



CONVOLUTIONAL NEURAL NETWORKS IN

# MICROBOONE

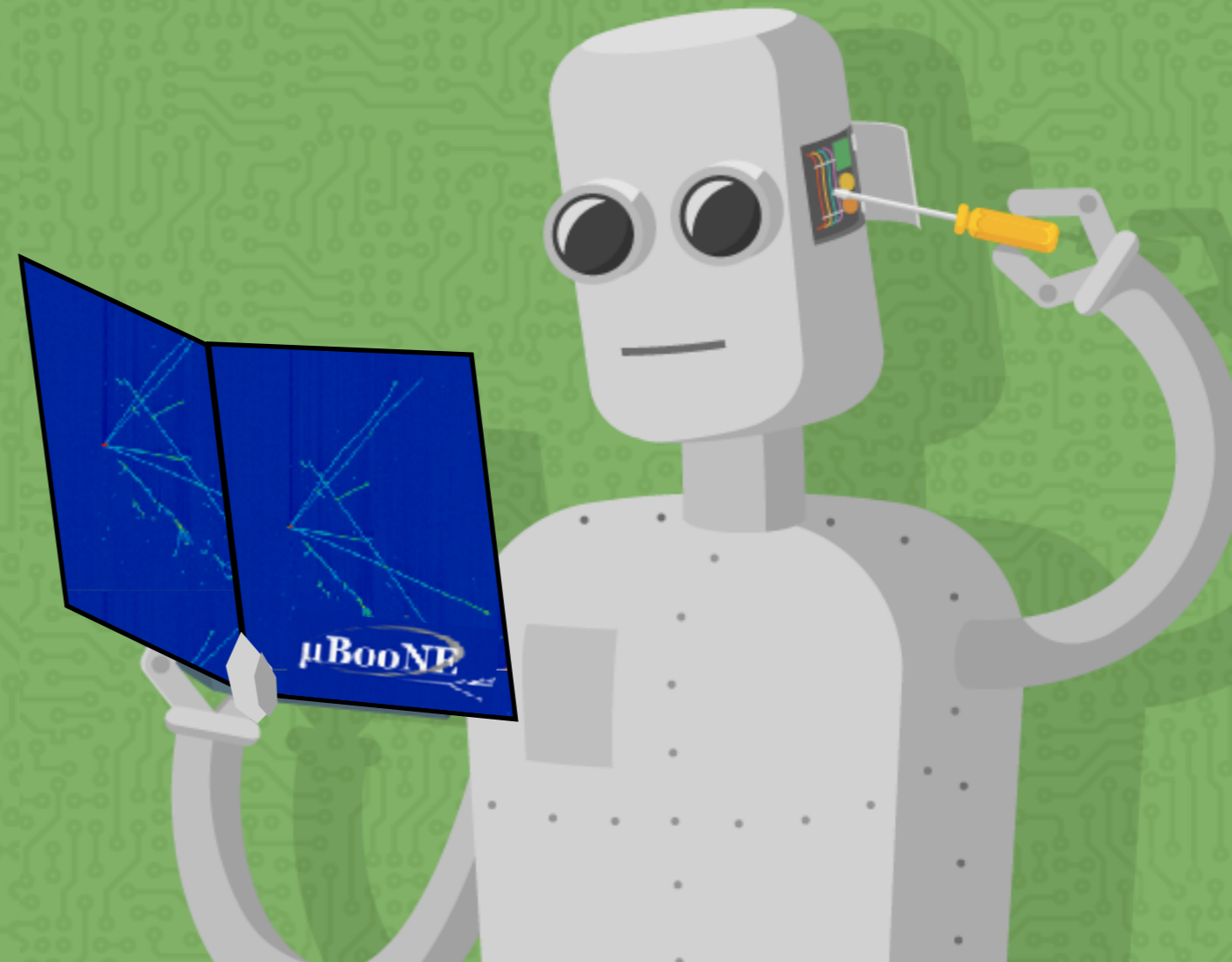
Taritree Wongjirad | DPF 2017  
Tufts/MIT

75 cm

# Outline

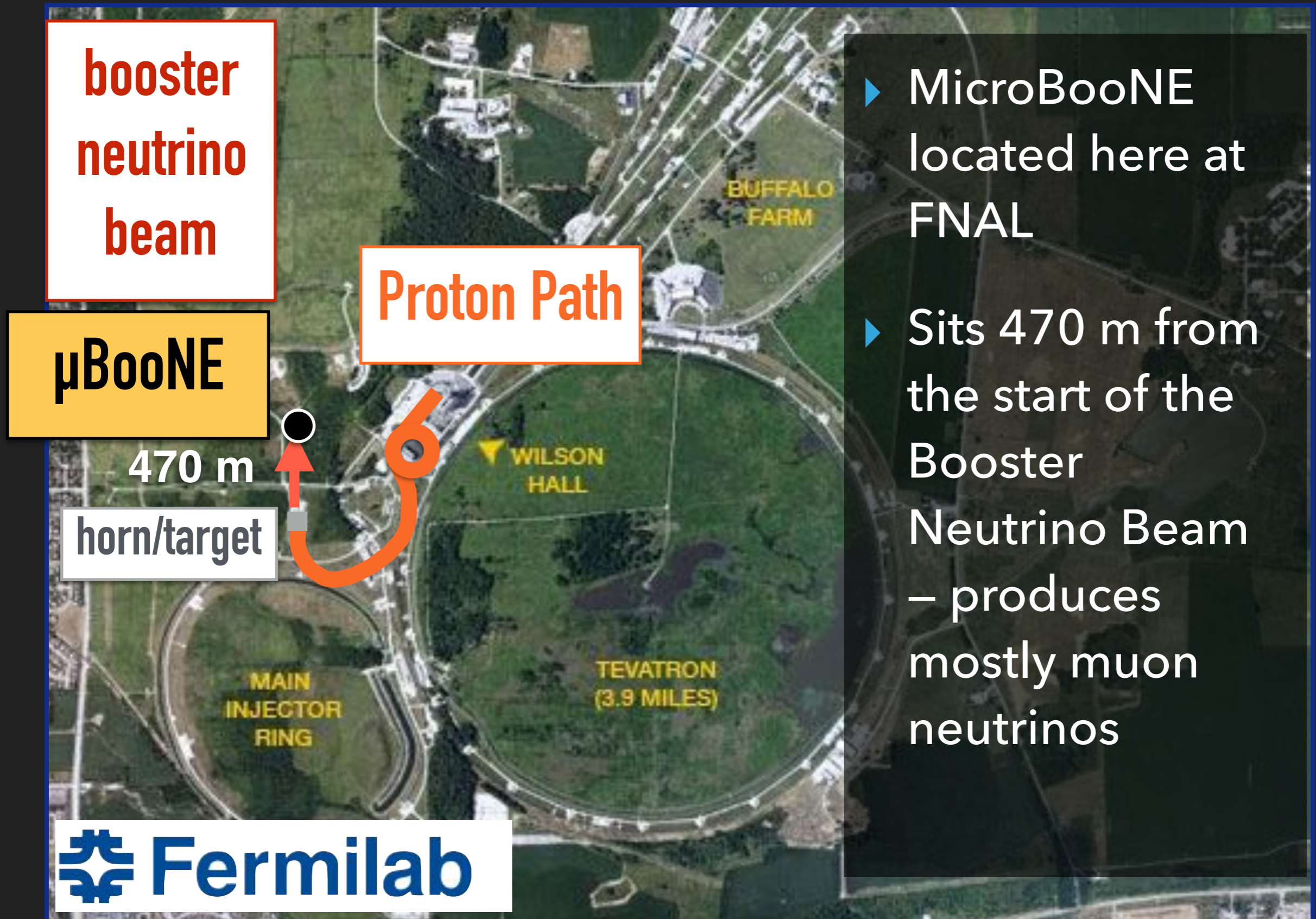
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- Convolutional neural networks (CNNs) are a type of deep, feed-forward neural networks that have been successfully applied to a wide range of problems
- Discuss the ways MicroBooNE
  - a LArTPC detector -
  - has been exploring the use of CNNs
- Three applications
  - Classification
  - Object detection
  - Semantic Segmentation



The detector during construction

- ▶ MicroBooNE, a LArTPC detector filled with 170 tons of LAr
- ▶ Looking for  $\nu_{\mu}$  to  $\nu_{\tau}$  oscillations
- ▶ Measure neutrino and argon cross sections
- ▶ Perform LArTPC R&D



$\mu$ BooNE



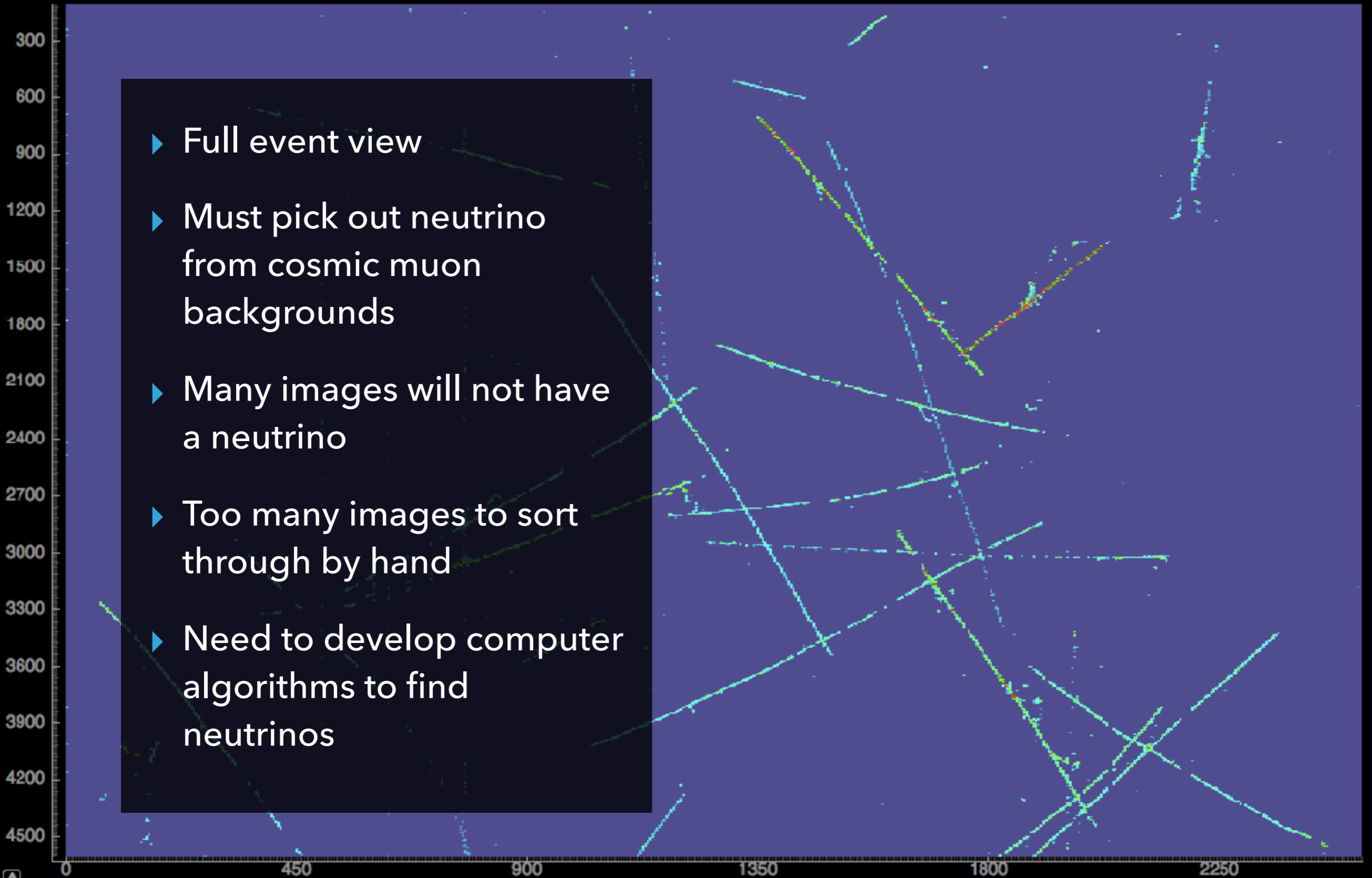
- ▶ Example neutrino event from the beam
- ▶ Lots of detail on location and amount of charge created in detector

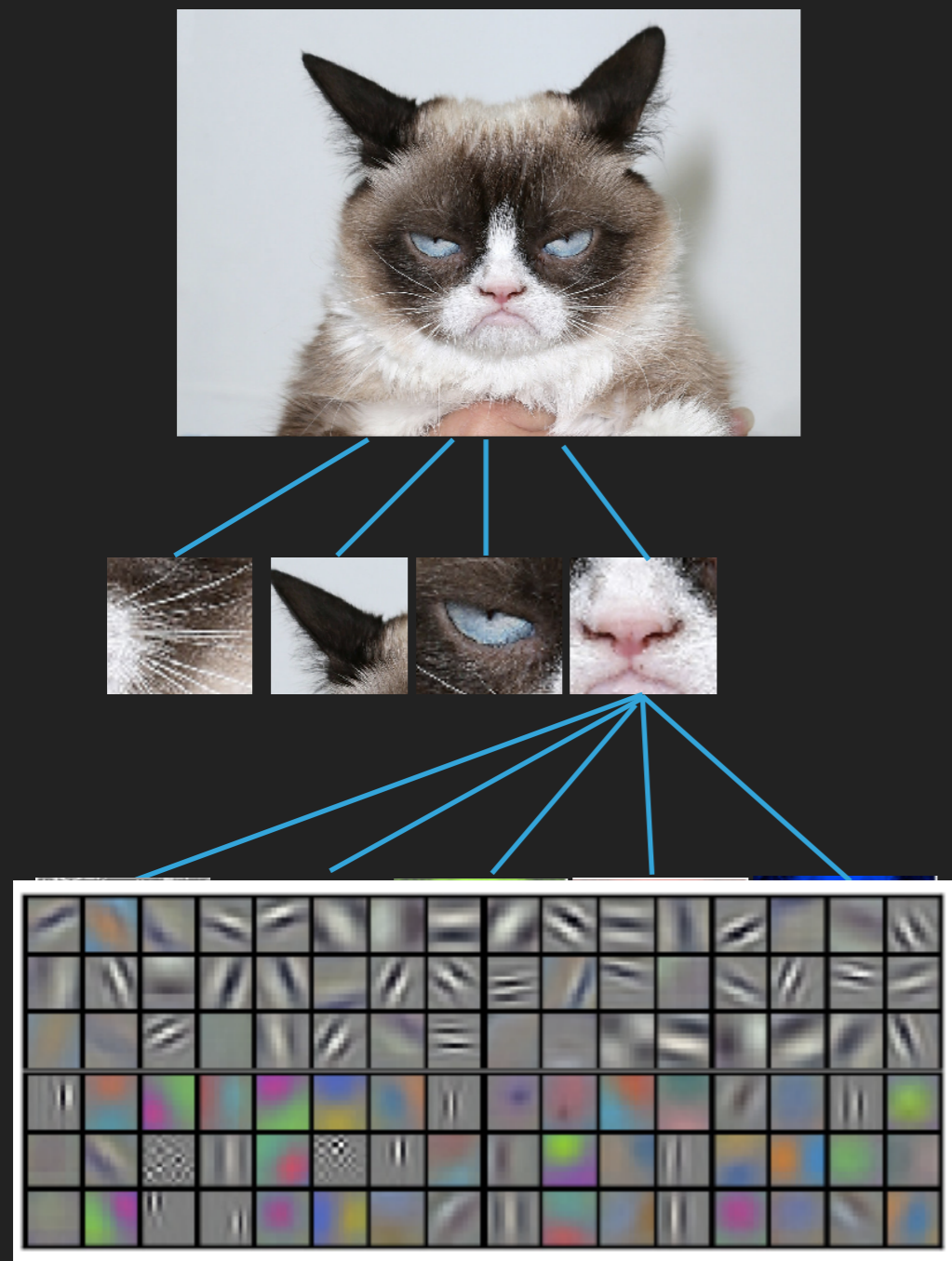
55 cm

Run 3469 Event 53223, October 21<sup>st</sup>, 2015



- ▶ Full event view
- ▶ Must pick out neutrino from cosmic muon backgrounds
- ▶ Many images will not have a neutrino
- ▶ Too many images to sort through by hand
- ▶ Need to develop computer algorithms to find neutrinos





- ▶ To analyze an image, e.g. recognize as cat, decompose an object into a collection of small features
- ▶ Features composed of different patterns, lines and colors
- ▶ How to find the features and put them together?

