

# Charm theory

## Hadronic physics of CP-violation



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- Charming CP-violation
- Charming New Physics
- Charming conclusion

Alexey A. Petrov  
University of South Carolina

# 1. Introduction: charming history

- Burt Richter and Sam Ting discovered  $J/\psi$  state in November of 1974

## The Arrival of Charm<sup>1</sup>

Jonathan L. Rosner

*Enrico Fermi Institute and Department of Physics  
University of Chicago  
5640 S. Ellis Avenue, Chicago IL 60637*

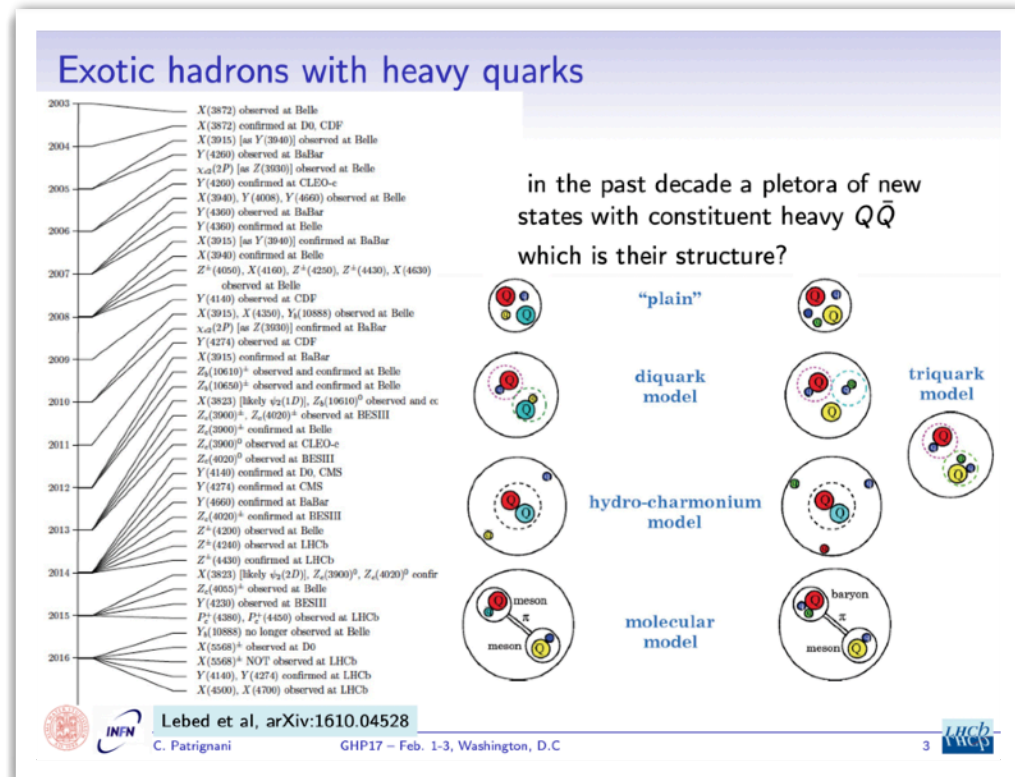
**Abstract.** Some of the theoretical motivations and experimental developments leading to the discovery of charm are recalled.

hep-ph/9811359

- At 50, charm quark continue to churn out surprises!

# 1. Introduction: charming results

- At 50, charm quark continue to churn out surprises!
  - spectroscopy:



- D-mixing and CP-violation in decays (soon: in mixing?)
- Interpretation of the results of observations depends on our understanding of low-energy hadronic physics



- How can CP-violation be observed in charm system?
  - can be observed by comparing CP-conjugated decay rates in various ways, both with and w/out time dependence

$$a_{\text{CP}}(f) = \frac{\Gamma(D \rightarrow f) - \Gamma(\bar{D} \rightarrow \bar{f})}{\Gamma(D \rightarrow f) + \Gamma(\bar{D} \rightarrow \bar{f})}$$

- can manifest itself in charm  $\Delta C=1$  transitions (direct CP-violation)

$$\Gamma(D \rightarrow f) \neq \Gamma(\text{CP}[D] \rightarrow \text{CP}[f]) \quad \text{dCPV}$$

- or in  $\Delta C=2$  transitions (indirect CP-violation): mixing  $|D_{1,2}\rangle = p|D^0\rangle \pm q|\bar{D}^0\rangle$

$$R_m^2 = |q/p|^2 = \left| \frac{2M_{12}^* - i\Gamma_{12}^*}{\Delta m - (i/2)\Delta\Gamma} \right|^2 = 1 + A_m \neq 1 \quad \text{CPVmix}$$

- or in the interference b/w decays ( $\Delta C=1$ ) and mixing ( $\Delta C=2$ )

$$\lambda_f = \frac{q}{p} \frac{\overline{A}_f}{A_f} = R_m e^{i(\phi+\delta)} \left| \frac{\overline{A}_f}{A_f} \right| \quad \text{CPVint}$$

Amplitudes?



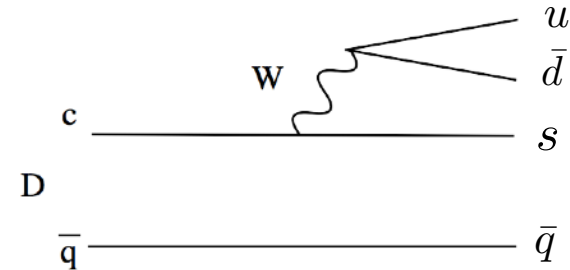
# Introduction: nonleptonic charm decays?

★ Can be classified by SM CKM suppression of tree amplitude ( $V_{us} \sim \lambda$ )

★ Cabibbo-favored (CF:  $\lambda^0$ ) decay

- originates from  $c \rightarrow s u \bar{d}$
- examples:  $D^0 \rightarrow K^- \pi^+$

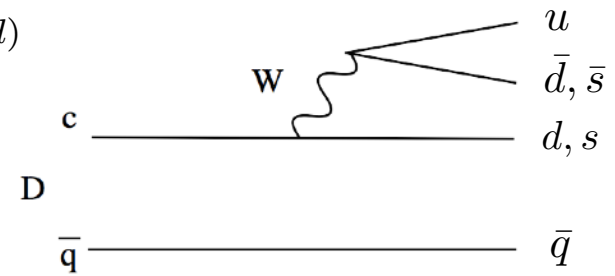
$$V_{cs} V_{ud}^*$$



★ Singly Cabibbo-suppressed (SCS:  $\lambda^1$ ) decay

- originates from  $c \rightarrow q u \bar{q}$
- examples:  $D^0 \rightarrow \pi \pi$  and  $D^0 \rightarrow K K$

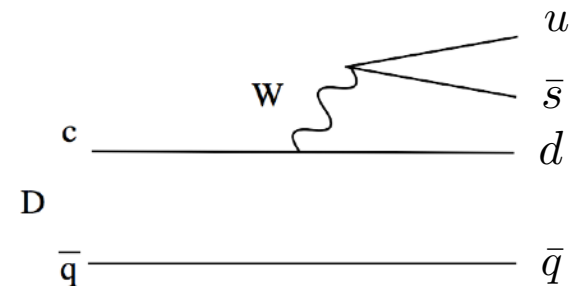
$$V_{cs(d)} V_{us(d)}^*$$



★ Doubly Cabibbo-suppressed (DCS:  $\lambda^2$ ) decay

- originates from  $c \rightarrow d u \bar{s}$
- examples:  $D^0 \rightarrow K^+ \pi^-$

$$V_{cd} V_{us}^*$$



★ We shall concentrate on SCS decays. This is because of the



Jedrzej Biesiada

# Direct CP-violation in charm: realities of life

- ★ IDEA: consider the DIFFERENCE of decay rate asymmetries:  $D \rightarrow \pi\pi$  vs  $D \rightarrow KK$ !  
For each final state the asymmetry

$D^0$ : no neutrals in the final state!

$$a_f = \frac{\Gamma(D \rightarrow f) - \Gamma(\bar{D} \rightarrow \bar{f})}{\Gamma(D \rightarrow f) + \Gamma(\bar{D} \rightarrow \bar{f})} \rightarrow a_f = a_f^d + a_f^m + a_f^i$$

↑ direct   
 ↑ mixing   
 ↑ interference

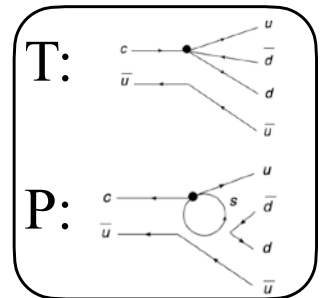
- ★ A reason:  $a_{KK}^m = a_{\pi\pi}^m$  and  $a_{KK}^i = a_{\pi\pi}^i$  (for CP-eigenstate final states), so, ideally, mixing asymmetries cancel ( $r_f = P_f/A_f$ )!

$$a_f^d = 2r_f \sin\phi_f \sin\delta_f$$

- ★ ... and the resulting DCPV asymmetry is  $\Delta a_{CP} = a_{KK}^d - a_{\pi\pi}^d \approx 2a_{KK}^d$  (double!)

$$A_{KK} = \frac{G_F}{\sqrt{2}} \lambda [(T + E + P_{sd}) + a\lambda^4 e^{-i\gamma} P_{bd}]$$

$$A_{\pi\pi} = \frac{G_F}{\sqrt{2}} \lambda [-(T + E) + P_{sd}) + a\lambda^4 e^{-i\gamma} P_{bd}]$$



- ★ ... so it is doubled in the limit of  $SU(3)_F$  symmetry

**$SU(3)$  is badly broken in D-decays**

- Experimental results

- Result 1: an observation of CP-violation in the difference...

$$\Delta a_{CP}^{dir} = a_{CP}(K^- K^+) - a_{CP}(\pi^- \pi^+) = (-15.4 \pm 2.9) \times 10^{-4}$$

LHCb 2019

- Result 2: the individual CPV asymmetry in  $D^0 \rightarrow K^+ K^-$  channel

$$a_{CP}(K^- K^+) = (7.7 \pm 5.7) \times 10^{-4}$$

LHCb 2022  
2209.03179v2

- Result 3: LHCb combined the above results to obtain the CPV asymmetry in  $D^0 \rightarrow \pi^+ \pi^-$  channel

$$a_{CP}(\pi^- \pi^+) = (23.2 \pm 6.1) \times 10^{-4}$$

LHCb 2022  
2209.03179v2

- Wishlist: obtain the CPV asymmetries in  $D^0 \rightarrow K^+ K^-$  and  $D^0 \rightarrow \pi^+ \pi^-$  channels independently to check consistency of  $\Delta a_{CP}^{dir}$
- Need confirmation from other experiments (Belle II)
- What do those results mean? New Physics? Standard Model?



# Implications of experimental observations

- Check SU(3) symmetry: only need U-spin (interchange  $s \leftrightarrow d$ )
  - Branching ratios:  $\Gamma(D^0 \rightarrow K^+K^-) = \Gamma(D^0 \rightarrow \pi^+\pi^-)$

$$\frac{\Gamma(D^0 \rightarrow K^+K^-)}{\Gamma(D^0 \rightarrow \pi^+\pi^-)} = 2.81 \pm 0.06$$

- CPV asymmetries:  $a_{CP}(D^0 \rightarrow \pi^+\pi^-) = -a_{CP}(D^0 \rightarrow K^+K^-)$

$$\frac{a_{CP}(D^0 \rightarrow \pi^+\pi^-)}{a_{CP}(D^0 \rightarrow K^+K^-)} = 3.01^{+0.95}_{-5.95}$$

- In both cases: appearance of badly-broken symmetry. Also: wrong sign!

