

Neutrinos: Not Just Missing ET

Stephen Parke
Fermilab



Neutrinos Physics at Dawn !

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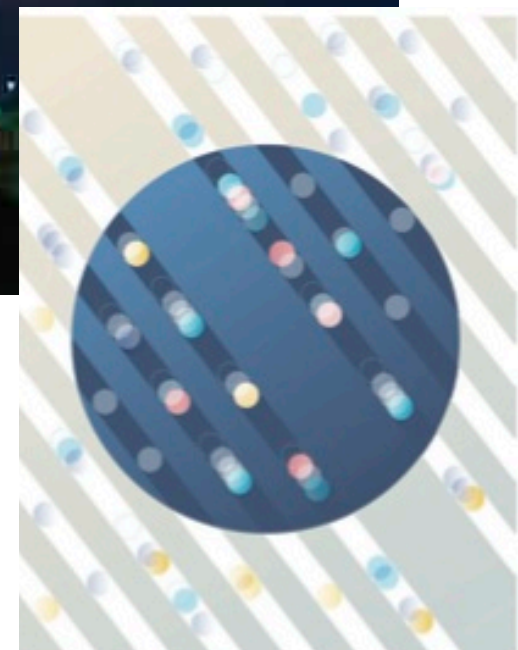


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Science Drivers

the physics associated with neutrino mass



16 years ago

Mass Found in Elusive Particle; Universe May Never Be the Same

Discovery on Neutrino Rattles Basic Theory About All Matter

By MALCOLM W. BROWNE

TAKAYAMA, Japan, June 5 — In what colleagues hailed as a historic landmark, 120 physicists from 23 research institutions in Japan and the United States announced today that they had found the existence of mass in a notoriously elusive subatomic particle called the neutrino.

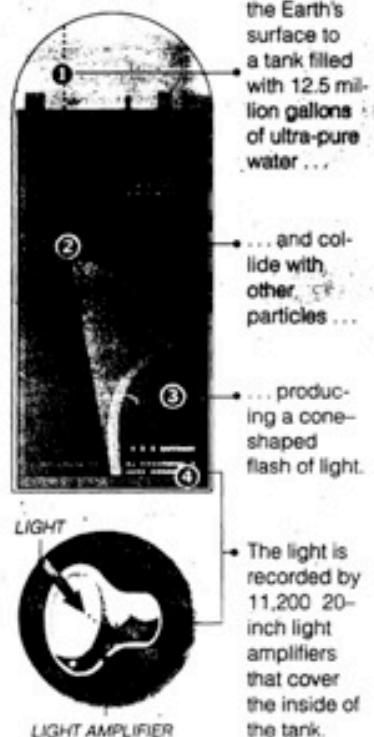
The neutrino, a particle that carries no electric charge, is so light that it was assumed for many years to have no mass at all. After today's announcement, cosmologists will have to confront the possibility that much of the mass of the universe is in the form of neutrinos. The discovery will also compel scientists to revise a highly successful theory of the composition of matter known as the Standard Model.

Word of the discovery had drawn some 300 physicists here to discuss neutrino research. Among other things, they said, the finding of neutrino mass might affect theories about the formation and evolution of galaxies and the ultimate fate of the universe. If neutrinos have sufficient mass, their presence throughout the universe would increase the overall mass of the universe, possibly slowing its present expansion.

Others said the newly detected but as yet unmeasured mass of the neutrino must be too small to cause cosmological effects. But whatever the case, there was general agreement here that the discovery will have far-reaching consequences for the investigation of the nature of matter.

Speaking for the collaboration of scientists who discovered the existence of neutrino mass using a huge underground detector called Super-Kamiokande, Dr. Takaaki Kajita of the Institute for Cosmic Ray Research of Tokyo University said that all explanations for the data collect-

Detecting Neutrinos



And Detecting Their Mass

By analyzing the cones of light, physicists determine that some neutrinos have changed form on their journey. If they can change form, they must have mass.

Source: University of Hawaii

The New York Times

ed by the detector except the existence of neutrino mass had been essentially ruled out.

Dr. Yoji Totsuka, leader of the coalition and director of the Kamioka Neutrino Observatory where the underground detector is situated, 30 miles north of here in the Japan Alps, acknowledged that his group's announcement was "very strong," but said, "We have investigated all

Continued on Page A14

1998, @Takayama
June 1998

Atmospheric neutrino results
from Super-Kamiokande & Kamiokande
— Evidence for ν_μ oscillations —

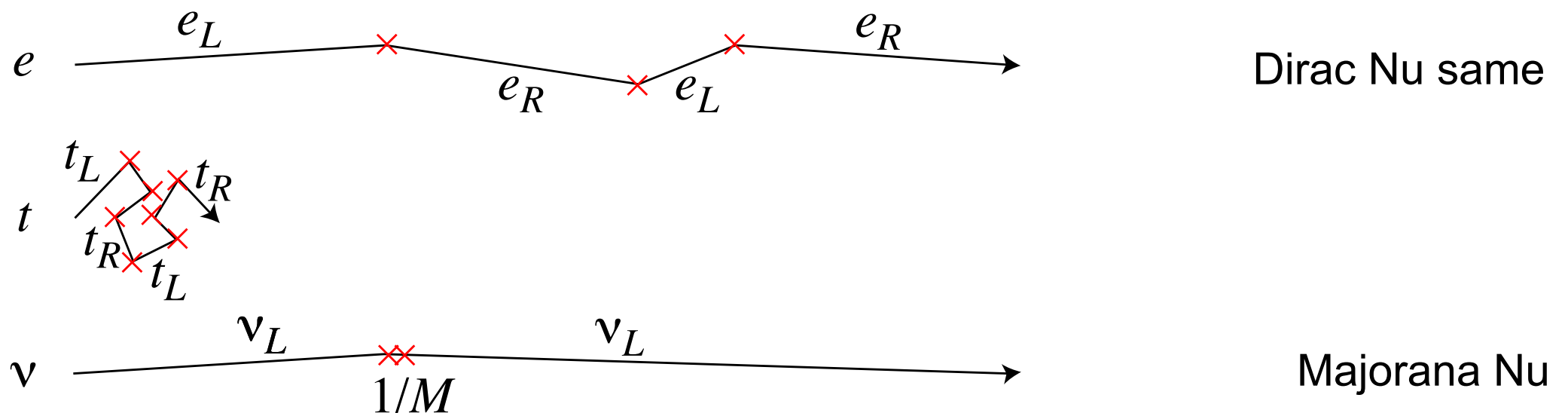
T. Kajita
Kamioka observatory, Univ. of Tokyo
for the { Kamiokande
Super-Kamiokande } Collaborations

<http://www-sk.icrr.u-tokyo.ac.jp/nu98/scan/>

Question I: What Kind of Mass?

• To Be Majorana or Not To Be Majorana?

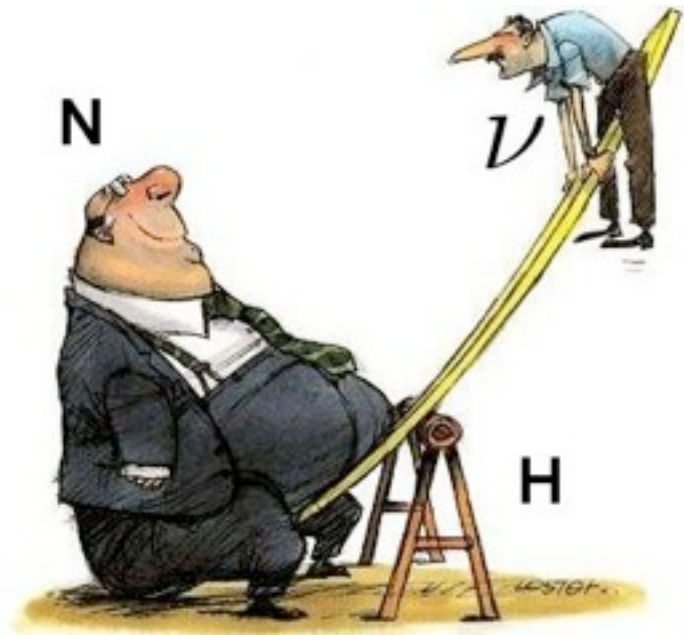
Type:	Mass Term	Coupling to Higgs	# comp.	Lepton Number
Dirac:	$\bar{\nu}_R \nu_L + \bar{\nu}_L \nu_R$	$\bar{L} H \nu_R$	4	Conserved
Majorana:	$\bar{\nu}_L \nu_L^c$	$\frac{1}{M} (\bar{L} H)^2$	2	Violated



$$\Gamma_{\text{tree}}(H \rightarrow \nu_i \bar{\nu}_i) \approx \left(\frac{m_{\nu_i}}{m_\tau} \right)^2 \Gamma(H \rightarrow \tau \bar{\tau}) \approx 10^{-20} \Gamma(H \rightarrow \tau \bar{\tau})$$

swamped by $H \rightarrow ZZ \rightarrow 4\nu$!

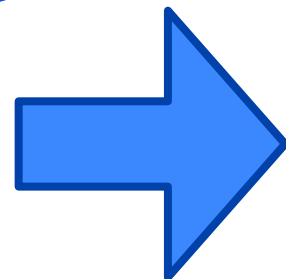
See-saw Mechanism:



- Introduce a right handed neutrino **N**
- Couple it to the Higgs

$$\mathcal{L} = -Y_\nu \bar{N} L \cdot H - 1/2 \bar{N}^c M_R N$$

$$\begin{pmatrix} 0 & m_D \\ m_D^T & M_N \end{pmatrix}$$



$$m_\nu = \frac{Y_\nu^2 v_H^2}{M_N} \sim \frac{1 \text{ GeV}^2}{10^{10} \text{ GeV}} \sim 0.1 \text{ eV}$$

Minkowski; Yanagida; Glashow; Gell-Mann, Ramond, Slansky; Mohapatra, Senjanovic

See-saw type I models can be embedded in GUT theories and explain the baryon asymmetry via leptogenesis.

Questions II: What is the Mass of the Sterile Neutrinos?

- How many light Neutrinos?

Except for LSND, MiniBooNE, Reactor and Gallium Anomalies,

3 can fit ALL the data and there is a lot of data ! ! !

- LSND, MiniBooNE, Reactor and Gallium Anomalies

can be fit with additional Light Sterile Neutrino(s) - 1, 2, 3 ...

Growing tension between Appearance data (LSND, MiniBooNE)

and ν_μ and ν_e Disappearance data ! !

Needs to be definitively resolved:

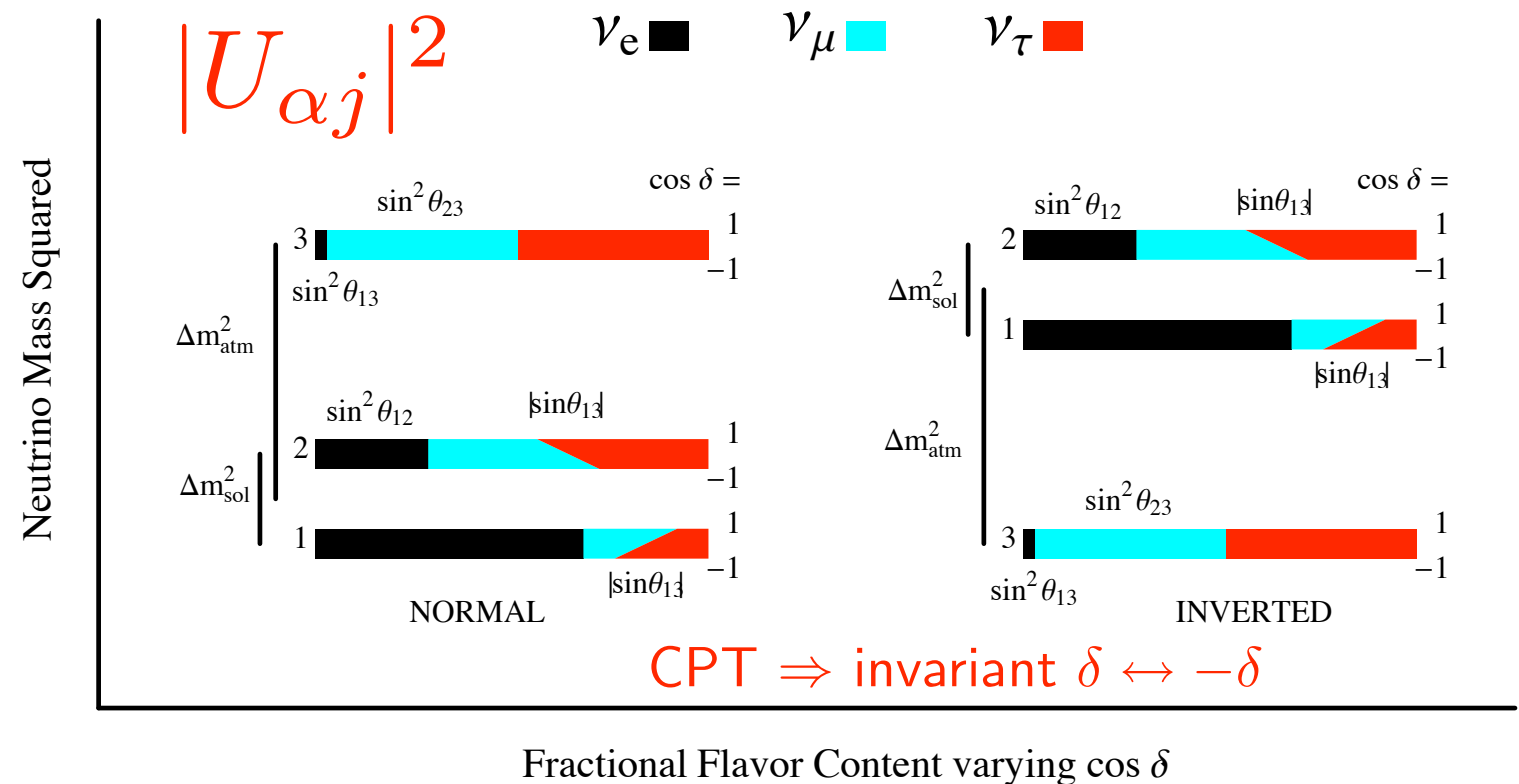
MicroBooNE, LAr-ND, ICARUS@Fermilab



Question III: Masses and Mixings

- Labeling massive neutrinos:

$$|U_{e1}|^2 > |U_{e2}|^2 > |U_{e3}|^2$$



$$\delta m_{sol}^2 = +7.6 \times 10^{-5} \text{ eV}^2$$

$$|\delta m_{atm}^2| = 2.4 \times 10^{-3} \text{ eV}^2$$

$$|\delta m_{sol}^2|/|\delta m_{atm}^2| \approx 0.03$$

$$0 \leq \delta < 2\pi$$

$$\sin^2 \theta_{12} \sim \frac{1}{3}$$

$$\sin^2 \theta_{23} \sim \frac{1}{2}$$

$$\sin^2 \theta_{13} \sim 0.02$$

$$\sqrt{\delta m_{atm}^2} = 0.05 \text{ eV} < \sum m_{\nu_i} < 0.5 \text{ eV} = 10^{-6} * m_e$$

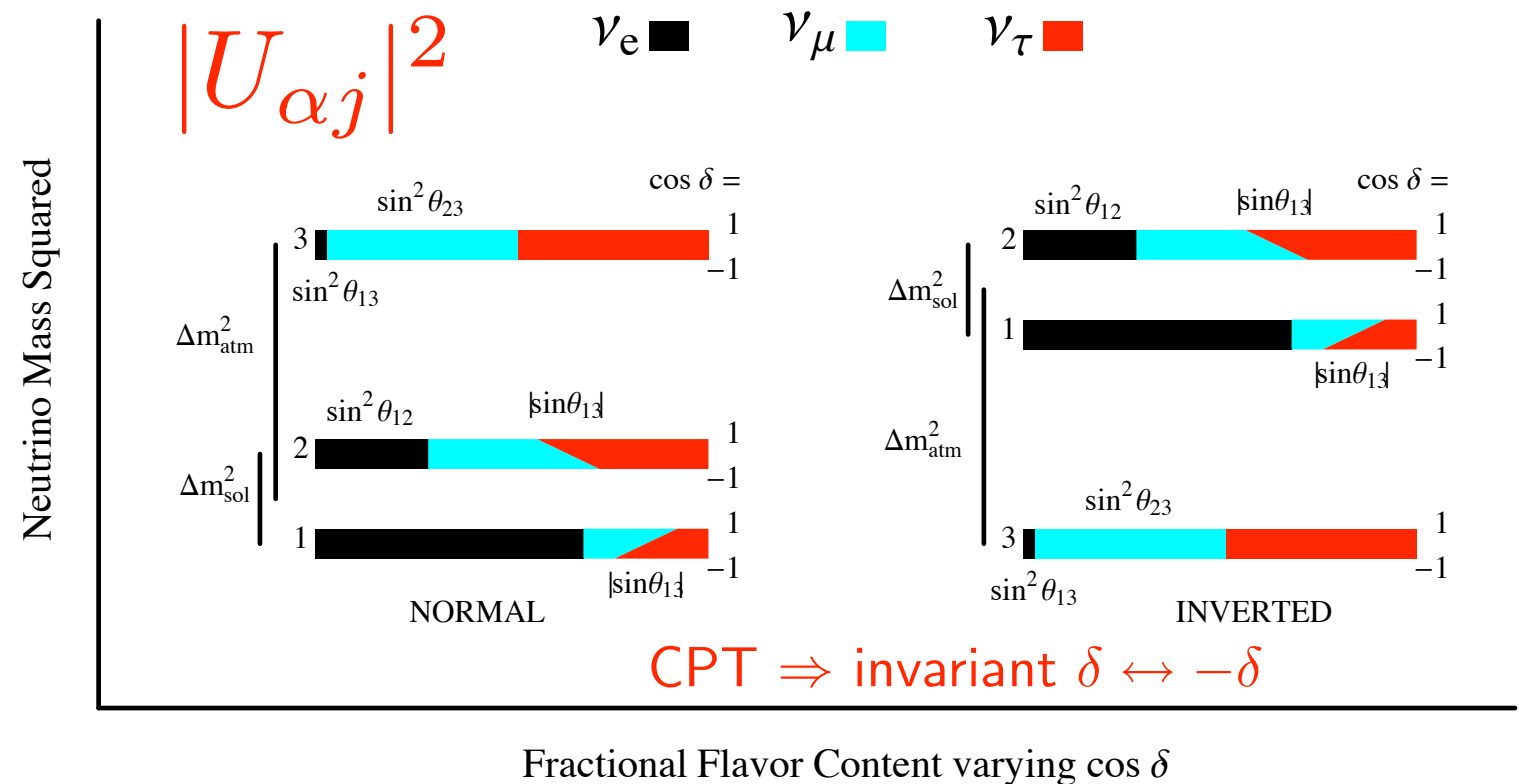
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Question IV: Non-Standard Interactions and other exotica

- Do we need new physics beyond just Neutrino Mass?

Extra Interactions of the Neutrinos?

Do the Massive Neutrinos Decay?

Premature Decoherence?

Lorentz Violations?

.....



