

Proton Decay in Orbifold GUTs

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Intensity Frontier
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DEPARTMENT OF
PHYSICS

Outline

Evolution of SUSY GUTs

- 4 dimensional SUSY GUTs
- 5 dimensional orbifold GUTs
- String orbifolds \longleftrightarrow orbifold GUTs
- Conclusions

Supersymmetric Grand Unified Theories

- $M_Z \ll M_G$ "natural"
- Explains charge quantization & Families
- Unification of gauge couplings
- Yukawa coupling unification
- + family symmetry \rightarrow fermion mass hierarchy
- Neutrino masses via See-Saw
- LSP - dark matter candidate
- Baryogenesis via leptogenesis
- SUSY desert \rightarrow LHC probes physics at M_{Pl}
- SUSY GUTs "natural extension of SM"

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Grand Unification - SO(10) GUT

State	Y = $\frac{2}{3}\Sigma(C) - \Sigma(W)$	Color C spins	Weak W spins
$\bar{\nu}$	0	---	---
\bar{e}	2	---	++
u_r	$\frac{1}{3}$	+ - -	- +
d_r		+ - -	+ -
u_b		- + -	- +
d_b		- + -	+ -
u_y		- - +	- +
d_y		- - +	+ -
\bar{u}_r	$-\frac{4}{3}$	- + +	--
\bar{u}_b		+ - +	--
\bar{u}_y		+ + -	--
\bar{d}_r	$\frac{2}{3}$	- + +	++
\bar{d}_b		+ - +	++
\bar{d}_y		+ + -	++
ν	-1	+ + +	- +
e		+ + +	+ -

Georgi

Fritzsch & Minkowski

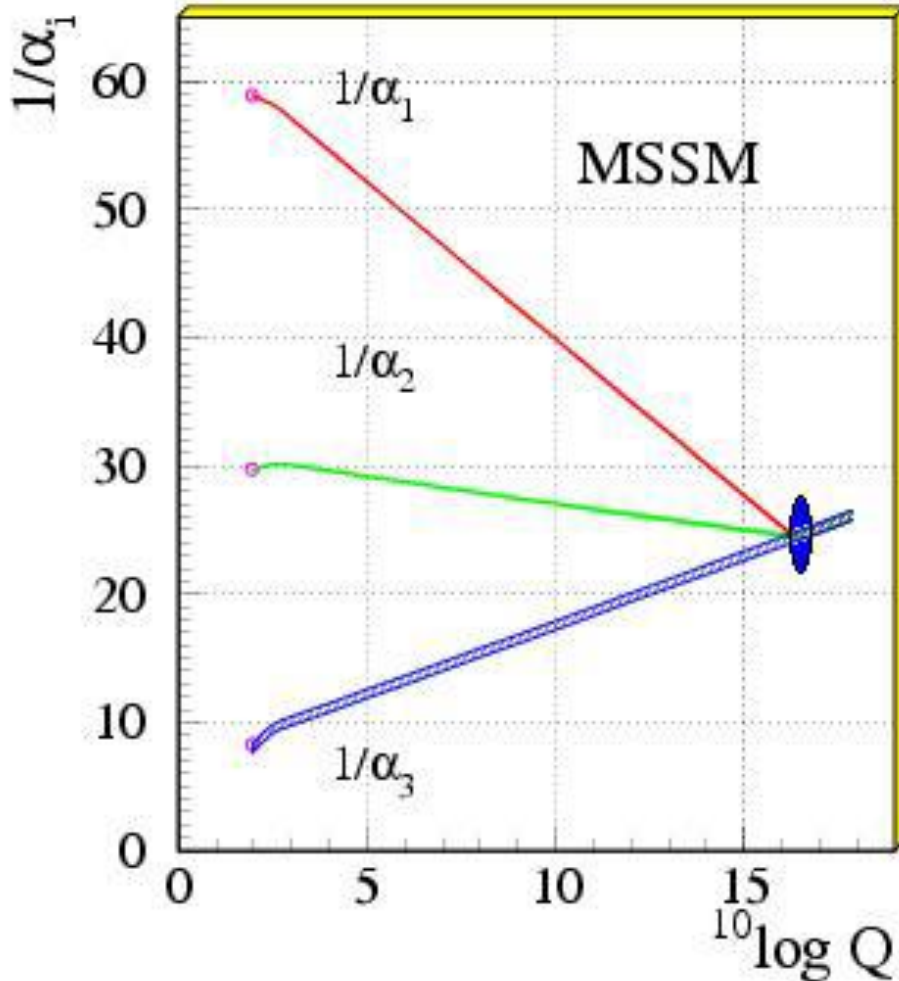
spinor reps.
of SO(10)

tensor product
of 5 spin $\frac{1}{2}$
w/even no. + signs

Georgi & Glashow

SU(5)

