

# Cosmological constraints on the Seesaw Scale

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## Nu@Fermilab

Fermilab, Chicago (USA)  
21-25 July, 2015

# Motivation

Which is the simplest extension of the SM that can account for neutrino masses?

# Seesaw Model

- As simple as just adding singlet fermions (sterile neutrinos) to the SM field content.
- If lepton number conservation is not imposed, the *most general Lagrangian* is given by

$$\mathcal{L} = \mathcal{L}_{\text{SM}} + \mathcal{L}_{\text{kin}} - \frac{1}{2} \overline{\nu_{si}} M_{ij} \nu_{sj}^c - (Y)_{i\alpha} \overline{\nu_{si}} \tilde{\phi}^\dagger L_\alpha + \text{h.c.}$$

Minkowski 77; Gell-Mann, Ramond, Slansky 79; Yanagida 79; Mohapatra, Senjanovic 80.

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New Physics Scale ( $m_\nu \sim Y^2 v^2 / M$ )

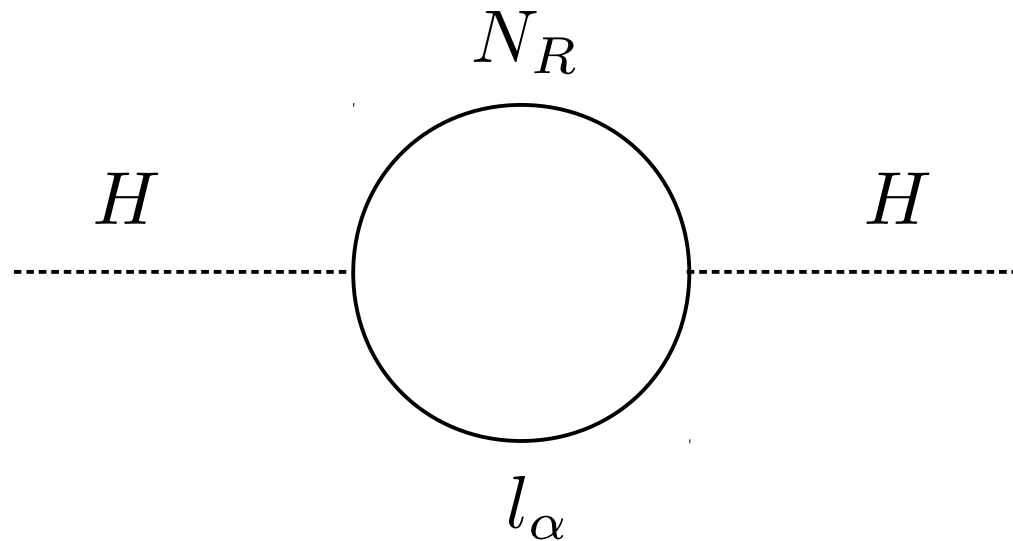
Minkowski 77; Gell-Mann, Ramond, Slansky 79; Yanagida 79; Mohapatra, Senjanovic 80.

# A New Physics scale

- Low scale models require small Yukawa couplings. With the exception of TeV scale models as the inverse seesaw.

Mohapatra, Valle 1986

- Contrary to the high scale models, a low Majorana scale does not worsen the Higgs mass hierarchy problem.

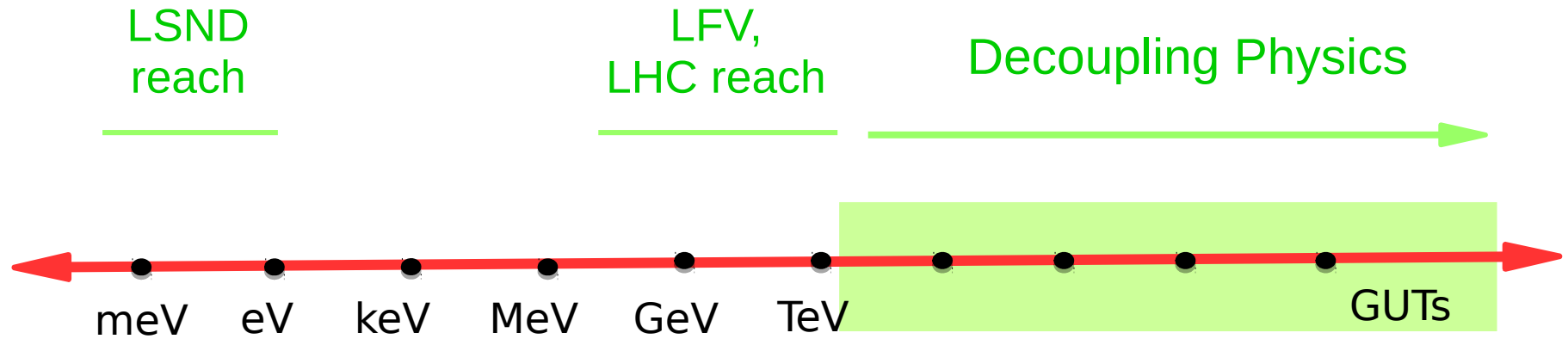


$$[\delta M_H^2]_{N_R} \propto M^2$$

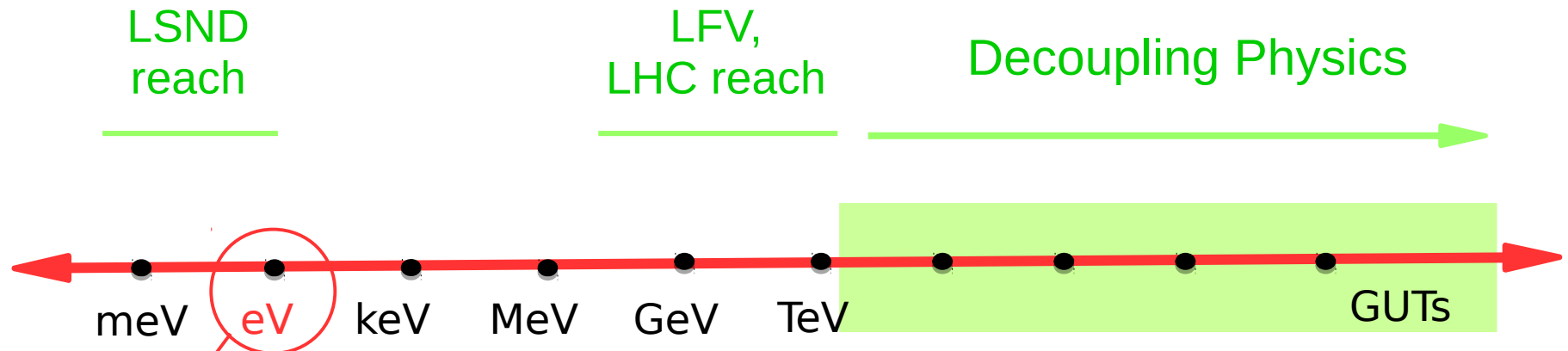
Vissani 1998

Casas, Espinosa, Hidalgo 2004

# The New Physics Scale is Unbounded



# The New Physics Scale is Unbounded



Explanation to **neutrino anomalies** pointed out mainly by **LSND** and **reactor experiments**.

