

# Flavour scenarios from 5D $SO(10)$ : order and anarchy interplay

**Denise Vicino,**  
*University of Padova*

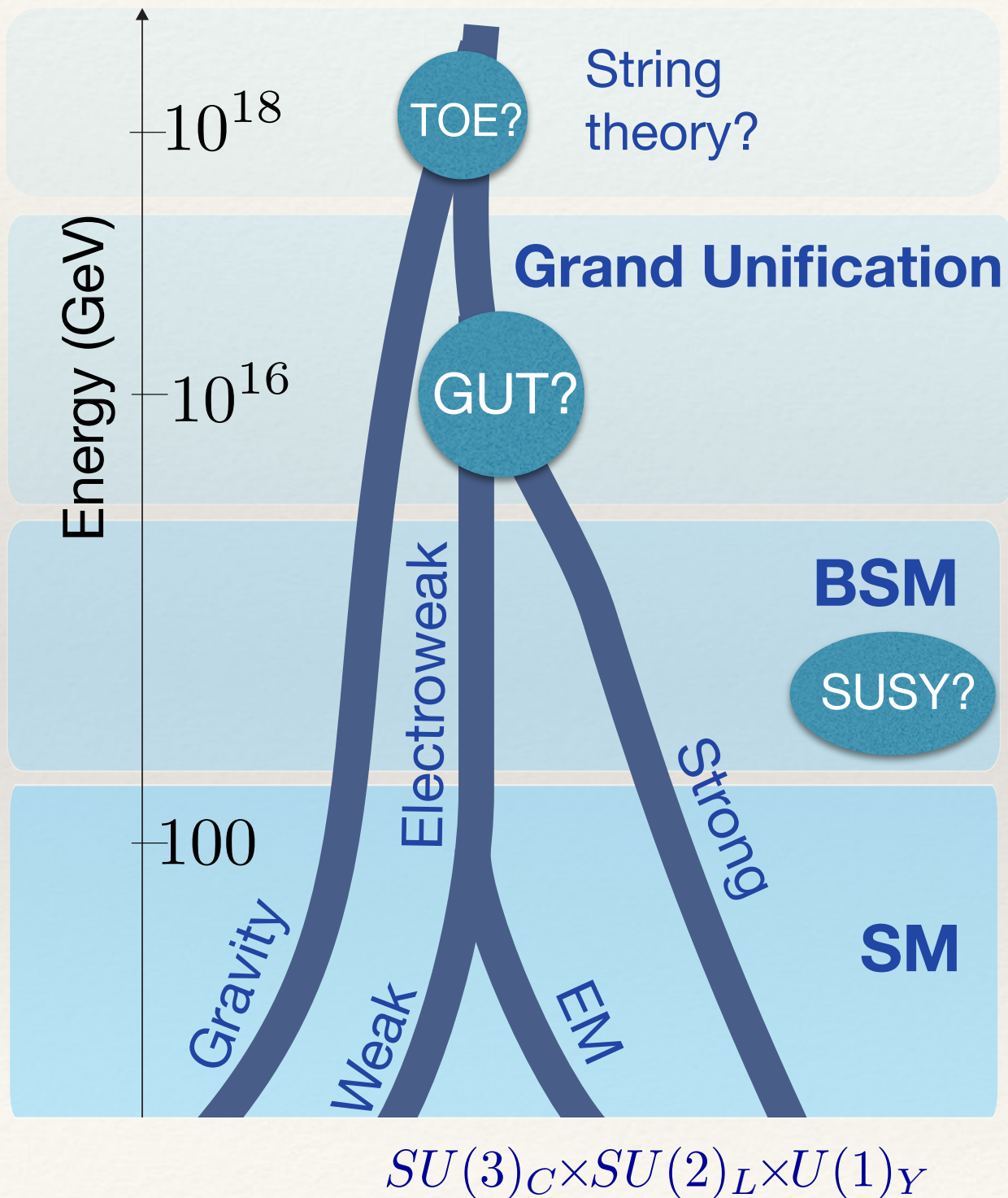
in collaboration with:  
**F. Feruglio** and **K. Patel**

Based on:  
**arXiv: 1507.00669**  
and **JHEP 1409(2014)095 - arXiv: 1407.2913**