- ▶ Applying convolutional neural nets (CNN)
- ▶ Very adept at image analysis
- ▶ Primary advantages: scalable and generalizable technique
- ▶ Successfully applied to many different types of problems

Face detection



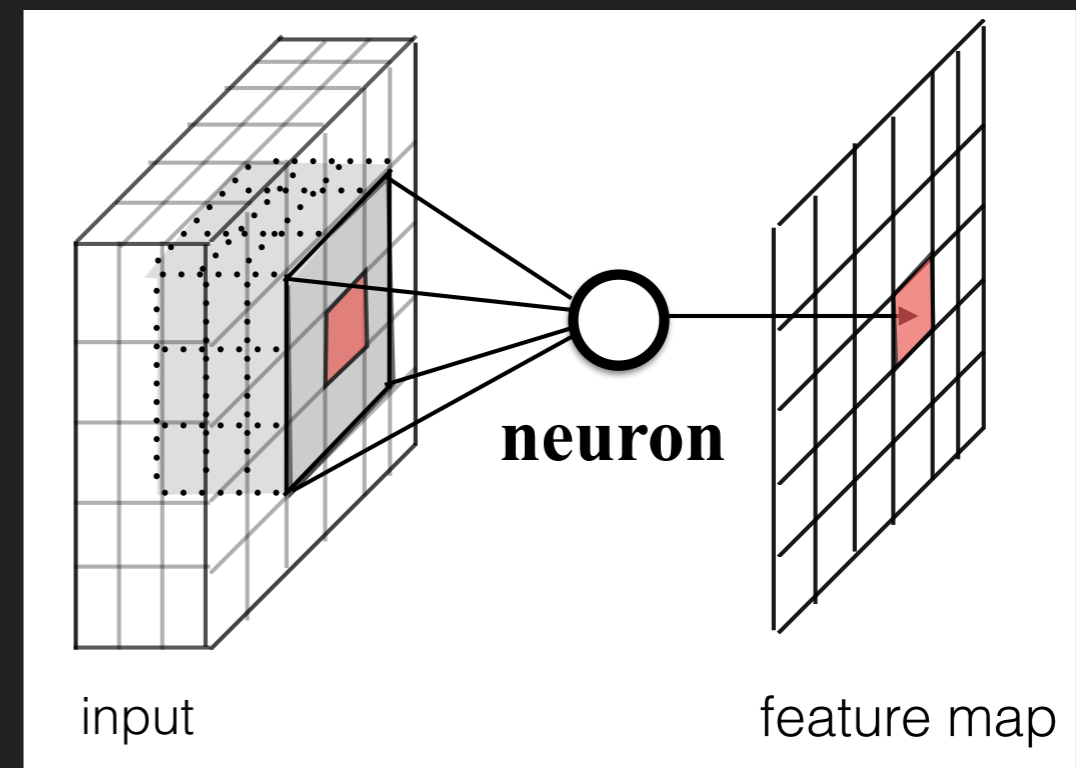
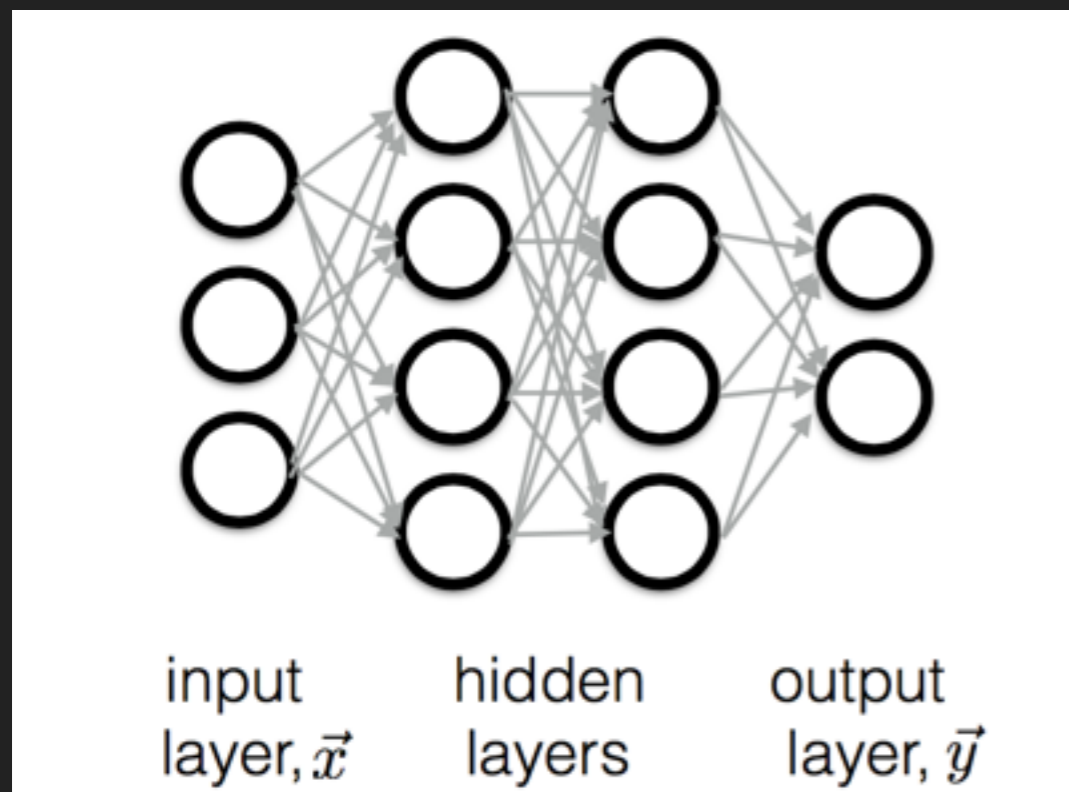
Video analysis for self-driving cars



Defeating humans at Go

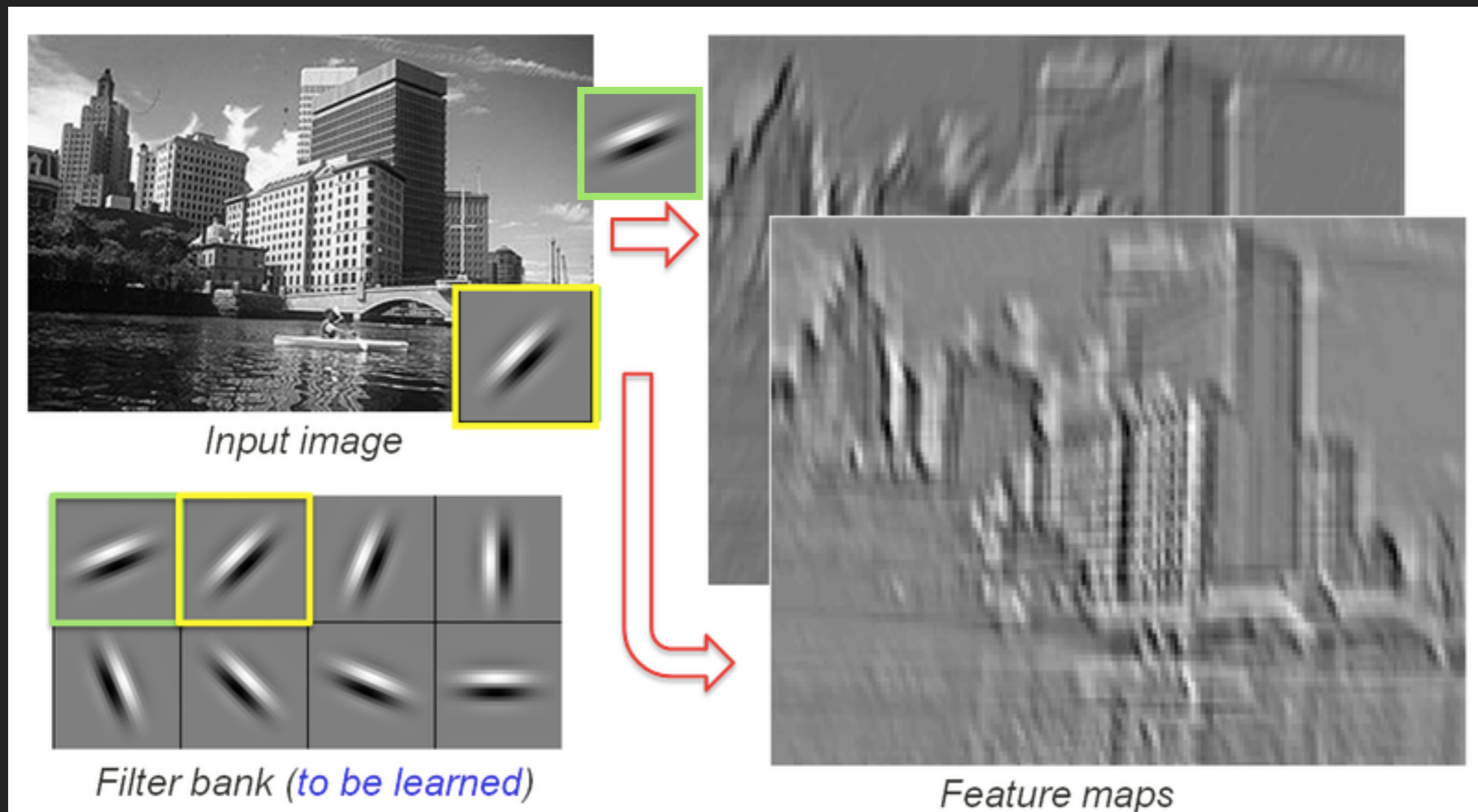


- ▶ CNNs differ from “traditional” neural nets in their structure
- ▶ CNN “neuron” looks for local, translation-invariant patterns among inputs

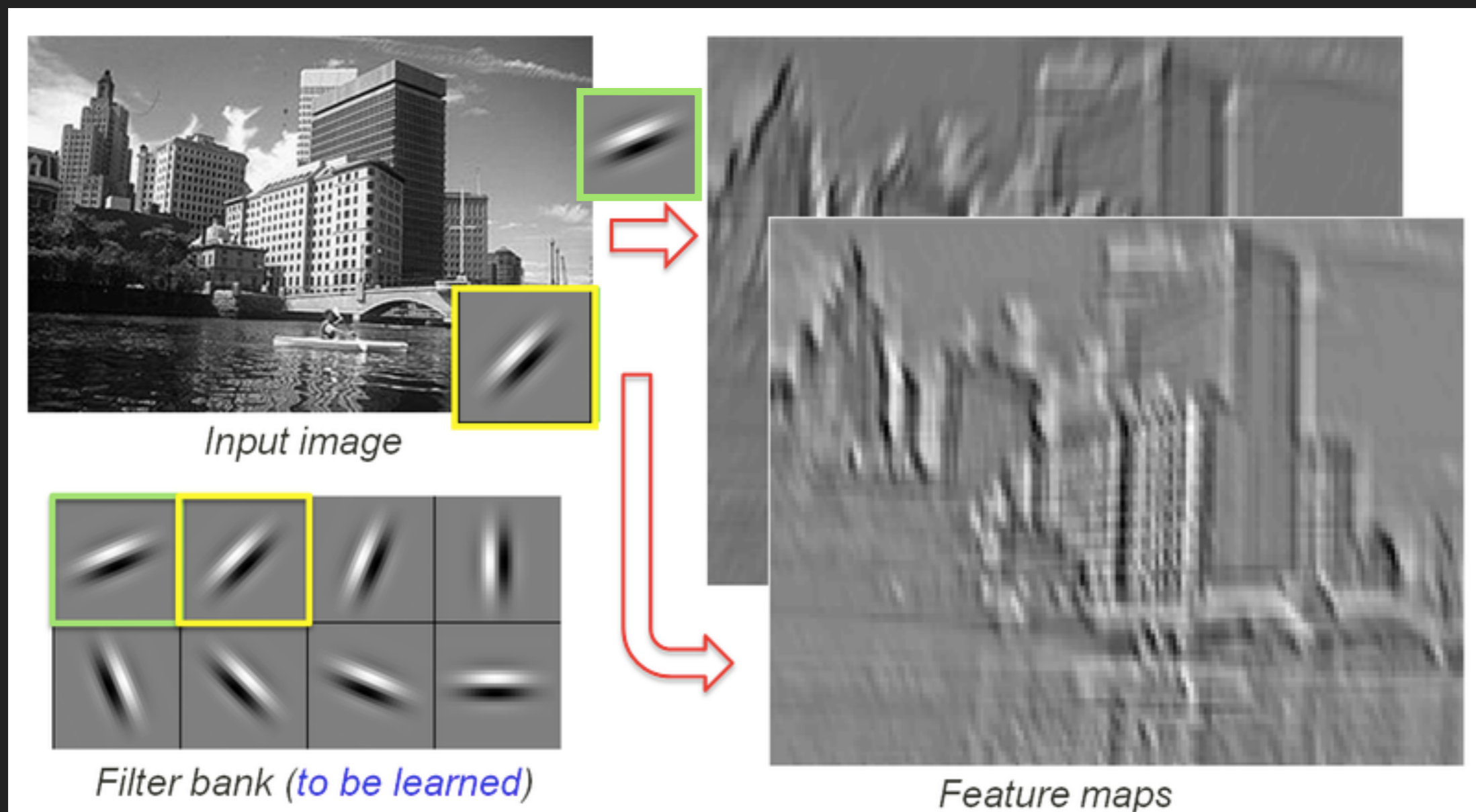


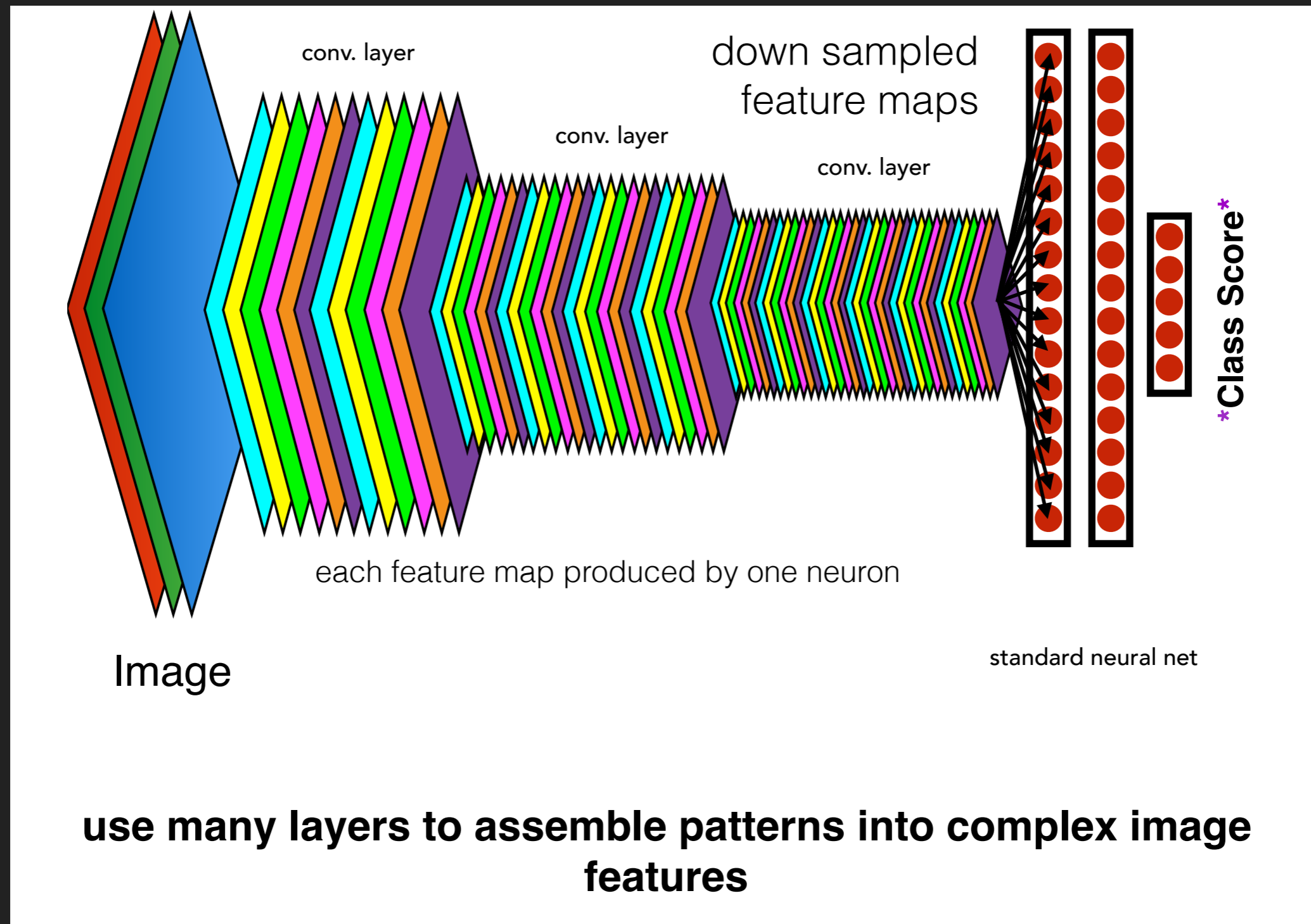
$$f_{i,j}(X) = \sigma(W_i \cdot X_j + b_i),$$

- ▶ Core operation in a CNN is the convolutional filter – identifies the location of patterns in an image
- ▶ Here regions of light and dark are where the pattern (or its inverse) matched well within the image



- ▶ one neuron produces one feature map
- ▶ operation takes as input an image and outputs an image





# CONVOLUTIONAL NETWORKS

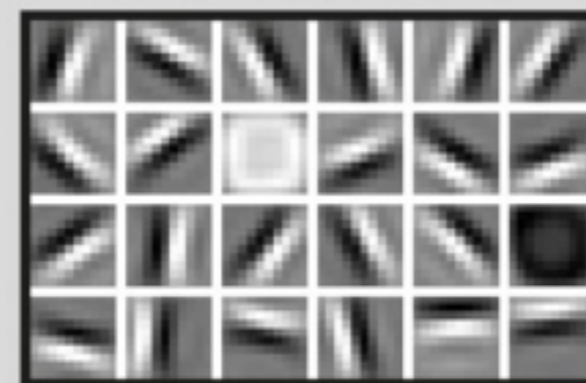
- ▶ Consider the task of recognizing faces
- ▶ Begin with image pixels (layer 1)
- ▶ Start by applying convolutions of simple patterns (layer 2)
- ▶ Find groups of patterns by applying convolution on feature maps (layer 3)
- ▶ Repeat
- ▶ Eventually patterns of patterns can be identified as faces (layer 4)

## FACIAL RECOGNITION

Deep-learning neural networks use layers of increasingly <sup>14</sup> complex rules to categorize complicated shapes such as faces.



