



# Lattice QCD for Heavy Flavors

**William I. Jay – MIT**

**BEACH 2024**

**XV International Conference on**

**Beauty, Charm, Hyperons in Hadronic Interactions**

**Charleston, South Carolina – June 3-7, 2024**





# Outline

- Motivation & Review of Lattice QCD
- Leptonic decays
- Semi-leptonic decays of B and D mesons
- Neutral B-meson mixing
- Summary

Enormous lattice literature on heavy quarks.

Impossible to be comprehensive.

Talk is unavoidably biased, focusing attention on recent published results and a few selected topics

Apologies for all omissions



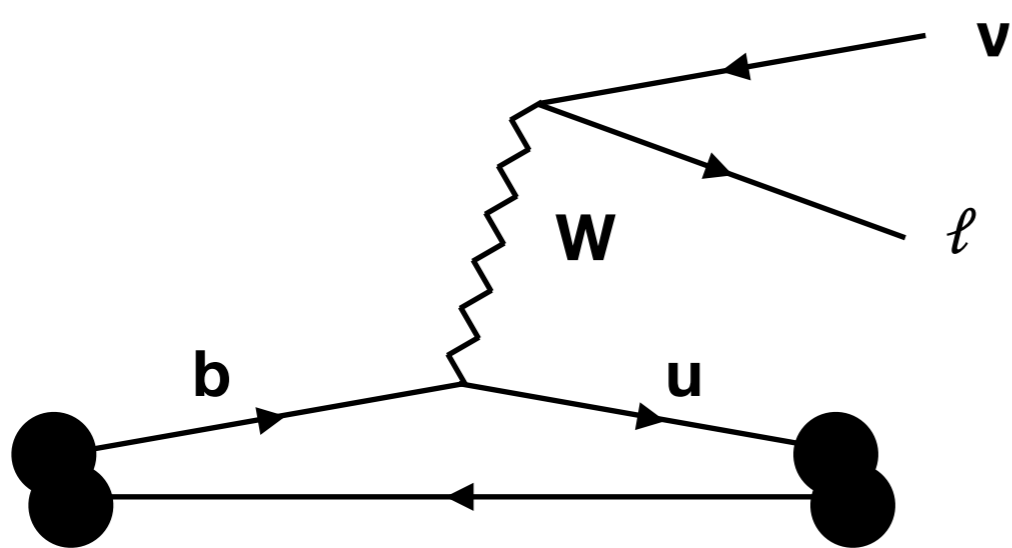
# Context & Motivation



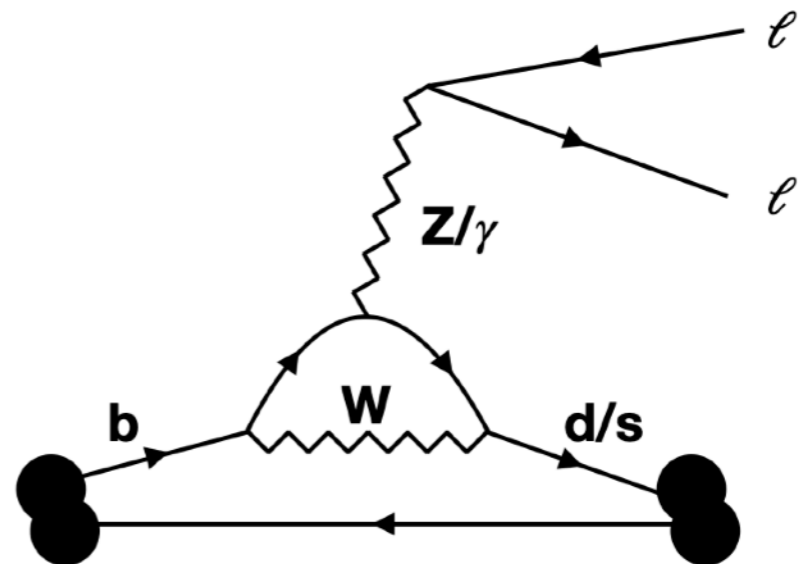
# Quark Flavor and Lattice QCD

Two complementary roles

$$d\Gamma = \left( \begin{array}{c} \text{CKM} \\ \text{factor} \end{array} \right) \left( \begin{array}{c} \text{kinematic} \\ \text{factor} \end{array} \right) \left( \begin{array}{c} \text{QCD} \\ \text{factor} \end{array} \right) + \left[ \begin{array}{c} \text{BSM} \\ \text{term} \end{array} \right]$$



Determine CKM matrix elements via tree-level decays



Test the CKM paradigm of the SM via rare decays



# Quark Flavor and Lattice QCD

## Accessing the CKM Matrix

**“Gold-plated processes”**  $\iff$   
**Single-hadron initial state.**  
**Zero- or one-hadron final state.**  
**All hadrons stable under QCD.**

*Nota bene:* Different lattice QCD formalism for exclusive multi-hadron or inclusive final states



# Quark Flavor and Lattice QCD

## Tree level: CKM Matrix Elements

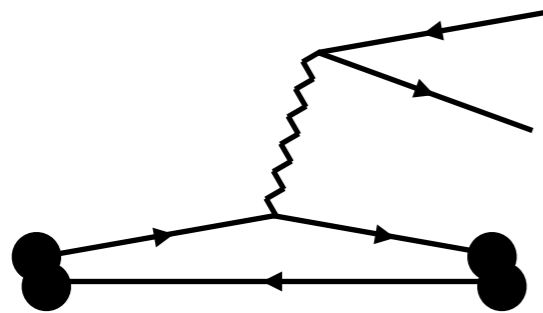
### Leptonic decays



(Decay constants)

$$\langle 0 | A^\mu | H(P) \rangle = i f_H p^\mu$$

### Semi-leptonic decays

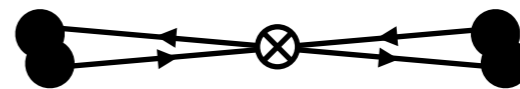


(Form factors)

$$f_J(p) \propto \langle \text{final} | J(p) | \text{initial} \rangle$$

$V_{ud}$	$V_{us}$	$V_{ub}$
$\pi \rightarrow \ell \nu$	$K \rightarrow \ell \nu$	$B \rightarrow \ell \nu$
	$K \rightarrow \pi \ell \nu$	$B \rightarrow \pi \ell \nu$
		$\Lambda_b \rightarrow p \ell \nu$
$V_{cd}$	$V_{cs}$	$V_{cb}$
$D \rightarrow \ell \nu$	$D_s \rightarrow \ell \nu$	$B \rightarrow D \ell \nu$
$D \rightarrow \pi \ell \nu$	$D \rightarrow K \ell \nu$	$B \rightarrow D^* \ell \nu$
$D_s \rightarrow K \ell \nu$		$\Lambda_b \rightarrow \Lambda_c \ell \nu$
$V_{td}$	$V_{ts}$	$V_{tb}$
$\langle B_d   \bar{B}_d \rangle$	$\langle B_s   \bar{B}_s \rangle$	

### Neutral-meson mixing



(Matrix elements)

$$\langle \bar{B}^0 | \mathcal{H}_{\text{eff}} | B^0 \rangle$$



# Quark Flavor and Lattice QCD

## Loop level: Flavor-Changing Neutral Currents

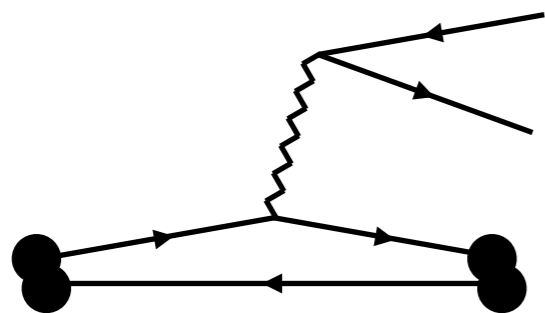
### Leptonic decays



(Decay constants)

$$\langle 0 | A^\mu | H(P) \rangle = i f_H p^\mu$$

### Semi-leptonic decays



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$$f_J(p) \propto \langle \text{final} | J(p) | \text{initial} \rangle$$

$$B_s \rightarrow \ell^+ \ell^-$$

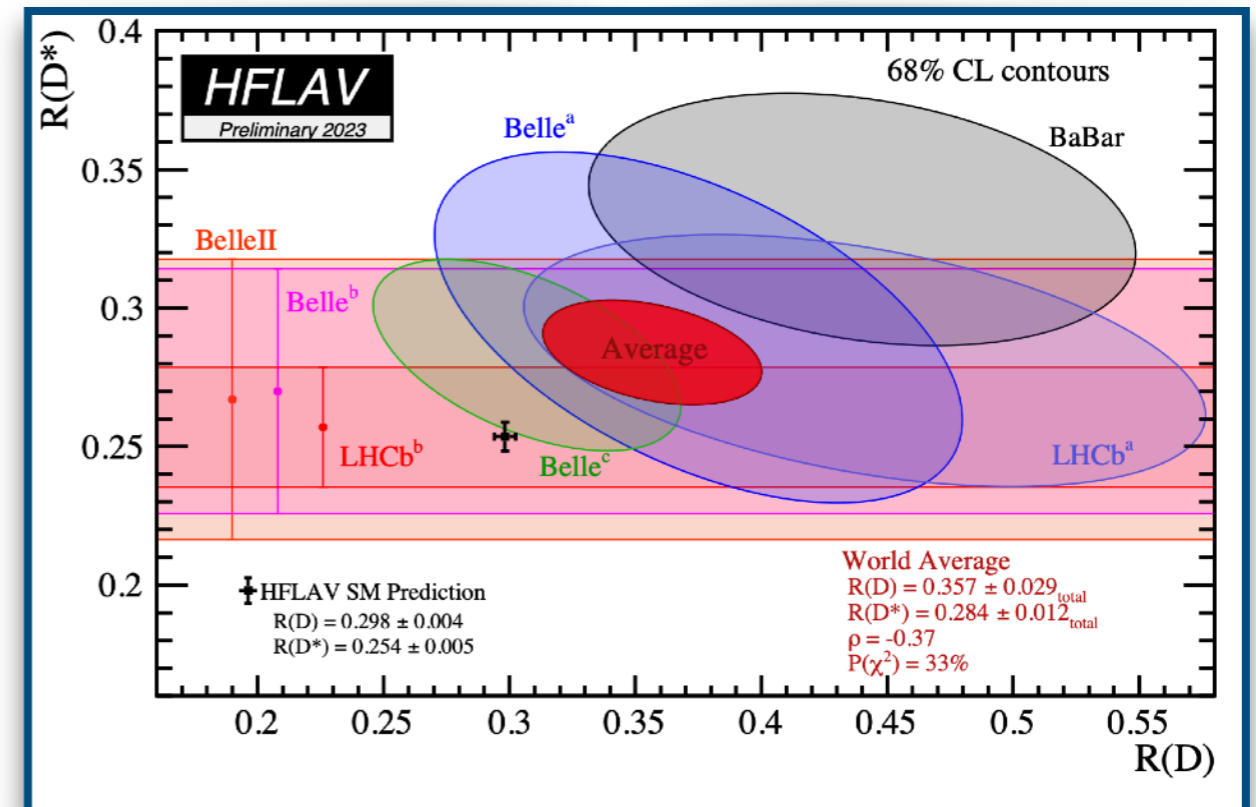
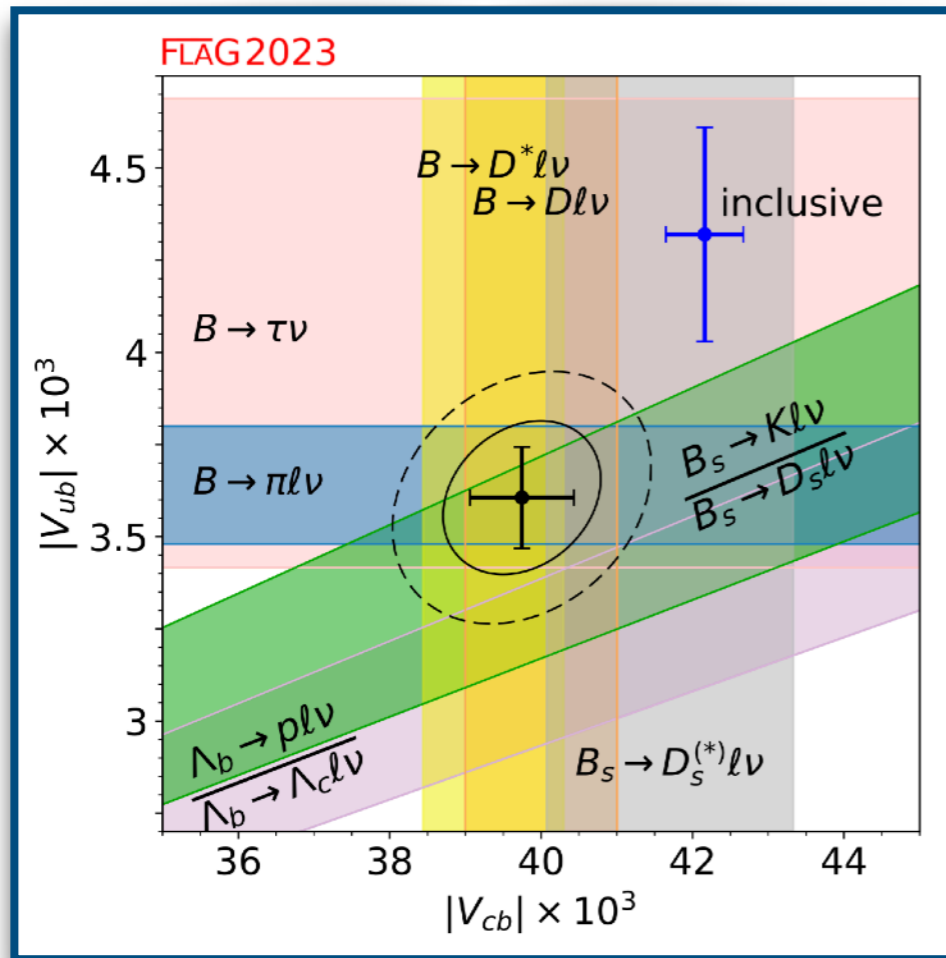
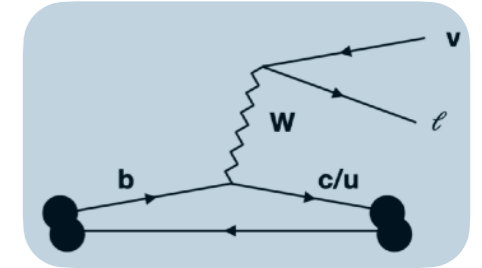
$$B \rightarrow K \ell \nu$$

$$B \rightarrow K^* \ell \nu$$

$$\Lambda_B \rightarrow \Lambda \ell \nu$$



# Tensions: Trees and Loops



- **Tree level:** Exclusive (LQCD) vs Inclusive (OPE+HQE) determinations of CKM matrix elements

- $|V_{cb}|$  from  $B \rightarrow D^* \ell \nu$ ,  $B \rightarrow D \ell \nu$
- $|V_{ub}|$  from  $B \rightarrow \pi \ell \nu$

- **Tree level:** Lepton Flavor Universality:  $R(D)$ ,  $R(D^*)$

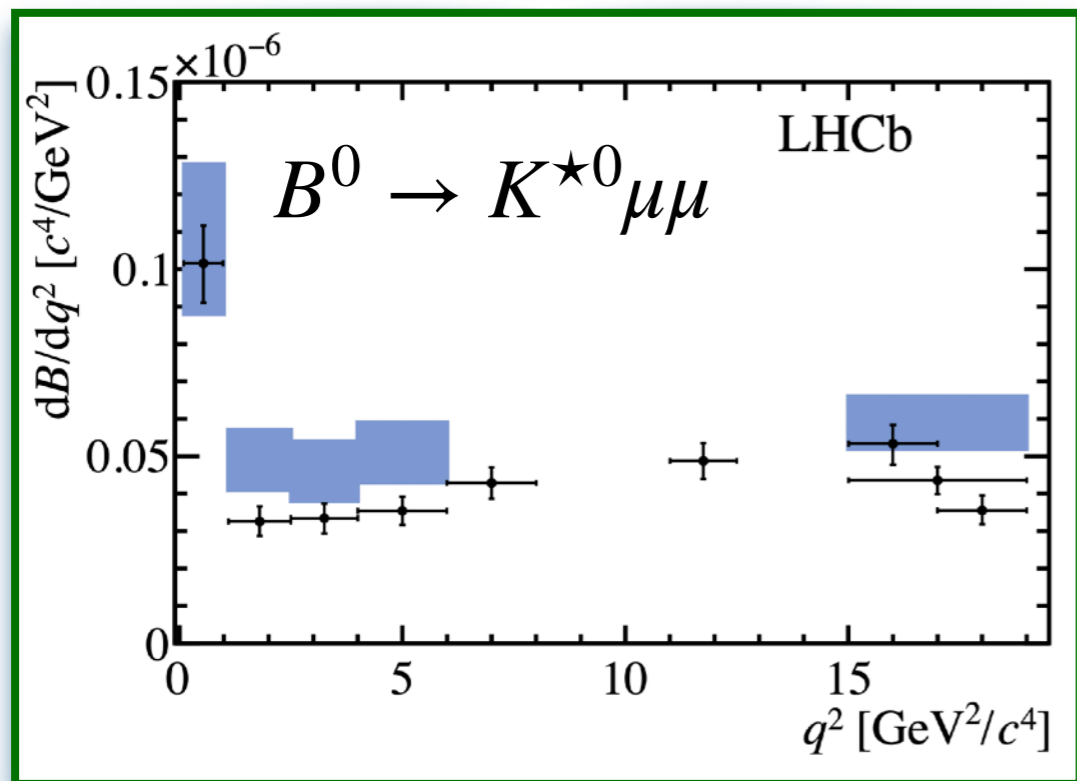
$$R(D) = \frac{\mathcal{B}(B \rightarrow D \tau \bar{\nu})}{\mathcal{B}(B \rightarrow D \mu \bar{\nu})}$$





# Tensions: Trees and Loops

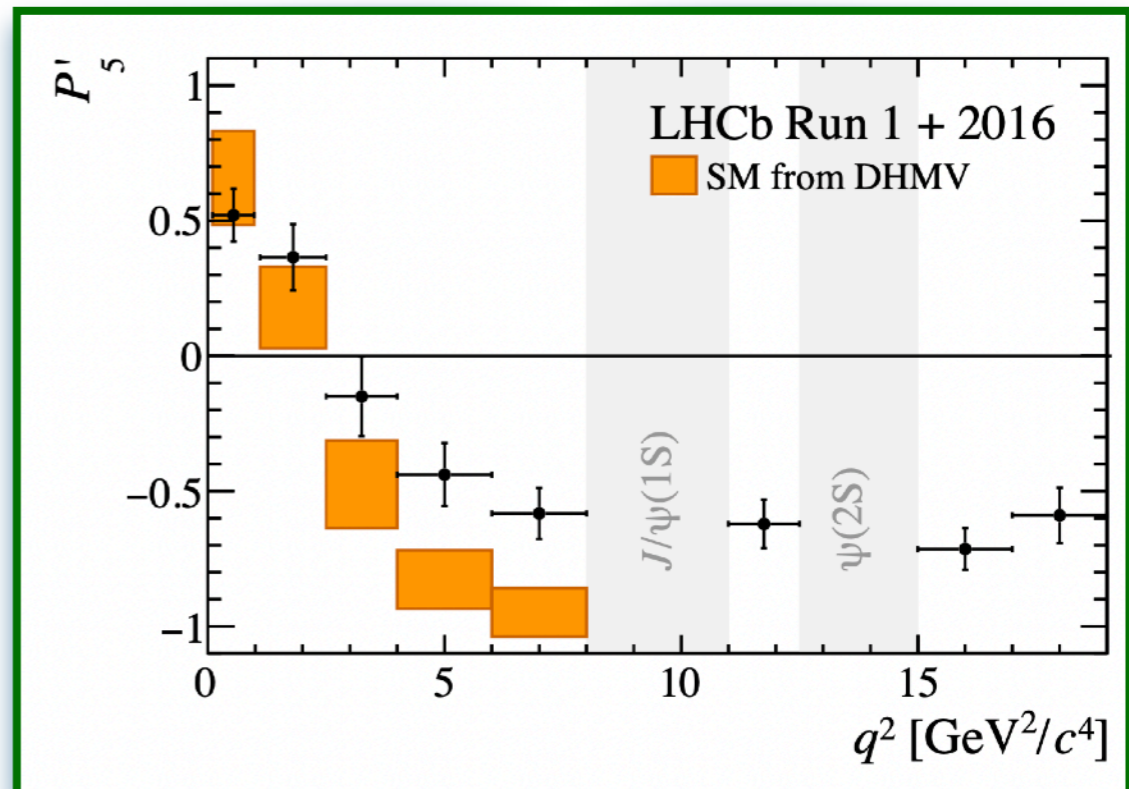
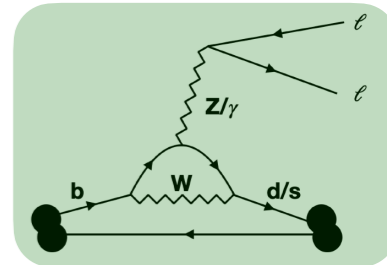
## Branching fractions



- **Loop level:**  $b \rightarrow s \ell \ell$  FCNC branching fractions:

$$\begin{aligned}
 & \bullet B^0 \rightarrow K^{*0} \mu \mu, B_s^0 \rightarrow \varphi \mu \mu, \\
 & \Lambda_b^0 \rightarrow \Lambda^0 \mu \mu, B^+ \rightarrow K^+ \mu \mu, \\
 & B^0 \rightarrow K^0 \mu \mu, B^+ \rightarrow K^{*+} \mu \mu
 \end{aligned}$$

## Angular distribution



- **Loop level:**  $b \rightarrow s \ell \ell$  FCNC angular observables

$$\begin{aligned}
 & \bullet B^0 \rightarrow K^{*0} \mu \mu, B^+ \rightarrow K^{*+} \mu \mu, \\
 & B_s^0 \rightarrow \varphi \mu \mu
 \end{aligned}$$



# Lattice QCD with Heavy Quarks



# Lattice QCD

- Lattice QCD gives complete non-perturbative definition to the strong interactions

- This framework gives: 
$$\mathcal{Z} = \int \mathcal{D}[\text{fields}] e^{-S_E[\text{fields}]}$$

- **Fundamental approximations:**

- UV cutoff: lattice spacing  $a$  [target:  $a \ll$  physical scales]
- IR cutoff: finite spacetime volume  $V = L^3 \times T$  [target:  $1 \ll m_\pi L$ ]

- **Approximations of convenience:**

- Often: Heavier-than-physical pions:  $(m_\pi)^{\text{lattice}} > (m_\pi)^{\text{PDG}}$
- Often: Isospin limit  $m_u = m_d$
- Often: QCD interactions only, no QED
- Often: lighter-than-physical or static heavy quarks





# Lattice QCD is systematically improvable

- All approximations admit theoretical descriptions via EFT
  - Cutoff dependence  $\Leftrightarrow$  Symanzik effective theory
  - Finite-volume dependence  $\Leftrightarrow$  Finite-volume  $\chi$ PT
  - Chiral extrapolation / interpolation  $\Leftrightarrow$   $\chi$ PT
  - Heavy quark extrapolation / interpolation  $\Leftrightarrow$  HQET, NRQCD, etc...
  - QED, isospin breaking  $\Leftrightarrow$  perturbative expansion of path integral
- Careful treatment of all systematic effects is key to modern high-precision lattice QCD
- Technical advances in controlling these systematics have been drivers of progress in lattice QCD, especially in heavy-quark physics



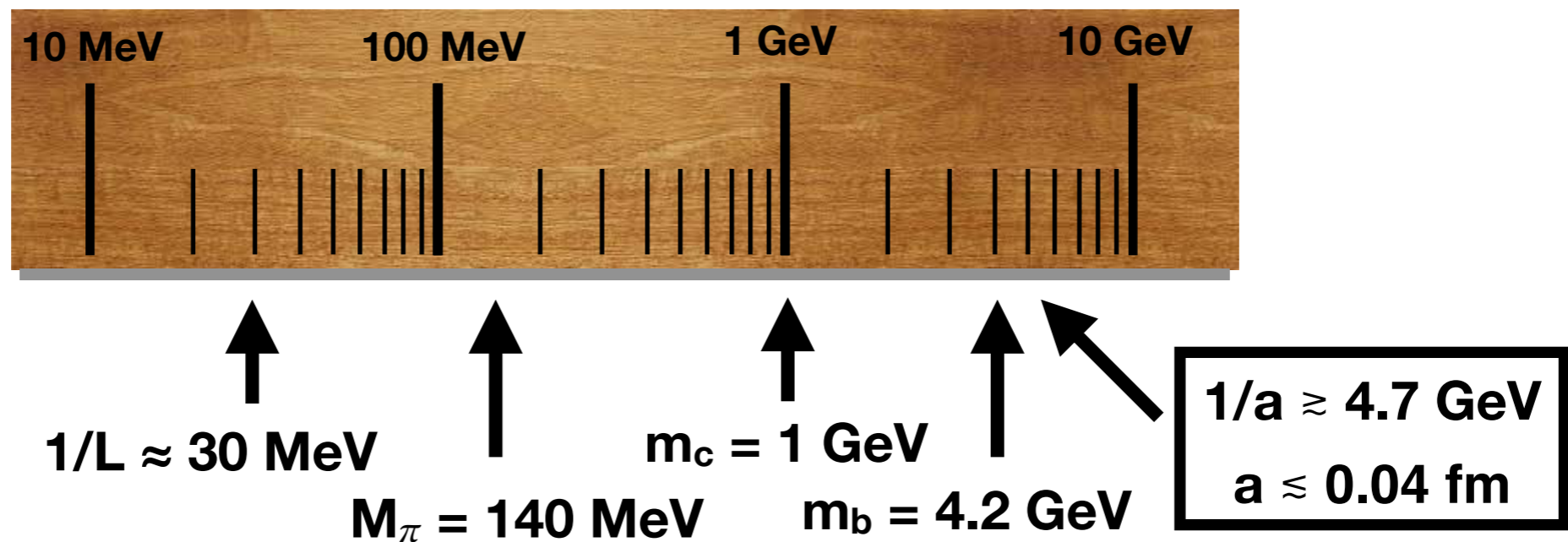
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# Lattice QCD with Heavy Quarks

## A challenging multi-scale problem



Heavy quarks are hard: lattice artifacts grow like powers  $(am_h)^n$  — especially tricky for masses near or above the cutoff

$$\frac{1}{L} \ll M_\pi \ll m_h \ll \frac{1}{a}$$



# Lattice QCD with Heavy Quarks

## A challenging multi-scale problem

Solutions to the cutoff challenge?

