
Study and Characterization of Nano-structured Electron Sources for Accelerator Applications

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Arizona State University



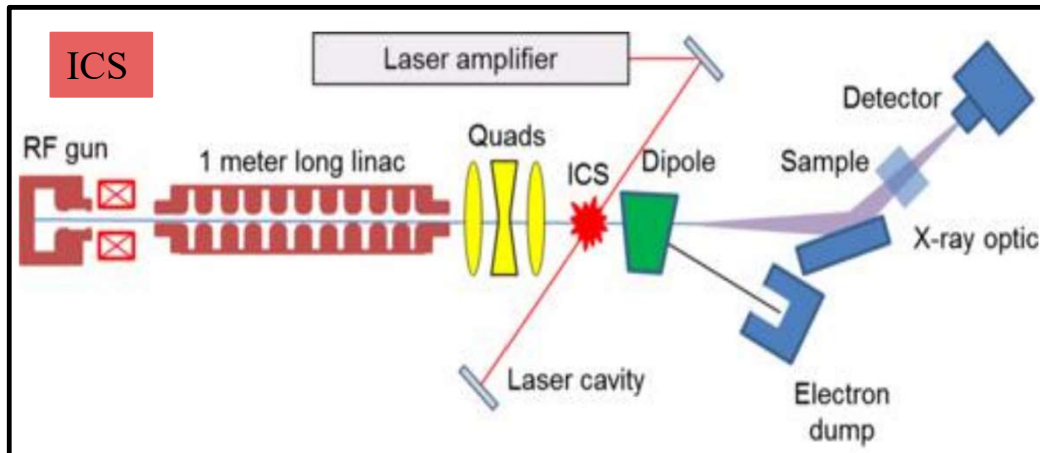
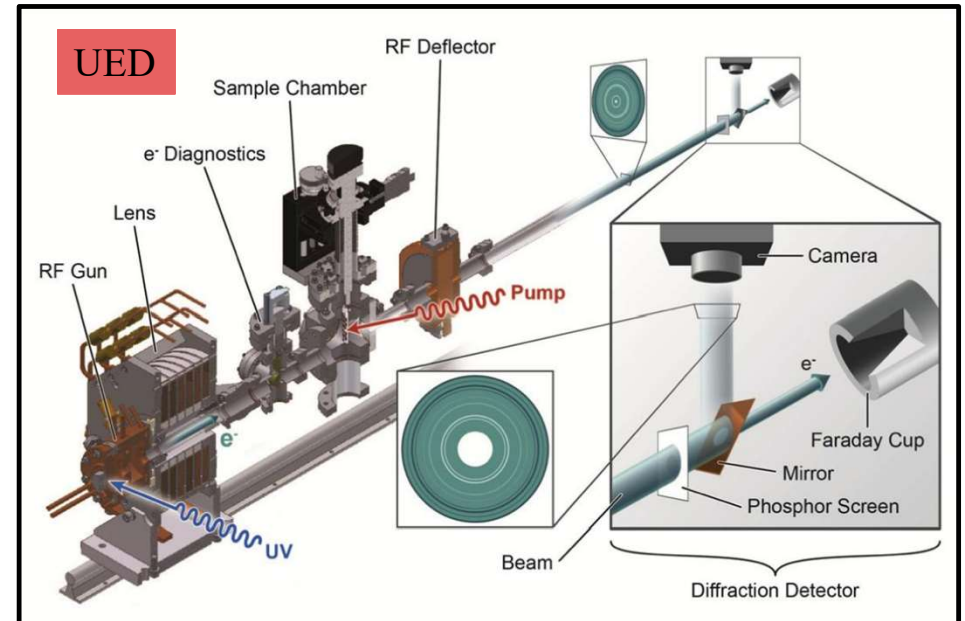
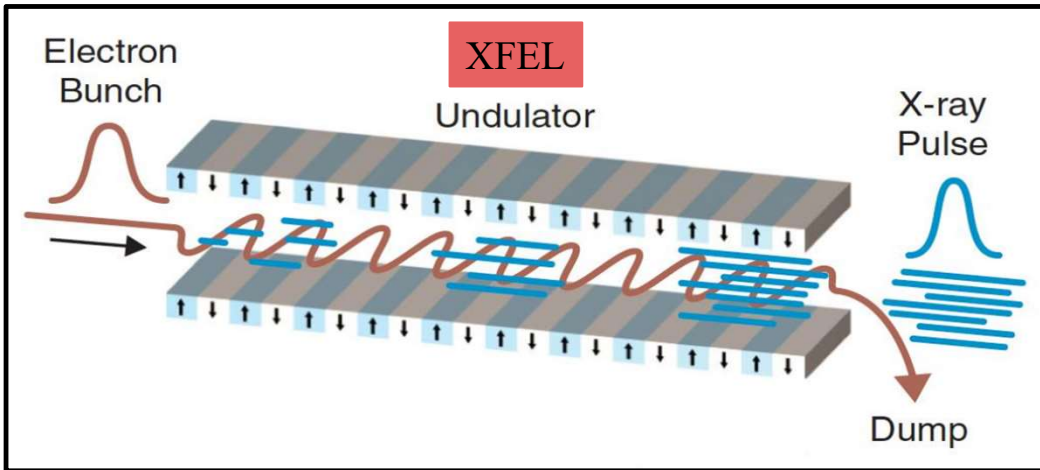
Outline



- Introduction
- Photoemission Electron Microscope (PEEM): A Tool to Characterize Photocathodes
- (N)UNCD Photocathode
- Cs_3Sb Photocathodes
- Plasmonic Spiral
- Conclusion and Future Work



Introduction



Reviews of Modern Physics 88.1 (2016): 015007.

New Journal of Physics 17.6 (2015): 063004.

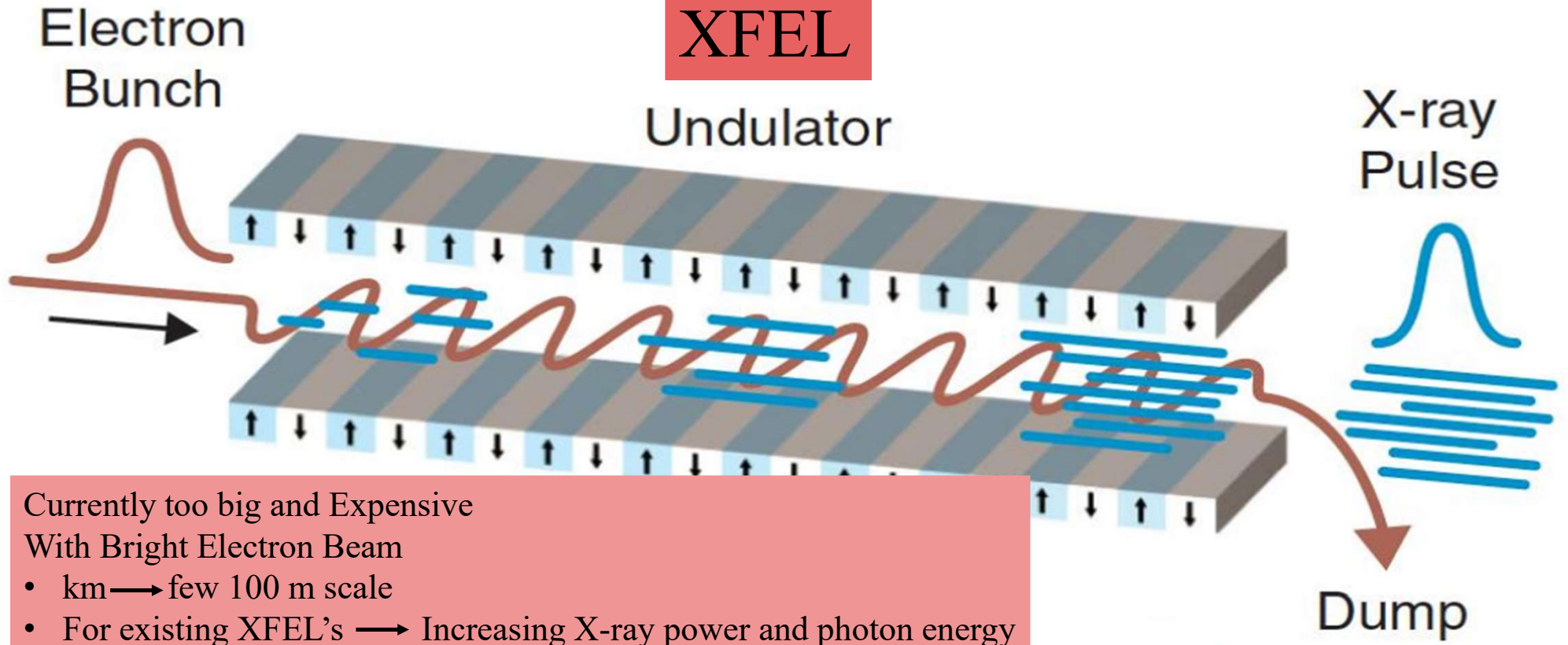
Physical Review Special Topics-Accelerators and Beams 17.12 (2014): 120701.



Introduction



XFEL



Currently too big and Expensive
With Bright Electron Beam

- km \rightarrow few 100 m scale
- For existing XFEL's \rightarrow Increasing X-ray power and photon energy

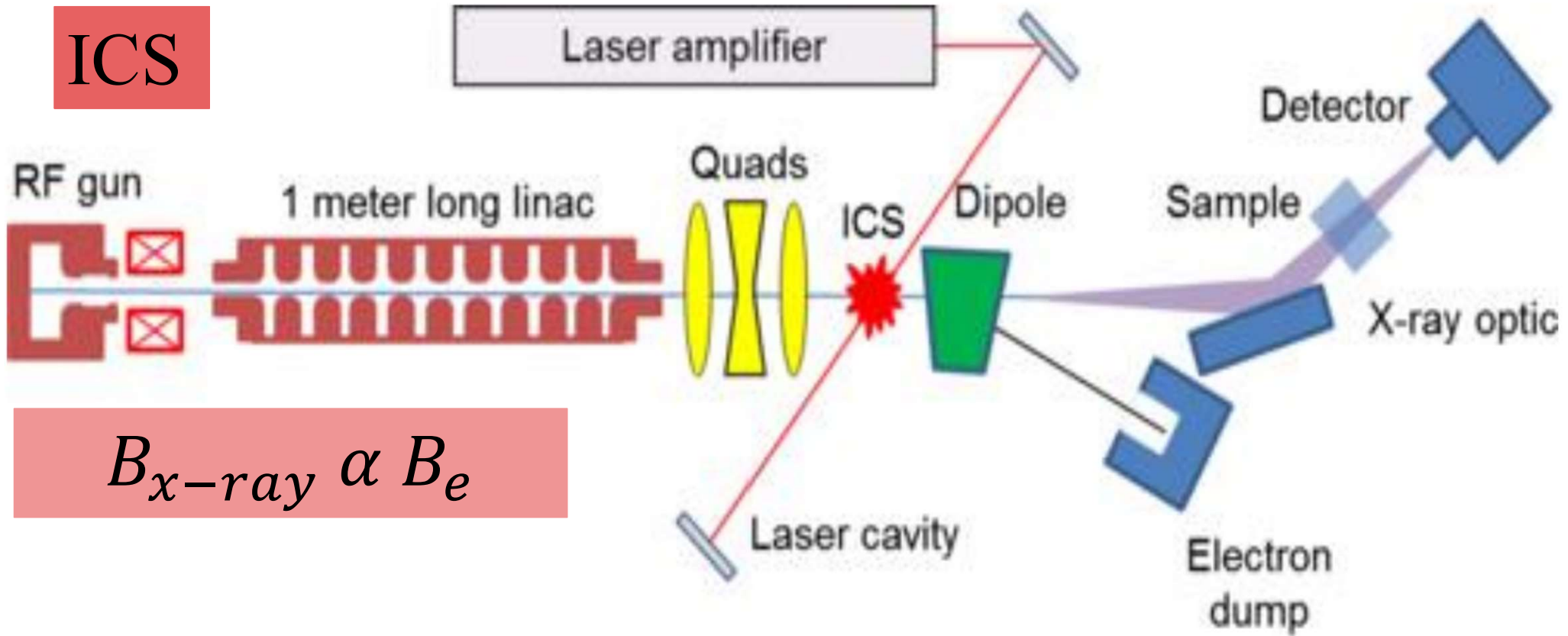
Reviews of Modern Physics 88.1 (2016): 015007.



Introduction



ICS



$$B_{x\text{-ray}} \propto B_e$$

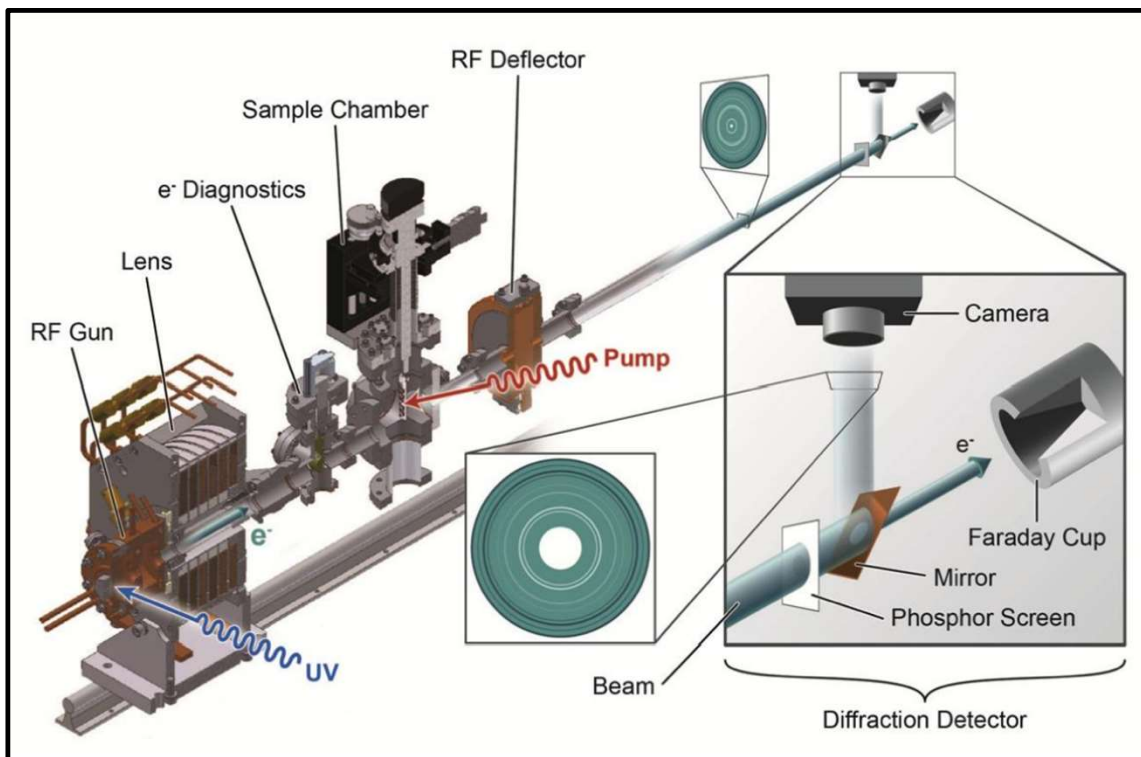
Physical Review Special Topics-Accelerators and Beams 17.12 (2014): 120701.



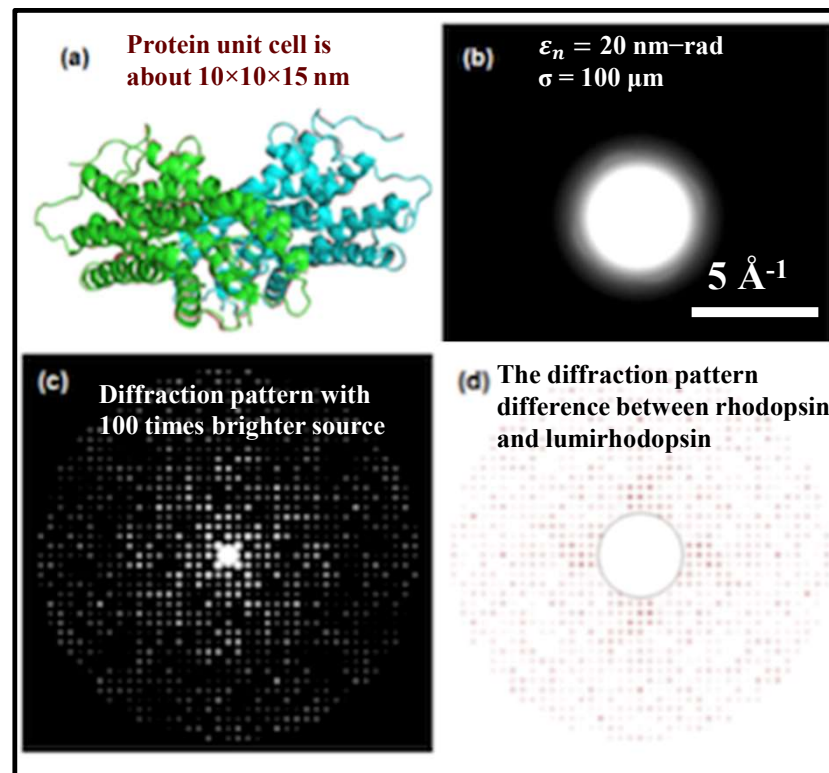
Introduction



UED



UED: Imaging Proteins



New Journal of Physics 17.6 (2015): 063004.

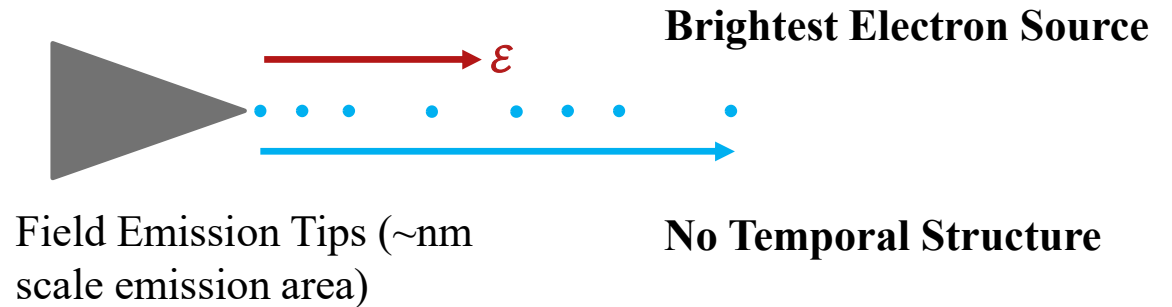
<https://www.classe.cornell.edu/>



Temporal Structure of Electron Beam

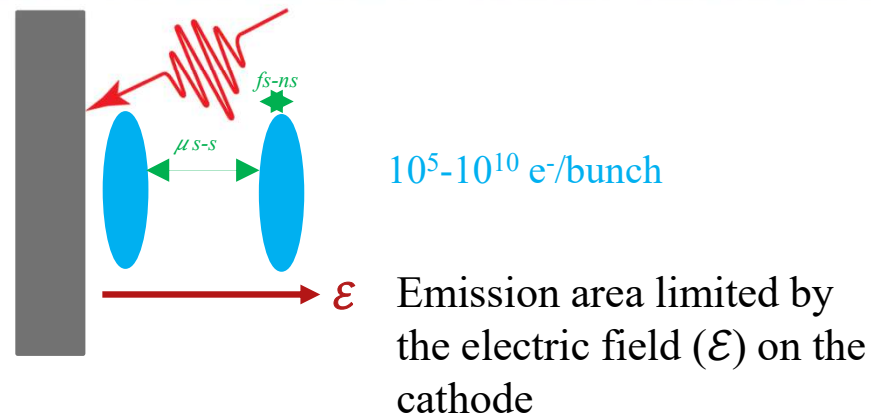
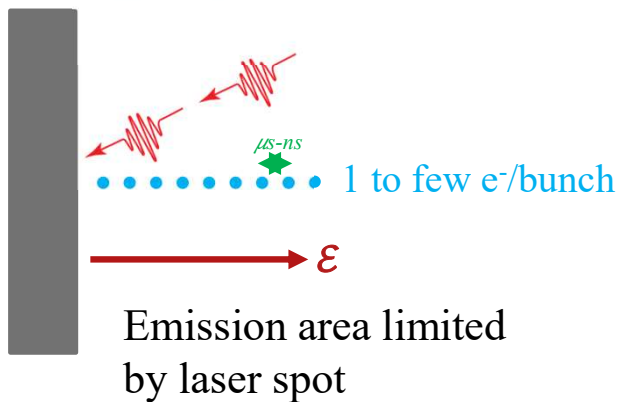


- Continuous Electron Beam
- Steady State Microscopy Applications



- Pulsed Electron Beam
- Stroboscopic UED/M
- X-ray Sources, Colliders, Single Shot UED/M etc.

Goal: Increase Brightness of Pulsed Electron Beam

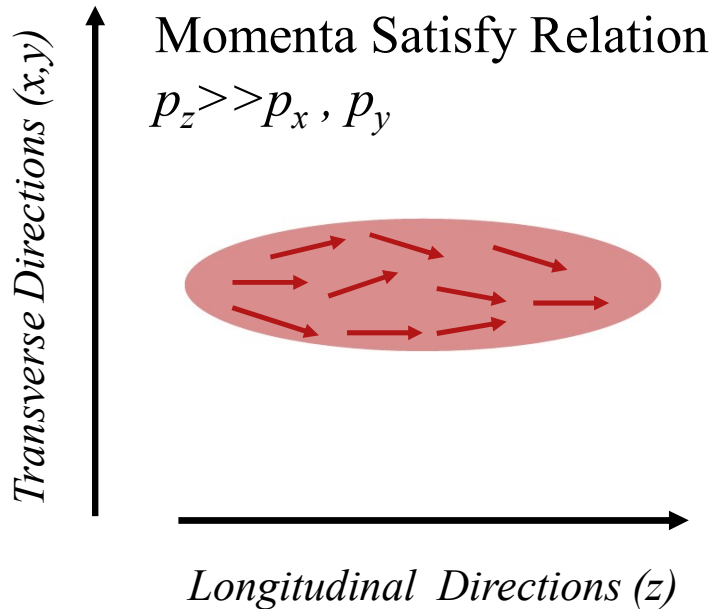




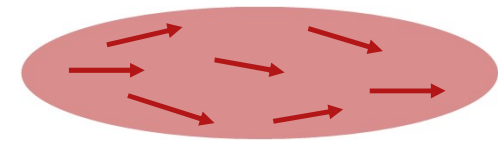
Electron Beam



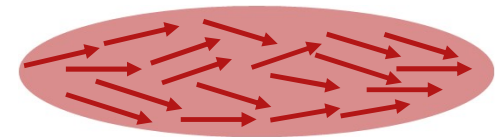
What is an electron beam?
Bunch of electrons travelling in similar direction



What is Bright electron beam?



Low Brightness



High Brightness





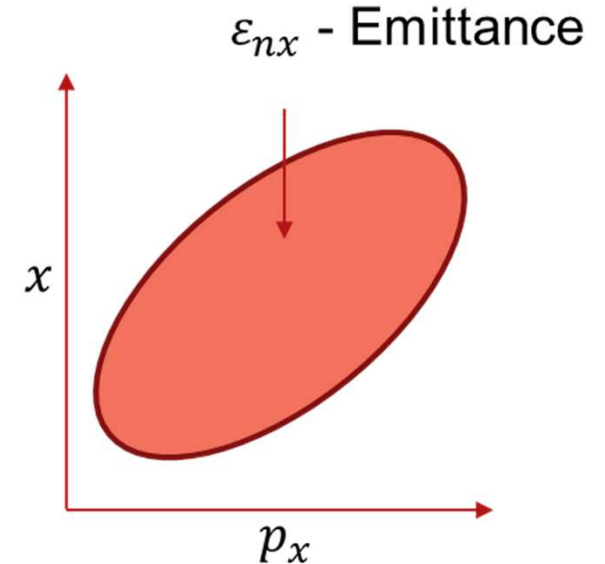
Beam Brightness



Beam Brightness = Charge density in Phase space

$$\mathbf{B} = \frac{I}{\epsilon_{nx}\epsilon_{ny}}$$

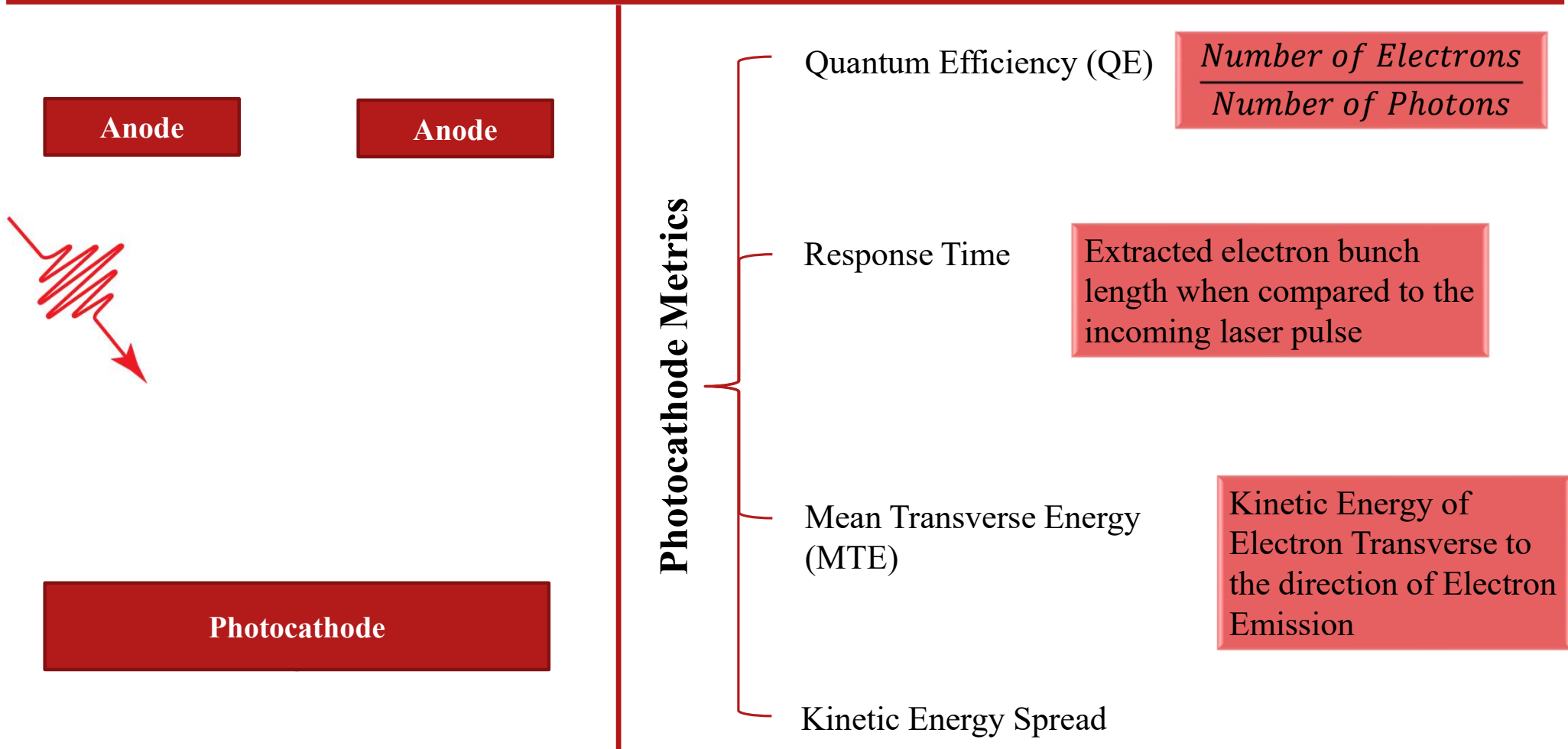
According to Liouville's theorem, Brightness remains invariant for Hamiltonian systems



Photocathode determines the maximum possible brightness



Photocathode Parameters





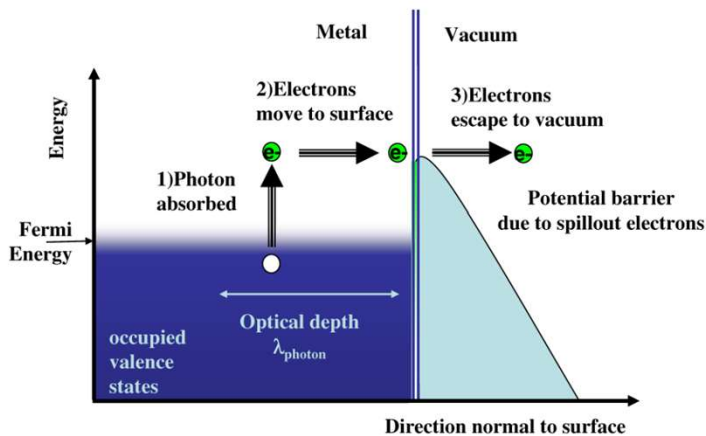
Photocathode Parameters



Quantum Efficiency

Dowell-Schmerge (DS) model

- Free Electron Gas Theory
- Spicer's Three Step Model



$$QE \propto (\hbar\omega - \Phi_{\text{effective}})^2$$

$\hbar\omega$ = Photon Energy

$\Phi_{\text{effective}}$ = Effective Work Function

Dowell et.al, 12.7 (2009): 074201.

Response Time

Response time of a photocathode is given in terms of the extracted electron bunch length when compared to the incoming laser pulse.



