

Lectures on Extra Dimensions

Part I

Eduardo Pontón

Columbia University

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Why Extra Dimensions (XD)?

- The idea that there are more than 3+1 dimensions has a long history...

...which I will not try to review... Sorry!

- Recent years have seen an outburst of activity in this field.

In a sense, the driving force has been *experimental*, namely the real possibility to test these ideas at colliders (or even through cosmological observations, DM searches, etc.)

- XD should be considered a framework (even a set of frameworks), which can be realized through many, many models...

Much as Quantum Field Theory relates to the Standard Model (SM)

Hopefully, experimental data will tell us if/which XD are realized in nature

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Hopefully, experimental data will tell us if/which XD are realized in nature

- To proceed, I will simply assume that there is interest in learning about these ideas.

Certainly, establishing experimentally the existence of XD would be revolutionary!

- I will not try to answer the question of this slide *now*, but rather as we go along...

Can we live in more than 4D?

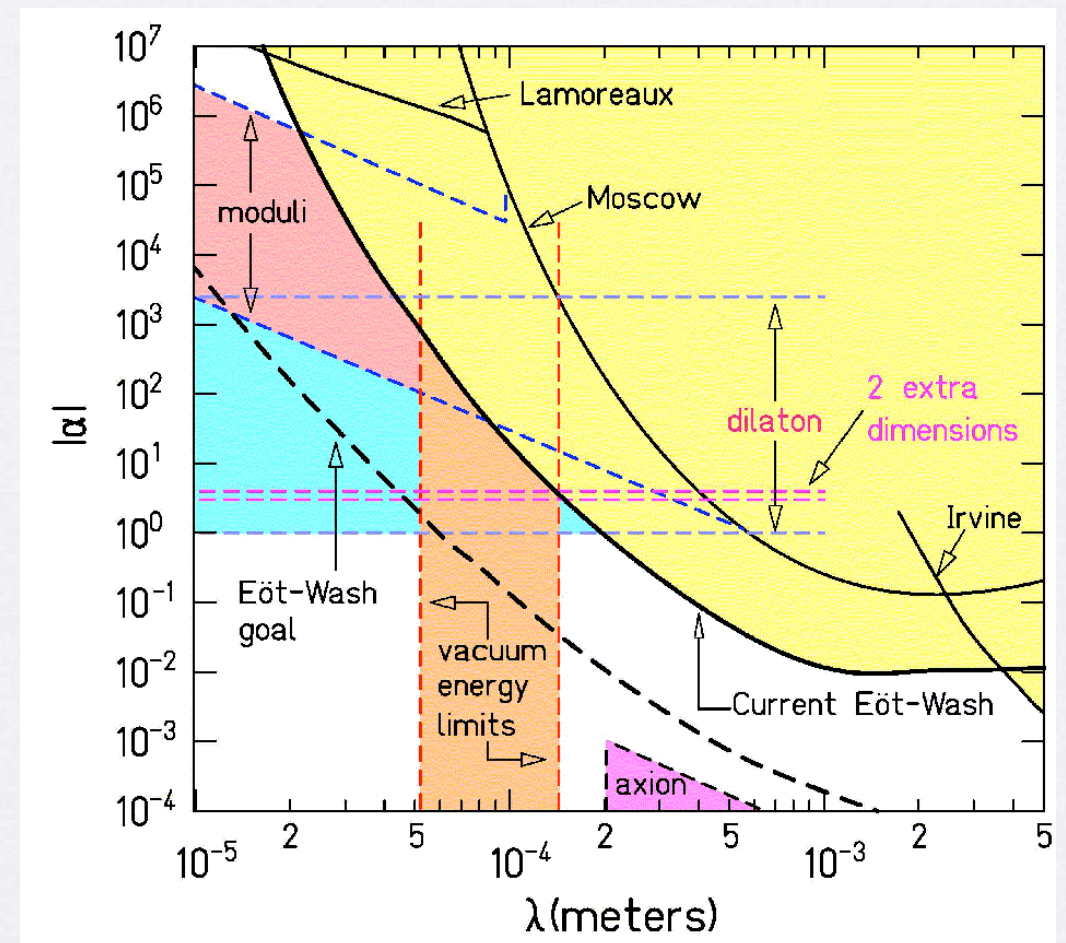
- We perceive (either through our senses or experimentally) 3+1 dimensions
- Whether or not there could be more dimensions depends on how we can probe them

- *Gravitationally*: deviations from Newton's inverse square law tell us $R < 160 \mu\text{m}$

If these dimensions are cousins of the ones we see (geometric description via GR), we expect that gravity would always see them!

- If they can be probed by SM particles, constraints much tighter: we have probed distances of order 10^{-18} m [$\sim (100 \text{ GeV})^{-1}$]

The SM is a 4D theory, and it works!



We would not have seen XD much smaller than this. Can they be lurking around?

My approach in these Lectures

- Can't be exhaustive... will have to leave many interesting topics out

Concentrate on XD at the TEV scale

(i.e. those that can in principle be probed in an environment like the LHC)

- Start by discussing basics, highlighting properties of general applicability
- Illustrate with the physics of a couple of examples (probably a biased exposition)
- Cover some phenomenological consequences (collider, radion, dark matter...)

Plan

PART I

- General theoretical remarks
 - The Kaluza-Klein decomposition
 - Boundary conditions
 - Localization in the extra dimensions
- Extra Dimensions at the TeV scale: two categories (examples)
 - Flat Extra Dimensions
 - Warped Extra Dimensions

- ## PART II
- Dynamical breaking of the Electroweak (EW) symmetry
 - The Radion
 - Dark matter
 - Collider Phenomenology

The Kaluza-Klein Decomposition

(or how compact dimensions are different)

- Compact dimensions involve a scale: size/volume of the extra dimension(s) \rightarrow “ R ”
- Two equivalent descriptions are possible, and have different domains of usefulness:

1) At scales large or comparable to R

A 4D language is appropriate \longrightarrow The concept of Kaluza-Klein (KK) modes

2) At scales small compared to R

Higher-D language better \longrightarrow

- Emphasis on higher-D spacetime structure
- Take into account effects of all KK modes at once
- E.g. useful to understand structure of divergences

- In most applications, we (would) be interested in the KK mode language
 - Easy to obtain low-energy description (it better describe physics as well as the SM does)
 - Relevant description of new physics at colliders

The Kaluza-Klein Decomposition

(or how compact dimensions are different)

