

# COMMENTS ON CP- VIOLATION IN CHARM

JURE ZUPAN  
U. OF CINCINNATI

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# OUTLINE

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- the aim of this talk:
- direct CP violation in charm decays
  - for indirect CPV see talk by A. Kagan on Thursday
  - how large can it be in the SM
  - how to know if NP



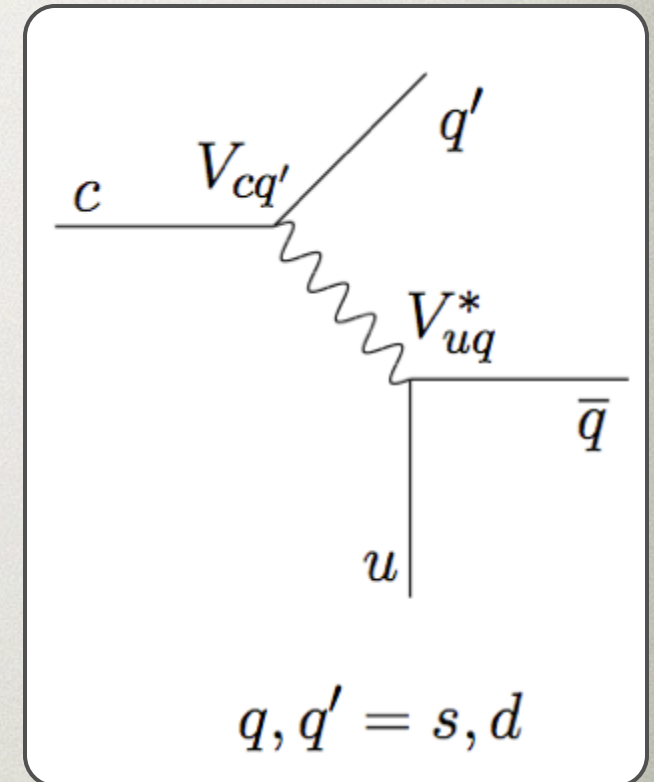
# SETTING UP THE STAGE

- three classes of  $D$  decays
  - Cabibbo allowed
    - example:  $D^0 \rightarrow K^- \pi^+$ 

$$A_T \sim V_{cs} V_{ud} \sim 1$$
  - singly Cabibbo suppressed (SCS)
    - example:  $D^0 \rightarrow K^- K^+, D^0 \rightarrow \pi^- \pi^+$ 

$$A_T \sim V_{cd} V_{ud}, V_{cs} V_{us} \sim \lambda$$
  - doubly Cabibbo suppressed
    - example:  $D^0 \rightarrow \pi^- K^+$ 

$$A_T \sim V_{cd} V_{us} \sim \lambda^2$$



# DIRECT CPV

- focus on SCS  $D$  decays in the SM

$$A_f(D \rightarrow f) = A_f^T [1 + r_f e^{i(\delta_f - \gamma)}],$$

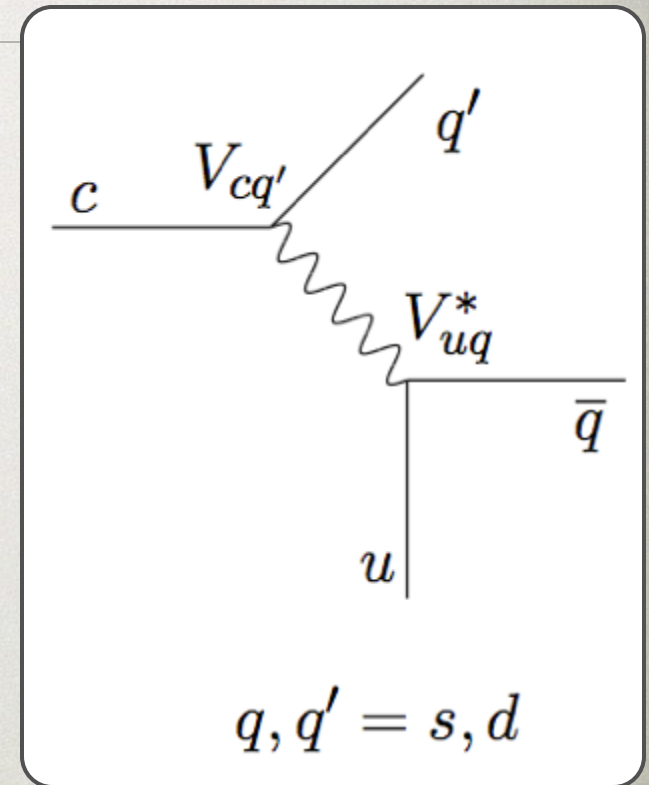
$$\bar{A}_{\bar{f}}(\bar{D} \rightarrow \bar{f}) = A_f^T [1 + r_f e^{i(\delta_f + \gamma)}],$$

- $A_f^T$  - tree ampl.,  $r_f$  - relative “penguin” contrib.,  $\delta_f$  - strong phase
- direct CP asymmetry

$$\mathcal{A}_f^{\text{dir}} \equiv \frac{|A_f|^2 - |\bar{A}_{\bar{f}}|^2}{|A_f|^2 + |\bar{A}_{\bar{f}}|^2} = 2r_f \sin \gamma \sin \delta_f$$

- $\sin \gamma \sim 0.9$ , so for  $\delta_f \sim O(1)$

$$\mathcal{A}_f^{\text{dir}} \sim 2r_f$$





# CP VIOLATION IN CHARM

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- in charm physics the first 2 gen. dominate
  - $\Rightarrow$  CP conserving to a good approximation in the SM
- CPV is parametrically suppressed
  - in mixing it enters as  $\mathcal{O}(V_{cb}V_{ub}/V_{cs}V_{us}) \sim 10^{-3}$
  - direct CPV in SCS as  $\mathcal{O}([V_{cb}V_{ub}/V_{cs}V_{us}]\alpha_s/\pi) \sim 10^{-4}$
- is it possible that it is significantly larger?

