

# Inclusive and Exclusive Accuracy in Resummed Cross Sections

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Jonathan Walsh, UC Berkeley

work with Daniele Bertolini, Mikhail Solon, and Frank Tackmann



# Resummed Cross Sections

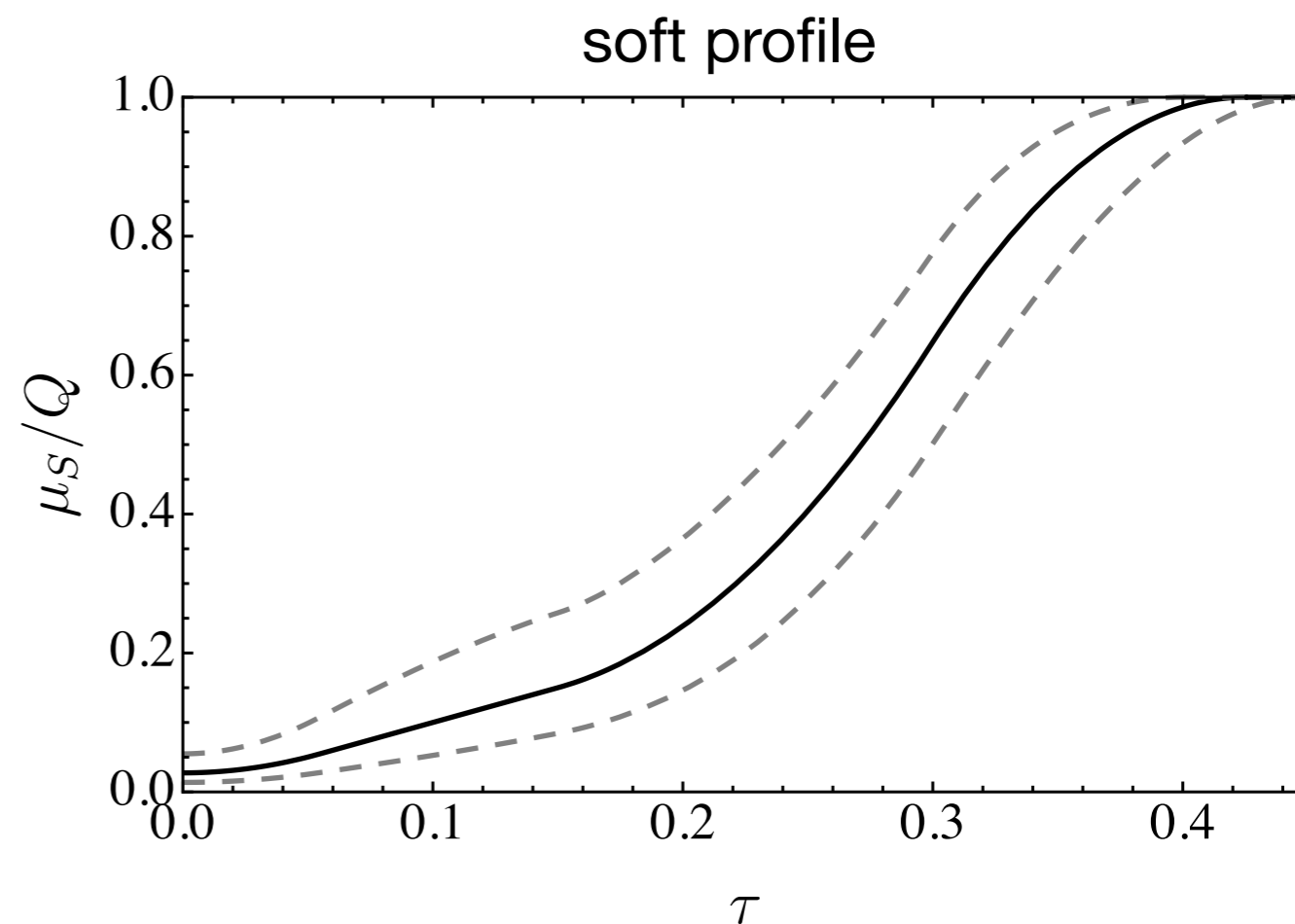
resummation predicts two quantities:

$$\frac{d\sigma}{d\tau}(\mu_i) : \text{spectrum}$$

$$\Sigma(\mu_i, \tau) : \text{cumulant}$$

$$\bullet \Sigma(\mu_i, \tau) = \int_0^\tau d\tau' \frac{d\sigma}{d\tau'}$$

RGE, fixed order matching implemented  
by profile scales:  $\mu_i = \mu_i(\tau)$



profile scale variations used  
to assess scale uncertainties

I will take  $\tau$  to be thrust for this talk,  
but generically it can be any  
jet resolution variable

# Resummed Cross Sections

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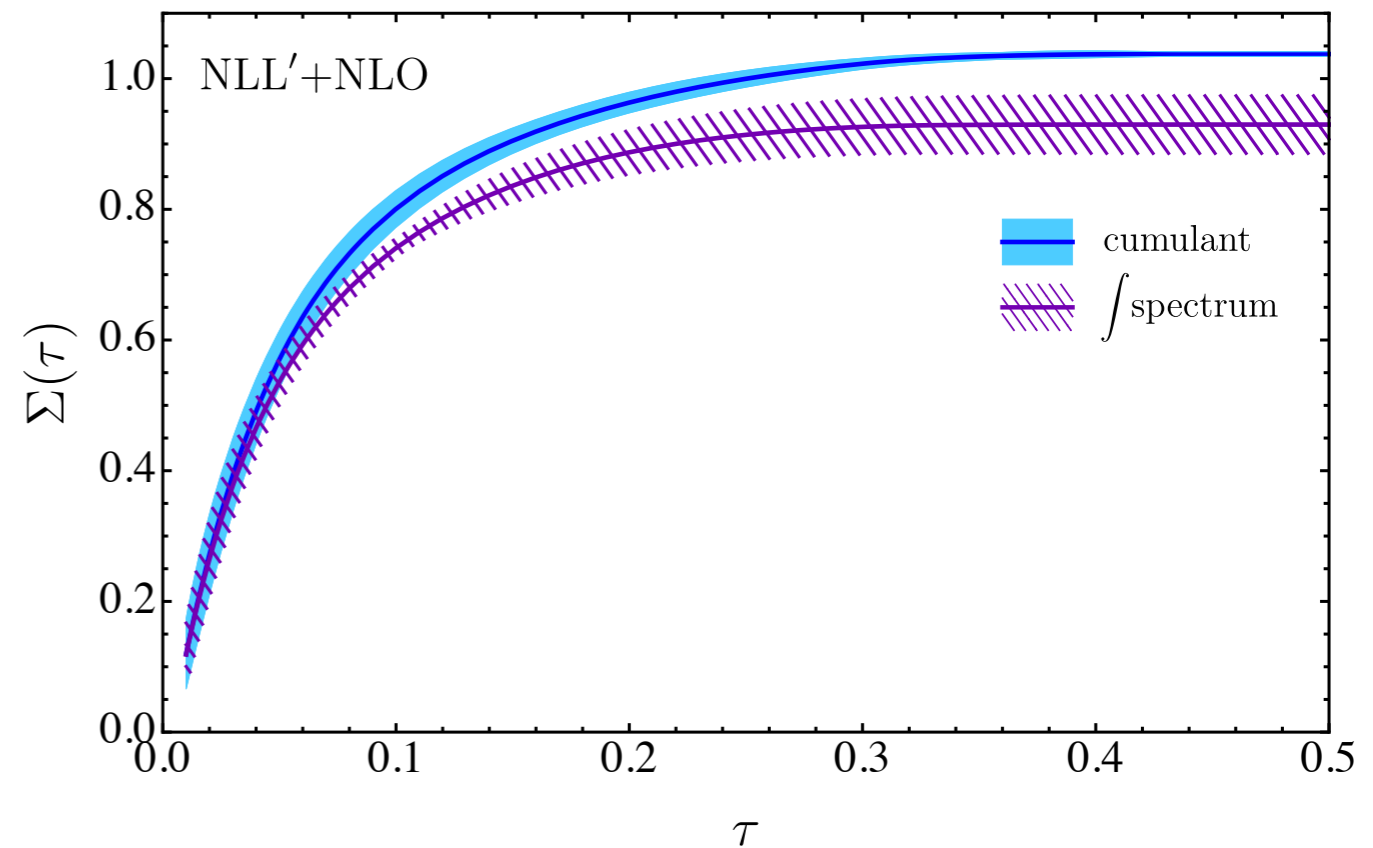
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RGE, fixed order matching implemented by profile scales:  $\mu_i = \mu_i(\tau)$

simple problem: typically, these two predictions are inconsistent

cumulant vs. integrated spectrum



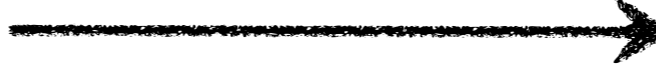
$$\Sigma(\mu_i(\tau), \tau) \text{ vs. } \int_0^\tau d\tau' \frac{d\sigma}{d\tau'}(\mu_i(\tau'))$$

# How does the Inconsistency Arise?

cumulant  
with free scales

$$\Sigma(\mu_i, \tau)$$

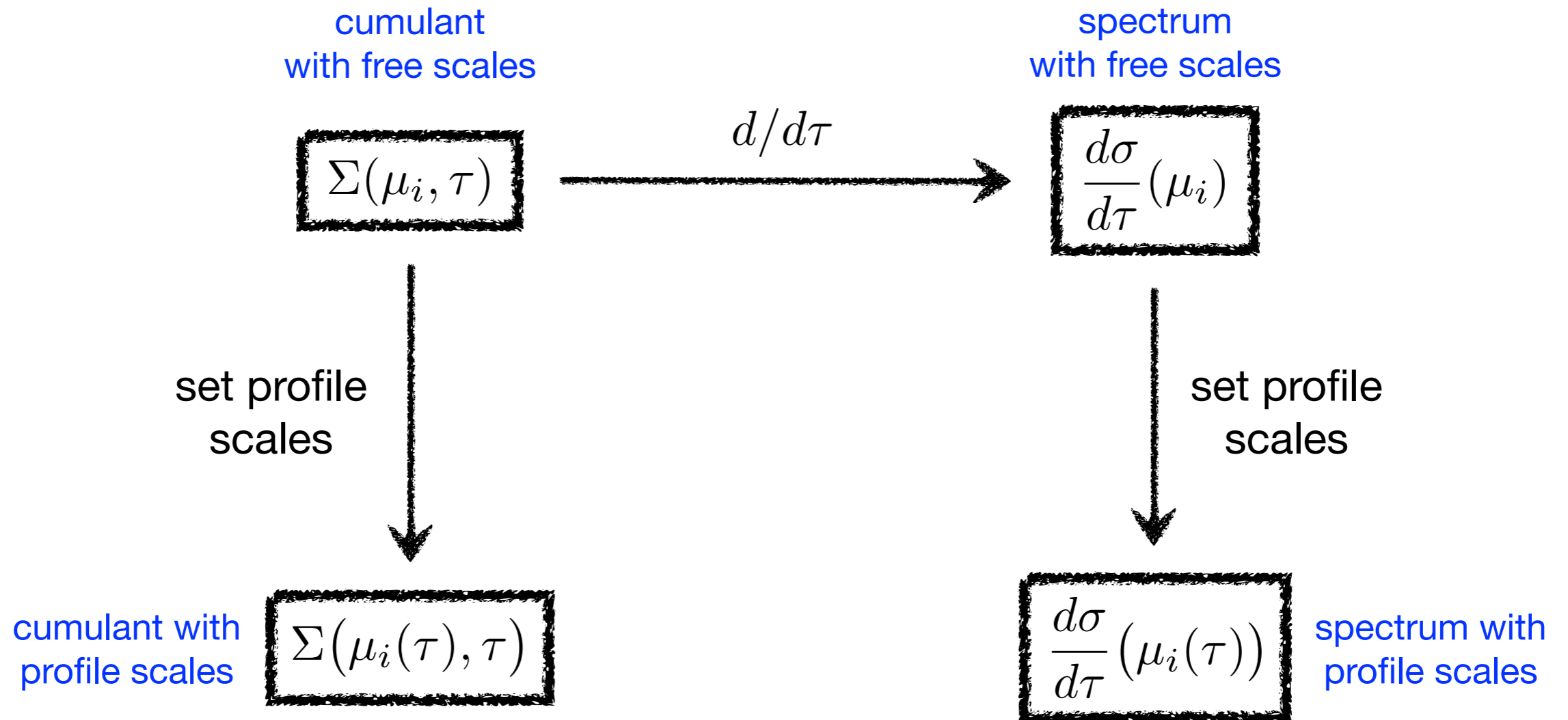
$d/d\tau$



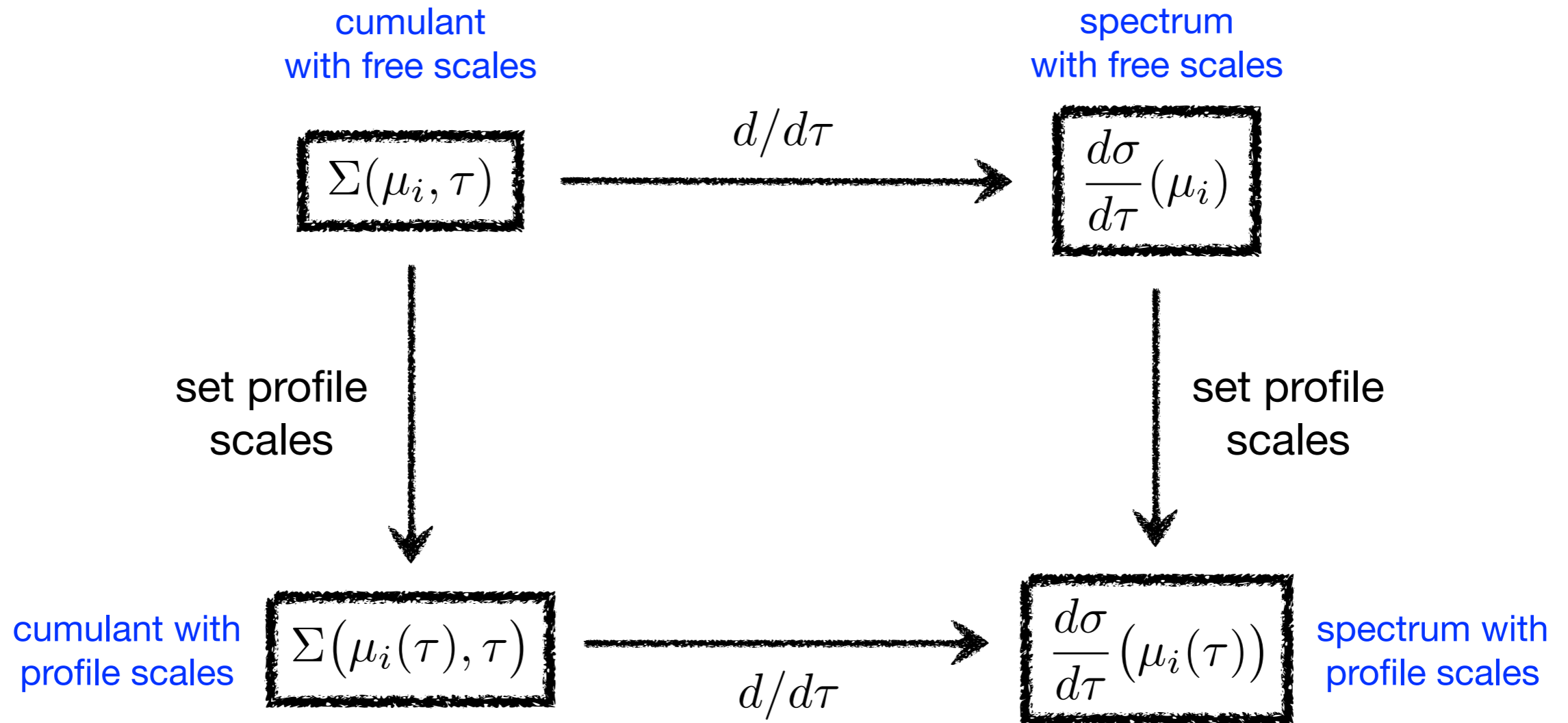
spectrum  
with free scales

$$\frac{d\sigma}{d\tau}(\mu_i)$$

# How does the Inconsistency Arise?



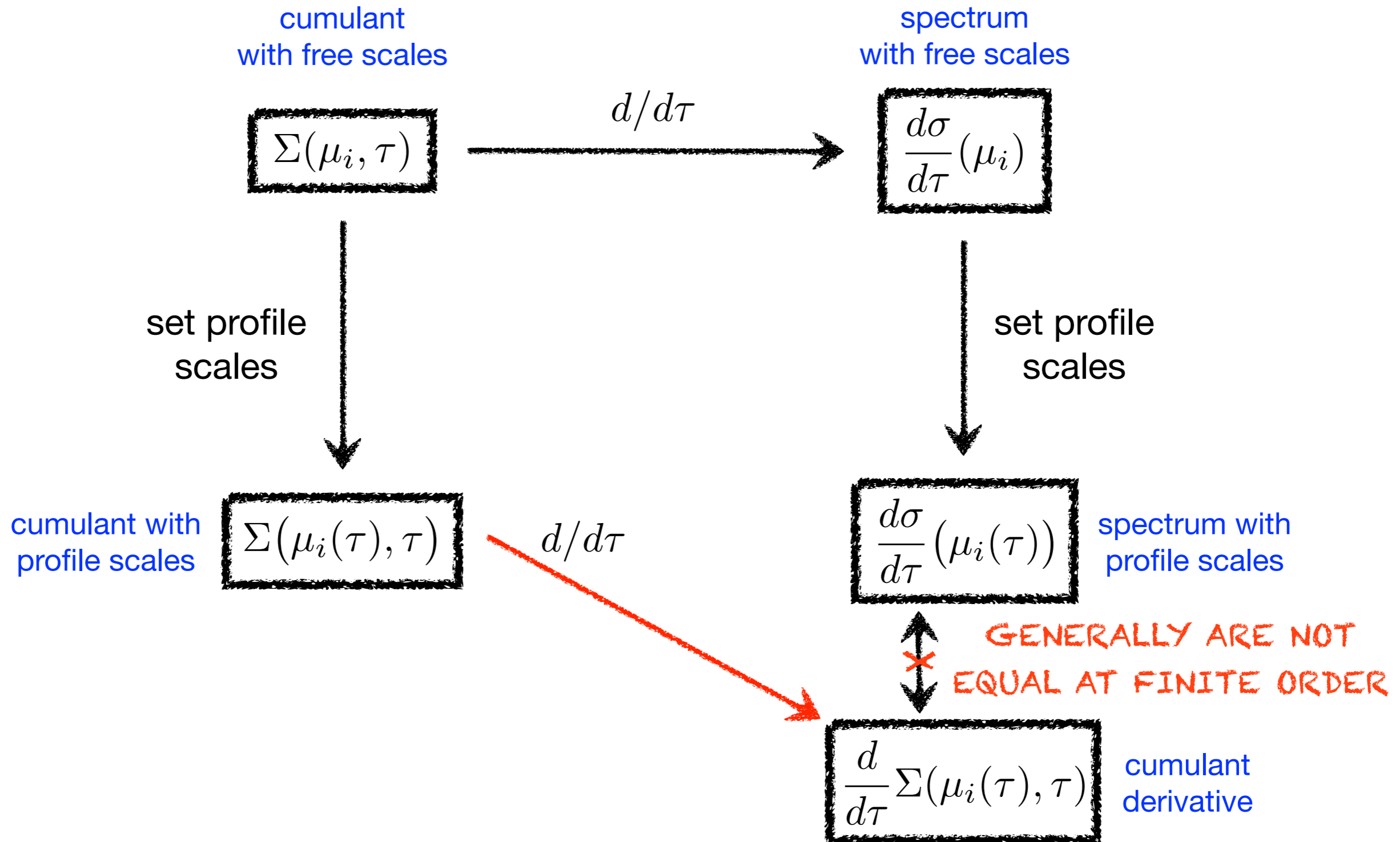
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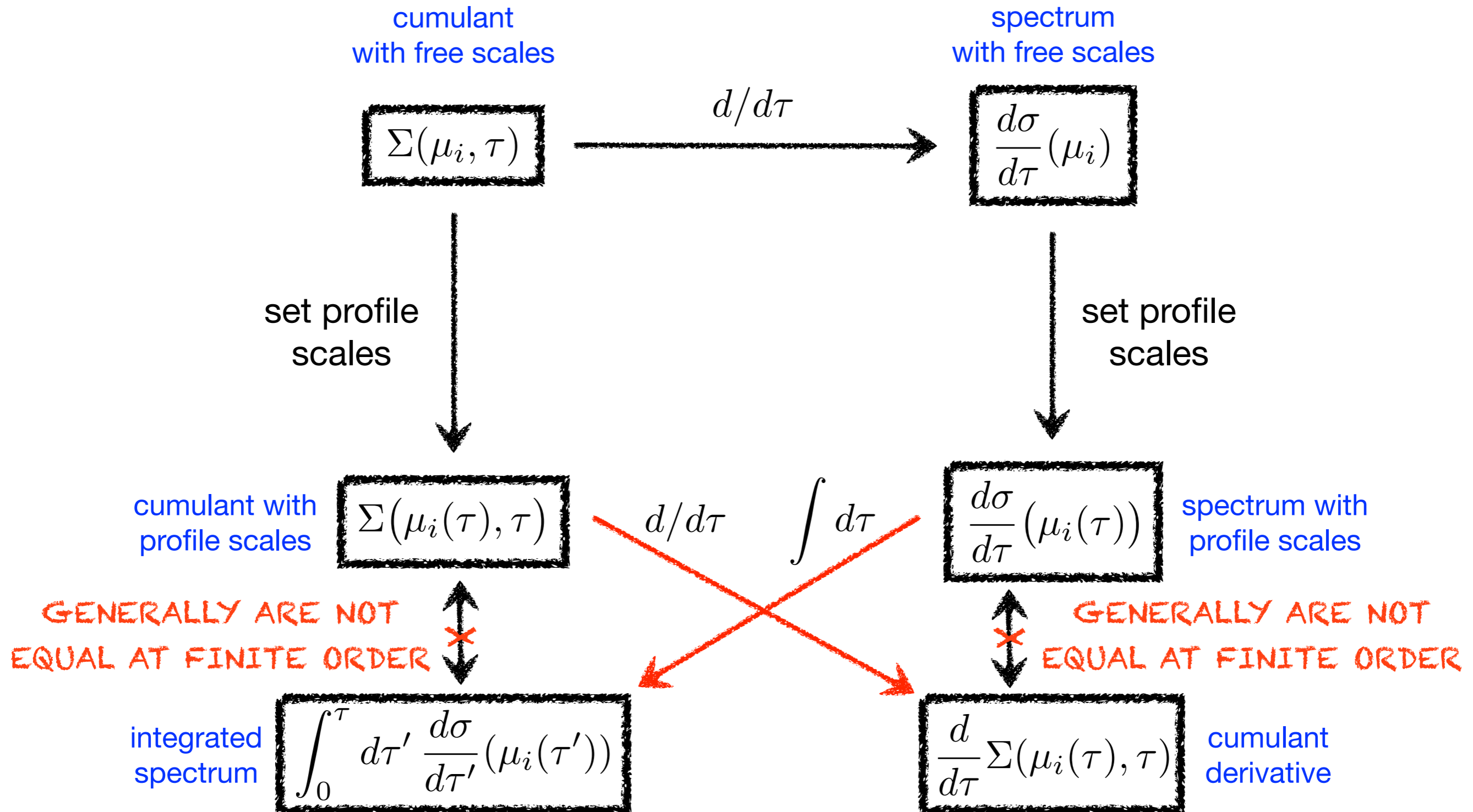
Almeida, Ellis, Lee,  
Sterman, Sung, JW  
1401.4460

TRUE WHEN WORKING  
TO ALL ORDERS IN RG

# How does the Inconsistency Arise?



# How does the Inconsistency Arise?





## Two Paths to the Spectrum

$\frac{d}{d\tau} \Sigma(\mu_i(\tau), \tau)$  vs.  $\frac{d\sigma}{d\tau}(\mu_i(\tau))$  : difference probes the commutator  $[\mu_i = \mu_i(\tau), d/d\tau]$

*cumulant (free scales)* → **spectrum (free scales)** → **spectrum (profiles)**  $\frac{d}{d\tau} \times [\mu_i = \mu_i(\tau)]$

*cumulant (free scales)* → *cumulant (profiles)* → **spectrum (profiles)**  $[\mu_i = \mu_i(\tau)] \times \frac{d}{d\tau}$

in terms of full/partial derivatives:

$$\frac{d}{d\tau} = \frac{\partial}{\partial \tau} + \frac{d\mu_i}{d\tau} \Rightarrow \left[ \frac{d}{d\tau} \Sigma(\mu_i(\tau), \tau) - \frac{d\sigma}{d\tau}(\mu_i(\tau)) \right] \propto \frac{d\mu_i}{d\tau} \times (\text{higher order})$$

Almeida, Ellis, Lee,  
Serman, Sung, JW  
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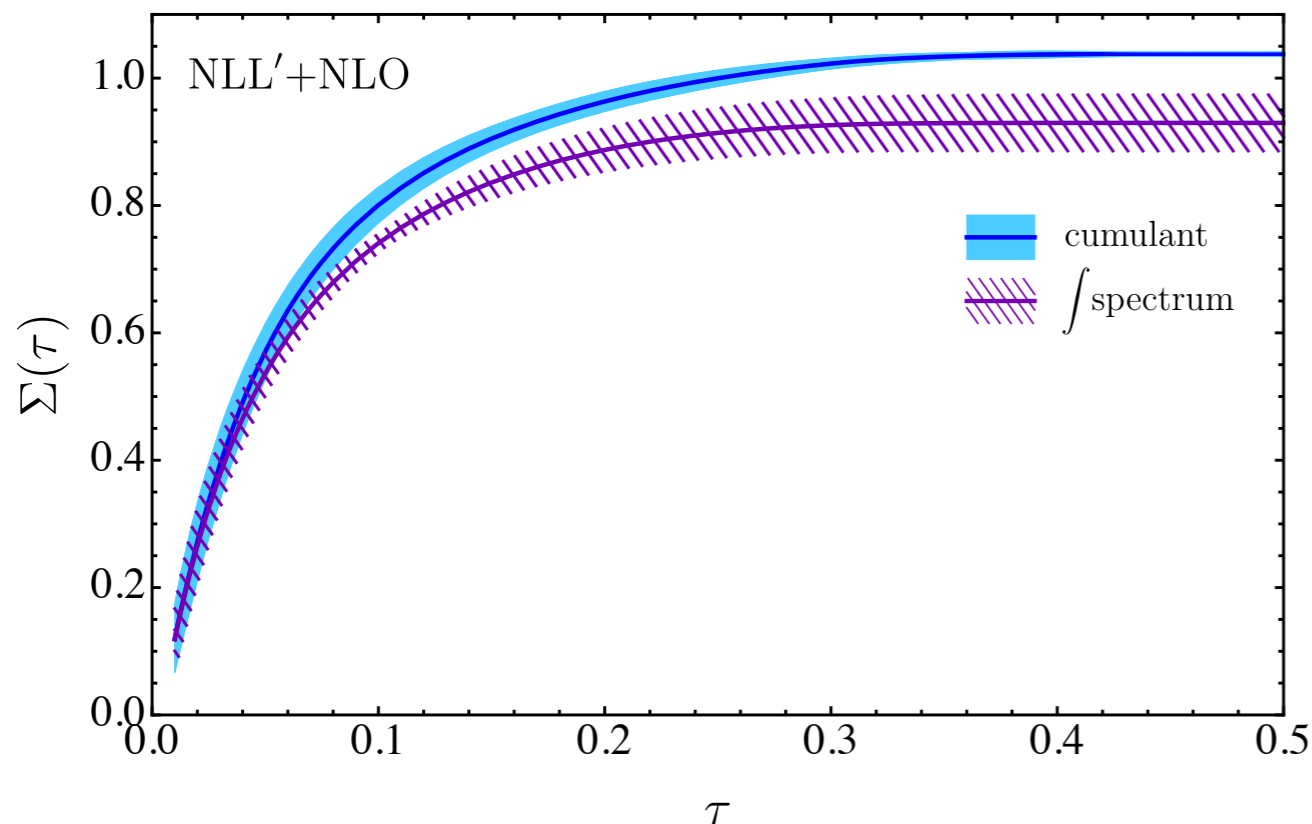
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cumulant vs. integrated spectrum



Almeida, Ellis, Lee,  
Serman, Sung, JW  
1401.4460

**Integrated spectrum gets  
cumulant observables wrong:**

- cumulant beyond small  $\tau$
- inclusive cross section ( $\tau \rightarrow \tau_{\max}$ )
- uncertainties

