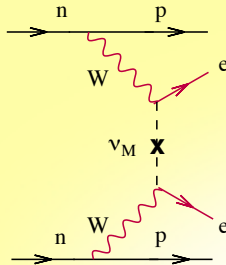


# Nuclear Matrix Elements for $\beta\beta$ Decay

J. Engel

University of North Carolina

June 6, 2014

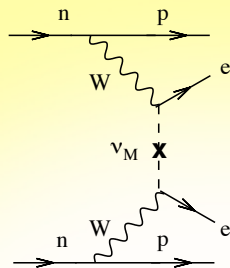
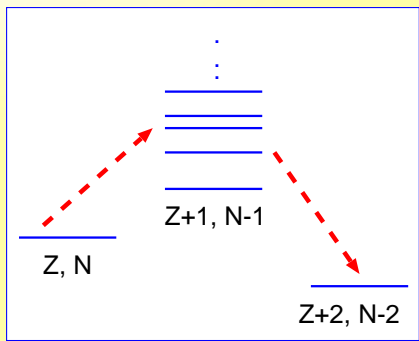


# Neutrinoless Double-Beta Decay

If energetics are right (ordinary beta decay forbidden)...

and neutrinos are their own antiparticles...

can observe two neutrons turning into protons, emitting two electrons and **nothing else**.



# Neutrinoless Double-Beta Decay

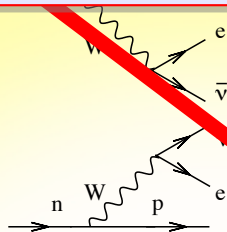
If energies are right (ordinary beta decay forbidden)...



Introductory material:  $\beta\beta$  decay is awesome, blah, blah, ...

can... into protons, emitting two electrons and nothing else.

Different from already observed two-neutrino process.



# Usefulness of Double-Beta Decay

If it's observed, neutrinos are their own antiparticles!

and

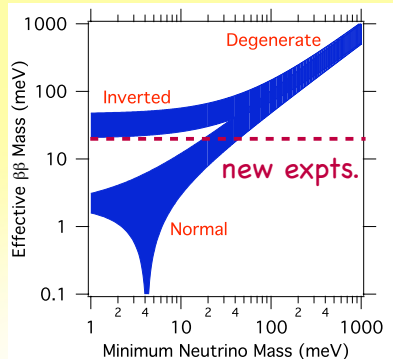
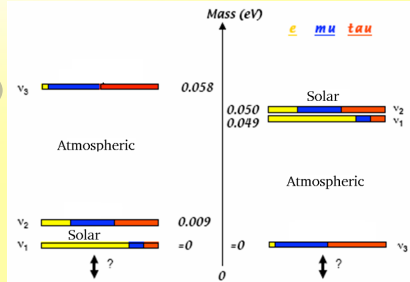
Light- $\nu$ -exchange amplitude proportional to "effective mass"

$$m_{\text{eff}} \equiv \sum_{i=1}^3 m_i U_{ei}^2$$

If lightest neutrino is light:

▶  $m_{\text{eff}} \approx \sqrt{\Delta m_{\text{sol}}^2} \sin^2 \theta_{\text{sol}}$  (normal)

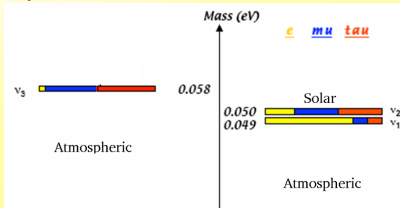
▶  $m_{\text{eff}} \approx \sqrt{\Delta m_{\text{atm}}^2} \cos 2\theta_{\text{sol}}$  (inverted)



# Usefulness of Double-Beta Decay

If it's observed, neutrinos are their own antiparticles!

and



But rate also proportional to square of a nuclear matrix element!

