



# Machine Learning Reconstruction for DUNE's Near Detector Prototype: Handling Multi-Detector Input to Identify 3D Particle Signatures

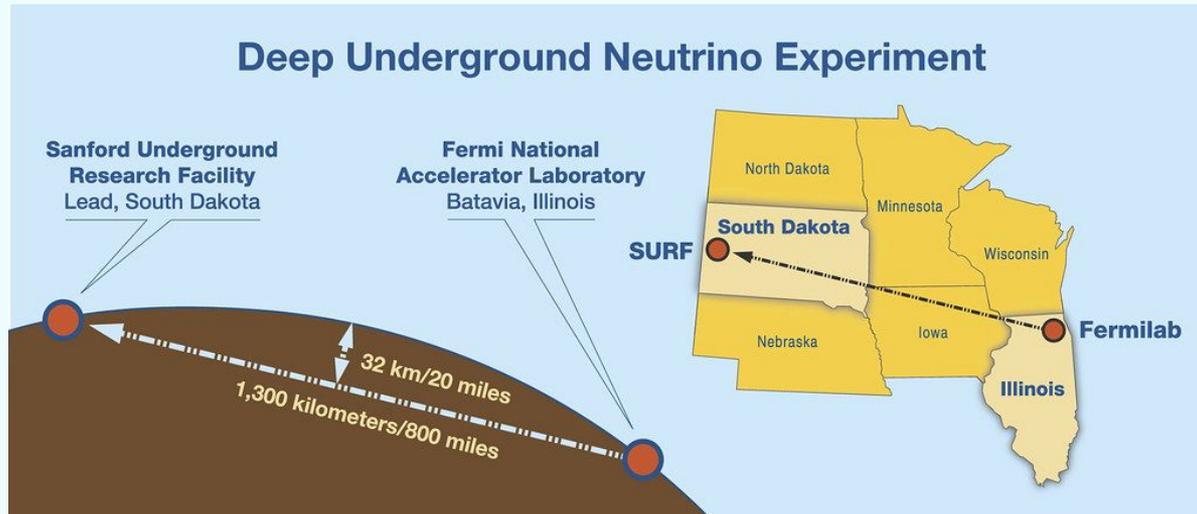
Jessie Micallef  
on behalf of the DUNE Collaboration

[IAIFI](#) Postdoc Fellow  
jessiem@mit.edu

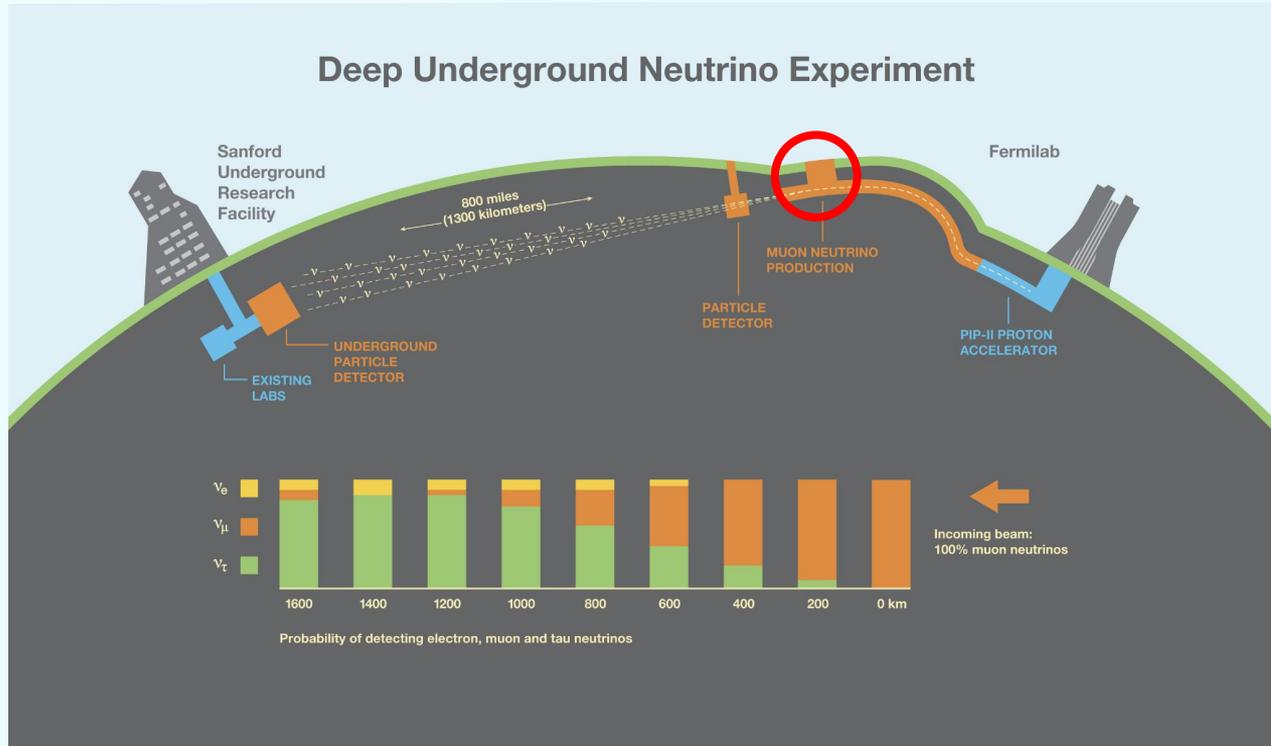


# DUNE: Deep Underground Neutrino Experiment

- Answer fundamental questions:  $\nu$  CP violation and mass ordering
- Perform precision measurements  $\nu$  oscillation parameters:  $\Delta m^2_{32}$ ,  $\theta_{23}$ ,  $\theta_{13}$
- Search for potential Beyond Standard Model  $\nu$  behaviors
- Explore supernova and solar  $\nu$

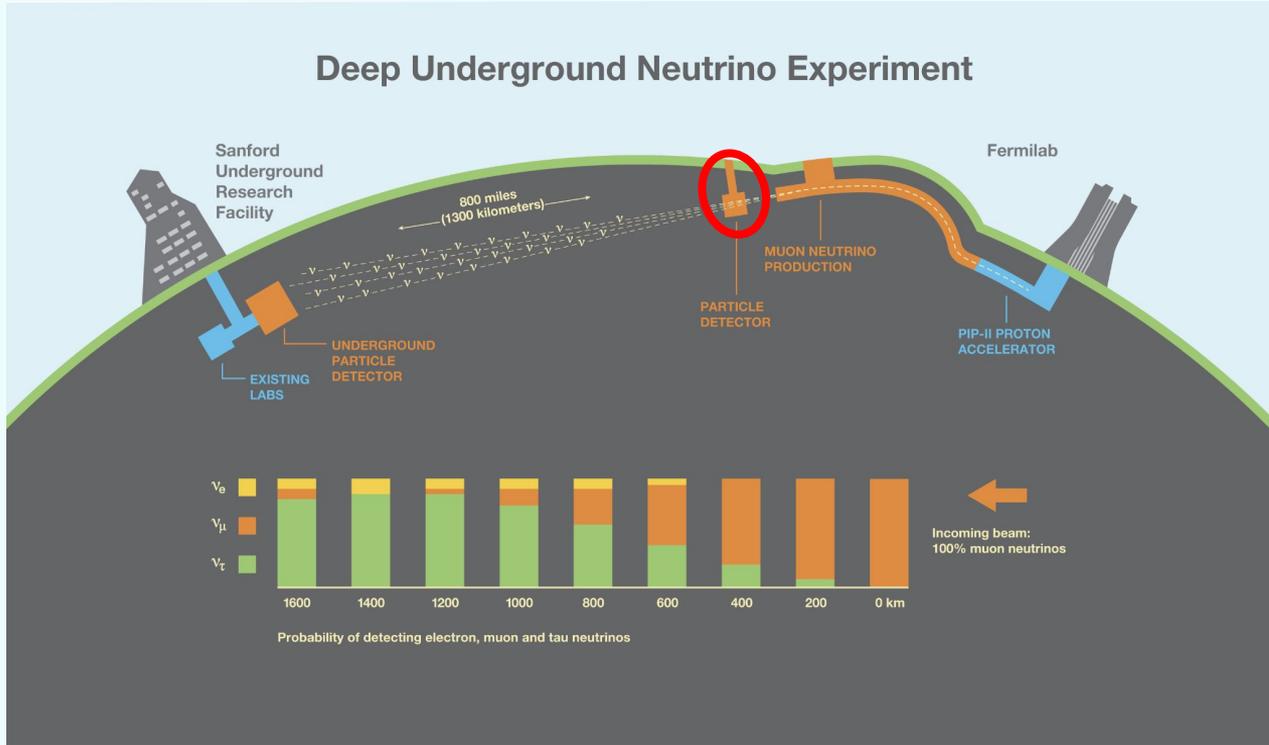


# DUNE: Frontier Measurements of Neutrino Physics



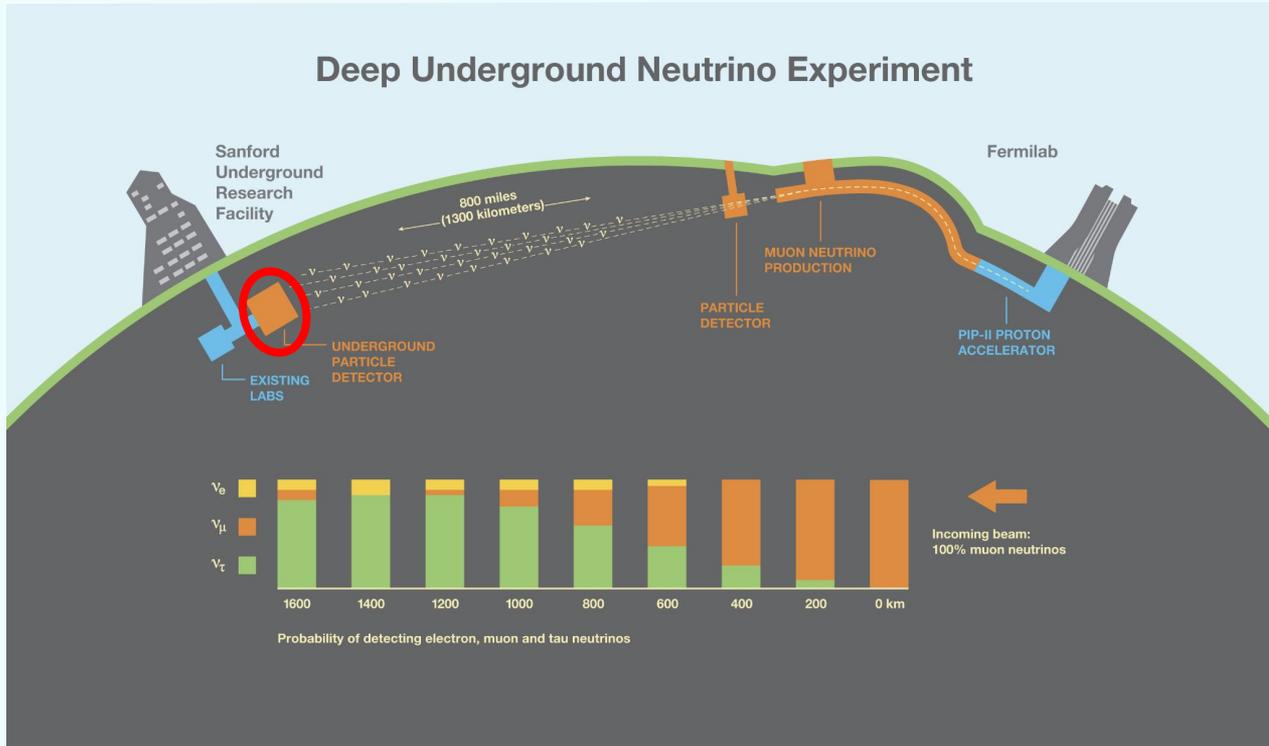
- PIP-II upgrade will lead to world's most intense neutrino beam

# DUNE: Frontier Measurements of Neutrino Physics



- PIP-II upgrade will lead to world's most intense neutrino beam
- Near detectors at Fermilab (Illinois, USA)

# DUNE: Frontier Measurements of Neutrino Physics



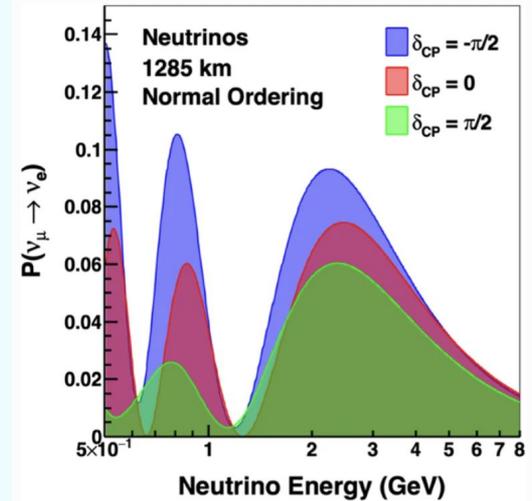
- PIP-II upgrade will lead to world's most intense neutrino beam
- Near detectors at Fermilab (Illinois, USA)
- Far detector at Sanford Underground Research Facility (South Dakota, USA)

# Finding Neutrino Oscillation

$$P_{\alpha \rightarrow \beta}(L) \propto \sin^2\left(1.27 \frac{\Delta m_{ij}^2 L}{E}\right)$$



Fig. 1



Plot Cedit: Abi, B., Acciarri, R., Acero, M.A. et al. *Eur. Phys. J. C* 80, 978 (2020).  
<https://doi.org/10.1140/epjc/s10052-020-08456-z>

# Finding Neutrino Oscillation

$$P_{\alpha \rightarrow \beta}(L) \propto \sin^2\left(1.27 \frac{\Delta m_{ij}^2 L}{E}\right)$$



➤ Flavor ( $\alpha, \beta$ )

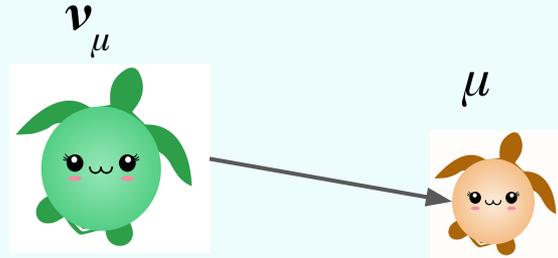
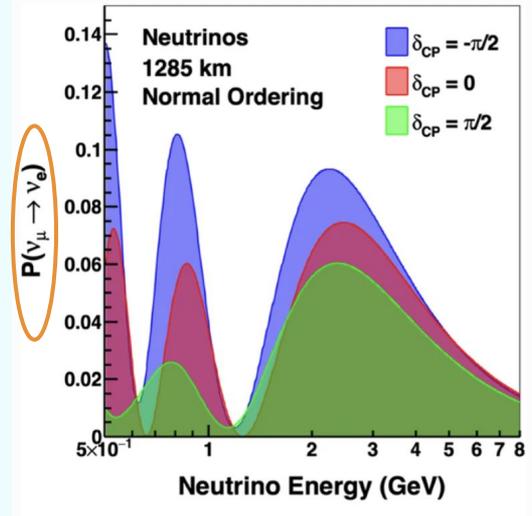


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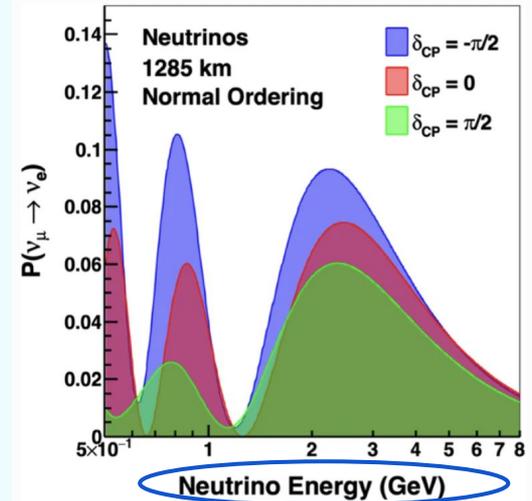
# Finding Neutrino Oscillation

$$P_{\alpha \rightarrow \beta}(L) \propto \sin^2\left(1.27 \frac{\Delta m_{ij}^2 L}{E}\right)$$

- Flavor ( $\alpha, \beta$ )
- Energy (E)



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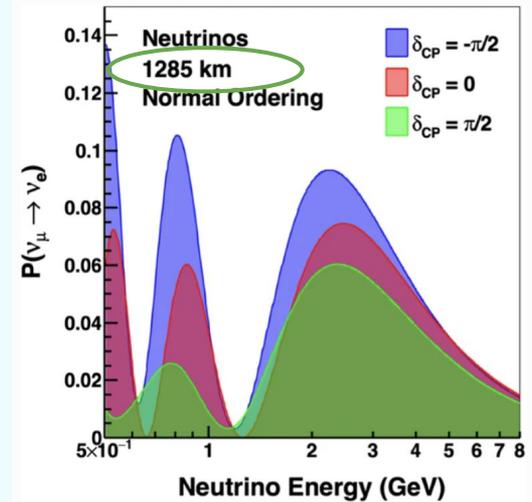
# Finding Neutrino Oscillation

$$P_{\alpha \rightarrow \beta}(L) \propto \sin^2\left(1.27 \frac{\Delta m_{ij}^2 L}{E}\right)$$

- Flavor ( $\alpha, \beta$ )
- Energy (E)
- Distance travelled (L)  
→ Known, distance beam has travelled

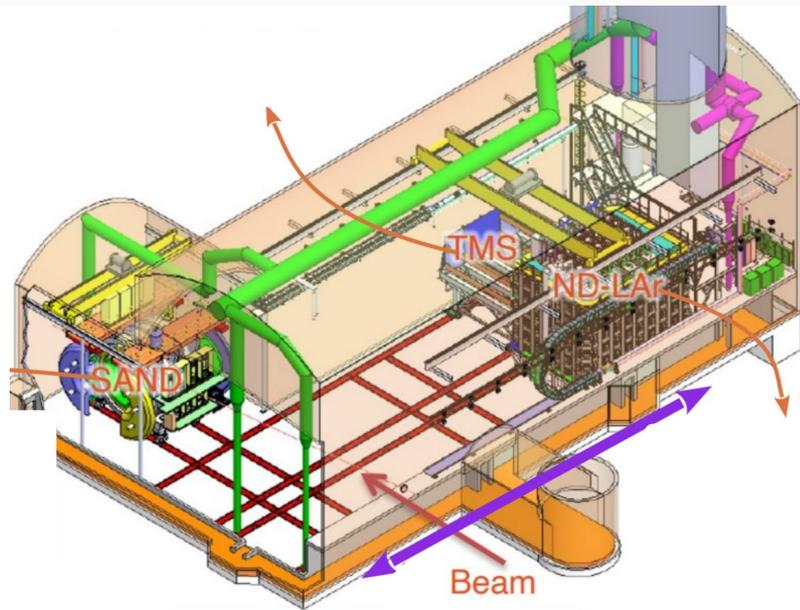


Fig. 1



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# Near Detector (ND) Liquid Argon (LAr)



Important to constrain  $\nu$

- Cross section
- Flux
- Detector systematics

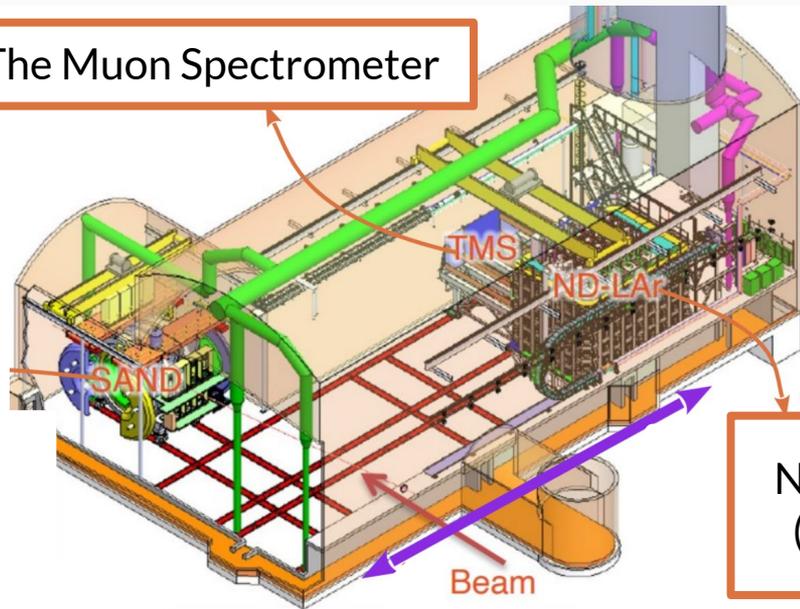
with ND that has same target (LAr)  
as Far detector

See Sindhu's talk tomorrow (9/19) at 4:35pm

<https://indico.fnal.gov/event/63406/contributions/297832/>

# Near Detector (ND) Liquid Argon (LAr)

The Muon Spectrometer



Important to constrain  $\nu$

- Cross section
- Flux
- Detector systematics

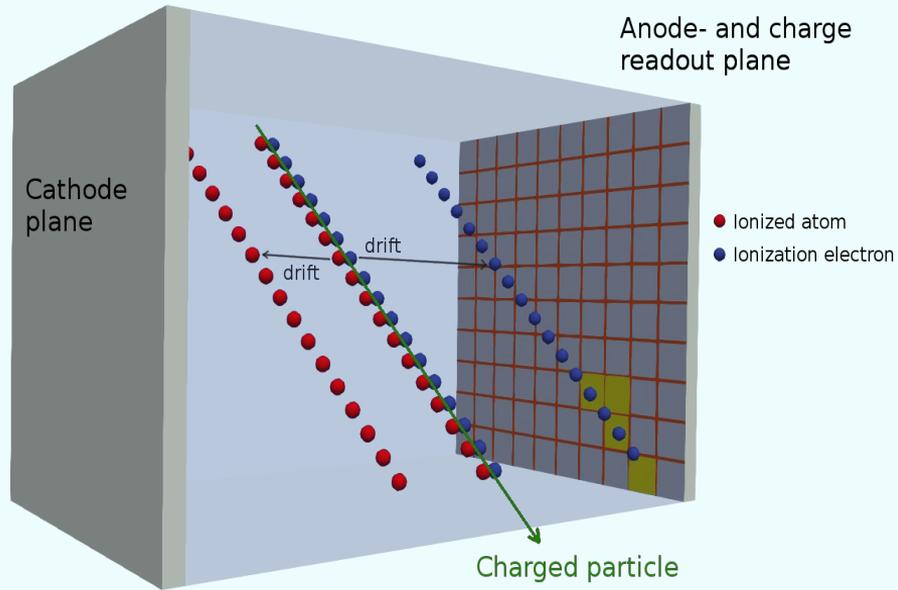
with ND that has same target (LAr) as Far detector

Near Detector (ND) Liquid Argon (LAr) Time Projection Chamber

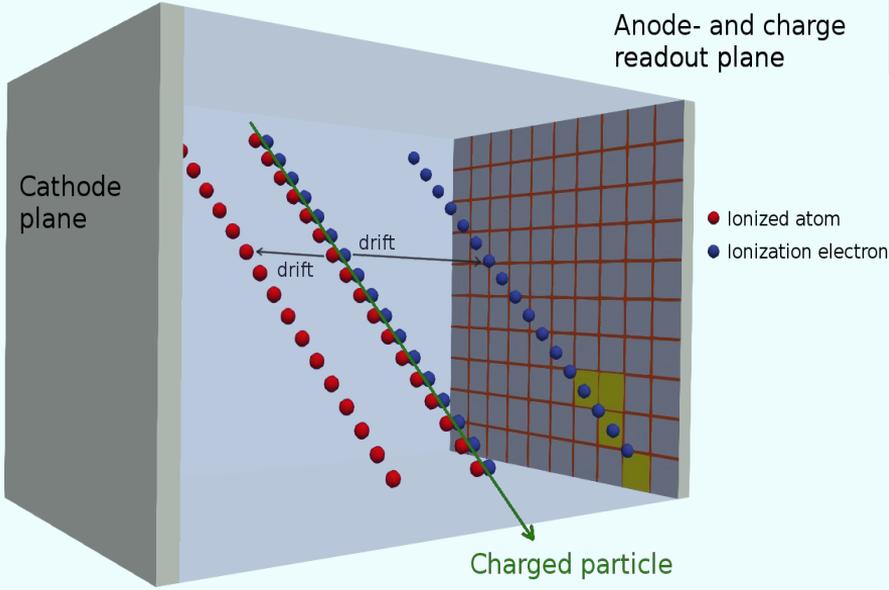
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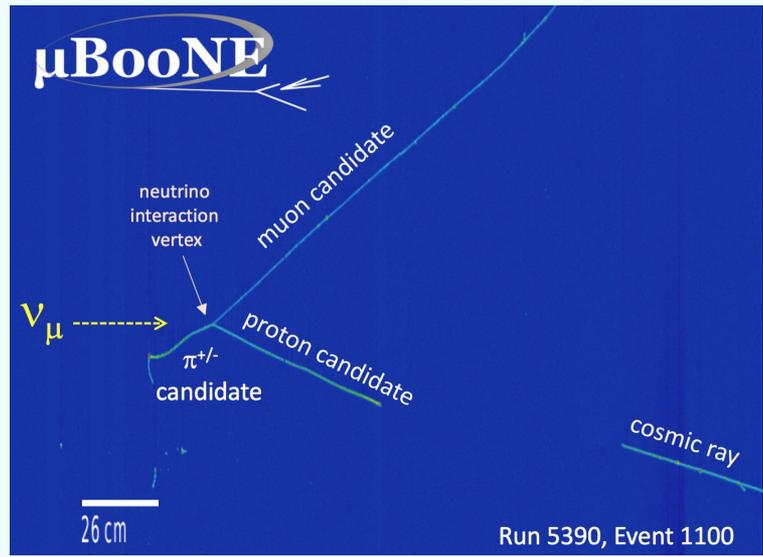
# Liquid Argon (LAr) Time Projection Chamber (TPC)