Fast response time (< 1 ps)
Desirable for Various
Photoinjector Applications

Emittance

$$\epsilon_{nx} = \frac{1}{mc} \sqrt{\langle x^2 \rangle \langle p_x^2 \rangle - \langle xp_x \rangle^2}$$

$$\epsilon_{nx} = \frac{\sigma_x \sigma_{p_x}}{mc} = \sigma_x \sqrt{\frac{\text{MTE}}{mc^2}}$$

$$\text{MTE} = \frac{1}{2} m \langle v_x^2 \rangle + \frac{1}{2} m \langle v_y^2 \rangle$$

$$\text{MTE} = \frac{1}{3} (\hbar\omega - \Phi_{\text{effective}})$$

MTE dependance:

- Photocathode Parameters
 - Material & Temperature
 - Surface Morphology
- Laser Parameter
 - Photon Energy ($\hbar\omega$)
 - Fluence



Photocathode Brightness



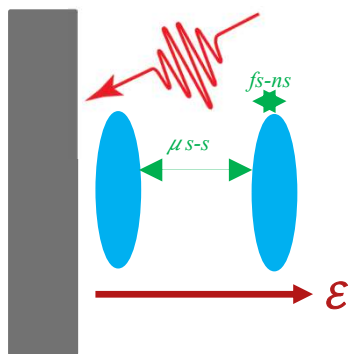
$$B = \frac{I}{\epsilon_{nx}\epsilon_{ny}}$$

$$\epsilon_{nx} = \frac{\sigma_x \sigma_{p_x}}{mc} = \sigma_x \sqrt{\frac{MTE}{mc^2}}$$

$$MTE = \frac{1}{2} m \langle v_x^2 \rangle + \frac{1}{2} m \langle v_y^2 \rangle$$

• Pulsed Electron Beam

➤ X-ray Sources, Colliders, Single Shot UED/M etc.



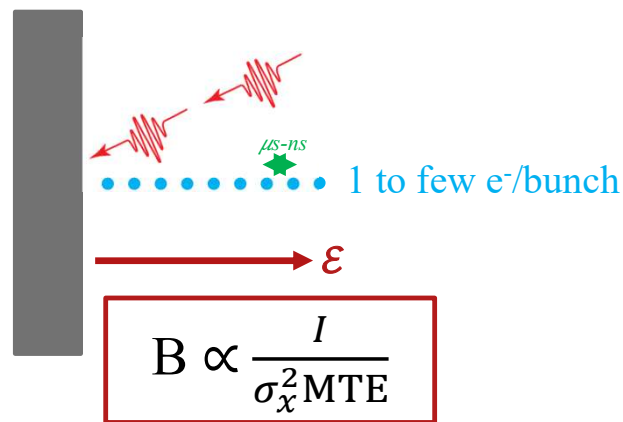
$$B \propto \frac{I}{\sigma_x^2 MTE}$$

$$I \propto \sigma_x^2 \mathcal{E}$$

$$B \propto \frac{\mathcal{E}}{MTE}$$

• Pulsed Electron Beam

➤ Stroboscopic UED/M



$$B \propto \frac{I}{\sigma_x^2 MTE}$$

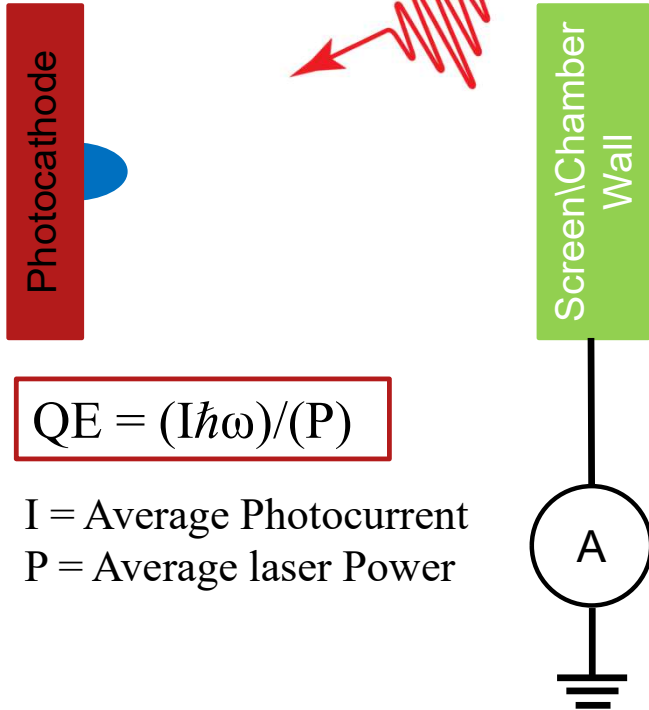
Few μm limited by the diffraction limit of light



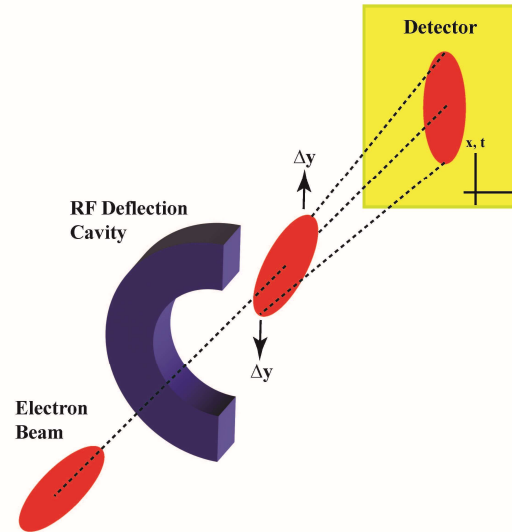
Measuring Photocathode Parameters



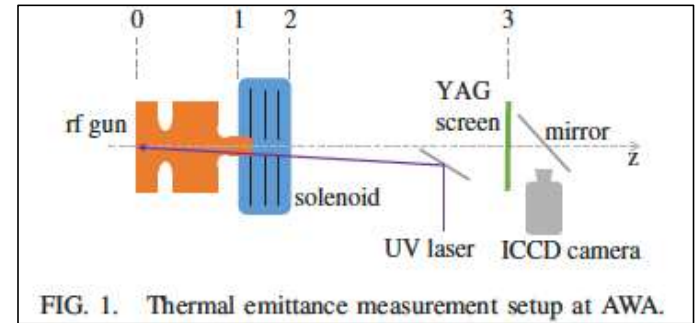
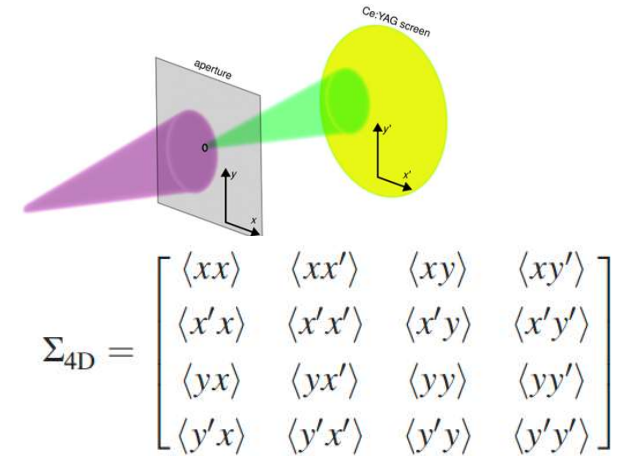
Quantum Efficiency



Response Time



Emittance

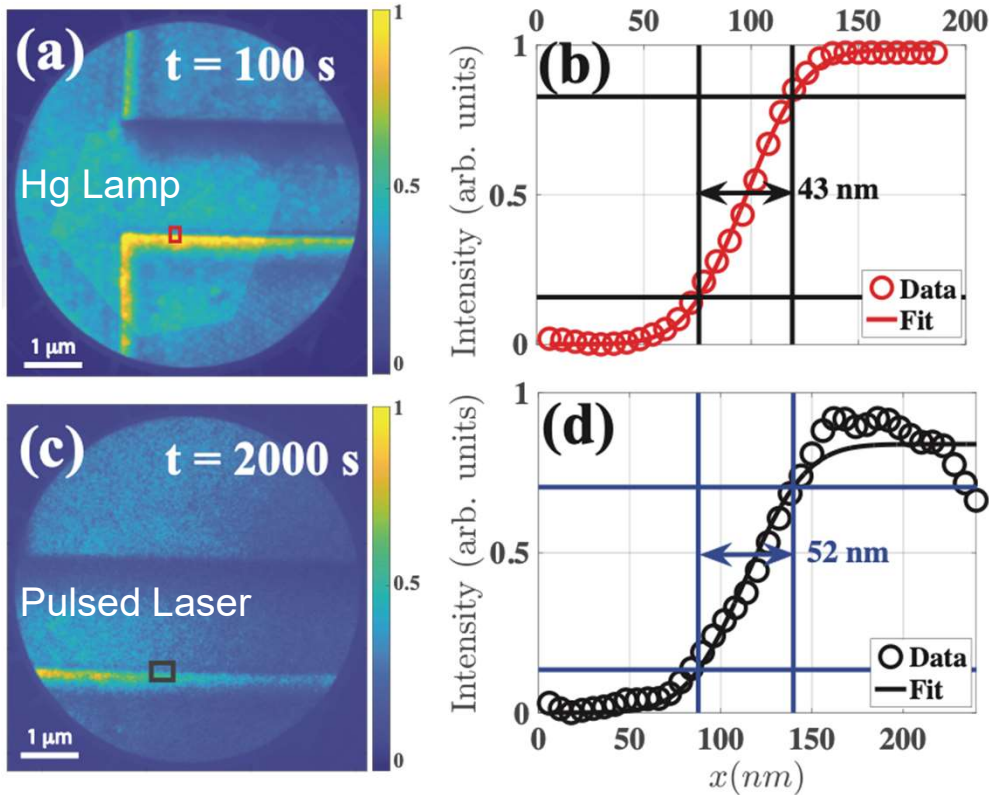




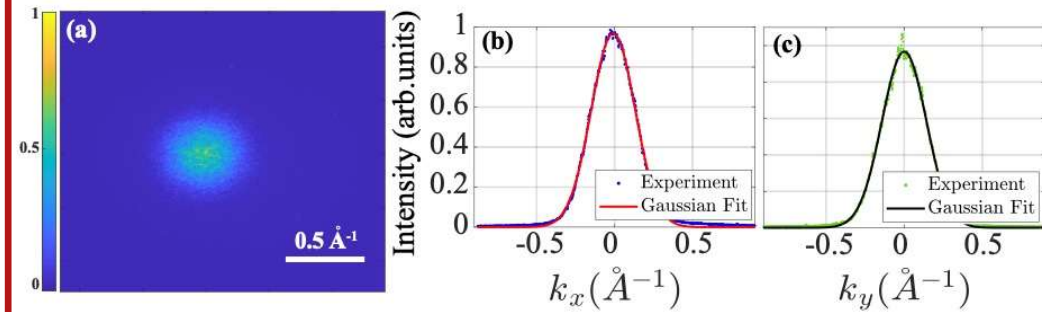
PEEM: Characterize Photocathodes



Real Space: Measure σ_x and I



k Space: Measure σ_{p_x} or MTE



$$\text{MTE} = \frac{\hbar^2 \sigma^2}{2m}$$

$$\sigma = \sqrt{\sigma_{k_x}^2 + \sigma_{k_y}^2}$$

Resolution
 k Space 7.4 $\text{m}\text{\AA}^{-1}$
 (MTE 0.2 meV for 25 meV)



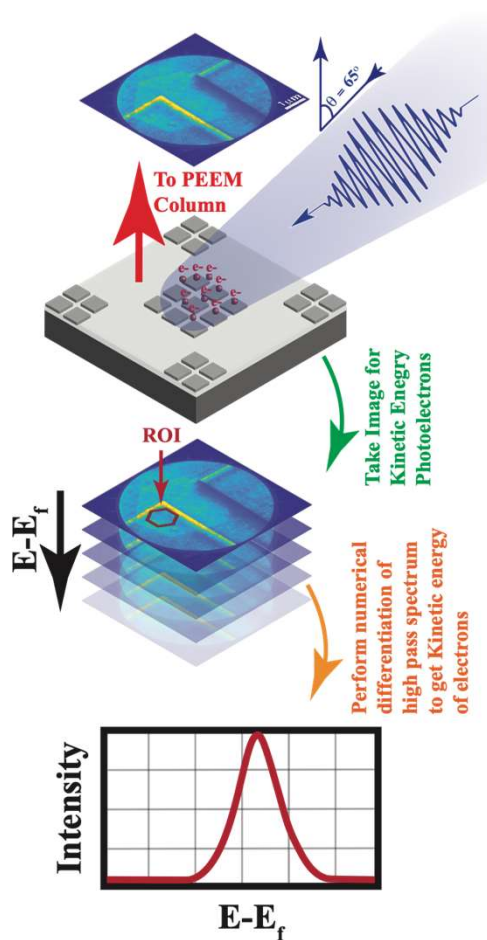
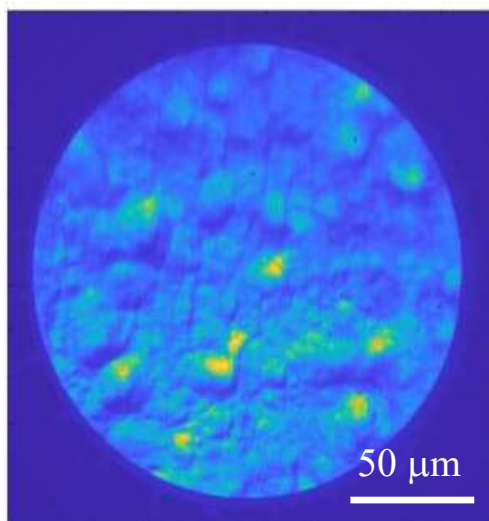
PEEM: Characterize Photocathodes



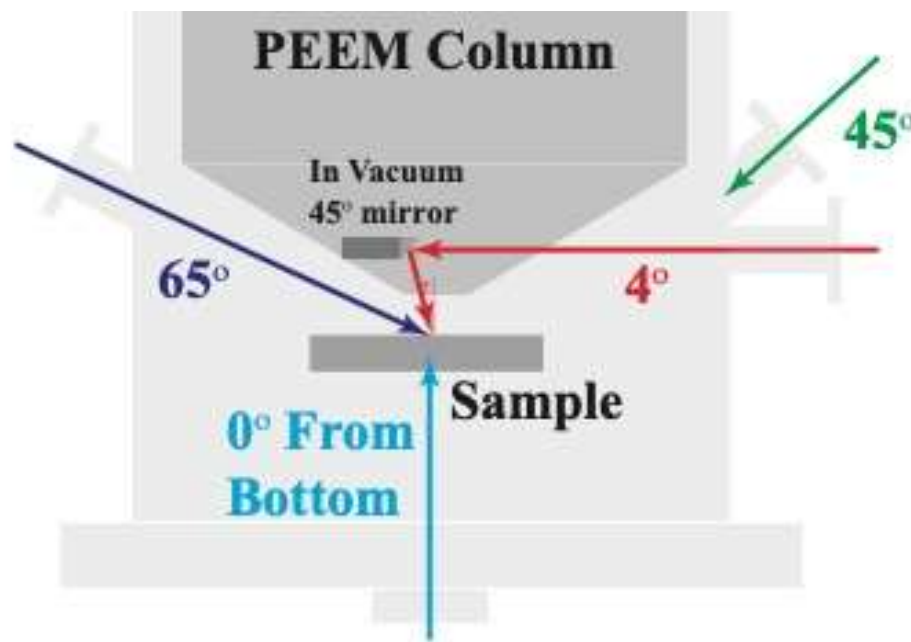
Measure Kinetic Energy

Resolution: 62 meV

Measure Photocathode Uniformity



Various Angle of Incidence in Focus PEEM



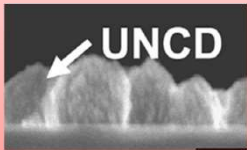


Research Work: Various Photocathodes



(N)UNCD

MTE limited to 70 meV due to physical & chemical roughness



Kachwala, A., Chubenko, O., Kim, D., Simakov, E. I., & Karkare, S. (2022). Quantum efficiency, photoemission energy spectra, and mean transverse energy of ultrananocrystalline diamond photocathode. *Journal of Applied Physics*, 132(22).

Cs₃Sb

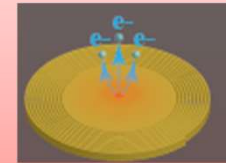
Work function = 1.5 eV
Record low MTE ~ 30 meV



Kachwala, A., Saha, P., Bhattacharyya, P., Montgomery, E., Chubenko, O., & Karkare, S. (2023). Demonstration of thermal limit mean transverse energy from cesium antimonide photocathodes. *Applied Physics Letters*, 123(4).

Plasmonic spiral

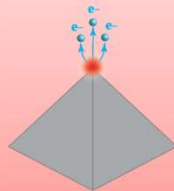
Record low emittance ~40 pm-rad



Kachwala, A., et al., *IPAC 2023/2024*.
arXiv preprint arXiv:2406.08678 (2024).

(N)UNCD Tip

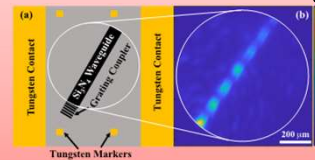
Thermalized electron emission



Kachwala, A., Chubenko, O., Kim, D., Simakov, E. I., & Karkare, S. (2024). Ultrafast laser triggered electron emission from ultrananocrystalline diamond pyramid tip cathode. *Journal of Applied Physics*, 135(12).

Photonics Integrated Cathodes

Record evanescent mode
photoemission confined to 1 μm spot



Kachwala, A., et al., *NAPAC 2022*.
Kachwala, A., et al., *IPAC 2023*.
Manuscript Under Preparation.

Applied Physics Letters 120.19 (2022): 194102.
Chemical Physics Letters 430.4-6 (2006): 345-350.

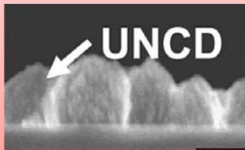


Research Work: Various Photocathodes



(N)UNCD

MTE limited to 70 meV due to physical & chemical roughness



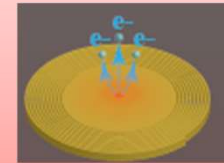
Cs₃Sb

Work function = 1.5 eV
Record low MTE ~ 30 meV



Plasmonic spiral

Record low emittance ~40 pm-rad



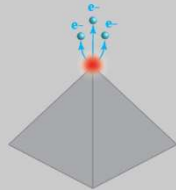
Kachwala, A., Chubenko, O., Kim, D., Simakov, E. I., & Karkare, S. (2022). Quantum efficiency, photoemission energy spectra, and mean transverse energy of ultrananocrystalline diamond photocathode. *Journal of Applied Physics*, 132(22).

Kachwala, A., Saha, P., Bhattacharyya, P., Montgomery, E., Chubenko, O., & Karkare, S. (2023). Demonstration of thermal limit mean transverse energy from cesium antimonide photocathodes. *Applied Physics Letters*, 123(4).

Kachwala, A., et al., *IPAC 2023/2024*.
arXiv preprint arXiv:2406.08678 (2024).

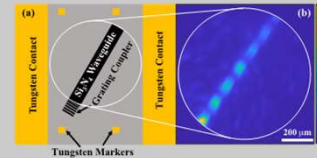
(N)UNCD Tip

Thermalized electron emission



Photonics Integrated Cathodes

Record evanescent mode
photoemission confined to 1 μm spot



Kachwala, A., Chubenko, O., Kim, D., Simakov, E. I., & Karkare, S. (2024). Ultrafast laser triggered electron emission from ultrananocrystalline diamond pyramid tip cathode. *Journal of Applied Physics*, 135(12).

Kachwala, A., et al., *NAPAC 2022*.

Kachwala, A., et al., *IPAC 2023*.

Manuscript Under Preparation.

Applied Physics Letters 120.19 (2022): 194102.
Chemical Physics Letters 430.4-6 (2006): 345-350.



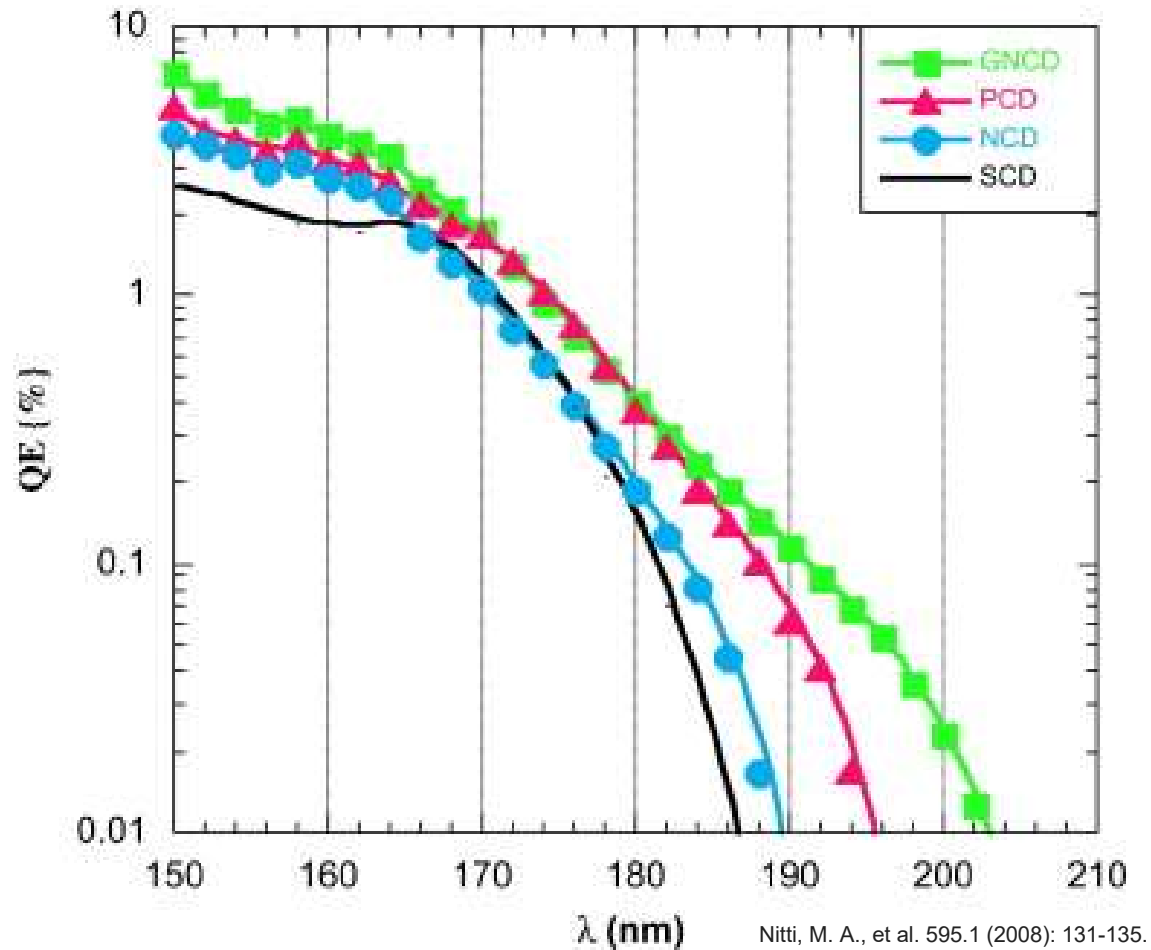
(N) UNCD Photocathode



- Mechanical
- Vacuum Stability

Improve performance at $\lambda > 200$ nm

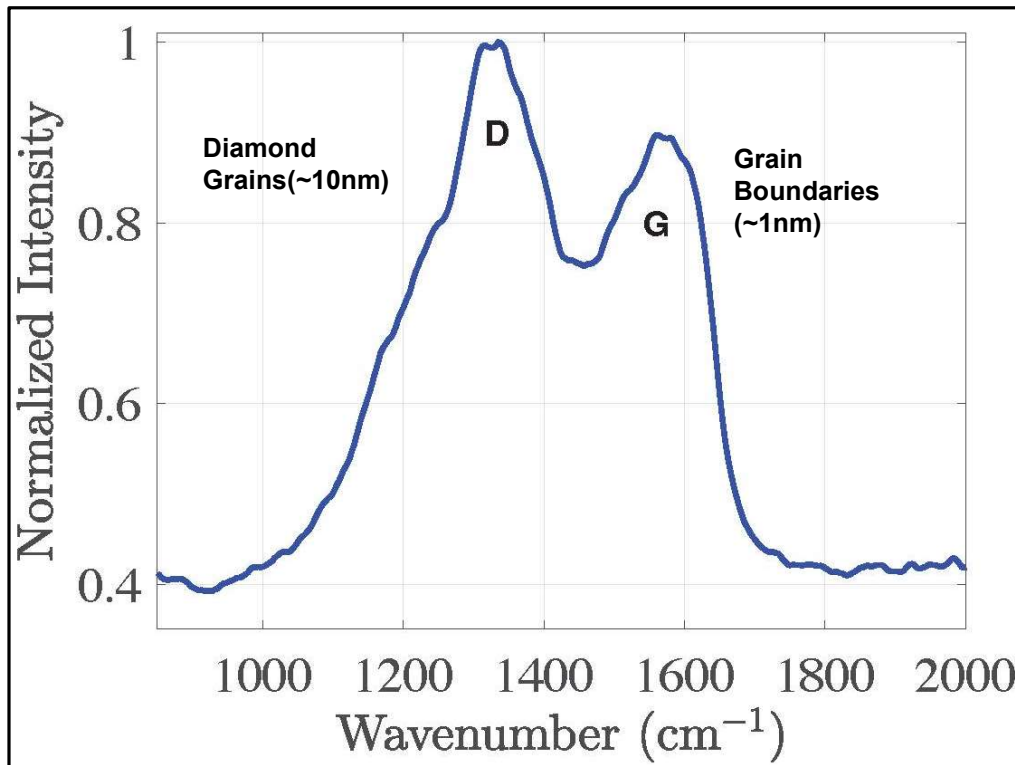
Introduce Negative Electron Affinity by *n*-doping of diamond films and surface treatment in hydrogen environment



Nitti, M. A., et al. 595.1 (2008): 131-135.



(N) UNCD Photocathode



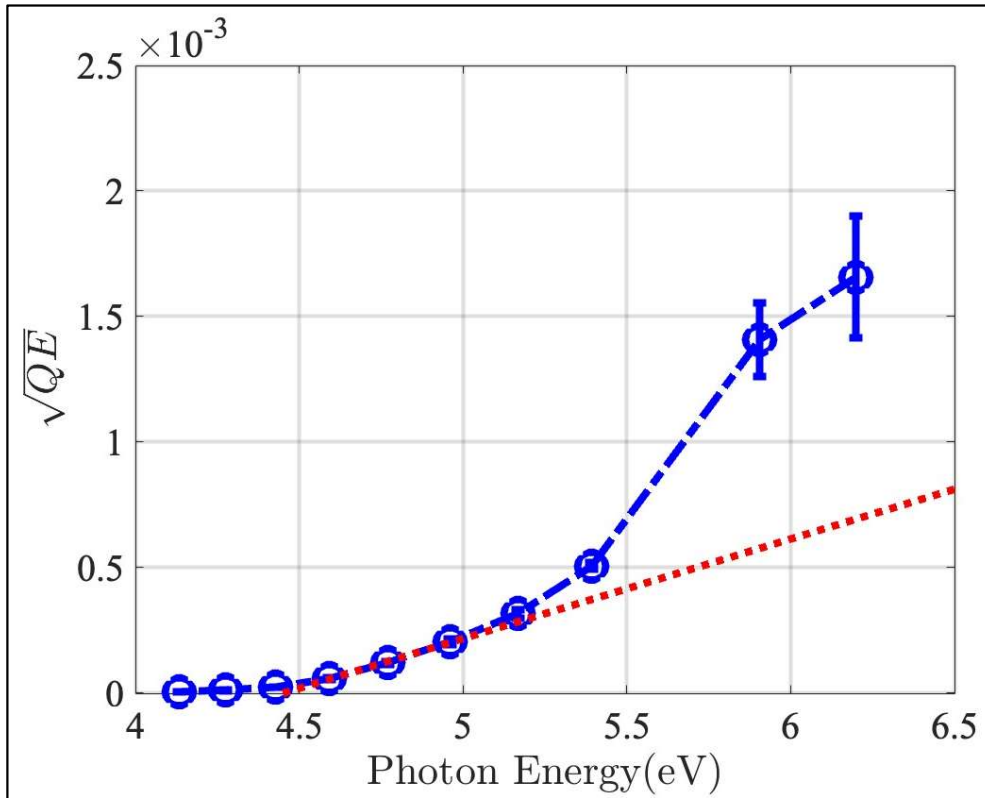
Raman spectrum of the (N)UNCD sample showing a characteristic disordered diamond (D) peak and graphite (G) peak.



