



LFU tests in semileptonic decays at LHCb

P. de Simone (LNF-INFN), on behalf of the LHCb Collaboration

BEACH 2024

XV International Conference on Beauty, Charm, Hyperons in Hadronic Interactions

3-7 June, **2024** Courtyard Charleston Historic District Charleston, SC, USA

P. de Simone





LFU tests in semileptonic decays at LHCb

- O introduction
- \bigcirc detection of B semileptonic decays at LHCb
- \bigcirc R(D⁺) and R(D^{*+})
- $\bigcirc D^{*-}$ longitudinal polarization in $B^0 \rightarrow D^{*-} \tau^+ \nu_{\tau}$

BEACH 2024

XV International Conference on Beauty, Charm, Hyperons in Hadronic Interactions

3-7 June, **2024** Courtyard Charleston Historic District Charleston, SC, USA

P. de Simone

tree level semileptonic $b \rightarrow c \ell v_{\ell}$ transitions

 \checkmark semileptonic decays are clean channels from a theoretical point of view

$$\mathcal{M}(B \to H_q \ell^- \overline{\nu}) = -i \frac{G_F}{\sqrt{2}} V_{qb} L^{\mu} H_{\mu}$$

 \checkmark factorization of the hadronic and leptonic currents \Rightarrow no final state interactions

 $b \rightarrow c\ell v_{\ell}$ transitions are an open portal for many studies to test the Standard Model and search for effect of possible New Physics:

- $\checkmark\,$ determination of the CKM matrix elements V_{ub} and V_{cb}
- ✓ test of Lepton Flavour Universality comparing rate production for different kind of leptons in the final states
- \checkmark sensitivity to NP also through kinematics of the final state particles

brand new LHCb results in this talk

test of LFU in tree level semileptonic $b \rightarrow c\tau v_{\tau}$ transitions

$$R(\mathcal{H}_c) = \frac{\mathcal{B}(\mathcal{H}_b \to \mathcal{H}_c \tau \nu_{\tau})}{\mathcal{B}(\mathcal{H}_b \to \mathcal{H}_c \mu \nu_{\mu})}$$

$$\mathcal{H}_{b} = B^{0}, B^{+}_{(c)}, \Lambda^{0}_{b}, B^{0}_{s}...$$
$$\mathcal{H}_{c} = D^{*}, D^{0}, D^{+}, D_{s}, \Lambda^{(*)}_{c}, J/\psi...$$

- ✓ most precise measurements from $B \rightarrow D^{(*)} \ell \nu_{\ell}$ decays
- ✓ HFLAV 2023 → the deviation w.r.t. the SM stays at 3.3σ level for the combination of R(D)-R(D*)

more measurements are strongly motivated

- \checkmark to further improve R(D)-R(D*) precision
- \checkmark to extend the physics program
 - √ R(Hc)
 - \checkmark angular analysis of $b{\rightarrow}c\ell\nu_\ell$ decays

✓ hadronic uncertainties mostly cancel in the ratio
 ✓ reduced experimental systematic uncertainties in ratios of efficiencies



$b \rightarrow c\tau v_{\tau}$ transitions at LHCb

Run 1: 2010-11~1.1 fb⁻¹ at 7 TeV2012~2.1 fb⁻¹ at 8 TeVRun 2: 2015-18~6. fb⁻¹ at 13 TeV

✓ R(D^{*+}) Run 1 data sample, 3 fb⁻¹ [PRL 115, 111803]

✓ R(D⁰) and R(D*+)
 Run 1 data sample, 3 fb⁻¹
 [PRL 131, 111802]

✓ R(D⁺) and R(D^{*+}) this take Run 2 data sample, 2 fb⁻¹ take [LHCb-PAPER-2024-007, in preparation]

√ R(J/ψ) Run 1 data sample, 3 fb⁻¹ [PRL 120, 121801] hadronic τ decays √ R(D*+) Run 1 data sample, 3 fb⁻¹ [PRL 120, 171802]

✓ R(D*+)
 Run 2 data sample, 2 fb⁻¹
 [PRD 108, 012018]

 \checkmark R(Λ_{c}^{+}) Run 1 data sample, 3 fb⁻¹ [PRL 128, 191803]

