

Leptogenesis

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Baryon Number Asymmetry in SM

- Within the SM:
 - ▶ CP violation in quark sector not sufficient to explain the observed matter-antimatter asymmetry of the Universe
- CP phase in quark sector: Shaposhnikov, 1986; Farrar, Shaposhnikov, 1993

$$B \simeq \frac{\alpha_w^4 T^3}{s} \delta_{CP} \simeq 10^{-8} \delta_{CP} \quad \delta_{CP} \simeq \frac{A_{CP}}{T_C^{12}} \simeq 10^{-20}$$

- ▶ effects of CP violation suppressed by small quark mixing

$$A_{CP} = (m_t^2 - m_c^2)(m_c^2 - m_u^2)(m_u^2 - m_t^2) (m_b^2 - m_s^2)(m_s^2 - m_d^2)(m_d^2 - m_b^2) \cdot J$$

$$\longrightarrow B \sim 10^{-28}$$

too small to account for the observed

- neutrino masses open up a new possibility Fukugita, Yanagida, 1986
 - new CP phases in lepton sector

Leptogenesis

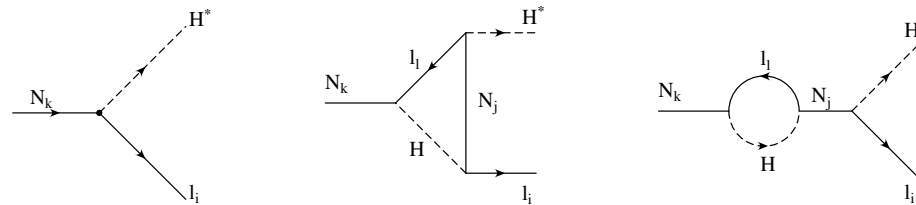
- Predictions closely tied to properties of neutrinos

Leptogenesis

Fukugita, Yanagida, 1986

- Implemented in the context of seesaw mechanism
- out-of-equilibrium decays of RH neutrinos produce primordial lepton number asymmetry

Luty, 1992; Covi, Roulet, Vissani, 1996; Flanz et al, 1996; Plumacher, 1997; Pilaftsis, 1997



$$\epsilon_1 = \frac{\sum_{\alpha} [\Gamma(N_1 \rightarrow \ell_{\alpha} H) - \Gamma(N_1 \rightarrow \bar{\ell}_{\alpha} \bar{H})]}{\sum_{\alpha} [\Gamma(N_1 \rightarrow \ell_{\alpha} H) + \Gamma(N_1 \rightarrow \bar{\ell}_{\alpha} \bar{H})]}$$

- sphaleron process convert $\Delta L \rightarrow \Delta B$
- the asymmetry

Buchmuller, Plumacher, 1998; Buchmuller, Di Bari, Plumacher, 2004

$$Y_B \simeq 10^{-2} \epsilon \kappa \quad \kappa : \text{efficiency factor} \sim (10^{-1} - 10^{-3}) \quad Y_B = \frac{n_B - n_{\bar{B}}}{s} \sim 8.6 \times 10^{-11}$$

(k: inverse decay $\Delta L=1$, scattering processes $\Delta L=1, 2$)

