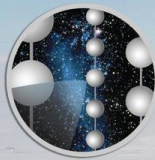




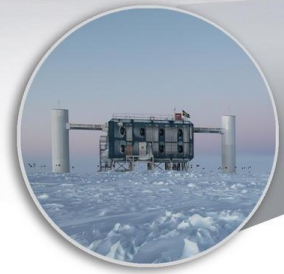
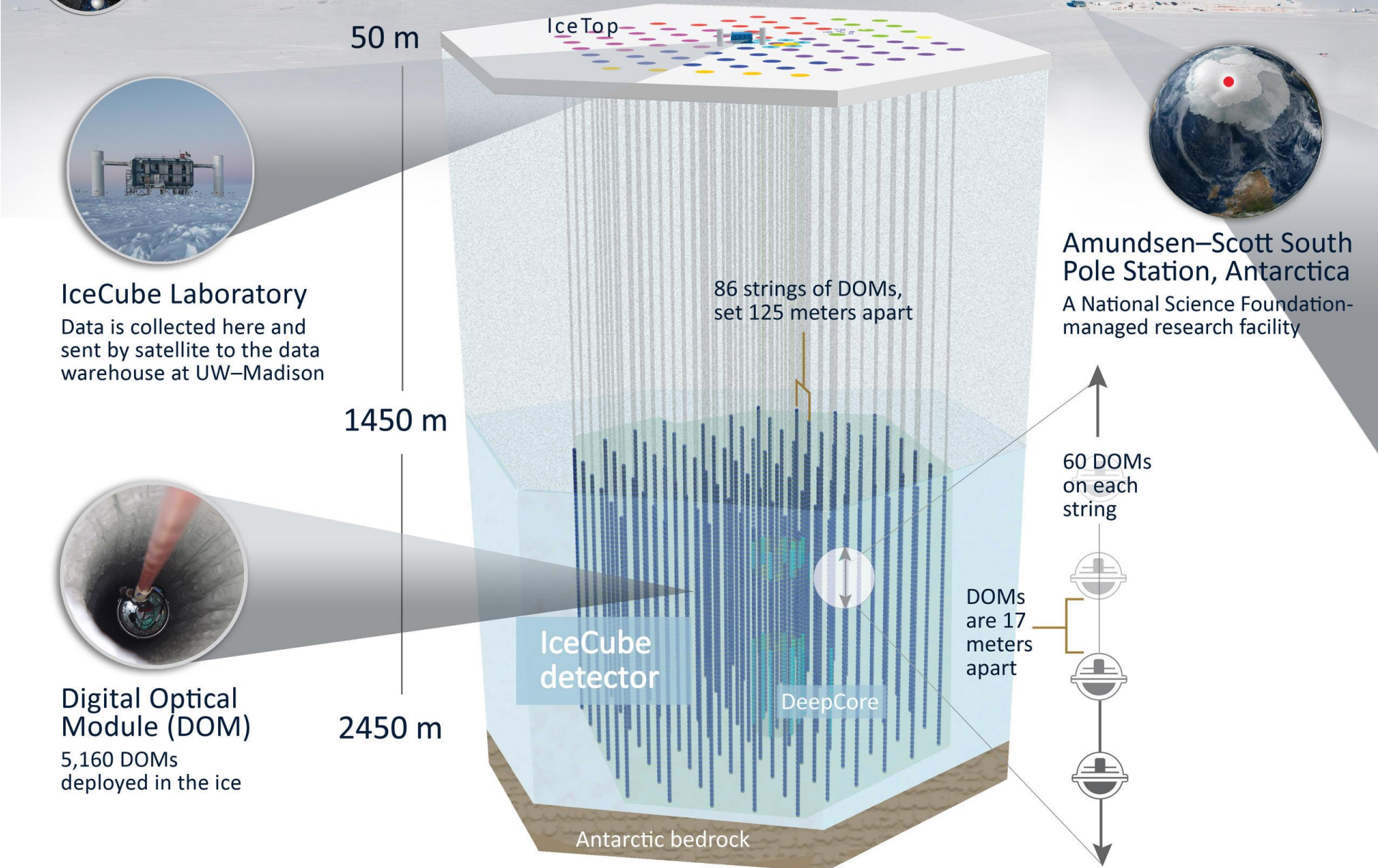
Atmospheric Oscillations with the IceCube Upgrade

Josh Peterson on Behalf of the IceCube Collaboration
NuFact 2024
9/17/2024



ICECUBE

SOUTH POLE NEUTRINO OBSERVATORY



IceCube Laboratory
Data is collected here and sent by satellite to the data warehouse at UW-Madison



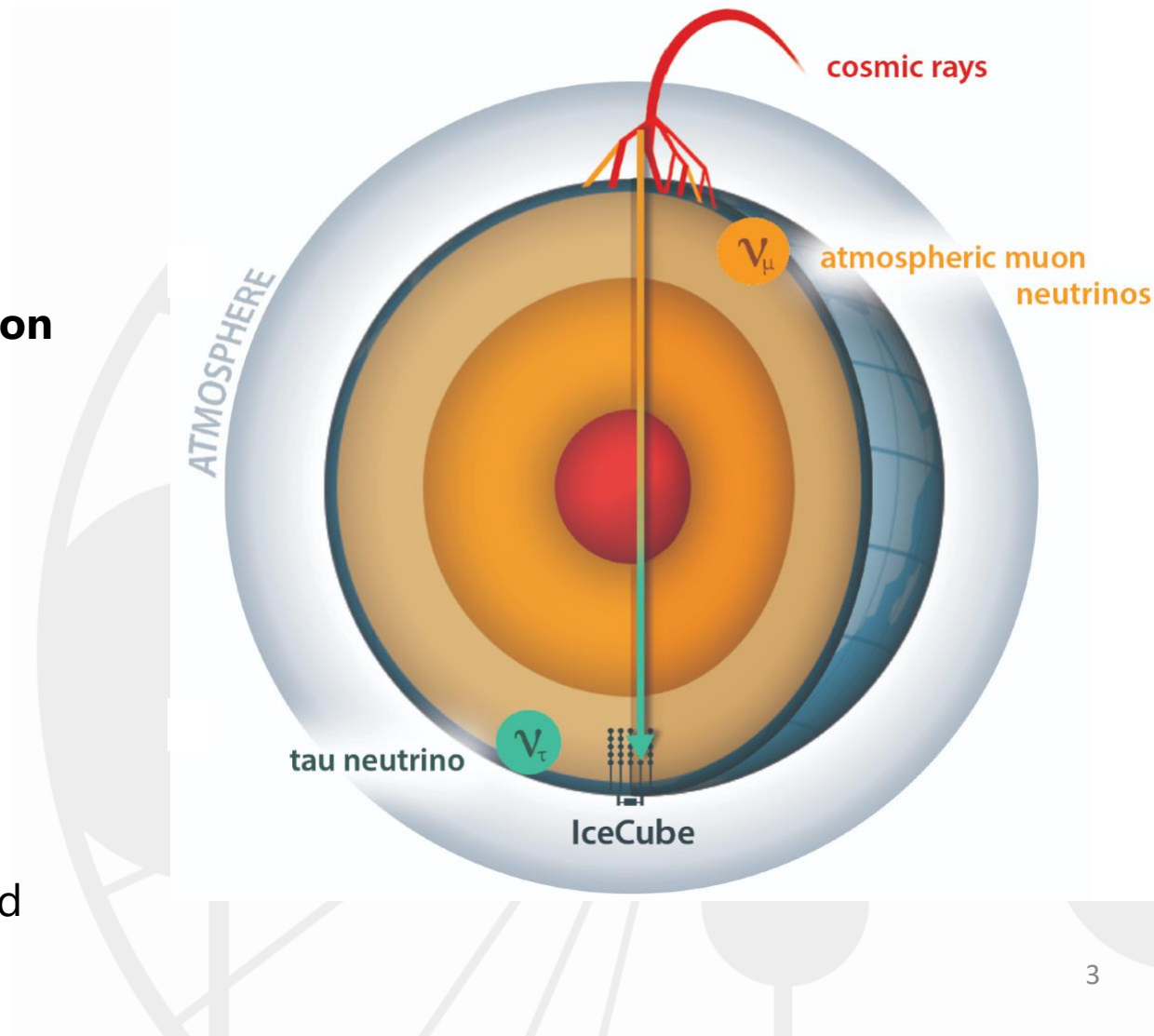
Digital Optical Module (DOM)
5,160 DOMs deployed in the ice



Amundsen-Scott South Pole Station, Antarctica
A National Science Foundation-managed research facility

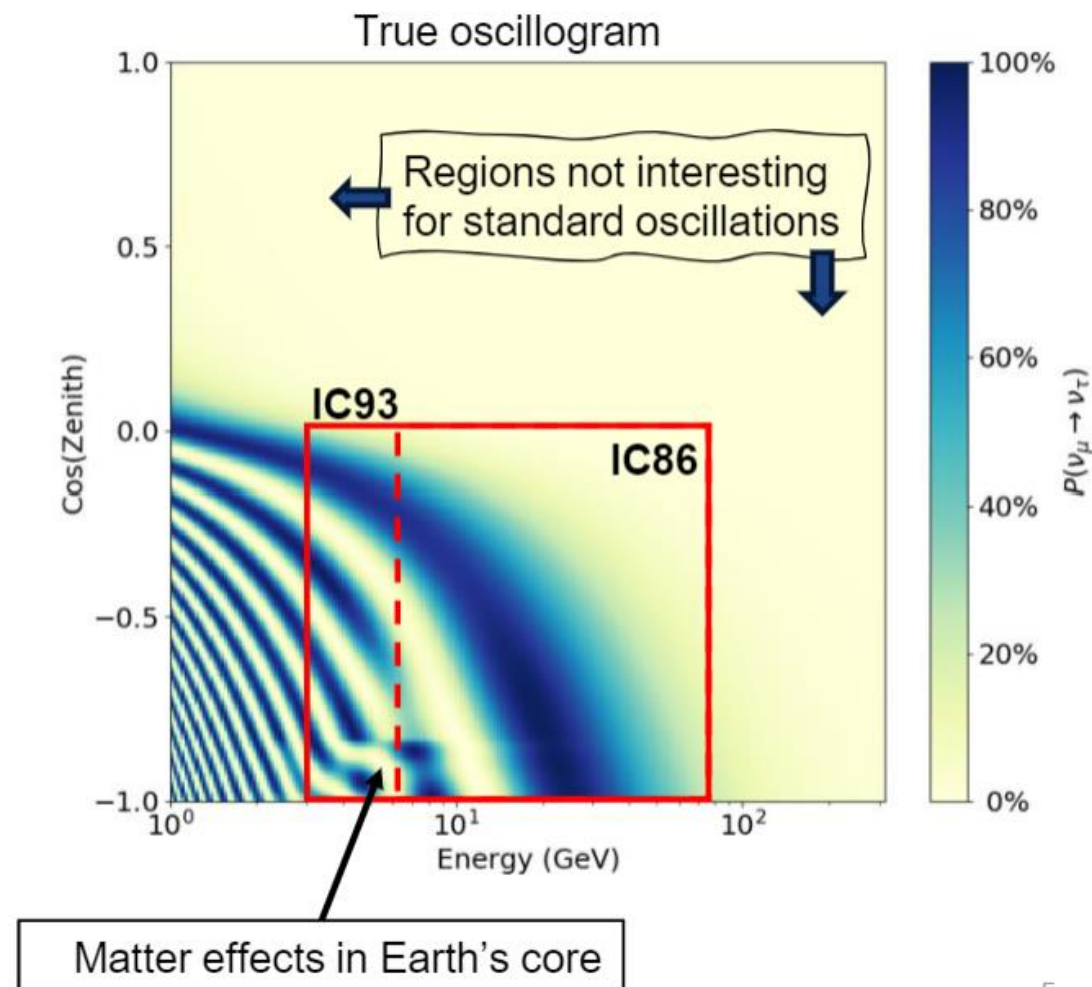
Oscillations with IceCube

- **Muon neutrinos and electron neutrinos produced in the atmosphere travel through the Earth**
- **During travel, there is a chance that the muon neutrinos may oscillate into tau neutrinos**
 - $P_{\nu_{\mu} \rightarrow \nu_{\tau}} \approx \cos^4(\theta_{13}) \sin^2(2\theta_{23}) \sin^2\left(\frac{\Delta m_{31}^2 L}{4E}\right)$
- **Muon neutrinos and tau neutrinos produce different event topology, and thus IceCube can measure muon neutrino disappearance**
 - Track like topology for muon neutrinos undergoing charged current interactions
 - Cascade like topology for other flavors and interactions