Quantum fields in 4+n dimensions:

$$\Phi(x^\mu, y^i) \quad (\mu = 0, 1, 2, 3; \quad y^i \text{ parametrize compact space})$$

Go to “Fourier” space, except *momentum* not necessarily a good quantum number in the XD

The point is: we can expand any function in any *complete* set of functions $\{f_n(y^i)\}$

$$\Phi(x^\mu, y^i) = \frac{1}{\sqrt{V}} \sum_n \phi^{(n)}(x^\mu) f_n(y^i)$$

└ “n-th KK mode”

Life is easier if the basis is orthonormal:

$$\langle f_n | f_m \rangle = \delta_{nm} \longrightarrow$$

Allows to think of the $\phi^{(n)}$
as “independent” d.o.f.

normally defined in
terms of an integral

└

The Kaluza-Klein Decomposition

(or how compact dimensions are different)

How do we choose a convenient basis? \rightarrow Depends on the model in question

In general: “perturbation theory philosophy”

- Understand free part of the theory, add interactions later...
- Free (quadratic) part defines a differential operator, e.g.

$$\int d^4x d^n y \frac{1}{2} (\partial_N \Phi \partial^N \Phi - M^2 \Phi^2) = - \int d^4x d^n y \frac{1}{2} \Phi (\square + M^2) \Phi$$

- Use the *eigenfunctions* of the XD part of the free differential operator

$$(\partial_i \partial^i + M^2) f_n = m_n^2 f_n \quad \left\{ \begin{array}{l} \text{linear PDE (this we can solve)} \\ \text{impose appropriate boundary conditions} \\ \text{(should regard as part of the definition of the theory)} \end{array} \right.$$

Mathematical upshot: define a “self-adjoint” problem \rightarrow orthonormality, completeness

The Kaluza-Klein Decomposition

(or how compact dimensions are different)

Now replace $\Phi(x^\mu, y^i) = \frac{1}{\sqrt{V}} \sum_n \phi^{(n)}(x^\mu) f_n(y^i)$ back in the Lagrangian:

$$- \int d^4x d^n y \frac{1}{2} \Phi \left(\partial_\mu \partial^\mu + \partial_i \partial^i + M^2 \right) \Phi$$

The Kaluza-Klein Decomposition

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$$(\partial_i \partial^i + M^2) f_n = m_n^2 f_n$$

The Kaluza-Klein Decomposition

(or how compact dimensions are different)

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But $(\partial_i \partial^i + M^2) f_n = m_n^2 f_n$, plus b.c.'s implies $\frac{1}{V} \int d^n y f_n f_{n'} = \delta_{n, n'}$

The Kaluza-Klein Decomposition

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$$\begin{aligned}
 & - \int d^4x d^n y \frac{1}{2} \Phi (\partial_\mu \partial^\mu + \partial_i \partial^i + M^2) \Phi \\
 &= - \frac{1}{V} \int d^4x d^n y \sum_{n,n'} \frac{1}{2} \phi^{(n)} f_n \left[f_{n'} \partial_\mu \partial^\mu \phi^{(n')} + \phi^{(n')} (\partial_i \partial^i + M^2) f_{n'} \right] \\
 &= - \sum_{n,n'} \left(\frac{1}{V} \int d^n y f_n f_{n'} \right) \int d^4x \frac{1}{2} \phi^{(n)} (\partial_\mu \partial^\mu + m_{n'}^2) \phi^{(n')}
 \end{aligned}$$

But $(\partial_i \partial^i + M^2) f_n = m_n^2 f_n$, plus b.c.'s implies $\frac{1}{V} \int d^n y f_n f_{n'} = \delta_{n,n'}$

Physical upshot: the theory can be *rewritten* as

$$- \sum_n \int d^4x \frac{1}{2} \phi^{(n)} (\partial_\mu \partial^\mu + m_n^2) \phi^{(n)}$$

or... a free High-D scalar is equivalent to infinite 4D scalars with masses m_n^2 !

Boundary Conditions

It has been remarked that specifying the theory (physics) requires a choice of b.c.'s

We implicitly used this before: integrate by parts and discard surface terms (how convenient!)

(in 4D, the analogous assumption is that fields vanish sufficiently fast at ``infinity’’)

The issue can be turned around to ask: given an XD dimensional space,

What are the b.c.'s that preserve the previous nice properties?

- freely integrate by parts (convenient)
- self-adjointness (completeness, orthonormality, transparent physical interpretation)

The question can be answered systematically by considering arbitrary variations of the action

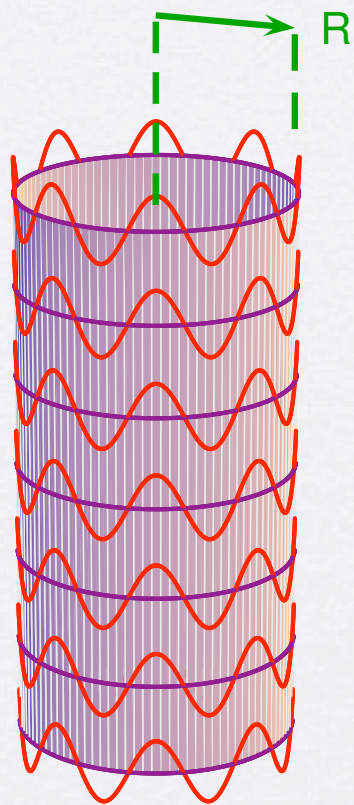
$$\text{Under } \delta\Phi \longrightarrow \delta S = \underbrace{\delta S_{\text{volume}}}_{\text{Eqs. of motion}} + \underbrace{\delta S_{\text{surface}}}_{\text{boundary cond.}}$$

Boundary Conditions (Examples)

Illustrate with a couple of relevant examples in one and two extra dimensions:

Compactification on a circle S^1

Periodic b.c.'s: $\Phi(y + 2\pi R) = \Phi(y)$



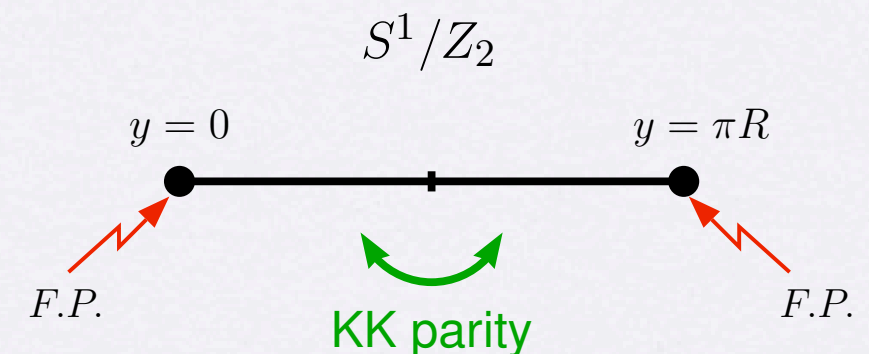
New quantum number
is simply p_5

If 5D field is massless:
KK masses $m_n = \frac{n}{R}$

Compactification on the "Interval"

