

# Nuclear Structure, Double-Beta Decay, and Physics Beyond the Standard Model

**Mihai Horoi**

Department of Physics, Central Michigan University, Mount Pleasant, Michigan 48859, USA

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# Classical Double Beta Decay Problem



Isotope	$T_{1/2}(2\nu)$ (years)	$M^{2\nu}$
$^{48}\text{Ca}$	$4.4^{+0.6}_{-0.5} \times 10^{19}$	$0.0238^{+0.0015}_{-0.0017}$
$^{76}\text{Ge}$	$(1.5 \pm 0.1) \times 10^{21}$	$0.0716^{+0.0025}_{-0.0023}$
$^{82}\text{Se}$	$(0.92 \pm 0.07) \times 10^{20}$	$0.0503^{+0.0020}_{-0.0018}$
$^{96}\text{Zr}$	$(2.3 \pm 0.2) \times 10^{19}$	$0.0491^{+0.0023}_{-0.0020}$
$^{100}\text{Mo}$	$(7.1 \pm 0.4) \times 10^{18}$	$0.1258^{+0.0037}_{-0.0034}$
$^{100}\text{Mo}-^{100}\text{Ru}(0^+)$	$5.9^{+0.8}_{-0.6} \times 10^{20}$	$0.1017^{+0.0056}_{-0.0063}$
$^{116}\text{Cd}$	$(2.8 \pm 0.2) \times 10^{19}$	$0.0695^{+0.0025}_{-0.0024}$
$^{128}\text{Te}$	$(1.9 \pm 0.4) \times 10^{24}$	$0.0249^{+0.0031}_{-0.0023}$
$^{130}\text{Te}$	$(6.8^{+1.2}_{-1.1}) \times 10^{20}$	$0.0175^{+0.0016}_{-0.0014}$
$^{150}\text{Nd}$	$(8.2 \pm 0.9) \times 10^{18}$	$0.0320^{+0.0018}_{-0.0017}$
$^{150}\text{Nd}-^{150}\text{Sm}(0^+)$	$1.33^{+0.45}_{-0.26} \times 10^{20}$	$0.0250^{+0.0029}_{-0.0034}$
$^{238}\text{U}$	$(2.0 \pm 0.6) \times 10^{21}$	$0.0271^{+0.0053}_{-0.0033}$
$^{136}\text{Xe}$	$2.23 \times 10^{21}$	0.020

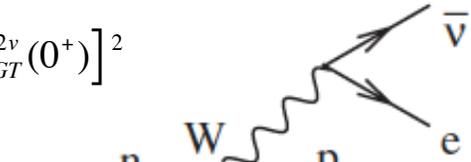
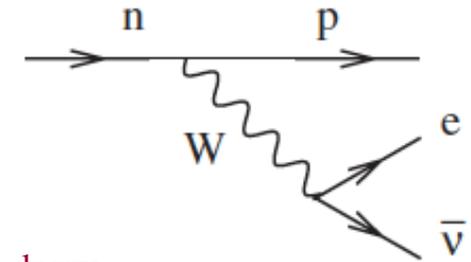
$Z+1$

A.S. Barabash, PRC 81 (2010)



2-neutrino double beta decay

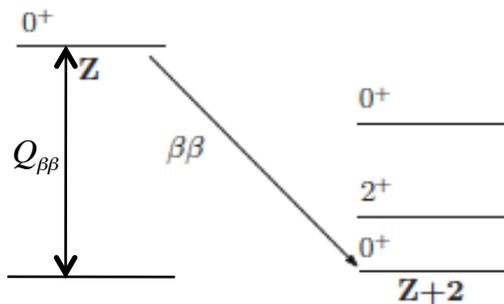
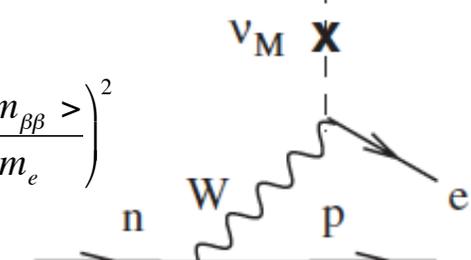
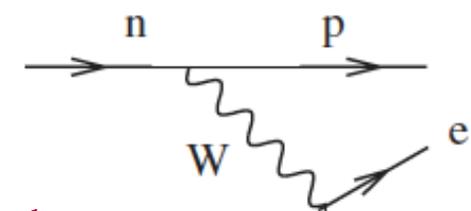
$$T_{1/2}^{-1}(2\nu) = G^{2\nu} (Q_{\beta\beta}) [M_{GT}^{2\nu}(0^+)]^2$$



neutrinoless double beta decay

$$T_{1/2}^{-1}(0\nu) = G^{0\nu} (Q_{\beta\beta}) [M^{0\nu}(0^+)]^2 \left( \frac{\langle m_{\beta\beta} \rangle}{m_e} \right)^2$$

$$\langle m_{\beta\beta} \rangle = \left| \sum_k m_k U_{ek}^2 \right|$$



Adapted from Avignone, Elliot, Engel, Rev. Mod. Phys. 80, 481 (2008) -> RMP08

Neutrino 2014

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$$|\nu_\alpha\rangle = \sum U_{\alpha i}^* |\nu_i\rangle$$

$$|\nu_i\rangle = \sum_\alpha U_{\alpha i} |\nu_\alpha\rangle$$

# Neutrino Masses



PMNS – matrix

$$U = \begin{bmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{bmatrix} = \begin{bmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{bmatrix} \begin{bmatrix} e^{i\alpha 1/2} & 0 & 0 \\ 0 & e^{i\alpha 2/2} & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$c_{12} \equiv \cos\theta_{12}, \quad s_{12} = \sin\theta_{12}, \quad \text{etc}$$

- Tritium decay:



$$m_{\nu_e} = \sqrt{\sum_i |U_{ei}|^2 m_i^2} < 2.2 eV \text{ (Mainz exp.)}$$

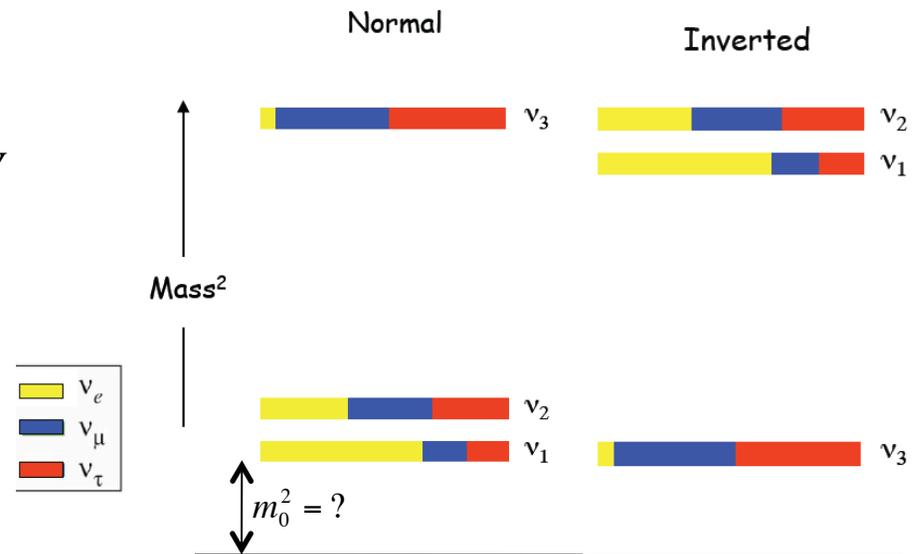
Katrin exp. (in progress): goal  $m_{\nu_e} < 0.3 eV$

