

Approximate Methods for Nuclei II

RPA, MEC, 2p2h... (pionic modes of excitation in nuclei)







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Outline:

- Introduction: Properties of the Coulomb interaction in an electron gas: screening of the interaction
- Particle and particle-hole propagators in a Fermi sea: occupation number and the Lindhard function
- Nuclear matter. Pion propagation in a nuclear medium: πN interaction at intermediate energies
- Induced spin-isospin NN interaction in a nuclear medium
- Examples:
 - inclusive muon capture in nuclei
 - pion-nucleus interactions: pionic atoms, pion nucleus scattering, ...
 - inclusive electron-nucleus scattering

One electron inside of an electron gas (metal) **polarizes the medium** in such a way that, in a region around it, the negative charges are slightly displaced away from the electron, leaving behind the background charge of positive ions



 ρ is the electron density of the gas and μ a certain function of it. The effect of the polarizations has been to convert the infinite range interaction into one of finite range. The positive charge around one electron, coming from the of the polarization medium, cancels the electron negative charge, and a at large distances we see an effective charge zero.

In momentum space (static photon propagator, q⁰=0)

$$\frac{1}{\vec{q}^{\,2}} \rightarrow \frac{1}{\vec{q}^{\,2} + \mu^2(\rho)} \quad \diagdown$$

Photon acquires an effective mass: interaction becomes of <u>shorter range</u>!

The physical mechanism for the polarization consists in a transfer of some electrons from occupied states of a Fermi sea to some unoccupied states: **producing particle-hole excitations**:



Many Body diagram:



photon selfenergy corresponding to a single ph excitation

Solving the Dyson equation in the Landau gauge,

$$\Pi^{\mu\nu}(\mathbf{q}) = \left(\mathbf{g}^{\mu\nu} - \frac{\mathbf{q}^{\mu}\mathbf{q}^{\nu}}{\mathbf{q}^{2}}\right)\Pi(\mathbf{q})$$

$$iD_{0}^{\mu\nu}(q^{2}) = -i\left(g^{\mu\nu} - \frac{q^{\mu}q^{\nu}}{q^{2}}\right)\frac{1}{q^{2} + i\epsilon}$$

$$iD^{\mu\nu}(q) = iD_{0}^{\mu\nu}(q) + iD_{0}^{\mu\rho}(q)i\Pi^{\rho\sigma}(q)iD_{0}^{\sigma\nu}(q) + \cdots$$

$$\mathbf{iD}^{\mu\nu}(\mathbf{q}) = -\mathbf{i}\left(\mathbf{g}^{\mu\nu} - \frac{\mathbf{q}^{\mu}\mathbf{q}^{\nu}}{\mathbf{q}^{2}}\right)\frac{1}{\mathbf{q}^{2} + \Pi(\mathbf{q})}$$

$$\frac{1}{4\pi}\frac{1}{r} \rightarrow \frac{1}{4\pi}\frac{\mathbf{e}^{-\mu}(\rho)r}{r}$$
Coordinate space (Coulomb static, q^{o}=0)
$$\frac{1}{1}\frac{1}{4\pi}\frac{\mathbf{e}^{-\mu}(\rho)r}{r}$$

$$\frac{1}{1}\frac{1}{4\pi}\frac{\mathbf{e}^{-\mu}(\rho)r}{r}$$

Dirac sea:

all the states of negative energy are filled by electrons, a hole in the Dirac sea behaves like a positive charge particle e⁺

A particle-antiparticle excitation is represented by a transition of one electron from an occupied state of <u>negative energy</u> to an occupied state of positive energy



e⁺e⁻ excitation: free photon selfenergy renormalize electron mass and charge and the γe⁺e⁻ coupling

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particle-hole excitation: excite occupied states to other unoccupied states of the Fermi <u>sea</u>. These transitions are additional to those from the negative energy states to the positive energy ones.

Fermi gas particle-hole propagator: Lindhard function

For the electromagnetic interaction In the static limit...

$$H_{\rm em}(x) = -e \overline{\Psi}(x) \gamma^{\mu} \Psi(x) A_{\mu}(x)$$



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PX = Phx = Pex - Fx

A) GROUND STATE = VACUUM = 10> (CONTAINS NO PARTICLES) Y(x) = $\frac{5}{F_{rr}} \frac{\lambda}{\sqrt{2E_{rm}}} \left(\frac{C_{re}(F)}{U_{r}(F)} e^{-iF \cdot x} + \frac{d_{re}(F)}{d_{r}(F)} \int_{r} e^{iF \cdot x} \right)$ Wext = 5 1 (drep) Jrep e-ipx + erip) urep eitx) (pe Ecs) = - 1 E 3) FINITE VOLUME V AV VZ -> Jaip > F: momentum. (TOP) CREATES & FERMION WITH MOMENTUM \$ AND HELICITY TE Creptor = | fermion, F.r. Lt (P) CREATES & ANTI-FERMION WITH MOMENTUM P AND HELICITY drip) 107 = 1 fermion, F.r> Cr(P), dr(P) AssociATED ANNHICATION OPERATORS Crept 107 = drept107 = 0. i G(x',x) = i Jd"p e-ip(x'-x) G(p) = 1<0/T(U(x) U(x))10>= = O(x10-x0) < 0142 (x) \$ (m10) - O(x0x0) < 01 \$ (m) \$ 42 (m310)

FERMION PROPAGATOR

$$\Theta(x^{i_{0}} - x^{i_{0}}) \int \frac{d^{3}p}{(2\pi\pi)^{2}} \frac{1}{\sqrt{2\pi\pi}^{2}} e^{-i\frac{p}{2}E_{i}p^{i_{0}}} e^{-i\frac{p}{2}E_{i}p^$$

$$\begin{split} \mathbf{c}_{\mathbf{r}}(\vec{p}) &= \begin{cases} b_{r}(\vec{p}), \ p > p_{F} \\ b_{r}^{\dagger}(\vec{p}), \ p \leq p_{F} \end{cases} \quad \mathbf{c}_{\mathbf{r}}^{\dagger}(\vec{p}) = \begin{cases} b_{r}^{\dagger}(\vec{p}), \ p > p_{F} \\ b_{r}(\vec{p}), \ p \leq p_{F} \end{cases} \\ & \left\{ c_{r}(\vec{p}), c_{s}(\vec{p}') \right\} = \left\{ d_{r}(\vec{p}), d_{s}(\vec{p}') \right\} = 0 \\ \left\{ c_{r}(\vec{p}), d_{s}(\vec{p}') \right\} = \left\{ c_{r}^{\dagger}(\vec{p}), d_{s}^{\dagger}(\vec{p}') \right\} = 0 \\ \left\{ c_{r}(\vec{p}), c_{s}^{\dagger}(\vec{p}') \right\} = \left\{ d_{r}^{\dagger}(\vec{p}), d_{s}^{\dagger}(\vec{p}') \right\} = 0 \\ \left\{ c_{r}(\vec{p}), d_{s}^{\dagger}(\vec{p}') \right\} = \left\{ d_{r}(\vec{p}), d_{s}^{\dagger}(\vec{p}') \right\} = 0 \\ \left\{ c_{r}(\vec{p}), c_{s}^{\dagger}(\vec{p}') \right\} = \left\{ d_{r}(\vec{p}), d_{s}^{\dagger}(\vec{p}') \right\} = 0 \\ \left\{ b_{r}(\vec{p}), b_{s}(\vec{p}') \right\} = \left\{ b_{r}^{\dagger}(\vec{p}), b_{s}^{\dagger}(\vec{p}') \right\} = 0 \\ \left\{ b_{r}(\vec{p}), b_{s}^{\dagger}(\vec{p}') \right\} = \delta_{rs}\delta_{\vec{p}\vec{p}'} \end{split}$$

particle-hole propagator: Lindhard function

For the electromagnetic interaction In the static limit...

$$H_{\rm em}(x) = -e \overline{\Psi}(x) \gamma^{\mu} \Psi(x) A_{\mu}(x)$$



The k⁰ integration can be done in the complex plane...

k-q

, k+q

$$\Pi(q^{0}, \vec{q}) = e^{2} U_{e}(q^{0}, \vec{q}),$$
where $U_{e}(q^{0}, \vec{q})$, called the Lindhard function is given by
$$U_{e}(q^{0}, \vec{q}) = 2 \int \frac{\mathrm{d}^{3}k}{(2\pi)^{3}} \left[\frac{n(\vec{k})(1 - n(\vec{k} + \vec{q}))}{q^{0} - \epsilon(\vec{k} + \vec{q}) + \epsilon(\vec{k}) + \mathrm{i}\eta} + \frac{n(\vec{k} + \vec{q})(1 - n(\vec{k}))}{-q^{0} + \epsilon(\vec{k} + \vec{q}) - \epsilon(\vec{k}) + \mathrm{i}\eta} \right].$$

Direct and cross terms of the ph excitation



 $U_e(q)$ can have both real and imaginary parts. The imaginary part comes from situations in the intermediate states integration, where the are placed on shell [*Cutkowsky's rule* (Itzykson & Zuber, Quantum Field Theory, McGraw-Hill, New York, 194)].