Layer 1: The computer identifies pixels of light and dark.



Layer 2: The computer learns to identify edges and simple shapes.



Layer 3: The computer learns to identify more complex shapes and objects.



Layer 4: The computer learns which shapes and objects can be used to define a human face.

# CONVOLUTIONAL NETWORKS

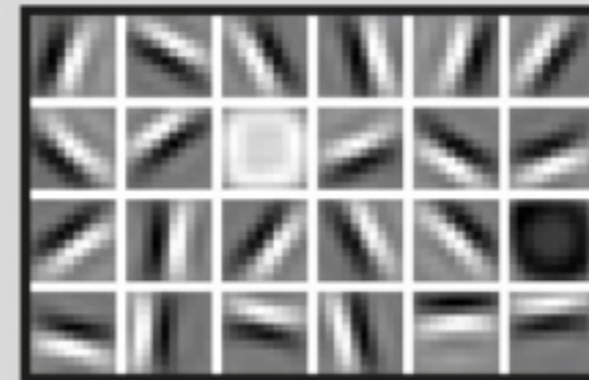
- ▶ CNNs learn these patterns (or convolutional filters) by themselves
- ▶ That's why CNNs are effective for many different tasks

## FACIAL RECOGNITION

Deep-learning neural networks use layers of increasingly complex rules to categorize complicated shapes such as faces. <sup>15</sup>



Layer 1: The computer identifies pixels of light and dark.



Layer 2: The computer learns to identify edges and simple shapes.

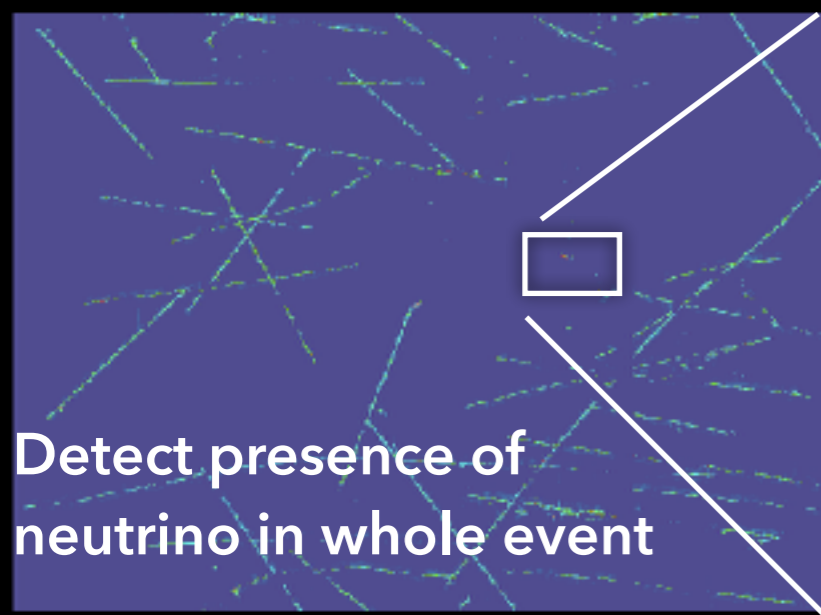


Layer 3: The computer learns to identify more complex shapes and objects.

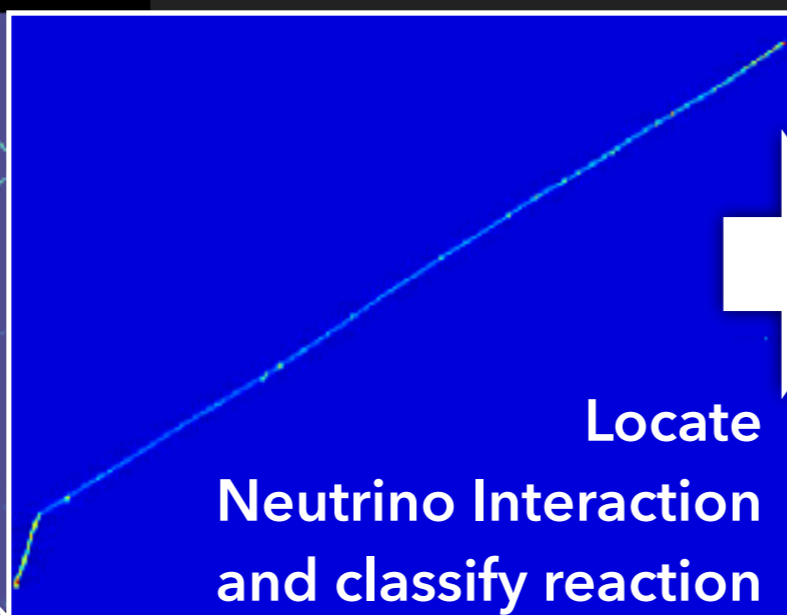


Layer 4: The computer learns which shapes and objects can be used to define a human face.

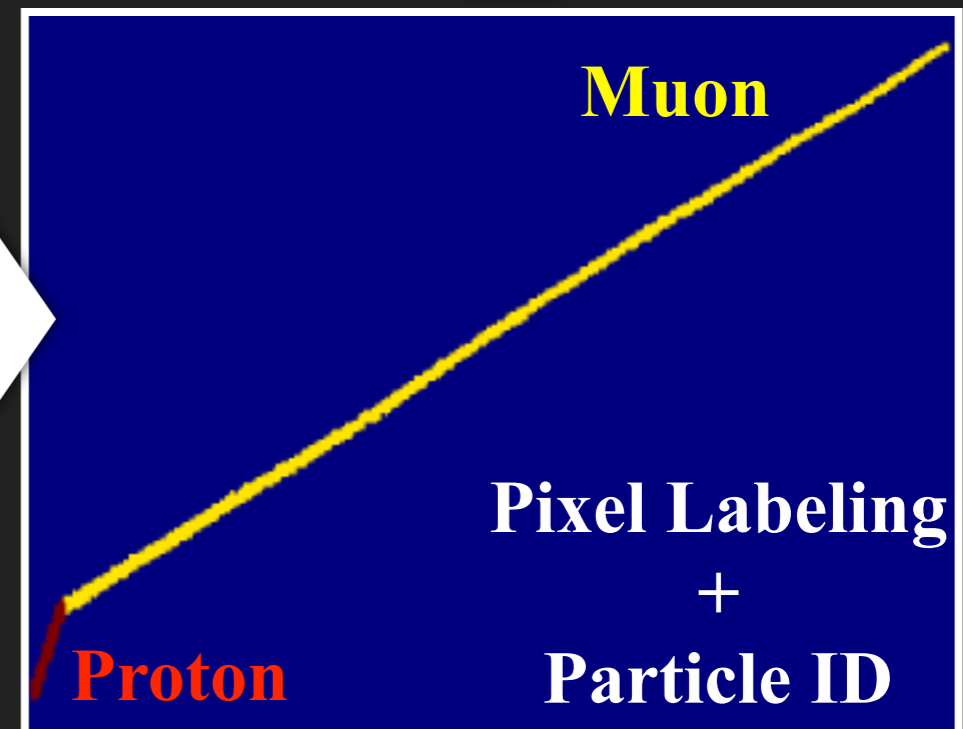
- ▶ Explored several CNN algorithms that perform tasks directly applicable to our problem
  - ▶ Image classification
  - ▶ Object detection
  - ▶ Pixel labeling



Detect presence of  
neutrino in whole event



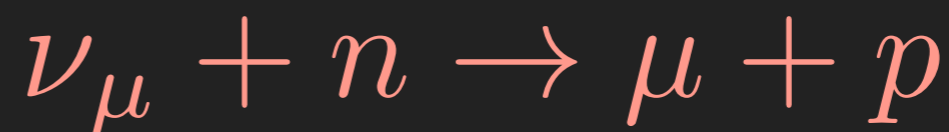
Locate  
Neutrino Interaction  
and classify reaction



**Muon**

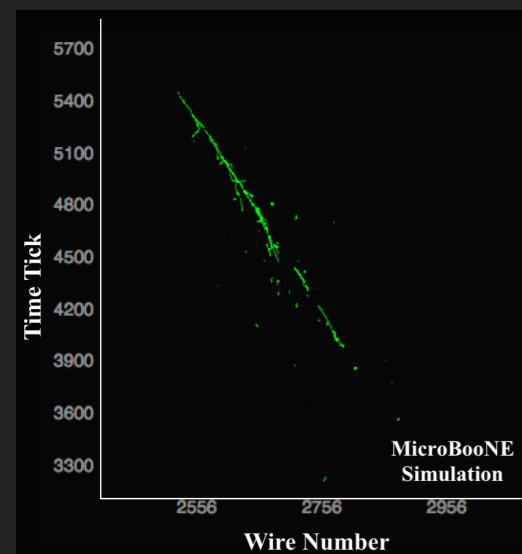
**Proton**

**Pixel Labeling  
+  
Particle ID**

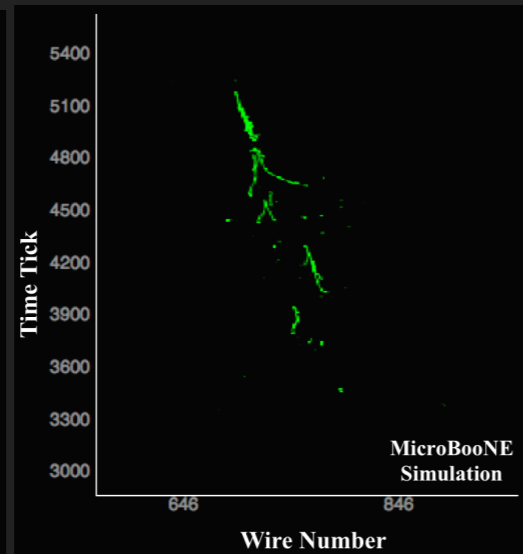


- ▶ Study with images from simulation
- ▶ To start: can network tell these four particles apart?
- ▶ Important particles in analyses

