



# Photon Emission in NC interactions with nucleons and nuclei

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# Introduction

- **Photon emission** in **NC** interactions:

- on nucleons  $\nu(\bar{\nu}) N \rightarrow \nu(\bar{\nu}) \gamma N$

- on nuclei  $\nu(\bar{\nu}) A \rightarrow \nu(\bar{\nu}) \gamma X \leftarrow$  incoherent

$$\nu(\bar{\nu}) A \rightarrow \nu(\bar{\nu}) \gamma A \leftarrow \text{coherent}$$

$$\nu(\bar{\nu}) A \rightarrow \nu(\bar{\nu}) A'^* N'$$

Ankowski et al., PRL 108 (2012), 052505  $\hookrightarrow \gamma A$

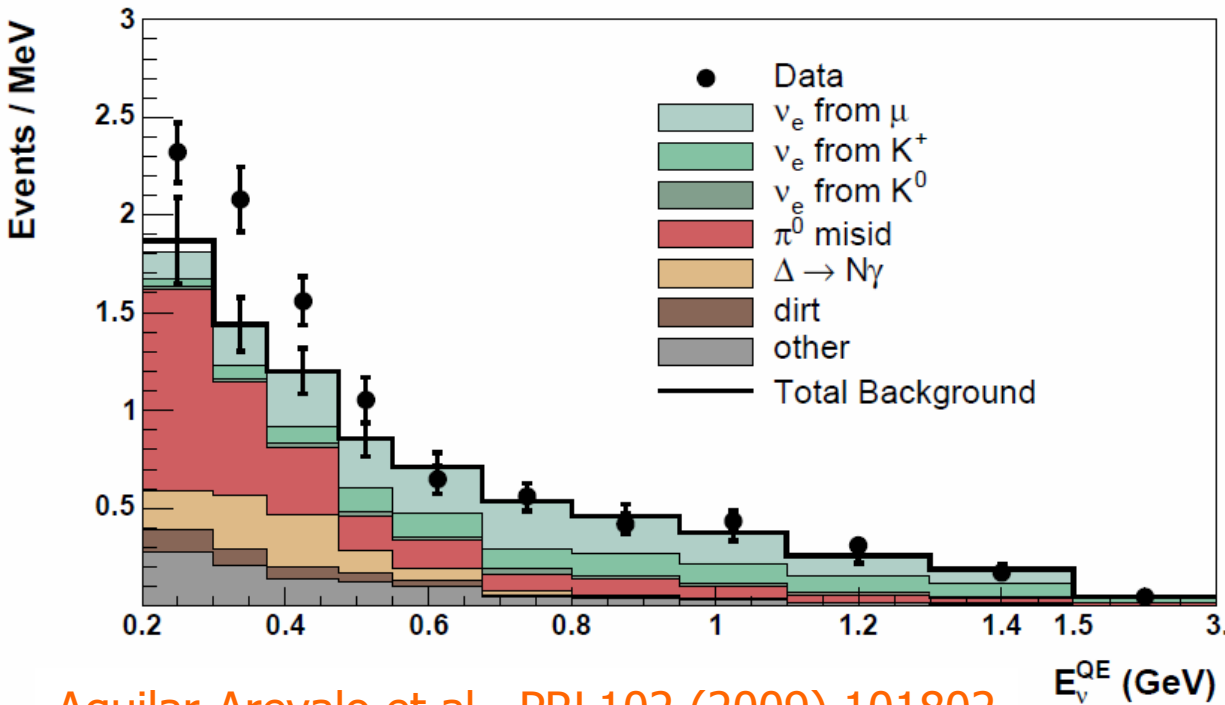
- **Small** cross section (weak & e.m.)

but

- **Important background** for  $\nu_\mu \rightarrow \nu_e$  studies ( $\theta_{13}, \delta$ ) if  $\gamma$  is **misidentified** as  $e^\pm$  from **CCQE**  $\nu_e n \rightarrow e^- p$  or  $\bar{\nu}_e p \rightarrow e^+ n$

# Introduction

- **e-like** events in the MiniBooNE  $\nu_\mu \rightarrow \nu_e$  search:



Aguilar-Arevalo et al., PRL102 (2009) 101802

reconstructed  $\nu$  energy

$$E_\nu^{\text{QE}} (\text{GeV}) = \frac{2m_n E_e - m_e^2 - m_n^2 + m_p^2}{2(m_n - E_e + p_e \cos \theta_e)}$$

# Introduction

## e-like events in the MiniBooNE $\nu_\mu \rightarrow \nu_e$ search:

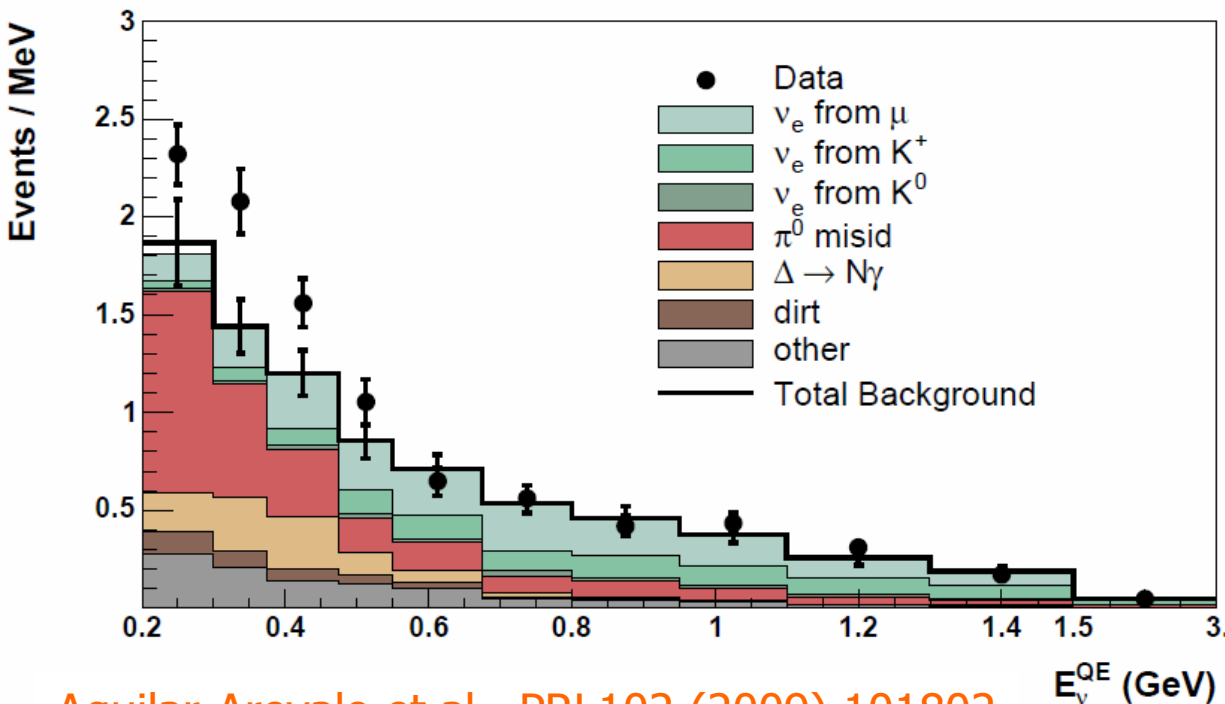


TABLE I. The expected number of events in the  $200 < E_\nu^{QE} < 300$  MeV,  $300 < E_\nu^{QE} < 475$  MeV, and  $475 < E_\nu^{QE} < 1250$  MeV energy ranges from all of the backgrounds after the complete event selection of the final analysis.

Process	200–300	300–475	475–1250
$\nu_\mu$ CCQE	9.0	17.4	11.7
$\nu_\mu e \rightarrow \nu_\mu e$	6.1	4.3	6.4
NC $\pi^0$	103.5	77.8	71.2
NC $\Delta \rightarrow N\gamma$	19.5	47.5	19.4
External events	11.5	12.3	11.5
Other events	18.4	7.3	16.8
$\nu_e$ from $\mu$ decay	13.6	44.5	153.5
$\nu_e$ from $K^+$ decay	3.6	13.8	81.9
$\nu_e$ from $K_L^0$ decay	1.6	3.4	13.5
Total background	$186.8 \pm 26.0$	$228.3 \pm 24.5$	$385.9 \pm 35.7$

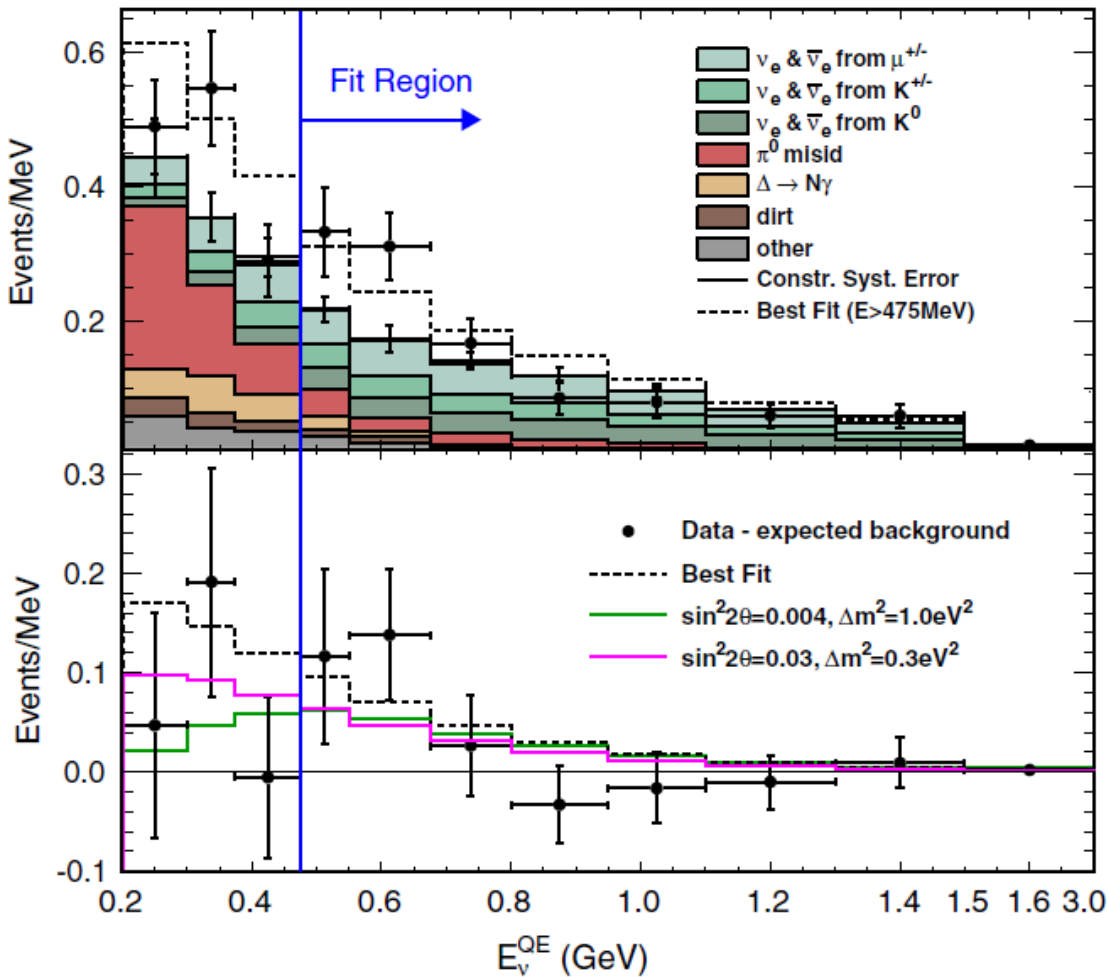
Aguilar-Arevalo et al., PRL102 (2009) 101802

## Unexplained excess of events at $200 < E_\nu^{QE} < 475$ MeV

- NC  $\pi^0$  production ← largest background
- NC  $\Delta \rightarrow N\gamma$  ← 2<sup>nd</sup> largest background: determined from the number of measured NC  $\pi^0$  events
- Shape of event excess consistent with NC  $\pi^0$  & NC  $\Delta \rightarrow N\gamma$

# Introduction

- **e-like** events in the MiniBooNE  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  search:



Aguilar-Arevalo et al., PRL105 (2010) 181801

- Excess of events at  $E_{\nu}^{QE} > 475$  MeV **consistent** with LSND
- Excess of events at  $200 < E_{\nu}^{QE} < 475$  MeV **absent only** if oscillations are considered