# Grand Unification and the Flavour puzzle

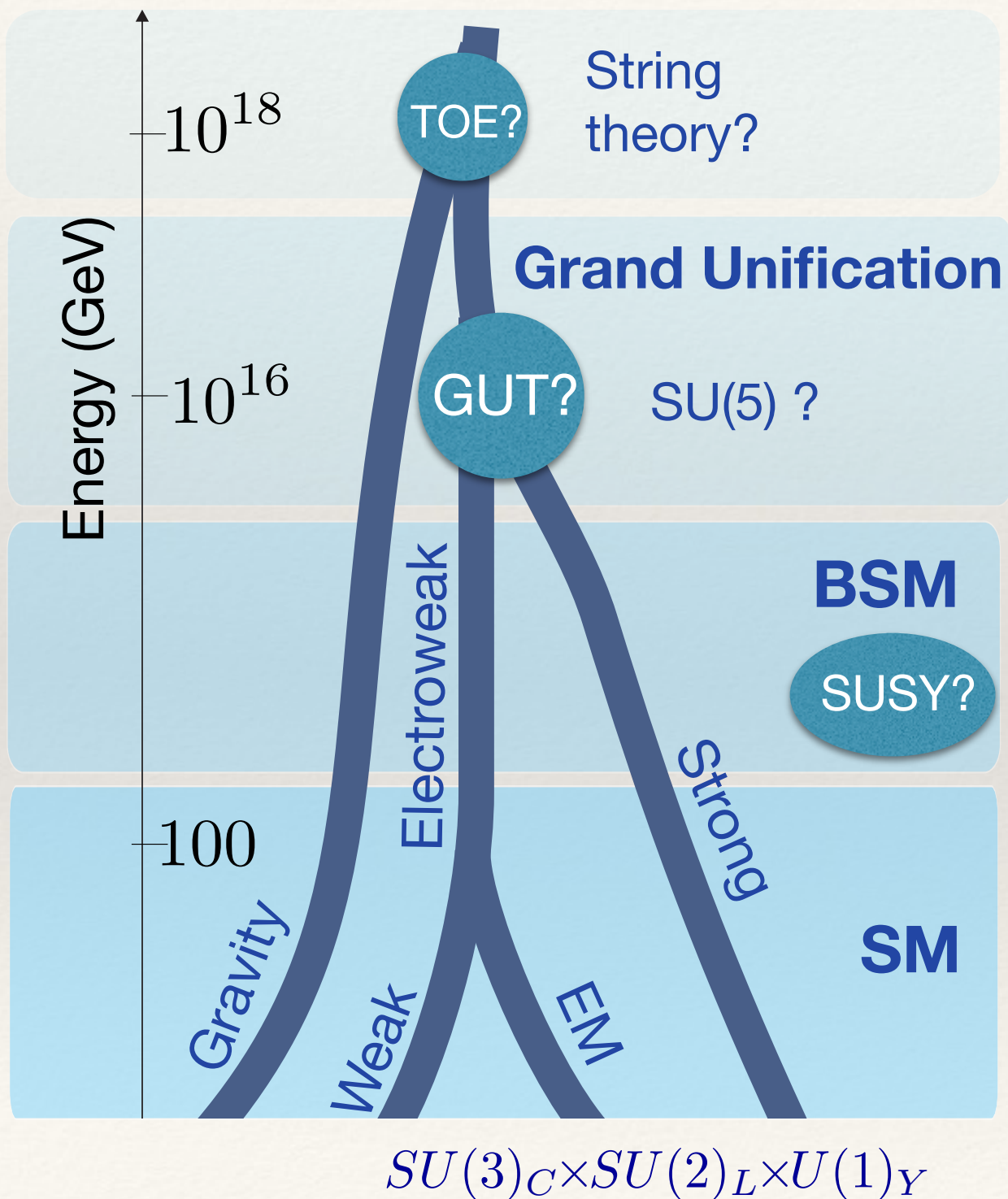
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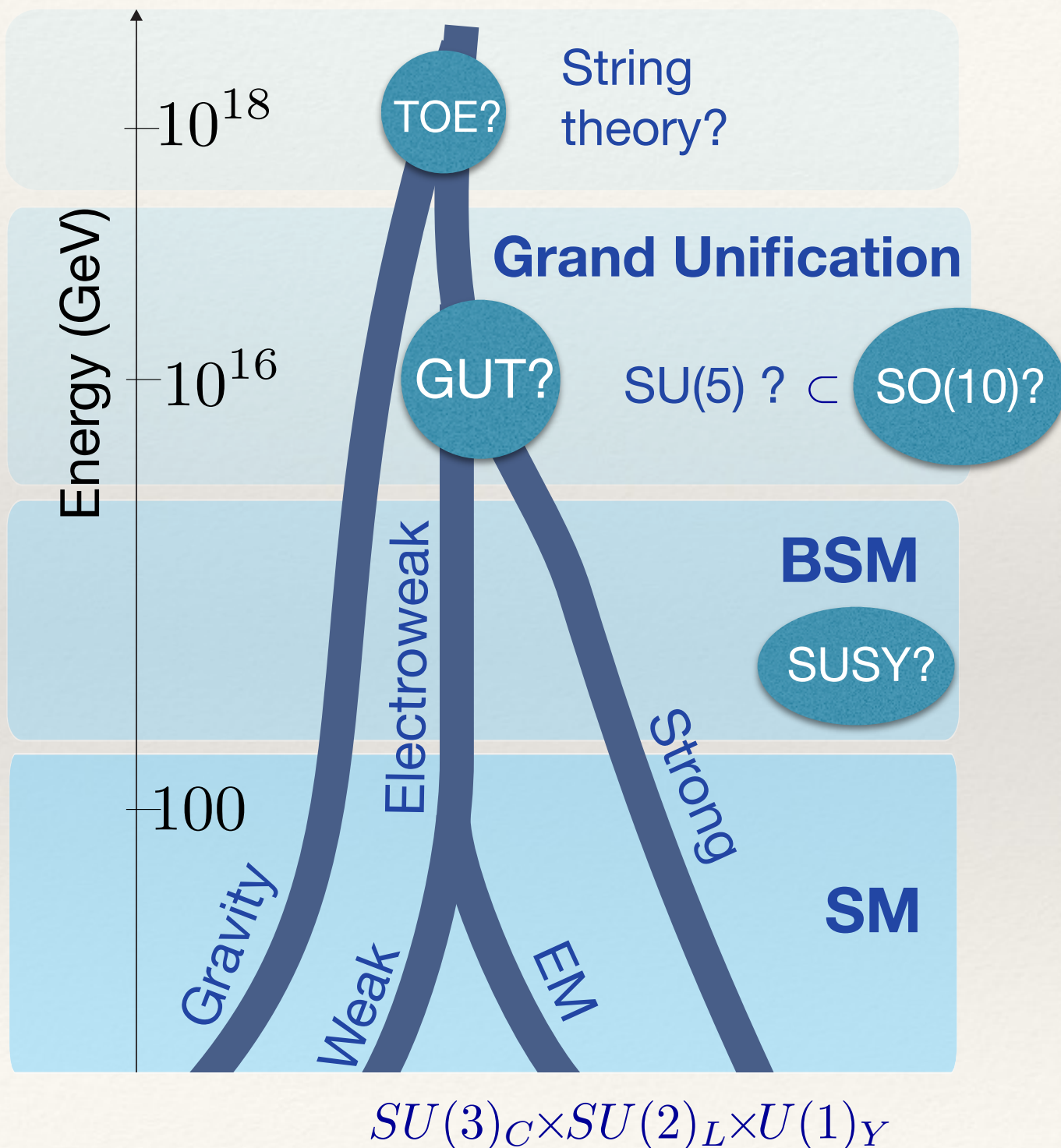
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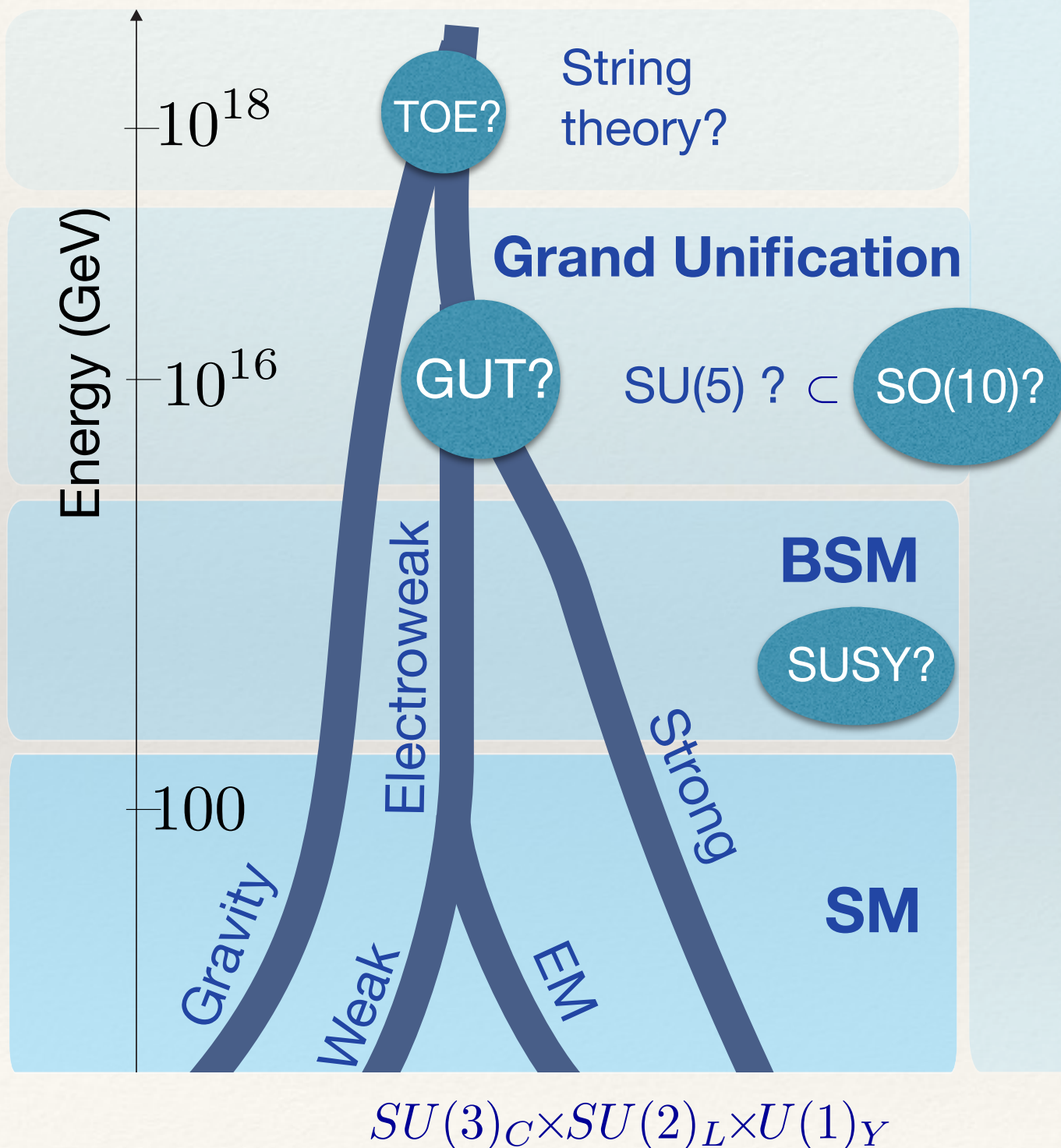
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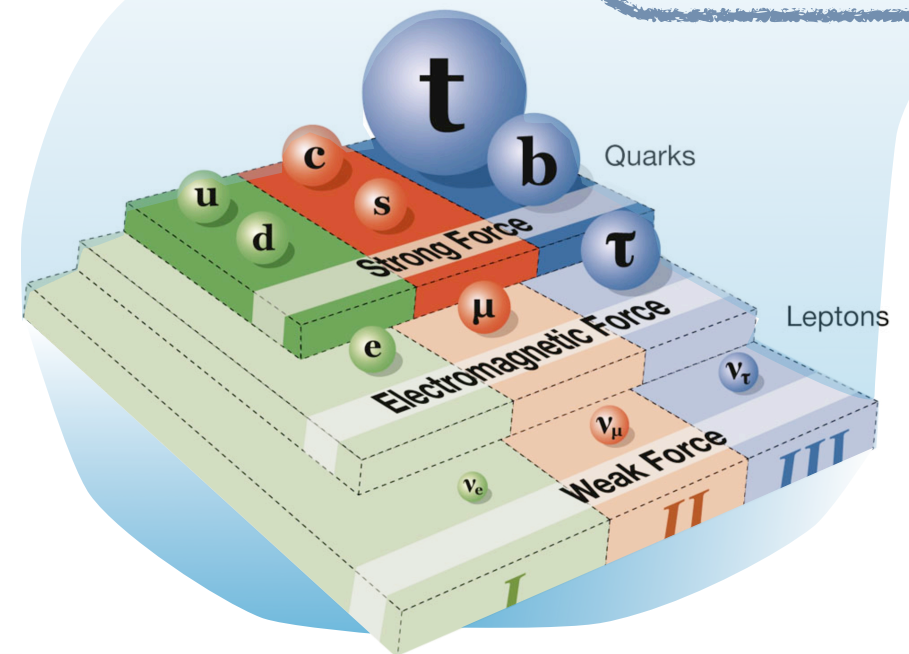


- Explain the origin of SM gauge structure: but which symmetry breaking down to the SM?
- Unified description of SM fermions:

**SM fermions**  
+  
**RH neutrinos**

a single  $SO(10)$   
representation:

$16_{i=1,2,3}$



# Grand Unification and the Flavour puzzle

## Why this peculiar structure of the Yukawa couplings?

Masses Mixing	<ul style="list-style-type: none"><li>• <b>Charged Fermions</b></li></ul> $m_u : m_c : m_t \approx \lambda^8 : \lambda^4 : 1$ $m_d : m_s : m_b \approx \lambda^5 : \lambda^3 : 1$ $m_e : m_\mu : m_\tau \approx \lambda^6 : \lambda^2 : 1$	<ul style="list-style-type: none"><li>• <b>Neutrinos</b></li></ul> $m_\nu \leq \mathcal{O}(\text{eV}) \quad \frac{\Delta_S}{\Delta_A} \approx \lambda^2$ $\Delta_S \equiv m_{\nu 2}^2 - m_{\nu 1}^2$ $\Delta_A \equiv  m_{\nu 3}^2 - m_{\nu 2}^2 $
	<ul style="list-style-type: none"><li>• <b>Quark sector</b></li></ul> $ V_{\text{CKM}}  \approx \begin{pmatrix} 1 & \lambda & \lambda^3 \\ \lambda & 1 & \lambda^2 \\ \lambda^3 & \lambda^2 & 1 \end{pmatrix}$	<ul style="list-style-type: none"><li>• <b>Lepton sector</b></li></ul> $ U_{\text{PMNS}}  \approx \begin{pmatrix} 0.8 & 0.5 & 0.2 \\ 0.5 & 0.6 & 0.6 \\ 0.3 & 0.6 & 0.7 \end{pmatrix}$

## SO(10) GUT: which advantages?

- RH neutrinos, natural implementation of (type I)  
[Minkowski (1977), Yanagida (1979), Gell-Mann, Ramond, Slansky (1979), Mohapatra and Senjanovic (1980)]
- Embedding  $\text{SU}(5) \subset \text{SO}(10)$ : explain similar hierarchy in down quarks and charged leptons [Georgi-Glashow (1974)]



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# Grand Unification and the Flavour puzzle

## SO(10) GUT: which disadvantages?

### Structure of the Yukawa couplings:

$$16 \times 16 = 10 + 120 + 126$$

- No minimal coupling (quarks and leptons);
- Large representations;
- Lots of parameters,

$$\mathcal{Y}_{10}^{ij} 16_i 16_j 10_H + \dots$$

3 possible Higgs representations

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Are ANARCHICAL  $\mathcal{O}(1)$  Yukawas allowed?

Can any mechanism ORDER the parameters and create the hierarchies?

Is this compatible with unified description of fermions in SO(10)?



