



# A deep learning framework for charged-particle track reconstruction in the ATLAS ITk

MINH-TUAN PHAM ON BEHALF OF THE GNN4ITK PROJECT



# The problem of tracking

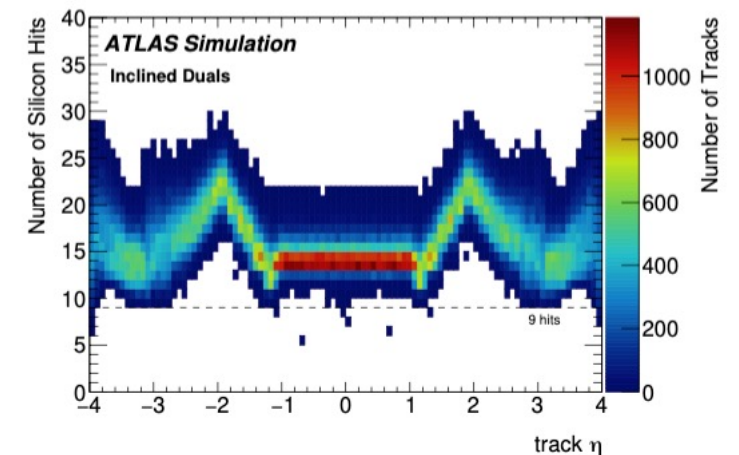
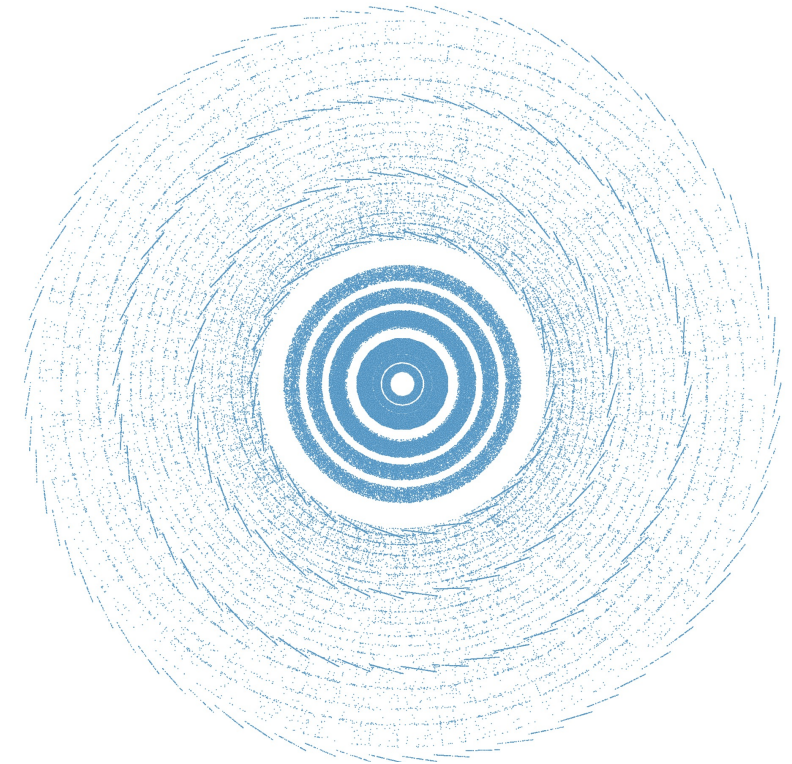
- In a silicon detector, charged particles leave an energy deposits (hits). Track reconstruction assigns each hit to a track.
- Very CPU-intensive and challenging to deal with increased pile-up in HL-LHC => Seek GPU-powered solutions.
- Study Graph Neural Network (GNN) approach to track reconstruction, using  $t\bar{t}$  events simulated with  $\langle\mu\rangle = 200$ . Compare the performance with that of the current algorithm under several physics metrics.

Detector	$\langle\mu\rangle$	inner tracking	muon spectrometer and calorimeter	combined reconstruction	monitoring	total
Run 2	90	1137	149	301	106	1693

<https://cds.cern.ch/record/2729668/files/LHCC-G-178.pdf>

ATLAS GNN tagger takes 2 overall jet features, and 21 track features  
ATL-PHYS-SLIDE-2023-048

Jet Input	Description
$p_T$	Jet transverse momentum
$\eta$	Signed jet pseudorapidity
Track Input	Description
$q/p$	Track charge divided by momentum (measure of curvature)
$d\eta$	Pseudorapidity of the track, relative to the jet $\eta$
$d\phi$	Azimuthal angle of the track, relative to the jet $\phi$
$d_0$	Closest distance from the track to the PV in the longitudinal plane
$z_0 \sin\theta$	Closest distance from the track to the PV in the transverse plane



# The problem of tracking

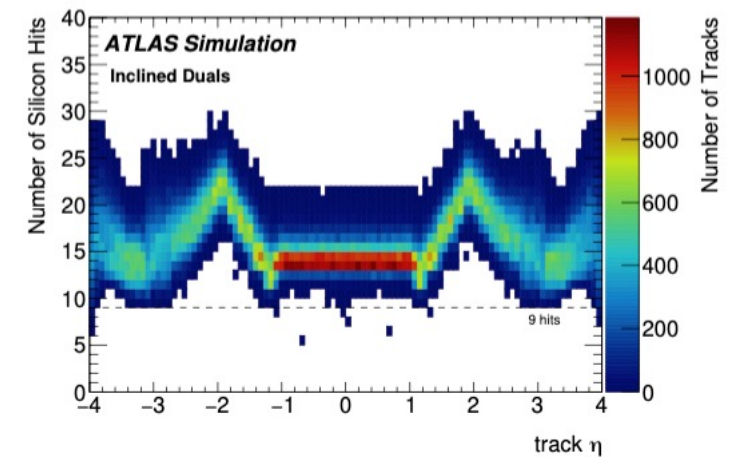
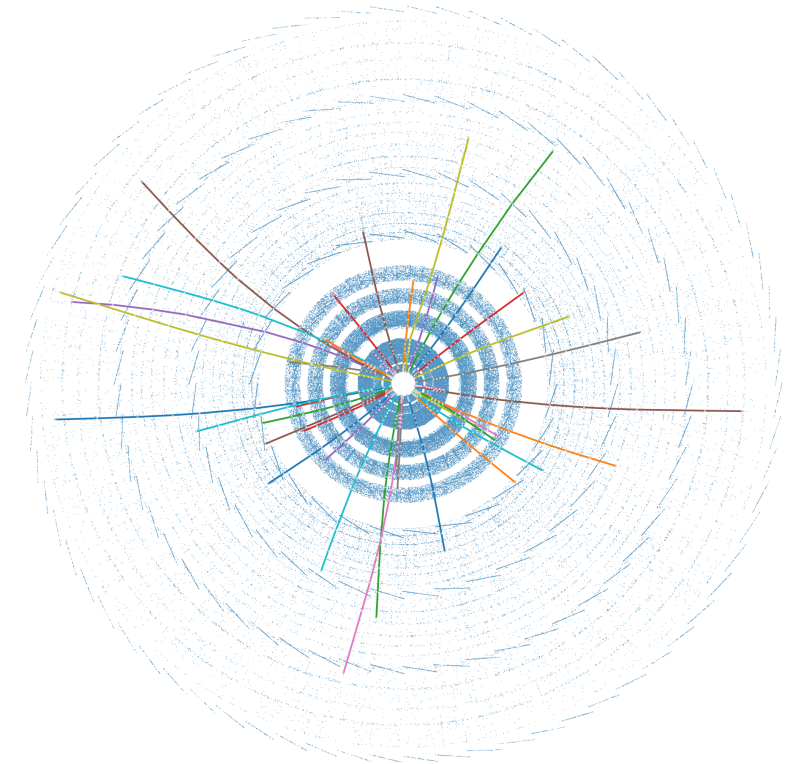
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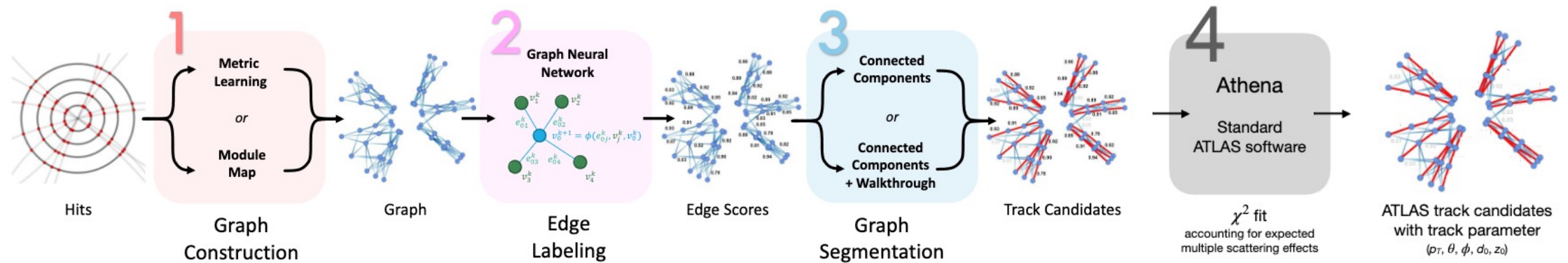
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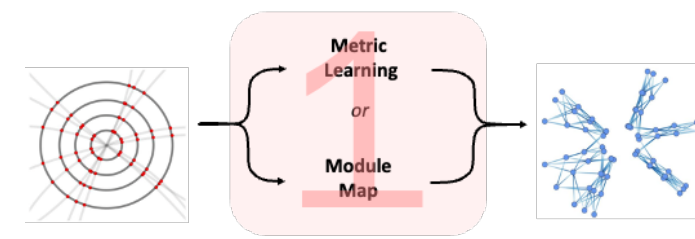
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# Overview of the GNN4ITk pipeline