# Introduction

## e-like events in the MiniBooNE $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ search:

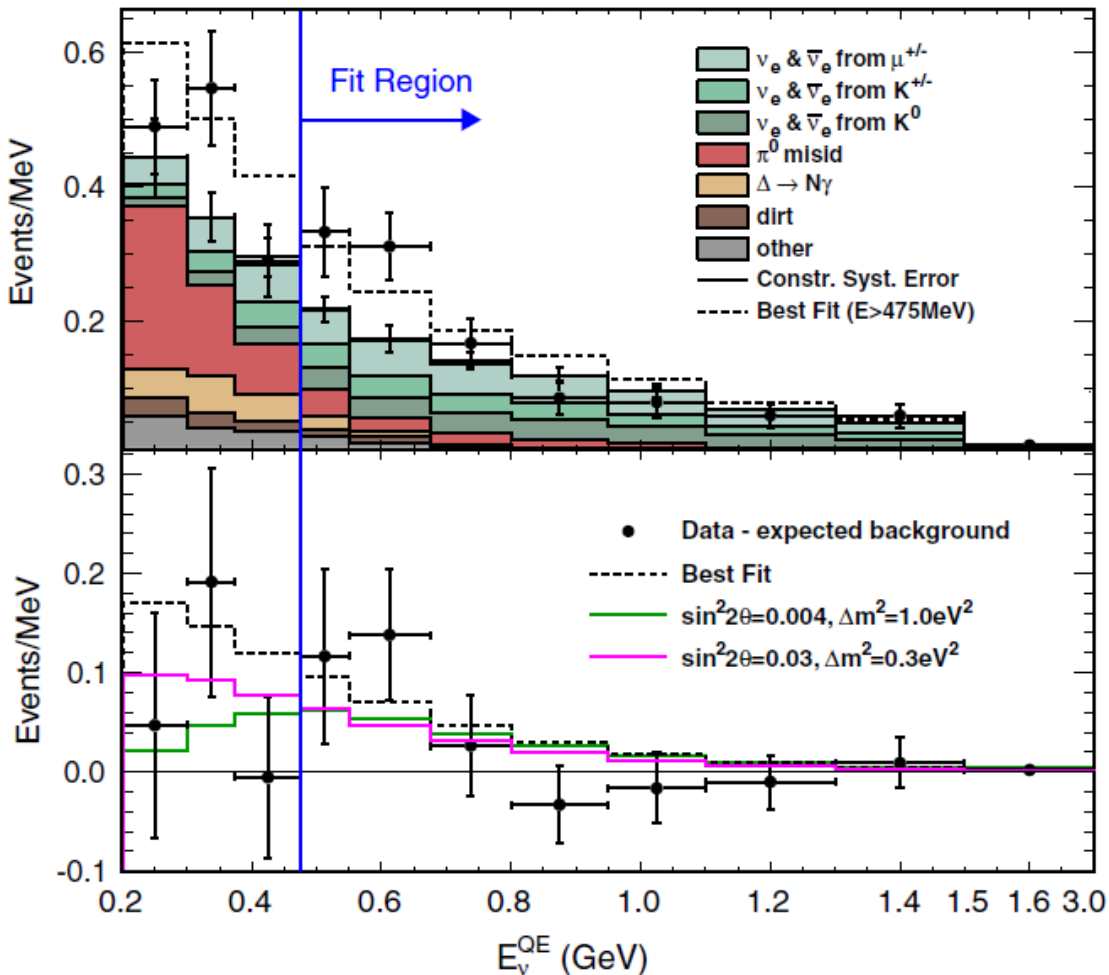


TABLE I. The expected (unconstrained) number of events for different  $E_\nu^{QE}$  ranges from all of the backgrounds in the  $\bar{\nu}_e$  appearance analysis and for the LSND expectation (0.26% oscillation probability averaged over neutrino energy) of  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  oscillations, for  $5.66 \times 10^{20}$  POT.

Process	200–475 MeV	475–1250 MeV
$\nu_\mu$ & $\bar{\nu}_\mu$ CCQE	4.3	2.0
NC $\pi^0$	41.6	12.6
NC $\Delta \rightarrow N\gamma$	12.4	3.4
External events	6.2	2.6
Other $\nu_\mu$ & $\bar{\nu}_\mu$	7.1	4.2
$\nu_e$ & $\bar{\nu}_e$ from $\mu^\pm$ decay	13.5	31.4
$\nu_e$ & $\bar{\nu}_e$ from $K^\pm$ decay	8.2	18.6
$\nu_e$ & $\bar{\nu}_e$ from $K_L^0$ decay	5.1	21.2
Other $\nu_e$ & $\bar{\nu}_e$	1.3	2.1
Total background	99.5	98.1
0.26% $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$	9.1	29.1

Aguilar-Arevalo et al., PRL105 (2010) 181801

## At $200 < E_{\nu}^{QE} < 475$ MeV

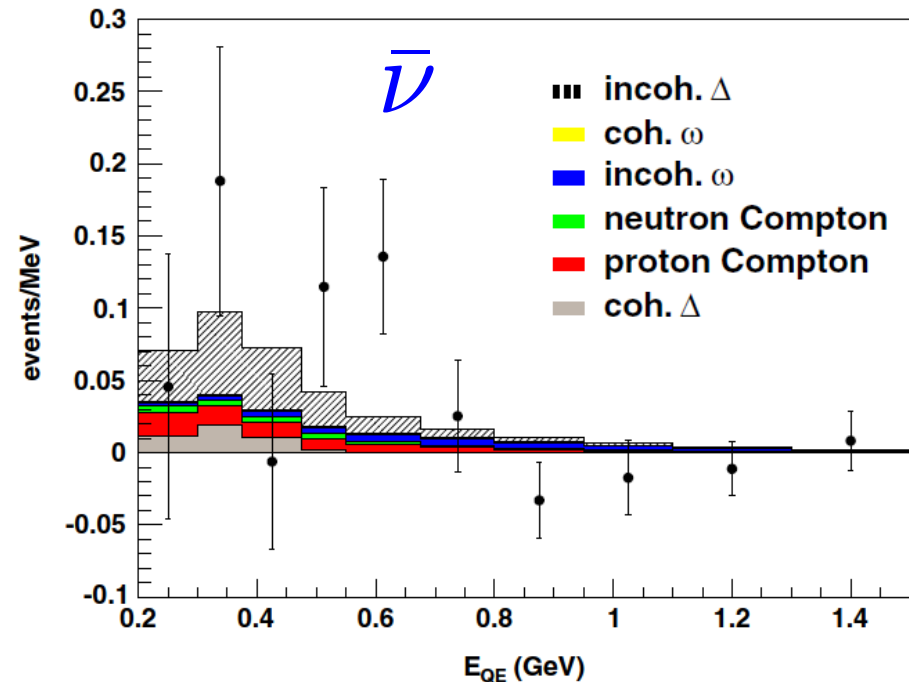
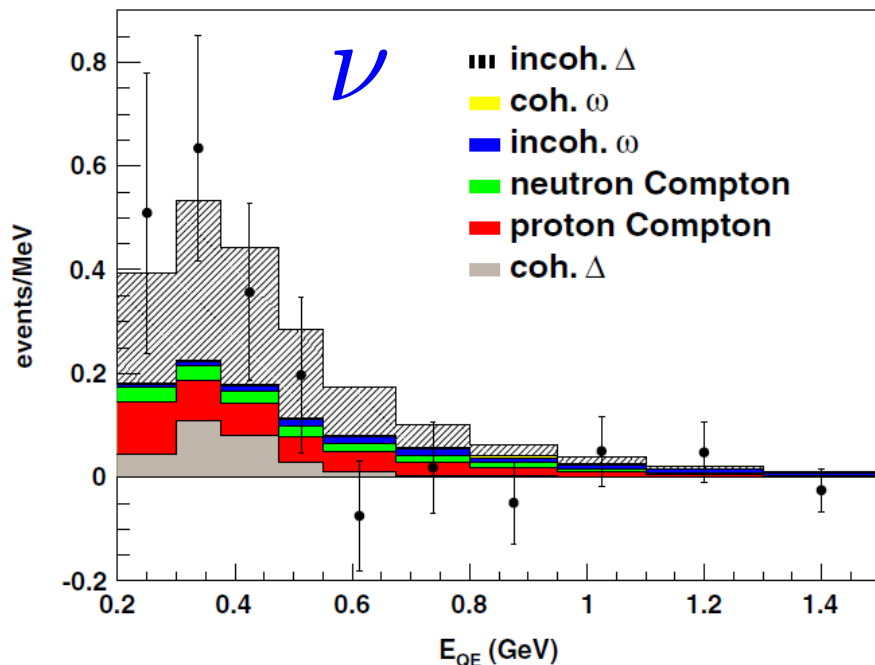
- NC  $\pi^0$  production ← largest background
- NC  $\Delta \rightarrow N \gamma$  ← 3<sup>rd</sup> largest background

# Introduction

- Microscopic model, R. Hill, PRD 81 (2010)
  - Hadronic degrees of freedom  $N, \Delta(1232), \pi, \rho, \omega$
  - EFT consistent with the SM symmetries at low energy
  - Extrapolation to  $E_\nu \sim 1-2$  GeV using phenomenological form factors
- Comparison to MiniBooNE, R. Hill, PRD 84 (2011)
- Assumptions:
  - Detector mass:  $800 \times 10^6$  g
  - $6.46 \times 10^{20}$  POT ( $\nu$ ) and  $5.76 \times 10^{20}$  POT ( $\bar{\nu}$ )
  - Cut  $E_\gamma > 140$  MeV
  - Efficiency: 25 %

# Introduction

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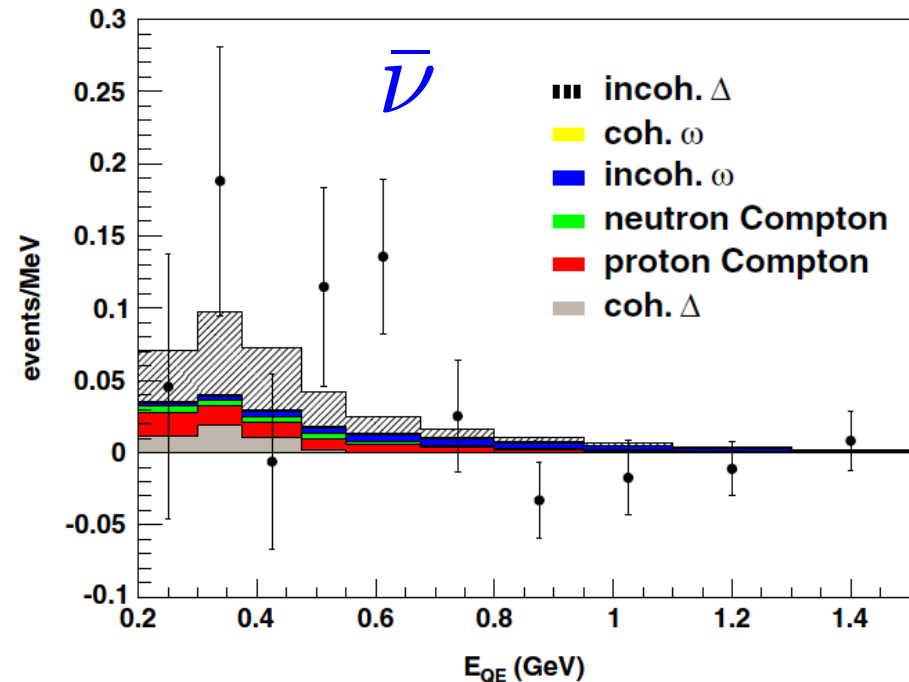
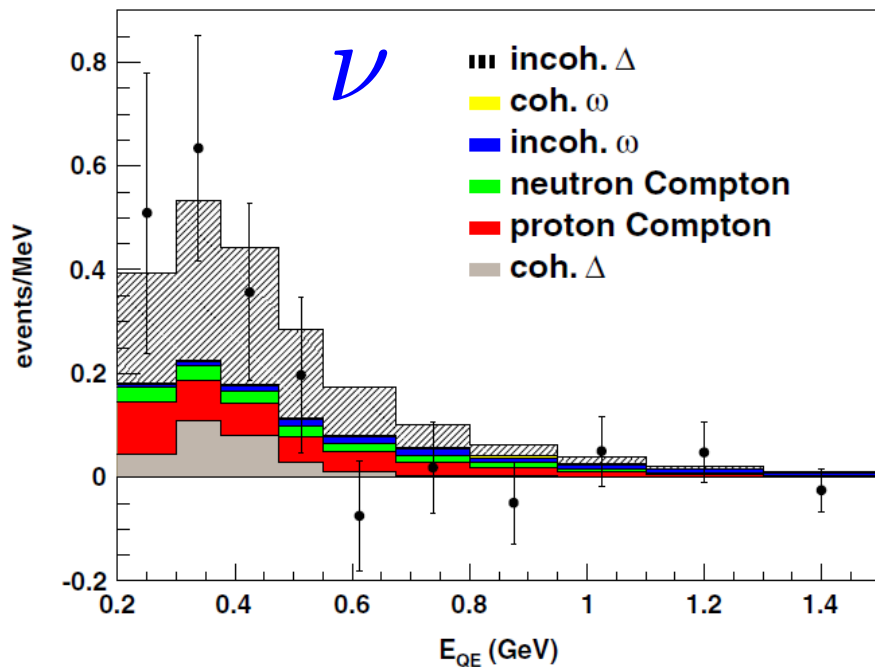


- $\Delta \rightarrow N \gamma$  events: twice the MiniBooNE estimate
- Conclusion: Neglected events give a significant contribution to the MiniBooNE low-energy excess