The imaginary part can be obtained using

$$\frac{1}{\mathbf{p}^0 - \epsilon(\vec{\mathbf{p}}\,) \pm \mathbf{i}\epsilon} = \mathcal{P}\left(\frac{1}{\mathbf{p}^0 - \epsilon(\vec{\mathbf{p}}\,)}\right) \mp \mathbf{i}\pi\delta(\mathbf{p}^0 - \epsilon(\vec{\mathbf{p}}\,))$$

For **q**⁰ > **0**, only the **direct term** gives rise to an imaginary part

hole

$$k + q$$

For $q^0 < 0$, the crossed term gives rise to an imaginary part $k - q$

$$U_{e}(q^{0}, \vec{q}) = 2 \int \frac{d^{3}k}{(2\pi)^{3}} \left[\frac{n(\vec{k})(1 - n(\vec{k} + \vec{q}))}{q^{0} - \epsilon(\vec{k} + \vec{q}) + \epsilon(\vec{k}) + i\eta} \right] \frac{\text{Analytical structure: In the complex q^{0} plane, it has a continuous set of poles (cut) in the four quadrant a continuous set of poles (cut) in the four quadrant a continuous set of poles (cut) in the four quadrant q^{0}) = \epsilon_{par} - \epsilon_{hole} - i\eta$$

$$\frac{q^{0}}{U(q^{0},q)} \frac{q^{0}}{q^{0}} = \epsilon_{hole} - \epsilon_{par} + i\eta$$
Analytical cuts in the second and fourth quadrants, and it has an imaginary part for real values of q^{0} situated in the analytical cuts.

For complex values of q^0

 $u = rac{q^0 m}{k_{
m F}^2}, \qquad \hat{q} = rac{q}{k_{
m F}}$

q°)

U(q[°],q)

$$2U_{e}(\nu,\hat{q}) = \frac{mk_{\rm F}}{\pi^{2}} \left\{ -1 + \frac{1}{2\hat{q}} \left[1 - \left(\frac{\nu}{\hat{q}} - \frac{\hat{q}}{2}\right)^{2} \right] \ln \frac{\nu/\hat{q} - \hat{q}/2 + 1}{\nu/\hat{q} - \hat{q}/2 - 1} - \frac{1}{2\hat{q}} \left[1 - \left(\frac{\nu}{\hat{q}} + \frac{\hat{q}}{2}\right)^{2} \right] \ln \frac{\nu/\hat{q} + \hat{q}/2 + 1}{\nu/\hat{q} + \hat{q}/2 - 1} \right]$$

<u>Analytical structure</u>: In the complex q⁰ plane, it has a continuous set of poles <u>(cut) in the four quadrant</u> and in the <u>second quadrant</u>

$$2ImU_{e}(\nu,\hat{q}) = \frac{-2mk_{\rm F}}{4\pi\hat{q}} \left[1 - \left(\frac{|\nu|}{\hat{q}} - \frac{\hat{q}}{2}\right)^{2} \right]$$

$$\begin{array}{l} \text{for } \hat{q} > 2 \text{ and } \frac{1}{2}\hat{q}^2 + \hat{q} \ge |\nu| \ge \frac{1}{2}\hat{q}^2 - \hat{q} \text{ or } \hat{q} < 2 \text{ and } \frac{1}{2}\hat{q}^2 + \hat{q} \ge |\nu| \ge \hat{q} - \frac{1}{2}\hat{q}^2, \\ \\ 2ImU_e(\nu, \hat{q}) = -\frac{mk_F}{\pi\hat{q}}|\nu| \end{array}$$

for $\hat{q} < 2$ and $0 \le |\nu| \le \hat{q} - \frac{1}{2}\hat{q}^2$, and $\operatorname{Im} U_{N}(\nu, \hat{q}) = 0$ otherwise.

Analytical cuts in the second and fourth quadrants, and it has an imaginary part for real values of q⁰ situated in the analytical cuts.

Fully relativistic expressions:

$$S(p) = \left(p^{\mu}\gamma_{\mu} + m\right) \left(\frac{1}{p^2 - m^2 + i\varepsilon} + i\frac{\pi}{E(\vec{p}\,)}\,\delta\left(p^0 - E(\vec{p}\,)\right)n(\vec{p}\,)\right)$$

$$U_e(q) = -2i \int \frac{d^4p}{(2\pi)^4} G(p)G(p+q) = 2 \int \frac{d^3p}{(2\pi)^3} \frac{m}{E(\vec{p}\,)} \frac{m}{E(\vec{p}+\vec{q})} \frac{n(\vec{p}\,) \left(1 - n(\vec{p}+\vec{q})\right)}{q^0 + E(\vec{p}) - E(\vec{p}+\vec{q}) + i\varepsilon} + (q \leftrightarrow -q) \frac{m}{2} \left(1 - n(\vec{p}+\vec{q})\right) + i\varepsilon$$

v low density limit

$$ImU_{e}(q) = -m^{2} \frac{\Theta(q^{0})\Theta(-q^{2})}{2\pi|\vec{q}|} \Theta(E_{F} - \varepsilon_{R}) (E_{F} - \epsilon_{R}) \approx -\pi \rho \frac{m}{E(\vec{q})} \delta(q^{0} + M - E(\vec{q}))$$

$$E_F(\vec{p}) = \sqrt{k_F^2 + m^2}, \quad \varepsilon_R = Max \left\{ m, E_F - q^0, \frac{-q^0 + |\vec{q}| \sqrt{1 - 4m^2/q^2}}{2} \right\}$$



- Propagation of photons through an electron gas (metal)
- Electric charge of the electron and screening
- Photon carrier of the electromagnetic interaction

- Propagation of γ, W, Z⁰ or pions (mesons in general) through a nuclear medium
- Axial charge of the nucleon and axial polarization
- π,ρ,... carriers of the NN interaction

For inclusive processes , with large excitation energies (> 50 MeV) and spherical nuclei...



finite nucleus

Local density approximation (LDA)



EXCITATION OF Δ (1232) DEGREES OF FREEDOM

Nuclear medium: Nuclear matter (approximation)

- Infinite system homogeneous and isotropic
- Infinite volume with an infinite number of nucleons, but with a constant density



propagation of photons in the electron gas \rightarrow propagation of pions in the nuclear medium *(carriers of the interaction)*



<u>Pion selfenergy</u>: first approximation π NN vertex

Chiral symmetry

\$ (x)

$$\mathcal{L}_{int}^{\sigma} = \frac{g_A}{f_{\pi}} \bar{\Psi} \gamma^{\mu} \gamma_5 \frac{\tilde{\tau}}{2} (\partial_{\mu} \vec{\phi}) \Psi - \frac{1}{4f_{\pi}^2} \bar{\Psi} \gamma_{\mu} \vec{\tau} (\vec{\phi} \times \partial^{\mu} \vec{\phi}) \Psi$$

$$\stackrel{\pi}{\longrightarrow} P\text{-wave} \qquad S\text{-wave (Weinberg-Tomozawa)}$$

$$q_{\pi} \qquad f = \frac{m_{\pi}}{2f_{\pi}} g_A$$

$$\psi(x) : \quad Su(z) \Rightarrow \text{Li} - S \text{Finde} : \left(\frac{\psi_p(x)}{\psi_n(x)} \right)$$

$$\frac{\psi_p(x) : \quad \psi_p(x) = \psi_p(x) \quad (\psi_p(x)) \quad (\psi_p(x)) = \psi_p(x) \quad (\psi_p(x)) \quad (\psi_p(x)) = \psi_p(x) \quad (\psi_p(x)) \quad (\psi_p(x)) \quad (\psi_p(x)) = \psi_p(x) \quad (\psi_p(x)) \quad (\psi_p(x)) \quad (\psi_p(x)) = \psi_p(x) \quad (\psi_p(x)) \quad$$



 Λh excitation

no Λ Fermi sea !

S-wave selfenergy: small for isoscalar nuclei !

The pion cannot only excite nucleons above the Fermi sea, but it can also excite the internal degrees of freedom of the nucleon since it is a composite particle made out of quarks. Hence a nucleon can be converted into a Δ , N^* , Δ^* , etc..