# Accuracy of Each Resummed Cross Section

$$\Sigma(\mu_i(\tau), \tau)$$

**vs.**

$$\frac{d\sigma}{d\tau}(\mu_i(\tau))$$

- + inclusive cross section
- + correlations in uncertainties
- poor large  $\tau$  behavior in spectrum
- poor point-by-point uncertainties in the spectrum

accurate in the  
*inclusive/integrated* sense

- + accurate shape in the transition/tail
- + robust point-by-point uncertainties
- inclusive cross section
- correlations in uncertainties

accurate in the  
*exclusive/differential* sense

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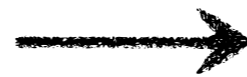
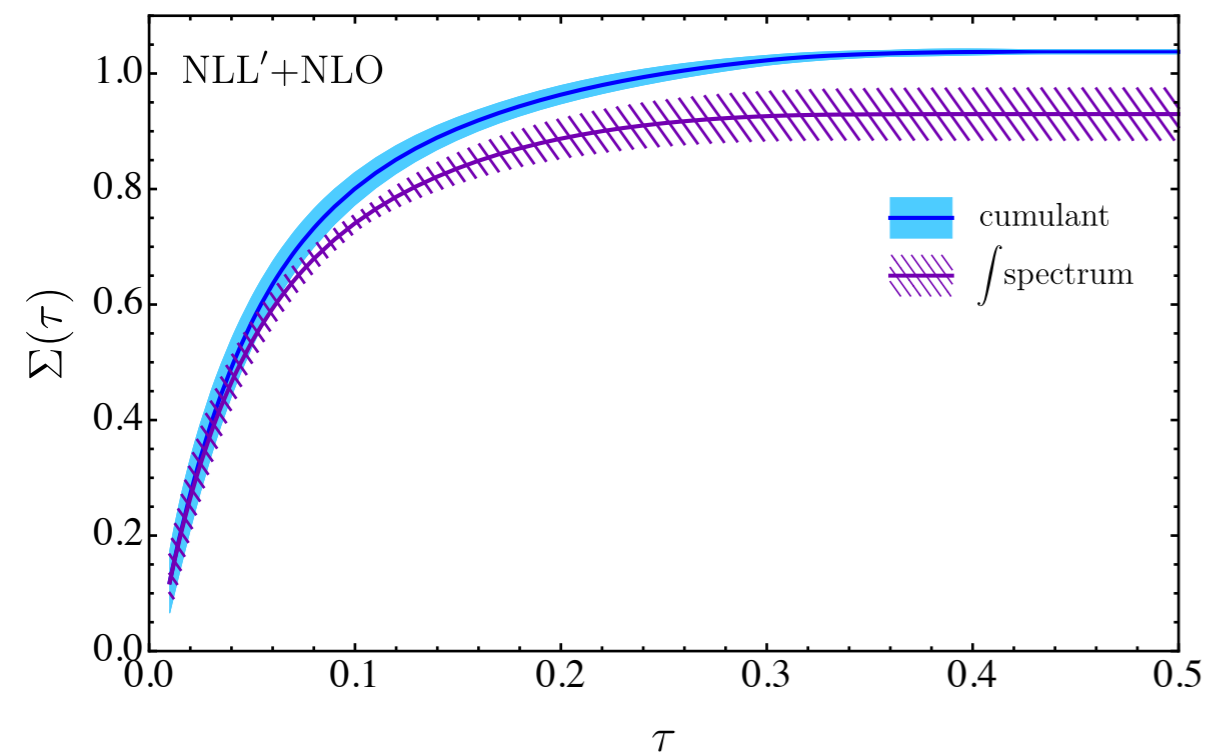
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I will describe a resummation method  
that gives a spectrum accurate both  
*exclusively and inclusively*

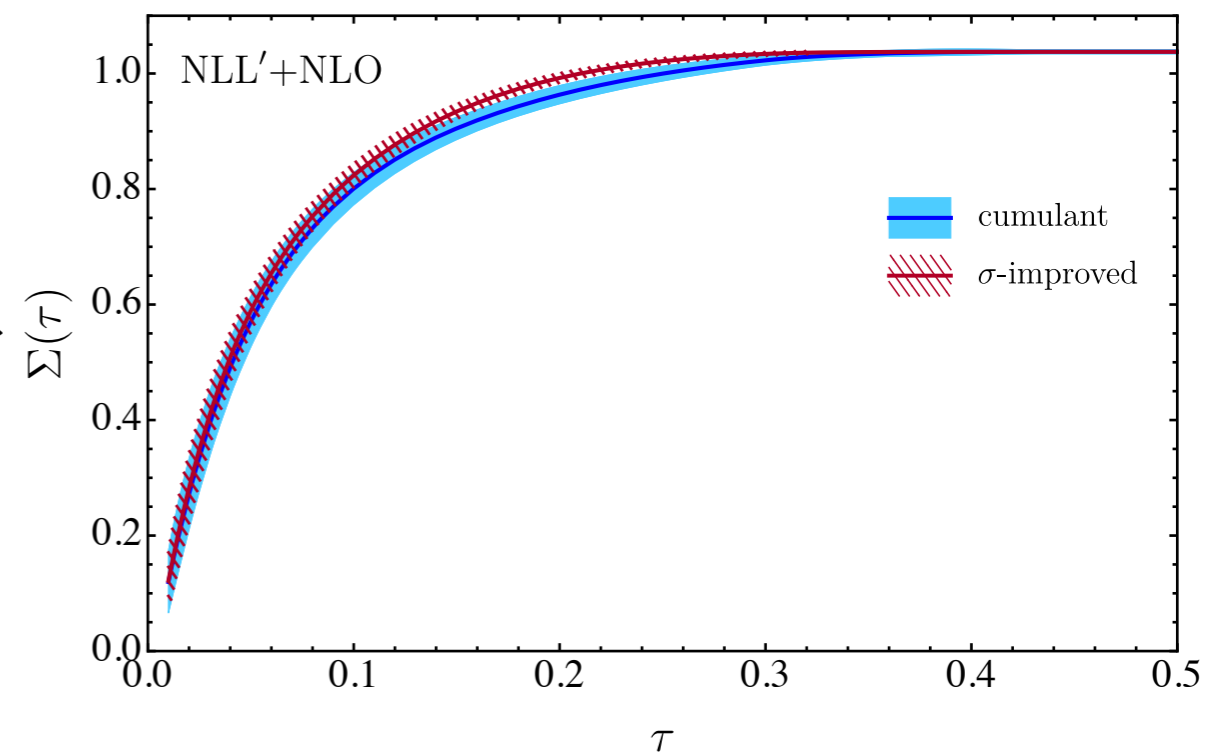
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cumulant vs. integrated spectrum



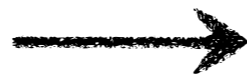
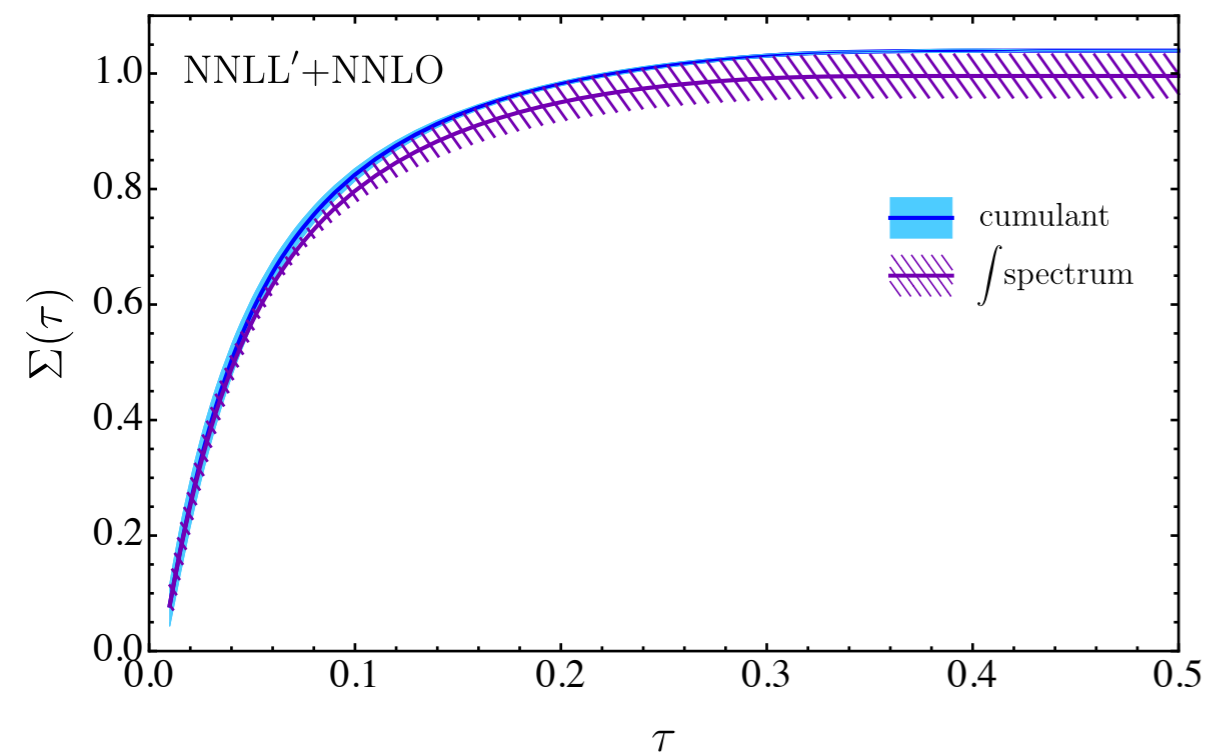
cumulant vs. integrated  $\sigma$ -improved spectrum



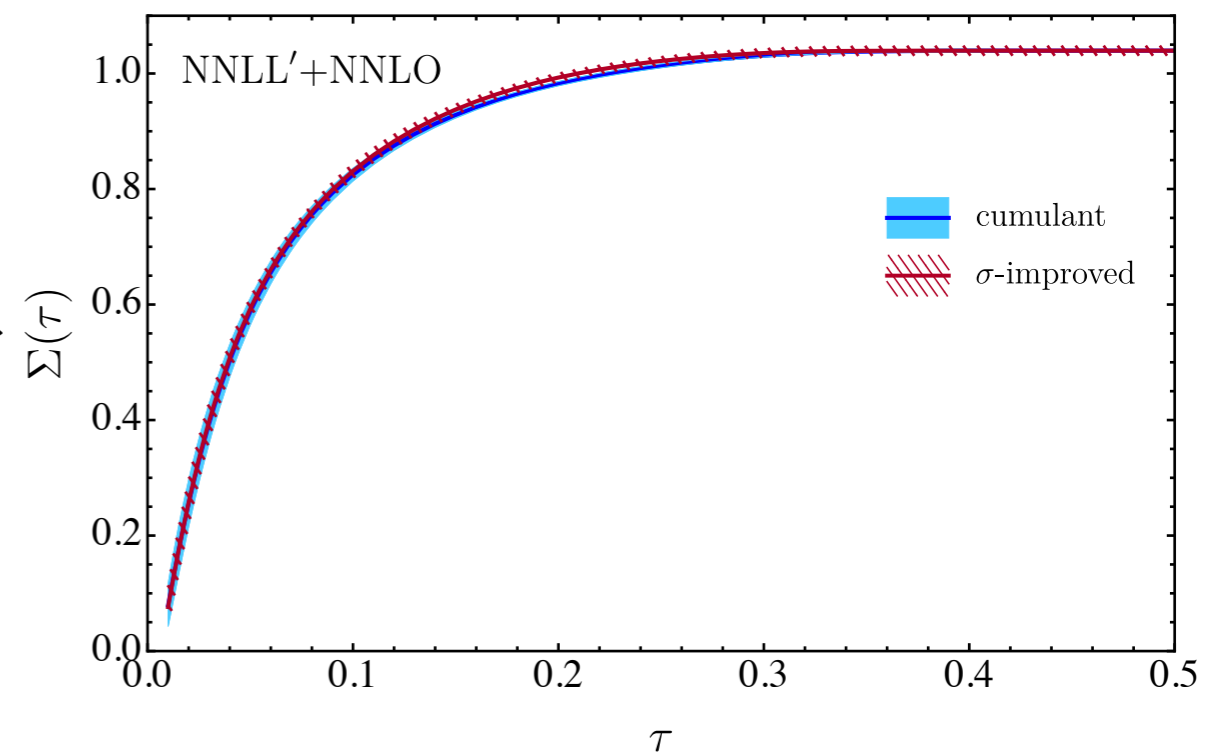
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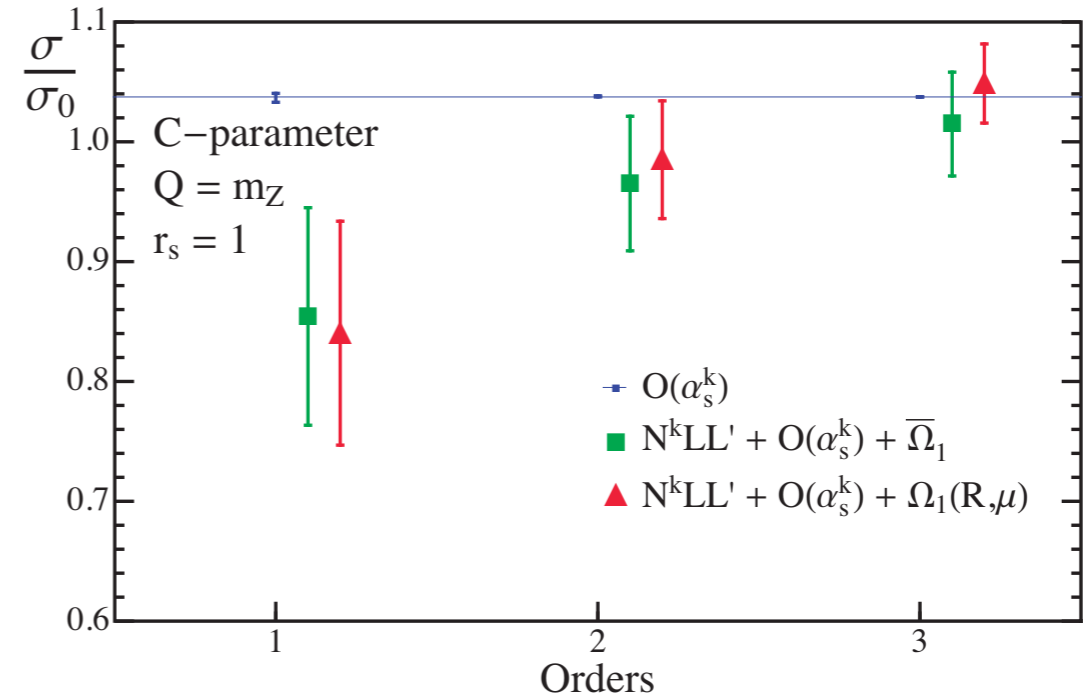
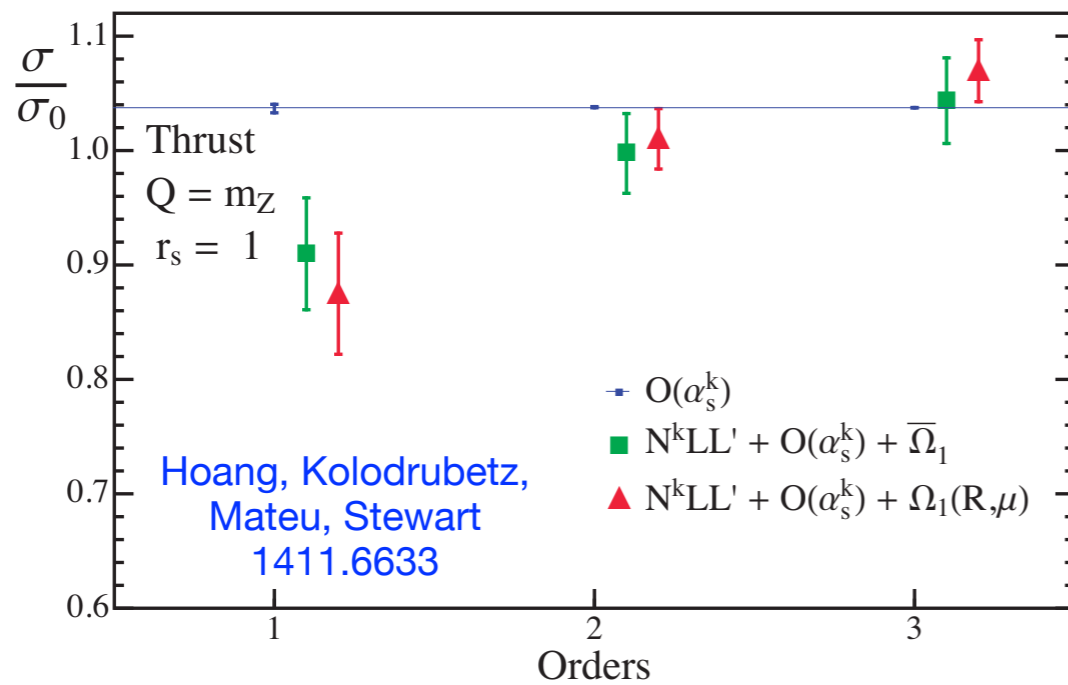


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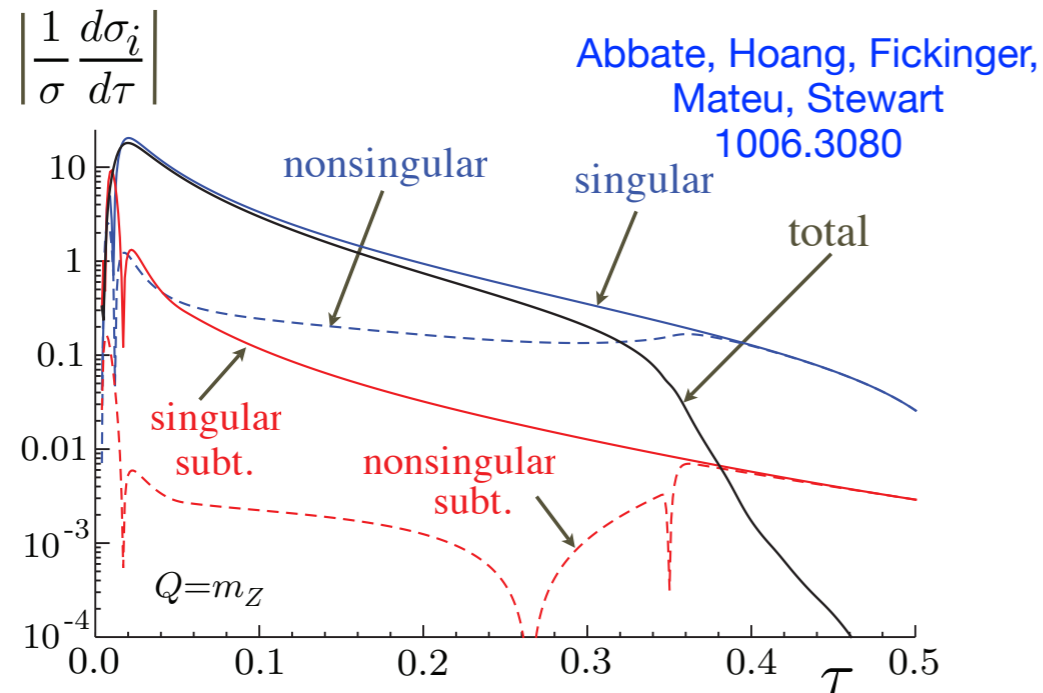


# Connection to Known Problems

Spectra with the wrong inclusive cross section is a well-known problem



Intimately connected with the fact that the derivative of the cumulant has poor behavior in the transition/tail region



# Connection to Known Problems

Want to associate scale variation to specific components of uncertainty

general covariance matrix decomposition:

$$C = C_y + \sum_{i < j} C_{\text{cut}}^{ij}$$

fully correlated

anti-correlated 2-by-2 blocks

consider the cross section for  $N$  jet bins  
e.g. 2-jet and  $\geq 3$ -jet bins for thrust

$$C_y = \vec{\Delta}_y \vec{\Delta}_y^T$$

$\Delta_y^i$  : yield uncertainty for bin  $i$   
fully correlated with total rate

$$C_{\text{cut}}^{ij} = (\Delta_{\text{cut}}^{ij})^2 \begin{pmatrix} 1 & -1 \\ -1 & 1 \end{pmatrix}_{ij}$$

$\Delta_{\text{cut}}^{ij}$  : migration uncertainty  
between bins  $i$  and  $j$



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soft, jet scale variations should not change the inclusive cross section:

$\mu_J, \mu_S$  variations map directly onto migration uncertainties  
**iff** they leave the inclusive cross section unchanged

this is not the case for standard profile variations

# The Main Idea

Define a resummation method with two novel features:

1. Add higher order terms to the spectrum that bring the inclusive cross section close to the fixed order value
  - must maintain a sensible distribution in the tail region
  - must be consistent across fixed order scales (convergence)
2. Use an algorithm to identify families of soft and jet profiles that preserve the total cross section
  - use these families to determine the soft, jet scale uncertainties
  - our algorithm can identify arbitrarily many profiles preserving the total cross section, and we can test its robustness

# Step 1

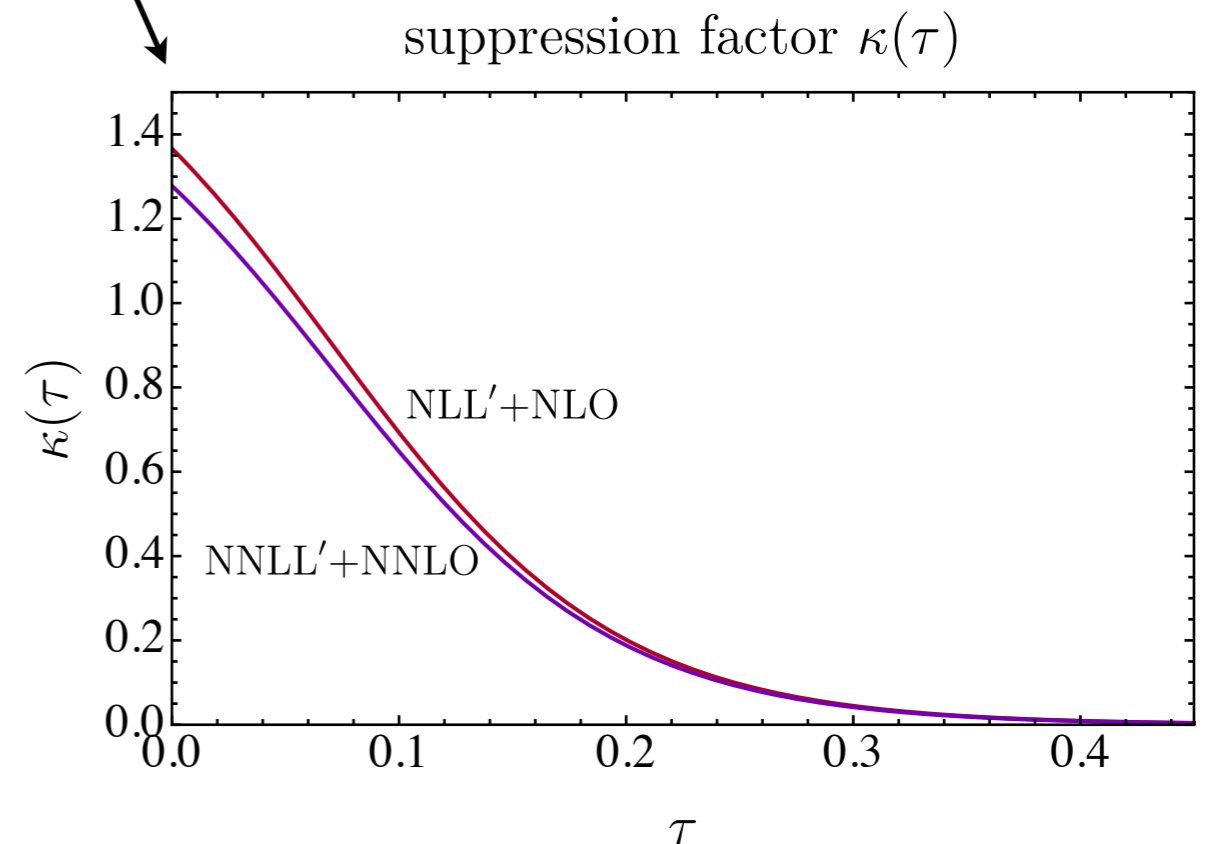
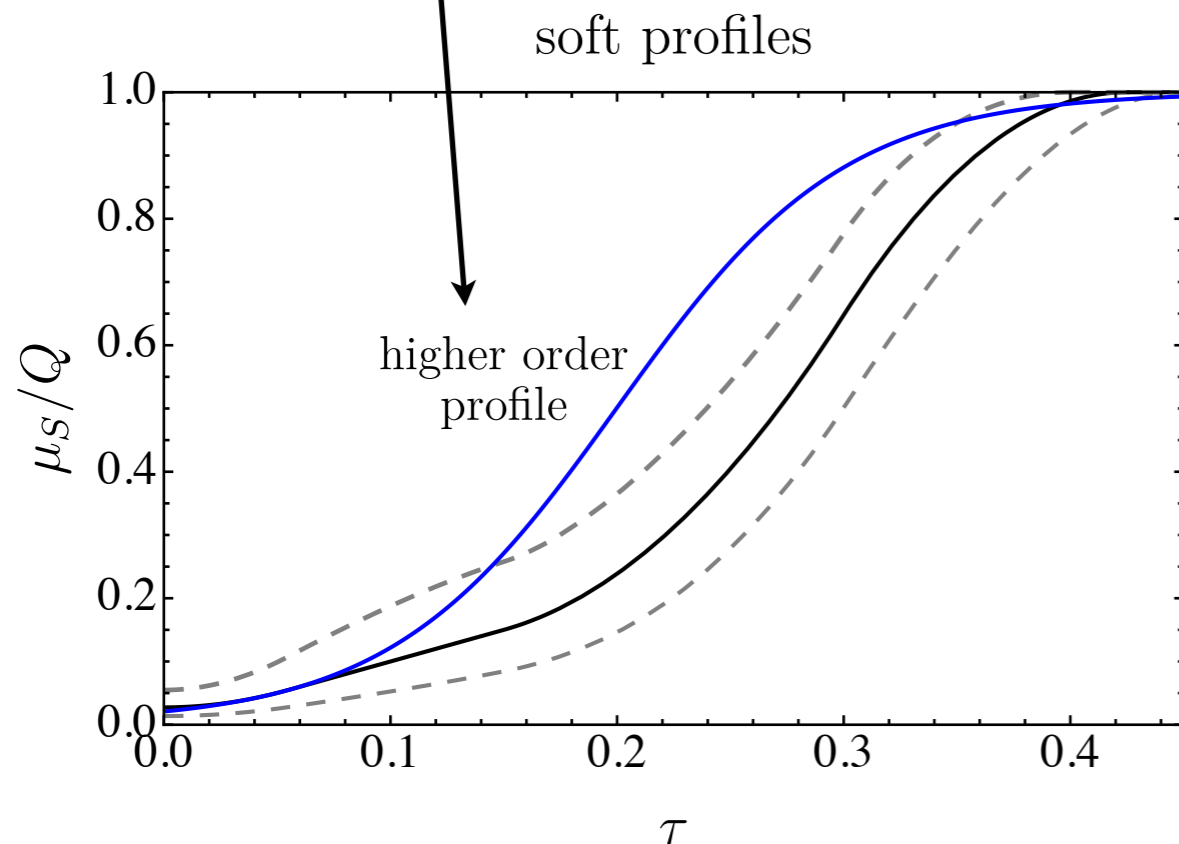
1. Add higher order terms to the spectrum that bring the inclusive cross section close to the fixed order value

