## Neutrino oscillations: status and prospects

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## Silvia Pascoli

**IPPP** – Durham University









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## Outline

- I. Present status of neutrino parameters
- 2. Theoretical implications
- 3. Oscillation experiments physics goals:
  - Mass ordering
  - Leptonic CP-violation
  - Precision measurement of parameters
  - Testing the 3-neutrino scenario

## 4. Conclusions

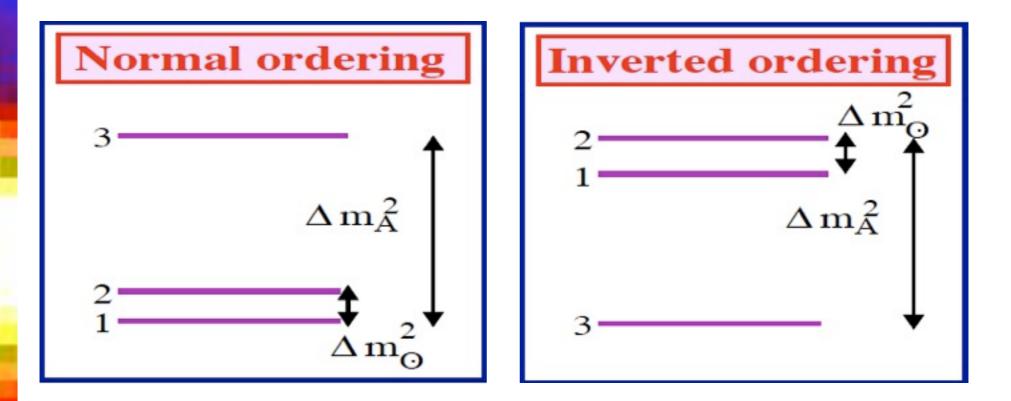
## **Current neutrino parameters**

	Normal Ordering $(\Delta \chi^2 = 0.97)$		Inverted Ordering (best fit)		Any Ordering
	bfp $\pm 1\sigma$	$3\sigma$ range	bfp $\pm 1\sigma$	$3\sigma$ range	$3\sigma$ range
$\sin^2  heta_{12}$	$0.304\substack{+0.013\\-0.012}$	$0.270 \rightarrow 0.344$	$0.304\substack{+0.013\\-0.012}$	$0.270 \rightarrow 0.344$	$0.270 \rightarrow 0.344$
$ heta_{12}/^{\circ}$	$33.48_{-0.75}^{+0.78}$	$31.29 \rightarrow 35.91$	$33.48_{-0.75}^{+0.78}$	$31.29 \rightarrow 35.91$	$31.29 \rightarrow 35.91$
$\sin^2 heta_{23}$	$0.452^{+0.052}_{-0.028}$	$0.382 \rightarrow 0.643$	$0.579_{-0.037}^{+0.025}$	$0.389 \rightarrow 0.644$	$0.385 \rightarrow 0.644$
$ heta_{23}/^{\circ}$	$42.3^{+3.0}_{-1.6}$	$38.2 \rightarrow 53.3$	$49.5^{+1.5}_{-2.2}$	$38.6 \rightarrow 53.3$	$38.3 \rightarrow 53.3$
$\sin^2 heta_{13}$	$0.0218^{+0.0010}_{-0.0010}$	$0.0186 \rightarrow 0.0250$	$0.0219\substack{+0.0011\\-0.0010}$	$0.0188 \rightarrow 0.0251$	$0.0188 \rightarrow 0.0251$
$ heta_{13}/^{\circ}$	$8.50^{+0.20}_{-0.21}$	$7.85 \rightarrow 9.10$	$8.51_{-0.21}^{+0.20}$	$7.87 \rightarrow 9.11$	7.87  ightarrow 9.11
$\delta_{ m CP}/^{\circ}$	$306^{+39}_{-70}$	$0 \rightarrow 360$	$254^{+63}_{-62}$	$0 \rightarrow 360$	$0 \rightarrow 360$
$\frac{\Delta m_{21}^2}{10^{-5} \ \mathrm{eV}^2}$	$7.50^{+0.19}_{-0.17}$	$7.02 \rightarrow 8.09$	$7.50_{-0.17}^{+0.19}$	$7.02 \rightarrow 8.09$	$7.02 \rightarrow 8.09$
$\frac{\Delta m_{3\ell}^2}{10^{-3} \text{ eV}^2}$	$+2.457^{+0.047}_{-0.047}$	$+2.317 \rightarrow +2.607$	$-2.449^{+0.048}_{-0.047}$	$-2.590 \rightarrow -2.307$	$ \begin{bmatrix} +2.325 \to +2.599 \\ -2.590 \to -2.307 \end{bmatrix} $
				MCCarala	

2 mass squared differences M. C. Gonzalez-Garcia et al., NuFit, 1409.5439

# Masses are much smaller than the other fermions.

### $\Delta m_{\rm s}^2 \ll \Delta m_{\rm A}^2$ implies at least 3 massive neutrinos.



$$m_1 = m_{\min}$$
  

$$m_2 = \sqrt{m_{\min}^2 + \Delta m_{sol}^2}$$
  

$$m_3 = \sqrt{m_{\min}^2 + \Delta m_A^2}$$

$$m_3 = m_{\min}$$
  

$$m_1 = \sqrt{m_{\min}^2 + \Delta m_A^2} - \Delta m_{sol}^2$$
  

$$m_2 = \sqrt{m_{\min}^2 + \Delta m_A^2}$$

Measuring the masses requires:  $m_{\min}$  and the ordering .