# Introduction

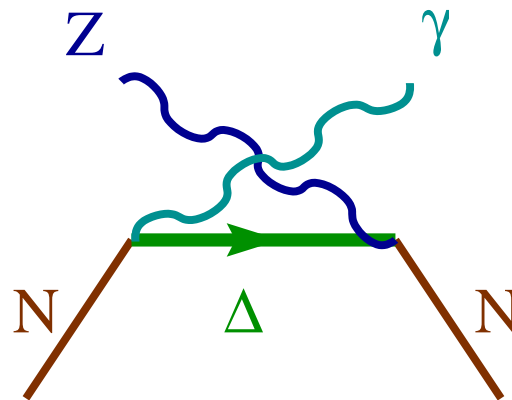
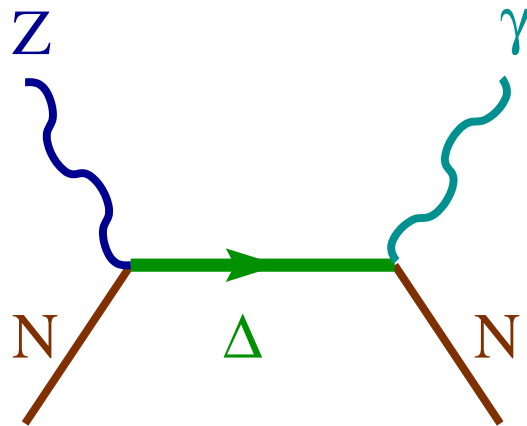
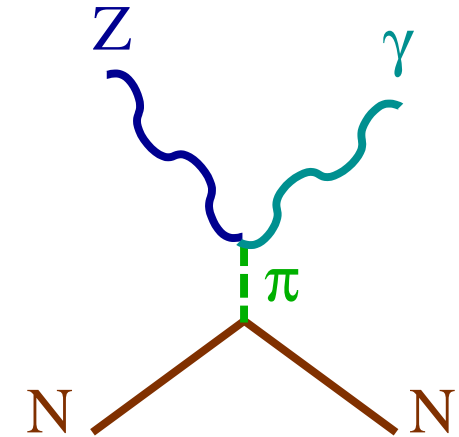
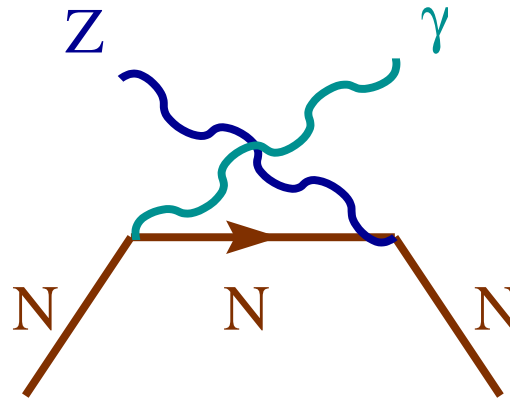
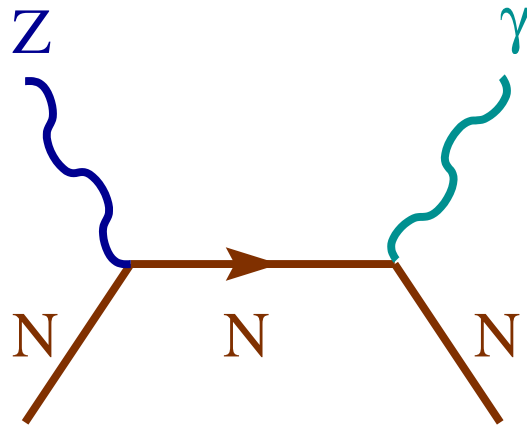
- Microscopic model, R. Hill, PRD 81 (2010)
  - Hadronic degrees of freedom  $N, \Delta(1232), \pi, \rho, \omega$
  - EFT consistent with the SM symmetries at low energy
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- Comparison to MiniBooNE, R. Hill, PRD 84 (2011)



- However, nuclear corrections have not been considered ( $CH_2 \approx 8p6n$ )

# The model

- Feynman diagrams:



# The model

## ■ Amplitude:

$$\mathcal{M}_r = \frac{G_F e}{\sqrt{2}} \epsilon_\mu^{*(r)} \bar{u}(p') \Gamma^{\mu\alpha} u(p) l_\alpha$$

$G_F$  ← Fermi constant

$e$  ← electric charge

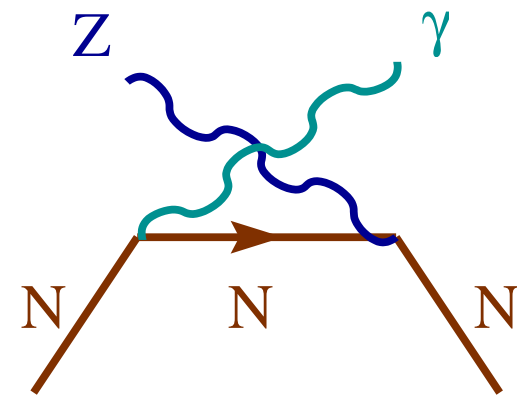
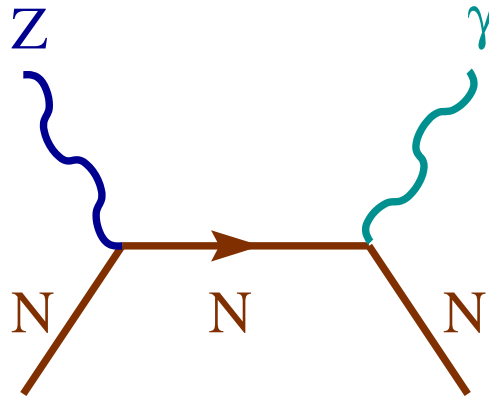
$\epsilon_\mu^{*(r)}$  ← photon polarization

$l_\alpha$  ← NC for  $\nu$  or  $\bar{\nu}$

$\Gamma^{\mu\alpha}$  ← specific for each mechanism

# The model

## ■ Nucleon pole terms:



$$\Gamma^{\mu\alpha} = J_{\text{EM}}^{\mu}(-q_{\gamma})D_N(p+q)J_{\text{NC}}^{\alpha}(q) + J_{\text{NC}}^{\alpha}(q)D_N(q_{\gamma}-p)J_{\text{EM}}^{\mu}(-q_{\gamma})$$

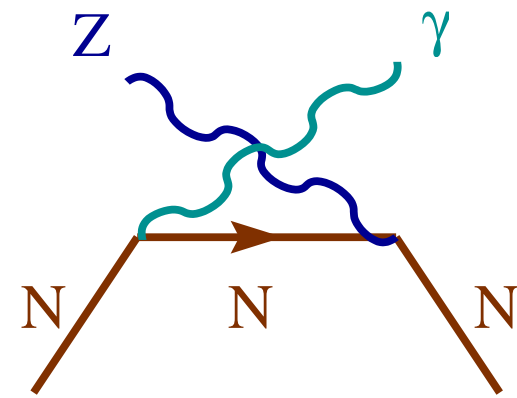
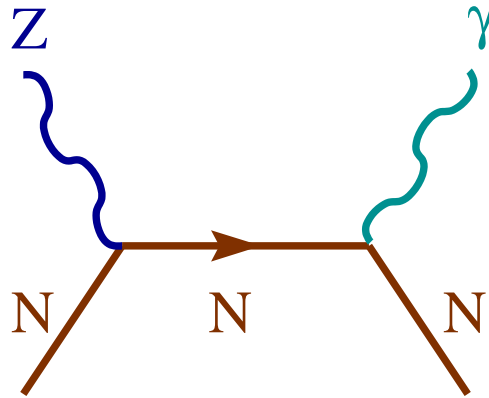
$q$  ← 4-momentum transferred to the nucleon

$q_{\gamma}$  ← photon 4-momentum

$$D_N(p) = \frac{1}{\not{p} - m_N} \quad \leftarrow \text{nucleon propagator}$$

# The model

## ■ Nucleon pole terms:



$$\Gamma^{\mu\alpha} = J_{\text{EM}}^{\mu}(-q_{\gamma})D_N(p+q)J_{\text{NC}}^{\alpha}(q) + J_{\text{NC}}^{\alpha}(q)D_N(q_{\gamma}-p)J_{\text{EM}}^{\mu}(-q_{\gamma})$$

$$J_{\text{NC}}^{\alpha}(q) = \gamma^{\alpha}\tilde{F}_1(q^2) + \frac{i}{2M}\sigma^{\alpha\beta}q_{\beta}\tilde{F}_2(q^2) - \gamma^{\mu}\gamma_5\tilde{F}_A(q^2)$$

## ■ Vector NC form factors:

$$2\tilde{F}_{1,2}^{(p)} = (1 - 4\sin^2\theta_W)F_{1,2}^{(p)} - F_{1,2}^{(n)} - F_{1,2}^{(s)}$$

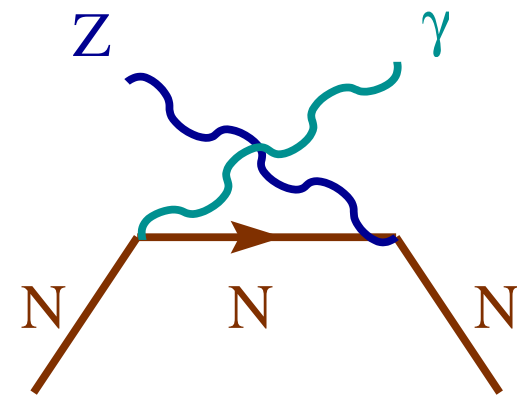
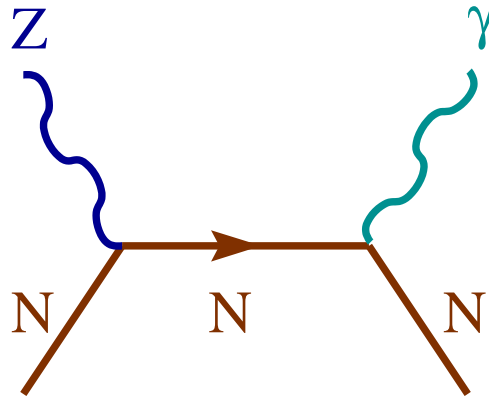
$$2\tilde{F}_{1,2}^{(n)} = (1 - 4\sin^2\theta_W)F_{1,2}^{(n)} - F_{1,2}^{(p)} - F_{1,2}^{(s)}$$

■  $F_{1,2}^{(p,n)}$  ← p,n EM form factors (dipole parametrizations)

■  $F_{1,2}^{(s)}$  ← strange EM form factors → 0

# The model

- **Nucleon** pole terms:



$$\Gamma^{\mu\alpha} = J_{\text{EM}}^{\mu}(-q_{\gamma}) D_N(p+q) J_{\text{NC}}^{\alpha}(q) + J_{\text{NC}}^{\alpha}(q) D_N(q_{\gamma}-p) J_{\text{EM}}^{\mu}(-q_{\gamma})$$

$$J_{\text{NC}}^{\alpha}(q) = \gamma^{\alpha} \tilde{F}_1(q^2) + \frac{i}{2M} \sigma^{\alpha\beta} q_{\beta} \tilde{F}_2(q^2) - \gamma^{\mu} \gamma_5 \tilde{F}_A(q^2)$$

- **Axial NC** form factor:

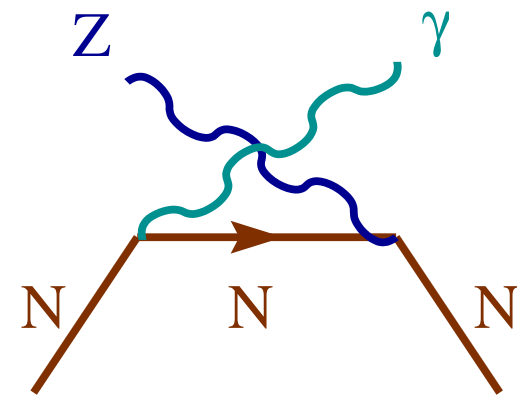
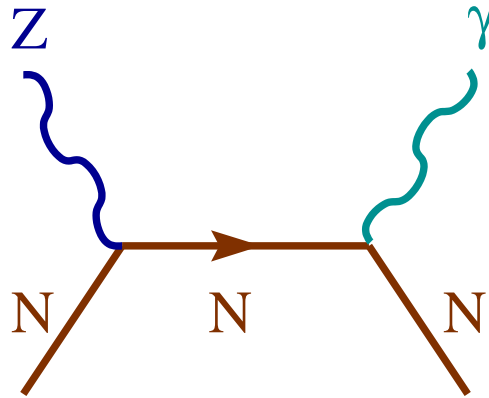
$$2\tilde{F}_A^{(p,n)} = \pm F_A + F_A^{(s)} \quad F_A(Q^2) = g_A \left( 1 + \frac{Q^2}{M_A^2} \right)^{-2}$$

