

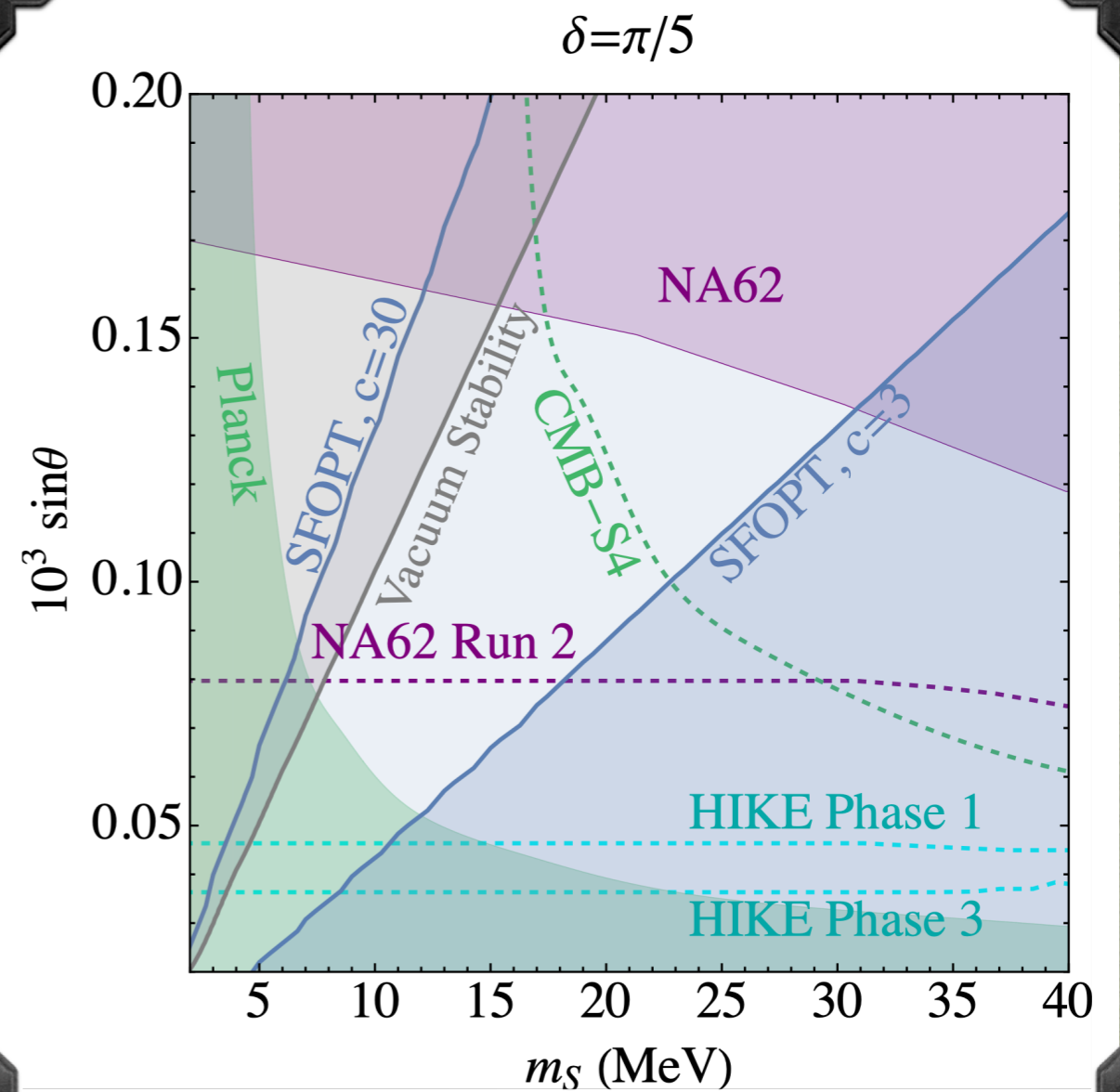
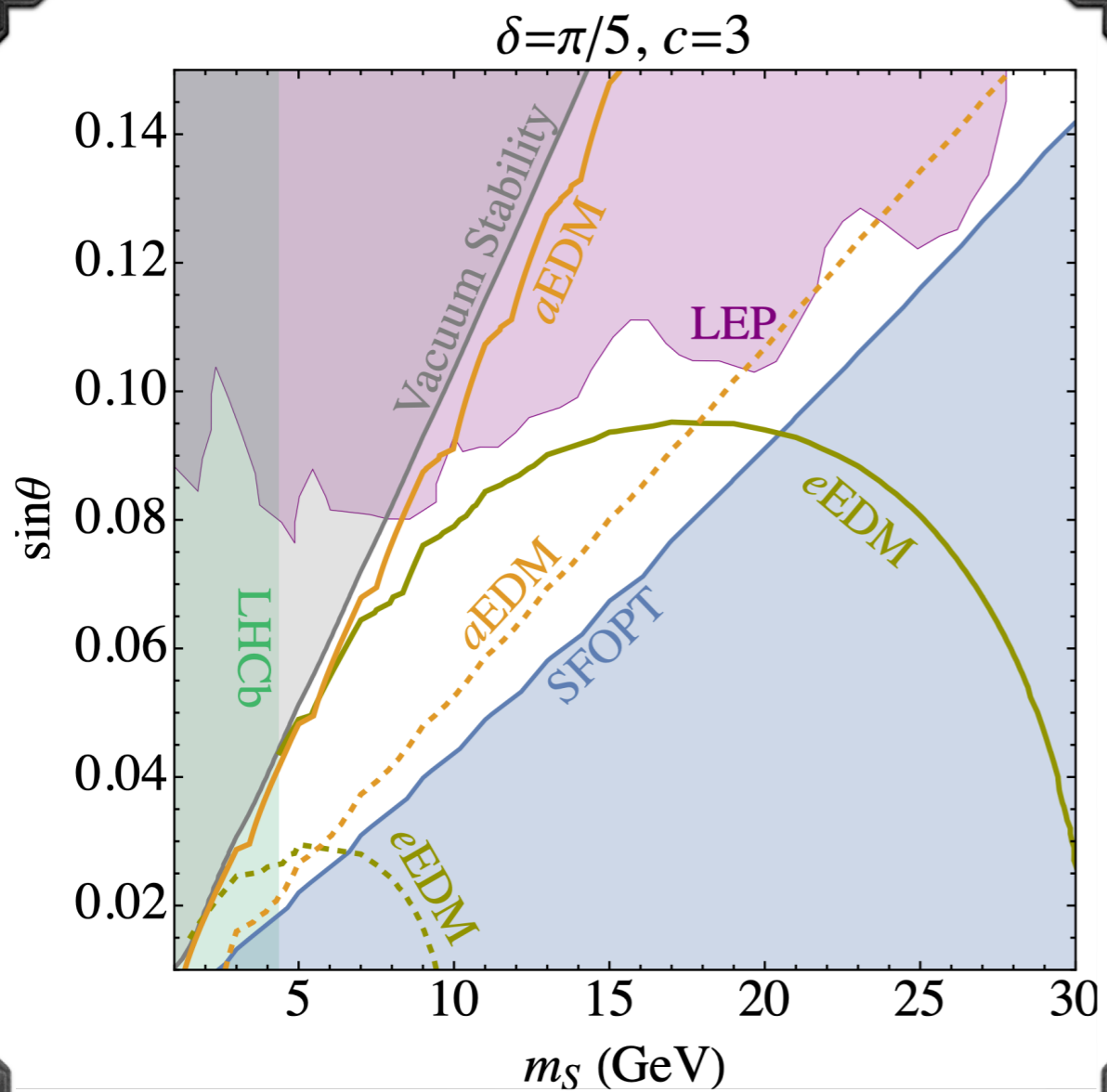
ALP-Assisted Electroweak Phase Transition

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Fermilab

In collaboration with: Keisuke Harigaya

arXiv: 2309.00587



A Quick View

Probing ALP in various experiments

Table of Contents

- Introduction: challenges of electroweak baryogenesis
- Axion-like particle assisting EWPT
- Experimental probes: EDM, beam-dump, collider

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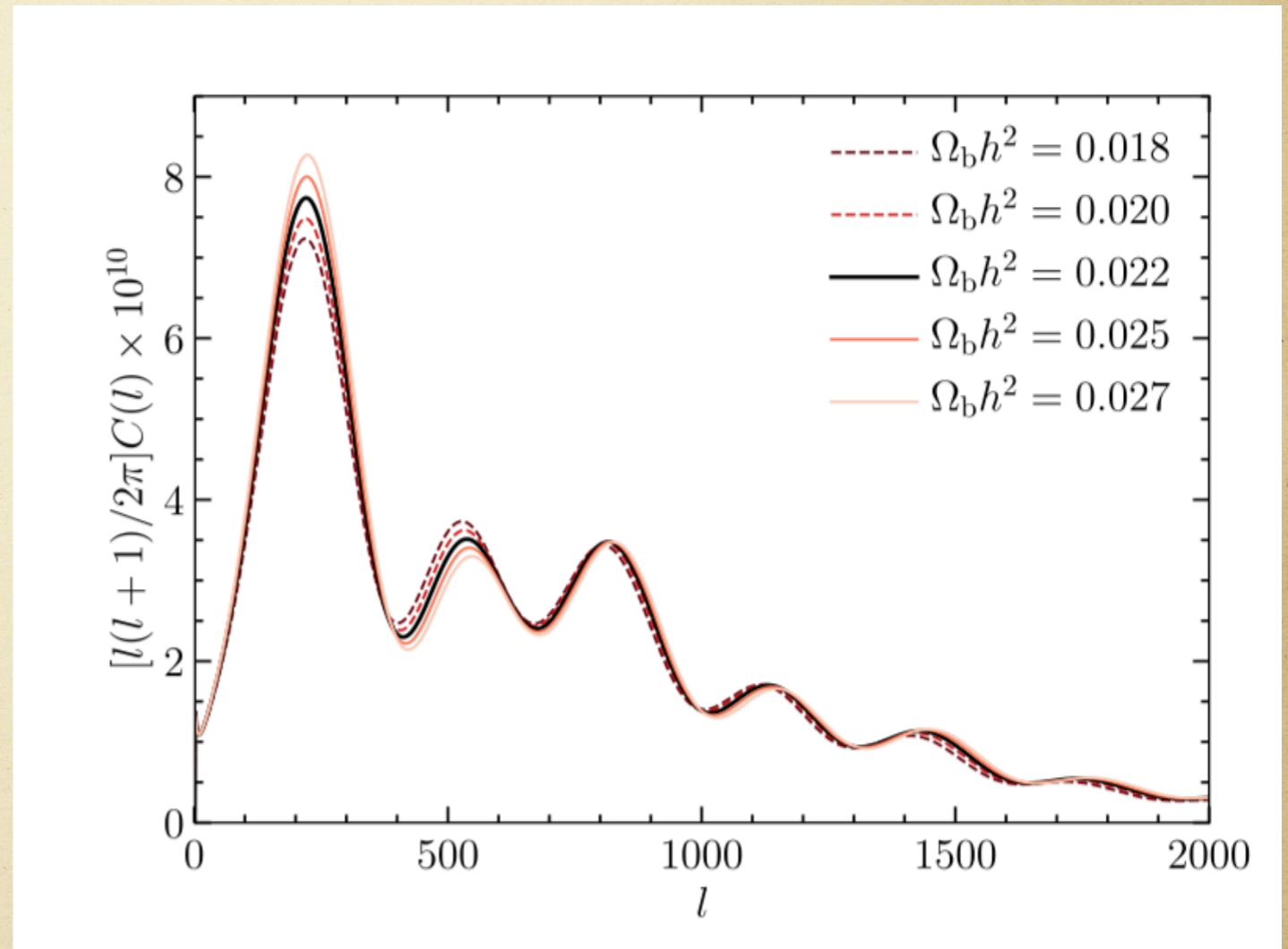
- Introduction: challenges of electroweak baryogenesis
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Baryogenesis problem

➤ Matter > antimatter

$$\frac{n_B}{s} \simeq 9 * 10^{-11}$$

➤ Why?



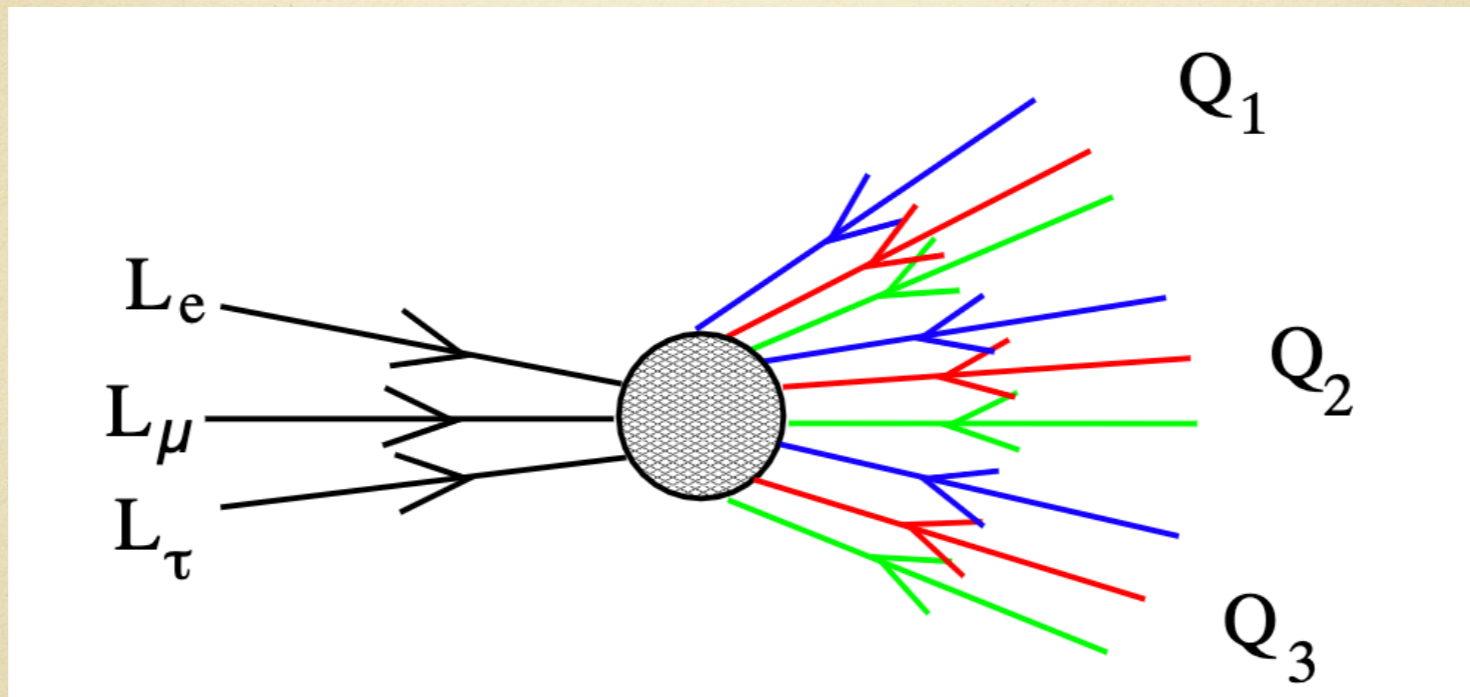
Planck 2018b

Necessary condition for baryogenesis

- B violation: sphaleron process
- C and CP violation: model-dependent
- Out of equilibrium: ?

Electroweak phase transition?

Sphaleron



➤ B and L number are **anomalous!**

$$\text{➤ } \partial_\mu J_\mu^B = \partial_\mu J_\mu^L = \frac{3g^2}{32\pi^2} W\tilde{W}$$

➤ $B - L$ is **conserved**.

[G. 't Hooft, Phys. Rev. Lett. 37, 8 (1976)]

Electroweak phase transition

➤ Higgs potential

➤ $T = 0: V = -\frac{1}{2}\mu^2 h^2 + \frac{1}{4}\lambda h^4,$
symmetry breaking

➤ $T > 0$: finite temperature correction.