1. Use an “effective theory” for heavy quarks (b, sometimes c)
  - ▶ “FNAL interpretation,” NRQCD, RHQ, Oktay-Kronfeld
  - ▶ Good: Solves problem with artifacts ( $am_h$ )
  - ▶ No free lunch: EFTs require matching and/or parameter tuning, which introduces systematic effects
  - ▶ (1-3)% total errors
2. Use highly-improved relativistic light-quark action on fine lattices
  - ▶ Good: advantageous renormalization, continuum limit
  - ▶ No free lunch: simulations still need  $am_h < 1$  and often an extrapolation to the physical bottom mass
  - ▶ (< 1)% total errors possible





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# Leptonic Decays

## An invitation to precision in lattice QCD

FLAG Review 21

Y. Aoki et al.

EPJC 82 (2022) 10, 869

arXiv: 2111.09849

### • Sub-percent precision for $f_{D(s)}$ and $f_{B(s)}$

- LQCD precision is below existing/expected experimental uncertainties
- Complementary calculations and discretizations bolster confidence in results

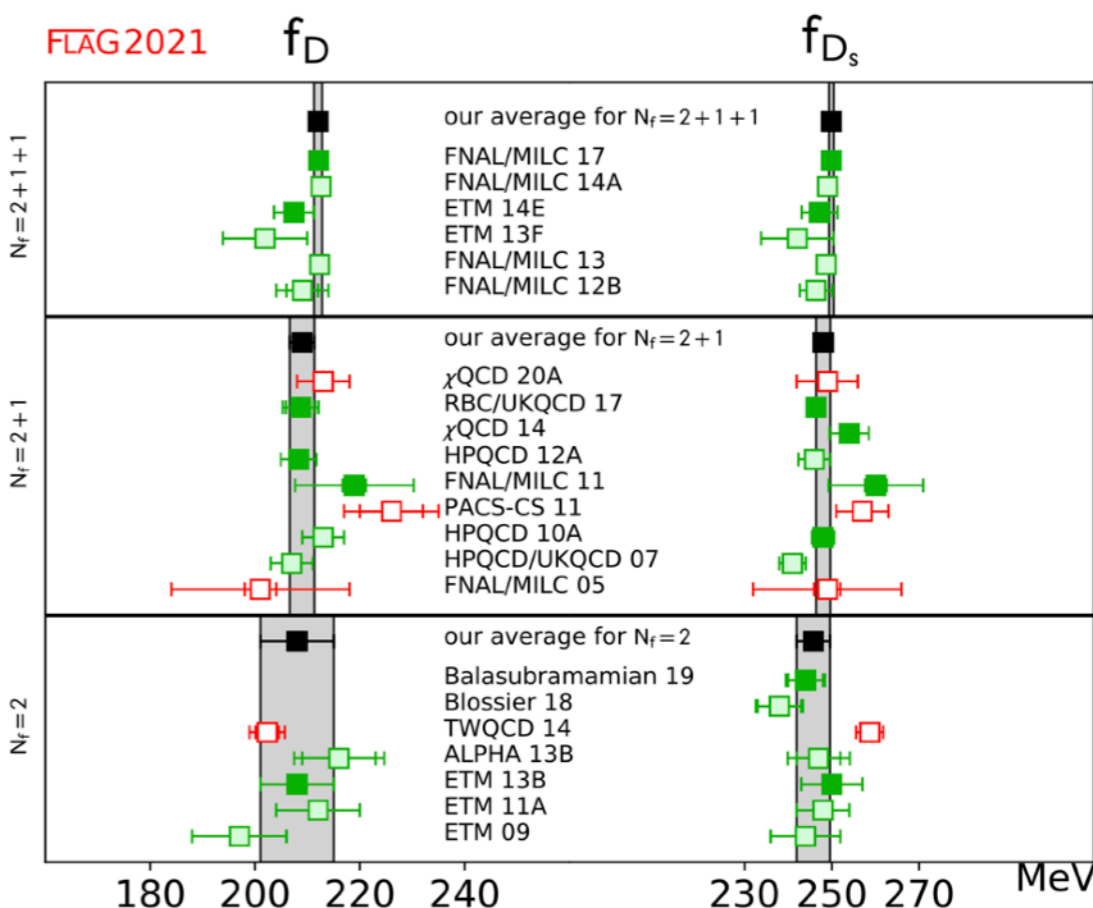
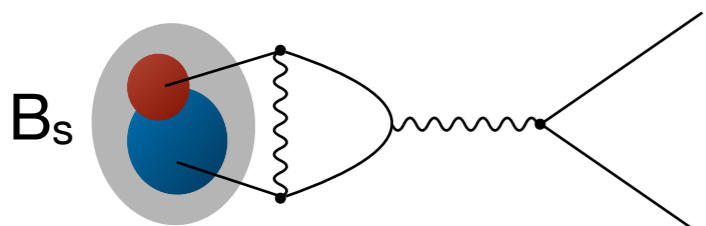
### • “Pure QCD problem is solved”

- Further improvement: systematic inclusion of QED, isospin breaking

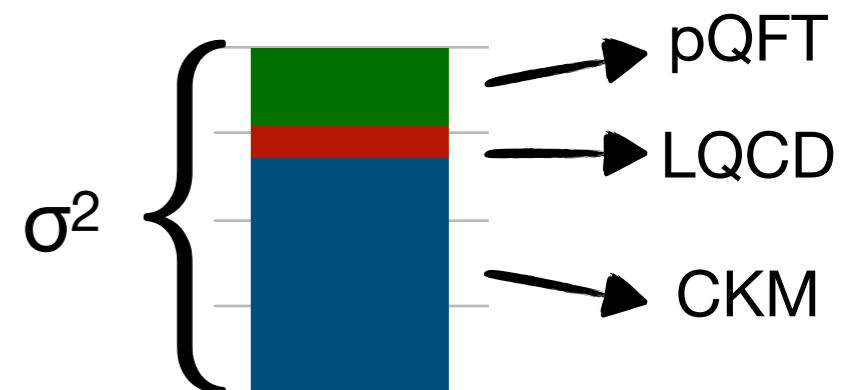
### SM prediction for rare leptonic decay rate

Beneke et al, arXiv:1908.07011, JHEP 2019

$$\overline{\mathcal{B}}(B_s \rightarrow \mu^+ \mu^-) = 3.660(38) \times 10^{-9}$$



Lattice QCD value for  $f_{B_s}$  is now a sub-dominant source of uncertainty





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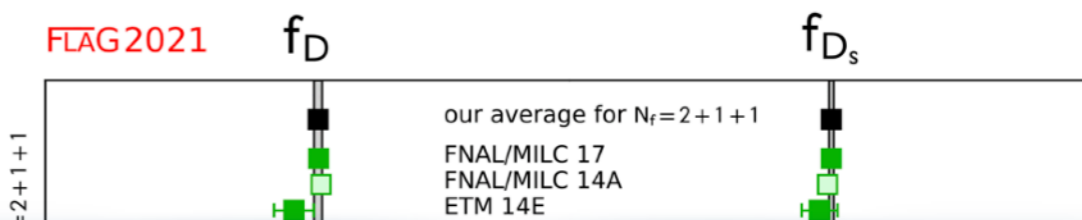
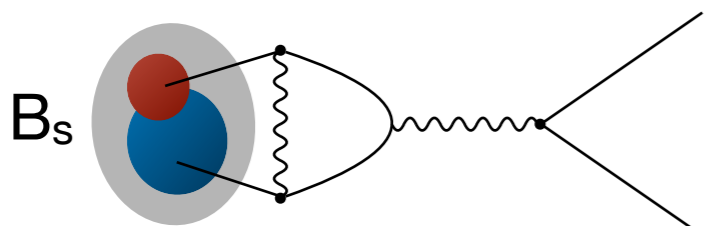
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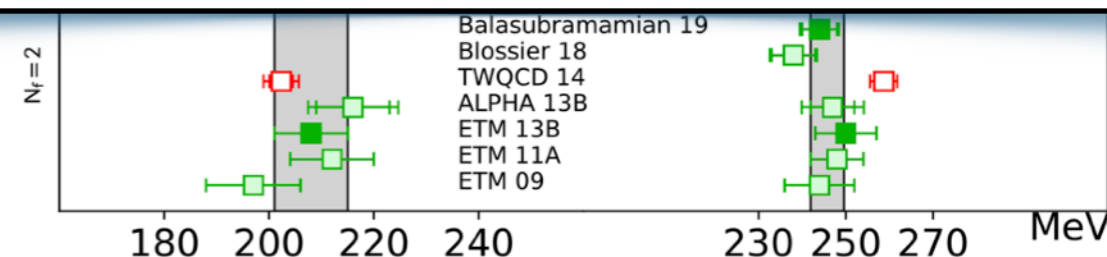
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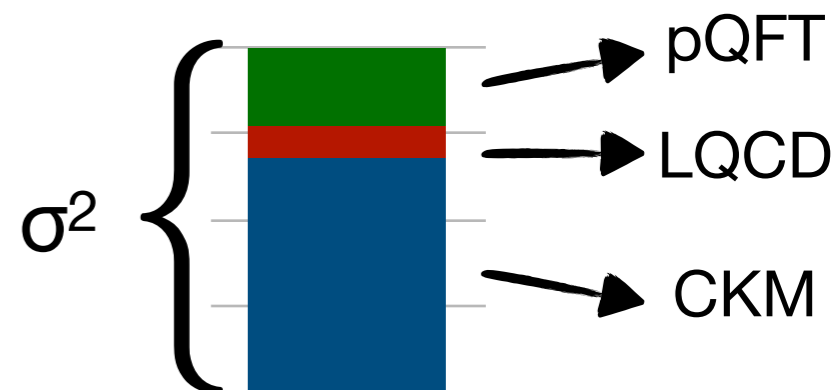
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Another frontier of high-precision lattice QCD:  
 Shaun Lahert: Thursday 14:00  
*Theoretical Advances in g-2*



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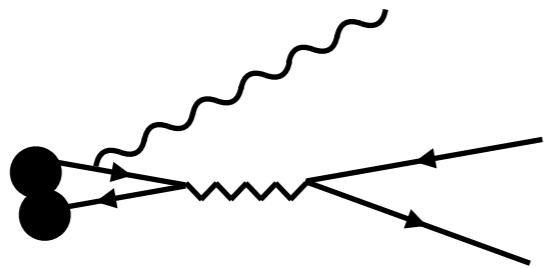




# Leptonic Decays

## An invitation to precision in lattice QCD

- Isospin / QED corrections to weak decays have been considered by the lattice community since  $\approx 2015$



$$\epsilon_\mu(k) \int d^4y e^{iky} \mathcal{T} \langle \emptyset | j_W^\alpha(0) j_{EM}^\mu(y) | P(\mathbf{p}) \rangle$$

Structure-dependent form factors:  
qualitatively new element for  
leptonic decays.

Isospin-breaking and electromagnetic corrections to weak decays

Matteo Di Carlo

3rd August 2023

Centre for Hadron Physics  
THE UNIVERSITY of EDINBURGH  
LATTICE2023  
Fermilab

**Matteo Di Carlo**

**Plenary Review @ Lattice 2023**

Includes discussion and references to literature,  
recent work reported at Lattice 2023

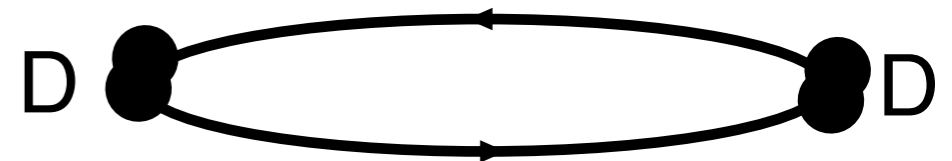


# Semileptonic decays: $H \rightarrow L\ell\nu$

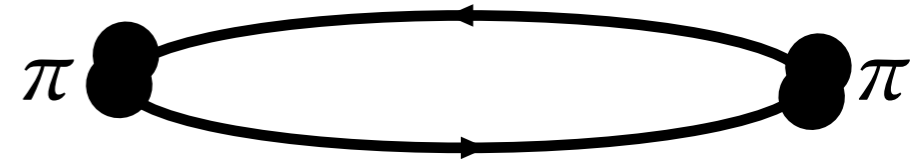
## Anatomy of a calculation: correlation functions

- Hadron masses  $\Leftrightarrow$  QCD 2pt functions
- Matrix elements  $\Leftrightarrow$  QCD 3pt functions
- For concreteness: consider  $D \rightarrow \pi\ell\nu$

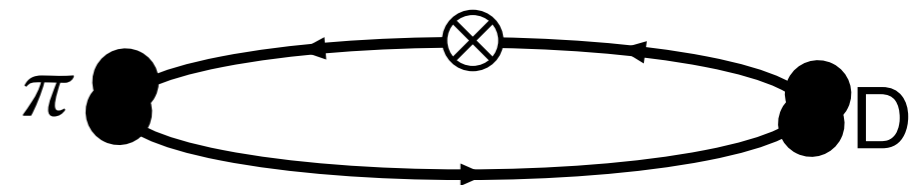
$$C_D(t) = \sum_{\mathbf{x}} \langle \mathcal{O}_D(0, \mathbf{0}) \mathcal{O}_D(t, \mathbf{x}) \rangle$$



$$C_\pi(t, \mathbf{p}) = \sum_{\mathbf{x}} e^{i\mathbf{p}\cdot\mathbf{x}} \langle \mathcal{O}_\pi(0, \mathbf{0}) \mathcal{O}_\pi(t, \mathbf{x}) \rangle$$



$$C_3(t, T, \mathbf{p}) = \sum_{\mathbf{x}, \mathbf{y}} e^{i\mathbf{p}\cdot\mathbf{y}} \langle \mathcal{O}_\pi(0, \mathbf{0}) J(t, \mathbf{y}) \mathcal{O}_D(T, \mathbf{x}) \rangle$$





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$$C_D(t) = \sum_{\mathbf{x}} \langle \mathcal{O}_D(0, \mathbf{0}) \mathcal{O}_D(t, \mathbf{x}) \rangle \longrightarrow |\langle 0 | \mathcal{O}_D | D \rangle|^2 e^{-M_D t}$$

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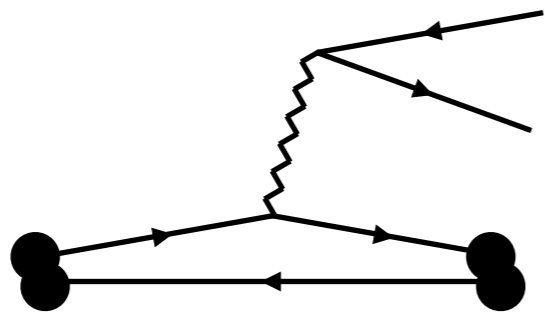
$$C_3(t, T, \mathbf{p}) = \sum_{\mathbf{x}, \mathbf{y}} e^{i\mathbf{p}\cdot\mathbf{y}} \langle \mathcal{O}_\pi(0, \mathbf{0}) J(t, \mathbf{y}) \mathcal{O}_D(T, \mathbf{x}) \rangle$$

$$\longrightarrow \langle 0 | \mathcal{O}_\pi | \pi \rangle \langle \pi | J | D \rangle \langle D | \mathcal{O}_D | 0 \rangle e^{-E_\pi t} e^{M_D (T-t)}$$

**Matrix elements  $\Rightarrow$  Form factors**



# Semileptonic Decays of D and B mesons



$\mathbf{V}_{ud}$	$\mathbf{V}_{us}$	$\mathbf{V}_{ub}$
$\pi \rightarrow \ell\nu$	$K \rightarrow \ell\nu$	$B \rightarrow \ell\nu$
	$K \rightarrow \pi\ell\nu$	$B \rightarrow \pi\ell\nu$
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$\mathbf{V}_{cd}$	$\mathbf{V}_{cs}$	$\mathbf{V}_{cb}$
$D \rightarrow \ell\nu$	$D_s \rightarrow \ell\nu$	$B \rightarrow D\ell\nu$
$D \rightarrow \pi\ell\nu$	$D \rightarrow K\ell\nu$	$B \rightarrow D^*\ell\nu$
$D_s \rightarrow K\ell\nu$	$\Lambda_c \rightarrow \Lambda\ell\nu$	$\Lambda_b \rightarrow \Lambda_c\ell\nu$
$\Lambda_c \rightarrow N\ell\nu$	$\Xi_c \rightarrow \Xi\ell\nu$	
$\mathbf{V}_{td}$	$\mathbf{V}_{ts}$	$\mathbf{V}_{tb}$
$\langle B_d   \bar{B}_d \rangle$	$\langle B_s   \bar{B}_s \rangle$	



# Semileptonic decays: $D \rightarrow \pi \mu \nu$

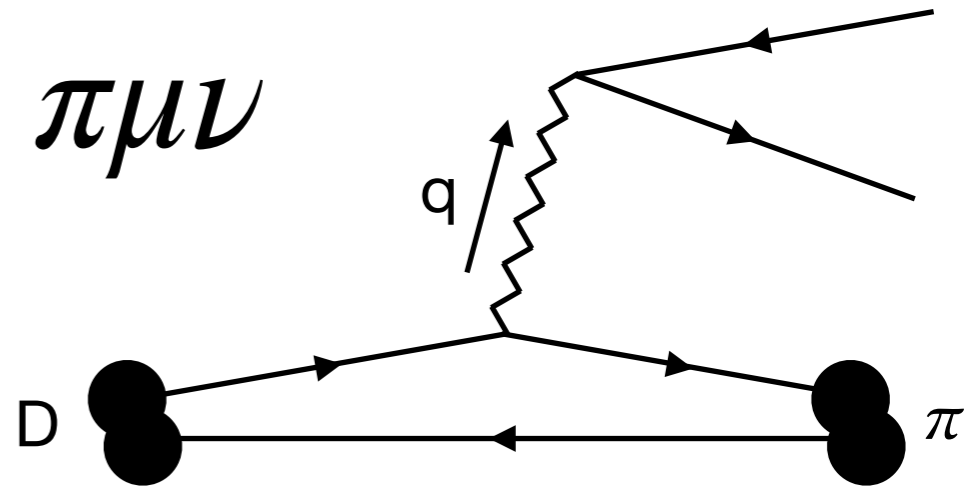
## Theoretical preliminaries

$$\frac{d\Gamma}{dq^2} = \frac{G_F^2 \eta_{EW}^2}{24\pi^3} |V_{cd}|^2 (1 - \epsilon)^2 (1 + \delta_{EM}) \times$$

$$\left[ |\mathbf{p}|^3 \left(1 + \frac{\epsilon}{2}\right) |f_+(q^2)|^2 + |\mathbf{p}| M_D^2 \left(1 - \frac{M_\pi^2}{M_D^2}\right)^2 \frac{3\epsilon}{8} |f_0(q^2)|^2 \right]$$

**$\frac{d\Gamma}{dq^2}$  : measured decay rate**

$$\epsilon = m_\mu^2 / q^2 \ll 1$$



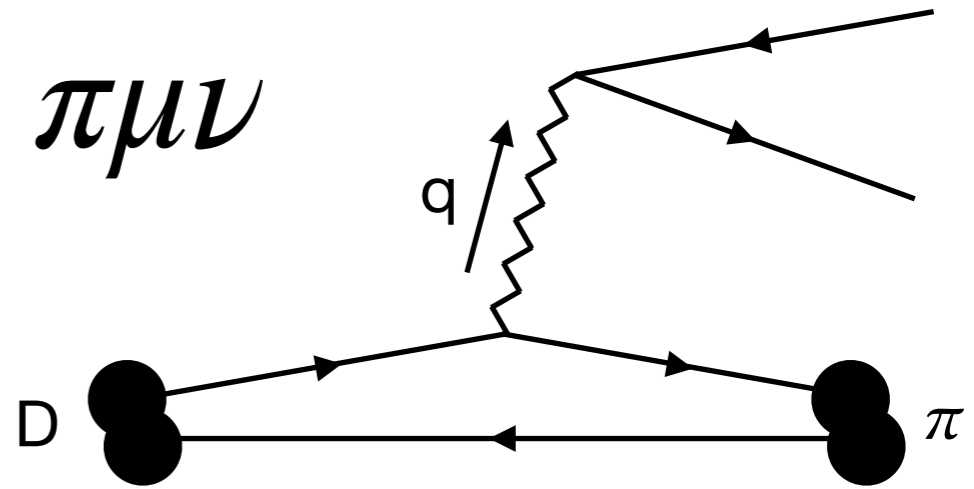


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**$f_+(q^2)$  and  $f_0(q^2)$  : (non-perturbative) hadronic form factors**

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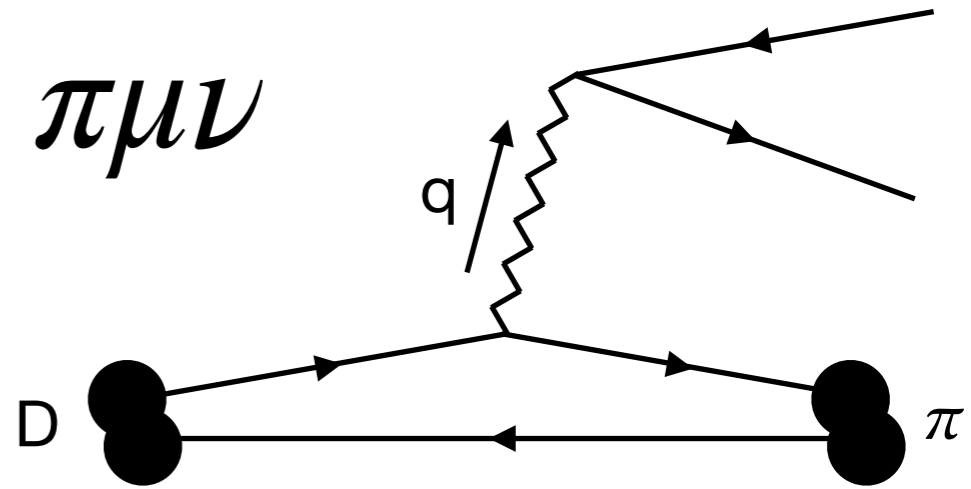




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**$\frac{d\Gamma}{dq^2}$**  : measured decay rate

**$f_+(q^2)$  and  $f_0(q^2)$**  : (non-perturbative) hadronic form factors

**$(1 - \epsilon)^2$  and  $(1 + \frac{\epsilon}{2})$**  : kinematic factors

$$\epsilon = m_\mu^2 / q^2 \ll 1$$

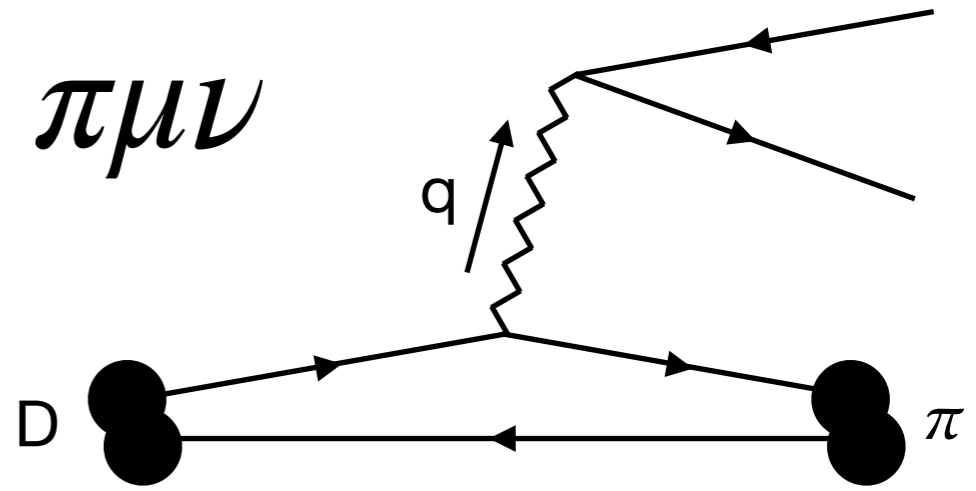


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**$\frac{d\Gamma}{dq^2}$  : measured decay rate**

**$|f_{\pm}(q^2)|^2$  : (non-perturbative) hadronic form factors**

**$(1 - \epsilon)^2$  : kinematic factors**

**$(1 + \delta_{EM})$  : perturbative corrections**

$$\epsilon = m_\mu^2 / q^2 \ll 1$$

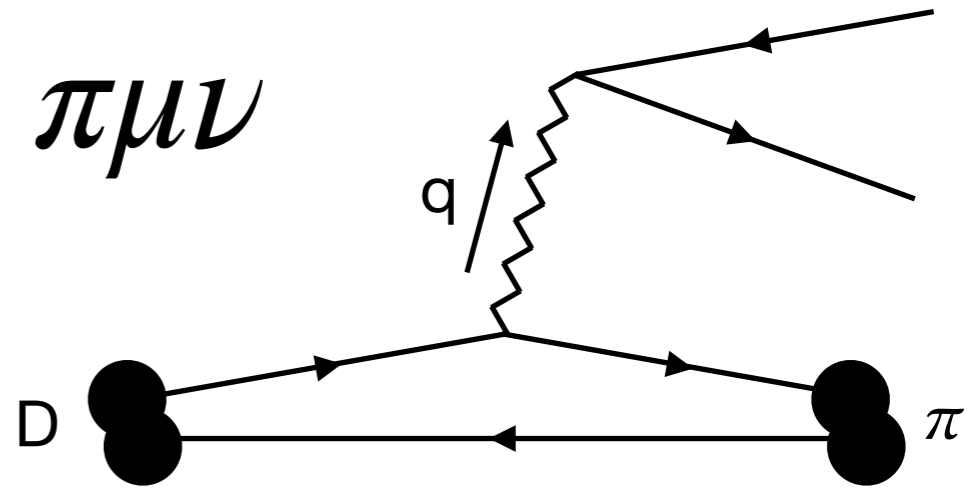


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**$(1 - \epsilon)^2$  : kinematic factors**

