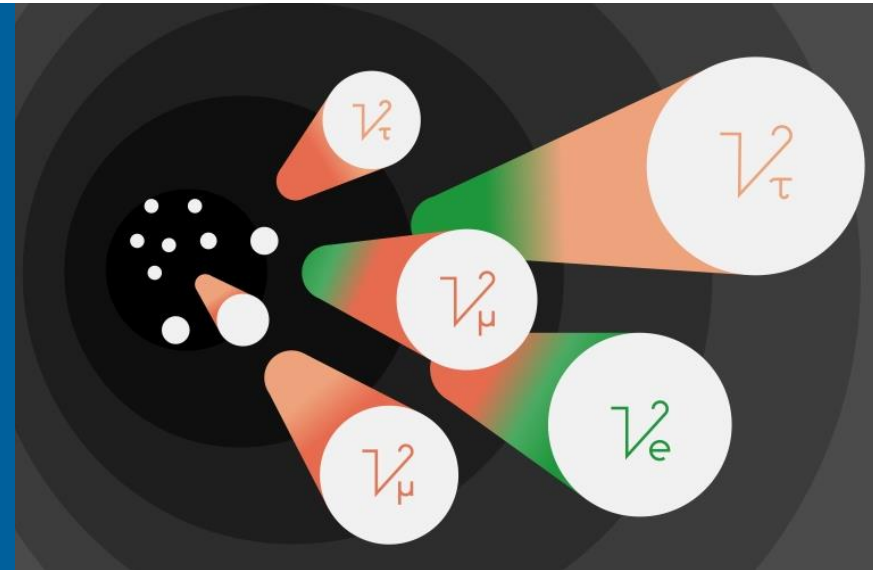


FIRST PHYSICS STUDIES WITH DUNE NEAR DETECTOR PROTOTYPE



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Chicago

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Young Scientist Symposium Series (YSSS), Argonne

SCIENCE GOALS OF DUNE



- **Constrain flavor and mass models**

- Measurement of precise neutrino oscillation parameters
- CP violation
- Mass hierarchy

- **Learn more about supernovae and black holes**

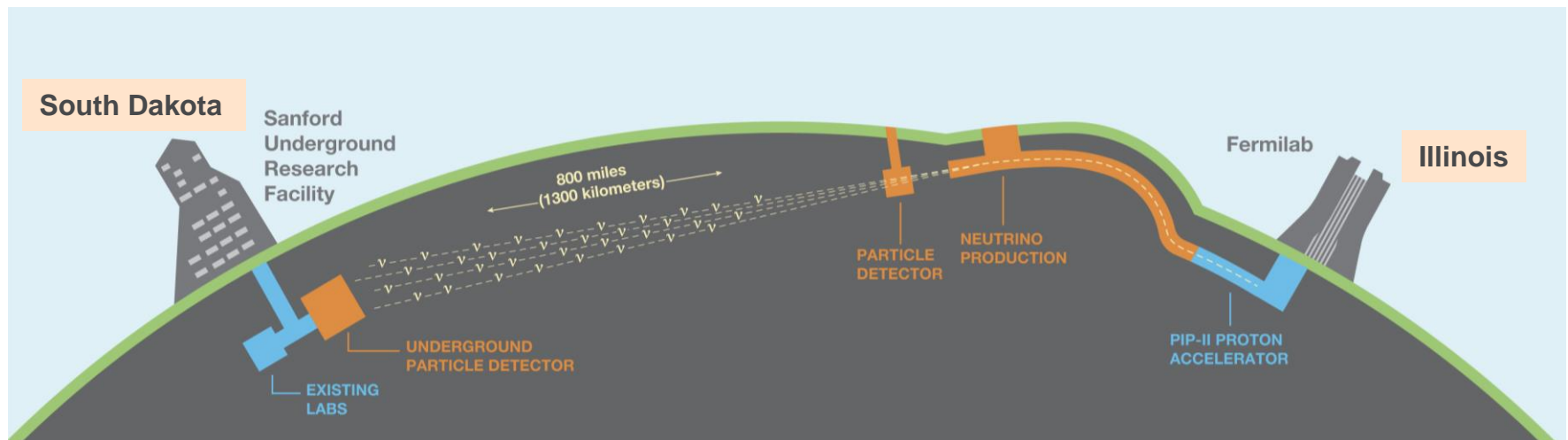
- DUNE alone would detect $> 3x$ as many neutrinos as all active detectors did for SN1987A

- **GUT and beyond SM**

- Proton decay
- Baryon number violation
- Sterile neutrinos
- Non-standard interactions and more

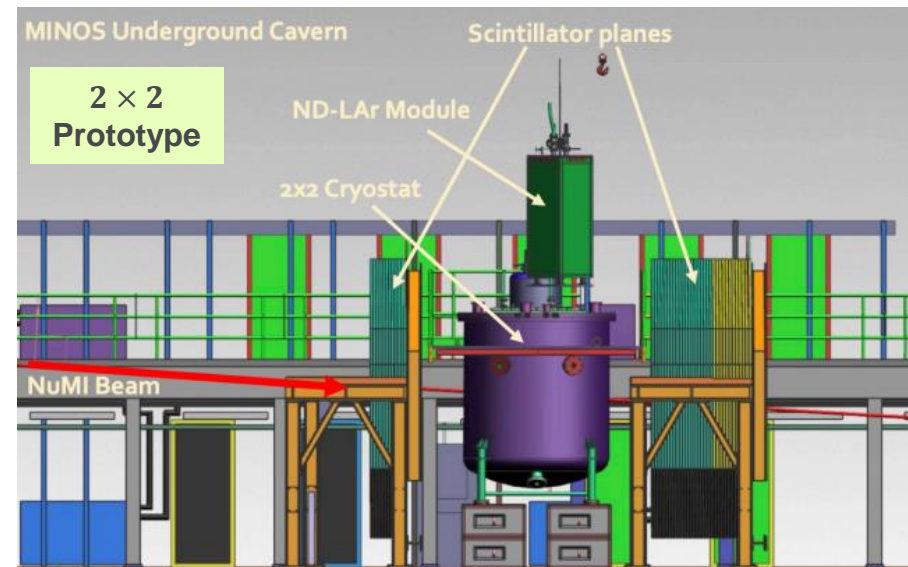
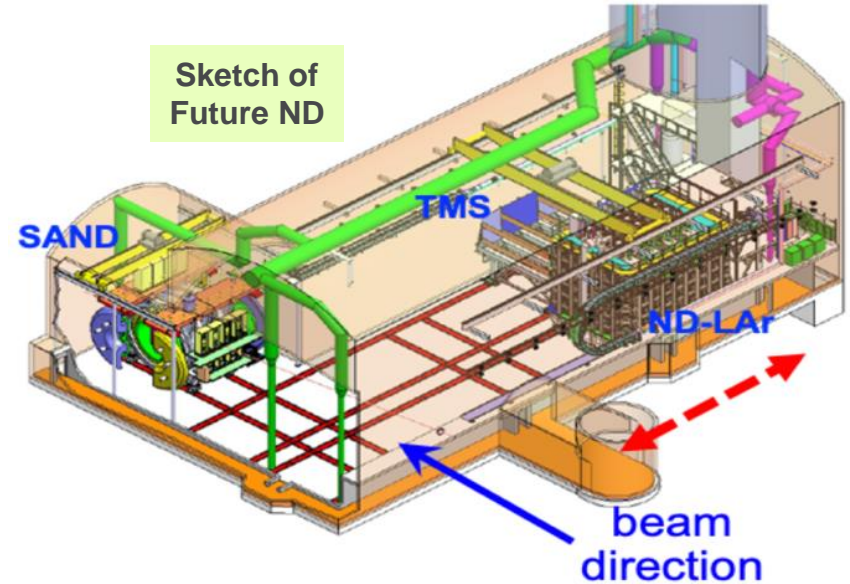
DEEP UNDERGROUND NEUTRINO EXPERIMENT (DUNE)

- Long baseline experiment: **1300 km** from Fermilab to SURF
- Intense neutrino beam: **1.2 MW** (upgradable to **2.4 MW**)
- Two detectors
 - Near Detector: To measure un-oscillated neutrino flux
 - Far Detector: A **70 – kiloton** detector to measure oscillated neutrino flux
- DUNE detector prototypes
 - 2×2 at Fermilab for ND
 - ProtoDUNE at CERN for FD



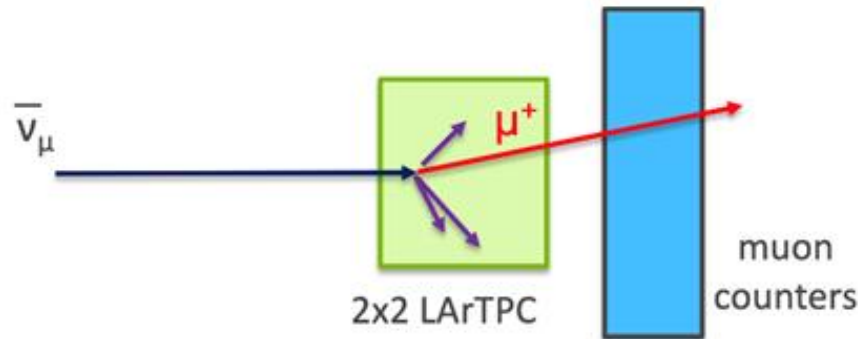
FULL NEAR DETECTOR AND ITS PROTOTYPE

- Full Near Detector have:
 - Near Detector Liquid Argon
 - The Muon Spectrometer
 - System for On-Axis Neutrino Detection
- Under construction
- Our current effort is with ND-LAr prototype (also known as 2×2)
- Will start taking data in Spring 2024

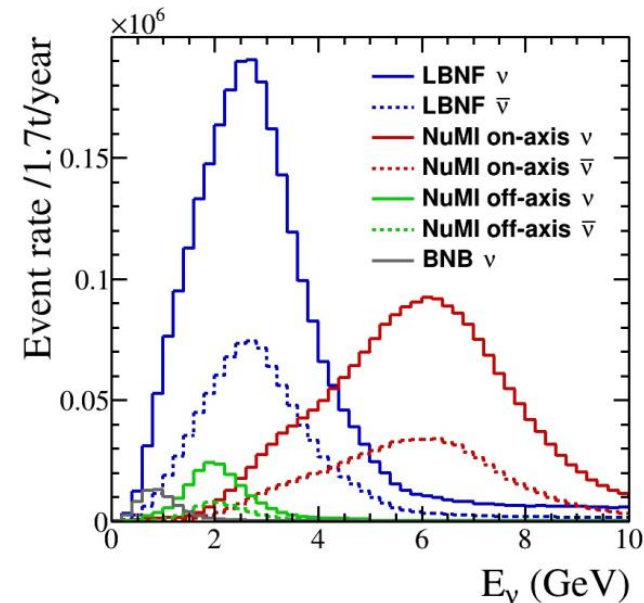


OUR PLANS AND WHY THESE ARE IMPORTANT?