Bound on Light Neutrino Mass

- sufficient leptogenesis requires

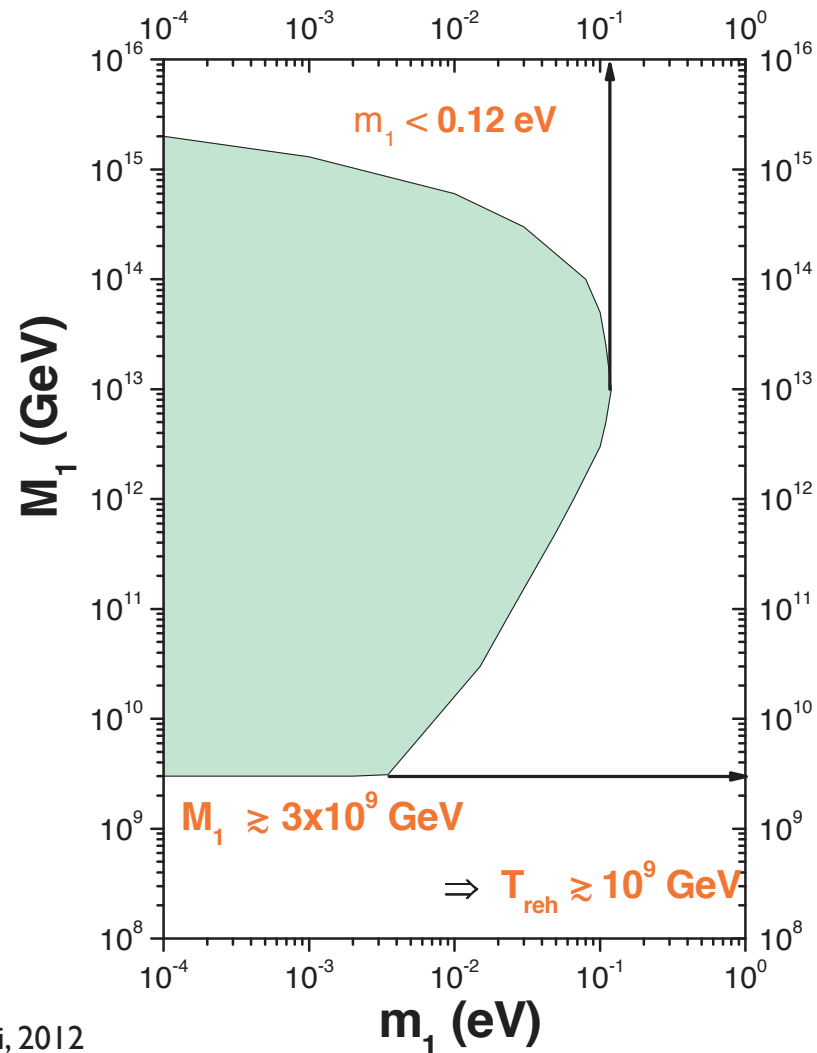
$$M_1 \gtrsim 3 \times 10^9 \text{ GeV}$$

- upper bound on light neutrino mass

$$m_1 < 0.12 \text{ eV}$$

- incompatible with quasi-degenerate spectrum

- constraints slightly alleviated with flavored case



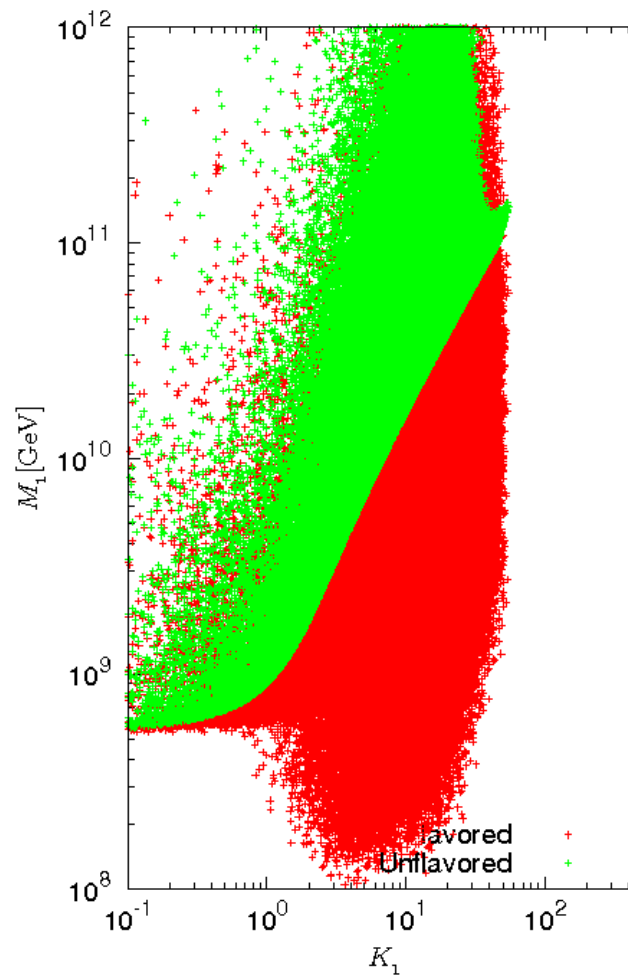
P. Di Bari, 2012

Leptogenesis

Mu-Chun Chen, UC Irvine

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Bound on Light Neutrino Mass