Gauge coupling unification

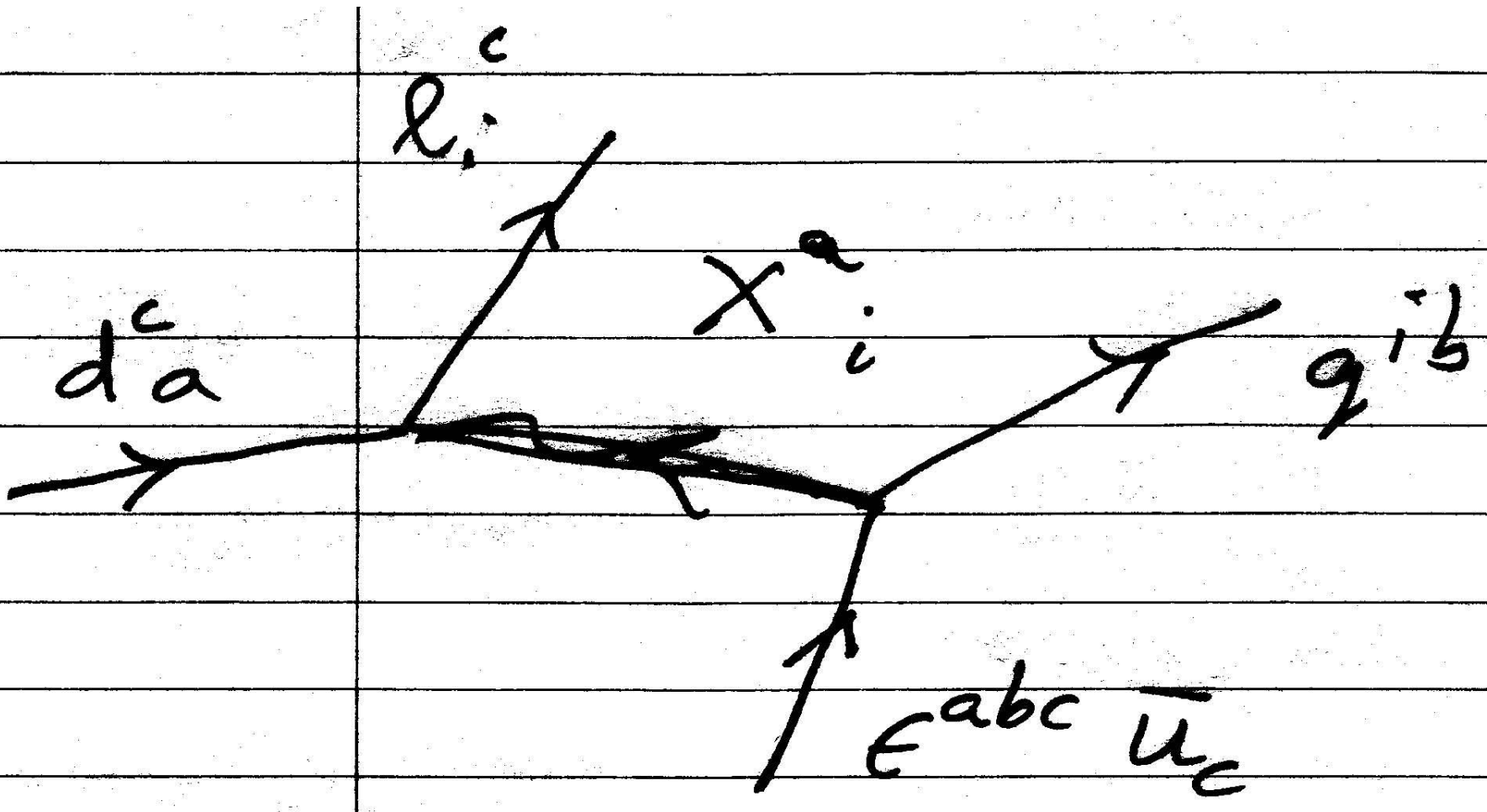


Dimopoulos, Raby
& Wilczek
PRD24, 1681 (1981)

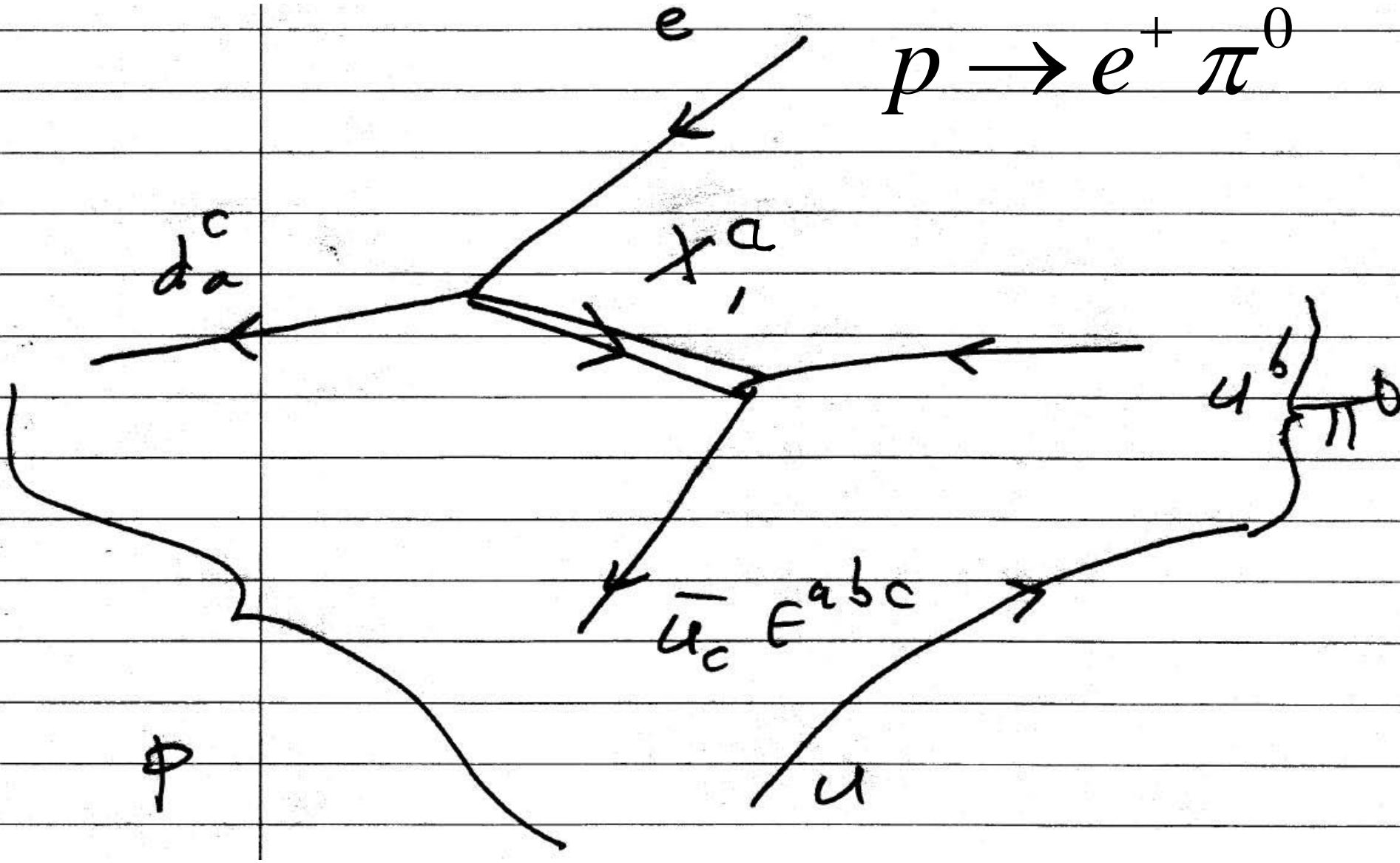
LEP data !!

Amaldi, de Boer
& Furstenau,
PLB260, 447 (1991)

Proton decay - dim 6 operators



$$p \rightarrow e^+ \pi^0$$



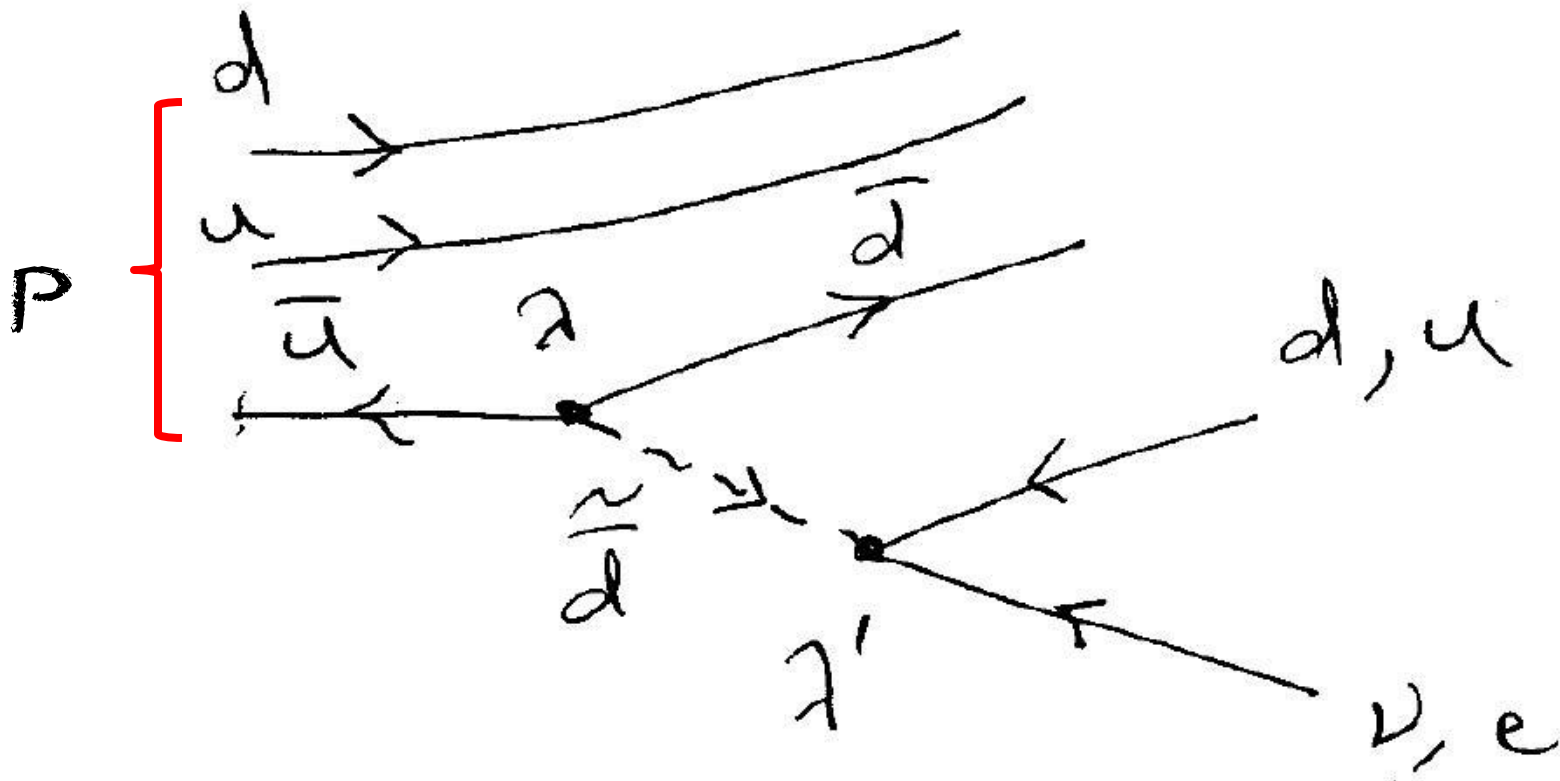
$$\frac{\tau_p}{Br(p \rightarrow e^+ \pi^0)} \geq 1 \times 10^{34} \text{ yrs} \quad \textit{Super - Kamiokande}$$