# Liquid Argon (LAr) Time Projection Chamber (TPC)

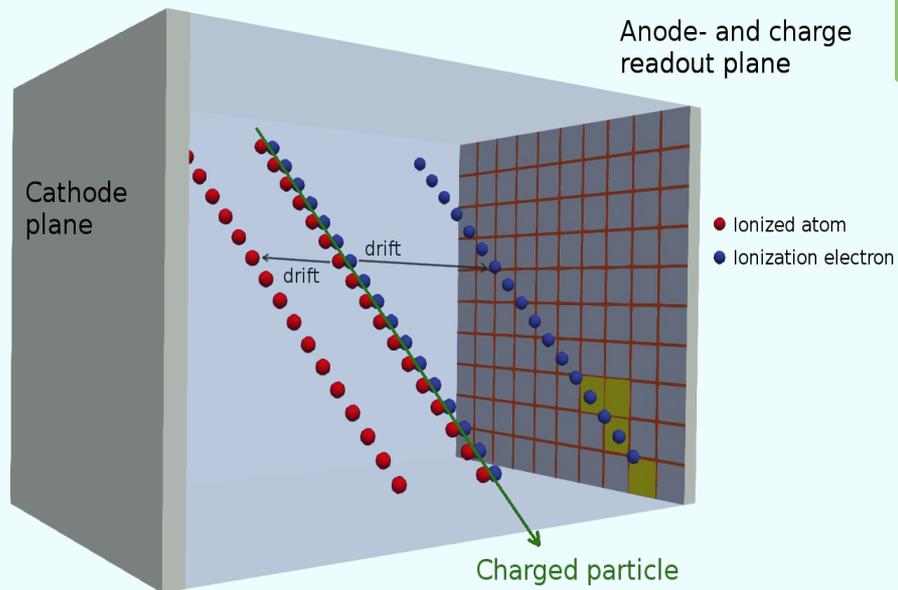


Far Detectors are 4x17-kton wire plane LAr TPC

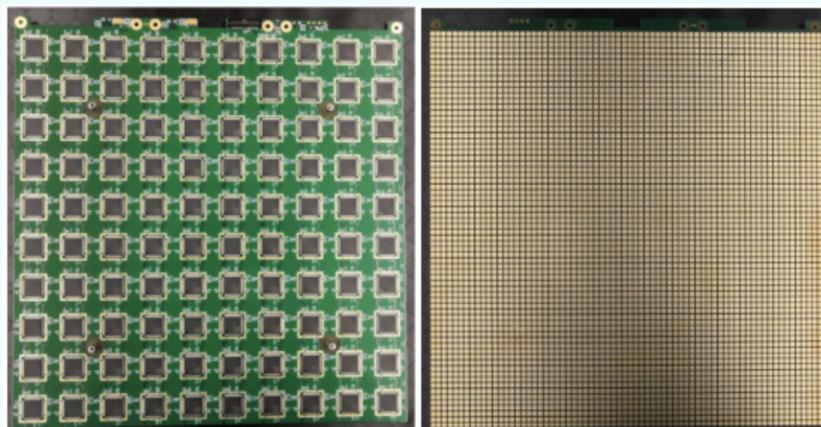


Color = charge deposition

# The Near Detector LAr TPC (ND-LAr)



But ND-LAr uses a 2D **pixel plane** readout instead of wires → get a native 3D image!

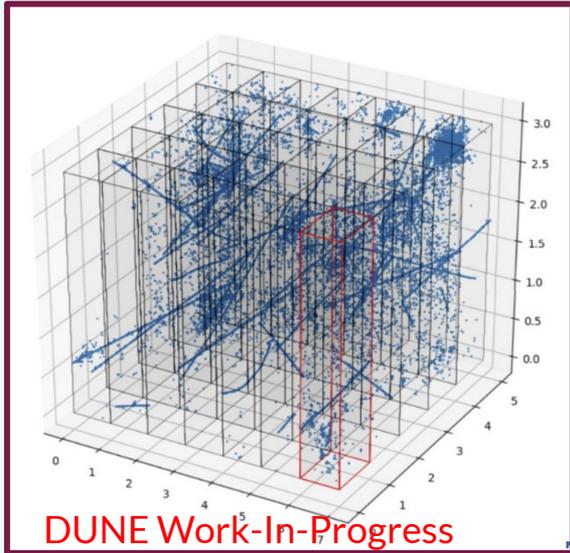


Back of plane with LArPix ASICs (left) & TPC-facing side of pixel plane with pixel pitch ~4mm (right)

[LArPix Paper](#)

# Handling Beam Intensity in ND-LAr

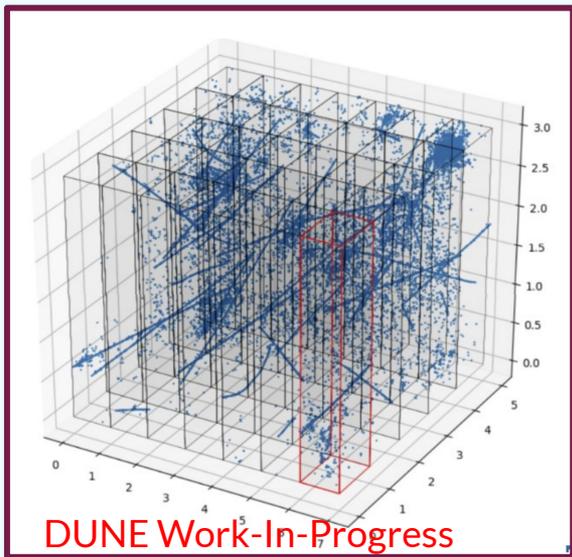
- Expect  $\sim 55 \nu$  interactions!
- Need new technology:



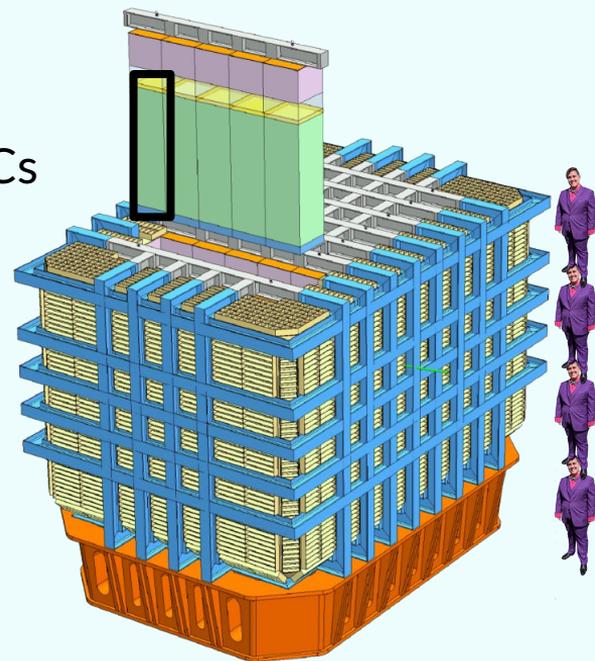
Simulation of ND LAr  
per spill ( $10\mu\text{s}$ )

# Handling Beam Intensity in ND-LAr

- Expect  $\sim 55 \nu$  interactions!
- Need new technology:
  - Time resolution ns scale
  - Optically segmented TPCs for pileup mitigation

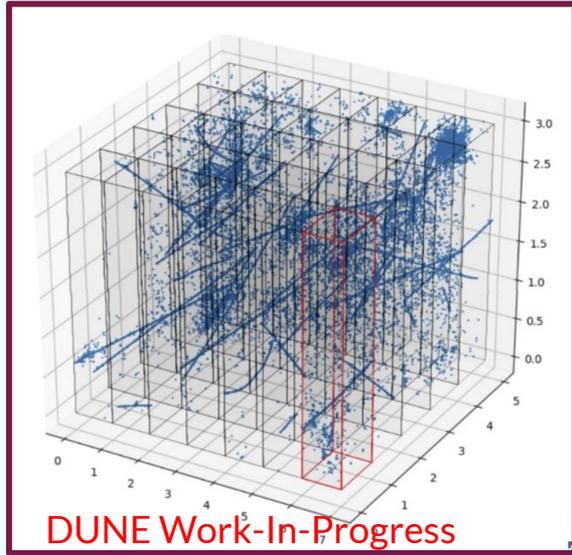


Simulation of ND LAr  
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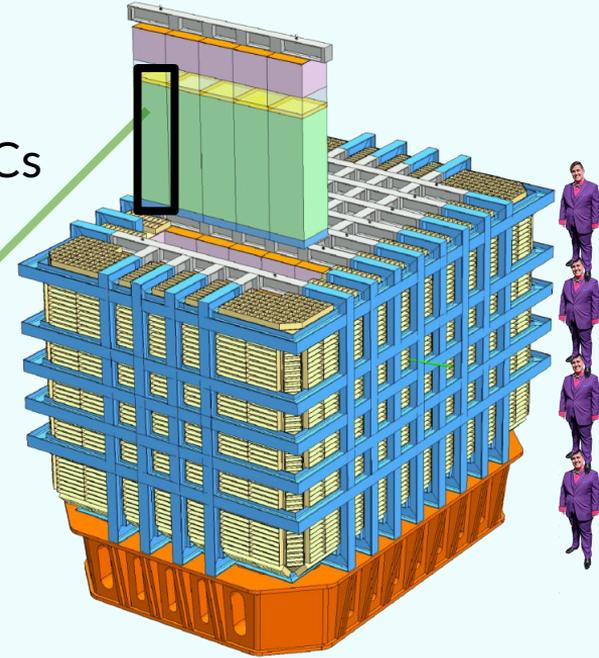
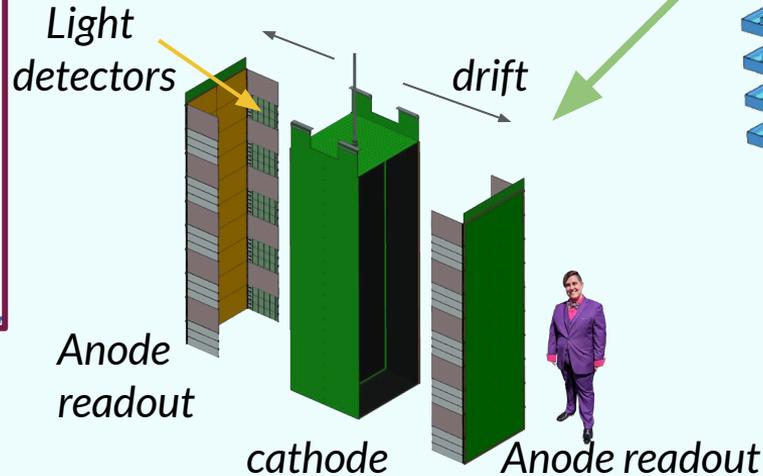


# Handling Beam Intensity in ND-LAr

- Expect  $\sim 55 \nu$  interactions!
- Need new technology:
  - Time resolution ns scale
  - Optically segmented TPCs for pileup mitigation
  - Native 3D

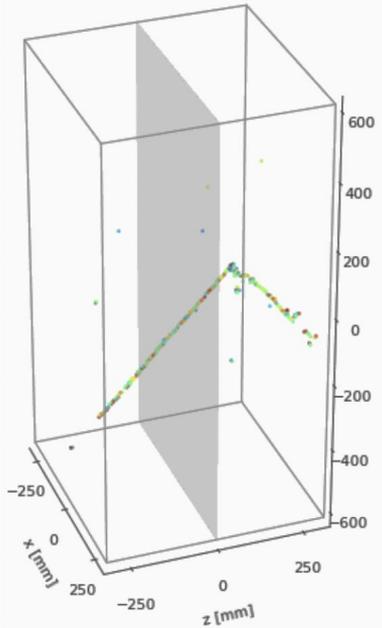


Simulation of ND LAr  
per spill ( $10\mu\text{s}$ )



# Handling Beam Intensity

- TPC with center cathode
  - Native 3D
  - Optically segmented TPCs for pileup mitigation



Cosmic data for single LAr TPC module

Pixel plane for 3D display

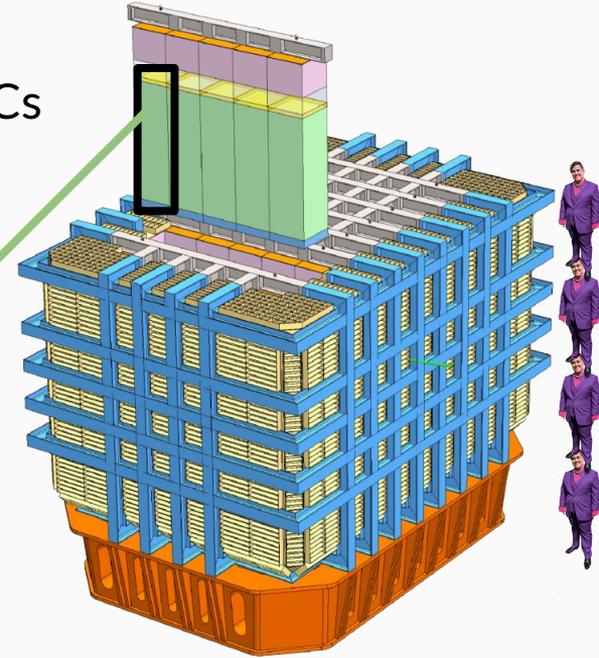
Light detectors

drift

Anode readout

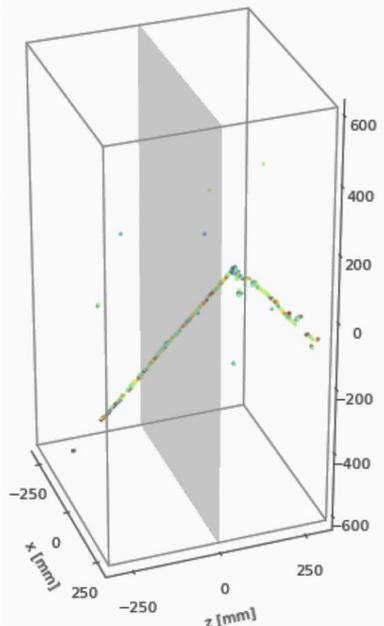
cathode

Anode readout

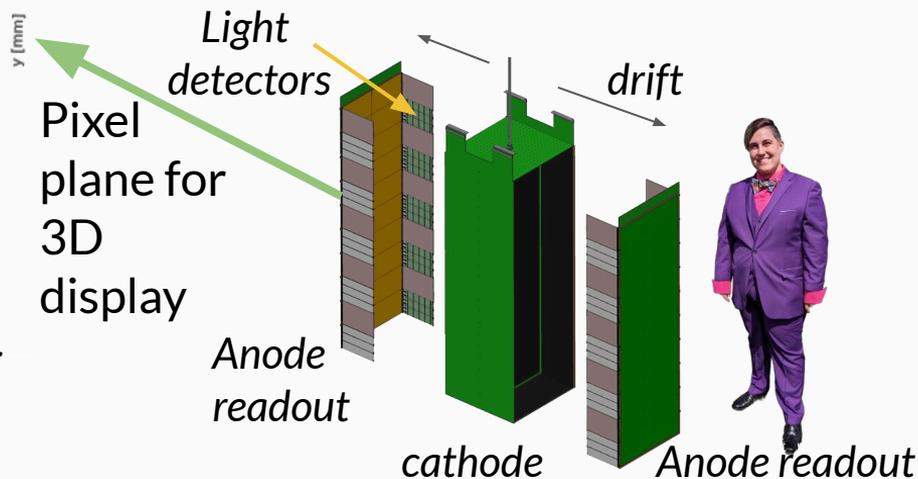


# Handling Beam Intensity: 2x2 Prototype

- Novel tech needs to be prototyped!
- 4 smaller modules

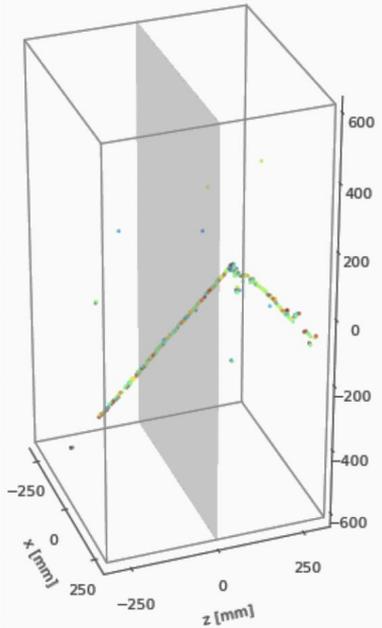


Cosmic data for  
single LAr TPC  
module



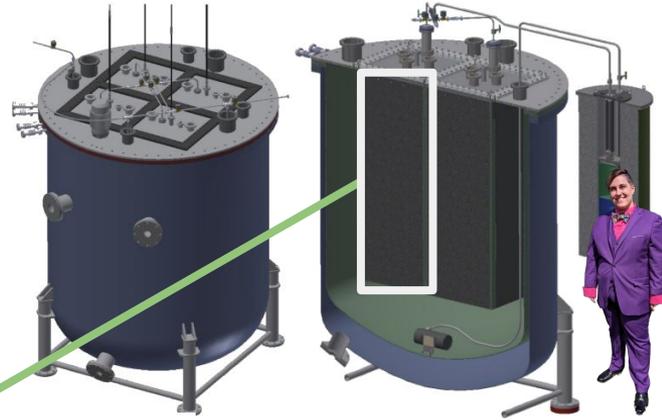
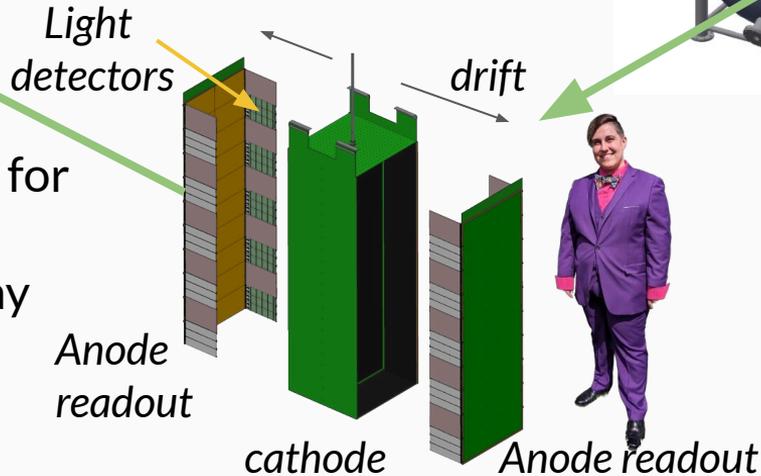
# Handling Beam Intensity: 2x2 Prototype

- Novel tech needs to be prototyped!
- 4 smaller modules
- Took ~5 days of data at Fermilab in  $\nu$  beam



Cosmic data for single LAr TPC module

Pixel plane for 3D display



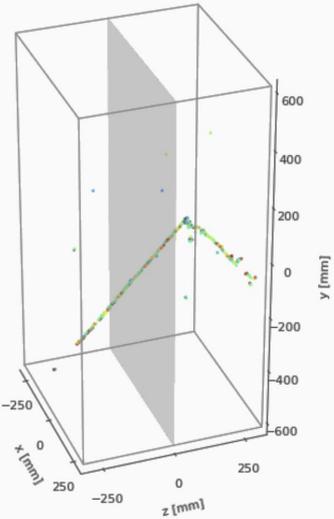
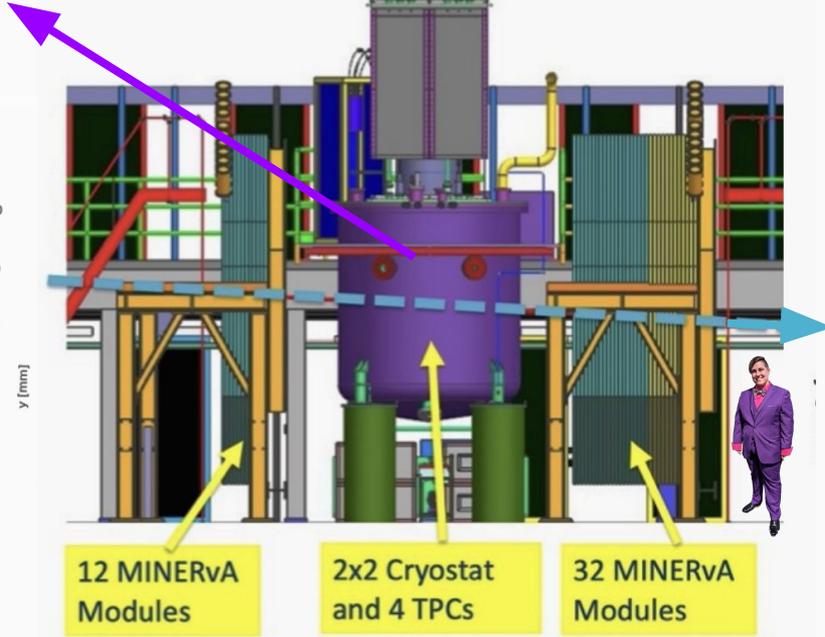
# DUNE Near Detector 2x2 Prototype



# DUNE 2x2 uses Liquid Argon TPCs

Inherently  
a 3D pixel  
readout

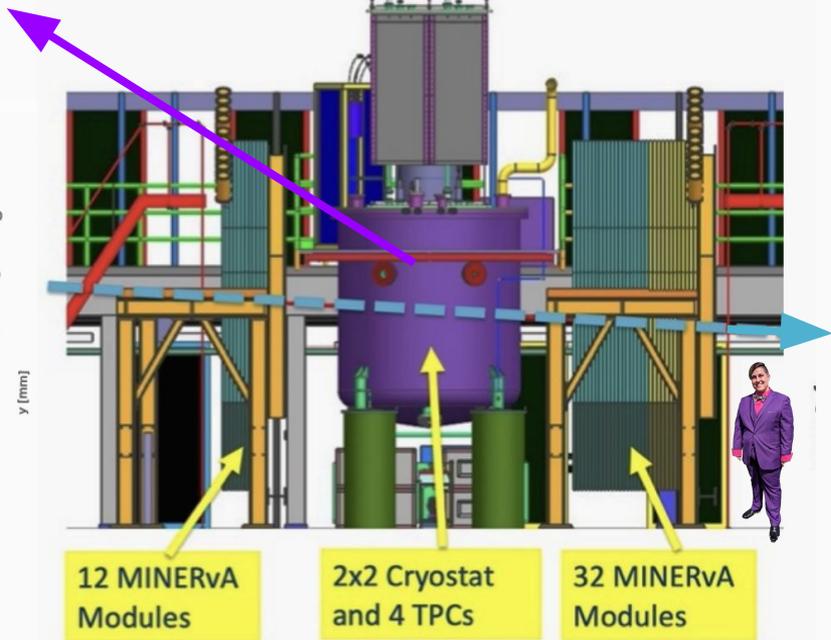
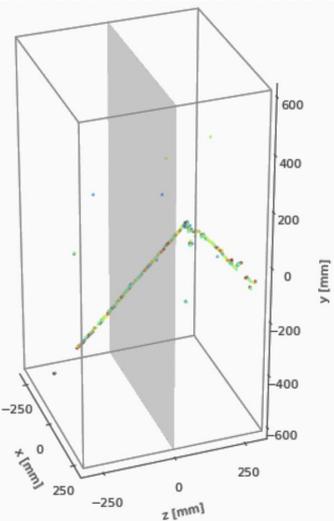
DUNE ND-LAr 2x2 Prototype