- U-spin sum rule:  $\frac{a_{CP}(D^0 \rightarrow \pi^+\pi^-)}{a_{CP}(D^0 \rightarrow K^+K^-)} \frac{\Gamma(D^0 \rightarrow K^+K^-)}{\Gamma(D^0 \rightarrow \pi^+\pi^-)} = -1$

... but it appears that experimentally  $= +0.93^{+0.62}_{-0.41}$

## $\Delta A_{CP}$ within the Standard Model and beyond

Mikael Chala, Alexander Lenz, Aleksey V. Rusov and Jakub Scholtz

*Institute for Particle Physics Phenomenology, Durham University,  
DH1 3LE Durham, United Kingdom*

## Implications on the first observation of charm CPV at LHCb

Hsiang-nan Li<sup>1\*</sup>, Cai-Dian Lü<sup>2†</sup>, Fu-Sheng Yu<sup>3‡</sup>

<sup>1</sup>*Institute of Physics, Academia Sinica,  
Taipei, Taiwan 11529, Republic of China*

## The Emergence of the $\Delta U = 0$ Rule in Charm Physics

Yuval Grossman<sup>\*</sup> and Stefan Schacht<sup>†</sup>

*Department of Physics, LEPP, Cornell University, Ithaca, NY 14853, USA*

## Revisiting $CP$ violation in $D \rightarrow PP$ and $VP$ decays

Hai-Yang Cheng

*Institute of Physics, Academia Sinica, Taipei, Taiwan 11529, ROC*

Cheng-Wei Chiang

*Department of Physics, National Taiwan University, Taipei, Taiwan 10617, ROC*

## 2a. Calculating CP-asymmetries?

- Effective Hamiltonian for singly Cabibbo-suppressed (SCS) decays
  - drop all “penguin” operators ( $Q_i$  for  $i \geq 3$ ) as  $C_i$  are small,  $\lambda_q = V_{uq}V_{cq}^*$ ,

$$\mathcal{H}_{\text{eff}} = \frac{G_F}{\sqrt{2}} \left[ \sum_{q=d,s} \lambda_q (C_1 Q_1^q + C_2 Q_2^q) - \lambda_b \sum_{i=2,\dots,6,8g} C_i Q_i \right]$$

$$Q_1^q = (\bar{u}\Gamma_\mu q) (\bar{q}\Gamma^\mu c), \quad Q_2^q = (\bar{q}\Gamma_\mu q) (\bar{u}\Gamma^\mu c)$$

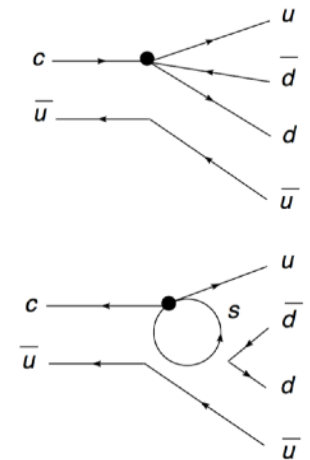
- recall that  $\sum_{q=d,s,b} \lambda_q = 0$  or  $\lambda_d = -(\lambda_s + \lambda_b)$  and  $\mathcal{O}^q \equiv \frac{G_F}{\sqrt{2}} \sum_{i=1,2} C_i Q_i^q$ , with  $q = d, s$ .



without QCD



with QCD



Amplitudes?



# How to compute decay amplitudes?

- $A_{CP}$ : need to compute/fit/derive hadronic decay amplitudes
  - matrix elements of 4-fermion operators (factorization?)

$$\begin{aligned}
 A_{\pi\pi} &= \langle \pi^+ \pi^- | \mathcal{H} | D^0 \rangle \\
 &= \frac{G_F}{\sqrt{2}} V_{ud} V_{cd}^* \langle \pi^+ \pi^- | (\bar{u}d)_L (\bar{d}c)_L | D^0 \rangle \\
 &\sim \frac{G_F}{\sqrt{2}} V_{ud} V_{cd}^* \langle \pi^+ | (\bar{u}d)_L | 0 \rangle \langle \pi^- | (\bar{d}c)_L | D^0 \rangle \\
 &\sim \sim \frac{G_F}{\sqrt{2}} V_{ud} V_{cd}^* f_\pi F_{D \rightarrow \pi} m_D^2 \quad \text{No imaginary part?}
 \end{aligned}$$

- need a better approach (but can retain some elements)! Recall  $R_{DCS/CF}$

$$\begin{aligned}
 A_R &= \frac{g_H}{m_D^2 - m_{K_H}^2 + i\Gamma_{K_H} m_D} \langle K_H | H_{eff} | D^0 \rangle, \\
 B_R &= \frac{g_H}{m_D^2 - m_{K_H}^2 + i\Gamma_{K_H} m_D} \langle K_H | H_{eff} | \bar{D}^0 \rangle,
 \end{aligned}$$

Falk, Nir, AAP  
JHEP 12 (1999) 019

Resonances? FSI? Both?

WSU-HEP-0102

AMES-HET

hep-ph/mmddnnn

5 July 2002

## *CP* Violation in Charm Decays

Eugene Golowich<sup>1</sup>

*Department of Physics, University of Massachusetts  
Ames, IA 50011*

Alexey A. Petrov<sup>2</sup>

*Department of Physics and Astronomy, Wayne State University  
Detroit, MI 48201*

### Abstract

We address several topics relevant to *CP*-violating phenomena in charm meson decays. The influence of nearby resonances on the generation *CP*-violating asymmetries in *D* decays is studied. Then, *CP*-violating asymmetries arising from interference between resonances occurring in the final state of *D* decays are considered. Finally, a classification according to the  $1/N_c$  expansion of final state interactions in the charm region is performed.

- I was going through a pile of old papers...

# Resonance enhancement of decay amplitudes

- $A_{CP}$ : need to compute/fit/derive hadronic decay amplitudes

- parameterize  $D \rightarrow KK$  and  $D \rightarrow \pi\pi$  decay amplitudes
- use isospin decomposition, as possible nearby resonances are classified according to isospin, etc.

Schacht, Soni  
PLB 825 (2022) 136855

$$A(D^0 \rightarrow \pi^+ \pi^-) = \frac{1}{\sqrt{6}} \lambda_{sd} A_{\frac{3}{2},2}^{\pi\pi} + \frac{1}{\sqrt{3}} \left( \lambda_{sd} A_{\frac{1}{2},0}^{\pi\pi} - \frac{\lambda_b}{2} B_{\frac{1}{2},0}^{\pi\pi} \right)$$

$$A(D^0 \rightarrow K^+ K^-) = \frac{1}{2} \lambda_{sd} A_{\frac{3}{2},1}^{KK} + \frac{1}{2} \left( \lambda_{sd} A_{\frac{1}{2},1}^{KK} - \frac{\lambda_b}{2} B_{\frac{1}{2},1}^{KK} \right) + \frac{1}{2} \left( \lambda_{sd} A_{\frac{1}{2},0}^{KK} - \frac{\lambda_b}{2} B_{\frac{1}{2},0}^{KK} \right)$$

... and similarly for other D-decays, where  $\lambda_{sd} = (\lambda_s - \lambda_d)/2$  and  $A_{\Delta I I}^{ff}$  ( $B_{\Delta I I}^{ff}$ ) are CP-even (CP-odd)

- Resonance enhancement of decay amplitudes (model)

- choose model and resonances that provide enhancement ( $I=0$ ):  $f_0$  states

$$A_{\frac{1}{2},0}^{ff} = g_{f_0 \rightarrow ff} M_{f_0}^{sd} R(m_{f_0}, \Gamma_{f_0}, m_D, \dots)$$

$$B_{\frac{1}{2},0}^{ff} = g_{f_0 \rightarrow ff} M_{f_0}^b R(m_{f_0}, \Gamma_{f_0}, m_D, \dots)$$



# Resonance enhancement of decay amplitudes

- Resonance enhancement of decay amplitudes (model)

- choose model and resonances that provide enhancement ( $I=0$ ):  $f_0$  states

$$A_{\frac{1}{2},0}^{ff} = g_{f_0 \rightarrow ff} M_{f_0}^{sd} R(m_{f_0}, \Gamma_{f_0}, m_D, \dots)$$

$$B_{\frac{1}{2},0}^{ff} = g_{f_0 \rightarrow ff} M_{f_0}^b R(m_{f_0}, \Gamma_{f_0}, m_D, \dots)$$

possible interference  
among different  $f_0$  states

- ...where  $g_{f_0 \rightarrow ff}$  describes  $f_0$  coupling to  $KK$  or  $\pi\pi$  and

$$M_{f_0}^{sd} = \langle f_0 | \mathcal{O}_{sd}^{\Delta I=1/2} | D^0 \rangle \quad M_{f_0}^b = \langle f_0 | \mathcal{O}_b^{\Delta I=1/2} | D^0 \rangle$$

- there are nearby  $f_0$  resonances

Schacht, Soni  
PLB 825 (2022) 136855

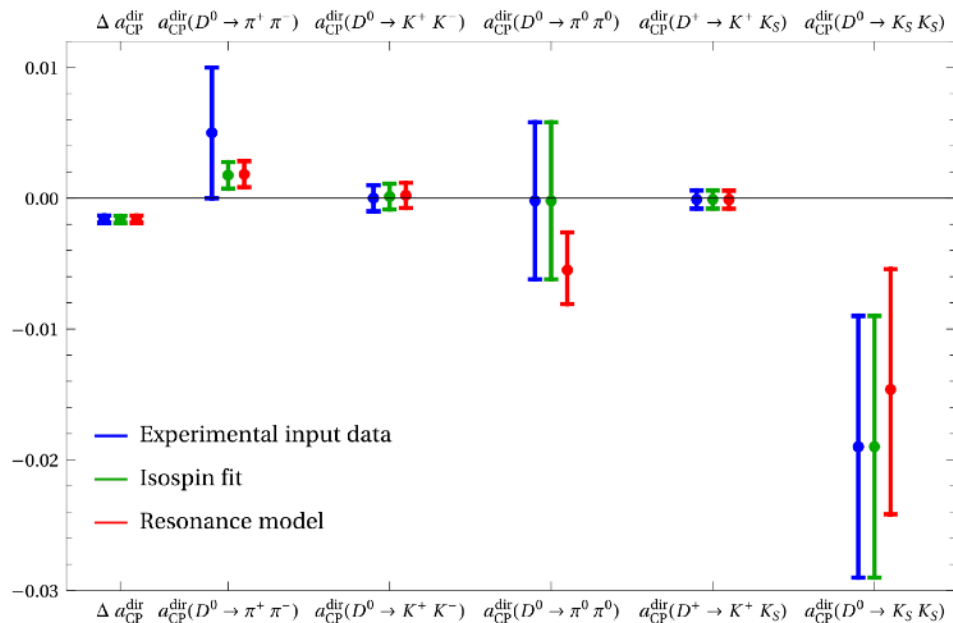
Employed experimental data for scalar unflavored resonances close to the  $D^0$  mass.

