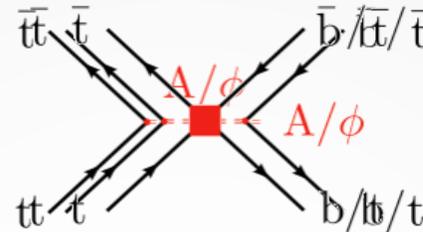


- Hypothetical UV models

NEW FORCES INVOLVING TOP QUARKS?

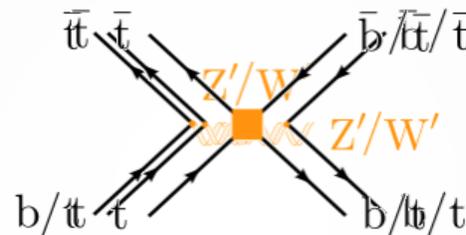
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[\[review\]](#)



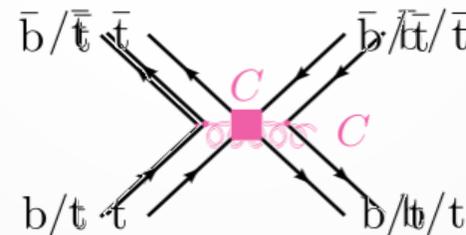
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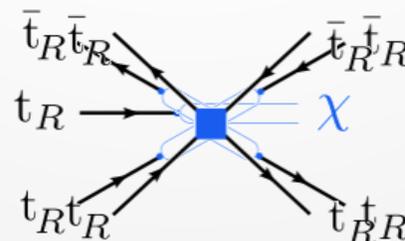
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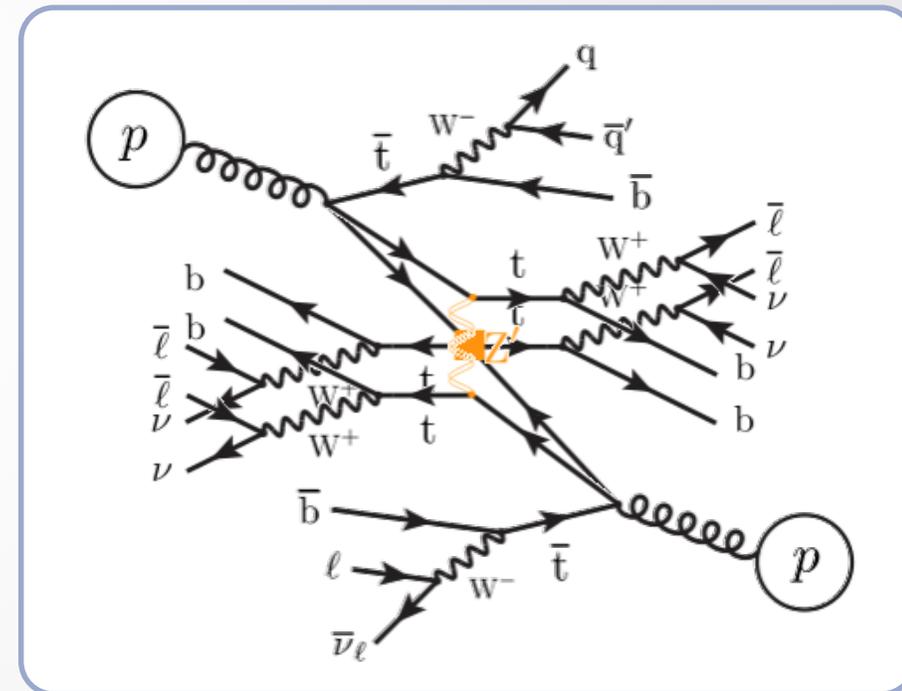
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[\[review\]](#)



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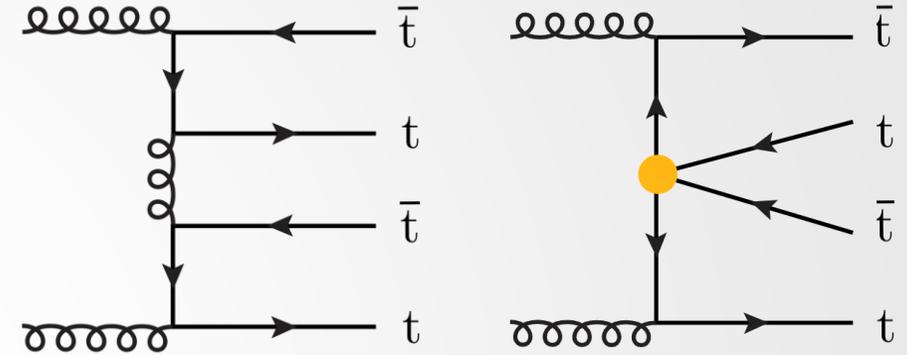
- predict force-carrier exchange
- modify predictions for LHC processes
- described by “effective theory”



- Search for in LHC data!
- Combine t vs. t & t vs. b & t vs. light quarks

FOUR TOP QUARK PRODUCTION

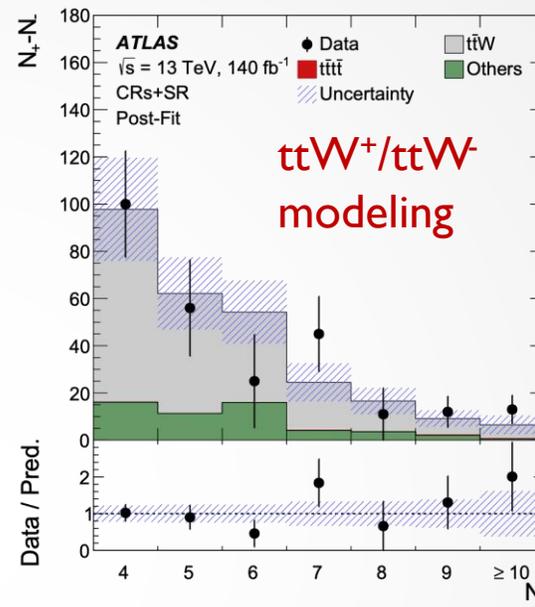
- ATLAS and CMS measure $t\bar{t}t\bar{t}$ in all decay channels – 0ℓ to 4ℓ
- Statistically limited: $\sigma(\text{SM}) = 13.4 + 1 - 2.5 \text{ fb}$
 - most sensitive channel: 2ℓ with a same charge lepton pair
- Event-level BDTs, so far, are the **workhorse classifiers**



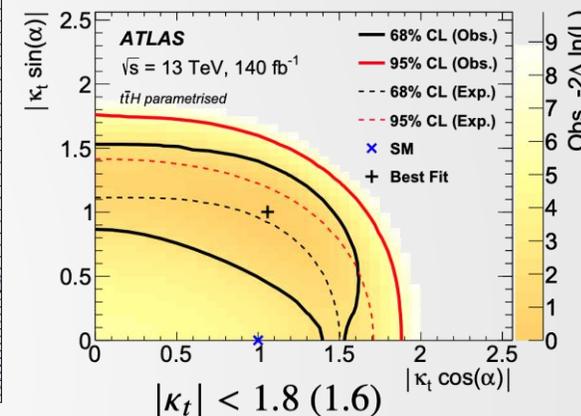
	0ℓ	1ℓ	2ℓ(OS)	2ℓ(SS)	3ℓ+	CMS	0ℓ	1ℓ	2ℓ(OS)	2ℓ(SS)	3ℓ+			
	JHEP 11 (2021) 118					EPJC 80 (2020) 1085					TOP-21-005		TOP-22-006	
2018														
2017														
2016														
	$\mu(t\bar{t}t\bar{t}) = 2.0 (+0.8-0.6), 4.7\sigma$												$\sigma(t\bar{t}t\bar{t}) = 17.9 \pm 3.6 \pm 2.5, >5\sigma$	

FOUR TOP QUARKS WITH A GNN: ATLAS

- New  result combining $2\ell SS$ and $\geq 3\ell$ channels
 - Better ttW background estimation procedure based on charge-dependent N_{jet} scaling patterns
 - Separate treatment of $3t$, $tttW$, $tttq$
 - Lower jet (≥ 20 GeV) and lepton (≥ 15 GeV) p_T cuts
- Now using a Graph-NN discriminant [[GRAPH NETS](#)]
 - Classifier trained for cross-section measurement – LLR trick
 - Edge-Convolution layers exploit multi-jet correlation
 - Leptons, E_T^{miss} , variable-length jet system



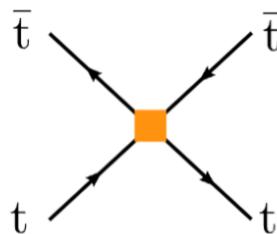
Constraints on κ_t and CP mixing angle α



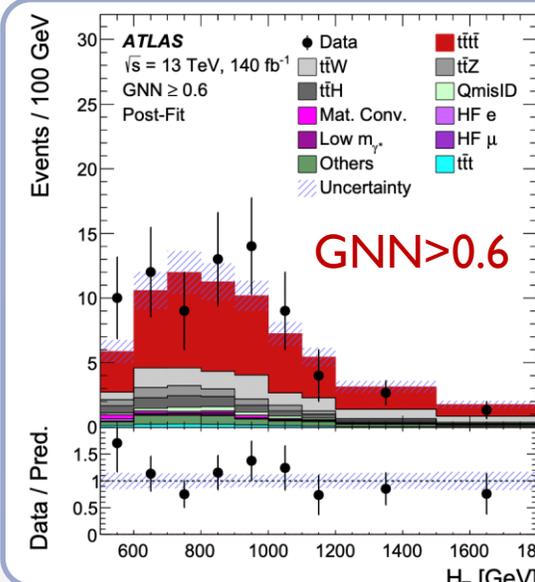
- Result $\sigma_{t\bar{t}\bar{t}\bar{t}} = 22.5^{+4.7}_{-4.3}(\text{stat})^{+4.6}_{-3.4}(\text{syst}) \text{ fb} = 22.5^{+6.6}_{-5.5} \text{ fb}$
 $\mu = 1.9 \pm 0.4(\text{stat})^{+0.7}_{-0.4}(\text{syst}) = 1.9^{+0.8}_{-0.5}$

6.1 σ (4.3 σ expected), consistent with SM at 1.8 σ

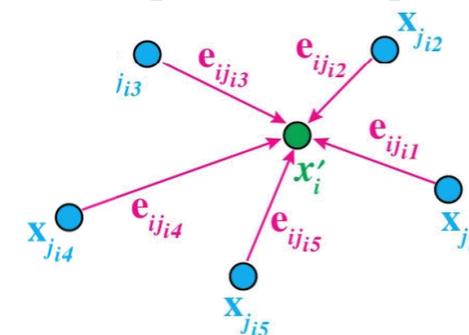
Operators	Expected C_i/Λ^2 [TeV ⁻²]	Observed C_i/Λ^2 [TeV ⁻²]
O_{QQ}^1	[-2.4, 3.0]	[-3.5, 4.1]
O_{Qt}^1	[-2.5, 2.0]	[-3.5, 3.0]
O_{tt}^1	[-1.1, 1.3]	[-1.7, 1.9]
O_{Qt}^8	[-4.2, 4.8]	[-6.2, 6.9]



Need more measurements & more operators



Edge convolution [[1801.07829](#)]



Amenable for your problem's symmetry!!