▲(1232) [spin 3/2 and isospin 3/2] plays an important role at intermediate energies because of its lower mass and strong coupling → contribution to □

$$\mathcal{L}_{\pi N\Delta} = \frac{f^*}{m_{\pi}} \bar{\Psi}_{\mu} \vec{T}^{\dagger} (\partial^{\mu} \vec{\phi}) \Psi + \text{h.c.}$$

where Ψ_{μ} is a <u>Rarita-Schwinger</u> $J^{\pi} = 3/2^+$ field, \vec{T}^{\dagger} is the isospin transition operator from isospin 1/2 to 3/2, and $f^* = 2.13 \times f = 2.14$.

Some technical aspects about the Δh excitation

•
$$\left\langle \frac{3}{2} M_T \left| T_{\nu}^{\dagger} \right| \frac{1}{2} m_T \right\rangle = \left(\frac{1}{2}, 1, \frac{3}{2} \left| m_T, \nu, M_T \right\rangle \left\langle \frac{3}{2} \left| \left| T_{\nu}^{\dagger} \right| \right| \frac{1}{2} \right\rangle$$
 (Wigner-Eckart)

•
$$\Delta$$
 propagator (unstable particle)

$$G^{\mu\nu}(p_{\Delta}) = \frac{P^{\mu\nu}(p_{\Delta})}{p_{\Delta}^{2} - M_{\Delta}^{2} + iM_{\Delta}\Gamma_{\Delta}}, \quad P^{\mu\nu}(p_{\Delta}) = -(\not p_{\Delta} + M_{\Delta}) \left[g^{\mu\nu} - \frac{1}{3} \gamma^{\mu} \gamma^{\nu} - \frac{2}{3} \frac{p_{\Delta}^{\mu} p_{\Delta}^{\nu}}{M_{\Delta}^{2}} + \frac{1}{3} \frac{p_{\Delta}^{\mu} \gamma^{\nu} - p_{\Delta}^{\nu} \gamma^{\mu}}{M_{\Delta}} \right], \quad + \frac{1}{3} \frac{p_{\Delta}^{\mu} \gamma^{\nu} - p_{\Delta}^{\nu} \gamma^{\mu}}{M_{\Delta}} \right], \quad + \frac{1}{3} \frac{p_{\Delta}^{\mu} \gamma^{\nu} - p_{\Delta}^{\nu} \gamma^{\mu}}{M_{\Delta}} \right], \quad \times \Theta(\sqrt{s} - M - m_{\pi}), \quad s = p_{\Delta}^{2}, \quad (\pi N \ CM \ momentum)^{3}$$

 $\lambda(x, y, z) = x^2 + y^2 + z^2 - 2xy - 2xz - 2yz$

Some technical aspects about the Δh excitation: non-relativistic expressions

- $\pi N\Delta$ transition: $H_{\pi N\Delta} = \frac{f^*}{\mu} \Psi_N^{\dagger}(x) S_i \partial_i \phi^{\lambda}(x) T^{\lambda} \Psi_{\Delta}(x) + h.c.,$
- Δ propagator

spin transition operator.

 $G_{\Delta}(k) = \frac{1}{k^0 - w_R - T_{\Delta} + \frac{1}{2}i\Gamma_{\Delta}}$ with $w_R = M_{\Delta} - M_N$, T_{Δ} the Δ kinetic energy :

The pion selfenergy reads: $\Pi(q) = \frac{f^2}{m_\pi^2} \vec{q}^2 U(q)$ with $U(q) = U_N(q) + U_\Delta(q)$

$$U_{\Delta}(q) = -i\left(\frac{4}{3}\right)^{2} \left(\frac{f^{*}}{f}\right)^{2} \int \frac{d^{4}k}{(2\pi)^{4}} \left[G^{0}(k)G_{\Delta}(k+q) + G^{0}(k)G_{\Delta}(k-q)\right]$$



Dip region

 ν [GeV]

 $^{16}O(e,e')$

E=1080 MeV, θ=32°

0.4

 Δh

生生生

0.6

External γ can also excite Δ h components

Y*...'



 \mathbf{V}

π

Remarks:

- V is an effective ph-ph, ph-∆h and ∆h-∆h interaction in the nuclear medium
- $V=\pi + \rho + other mesons$ (Short Range Correlations). Starting point $\pi N \rightarrow \pi N$ in free space (πNN and $\pi N\Delta$ couplings) [Ericson+Weise, Pions in nuclei] and NN \rightarrow NN Bonn potential





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where the Form factors (off-shell extrapolations)

$$F_i(q^2) = \frac{\Lambda_i^2 - \mu_i^2}{\Lambda_i^2 - q^2} \quad (q^2 = q^2 - q^2)$$

O BECAUSE Ce = Ce, AT = A AND AE = Ae THE FORMER POTENTIALS DESCRIBE AN -> NN, NN -> DN, AN -> AN AND AD -> NN INTERACTIONS WITH THE FOLLOWING REPLACEMENTS

SLORT RANGE CORRELATIONS

- O ATTRIBUTED TO THE EXCHANGE OF THE Q MEJON.
- O CORRELATED POTENTIAL IN COORDINATE SPACE

O MOMENTUR SPACE

NN potential V(q)=
$$c_0[f_0(\rho) + f'_0(\rho)\vec{\tau}_1\vec{\tau}_2 + g_0(\rho)\vec{\sigma}_1\vec{\sigma}_2]$$
, $\vec{\tau}_1\vec{\tau}_2\sum_{i,j=1}^3 \sigma_1^i \sigma_2^j V_{ij}^{\sigma\tau}$.
 $V_{ij}^{\sigma\tau} = (\hat{q}_i\hat{q}_j)V_i(q) + (\delta_{ij} - \hat{q}_i\hat{q}_j)V_t(q))$
with $\hat{q}_i = {q_i}/_{[\bar{q}]}$
 $V_i(q^0, \vec{q}) = \frac{f^2}{m_\pi^2} \left\{ \left(\frac{\Lambda_\pi^2 - m_\pi^2}{\Lambda_\pi^2 - q^2} \right)^2 \frac{\vec{q}^2}{q^2 - m_\pi^2} + g'_i(q) \right\}$
 V_ρ
 $\frac{f^2}{4\pi} = 0.08, \Lambda_\pi = 1200 \text{ MeV},$
 $V_i(q^0, \vec{q}) = \frac{f^2}{m_\pi^2} \left\{ C_\rho \left(\frac{\Lambda_\rho^2 - m_\rho^2}{\Lambda_\rho^2 - q^2} \right)^2 \frac{\vec{q}^2}{q^2 - m_\rho^2} + g'_i(q) \right\}$,
 $C_\rho = 2, \Lambda_\rho = 2500 \text{ MeV}, m_\rho = 770 \text{ MeV}.$
 $f \to f^*$
SRC

The spin-isospin part of the interaction, taking into account the propagation of the mesons through the medium

$$W_{\sigma\tau}(q) = \sigma_{1}^{i} \sigma_{2}^{j} \vec{\tau}_{1} \vec{\tau}_{2} V_{ij}^{\sigma\tau}(q) + \sigma_{1}^{i} \sigma_{2}^{j} \vec{\tau}_{1} \vec{\tau}_{2} \{ V_{ik}^{\sigma\tau}(q) U(q) V_{kj}^{\sigma\tau}(q) \} + \sigma_{1}^{i} \sigma_{2}^{j} \vec{\tau}_{1} \vec{\tau}_{2} \{ V_{ik}^{\sigma\tau}(q) U(q) V_{km}^{\sigma\tau}(q) U(q) V_{mj}^{\sigma\tau}(q) \} + \dots = \sigma_{1}^{i} \sigma_{2}^{j} \vec{\tau}_{1} \vec{\tau}_{2} W_{ij}^{\sigma\tau}(q)$$
$$U(q) = U_{N}(q) + U_{\Delta}(q)$$
$$(direct + crossed terms)$$

Induced spin-isospin NN interaction in a nuclear medium

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 $\widehat{q}_i \widehat{q}_j \perp \left(\delta_{ij} - \widehat{q}_i \widehat{q}_j \right)$

Diagrammatically,

$$W_{ij}^{\sigma\tau}(q) = \frac{V_l(q)}{1 - U(q)V_l(q)} \ \widehat{q}_i \widehat{q}_j \ + \frac{V_t(q)}{1 - U(q)V_t(q)} \left(\delta_{ij} \ - \widehat{q}_i \widehat{q}_j \right)$$

From the spin-isospin interaction, we construct the induced interaction by exciting ph and Δ h components in a RPA sense








Remarks:

RPA corrections should disappear (ratio goes to 1.0) at very large Q^2 values, because this is a collective effect which strength decreases when sizes larger than one nucleon are no longer being probed. Hence in any realistic model, one should expect a qualitative Q^2 behavior similar to that exhibited by the QE_{RPA}/QE_{noRPA} ratio line depicted in the figure.: low Q^2 suppression, followed by an enhancement that could even give rise to a net increase of the cross section, and finally all RPA effects should disappear for sufficiently high Q^2 values