Use the fact that the cumulant derivative / spectrum difference is higher order:

$$\text{add } \left[ \Sigma'(\tilde{\mu}_i(\tau), \tau) - \frac{d\sigma}{d\tau}(\tilde{\mu}_i(\tau)) \right] \kappa(\tau)$$

use a special smooth profile  
so that  $d\tilde{\mu}_i/d\tau$  is smooth

suppression factor to  
reduce effect in the tail



# Step 1

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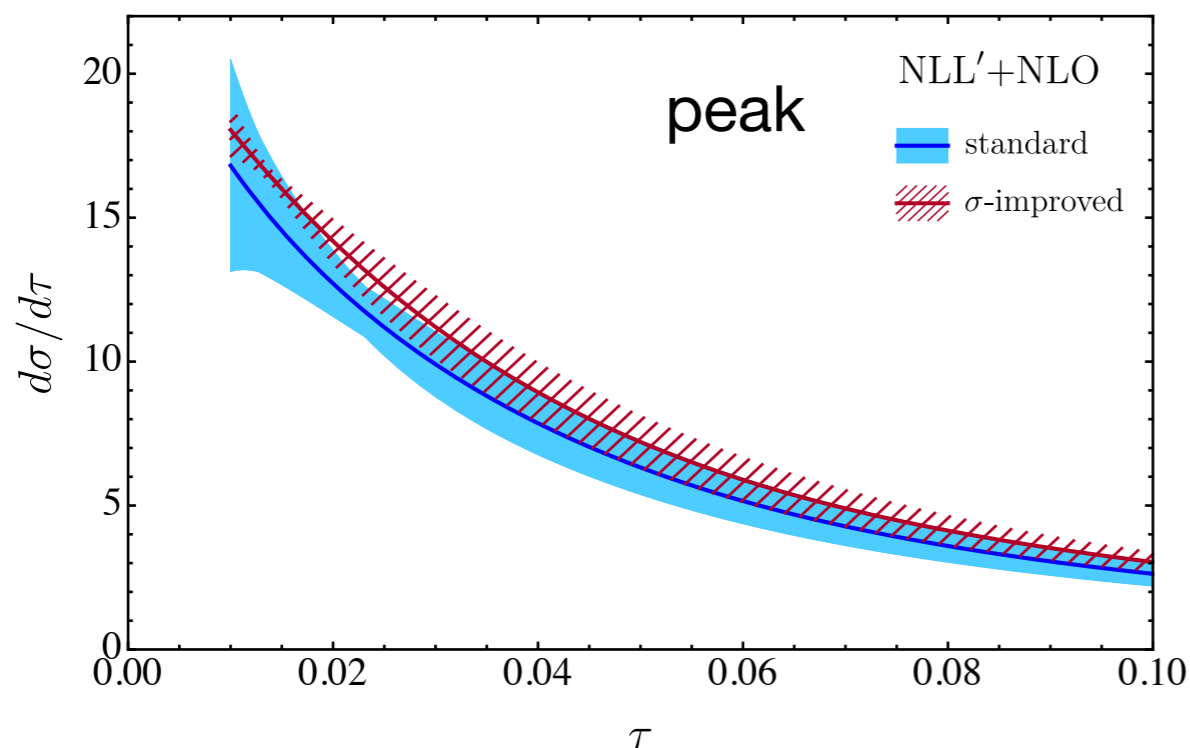
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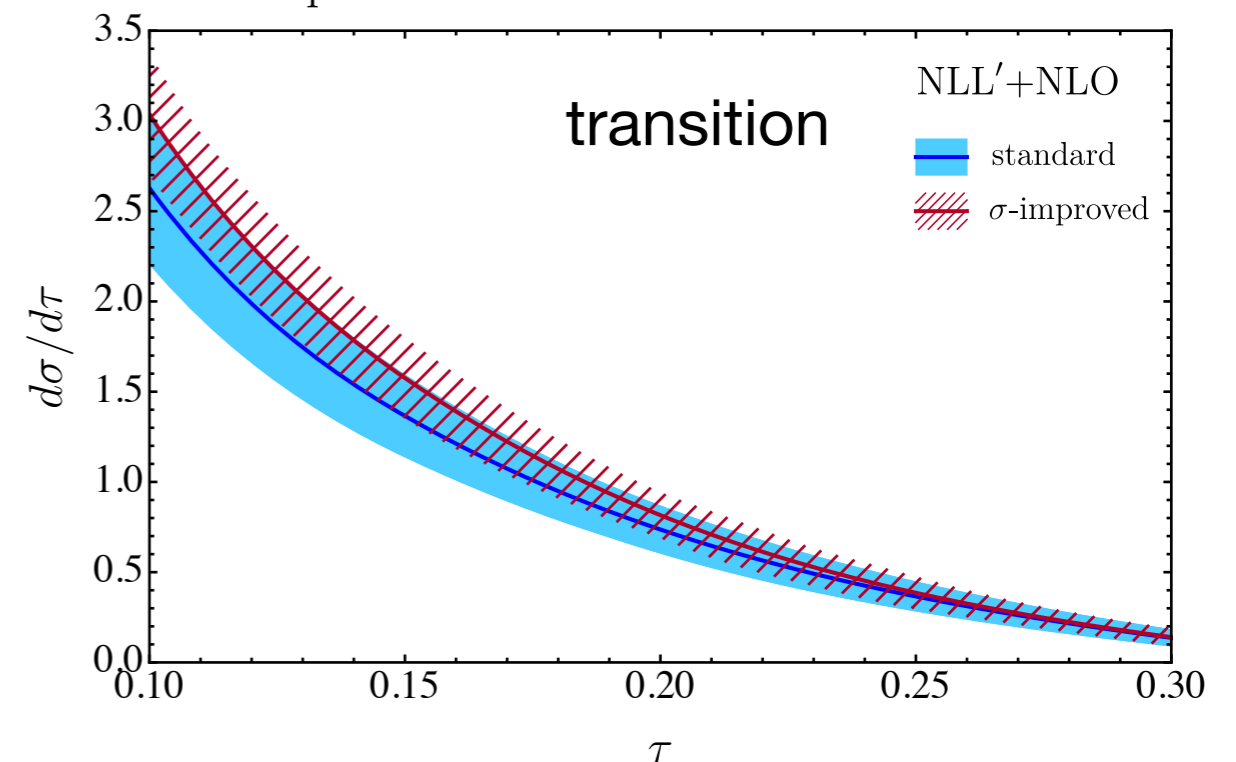
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comparison between resummation methods



comparison between resummation methods



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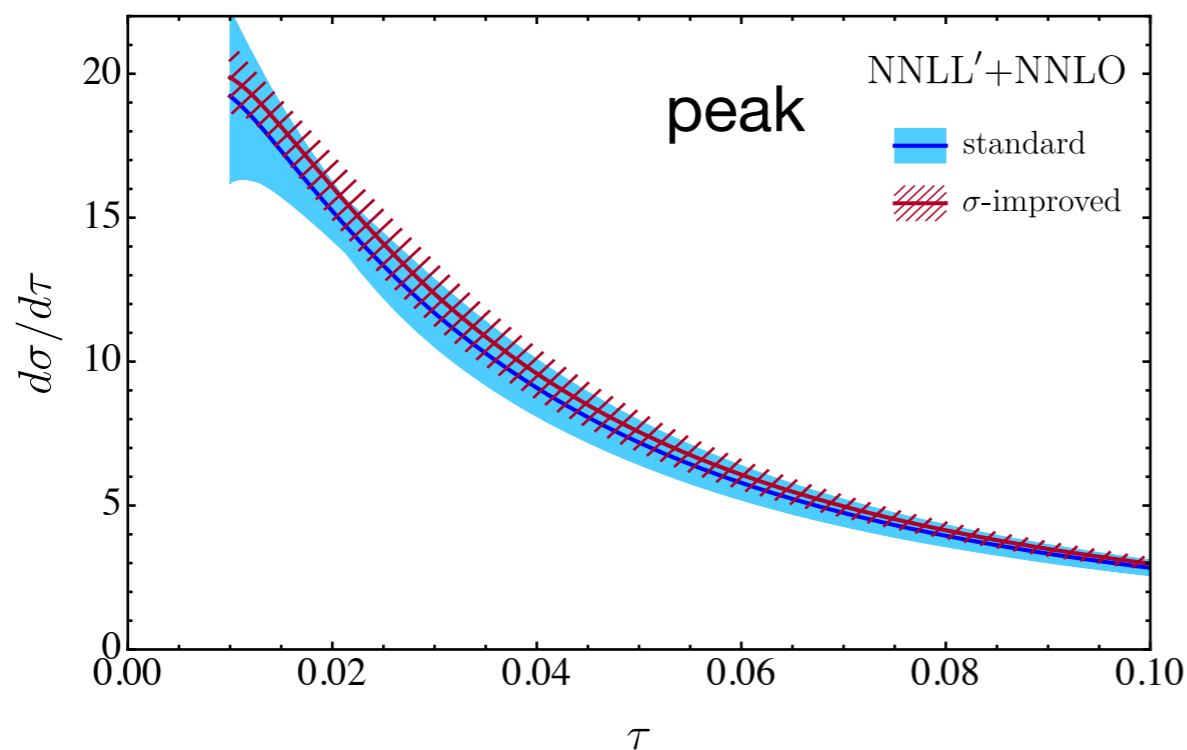
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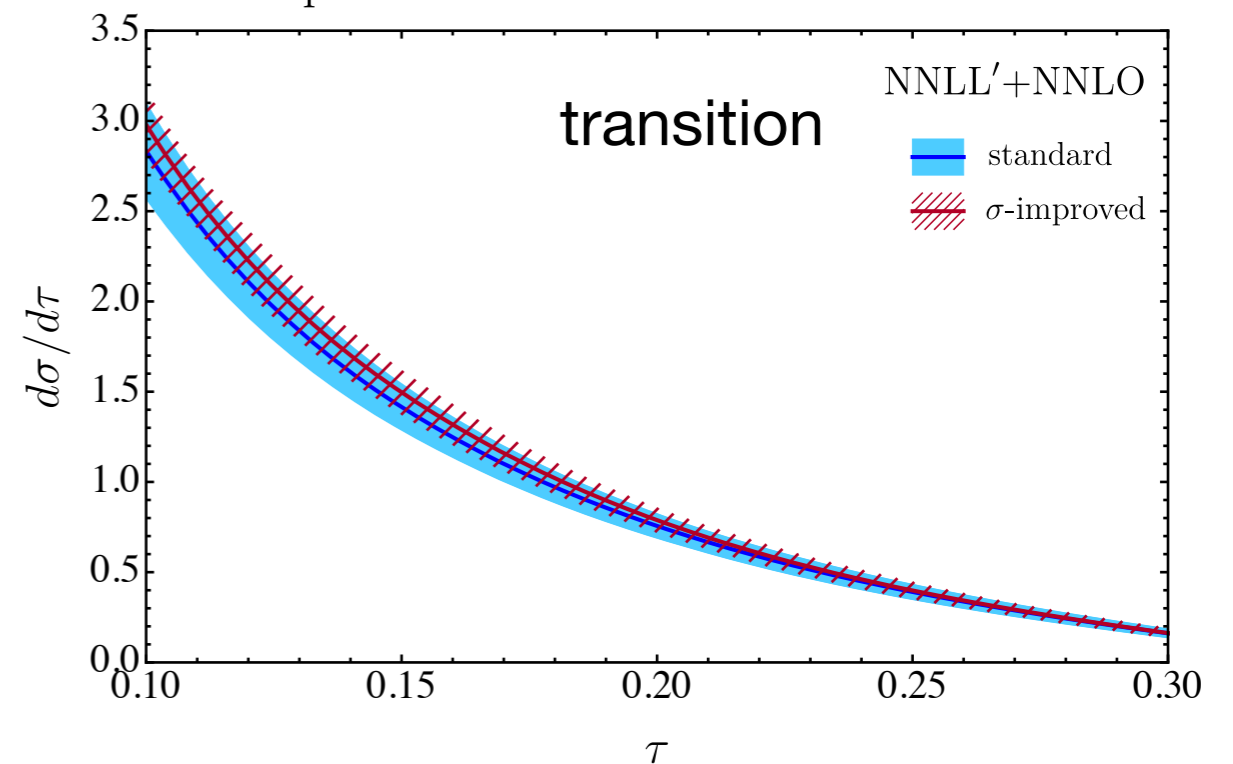
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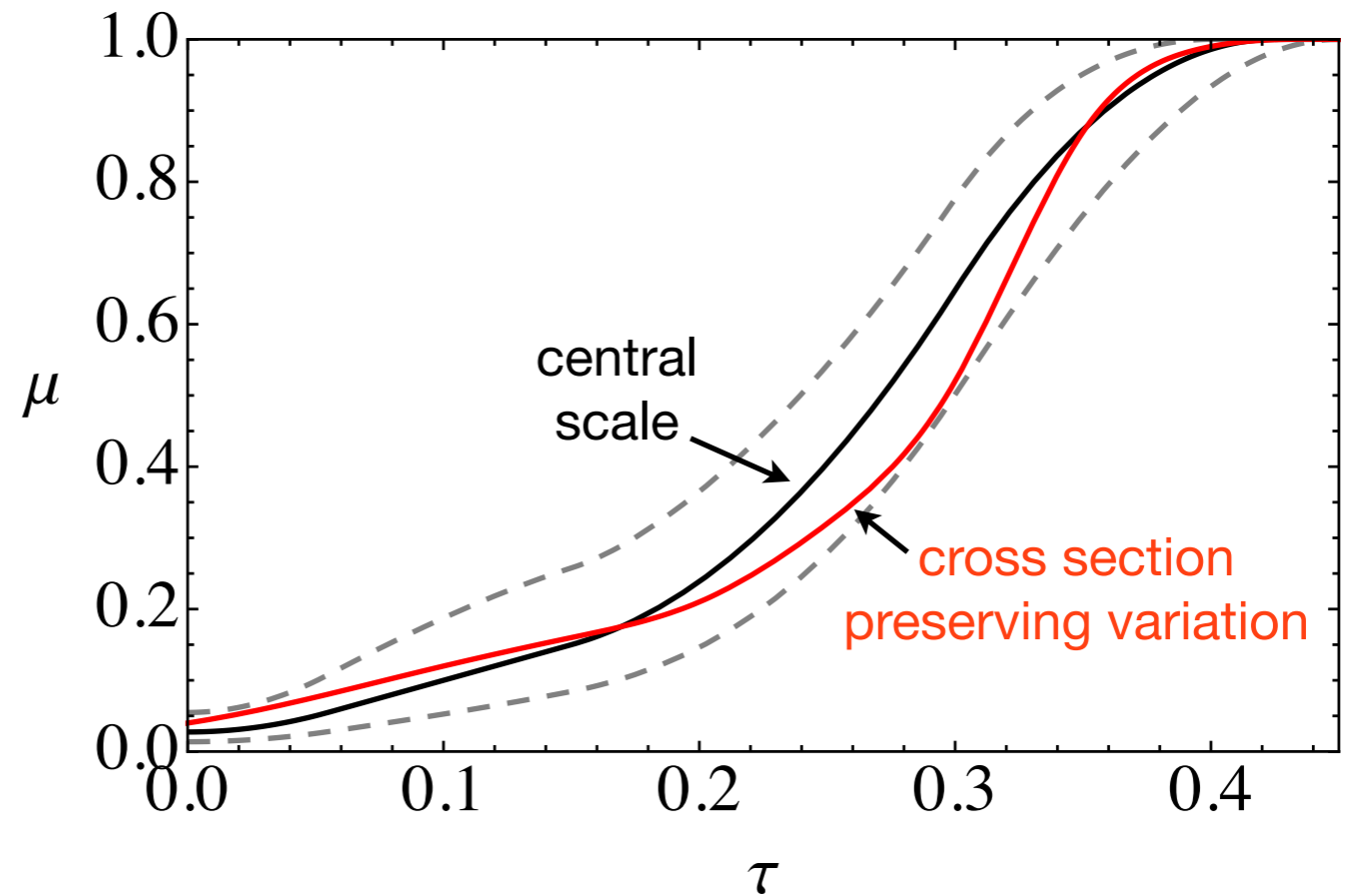


## Step 2

2. Use an algorithm to identify families of soft and jet profiles that preserve the total cross section

we will attempt to *fill* the standard scale variation band with profiles that all preserve the inclusive cross section

preserve reliable point-by-point uncertainties  
*and*  
capture long-distance correlations



## Step 2

2. Use an algorithm to identify families of soft and jet profiles that preserve the total cross section

This can be cast as a math problem: find  $\mu(\tau)$  such that

$$\sigma_{\text{incl}} = \int_0^{\tau_{\text{max}}} d\tau \frac{d\sigma}{d\tau}(\mu(\tau), \tau)$$

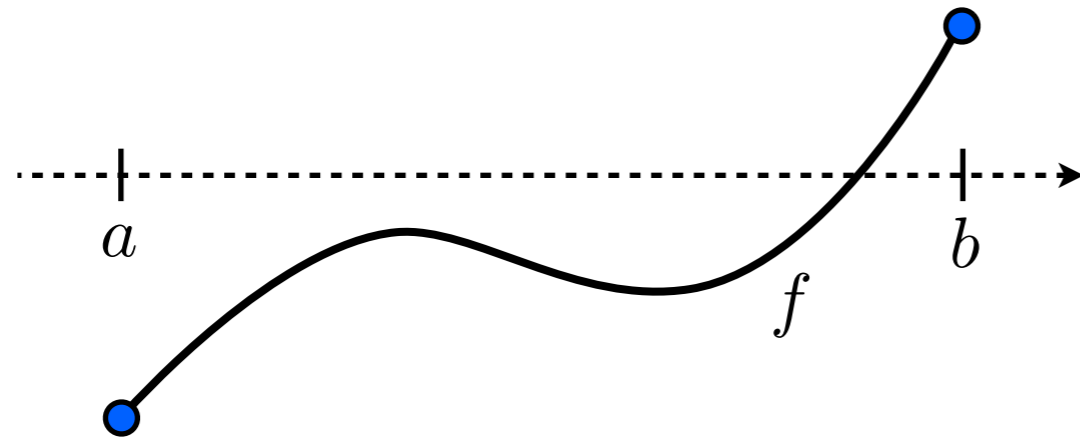
subject to some simple constraints on  $\mu$   
(monotonicity, smoothness, fixed shape near endpoints)

This is a fairly generic problem,  
and we have devised a generic algorithm to solve it

Quiz: what is Bolzano's Theorem?

# The Intermediate Value Theorem (Bolzano's Theorem)

for continuous functions:



$$f(a) < 0 < f(b) \quad \Rightarrow \quad \exists c \in [a, b] \text{ with } f(c) = 0$$



Bernard Bolzano  
(1781-1848)



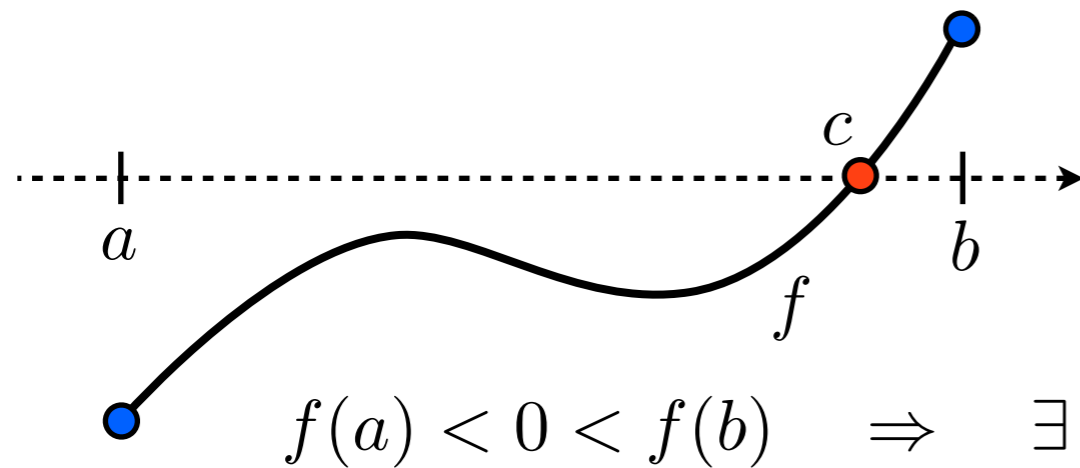
fun application:

At any time, on any great circle,  
there are two points on opposite sides  
of the Earth with the same temperature

$$T(p) - T(\bar{p})$$

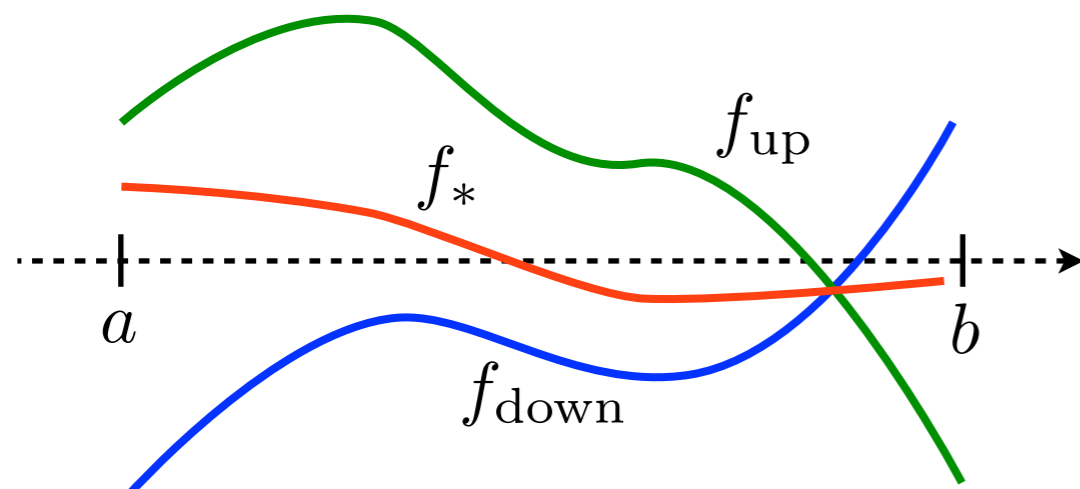


# Extensions of Bolzano's Theorem



standard “single point” case

find a point where a function vanishes



“line” case

find a function with a given integral

$$\int_a^b f_{\text{down}} < 0 < \int_a^b f_{\text{up}} \Rightarrow \int_a^b f_* = 0$$

$$\text{where } f_* = a_* f_{\text{up}} + (1 - a_*) f_{\text{down}}, \quad a_* = \frac{-\int_a^b f_{\text{down}}}{\int_a^b (f_{\text{up}} - f_{\text{down}})}$$

$$\forall x, f_{\text{down}}(x) \leq f_*(x) \leq f_{\text{up}}(x) \text{ or } f_{\text{down}}(x) \geq f_*(x) \geq f_{\text{up}}(x)$$

# Extensions of Bolzano's Theorem

suppose we want to find  $g(x)$  satisfying

“parametric line” case (our case)

$$\int dx R[g(x), x] = A \quad \text{where } A \text{ is a non-extremal constant}$$

take two  $g$  satisfying

$$\int dx R[g_{\text{down}}(x), x] = A_{\text{down}} < A$$

$$\int dx R[g_{\text{up}}(x), x] = A_{\text{up}} > A$$

then there is some  $g$  such that  $\int dx R[g_*(x), x] = A$

$$\text{for instance } g_* = a_* g_{\text{up}} + (1 - a_*) g_{\text{down}}, \quad a_* \in [0, 1]$$

in fact, there are infinitely many  $g_*$  such that

$$\forall x, g_{\text{down}}(x) \leq g_*(x) \leq g_{\text{up}}(x) \quad \text{or} \quad g_{\text{down}}(x) \geq g_*(x) \geq g_{\text{up}}(x)$$

# The Bolzano Algorithm

1. Identify a set of candidate profiles  $\mu$

2. Separate candidate profiles by whether or not they give an inclusive cross section less than or greater than the true inclusive cross section

$$\{\mu\} \rightarrow \{\mu_{\text{up}}\}, \{\mu_{\text{down}}\}$$

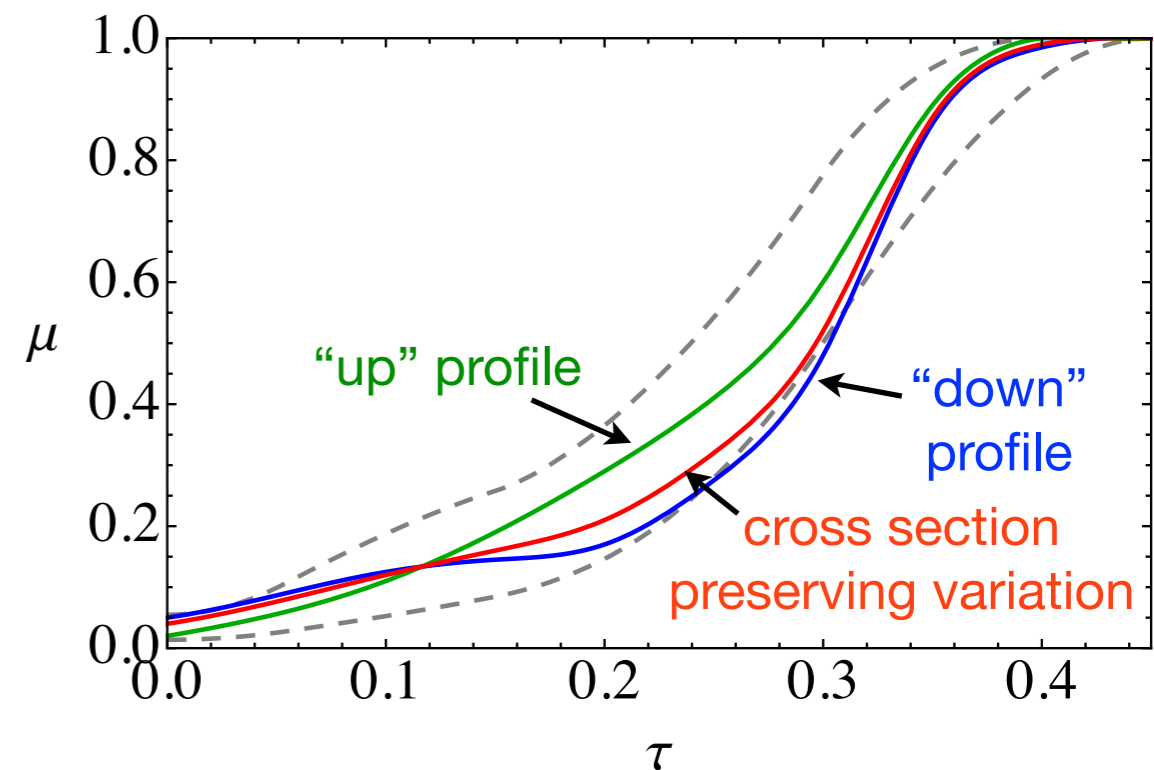
3. On every pair of “down” and “up” profiles, find  $a_*$  such that

$$\mu_* = a_*\mu_{\text{up}} + (1 - a_*)\mu_{\text{down}}$$

has the correct inclusive cross section

4. Select all  $\mu_*$  satisfying desired properties:

- Monotonicity
- Smoothness
- $\forall \tau, \mu_{\text{down}}^{\text{vary}}(\tau) \leq \mu_*(\tau) \leq \mu_{\text{up}}^{\text{vary}}(\tau)$



can replace step 3 with a spectrum-space solution:

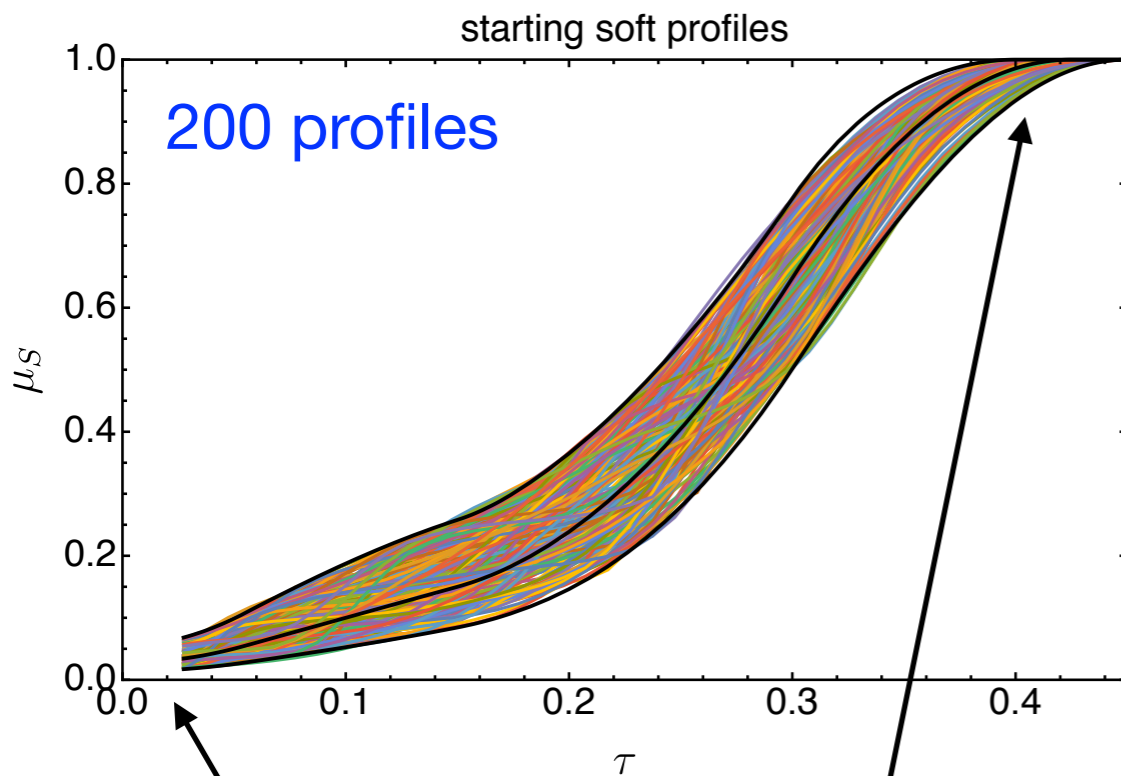
$$\mu_* = \sigma^{-1} \left[ \sigma_* = b_*\sigma(\mu_{\text{up}}) + (1 - b_*)\sigma(\mu_{\text{down}}) \right]$$