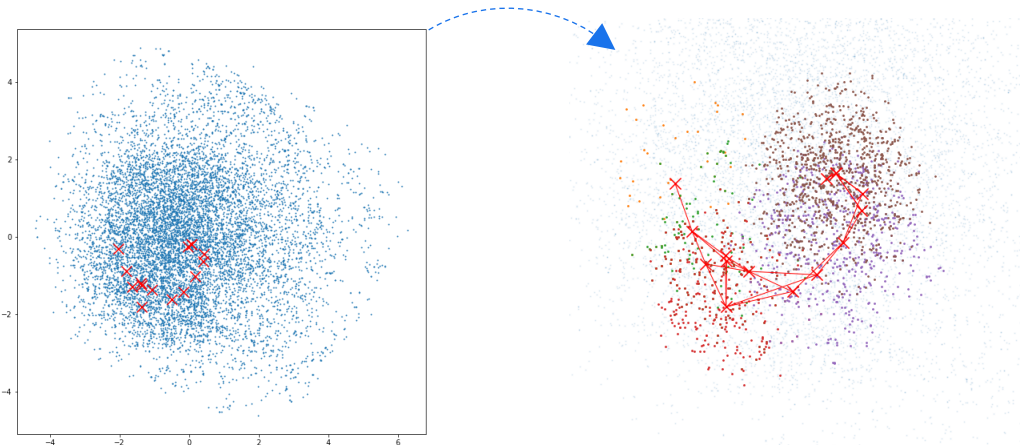
- Represent a pp-collision event as a graph. Treat each **hit** as a **node**, and each **edge** connecting 2 nodes as a hypothesis that they are 2 consecutive hits on a particle track.
- Classify edges using a Graph Neural Network (GNN), then segment the graph to build track candidates.
- [Git repo, documentation](#) (WIP).



# Graph construction

## Machine learning approach: Metric Learning

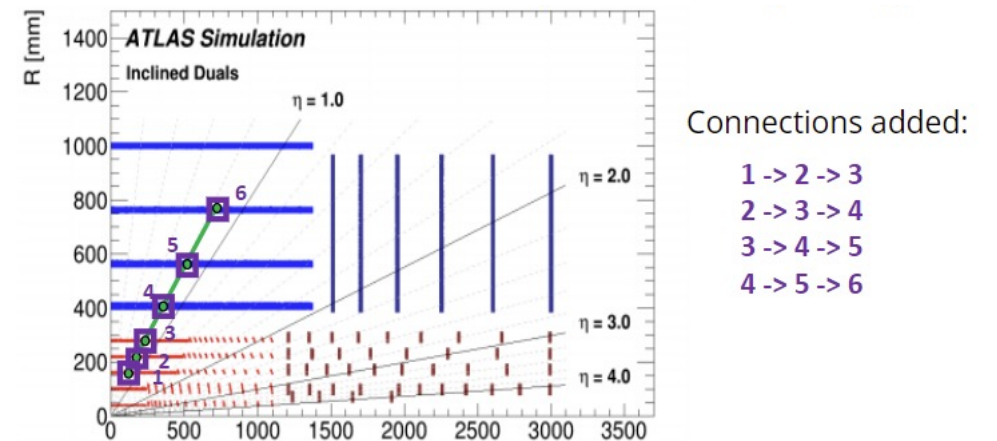
- Train a DNN to project hits to an embedding space, such that Hits from the same particles are near each other by  $L_2$ -distance. Constructs graphs using kNN.
- Clean up easy fake edges by a DNN or a shallow GNN to reduce graph size and fit on GPU.



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## Data driven approach: Module Map

- Build a map of detector modules, where a triplet of hits ABC means at least 1 true track has passed sequentially through A, B, and C.
- Register a triplet ABC if all 3 modules get hit in the event.