# Anarchy and order interplay: the basic idea

**Hierarchical      Anarchical**

$$\begin{array}{c}
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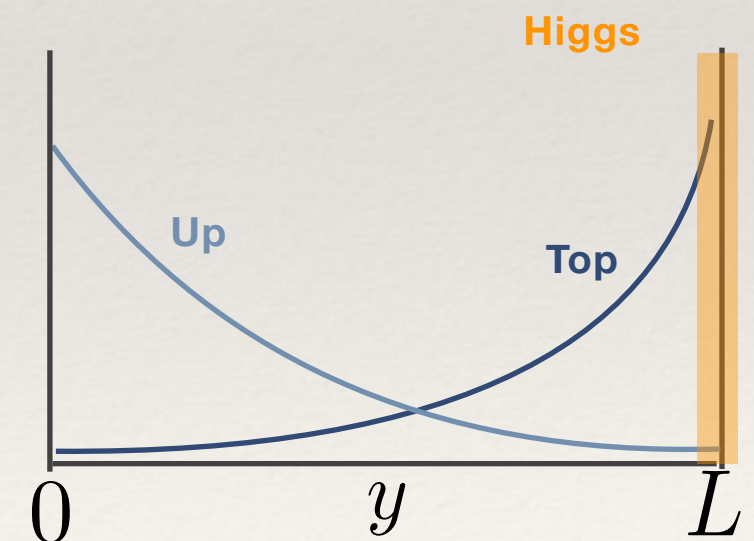
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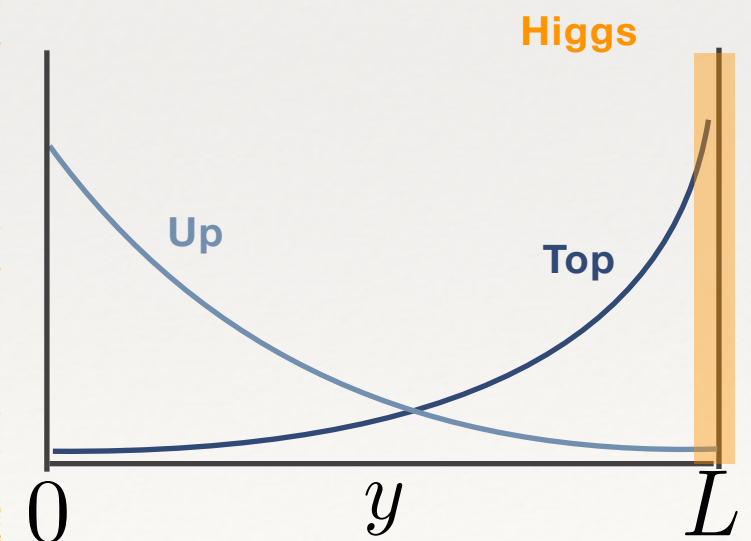
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**New mechanisms of  
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**Solution to  
Doublet-Triplet  
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Combined with  
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**More predictive model**

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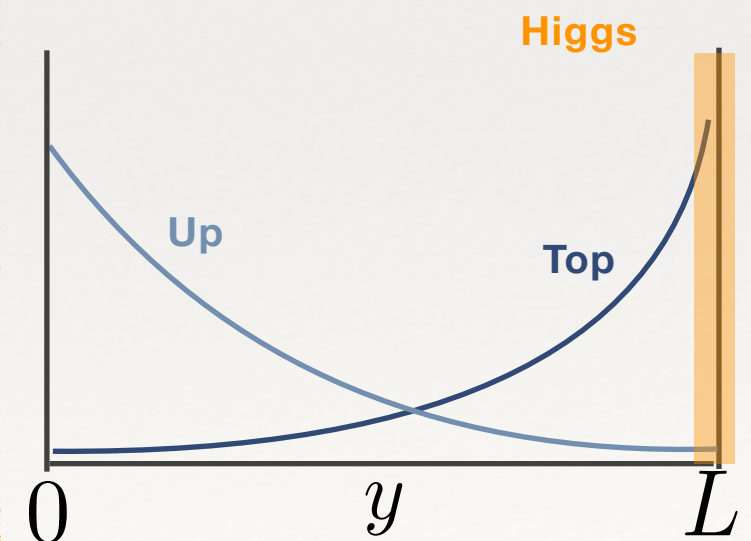
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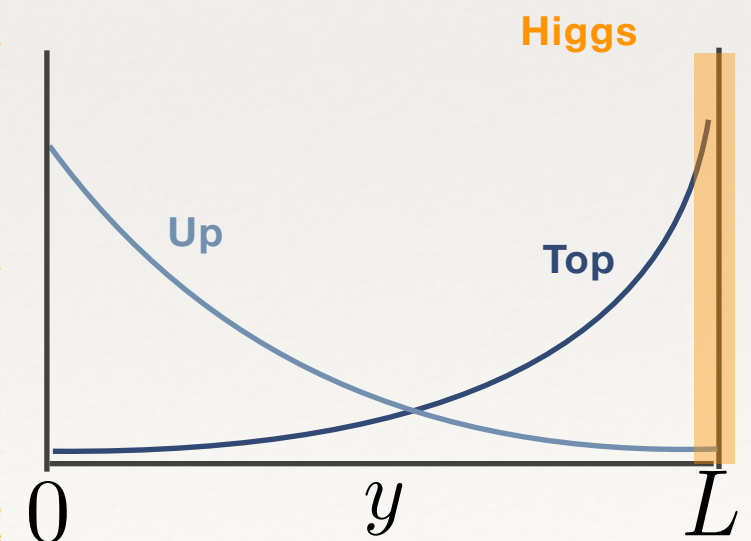
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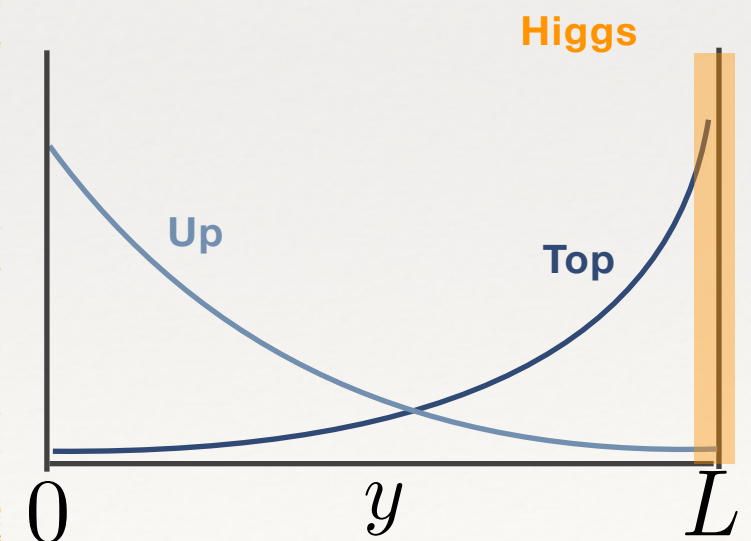
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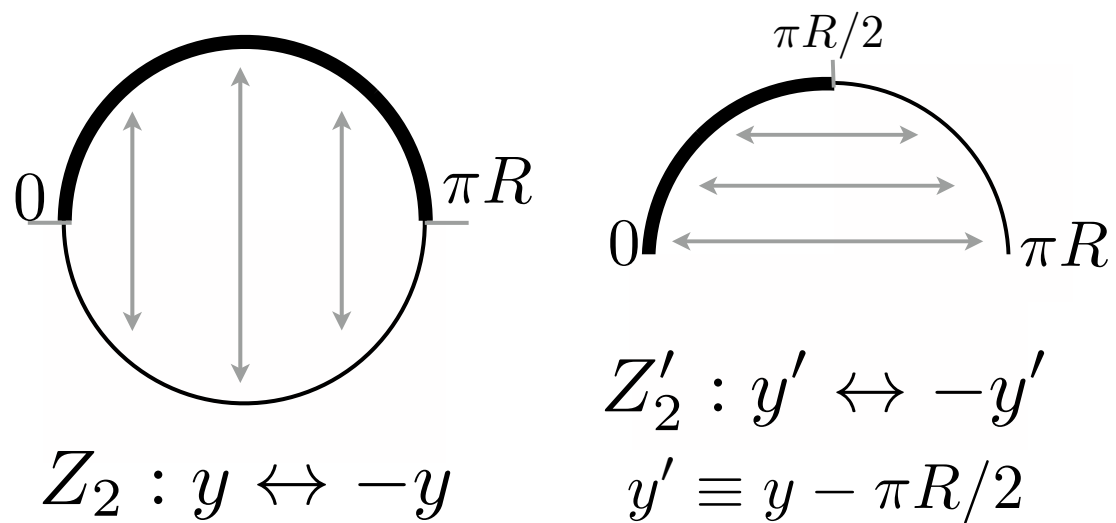
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$$H(x_\mu, y) = \sum_n H_n(x_\mu) f_n(y) \rightarrow \text{Profile in the extra dimension}$$

$n=0$  mode describes the massless particle (MSSM field)

- **Extra dimension compactified on Orbifold:**  $S^1 / (Z_2 \times Z'_2)$  with flat metric



