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## Status of Tritium-based Neutrino Mass Measurements

International Conference Neutrino 2014, Boston, June 1-7, 2014

#### **Christian Weinheimer**

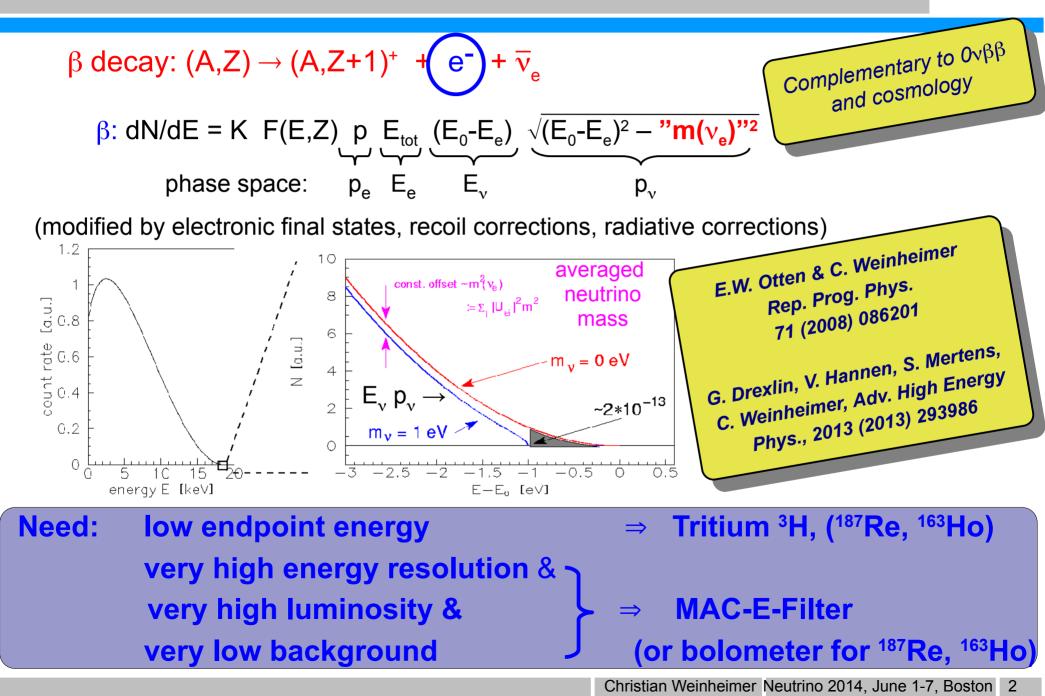
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Introduction The Karlsruhe Tritium Neutrino expeirment KATRIN Outlook on possible improvements & sterile neutrinos Summary

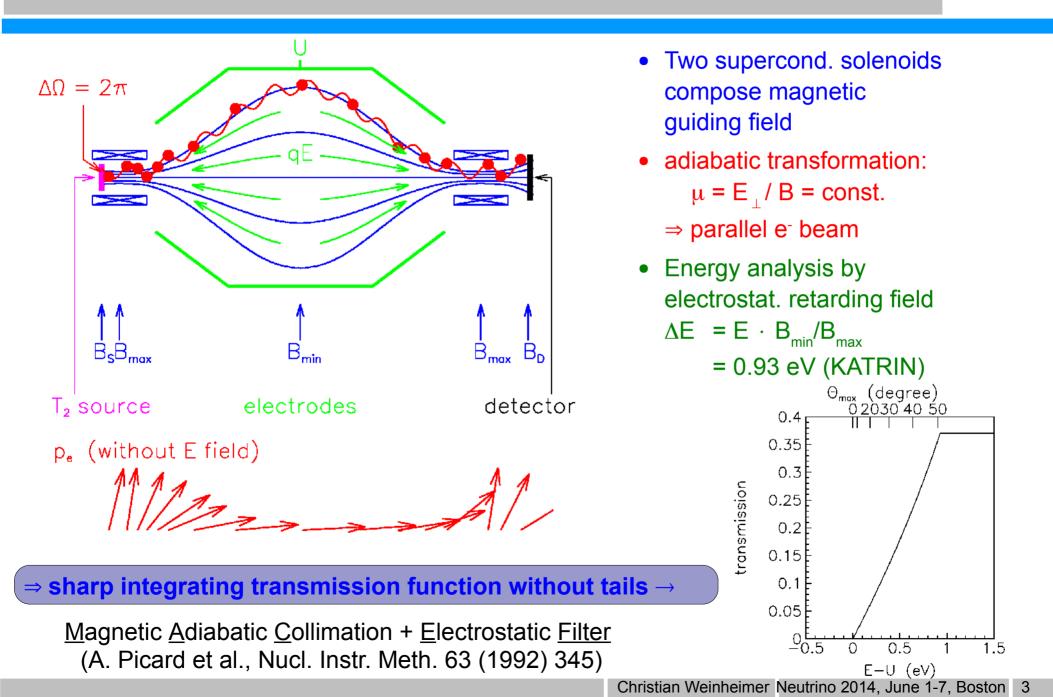
Photo: M. Zacher



# **Direct determination of m**( $v_e$ ) **from** $\beta$ **decay (similarly EC)**



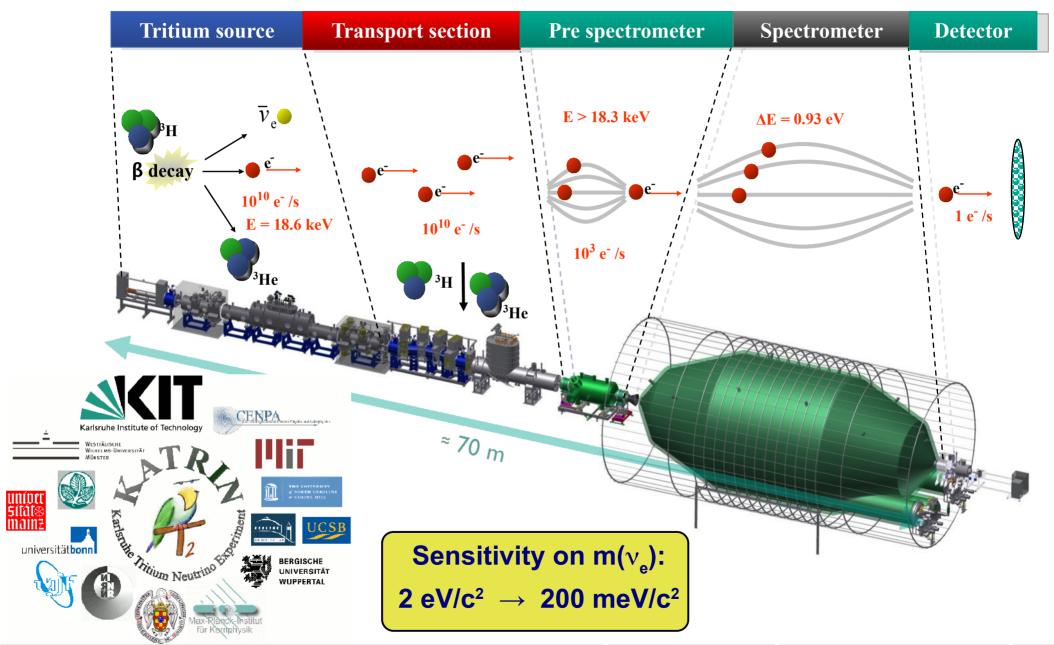
#### **<u></u>** The classical way: WESTFÄLISCHE WILHELMS-UNIVERSITÄT Tritium β-spectroscopy with a MAC-E-Filter





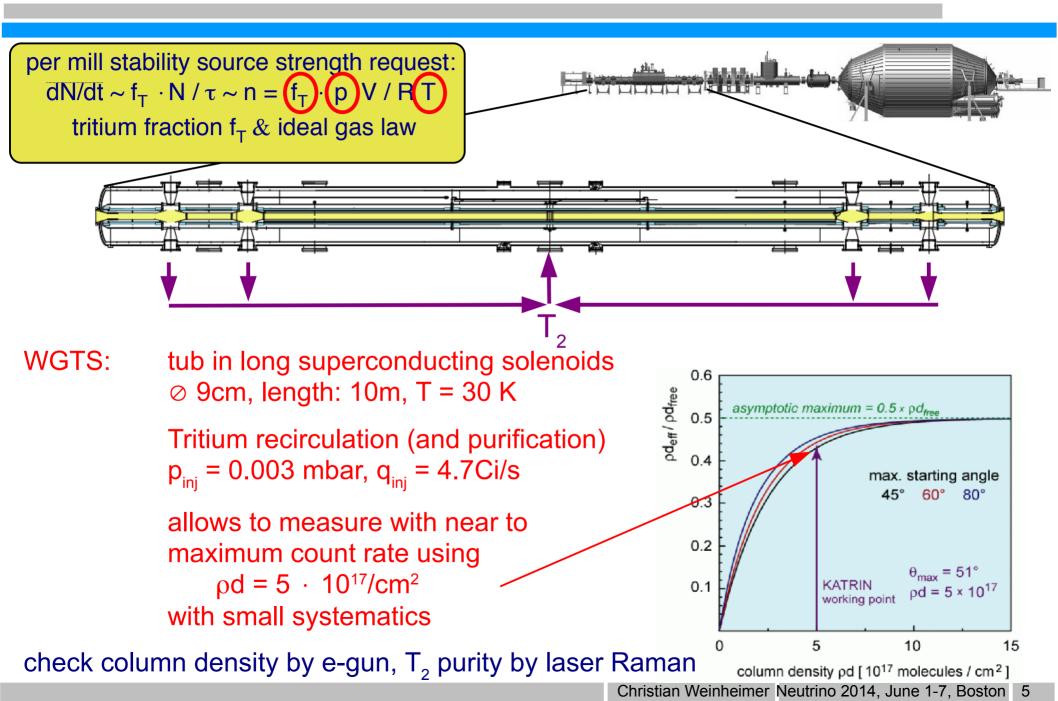
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## The Karlsruhe Tritium Neutrino Experiment KATRIN - overview