de Gouvea 2005

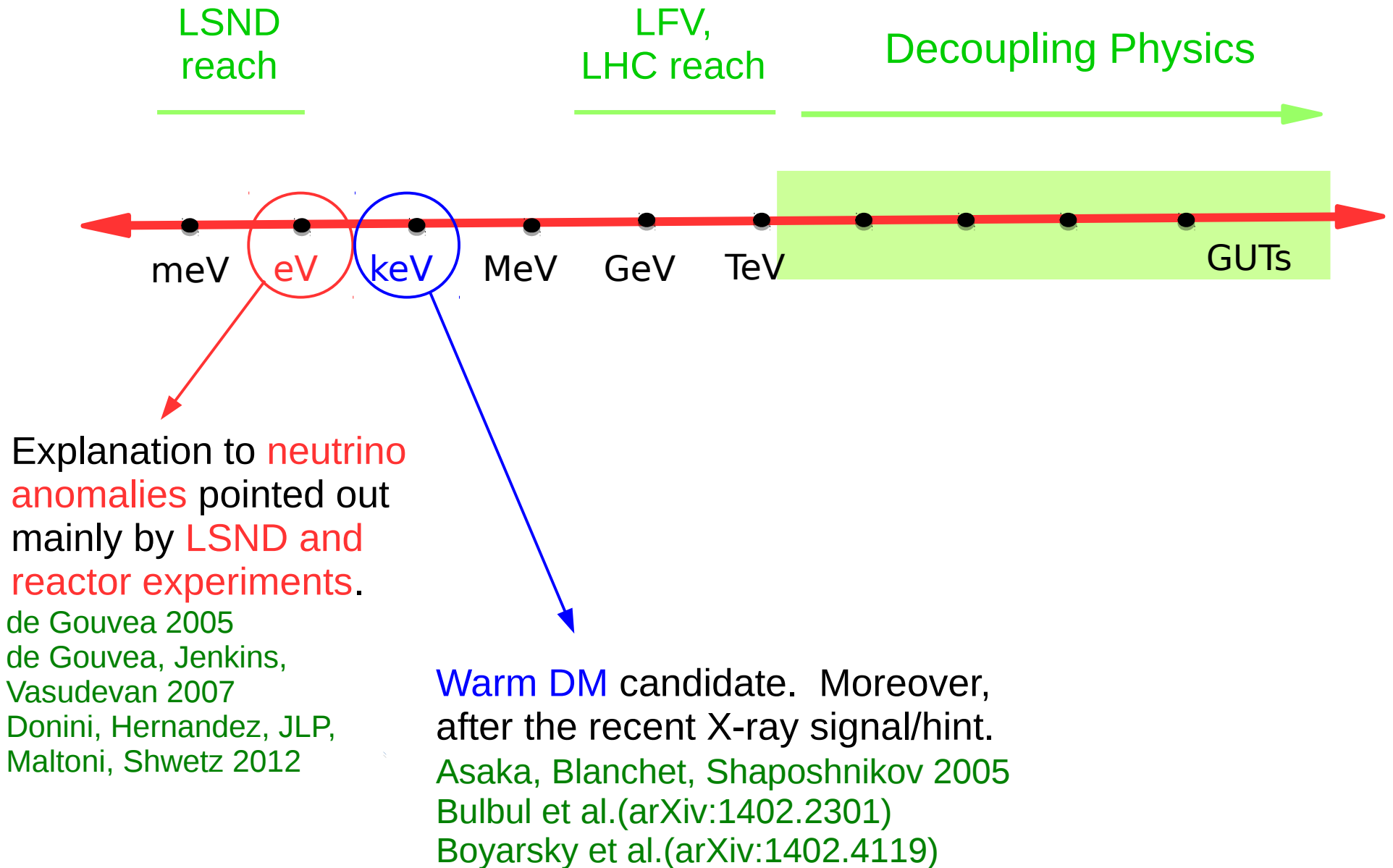
de Gouvea, Jenkins,

Vasudevan 2007

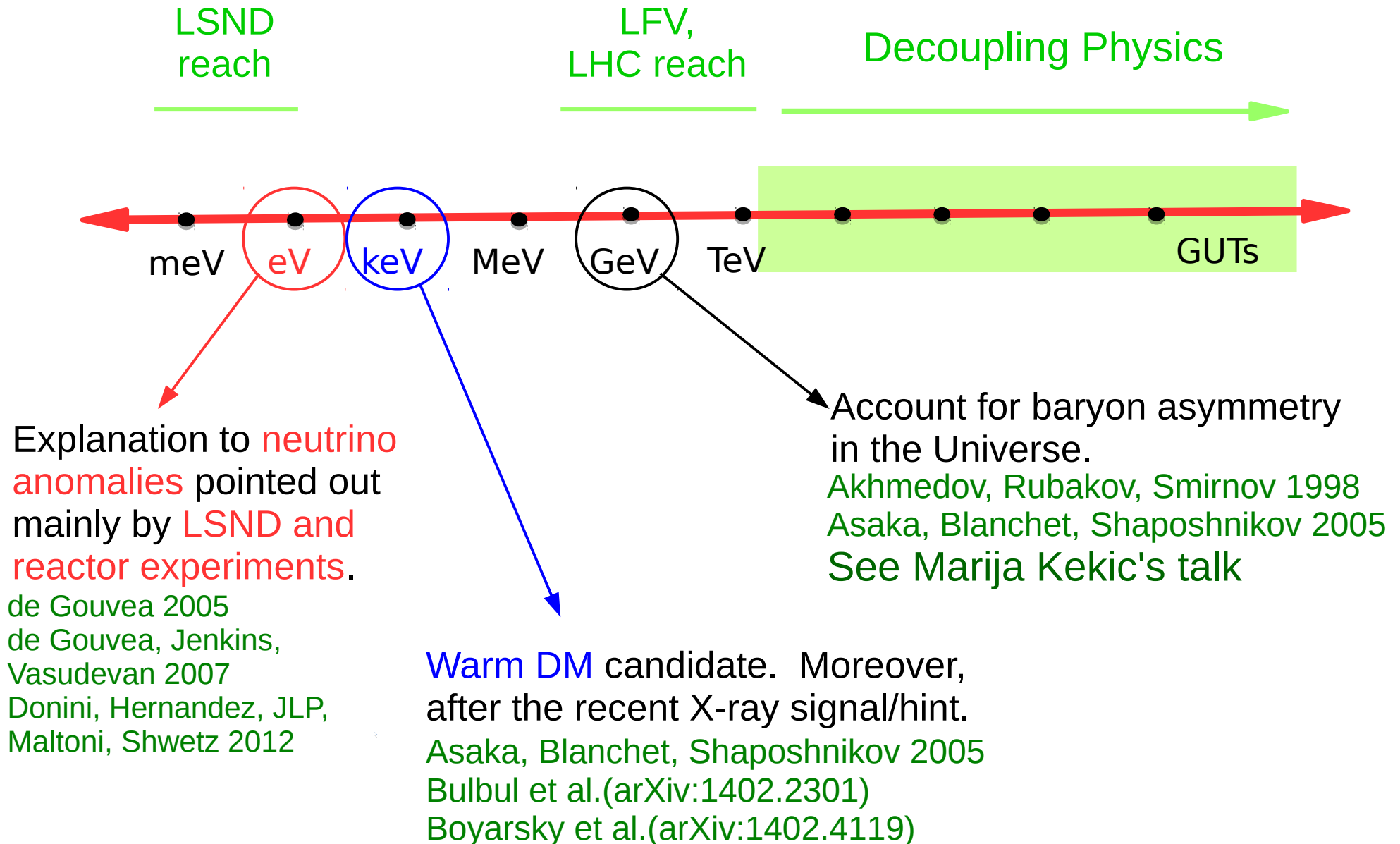
Donini, Hernandez, JLP,

Maltoni, Shwetz 2012

# The New Physics Scale is Unbounded



# The New Physics Scale is Unbounded



# A different point of view...

- **We start from the lowest level of complexity.** Minimum number of extra fermionic degrees of freedom (fermion singlets)  $n_R$

$n_R = 1$       Excluded by neutrino oscillation data.

Donini, Hernandez, JLP, Maltoni 2011

$n_R = 2$       In agreement with neutrino oscillation data.

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Donini, Hernandez, JLP, Maltoni 2011

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Minimal  
Model

We do not assume any hierarchy for the new parameters of the model.

Can we obtain general bounds on the Majorana scale without assuming a priori anything about the parameters of the model?

# 3+2 Minimal Seesaw Model vs Cosmology

P. Hernandez, M. Kekic, JLP 2013  
ArXiv:1311.2614  
(PRD89 (2014) 073009)

# Extra radiation, $N_{\text{eff}}$

The energy density of the extra sterile neutrino species is usually quantified in terms of

$$N_{\text{eff}} = \frac{\rho_s + \rho_\nu}{\rho_{1\nu}^0}$$

$$N_{\text{eff}}^{BBN} = 3.5 \pm 0.2[1\sigma] \quad (N_{\text{eff}}^{BBN} < 4 [2.2\sigma])$$

Cooke et al; [arXiv:1308.3240](https://arxiv.org/abs/1308.3240)

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The diagram illustrates the equation  $N_{\text{eff}} = \frac{\rho_s + \rho_\nu}{\rho_{1\nu}^0}$ . A blue arrow points from the  $\rho_s$  term to the text "Sterile neutrino contribution". A red arrow points from the  $\rho_\nu$  term to the text "Active neutrino contribution".

$$N_{\text{eff}} = \frac{\rho_s + \rho_\nu}{\rho_{1\nu}^0}$$

Sterile neutrino contribution

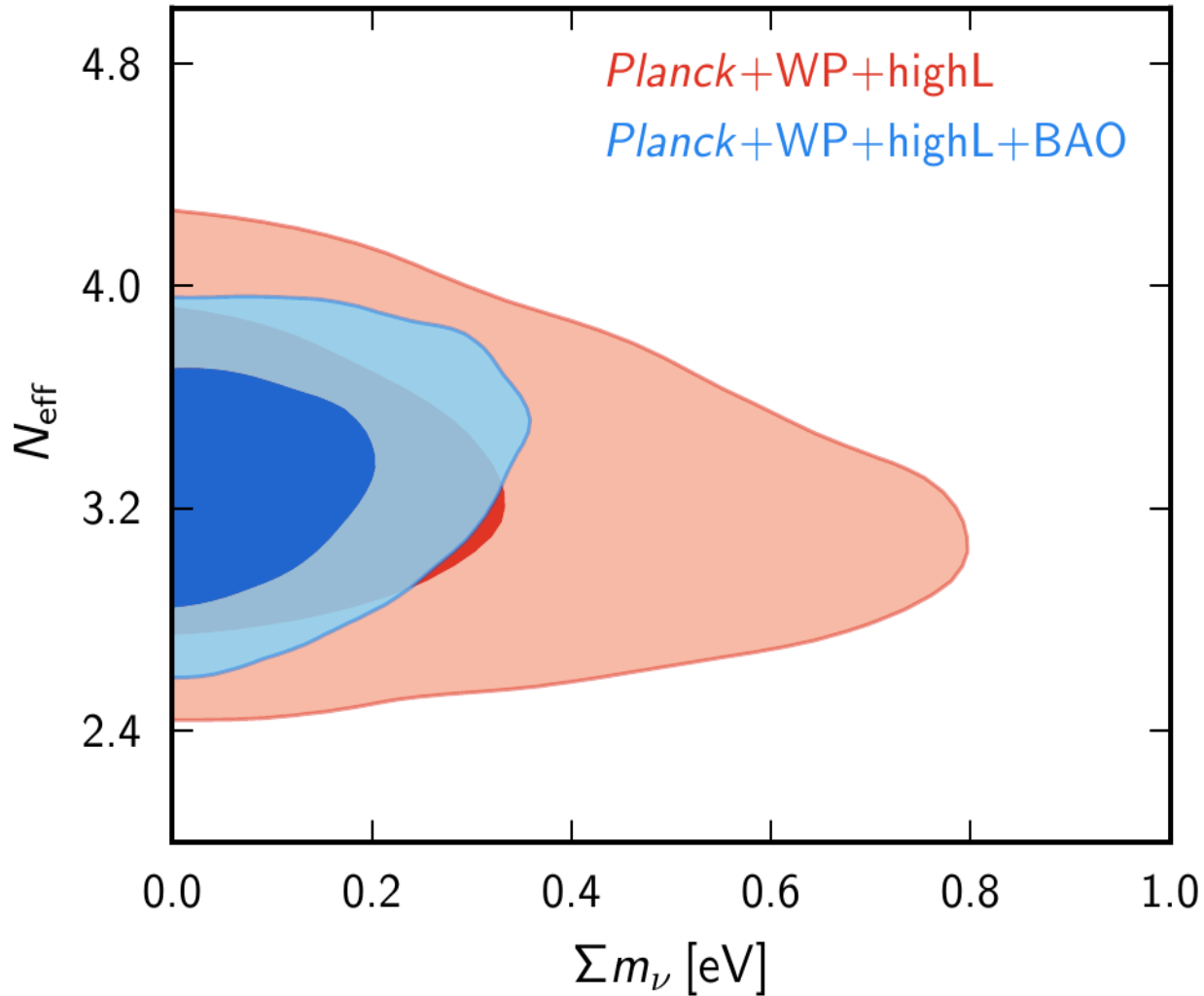
Active neutrino contribution

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# Extra radiation, $N_{\text{eff}}$

CMB



Planck Collaboration 2013 (arXiv:1303.076)  
See talk by Silvia Galli

# Extra radiation, $N_{\text{eff}}$

- The 3 active neutrinos contribute with  $N_{\text{eff}}^{\text{SM}} \approx 3$
- One fully thermal extra sterile state that decouples being relativistic contributes with  $\Delta N_{\text{eff}} \approx 1$  when freezes out.
- Can the sterile neutrinos escape from thermalization in the 3+2 Minimal Seesaw Models?

# Sterile Neutrino Thermalization

- Sterile neutrino thermalization is controlled by:

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Barbieri, Dolgov 1990; Kainulainen 1990;

$$\Gamma_{s_j}(T) \approx \frac{1}{2} \sum_{\alpha} \langle P(\nu_{\alpha} \rightarrow \nu_{s_j}) \rangle \times \Gamma_{\nu_{\alpha}}$$

Sterile neutrino collision  
rate

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Sterile neutrino collision  
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$$H(T) = \sqrt{\frac{4\pi^3 g_*(T)}{45}} \frac{T^2}{M_{Planck}}$$

Hubble expansion rate

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Hubble expansion rate

- The sterile neutrinos thermalize if  $f_s(T) \geq 1$

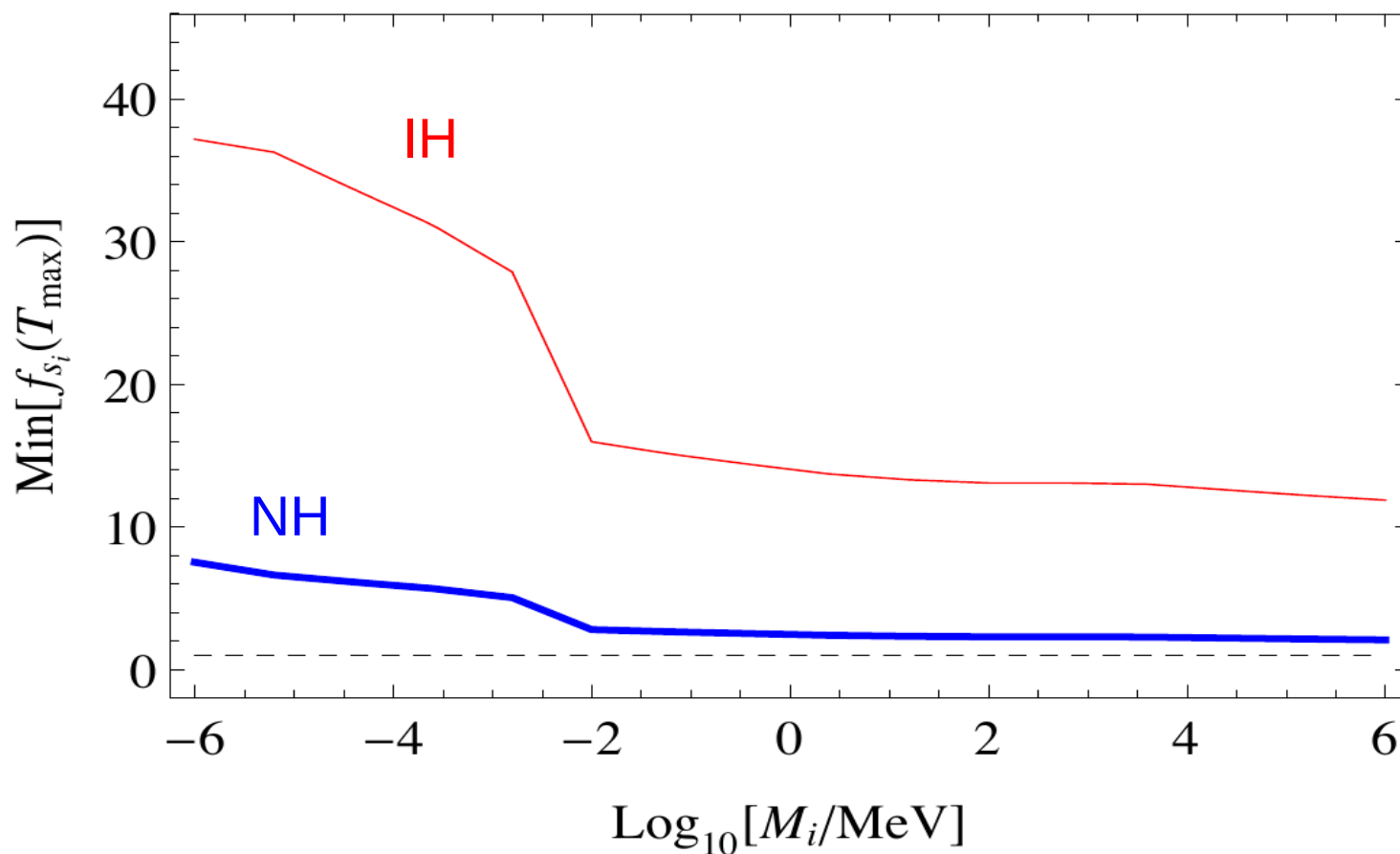
# Sterile Neutrino Thermalization

- $f_s(T)$  reaches a maximum at some temperature  $T_{max}$  and if the maximum is larger than one, thermalization will be achieved. At decoupling we can estimate:

$$N_{eff} \approx N_{eff}^{SM} + \sum_j (1 - \exp(-\alpha f_{s_j}(T_{max})))$$


$$\Delta N_{eff}$$

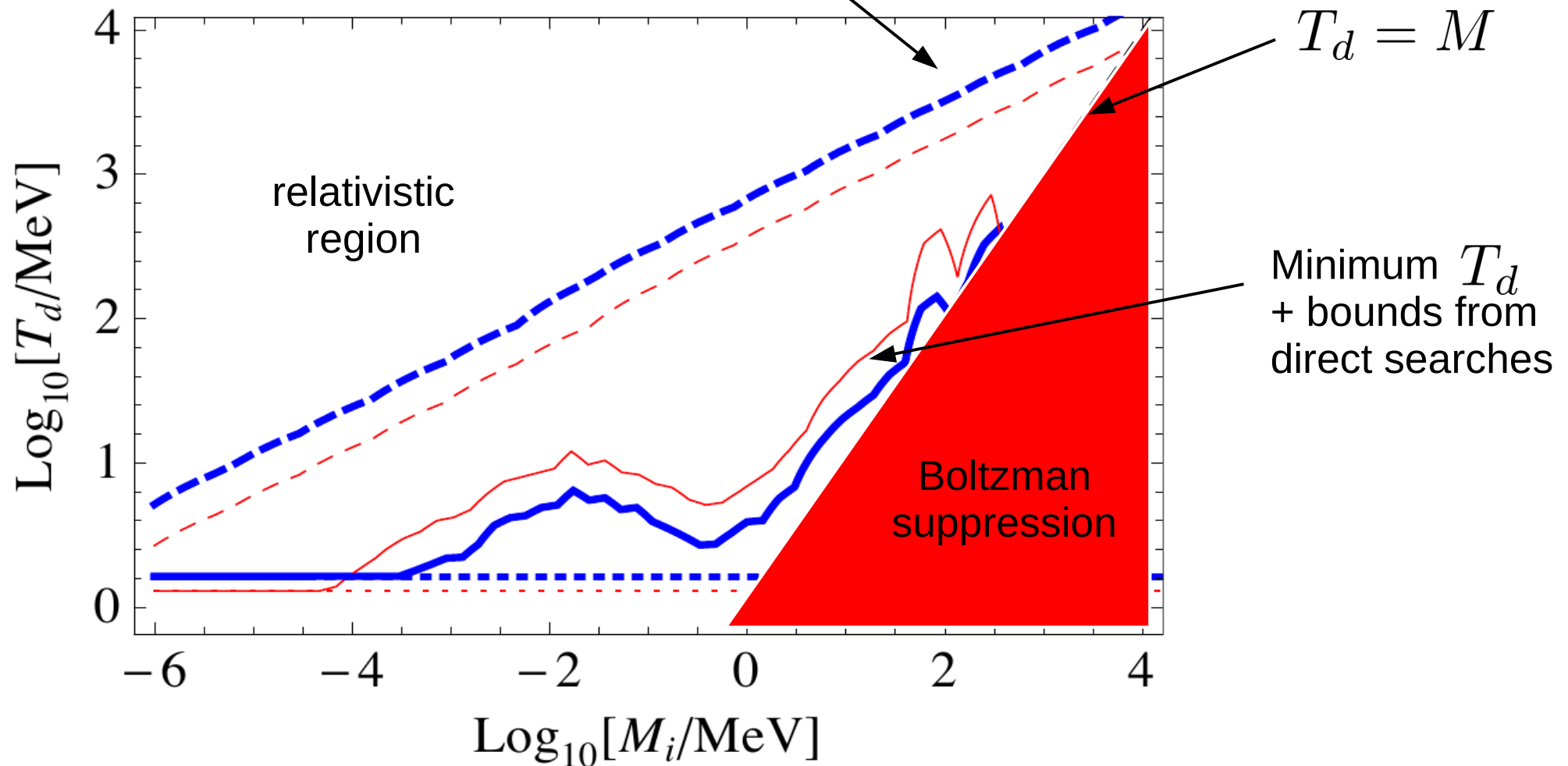
# Sterile Neutrino Thermalization



- Thermalization rate basically independent of the seesaw scale.
- In the 3+2 type-I seesaw model, for the whole parameter space, the sterile neutrinos always thermalize at some point of the thermal history.

# sterile Neutrino Decoupling

For parameters of the model  
that minimize  $f_s(T_{max})$



# Sterile Neutrino Decoupling

- Above  $\sim 100 MeV$  there is Boltzman suppression. The bounds do not apply for

$$M \gtrsim 100 MeV$$

- Moreover, after sterile neutrino decoupling two effects could modify  $\Delta N_{eff}$ , before BBN:

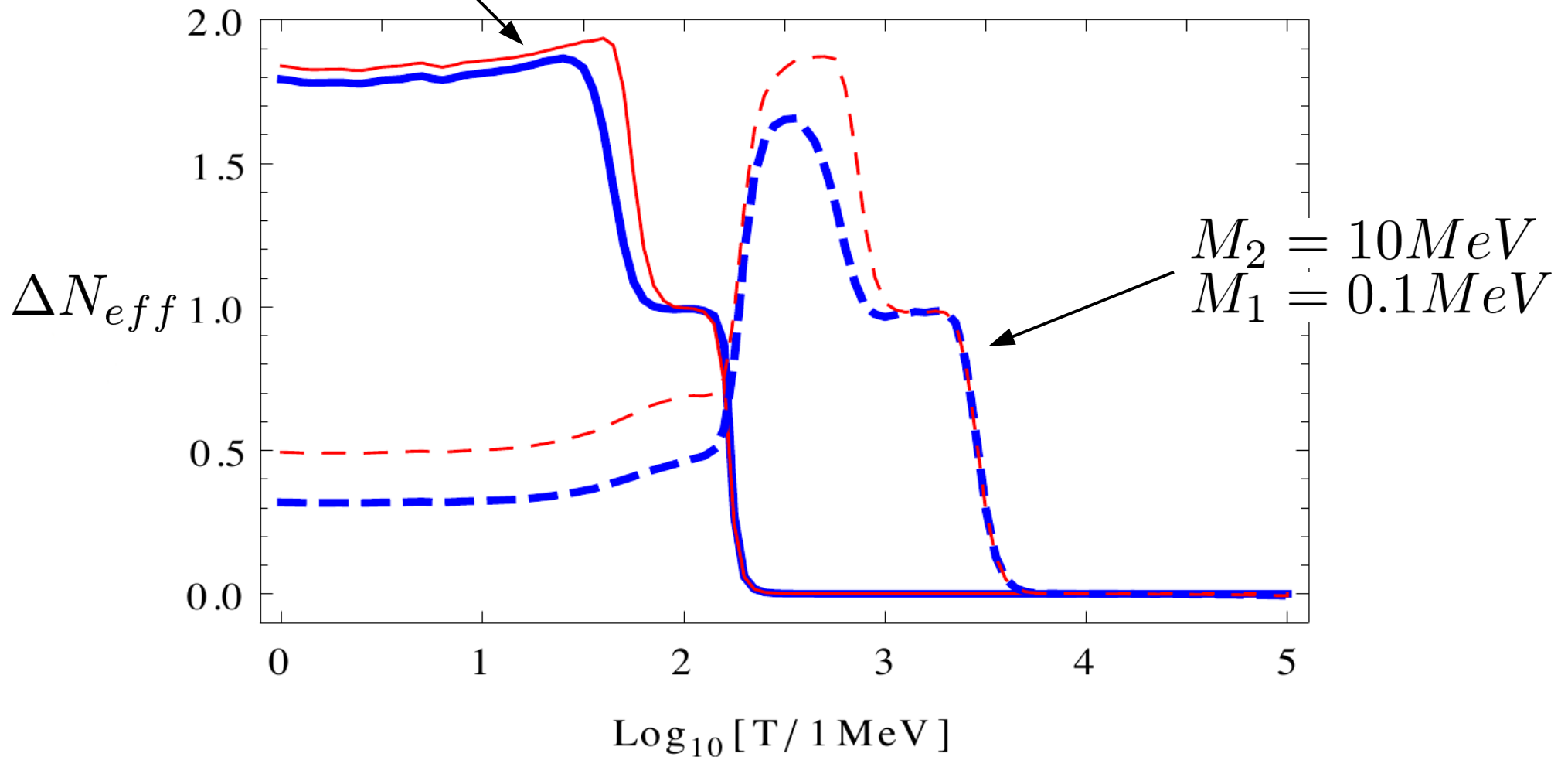
(i) Dilution

(ii) Decay

# Entropy dilution

$$M_2 = 1\text{KeV}$$
$$M_1 = 20\text{eV}$$

Dilution could be relevant  
for  $M \gtrsim 10\text{KeV}$



# Entropy dilution

- Dilution effects allow to relax the bounds for the range of masses

$$10\text{KeV} \lesssim M \lesssim 100\text{MeV}$$

- However, those sterile neutrinos would give a huge contribution to the energy density when they become non-relativistic later, modifying in a drastic way CMB and structure formation.
- The only way CMB and BBN bounds can be evaded for this range of masses is if the sterile neutrinos decay before BBN.

# sterile neutrino decay

- Bounds on short-lived sterile neutrinos with masses on the range  $[10MeV, 140MeV]$  have been studied by

Dolgov, Hansen, Raffelt, Semikoz 2000  
Fuller, Kishimoto, Kusenko, 2011  
Ruchayskiy, Ivashko, 2012

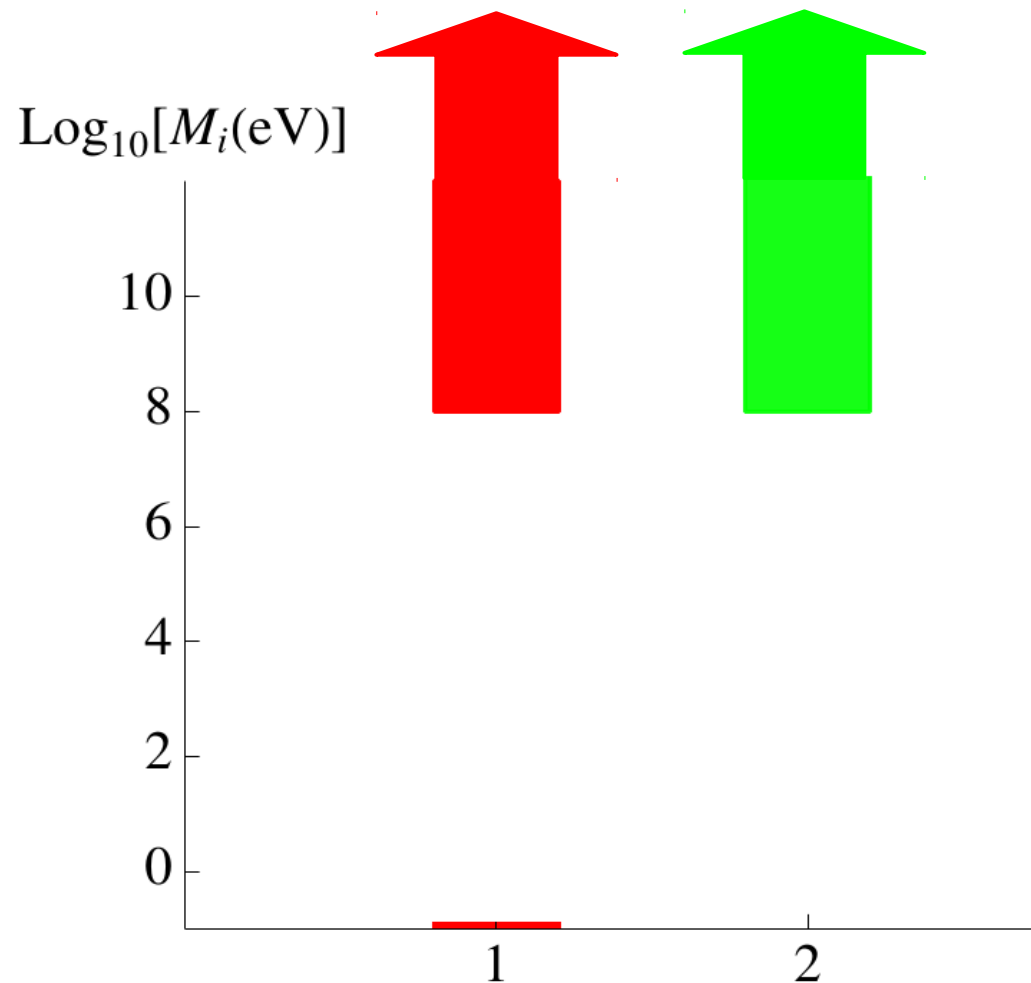
- Very **strong bounds** found combining BBN and direct accelerator searches, **excluding the sterile neutrino decay before BBN in the minimal model for  $M \lesssim \mathcal{O}(100MeV)$**

Ruchayskiy, Ivashko, 2012

Vincent , Fernandez-Martinez, Hernandez, Lattanzi, Mena 2014

# Summary 3+2 vs cosmology

- In summary, cosmology allow us to **exclude** a huge part of the parameter space and the seesaw scale **(8 orders of magnitude!)** of the 3+2 MM.