Inclusive muon capture in nuclei

[E. Oset et al., NPA 510 (1990) 591; J.Nieves et al., PRC 70 (2004) 055503;J.E. Amaro et al., EJPA 24 (2005) 343]



• Hydrogen-like atom, but $R_{\mu^-} \ll R_{e^-}$, since

 $m_{\mu} \gg m_e$

- There are screening and relativistic effects (solve Dirac equation)
- μ^- can be absorbed by the nucleus

$$(\mu^- A_Z)_{\text{bound}} \rightarrow \nu_\mu X \qquad [\mu^- p \rightarrow n \nu_\mu]$$

$$\Gamma = -2 Im \Pi_{\mu}$$
Leptonic current
$$L(x) = \sqrt{\frac{1}{2}}G J^{\mu}(x)L^{+}_{\mu}(x)$$

$$\tilde{L}^{\mu^{+}} \rightarrow \bar{u}_{\nu}(p_{\nu})\gamma^{\mu}(1-\gamma_{5})u_{\mu}(p_{\mu}),$$

$$\tilde{J}^{\mu} \rightarrow \bar{u}_{n}(p_{n})[g_{\nu}\gamma^{\mu} + i\frac{g_{M}}{2m_{p}}\sigma^{\mu\nu}q_{\nu} + g_{A}\gamma^{\mu}\gamma_{5} + \frac{g_{P}}{m_{\mu}}q^{\mu}\gamma_{5}]u_{p}(p_{p})$$
Hadron current





Shell model





Nuclear Effects? SM vs FG

(Amaro, Nieves, Maieron and Valverde EPJA 24, 343)

Pionic Atoms

[J. Nieves et al., NPA 554 (1993) 509]



- Precise experimental measurements (spectroscopy techniques): shifts $\varepsilon = B_{exp} - B_{em}$ and widths $\Gamma \rightarrow$ information on the pionnucleus interaction
- $\Pi(q^0, \vec{q}, \rho(r)) = 2q^0 V_{opt}(r)$, we solve the Klein-Gordon equation • Impulse approximation

$$\Pi(q^0, \vec{q}\,) \approx T(q^0, \vec{q}\,)\rho \Rightarrow \Gamma = 0$$

- Widths come from absorption by two nucleons: $\pi^- NN \rightarrow NN$ that give rise to complex terms, proportional to ρ^2 in $\Pi(q^0, \vec{q}, \rho(r))$.
- There exists experimental information on 1S and 2P levels in light nuclei and 3D and 4F in heavy nuclei. Phenomenological potentials fail to describe these data: **problem of the anomalies in pionic atoms.**

Precisions of the order of 5% through the whole periodic table.

Range: eV to 20-30 KeV







$$2\omega V_{\text{opt}}^{(\text{p})}(\mathbf{r}) = 4\pi \frac{M_{\text{N}}}{s} \left[\nabla \frac{P(\mathbf{r})}{1 + 4\pi g' P(\mathbf{r})} \nabla - \frac{1}{2} \varepsilon \Delta \left(\frac{P(\mathbf{r})}{1 + 4\pi g' P(\mathbf{r})} \right) \right]$$



QUERCHING PROPERTIES



QUERCHING PROPERTICY



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Theoretical potential

J. Meves et al. / Plovic aroses





π^{\pm} – nucleus reactions

- π^{\pm} nucleus reactions [J. Nieves et al., NPA 554 (1993) 554] $\checkmark \pi^{\pm} A_Z \rightarrow \pi^{\pm} A_Z$ [elastic] $\checkmark \pi^{\pm} A_Z \rightarrow \pi' X$ [quasielastic] $\checkmark \pi^{\pm} A_Z \rightarrow X$ (no pions) [absorption]
- Determination of neutron distributions from pionic atom data [C. García-Recio et al., NPA 547 (1992) 473]
- ullet
- Radiative pion capture [H.C. Chiang et al., NPA 510 (1990) 573] $(\pi^{-}A_{Z})_{bound} \rightarrow \gamma X$
- Chiral symmetry restoration [C. García-Recio et al., PLB 541 (2002) 64]

$$f_{\pi}(\rho)/f_{\pi} \to 0, \rho >> 0$$



Absorption + Quasielastic= Reaction

Q= pions which have changed either charge, energy or momentum







$$\Sigma(k) \rightarrow 2i \operatorname{Im} \Sigma(k) \Theta(k^0),$$

 $\Xi(k') \rightarrow 2i \operatorname{Im} \Xi(k') \Theta(k'^0),$
 $\Pi^{\mu\nu}(q) \rightarrow 2i \operatorname{Im} \Pi^{\mu\nu}(q) \Theta(q^0),$

where

$$\varXi(k') = \frac{1}{k'^2 - m_e^2 + i\epsilon}$$

Technically Cutkowsky's rules are used to obtain the imaginary part of the many body Feynman diagrams

Quasielastic peak



• Spectral Function (SF) + Final State Interaction (FSI): dressing up the nucleon propagator of the hole (SF) and particle (FSI) states in the *ph* excitation



- Change of nucleon dispersion relation:
 - * hole \Rightarrow Interacting Fermi sea (SF)
 - * particle \Rightarrow Interaction of the ejected nucleon with the final nuclear state (FSI)

$$G(p) \to \int_{-\infty}^{\mu} d\omega \frac{S_h(\omega, \vec{p})}{p^0 - \omega - i\epsilon} + \int_{\mu}^{+\infty} d\omega \frac{S_p(\omega, \vec{p})}{p^0 - \omega + i\epsilon}$$

The hole and particle spectral functions are related to nucleon self-energy Σ in the medium,

$$S_{p,h}(\omega, \vec{p}) = \pm \frac{1}{\pi} \frac{\mathrm{Im}\Sigma(\omega, \vec{p})}{\left[\omega^2 - \vec{p}^2 - M^2 - \mathrm{Re}\Sigma(\omega, \vec{p})\right]^2 + \left[\mathrm{Im}\Sigma(\omega, \vec{p})\right]^2}$$

with $\omega \geq \mu$ or $\omega \leq \mu$ for S_p and S_h , respectively (μ is the chemical potential).

To take into account $SF+FSI \rightarrow \underline{replace} \operatorname{Im} \overline{U}_R^N(q)$ by the response function:

$$\begin{split} & -\frac{1}{2\pi} \int_0^{+\infty} dp p^2 \int_{-1}^{+1} dx \int_{\mu-q^0}^{\mu} d\omega \mathbf{S_h}(\omega, \vec{\mathbf{p}}) \mathbf{S_p}(\mathbf{q^0} + \omega, \mathbf{t}) \\ & \text{with } t^2 = \vec{p}^{\ 2} + \vec{q}^{\ 2} + 2|\vec{p}| |\vec{q}| x. \end{split}$$

This nuclear effect is additional to those due to RPA (long range) correlations !!

$$S_{p,h}(\omega, \vec{p}) = \mp \frac{1}{\pi} \frac{\operatorname{Im}\Sigma(\omega, \vec{p})}{[\omega^2 - \vec{p}^2 - M^2 - \operatorname{Re}\Sigma(\omega, \vec{p})]^2 + [\operatorname{Im}\Sigma(\omega, \vec{p})]^2}$$

with $\omega \ge \mu$ or $\omega \le \mu$ for S_p and S_h , respectively
(μ is the chemical potential).

For non interacting fermi

ions
$$\Sigma = 0$$
,

$$S_{p}(\omega, \vec{p}) = \frac{\theta(|\vec{p}| - k_{F})}{2E(\vec{p})}\delta(\omega - E(\vec{p}))$$
$$S_{h}(\omega, \vec{p}) = \frac{\theta(k_{F} - |\vec{p}|)}{2E(\vec{p})}\delta(\omega - E(\vec{p}))$$

and only Pauli blocking is incorporated!!

The simplest description \Rightarrow relativistic Fermi Gas with non interacting fermions $\Sigma = 0$,

$$S_{p}(\omega, \vec{p}) = \frac{\theta(|\vec{p}| - k_{F})}{2E(\vec{p})}\delta(\omega - E(\vec{p}))$$
$$S_{h}(\omega, \vec{p}) = \frac{\theta(k_{F} - |\vec{p}|)}{2E(\vec{p})}\delta(\omega - E(\vec{p}))$$

and only Pauli blocking is incorporated!!

Local vs Global Fermi Gas ?

$$k_F^{p,n}(r) = [3\pi^2 \rho^{p,n}(r)]^{1/3}$$
 vs $k_F^{p,n}$ = cte ?



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Local vs Global Fermi Gas ?