P. Di Bari, 2012

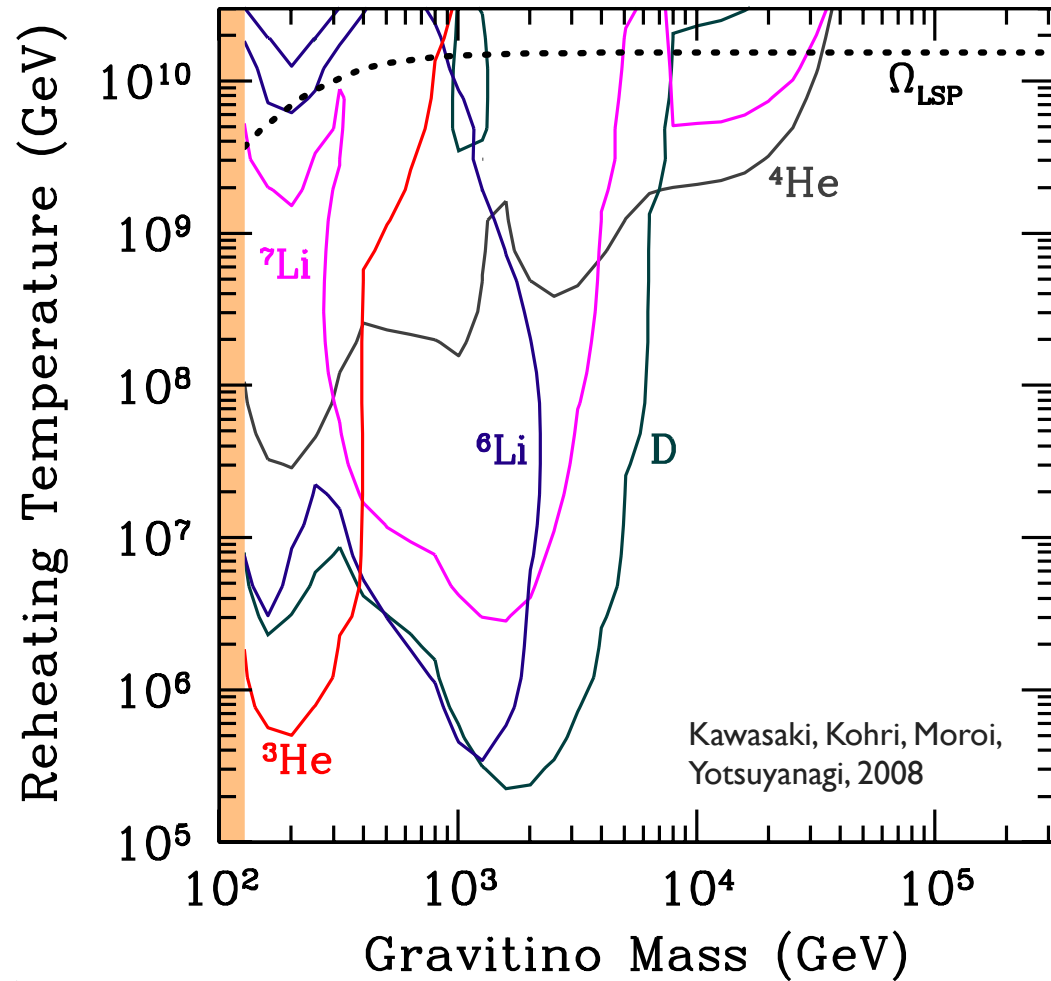
Gravitino Problem

- Thermally produced RH neutrino N:
 - high reheating temperature needed:
 $\Rightarrow T_{RH} > M_R > O(10^9)\text{GeV}$
- over-production of light state: gravitinos
- For gravitinos LSP:
 - DM constraint from WMAP
 - stringent bound on gluino mass for any given gravitino mass & T_{RH}
- For unstable gravitinos:
 - long life time
 - decay during and after BBN \Rightarrow affect abundance of light elements