**$(1 + \delta_{EM})$  : perturbative corrections**

$$\epsilon = m_\mu^2 / q^2 \ll 1$$

At O(1%) precision, all sectors of SM become important: QCD, QED, EW

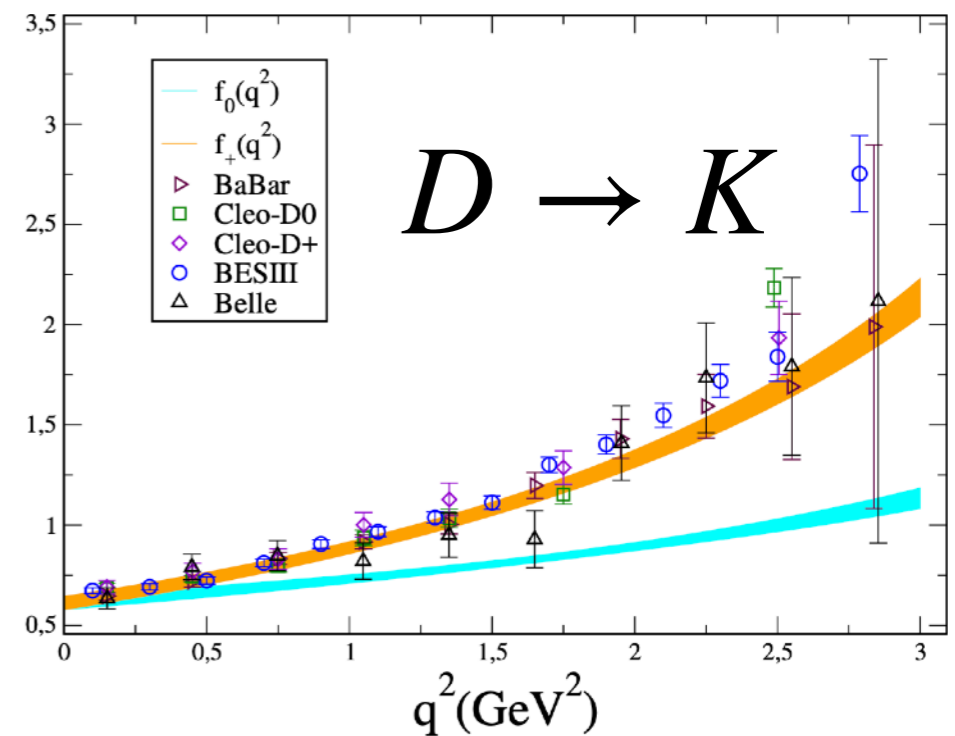
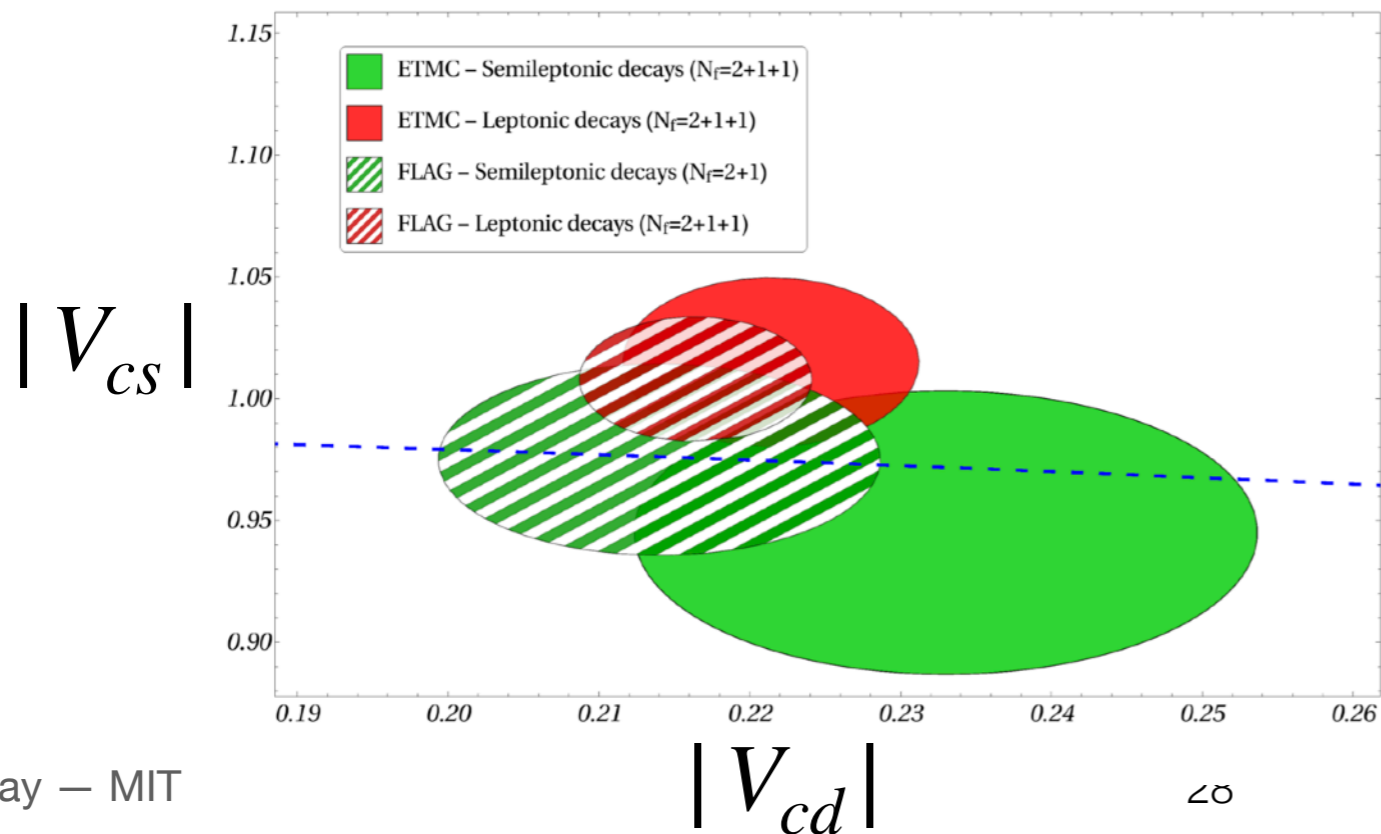
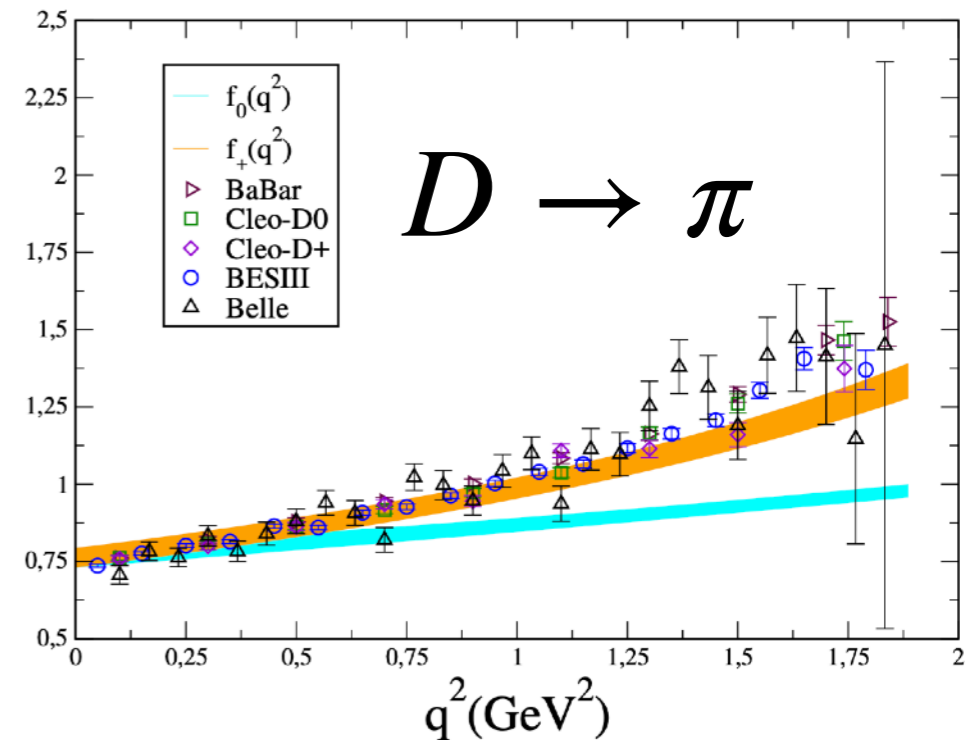


# D-meson Semileptonic Decays

ETMC  
PRD 96 (2017) 5, 054514  
arXiv:1706.03017

## $D \rightarrow K/\pi \ell \nu$ and $|V_{cd}|, |V_{cs}|$

- (N<sub>f</sub>=2+1+1)ETMC Wilson twisted mass ensembles
- Lattice spacings:  $a \in \{0.09, 0.08, 0.06\}$  fm
- $M_\pi \simeq 210 - 450$  MeV
- $\approx 4 - 6\%$  precision for  $f_{+/0}(0)$
- $|V_{cd}| = 0.2330(133)^{\text{LQCD}}(31)^{\text{EXP}} [\approx 6\%]$
- $|V_{cs}| = 0.945(38)^{\text{LQCD}}(4)^{\text{EXP}} [\approx 4\%]$



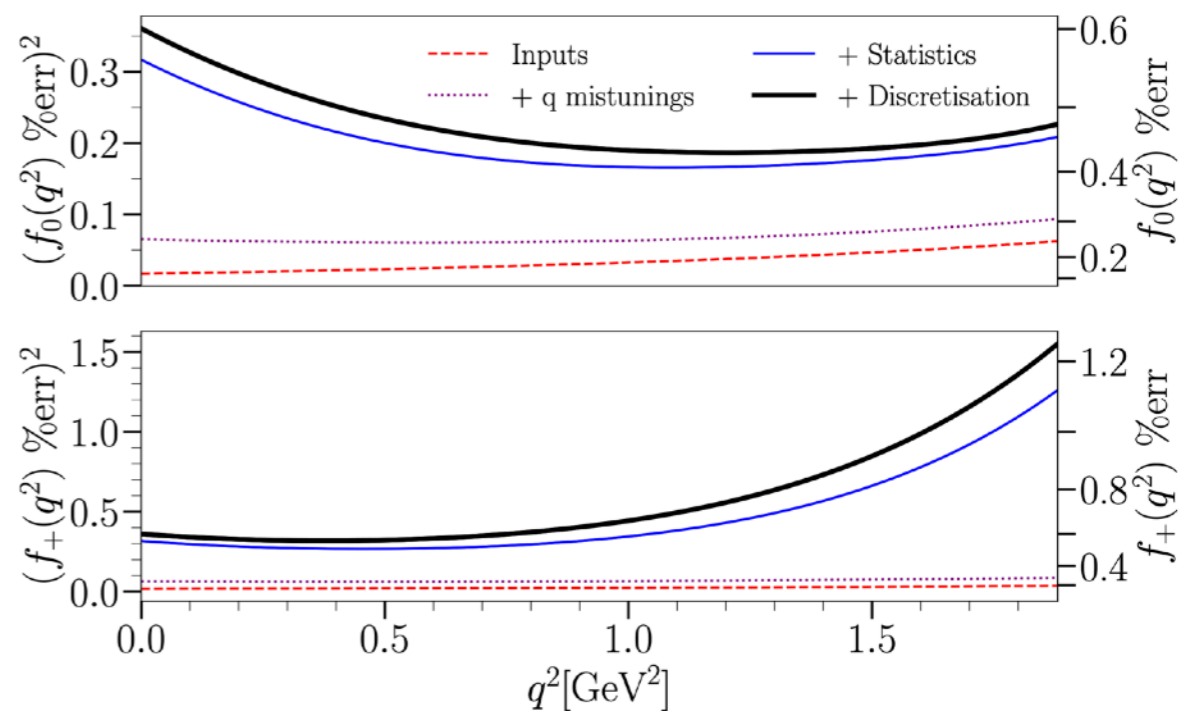
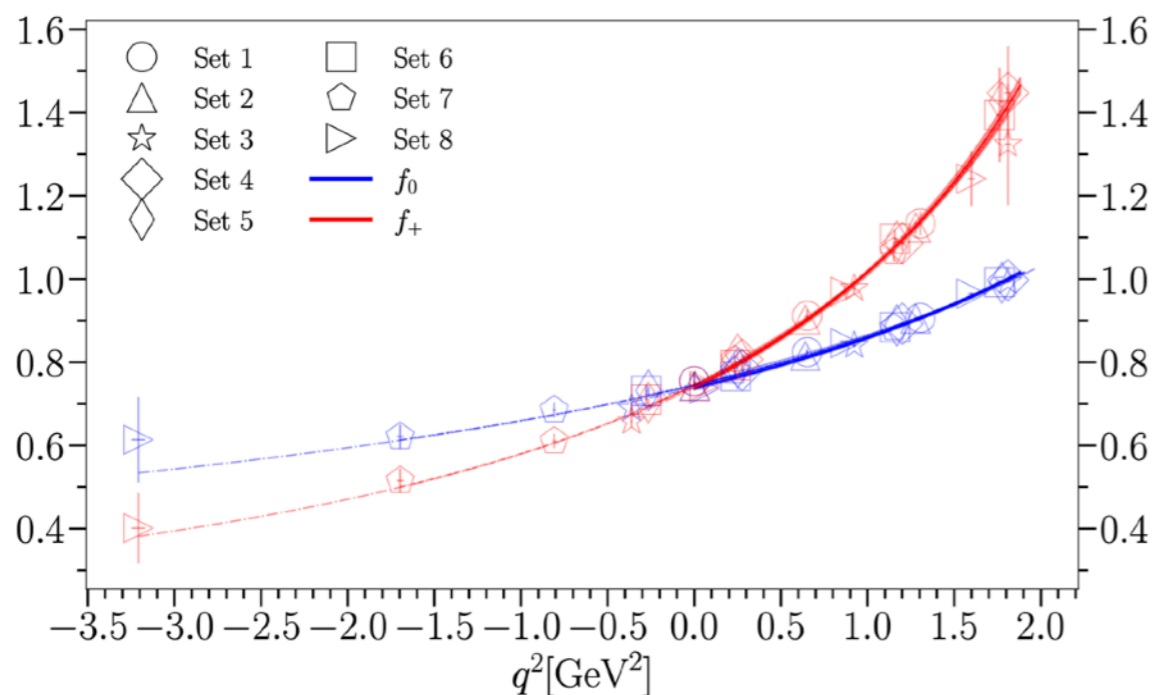
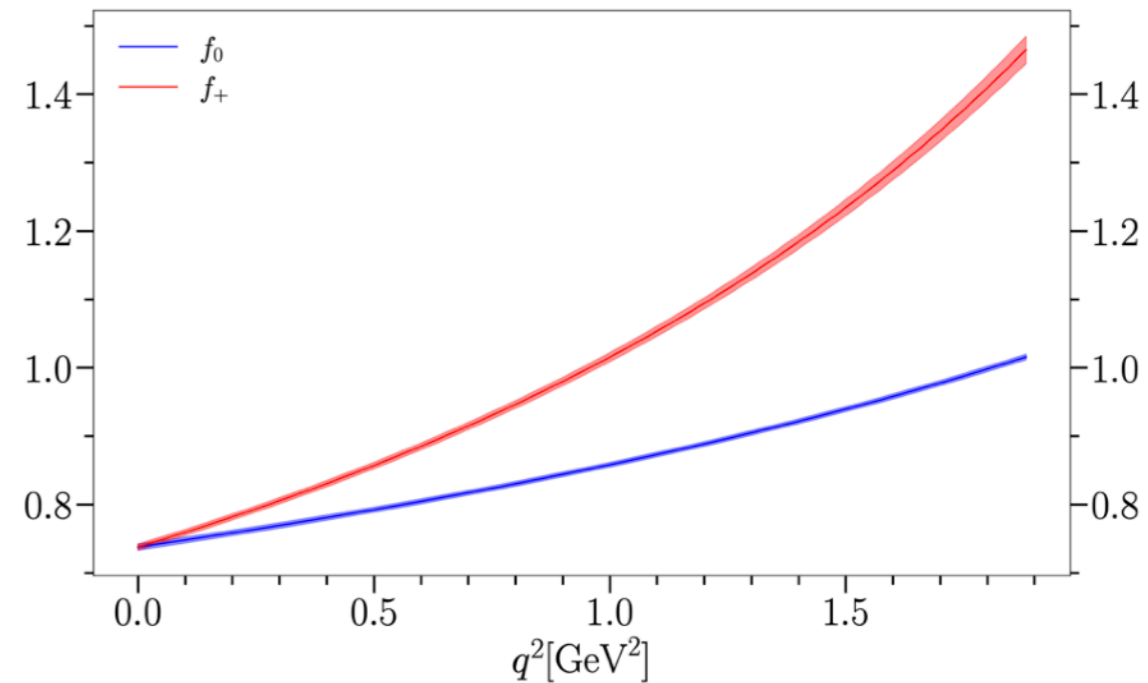


# D-meson Semileptonic Decays

HPQCD  
PRD 104 (2021) 3, 034505  
arXiv:2104.09883

## $D \rightarrow K \ell \nu$ and $|V_{cs}|$

- (N<sub>f</sub>=2+1+1) MILC HISQ ensembles
- Lattice spacings:  $a \in \{0.045 - 0.15\}$  fm
- $M_\pi \simeq 135 - 320$  MeV
- Valence: heavy HISQ
- Chiral-continuum analysis via “modified z-expansion”
- $\lesssim 1\%$  precision for  $f_{+/0}(0)$
- $|V_{cs}| = 0.9663(53)^{\text{LQCD}}(39)^{\text{EXP}}(19)^{\text{EW}}(40)^{\text{EM}} [\approx 1\%]$





# D-meson Semileptonic Decays

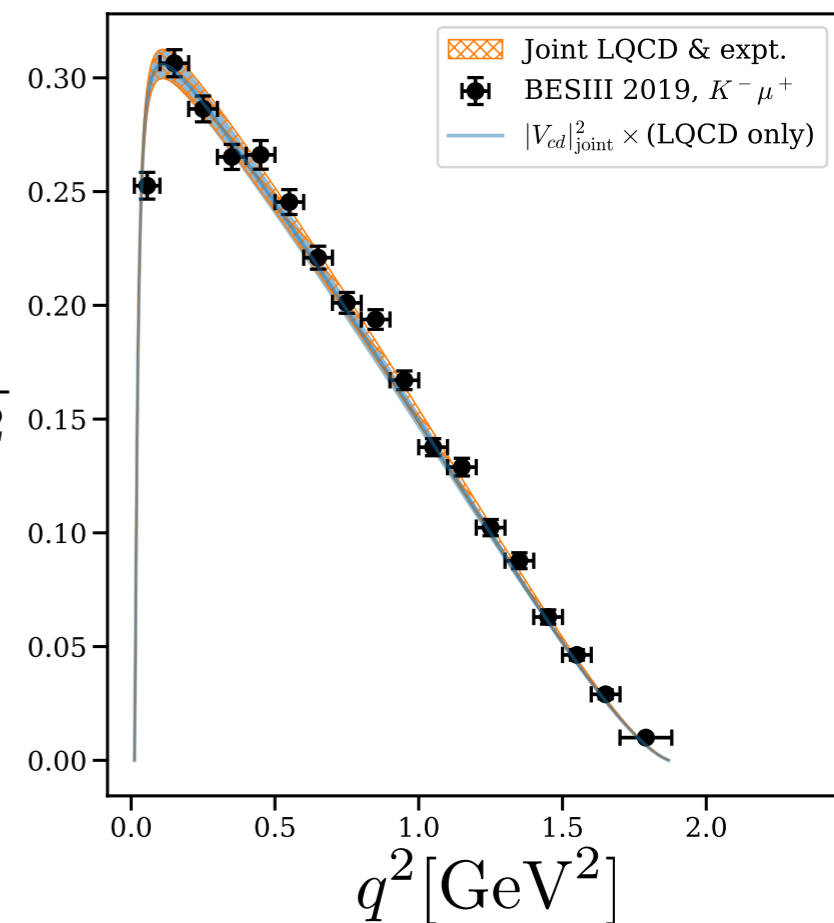
Fermilab-MILC [WJ]  
 PRD 107 (2023) 9, 094516  
 arXiv:2212.12648

$$D_{(s)} \rightarrow K/\pi \ell \nu$$

- (N<sub>f</sub>=2+1+1) MILC HISQ ensembles
- Lattice spacings: [0.045 - 0.12] fm
- HISQ treatment for all quarks: *u, d, s, c*
- Enabling technology: non-perturbative renormalization via Ward identity: “PCVC”
- All results from a **blinded analysis**

- ✓ First time that LQCD and experimental errors are commensurate for  $D \rightarrow \pi \ell \nu$
- ✓ Consistent with a percent-level extraction of  $|V_{cs}|$  from HPQCD in 2021 [arXiv:2104.09883]

$$\frac{d\Gamma}{dq^2}$$



**Percent-level total precision**

$$|V_{cd}|^{D \rightarrow \pi} = 0.2338(11)^{\text{Expt}}(15)^{\text{LQCD}}[22]^{\text{EW/QED/SIB}}$$

$$|V_{cs}|^{D \rightarrow K} = 0.9589(23)^{\text{Expt}}(40)^{\text{LQCD}}[96]^{\text{EW/QED/SIB}}$$

**Measure: Expt.**

**Calculate: LQCD**



# D-meson Semileptonic Decays

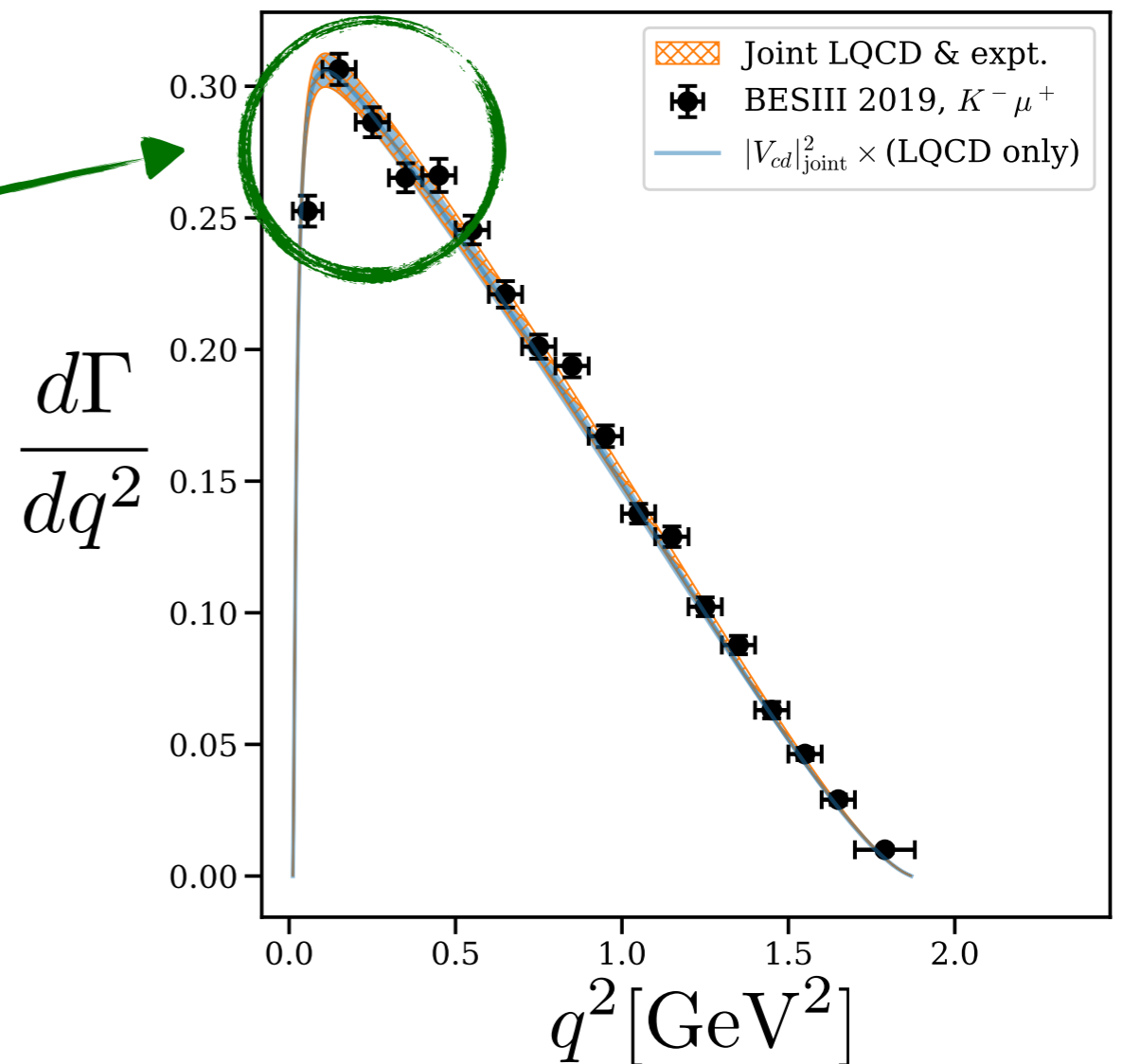
Fermilab-MILC [WJ]  
 PRD 107 (2023) 9, 094516  
 arXiv:2212.12648

$$D_{(s)} \rightarrow K/\pi \ell \nu \text{ for } |V_{cs}| \text{ and } |V_{cd}|$$

“The full Standard Model  
 on proud display.”

Suppressed  
 contributions  
 $\propto (m_\mu^2/q^2) |f_0|^2$

- ✓ Validation of Standard Model prediction
- ✓ Enables precise extraction of CKM matrix elements





# D-meson Decays

## Does theory track experiment?

1. Do theory and experiment “agree?”
2. Does theory provide good predictions for well-measured physical quantities?

**New:** Can now leverage experimentally abundant semileptonic decays to their full potential

$$|V_{cd}|^2 + |V_{cs}|^2 + |V_{cb}|^2 = 1 \quad \checkmark$$

*Leptonic decays*

“Simple but rare.”