 $k_F(r) = \left[3\pi^2 \rho(r)/2\right]^{1/3}$ vs k_F = cte ? $S_h(\omega, \vec{p}) = \delta(\omega - E(\vec{p}))\theta(k_F - |\vec{p}|)/2\omega$ $n^{\text{RgFG}}(|\vec{p}|) = \frac{4V}{(2\pi)^3} \int d\omega 2\omega S_h(\omega, \vec{p})$ $= \frac{3A}{4\pi k_F^3} \theta(k_F - |\vec{p}|)$ $n^{\text{LDA}}(|\vec{p}|) = 4 \int \frac{d^3r}{(2\pi)^3} \int d\omega 2\omega S_h(\omega, \vec{p})$ $= 4 \int \frac{d^3r}{(2\pi)^3} \theta(\mathbf{k_F}(\mathbf{r}) - |\vec{p}|)$

 $\left(\int d^3p \, n(|\vec{p}\,|) = A\right)$

Convolution approach: C. Ciofi degli Atti, S. Liuti, and S. Simula, PRC 53, 1689 (1996), provide realistic distribution due to short-range correlations !

Polarization effects (RPA) at QE peak





20 140 ω(MeV)

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 R_L and $R_T \ QE$ response functions for $e + {}^{40}Ca \rightarrow e' + X$







RPA corrections to the dominant Δh term



- ∆ dominant component of the pion production contribution
- Missing strength both at the dip region and the Δ peak





Fig. 44. Two-body photon absorption (solid line) versus pion production (dotted line) for ¹²C.



Additional contributions generated from the channel

 $\gamma^*N \to N\pi\pi$

Fig. 23. Relevant Feynman diagrams that enter in the evaluation of the $\gamma^* N \rightarrow N2\pi$ cross section.







 $e + {}^{12}\mathrm{C} \to e' + X$

and by means of a Monte Carlo simulation we obtain cross sections for the processes (e, e'N), (e, e'NN), $(e, e'\pi)$, ...



Important difference:



is forbidden !

 $p^2 = (p+q)^2$

does not have solution when all particles are in the mass shell, i.e. $p^2 = (p+q)^2 = M^2$ and $q^2 = 0$.

Real Photon Results

Same formalism applied to the study of the interaction of Real Photons with Nuclei at Intermediate Energies: Total Photo-absorption cross section $\gamma A_Z \to X$ [Carrasco + Oset, NPA 536 (1992) 445] and Inclusive (γ, π) , (γ, N) , (γ, NN) and $(\gamma, N\pi)$ reactions [Carrasco + Oset + Salcedo NPA] 541 (1992) 585 and Carrasco+Vicente-Vacas+ Oset NPA 570 (1994) 701]





 $\gamma + {}^{208}\mathrm{Pb} \to X + \pi^{\pm}$

 $\gamma + A \to X$

1

QE and **QE-like** scattering

- arXiv:1403.2673: (Progress and open questions in the physics of neutrino cross sections)
- arXiv:1307.8105: PRD 88 (2013) 113007 ($\nu, \bar{\nu}$ CCQE-like up to 10 GeV)
- arXiv:1302.0703: PLB 721 (2013) 90 ($\bar{\nu}$ CCQE-like)
- arXiv:1204.5404: PRD 85 (2012) 113008 (E_{ν} reconstruct.)
- arXiv:1106.5374: PLB 707 (2012) 72 (ν CCQE-like)
- arXiv:1102.2777: PRC 83 (2011) 045501 (CCQE, 2p2h, ...)
- nucl-th/0408005: PRC 70 (2004) 055503 (CCQE)
- hep-ph/0604042: PLB 638 (2006) 325 (Errors in CCQE)
- hep-ph/0511204 : PRC 73 (2006) 025504 (NCQE & MC)
- J. Nieves, IFIC, CSIC & University of Valencia
Motivation: Details on the axial structure of hadrons in the free space and inside of nuclei, and



Theoretical knowledge of QE and 1π cross sections is important to carry out a precise neutrino oscillation data analysis...

Motivation: MiniBooNE CCQE (PRD 81, 092005)



 $M_A^{\text{eff}} = 1.35 \text{ GeV}$ vs 1.03 GeV (world avg) confirmed by many other groups, for instance by Benhar et al. (PRL

 $105,\,132301)$



- CCQE measurements on deuterium and, to lesser extent, hydrogen targets is M_A = 1.016±0.026 GeV (A. Bodek, S. Avvakumov, R. Bradford, and H. S. Budd, Eur.Phys.J. C53, 349 (2008))

The problem turned out to **even more worrying** since the height, position, and width of the **QE peak in the case of <u>electron scattering</u> are well reproduced in most of used models,** for instance see results of Benhar et al. at similar energies and in carbon



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...but key observation (Martini et al., PRC 81, 045502): in most theoretical works QE is used for processes where the gauge boson W^{\pm} or Z^0 is absorbed by just one nucleon, which together with a lepton is emitted, however in the recent MiniBooNE measurements, QE is related to processes in which only a muon is detected (ejected nucleons are not detected !) \equiv CCQE-like



It includes multinucleon processes and others like π production followed by absorption (MBooNE analysis Monte Carlo corrects for those events). It discards pions coming off the nucleus, since they will give rise to additional leptons after their decay. **O. Benhar@NuFacT11:** [arXiv : 1110.1835] measured electron-carbon scattering cross sections for a fixed outgoing electron angle $\theta = 37^{\circ}$ and different beam energies $\in [730, 1501]$ GeV, plotted as a function of E_e ,



The energy bin corresponding to the top of the QE peak at $E_e = 730$ MeV receives significant contributions from cross sections corresponding to different beam energies and different mechanisms!

- MiniBooNE experimental results cannot be directly compared to most theoretical previous calculations!
- We present a microscopic calculation of the ν and $\bar{\nu}$ <u>CCQE-like</u> double differential cross sections $\frac{d^2\sigma}{dT_{\mu}d\cos\theta_{\mu}}$ measured by MiniBooNE and we will use the ν data to extract M_A
- Neutrino Energy Reconstruction and the Shape of the CCQE-like Total Cross Section
- Neutrino-nucleus quasi-elastic and 2p2h interactions up to 10 GeV

Nuclear renormalization effects on electroweak inclusive reactions in nuclei at intermediate energies



To describe the propagation of particles inside of the nuclear medium \Rightarrow microscopic framework:

- Pauli Blocking
- RPA and Short Range Correlations (SRC)
- $\Delta(1232)$ -Degrees of Freedom
- Spectral Function (SF) + Final State Interaction (FSI)
- Meson Exchange Currents (MEC)

compute the imaginary part of the lepton-selfenergy inside of the <u>nucleus</u>:



Basic object $\Pi_{W,Z^0,\gamma}^{\nu\rho}(q,\rho) \equiv$ Selfenergy of the Gauge Boson (W^{\pm}, Z^0, γ) inside of the nuclear medium. Perform a Many Body expansion, where the relevant gauge boson absorption modes should be systematically incorporated: absorption by one N, or NN or even 3N, real and virtual (MEC) meson (π, ρ, \cdots) production, Δ excitation, etc...











Inclusive QE processes [f.i. (ν_l, l)]

$(W^{\pm}, Z^0 \text{ absorption by one nucleon})$

First ingredient: M.E. of the CC/NC current between nucleons.

$$< p; \vec{p}' = \vec{p} + \vec{q} |j^{\alpha}_{cc}(0)|n; \vec{p} > = \bar{u}(\vec{p}') [V^{\alpha} - A^{\alpha}] u(p)$$

$$V^{\alpha} = 2\cos\theta_c \times \left(F_1^V(q^2)\gamma^{\alpha} + i\mu_V \frac{F_2^V(q^2)}{2M}\sigma^{\alpha\nu}q_{\nu}\right)$$
$$A^{\alpha} = \cos\theta_c G_A(q^2) \times \left(\gamma^{\alpha}\gamma_5 + \frac{2M}{m_{\pi}^2 - q^2}q^{\alpha}\gamma_5\right) \quad (\mathbf{PCAC})$$

with vector form factors related to the electromagnetic ones and

$$G_A(q^2) = \frac{g_A}{(1 - q^2 / M_A^2)^2}, \quad g_A = 1.257$$

One finds (quasielastic peak)

$$\begin{split} W^{\mu\nu}_{s,a}(q) &= -\frac{1}{2M^2} \int_0^\infty \mathbf{drr}^2 \Biggl\{ 2 \int \frac{d^3p}{(2\pi)^3} \frac{M}{E(\vec{p})} \frac{M}{E(\vec{p}+\vec{q})} \Theta(q^0) \\ &\times \quad \Theta(\mathbf{k}^{\mathbf{n}}_{\mathbf{F}}(\mathbf{r}) - |\vec{\mathbf{p}}|) \Theta(|\vec{\mathbf{p}}+\vec{\mathbf{q}}| - \mathbf{k}^{\mathbf{p}}_{\mathbf{F}}(\mathbf{r})) \\ &\times \quad (-\pi) \delta(q^0 + E(\vec{p}) - E(\vec{p}+\vec{q}|)) A^{\mu\nu}_{s,a}(p,q) \Biggr\} \end{split}$$