Neutrino Mixing Matrix: PMNS

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix} \quad \downarrow \quad \begin{array}{l} \text{smaller } \nu_e \\ \text{content} \\ |U_{e1}|^2 > |U_{e2}|^2 > |U_{e3}|^2 \end{array}$$

Neutrino Mixing Matrix: PMNS

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

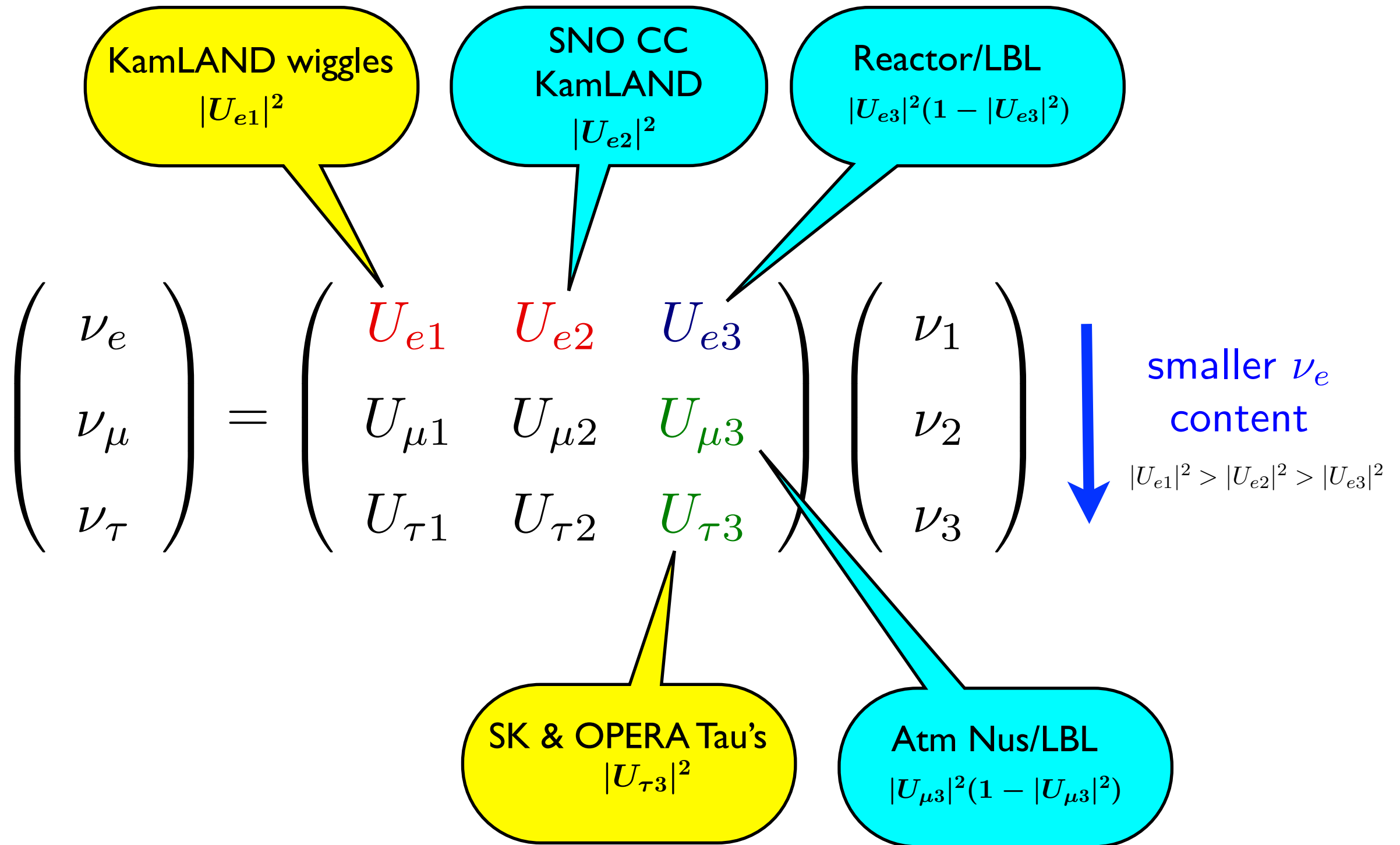
SNO CC
KamLAND
 $|U_{e2}|^2$

Reactor/LBL
 $|U_{e3}|^2(1 - |U_{e3}|^2)$

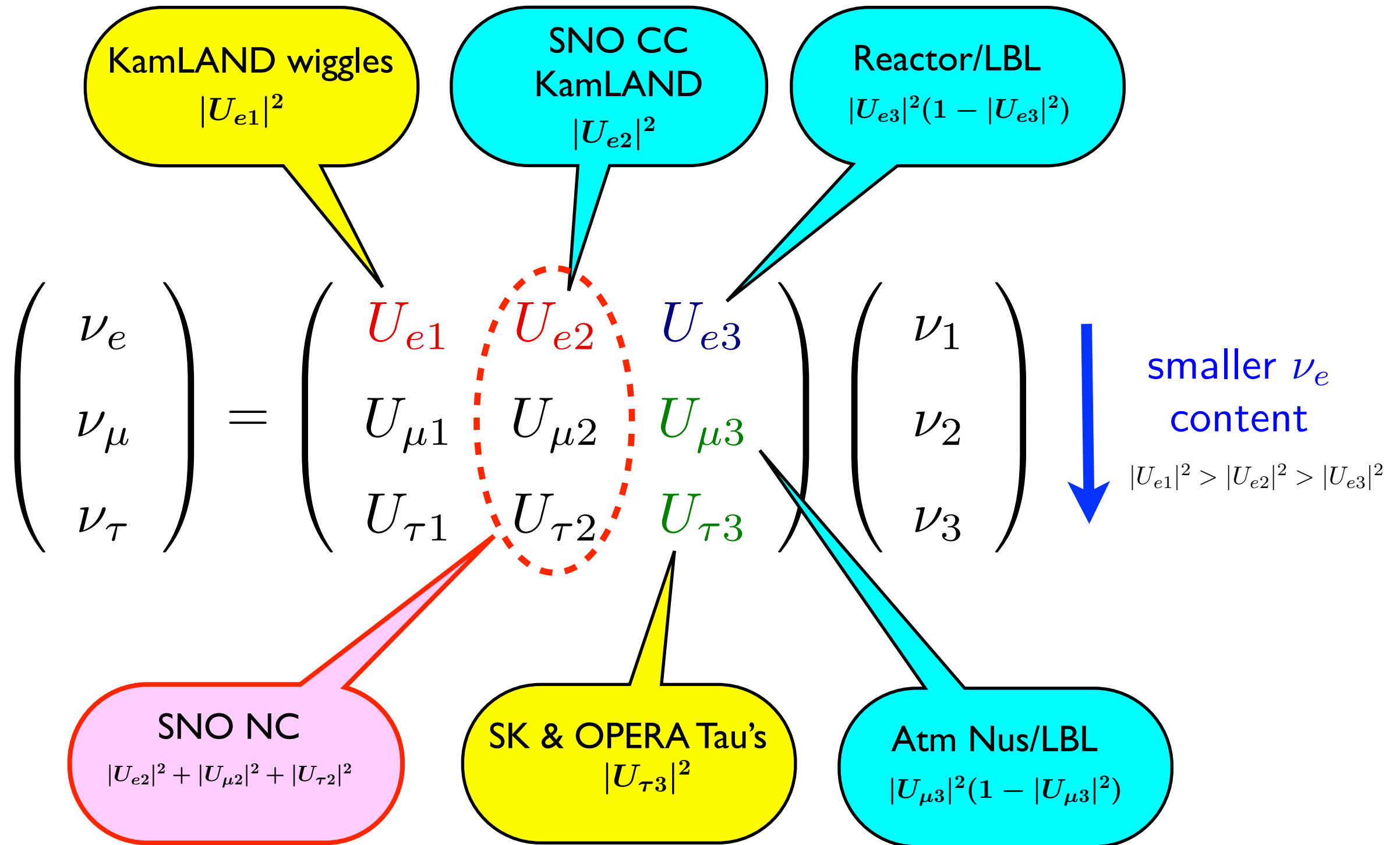
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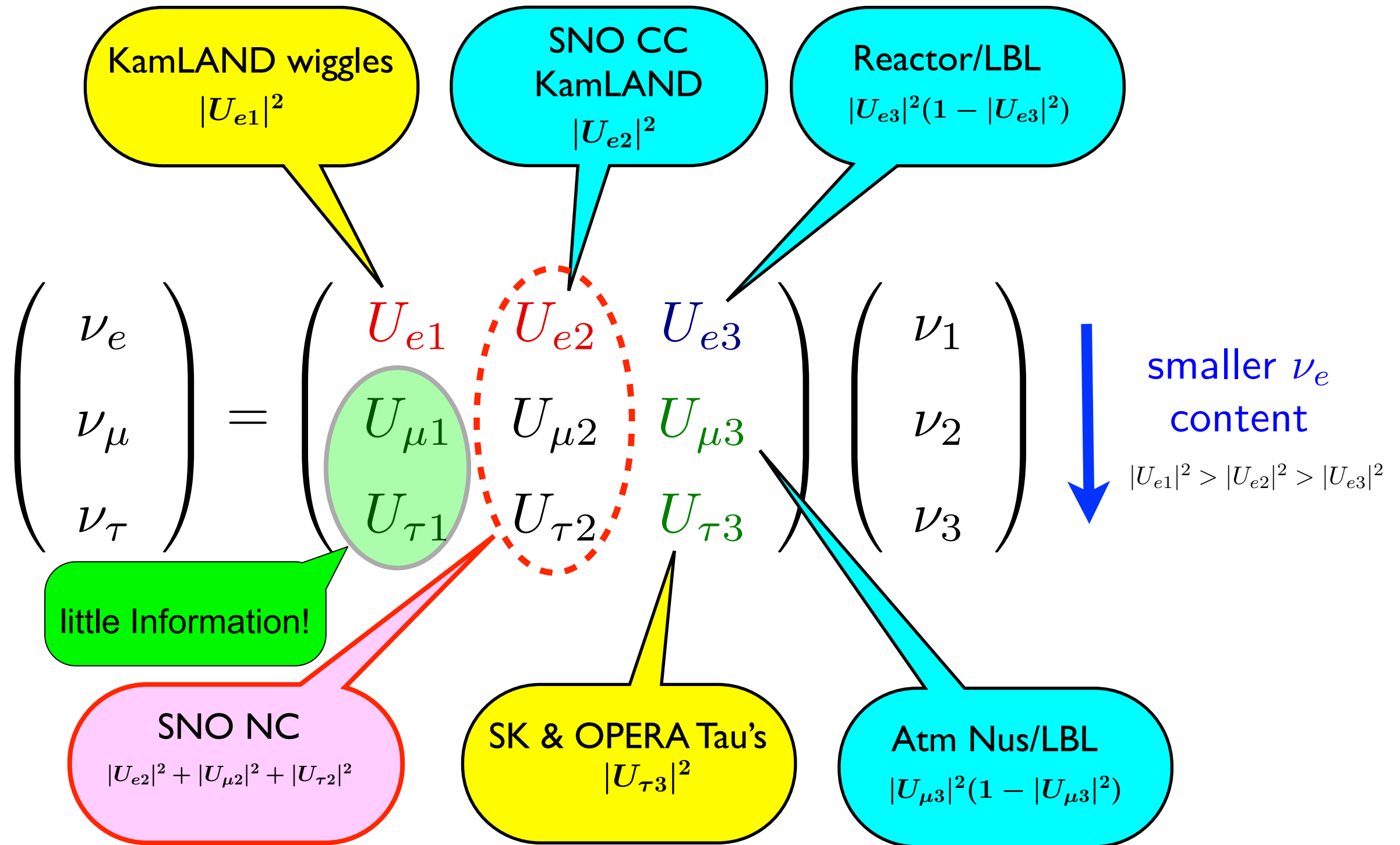
Neutrino Mixing Matrix: PMNS



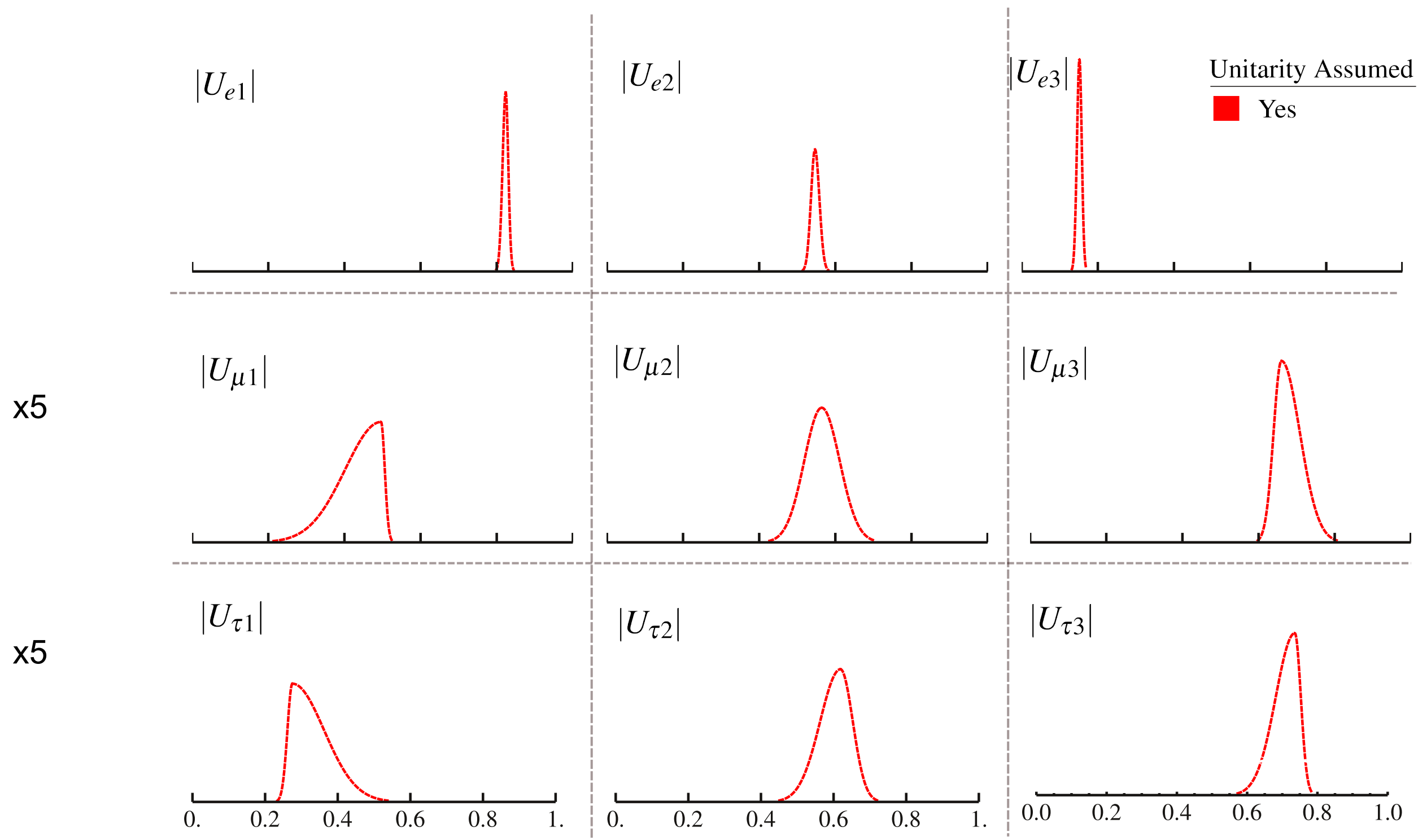
Neutrino Mixing Matrix: PMNS



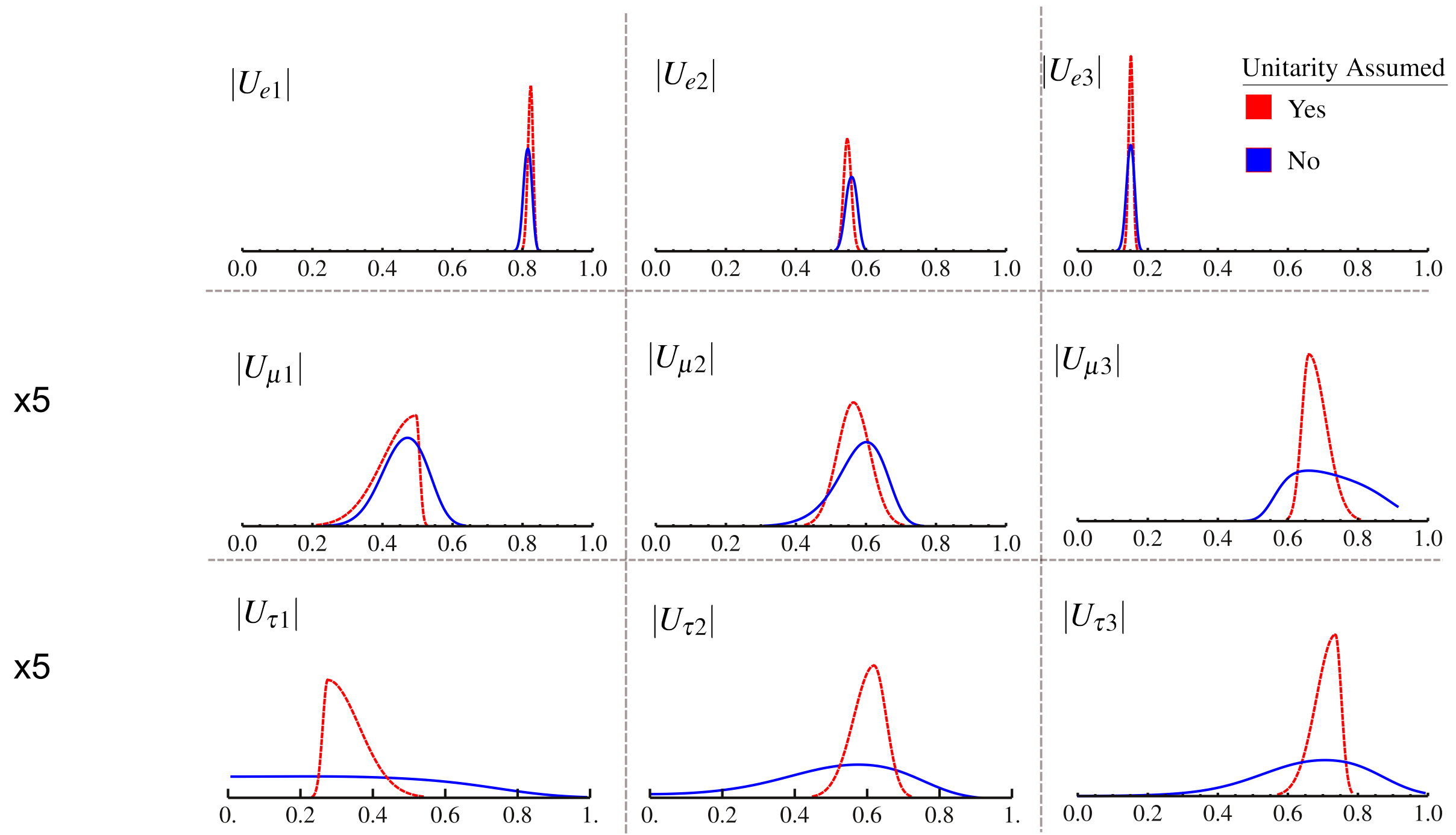
Neutrino Mixing Matrix: PMNS



Assuming Unitarity:



WITHOUT UNITARITY:

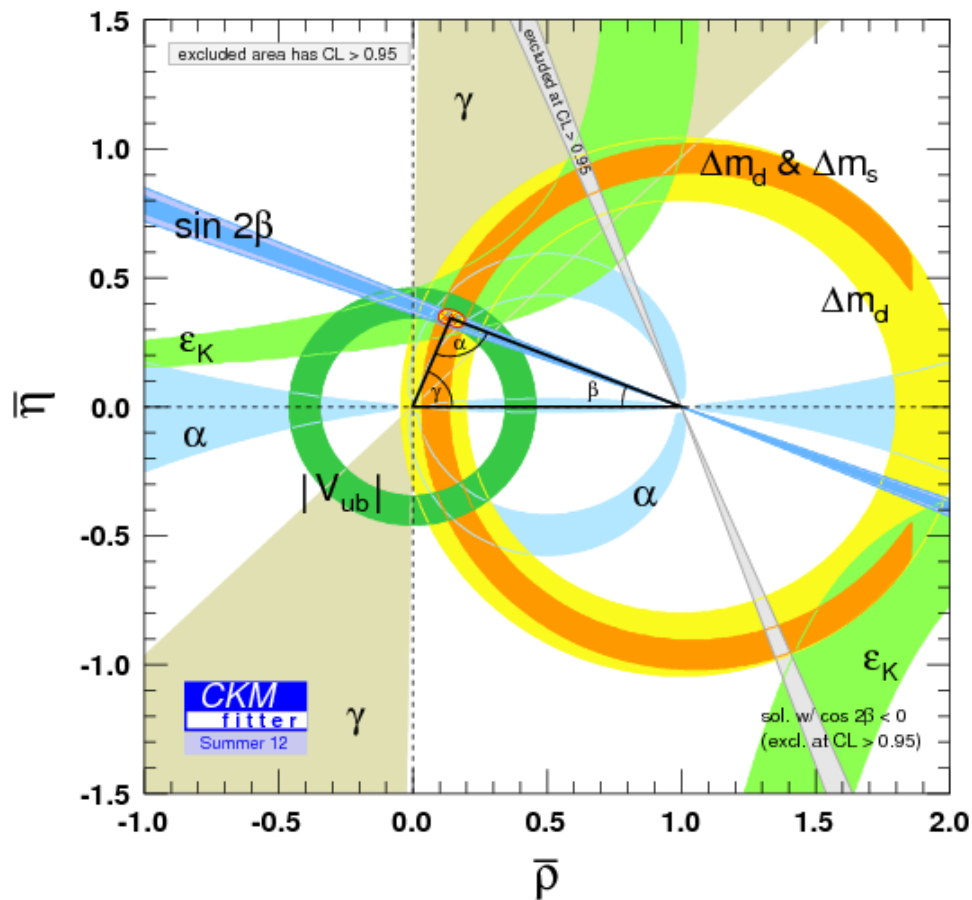


Mark Ross-Lonergan, Invisibles Network
Fermilab, Durham



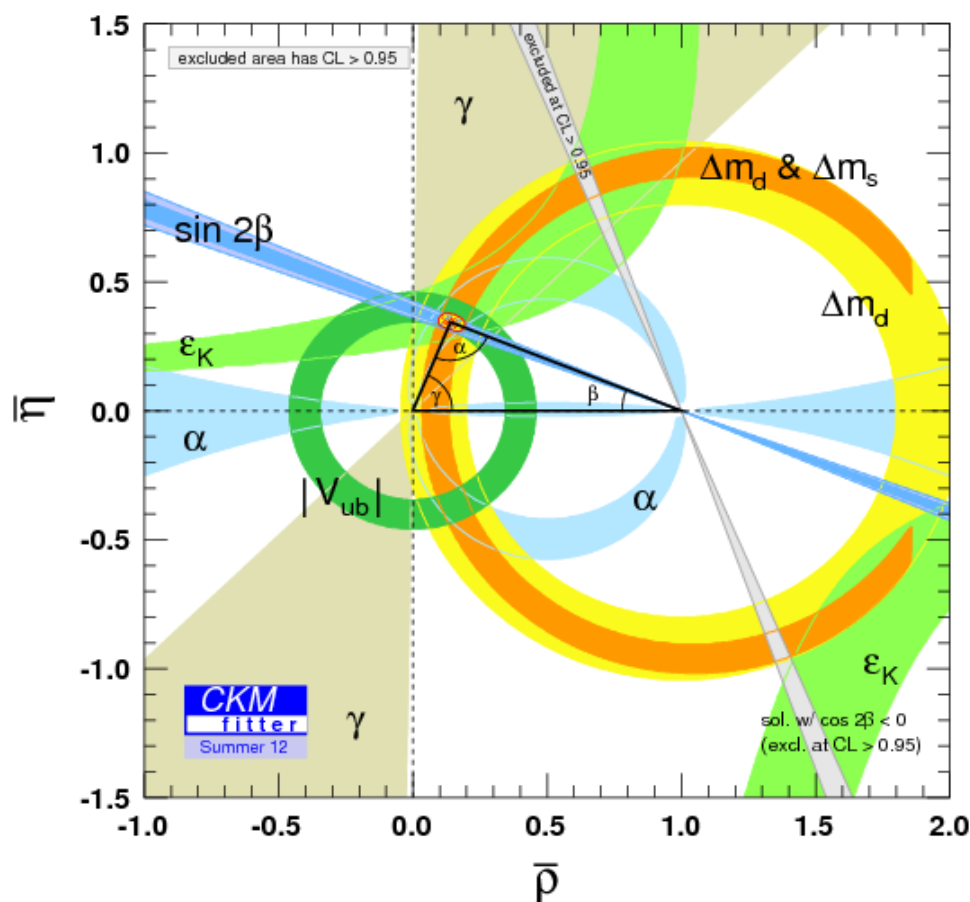
Quark & lepton Unitarity Triangles:

Quark Triangle:



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Quark Triangle:

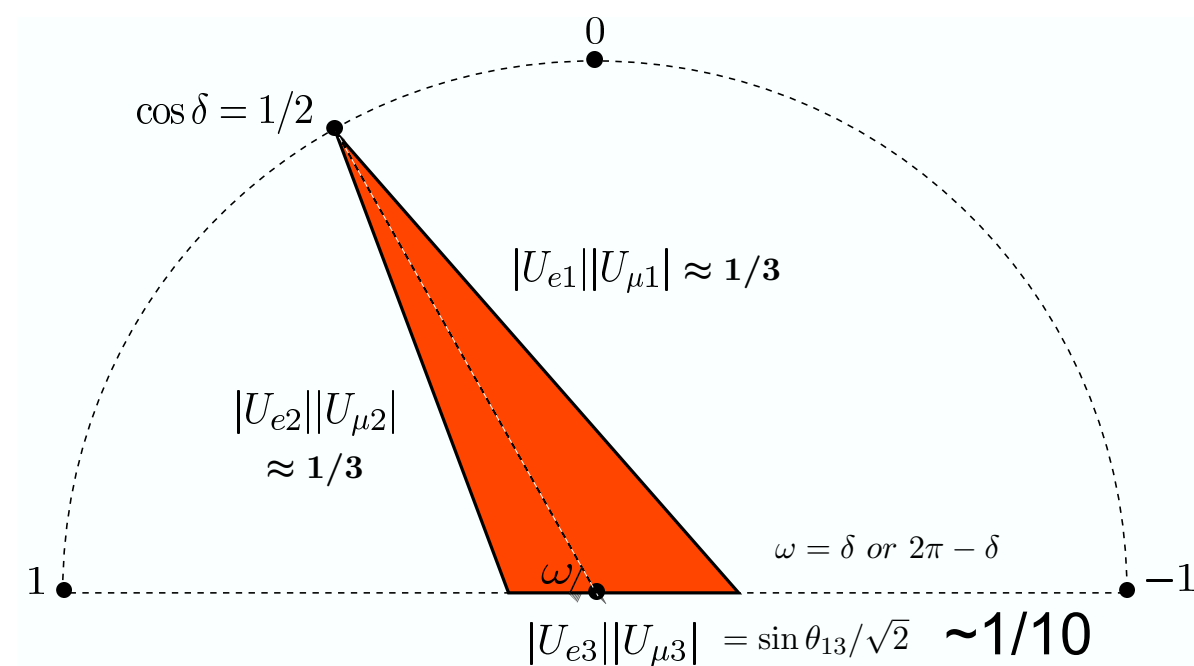


Neutrino Triangle:

$$U_{\mu 1}^* U_{e 1} + U_{\mu 2}^* U_{e 2} + U_{\mu 3}^* U_{e 3} = 0$$

only Unitarity triangle that doesn't involve ν_τ !

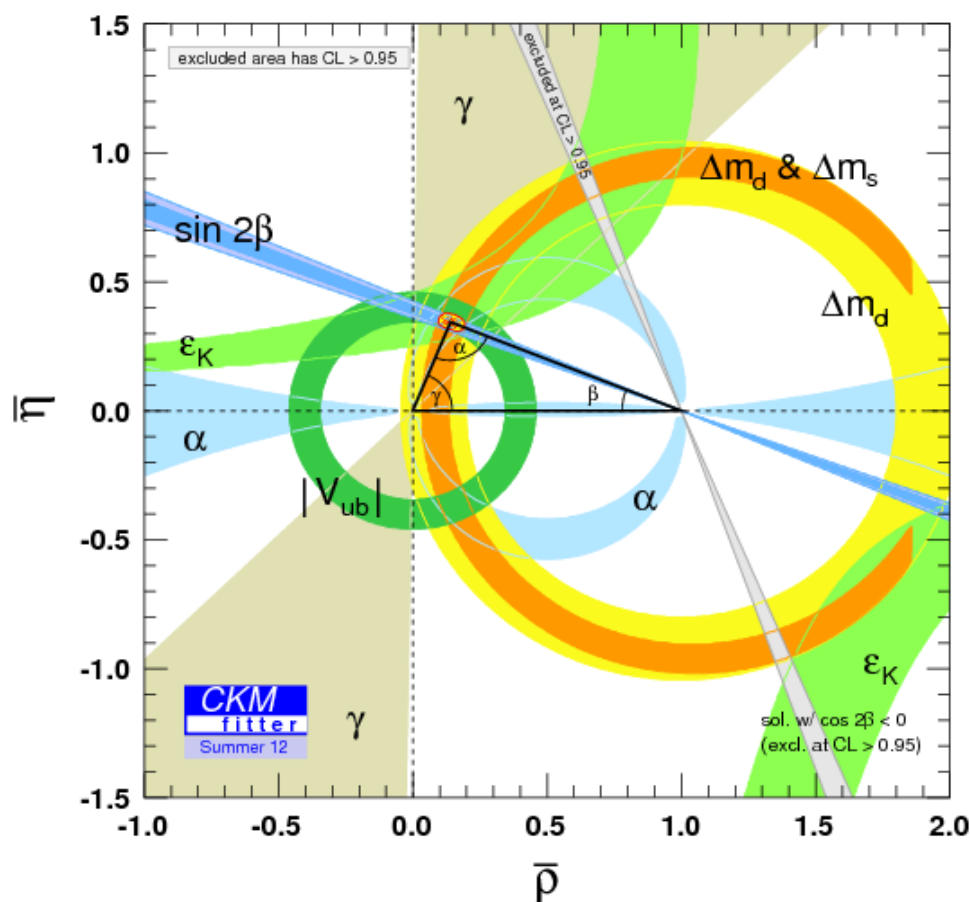
$$|J| = 2 \times \text{Area}$$



$$|U_{e1}||U_{\mu 1}| = 0.0 - 0.5; \quad |U_{e2}||U_{\mu 2}| = 0.2 - 0.4; \quad |U_{e3}||U_{\mu 3}| = 0.1(1 \pm 0.2)$$

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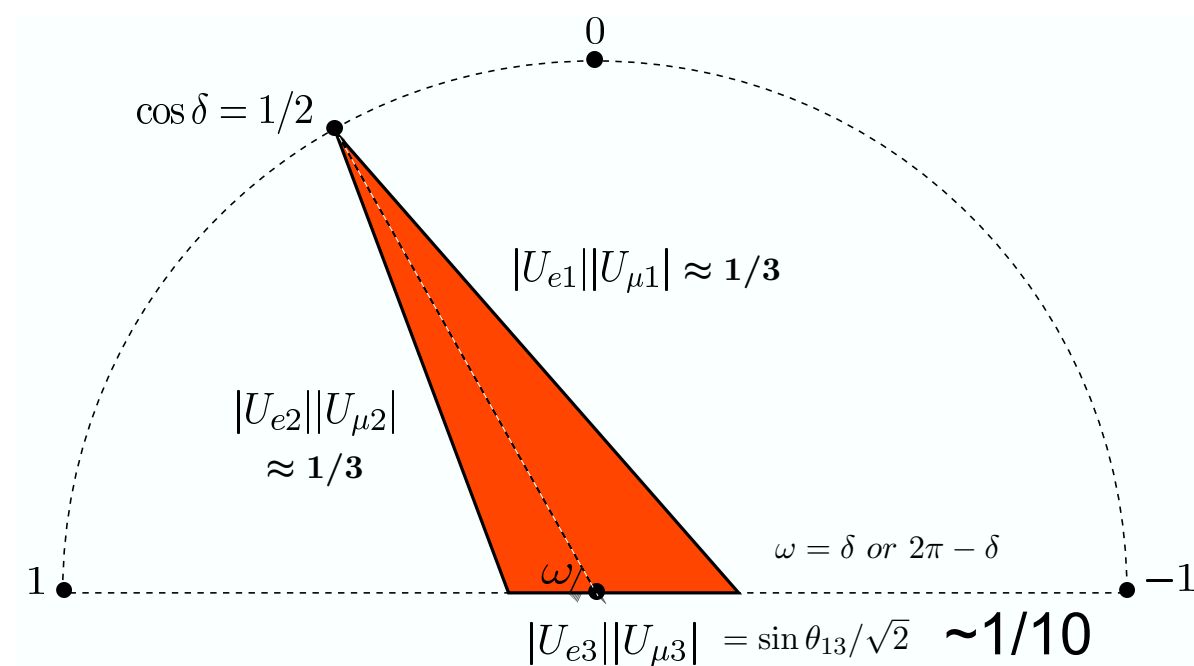


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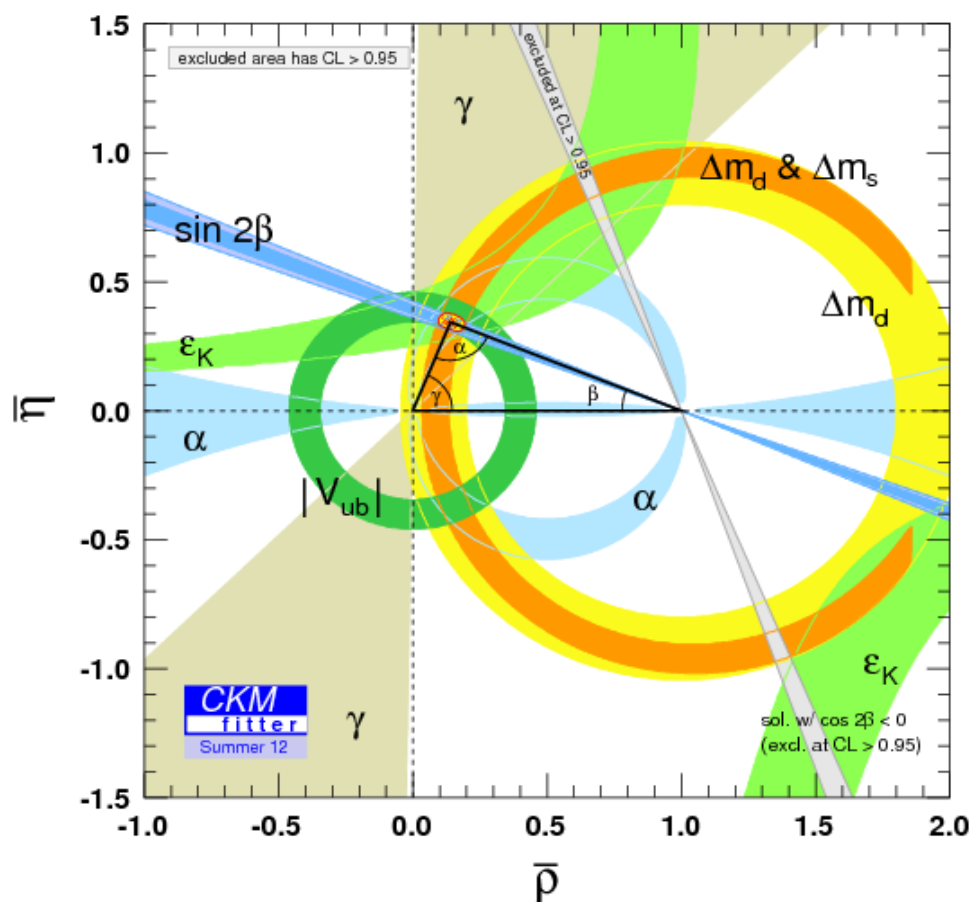


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How to measure $|U_{\mu 1}|^2$ and $|U_{\mu 2}|^2$ separately ? ? ?

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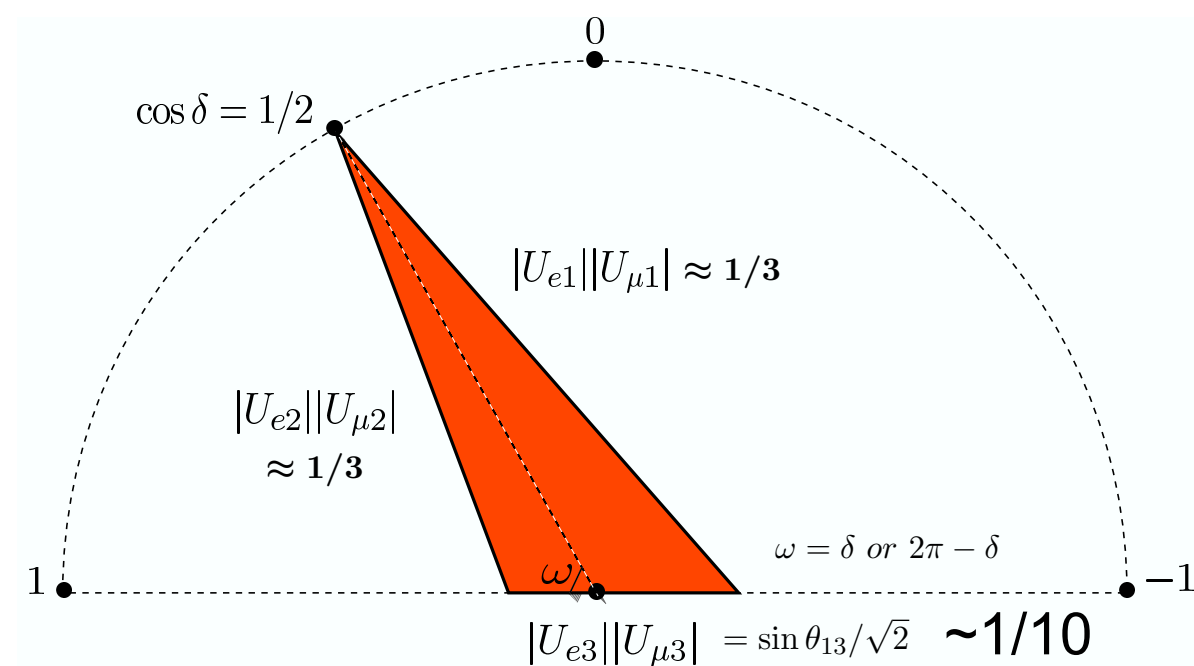


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How to measure $|U_{\mu 1}|^2$ and $|U_{\mu 2}|^2$ separately ? ? ?

Neutrino Factory to detector in geo-synchronous orbit ! ! !



Unanswered Questions !

ν Standard Model

- Nature of Neutrino: Majorana (2 comp) or Dirac (4 comp) fermion?
- CPV in Neutrino Sector: determination Dirac phase δ ?
- Ordering of mass eigenstates: Atmos. mass hierarchy, sign of δm_{31}^2 ?
- Is ν_3 more ν_μ or more ν_τ : $|U_{\mu 3}|^2 >$ or $< |U_{\tau 3}|^2$ or $\theta_{23} >$ or $< \pi/4$
- Majorana Phases: 2 additional phases
- Absolute Neutrino Mass: m_{lite}



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Beyond ν Standard Model

- What is the mass of the Sterile Neutrinos: light? or Superheavy?
- What is the size of Non-Standard Interactions?
- Where are the True Surprises?



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Leptons v Quarks:

$$V_{MNS} \sim \begin{pmatrix} 0.8 & 0.5 & 0.2 \\ 0.4 & 0.6 & 0.7 \\ 0.4 & 0.6 & 0.7 \end{pmatrix}$$

$$V_{CKM} \sim \begin{pmatrix} 1 & 0.2 & 0.001 \\ 0.2 & 1 & 0.01 \\ 0.001 & 0.01 & 1 \end{pmatrix}$$

Very Different !!!

Flavors & quark-lepton unification

Quarks CKM matrix = $\mathbf{1}$ + (Cabibbo) effects

Leptons' MNSP matrix = \mathbf{X} + (Cabibbo?) effects

↖ contains two large angles

Cabibbo effects as deviation from \mathbf{X}

example: $\theta_{13} \approx \theta_c / \sqrt{2}$ deviation from zero?

speculate: $\theta_{\text{atm}} \approx \pi/4 + O(\theta_c)$ deviation from $\pi/4$?



Masses & Mixings (conti.)

□ Quark-Lepton Complementarity $\theta_{12} + \theta_C = 45^\circ$

□ Solar sum rules **Bimaximal** $\theta_{12} = 45^\circ + \theta_{13} \cos \delta$

Plus HO
corrections...

Tri-bimaximal $\theta_{12} = 35^\circ + \theta_{13} \cos \delta$

Golden Ratio $\theta_{12} = 32^\circ + \theta_{13} \cos \delta$

□ Atm. sum rules

**Tri-bimaximal-
Cabibbo** $\theta_{12} = 35^\circ$ $\theta_{23} = 45^\circ$
 $\theta_{13} = \theta_C / \sqrt{2} = 9.2^\circ$

Plus Charged
Lepton Corrections...