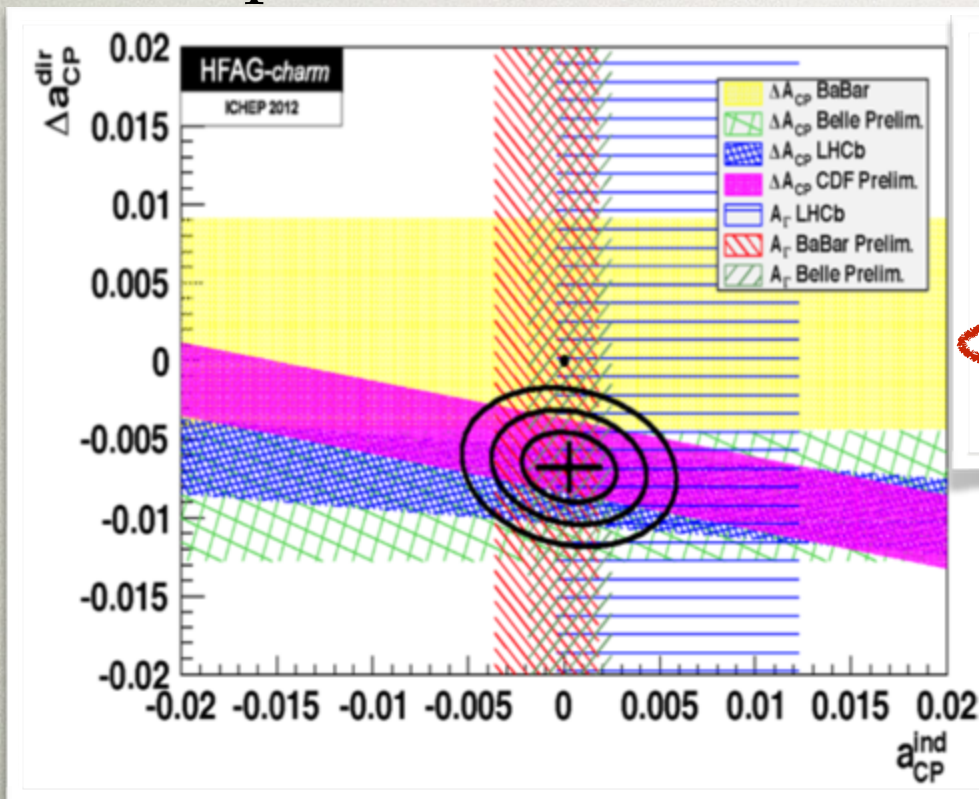


# THE PROBLEM

- lots of excitement caused by

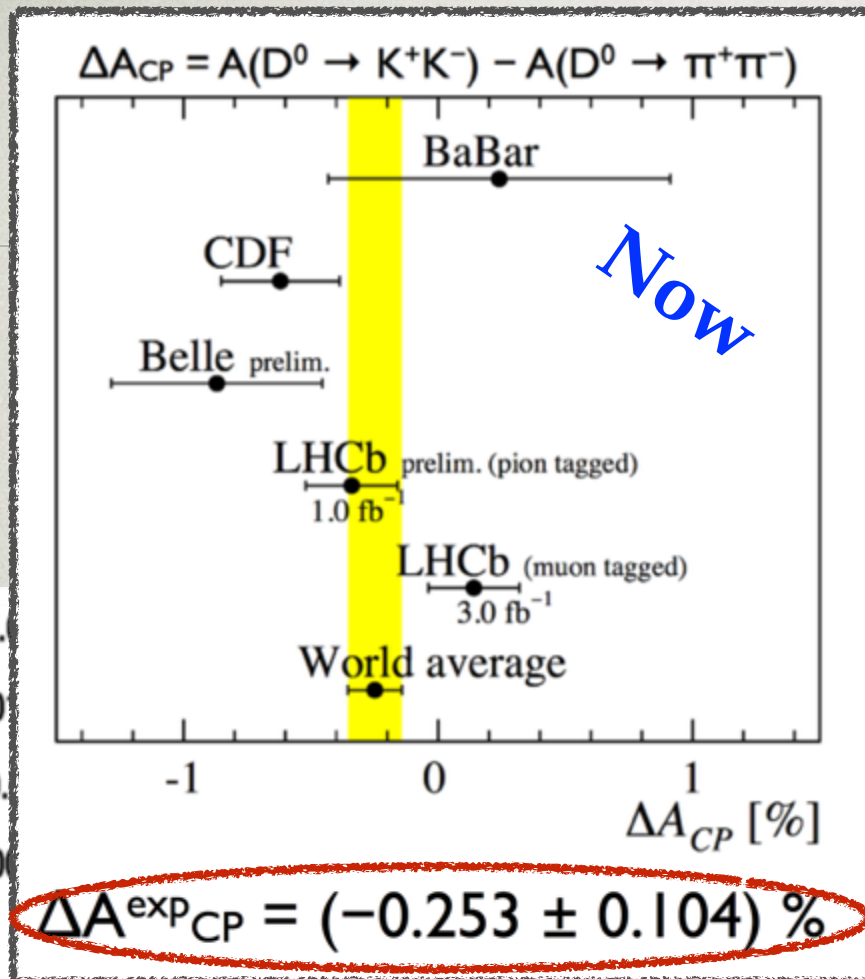
$$\Delta A_{CP} = A_{CP}(D \rightarrow K^+ K^-) - A_{CP}(D \rightarrow \pi^+ \pi^-)$$

- experimental situation two years ago



**HFAG ICHEP 2012**  
**with Belle preliminary,**  
**No CPV hypothesis :  $1.98 \times 10^{-5}$**   
 $\Delta a_{CP}^{dir} = (-0.678 \pm 0.147)\%$   
 $a_{CP}^{ind} = (-0.027 \pm 0.163)\%$





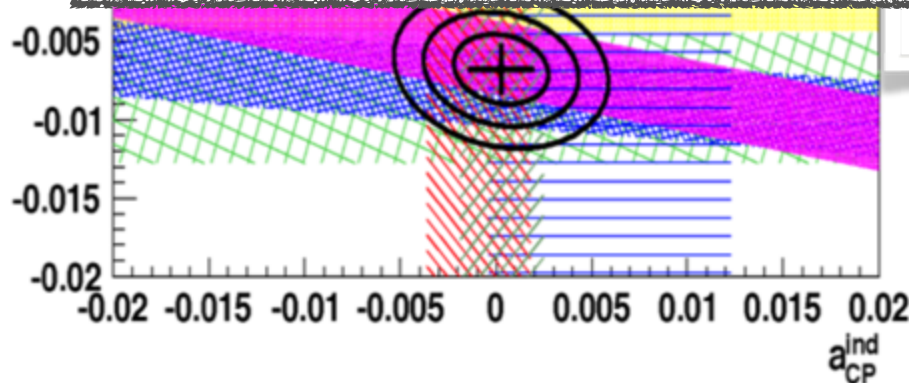
**BLEM**

by

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# THE LESSONS

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- the experimental anomaly went away
- still we have learned something
  - relatively easy to write down models to explain NP in charm at present precision
  - slight enhancement of penguins in SM could explain the effect
  - in the future: to be sure we are seeing NP need better observables