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## Molecular Windowless Gaseous Tritium Source WGTS





# Status of Windowless Gaseous molecular Tritium Source WGTS

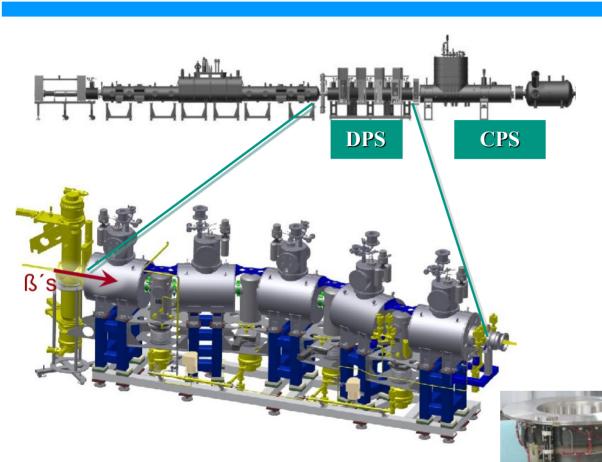
#### Assembly of beam tube, magnets and cryostat:



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# Transport and differential & cryo pumping sections



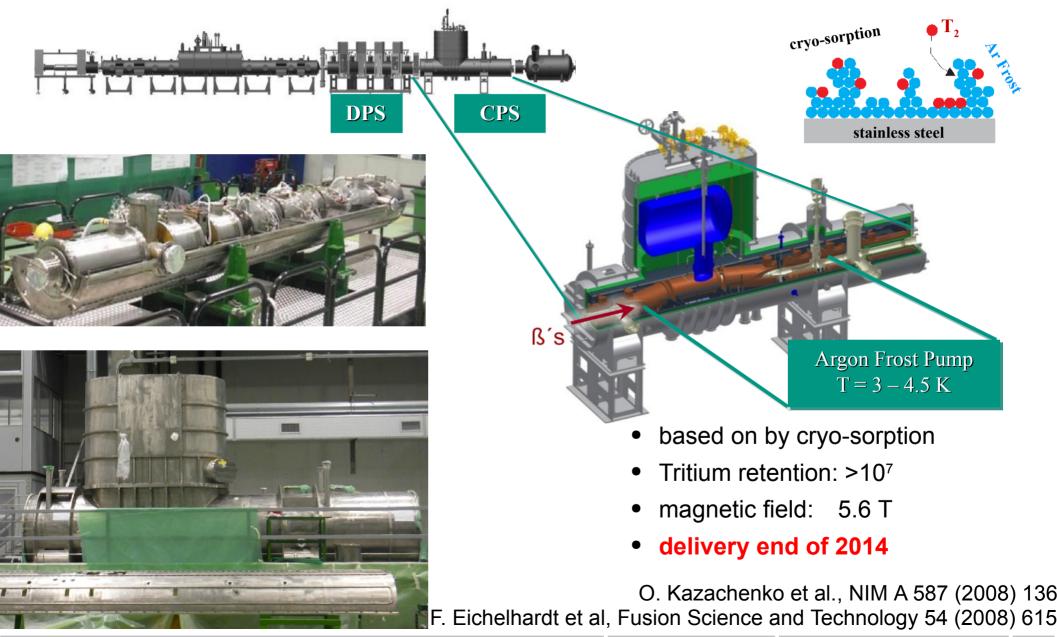
- old cryostate safety system failed
   → had to build new differential
   pumping section
- based on simple warm beam-tube and pump port design surrounded by superconducting warm-bore magnets
- S. Lukic et al., Vacuum 86 (2012) 1126



- active pumping: 4 TMPs
- Tritium retention: 10<sup>5</sup>
- magnetic field: 5.6 T
- under construction, full delivery mid 2014

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# Transport and differential & cryo pumping sections



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# **Tritium source systematics**

#### Sensors & calibration:

- Tritium activity: x-ray detector
- Tritium purity: Laser Raman spectroscopy LARA
- WGTS stabilization: temperature & pressure stab.
- Potential stabilization: rear wall with well-defined work fcn
- Column density: energy loss measurement with e-gun
- Beam intensity: forward beam monitor
- Energy loss and response function: e-gun, <sup>83m</sup>Kr source

40

30

0

10

30

20

x/mm

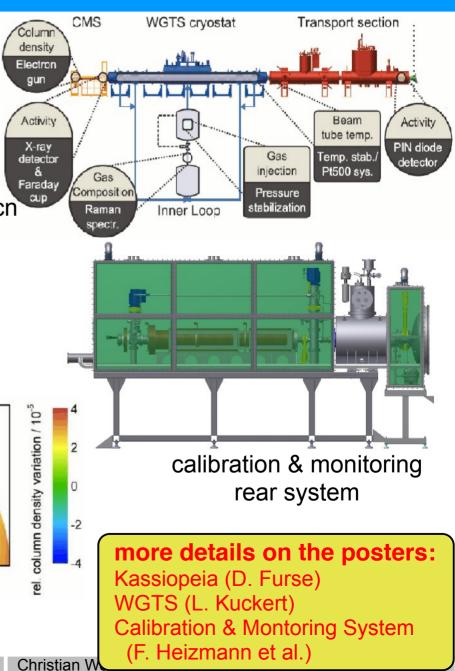
40

mu/ 20

#### Simulations:

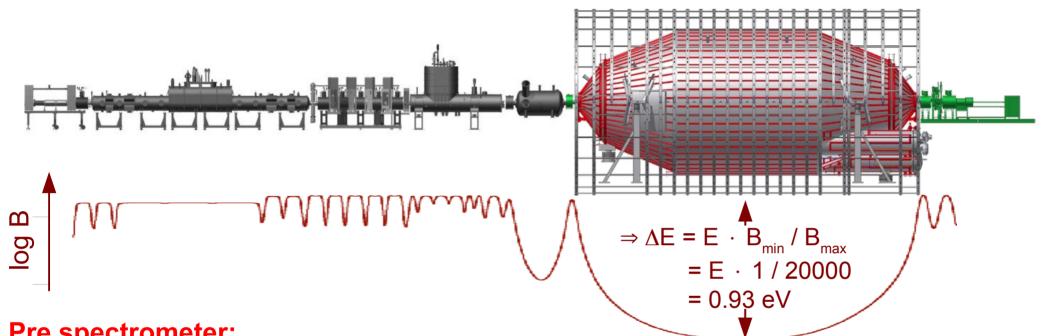
Gas dynamics

- Beta spectrum
- ray-tracing of betas with KASSEIPEIA 10
- M. Babutzka et al., NJP 14 (2012) 103046 <sup>0</sup> M. Schlösser et al., Anal. Chem., 85 (2013) 2739 S. Grohmann et al., Cryogenics 55 (2013) 5





# **KATRIN** spectrometers



#### **Pre spectrometer:**

- successful tests & developments of new concepts

#### Main spectrometer:

- huge size: 10m diameter, 24m length 1240 m<sup>3</sup> volume, 690 m<sup>2</sup> inner surface
- ultra-high vacuum:  $p = O(10^{-11} \text{ mbar})$
- ultra-high energy resolution:  $\Delta E = 0.93 eV$
- vacuum vessel on precise high voltage (ppm precision)





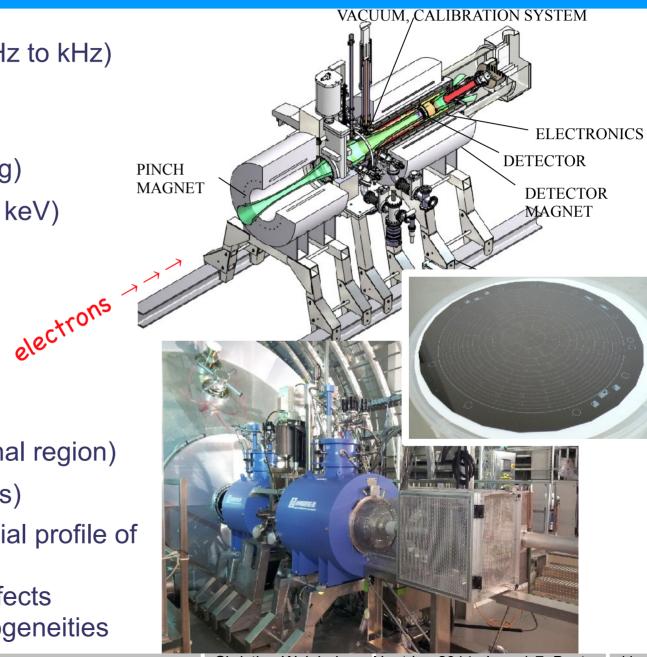
# The detector

#### Requirements

- detection of  $\beta$ -electrons (mHz to kHz)
- high efficiency (> 90%)
- low background (< 1 mHz) (passive and active shielding)
- good energy resolution (< 1 keV)</li>