Allowed sterile neutrino spectra

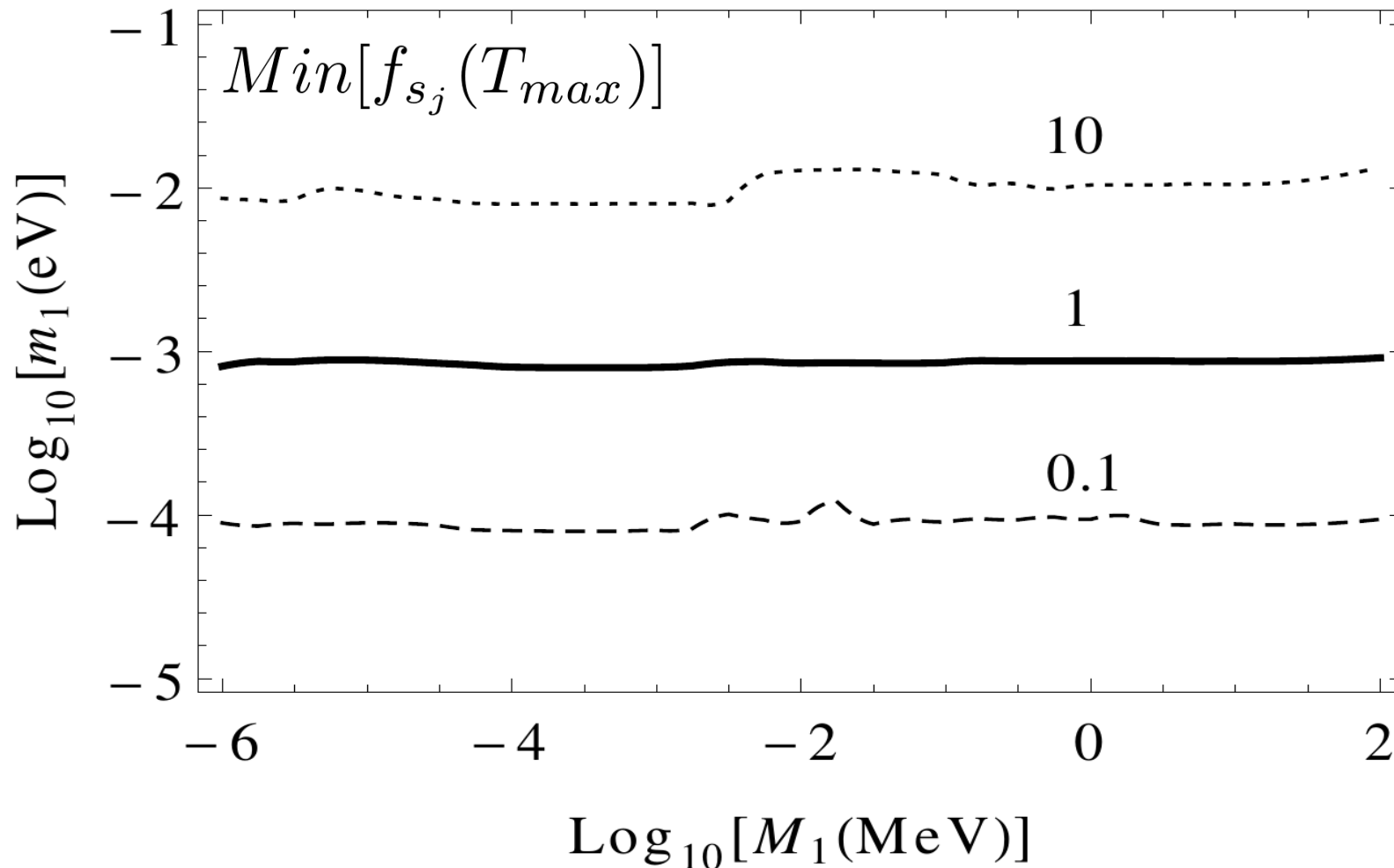
# 3+3 Minimal Seesaw Model vs Cosmology

P. Hernandez, M. Kekic, JLP 2014  
ArXiv:1406.2961  
(PRD 90 (2014) 065033)

# 3+3 Minimal Seesaw Model

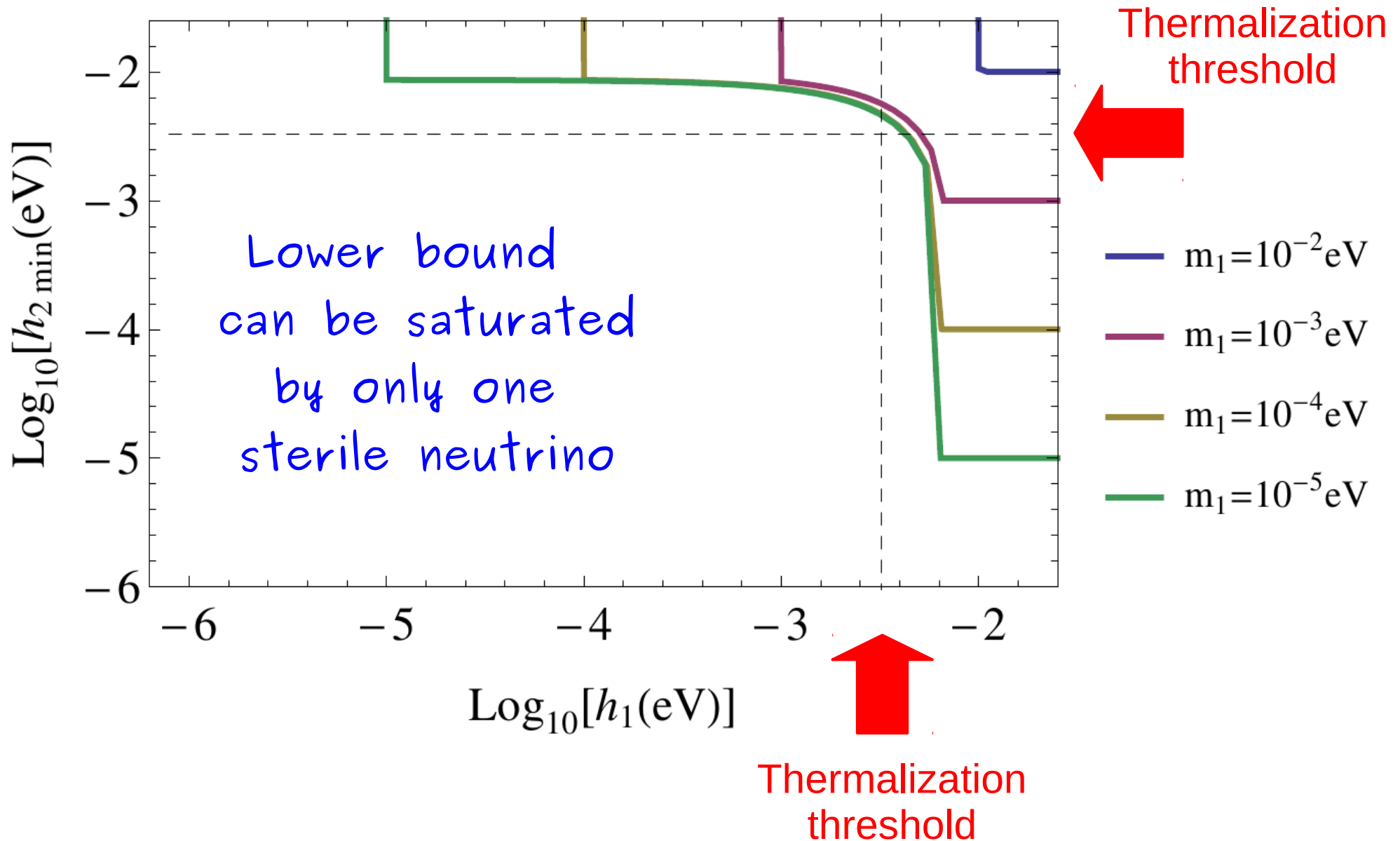
- Larger parameter space: 3 light masses + 3 heavy masses + 6 angles + 6 CP-phases.
- We have explored the whole parameter space allowed by neutrino oscillation data.
- In spite of the larger parameter space, **only one sterile neutrino can escape from thermalization. The thermalization being basically controlled by the lightest ACTIVE neutrino mass.**

# 3+3 Minimal Seesaw Model



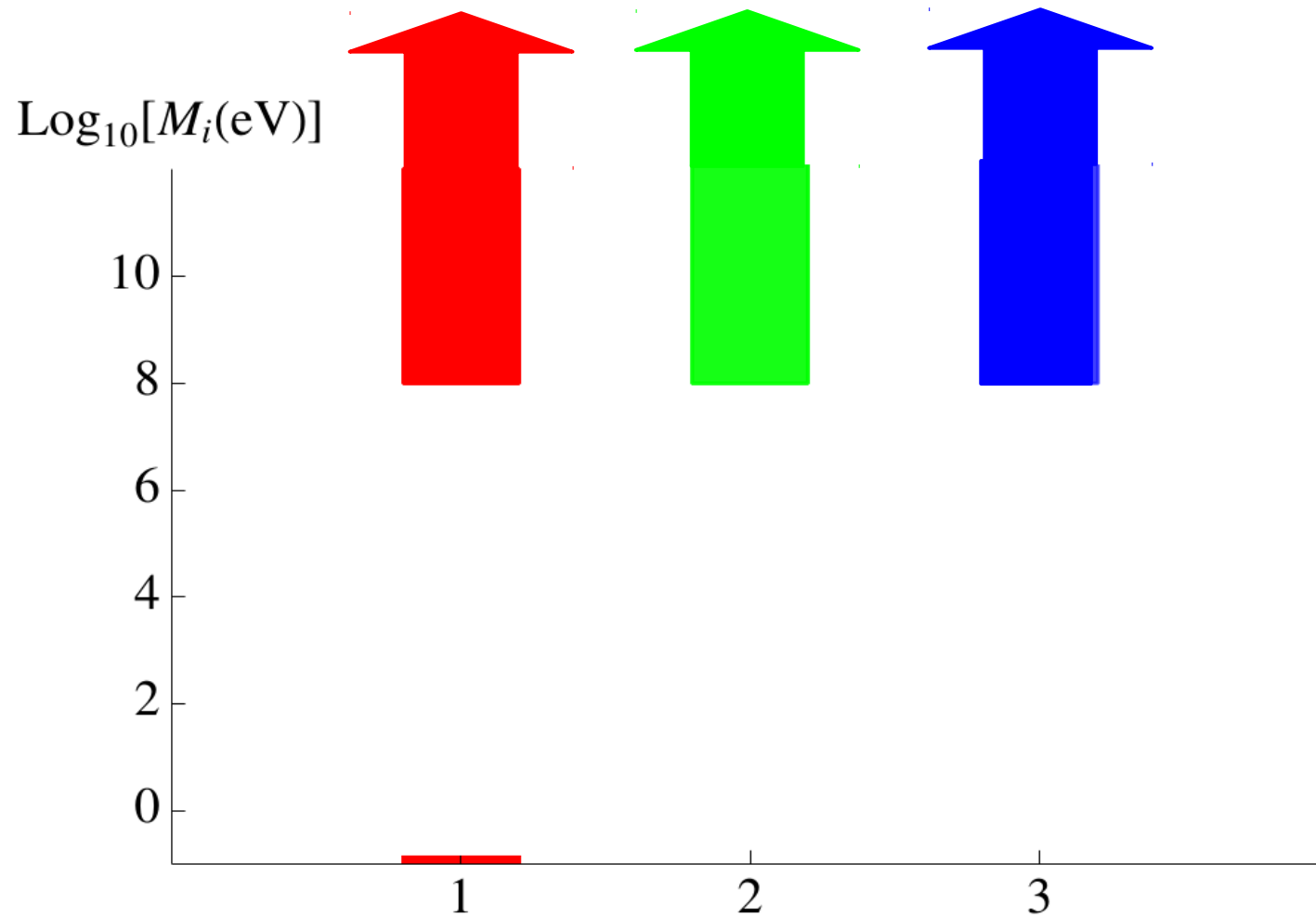
If  $m_1 \geq \mathcal{O}(10^{-3} \text{eV})$  the 3 sterile neutrinos thermalize