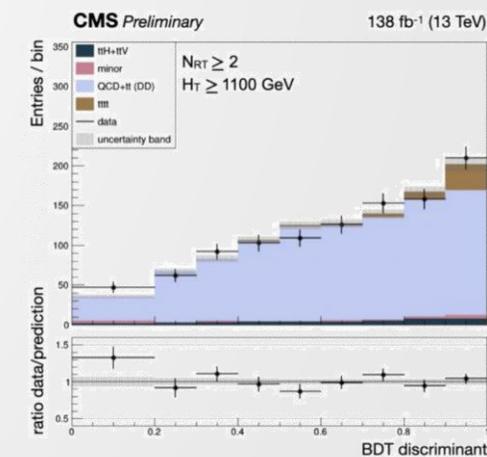
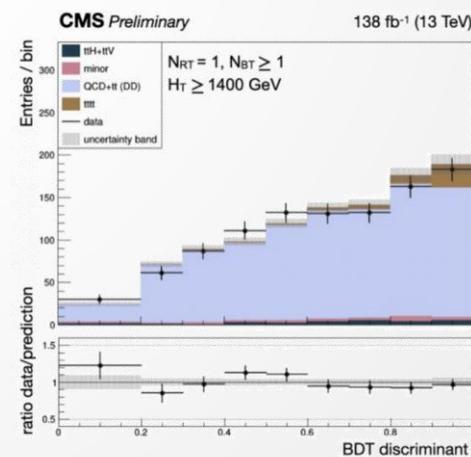
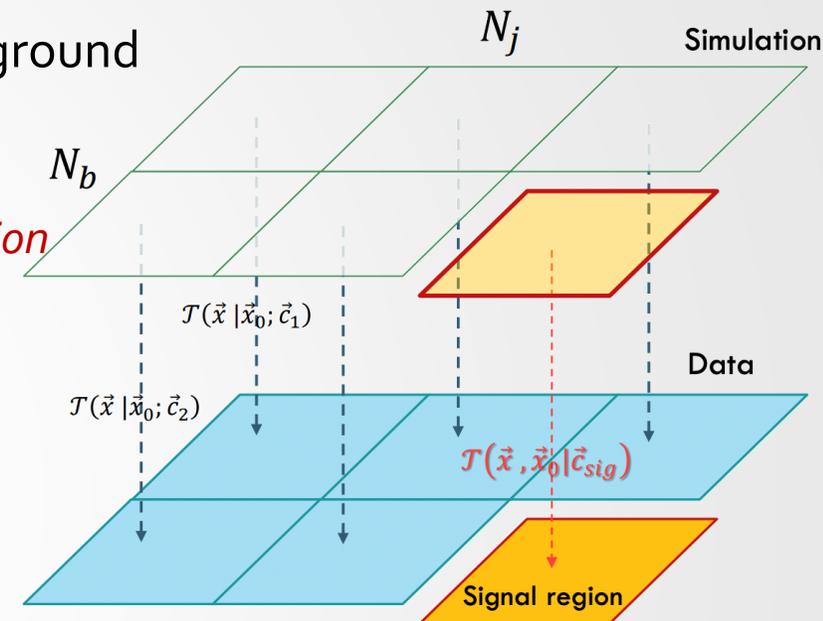
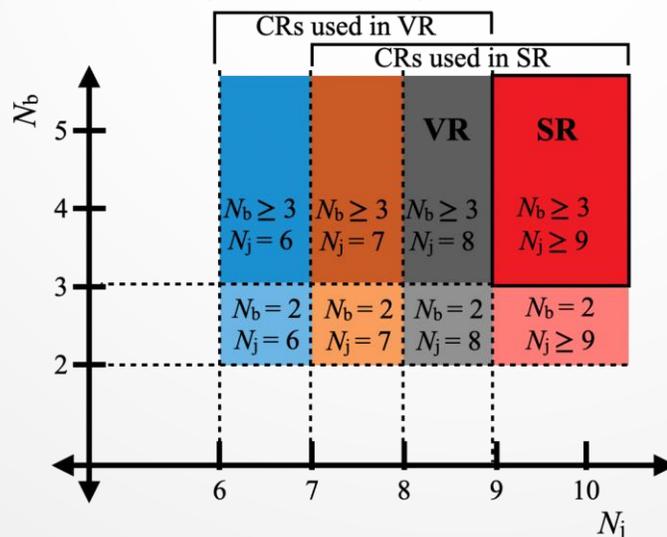
SHAPE CALIBRATION WITH ABCDNN

- CMS : **BDT classifier** from 20 features for **all-hadronic four-top** background
- Corrects BDT shape using [**ABCDnn**]: Neural autoregressive flow
 - Learn an invertible transformation of H_T /BDT shape from *data* to *simulation* **conditioned on a region c**

$$\int \mathcal{T}(\vec{x}, \vec{x}_0 | \vec{c}) f_{src}(\vec{x}_0) d\vec{x}_0 = f_{target}(\vec{x} | \vec{c})$$

- Technically, a DNN predicts the **parameters** of a **bijective mapping**
 - Encoding of indexed region is DNN input \rightarrow extrapolate to SR

- NN version of traditional ABCD method
- Validation region between SR and CRs ($N_{jet}=8$)

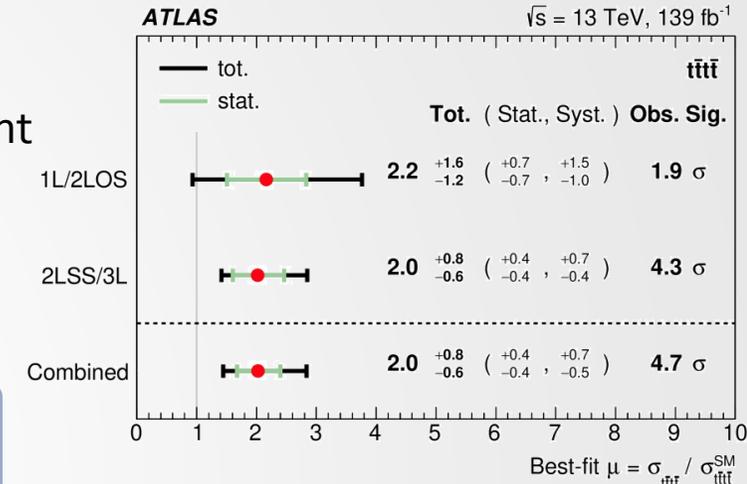


A PARAMETRIZED CLASSIFIER IN $TT+(H/A \rightarrow TT)$

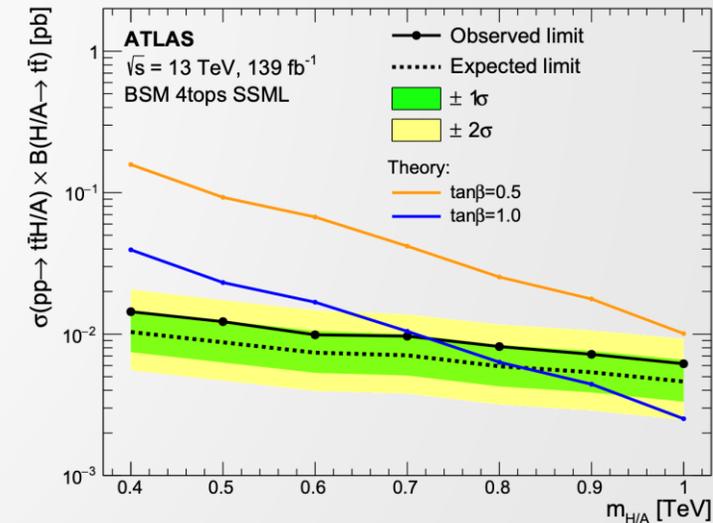
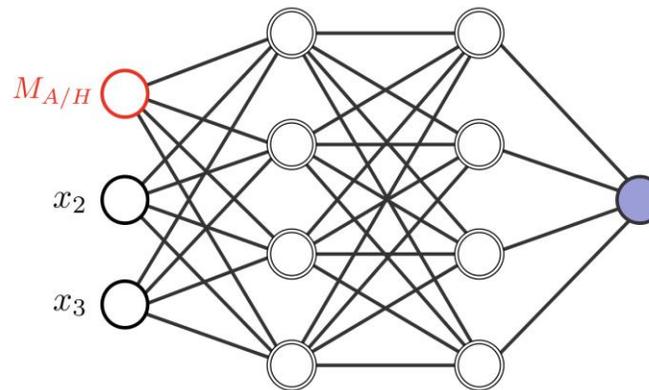
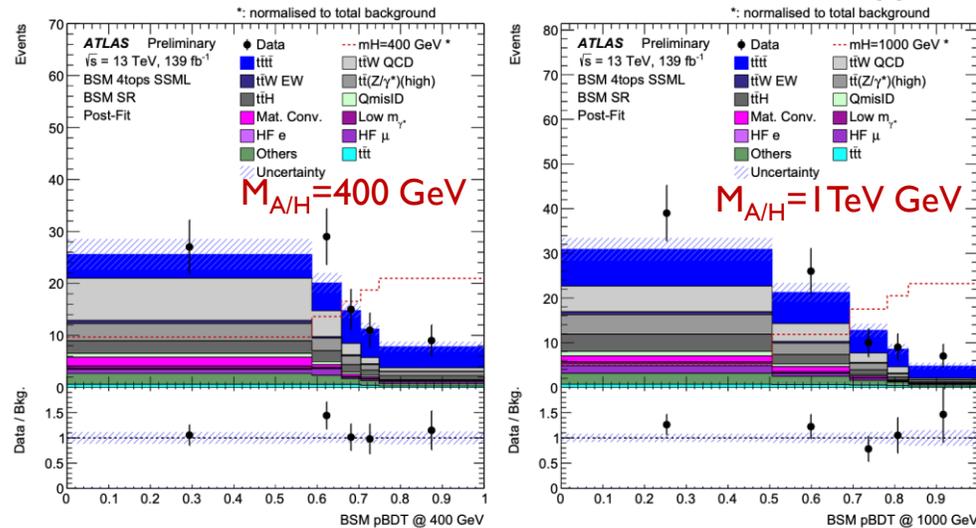
[arXiv:2211.01136]



- The 2HDM model as a function of $M_{A/H}$ predicts **resonant** $4t$ production
 - Use the signal region from the **ATLAS $2\ell SS / \leq 3\ell$** $4t$ cross section measurement
 - Train “parametrized” multi-variate discriminate as a function of $M_{A/H}$
 - example of a one-parameter “parametrized classifier”

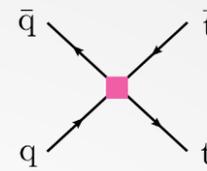


same data, different “test statistic” for each **mass** hypothesis:



- Can use a similar technique for **high-dimensional EFT** measurements?

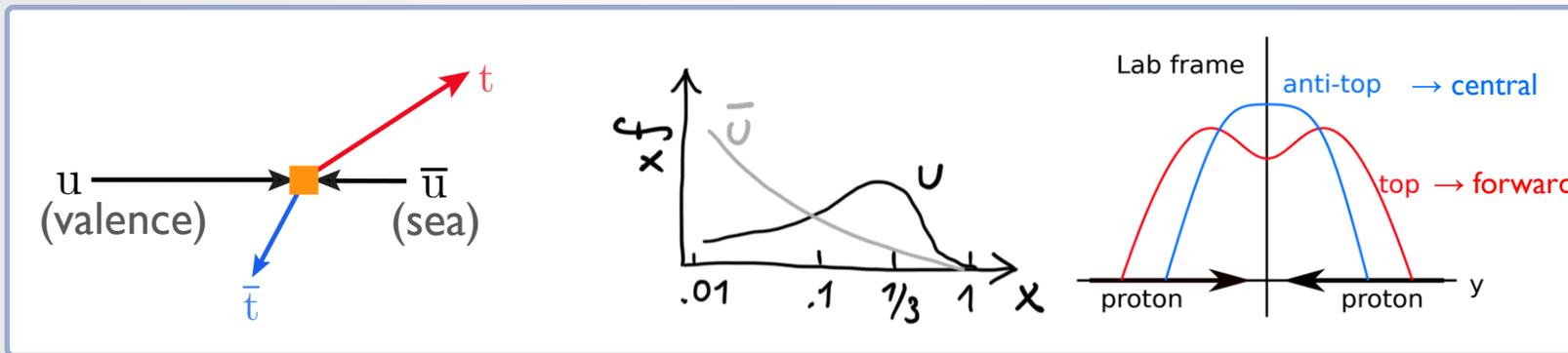
TOP QUARK CHARGE ASYMMETRY



[TOP-21-014]

[arxiv:2208:12095]

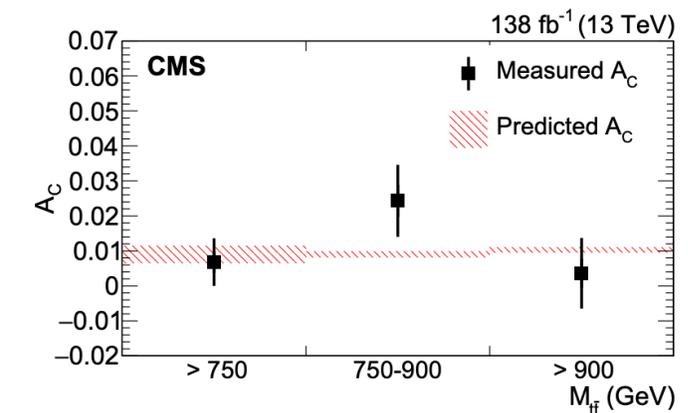
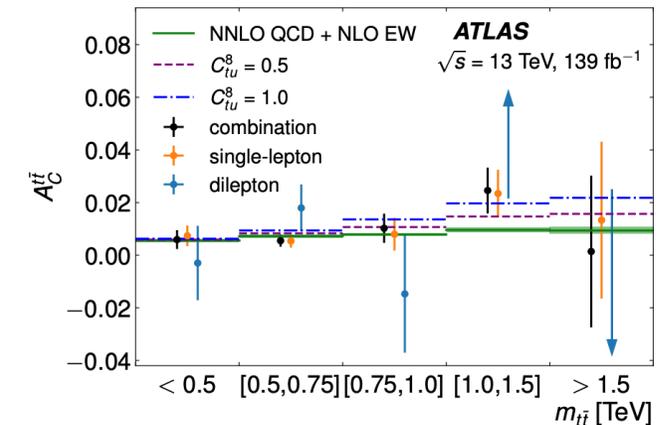
- Use subtle kinematic effects to target interactions with **light quarks**
- The “**valence**” **light-quark** carries, on average, a **larger** fraction of the protons momentum compared to **anti-quarks**



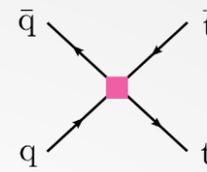
- The **+t** quark in pair production is more forward
- Charge asymmetry cancels overwhelming gluon-initiated background
 - Permille effect
- CMS (1ℓ) and ATLAS ($1\ell/2\ell$, resolved/boosted) have measured $A_C(tt)$
 - ATLAS $A_C(tt) = 0.0068 \pm 0.0015 \leftrightarrow 4.7\sigma$ evidence