- λ : 200 – 300 nm
- Laser spot size: approx. 100 μm X 250 μm (AOI: 65°)
- Extraction Field: 5 kV/m - 500 kV/m



(N) UNCD Photocathode: QE Measurement



$$QE \propto (\hbar\omega - \Phi_{\text{effective}})^2$$

$$\Phi = 4.4 \pm 0.1 \text{ eV}$$

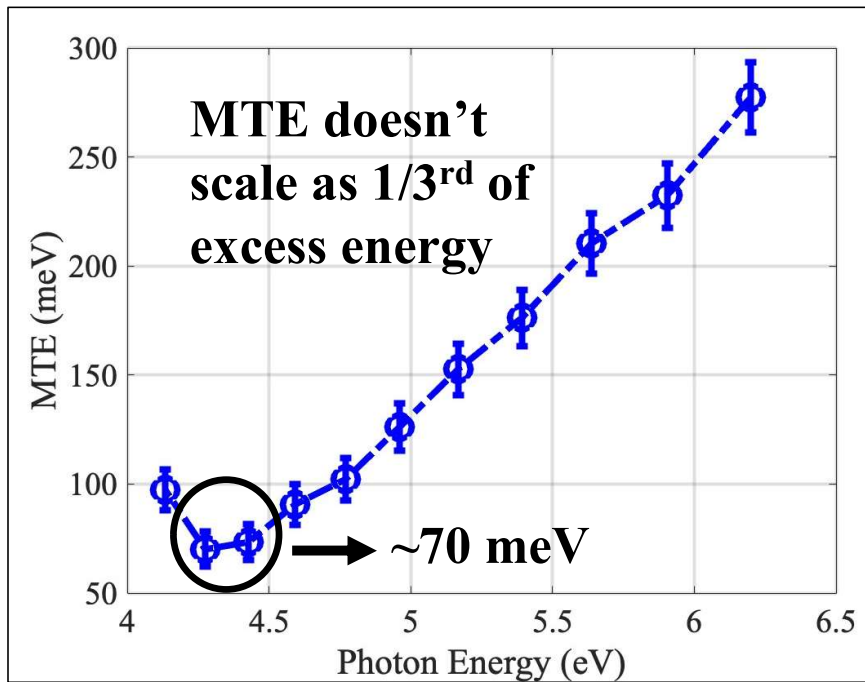
Comparable to Previously Reported Values

Comparable to Metal Photocathodes

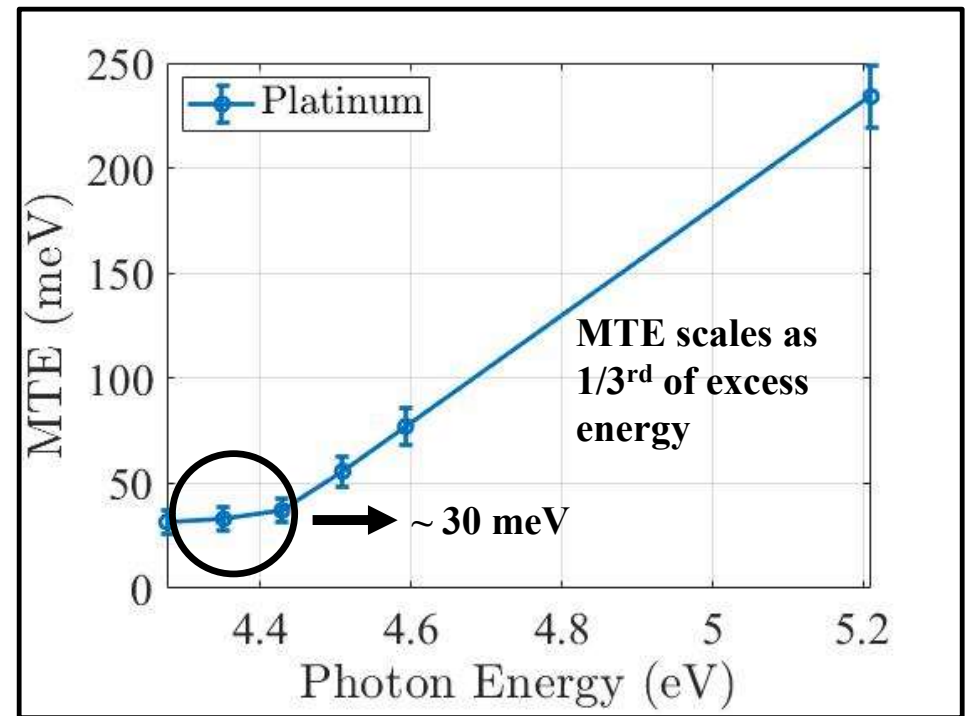
Quintero et al. *Applied Physics Letters* 105.12 (2014) Chen, et al. *Applied Physics Letters* 114.9 (2019) Chen et al. *Applied Physics Letters* 117.17 (2020)



(N) UNCD Photocathode: MTE Measurement



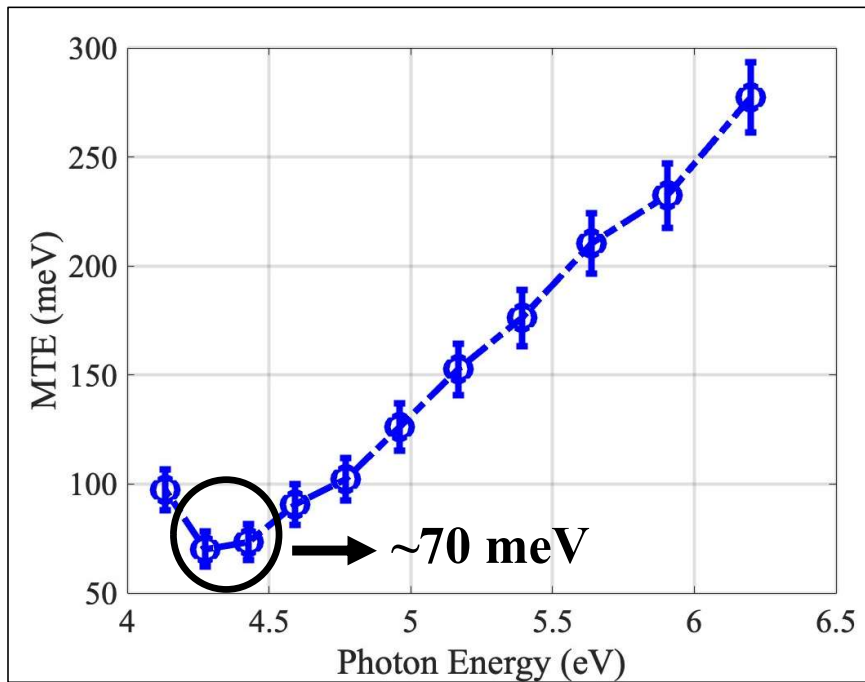
Let us Check for Metal Photocathode



Why is MTE limited to 70 meV at threshold?



(N) UNCD Photocathode: MTE Measurement



Why is MTE limited to 70 meV at threshold?

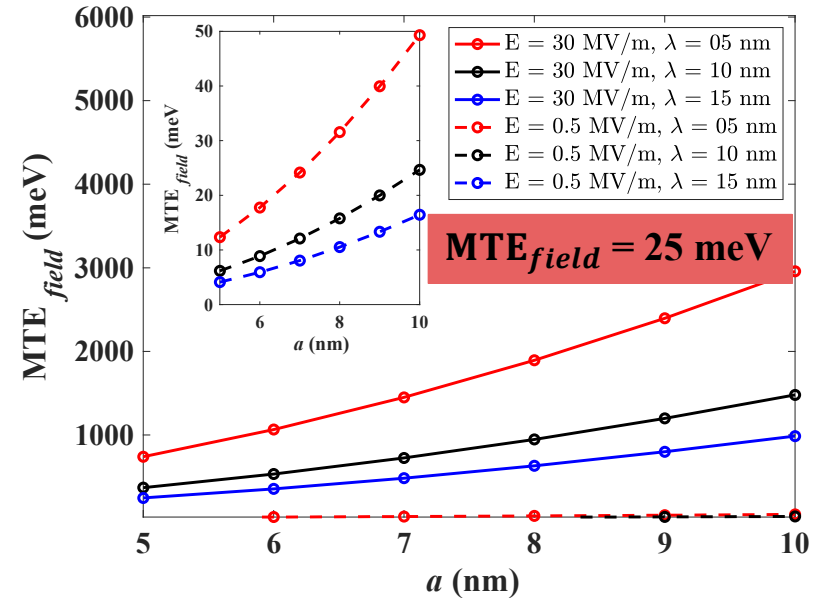
Physical Roughness

$$MTE_{field} = \frac{\pi^2 a^2 E_0 e}{2\lambda}$$

$$a = 5 - 10 \text{ nm},$$

$$\lambda = 5 - 15 \text{ nm}$$

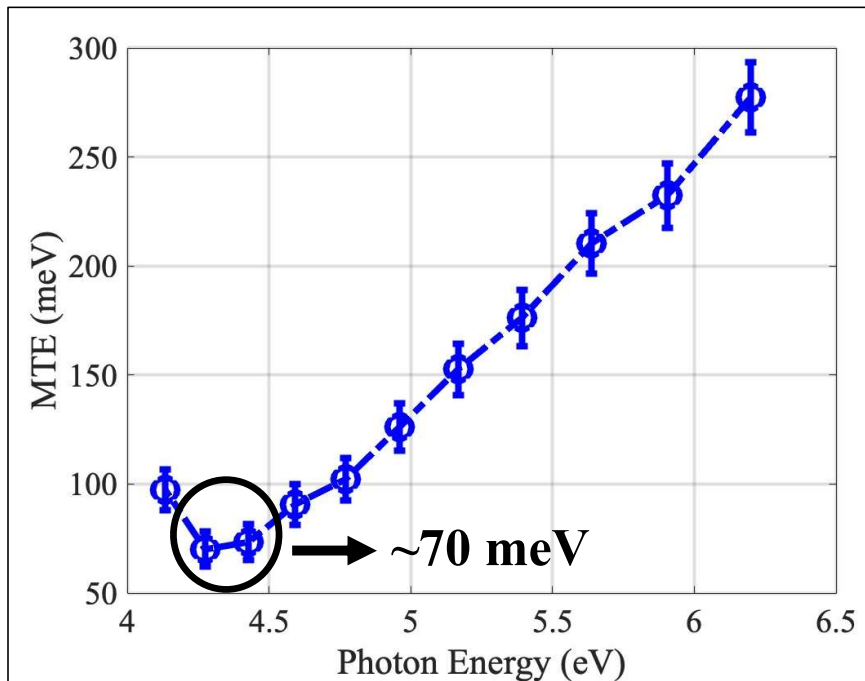
J. Appl. Phys. 121, 044904 (2017).



Chen, et al. Applied Physics Letters 117.17 (2020)



(N) UNCD Photocathode: MTE Measurement



Why is MTE limited to 70 meV at threshold?

Chemical Roughness

$$MTE_{wf} = \frac{\pi^2 h^2 e}{4\sqrt{2}aE_0}$$

$$\begin{aligned} \Phi_{\text{graphite}} &= \sim 4.4 \text{ eV} \\ \Phi_{\text{diamond}} &= \sim 5.4 \text{ eV} \end{aligned}$$

Phys. Rev Applied, 4, 024015 (2015).

$$MTE = MTE_{kT} + MTE_{field} + MTE_{wf}$$

$$MTE_{kT} \sim 25 \text{ meV at } 300 \text{ K}$$

$$MTE_{field} \sim 25 \text{ meV}$$

$$MTE_{wf} \sim 20 \text{ meV}$$

Chen, et al. *Applied Physics Letters* 117.17 (2020)

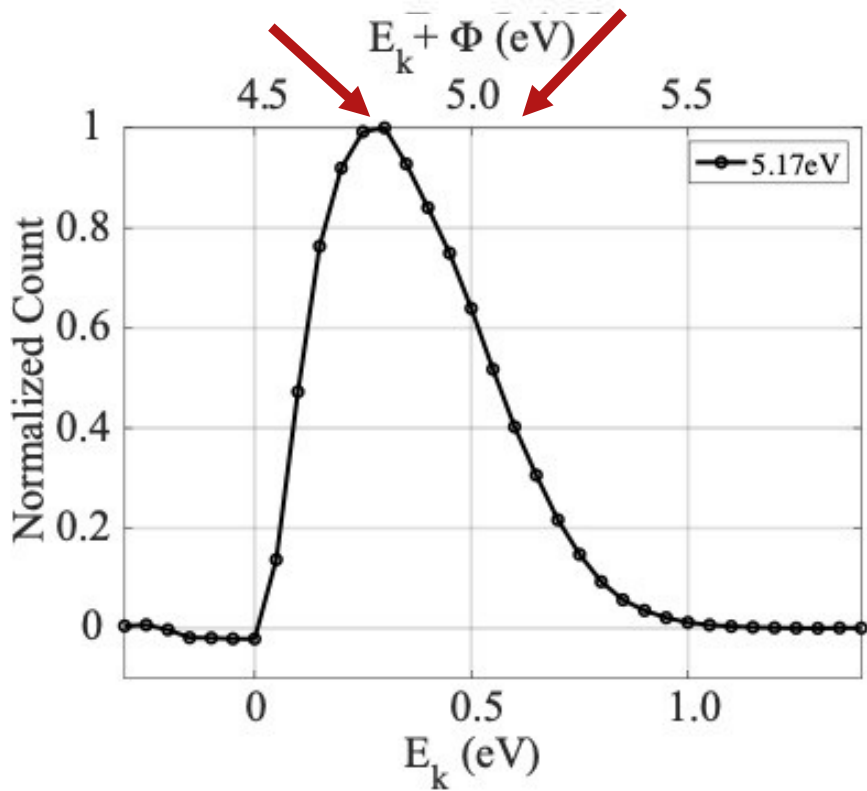


(N) UNCD Photocathode: Electron Energy Spectra



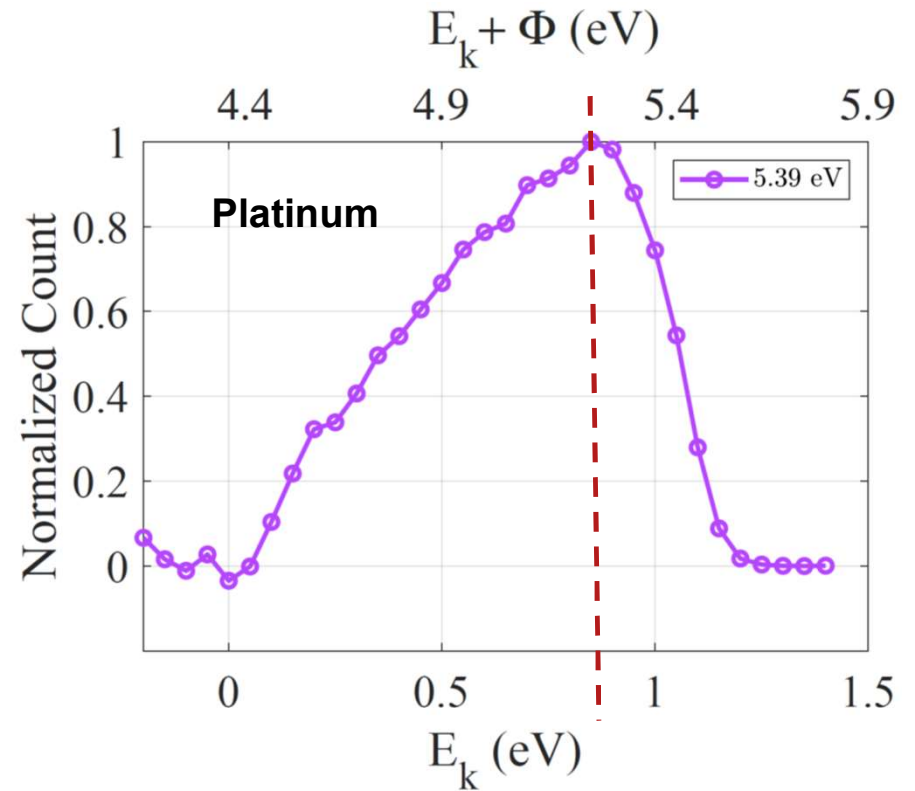
$\Phi = 4.5 \text{ eV}$

Electron Scattering during transport



$\Phi = 4.4 \text{ eV}$

NO Electron Scattering during transport





Parameter	Measured
Φ	$4.4 \pm 0.1 \text{ eV}$
MTE	$\sim 70 \text{ meV}$
QE	$\sim 10^{-6}$



(N)UNCD: Conclusion

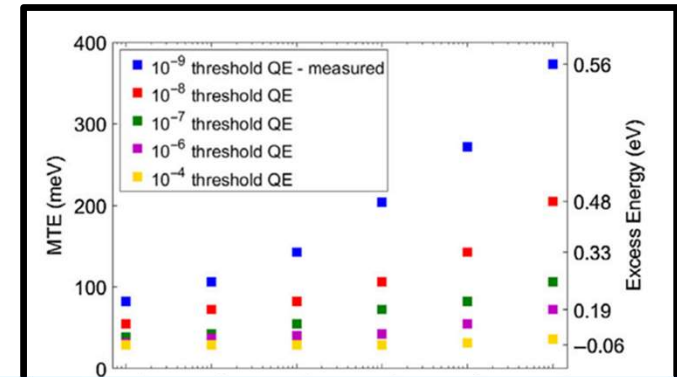
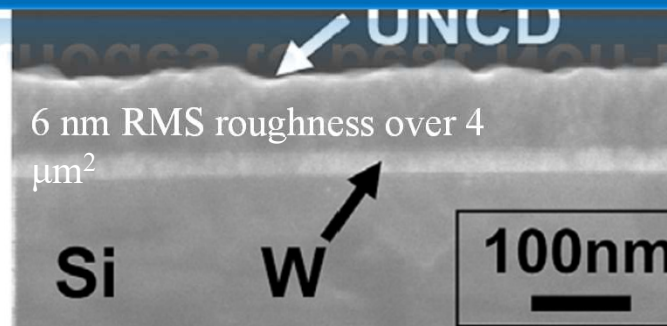
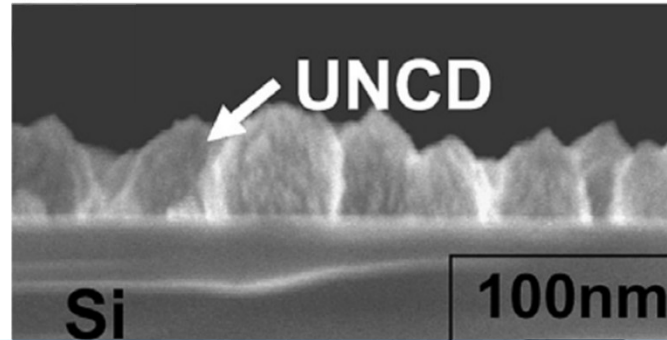


Fast Response Time (~1 ps)

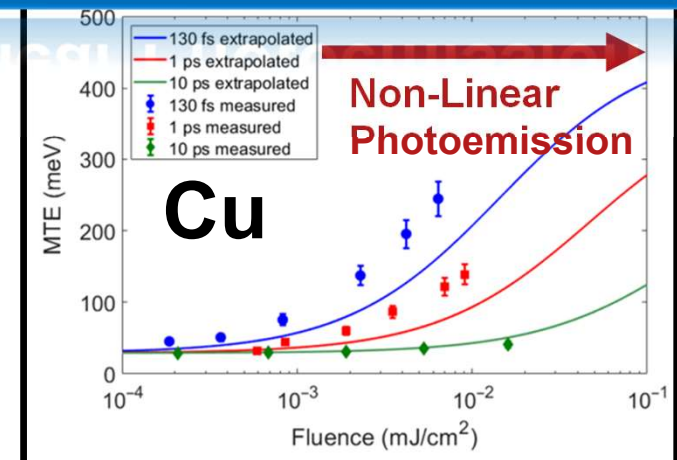
Long Operational Lifetime

Can have Low MTE

LOW QE



Need High QE cathodes to beat Non-Linear Photoemission



Naguib, et al. *Chemical Physics Letters* 430.4-6 (2006): 345-350.

Physical Review Accelerators and Beams 26.9 (2023): 093401

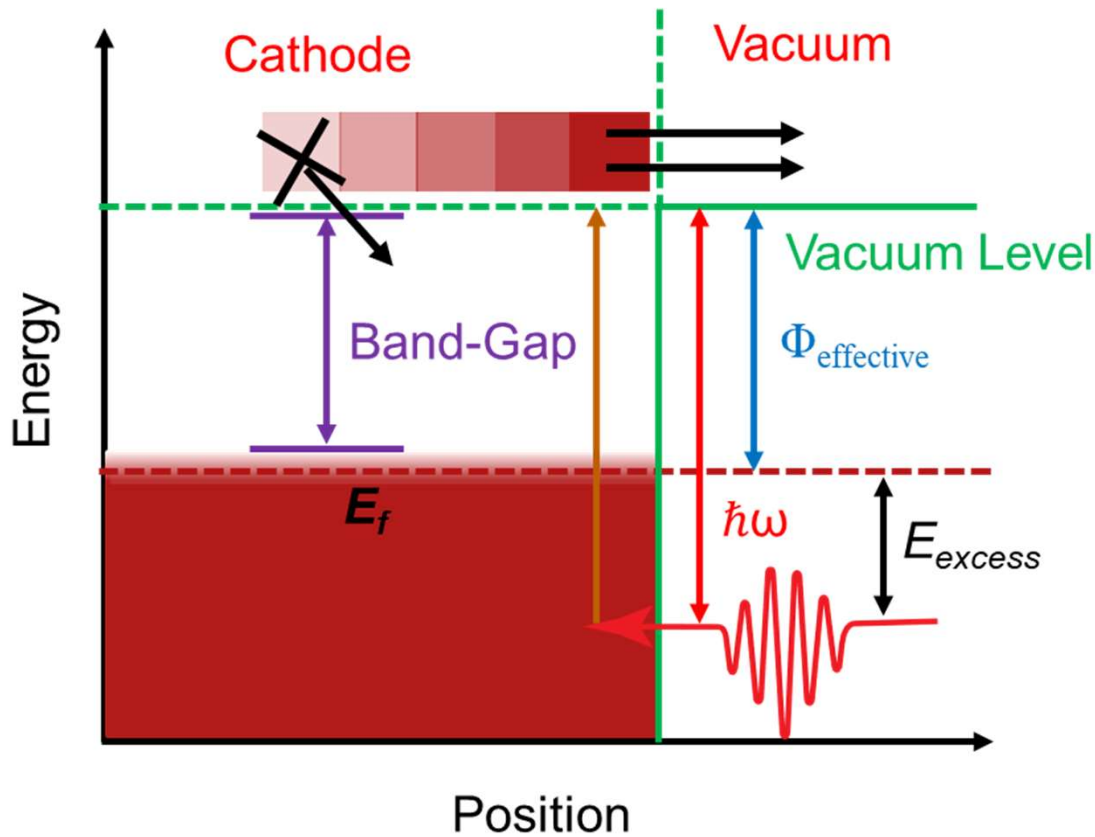
Physical review letters 125.5 (2020): 054801



High QE Photocathodes



Low Electron Affinity Semiconductors



Alkali Antimonide Photocathodes

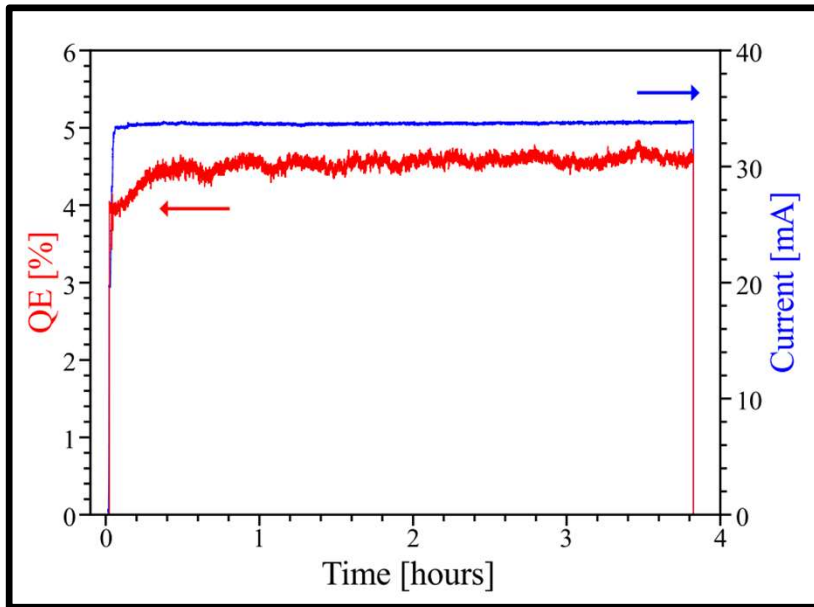




Cs₃Sb for High Brightness Applications

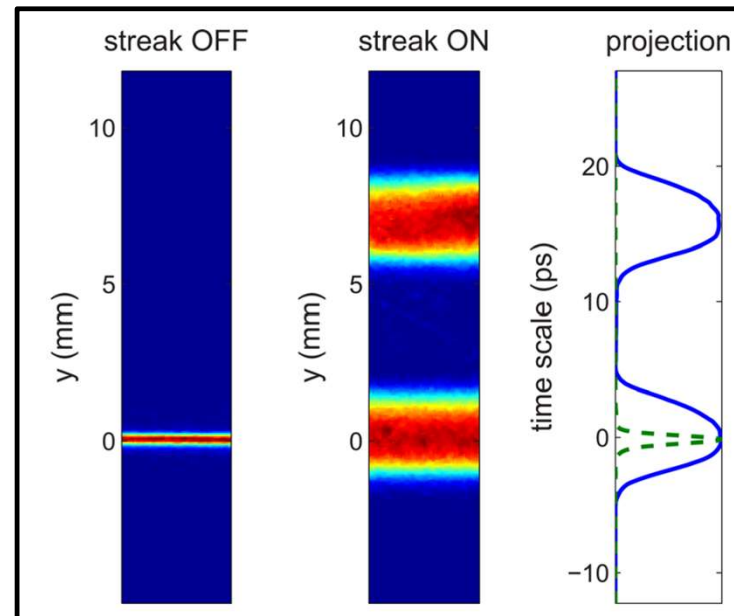


Operational Lifetime



Applied Physics Letters 102.3 (2013).

Response Time



Applied Physics Letters 99.15 (2011).

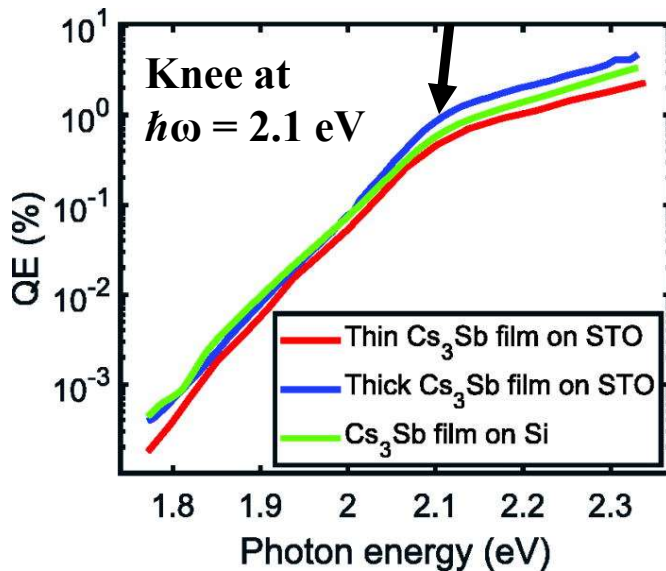
Sub pico-second response time with $\lambda = 520$ nm



Cs₃Sb for High Brightness Applications



High QE



Orders of Magnitude
Higher than Metal
Photocathode

Low MTE

MTE of ~ 40 meV (> 25 meV at 300 K)

- Physical Surface Roughness
- Work Function Variation (“Chemical Roughness”)

40 meV at 300K
(Expected 25 meV)

22 meV at 90K
(Expected 8 meV)

Applied Physics Letters 120.19 (2022): 194102.

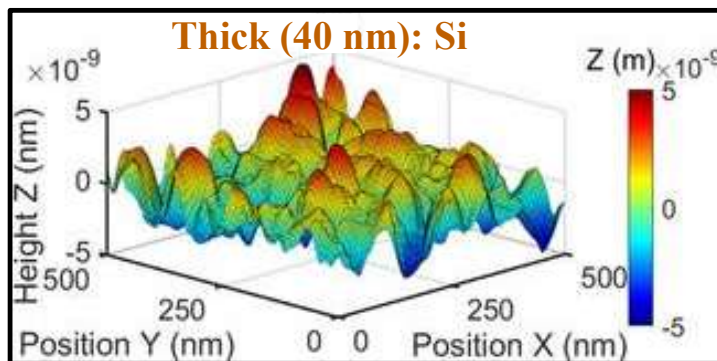
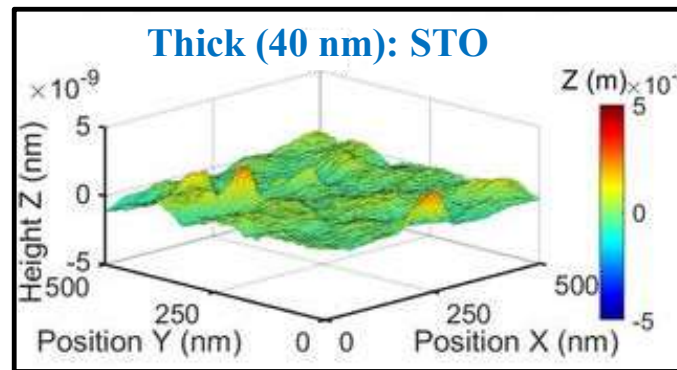
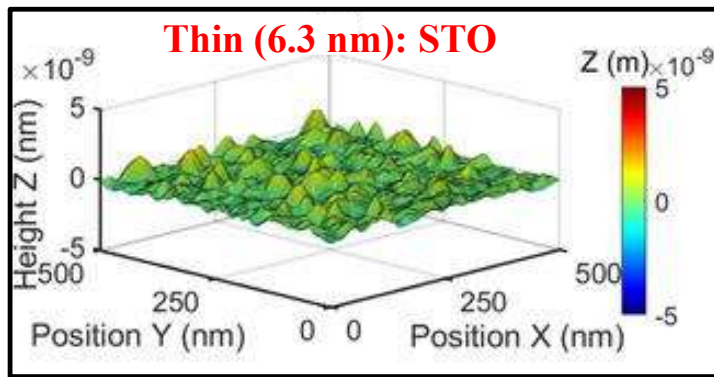
Physical Review Special Topics-Accelerators and Beams 18.11 (2015): 113401.



Cs₃Sb: Efforts to Grow Smooth Films



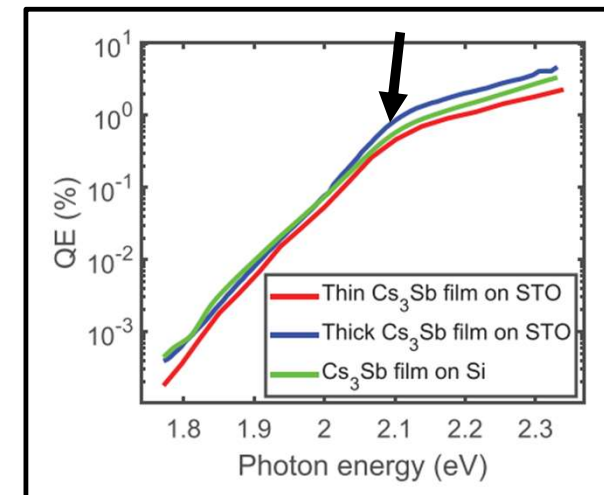
Co-Deposition on Lattice Matched Substrate



**Thin STO: RMS surface roughness = 0.3 nm
Average spacing b/w peaks = 60 nm.**

**Thick STO: RMS surface roughness = 0.6 nm
Average spacing b/w peaks = 100 nm.**

**On Si: RMS surface roughness = 1.4 nm
Average spacing b/w peaks = 100 nm.**



**Observe Knee at
 $\hbar\omega = 2.1$ eV ($\lambda = 620$ nm)**

Applied Physics Letters 120.19 (2022): 194102.