➤ Very high T : “symmetry restoration”

➤ T_c : $h = 0$ and $h = v$ degenerate,
“critical temperature”

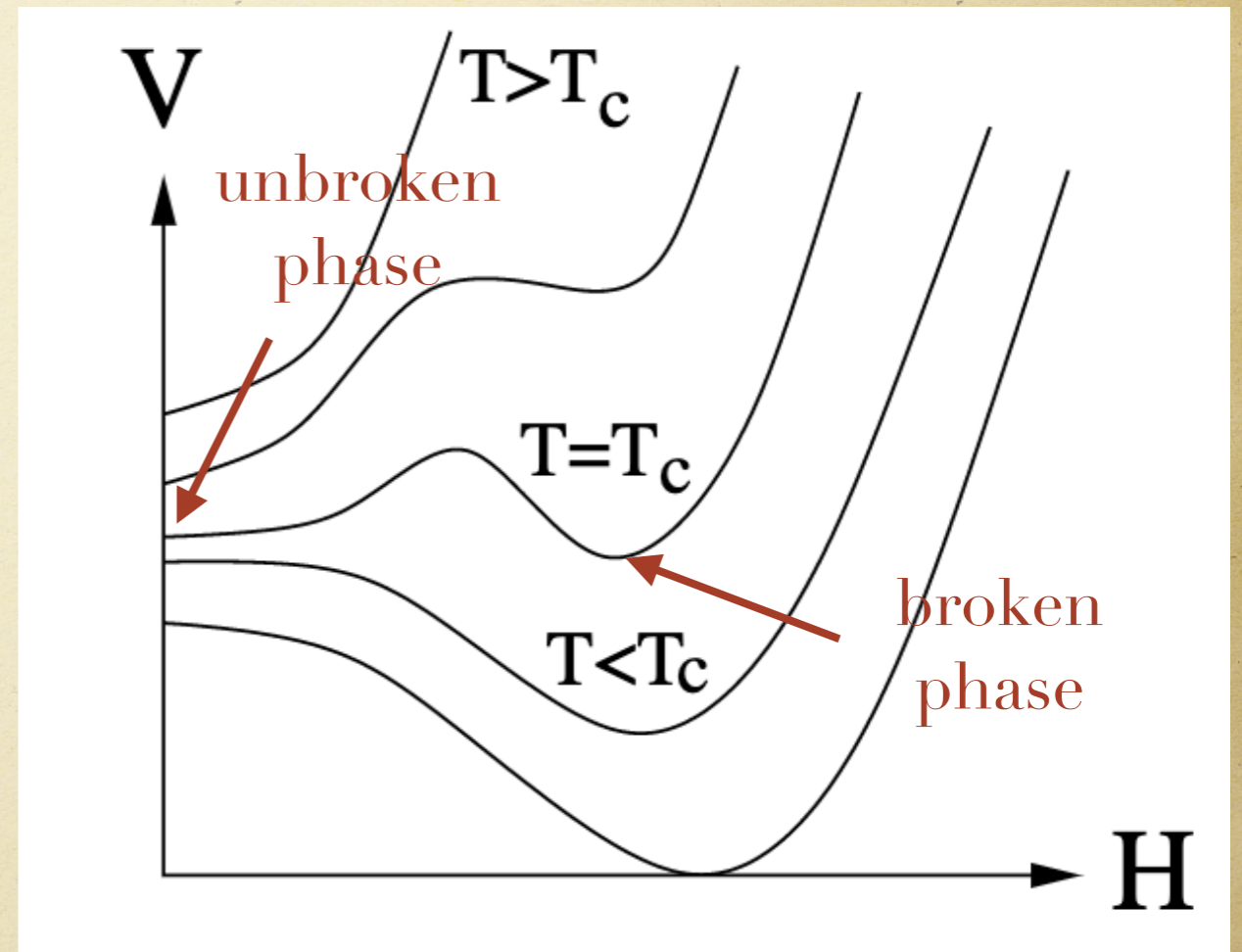
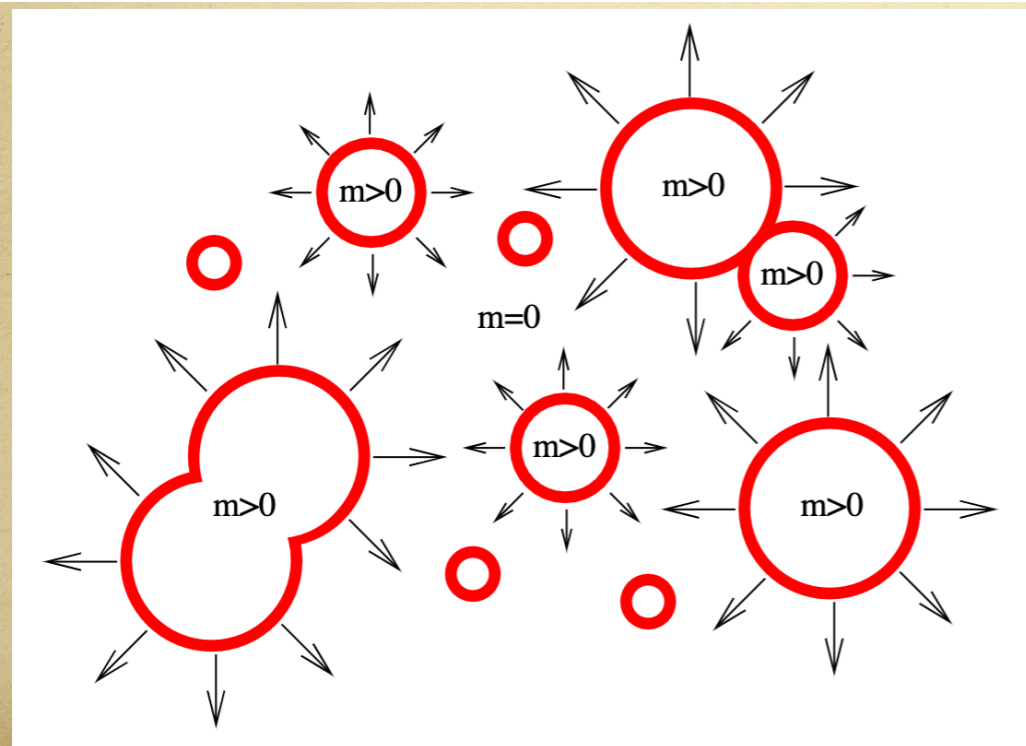
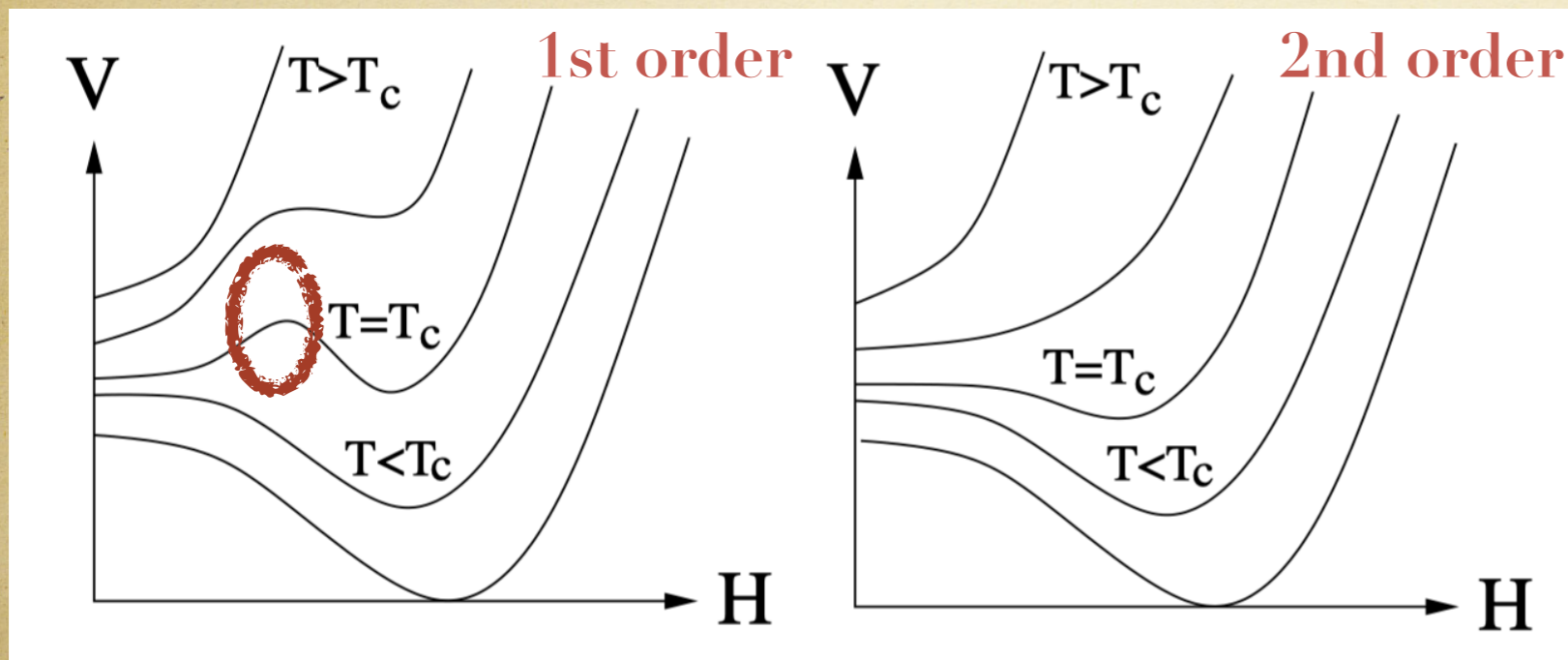


Figure from: J Cline: hep-ph/0609145

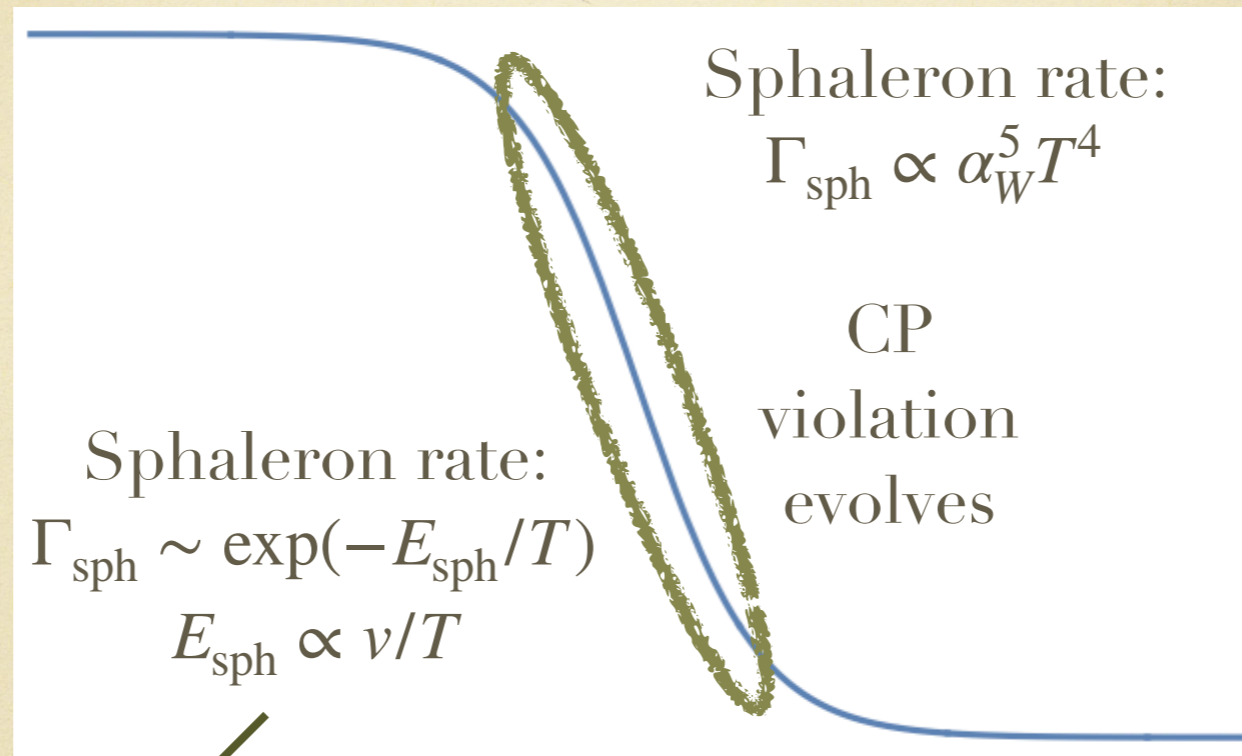
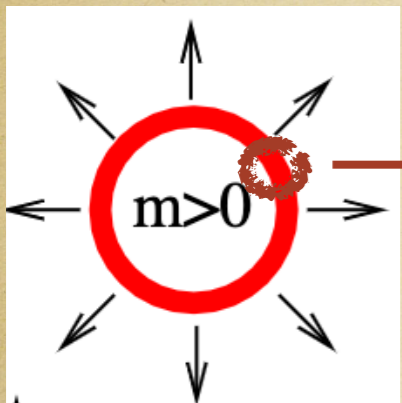
1st order vs 2nd order



➤ 1st: well-defined barrier, bubble nucleation

➤ 2nd: smooth crossover

Electroweak baryogenesis



Should turn-off:

Typically $\frac{v_n}{T_n} \simeq \frac{v_c}{T_c}$

$\frac{v_n}{T_n} \geq 1$ (strongly 1st-order)

T_n : nucleation

[V. A. Kuzmin, V. A. Rubakov and M. E. Shaposhnikov, Phys. Lett. B 155, 36 (1985)]

[M. E. Shaposhnikov, JETP Lett. 44, 465 (1986), Nucl. Phys. B 287, 757 (1987)]

Phase transition strength

➤ Finite-T: thermal correction to the effective potential V .

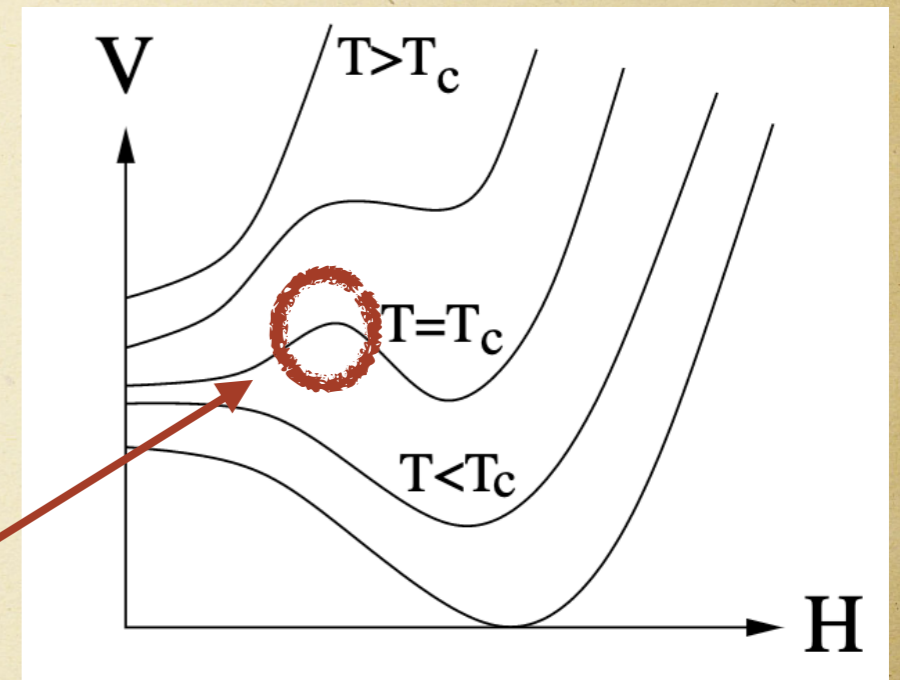
➤ Boson contribution:

$$\frac{T^4}{2\pi^2} n_B \left(\frac{\pi^2}{12} \left(\frac{m}{T} \right)^2 - \frac{\pi}{6} \left(\frac{m}{T} \right)^3 + \dots \right), \quad n_B: \text{d.o.f.}$$

➤ Fermion contribution: $\frac{T^4}{2\pi^2} n_F \left(\frac{\pi^2}{24} \left(\frac{m}{T} \right)^2 + \dots \right)$,
 n_F : d.o.f.

➤ Total: $V = DT^2 h^2 - \frac{1}{2} \mu^2 h^2 - ETh^3 + \frac{1}{4} \lambda h^4$,
 cubic from bosons

➤ $\frac{v(T_c)}{T_c} = \frac{2E}{\lambda}$ (strongly 1st order)



Only for intuition.
 Needs full form to compute!

Challenges on EWBG

- Is EWPT strongly 1st order? How to assist?
- CP-violating effect: EDM constraint!

Challenge 1: PT strength

Lattice result: EWPT crossover for $m_H \gtrsim 70$ GeV.

How to enhance?

$$\frac{v}{T} \approx \frac{2E}{\lambda}$$

General way: introduce singlet $S(1,1,0)$.