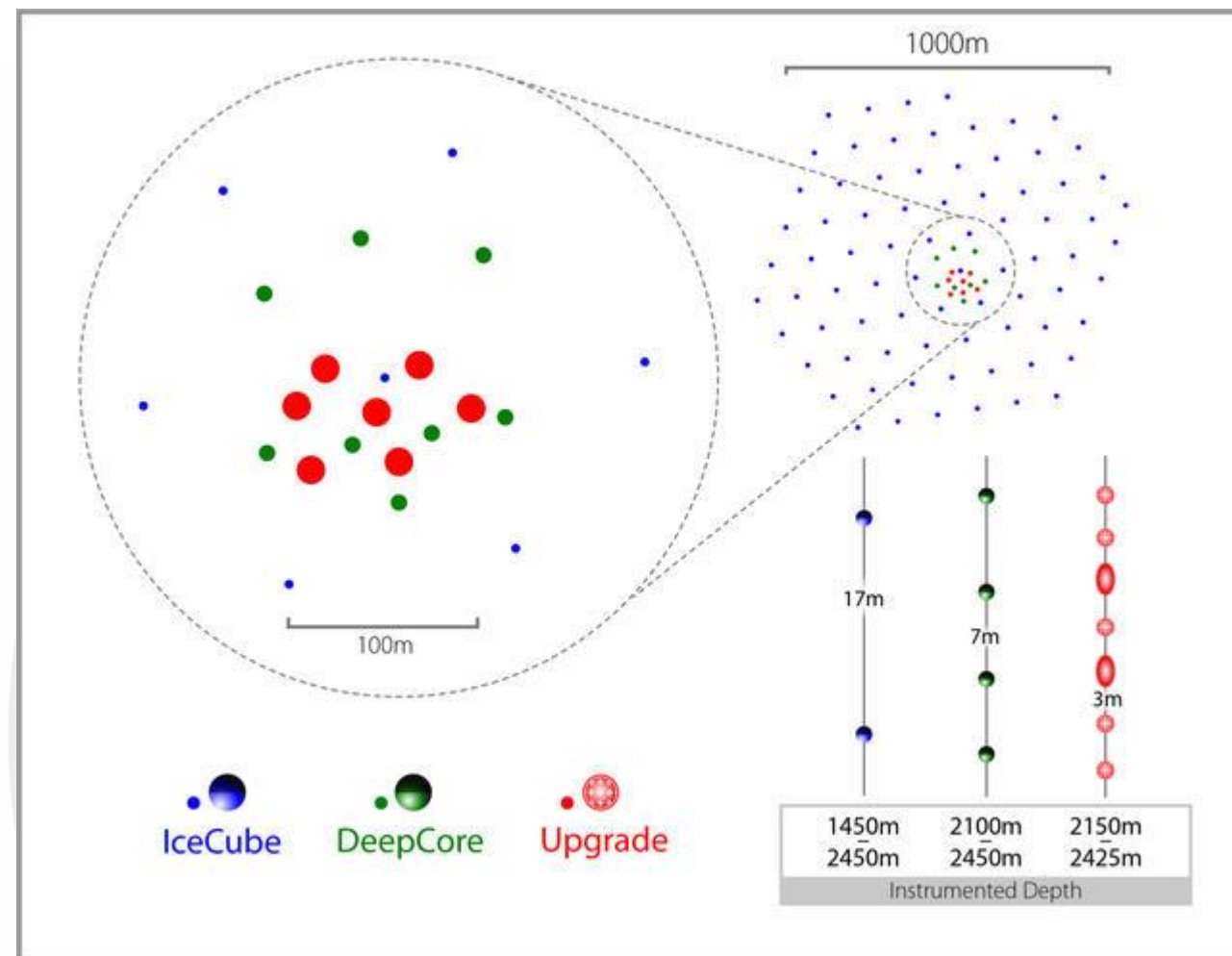
IceCube Upgrade Motivation

- **Current energy threshold in IceCube DeepCore is about 5 GeV (shown by the dashed line in the plot)**
 - For more information about IceCube Deepcore and current analyses refer to Finn Mayhew's talk
- **Lowering the energy threshold of the IceCube detector would push deeper into a region of parameter space interesting to standard oscillations and matter effects**
- **The best way to probe lower energies more effectively is to more densely instrument the Antarctic Ice**
 - Would also increase number of neutrinos detected



IceCube Upgrade

- **Install seven new strings densely packed within IceCube DeepCore**
 - Deployment will be in the 2025/2026 Antarctic summer season
- **Each string is roughly five times more densely instrumented than the standard IceCube strings**
- **Utilize digital optical modules (DOMs) that utilize multiple PMTs facing many directions**
- **Also introduce new instruments for better calibration**



IceCube Upgrade Hardware

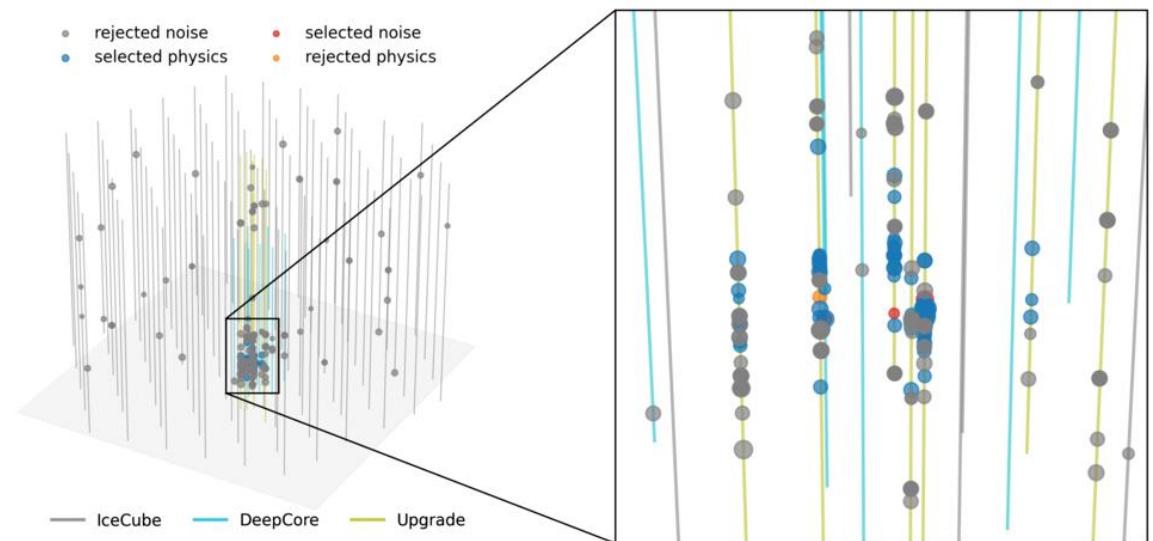
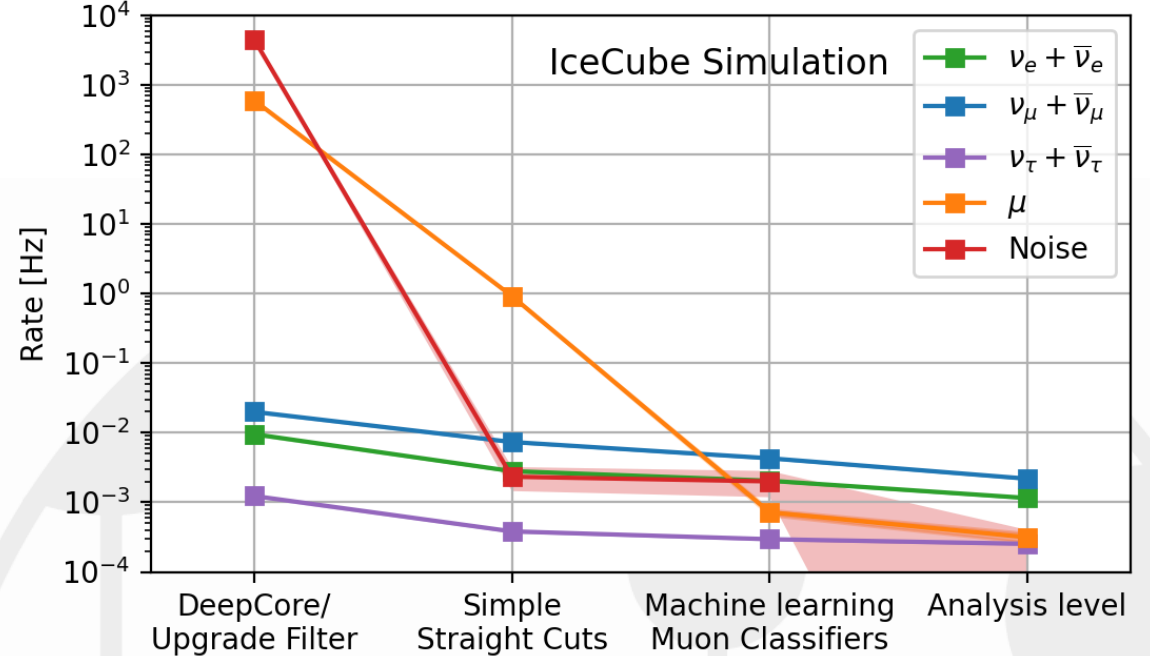
- **mDOM:**
 - 24 3-inch PMTs facing all directions
 - About 400 to be deployed
- **D-Egg:**
 - 2 8-inch PMTs facing down and up
 - 2.8 times higher photon sensitivity than existing DOMs
 - About 280 to be deployed
- **Additional optical modules will be implemented for R&D purposes**
- **A series of calibration devices will also be implemented in order to improve our understanding of the optical properties of the Antarctic ice**



Event Selection

- **Background from PMT noise and atmospheric muons dominates what is seen in the detector**
 - Need an event selection to suppress the background
- **With this event selection we have a sample that is neutrino dominated**
- **Graph Neural Network (GNN) based noise selection reduces noise hits by 95% while retaining 90% of physics hits**

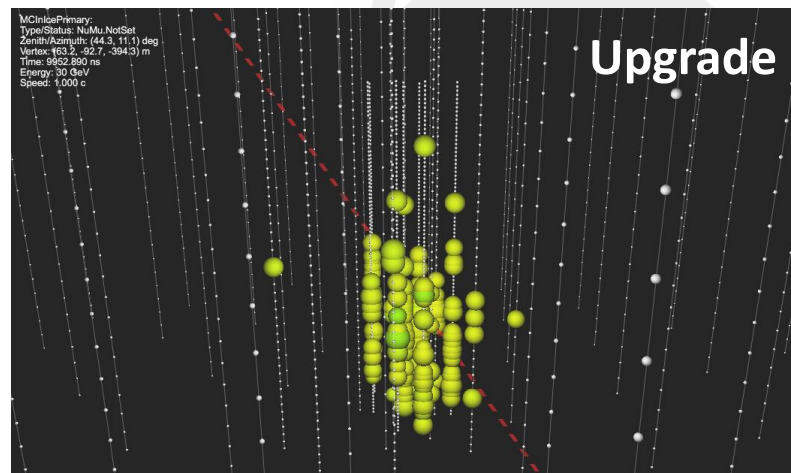
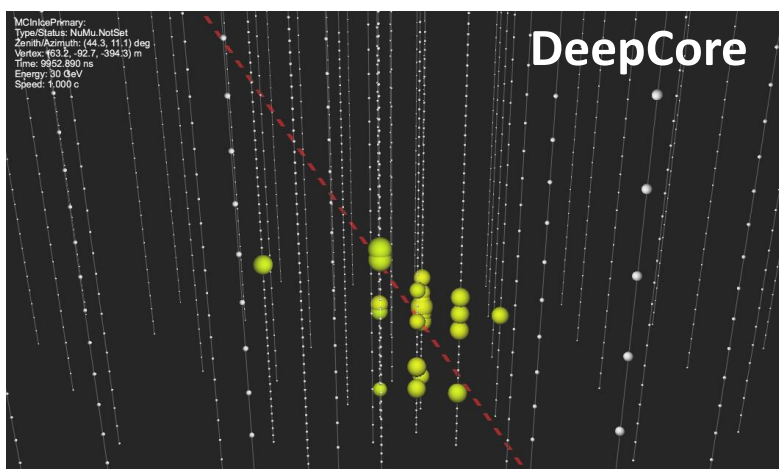
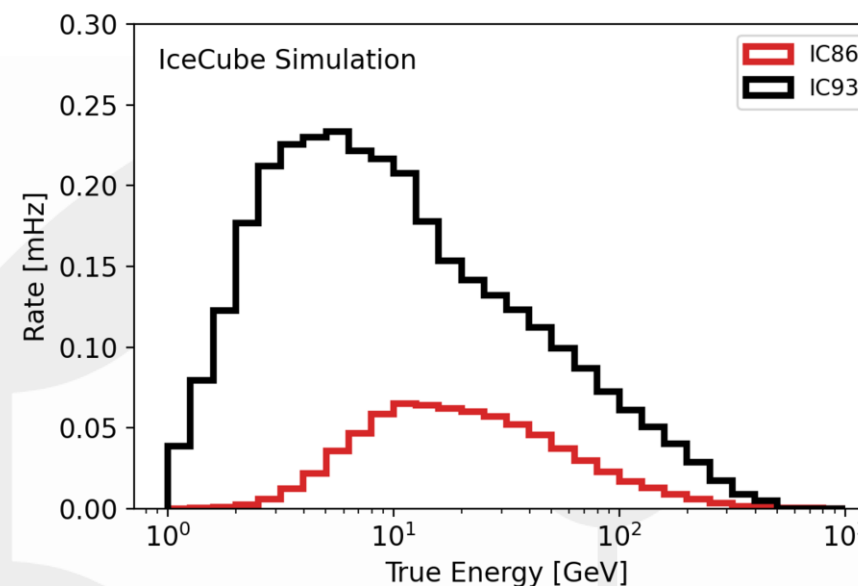
[arXiv:2307.15295](https://arxiv.org/abs/2307.15295)