- Cosmology: CMB power spectrum, BAO, etc,

$$\sum_{i=1}^3 m_i < 0.23 eV$$

$$\Delta m_{21}^2 \approx 7.5 \times 10^{-5} eV^2 \text{ (solar)}$$

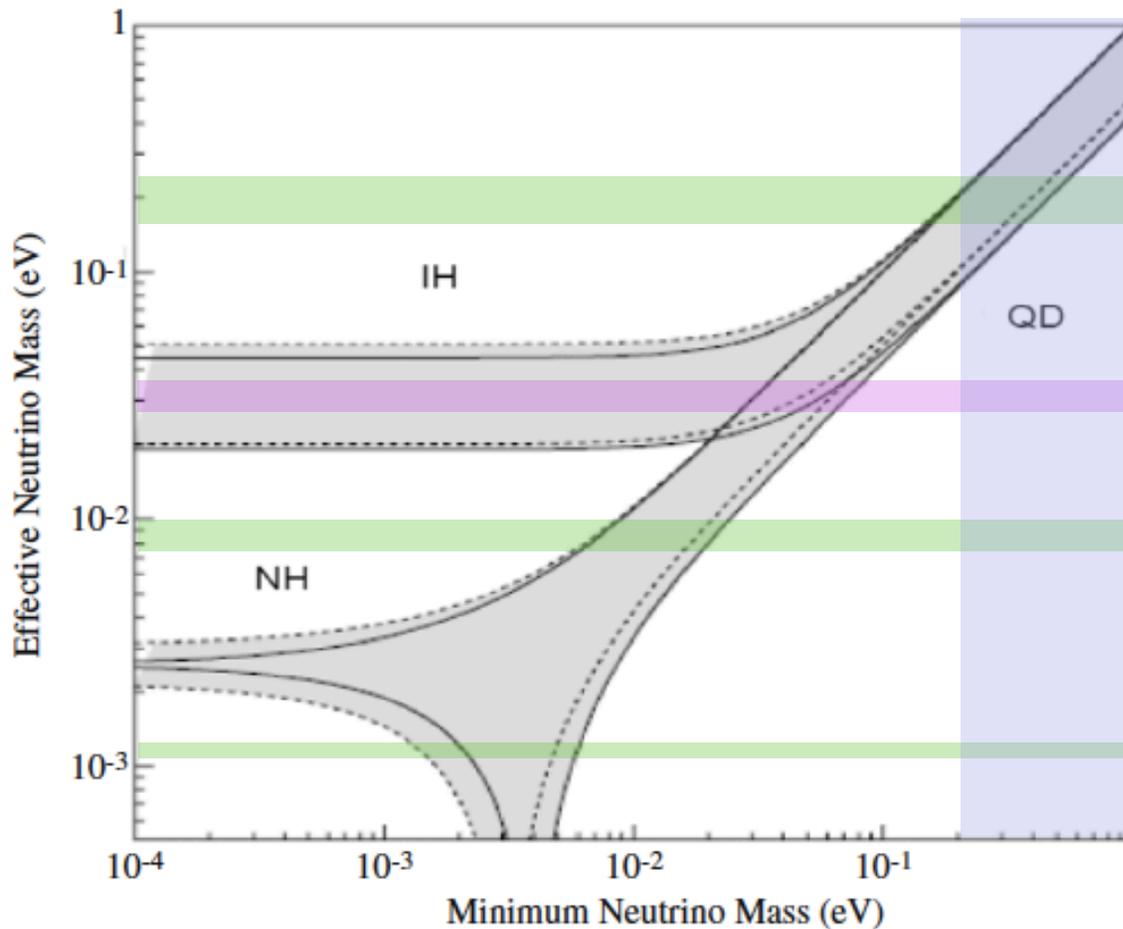
$$|\Delta m_{32}^2| \approx 2.4 \times 10^{-3} eV^2 \text{ (atmospheric)}$$



Two neutrino mass hierarchies

# Neutrino $\beta\beta$ effective mass

H. Ejiri / Progress in Particle and Nuclear Physics 64 (2010) 249–257



$$\langle m_{\beta\beta} \rangle = \left| \sum_{k=1}^3 m_k U_{ek}^2 \right|$$

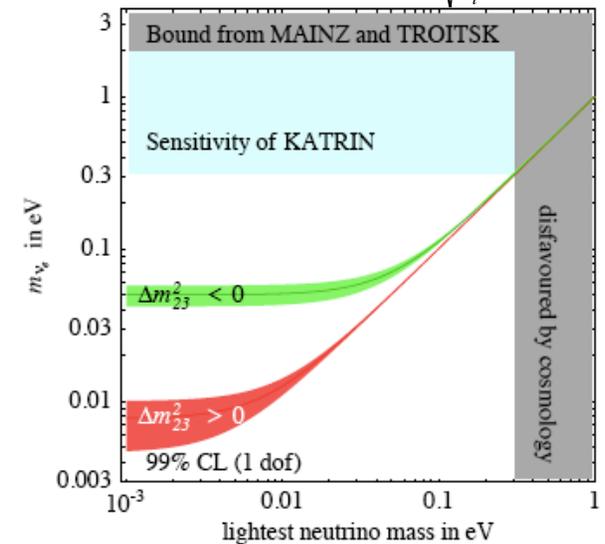
$$= \left| c_{12}^2 c_{13}^2 m_1 + c_{13}^2 s_{12}^2 m_2 e^{i\phi_2} + s_{13}^2 m_3 e^{i\phi_3} \right|$$

$$\phi_2 = \alpha_2 - \alpha_1 \quad \phi_3 = -\alpha_1 - 2\delta$$

$$T_{1/2}^{-1}(0\nu) = G^{0\nu}(Q_{\beta\beta}) [M^{0\nu}(0^+)]^2 \left( \frac{\langle m_{\beta\beta} \rangle}{m_e} \right)^2$$

← CMB constraint

$$m_{\nu_e} = \sqrt{\sum_i |U_{ei}|^2 m_i^2}$$



# The origin of Majorana neutrino masses

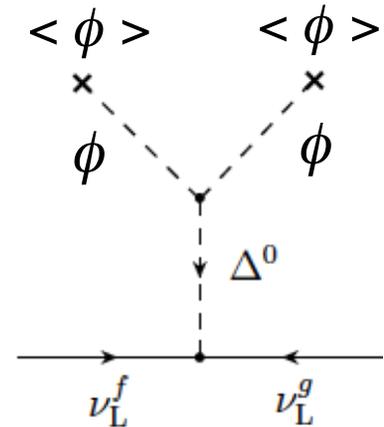
$$-\mathcal{L} \supset \frac{1}{2} (\bar{\nu}'_L \nu'^c_R) \begin{pmatrix} 0 & m_{LR} \\ m_{LR}^T & M_{RR} \end{pmatrix} \begin{pmatrix} \nu'^c_L \\ \nu'_R \end{pmatrix} + h.c.$$

$$\frac{1}{2} (\bar{\nu}'_L \nu'^c_R) \begin{pmatrix} m_{LL} & m_{LR} \\ m_{LR}^T & M_{RR} \end{pmatrix} \begin{pmatrix} \nu'^c_L \\ \nu'_R \end{pmatrix} + h.c.$$