Self interaction: $\mathcal{L} \supset \frac{1}{2}\mu_S^2 S^2 + \frac{1}{4}\lambda_S S^4$

$$m_H \approx \sqrt{2\lambda}v$$

Z_2 symmetric: $S^2 H^2$, **enhance E from thermal effect.**

Z_2 breaking: additional S^3 , SHH , etc. **Enhance from tree-level.**

[K. Jansen, hep-lat/9509018], [K. Kajantie et al, hep-lat/9510020]

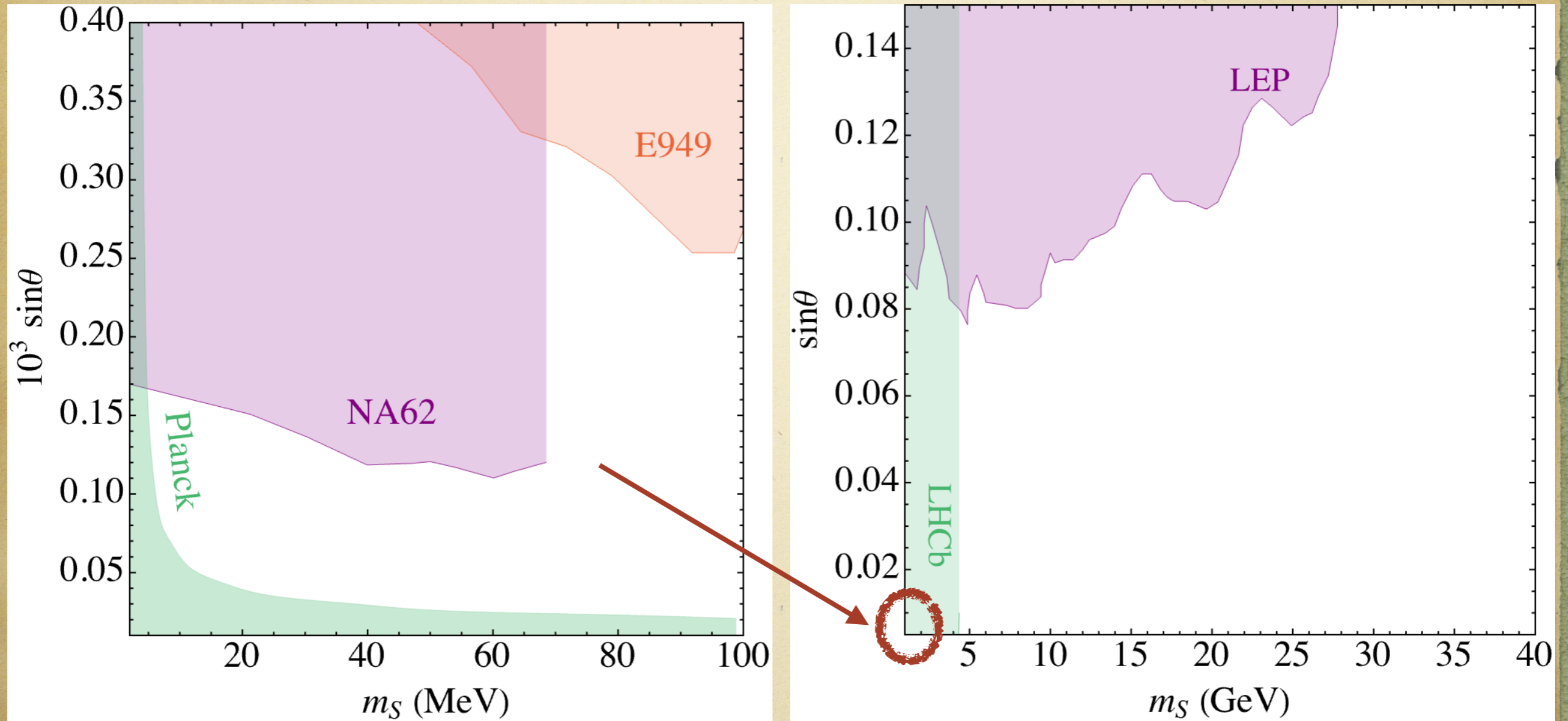
[K. Rummukainen, hep-lat/9608079], [K. Kajantie et al, hep-ph/9605288.]

[M. Gurtler et al, hep-lat/9704013], [F. Csikor et al, hep-ph/9809291]

[M. Laine and K. Rummukainen, hep-ph/9804255, hep-lat/9804019]

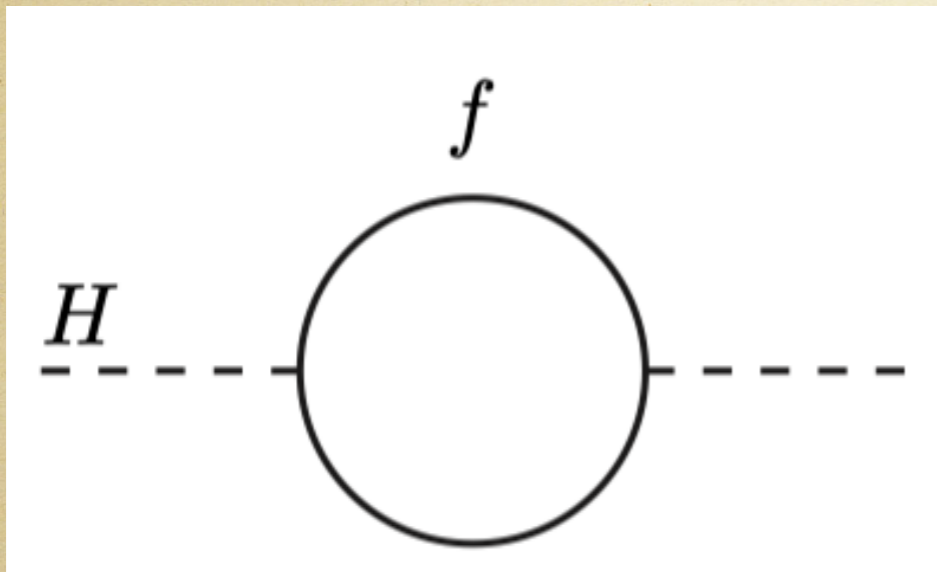
[K. Rummukainen et al, hep-lat/9805013], [Z.Fodor, hep-lat/9909162]

Intensive scalar search



Stringent bound

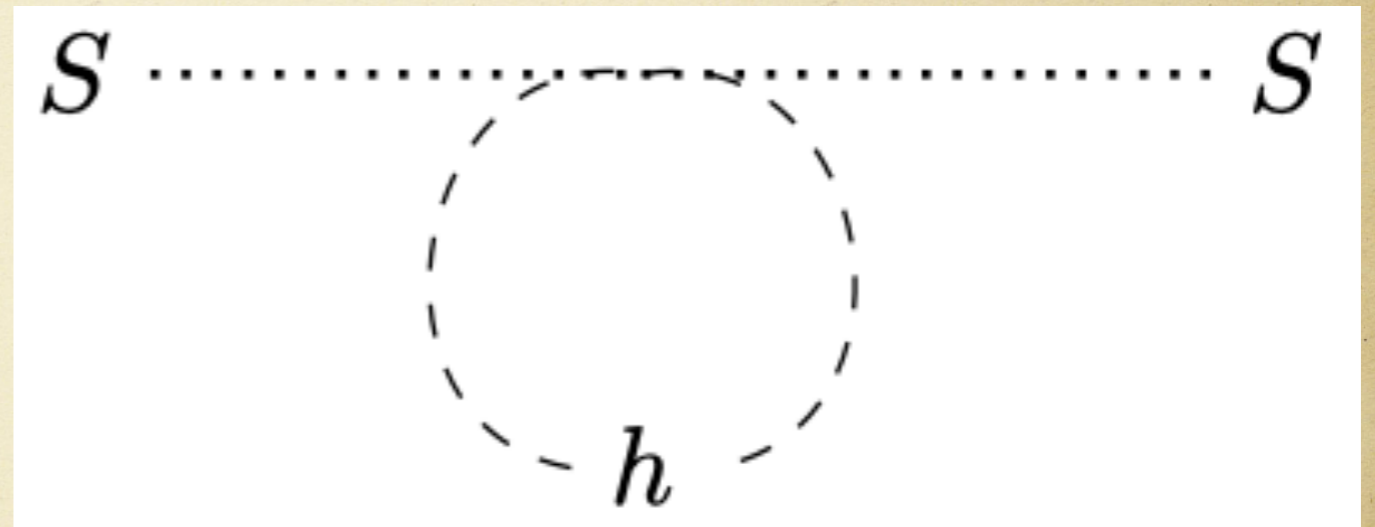
Extra hierarchy problem



Higgs mass correction

$$\delta m_H^2 = -\frac{y_f^2}{8\pi^2} \Lambda_{\text{UV}}^2$$

Huge quantum corrections!



Scalar mass correction:

$$\text{Leading: } \frac{1}{4} \lambda h^2 S^2$$

$$\delta m_S^2 = \frac{\lambda_{hs}}{16\pi^2} \Lambda_{\text{UV}}^2$$

Extra hierarchy problems

Challenge 2: EDM

CP-violating required!

In general

$$d_f \simeq \left(\frac{m_f}{M^2} \right) \frac{\alpha_f}{4\pi} \sin \delta_{CP} e \text{ cm}$$

α_f : coupling that produces EDM for fermion f

[D. Morrissey, M. Ramsey-Musolf, 1206.2942]

Example: local EWBG

$$O = \frac{\alpha_2}{M^2} H^2 W \tilde{W} = \frac{H^2}{M^2} \dot{n}_B \equiv \theta \dot{n}_B, \text{ CP violating.}$$

Integral by part:

$$\partial_\mu J_\mu^B = \partial_\mu J_\mu^L = \frac{3g^2}{32\pi^2} W \tilde{W}$$

$$O = \dot{\theta} n_B$$

This induces a minimum value: $n_{B0} = \dot{\theta} T^2$

$$\dot{n}_B = -3\Gamma_{\text{sph}}(n_B - \dot{\theta} T^2).$$

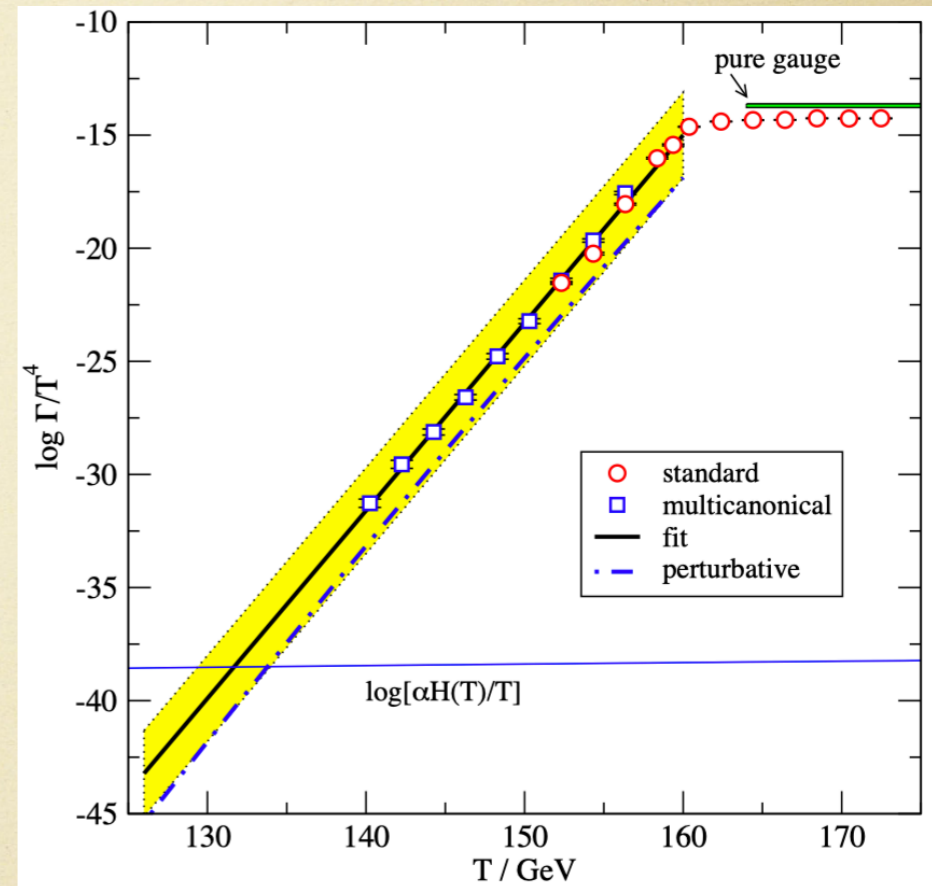
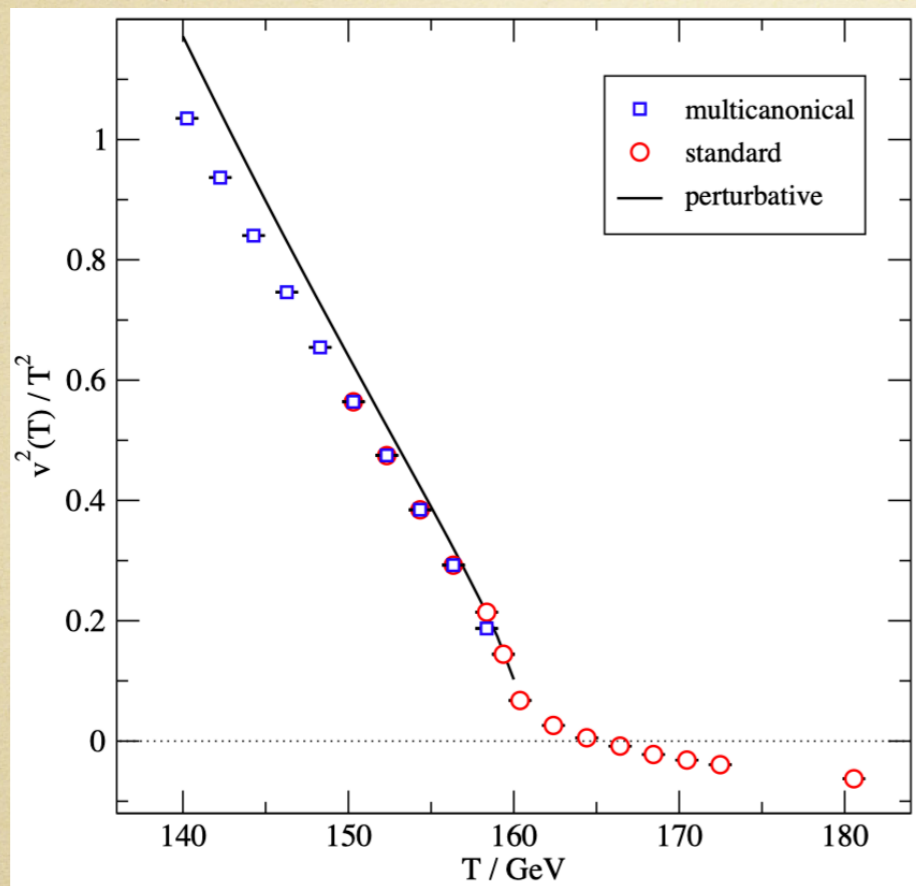
Start from $n_B = 0$, difficult to achieve n_{B0} , ignore it.

$$n_B = 3\Gamma_{\text{sph}} T^2 \Delta\theta.$$

[Dine, et al, 1991]

[Dine, hep-ph/9206220]

Example: local EWBG



$$n_B = 3\Gamma_{\text{sph}} T^2 \Delta\theta.$$

$\Delta\theta$: field value shift $\delta h^2/M^2$ during PT
before sphaleron rate starts to drop

$$\delta h \simeq 0.3T_c$$

Small δh

Small n_B

Require small M

EDM!

[M. D'Onofrio et al, 1404.3565]

Facing the challenge

What do we need?

- (Naturally) light (avoid extra hierarchy problem)
- Weakly interacting
- Large UV scale (for EDM), large field value shift

The answer is...

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The axion-like particle

$$P = (f + \Phi)^2 \exp(iS/f) \quad S: \text{angular mode, axion or ALP}$$

Couple with Higgs:

$$V = -\frac{1}{2}(\mu_H^2 - Af \cos \delta)h^2 + \frac{1}{4}\lambda h^4 \\ + \mu_S^2 f^2 \left(1 - \cos\left(\frac{S}{f}\right)\right) - \frac{1}{2}Af(h^2 - 2v^2) \cos\left(\frac{S}{f} - \delta\right).$$

Convention: $\langle h \rangle = \sqrt{2}v$. $\delta \in [0, \pi/2]$

[Jeong et al, 2018]

[K.Harigaya and IRW, 2309.00587]

Vacuum structure

Symmetry: $S \rightarrow S + \delta S$

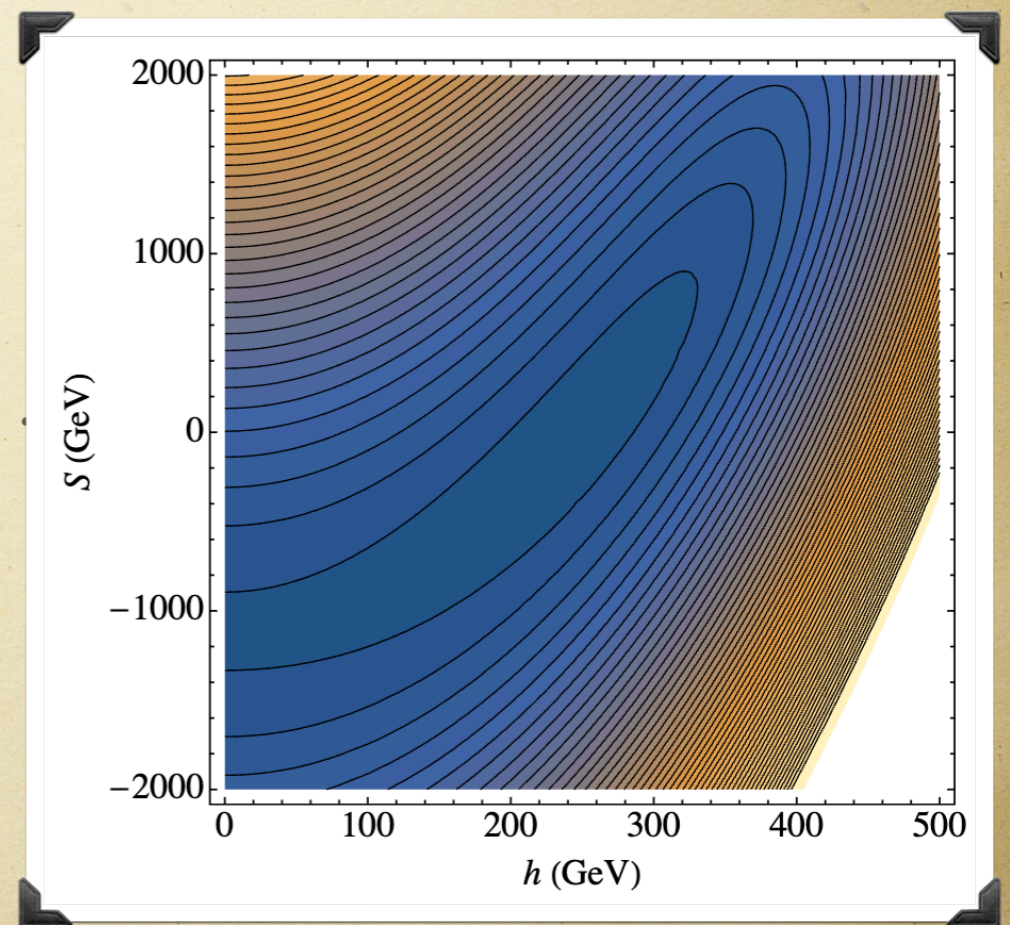
Define $\langle S \rangle = 0$: by $h^2 - 2v^2$