IceCube Upgrade Advantages

[arXiv:2307.15295](https://arxiv.org/abs/2307.15295)

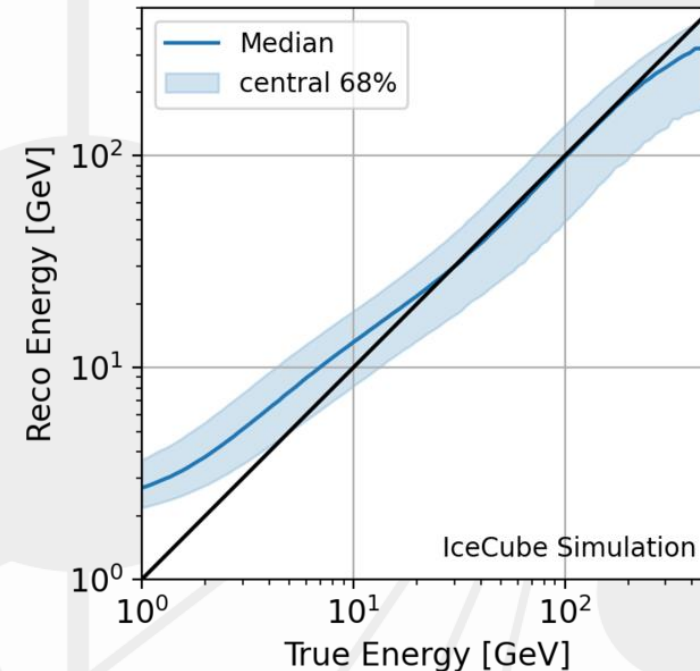
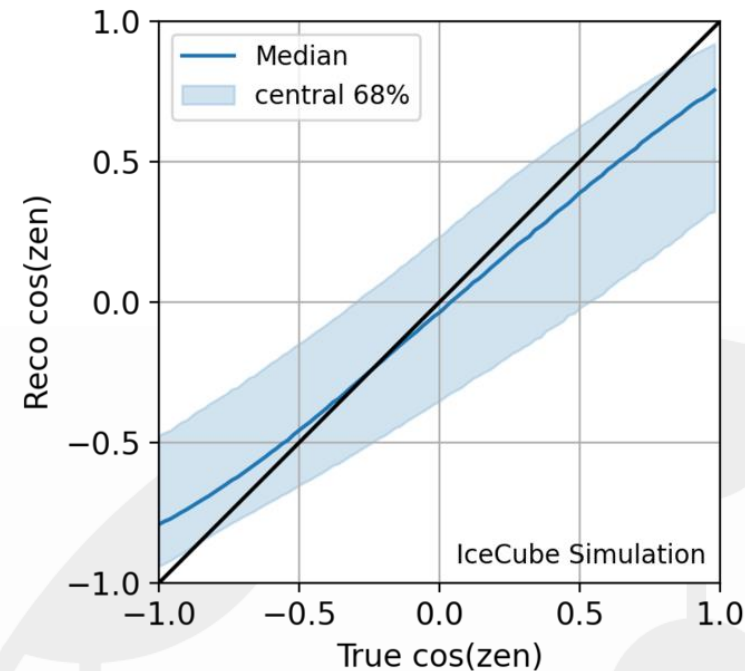
- **Peak of energy distribution shifts down from about 10 GeV to about 5 GeV**
- **3 to 5 times more neutrino events at the analysis level than with IceCube DeepCore**
 - More events for all energies from 3 GeV to 300 GeV
- **More photon hits per event, which leads to better reconstruction and classification**



Flavor	IC86	IC93
$\nu_{\mu} + \bar{\nu}_{\mu}$	0.76 mHz	4.3 mHz
$\nu_e + \bar{\nu}_e$	0.25 mHz	2.0 mHz
$\nu_{\tau} + \bar{\nu}_{\tau}$	0.05 mHz	0.3 mHz

Reconstruction and Classification

- **Train a GNN for each observable**
- **Event Topology (PID)**
 - Achieves an area under the ROC curve of 0.82, which is a significant improvement from IceCube DeepCore
- **Energy**
 - Uniform performance over energy range of interest
- **Cos(Zenith Angle)**
 - Uniform performance over all zenith angles



Sensitivity Analysis

- **The sensitivities that will be shown are Asimov sensitivities unless specified otherwise**
- **There are two detector configurations considered:**
 - IC86: The current IceCube detector with DeepCore
 - IC93: IceCube with the IceCube Upgrade
- **There are two scenarios considered:**
 - 15 years of IC86
 - 12 years of IC86 with 3 years of IC93

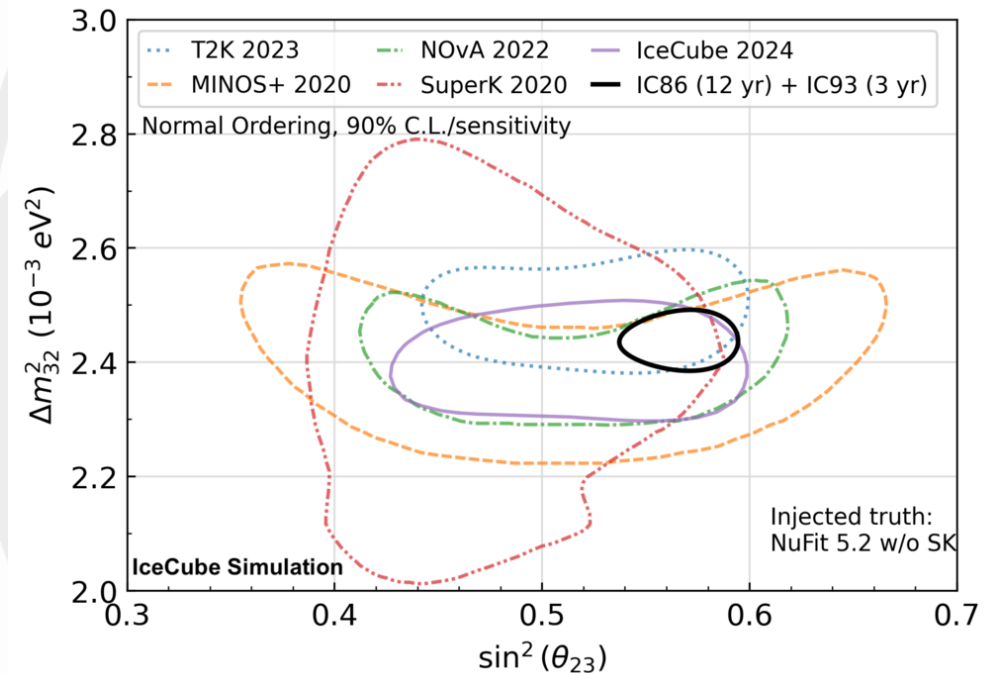
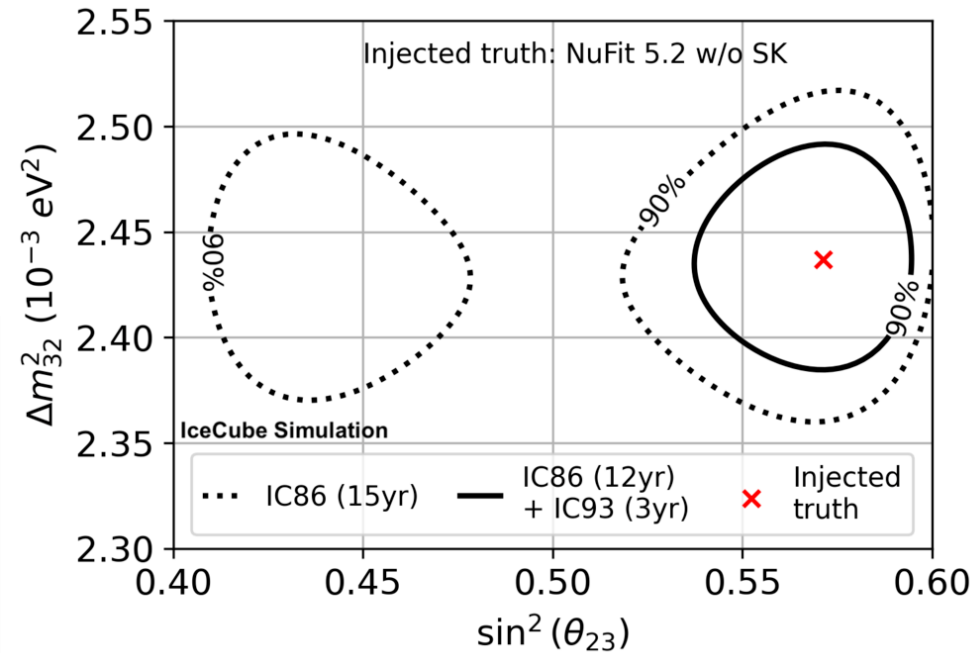
	IC86	IC93
Energy	12 Log bins from 5 GeV to 300 GeV	12 Log bins from 3 GeV to 300 GeV
Cos(Zenith)	10 Linear bins from -1.0 to 0.0	10 Linear bins from -1.0 to 0.0

Systematic parameters

Flux
Spectral index
Uncertainty on Pion and Kaon production
Neutrino and Muon Normalizations
Cross sections
Deep inelastic scattering uncertainty
Axial masses for Resonant CC and Quasi-elastic scattering
Axial masses for Resonant NC and Coherent π scattering
Model uncertainty on tau neutrino cross section
Detector
Bulk ice properties scattering and absorption
Optical module efficiencies
Angular acceptance (IC86 configuration only)

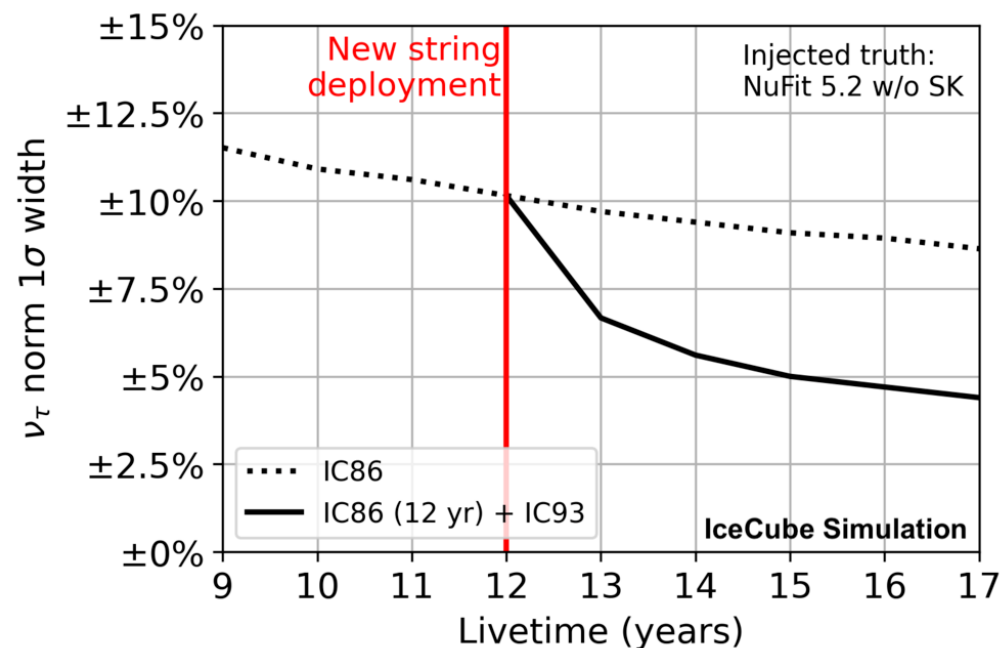
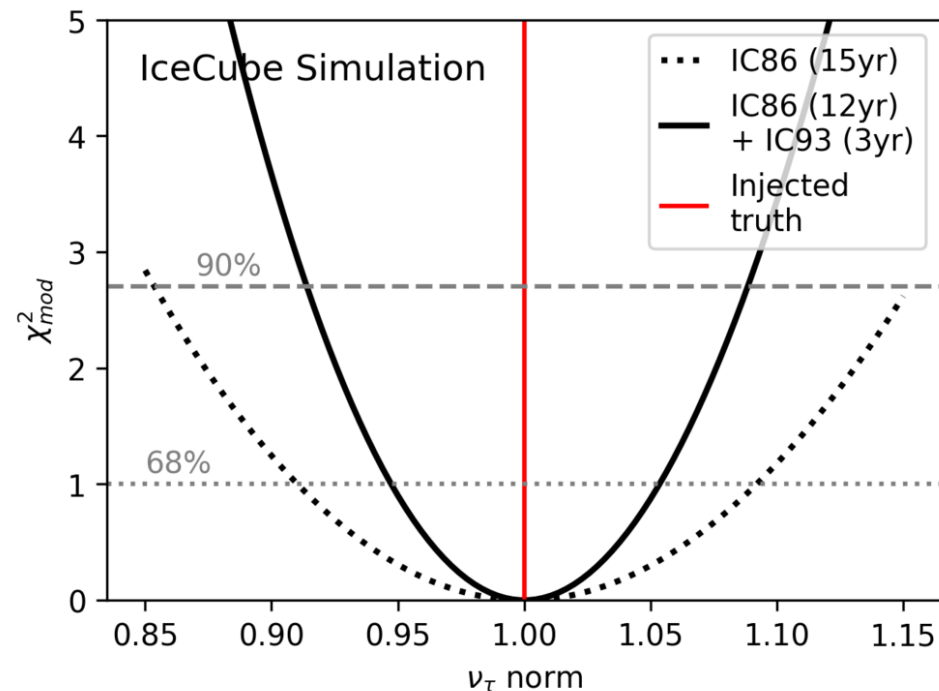
ν_μ Disappearance