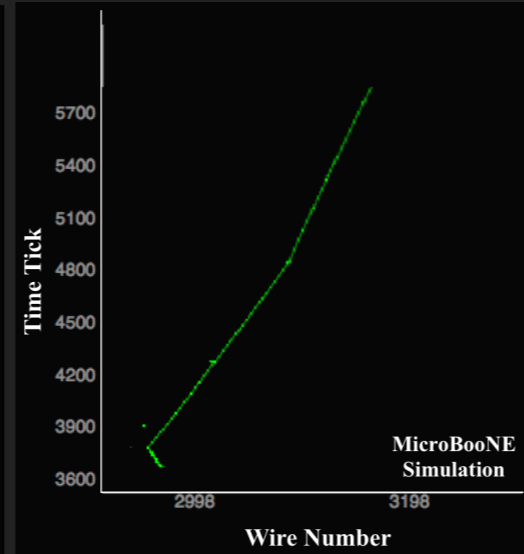
## Electron



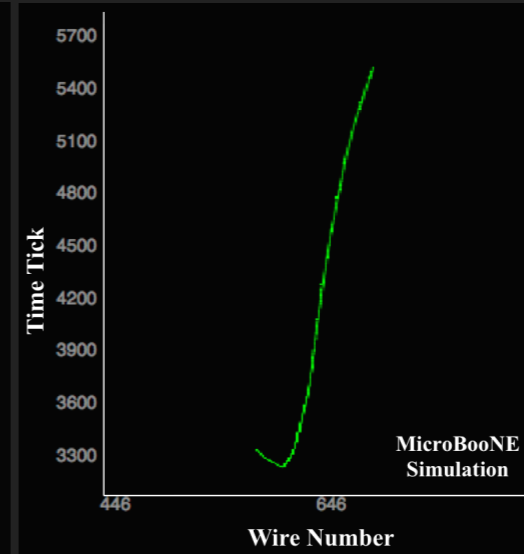
## Photon



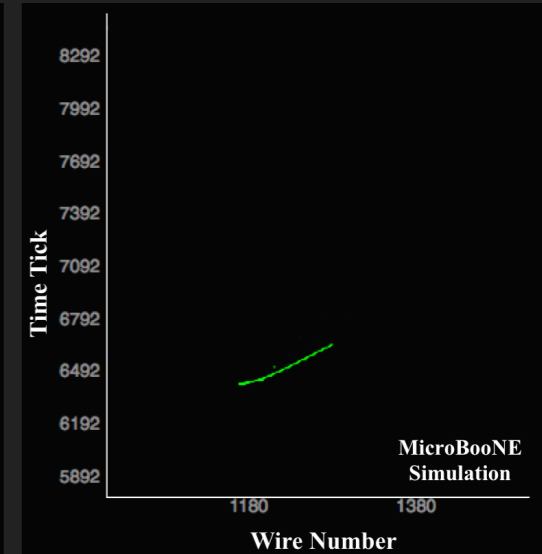
## Charged Pion



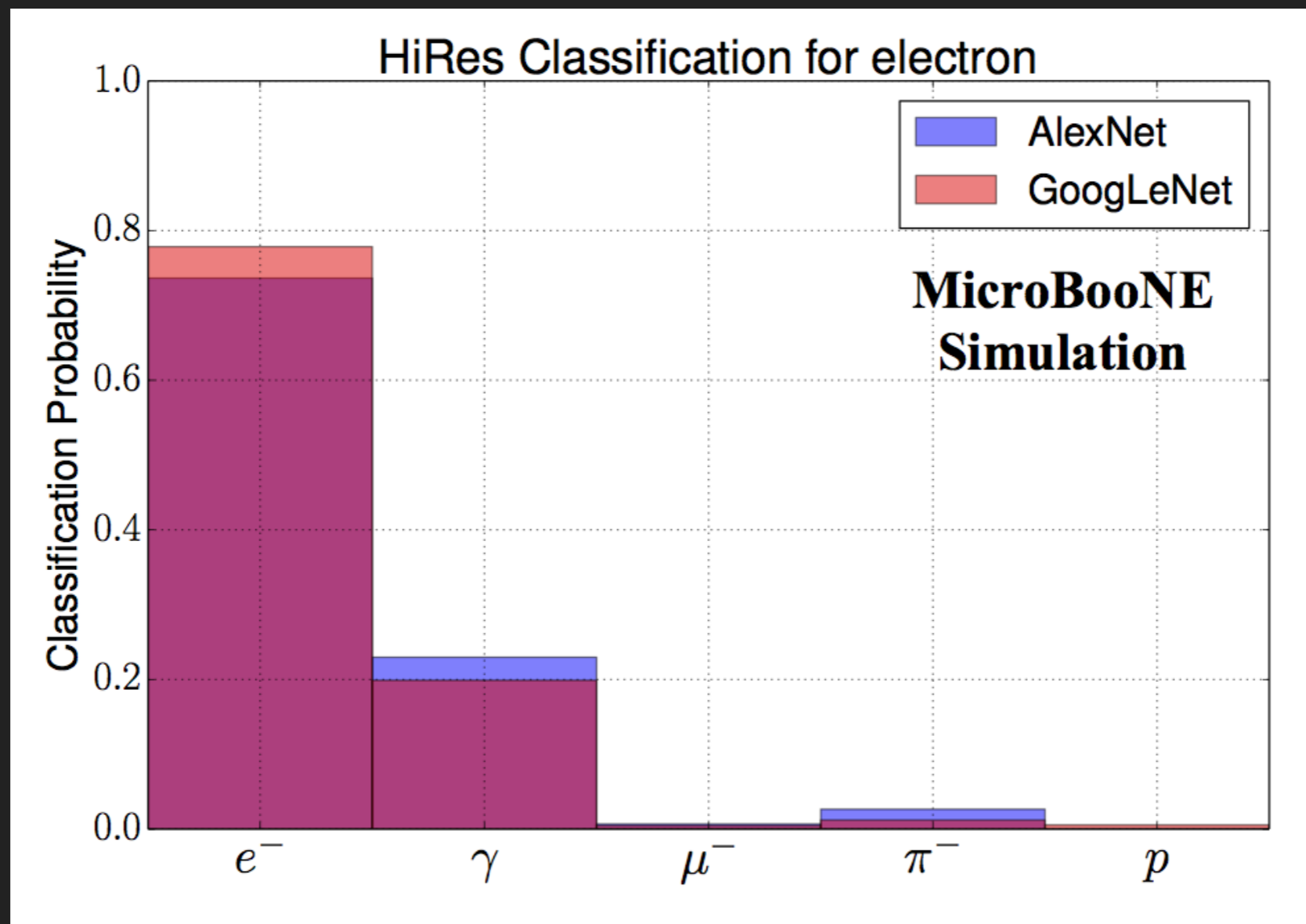
## Muon



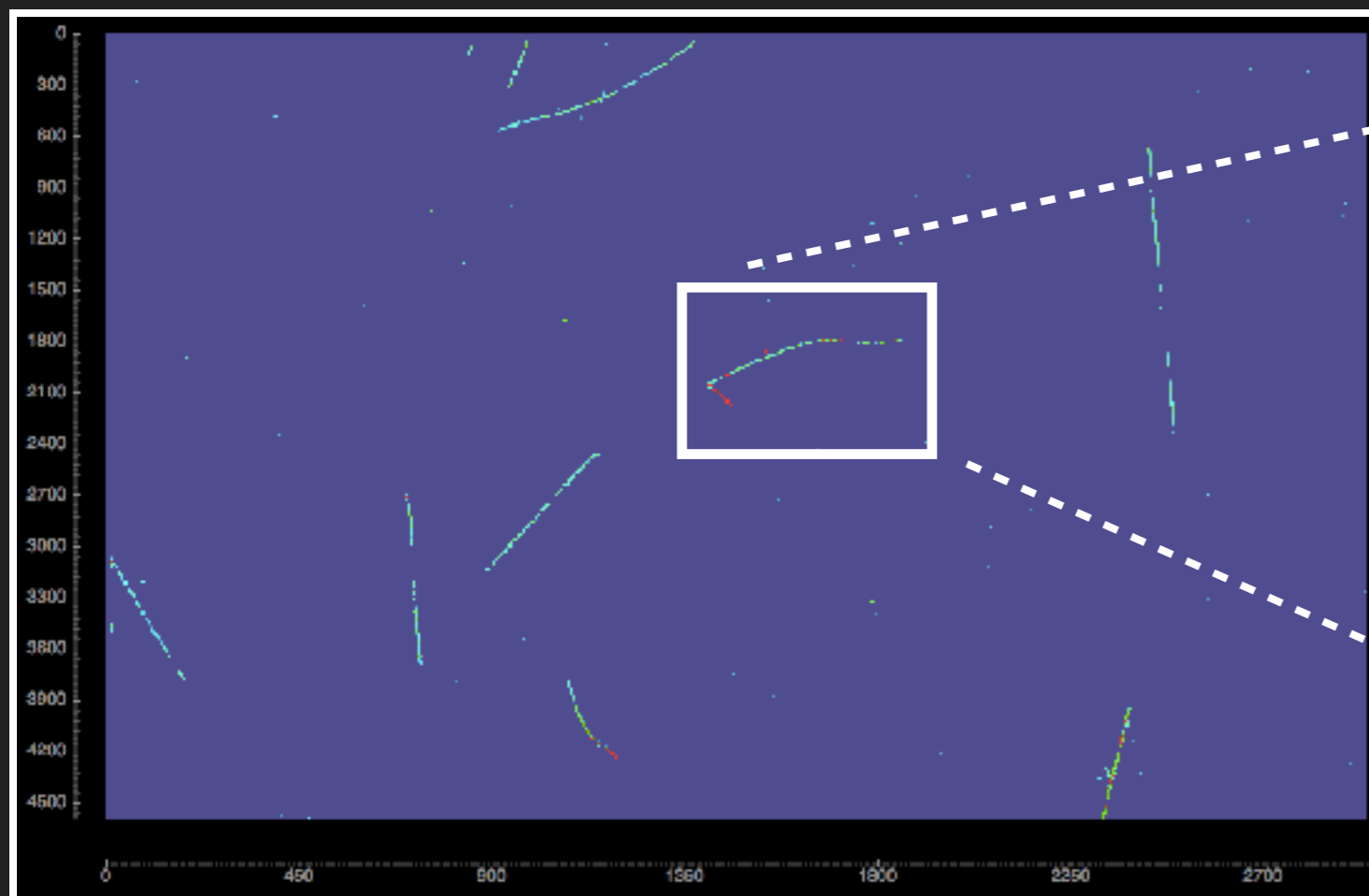
## Proton



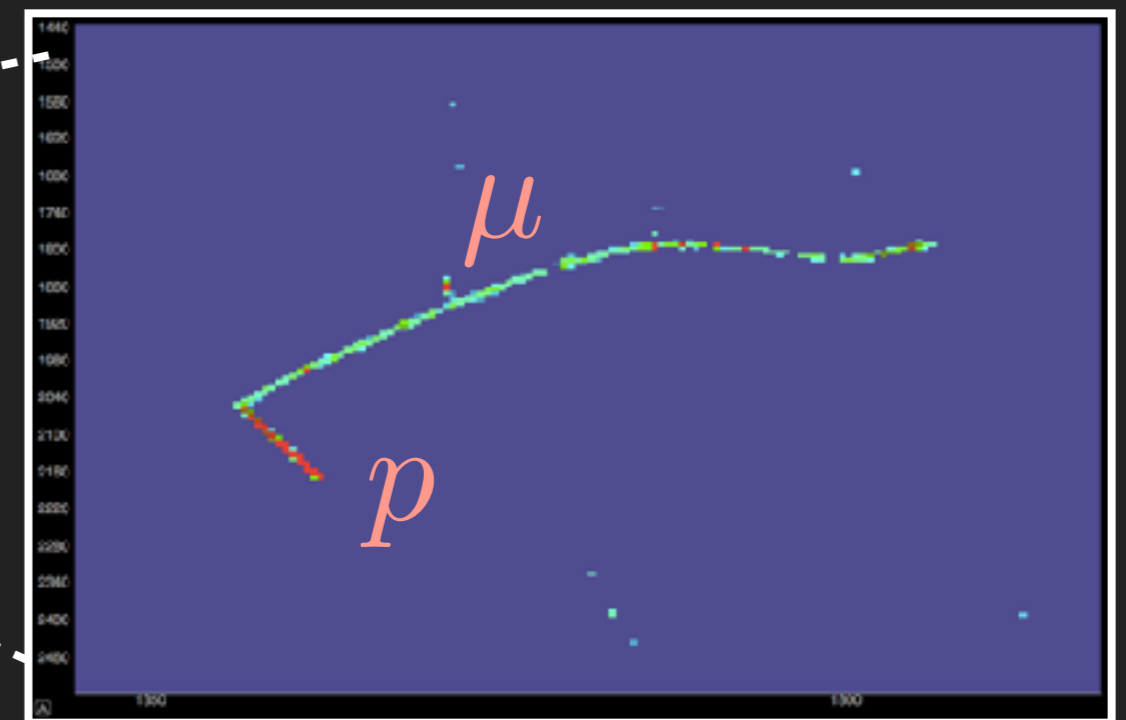
- ▶ Study with images from simulation
- ▶ High-lighting electron ID: important for finding signal interactions in current/future LArTPCs  $\nu_e + n \rightarrow e + p$



- ▶ Explored class of problems known as object detection for LArTPCs
- ▶ For surface near the detectors, could be used to locate regions of interest in the detector

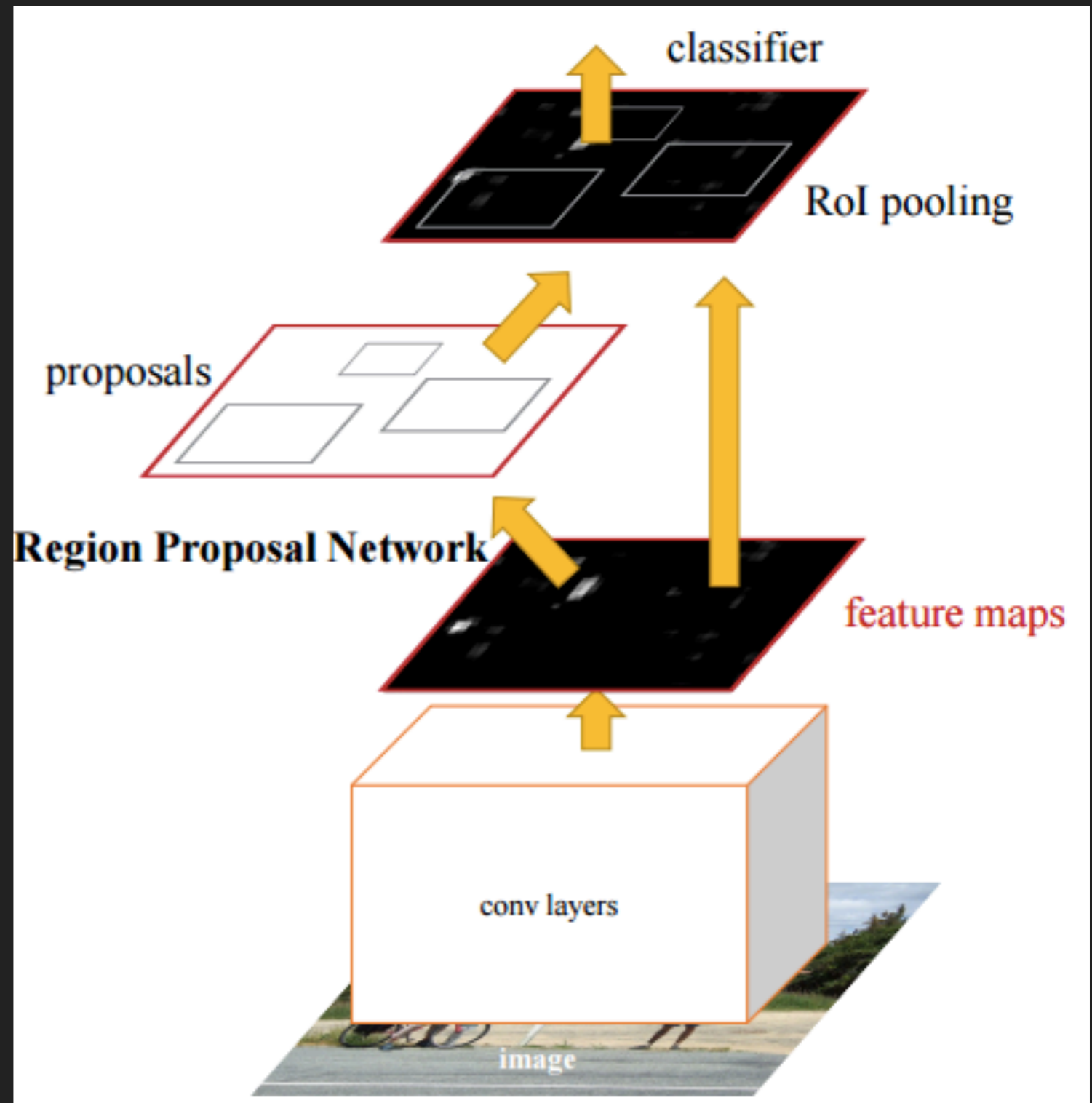


$$\nu_{\mu} + n \rightarrow \mu + p$$

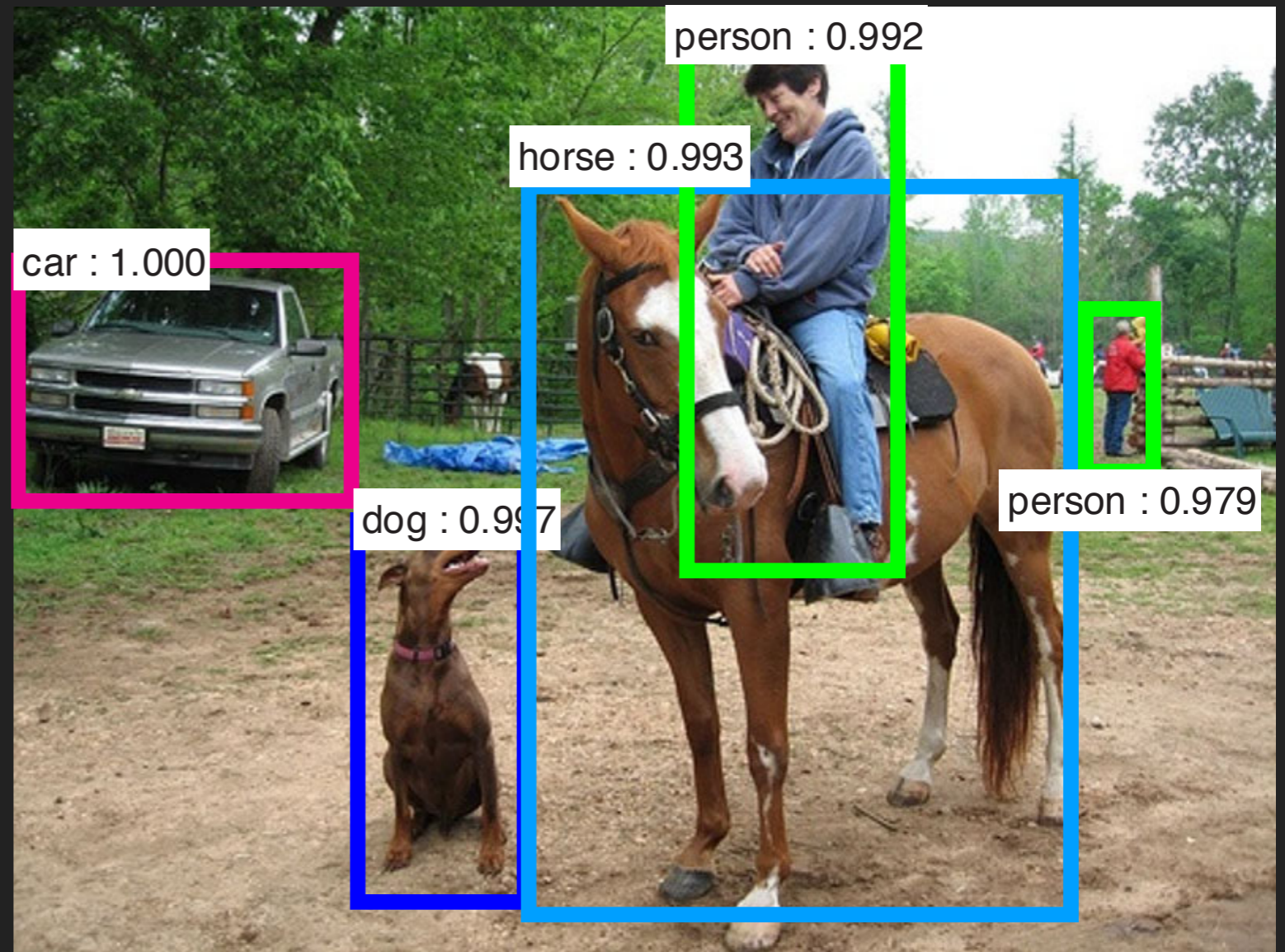


Note: had use reduce resolution image for network

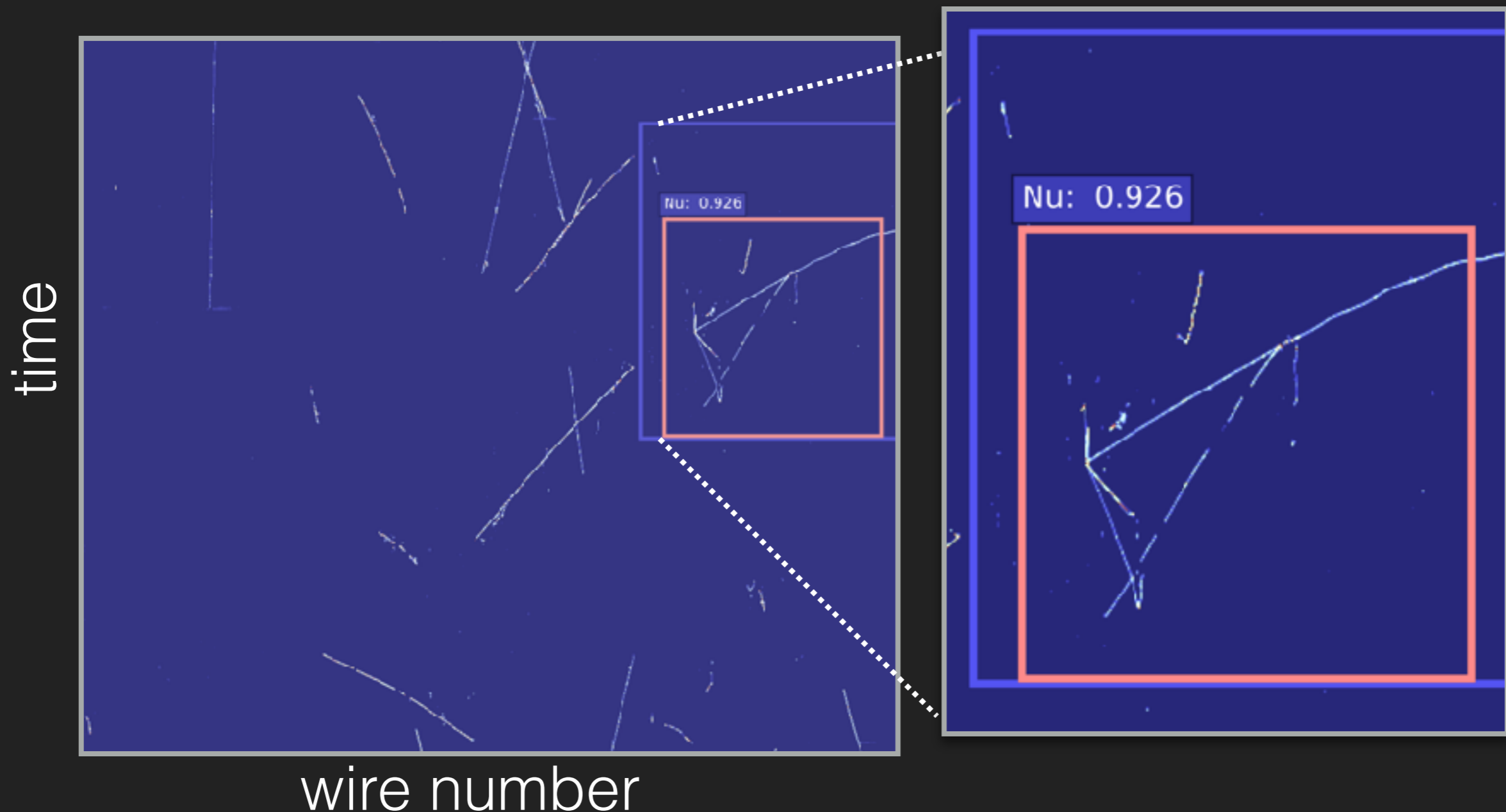
- ▶ Key element in faster-RCNN is the Region Proposal Network
- ▶ Takes image features and determines if a given location contains an "object"
- ▶ Top regions with objects are passed to next stage, a typical classifier