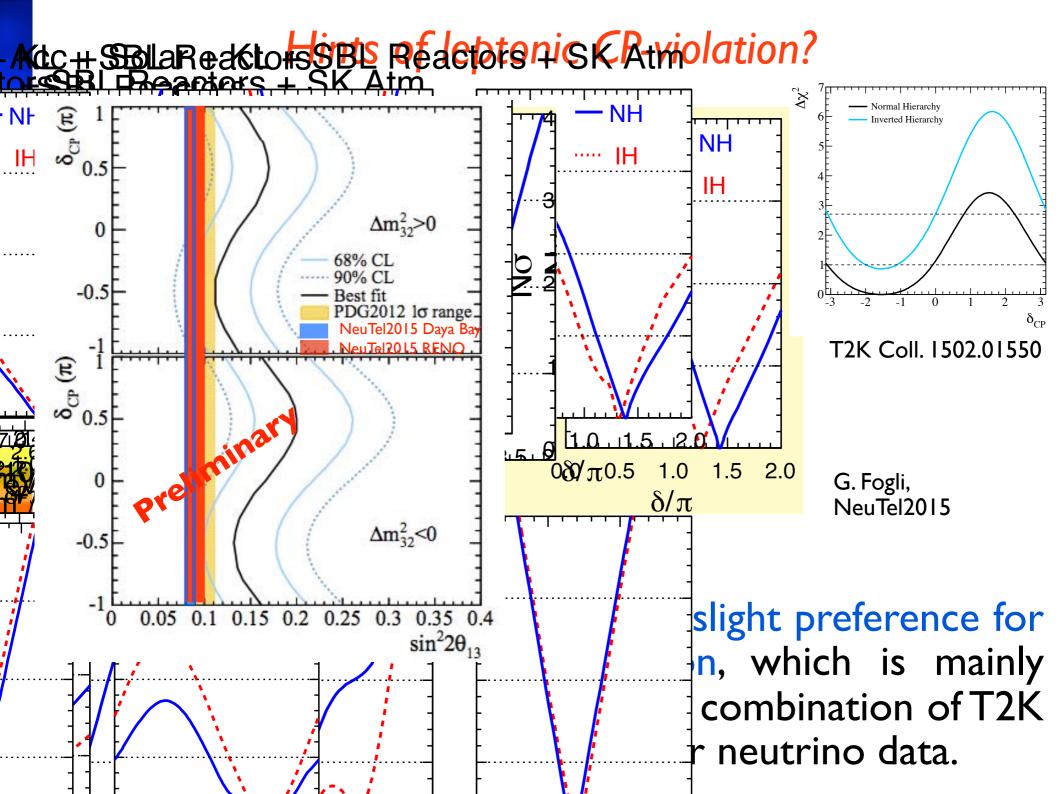
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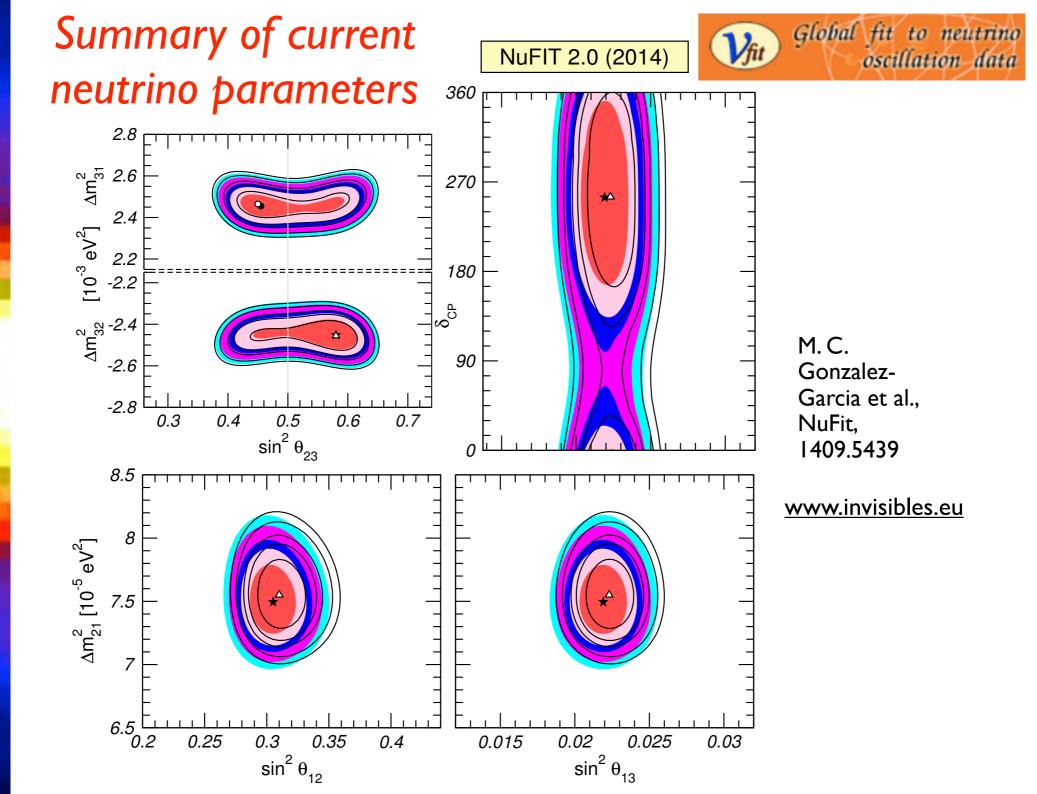
3 sizable mixing angles M.C. Gonzalez-Garcia et al., NuFit, 1409.5439 Mixing is described by the Pontecorvo-Maki-Nakagawa-Sakata matrix, which enters in the CC interactions. Mixing angles are much larger than in the quark sector.

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CP-violation?

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{-i\delta} & 0 & c_{13} \end{pmatrix}$$
For antineutrinos,  
$$\begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\alpha_{21}/2} & 0 \\ 0 & 0 & e^{i\alpha_{31}/2} \end{pmatrix}$$
CP-conservation:  
$$U \text{ is real} \Rightarrow \delta = 0, \pi$$





Neutrino oscillations imply that neutrinos have mass and mix.

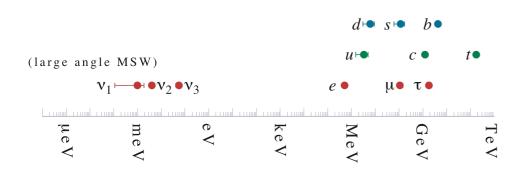
First evidence of physics beyond the SM.

The ultimate goal is to understand - where do neutrino masses come from? - what is the origin of leptonic mixing?

## **Open window on Physics beyond the SM**

Neutrinos give a different perspective on physics BSM.

I. Origin of masses



Why neutrinos have mass? and why are they so light? and why their hierarchy is at most mild? 2. Problem of flavour

$$\begin{pmatrix} \sim 1 & \lambda & \lambda^{3} \\ \lambda & \sim 1 & \lambda^{2} \\ \lambda^{3} & \lambda^{2} & \sim 1 \end{pmatrix} \lambda \sim 0.2 \\ \begin{pmatrix} 0.8 & 0.5 & 0.16 \\ -0.4 & 0.5 & -0.7 \\ -0.4 & 0.5 & 0.7 \end{pmatrix}$$

Why leptonic mixing is so different from quark mixing?

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eV keV

GeV

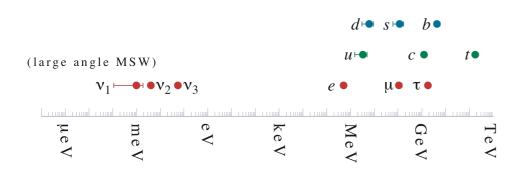
MeV

TeV

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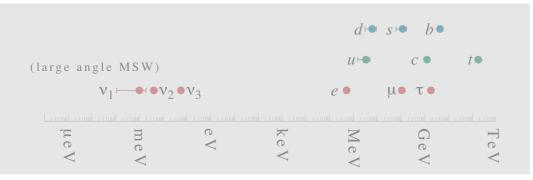
Why leptonic mixing is so different from quark mixing?

This points towards a different origin of neutrino masses, possibly related to lepton number violation, e.g. see-saw mechanism: knowing the masses is important.

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Why neutrinos have mass? and why are they so lighter? and why their hierarchy is at most mild?

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#### 2. Problem of flavour

$$\begin{array}{ccc} \sim 1 & \lambda & \lambda^{3} \\ \lambda & \sim 1 & \lambda^{2} \\ \lambda^{3} & \lambda^{2} & \sim 1 \end{array} \right) \lambda \sim 0.2 \\ \left( \begin{array}{ccc} 0.8 & 0.5 & 0.16 \\ -0.4 & 0.5 & -0.7 \\ -0.4 & 0.5 & 0.7 \end{array} \right)$$

Why leptonic mixing is so different from quark mixing?

We want to understand the origin of mixing. CP is a key symmetry: is it violated also in the lepton sector? Could it be at the origin of the matter-antimatter asymmetry? Various strategies and ideas can be employed to understand the observed pattern (many many models!).

Texture zero models with

$$\theta_{12,23,13} = \text{function}(\frac{m_e}{m_{\mu}}, \dots, \frac{m_1}{m_2})$$

• Flavour symmetries: A4, A5, S4, ...

- Complementarity between quarks and leptons  $\theta_{12} + \theta_C \simeq 45^o$
- Anarchy (all elements of the matrix of same order).

The models predict specific values for the mixing angles and specific relations between the deviations from special values  $\theta_{23} \sim 45^o, \theta_{13} \sim 0^o$ .

Two necessary ingredients for testing flavour models:

 Precision measurements of the oscillation parameters at future experiments (including the delta phase).

• The determination of the mass ordering and of the neutrino mass spectrum.