See-saw mechanisms

$$m_{LL}^\nu = -m_{LR}^\nu M_{RR}^{-1} m_{LR}^{\nu T} \rightarrow \begin{cases} m_{LL}^\nu \approx \frac{(100 \text{ GeV})^2}{10^{14} \text{ GeV}} = 0.1 \text{ eV} \\ m_{LL}^\nu \approx \frac{(300 \text{ keV})^2}{1 \text{ TeV}} = 0.1 \text{ eV} \end{cases}$$

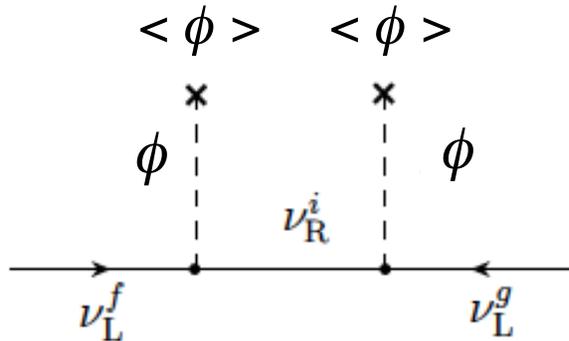
$$m_{LL}^\nu \approx m_{LL}^{\text{II}} + m_{LL}^{\text{I}}$$



Left-Right Symmetric model

$$\begin{pmatrix} \nu'_L \\ \nu'^c_R \end{pmatrix} = W \begin{pmatrix} \nu_L \\ N_R^c \end{pmatrix} = \begin{pmatrix} U & S \\ T & V \end{pmatrix} \begin{pmatrix} \nu_L \\ N_R^c \end{pmatrix}$$

$$WW^+ = 1 \quad UU^+ \approx 1 \quad VV^+ \approx 1$$



GUT/SUSY R-parity v. mechanism

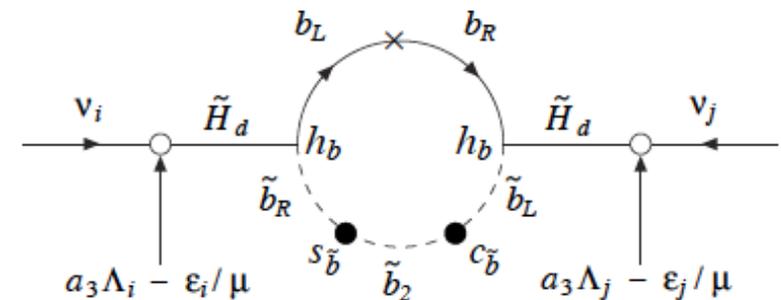


Diagram illustrating the type I see-saw mechanism

arXiv:0710.4947v3

Neutrino 2014

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# Possible contributions to $0\beta\beta$ decay

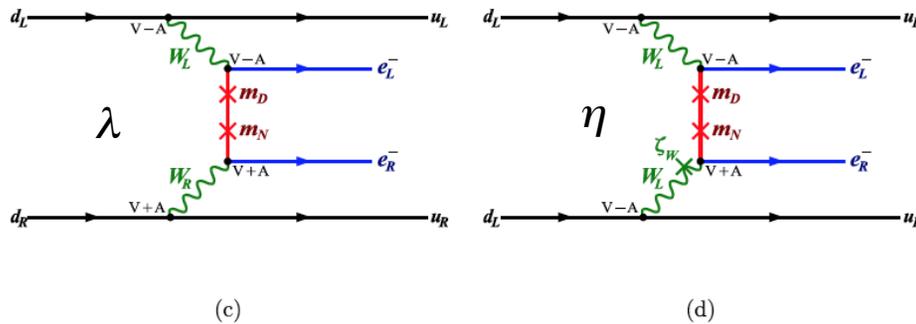
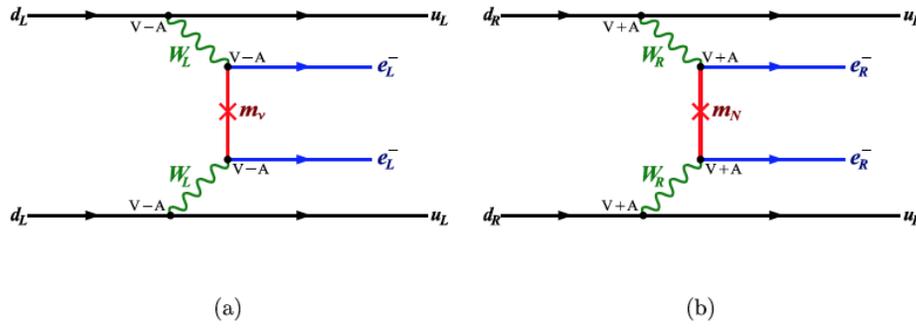
DAS et al.

PHYSICAL REVIEW D 86, 055006 (2012)

Low-energy effective Hamiltonian

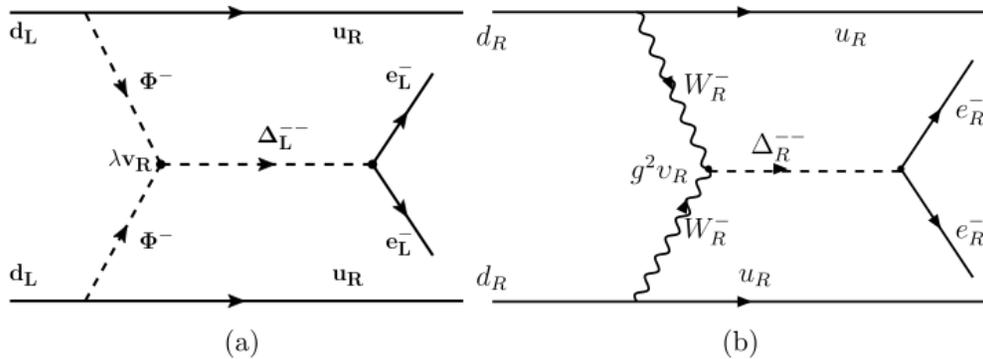
$$\mathcal{H}_W = \frac{G_F}{\sqrt{2}} j_L^\mu J_{L\mu}^+ + h.c.$$

$$j_{L/R}^\mu = \bar{e} \gamma^\mu (1 \mp \gamma^5) \nu_e$$



$$\mathcal{H}_W = \frac{G_F}{\sqrt{2}} \left[ j_L^\mu (J_{L\mu}^+ + \kappa J_{R\mu}^+) + j_R^\mu (\eta J_{L\mu}^+ + \lambda J_{R\mu}^+) \right] + h.c.$$

Left - right symmetric model



$$-\mathcal{L} \supset \frac{1}{2} h_{\alpha\beta}^T \begin{pmatrix} \bar{\nu}_{\beta L} & \bar{e}_{\alpha L} \end{pmatrix} \begin{pmatrix} \Delta^- & -\Delta^0 \\ \Delta^{--} & \Delta^- \end{pmatrix} \begin{pmatrix} e_R^c \\ -\nu_R^c \end{pmatrix} + hc$$