- Perform a first measurement of multiplicity of the charged-particle tracks generated by ν (or $\bar{\nu}$) interactions

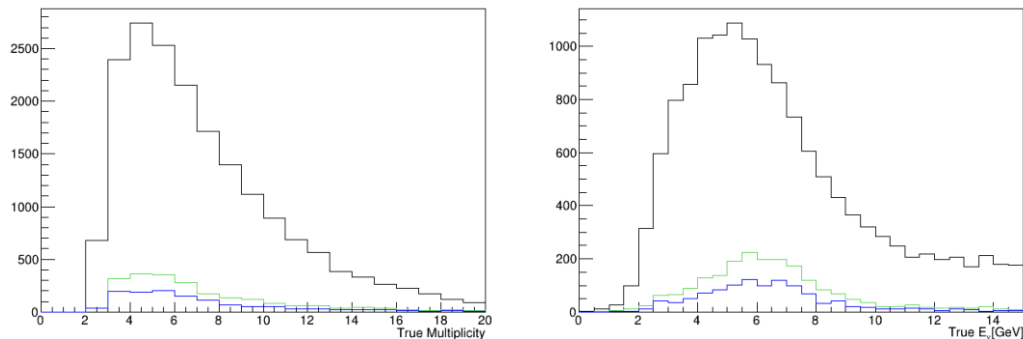


- Help in demonstrating DUNE ND-LAr capabilities early at the prototype stage
 - Validate event simulation and reconstruction
- Will help benchmark and define the base neutrino interaction generator model for first round of DUNE analysis
 - Compare measurements to various neutrino generator models (GENIE etc.)
 - Identify areas where new systematic uncertainties will need to be implemented in the analysis, before the ND data becomes available ($\sim 2031 +$)



TRUTH STUDIES

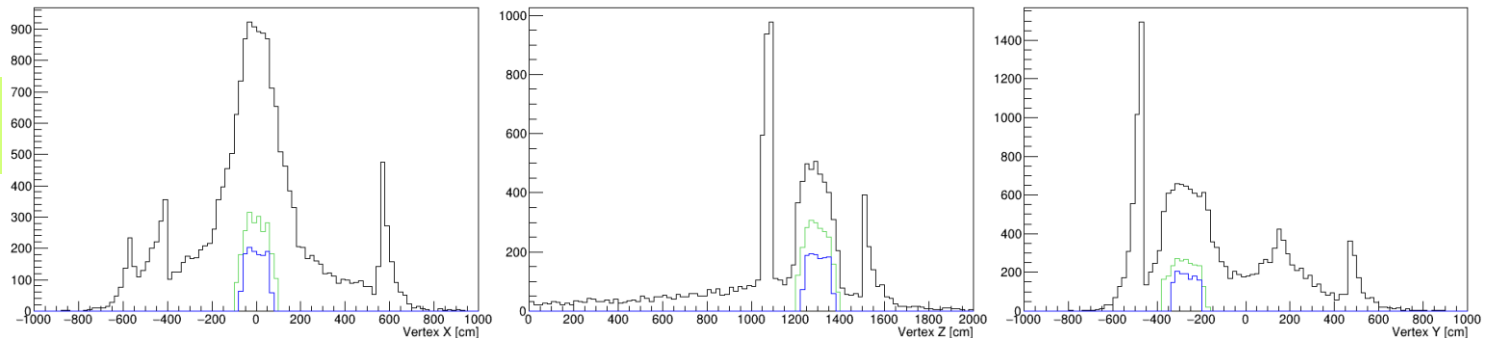
- Looking at MiniRun4 Simulated data: plots of true multiplicity, neutrino energy, and ν -interaction vertex
 - Black:** all simulated ν 's; **Green:** ν interactions in LAr; **Blue:** ν interactions in LAr FV.
- Helps visualize/understand analysis flow.
- Used a fraction of MiniRun4 files
 - No “nominal” cuts applied (CC requirement, minimum track length and/or energy cuts).



The center of the 2×2 is at $(0, -268, 1300)$ cm. Here the FV cuts [cm] are:

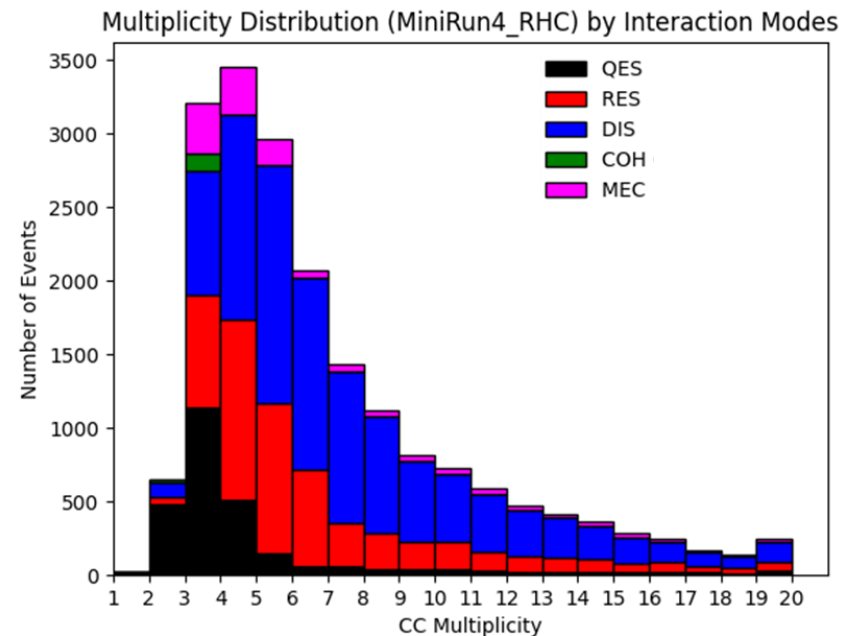
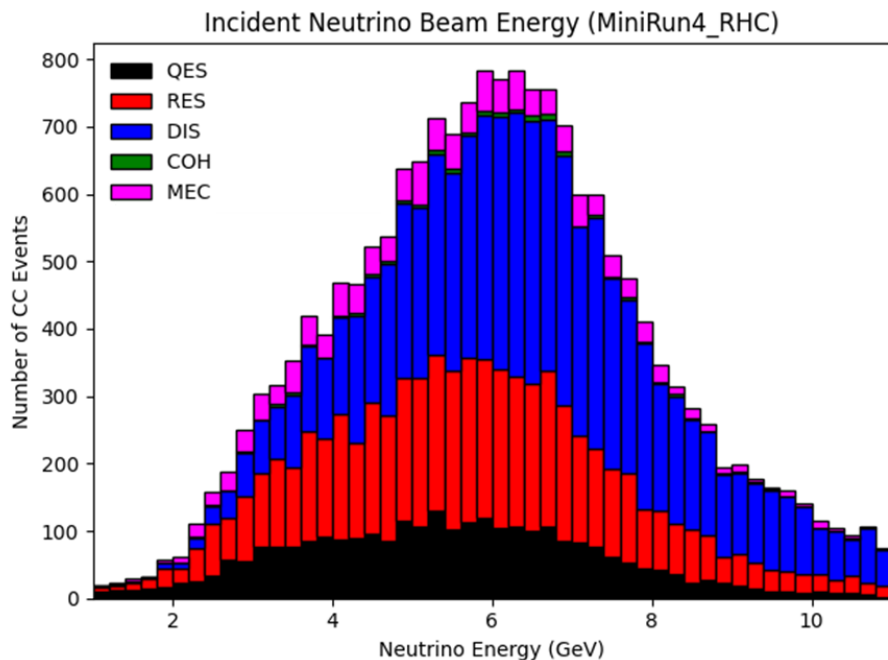
$$\begin{aligned} -67 < x < 60 \\ -335 < y < -201 \\ 1233 < z < 1367 \end{aligned}$$

Many thanks to the simulation group!



TRUTH PARTICLE STUDIES

- Performed truth studies in MiniRun4 files (for anti-neutrinos)
 - For example, energy distribution for CC neutrinos interacting with LAr in FV and corresponding final state particles are shown.



- Now, we are doing reconstructed event studies
 - *Reconstruction is based on Machine Learning*

HOW DATA LOOKS LIKE?

- Data in expected in Spring 2024
- The Hierarchical Data Format (HDF5) is used to store terabytes of data
- Two forms of data
 - **Truth data:** Simulated events
 - **Reconstructed data:** Experimental measurements with detector effects and uncertainties.

Dataset: /mc_truth/*interactions*/data

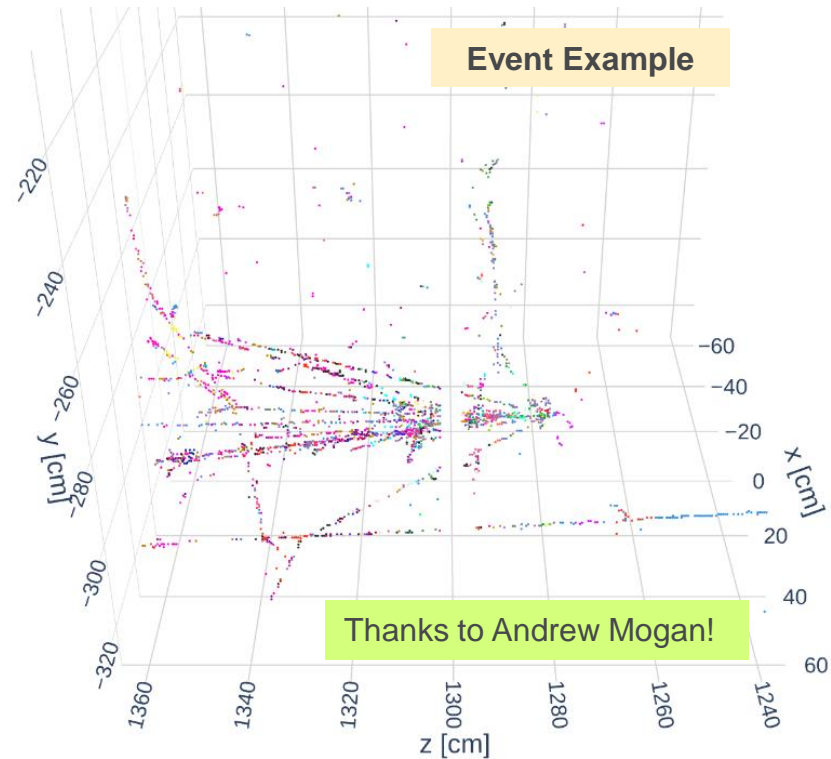
Names	Formats	Offsets
event_id	uint32	0
vertex_id	uint64	8
vertex	('<f8', (4,))	16
target	uint32	48
reaction	int32	52
isCC	bool	56
isQES	bool	57
isMEC	bool	58
isRES	bool	59
isDIS	bool	60
isCOH	bool	61
Enu	float32	64
nu_4mom	('<f4', (4,))	68
nu_pdg	int32	84
Ellep	float32	88
lep_mom	float32	92

Dataset: /mc_truth/*trajectories*/data

Names	Formats	Offsets
event_id	uint32	0
vertex_id	uint64	8
traj_id	uint32	16
local_traj_id	uint32	20
parent_id	int32	24
E_start	float32	28
pxyz_start	('<f4', (3,))	32
xyz_start	('<f4', (3,))	44
t_start	float64	56
E_end	float32	64
pxyz_end	('<f4', (3,))	68
xyz_end	('<f4', (3,))	80
t_end	float64	96
pdg_id	int32	104

HOW WILL THE MULTIPLICITY ANALYSIS PROCEED?

- **Charged Multiplicity Analysis Steps**
 - Collect charge hits vs time
 - Reconstruct hit clusters → tracks/showers
 - Reconstruct neutrino slices within each event
 - Find an event vertex
 - Loop around vertex to find associated tracks
 - Use track length in the track selection
 - Count number of charged particle tracks
 - Compare data to MC distributions
 - Account for systematic uncertainties.

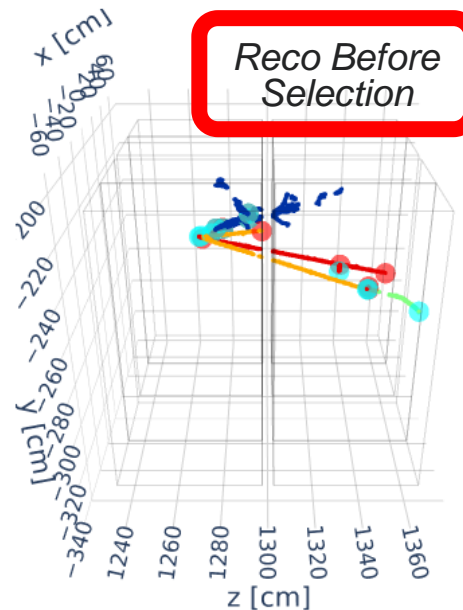
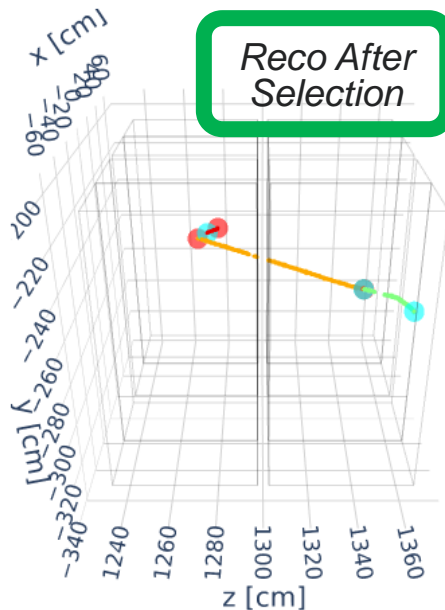


HOW EVENT LOOKS LIKE?