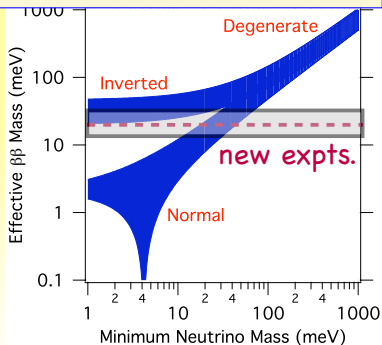
proportional to effective mass

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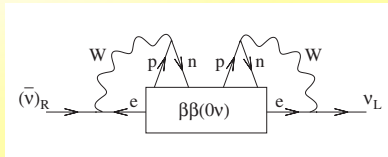
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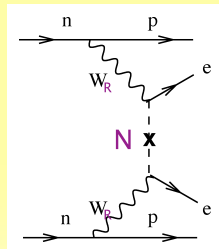
# Other Mechanisms

If neutrinoless decay occurs then  $\nu$ 's are Majorana, no matter what:



but light neutrinos may not drive the decay:

Exchange of heavy right-handed neutrino  
in left-right symmetric model.



For  $m_R \approx 1$  TeV, exotic processes can occur with roughly same rate as light- $\nu$  exchange. Untangling the two is a long story; focus here on light  $\nu$ 's since we know they exist.

## Form of Nuclear Matrix Element

$$M_{0\nu} = M_{0\nu}^{\text{GT}} - \frac{g_V^2}{g_A^2} M_{0\nu}^{\text{F}} + \dots$$

with

$$M_{0\nu}^{\text{GT}} = \langle f | \sum_{a,b} H(r_{ab}, \bar{E}) \vec{\sigma}_a \cdot \vec{\sigma}_b \tau_a^+ \tau_b^+ | i \rangle + \dots$$
$$M_{0\nu}^{\text{F}} = \langle f | \sum_{a,b} H(r_{ab}, \bar{E}) \tau_a^+ \tau_b^+ | i \rangle + \dots$$

$$H(r, \bar{E}) \approx \frac{2R}{\pi r} \int_0^\infty dq \frac{\sin qr}{q + \bar{E} - (E_i + E_f)/2} \approx \frac{R}{r}$$

Corrections (“forbidden” terms, weak form factors ...)  $\approx 30\%$ .

# Calculating Matrix Elements

It's hard, because

- ▶ Relevant nuclei heavy ( $A > 75$ ) and complicated.
- ▶ Never measured; nothing to calibrate to.
- ▶ Structure of initial and final nuclear ground states quite different  $\implies$  matrix element small and sensitive.

⋮



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## State of Nuclear-Structure Theory

In light nuclei, theory has made transition from art to science. In heavy nuclei, it's now somewhere in between.

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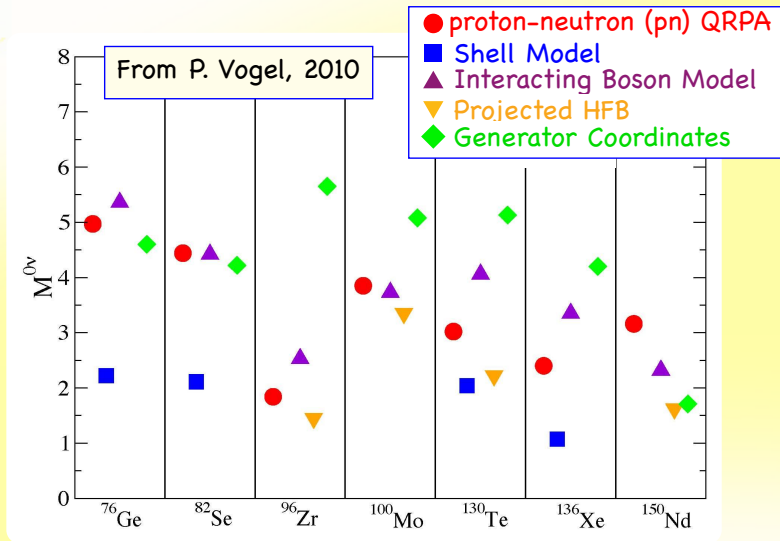
## State of Nuclear-Structure Theory

In light nuclei, theory has made transition from art to science. In heavy nuclei, it's now somewhere in between.