- $g_A = 1.267$ ,  $M_A = 1.016$  GeV

- $F_A^{(s)}$  ← strange axial form factors → 0

# The model

## ■ Nucleon pole terms:



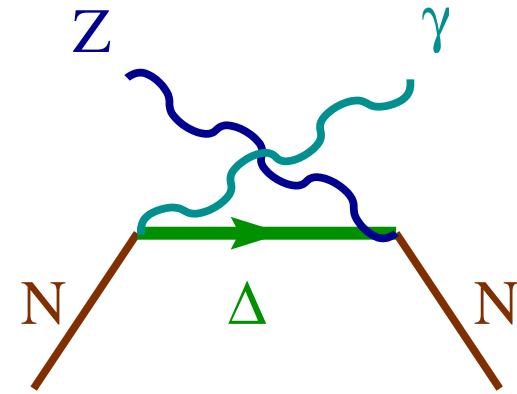
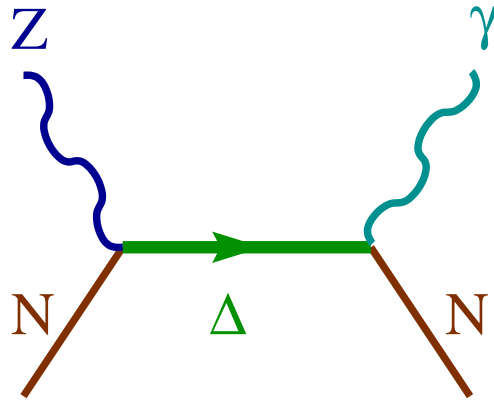
$$\Gamma^{\mu\alpha} = J_{\text{EM}}^{\mu}(-q_{\gamma})D_N(p+q)J_{\text{NC}}^{\alpha}(q) + J_{\text{NC}}^{\alpha}(q)D_N(q_{\gamma}-p)J_{\text{EM}}^{\mu}(-q_{\gamma})$$

$$J_{\text{NC}}^{\alpha}(q) = \gamma^{\alpha}\tilde{F}_1(q^2) + \frac{i}{2M}\sigma^{\alpha\beta}q_{\beta}\tilde{F}_2(q^2) - \gamma^{\mu}\gamma_5\tilde{F}_A(q^2)$$

$$J_{\text{EM}}^{\mu}(-q_{\gamma}) = \gamma^{\mu}F_1^{(i)}(0) - \frac{i}{2M}\sigma^{\mu\nu}q_{\gamma\nu}F_2^{(i)}(0) \quad i = p, n$$

# The model

- $\Delta(1232)$  pole terms:



$$\Gamma^{\mu\alpha} = \hat{J}_{\text{EM}}^{\delta\mu}(p', q_\gamma) D_{\delta\sigma}^\Delta(p+q) J_{\text{NC}}^{\sigma\alpha}(p, q) + \hat{J}_{\text{NC}}^{\delta\alpha}(p', -q) D_{\delta\sigma}^\Delta(q_\gamma - p) J_{\text{EM}}^{\sigma\mu}(p', -q_\gamma)$$

$$\hat{J}^{\alpha\beta} = \gamma_0 (J^{\alpha\beta})^\dagger \gamma_0$$

$$D_{\delta\sigma}^\Delta(p) = \frac{\Lambda_{\delta\sigma}}{p^2 - m_\Delta^2 + im_\Delta \Gamma_\Delta(p^2)}$$

← Delta propagator

$$\Lambda_{\delta\sigma}$$

← N- $\Delta$  projector

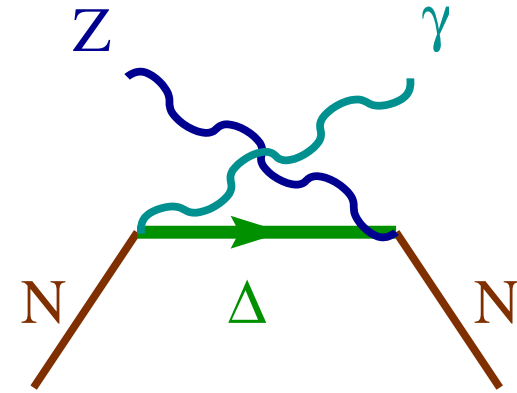
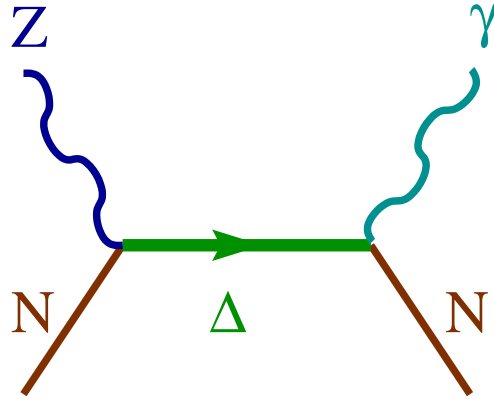
$$\Gamma_\Delta(p^2)$$

← E-dependent width



# The model

- $\Delta(1232)$  pole terms:



$$\Gamma^{\mu\alpha} = \hat{J}_{\text{EM}}^{\delta\mu}(p', q_\gamma) D_{\delta\sigma}^\Delta(p+q) J_{\text{NC}}^{\sigma\alpha}(p, q) + \hat{J}_{\text{NC}}^{\delta\alpha}(p', -q) D_{\delta\sigma}^\Delta(q_\gamma - p) J_{\text{EM}}^{\sigma\mu}(p', -q_\gamma)$$

$$J_{\text{NC}}^{\beta\mu}(p, q) = \left[ \frac{\tilde{C}_3^V(q^2)}{M} (g^{\beta\mu} \not{q} - q^\beta \gamma^\mu) + \frac{\tilde{C}_4^V(q^2)}{M^2} (g^{\beta\mu} q \cdot p_\Delta - q^\beta p_\Delta^\mu) + \frac{\tilde{C}_5^V(q^2)}{M^2} (g^{\beta\mu} q \cdot p - q^\beta p^\mu) \right] \gamma_5$$

$$+ \frac{\tilde{C}_3^A(q^2)}{M} (g^{\beta\mu} \not{q} - q^\beta \gamma^\mu) + \frac{\tilde{C}_4^A(q^2)}{M^2} (g^{\beta\mu} q \cdot p_\Delta - q^\beta p_\Delta^\mu) + \tilde{C}_5^A(q^2) g^{\beta\mu}$$

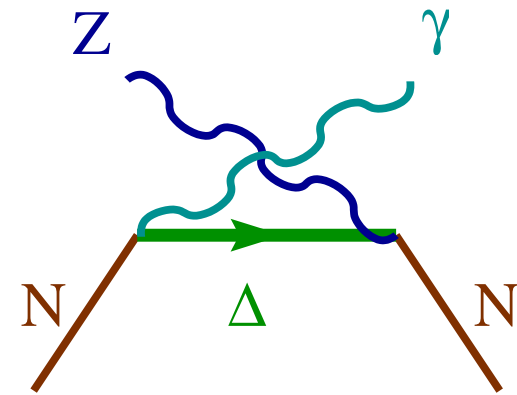
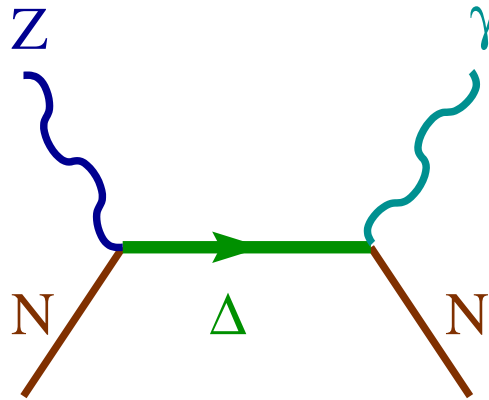
$$J_{\text{EM}}^{\beta\mu}(p, q_\gamma) = \left[ \frac{C_3^{(p,n)}(0)}{M} (g^{\beta\mu} \not{q}_\gamma - q_\gamma^\beta \gamma^\mu) + \frac{C_4^{(p,n)}(0)}{M^2} (g^{\beta\mu} q_\gamma \cdot p_\Delta - q_\gamma^\beta p_\Delta^\mu) + \frac{C_5^{(p,n)}(0)}{M^2} (g^{\beta\mu} q_\gamma \cdot p - q_\gamma^\beta p^\mu) \right] \gamma_5$$

$$\tilde{C}_i^V = -(1 - 2 \sin^2 \theta_W) C_i^V \quad C_i^{(p,n)} = -C_i^V$$

$$\tilde{C}_i^A = -C_i^A$$

# The model

- $\Delta(1232)$  pole terms:



- **N- $\Delta$  Vector** form factors  $C_i^V$  can be obtained from **helicity amplitudes** extracted from  $\pi$  photo- and electro-production

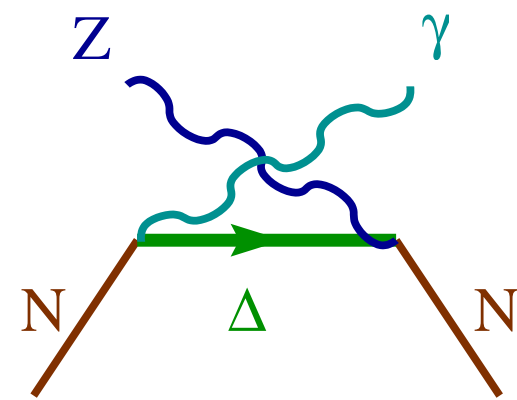
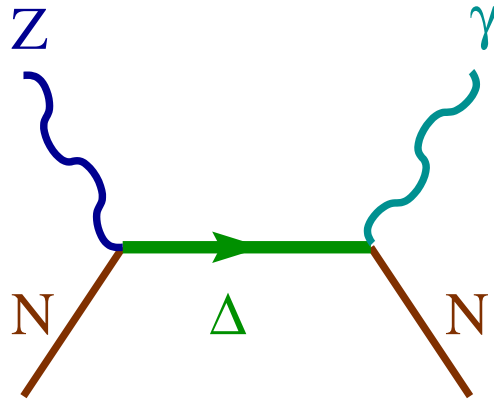
$$A_{1/2} = \sqrt{\frac{2\pi\alpha}{k_R}} \langle R, J_z = 1/2 | \epsilon_\mu^+ J_{\text{EM}}^\mu | N, J_z = -1/2 \rangle \zeta$$

$$A_{3/2} = \sqrt{\frac{2\pi\alpha}{k_R}} \langle R, J_z = 3/2 | \epsilon_\mu^+ J_{\text{EM}}^\mu | N, J_z = 1/2 \rangle \zeta$$

$$S_{1/2} = -\sqrt{\frac{2\pi\alpha}{k_R}} \frac{|\mathbf{q}|}{\sqrt{Q^2}} \langle R, J_z = 1/2 | \epsilon_\mu^0 J_{\text{EM}}^\mu | N, J_z = 1/2 \rangle \zeta$$

# The model

- $\Delta(1232)$  pole terms:



- N- $\Delta$  Vector form factors  $C_i^V$  can be obtained from helicity amplitudes extracted from  $\pi$  photo- and electro-production

- Here we have adopted: Lalakulich, Paschos, PRD 71 (2005)

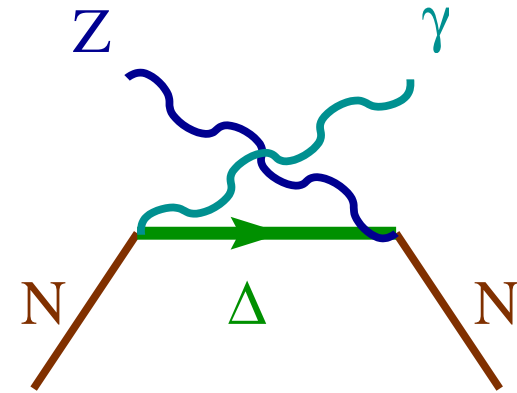
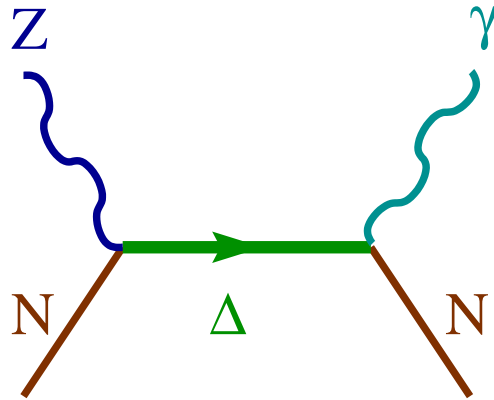
$$C_3^V = 2.13 \left(1 - \frac{q^2}{4m_V^2}\right)^{-1} D_V(q^2) \quad D_V = \left(1 - \frac{q^2}{m_V^2}\right)^{-2}$$

$$C_4^V = -1.51 \left(1 - \frac{q^2}{4m_V^2}\right)^{-1} D_V(q^2) \quad m_V = 0.8 \text{ GeV}$$

$$C_5^V = 0.48 \left(1 - \frac{q^2}{0.776m_V^2}\right)^{-1} D_V(q^2)$$

# The model

- $\Delta(1232)$  pole terms:



- N- $\Delta$  Axial form factors  $C_i^A$

$$C_4^A = -\frac{1}{4}C_5^A \quad C_3^A = 0 \leftarrow \text{Adler model}$$

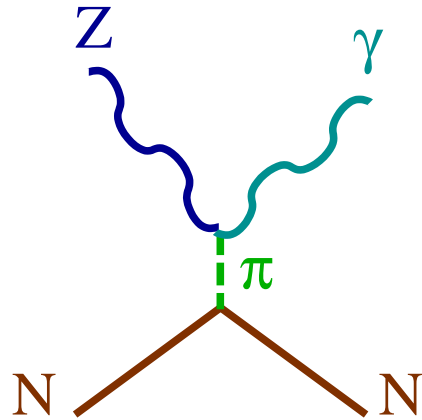
$$C_5^A = C_5^A(0) \left( 1 + \frac{Q^2}{M_{A\Delta}^2} \right)^{-2}$$

