

# Status and recent developments of the GENIE Generator

John Plows

On behalf of the GENIE collaboration

**NuFact 2024**

The 25<sup>th</sup> International Workshop on  
Neutrinos from Accelerators

17/Sep/2024, Argonne National Laboratory



UNIVERSAL NEUTRINO GENERATOR  
& GLOBAL FIT

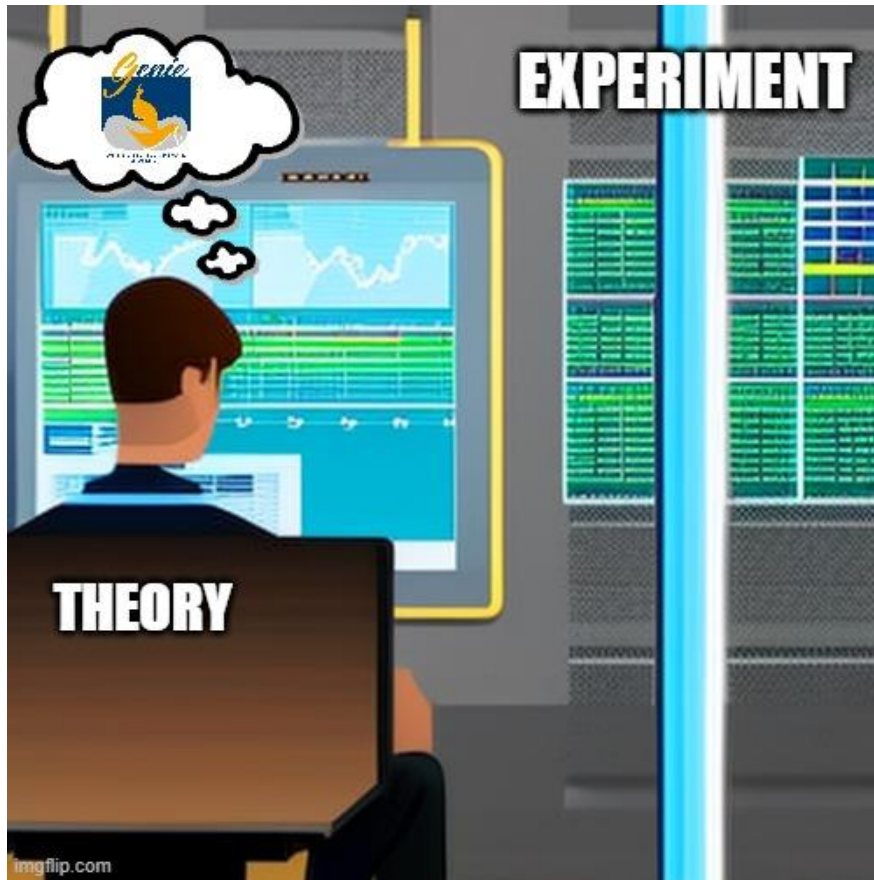


UNIVERSITY OF  
LIVERPOOL



# What do we strive to do?

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We have a [core mission](#) reflecting our role in the community!

1. Universal, self-consistent generator: **from MeV to PeV energy scales.**
2. Fundamental framework: modern event generation platform, **standardised applications for major experiments**
  - **specialised software** for electron-nucleus, hadron-nucleus, BSM applications
3. Global analyses: Using scattering data, GENIE provides **interaction model tunes** as well as estimates of generator-level systematic uncertainties.

But also:

- Provide many alternative comprehensive model configurations
- Provide support for interfaces with experiments, tools for simulation (especially with **flux predictions** and **detailed geometries**, as well as a **dedicated reweighting infrastructure**)
- Provide a platform for community discussions



# What does GENIE cover?

- Covers physics from low-energy CEvNS to ultra-high energy DIS + **large coverage in GeV region**
  - Nuclear initial states (including new correlated Fermi gas!)
  - 2 “internal” (hA, hN) and two “external” (INCL++, G4 Bertini) FSI models
  - Some BSM processes
    - “Dark neutrino” scattering
    - HNL decay
    - Nucleon decay
    - n-nbar oscillations
- Comprehensive model configurations (tunes) group** consistent choices of models
  - User can request specific tune at runtime
  - Room for user-created tunes!

Modelling CMS	Ground state	Cross-section								Hadronization	FSI
		quasi-elastic	2p2h	resonance	shallow and deep inelastic	coherent $\pi$	diffractive $\pi$	$\Delta S=1$ quasi-elastic	$\Delta S=1$ inelastic		
● ● ●											
G18_02a	RFG w/ NN tail	LS w/ dipole $F_A(Q^2)$	Dytman	BS tuned (2020)	BY tuned (2020)	BS	Rein	Pais	ASAV (opt.) ( $\nu$ only)	AGKY tuned (2020)	hA18
G18_02b											hN18
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G18_02d											G4B
G18_10a	LFG	NAV w/ dipole $F_A(Q^2)$	NSV	BS tuned (2020)	BY tuned (2020)	BS	Rein	Pais	ASAV (opt.) ( $\nu$ only)	AGKY tuned (2020)	hA18
G18_10b											hN18
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G18_10i	LFG	NAV w/ $F_A(Q^2)$ from z-exp	NSV	BS tuned (2020)	BY tuned (2020)	BS	Rein	Pais	ASAV (opt.) ( $\nu$ only)	AGKY tuned (2020)	hA18
G18_10j											hN18
G18_10k											INCL
G18_10l											G4B
G21_11a	LFG	SuSAv2 w/ dipole $F_A(Q^2)$	SuSAv2	BS tuned (2020)	BY tuned (2020)	BS	Rein	Pais	ASAV (opt.) ( $\nu$ only)	AGKY tuned (2020)	hA18
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●  
●  
●

Non exhaustive list at [tunes.genie-mc.org](https://tunes.genie-mc.org)



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+ Tools: Geometry and flux support via dedicated drivers, Event Library interface  
 + A Reweight repository for propagating model uncertainties



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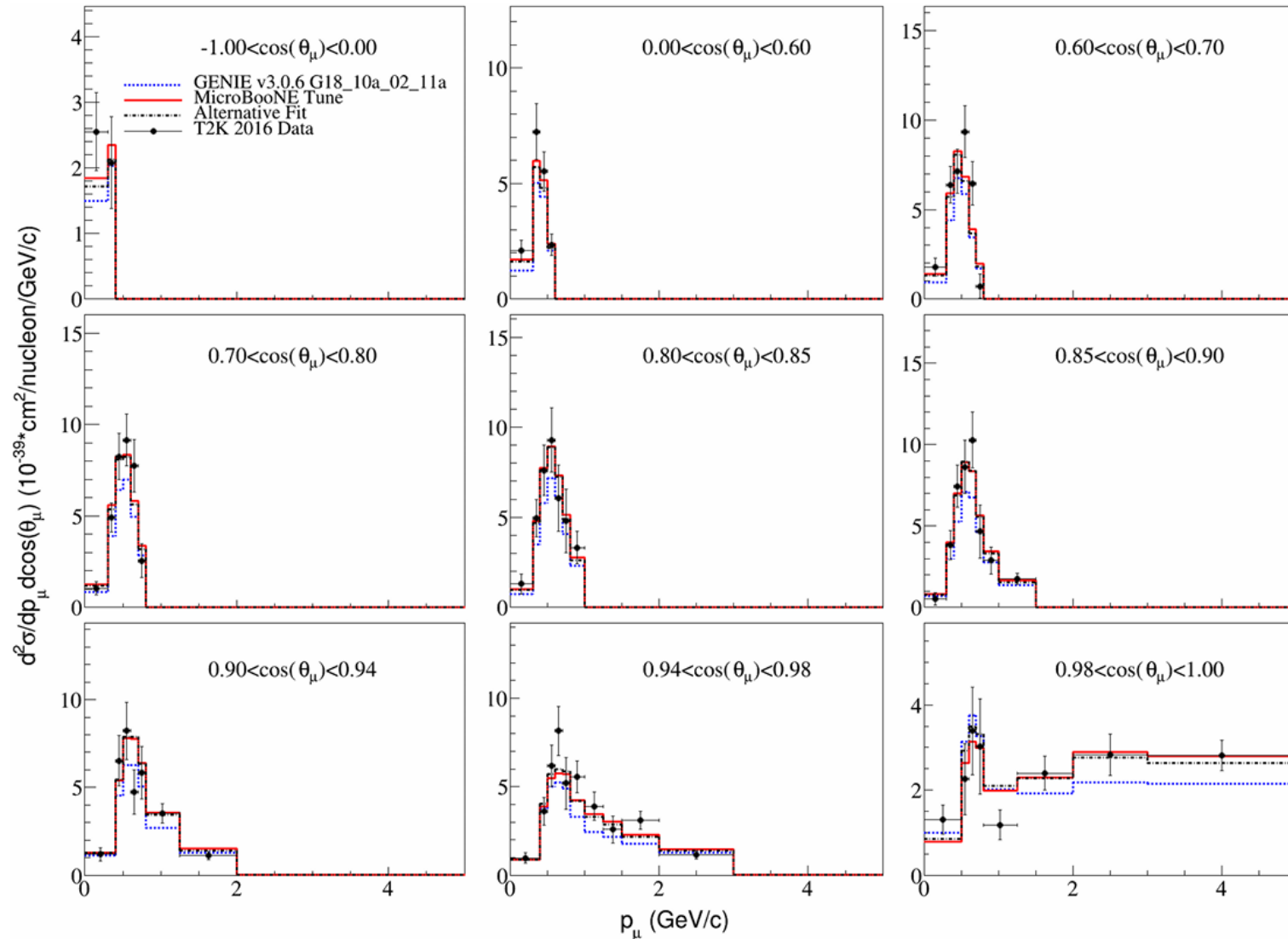