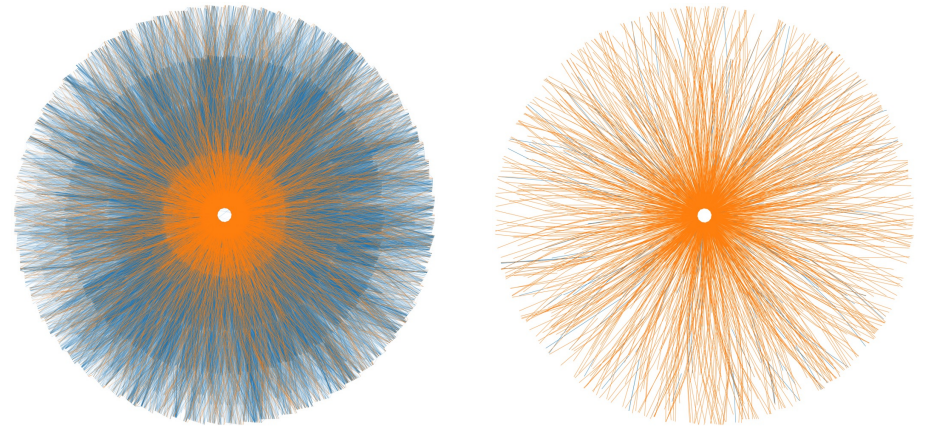
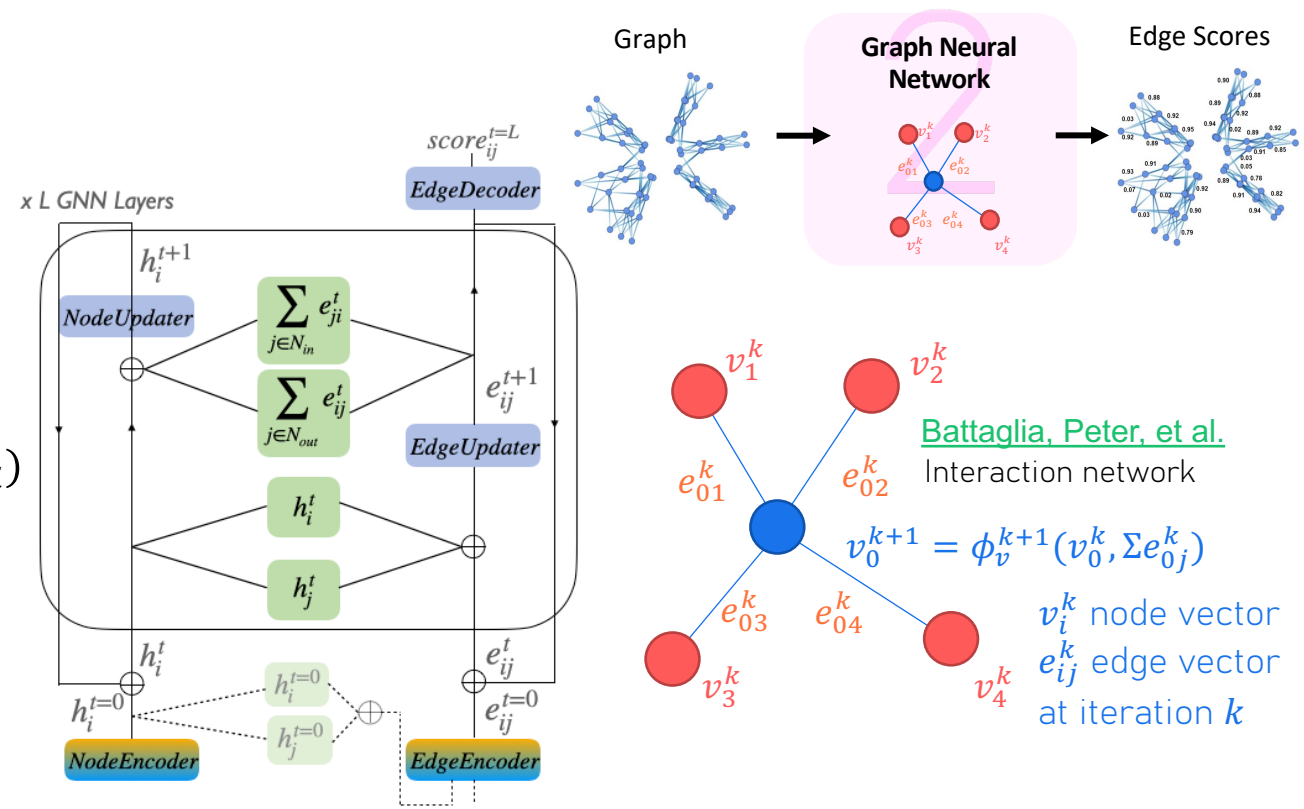


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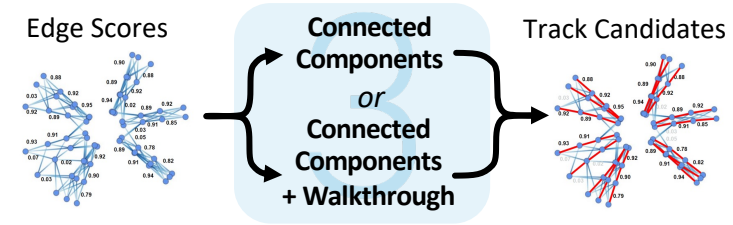
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# GNN edge classification

1. Encode nodes features (position, charge count, local measurements, etc.) to a latent node vector  $v_i^0 = \phi_v(x_i)$
2. Concatenate node vectors of two hits connected by an edge and encode to edge vector,  $e_{ij}^0 = \phi_e(v_i^0, v_j^0)$
3. Aggregate edge vectors, acting as messages between nodes,  $m_i^0 = \sum_j e_{ij}^0$
4. Update node features using aggregated message,  $v_i^1 = \psi_v^1(v_i^0, m_i^0)$ . Update edge features using updated node features,  $e_{ij}^1 = \psi_e^1(v_i^1, v_j^1, e_{ij}^0)$ .
5. Repeat steps 3 and 4  $n = 8$  times.
6. Compute an edge score representing the probability of being a true edge,  $s_{ij} = \psi_d(e_{ij}^n)$