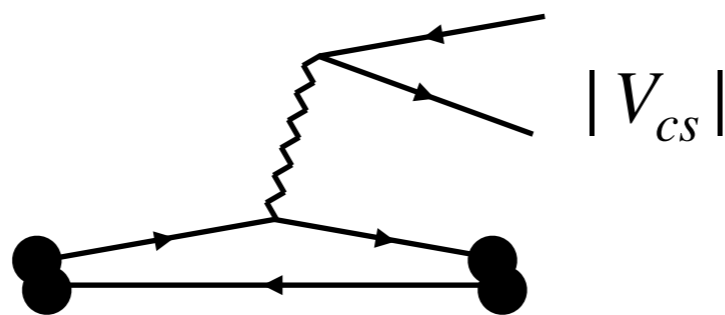
$$\mathcal{B}(D^+ \rightarrow e^+ \nu) \approx 10^{-5}$$



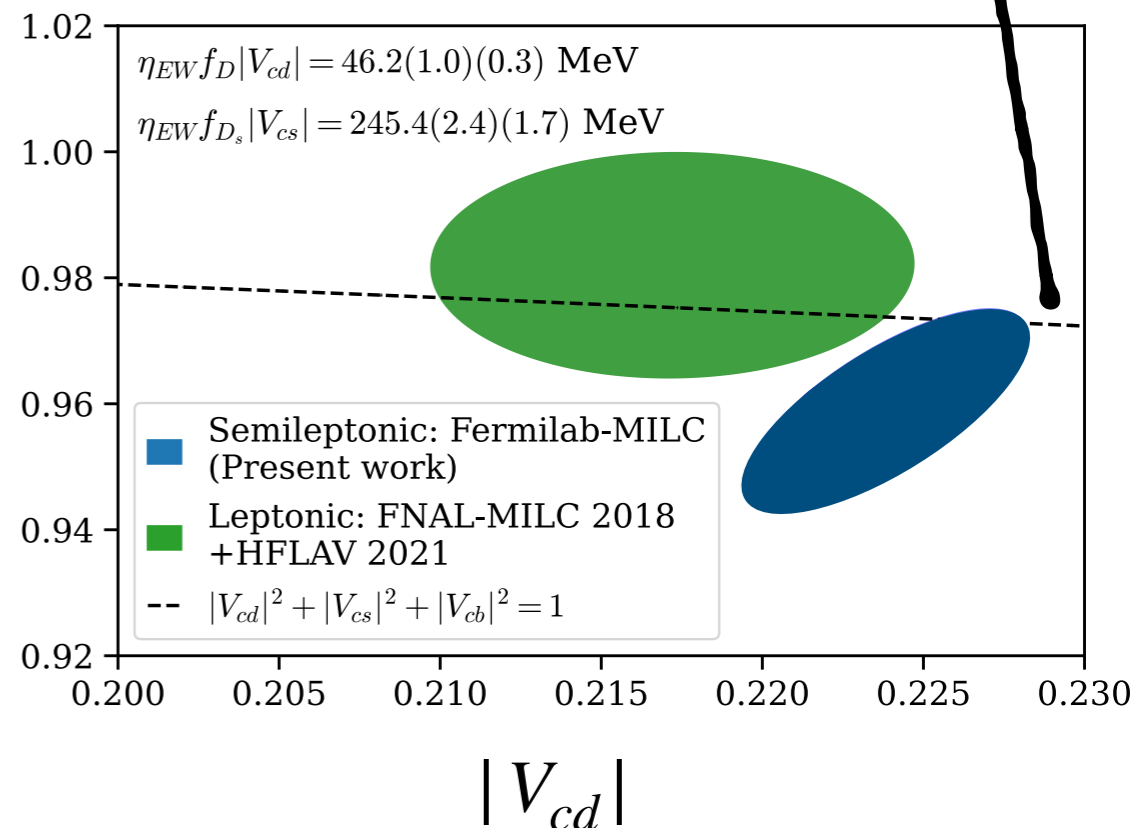
*Semileptonic decays*

“Abundant but tougher theoretically.”

$$\mathcal{B}(D^+ \rightarrow \bar{K}^0 e^+ \nu) \approx 10\%$$



Blue ellipse < Green Ellipse







# B-meson Semileptonic Decays

Measure: Expt.

Calculate: LQCD

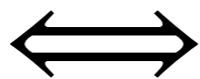
Vector final state:  $B \rightarrow D^* \ell \nu$

$$\frac{d\Gamma}{dw} \propto (\text{kinematics}) \times |V_{cb}|^2 \mathcal{F}(w)^2$$

In terms of “recoil”:

$$w = v_B \cdot v_D$$

Hadronic form factors  $\mathcal{F}(w)$



$$\begin{aligned} &\langle D^* | V^\mu(q) | B \rangle \\ &\langle D^* | A^\mu(q) | B \rangle \end{aligned}$$

*Improved theory calculations are needed to keep pace with experiment*

$$|V_{cb}^{\text{excl.}}| = (39.4 \pm 0.8) \times 10^{-3}$$

- Combined precision for  $B \rightarrow D^{(*)} \sim 2\%$
- Commensurate errors from theory/expt
- LHCb, e.g., expects 1% errors in near future



# B-meson Semileptonic Decays

Measure: Expt.

Calculate: LQCD

Vector final state:  $B \rightarrow D^* \ell \nu$

$$\frac{d\Gamma}{dw} \propto (\text{kinematics}) \times |V_{cb}|^2 \mathcal{F}(w)^2$$

- Historically: LQCD calculations limited to  $w = 1$ , i.e., hadrons at rest
- Big advance since 2021: three calculations now compute the kinematic dependence of form factors

## Fermilab-MILC

A. Bazavov et al.  
EPJC 82 (2022) 12, 1141  
arXiv:2105.14019

Valence b/c quark:  
Anisotropic Wilson  
(Fermilab interpretation)

Sea quarks: asqtad

## HPQCD

J. Harrison and C. Davies  
PRD 109 (2024) 9, 094515  
arXiv:2304.03137

Sea and valence quarks:  
HISQ

## JLQCD

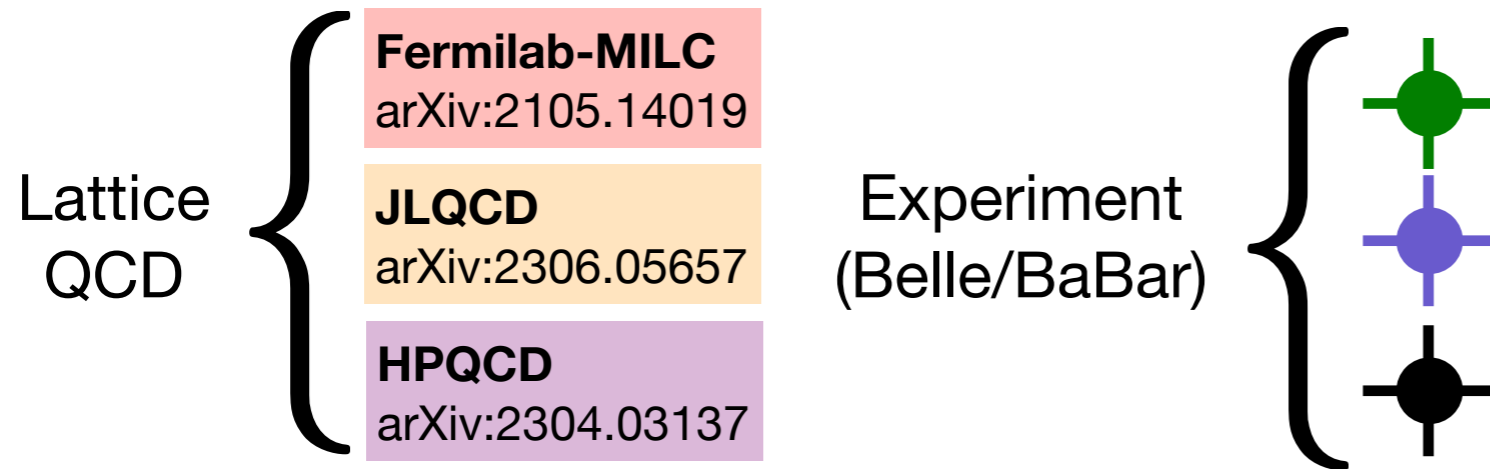
Y. Aoki et al.  
PRD 109 (2024) 7, 074503  
arXiv:2306.05657

Sea and valence quarks:  
Möbius Domain Wall



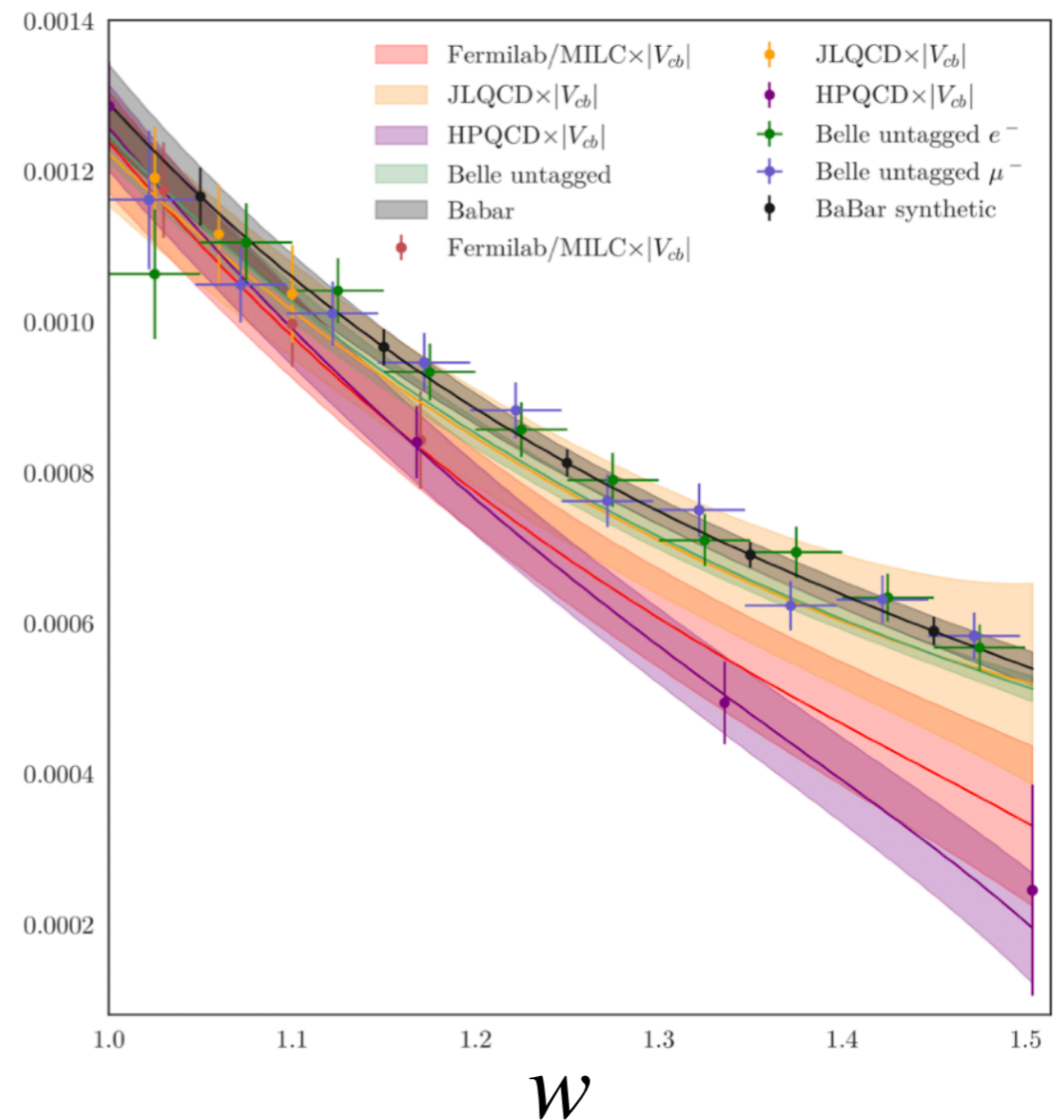
# $B \rightarrow D^* \ell \nu$ : Comparison of Recent Results

Figure: A. Vaquero  
CKM2023 Workshop  
[\[link here\]](#)



- Reasonable statistical consistency ( $\approx 1 - 2\sigma$ ) between LQCD calculations
- Improved precision is required in near term to keep pace with experiment, demonstrate full systematic control
- Similar conclusions regarding  $|V_{cb}|$  and  $R(D^*)$

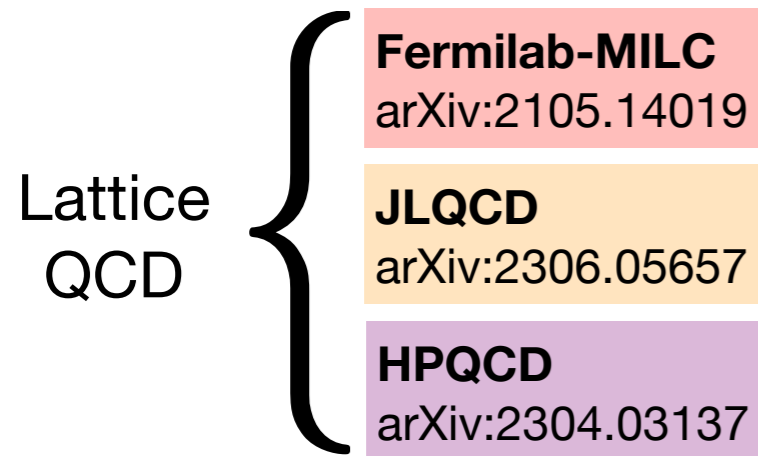
$$|V_{cb} \mathcal{F}(w)|^2$$



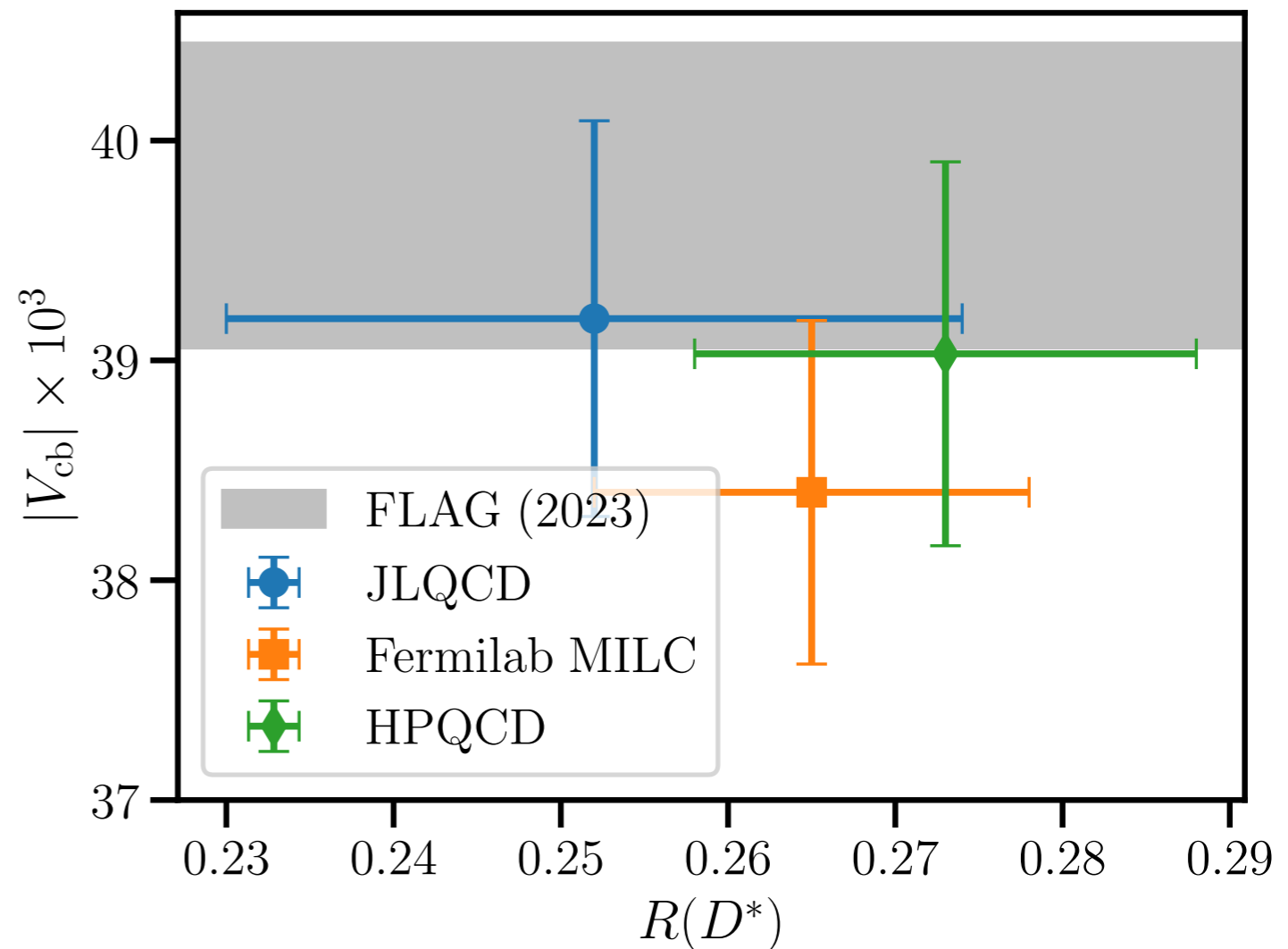


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Figure: A. Vaquero  
CKM2023 Workshop  
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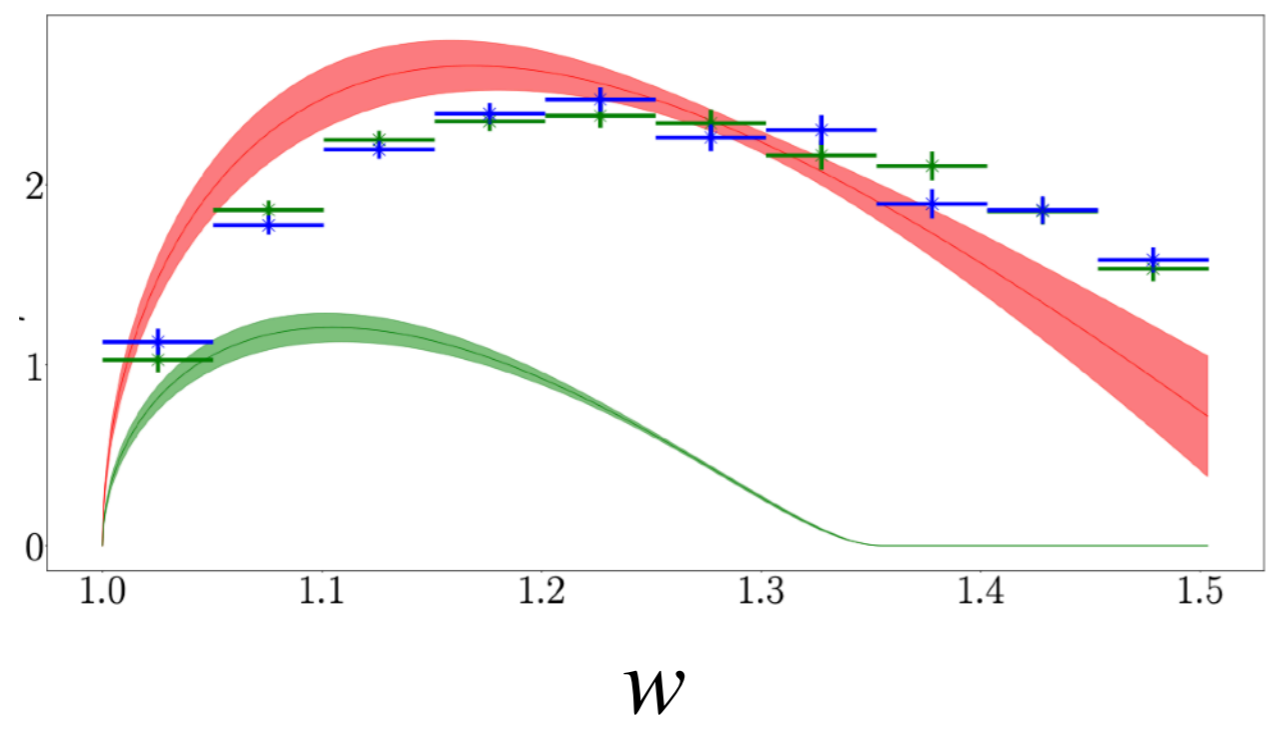




# $B \rightarrow D^* \ell \nu$ : Comparison of Recent Results

**HPQCD**  
 J. Harrison and C. Davies  
 PRD 109 (2024) 9, 094515  
 arXiv:2304.03137

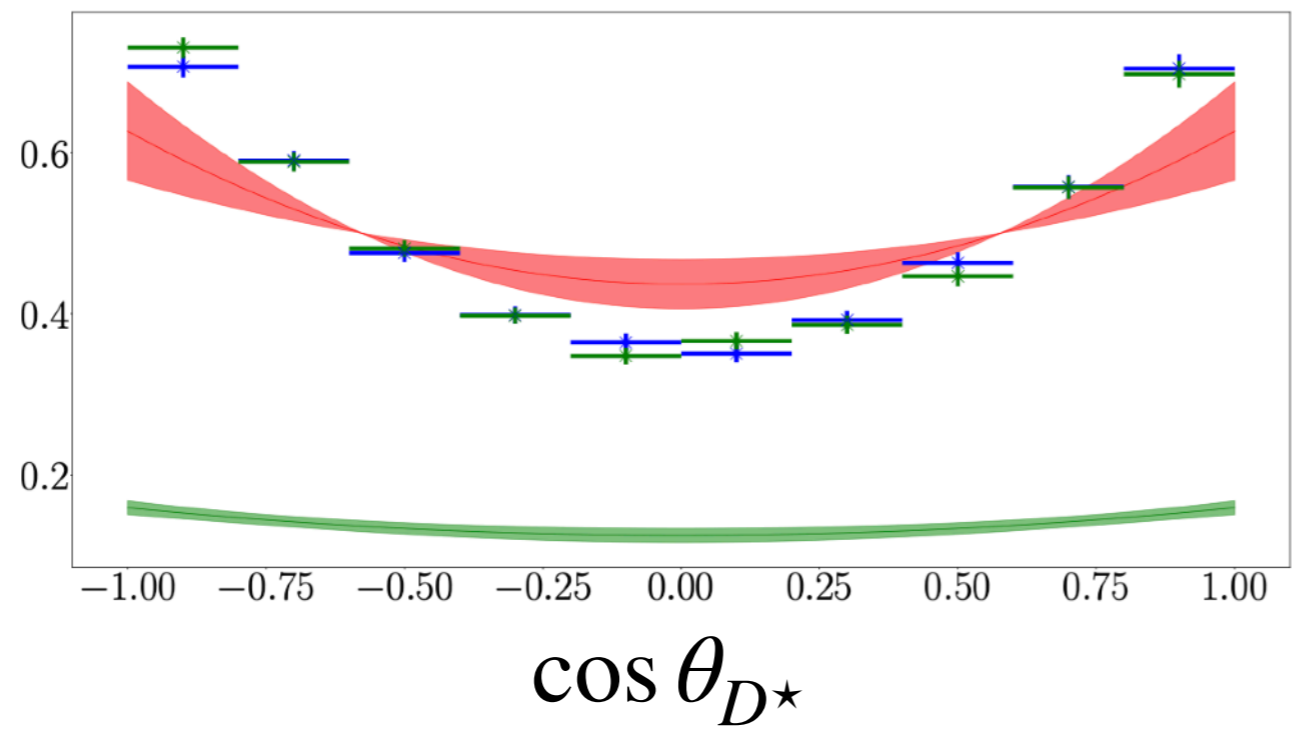
$$\frac{d\Gamma}{dw}$$



$B \rightarrow D^* \ell \nu$   
 $B \rightarrow D^* \tau \nu$

} HPQCD

$$\frac{d\Gamma}{d \cos \theta_{D^*}}$$



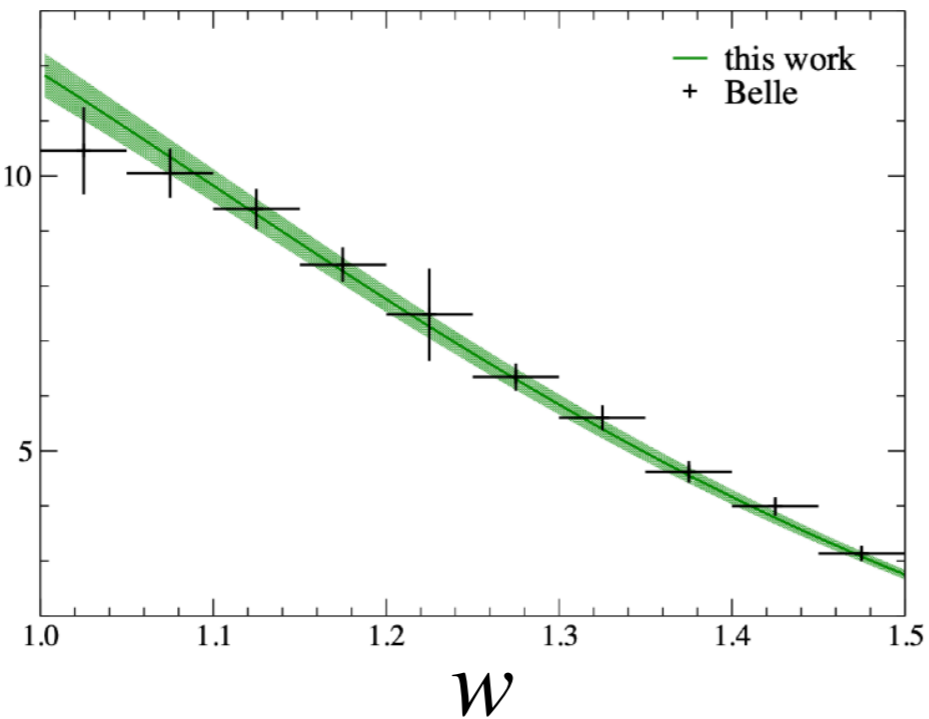
} Belle



# $B \rightarrow D^* \ell \nu$ : Comparison of Recent Results

**JLQCD**  
 Y. Aoki et al.  
 PRD 109 (2024) 7, 074503  
 arXiv:2306.05657

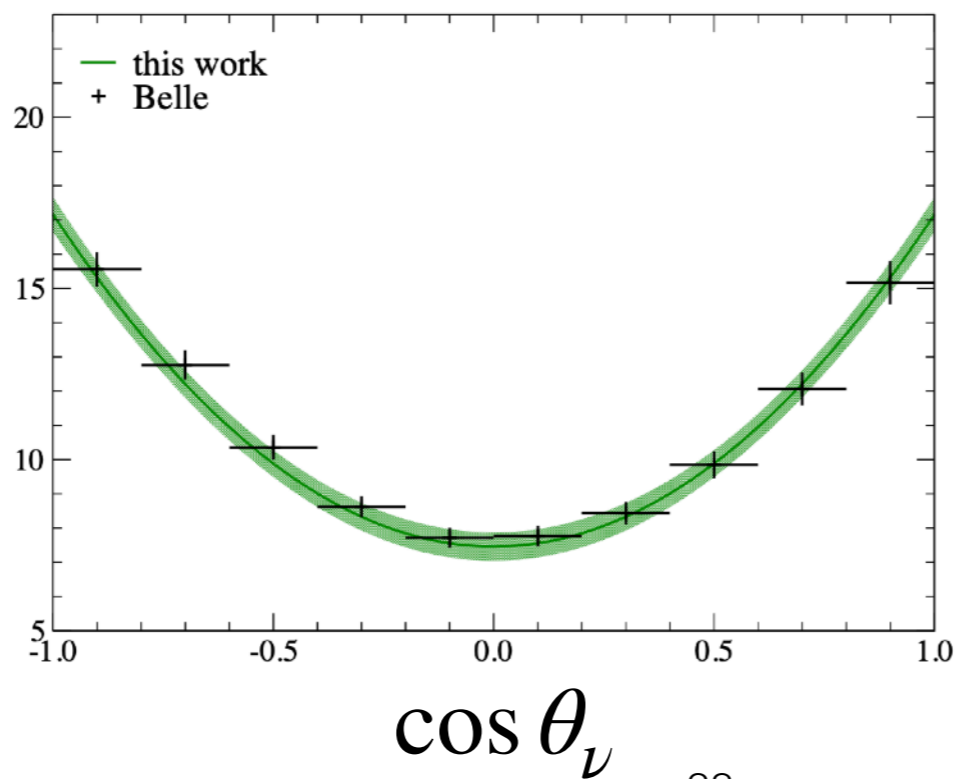
$$\frac{1}{\sqrt{w^2 - 1}} \frac{d\Gamma}{dw}$$