- $C_5^A(0) = 1.00 \pm 0.11 \text{ GeV}$ ,  $M_{A\Delta} = 0.93 \pm 0.07 \text{ GeV}$

Hernandez et al., PRD 81 (2010)

# The model

- $\pi$  pole term:



- from the **anomalous** part of the Lagrangian

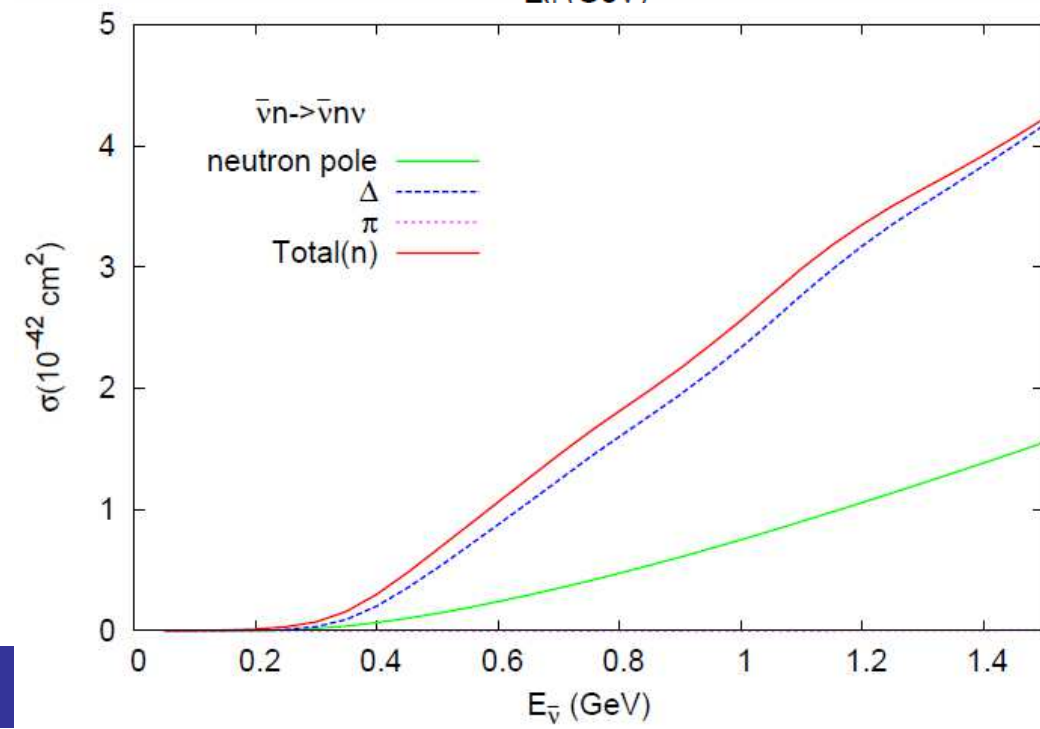
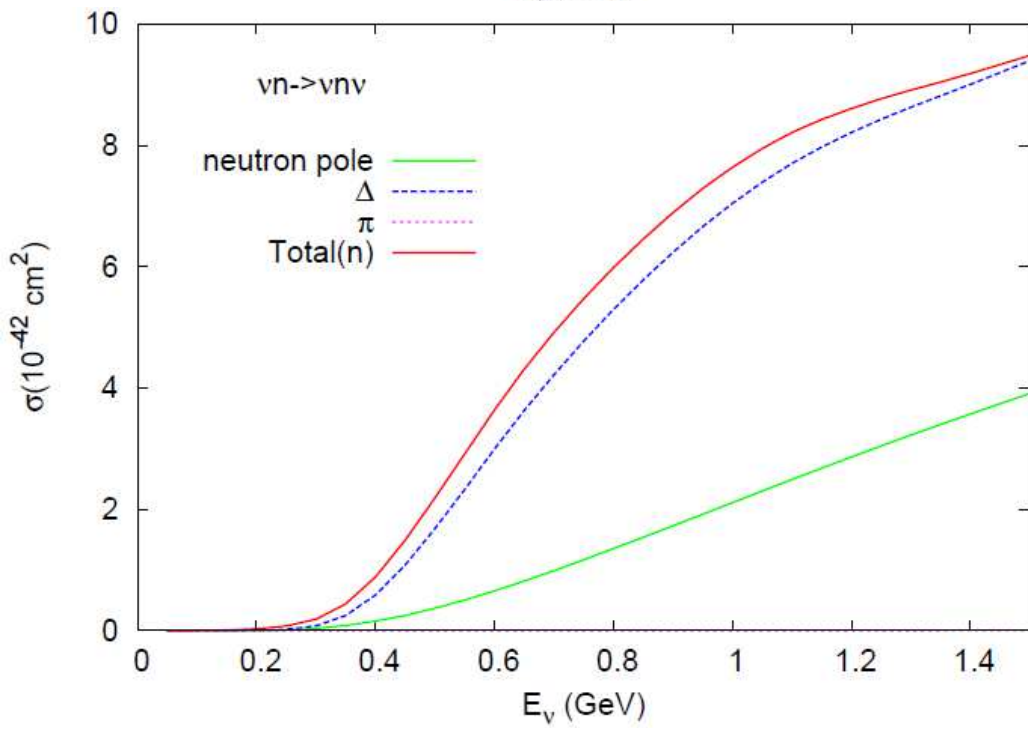
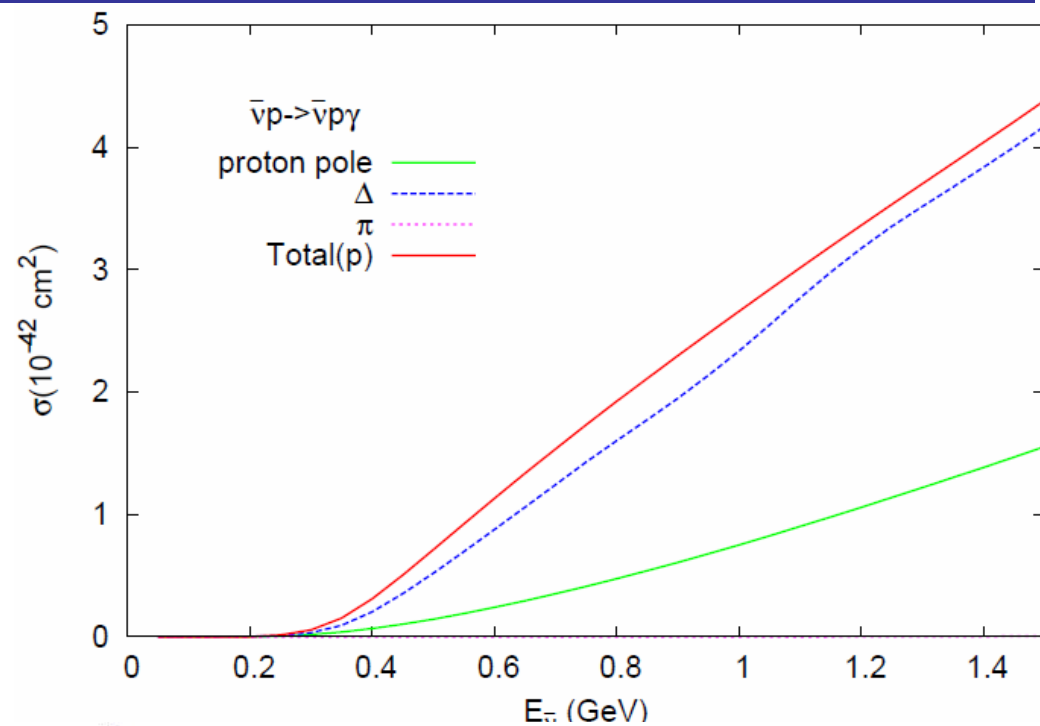
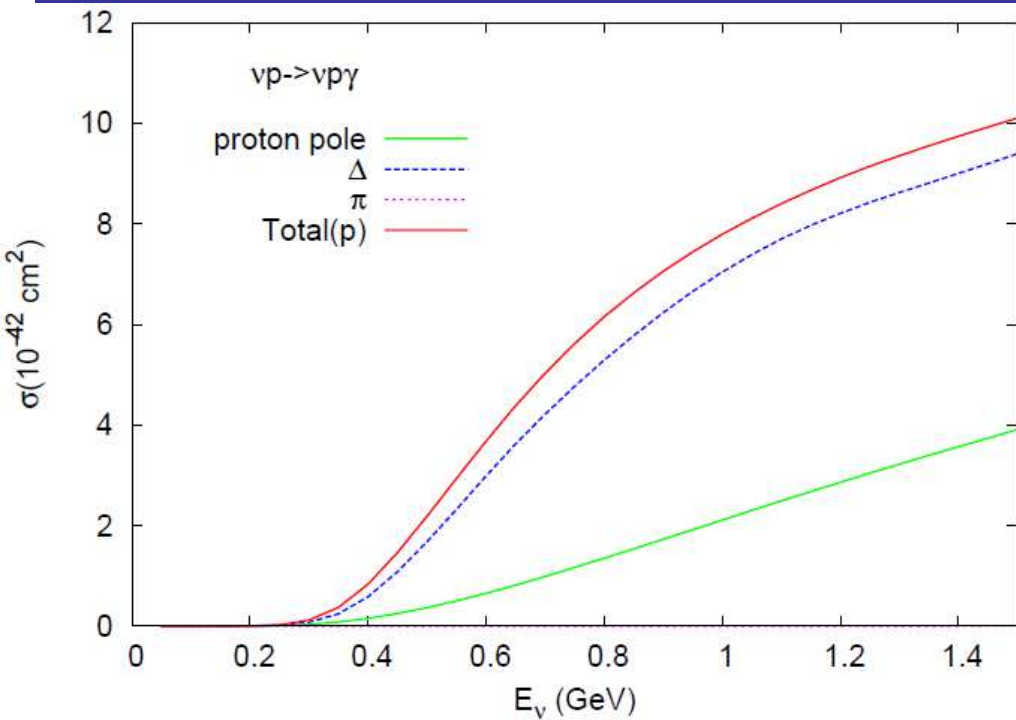
$$\Gamma^{\mu\alpha} = -i c_{p,n} \frac{g_A m_N}{4\pi^2 f_\pi^2} \left( \frac{1}{2} - 2 \sin^2 \theta_W \right) \epsilon^{\sigma\delta\mu\alpha} q_{\gamma\sigma} q_{\delta} \gamma_5 D_\pi(p' - p) F_\pi(p' - p)$$