No neutrino exchange

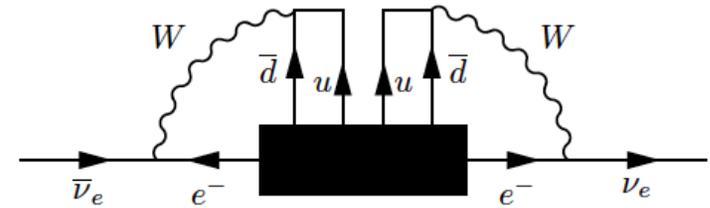
# The Black Box Theorems

## Black box I (electron neutrino)

J. Schechter and J.W.F Valle, PRD 25, 2951 (1982)

E. Takasugi, PLB 149, 372 (1984)

J.F. Nieves, PLB 145, 375 (1984)



$0\nu\beta\beta$  observed at some level  $\Leftrightarrow$

- (i) Electron neutrinos are Majorana fermions (with  $m > 0$ ).
- (ii) Lepton number conservation is violated by 2 units

However:

M. Duerr et al, JHEP 06 (2011) 91

$$(\delta m_{\nu_e})_{BB} \sim 10^{-24} \text{ eV} \ll \sqrt{|\Delta m_{32}^2|} \approx 0.05 \text{ eV}$$

## Black box II (all flavors + oscillations)

M. Hirsch, S. Kovalenko, I. Schmidt, PLB 646, 106 (2006)

$0\nu\beta\beta$  observed at some level  $\Leftrightarrow$

- (i) Neutrinos are Majorana fermions.
- (ii) Lepton number conservation is violated by 2 units

Regardless of the dominant  $0\nu\beta\beta$  mechanism!

$$(iii) \quad \langle m_{\beta\beta} \rangle = \left| \sum_{k=1}^3 m_k U_{ek}^2 \right| = \left| c_{12}^2 c_{13}^2 m_1 + c_{13}^2 s_{12}^2 m_2 e^{i\phi_2} + s_{13}^2 m_3 e^{i\phi_3} \right| > 0$$

# DBD signals from different mechanisms

J. Phys. Soc. Jpn., Vol. 74, No. 8, August, 2005

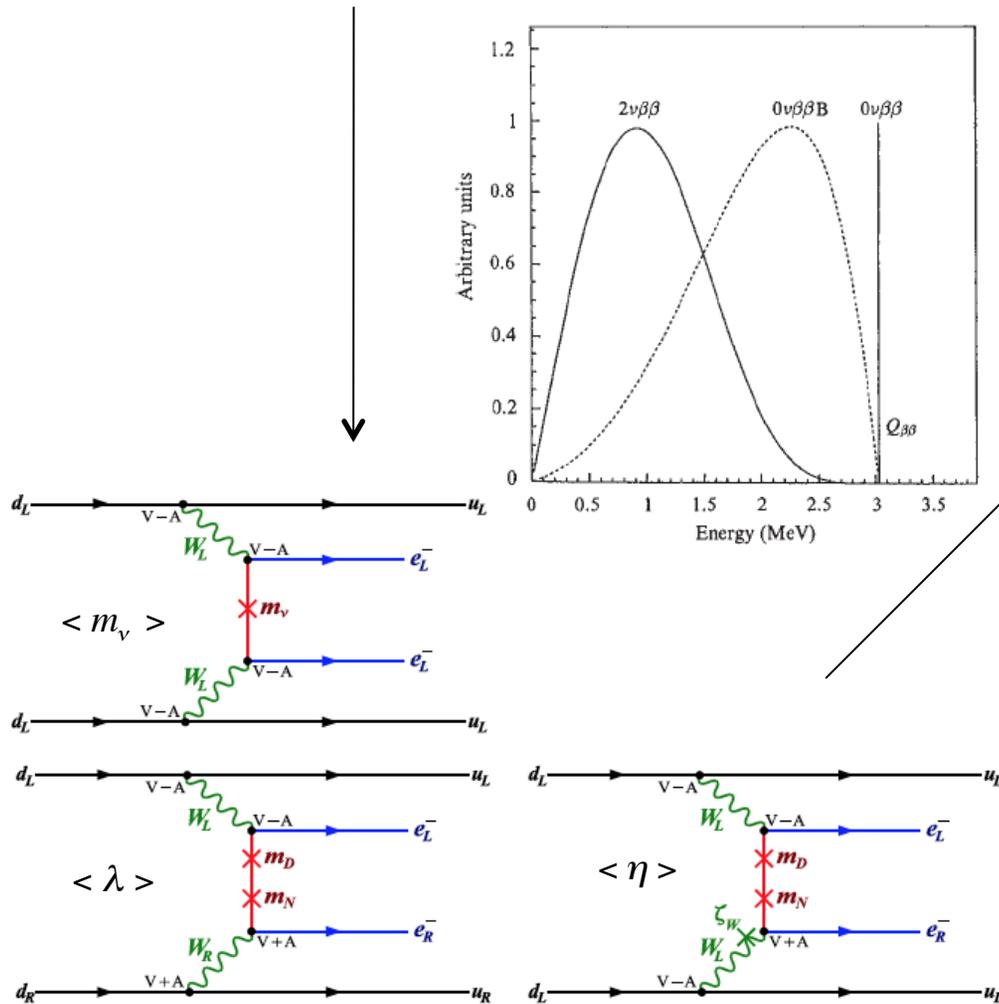
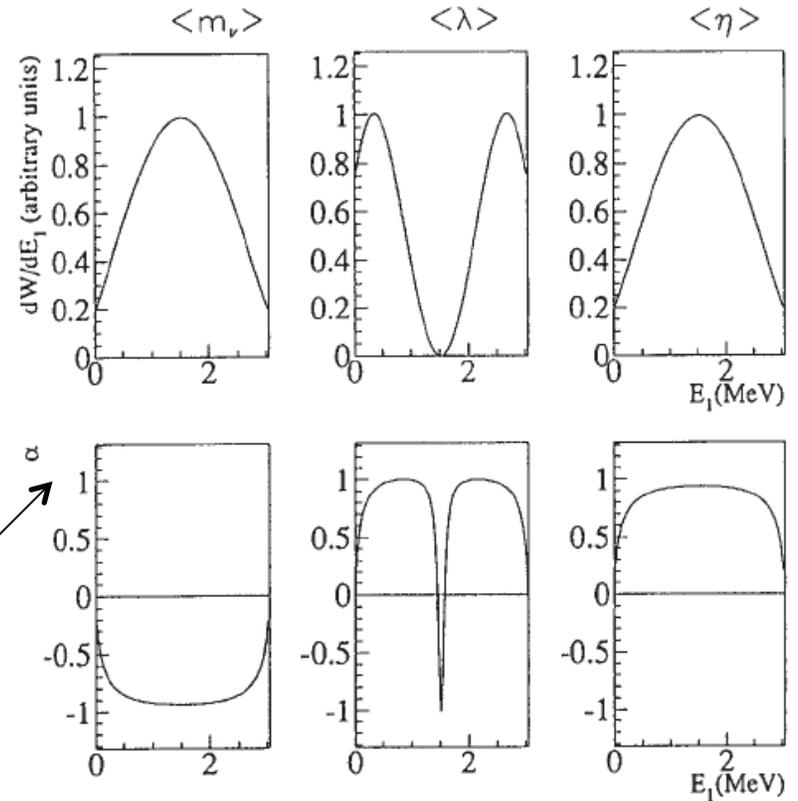
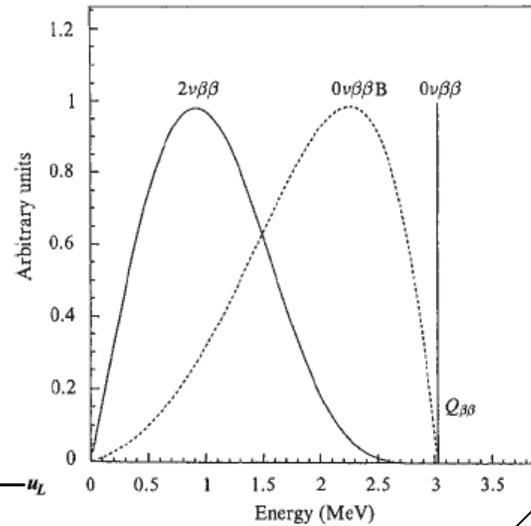
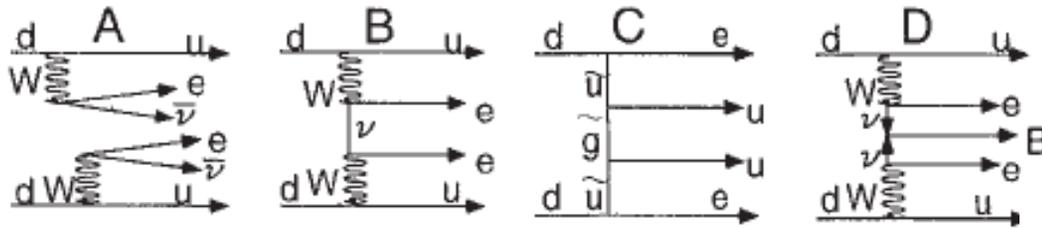
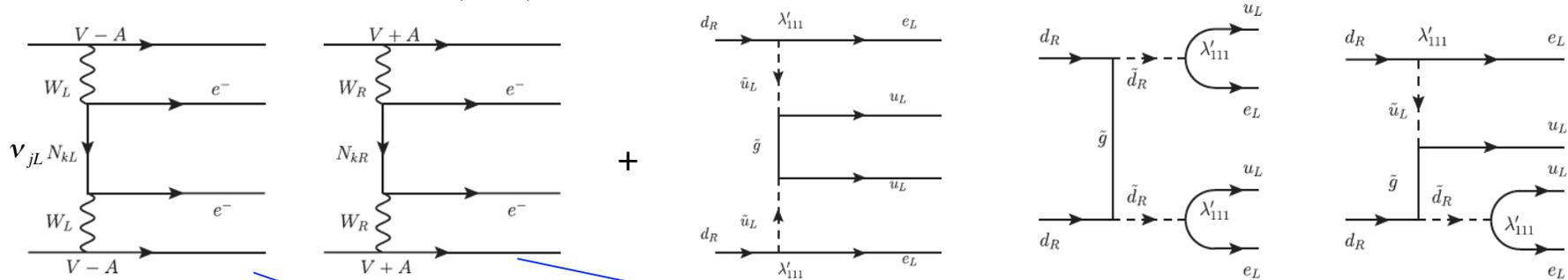