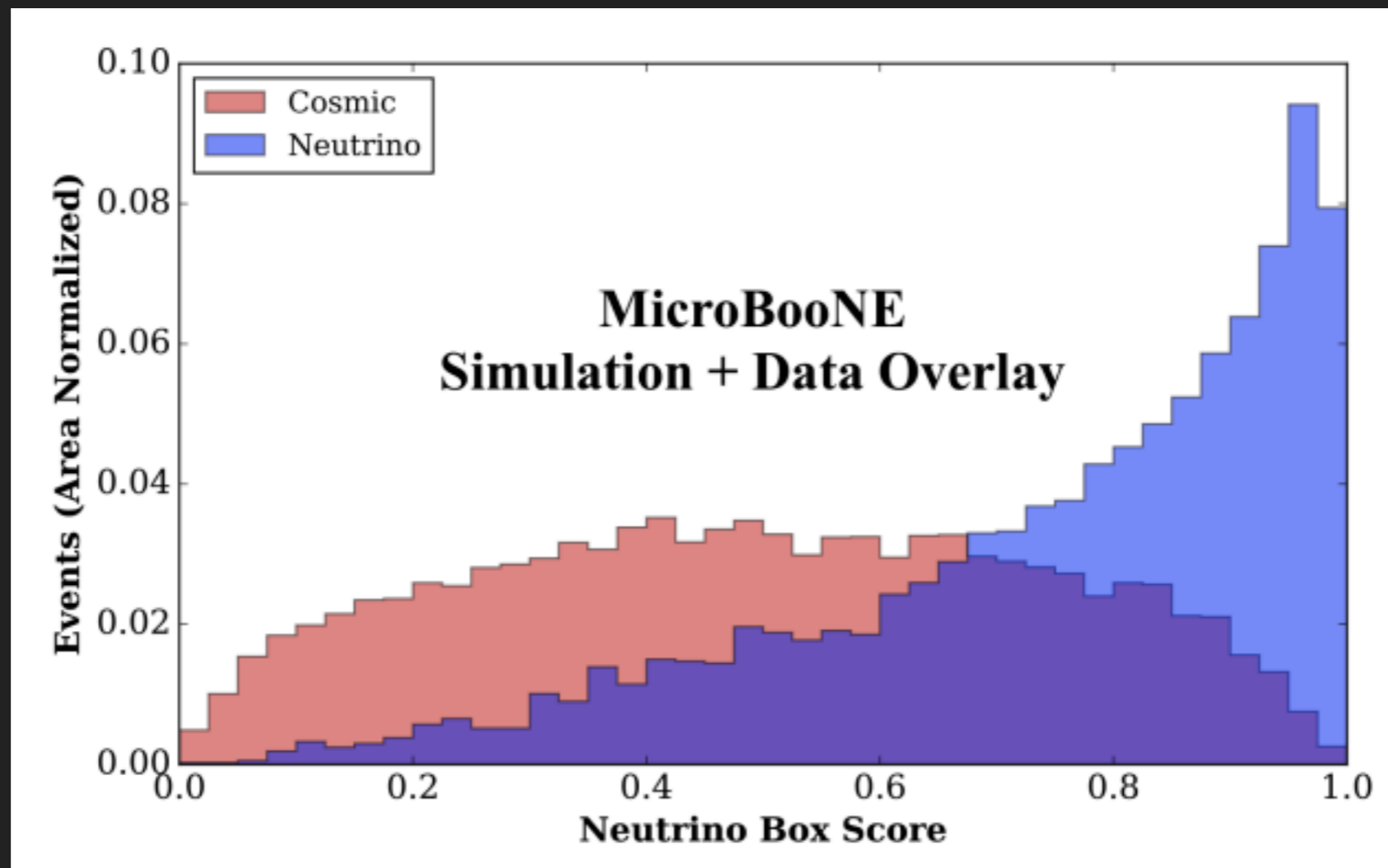
- ▶ Network output are classified regions of the image



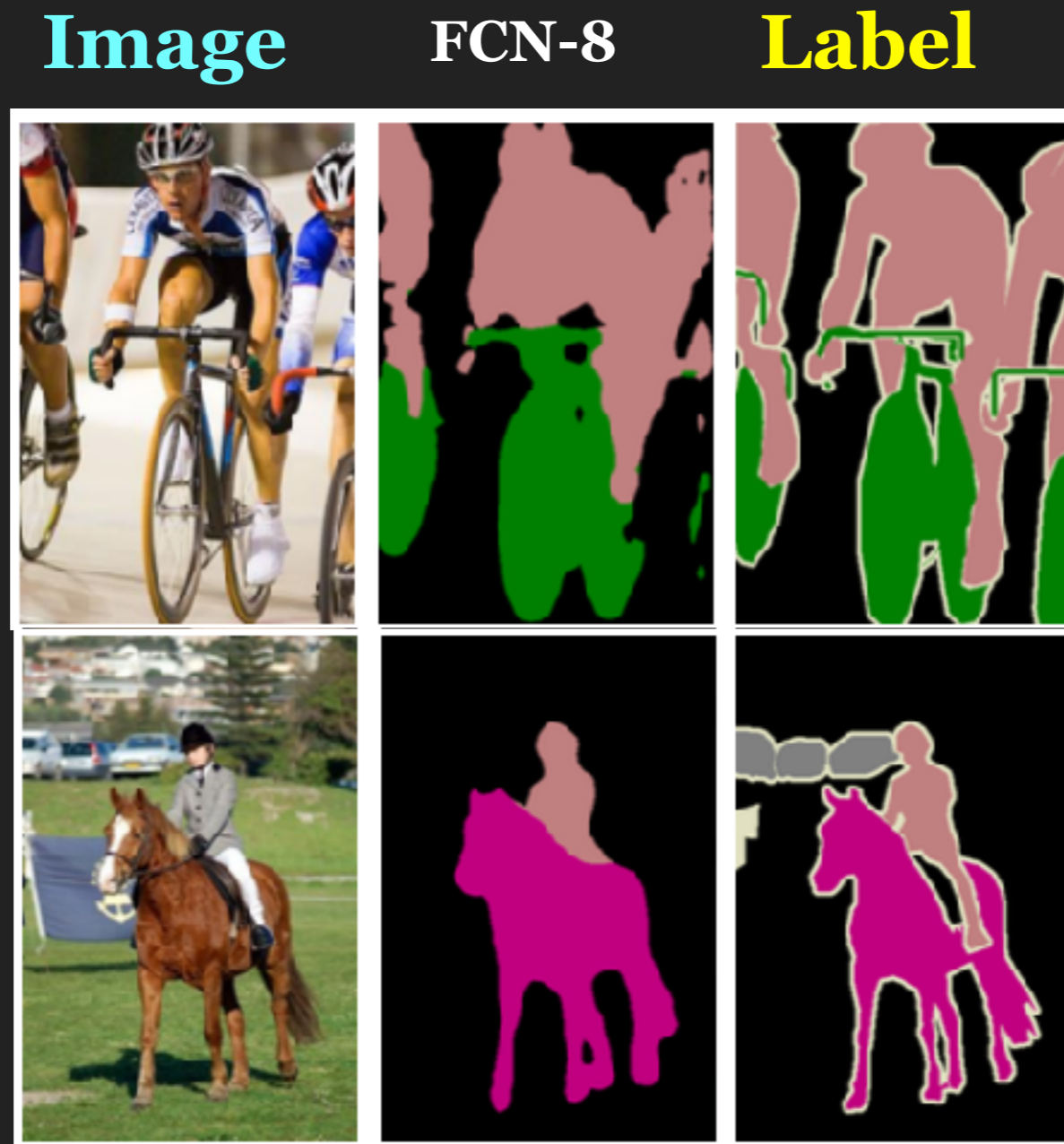
- ▶ Trained a network to place a bounding box around a neutrino interaction within a whole event view



- Distribution of scores for regions overlapping with neutrinos (blue) versus background (red)



- ▶ This task asks the network to label the individual pixels as belong to some class



FCN-8: **Fully-Convolutional-Network** (FCN)

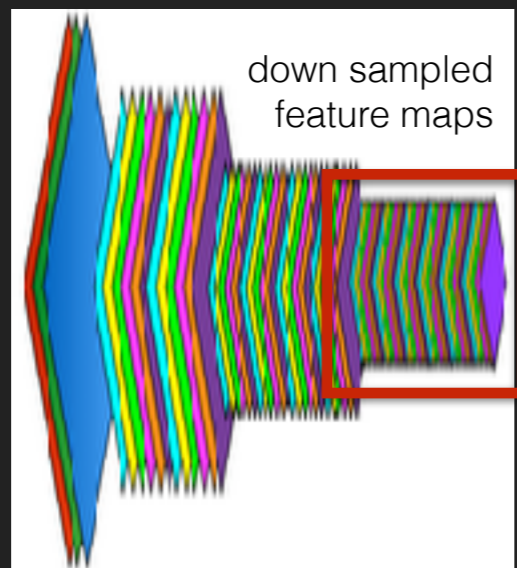
How is it different from *Image Classification*?

## Cartoon of Image Classification

Encode



input image



class vector



- ▶ Convolution layers find collection of complex features
- ▶ Features found combined to determine most likely objects in whole images

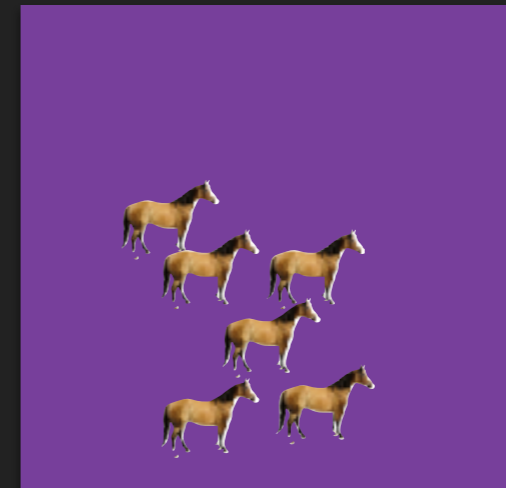
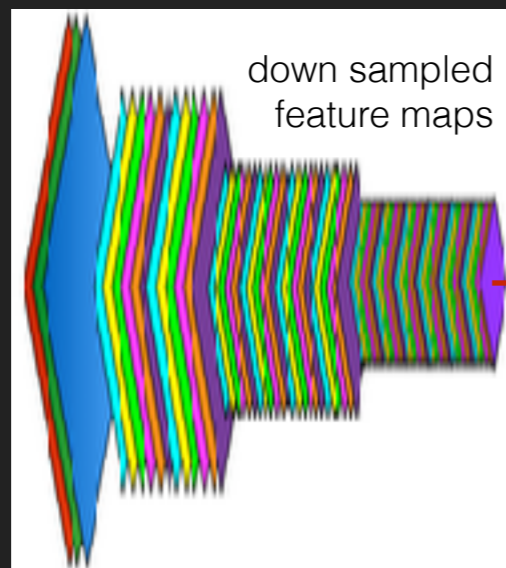
How is it different from *Image Classification*?

## Cartoon of Image Classification

Encode



input image



cartoon of  
feature map of  
(horse-related  
features)

- ▶ Individual feature maps (produced by a neuron in a layer) contain spatial information
- ▶ However, down-sampled
- ▶ For semantic segmentation, we want to use this information

How is it different from *Image Classification*?

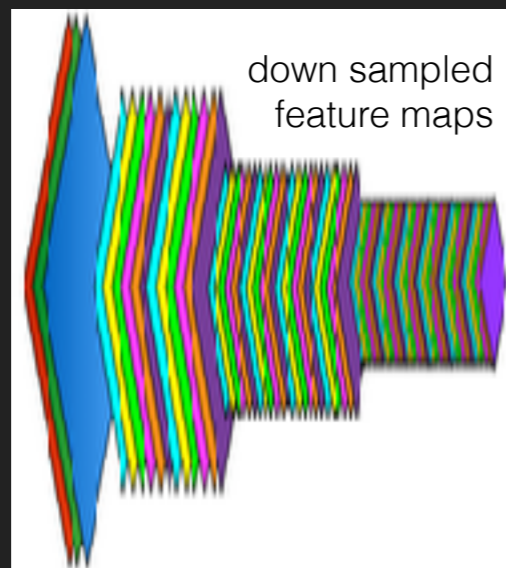
## Cartoon of Fully-Convolutional SS Network

Encode

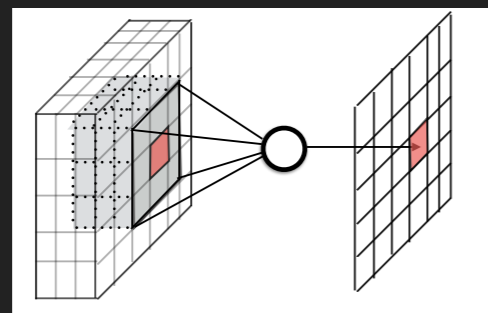
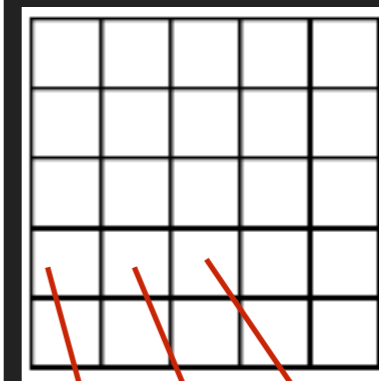
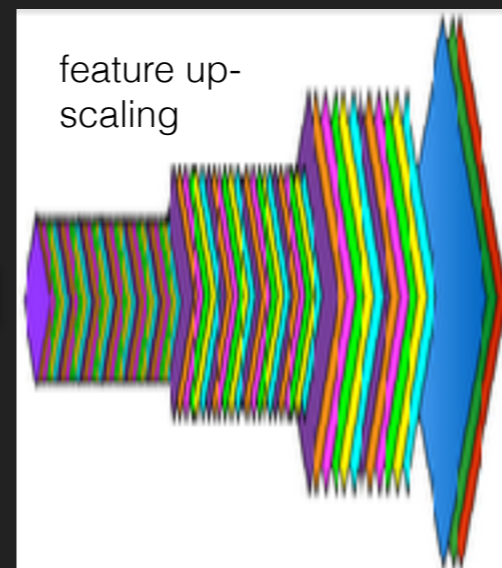
Decode



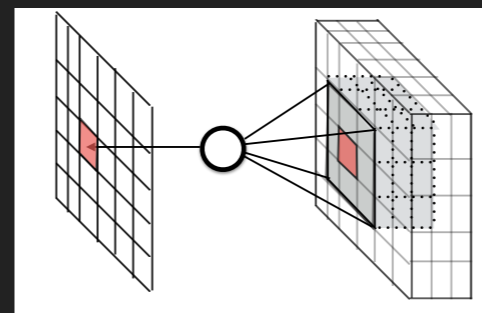
input image



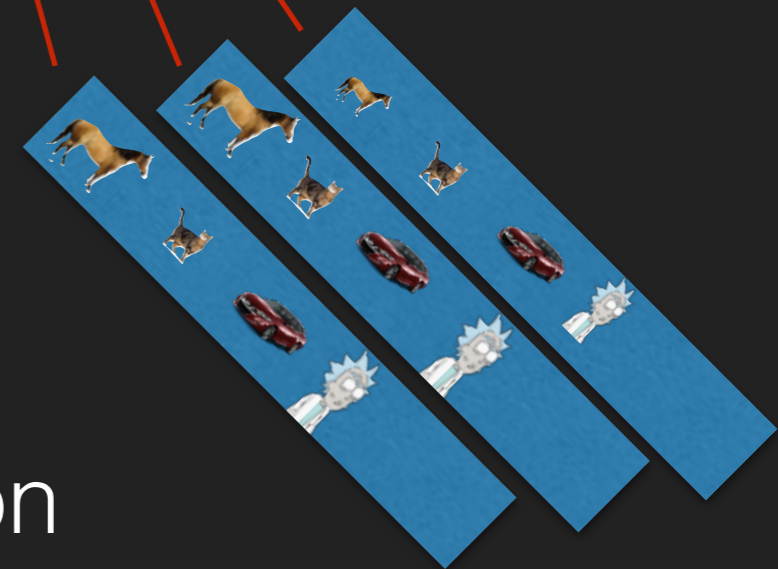
feature  
vector



convolutions



learned projection



How is it different from *Image Classification*?