$$D_\pi(p) = \frac{1}{p^2 - m_\pi^2} \quad \leftarrow \pi \text{ propagator}$$

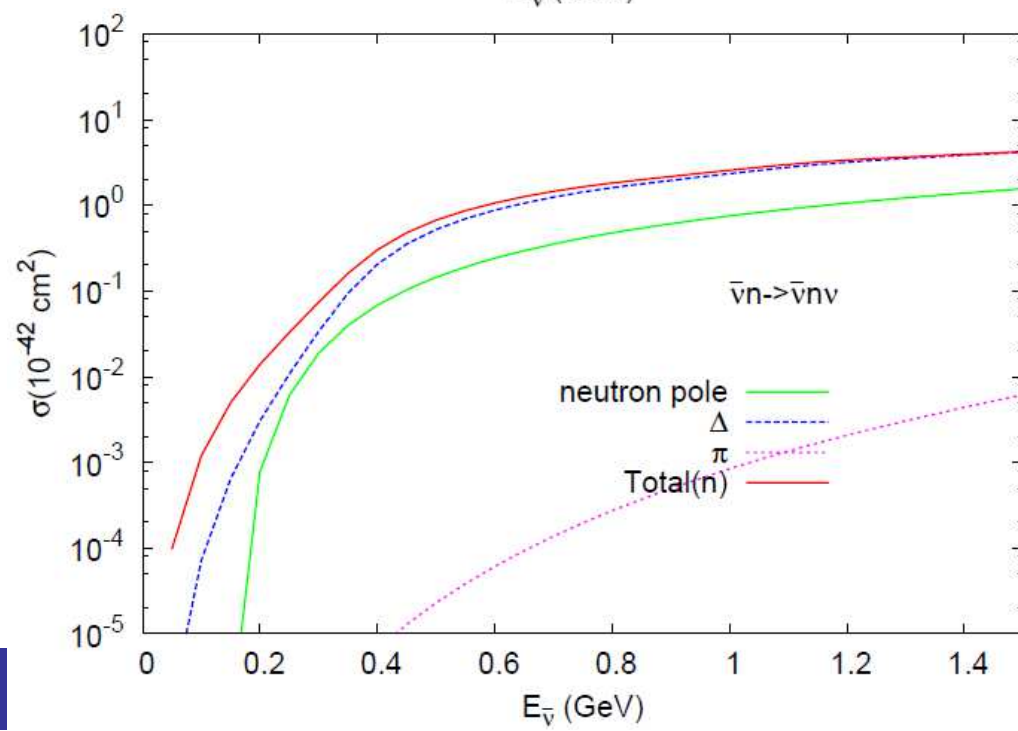
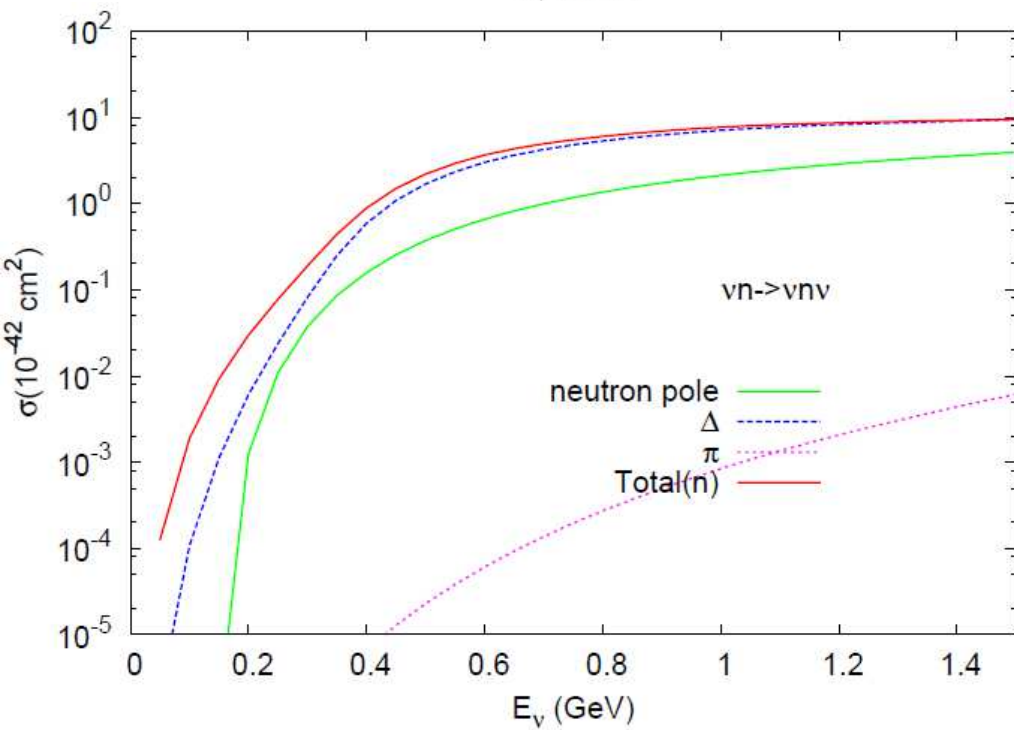
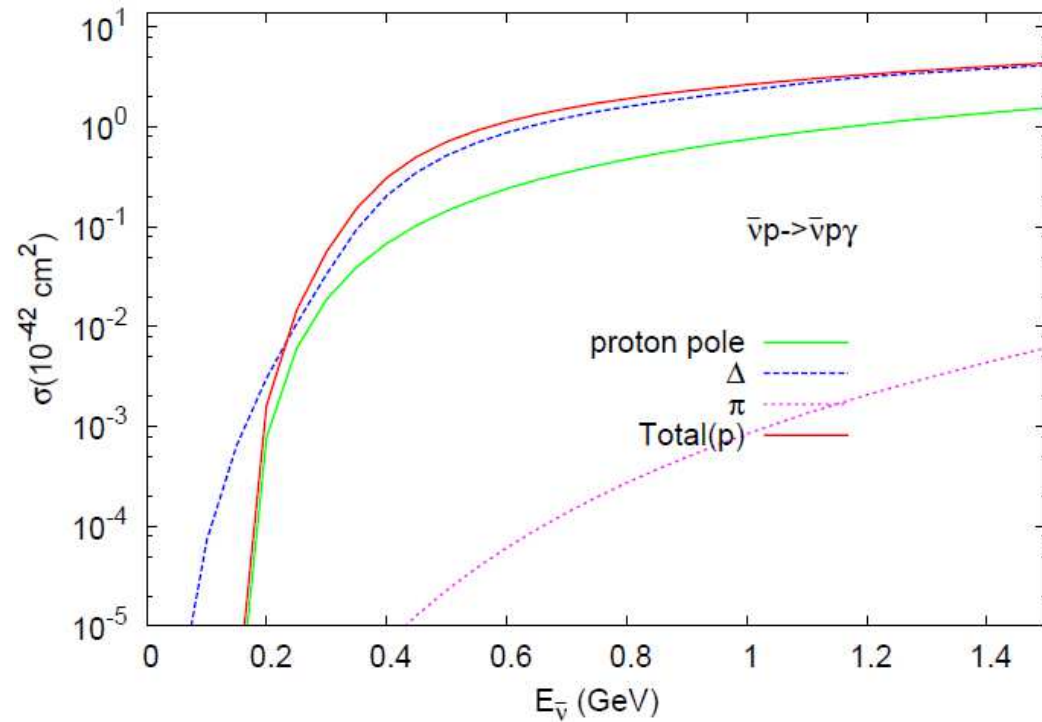
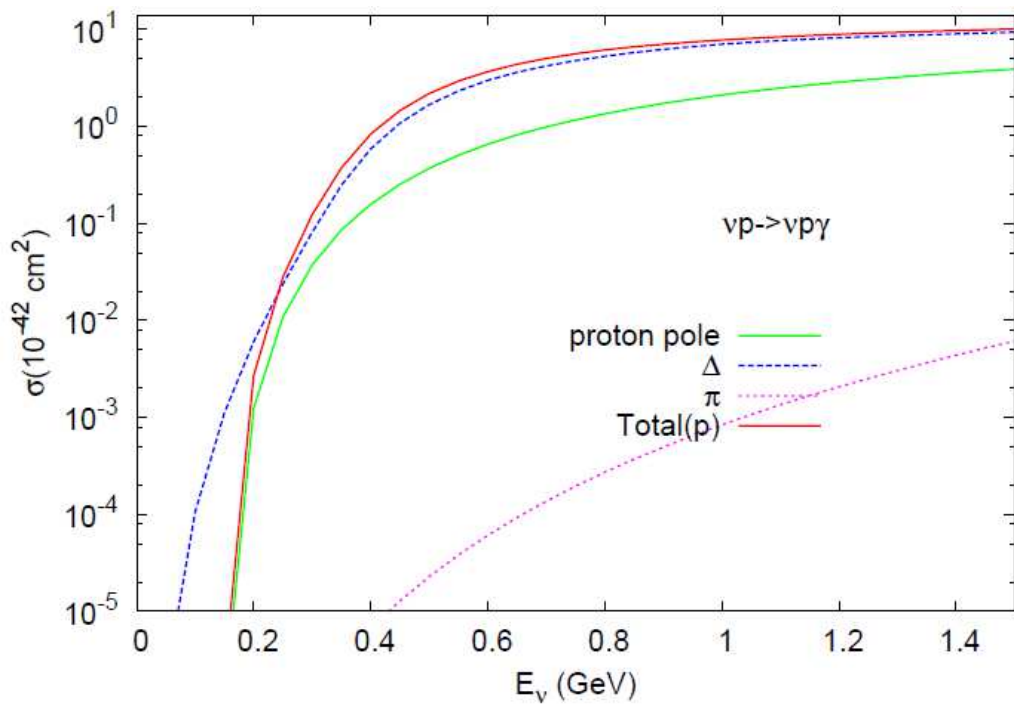
$$F_\pi(p) = \frac{\Lambda^2 - m_\pi^2}{\Lambda^2 - p^2} \quad \Lambda = 1.2 \text{ GeV} \quad \leftarrow \text{off-shell form factor}$$

$$c_{p,n} = \pm 1$$

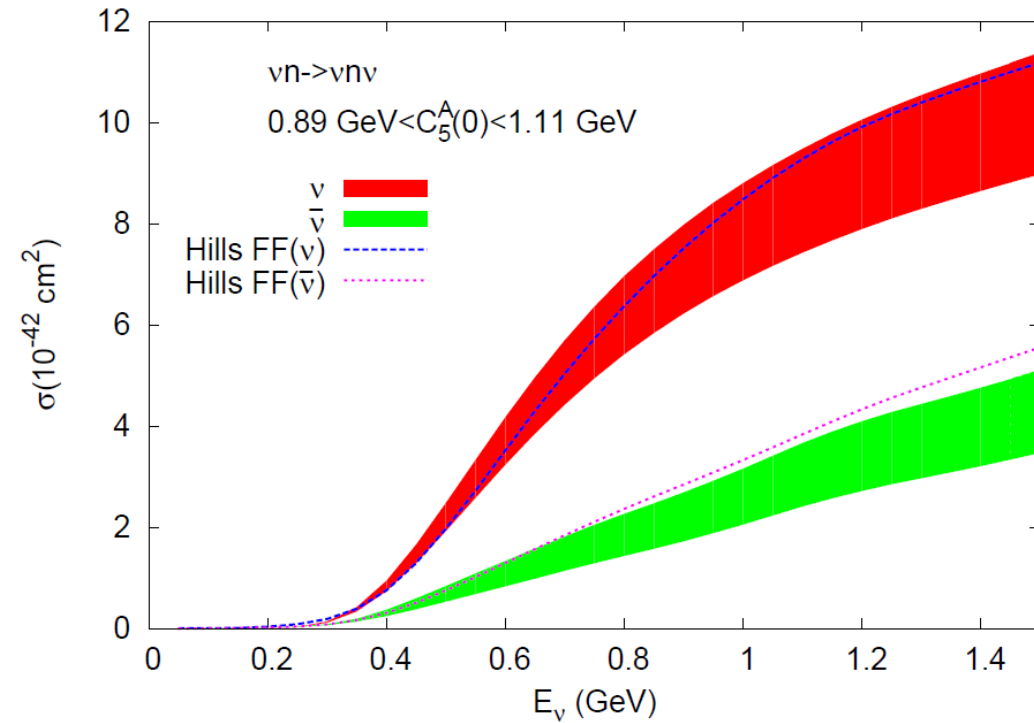
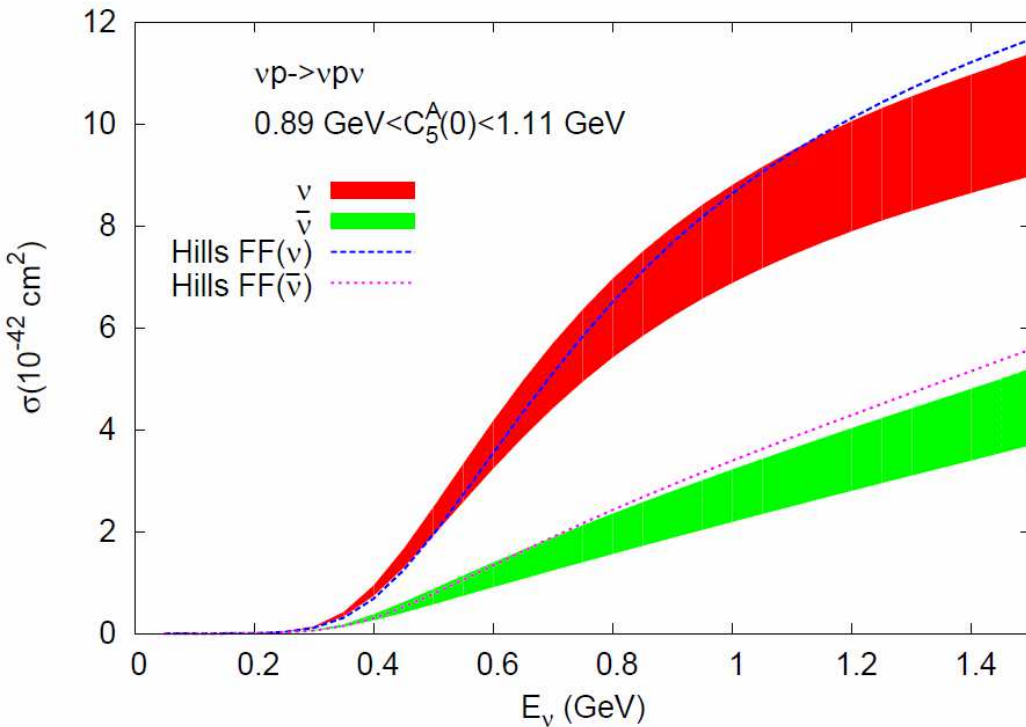
# Results