 \checkmark D^{*+} F_L Run 1 & Run 2 data sample, 5 fb⁻¹ (arXiv:2311.05224)



detection of B semileptonic decays at LHCb

detection of B semileptonic decays at LHCb



B semileptonic decays: common methods and tools

- ✓ <u>missing neutrinos</u> → no narrow peak to fit
 o use B flight direction to measure transverse component of missing momentum
 - longitudinal component approximated with boost of the **visible final state** $\gamma \beta^{B}_{z,total} = \gamma \beta^{B}_{z,visible}$



to access rest frame kinematics $\rightarrow \sim 20\%$ resolution on B momentum



 $m_{miss}^{2} = (p_{B} - p_{D^{*}} - p_{\mu})^{2}$ $E_{\mu}^{*} \text{ muon energy in B rest frame}$ $q^{2} = (p_{B} - p_{D^{*}})^{2}$

✓ large backgrounds from partially reconstructed B decays $B \rightarrow D^{**}\mu\nu$, $B \rightarrow (D \rightarrow X\mu)D^*X$ with many unknowns (form factors, decay rate, etc.)

 \circ require isolated D vertex \rightarrow multivariate classifiers



B semileptonic decays: τ reconstruction

leptonic decaysModeBF (%) $\tau^- \rightarrow e^- \overline{\nu_e} \nu_{\tau}$ 17.82 ± 0.04 $\tau^- \rightarrow \mu^- \overline{\nu_\mu} \nu_{\tau}$ 17.39 ± 0.04

evv channel only at B factories



 \checkmark higher statistic but larger background

hadronic decays

Mode	BF (%)
$ au^- \! ightarrow \pi^- \pi^0 u_ au$	25.49 ± 0.09
$ au^-\! ightarrow\pi^- u_{ au}$	10.82 ± 0.05
$ au^-\! ightarrow 3\pi^\mp u_{m au}$	9.31 ± 0.05
$ au^-\! ightarrow 3\pi^\mp\pi^0 u_ au$	4.62 ± 0.05

<u>1-prong channels only at B factories</u> <u>3-prongs channels only at LHCb</u>

final states are not the same

- \checkmark systematic do not cancel in the ratio between signal and normalization channels
- → at LHCb measure with respect to another decay with similar final state: $B \rightarrow D^* \pi \pi \pi$
- \checkmark higher purity sample but lower statistic
 - no charged leptons in the final state
 - \circ reconstructable τ decay vertex



R(D⁺) and R(D^{*+}) [LHCb-PAPER-2024-007, in preparation, LHCb preliminary]

$R(D^{+(*)})$ from $\overline{B}^0 \rightarrow D^{+(*)}\tau^-\overline{\nu}_{\tau}$

✓ first LHCb measurement using D⁺ ground state ✓ fully leptonic $\tau \rightarrow \mu \nu_{\mu} \nu_{\tau}$ and D⁺→K⁻ $\pi^{+}\pi^{+}$ decays

✓ partial Run 2 data sample: 2 fb⁻¹ at $\sqrt{s} = 13$ TeV

✓ primary goal is to measure $R(D^+)$ ✓ feed-down from unreconstructed $D^{*+} \rightarrow D^+ \pi^0 / \gamma$ gives access to $R(D^{*+})$ in the same visible final $K\pi\pi\mu$ final state with 4 charged tracks



normalization channel



$$R(D^{+(*)}) = \frac{\mathcal{B}(\bar{B}^{o} \to D^{+(*)} \tau^{-} \nu_{\tau})}{\mathcal{B}(\bar{B}^{o} \to D^{+(*)} \mu^{-} \nu_{\mu})} = \frac{\epsilon_{\mu}^{D^{+(*)}}}{\epsilon_{\tau}^{D^{+(*)}}} \frac{N_{\tau}^{D^{+(*)}}}{N_{\mu}^{D^{+(*)}}} \frac{1}{\mathcal{B}(\tau^{-} \to \mu^{-} \nu_{\tau} \nu_{\mu})}$$

 \checkmark yield ratio determined from fit to data

 \checkmark efficiency ratio determined from simulation

 \checkmark only external input $\mathcal{B}(\tau \rightarrow \mu \nu \nu)$

data sample selection

 \checkmark particle ID, kinematic and topologic requirements on (K⁻ $\pi^+\pi^+$) μ