$$\sim \frac{M_G^4}{g_G^4 m_p^5}$$

$$\approx 10^{36 \pm 2} \text{ yrs} \quad \textit{Theory}$$

Proton decay - dim 4 operators

$$10 \bar{5} \bar{5} \supset \lambda U^c D^c D^c + \lambda' Q L D^c + \lambda'' E^c L L$$



$$p \rightarrow \pi^+ \bar{\nu} \text{ or } \pi^0 e^+$$

$$\Gamma_p \approx \frac{(\lambda \lambda')^2 m_p^5}{m^4}$$

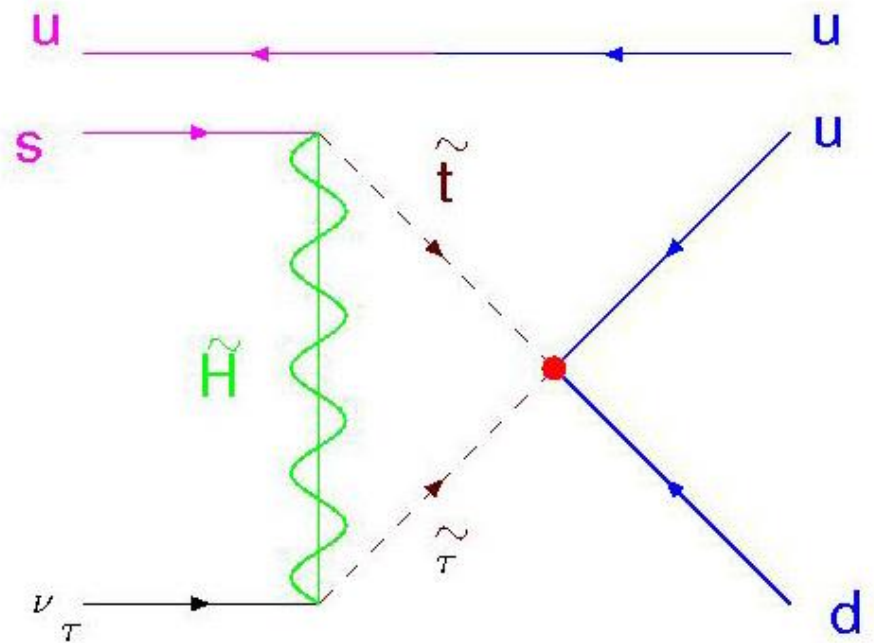
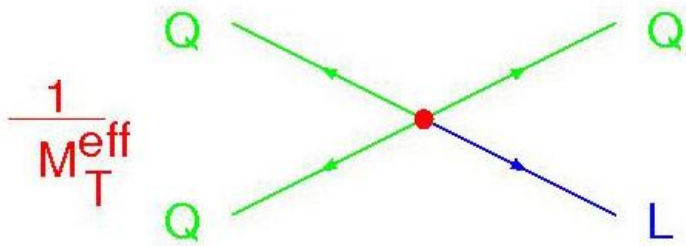
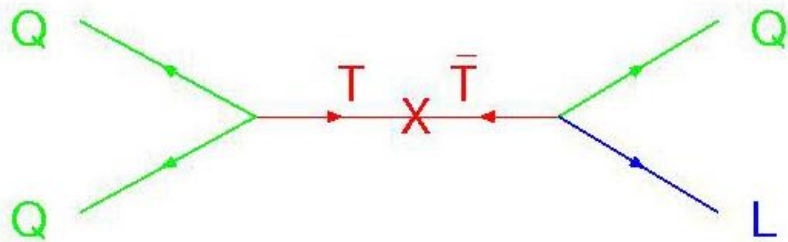
$$\frac{\lambda \lambda'}{m^2} < \frac{g_G^2}{M_G^2} \leq 10^{-32} \text{ GeV}^{-2} \quad \Rightarrow \quad \lambda \lambda' < 10^{-26} \left(\frac{m}{1 \text{ TeV}} \right)^2$$

\mathbb{Z}_2 matter parity excludes dim 4 operators

$F \rightarrow -F, H \rightarrow H$

Proton decay - dim 5 operators

$$10 \ 10 \ 10 \ \bar{5} \supset Q \ Q \ Q \ L + U^c \ U^c \ D^c \ E^c$$



$$(LF) \propto \frac{\lambda_t \lambda_\tau}{16\pi^2} \frac{\sqrt{\mu^2 + M_{1/2}^2}}{m_{16}^2}$$

$$A(p \rightarrow K^+ \bar{\nu}) \propto \frac{c c}{M_T^{eff}} (LF)$$

$c \sim$ Yukawa couplings

minimize $(LF) \Rightarrow \mu, M_{1/2} \ll m_{16}$

maximize $M_T^{eff} \Rightarrow M_T^{eff} \gg M_{Pl}$

T, \bar{T} anything with Q #s of D, \bar{D}
coupling to quarks and leptons

Dim 5 B & L violating operators

$$K_{ijkl}^{(1)} Q_i Q_j Q_k L_\ell + K_{ijkl}^{(2)} \bar{U}_i \bar{U}_j \bar{D}_k \bar{E}_\ell$$

$$\tau(p \rightarrow K^+ \bar{\nu}) > 2.3 \times 10^{33} \text{ years}$$

$$\sim \left(\frac{1}{3} - 3\right) \times 10^{34} \text{ years } \textit{Theory}$$

$$K^{(1,2)} \left[= \frac{c c}{M_T^{eff}} \right] \equiv \frac{1}{\Lambda} \leq 10^{-27} \text{ GeV}^{-1}$$