Referen	ice	Hierarchy	$\sin^2 2\theta_{23}$	$\tan^2 \theta_{12}$	$\sin^2  heta_{13}$
Anarchy Model:					
dGM	[18]	Either			$\geq 0.011$ @ $2\sigma$
$\mathbf{L_e} - \mathbf{L}_{\mu} - \mathbf{L}_{ au}$ Models:					
BM	[35]	Inverted			0.00029
BCM	[36]	Inverted			0.00063
GMN1	[37]	Inverted		$\geq 0.52$	$\leq 0.01$
$\operatorname{GL}$	[38]	Inverted			0
$\mathbf{PR}$	[39]	Inverted		$\leq 0.58$	$\geq 0.007$
S <sub>3</sub> and S <sub>4</sub> Models:					
$\operatorname{CFM}$	[40]	Normal			0.00006 - 0.001
HLM	[41]	Normal	1.0	0.43	0.0044
		Normal	1.0	0.44	0.0034
KMM	[42]	Inverted	1.0		0.000012
MN	[43]	Normal			0.0024
MNY	[44]	Normal			0.000004 - 0.000036
MPR	[45]	Normal			0.006 - 0.01
RS	[46]	Inverted	$\theta_{23} \geq 45^\circ$		$\leq 0.02$
		Normal	$\theta_{23} \le 45^{\circ}$		0
ΤY	[47]	Inverted	0.93	0.43	0.0025
Т	[48]	Normal			0.0016 - 0.0036
A <sub>4</sub> Teta	ahec	lral Models:			
ABGMP [49] Normal		0.997 - 1.0	0.365 - 0.438	0.00069 - 0.0037	
AKKL	[50]	Normal			0.006 - 0.04
Ma	[51]	Normal	1.0	0.45	0
<b>SO(3)</b> N	Aode	ls:			
М	[52]	Normal	0.87 - 1.0	0.46	0.00005
Texture	e Zer	o Models:			
CPP	[53]	Normal			0.007 - 0.008
		Inverted			$\geq 0.00005$
		Inverted			$\geq 0.032$
WY	[54]	Either			0.0006 - 0.003
		Either			0.002 - 0.02
		Either			0.02 - 0.15

Albright, Chen, PRD 74

- I. What is the nature of neutrinos?
- 2. What are the values of the masses? Absolute scale (KATRIN, ...?) and the ordering.
- 3. Is there CP-violation?
- 4. What are the precise values of mixing angles?
- **5. Is the standard picture correct?** Are there NSI? Sterile neutrinos? Other effects?

- I. What is the nature of neutrinos?
   Meutrinoless dbeta decay
- 2. What are the values of the masses? Absolute scale (KATRIN, ...?) and the ordering. LBL:T2K, NOvA,
- 3. Is there CP-violation?

- DUNE, T2HK, ESSnuSB, Daedalus,
- 4. What are the precise nuFACT..., PINGU values of mixing angles? reactor SBL and MBL,
- atm, LBL, ...
   5. Is the standard picture correct? Are there NSI? Sterile neutrinos? Other effects? MINOS+, MicroBooNE, SBND...

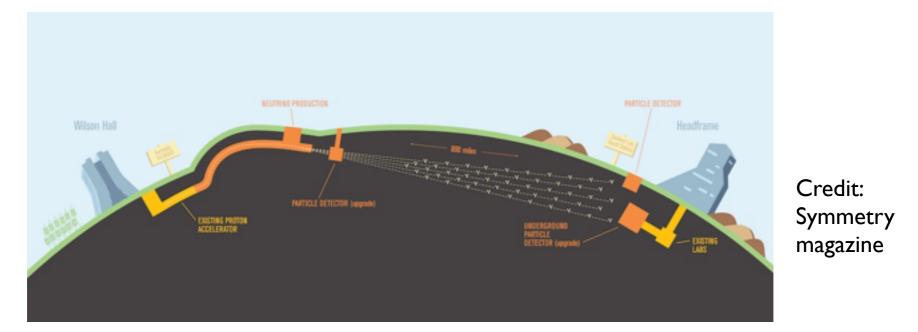
Very exciting experimental programme now and for the future.

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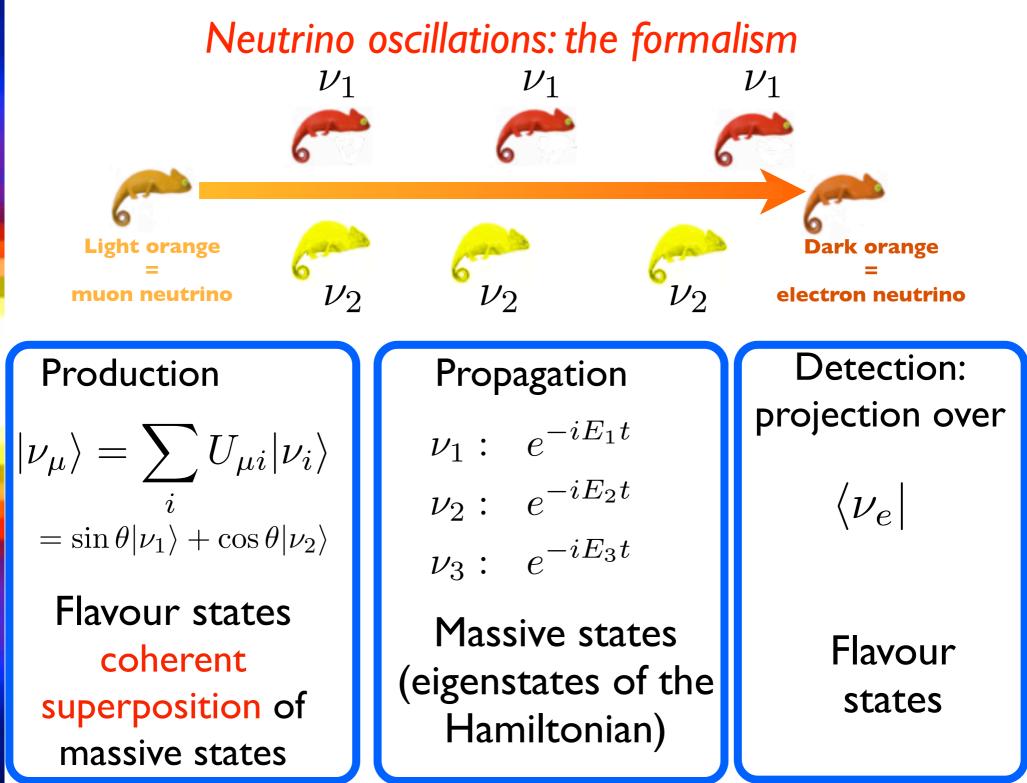
How can we search for the mass ordering and leptonic CPviolation?

## Long-baseline oscillations and MO

• When neutrinos travel in a medium, they interact with the background of e, p, n and get an effective mass.



• Typically the background is CP and CPT violating, e.g. the Earth and the Sun contain only electrons, protons and neutrons, and the resulting oscillations are CP and CPT violating (different for neutrinos and antineutrinos).



Lets's consider for simplicity the case of 2-neutrino mixing. The time evolution is given by

$$|\nu,t\rangle = e^{-i\mathcal{H}t}|\nu,0\rangle = -\sin\theta e^{-iE_1t}|\nu_1\rangle + \cos\theta e^{-iE_2t}|\nu_2\rangle$$

As neutrinos are highly relativistic,

$$E_2 - E_1 \simeq (p + \frac{m_2^2}{2E}) - (p + \frac{m_1^2}{2E}) \simeq \frac{\Delta m^2}{2E}$$

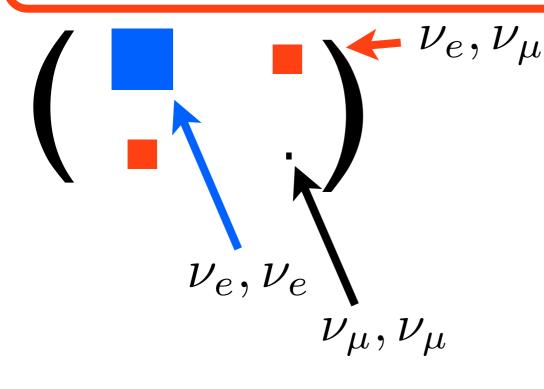
The **probability** for  $\nu_{\mu}$  to transform into  $\nu_{e}$  is:

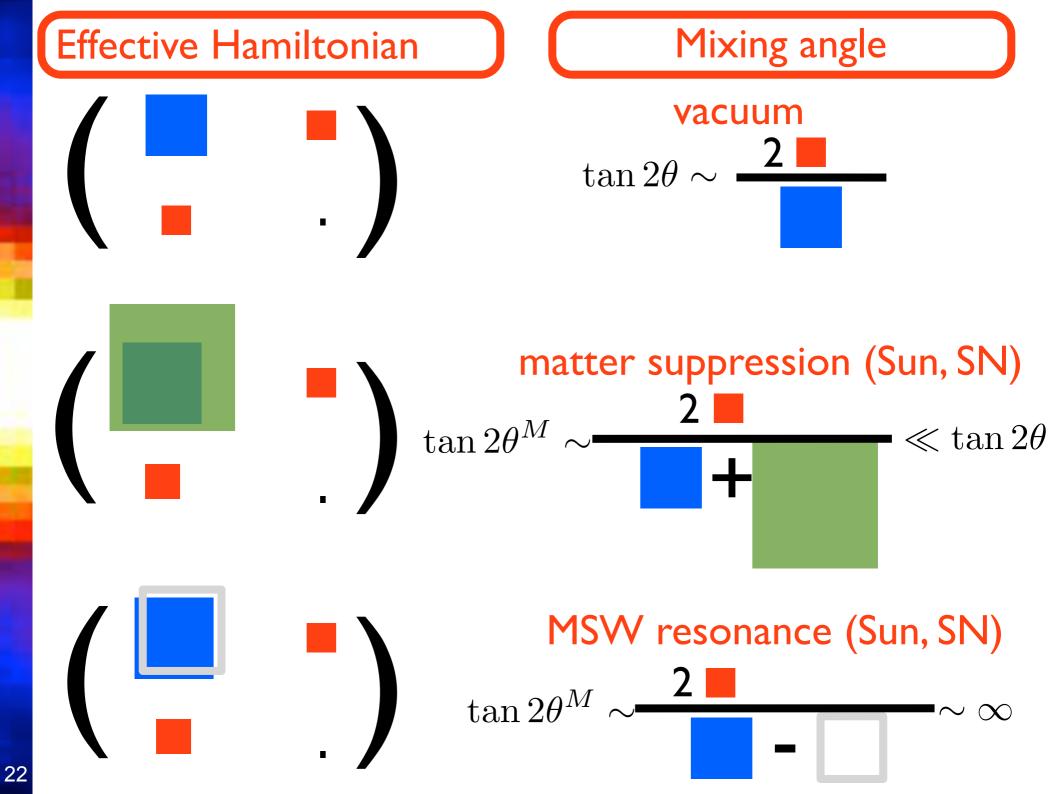
$$P(\nu_{\mu} \to \nu_{e}) = \sin^{2}(2\theta) \sin^{2} \frac{(m_{2}^{2} - m_{1}^{2})L}{4E}$$

Mixing angle: disalignment between flavour and mass states

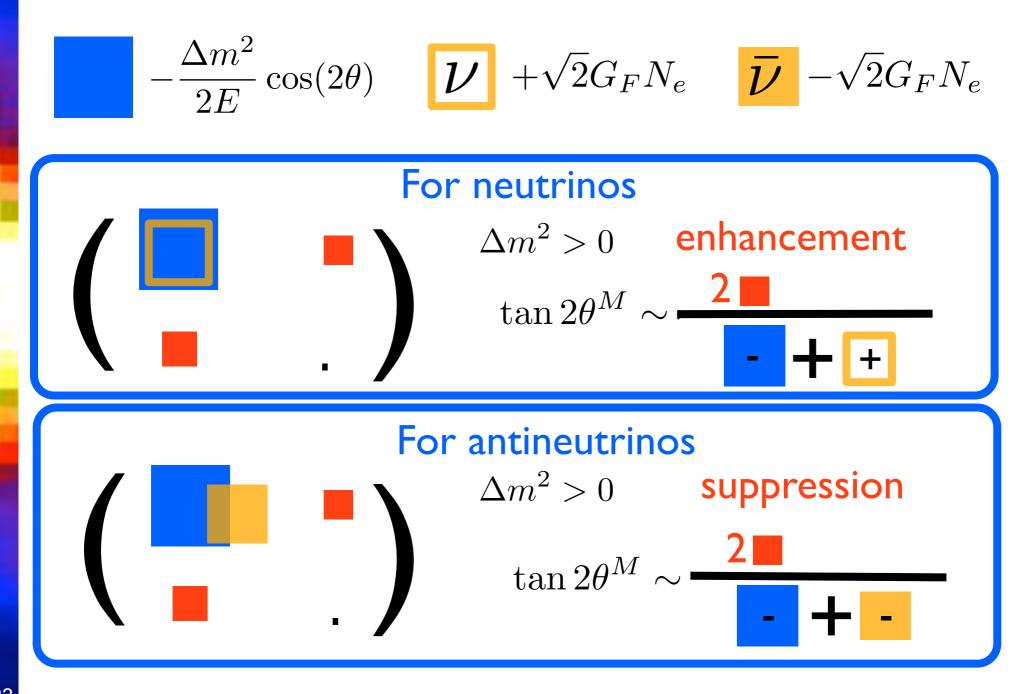
**Neutrino masses** 

#### Effective Hamiltonian in the flavour basis





#### In long baseline experiments



Matter effects modify the oscillation probability in LBL experiments.

$$P_{\nu_{\mu} \to \nu_{e}} = \sin^{2} \theta_{23} \sin^{2} 2\theta_{13}^{m} \sin^{2} \frac{\Delta_{13}^{m} L}{2}$$

The probability enhancement happens for

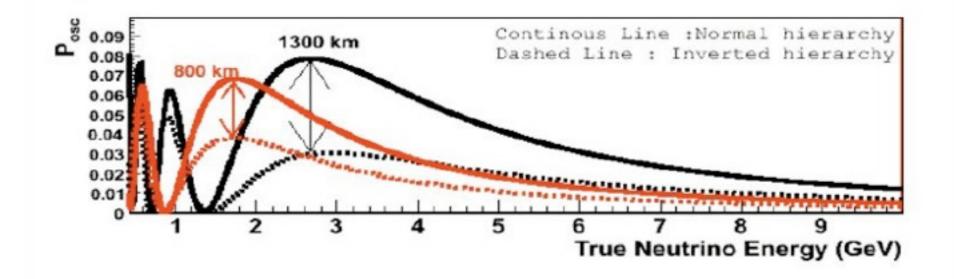
- neutrinos if 
$$\Delta m^2 > 0$$
  
- antineutrinos if  $\Delta m^2 < 0$ 

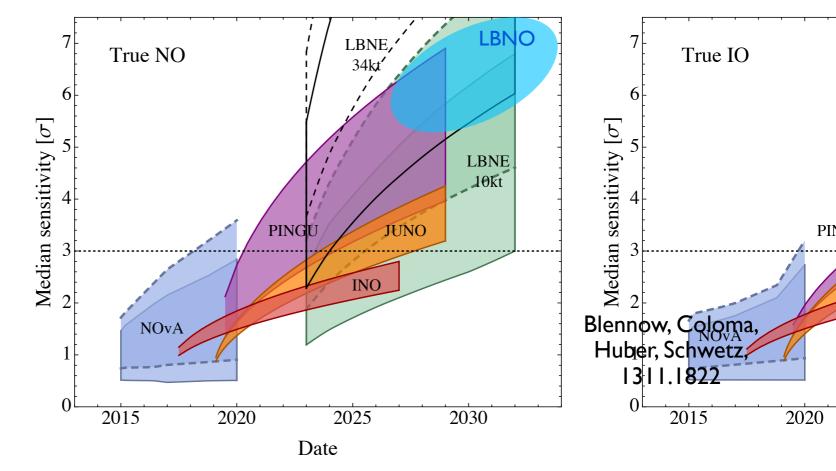
The impact of matter effects is stronger at higher energies and at longer baselines.