$B \rightarrow D^* \ell \nu$  } JLQCD

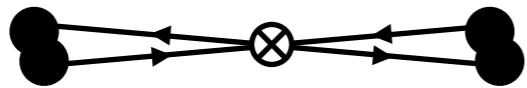
$\oplus$  } Belle

$$\frac{d\Gamma}{d \cos \theta_\nu}$$





# Mixing of Neutral B-mesons



$$\left( \begin{array}{ccc}
 \mathbf{V}_{ud} & \mathbf{V}_{us} & \mathbf{V}_{ub} \\
 \pi \rightarrow \ell\nu & K \rightarrow \ell\nu & B \rightarrow \ell\nu \\
 & K \rightarrow \pi\ell\nu & B \rightarrow \pi\ell\nu \\
 & & \Lambda_b \rightarrow p\ell\nu \\
 \mathbf{V}_{cd} & \mathbf{V}_{cs} & \mathbf{V}_{cb} \\
 D \rightarrow \ell\nu & D_s \rightarrow \ell\nu & B \rightarrow D\ell\nu \\
 D \rightarrow \pi\ell\nu & D \rightarrow K\ell\nu & B \rightarrow D^*\ell\nu \\
 D_s \rightarrow K\ell\nu & & \Lambda_b \rightarrow \Lambda_c\ell\nu \\
 \mathbf{V}_{td} & \mathbf{V}_{ts} & \mathbf{V}_{tb} \\
 \langle B_d | \bar{B}_d \rangle & \langle B_s | \bar{B}_s \rangle & 
 \end{array} \right)$$



# Neutral B-meson Mixing

## State of the art circa 2021

Measure: Expt.  
Calculate: LQCD

$$\Delta M_{B_q} \propto G_F^2 m_W^2 M_{B_q} |V_{tq}|^2 |V_{tb}|^2 f_{B_q}^2 \hat{B}_{B_q}$$

Bag parameters

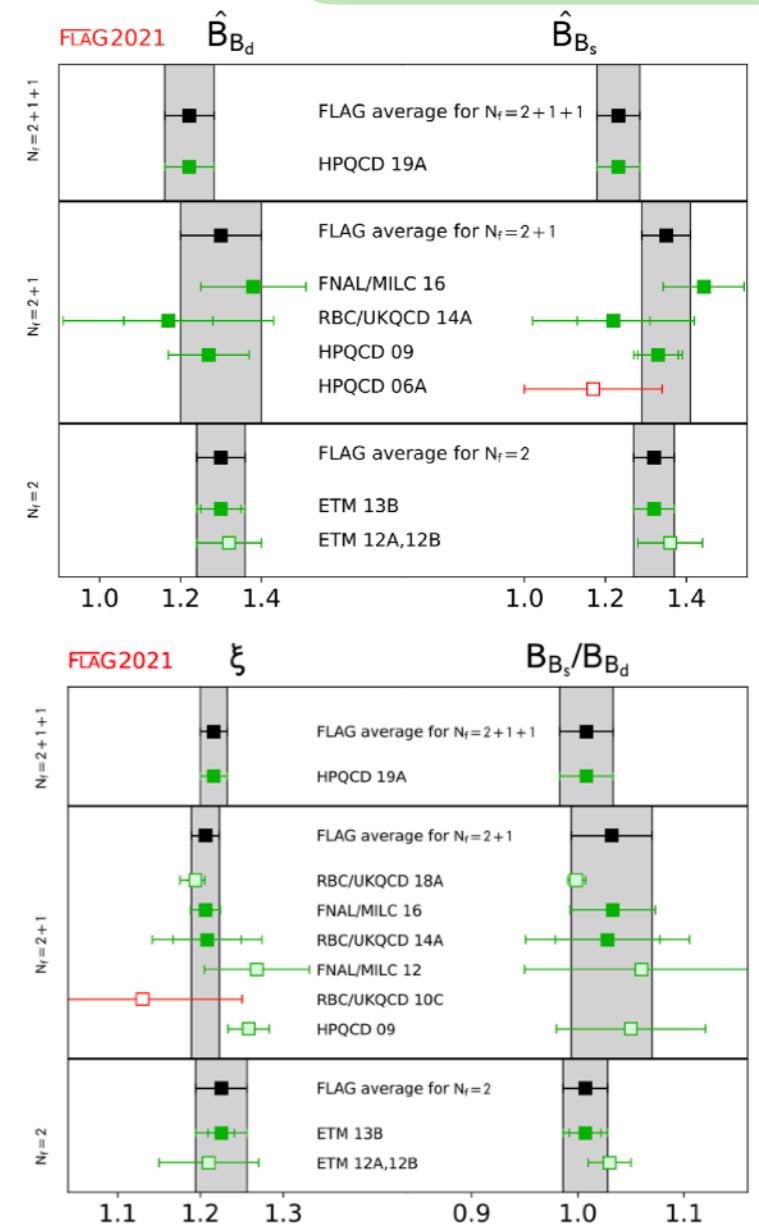
SU(3)-breaking ratio

$$B_{B_q}(\mu) = \frac{\langle \bar{B}_q^0 | Q(\mu) | B_q^0 \rangle}{\frac{8}{3} f_{B_q}^2 M_B^2}$$

$$\xi^2 = \frac{f_{B_s}^2 B_{B_s}}{f_{B_d}^2 B_{B_d}}$$

$$|V_{td}/V_{ts}| = 0.2053(0.0004)^{\text{EXP}}(0.0029)^{\text{LQCD}}$$

- Experiments measure  $\Delta M_{B_q}$  very precisely
- Computed values for  $\Delta M_{B_q}$  are proportional to “bag parameters”
  - Known to  $\approx 5\text{-}10\%$
- Ratio  $|V_{td}/V_{ts}|$  constrained by “SU(3)-breaking ratio”
  - Known to 1-2%
- Improved theoretical calculations are timely



FLAG Review 21

Y. Aoki et al.

EPJC 82 (2022) 10, 869

arXiv: 2111.09849





# Neutral B-meson mixing

RBC/UKQCD/JLQCD

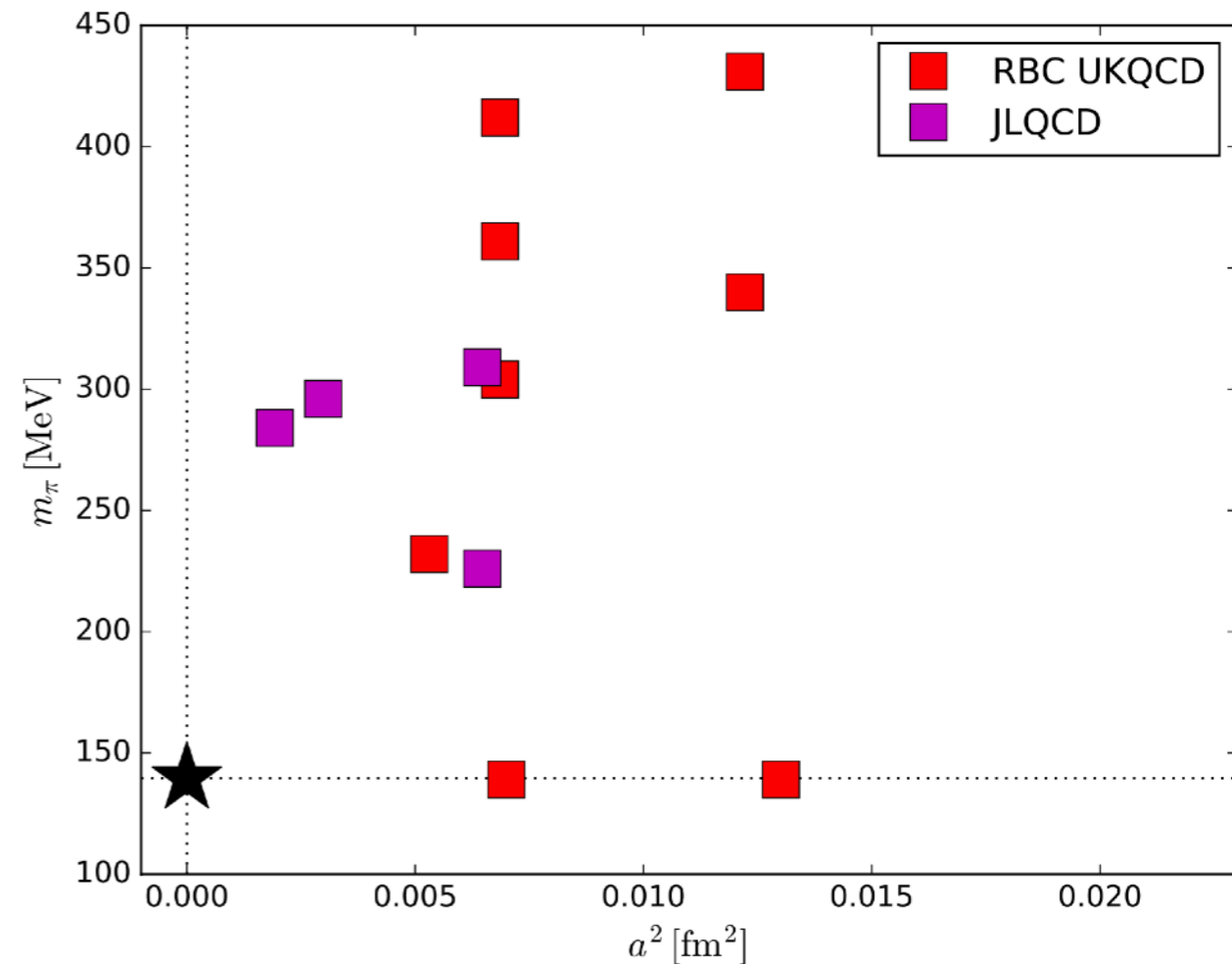
Lattice 2021

arXiv:2111.11287

+ recent update @ [Lattice 2023](#)

- Work in progress
- ( $N_f=2+1$ ) RBC/UKQCD and JLQCD DW ensembles
- 15 ensembles with lattice spacings:  
 $1/a \in [1.7, 4.5] \text{ GeV}$
- $M_\pi \in [140, 360) \text{ MeV}$
- Valence b: domain wall
- All-domain wall setup  $\rightarrow$  block-diagonal physical renormalization pattern
- Aiming for percent-level uncertainties

$$Z_{ij} = \begin{pmatrix} * & 0 & 0 & 0 & 0 \\ 0 & * & * & 0 & 0 \\ 0 & * & * & 0 & 0 \\ 0 & 0 & 0 & * & * \\ 0 & 0 & 0 & * & * \end{pmatrix}$$





# Neutral B-meson mixing

RBC/UKQCD/JLQCD

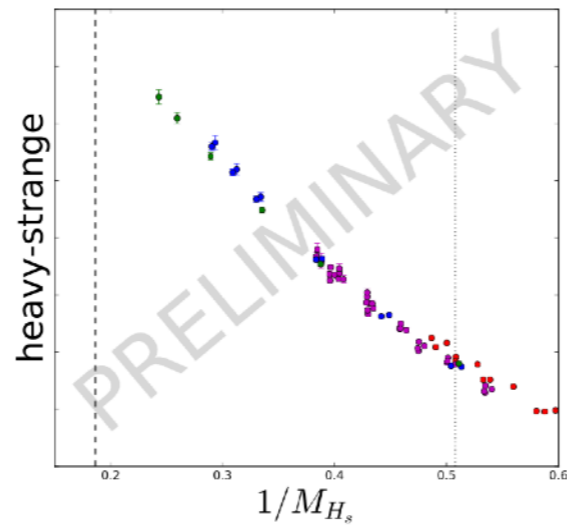
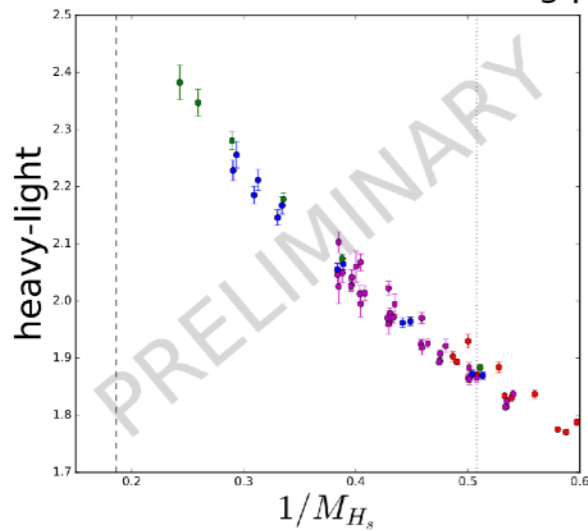
Lattice 2021

arXiv:2111.11287

+ recent update @ [Lattice 2023](#)

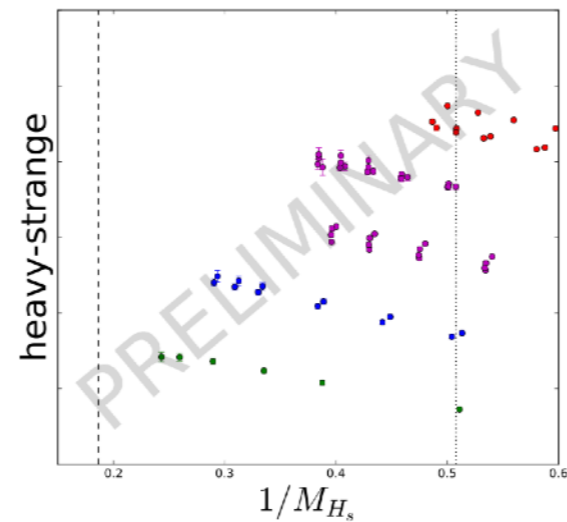
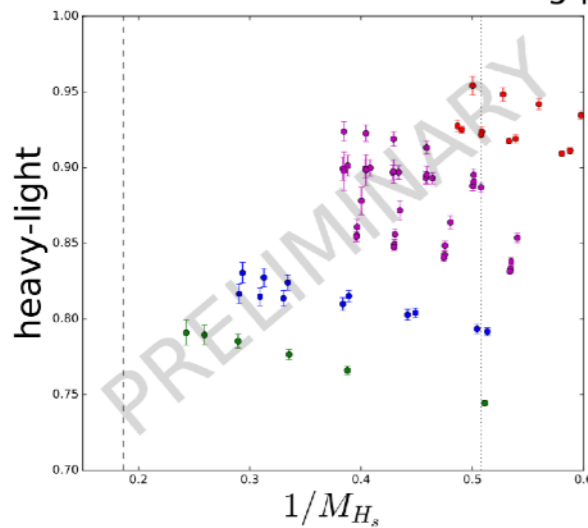
## RBC/UKQCD/JLQCD IV – individual bag parameters

renormalised bag parameters  $B_2$  (VV-AA)



- Mild discretisation effects
- Seemingly mild behaviour with  $a$ ,  $M_\pi$ ,  $m_s$ ,  $V$

renormalised bag parameters  $B_3$  (SS-PP)



- Large discretisation effects
- More noticeable chiral effects



# Summary & Outlook

- **Lattice QCD calculations have achieved:**

- Sub-percent precision for leptonic decays
- Percent-level precision for D-meson semileptonic decays
- Coming soon: Percent-level precision for B-meson semileptonic decay
- Coming soon: Percent-level precision for B-meson mixing observables

- **Enabling “technologies” for high precision include:**

- Ensembles with physical mass pions:  $M_\pi \approx 140$  MeV
- Relativistic light-quark action(s) for charm and bottom: absolutely normalized currents
- Highly improved actions: reduced discretization effects for charm and bottom

- **Precise LQCD + latest experimental results give:**

- CKM matrix elements  $|V_{cd}|$  and  $|V_{cs}|$  at O(1%)
- Improved tests of CKM unitarity
- New perspectives on the b anomalies

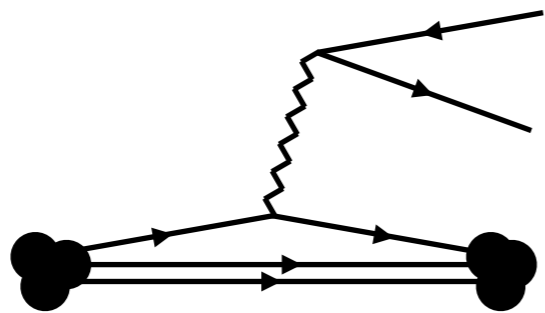


**Backup**





# Semileptonic Decays of D-baryons



$V_{ud}$	$V_{us}$	$V_{ub}$
$\pi \rightarrow \ell\nu$	$K \rightarrow \ell\nu$	$B \rightarrow \ell\nu$
	$K \rightarrow \pi\ell\nu$	$B \rightarrow \pi\ell\nu$
		$\Lambda_b \rightarrow p\ell\nu$
$V_{cd}$	$V_{cs}$	$V_{cb}$
$D \rightarrow \ell\nu$	$D_s \rightarrow \ell\nu$	$B \rightarrow D\ell\nu$
$D \rightarrow \pi\ell\nu$	$D \rightarrow K\ell\nu$	$B \rightarrow D^*\ell\nu$
$D_s \rightarrow K\ell\nu$	$\Lambda_c \rightarrow \Lambda\ell\nu$	$\Lambda_b \rightarrow \Lambda_c\ell\nu$
$\Lambda_c \rightarrow N\ell\nu$	$\Xi_c \rightarrow \Xi\ell\nu$	
$V_{td}$	$V_{ts}$	$V_{tb}$
$\langle B_d   \bar{B}_d \rangle$	$\langle B_s   \bar{B}_s \rangle$	



# B-baryon semileptonic decays

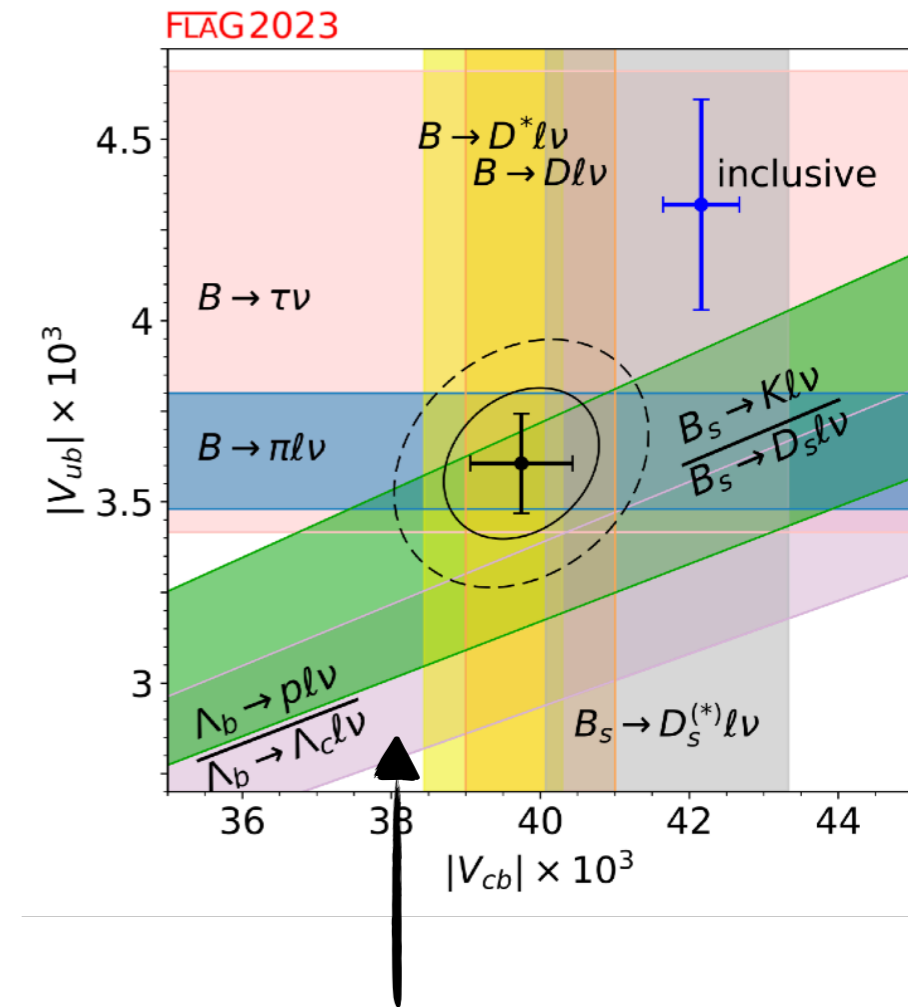
Next-generation calculations  $\Lambda_b \rightarrow p, \Lambda, \Lambda_c$

S. Meinel

PoS LATTICE2023 (2024) 275

arXiv:2309.01821

- Work in progress
- New ensembles for improved systematic control
  - One with physical  $M_\pi$
  - One with larger volume
  - One with  $a \approx 0.07$  fm
- EFT treatment charm and bottom quark
  - Anisotropic clover action, tuned to match dispersion relations
  - Improved tuning of heavy quark masses
- “Mostly non-perturbative” renormalization
  - Fully non-perturbative renormalization may be possible



**B-baryon decays**

$$\frac{\Lambda_b \rightarrow \Lambda \ell \nu}{\Lambda_b \rightarrow \Lambda_c \ell \nu}$$



# D-baryon semileptonic decays

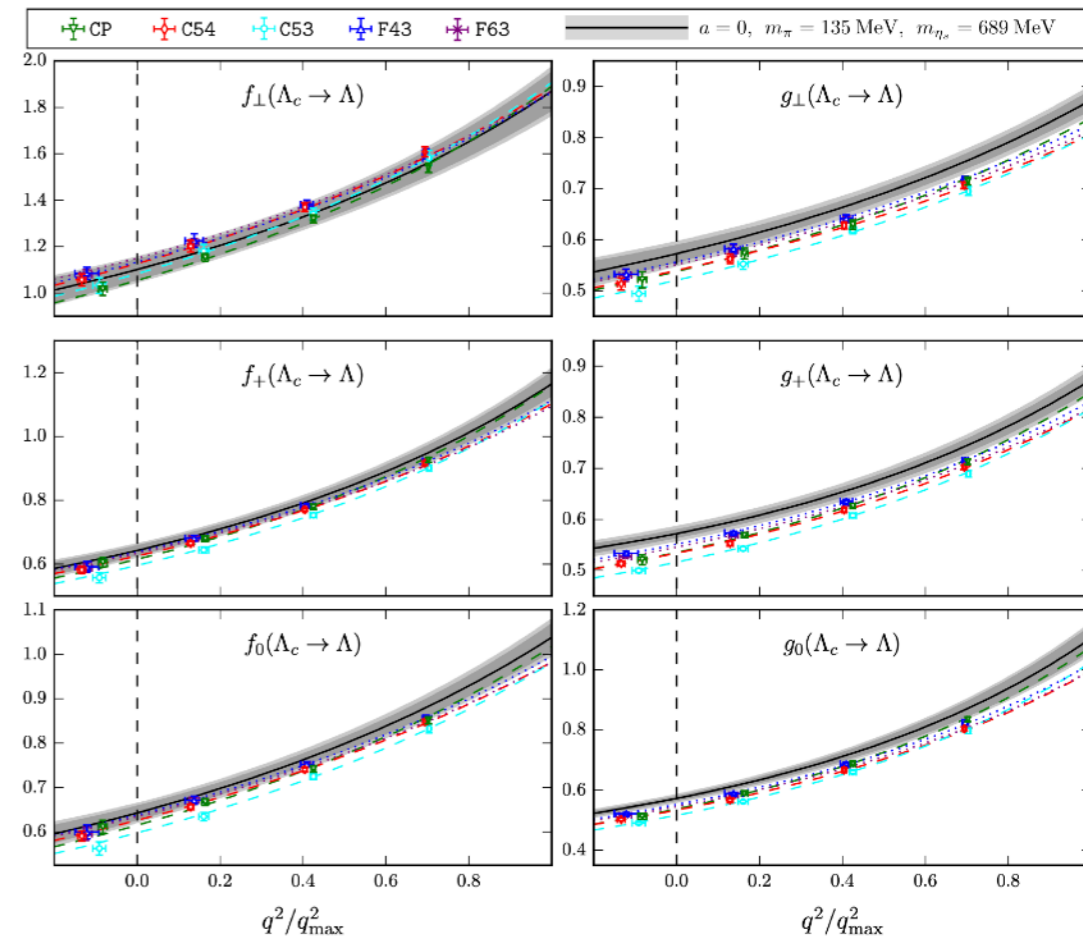
S. Meinel

PRL 118 (2017) 8, 082001

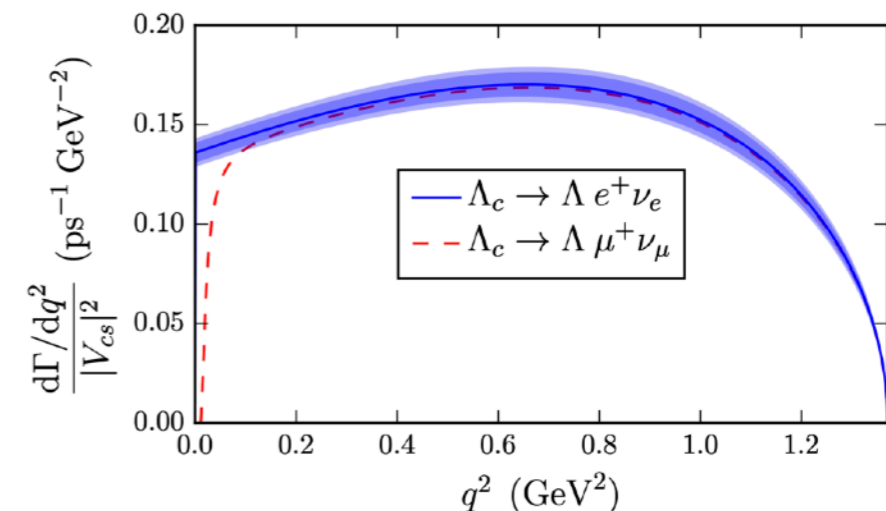
arXiv:1611.09696

$$\Lambda_c \rightarrow \Lambda \ell \nu$$

- 5x ensembles,  $N_f = 2+1$  domain wall fermions
- $a \in \{0.09, 0.11\}$  fm
- $M_\pi \in \{139 - 350\}$  MeV
- Valence charm: Columbia RHQ (clover action, tuned to give  $J/\psi$  dispersion relation)
- “Mostly non-perturbative” renormalization
- First-ever determination of  $|V_{cs}|$  [ $\approx 6\%$ ] from baryon decays when combined with measurements from BESIII



$$|V_{cs}| = \begin{cases} 0.951(24)_{\text{LQCD}}(14)_{\tau_{\Lambda_c}}(56)_{\mathcal{B}}, & \ell = e, \\ 0.947(24)_{\text{LQCD}}(14)_{\tau_{\Lambda_c}}(72)_{\mathcal{B}}, & \ell = \mu, \\ 0.949(24)_{\text{LQCD}}(14)_{\tau_{\Lambda_c}}(49)_{\mathcal{B}}, & \ell = e, \mu, \end{cases}$$