# NP AND ISOSPIN

- the isospin of SM contributions
  - tree  $\sim(\bar{d}c)(\bar{u}d)$ , so both  $\Delta I=3/2$  and  $\Delta I=1/2$  components
  - penguins  $\sim(\bar{u}c)(\bar{q}q)$  so purely  $\Delta I=1/2$
- NP models can be grouped in two sets
  - if they contribute only to  $\Delta I=1/2$ 
    - an example: LR contribs. to  $Q_{8g}$  from MSSM
  - models that also have  $\Delta I=3/2$  contributions
    - an example: single scalar explains  $A_{FB}(t \bar{t})$ , but also  $\Delta A_{CP}$  from annih. op.  $(\bar{u}c)(\bar{u}u)$

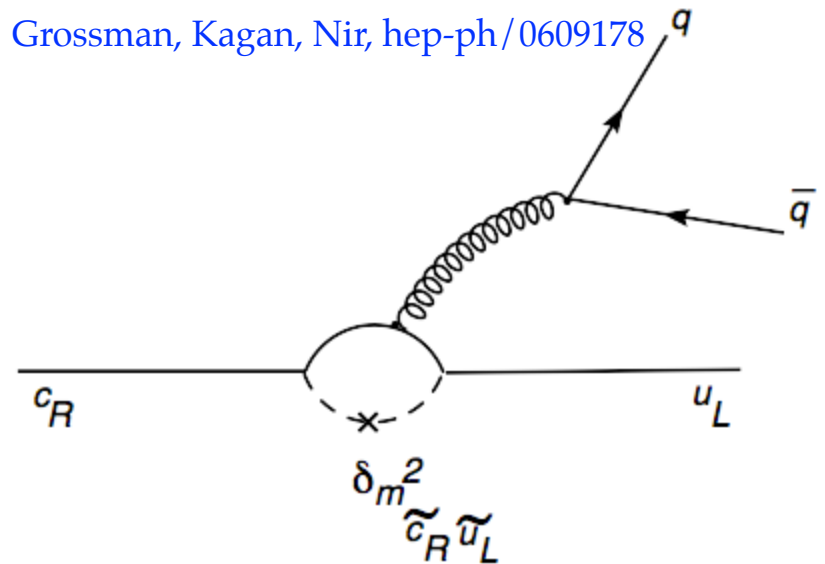
Grossman, Kagan, Nir, hep-ph/0609178;  
Giudice, Isidori, Paradisi, 1201.6204, ...

Hochberg, Nir, 1112.5268, ...



# NP AND IS

Grossman, Kagan, Nir, hep-ph/0609178



- the isospin of SM contribution
  - tree  $\sim (\bar{d}c)(\bar{u}d)$ , so both  $\Delta I=3/2$
  - penguins  $\sim (\bar{u}c)(\bar{q}q)$  so pure  $\Delta I=1/2$

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Grossman, Kagan, Nir, hep-ph/0609178;  
Giudice, Isidori, Paradisi, 1201.6204, ...

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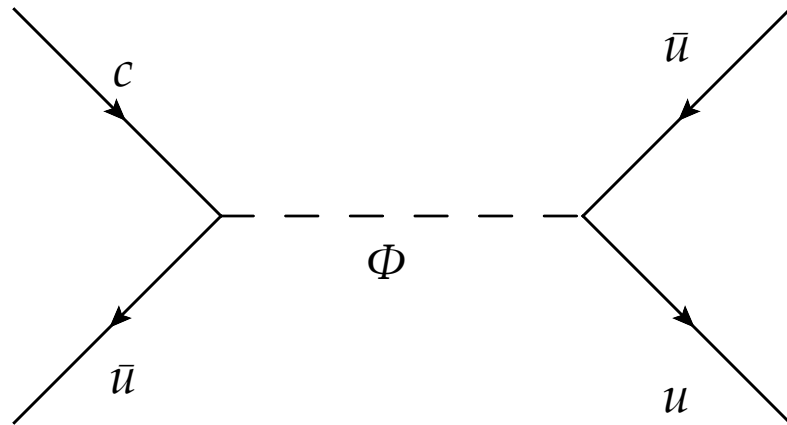
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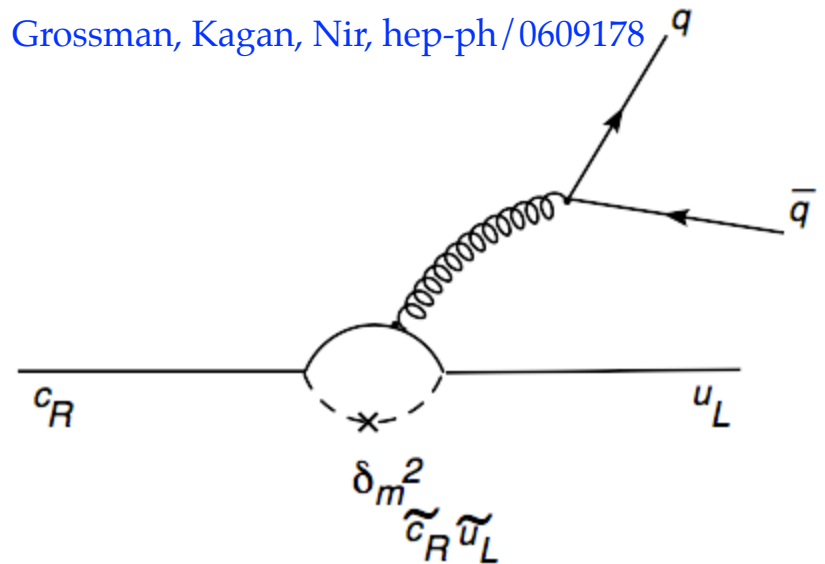
Hochberg, Nir, 1112.5268, ...



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Grossman, Kagan, Nir, hep-ph/0609178



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Hochberg, Nir, 1112.5268, ...