#### The 3 neutrino probability can be approximated as

$$P_{\mu e} \simeq 4c_{2}^{2}s_{13}^{2}\frac{1}{(1-r_{A})^{2}}\sin^{2}\frac{(1-r_{A})\Delta_{31}L}{4E} \qquad \begin{array}{l} \text{K.Asano, H. Minakata, 1103.4387;} \\ \text{K.Asano, H. Minakata, 1103.4387;} \\ \text{S. K.Agarwalla et al., 1302.6773;} \\ \text{Minakata, Parke, 1505.01826 ...} \end{array} \\ +\sin 2\theta_{12}\sin 2\theta_{23}s_{13}\frac{\Delta_{21}L}{2E}\sin\frac{(1-r_{A})\Delta_{31}L}{4E}\cos\left(\delta-\frac{\Delta_{31}L}{4E}\right) \\ +s_{23}^{2}\sin^{2}2\theta_{12}\frac{\Delta_{21}^{2}L^{2}}{16E^{2}}-4c_{23}^{2}s_{13}^{4}\sin^{2}\frac{(1-r_{A})\Delta_{31}L}{4E} \\ \text{with} \quad r_{A}\equiv\frac{2E}{\Delta m_{31}^{2}}\sqrt{2}G_{F}N_{e} \end{array}$$

A Cervera et al hep-ph/0002108.





Experiment	Physics effect	Challenges
DUNE, nuFactory	Matter effects in crust	delta, theta23
Atm nus (INO, PINGU, ORCA)	Matter effects (mantle, mantle+core)	theta23, energy and angular resolution
Reactor exp (JUNO, RENO50)	Vacuum oscillations	energy resolution and reconstruction

## **CP-violation in LBL experiments**

CP-violation will manifest itself in neutrino oscillations, due to the delta phase. The CP-asymmetry:

$$P(\nu_{\mu} \to \nu_e; t) - P(\bar{\nu}_{\mu} \to \bar{\nu}_e; t) =$$

$$=4s_{12}c_{12}s_{13}c_{13}^{2}s_{23}c_{23}\sin\delta\left[\sin\left(\frac{\Delta m_{12}^{2}L}{2E}\right)+\sin\left(\frac{\Delta m_{23}^{2}L}{2E}\right)+\sin\left(\frac{\Delta m_{31}^{2}L}{2E}\right)\right]$$

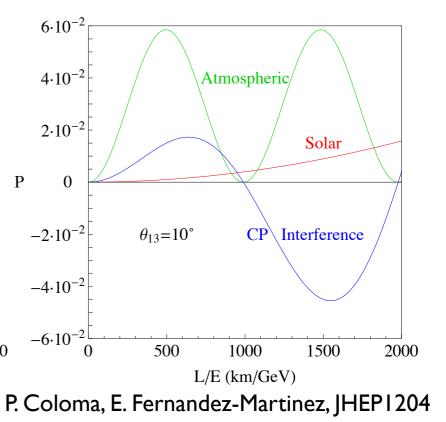
- CP-violation requires all angles to be nonzero.
- It is proportional to the sine of the delta phase.

• Effective 2-neutrino probabilities are CP-symmetric. CPV needs to be searched for in LBL experiments which have access to 3-neutrino oscillations.

$$\begin{split} P_{\mu e} \simeq & 4c_{2}^{2} (s_{13}^{2}) \frac{1}{(1-r_{A})^{2}} \sin^{2} \frac{(1-r_{A})\Delta_{31}L}{4E} & \text{A. Cervera et al., hep-ph/0002108;} \\ & + \sin 2\theta_{12} \sin 2\theta_{2} (s_{13}) \frac{\Delta_{21}L}{2E} \sin \frac{(1-r_{A})\Delta_{31}L}{4E} & \text{S. K. Agarwalla et al., 1302.6773...} \\ & + s_{23}^{2} \sin^{2} 2\theta_{12} \frac{\Delta_{21}^{2}L^{2}}{16E^{2}} - 4c_{23}^{2}s_{13}^{4} \sin^{2} \frac{(1-r_{A})\Delta_{31}L}{4E} \end{split}$$

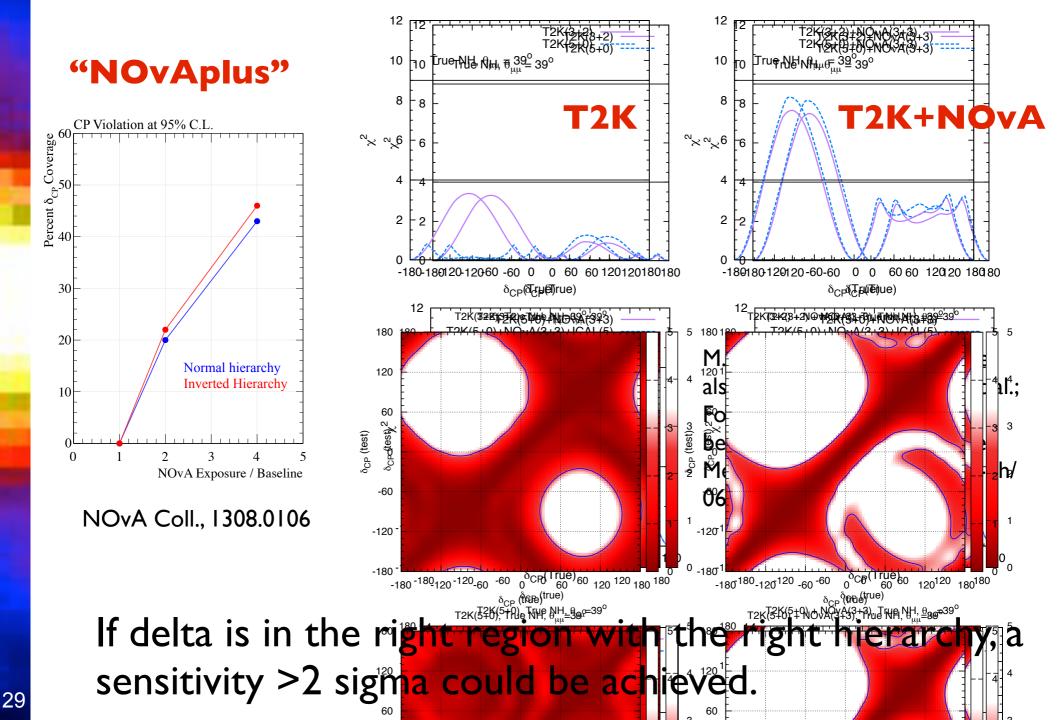
• The CP asymmetry peaks for sin^2 2 theta 13 ~0.001. Large theta 13 makes its searches possible but not ideal.