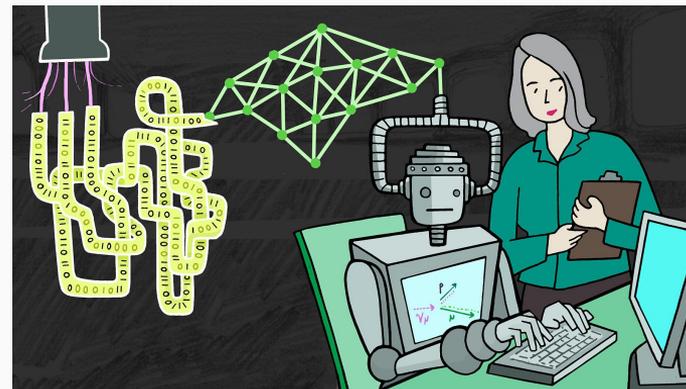
# 3D Reconstruction

Inherently  
a 3D pixel  
readout

DUNE Near Detector 2x2 Prototype

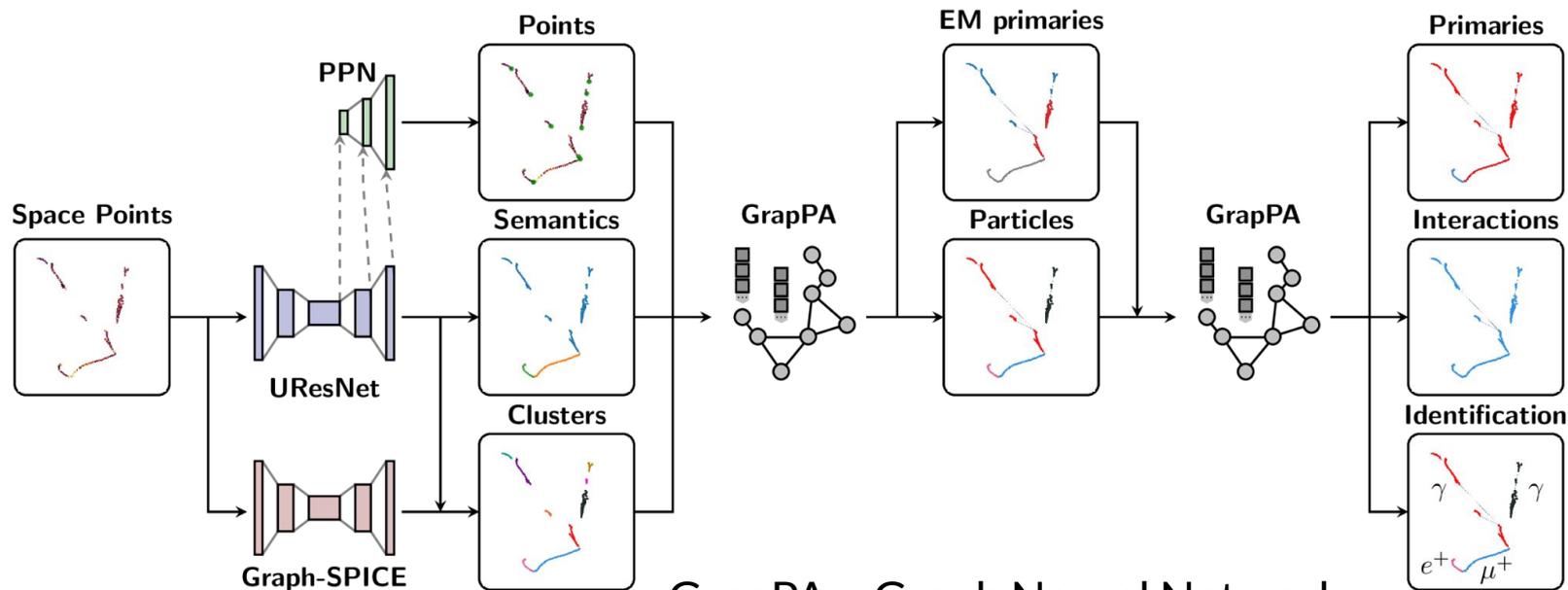


LArTPC 3D reconstructed  
with machine learning



[https://www.symmetrymagazine.org/article/machine-learning-proliferates-in-particle-physics?language\\_content\\_entity=und](https://www.symmetrymagazine.org/article/machine-learning-proliferates-in-particle-physics?language_content_entity=und)

# 3D LAr TPC: SPINE Reconstruction



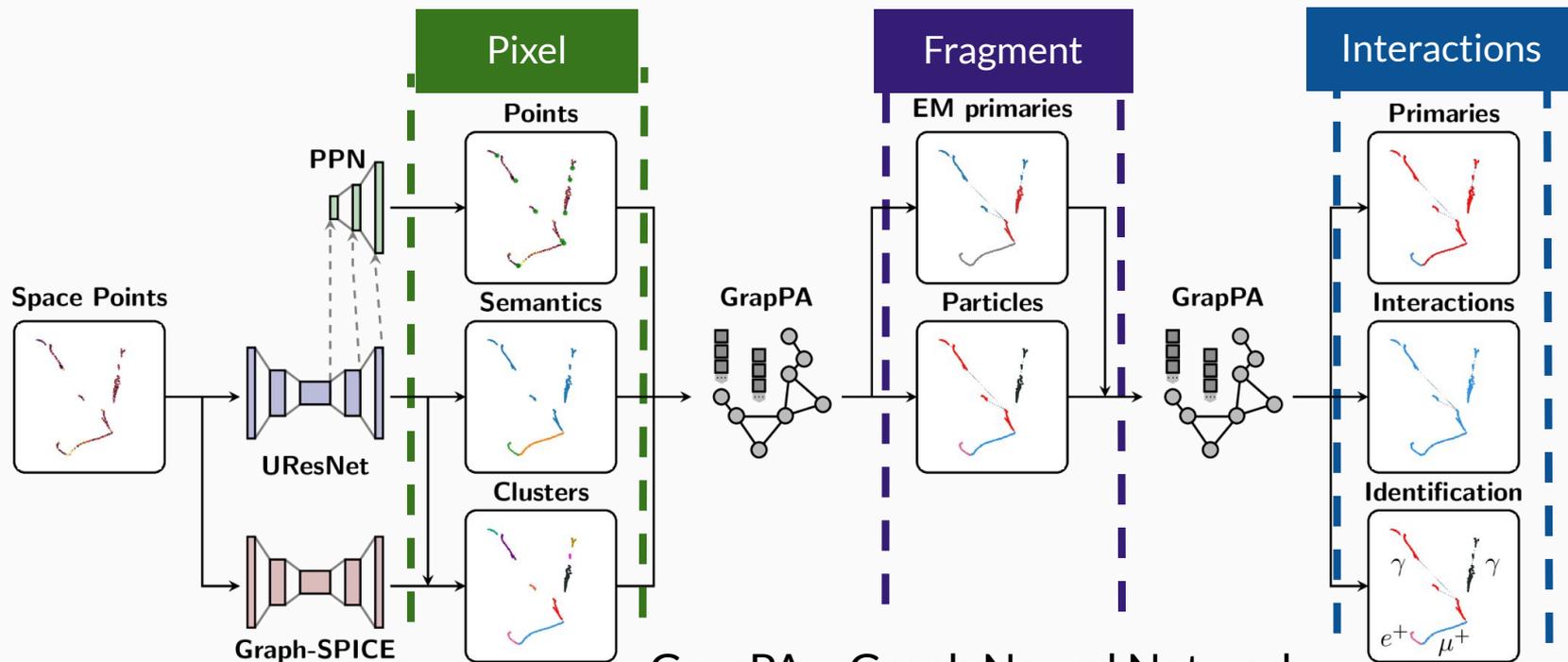
GrapPA = Graph Neural Network

UResNet = encoder/decoder, convolutional layers

PPN = Point Proposal Network (convolutional)

[SPINE Machine Learning Reconstruction 3D](#)

# 3D LAr TPC: SPINE Reconstruction



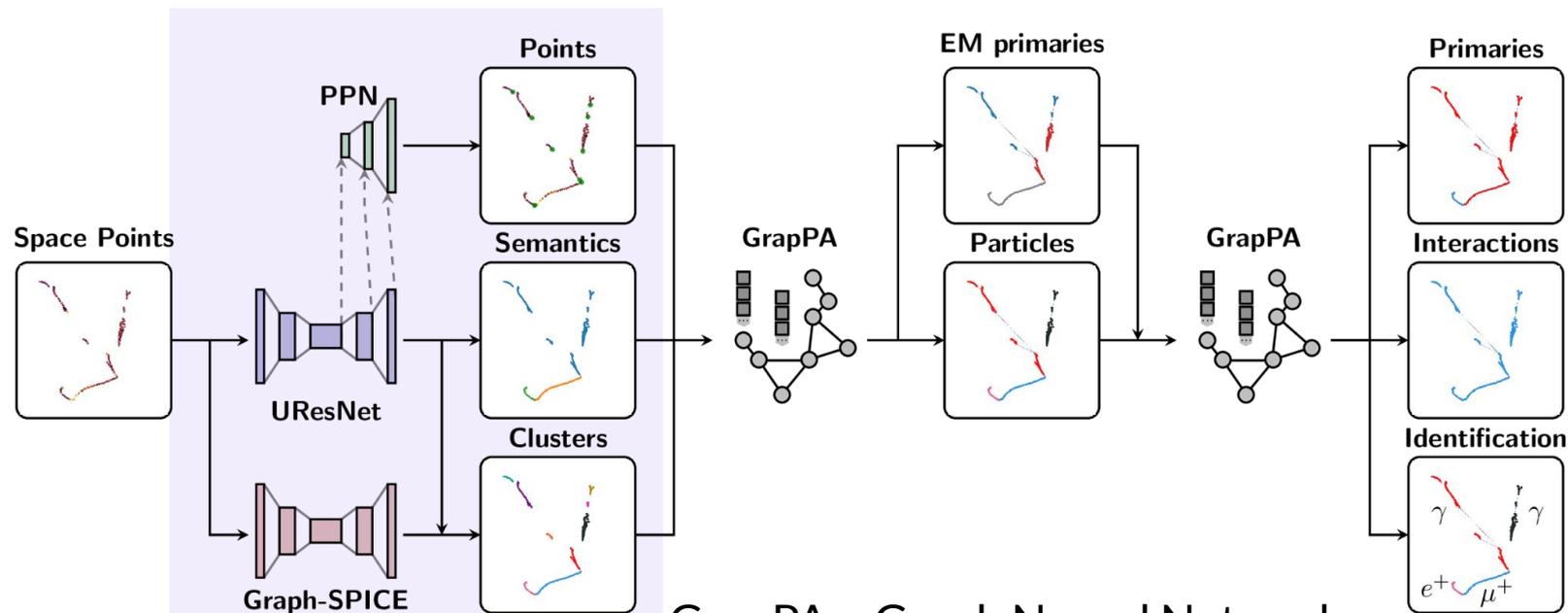
Grappa = Graph Neural Network

UResNet = encoder/decoder, convolutional layers

PPN = Point Proposal Network (convolutional)

[SPINE Machine Learning Reconstruction 3D](#)

# 3D LAr TPC: SPINE Reconstruction



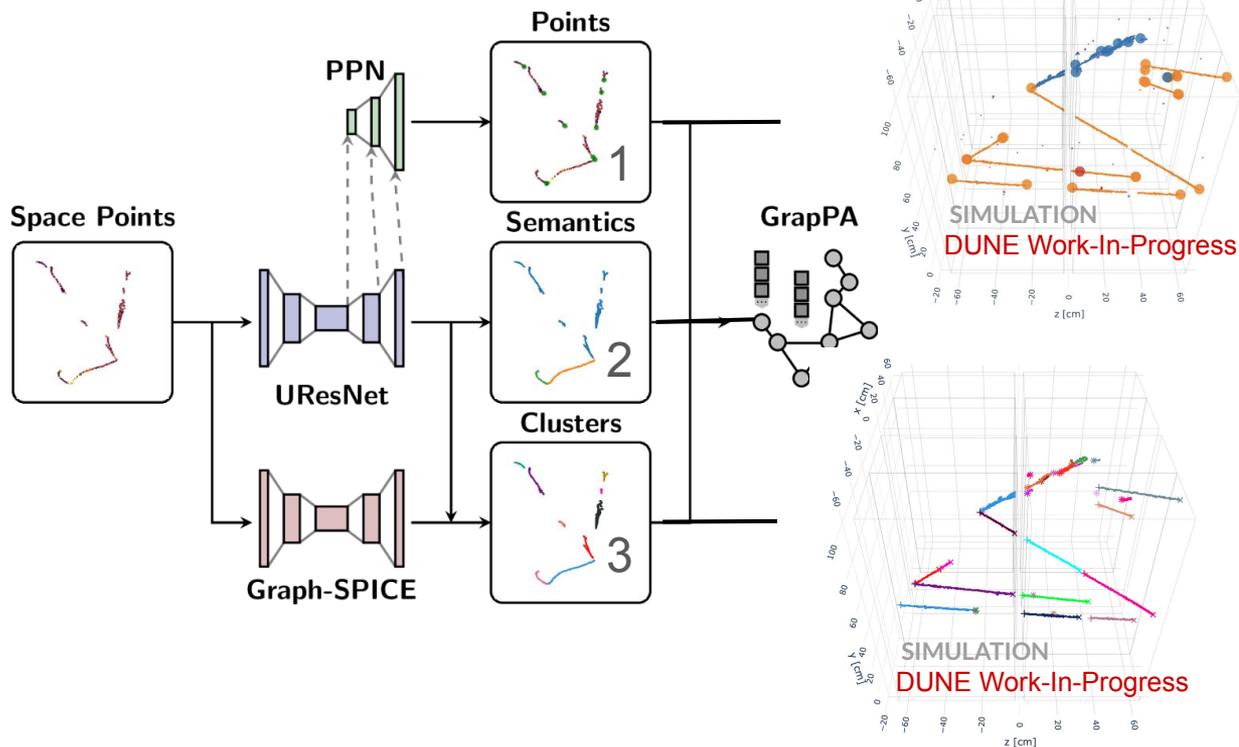
GrpPA = Graph Neural Network

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[SPINE Machine Learning Reconstruction 3D](#)

# Pixel Features: Output

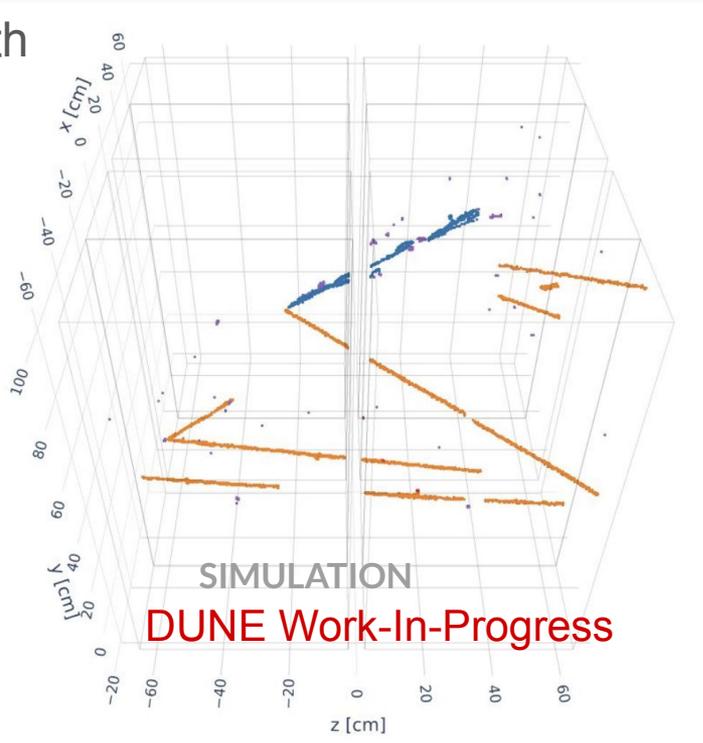


**Shower**  
**Track**  
**Michel electron**  
**Delta rays**  
**Low energy scatters**

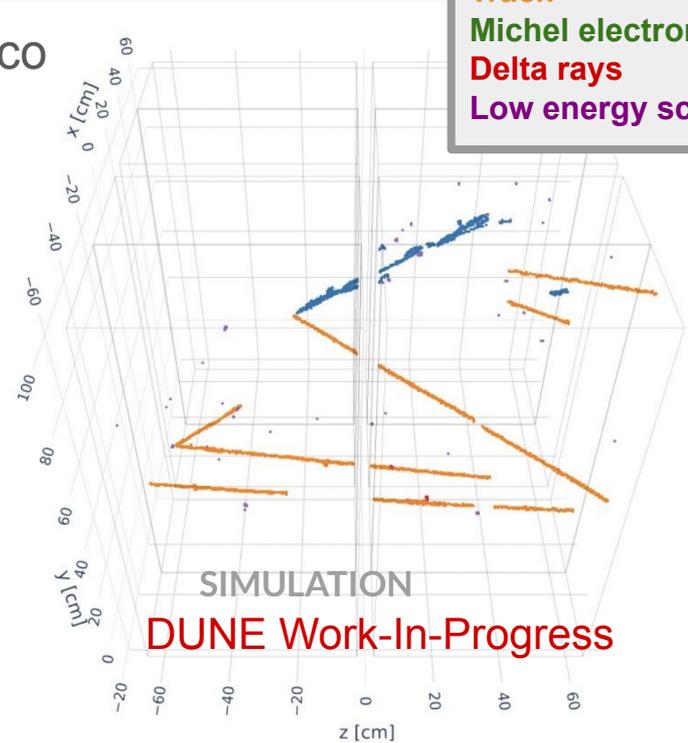
1. Points of interest
  - a. Start of tracks & showers
  - b. End track
2. Pixel “signature” of particle interaction type
3. Pixel clusters
  - a. Including centroids

# Assign Each Pixel To Label

Truth



Reco



- Shower
- Track
- Michel electron
- Delta rays
- Low energy scatters

[PhysRevD \(102\) 012005](#) & [PhysRevD \(104\) 032004](#)

# Assign Each Pixel To Label

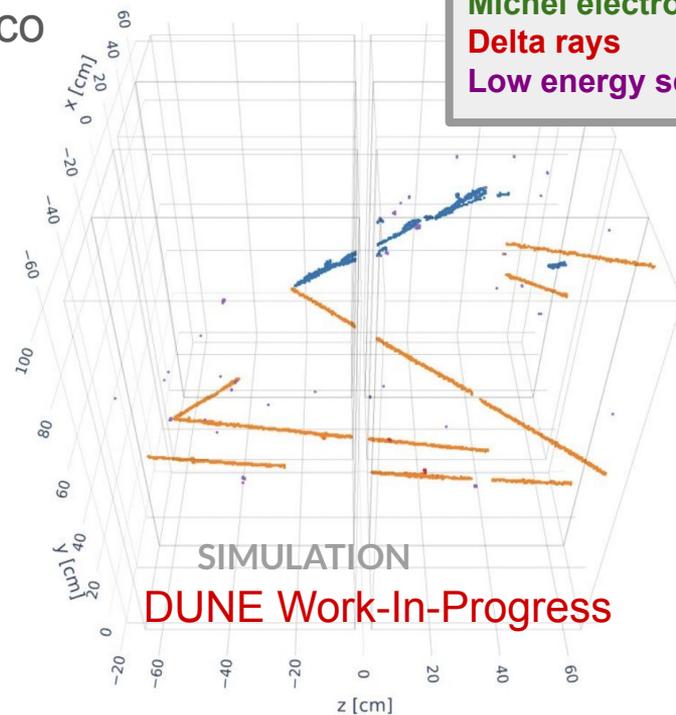
## Performance

Class prediction \ Class label	Shower	Track	Michel	Delta	LE
LE	0.016 (23274)	0.002 (7158)	0.033 (848)	0.015 (2364)	<b>0.912</b> (305512)
Delta	0.005 (7480)	0.003 (13087)	0.004 (92)	<b>0.622</b> (98610)	0.002 (645)
Michel	0.002 (3358)	0.000 (533)	<b>0.809</b> (20643)	0.007 (1082)	0.000 (135)
Track	0.010 (14625)	<b>0.984</b> (3863847)	0.033 (840)	0.195 (30872)	0.017 (5842)
Shower	<b>0.967</b> (1449106)	0.011 (42330)	0.122 (3105)	0.161 (25549)	0.068 (22758)

DUNE Work-In-Progress

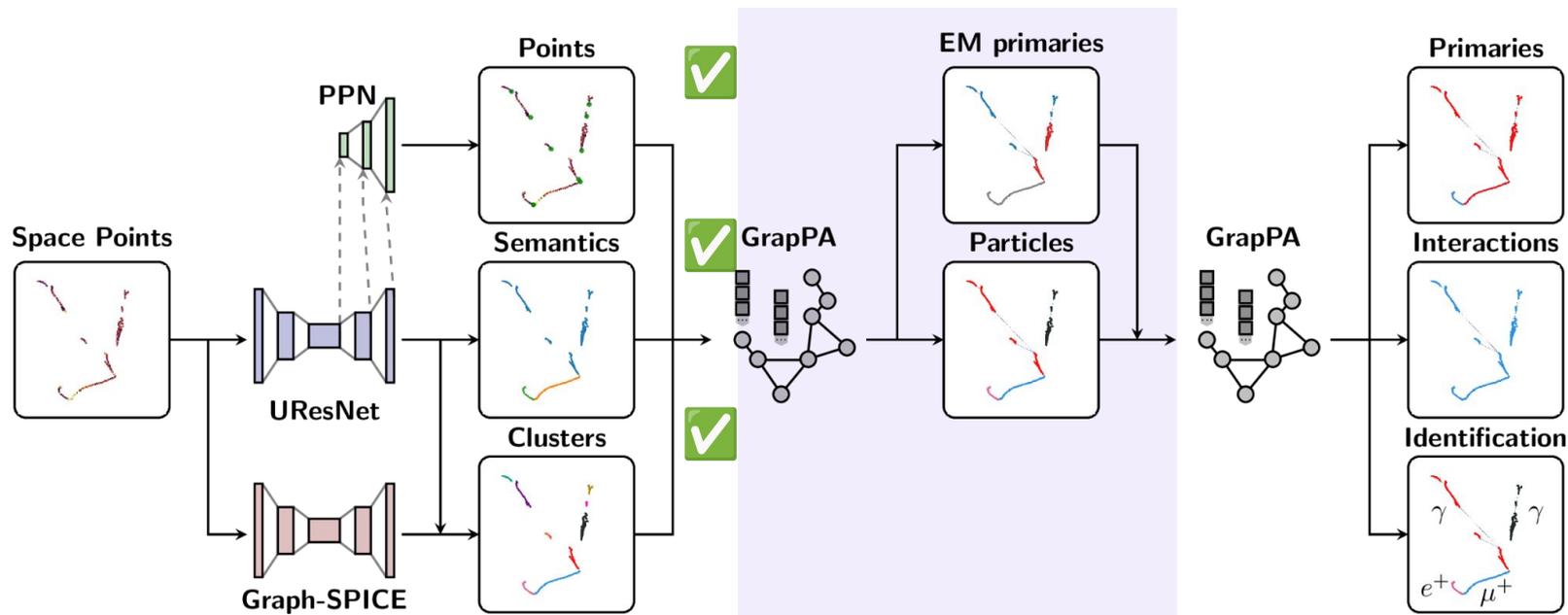
[PhysRevD \(102\) 012005](#) & [PhysRevD \(104\) 032004](#)

## Reco



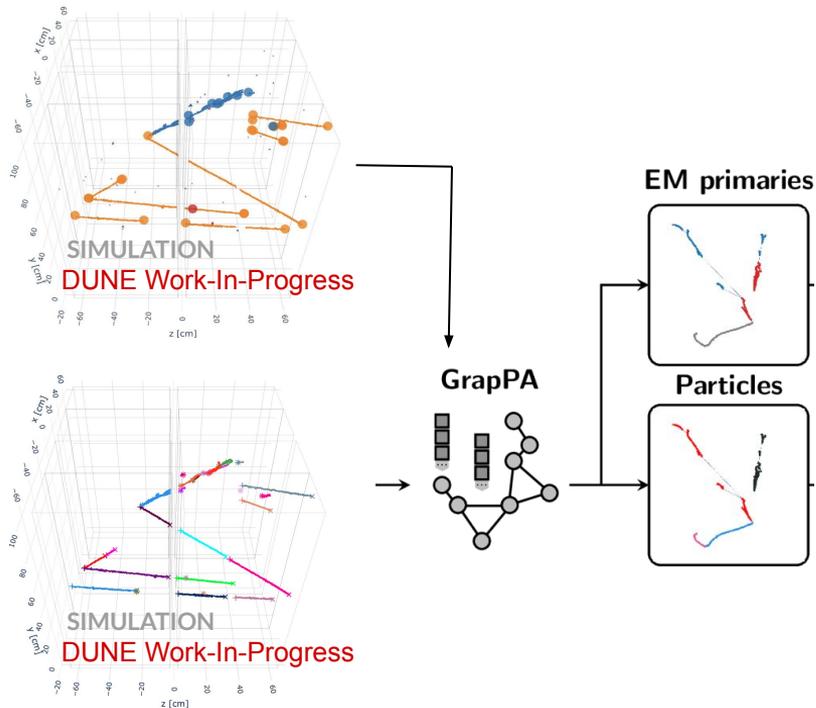
Shower
Track
Michel electron
Delta rays
Low energy scatters