Exploit “forward-backward” symmetry:

$$A_C^{t\bar{t}} = \frac{N(\Delta|y| > 0) - N(\Delta|y| < 0)}{N(\Delta|y| > 0) + N(\Delta|y| < 0)}$$



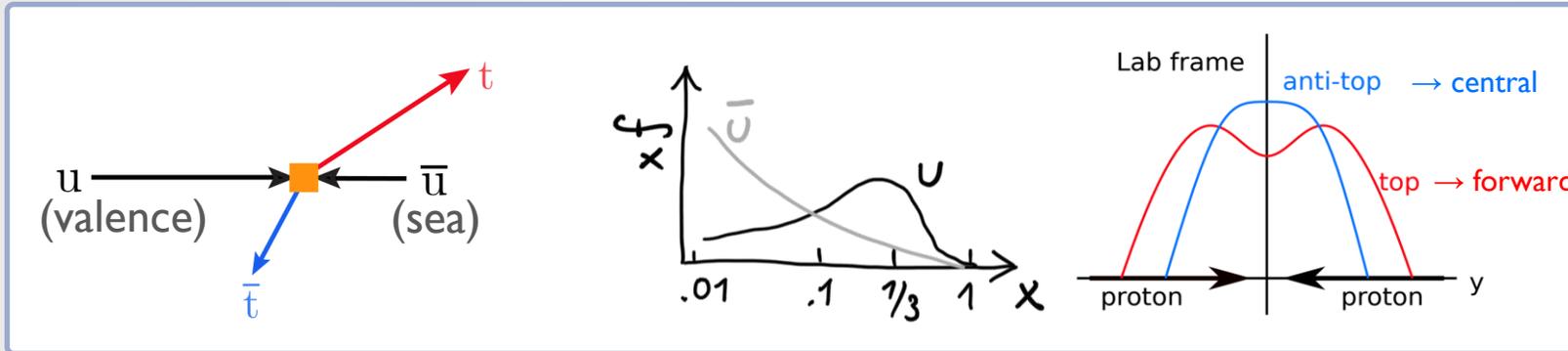
TOP QUARK CHARGE ASYMMETRY



[TOP-21-014]

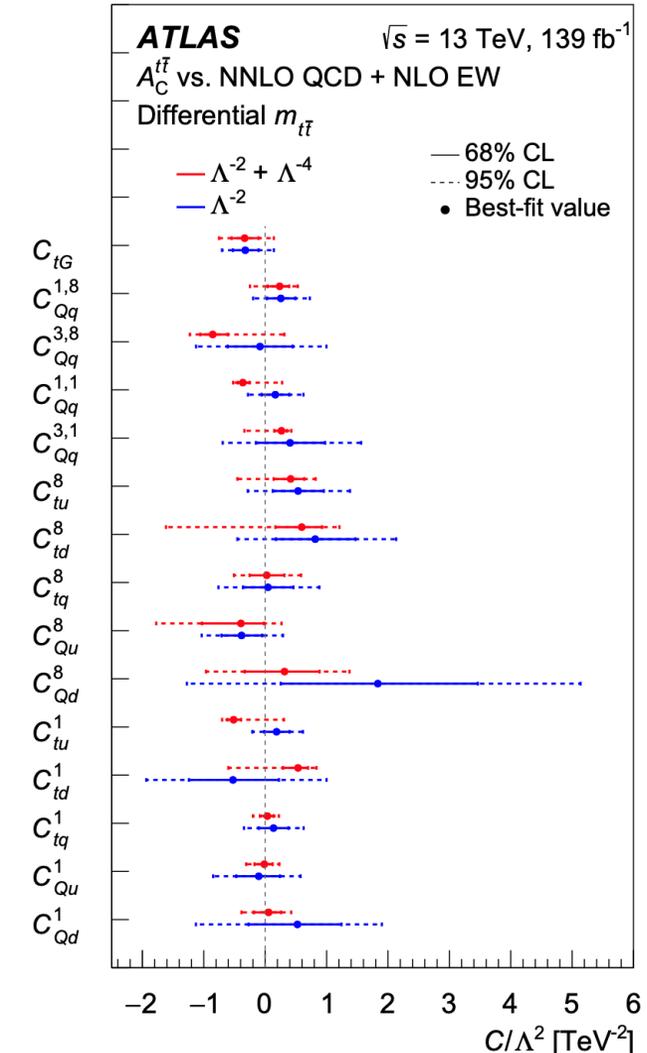
[arxiv:2208:12095]

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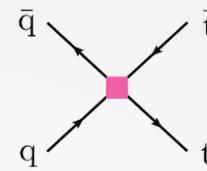


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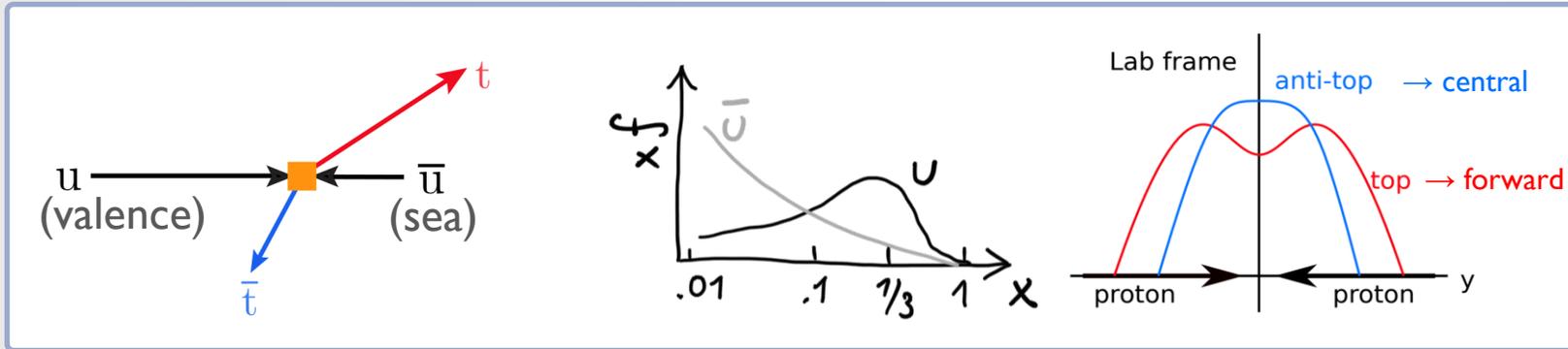
Comprehensive EFT interpretation



TOP QUARK CHARGE ASYMMETRY

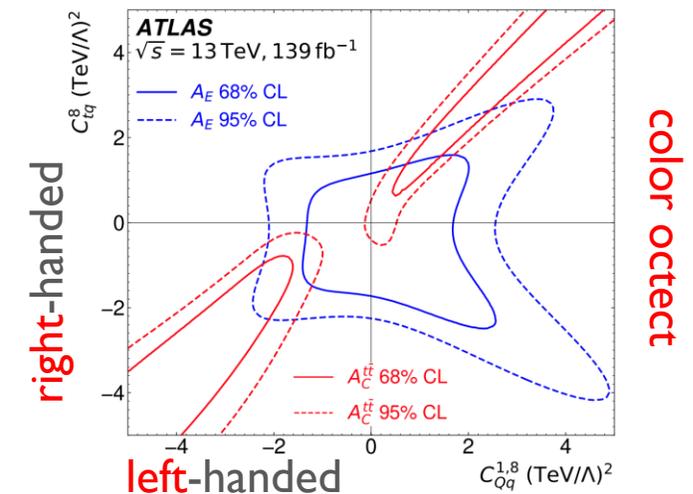


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“Left-handed” vs. “Right-handed”
→ flat direction



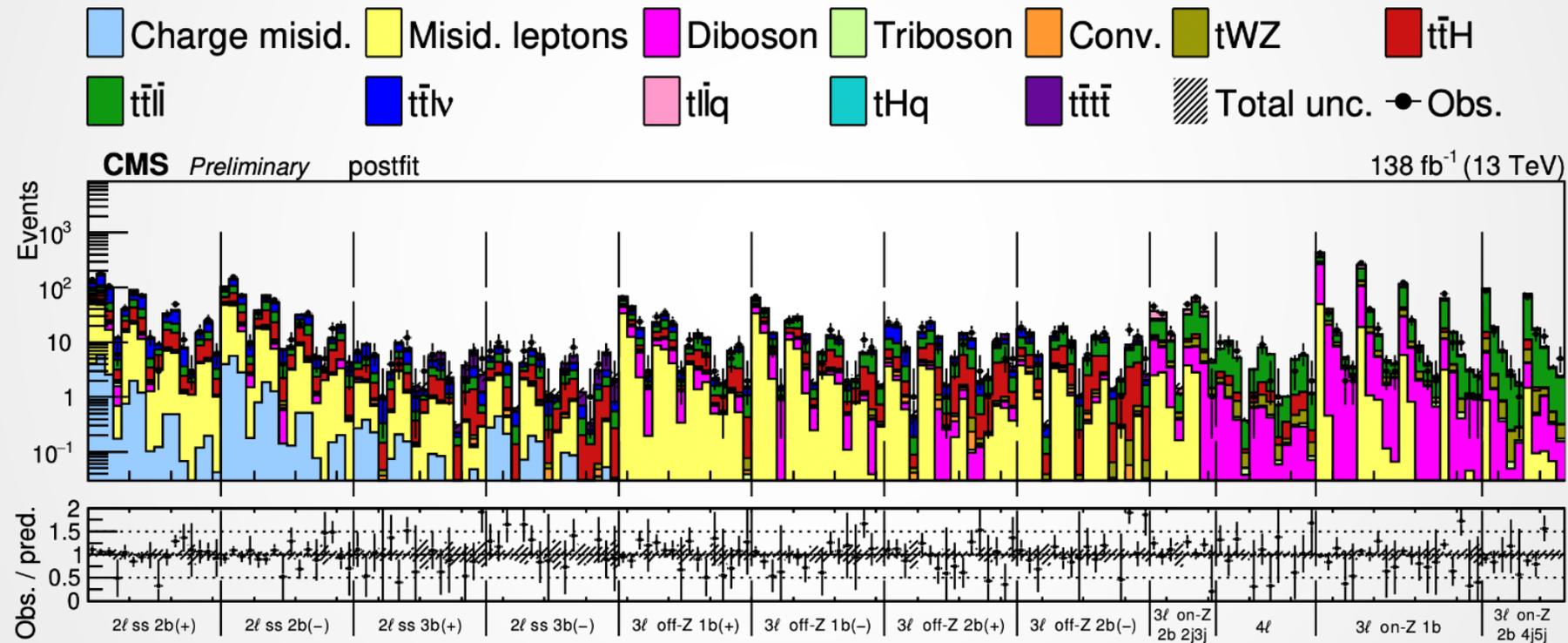
→ resolved with Energy asymmetry
→ need to combine many measurements for unambiguous results

TOP QUARKS WITH ADDITIONAL LEPTONS



[TOP-22-006]

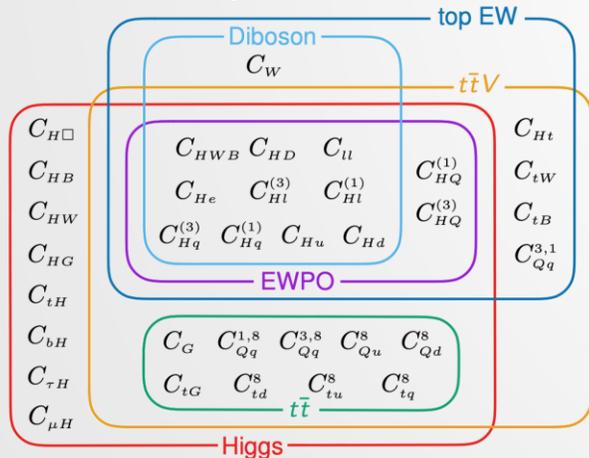
- Targets top quark pair production in association with Z/W/H [TOP-22-006]
 - $2\ell SS/3\ell/4\ell$ categories with different b-tag multiplicities and with/without on Z requirement