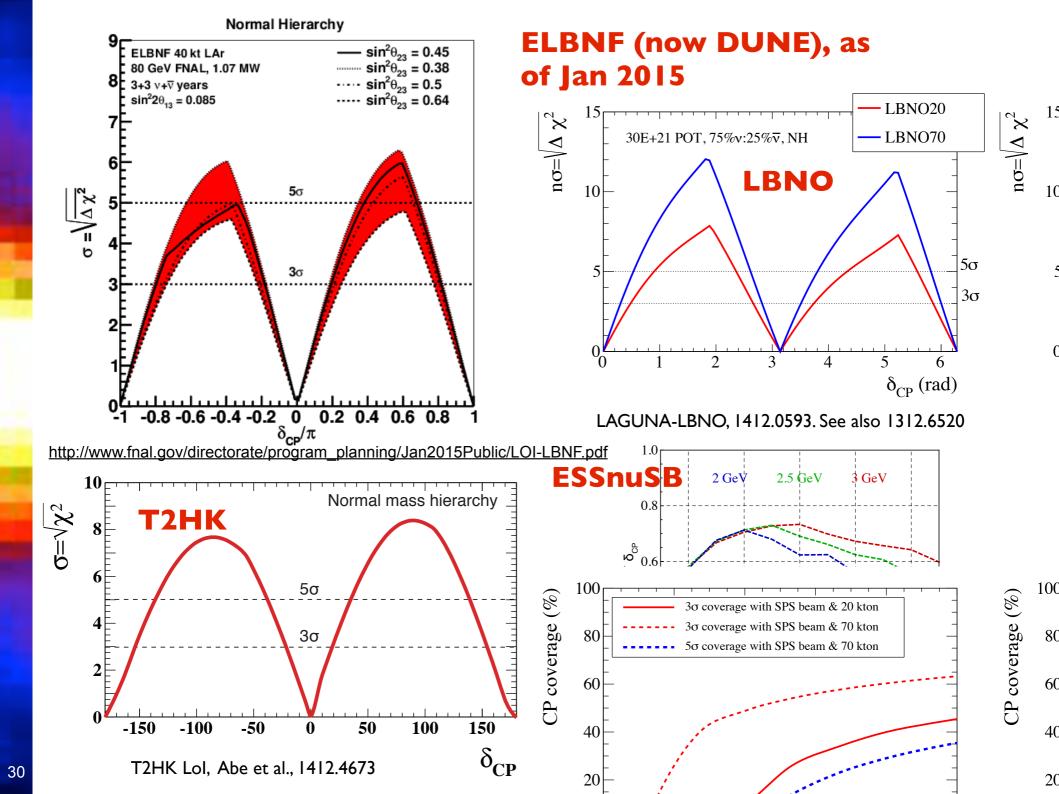
- Degeneracies with the mass hierarchy and theta23.
- CPV effects are more pronounced at low LE (km/GeV) F



**CPV** Searches

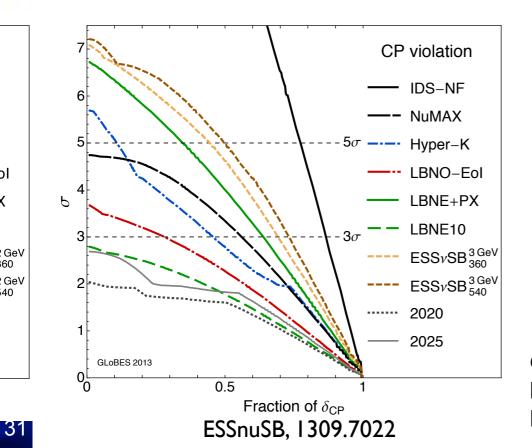
#### Near future:T2K and NOvA

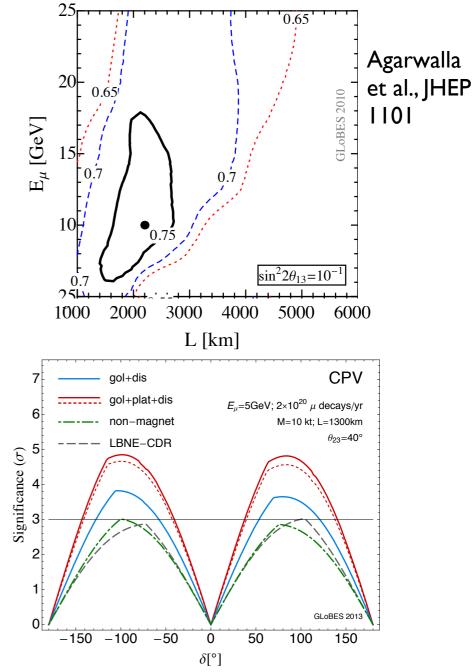




#### **Neutrino factory**

The neutrino factory has the best sensitivity to CPV. Due to large theta 13, low energy muons and not-toolong baselines are needed.

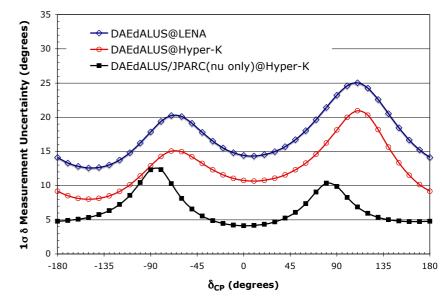




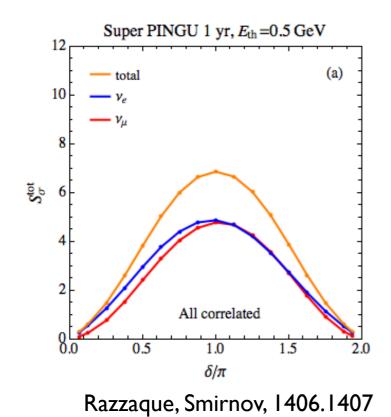
Christensen et al., PRL 111. See also Geer, Mena SP, PRD 75, Bross et al, PRD77; Fernandez-Martinez, Li, Mena, SP, PRD 81; and Rubbia et al., 2001; IDS-NF...

#### DAEdALUS

Uses the probability of oscillation of low energy muon antineutrino into electron antineutrinos at short baselines (1.5-20 Km).



DAEdALUS Coll., 1307.2949



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## **Atmospheric neutrinos**

These experiments have access to a broad range of baselines and energies. Limited energy and angular resolution and nuanti nu discrimination affect their reach.

Peres, Smirnov; Kimura et al., Gonzalez-Garcia, Maltoni; Akhmedov et al.; Mena et al.; Hay, Latimer; Agarwalla et al.; Ohlsson et al.; Ge et al.; Abe et al.; Kearns et al.; Adams et al; ...

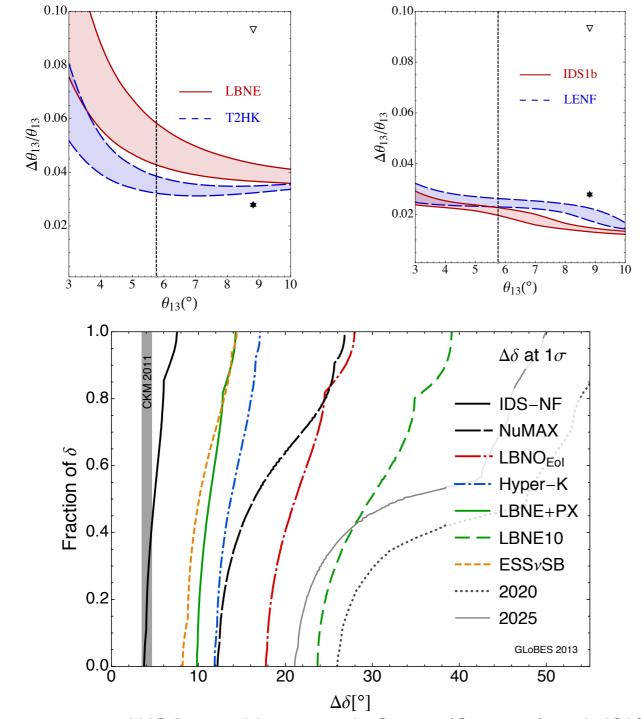
## Precision measurements of oscillation parameters

The precision measurement of the oscillation parameters will become very important in the future.

- The values of the mixing angles seem to indicate an underlying symmetry:  $\theta_{23} \sim 45^{o}$ ,  $\theta_{13}$  not too far from 0.
- Predictions for the CPV phase delta and relations among parameters in flavour models (e.g. sum rules).

## Crucial information in order to discriminate between different flavour models.

LBL experiments can give information on  $\theta_{23}, \ \theta_{13}, \ \delta$  .