# 3D LAr TPC: SPINE

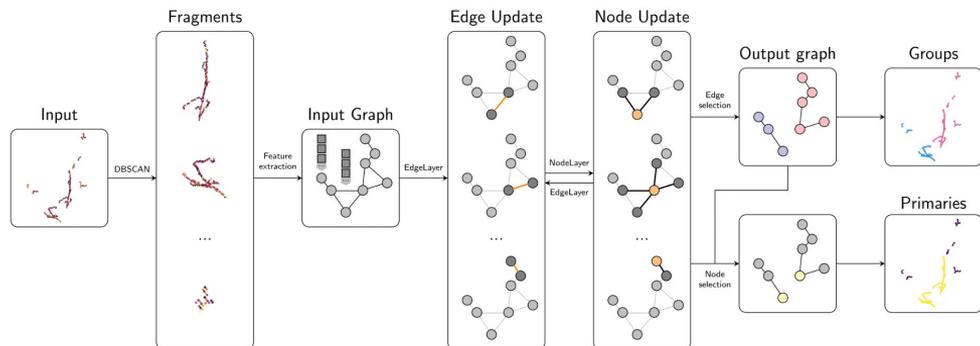


# Cluster Clustering

## Pixel Features Output



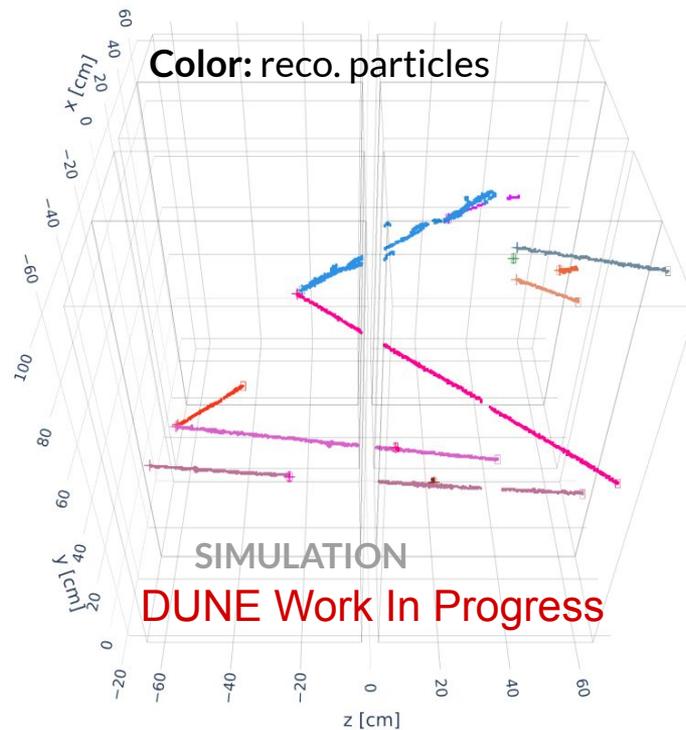
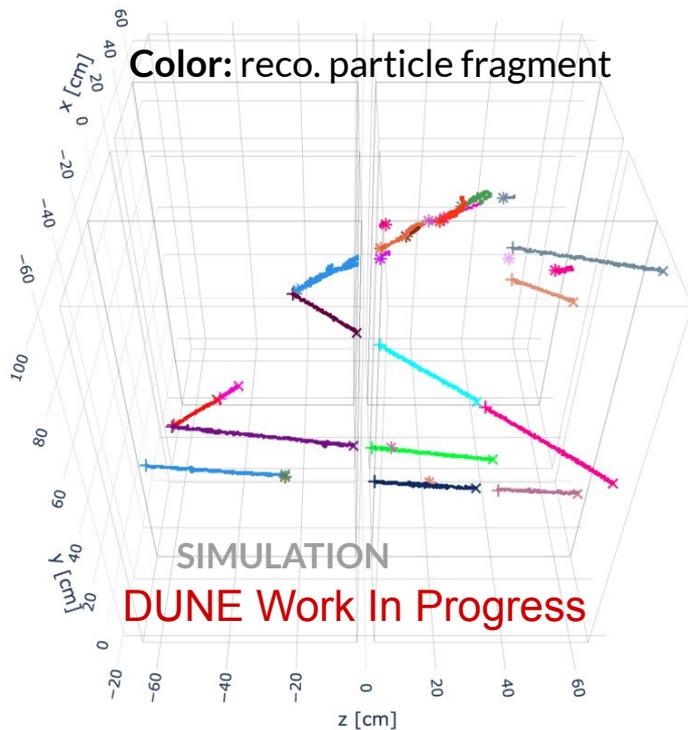
## Use Graph Neural Network



## GrapPA: Graph Particle Aggregator

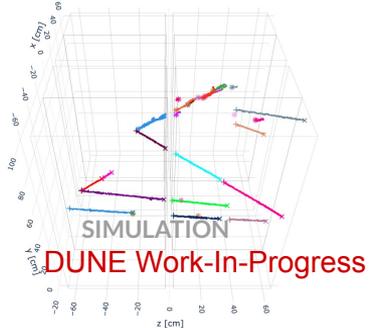
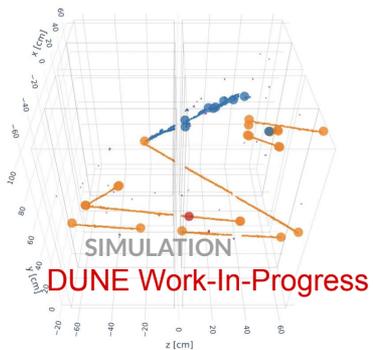
[arxiv 2020](#)

# Cluster Clustering: Particle Clustering

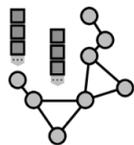


# Output: Primaries & Particles

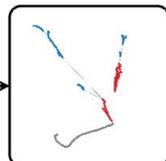
## Pixel Features Output



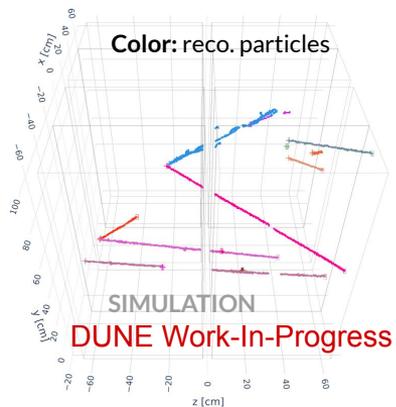
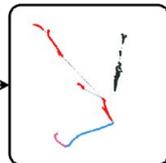
GrapPA



EM primaries

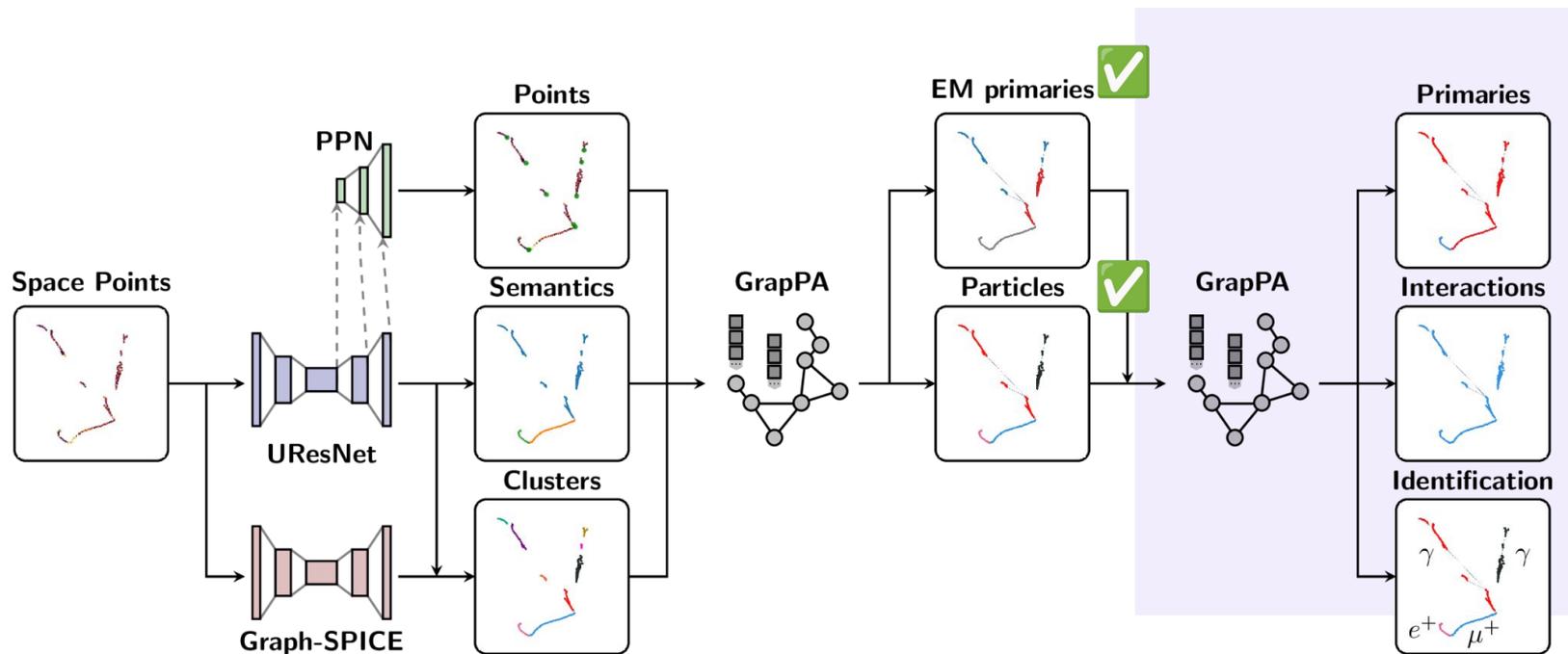


Particles



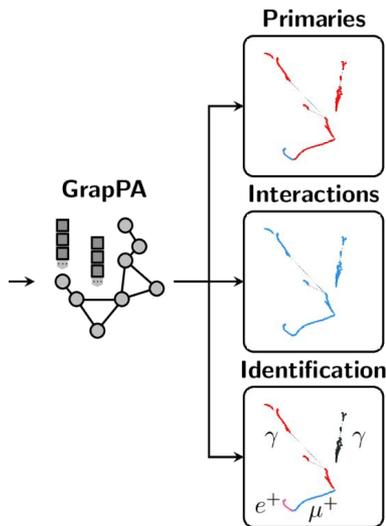
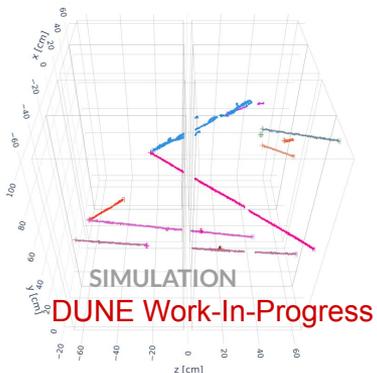
Fragment  
Features  
Output

# 3D LAr TPC: SPINE

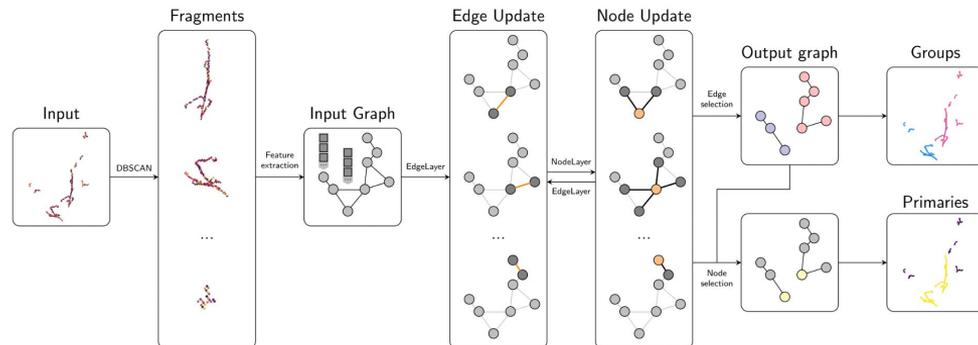


# Interactions & Identification

Fragment  
Features  
Output



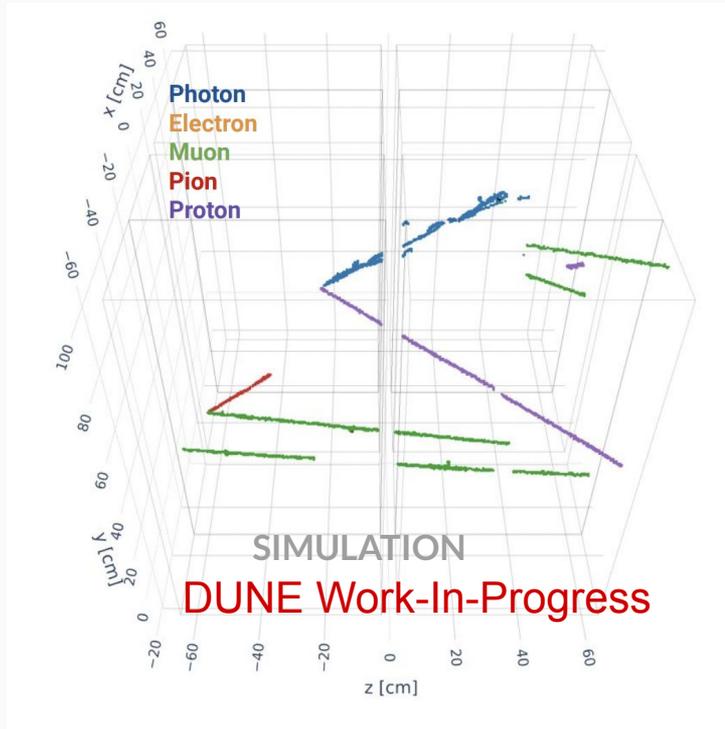
Re-use GrapPA



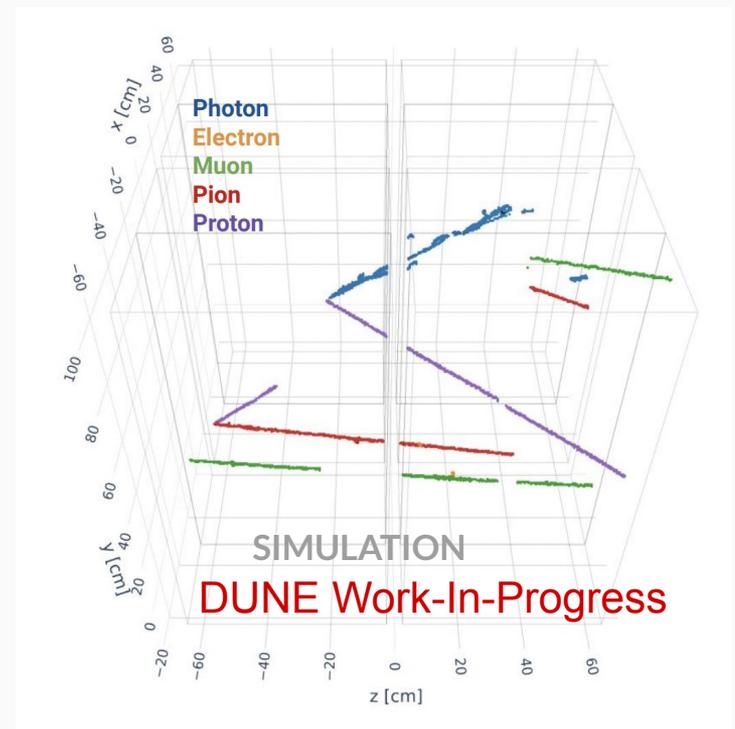
Interactions = find same neutrino source  
+ Edges classification for interactions  
+ Nodes classification for identification

# Performance: Example for 2x2 Prototype

Truth



Reco



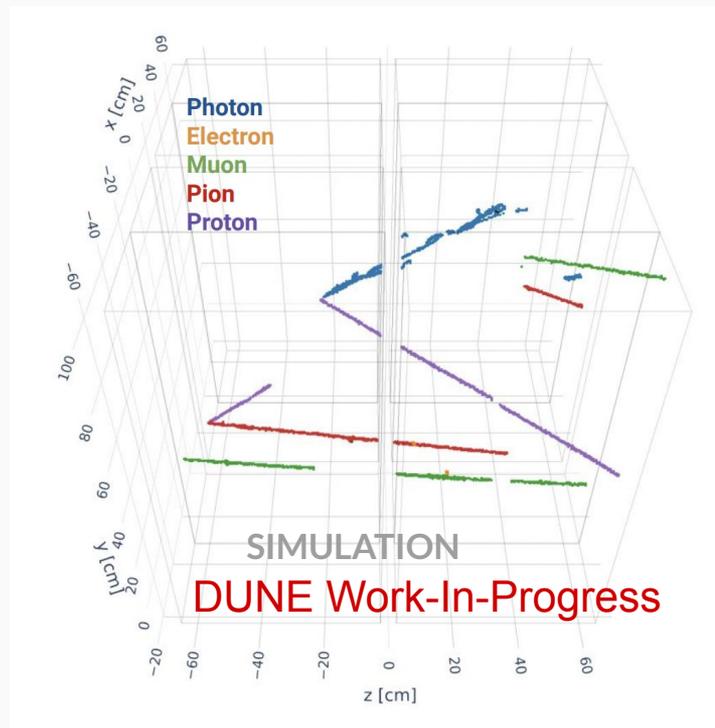
# Performance: Metrics

## Performance

Class prediction \ Class label	Photon	Electron	Muon	Pion	Proton	Kaon
Kaon	0.000 (1)	0.002 (6)	0.012 (90)	0.098 (227)	0.024 (109)	0.292 (21)
Proton	0.004 (17)	0.001 (3)	0.013 (100)	0.141 (325)	<b>0.902 (4015)</b>	0.222 (16)
Pion	0.001 (5)	0.001 (5)	0.007 (50)	0.269 (621)	0.016 (72)	0.069 (5)
Muon	0.007 (26)	0.007 (23)	<b>0.967 (7435)</b>	0.486 (1123)	0.053 (235)	0.403 (29)
Electron	0.152 (590)	<b>0.774 (2594)</b>	0.001 (7)	0.005 (11)	0.001 (4)	0.000 (0)
Photon	<b>0.836 (3250)</b>	0.215 (721)	0.001 (9)	0.001 (3)	0.004 (17)	0.014 (1)

DUNE Work-In-Progress

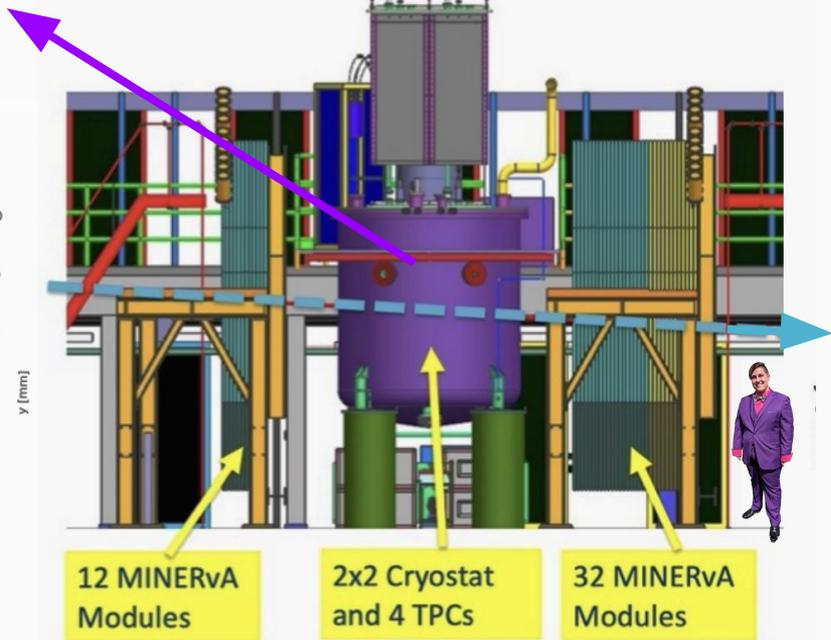
## Reco



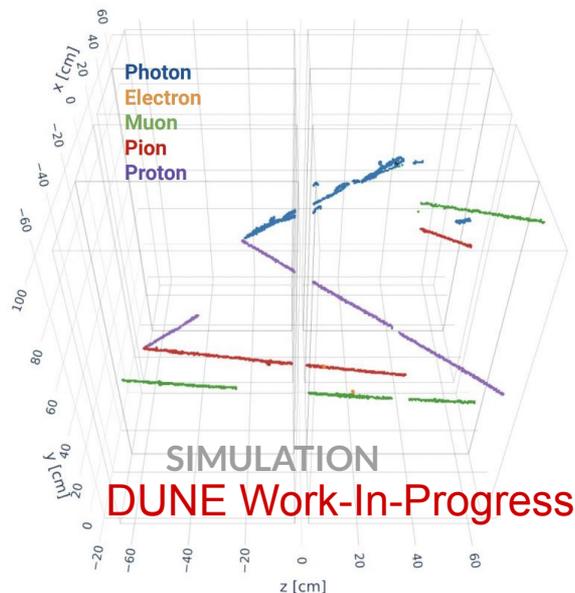
# DUNE 2x2 uses Liquid Argon TPCs

Inherently  
a 3D pixel  
readout

DUNE ND-LAr 2x2 Prototype



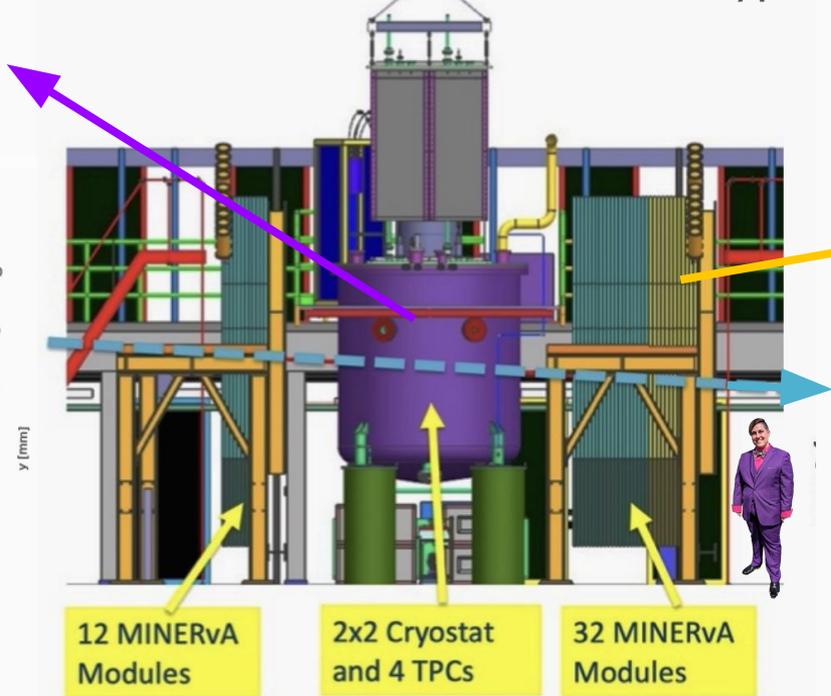
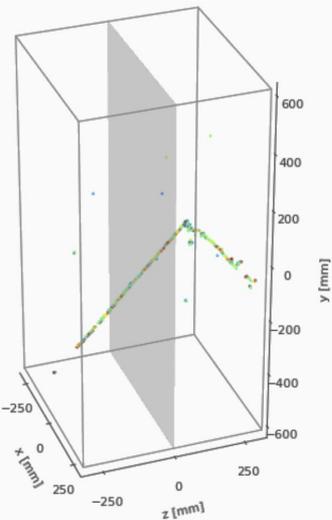
But particles (muons!) leave  
the 2x2 volume! And we need  
muon ID for good PID



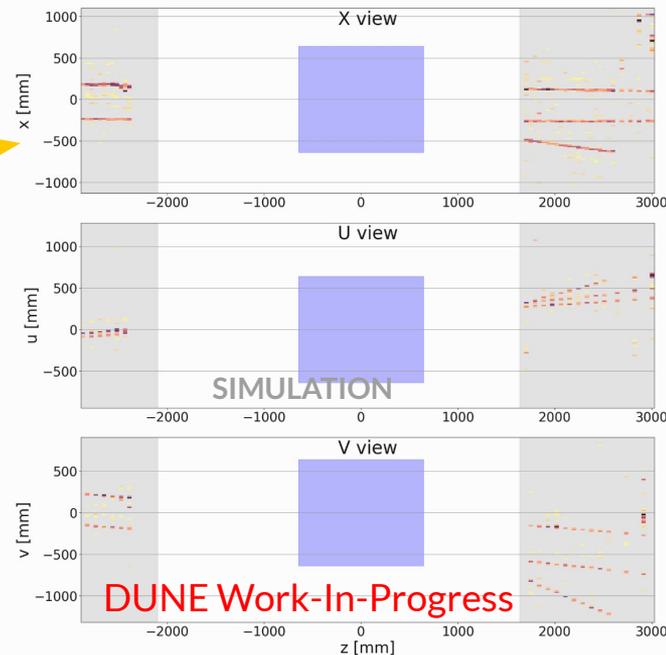
# 3D vs 2D

Inherently a 3D pixel readout

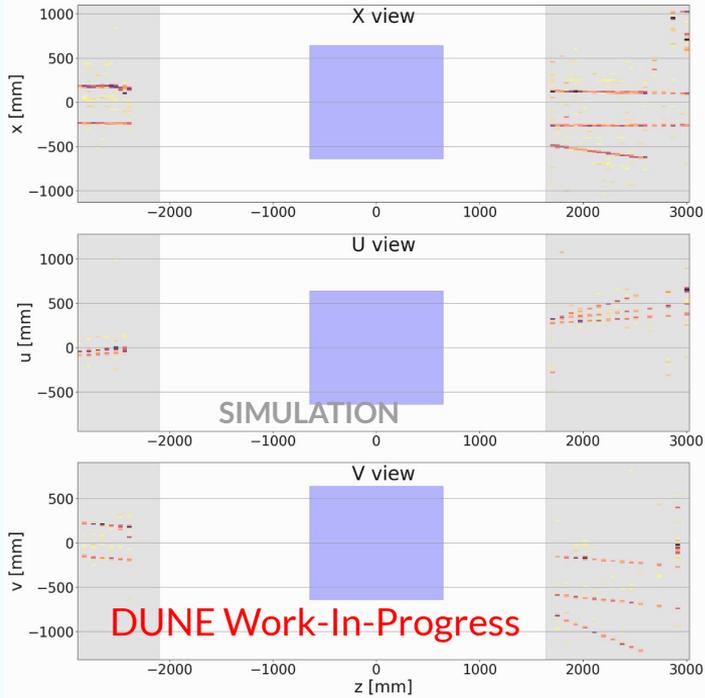
DUNE Near Detector 2x2 Prototype



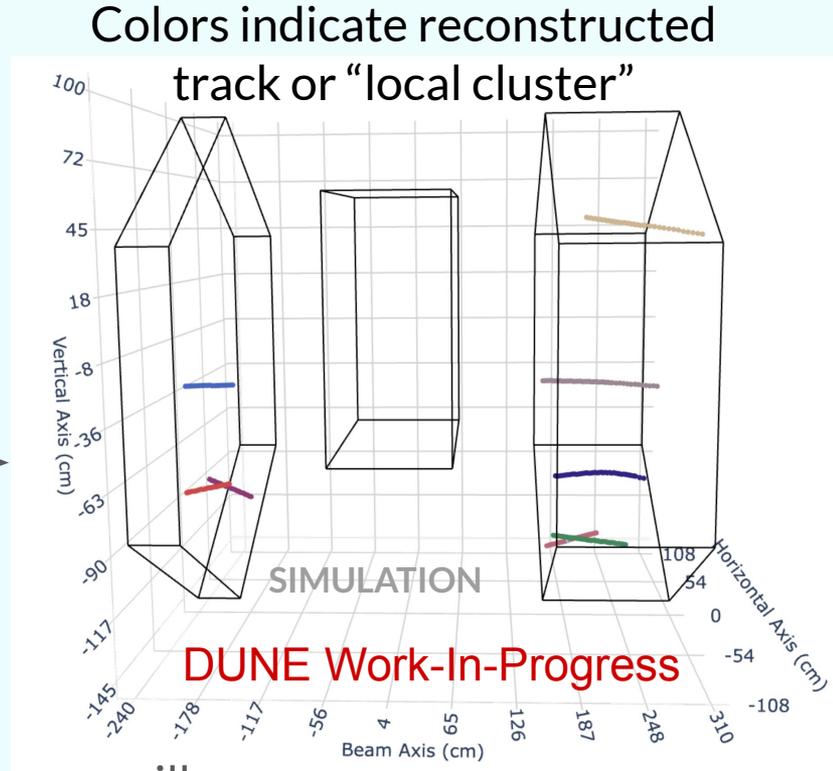
Muon Tagger: Repurpose MINERvA, but inherently a 2D output!