Non exhaustive list at [tunes.genie-mc.org](https://tunes.genie-mc.org)

# Where are we at?

- Latest release: v **3.04.02**  
(v 3.06.00 in development)
- Available as of v 3.04.00:
  - Spectral function-like approach for binding energies (**S. Dolan, L. Munteanu**)
  - External FSI models: INCL++, GEANT4 Bertini cascade
  - Implementation of the Bosted-Christy fit of e-A scattering data
    - This is an inclusive model so only affects the overall cross section

**New tune proposed by argon experiments for SBN / DUNE:**  
AR23\_20i\_00\_000  
(aka G24\_20i\_00\_000,  
available in master branch)



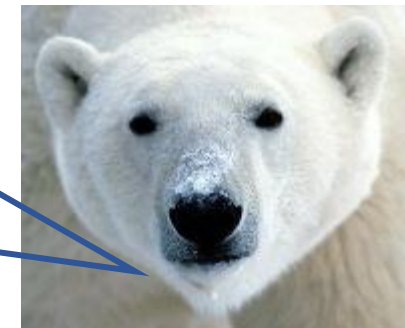
[Phys. Rev. D 105 \(2022\) 072001](#)

# Where are we at?

- Latest release: v **3.04.02**  
v 3.06.00 in development

For more information on our release schedule please visit

[releases.genie-mc.org](https://releases.genie-mc.org)



- Compared to [last year's NuFact](#) (v 3.04.00):
  - **Global analysis** of Transverse Kinematic Imbalance data (T2K, MINERvA) on arXiv [[2404.08510](#)], accepted for publication by Phys. Rev. D
  - Fixes to 3<sup>rd</sup> party FSI, nuclear binding energy, flux drivers
- Upcoming/planned:
  - **MK single-pion model implementation** (Dubna group; Igor Kakorin et al)
  - **Professor based reweight tool** (Qiyu Yan)
  - **Migration from PYTHIA6 → PYTHIA8** (Robert Hatcher et al)
  - **Extension to the Heavy Neutral Lepton module** (John Plows)
  - **Adding simple Bohr motion to initial state electrons** (external, Bear Carlson)
  - And many more besides...



**In master**



**Work in progress**



**Planned**



# Where are we at?

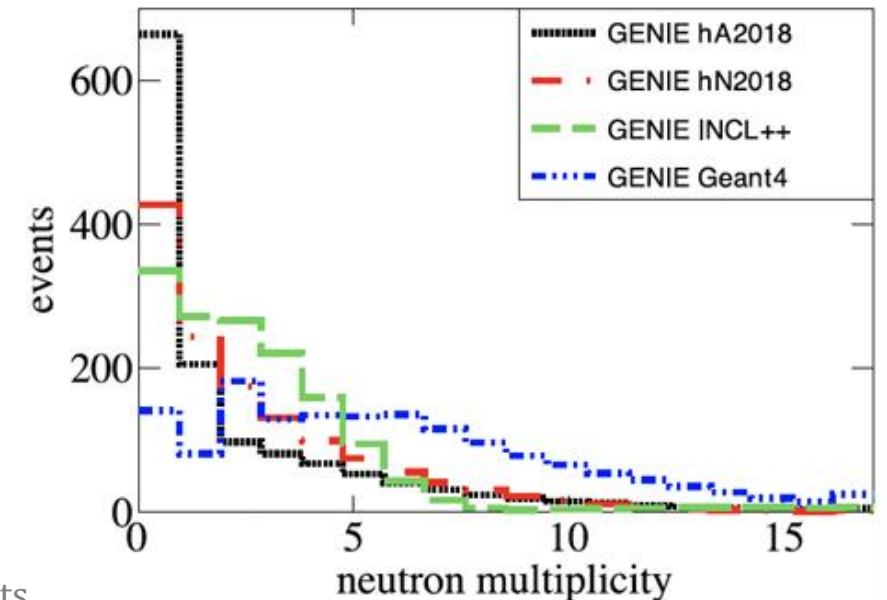
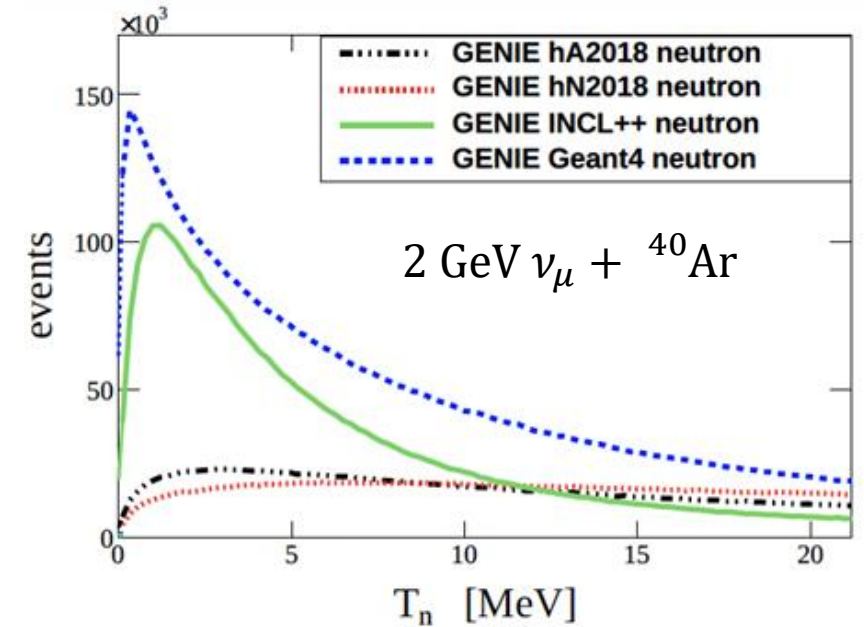
- Our list of recent publications:
  - **W. Li et al**, First combined tuning on transverse kinematic imbalance data with and without pion production constraints, [[2404.08510](#)] (accepted for publication in Phys. Rev. D)
  - **J. Tena-Vidal et al**, Neutrino-nucleus  $CC0\pi$  cross-section tuning in GENIE v3, [Phys. Rev. D 106 \(2022\) 112001](#)
  - **J. Tena-Vidal**, AGKY Hadronization Model Tuning in GENIE 3, [PoS 2022 078](#)
  - **L. Alvarez-Ruso et al**, Recent highlights from GENIE v3, [Eur. Phys. J. ST 230 \(2021\) 4449-4467](#)
  - **J. Tena-Vidal et al**, Hadronization model tuning in GENIE v3, [Phys. Rev. D 105 \(2022\) 012009](#)
  - **J. Tena-Vidal et al**, Neutrino-nucleon cross-section model tuning in GENIE v3, [Phys. Rev. D 104 \(2021\) 072009](#)





# Modelling: New external FSI models

- In addition to the INTRANUKE models
  - hA : effective model based on empirical data
  - hN : full intranuclear cascade
- Now have external dependencies for Liège (INCL++) and Bertini cascade (via G4)
  - Contributions by **D. Wright** and **M. Asai**
  - INCL++: almost parameter-free quasi-classical treatment of particle fates
  - Bertini: G4 re-engineering of INUCL code. Various models for fast and slow phases of collisions in nucleus
  - New feature: de-excitation photons!
- Significant differences between models in nucleon multiplicity + kinetic energy
  - New model uncertainties to consider
  - New tuning opportunity