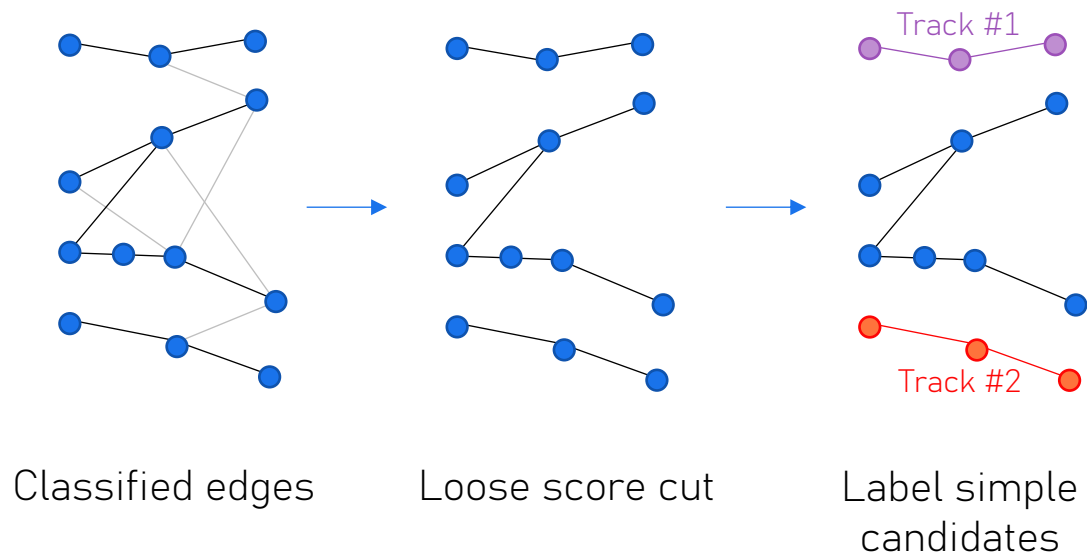


Input graph (left) and classified graph (right). Fake = blue. True = orange

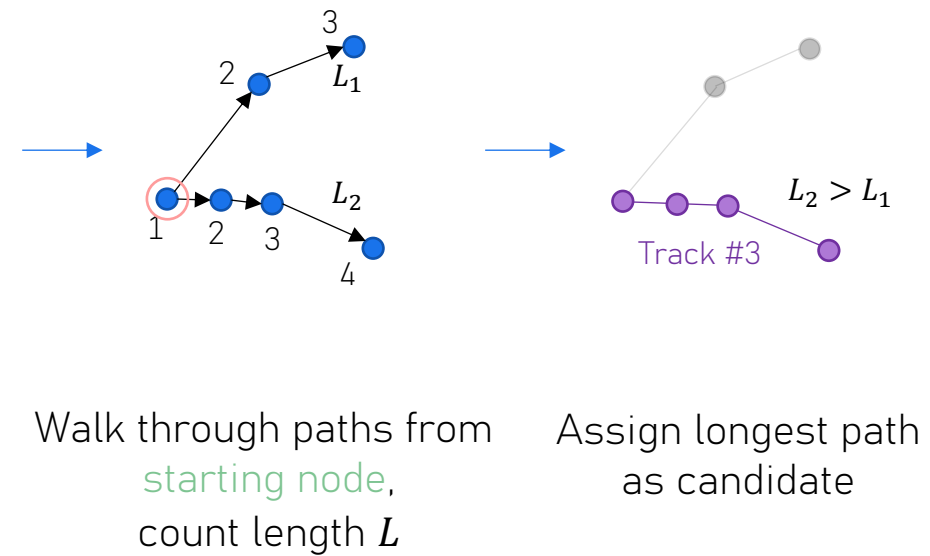


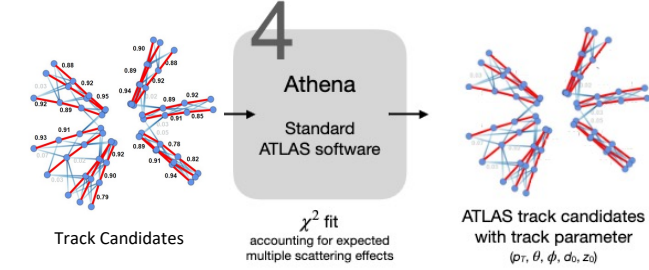
# Track construction

## 1. Connected Components



## 2. Walkthrough, a.k.a “Wrangler”





# Physics performance of the GNN4ITk pipeline

- Perform a global  $\chi^2$  fit on GNN track candidates. Evaluate the performance and compare to that of tracks found by the CKF.
- GNN tracks are selected using ATLAS requirements, with some selection cuts loosen.

Requirements	Pseudorapidity interval		
	$ \eta  < 2.0$	$2.0 <  \eta  < 2.6$	$2.6 <  \eta  < 4.0$
pixel + strip hits	$\geq 9$	$\geq 8$	$\geq 7$
pixel hits	$\geq 1$	$\geq 1$	$\geq 1$
holes	$\leq 2$	$\leq 2$	$\leq 2$
$p_T$ [MeV]	$> 1000$		
$ d_0 $ [mm]	$\leq 2.0$	$\leq 2.0$	$\leq 10.0$
$ z_0 $ [cm]	$\leq 20.0$	$\leq 20.0$	$\leq 20.0$

For GNN4ITk 3 cuts are looser:  
 pixel + strip hits  $\geq 8$ ,  
 $|d_0| < 20$  mm  
 $|z_0| < 25$  cm

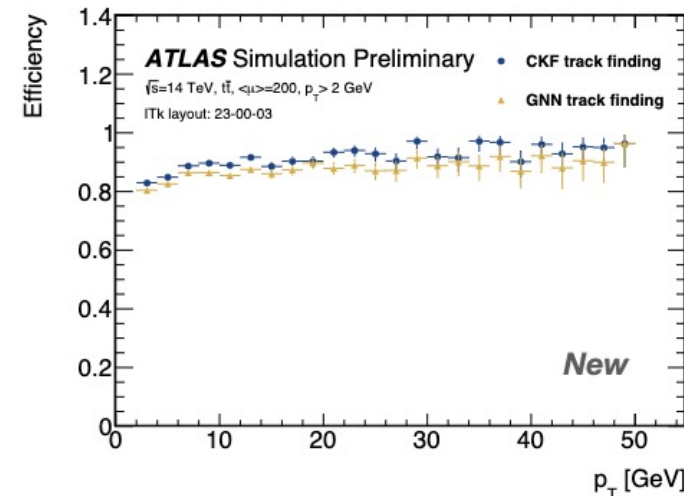
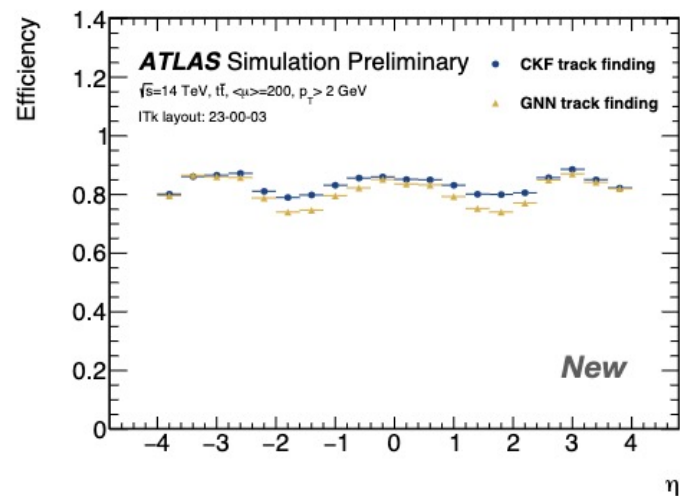
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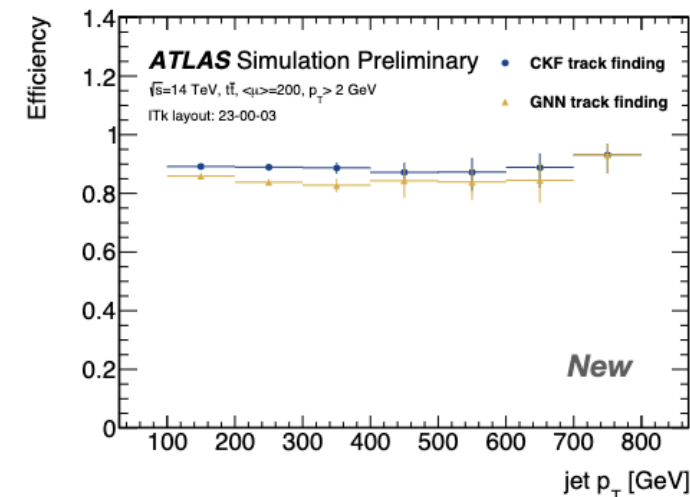
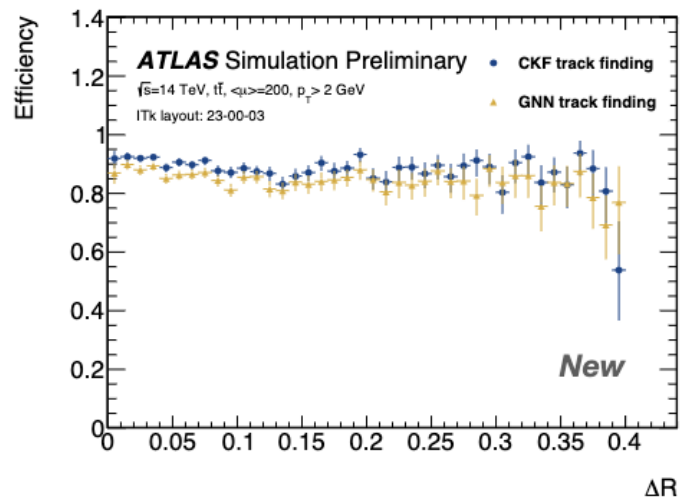
# Tracking efficiency

- Similarly efficient to the CKF in the central and forward region.
- Most inefficiency is located near  $|\eta| \approx 1.8$ . Overall competitive performance.
- Tracking efficiency inside jets approaching the CKF, with relatively uniform level over  $\Delta R$  (distance to jet center) and jet  $p_T$ .