All the fields in ED are defined in the fundamental interval:

$$0 \quad y \quad \frac{\pi R}{2}$$

$$\frac{1}{R} \gtrsim M_{GUT} \approx 10^{16} \text{ GeV}$$

with assigned parities  $(P, P')$  under  $Z_2 \times Z'_2$

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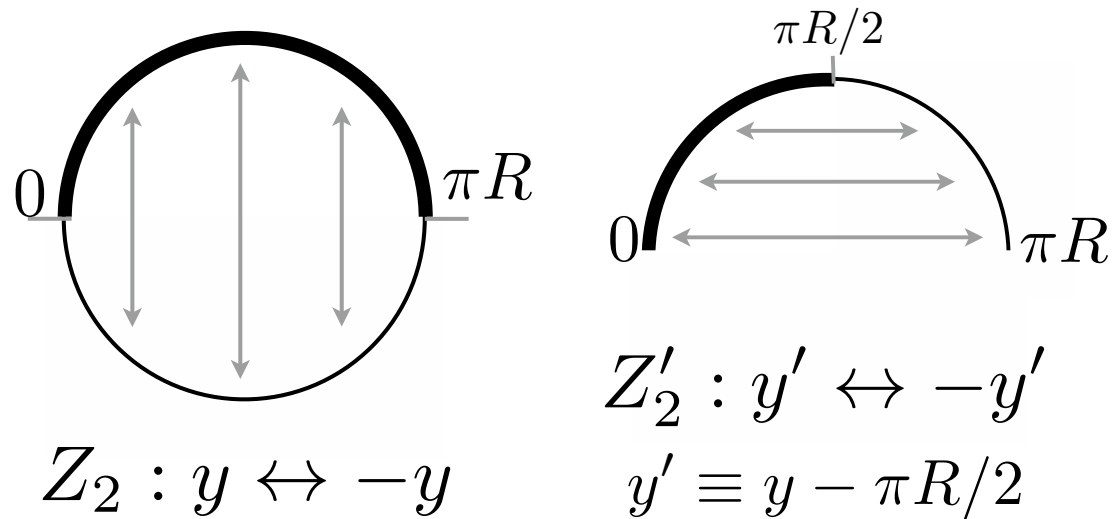
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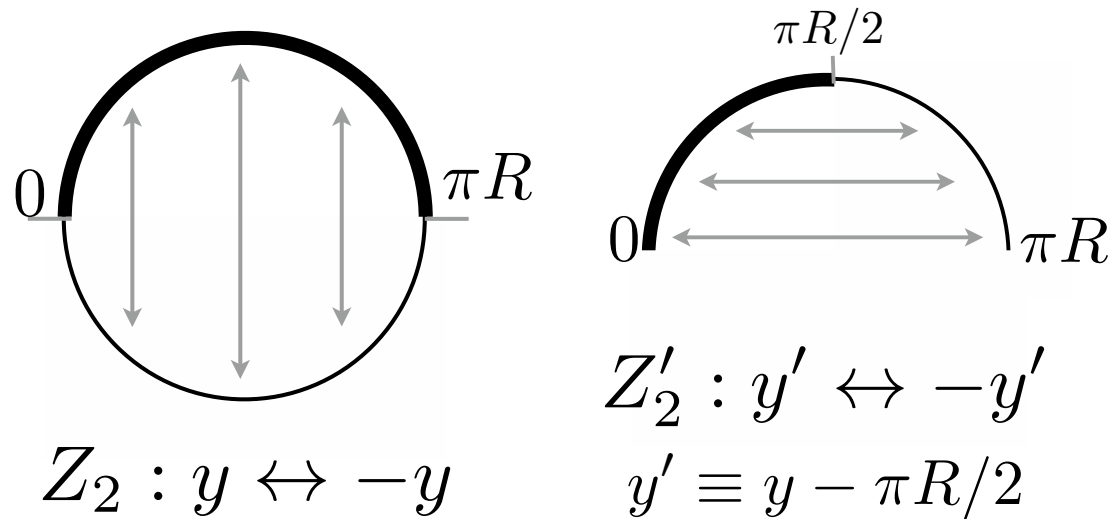
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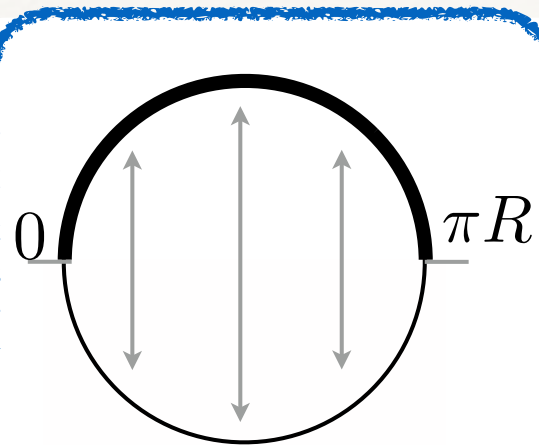
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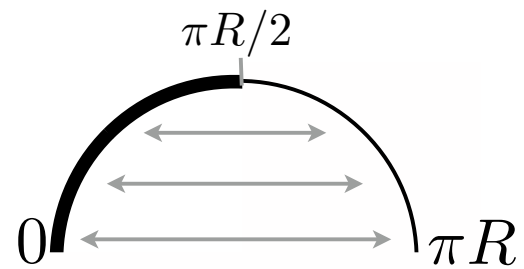
Breaks

5D N=1 SUSY  
(4D N=2 SUSY)



4D N=1 SUSY

[Pomarol, Quiros (1998),  
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$$Z'_2 : y' \leftrightarrow -y'$$

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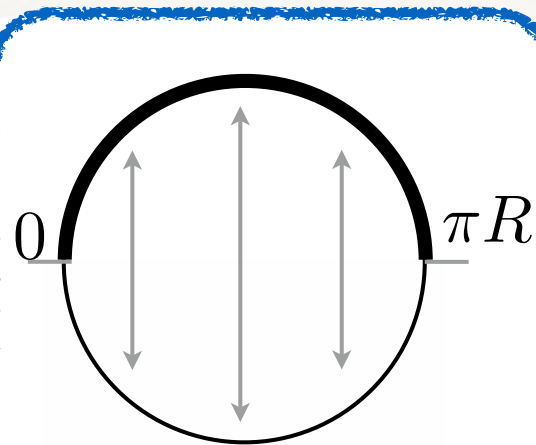
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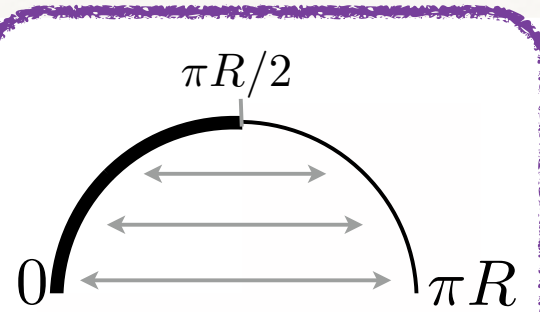
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Breaks  
SO(10)



Pati Salam group:

$$SU(4) \times SU(2)_L \times SU(2)_R$$

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# The model: symmetries

$\mathcal{N}=1$  SUSY

$SO(10)$  brane

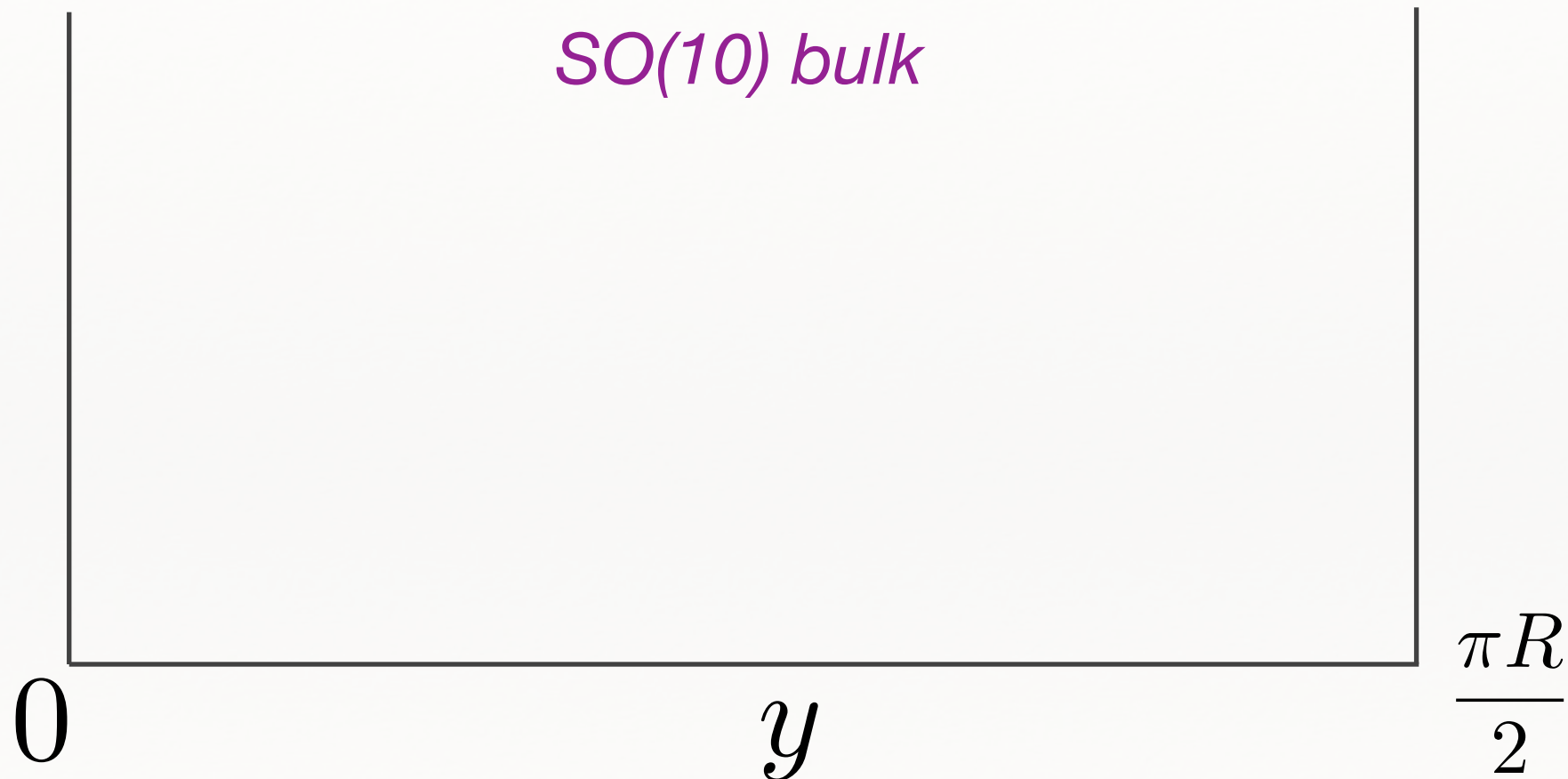
$\mathcal{N}=2$  SUSY

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$PS$  brane

$SU(4) \times SU(2)_L \times SU(2)_R$



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$45_V$

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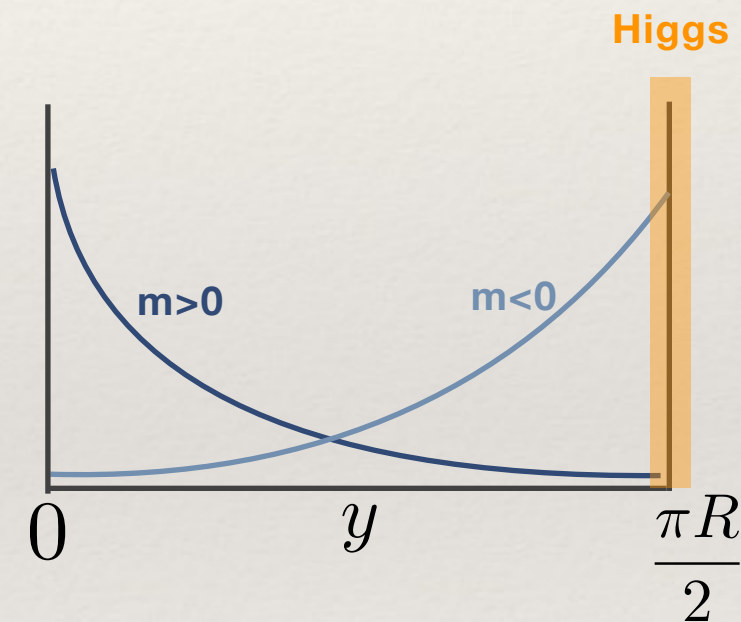
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$$f_{\mathbf{16}_i}(m_i, y) = \sqrt{\frac{2m_i}{1 - e^{-m_i\pi R}}} e^{-m_i y}; \quad f_{\mathbf{16}'_i}(y, m_i') = \sqrt{\frac{2m_i'}{1 - e^{-m_i'\pi R}}} e^{-m_i'(\pi R - y)}$$