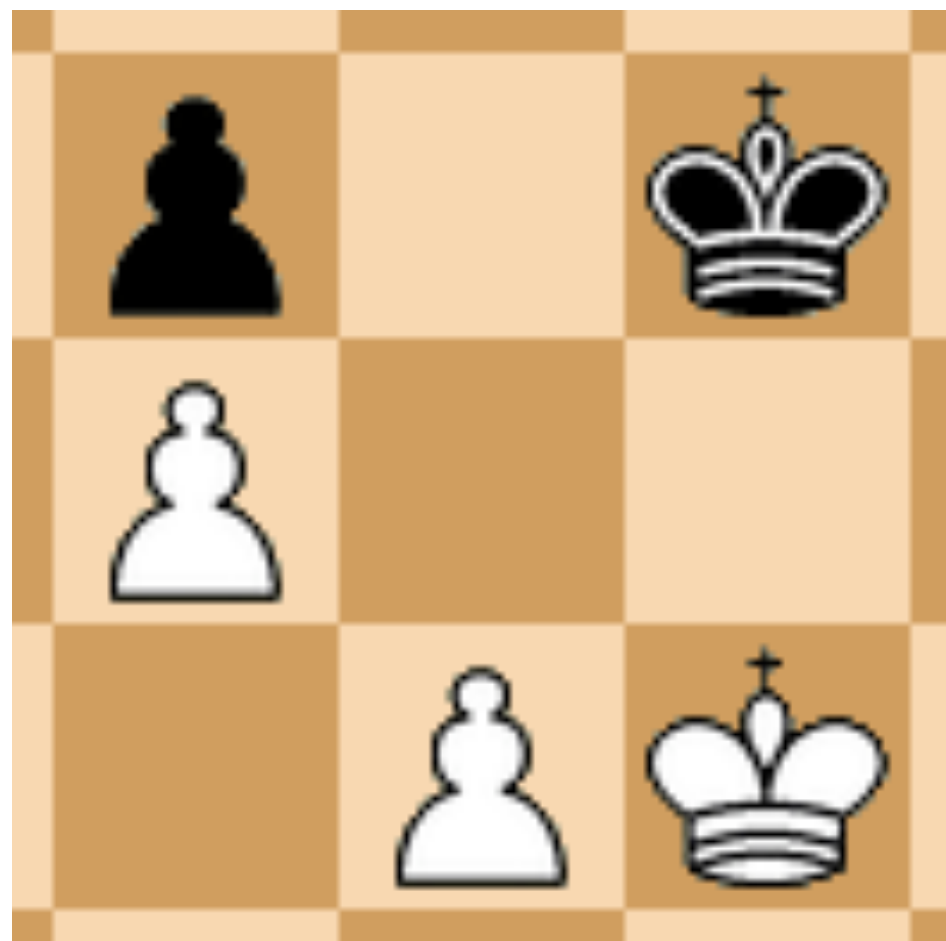
Trimaximal1 $\theta_{23} = 45^\circ + \sqrt{2}\theta_{13} \cos \delta$

Trimaximal2 $\theta_{23} = 45^\circ - \frac{\theta_{13}}{\sqrt{2}} \cos \delta$

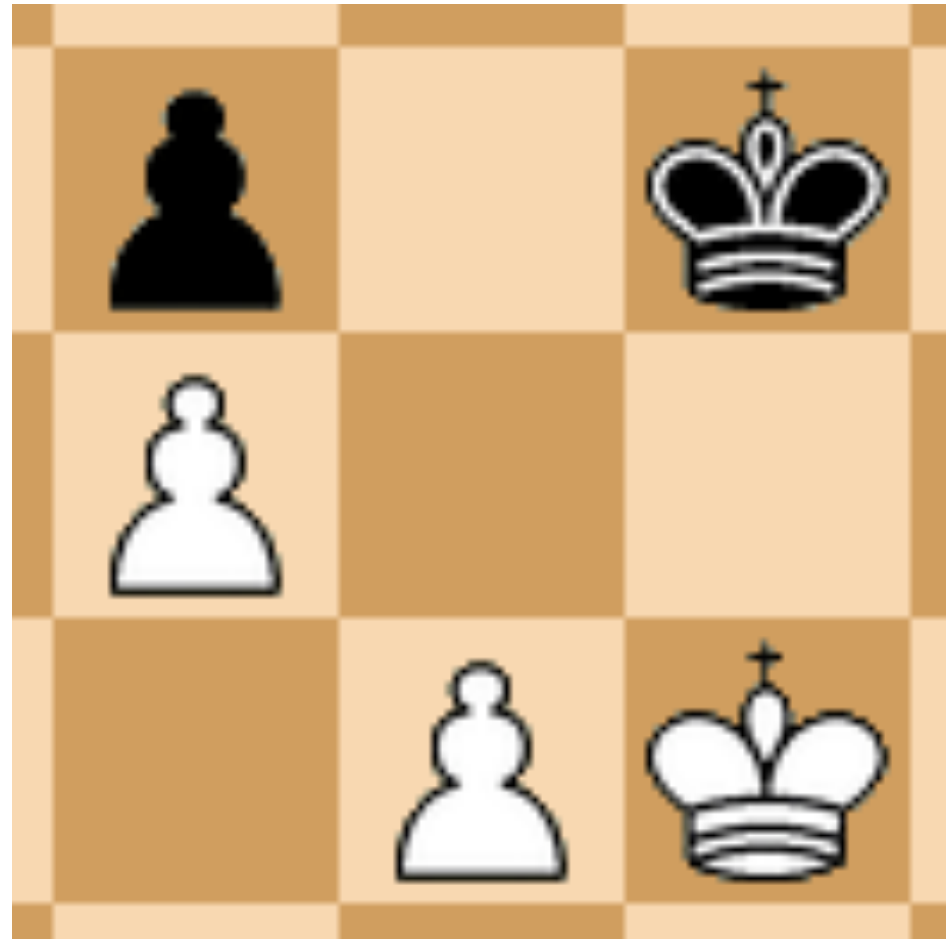
Now that θ_{13} is measured these predict $\cos \delta$



Given this end game:

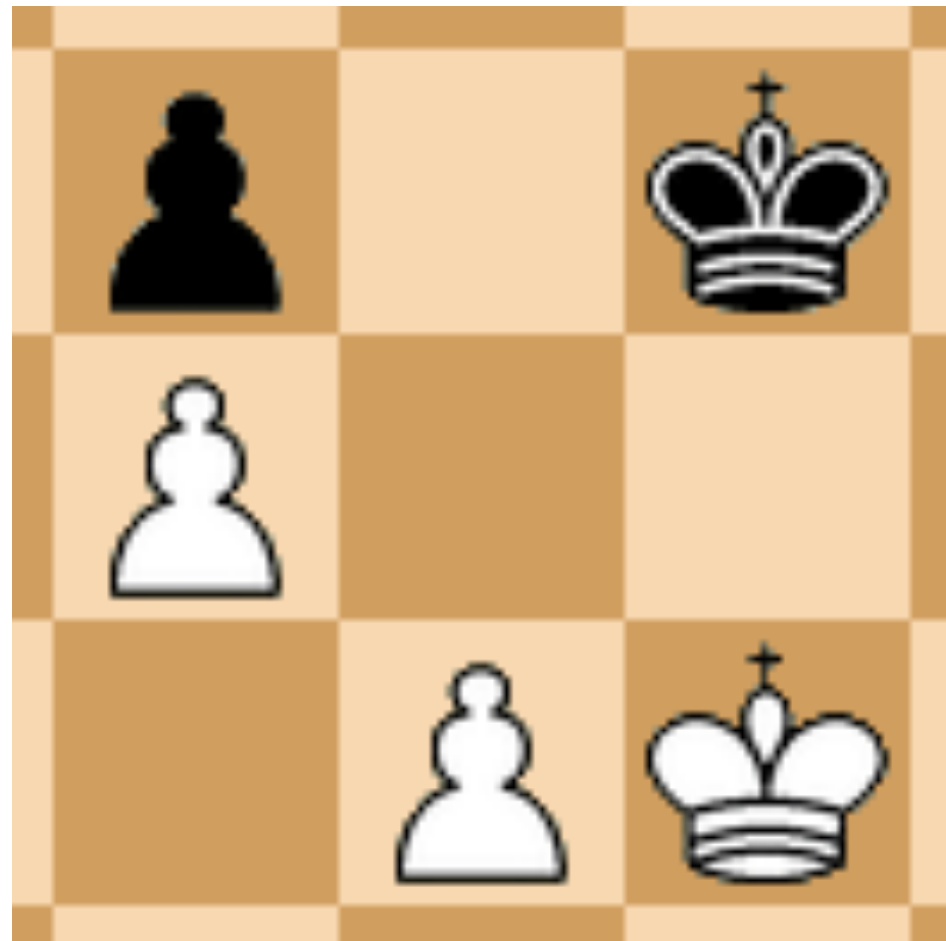


Given this end game:



Deduce the rules of chess!!!

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Deduce the rules of chess!!!

theorists need more hints !

Precision Measurements:



Nu e Disappearance Experiments:

$$P(\bar{\nu}_e \rightarrow \bar{\nu}_e) = 1 - \sin^2 2\theta_{13} \sin^2 \left(\frac{\Delta m_{ee}^2 L}{4E} \right) + O(\Delta_{21}^2),$$

Δm_{ee}^2 is the electron neutrino weighted average of Δm_{31}^2 and Δm_{32}^2

Reactor Experiments Nu 2014:

Double Chooz:

$$\sin^2(2\theta_{13}) = (0.09^{+0.03}_{-0.04})$$

RENO

$$\sin^2(2\theta_{13}) = 0.101 \pm 0.008 \text{ (stat.)} \pm 0.010 \text{ (sys.)}$$

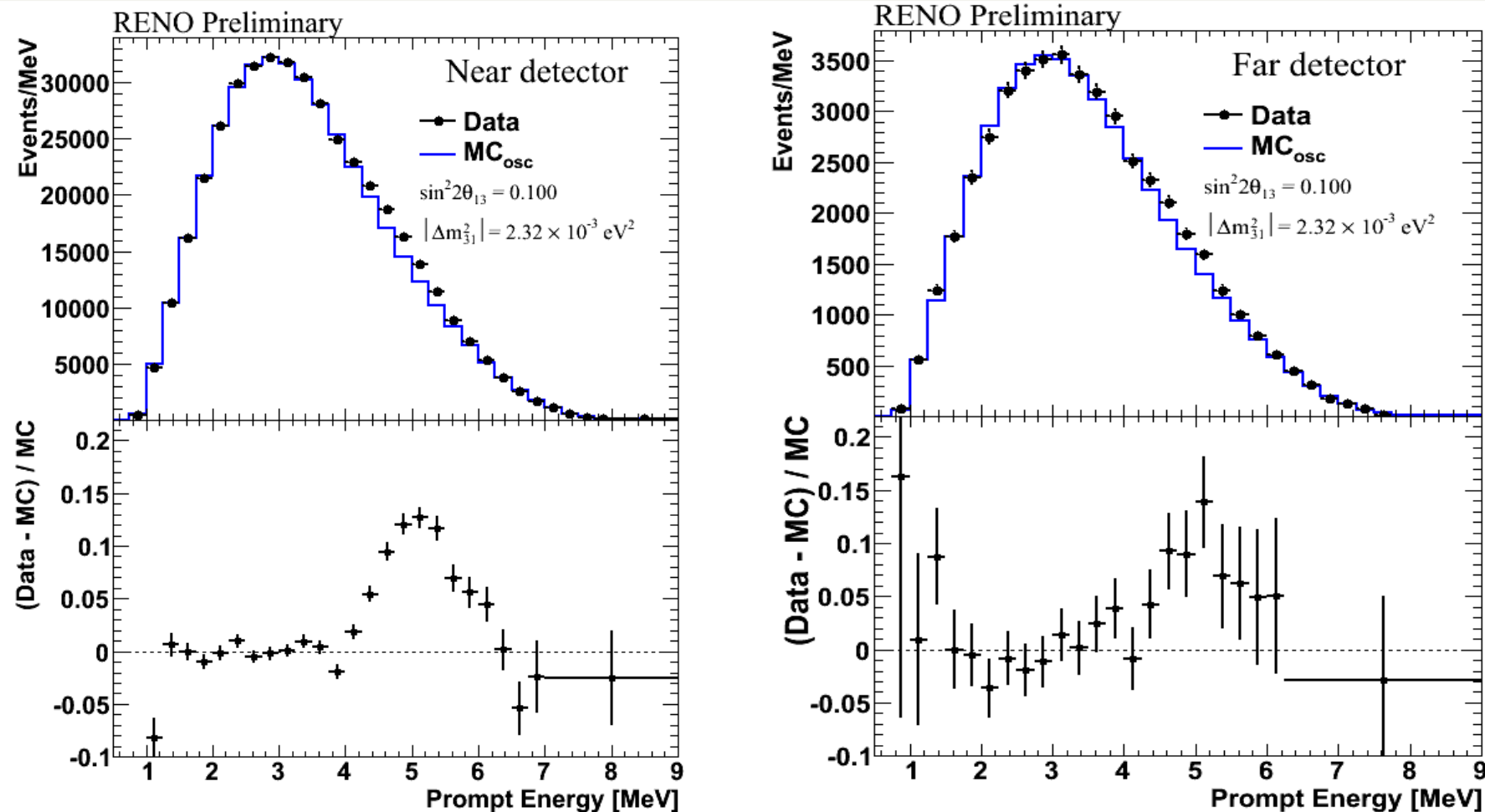
Daya Bay:

$$\begin{aligned} \sin^2 2\theta_{13} &= 0.084^{+0.005}_{-0.005} \\ |\Delta m_{ee}^2| &= 2.44^{+0.10}_{-0.11} \times 10^{-3} \text{ eV}^2 \end{aligned}$$



from RENO:

Observation of new reactor ν component at 5 MeV



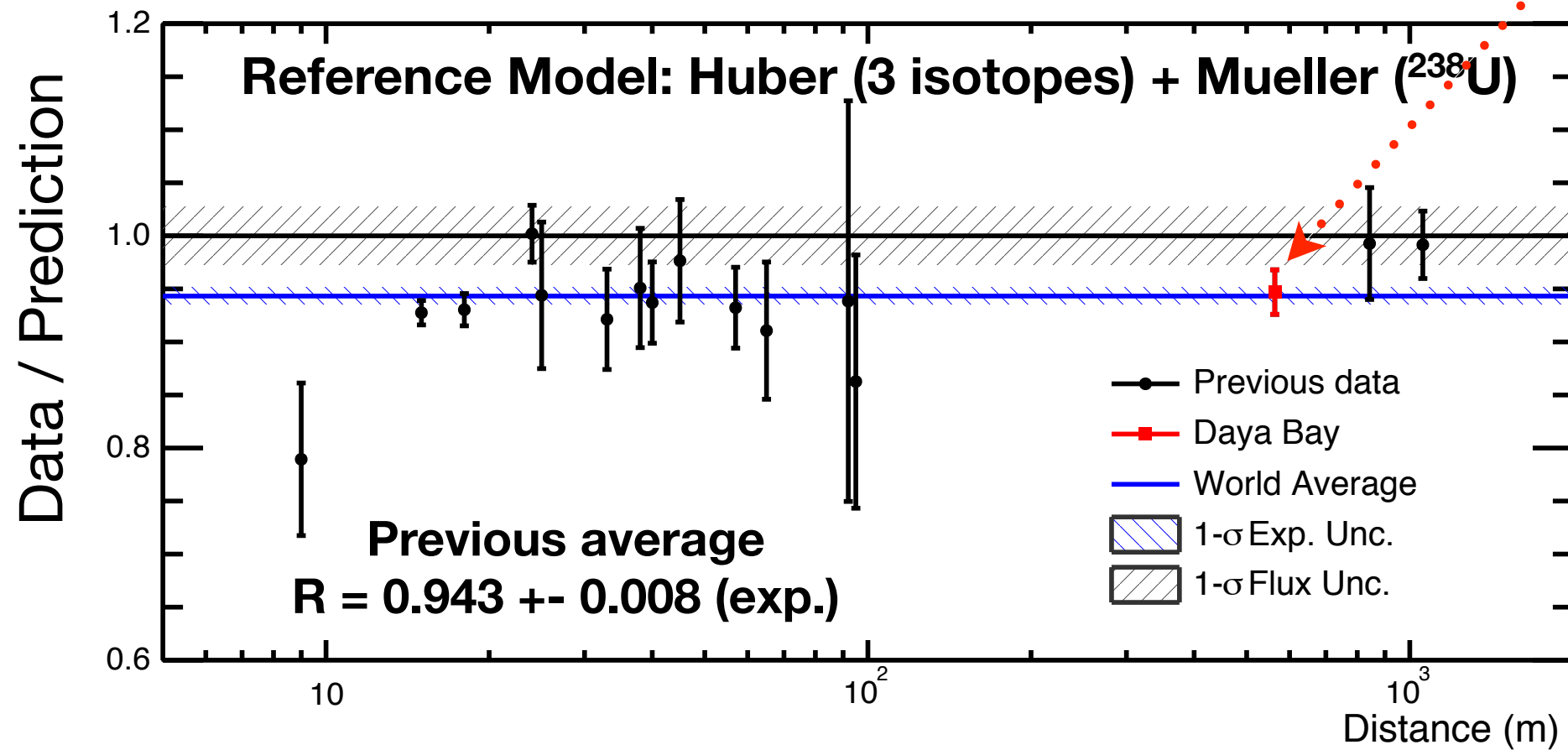
Fraction of 5 MeV excess (%) to expected flux

- Near : 2.303 ± 0.401 (experimental) ± 0.492 (expected shape error)
- Far : 1.775 ± 0.708 (experimental) ± 0.486 (expected shape error)

Seo Nu 2014

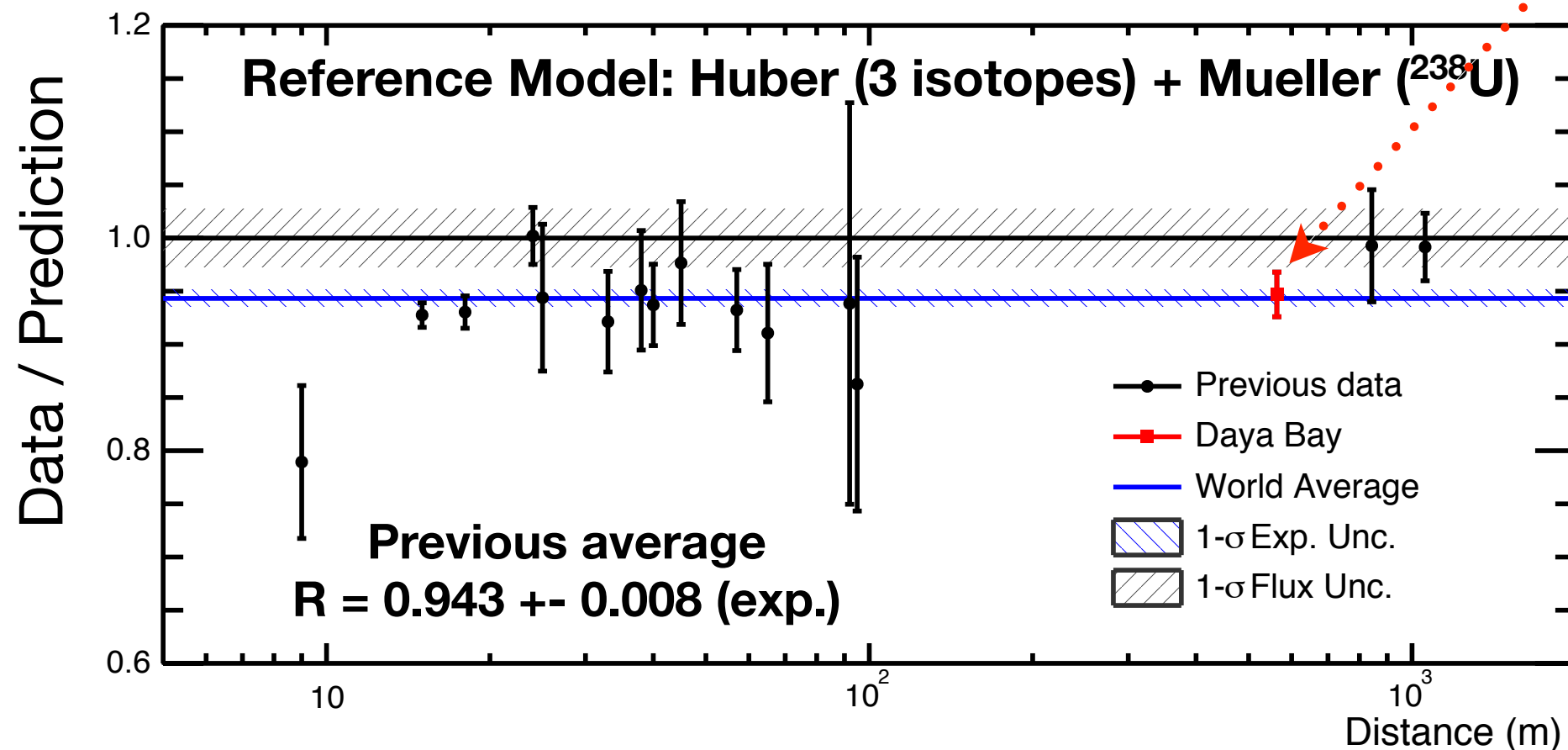


from Daya Bay:



Zhang Nu 2014

from Daya Bay:



Zhang Nu 2014

In general:

- θ_{13} determined by reactor experiments: eventually 5% or better
- JUNO and RENO-50 best determination of δm_{21}^2 and θ_{12}
- Atmospheric Mass Ordering (Hierarchy)? maybe !

Energy resolution and linearity requirements extremely serve!



Nu mu Disappearance Experiments:

$$P(\nu_\mu \rightarrow \nu_\mu) = 1 - \sin^2 2\theta_{\mu\mu} \sin^2 \left(\frac{\Delta m_{\mu\mu}^2 L}{4E} \right) + O(\Delta_{21}^2),$$

$$\sin^2 2\theta_{\mu\mu} \equiv 4|U_{\mu 3}|^2(1 - |U_{\mu 3}|^2) = 4 \cos^2 \theta_{13} \sin^2 \theta_{23} (1 - \cos^2 \theta_{13} \sin^2 \theta_{23})$$

- Non-zero θ_{13} modifies the **octant degeneracy** ! ! !

