

Search for tau neutrino appearance in the DUNE Near Detector Complex

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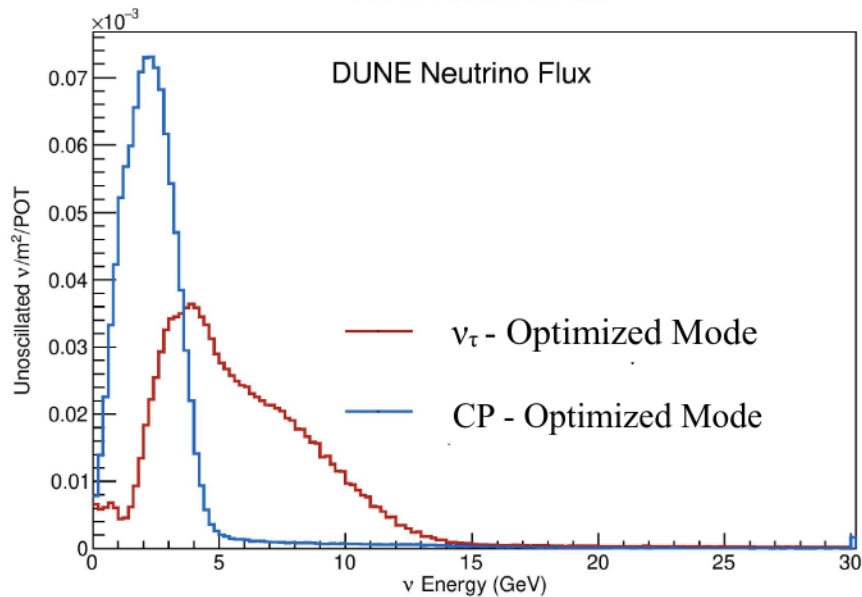
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Objectives of the analysis

- ν_τ measurements are challenging due to the 3.5 GeV τ production threshold.
- **DUNE is in a unique position to probe the ν_τ sector:**
 - DUNE has a flexible beamline that can be optimized for higher energy flux for ν_τ studies.
 - Dedicated ν_τ optimized beam run during Phase II.



Beam configuration: higher energy neutrino beam optimized for ν_τ appearance.

Objectives

- Evaluate DUNE's ability to probe potential tau neutrino appearance in the ND from short-baseline oscillations driven by sterile neutrino mixing. Assuming a 3+1 model :

$$P(\nu_\mu \rightarrow \nu_\tau) \approx \sin^2(2\theta_{\mu\tau}) \sin^2\left(\frac{\Delta m_{41}^2 L}{4E}\right)$$

$$\sin^2(2\theta_{\mu\tau}) = 4|U_{\mu 4}|^2 |U_{\tau 4}|^2 = \cos^4\theta_{14} \sin^2(2\theta_{24}) \sin^2(2\theta_{34})$$

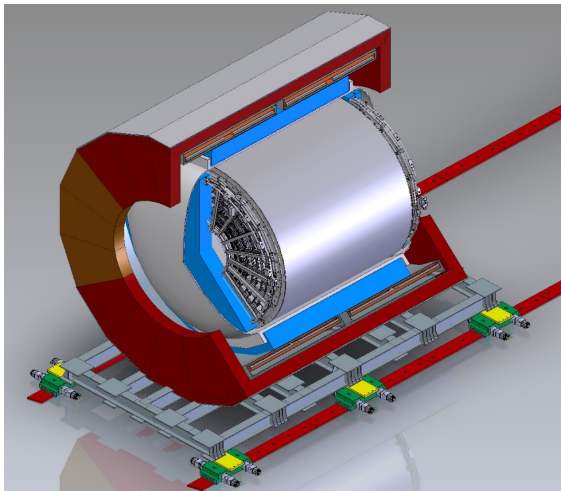
- Study tau neutrino interactions in the primary ND-LAr detector and evaluate how different secondary detector designs impact the sensitivity to tau neutrino appearance.
- Simulation: GENIE information was used.

Secondary detector in the Near Detector Complex

DUNE phase II :

- Fermilab proton beam upgrade to 2.4 MW
- Two additional 17kt FD modules
- Near detector: ND-LAr + MCND (ND-GAr) + SAND

Full scope DUNE Phase II



ND - GAr

ND-GAr is a magnetized detector system consisting of a high-pressure gaseous argon TPC (HPgTPC) surrounded by an electromagnetic calorimeter (ECAL), both in a 0.5T magnetic field, and a muon system.

- HPgTPC (high-pressure gaseous argon) :
 - A TPC filled with gaseous argon; a possible component of the DUNE ND.
- ECAL (electromagnetic calorimeter) :
 - A detector component that measures energy deposition of traversing particles
- The excellent resolution of the detectors, intense neutrino flux from LBNF and the short baseline of 574 makes the DUNE ND ideal for a **sterile neutrino search**.