Most complete 4D SUSY GUTs

“From minimal to realistic supersymmetric $SU(5)$ grand unification”

Altarelli, Feruglio & Masina

JHEP 0011(2000)040

“Constraining Proton Lifetime in $SO(10)$ with Stabilized Doublet-Triplet Splitting”

Babu, Pati & Tavartkiladze

JHEP 1006 (2010) 084

Problems of SUSY GUTs

- GUT symmetry breaking
- Higgs doublet-triplet splitting

Missing partner mechanism $SU(5)$

$$W \supset 75^3 + M 75^2 + H_u 75 H_{50} + \overline{H}_d 75 H_{\overline{50}} + X H_{\overline{50}} H_{50}$$

Missing VEV mechanism $SO(10)$

$$W \supset 45^4 + M 45^2 + X (\overline{16} 16)^2 + F(X) \\ + \overline{16}' (S 45 + S_1) 16 + \overline{16}' (S 45 + S_2) 16' + 10 45 10' + X' (10')^2$$

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Orbifold GUTs in 5 or 6 dimensions

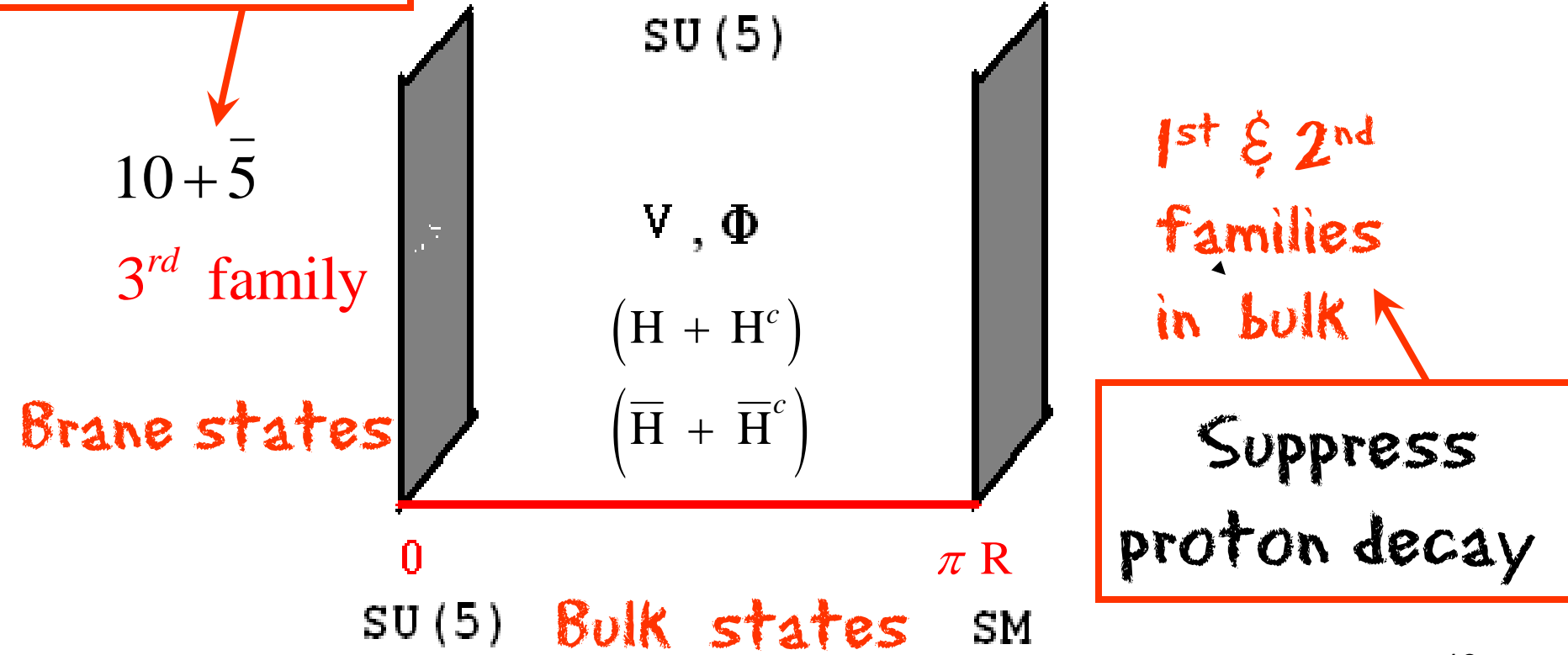
GUT symmetry breaking

Doublet-Triplet splitting

Kawamura; Hall & Nomura; Contino, Pilo, Rattazzi
& Trincherini; Altarelli, Feruglio & Masina;
Dermisek & Mafi; H.D. Kim & Raby; Asaka,
Buchmuller & Covi; Lee; Hebecker & March-
Russell; H.D. Kim, Raby & Schradin

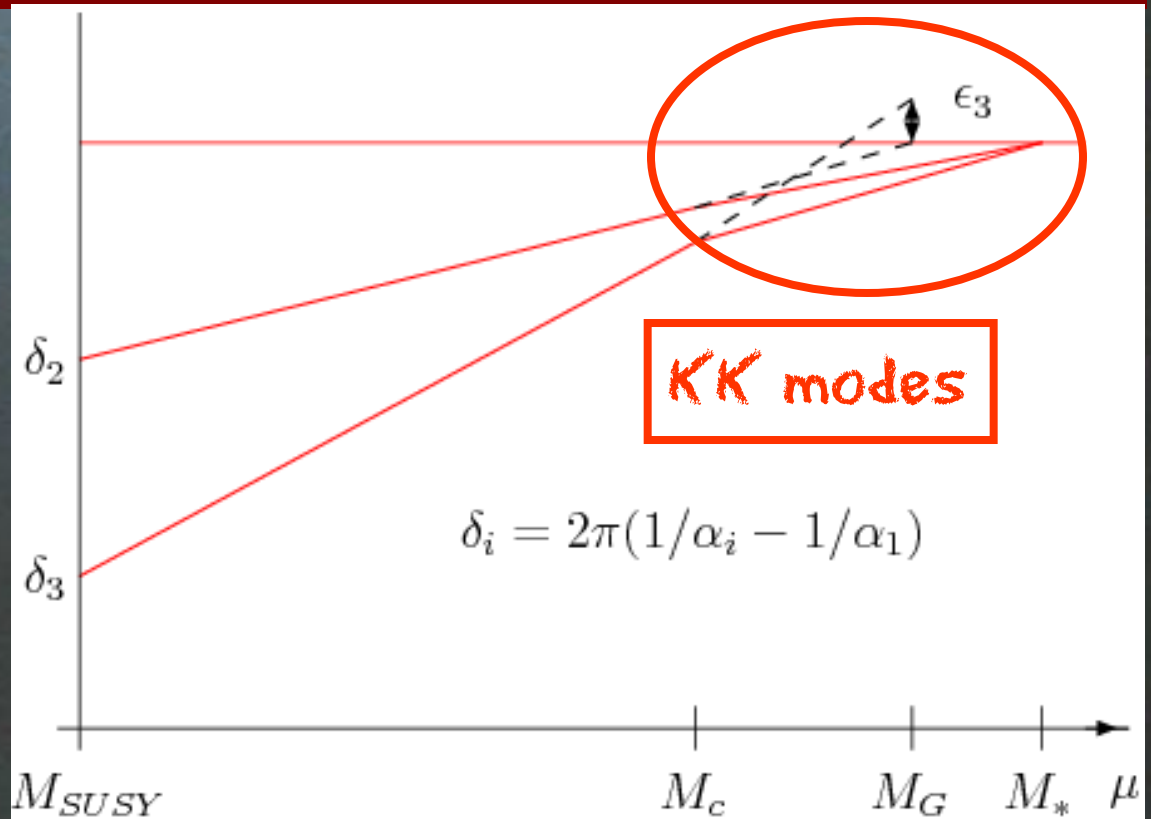
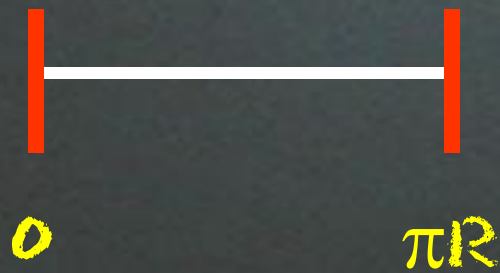
GUT symmetry breaking
 Higgs D-T splitting
 via orbifold BCs