Resonance	$I^G(J^{PC})$	mass $m$ [MeV]	$\Gamma$ [MeV]	Ref.
$f_0(1710)$	$0^+(0^{++})$	$1704 \pm 12$	$123 \pm 18$	[5]
$f_0(1790)$	$0^+(0^{++})$	$1790_{-30}^{+40}$	$270_{-30}^{+60}$	[53,54]

Note: other  $f_0$  states? E.g.,  $f_0(2020)$ :  $m_{f_0(2020)} = 1982_{-3.0}^{+54.1}$  MeV,  $\Gamma_{f_0(2020)} = 436 \pm 50$  MeV

# Resonance enhancement of decay amplitudes

- Resonance enhancement of decay amplitudes (model)



Schacht, Soni  
PLB 825 (2022) 136855

- Note: compatibility of the result depends on how many resonances are included in the fit

# Why resonances if we have data?

- Resonance enhancement of decay amplitudes is a model!
  - there is ample experimental data on  $\pi\pi(KK)$  scattering at  $s \approx m_D^2$ !
  - coupled-channel unitarity

$$S = \left( \begin{array}{c|ccc} D \rightarrow D & D \rightarrow \pi\pi & D \rightarrow KK & \dots \\ \hline \pi\pi \rightarrow D & \pi\pi \rightarrow \pi\pi & \pi\pi \rightarrow KK & \dots \\ KK \rightarrow D & KK \rightarrow \pi\pi & KK \rightarrow KK & \dots \\ \vdots & \vdots & \vdots & \ddots \end{array} \right) \equiv \begin{pmatrix} 1 & -i(T)^T \\ -i \text{CP}(T) & S_S \end{pmatrix},$$

- two-channel approximation ( $\pi\pi, KK$ )

Franco, Mishima, Silvestrini  
JHEP 05 (2012) 140  
Pich, Solomonida, Silva  
arXiv: 2305.11951

$$\begin{pmatrix} \mathcal{A}_0^\pi \\ \mathcal{A}_0^K \end{pmatrix} = \begin{pmatrix} \eta e^{2i\delta_1} & \pm i\sqrt{1-\eta^2} e^{i(\delta_1+\delta_2)} \\ \pm i\sqrt{1-\eta^2} e^{i(\delta_1+\delta_2)} & \eta e^{2i\delta_2} \end{pmatrix} \begin{pmatrix} (\mathcal{A}_0^\pi)^* \\ (\mathcal{A}_0^K)^* \end{pmatrix}$$

Note 1: inelasticity  $\eta$  and strong phases  $\delta_{1,2}$  can be obtained from the low-energy experiments

Note 2: some other two-body ( $\eta\eta$ ) and multi-body ( $4\pi$ ) intermediate states have large branching ratios: could potentially change predictions in a two-body approximation!

## 2b. Amplitudes: flavor SU(3) analysis

- Idea: expand all charm decay amplitudes in terms of a universal set
  - need to select a basis: flavor SU(3), unbroken (for now)

$$\mathcal{H}_{\text{CF}} = \frac{G_F}{\sqrt{2}} V_{ud} V_{cs}^* (\bar{u}d)(\bar{s}c) + \text{h.c.}$$

- Light quarks transform as triplets:  $\bar{\mathbf{3}} \times \mathbf{3} \times \bar{\mathbf{3}} = \overline{\mathbf{15}} + \mathbf{6} + \bar{\mathbf{3}} + \bar{\mathbf{3}}$ 
  - concentrate on CF decays for now: only  $\overline{\mathbf{15}}$  and  $\mathbf{6}$  contribute

$$\mathcal{H}_{\text{CF}} = -\frac{G_F}{\sqrt{2}} V_{ud} V_{cs}^* \left( A \mathcal{O}_{\frac{2}{3},1,-1}^{(\overline{\mathbf{15}})} + C \mathcal{O}_{\frac{2}{3},1,-1}^{(\mathbf{6})} \right) + \text{h.c.}$$

- ME: need to couple  $\mathcal{H}_{\text{CF}}$  to the initial (triplet) and final  $\mathbf{8} \times \mathbf{8}$  states

$$\begin{aligned} \text{– final state: } [(\mathbf{8} + \mathbf{1}) \times (\mathbf{8} + \mathbf{1})]_{PP} &= (\mathbf{8} \times \mathbf{8})_{\text{sym}} + (\mathbf{8} \times \mathbf{1}) + \mathbf{1}, \\ &= \mathbf{27} + \mathbf{8}_{\mathbf{8} \times \mathbf{8}} + \mathbf{8}_{\mathbf{8} \times \mathbf{1}} + \mathbf{1}_{\mathbf{8} \times \mathbf{8}} + \mathbf{1} \end{aligned}$$

$$\text{– initial state: } |\mathcal{H} | D\rangle \text{ contains } 27 \text{ (from } \overline{\mathbf{15}} \times \mathbf{3}\text{) and } 8 \text{ (from } \overline{\mathbf{15}} \times \mathbf{3} \text{ and } \mathbf{6} \times \mathbf{3}\text{)}$$

- Basis of reduced ME:  $A_{27} = \langle \mathbf{27} | \mathcal{O}^{\overline{\mathbf{15}}} | \mathbf{3} \rangle$ ,  $A_8 = \langle \mathbf{8} | \mathcal{O}^{\overline{\mathbf{15}}} | \mathbf{3} \rangle$ ,  $C_8 = \langle \mathbf{8} | \mathcal{O}^{\mathbf{6}} | \mathbf{3} \rangle$

# Amplitudes: flavor SU(3) analysis

- Select a basis, expand decay amps (CF decays only), include  $\eta$  and  $\eta'$ 
  - assume mixing angle  $\theta = \arcsin(1/3)$ , but can do independent fit

Decay	SU(3) <sub>F</sub> Amplitude
$D^0 \rightarrow K^- \pi^+$	$\frac{G_F}{\sqrt{2}} V_{ud} V_{cs}^* \frac{1}{5} \left( \sqrt{2} A_{27} + \sqrt{2} A_8 - \sqrt{5} C_8 \right)$
$D^0 \rightarrow \bar{K}^0 \pi^0$	$\frac{G_F}{\sqrt{2}} V_{ud} V_{cs}^* \frac{1}{10} \left( 3A_{27} - 2A_8 + \sqrt{10} C_8 \right)$
$D^0 \rightarrow \bar{K}^0 \eta$	$\frac{G_F}{\sqrt{2}} V_{ud} V_{cs}^* \frac{1}{15\sqrt{3}} \left( 3\sqrt{2} A_{27} + \sqrt{2}(\sqrt{5} - 2) A_8 - \sqrt{5}(\sqrt{5} - 2) C_8 \right)$
$D^0 \rightarrow \bar{K}^0 \eta'$	$\frac{G_F}{\sqrt{2}} V_{ud} V_{cs}^* \frac{1}{30\sqrt{3}} \left( 3A_{27} - 2(1 + 4\sqrt{5}) A_8 + \sqrt{10}(1 + 4\sqrt{5}) C_8 \right)$
$D^+ \rightarrow \bar{K}^0 \pi^+$	$\frac{G_F}{\sqrt{2}} V_{ud} V_{cs}^* \frac{1}{\sqrt{2}} A_{27}$
$D_s^+ \rightarrow \bar{K}^0 K^+$	$\frac{G_F}{\sqrt{2}} V_{ud} V_{cs}^* \frac{1}{5} \left( \sqrt{2} A_{27} + \sqrt{2} A_8 + \sqrt{5} C_8 \right)$
$D_s^+ \rightarrow \pi^+ \eta$	$\frac{G_F}{\sqrt{2}} V_{ud} V_{cs}^* \frac{1}{15\sqrt{3}} \left( 6\sqrt{2} A_{27} - \sqrt{2}(4 + \sqrt{5}) A_8 - \sqrt{5}(4 + \sqrt{5}) C_8 \right)$
$D_s^+ \rightarrow \pi^+ \eta'$	$\frac{G_F}{\sqrt{2}} V_{ud} V_{cs}^* \frac{1}{15\sqrt{3}} \left( 3A_{27} + 2(2\sqrt{5} - 1) A_8 + \sqrt{10}(2\sqrt{5} - 1) C_8 \right)$

- there are **8** decays and **5** parameters:  $|\mathcal{A}_{D \rightarrow PP}| = \sqrt{\frac{8\pi \hbar m_D^2 \mathcal{B}_{D \rightarrow PP}}{\tau_D p^*}}$



- Fit to experimental data...

Meson	Decay	Branching Ratio (%)
$D^0$	$K^- \pi^+$	$3.950 \pm 0.031$
	$\bar{K}^0 \pi^0$	$2.480 \pm 0.044$
	$\bar{K}^0 \eta$	$1.018 \pm 0.012$
	$\bar{K}^0 \eta'$	$1.898 \pm 0.064$
$D^+$	$\bar{K}^0 \pi^+$	$3.124 \pm 0.062$
$D_s^+$	$\bar{K}^0 K^+$	$2.95 \pm 0.14$
	$\pi^+ \eta$	$1.70 \pm 0.09$
	$\pi^+ \eta'$	$3.94 \pm 0.25$

- ... yields poor fit results

$$\chi_{\min}^2/\text{dof} = 7477/3,$$

$$A_{27} = (0.279 \pm 0.002) \text{ GeV}^3,$$

$$A_8 = (0.840 \pm 0.008) e^{(59 \pm 1)^\circ i} \text{ GeV}^3,$$

$$C_8 = (0.17 \pm 0.02) e^{(-58 \pm 2)^\circ i} \text{ GeV}^3.$$

- What can be done to improve the fit?

B. Bhattacharya, A. Datta, AAP,  
J. Waite, JHEP 10 (2021) 024

- How to improve the quality of fit?

- drop some of the assumptions [not enough data]: include SCS decays

- new reduced MEs ( $\mathcal{H}$  now contains triplets)

Recent: B. Bhattacharya, A. Datta,  
AAP, J. Waite, JHEP 10 (2021) 024

- take into account SU(3) breaking [not enough data]: include SCS decays

- new reduced MEs  $\Delta\mathcal{L}_{QCD} = -m_s \bar{\psi} \lambda^8 \psi$

Pirtskhalava, Uttayarat (2012)  
Hiller, Jung, Schacht (2013), ...

$$\begin{aligned} \mathcal{H} &= (\bar{3} + 6 + \bar{15}) \times (1 + \epsilon 8 + \mathcal{O}(\epsilon^2)) \\ &\supset \bar{3} + 6 + \bar{15} + \epsilon \left( \bar{3}_i + 6_i + \bar{15}_1 + \bar{15}_2 \right. \\ &\quad \left. + \bar{15}_3^1 + \bar{15}_3^2 + \bar{24}_3 + \bar{42}_3 + \dots \right), \end{aligned}$$

- there are now 13 parameters (no  $\eta/\eta'$ ):