# D-baryon semileptonic decays

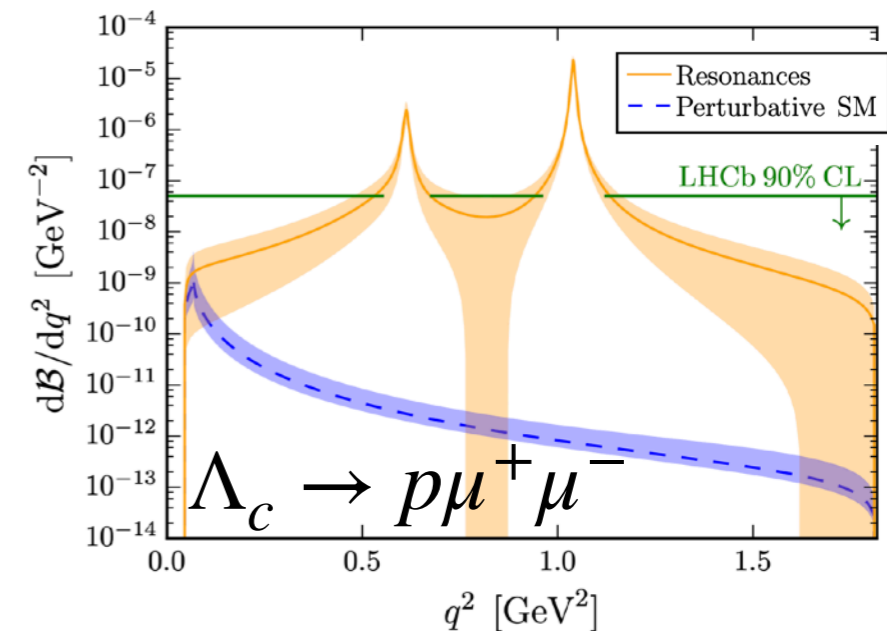
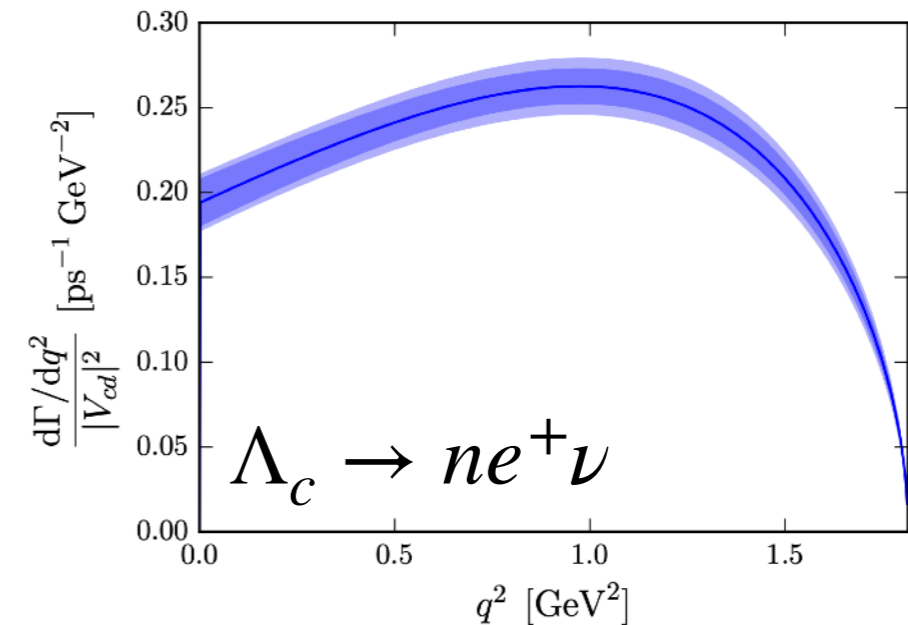
S. Meinel

PRD 97 (2018) 3, 034511

arXiv:1712.05783

## $\Lambda_c \rightarrow N$ form factors

- Isospin limit: same form factors for  $\Lambda_c \rightarrow p^+$ ,  $\Lambda_c \rightarrow n$
- 6x ensembles,  $N_f = 2+1$  domain wall fermions
  - $a \in \{0.09, 0.11\}$  fm
  - $M_\pi \in \{240 - 350\}$  MeV
- Valence charm: Columbia RHQ
- “Mostly non-perturbative” renormalization
- SM predictions for charged-current  $\Lambda_c \rightarrow n\ell^+\nu$  rates [ $\approx 6.4\%$ ]
  - ▶  $\Gamma(\Lambda_c \rightarrow ne^+\nu)/|V_{cd}|^2 = (0.405 \pm 0.016_{\text{stat}} \pm 0.020_{\text{syst}}) \text{ ps}^{-1}$
  - ▶ Tough to measure experimentally ( $n$  and  $\nu$  in final state)
  - ▶ Results larger by factor of  $\approx 1.5-2$  compared to other calculations [quark models, sum rules, SU(3)]
- Rare neutral-current decay:
  - ▶ LHCb 2018:  $\mathcal{B}(\Lambda_c \rightarrow p^+\mu^+\mu^-) < 7.7 \times 10^{-8}$  [90%]
  - ▶ Comparison to LQCD with additional assumptions
    - SM Wilson coefficients at NLO
    - Breit-Wigner model for intermediate  $\phi/\omega/\rho$



LHCb

PRD 97 (2018) 9, 091101

arXiv:1712.07938





# D-baryon semileptonic decays

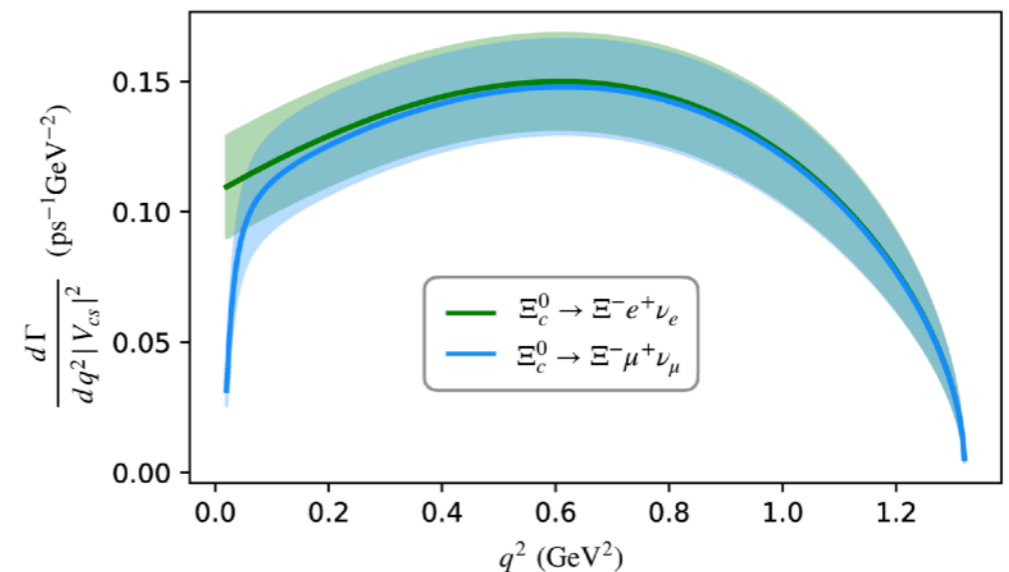
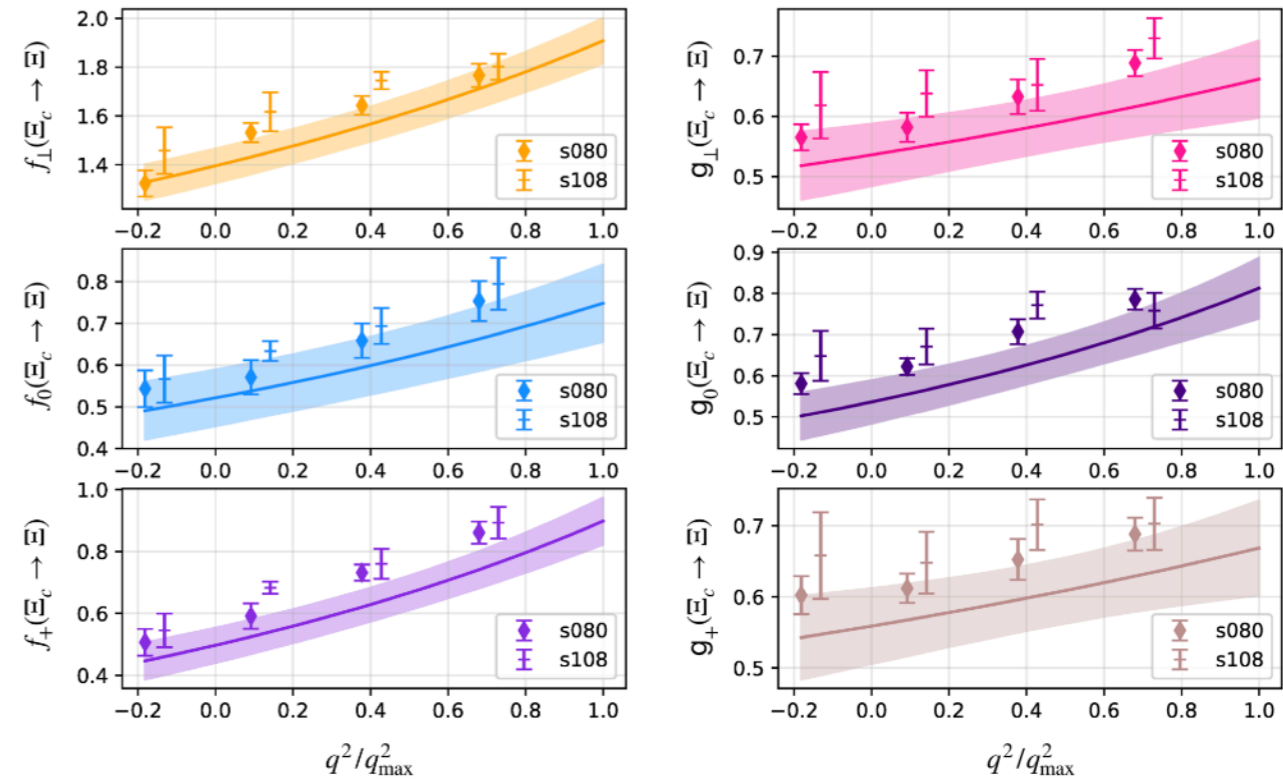
Q.-A. Zhang et al.

Chin.Phys.C 46 (2022) 1, 011002

arXiv:2103.07064

## $\Xi_c \rightarrow \Xi \ell \nu$ form factors

- 2x ensembles with  $N_f=2+1$  Wilson clover quarks
  - $a \in \{0.11, 0.08\}$  fm
  - $M_\pi \approx 300$  MeV
- Continuum extrapolation is given
- No chiral extrapolation to physical pion mass
- Extractions of  $|V_{cs}|$ :
  - Using ALICE branching-fraction measurements:  
 $|V_{cs}| = 0.983(0.060)^{\text{stat}}(0.065)^{\text{syst}}(0.167)^{\text{exp}} [\approx 19\%]$
  - Using Belle branching-fraction measurements  
 $|V_{cs}| = 0.834(0.051)^{\text{stat}}(0.056)^{\text{syst}}(0.127)^{\text{exp}} [\approx 18\%]$



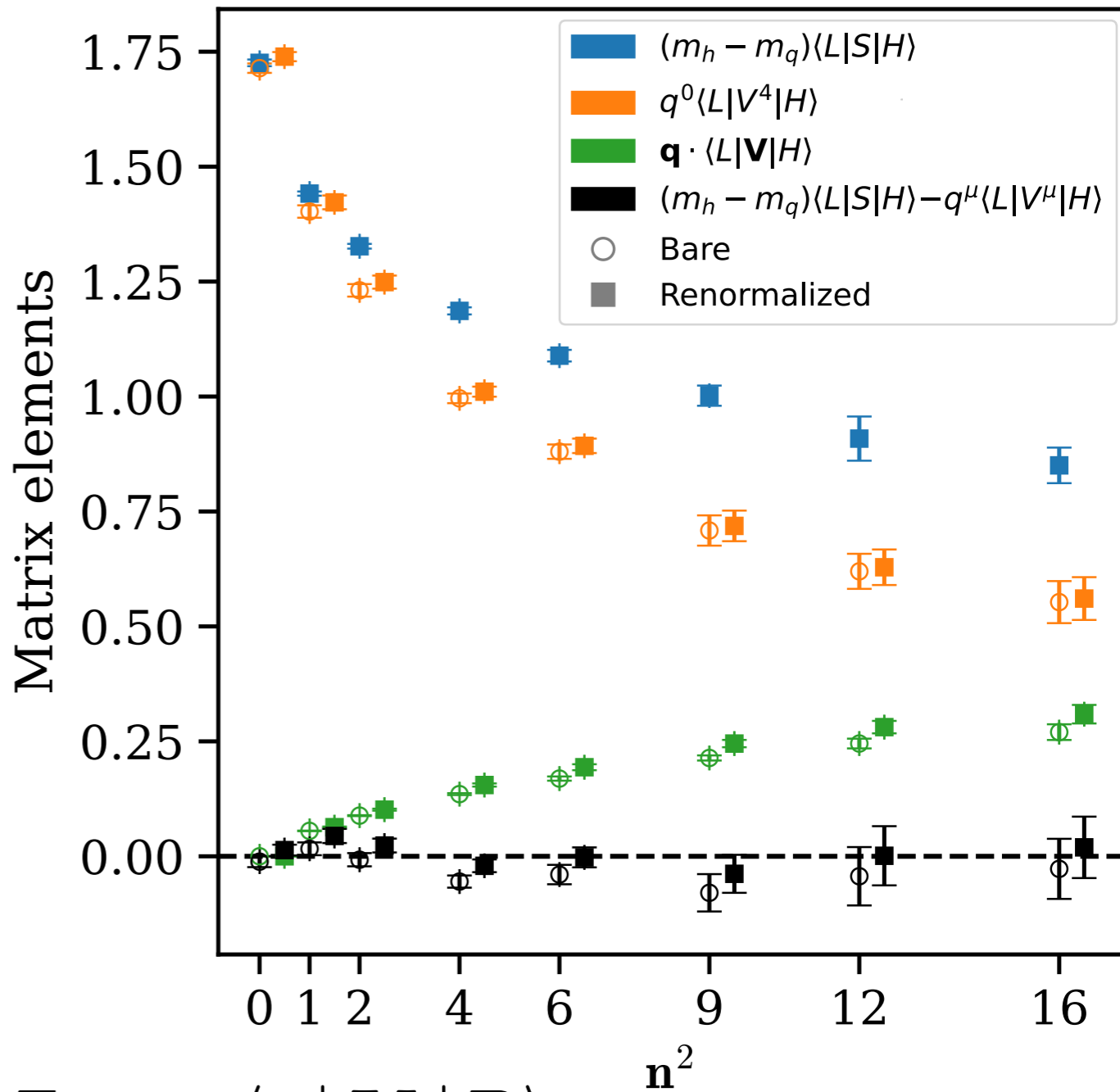


# Renormalization semileptonic decays

## Example $D \rightarrow \pi \ell \nu$

- Recall  $\mathcal{J} = Z_J J$
- PCVC:  $\partial_\mu \mathcal{V}^\mu = (m_1 - m_2) \mathcal{S}$
- For the HISQ action, the local scalar density is absolutely normalized.
- Imposing PCVC in a global fit gives values for  $Z_{V_0}$  and  $Z_{V_i}$
- In terms of  $D \rightarrow \pi$  matrix elements, PCVC reads:

$$Z_{V_0} (M_D - E_\pi) \langle \pi | V^0 | D \rangle + Z_{V_i} \mathbf{q} \cdot \langle \pi | \mathbf{V} | D \rangle = (m_c - m_d) \langle \pi | S | D \rangle$$





# Radiative Leptonic Decays

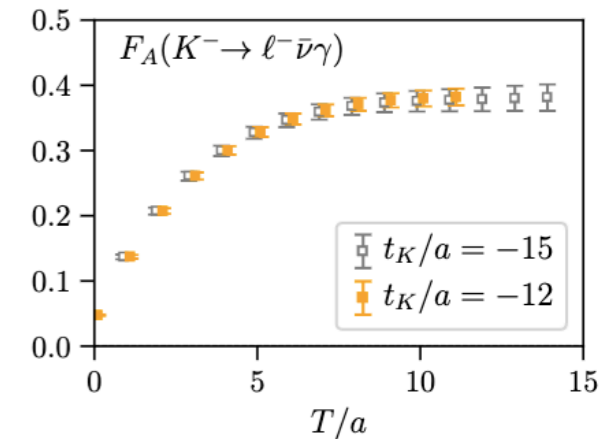
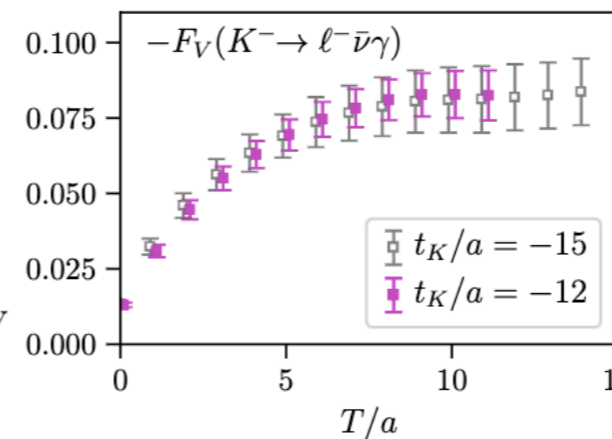
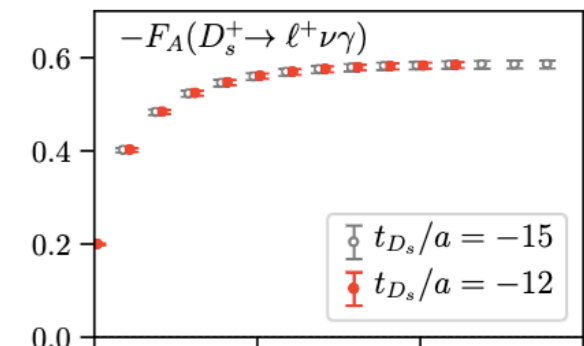
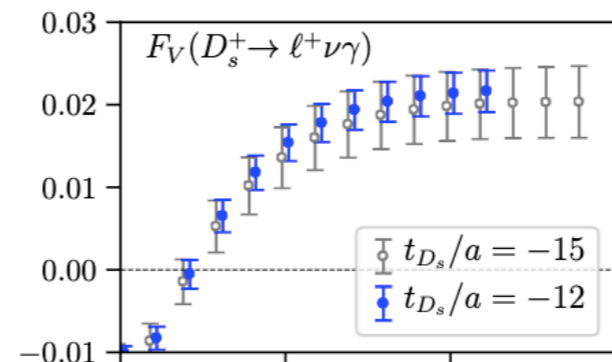
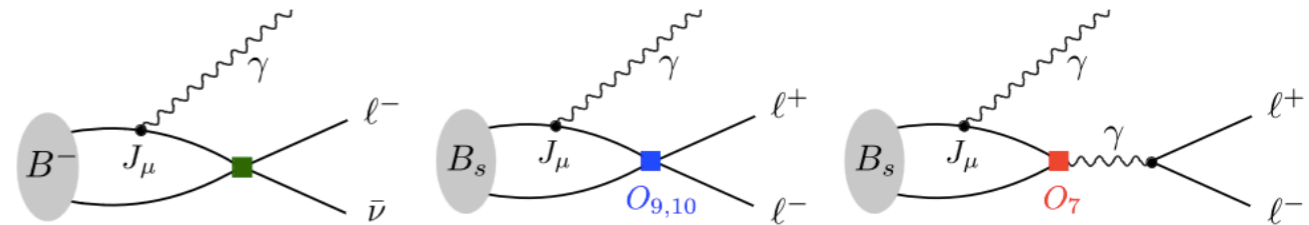
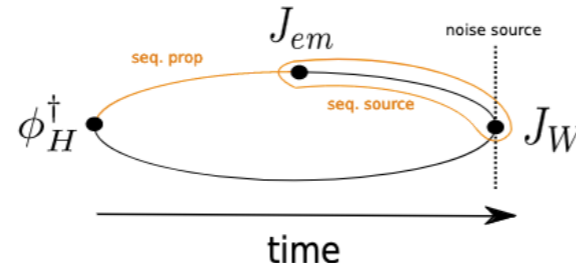
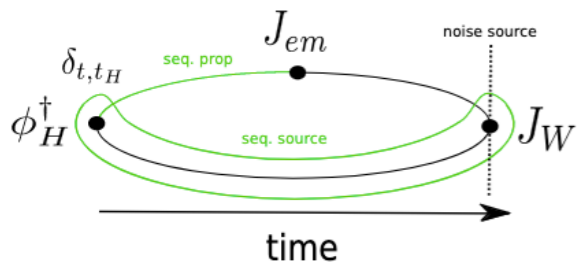
Kane, Lehner, Meinel, Soni  
Lattice 2019  
arXiv:1907.00279

Kane, Giusti, Lehner, Meinel, Soni  
Lattice 2021  
arXiv:2110.13196

$$D_s \rightarrow \ell \nu \gamma, K \rightarrow \ell \nu \gamma$$

- Radiative decays probe weak interaction and hadronic structure
- Example:  $B \rightarrow \ell \nu \gamma$  is sensitive to the LCDA parameter  $\lambda_B$
- Radiative leptonic decays probe all Wilson coefficients in the Weak effective Hamiltonian
- Exploratory calculations developing methods

$$T_{\mu\nu} = -i \int d^4x e^{ip_\gamma \cdot x} \langle 0 | T \{ J_\mu(x) J_\nu^{\text{weak}}(0) \} | H(\mathbf{p}) \rangle$$





# Rare Decay $B_s \rightarrow \mu^+ \mu^-$

## Uncertainty Breakdown

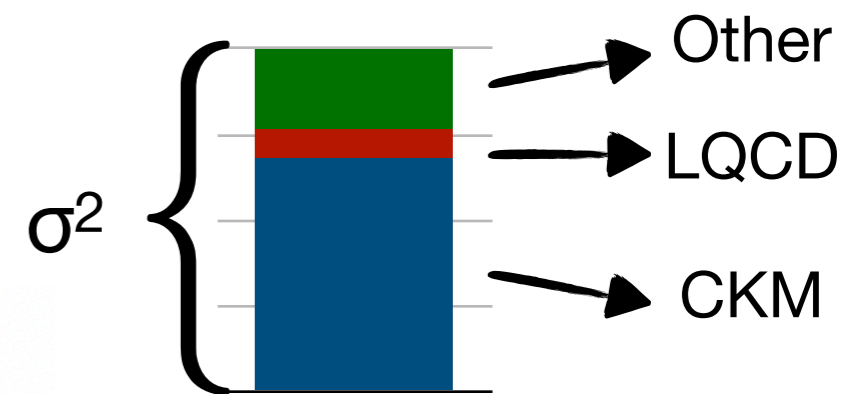
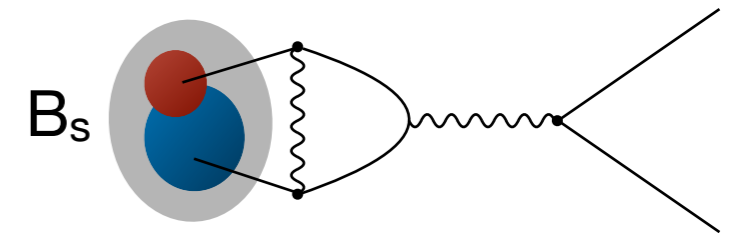
SM prediction for rare leptonic decay rate

Beneke et al, arXiv:1908.07011, JHEP 2019

$$\overline{\mathcal{B}}(B_s \rightarrow \mu^+ \mu^-) = 3.660(38) \times 10^{-9}$$

$$\overline{\text{Br}}_{s\mu}^{(0)} = \begin{pmatrix} 3.599 \\ 3.660 \end{pmatrix} \left[ 1 + \begin{pmatrix} 0.032 \\ 0.011 \end{pmatrix}_{f_{B_s}} + 0.031|_{\text{CKM}} + 0.011|_{m_t} \right. \\ \left. + 0.006|_{\text{pmr}} + 0.012|_{\text{non-pmr}} \begin{matrix} +0.003 \\ -0.005 \end{matrix} |_{\text{LCDA}} \right] \cdot 10^{-9}$$

- Parametric uncertainties
  - Long distance ( $f_{B_s}$ ) and short distance (CKM,  $m_t$ )
- Non-QED parametric ( $\Gamma_q, \alpha_s$ )
- Non-QED non-parametric ( $\mu_W, \mu_b$ , and higher order)
- QED parametric: B-meson LCDA parameters ( $\lambda_B, \sigma_{1,2}$ )



Lattice QCD value for  $f_{B_s}$  is now a sub-dominant source of uncertainty



# Chiral-continuum analysis

## Heavy-meson rooted staggered chiral perturbation theory

- With simulations at and above the physical pion mass, the chiral fits are *interpolations*, not extrapolations
- The shape of the form factors can be modeled with EFT combining:

▶ Chiral symmetry

$$\Sigma = \exp(2i\phi/f)$$

▶ HQET spin symmetry

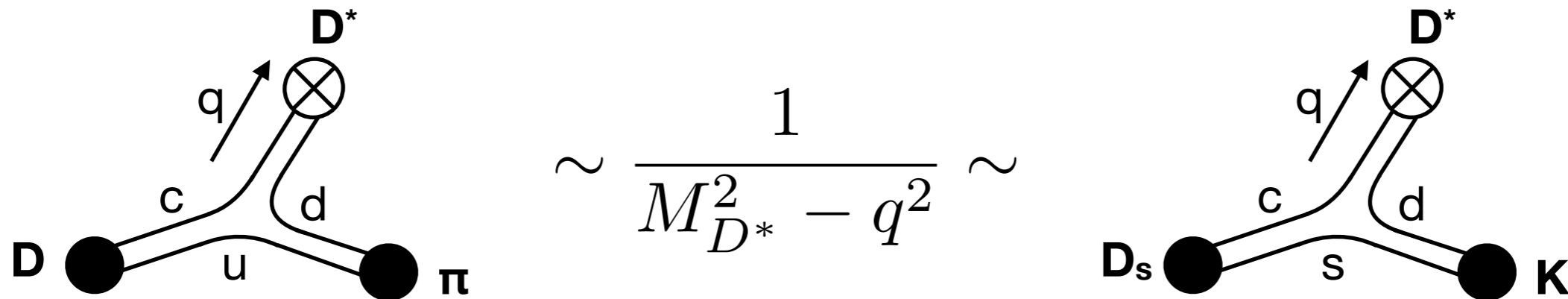
$$H^a = \frac{1 + \not{v}}{2} \left[ P_{\mu}^{*a}(v) \gamma^{\mu} - P^a(v) \gamma_5 \right]$$