$N$  candidate profiles  
give  $\sim N^2/4$  solutions

# Profiles: Algorithm and Solutions

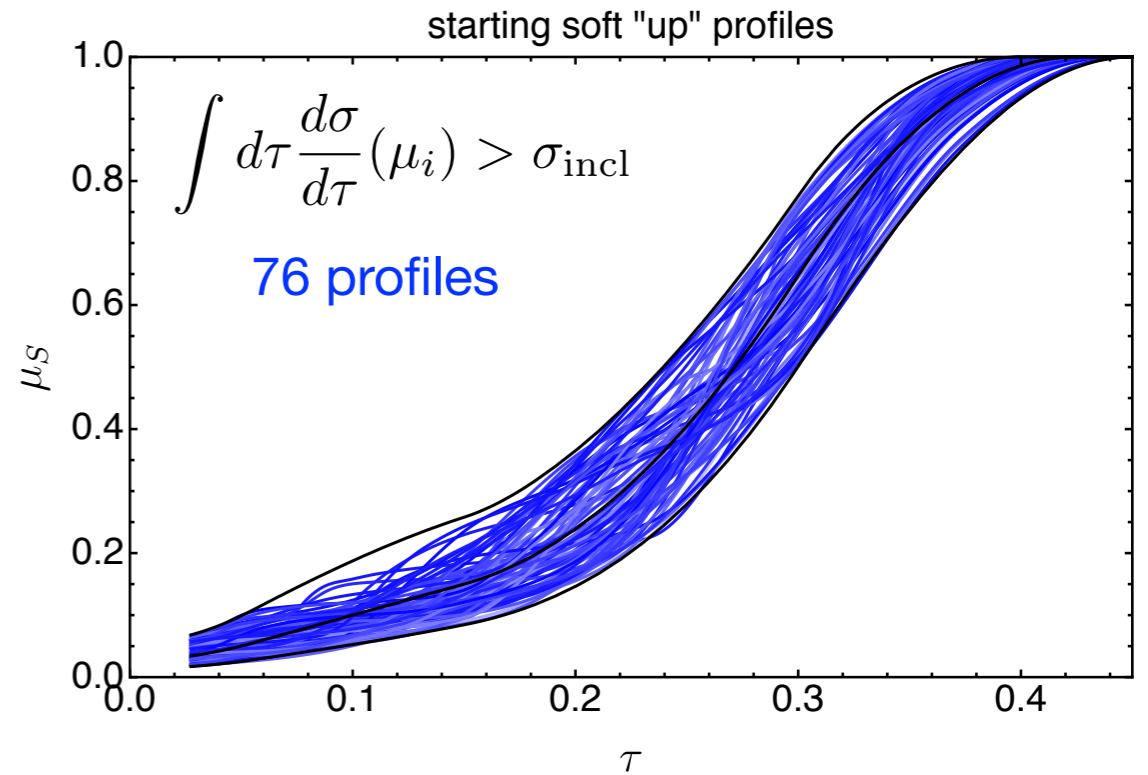
start with random profiles that fill out the standard uncertainty band

divide into groups by the total cross section

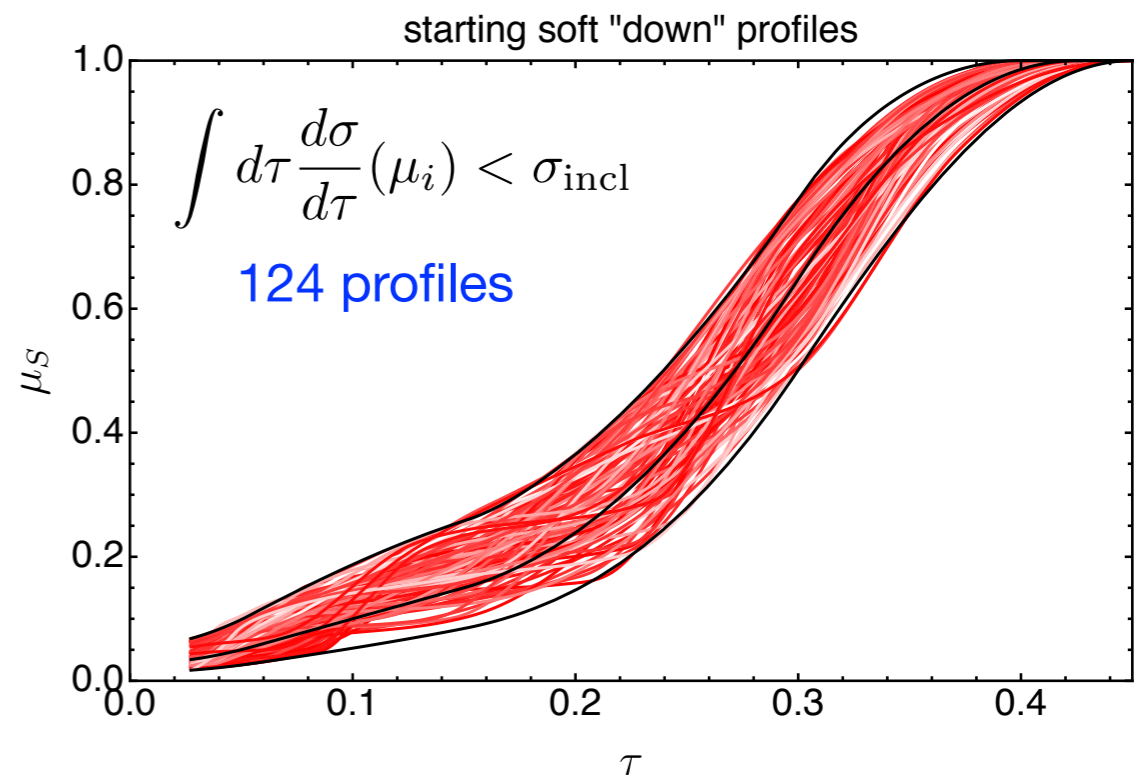


fix the shapes in the small and large thrust regions

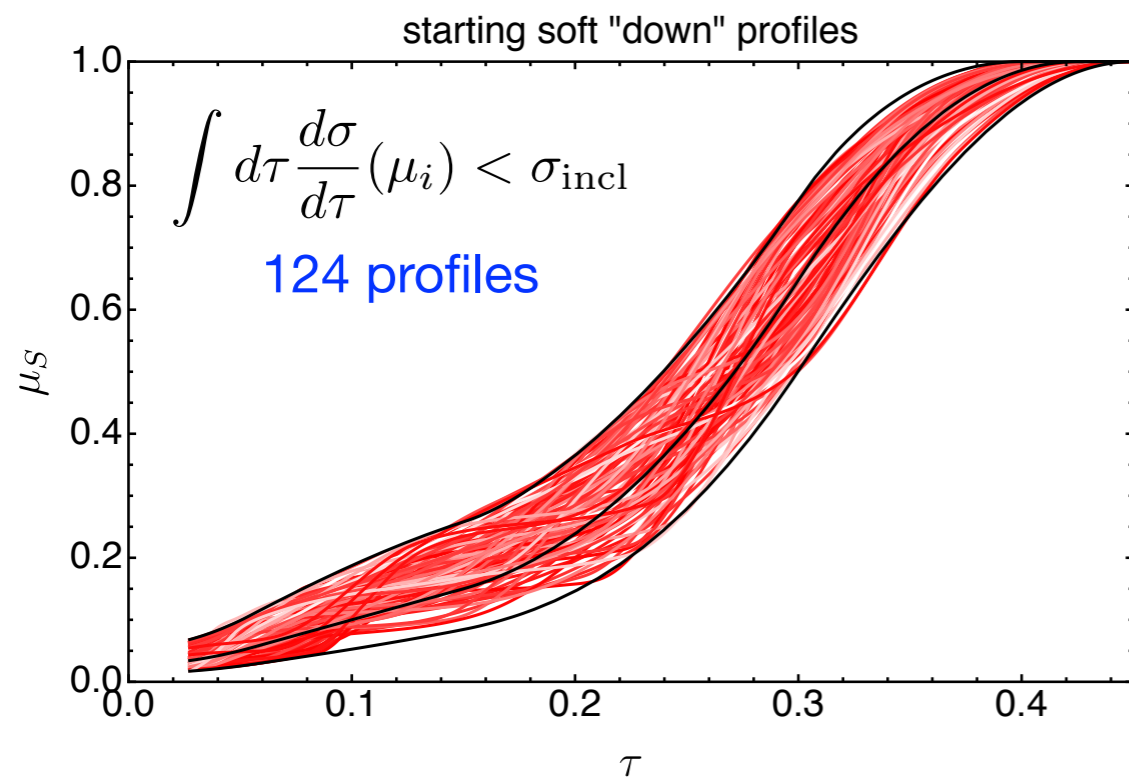
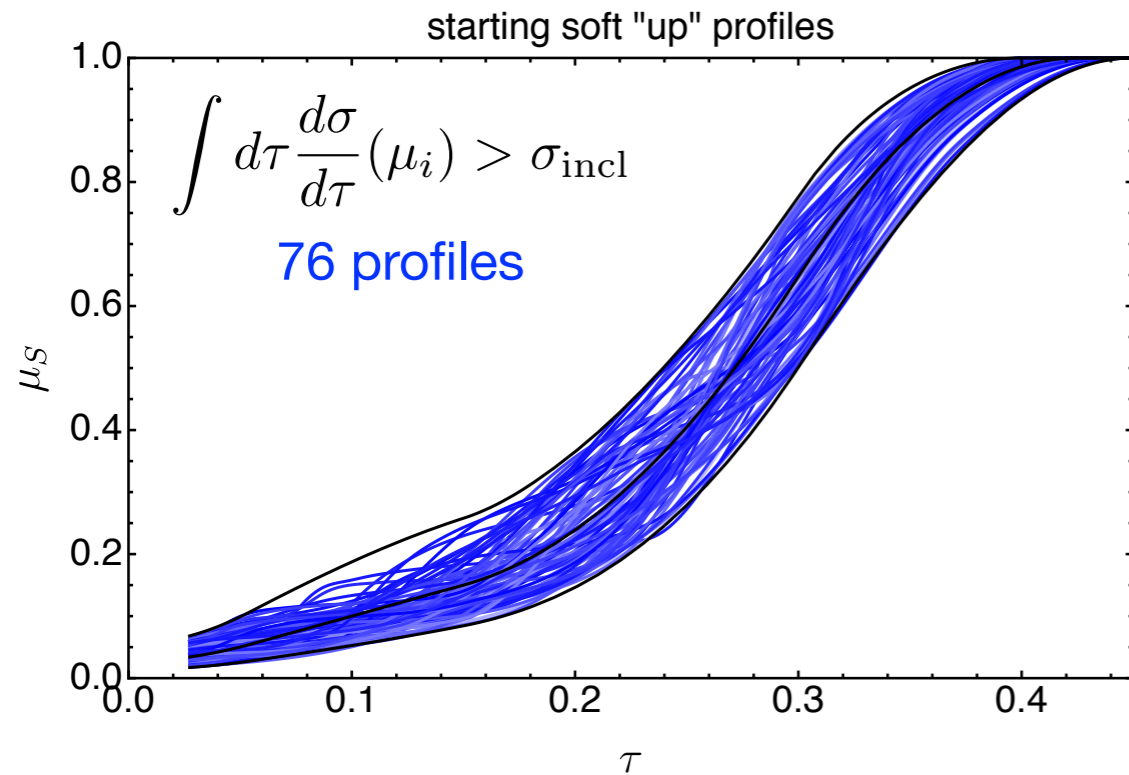
up



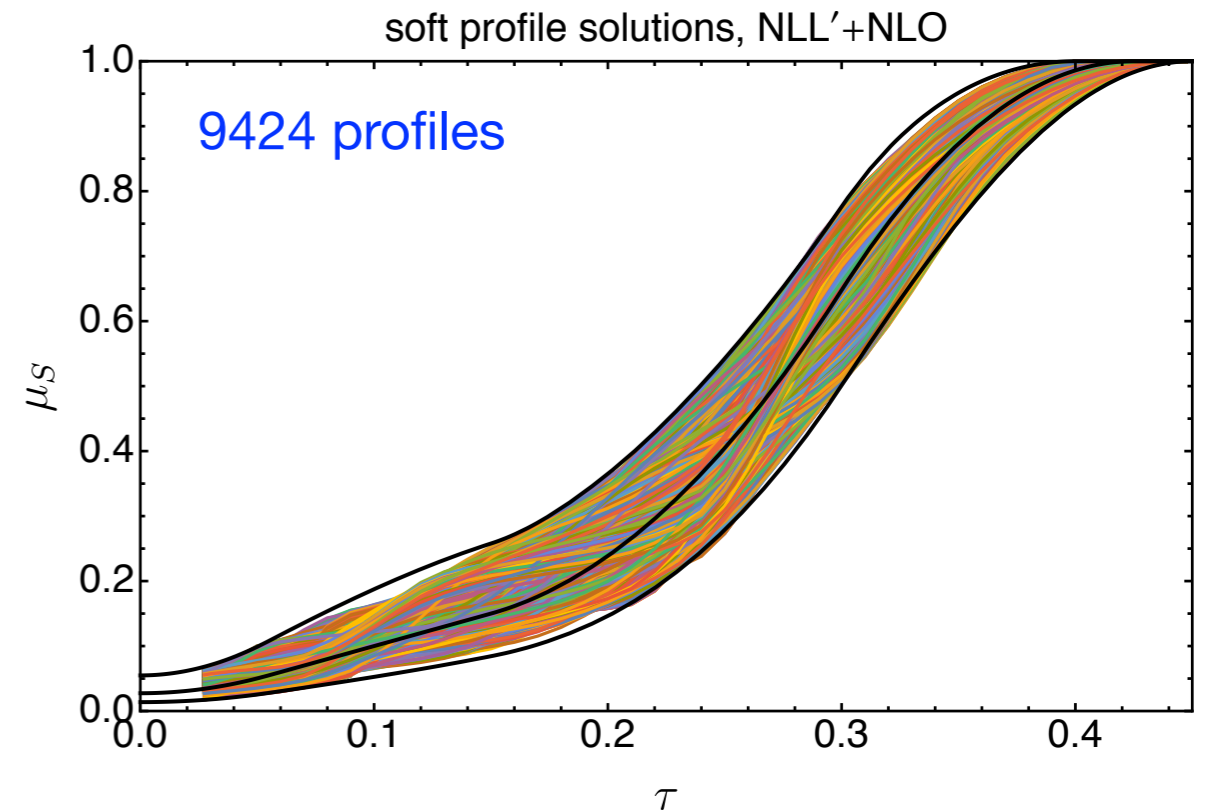
down



# Profiles: Algorithm and Solutions

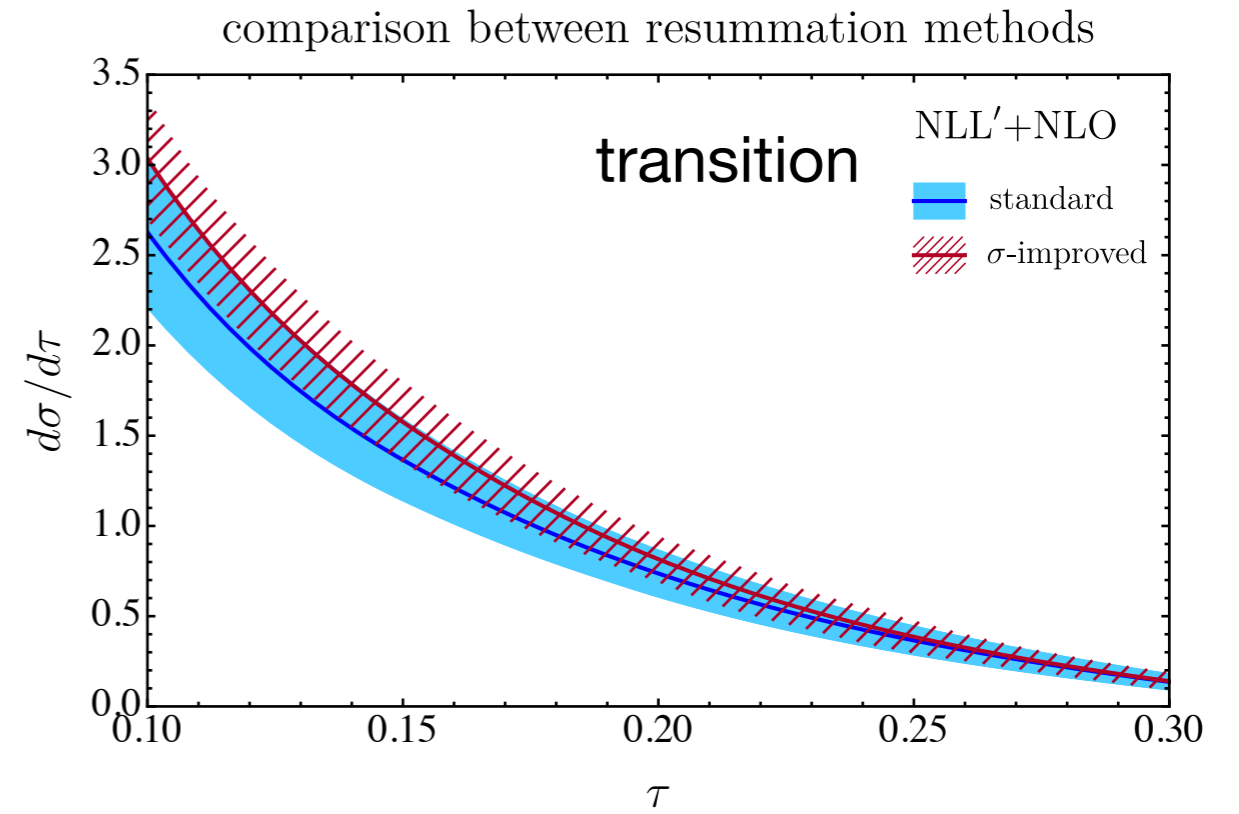
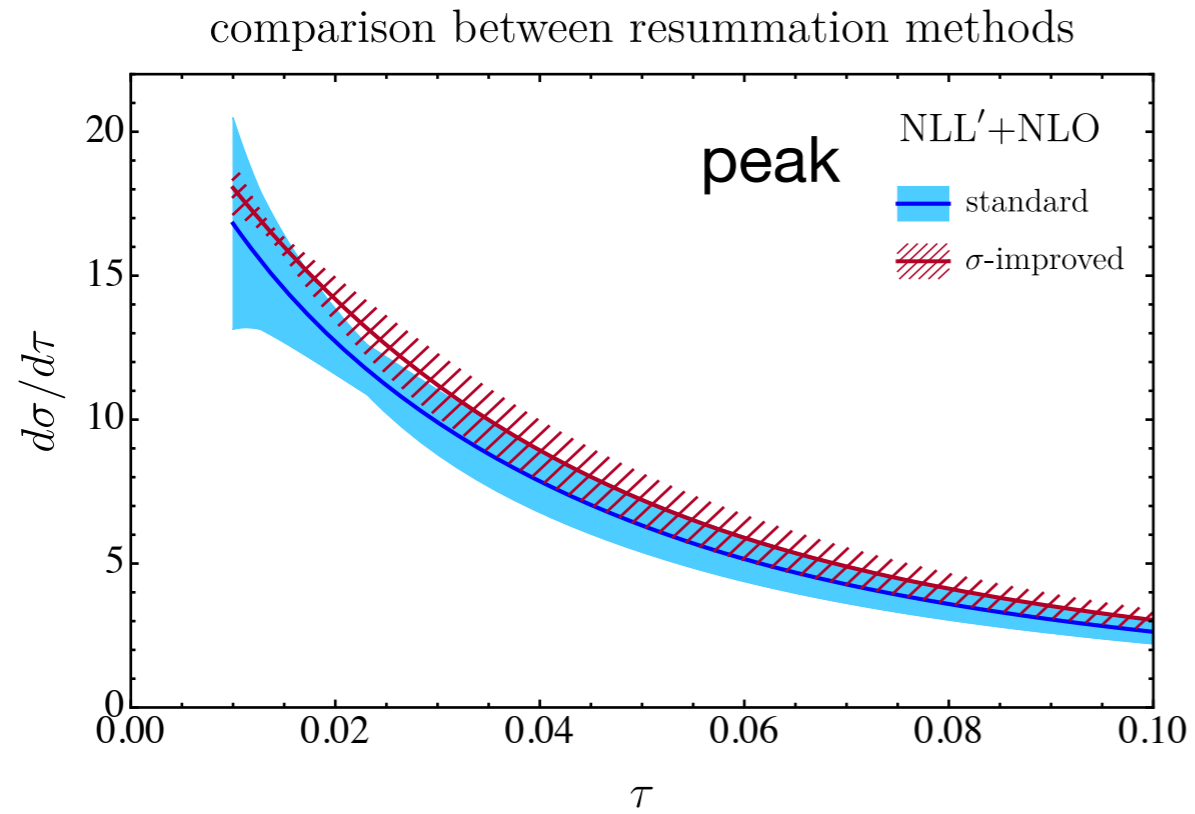


run Bolzano algorithm  
to find solutions

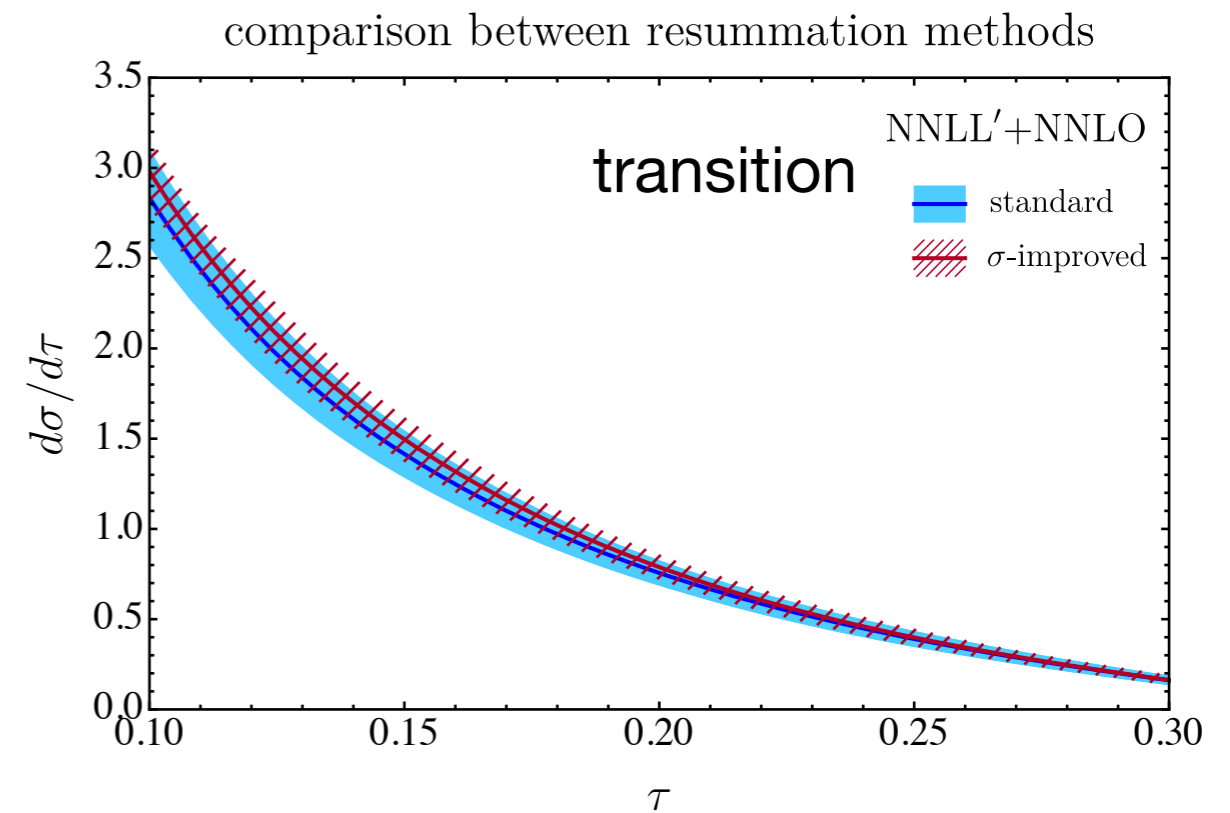
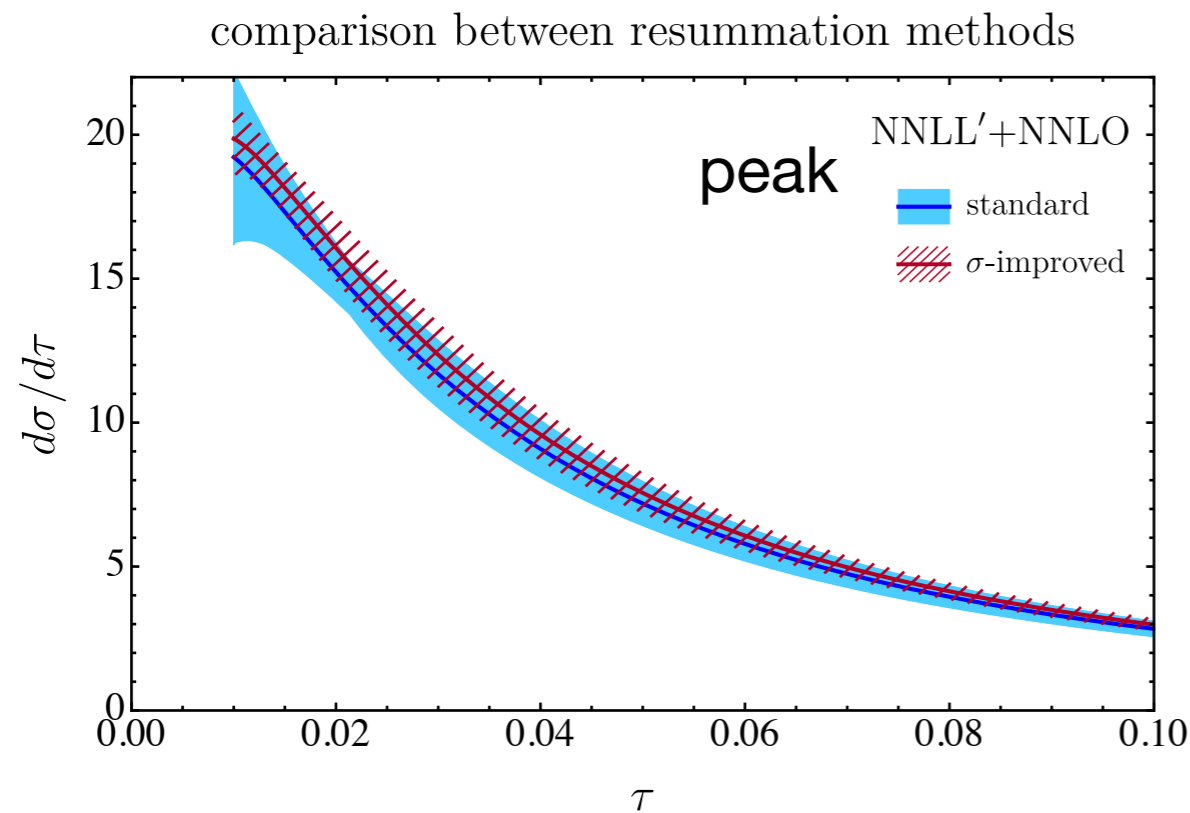
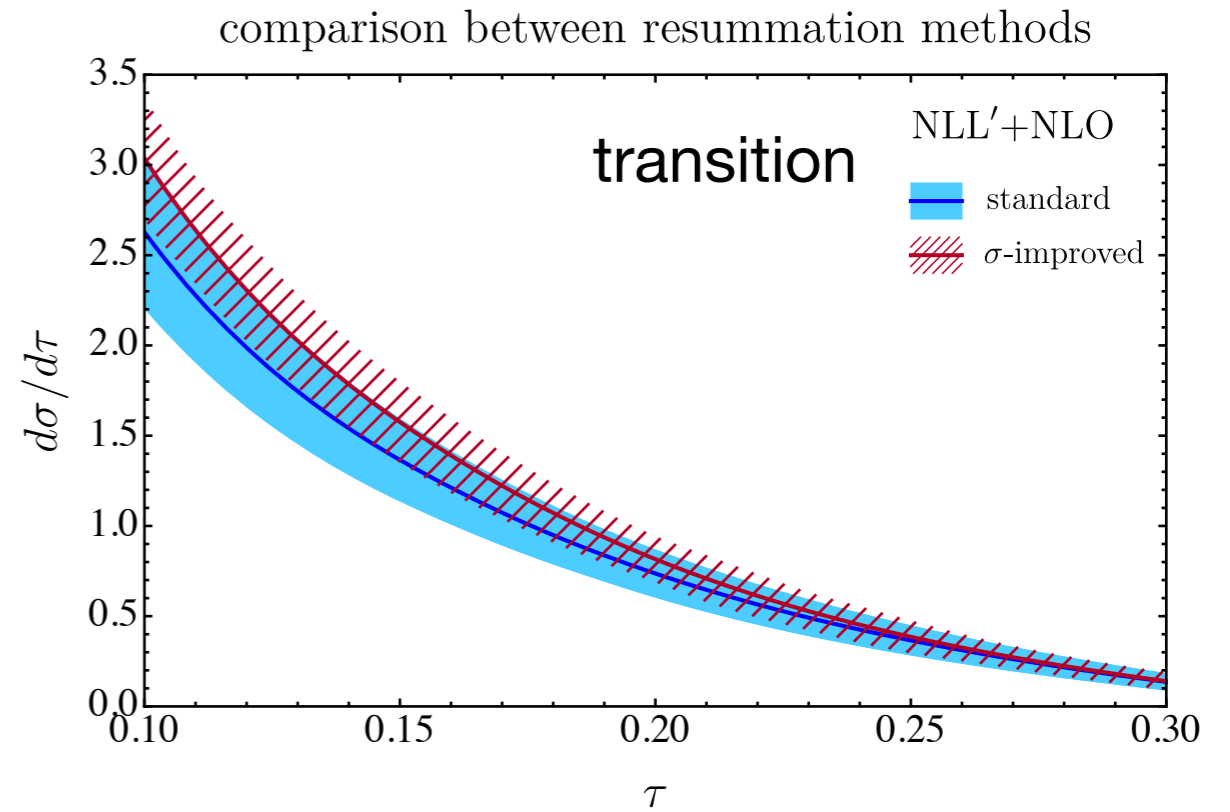
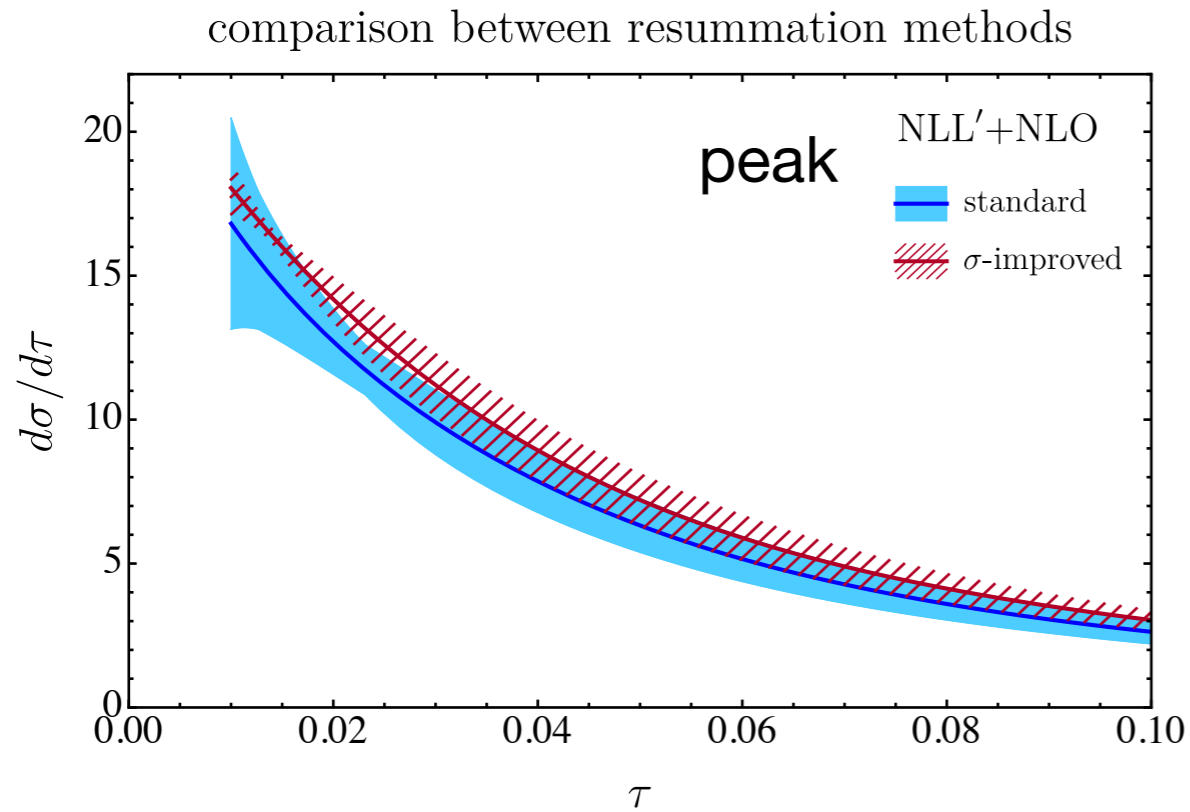