Gravitino Problem

For light gravitino mass,
BBN constraints

$$\Rightarrow T_{\text{RH}} < 10^{(5-6)} \text{ GeV}$$



Gravitino Problem

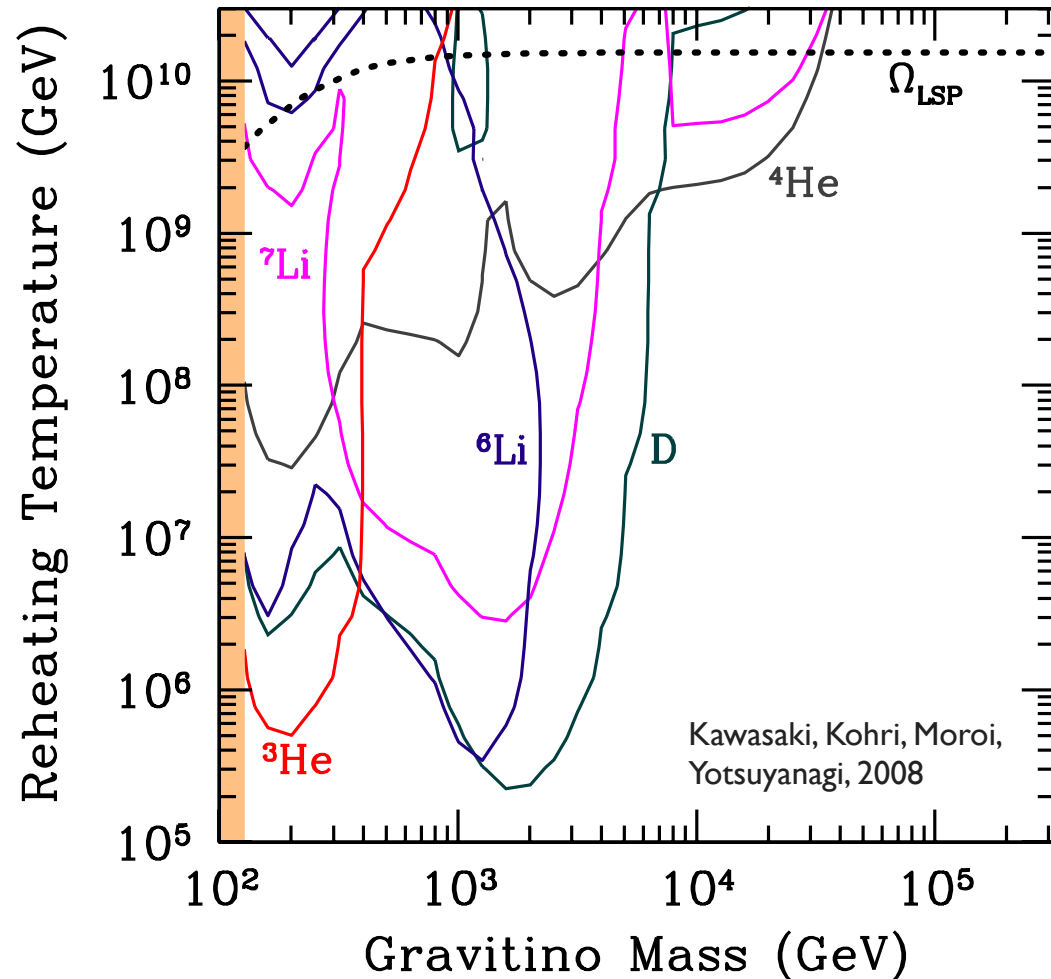
For light gravitino mass,
BBN constraints

$$\Rightarrow T_{\text{RH}} < 10^{(5-6)} \text{ GeV}$$

tension!
(if SUSY)