#### **Properties**

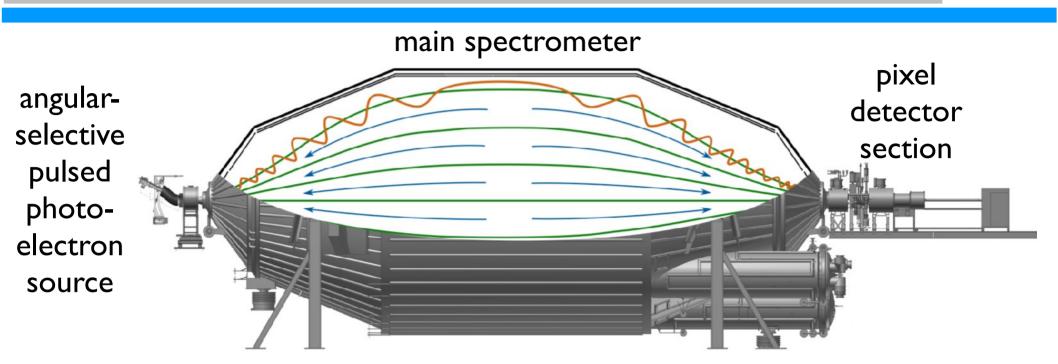
- 90 mm Ø Si PIN diode
- thin entry window (50nm)
- detector magnet 3 6 T
- post acceleration (30kV) (to lower background in signal region)
- segmented wafer (148 pixels)
  - → record azimuthal and radial profile of the flux tube
  - $\rightarrow$  investigate systematic effects
  - $\rightarrow$  compensate field inhomogeneities



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# Main spectrometer and detector commissioning – objectives



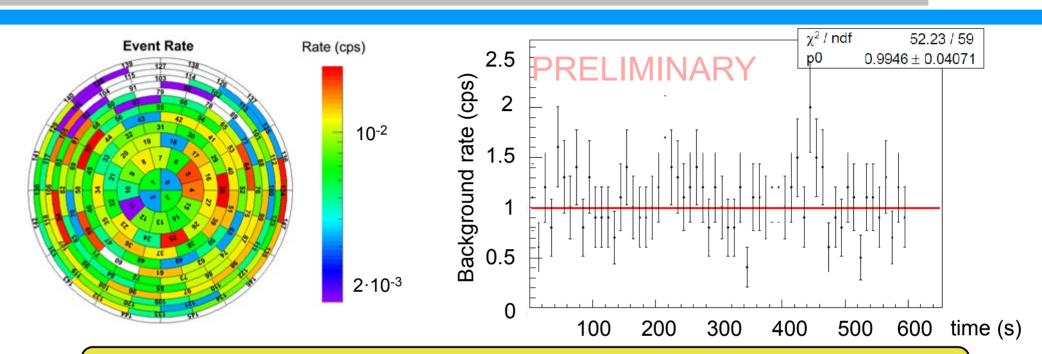
#### **Primary objectives:**

- test of individual hardware, software and slow control components
- provide ultra high vacuum conditions at the  $p\,\approx\,10^{\text{--}11}$  mbar level
- detailed understanding of the transmission properties of this MAC-E-Filter (E = 18.6 keV with  $\Delta$ E = 0.93 eV resolution) and compare to simulation with Kasseiopeia
- detailed understanding and passive & active control of background processes

First switch on with full high voltage WILHELMS-UNIVERSITÄT on August 13/14, 2013

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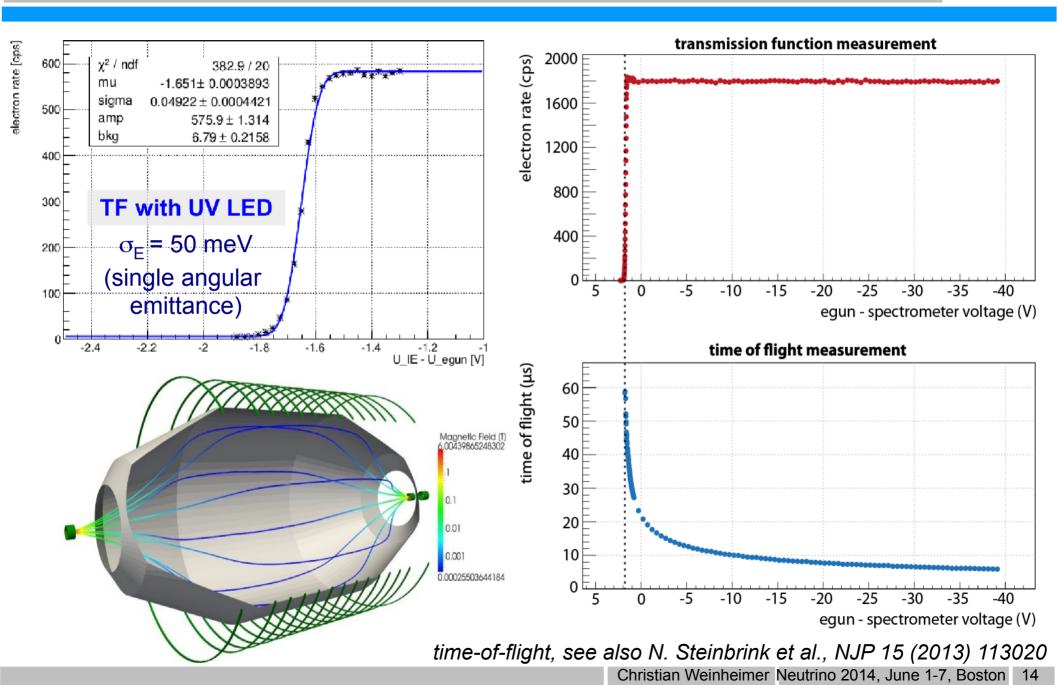
Could switch on main spectrometer without large background rate all other MAC-E-Filters (Troitsk, Mainz, KATRIN pre spectrometer) exhibited rates >  $10^5$  cps when switched on for the first time  $\rightarrow$  No large Penning traps (advanced KATRIN design works)

This first measurement without wire electrode on screening potential, LN<sub>2</sub> baffles cold and active counter measures against stored electrons

But still KATRIN requires a background rate of **10<sup>-2</sup> cps** 

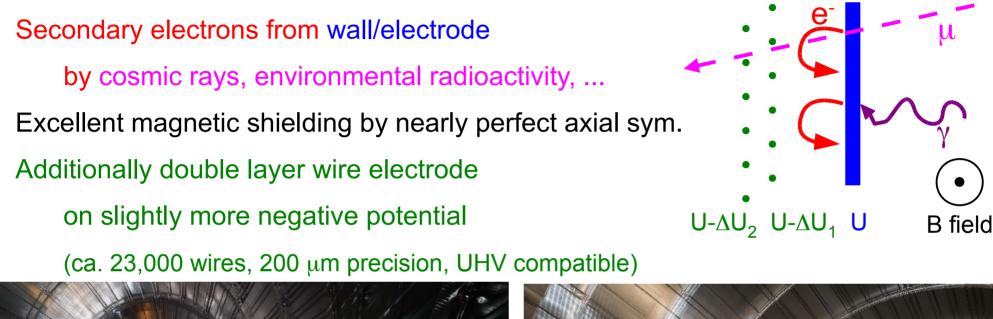


# Commissioning of main spectrometer and detector





# Suppress secondary electron background from walls on high potential







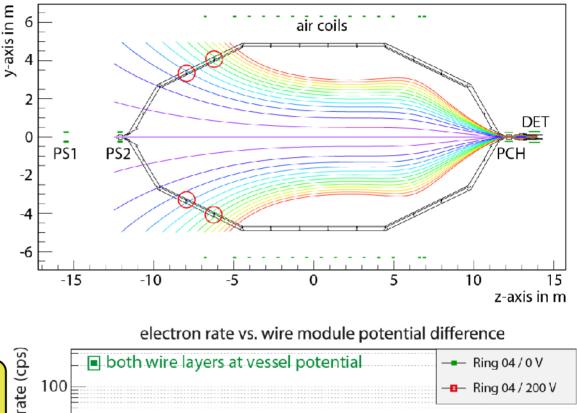
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# Background suppression by dual layer wire electrode

6 electric shorts between layer 1 and layer 2 of electrode system due to out-baking

→ test wire electrode shielding
 by applying asymmetric B-fields
 switching off magnetic shielding

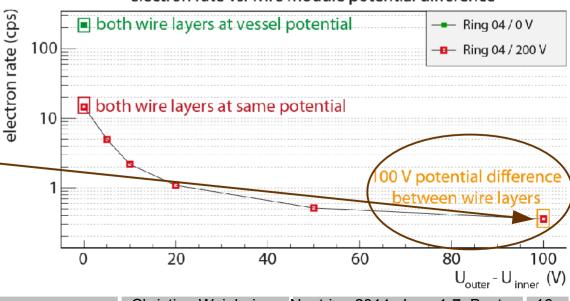


Secondary electrons from wall

a lot, but screend by wire electrode
dual wire electrode system is
order of magnitude more efficient

April 2014:

electric shorts in central cylindrical
part of wire electrode removed !