# HOW TO KNOW IF NEW PHYSICS

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- several tests devised
  - if NP due to  $Q_{8g}$  then also in  $Q_7 \Rightarrow$  look for CPV in radiative  $D \rightarrow V\gamma$  decays
  - if  $\Delta I = 3/2$  NP  $\Rightarrow$  use isospin sum rules
  - find consistency in direct CPV using SU(3) expansion



# TESTING FOR NP IN CHROMOMAGNETIC OP.

- chromomag. and electromag. ops mix under RG

Isidori, Kamenik, 1205.3164

$$Q_8 = \frac{m_c}{4\pi^2} \bar{u}_L \sigma_{\mu\nu} T^a g_s G_a^{\mu\nu} c_R$$

$$Q_7 = \frac{m_c}{4\pi^2} \bar{u}_L \sigma_{\mu\nu} Q_u e F^{\mu\nu} c_R$$

- generally NP models that induce  $Q_{8G}$  also induce  $Q_{7\gamma}$
- $Q_{7\gamma}$  with a weak phase can induce direct CPV in  $D \rightarrow \rho\gamma, \omega\gamma$

$$|a_{(\rho,\omega)\gamma}|^{\max} = 0.04(1) \left| \frac{\text{Im}[C_7(m_c)]}{0.4 \times 10^{-2}} \right| \left[ \frac{10^{-5}}{\mathcal{B}(D \rightarrow (\rho, \omega)\gamma)} \right]^{1/2} \lesssim 10\%$$

- to get at the central value of  $\Delta A_{CP}$

$$|\text{Im}[C_8^{\text{NP}}(m_c)]| \approx \cancel{0.4} \times 10^{-2}$$

$$0.1 \times 10^{-2}$$

- the value in the SM parametrized to be

$$|a_f^{\text{SM}}| \approx 2\xi \text{Im}(R_f^{\text{SM}}) \approx 0.13\% \times \text{Im}(R_f^{\text{SM}})$$

$$\xi \equiv |V_{cb}V_{ub}|/|V_{cs}V_{us}|$$

nonpert. parameter, O(1)?



# TESTING FOR NP USING $\Delta I=3/2$

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Y. Grossman, A. Kagan, JZ, 1204.3557

- the general idea:
  - in SM  $\Delta I=3/2$  comes from tree operators (up to very small EWP)
    - it carries no weak phase
  - test if  $\Delta I=3/2$  amplitude is CPV
    - if it is  $\Rightarrow$  found NP!



# THE IMPLEMENTATION

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- we want to isolate  $\Delta I=3/2$  amplitudes
- for  $D^0$  and  $D^+$  decays this means identifying  $I=2$  final state
  - so can use  $D \rightarrow \pi\pi, \rho\pi, \rho\rho$  decays
  - but not  $D \rightarrow KK$  decays
- for  $D_s^+$  decays need to isolate  $I=3/2$  final state
  - $D_s \rightarrow \pi K, \dots$  decays
- need to be careful about isospin breaking
  - all sum rules valid to 2nd order in isospin breaking
  - corrections expected at  $O(10^{-4})$
  - present experimental errors at  $O(10^{-2})$  to  $O(10^{-3})$



# $D \rightarrow \pi\pi$ AND $D \rightarrow \rho\rho$

- the isospin decomposition

$$A_{\pi^+\pi^-} = -\sqrt{2}\mathcal{A}_3 + \sqrt{2}\mathcal{A}_1,$$

$$A_{\pi^0\pi^0} = -2\mathcal{A}_3 - \mathcal{A}_1,$$

$$A_{\pi^+\pi^0} = 3\mathcal{A}_3,$$

- if  $A_{CP}(\pi^+\pi^0) \neq 0$ , then  $\Rightarrow \Delta I=3/2$  New Physics
  - note:  $A_{CP}(\pi^+\pi^0)=0$ , if strong phase between NP and SM  $\Delta I=3/2$  ampl. is zero
- exactly the same holds for  $D \rightarrow \rho\rho$



# FURTHER TESTS

- another test possible using  $D(t) \rightarrow \pi^+ \pi^-$ 
  - needs  $D(t) \rightarrow \pi^0 \pi^0$  or info from charm factor. on phases
- construct the isospin sum (and its CP conjugate)

$$\frac{1}{\sqrt{2}} A_{\pi^+ \pi^-} + A_{\pi^0 \pi^0} + A_{\pi^+ \pi^0} = A_{\text{break}}$$

- note: cannot use triangle construction from rates as in  $B$  physics due to isospin breaking
- the isospin breaking  $A_{\text{break}}$  is CP conserving

- it cancels in the sum rule

$$\begin{aligned} \frac{1}{\sqrt{2}} A_{\pi^+ \pi^-} + A_{\pi^0 \pi^0} - \frac{1}{\sqrt{2}} \bar{A}_{\pi^+ \pi^-} - \bar{A}_{\pi^0 \pi^0} \\ = 3(\mathcal{A}_3 - \bar{\mathcal{A}}_3). \end{aligned}$$

- r.h.s nonzero only if CPV  $\Delta I=3/2$  NP



# NP TEST FROM $D \rightarrow \rho\pi$

- use  $D \rightarrow \pi^+\pi^-\pi^0$  Dalitz plot
  - measure magn. and phases of  $D \rightarrow \rho\pi$
- construct isospin sum rule

$$A_{\rho^+\pi^-} + 2A_{\rho^0\pi^0} + A_{\rho^-\pi^+} = -2\sqrt{3}\mathcal{A}_3.$$

- construct the CP difference

$$|A_{\rho^+\pi^-} + 2A_{\rho^0\pi^0} + A_{\rho^-\pi^+}|^2 - |\bar{A}_{\rho^+\pi^-} + 2\bar{A}_{\rho^0\pi^0} + \bar{A}_{\rho^-\pi^+}|^2$$

- if nonzero then there is  $\Delta I=3/2$  NP



# NP TEST FROM $D \rightarrow \rho\pi$

- no strong phase needed if time dependent Dalitz plot is measured
- from  $D(t) \rightarrow \pi^+ \pi^- \pi^0$  all amplitudes (and phases) measured can construct

$$A_{\rho^+ \pi^-} + A_{\rho^- \pi^+} + 2A_{\rho^0 \pi^0} - (\bar{A}_{\rho^+ \pi^-} + \bar{A}_{\rho^- \pi^+} + 2\bar{A}_{\rho^0 \pi^0}) = \dots (\mathcal{A}_3 - \bar{\mathcal{A}}_3).$$

- l.h.s. is nonzero for CPV  $\Delta I=3/2$  NP



# TEST USING $D_s$ DECAYS

- isospin sum-rule

$$\sqrt{2}A(D_s^+ \rightarrow \pi^0 K^{*+}) + A(D_s^+ \rightarrow \pi^+ K^{*0}) = 3\mathcal{A}_3.$$

- the relative phase can be measured in  $D_s^+ \rightarrow K_S \pi^+ \pi^0$  Dalitz plot
- if the following sum rule nonzero

$$|\sqrt{2}A(D_s^+ \rightarrow \pi^0 K^{*+}) + A(D_s^+ \rightarrow \pi^+ K^{*0})|^2 - |\sqrt{2}A(D_s^- \rightarrow \pi^0 K^{*-}) + A(D_s^- \rightarrow \pi^- \overline{K}^{*0})|^2 \neq 0$$