S minimum “valley”:

$$\langle S \rangle(h) = f \arctan \left(\frac{A(h^2 - 2v^2)\sin(\delta)}{2f\mu_S^2 + A(h^2 - 2v^2)\cos(\delta)} \right)$$

A too large or f too small:
 $V(0, \langle S \rangle(0)) < V(\langle h \rangle, \langle S \rangle(\langle h \rangle))$
 “Stability issue”

$$V = -\frac{1}{2}(\mu_H^2 - Af \cos \delta)h^2 + \frac{1}{4}\lambda h^4 + \mu_S^2 f^2 \left(1 - \cos\left(\frac{S}{f}\right) \right) - \frac{1}{2}Af(h^2 - 2v^2) \cos\left(\frac{S}{f} - \delta\right).$$



[K.Harigaya and IRW, 2309.00587]

Mass spectrum

$$\text{At } \langle h \rangle = \sqrt{2}v, \langle S \rangle = 0$$

$$m_{hh}^2 = 6\lambda v^2 - \mu_H^2$$

$$m_{SS}^2 = \mu_S^2$$

$$m_{hS}^2 = -Ah \sin \delta$$

mixing angle!

$$A = \frac{1}{2\sqrt{2}v} (m_h^2 - m_S^2) \sin(2\theta) \csc(\delta),$$

$$\lambda = \frac{1}{8v^2} (m_h^2 + m_S^2 + (m_h^2 - m_S^2) \cos(2\theta)),$$

$$\mu_H^2 = \frac{1}{4} (m_h^2 + m_S^2 + (m_h^2 - m_S^2) \cos(2\theta)),$$

$$\mu_S^2 = \frac{1}{2} (m_h^2 + m_S^2 - (m_h^2 - m_S^2) \cos(2\theta)).$$

Enhancing the PT

Leading order effect

$$\mu_S^2 f^2 \cos\left(\frac{S}{f}\right) \rightarrow \frac{1}{2} \mu_S^2 S^2 \quad \text{Mass term}$$

$$-\frac{1}{2} A f h^2 \cos\left(\frac{S}{f} - \delta\right) \rightarrow -\frac{1}{2} A h^2 S \sin \delta + \frac{1}{4} \frac{A}{f} h^2 S^2 \cos \delta$$

Z₂ breaking

Enhancing the PT

Leading order effect

$$S = \frac{Af(h^2 - 2v^2)\sin \delta}{2f\mu_S^2 + A(h^2 - 2v^2)\cos \delta} \quad \text{along "valley"}$$

$$\approx \frac{Afv^2 \sin \delta}{Av^2 \cos \delta - f\mu_S^2} + \frac{Af^2\mu_S^2 \sin \delta}{2(Av^2 \cos \delta - f\mu_S^2)^2}h^2 + \frac{A^2f^2\mu_S^2 \sin \delta \cos \delta}{4(Av^2 \cos \delta - f\mu_S^2)^3}h^4 + O(h^6).$$

Quartic: $\frac{1}{4}\lambda h^4 \rightarrow \frac{1}{4}\left(\lambda - \frac{A^2f^3\mu_S^4 \sin^2 \delta}{2(\mu_S^2 f - Av^2 \cos \delta)^3}\right)h^4$ *Negative for successful SFOPT parameter*

Additional: $O(h^6)$

Positive, guarantee vev stability

Thermal potential

$$V_{\text{eff}} = V_0 + V_{\text{CW}} + V_{\text{FT}}$$

$$V_{\text{CW}} = \frac{1}{64\pi^2} \left(\sum_B n_B \left(\log \left(\frac{m_B^2(h, S)}{Q^2} \right) - c_B \right) - \sum_F n_F \left(\log \left(\frac{m_F^2(h, S)}{Q^2} \right) - c_F \right) \right)$$

$$V_{\text{FT}} = \frac{T^4}{2\pi^2} \left(\sum_B n_B J_B \left(\frac{m_B^2(h, S)}{T^2} \right) + \sum_F n_F J_F \left(\frac{m_F^2(h, S)}{T^2} \right) \right),$$

$$J_{B,F}(x^2) = \pm \int_0^\infty dy y^2 \log \left(1 \mp \exp \left(-\sqrt{y^2 + x^2} \right) \right).$$

Resummation: all boson masses replaced by thermal mass:

$$m^2 \rightarrow m^2 + \Pi, \quad \Pi \sim g^2 T^2$$

Thermal phase transition

High- T expansion:

Again, only for intuition.
Needs full form to compute!

$$V_{\text{high}T} = -\frac{1}{2}(\mu_H^2 - Af \cos \delta)h^2 + D_{\text{SM}}T^2h^2 - E_{\text{SM}}Th^3 + \frac{1}{4}\lambda h^4$$

$$-\frac{1}{2}Af \cos \left(\frac{S}{f} - \delta \right) \left(h^2 - 2v^2 + \frac{1}{3}T^2 \right)$$

$$-f^2\mu_S^2 \cos \left(\frac{S}{f} \right).$$

$$\langle S \rangle(h, T) = f \arctan \left(\frac{A(3(h^2 - 2v^2) + T^2)\sin(\delta)}{6f\mu_S^2 + A(3(h^2 - 2v^2) + T^2)\cos(\delta)} \right).$$

Large f : $\langle S \rangle(h, T) \simeq \frac{1}{2} \frac{A}{\mu_S^2} (h^2 - 2v^2 + \frac{1}{3}T^2) \sin \delta$.

One-step PT.
(0, $\langle S \rangle(0, T)$)
 $\rightarrow (v(T), \langle S \rangle(v(T), T))$

Parametrize f in terms of S shift when $h : 0 \rightarrow \sqrt{2}v$:

$$f_c \equiv \frac{A}{\mu_S^2} v^2 \sin \delta, f = cf_c$$

Light S has large field value shift.

[K.Harigaya and IRW, 2309.00587]

Bubble nucleation

➤ From $T = T_c$: transition allowed.

➤ Transition rate: $\Gamma \simeq T^4 \exp(-S_3/T)$

$$S_3 \equiv \int r^2 \left(\frac{1}{2} \left(\frac{d\phi}{dr} \right)^2 + V(\phi) \right) dr,$$

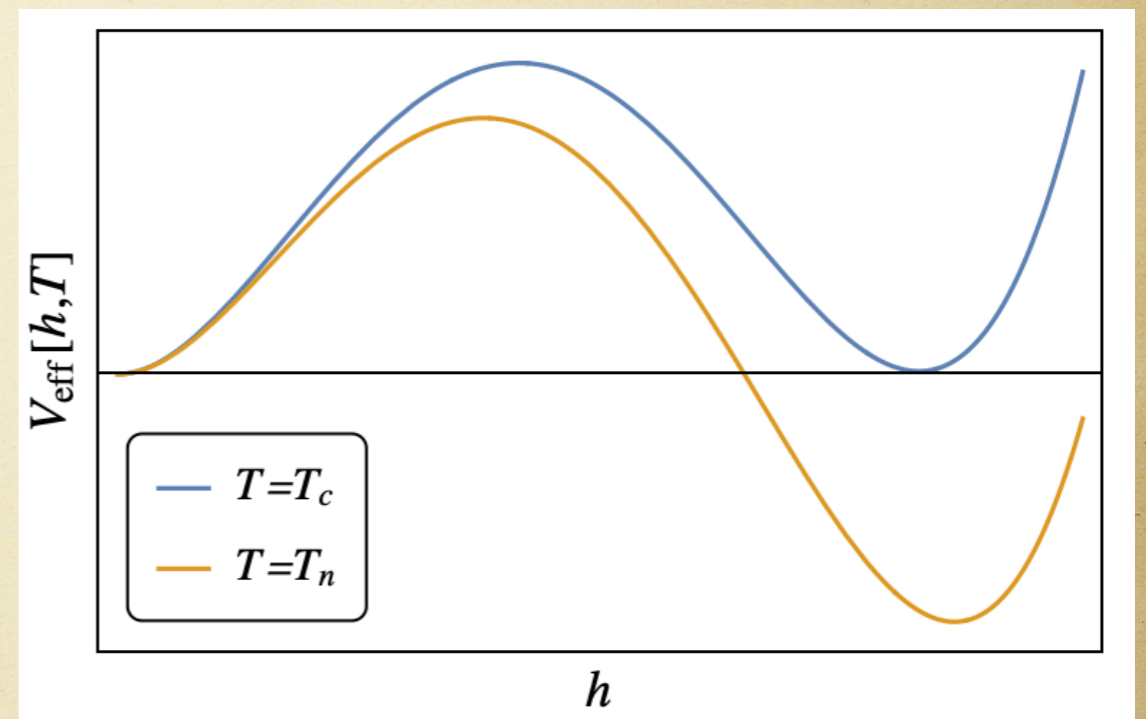
decrease as universe cools down.

r : radius of the 3d space.

➤ Nucleation temperature T_n : $\Gamma/H^3 \simeq H$

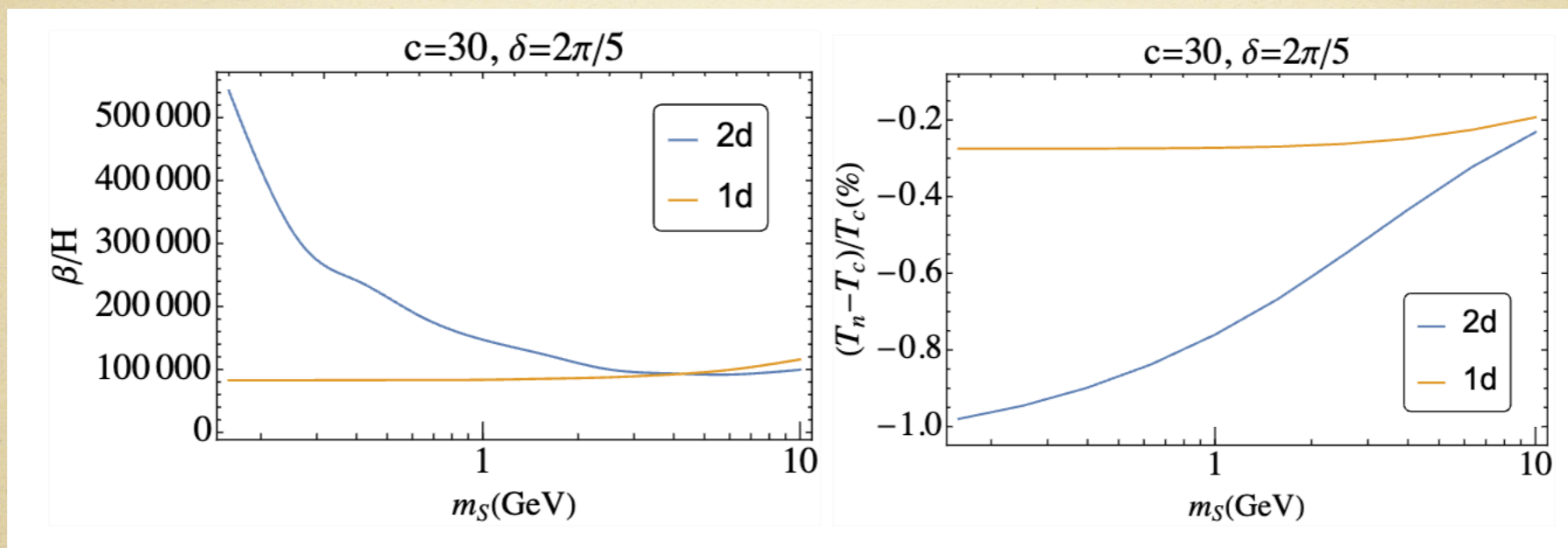
➤ Condition: $\frac{S_3}{T} \simeq 140$.

➤ Inverse time duration: $\frac{\beta}{H} \equiv T_n \frac{d}{dT} \left(\frac{S_3}{T} \right) \Big|_{T_n}$



Bubble nucleation

2d: correct. 1d: ignore S .

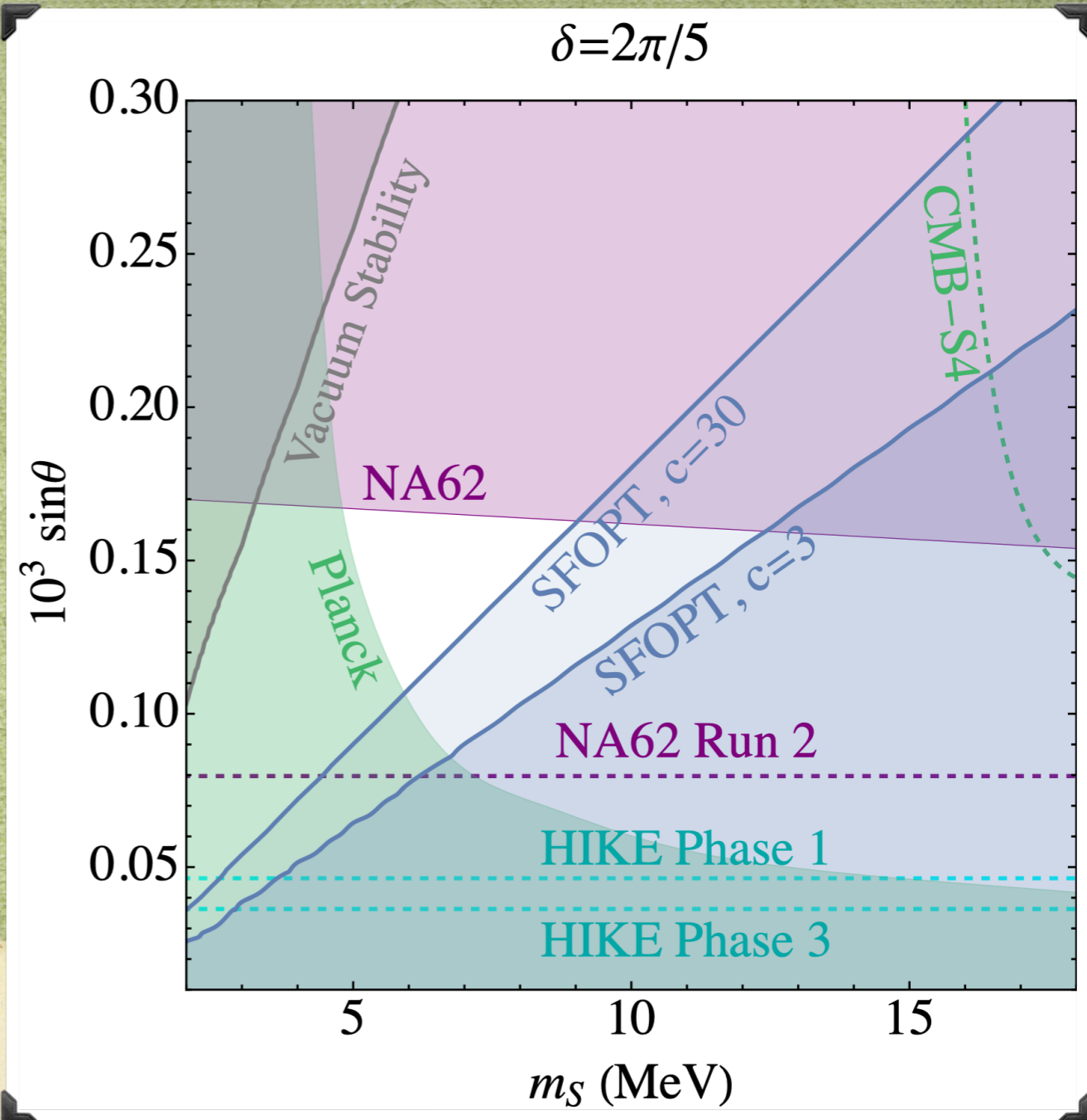
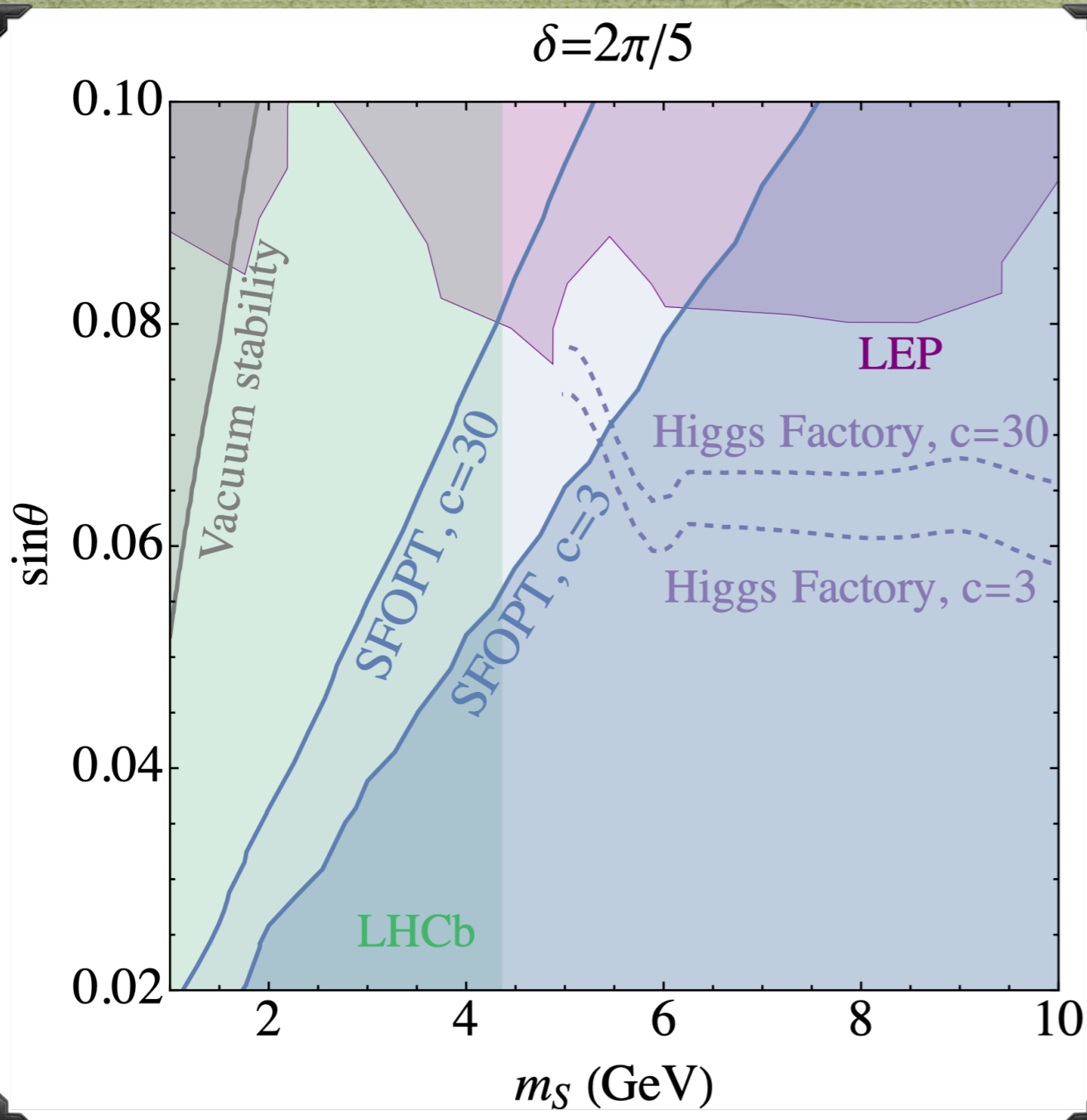