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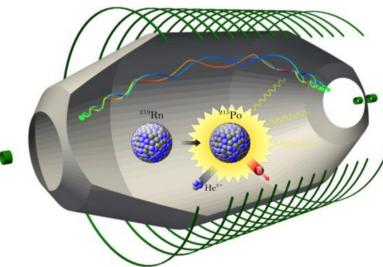
# Secondary electron background from radon decays in the volume

<sup>219,220</sup>**Rn emanation** mainly from SAES getter pumps (zirconium vanadium iron alloy)

conversion, Auger, shake-off electrons can get stored my magnetic mirror effect

#### background process continues:

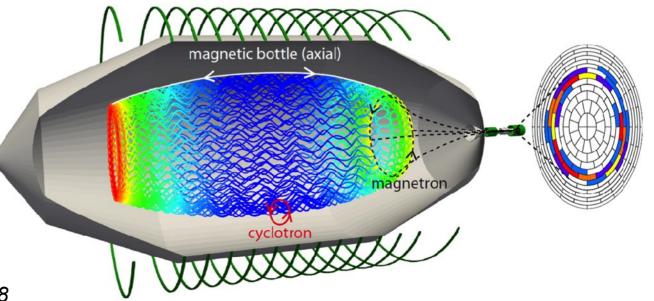
- ionization of residual gas  $\rightarrow$  secondary electrons
- primary electron energies: 100 eV < E < 500 keV
- up to 5000 secondary electrons per stored primary
- significant background increase for hours





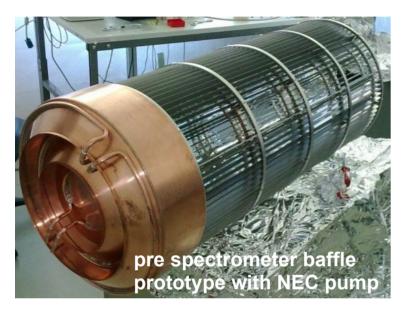
rapid cyclotron motion intermediate axial oscillation slow magnetron drift

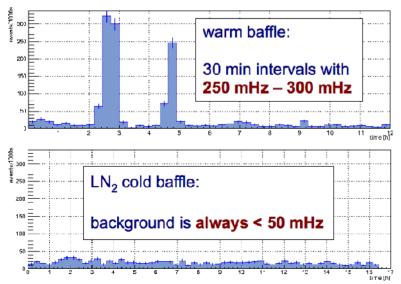
F. Fränkle et al., APP 35 (2011) 128 S. Mertens et al., APP 41 (2012) 52 N. Wandkowsky et al., NJP 15 (2013) 8





# Radon elimination by LN<sub>2</sub>-cooled baffles in the pre & main spectrometer





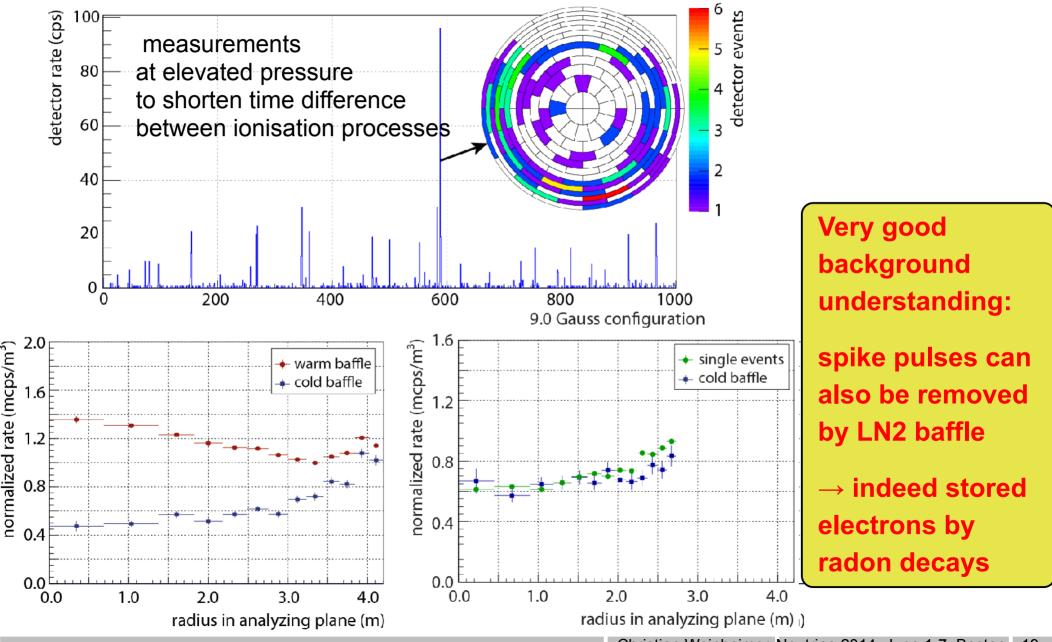
successful application at pre spectrometer







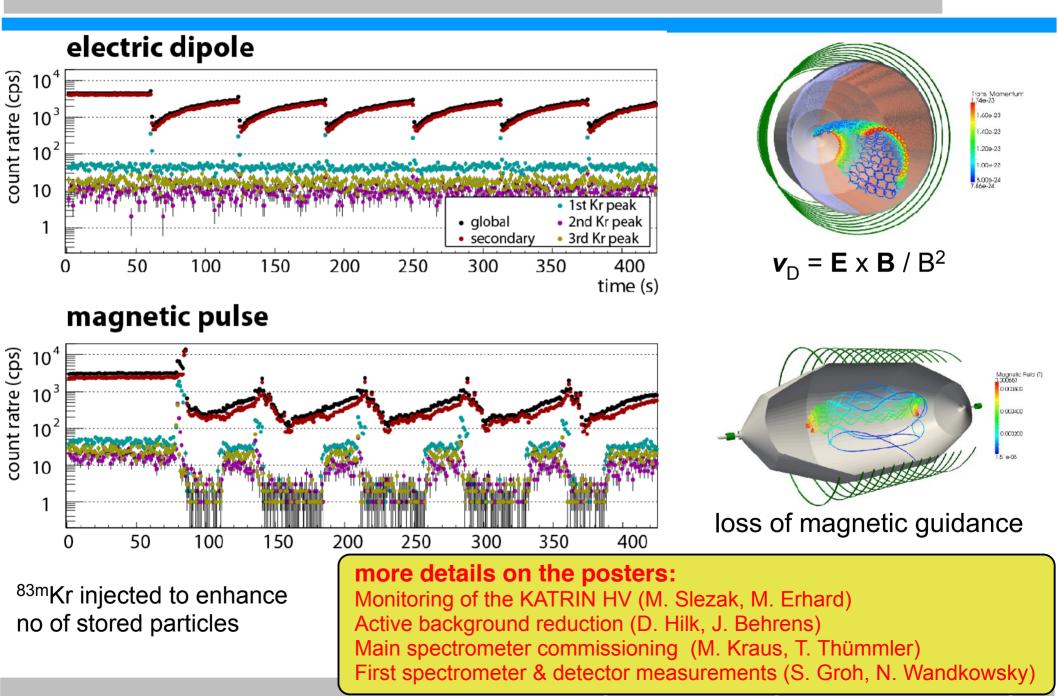
# Understanding the background: Radon and other background sources



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# Active stored particle removal by electric dipole and magnetic zeroing





As smaller m(v) as smaller the region of interest below endpoint  $E_0$  $\rightarrow$  quantum mechanical thresholds help a lot !

#### A few contributions with $\Delta m_v^2 \leq 0.007 \text{ eV}^2$ each:



- dedicated e-gun measurements, unfolding of response fct.