- then there is  $\Delta I=3/2$  NP



# SU(3) TESTS

see also talks by S. Schacht on Thu, A. Paul on Tue

- in principle can devise SU(3) tests of direct CPV

- for instance in SU(3) limit

Grossman, Robinson, 1211.3361;  
Hiller, Jung, Schacht, 1211.3734

$$\begin{aligned}\Sigma a_{CP}^{\text{dir}}(K^+ K^-, \pi^+ \pi^-) &= 0, \\ \Sigma a_{CP}^{\text{dir}}(\bar{K}^0 K^+, K^0 \pi^+) &= 0,\end{aligned}$$

- as isospin sum rules can only test  $\Delta I=3/2$  NP
  - because NP in QCD penguin has the same SU(3) property as SM penguin
- crucial to measure as many modes as possible
  - to establish that  $\varepsilon_{\text{SU}(3)}$  expansion works
  - need to face the description of  $\eta$ ,  $\eta'$  and  $\omega$ ,  $\phi$  states



# CONCLUSIONS

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- discussed possible tests for NP using direct CPV in  $D$  decays
- radiative decays, isospin sum rules, SU(3) tests



# BACKUP SLIDES



# SUSY?

- SUSY contribs. to QCD penguin particularly interesting

Grossman, Kagan, Nir, hep-ph/0609178

Chang et al., 1201.2565

Giudice, Isidori, Paradisi, 1201.6204

Hiller, Hochberg, Nir, 1204.1046

- LR mixing in squark matrices

$$Q_8 = \frac{m_c}{4\pi^2} \bar{u}_L \sigma_{\mu\nu} T^a g_s G_a^{\mu\nu} c_R$$

$$\frac{m_c}{m_W^2} \rightarrow \frac{v}{\tilde{m}^2}$$

$$Q_8 = \frac{1}{4\pi^2} (\bar{Q}_L H) \sigma_{\mu\nu} T^a g_s G_a^{\mu\nu} c_R$$

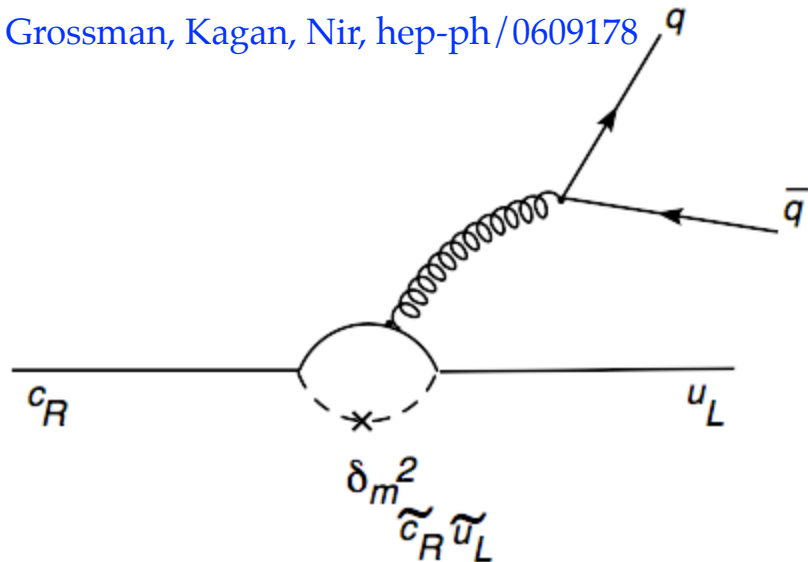
- for  $v \sim m_{\text{susy}}$  the op.  $Q_8$  is secretly dim=5
- $D$ - $D$ bar mixing operators are dim=6

$$Q_2^{cu} = \bar{u}_R^\alpha c_L^\alpha \bar{u}_R^\beta c_L^\beta$$

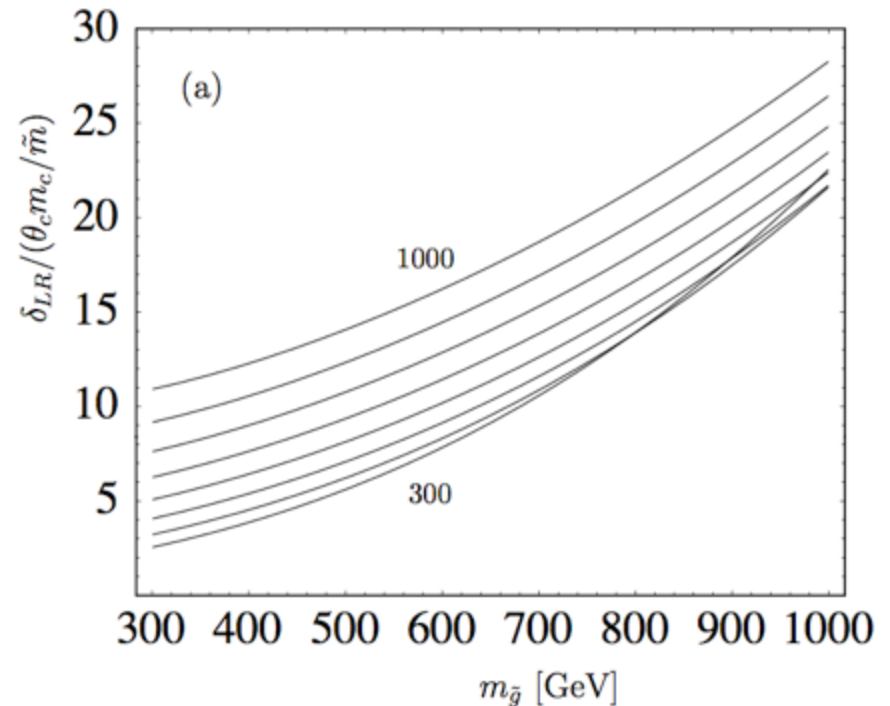
- SUSY contributions are parametrically smaller



Grossman, Kagan, Nir, hep-ph/0609178



$$Q_8 = \frac{1}{4\pi^2} u_L \sigma_{\mu\nu} T^a g_s G_a^{\mu\nu} c_R$$



Grossman, Kagan, Nir, hep-ph/0609178

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- SUSY contributions are parametrically smaller