(XD extends from $y = 0$ to $y = \pi R$)

B.c.'s at $y = 0$ and $y = \pi R$ are
Dirichlet, Neumann ...
or linear combinations

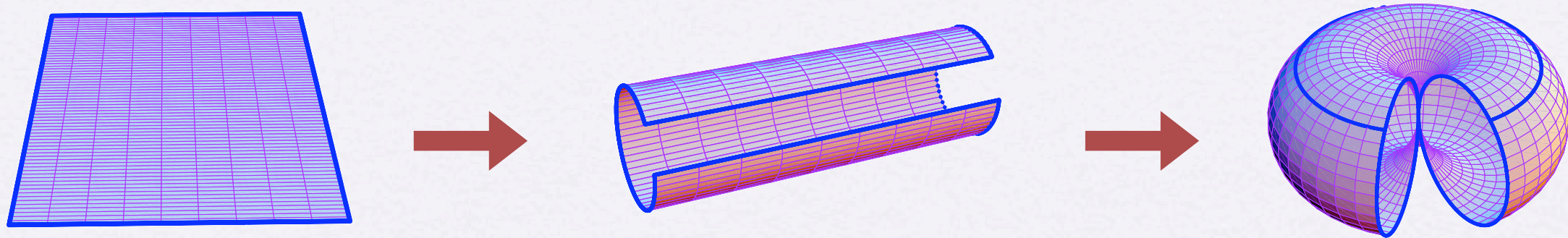


End points sometimes called
"Fixed points" or "branes"

Boundary Conditions (Examples)

Torus compactification (periodic b.c.'s):

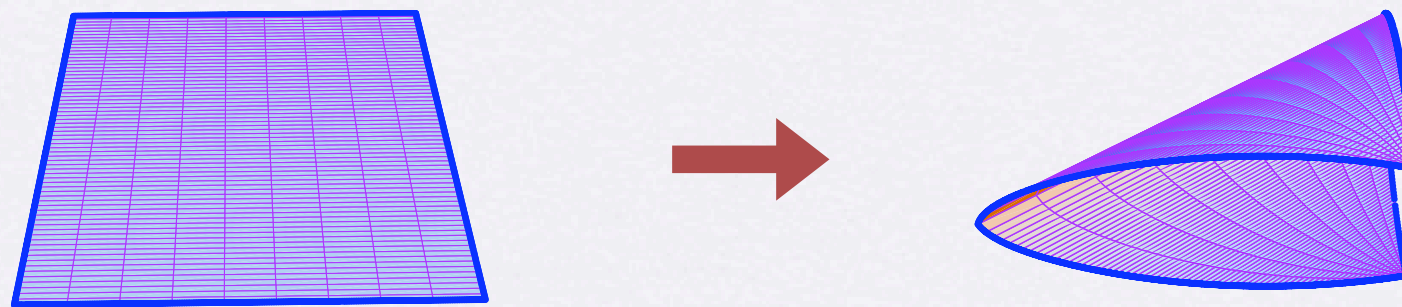
$$\Phi(x^4 + 2\pi R, x^5) = \Phi(x^4, x^5)$$

$$\Phi(x^4, x^5 + 2\pi R) = \Phi(x^4, x^5)$$


The ``Chiral Square``:

$$\Phi(y, 0) = e^{in\pi/2} \Phi(0, y)$$

$$\Phi(y, \pi R) = e^{in\pi/2} \Phi(\pi R, y)$$

$$\left. \begin{array}{l} \Phi(y, 0) = e^{in\pi/2} \Phi(0, y) \\ \Phi(y, \pi R) = e^{in\pi/2} \Phi(\pi R, y) \end{array} \right| \begin{array}{l} \partial_5 \Phi_{(x^4, x^5)=(y, 0)} = -e^{in\pi/2} \partial_4 \Phi_{(x^4, x^5)=(0, y)} \\ \partial_5 \Phi_{(x^4, x^5)=(y, \pi R)} = -e^{in\pi/2} \partial_4 \Phi_{(x^4, x^5)=(\pi R, y)} \end{array}$$


Zero-Modes

The KK decomposition can lead to 0-modes, i.e. solutions with $m_0 = 0$

- For 5D gauge fields (flat space), one finds:

$$f_n''(y) + 2f_n'(y) = -m_n^2 f_n(y) \quad \text{which is solved by} \quad \begin{cases} m_0^2 = 0 \\ f_0(y) = 1 \end{cases}$$

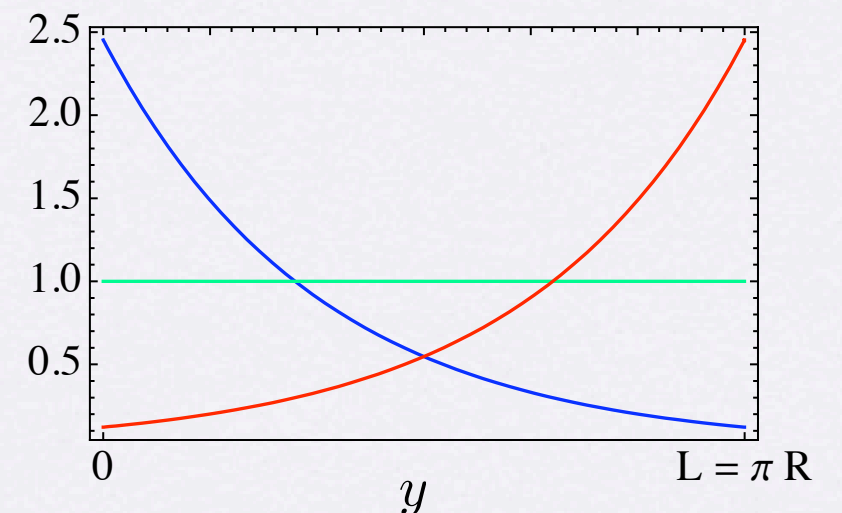
Flat wavefunction \rightarrow 4D gauge invariance