Muon energy reconstruction using ND-GAr

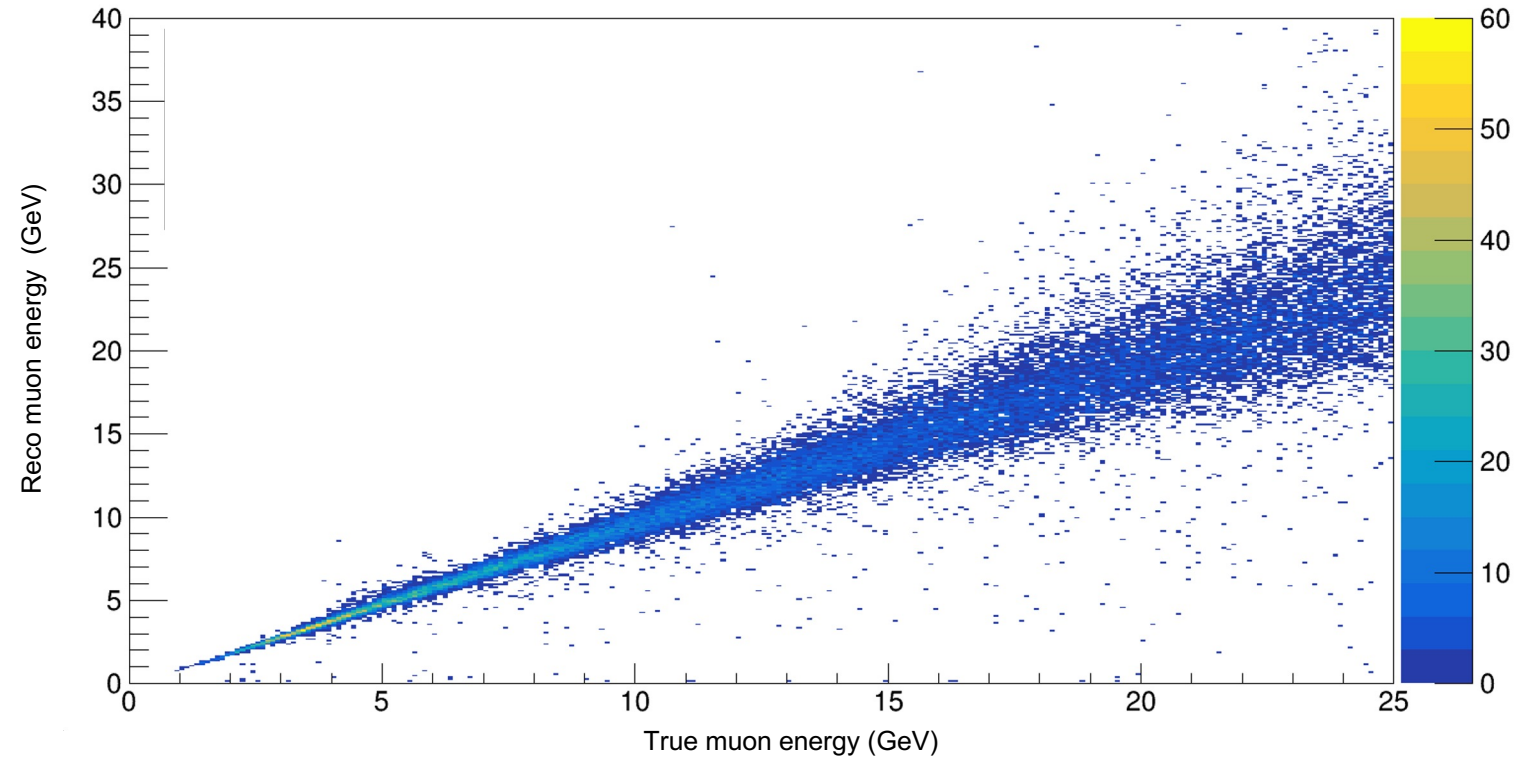
- Several τ decay channels were considered for the analysis with a particular focus on the muon case.
- Only a small fraction of muons from tau decay will be contained in the ND-LAr

τ decay modes

Decay mode	Branching ratio (%)
$\pi^- \pi^0 \nu_\tau$	25.49
$e^- \bar{\nu}_e \nu_\tau$	17.82
$\mu^- \bar{\nu}_\mu \nu_\tau$	17.39
$\pi^- \nu_\tau$	10.82
$\pi^- 2\pi^0 \nu_\tau$	9.26

ND-GAr

Energy true vs reco (GEV)

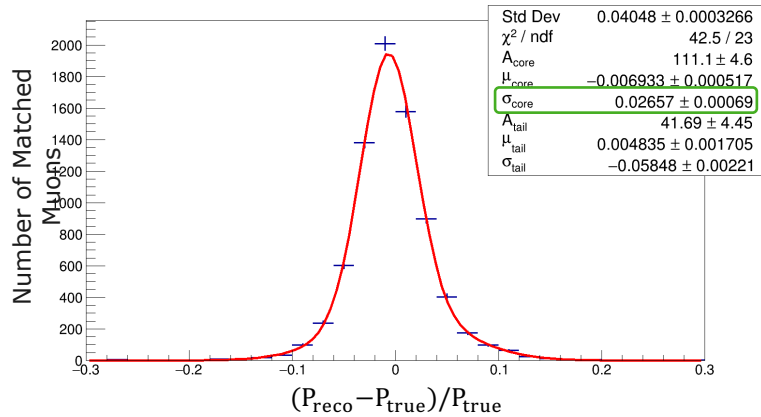


Muon momentum resolution using ND-GAr

- The plots represent the muon momentum resolution at different energy range for ND-GAr.
- Each plot shows the $\frac{P_{\text{reco}} - P_{\text{true}}}{P_{\text{true}}}$ distribution, which is fitted with a double gaussian.