- 2. fluctuations of WGTS column density (required < 0.1%)
  - rear detector, Laser-Raman spectroscopy, T=30K stabilisation, e-gun measurements

3. WGTS charging due to remaining ions (MC:  $\phi$  < 20mV)

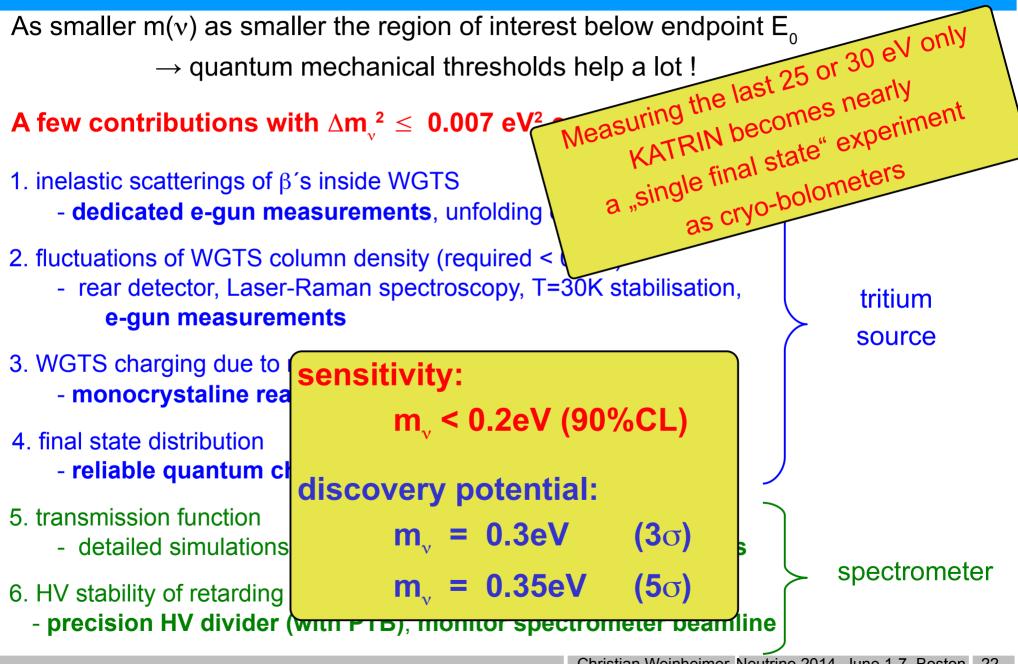
- monocrystaline rear plate short-cuts potential differences
- 4. final state distribution
  - reliable quantum chem. calculations
- 5. transmission function
  - detailed simulations, angular-selective e-gun measurements
- 6. HV stability of retarding potential on ~3ppm level required
  - precision HV divider (with PTB), monitor spectrometer beamline

tritium source

spectrometer



# **Systematic uncertainties**

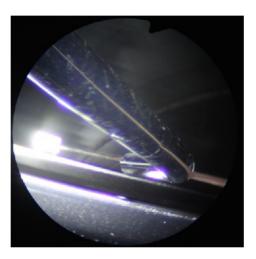




# **KATRIN** time line

#### - Commissioning spectrometer & detector phase 2

- \* dual layer wire electrode (in central part at least)
- \* better egun
- \* better alignment
- \* better high voltage settings
- \* full magnetic zeroing
- \* full operational LN<sub>2</sub> baffles
- \* electrical heated NEG pumps



#### Q3+4/2014



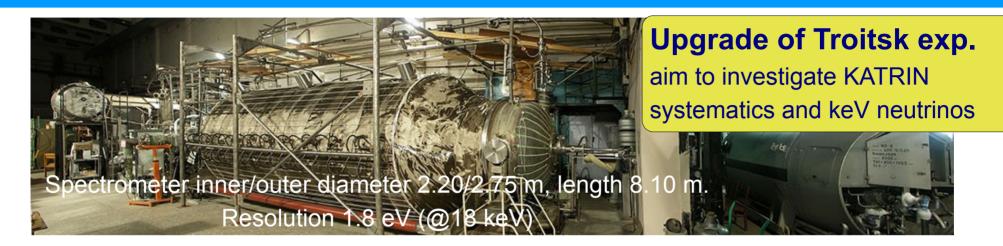
- Tritium retention units DPS and CPS functional	Q2/2015
- Tritium source WGTS final mounting completed	mid-2015
- Spectrometer upgrade completed	Q3/2015
- All source elements & tritium loops integrated	Q4/2015
- First tritium in source, ramp up to nominal ρd	Q1-Q2/201
- First tritium data with entire beam line	mid-2016

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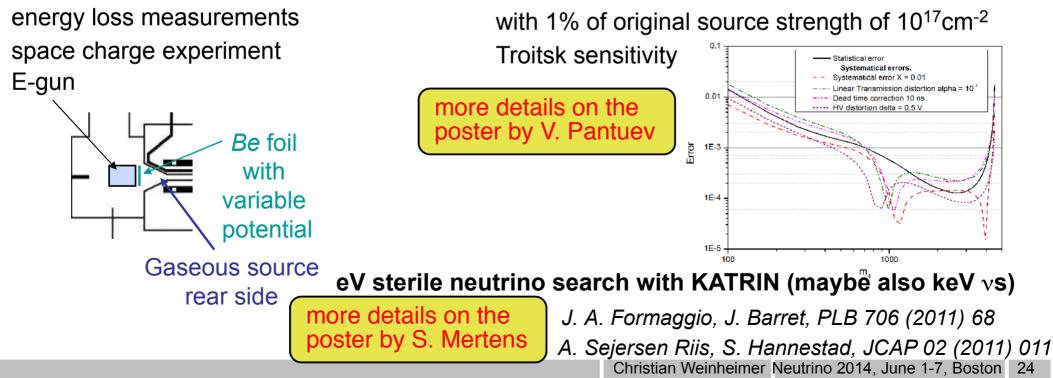


## Upgraded Troitsk nu mass setup and sterile neutrinos search



#### For KATRIN:

#### Sterile neutrino search up to $m(v_4) = 5 \text{ keV}$





# Can KATRIN be largely improved ? Problems to be solved

# The source is already opaque → need to increase size transversally magnetic flux tube conservation requests larger spectrometer too but a Ø100m spectrometer is not feasible

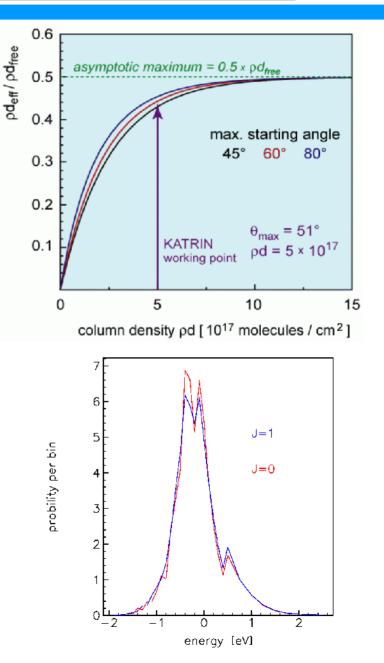
#### Three possible ways out:

a) source inside detector using cryogenic bolometers (ECHo, HOLMES) (see talk by L. Gastaldo)

b) hand-over energy information of  $\beta$  electron to other particle (radio photon), which can escape tritium source (Project 8)

c) make better use of the electrons  $\rightarrow$  time-of-flight spectroscopy

2) Resolution is limited to  $\sigma$  = 0.34 eV when using molecular tritium by the excitation of ro-vibrational states in the final state





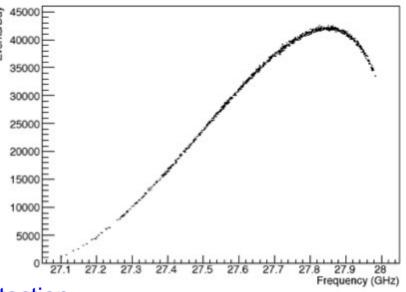
# Project 8's goal: Measure coherent cyclotron radiation of tritium $\beta$ electrons

#### General idea:

B. Monreal and J. Formaggio, PRD 80 (2009) 051301

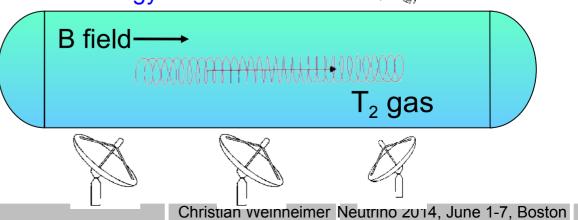
• Source = KATRIN tritium source technology :

uniform B field + low pressure T<sub>2</sub> gas  $\beta \text{ electron radiates coherent}$  cyclotron radiation  $\omega(\gamma) = \frac{\omega_0}{\gamma} = \frac{eB}{K+m_e}$ 



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 Antenna array (interferometry) for cyclotron radiation detection since cyclotron radiation can leave the source and carries the information of the β-electron energy



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# Project 8's phase 1 goal: Detect single electrons from <sup>83m</sup>Kr



	Timeline	Scientific Goal	Source	R&D Milestone
Phase I	2010-2014	Proof of principle; Kr spectrum	<sup>83m</sup> Kr	Single electron detection
Phase II	2014-2016	T-He mass difference	T2	Tritium spectrum; calibration and error studies
Phase III	2016-2018	0,2 eV scale	T2	
Phase IV	2018+	0,05 eV scale	T	High rate sensitivity



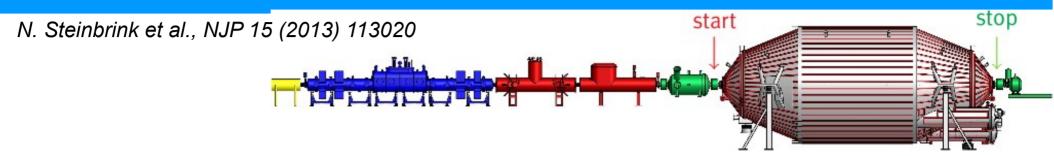
#### A lot of R&D necessary

- Is it really possible ?
- What are the systematic
  - uncertainties & other limitations?