- For 5D fermion fields (flat space), one finds:

$$\left. \begin{aligned} f_{n,L}' - M f_{n,L} &= m_n f_{n,R} \\ -f_{n,R}' - M f_{n,R} &= m_n^* f_{n,L} \end{aligned} \right\} \quad \text{which are solved by} \quad \begin{cases} m_0 = 0 \\ f_0^{L,R}(y) = \sqrt{\frac{1 - e^{-2ML}}{2ML}} e^{\pm My} \end{cases}$$

These solutions may or may not be allowed by the b.c.'s

- 4D gauge symmetry can be (spontaneously) broken by b.c.'s
- Circle and Torus: allow both chiralities
- "Interval" and "Chiral Square": allow only one chirality



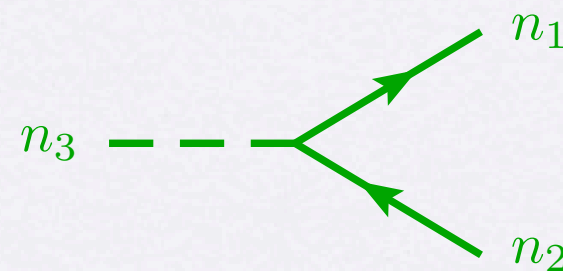
Interactions in KK Language

Having understood how to interpret a higher-D theory in 4D terms, we can consider interactions

- As long as these are perturbative, the physics can be understood in terms of KK modes
- In the free theory only the *spectrum* is observable. With interactions, the *wavefunctions* also become observable, since they determine the details of the interactions among KK modes, e.g.

$$\int d^4x d^n y \lambda_n \bar{\Psi} \Psi \Phi \rightarrow \sum_{n_1, n_2, n_3} \lambda_{n_1, n_2, n_3} \int d^4x \bar{\psi}^{(n_1)} \psi^{(n_2)} \phi^{(n_3)}$$

$$\lambda_{n_1, n_2, n_3} = \frac{\lambda_n}{V \sqrt{V}} \int d^n y f_{n_1} f_{n_2} f_{n_3}$$



Sometimes this integral obeys interesting selection rules, e.g. in 5D flat space on “interval”:

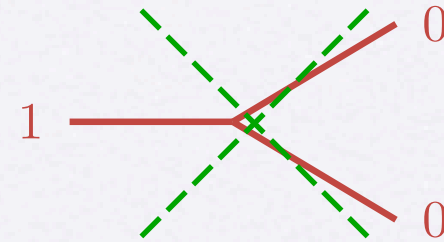
$$n_1 \pm n_2 \pm n_3 = 0$$

Hence, at tree-level, no KK mode can decay into 0-modes (a similar selection rule holds on the chiral square and cousins)

KK parity (and new stable particles)

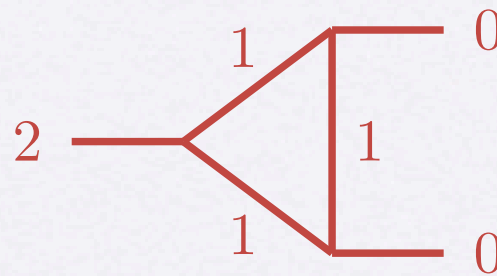
Compactification on flat spaces have a natural remnant of XD momentum conservation

At tree-level: all first-level KK modes are stable!



KK number n associated with the magnitude of XD momenta (conserved up to a sign)

But loops induce new interactions:

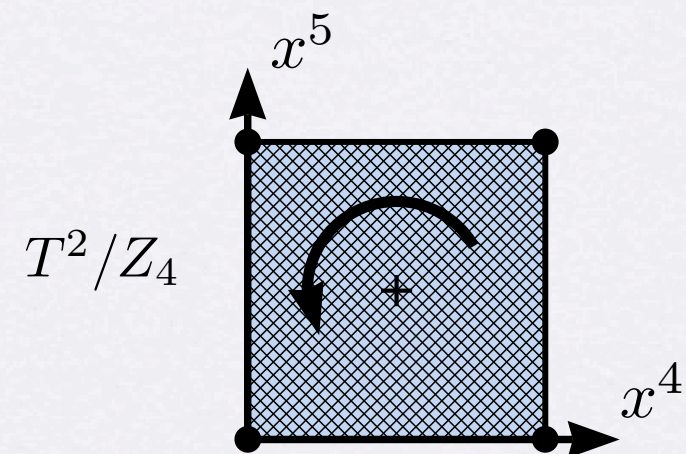
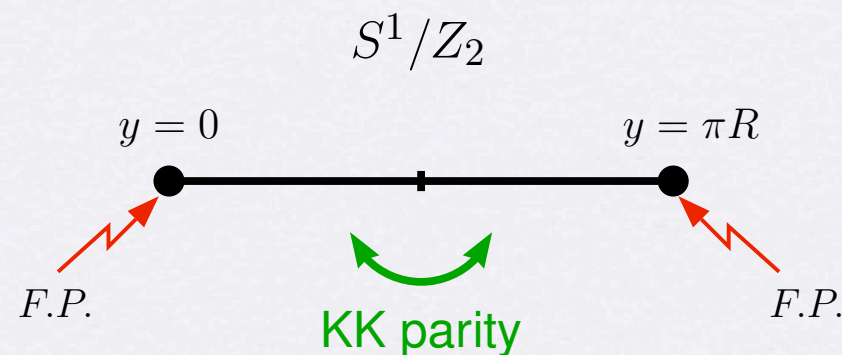


Can be interpreted as interactions localized at the fixed points

However, one can still have a discrete symmetry that makes the *lightest* 1st mode stable

$$\phi^{(n)} \rightarrow (-1)^n \phi^{(n)}$$

$$\phi^{(n_4, n_5)} \rightarrow (-1)^{n_4 + n_5} \phi^{(n_4, n_5)}$$



KK Decompositions in Warped Spaces

For 5D theories preserving 4D Lorentz invariance:

$$ds^2 = a^2(y) \eta_{\mu\nu} dx^\mu dx^\nu - dy^2$$

For scalars:

$$\Phi(x^\mu, y) = \frac{a(y)^{-1}}{\sqrt{L}} \sum_n \phi^{(n)}(x^\mu) f_n(y) \left\{ \begin{array}{l} \text{Eq. of motion:} \\ f_n'' + 2 \frac{a'}{a} f_n' - \left[\frac{a''}{a} + 2 \frac{a'^2}{a^2} + M^2 \right] f_n = -m_n^2 a^{-2} f_n \\ \text{Solution for } a(y) = e^{-ky} \text{ and bulk mass } M^2 = \left[c_s^2 + c_s - \frac{15}{4} \right] k^2: \\ f_n(y) = N_n e^{ky} \left[J_{|c_s+1/2|}(m_n e^{ky}/k) + b_n Y_{|c_s+1/2|}(m_n e^{ky}/k) \right] \end{array} \right.$$