### Tracking efficiency in ttbar events

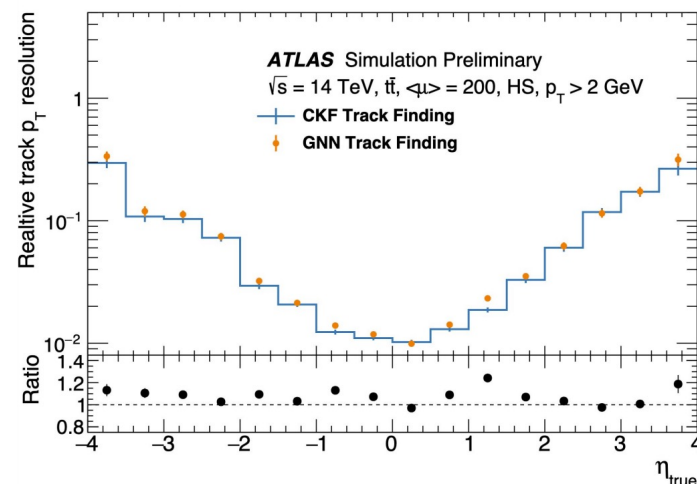
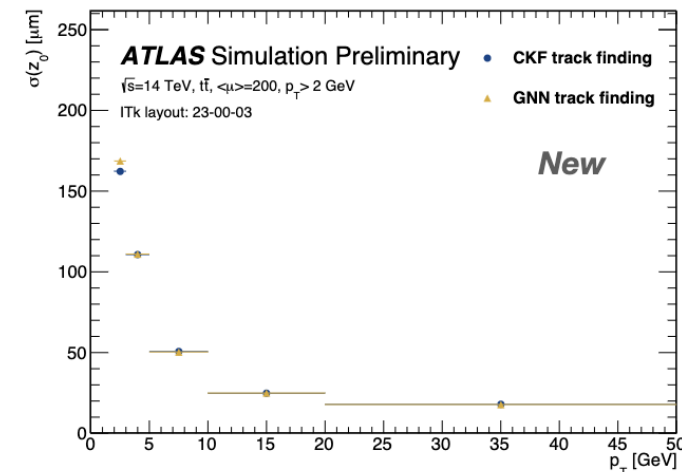
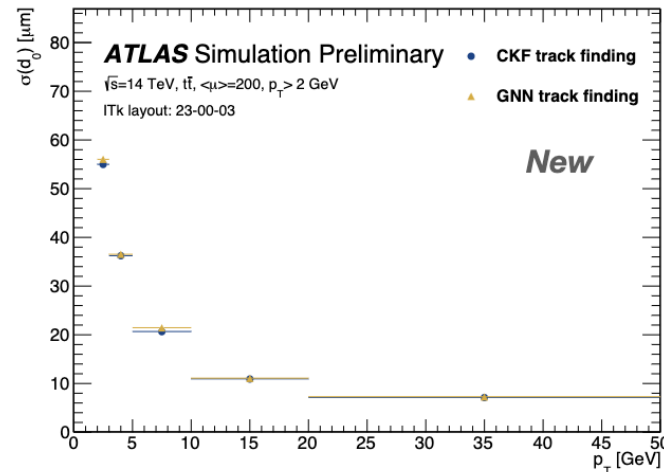


### Tracking efficiency in jets



# Track parameter resolution

- Good impact parameter resolution ( $\sigma(d_0)$ ,  $\sigma(z_0)$ ), comparable with CKF.
- Achieve  $p_T$  resolution compatible with the CKF.





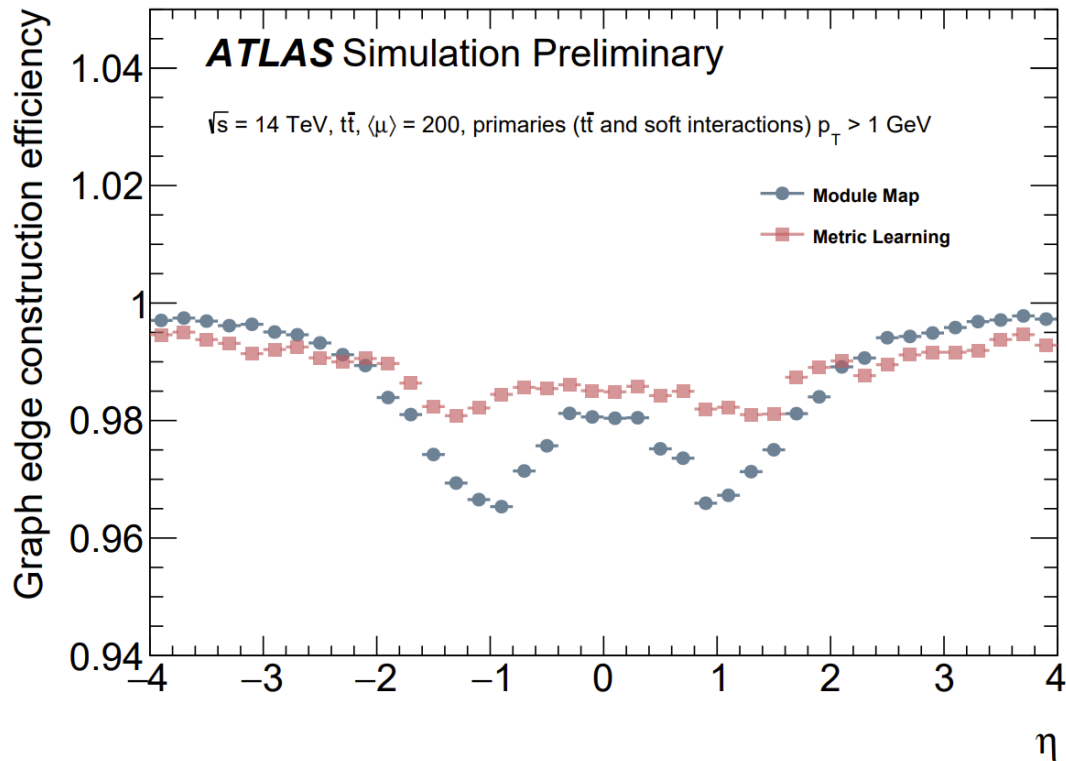
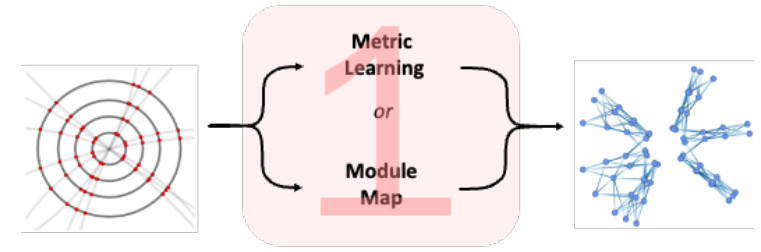
# Summary

- We present the first ML pipeline for charged-particle track reconstruct from detector hits.
- Demonstrate a competitive physics performance of this pipeline on data simulated under  $\langle\mu\rangle = 200$  condition and the latest detector geometry, in comparison with the current algorithm.
- Future work:
  - Machine learning optimization, further improving GNN reconstruction efficiency and purity.
  - Validate performance on other physics processes:  $Z(\ell\ell)$ , dark matter, long-lived particles, etc.
  - Timing study and optimization, regional tracking, quantization, model optimization.
  - Integration into ATLAS analysis software package (Athena) and full-chain testing.