# Results



# Results



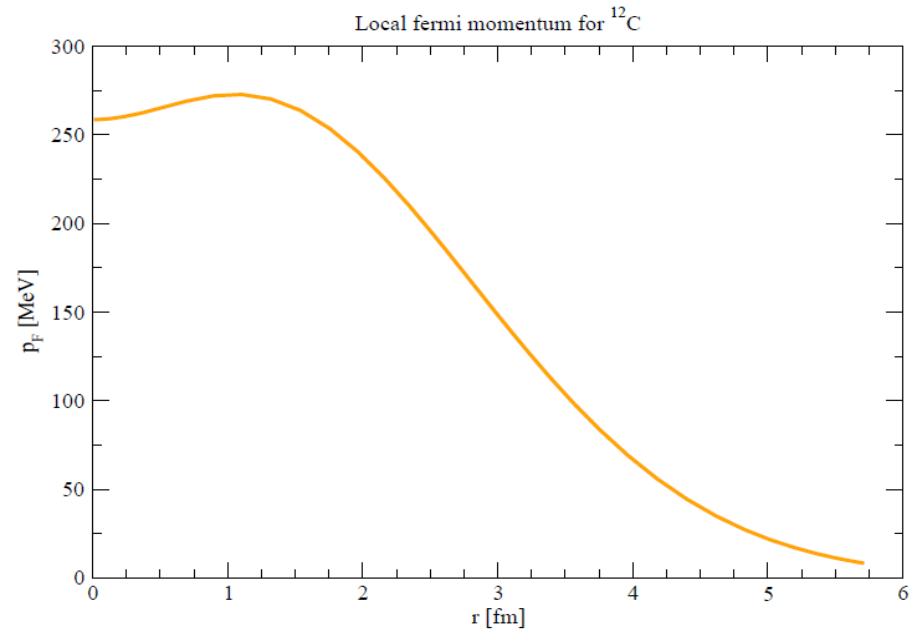
- **Error band:**  $C_5^A(0) = 1.00 \pm 0.11$  GeV Hernandez et al., PRD 81 (2010)
- **Main differences with R. Hill, PRD 81 (2010)**
  - $C_5^A(0) = 1.00 \pm 0.11$  GeV vs 1.2
  - Energy dependent  $\Gamma_\Delta$  vs  $\Gamma_\Delta = \text{const} = 120$  MeV
  - For **nucleon pole** diags.:  $M_A = 1$  vs 1.2 GeV



# Nuclear effects

## ■ Relativistic Local Fermi Gas

$$p_F(r) = \left[ \frac{3}{2} \pi^2 \rho(r) \right]^{1/3}$$



- Fermi motion  $f(\vec{r}, \vec{p}) = \Theta(p_F(r) - |\vec{p}|)$
- Pauli blocking  $P_{\text{Pauli}} = 1 - \Theta(p_F(r) - |\vec{p}|)$
- Free nucleons but with space-momentum correlations **absent** in the GFG

# Nuclear effects

- In-medium modification of the  $\Delta(1232)$  resonance

- In 
$$\frac{1}{p^2 - m_\Delta^2 + im_\Delta\Gamma_\Delta(p^2)}$$

replace  $M_\Delta \rightarrow M_\Delta + \text{Re}\Sigma_\Delta(\rho)$

$$\frac{\Gamma_\Delta}{2} \rightarrow \frac{\tilde{\Gamma}_\Delta(\rho)}{2} - \text{Im}\Sigma_\Delta(\rho)$$

$\tilde{\Gamma}_\Delta \leftarrow$  Free width  $\Delta \rightarrow N\pi$  modified by Pauli blocking

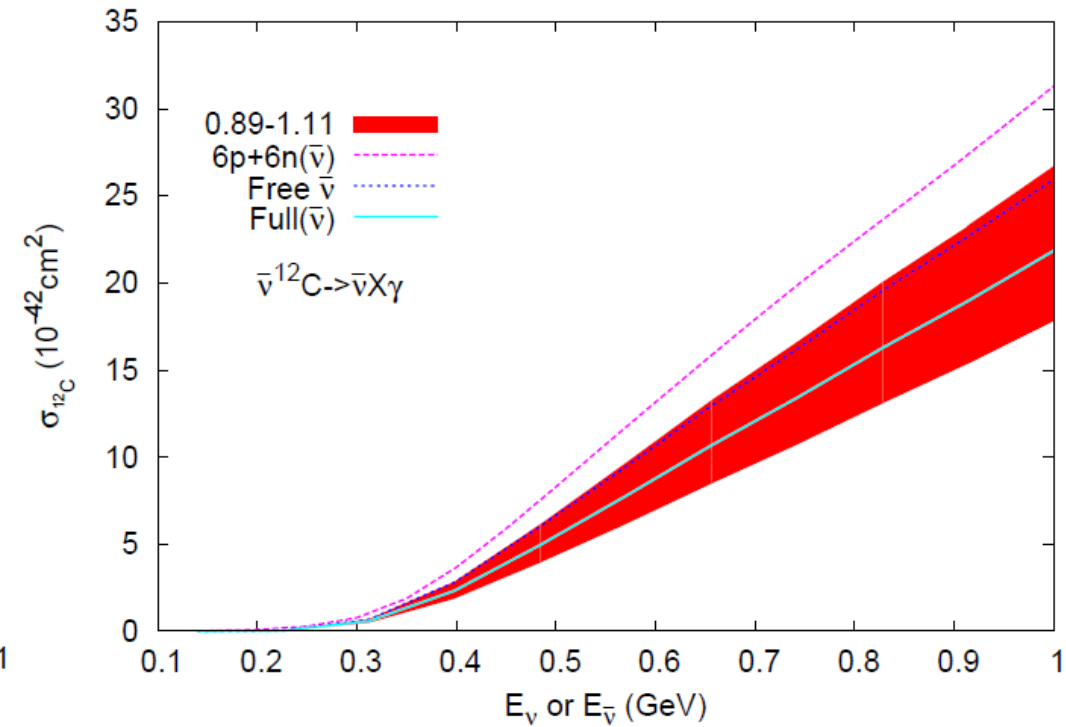
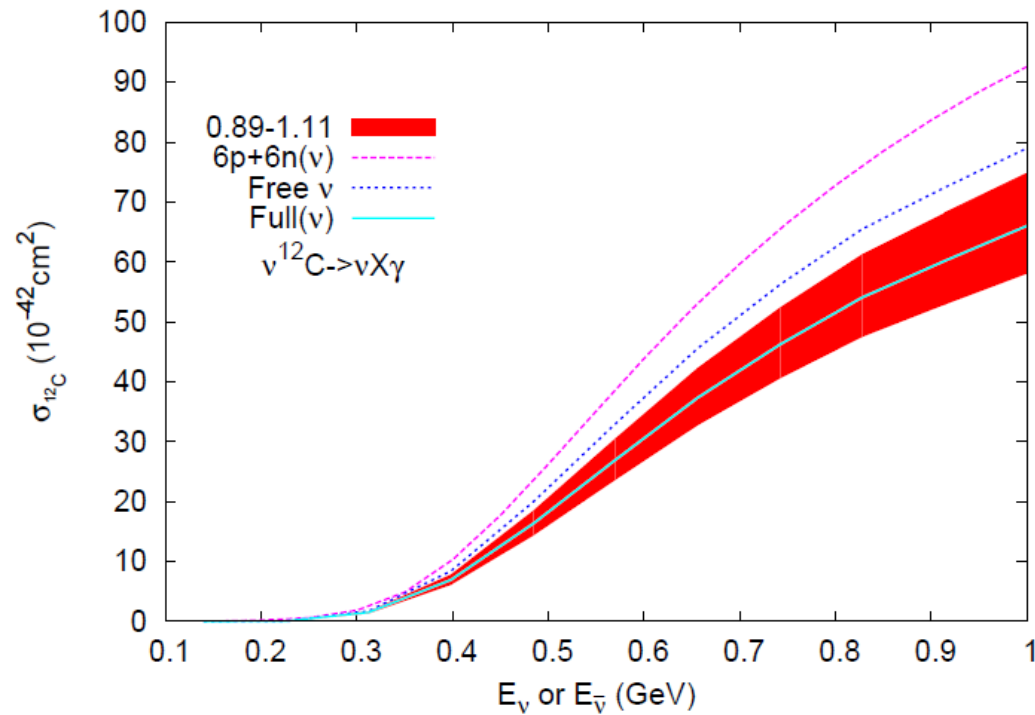
$$\text{Re}\Sigma_\Delta(\rho) \approx 0$$

$\text{Im}\Sigma_\Delta(\rho) \leftarrow$  many-body processes:

- $\Delta N \rightarrow NN$
- $\Delta N \rightarrow NN\pi$
- $\Delta NN \rightarrow NNN$

# Results

## ■ Integrated cross sections

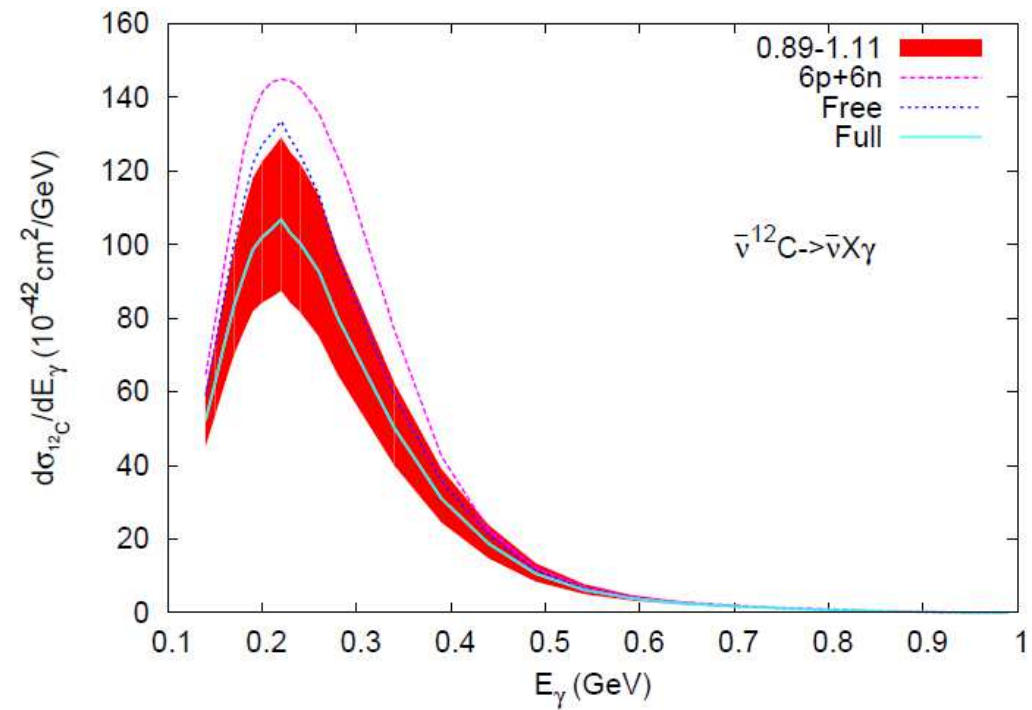
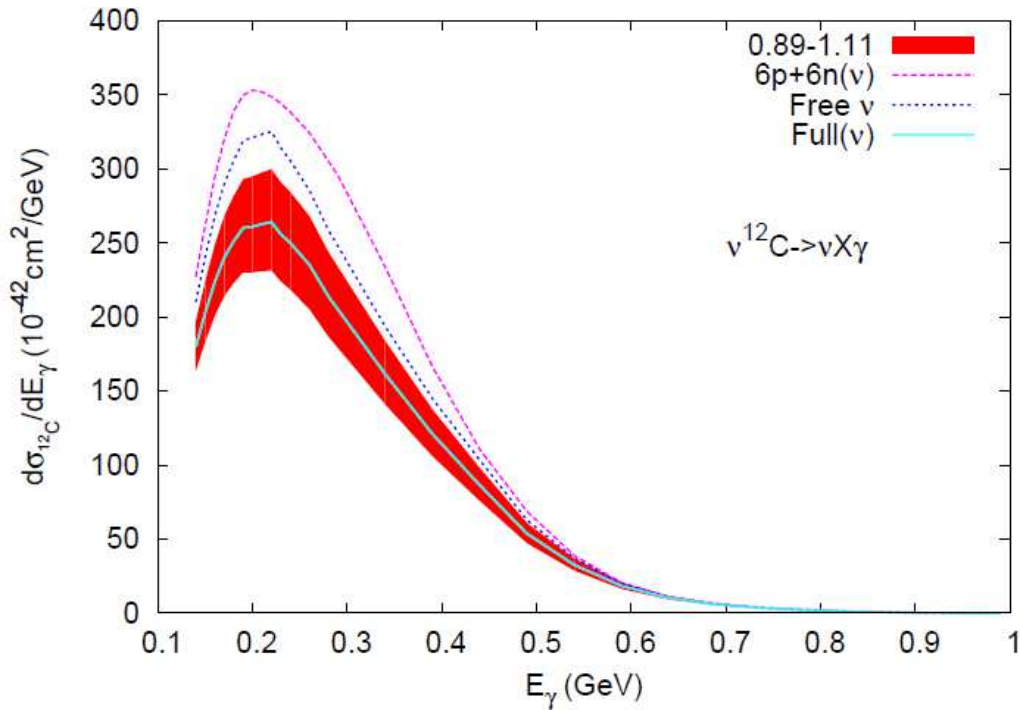


■ Considerable **reduction** caused by nuclear effects ( $\sim 30\%$ )

■ In line with the results of [Zhang, Serot, arXiv:1210.3610](#)