▶ Light-quark discretization effects

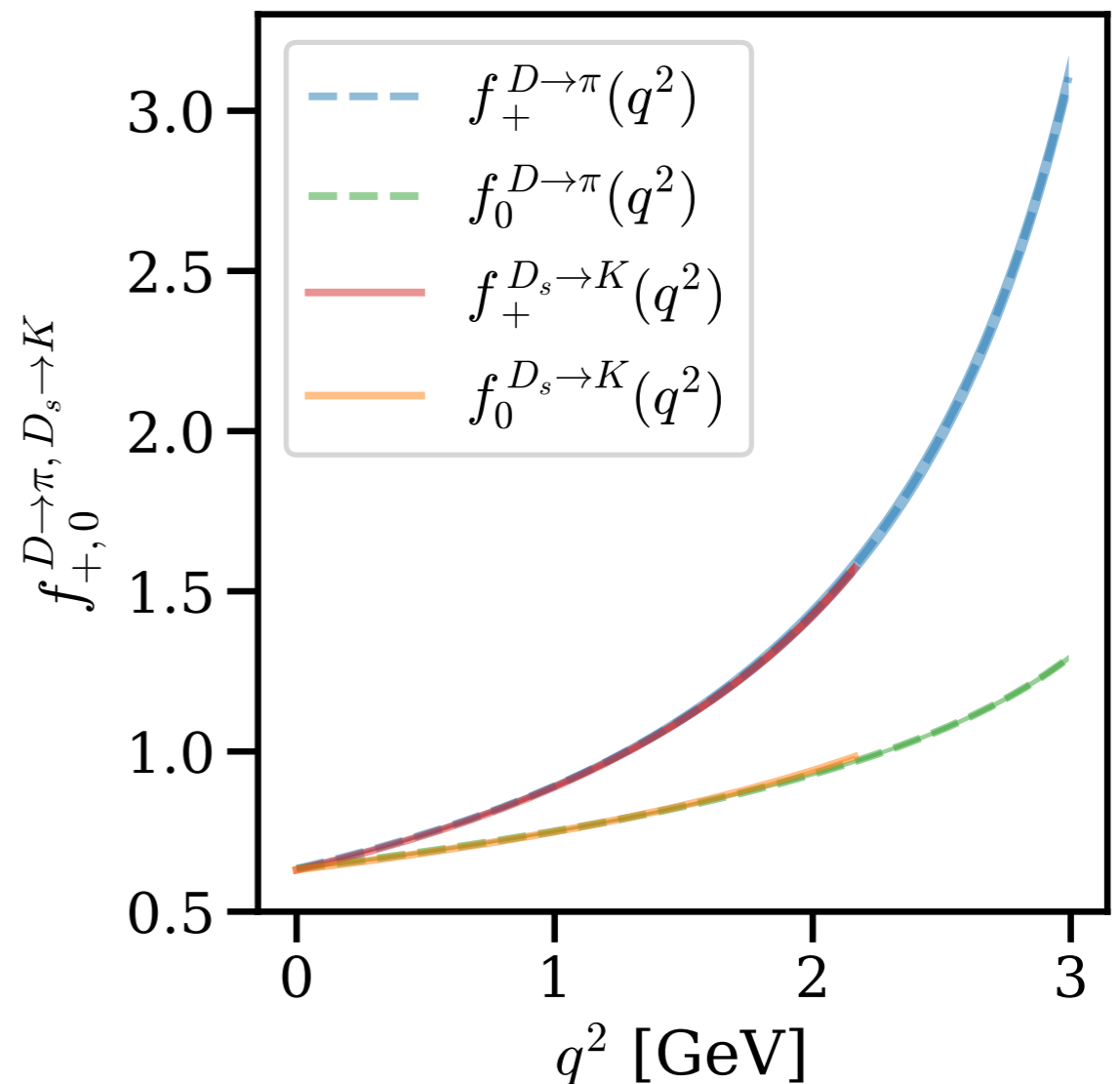
$$\frac{1}{16} \sum_{\text{tastes } \xi} M_{\xi}^2 \log \left( \frac{M_{\xi}^2}{\Lambda^2} \right)$$



# Spectator dependence: $D \rightarrow \pi$ vs $D_s \rightarrow K$



- $D \rightarrow \pi$  and  $D_s \rightarrow K$  only differ by the mass of the spectator quark
- Vector and scalar form factors agree at  $\lesssim 2\%$  level throughout the kinematic range
- Older unpublished results by HPQCD are consistent with our findings





# Experimental Motivation: CKM Unitarity

## First-row unitarity?

- PDG 2022:  $|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 0.9985(6) |V_{ud}|^2 (4) |V_{us}|^2$
- Quoted value has  $2\sigma$  tension with unity, using as inputs
  - $|V_{ud}|$  from super-allowed  $0^+ \rightarrow 0^+ \beta$  decays
  - $|V_{us}|$  from semileptonic decay:  $K_{\ell 3} \equiv K \rightarrow \pi \ell \nu$
  - Tension increases to  $\approx 3\sigma$  if nuclear-structure uncertainties from  $|V_{ud}|$  are ignored
- Similar  $\approx 2\text{-}3\sigma$  tension if  $|V_{us}|/|V_{ud}|$  taken from ratio of leptonic decays  $K_{l2}/\pi_{l2}$
- Historically, similarly precise tests of second-row unitarity have been limited by experimental and theoretical precisions.
- Today's talk: recent progress in the second row via semileptonic decays



# Lattice QCD: particle masses

- 2-point correlation functions encode particle masses
- Analogy with condensed matter
  - Correlation length  $\lambda \leftrightarrow$  Particle mass  $1/m$

$$\langle \left( \begin{array}{c} \bar{q} \\ q \end{array} \right)_t \left( \begin{array}{c} \bar{q} \\ q \end{array} \right)_0 \rangle \sim \exp(-mt)$$





# Lattice QCD: particle masses

- Hadronic spectrum  $\leftrightarrow$  QCD 2pt correlation functions

$$\begin{aligned}
 \langle O(t)O(0) \rangle &= \langle 0 | e^{Ht} O(0) e^{-Ht} O(0) | 0 \rangle \\
 &= \sum_n e^{-E_n t} \langle 0 | O(0) | n \rangle \langle n | O(0) | 0 \rangle \\
 &= \sum_n e^{-E_n t} |\langle 0 | O(0) | n \rangle|^2 \\
 &= \sum_n |Z_n|^2 e^{-E_n t}
 \end{aligned}$$

**“Operators couple to an infinite tower of states.”**

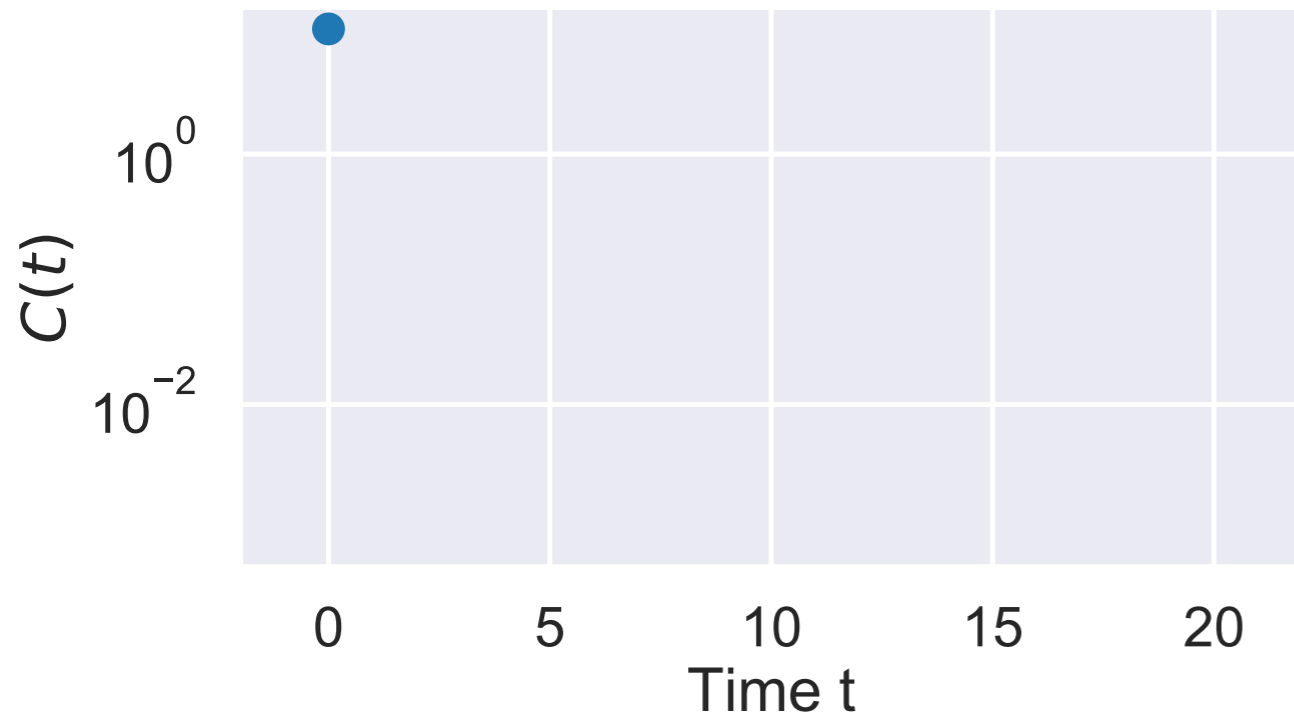
$$m_{\text{eff}}(t) = \log C(t)/C(t+1) \stackrel{t \rightarrow \infty}{=} m_0$$

**“The ground state asymptotically dominates the Euclidean 2pt function.”**

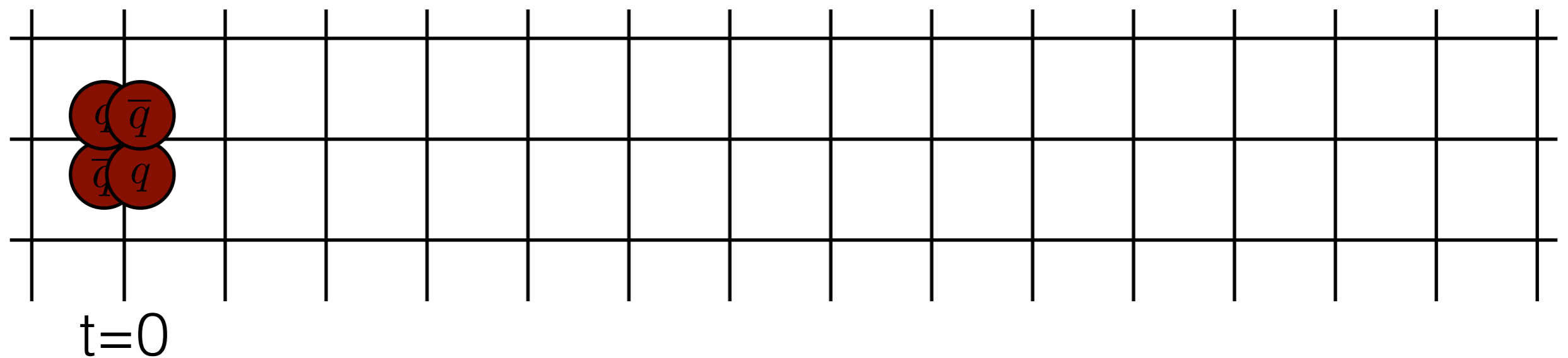
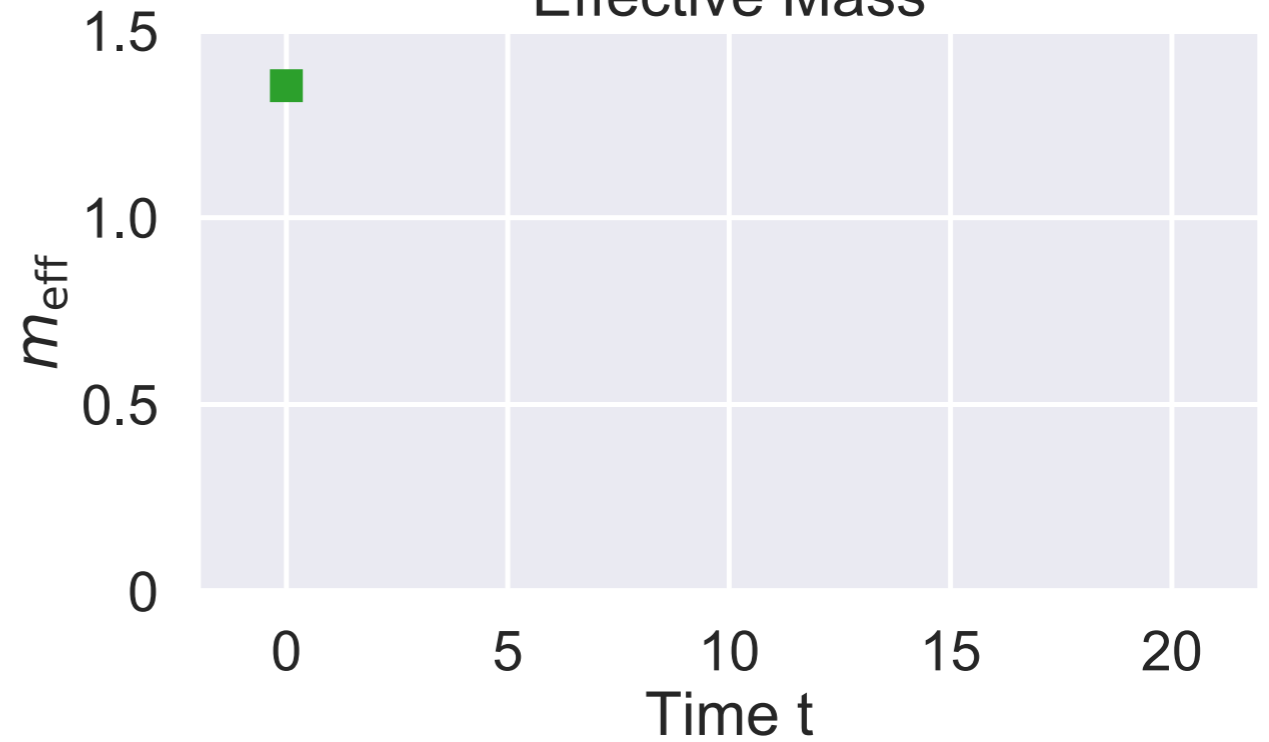


# Lattice QCD: particle masses

Correlator



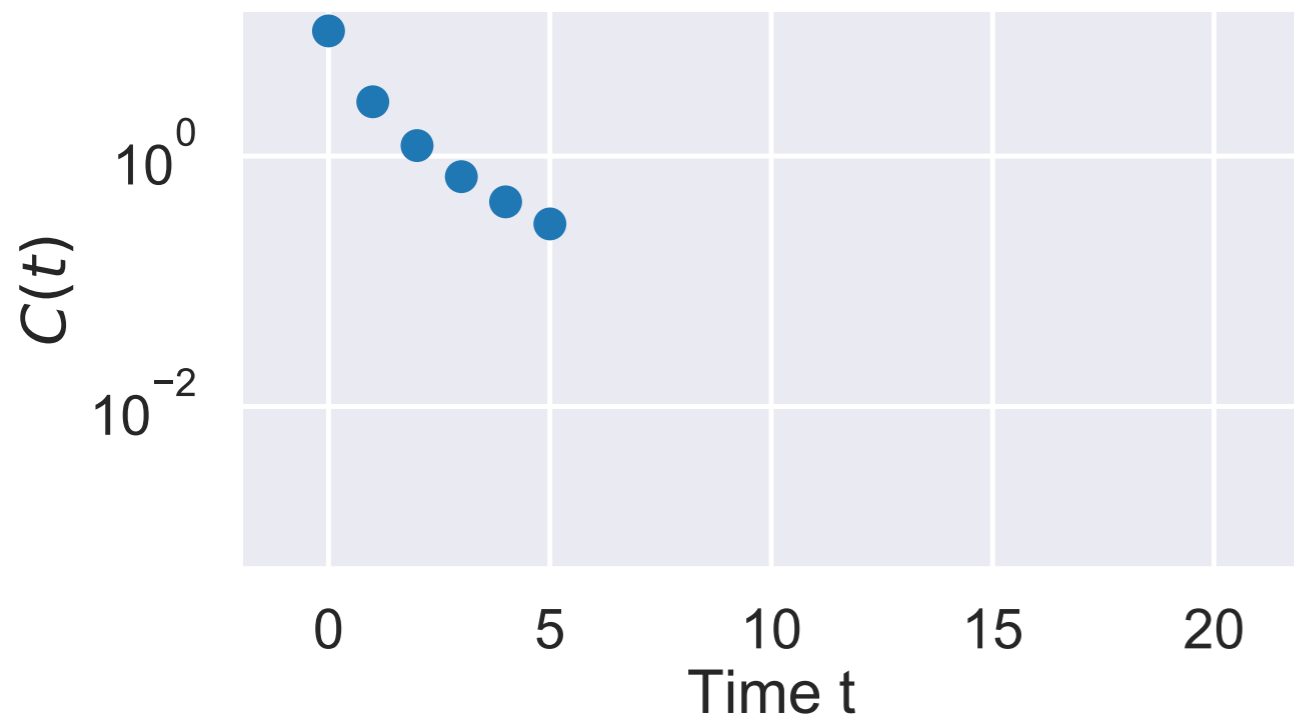
Effective Mass



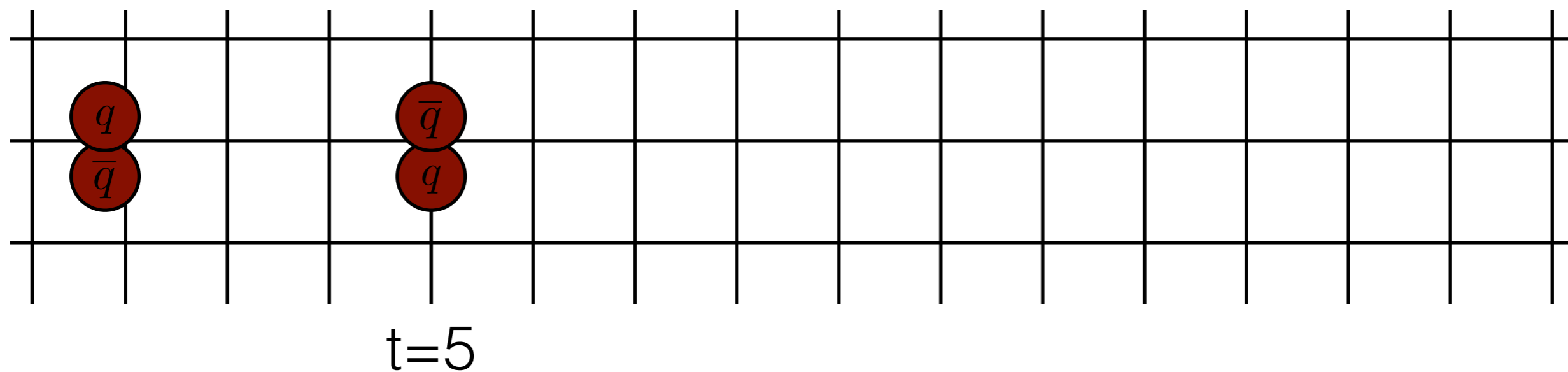
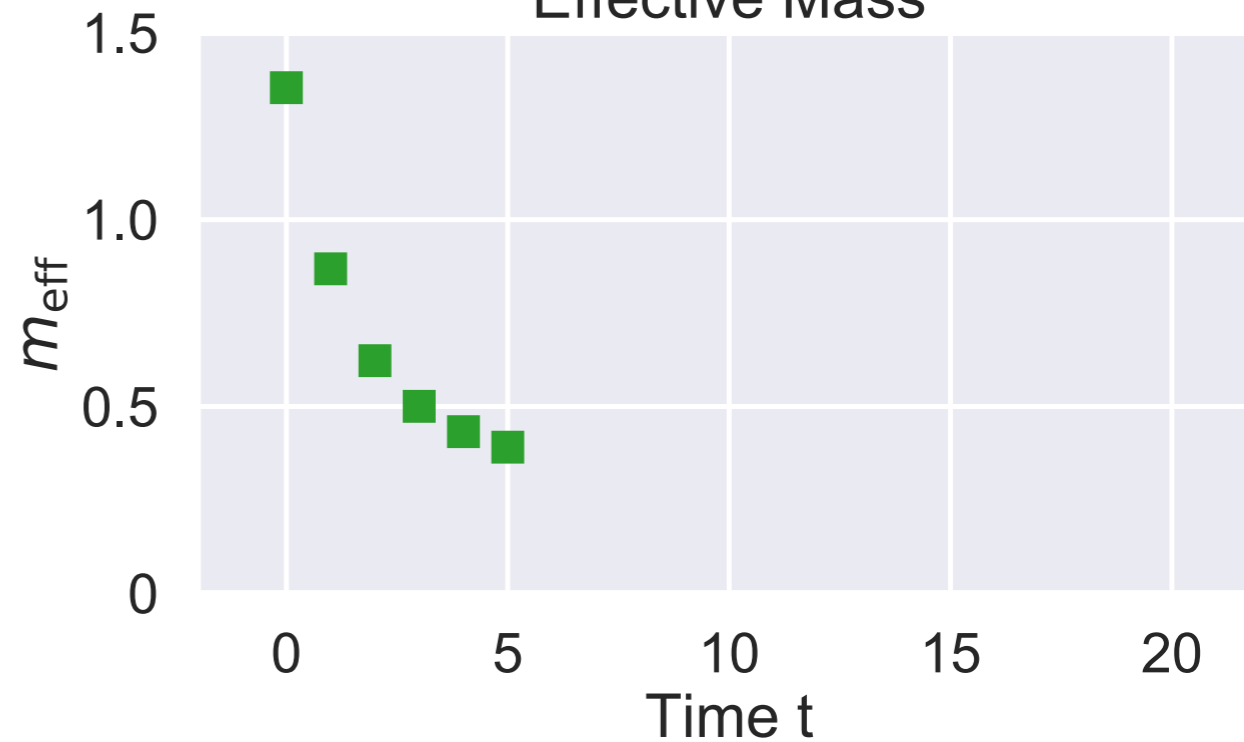


# Lattice QCD: particle masses

Correlator



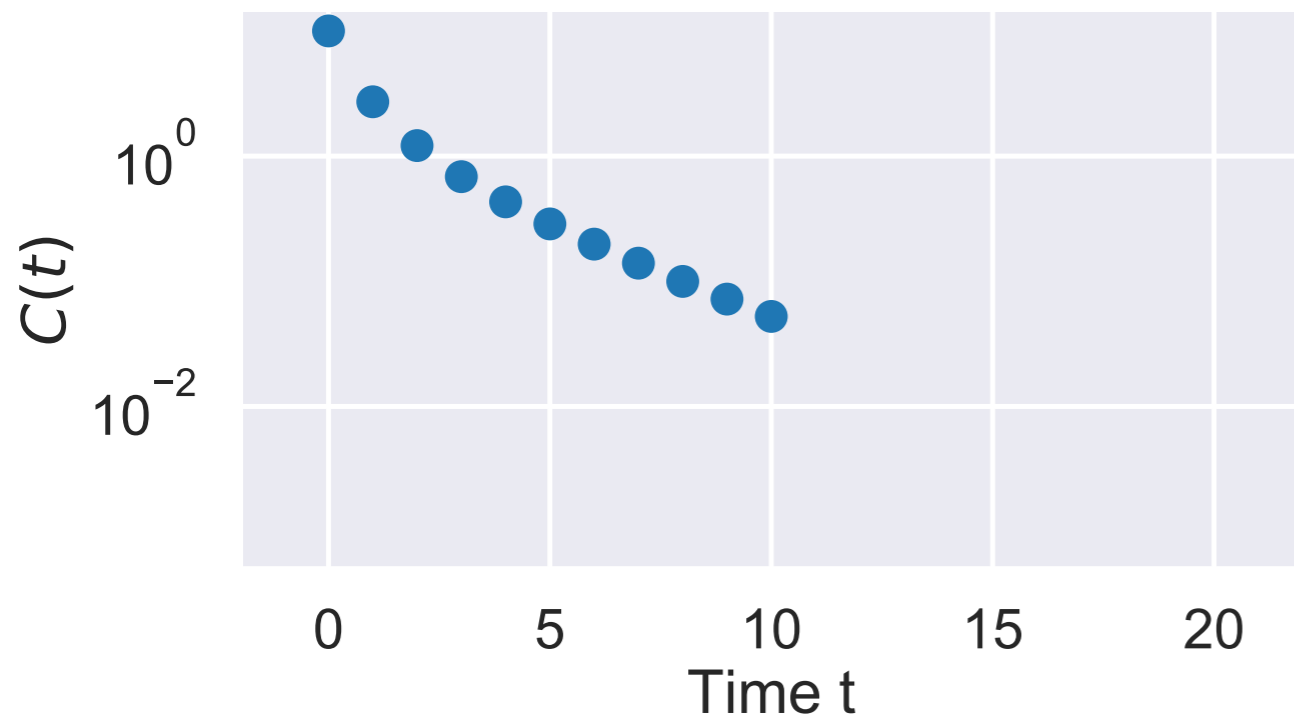
Effective Mass



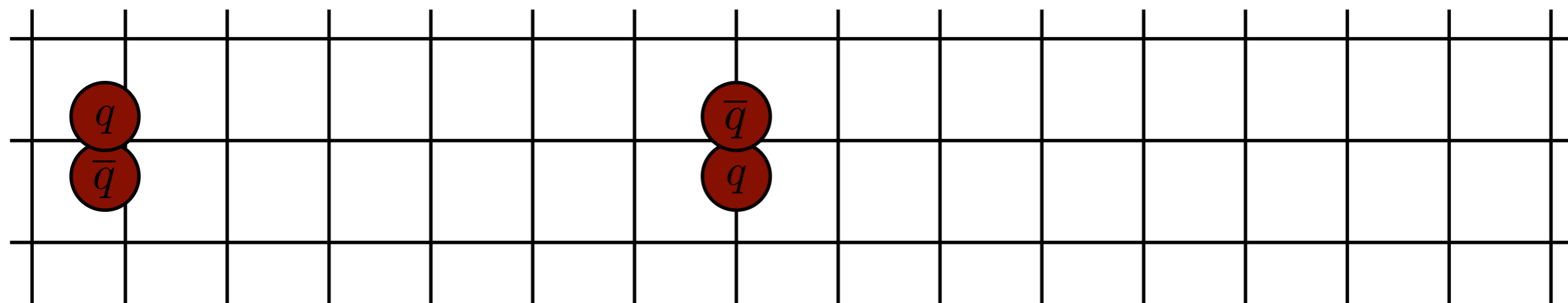
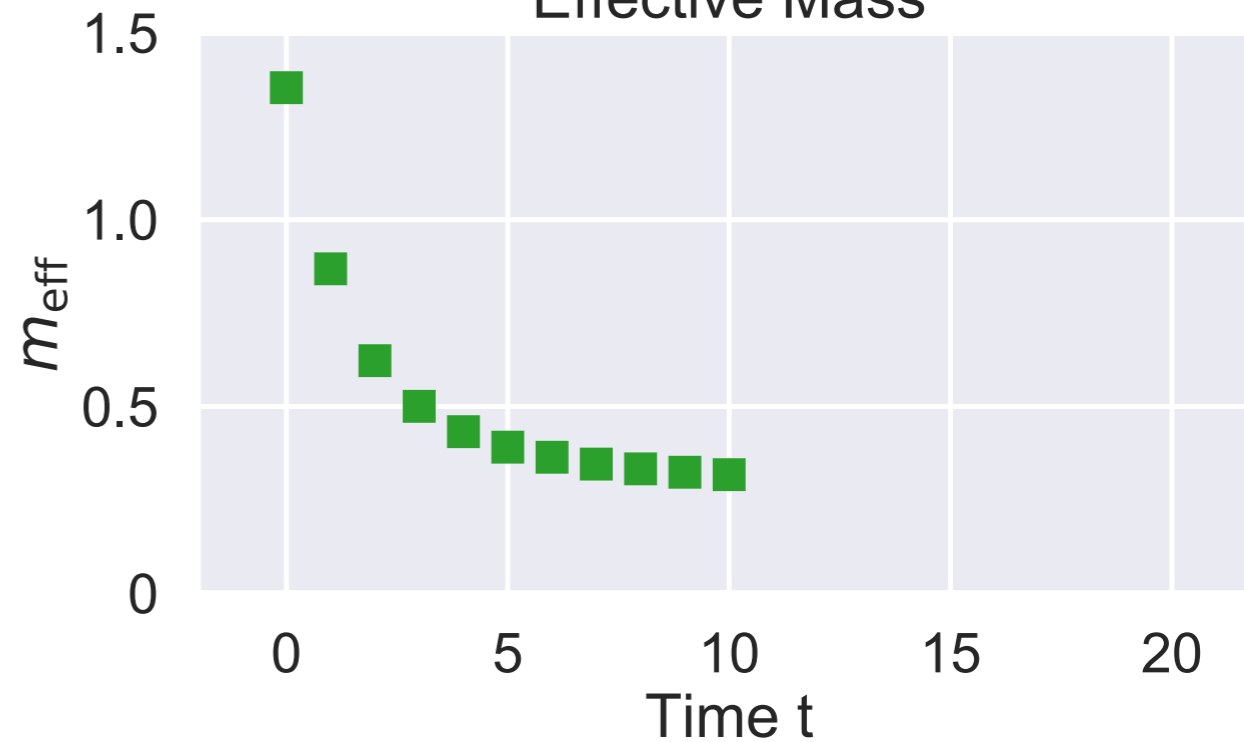