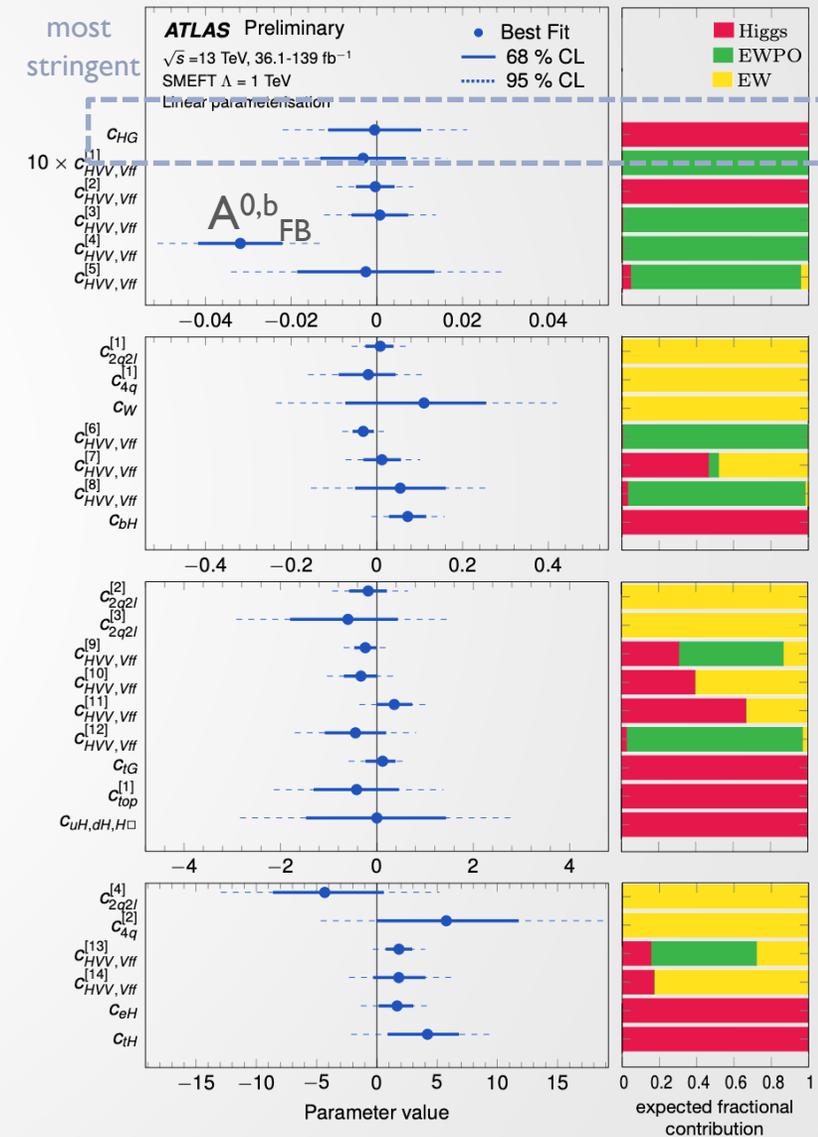
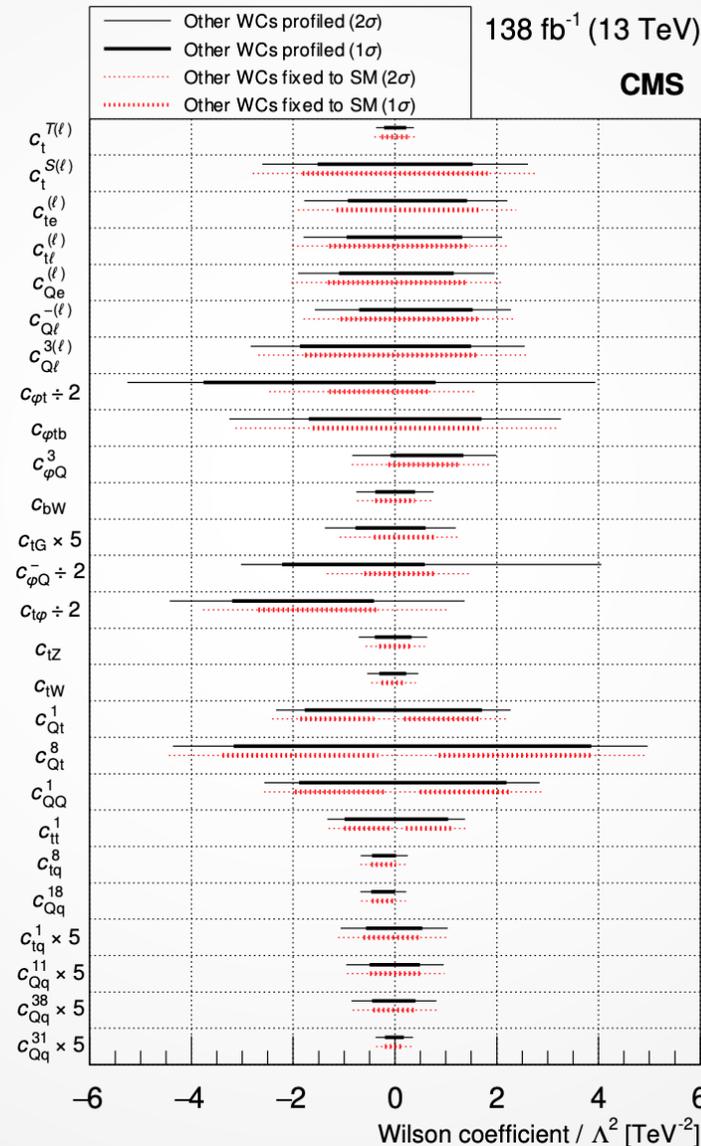
- 178 measurements with full uncertainty correlation, constraining 22 operators
- most recent CMS step towards global in-experiment fit

GLOBAL FITS (WITHIN EXPERIMENTS)

- CMS “top quark pair + Z/W/H”
 - full 22D uncertainty correlation
 - 22 operators, 178 measurements
- No signal of new forces down to 10^{-18} m
- ATLAS: Higgs+EWK+EWPO
 - LEP & SLC EW precision data
 - 6 coeff. + 22 lin. comb
 - mostly consistent with SM

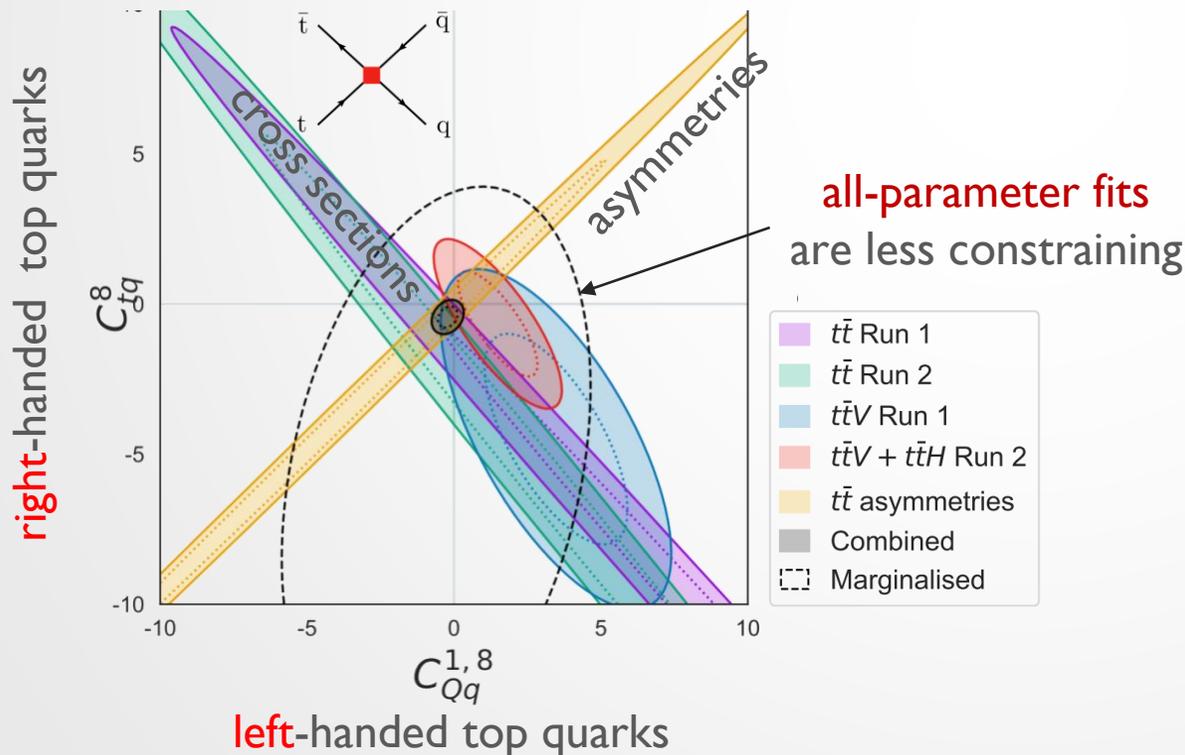


- Need to combine all sectors



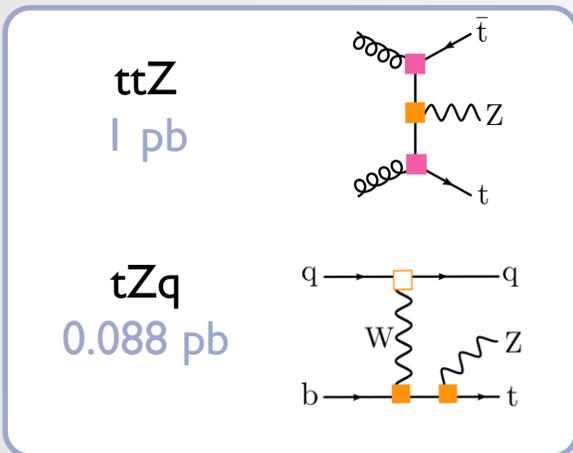
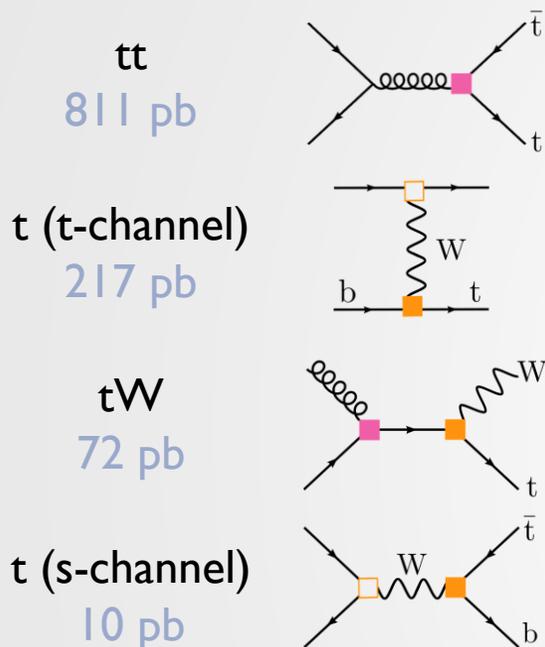
LOOKING INTO MANY DIRECTIONS AT ONCE

- Global fits: Combine **all available** individual **measurements** – outside the collaborations
 - For single or few operators: tight constraint from combined measurement
- Our earlier example: forces of **left-** and **right-**handed top quarks – **two operators**
- However(!) including **all EFT operators** leads to much less powerful constraints
 - Physics question: Can we use the kinematic information in the events to resolve the ambiguities?

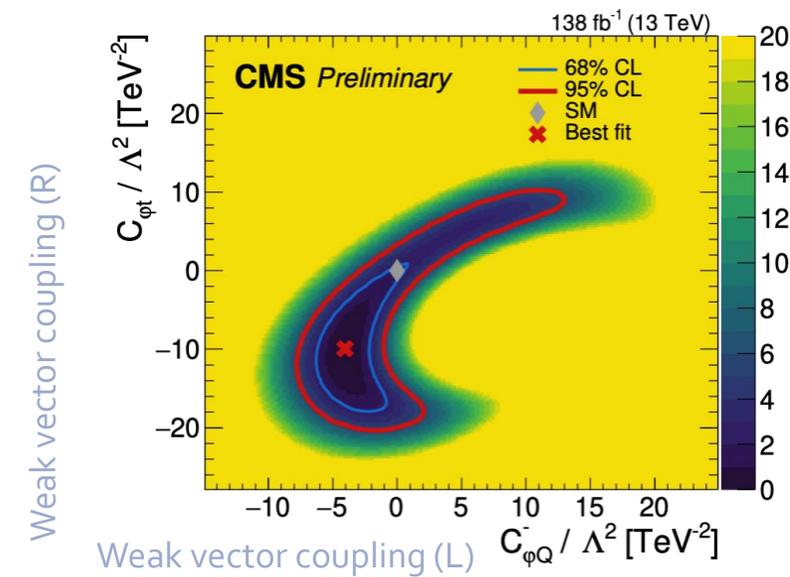
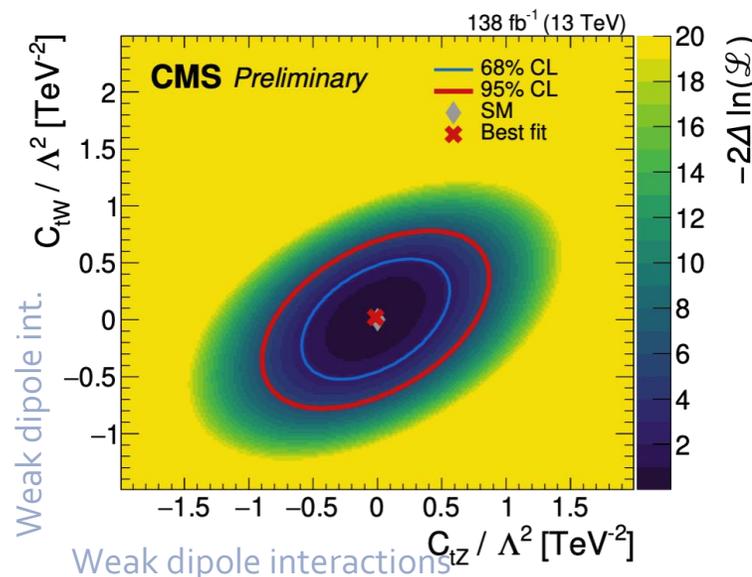
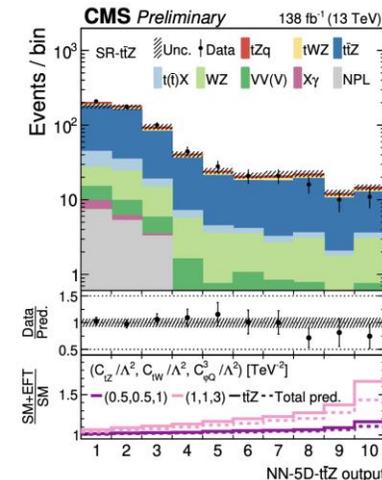
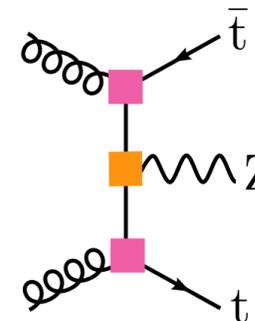


- Can machine-learning help to improve the analysis strategy
- Can we parametrize an EFT classifier?