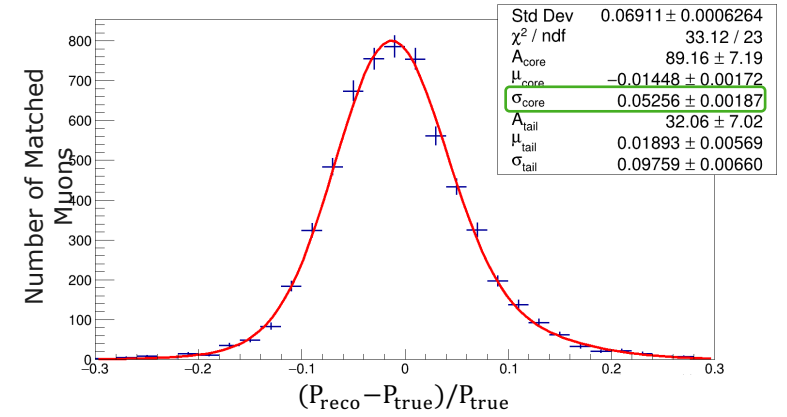
0 to 8 GeV

$\sigma_{\text{core}} = 0.027$



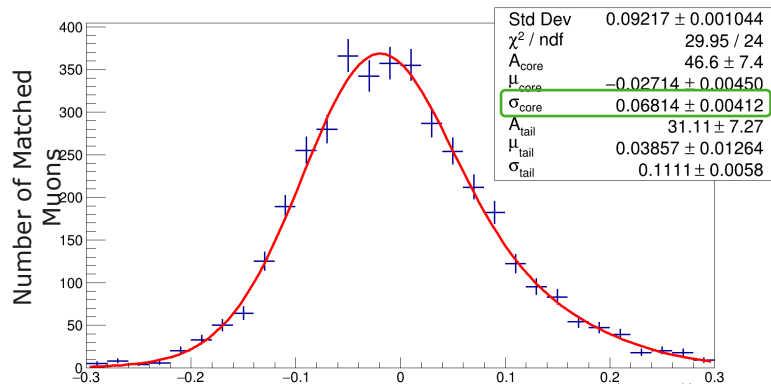
8 to 14 GeV

$\sigma_{\text{core}} = 0.052$



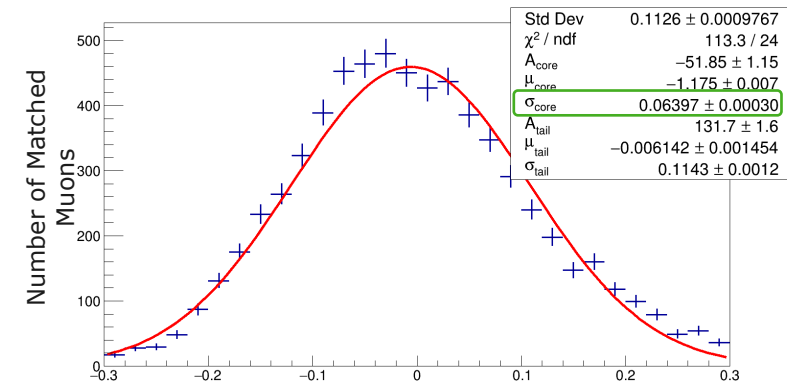
14 to 18 GeV

$\sigma_{\text{core}} = 0.068$



18 to 25 GeV

$\sigma_{\text{core}} = 0.063$

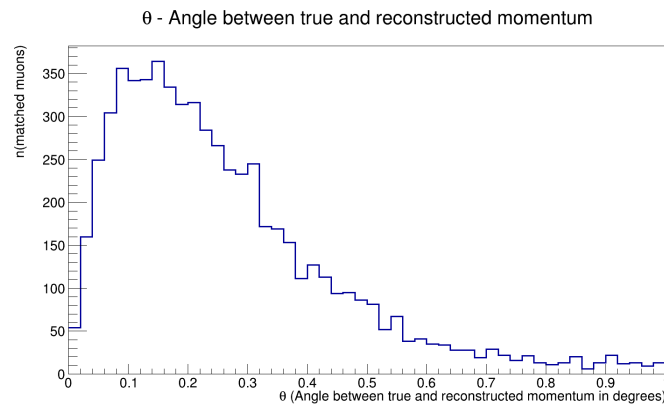


► TMS is limited to μ energy less than 6GeV because of μ containment

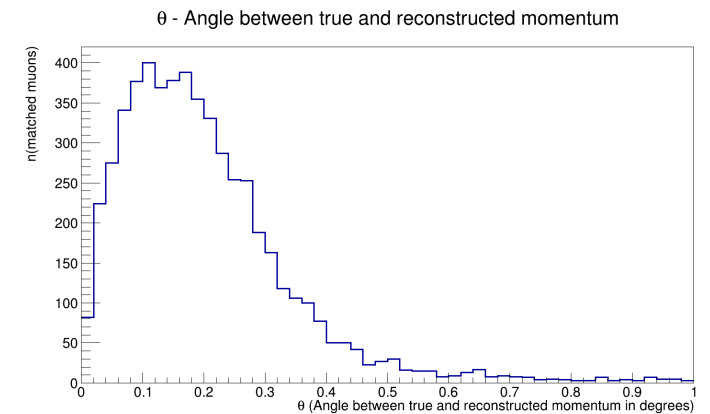
Muon angular resolution using ND-GAr

- The plots represent the distributions of the angle between the true muon direction and the reconstructed muon direction for different energy ranges.