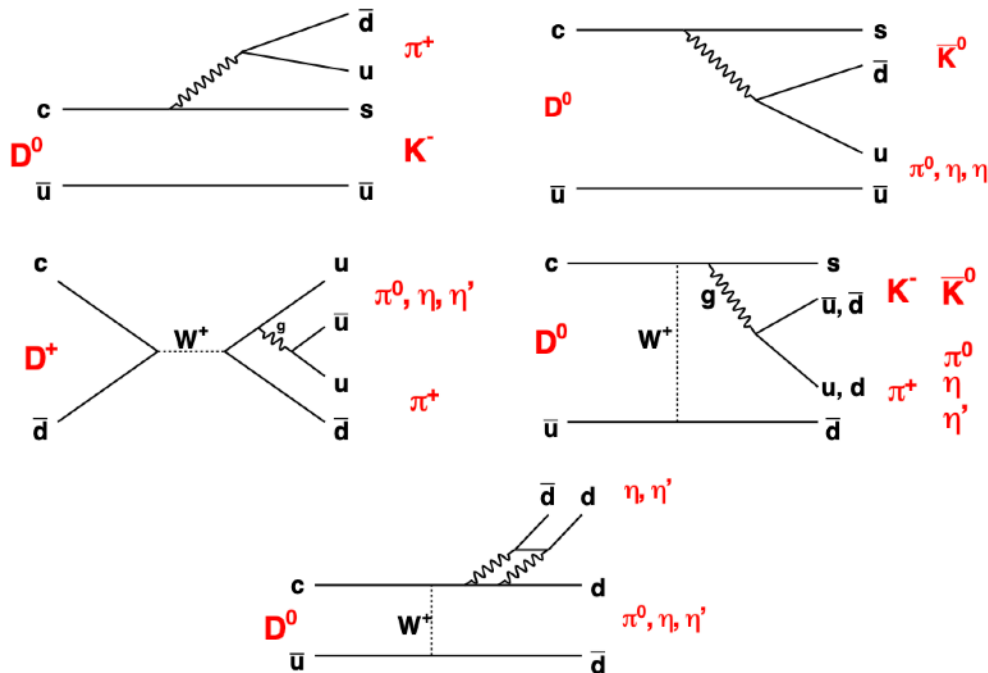
$$\begin{aligned} \langle 1 | 3_{(i)} | 3 \rangle &= G_{(i)}, & \langle 27 | \bar{15}_{(i)}^{(\alpha)} | 3 \rangle &= T_{(i)}^{(\alpha)}, \\ \langle 8 | 3_{(i)} | 3 \rangle &= F_{(i)}, & \langle 27 | \bar{24}_{(i)} | 3 \rangle &= H_{(i)}, \\ \langle 8 | 6_{(i)} | 3 \rangle &= S_{(i)}, & \langle 27 | \bar{42}_{(i)} | 3 \rangle &= J_{(i)}. \\ \langle 8 | \bar{15}_{(i)}^{(\alpha)} | 3 \rangle &= E_{(i)}^{(\alpha)}, \end{aligned}$$

- need to assume dominance of some MEs over others...

# Amplitudes: topological flavor flow

- Topological flavor-flow diagrams could be used to deal with hadronic uncertainties

B. Bhattacharya, A. Datta, AAP,  
J. Waite, 2107.13564  
Bhattacharya, Rosner, ...



T and C

A and E

SE

- Fit many decay modes, assume SM weak phase!

# Amplitudes: topological flavor flow

- Select a basis, expand decay amps (CF decays only), include  $\eta$  and  $\eta'$ 
  - assume mixing angle  $\theta = \arcsin(1/3)$ , but can do independent fit
  - there are **8** decays and **7** parameters:  $|\mathcal{A}_{D \rightarrow PP}| = \sqrt{\frac{8\pi\hbar m_D^2 \mathcal{B}_{D \rightarrow PP}}{\tau_D p^*}}$

Decay	Diagrammatic Amplitude
$D^0 \rightarrow K^- \pi^+$	$\frac{G_F}{\sqrt{2}} V_{ud} V_{cs}^* (T + E)$
$D^0 \rightarrow \bar{K}^0 \pi^0$	$\frac{G_F}{\sqrt{2}} V_{ud} V_{cs}^* \frac{1}{\sqrt{2}} (C - E)$
$D^0 \rightarrow \bar{K}^0 \eta$	$\frac{G_F}{\sqrt{2}} V_{ud} V_{cs}^* \frac{1}{\sqrt{3}} C$
$D^0 \rightarrow \bar{K}^0 \eta'$	$\frac{G_F}{\sqrt{2}} V_{ud} V_{cs}^* \left(-\frac{1}{\sqrt{6}}\right) (C + 3E)$
$D^+ \rightarrow \bar{K}^0 \pi^+$	$\frac{G_F}{\sqrt{2}} V_{ud} V_{cs}^* (C + T)$
$D_s^+ \rightarrow \bar{K}^0 K^+$	$\frac{G_F}{\sqrt{2}} V_{ud} V_{cs}^* (C + A)$
$D_s^+ \rightarrow \pi^+ \eta$	$\frac{G_F}{\sqrt{2}} V_{ud} V_{cs}^* \frac{1}{\sqrt{3}} (T - 2A)$
$D_s^+ \rightarrow \pi^+ \eta'$	$\frac{G_F}{\sqrt{2}} V_{ud} V_{cs}^* \frac{2}{\sqrt{6}} (T + A)$

- Fit to experimental data...

$$\chi_{\min}^2/\text{dof} = 1.36/1,$$

$$T = (0.366 \pm 0.003) \text{ GeV}^3,$$

$$C = (0.298 \pm 0.002) e^{i(-151.0 \pm 0.4)^\circ} \text{ GeV}^3,$$

$$E = (0.201 \pm 0.004) e^{i(119.3 \pm 0.8)^\circ} \text{ GeV}^3,$$

$$A = (0.04 \pm 0.01) e^{i(63 \pm 9)^\circ} \text{ GeV}^3.$$

- ... appears to be excellent! There are still issues for SCS decays...

# CP-asymmetry: topological flavor flow

- All SCS decays can be written in terms of the set of flavor flow diagrams
  - provided SU(3)-breaking is accounted for “phenomenologically”

Mode	Representation
$D^0 \pi^+ \pi^-$	$\lambda_d(0.96T + E_d) + \lambda_p(P_p + PE_p + PA_p)$
$\pi^0 \pi^0$	$\frac{1}{\sqrt{2}} \lambda_d(-0.78C + E_d) + \frac{1}{\sqrt{2}} \lambda_p(P_p + PE_p + PA_p)$
$\pi^0 \eta$	$-\lambda_d(E_d) \cos \phi - \frac{1}{\sqrt{2}} \lambda_s(1.28C) \sin \phi + \lambda_p(P_p + PE_p) \cos \phi$
$\pi^0 \eta'$	$-\lambda_d(E_d) \sin \phi + \frac{1}{\sqrt{2}} \lambda_s(1.28C) \cos \phi + \lambda_p(P_p + PE_p) \sin \phi$
$\eta \eta$	$\frac{1}{\sqrt{2}} \lambda_d(0.78C + E_d) \cos^2 \phi + \lambda_s(-\frac{1}{2}1.08C \sin 2\phi + \sqrt{2} E_s \sin^2 \phi) + \frac{1}{\sqrt{2}} \lambda_p(P_p + PE_p + PA_p) \cos^2 \phi$
$\eta \eta'$	$\frac{1}{2} \lambda_d(0.78C + E_d) \sin 2\phi + \lambda_s(\frac{1}{\sqrt{2}}1.08C \cos 2\phi - E_s \sin 2\phi) + \frac{1}{2} \lambda_p(P_p + PE_p + PA_p) \sin 2\phi$
$K^+ K^-$	$\lambda_s(1.27T + E_s) + \lambda_p(P_p + PE_p + PA_p)$
$K^0 \bar{K}^0$	$\lambda_d(E_d) + \lambda_s(E_s) + 2\lambda_p(PA_p)$
$D^+ \pi^+ \pi^0$	$\frac{1}{\sqrt{2}} \lambda_d(0.97T + 0.78C)$
$\pi^+ \eta$	$\frac{1}{\sqrt{2}} \lambda_d(0.82T + 0.93C + 1.19A) \cos \phi - \lambda_s(1.28C) \sin \phi + \sqrt{2} \lambda_p(P_p + PE_p) \cos \phi$
$\pi^+ \eta'$	$\frac{1}{\sqrt{2}} \lambda_d(0.82T + 0.93C + 1.61A) \sin \phi + \lambda_s(1.28C) \cos \phi + \sqrt{2} \lambda_p(P_p + PE_p) \sin \phi$
$K^+ \bar{K}^0$	$\lambda_d(0.85A) + \lambda_s(1.28T) + \lambda_p(P_p + PE_p)$
$D_s^+ \pi^+ K^0$	$\lambda_d(1.00T) + \lambda_s(0.84A) + \lambda_p(P_p + PE_p)$
$\pi^0 K^+$	$\frac{1}{\sqrt{2}} [-\lambda_d(0.81C) + \lambda_s(0.84A) + \lambda_p(P_p + PE_p)]$
$K^+ \eta$	$\frac{1}{\sqrt{2}} \lambda_p[0.92C\delta_{pd} + 1.14A\delta_{ps} + P_p + PE_p] \cos \phi - \lambda_p[(1.31T + 1.27C + 1.14A)\delta_{ps} + P_p + PE_p] \sin \phi$
$K^+ \eta'$	$\frac{1}{\sqrt{2}} \lambda_p[0.92C\delta_{pd} + 1.14A\delta_{ps} + P_p + PE_p] \sin \phi + \lambda_p[(1.31T + 1.27C + 1.14A)\delta_{ps} + P_p + PE_p] \cos \phi$

H.-Y. Cheng, C.W. Chiang  
Phys.Rev.D 100 (2019) 9, 093002



# CP-asymmetry: topological flavor flow

- Fits to experimental data in SCS and CF results in

Decay Mode	$\mathcal{B}_{\text{SU}(3)}$	$\mathcal{B}_{\text{SU}(3)\text{-breaking}}$	$\mathcal{B}_{\text{expt}}$
$D^0 \rightarrow \pi^+\pi^-$	$2.28 \pm 0.02$	$1.47 \pm 0.02$	$1.455 \pm 0.024$
$D^0 \rightarrow \pi^0\pi^0$	$1.50 \pm 0.03$	$0.82 \pm 0.02$	$0.826 \pm 0.025$
$D^0 \rightarrow \pi^0\eta$	$0.83 \pm 0.02$	$0.92 \pm 0.02$	$0.63 \pm 0.06$
$D^0 \rightarrow \pi^0\eta'$	$0.75 \pm 0.02$	$1.36 \pm 0.03$	$0.92 \pm 0.10$
$D^0 \rightarrow \eta\eta$	$1.52 \pm 0.03$	$1.82 \pm 0.04$	$2.11 \pm 0.19$
	$1.52 \pm 0.03$	$2.11 \pm 0.04$	
$D^0 \rightarrow \eta\eta'$	$1.28 \pm 0.05$	$0.69 \pm 0.03$	$1.01 \pm 0.19$
	$1.28 \pm 0.05$	$1.63 \pm 0.08$	
$D^0 \rightarrow K^+K^-$	$1.91 \pm 0.02$	$4.03 \pm 0.03$	$4.08 \pm 0.06$
	$1.91 \pm 0.02$	$4.05 \pm 0.05$	
$D^0 \rightarrow K_S K_S$	0	$0.141 \pm 0.007$	$0.141 \pm 0.005$
	0	$0.141 \pm 0.007$	
$D^+ \rightarrow \pi^+\pi^0$	$0.89 \pm 0.02$	$0.93 \pm 0.02$	$1.247 \pm 0.033$
$D^+ \rightarrow \pi^+\eta$	$1.90 \pm 0.16$	$4.08 \pm 0.16$	$3.77 \pm 0.09$
$D^+ \rightarrow \pi^+\eta'$	$4.21 \pm 0.12$	$4.69 \pm 0.08$	$4.97 \pm 0.19$
$D^+ \rightarrow K^+K_S$	$2.29 \pm 0.09$	$4.25 \pm 0.10$	$3.04 \pm 0.09$
$D_s^+ \rightarrow \pi^+K_S$	$1.20 \pm 0.04$	$1.27 \pm 0.04$	$1.22 \pm 0.06$
$D_s^+ \rightarrow \pi^0K^+$	$0.86 \pm 0.04$	$0.56 \pm 0.02$	$0.63 \pm 0.21$
$D_s^+ \rightarrow K^+\eta$	$0.91 \pm 0.03$	$0.86 \pm 0.03$	$1.77 \pm 0.35$
$D_s^+ \rightarrow K^+\eta'$	$1.23 \pm 0.06$	$1.49 \pm 0.08$	$1.8 \pm 0.6$

H.-Y. Cheng, C.W. Chiang  
 Phys.Rev.D 100 (2019) 9, 093002  
 but see also:  
 B. Bhattacharya, A. Datta, AAP,  
 J. Waite, JHEP 10 (2021) 024

- Individual asymmetries:

$$a_{CP}^{\text{dir}}(\pi^+\pi^-) = (0.80 \pm 0.22) \times 10^{-3},$$

$$a_{CP}^{\text{dir}}(K^+K^-) = \begin{cases} (-0.33 \pm 0.14) \times 10^{-3} & \text{Solution I,} \\ (-0.44 \pm 0.12) \times 10^{-3} & \text{Solution II.} \end{cases}$$

Consistent with experiment?