Relativistic Local Fermi Gas that includes Pauli Blocking !

$$\begin{aligned} A_s^{\mu\nu}(p,q) &= 16(F_1^V)^2 \left\{ (p+q)^{\mu} p^{\nu} + (p+q)^{\nu} p^{\mu} + \frac{q^2}{2} g^{\mu\nu} \right\} \\ &+ 2q^2 (\mu_V F_2^V)^2 \left\{ 4g^{\mu\nu} - 4\frac{p^{\mu} p^{\nu}}{M^2} - 2\frac{p^{\mu} q^{\nu} + q^{\mu} p^{\nu}}{M^2} \right. \end{aligned}$$

$$- q^{\mu}q^{\nu}\left(\frac{4}{q^{2}} + \frac{1}{M^{2}}\right) \bigg\} - 16F_{1}^{V}\mu_{V}F_{2}^{V}(q^{\mu}q^{\nu} - q^{2}g^{\mu\nu} + 4G_{A}^{2}\left\{2p^{\mu}p^{\nu} + q^{\mu}p^{\nu} + p^{\mu}q^{\nu} + g^{\mu\nu}\left(\frac{q^{2}}{2} - 2M^{2}\right)\right\}$$
$$- \frac{2M^{2}(2m_{\pi}^{2} - q^{2})}{(m_{\pi}^{2} - q^{2})^{2}}q^{\mu}q^{\nu}\bigg\}$$
$$A_{a}^{\mu\nu}(p,q) = 16G_{A}\left(\mu_{V}F_{2}^{V} + F_{1}^{V}\right)\epsilon^{\mu\nu\alpha\beta}q_{\alpha}p_{\beta}$$

- Nucleus dependence: $k_F^{p,n}(r) = [3\pi^2 \rho_{p,n}(r)]^{\frac{1}{3}}$, with $\rho_{p,n}(r)$ proton and neutron center densities.
- The leading contribution (1ph) in the density expansion, is fully relativistic.
- Analytical $\int d^3p$ integration in terms of the imaginary part of the relativistic ph Lindhard function:

 $\operatorname{Im}\bar{U}_{R}^{N}(q) = 2 \int \frac{d^{3}p}{(2\pi)^{3}} \frac{M}{E(\vec{p})} \frac{M}{E(\vec{p}+\vec{q})} \Theta(k_{F}^{n}(r) - |\vec{p}|)$ $\Theta(q^{0})\Theta(|\vec{p}+\vec{q}| - k_{F}^{p}(r))(-\pi)\delta(q^{0} + E(\vec{p}) - E(\vec{p}+\vec{q}|))$

in addition we include some nuclear corrections...

• Low Density Theorem. For low densities $\mathrm{Im}\bar{U}_R^N(q) \approx -\pi\rho_n(r)\frac{M}{E(\vec{q})}\delta(q^0 + M - E(\vec{q})) + \cdots$

 $\int d^3r \to N$ (number of neutrons) and $\sigma_{\nu_l A \to lX} = N \sigma_{\nu_l n \to l^- p}$

- Low energies:
 - 1. Correct Energy Balance, incorporating the experimental Q value, $\rightarrow \delta(q^0 - Q + E(\vec{p}) - E(\vec{p} + \vec{q}))$ with $Q = M(A_{Z+1}) - M(A_Z)$.
 - 2. Coulomb distortion of outgoing lepton

$$(k'^2 - m_l^2 + i\epsilon)^{-1} \to (k'^2 - m_l^2 - \sum_{Coul} + i\epsilon)^{-1}$$

• Polarization (RPA) effects. Substitute the ph excitation by an RPA response: series of ph and Δh excitations.



1. Effective Landau-Migdal interaction

$$V(\vec{r}_{1}, \vec{r}_{2}) = c_{0}\delta(\vec{r}_{1} - \vec{r}_{2}) \left\{ f_{0}(\rho) + f_{0}'(\rho)\vec{\tau}_{1}\vec{\tau}_{2} + g_{0}(\rho)\vec{\sigma}_{1}\vec{\sigma}_{2}\vec{\tau}_{1}\vec{\tau}_{2} \right\}$$

Isoscalar terms do not contribute to CC

2. S = T = 1 channel of the *ph-ph* interaction \rightarrow s longitudinal (π) and transverse (ρ) + SRC

 $g_0'\vec{\sigma}_1\vec{\sigma}_2\vec{\tau}_1\vec{\tau}_2 \to [V_l(q)\hat{q}_i\hat{q}_j + V_t(q)(\delta_{ij} - \hat{q}_i\hat{q}_j)]\sigma_1^i\sigma_2^j\vec{\tau}_1\vec{\tau}_2$

$$V_{l,t}(q) = \frac{f_{\pi NN,\rho NN}}{m_{\pi,\rho}^2} \left(F_{\pi,\rho}(q^2) \frac{\vec{q}^2}{q^2 - m_{\pi,\rho}^2} + g'_{l,t}(q) \right)$$

3. Contribution of Δh excitations important

NuSTEC 14



Examples of the RPA effect

$$\begin{array}{rcl}
G_A^2 \delta^{ij} & \to & G_A^2 \left(\frac{\hat{q}^i \hat{q}^j}{|1 - U(q) V_l(q)|^2} + \frac{\delta^{ij} - \hat{q}^i \hat{q}^j}{|1 - U(q) V_t(q)|^2} \right) \\
(F_1^V)^2 & \to & \frac{(F_1^V)^2}{|1 - c_0 f_0'(\rho) U_N(q)|^2}, \quad \text{etc...}
\end{array}$$

The Lindhard function $U(q) = U_N + U_\Delta \quad [ph + \Delta h]$

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RPA corrections strongly decrease as the neutrino energy increases. However, their effects might account for a low Q^2 deficit of CCQE events and affect the σ_{μ}/σ_e ratio (~ 5 %) • Spectral Function (SF) + Final State Interaction (FSI): dressing up the nucleon propagator of the hole (SF) and particle (FSI) states in the *ph* excitation



- Change of nucleon dispersion relation:
 - * hole \Rightarrow Interacting Fermi sea (SF)
 - * particle \Rightarrow Interaction of the ejected nucleon with the final nuclear state (FSI)

$$G(p) \to \int_{-\infty}^{\mu} d\omega \frac{S_h(\omega, \vec{p})}{p^0 - \omega - i\epsilon} + \int_{\mu}^{+\infty} d\omega \frac{S_p(\omega, \vec{p})}{p^0 - \omega + i\epsilon}$$

The hole and particle spectral functions are related to nucleon self-energy Σ in the medium,

$$S_{p,h}(\omega, \vec{p}) = \pm \frac{1}{\pi} \frac{\mathrm{Im}\Sigma(\omega, \vec{p})}{\left[\omega^2 - \vec{p}^2 - M^2 - \mathrm{Re}\Sigma(\omega, \vec{p})\right]^2 + \left[\mathrm{Im}\Sigma(\omega, \vec{p})\right]^2}$$

with $\omega \geq \mu$ or $\omega \leq \mu$ for S_p and S_h , respectively (μ is the chemical potential).

$$W^{\mu\nu}_{s,a}(q) \propto -\int_0^\infty \mathbf{drr}^2 \left\{ \int \frac{d^4p}{(2\pi)^4} A^{\mu\nu}_{s,a}(p,q) S_p(p+q) S_h(p) \right\}$$

The simplest description \Rightarrow relativistic Fermi Gas

chemical potential :
$$\mu \sim \frac{k_F^2}{2M} + \frac{\text{Re}\Sigma(\mu, k_F)}{2E(k_F)}$$

with $E(\vec{p}) = \sqrt{M^2 + \vec{p}^2} - E_B$, \cdots (P. Fernández de Córdoba and E. Oset, PRC46 (1992) 1697))

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$$S_{p,h}(\omega, \vec{p}) = \mp \frac{1}{\pi} \frac{\operatorname{Im}\Sigma(\omega, \vec{p})}{\left[\omega^2 - \vec{p}^2 - M^2 - \operatorname{Re}\Sigma(\omega, \vec{p})\right]^2 + \left[\operatorname{Im}\Sigma(\omega, \vec{p})\right]^2}$$

with $\omega \ge \mu$ or $\omega \le \mu$ for S_p and S_h , respectively (μ is the chemical potential).

$$W_{s,a}^{\mu\nu}(q) \propto -\int_0^\infty dr r^2 \left\{ \int \frac{d^4p}{(2\pi)^4} A_{s,a}^{\mu\nu}(p,q) S_p(p+q) S_h(p) \right\}$$

For non interacting fermions $\Sigma = 0$,

$$S_{p}(\omega, \vec{p}) = \frac{\theta(|\vec{p}| - k_{F})}{2E(\vec{p})}\delta(\omega - E(\vec{p}))$$
$$S_{h}(\omega, \vec{p}) = \frac{\theta(k_{F} - |\vec{p}|)}{2E(\vec{p})}\delta(\omega - E(\vec{p}))$$

and only Pauli blocking is incorporated!!