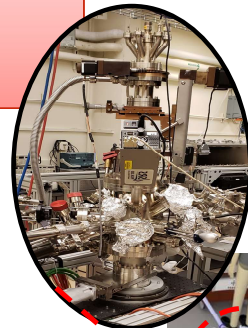


Cs₃Sb: Experiment



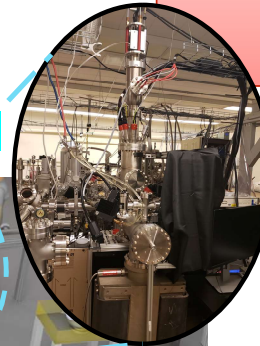
Growth

Thickness: ~40 nm
Substrates: Si and Nb doped STO



Growth Chamber

PEEM



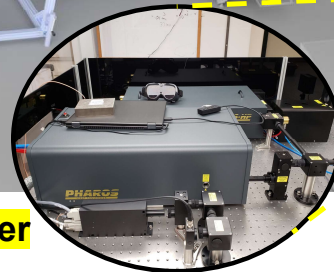
Measurements

$\hbar\omega$: 1.45 – 2.33 eV
Repetition rate: 500 kHz
Pulse length: 150 fs

QE

3% - 4%
 $\lambda = 530$ nm

OPA Laser



Transfer

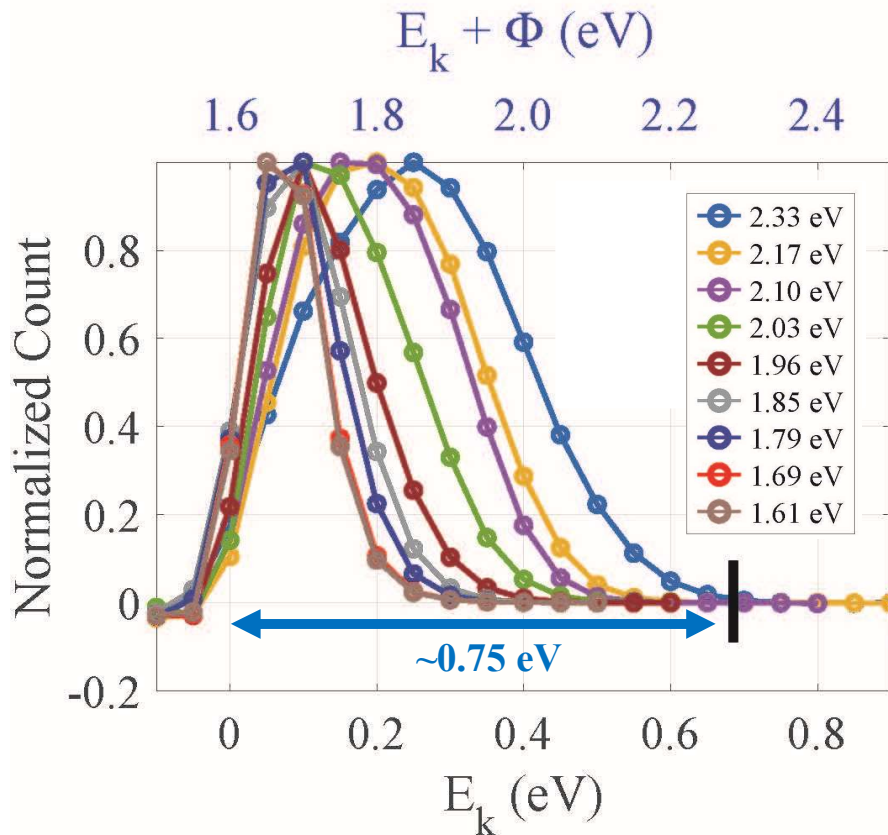
Via UHV transfer line in PEEM
Measured: PEES, MTE & QE



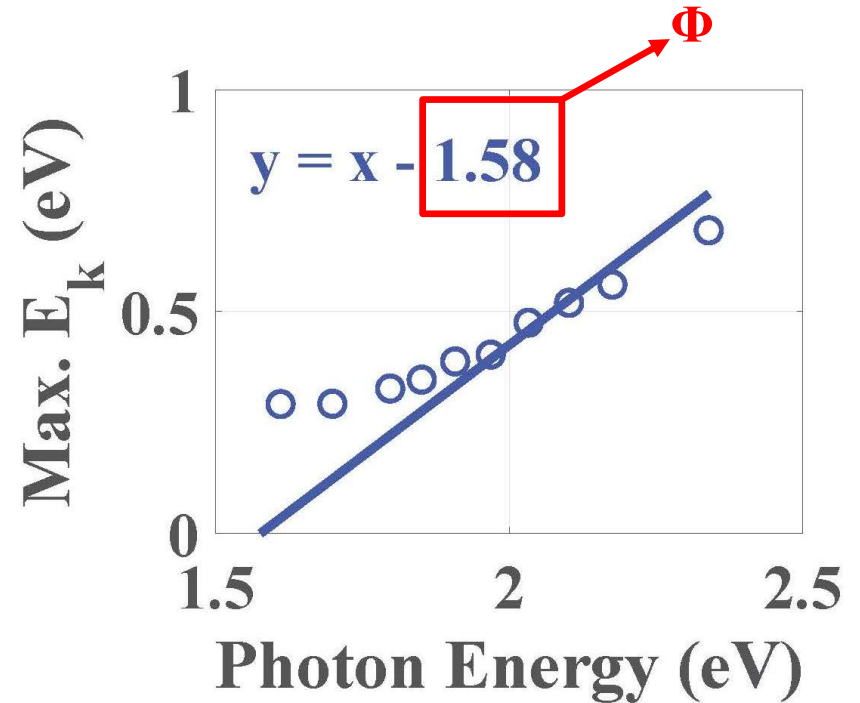
Cs₃Sb: Photoemission Electron Energy Spectra



Substrate: Doped Si

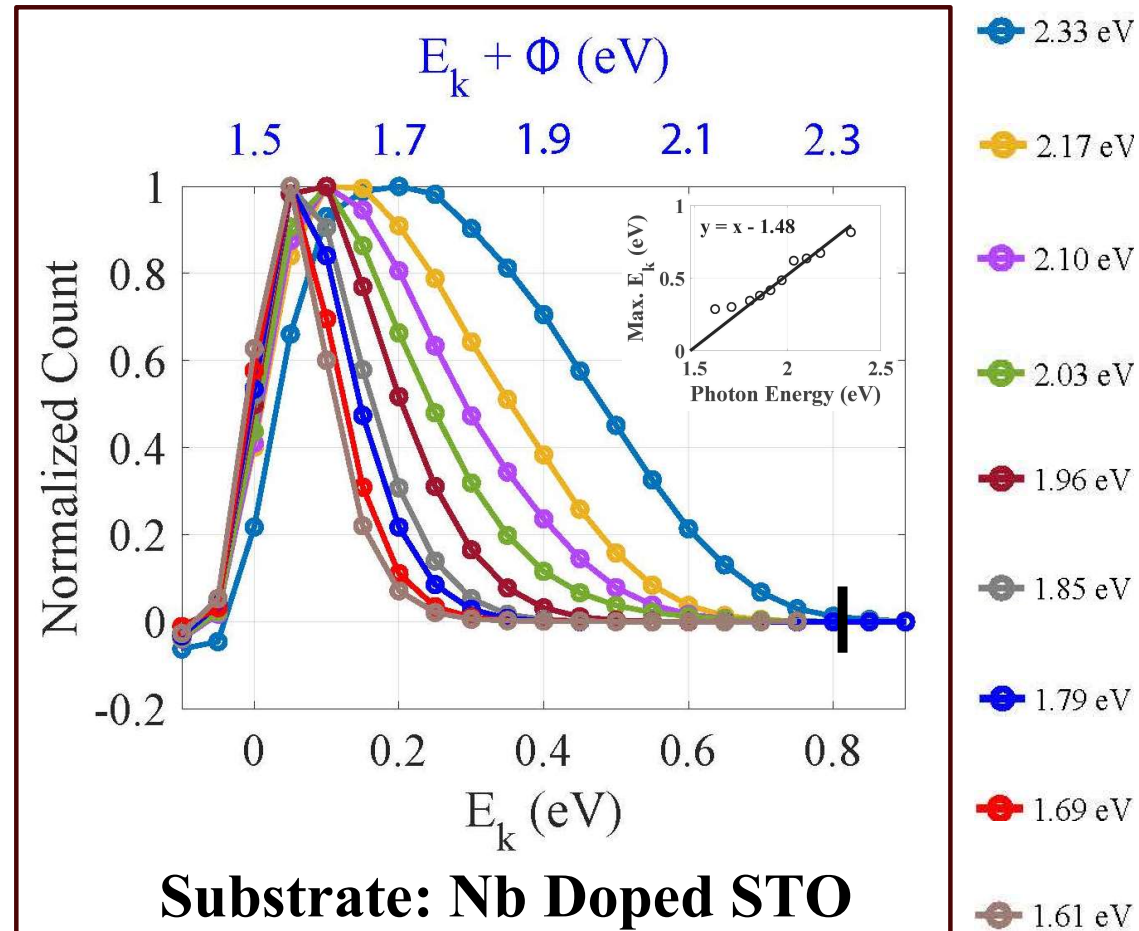
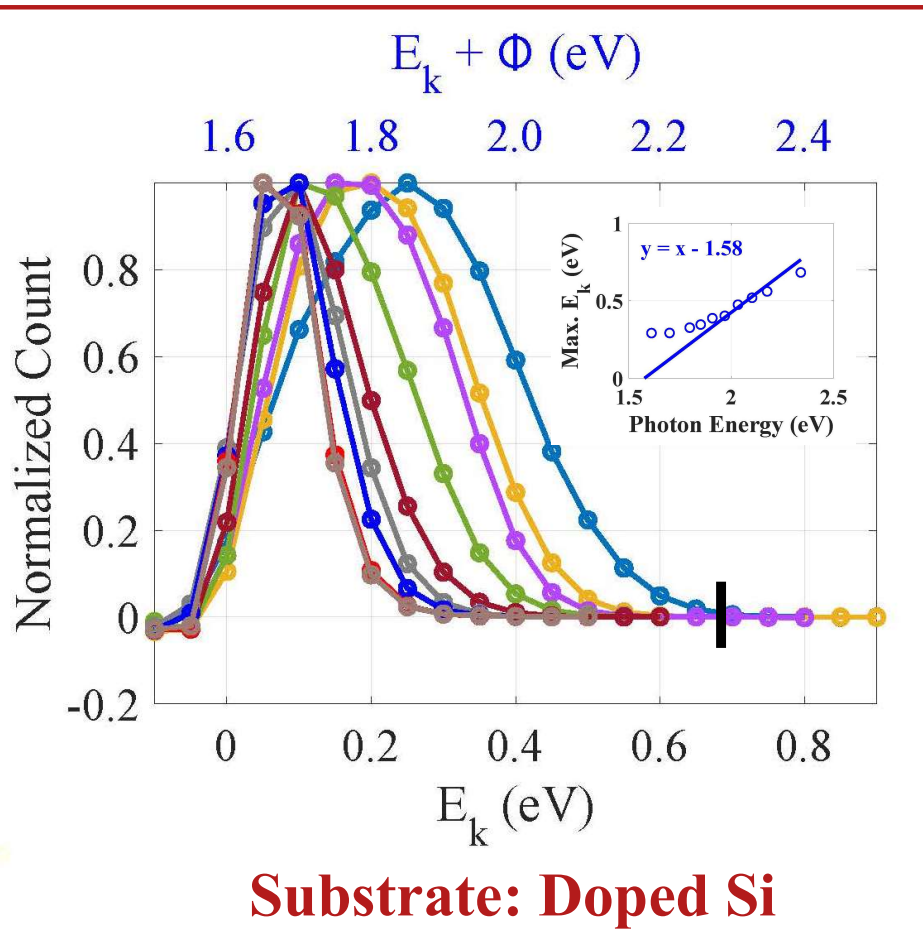


Maximum $E_k = 1\%$ of the maxima of the energy distribution curve



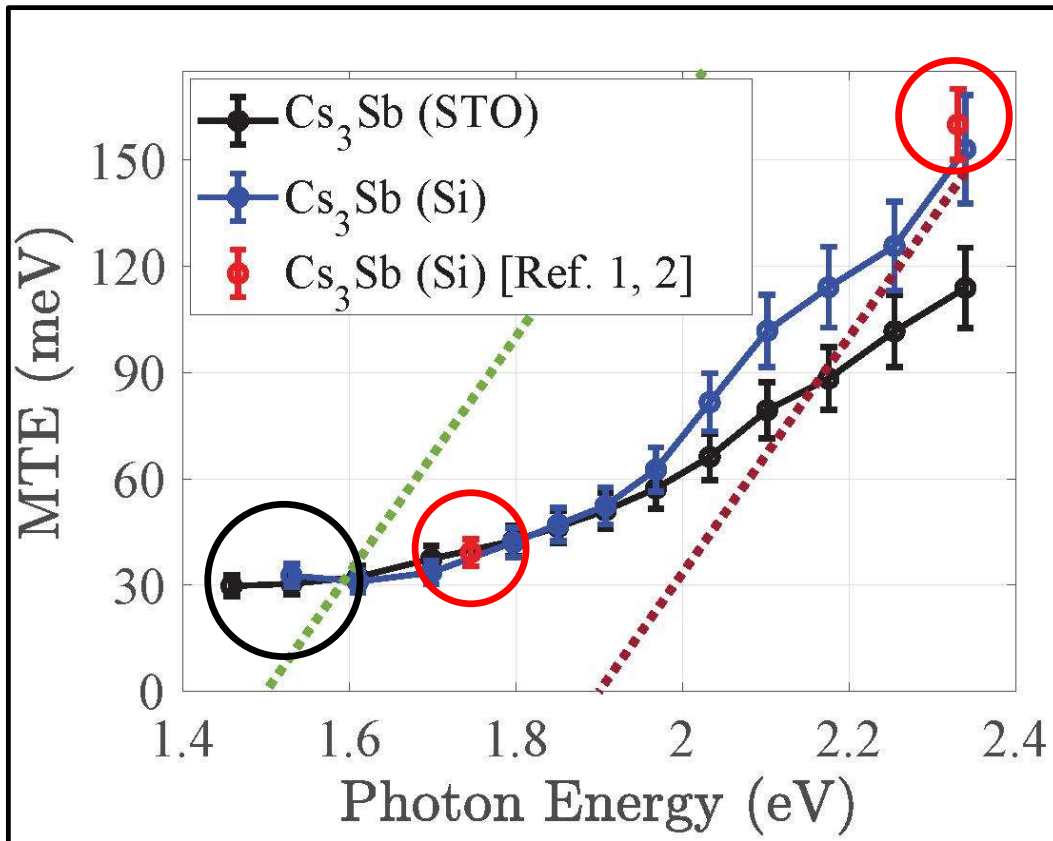


Cs₃Sb: Photoemission Electron Energy Spectra





Cs₃Sb: Mean Transverse Energy



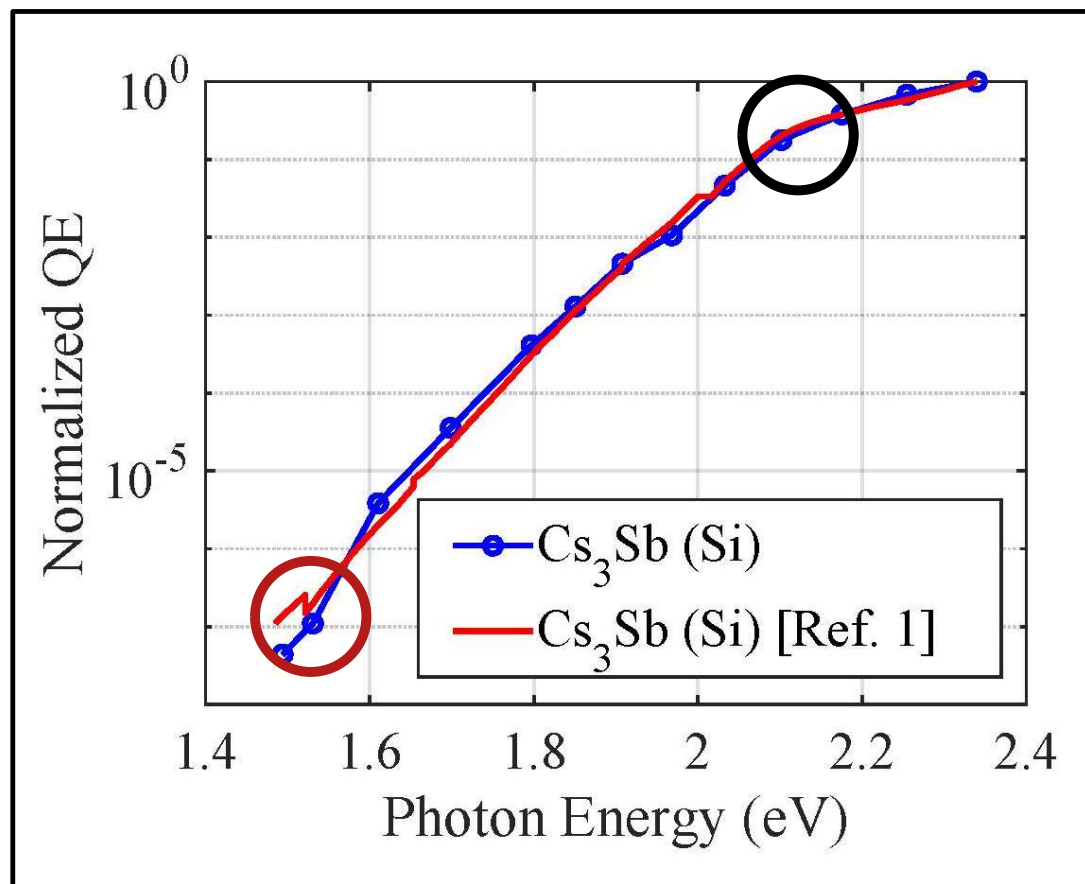
- At $\hbar\omega = 1.5$ eV, MTE = 30 meV (~25 meV at 300 K)
- At $\hbar\omega = 1.8$ eV, MTE = 40 meV and at $\hbar\omega = 2.3$ eV, MTE = 150 meV (comparable to previously reported values)
- The dotted line is the plot for (excess energy)/3 considering $\Phi = 1.5$ eV (green) and $\Phi = 1.9$ eV (brown)
- MTE doesn't scale as 1/3rd of excess energy (Scattering before emission)

1) *Physical Review Special Topics-Accelerators and Beams* 18.11 (2015): 113401.

2) *Applied Physics Letters* 99.15 (2011).



Cs₃Sb: Quantum Efficiency



- QE at $\hbar\omega = 1.5$ eV is 7 orders of magnitude lower when compared with that at $\hbar\omega = 2.3$ eV
- A knee-like feature is also observed in the QE spectral response at $\hbar\omega = 2.1$ eV
- Unstable D0₃ cubic structure

1) *Applied Physics Letters* 120.19 (2022): 194102.

Nangoi, J. K., et al. *arXiv preprint arXiv:2205.14322* (2022)



Cs₃Sb: Conclusion



These photocathodes to be used in SLAC-LCLS-II-HE

Parameter	Threshold	Operational
$\hbar\omega$	1.5 ± 0.1 eV	1.8 eV
MTE	~30 meV	~40 meV
QE (at Φ)	10^{-7}	10^{-4}

Comparable to
Metal
Photocathodes

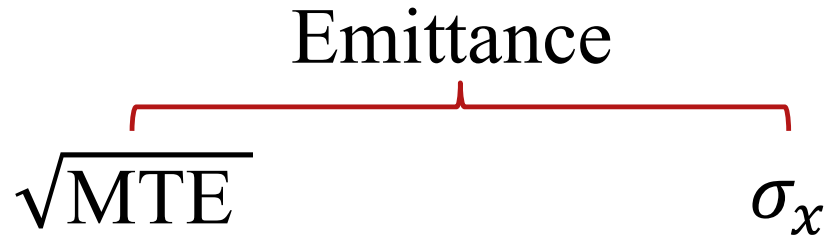
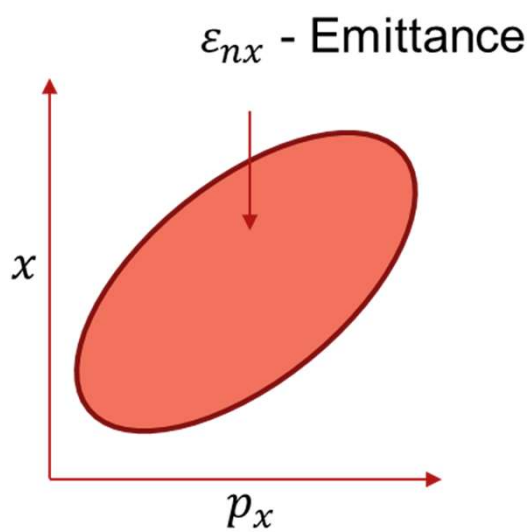
Better than
Metal
Photocathodes

1) *Physical Review Special Topics-Accelerators and Beams* 18.11 (2015): 113401.

2) *Applied Physics Letters* 99.15 (2011).



Need for Small Emission Area Photocathodes



Reduce MTE

Reduce σ_x

$$\epsilon_{nx} = \frac{\sigma_x \sigma_{p_x}}{mc} = \sigma_x \sqrt{\frac{\text{MTE}}{mc^2}}$$

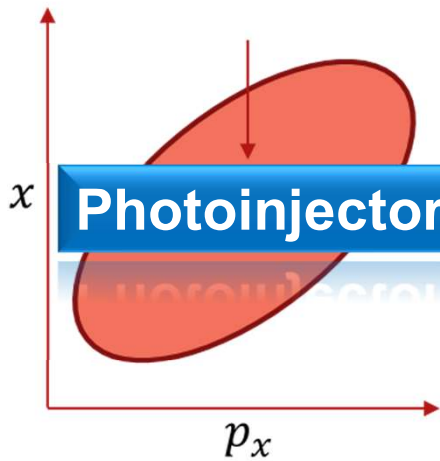




Need for Small Emission Area Photocathodes



ϵ_{nx} - Emittance

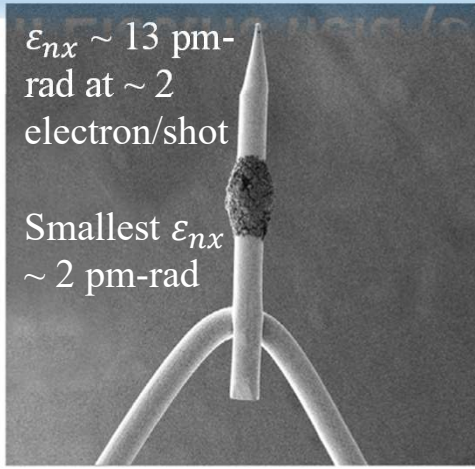
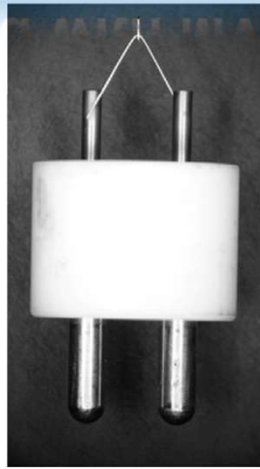


Reduce σ_x

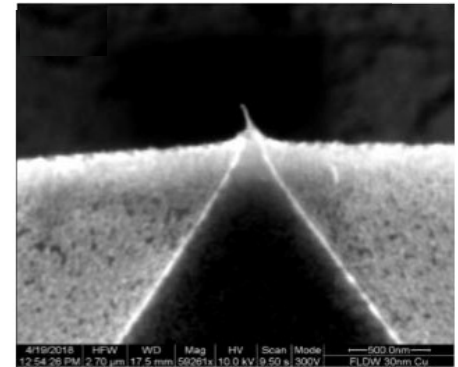
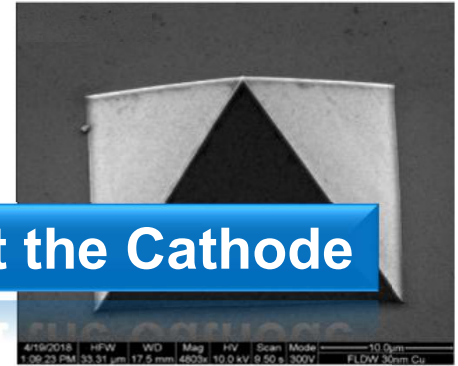
Tip Emitters

Photoinjector: Operated with MV/m Electric field (ϵ) at the Cathode

$$\epsilon_{nx} = \frac{\sigma_x \sigma_{p_x}}{mc} = \sigma_x \sqrt{\frac{MTE}{mc^2}}$$



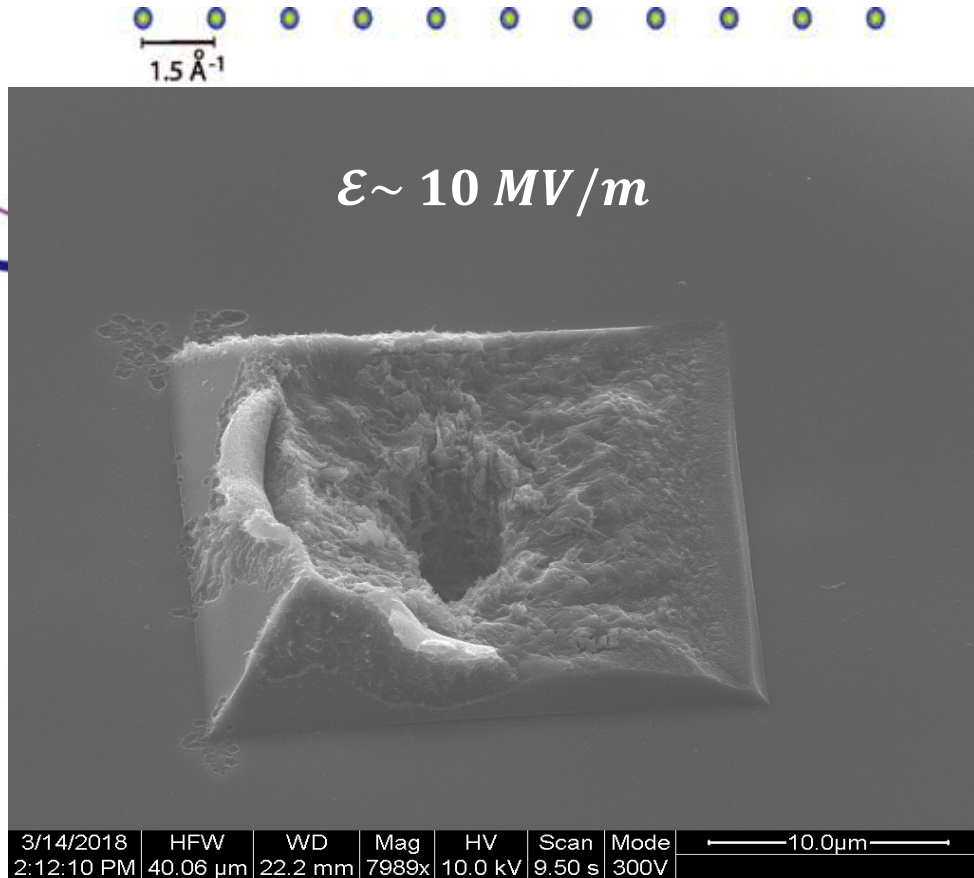
Ultramicroscopy 176 (2017): 63-73.



2018 IEEE Advanced Accelerator Concepts Workshop (AAC). IEEE, 2018.

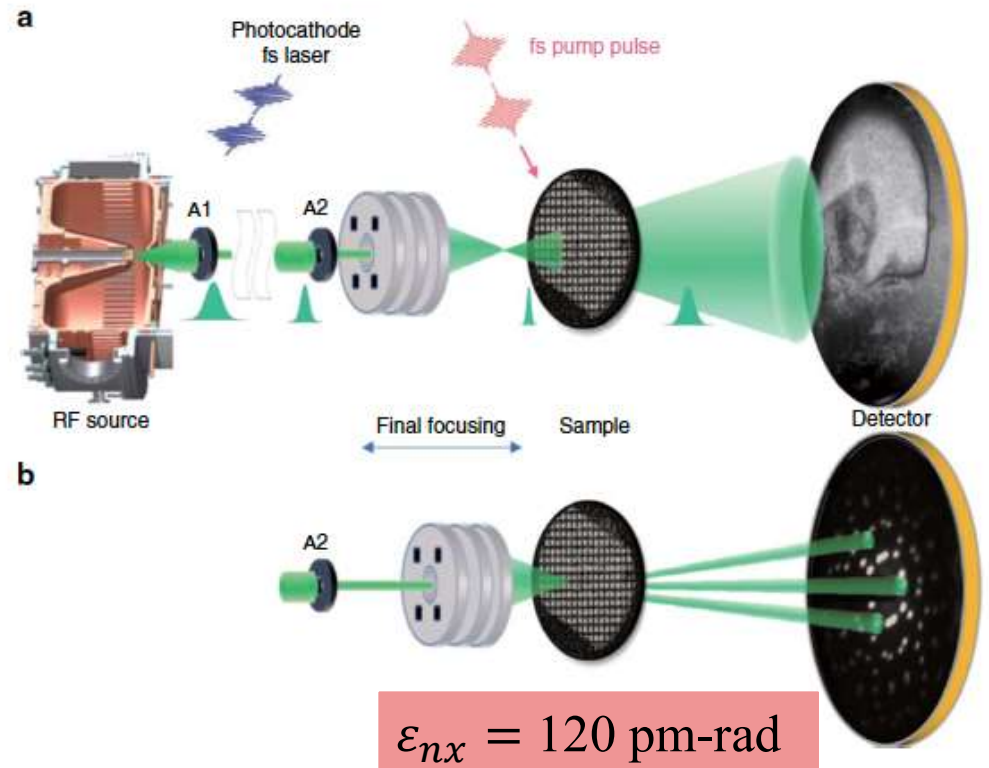


Need for Small Emission Area Photocathodes



Reviews of Modern Physics 94.4 (2022): 045004 Acknowledgement: Dr. Simakov and Dr. Kim

UED Beamlines with Collimating Apertures



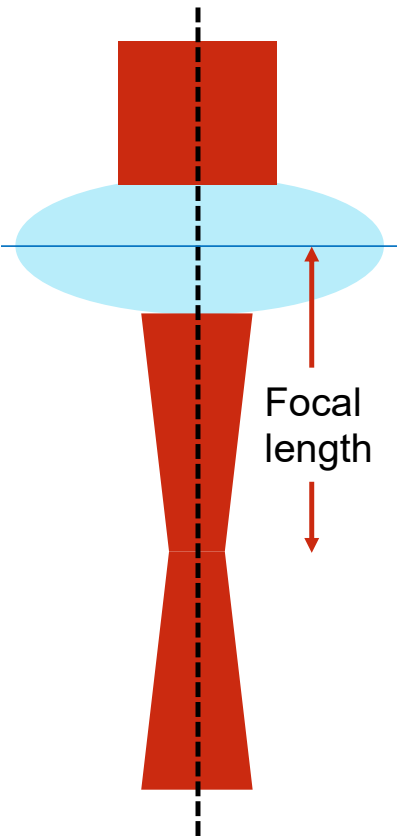
Communications Physics 2.1 (2019): 54



Small Emission Area Photocathodes: Reducing σ_x



Conventional way of focusing light

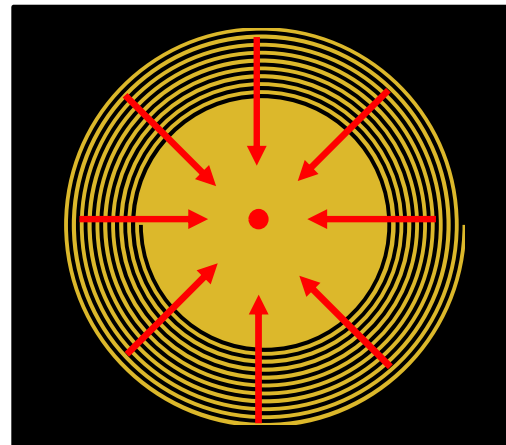
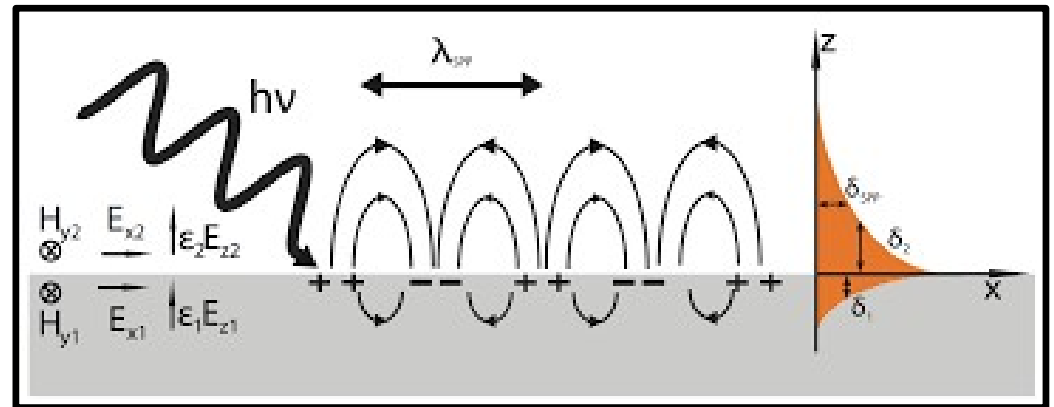


Limited by the Diffraction limit of light $\sim \frac{\lambda}{2}$

How to focus smaller than $\frac{\lambda}{2}$?