$b-\tau$ Yukawa
 unification



G.C. Unif. & Proton decay > 4D

5D Orbifold
GUT

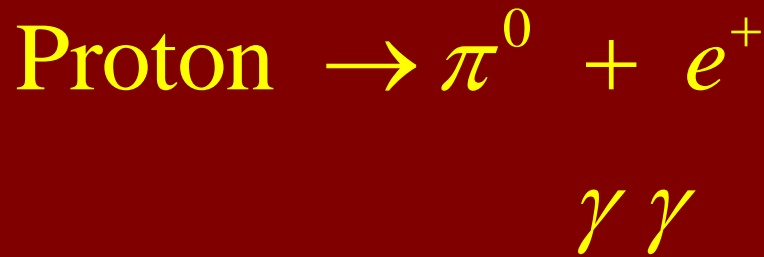
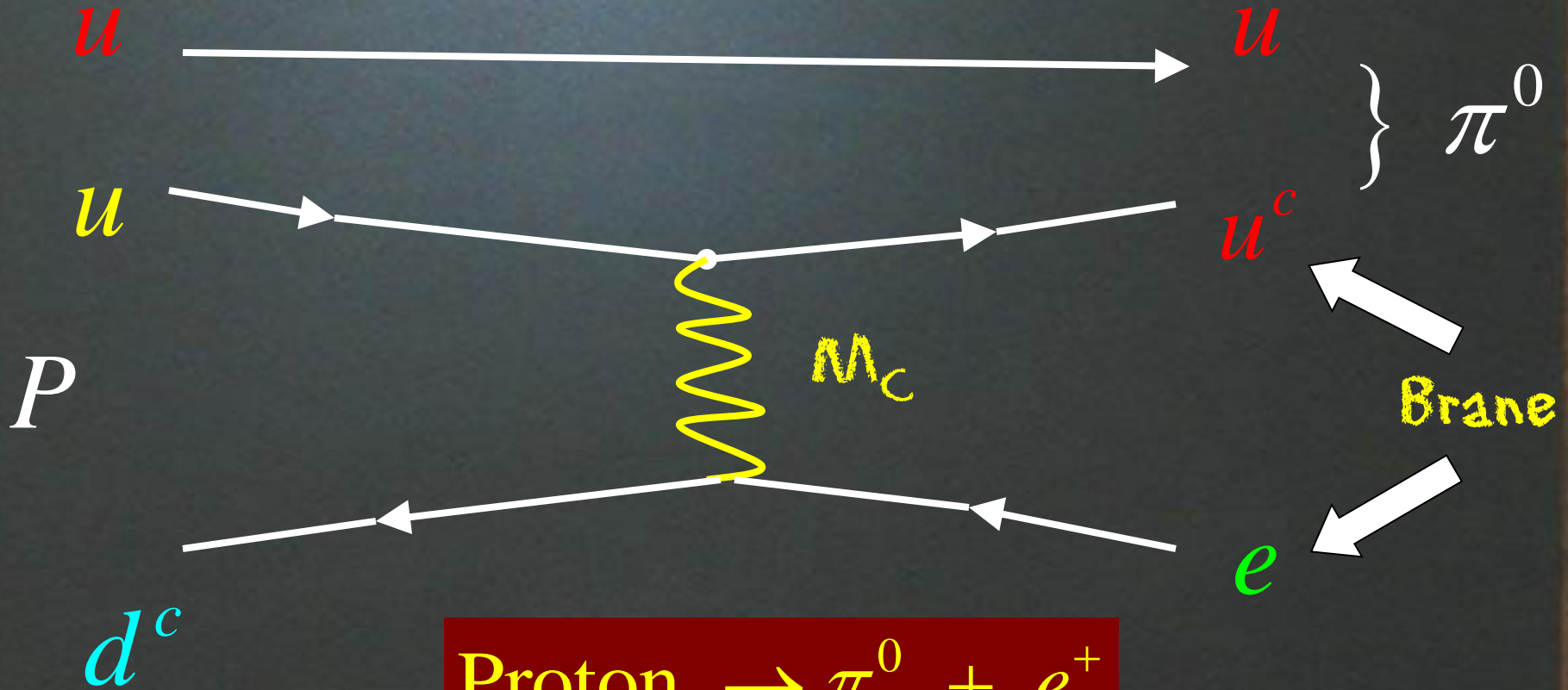


$$M_c \sim 1/\pi R < M_G < M_*$$

Dienes et al., Hall & Nomura,
Kim & S.R., Feruglio et al.