Sufficient leptogenesis \Rightarrow

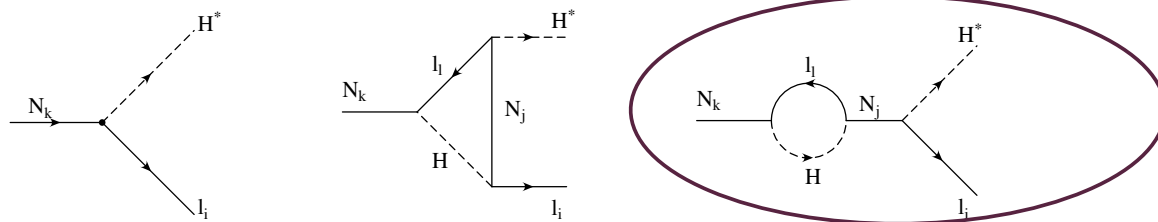
$$T_{\text{RH}} > M_R > 2 \times 10^9 \text{ GeV}$$



Alternatives: “Non-standard” Scenarios

- Possible ways to avoid the tension:
 - resonant enhancement in self-energy diagram \Rightarrow lowering M_R , thus T_{RH}
 \rightarrow resonant leptogenesis (near degenerate RH neutrinos) Pilaftsis, 1997

Recall: in standard leptogenesis:



self-energy diagram dominate for near degenerate RH neutrino masses, $M_{1,2}$

enhanced $O(1)$ asymmetry possible if

$$M_1 - M_2 \sim \frac{1}{2} \Gamma_{N_{1,2}} \quad , \quad \text{assuming} \quad \frac{\text{Im}(h_\nu h_\nu^\dagger)_{12}^2}{(h_\nu h_\nu^\dagger)_{11} (h_\nu h_\nu^\dagger)_{22}} \sim 1$$

leptogenesis possible
even for low $M_{1,2}$

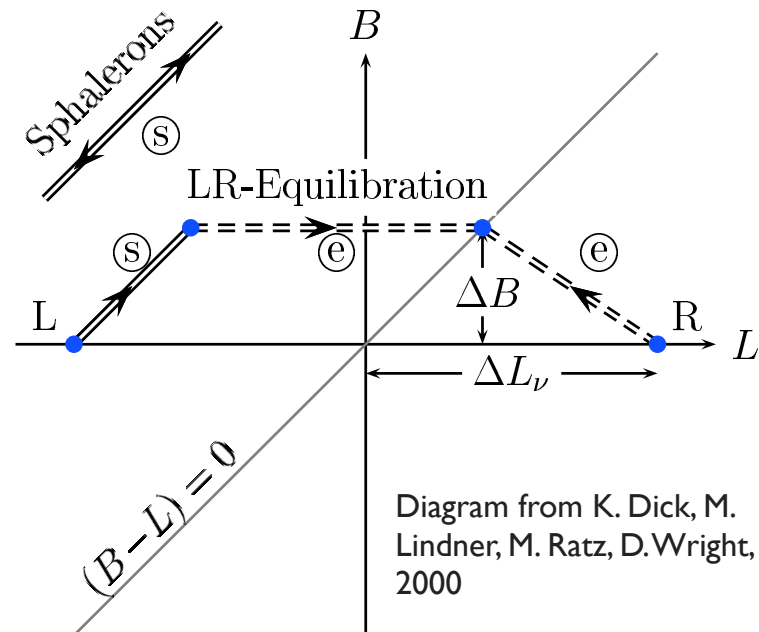
- possible collider test

Pilaftsis, Underwood, 2003

Dirac Leptogenesis

K. Dick, M. Lindner, M. Ratz, D. Wright, 2000;
H. Murayama, A. Pierce, 2002

- Leptogenesis possible even when neutrinos are **Dirac** particles
- small Dirac mass through suppressed Yukawa coupling
- Characteristics of Sphaleron effects:
 - **only left-handed fields couple to sphalerons**
 - sphalerons change $(B+L)$ but not $(B-L)$
 - sphaleron effects in equilibrium for $T > T_{EW}$
- If L stored in RH fermions can survive below EW phase transition, net lepton number can be generated even with $L=0$ initially