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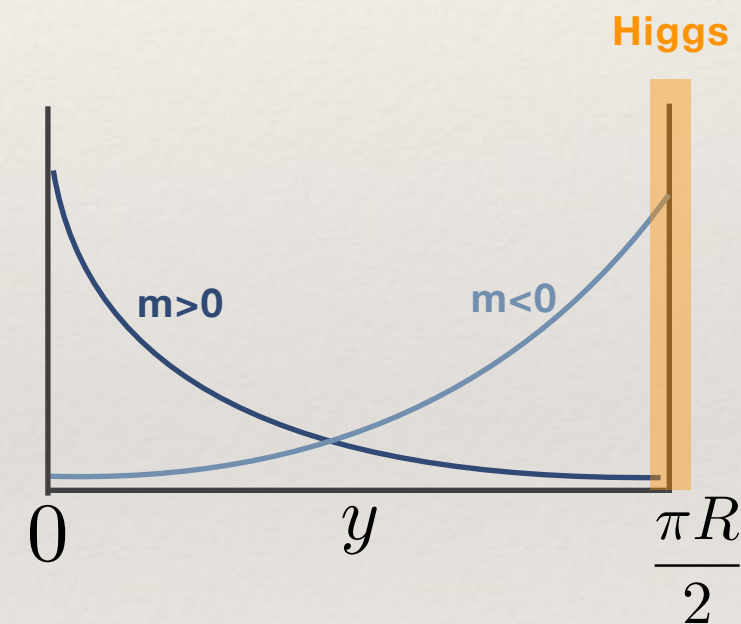
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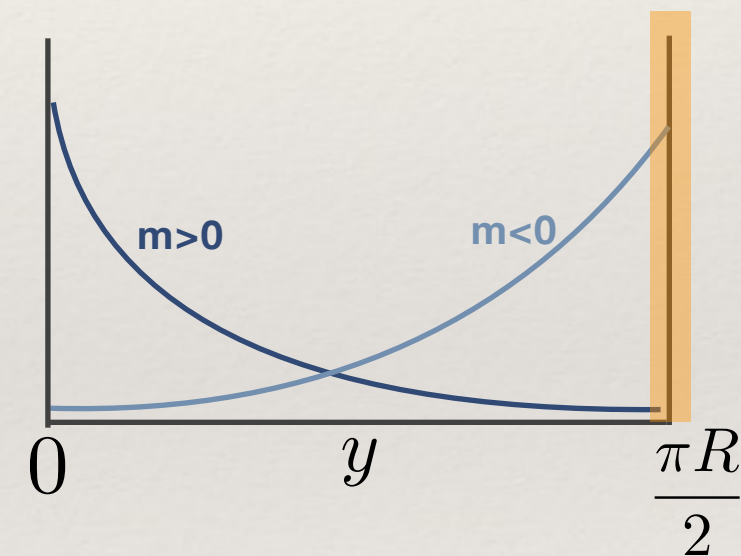
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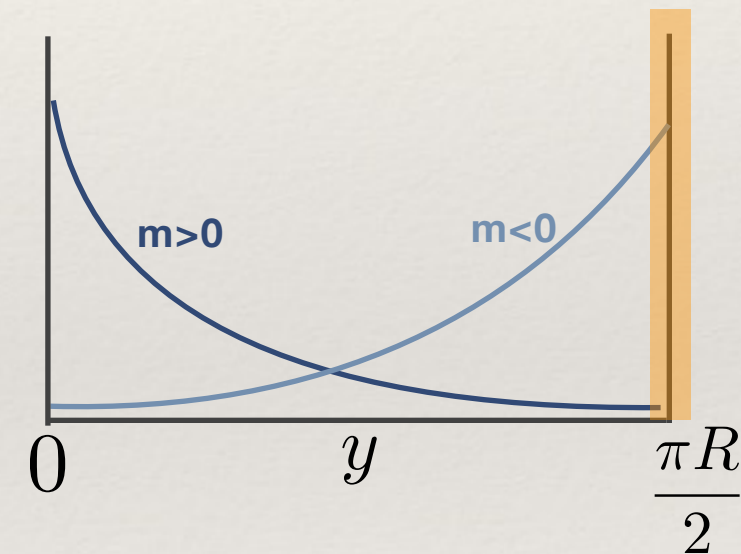
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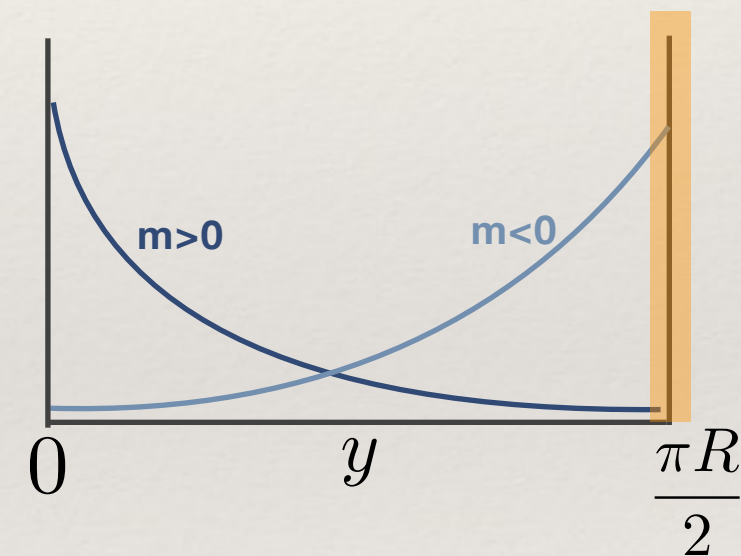
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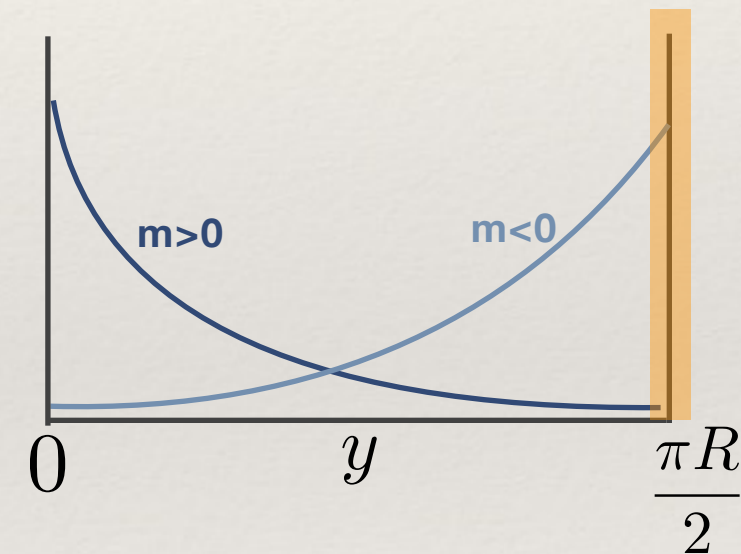
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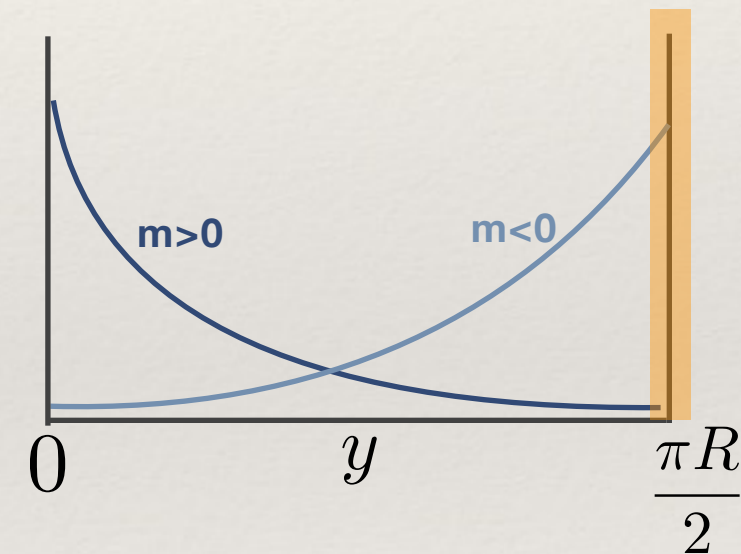
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# The model: quarks-leptons splitting

- **Splitting from spontaneous symmetry breaking:**

- in the bulk:  $SO(10) \xrightarrow{\langle 45_\Phi \rangle} SU(5) \times U(1)_X$  [Kitano, Li (2004)]

- decomposition under  $SU(5) \times U(1)_X$ :  $\mathbf{16} = 10_{-1} + \bar{\mathbf{5}}_3 + 1_{-5}$   
 $(Q, u^c, e^c) \quad (d^c, L) \quad (N^c)$