- Measure the oscillation parameters θ_{23} and $|\Delta m_{32}^2|$
- 90% confidence level region shrinks by 55% to 70% with IceCube Upgrade
- IC93 could exclude maximal mixing from 90% contour and determine the octant of θ_{23} depending on the true value of θ_{23}
- The constraints to the atmospheric oscillation parameters from IC93 will be among the world's most precise



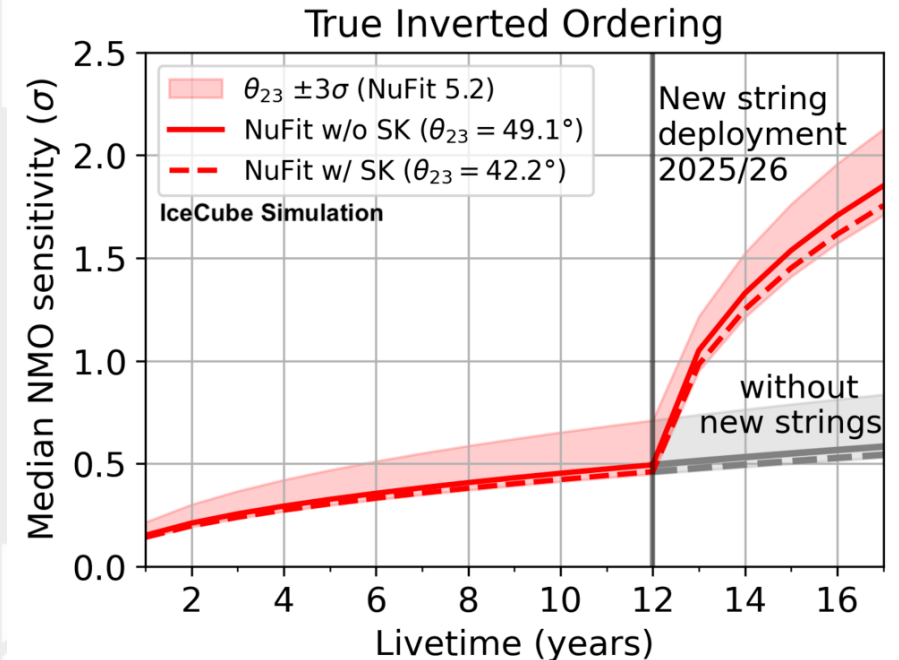
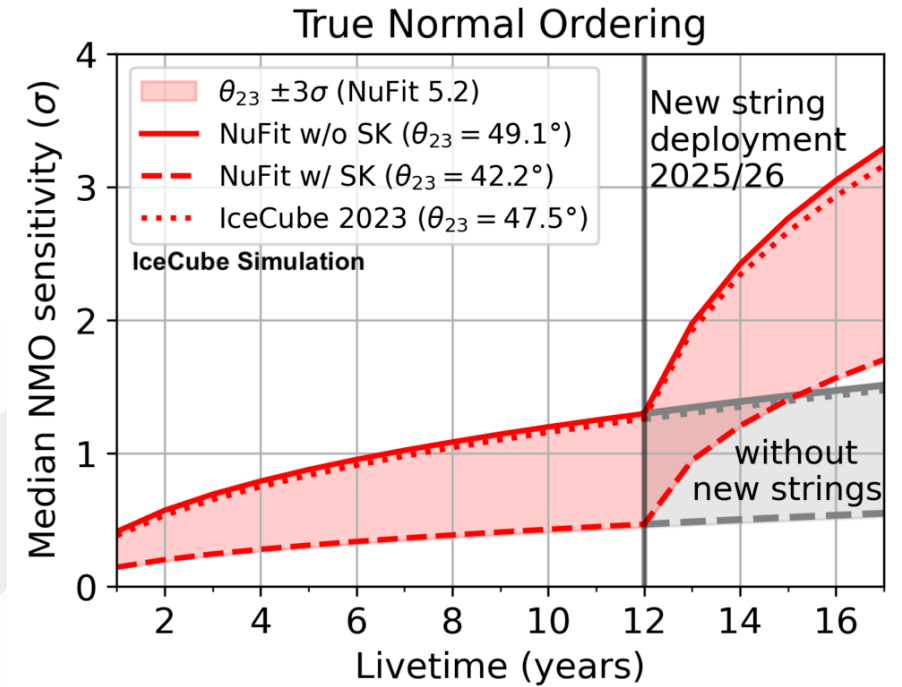
ν_τ Appearance

- **Constrain the number of detected tau neutrinos in our data sample**
 - Tau neutrinos would only be in the sample due to oscillations, not present in the atmospheric neutrino flux
 - Number of tau neutrinos is treated as a normalization factor multiplied by the expected number of tau neutrinos
- **With the IceCube Upgrade the 1σ uncertainty of the tau neutrino normalization can be reduced by a factor of two**



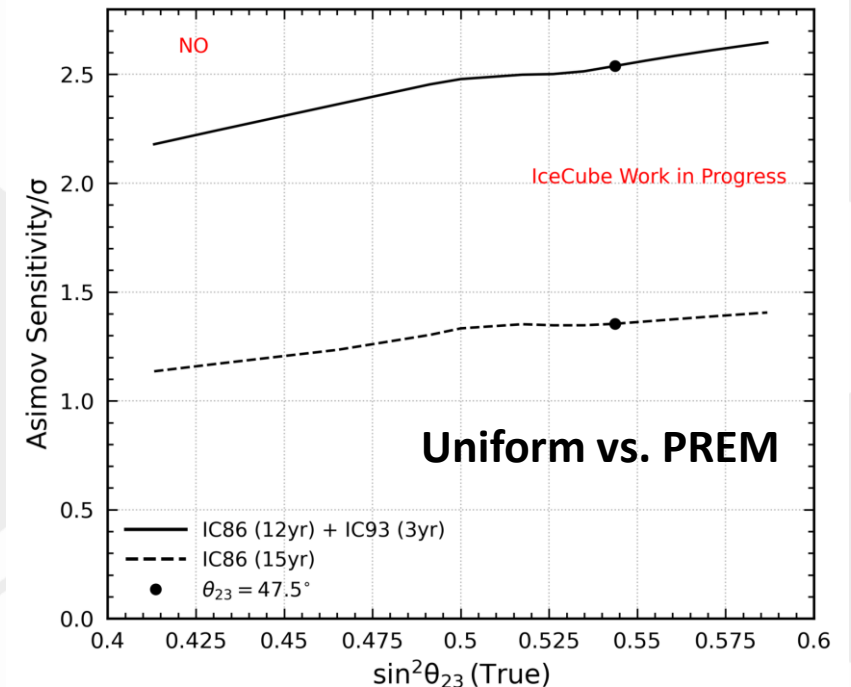
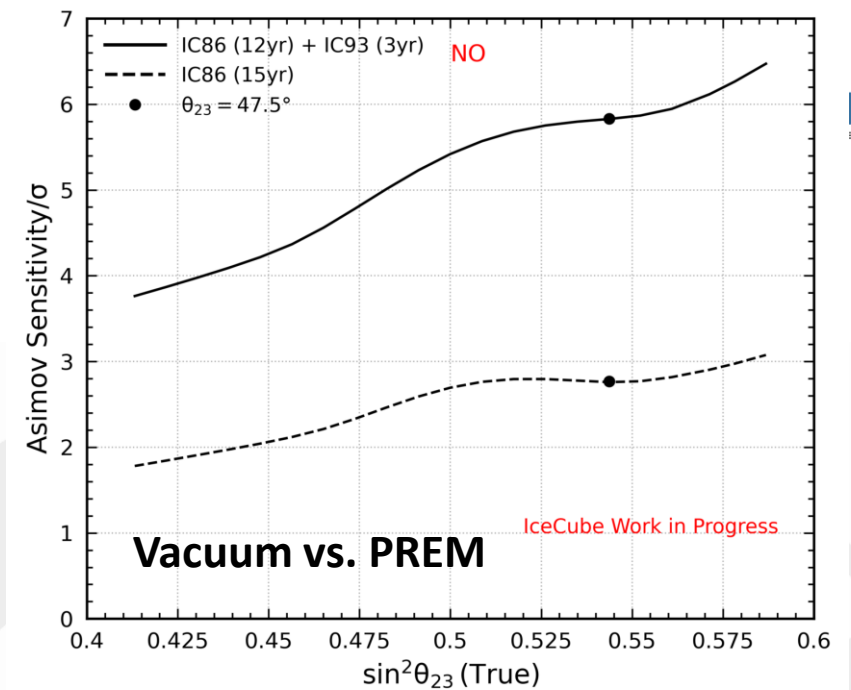
Neutrino Mass Ordering

- **Determine whether the normal mass ordering or the inverted mass ordering is preferred**
 - Look for low energy matter effects and determine if they are coming from neutrinos or antineutrinos
- **The IceCube Upgrade will improve the sensitivity to more than 2σ within a few years**
- **A sensitivity of 3σ is possible with IC93 for the normal ordering depending on the true value of θ_{23}**
- **Further improvements expected when combining IC93 with reactor experiments like JUNO**



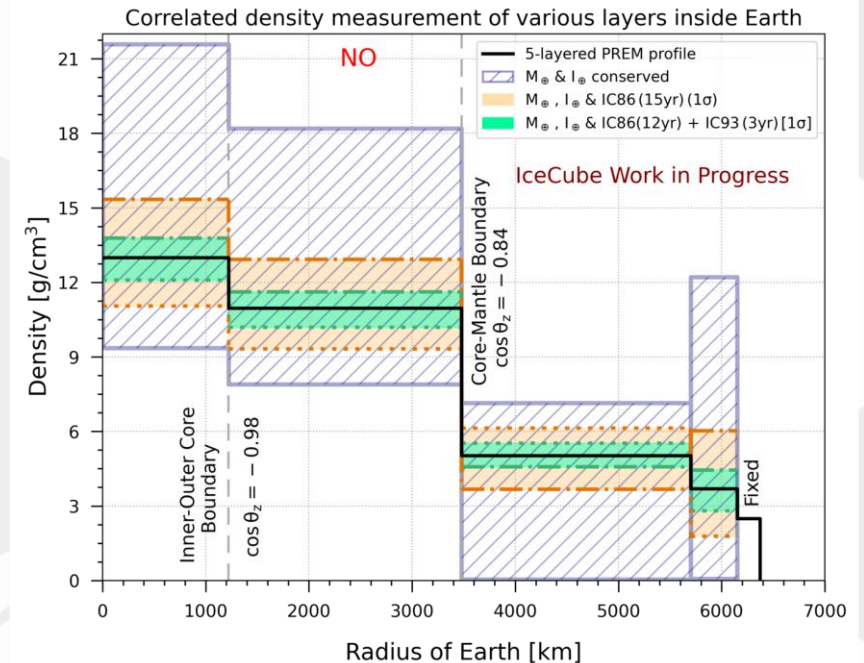
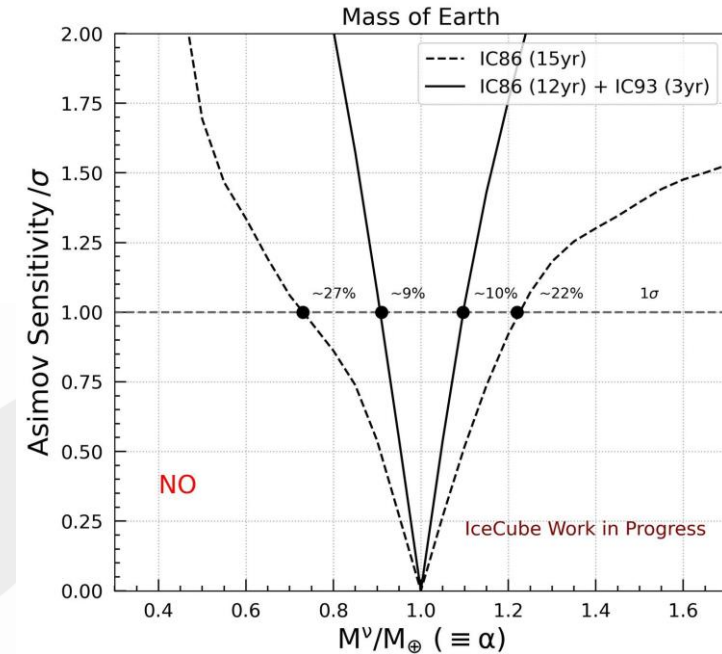
Probing Earth's Structure