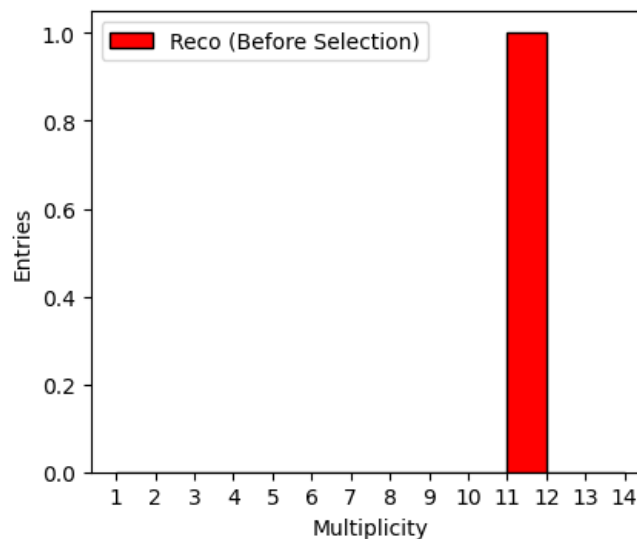
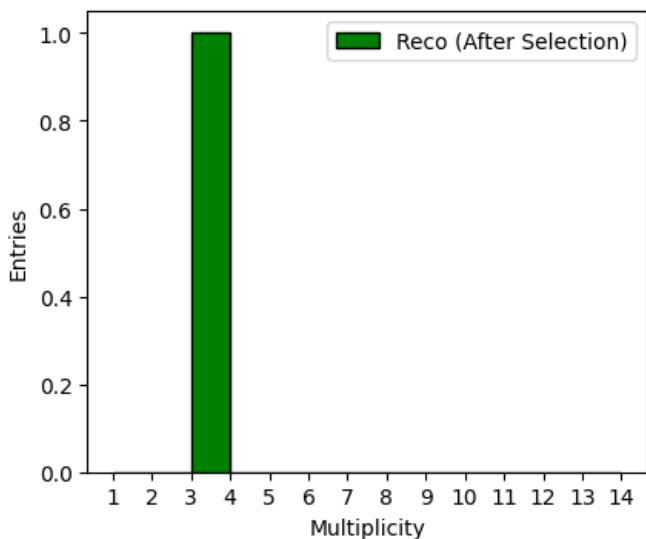
output_27023276_64-larcv_mlreco_ana.h5 (Event 30, Interaction 18)

■ Multiplicity

- Before Selection: 11
- After Selection: 3



- Legend
- Particle 10
 - Particle 11
 - Particle 18
 - Particle 10 Startpoint
 - Particle 11 Startpoint
 - Particle 18 Startpoint
 - Particle 10 Endpoint
 - Particle 11 Endpoint
 - Particle 18 Endpoint
 - Detector
 - Detector
 - Particle 0
 - Particle 1
 - Particle 2
 - Particle 3
 - Particle 9
 - Particle 10
 - Particle 11
 - Particle 12
 - Particle 14
 - Particle 15
 - Particle 18

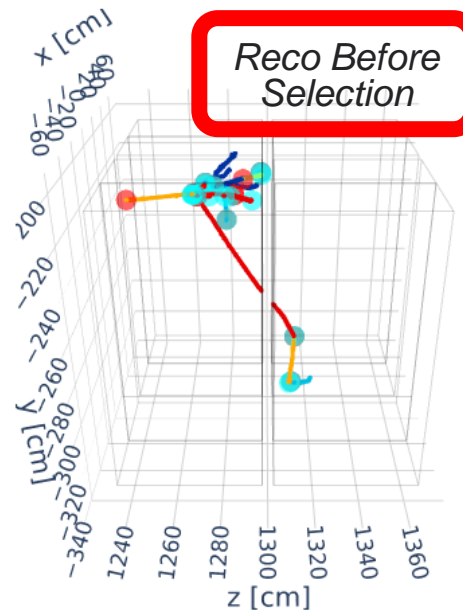
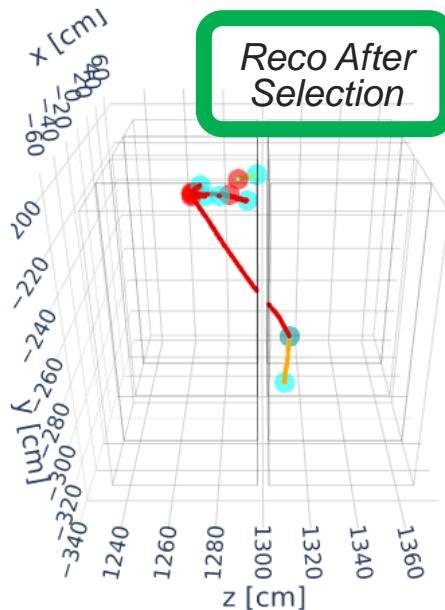


HOW EVENT LOOKS LIKE?

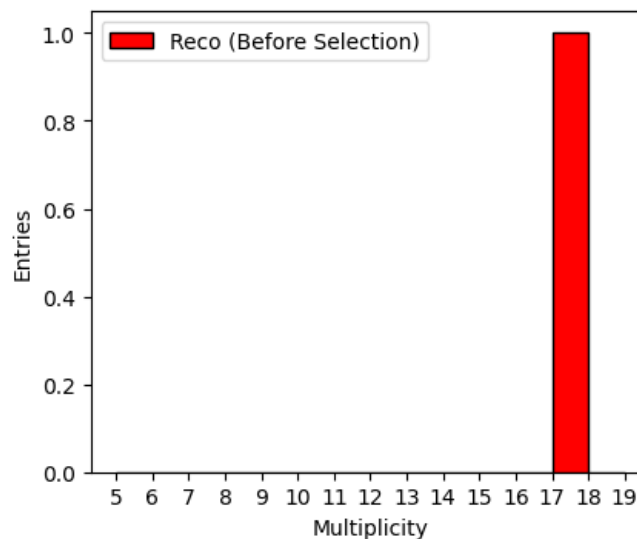
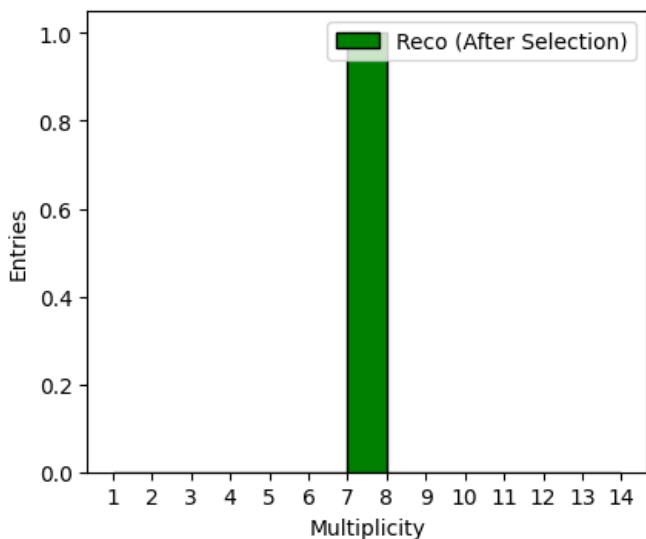
output_27023276_28-larcv_mlreco_ana.h5 (Event 81, Interaction 6)

■ Multiplicity

- Before Selection: 17
- After Selection: 7



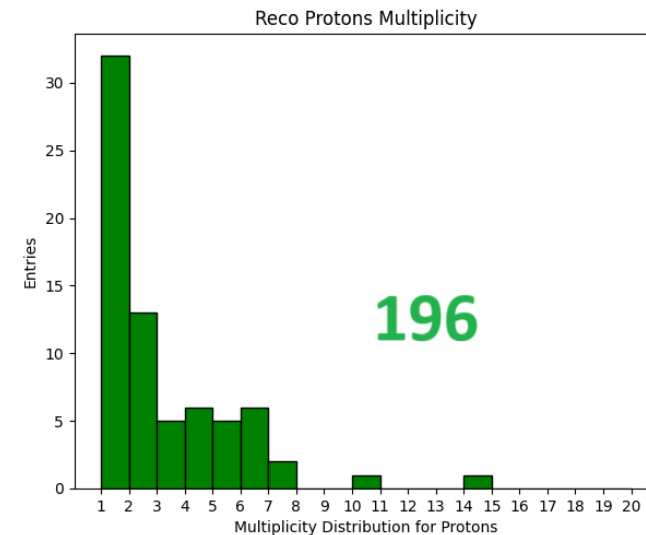
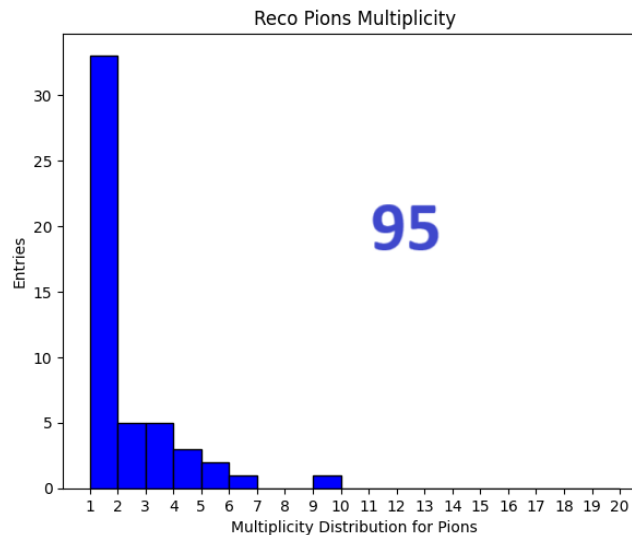
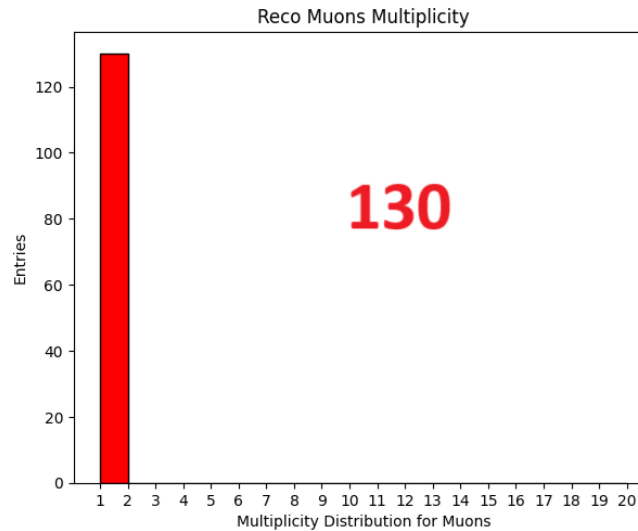
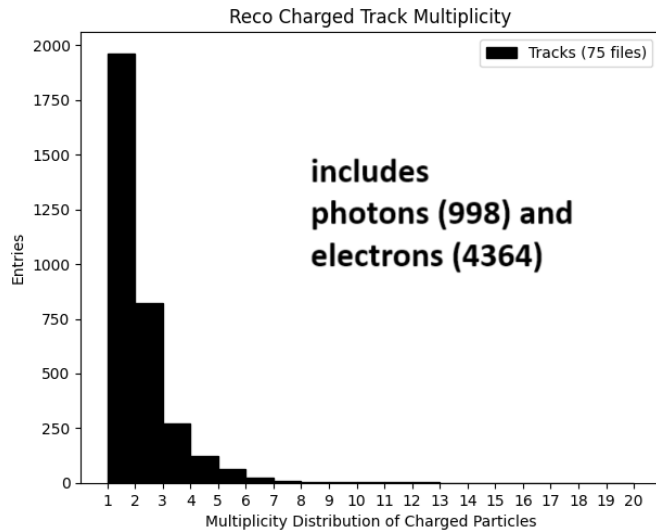
- Legend
- Particle 11
 - Particle 12
 - Particle 14
 - Particle 15
 - Particle 17
 - Particle 18
 - Particle 19
 - Particle 11 Startpoint
 - Particle 12 Startpoint
 - Particle 14 Startpoint
 - Particle 15 Startpoint
 - Particle 17 Startpoint
 - Particle 18 Startpoint
 - Particle 19 Startpoint
 - Particle 11 Endpoint
 - Particle 12 Endpoint
 - Particle 14 Endpoint
 - Particle 15 Endpoint
 - Particle 17 Endpoint
 - Particle 18 Endpoint
 - Particle 19 Endpoint
 - Detector