- Asymmetry differences

$$\Delta a_{CP}^{\text{dir}} = \begin{cases} (-1.14 \pm 0.26) \times 10^{-3} & \text{Solution I,} \\ (-1.25 \pm 0.25) \times 10^{-3} & \text{Solution II.} \end{cases}$$

Consistent with Standard Model?

- Some of the results can be obtained using U-spin analysis
  - note: while the analysis could be simpler, U-spin-breaking effects are expected to be as large as in general SU(3) analysis (minus E/M effects)

$$A(D^0 \rightarrow \pi^+ \pi^-) = -\lambda_{sd} \left( t_0 + s_1 + \frac{1}{2} t_2 \right) - \lambda_b \left( p_0 - \frac{1}{2} p_1 \right) \\ - \lambda_{sd} t_0 \left( 1 + \tilde{s}_1 + \frac{1}{2} \tilde{t}_2 \right) - \lambda_b \left( \tilde{p}_0 - \frac{1}{2} \tilde{p}_1 \right)$$

Schacht  
JHEP03(2023)205

$$A(D^0 \rightarrow K^+ K^-) = \lambda_{sd} \left( t_0 - s_1 + \frac{1}{2} t_2 \right) - \lambda_b \left( p_0 + \frac{1}{2} p_1 \right) \\ \lambda_{sd} t_0 \left( 1 - \tilde{s}_1 + \frac{1}{2} \tilde{t}_2 \right) - \lambda_b \left( \tilde{p}_0 + \frac{1}{2} \tilde{p}_1 \right)$$

... and similarly for other D-decays, with  $\lambda_{sd} = (\lambda_s - \lambda_d)/2$ , including subleading  $\Delta U = 1$  contributions

- fitting to several branching ratios and  $A_{CP}$  for  $\pi^+ \pi^-$  and  $K^+ K^-$  ...

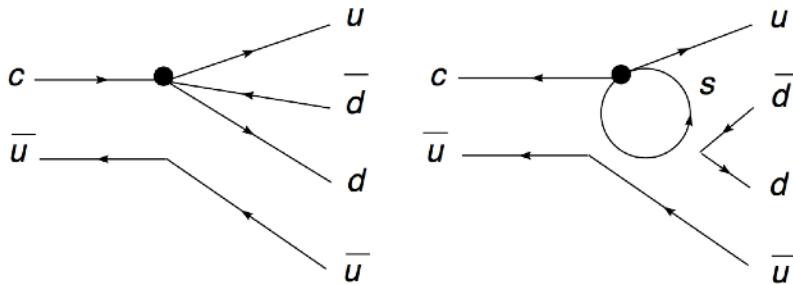
$$\frac{|\tilde{p}_1|}{2|\tilde{p}_0|} = 173_{-74}^{+85}\%$$

U-spin anomaly?

## ★ These asymmetries are notoriously difficult to compute

### ★ In the Standard Model

- need to estimate size of penguin/penguin contractions vs. tree



### - unknown penguin contributions

- SU(3) analysis: some ME are enhanced?

Golden & Grinstein PLB 222 (1989) 501; Pirtshalava & Uttayarat 1112.5451

- could expect large  $1/m_c$  corrections (E/PE/PA/...)

Isidori et al PLB 711 (2012) 46; Brod et al 1111.5000

- flavor-flow diagrams

Brod et al 1203.6659; Bhattacharya et al PRD 85 (2012) 054014;  
Cheng & Chiang 1205.0580; 1909.03063; Gronau, Rosner

### ★ General comments on SU(3)/flavor flow — type analyses

- fit both SM and (possible) NP parts of the amplitudes: can one claim SM-only?
- many parameters: can one claim  $O(10^{-4})$  precision if rates are known to  $O(10^{-2})$ ?

### ★ Need direct calculations of amplitudes/CPV-asymmetries

- QCD sum rule calculations of  $\Delta a_{CP}$

Khodjamirian, AAP

- SU(3) breaking analyses of  $D \rightarrow PV, VV$

- constant (but slow) lattice QCD progress in  $D \rightarrow \pi\pi, \pi\pi\pi$

Hansen, Sharpe

- Recipe for calculation of CPV asymmetry

- prepare decay amplitudes (and using  $\lambda_d = -(\lambda_s + \lambda_b)$ )

$$A(D^0 \rightarrow \pi^- \pi^+) = \lambda_d \langle \pi^- \pi^+ | \mathcal{O}^d | D^0 \rangle + \lambda_s \langle \pi^- \pi^+ | \mathcal{O}^s | D^0 \rangle$$

$$A(D^0 \rightarrow K^- K^+) = \lambda_s \langle K^- K^+ | \mathcal{O}^s | D^0 \rangle + \lambda_d \langle K^- K^+ | \mathcal{O}^d | D^0 \rangle$$

- add and subtract  $\lambda_b \langle \pi^- \pi^+ | \mathcal{O}^s | D^0 \rangle$ , put in a new form

$$A(D^0 \rightarrow \pi^- \pi^+) = -\lambda_s \mathcal{A}_{\pi\pi} \left[ 1 + \frac{\lambda_b}{\lambda_s} (1 + r_\pi \exp(i\delta_\pi)) \right]$$

$$A(D^0 \rightarrow K^- K^+) = \lambda_s \mathcal{A}_{KK} \left[ 1 - \frac{\lambda_b}{\lambda_s} r_K \exp(i\delta_K) \right]$$

- define things we cannot compute (extract from branching ratios)

$$\mathcal{A}_{\pi\pi} = \langle \pi^- \pi^+ | \mathcal{O}^d | D^0 \rangle - \langle \pi^- \pi^+ | \mathcal{O}^s | D^0 \rangle$$

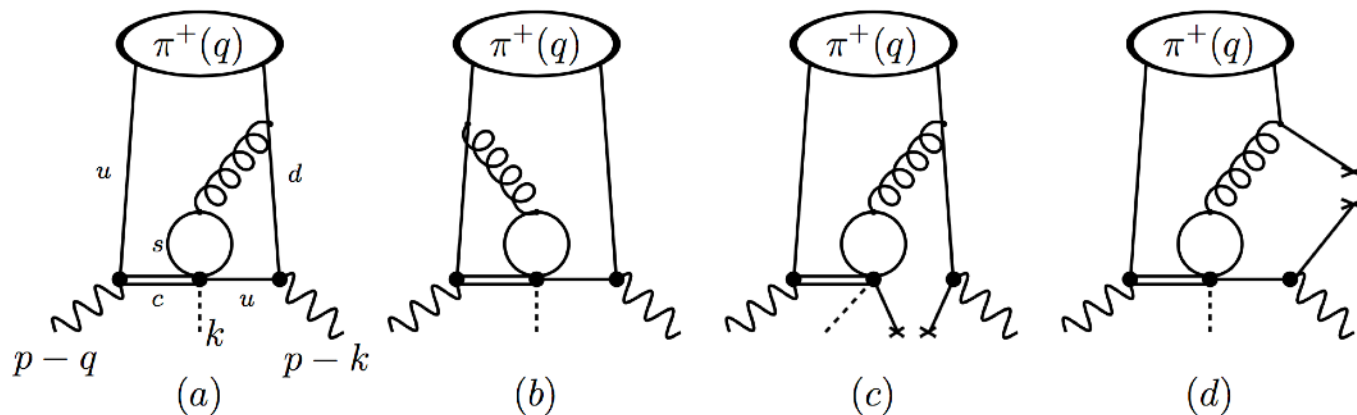
$$\mathcal{A}_{KK} = \langle K^- K^+ | \mathcal{O}^s | D^0 \rangle - \langle K^- K^+ | \mathcal{O}^d | D^0 \rangle$$

- ... and things we can  $\mathcal{P}_{\pi\pi}^s = \langle \pi^- \pi^+ | \mathcal{O}^s | D^0 \rangle$ ,  $\mathcal{P}_{KK}^d = \langle K^- K^+ | \mathcal{O}^d | D^0 \rangle$

$$r_\pi = \left| \frac{\mathcal{P}_{\pi\pi}^s}{\mathcal{A}_{\pi\pi}} \right|, \quad r_K = \left| \frac{\mathcal{P}_{KK}^d}{\mathcal{A}_{KK}} \right|$$

# dCPV: calculating matrix elements

- Evaluate (leading) diagrams contributing to the correlation function
  - calculate OPE in terms of known LC DAs Khodjamirian, AAP: PLB774 (2017) 235



- extract  $\mathcal{A}_{\pi\pi}$  and  $\mathcal{A}_{KK}$  amplitudes from measured branch. fractions

$$|\mathcal{A}_{\pi\pi}| \simeq \lambda_s^{-1} |A(D \rightarrow \pi^- \pi^+)| = (2.10 \pm 0.02) \times 10^{-6} \text{ GeV},$$

$$|\mathcal{A}_{KK}| \simeq \lambda_s^{-1} |A(D \rightarrow K^- K^+)| = (3.80 \pm 0.03) \times 10^{-6} \text{ GeV}.$$



- As a result...  $\langle \pi^+ \pi^- | \tilde{Q}_2^s | D^0 \rangle = (9.50 \pm 1.13) \times 10^{-3} \exp[i(-97.5^\circ \pm 11.6)] \text{ GeV}^3$   
 $\langle K^+ K^- | \tilde{Q}_2^d | D^0 \rangle = (13.9 \pm 2.70) \times 10^{-3} \exp[i(-71.6^\circ \pm 29.5)] \text{ GeV}^3$

- Thus,  $r_\pi = \frac{|\mathcal{P}_{\pi\pi}^s|}{|\mathcal{A}_{\pi\pi}|} = 0.093 \pm 0.011$ ,  $r_K = \frac{|\mathcal{P}_{KK}^d|}{|\mathcal{A}_{KK}|} = 0.075 \pm 0.015$

and with  $\Delta a_{CP}^{dir} = -2r_b \sin \gamma (r_K \sin \delta_K + r_\pi \sin \delta_\pi)$

- Phases of  $r_{\pi\pi(KK)}$  are given by the phases of  $\mathcal{P}_{\pi\pi(KK)}^{s(d)}$  ?