**Q:** Is it enough of a science yet to get accurate double-beta matrix elements?

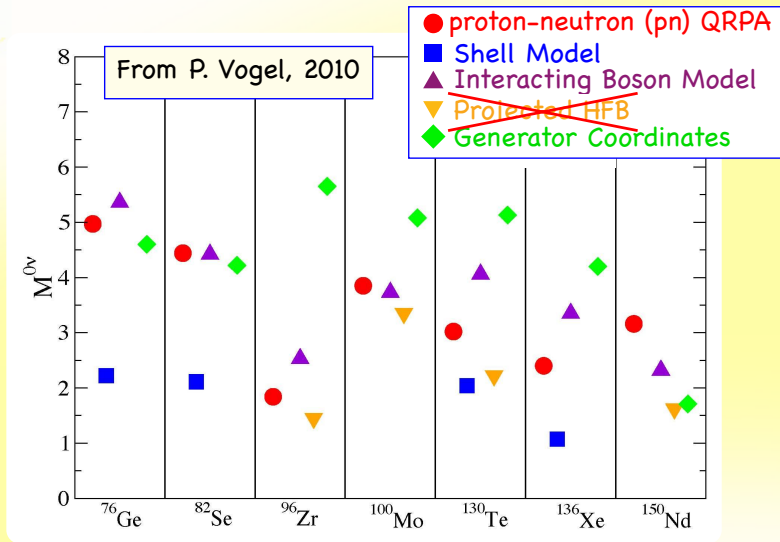
**A:** It's getting there!

## But at Present...



Same level of agreement in 2014. Not so great. And they may all be missing something.

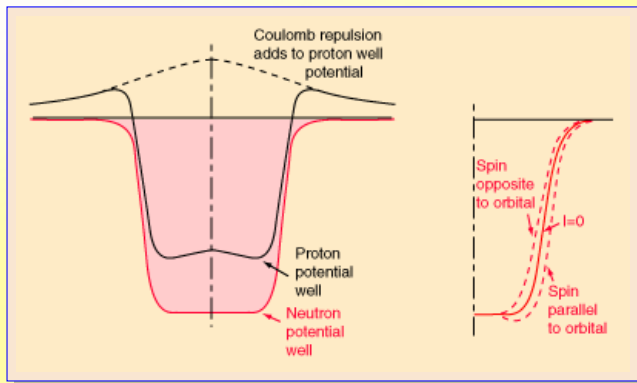
## But at Present...



Same level of agreement in 2014. Not so great. And they may all be missing something.

What are these models?

# All These Models Start with Mean-Field Potential



In GCM & QRPA mean-field wave functions can include "correlations" by deforming or violating particle-number conservation.

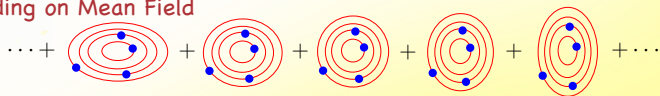
# Contrasting the Approaches

Building on Mean Field



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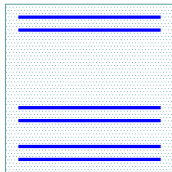
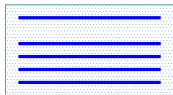
Generator-Coordinate Method (GCM) mixes many such with different collective properties.