ANALYSIS WITH LARGER STATISTICS

1 muon plus other particles

Track > 0 cm; within FV; 10 cm from outer boundaries (along x, y, z) and inner boundaries (along x and z)



SUMMARY AND NEXT STEPS

- We did truth studies and now we are looking at reconstructed events.
- Working on to optimize event selection criteria

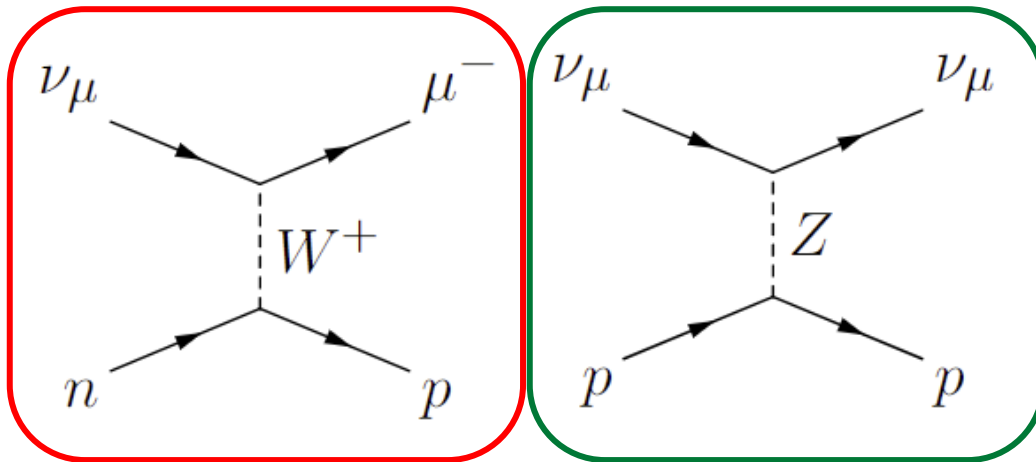
- Quantifying features such as multiplicity, energy and, angular distributions.
 - Looking at specific neutrino interactions such as CC events (1μ + other)
 - Continue developing the analysis

- Later, we will include systematics in the analysis
 - Re-Weightable Systematics
 - Flux systematics
 - GENIE/Cross-section and FSI systematics
 - GEANT4 re-interaction systematics
 - Detector systematics
 - Charge readout systematics: threshold, gain, time dependence
 - Space charge effect systematics
 - Recombination model systematics and diffusion systematics
 - Electron lifetime
 - Reconstruction systematics
 - PANDORA and/or ML-Reco uncertainties in track counting efficiency

BACKUP SLIDES

NEUTRINOS

- Spin $\frac{1}{2}$ fermions with very light masses
- 3 neutrino flavors: electron, muon, and tau
- Left-handed weak interactions (very small cross-section)
- Oscillate between mass and flavor eigenstates
- Interact via **Charged Current (CC)** and **Neutral Current (NC)**

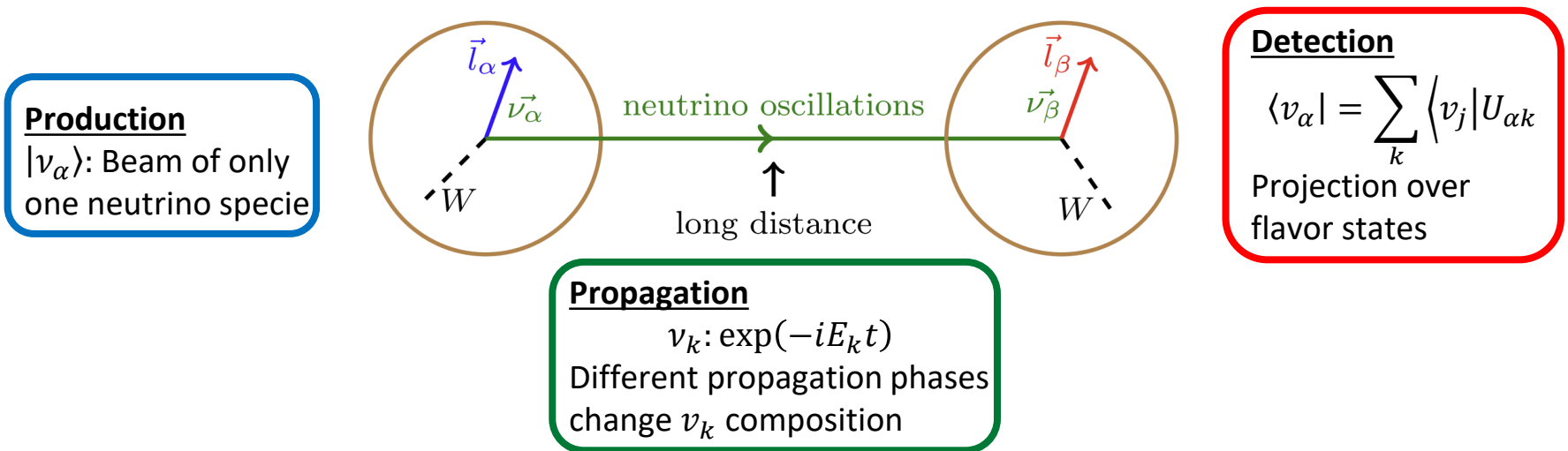


Standard Model of Elementary Particles

	three generations of matter (fermions)			interactions / force carriers (bosons)	
	I	II	III		
mass	$\approx 2.2 \text{ MeV}/c^2$	$\approx 1.28 \text{ GeV}/c^2$	$\approx 173.1 \text{ GeV}/c^2$	0	$\approx 124.97 \text{ GeV}/c^2$
charge	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$	0	0
spin	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1	0
	u up	c charm	t top	g gluon	H higgs
	d down	s strange	b bottom	γ photon	
QUARKS					
mass	$\approx 0.511 \text{ MeV}/c^2$	$\approx 105.66 \text{ MeV}/c^2$	$\approx 1.7768 \text{ GeV}/c^2$	$\approx 91.19 \text{ GeV}/c^2$	
charge	-1	-1	-1	0	
spin	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1	
	e electron	μ muon	τ tau	Z Z boson	
	ν_e electron neutrino	ν_μ muon neutrino	ν_τ tau neutrino	W W boson	
LEPTONS					
mass	$< 1.0 \text{ eV}/c^2$	$< 0.17 \text{ MeV}/c^2$	$< 18.2 \text{ MeV}/c^2$	$\approx 80.433 \text{ GeV}/c^2$	
charge	0	0	0	± 1	
spin	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1	
	ν_e electron neutrino	ν_μ muon neutrino	ν_τ tau neutrino	W W boson	
Gauge Bosons					
Scalar Bosons					

NEUTRINO OSCILLATIONS

- Change flavor when travels over a distance (neutrino oscillations)
- Flavor states (ν_e, ν_μ, ν_τ) are a **linear combination** of mass eigenstates (ν_1, ν_2, ν_3)



$$|\nu_\alpha\rangle = \sum_{k=1}^3 U_{\alpha k} |\nu_k\rangle \quad (\alpha = e, \mu, \tau) \quad \longrightarrow \quad \begin{bmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{bmatrix} = \begin{bmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{bmatrix} \begin{bmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{bmatrix}$$

NEUTRINO MIXING

- 2-neutrino mixing depends on **1 angle** only.

$$U = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}$$

- 3-neutrino mixing is described by **3 angles** and **1 Dirac** CP violating phase.

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{-i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

oscillation of atmospheric neutrinos

measured by reactor neutrino experiments

Dirac phase on which oscillations depend

oscillation of solar neutrinos

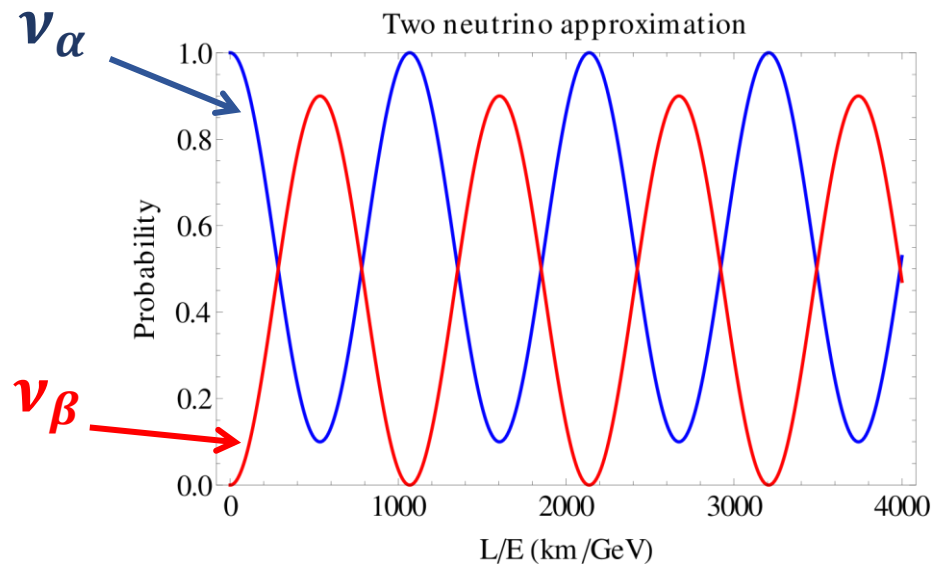
TWO NEUTRINO OSCILLATIONS

- Two-neutrino oscillation probability ($\alpha \neq \beta$)

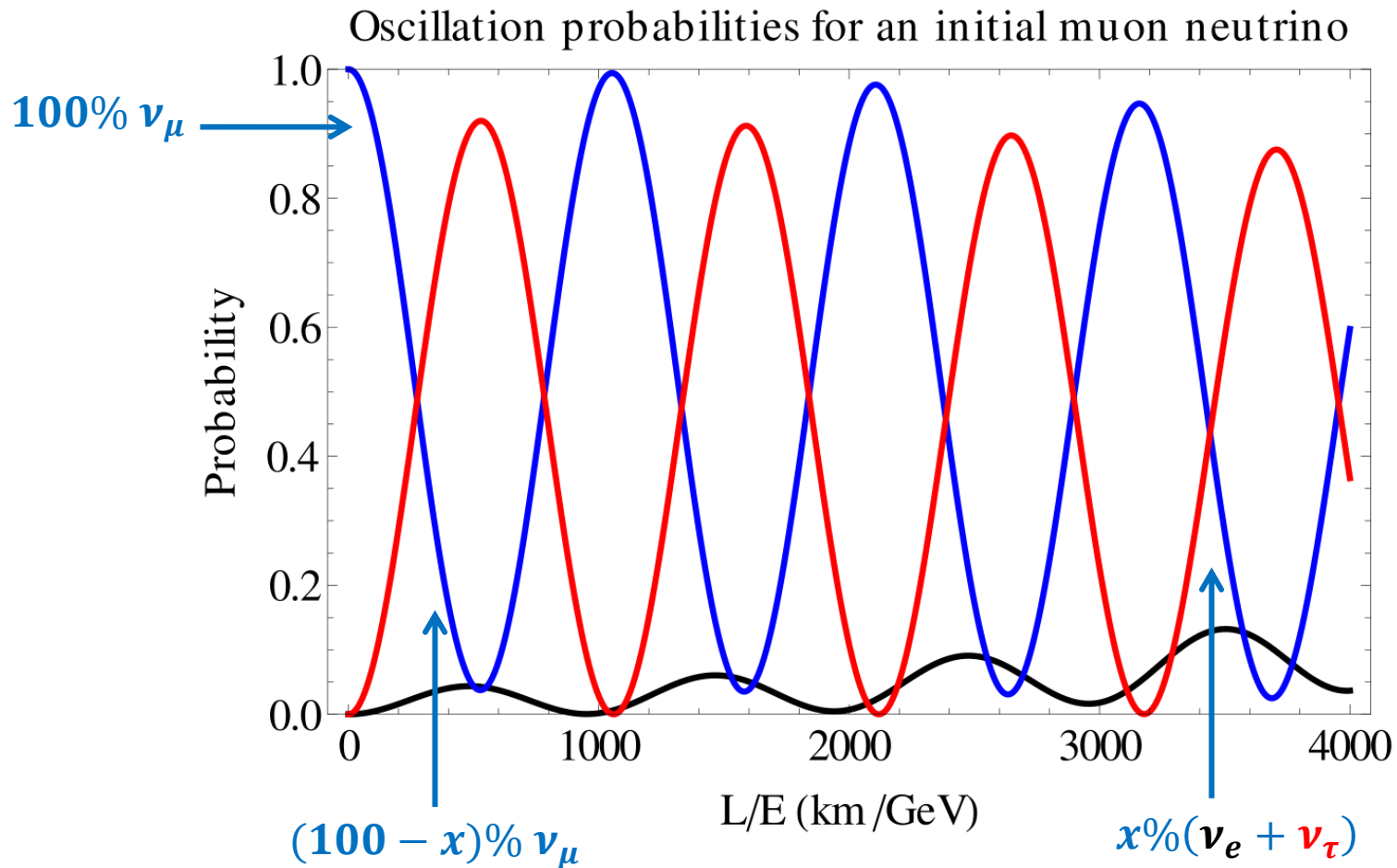
$$P(\nu_\alpha \rightarrow \nu_\beta) = \sin^2(2\theta) \sin^2(\phi)$$