No:

$$\begin{aligned} |a_{CP}^{dir}(\pi^- \pi^+)| &< 0.012 \pm 0.001\%, \\ |a_{CP}^{dir}(K^- K^+)| &< 0.009 \pm 0.002\%, \\ |\Delta a_{CP}^{dir}| &< 0.020 \pm 0.003\%. \end{aligned}$$

Yes:

$$\begin{aligned} a_{CP}^{dir}(\pi^- \pi^+) &= -0.011 \pm 0.001\%, \\ a_{CP}^{dir}(K^- K^+) &= 0.009 \pm 0.002\%, \\ \Delta a_{CP}^{dir} &= 0.020 \pm 0.003\%. \end{aligned}$$

Khodjamirian, AAP: PLB774 (2017) 235

- ... seems to be too small to explain the experimental results?

- Experimental results

- Result 1: an observation of CP-violation in the difference...

$$\Delta a_{CP}^{dir} = a_{CP}(K^- K^+) - a_{CP}(\pi^- \pi^+) = (-15.4 \pm 2.9) \times 10^{-4}$$

LHCb 2019

- Result 2: the individual CPV asymmetry in  $D^0 \rightarrow K^+ K^-$  channel

$$a_{CP}(K^- K^+) = (7.7 \pm 5.7) \times 10^{-4}$$

LHCb 2022  
2209.03179v2

- Result 3: LHCb combined the above results to obtain the CPV asymmetry in  $D^0 \rightarrow \pi^+ \pi^-$  channel

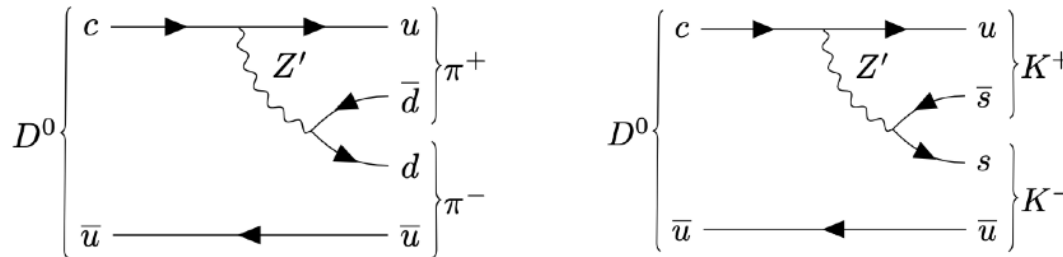
$$a_{CP}(\pi^- \pi^+) = (23.2 \pm 6.1) \times 10^{-4}$$

LHCb 2022  
2209.03179v2

- Unaccounted for hadronic effects? New Physics? Experiment?

### 3. Possible New Physics?

- Can New Physics explain CPV data in  $\pi\pi$  and  $KK$ ?
  - note: large  $\Delta U = 1$  contributions: any New Physics operators contributing to  $\Delta U = 1$  contributions? Yes, in models with generation-dependent couplings.



#### Two is better than one: The $U$ -spin-CP anomaly in charm

Rigo Bause,<sup>1,\*</sup> Hector Gisbert,<sup>1,†</sup> Gudrun Hiller,<sup>1,‡</sup> Tim Höhne,<sup>1,§</sup> Daniel F. Litim,<sup>2,¶</sup> and Tom Steudtner<sup>1,\*\*</sup>

<sup>1</sup>*TU Dortmund University, Department of Physics, Otto-Hahn-Str.4, D-44221 Dortmund, Germany*

<sup>2</sup>*Department of Physics and Astronomy, University of Sussex, Brighton, BN1 9QH, U.K.*

- Computation of charm decay amplitudes and  $A_{CP}$  is a difficult task
  - no obvious model-independent/perturbative technique
  - $SU(3)$ /flavor flow fits need theory input/better exp data
- Computation of charm mixing amplitudes is a difficult task
  - no dominant heavy dof, as in beauty decays
  - light dofs give no contribution in the flavor  $SU(3)$  limit
  - “hadronic” techniques need to sum over large number of intermediate states, AND cannot use current experimental data on D-decays
  - “hadronic” techniques currently neglect some sources of  $SU(3)$  breaking
- Philosophy: does exclusive approach to mixing constitute a prediction?

“Charm physics”

*Eur. Phys. J. ST* 233 (2024) 2, 439-456

➤ More philosophy: CP-violation in  $D \rightarrow \pi\pi/KK$

Theory ✗  
Experiment ✗

Not a very interesting case...

Theory ✓  
Experiment ✗

SM wins again!

Theory ✗  
Experiment ✓

SM wins again?

Theory ✓  
Experiment ✓

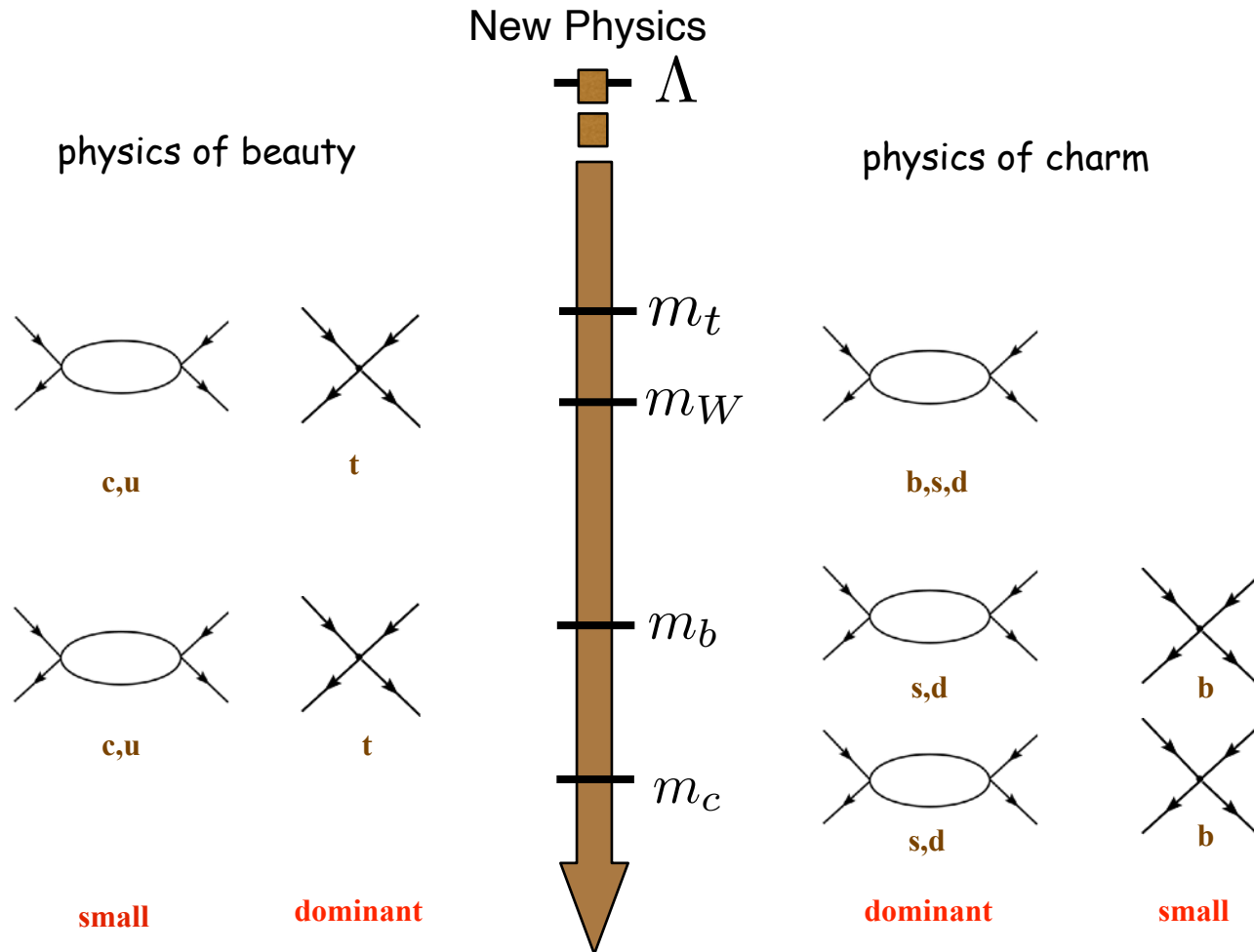
New Physics!

Chala, Lenz, Rusov, Scholtz: JHEP 1907 (2019) 161  
Lenz, Piscoppo, Rusov: JHEP 03 (2024) 151





- ★ Main goal of the exercise: understand physics at the most fundamental scale
- ★ It is important to understand relevant energy scales for the problem at hand



- ★ How can one tell that a process is dominated by long-distance or short-distance?
- ★ To start, mass and lifetime differences of mass eigenstates...

$$x_D = \frac{M_2 - M_1}{\Gamma_D}, \quad y_D = \frac{\Gamma_2 - \Gamma_1}{2\Gamma_D}$$

- ★ ...can be calculated as real and imaginary parts of a correlation function

$$y_D = \frac{1}{2M_D\Gamma_D} \text{Im} \langle \bar{D}^0 | i \int d^4x T \left\{ \mathcal{H}_w^{|\Delta C|=1}(x) \mathcal{H}_w^{|\Delta C|=1}(0) \right\} | D^0 \rangle$$

bi-local time-ordered product

$$x_D = \frac{1}{2M_D\Gamma_D} \text{Re} \left[ 2 \langle \bar{D}^0 | H^{|\Delta C|=2} | D^0 \rangle + \langle \bar{D}^0 | i \int d^4x T \left\{ \mathcal{H}_w^{|\Delta C|=1}(x) \mathcal{H}_w^{|\Delta C|=1}(0) \right\} | D^0 \rangle \right]$$

local operator  
(b-quark, NP): small?

bi-local time-ordered product

- ★ ... or can be written in terms of hadronic degrees of freedom...

$$y = \frac{1}{2\Gamma} \sum_n \rho_n \left[ \langle D^0 | H_W^{\Delta C=1} | n \rangle \langle n | H_W^{\Delta C=1} | \bar{D}^0 \rangle + \langle \bar{D}^0 | H_W^{\Delta C=1} | n \rangle \langle n | H_W^{\Delta C=1} | D^0 \rangle \right]$$

# Inclusive approach to mixing: quark-hadron duality

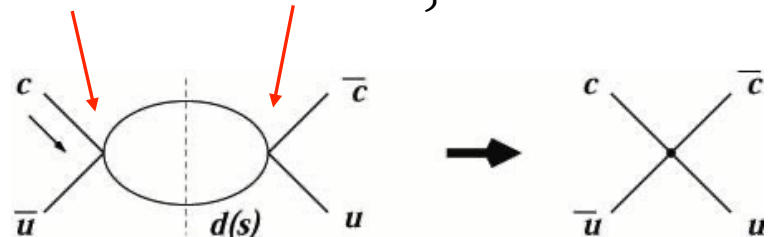
★ How can one tell that a process is dominated by long-distance or short-distance?

$$y = \frac{1}{2\Gamma} \sum_n \rho_n \left[ \langle D^0 | H_W^{\Delta C=1} | n \rangle \langle n | H_W^{\Delta C=1} | \bar{D}^0 \rangle + \langle \bar{D}^0 | H_W^{\Delta C=1} | n \rangle \langle n | H_W^{\Delta C=1} | D^0 \rangle \right]$$

★ It is important to remember that the expansion parameter is  $1/E_{\text{released}}$

$$y_D = \frac{1}{2M_D \Gamma_D} \text{Im} \langle \bar{D}^0 | i \int d^4x T \left\{ \mathcal{H}_w^{|\Delta C|=1}(x) \mathcal{H}_w^{|\Delta C|=1}(0) \right\} | D^0 \rangle$$

OPE-leading contribution:



★ In the heavy-quark limit  $m_c \rightarrow \infty$  we have  $m_c \gg \sum m_{\text{intermediate quarks}}$ , so  $E_{\text{released}} \sim m_c$

- the situation is similar to B-physics, where it is "short-distance" dominated
- one can consistently compute pQCD and  $1/m$  corrections

★ But wait,  $m_c$  is NOT infinitely large! What happens for finite  $m_c$ ???