# Contrasting the Approaches

Building on Mean Field



Other methods build on single independent-particle state



protons

neutrons

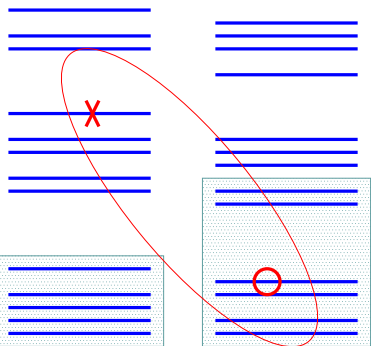


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protons

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pn  
QRPA

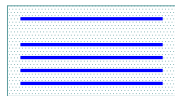
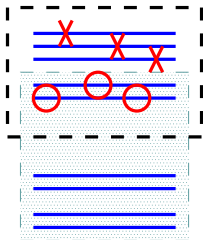
QRPA: Large single-particle spaces in arbitrary single mean field; simple correlations and excitations within the space.

# Contrasting the Approaches

Building on Mean Field



Other methods build on single independent-particle state



protons

neutrons

Shell Model: Small single-particle space in simple spherical mean field; **arbitrarily complex correlations within the space.**

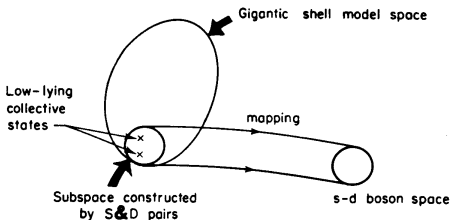
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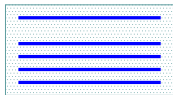
Other met

particle state

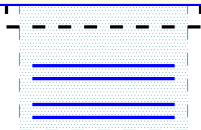


IBM is somewhere in between, mapping matrix elements from up to two shells but truncating to collective pairs.

space in simple spherical mean field; **arbitrarily complex correlations within the space.**



protons



neutrons

# Contrasting the Approaches

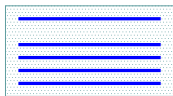
Building on Mean Field



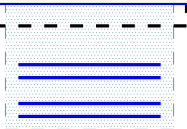
Gigantic shell model space

All these methods fit parameters to data directly in heavy nuclei. Not a bad thing, but makes it hard to estimate accuracy when calculating something different from anything you've ever measured!

space in simple spherical mean field; arbitrarily complex correlations within the space.



protons



neutrons

cle

# The Way Forward

## Two tracks:

- ▶ A serious comprehensive statistical analysis of correlation between predictions for matrix element predictions and for other measured observables, across all models.

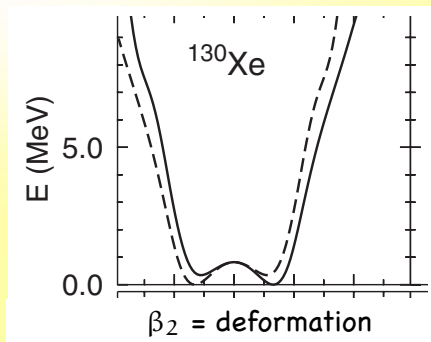
Can attempt to assign uncertainty; just getting started and I won't talk about it.

- ▶ Improving the calculations through
  - ▶ incorporating more physics, e.g., combining effects treated by QRPA and GCM.
  - ▶ restricting phenomenology to basic level – nucleon-nucleon interaction, etc. – and solving full many-body problem from there.

These are well underway.

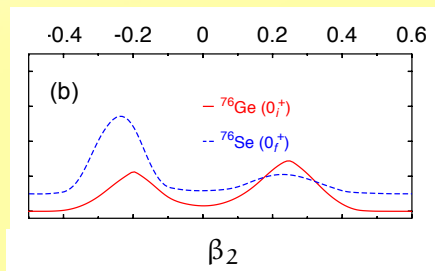
## Problems of QRPA I: Single Mean Field

Some of the nuclei in these decays don't have well defined shape, can't be represented by single mean field.