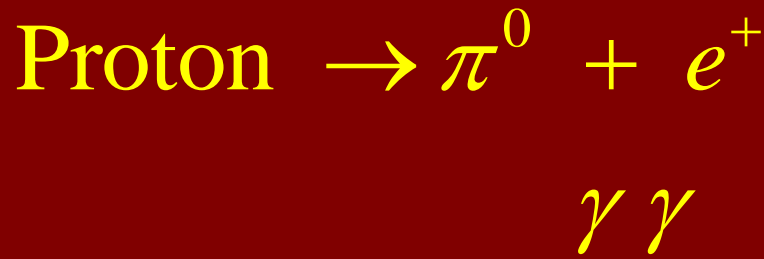
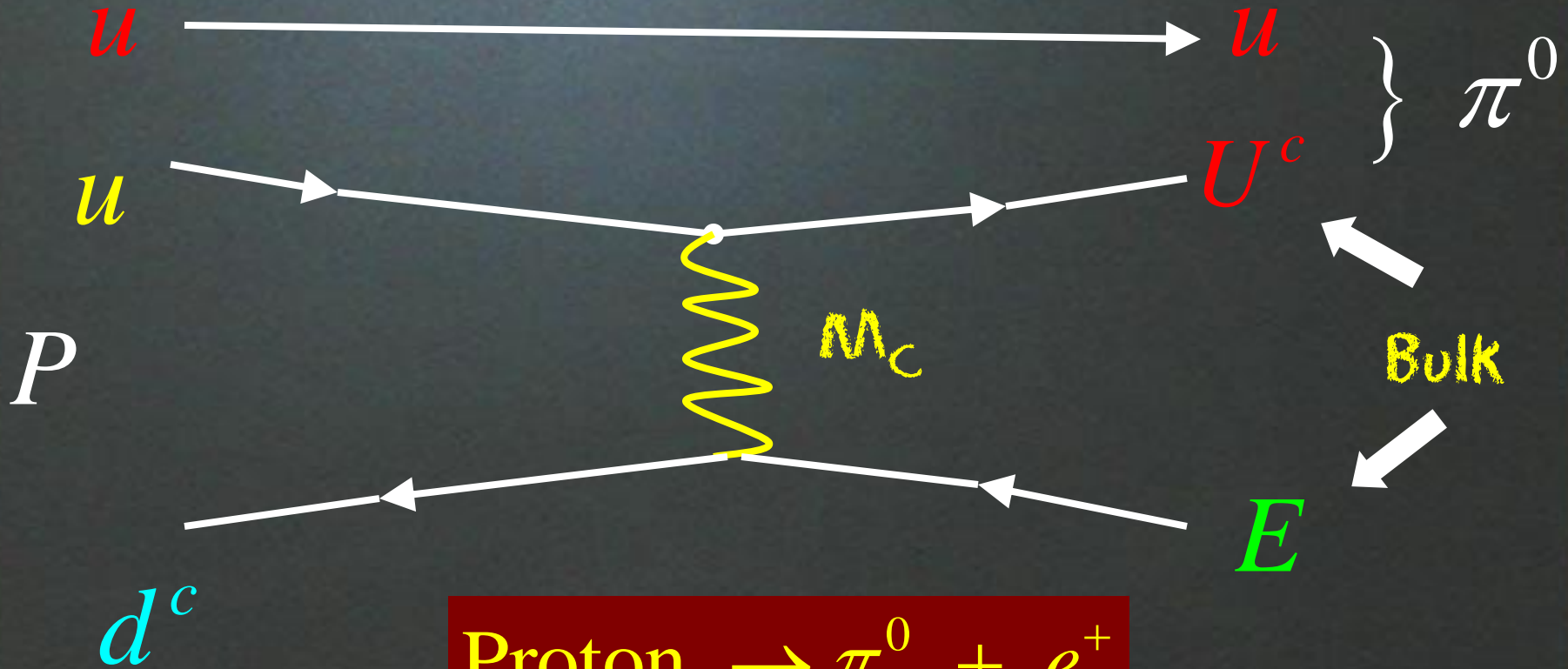
Dim 6 amp. $\sim 1 / \Lambda_C^2$

Enhanced !!



Dim 6 amp. $\sim 1 / \Lambda_C^2$

Suppressed !!



Orbifold GUTs in 5 or 6 dimensions:

- GUT breaking via Orbifold "Parity"
- Higgs doublet - triplet splitting via Orbifold "P"
- NO proton decay via Dim 5 operators
due to R symmetry (model dependent)
- Proton decay via Dim 6 operators can be suppressed, BUT typically enhanced
(model dependent)
- Matter localization determines Yukawas

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UV completion

Orbifold GUTs in 5 or 6 dimensions

Derived from heterotic string in 10 D

Kobayashi, Raby & Zhang; Forste, Nilles,
Vaudrevange & Wingerter; Buchmuller, Hamaguchi,
Lebedev & Ratz; J.E. Kim, J.H. Kim & Kyaee;
Buchmuller, Ludeling & Schmidt; Lebedev, Nilles,
Raby, Ramos-Sanchez, Ratz, Vaudrevange &
Wingerter

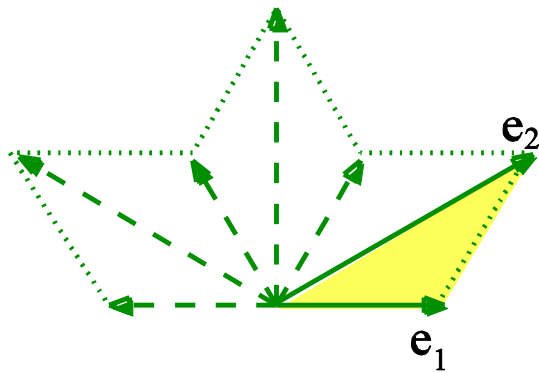
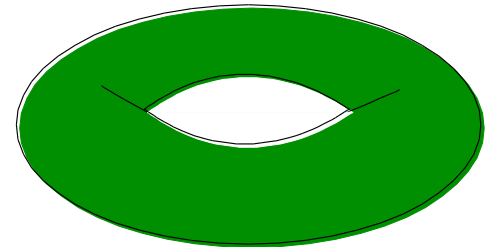
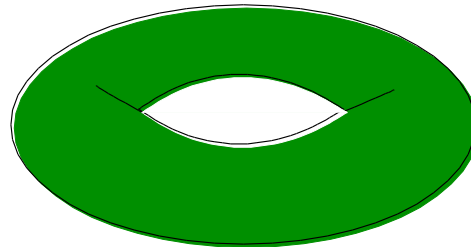
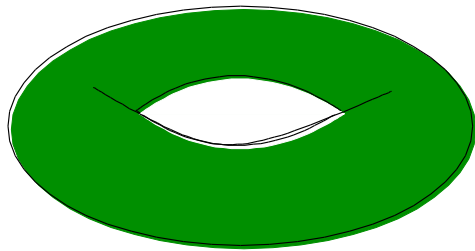
Road to the MSSM with R-parity

Lebedev et al. - 0708.2691(hep-th)

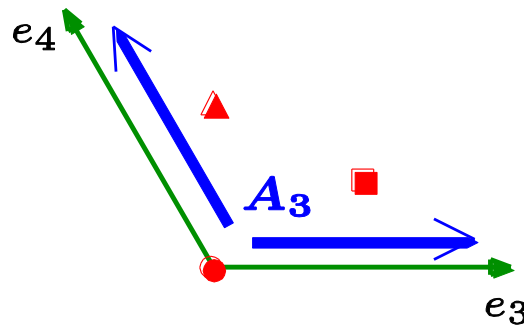
Find 15 models from orbifold compactification of $E(8) \times E(8)$ heterotic string

- ✓ MSSM spectrum at low energy
- ✓ Exact R parity
- ✓ Light Higgs
- ✓ Non-trivial charged fermion masses
- ✓ Neutrino masses via See-Saw

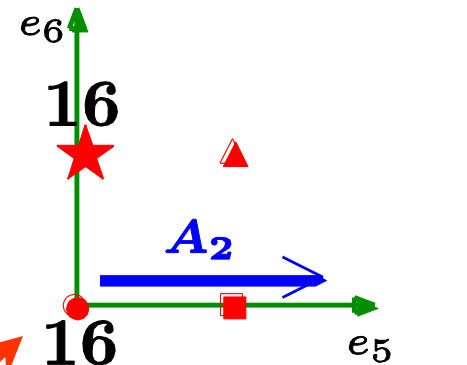
Compactify $E(8) \times E(8)$ heterotic string
 on $(T^2)^3 / (Z_3 \times Z_2)$ + Wilson lines (A_2, A_3)