do the same thing for  
the jet profiles

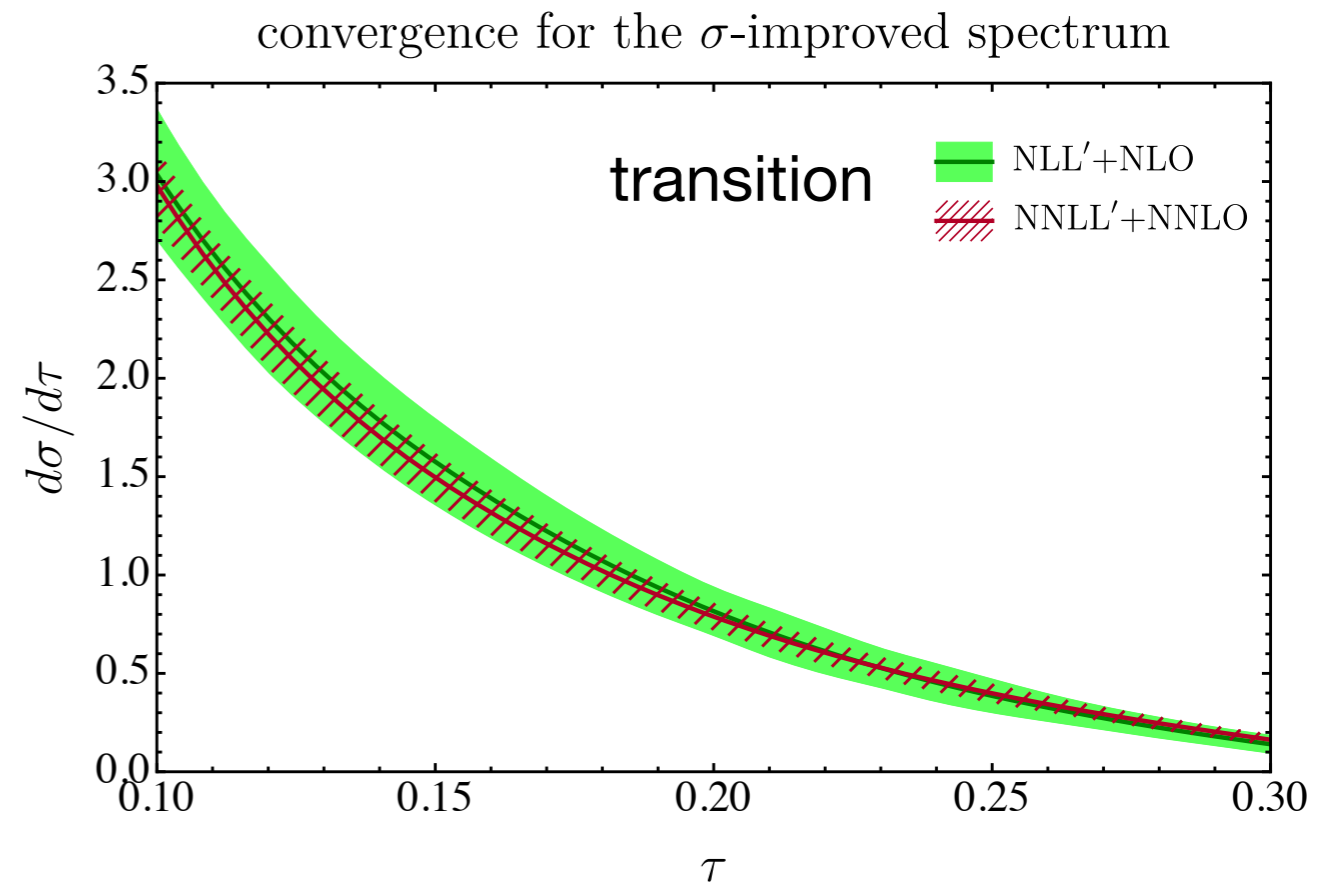
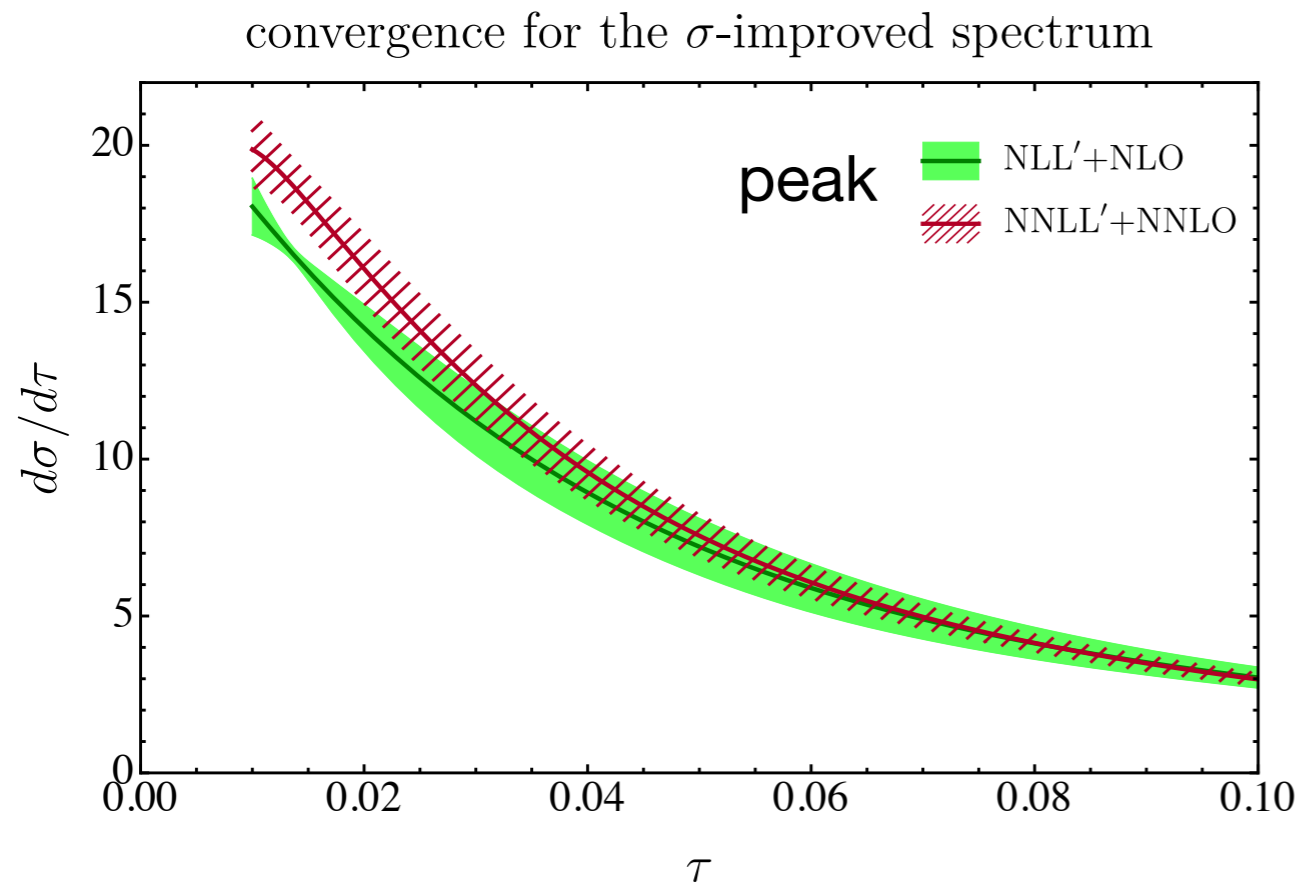
# Comparison between Resummation Methods



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# Convergence

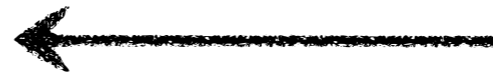
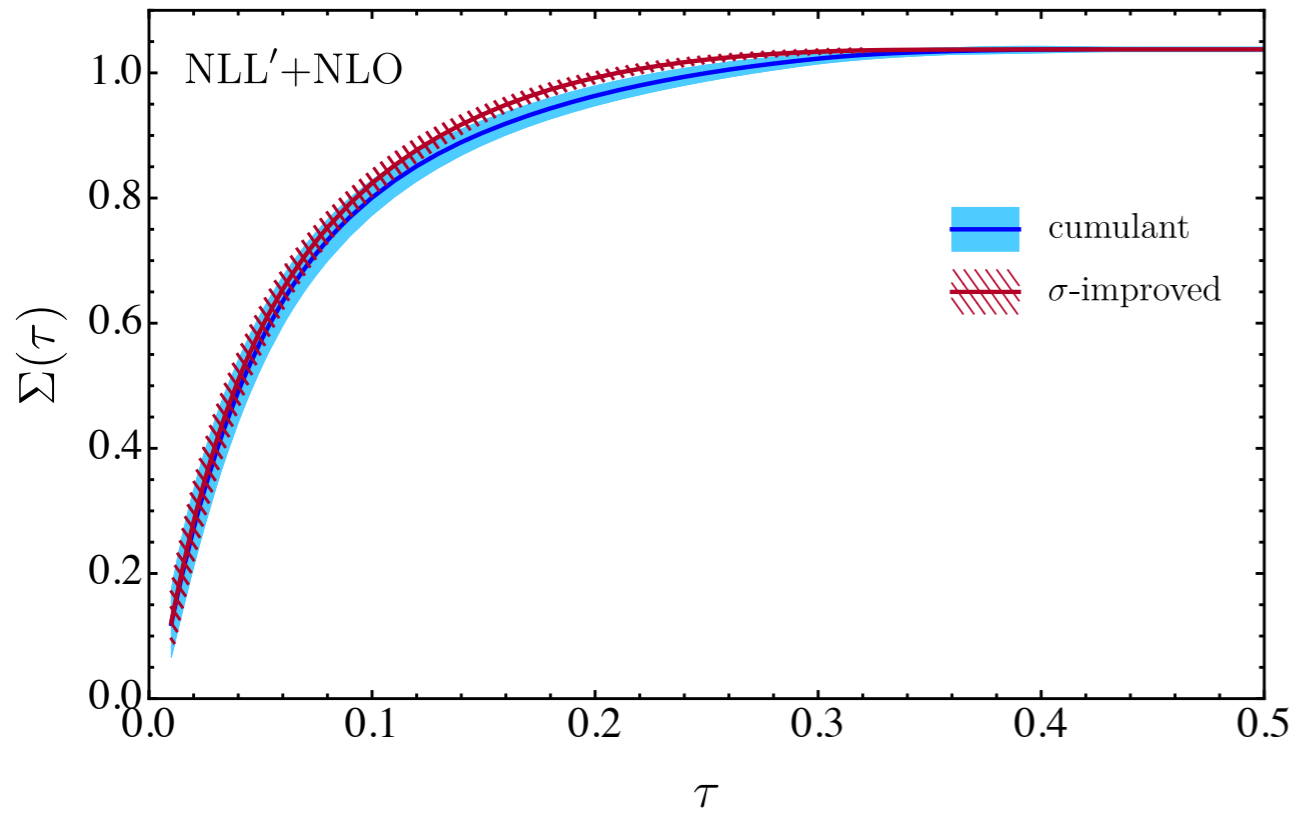


slight non-convergence in the peak region  
exists also in the standard resummed spectrum  
(artifact of pinching in resummation scale dependence)



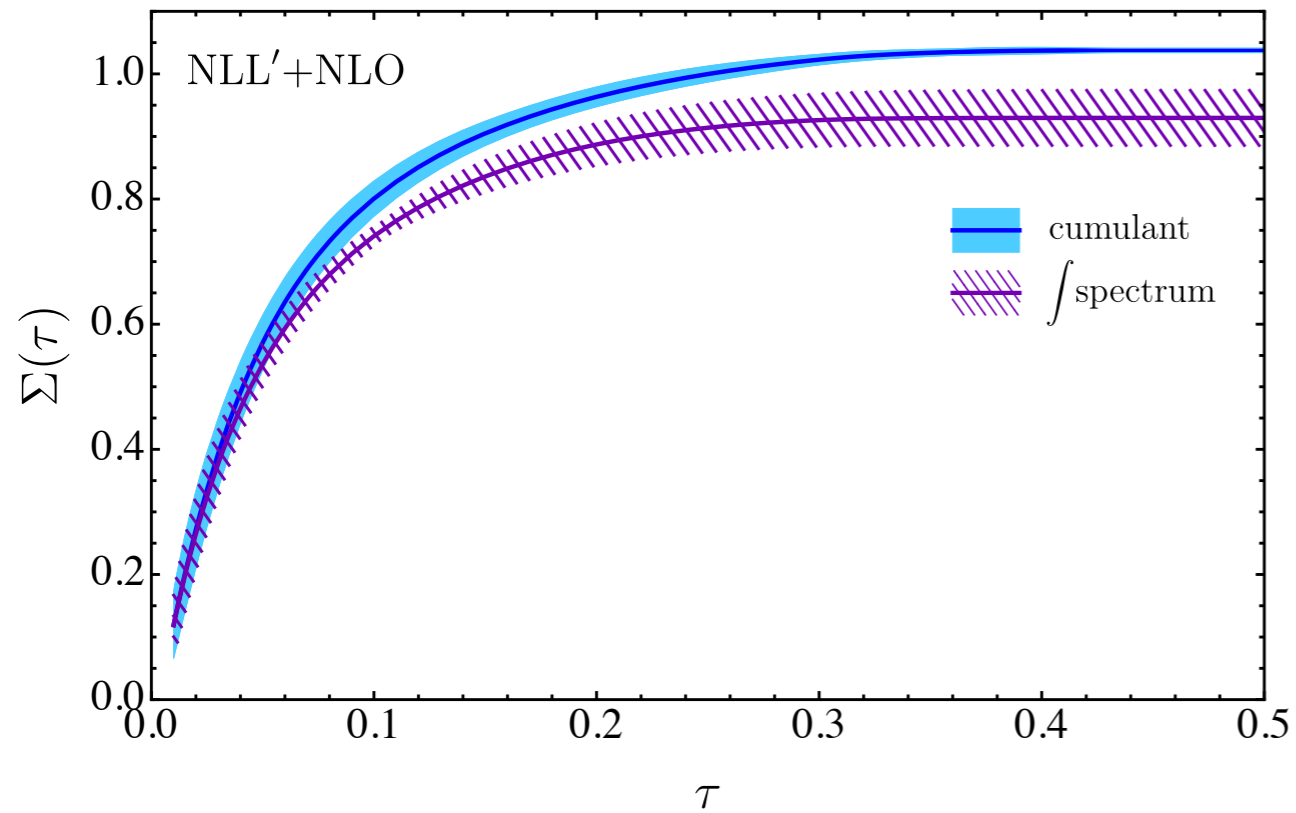
# Cumulant

cumulant vs. integrated  $\sigma$ -improved spectrum



“ $\sigma$ -improved”  
spectrum  
resummation

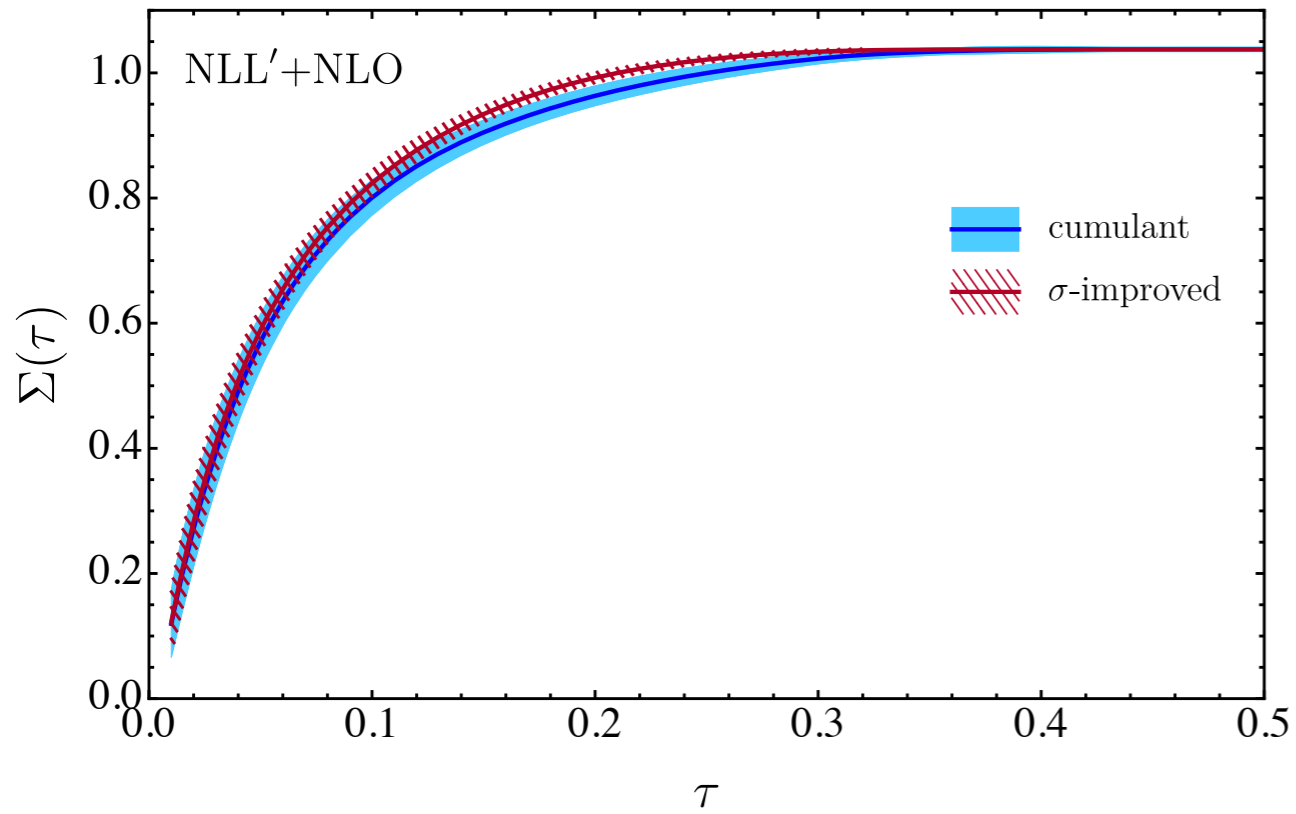
cumulant vs. integrated spectrum



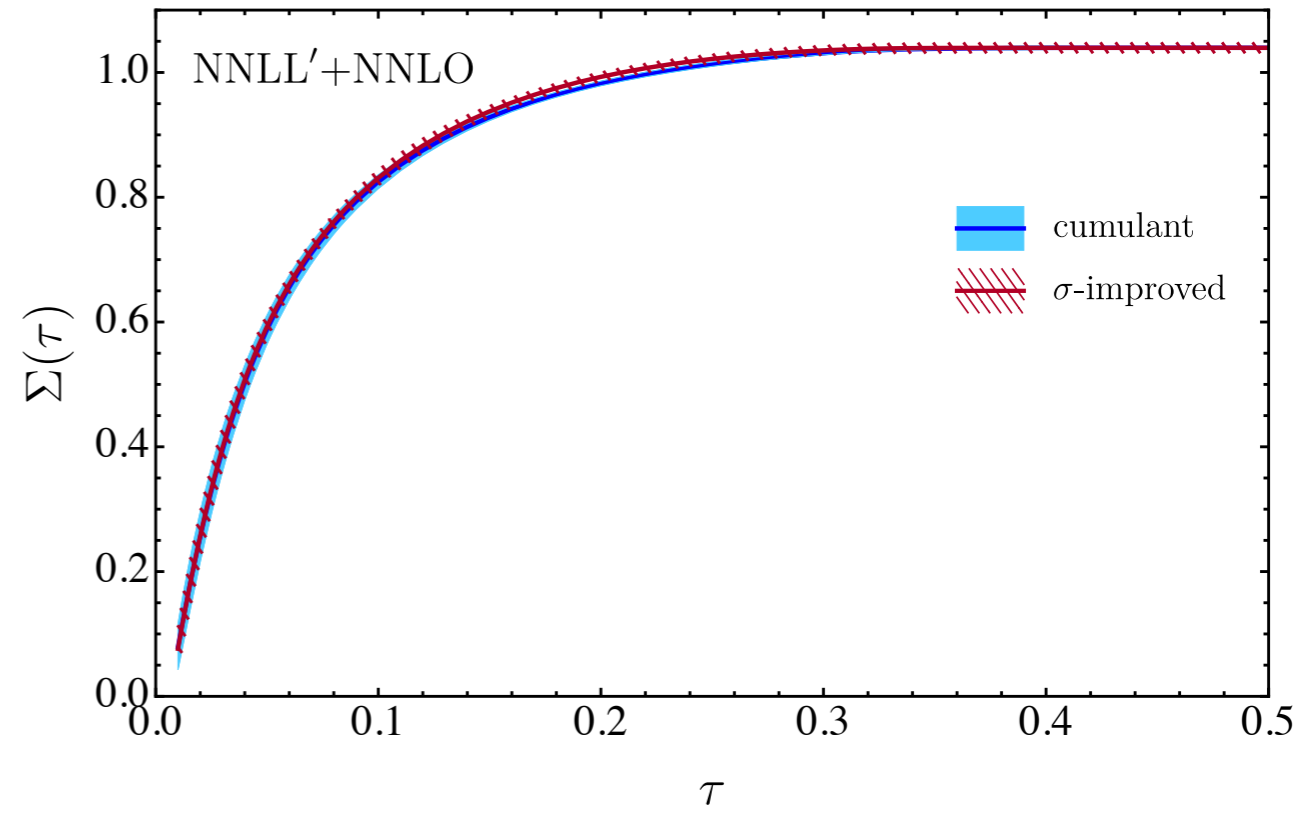
standard  
cumulant/spectrum  
resummation

# Cumulant

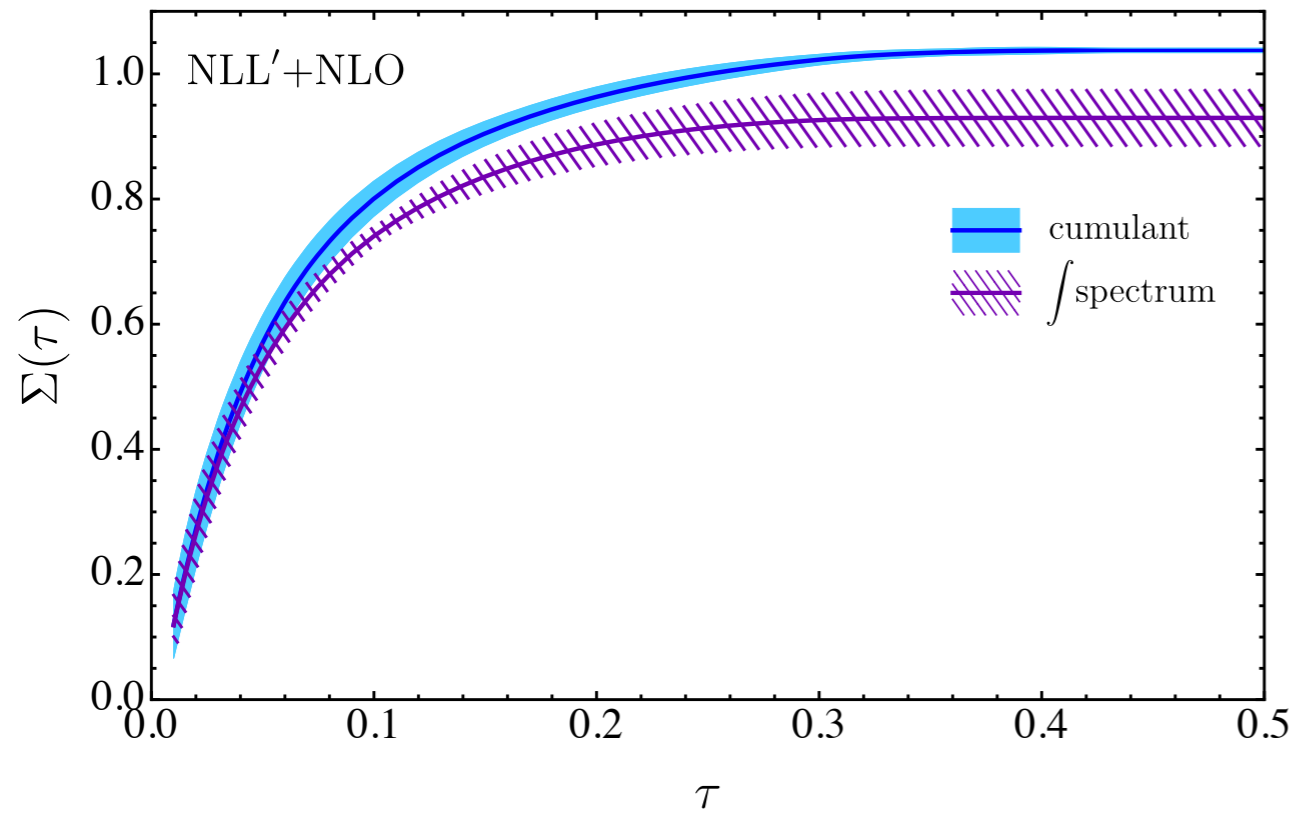
cumulant vs. integrated  $\sigma$ -improved spectrum