Robledo et al.: Energy minima at  $\beta_2 \approx \pm 0.15$

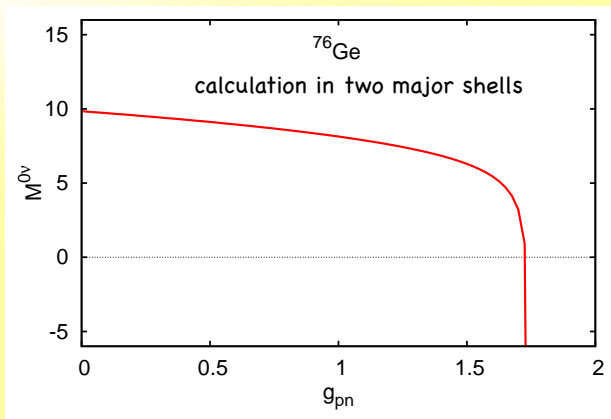
Solid line is actual result;  
dashed line a symmetric potential for comparison.



Rodríguez and Martínez-Pinedo: Wave functions peaked at  $\beta_2 \approx \pm 0.2$

## Problems of QRPA II: Proton-Neutron Pairing

Method treats proton-neutron pairing, an important physical effect, but not ideally:



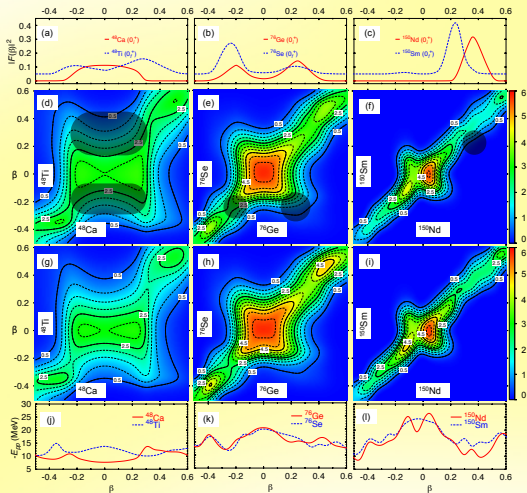
Matrix element blows up when mean-field state changes from like-particle pair condensate to proton-neutron pair condensate.

# GCM: Many Mean Fields but No Proton-Neutron Pairing

Basic GCM idea: Construct set of mean fields by constraining coordinate(s), e.g. quadrupole moment  $\langle Q_0 \rangle \equiv \langle \sum_i r_i^2 Y_i^2, 0 \rangle$ . Minimize

$$\langle H' \rangle = \langle H \rangle - \lambda \langle Q_0 \rangle$$

Then use  $\langle Q_0 \rangle$  as a collective coordinate; diagonalize  $H$  in space of number- and angular-momentum-projected mean-field states with different values of  $\langle Q_0 \rangle$ .



Rodríguez and Martínez-Pinedo

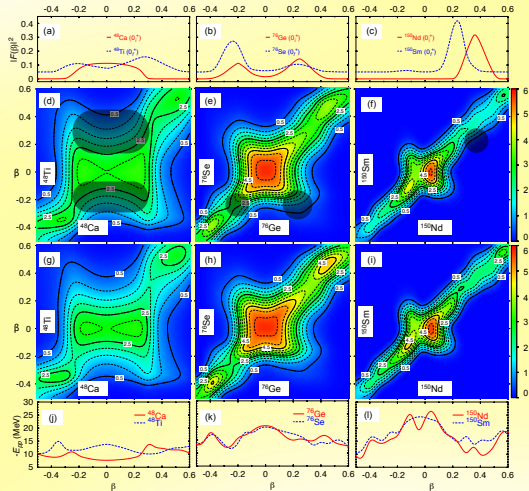


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Rodríguez and Martínez-Pinedo

But the states don't contain proton-neutron pairing correlations.

## Soln: Add Proton-Neutron Correlations to GCM

We generalize GCM in a way that avoids wild QRPA behavior.