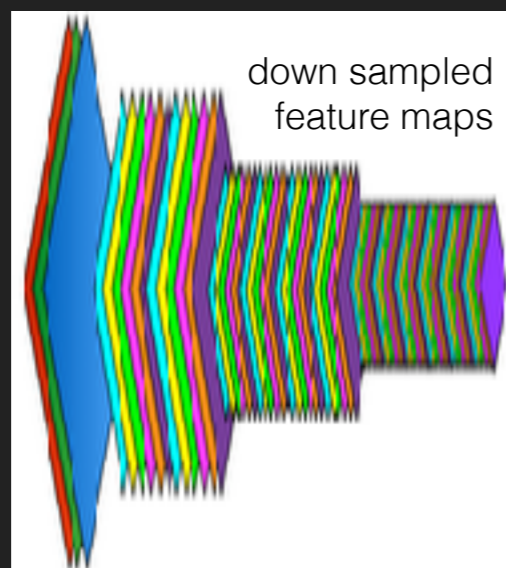
## Cartoon of Fully-Convolutional SS Network

Encode

Decode

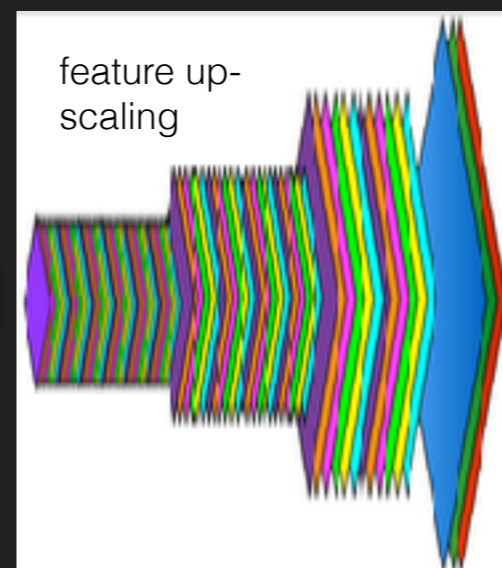


input image

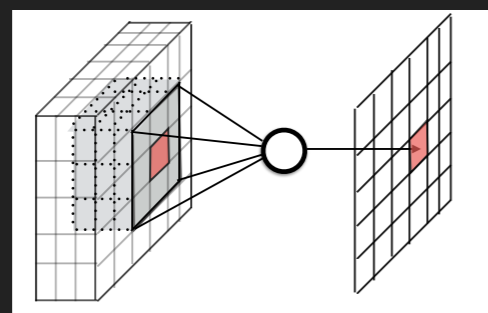
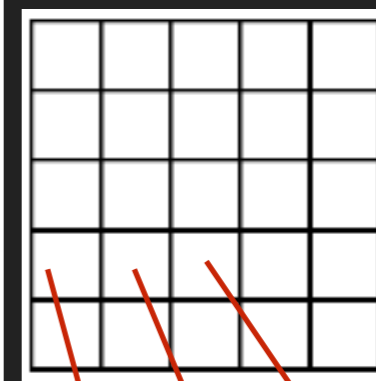


down sampled  
feature maps

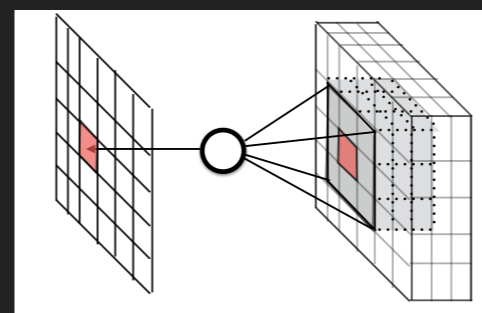
feature  
vector



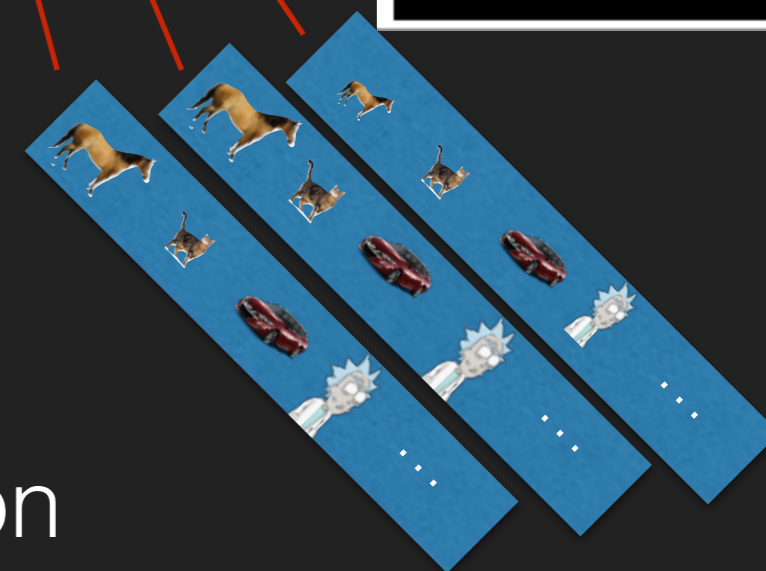
feature up-  
scaling



convolutions



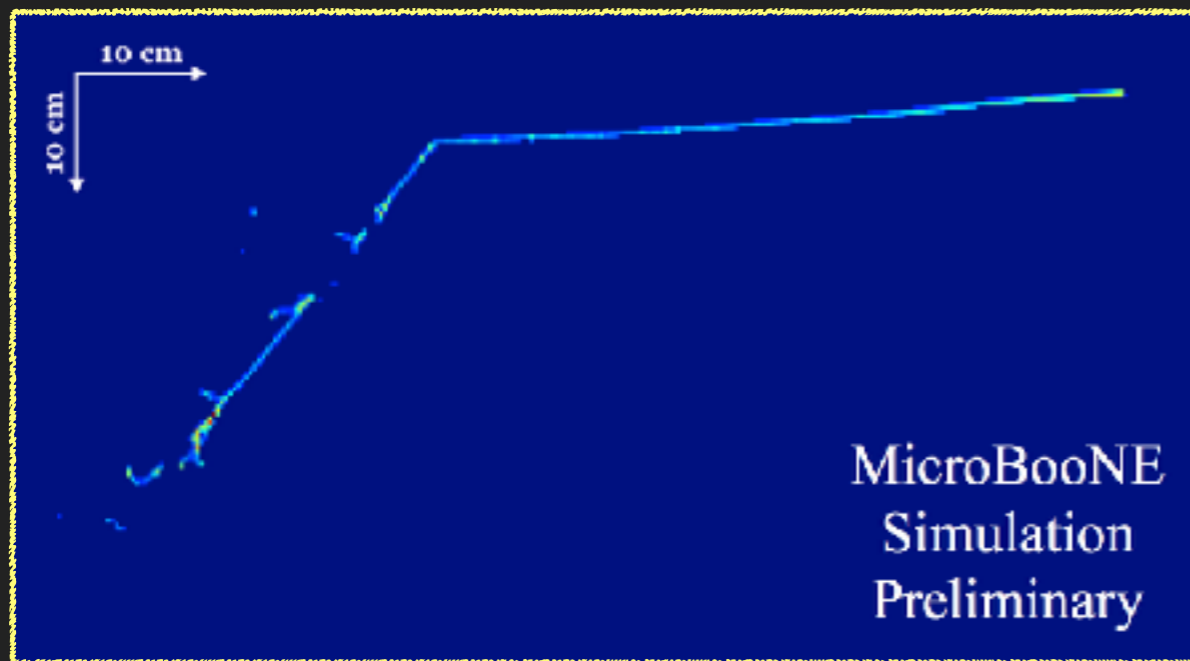
learned projection



pixel-level class vectors

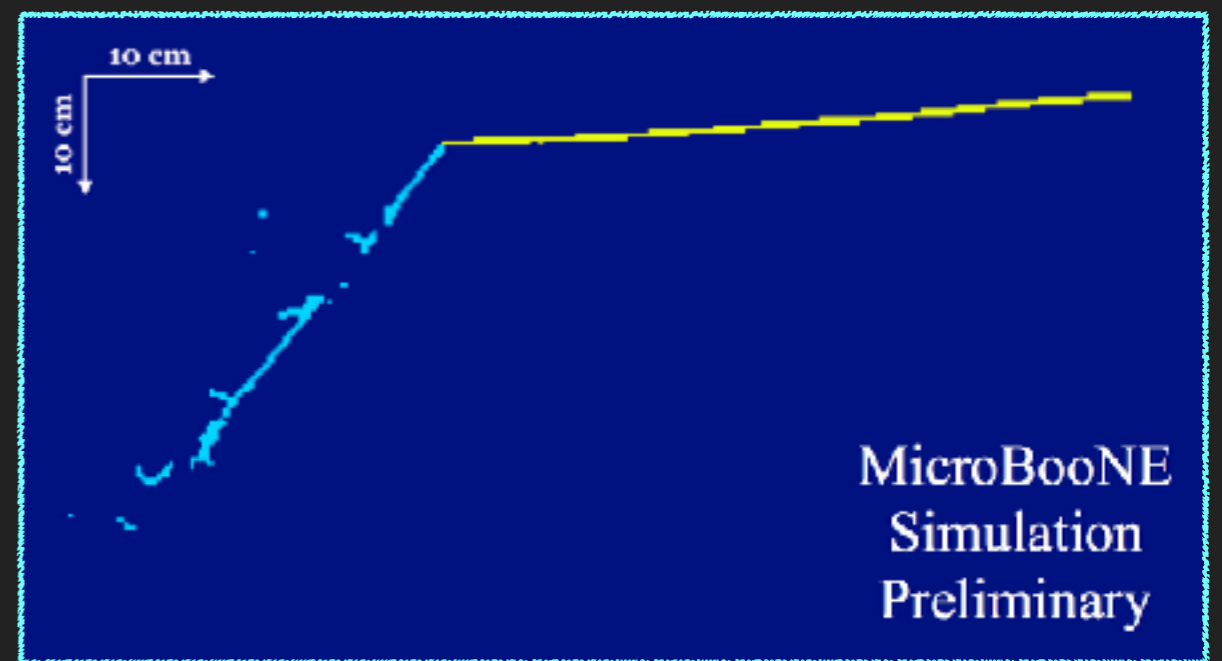
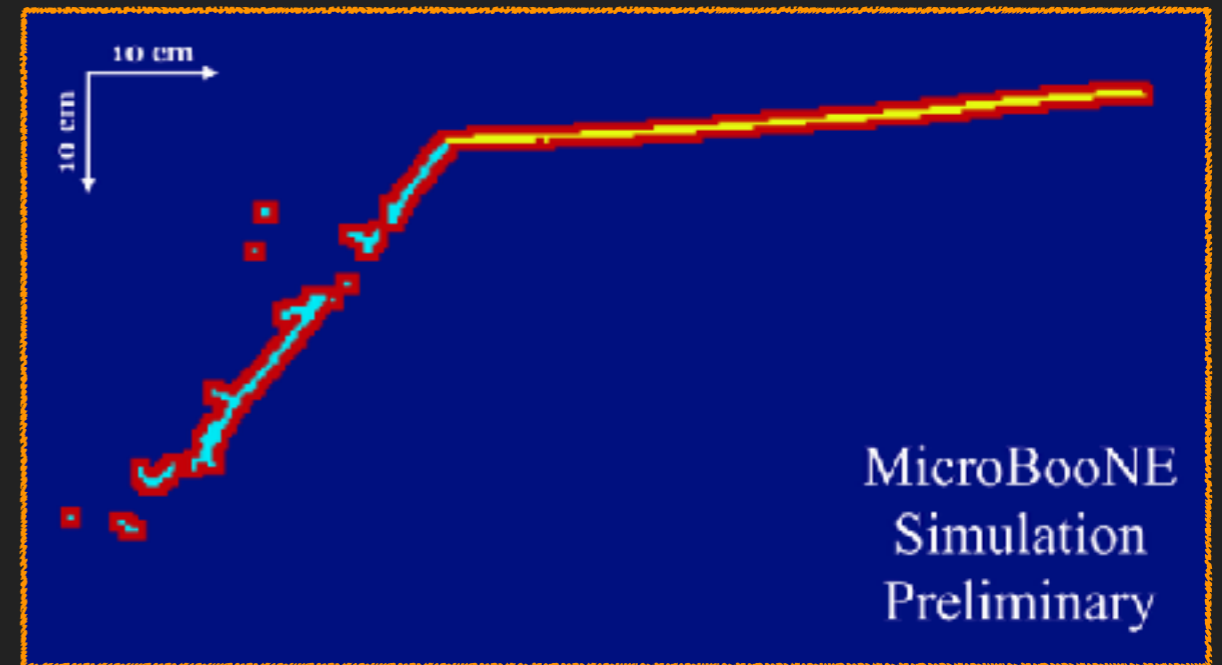
## Supervised Training (UB)

- Assign pixel-wise “weight” to penalize mistakes
- Weights inversely proportional to each “category” of pixel count
- Useful for LArTPC images ( low information density)
- U-Net (arXiv:1505.04597)