$$S_3 = \int dr \frac{1}{2} \left(\frac{dh}{dr} \right)^2 + \frac{1}{2} \left(\frac{dS}{dr} \right)^2 + V$$

Huge kinetic energy

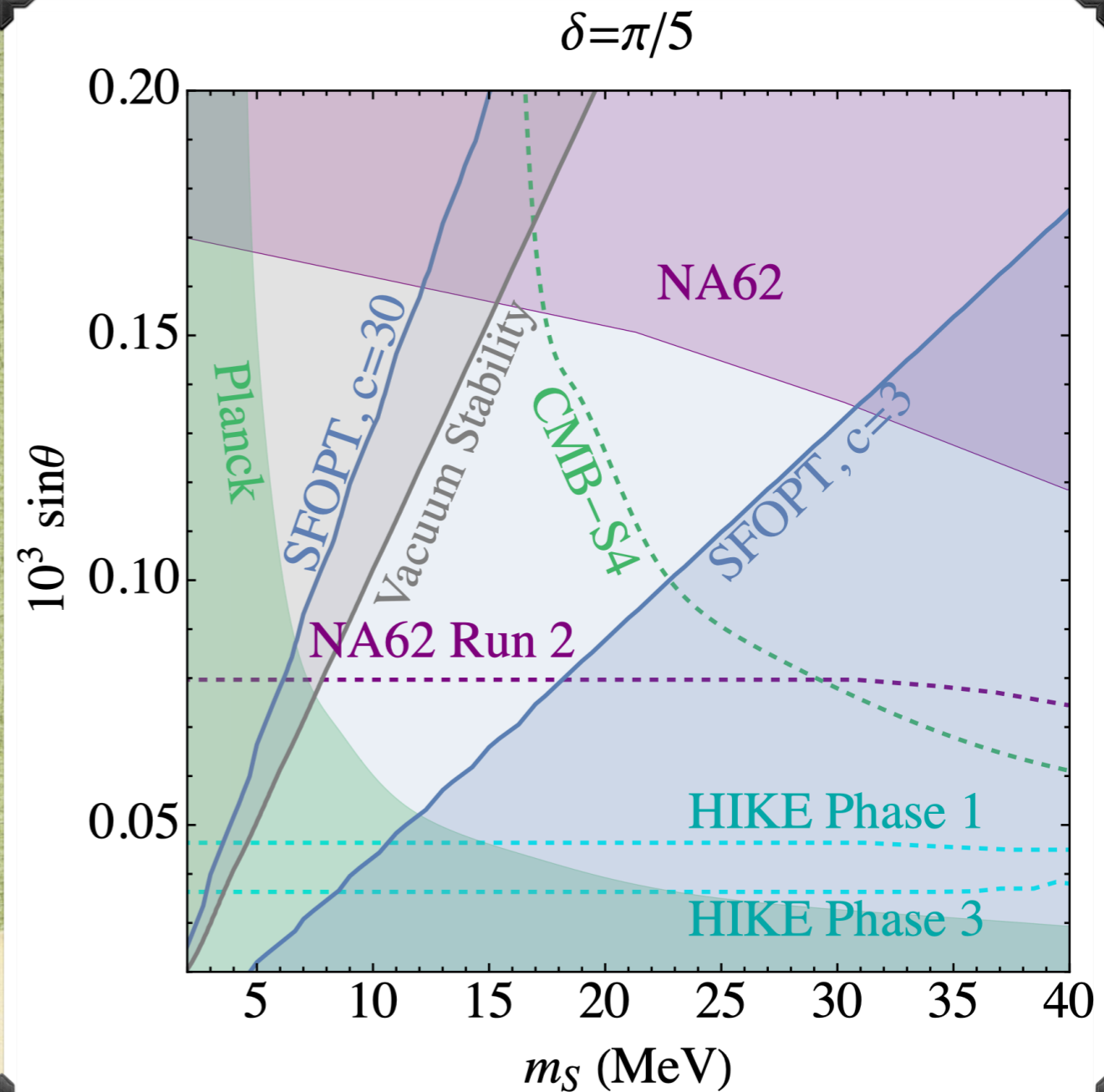
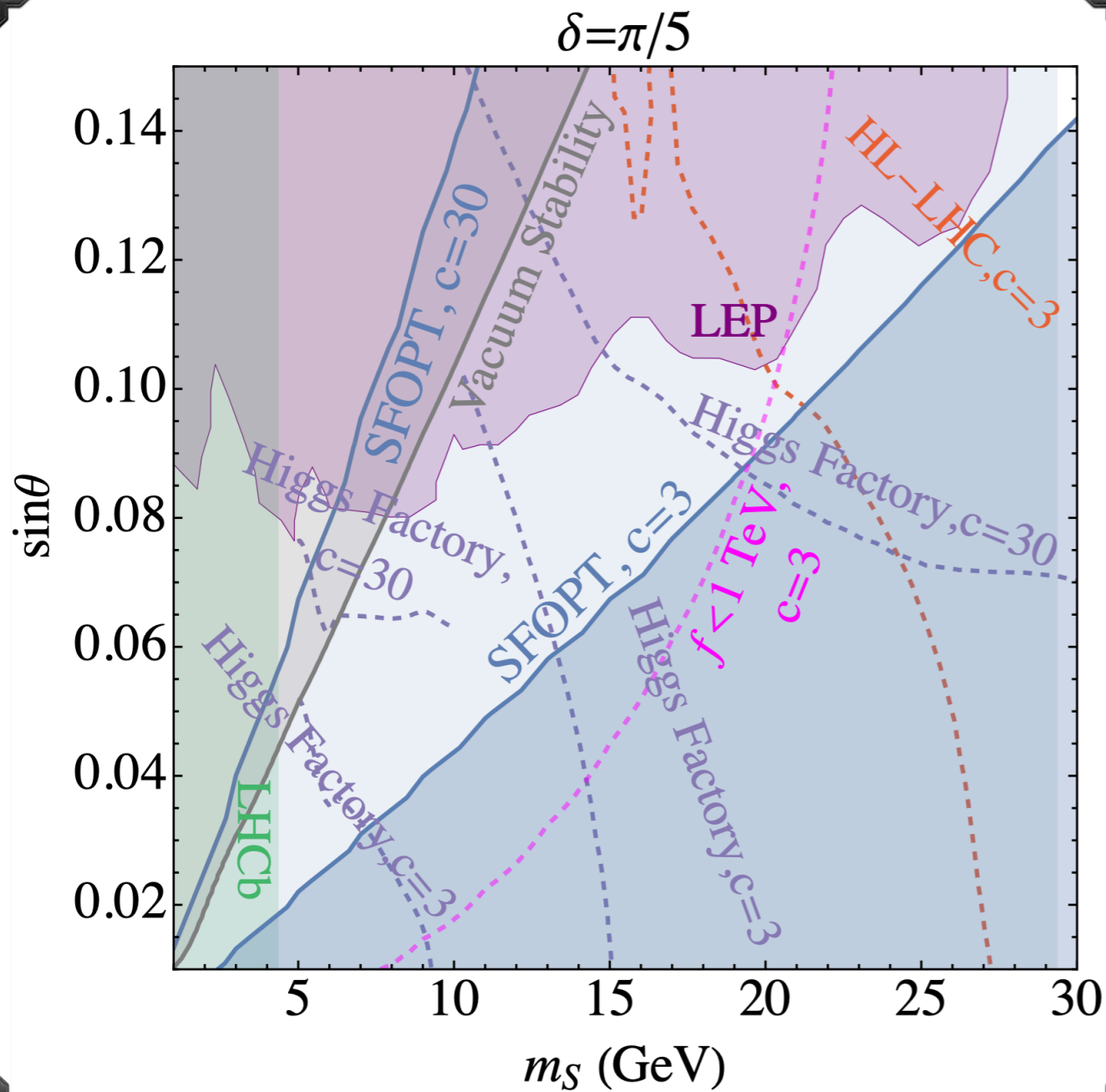
Huge β/H . GW signal hopeless. T_n not so far from T_c

[K.Harigaya and IRW, 2309.00587]



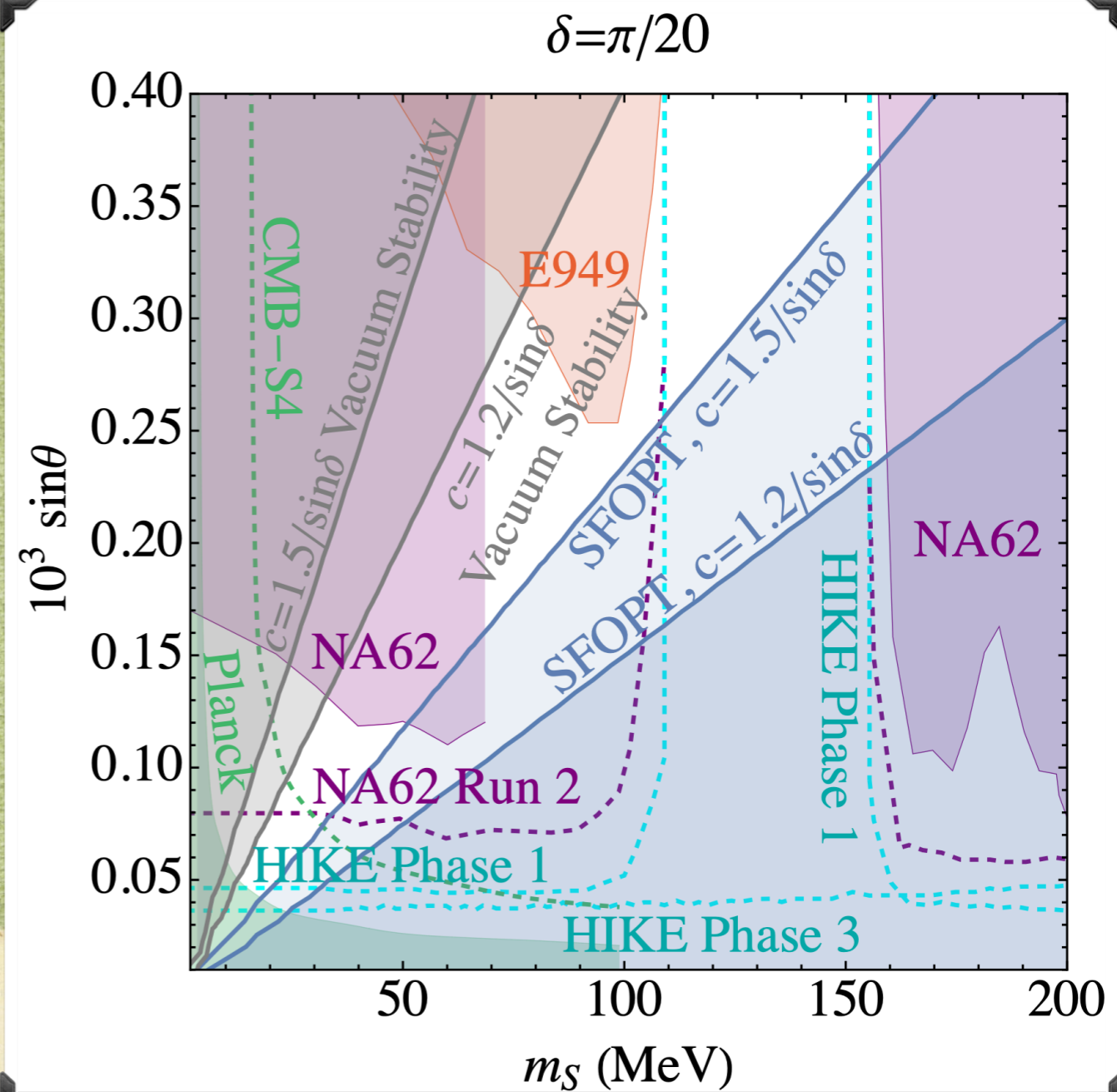
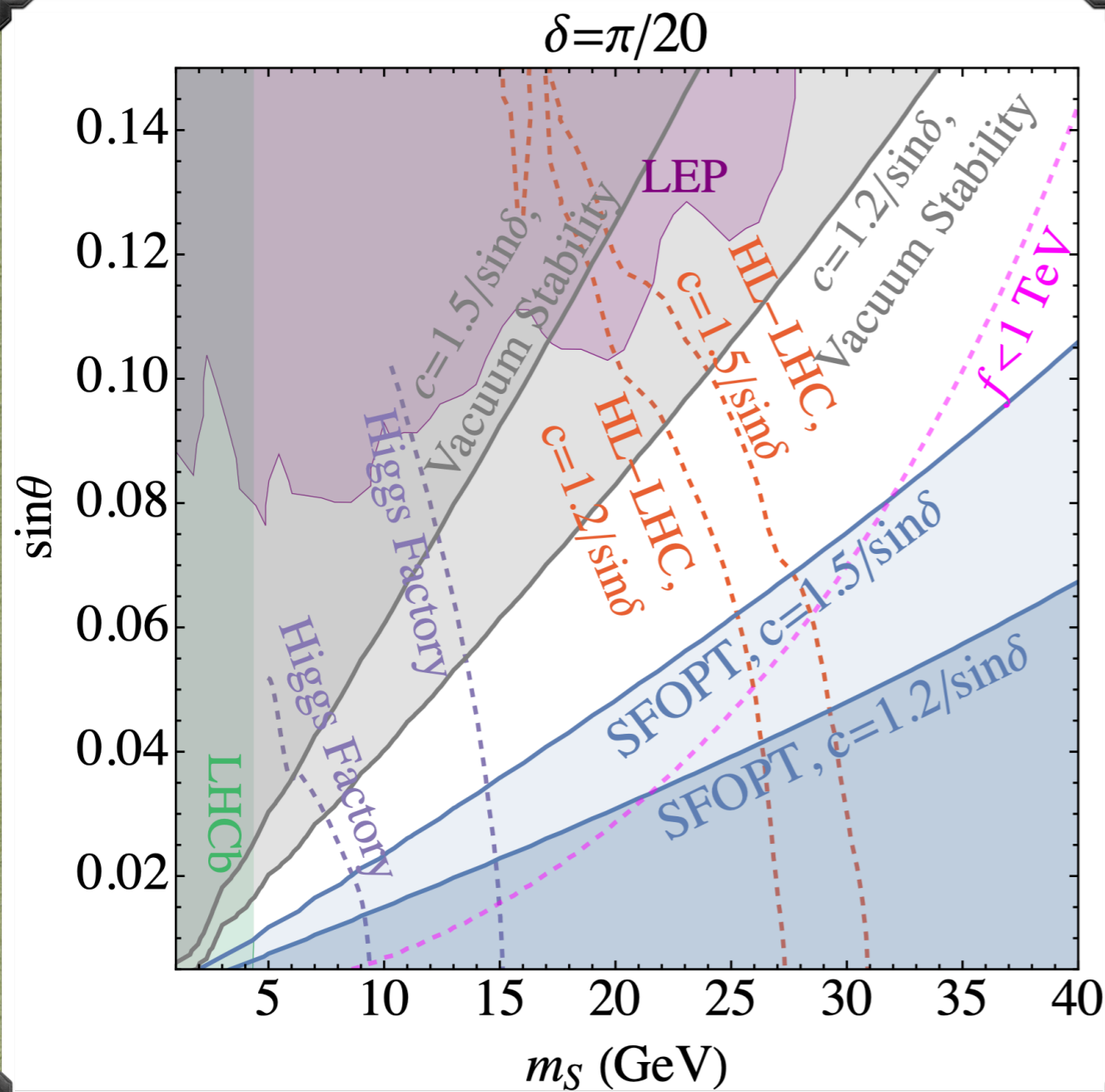
Results: $\delta = 2\pi/5$

Constraints to be explained later



Results: $\delta = \pi/5$

Constraints to be explained later



Results: $\delta = \pi/20$

Constraints to be explained later

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Possible signals

- UV model independent: scalar production, Higgs exotic decay, rare meson decay, CMB...
- UV model dependent: EDM (electron+atomic), heavy fermions, local EWBG...