✓ BDT to remove fake D⁺ candidates from accidental tracks combinations
 ✓ residual D⁺ background removed with *sPlot* technique [NIM A555 (2005) 356]



✓ **neutral BDT** trained to discriminate between B⁰ → D⁺ $\tau^-\nu_{\tau}$ and B⁰ → D^{+*} $\tau^-\nu_{\tau}$ → uses neutral calorimeter objects in a cone around D⁺ direction and reconstructed π^0 consistent with D^{*+} → D⁺ π^0

strategy to measure the signal yields

$\checkmark\,$ final charged track isolation BDT to split the data sample into:

- signal sample D⁺μ⁻
 and 3 more control samples, with enhanced sensitivity to background components
- 1π sample $D^+\mu^-\pi^-$ feed-down from 1P D^{**} states: $B \rightarrow D^{**}[D^+X]\mu \nu$ B $\rightarrow D^{**}[D^+X]\tau[\mu\nu\nu]\nu$
- \circ 2π sample D⁺μ⁻π⁺π⁻ feed-down from higher-mass D^{**} states
- $\circ \ 1K \text{ sample } D^+\mu^-K^- \qquad {\rm double-charm } B \to D^+H_c[\mu\nu X]X'$

✓ simultaneous 3D binned fit to the 4 samples using the discriminating variables:

$$m_{miss}^2 = (p_B - p_D - p_\mu)^2$$
, E_{μ}^* , $q^2 = (p_B - p_D)^2$

fit components (1)

$\checkmark\,$ signal, normalization and physics background templates from MC

o main physics background

$B \rightarrow D^{**}$

- 1. fractions of 1P state varied in the fit
- 2. higher D^{**} mass states shape also varied

double-charm

1. fractions and shapes varied in the fit

$\circ~$ form factor parametrization

- B → D⁺ : BGL [PRD 94 (2016) 094008]
- $B \rightarrow D^*$: BGL [Eur. Phys. J. C 82, 1141 (2022)]
- B → D^{**}: BLR [PRD 95 (2017) 014022]
- ★ this is the first analysis that uses HAMMER [Eur. Phys. J. C. 80 (2020) 883] implemented in RooHammerModel [JINST 17 (2022)T04006] → form factor parameters varied in the template fit with external constraints

fit components (2)

\checkmark data based templates

ο **μ mis-identified background** from fake-μ control sample D⁺h⁻ h = [π, K, p, e, g(fake tracks)]



o **combinatorial background** from same charge $D^+\mu^+$ data sample

tracker-only simulation



- $\circ~$ emulate effects of the missing detectors at analysis level
- PID and tracking efficiencies from data control samples
- final tuning of MC templates with data/simulation corrections: B kinematics, multiplicity, QED effects [PRL 120 (2018)261804], ...

final excellent data/simulation agreement

1.

2.

fit results



04/06/24

$R(D^+)$ and $R(D^{*+})$ results

LHCb preliminary $R(D^+) = 0.249 \pm 0.043_{stat} \pm 0.047_{syst}$ $R(D^{*+}) = 0.402 \pm 0.081_{stat} \pm 0.085_{syst}$ correlation coefficient $\rho = -0.39$ compatible with SM at 0.78 σ

R(

signal yields : $N_{\tau}^{D} \sim 35000$ $N_{\tau}^{D*} \sim 29000$

 $\epsilon_\mu^{D^{+(*)}}$	$N_{\tau}^{D^{+(*)}}$	1
 $\overline{\epsilon_{ au}^{D^{+(*)}}}$	$N_{\mu}^{D^{+(*)}}$	$\overline{\mathcal{B}(\tau^- \to \mu^- \nu_\tau \nu_\mu)}$

systematic uncertainties

Source	$\mathcal{R}(D^+)$	$\mathcal{R}(D^{*+})$
Form factors	0.023	0.035
$B \to D^{**}[D^+X]\mu/\tau\nu$ fractions	0.024	0.025
$B \to D^+ X_c X$ fractions	0.020	0.034
Misidentification	0.019	0.012
Simulation size	0.009	0.030
Combinatorial background	0.005	0.020
Data/simulation agreement	0.016	0.011
Muon identification	0.008	0.027
Multiple candidates	0.007	0.017
Total systematic uncertainty	0.047	0.086

systematic uncertainty on ratio of efficiencies very subdominat

main systematic uncertainties are from form-factors parametrisation and background modelling