TOP QUARK PAIR + Z BOSON



- Measure the top quark – Z boson coupling
- Train separate “SM vs. EFT” classifiers
 - “likelihood trick” for SMEFT effects
 - trained on a signal mix, mixing different kinematics
- set limits on weak dipole interactions & vector coupling interactions



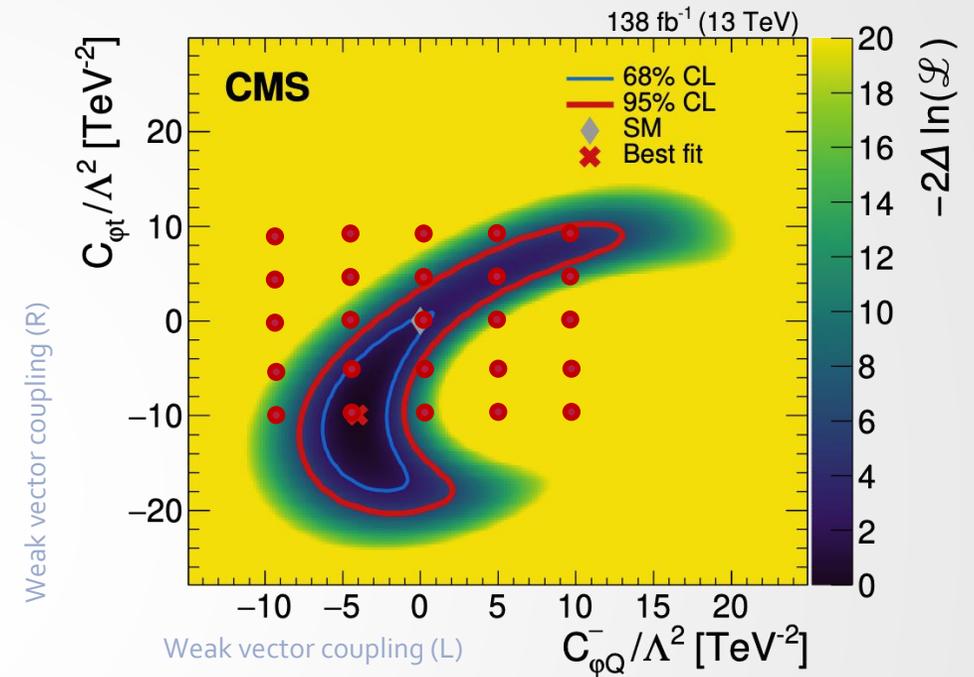
CAN WE JUST LEARN EFT EFFECTS "ON AVERAGE"?

$$L = \sum_{\theta \in \mathcal{B}} \int d\mathbf{x} \left(p(\mathbf{x}|\theta) \hat{f}(\mathbf{x})^2 + p(\mathbf{x}|\text{SM})(1 - \hat{f}(\mathbf{x}))^2 \right)$$

θ - ignorant

mixing signals & case dependent mixes

$$f^*(\mathbf{x}) = \frac{1}{1 + r_{\mathcal{B}}(\mathbf{x})} \quad r_{\mathcal{B}}(\mathbf{x}) = \frac{\frac{1}{|\mathcal{B}|} \sum_{\theta \in \mathcal{B}} p(\mathbf{x}|\theta)}{p(\mathbf{x}|\text{SM})}$$



[TOP-21-001]

- Sending 'mixed signals' to the loss function
 - But EFT predictions are **polynomial!**
 - Averages the training data set - sensitivity to **linear effects cancels!**
 - Classifier does not reflect knowledge on the θ -dependence
- Solution: Back to the drawing board & inject θ polynomial SMEFT dependence in estimator.

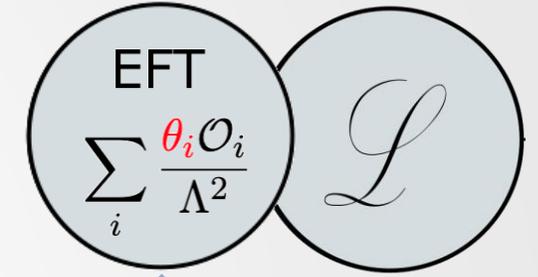
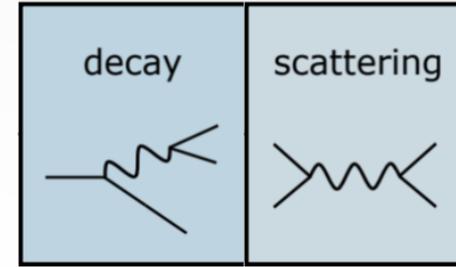
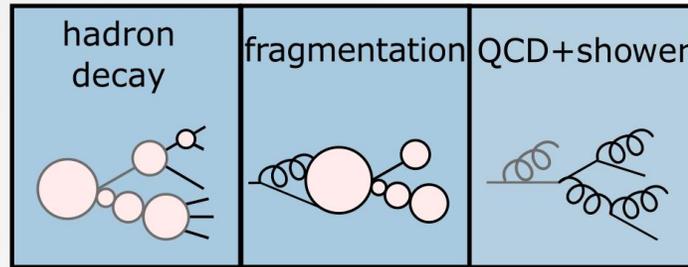
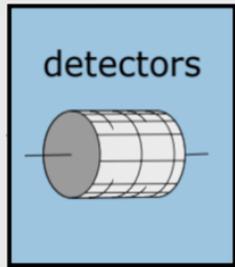
A CONDITIONAL SEQUENCE

analysis level

particle level

parton level

theory



$$L = \sum_{\theta \in \mathcal{B}} \int dx dz p(x, z | \text{SM}) \left(r(x, z | \theta) \hat{f}(x; \theta)^2 + (1 - \hat{f}(x; \theta))^2 \right)$$

Make loss function aware of analytic SMEFT structure

Invert likelihood trick

$$\hat{f}(x; \theta) = \frac{1}{1 + \hat{r}(x; \theta)}$$

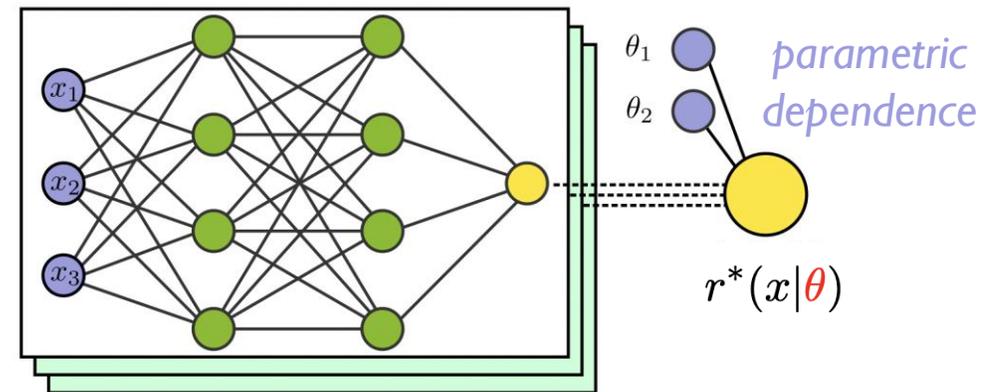
”Domain knowledge”: positive quadratic polynomial

$$\hat{r}(x; \theta) = \left(1 + \sum_a \theta_a \hat{n}_a(x) \right)^2 + \sum_{b \geq a} \left(\sum_b \theta_b \hat{n}_{ab}(x) \right)^2$$

Minimize:

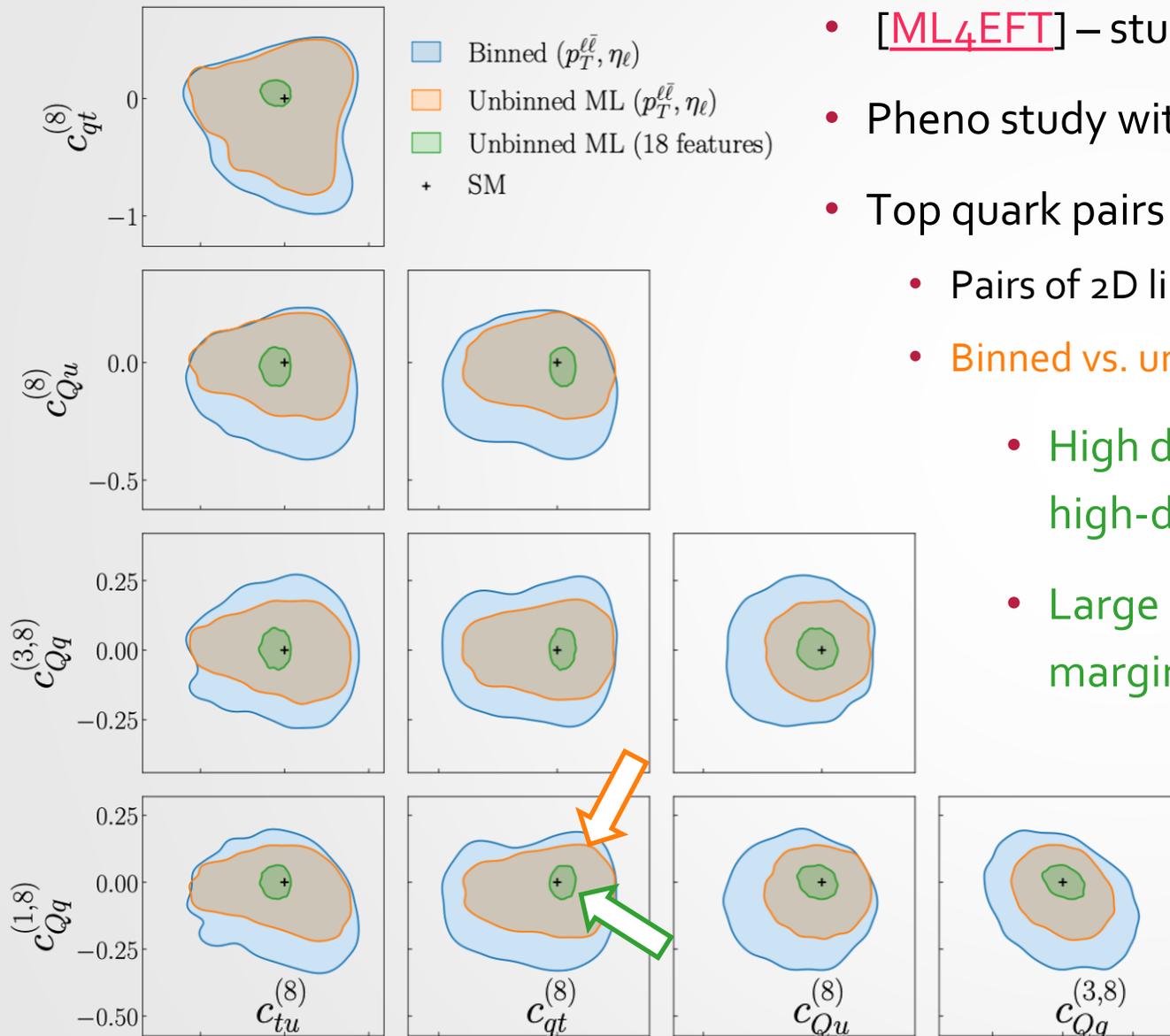
$$r^*(x | \theta) = \frac{p(x | \theta)}{p(x | \text{SM})}$$

inject new technology here ↴





IMPROVING HIGH DIMENSIONAL LIMITS



- [ML4EFT] – study ZH and top quark pairs
- Pheno study with parametrized NN classifiers
- Top quark pairs in low ($N_f=2$) and high feature dimension $N_f=18$
 - Pairs of 2D limits with 6 more ops marginalized
 - Binned vs. unbinned: Some gain w/ unbinned when using 2 features
 - High dimensional observation ($N_f=18$) constraining a high-dimensional ($N_{\text{coef}}=8$) model using an SM candle
 - Large improvement for $N_f=18$ – mostly in the marginalized limits
- Take seriously constraining power from SM candle
- Whether the sensitivity gain survives systematics in an unbinned detector-level analysis is an open question

TOWARDS UNBINNED ANALYSIS

- What's missing to go all-in? **Systematics**.
 - Systematic effects are **not polynomial**.
 - However, can be learned with NNs

$$L = \sum_{\theta, \nu=0} \left\langle \left(r(x_{\text{det}}, z_{\text{ptl}}, \dots, z_p | \theta, \nu) - \hat{f}_{\theta}(x_{\text{det}}) e^{\nu \hat{\delta}_1(x_{\text{det}}) + \nu^2 \hat{\delta}_2(x_{\text{det}})} \right)^2 \right\rangle$$

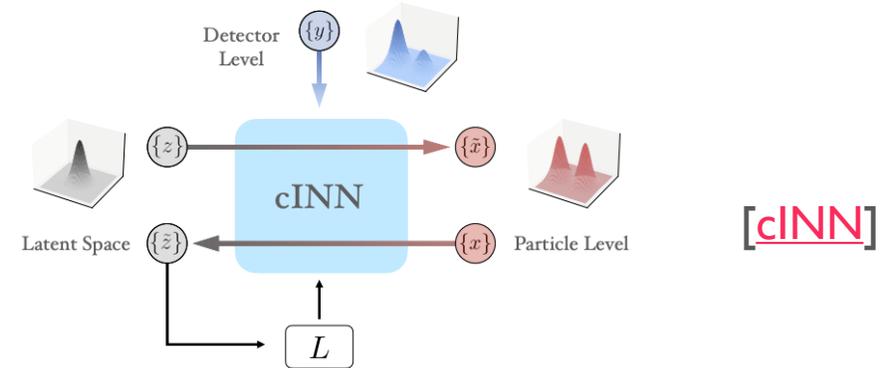
Systematic variations are cheap!