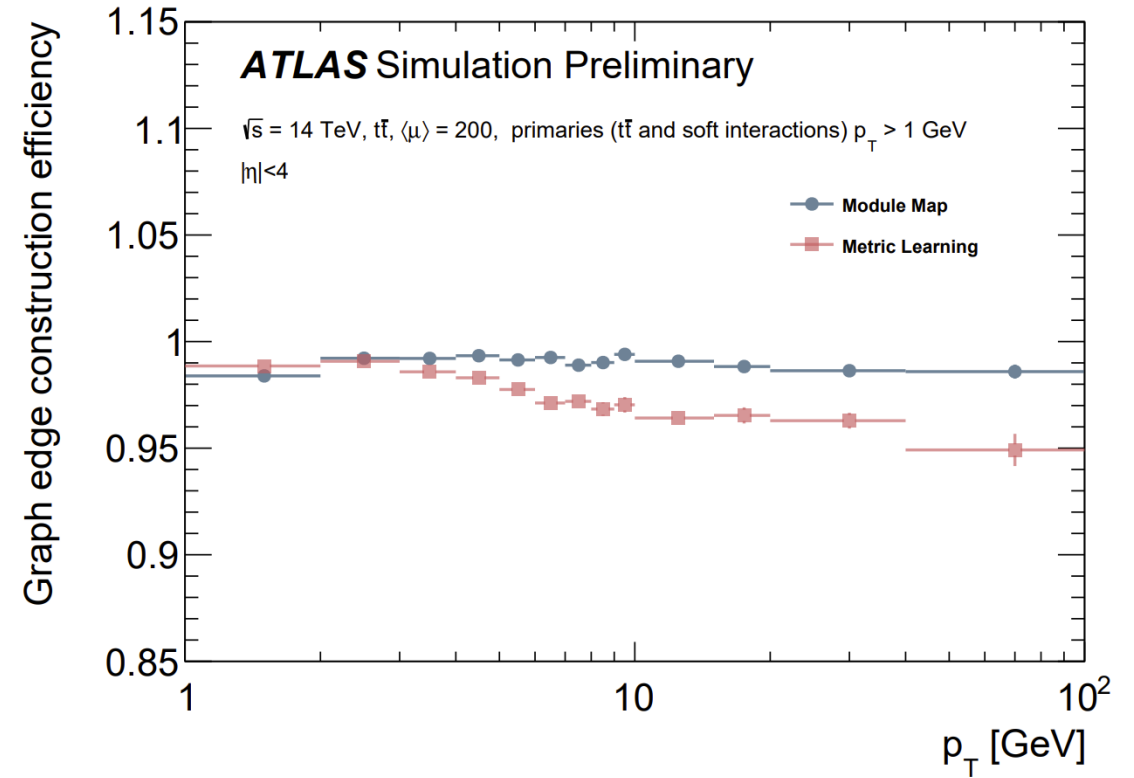


# Back-up slides

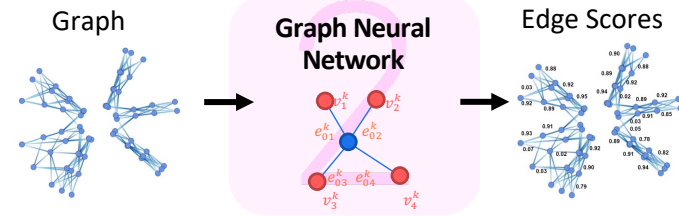
# Graph construction efficiency



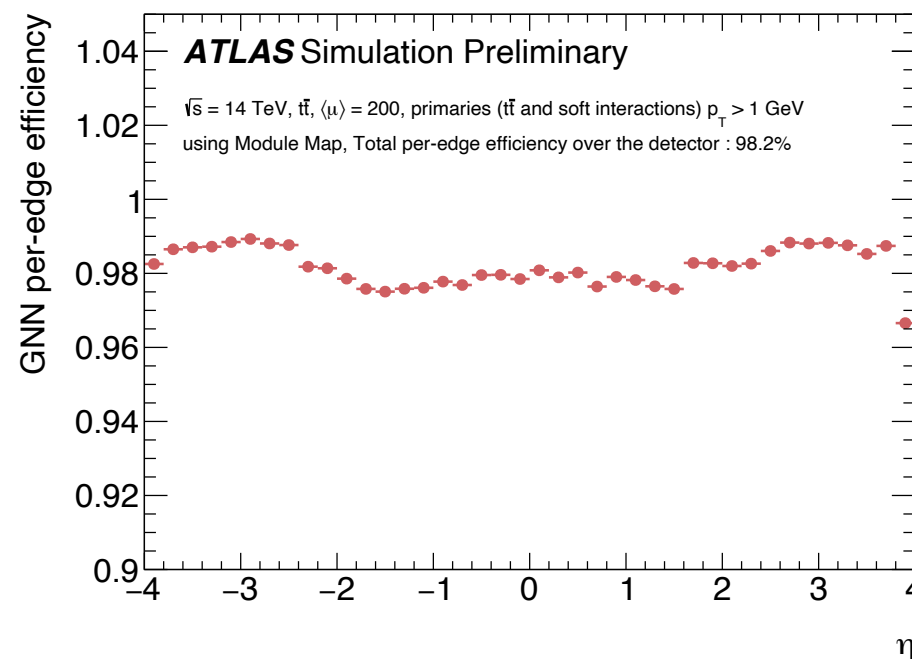
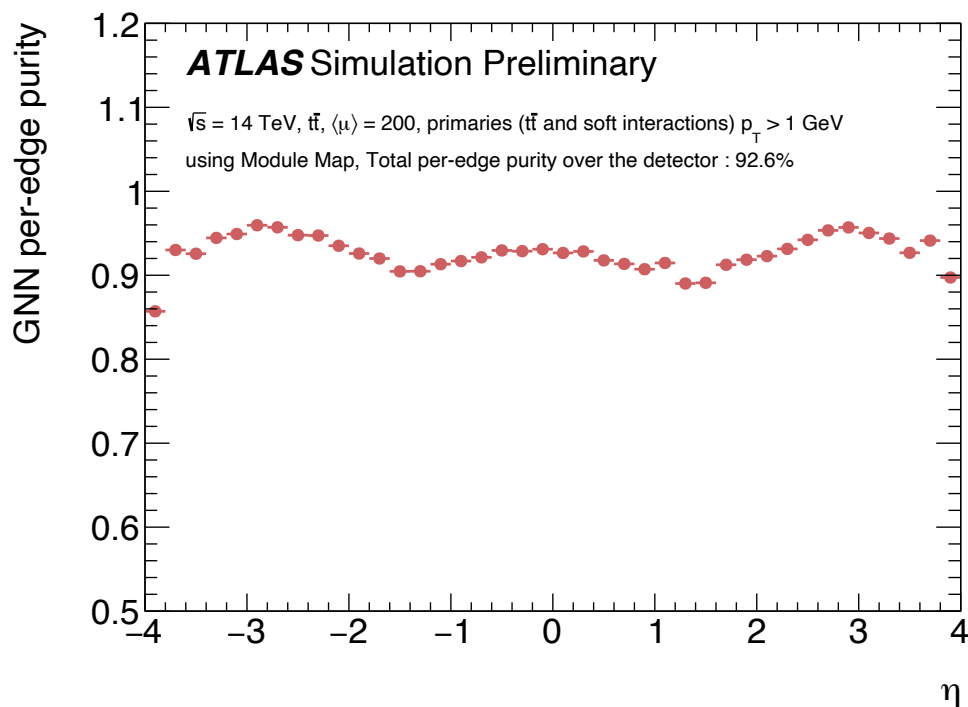
- Drop in efficiency at low  $\eta$  due to poor barrel strip resolution (will discuss further!)