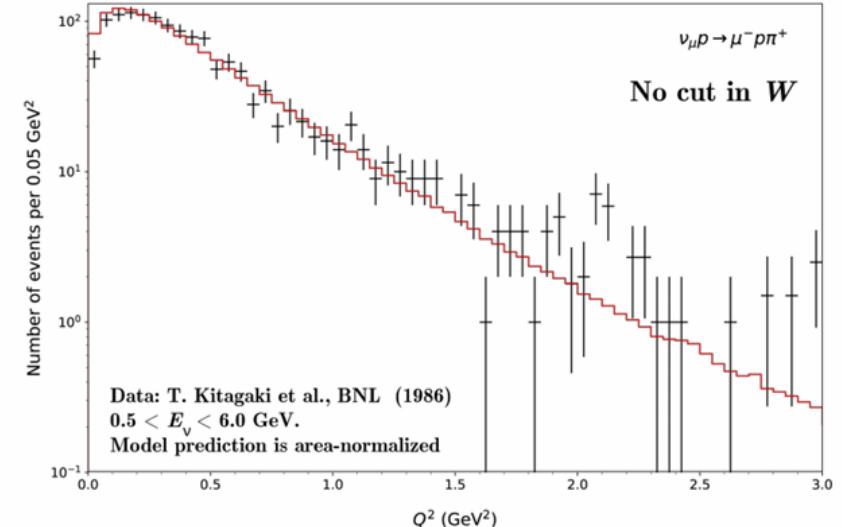
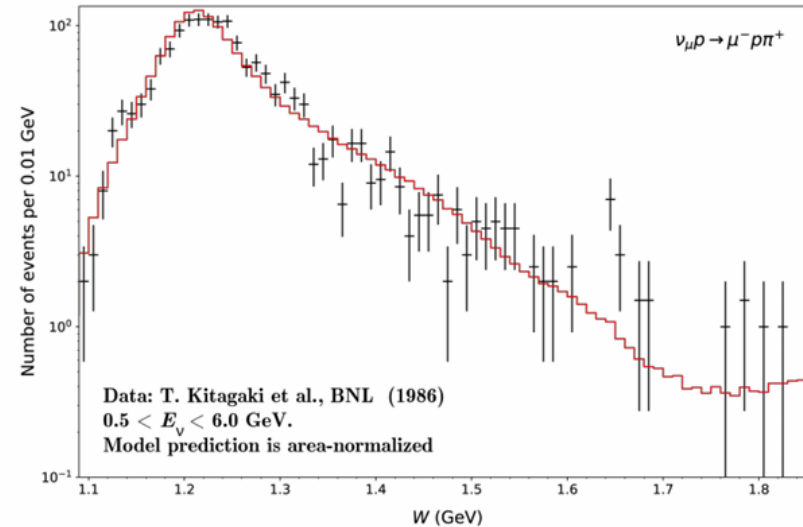
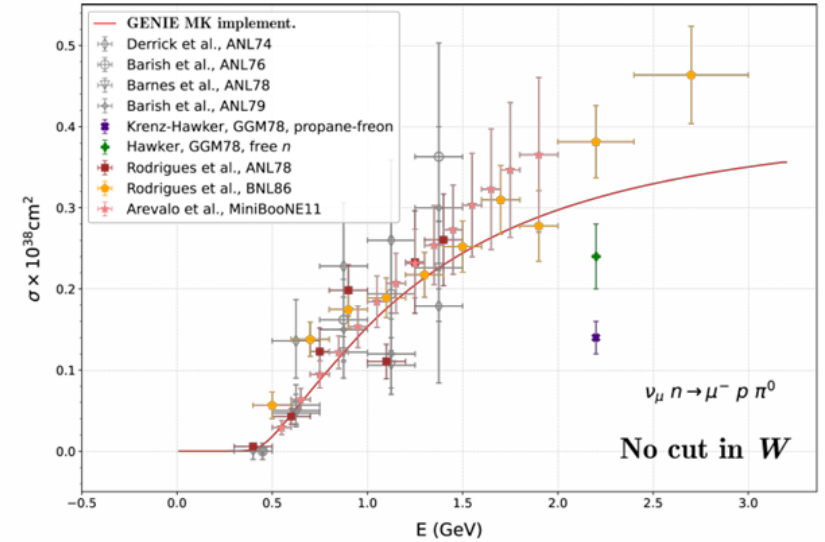
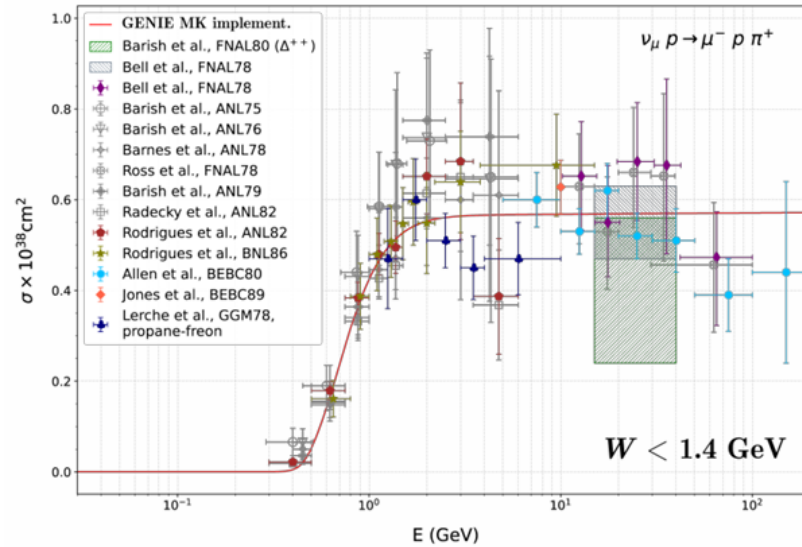
# Modelling: Upcoming MK single-pion model

Planned for v 3.06.00  
 Contribution by GENIE  
 Dubna group

Combines resonant pion production and nonresonant background

Differential cross section in  $Q^2, W, \theta_\pi, \phi_\pi$

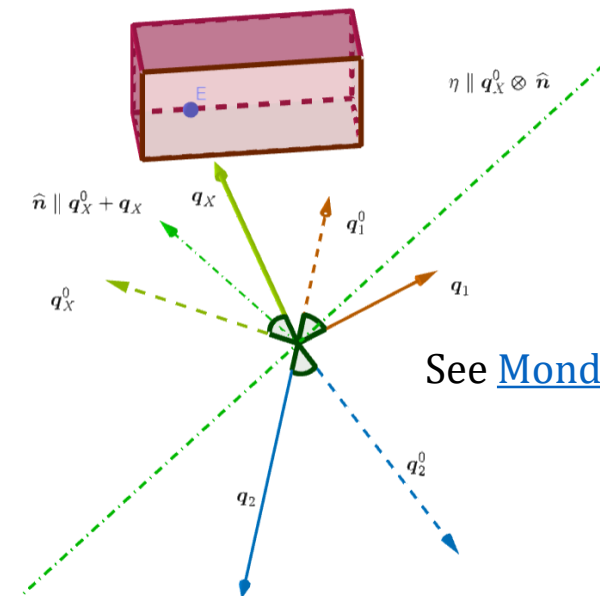
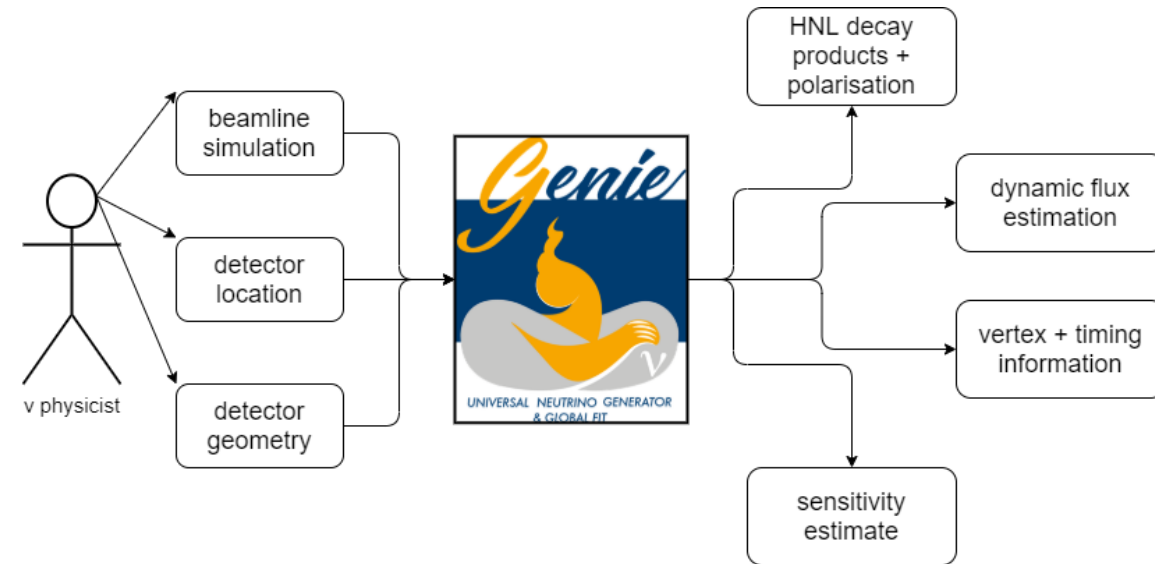
Is accessed via its own tune-config, MK19\_00a



# Modelling: Exotic long-lived particles (LLPs)

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- In v 3.04.00 we introduced a Heavy Neutral Lepton (HNL) decay module
  - Effective field theory from [Eur. Phys. J C 81 \(2021\) 78](#)
  - Generic choice from 10 implemented decay channels
  - Interface with record of parent particles
  - Companion paper: [Phys. Rev. D 107 \(2023\) 055003](#)
  - Caveat: **very model dependent**
- **Upcoming improvement:** A generic module for unstable long-lived particles
  - User specifies production **and** decay channels - complete freedom for phenomenology
  - Many individual weights stored for full reweighting capability
  - Reworked calculation of detector acceptance to accommodate atmospheric use cases as well