- **Verify the existence of matter effects in our neutrino data by comparing a 12 layer PREM Earth model to vacuum**
 - Could find a preference for matter effects at a 4 to 5 σ level with IC93 depending on the value of θ_{23}
- **Verify Earth's layered structure by comparing a 12 layer PREM Earth model to a uniform Earth density profile**
 - Could verify a preference for layered Earth at a 2.5 σ level with IC93
- **Both analyses show significantly improved results with IC93**



Probing Earth's Structure

- **Use matter effects to measure the mass of the Earth**
 - IC93 could measure the mass of Earth with a 1σ precision of 9 to 10%
 - About a factor of 2 increase in precision in the measurement of Earth's mass with IC86
- **Use neutrino data to constrain the allowed densities of Earth's layers (while conserving Earth's total mass and moment of inertia)**
 - Significant improvement on constraints for all layer densities when using IC93



Summary

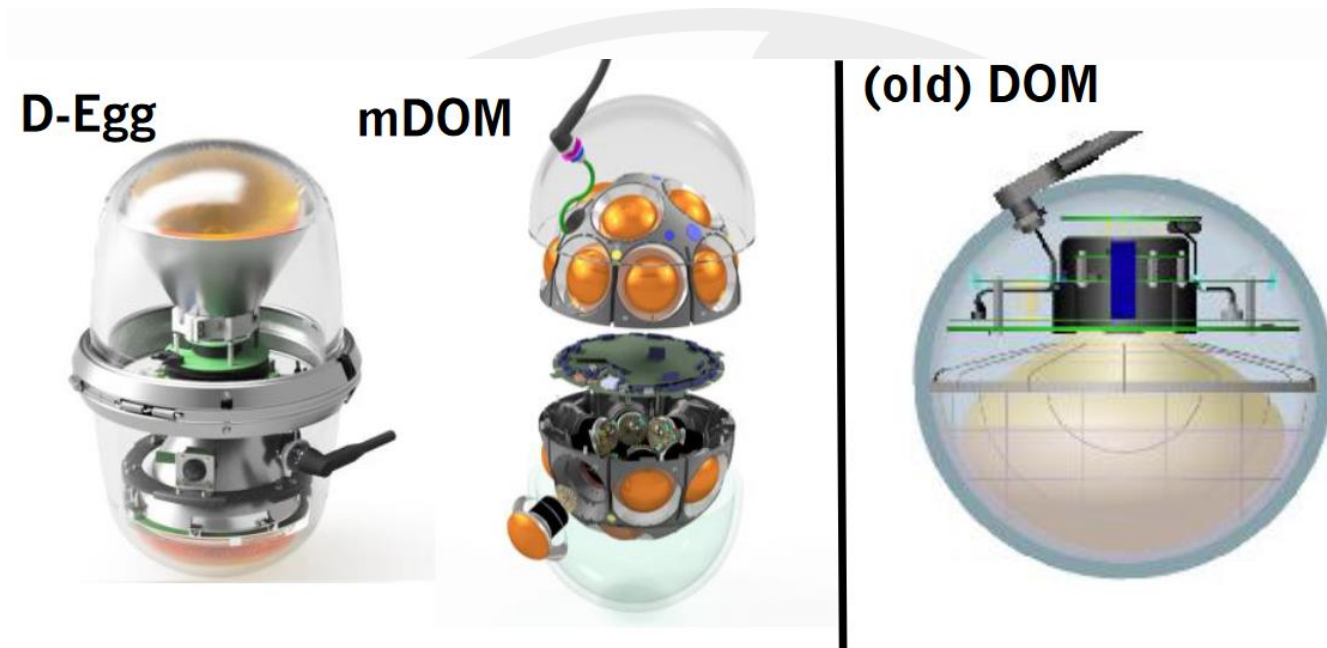
- **Next year we will deploy the IceCube Upgrade**
- **The IceCube Upgrade includes seven more densely instrumented strings, improved digital optical modules, and calibration instruments**
- **Upgrade analyses will incorporate improved event selection, reconstruction, and classification techniques**
- **Expect significant improvements to the following measurements:**
 - **Oscillation parameters θ_{23} and $|\Delta m_{32}^2|$**
 - **ν_τ normalization**
 - **Neutrino mass ordering**
 - **Distinguishing Earth's structure from vacuum and uniform density**
 - **Earth's mass and the mass of Earth's core**

Backup Slides



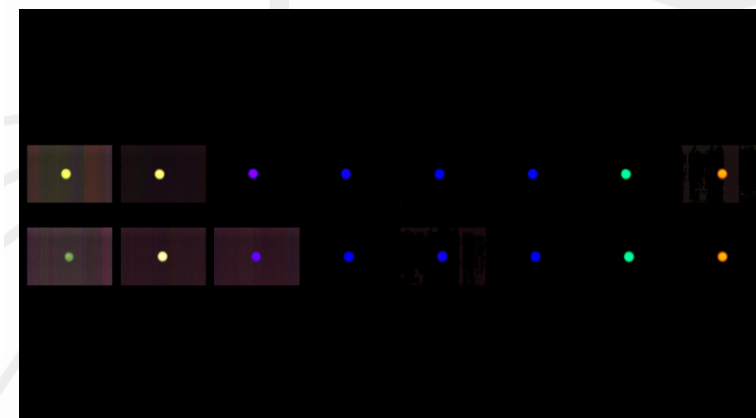
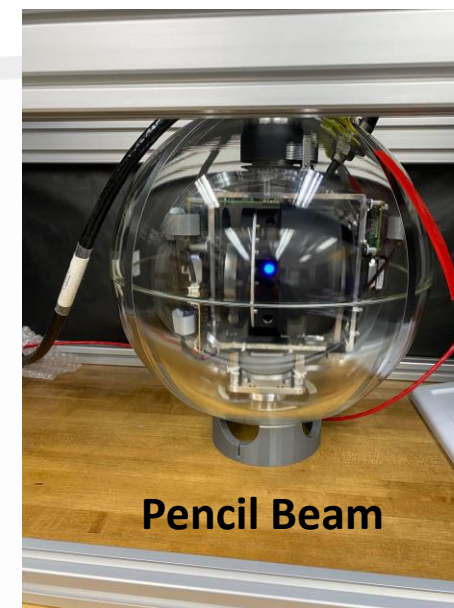
IceCube Upgrade DOMs

- **mDOM**:
 - 24 3-inch PMTs facing all directions
 - About 400 to be deployed
- **D-Egg**:
 - 2 8-inch PMTs facing down and up
 - 2.8 times higher photon sensitivity than existing DOMs
 - About 280 to be deployed
- **DOMs to be deployed in small numbers for testing purposes:**
 - pDOM: Same design as current IceCube DeepCore DOMs
 - **LOM**: prototype for IceCube Gen2
 - **WOM**: module that uses wavelength shifting paint
 - FOM: fiber optic module



IceCube Upgrade Calibration Instruments

- **Instruments for studying the optical properties of the Antarctic ice:**
 - [Precision Optical Calibration Module](#): Can emit isotropic nanosecond-long pulses of light
 - [Pencil Beam](#): Can emit beams of light (smaller than one degree) with 8 wavelengths in any direction with a precision of 0.1 degree
- **Other instruments to be included consist of [acoustic modules](#), radio pulsers, [Swedish Camera devices](#), and a [seismometer](#)**

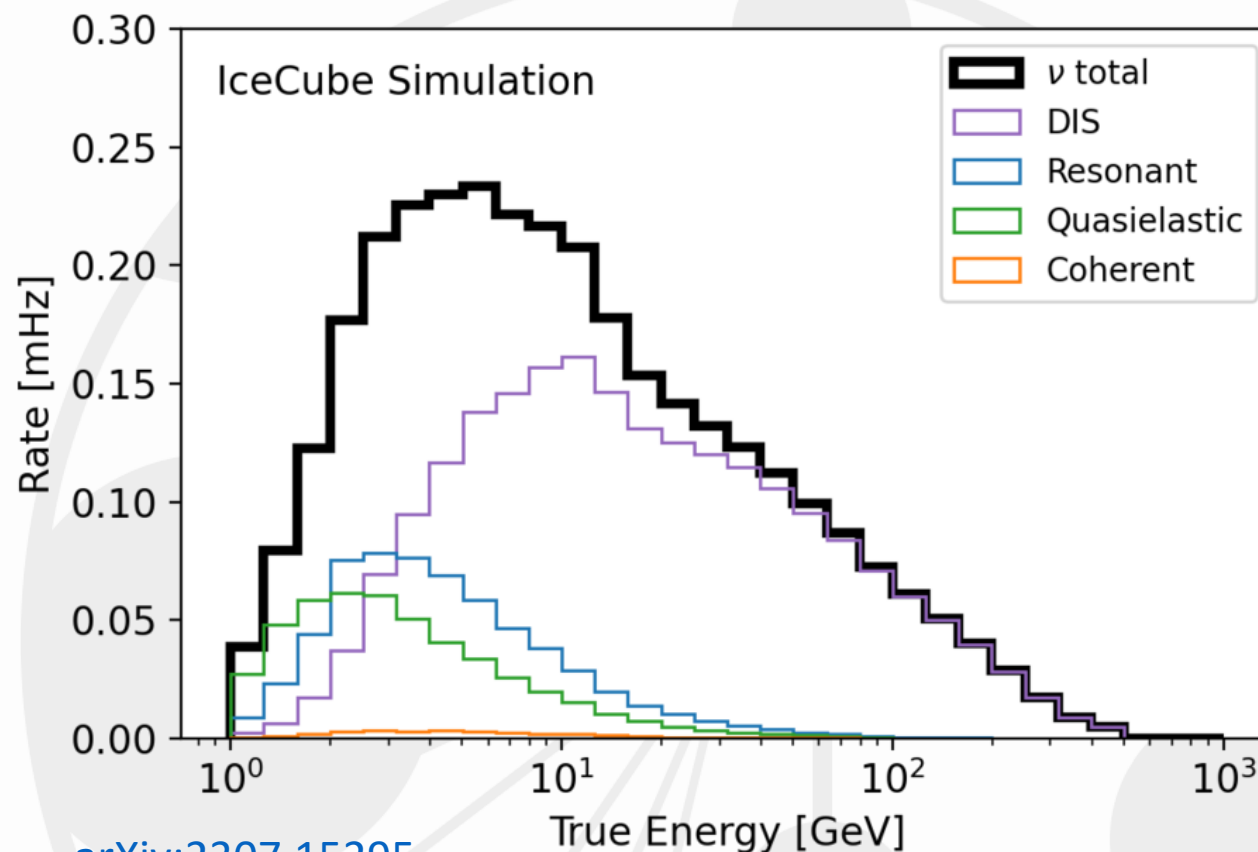


Systematics

Parameter	Nominal	Prior	AOP	ν_τ	NMO
Detector:					
DOM eff. IC86	1.0	± 0.1		free	
DOM eff. ICU	1.0	± 0.05		free	
Ice absorption	1.0	± 0.05		free	
Ice scattering	1.0	± 0.1		free	
Relative eff. p_0	0.10	$[-0.6, 0.5]$		free	
Relative eff. p_1	-0.05	$[-0.15, 0.05]$		free	
Flux:					
$\Delta\gamma_\nu$	0.0	± 0.1		free	
$\Delta\pi^\pm$ yields D	0.0	± 0.3	fixed		free
$\Delta\pi^\pm$ yields G	0.0	± 0.3		free	
$\Delta\pi^\pm$ yields H	0.0	± 0.15		free	
$\Delta\pi^\pm$ yields I	0.0	± 0.61		free	
ΔK^+ yields W	0.0	± 0.4		free	
ΔK^+ yields Y	0.0	± 0.3	free		fixed
ΔK^+ yields Z	0.0	± 0.122	fixed		free
Cross-section:					
M_A^{CCQE} (in σ)	0.0	± 1.0		free	
M_A^{CRES} (in σ)	0.0	± 1.0		free	
M_A^{NCRES} (in σ)	0.0	± 1.0		free	
M_A^{coh} (in σ)	0.0	± 1.0	fixed		free
DIS CSMS	0.0	± 1.0	free		fixed
ν_τ x-sec scale	0.0	$[-1.0, +1.0]$	fixed		free
Normalisation:					
A_{eff} scale	1.0	$[0.1, 2.0]$		free	
Atm. muons:					
Atm. μ scale	1.0	$[0.1, 3.]$		free	
Oscillations:					
θ_{13}	NuFit5.2	0.11	fixed		free
θ_{23}	NuFit5.2	None	free*	free	free
Δm_{31}^2	NuFit5.2	None	free*	free	free
ν_τ normalization	1.0	None	fixed	free*	fixed
mass ordering	NO	n/a	fixed		free*