late time LR equilibration of neutrinos making Dirac leptogenesis possible

Dirac Leptogenesis

- for neutrinos: LH equilibration can occur at late time ($T_{eq} \ll T_{EW}$) because of their much suppressed masses ($m_D < 10 \text{ keV}$)
- Naturally small Dirac neutrino mass?
- Two examples:
 - **non-anomalous U(1) family symmetry** M.-C.C., J. Huang, W. Shepherd (2011)
 - gives realistic quark and lepton masses and mixing patterns
 - naturally small Dirac neutrino masses due to higher dimensional operators
 - primordial asymmetry by U(1) flavor higgs decay
 - **discrete R-symmetries** M.-C.C., M. Ratz, C. Staudt, P. Vaudrevange (2012)
 - satisfy all anomaly cancellation conditions a la Green-Schwarz mechanism
 - automatically suppressed the μ term, thus solving the μ problem in MSSM
 - automatically suppressed the Dirac neutrino masses

Testing Leptogenesis?

- Sakharov Conditions:

- out-of-equilibrium

- ➔ expanding Universe
 - ➔ smallness of neutrino masses



Leptogenesis with Majorana neutrino:
out-of-equilibrium heavy field decay

Dirac Leptogenesis:
late equilibration temperature

- Baryon Number Violation

- ➔ abound in many extensions of the SM
 - ➔ neutrinoless double beta decay

- Leptogenesis with Majorana (if observed) or Dirac (if not observed) neutrinos

- CP violation

- ➔ Long baseline neutrino oscillation experiments

Connection to Low Energy Observables

- Seesaw Lagrangian at high energy (in the presence of RH neutrinos)

6 mixing angles + 6 physical phases

- Low energy effective Lagrangian (after integrating out RH neutrinos)

3 mixing angles + 3 physical phases

presence of low energy leptonic CPV
(neutrino oscillation, neutrinoless
double beta decay)

high energy \rightarrow low energy:
numbers of mixing angles and
CP phases reduced by half



leptogenesis $\neq 0$

- No model independent connection
- Statement is weakened when the so-called flavor effects are taken into account (relevant if leptogenesis at $T < 10^{12}$ GeV)
- BUT, in certain models, connection can be established even without the flavor effects

Connection in Specific Models

- models for neutrino masses:
 - additional symmetries
 - reduce the number of parameters \Rightarrow connection can be established
- rank-2 mass matrix (may be realized by symmetry)
 - models with 2 RH neutrinos (2 x 3 seesaw) Kuchimanchi & Mohapatra, 2002
 - sign of baryon asymmetry \leftrightarrow sign of CPV in ν oscillation Frampton, Glashow, Yanagida, 2002
- all CP come from a single source
 - models with spontaneous CP violation:
 - SM + vectorial quarks + singlet scalar Branco, Parada, Rebelo, 2003
 - minimal LR model: only 1 physical leptonic CP phase M.-.C.C, Mahanthappa, 2005
 - SCPV in SO(10): $\langle 126 \rangle_{B-L}$ complex Achiman, 2004, 2008
 - SUSY SU(5) x T' Model: M.-.C.C, Mahanthappa, 2009
 - group theoretical origin of CP violation \Rightarrow only low energy lepton phases $\neq 0$