# Analytical lower bound



# Possible scenarios

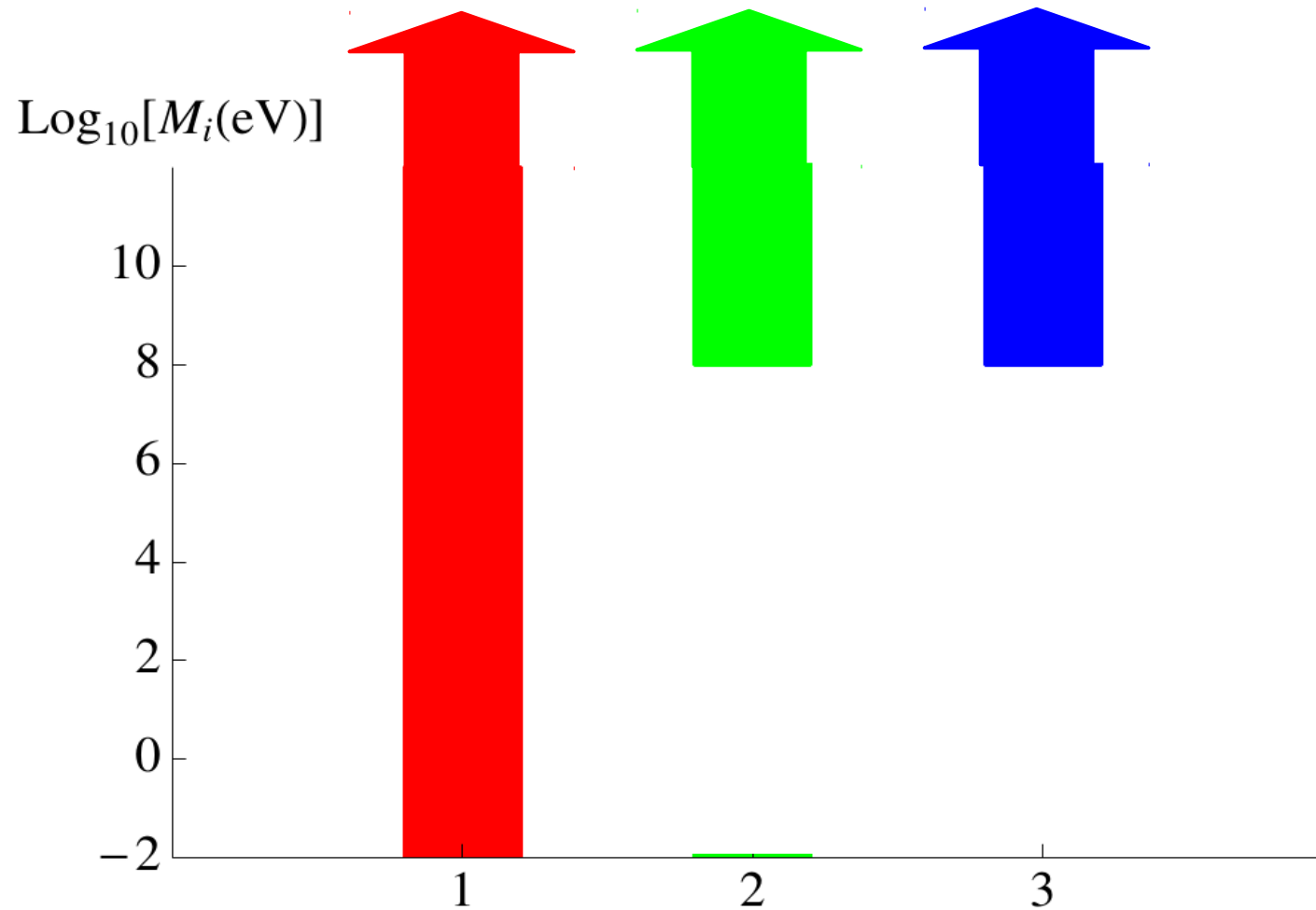
- $m_1 \geq \mathcal{O}(10^{-3} \text{eV})$ : the three sterile neutrinos thermalize.



Allowed sterile neutrino spectra

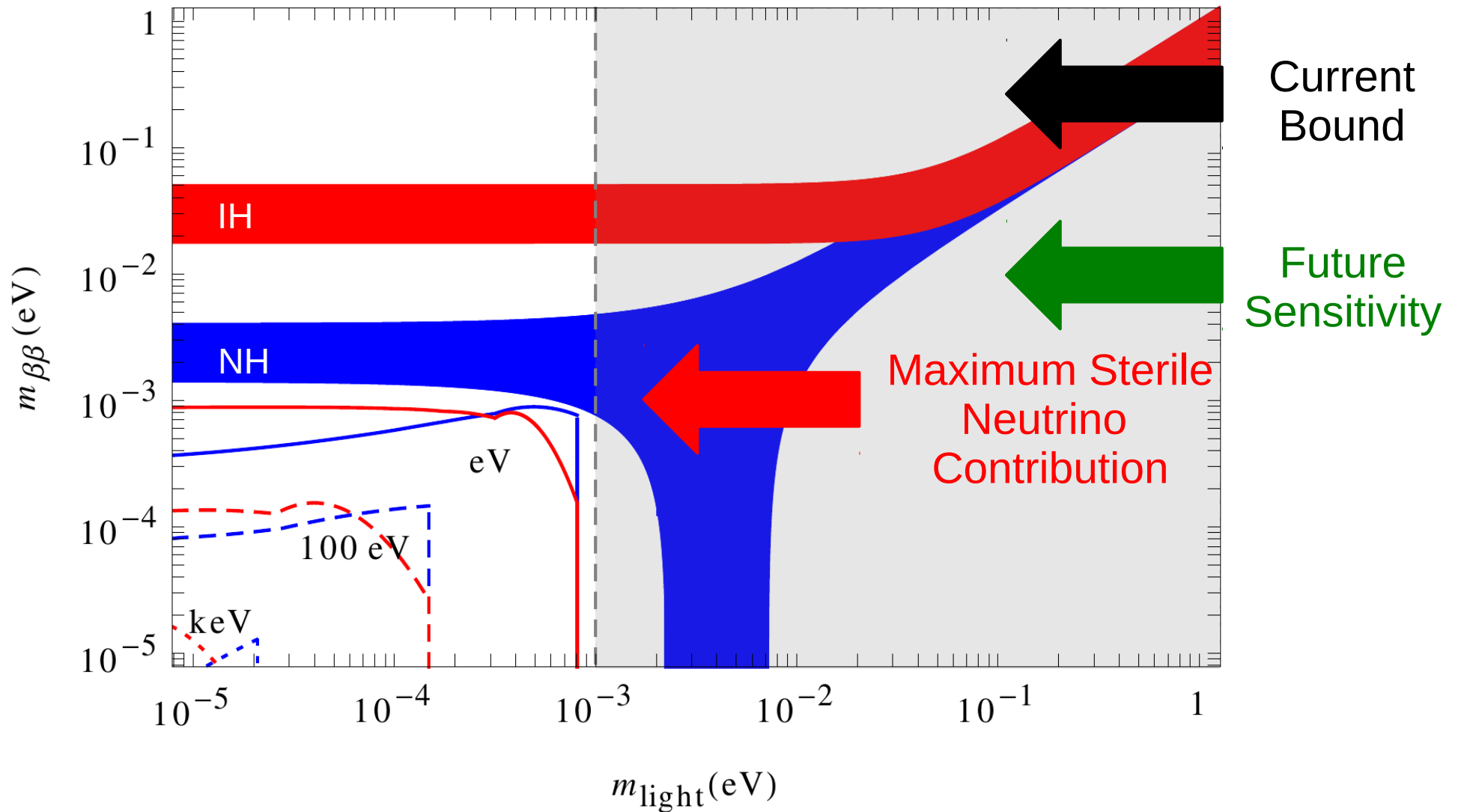
# Possible scenarios

- $m_1 \leq \mathcal{O}(10^{-3} \text{eV})$ : one sterile neutrino does not thermalize. The other two contribute as in the 3+2 model.



Allowed sterile neutrino spectra

# Impact on neutrinoless double beta decay



Is there still room for  
having a significant direct impact  
from Right Handed Neutrinos  
on  $0\nu\beta\beta$  decay?

JLP, S. Pascoli and Chan-Fai Wang  
**arXiv:1209.5342** (PRD **87** (2013) 9, 093007)

JLP, E. Molinaro and S. Petcov  
**arXiv:1506.05296**

# Neutrinoless Double Beta Decay

## Good News:

- Indeed possible for  $100 \text{ MeV} < M < 1 \text{ TeV}$

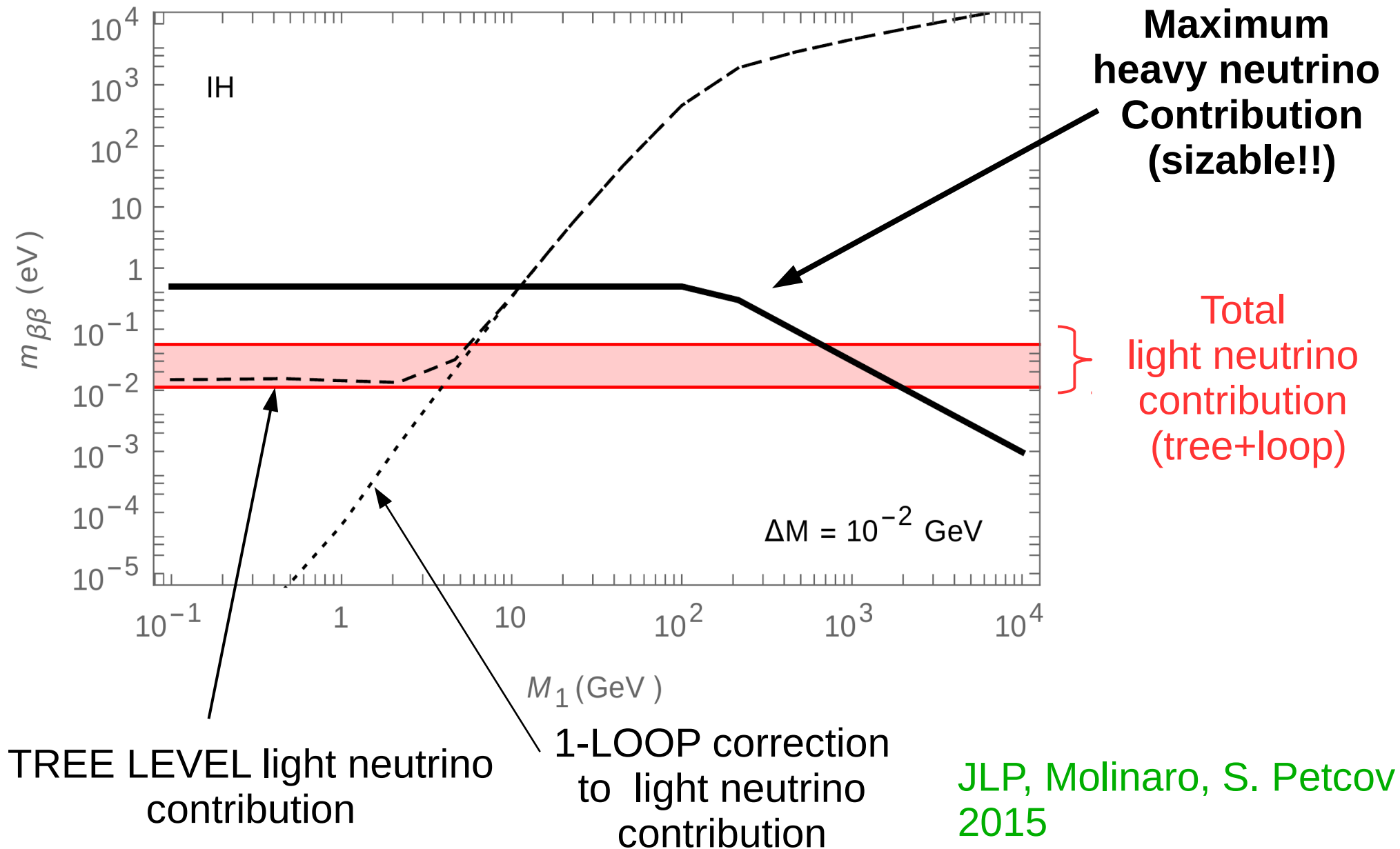
Ibarra, Molinaro, Petcov 2010  
Mitra, Senjanovic, Vissani 2011