To take into account $SF+FSI \rightarrow \underline{replace} \operatorname{Im} \overline{U}_R^N(q)$ by the response function:

$$-\frac{1}{2\pi} \int_{0}^{+\infty} dp p^{2} \int_{-1}^{+1} dx \int_{\mu-q^{0}}^{\mu} d\omega \mathbf{S}_{\mathbf{h}}(\omega, \vec{\mathbf{p}}) \mathbf{S}_{\mathbf{p}}(\mathbf{q}^{0} + \omega, \mathbf{t})$$

with $t^{2} = \vec{p}^{2} + \vec{q}^{2} + 2|\vec{p}||\vec{q}|x.$

This nuclear effect is additional to those due to RPA (long range) correlations !!



- Sizeable reduction of the strength at the QE peak, which is slightly shifted. Neutrino energy re-construction uses $q^0 = -q^2/2M$, problems??

- Enhancement of the high energy transfer tail, which partially compensates the above reduction and thus the effect on the total (integrated) cross section is smaller.



Qualitatively agreement with Benhar, Farina, Nakamura, Sakuda and Seki [PRD 72 (2005) 053005]



- RPA corrections are not included, but probably small for $|\vec{q}| \ge 500$ MeV
- Pion production and 2N channels should be included in the "dip" and Δ regions.



RPA vs SF effects: Differential cross sections for the CCQE reaction on 12 C averaged over the MiniBooNE flux (Alvarez-Ruso L et al., 2009 AIP Conf. Proc. 1189 151) RPA \gg SF The simplest description \Rightarrow relativistic Fermi Gas with **non interacting fermions** $\Sigma = 0$,

$$S_{p}(\omega, \vec{p}) = \frac{\theta(|\vec{p}| - k_{F})}{2E(\vec{p})}\delta(\omega - E(\vec{p}))$$
$$S_{h}(\omega, \vec{p}) = \frac{\theta(k_{F} - |\vec{p}|)}{2E(\vec{p})}\delta(\omega - E(\vec{p}))$$

and only Pauli blocking is incorporated!!

Local vs Global Fermi Gas ?

$$k_F^{p,n}(r) = [3\pi^2 \rho^{p,n}(r)]^{1/3}$$
 vs $k_F^{p,n}$ = cte ?

Local vs Global Fermi Gas ?

 $k_F(r) = \left[3\pi^2 \rho(r)/2\right]^{1/3} \mathbf{vs} \ k_F = \mathbf{cte} \ \mathbf{?}$ $S_h(\omega, \vec{p}) = \delta(\omega - E(\vec{p}))\theta(k_F - |\vec{p}|)/2\omega$



$$(\int d^3p\,n(|\vec{p\,}|)=A)$$

Convolution approach: C. Ciofi degli Atti, S. Liuti, and S. Simula, PRC 53, 1689 (1996), provide realistic distribution due to short-range correlations !



Above QE Region: π Production

(e,e') PRL 105, 132301 & PRD 72 053005





Above QE Region: π Production





 $(
u_{\mu}, \mu^{-})$ **Results**

PRC 83 (2011) 045501 $[M_A = 1.049 \text{ GeV}]$




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MiniBooNE <u>CCQE-like</u> double differential cross section $\frac{d^2\sigma}{dT_{\mu}d\cos\theta_{\mu}}$

We define a **merit function** and consider our **QE+2p2h results**

$$\chi^{2} = \sum_{i=1}^{137} \left[\frac{\lambda \left(\frac{d^{2} \sigma^{exp}}{dT_{\mu} d \cos \theta} \right)_{i} - \left(\frac{d^{2} \sigma^{th}}{dT_{\mu} d \cos \theta} \right)_{i}}{\lambda \Delta \left(\frac{d^{2} \sigma}{dT_{\mu} d \cos \theta} \right)_{i}} \right]^{2} + \left(\frac{\lambda - 1}{\Delta \lambda} \right)^{2},$$

that takes into account the global normalization uncertainty ($\Delta \lambda = 0.107$) claimed by the MiniBooNE collaboration.

We fit λ to data with a <u>fixed value</u> of M_A (=1.049 GeV). We obtain $\chi^2/\#$ bins =52/137 with $\lambda = 0.89 \pm 0.01$.

The microscopical model, with no free parameters, agrees remarkably well with data! The shape is very good and χ^2 strongly depends on λ , which is strongly correlated with M_A .







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Differences with the work of Martini et al. (PRC80,065501)

- 1. Similar for the 2p2h contributions driven by Δh excitation (both groups use the same model for the Δ -selfenergy in the medium).
- 2. Martini et al. do not consider 2p2h contributions driven by contact, pion pole and pion in flight terms.
- 3. Martini et al. give approximate estimates (no microscopical calculation) for the rest of 2p2h contributions [relate them to the absorptive part of the p-wave pion-nucleus optical potential at threshold or to a microscopic calculation by Alberico et al. (Annals Phys. 154, 356) specifically aimed at the evaluation of the 2p-2h contribution to the isospin spin-transverse response, measured in inclusive (e, e') scattering].



We compare rather well with Martini et al., PRC 84, 055502 for bare QE and QE+RPA



...however our 2p2h contribution is about a factor of 2 smaller!

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Martini et al., predictions look consistent with MiniBooNE data ...





Martini et al., predictions look consistent with MiniBooNE data ..., but their estimate rely on some computation of the 2p2h mechanisms for (e, e')(Alberico et al.,) \Rightarrow no info on axial part of the interaction!

...however our predictions for the 2p2h contribution would favor a global normalization scale of about 0.9. This would be consistent with the Mini-BooNE estimate of a total normalization error of 10.7%. Neutrino beams ARE NOT monochromatic. For QE-like events, only the charged lepton is observed and the only measurable quantities are then its direction (scattering angle θ_{μ} with respect to the neutrino beam direction) and its energy E_{μ} . The energy of the neutrino that has originated the event is unknown. Assuming QE dynamics is defined a "reconstructed" energy

$$E_{\rm rec} = \frac{M E_{\mu} - m_{\mu}^2 / 2}{M - E_{\mu} + |\vec{p}_{\mu}| \cos \theta_{\mu}}$$

(genuine quasielastic event on a nucleon at rest, ie. $E_{\rm rec}$ is determined by the QE-peak condition $q^0 = -q^2/2M$). Note that **each event contributing to the flux averaged double differential cross section** $d\sigma/dE_{\mu}d\cos\theta_{\mu}$ defines <u>unambiguously</u> a value of $E_{\rm rec}$. The actual ("true") energy, E, of the neutrino that has produced the event will not be exactly $E_{\rm rec}$.

Flux-folded $d\sigma/dT_{\mu}d\cos\theta_{\mu} \xrightarrow{?} \mathbf{CCQE}$ -like unfolded $\sigma(E)$

Unfolding procedure needs theoretical input!

$$P_{\rm true}(E) = \int dE_{\rm rec} \underbrace{P_{\rm rec}(E_{\rm rec})}_{\rm EXP} \underbrace{P(E|E_{\rm rec})}_{theory!}$$

 $P_{\rm rec}(E_{\rm rec})$ is the pd of measuring an event with reconstructed energy $E_{\rm rec}$. $P(E|E_{\rm rec})$ is, given an event of reconstructed energy $E_{\rm rec}$, the conditional pd of being produced by a neutrino of energy E. ...using Bayes's theorem $P(E|E_{\rm rec})$ could be related to

$$P(E_{\rm rec}|E)$$
 is determined by

$$\frac{d\sigma}{dE_{\rm rec}}(E;E_{\rm rec})$$

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$$\mathbf{P}(\mathbf{E}|\mathbf{E}_{\rm rec}) = \frac{\mathbf{P}(\mathbf{E}_{\rm rec}|\mathbf{E})P_{\rm true}(E)}{P_{\rm rec}(E_{\rm rec})}$$

 $P(E_{\rm rec}|E)$ is the conditional pd of measuring an event with reconstructed energy $E_{\rm rec}$ and induced by the interaction with the nuclear target of a neutrino of energy E.

$$P(E_{\rm rec}|E) = \frac{1}{\sigma(E)} \frac{d\sigma}{dE_{\rm rec}}(E; E_{\rm rec}), \quad P_{\rm true}(E) \propto \Phi(E)\sigma(E)$$
$$\frac{d\sigma}{dE_{\rm rec}}(E; E_{\rm rec}) = \int_{m_{\mu}}^{E} dE_{\mu} \left| \frac{\partial(\cos\theta_{\mu})}{\partial E_{\rm rec}} \right| \underbrace{\frac{d^{2}\sigma}{d(\cos\theta_{\mu})dE_{\mu}}(E; E_{\rm rec})}_{\text{theory!}}$$



Neutrino Energy Reconstruction and the Shape of the CCQE-like Total Cross Section

(qualitatively in agreement with Martini et al., PRD85 093012)

$$\frac{d\sigma}{dE_{\rm rec}}(E;E_{\rm rec}^0) = \int_{m_{\mu}}^{E} dE_{\mu} \frac{d^2\sigma}{dE_{\rm rec}dE_{\mu}}(E;E_{\rm rec}^0) = \int_{m_{\mu}}^{E} dE_{\mu} \left|\frac{\partial(\cos\theta_{\mu})}{\partial E_{\rm rec}}\right| \frac{d^2\sigma}{d(\cos\theta_{\mu})dE_{\mu}}(E;E_{\rm rec}^0)$$



For each $E_{\rm rec}$, there exists a distribution of true neutrino energies that could give rise to events whose muon kinematics would lead to the given value of $E_{\rm rec}$.