Constrain pn pairing as well as deformation, i.e. minimize

$$H' = H - \lambda_Q \langle Q_0 \rangle - \lambda_P \langle P_0^\dagger \rangle$$

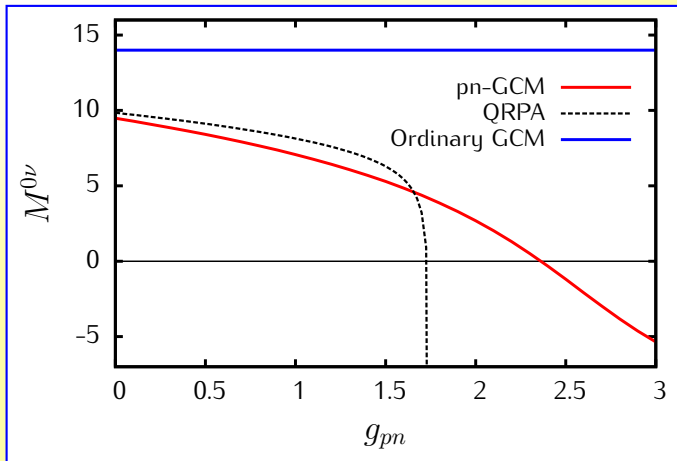
with

$$P_0^\dagger = \sum_{\ell} \sqrt{2\ell + 1} \left[ a_{\ell}^\dagger a_{\ell}^\dagger \right]_{M_S=0}^{L=0, S=1, T=0}$$

creates spin-1 pn pair

$P_0^\dagger$  has expectation value **zero** in unconstrained state, but add states that are constrained to have **non-zero values**, diagonalize in basis of many such states.

# Matrix Element in $^{76}\text{Ge}$

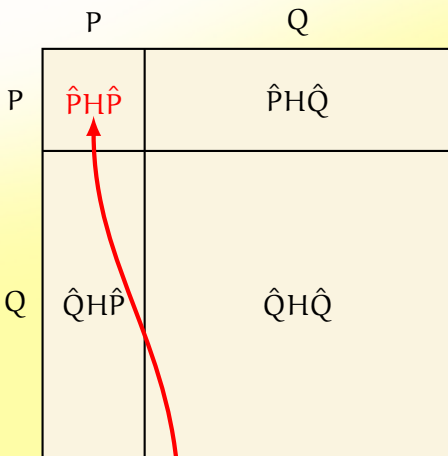


(Realistic value of  $g_{pn}$  about 1.5 – 1.6.)

This calculation a prototype; sophisticated version coming soon

# Next Idea: Eliminate Nucleus-Level Phenomenology

## Ab Initio Shell Model



Shell model done here

### Partition of Full Hilbert Space

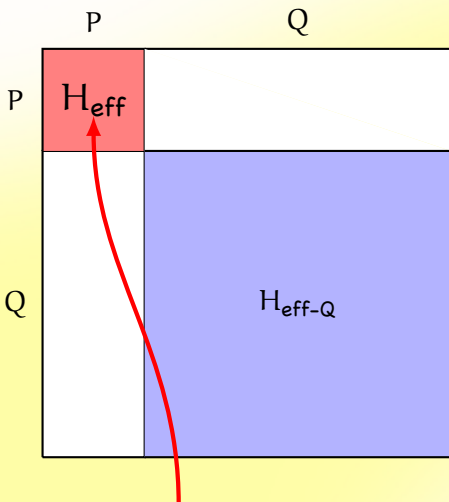
P = valence space

Q = the rest

Task: Find unitary transformation to make H block-diagonal in P and Q, with  $H_{\text{eff}}$  in P reproducing lowest eigenvalues.