more details on the poster 134 by N. Oblath

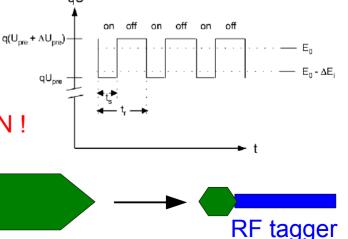


### Alternative spectroscopy: measure time-of-flight through KATRIN spectrometer



Advantage: measure full  $\beta$ -spectrum by time-of-flight at one (a few) retarding potential

- Stop: Can measure time-of-arrival with KATRIN detector with  $\Delta t$  = 50 ns  $\rightarrow$  ok
- Start: e<sup>-</sup>-tagger: Need to determine time-of-passing-by of e<sup>-</sup> before main spectrometer without disturbing energy and momentum by more than 10 meV:  $\rightarrow$  Need "detector" with 10 meV threshold seems not to be forbidden but unrealistic for the near future ! Added value: significant background reduction by coincidence !  $_{qU}$ 
  - or: Use pre spectrometer as a "gated-filter"
     by switching fast the retarding voltage
     → As sensitive on the neutrino mass as standard KATRIN !
  - or: Reduce pre spectrometer to a minimal small one, add a Project 8-type tagger within a long solenoid



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## **Summary**

#### KATRIN is the next generation direct neutrino mass experiment

with a neutrino mass sensitivity of 200 meV it looks also to sterile eV neutrinos (and maybe to keV neutrinos)

Main spectrometer & detector successfully commissioned (phase 1)

Tritium source and electron transport/tritium retention system on a good way

Start regular data taking in 2016 !

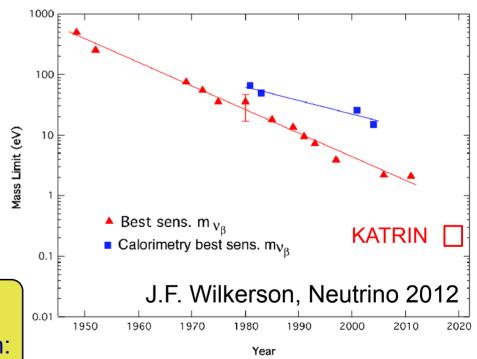
Troitsk nu mass setup upgraded: - helps KATRIN, own keV v program

Outlook to further improvements:

- Project 8: does it work ?
- Time-of-flight: how to realize e- tagging ?

#### **THANK YOU FOR YOUR ATTENTION !**

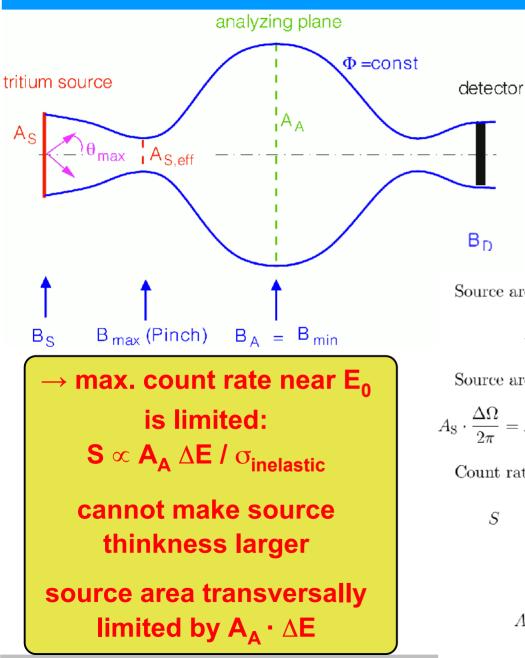
Many thanks to those who provided me information: G. Drexlin, J. Formaggio, N. Oblath, T. Thümmler, V. Pantuev, N. Titov







## **Enlarging the strength of the KATRIN tritium source ?**



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Adiabatic constant (local magnetic flux conservation):

$$const. = \gamma \cdot \mu = rac{p_{\perp}^2}{2mB} \stackrel{non-rel.}{pprox} rac{E_{\perp}}{B}$$

Magnetic flux conservation:

$$\Phi = \int B \, \mathrm{d}A = B_S \cdot A_S = B_{\max} \cdot A_{\mathrm{S,eff}} = B_{\mathrm{A}} \cdot A_{\mathrm{A}}$$

Magnetic pinch effect:

$$\theta_{
m max} = \arcsin \sqrt{B_{
m S}/B_{
m max}}$$

Accepted solid angle:

$$\frac{\Delta\Omega}{2\pi} = 1 - \cos\theta_{\max}$$

Source area:

$$A_{\rm S} = A_{\rm S,eff} \cdot \frac{B_{\rm max}}{B_{\rm S}} = A_{\rm A} \cdot \frac{B_{\rm A}}{B_{\rm max}} \cdot \frac{B_{\rm max}}{B_{\rm S}} = A_{\rm A} \cdot \frac{\Delta E}{E} \cdot \frac{1}{\sin^2 \theta_{\rm max}}$$

Source area times acceptance solid angle:

$$A_{\rm S} \cdot \frac{\Delta \Omega}{2\pi} = A_{\rm A} \cdot \frac{\Delta E}{E} \cdot \frac{1 - \cos \theta_{\rm max}}{\sin^2 \theta_{\rm max}} = A_{\rm A} \cdot \frac{\Delta E}{E} \cdot \frac{1}{1 + \cos \theta_{\rm max}} \xrightarrow{A_{\rm S} \to \infty} A_{\rm A} \cdot \frac{\Delta E}{E} \cdot \frac{1}{2}$$

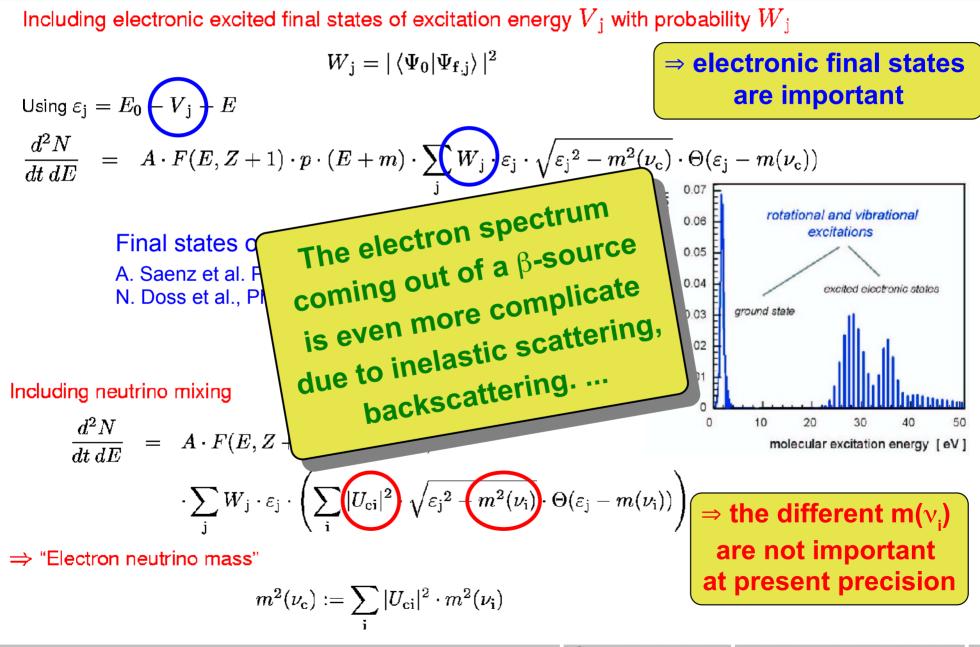
Count rate near endpoint (no inelastic scattering):

$$S = A_{\rm S} \cdot \frac{\Delta \Omega}{2\pi} \cdot a \cdot \varepsilon_{\rm T} \cdot \rho d \cdot P_0(\rho d, \theta_{\rm max})$$

$$= A_{\rm A} \cdot \frac{\Delta E}{E} \cdot a \cdot \varepsilon_{\rm T} \cdot \underbrace{\frac{\rho d \cdot P_0(\rho d, \theta_{\rm max})}{1 + \cos \theta_{\rm max}}}_{:= (\rho d)_{\rm eff}}$$

$$A_{\rm S, d \to \infty} \underbrace{\underbrace{A_{\rm A}}_{cross \ section \ of \ spectrometer}} \cdot \underbrace{\underbrace{\frac{\Delta E}{E}}_{energy \ resolution}} \cdot a \cdot \varepsilon_{\rm T} \cdot \underbrace{\frac{\rho d_{free}}{2}}_{1/(2\sigma_{inclastic})}$$