$\Delta m_{\mu\mu}^2$ is the muon neutrino weighted average of Δm_{31}^2 and Δm_{32}^2



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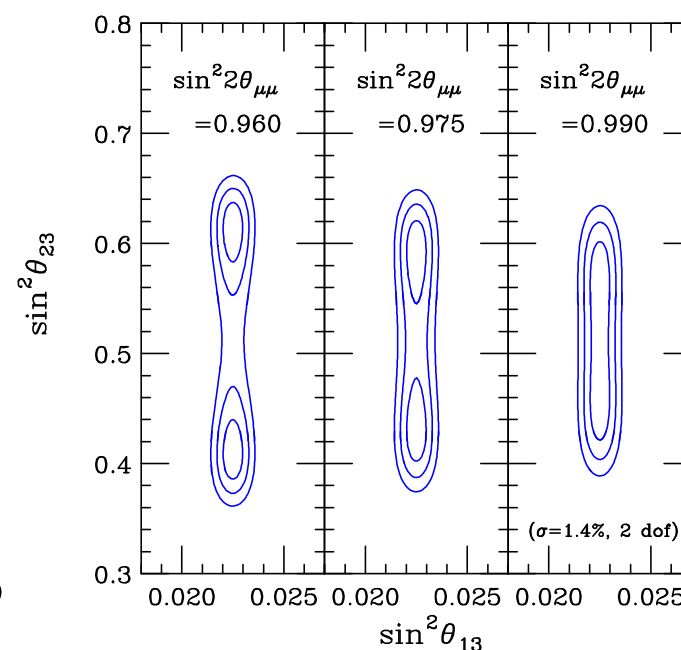
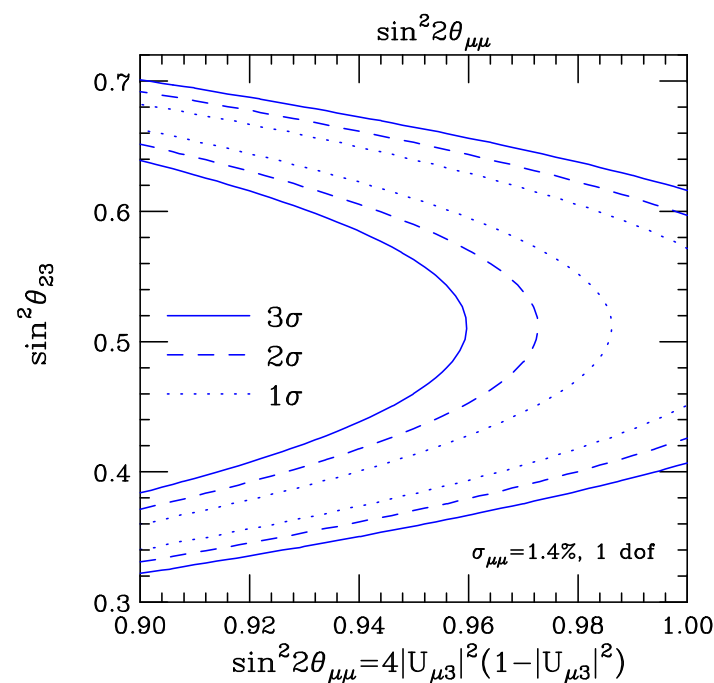
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$\Delta m_{\mu\mu}^2$ is the muon neutrino weighted average of Δm_{31}^2 and Δm_{32}^2

$$\sin^2 \theta_{23}^{(1)} = \sin^2 \theta_{\mu\mu} / \cos^2 \theta_{13} \approx \sin^2 \theta_{\mu\mu} (1 + \sin^2 \theta_{13}),$$

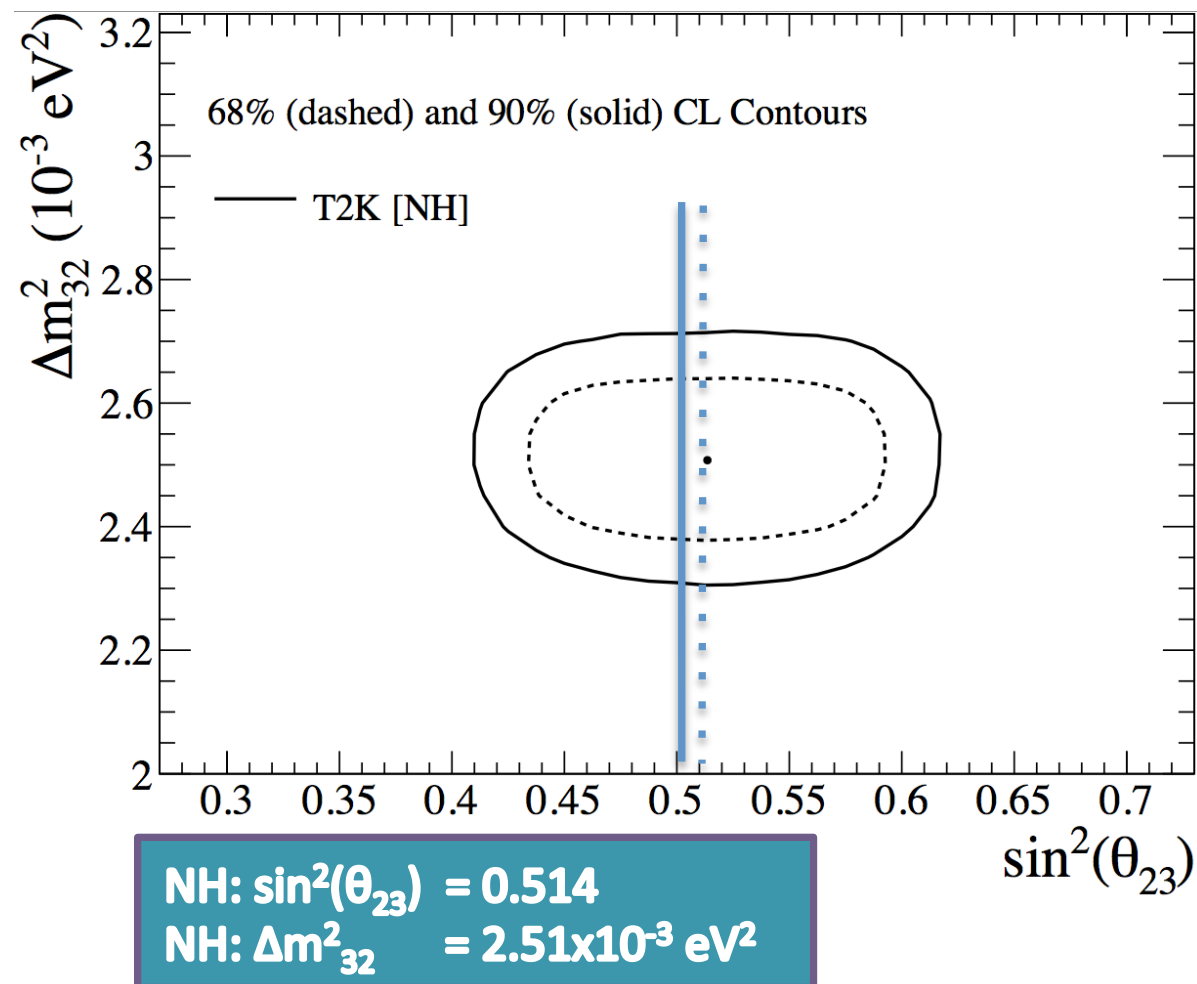
$$\sin^2 \theta_{23}^{(2)} = \cos^2 \theta_{\mu\mu} / \cos^2 \theta_{13} \approx \cos^2 \theta_{\mu\mu} (1 + \sin^2 \theta_{13}),$$

$$\sin^2 \theta_{\mu\mu} \leq \frac{1}{2}$$



T2K Disappearance:

Maximal mixing is not the same as maximum disappearance if θ_{13} is not zero!

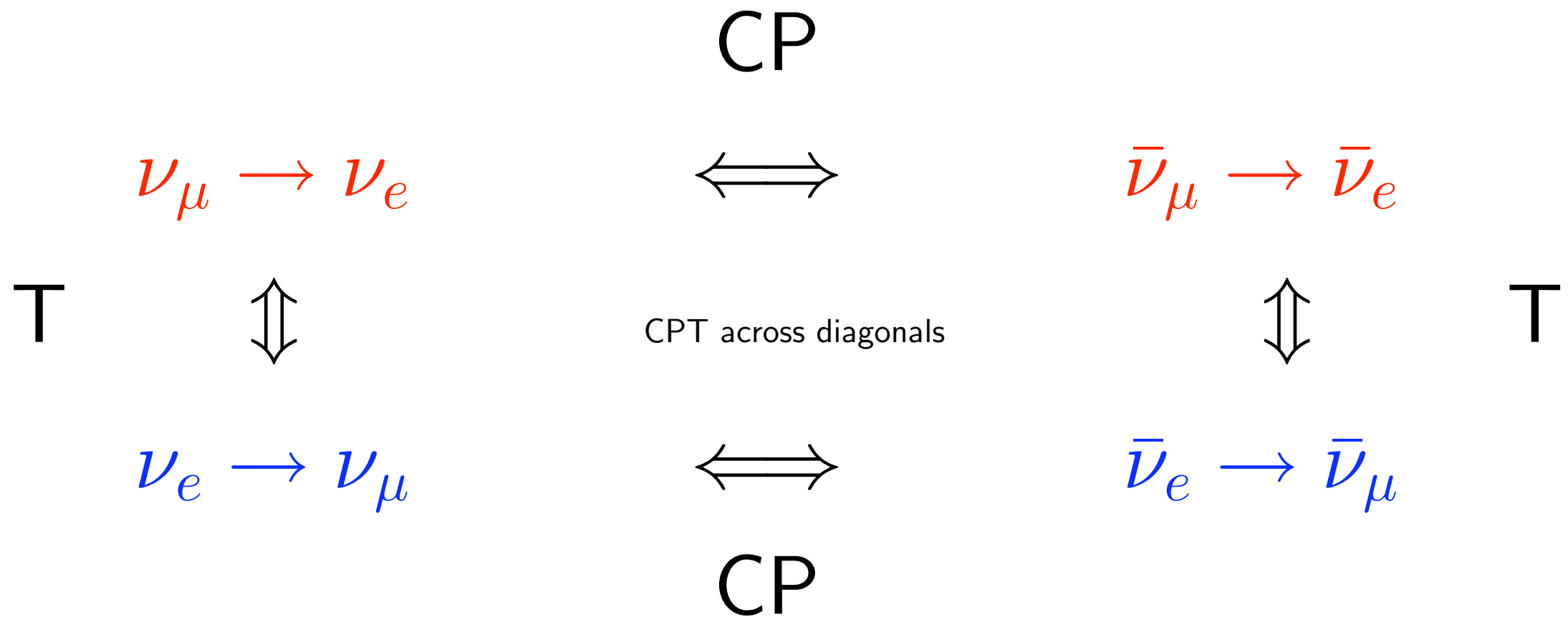


For θ_{13} given by reactor experiments:

At reactor value: $\frac{1}{2 \cos^2 \theta_{13}} \approx 0.513$

- Near $\pi/4$ the precision on θ_{23} from **appearance** measurements can exceeds that of **disappearance** measurements

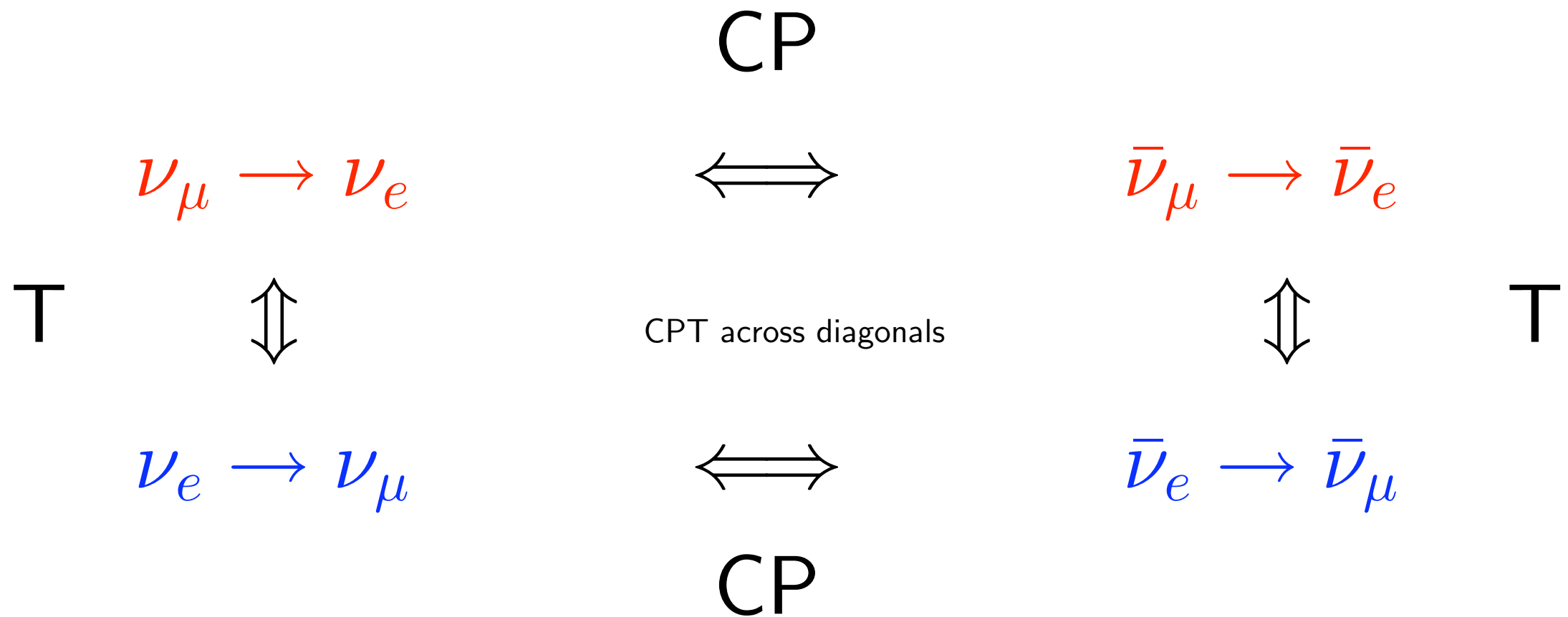
Appearance Experiments:



- First Row: Superbeams where ν_e contamination $\sim 1\%$
- Second Row: ν -Factory or β -Beams, no beam contamination ν_τ at Neutrino Factory



Appearance Experiments:



- First Row: Superbeams where ν_e contamination $\sim 1\%$
- Second Row: ν -Factory or β -Beams, no beam contamination ν_τ at Neutrino Factory

- goal: θ_{23} , δ and atmospheric mass ordering (δm_{31}^2)

Vacuum
LBL

$$\nu_{\mu} \rightarrow \nu_e$$

$$P_{\mu \rightarrow e} \approx \left| \sqrt{P_{atm}} e^{-i(\Delta_{32} \pm \delta)} + \sqrt{P_{sol}} \right|^2$$

$$\Delta_{ij} = \delta m_{ij}^2 L / 4E$$

CP violation !!!

where $\sqrt{P_{atm}} = \sin \theta_{23} \sin 2\theta_{13} \sin \Delta_{31}$

and $\sqrt{P_{sol}} = \cos \theta_{23} \sin 2\theta_{12} \sin \Delta_{21}$

Vacuum
LBL

$$\nu_{\mu} \rightarrow \nu_e$$

$$P_{\mu \rightarrow e} \approx \left| \sqrt{P_{atm}} e^{-i(\Delta_{32} \pm \delta)} + \sqrt{P_{sol}} \right|^2$$

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and $\sqrt{P_{sol}} = \cos \theta_{23} \sin 2\theta_{12} \sin \Delta_{21}$

$$P_{\mu \rightarrow e} \approx P_{atm} + 2\sqrt{P_{atm}P_{sol}} \cos(\Delta_{32} \pm \delta) + P_{sol}$$

only CPV

$$\cos(\Delta_{32} \pm \delta) = \cos \Delta_{32} \cos \delta \mp \sin \Delta_{32} \sin \delta$$

$$\Delta P_{cp} = 2 \sin \delta \sin 2\theta_{13} \sin 2\theta_{23} \sin 2\theta_{12} \cos \theta_{13} \sin \Delta_{21} \sin \Delta_{31} \sin \Delta_{32}$$

$$\nu_\mu \rightarrow \nu_e$$

In Matter:

$$P_{\mu \rightarrow e} \approx \left| \sqrt{P_{atm}} e^{-i(\Delta_{32} \pm \delta)} + \sqrt{P_{sol}} \right|^2$$

$$\text{where } \sqrt{P_{atm}} = \sin \theta_{23} \sin 2\theta_{13} \frac{\sin(\Delta_{31} \mp aL)}{(\Delta_{31} \mp aL)} \Delta_{31}$$

$$\text{and } \sqrt{P_{sol}} = \cos \theta_{23} \sin 2\theta_{12} \frac{\sin(aL)}{(aL)} \Delta_{21}$$

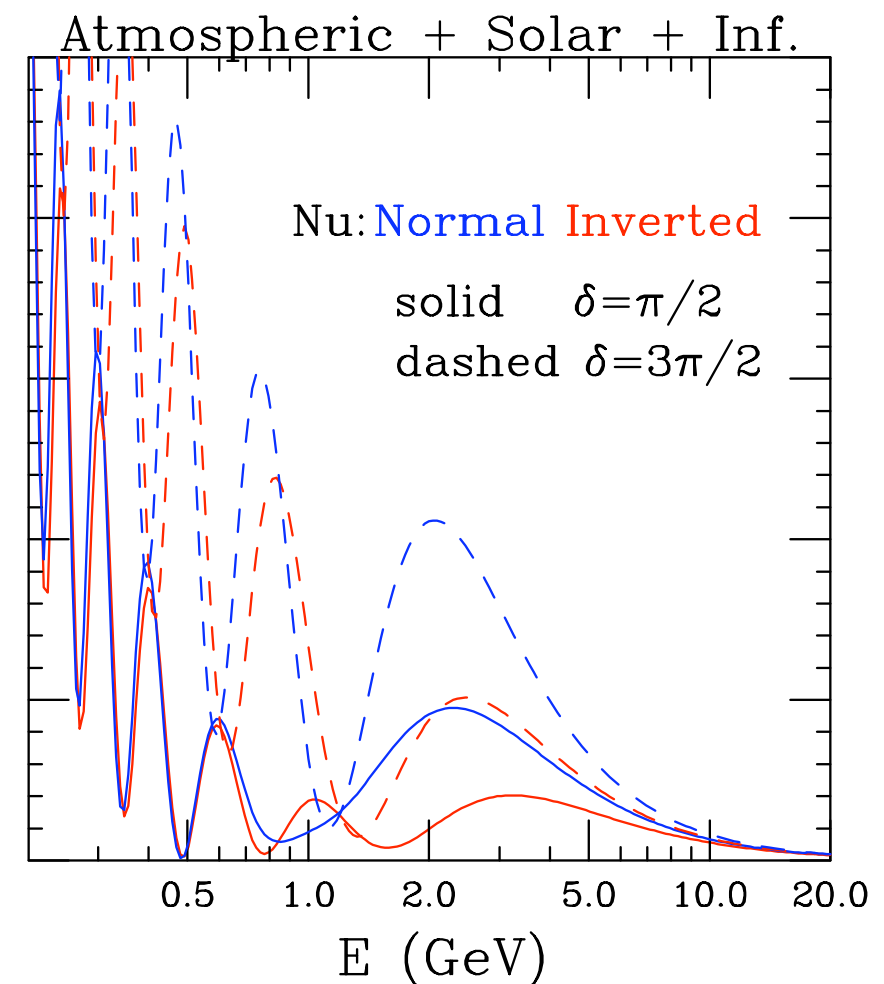
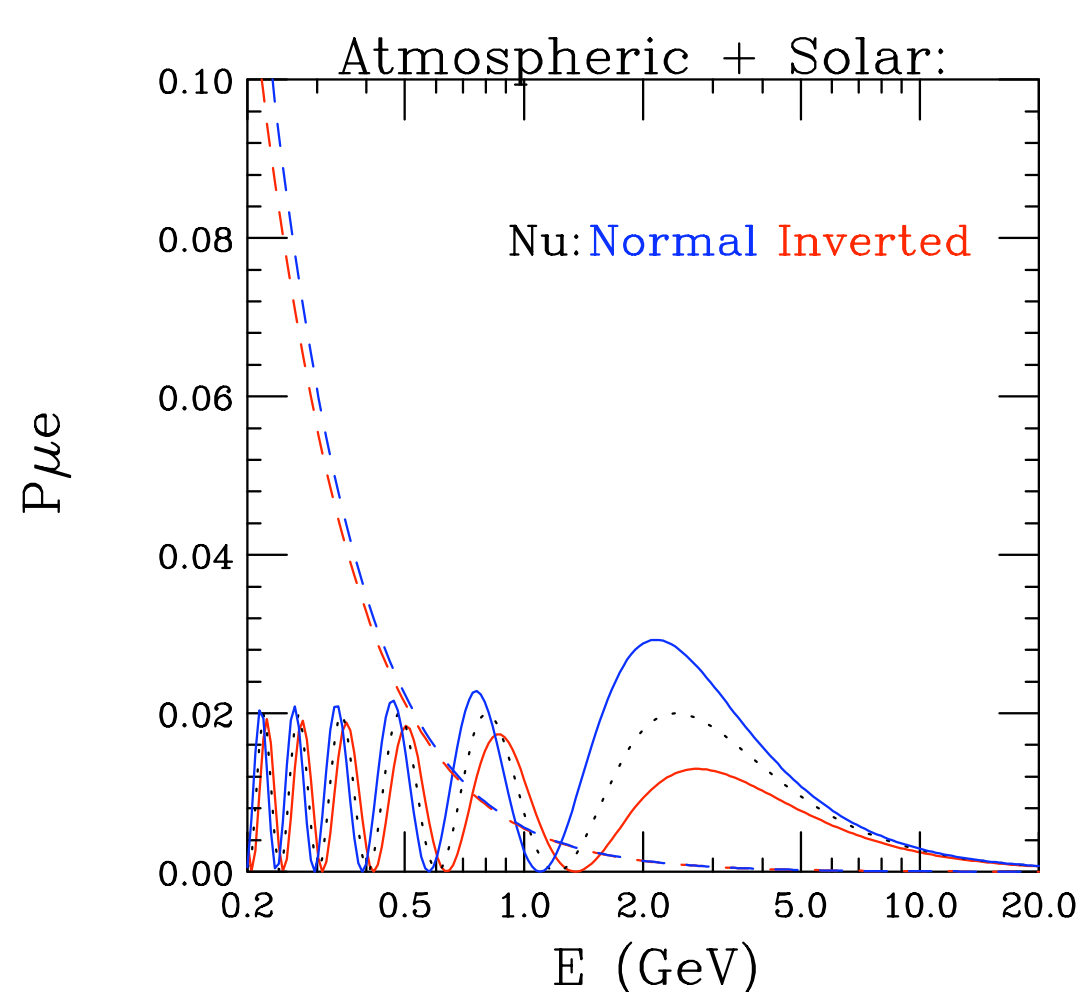
For $L = 1200 \text{ km}$
and $\sin^2 2\theta_{13} = 0.04$