For fermions:

$$\Psi_{L,R}(x^\mu, y) = \frac{a(y)^{-3/2}}{\sqrt{L}} \sum_n \psi_{L,R}^{(n)}(x^\mu) f_n^{L,R}(y) \left\{ \begin{array}{l} \text{Eqs. of motion:} \\ f_{n,L}' - (c_f - 1/2) \frac{a'}{a} f_{n,L} = m_n a^{-1} f_{n,R} \\ -f_{n,R}' - (c_f - 1/2) \frac{a'}{a} f_{n,R} = m_n^* a^{-1} f_{n,L} \\ \text{Solution for } a(y) = e^{-ky} \text{ and bulk mass } M = c_f k: \\ f_n(y) = N_n e^{ky} \left[J_{|c_f+1/2|}(m_n e^{ky}/k) + b_n Y_{|c_f+1/2|}(m_n e^{ky}/k) \right] \end{array} \right.$$

KK Decompositions in Warped Spaces

For gauge fields with a gauge fixing term $\frac{1}{2\xi} \{ \eta^{\mu\nu} \partial_\mu A_\nu - \xi \partial_y [a(y)^2 A_5] \}^2$:

$$A_\mu(x^\mu, y) = \frac{1}{\sqrt{L}} \sum_n A_\mu^{(n)}(x^\mu) f_n(y) \left\{ \begin{array}{l} \text{Eq. of motion:} \\ f_n''(y) + 2 \frac{a'}{a} f_n'(y) = -m_n^2 a^{-2} f_n(y) \\ \text{Solution for } a(y) = e^{-ky} : \\ f_n(y) = N_n e^{ky} [J_1(m_n e^{ky}/k) + b_n Y_1(m_n e^{ky}/k)] \end{array} \right.$$

All wavefunctions normalized according to:

$$\frac{1}{L} \int d^n y f_n f_{n'} = \delta_{n,n'}$$

These wavefunctions reflect the strength of the interactions at each point y

Boundary conditions fix the constants b_n and the spectrum m_n .

Using the Extra Real Estate

The low-energy physics (that of the “0-modes”) can be very sensitive to the XD

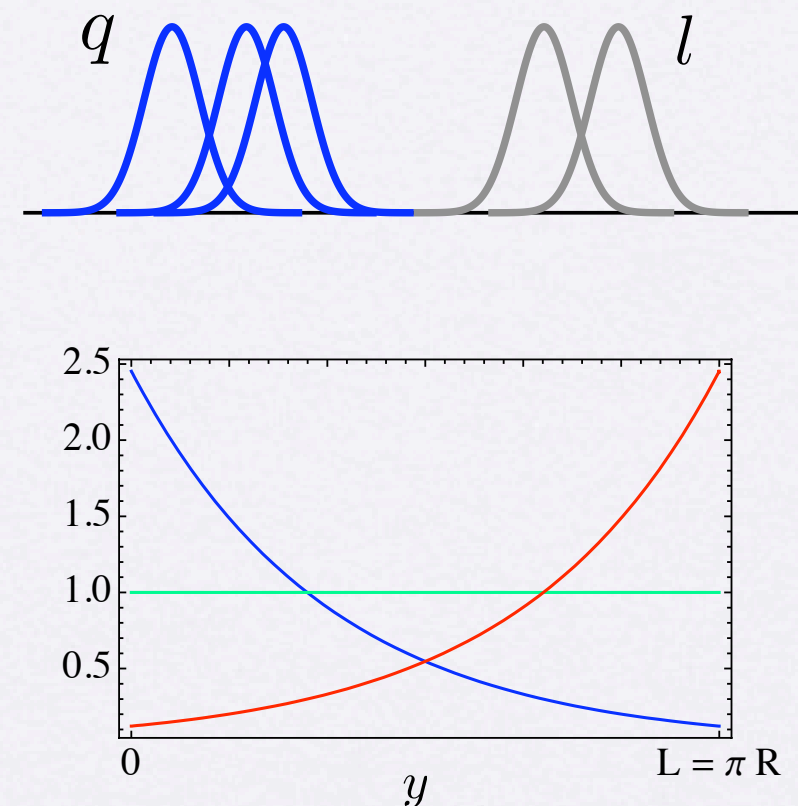
We already observed that:

- 5D fermion masses control localization
- Couplings are proportional to overlap integrals

Hence it is easy to explain exponentially small (dimensionless) numbers from the underlying (unseen) XD

Yukawa couplings:

$$y_t \sim 1 \quad y_e \sim 10^{-5} \quad (y_\nu \sim 10^{-12} \text{ ?})$$



In such scenarios one can argue that the emergence of exponential hierarchies is the norm, thus making the observations of the SM far less “puzzling”

Using the Extra Real Estate

- Scalars can also be localized in a manner similar to fermions.

Unfortunately, the existence of a (localized) scalar 0-mode, depends on the relation between the bulk mass and two “brane-localized” masses

In general: tuning required to obtain a light mode (compared to the KK scale)

(The fact that the possibility exists, is tied to the SUSY limit of the XD framework)

- Nevertheless, there are other ways of getting *naturally* localized 4D scalars...