# MINERvA “Pixel Clustering”



Traditional Track Reconstruction

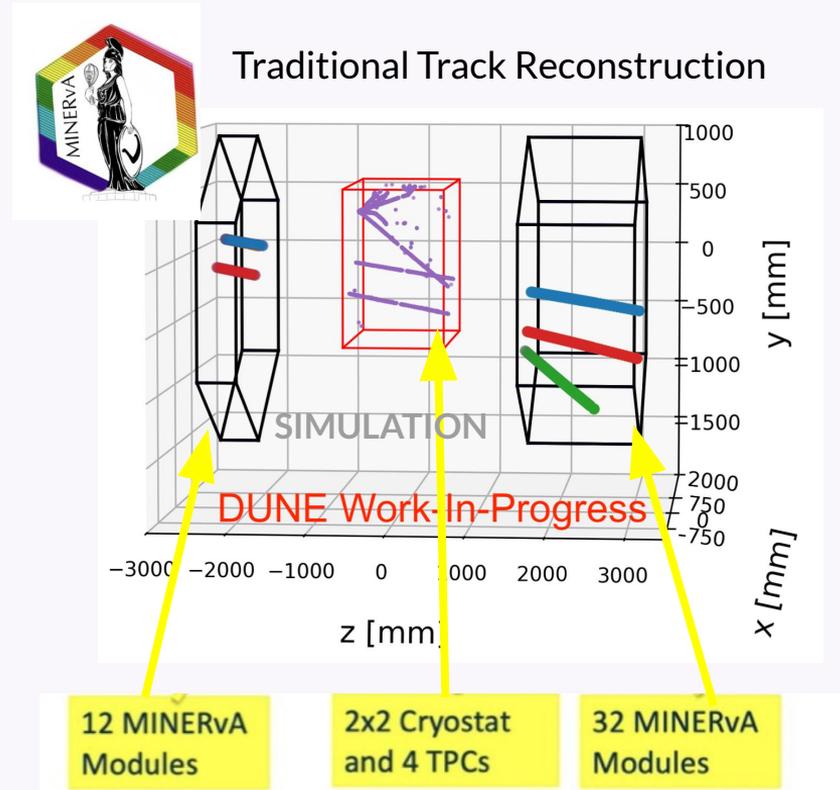


Note: left & right are not the same spill

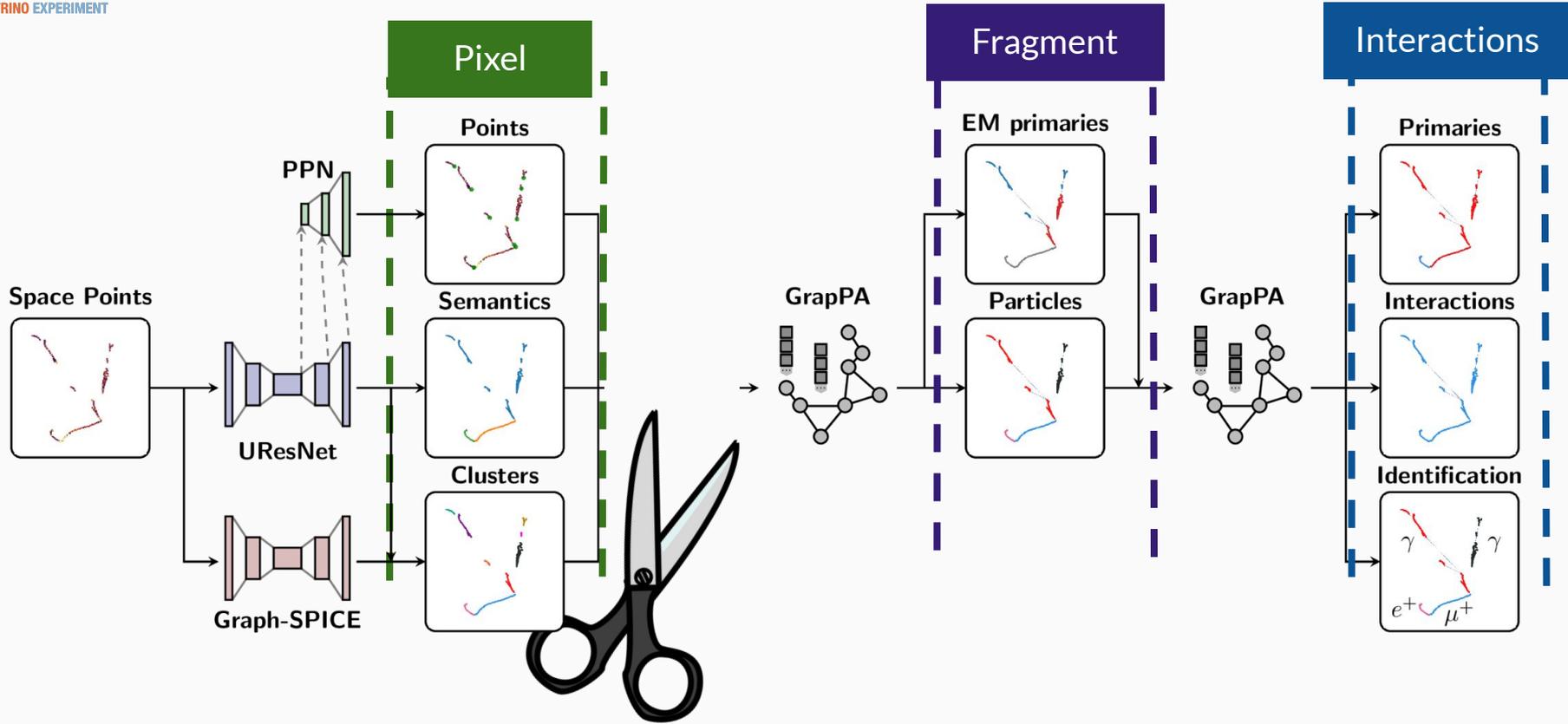
# 3D Neutrino Detector + 2D Muon Detector

- ✓ SPINE works on 3D LArTPC
- ✓ MINERvA has a 2D → 3D track reconstruction

→ Can 3D MINERvA tracks to feed into SPINE?

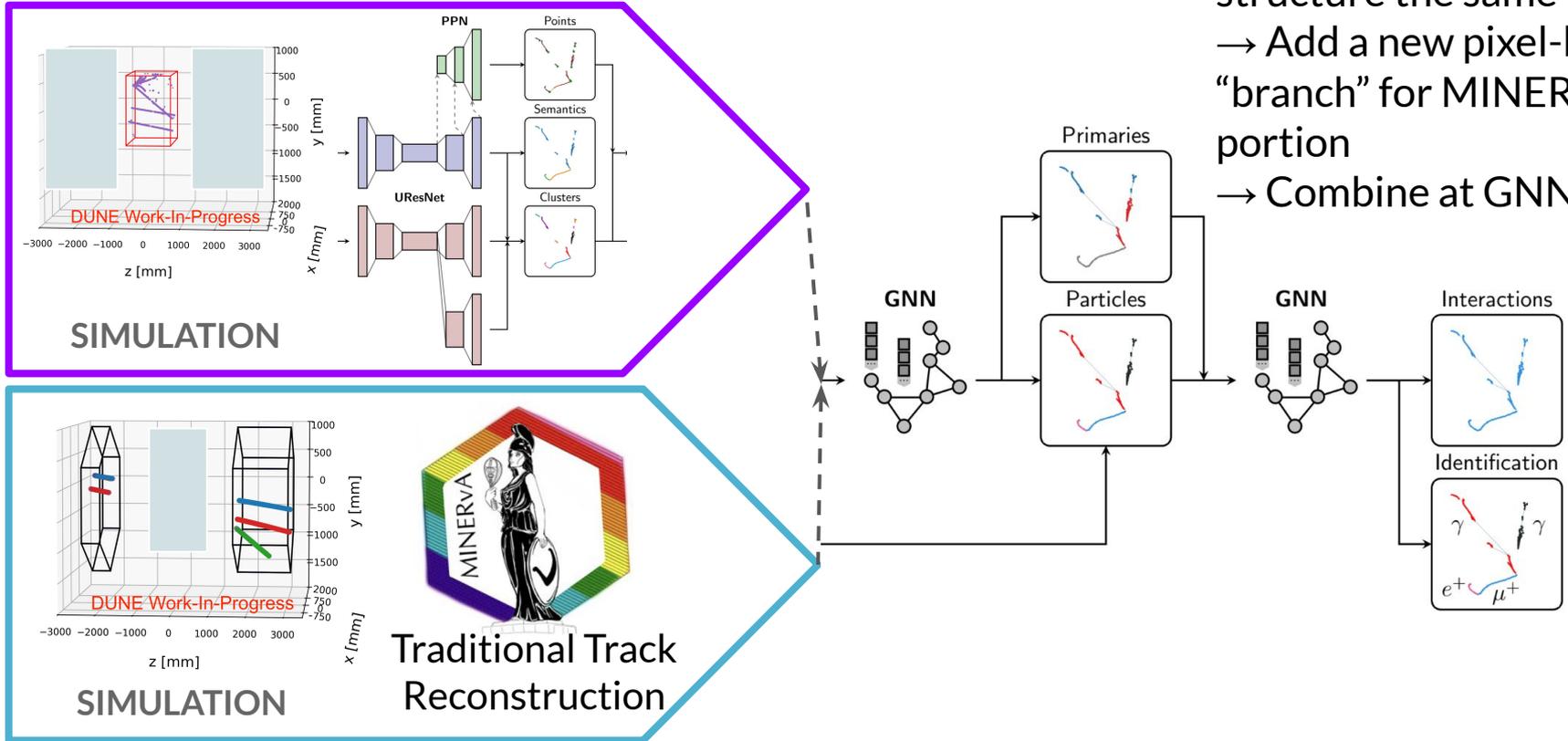


# 3D Neutrino Detector + 2D Muon Detector



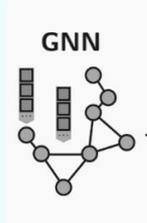
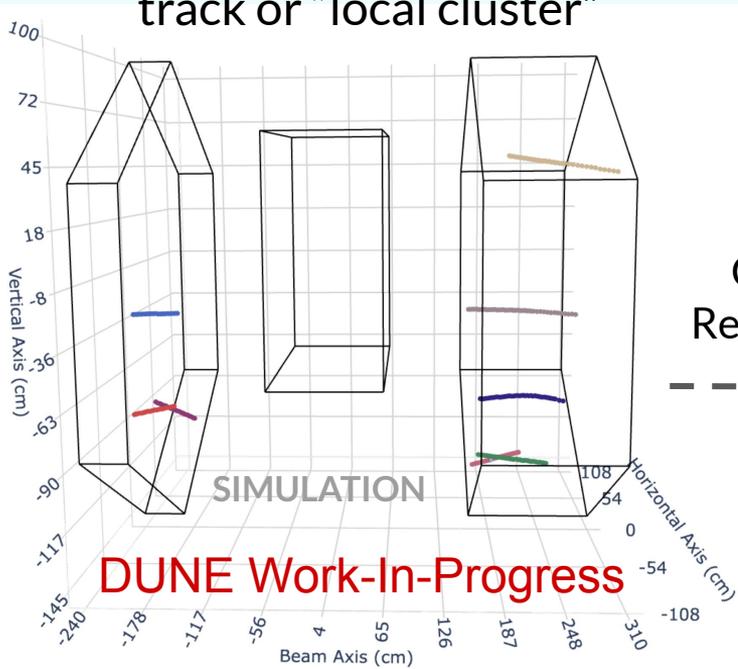
# Inserting Muon Detector

- Idea for direction:*
- Keep SPINE structure the same
  - Add a new pixel-level “branch” for MINERvA portion
  - Combine at GNN



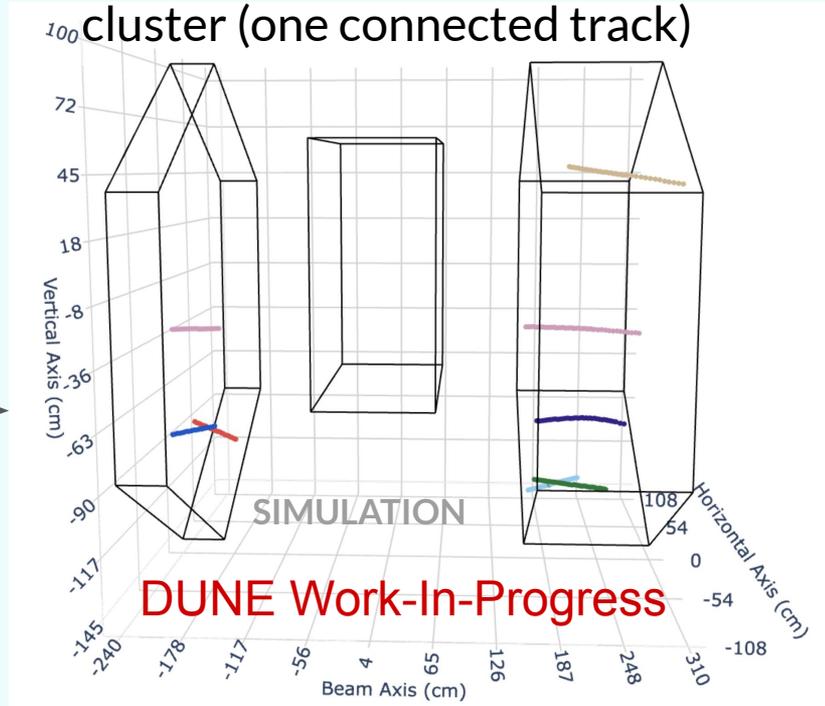
# MINERvA “Clustering”

Colors indicate reconstructed track or “local cluster”



GNN Track Reconstruction

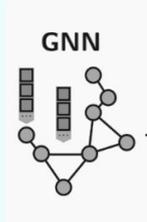
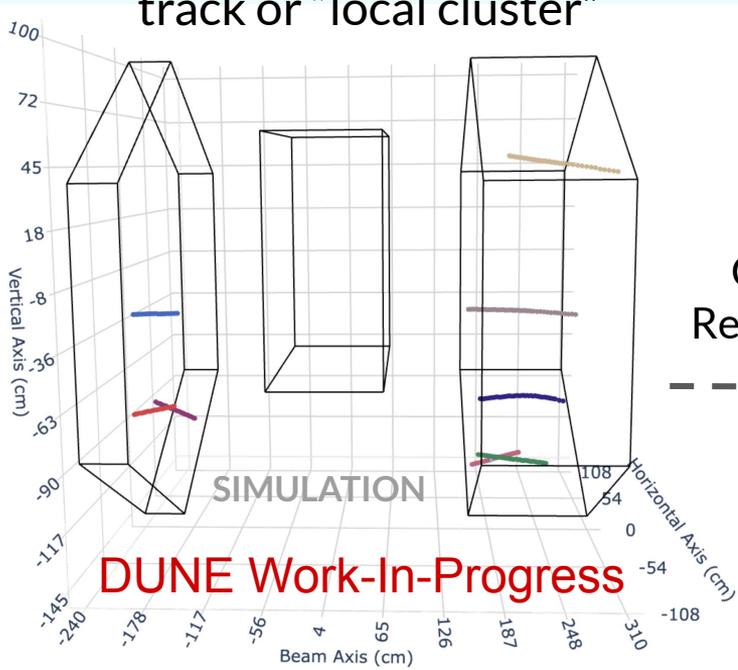
Colors indicate GNN predicted cluster (one connected track)



Left & right ARE the same spill

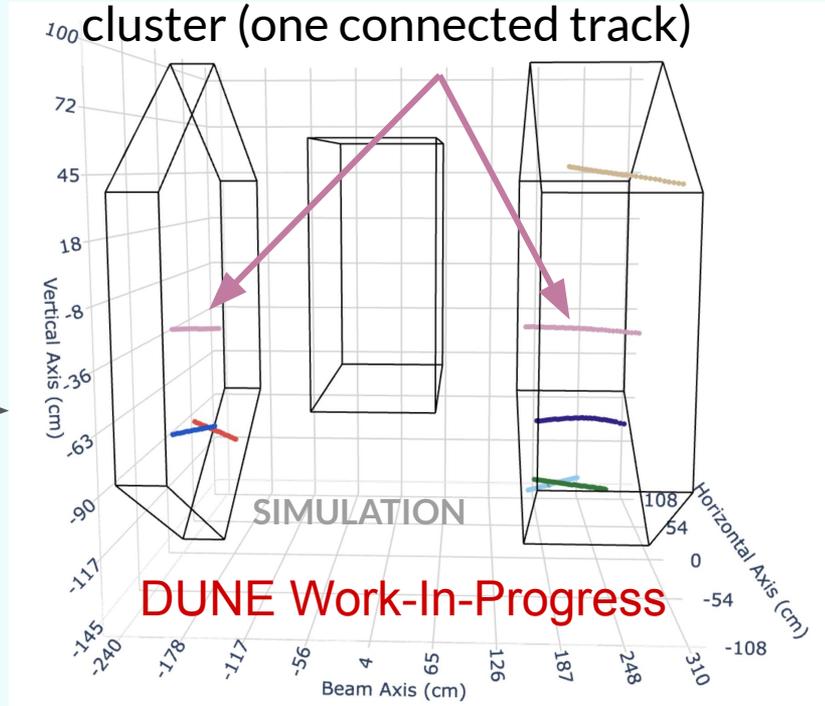
# MINERvA “Clustering”

Colors indicate reconstructed track or “local cluster”



GNN Track Reconstruction

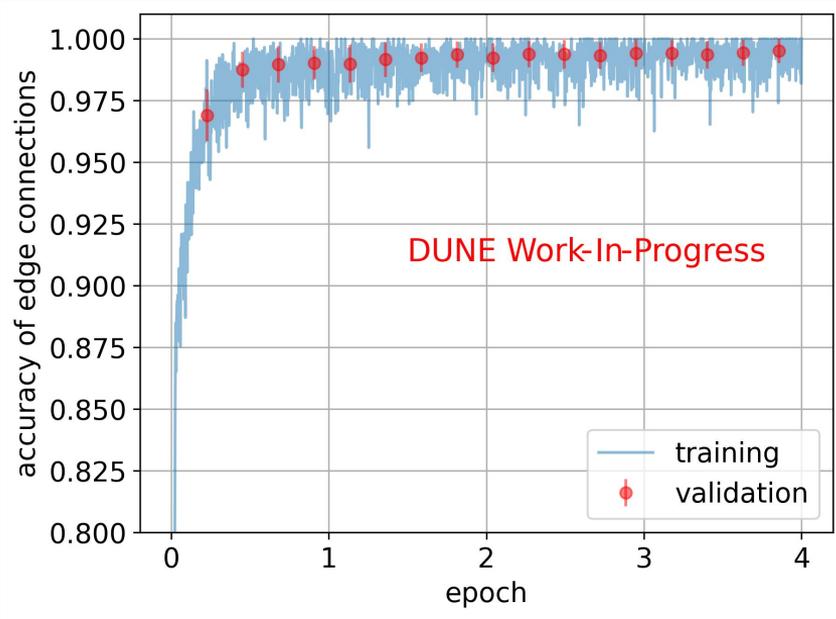
Colors indicate GNN predicted cluster (one connected track)