↑
Improve modeling here

SM, $\nu=0$

- A challenge: $\dim(\mathbf{v}), \dim(\boldsymbol{\theta}) \sim 20 - 50$, and high event counts in the profiling
- Divide & conquer #1**: Experiments begun **machine-learning** certain nuisances: h_{damp}, b -fragmentation

- Divide & conquer #2: **Defer SM-EFT interpretation** "Unbinned unfolding in high dimensions" [[paper](#)]
- Only $p(x_{\text{det}} | z_{\text{ptl}})$ is available in in forward mode.
- ML-Unfolding algorithms use Bayes' theorem $p(x_{\text{det}} | z_{\text{ptl}}) p(z_{\text{ptl}}) = p(z_{\text{ptl}} | x_{\text{det}}) p(x_{\text{det}})$ to learn $p(z_{\text{ptl}} | x_{\text{det}})$



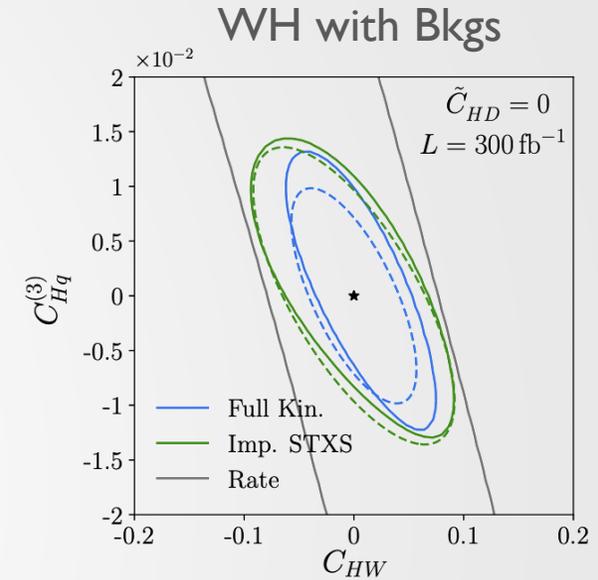
- [\[OmniFold\]](#) reweights the observation to the ptl-level
- Report **unbinned unfolded data**; then SMEFT analysis

SUMMARY

- The LHC is pushing ever deeper into the **TeV regime**
 - There are **no signs of resonant physics** beyond the standard model
- Taking seriously what we know – symmetries & particle content
 - EFT has become the **language of choice**
 - We can phrase largely model-independent questions
 - E.g.: Forces between heavy quarks on length scales beyond 10^{-18} m
- Imply the need for a global view
 - High-dimensional analyses leave room for ambiguities
 - ML tools can significantly help – particularly in all-operator fits
- For sure, we'll see more global analyses of the LHC data, tackling more of the “big questions”
- Outlook: At higher mass dimension, the **number of operators** grows **exponentially**
 - If we loose track of the **operators physics meaning**, we're just re-representing the dataset
 - A better representation could then be an unbinned unfolded dataset

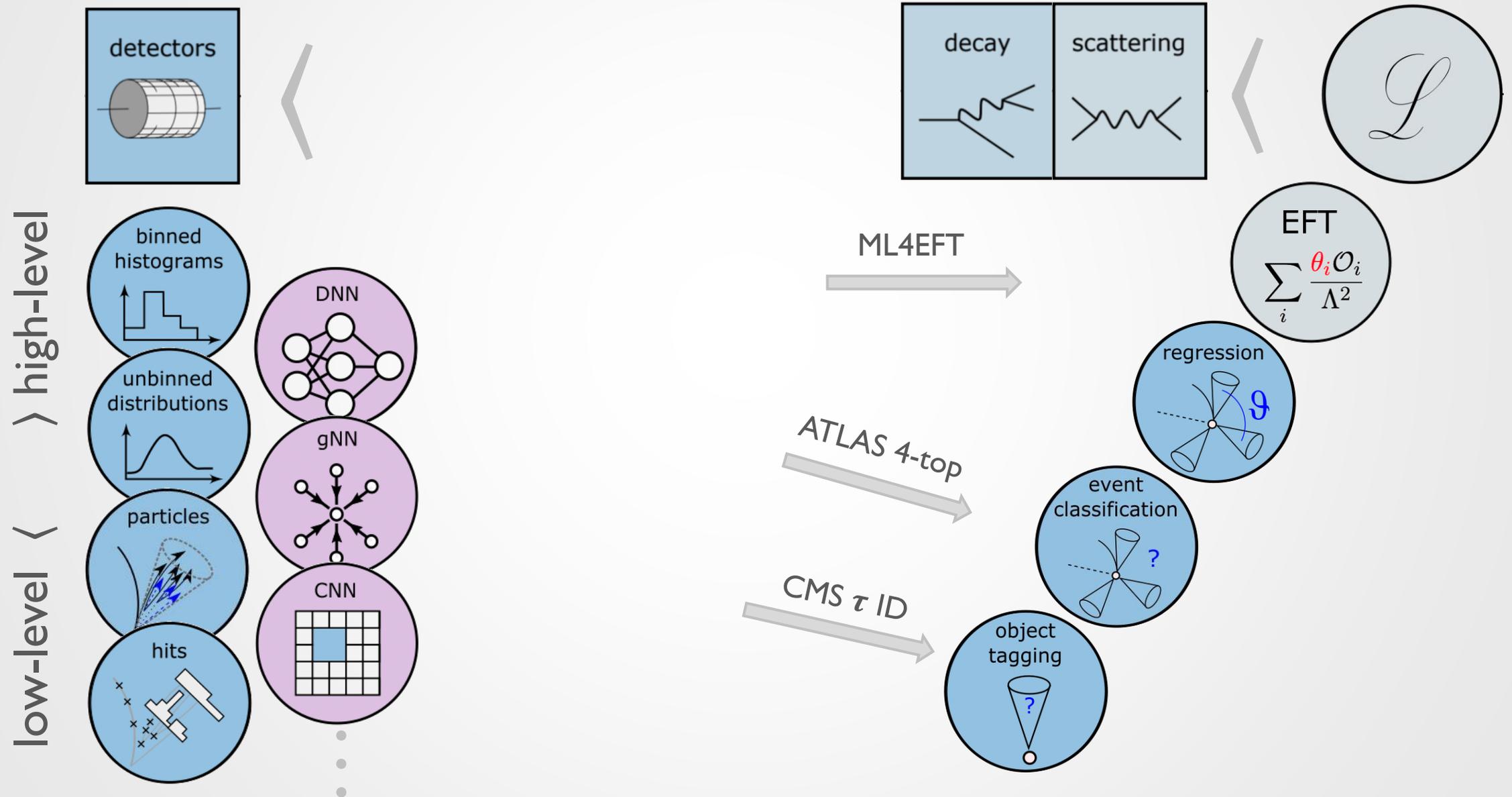
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 - K. Cranmer, J. Pavez, and G. Louppe [1506.02169]
 - J. Brehmer, K. Cranmer, G. Louppe, J. Pavez [1805.00013] [1805.00020] [1805.12244]
 - J. Brehmer, F. Kling, I. Espejo, K. Cranmer [1907.10621]
 - J. Brehmer, S. Dawson, S. Homiller, F. Kling, T. Plehn [1908.06980]
 - A. Butter, T. Plehn, N. Soybelman, J. Brehmer [2109.10414]
 - established many of the *main ideas* & *statistical interpretation* in various *NN applications*
- **Weight derivative regression** (A.Valassi) [2003.12853]
- **Parametrized classifiers** for SM-EFT: NN with quadratic structure
 - S. Chen, A. Glioti, G. Panico, A. Wulzer [JHEP 05 (2021) 247]
- **Boosted Information Trees**: Tree algorithms & boosting
 - S. Chatterjee, S. Rohshap, N. Frohner, R.S., D. Schwarz [2107.10859], [2205.12976]
- **ML₄EFT** R. Ambrosio, J. Hoeve, M. Madigan, J. Rojo, V. Sanz [2211.02058]
- All approaches are “SMEFT-specific ML” with differences mostly on the practical side



my practical
experience

DATA REPRESENTATION



SIMULATION BASED INFERENCE

[Madminer [1805.00020](#)]

Full list of references in backup

1. Simulation: $p(x_{\text{det}}, \dots, z_{\text{ptl}}, \dots, z_{\text{p}} | \theta)$ Needed: $p(x_{\text{det}} | \theta) = \int dz_{\text{ptl}} \dots \int dz_{\text{p}} p(x_{\text{det}} | z_{\text{ptl}}) \dots p(z_{\text{ptl}} | z_{\text{p}}) \dots p(z_{\text{p}} | \theta)$

2. Exploit simplicity of the joint space: Intractable factors cancel in the joint likelihood ratio

$$r = \frac{p(x_{\text{det}}, \dots, z_{\text{ptl}}, \dots, z_{\text{p}} | \theta)}{p(x_{\text{det}}, \dots, z_{\text{ptl}}, \dots, z_{\text{p}} | \text{SM})} = \frac{p(x_{\text{det}} | z_{\text{ptl}}) \dots p(z_{\text{ptl}} | z_{\text{p}}) \dots p(z_{\text{p}} | \theta)}{p(x_{\text{det}} | z_{\text{ptl}}) \dots p(z_{\text{ptl}} | z_{\text{p}}) \dots p(z_{\text{p}} | \text{SM})} = \frac{p(z_{\text{p}} | \theta)}{p(z_{\text{p}} | \text{SM})} \sim \frac{|\mathcal{M}(z_{\text{p}}, \theta)|^2}{|\mathcal{M}(z_{\text{p}}, \text{SM})|^2}$$

Change in likelihood of simulated observation x with latent “history” z going from “SM” to θ

staged simulation in forward mode:
Intractable factors cancel

re-calculable
theory prediction

weighted
simulation

3. Regress (e.g.) in the joint likelihood ratio, ignoring the latent space.

$$L = \left\langle \left(r(x_{\text{det}}, z_{\text{ptl}}, \dots, z_{\text{p}} | \theta) - \hat{f}_{\theta}(x_{\text{det}}) \right)^2 \right\rangle_{\text{SM}}$$

Available in simulation!
(MSE loss only for illustration)

4. Obtain change of likelihood for a specific observation, suitably integrating latent histories. NP optimal!

$$\operatorname{argmin}_{\hat{f}(x)} L = \frac{p(x | \theta)}{p(x | \text{SM})} = \text{ratio of integrals}$$