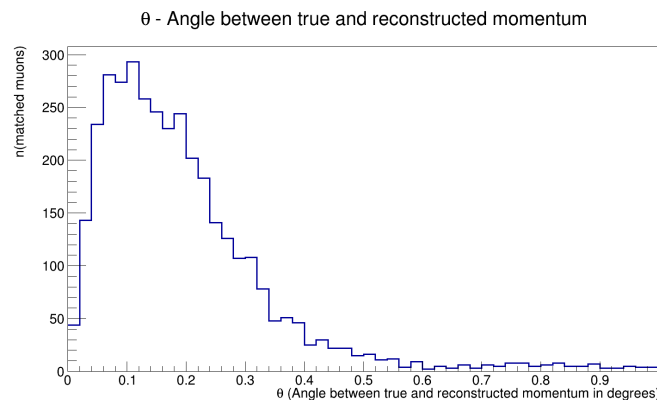
0 to 8 GeV
Angular resolution : 0.4392



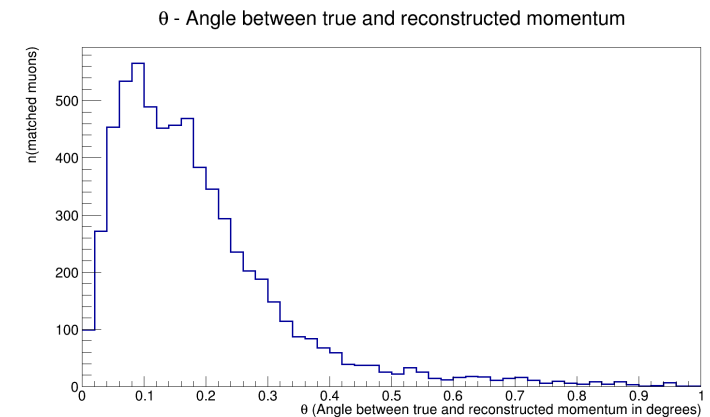
8 to 14 GeV
Angular resolution : 0.268



14 to 18 GeV
Angular resolution : 0.251



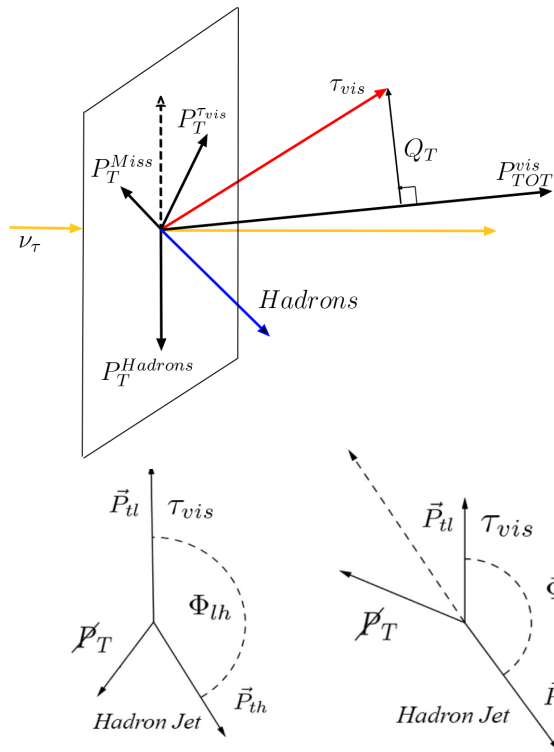
18 to 25 GeV
Angular resolution : 0.235



ν_τ signal and background separation

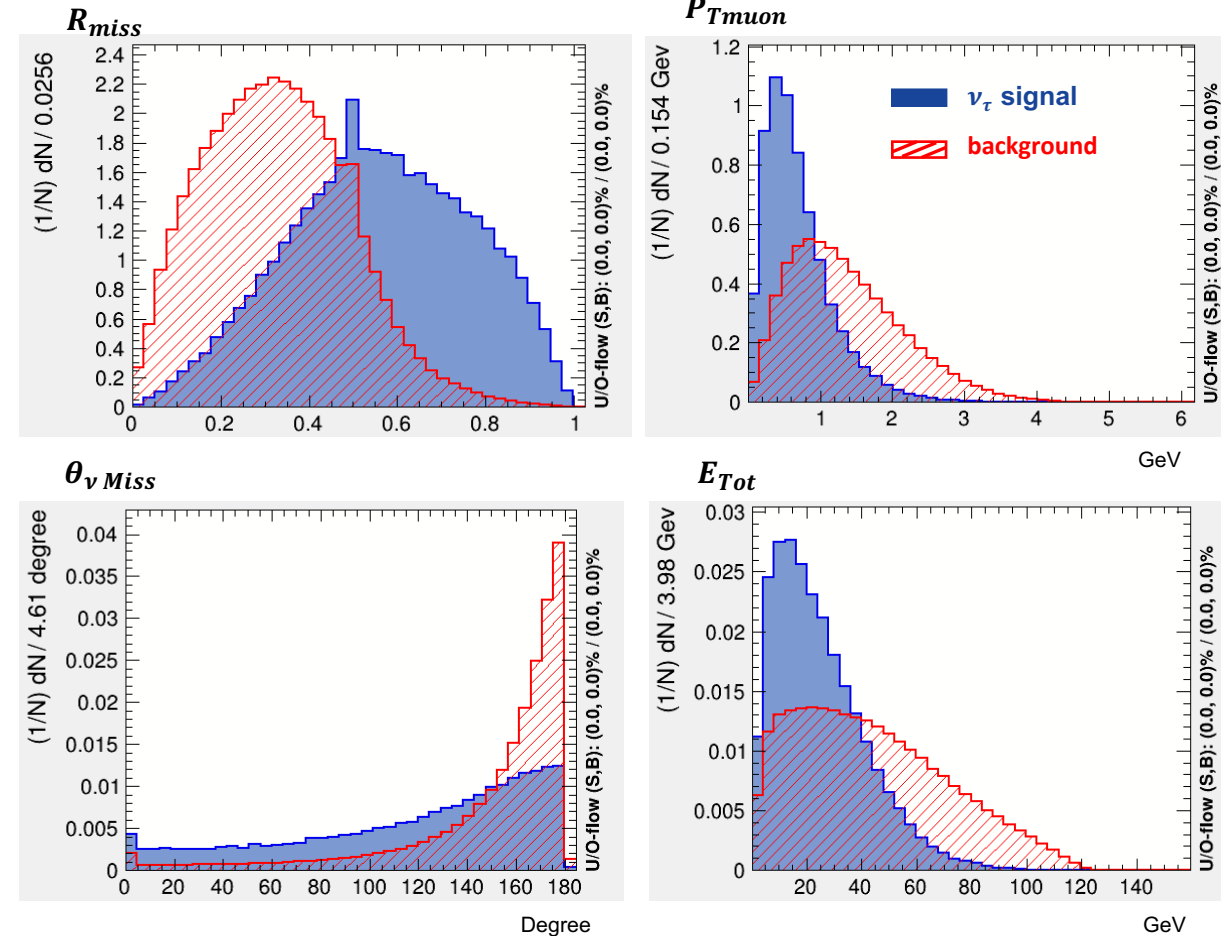
- The signal and background separation is based on kinematic differences. Used a total of 18 variables.

Table shows 6 variables providing highest signal/bg separation



Ranking	Kinematic variables