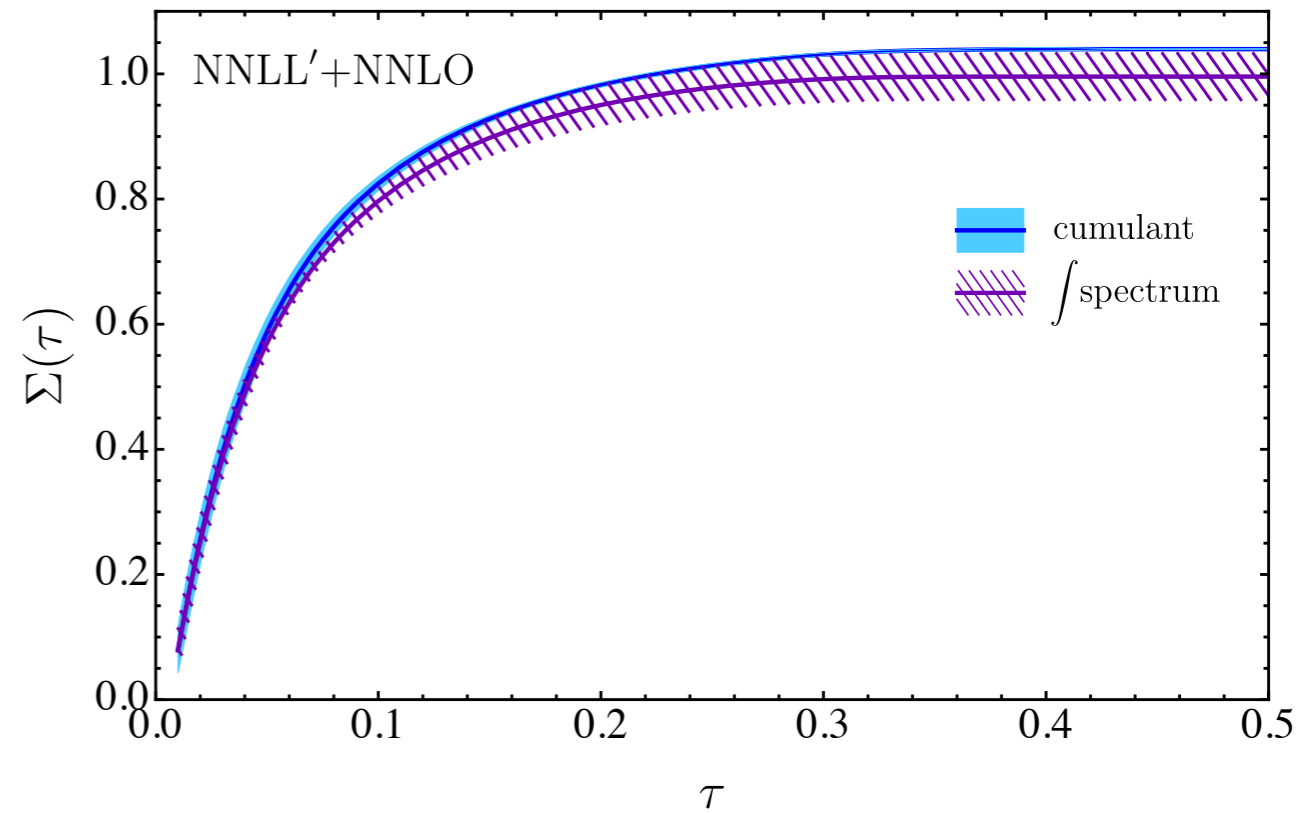
cumulant vs. integrated  $\sigma$ -improved spectrum



cumulant vs. integrated spectrum

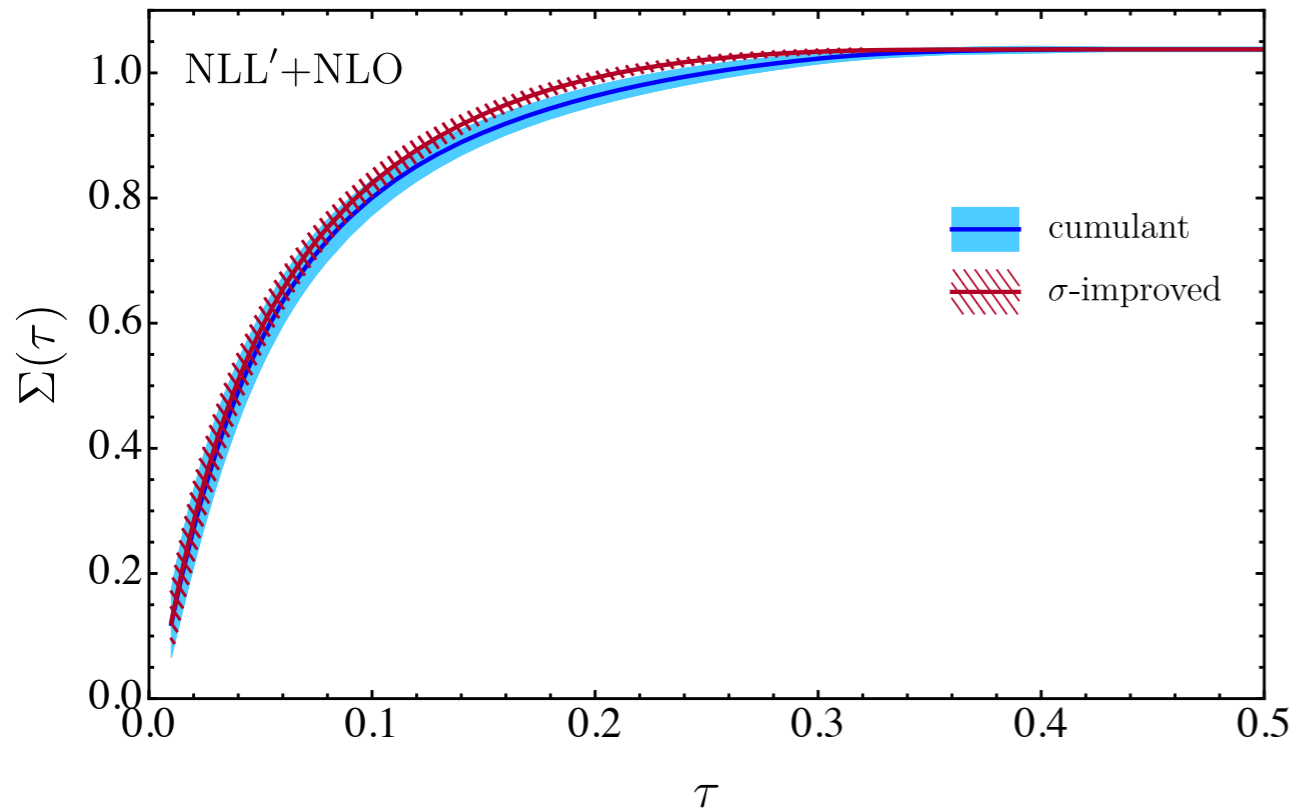


cumulant vs. integrated spectrum

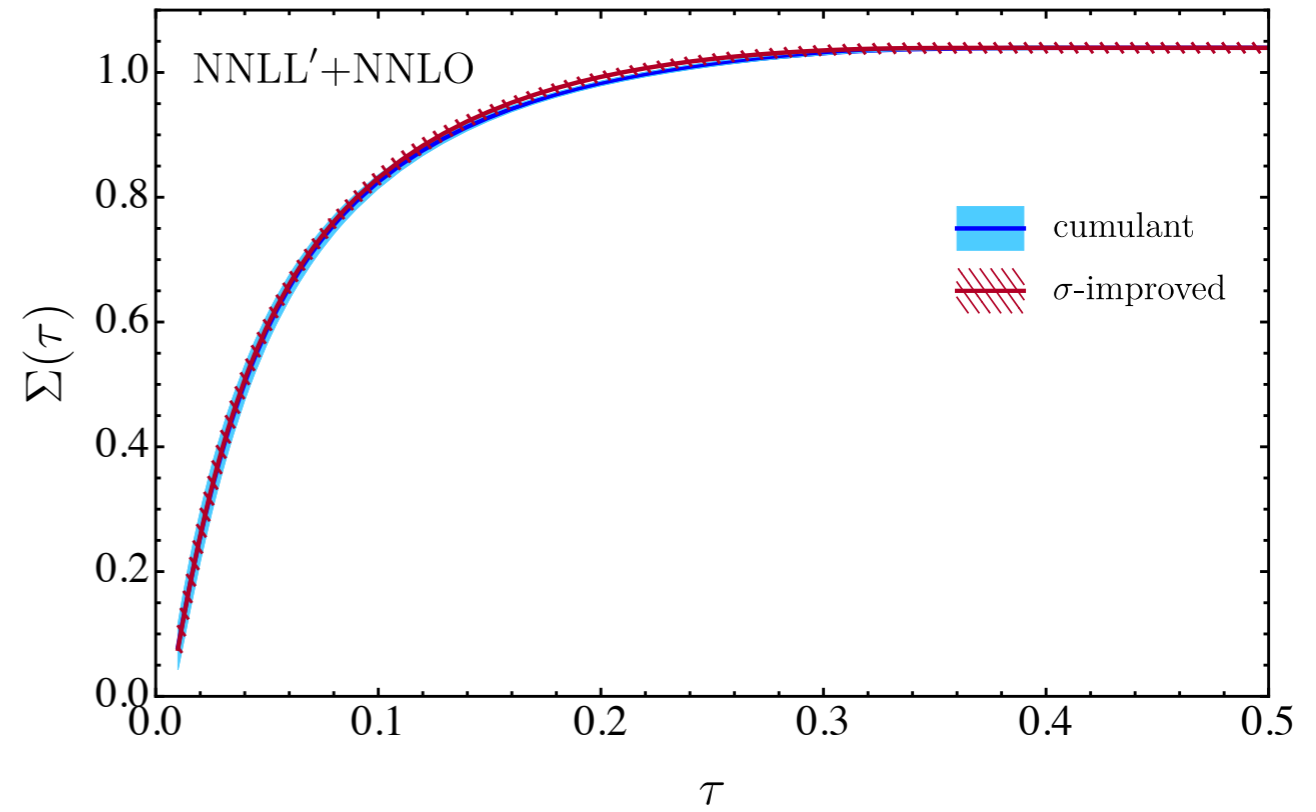


# Cumulant

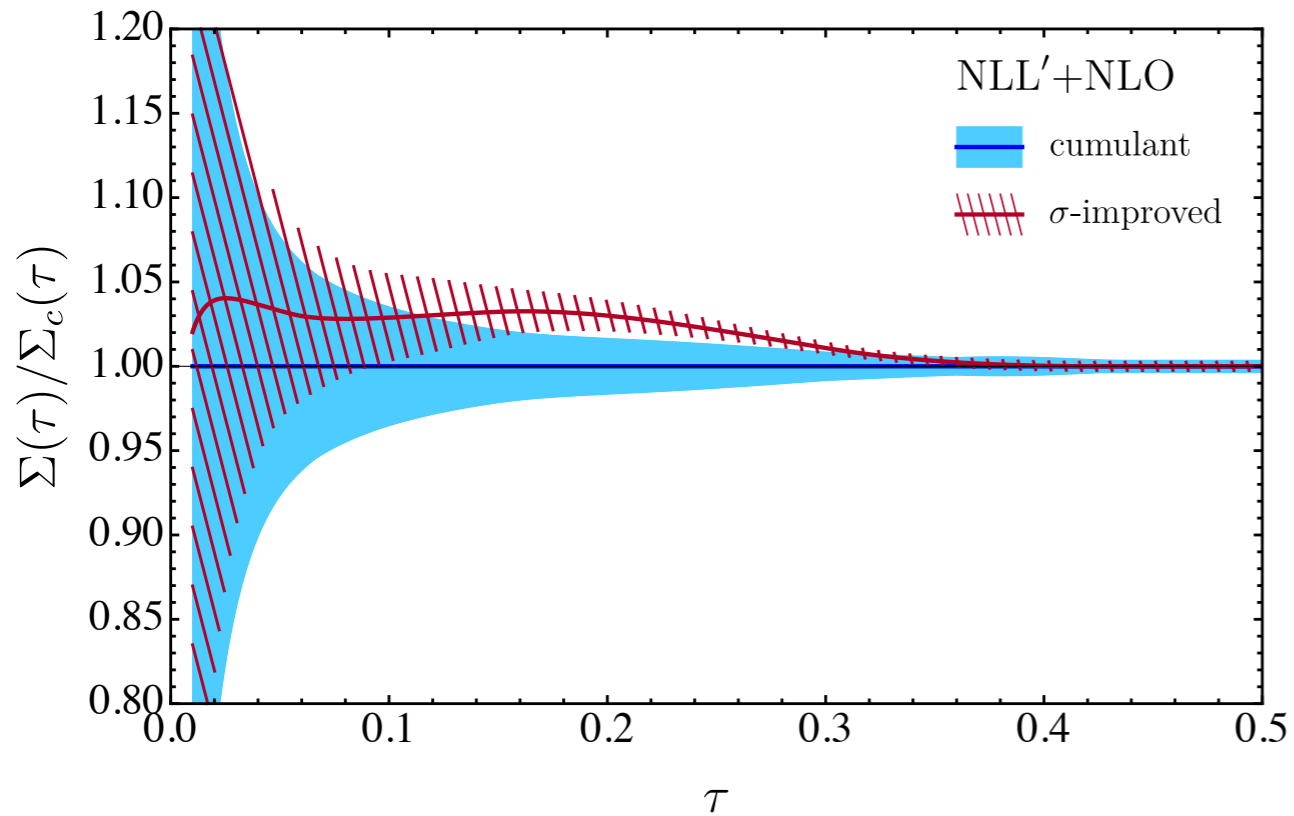
cumulant vs. integrated  $\sigma$ -improved spectrum



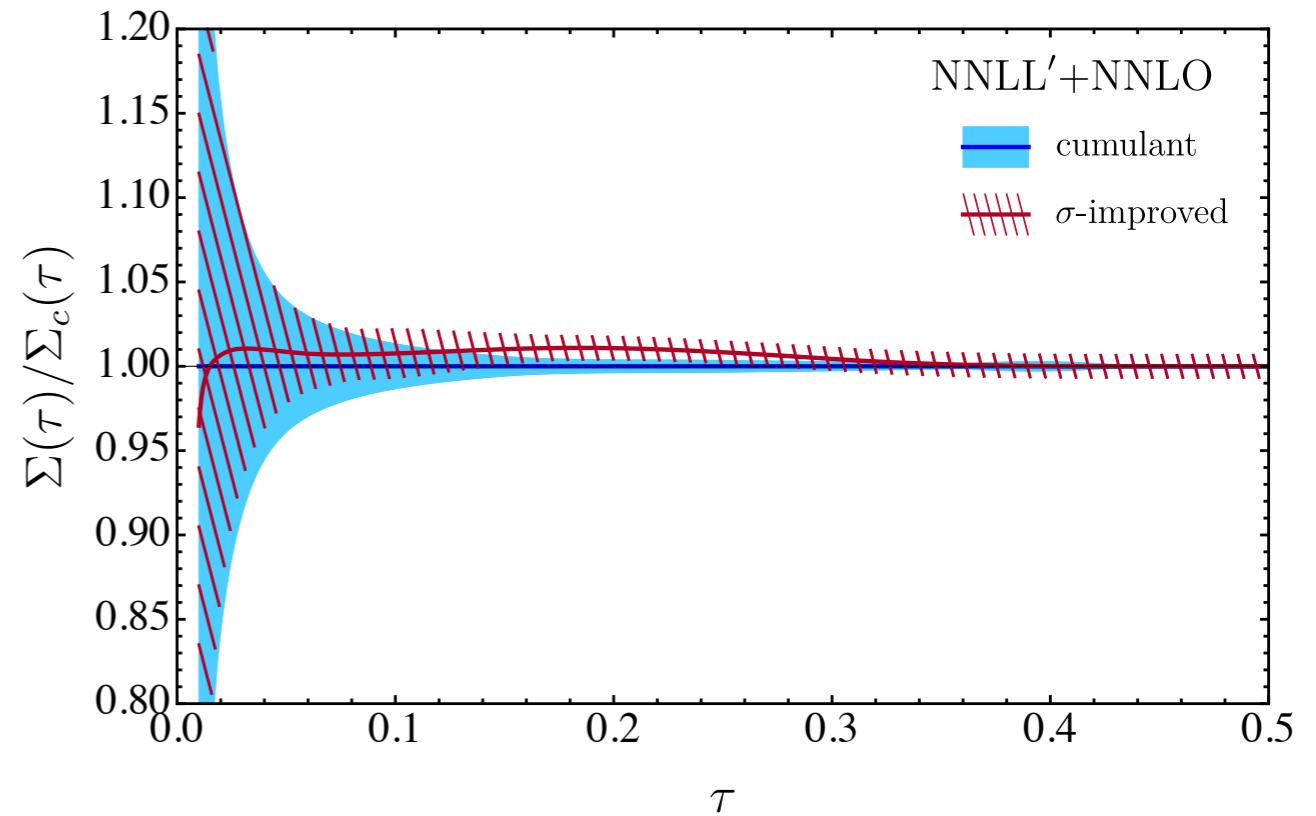
cumulant vs. integrated  $\sigma$ -improved spectrum



relative uncertainties in cumulant

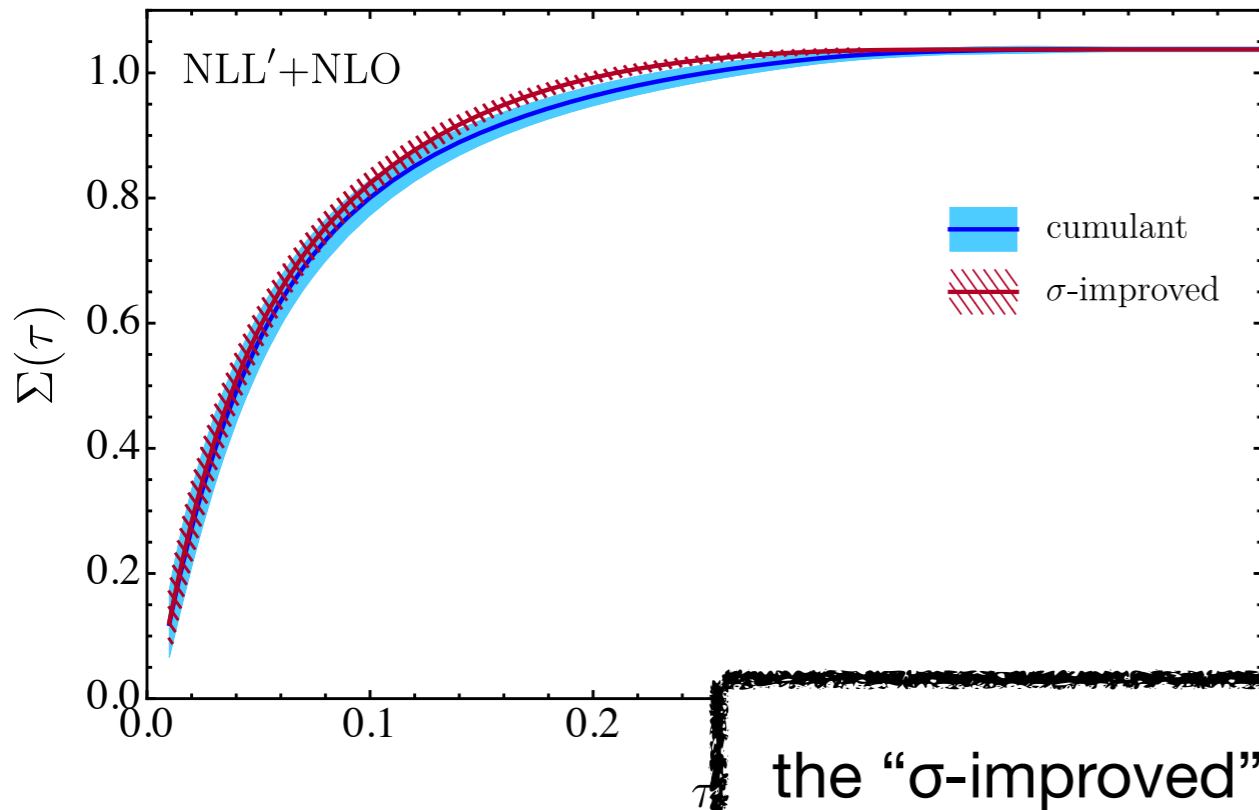


relative uncertainties in cumulant

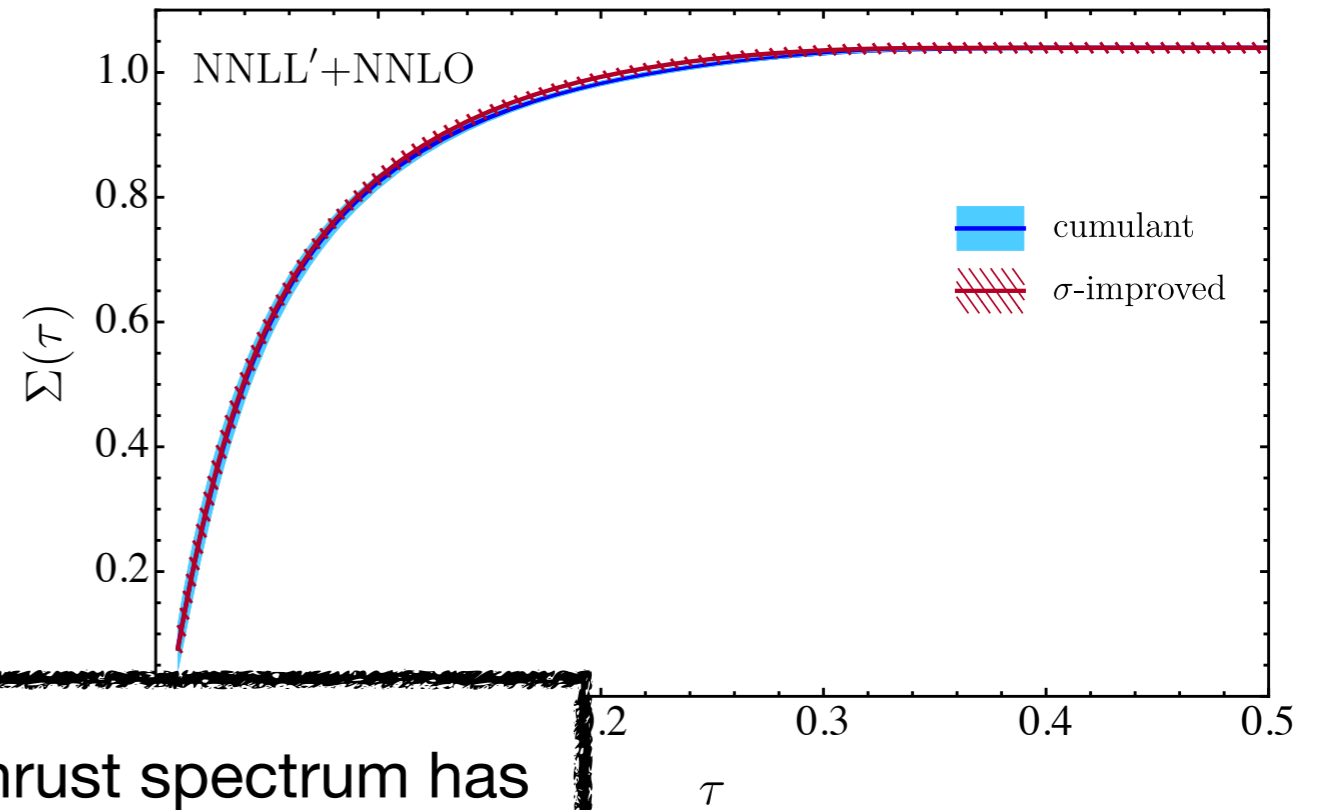


# Cumulant

cumulant vs. integrated  $\sigma$ -improved spectrum

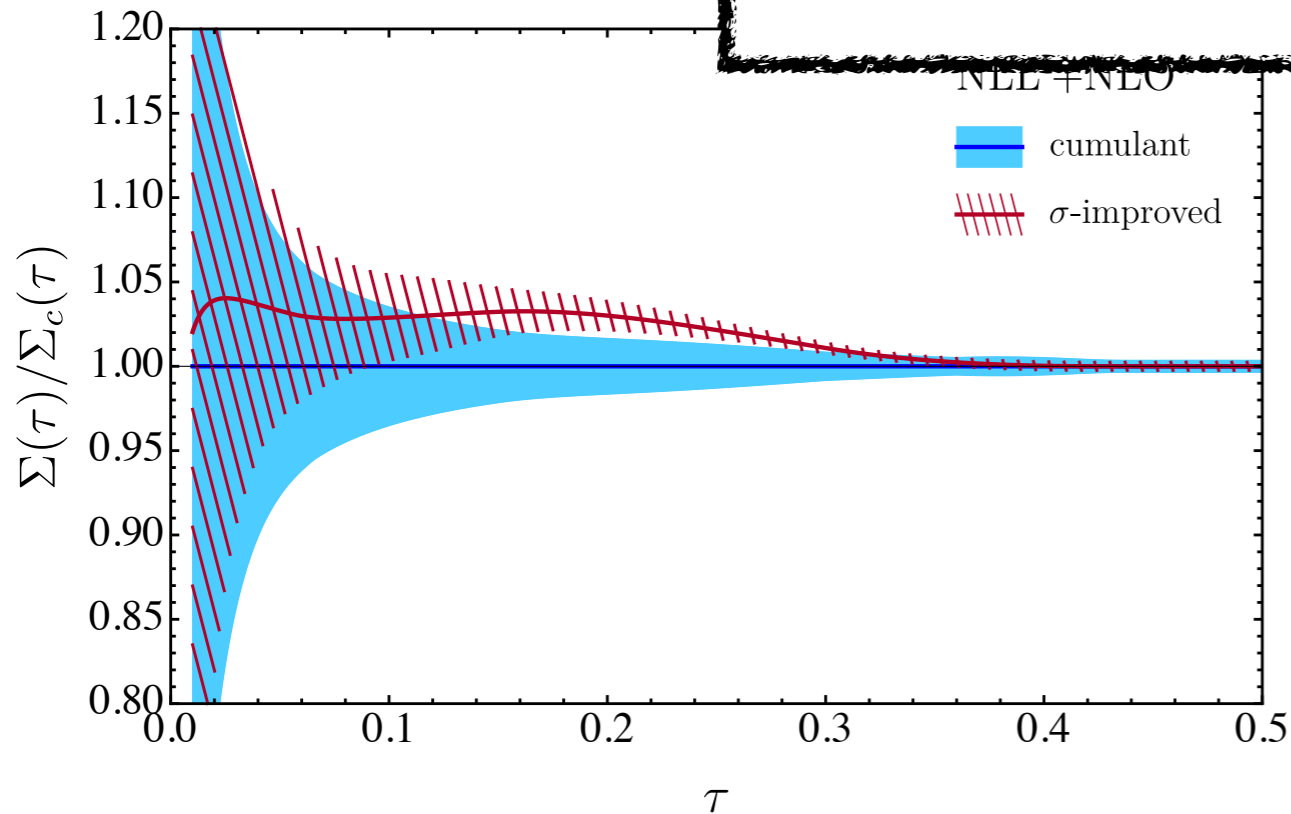


cumulant vs. integrated  $\sigma$ -improved spectrum

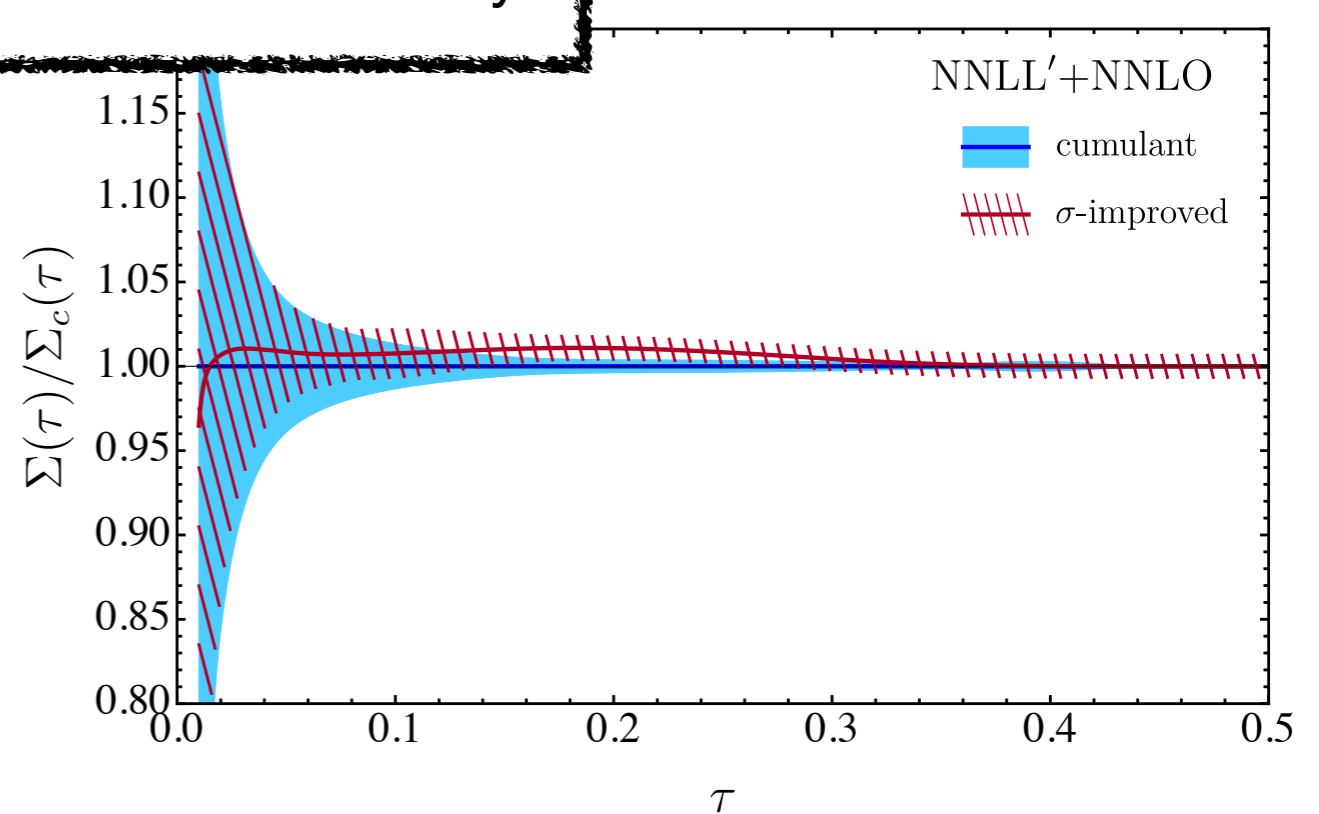


the " $\sigma$ -improved" thrust spectrum has both inclusive and exclusive accuracy!