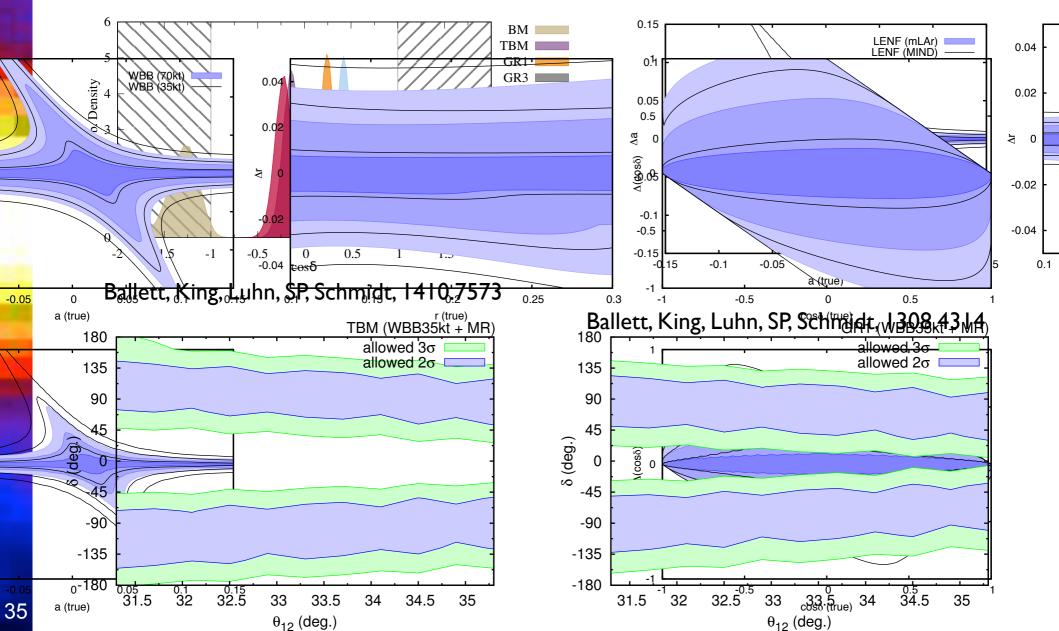


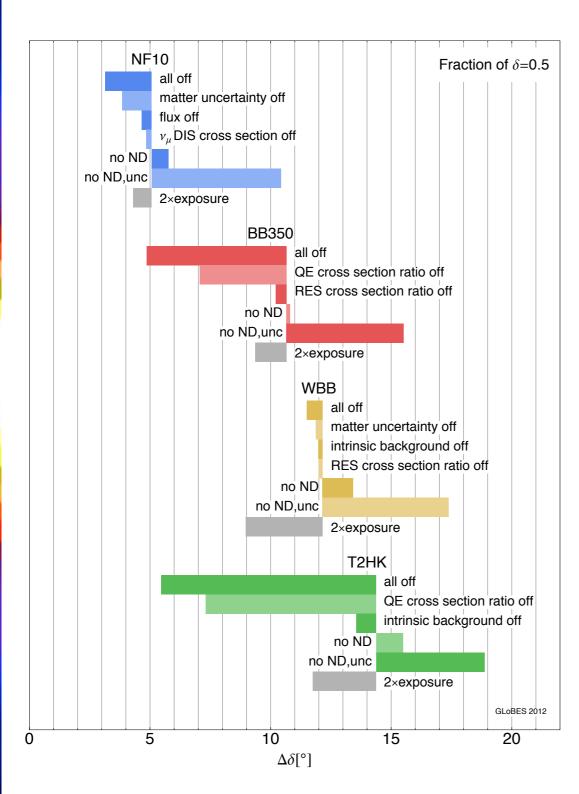
Coloma, Donini, Fernandez Martinez, Hernandez, 1203.5651

The best measurement of theta 13 will be provided by Daya Bay, unaffected by degeneracies, and it could be marginally improved by LENF.

WG Report: Neutrinos, de Gouvea (Convener) et al., 1310.4340; see also, Coloma et al., JHEP 1206; Minakata, Parke, PRD87; D. Meloni, PLB728

In addition to delta, the study of sum rules an pssible of sum rules and pssible of the of the atmospheric and solar mixing angles, of one of the of



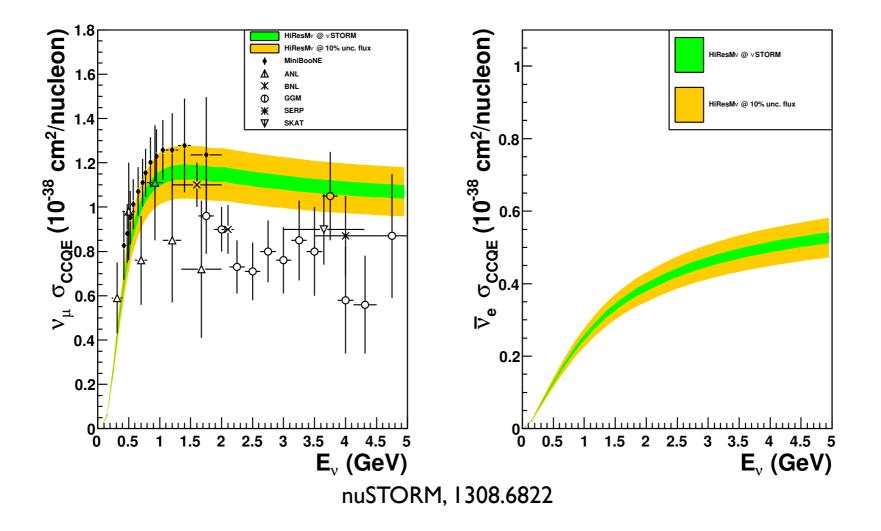


Systematic errors significantly affect the sensitivity. Good energy resolution, wide band beam, additional input will help in reducing their impact. Excellent knowledge of cross sections will be crucial. The near detector(s) will also play an important role.

Coloma, Huber, Kopp, Winter, 1209.5973







NuSTORM can provide a very precise measurement of the cross sections both for muon and electron (!) neutrinos in the relevant range of energies.

• 5. Is the standard picture correct?

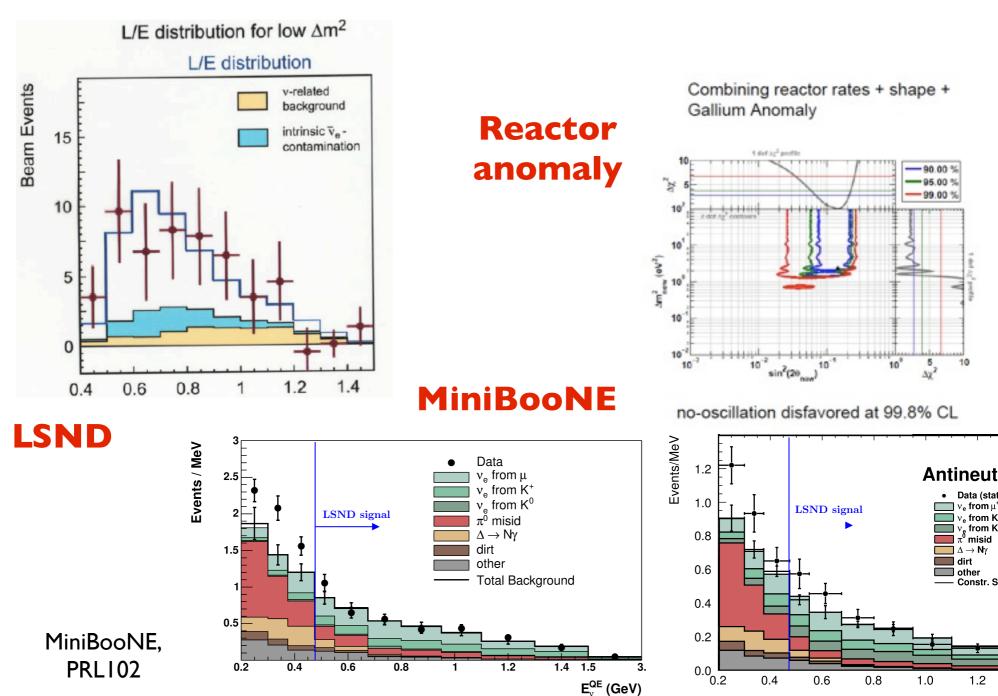
Tests of the standard 3-neutrino paradigm

- Sterile neutrinos (as suggested or not by current hints).
- New interactions: NSI, light mediators...
- Decoherence, Lorentz violation...