1	$R_{miss} = \frac{P_{TMiss}}{P_{TMiss} + P_{Tmuon}}$
2	P_{Tmuon} : transverse lepton momentum
3	$\theta_{v\ Miss}$: angle between beam direction and missing transverse momentum
4	E_{Tot} : total visible energy
5	$\Phi_{muon\ hadron}$: angle between transverse muon and hadron momentum
6	P_{Tot} : total transverse momentum

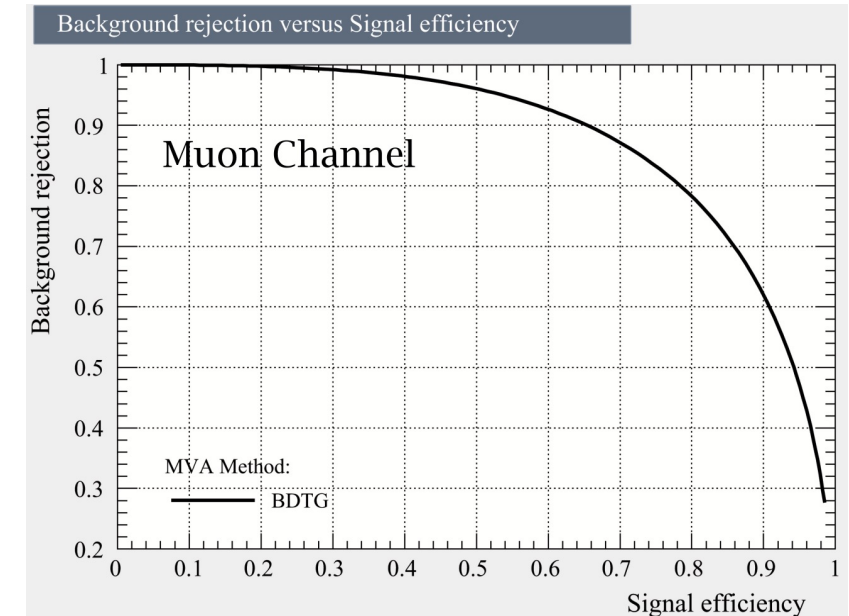
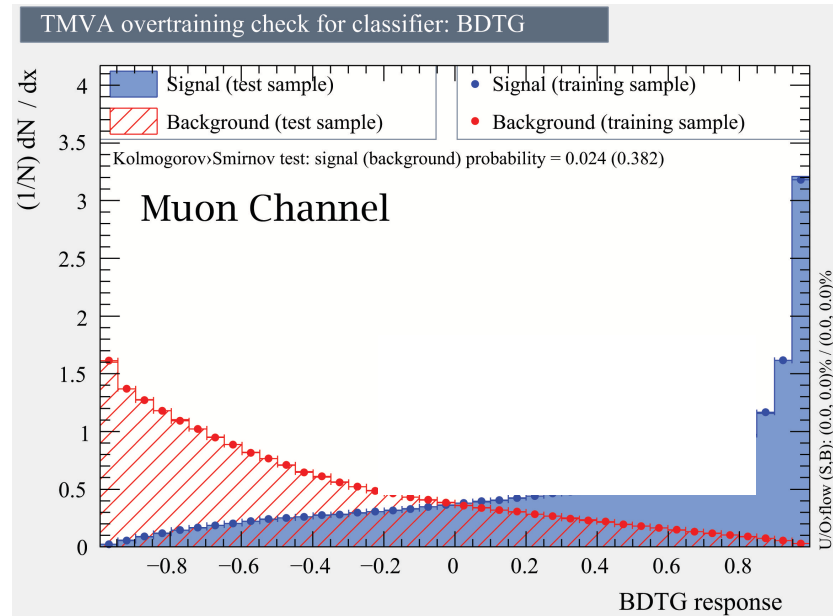
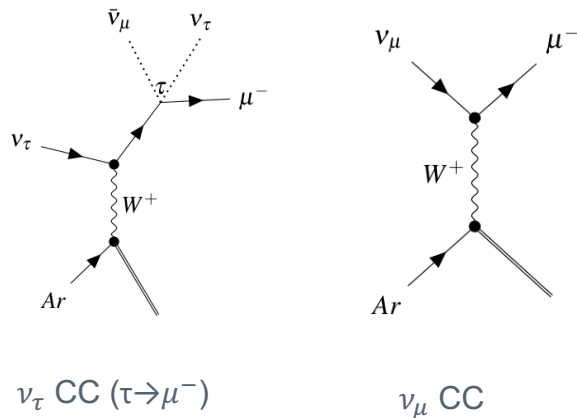
Background interaction products in the transverse plane ν_τ CC interaction products in the transverse plane