- The oscillation phase

$$\phi = \frac{\Delta m_{21}^2 L}{4E} = 1.27 \frac{\Delta m_{21}^2 [\text{eV}^2] L [\text{km}]}{E [\text{GeV}]}$$



THREE NEUTRINO OSCILLATION PROBABILITY AS A FUNCTION OF THE BASELINE



NEUTRINO MASS ORDERING

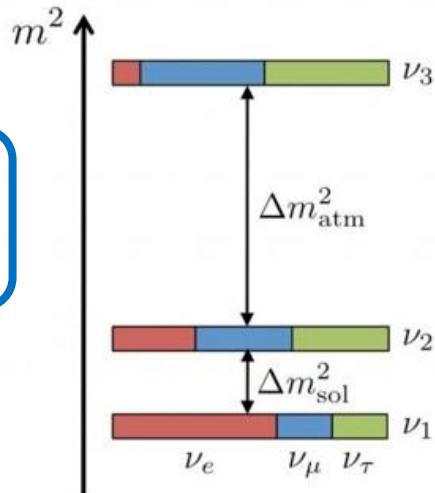
- We know the two mass-squared differences from neutrino oscillations

$$|\Delta m_{\text{atm}}^2| \sim 2.5 \times 10^{-3} \text{ eV}^2$$

$$\Delta m_{\text{sol}}^2 \sim 7.5 \times 10^{-3} \text{ eV}^2$$

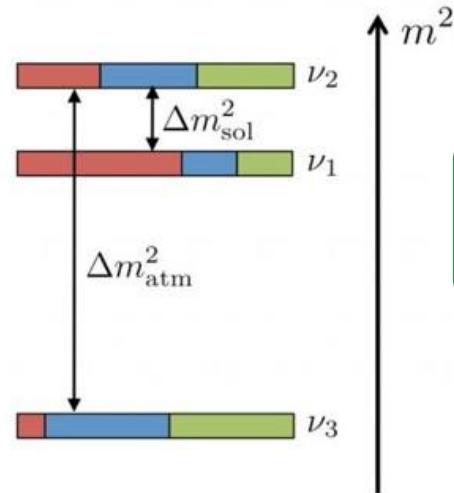
- Sign of Δm_{atm}^2 is unknown.

normal hierarchy (NH)



$\nu_3 > \nu_2 > \nu_1$
 ν_e is lighter.

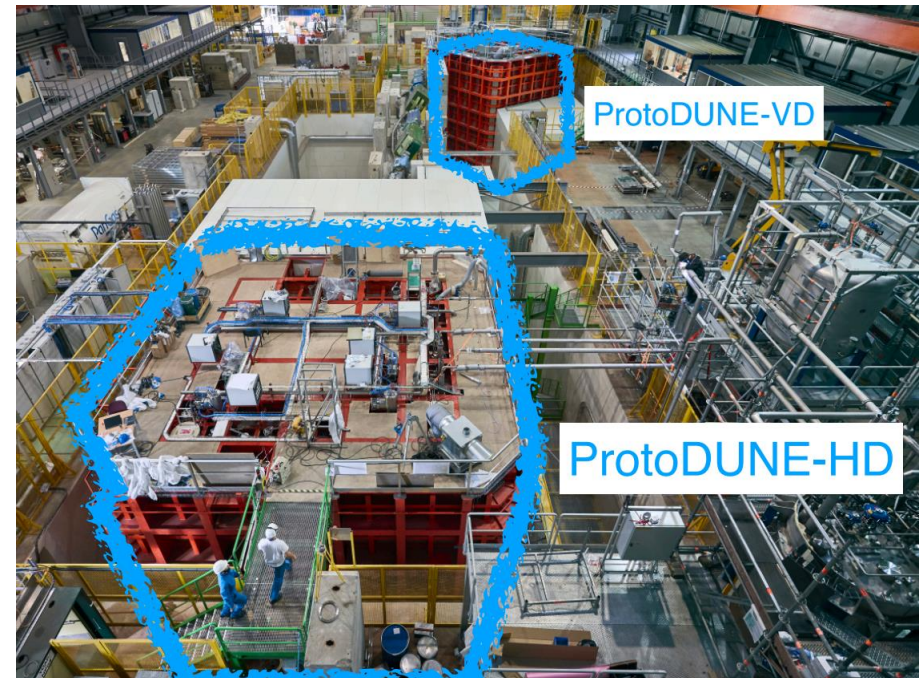
inverted hierarchy (IH)



$\nu_2 > \nu_1 > \nu_3$
 ν_e is heavier.

ProtoDUNE at CERN

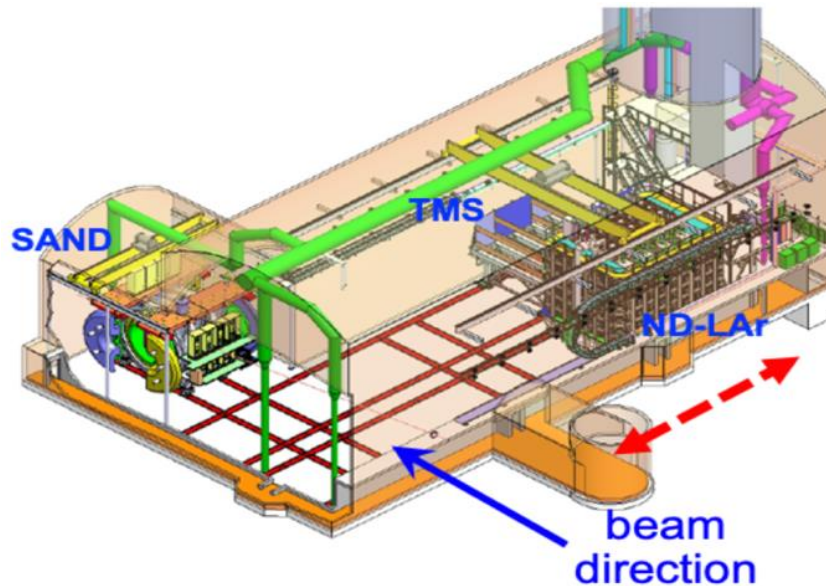
- Two **750 ton** prototypes: $(7.2 \times 6.1 \times 7.0)$ m
 - Single-Phase Horizontal Drift (HD)
 - Single-Phase Vertical Drift (VD)
- Advantages
 - Design validation of all components
 - Characterize detector performance on charged-particle beams
 - Train and develop reconstruction algorithms
 - Perform in-depth physics analysis
 - Provide feedback to AI/ML reconstruction and Monte-Carlo simulations



*Each DUNE-FD module will be **20** times larger than one ProtoDUNE detector.*

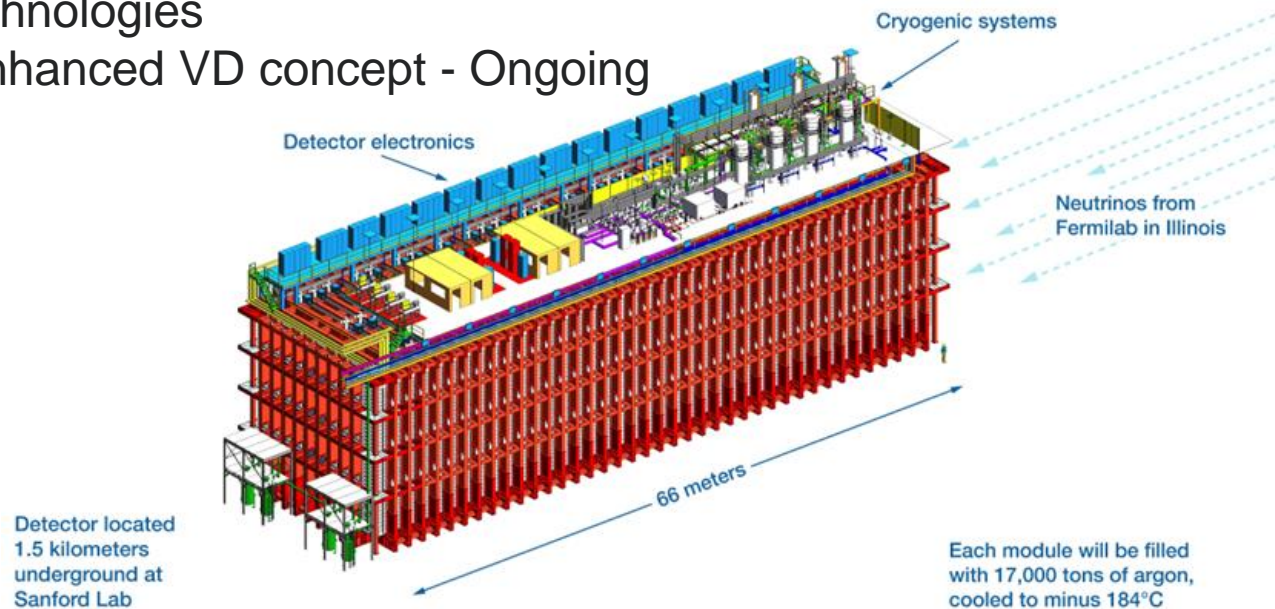
NEAR DETECTOR COMPLEX

- Near Detector Liquid Argon (**ND-LAr**)
 - A 67 – ton liquid argon time projection chamber (TPC)
 - Allows target for neutrino interactions with hadronic activity
- Temporary Muon Spectrometer (**TMS**)
 - Muon spectrometer for forward muons not contained in ND-LAr
- System for On-Axis Neutrino Detection (**SAND**)
 - Provides baseline monitoring with a detector and target that enables weekly beam-monitoring