Fig. 4. Energy and angular correlations for the  $^{100}\text{Mo}$   $0\nu\beta\beta$  process caused by the mass and right-handed current terms of  $\langle m \rangle$ ,  $\langle \lambda \rangle$  and  $\langle \eta \rangle$ . Top: Calculated single- $\beta$  spectra. Bottom:  $\beta_1 - \beta_2$  angular correlation coefficients  $\alpha$  defined by  $W(\theta_{12}) = 1 + \alpha \cos \theta_{12}$ .<sup>4)</sup>

# The DBD half-life



$$\begin{cases} \mathbf{v}'_{eL} = \sum_k^{light} U_{ek} \mathbf{v}_{kL} + \sum_k^{heavy} S_{ek} N_{kL} \\ \mathbf{v}'_{eR} = \sum_k^{light} T_{ek}^* \mathbf{v}_{kR} + \sum_k^{heavy} V_{ek}^* N_{kR} \end{cases} \quad \eta_{\nu L} = \frac{\langle m_{\beta\beta} \rangle}{m_e} \quad \eta_{NL} = \sum_k^{heavy} S_{ek}^2 \frac{m_p}{M_k} \quad \eta_{NR} = \left( \frac{M_{WL}}{M_{WR}} \right)^4 \sum_k^{heavy} V_{ek}^2 \frac{m_p}{M_k}$$

$$\langle \lambda \rangle = \left( \frac{M_{WL}}{M_{WR}} \right)^2 \sum_k^{light} U_{ek} T_{ek}^* \quad \langle \eta \rangle = \xi \sum_k^{light} U_{ek} T_{ek}^* \quad W_R \approx \xi W_1 + W_2$$

$$\left[ T_{1/2}^{0\nu} \right]^{-1} = G^{0\nu} \left| \sum_j M_j \eta_j \right|^2 = G^{0\nu} \left| M^{(0\nu)} \eta_{\nu L} + M^{(0N)} (\eta_{NL} + \eta_{NR}) + \tilde{X}_\lambda \langle \lambda \rangle + \tilde{X}_\eta \langle \eta \rangle + M^{(0\lambda')} \eta_{\lambda'} + M^{(0\bar{q})} \eta_{\bar{q}} + \dots \right|^2$$

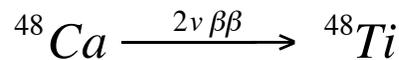
(i)  $\eta_{NL}$  negligible in most models; (ii)  $\langle \eta \rangle$  &  $\langle \lambda \rangle$  ruled in/out by energy or angular distributions

$$\left[ T_{1/2}^{0\nu} \right]^{-1} \cong G^{0\nu} \left| M^{(0\nu)} \eta_{\nu L} + M^{(0N)} \eta_{NR} \right|^2 \approx G^{0\nu} \left[ \left| M^{(0\nu)} \right|^2 \left| \eta_{\nu L} \right|^2 + \left| M^{(0N)} \right|^2 \left| \eta_{NR} \right|^2 \right] \quad \text{No interference terms!}$$

# 2ν Double Beta Decay (DBD) of <sup>48</sup>Ca

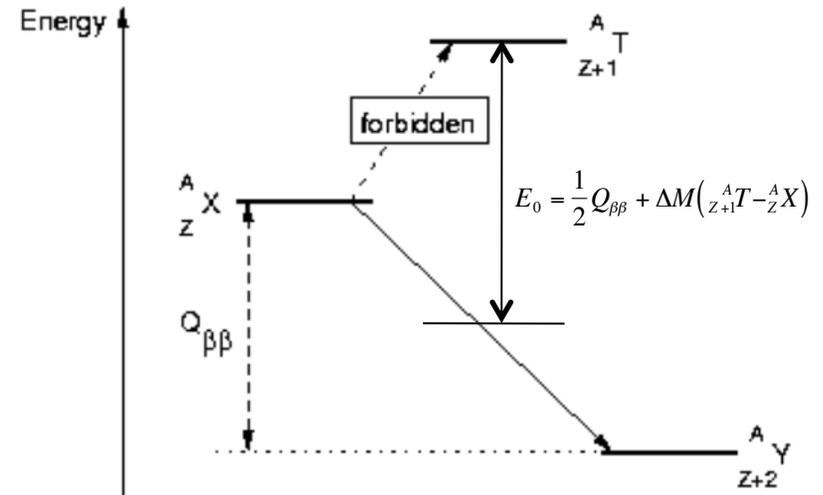
$$T_{1/2}^{-1} = G_{2\nu}(Q_{\beta\beta}) [M_{GT}^{2\nu}(0^+)]^2$$

$$M_{GT}^{2\nu}(0^+) = \sum_k \frac{\langle 0_f || \sigma \tau^- || 1_k^+ \rangle \langle 1_k^+ || \sigma \tau^- || 0_i \rangle}{E_k + E_0}$$



The choice of valence space is important!