Examples of ν_τ signal (blue) and background (red) kinematic variables distribution.

ν_τ signal and background separation

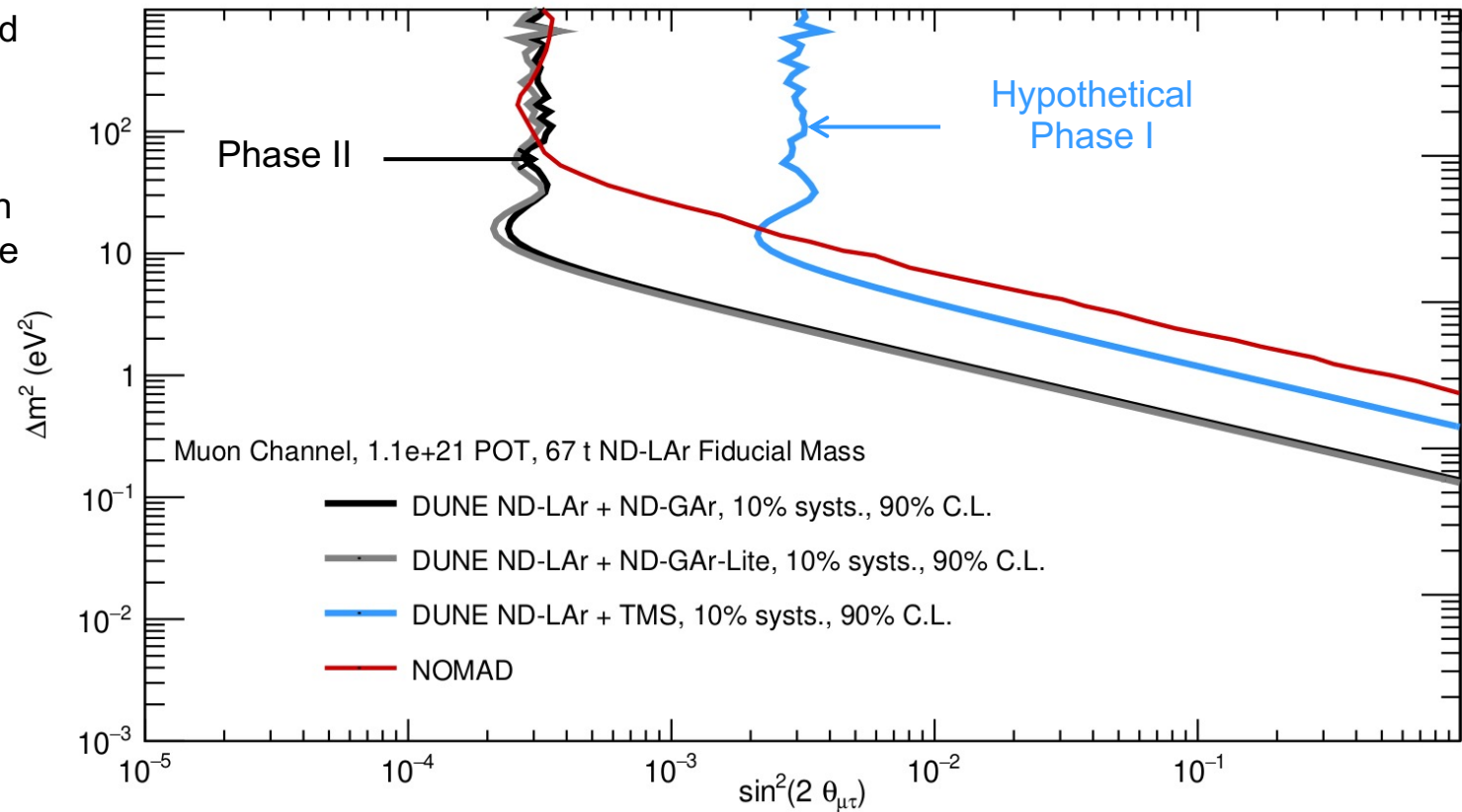
- A Boosted Decision Tree classifier was used with the kinematic variables for the signal and background separation. The BDT was trained and tested with flat energy ν_τ and ν_μ events.