$R(D^+)$ and $R(D^{*+})$ results

LHCb preliminary $R(D^+) = 0.249 \pm 0.043_{stat} \pm 0.047_{syst}$ $R(D^{*+}) = 0.402 \pm 0.081_{stat} \pm 0.085_{syst}$ correlation coefficient $\rho = -0.39$



new $R(D) - R(D^*)$ world average

including this new result [LHCb-PAPER-2024-007 in preparation] the world average becomes $\rightarrow R(D^*) = 0.287 \pm 0.012$; $R(D) = 0.342 \pm 0.026$



note that this plot has been updated on the 20th of May 2024 to include: the updated R(D*) measurement from Belle II [arXiv:2401.02840] (updated w.r.t. the result presented at Lepton Photon 2023) and the updated R(D*) measurement by LHCb [will appear soon as arXiv:2305.01463v2] (updated w.r.t. [PRD 108, 012018])

27/03/23



D^{*-} longitudinal polarization in $B^0 \rightarrow D^{*-} \tau^+ v_{\tau}$ [arXiv:2311.05224]

longitudinal D^* polarization fraction $\mathsf{F}_L{}^{\mathsf{D}*}$

- ✓ studies of kinematic and angular distributions, such as $F_L^{D^*}$, can provide additional sensitivity to NP: can test presence of new mediators and different spin structures
- ✓ first LHCb angular analysis on B⁰ → D^{*-}τ⁺ν_τ with τ → $3\pi^{\pm}(\pi^{0})v_{\tau}$
- ✓ the value of $F_L^{D^*}$ is extracted from the angular distribution of the $D^{*-} \rightarrow D^0 \pi^-$





$$F_L^{D^*}(q^2) = \frac{\boldsymbol{a}_{\theta_D}(\boldsymbol{q}^2) + \boldsymbol{c}_{\theta_D}(\boldsymbol{q}^2)}{\boldsymbol{3}\boldsymbol{a}_{\theta_D}(\boldsymbol{q}^2) + \boldsymbol{c}_{\theta_D}(\boldsymbol{q}^2)}$$

the presence of new mediators impacts the polarisation fraction

 \checkmark data sample ~5 fb⁻¹ at $\sqrt{s} = 7 - 8$ TeV (Run 1) and 13 TeV (Run 2)

D^{\ast} polarization $F_{L}{}^{D^{\ast}}$ measurement

✓ selection of the $B^0 \rightarrow D^{*-}\tau^+\nu_{\tau}$ decays follows the same strategy as the R(D^{*}) measurements [PRL 120, 171802] [PRD 108, 012018]

just few highlights

✓ signal candidates are built based on the
 6 final-state charged tracks →

tracks/vertex quality, particle identification and mass constraints

- ✓ the requirement of a 3π vertex to be downstream w.r.t. the B vertex along the beam direction suppresses the main background $D^*3\pi X$ (~100 × signal)
- ✓ BDT classifier based on kinematic and resonant structure to remove the largest contributor of the double charm background $B \rightarrow D^*D_s(3\pi X)X$ (~10 × signal): anti-D_s BDT

PV

\checkmark 4D binned template fit

- $\circ~{\rm simultaneous}~{\rm on}~{\rm two}~q^2~{\rm bins}~(~\lessgtr~7~GeV^2/c^4)$
- $\circ \cos\theta_{D}$
- $\circ \tau$ decay time
- \circ anti-D_s BDT output

 $\checkmark\,$ two MC signal templates: **polarized** and **unpolarized**



 Δz

$\mathsf{D}^*\text{polarization}\;\mathsf{F}_L{}^{\mathsf{D}*}\text{result}$



 $\checkmark~F_L{}^{D^*}$ determined from the observed fractions of polarized signal at low and high q^2 regions

 $q^2 < 7 \text{ GeV}^2/c^4 : 0.51 \pm 0.07_{stat} \pm 0.03_{syst}$ $q^2 > 7 \text{ GeV}^2/c^4 : 0.35 \pm 0.08_{stat} \pm 0.02_{syst}$ q^2 whole range : 0.43 ± 0.06_{stat} \pm 0.03_{syst}

 main systematic from MC template, FF parametrization, and double charm background modelling