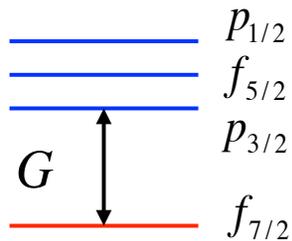
$$B(GT) = \frac{|\langle f || \sigma \cdot \tau || i \rangle|^2}{(2J_i + 1)}$$



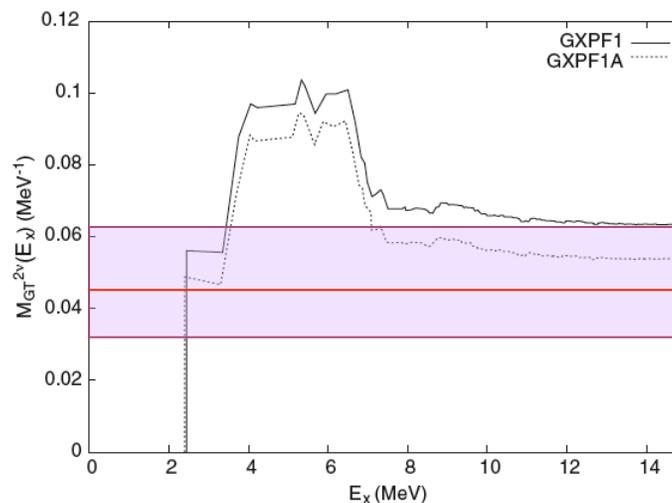
ISR	<sup>48</sup> Ca	<sup>48</sup> Ti
pf	24.0	12.0
f7 p3	10.3	5.2

$$\text{Ikeda sum rule (ISR)} = \sum B(GT; Z \rightarrow Z+1) - \sum B(GT; Z \rightarrow Z-1) = 3(N-Z)$$

Ikeda satisfied in pf!



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$$g_A \sigma \tau \xrightarrow{\text{quenched}} 0.77 g_A \sigma \tau$$

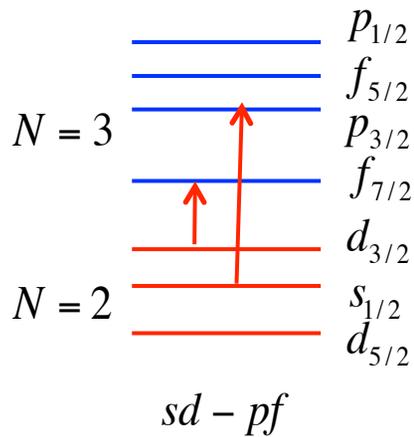
Horoi, Stoica, Brown,  
PRC 75, 034303 (2007)

# The effect of larger model spaces for $^{48}\text{Ca}$

$M(0\nu)$	SDPFU	SDPFMUP
$0 \hbar\omega$	0.941	0.623
$0+2 \hbar\omega$	1.182 (26%)	1.004 (61%)

SDPFU: PRC 79, 014310 (2009)

SDPFMUP: PRC 86, 051301(R) (2012)



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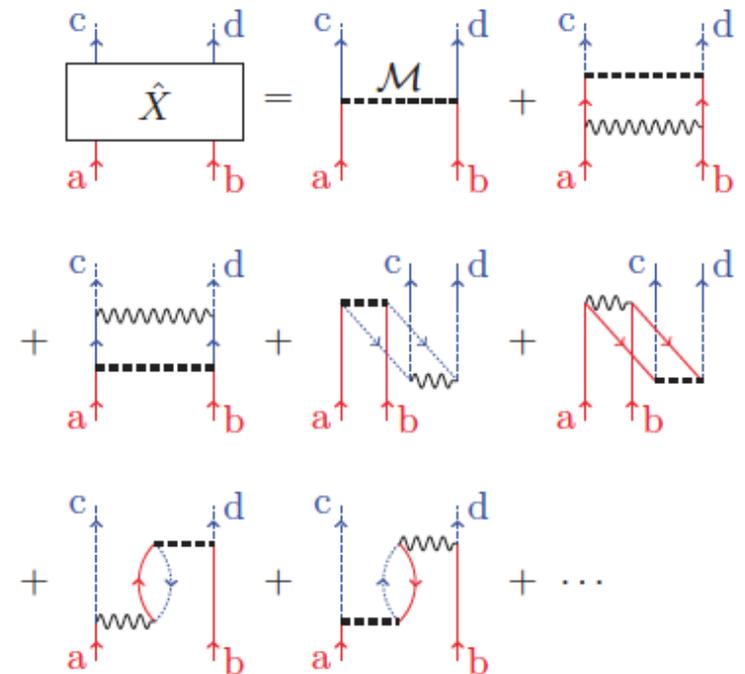
See also M. Horoi,  
PRC **87**, 014320 (2013)

M. Horoi CMU

	$M(0\nu)$
$0 \hbar\omega / \text{GXPF1A}$	0.733
$0 \hbar\omega + 2^{\text{nd}} \text{ ord.} / \text{GXPF1A}$	1.301 (77%)

arXiv:1308.3815, PRC 89, 045502 (2014)

PRC 87, 064315 (2013)



# Beyond Closure in Shell Model

$$M_S^{0\nu} = \sum_{\substack{p < p' \\ n < n' \\ p < n}} (\Gamma) \langle 0_f^+ | \left[ (a_p^+ a_{p'}^+)^J (\tilde{a}_n, \tilde{a}_n)^J \right]^0 | 0_i^+ \rangle \langle p p'; J | \int q^2 dq \left[ \hat{S} \frac{h(q) j_\kappa(qr) G_{FS}^2 f_{SRC}^2}{q(q + \langle E \rangle)} \tau_{1-} \tau_{2-} \right] | n n'; J \rangle \rangle - \text{closure}$$

$$M_S^{0\nu} = \sum_{\substack{pp'nm' \\ Jkj}} (\tilde{\Gamma}) \langle 0_f^+ | (a_p^+ \tilde{a}_n)^J \| J_k \rangle \langle J_k | (a_{p'}^+ \tilde{a}_n)^J \| 0_i^+ \rangle \langle p p'; J | \int q^2 dq \left[ \hat{S} \frac{h(q) j_\kappa(qr) G_{FS}^2 f_{SRC}^2}{q(q + E_k^J)} \tau_{1-} \tau_{2-} \right] | n n'; J \rangle \rangle - \text{exact}$$

Challenge: there are about 100,000  $J_k$  states in the sum for 48Ca

Much more intermediate states for heavier nuclei, such as  $^{76}\text{Ge}$ !!!