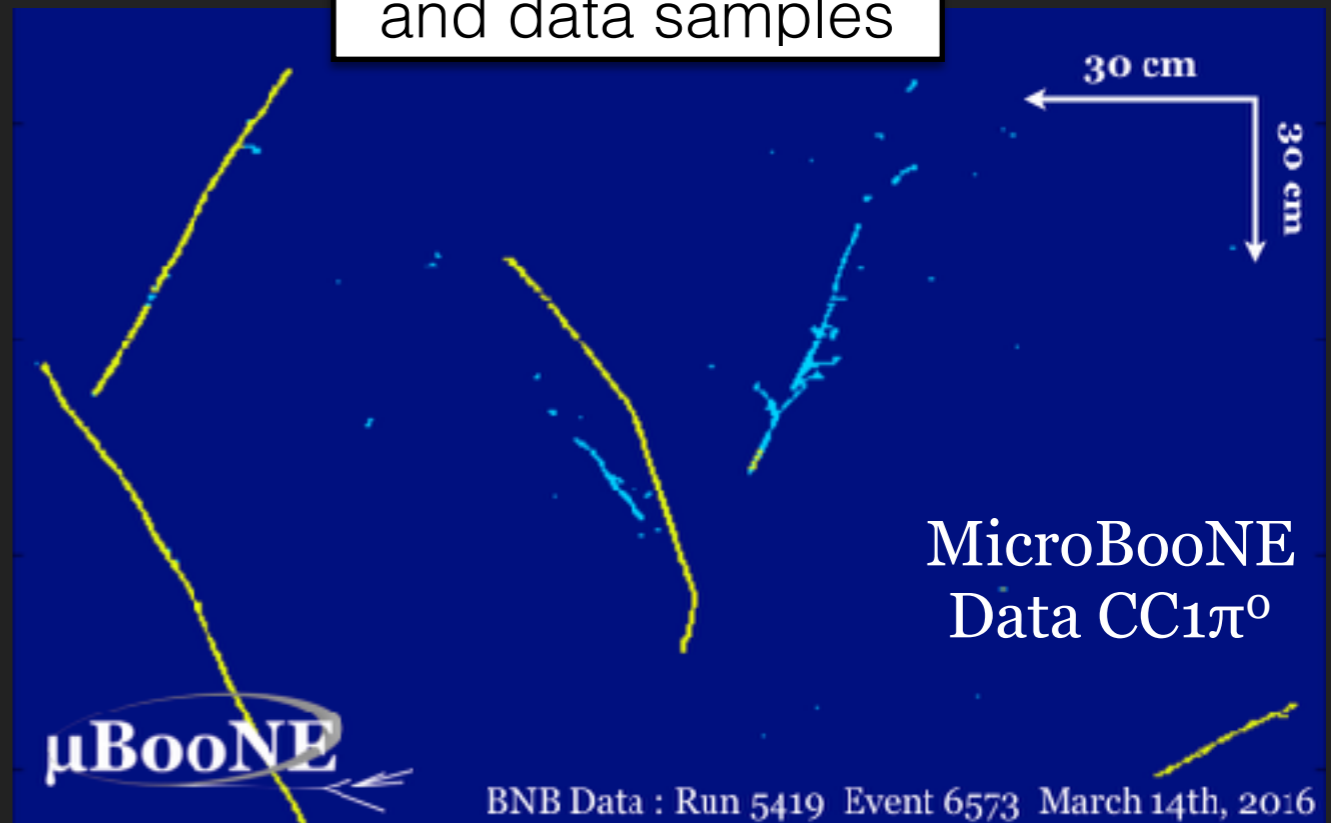
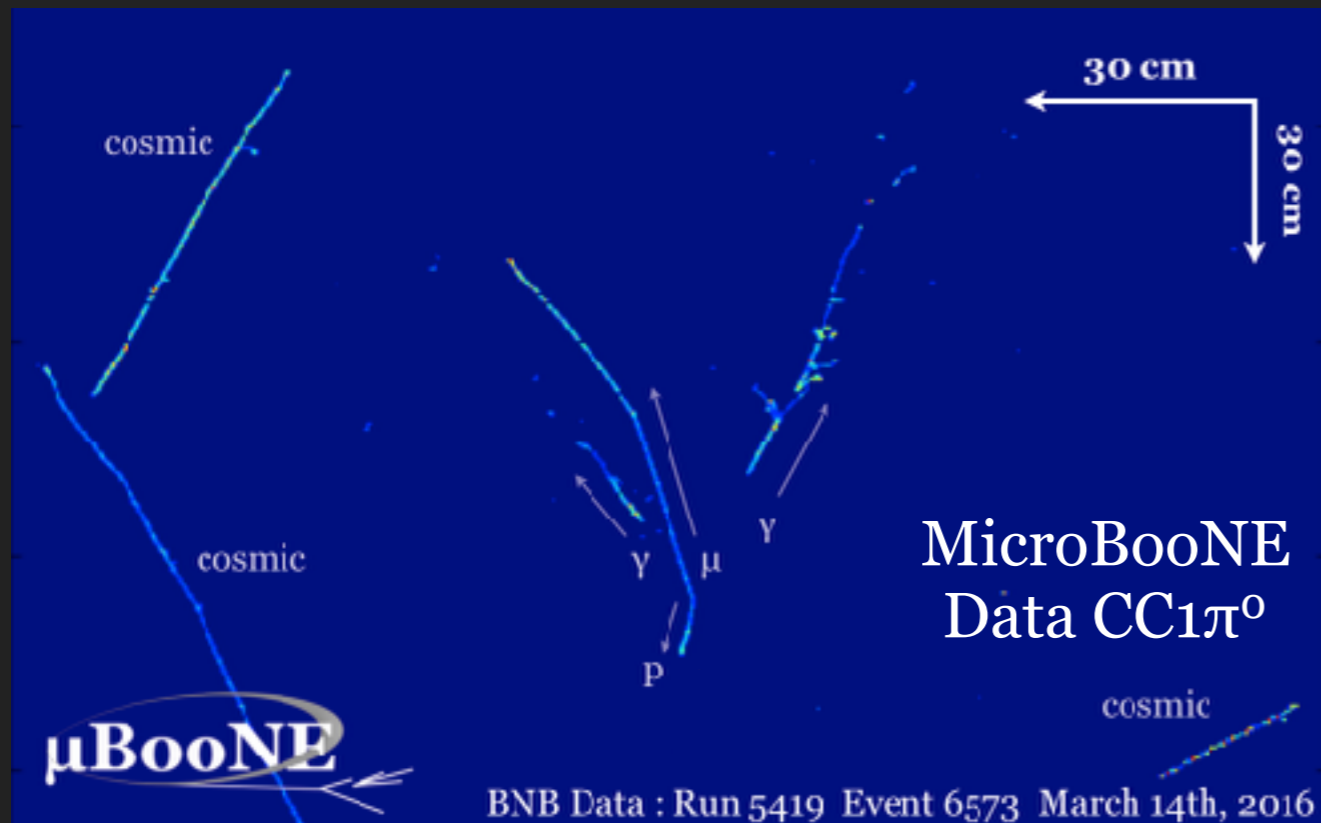
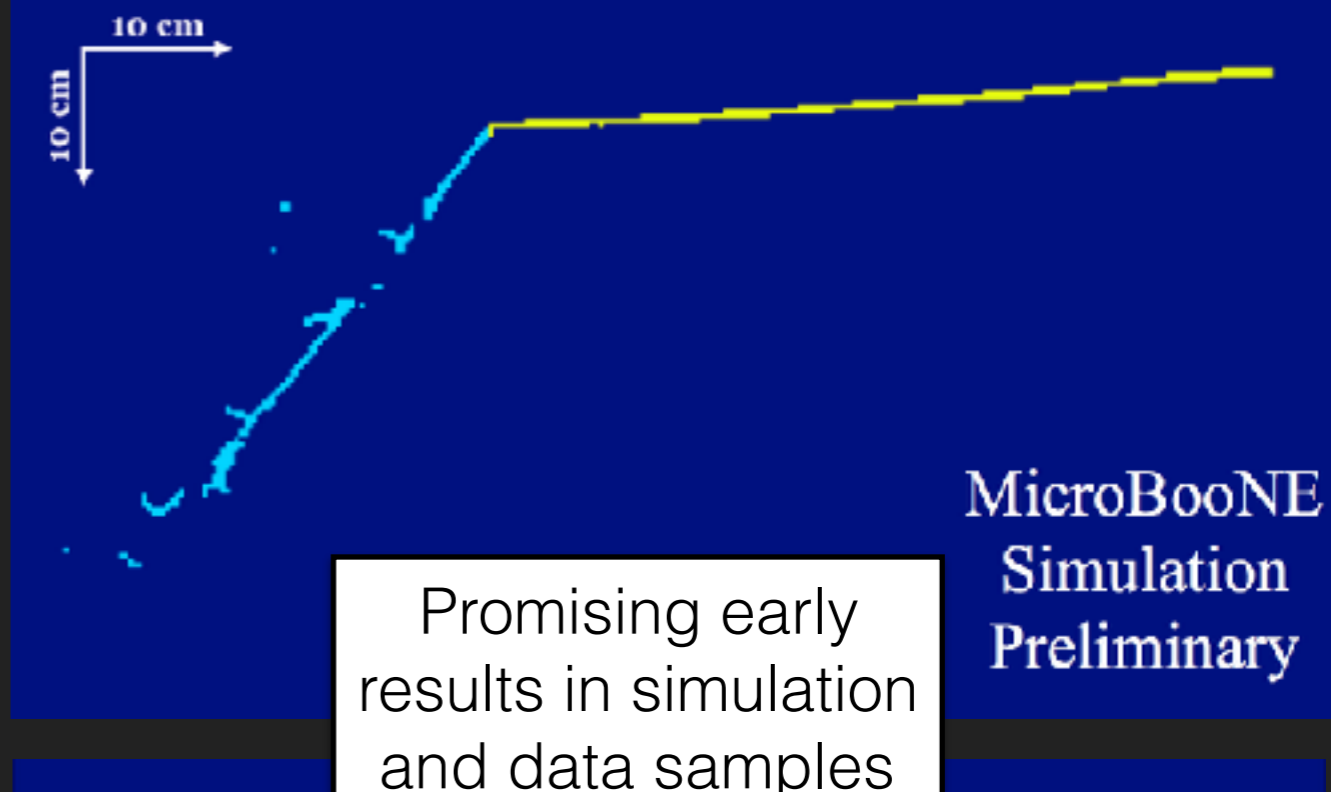
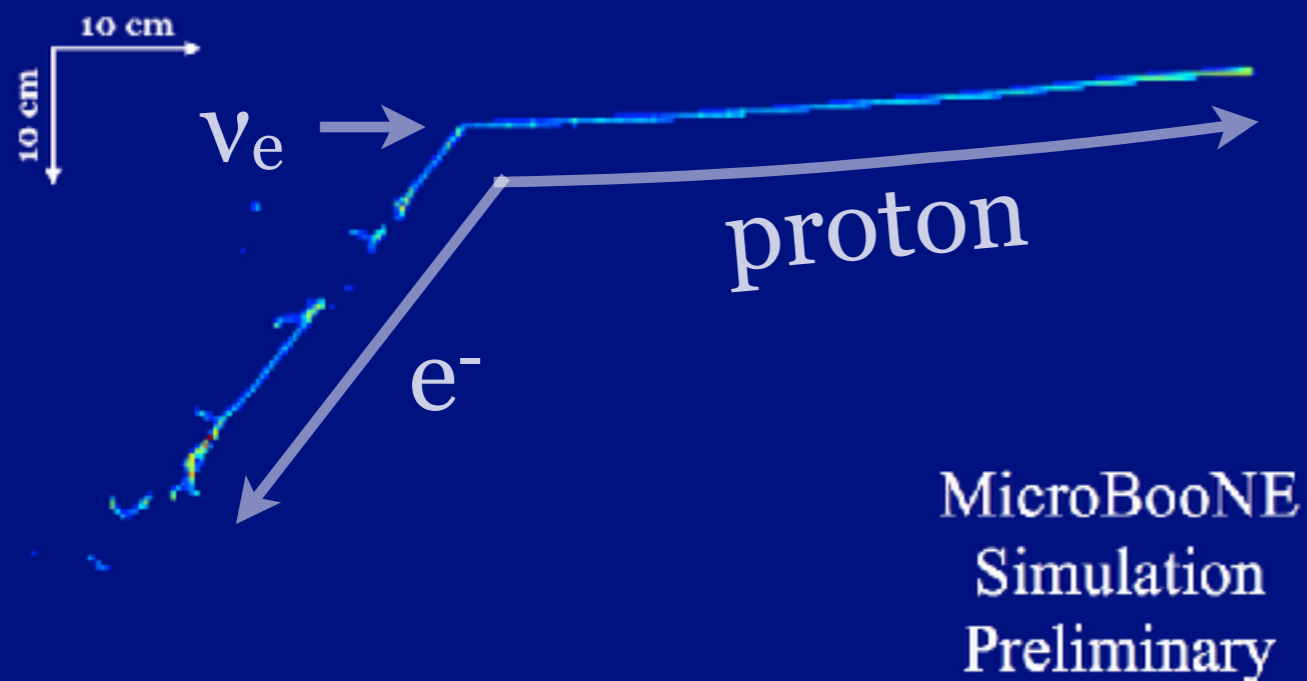


**Input Image**

## “Weight” Image (for training)



**“Label” Image  
(for training)**



ADC Image

Network Output

- ▶ We have incorporated some of the techniques we've developed into an analysis looking the low energy excess
  - ▶ See L. Yates talk on Thursday
  - ▶ Incorporates PID and Semantic Segmentation
- ▶ On-going effort to mitigate systematics from training on MC events
  - ▶ Testing on cosmic ray samples
  - ▶ Semantic aware-training
  - ▶ Feature-constrained training (to avoid leaning MC-specific features)

- ▶ MicroBooNE is helping to pioneer the use of CNNs for LArTPC data
  - ▶ Classification, object detection, semantic segmentation
  - ▶ Details in paper: JINST 12 (02) P02017
- ▶ Also, working to understand how to bridge the MC-data divide
- ▶ Incorporating techniques into physics analyses
  - ▶ *See L. Yates Talk Thursday (Neutrino II afternoon, Comitium)*
- ▶ HEP-Friendly (i.e. ROOT) interfaces to Caffe and Tensorflow
  - ▶ LArCV: <https://github.com/LArbys/LArCV>
  - ▶ Caffe 1-fork: <https://github.com/LArbys/caffe>
  - ▶ Starting to think about LArSoft integration

- ▶ Thanks for your attention
- ▶ And thank you to the funding agencies for making this work possible



# BACK-UPS

Image, Network	Classified Particle Type				
	$e^-$ [%]	$\gamma$ [%]	$\mu^-$ [%]	$\pi^-$ [%]	proton [%]
HiRes, AlexNet	$73.6 \pm 0.7$	$81.3 \pm 0.6$	$84.8 \pm 0.6$	$73.1 \pm 0.7$	$87.2 \pm 0.5$
LoRes, AlexNet	$64.1 \pm 0.8$	$77.3 \pm 0.7$	$75.2 \pm 0.7$	$74.2 \pm 0.7$	$85.8 \pm 0.6$
HiRes, GoogLeNet	$77.8 \pm 0.7$	$83.4 \pm 0.6$	$89.7 \pm 0.5$	$71.0 \pm 0.7$	$91.2 \pm 0.5$
LoRes, GoogLeNet	$74.0 \pm 0.7$	$74.0 \pm 0.7$	$84.1 \pm 0.6$	$75.2 \pm 0.7$	$84.6 \pm 0.6$

- ▶ Current:

- ▶ replace/augment traditional algorithm tasks: PID, clustering, 2D->3D reconstruction
- ▶ limit to tasks one can check with some kind of cosmic ray sample on DATA: MicroBooNE, protodune will have data
- ▶ Systematics aware-training
- ▶ employ in analyses

- ▶ Near-term:

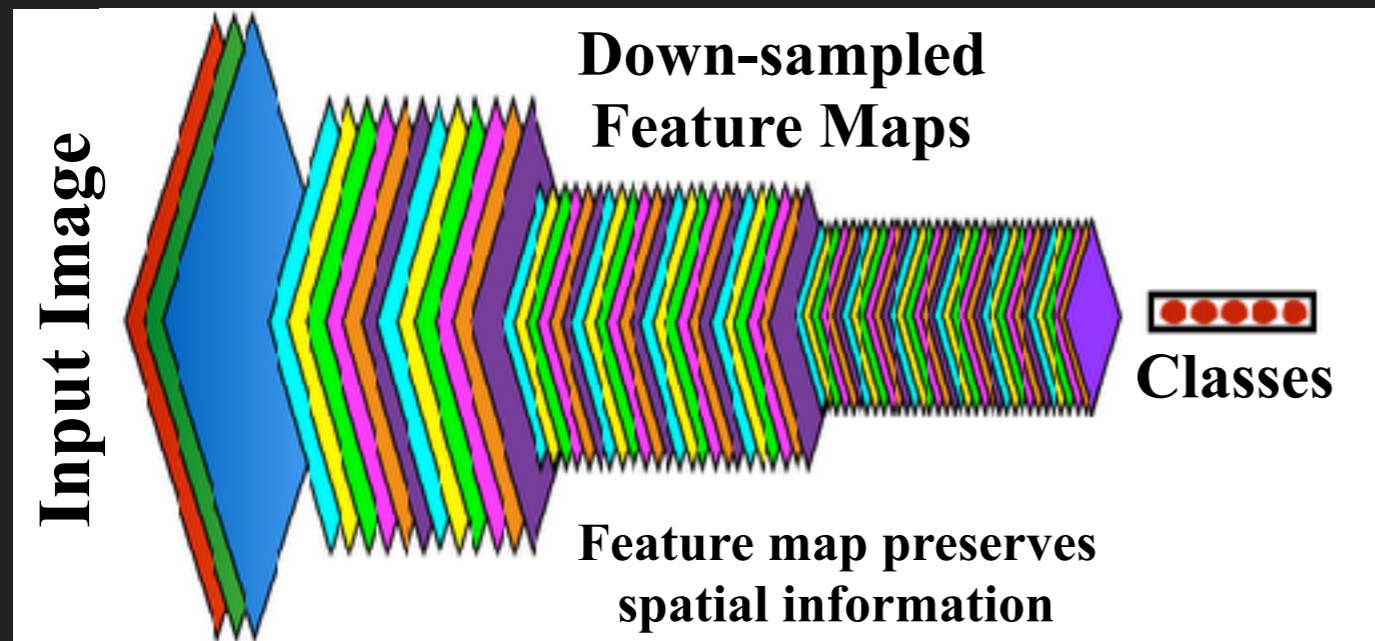
- ▶ SBND will have lots of neutrino interaction data
- ▶ Train for tasks targeting neutrino interactions
- ▶ Unsupervised techniques where Networks cluster data itself

- ▶ End-goal:

- ▶ Recurrent Neural Network systems that perform interaction hypothesis search
- ▶ Fast Hypothesis generation through Generative networks (e.g. GAN)
- ▶ Reinforcement learning to teach network to solve interaction using self-taught decision tree for calling reco. algorithms
- ▶ Output components of decision process to humans

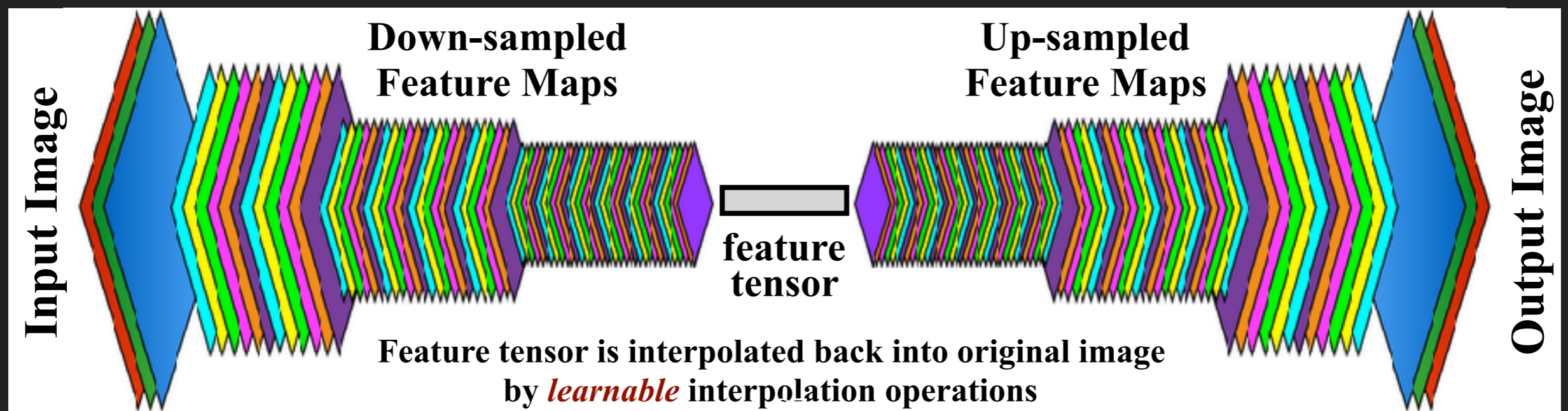
How is it different from *Image Classification*?