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A deviation from the standard picture would have a groundbreaking impact.

#### 4- or 5- neutrino oscillations: sterile neutrinos



As the  $\Delta m^2$  required to explain these experiments is different from  $\Delta m^2_{\rm sol}$  and  $\Delta m^2_{\rm A}$ , this means that there are at least 4 neutrinos. The fourth one needs to be sterile, i.e. it does not have SM interactions.

Clarification: 4 flavour states  $\nu_e, \nu_\mu, \nu_\tau, \nu_s$ 4 mass states  $\nu_1, \nu_2, \nu_3, \nu_4$ 

Sterile neutrinos could be present in extensions of the SM with masses from sub-eV to GUT scale.

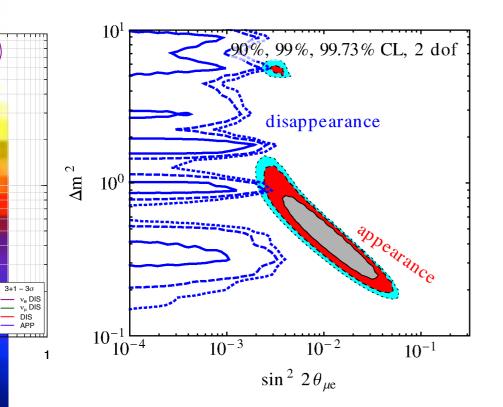
Their existence would have signatures in other experiments (e.g. neutrinoless double beta decay) and in cosmology (possible tension with data).

#### **Disappearance** experiments

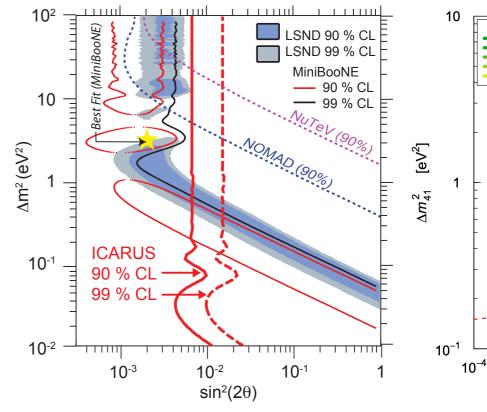
$$P(\nu_e \to \nu_e) = 1 - 4|U_{e4}|^2(1 - |U_{e4}|^2)\sin^2(\Delta m^2 L/4E)$$
$$P(\nu_\mu \to \nu_\mu) = 1 - 4|U_{\mu4}|^2(1 - |U_{\mu4}|^2)\sin^2(\Delta m^2 L/4E)$$

#### Appearance experiments

$$P(\nu_{\mu} \to \nu_{e}) = 4|U_{e4}|^{2}|U_{\mu4}|^{2}\sin^{2}(\Delta m^{2}L/4E)$$

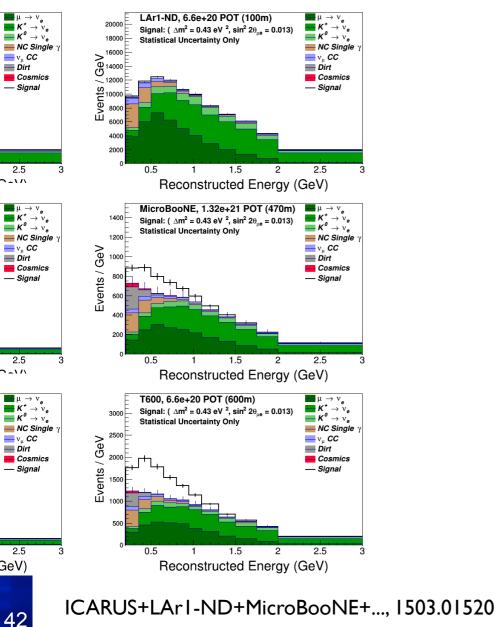


Kopp, Machado, Maltoni, Schwetz, JHEP 1305

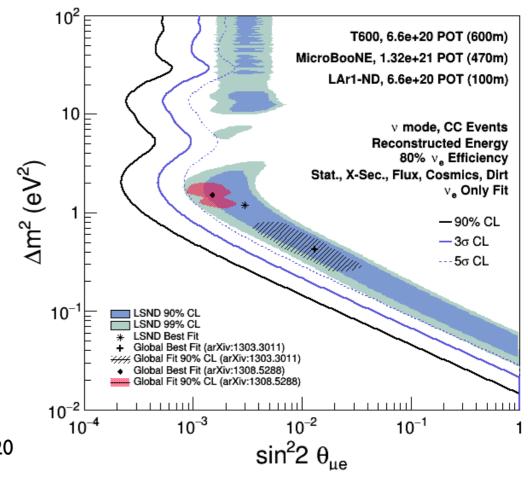


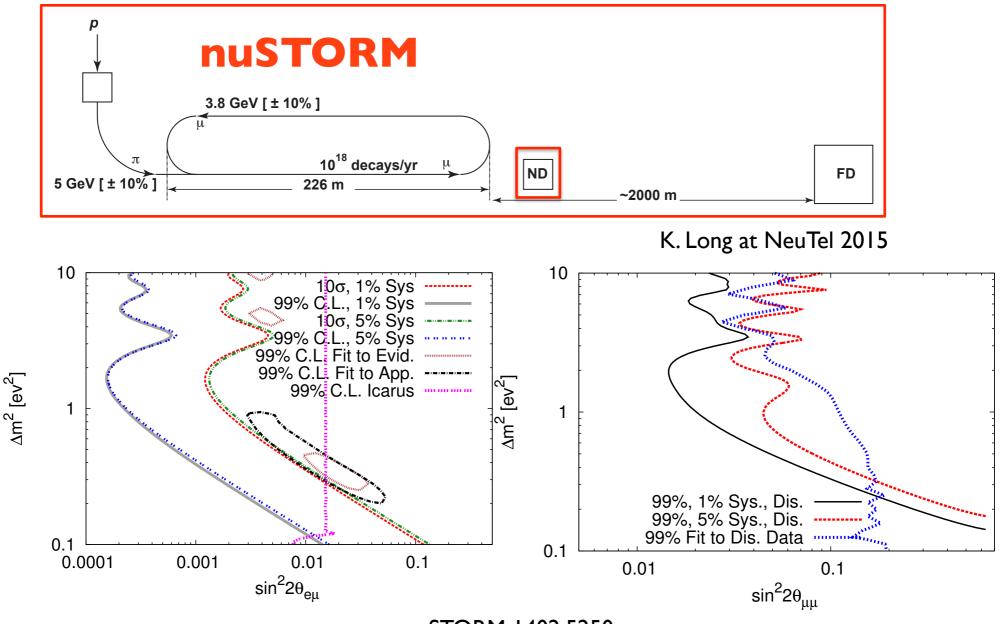
ICARUS+LArI-ND+MicroBooNE+..., 1503.01520

#### SBN programme at FNAL: MicroBooNE + LArI-ND+ICARUS (T600).









nuSTORM 1402.5250

It would easily confirm/disprove the oscillation hypothesis.

## Conclusions

- In the past few years, the neutrino oscillation parameters have been measured with good precision. The recent discovery of non-zero  $\theta_{13}$  has important implications for neutrino oscillation experiments.
- Next generation oscillation experiments will address the mass ordering, CPV searches and precision measurements of the oscillation parameters. The physics reach of a facility depends on beams, detector performance, systematic errors and backgrounds.
- Anomalies have been found (LSND, MiniBooNE, reactors). In the next few years, dedicated experiments will test them and provide additional information.