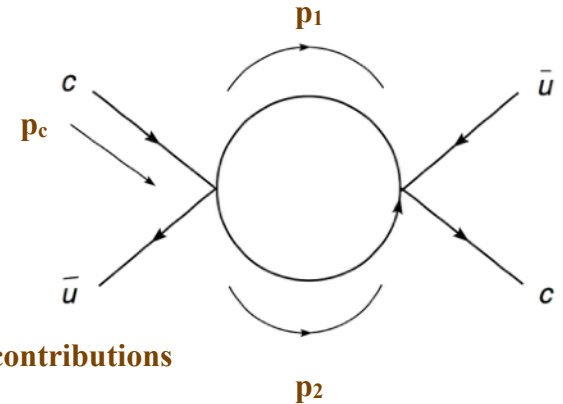
- how is large momentum routed in the diagrams?
- are there important hadronization (threshold) effects?

# Inclusive approach to mixing: quark-hadron duality

★ How can one tell that a process is dominated by long-distance or short-distance?

★ Let's look at how the momentum is routed in a leading-order diagram

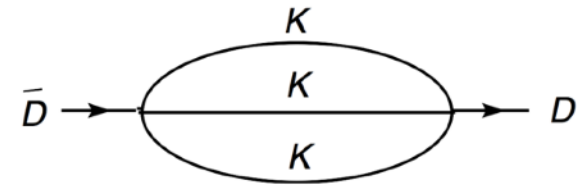
- injected momentum is  $p_c \sim m_c$
- thus,  $p_1 \sim p_2 \sim m_c/2 \sim O(\Lambda_{\text{QCD}})$ ?



Still OK with OPE, signals large nonperturbative contributions

★ For a particular example of the lifetime difference, have hadronic intermediate states

- let's use an example of KKK intermediate state
- in this example,  $E_{\text{released}} \sim m_D - 3 m_K \sim O(\Lambda_{\text{QCD}})$



★ Similar threshold effects exist in B-mixing calculations

- but  $m_b \gg \sum m_{\text{intermediate quarks}}$ , so  $E_{\text{released}} \sim m_b$  (almost) always
- quark-hadron duality takes care of the rest!

Let's saturate correlators by hadronic states

# Exclusive approach to mixing: use data?

★ LD calculation: saturate the correlator by hadronic states, e.g.

$$y = \frac{1}{2\Gamma} \sum_n \rho_n \left[ \langle D^0 | H_W^{\Delta C=1} | n \rangle \langle n | H_W^{\Delta C=1} | \bar{D}^0 \rangle + \langle \bar{D}^0 | H_W^{\Delta C=1} | n \rangle \langle n | H_W^{\Delta C=1} | D^0 \rangle \right]$$

... with  $n$  being all states to which  $D^0$  and  $\bar{D}^0$  can decay. Consider  $\pi\pi, \pi K, KK$  intermediate states as an example...

$$y_2 = Br(D^0 \rightarrow K^+ K^-) + Br(D^0 \rightarrow \pi^+ \pi^-) \\ \ominus 2 \cos \delta \sqrt{Br(D^0 \rightarrow K^+ \pi^-) Br(D^0 \rightarrow \pi^+ K^-)}$$

J. Donoghue et. al.  
L. Wolfenstein  
P. Colangelo et. al.

H.Y. Cheng and C. Chiang

cancellation  
expected

If every Br is known up to  $O(1\%)$   $\Rightarrow$  the result is expected to be  $O(1\%)!$

The result here is a series of large numbers with alternating signs, SU(3) forces 0

If experimental data on Br is used, are we only sensitive to exit. uncertainties?

★ Need to “repackage” the analysis: look at complete multiplet contribution

$$y = \sum_{F_R} y_{F,R} Br(D^0 \rightarrow F_R) = \sum_{F_R} y_{F,R} \frac{1}{\Gamma} \sum_{n \in F_R} \Gamma(D^0 \rightarrow n)$$

Falk, Grossman, Ligeti, Nir. A.A.P.  
Phys.Rev. D69, 114021, 2004  
Falk, Grossman, Ligeti, and A.A.P.  
Phys.Rev. D65, 054034, 2002



# Exclusive approach to mixing: use data!

★ What if we insist on using experimental data anyway?

★ Ex., one can employ Factorization-Assisted Topological Amplitudes

in units of  $10^{-3}$

Modes	$\mathcal{B}(\text{exp})$	$\mathcal{B}(\text{FAT})$	Modes	$\mathcal{B}(\text{exp})$	$\mathcal{B}(\text{FAT})$	Modes	$\mathcal{B}(\text{exp})$	$\mathcal{B}(\text{FAT})$
$\pi^0 \bar{K}^0$	$24.0 \pm 0.8$	$24.2 \pm 0.8$	$\pi^0 \bar{K}^{*0}$	$37.5 \pm 2.9$	$35.9 \pm 2.2$	$\bar{K}^0 \rho^0$	$12.8^{+1.4}_{-1.6}$	$13.5 \pm 1.4$
$\pi^+ K^-$	$39.3 \pm 0.4$	$39.2 \pm 0.4$	$\pi^+ K^{*-}$	$54.3 \pm 4.4$	$62.5 \pm 2.7$	$K^- \rho^+$	$111.0 \pm 9.0$	$105.0 \pm 5.2$
$\eta \bar{K}^0$	$9.70 \pm 0.6$	$9.6 \pm 0.6$	$\eta \bar{K}^{*0}$	$9.6 \pm 3.0$	$6.1 \pm 1.0$	$\bar{K}^0 \omega$	$22.2 \pm 1.2$	$22.3 \pm 1.1$
$\eta' \bar{K}^0$	$19.0 \pm 1.0$	$19.5 \pm 1.0$	$\eta' \bar{K}^{*0}$	$< 1.10$	$0.19 \pm 0.01$	$\bar{K}^0 \phi$	$8.47^{+0.66}_{-0.34}$	$8.2 \pm 0.6$
$\pi^+ \pi^-$	$1.421 \pm 0.025$	$1.44 \pm 0.02$	$\pi^+ \rho^-$	$5.09 \pm 0.34$	$4.5 \pm 0.2$	$\pi^- \rho^+$	$10.0 \pm 0.6$	$9.2 \pm 0.3$
$K^+ K^-$	$4.01 \pm 0.07$	$4.05 \pm 0.07$	$K^+ K^{*-}$	$1.62 \pm 0.15$	$1.8 \pm 0.1$	$K^- K^{*+}$	$4.50 \pm 0.30$	$4.3 \pm 0.2$
$K^0 \bar{K}^0$	$0.36 \pm 0.08$	$0.29 \pm 0.07$	$K^0 \bar{K}^{*0}$	$0.18 \pm 0.04$	$0.19 \pm 0.03$	$\bar{K}^0 K^{*0}$	$0.21 \pm 0.04$	$0.19 \pm 0.03$
$\pi^0 \eta$	$0.69 \pm 0.07$	$0.74 \pm 0.03$	$\eta \rho^0$		$1.4 \pm 0.2$	$\pi^0 \omega$	$0.117 \pm 0.035$	$0.10 \pm 0.03$
$\pi^0 \eta'$	$0.91 \pm 0.14$	$1.08 \pm 0.05$	$\eta' \rho^0$		$0.25 \pm 0.01$	$\pi^0 \phi$	$1.35 \pm 0.10$	$1.4 \pm 0.1$
$\eta \eta$	$1.70 \pm 0.20$	$1.86 \pm 0.06$	$\eta \omega$	$2.21 \pm 0.23$	$2.0 \pm 0.1$	$\eta \phi$	$0.14 \pm 0.05$	$0.18 \pm 0.04$
$\eta \eta'$	$1.07 \pm 0.26$	$1.05 \pm 0.08$	$\eta' \omega$		$0.044 \pm 0.004$			
$\pi^0 \pi^0$	$0.826 \pm 0.035$	$0.78 \pm 0.03$	$\pi^0 \rho^0$	$3.82 \pm 0.29$	$4.1 \pm 0.2$			
$\pi^0 K^0$		$0.069 \pm 0.002$	$\pi^0 K^{*0}$		$0.103 \pm 0.006$	$K^0 \rho^0$		$0.039 \pm 0.004$
$\pi^- K^+$	$0.133 \pm 0.009$	$0.133 \pm 0.001$	$\pi^- K^{*+}$	$0.345^{+0.180}_{-0.102}$	$0.40 \pm 0.02$	$K^+ \rho^-$		$0.144 \pm 0.009$
$\eta K^0$		$0.027 \pm 0.002$	$\eta K^{*0}$		$0.017 \pm 0.003$	$K^0 \omega$		$0.064 \pm 0.003$
$\eta' K^0$		$0.056 \pm 0.003$	$\eta' K^{*0}$		$0.00055 \pm 0.00004$	$K^0 \phi$		$0.024 \pm 0.002$

Jiang, Yu, Qin, Li, and Lu, 2017

★ ... but it appears to yield a smaller result,  $y_{PP+PV} = (0.21 \pm 0.07)\%$ ,

# Hadronic techniques in charm mixing

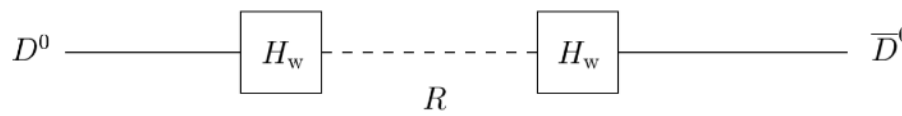
★ Exclusive approach to  $D^0 - \bar{D}^0$  mixing: use data!

★ Possible additional contributions?

- each intermediate state has a finite width, i.e. is **not a proper asymptotic state**
- within each multiplet widths experience (incomplete) SU(3) cancelations
- this effect already happens for the simplest intermediate states!