- bulk mass correction  $\propto U(1)_X$  charges:

$$m_i \longrightarrow m_i^r = m_i - \sqrt{2}g_5 Q_X^r \langle 45_\Phi \rangle \longrightarrow \text{flavour universal due to gauge interaction (SUSY constraint)}$$

$$f_{16} \longrightarrow \{f_{10}, f_{\bar{5}}, f_1\}$$

- the same is happening for  $m_i'$



# The model: fermions profiles splitting

- Combining  $SO(10) \xrightarrow{Z'_2} \text{PS}$  with  $SO(10) \xrightarrow{\langle 45_\Phi \rangle} SU(5) \times U(1)_X$  :

$$Q_X^{10} = -1$$

$$a_i^Q = m_i + \sqrt{2}g_5 \langle 45_\Phi \rangle ;$$

$$a_i^{u^c} = m'_i + \sqrt{2}g_5 \langle 45_\Phi \rangle ;$$

$$a_i^{e^c} = m'_i + \sqrt{2}g_5 \langle 45_\Phi \rangle ;$$

$$Q_X^{\bar{5}} = 3$$

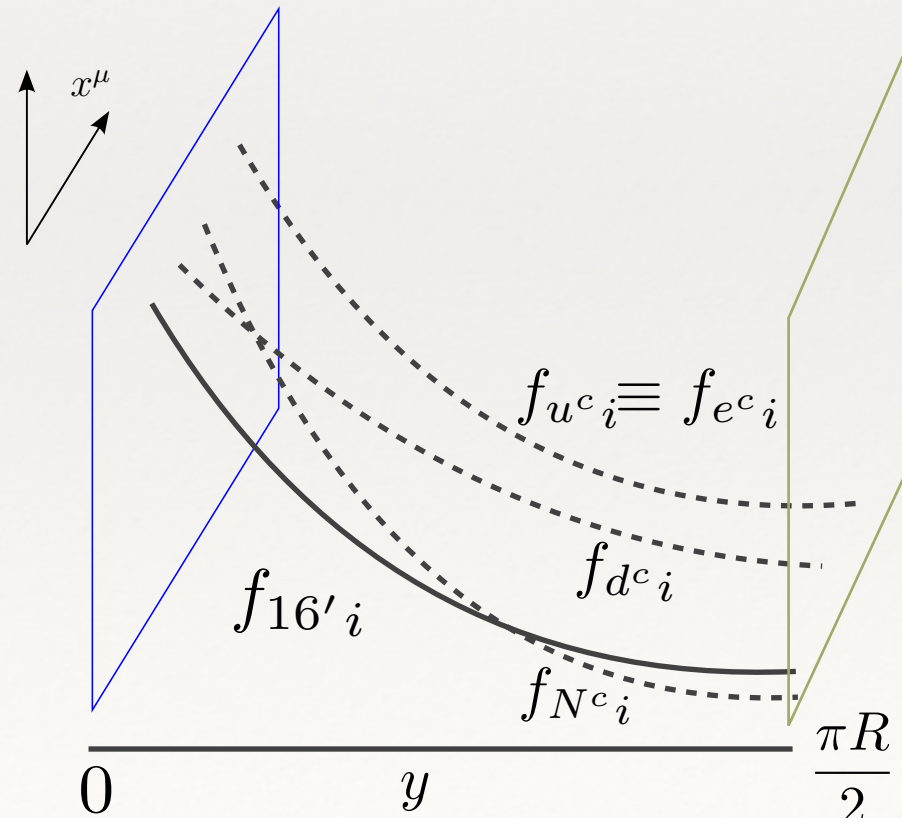
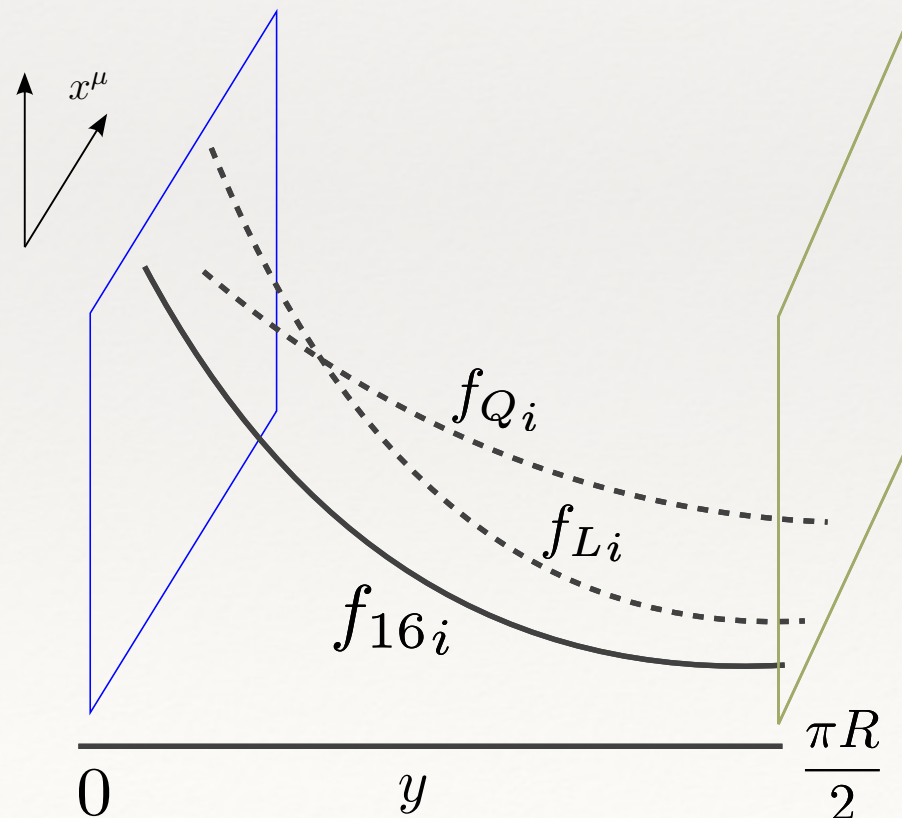
$$a_i^L = m_i - 3\sqrt{2}g_5 \langle 45_\Phi \rangle$$

$$a_i^{d^c} = m'_i - 3\sqrt{2}g_5 \langle 45_\Phi \rangle$$

$$a_i^{N^c} = m'_i + 5\sqrt{2}g_5 \langle 45_\Phi \rangle$$

$$Q_X^1 = -5$$

- Globally 3+3+1=7 parameters create 15 different profiles



# The Higgs sector on the brane

- **Yukawa couplings on the PS brane:**

- lower dimensional representations with respect to SO(10) brane: less number of 4D fields
- For the Higgs we can select only doublets: no DT splitting problem

$$H, H' \sim (1, 2, 2)$$

$$\mathcal{W}_{\text{brane}} = \delta\left(y - \frac{\pi R}{2}\right) \frac{1}{\Lambda} \left[ Y_{ij} \mathbf{16}_i \mathbf{16}'_j H + Y'_{ij} \mathbf{16}_i \mathbf{16}'_j H' + \frac{1}{2} Y_{ij}^R \mathbf{16}'_i \mathbf{16}'_j \frac{\overline{\Sigma} \Sigma}{\Lambda} + \dots \right] + \dots$$

- Majorana mass term:  $\Sigma, \overline{\Sigma} \sim (\overline{4}, 1, 2), (4, 1, 2)$

- **Superpotential on the branes:**

$$\dots + \delta\left(y - \frac{\pi R}{2}\right) w_{\pi}(H, H', \Sigma, \overline{\Sigma}, T) + \delta(y) w_0(\mathbf{16}_H, \overline{\mathbf{16}}_H)$$



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# Effective Yukawas

- Effective Yukawa couplings

$$F_r \equiv \begin{pmatrix} f_{r1}(\frac{\pi R}{2}) & 0 & 0 \\ 0 & f_{r2}(\frac{\pi R}{2}) & 0 \\ 0 & 0 & f_{r3}(\frac{\pi R}{2}) \end{pmatrix}$$

$$\mathcal{Y}_u = F_Q Y_u F_{u^c}$$

$$\mathcal{Y}_d = F_Q Y_d F_{d^c}$$

$$\mathcal{Y}_e = F_L Y_d F_{e^c}$$

$$\mathcal{Y}_\nu = F_L Y_u F_{N^c}$$

$$M_R \equiv \frac{\langle \bar{\Sigma} \rangle^2}{\Lambda} F_{N^c} Y_R F_{N^c}$$

$$M_\nu \equiv -\frac{\Lambda v^2 \sin^2 \beta}{\langle \bar{\Sigma} \rangle^2} F_L (Y_u Y_R^{-1} Y_u^T) F_L$$

- Parameters counting:

## Profiles

$$\mu_1, \mu_2, \mu_3, k_X \\ \mu'_1, \mu'_2, \mu'_3$$

7 free bulk mass parameters

+

## Higgs Mixing

$$\theta_u, \theta_d$$

2 free angles

+

## Yukawas

$$Y, Y', Y_R \\ 0.5 \leq |Y_{ij}| \leq 1.5$$

44 parameters constrained  $\approx \mathcal{O}(1)$



fitting **17 observables**  
(masses and mixing angles of quarks and leptons)

# Numerical fit

- **Agreement is not so trivial:** only large  $\tan\beta$  allowed  
(unification of the third generation)

**[ $\tan\beta=50$ ]**  
  
from global  
 $\chi^2$  minimization  
(including  
Yukawas)

Observable	Normal ordering		Inverted ordering	
	Fitted value	Pull	Fitted value	Pull
$y_t$	0.51	0	0.52	0.33
$y_b$	0.37	0	0.38	0.50
$y_\tau$	0.51	0	0.51	0
$m_u/m_c$	0.0027	0	0.0028	0.17
$m_d/m_s$	0.051	0	0.052	0.14
$m_e/m_\mu$	0.0048	0	0.0048	0
$m_c/m_t$	0.0023	0	0.0023	0
$m_s/m_b$	0.016	0	0.017	0.50
$m_\mu/m_\tau$	0.050	0	0.050	0
$ V_{us} $	0.227	0	0.227	0
$ V_{cb} $	0.037	0	0.037	0
$ V_{ub} $	0.0033	0	0.0030	-0.50
$J_{CP}$	0.000023	0	0.000023	0
$\Delta_S/\Delta_A$	0.0305	0	0.0305	0
$\sin^2 \theta_{12}$	0.304	0	0.304	0
$\sin^2 \theta_{23}$	0.452	0	0.442	-0.20
$\sin^2 \theta_{13}$	0.0218	0	0.0218	-0.10
$\chi^2_{\min}$		$\approx 0$		$\approx 0.96$