- Drop in efficiency at high  $p_T$  due to low training statistics



# GNN edge classification performance



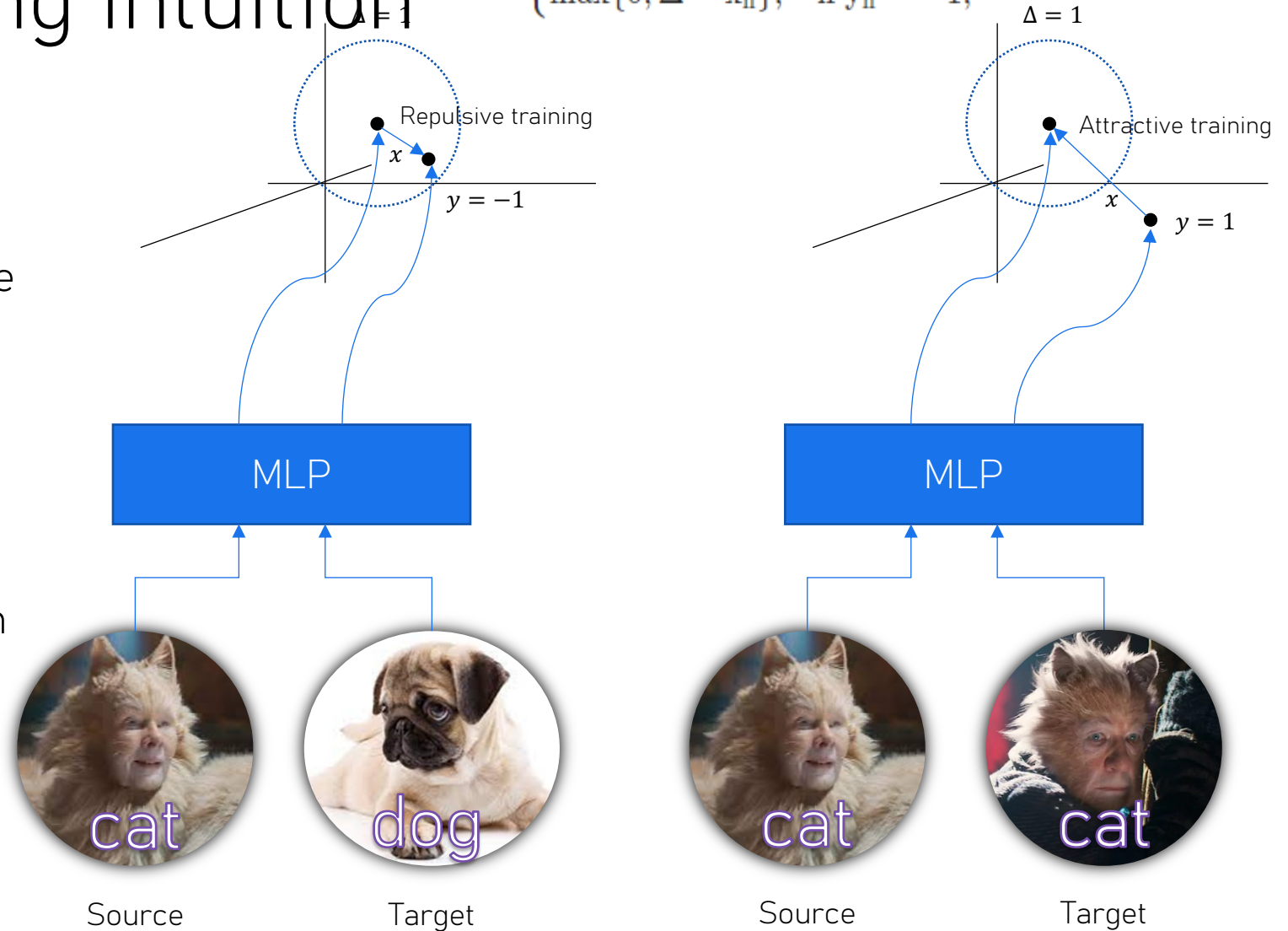
(Left) Edge classification **Efficiency**, defined by  $\frac{N_{TP}}{N_T}$  and (right) **Purity**, defined as  $\frac{N_{TP}}{N_P}$  as functions of  $\eta$ . The GNN correctly identifies most **True** edges while rejecting the majority of **Fake** edges, evidenced by high efficiency and purity.

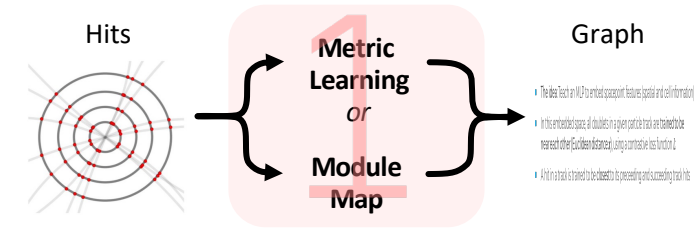
# Metric learning intuition

“Contrastive” hinge loss

$$l_n = \begin{cases} x_n, & \text{if } y_n = 1, \\ \max\{0, \Delta - x_n\}, & \text{if } y_n = -1, \end{cases}$$

- Encode / embed input into N-dimensional space
- Reward (low loss) matching pairs within unit distance
- Punish (high loss) mismatching pairs within unit distance
- Repeat for many pairs





# Metric learning

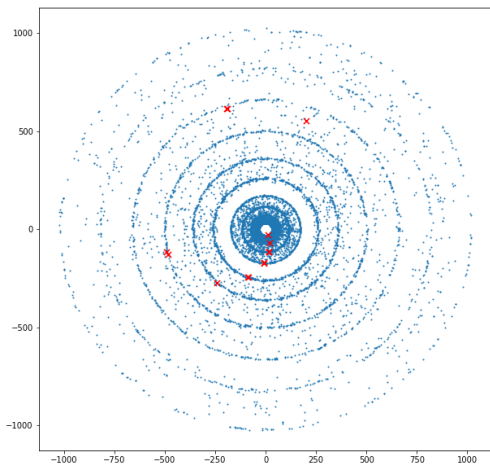
- The idea: Teach an MLP to embed spacepoint features (spatial and cell information)
- In this embedded space, all doublets in a given particle track are trained to be near each other (Euclidean distance  $x$ ), using a contrastive loss function  $L$ :
- A hit in a track is trained to be closest to its preceding and succeeding track hits

$$L = \begin{cases} x, & \text{if true pair} \\ \max(0, r - x), & \text{if false pair} \end{cases}$$

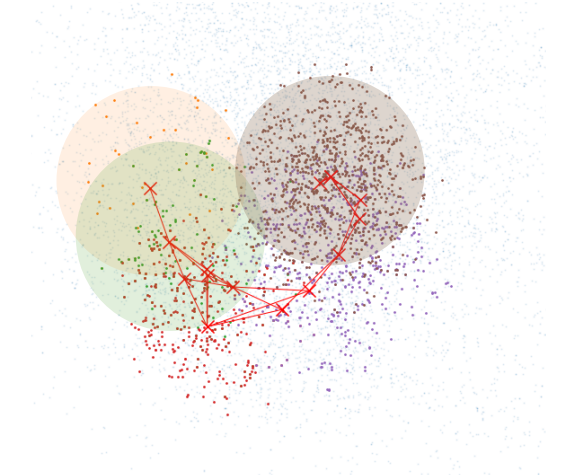
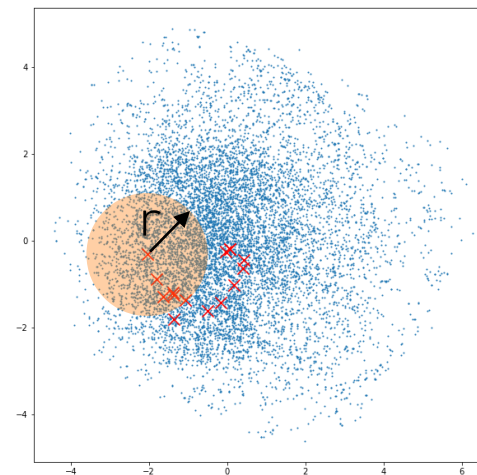
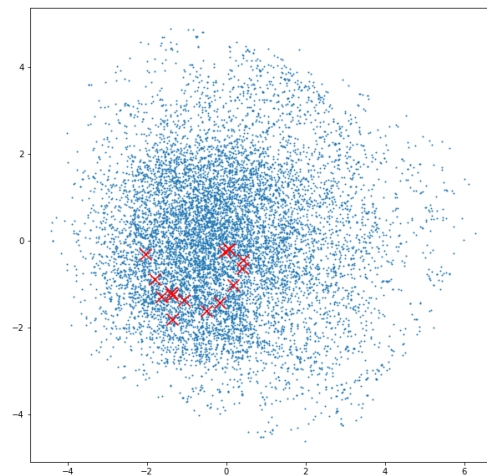
Embed into learned latent space