# Results

## Photon momentum distributions



Considerable **reduction** caused by **nuclear effects**

# $\nu_e$ energy reconstruction

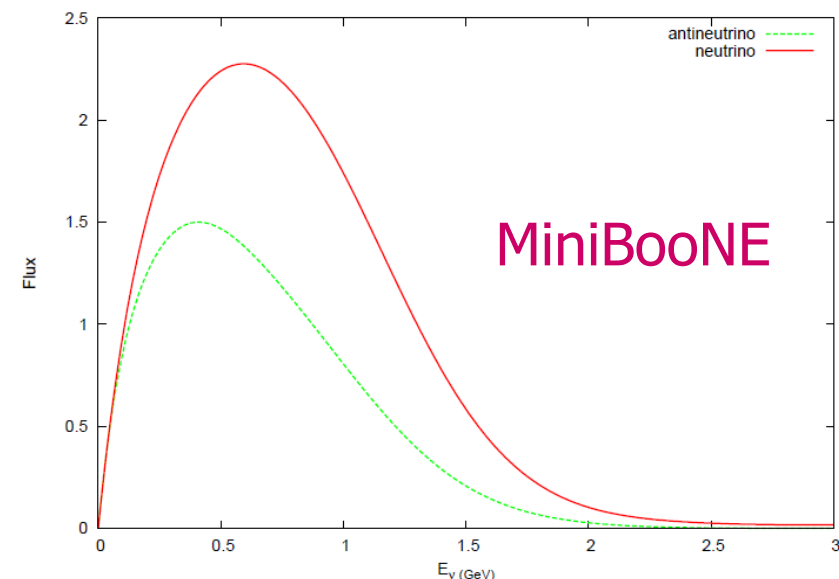
- If the **photon** from  $\nu(\bar{\nu}) A \rightarrow \nu(\bar{\nu}) \gamma X$  is **misidentified** as a CCQE  $e^\pm$  with **energy**

$$E_\nu^{\text{rec}} = \frac{2m_n E_\gamma - m_e^2 - m_n^2 + m_p^2}{2(m_n - E_\gamma + p_\gamma \cos \theta_\gamma)} \approx \frac{m_N E_\gamma}{m_N - E_\gamma(1 - \cos \theta_\gamma)}$$

- **Reconstructed-energy** distributions

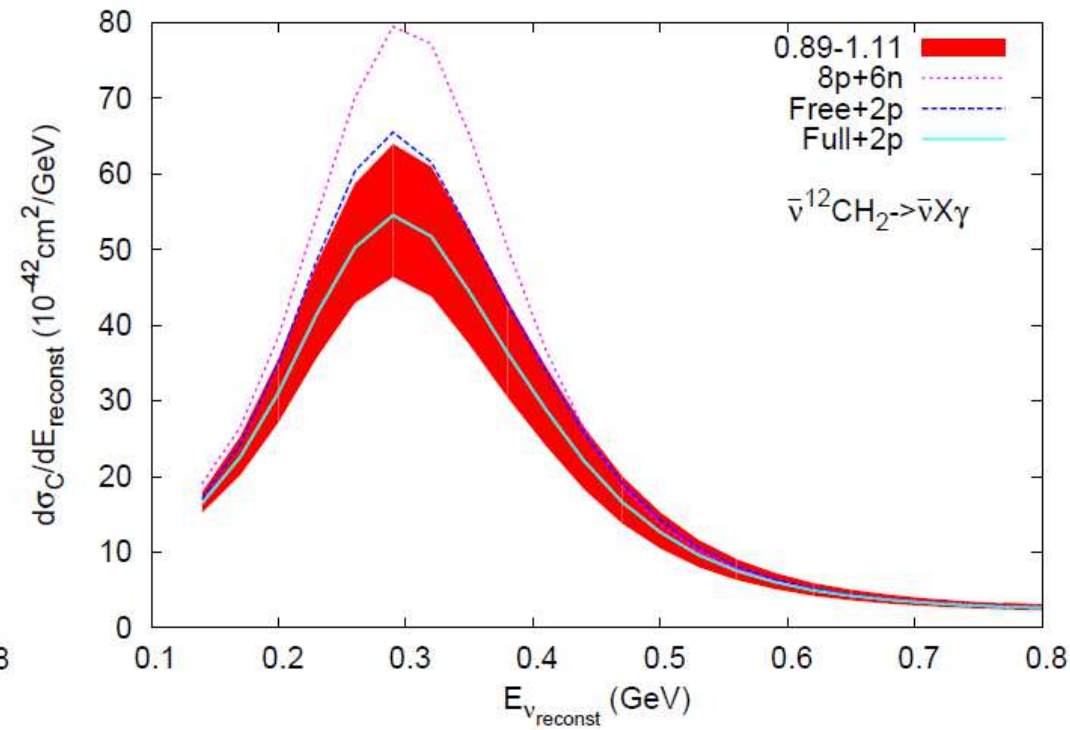
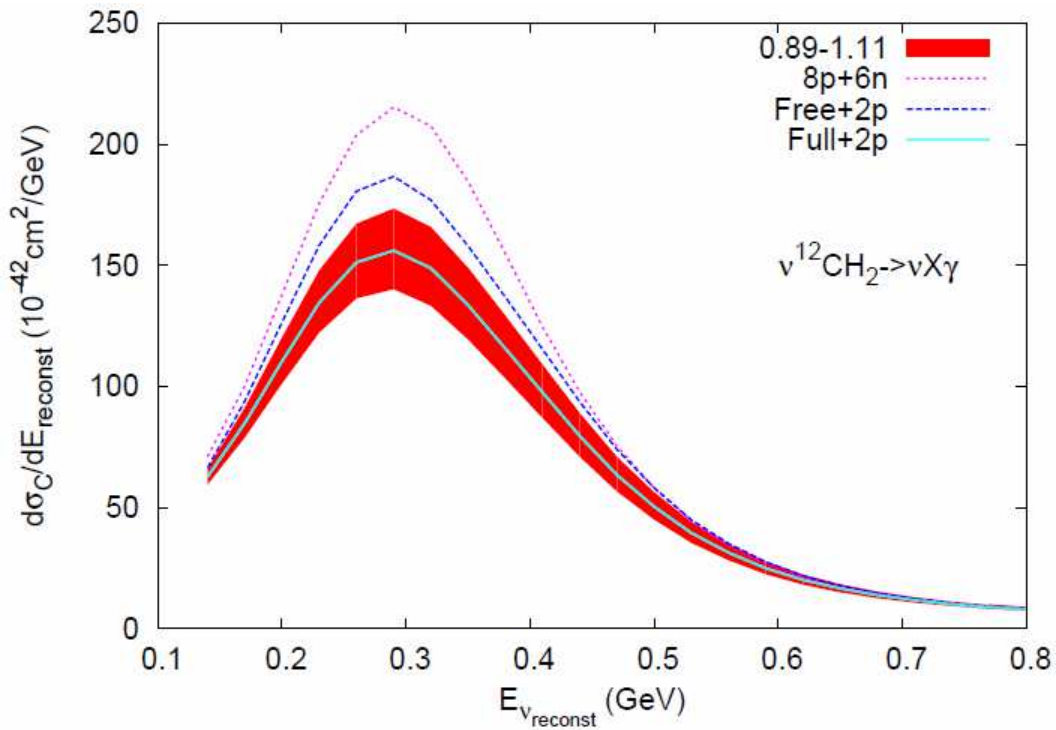
$$\frac{d\sigma}{dE_\nu^{\text{rec}}} = \int d\Omega_\gamma dE_\gamma \left\langle \frac{d\sigma}{d\Omega_\gamma dE_\gamma} \right\rangle \delta \left( E_\nu^{\text{rec}} - \frac{m_N E_\gamma}{m_N - E_\gamma(1 - \cos \theta_\gamma)} \right)$$

$$\left\langle \frac{d\sigma}{d\Omega_\gamma dE_\gamma} \right\rangle \leftarrow \text{averaged over the } \nu \text{ flux}$$



# $\nu_e$ energy reconstruction

## Reconstructed-energy distributions



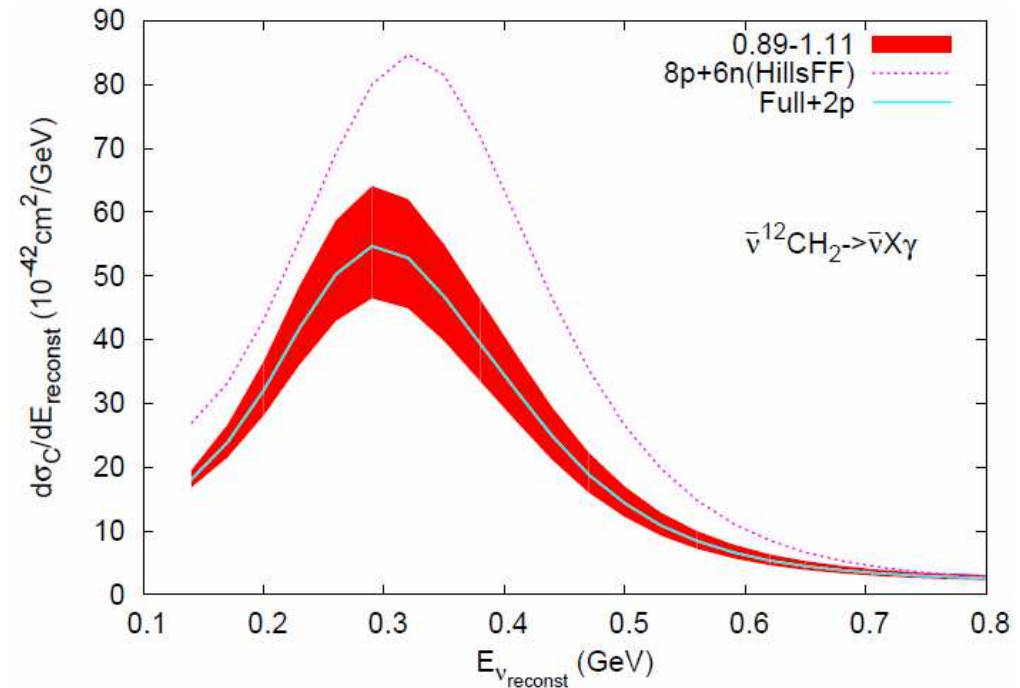
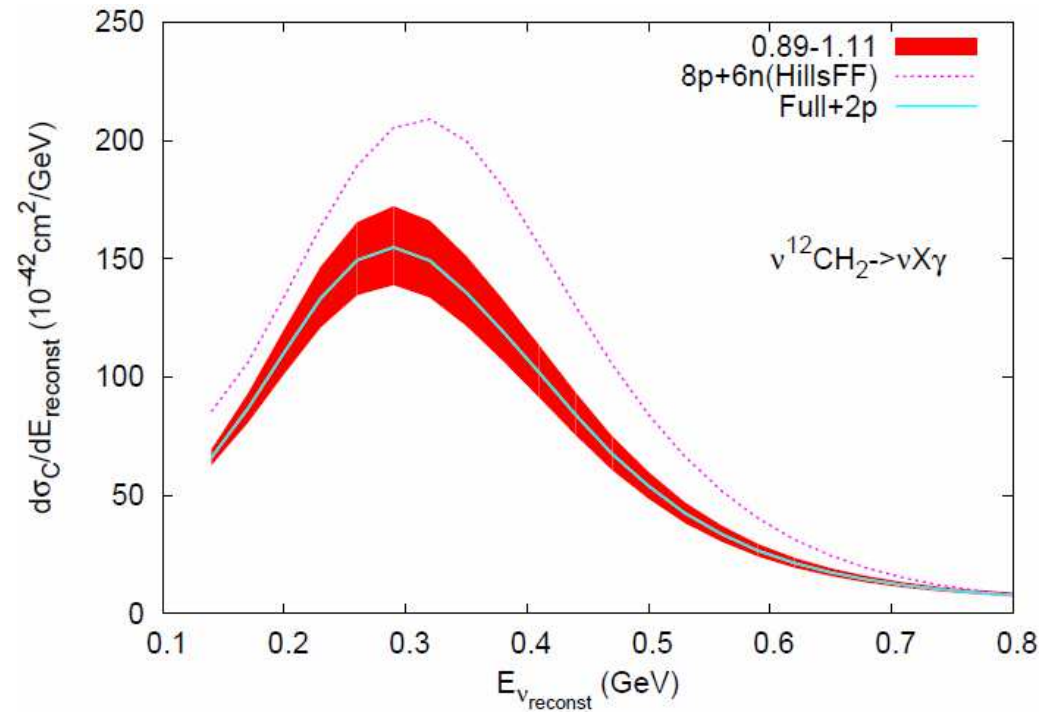
## Peak $\sim$ max of the event excess

(like in [R. Hill, PRD 84 \(2011\)](#) and [Zhang, Serot, arXiv:1210.3610](#))

## At the peak: $\sim 30\%$ reduction from nuclear effects

# $\nu_e$ energy reconstruction

## Reconstructed-energy distributions



- Considerably smaller distributions than those that according to R. Hill, PRD 84 (2011) explain the excess of e-like events
- Results probably consistent with MiniBooNE's estimate

# Conclusions

- We have studied **photon** emission induced by **NC** interactions with **nucleons** and **nuclei** in the energy region relevant for the **MiniBooNE event excess**
- Reaction dominated by  **$\Delta(1232)$**  excitation
- **Theoretical error** dominated by **N- $\Delta$**  axial transition properties
- Large ( **$\sim 30\%$** ) reduction on the cross section due to **nuclear effects**
- **Smaller** peaks in the reconstructed-energy spectra vs **R. Hill, PRD 84 (2011)**
- Results **probably** consistent with **MiniBooNE's** estimate (in line with **Zhang, Serot, arXiv:1210.3610**) but a **direct comparison** to **e-like** events is needed