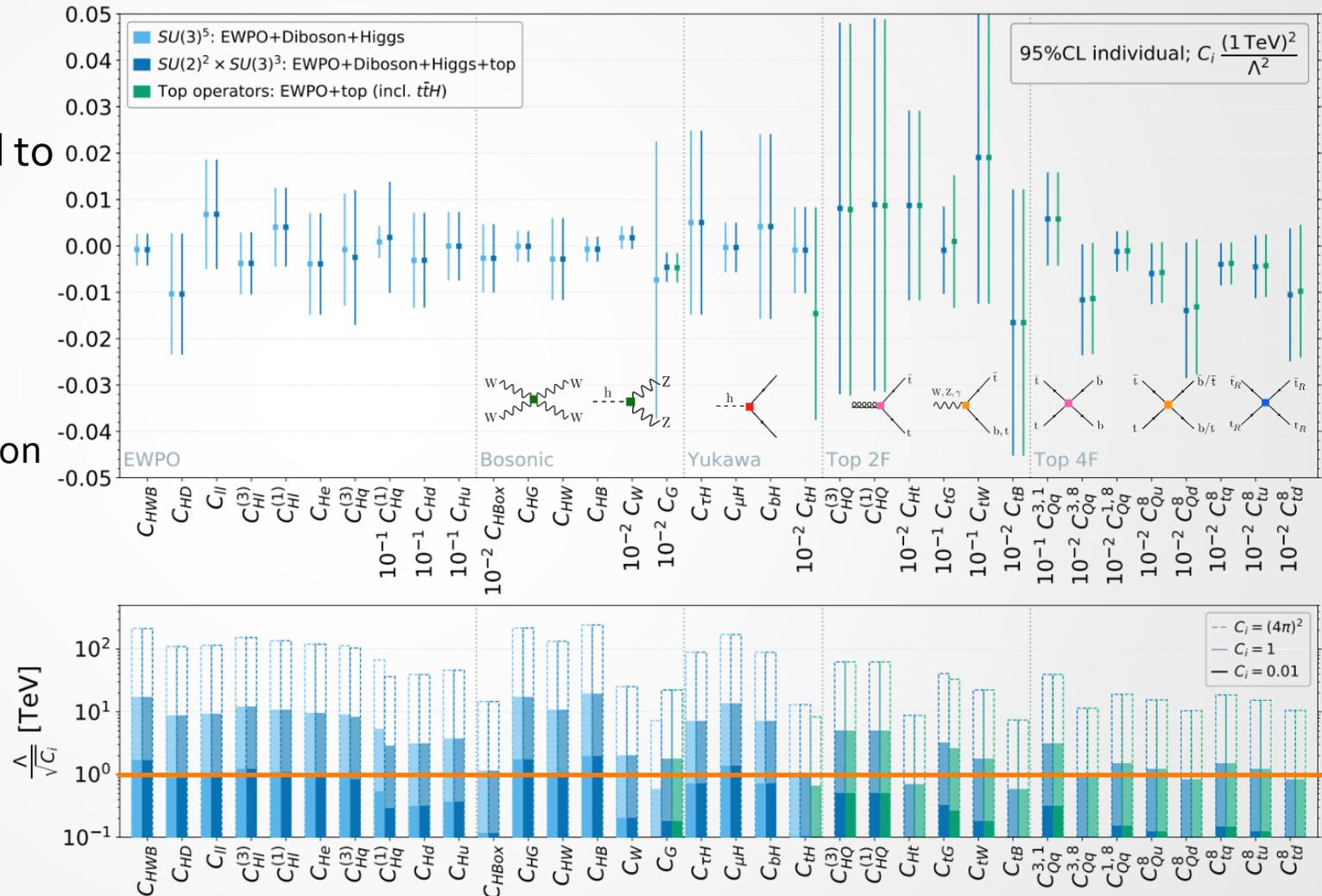
what we actually want:
change in likelihood of
a specific observation

Latent space is integrated
in numerator and denominator

GLOBAL FITS

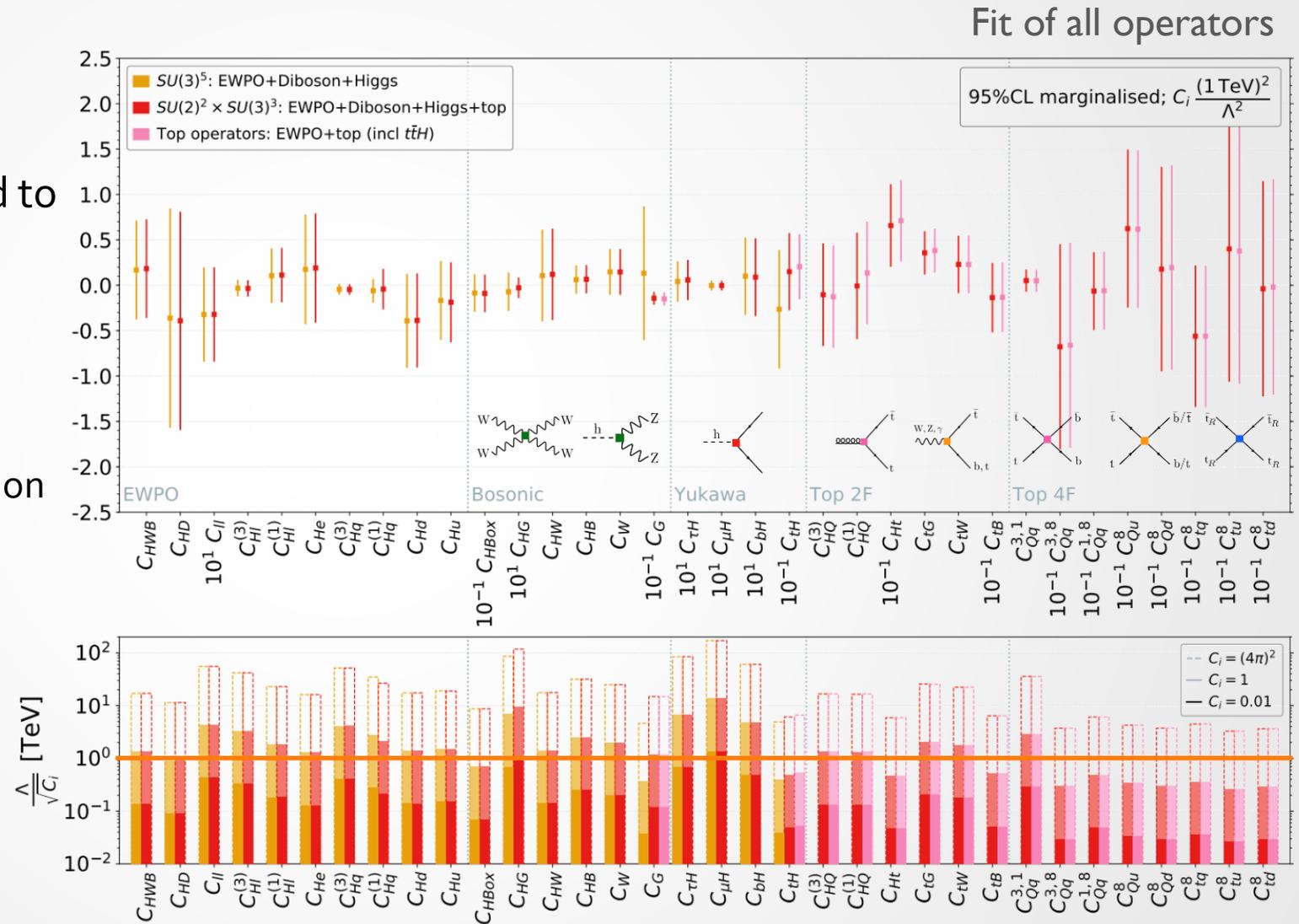
- First global interpretations combining experimental results
- Individual operators constrained to $\sim 1\text{TeV}$ regime: $10^{-18} m$
- Caveats
 - background-subtracted inputs
 - simplified uncertainty correlation
- All-operator (marginalized) fits significantly less constraining
 - adding more processes \rightarrow resolve ambiguities
- Experiments move towards more global fits

Fit one operator at a time



GLOBAL FITS

- First global interpretations combining experimental results
- Individual operators constrained to $\sim 1\text{TeV}$ regime: 10^{-18} m
- Caveats
 - background-subtracted inputs
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- All-operator (marginalized) fits significantly less constraining
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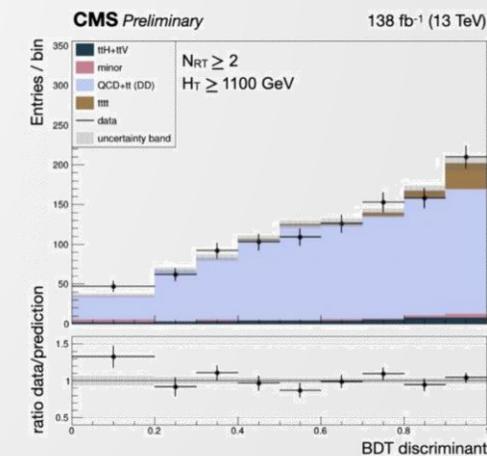
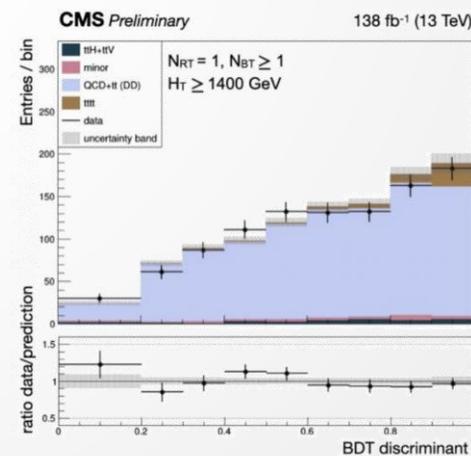
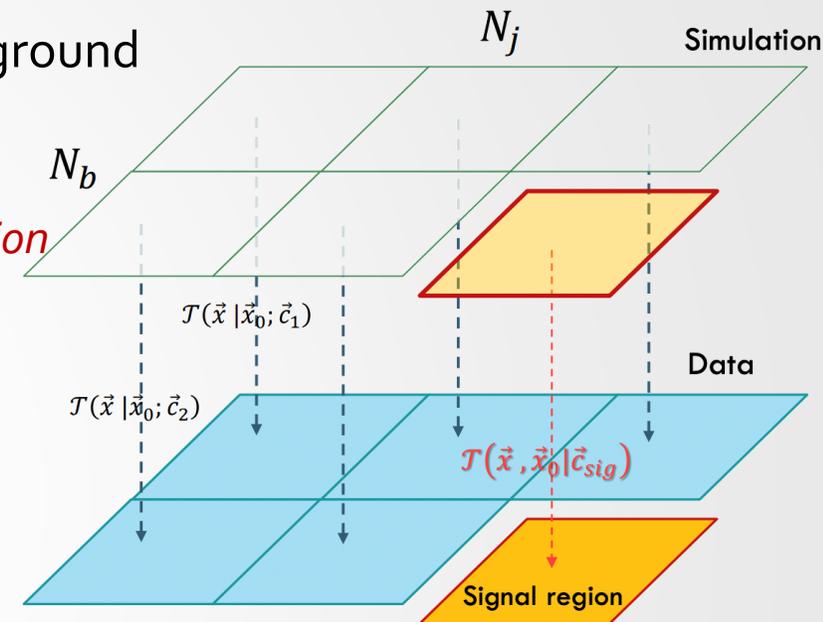
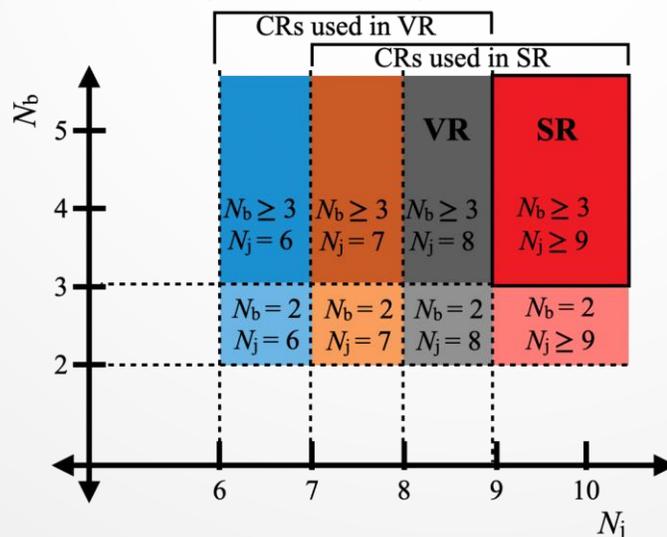
CALIBRATE BDT SHAPE WITH ABCDNN

- CMS : **BDT classifier** from 20 features for **all-hadronic four-top** background
- Corrects BDT shape using [**ABCDnn**]: Neural autoregressive flow
 - Learn an invertible transformation of H_T /BDT shape from *data* to *simulation* **conditioned on a region c**

$$\int \mathcal{T}(\vec{x}, \vec{x}_0 | \vec{c}) f_{src}(\vec{x}_0) d\vec{x}_0 = f_{target}(\vec{x} | \vec{c})$$

- Technically, a DNN predicts the **parameters** of a **bijective mapping**
 - Encoding of indexed region is DNN input \rightarrow extrapolate to SR

- NN version of traditional ABCD method
- Validation region between SR and CRs ($N_{jet}=8$)