Using the Extra Real Estate

1) Consider the 5th polarization of a 5D gauge field

If A_μ obeys $(-, -)$ b.c.'s (Dirichlet at both $y = 0, L$)
(4D gauge symmetry broken by b.c.'s)

Then A_5 obeys $(+, +)$ b.c.'s (Neumann at both $y = 0, L$)

In a warped background, the EOM for A_5 is:

$$\partial_y^2 (a^2 f_0) = 0 \quad \longrightarrow \quad f_0(y) = N_0 a^{-2}(y) \quad \xrightarrow{a = e^{-ky}} \quad \sqrt{\frac{2kL}{e^{2kL} - 1}} e^{2ky}$$

(additive constant forbidden by b.c.'s)

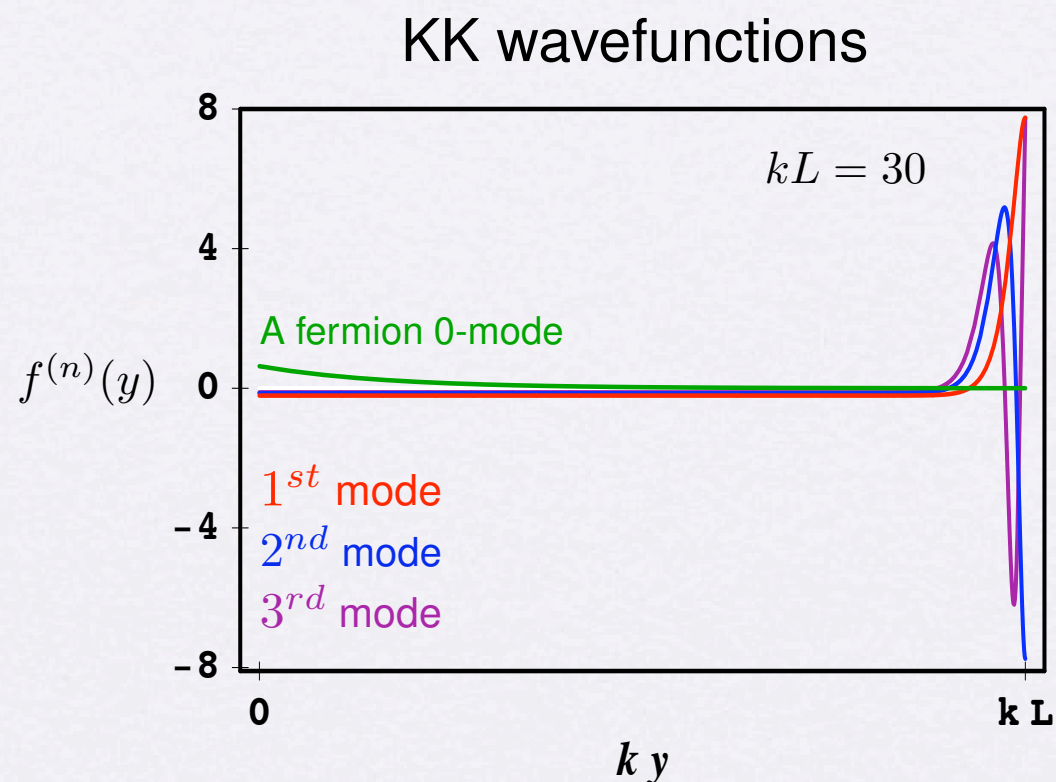
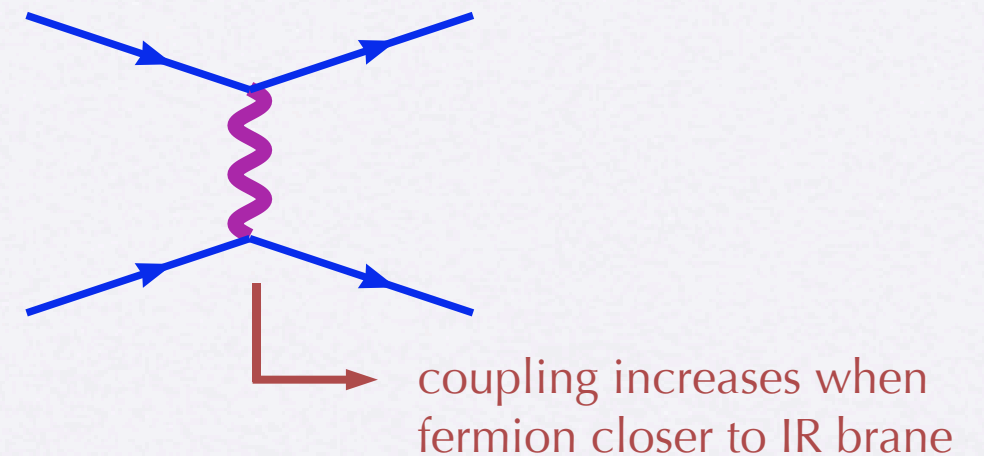
\longrightarrow Localization at $y = L$ (near the “IR brane”, or where warp factor smallest)

- 4D scalar from A_5 can be light and have non-trivial couplings to other light fields
- Notice there are no adjustable parameters, localization happens dynamically!

Using the Extra Real Estate

2) Strongly interacting fermions can form scalar bound states

- Attractive channels from KK gluon exchange
- KK gluons localized near $y = L$



Upshot:

- Fermion localization triggers formation of bound state (also a condensate)
- Resulting scalar bound state is effectively localized on IR brane (because fermion constituents are!)
- Scalar mass set dynamically well below KK scale

Models: Examples

Universal Extra Dimensions

Warped Extra Dimensions (Randall-Sundrum)

Universal Extra Dimensions (UED)