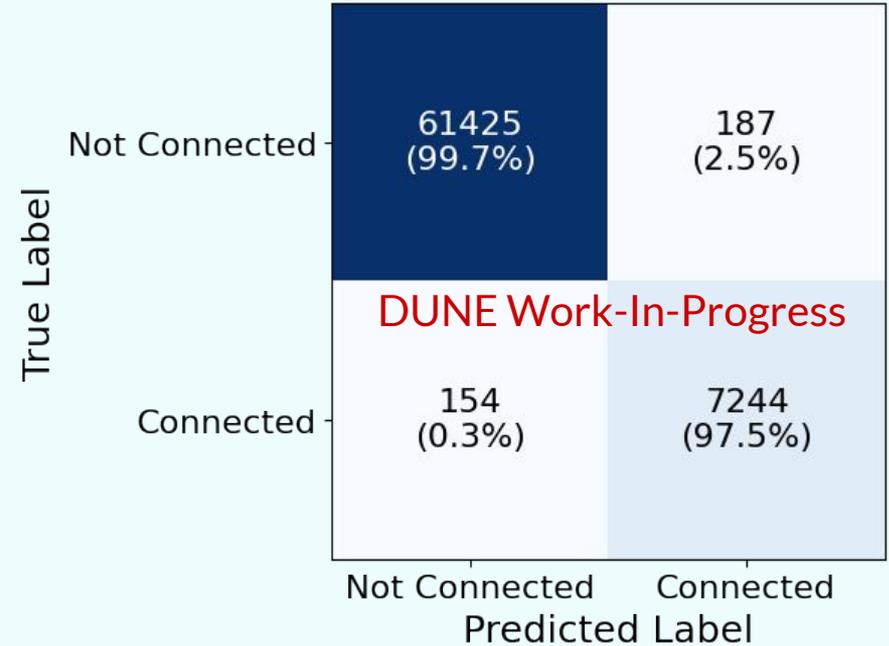
Left & right ARE the same spill

# MINERvA “Clustering” Metrics

Connections vs. Not, No Evident Bias



**Accuracy average of 99.5% at 4 epochs with only 14k training events!**

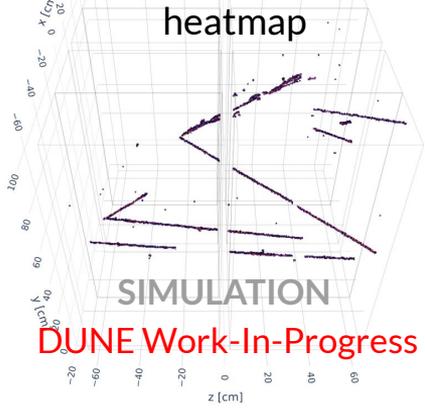


**Even with imbalanced training sample, network isn't over-predicting “not connected”**

# 3D LArTPC: SPINE

Input: Charge deposition

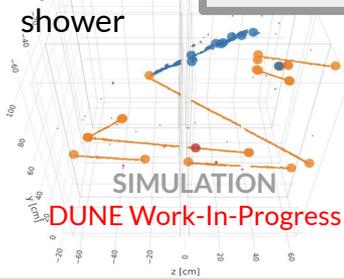
Color: energy deposition heatmap



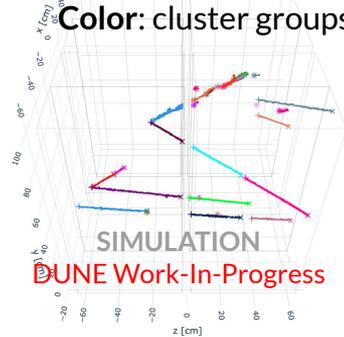
Pixel Features

Points: Start/end tracks or start shower

Track Michel Delta Low energy  
Shower electron rays scatters

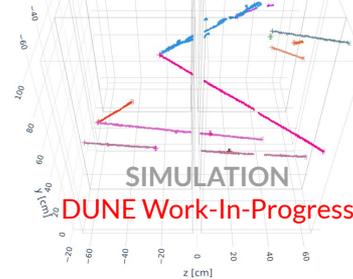


Color: cluster groups



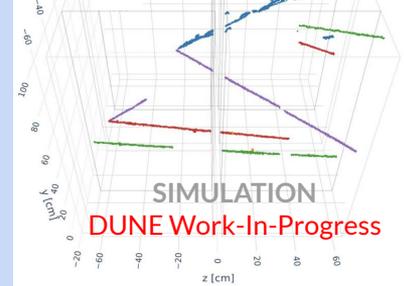
Fragment Features

Color: clustered fragments



Identification & Interactions

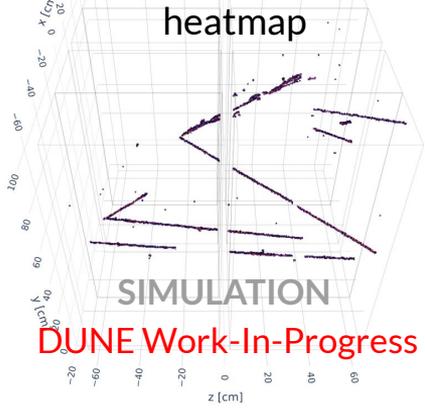
Electron Pion  
Photon Muon



# 3D LArTPC Plus: SPINE

Input: Charge deposition

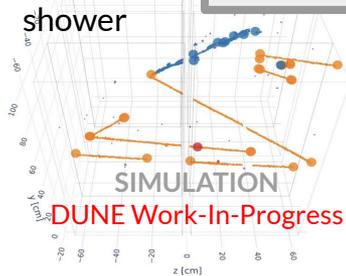
Color: energy deposition heatmap



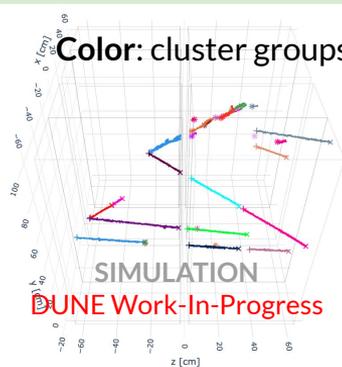
Pixel Features

Points: Start/end tracks or start shower

Track Michel Delta Low energy Shower electron rays scatters

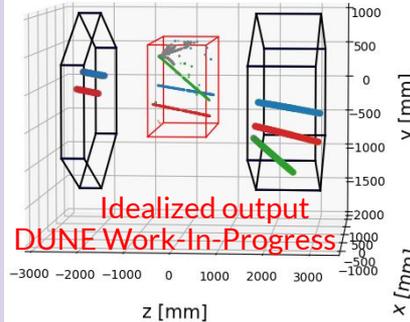


Color: cluster groups

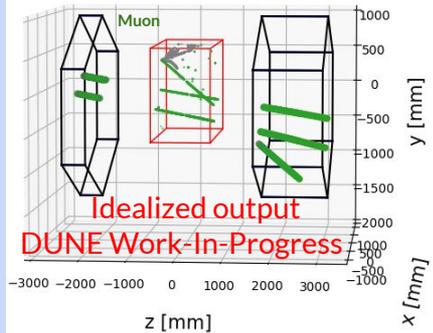
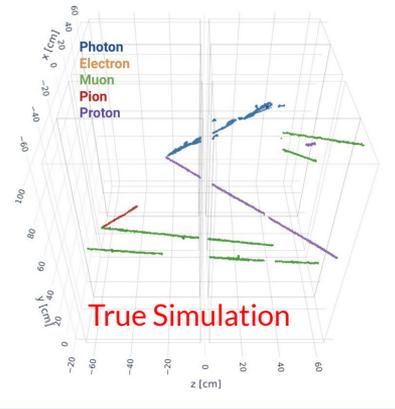


Fragment Features

Color: idealized clustered fragments



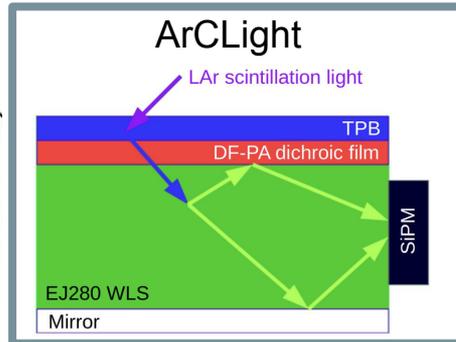
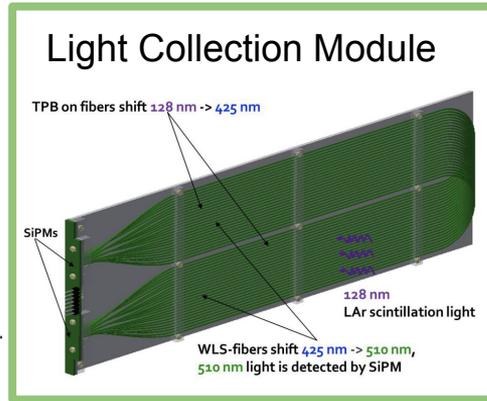
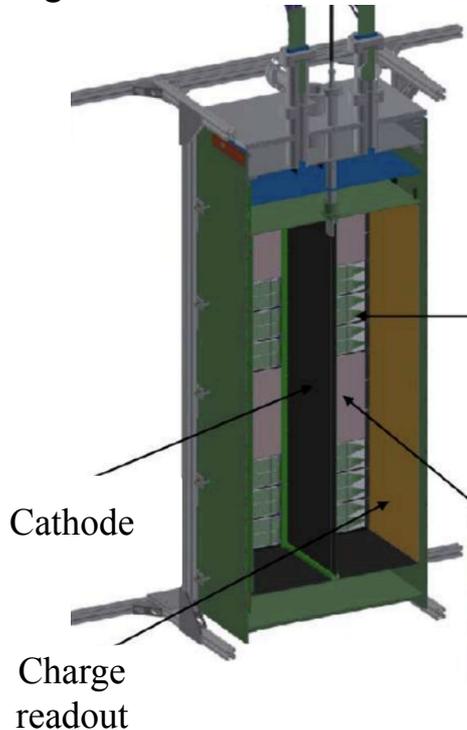
Identification & Interactions



# Future Applications

- Integrating detection from multiple detectors into single network
  - Light & Charge

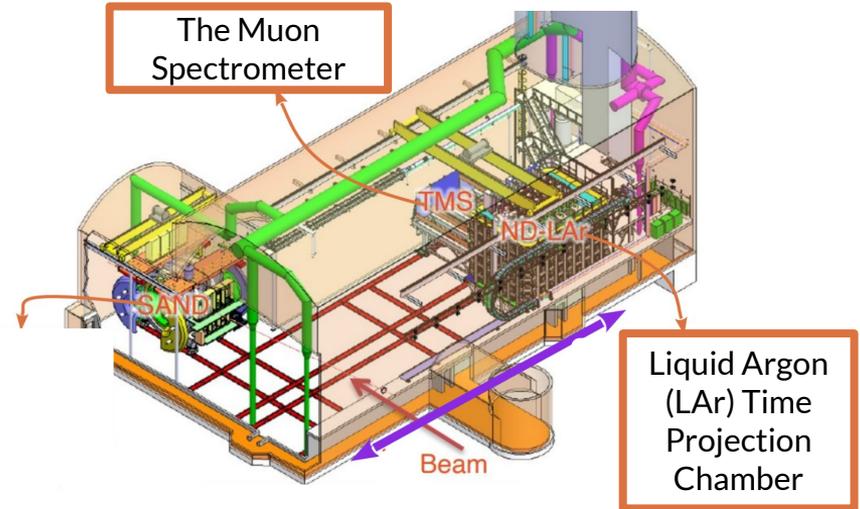
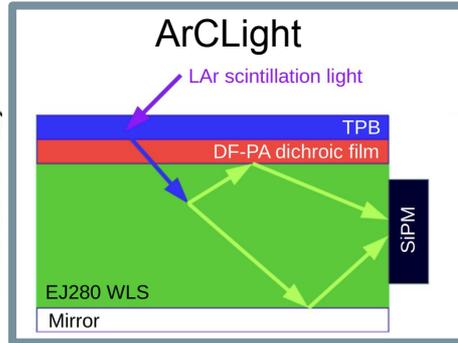
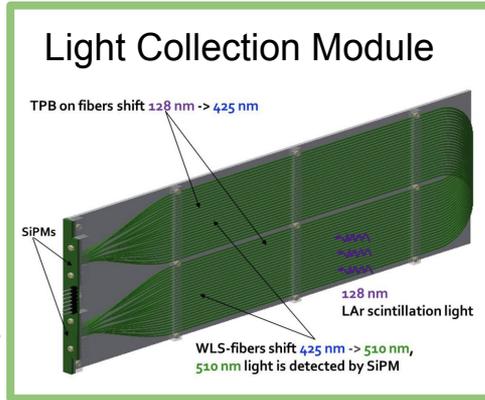
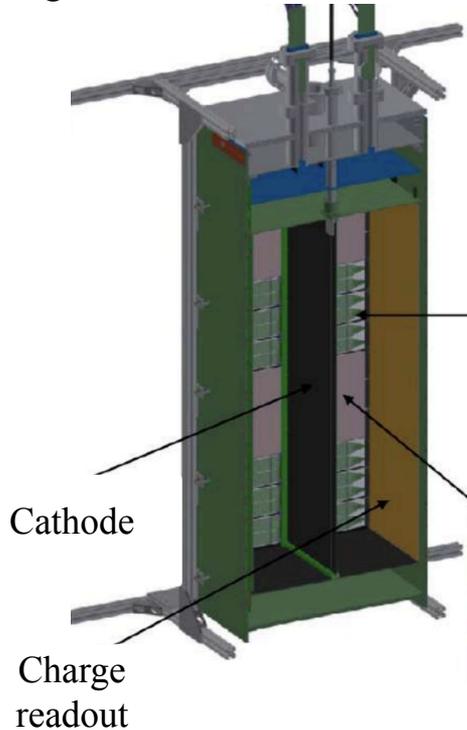
Single ND-LAr Module



# Future Applications

- Integrating detection from multiple detectors into single network
  - Light & Charge
  - ND-LAr & TMS

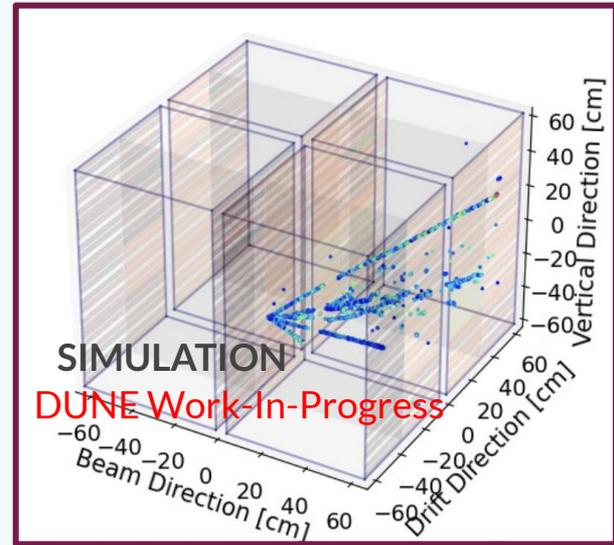
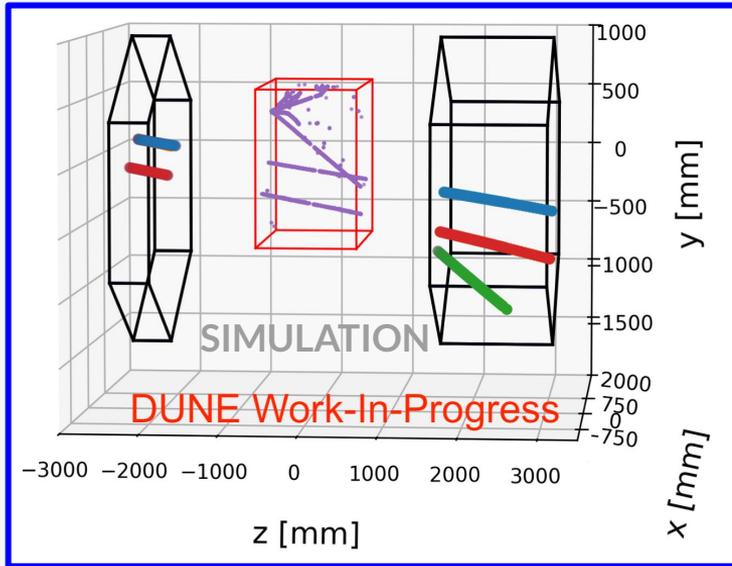
Single ND-LAr Module



# Are there other ways to solve this?

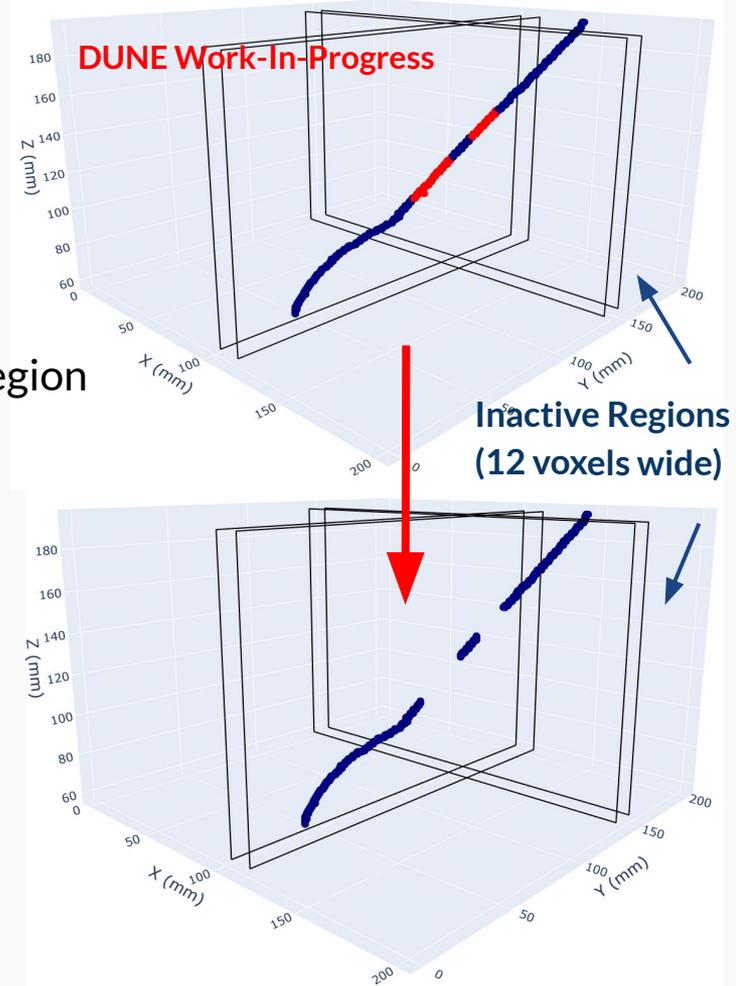
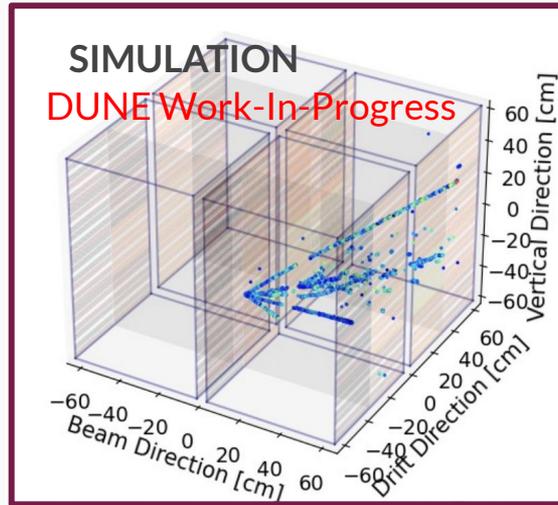
Applying to DUNE Near Detector currently

- Gap between MINERvA and 2x2  $\mathcal{O}(m)$
- Gap between 2x2 modules  $\mathcal{O}(mm)$



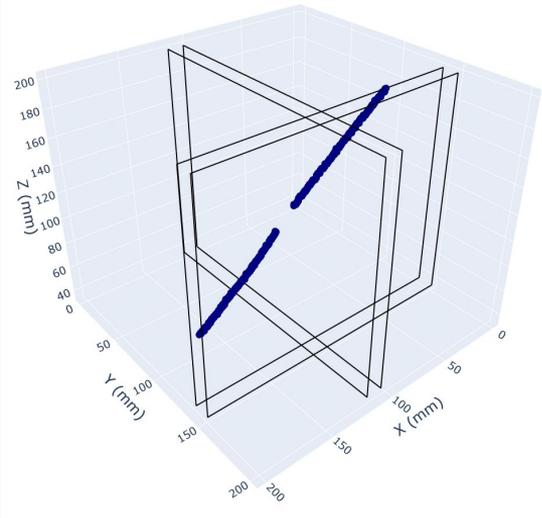
# Gap Inference

- Focus on missing region with regular grid
- Train network to reassign regular grid energy values, initialized at “null” input (yellow block)
- Should set most voxels to 0, keep others in true track region
- Use similar UResNet Sparse CNN Network

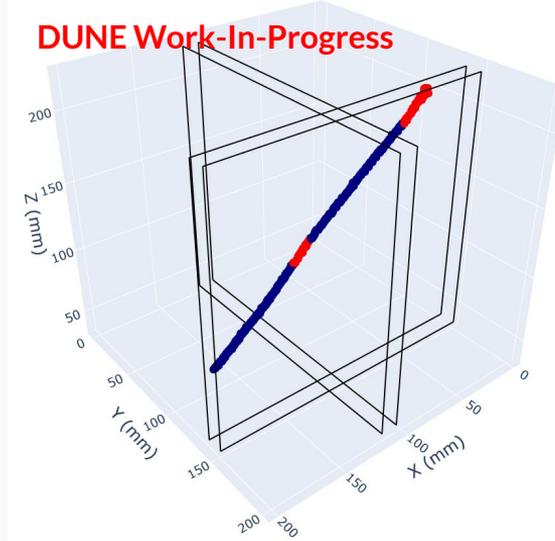


# Preliminary Results: Successes

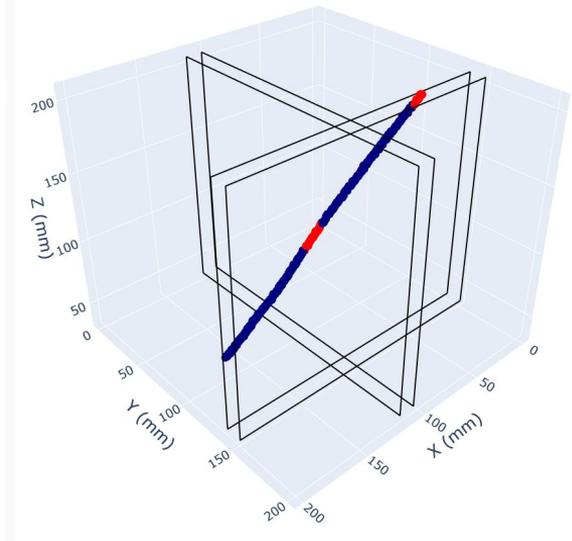
Input



Prediction



Target



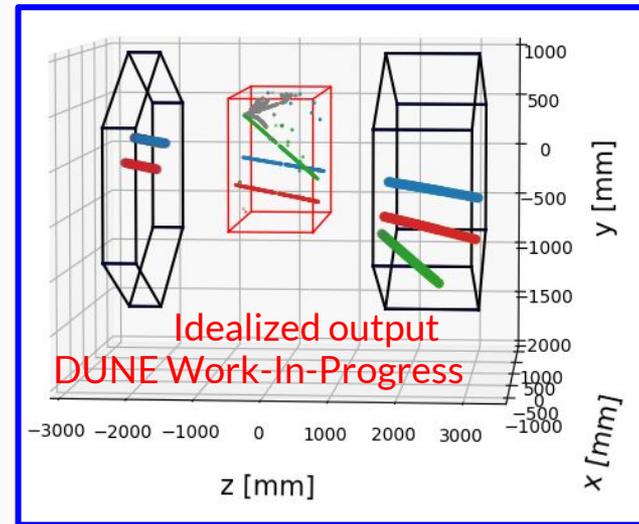
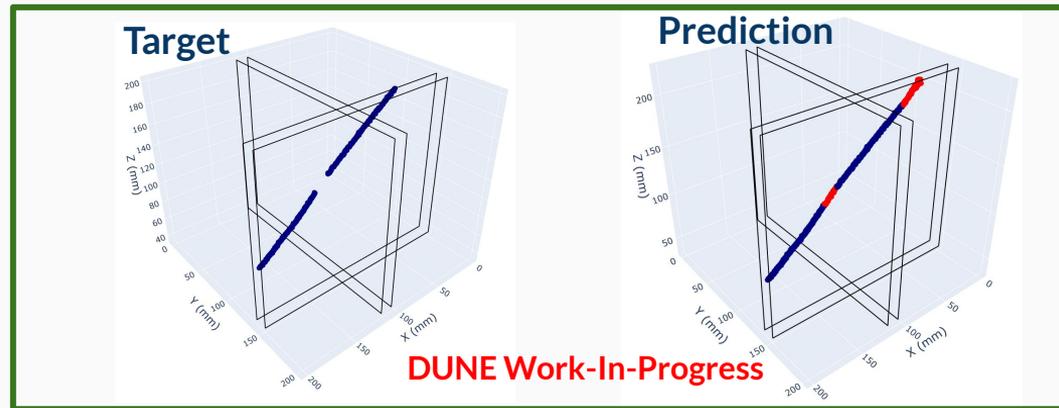
Predicted or Target Region (Inactive)

Known Region (Active)

Visually, network prediction does well in red target inference

# Summary

- + DUNE Near Detector reconstruction challenges:
  - Modularized LArTPC
  - Inform using muon spectrometer?
- + Expand SPINE machine learning beyond LArTPC
  - Add multi-detector input
  - Add gap inference
- + Interface with existing ML frameworks
  - Integrate & improve existing tools!