► Reasonable separation of the ν_τ CC from their main backgrounds.

Sensitivity to ν_τ appearance Muon channel only

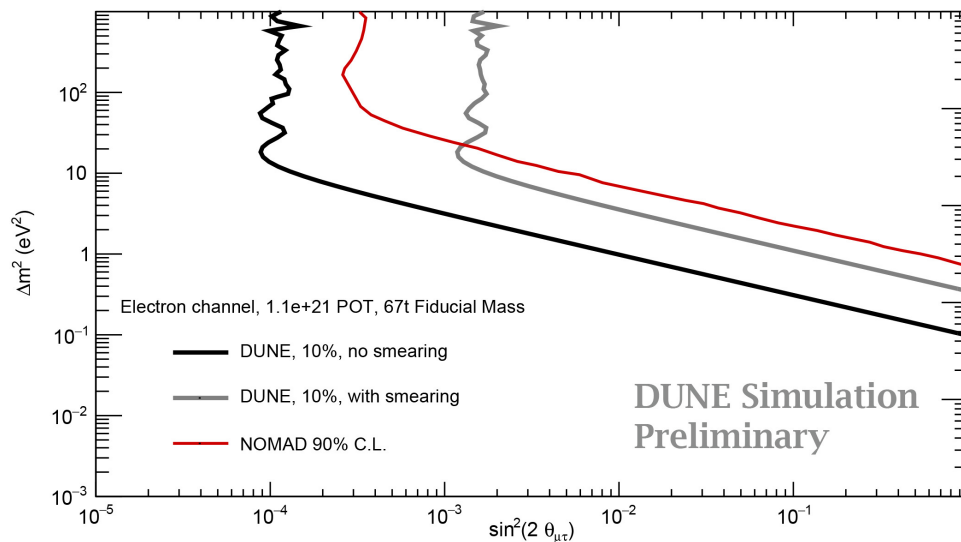
- Sensitivity determination based on event counting. Using **1 year of running in DUNE ν_τ optimized mode** and 67t fiducial mass for ND-LAr (beam power assumed to be 1.2 MW). The kinematic information from GENIE was used.
- Muon smearing based on the ND-GAr energy resolution was applied to the GENIE kinematic information. For the hadronic system, ND-LAr expected resolutions were used.
- Considered an overall 10% systematic uncertainty.
- High BDTG cuts were applied to ND-GAr (BDTG score > 0.9965) corresponding to regions with almost no backgrounds.
- Phase I TMS sensitivity includes neutrino interactions contained inside ND-LAr+TMS, corresponding to muon energies below 6 GeV.
 - Shown for comparison, as no ν_τ -optimized running is projected for Phase I



Sensitivity to ν_τ appearance Electron and rho channel

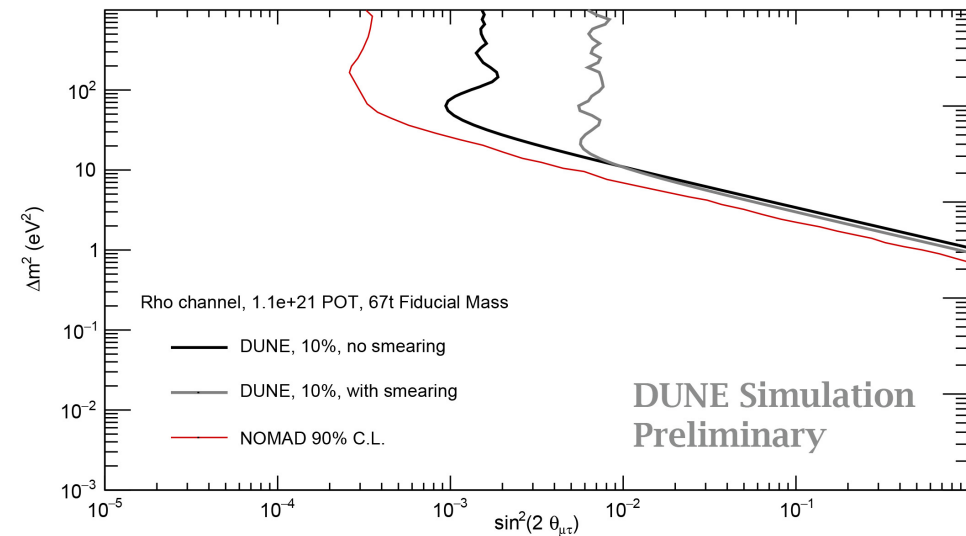
- **Sensitivity** : based on event counting. All events were normalized such that they would correspond to **1.1e21 P.O.T.** and **67t** fiducial mass of ND-LAr.
- For the electron and rho channel, the particles from interaction were supposed to be contained in the ND-LAr and the ND-LAr resolution was used to smear the momentum.
 - **Grey contours** : sensitivity considering the ND-LAr smearing values.

$$FOM_{sys} = \frac{s}{\sqrt{(s+b) + (0.1*(s+b))^2}}$$



▶ **Electron channel** : select events with ν_τ BDTG score > 0.995

([Miriam Rajaoalisoa - APS 2021](#))