<https://en.wikipedia.org>
Structural Dynamics 9.2 (2022)

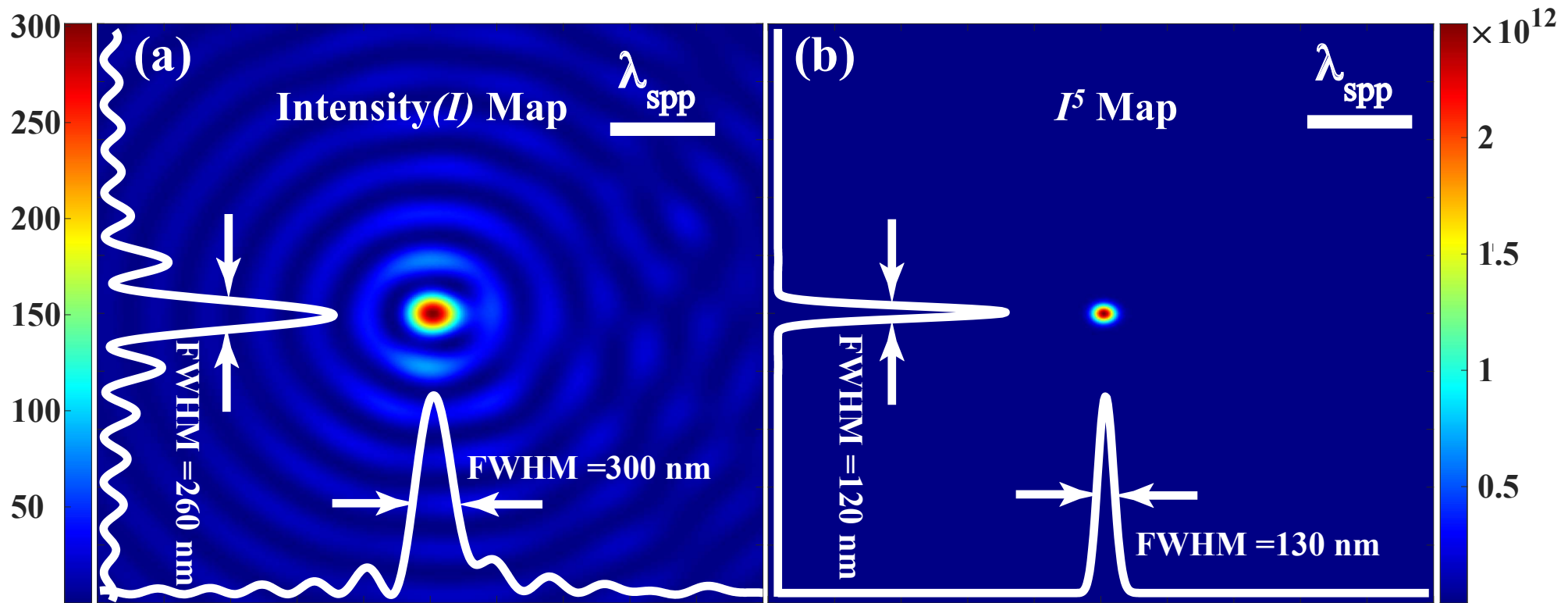
Surface Plasmon Polariton



Achieve nanoscale electron emission area from **Plasmonic Gold Spiral**



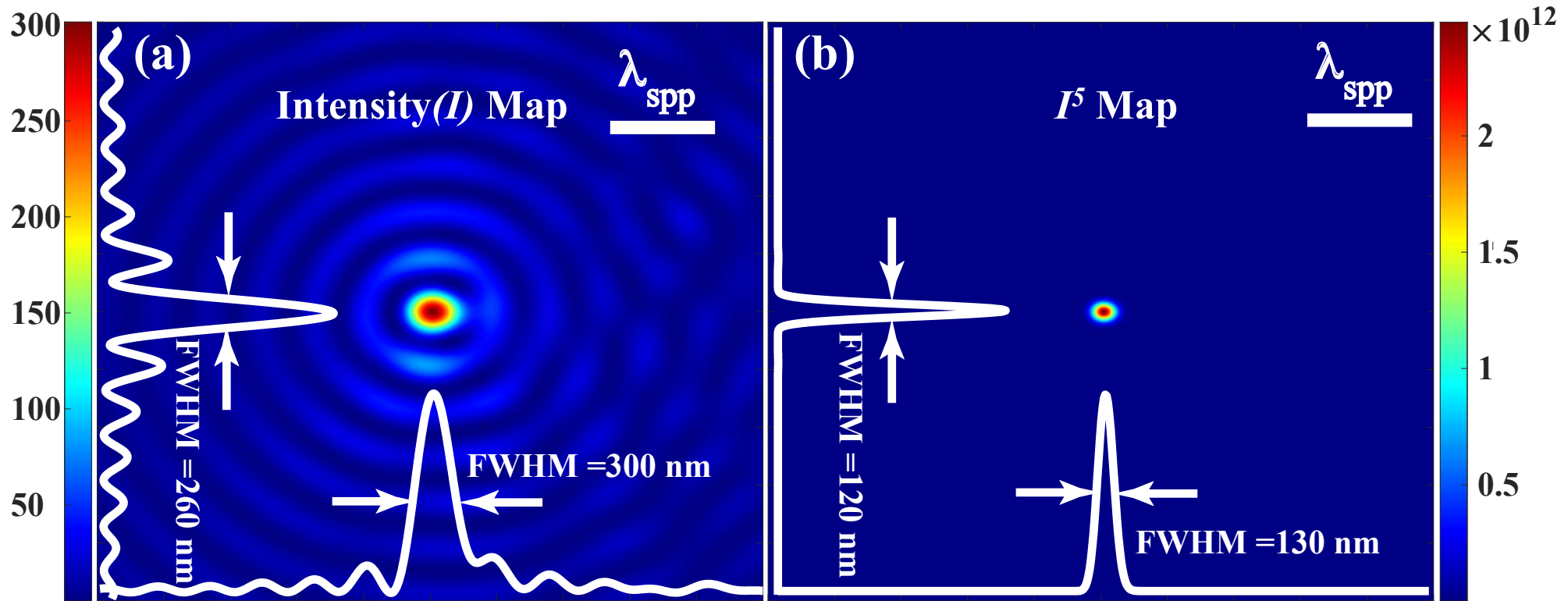
Plasmonic Spiral Photocathode: FDTD Simulation



Source: Circularly Polarized Gaussian; $\hbar\omega = 1.55$ eV ($\lambda = 800$ nm); Pulse Length = 150 fs; $\lambda_{spp} = 783$ nm



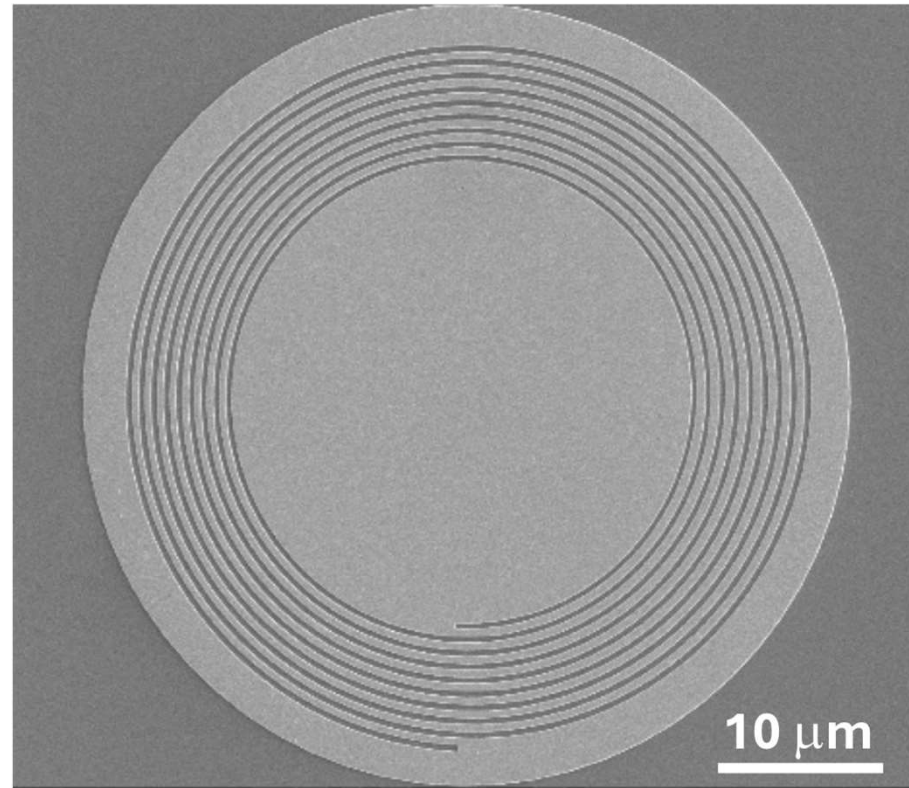
Plasmonic Spiral Photocathode: FDTD Simulation



5th order Non-Linear Photoemission shrinks the electron source size ~ 120 nm



Plasmonic Spiral Photocathode: Experimental

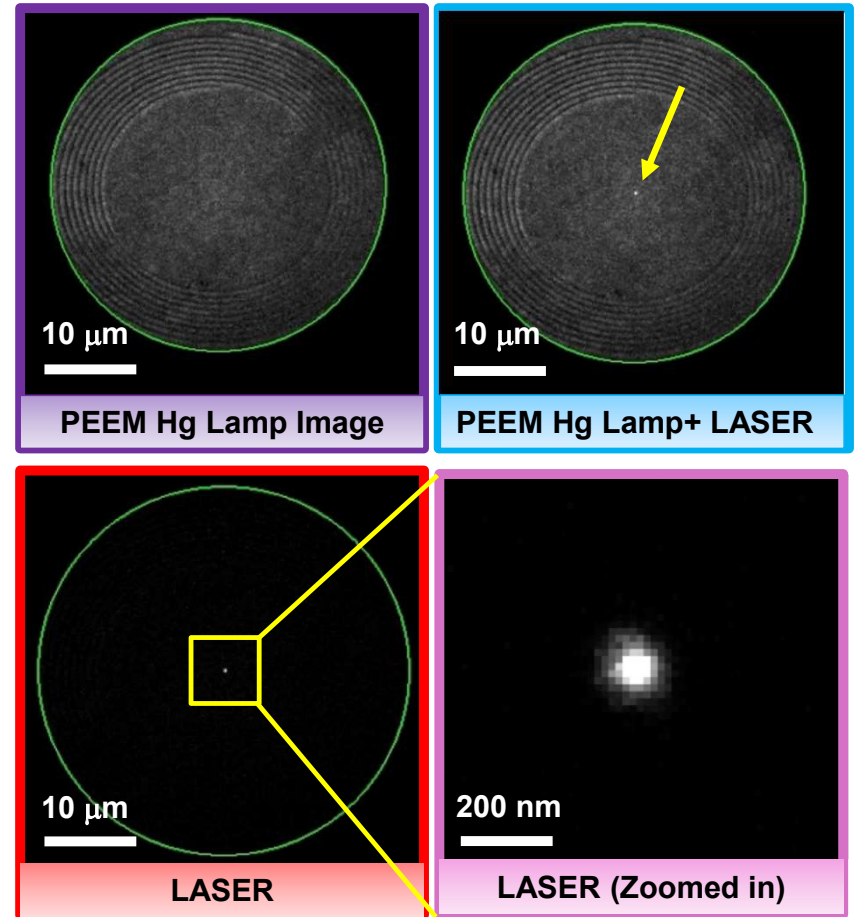
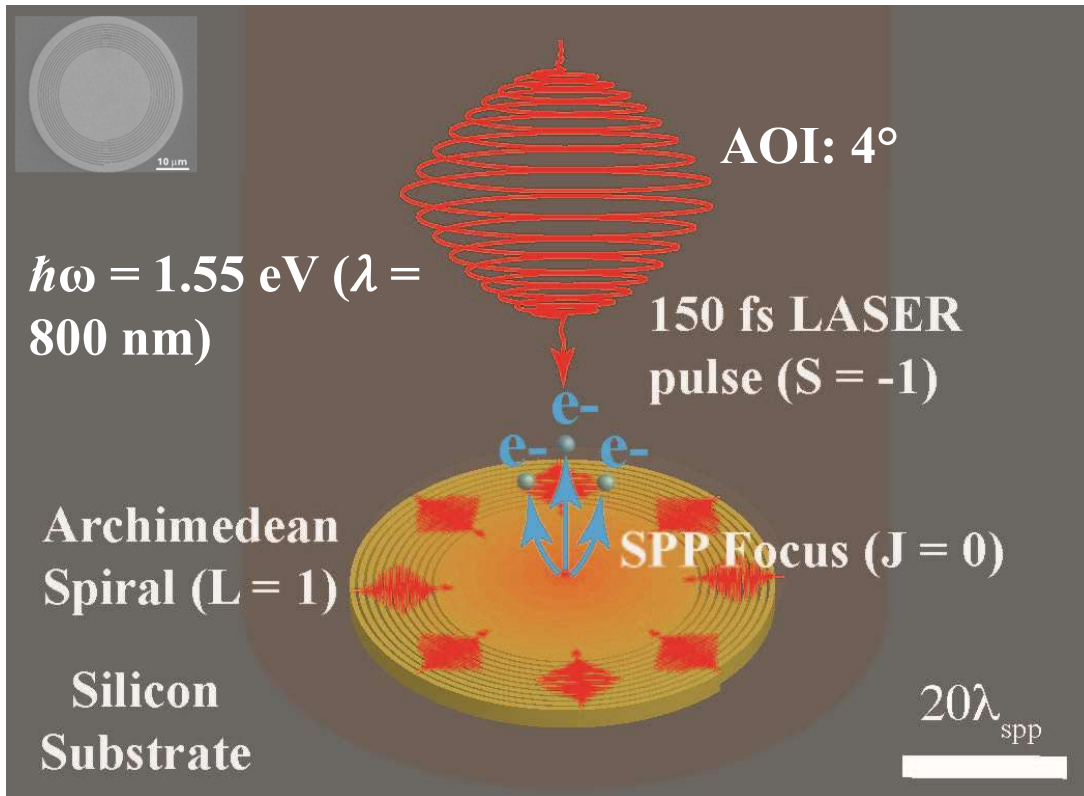




Plasmonic Spiral Photocathode: Experimental



Schematic

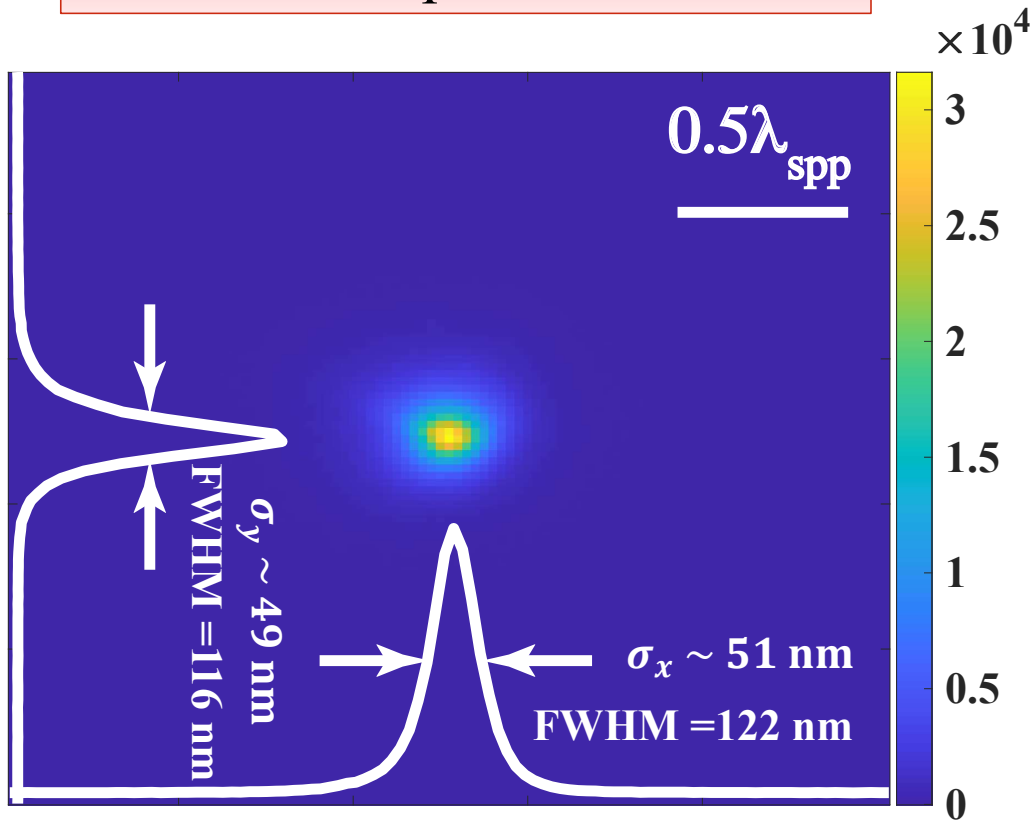




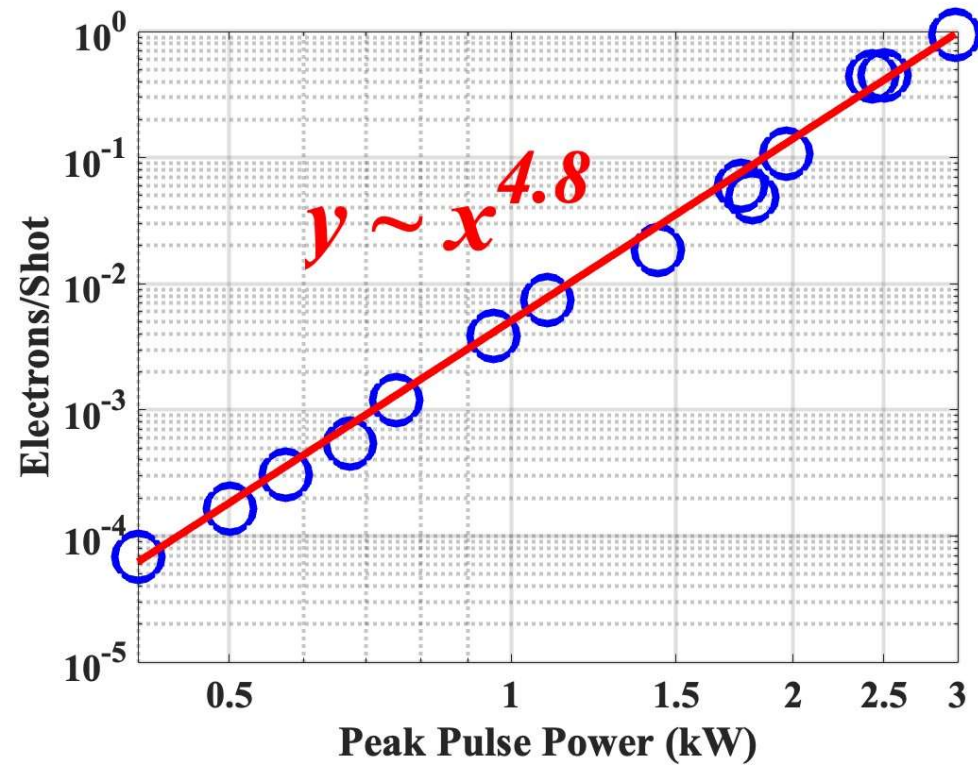
Plasmonic Spiral Photocathode: Experimental



Peak LASER power = 1.8 kW

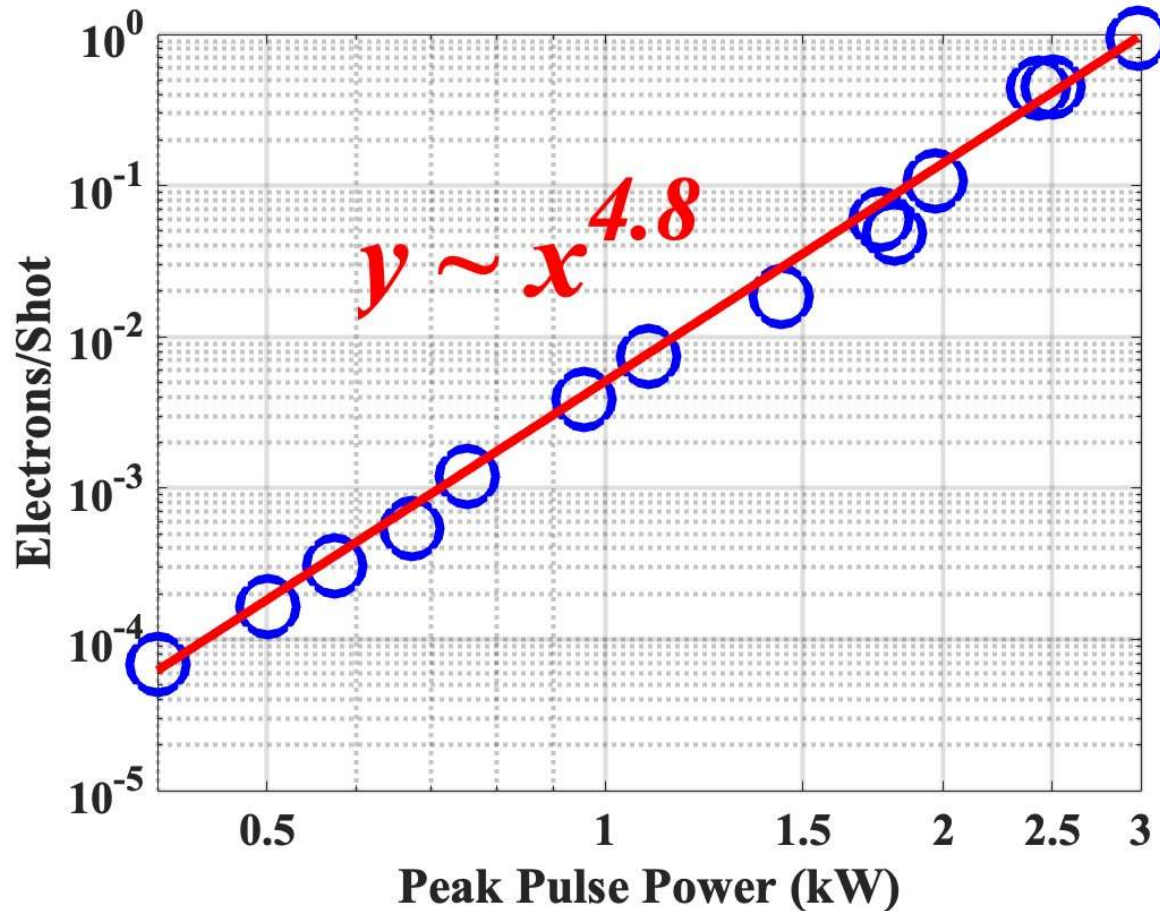


Order of Emission





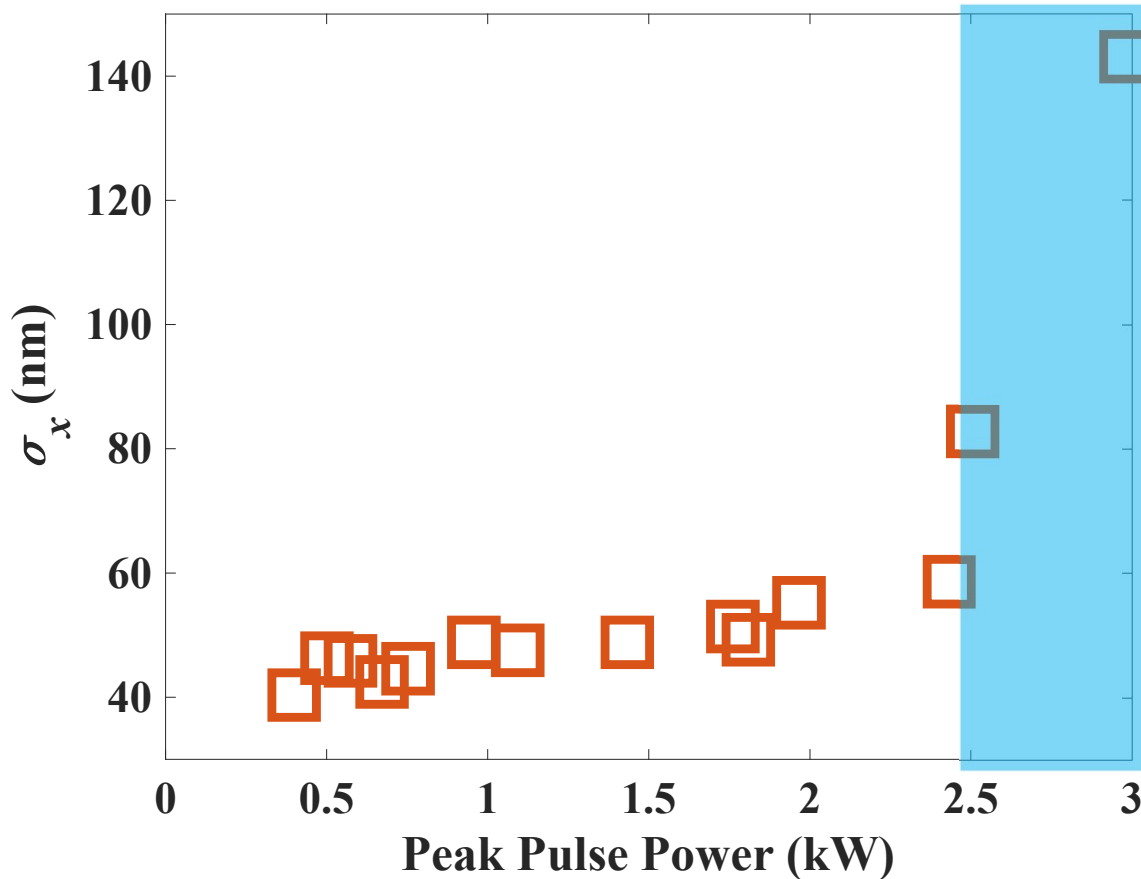
Spiral Photocathode: Order of Emission



~120 nm FWHM
electron emission spot
size makes sense as
Order of Emission ~5th



Spiral Photocathode: Spot Size



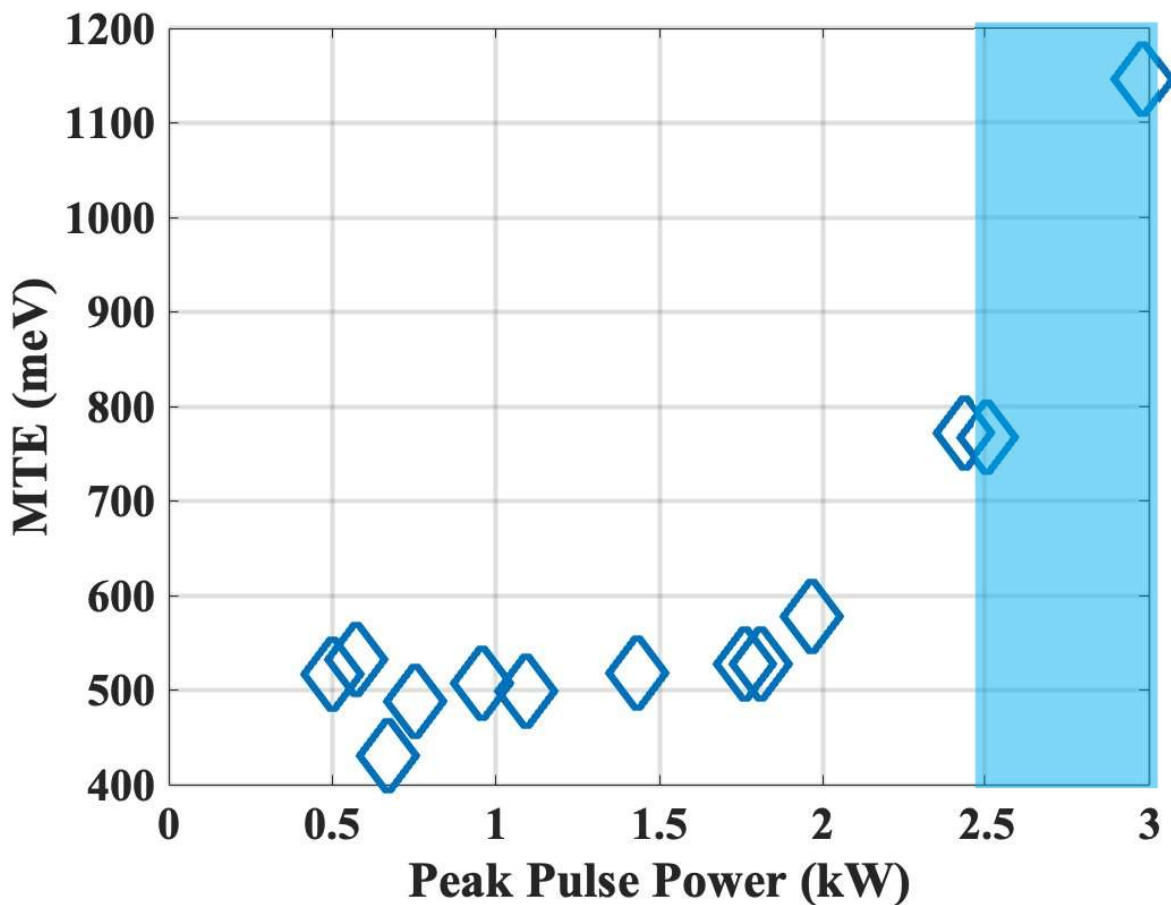
$\sigma_x \sim 50$ nm electron emission spot size over wide range of LASER power