# Thank you for your attention!



U.S. DEPARTMENT OF  
**ENERGY**

Office of  
Science



2x2 Analysis Workshop May 2024



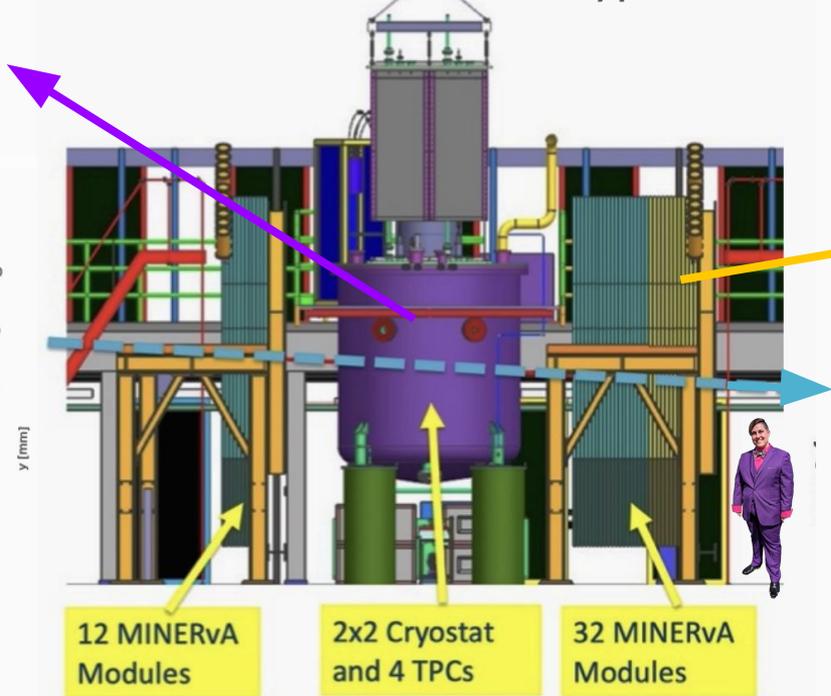
SPINE ML Reco Workshop July 2024

# Backup

# DUNE 2x2 uses Liquid Argon TPCs

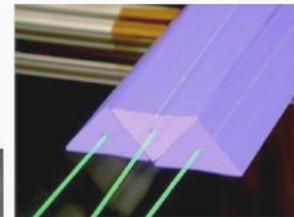
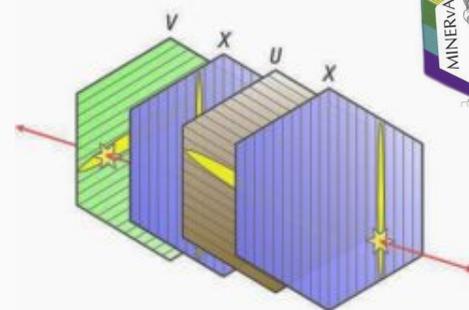
Inherently  
a 3D pixel  
readout

DUNE ND-LAr 2x2 Prototype

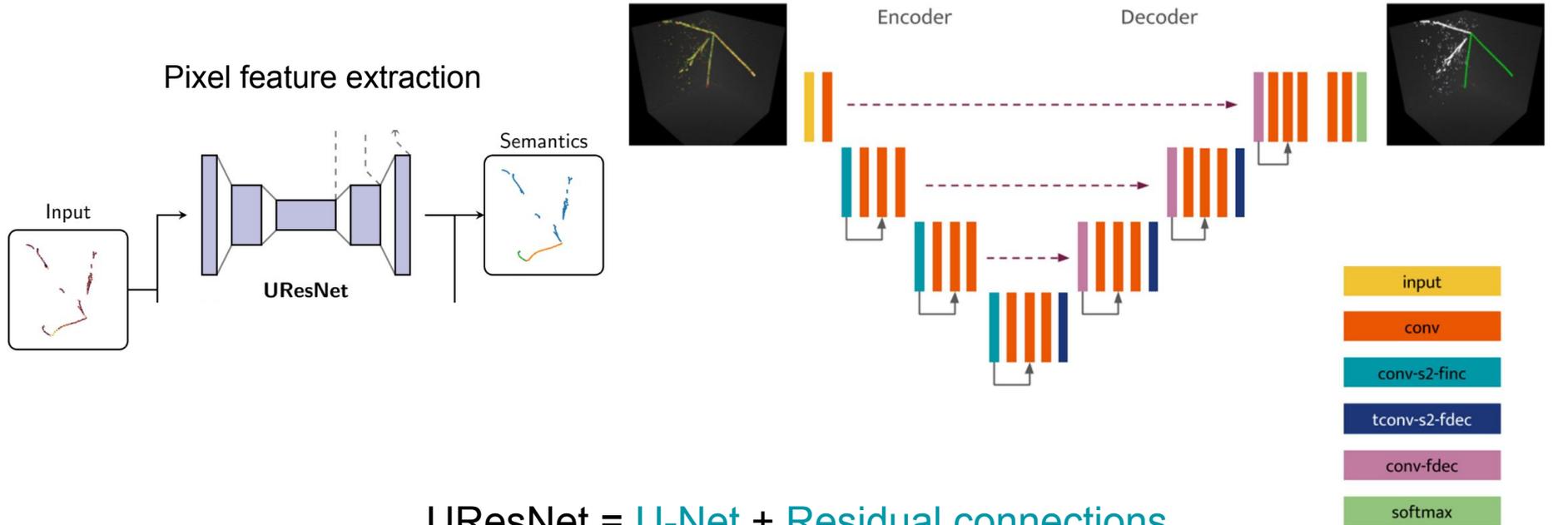


Muon Tracker:

MINERvA: Solid scintillation particle detector with 3 orientations



# Pixel Features: Semantics



UResNet = U-Net + Residual connections

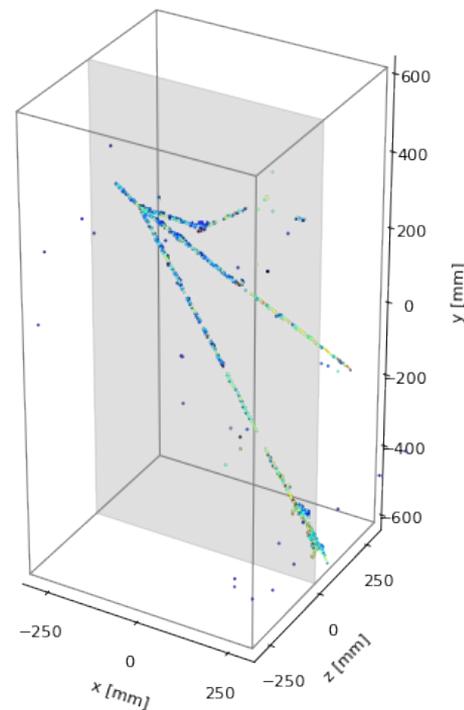
→ Uses autoencoder

→ Uses submanifold sparse convolutional layers

[Phys Rev D \(102\) 012005](#)

# Sparse

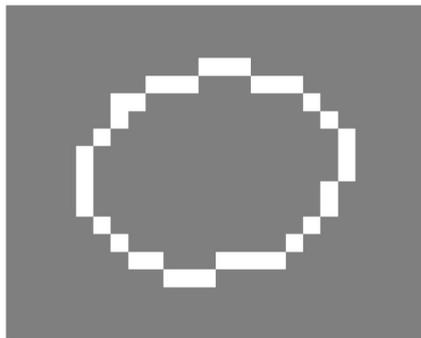
< 0.01 % of the pixels are non-zero!



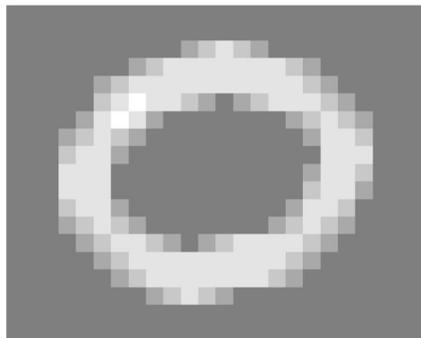
# Submanifold Sparse Convolutions

< 0.01 % of the pixels are non-zero!

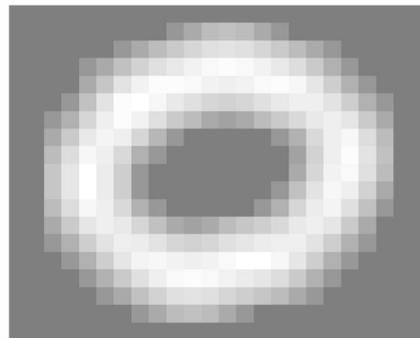
Original



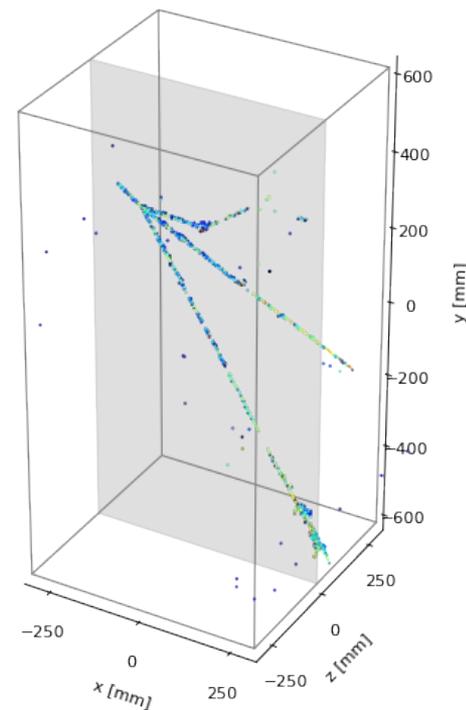
3x3 Conv



Another 3x3 Conv



! Applying regular convolutions reduces sparsity



<https://arxiv.org/pdf/1706.01307.pdf>

# Sparse CNNs on LArTPCs

*Gives capability to train on **entire** LArTPC image, instead of multiple crops!*

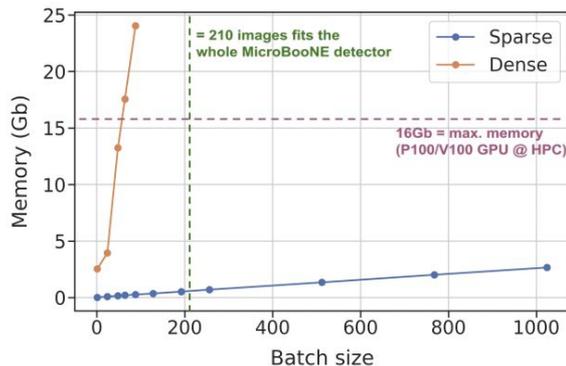


FIG. 3. GPU memory usage as a function of batch size at inference time [2D, 512px, 5-16].

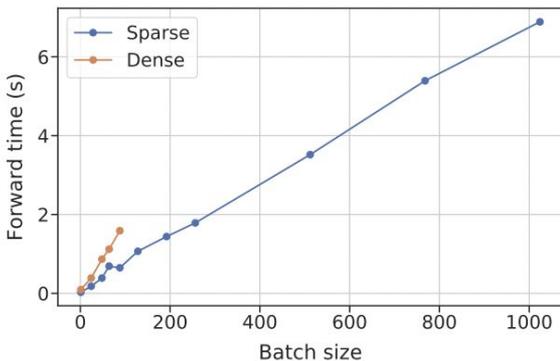


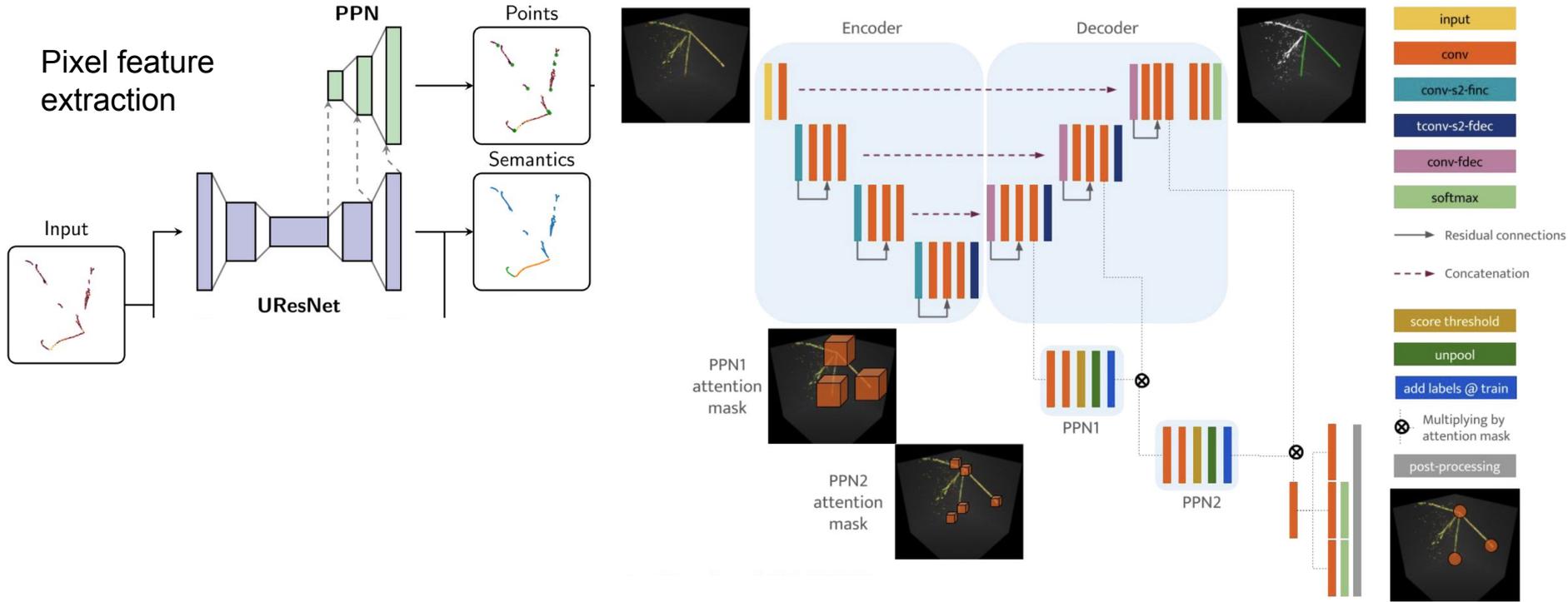
FIG. 4. Computation wall-time as a function of batch size at inference time [2D, 512px, 5-16].

Advantage of sparse conv:

- ✓ Classification error ~equal
- ✓ Faster per batch
- ✓ Less memory for even larger batches!

[Scalable CNNs for LArTPCs](#)

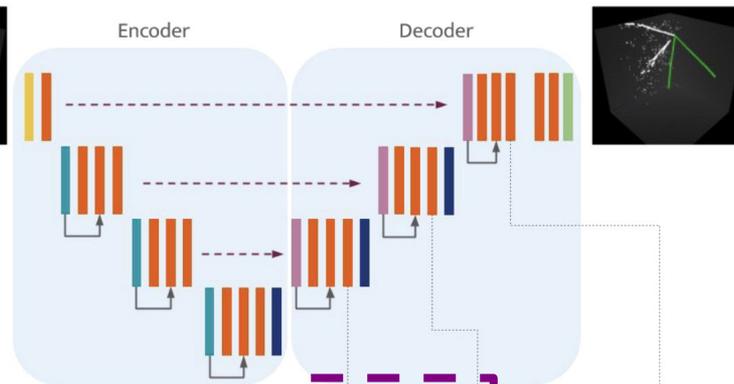
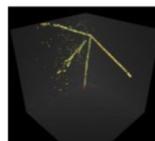
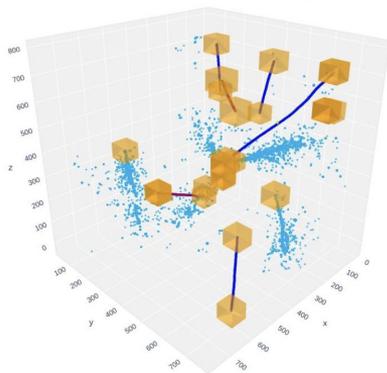
# Pixel Features: Points of Interest



[Phys Rev D \(104\) 032004](#)

# Pixel Features: Points of Interest

Pixel feature extraction at low resolution (PPN1)



PPN1 attention mask



PPN2 attention mask

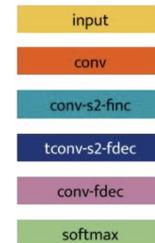


$\otimes$

PPN1

$\otimes$

PPN2



→ Residual connections

- - - Concatenation

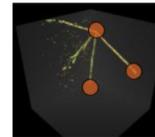
score threshold

unpool

add labels @ train

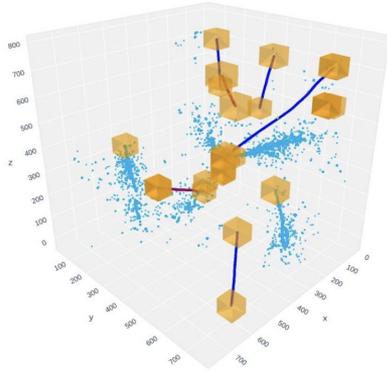
$\otimes$  Multiplying by attention mask

post-processing

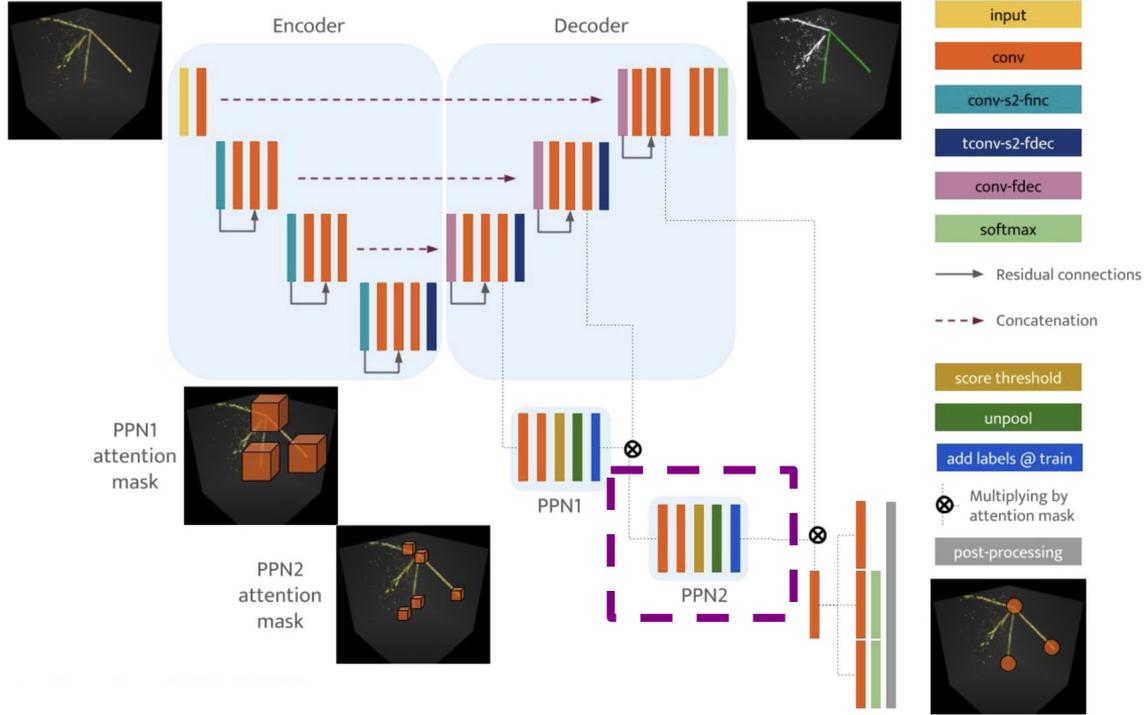
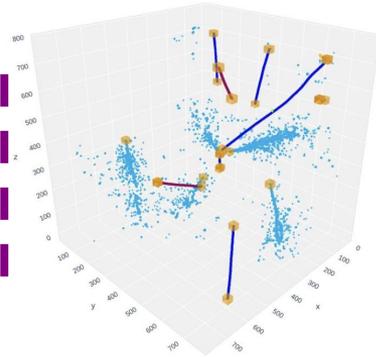


# Pixel Features: Points of Interest

Pixel feature extraction at low resolution (PPN1)



Pixel feature extraction at higher resolution (PPN2)

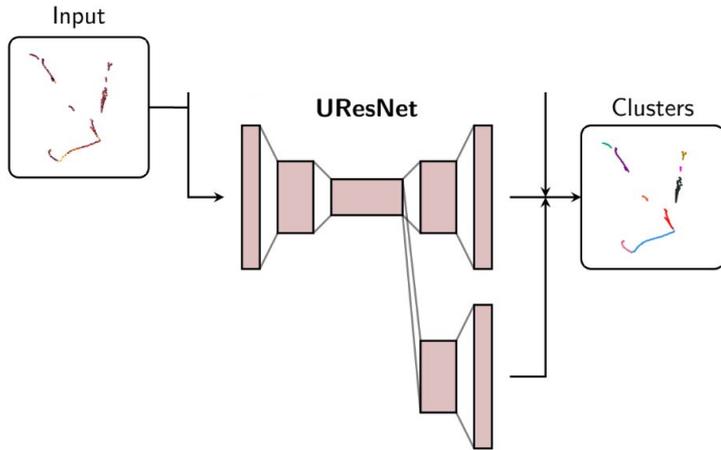


[Phys Rev D \(104\) 032004](#)

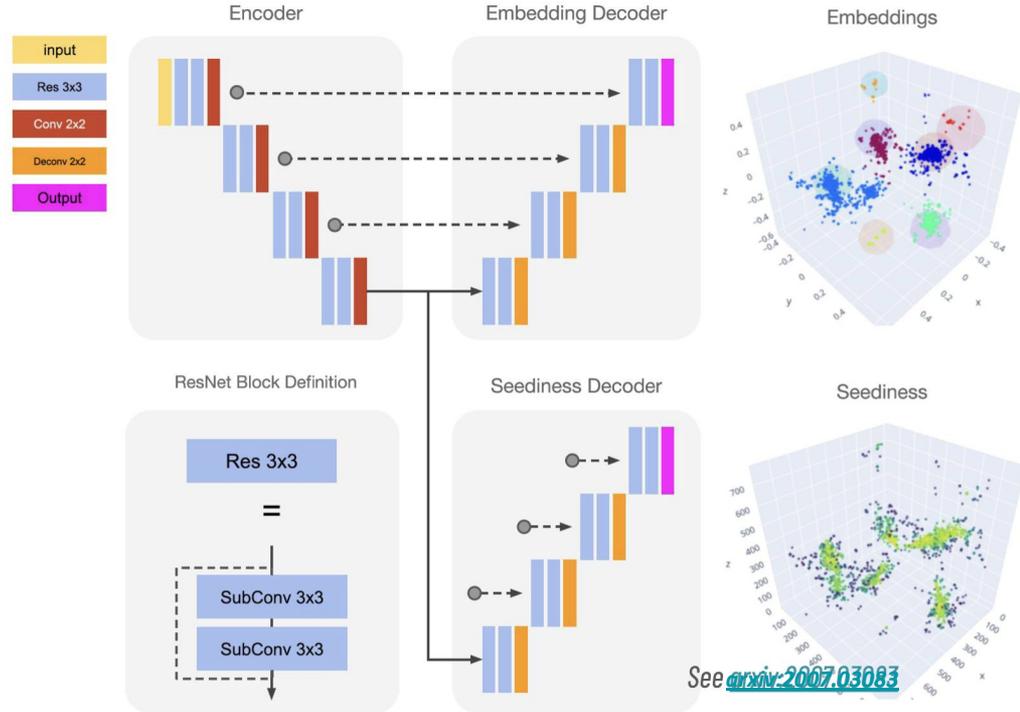
# Pixel Features: SPICE Clustering

Scalable Particle Instance Clustering using Embedding

→ Points in cluster flow normal distribution, loss uses this



Embedding decoder	Transformation
Seediness decoder	Centroids



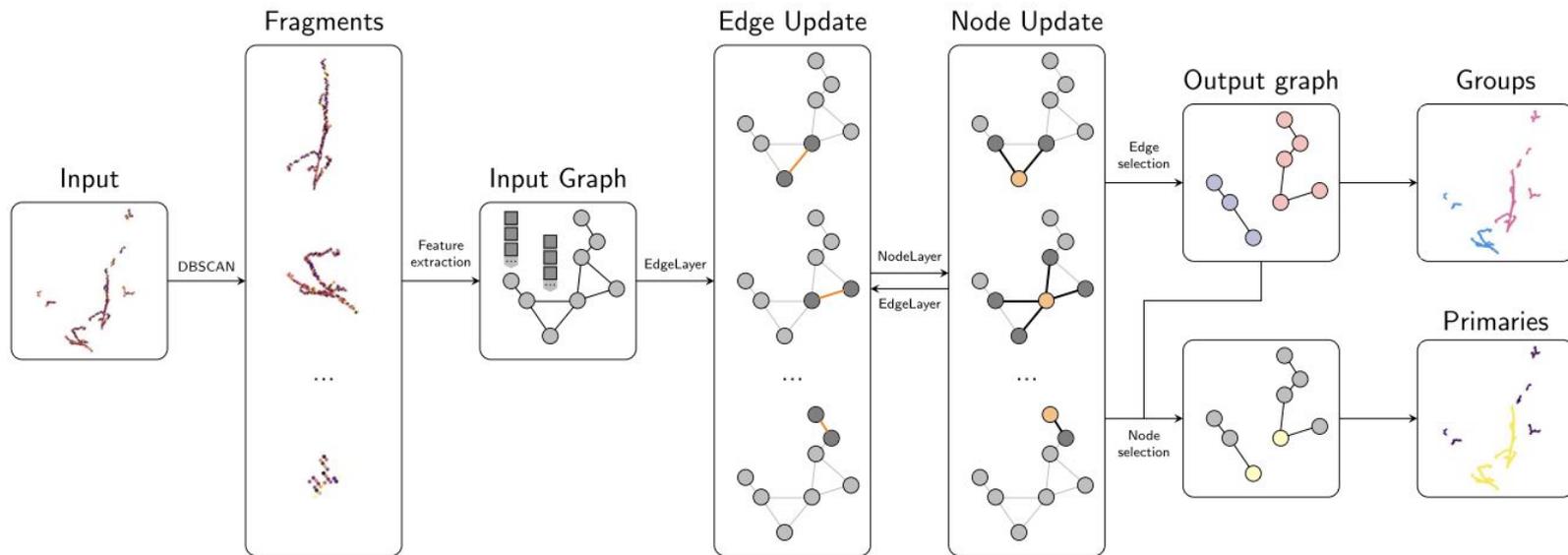


FIG. 1. Architecture of the graph particle aggregator (GrappA) for shower clustering and primary identification. The input set of voxels associated with electromagnetic showers is passed through a density-based clustering algorithm that forms dense shower fragments. Each fragment is encoded into a set of node features in a graph connected by arbitrary edges carrying edge features. Edge and node features are updated through a series of message passing composed of edge and node updaters. The updated edge features are used to constrain the connectivity graph and the updated node features to identify primaries.