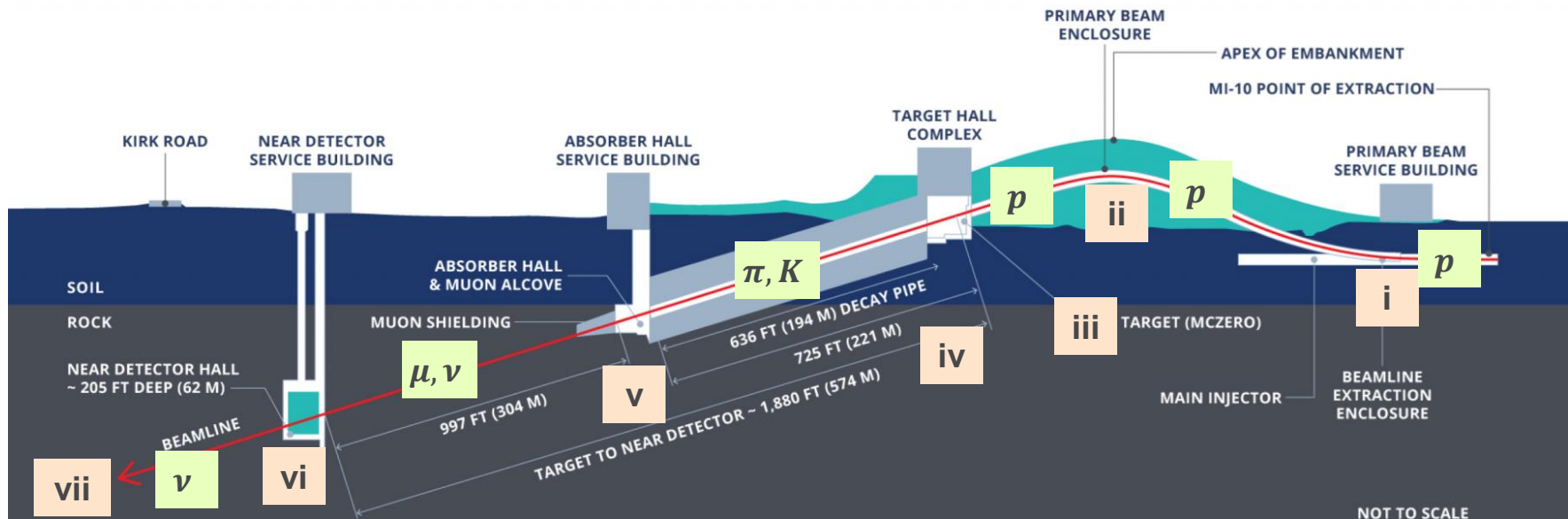
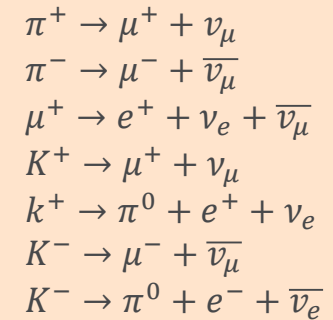
FAR DETECTOR COMPLEX

- 4 detector modules, 17 kt total mass each (~10 kt of FV each)
 - Construction in stages
 - 2 single-phase (SP) and 2 dual-phase (DP) LArTPCs
- Single-Phase LArTPC
 - FD #1: Horizontal Drift (HD) – Construction starts in mid 2020's
 - FD #2: Vertical Drift (VD)
- Dual-Phase LArTPC Technologies
 - FD #3: SP LArTPC enhanced VD concept - Ongoing
 - FD #4: Ongoing R&D



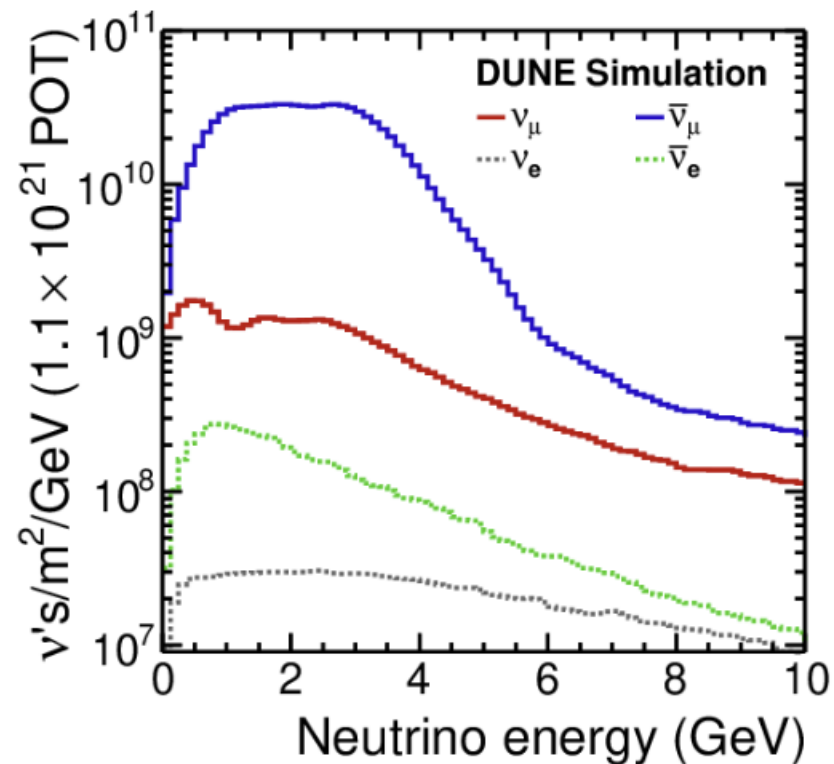
LBNF NEUTRINO BEAM (WORKING)

- i. Start with an intense (MW) proton beam
- ii. Point towards South Dakota
- iii. Smash high-energy (~80 GeV) protons into a target
- iv. Focus positive pions/kaons
- v. Allow them to decay
- vi. Absorb remaining charged particles in rock (e.g., π^+ , π^- , K^+ , K^- , K^0)
- vii. Left with a “collimated” ν_μ beam



NEUTRINO BEAM FLUX

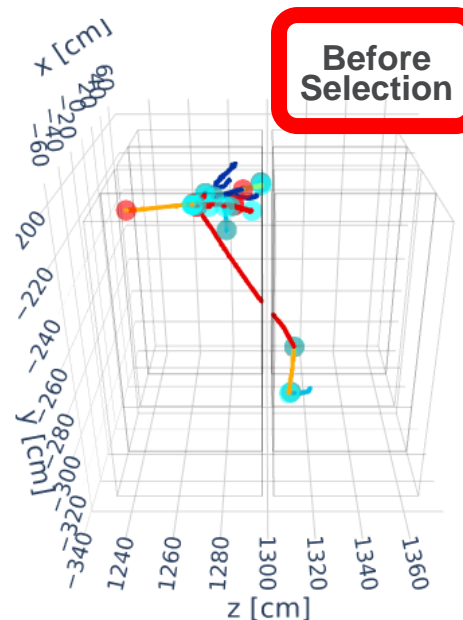
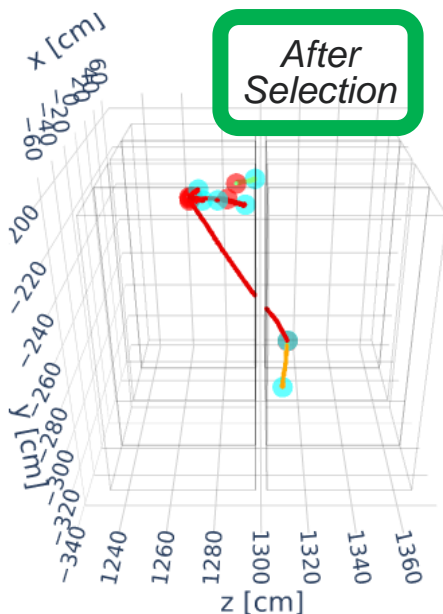
- Predicted fluxes at FD are shown.
 - Generated using Geant4-based simulation of the LBNF neutrino beam
 - Fluxes are available in both modes:
 - Forward Horn Current (FHC) mode (for neutrinos)
 - Reverse Horn Current (RHC) mode (for antineutrinos)
 - DUNE oscillation
 - Around 3 GeV
 - $\bar{\nu}_\mu$ is 3×10^{10}
 - $\bar{\nu}_e$ is 3×10^8
- 1% of antineutrinos are electron-antineutrinos.



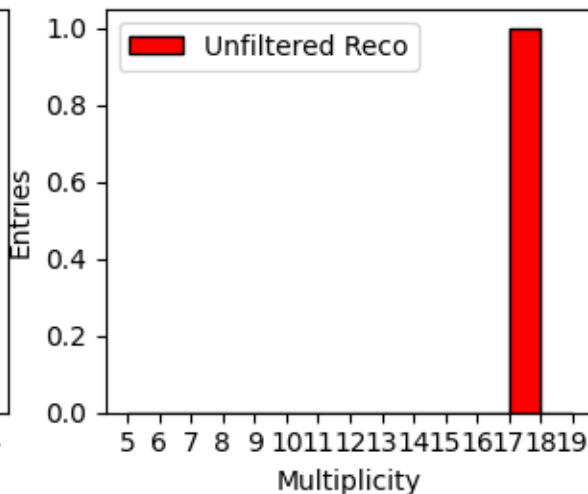
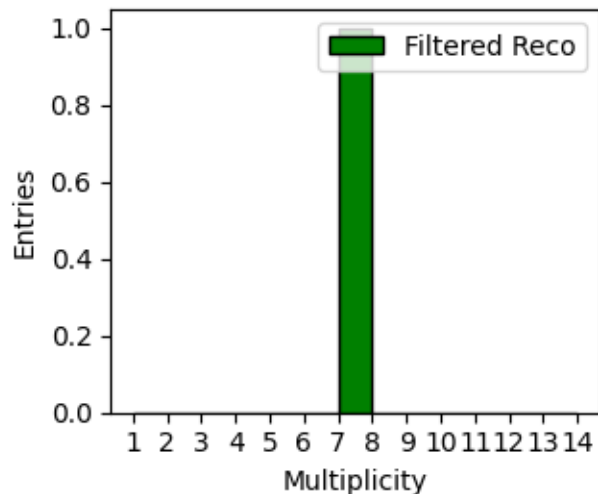
HOW EVENT LOOKS LIKE?

output_27023276_28-larcv_mlreco_ana.h5 (Event 81, Interaction 6)

	No Selection	After Selection
γ	2	0
e	3	0
μ	1	1
π^\pm	4	1
p	7	5
K	0	0



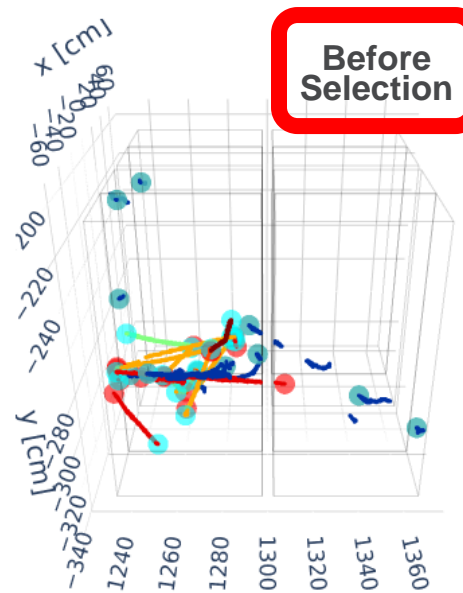
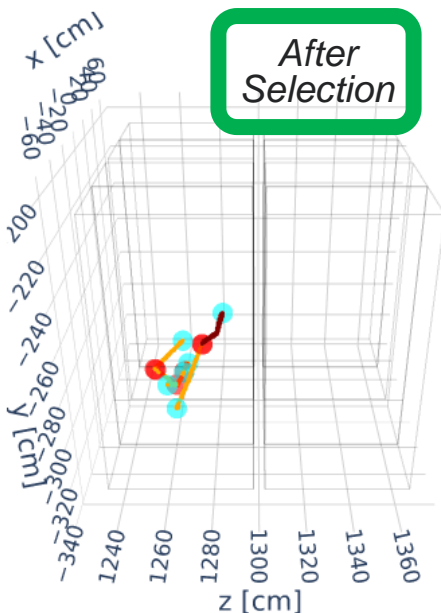
- Legend
- Particle 11
 - Particle 12
 - Particle 14
 - Particle 15
 - Particle 17
 - Particle 18
 - Particle 19
 - Particle 11 Startpoint
 - Particle 12 Startpoint
 - Particle 14 Startpoint
 - Particle 15 Startpoint
 - Particle 17 Startpoint
 - Particle 18 Startpoint
 - Particle 19 Startpoint
 - Particle 11 Endpoint
 - Particle 12 Endpoint
 - Particle 14 Endpoint
 - Particle 15 Endpoint
 - Particle 17 Endpoint
 - Particle 18 Endpoint
 - Particle 19 Endpoint
 - Detector