# Tuning: The general strategy

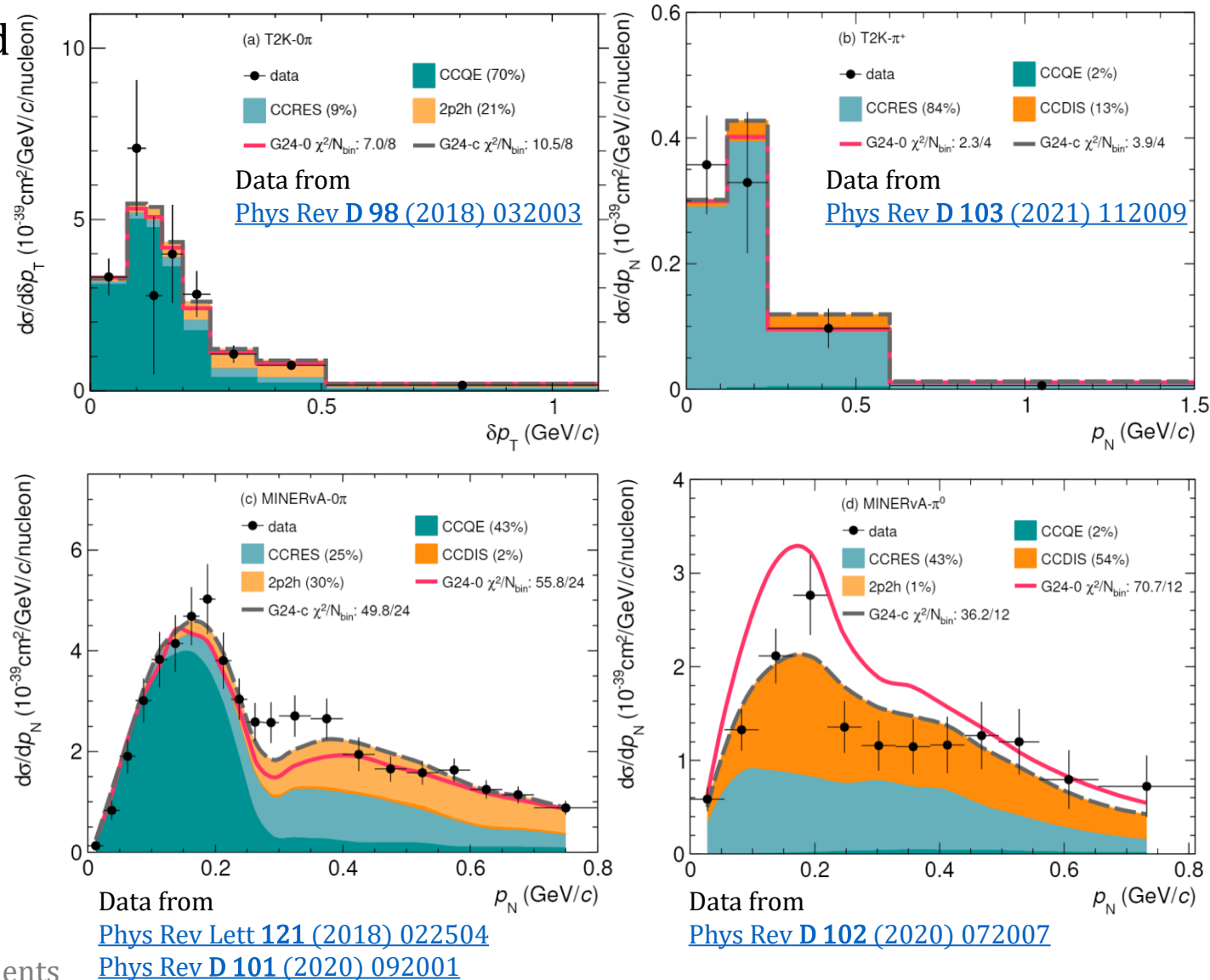
- Empirical approaches necessitate ~free parameters to control them!
  - Complicates predictions further (What models did one use? Which kinematic region are they looking at? What phase space do they have access to?)
  - **Not all things are reweightable** (how does one access phase space that wasn't simulated in the first place?)
- **Tuning:** parametrisation based on brute-force scans of the parameter space
  - Multi-dimensional polynomials used to interpolate to different regions of space
  - Based on the Professor ([Eur. Phys. J C 65 \(2010\) 331-357](#)) toolkit
- GENIE is running a **global analysis effort** using tuning to different datasets incorporating priors on parameters & correlations between datasets!

For GENIE v4, we will need parameter sets + their covariance matrices + systematics for a sequence of curated tunes, which we then plan to publish and support



# Tuning: Global fit to TKI data [[2404.08510](#)]

- First combined tuning on both nonzero- and zero-pion channels **simultaneously**
  - Four TKI data sets: T2K  $1\mu\text{Np}$  ( $0\pi$ ,  $1\pi^+$ ), MINERvA  $1\mu\text{Np}$  ( $0\pi$ ,  $M\pi^0$ ),  $N, M > 0$
  - Simultaneous fit using random sampling of high-dimensional parameter space
- **Alleviates tension** of G24\_20i\_00\_000 tune with MINERvA- $\pi^0$  while maintaining **good agreement** with the rest of the data
  - Generated a **new tune**, G24\_20i\_06\_22c, available in master branch of Generator
  - Major contribution by **W. Li et al**

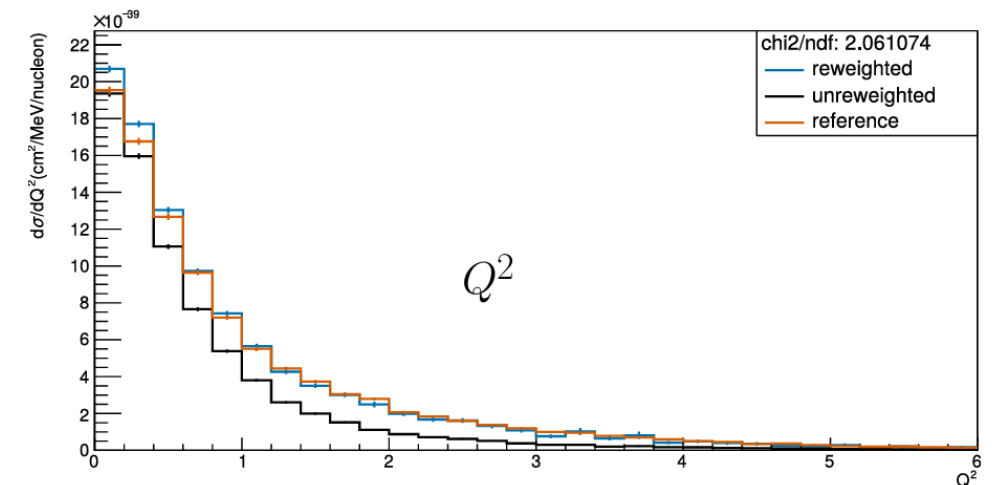
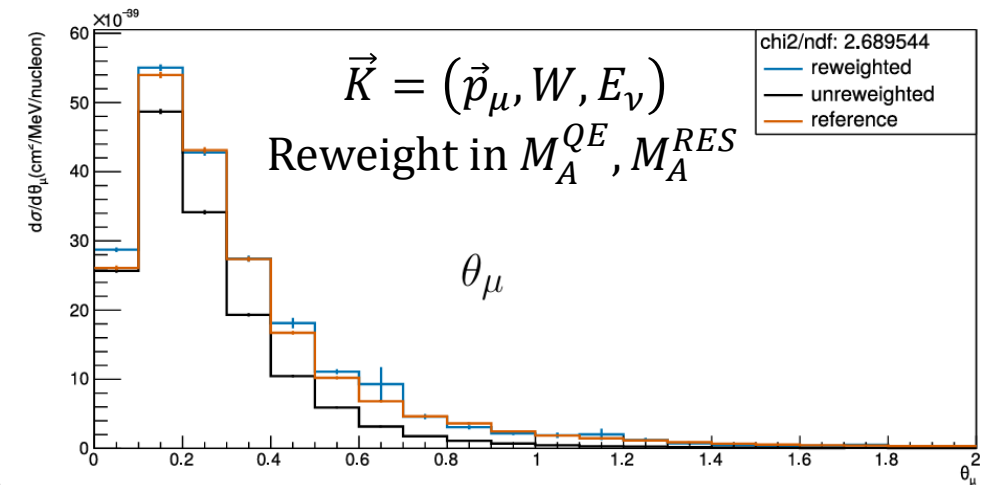
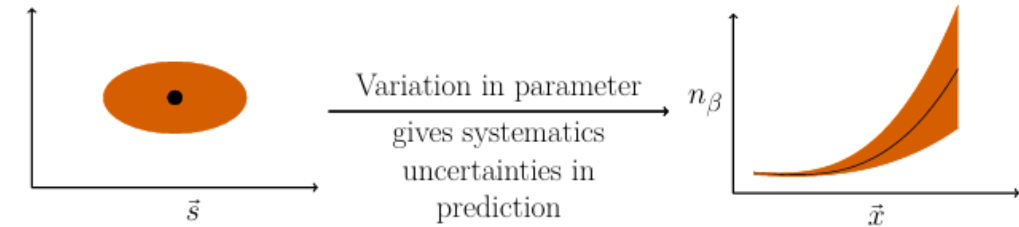


# Reweight: The professor strikes back

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- Predictions are heavily dependent on initial choices of **relatively free parameter values**
  - Parameter variations yield **systematic uncertainties!** Need to propagate them somehow
- Use the sampling methods of Professor to extract a parametrisation for differential cross-section
  
- Planned as next major upgrade to GENIE Reweight
- Workflow: Experiments can **run their own brute force scans** (with all experimental inputs)
  - No dependence on internal model specifics (no code overhead, less maintenance!)
  - Ability to design own phase space and get sensible distributions to use for reweighting

Qiyu Yan, [Neutrino 2024 poster](#)



Paper in preparation!

Main contributor: **Qiyu Yan** (Warwick / UCAS)



# Useful links

- Our website: [genie-mc.org](http://genie-mc.org)
- The GENIE releases: [releases.genie-mc.org](http://releases.genie-mc.org)
- The GENIE tunes: [tunes.genie-mc.org](http://tunes.genie-mc.org)
- The [GENIE Incubator](#) (especially for new projects/ideas)!