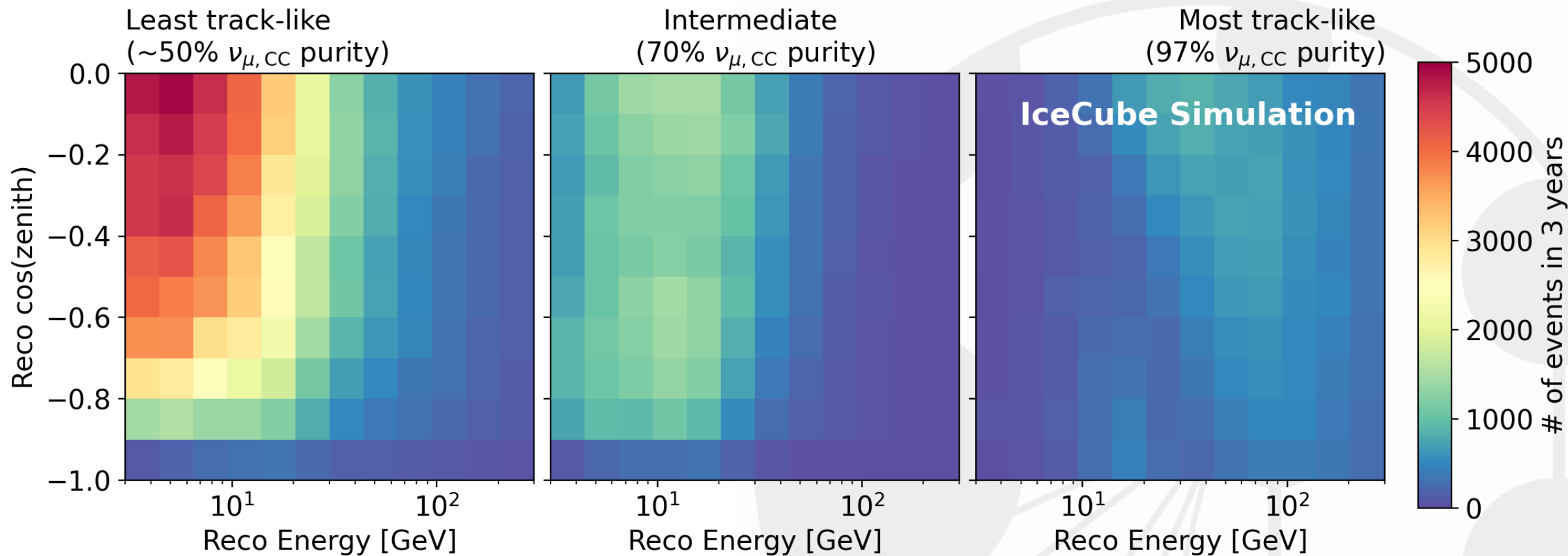
Possible New Systematics

- **Detector systematics due to the new module types and improved ice models**
- **Cross section systematics due to different types of interactions at low energies**
- **Flux uncertainties for lower energy production**
 - Systematics from improved flux models
- **Additional oscillation parameters may become non-negligible**



[arXiv:2307.15295](https://arxiv.org/abs/2307.15295)

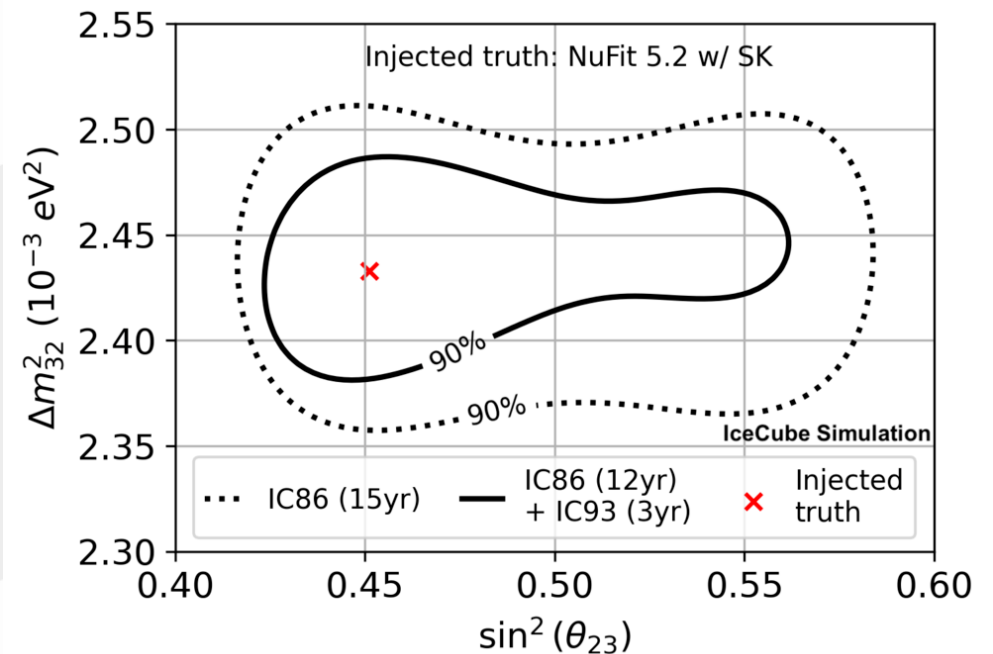
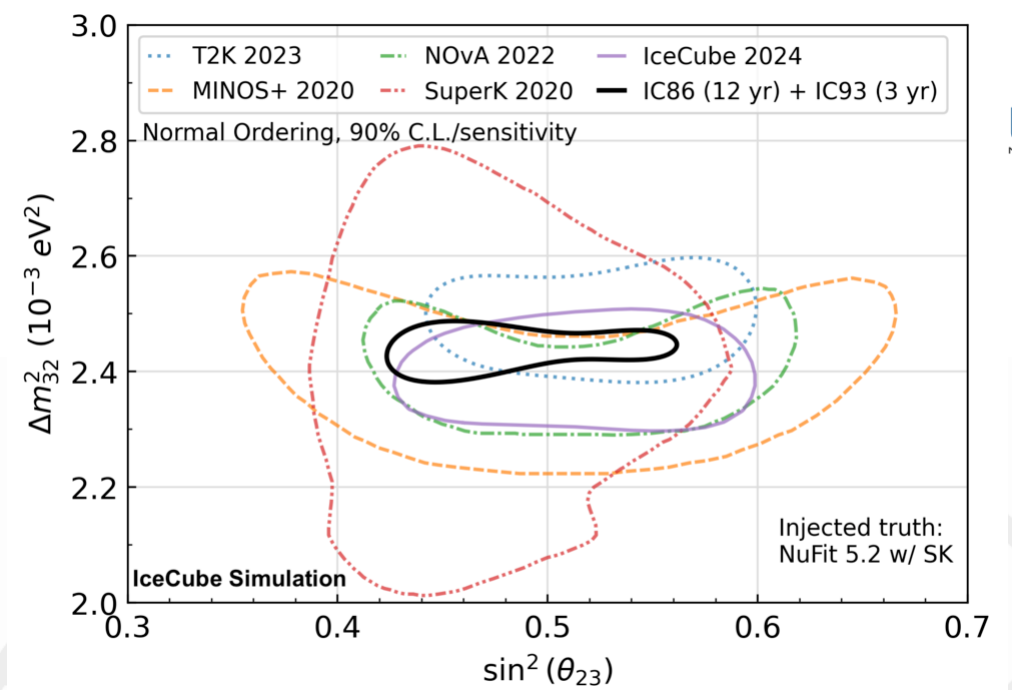
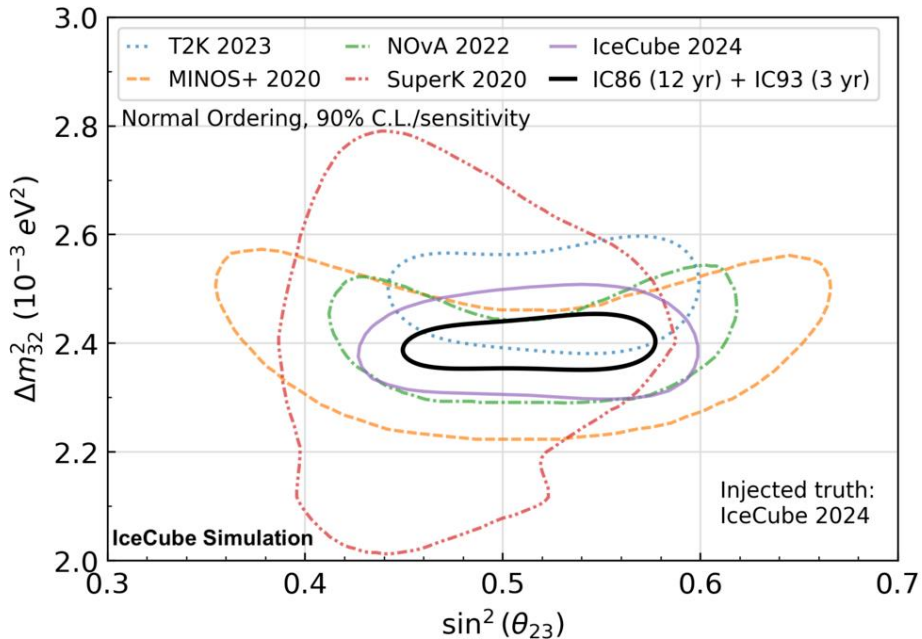
Analyses Bin Counts



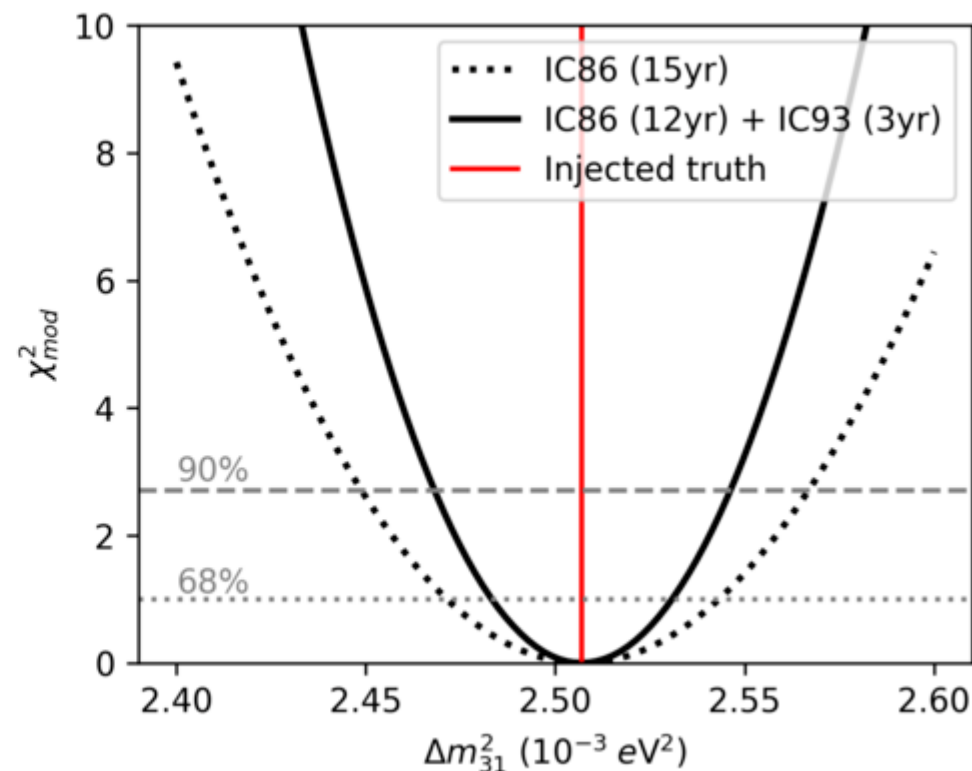
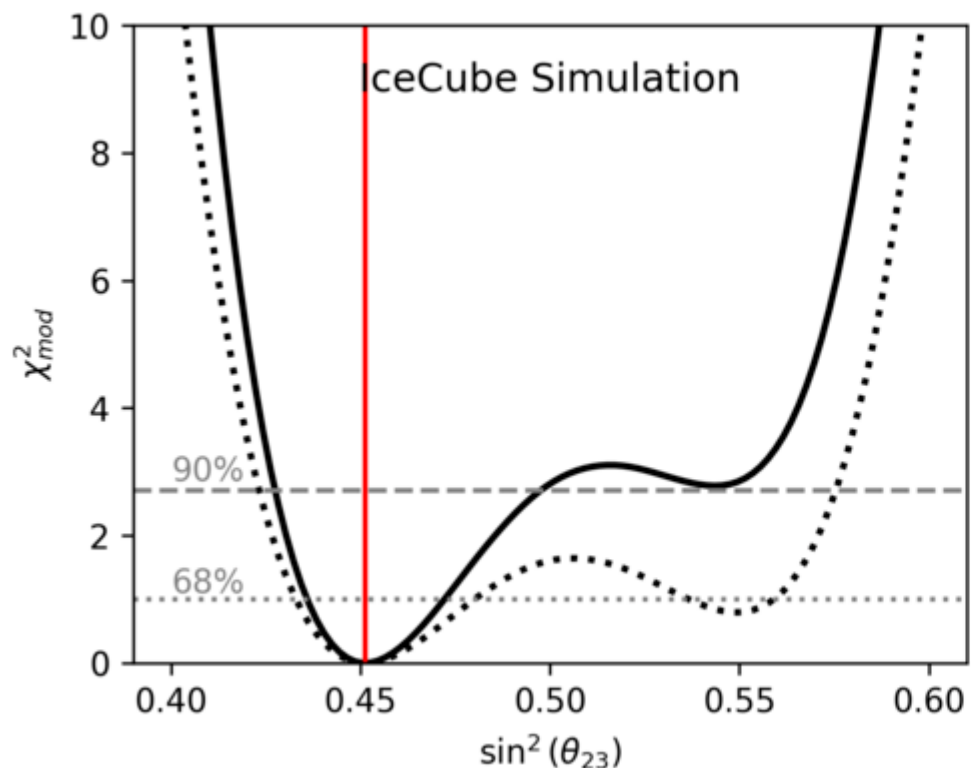
[arXiv:2307.15295](https://arxiv.org/abs/2307.15295)