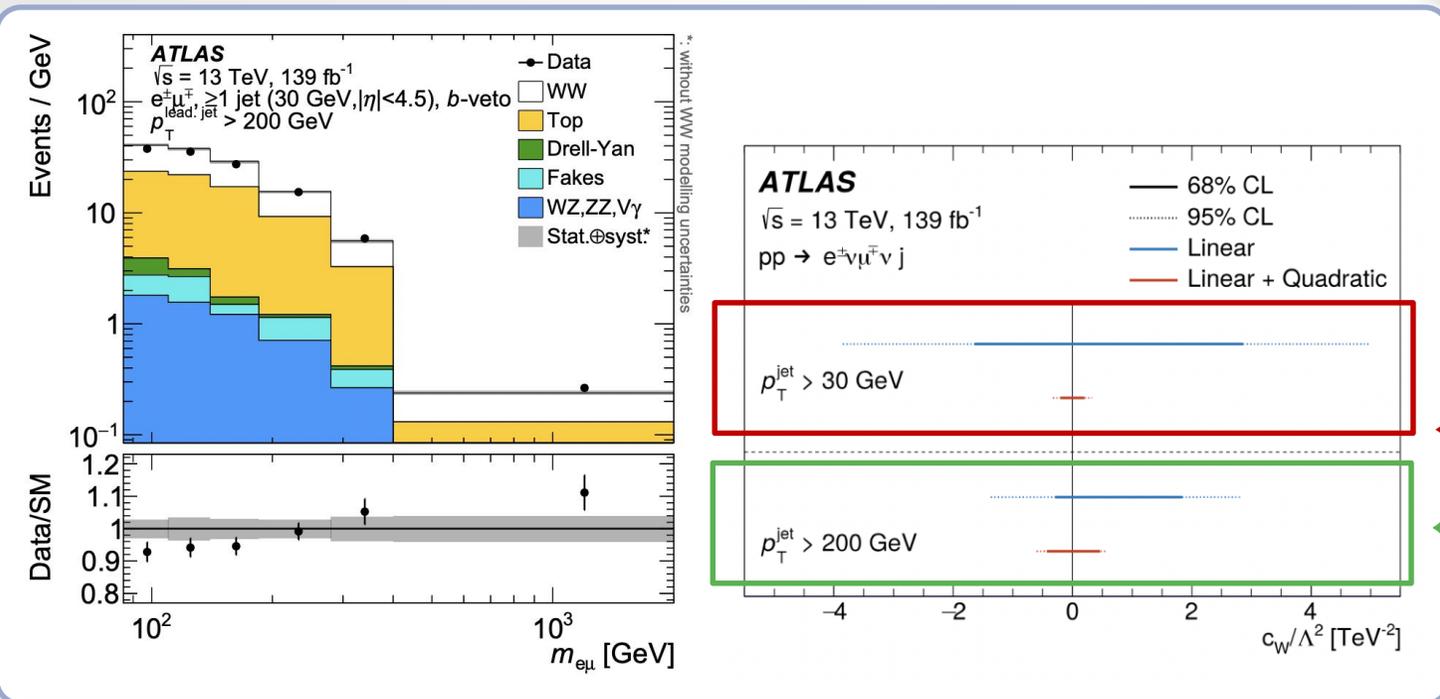
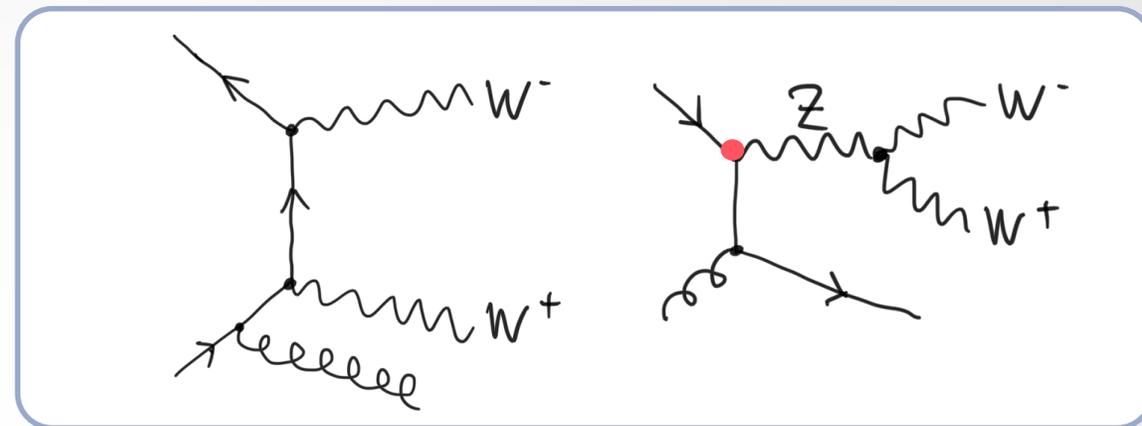


ENHANCING LINEAR SMEFT SENSITIVITY

JHEP 06 (2021) 003



- Linear dim6 term is the only unambiguous contribution
- Consider W^+W^- production **in association ≥ 1 jet**
 - **$e\mu$ channel** has negligible Drell-Yan background
 - **Inclusive** and **differentiation** measurements
 - 12 kinematic variables (lepton, jet, ...) are measured



- Why the jet requirement? BSM interference cancels among helicities

$$\sigma = \sigma^{\text{SM}} + C_{3W} \sigma^{\text{int.}} + C_{3W}^2 \sigma^{\text{BSM}}$$

Cancellations among helicities

Same order as dim. 8

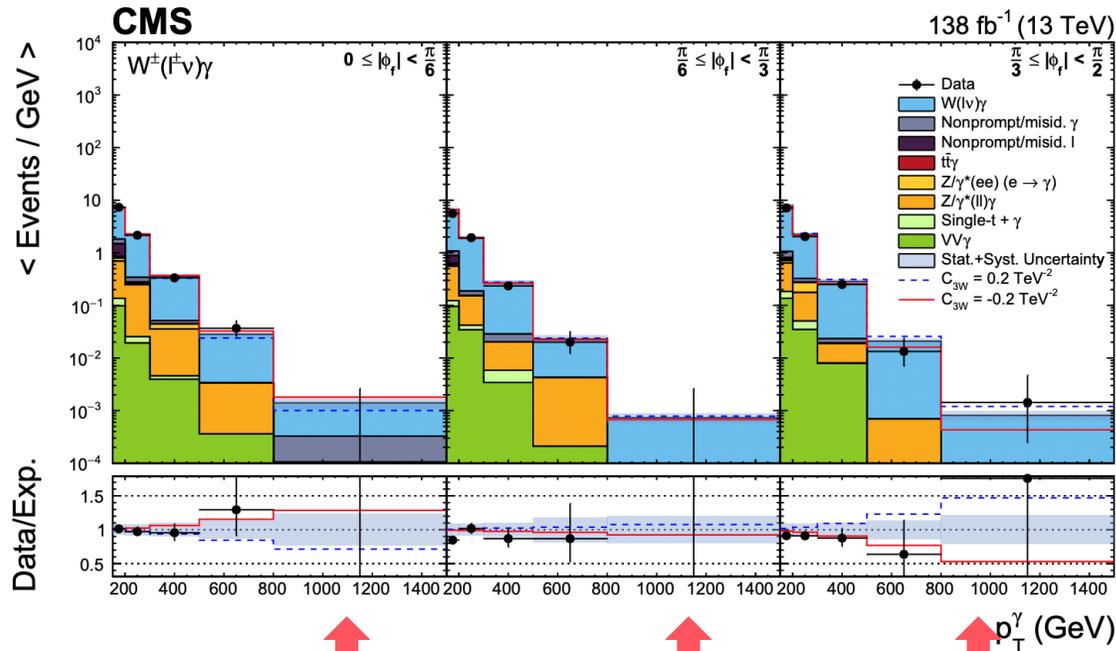
← Helicity suppression

← Recovery

- hard jet ($p_T > 200$ GeV) requirement changes helicity composition

LINEAR SMEFT SENSITIVITY IN $W\gamma$ PRODUCTION

SMP-20-005

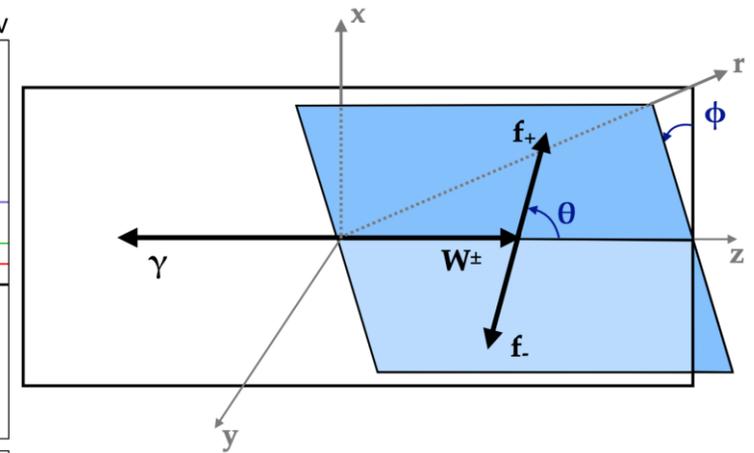
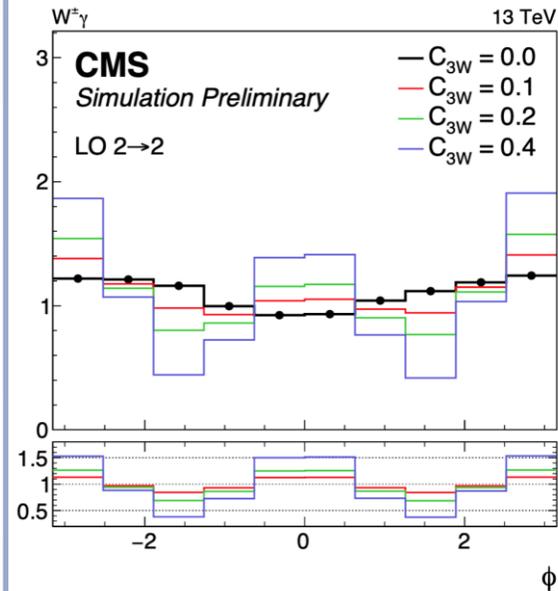


CP-even

CP-odd

CP-even

Interference resurrection [arXiv:1708.07823](https://arxiv.org/abs/1708.07823)



CP-even: $\cos(2\phi) \leftrightarrow \mathcal{O}_W$

CP-odd: $\sin(2\phi) \leftrightarrow \mathcal{O}_{\tilde{W}}$

• Boosting to the diboson center-of-mass frame allows to reconstruct decay plan angle ϕ

• It's distribution carries information on BSM effects in the $W_{L/R}$ helicities.

• Binning $p_T(\gamma)$ in ϕ recovers CP structure; facto 5-10: $-0.062 < C_{3W}/\Lambda^2 < 0.053 \text{ TeV}^{-2} \rightarrow \Lambda_{\text{BSM}} \sim 5 \text{ TeV}$

GOALS FOR MACHINE-LEARNING *OF* EFT

[EPJC 81 (2021) 178]

SMEFT effects can be

1. in the tails of the distributions because, e.g. 4-point functions grow with energy

2. in angular observables & correlations, sometimes encoding CP-violating effects

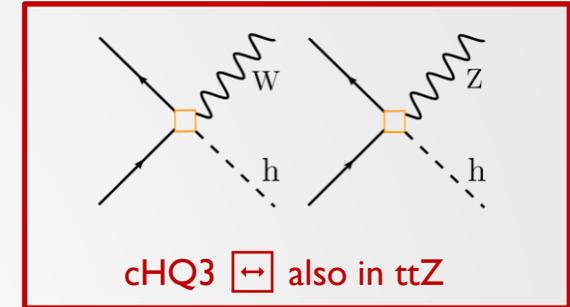
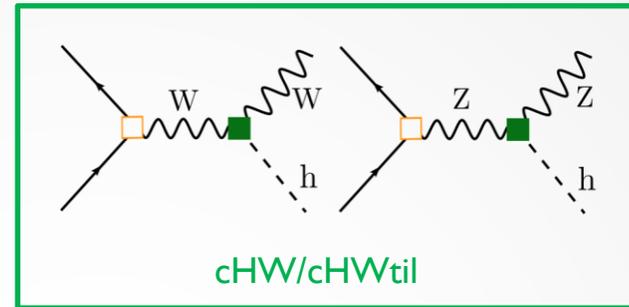
- “interference resurrection” [PLB 2017 11 086](#)
- “method of moments” [JHEP 06 \(2021\) 031](#)

- Enhance / single out the linear term
 - Up to triple-angular correlations, x5-10 boost in sensitivity

3. on top of “kinematically complex” backgrounds

- Def: Usually amenable to classification MVAs
- Unify the training target with classification

• What happens if we classify SMEFT vs. SM?



Tree-level SMEFT amplitude of ZH (transverse polarisation):

$$\hat{M}_\sigma^{\lambda=\pm} = g_Z m_Z \sqrt{\hat{s}} \left[\frac{g_{Z\sigma}}{\hat{s} - m_Z^2} + c_{\theta_W} \left(1 + \frac{\hat{s} - m_h^2}{m_Z^2} \right) \left(\frac{g_{Z\sigma} c_{\theta_W}}{\hat{s} - m_Z^2} + \frac{Q_q e s_{\theta_W}}{\hat{s}} \right) \frac{v^2}{\Lambda^2} C_{HW} - \frac{2i\lambda k \sqrt{\hat{s}}}{m_Z^2} c_{\theta_W} \left(\frac{g_{Z\sigma} c_{\theta_W}}{\hat{s} - m_Z^2} + \frac{Q_q e s_{\theta_W}}{\hat{s}} \right) \frac{v^2}{\Lambda^2} C_{H\tilde{W}} \right] + g_Z^2 \frac{\sqrt{\hat{s}}}{m_Z} T_q^{(3)} \frac{v^2}{\Lambda^2} C_{HQ^{(3)}}$$