> **Compatible with previous Belle measurement:** $F_I^{D^*} = 0.60 \pm 0.08 \pm 0.04$ [arXiv:1903.03102]

Compatible with SM:

$$\begin{split} F_L^{D^*} &= 0.441 \pm 0.006 \; [\text{PRD 98 (2018) 095018}] \\ F_L^{D^*} &= 0.457 \pm 0.010 \; [\text{Eur. Phys. J. C 79, 268 (2019)}] \\ F_L^{D^*} &= 0.467 \pm 0.009 \; [\text{Eur. Phys. J. C 80, 347 (2020)}] \\ F_L^{D^*} &= 0.422 \pm 0.010 \; [\text{arXiv:2310.03680}] \\ F_L^{D^*} &[q^2 < 7GeV^2/c^4] = 0.495 \pm 0.017 \; [\text{arXiv:2310.03680}] \\ F_L^{D^*} &[q^2 > 7GeV^2/c^4] = 0.383 \pm 0.006 \; [\text{arXiv:2310.03680}] \end{split}$$

outlook

tree level semileptonic $b \rightarrow c\tau v_{\tau}$ transitions

✓ adding full Run 2 dataset

 \checkmark many new measurements underway : $R(D_s^*)$ muonic, $R(\Lambda_c)$ muonic, B^0 decays to other charm mesons selecting different D decay channels

 \checkmark several angular analysis are ongoing

 $B^0 \rightarrow D^* \mu \nu, B^0 \rightarrow D^* \tau \nu, \Lambda_b \rightarrow \Lambda_c \mu \nu, B_s \rightarrow D_s \mu \nu, \dots$

to provide new tests and constraints of possible physics beyond SM

✓ Run3 data taking is underway with an improved detector qualified to accumulate 50 fb⁻¹ [LHCb-TDR{13,14,15,66}] ✓ to take full advantage of the HL-LHC, \mathcal{L} up to 1.5×10^{34} cm⁻²s⁻¹ → major upgrade of the detector to collect up to 300 fb⁻¹ [CERN/LHCC 2021-012, CERN/LHCC 2018-027]



 \checkmark larger data samples and improved model descriptions will help to control systematic uncertainties

04/06/24

conclusions



- X new simultaneous $R(D^+)$ and $R(D^{+*})$ measurement using the muonic τ decay with partial Run 2 dataset
 - \circ compatible with the SM at 0.78 σ level
- X global picture unchanged for R(D)-R(D^{*}) combination
 - $\circ~$ overall tension with SM at the 3.17 σ level
- X first angular analysis of charged-current semitauonic decays, measuring $F_L^{D^*}$ in B⁰→D^{*}τν
 - o better precision than Belle measurement, compatible with it and with the SM

conclusions



- X new simultaneous $R(D^+)$ and $R(D^{+*})$ measurement using the muonic τ decay with partial Run 2 dataset
 - \circ compatible with the SM at 0.78 σ level
- X global picture unchanged for R(D)-R(D^{*}) combination
 - $\circ~$ overall tension with SM at the 3.17 σ level
- X first angular analysis of charged-current semitauonic decays, measuring $F_L^{D^*}$ in B⁰→D^{*}τν
 - \circ better precision than Belle measurement, compatible with it and with the SM

Thank You for your attention !



spares

projections on $R(H_c)$ measurements



crucial cross check with other decay modes

status of R(D) and $R(D^*)$



beyond $R(H_c)$: going differential

✓ angular analyses with semitauonic and semimuonic to probe spin structure of physics beyond SM ✓ even in case $R(H_c)$ is SM-like, it will put strong constraints on NP models

$$\frac{d^4(B^0 \to D^* \ell^+ \nu_\ell)}{dq^2 d\cos^2 \theta_\ell d\cos \theta_{D^*} d^{\chi}} \propto |V_{cb}|^2 \sum_i \mathcal{H}_i(q^2) f_i(\theta_\ell, \theta_{D^*}, \chi)$$

✓ \mathcal{H}_i sensitive to New Physic and Form Factors many observables can be derived by \mathcal{H}_i