## Drawback:

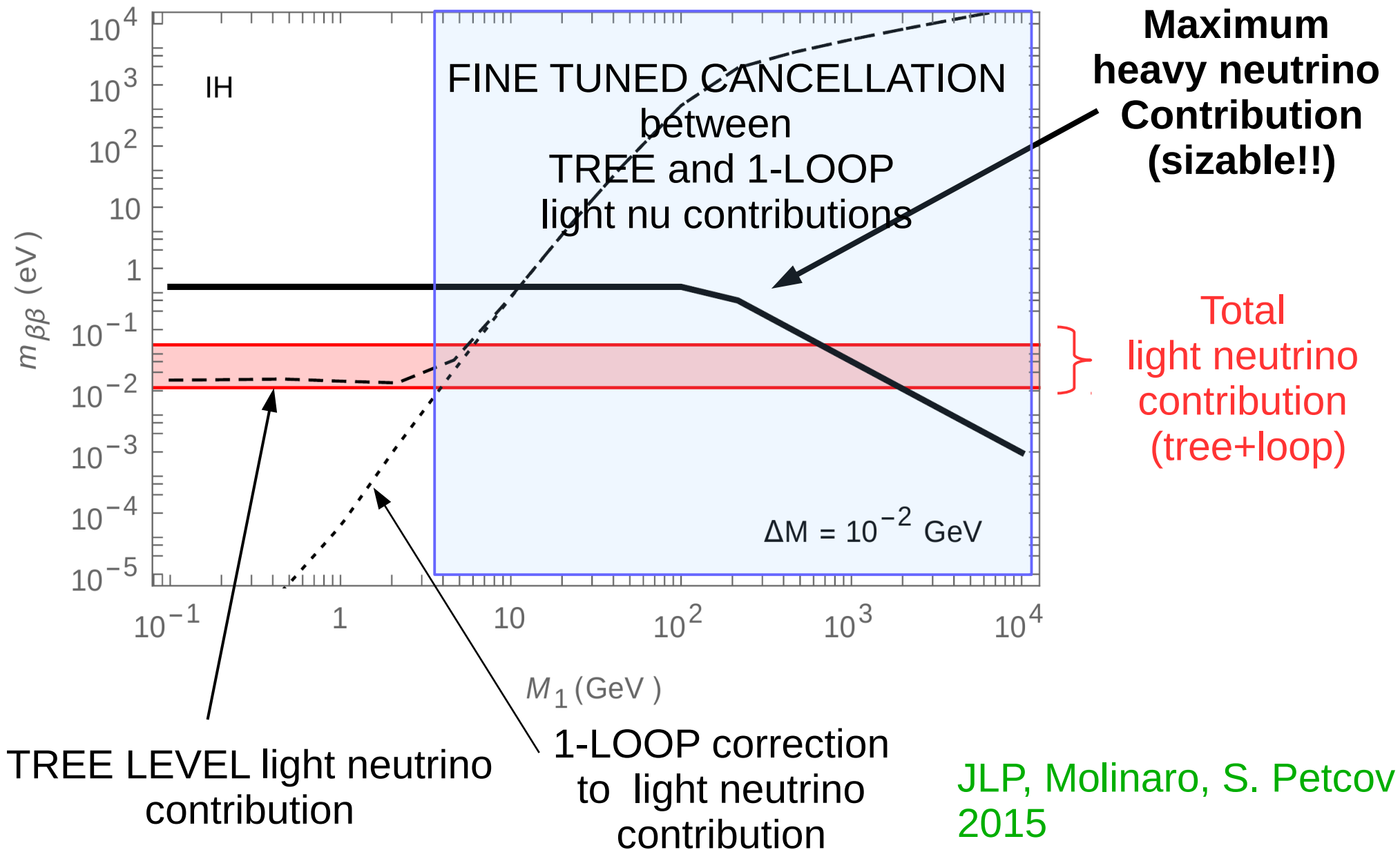
- For  $M \gtrsim 1 \text{ GeV}$  one-loop corrections to the light neutrino masses becomes very large.
- Fine tuned cancellation between the tree level and 1-loop correction required.

JLP, Pascoli, Wang 2013  
JLP, Molinaro, Petcov 2015

# Neutrinoless Double Beta Decay



# Neutrinoless Double Beta Decay



# Conclusions

- We have studied in detail the simplest low scale models that can accommodate light neutrino masses: just adding singlet fermions (sterile neutrinos) to the SM.
- In these models the new physics scale introduced to account for neutrino masses is the Majorana mass of the sterile neutrinos. The scale is in general unconstrained.
- The minimal model requires 2 sterile neutrinos and is strongly constrained by cosmology, 8 orders of magnitude of the seesaw scale are excluded, since the sterile neutrinos can not escape from thermalization.
- Low scale 3+3 minimal seesaw models are also very constrained by cosmology. Only one sterile neutrino might escape from thermalization. Thermalization is controlled by the lightest neutrino mass, being the threshold:

$$m_1 = \mathcal{O}(10^{-3} eV)$$

- Strong impact of the cosmological bounds on neutrinoless double beta decay.

Thanks!

# Lepton number violating parameters

In the appropriate basis, without loss of generality

$$M_\nu = \begin{pmatrix} 0 & Y_1^T v / \sqrt{2} & \epsilon Y_2^T v / \sqrt{2} \\ Y_1 v / \sqrt{2} & \mu' & \Lambda \\ \epsilon Y_2 v / \sqrt{2} & \Lambda^T & \mu \end{pmatrix}$$

  $\epsilon, \mu, \mu' =$  lepton number violation parameters

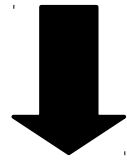
  $0\nu\beta\beta$  decay rate should depend on them

 Also light majorana masses

# Tree level light neutrino masses

At tree level in the seesaw limit, the cancellation condition reads:

$$A_{light} \propto - (m_D^T M^{-1} m_D)_{ee} M^{0\nu\beta\beta}(0) = 0$$



SM + 2 ×  $\nu_R$

$$\mu Y_{1e}^2 + \epsilon Y_{2e} (\epsilon \mu' Y_{2e} - 2\Lambda Y_{1e}) = 0$$

$$\mu = \epsilon = 0 \quad m=0$$

→ Tree level light active neutrino masses vanish !!

$$A_{heavy} \propto - (m_D^T M^{-3} m_D) = \frac{v^2 \mu' Y_{1e}^2}{2\Lambda^4}$$

# Extending Casas-Ibarra parameterization

Donini, Hernandez, JLP, Maltoni, Schwetz 2012; arXiv:1205.5230

$$U = \begin{pmatrix} U_{aa} & U_{as} \\ U_{sa} & U_{ss} \end{pmatrix} \longrightarrow \text{active-sterile mixing}$$

$$U_{aa} = U_{PMNS} \begin{pmatrix} 1 & 0 \\ 0 & H \end{pmatrix},$$

$$H^{-2} = I + m^{1/2} R^\dagger M^{-1/2} R m^{1/2}$$

$$U_{as} = i U_{PMNS} \begin{pmatrix} 0 \\ H m^{1/2} R^\dagger M^{-1/2} \end{pmatrix},$$

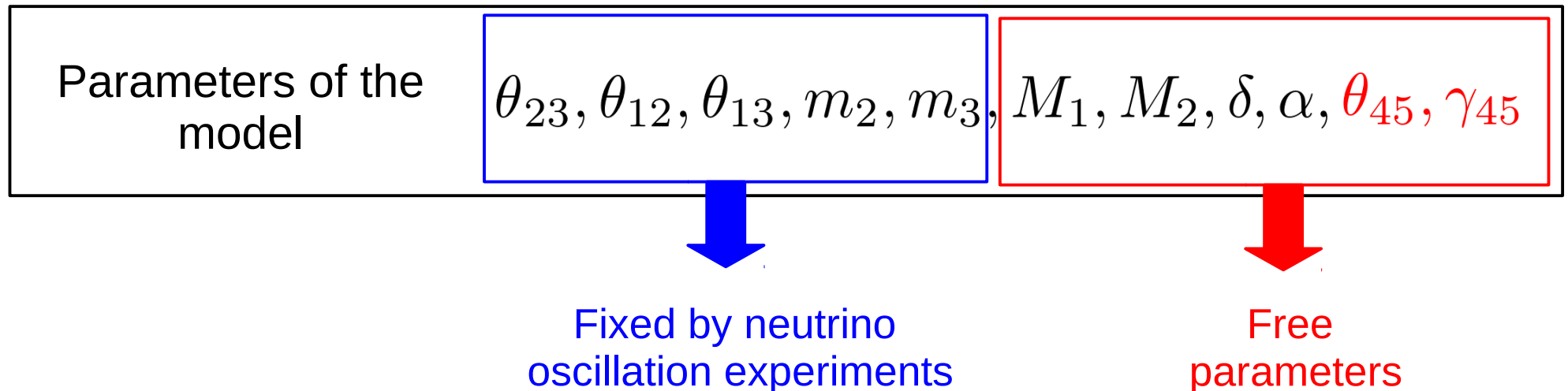
Keep in mind!  
“Sterile neutrinos”  
interact with particles  
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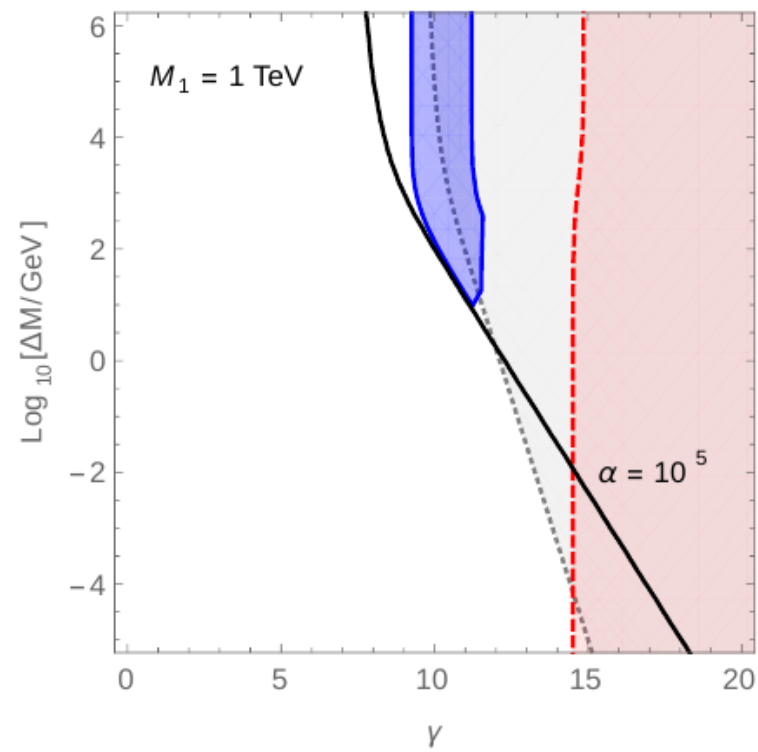
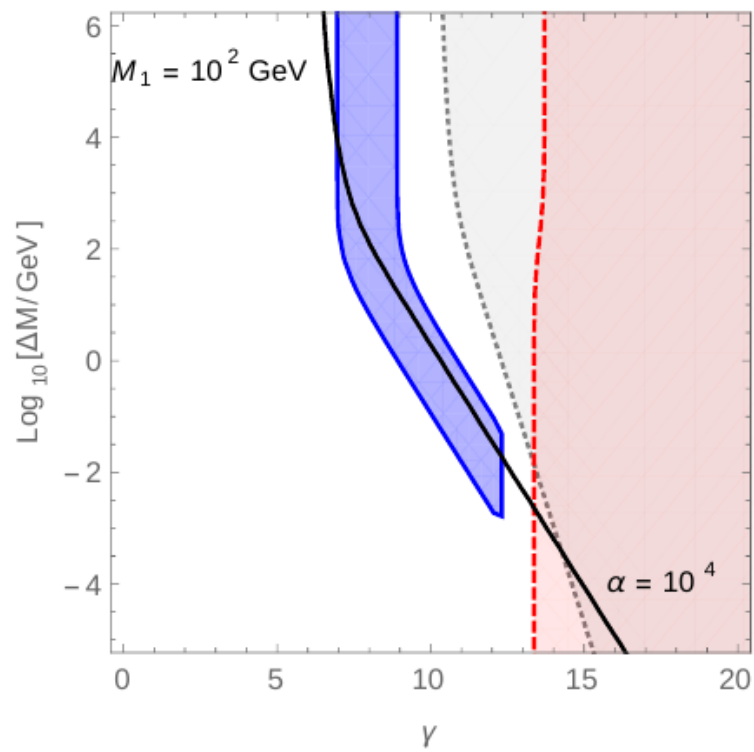
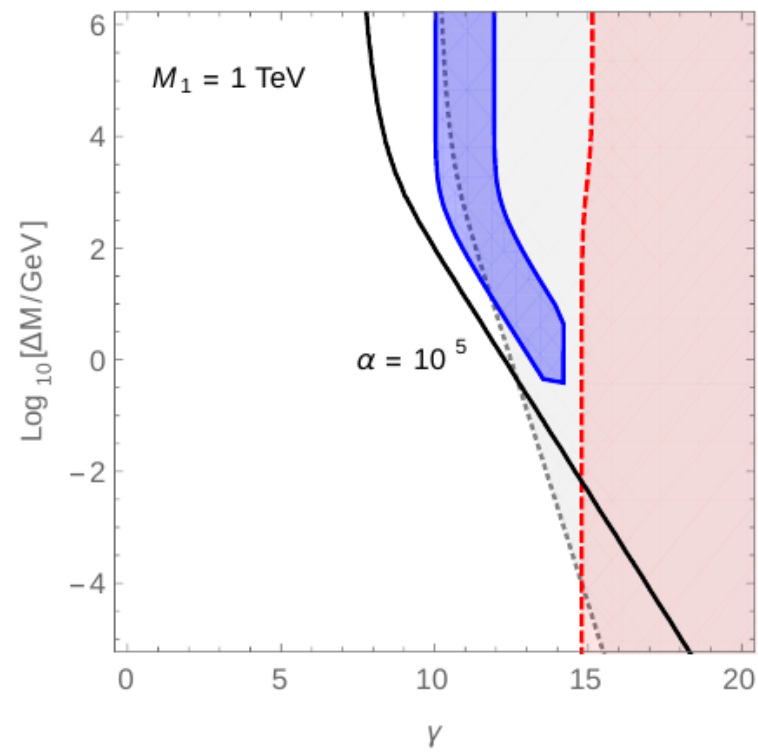
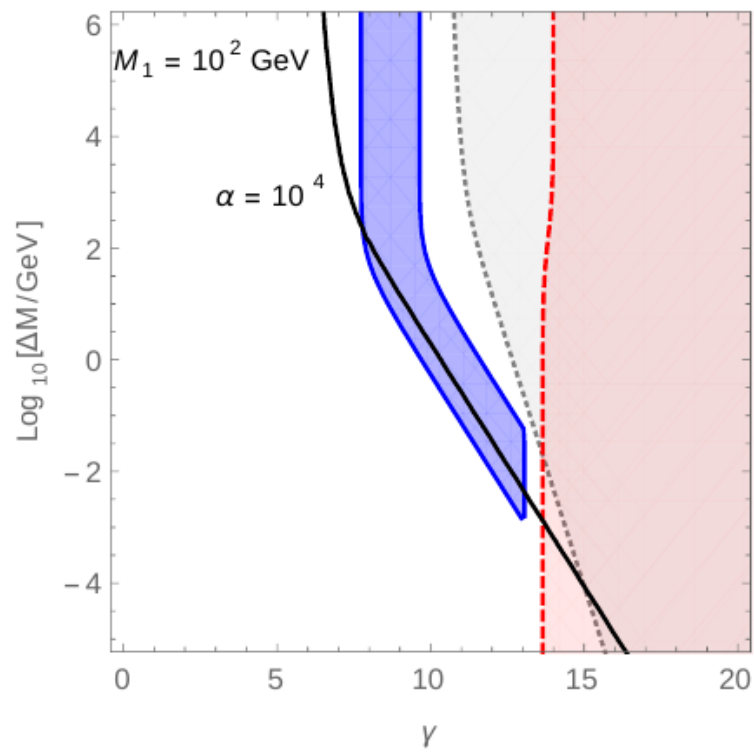
# Extending Casas-Ibarra parameterization at 1-loop