Connect all spacepoints within radius  $r$

All spacepoint pairs joined into graph



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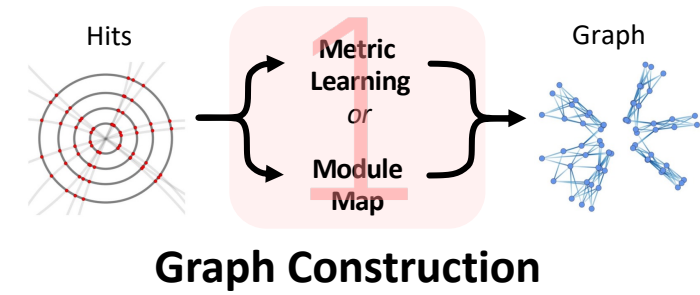


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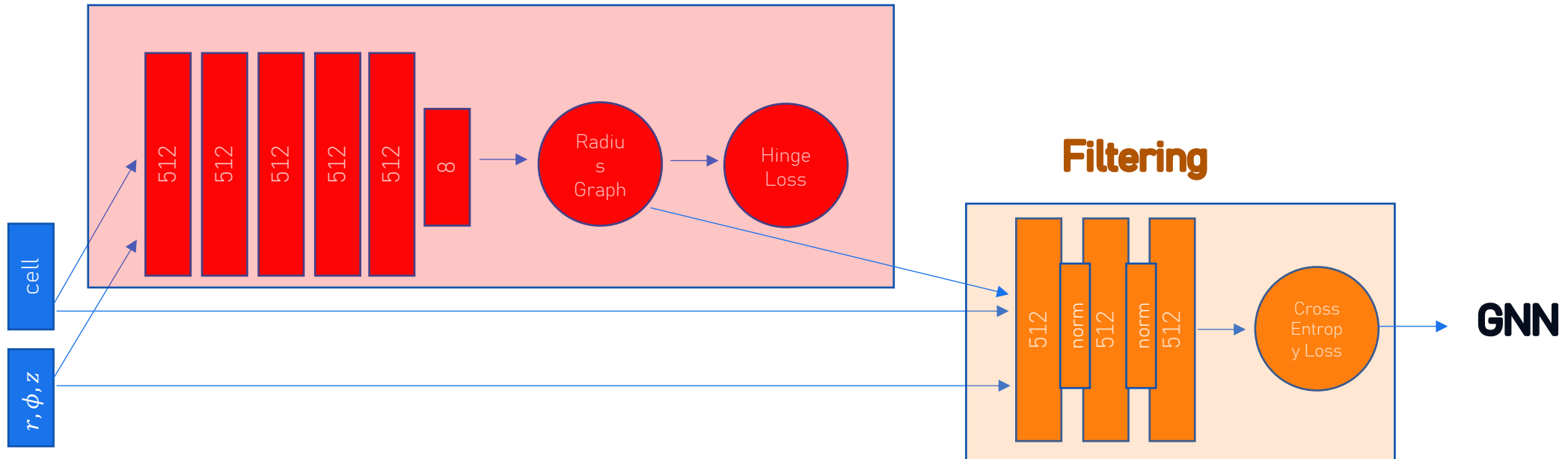


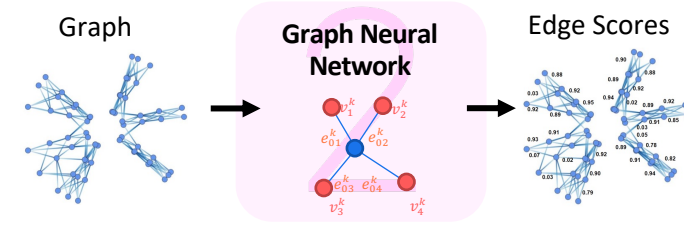
# Metric learning – filtering



- Output graph of metric learning is impure: 0.2%
- Can pass edges through a simple MLP filter to filter out the easy fakes
- Improves purity to 2%, so graph can be trained entirely on a single GPU

## Metric Learning

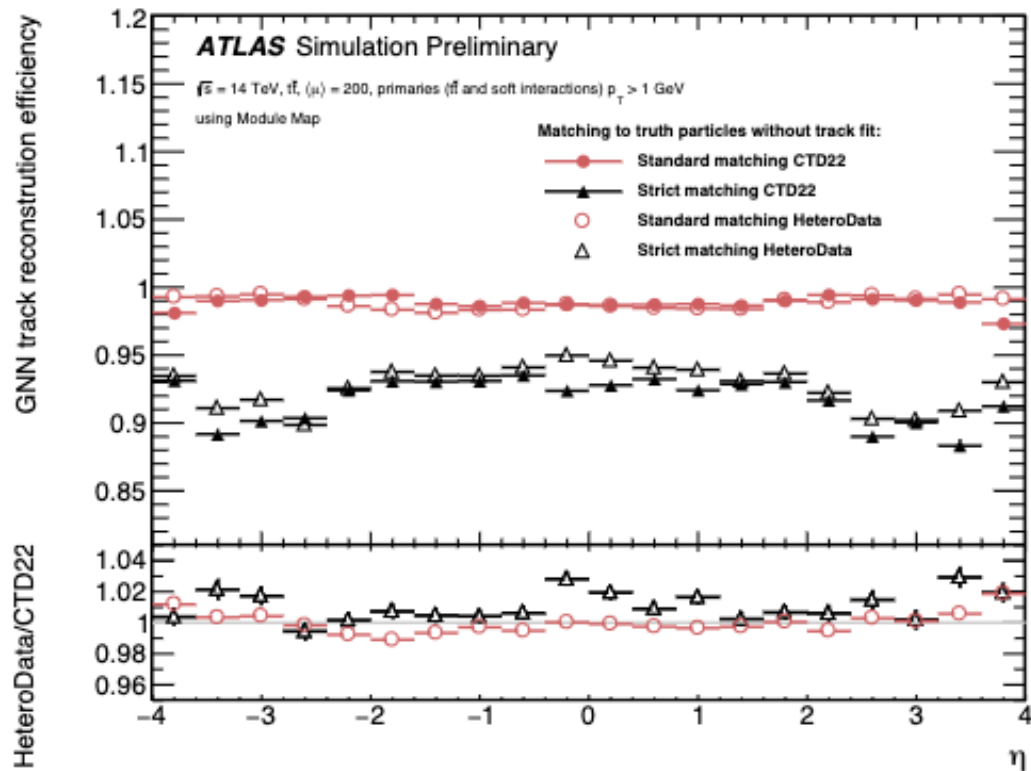




# Loss function design

- The **target** of the GNN and track reconstruction is edges from primary particles with  $p_T > 1$  GeV that have left at least 3 hits on different modules in the detector (see slide 12)
- Have very small set of target edges (1-2% of edges are true target  $t_{seq}$ )
- **Solution:**  $t_{seq} \ y = 1$  weighted up by  $\times 10$ , sequential background  $\tilde{t}_{seq}$  masked, all others  $y = 0$ 
  - Weighting gives much better performance at high-efficiency
  - Masking gives much better performance around the 1 GeV cutoff

# Technical efficiency



**Standard matching:** At least 50% of the space points (hits) in the track candidate belongs to the target particle (50% hit purity).

**Strict matching:** 100% hit purity and 100% of the hits in the particle found in the track candidate (100% hit efficiency).

Fake rate is  $O(10^{-3})$  using standard truth matching