# OTHER EXAMPLES

- SUSY: typically some tuning needed for EDMs [Giudice, Isidori, Paradisi, 1201.6204](#)
- other examples for  $Q_8$  oper.
  - $W'$  at 1-loop  $Q_8$  [Altmannshofer, Primulando, Yu, Yu, 1202.2866](#)
    - too large  $B \rightarrow D, B \rightarrow \pi$
  - RS from KK fermions+higgs loop [Delaunay, Kamenik, Perez, Randall, 1207.0474](#)
- tree level exchanges [Altmannshofer, Primulando, Yu, Yu, 1202.2866](#)
  - if vectors ( $Z, Z', G'$ ) safest if FV in coupl. to  $u_R c_R$ 
    - typically still problems with  $D$ - $Dbar$  mixing
    - same EDM challenge as SUSY
  - scalars
    - 2HDM with MFV (but very large  $\tan\beta$ ) [Altmannshofer, Primulando, Yu, Yu, 1202.2866](#)
      - gives only  $A_{CP}^{+ -}(K^+ K^-)$  from tree level  $H^+$  exchange
    - diquarks [Chen et al., 1202.3300](#)
    - scalar doublet that can simultaneously explain  $A_{FB}^{t\bar{t}}$  [Hochberg, Nir, 1112.5268](#)



# D-DBAR MIXING

- CP violation in  $D$  system CKM suppressed
  - using CKM unitarity can always rewrite amplitudes not to depend on  $\lambda_s$

$$\lambda_d + \lambda_s + \lambda_b = 0 \quad \lambda_q = V_{cq} V_{uq}^*$$

- CPV thus suppressed by  $Im[\lambda_b/\lambda_d] \sim 6.2 \times 10^{-4}$
- to a very good approximation  $D^0$ - $\bar{D}^0$  mixing is real in the SM
  - given by two CP conserving parameters

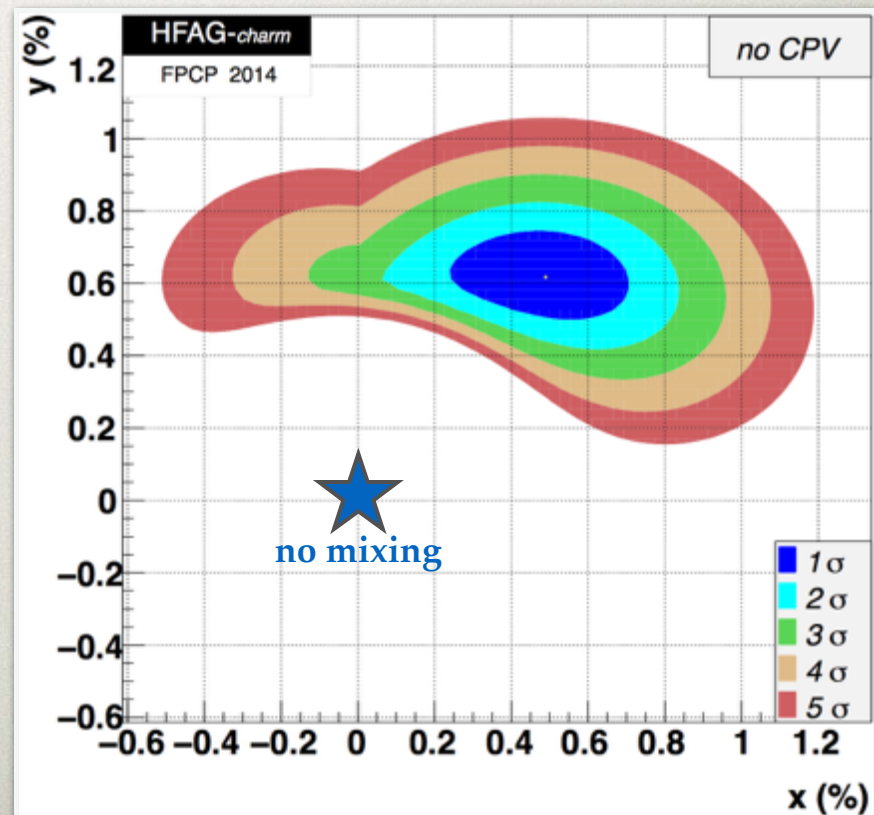
$$x = \frac{m_2 - m_1}{\Gamma}, \quad y = \frac{\Gamma_2 - \Gamma_1}{2\Gamma}$$



# CONSTRAINED FIT

- with present precision a justifiable approx.
- leads to a constrained fit by HFAG
- $x, y \sim O(1\%)$

$x$ (%)	$0.49^{+0.14}_{-0.15}$
$y$ (%)	$0.62 \pm 0.08$





# NEW PHYSICS

- CPV in  $D^0$ - $\bar{D}^0$  mix. at present precision would mean NP
- viable NP very likely off-shell in  $D^0$ - $\bar{D}^0$  mixing
  - would contribute to  $M_{12}$  (dispersive ampl.) not to  $\Gamma_{12}$  (absorptive ampl.)

$$\langle D^0 | H | \bar{D}^0 \rangle = M_{12} - \frac{i}{2} \Gamma_{12}, \quad \langle \bar{D}^0 | H | D^0 \rangle = M_{12}^* - \frac{i}{2} \Gamma_{12}^*$$