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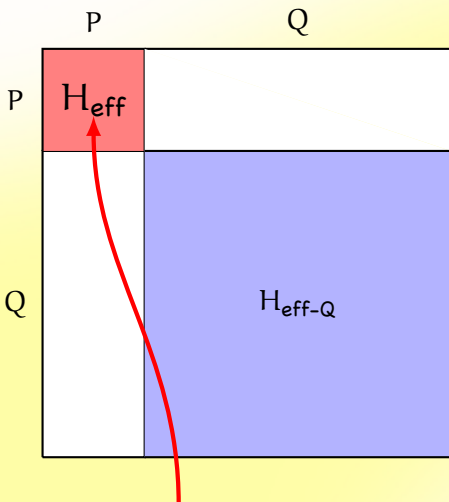
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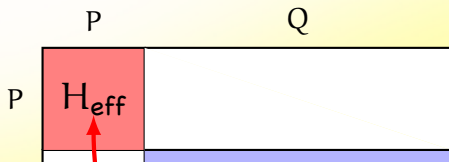
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For transition operator  $\hat{M}$ , apply same transformation to get  $\hat{M}_{\text{eff}}$ .

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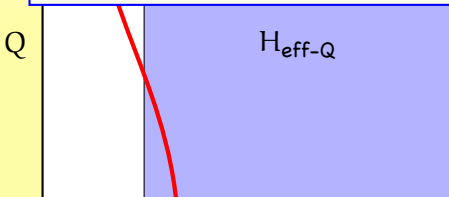
## Ab Initio Shell Model

### Partition of Full Hilbert Space



P = valence space  
Q = the rest

This is as difficult as solving full problem. But the idea is that N-body effective operators may not be important for  $N > 2$  or 3.



lowest eigenvalues.

For transition operator  $\hat{M}$ , apply same transformation to get  $\hat{M}_{\text{eff}}$ .

Shell model done here

## Procedure

1. Find good NN and NNN interactions by matching to data in NN scattering, He, ..., or QCD. ✓
2. Use **Coupled-Clusters methods** to get good ab initio ground state for closed-shell nucleus  $^{56}\text{Ni}$  (28 protons, 28 neutrons). ✓
3. Use extension of same method for low-lying states in nuclei with  $A = 57$  and  $58$ . ✓
4. Do "Lee-Suzuki" mapping of lowest eigenstates with  $A = 57, 58$  onto  $f_{5/2}p_{9/2}$  shell, determine shell-model Hamiltonian that reproduces energies of these states. ✓
5. Do the same thing for the double-beta-decay operator.
6. Put more nucleons in the valence shell (20 for  $^{76}\text{Ge}$ ), shut up, and calculate (in the words, allegedly, of Feynman). ✓

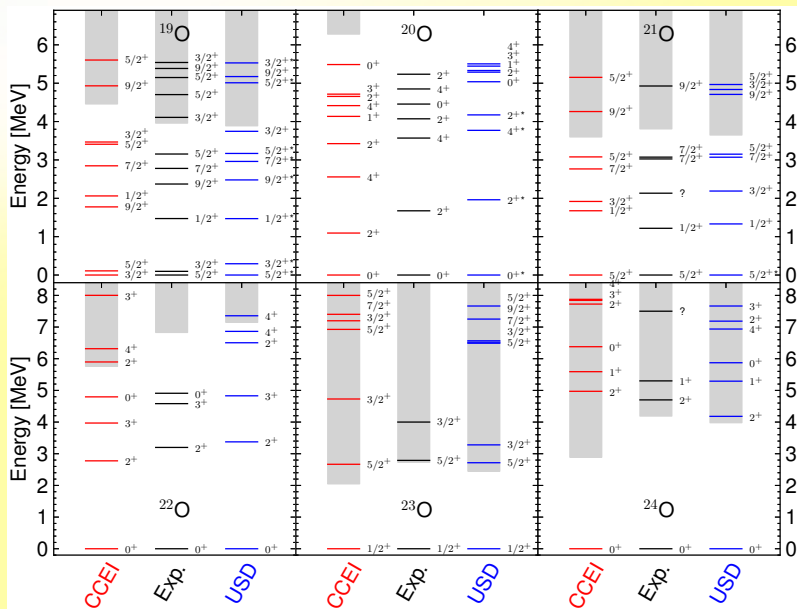
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✓ = done