JLP, Molinaro, Petcov 2015

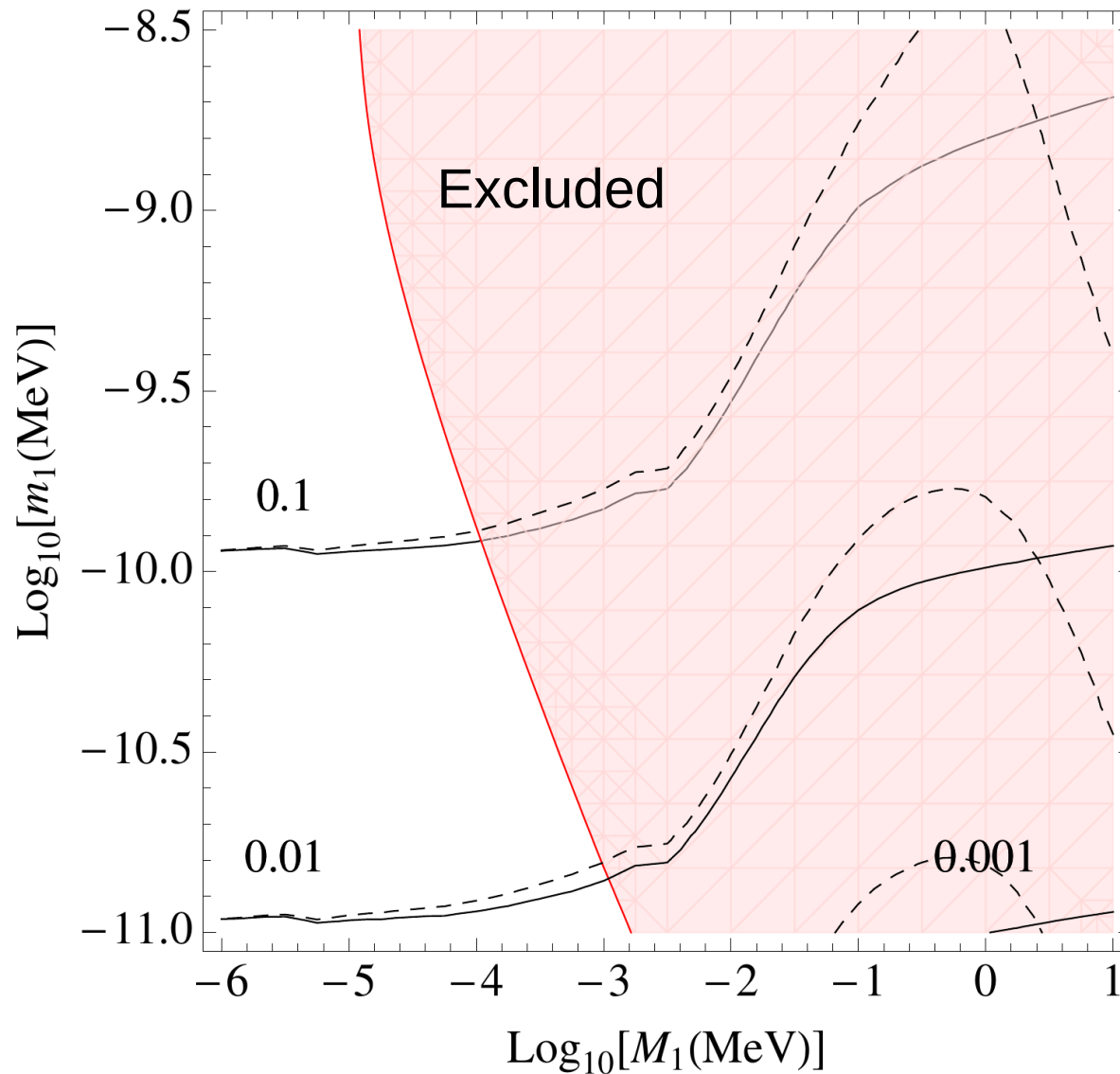
$$\begin{aligned}(m_\nu)_{\ell\ell'} &= -(m_D V)_{\ell k} \left[ \hat{M}_k^{-1} - \frac{1}{(4\pi v)^2} \hat{M}_k \left( \frac{3 \log(\hat{M}_k^2/M_Z^2)}{\hat{M}_k^2/M_Z^2 - 1} + \frac{\log(\hat{M}_k^2/M_H^2)}{\hat{M}_k^2/M_H^2 - 1} \right) \right] (V^T m_D^T)_{k\ell'} \\ &\equiv -(m_D V)_{\ell k} \Delta_k^{-1} (V^T m_D^T)_{k\ell'} = (U_{\text{PMNS}}^* \text{diag}(m_1, m_2, m_3) U_{\text{PMNS}}^\dagger)_{\ell\ell'} .\end{aligned}\quad (59)$$

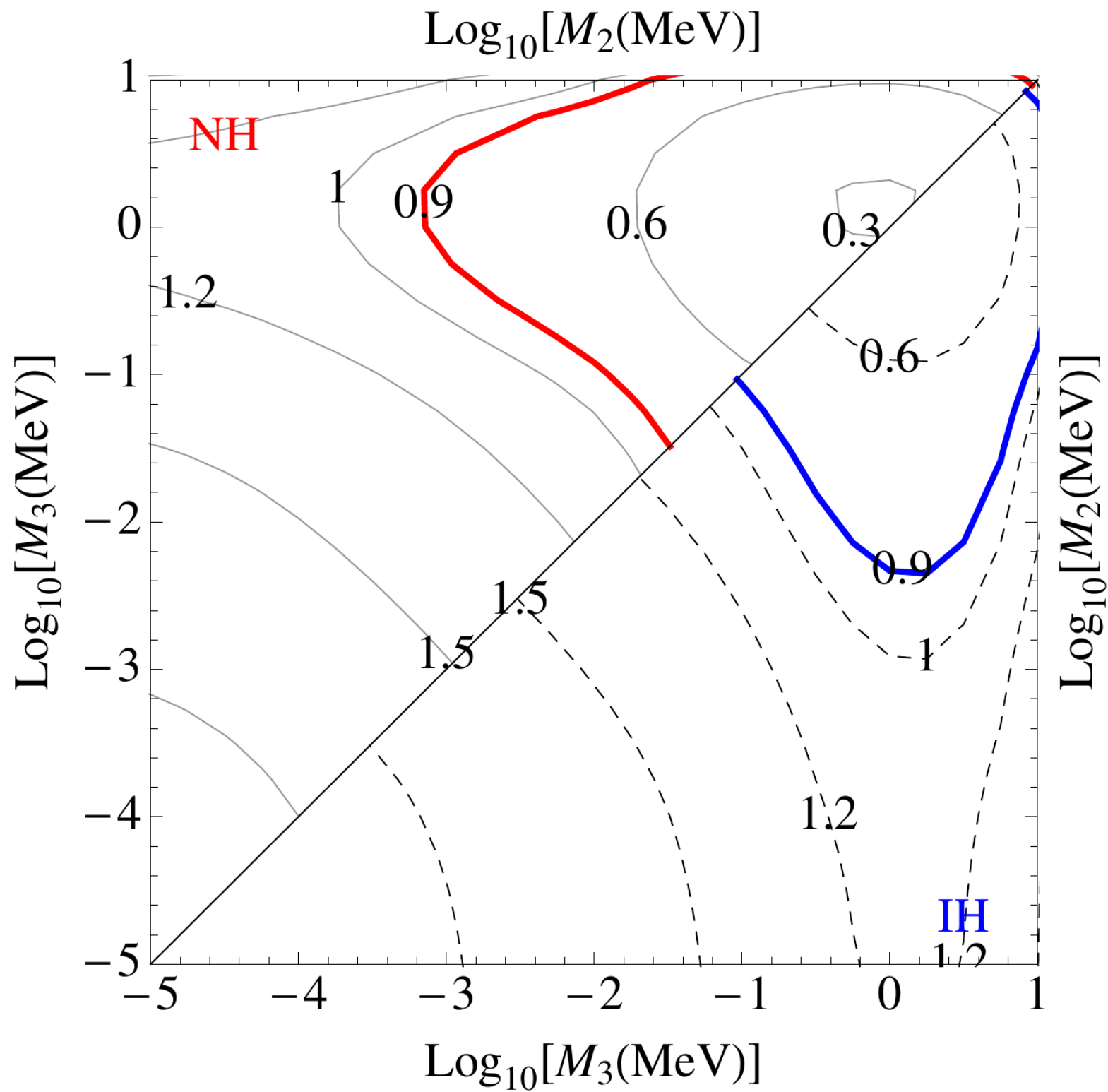
$$\left( \pm i \hat{m}^{-1/2} U_{\text{PMNS}}^\dagger \theta V \Delta^{1/2} \right) \left( \pm i \hat{m}^{-1/2} U_{\text{PMNS}}^\dagger \theta V \Delta^{1/2} \right)^T \equiv R R^T = 1$$

$$\theta V = \mp i U_{\text{PMNS}} \hat{m}^{1/2} R \Delta^{-1/2} .$$



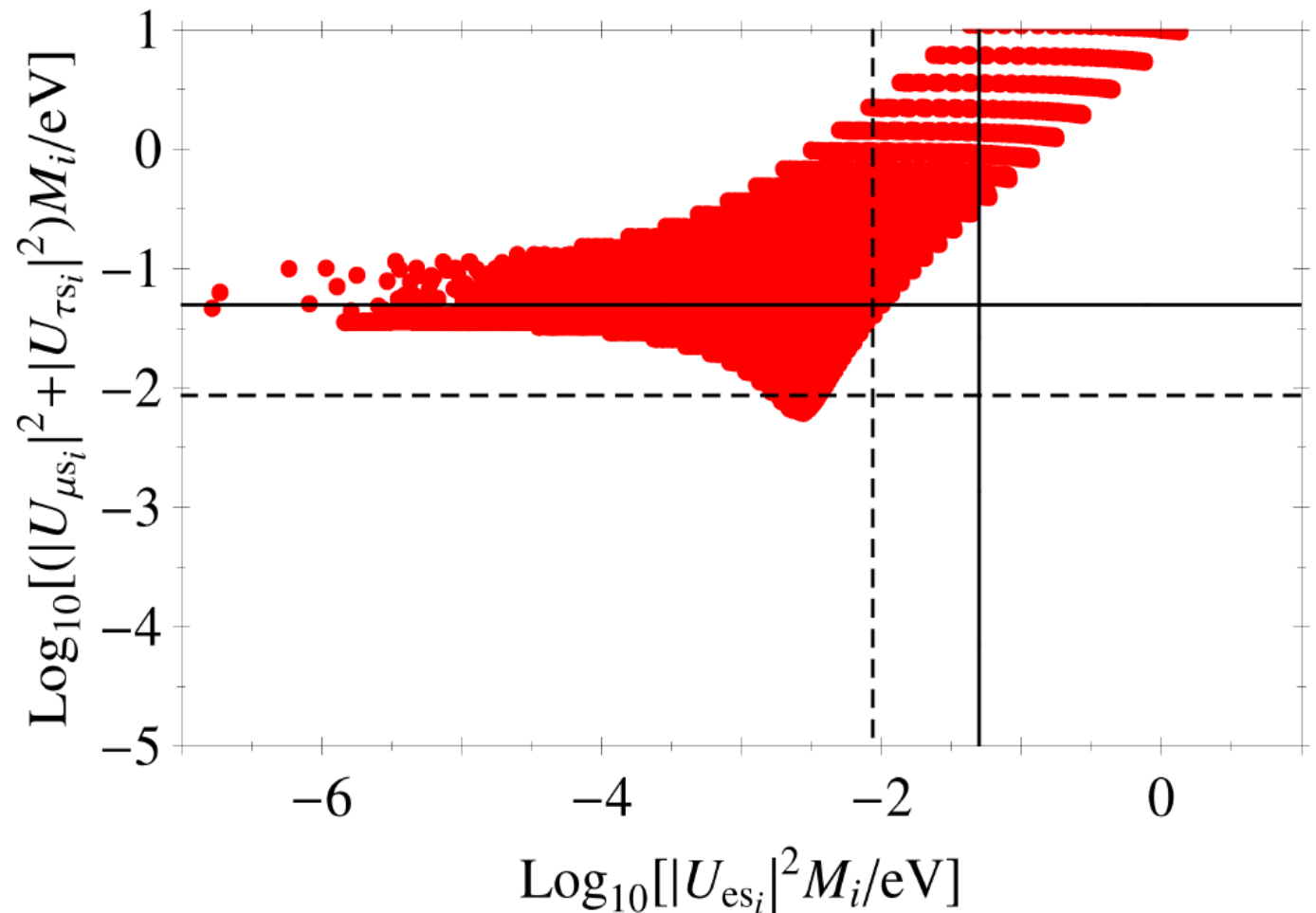
- $m_1 \leq \mathcal{O}(10^{-3} \text{ eV})$ : one sterile neutrino does not thermalize. The other two contribute as in the 3+2 model.