Spot size increases

- $e^- - e^-$ interaction



Spiral Photocathode: MTE



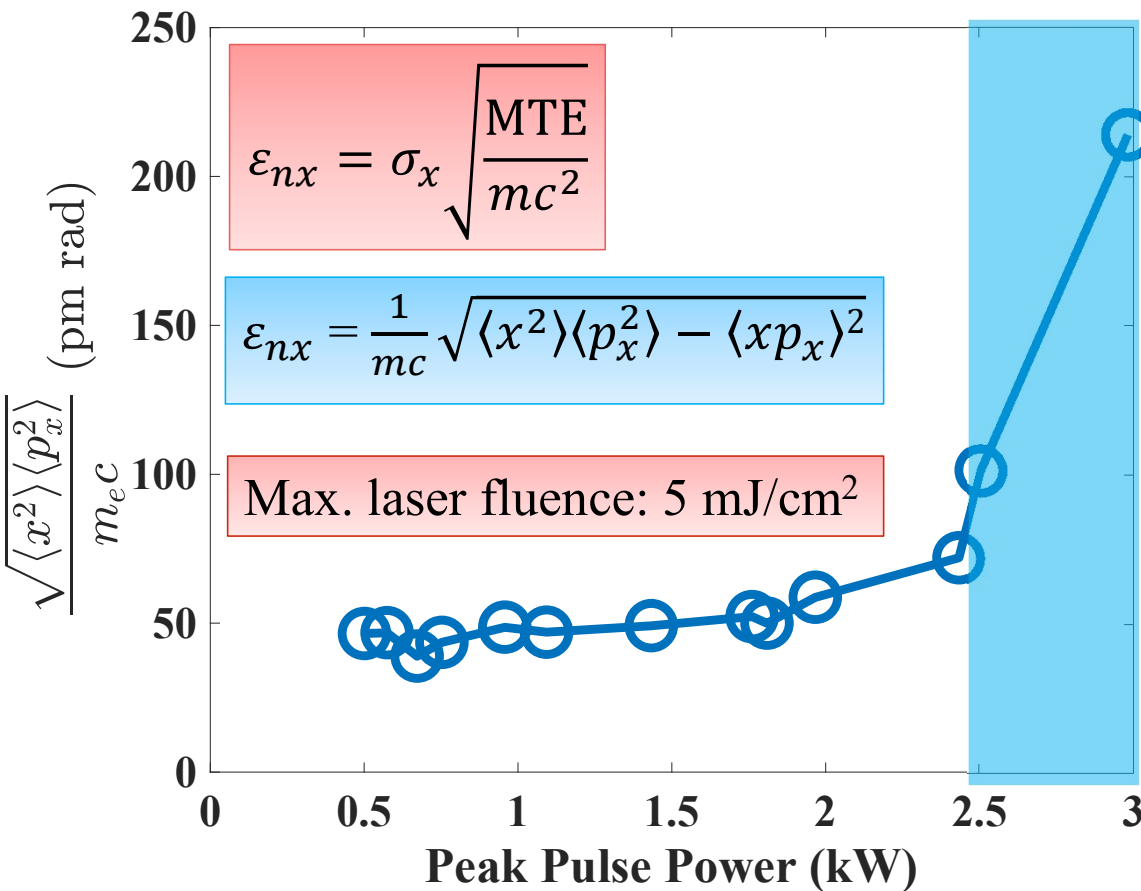
MTE ~ 500 meV over wide range of LASER power

MTE increases

- $e^- - e^-$ interaction



Spiral Photocathode: Emittance



Record $\varepsilon_n \sim 40$ pm rad achieved from a geometrically flat photocathode without the use of any pinholes

- 2 pm rad from ZrO/W emitter tip in an Electron Microscope [*Ultramicroscopy* 176 (2017): 63-73]
- 120 pm rad from flat cathode in a photoinjector after the pinhole [*HiRES UED Beamline*]

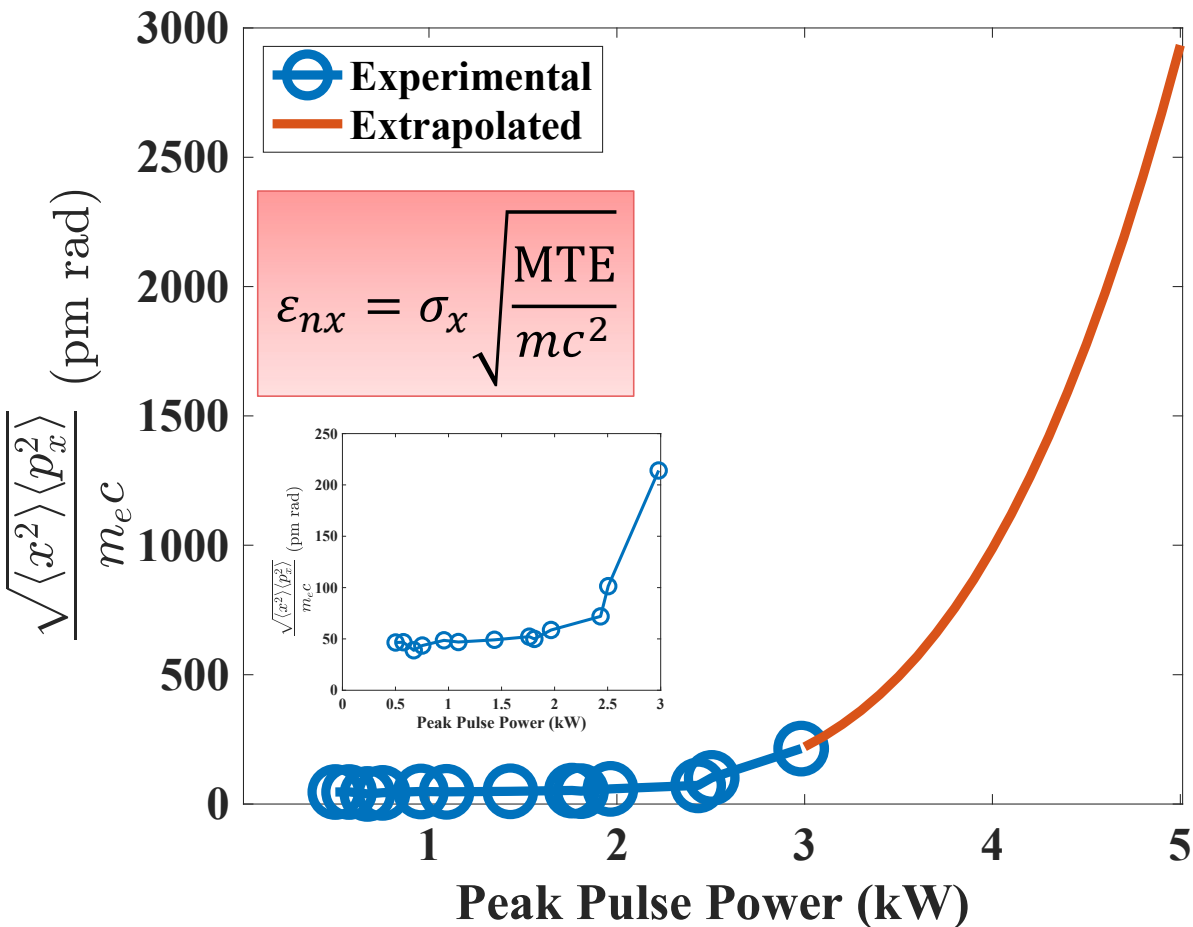
ε_{nx} increases

- $e^- - e^-$ interaction

$e^- - e^-$ interaction could result in correlated $x - p_x$ so emittance could still be small



Spiral Photocathode: Emittance Extrapolated

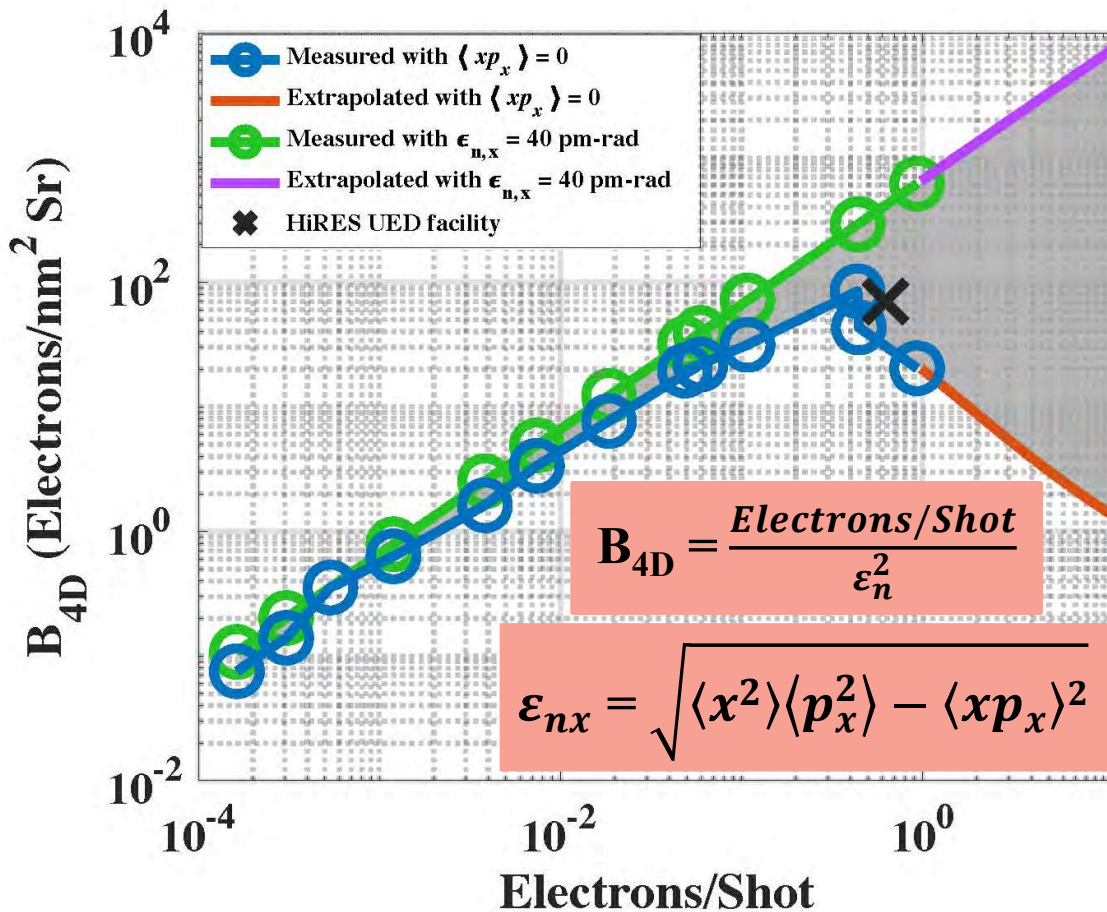


It was not possible to reliably measure the σ_x and MTE beyond 3 kW due to increased Coulomb interactions.

Cubic fit to extrapolate the emittance (~ 10 electrons/shot)



Spiral Photocathode: 4D-Brightness



Maximum 4-D Brightness achieved:
 ~ 85 electrons/nm² ($\langle xp_x \rangle = 0$)

Brightness decreases

- $e^- - e^-$ interaction

Maximum 4-D Brightness achieved:
 ~ 600 electrons/nm² ($\epsilon_{nx} = 40$ pm-rad)

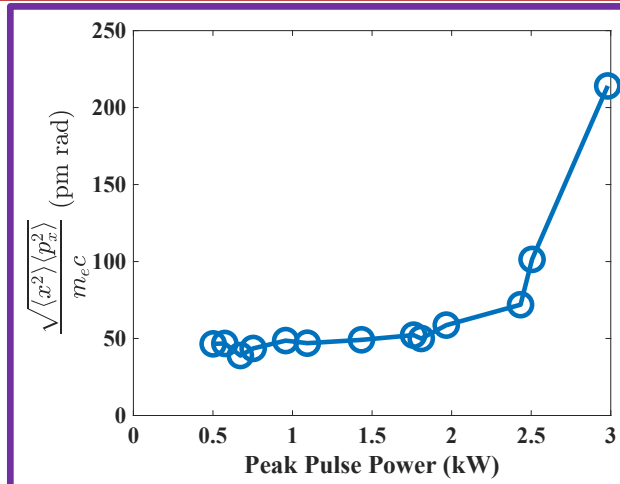
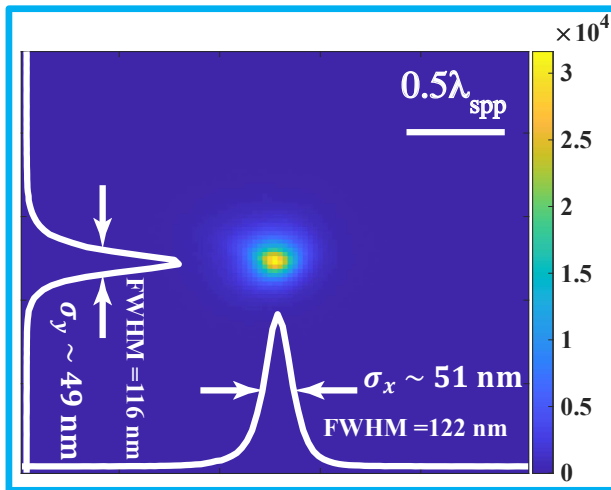
The gray area shows the region in which the brightness from spiral could lie depending on the nature of the correlations developed in x and p_x due to the Coulomb interactions



Spiral Photocathode: Conclusion



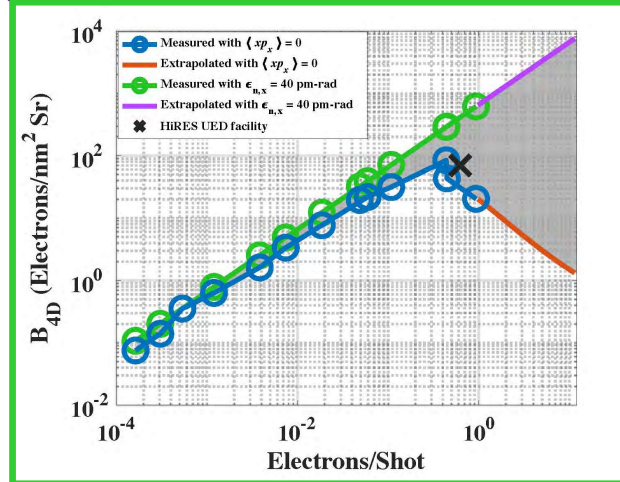
Record $\sigma \sim 50$ nm achieved from a flat metal photocathode



Record $\epsilon_n \sim 40$ pm rad achieved from a flat metal photocathode

Max. $B_{4D} = 85$ electrons/nm² ($\langle xp_x \rangle = 0$)

- Electron source for UED/M
- Electron source for Dielectric Laser Accelerators
- Development of Compact UED
- Shaped Electron Beams for next generation accelerators



$B_{4D} = 85$ electrons/nm²; $\epsilon_n < 70$ pm rad

Max. $B_{4D} = 600$ electrons/nm² ($\epsilon_{nx} = 40$ pm-rad)

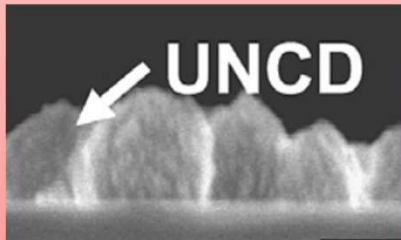


Conclusion



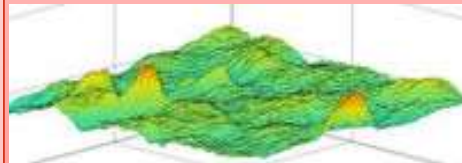
(N)UNCD Photocathode

- Vacuum Robustness
- Good for application which have poor vacuum conditions



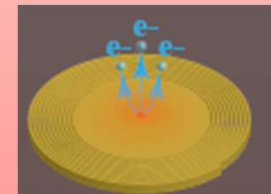
Cs₃Sb Photocathode

- Stringent vacuum requirements
- Can be used for applications that required high current



Plasmonic Spiral Photocathode

- Vacuum Robustness
- Limited Charge
- Stroboscopic UED/UEM
- Shaped Electron Beams

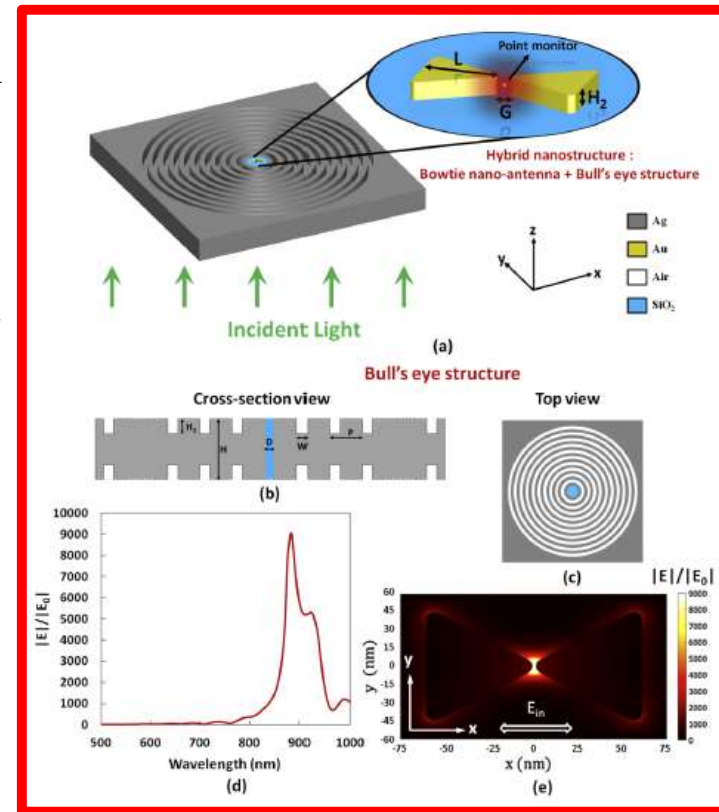




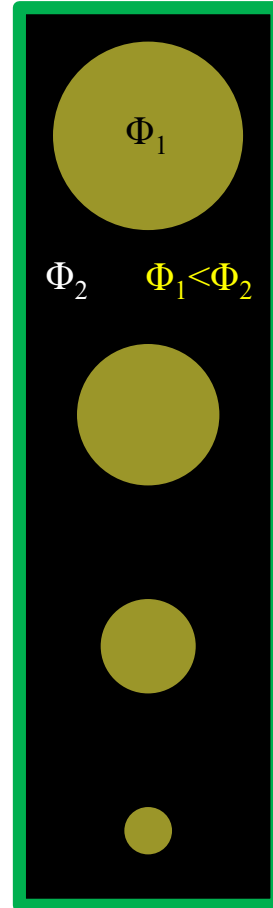
Future Work



- Photoemission from NEA - (N)UNCD
- Photoemission studies of epitaxial $\text{Cs}_3\text{Sb}/\text{CsSb}$ and other alkali antimonide photocathodes such as K_2CsSb and Na_2KSb
- Study the performance of (N)UNCD, Cs_3Sb and other alkali antimonide photocathodes at cryogenic temperatures
- Integrate Spirals (small spot size) with alkali antimonides (small MTE)
- Photoemission from plasmonic bowtie structure or hybrid plasmonic structure ($\epsilon_{nx} \sim 10$ pm-rad, $B_{4D} \sim 10,000$ electrons/(nm²Sr))
- Work function engineered electron sources
- Test in Accelerator Environment



Osa Continuum 4.1 (2021): 193-211





Acknowledgements

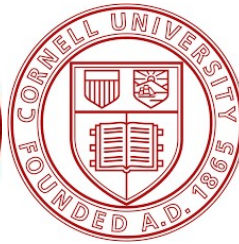


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- Prof. Rehan Kapadia
- Dr. Raghieb Ahsan
- Dr. Hyun Uk Chae
- Prof. Jared Maxson
- Dr. Chris Pierce
- Dr. Danielle Filippetto



Thank You!
Questions? Comments?



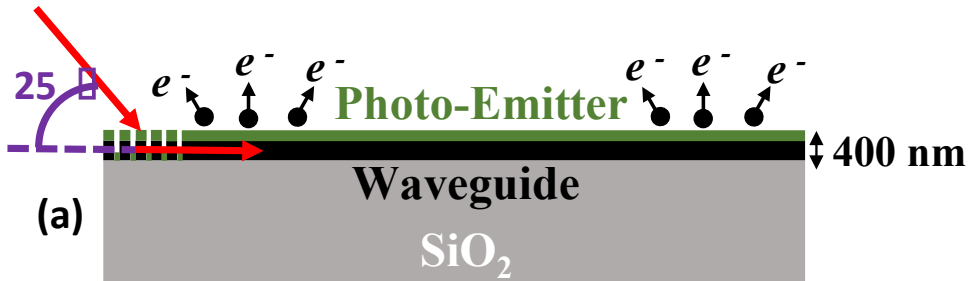
Back Up Slides



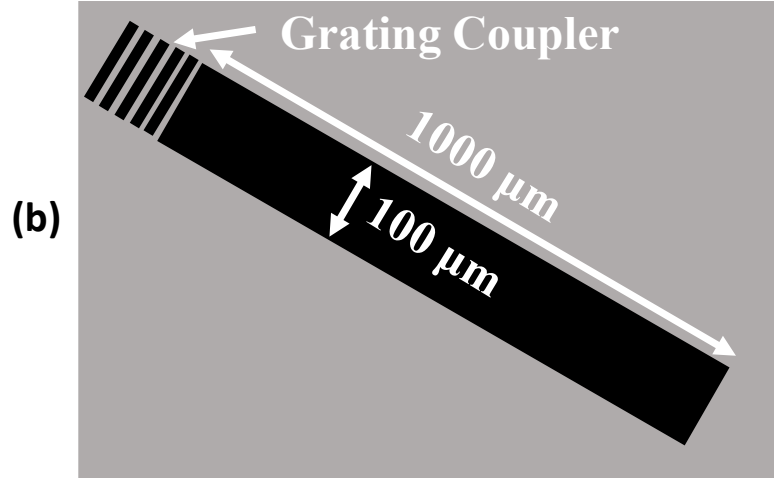
Photonics Integrated Cathodes



LASER in



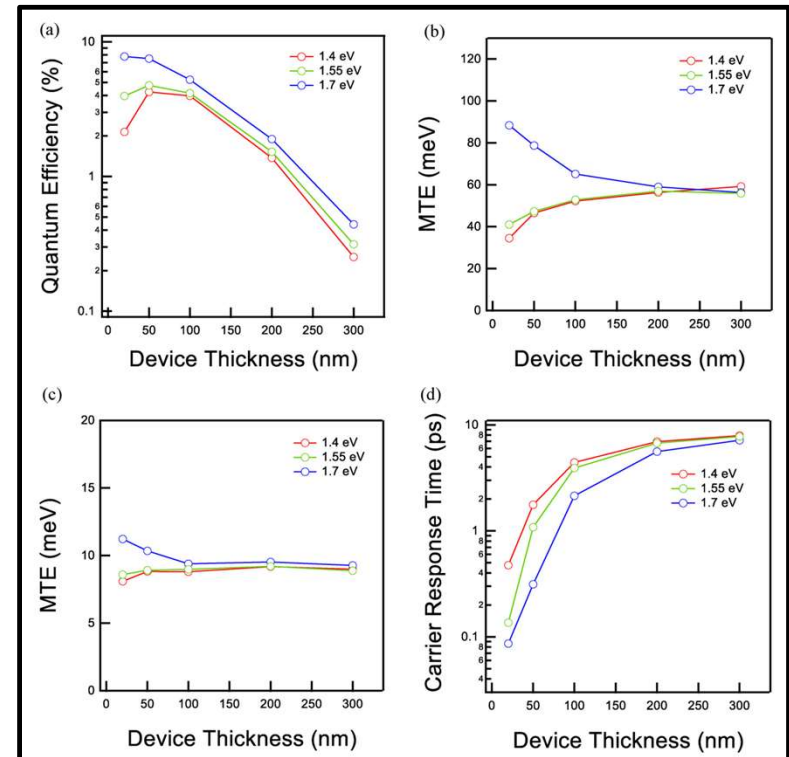
(a)



(b)

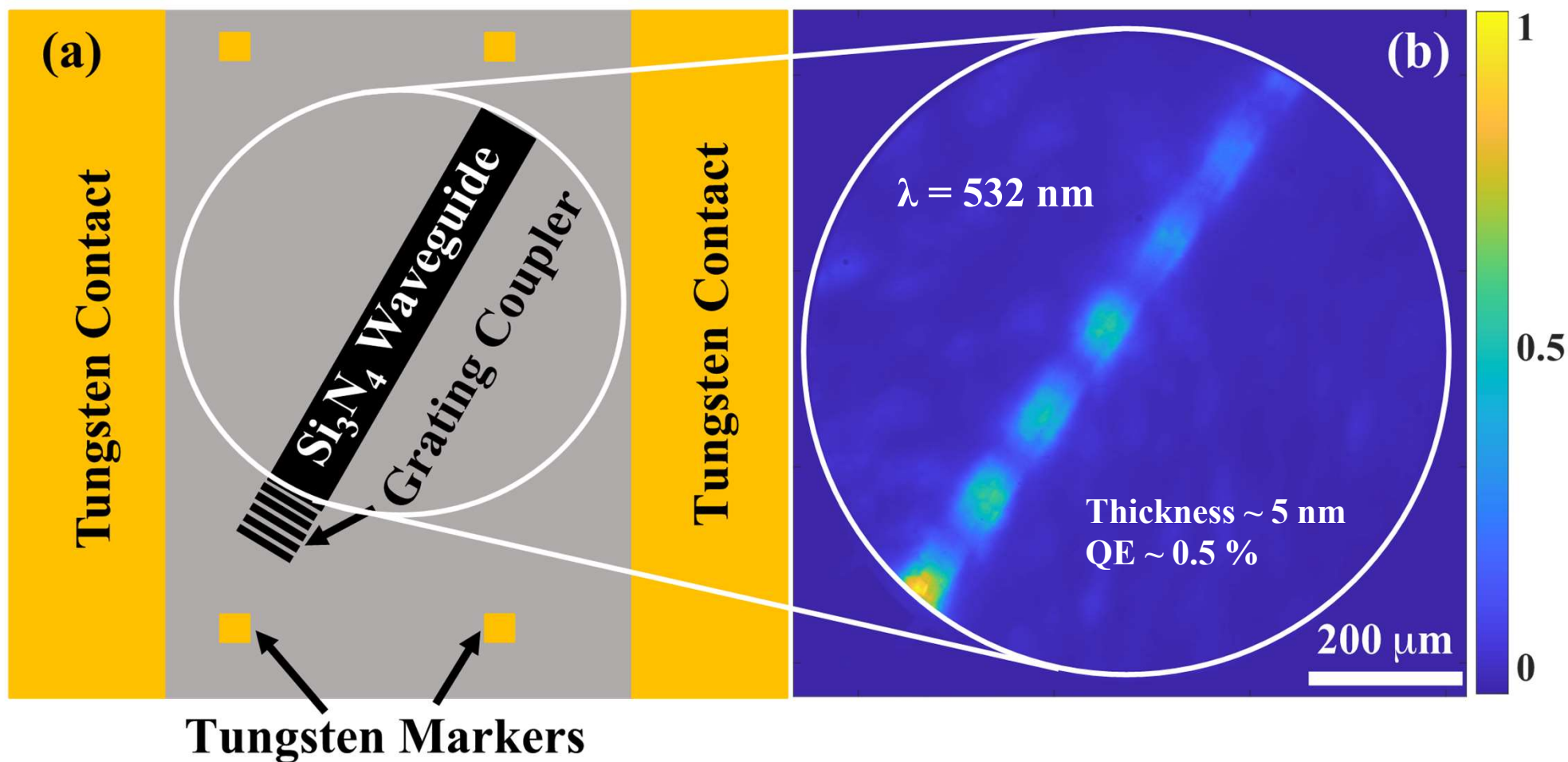
Blankemeier, L., et al., 2019.