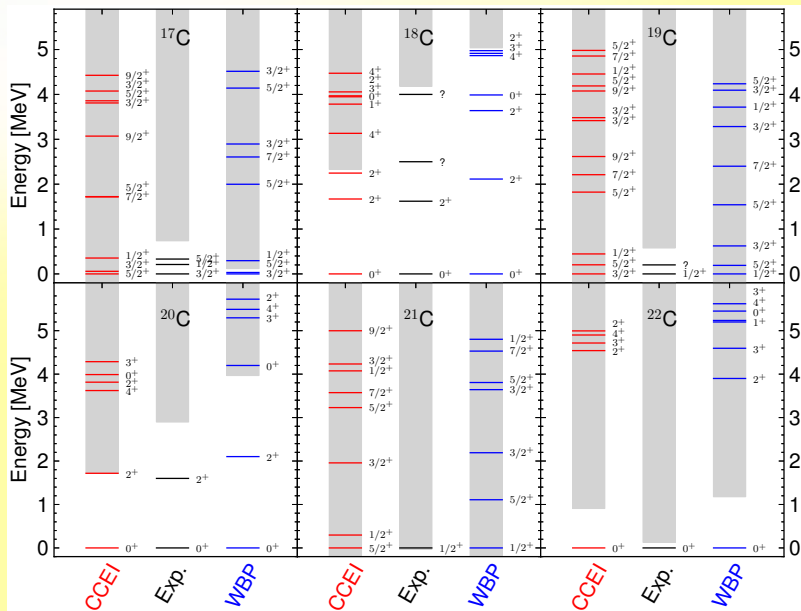
✓ = done in lighter nuclei



# First Step: Interaction in sd Shell



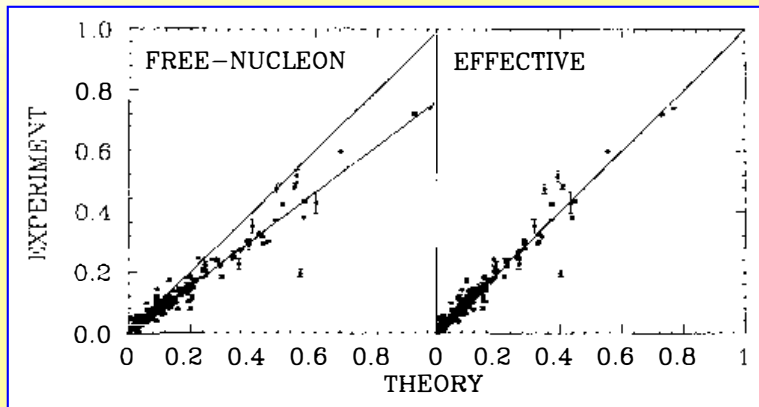
# And in p Shell



## Finally: "Renormalization of $g_A$ "

**Forty(?) -year old problem:** Single-beta rates,  $2\nu$  double-beta rates, related observables over-predicted.

**Brown & Wildenthal: Beta-decay strengths in sd shell**



## Solution: Not Yet Clear

**Typical practice:** “Renormalize”  $g_A$  to get correct results. But if  $g_A$  is renormalized by same amount in  $0\nu$  decay as in  $2\nu$  decay (a lot in shell model and IBM), experiments are in trouble; rates go as  $(g_A)^4$ .

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**Better practice:** Understand reasons for over-prediction. In modern language, must be due to

1. Many-body weak currents (from non-nucleonic degrees of freedom), either modeled explicitly as  $\pi, \rho$  exchange, etc., or treated in effective-field theory.

Who's right? The old-school practitioners who say meson-exchange effects are small, or the modern effective-field-theory folk, who say they can be large (about 30% in initial studies)?

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People are attacking both sides of this problem.

(about 30% in initial studies)?

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*That's all.*