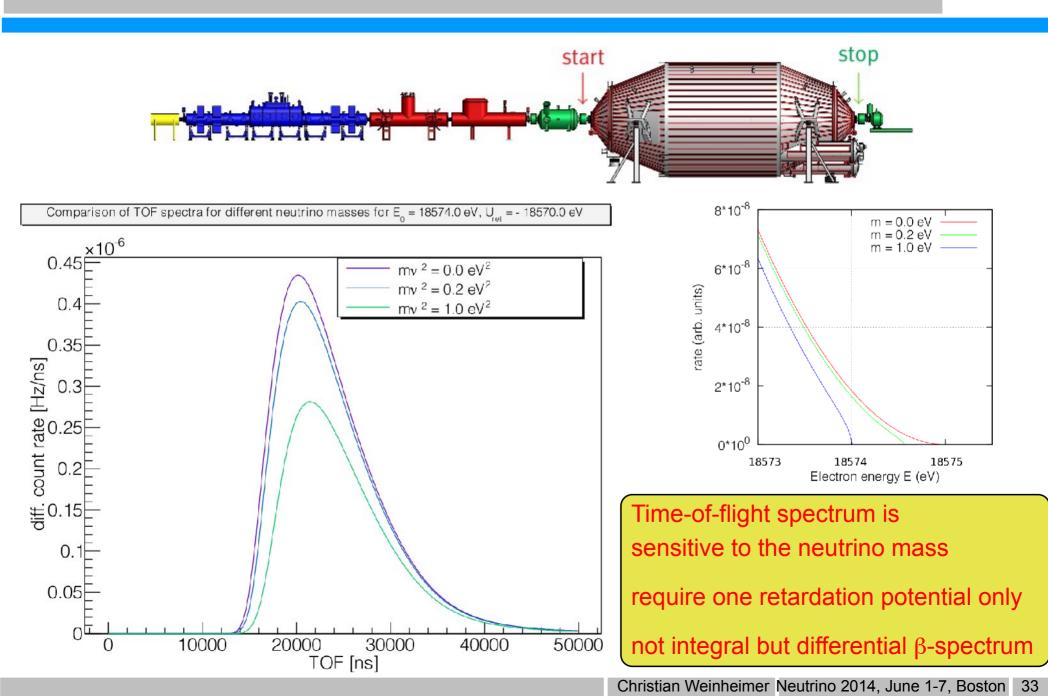
#### Summary: β-spectrum Westfälische incl. electronic final states + v mixing WILHELMS-UNIVERSITÄT



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#### Alternative spectroscopy: measure time-of-flight TOF through KATRIN spectrometer

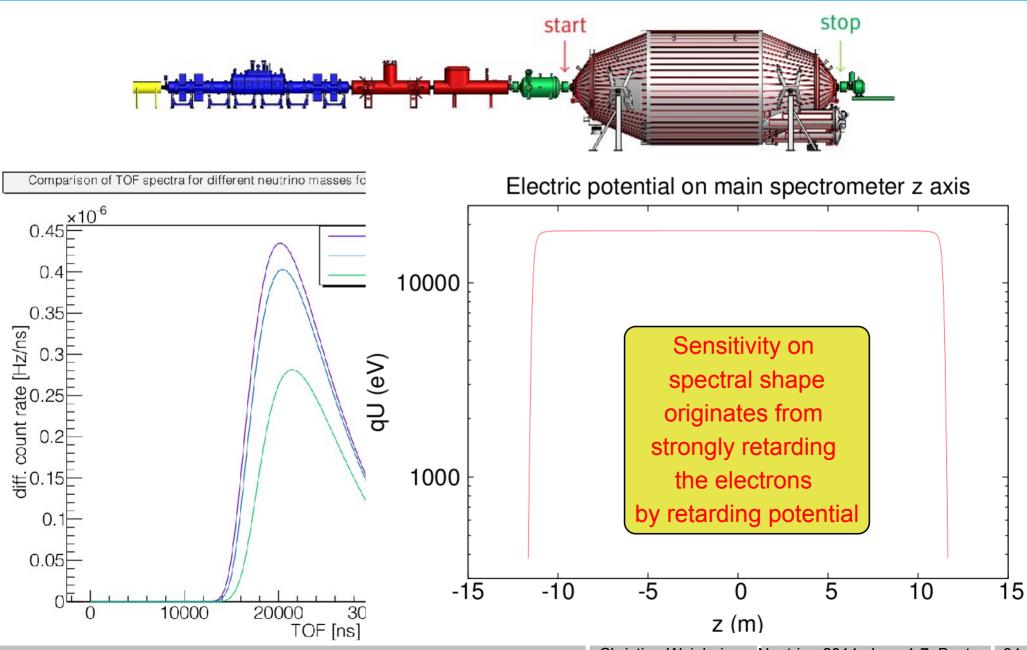
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#### Alternative spectroscopy: measure time-of-flight TOF through KATRIN spectrometer

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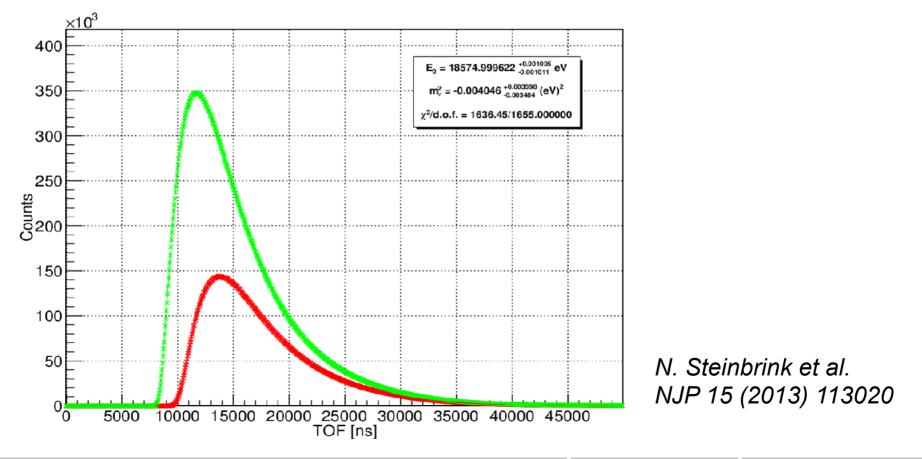


# Sensitvity improvement on $m^2(v_e)$ by ideal TOF determination

Measure at 2 (instead of  $\approx$ 30) different retarding potentials since TOF spectra contain all the information

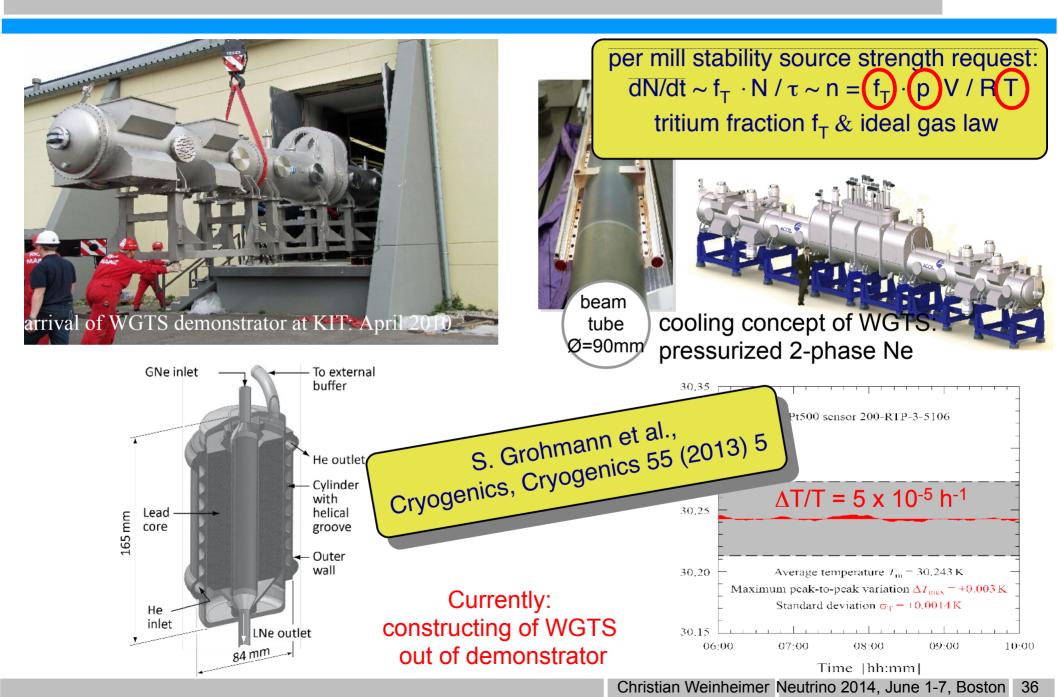
Coincidence request between start and stop signal  $\rightarrow$  nice background suppression

 $\rightarrow$  Factor 5 improvement in m<sup>2</sup><sub>v</sub> w.r.t. standard KATRIN, but ideal case !