# Naturalness test

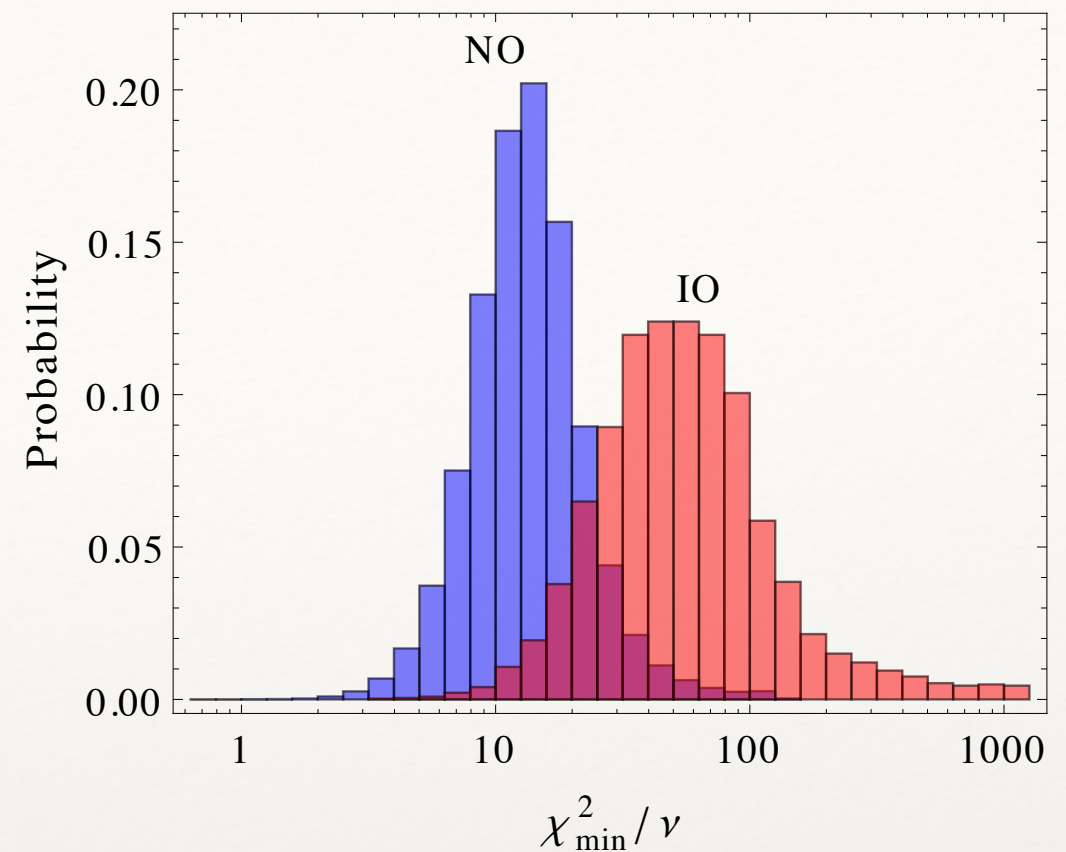
## Random $\mathcal{O}(1)$ Yukawas

- Uniform variation of the parameters:

$$|Y_{ij}| \in [0.5, 1.5]$$

$$\arg(Y_{ij}) \in [0, 2\pi]$$

- Fitting 17 observables  
with 9 free parameters (8 d.o.f)



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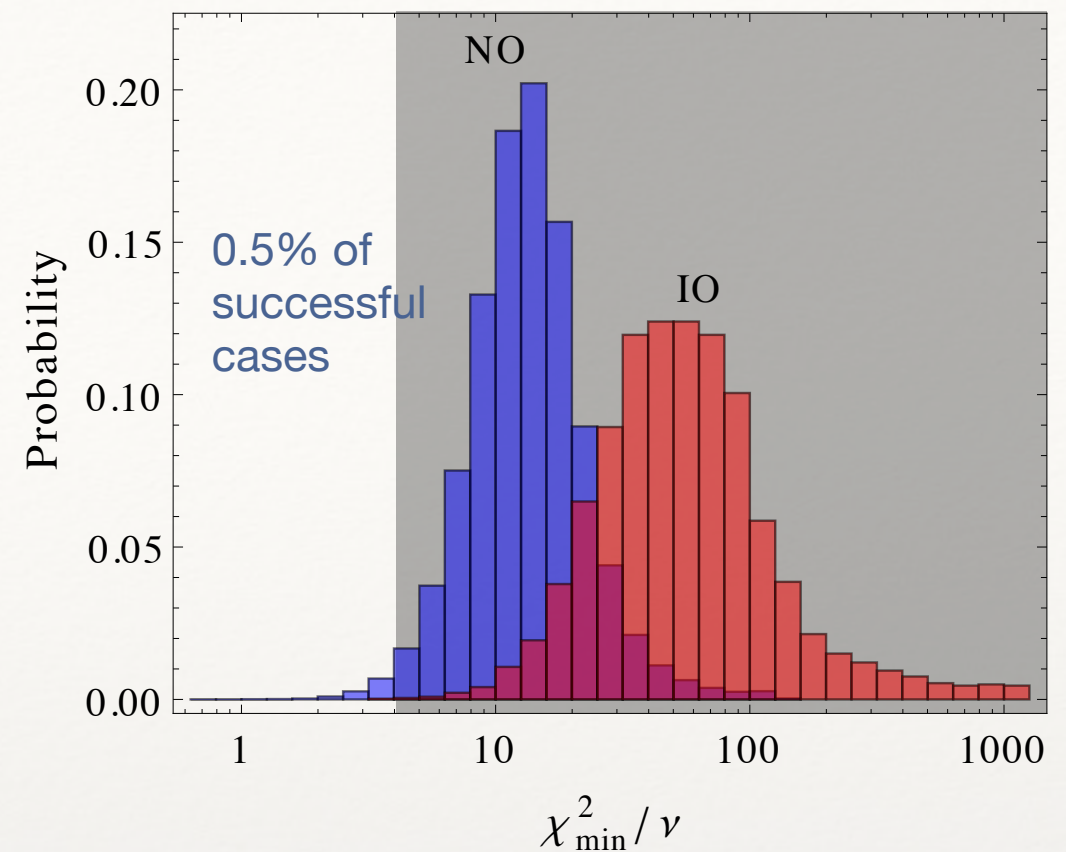
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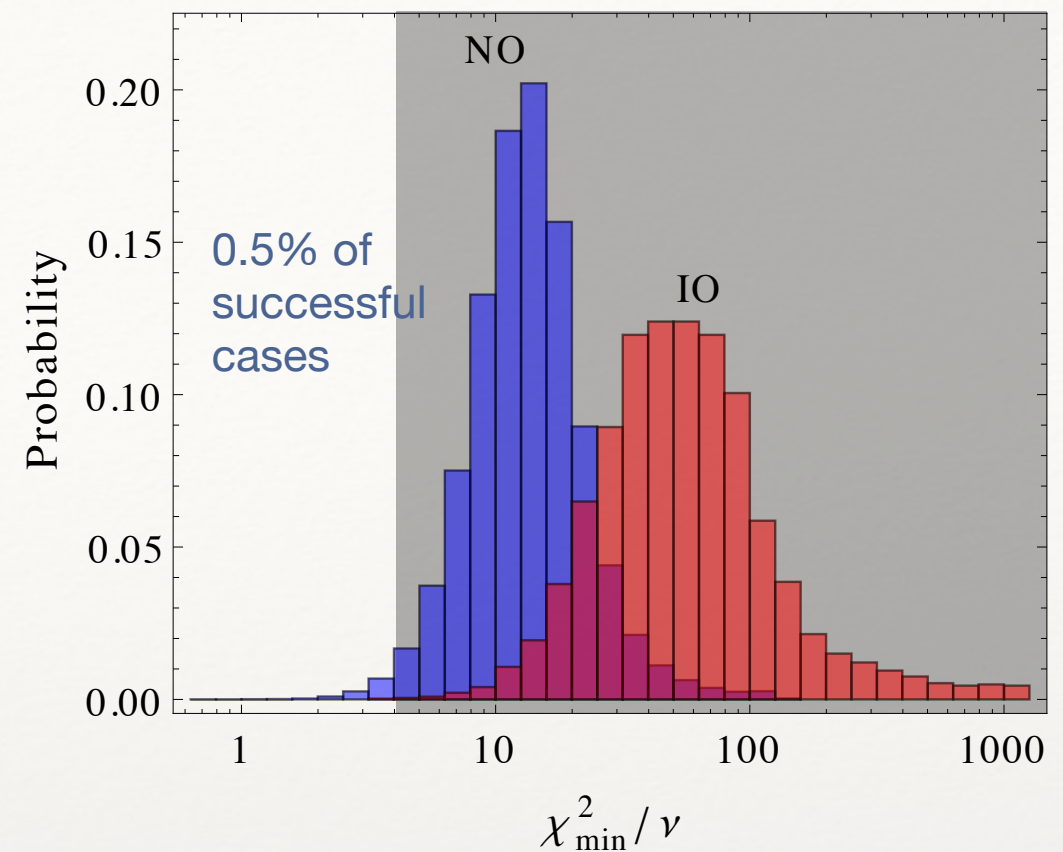
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- If the Higgs sector was on SO(10) brane...**