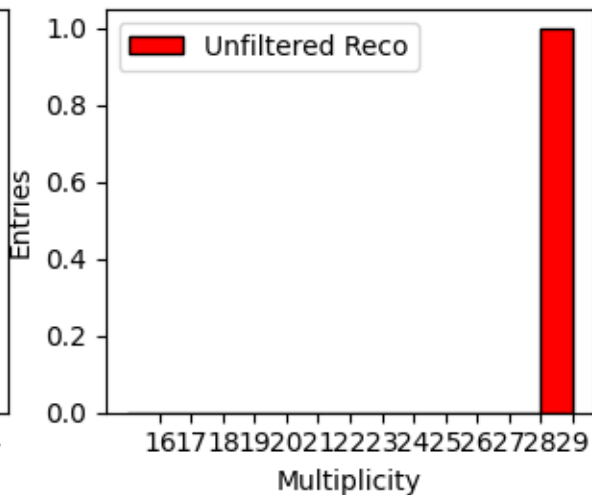
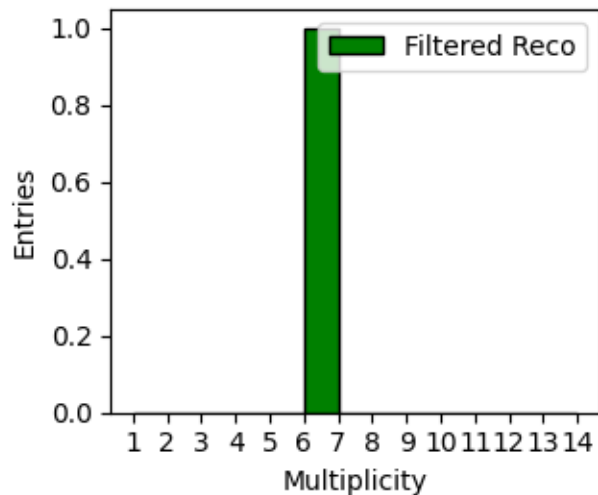
HOW EVENT LOOKS LIKE?

output_27023276_88-larcv_mlreco_ana.h5 (Event 99, Interaction 6)

	No Selection	After Selection
γ	10	0
e	1	0
μ	1	5
π^\pm	9	0
p	7	1
K	1	0

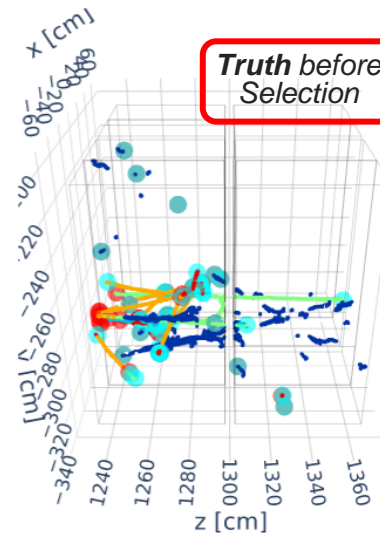
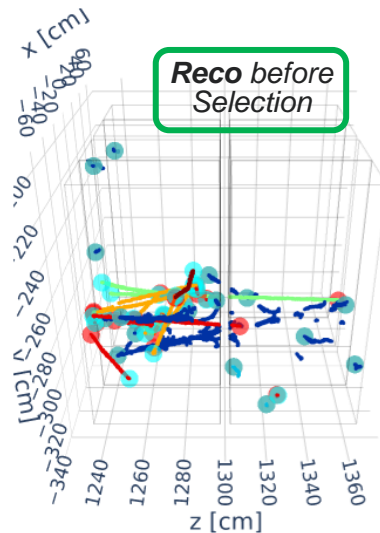


- Legend
- Particle 25
 - Particle 26
 - Particle 27
 - Particle 30
 - Particle 31
 - Particle 33
 - Particle 25 Startpoint
 - Particle 26 Startpoint
 - Particle 27 Startpoint
 - Particle 30 Startpoint
 - Particle 31 Startpoint
 - Particle 33 Startpoint
 - Particle 25 Endpoint
 - Particle 26 Endpoint
 - Particle 27 Endpoint
 - Particle 30 Endpoint
 - Particle 31 Endpoint
 - Particle 33 Endpoint
 - Detector
 - Detector
 - Particle 0
 - Particle 1

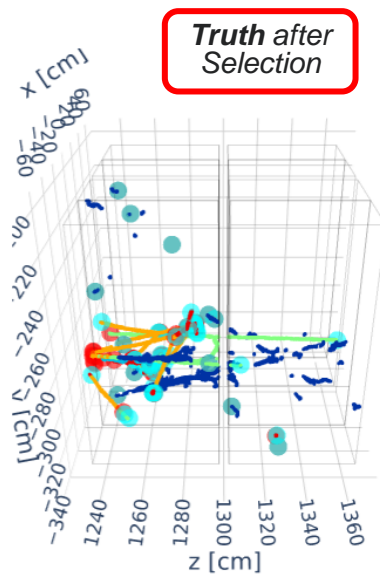
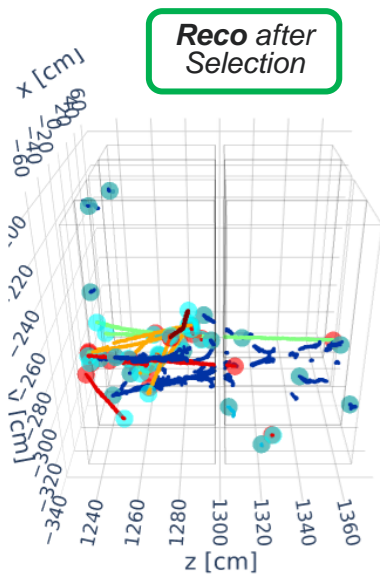


HOW EVENT LOOKS LIKE?

output_27023276_88-larcv_mlreco_ana.h5 (Event 99)



- Legend
- Particle 0
 - Particle 1
 - Particle 2
 - Particle 3
 - Particle 4
 - Particle 5
 - Particle 6
 - Particle 7
 - Particle 8
 - Particle 9
 - Particle 10
 - Particle 11
 - Particle 12
 - Particle 13
 - Particle 14
 - Particle 15
 - Particle 16
 - Particle 17
 - Particle 18
 - Particle 19
 - Particle 20
 - Particle 21



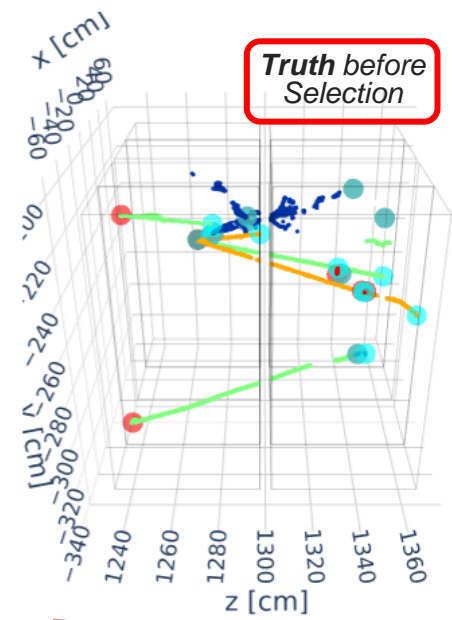
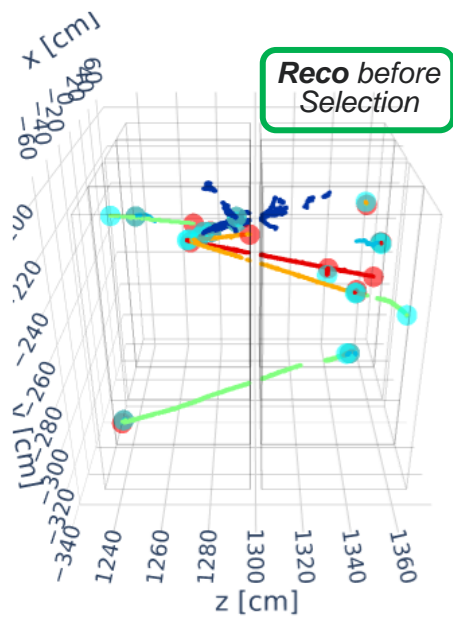
- Legend
- Particle 0
 - Particle 1
 - Particle 2
 - Particle 3
 - Particle 4
 - Particle 5
 - Particle 6
 - Particle 7
 - Particle 8
 - Particle 9
 - Particle 10
 - Particle 11
 - Particle 12
 - Particle 13
 - Particle 14
 - Particle 15
 - Particle 16
 - Particle 17
 - Particle 18
 - Particle 19
 - Particle 20
 - Particle 21

- Interaction IDs *before* Selection
 - Truth: 0, 1, 2, 3, 5
 - Reco: 3, 6, 15, 16, 17, 32, 37
- Interaction IDs *after* Selection
 - Truth: 3
 - Reco: 6

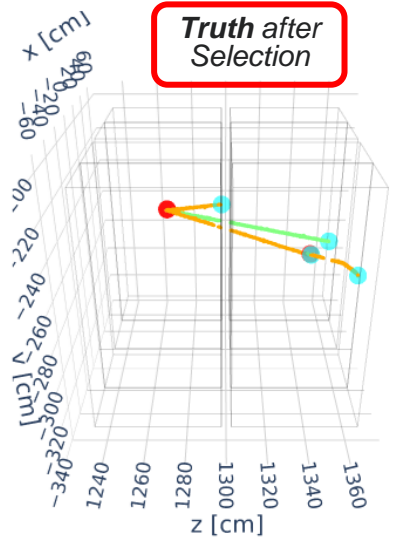
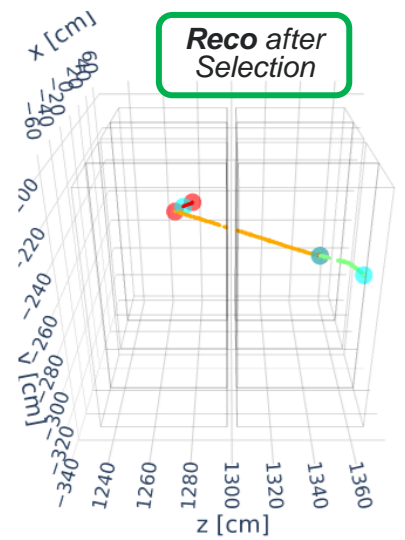
HOW EVENT LOOKS LIKE?

output_27023276_64-larcv_mlreco_ana.h5 (Event 30)

	Truth	Reco
γ	4	4
e	1	4
μ	3	3
π^\pm	3	3
p	6	5



- Legend
- Particle 0
 - Particle 1
 - Particle 2
 - Particle 3
 - Particle 4
 - Particle 5
 - Particle 6
 - Particle 7
 - Particle 8
 - Particle 9
 - Particle 10
 - Particle 11
 - Particle 12
 - Particle 13
 - Particle 14
 - Particle 15
 - Particle 16
 - Particle 17
 - Particle 18
 - Particle 0 Startpoint
 - Particle 1 Startpoint
 - Particle 2 Startpoint



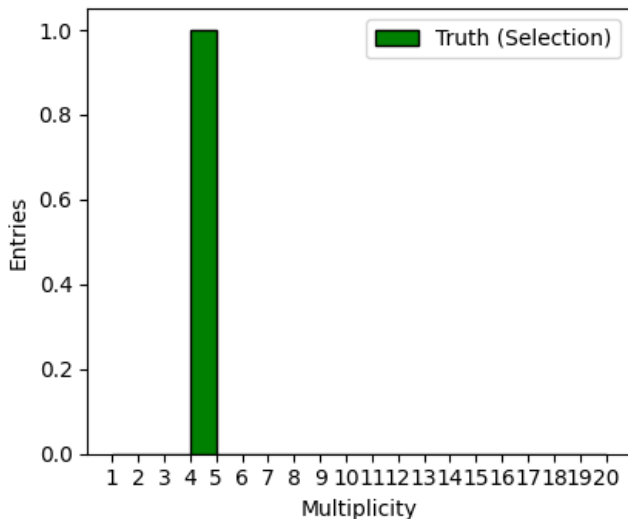
- Legend
- Particle 10
 - Particle 11
 - Particle 18
 - Particle 10 Startpoint
 - Particle 11 Startpoint
 - Particle 18 Startpoint
 - Particle 10 Endpoint
 - Particle 11 Endpoint
 - Particle 18 Endpoint
 - Detector
 - Detector
 - TruthParticle 3
 - TruthParticle 4
 - TruthParticle 5
 - TruthParticle 8
 - TruthParticle 3 Startpoint
 - TruthParticle 4 Startpoint
 - TruthParticle 5 Startpoint
 - TruthParticle 8 Startpoint
 - TruthParticle 3 Endpoint
 - TruthParticle 4 Endpoint
 - TruthParticle 5 Endpoint