Collider Signals

Vertices: hSS , hhS , h^3 ...

with mixing angle insertion.

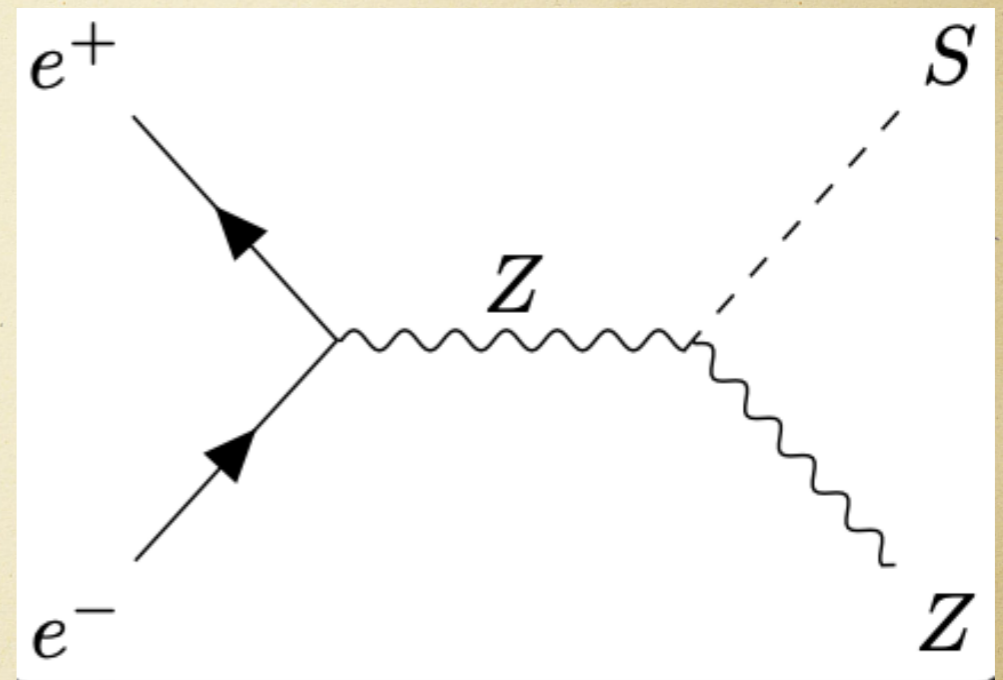
Signals:

➤ Direct production:

$$SM \rightarrow S + SM$$

➤ Higgs exotic decay:

$$h \rightarrow SS$$



The production channel of
the current best bound.

[L3 collaboration, Phys. Lett. B 385 (1996) 454–470.]

[K. Harigaya and IRW, 2309.00587]

Rare meson decay and ΔN_{eff}

- Extra decay channel for B and K :
- $B^0 \rightarrow K^0 S, B^+ \rightarrow K^+ S$, searched by **LHCb** at $200 \text{ MeV} < m_S < 4 \text{ GeV}$
- $K^+ \rightarrow \pi^+ S, K^0 \rightarrow \pi^0 S$, searched by **NA62, KLEVER....** for **MeV** scale.
- **MeV** scale m_S : large energy density when neutrino decouples.

➤ S decays into γ : **negative ΔN_{eff}**

[E. Goudzovski et al, 2201.07805]

[NA62 Collaboration, 2010.07644, 2103.15389]

[KLEVER Project Collaboration, 1901.03099]

[M. Ibe et al, 2112.11096], [Planck Collaboration, 1807.06209]

[LHCb Collaboration, 1508.04094, 1612.07818, 1703.08501]

[CMB-S4 Collaboration, 1610.02743], [K. Harigaya and IRW, 2309.00587]

Local EWBG

$$\text{Consider } O \propto \frac{S}{M} W \tilde{W}$$

In general, M is not necessarily f

Completely depends on UV model.

$$n_B \propto \frac{\Delta S}{M}$$

Enhance: $\Delta S \gg \delta h$, and M suppression is linear.

$$\langle S \rangle(h, T) \simeq \frac{1}{2} \frac{A}{\mu_S^2} (h^2 - 2v^2 + \frac{1}{3} T^2) \sin \delta.$$

Electric dipole moment

➤ Electron: $d_e/e \simeq \frac{y_e}{(16\pi^2)^2} \frac{A \sin \delta}{M\nu}$.

➤ $d_e < = 10^{-29} e \text{ cm}$

➤ Atomic: $g_N = \frac{\sin \theta}{3\sqrt{2}} \frac{m_N}{\nu}$, $g_f = \frac{m_f}{M}$.

➤ $g_N g_e \leq 10^{-31} \left(\frac{m_S}{\text{eV}} \right)^2$

➤ Depend on the existence of CP-violating g_f in UV model.

Bound computed with M that produces the correct n_B

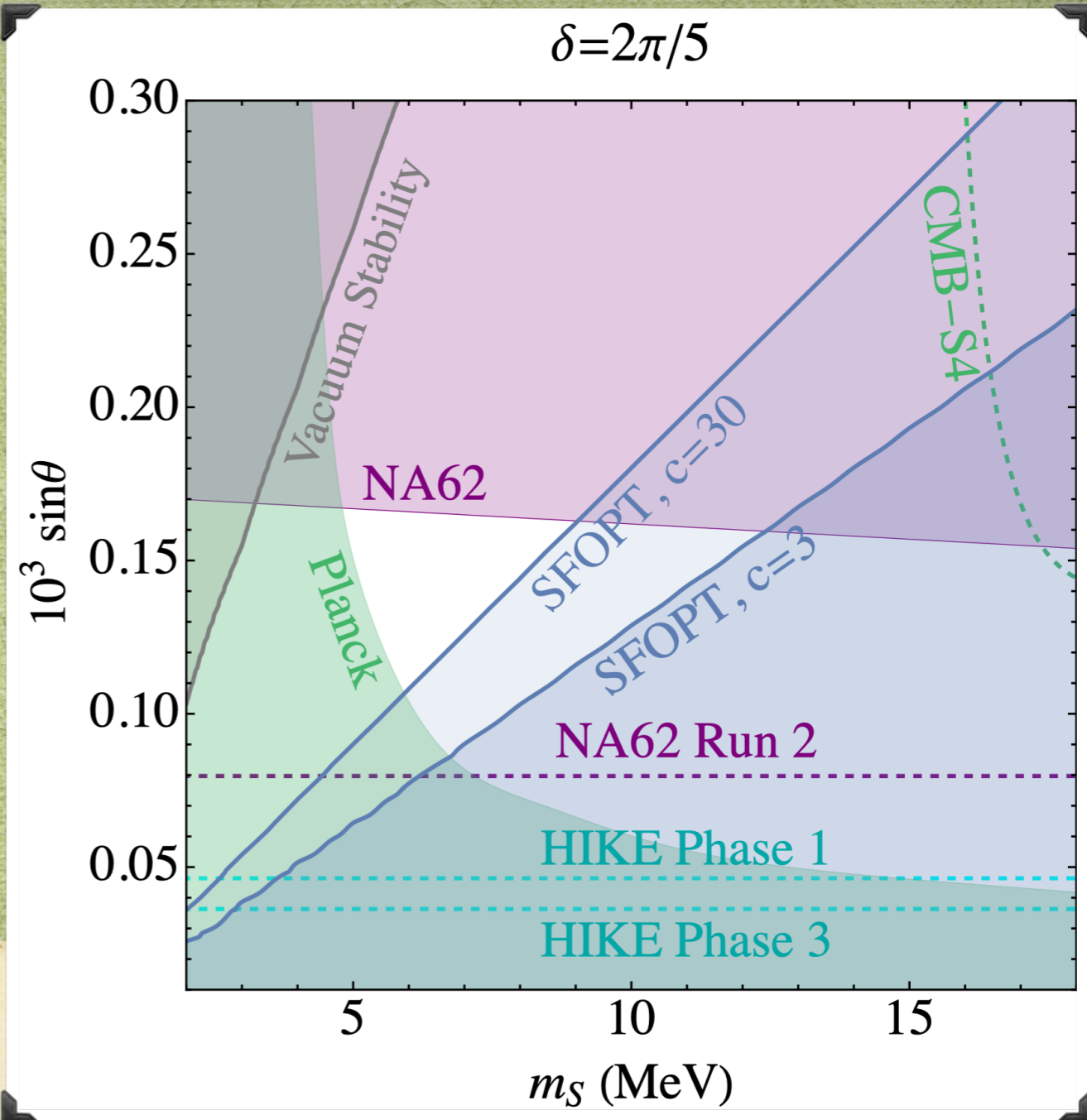
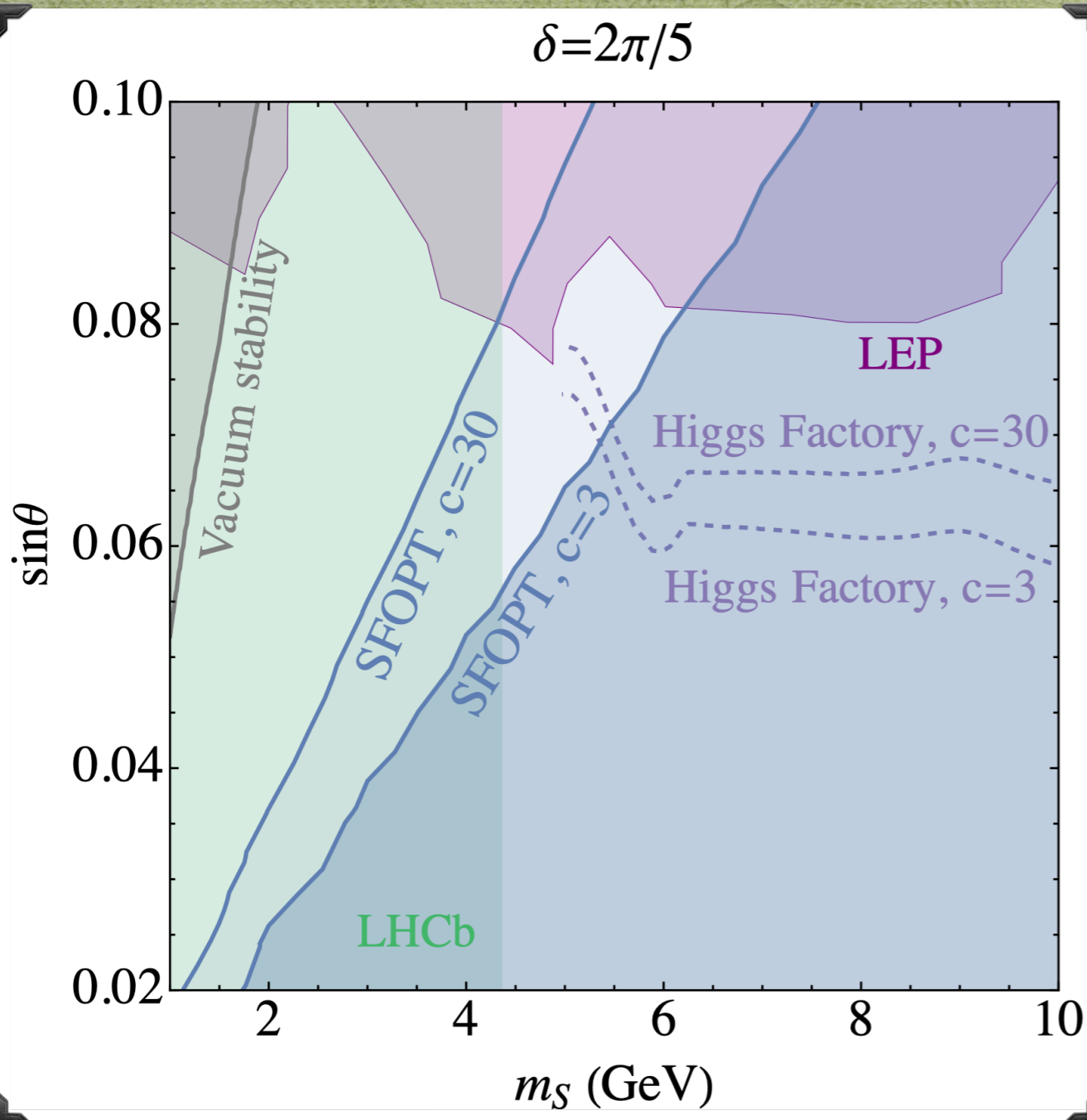
[V.A.Dzuba et al, 1805.01234]

[ACME Collaboration, 2018]

Heavy fermions?

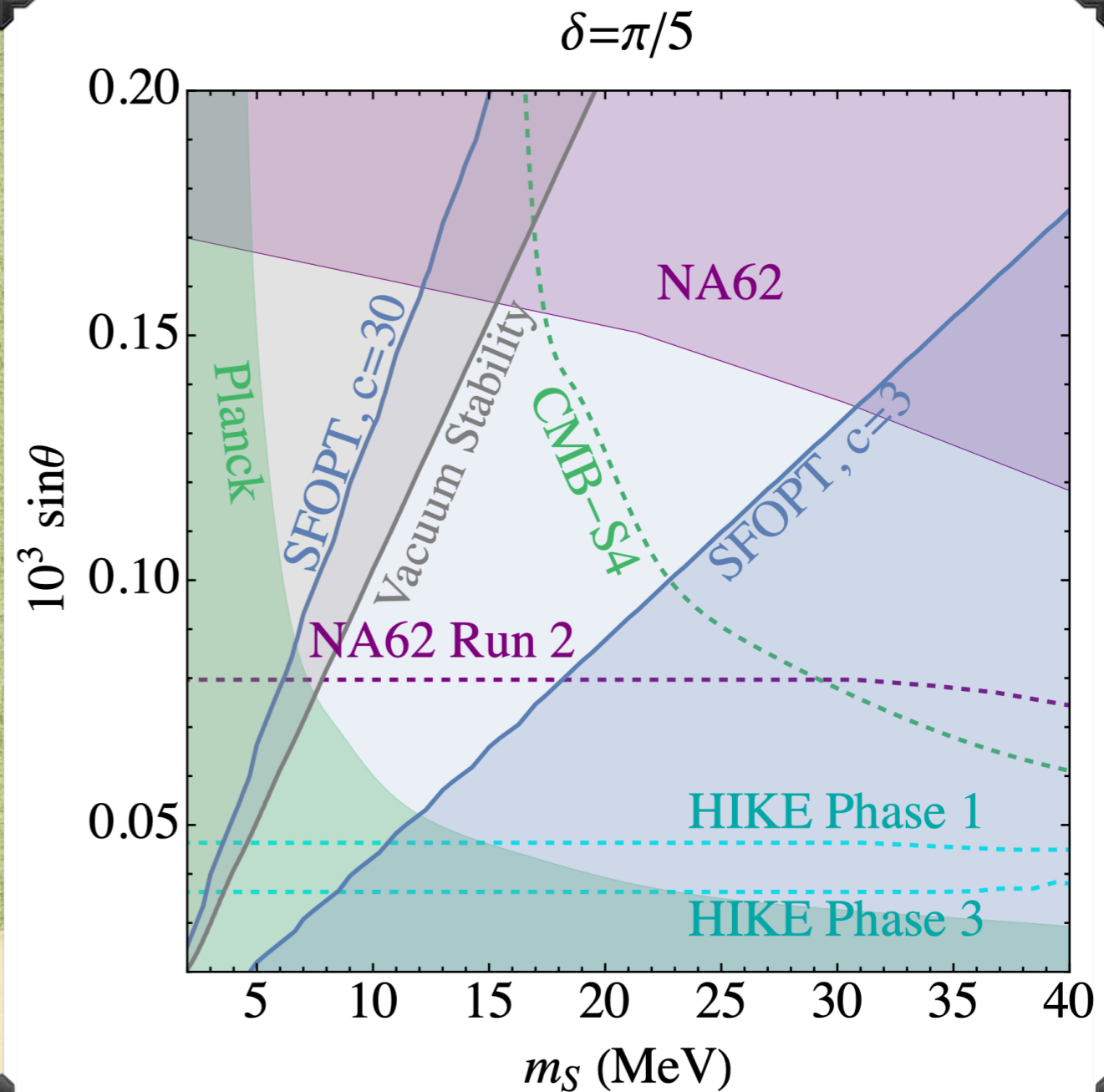
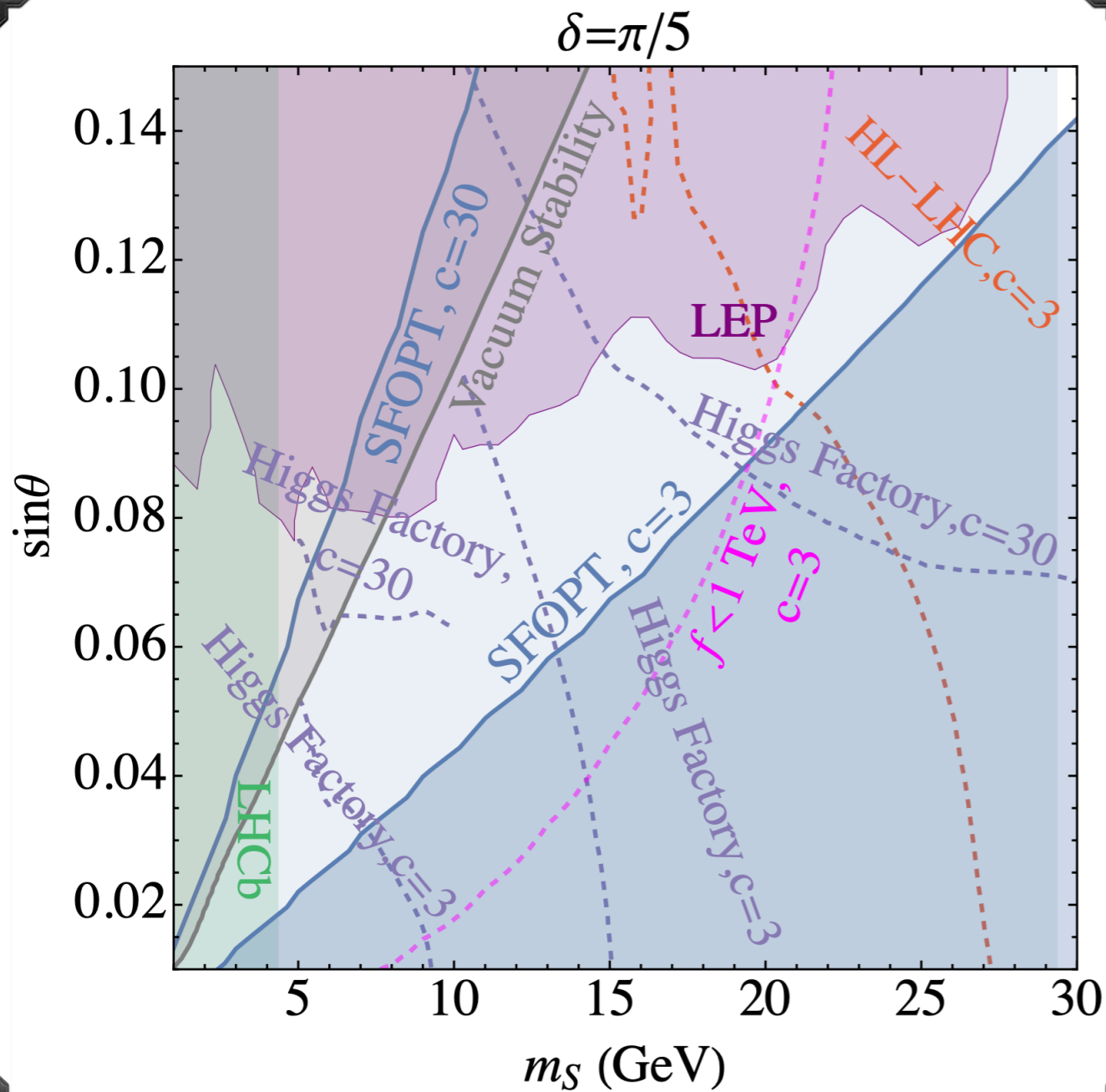
In general, UV model of S contains heavy fermions.