ν_μ Disappearance

- Similar levels of improvement are shown for different values of θ_{23} with the IceCube Upgrade
- Cannot exclude maximal mixing from 90% contour with NuFit 5.2 w/ SK best fit and the latest IceCube muon neutrino disappearance analysis



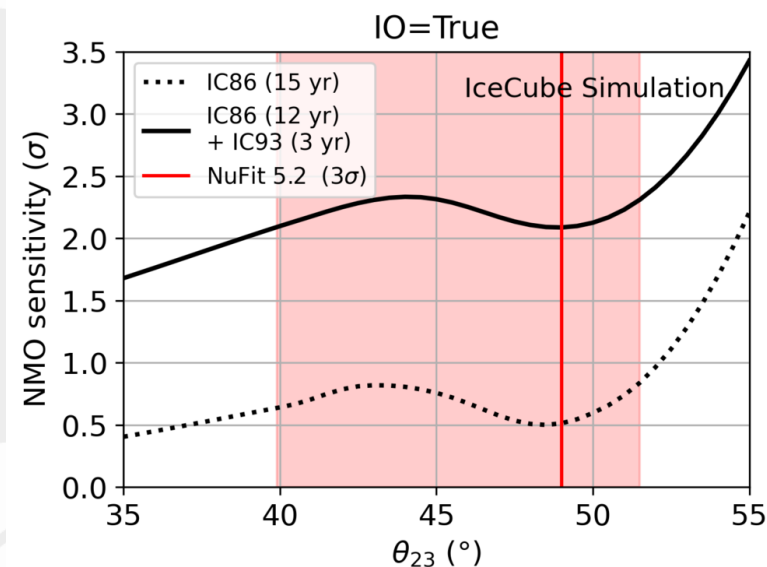
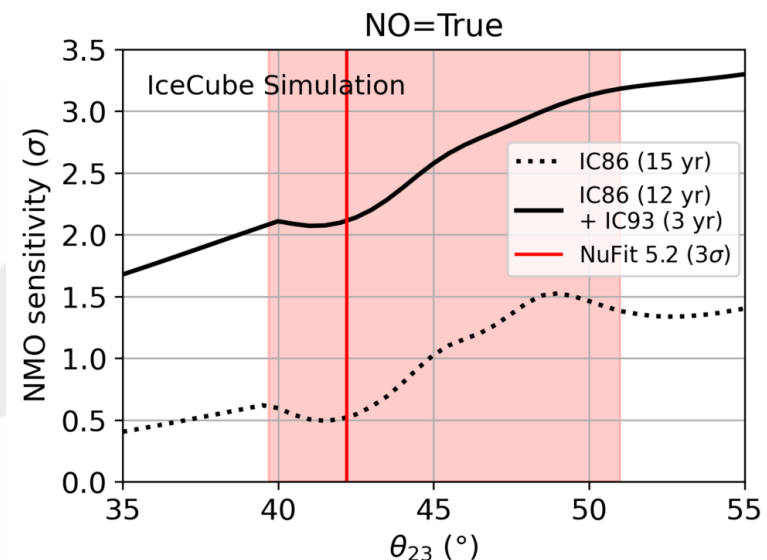
Breaking the Octant Degeneracy



- **We can see from the $\sin^2(\theta_{23})$ sensitivity that one octant is preferred over the other, and that maximal mixing is disfavored**
 - This behavior is likely caused by matter effects, since they are proportional to $\sin^2(\theta_{23})$

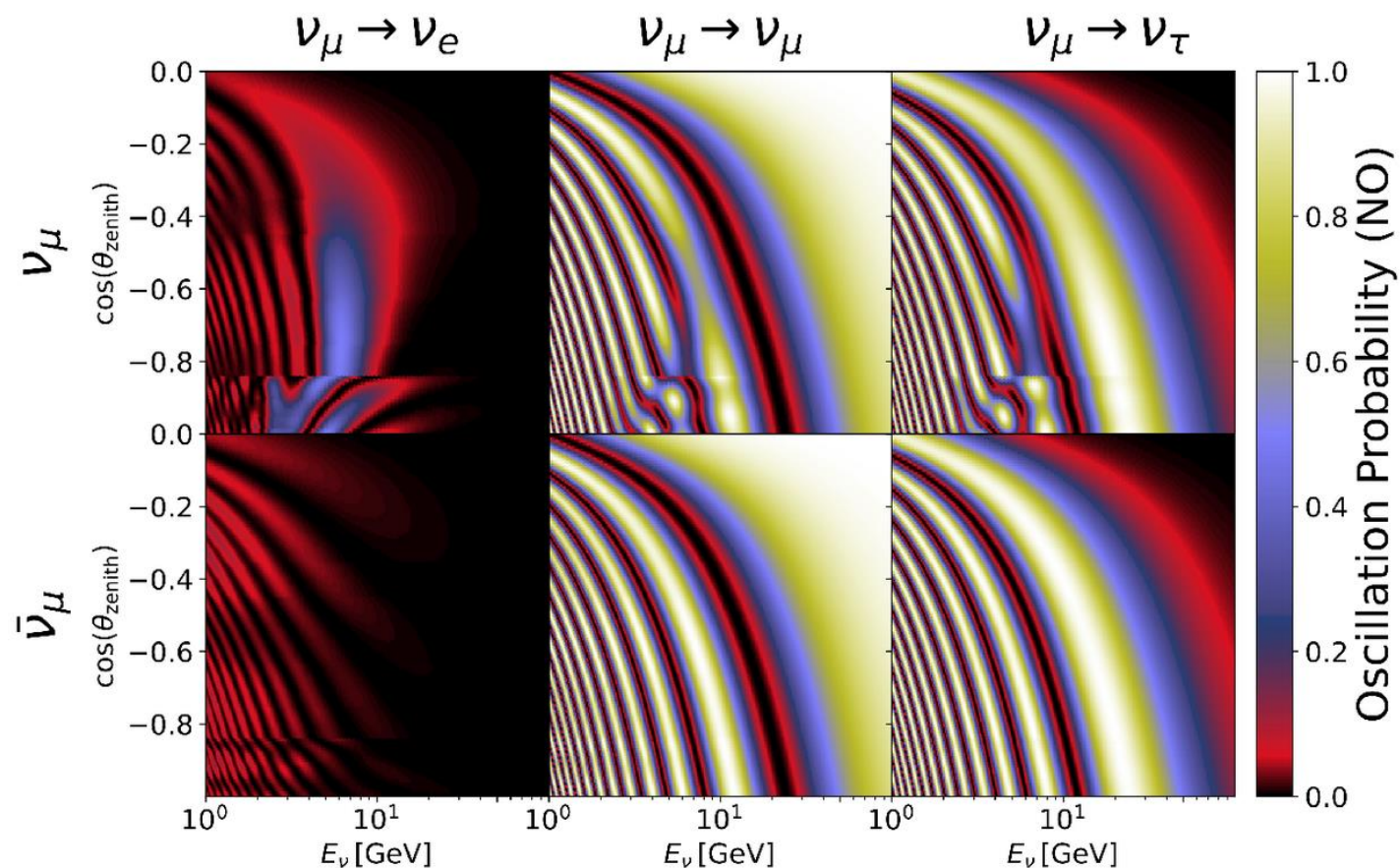
Upgrade NMO θ_{23} Dependence

- The bands shown in slide 14 correspond to the values of θ_{23} in the shaded region of the plots shown here
- The true value of θ_{23} has a significant impact on the achievable sensitivity to the NMO



NMO with IceCube DeepCore

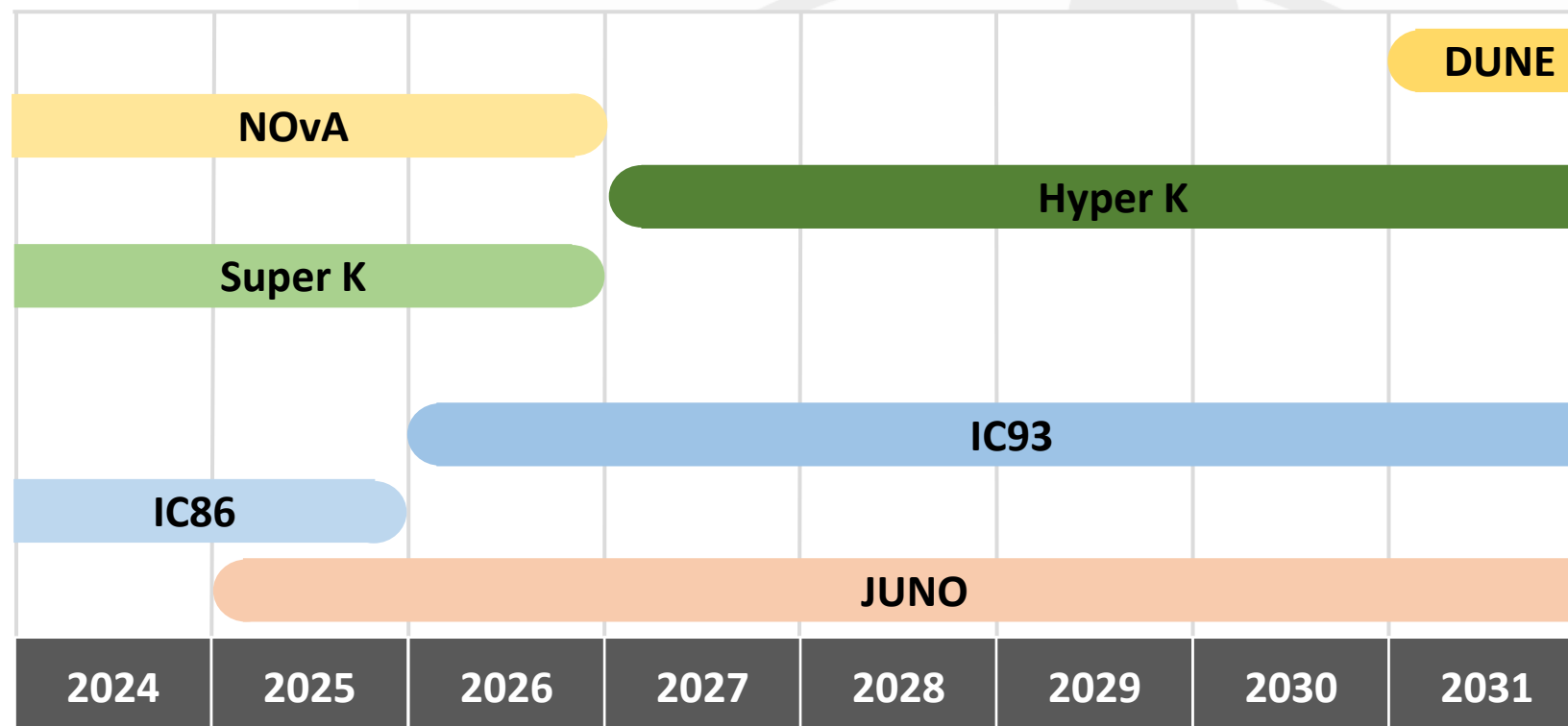
- **Matter effects will only manifest in the neutrino or antineutrino channel depending on which mass hierarchy is true**
- **The atmospheric flux contains more neutrinos than antineutrinos**
 - Important since IceCube cannot distinguish neutrinos from antineutrinos
- **Measure the intensity of the matter effect signal to determine if the matter effects are from the neutrino or antineutrino channel**
- **For latest results see Finn's talk**



[Phys. Sci. Forum 2023, 8\(1\), 7](#)