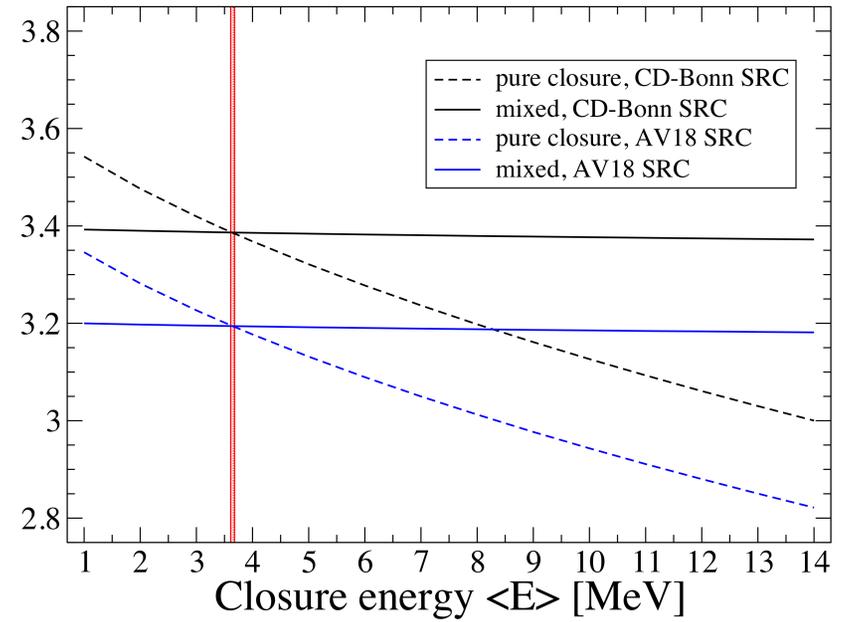
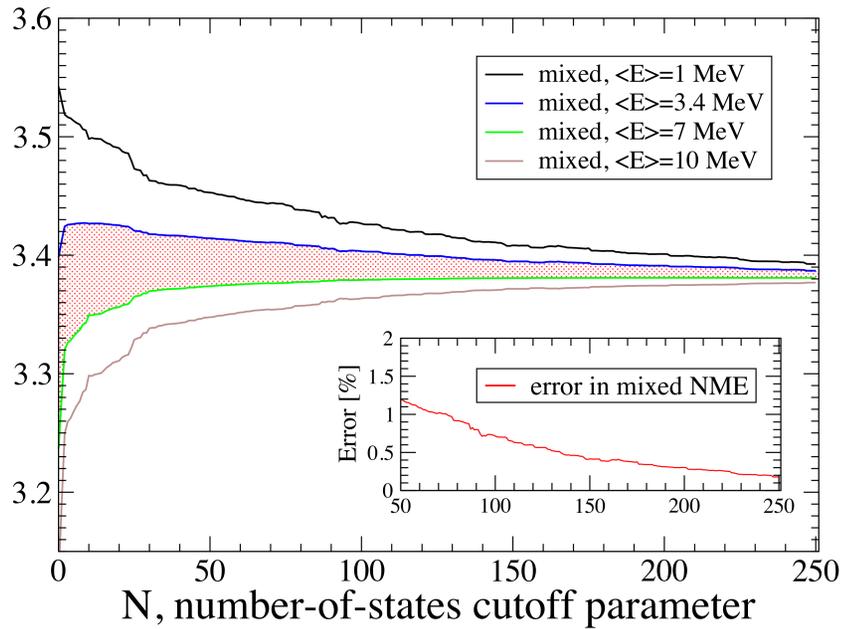
No-closure may need states out of the model space (not considered).

**Minimal model spaces**

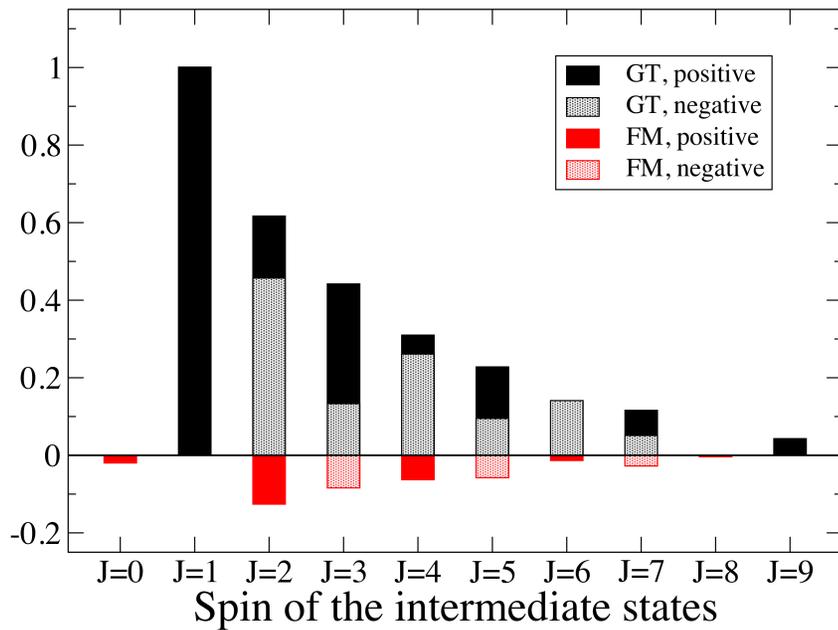
$^{82}\text{Se}$  : 6,146,681

$^{130}\text{Te}$  : 22,437,983

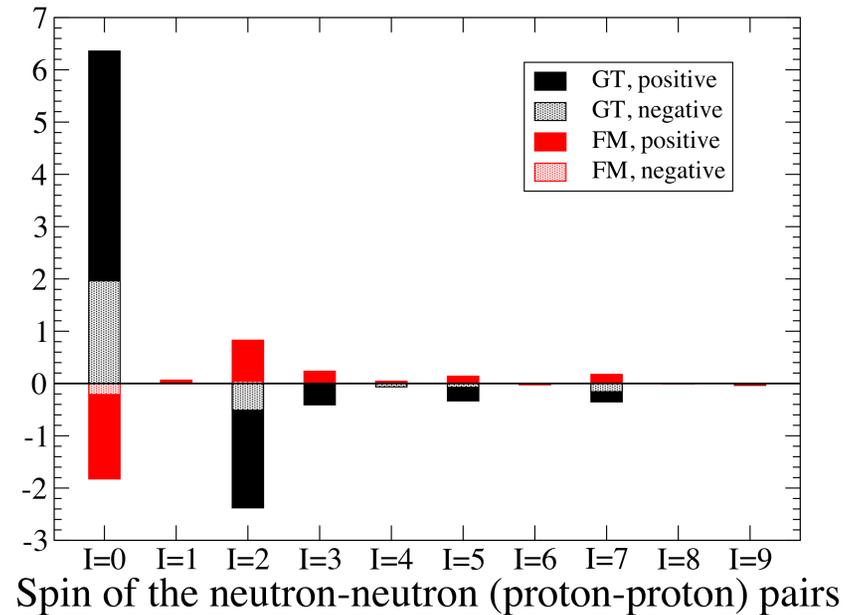
$^{76}\text{Ge}$  : 89,472,767



$M^{0\nu}$  for  $^{82}\text{Se}$ : PRC 89 054304 (2014)



Ioroi C



# $^{136}\text{Xe } 0\nu\beta\beta$ Results

$$M_{\text{exp}}^{2\nu} = 0.019 \text{ MeV}^{-1}$$


M. Horoi and B.A. Brown, Phys. Rev. Lett. **110**, 222502 (2013)

TABLE II. Matrix elements for  $0\nu$  decay using two SRC models [13], CD-Bonn (SRC1), and Argonne (SRC2). The upper values of the neutrino physics parameters  $\eta_j^{uP}$  in units of  $10^{-7}$  are calculated using the  $G^{0\nu}$  from Refs. [9,35].