- Our GitHub: [github.com/GENIE-MC](https://github.com/GENIE-MC)



[Generator](#)



[Reweight](#)

- The GENIE Slack: [geniemc.slack.com](https://geniemc.slack.com)



**As always, huge thanks to all developers and contributors!  
Please join us! We always welcome your ideas and support! 😊**



# Backup





# GENIE Tunes

- Main difference between GENIE v2 and GENIE v3: **TUNES!**
  - There are so many different models in GENIE the combinations are.. A Lot.
  - Instead, combinations of models that make sense together are used.
  - Users can still make own configurations
- Each tune is attached to a specific **name**:
  - e.g. **G18\_10a\_02\_11b** **string defining tuning set**

G18_10a											hA18
G18_10b										AGKY tuned (2020)	hN18
G18_10c	LFG	NAV w/ dipole $F_A(Q^2)$	NSV	BS tuned (2020)	BY tuned (2020)	BS	Rein	Pais	ASAV (opt.) ( $\nu$ only)	AG	INCL
G18_10d											G4B



Please reach out if you feel a new dedicated tune would be desirable!

e.g. SBN/DUNE AR23\_20i (aka G24\_20i) tune!

John Plows - GENIE status and developments



# The GENIE Incubator

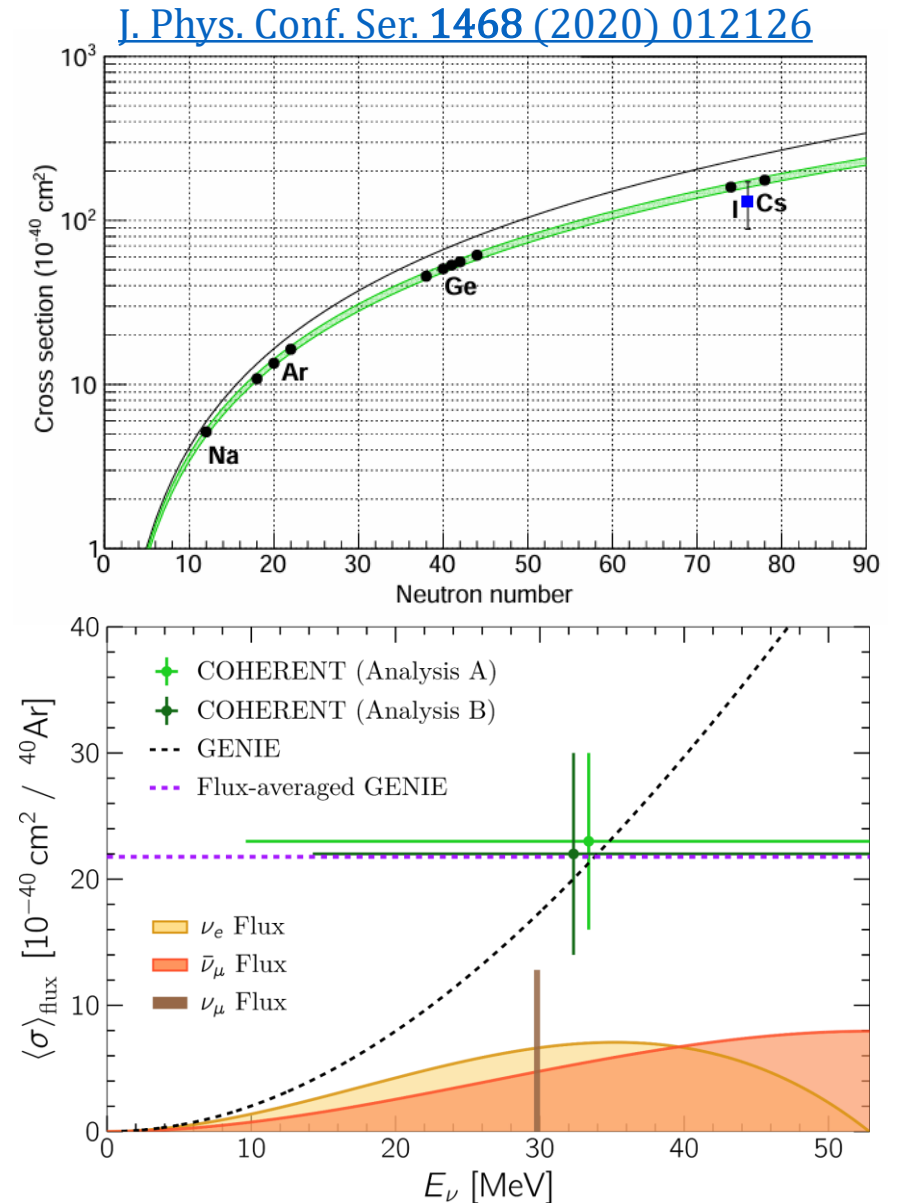
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- If you have an idea for a project and would like to see it included in GENIE / contribute to the generator, **please get in touch with us for an Incubator project**
- With the Incubator, you benefit from GENIE expertise, and we can coordinate development efforts!
- How it works:
  - Identify a development need
  - Consult with GENIE leadership to address the need
    - Set scope, deliverables, validation plans, software engineering, review schedule etc.
  - Each project is considered on its own merits! Depending on integration with other GENIE modules, scope, and output
- For example, one may:
  - Develop a new physics model / improve an existing one / add an entire new module
  - Improve numerical procedures / upgrade tools / drivers / framework elements
  - Perform systematic studies / tune physics components



# Low-energy: CEvNS

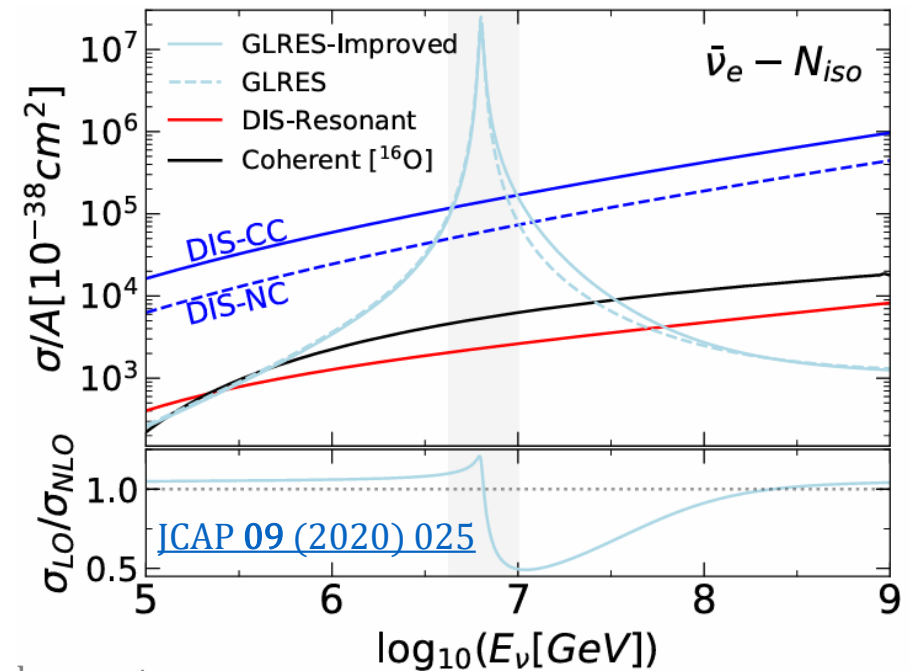
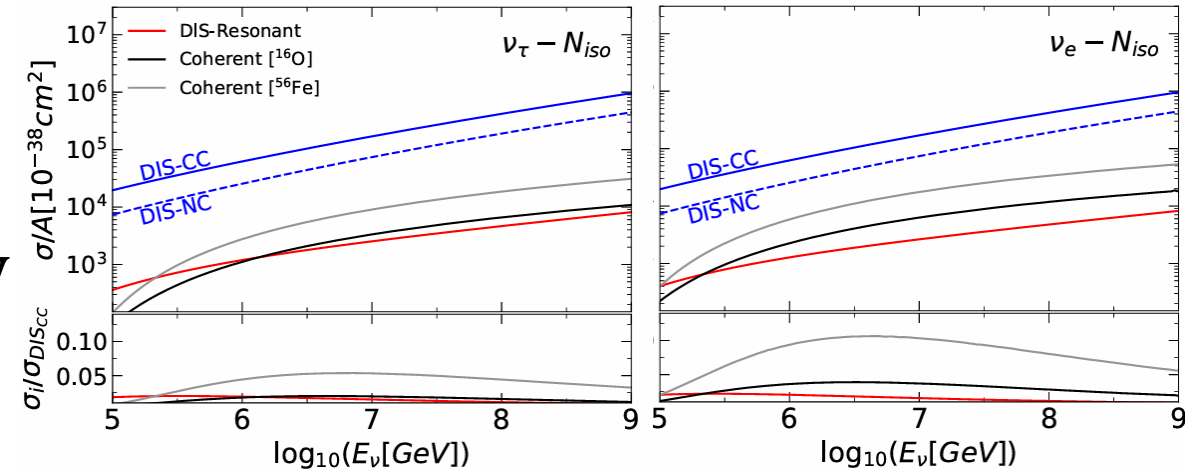
- CEvNS: coherent elastic neutrino-nucleus scattering (NC interaction) that leaves nucleus in its ground state
  - Coherence condition (ground state nucleus) valid for  $E_\nu \leq 50$  MeV
  - Cross section scales as  $N^2$  with  $N$  the number of n
- Event generator with dedicated “VLE” tune GVLE18\_01a including CEvNS, neutrino-electron scattering, and inverse beta decay
  - Not in standard tunes, since the final-state channel (recoil nucleus) is almost invisible
- Based on the Patton et al. cross section, [Phys. Rev. C 86 \(2012\) 024612](#)