- Model dependent.
- Generally, expect $f > 1$ TeV to avoid collider bounds.
- Not a very solid bound. Depends on model.



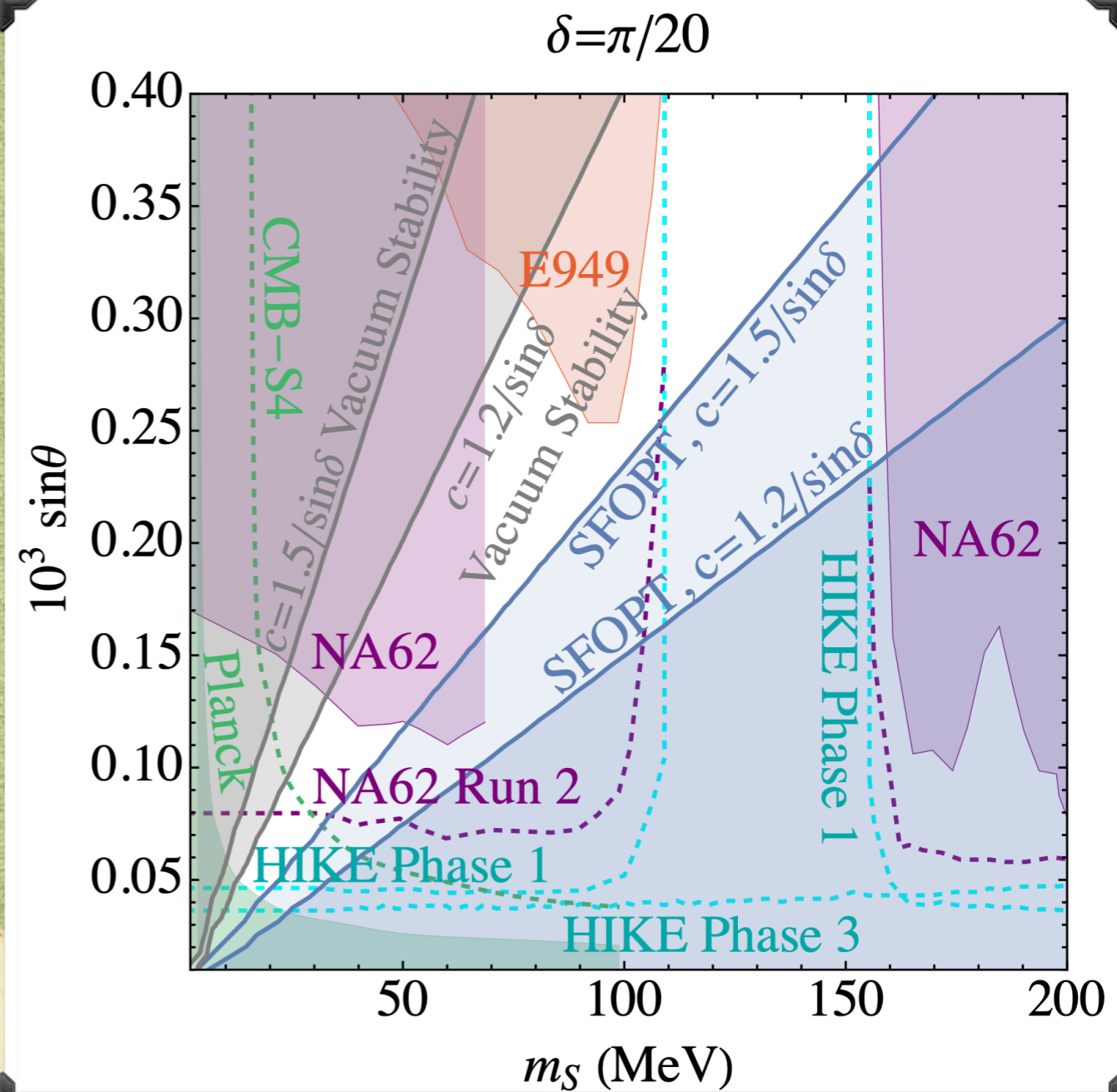
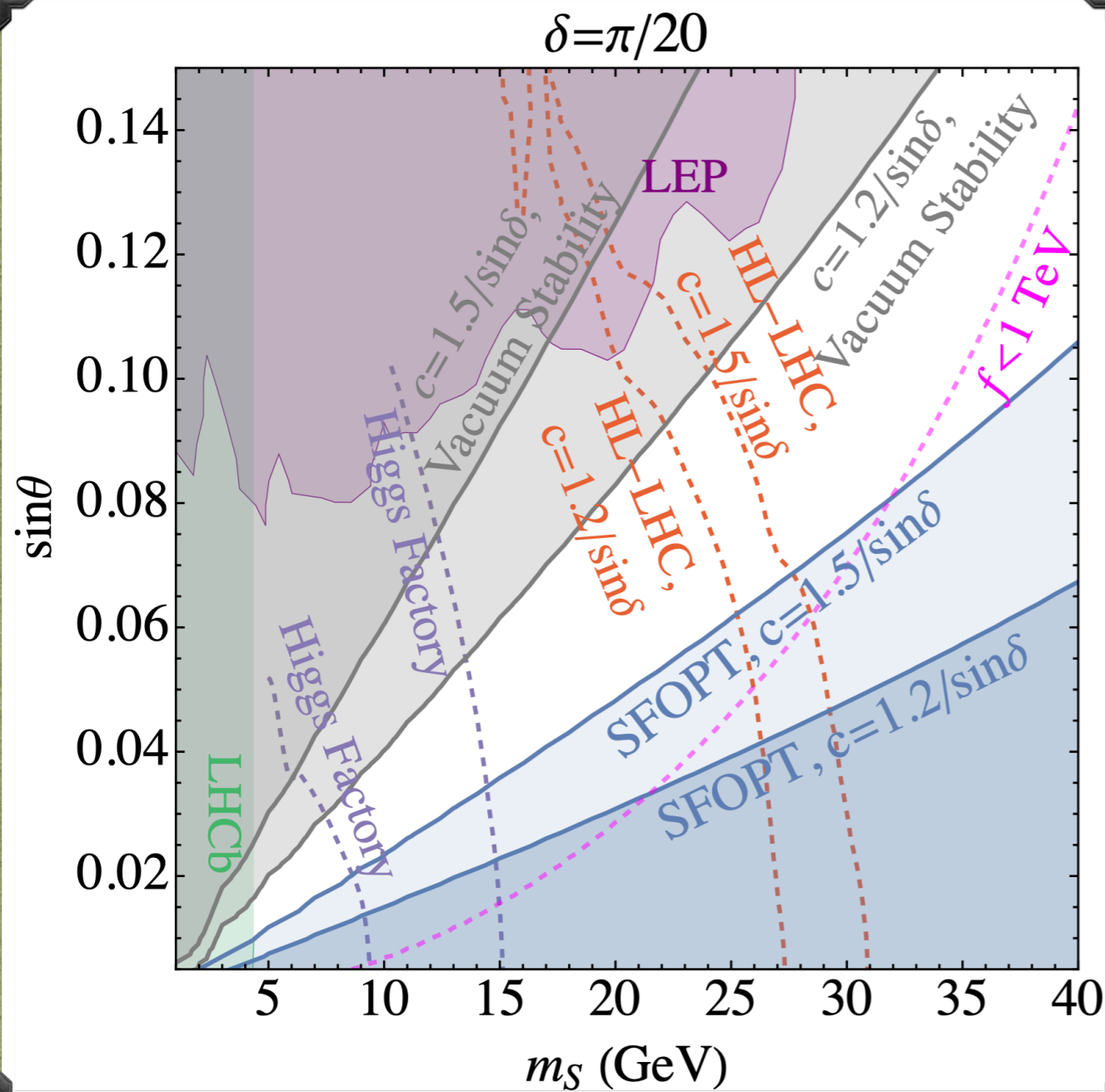
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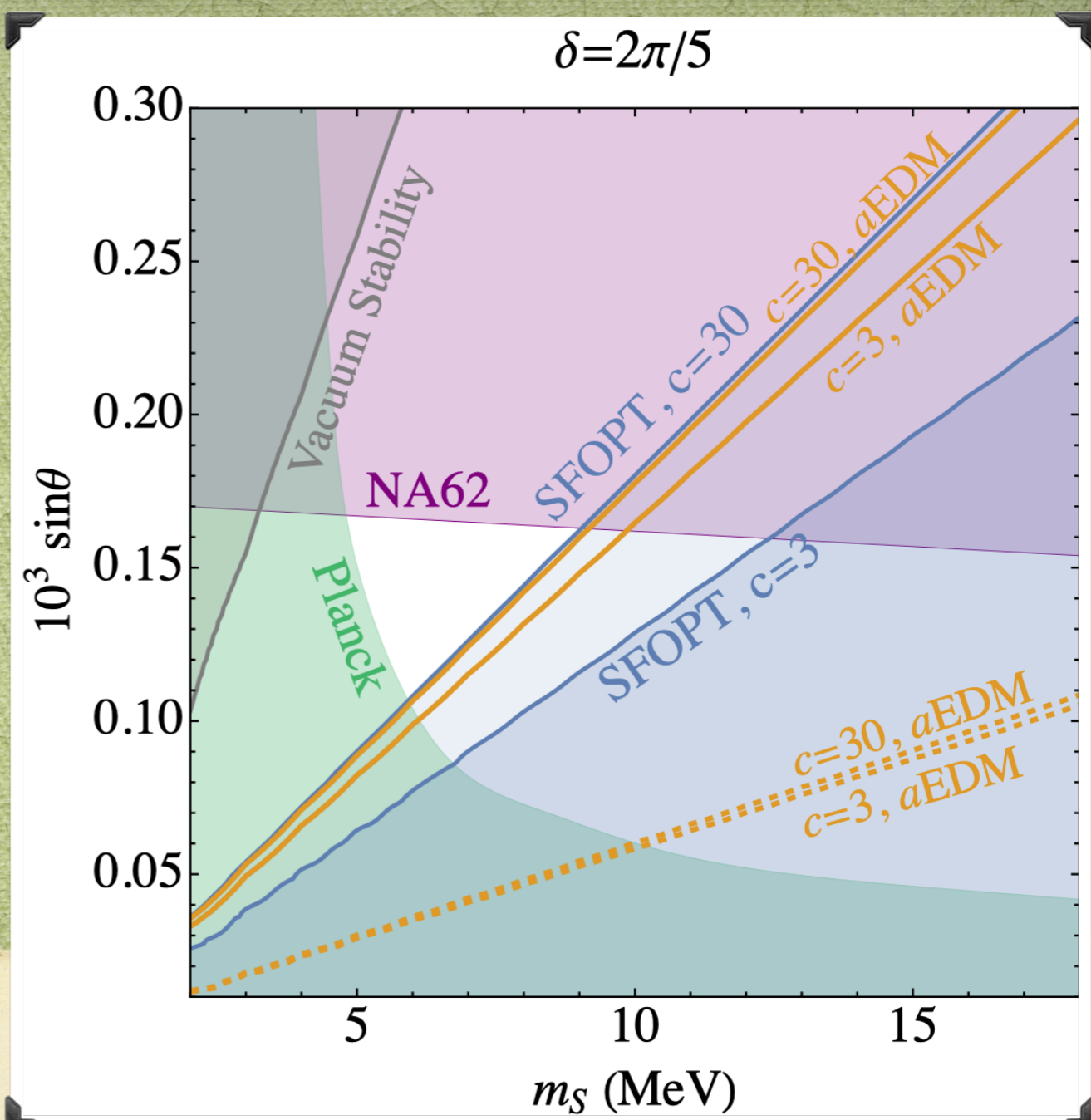
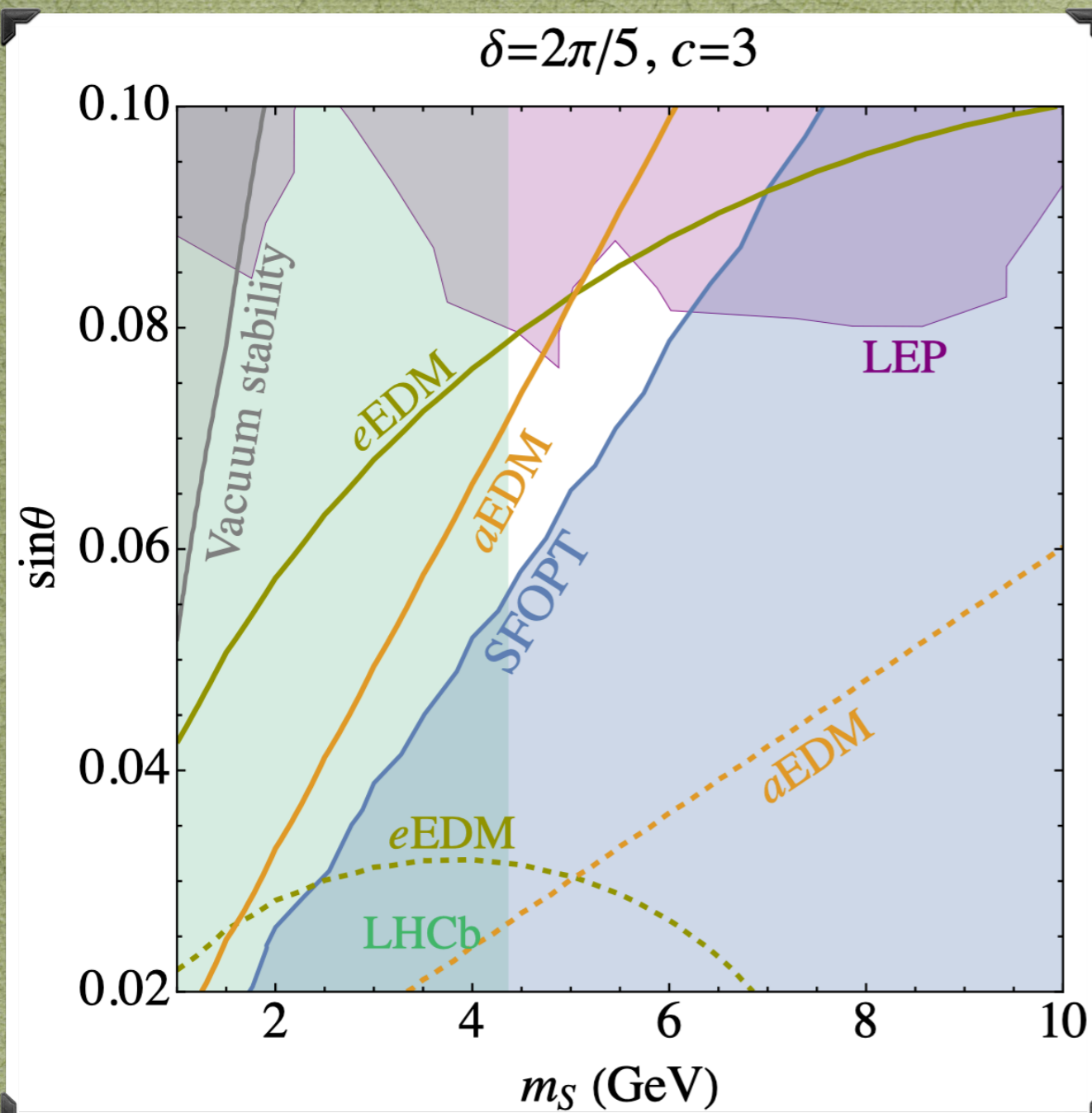
Results: $\delta = \pi/5$