- mixing parametrized with three parameters

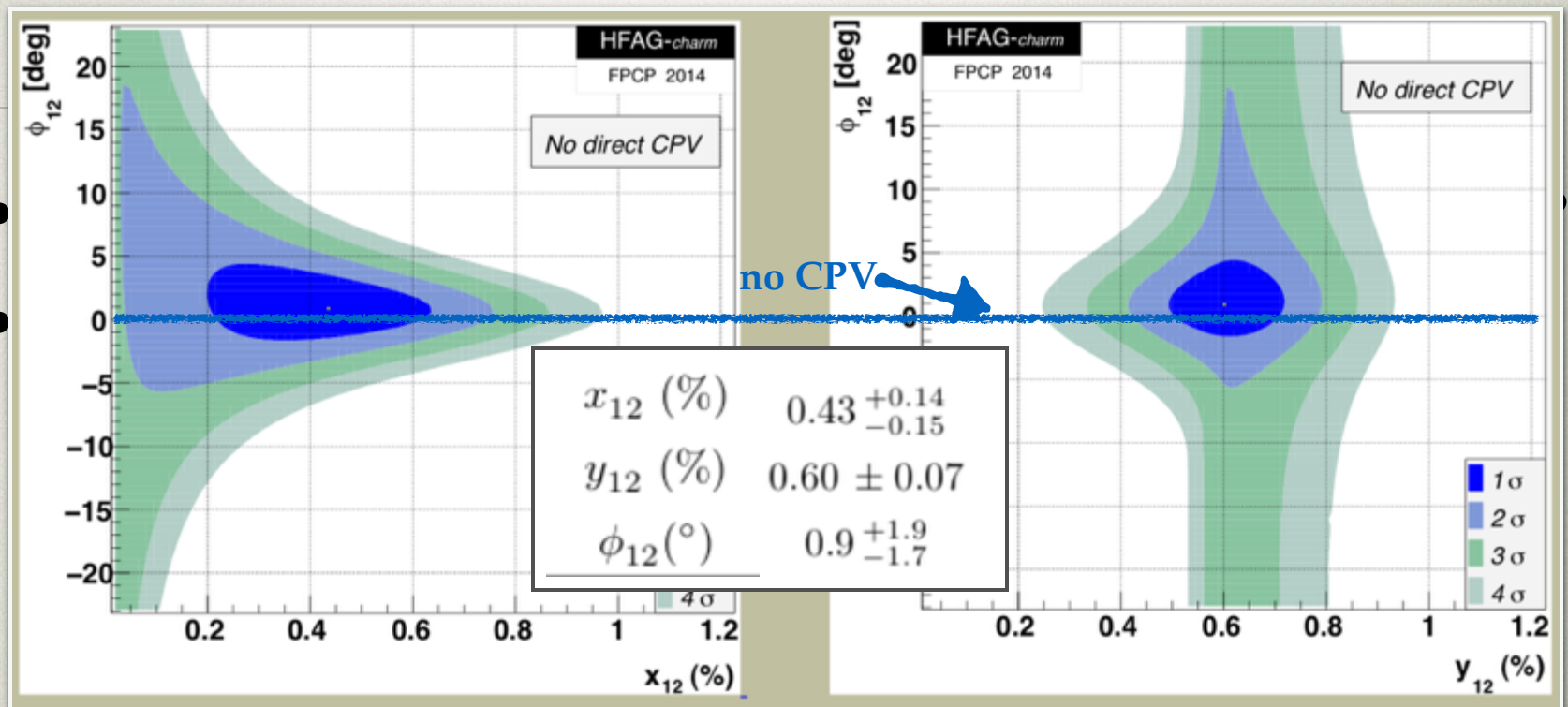
$$x_{12} \equiv 2|M_{12}|/\Gamma, \quad y_{12} \equiv |\Gamma_{12}|/\Gamma, \quad \phi_{12} \equiv \arg(M_{12}/\Gamma_{12})$$

- this is the *superweak approximation*
- note:  $x_{12}, y_{12}$  related to  $x, y$

$$|x| = x_{12} + O(\text{CPV}^2), \quad |y| = y_{12} + O(\text{CPV}^2)$$

Kagan, Sokoloff, 0907.3917;  
Ciuchini et al, hep-ph/0703204;  
Grossman et al, 0904.0305





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$$|x| = x_{12} + O(\text{CPV}^2), \quad |y| = y_{12} + O(\text{CPV}^2)$$



# BEYOND SUPERWEAK

- what is the leading correction to the super-weak approximation?

- what is the size of  $\phi_{12}$  in the SM?

- in the SM both  $M_{12}$  and  $\Gamma_{12}$  have the structure

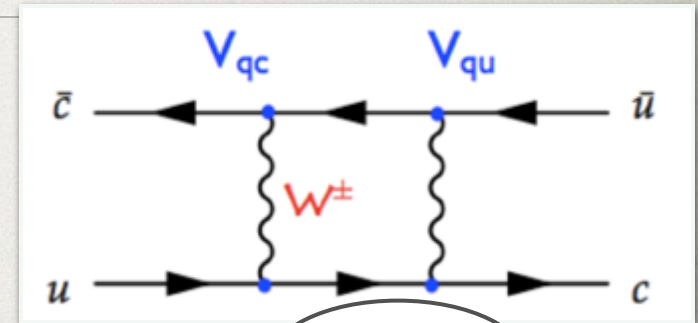
$$\lambda_s^2 (A_{dd} + A_{ss} - 2A_{ds}) + 2\lambda_s \lambda_b (A_{dd} - A_{ds} - A_{db} + A_{sb}) + \mathcal{O}(\lambda_b^2)$$

$\mathcal{O}(\epsilon^2)$

- $\phi_{12}^\Gamma \equiv \arg(\Gamma_{12})$  and  $\phi_{12}^M \equiv \arg(M_{12})$  enhanced by  $\sim \mathcal{O}(1/\epsilon)$

- note: no such enhancement for each individual direct CP asymmetry
- the parametrization of  $D$  mixing that is leading in SU(3) breaking is thus in terms of four parameters

$$x_{12}, y_{12}, \phi_{12}^M, \phi_{12}^\Gamma$$



$\mathcal{O}(\epsilon)$



# SM VALUE

- from this also estimate for SM size of the weak mixing phase

$$\phi_{12}^{\Gamma} \sim \phi_{12}^M \sim \text{Im}\left(\frac{\lambda_b}{\lambda_d}\right) \frac{1}{\epsilon} \sim 3 \times 10^{-3}$$

- using for SU(3) breaking  $\epsilon \sim 0.2$
- more detailed estimates using sums over exclusive decay mode in agreement with this
- current fits:  $\sigma(\phi_{12}^{\Gamma}) \sim 10^\circ$ ,  $\sigma(\phi_{12}^M) \sim 3^\circ$

	$ q/p  - 1$	$\phi[^\circ]$	$\phi_{\Gamma}[\text{rad}]$	$\phi_M[\text{rad}]$
superweak fit	$(1.5 \pm 1.9)10^{-2}$	$-0.4 \pm 0.6$	0	$0.033 \pm 0.047$
two-parameter fit	$(4.6 \pm 0.7)10^{-2}$	$3.2 \pm 7.1$	$-0.09 \pm 0.17$	$0.024 \pm 0.06$

- the parametrization of mixing with universal four parameters  $x_{12}, y_{12}, \phi_{12}^{\Gamma}, \phi_{12}^M$  valid for some NP
  - e.g., NP dominated by QCD peng., but not for EW peng.