Recent literature (non-exhaustive list): D.Hill et al. JHEP 11 (2019) 133 V. Dedu, A.Poluektov JHEP 07 (2023) 063 B. Bhattacharya et al. JHEP 05 (2019) 191 C.Bobeth et al. EPJ.C 81 (2021) 11, 984 M. Fedele et al. ArXiv;2305.15457



Z. Huang et al. PRD 105 (2022) 1, 013010
B. Bhattacharya et al. JHEP 07 (2020) 07, 194
M. Ivanov et al. PRD 95 (2017) 3, 036021
D. Becirevic et al. NPB 946 (2019) 114707
O. Colangelo, F.DeFazio, JHEP 06 (2018) 082

R(D^(*)) with $\tau \rightarrow \mu \overline{\nu}_{\mu} \nu_{\tau}$

PRL 131, 111802]

$$R(D^{(*)}) \equiv \frac{\mathcal{B}(\bar{B}^0 \to D^{(*)}\tau^- \bar{\nu}_{\tau})}{\mathcal{B}(\bar{B}^0 \to D^{(*)}\mu^- \bar{\nu}_{\mu})}$$

where D^(*) stands for a D⁰, a D^{*+} or a D^{*0}

✓ select $D^0\mu^-$ and $D^{*+}\mu^-$ candidates with $D^0 \rightarrow K^-\pi^+$, $D^{*+} \rightarrow D^0(K^-\pi^+)\pi^+$

signal and normalization decay chains with identical visible final states, many uncertainties cancel on R(D^(*))

• Simultaneous measurement of R(D) and $R(D^*)$ with Run 1 data using muonic $\tau^+ \rightarrow \mu^+ \nu_\mu \bar{\nu}_\tau$



 $R(D^*)$ with $\tau \rightarrow 3\pi^{\pm}(\pi^0)v_{\tau}$



$R(D^*)$ with $\tau \rightarrow 3\pi^{\pm}(\pi^0)\nu_{\tau}$: ReDecay



[EPJC 78, 1009 (2018)]

- Generate 1 complete event: signal + underlying event
- Re-generate the B decay 100 times and merge each with the underlying event
- **3** Repeat **1** and **2** N times
- ightarrow Factor $\mathcal{O}(10)$ faster simulation

$R(\Lambda_c)$ with $\tau \rightarrow 3\pi^{\pm}(\pi^0)\nu_{\tau}$

[PRL 128 (2022) 191803]

- First LFU test in a baryonic $b \to c \ell \nu_{\ell}$ decay with Run 1 data using hadronic $\tau^+ \to \pi^+ \pi^- \pi^+ (\pi^0) \bar{\nu}_{\tau}$
- 3D template fit to extract signal yield



R(D*) and R(J/ ψ) with $\tau \rightarrow \mu \nu \nu$ at LHCb

LHCb [PRL 115 (2015) 111803]

$$R(D^*) = rac{\mathcal{B}(B^0 o D^{*-} au^+
u_ au)}{\mathcal{B}(B^0 o D^{*-} \mu^+
u_\mu)}$$

using $D^* \rightarrow D^0 (\rightarrow K^+ \pi^-) \pi^$ visible final state $\rightarrow \pi (K\pi) \mu$

large backgrounds from partially reco B decays \rightarrow *MVA techniques based on* μ *isolation*

 1.9σ above Standard Model

LHCb [PRL 120 (2018) 121801]

$$R(J/\psi) = \frac{\mathcal{B}(B_c^+ \to J/\psi\tau\nu)}{\mathcal{B}(B_c^+ \to J/\psi\mu\nu)}$$

using $J/\psi \rightarrow \mu^+\mu^$ visible final state $\rightarrow (\mu\mu)\mu$

shorter B_c decay time helps to discriminate large background from lighter b hadrons



Run 1 data sample, **about 3 fb⁻¹ at** $\sqrt{s} = 7$, 8 TeV

~ 2. σ above Standard Model

the LHCb upgrade

@ restarted data taking at \mathcal{L} up to 2×10^{33} cm⁻²s⁻¹ (x5 \mathcal{L} Run 2) \bigcirc upgrade detector qualified to accumulate 50 fb⁻¹ \rightarrow

upgrade all sub-detector electronics to 40 MHz readout make all trigger decision in software and some new detectors