$$a = G_F N_e / \sqrt{2} = (4000 \text{ km})^{-1},$$

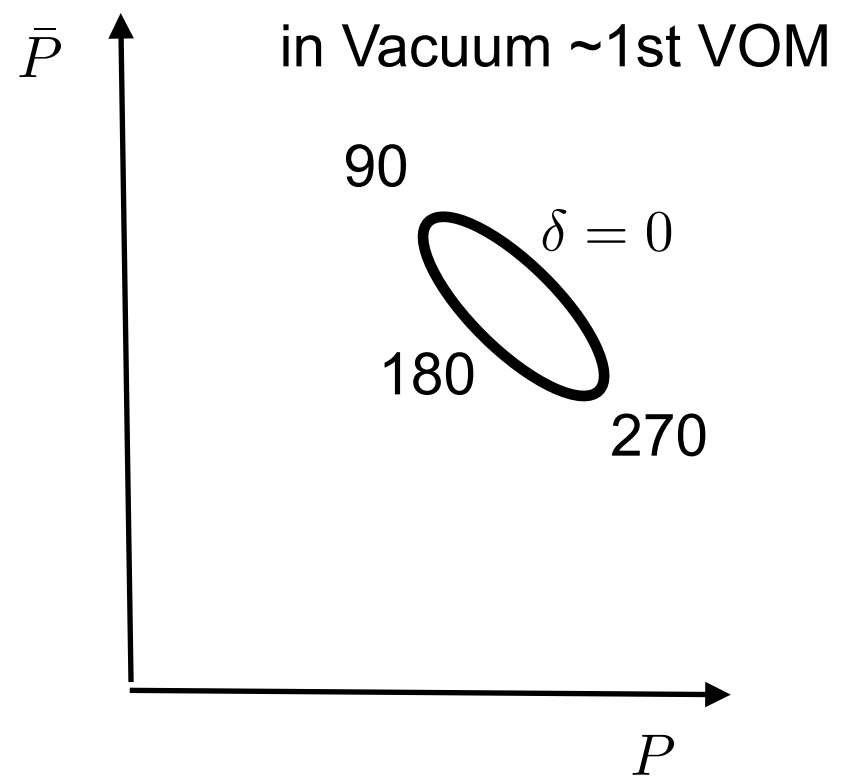
Anti-Nu: Normal Inverted

dashes $\delta = \pi/2$

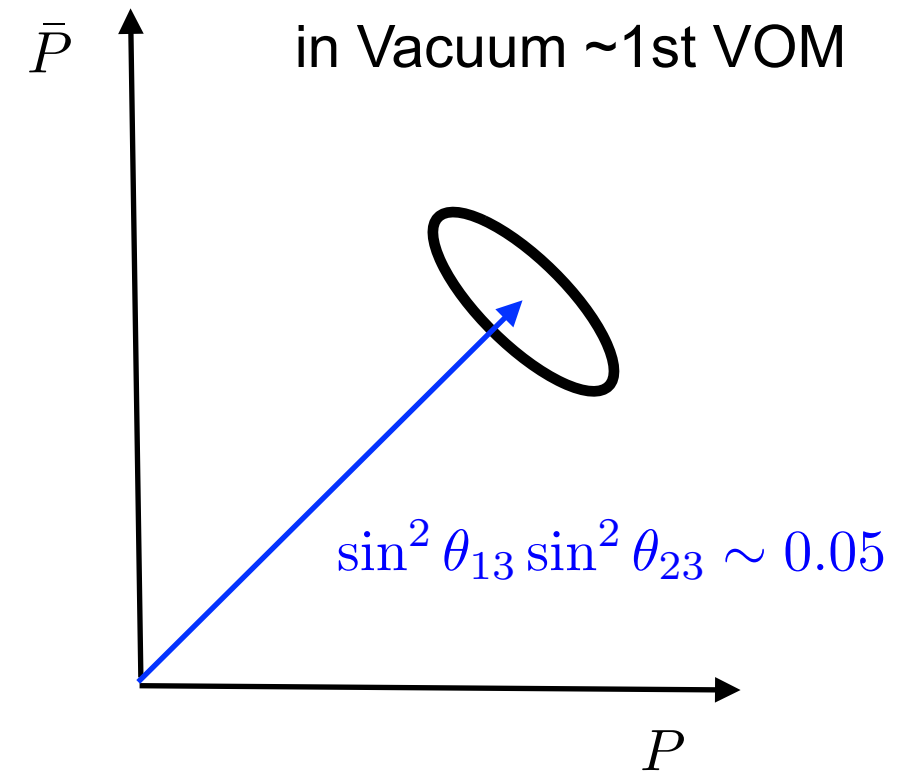
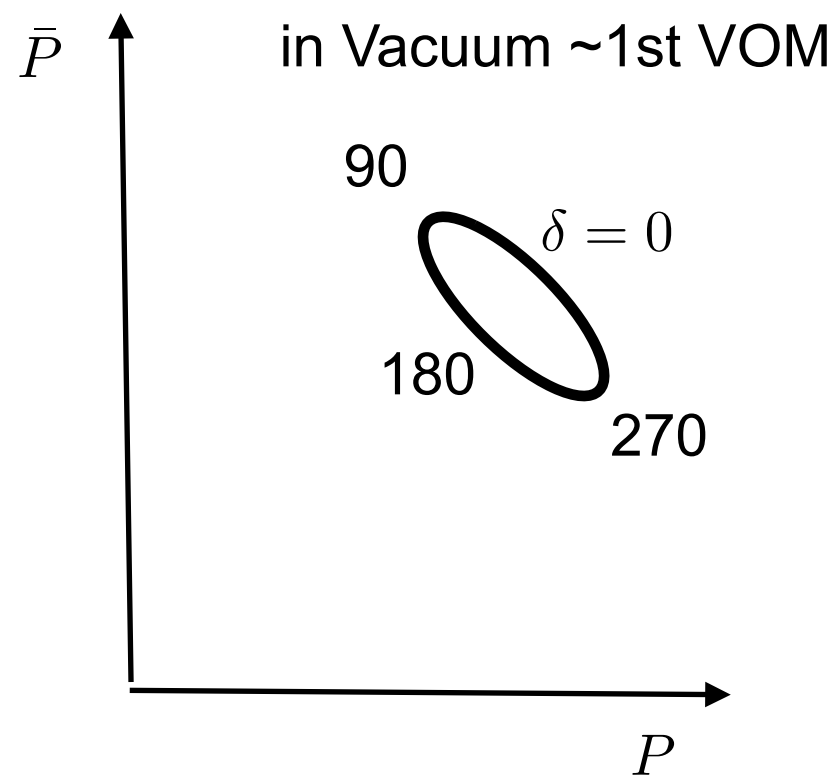
solid $\delta = 3\pi/2$



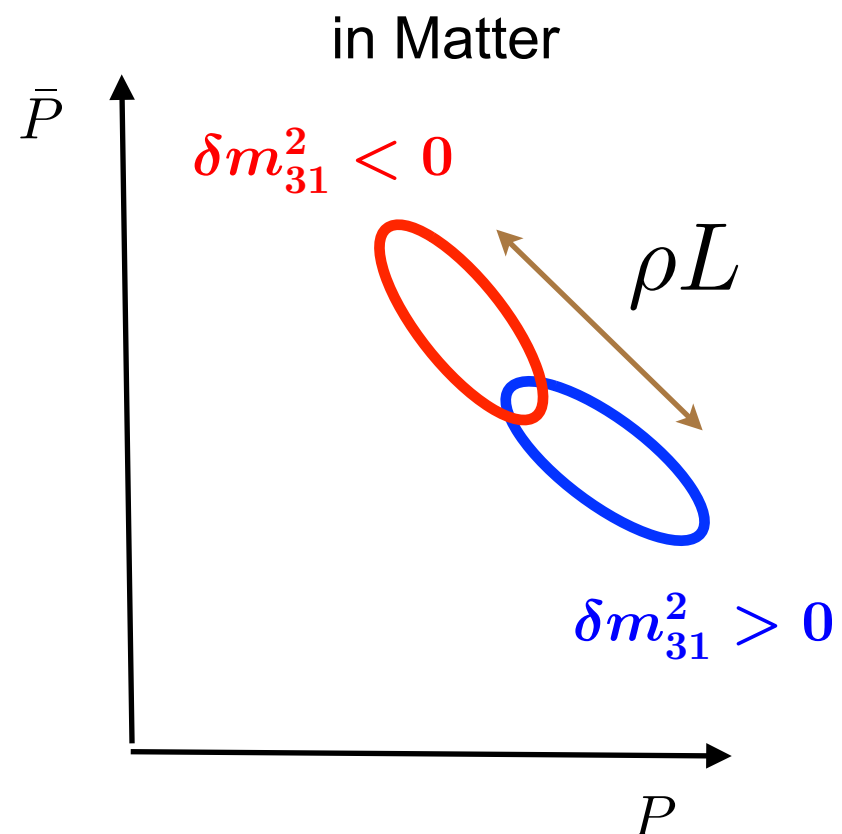
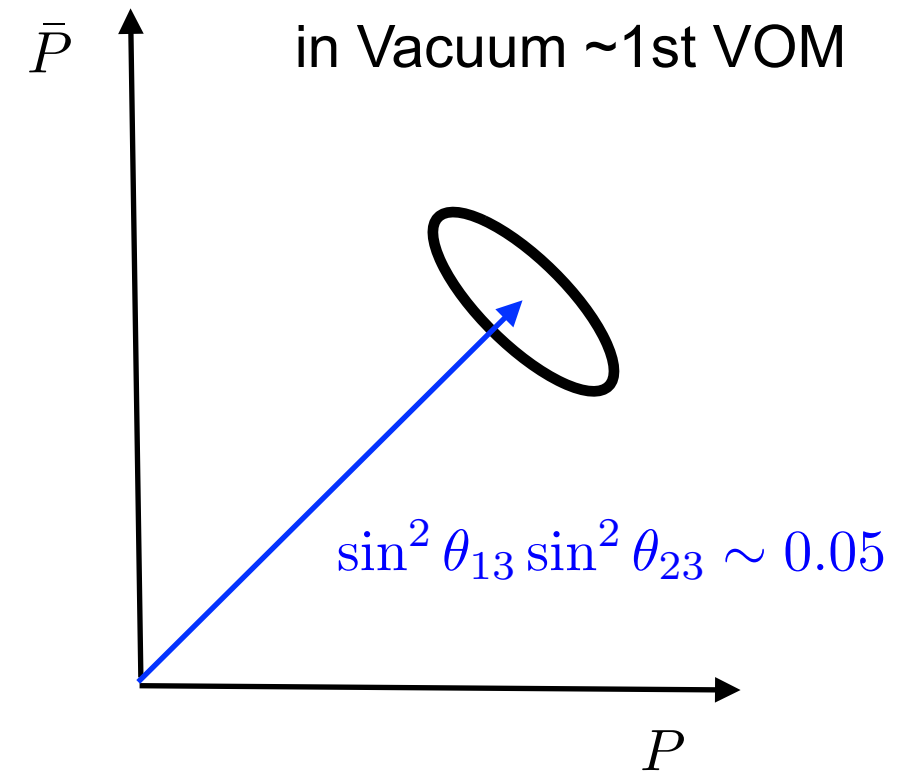
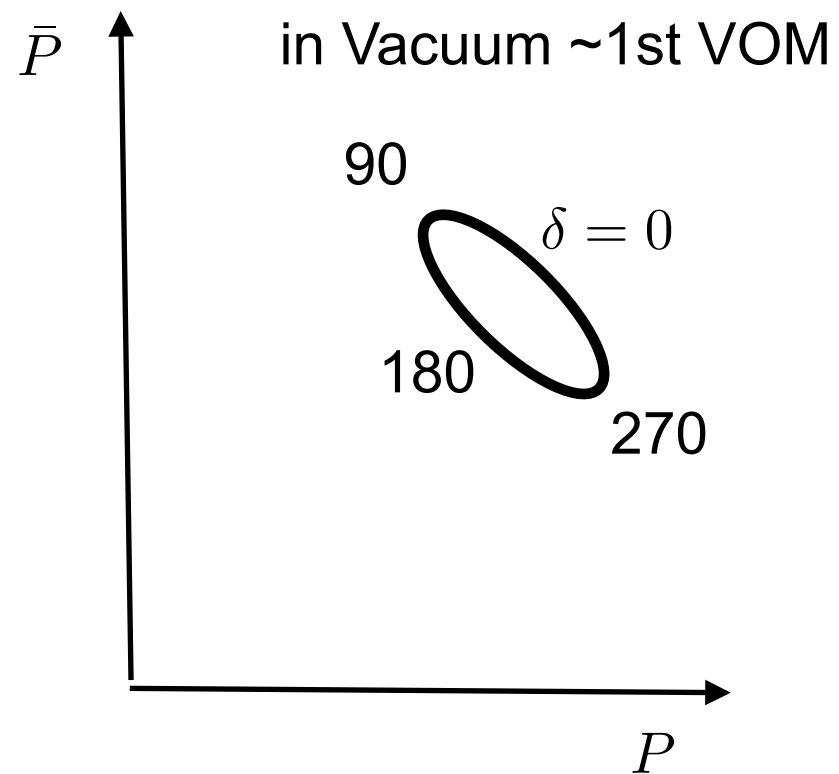
BiProbability Diagrams:



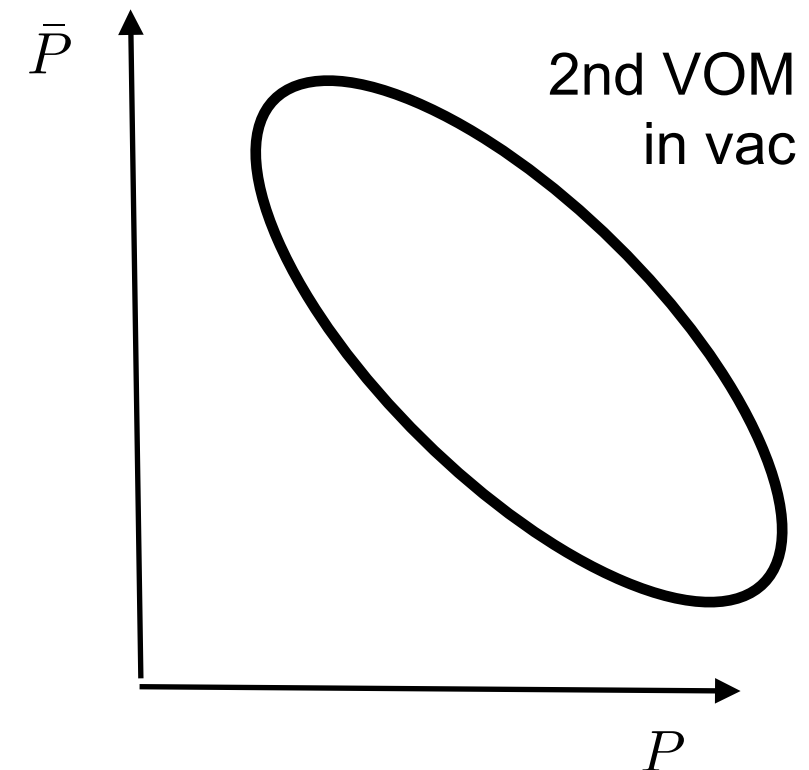
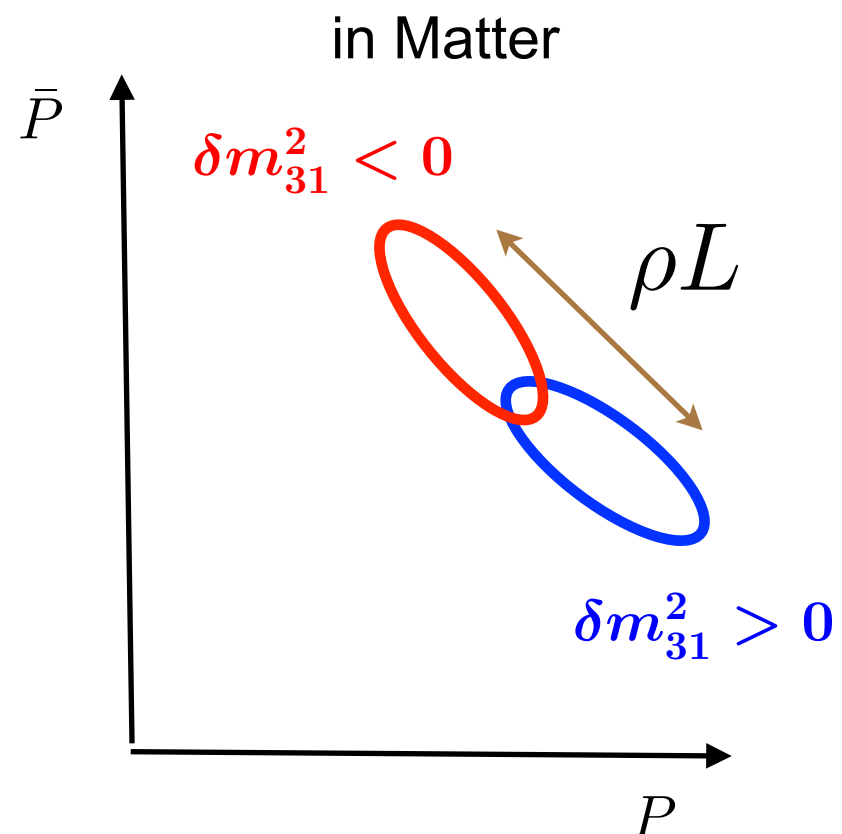
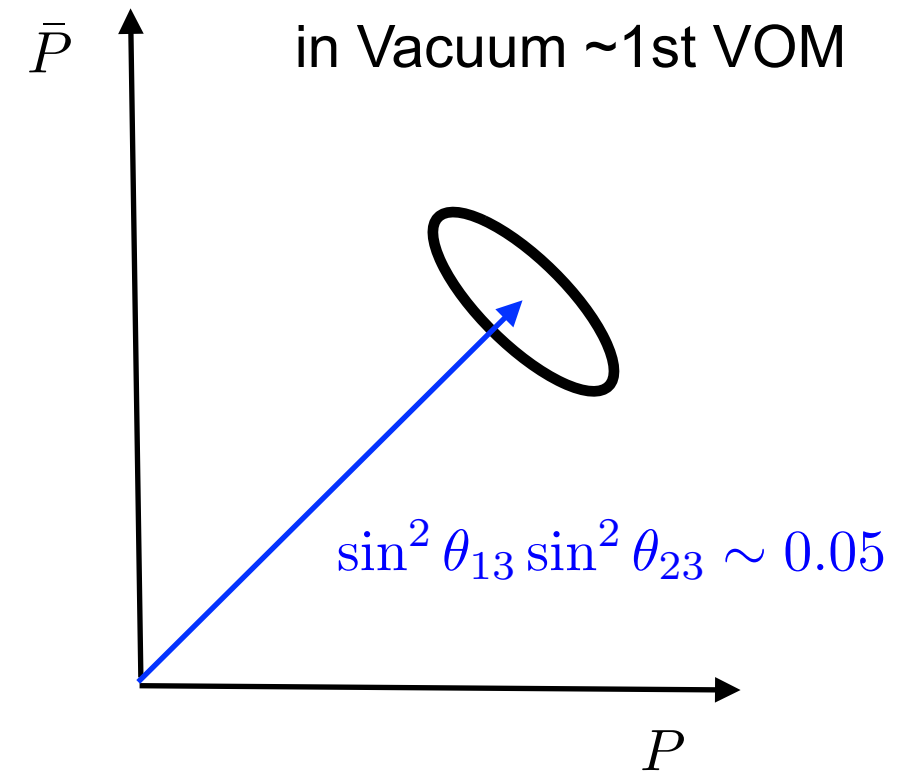
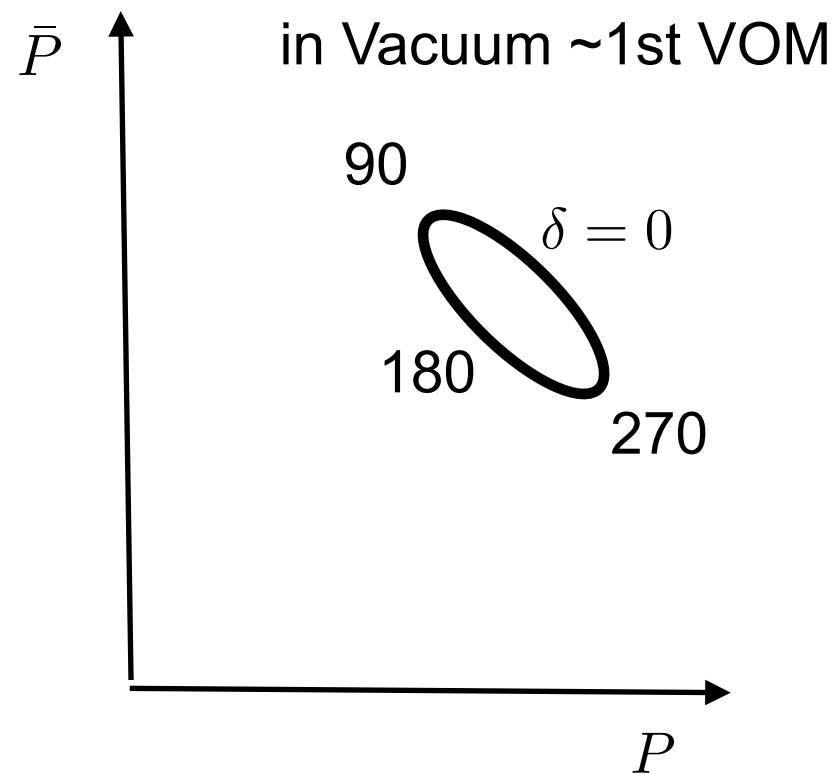
BiProbability Diagrams:



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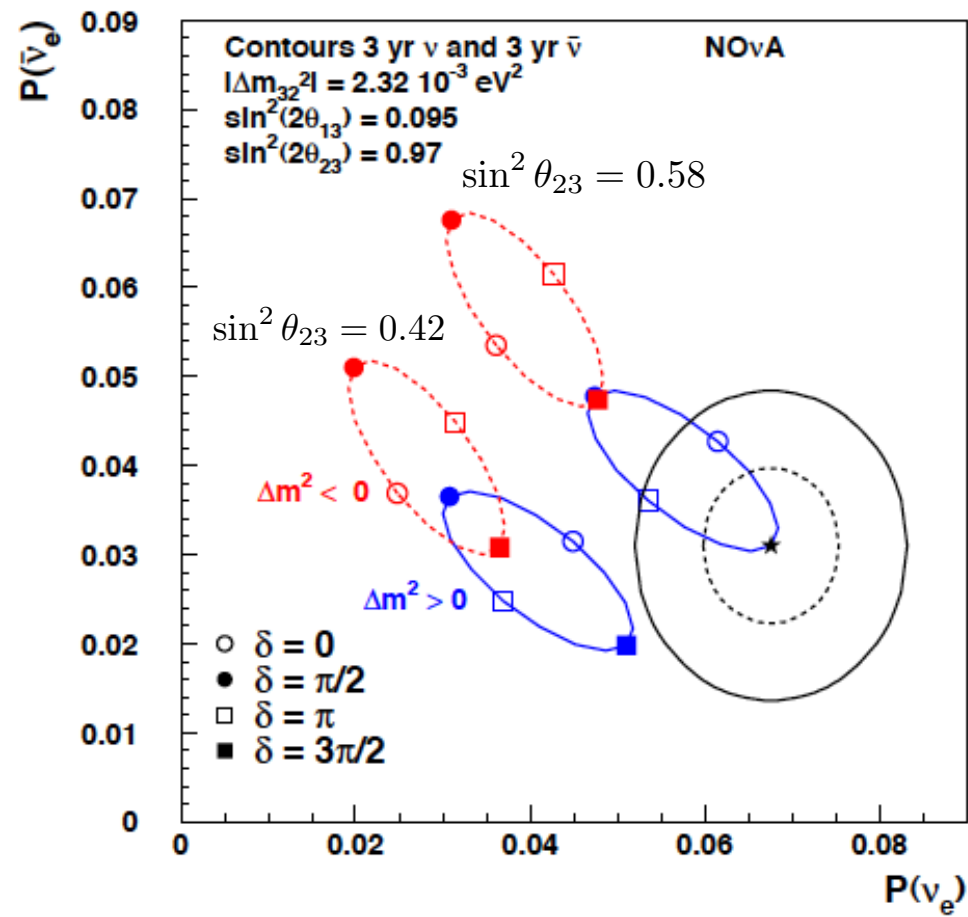
BiProbability Diagrams:



NOvA & LBNF



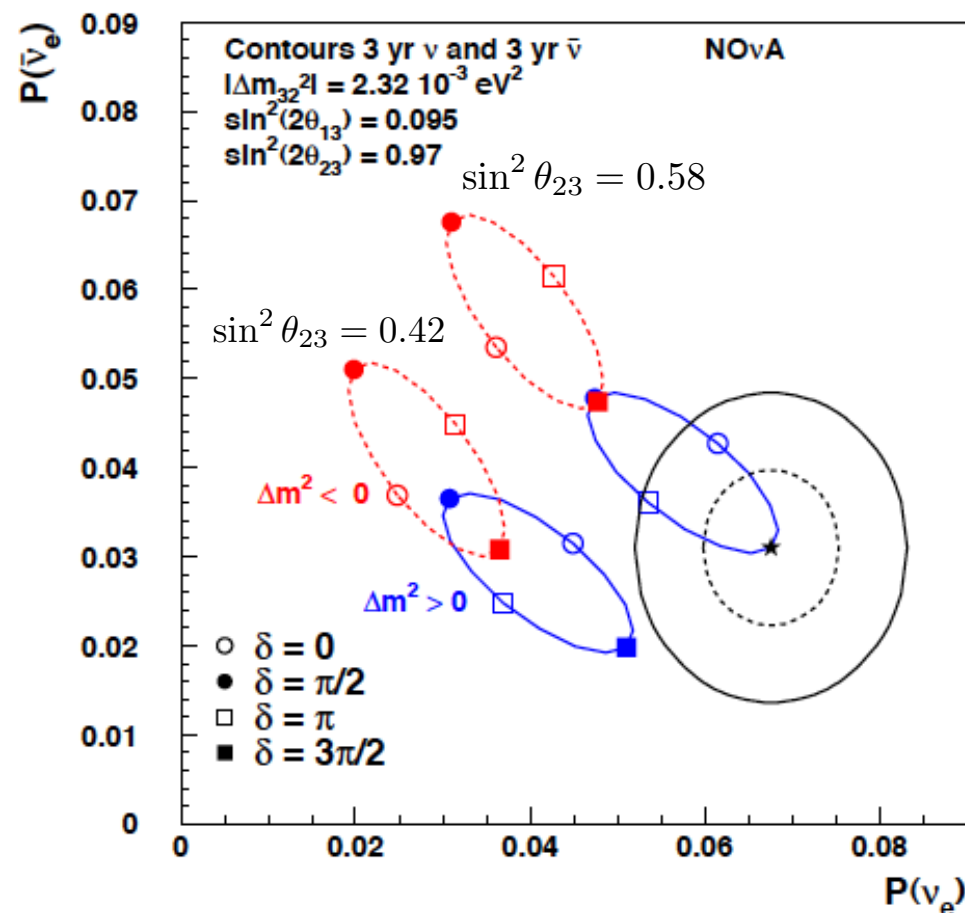
1 and 2 σ Contours for Starred Point



NOvA & LBNF



1 and 2 σ Contours for Starred Point



- $\sin^2 \theta_{23} = 0.42 \Rightarrow \sin^2 2\theta_{\mu\mu} = 0.968$
- $\sin^2 \theta_{23} = 0.58 \Rightarrow \sin^2 2\theta_{\mu\mu} = 0.982$
- Can be distinguished in disappearance channel with sufficient precision!
- Why don't use values that cannot be distinguished?

e.g. $\sin^2 \theta_{23} = (0.430, 0.594)$

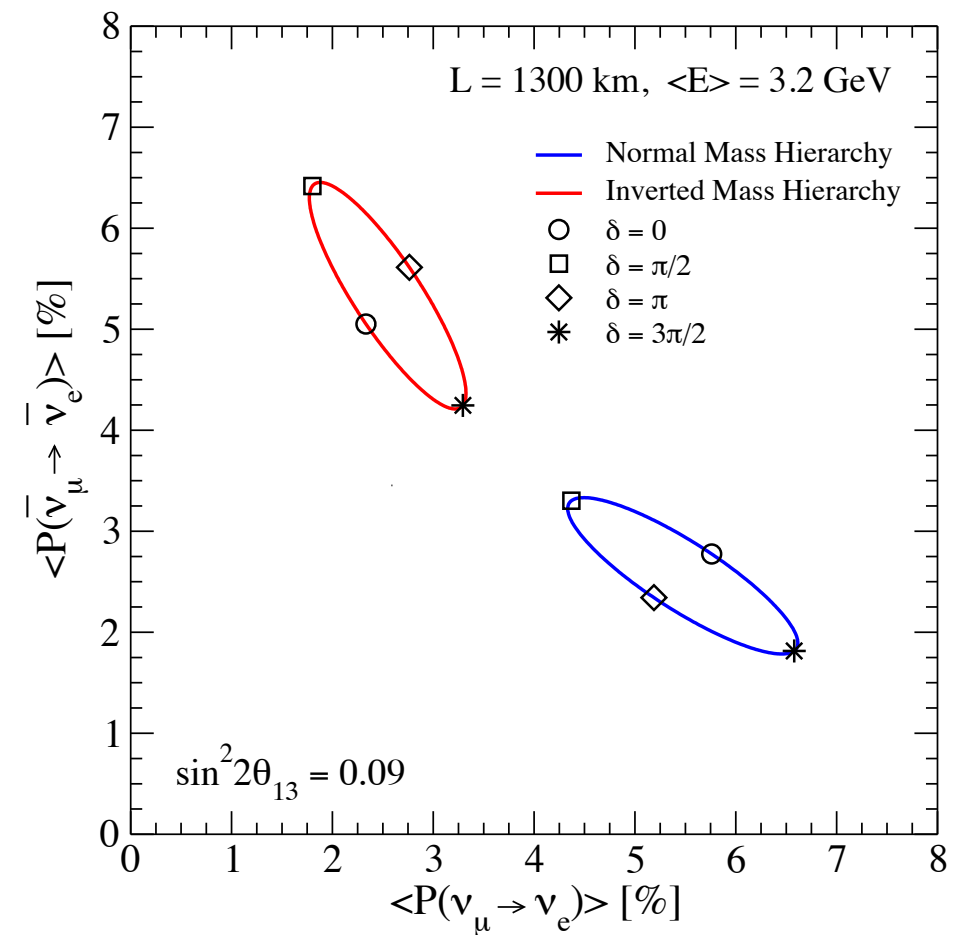
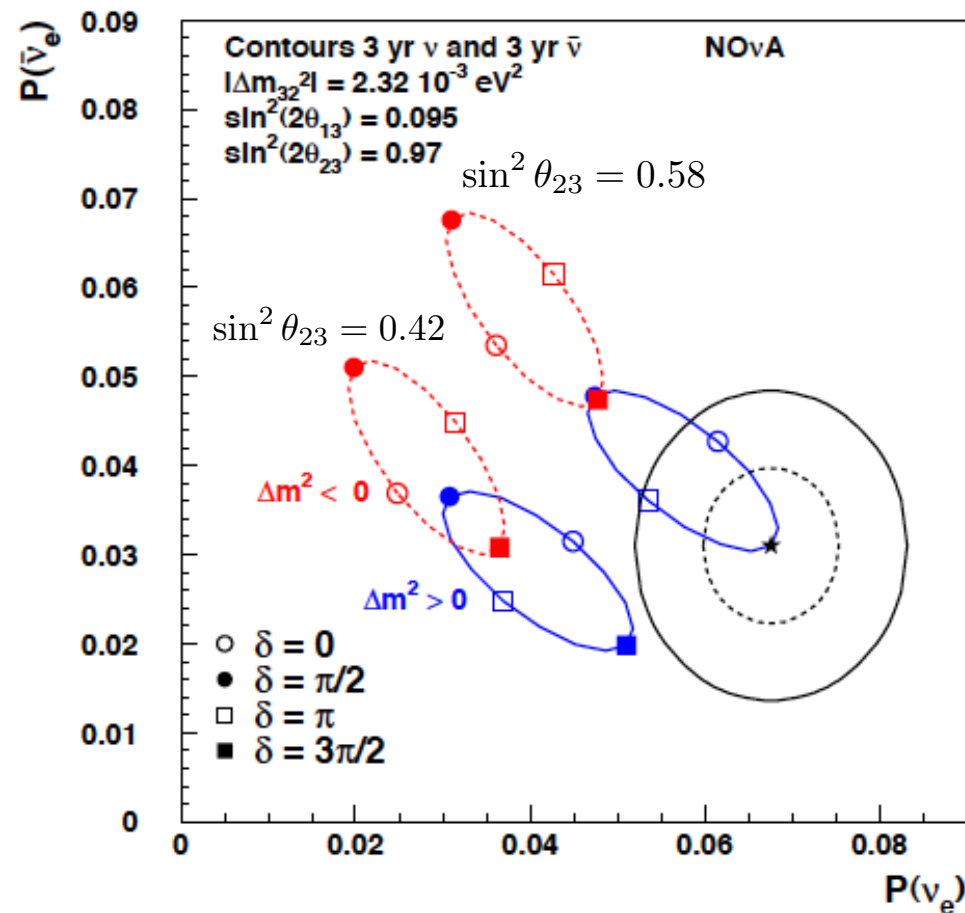


NOvA & LBNF



LBNE:
@ same L/E as NOvA

1 and 2 σ Contours for Starred Point



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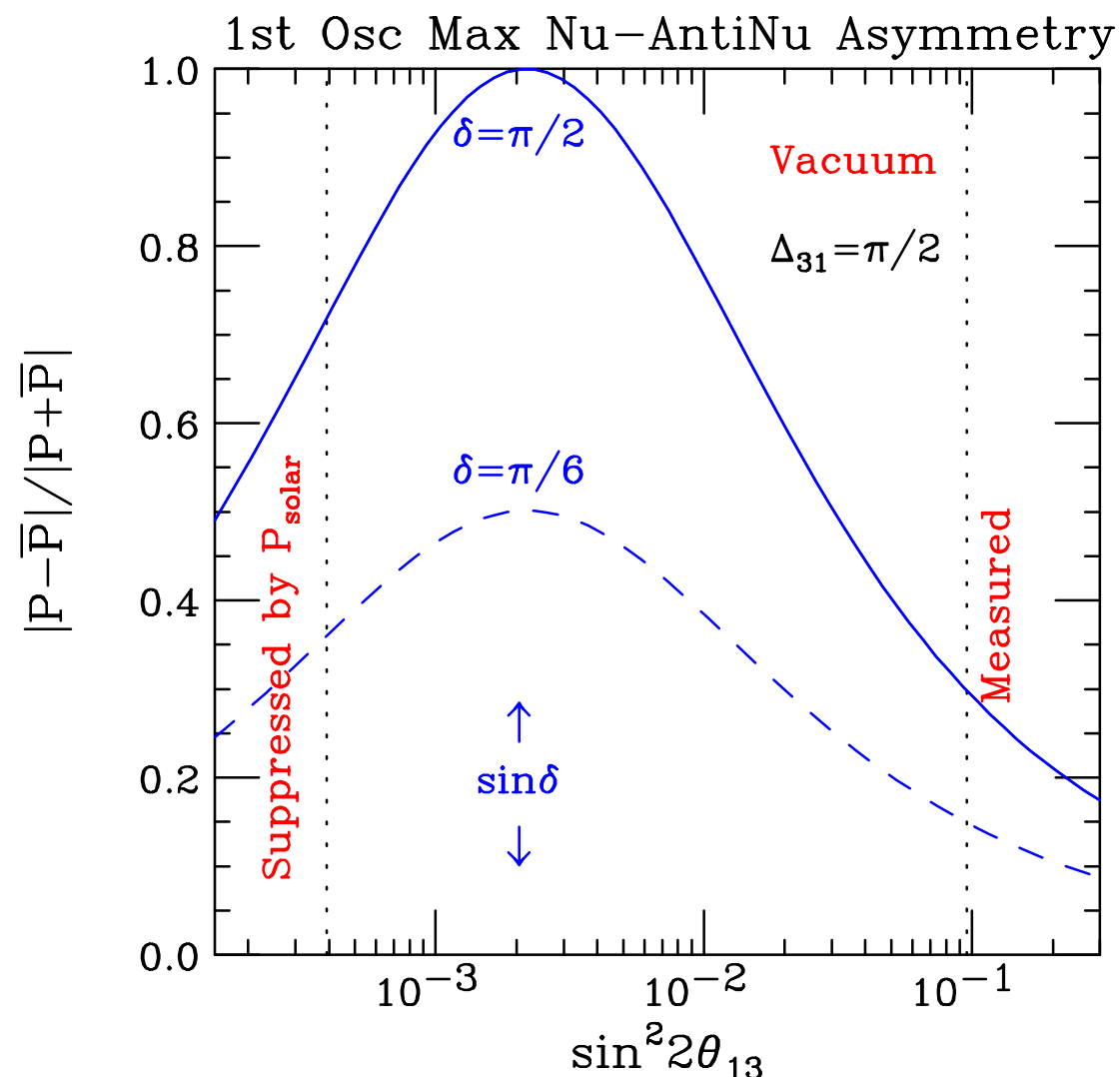


CPV & Neutrino Anti-Neutrino Asymmetry:

In Vacuum, at 1st Oscillation Maximum:

$$A_{vac} \equiv \frac{|P - \bar{P}|}{|P + \bar{P}|} \approx \frac{1}{11} \frac{\sin 2\theta_{13} \sin \delta}{(\sin^2 2\theta_{13} + 0.002)} = 0.3 \sin \delta$$

$P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$ ranges is between $\frac{1}{2}$ and 2 times $P(\nu_\mu \rightarrow \nu_e)$!!!



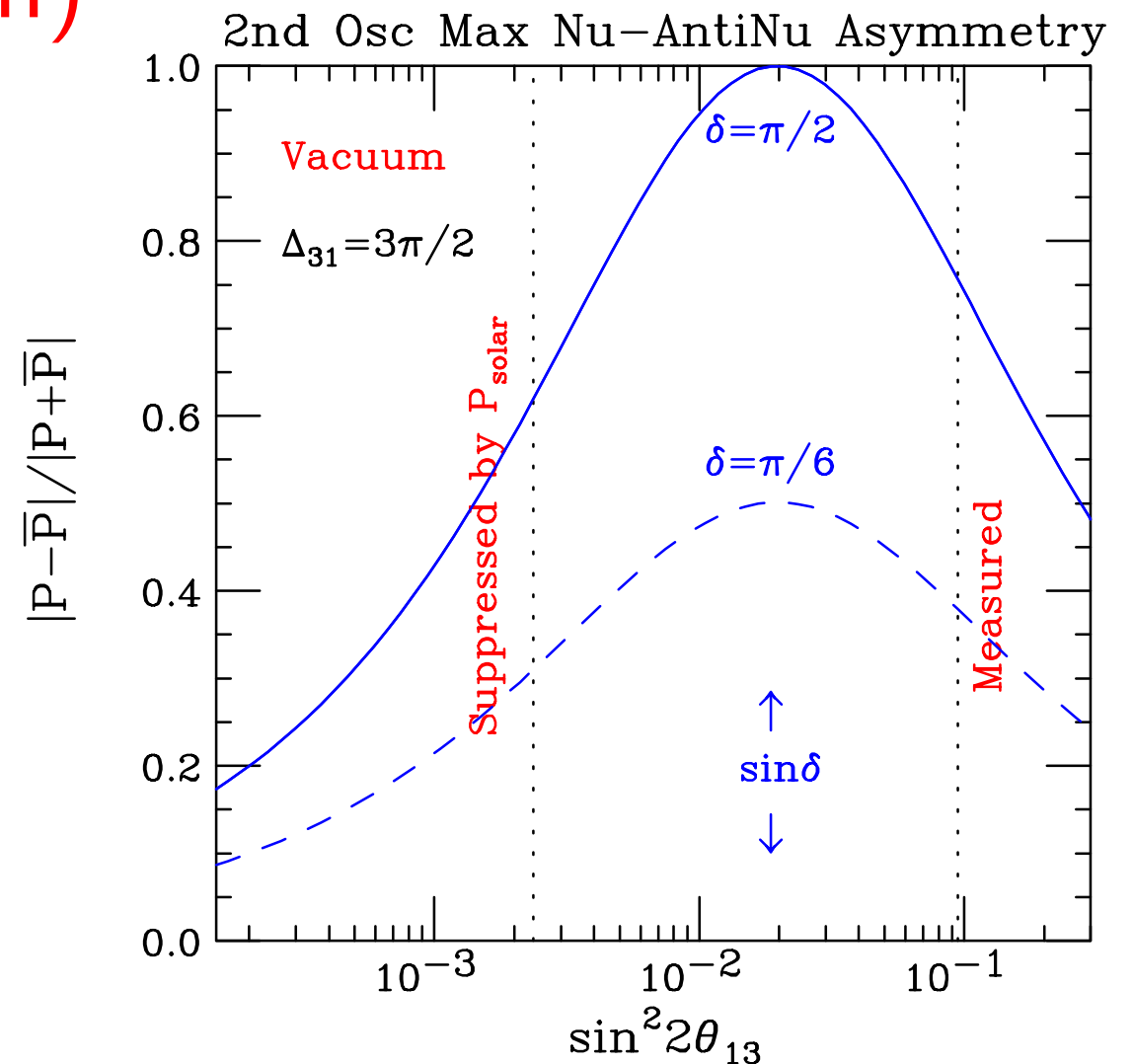
2nd Oscillation Max:

ESS to Garpenburg (540km)

$$A_{vac} \approx 0.75 \sin \delta$$

$$A_{vac}(2^{nd} \text{ OM}) \approx 2.5 \quad A_{vac}(1^{st} \text{ OM})$$

(9/11 of 3)



$P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$ ranges is between $\frac{1}{7}$ and $7 \ P(\nu_\mu \rightarrow \nu_e)$