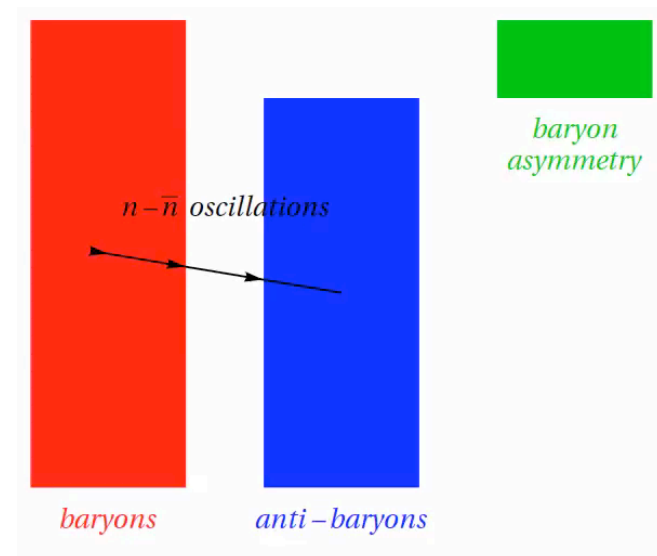
Connection to Other B/L Violating Processes

- e.g. n - \bar{n} oscillation searches \rightarrow complementarity test of leptogenesis (baryogenesis) mechanisms
 - constrain the scale of leptogenesis
- observation of neutron antineutron oscillation
 - new physics with $\Delta B = 2$ at $10^{(5-6)}$ GeV
 - erasure of matter-antimatter generated at high scale, e.g. standard leptogenesis

Babu, Mohapatra, 2012

► Low scale leptogenesis scenarios preferred:

- Dirac Leptogenesis
- Resonance Leptogenesis
- Soft leptogenesis; ...



[Animation Credit: Michael Ratz]

Quantum Boltzmann Equations

- Classical vs Quantum Boltzmann equations:

- ▶ collision terms: involving quantum interference
- ▶ time evolution: require full quantum mechanical treatment
- ▶ Classical Boltzmann equations not sufficient

Buchmuller, Fredenhagen, 2000;
Simone, Riotto 2007;
Lindner, Muller 2007

- Classical Boltzmann equations:

- ▶ scattering independent from previous ones

- Quantum Boltzmann equations:

- ▶ Closed-Time-Path (CTP) formulation for non-equilibrium QFT
- ▶ involve time integration for scattering terms
- ▶ “memory effects”: time-dependent CP asymmetry

Schwinger, 1961; Mahanthappa, 1962;
Bakshi, Mahanthappa, 1963;
Keldysh, 1965

Quantum Boltzmann Equations

- time scale of Kernel \ll relaxation time scale $\sim (\Gamma_{N1})^{-1}$
 - Classical Boltzmann equations \approx Quantum Boltzmann equations
- In resonance leptogenesis: $\Delta M = (M_2 - M_1) \sim \Gamma_{N2}$
 - Kernel time scale $\sim (\Delta M)^{-1} > (\Gamma_{N1})^{-1}$ possible
 - Quantum Boltzmann equations important!!