G_2 root lattice



$SU(3)$ root lattice

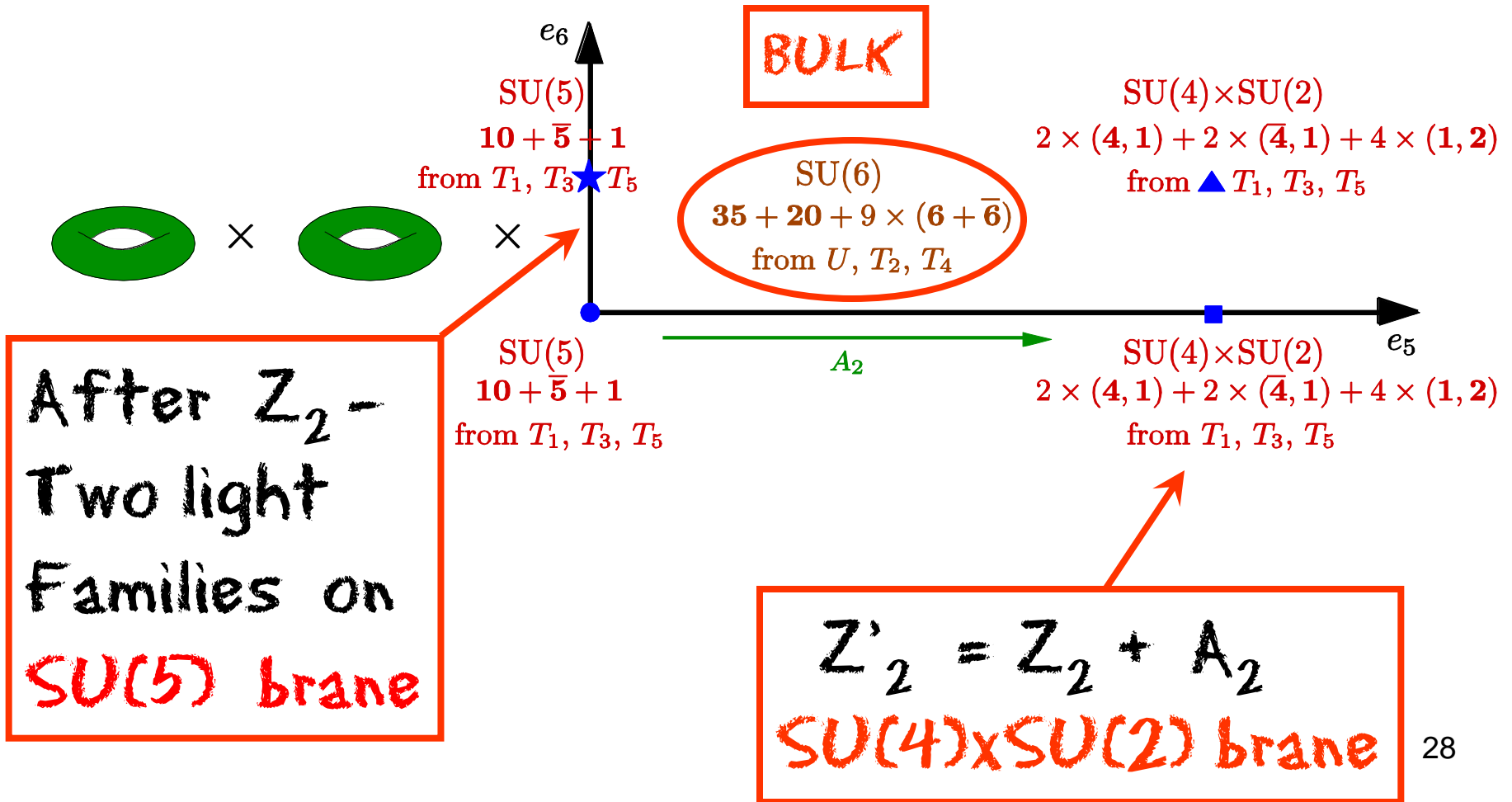


$SO(4)$ root lattice

Local $SO(10)$ GUT

Compactify $E(8) \times E(8)$ heterotic string
 on $(T^2)^3 / (Z_3) + A_2$ only ($R_5 \gg I_5$)

→ $SU(6)$ orbifold GUT



"Benchmark" model 1 - Spectrum

#	irrep	label	#	irrep	label
3	$(\mathbf{3}, \mathbf{2}; \mathbf{1}, \mathbf{1})_{(1/3, 1/3)}$	q_i	3	$(\bar{\mathbf{3}}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(-4/3, -1/3)}$	\bar{u}_i
3	$(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(2, 1)}$	\bar{e}_i	8	$(\mathbf{1}, \mathbf{2}; \mathbf{1}, \mathbf{1})_{(0, *)}$	m_i
4	$(\bar{\mathbf{3}}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(2/3, -1/3)}$	\bar{d}_i	1	$(\mathbf{3}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(-2/3, 1/3)}$	d_i
4	$(\mathbf{1}, \mathbf{2}; \mathbf{1}, \mathbf{1})_{(-1, -1)}$	l_i	1	$(\mathbf{1}, \mathbf{2}; \mathbf{1}, \mathbf{1})_{(1, 1)}$	\bar{l}_i
1	$(\mathbf{1}, \mathbf{2}; \mathbf{1}, \mathbf{1})_{(-1, 0)}$	ϕ_i	1	$(\mathbf{1}, \mathbf{2}; \mathbf{1}, \mathbf{1})_{(1, 0)}$	$\bar{\phi}_i$
6	$(\bar{\mathbf{3}}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(2/3, 2/3)}$	δ_i	6	$(\mathbf{3}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(-2/3, -2/3)}$	δ_i
14	$(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(1, *)}$	s_i^+	14	$(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(-1, *)}$	s_i^-
16	$(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(0, 1)}$	\bar{n}_i	13	$(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(0, -1)}$	n_i
5	$(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{2})_{(0, 1)}$	$\bar{\eta}_i$	5	$(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{2})_{(0, -1)}$	η_i
10	$(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{2})_{(0, 0)}$	h_i	2	$(\mathbf{1}, \mathbf{2}; \mathbf{1}, \mathbf{2})_{(0, 0)}$	y_i
6	$(\mathbf{1}, \mathbf{1}; \mathbf{4}, \mathbf{1})_{(0, *)}$	f_i	6	$(\mathbf{1}, \mathbf{1}; \bar{\mathbf{4}}, \mathbf{1})_{(0, *)}$	\bar{f}_i
2	$(\mathbf{1}, \mathbf{1}; \mathbf{4}, \mathbf{1})_{(-1, -1)}$	f_i^-	2	$(\mathbf{1}, \mathbf{1}; \bar{\mathbf{4}}, \mathbf{1})_{(1, 1)}$	\bar{f}_i^+
4	$(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(0, \pm 2)}$	χ_i	32	$(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(0, 0)}$	s_i^0
2	$(\bar{\mathbf{3}}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(-1/3, 2/3)}$	\bar{v}_i	2	$(\mathbf{3}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(1/3, -2/3)}$	v_i

Table 1: Spectrum. The quantum numbers under $SU(3) \times SU(2) \times [SU(4) \times SU(2)']$ are shown, hypercharge and B-L charge appear as subscript.

S

Conclusions

Evolution of SUSY GUT Model Building

Bottom up

- 4D SUSY GUT + family symmetry
test predictions via global analysis
- Lift to 5D (or 6D) orbifold GUT

Top down

- Derive from heterotic string,
M or F theory includes Gravity !!

Problems with String constructions

μ problem - Why is $\mu \ll \Lambda_G$???

Dimension 5 proton decay is
unsuppressed !!!