Appearance Probabilities more dynamic near 2nd Osc. Max. than 1st. OM

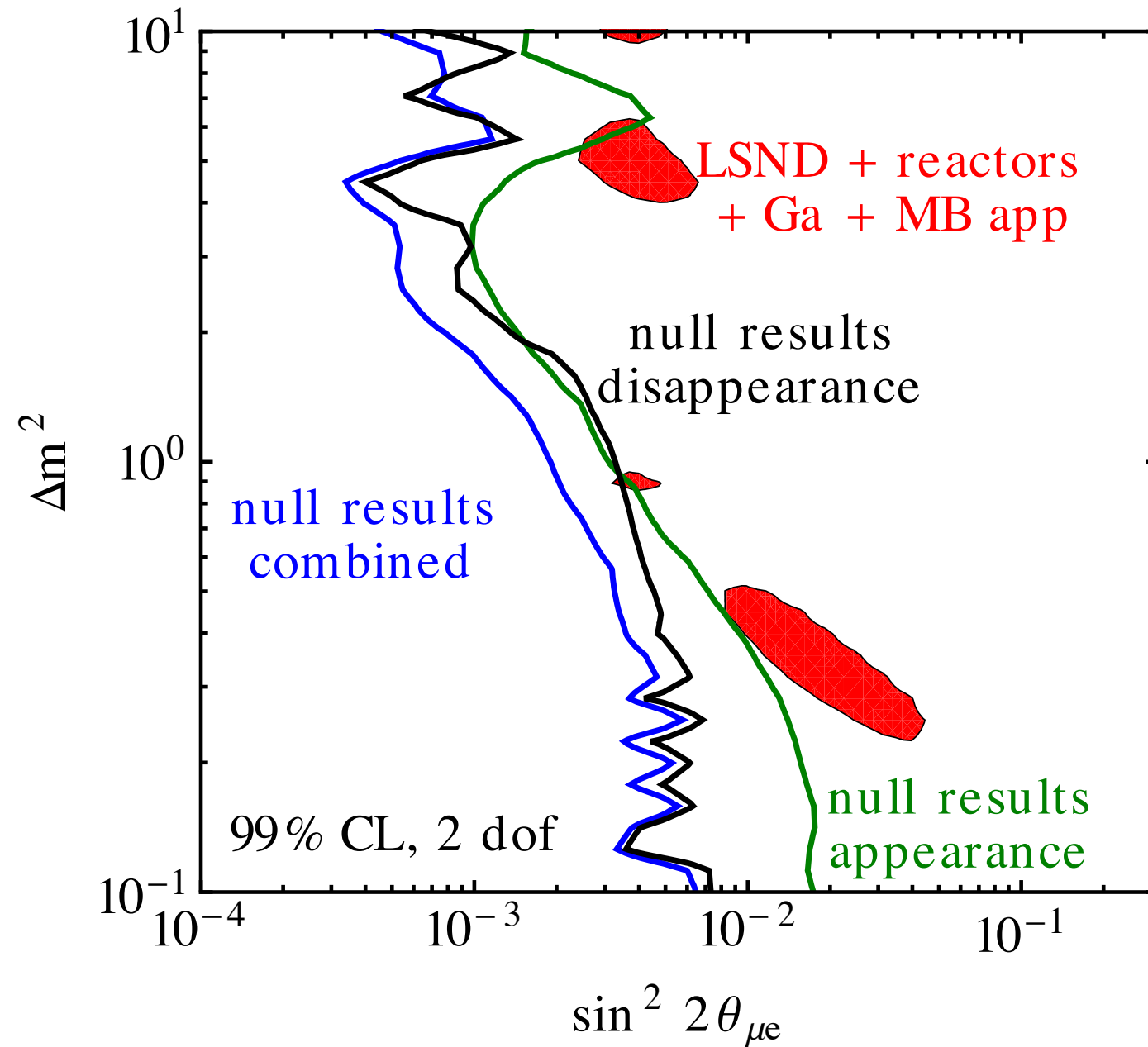
Leptogenesis:

- CP Violation, as well as L Violation, are key ingredients of Leptogenesis

The observation of L violation and of CPV in the lepton sector would be a strong indication (even if not a proof) of leptogenesis as the origin of the baryon asymmetry.



Tensions in Current Data:



Relation between appearance and disappearance

We find: $(\bar{\nu}_e)$ disappearance experiments consistent among themselves,
 $(\bar{\nu}_e)$ appearance experiments consistent among themselves.

But:

3 + 1 neutrinos

At $L \gg 4\pi E / \Delta m_{41}^2$, but $L \ll 4\pi E / \Delta m_{31}^2$

$$P_{ee} = 1 - 2|U_{e4}|^2(1 - |U_{e4}|^2)$$

$$P_{\mu\mu} = 1 - 2|U_{\mu4}|^2(1 - |U_{\mu4}|^2)$$

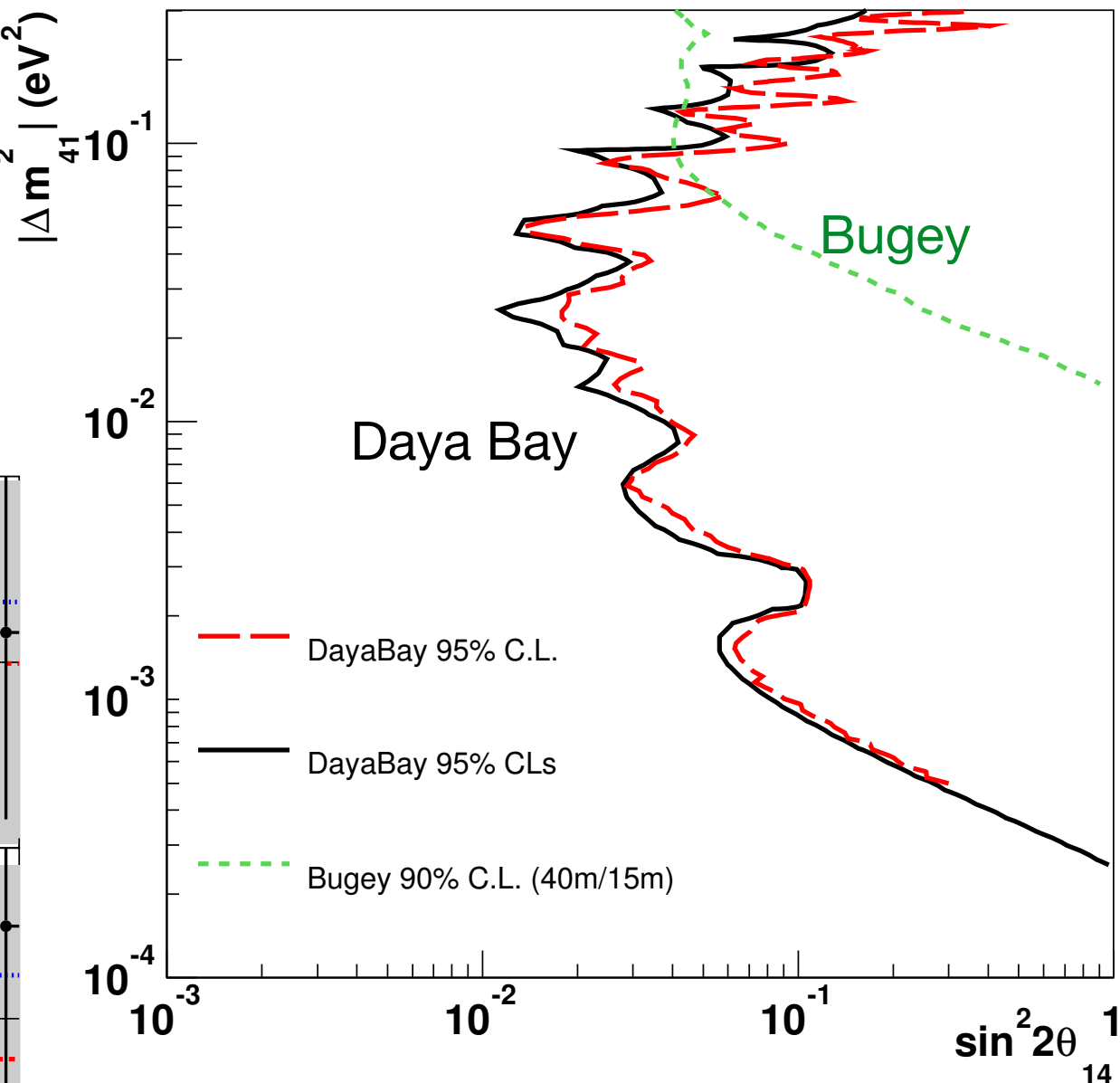
$$P_{e\mu} = 2|U_{e4}|^2|U_{\mu4}|^2$$

It follows

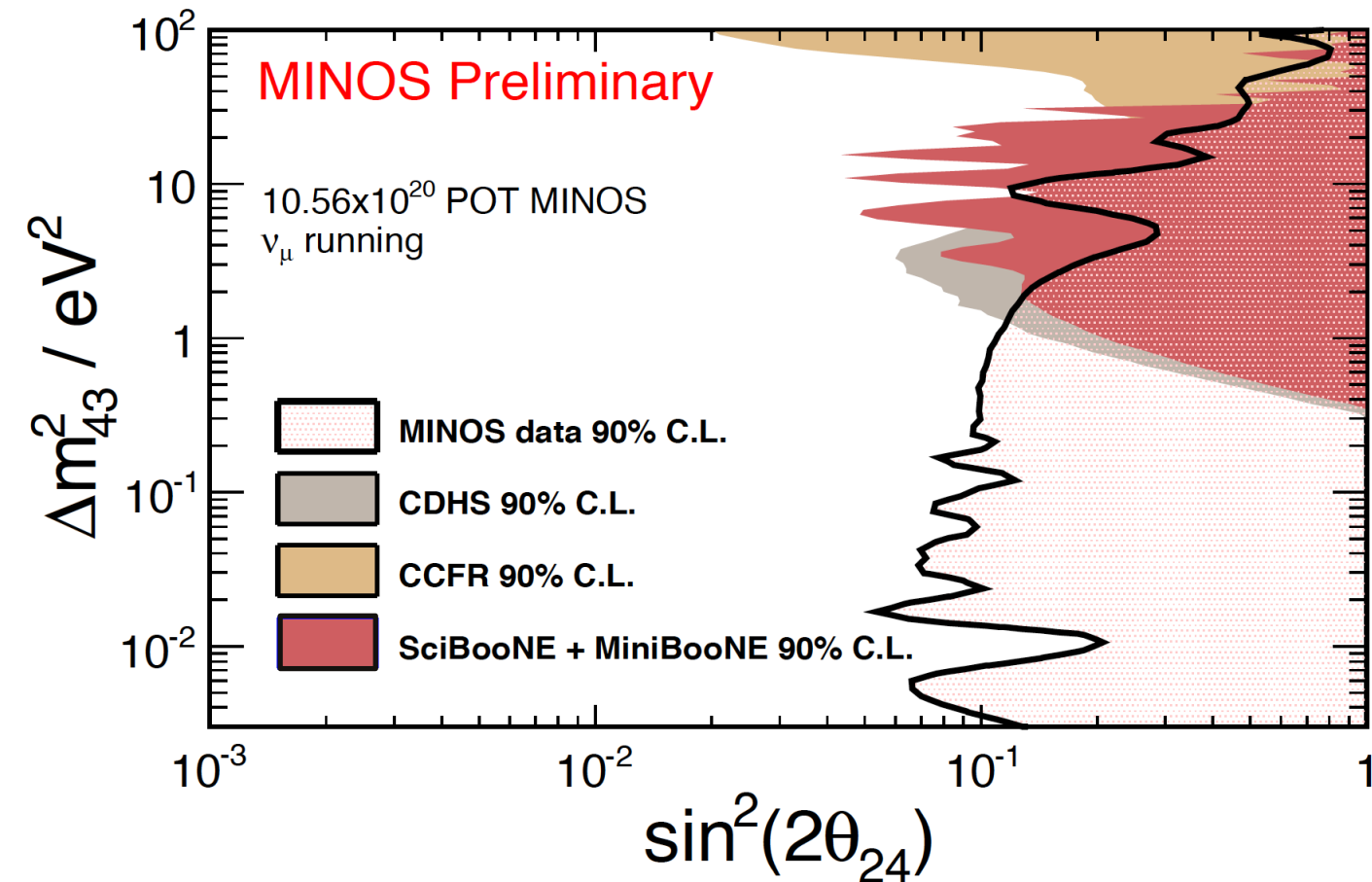
$$2P_{e\mu} \simeq (1 - P_{ee})(1 - P_{\mu\mu})$$

In the 3 + 1 case, at large enough baseline, there is a one-to-one relation between the appearance and disappearance probabilities.

New Data:



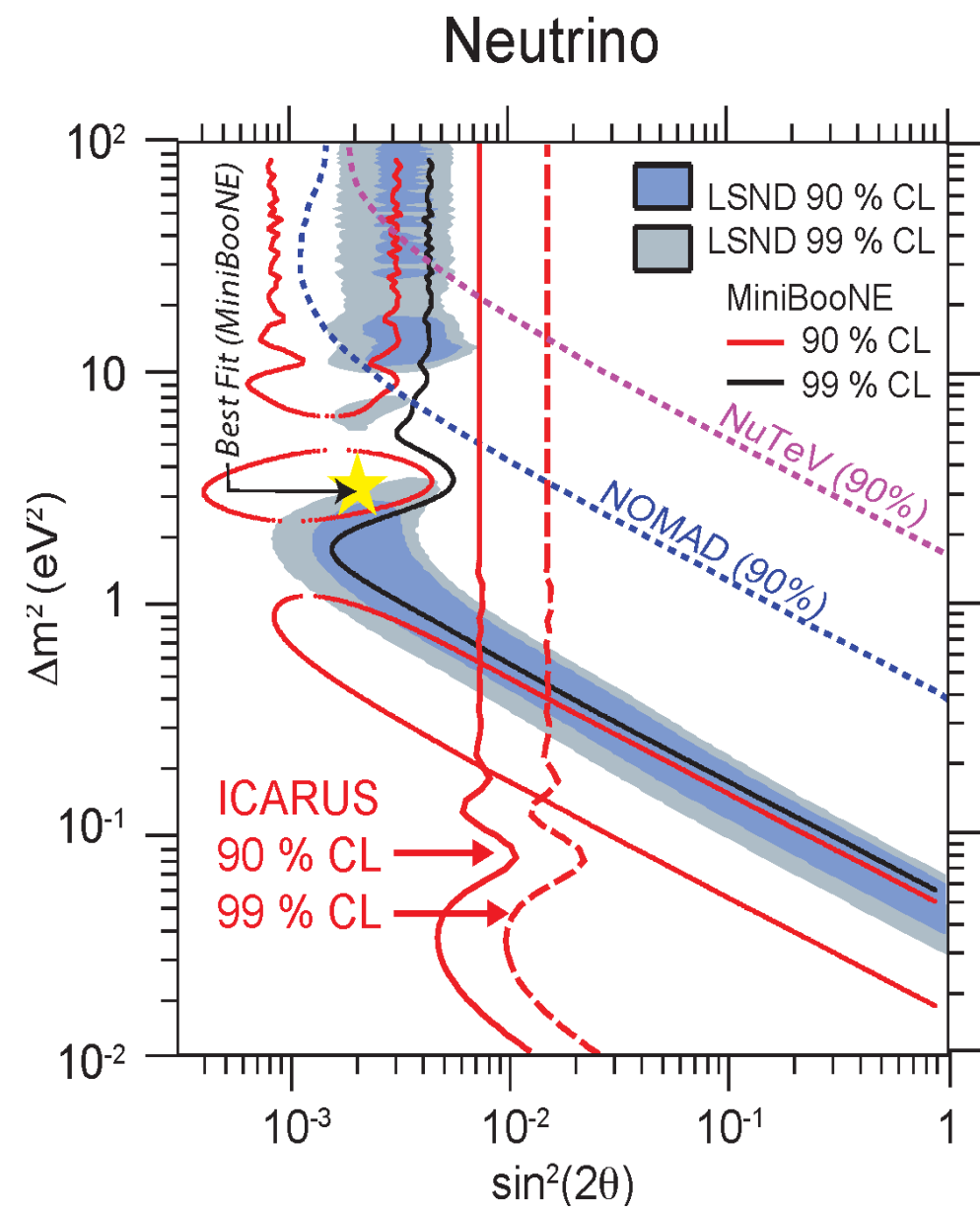
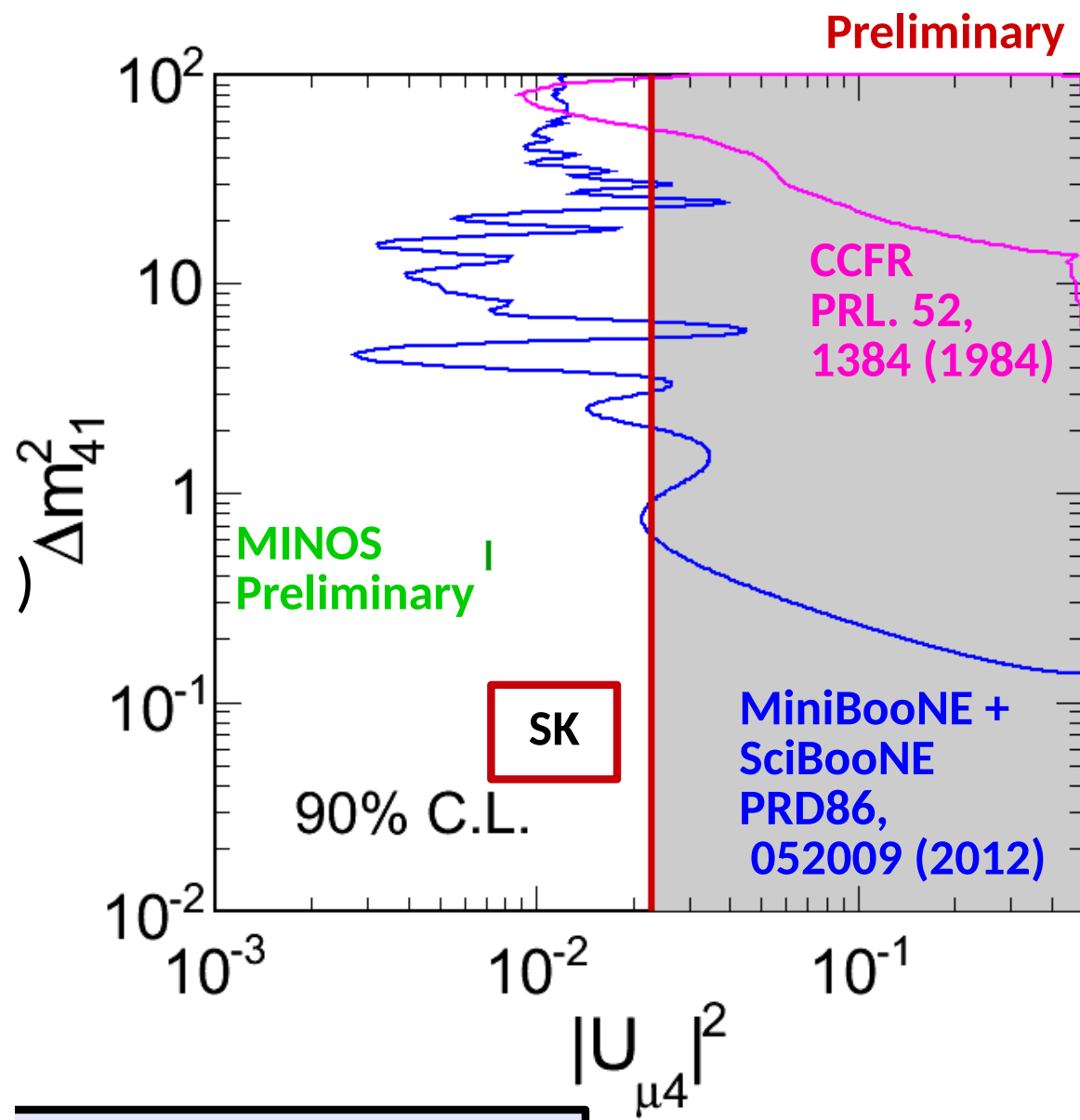
MINOS Disappearance Limit



► Limit is Feldman-Cousins corrected

MINOS 90% C.L. exclusion limit ranges over 4 orders of magnitude in Δm²₄₃!
Strongest constraint on ν_μ disappearance into ν_s for Δm²₄₃ < 1 eV²





Conclusions:

- To Be Majorana or Not To Be Majorana?
- We know $(|U_{e2}|^2, |U_{e3}|^2, |U_{\mu3}|^2)$ with precision of (5,10,15)% but have little information on the other 6 elements of the PMNS matrix without assuming Unitarity. Stringent tests of the ν SM Paradigm needed.
- Determining the Mass Hierarchy & measuring CPV are the next steps. Tau's?
- m_{lite} , if $\ll \delta m_{21}^2$, a new scale to explain !



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 - Determining the Mass Hierarchy & measuring CPV are the next steps. Tau's?
 - m_{lite} , if $\ll \delta m_{21}^2$, a new scale to explain !
 - Are there lite Sterile neutrinos?
- Can we exclude $|U_{e4}|^2$ and $|U_{\mu4}|^2 > 0.01$, say, for $\delta m^2 \sim 1eV^2$
- Solving the Neutrino Masses and Mixing pattern is difficult challenge for Theory! Need hints.
 - Where are there further “SURPRISES” in the Neutrino Sector?



Ernest Rutherford:

We haven't got the money,





Ernest Rutherford:

We haven't got the money,



Ernest Rutherford:

We haven't got the money,

so we'll have to think!