# GrapPA: Edge Loss

[PhysRevD. 104.072004](https://arxiv.org/abs/2407.10004)

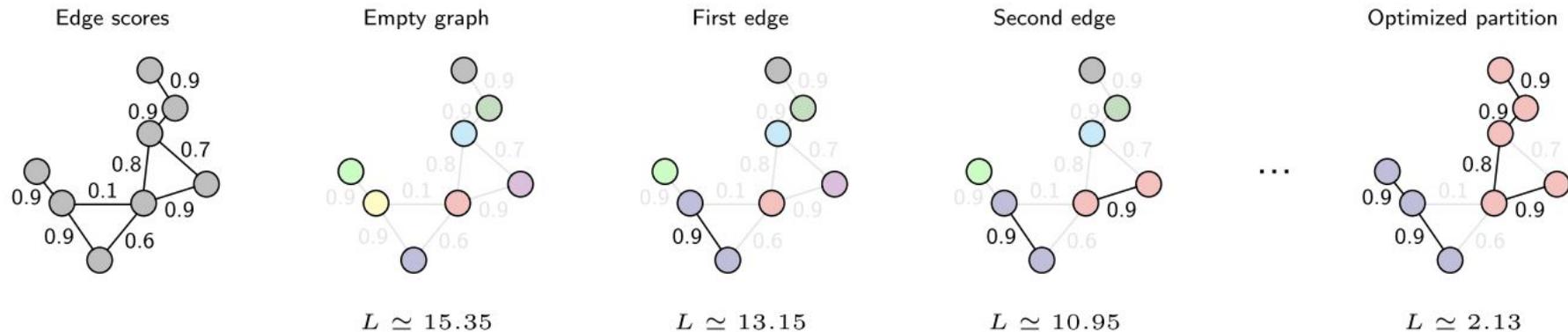
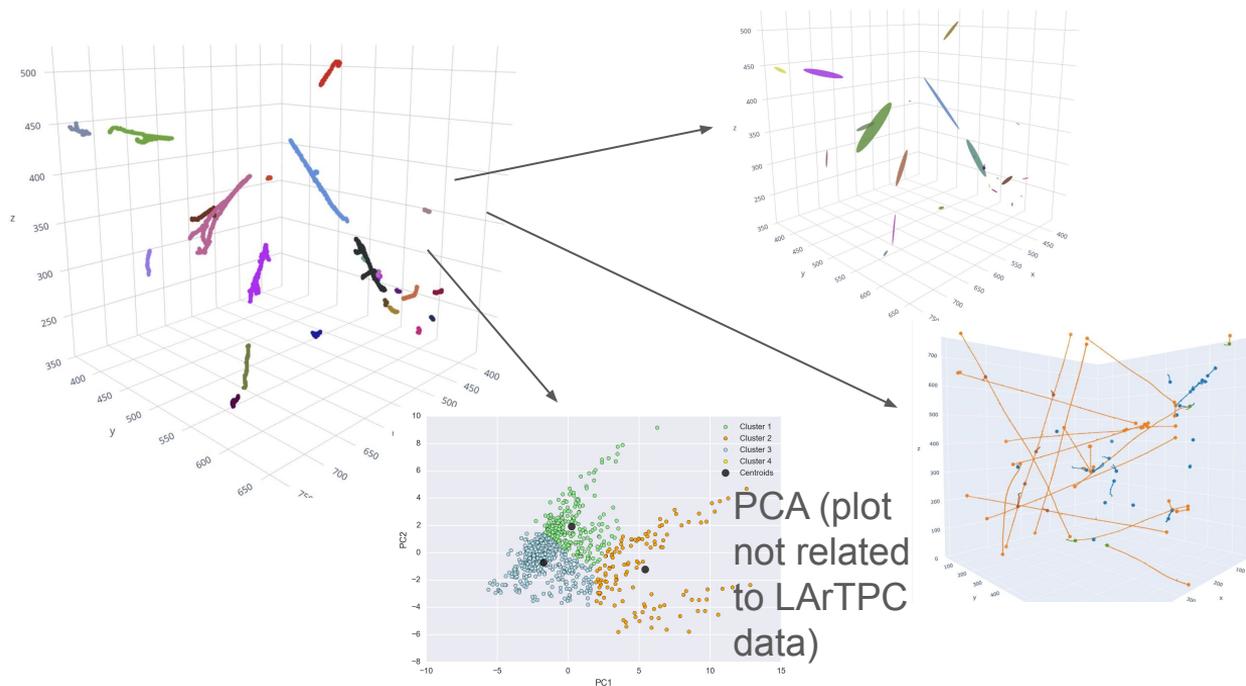


FIG. 7. Schematics of the edge selection mechanism at the inference stage. The partition loss defined in equation (6) is first calculated for an empty graph in which each node forms its own group. Edges are sequentially added in order of decreasing score only if the new partition they form decreases the partition loss. The edge with score 0.6 is not added to the graph because it would put the nodes connected by the edge with score 0.1 in the same group and increase the partition loss.

# Cluster Clustering: Inputs

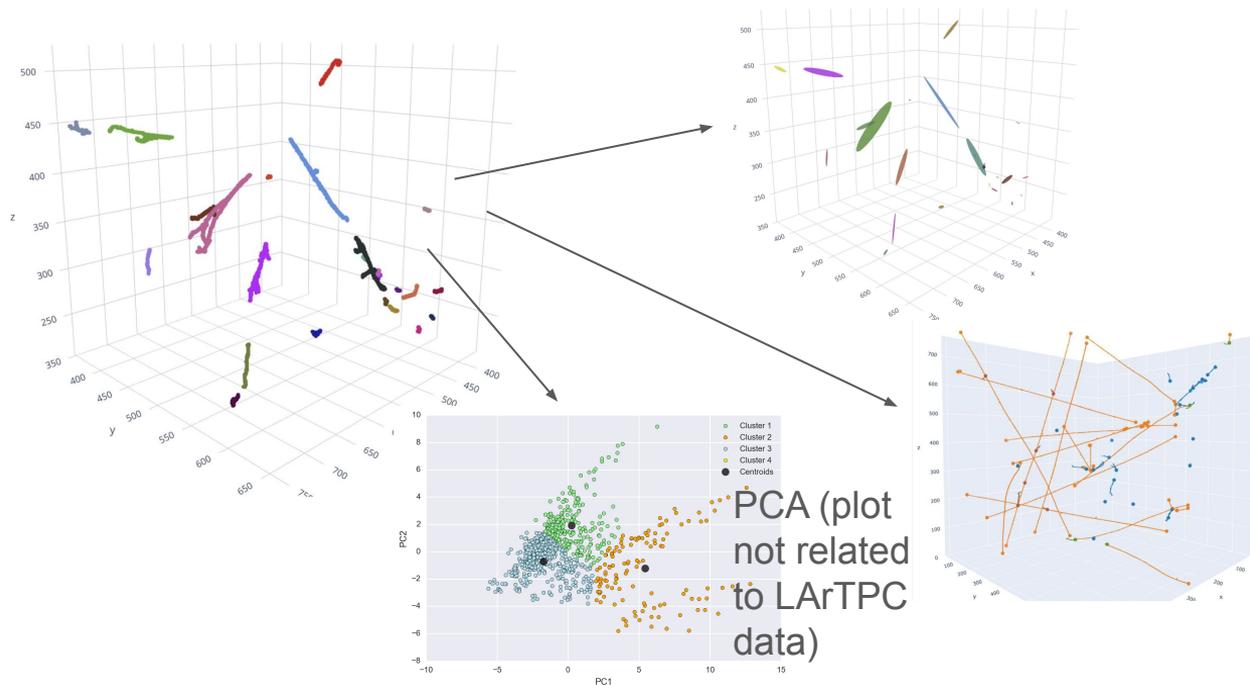
Input: Encode Fragments into set of node features



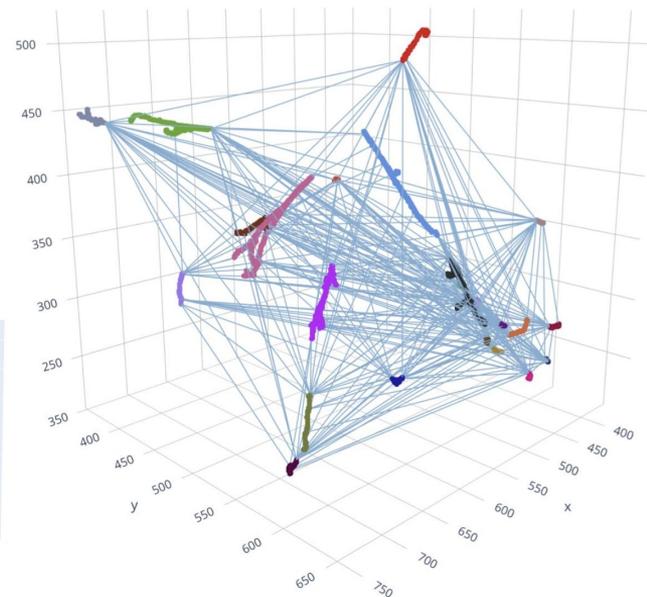
Fragment Summary	# Features
Number of voxels	1
Initial Point	3
Normalized initial direction	3
Normalized covariance matrix	9
Normalized principal axis	3
Centroid	3

# Cluster Clustering: Inputs

Input: Encode Fragments into set of node features

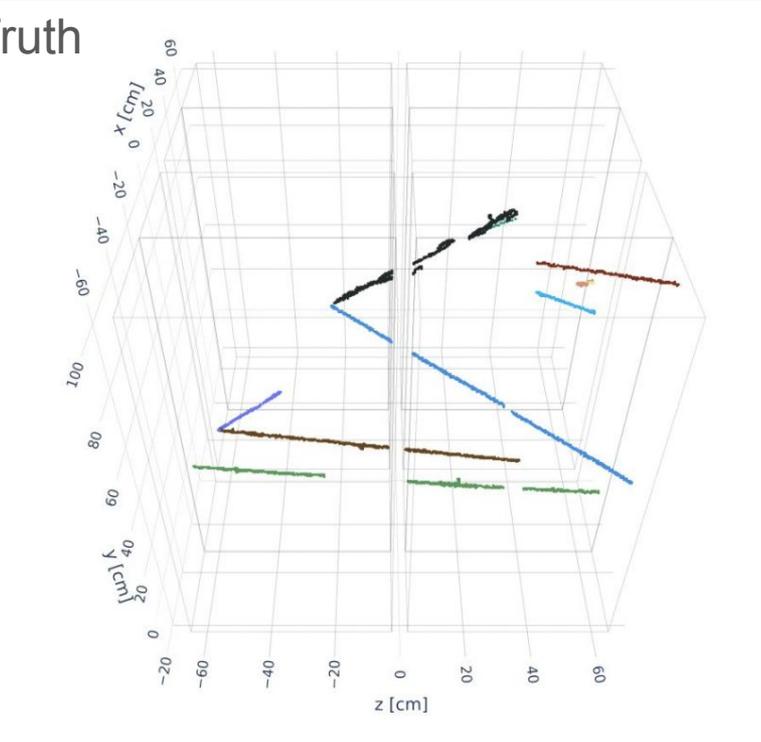


Fully connect nodes

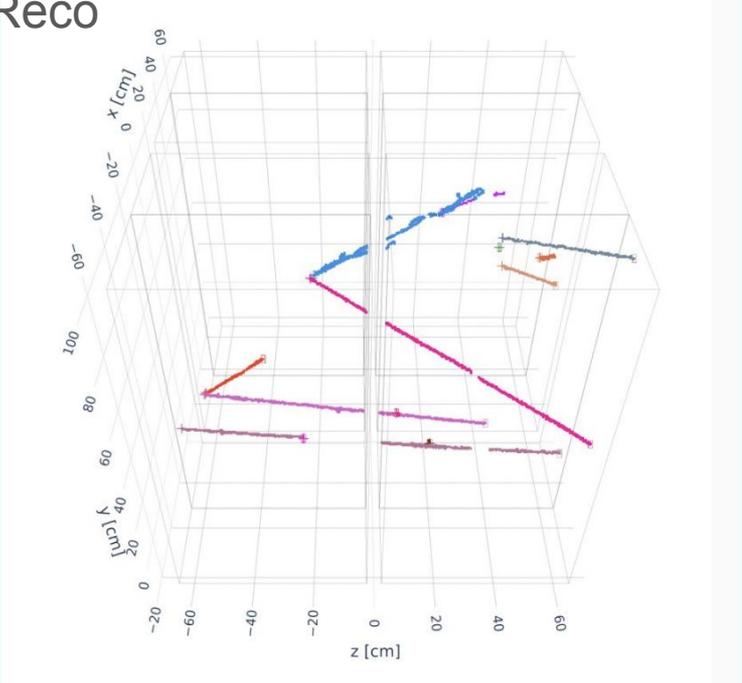


# Pixel Features: Output

Truth



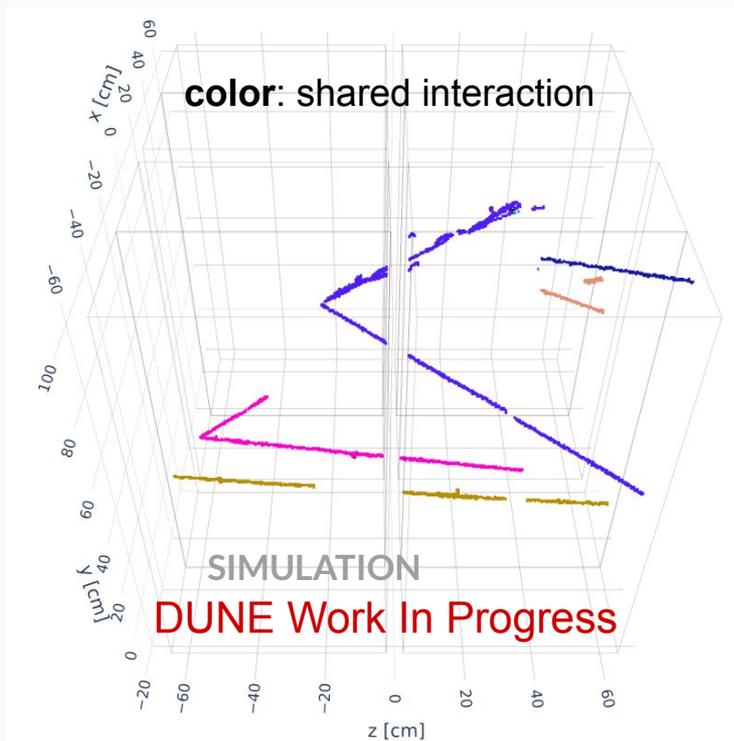
Reco



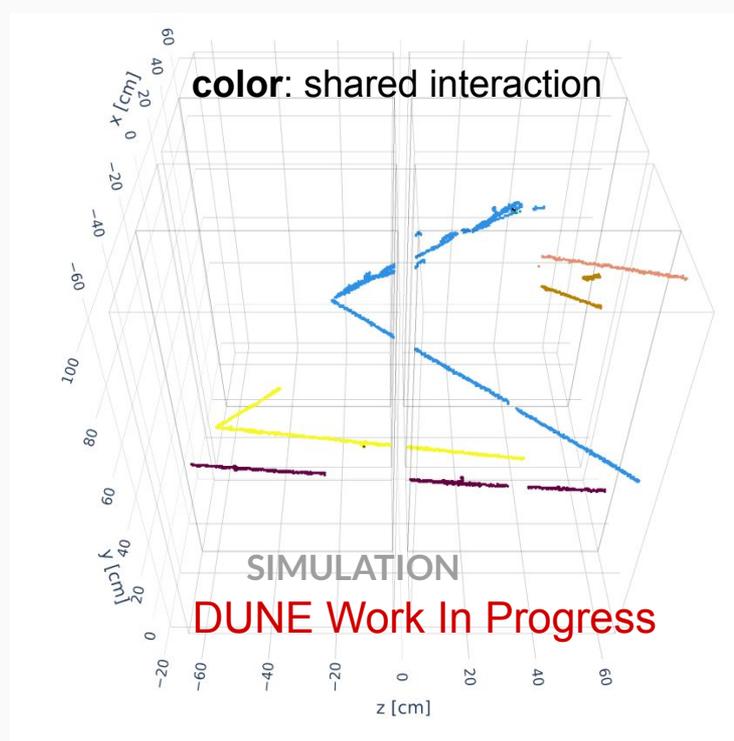
[Phys Rev D \(102\) 012005](https://arxiv.org/abs/2005.01205)

# Performance Shared Interaction

Truth

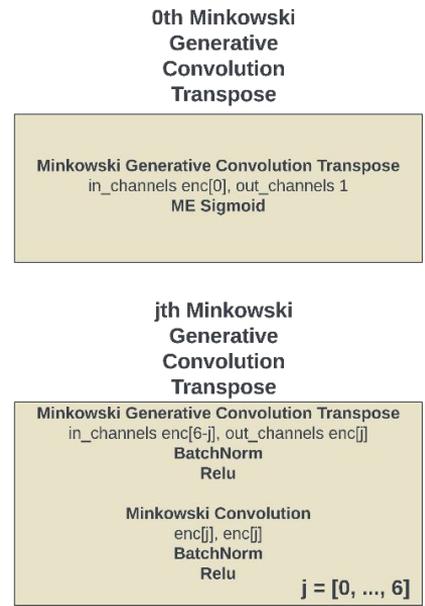
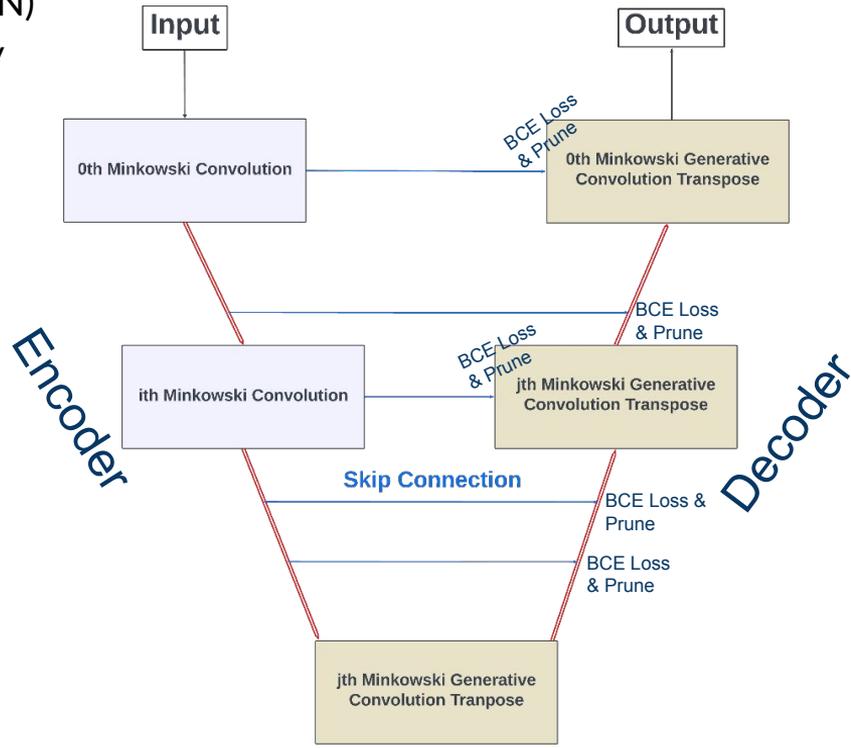
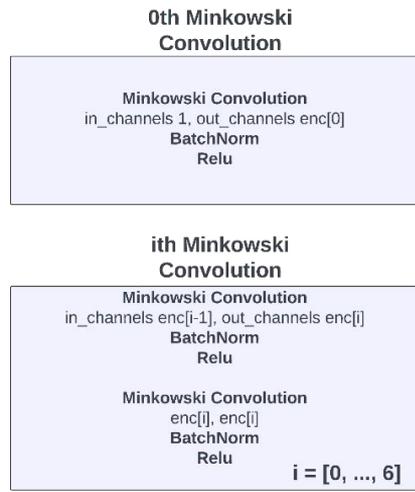


Reco



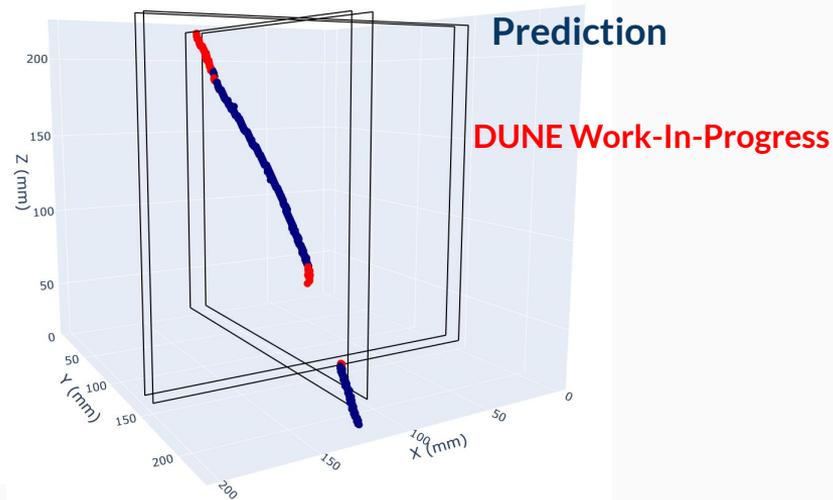
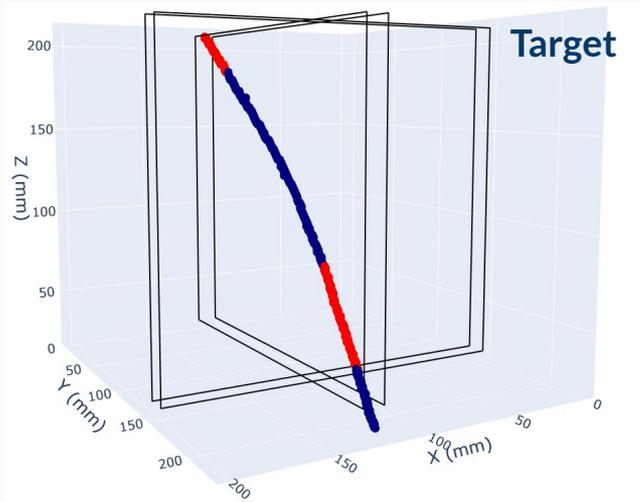
# Architecture UNet

- Minkowski Engine (Sparse CNN)
  - Auto-Differential library for Sparse tensors
- BCE loss and prune bad coordinates after each skip connection!



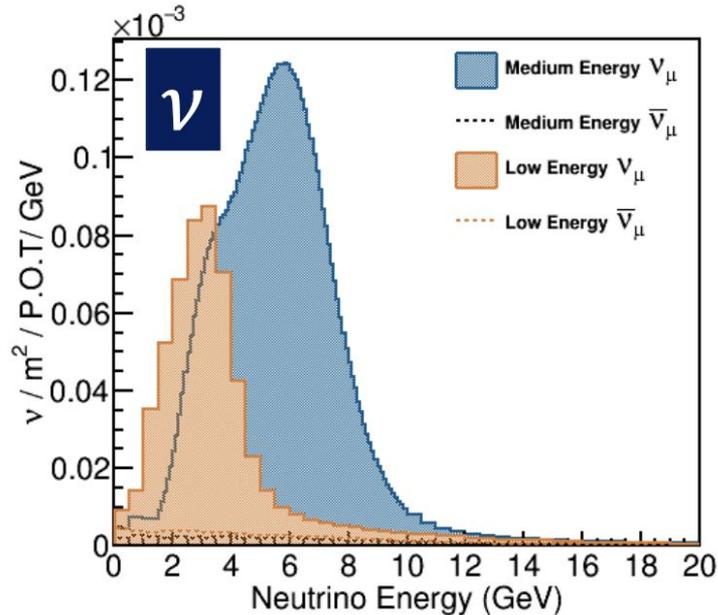
# Preliminary Results: Work in Progress

Network doesn't always fully reconstruct missing region.  
→ Exploring adding more input feature information



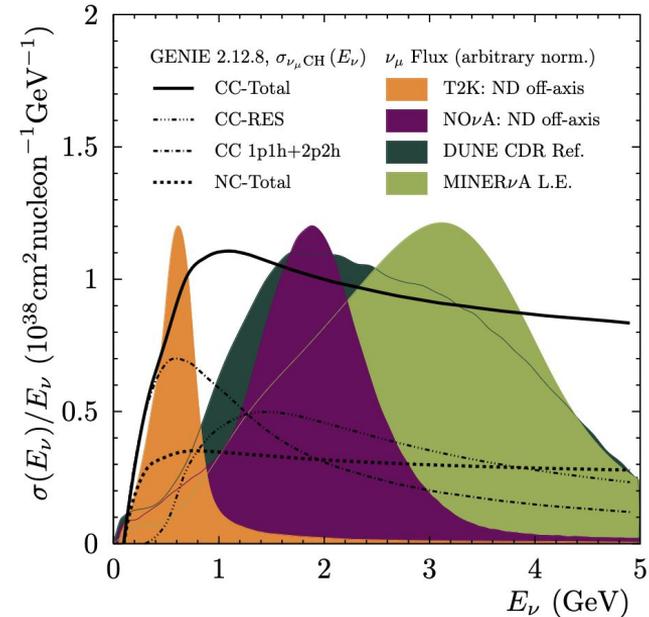
# 2x2 Prototype Beam vs DUNE Beam

NuMI



[https://indico.cern.ch/event/881216/contributions/5048756/attachments/2534229/4361050/Klustova\\_MINERvAFlux\\_NuINT22.pdf](https://indico.cern.ch/event/881216/contributions/5048756/attachments/2534229/4361050/Klustova_MINERvAFlux_NuINT22.pdf)

DUNE (dark green)



<https://arxiv.org/pdf/1803.08848.pdf>