Unique \mathbb{Z}_4^R symmetry

Lee, Raby, Ratz, Ross, Schieren,
Schmidt-Hoberg & Vaudrevange

arXiv:1009.0905 (hep-ph)

arXiv:1102.3595 (hep-ph)

Kappl, Peterson, Raby, Ratz, Schieren
& Vaudrevange

arXiv:1012.4574 (hep-th)

Unique discrete R symmetry consistent with SO(10)

$$\mathbb{Z}_4^R$$

SU(5)

$$\begin{array}{cccc} q_{10} & q_{\bar{5}} & q_{H_u} & q_{H_d} \\ 1 & 1 & 0 & 0 \end{array}$$

SO(10)

$$q_{16} = q_{10} = q_{\bar{5}} = q_1 = 1$$

$$q_{10} = q_{H_u} = q_{H_d} = 0$$

\mathbb{Z}_4^R

\mathcal{W}	2
$Q \bar{U} H_u, \dots$	2
$(L H_u)^2$	2
$\bar{U} \bar{D} \bar{D}$	3
$Q L \bar{D}$	3
$L L \bar{E}$	3
$H_u L$	1
$H_u H_d$	0
$Q Q Q L$	0
$\bar{U} \bar{U} \bar{D} \bar{E}$	0

Good

R parity viol.

μ term

proton

decay

$$\mathcal{W}_p = Y_e^{ij} \mathbf{H}_d L_i \bar{E}_j + Y_d^{ij} \mathbf{H}_d Q_i \bar{D}_j + Y_u^{ij} \mathbf{H}_u Q_i \bar{U}_j \\ + \mathbf{K}_{ij}^{(0)} \mathbf{H}_u L_i \mathbf{H}_u L_j$$

$$\mathcal{W} = \mathcal{W}_p + \Delta \mathcal{W}_{non-perturbative}$$

Non-perturbative effects

$$\begin{aligned}
 \zeta : \text{matter superfield} &\rightarrow i \cdot \text{matter superfield} , \\
 \text{Higgs superfield} &\rightarrow \text{Higgs superfield} , \\
 \theta &\rightarrow i \cdot \theta , \\
 \mathcal{W} &\rightarrow -\mathcal{W} .
 \end{aligned}$$

$$S \rightarrow S + \frac{i}{2} \Delta_{GS} \quad \Delta \mathcal{W}_{np} \propto \langle \mathcal{W} \rangle_0 (\dots)$$

$$\Delta \mathcal{W}_{np} = \exp \left(-8\pi^2 \frac{1+2n}{1+2\nu} S \right) \left[B_0 + \bar{\mu} H_u H_d + \bar{\kappa}_{ijkl}^{(1)} Q_i Q_j Q_k L_\ell \right. \\
 \left. + \bar{\kappa}_{ijkl}^{(2)} \bar{U}_i \bar{U}_j \bar{D}_k \bar{E}_\ell \right]$$

$$\frac{\langle W \rangle_0}{M_{Pl}^2} \sim m_{3/2}$$

Gravity mediation

$$\Delta W_{np} \propto m_{3/2} M_{Pl}^2 + m_{3/2} H_u H_d$$

$$+ \frac{m_{3/2}}{M_{Pl}^2} \left(Q Q Q L + \bar{U} \bar{U} \bar{D} \bar{E} \right)$$

$$\mu \approx m_{3/2}$$

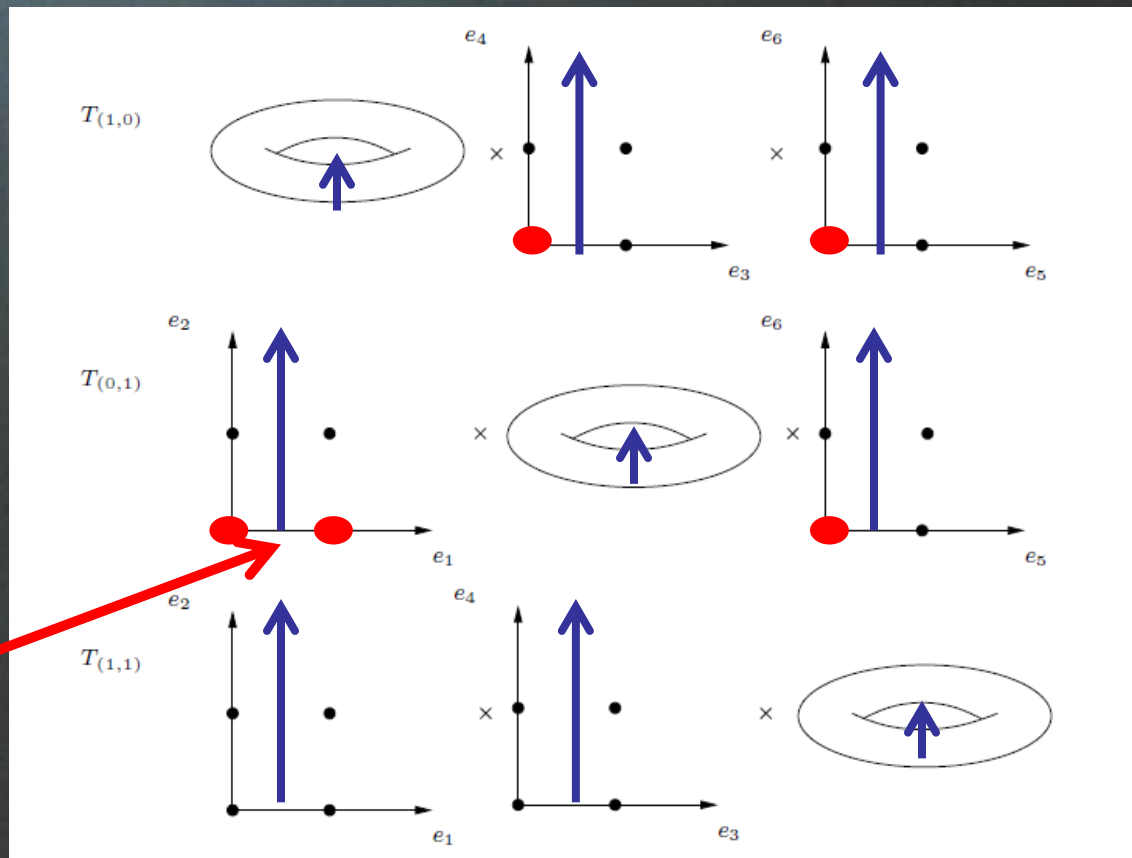


$$\frac{1}{\Lambda} \approx \frac{m_{3/2}}{M_{Pl}^2} \approx 10^{-33} \text{ GeV}^{-1}$$

$E(8) \times E(8)$ heterotic string compactified on $(T^2)^3 / (\mathbb{Z}_2 \times \mathbb{Z}_2)$ orbifold w/ \mathbb{Z}_4^R

Kappl, Peterson, Raby,
Ratz, Schieren &
Vaudrevange

NPB 847, 325 (2011)



Two light
Families on
 $SO(10)$ fixed pts

heterotic orbifolds

- $E(8) \times E(8)$ heterotic string compactified on $(T^2)^3 / (Z_2 \times Z_2)$ orbifold
- exact MSSM spectrum
- $F = D = 0$ verified
- Z_4^R comes partially from the Lorentz symmetry of the internal dimensions
- $\mu = 0$ due to Z_4^R
- gauge – top Yukawa unification
- non-trivial Yukawa matrices
- local $SO(10)$ gauge symmetry
- $D(4)$ family symmetry

Conclusions

Top down analysis, i.e. Embed SUSY GUT
in String Theory

- a) Need to have $\mu \ll M_G$
- b) Need to suppress dimension 5 operators

 \mathbb{Z}_4^R symmetry &

Proton decay via Dim 6 ops. dominates

$$\Rightarrow p \rightarrow e^+ \pi^0, \quad n \rightarrow e^+ \pi^-$$

Proton decay rate typically enhanced !!!