# High-energy: PeV scale extension

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- Part of the HEDIS module (external contributors **A. Garcia, R. Gauld, A. Heijboer** and **J. Rojo**)
- Extends the validity of GENIE to  $10^{10}$  GeV ( $10^4$  PeV)
  - [PoS ICRC2019 895](#), [JCAP 09 \(2020\) 025](#)
- Dedicated high-energy physics tunes GHE19\_00\*
- NLO DIS cross-sections and event generation based on APFEL code
- Coherent W production with NLO corrections



# SuSAv2 : superscaling approach

- External contributors: **S. Dolan, G. Megias, S. Bolognesi** ([Phys. Rev. D 101 \(2020\) 033003](#))

- Superscaling: cross section scales as

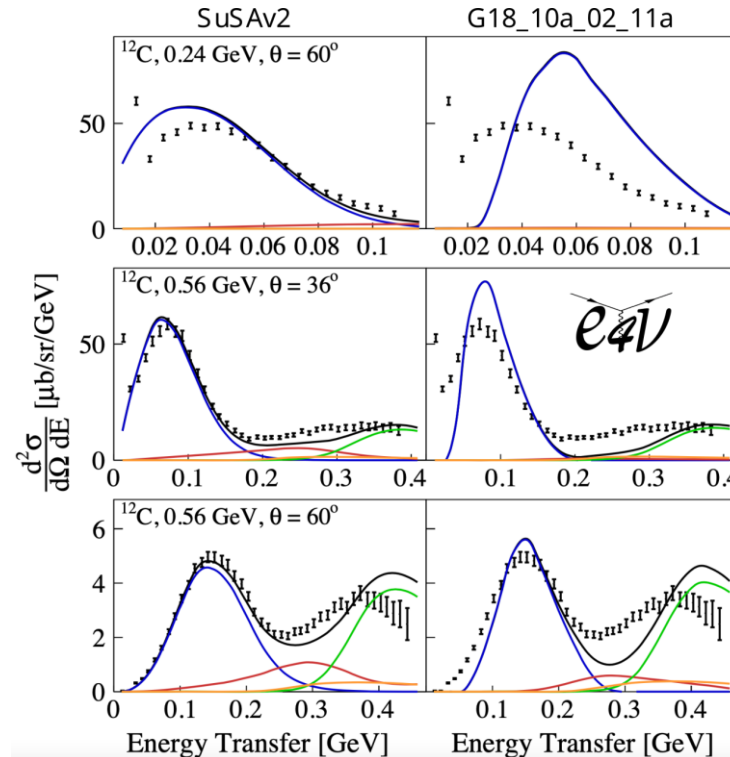
$$f(\psi) \cdot \sigma_{1-nucleon},$$

where  $f$  depends neither on  $q$  nor on nuclear species

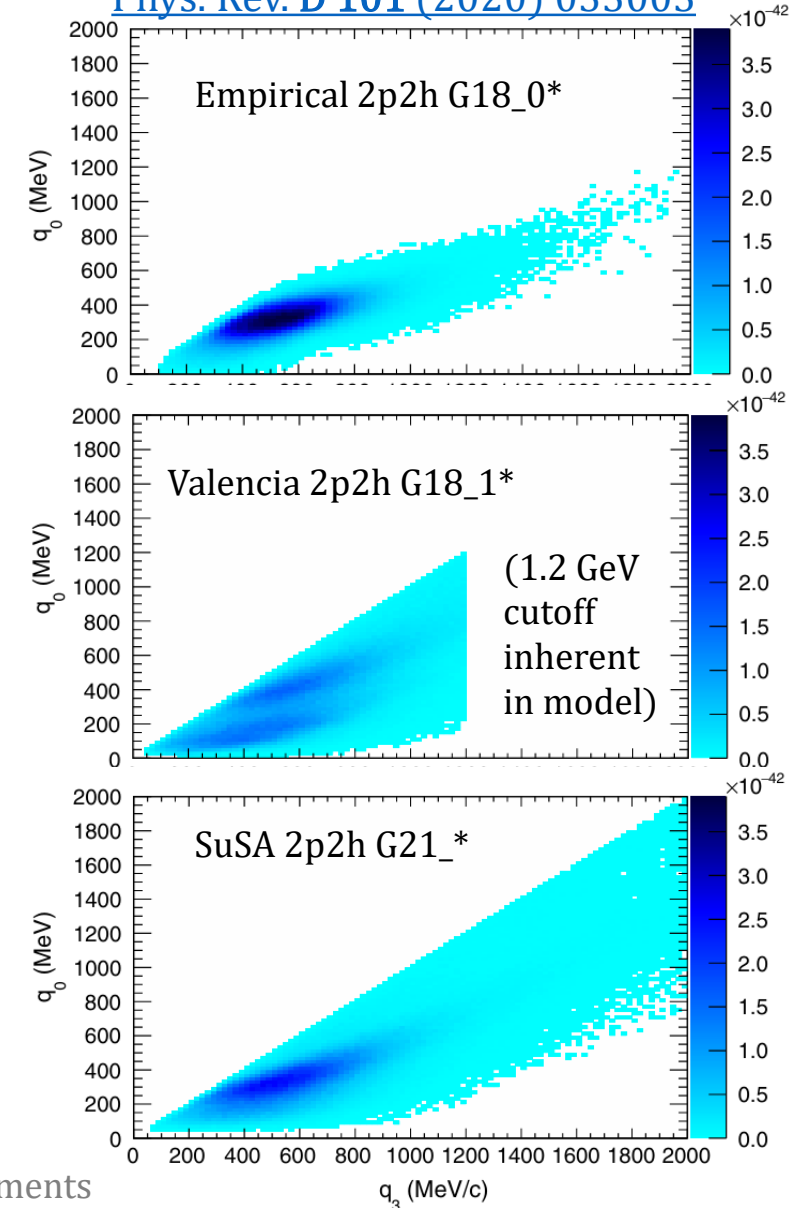
([AIP Conf Proc 1382 \(2011\) 167-169](#))

- Part of the G21\_11\* tunes for QE and 2p2h scattering
- Also describes electron scattering
  - Benchmarked against inclusive electron-scattering data by e4v collaboration ([Phys. Rev. D 103 \(2021\) 113003](#))

[Phys. Rev. D 103 \(2021\) 113003](#)



[Phys. Rev. D 101 \(2020\) 033003](#)



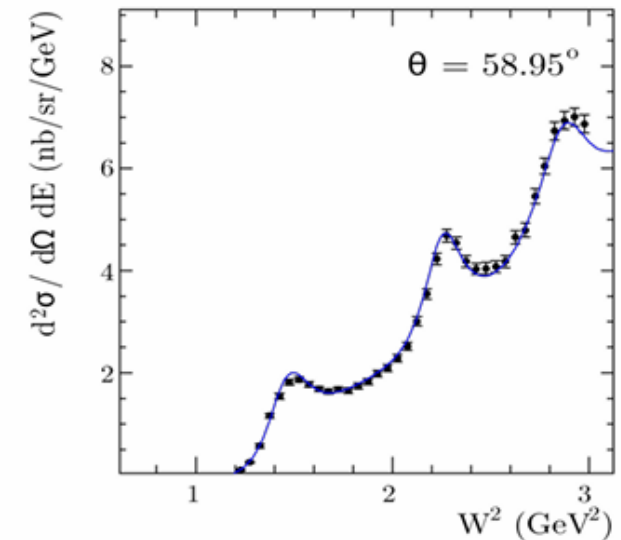
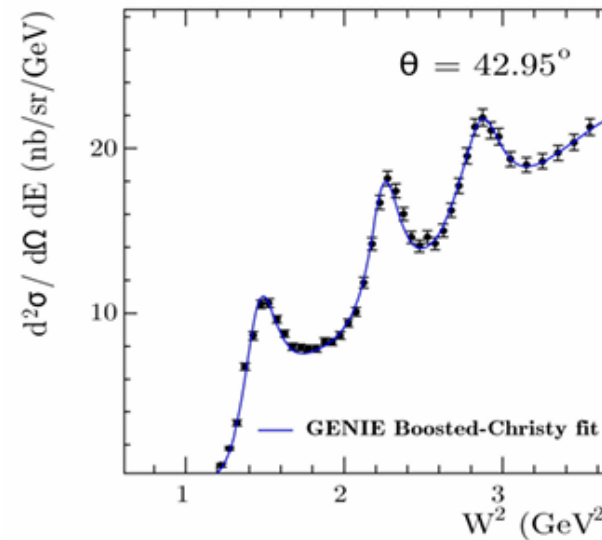
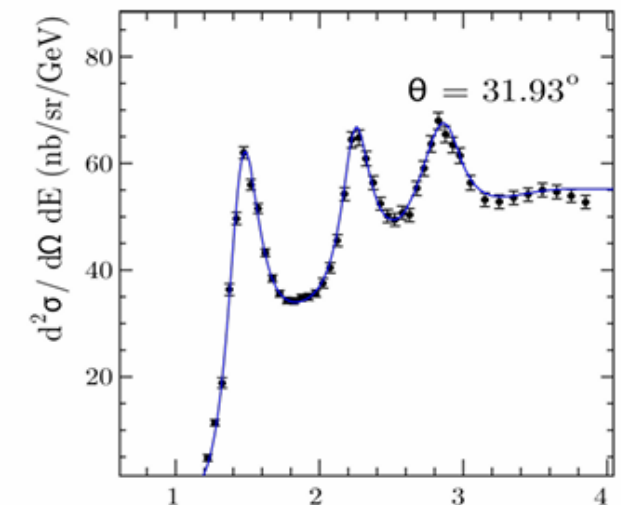
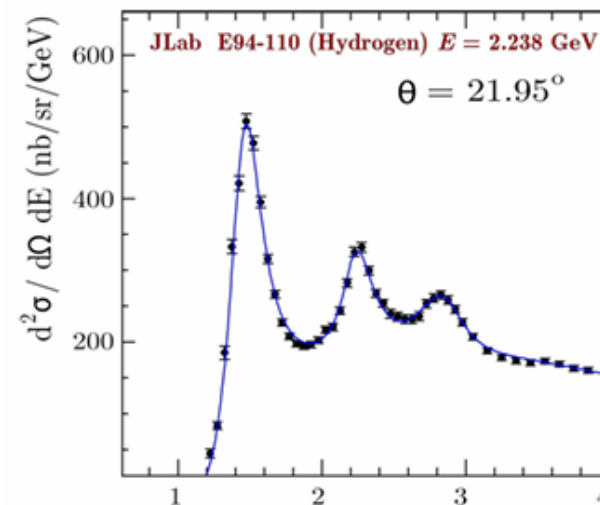
# Electron data: Bosted-Christy fit