★ Consider, for illustration, a set of single-particle intermediate states:

$$-\Sigma_{p_D}(p_D) \Big|_{\text{tot}}^{\text{res}} = \frac{1}{2m_D} \sum_R \text{Re} \frac{\langle D_L | \mathcal{H}_W | R \rangle \langle R | \mathcal{H}_W^\dagger | D_L \rangle}{m_D^2 - m_R^2 + i\Gamma_R m_D} \quad - \quad (D_L \rightarrow D_S)$$



$$\Delta m_D \Big|_R^{\text{res}} \propto \frac{m_D^2 - m_R^2}{(m_D^2 - m_R^2)^2 + \Gamma_R^2 m_D^2}$$

$$\Delta \Gamma_D \Big|_R^{\text{res}} \propto -\frac{\Gamma_R m_D}{(m_D^2 - m_R^2)^2 + \Gamma_R^2 m_D^2}$$

★ Each resonance contributes to  $\Delta\Gamma$  only because of its finite width!

# Finite width effects: one-body contributions

## ★ Multiplet effects for (single-particle) intermediate states

- in this simple example: heavy pion, kaon and eta/eta'
- each single-particle intermediate state has a rather large width

$$\Delta\Gamma_D|_{\text{octet}}^{\text{res}} = \Delta\Gamma_D^{(K_H)} - \frac{1}{4}\Delta\Gamma_D^{(\pi_H)} - \frac{3\cos^2\theta_H}{4}\Delta\Gamma_D^{(\eta_H)} - \frac{1\sin^2\theta_H}{4}\Delta\Gamma_D^{(\eta'_H)}$$

- where for each state  $\Delta\Gamma_D^{\text{res}} = -C f_R^2 \frac{\mu_R \gamma_R}{(1 - \mu_R)^2 + \gamma_R^2}$  with  $\mu_R = m_R^2/m_D^2$   
 $\gamma_R = \Gamma_R/m_D$

- ... and a model calculation gives  $C \equiv 2m_D(G_F a_2 f_D \xi_d/\sqrt{2})^2$ ;
- SU(3) forces cancellations: a new SU(3) breaking effect due to widths!

Table: Magnitudes of Pseudoscalar Resonance Contributions.

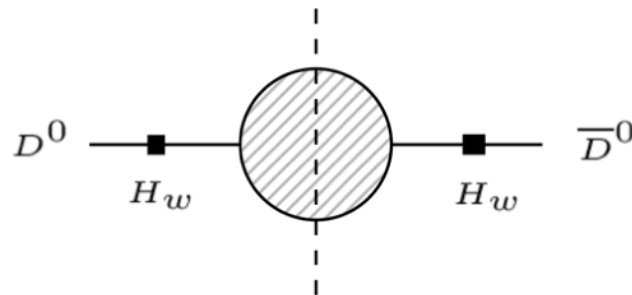
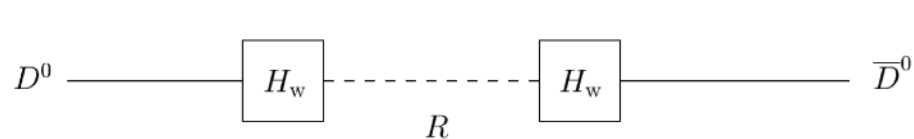
Resonance	$ \Delta m_D  \times 10^{-16}$ (GeV)	$ \Delta\Gamma_D  \times 10^{-16}$ (GeV)
$K(1460)$	$\sim 1.24 (f_{K(1460)}/0.025)^2$	$\sim 0.88 (f_{K(1460)}/0.025)^2$
$\eta(1760)$	$(0.77 \pm 0.27) (f_{\eta(1760)}/0.01)^2$	$(0.43 \pm 0.53) (f_{\eta(1760)}/0.01)^2$
$\pi(1800)$	$(0.13 \pm 0.06) (f_{\pi(1800)}/0.01)^2$	$(0.41 \pm 0.11) (f_{\pi(1800)}/0.01)^2$
$K(1830)$	$\sim 0.29 (f_{K(1830)}/0.01)^2$	$\sim 1.86 (f_{K(1830)}/0.01)^2$

E. Golowich and A.A.P.  
PLB427 (1998) 172-178

# Finite width effects: one-body contributions

## ★ Let us take another look at those one-body contributions

- the width of each excited light quark state  $\Gamma_R = \Gamma(R \rightarrow P_1 P_2) + \Gamma(R \rightarrow P_1 P_2 P_3) + \dots$
- ... which is equivalent to accounting for resonant FSI in 2-body intermediate state!



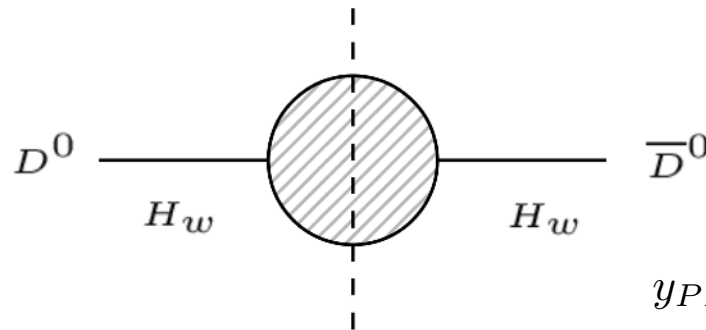
Since we shall be using experimental data to compute 2-body contributions, this effect will be taken into account automatically!

It's consistent to omit 1-body IntSt if experimental data is used

# Finite width effects: two-body contributions

## ★ Let us apply similar logic to two-body contributions

- consider contributions from the stable (wrt strong interactions) octet of pions, kaons, etas



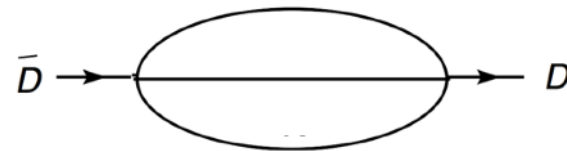
$$y_{PP} = (0.1 - 20) \times 10^{-4}$$

Falls short of the experimentally observed value of  $y$

## ★ What about other two body contributions (PV, SP, SS, etc.)?

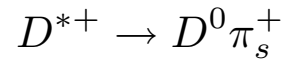
- can use similar techniques to evaluate contribution to mixing as above 2BIS...
- ... but  $V, P', S$  states are not good asymptotic states!
- we get new  $SU(3)$ -breaking contribution from the widths of those states!

Since we are to use experimental data, use Dalitz plot analyses to get at these contributions



A.A.P. arXiv:even.tually [hep-ph]

- ★ Since we are comparing rates for  $D^0$  and anti- $D^0$ : need to tag the flavor at production



"D\*-trick" -- tag the charge of the slow pion  
(or muon for D's produced in B-decays)

- ★ The difference  $\Delta a_{CP}$  is also preferable experimentally, as

$$a_f^{\text{raw}} = a_f^{CP} + a_f^{\text{detect, D}} + a_D^{\text{detect, } \pi_s} + a_{D^*}^{\text{prod}}$$

↑
↑
↑
↑

physics
detection asymmetry of  $D^0$ 
detection asymmetry of soft pion
production asymmetry of  $D^{*+}$

- ★  $D^*$  production asymmetry and soft pion asymmetries are the same for KK and  $\pi\pi$  final states-- they cancel in  $\Delta a_{CP}$ !

- ★ Integrate over time,

$$a_{CP, f} = \int_0^\infty a_{CP}(f; t) D(t) dt = a_f^d + \frac{\langle t \rangle}{\tau} a_f^{\text{ind}}$$

↑  
distribution of proper decay time

- ★ Viola! Report observation!



- Use modified light-cone QCD Sum Rule (LCSR) method
  - start with the correlation function ( $j_5^{(D)} = im_c \bar{c} \gamma_5 u$  and  $j_{\alpha 5}^{(\pi)} = \bar{d} \gamma_\alpha \gamma_5 u$ )

$$F_\alpha(p, q, k) = i^2 \int d^4x e^{-i(p-q)x} \int d^4y e^{i(p-k)y} \langle 0 | T \left\{ j_{\alpha 5}^{(\pi)}(y) \mathcal{Q}_1^s(0) j_5^{(D)}(x) \right\} | \pi^+(q) \rangle$$

$$= (p-k)_\alpha F((p-k)^2, (p-q)^2, P^2) + \dots,$$

- use dispersion relation in  $(p-k)$  and  $(p-q)$ , perform Borel transform, extract matrix element:
 

Khodjamirian, Mannel, Melic, PLB571 (2003) 75

$$\langle \pi^-(-q) \pi^+(p) | \mathcal{Q}_1^s | D^0(p-q) \rangle = \frac{-i}{\pi^2 f_\pi f_D m_D^2} \int_0^{s_0^\pi} ds e^{-s/M_1^2} \int_{m_c^2}^{s_0^D} ds' e^{(m_D^2 - s')/M_2^2} \text{Im}_{s'} \text{Im}_s F(s, s', m_D^2)$$

- perform LC expansion of  $F(s, s', m_D^2)$  to get  $\mathcal{P}_{\pi\pi}^s$
- note that  $C_1 \mathcal{Q}_1^s + C_2 \mathcal{Q}_2^s = 2C_1 \tilde{\mathcal{Q}}_2^s + \left(\frac{C_1}{3} + C_2\right) \mathcal{Q}_2^s$  with  $\tilde{\mathcal{Q}}_2^s = \left(\bar{s} \Gamma_\mu \frac{\lambda^a}{2} s\right) \left(\bar{u} \Gamma^\mu \frac{\lambda^a}{2} c\right)$

$$\text{thus } \mathcal{P}_{\pi\pi}^s = \frac{2G_F}{\sqrt{2}} C_1 \langle \pi^+ \pi^- | \tilde{\mathcal{Q}}_2^s | D^0 \rangle$$

# Error budget: parameter uncertainties

Parameter values and references	Parameter rescaled to $\mu = 1.5$ GeV
$\alpha_s(m_Z) = 0.1181 \pm 0.0011$ [6]	0.351
$\bar{m}_c(\bar{m}_c) = 1.27 \pm 0.03$ GeV [6]	1.19 GeV
$\bar{m}_s(2 \text{ GeV}) = 96_{-4}^{+8}$ MeV [6]	105 MeV
$\langle \bar{q}q \rangle(2 \text{ GeV}) = (-276_{-10}^{+12} \text{ MeV})^3$ [6]	$(-268 \text{ MeV})^3$
$\langle \bar{s}s \rangle = (0.8 \pm 0.3) \langle \bar{q}q \rangle$ [21]	$(-249 \text{ MeV})^3$
$a_2^\pi(1 \text{ GeV}) = 0.17 \pm 0.08$ [22]	0.14
$a_4^\pi(1 \text{ GeV}) = 0.06 \pm 0.10$ [22]	0.045
$\mu_\pi(2 \text{ GeV}) = 2.48 \pm 0.30$ GeV [6]	2.26 GeV
$f_{3\pi}(1 \text{ GeV}) = 0.0045 \pm 0.015$ GeV <sup>2</sup> [19]	0.0036 GeV <sup>2</sup>
$\omega_{3\pi}(1 \text{ GeV}) = -1.5 \pm 0.7$ [19]	-1.1
$a_1^K(1 \text{ GeV}) = 0.10 \pm 0.04$ [23]	0.09
$a_2^K(1 \text{ GeV}) = 0.25 \pm 0.15$ [19]	0.21
$\mu_K(2 \text{ GeV}) = 2.47_{-0.10}^{+0.19}$ GeV [6]	2.25
$f_{3K} = f_{3\pi}$	0.0036 GeV <sup>2</sup>
$\omega_{3K}(1 \text{ GeV}) = -1.2 \pm 0.7$ [19]	-0.99
$\lambda_{3K}(1 \text{ GeV}) = 1.6 \pm 0.4$ [19]	1.5