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$$\begin{split} \left[\langle \sigma \rangle P_{\rm rec}(E_{\rm rec}) \right]_{\rm Exp} \sim \\ \int \left(\frac{d\sigma}{dE_{\rm rec}} (E'; E_{\rm rec}) \right|_{\rm QE+RPA,}^{M_A = 1.049 \ {\rm GeV}} \\ + \frac{d\sigma^{2\rm p2h}}{dE_{\rm rec}} (E'; E_{\rm rec}) \right) \Phi(E') dE' \end{split}$$
and

$$\underbrace{\left[\frac{d\sigma/dE_{\rm rec}(E;E_{\rm rec})}{\int dE''\Phi(E'')d\sigma/dE_{\rm rec}(E'';E_{\rm rec})}\right]}$$

. . .

ONLY QE , $M_A = 1.32$ GeV and noRPA

For $\bar{\nu}$



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Superscaling approach: Inclusive electron scattering data exhibit interesting systematics that can be used to predict (anti)neutrino-nucleus cross sections (T. Donnelly and I. Sick, PRL 82, 3212 (1999)),

$$f = k_F \frac{\frac{d\sigma}{d\Omega' dE'}}{Z\sigma_{ep} + N\sigma_{en}}$$

- $f = f(\psi')$, with $\psi' = \psi'(q^0, |\vec{q}|)$
- *f* is largely independent of the specific nucleus

Scaling violations reside mainly in R_T : excitation of resonances, meson production, 2p2h mechanisms and even the tail of DIS. An experimental scaling function $f(\psi')$ could be reliably extracted by fitting the data for R_L .

 ν QE cross sections can be calculated with the simple RgFG model followed by the replacement $f_{RgFG} \rightarrow f_{exp}$.

At higher ν energies



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$\mathbf{MINER}\nu\mathbf{A}$





Conclusions

- We have analyzed the MiniBooNE CCQE $\frac{d^2\sigma}{dT_{\mu}d\cos\theta_{\mu}}$ data using a theoretical model that has proved to be quite successful in the analysis of nuclear reactions with electron, photon and pion probes and <u>contains no additional free</u> parameters.
- RPA and multinucleon knockout have been found to be essential for the description of the data.
- MiniBooNE ν and $\bar{\nu}$ CCQE-like data are fully compatible with former determinations of M_A in contrast with several previous analyses. We find, $M_A = 1.08 \pm 0.03$.
- The ν_{μ} flux could have been underestimated (~10%)

- Because of the the multinucleon mechanism effects, the algorithm used to reconstruct the neutrino energy is not adequate when dealing with quasielastic-like events.
- The inclusion of nucleon-nucleon correlation effects in the RPA series yields a much larger shape distortion toward relatively more high- q^2 interactions, with the 2p2h component filling in the suppression at very low q^2 .
- When confronted with the MINER ν A data and its small uncertainties, the model has the qualitative features and magnitude to give reasonable agreement.

Back up material

Dependence of the 2p2h contribution on $\cos \theta_{\mu}$



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CC ν Physics: PRC 70-055503, PLB 638,325

Low Energies

1.
$$\nu_{\mu}{}^{12}C \to \mu^{-}X \qquad (\overline{\sigma}[10^{-40}cm^{2}])$$

		THEO	RY	EXP (LSND)				
LDT	Pauli	RPA	[A]	[B]	$[\mathbf{C}]$	1995	1997	2002
66.1	20.7	11.9	13.2	15.2	19.2	8.3 ± 1.7	11.2 ± 1.8	10.6 ± 1.8

A Shell Model: A.C. Hayes and I.S. Towner, Phys. Rev. C61 (2000) 044603.

B Shell Model: C. Volpe, et al., Phys. Rev. C62 (2000) 015501.

C CRPA: E. Kolbe, et al., J. Phys. G29 (2003) 2569.

2.
$$\nu_e^{12} C \to e^- X \qquad (\overline{\sigma} [10^{-41} cm^2])$$

		THEOF	RY		EXP			
LDT	Pauli	RPA	[A]	[B]	$[\mathbf{C}]$	KARMEN	LSND	LAMPF
59.7	1.9	1.4	1.2	1.6	1.5	1.50 ± 0.14	1.50 ± 0.14	1.41 ± 0.23

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V. Lyubushkin et al. (NOMAD Collaboration), Eur. Phys. J. C 63, 355 (2009). In the two-track sample, which is primarily Q^2 above 0.3 GeV², a large fraction of the **2p2h component**, as well as QE and pion production where the hadrons rescattered as they exited the nucleus, are **rejected**.

It is observed a relative **deficit at** $Q^2 = 0.3$ and excess at 1.5 GeV² compared to QE without RPA. If the first two or three points are eliminated, the distribution will be consistent with $M_A \sim 1.2$ GeV.

CC and NC Nucleon Emission: PRC 73-025504



- ★ Gauge boson (W^{\pm} or Z^{0}), with four momentum q^{μ} , absorbed by one nucleon in a point of the nucleus $\vec{r} \rightarrow d^{2}\sigma/d\Omega' dE' d^{3}r$.
- * Kinematics of the outgoing nucleon: We generate a random \vec{p} from the local Fermi sea and impose momentum conservation and take into account Pauli blocking.
- * We move the primary nucleon through the nucleus, considering NN collisions, according to the NN elastic cross section, incorporating some medium modifications (Fermi motion, Pauli blocking and polarization). We also move the produced (secondary) nucleons through the nucleus. When one nucleon (primary or secondary) leaves the nucleus, it is counted as a contribution to σ

Why a MC Simulation?



The **distortion** of the nucleon wave function by a **complex optical potential <u>removes</u>** all events where the nucleons collide with other nucleons:

- This is correct when the final nucleus is left in the ground or in a particular excited state, but
- not when the final nuclear state is unobserved

 $\mathbf{DWIA} \rightarrow \mathbf{the}$ nucleons that interact are lost when in the physical process they simply come off the nucleus with a different energy, angle, and may be charge, and they should definitely be taken into account.



- Within the IA neutrinos only interact via CC with neutrons and would emit protons $(\nu_l n \rightarrow l^- p)$, and therefore <u>DWIA will predict</u> zero <u>cross sections for</u> the neutron emission reaction: $(\nu_l, l^- n)$
- However, the primary protons interact strongly with the medium and collide with other nucleons which are also ejected. As a consequence there is a reduction of the flux of high energy protons but a large number of secondary nucleons, many of them neutrons, of lower energies appear.
- Similar for $(\bar{\nu}_l, l^+p)$



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Theoretical Uncertainties: PLB 638,325

Predictions for CC and NC QE neutrino induced reactions in nuclei at intermediate energies of interest for future neutrino experiments. Uncertanties: $\sigma_{e,\mu} \sim 10 - 15\%$, $\sigma(\mu)/\sigma(e) \sim 5\%$

	Form F	ors	Nucleon Interaction						
M_D	=	0.843	\pm	$0.042~{ m GeV}$	$f_0^{\prime(in)}$	=	0.33	\pm	0.03
λ_n	—	5.6	\pm	0.6	$f_0^{(\prime ex)}$	—	0.45	\pm	0.05
M_A	—	1.05	\pm	$0.14 \mathrm{GeV}$	f	—	1.00	\pm	0.10
g_A	—	1.26	\pm	0.01	f^*	=	2.13	\pm	0.21
					Λ_{π}	=	1200	\pm	$120 { m MeV}$
					$C_{ ho}$	—	2.0	\pm	0.2
					$\Lambda_ ho$	—	2500	\pm	$250 { m ~MeV}$
					g'	—	0.63	\pm	0.06

+10% in Σ (nucleon self-energy)



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