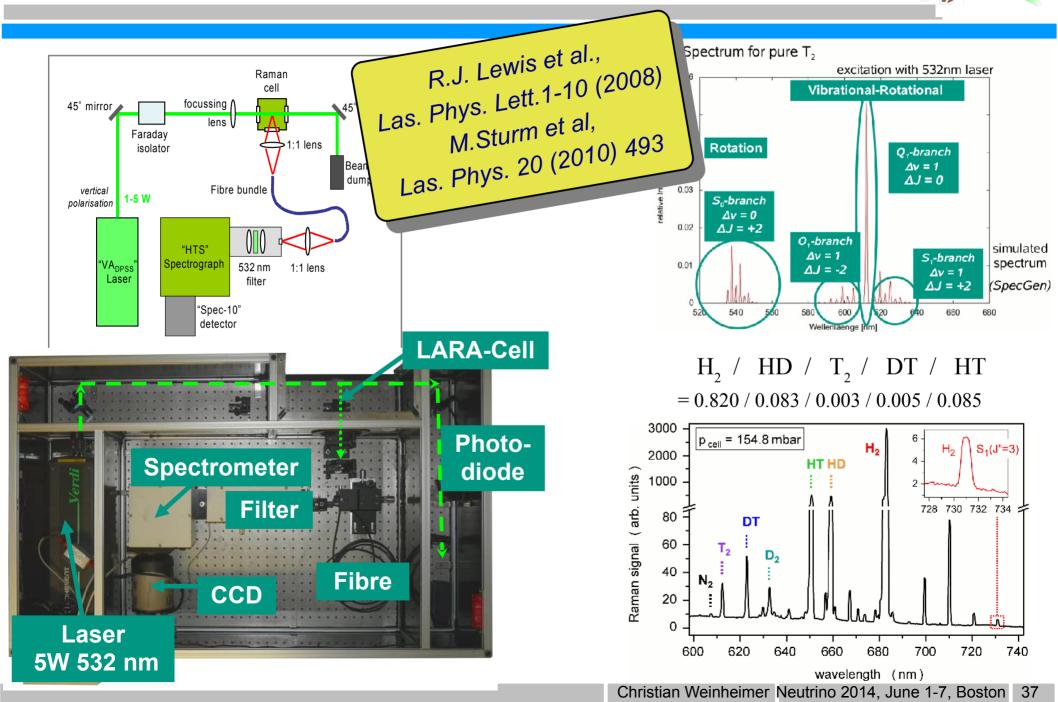


# Very successful cool-down and stability tests of the WGTS demonstrator



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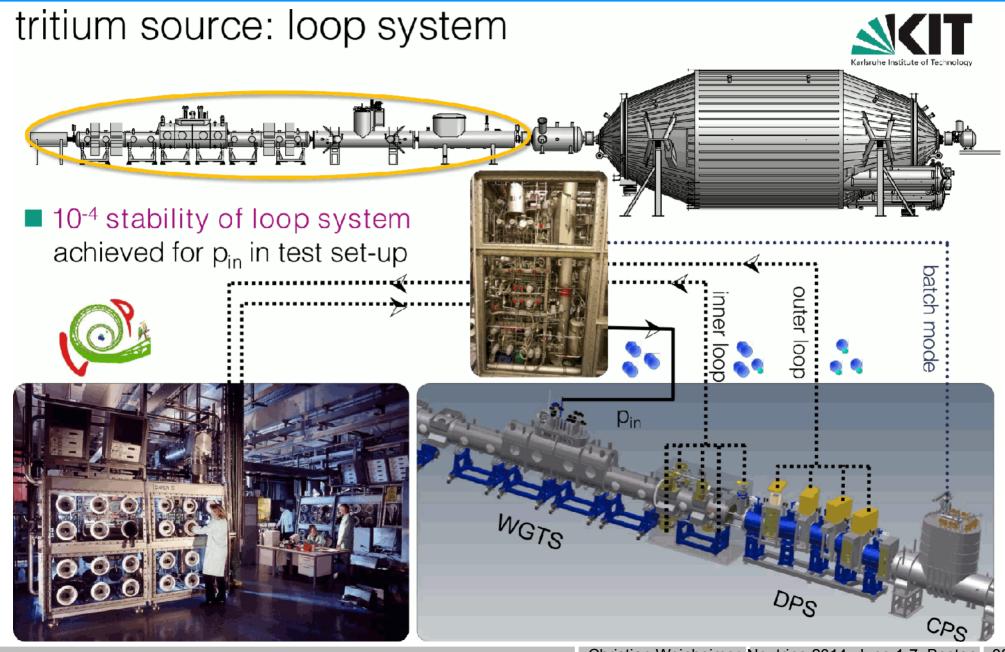
# Measurement of tritium concentration by laser Raman spectroscopy



<u>-</u>



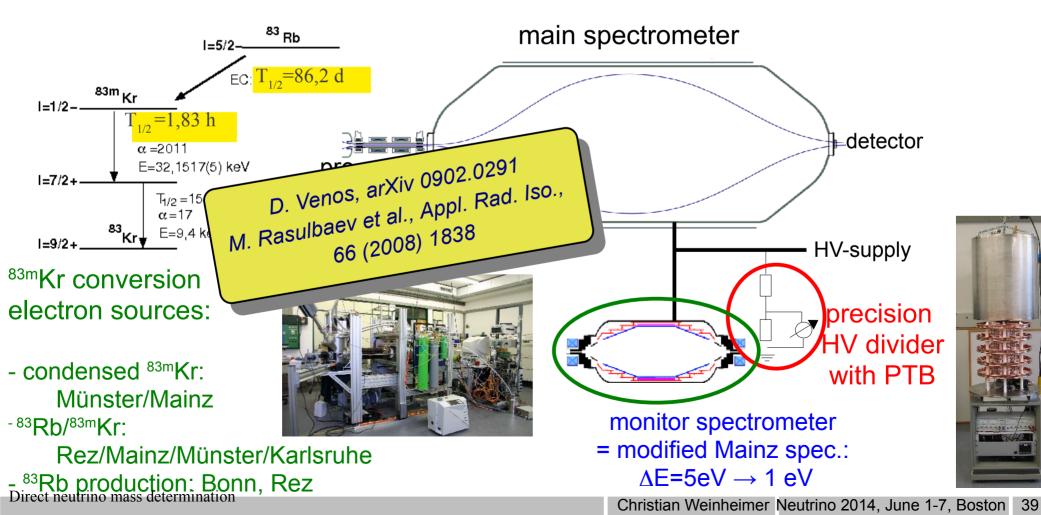
## Tritium loops at Tritium Laboratory Karlsruhe



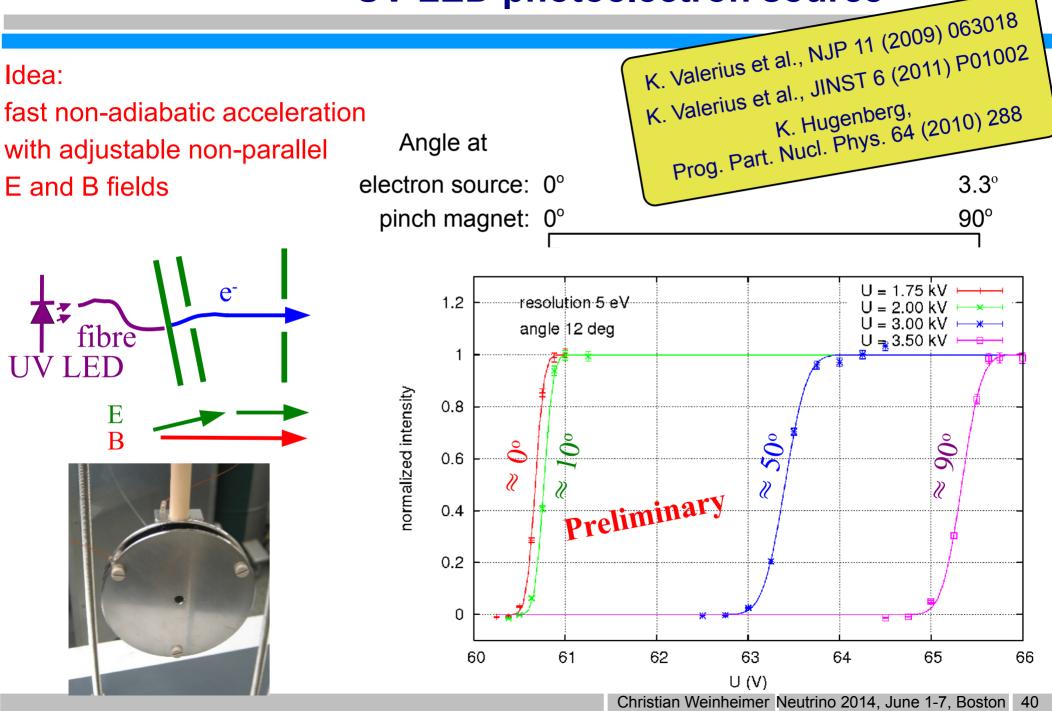


# Stability of retarding potential / energy calibration: ppm at 18.6 kV

- Measure HV by precision HV divider
- Lock retarding HV by measuring energetically well-defined electron line with monitor spectrometer



## A new pulsed angular-defined UV LED photoelectron source



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# UV LED photoelectron source for the main spectrometer