Constraints to be explained later



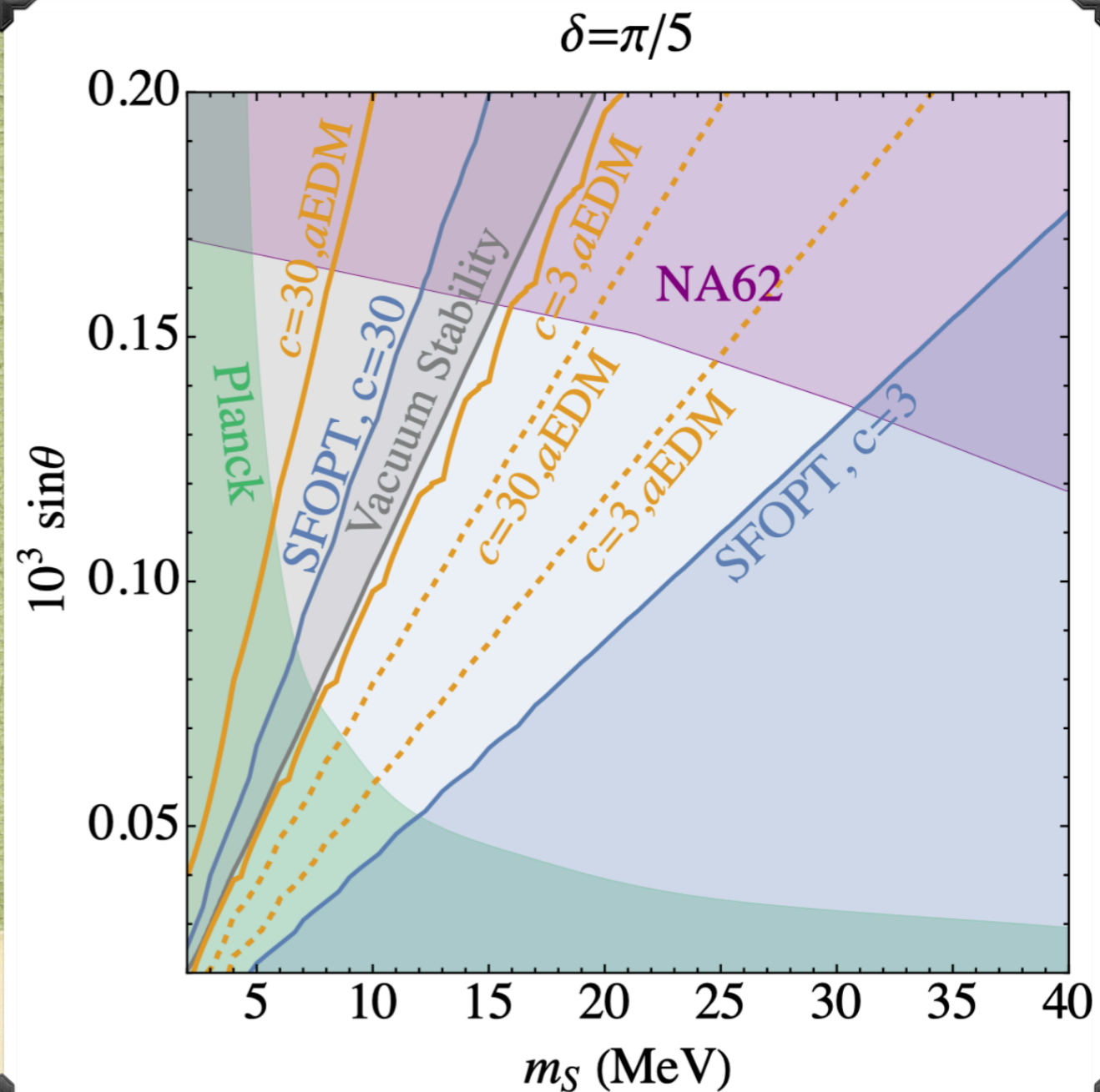
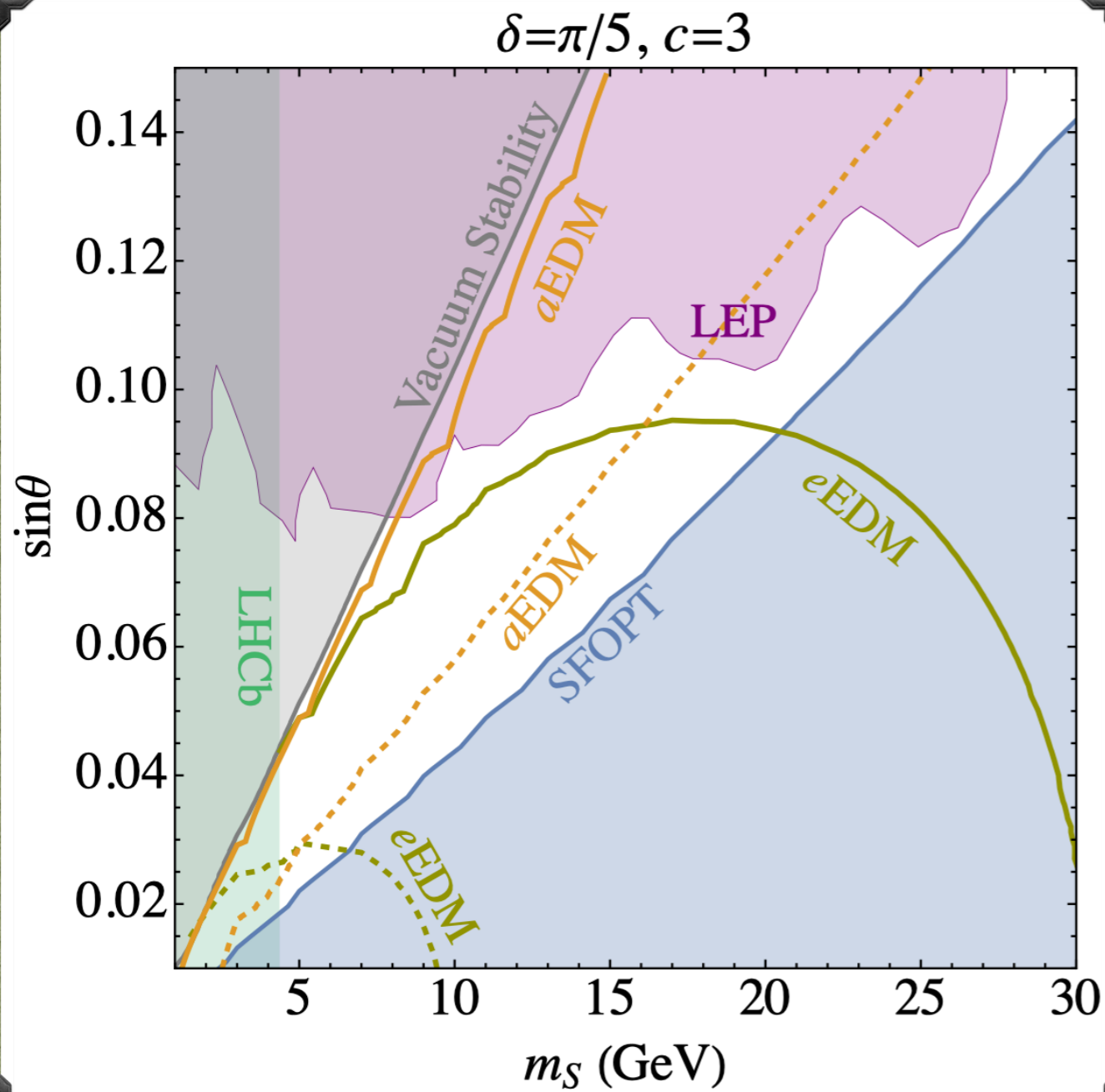
Results: $\delta = \pi/20$

Constraints to be explained later



EDM: $\delta = 2\pi/5$

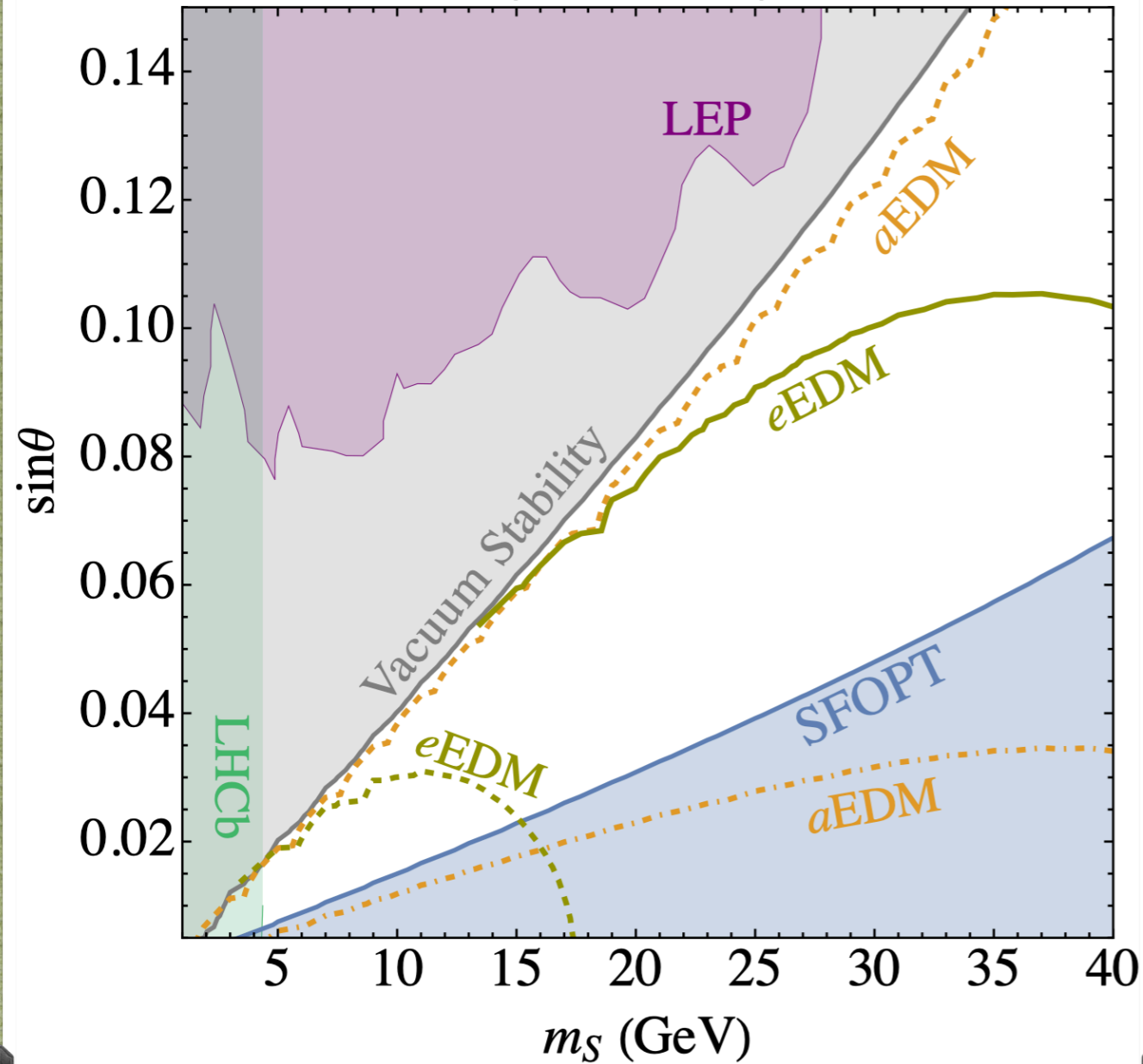
Solid: current. Dashed: improve by 10.



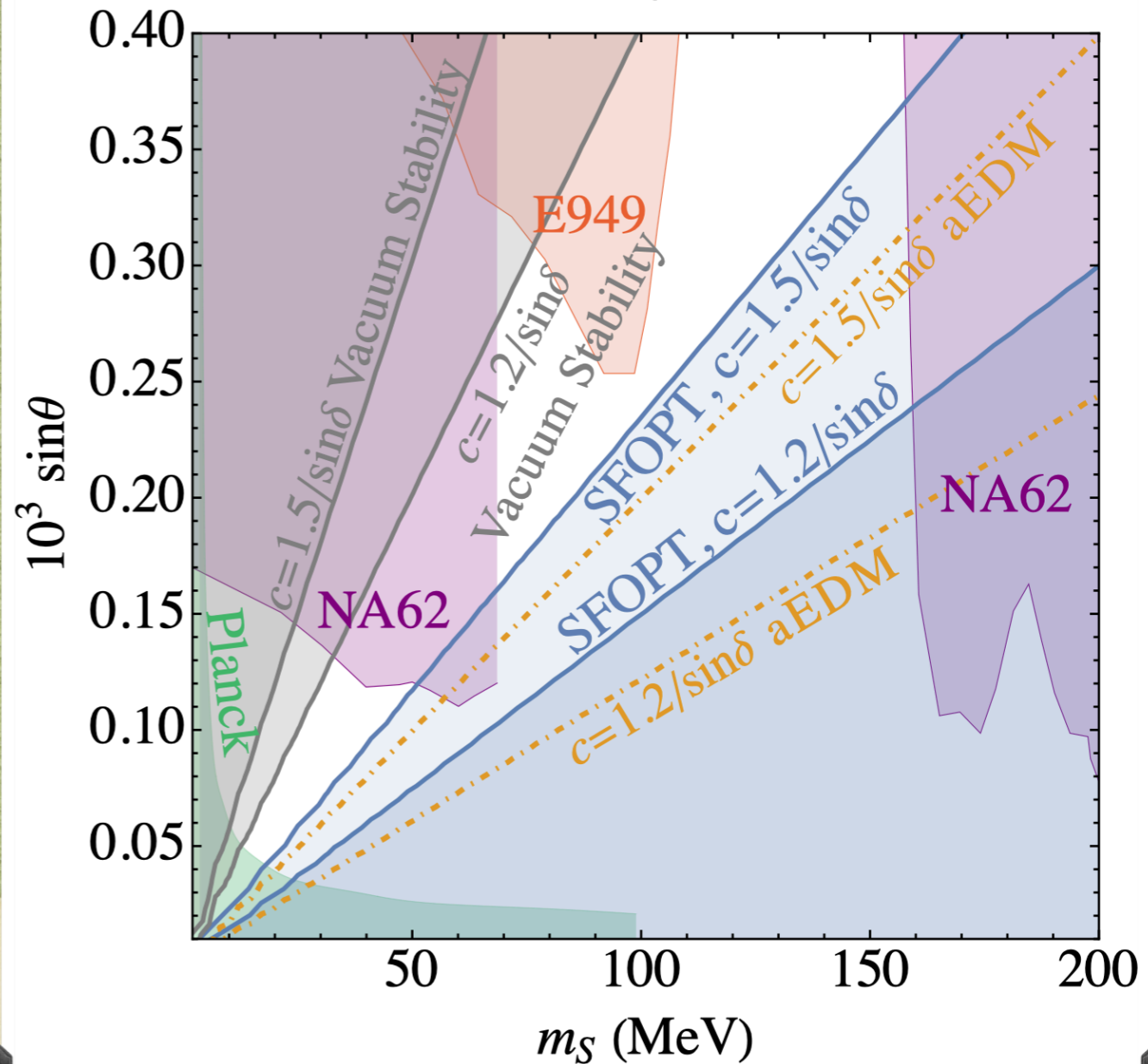
EDM: $\delta = \pi/5$

Solid: current. Dashed: improve by 10.

$\delta = \pi/20, c = 1.2/\sin\delta$



$\delta = \pi/20$



EDM: $\delta = \pi/20$

Dashed: improve by 10. Dot-dashed: improve by 100

Summary

- ALP can assist the EWBG by:
 - Enhancing the EWPT strength.
 - Enhancing n_B produced by local EWBG mechanism
- Can be probed by: Electron and atom EDM, collider search, rare meson decay, CMB bound on ΔN_{eff}