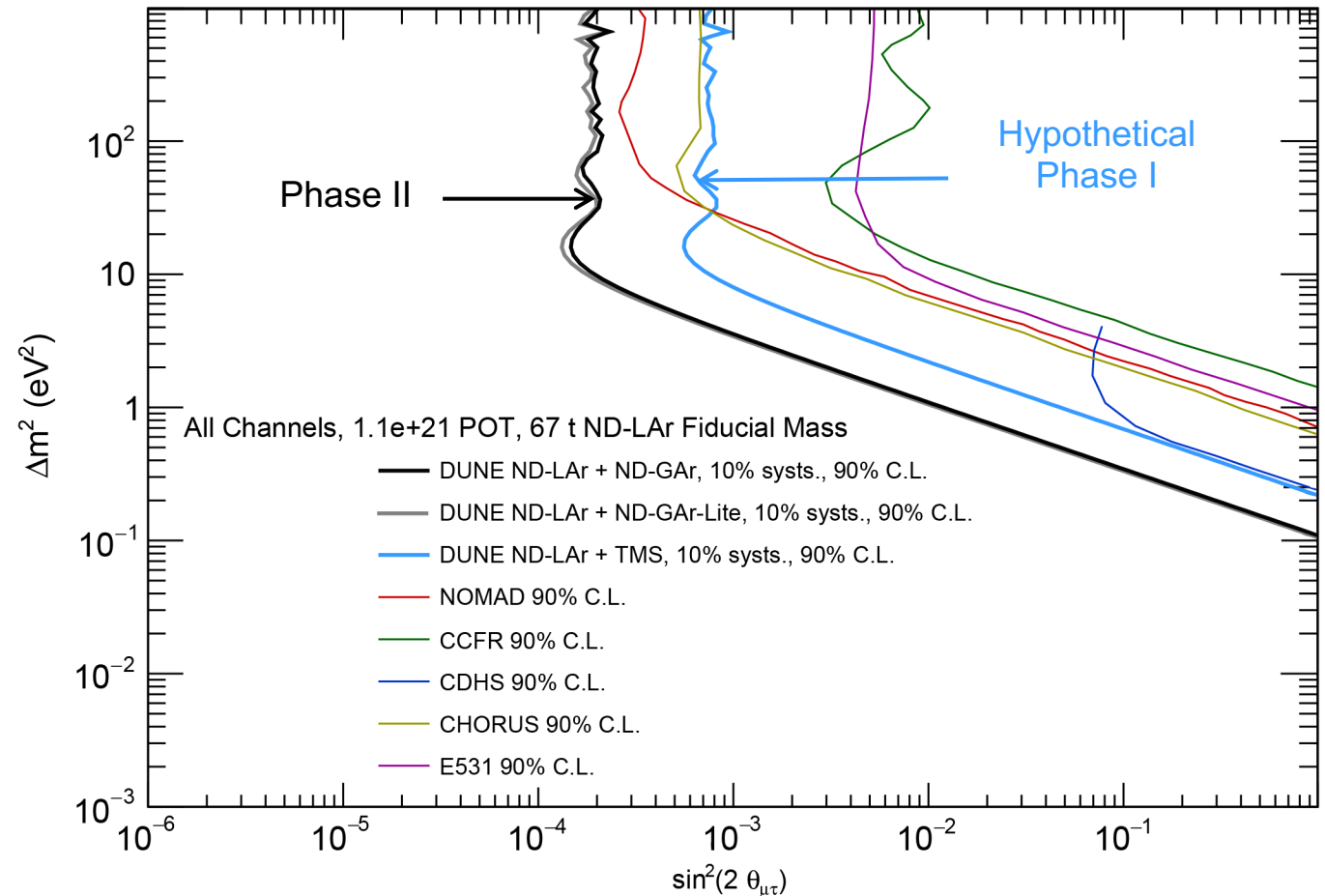


▶ **Rho channel** : select events with ν_τ BDTG score > 0.99

Sensitivity to ν_τ appearance Muon + Electron + Rho decay channels

- Apart from the muon channel, the electron and rho τ decay channels were also considered (for the electron and rho channel, only the ND-LAr was considered).
- Considered an overall 10% systematic uncertainty.
- Smearing according to each detector's expected resolution was applied.

DUNE ND offers the possibility of a competitive sensitivity to anomalous ν_τ appearance compared to other experiments with ND-GAr.



Next step in the analysis

- Analysis of the BDT cut robustness:
 - High BDTG cuts were applied for event selection (ex: BDTG score > 0.9965 used for the muon channel) corresponding to regions with almost no backgrounds.
 - The model used in the analysis might influence the BDT cut due to changes in the hadronic system.
 - Test how robust the chosen cuts are if we were to use a different model.
 - This will be done by using a different GENIE Comprehensive Model Configuration (GENIE CMC).
 - Current work: deeper analysis of the backgrounds to understand what alternative CMC might be relevant to the considered kinematic regime.

Summary

- The DUNE ND complex offers a great setup for probing anomalous tau neutrino appearance.
- ND-GAr's ability to measure muon momentum from range with excellent resolution, due to its magnetic field, is essential to maximize sensitivity of this analysis
- With high BDTG score cuts (region with almost no background) and ν_τ -optimized beam configuration, DUNE will potentially have leading sensitivity to anomalous short-baseline ν_τ appearance.