# Lattice QCD: particle masses

Correlator



Effective Mass

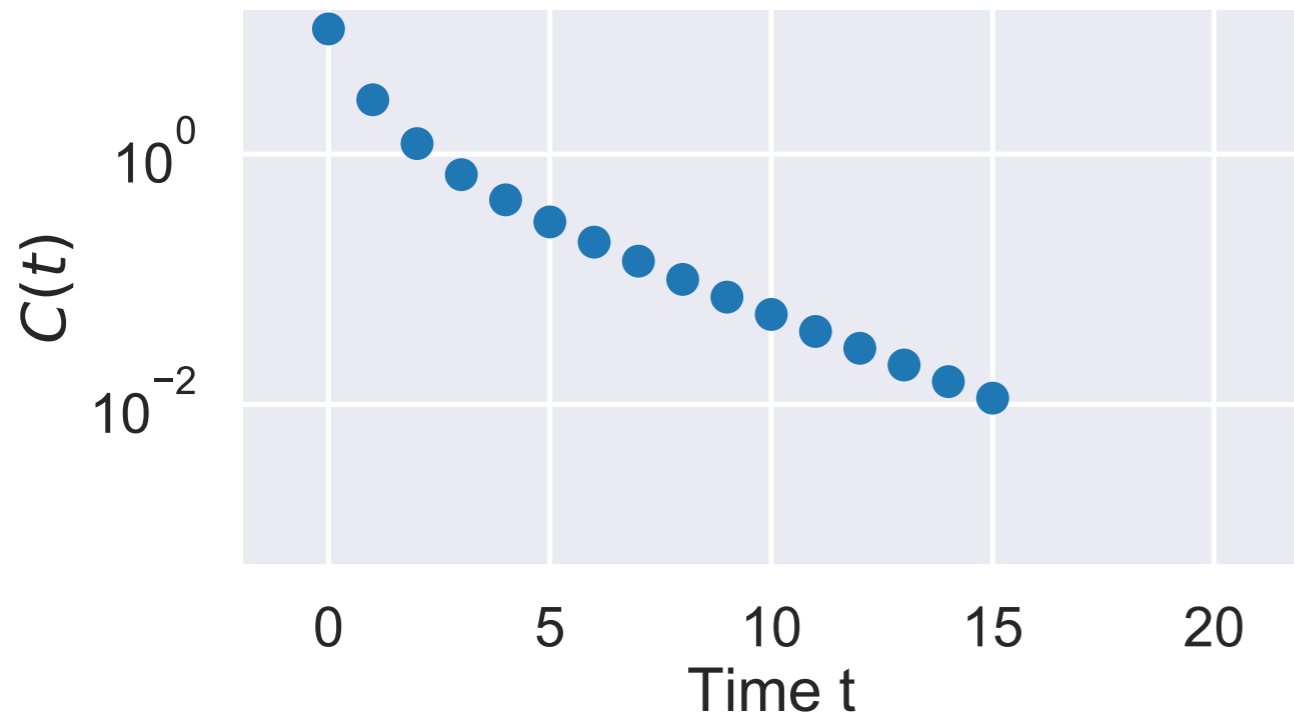


t=10

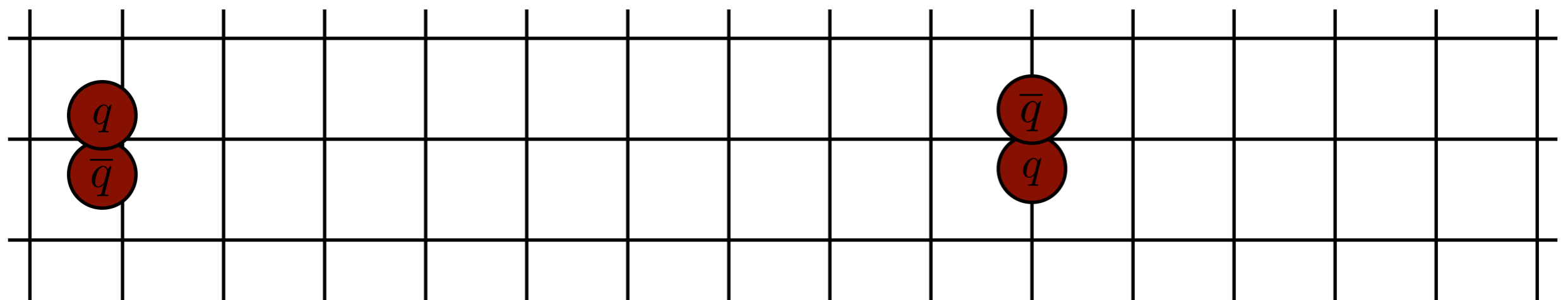
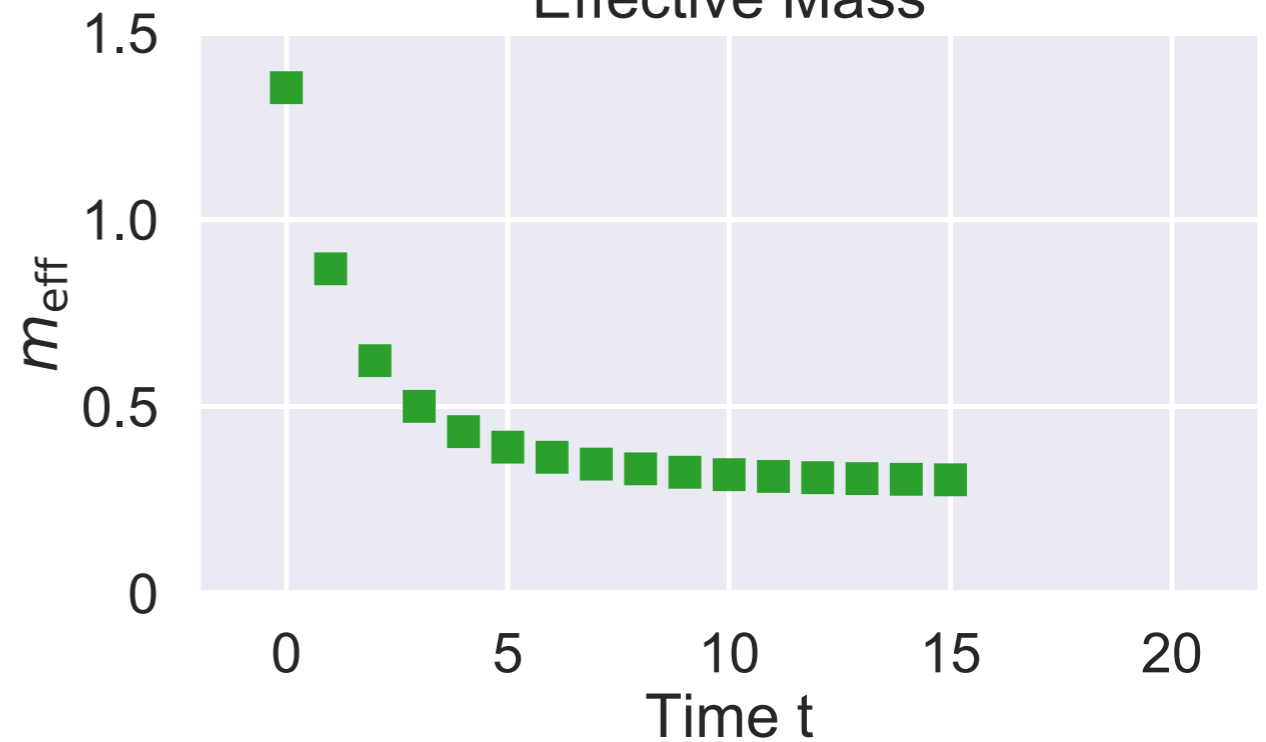


# Lattice QCD: particle masses

Correlator



Effective Mass

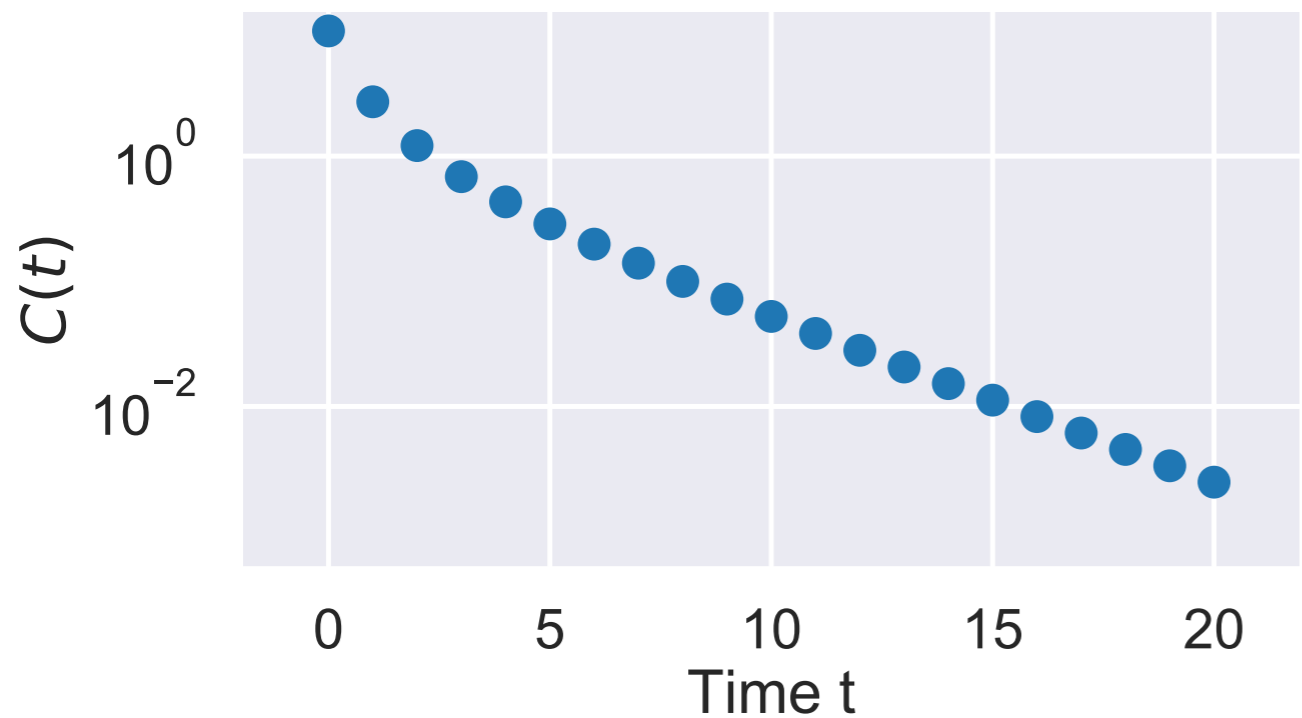


t=15

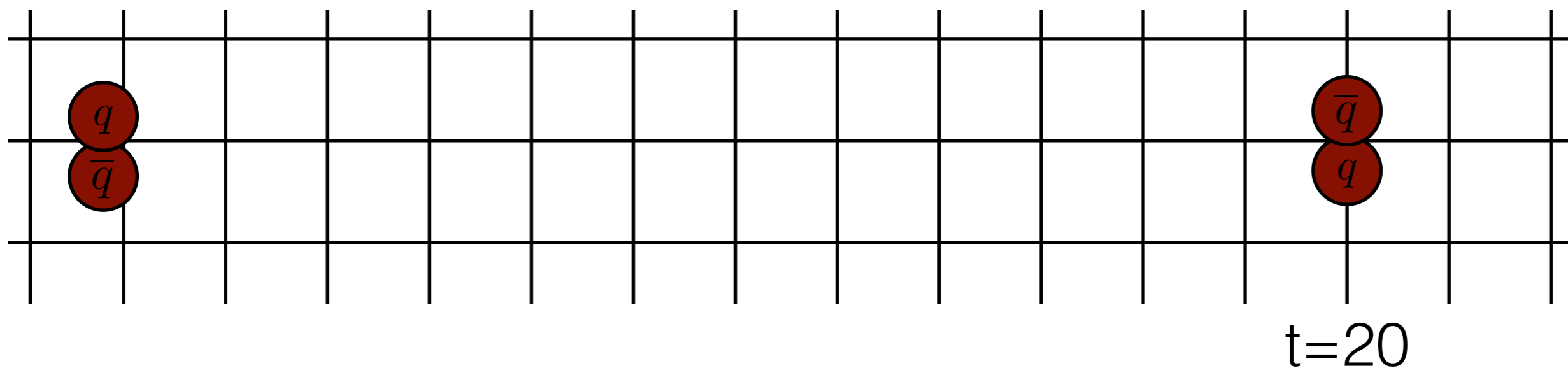
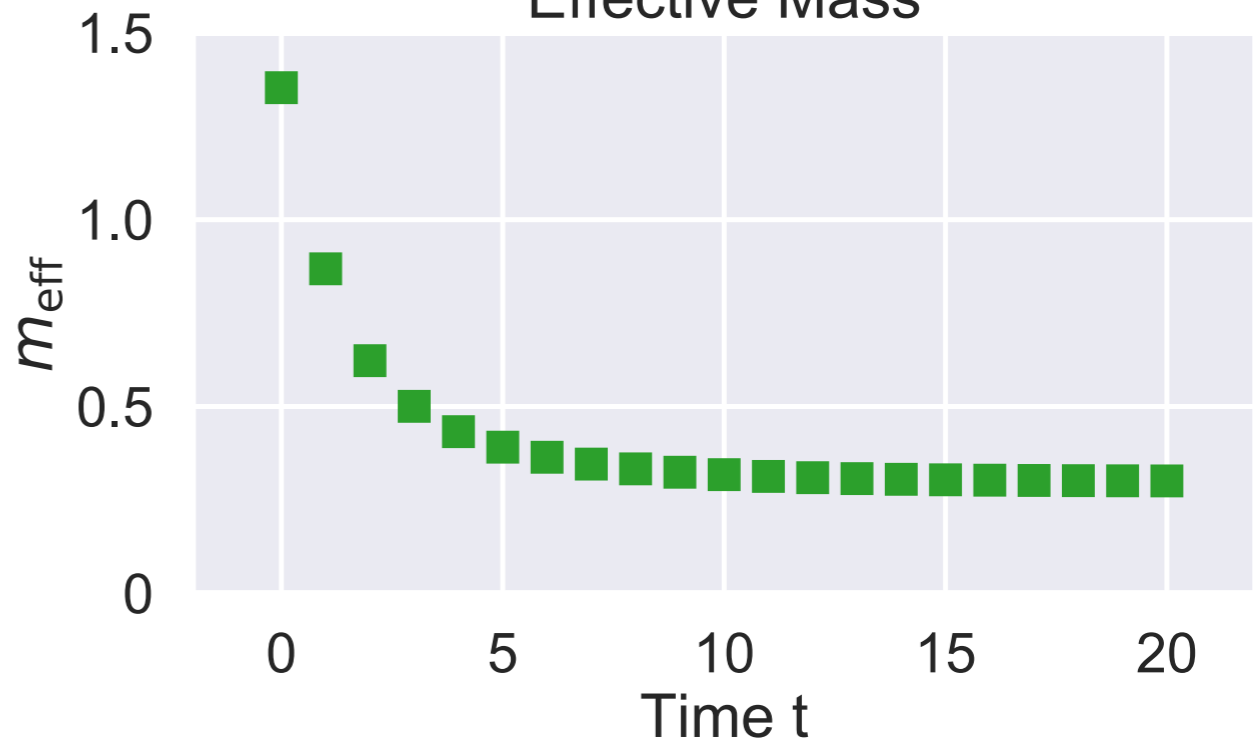


# Lattice QCD: particle masses

Correlator



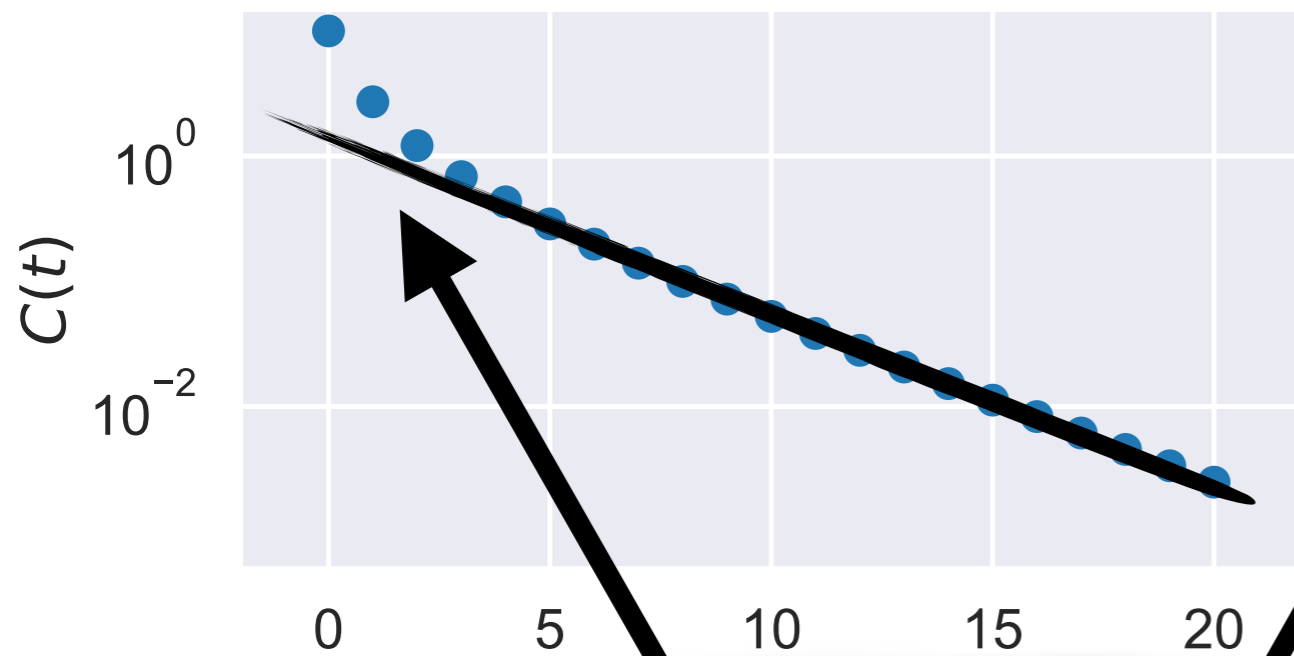
Effective Mass



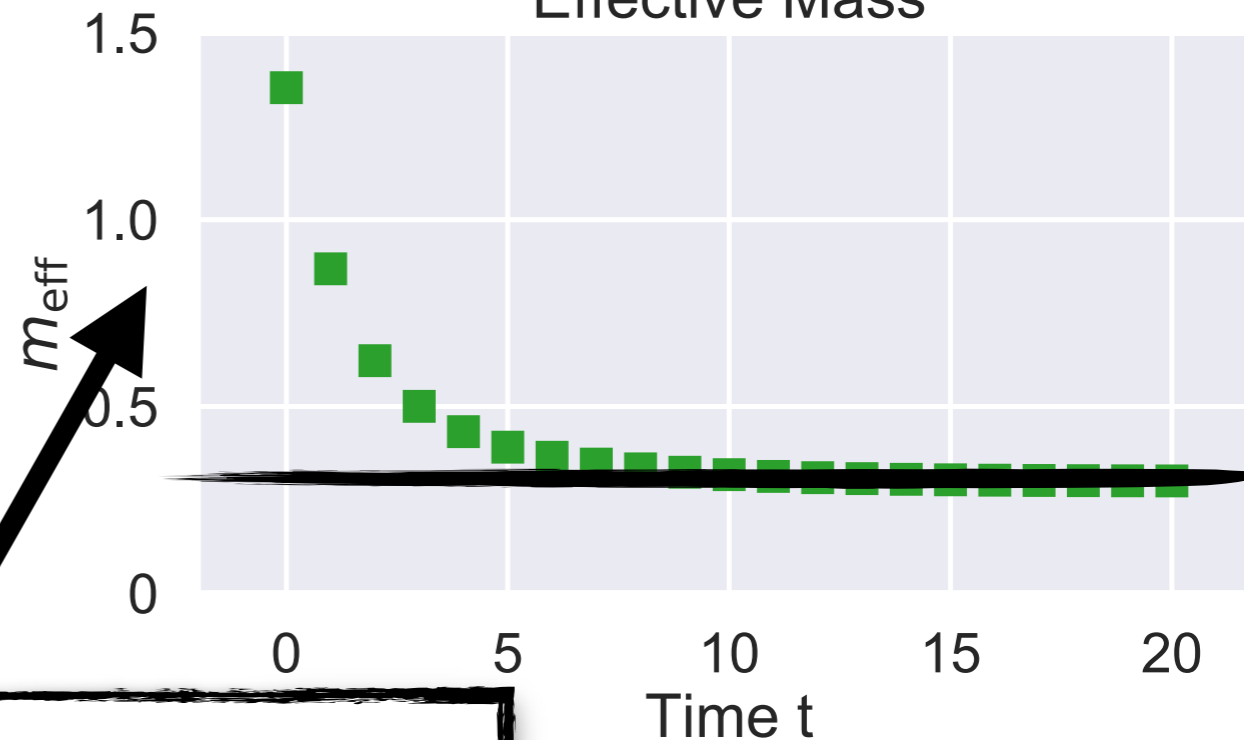


# Lattice QCD: particle masses

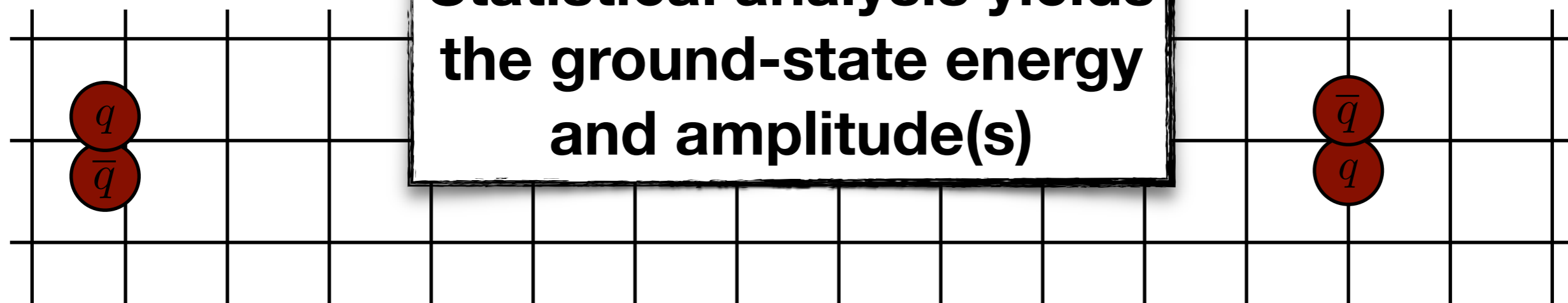
Correlator



Effective Mass



**Statistical analysis yields  
the ground-state energy  
and amplitude(s)**



t=20



# D-meson Semileptonic Decays

Fermilab-MILC [WJ]  
 PRD 107 (2023) 9, 094516  
 arXiv:2212.12648

## Lepton Flavor Universality Ratios

$$R_{\mu/e}^{H \rightarrow L} \equiv \frac{\mathcal{B}(H \rightarrow L\mu\nu)}{\mathcal{B}(H \rightarrow Le\nu)}$$

- CKM factors cancel in the ratio  
 → pure theoretical SM predictions are available
- Theoretical uncertainties cancel in the ratio  
 → lattice QCD gives very precise results