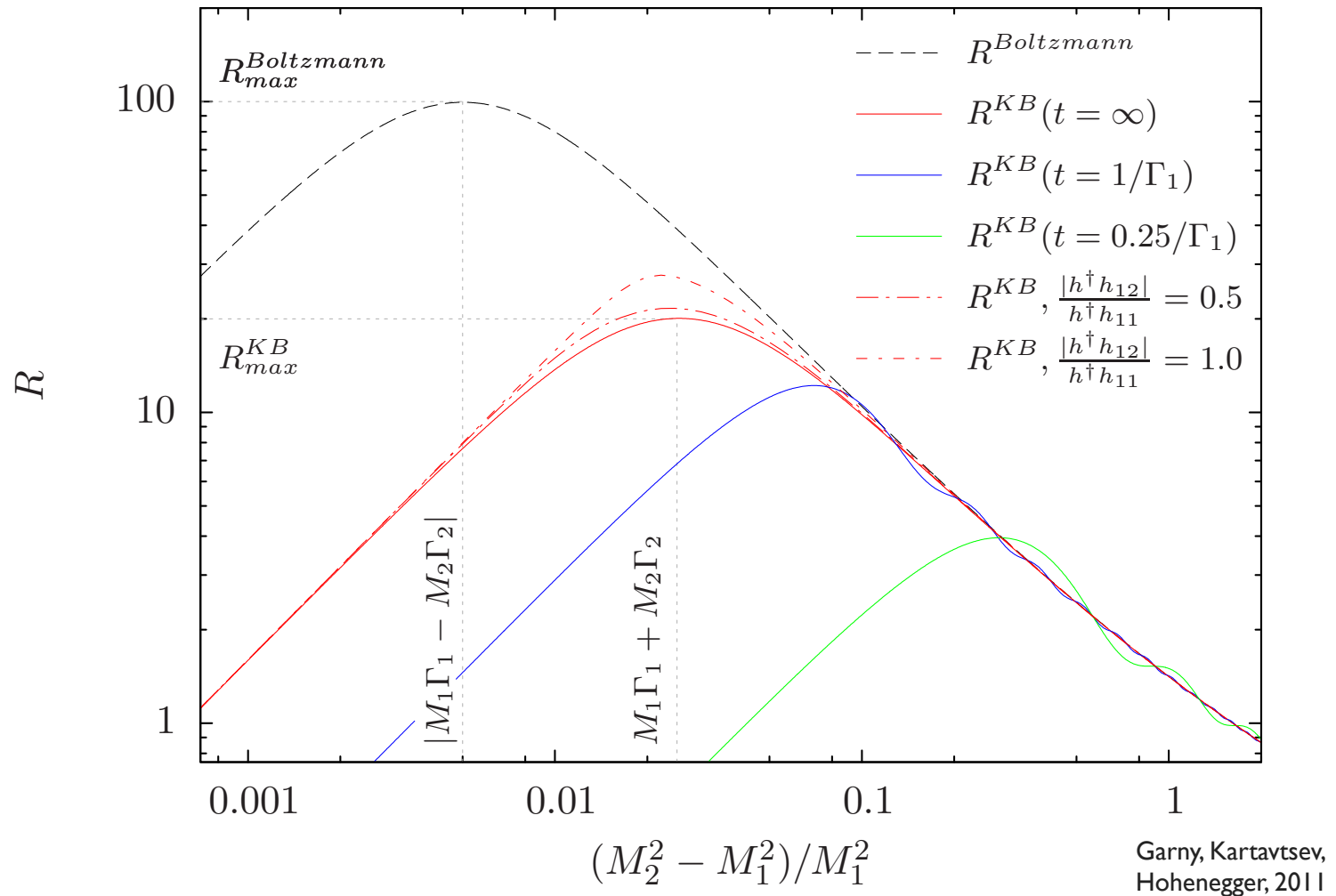
$$R_{max}^{Boltzmann} = \frac{M_1 M_2}{2|M_1 \Gamma_1 - M_2 \Gamma_2|}$$

$$R_{max}^{KB} = \frac{M_1 M_2}{2(M_1 \Gamma_1 + M_2 \Gamma_2)}$$

Garny, Kartavtsev, Hohenegger, 2011

resonance behavior confirmed by
full quantum treatment;
though amplitude reduced

Quantum Boltzmann Equations



Conclusions

- origin of matter: one of the great mysteries in particle physics and cosmology
- leptogenesis: appealing mechanism connected to neutrino physics
- various leptogenesis realizations:
 - standard leptogenesis: gravitino problem, incompatible with SUSY
 - Low scale alternatives:
 - resonance leptogenesis
 - Dirac leptogenesis
 - Soft leptogenesis (CP phases in soft SUSY sector; decouple from neutrino physics; require small B term)

Conclusions

- tested by “archeological” evidences
- model-independent ways:
 - Kinematic test, Cosmology (absolute neutrino mass bound)
 - Neutrino-less double beta decay (Majorana vs Dirac leptogenesis)
- model-dependently: connections to CPV in other sectors possible
 - searches at neutrino experiments (leptonic CPV, mixing parameters)
 - complementarity test from other B or L violating processes
 - e.g. N - N bar oscillation \Rightarrow constraint scale of leptogenesis
- Toward Theory of Leptogenesis: Quantum Boltzmann equation (non-equilibrium QFT)