- Implementation by the GENIE **Dubna group**
- 2-fold cross section valid at  $0 < Q^2 < 10 \text{ GeV}^2, 0 < W < 3 \text{ GeV}$
- Inclusive model  $\rightarrow$  modifies overall cross section and not the kinematics

[Phys. Rev. C 77 \(2008\) 065206](#)

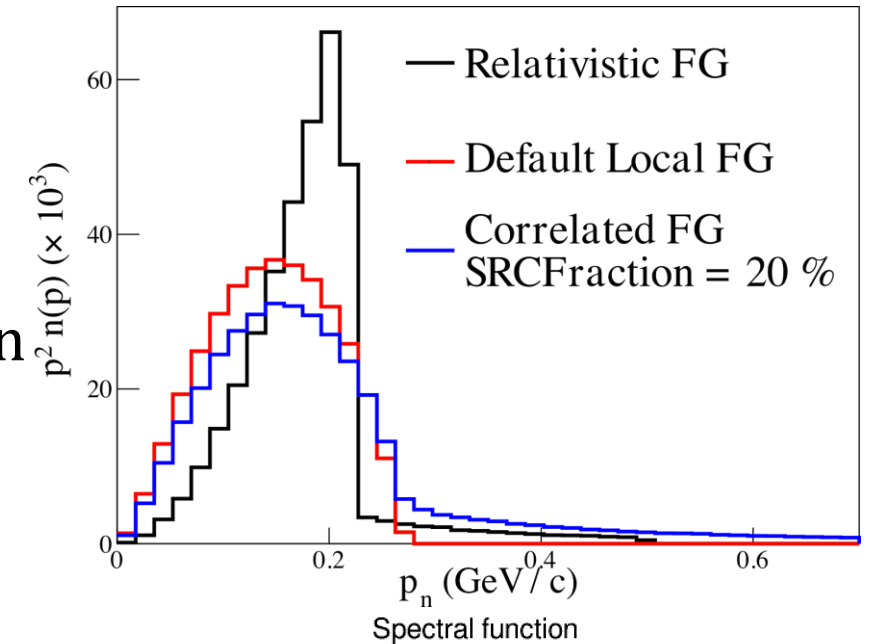
[Phys. Rev. C 81 \(2010\) 055213](#)

[\[1203.2262 \(nucl-th\)\]](#)

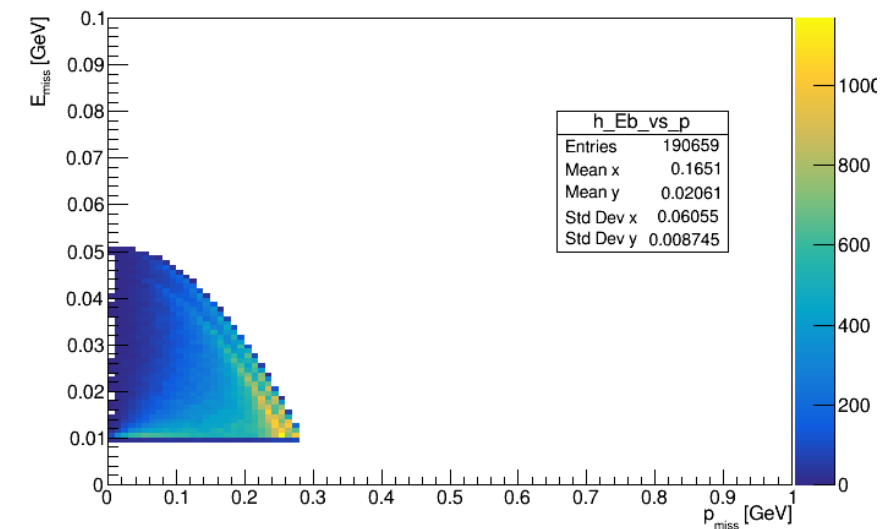


# Correlated Fermi Gas implementation

- Implementation inspired by [Phys. Lett. B 785 \(2018\) 304-308](#)
- Contributors: [A. Papadopoulou](#), [S. Dolan](#), [L. Munteanu](#)
- Model high-energy tail of initial state for nucleon in nuclear potential
- Extends the local Fermi Gas to higher nucleon energies

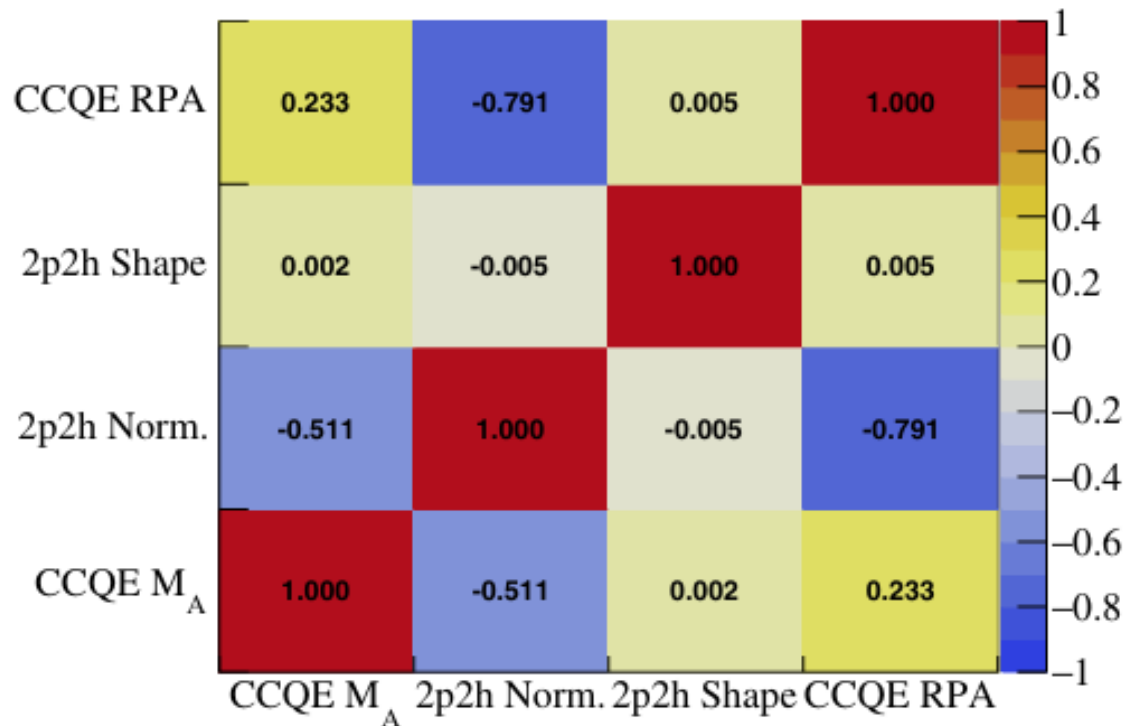


- As of v 3.04.00:
- Spectral function-like approach where nuclear binding energy is function of nucleon momentum
  - **Not a full Spectral Function!** Just populates the phase space
  - Can be reweighted to a SF distribution by a reweighter