relative uncertainty



certainties in cumulant

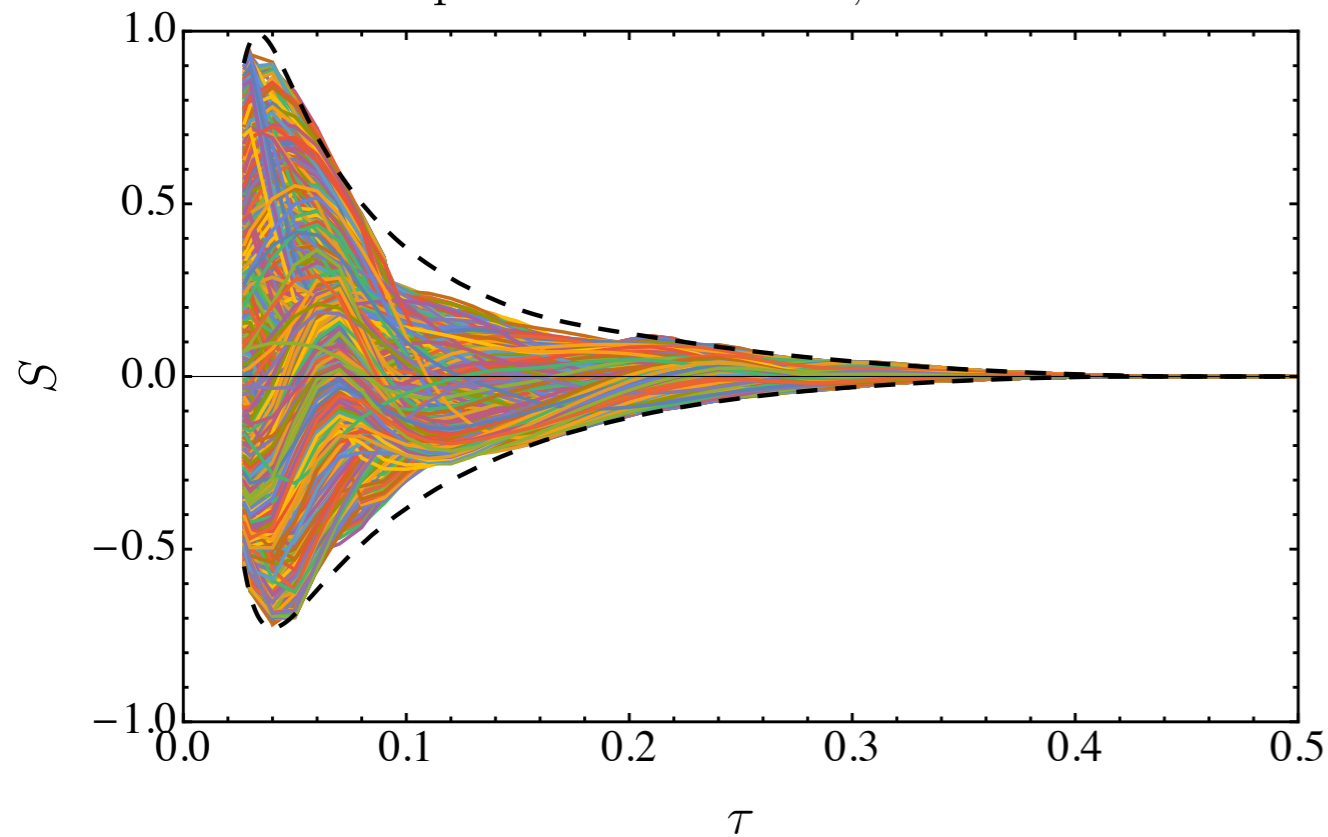


# Correlations

can study correlations across  $\tau$ :

$$S = \frac{d\sigma}{d\tau}(\mu_i) - \frac{d\sigma}{d\tau}(\mu_{\text{central}})$$

soft profile correlations, NLL'+NLO



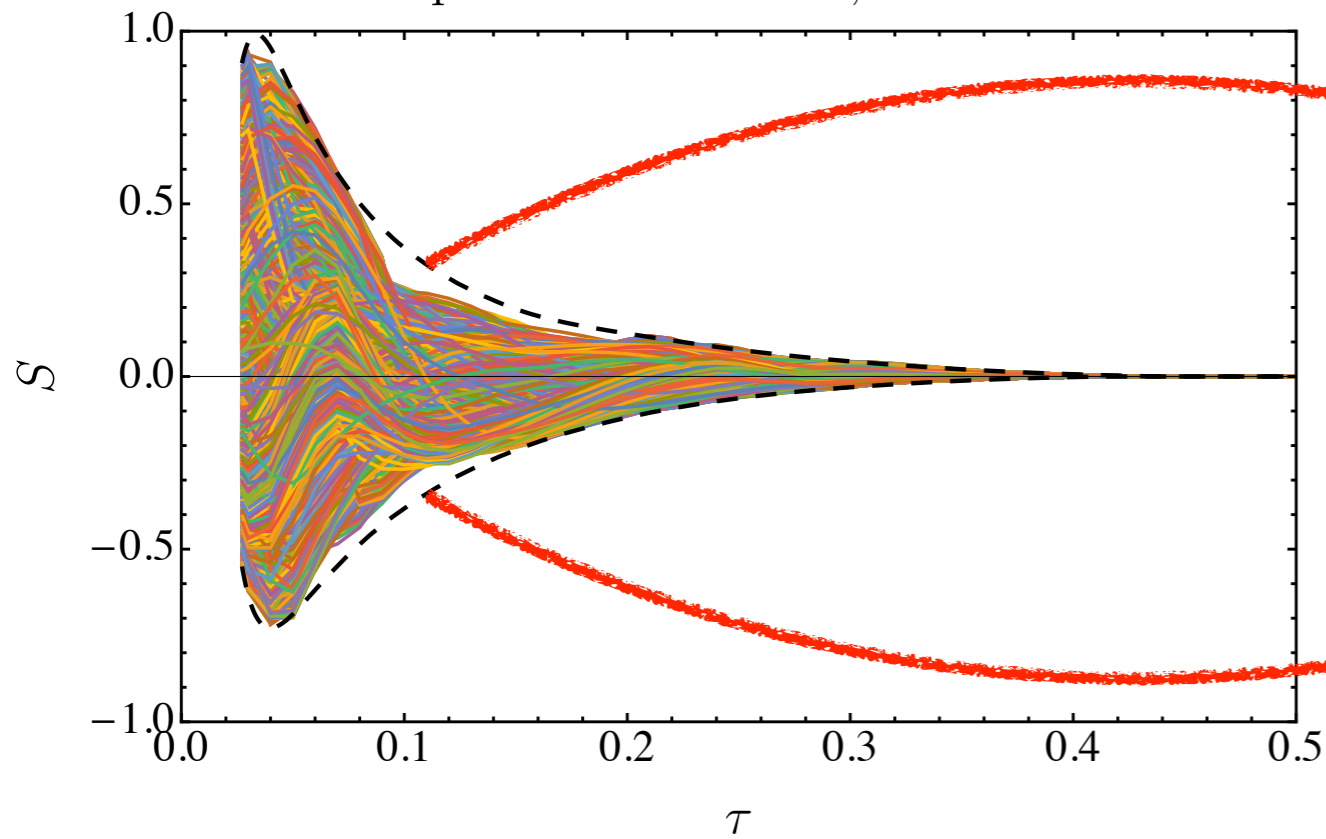
“gap” regions hard to fill,  
require precise correlations  
at small and large  $\tau$

# Correlations

can study correlations across  $\tau$ :

$$S = \frac{d\sigma}{d\tau}(\mu_i) - \frac{d\sigma}{d\tau}(\mu_{\text{central}})$$

soft profile correlations, NLL'+NLO



courtesy Tom Melia

# Two-Point Correlations

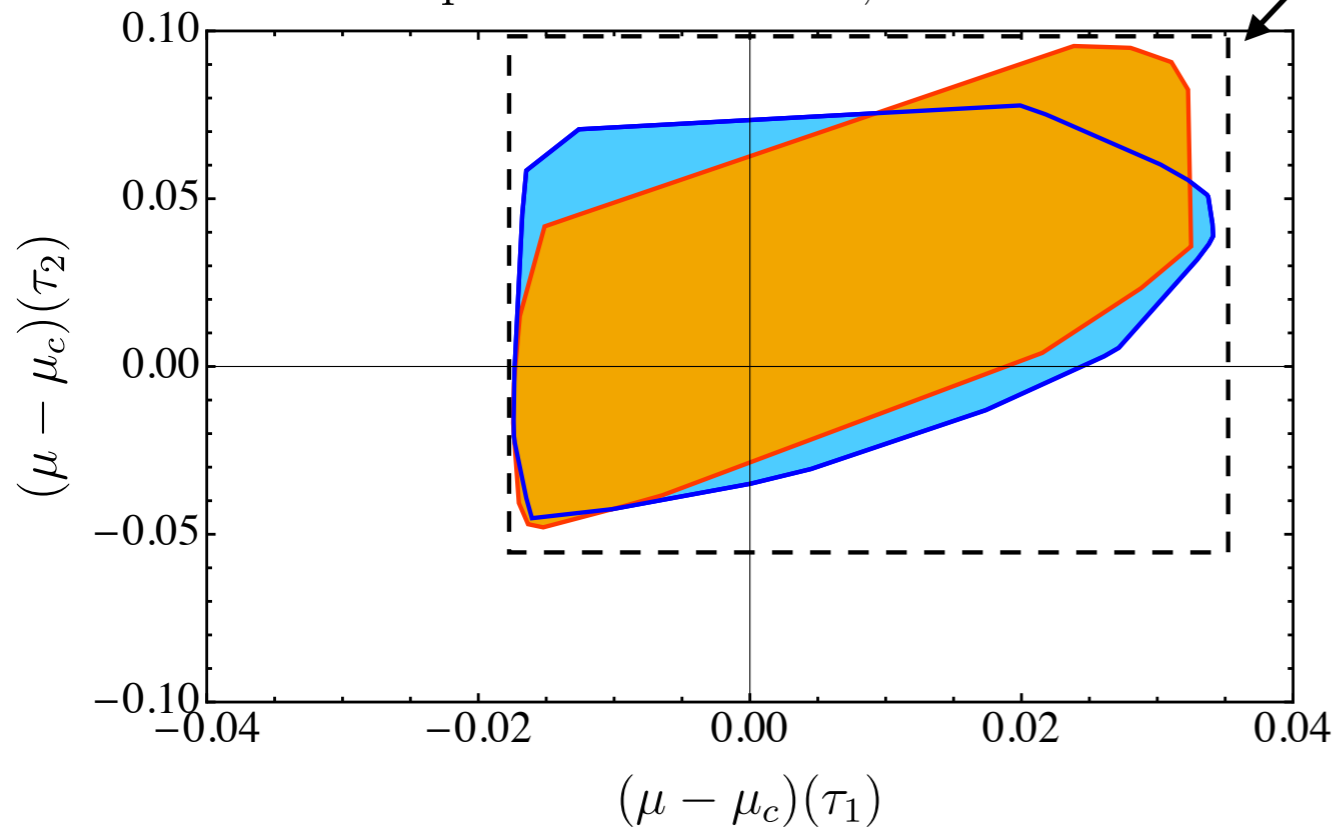
can study correlations across  $\tau$ :

$$\frac{d\sigma}{d\tau}(\mu_i) - \frac{d\sigma}{d\tau}(\mu_{\text{central}})$$

$$\tau_1 = 0.03, \tau_2 = 0.12$$

profile

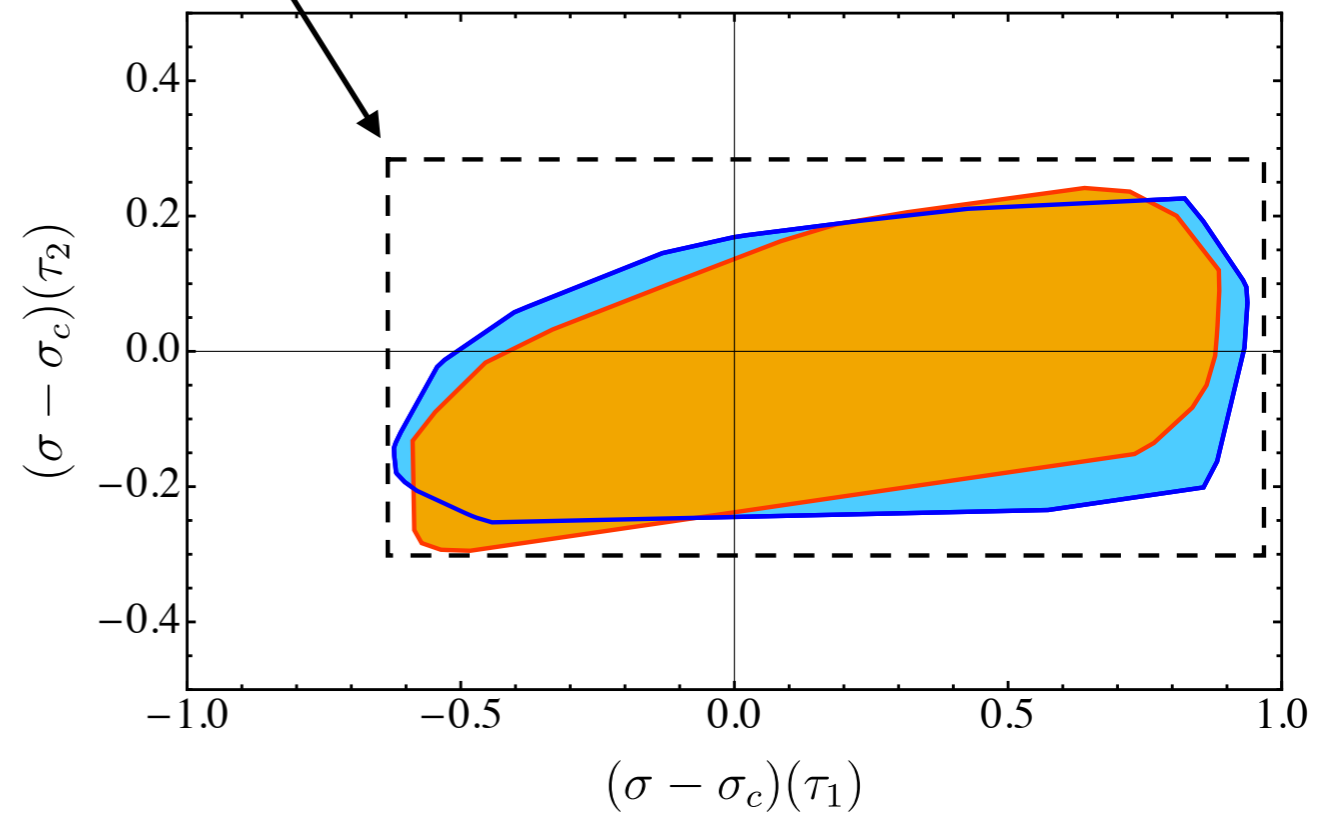
soft profile correlations, NLL'+NLO



default region

spectrum

soft spectrum correlations, NLL'+NLO



# Two-Point Correlations

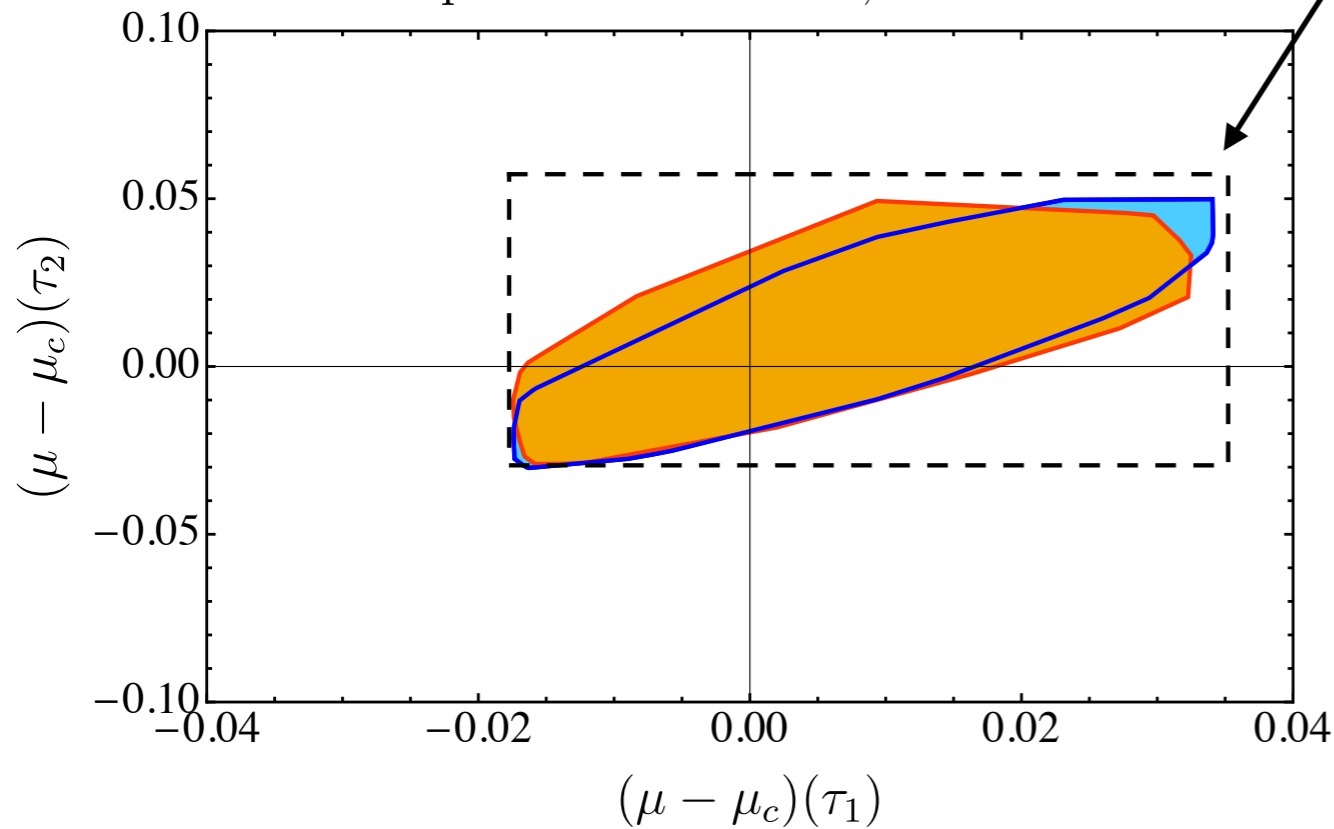
can study correlations across  $\tau$ :

$$\frac{d\sigma}{d\tau}(\mu_i) - \frac{d\sigma}{d\tau}(\mu_{\text{central}})$$

$$\tau_1 = 0.03, \tau_2 = 0.06$$

profile

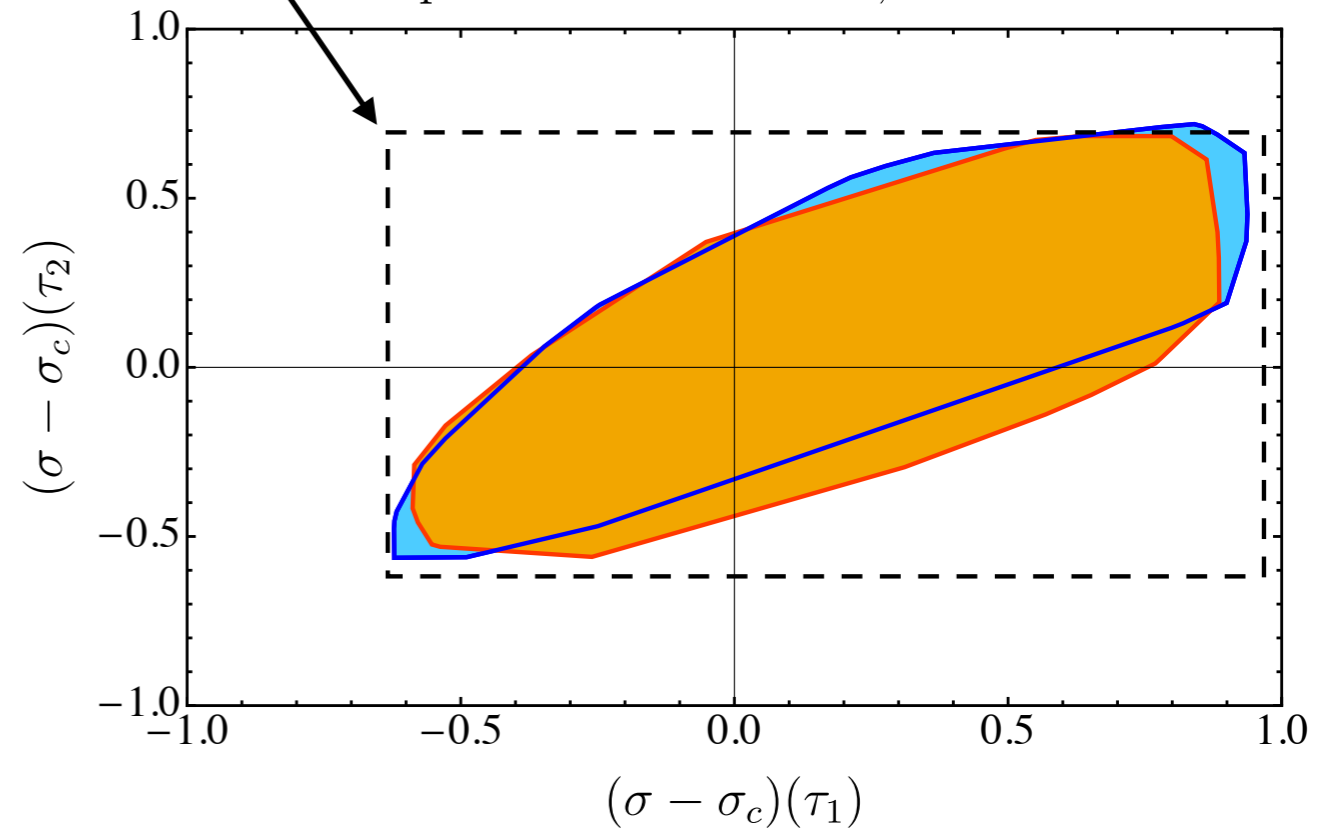
soft profile correlations, NLL'+NLO



default region

spectrum

soft spectrum correlations, NLL'+NLO





# Two-Point Correlations

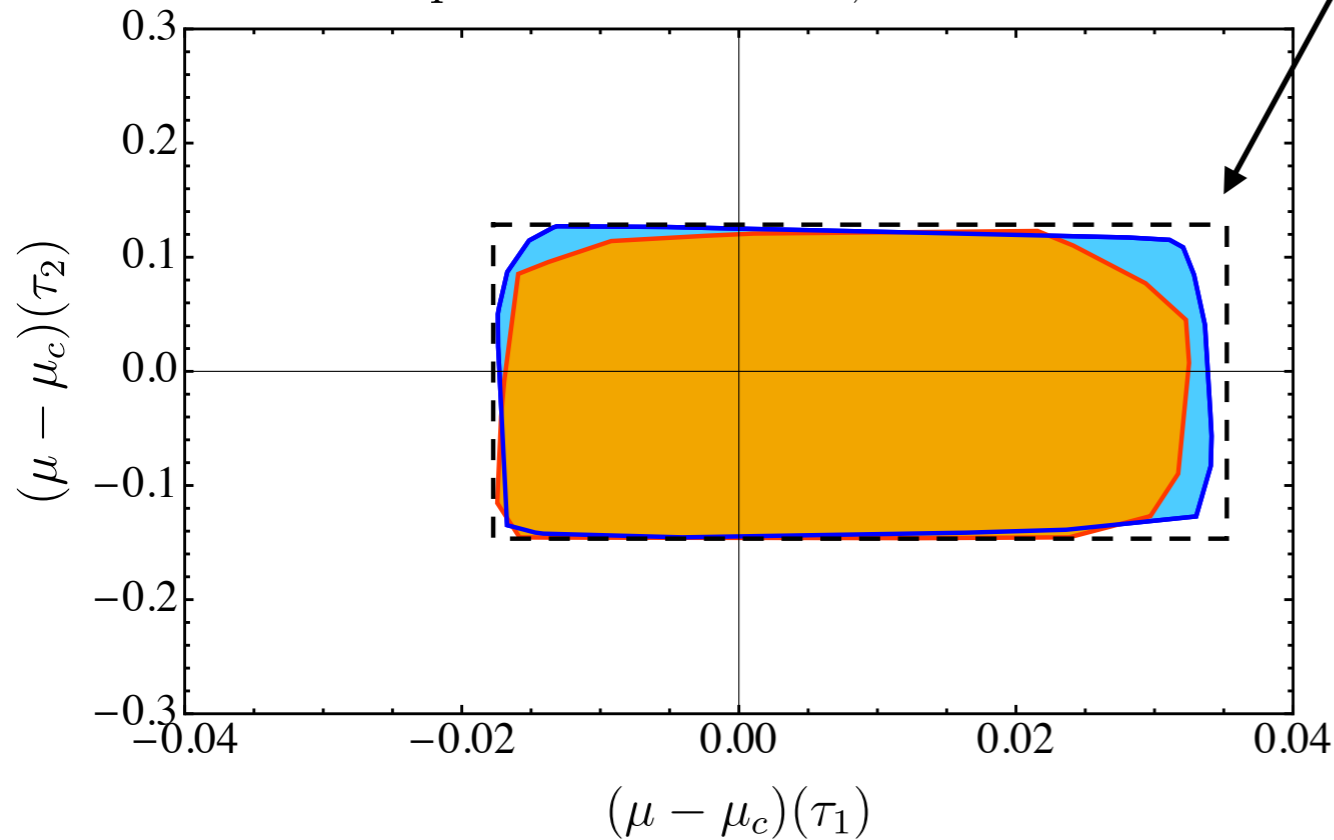
can study correlations across  $\tau$ :