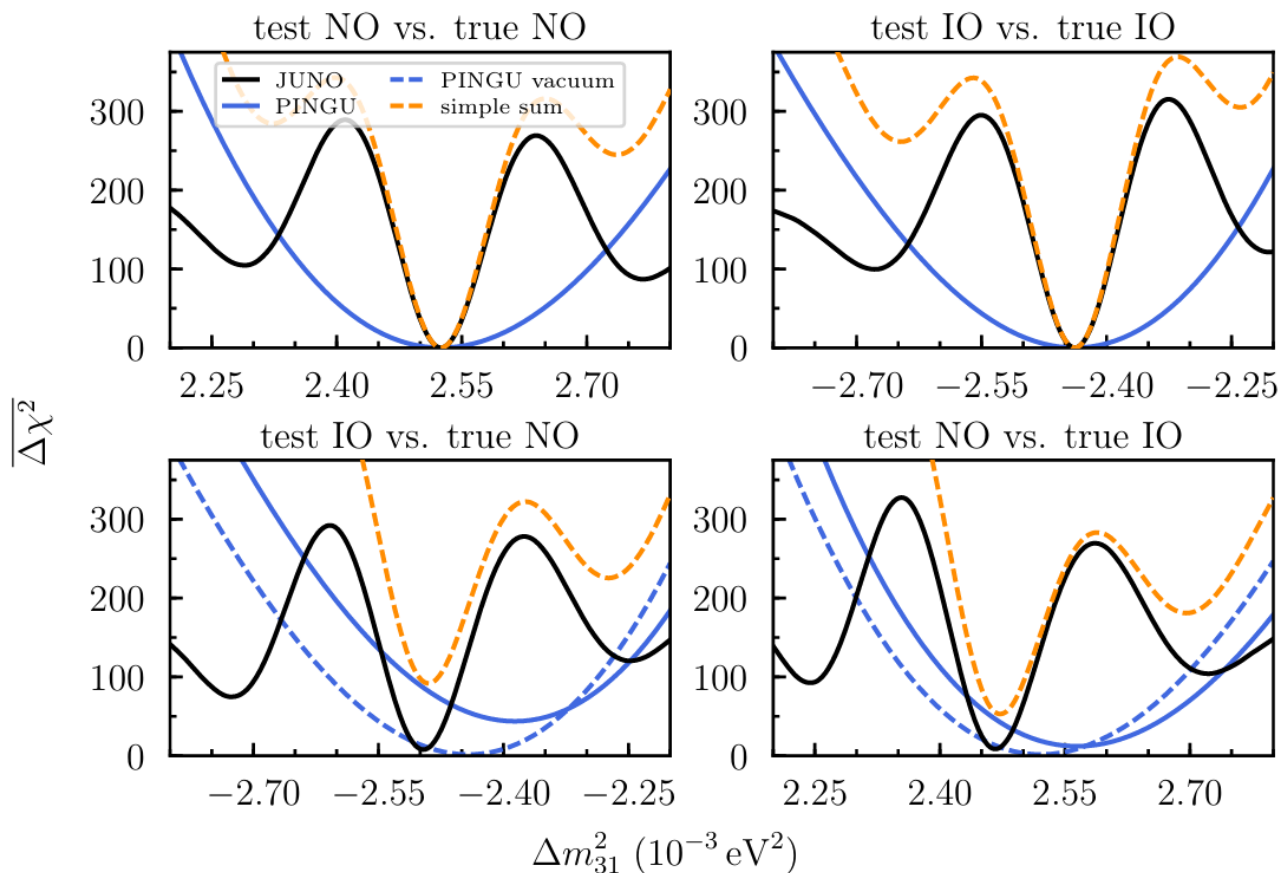
Experiment Timeline

- The IceCube Upgrade will become operational at a time when other oscillation experiments will not be running
- The JUNO experiment will start collecting data shortly before the IceCube Upgrade becomes operational



NMO with Upgrade and JUNO

- **There is an inherent discrepancy in measurements of $|\Delta m_{31}^2|$ from muon neutrino disappearance and electron neutrino disappearance experiments when assuming the wrong mass ordering**
- **Any pair of experiments with sufficient precision can take advantage of this discrepancy**
 - IceCube Upgrade and JUNO are two such experiments
- **Note: The plots shown here utilize PINGU (a proposed low energy extension to IceCube) but the same physics holds true for the IceCube Upgrade**

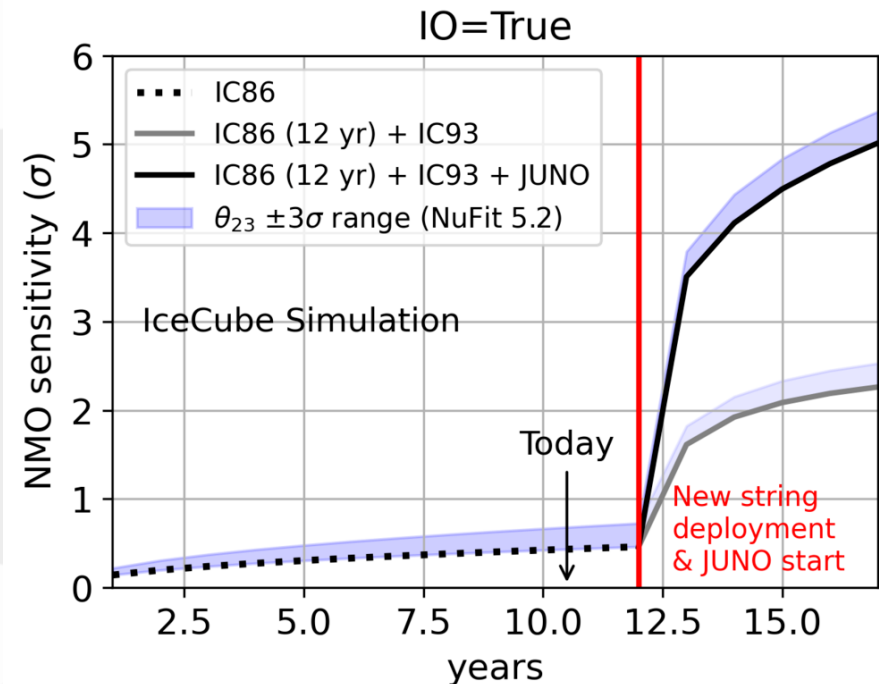
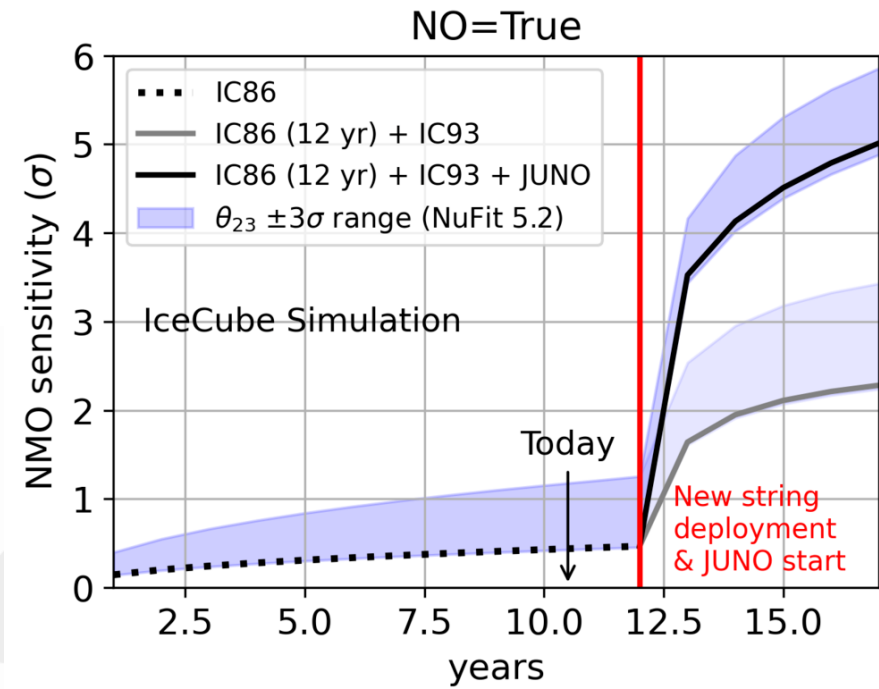


IC Upgrade and JUNO Simulation

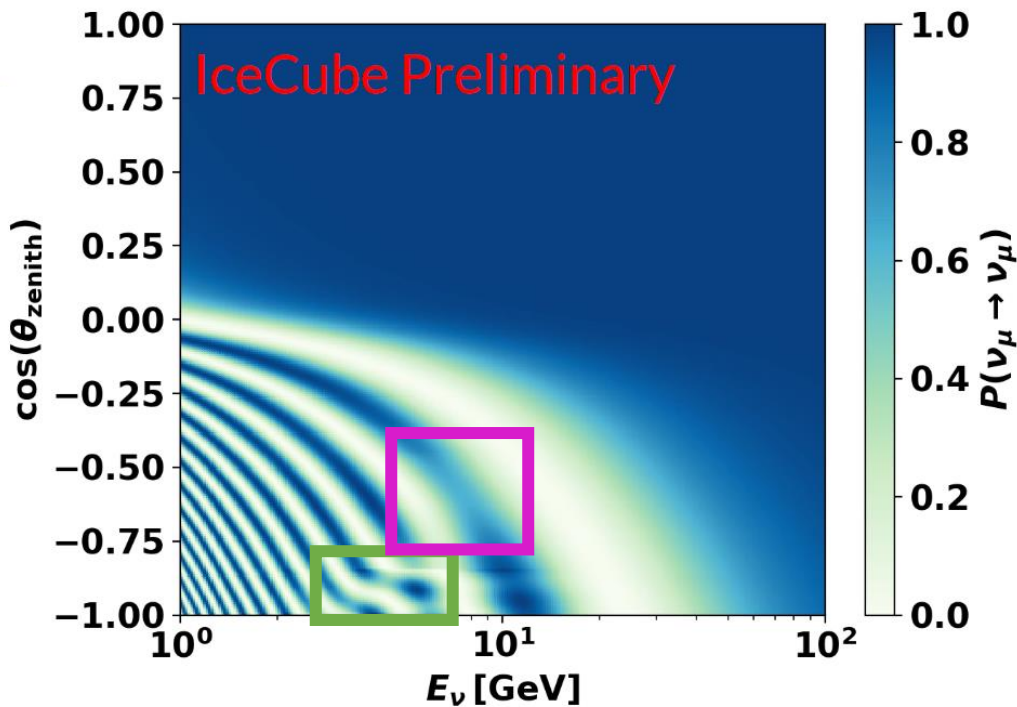
- **The best way to take advantage of the discrepancy in measurements of $|\Delta m_{31}^2|$ is to perform a joint fit with both JUNO and IceCube Upgrade**
- **The joint fit is done by adding the metric values of the two detectors, similar to what is done in a global fit**
- **Assumptions about JUNO used in simulation from [arXiv:1507.05613](https://arxiv.org/abs/1507.05613)**
 - Utilizes an 8-core JUNO configuration

Upgrade and JUNO

- **With just IC93, we can expect to achieve a sensitivity of 2 to 3 σ in a few years**
 - Depends on the true mass hierarchy and the true value of θ_{23}
- **With a joint fit a sensitivity of 5 σ could be possible with 3 to 7 years of data**
 - For both mass orderings we see a significant improvement when combining the IceCube Upgrade with JUNO



Matter Effects



- Earth's matter is full of electrons, which introduces the following potential to the Hamiltonian in the flavor basis:

$$H_m = H_{vac} + V_{CC} \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

$$V_{CC} = \pm\sqrt{2}G_F N_e = \pm 7.6 \times 10^{-14} \times Y_e \left[\frac{\rho}{g/cm^3} \right] eV$$

- Matter effects are only applied to neutrinos or antineutrinos depending on the mass ordering
- **MSW resonance**: effective mixing angle is maximized
- **Parametric resonance**: for periodic density profiles the oscillation phase is modified, producing large flavor transitions
- Can be leveraged for studies on neutrino mass ordering, Earth's interior structure, breaking the octant degeneracy of θ_{23} , and studies of physics beyond the Standard Model

Matter Induced ν_e Appearance

- **Studies that require matter effects (NMO, probing the structure of the Earth) optimize their analyses for regions affected by matter effects**

- $P_{\mu e} \approx 4S_{13}^2 S_{23}^2 \frac{\sin^2(A-1)\Delta}{(A-1)^2}$, asymmetric about $\theta_{23} = 45^\circ$

- $A = \pm \frac{2E}{\Delta m_{31}^2} (7.56 \times 10^{-14} eV) \left(\frac{\rho(x)}{g/cm^3} \right) Y_e(x)$

- **Resonant enhancement of electron neutrino appearance occurs at energies such that $A \approx 1$**

- This matter induced oscillation channel manifests below 20 GeV
- Note it can only be one if the signs of the potential (neutrinos = +, antineutrinos = -) and Δm_{31}^2 multiply to 1