- High quantum efficiency (QE)
- Low MTE
- Quick response time



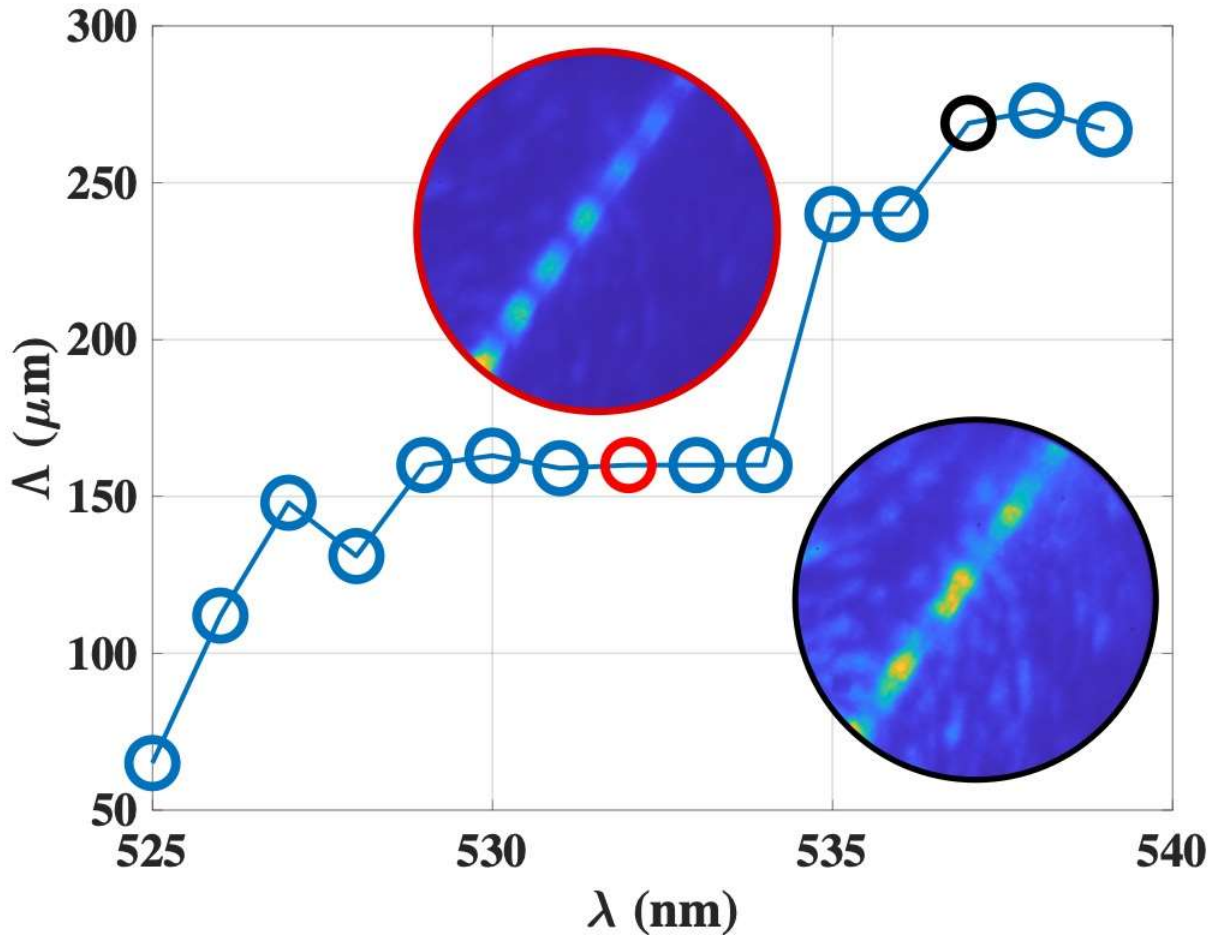


Photonics Integrated Cathodes: Cs_3Sb





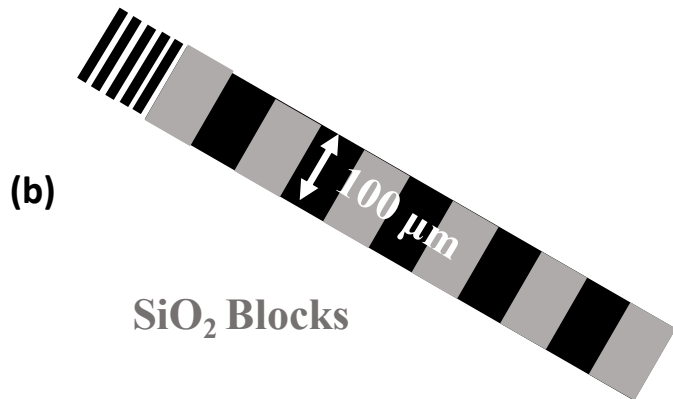
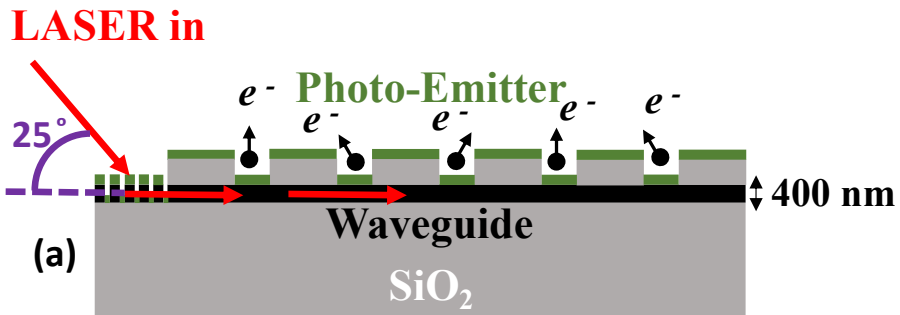
Photonics Integrated Cathodes: Cs_3Sb



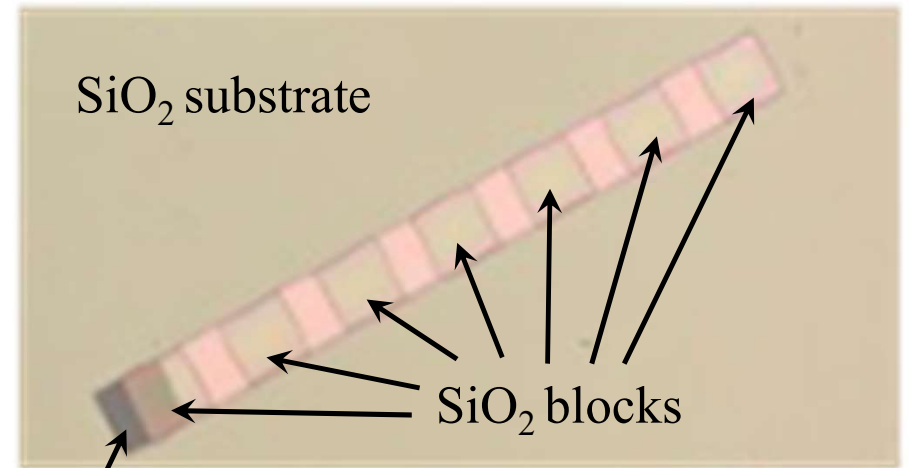
- Si_3N_4 waveguide with the cross section of the order of wavelength and high aspect ratios support fundamental as well as higher order modes at a single wavelength.
- Transverse patterns are formed due to interference between these co-propagating modes.



Photonics Integrated Cathodes: Oxide Pattern



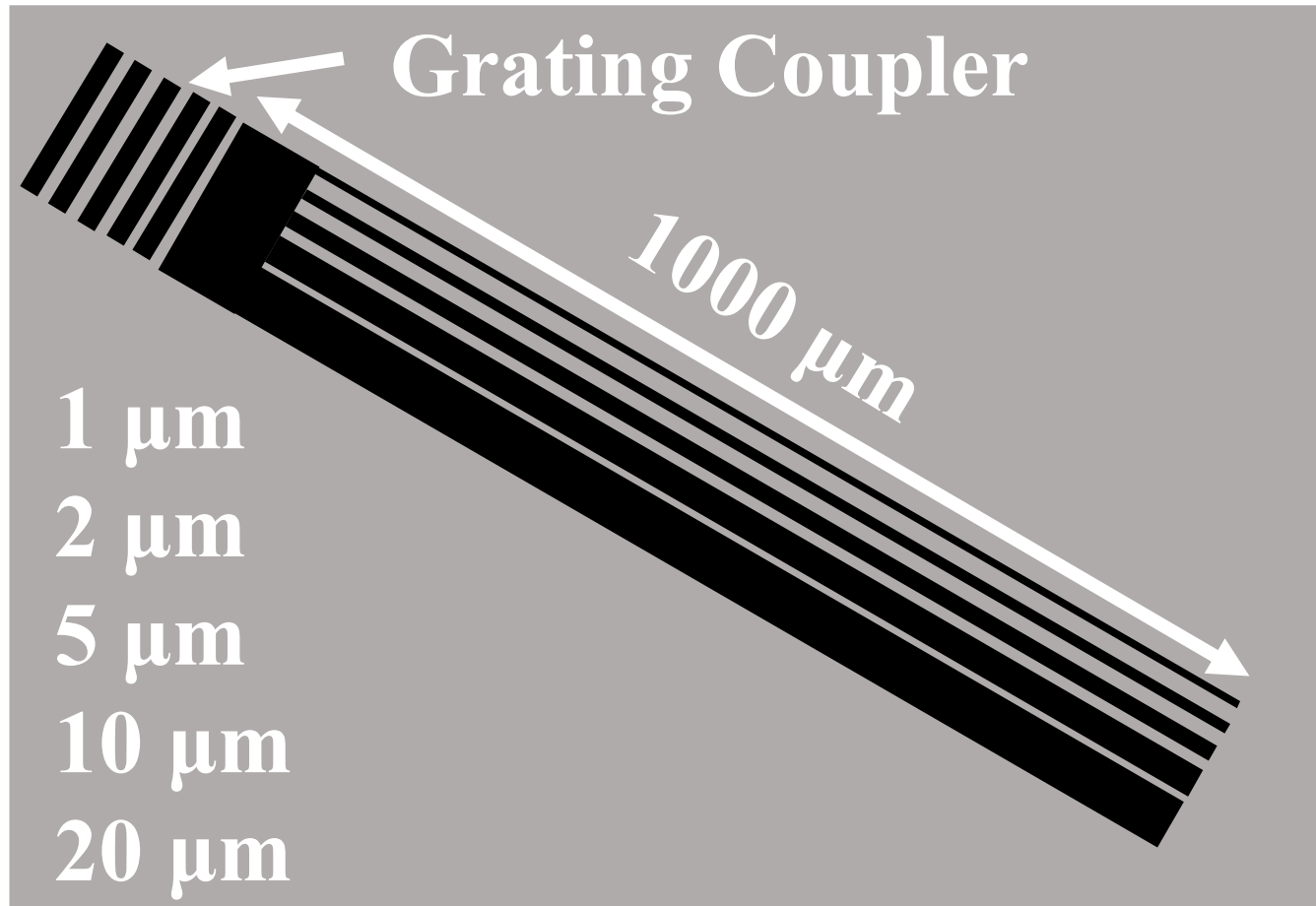
Optical Microscope Image: SiO₂ blocks on top of Si₃N₄ waveguide



Grating Coupler

SiO₂ blocks thickness ~ 600 nm

Acknowledgement: Prof. Rehan Kapadia, Dr. Ragib Ahsan and Hyun Uk Chae





Photonics Integrated Cathodes: Cs₃Sb

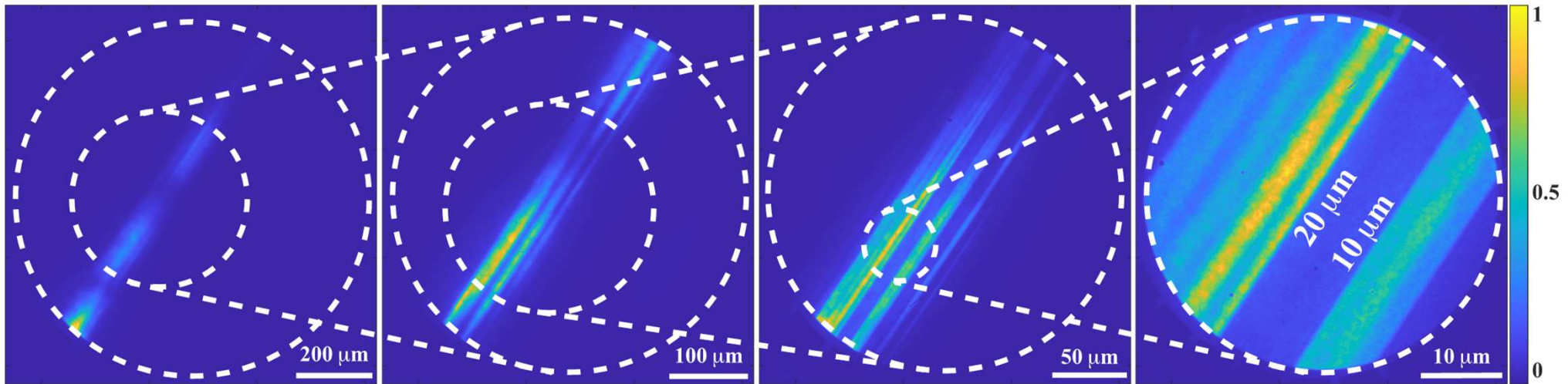


$\mathcal{E} \sim 50 \text{ kV/m}$

$\mathcal{E} \sim 500 \text{ kV/m}$

$\mathcal{E} \sim 2000 \text{ kV/m}$

$\mathcal{E} \sim 2000 \text{ kV/m}$



PEEM Image: Multiple Si₃N₄ waveguide; $\lambda = 522 \text{ nm}$; Film thickness $\sim 5 \text{ nm}$; QE $\sim 0.5 \%$



Photonics Integrated Cathodes: Cs₃Sb

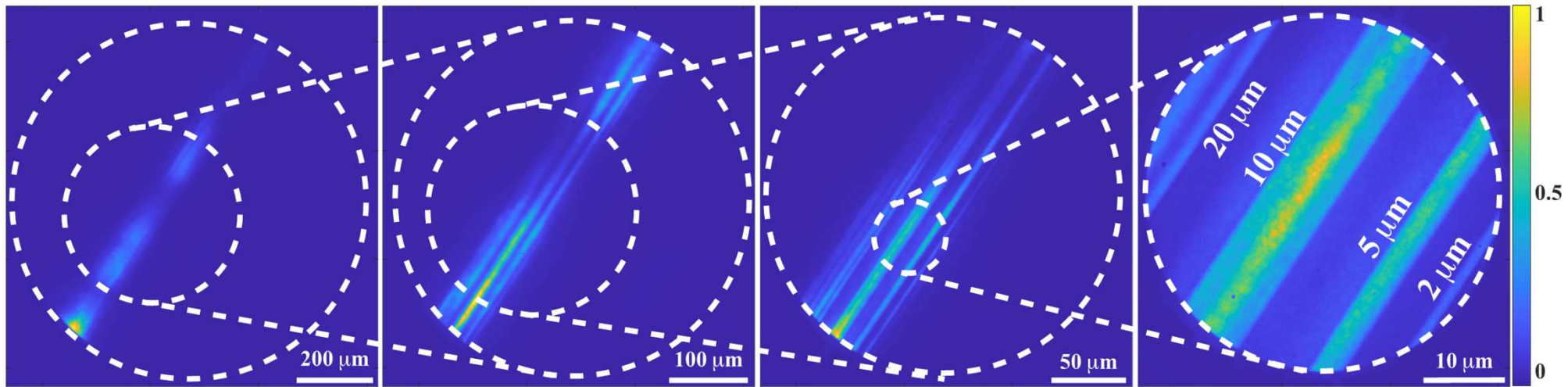


$\mathcal{E} \sim 50 \text{ kV/m}$

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PEEM Image: Multiple Si₃N₄ waveguide; $\lambda = 522 \text{ nm}$; Film thickness $\sim 5 \text{ nm}$; QE $\sim 0.5 \%$



Photonics Integrated Cathodes: Cs_3Sb

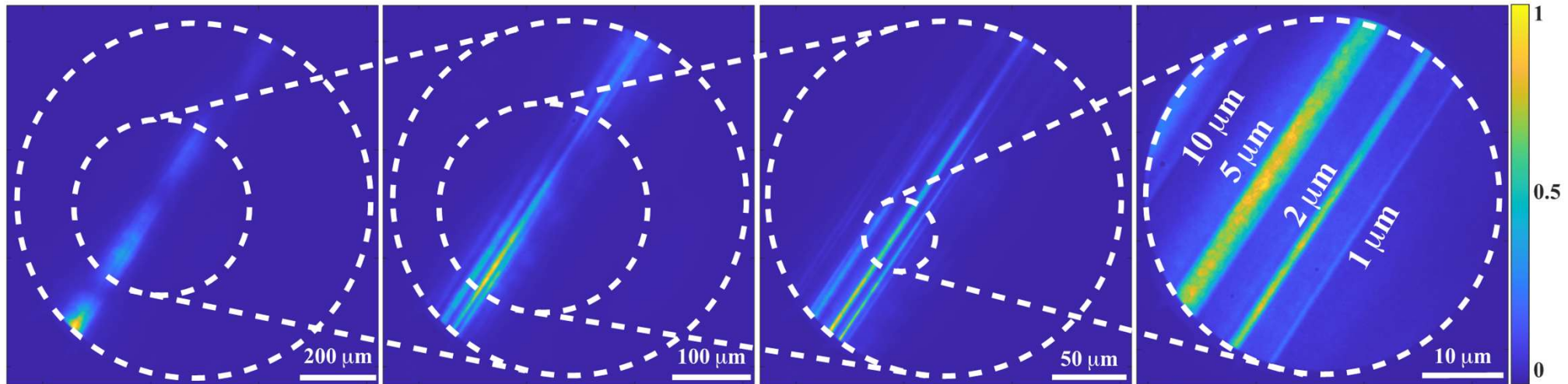


$\mathcal{E} \sim 50 \text{ kV/m}$

$\mathcal{E} \sim 500 \text{ kV/m}$

$\mathcal{E} \sim 2000 \text{ kV/m}$

$\mathcal{E} \sim 2000 \text{ kV/m}$



PEEM Image: Multiple Si_3N_4 waveguide; $\lambda = 522 \text{ nm}$; Film thickness $\sim 5 \text{ nm}$; QE $\sim 0.5 \%$



MTE



$$\bullet TE = \frac{1}{2} (mv_x^2 + mv_y^2) = \frac{\hbar^2}{2m} (k_x^2 + k_y^2)$$

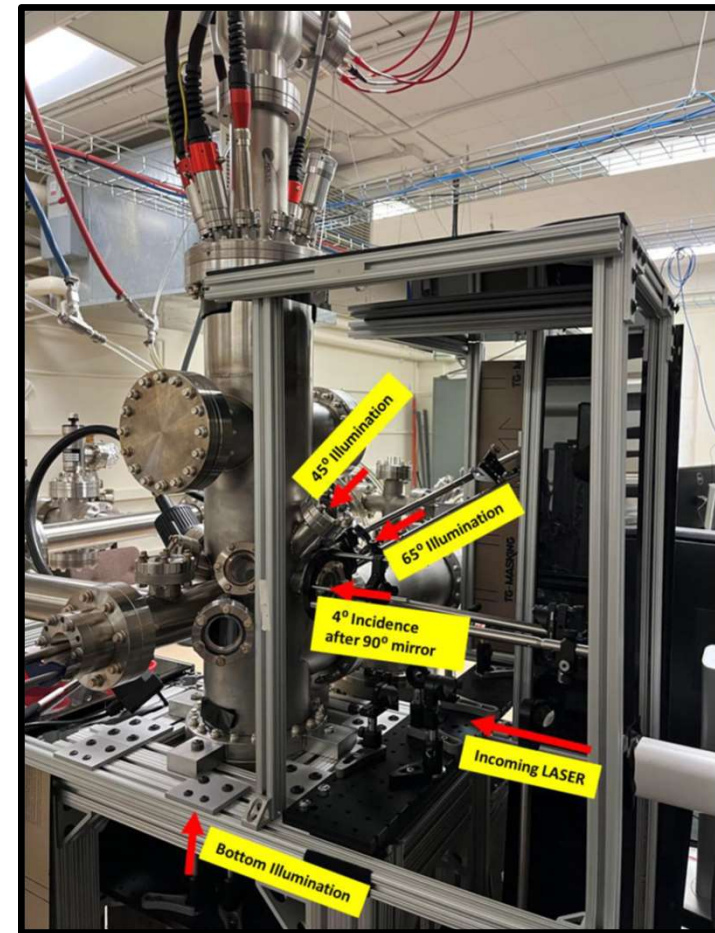
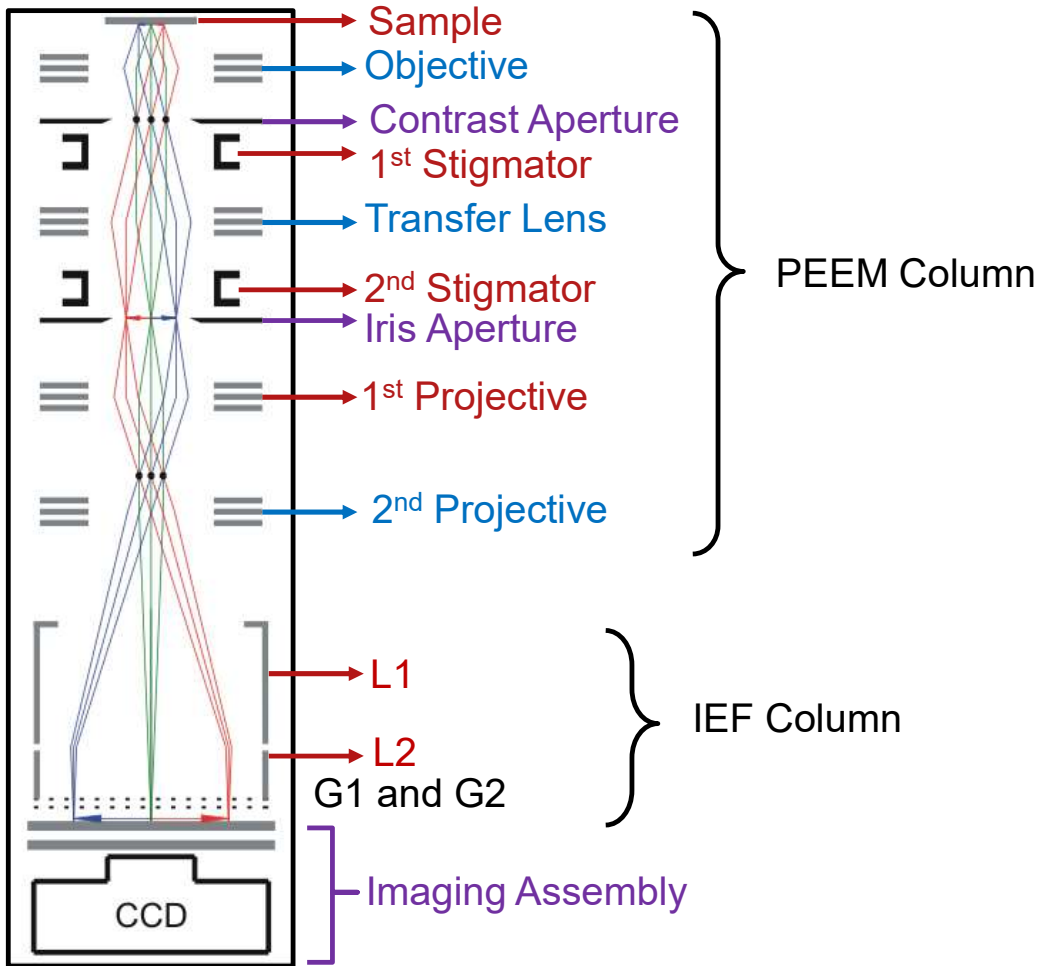
$$\bullet MTE_x = \frac{\hbar^2 \int k_x^2 e^{-\frac{1}{2}\left(\frac{k_x}{\sigma_x}\right)^2} dk_x}{2m \int e^{-\frac{1}{2}\left(\frac{k_x}{\sigma_x}\right)^2} dk_x}, \int_{-\infty}^{\infty} x^2 e^{-ax^2} = \frac{1}{2} \sqrt{\frac{\pi}{a^3}}, \int_{-\infty}^{\infty} e^{-ax^2} = \sqrt{\frac{\pi}{a}}$$

$$\bullet MTE_x = \frac{\hbar^2 \sigma_x^2}{2m}, MTE_y = \frac{\hbar^2 \sigma_y^2}{2m}$$

$$\bullet MTE = MTE_x + MTE_y = \frac{\hbar^2 (\sigma_x^2 + \sigma_y^2)}{2m} = \frac{\hbar^2 \sigma^2}{2m}$$

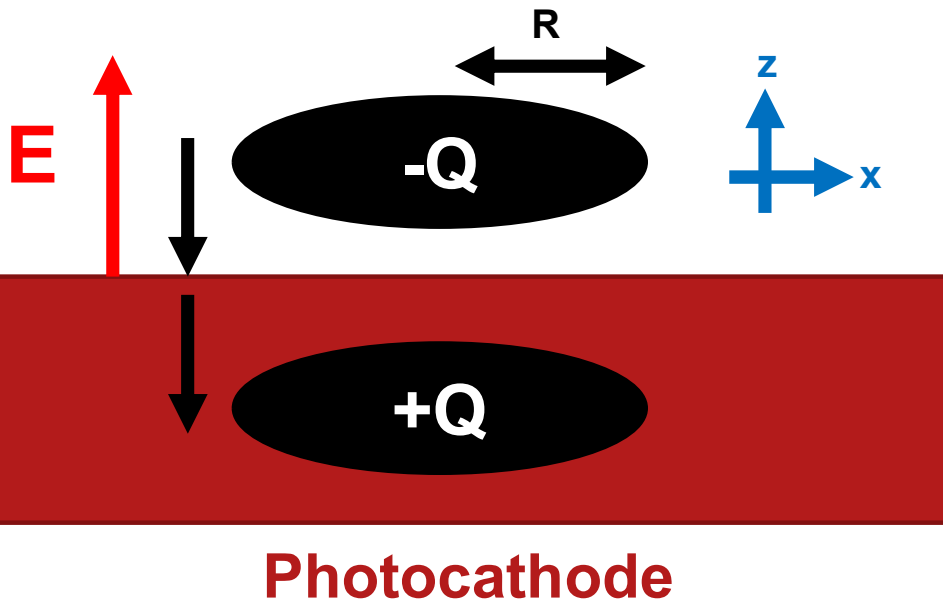


Brief Description of PEEM





Maximum Extracted Charge



Electric Field due to Uniformly Charged Disk:

$$E_{disc} = \frac{\sigma}{2\epsilon_0} \left(1 - \frac{z}{\sqrt{z^2 + R^2}} \right)$$

For $R \gg z$,

$$E_{disc} = \frac{\sigma}{2\epsilon_0}$$

Now the emission ceases once the applied electric field is equal to the electric field due to the emitted electron bunch and hence, we have,