		$M_{\nu}^{0\nu}$	$M_N^{0\nu}$	$M_{\lambda'}^{0\nu}$	$M_{\bar{q}}^{0\nu}$
$n = 0$	SRC1	2.21	143.0	1106	206.8
	SRC2	2.06	98.79	849.0	197.2
$n = 1$	SRC1	1.46	128.0	1007	157.8
	$ \eta_j^{uP} $ [9]	8.19	0.093	0.012	0.075
	$ \eta_j^{uP} $ [35]	9.02	0.103	0.013	0.083

n (0+)	n (1+)	M(2v)
0	0	0.062
0	1	0.091
1	1	0.037
1	2	<b>0.020</b>

$0g_{9/2}$

$0g_{7/2} 1d_{5/2} 1d_{3/2}$

$2s_{5/2} 0h_{11/2}$

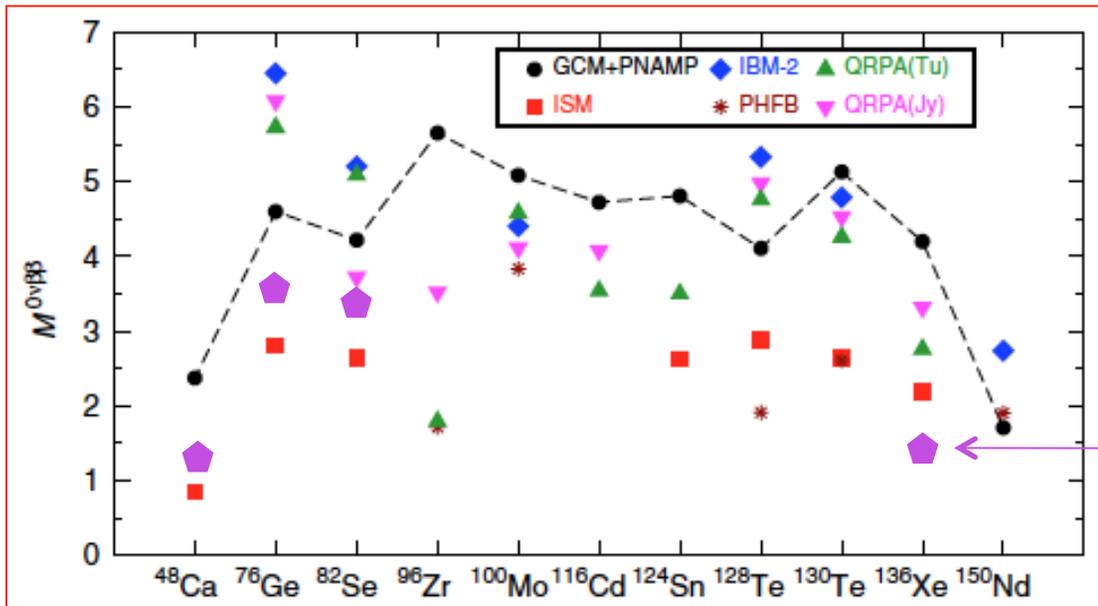
$0h_{9/2}$

$$[T_{1/2}^{0\nu}]^{-1} = G^{0\nu} |\eta_{\nu L} M_{\nu}^{0\nu} + \eta_N M_N^{0\nu} + \eta_{\lambda'} M_{\lambda'}^{0\nu} + \eta_{\bar{q}} M_{\bar{q}}^{0\nu}|^2,$$

$$\sum B(GT; Z \rightarrow Z+1) - \sum B(GT; Z \rightarrow Z-1) = 84$$

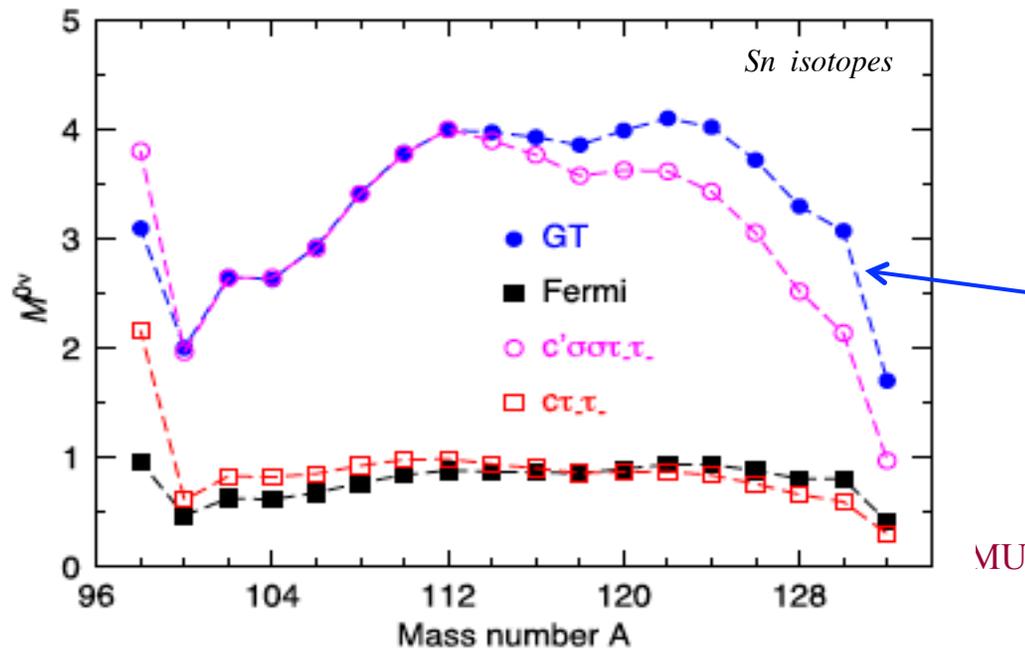
Ikeda:  $3(N - Z) = 84$

# Comparisons of $M^{0\nu} 0\nu\beta\beta$ Results



From T. Rodriguez, G. Martinez-Pinedo,  
Phys. Rev. Lett. **105**, 252503 (2010)

◆ Present Shell Model results:  
Phys. Rev. Lett. **110**, 222502 (2013)  
PRC **89**, 045502 & **88**, 064312 (2013)  
PRC **89**, 054304 (2014)



T. Rodriguez, G. Martinez-Pinedo,  
Phys. Lett. B **719**, 174 (2013)

Large jump down for magic no of neutrons !!!

# Summary and Outlook



- Observation of neutrinoless double beta decay would signal physics beyond the Standard Model: **massive Majorana neutrinos, right-handed currents, SUSY LNV, etc**
- $^{48}\text{Ca}$  case suggests that  $2\nu$  double-beta decay can be described reasonably within the shell model with standard quenching, provided that all spin-orbit partners are included.
- Higher order effects for  $0\nu$  NME included: range 1.0 – 1.4
- Reliable  $0\nu\beta\beta$  nuclear matrix elements could be used to identify the dominant mechanism if energy/angular correlations and data for several isotopes become available.
- The effects of the quenching and the missing spin-orbit partners are important (see the  $^{136}\text{Xe}$  case), and they need to be further investigated for  $^{76}\text{Ge}$ ,  $^{82}\text{Se}$  and  $^{130}\text{Te}$ .