	Truth	Reco
γ	0	0
e	0	0
μ	1	1
π^\pm	3	1
p	0	0

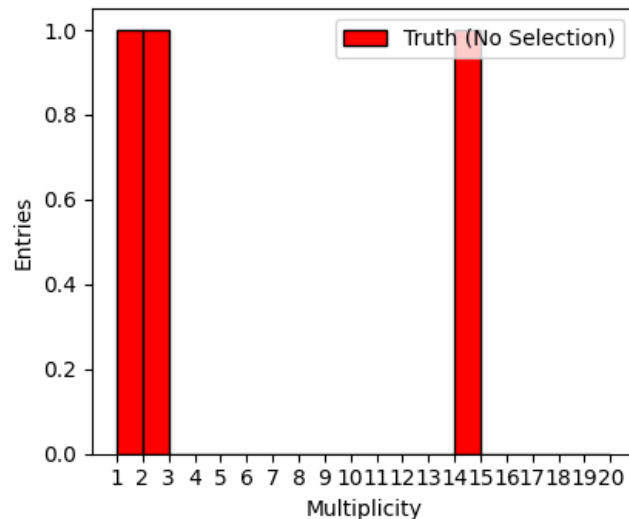
HOW EVENT LOOKS LIKE?

output_27023276_64-larcv_mlreco_ana.h5 (Event 30)

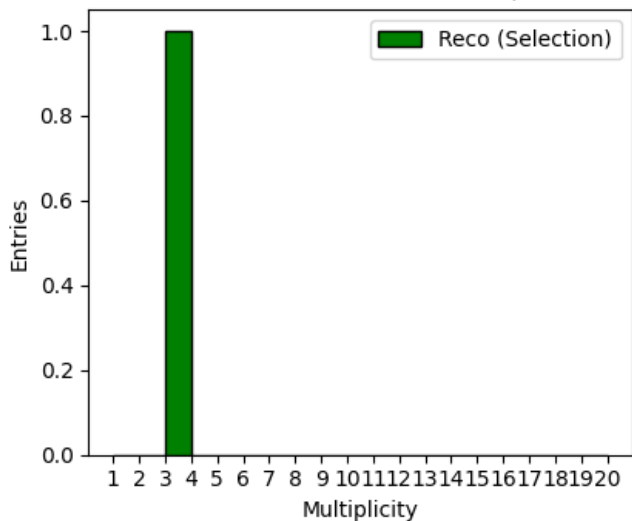
1 Truth Interaction after Selection (Event: 30)



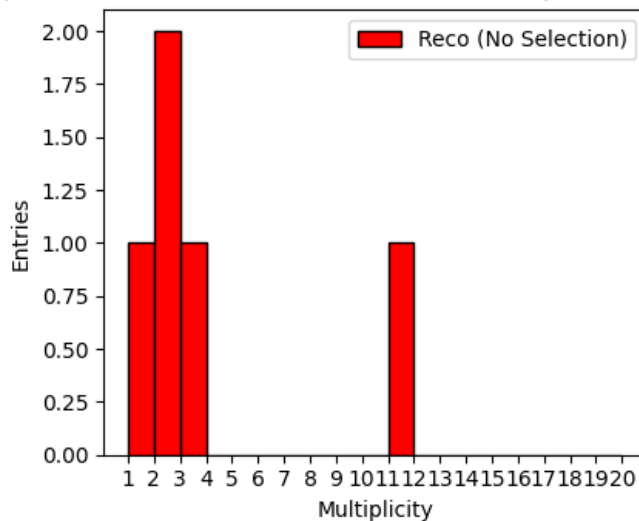
3 Truth Interactions before Selection (Event: 30)



1 Reco Interaction after Selection (Event: 30)



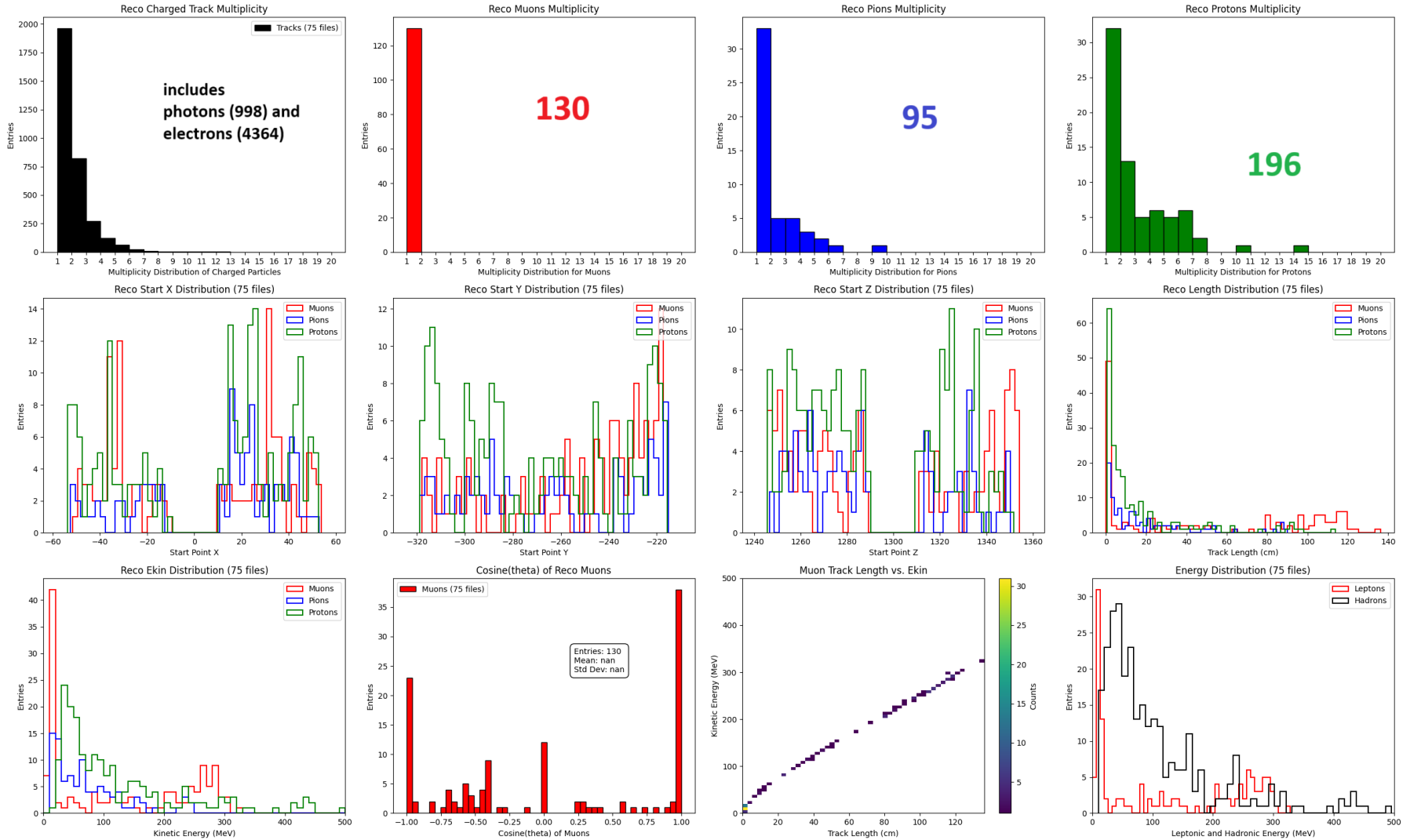
5 Reco Interactions before Selection (Event: 30)



MORE ANALYSIS TO COME...

1 muon plus other particles

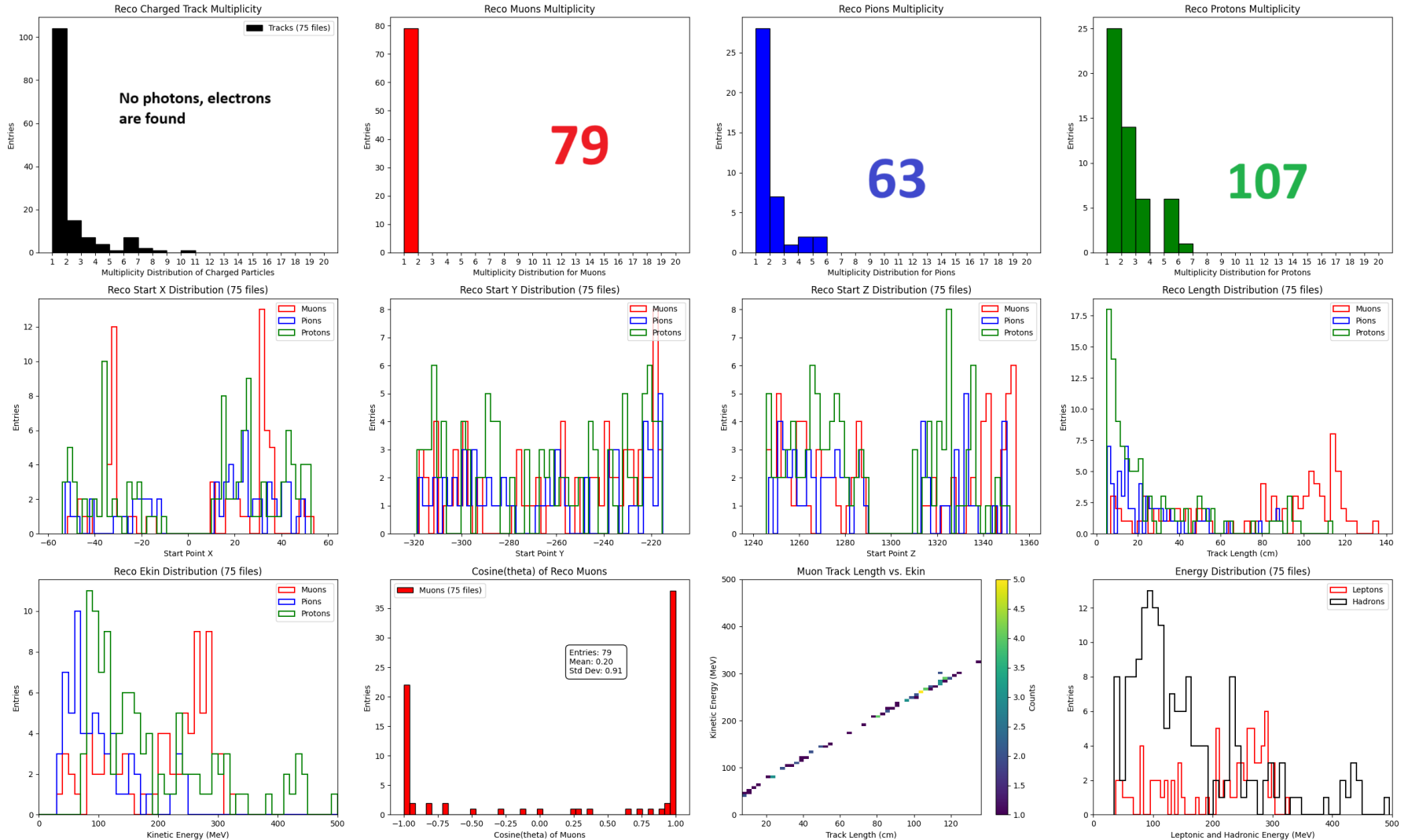
Track > 5 cm; within FV; 10 cm from outer and inner boundaries



MORE ANALYSIS TO COME...

1 muon plus other particles

Track > 5 cm; within FV; 10 cm from outer and inner boundaries



List of Statistical and Systematic Uncertainties Relevant for charged track multiplicity analysis

➤ **Statistical Uncertainties**

- Data sample statistical uncertainty: collected data set statistics with expected NuMI POT exposure
- Intrinsic Monte-Carlo Statistical Uncertainty: to be considered with a finite size simulated data set.

➤ **Systematic Uncertainties**

- Re-Weightable Systematics* :

- Flux Systematics
- GENIE/Cross-Section and FSI Systematics
- Geant4 Re-Interaction Systematics.

Please also see Richie's talk on truth studies.

- Detector Systematics

- Charge Readout Systematics: threshold, gain, time dependence
- Space Charge Effect Systematics
- Recombination Model Systematics and Diffusion Systematics
- Electron lifetime.

- Reconstruction Systematics

- PANDORA and/or ML-reco uncertainties in track counting efficiency: a function of particle type and deposited energy (short vs long-track).

Please see Aleena's talk on Pandora validation.