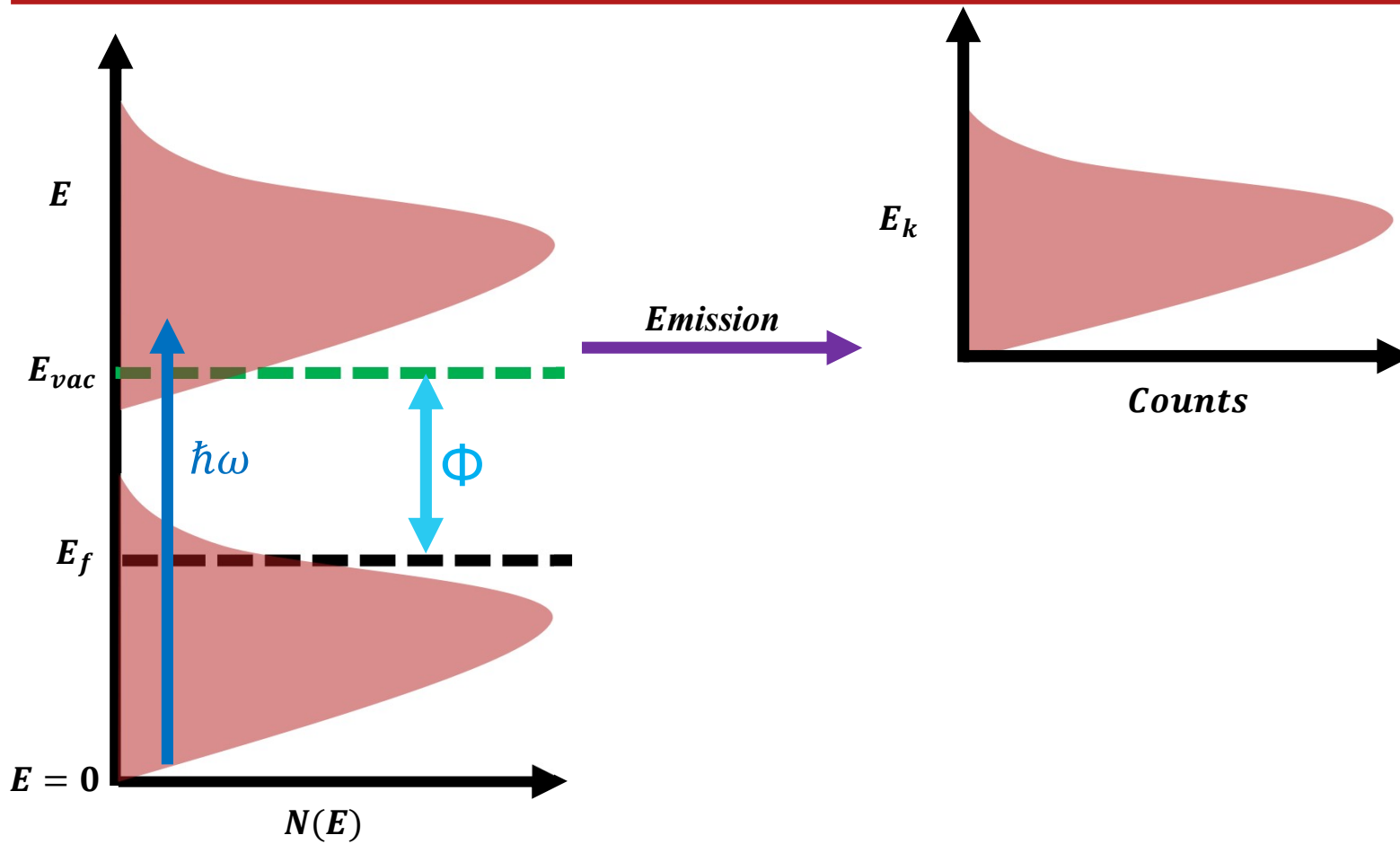
$$E = \frac{\sigma}{\epsilon_0}$$

$$E = \frac{Q_{max}}{A\epsilon_0}$$

$$Q_{max} = \epsilon_0 A E$$

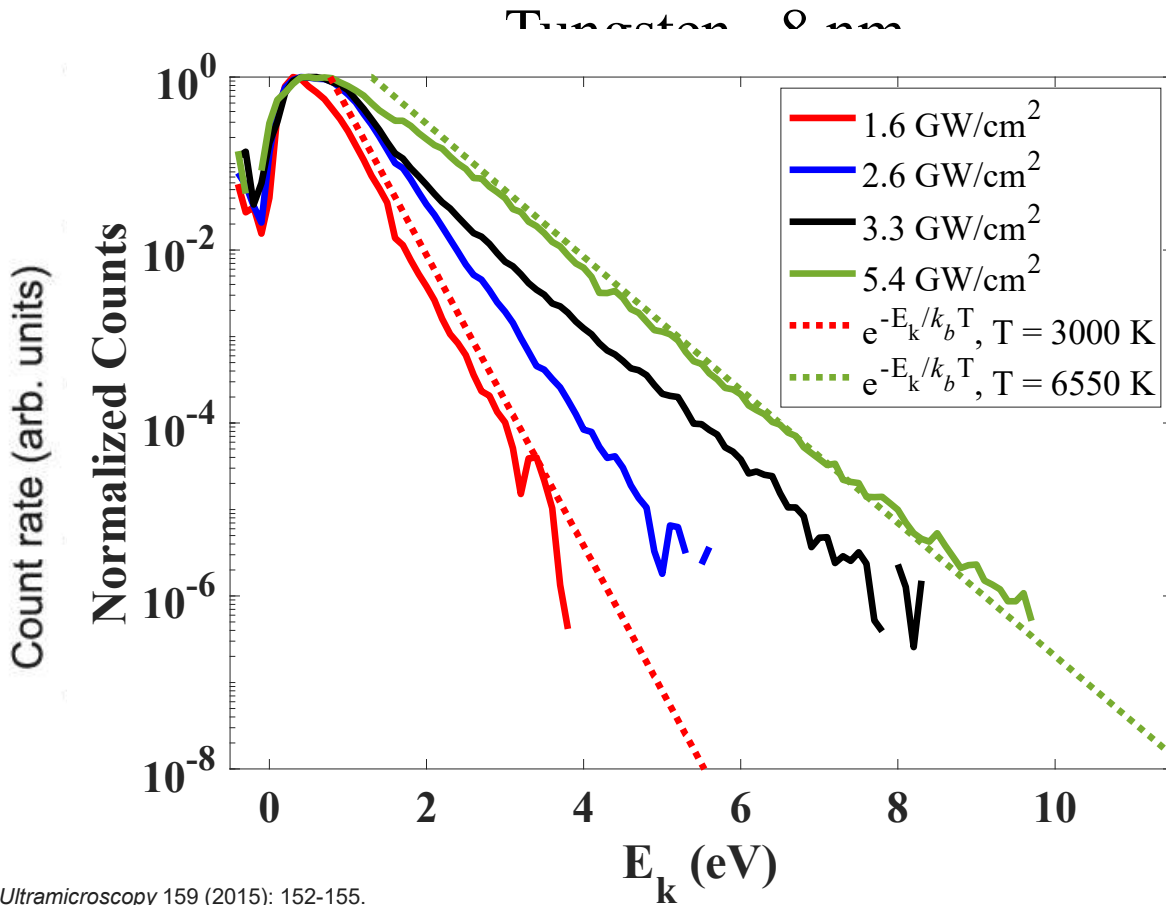


Energy Spectra



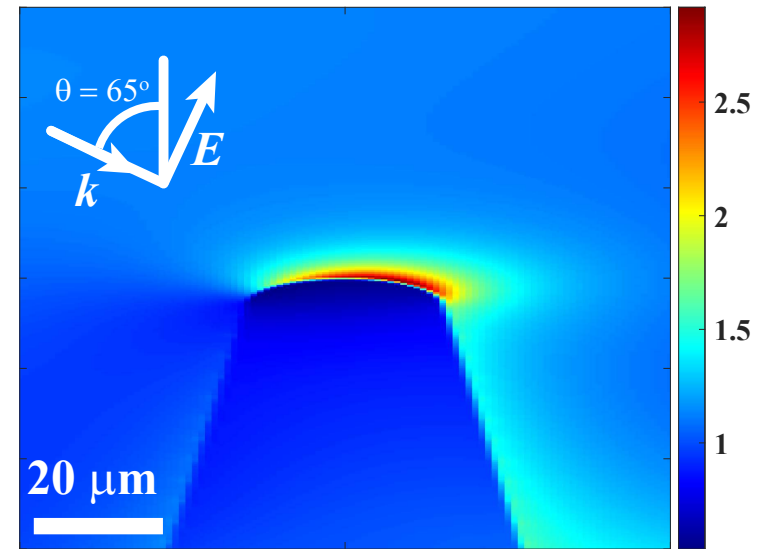


(N)UNCD Pyramid Tip Cathode: Energy Spectra



$$\gamma = \sqrt{\frac{\phi}{2U_p}}$$

$$U_p = \frac{e^2 \alpha^2 E^2}{4m\omega^2}$$



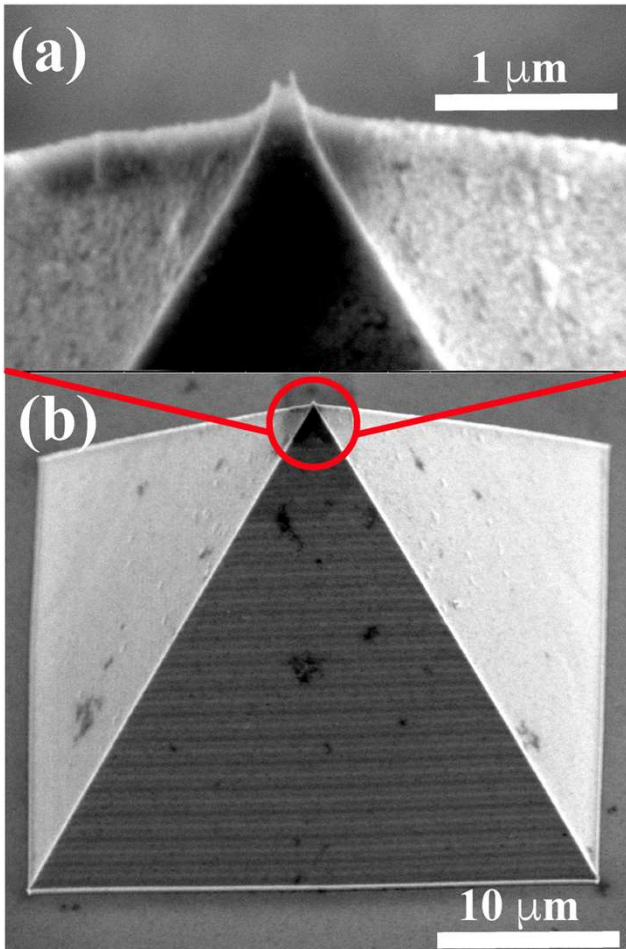
$U_p \sim 0.3 \mu\text{eV}$.

$\gamma \sim 3900$

Ultramicroscopy 159 (2015): 152-155.
New Journal of Physics 18.10 (2016): 103010.
ACS nano 16.9 (2022): 14479-14489.



(N)UNCD Pyramid Tip Cathode: SEM After

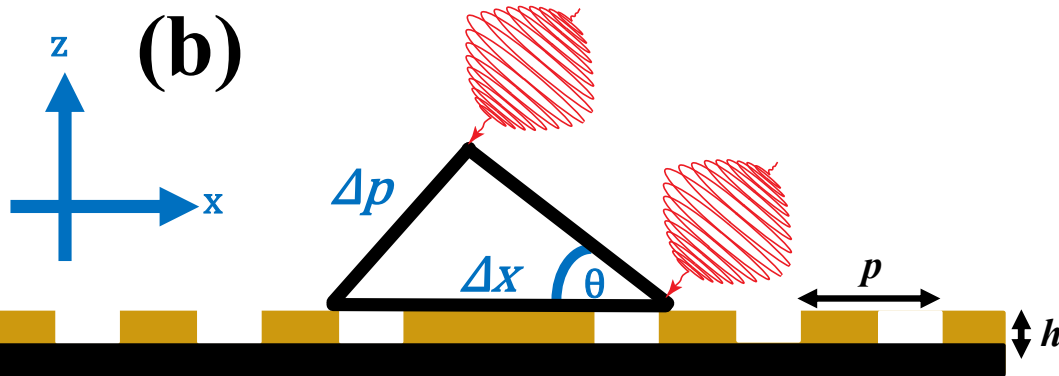
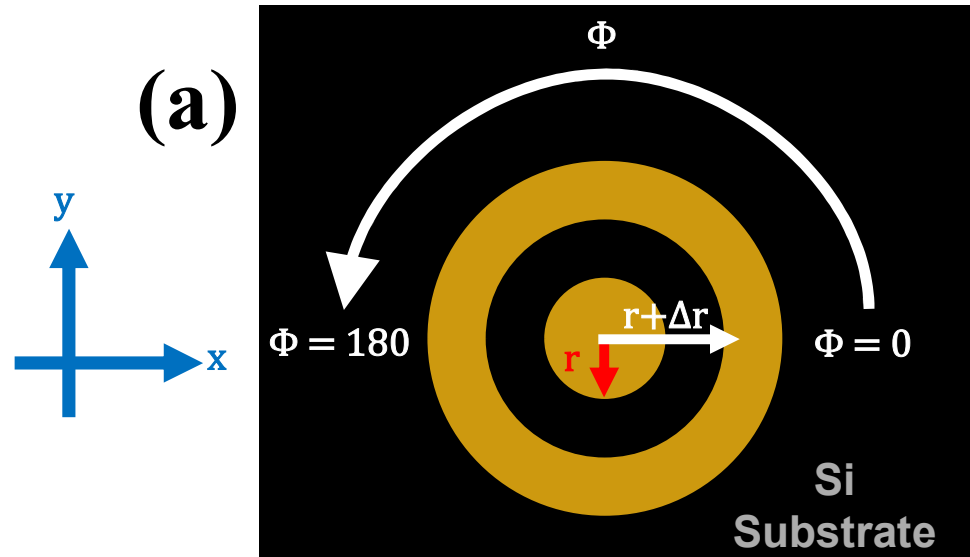


(a) Structural change in the tip at the apex of (N)UNCD PTC after irradiation with femtosecond laser with the pulse length of 150 fs and central wavelength of 800 nm

(b) (N)UNCD PTC showing laser-induced periodic surface structures (LIPSS) on the pyramid face exposed to the incident laser. The LIPSS were oriented perpendicular to the direction of the electric field of the incident laser with a spatial period of the order of 800 nm.



Spiral Compensation



$$\frac{\Delta p}{c} = \frac{\Delta r}{v_{sp}}$$

$$\Delta r = \frac{(\Delta p)(v_{sp})}{c}$$

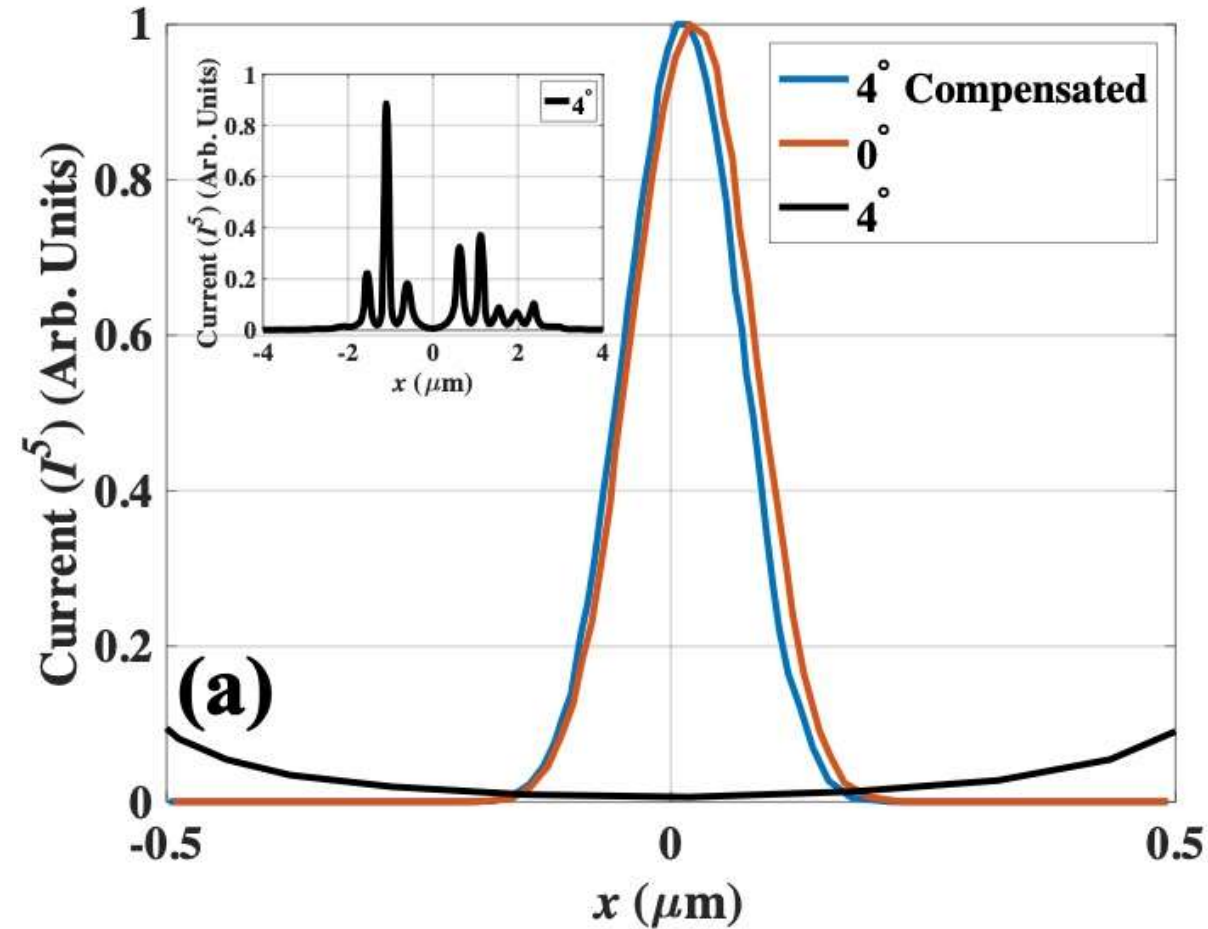
$$\Delta r = \frac{(\Delta p)(\lambda_{sp})}{\lambda} = \frac{(\Delta x \sin(\theta))(\lambda_{sp})}{\lambda}$$

$$x' = r \cos(\phi) + r \cos(\phi) \sin(\theta) \left(\frac{\lambda_{sp}}{\lambda} \right) \cos(\phi)$$

$$y' = r \sin(\phi) + r \cos(\phi) \sin(\theta) \left(\frac{\lambda_{sp}}{\lambda} \right) \sin(\phi)$$



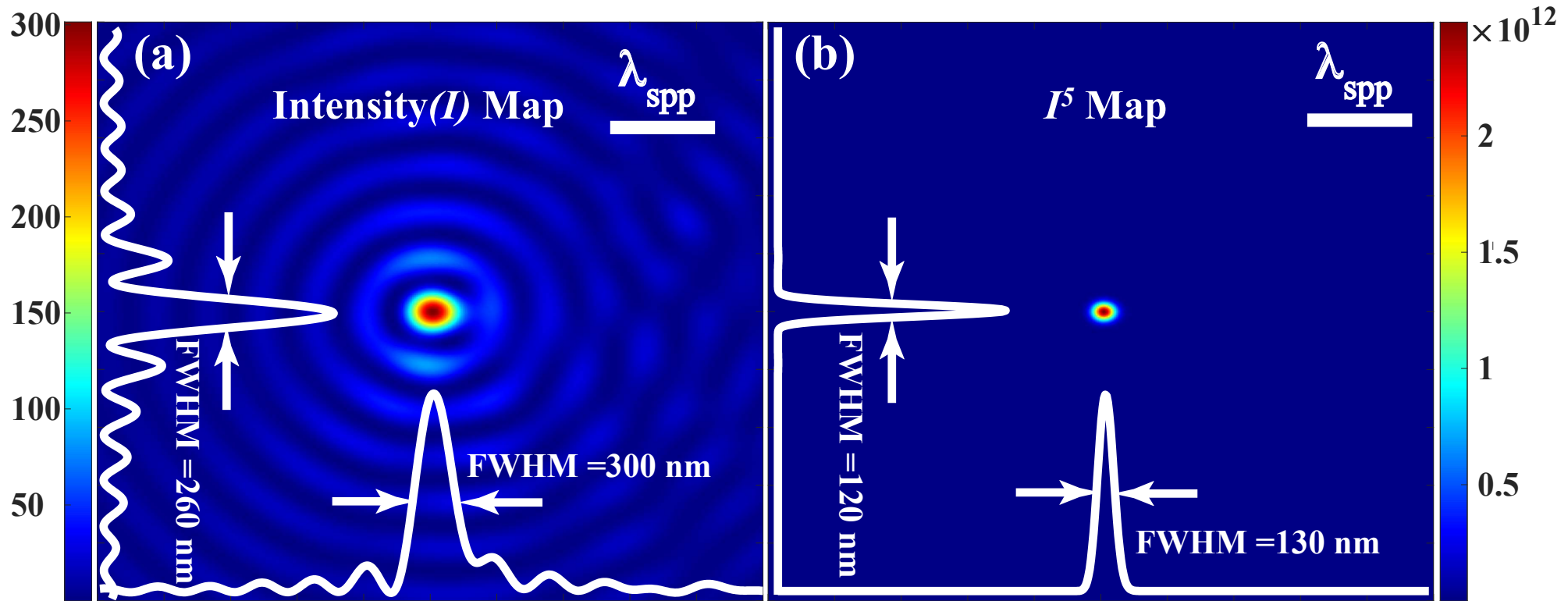
Plasmonic Spiral: Tilt Compensation



FWHM ~ 120 nm
5th order electron emission



Plasmonic Spiral Photocathode: FDTD Simulation



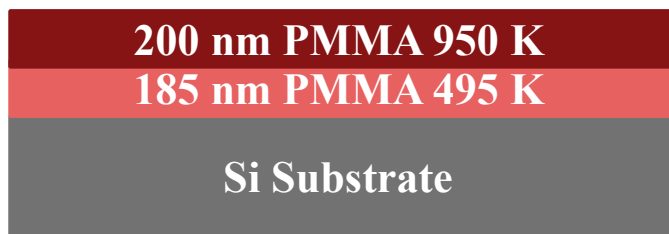
Fowler Dubridge:
 $J_n \propto I^n$

$\Phi_{\text{Au}} \sim 5.4 \text{ eV}; \hbar\omega = 1.55 \text{ eV}$
 $n = 4 (5), J_4 \propto I^4 (I^5)$

Non-Linear Photoemission:
electron source size $\sim 140 (120) \text{ nm}$

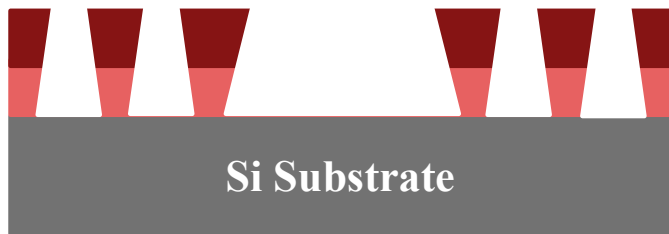


New Fabrication Technique



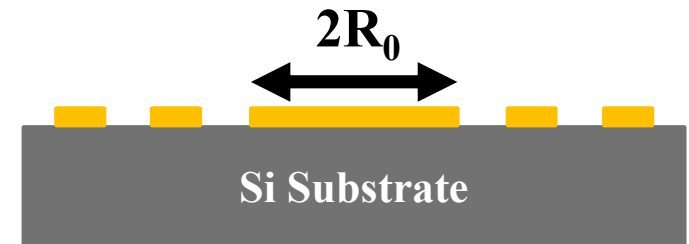
Written with EBL

Developed in MIBK:IPA = 1:3



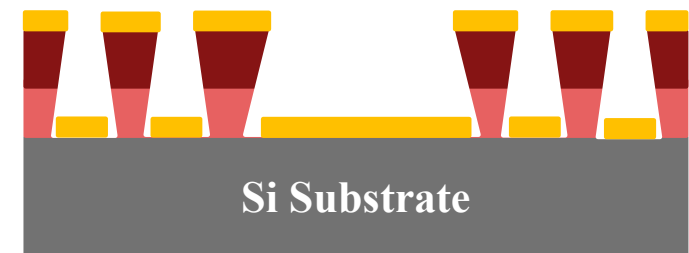
Spiral Fabrication Process

Treated with O₂ Plasma
E beam evaporation of Cr (5 nm)/Au (120 nm)



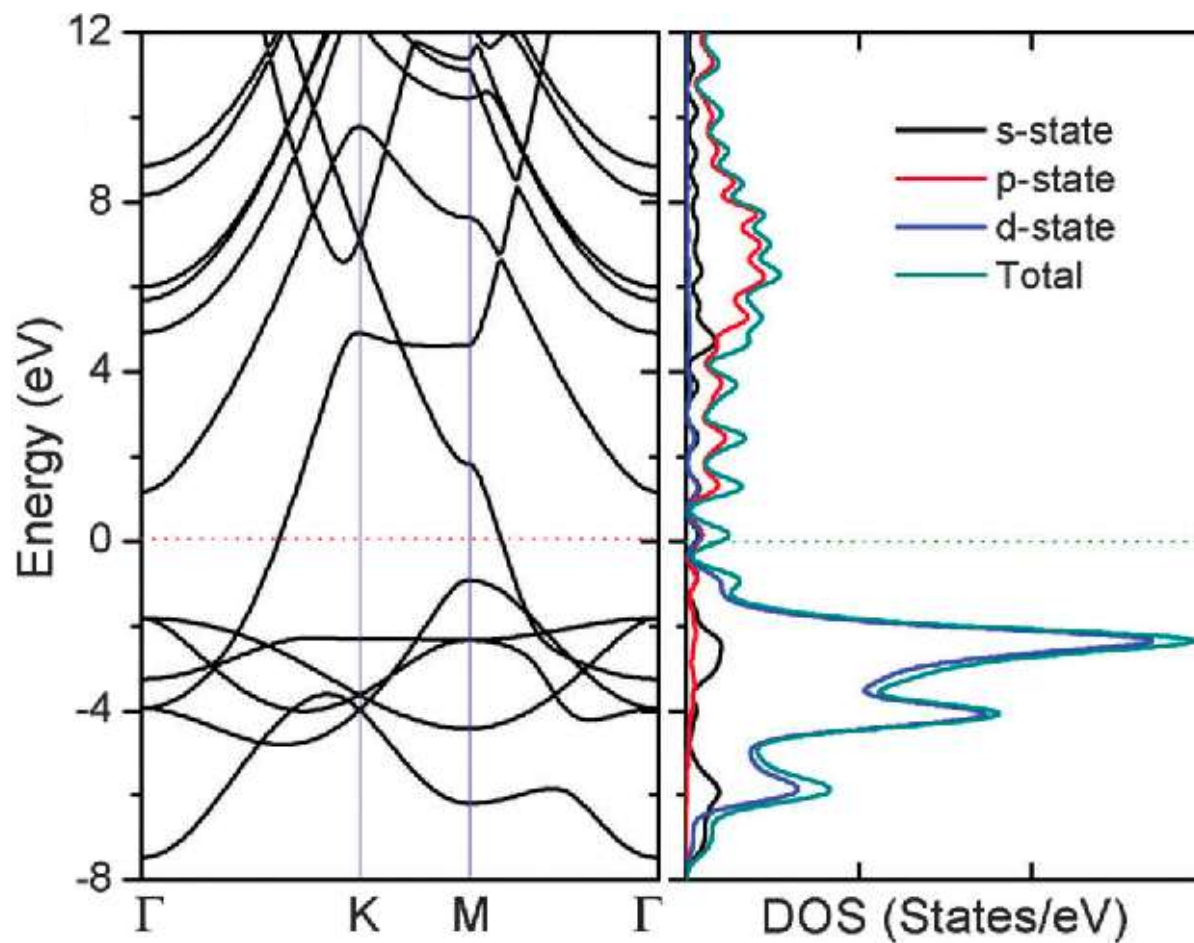
Lift off with Remover PG

Rinsed with IPA





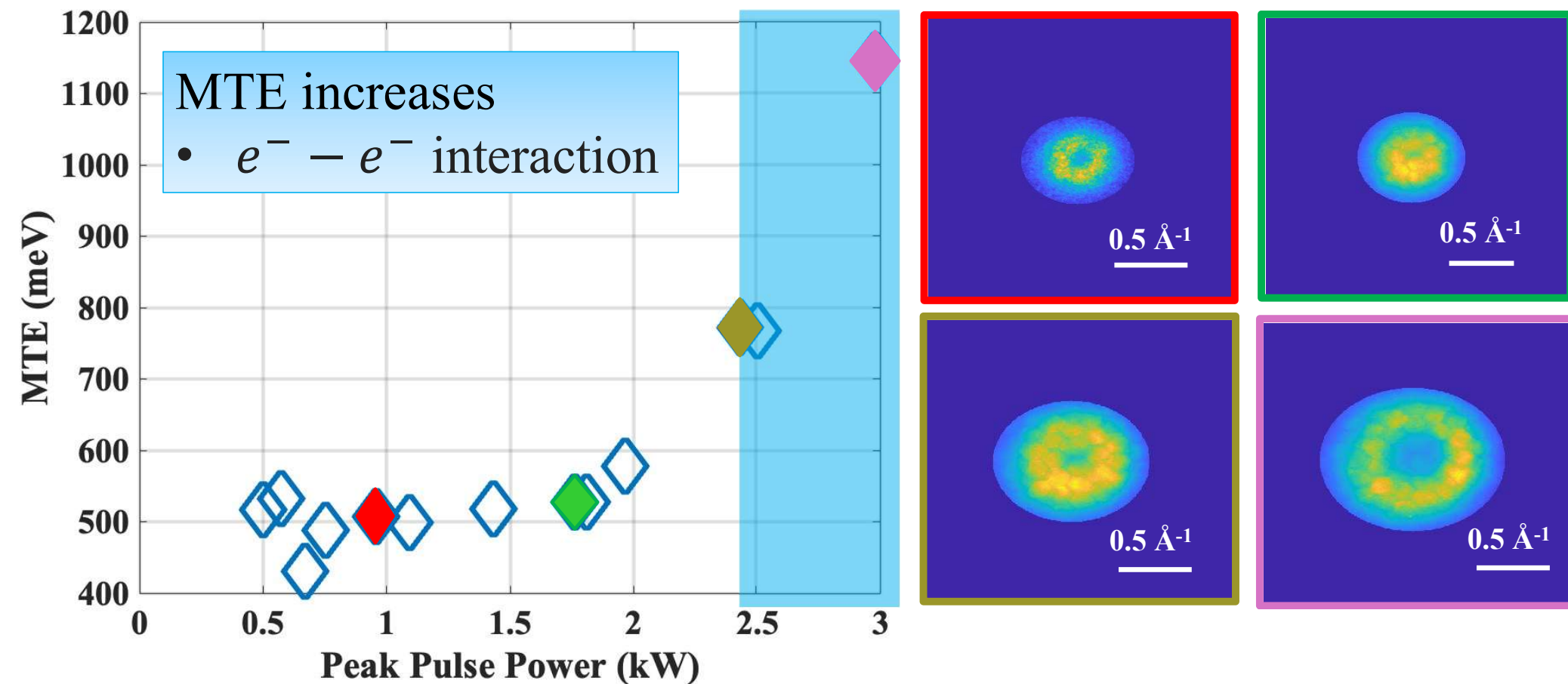
Density of States: Gold

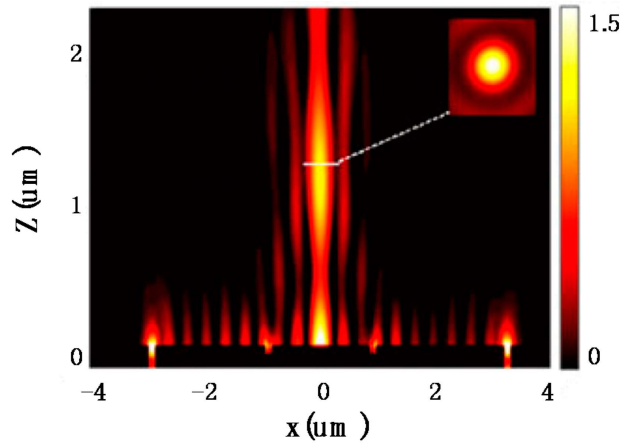
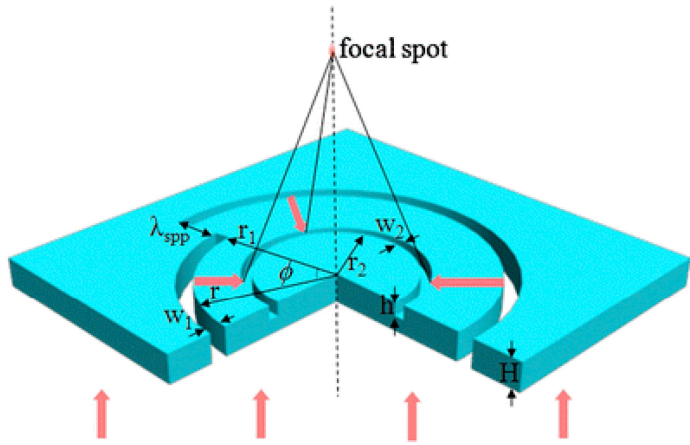


Physical Chemistry Chemical Physics 17.39 (2015): 26036-26042



Spiral Photocathode: MTE





Nanomaterials 7.11 (2017): 405.



Epitaxial Transfer of GaAs