Assumption: maybe the SM lives in $4+n$ flat dimensions

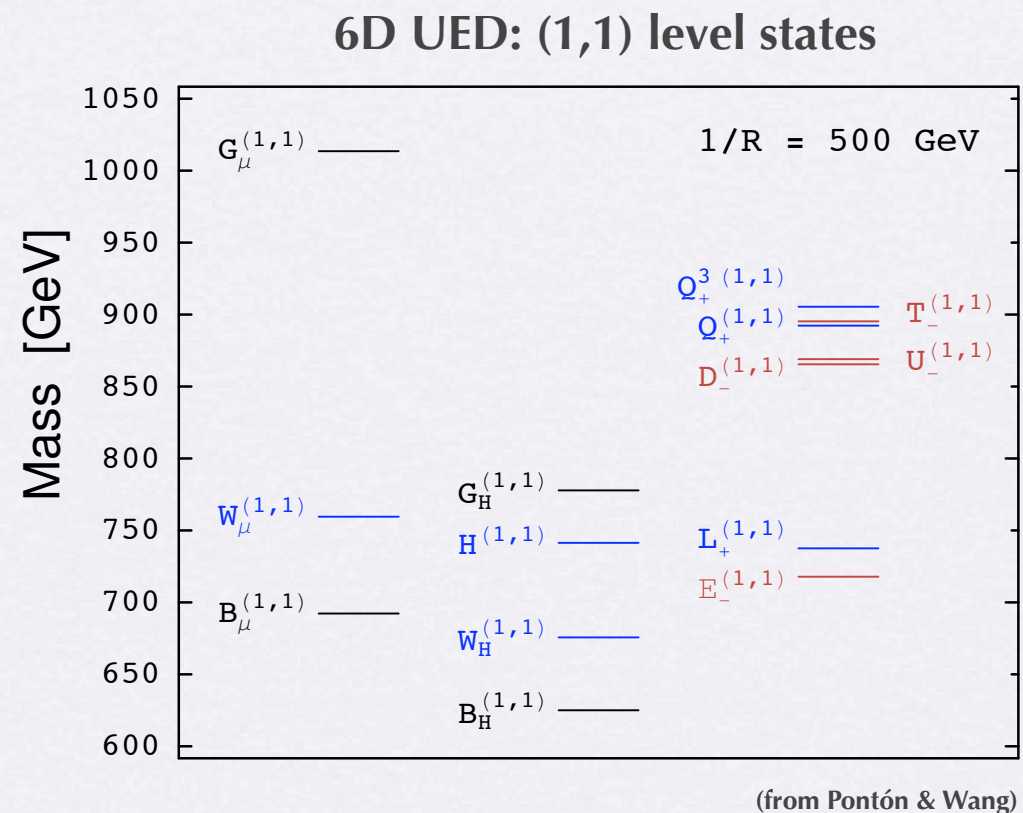
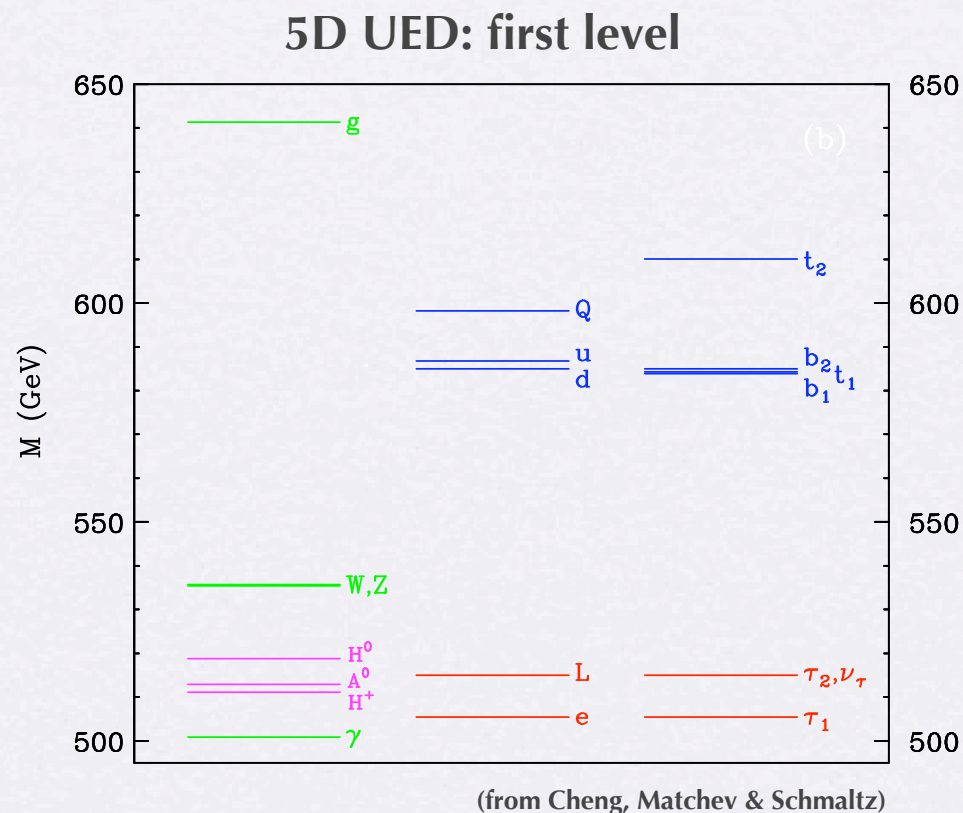
→ All SM particles have KK excitations that can be studied at colliders

Models in 5D and 6D have been studied...

KK decompositions rather simple, tree-level spectra given by

$$\begin{cases} \frac{n}{R} & 5D \\ \frac{\sqrt{j^2 + k^2}}{R} & 6D \end{cases}$$

Loop effects play a central role:



Some Interesting Features

- KK states can be relatively light (few hundred GeV)
- KK parity: natural dark matter candidates (more later)
- In 6D:
 - An understanding for number of generations based on anomaly cancellation
 - Higher-dimensional spacetime symmetries lead to discrete symmetries that:
 - Can explain matter stability (even if baryon number violated near the weak scale)
 - Predict three right-handed neutrinos
 - Predict that neutrinos should be Dirac particles
- Phenomenology of first KK level similar to SUSY (missing energy signals)
- Phenomenology of second KK level can lead to well-defined resonances

The Randall-Sundrum Scenario

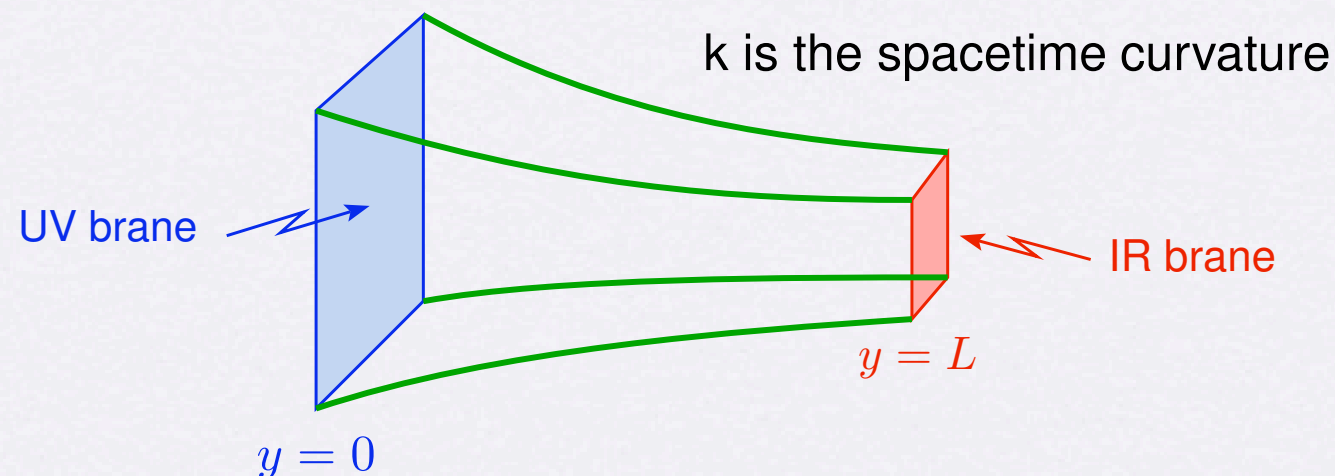
The magic of curvature (warping)