# SEARCHING FOR NP

- four parameter fit valid for the precision of the next generation of  $B$ -factories

Kagan, talk at KEK-FF 2014

Fit results for future scenarios

	$\phi_\Gamma$ [rad]		$\phi_M$ [rad]	
	input	fit	input	fit
LHCb/BelleII	0	$0.0 \pm 0.019$	0	$0.0 \pm 0.007$
extreme	0	$0.0 \pm 0.002$	0	$0.0 \pm 0.0007$

100x more data →

- $\phi_{12}^\Gamma \gg 0.003$  or  $\phi_{12}^M \gg 0.003$  would indicate NP
- $\phi_{12}^M \gg \phi_{12}^\Gamma$  would indicate NP



# DIRECT CPV

- focus on SCS  $D$  decays in the SM

$$\begin{aligned} A_f(D \rightarrow f) &= A_f^T [1 + r_f e^{i(\delta_f - \gamma)}], \\ \bar{A}_{\bar{f}}(\bar{D} \rightarrow \bar{f}) &= A_f^T [1 + r_f e^{i(\delta_f + \gamma)}], \end{aligned}$$

- $A_f^T$  - tree ampl.,  $r_f$  - relative “penguin” contrib.,  $\delta_f$  - strong phase
- direct CP asymmetry

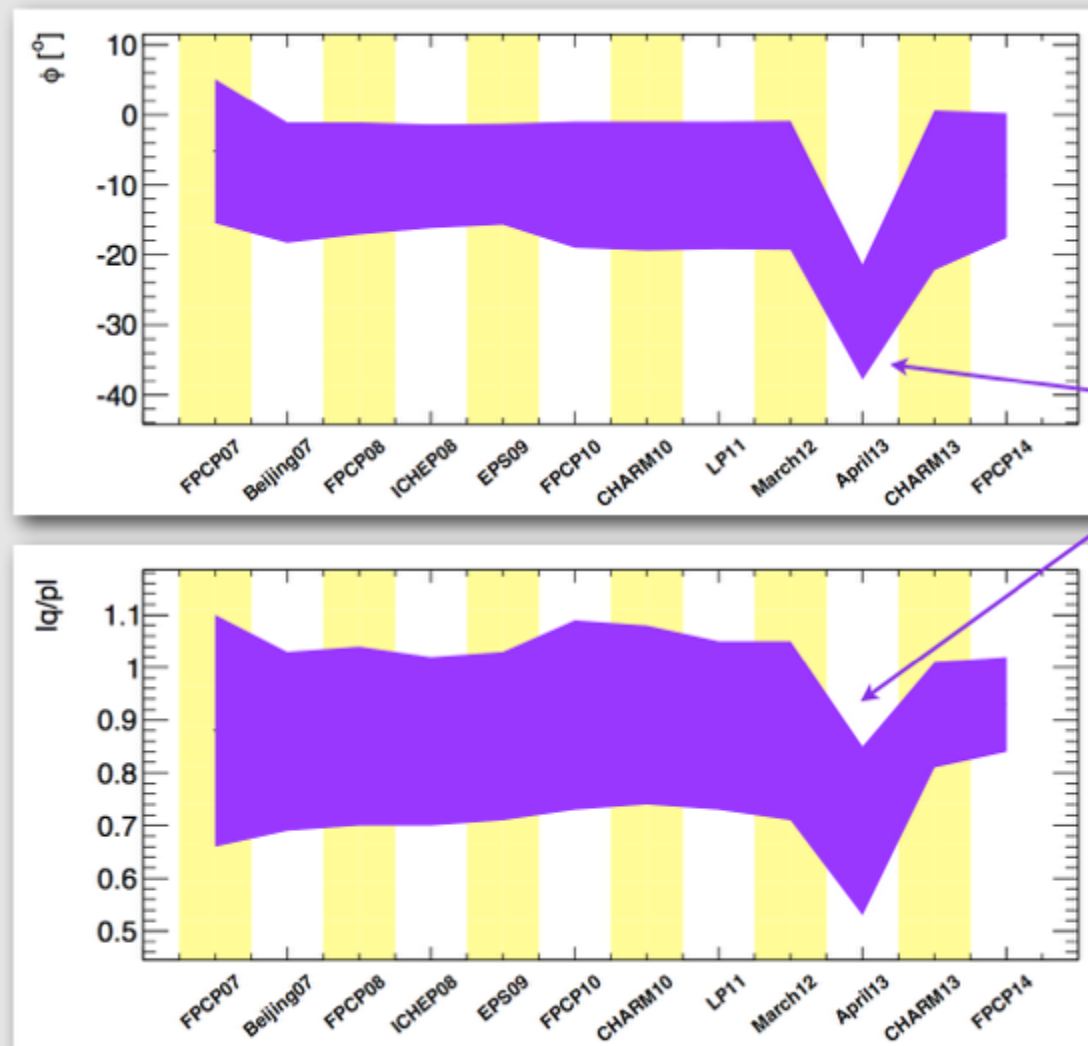
$$\mathcal{A}_f^{\text{dir}} \equiv \frac{|A_f|^2 - |\bar{A}_{\bar{f}}|^2}{|A_f|^2 + |\bar{A}_{\bar{f}}|^2} = 2r_f \sin \gamma \sin \delta_f$$

- $\sin \gamma \sim 0.9$ , so for  $\delta_f \sim O(1)$

$$\mathcal{A}_f^{\text{dir}} \sim 2r_f$$

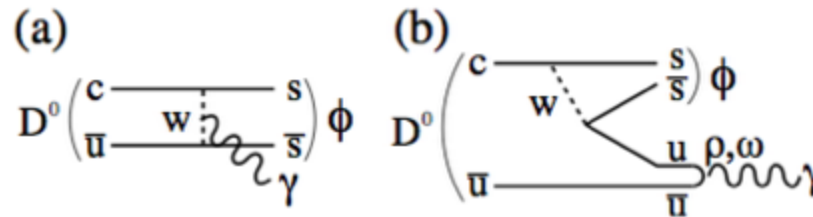


- show p.





## Direct CPV in $D^0 \rightarrow \phi\gamma, \rho^0\gamma$



- Direct CPV in radiative decays can be enhanced to exceed 1% (G. Isidori and J. F. Kamenik, PRL 109, 171801 (2012))
  - $D^0 \rightarrow \phi\gamma$ :  $A_{CP}$  up to 2%
  - $D^0 \rightarrow \rho^0\gamma$ :  $A_{CP}$  up to 10%
- $D^0 \rightarrow \phi\gamma$ : first observation by Belle with  $78 \text{ fb}^{-1}$  (PRL 92, 101803 (2004))
  - measured yield:  $27.6^{+7.4+0.5}_{-6.5-1.0}$   
 $\Rightarrow$  relative error on yield 25% (as would be the error on  $A_{CP}$ )
- $A_{CP}$  sensitivity at  $50 \text{ ab}^{-1}$ :  $\approx 1\%$