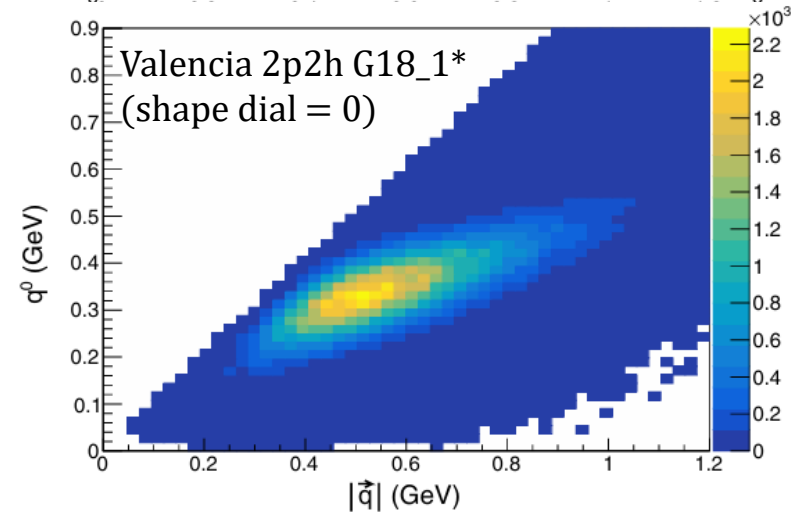
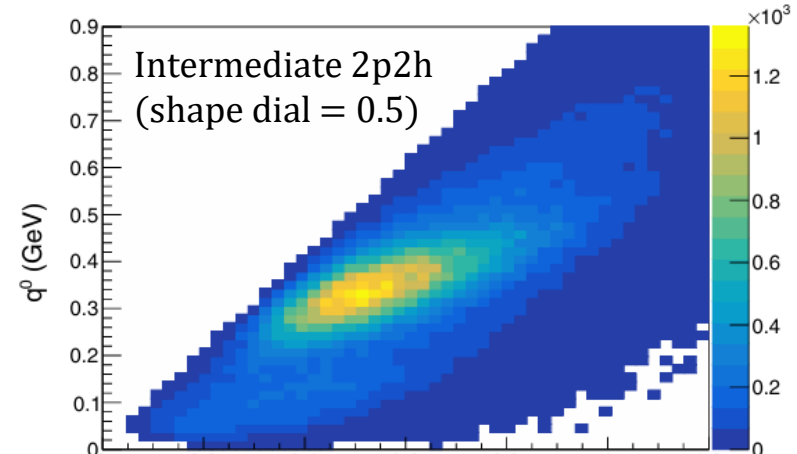
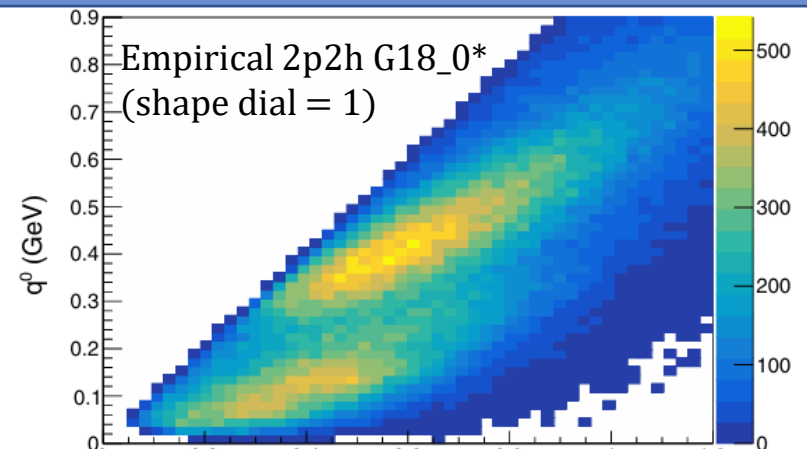


# User-motivated tune: MicroBooNE

- Fit T2K CC0 $\pi$  data ([Phys. Rev. D 105 \(2022\) 072001](#))
  - Four parameters previously unconstrained by theory or data
  - New calculators contributed to GENIE Reweight
  - Available since v3.02.00



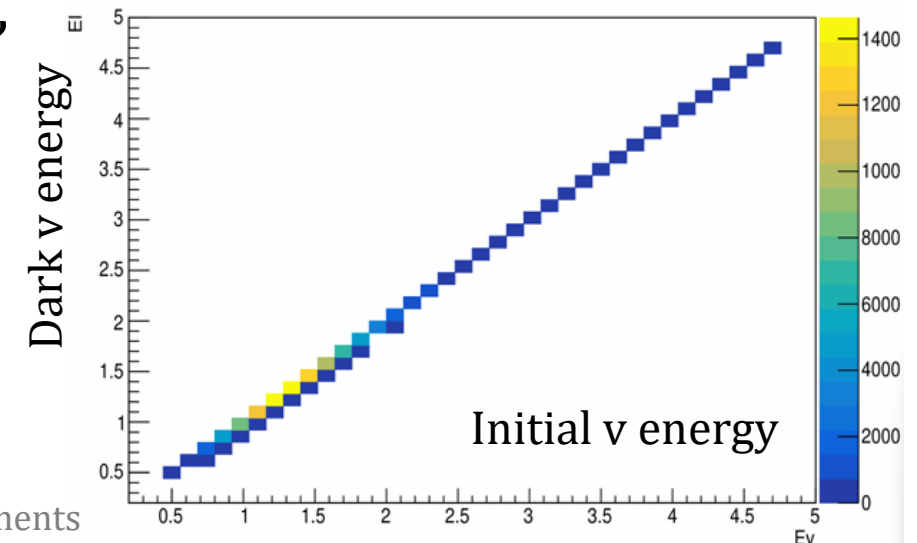
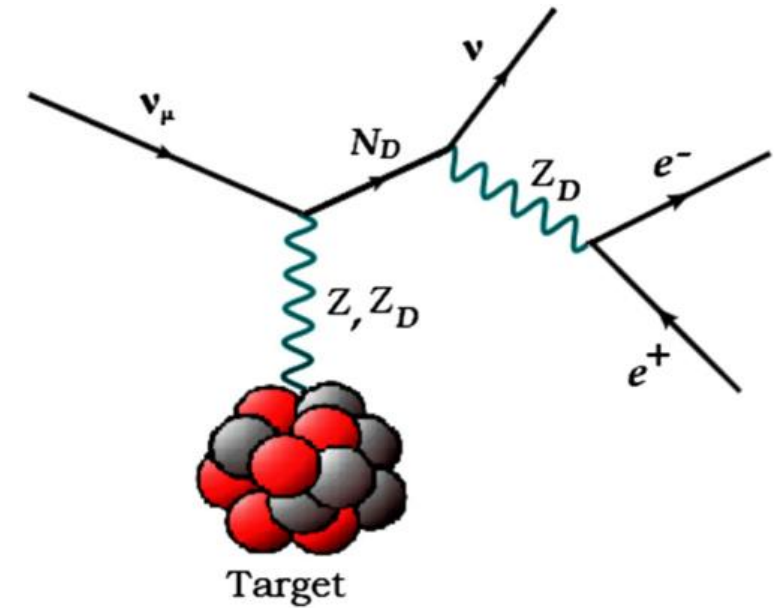
John Plows - GENIE status and developments





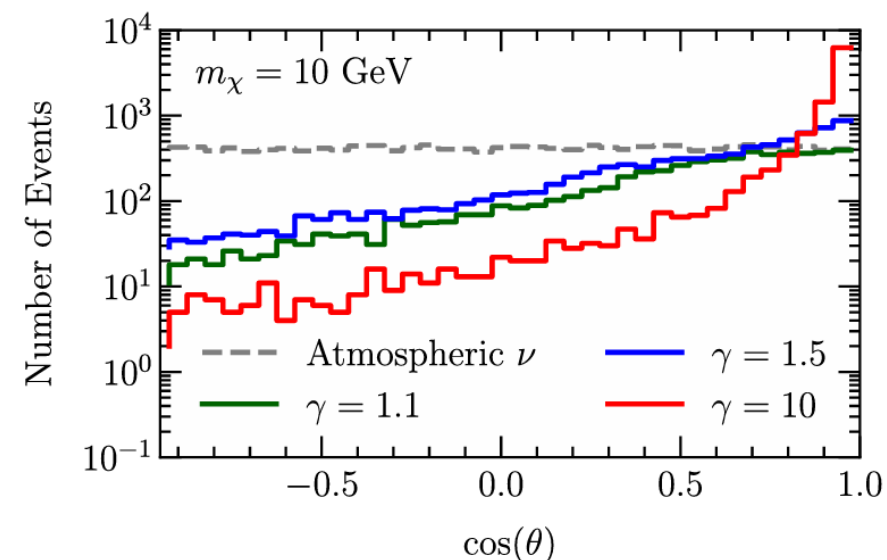
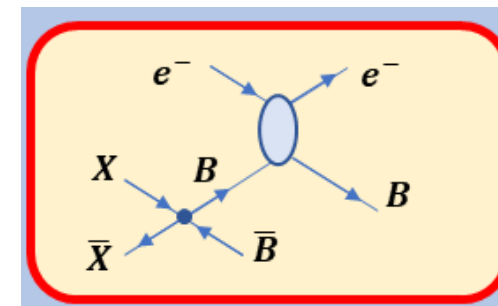
# Dark neutrino scattering

- Grew out of the MiniBooNE low-energy excess ([Phys. Rev. Lett. 121 \(2018\) 241801](#))
  - BSM explanation could be an HNL with a **transition magnetic moment** (couples to “dark photon”  $Z_D$ )
  - $\mathcal{L} \supset e\epsilon Z_D^\mu J_\mu^{em} + \frac{g}{c_W} \epsilon' Z_D^\mu J_\mu^Z + g_D Z_D^\mu \bar{\nu}_D \gamma_\mu \nu_D$
  - Similar to “simple” HNL but with additional coupling  $g_D$
  - Dark photon is light compared to  $Z, W \Rightarrow \epsilon'$  negligible
- Contribution by **I. de Ikaza** and **P. Machado**
- Extensively stress tested against target isotopes, neutrino flavours, and  $E_\nu \leq 1\text{PeV}$  (see more in [this NuSTEC workshop talk](#))



# Boosted dark matter scattering

- Substantial improvement over v3.00.00 into v3.02.00
- Contribution by **J. Berger** [[1812.05616](#)]
- Scalar or fermionic BDM
- Vector and axial couplings
- Improved modelling of elastic scattering + pseudoscalar form factor
- B-electron scattering
- B-bar scattering



Expected BDM hadron-producing signal from the Sun at DUNE FD ([Phys. Rev. D 103 \(2021\) 095012](#))

# Event library

- Use GENIE's flux and geometry drivers with events generated with other generators
- Streamlines workflow: keep production pipelines (already integrated with GENIE) available for use
- But lose some truth information about events
- Simple organisation: user needs to fill out total cross-sections and information about final state for each (current x flavour x target)

- Contribution from NOvA experiment