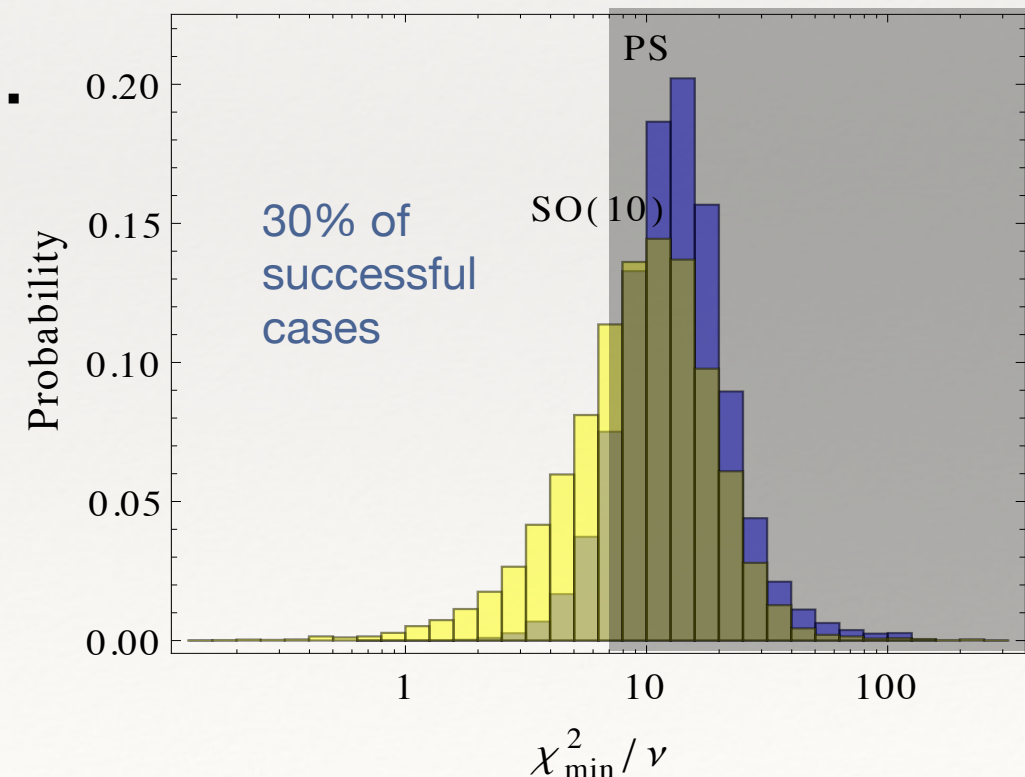
- Minimal Higgs content:

$$\underset{\text{light}}{10_H, 120_H} \quad \overline{\underset{\text{heavy}}{126}_H}$$

- 8 Higgs mixing parameters

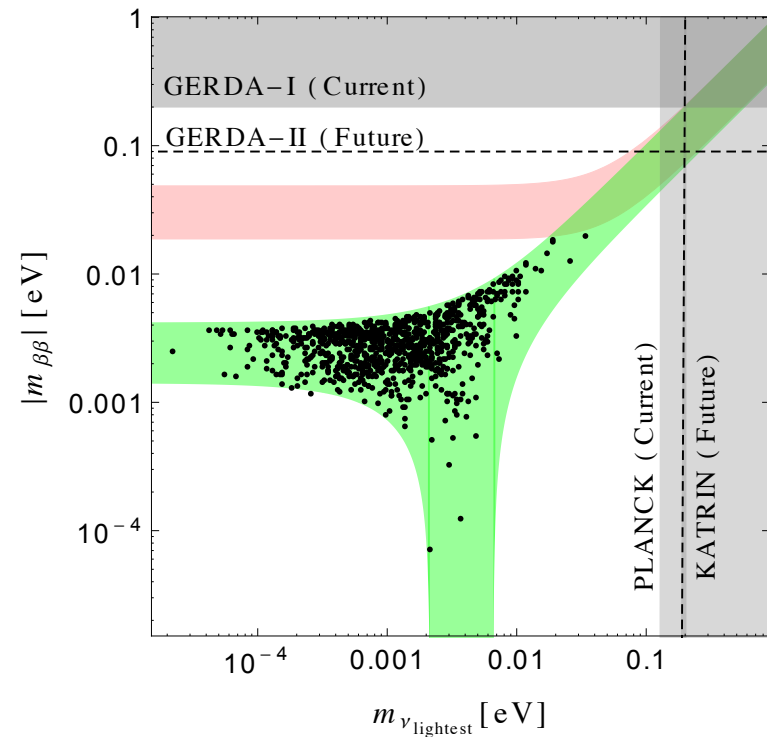
[Feruglio, Patel, DV (2014)]

- Fitting 17 observables with 15 free parameters (2 d.o.f)



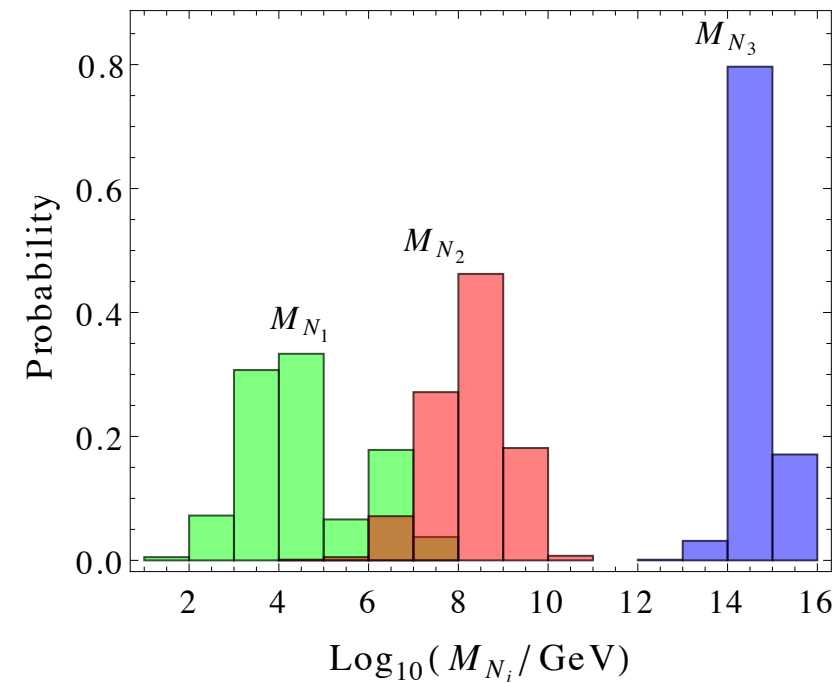
# Predictions for NO [ $\tan\beta=50$ ]

- Effective Majorana neutrino mass and lightest neutrino mass:

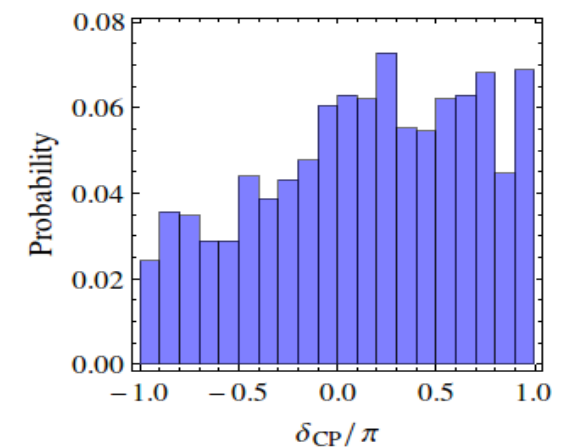


- Predictions result **quite stable** with respect to the **Higgs dynamics on the branes**, they depend almost entirely on the mechanism of lepton-quarks distinction.

- RH neutrinos mass spectrum: very hierarchical



- $\delta_{CP}$  and Majorana phases:  
no preferred value





# Conclusions

- $O(1)$  *Anarchical* Yukawa matrices for both quarks and leptons can be nicely reconciled with the observed fermion masses and mixing angles in the framework of extra-dimension, where the hierarchies are created by different localisation of the fermions;
- This scenario can be combined with the unification of one fermion generation implied by the  $SO(10)$  GUT, exploiting a dynamical mechanism for splitting the profiles of quarks and leptons.
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**Thank you!**



## The whole action in abelian theory

$$\begin{aligned} S_5 = & \int d^5x \left[ \frac{1}{g^2} \int d^4\theta \left( \partial_5 V - \frac{1}{\sqrt{2}} (\Phi + \bar{\Phi}) \right)^2 \right. \\ & + \frac{1}{4g^2} \int (d^2\theta \, W^\alpha W_\alpha + \text{h.c.}) \\ & + \int d^4\theta \, (\bar{H} e^{2qQV} H + \bar{H}_c e^{-2gQV} H_c) \\ & \left. + \left( \int d^2\theta \, H_c (m + \partial_5 - \sqrt{2}gQ\Phi) H + \text{h.c.} \right) \right] \end{aligned}$$

## Bulk fields content:

	$Z_2$	$Z'_2$		
	5D N=1	4D N=1	4D N=1 in PS	$(P, P')$
Gauge fields	<b>SO(10) Adjoint</b> $45_V$ Vector multiplet	$45_V$ Vector multiplet	$(15, 1, 1) + (1, 3, 1) + (1, 1, 3)$	$(+, +)$
			$(6, 2, 2)$ <b>PS Adjoint</b>	$(+, -)$
			$(15, 1, 1) + (1, 3, 1) + (1, 1, 3)$	$(-, -)$
			$(6, 2, 2)$	$(-, +)$
Matter fields	$16_{\mathcal{H}}$ Hypermultiplet	$16$ Chiral multiplet	$(4, 2, 1)$	$(+, +)$
			$(\bar{4}, 1, 2)$	$(+, -)$
			$(4, 1, 2)$	$(-, +)$
			$(\bar{4}, 2, 1)$	$(-, -)$
	$16'_{\mathcal{H}}$ Hypermultiplet	$16'$ Chiral multiplet	$(4, 2, 1)$	$(+, -)$
			$(\bar{4}, 1, 2)$	$(+, +)$
			$(4, 1, 2)$	$(-, -)$
			$(\bar{4}, 2, 1)$	$(-, +)$

Imposed

Consequence of invariance



## Higgs mass splitting and mixing angles

$$w_\pi = \frac{M_H}{2} H^2 + \frac{M_{H'}}{2} H'^2 + m H H' + \lambda T H H' + T(\lambda_H H^2 + \lambda_{H'} H'^2) + \dots$$

$$(H_u \ H'_u) \mathcal{M} \begin{pmatrix} H_d \\ H'_d \end{pmatrix}, \quad \text{with } \mathcal{M} = \begin{pmatrix} M_H & m - \lambda \langle T \rangle \\ m + \lambda \langle T \rangle & M_{H'} \end{pmatrix}.$$

$$h_{u,d} = \cos \theta_{u,d} H_{u,d} + \sin \theta_{u,d} H'_{u,d}$$

$$\theta_{u,d} = \frac{1}{2} \tan^{-1} \left( \frac{2M_{H'}(m \mp \lambda \langle T \rangle)}{M_{H'}^2 - (m \mp \lambda \langle T \rangle)^2} \right)$$

- Profiles parameters distributions (NO)