# Sterile Neutrino Thermalization

- This is because all flavours participate in oscillations. The mixing with the three different flavours can not be small enough at the same time due to the correlation.



# Analytical lower bound

$$f_B(T) \equiv \text{Min} \left[ \frac{C_\tau(T)}{\sqrt{g_*(T)}} \right] \frac{G_F^2 p T^4 \sqrt{g_*(T)}}{H(T)} \left( \frac{M_j^2}{2pV_e - M_j^2} \right)^2 \sum_{\alpha=e,\mu,\tau} |(U_{as})_{\alpha j}|^2 \leq f_{s_j}(T)$$

$$f_B(T_{\max}^\tau) \leq f_{s_j}(T_{\max}^\tau) \leq f_{s_j}(T_{\max}).$$

$$\left\{ \begin{array}{l} f_{s_j}(T_{\max}) \geq f_B(T_{\max}^\tau) = \frac{\sum_{\alpha} |(U_{as})_{\alpha j}|^2 M_j}{3.25 \cdot 10^{-3} \text{eV}}, \\ h_j \equiv \sum_{\alpha} |U_{\alpha s_j}|^2 M_j = \underbrace{\sum_i |R_{ij}|^2 m_i}_{\text{Independent of PMNS parameters}} \geq m_1 \end{array} \right.$$

Independent of PMNS  
parameters

# sterile neutrino decay

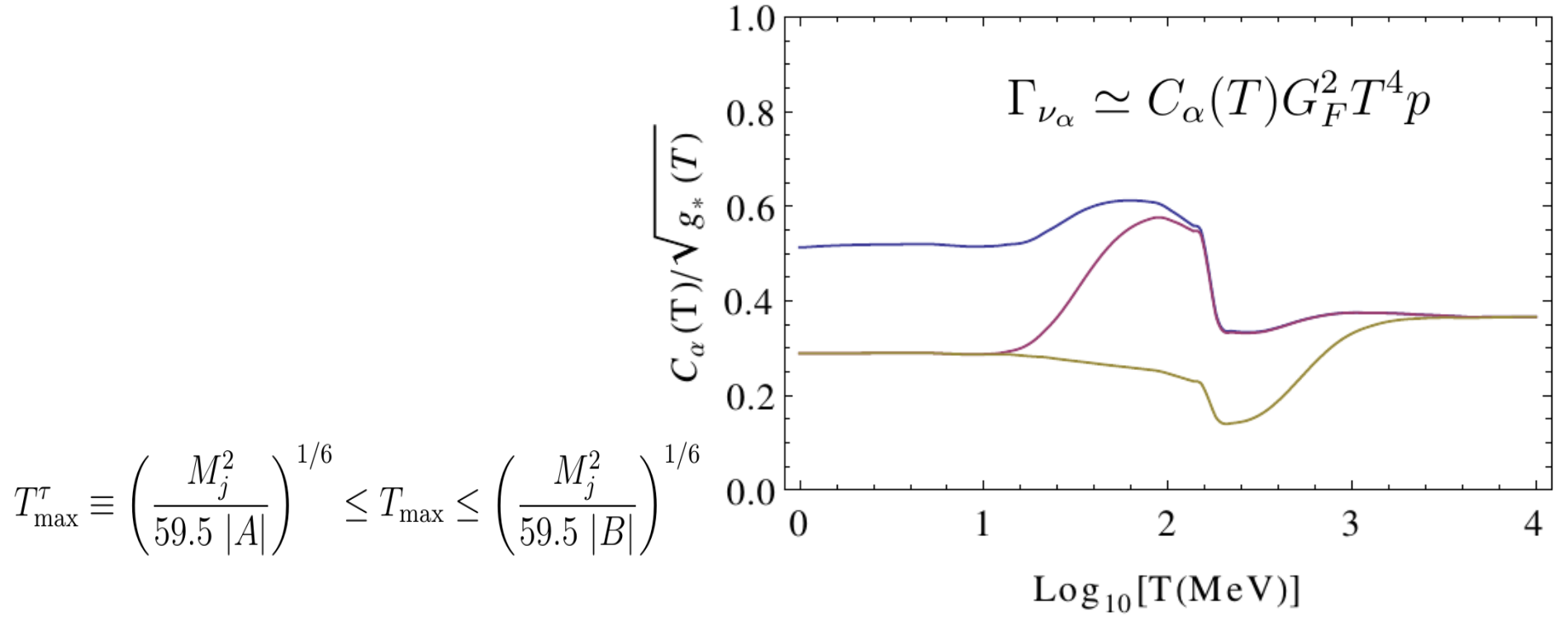
- For sufficiently large  $M$  the sterile neutrino could decay before BBN and our analysis does not apply to this case.

$$\tau \sim 6 \times 10^{11} s \left( \frac{MeV}{M} \right)^4 \frac{0.05 eV}{|U_{\alpha s}|^2 M}$$

- For natural choices of the mixing decay takes place after BBN. However, for extreme mixings of  $\mathcal{O}(1)$ , sterile neutrinos as light as 10 MeV could decay before BBN.

$$f_{s_j}(T) = \sum_{\alpha=e,\mu,\tau} \frac{\Gamma_{\nu_\alpha}(T)}{H(T)} \left( \frac{M_j^2}{2pV_\alpha(T) - M_j^2} \right)^2 |(U_{as})_{\alpha j}|^2$$

$$H(T) = \sqrt{\frac{4\pi^3 g_*(T)}{45}} \frac{T^2}{M_{\text{Planck}}}$$



$$T_{\text{max}}^\tau \equiv \left( \frac{M_j^2}{59.5 |A|} \right)^{1/6} \leq T_{\text{max}} \leq \left( \frac{M_j^2}{59.5 |B|} \right)^{1/6}$$

( $\tau$ )  $T \gtrsim 180$  MeV:  $C_{e,\mu,\tau} \simeq 3.43$  and  $V_\alpha = AT^4p$  for  $\alpha = e, \mu, \tau$ ;

( $\mu$ )  $20 \text{ MeV} \lesssim T \lesssim 180 \text{ MeV}$ :  $C_{e,\mu} \simeq 2.65$ ,  $C_\tau \simeq 1.26$ ,  $V_e = V_\mu = AT^4p$  and  $V_\tau = BT^4p$ ;

( $e$ )  $T \lesssim 20 \text{ MeV}$ :  $C_e \simeq 1.72$ ,  $C_{\mu,\tau} \simeq 0.95$ ,  $V_e = AT^4p$  and  $V_\mu = V_\tau = BT^4p$ .

with

$$B \equiv -2\sqrt{2} \left( \frac{7\zeta(4)}{\pi^2} \right) \frac{G_F}{M_Z^2}, \quad A \equiv B - 4\sqrt{2} \left( \frac{7\zeta(4)}{\pi^2} \right) \frac{G_F}{M_W^2}. \quad (11)$$

$$\dot{\rho} = -i[H, \rho] - \frac{1}{2}\{\Gamma, \rho - \rho_{eq}I_A\};$$

$$\dot{\rho}_A = -i(H_A\rho_A - \rho_A H_A + H_{AS}\rho_{AS}^\dagger - \rho_{AS}H_{AS}^\dagger) - \frac{1}{2}\{\Gamma_A, \rho_A - \rho_{eq}I_A\}$$

$$\dot{\rho}_{AS} = -i(H_A\rho_{AS} + H_{AS}\rho_S - \rho_{AS}H_S) - \frac{1}{2}\Gamma_A\rho_{AS},$$

$$\dot{\rho}_S = -i(H_{AS}^\dagger\rho_{AS} - \rho_{AS}^\dagger H_{AS} + H_S\rho_S - \rho_S H_S).$$

$$\Gamma_{\nu_\alpha} \gg H \quad \Rightarrow \quad \dot{\rho}_A = \dot{\rho}_{AS} = 0$$

$$\begin{aligned} \dot{\rho}_{ss} &= - \left( H_{AS}^\dagger \left\{ \frac{\Gamma_{AA}}{(H_{AA} - H_{ss})^2 + \Gamma_{AA}^2/4} \right\} H_{AS} \right)_{ss} \tilde{\rho}_{ss} \\ &\simeq -\frac{1}{2} \sum_a \langle P(\nu_s \rightarrow \nu_a) \rangle \Gamma_a \tilde{\rho}_{ss}, \end{aligned}$$

$$\tilde{\rho}_S \equiv \rho_S - \rho_{eq}I_S$$

$$x = \frac{a(t)}{a_{BBN}}, \quad y = x \frac{p}{T_{BBN}}; \quad \Rightarrow \quad x = \frac{T_{BBN}}{T} \left( \frac{g_{S*}(T_{BBN})}{g_{S*}(T)} \right)^{1/3}$$

$$g_{S*}(T)T^3 a(t)^3 = \text{constant}$$

$$Hx \frac{\partial}{\partial x} \rho(x,y) \Big|_y = -i[\hat{H}, \rho(x,y)] - \frac{1}{2} \{ \Gamma, \rho(x,y) - \rho_{eq}(x,y) I_A \},$$

$$\rho_{eq}(x,y) = \frac{1}{\exp \left[ y(g_{S*}(T(x))/g_{S*}(T_{BBN}))^{1/3} \right] + 1},$$

$$x_f = 1 \qquad Hx \frac{\partial}{\partial x} \rho_{ss}(x,y) \Big|_y = - \left( H_{AS}^\dagger \left\{ \frac{\Gamma_A}{(H_A - \tilde{H}_s)^2 + \Gamma_A^2/4} \right\} H_{AS} \right)_{ss} \tilde{\rho}_{ss}(x,y),$$

$$x_i \rightarrow 0, \; \rho_{ss} = 0,$$

$$\Delta N_{\rm eff}^{(j)BBN}|_{energy} = \frac{\int \mathrm{d}y \; y^2 E(y) \rho_{s_j s_j}(x_f,y)}{\int \mathrm{d}y \; y^2 p(y) \rho_{eq}(x_f,y)},$$

$$p(y) = \frac{y}{x_f} T_{BBN} \text{ and } E(y) = \sqrt{p(y)^2 + M_j^2}.$$

# Bounds from neutrino oscillations

- Can we obtain general bounds on the Majorana scale without assuming a priori anything about the parameters of the model?
- We performed a global analysis of neutrino oscillation experiments, studying the whole parameter space for  $n_R = 2$  with degenerate Majorana masses.

$$M \lesssim 10^{-9}(10^{-10})eV$$

bound mainly from  
solar data  
Dirac limit

Gouvea, Huang, Jenkins 2009

Donini, Hernandez, JLP, Maltoni 2011

$$M \gtrsim 0.6(1.6)eV$$

constraint mainly from LBL  
and reactor data

Seesaw limit

Donini, Hernandez, JLP, Maltoni 2011

# Analytical lower bound

- Thermalization threshold

$$h_j = \sum_i |R_{ij}|^2 m_i \leq 3.2 \cdot 10^{-3} \text{ eV} \quad \Rightarrow \quad f_{s_j}(T_{max}) \leq 1$$

$N_j$  does NOT thermalizes

How many sterile neutrinos can simultaneously satisfy this thermalization bound?

# Neutrinoless Double Beta Decay