Assumptions:

- 5D spacetime, with 5D cosmological constant
 - Compactification on the “Interval”
- }
- Soln. to Einstein’s Eqns.
 - Slicing with 4D Lorentz invariant sections

Spacetime described by the line element

$$ds^2 = e^{-2ky} \eta_{\mu\nu} dx^\mu dx^\nu - dy^2 \quad y \in [0, L]$$



Fields can either propagate in the bulk, or be stuck to one of the “branes”

The Randall-Sundrum Scenario

The magic of curvature (warping)

The point is that scales at different points in the XD are measured differently

To illustrate, consider a field ϕ_{IR} localized on the IR brane:

$$S \supset \int d^4x dy \sqrt{-G} \left\{ \delta(y - L) \left[\frac{1}{2} G^{\mu\nu} \partial_\mu \phi_{IR} \partial_\nu \phi_{IR} - \lambda_{IR} (\phi_{IR}^2 - v_{IR}^2)^2 \right] \right\}$$

The Randall-Sundrum Scenario

The magic of curvature (warping)

The point is that scales at different points in the XD are measured differently

To illustrate, consider a field ϕ_{IR} localized on the IR brane:

$$\begin{aligned} S &\supset \int d^4x dy \sqrt{-G} \left\{ \delta(y - L) \left[\frac{1}{2} G^{\mu\nu} \partial_\mu \phi_{IR} \partial_\nu \phi_{IR} - \lambda_{IR} (\phi_{IR}^2 - v_{IR}^2)^2 \right] \right\} \\ &= \int d^4x \left\{ \frac{1}{2} e^{-2kL} \eta^{\mu\nu} \partial_\mu \phi_{IR} \partial_\nu \phi_{IR} - e^{-4kL} \lambda_{IR} (\phi_{IR}^2 - v_{IR}^2)^2 \right\} \end{aligned}$$

The Randall-Sundrum Scenario

The magic of curvature (warping)

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If instead the field was localized on the UV brane, ϕ_{UV} : all warp factors are unity

$$V = \lambda_{UV} (\phi_{UV}^2 - v_{UV}^2)^2 + \lambda_{IR} (\tilde{\phi}_{IR}^2 - v_{IR}^2 e^{-2kL})^2 \quad (\text{Hierarchically different vev's})$$

The Randall-Sundrum Scenario

The magic of curvature (warping)

Rule of thumb: all mass parameters on IR brane are warped down by e^{-kL}

$$\mathcal{L}_5^{\text{kinetic}} = \bar{\Psi} \not{\partial} \Psi \longrightarrow [\Psi] = 2$$

Mass dimension in natural units

$$\mathcal{L}_4^{\text{kinetic}} = \bar{\psi} \not{\partial} \psi \longrightarrow [\psi] = 3/2$$

Consider a 4-fermion operator (relevant for e.g. flavor):

$$\mathcal{L}_5 = \frac{\alpha}{\Lambda^3} (\bar{\Psi}_1 \Psi_2) (\bar{\Psi}_3 \Psi_4) \longrightarrow \mathcal{L}_4 = \frac{\alpha'}{\tilde{\Lambda}^2(\Lambda L)} (\bar{\psi}_1 \psi_2) (\bar{\psi}_3 \psi_4)$$

“warped down”
scale $\tilde{\Lambda} = e^{-kL} \Lambda$

volume suppression
for bulk fields

The Randall-Sundrum Scenario

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From mass dimension
of 4D operator

$$\alpha' = \frac{\alpha}{L} \int_0^L dy e^{-2k(L-y)} f_1^* f_2 f_3^* f_4$$

Each KK mode $\rightarrow \sqrt{2kL}$

0-mode near IR $\rightarrow \sqrt{(1-2c)kL}$

0-mode flat $\rightarrow 1$

0-mode near UV \rightarrow exp. suppression

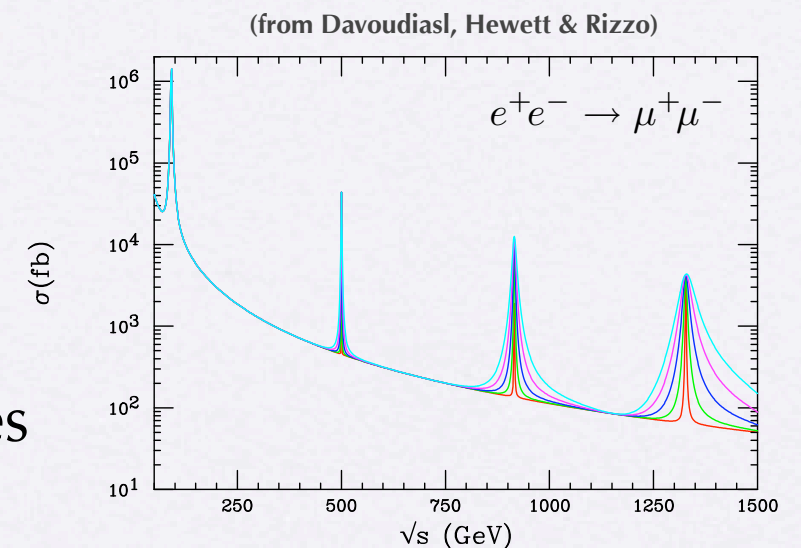
Eff. volume for integral $\rightarrow 1/kL$

The Randall-Sundrum Scenario

The warp factor can naturally accommodate the EW and Planck (say) scales, provided the Higgs (or the source of EWSB) is localized near the IR brane

Model building:

- Original RS scenario had all SM fields on the brane
Only gravitons propagate in the bulk and have KK modes



- But only Higgs needs to be on IR brane. Bulk fields buy you interesting physics:
 - Understand exponential fermion mass hierarchies
 - Suppress dangerous FCNC's from higher-dimension operators
 - Other calculable FCNC's also suppressed
- $$\left\{ \begin{array}{l} \frac{\alpha'}{\tilde{\Lambda}^2(\Lambda L)} (\bar{\psi}_1 \psi_2) (\bar{\psi}_3 \psi_4) \\ \text{with } \alpha' \text{ exp. suppr.} \end{array} \right.$$
- Essentially a theory of flavor with physics at the TeV scale!
- Accommodates gauge coupling unification, and more...

End of Part I