$$\frac{d\sigma}{d\tau}(\mu_i) - \frac{d\sigma}{d\tau}(\mu_{\text{central}})$$

$$\tau_1 = 0.03, \tau_2 = 0.3$$

profile

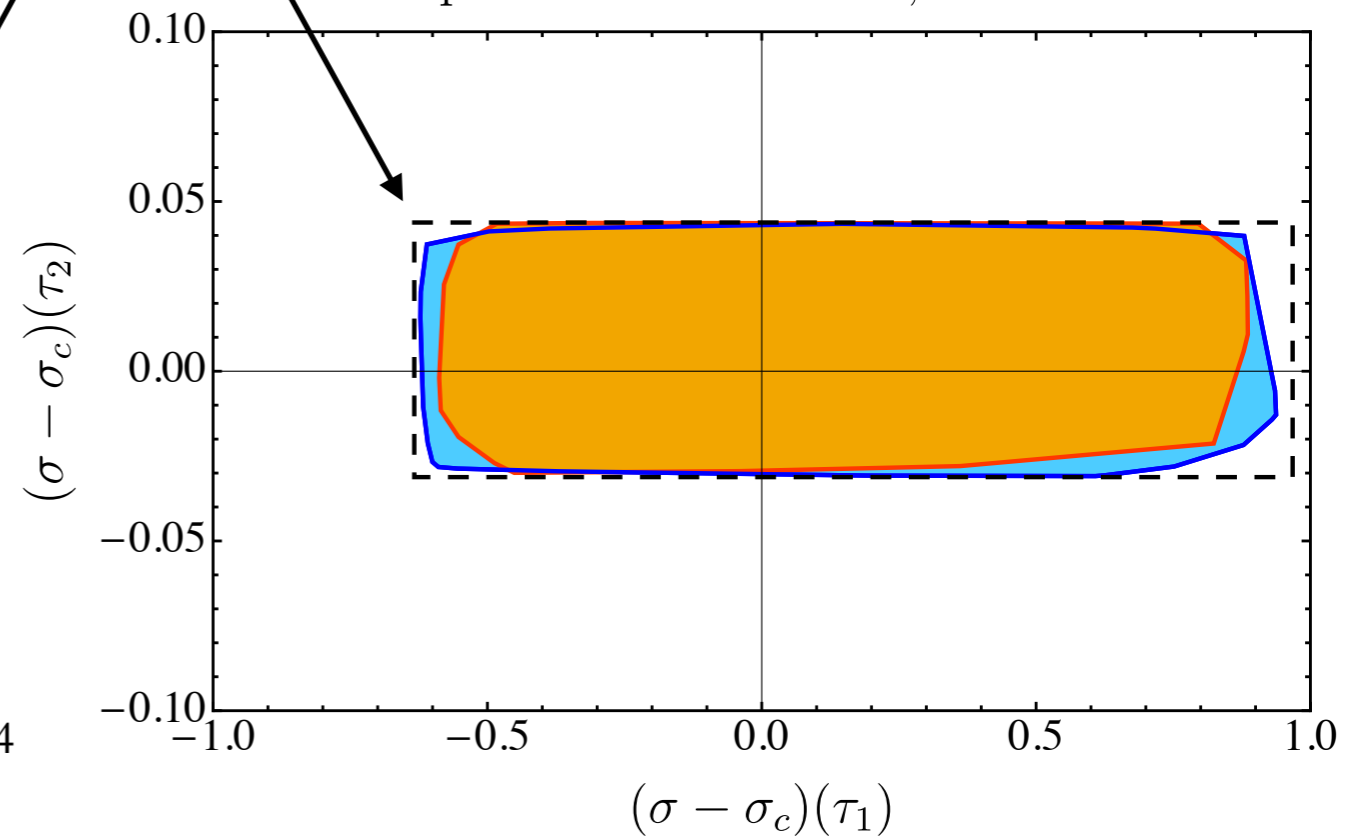
soft profile correlations, NLL'+NLO



default region

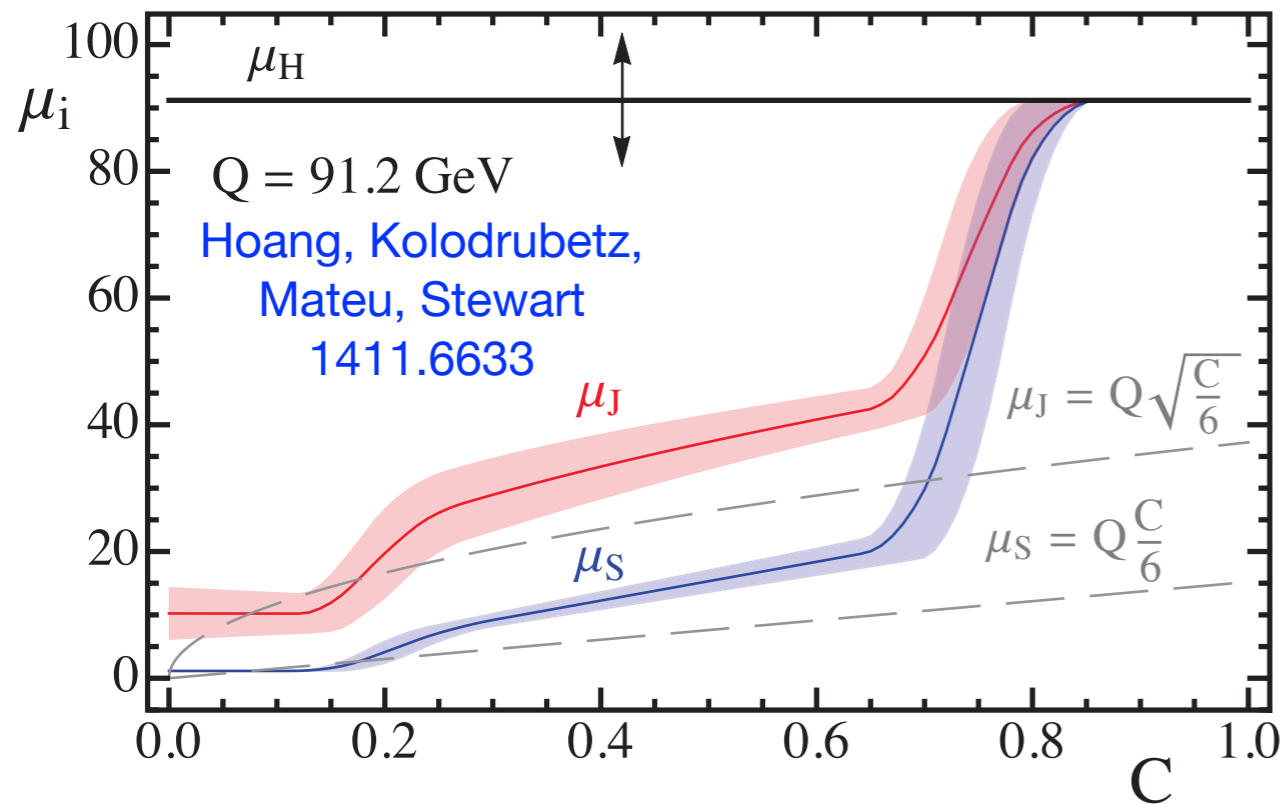
spectrum

soft spectrum correlations, NLL'+NLO



# Future Directions

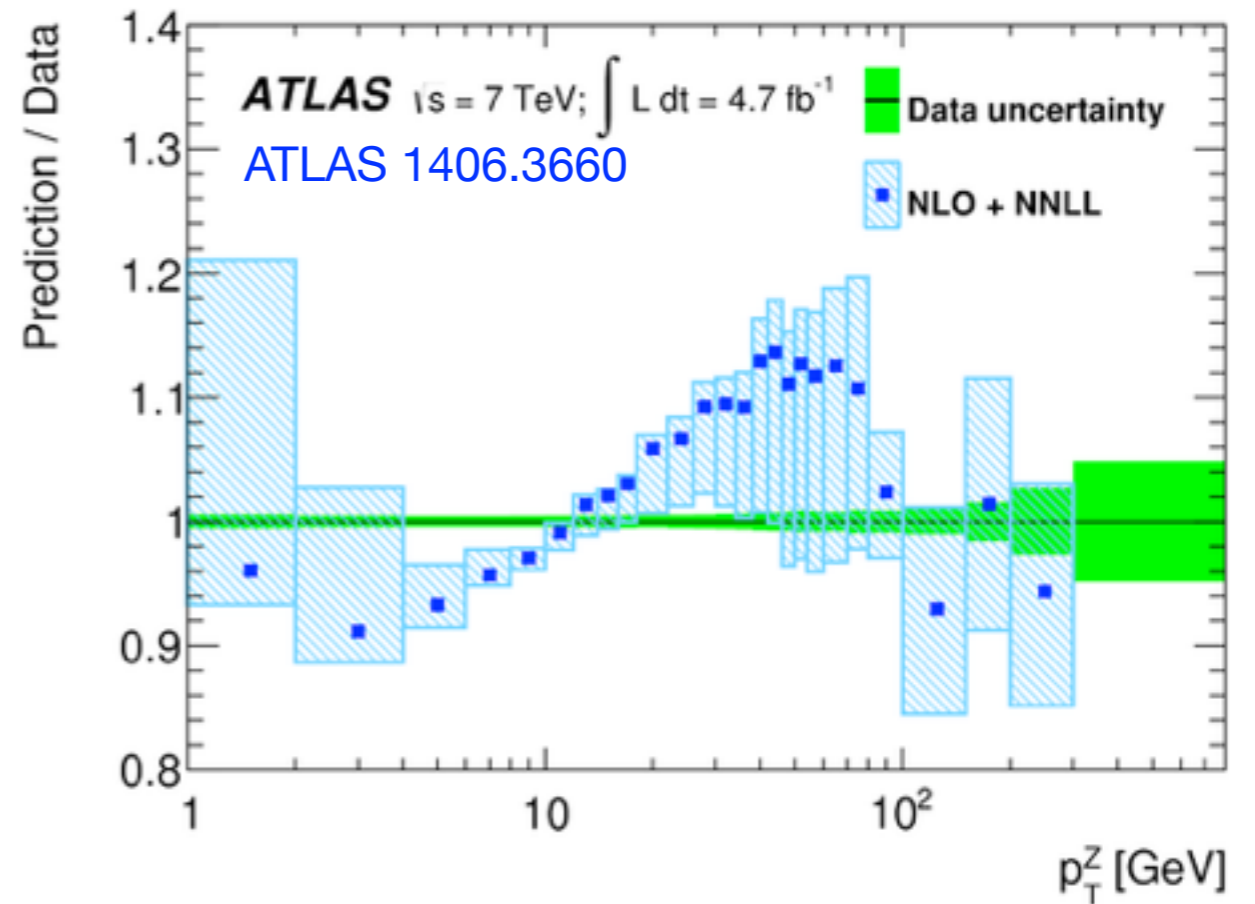
C-parameter in  $e^+e^-$



C-parameter has very distinctive profile scales

large non-perturbative regime,  
large canonical regime

vector boson  $p_T$  distribution at LHC



persistent disagreement with data  
across theoretical predictions  
(resummation, Monte Carlo generators)

possible discrepancies in matching

# Conclusions

- Resummation improves the accuracy of many exclusive cross sections, but loses accuracy in the corresponding inclusive cross section
- We have defined a method for resummation to preserve the accuracy at both the inclusive and exclusive level
  - An algorithm is used to find profile scales preserving the total cross section
  - Rigorously connects physical components of uncertainty with parameters of the factorization theorem
- Studies on thrust in  $e^+e^-$  are very promising
  - Follow-up studies for event shapes, especially hadronic collisions

## Extra Slides

# Uncertainties: Fixed Order

fixed order uncertainties estimated via variation of renormalization, factorization scales

$$\sigma(\mu_R, \mu_F) = \int \hat{\sigma}(\mu_R, \mu_F) \mathcal{L}(\mu_F)$$

consider two jet bins:  $Z + 0$  jets,  $Z + \geq 1$  jets separated by a jet  $p_T$  veto

$$\sigma_0(p_T^{\text{cut}}), \sigma_{\geq 1}(p_T^{\text{cut}})$$

$$\sigma_{\text{incl}} = \sigma_0(p_T^{\text{cut}}) + \sigma_{\geq 1}(p_T^{\text{cut}})$$

covariance matrix has the form:

$$C = \begin{pmatrix} \Delta_{0y}^2 & \Delta_{0y}\Delta_{\geq 1y} \\ \Delta_{0y}\Delta_{\geq 1y} & \Delta_{\geq 1y}^2 \end{pmatrix} + \begin{pmatrix} \Delta_{\text{cut}}^2 & -\Delta_{\text{cut}}^2 \\ -\Delta_{\text{cut}}^2 & \Delta_{\text{cut}}^2 \end{pmatrix}$$

inclusive cross section constraint:  $\sigma_{\text{incl}} = \sigma_0 + \sigma_{\geq 1} \Rightarrow \Delta_{\text{incl}} = \Delta_{0y} + \Delta_{\geq 1y}$

$$C = \begin{pmatrix} \Delta_{\text{incl}}^2 + \Delta_{\geq 1}^2 - 2\Delta_{\text{incl}}\Delta_{\geq 1y} & -\Delta_{\geq 1}^2 + \Delta_{\text{incl}}\Delta_{\geq 1y} \\ -\Delta_{\geq 1}^2 + \Delta_{\text{incl}}\Delta_{\geq 1y} & \Delta_{\geq 1}^2 \end{pmatrix}$$

2 degrees of freedom:  $\Delta_{\geq 1y}, \Delta_{\geq 1} = (\Delta_{\geq 1y}^2 + \Delta_{\text{cut}}^2)^{1/2}$

unclear how to estimate parameters from scale variation: assumptions needed

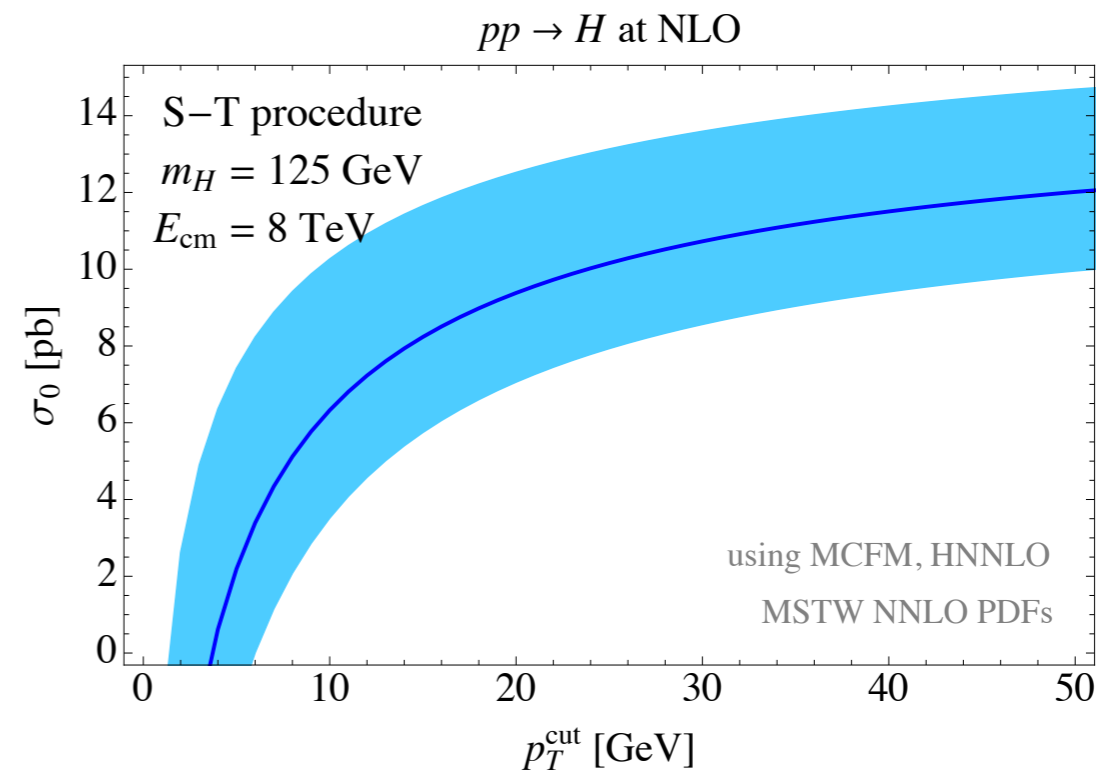
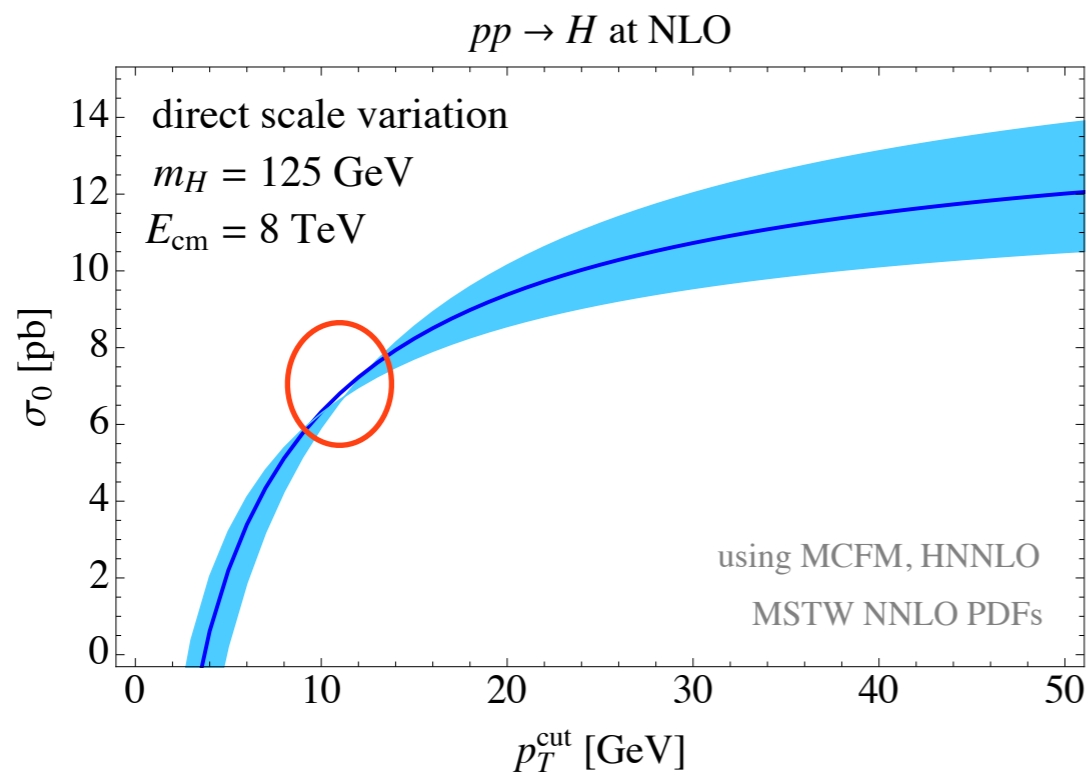
# Uncertainties: Fixed Order

$$C = \begin{pmatrix} \Delta_{\text{incl}}^2 + \Delta_{\geq 1}^2 - 2\Delta_{\text{incl}}\Delta_{\geq 1y} & -\Delta_{\geq 1}^2 + \Delta_{\text{incl}}\Delta_{\geq 1y} \\ -\Delta_{\geq 1}^2 + \Delta_{\text{incl}}\Delta_{\geq 1y} & \Delta_{\geq 1}^2 \end{pmatrix}$$

Stewart-Tackmann method: assume  $\Delta_{\geq 1y} = 0 \Rightarrow C = \begin{pmatrix} \Delta_{\text{incl}}^2 + \Delta_{\geq 1}^2 & -\Delta_{\geq 1}^2 \\ -\Delta_{\geq 1}^2 & \Delta_{\geq 1}^2 \end{pmatrix}$

i.e. inclusive cross sections of different multiplicity have uncorrelated uncertainties

Stewart, Tackmann  
1107.2117



standard “direct” scale variation

Stewart-Tackmann procedure

# Uncertainties: Fixed Order

$$C = \begin{pmatrix} \Delta_{\text{incl}}^2 + \Delta_{\geq 1}^2 - 2\Delta_{\text{incl}}\Delta_{\geq 1y} & -\Delta_{\geq 1}^2 + \Delta_{\text{incl}}\Delta_{\geq 1y} \\ -\Delta_{\geq 1}^2 + \Delta_{\text{incl}}\Delta_{\geq 1y} & \Delta_{\geq 1}^2 \end{pmatrix}$$

Stewart-Tackmann method: assume  $\Delta_{\geq 1y} = 0 \Rightarrow C = \begin{pmatrix} \Delta_{\text{incl}}^2 + \Delta_{\geq 1}^2 & -\Delta_{\geq 1}^2 \\ -\Delta_{\geq 1}^2 & \Delta_{\geq 1}^2 \end{pmatrix}$

i.e. inclusive cross sections of different multiplicity have uncorrelated uncertainties

Stewart, Tackmann  
1107.2117

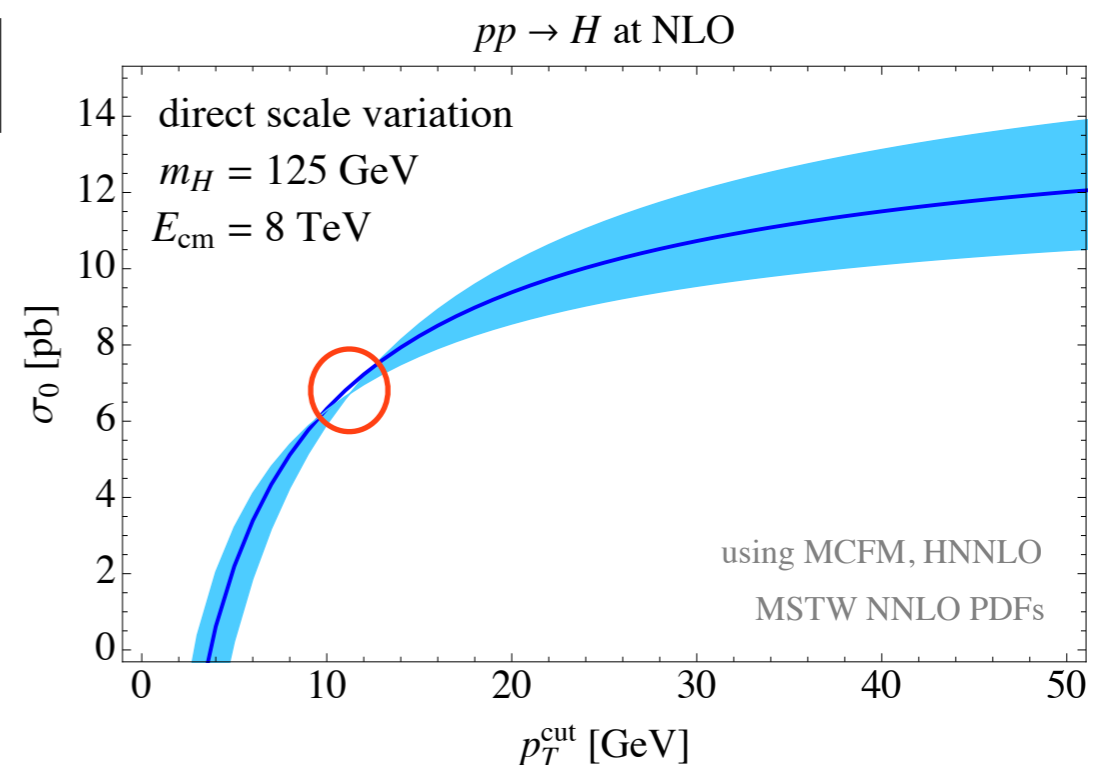
cause of uncertainty pinch:

$$\sigma_0(p_T^{\text{cut}}) \propto \sigma_B \left[ 1 + \frac{\alpha_s}{\pi} \left( K_{\text{NLO}} - 2C_A \ln^2 \frac{m_H}{p_T^{\text{cut}}} \right) + \dots \right]$$

*unphysical*  
cancellation between large **K-factor** and **logs**

part of the  
total rate

bin cut between  
0 jets, 1+ jets



## Uncertainties: Fixed Order

$$C = \begin{pmatrix} \Delta_{\text{incl}}^2 + \Delta_{\geq 1}^2 - 2\Delta_{\text{incl}}\Delta_{\geq 1y} & -\Delta_{\geq 1}^2 + \Delta_{\text{incl}}\Delta_{\geq 1y} \\ -\Delta_{\geq 1}^2 + \Delta_{\text{incl}}\Delta_{\geq 1y} & \Delta_{\geq 1}^2 \end{pmatrix}$$

Stewart-Tackmann method: assume  $\Delta_{\geq 1y} = 0 \Rightarrow C = \begin{pmatrix} \Delta_{\text{incl}}^2 + \Delta_{\geq 1}^2 & -\Delta_{\geq 1}^2 \\ -\Delta_{\geq 1}^2 & \Delta_{\geq 1}^2 \end{pmatrix}$

i.e. inclusive cross sections of different multiplicity have uncorrelated uncertainties

Stewart, Tackmann  
1107.2117

efficiency method: assume 0-jet efficiency, total cross section uncertainties uncorrelated

$$\Rightarrow \Delta_{0y} = \Delta_{\text{incl}}\epsilon_0, \quad \Delta_{\geq 1y} = \Delta_{\text{incl}}(1 - \epsilon_0), \quad \Delta_{\text{cut}} = \sigma_{\text{incl}}\Delta_{\epsilon_0}$$

Banfi, Salam,  
Zanderighi  
1203.5773

both approaches physically well-motivated, although not always ideal



# Uncertainties: Resummed

Uncertainties assessed by variation of factorization scales

$$\delta\{\mu, \mu_H, \mu_J, \mu_S\} \rightarrow \Delta(\tau)$$

standard approach: 3 types of scale variation

$\Delta_\mu(\tau)$  : collective variation of all scales (fixes logarithms, probes fixed order scale)

$\Delta_{\text{res}}^J(\tau)$  : jet scale variation (probes logarithms, fixes fixed order scale)

$\Delta_{\text{res}}^S(\tau)$  : soft scale variation (probes logarithms, fixes fixed order scale)

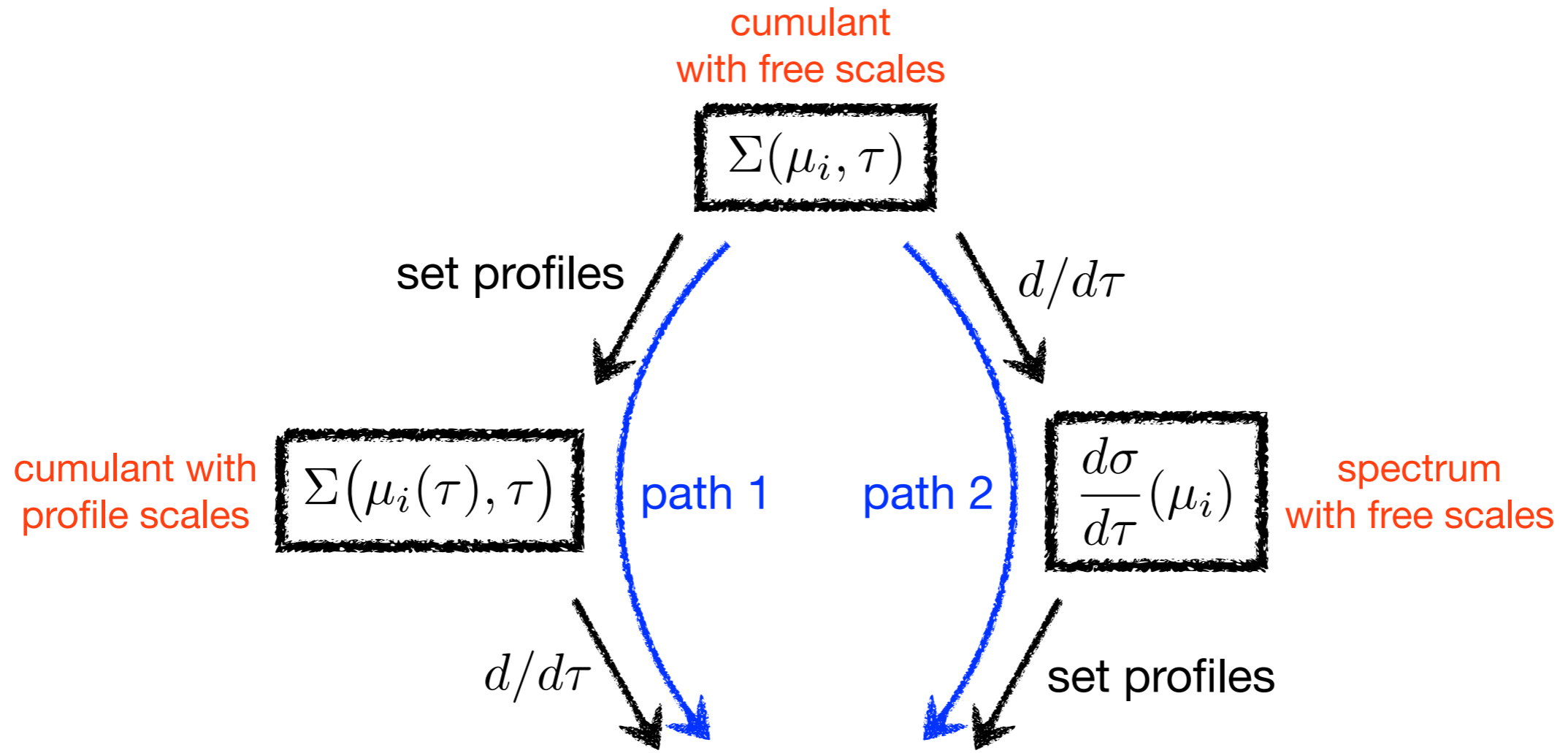
associate scale variation with components of uncertainty:

$$\Delta_\mu \rightarrow \Delta_y$$

$$\Delta_{\text{res}}^J, \Delta_{\text{res}}^S \rightarrow \Delta_{\text{cut}}$$

these assignments are physically well-motivated, although not necessarily valid

# Two Paths to Resummation



the cumulant preserves the inclusive cross section by default

$$\Sigma(\mu_i(\tau_{\max}), \tau_{\max}) = \sigma_{\text{incl}}$$

the two paths only agree at all orders

truncation at given order creates higher order difference