Example CNN for Image Classification



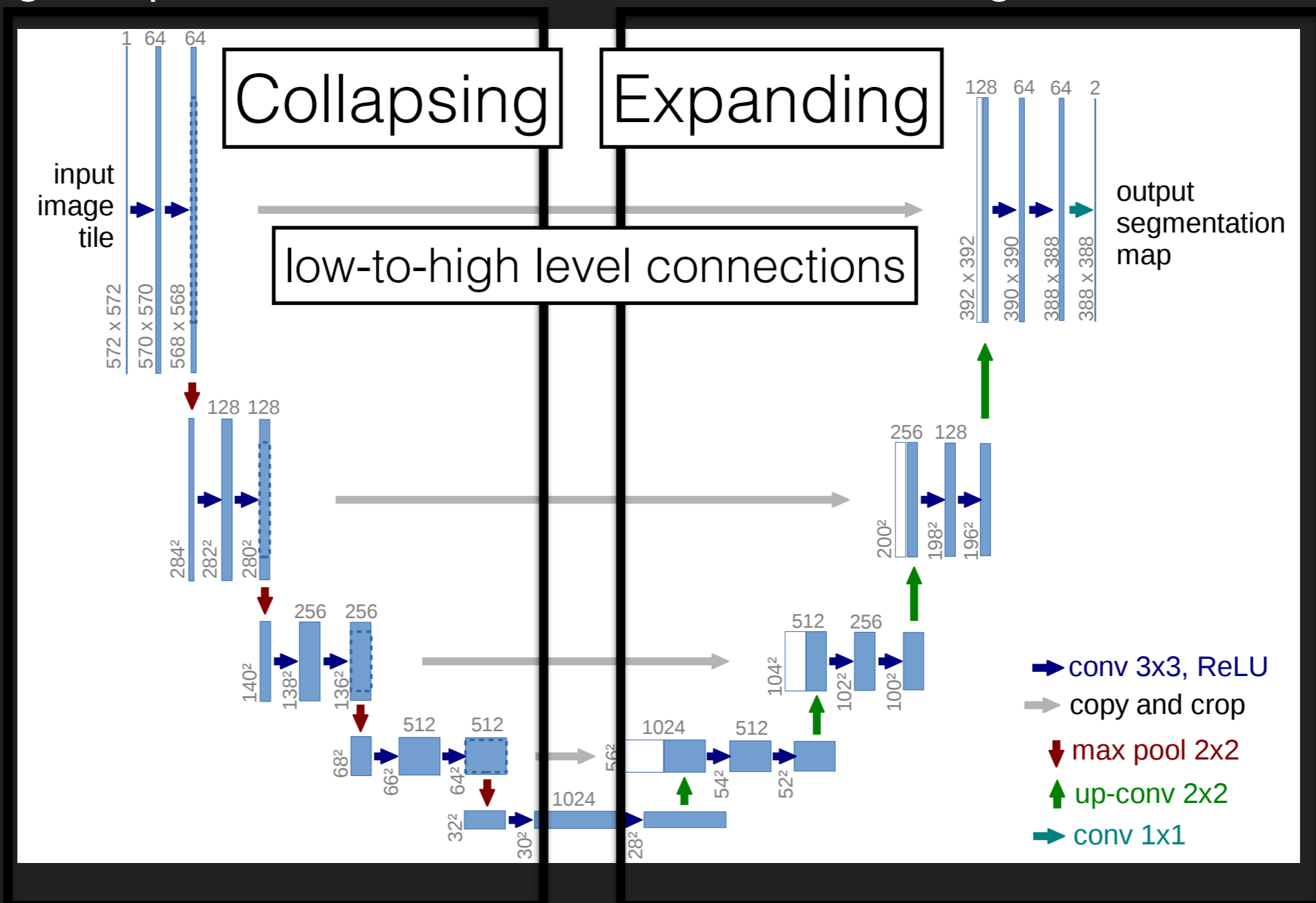
- Classification network reduces the whole image into final “class” 1D array
- SSNet, after extracting class feature tensor, interpolates back into original image size

Example CNN for Semantic Segmentation

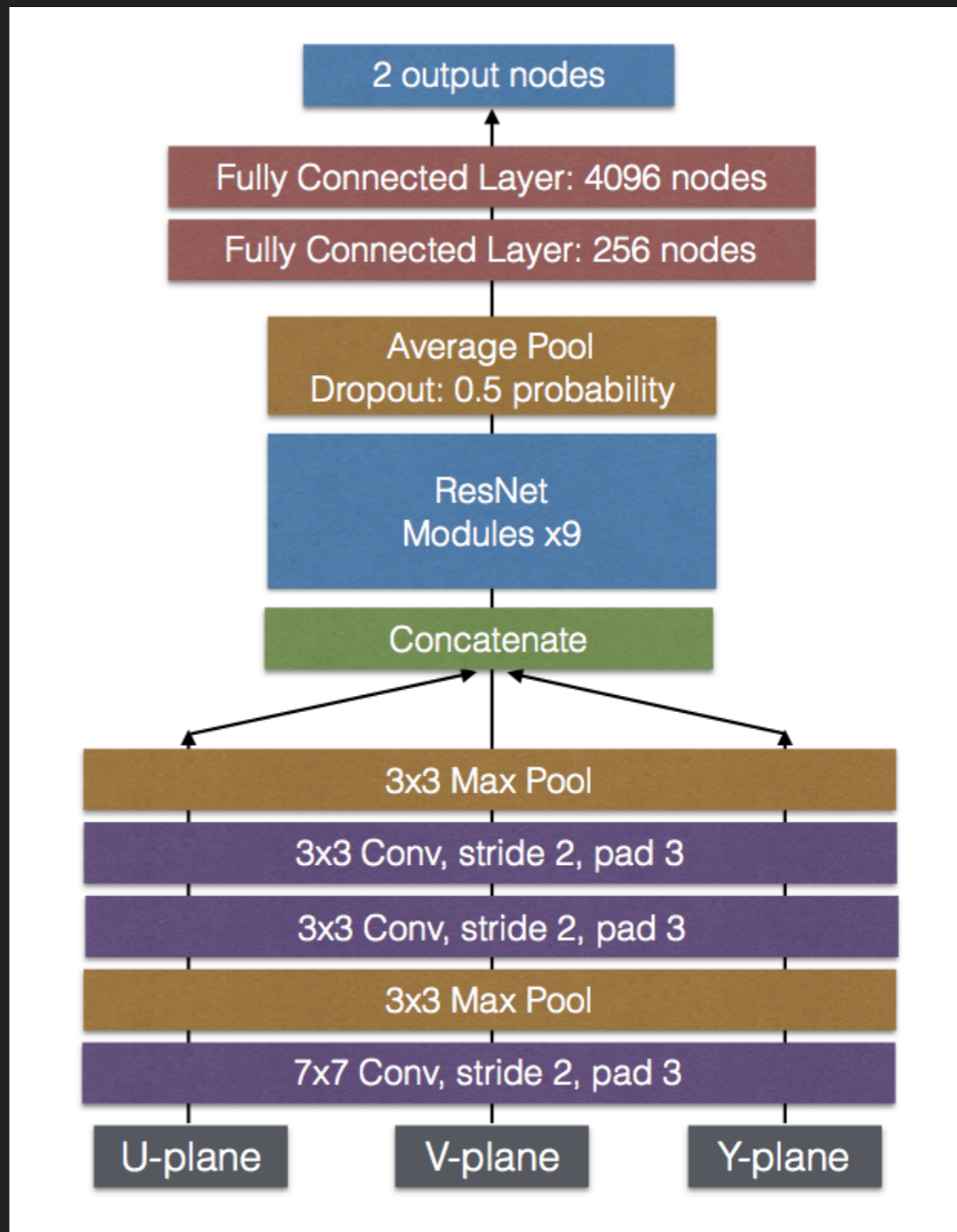


## uBoone U-ResNet (or UBURN) Architecture

- U-Net gets its name from its graph diagram: network composed of a collapsing and expanding half, plus connections between low level and high-level feature maps



- ▶ Network used in paper
- ▶ Uses ResNet modules
- ▶ BatchNorm
- ▶ DropOut
- ▶ Convolution  
"stem" (purple and gold) where weights shared across application of 3 views



# Generative Adversarial Networks (GANs)

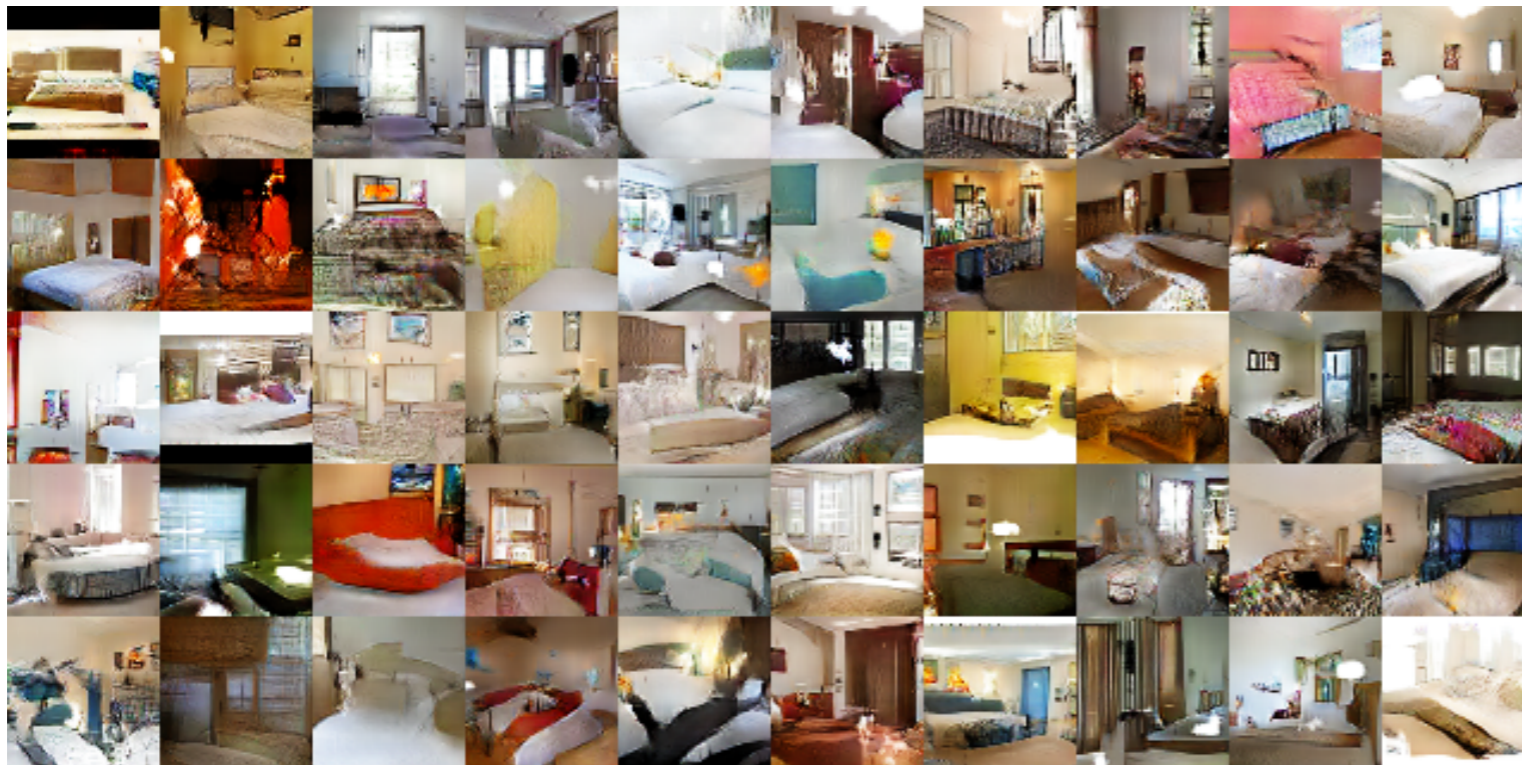
A GAN is a CNN that takes in a random vector and transforms it into an image. The image produced is then fed through a classifier CNN, which classifies the image as either real or fake.

The goal of a GAN is to produce images that the classifier thinks are real.

A GAN that uses feature mapping has a modified goal: to produce images that, when fed through the classifier, cause the neurons in the classifier network to activate in the same way as they would when viewing real images.

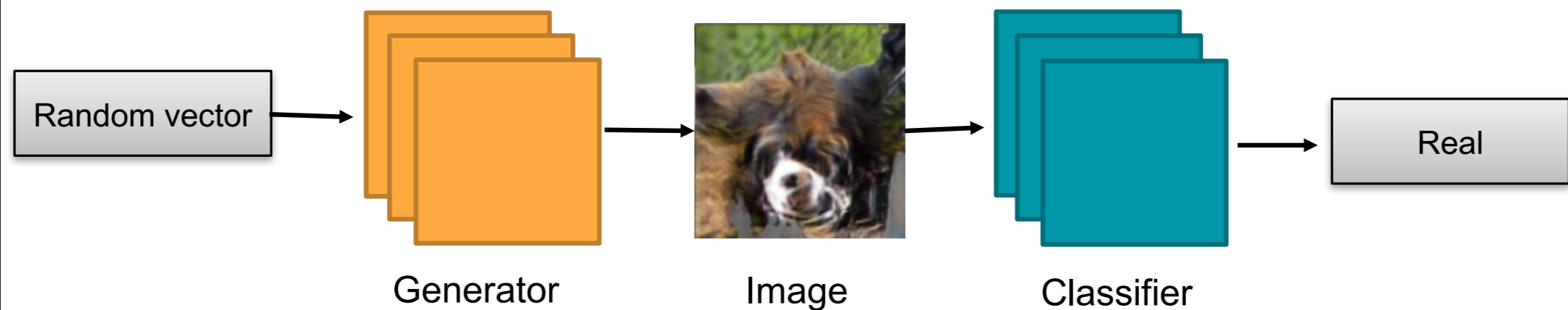
<http://arxiv.org/abs/1511.06434>

arXiv:1606.03498

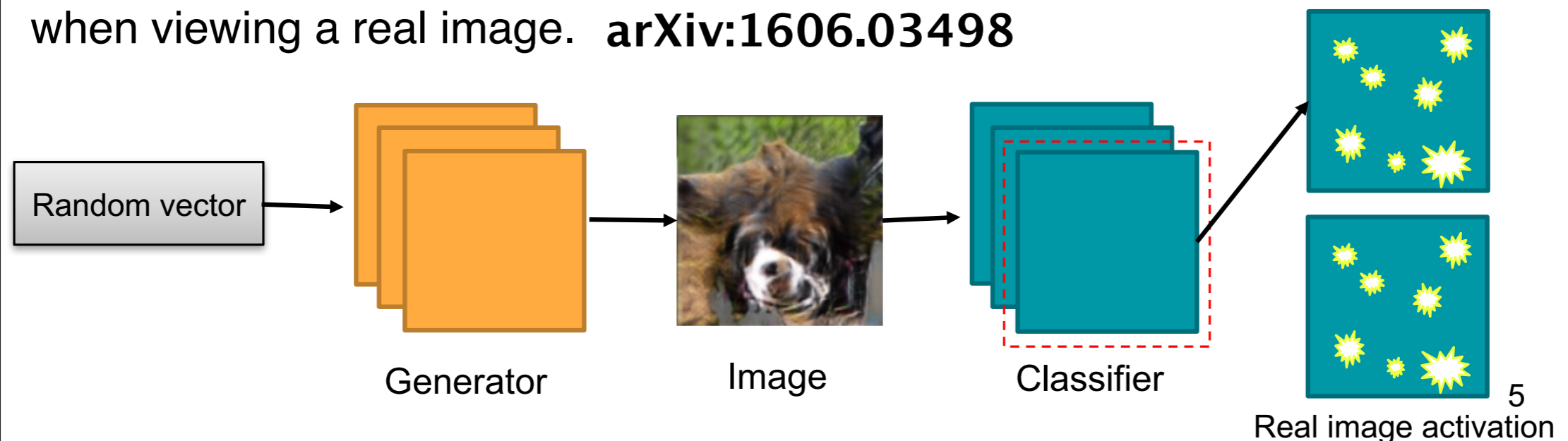


## Feature Matching in GANs

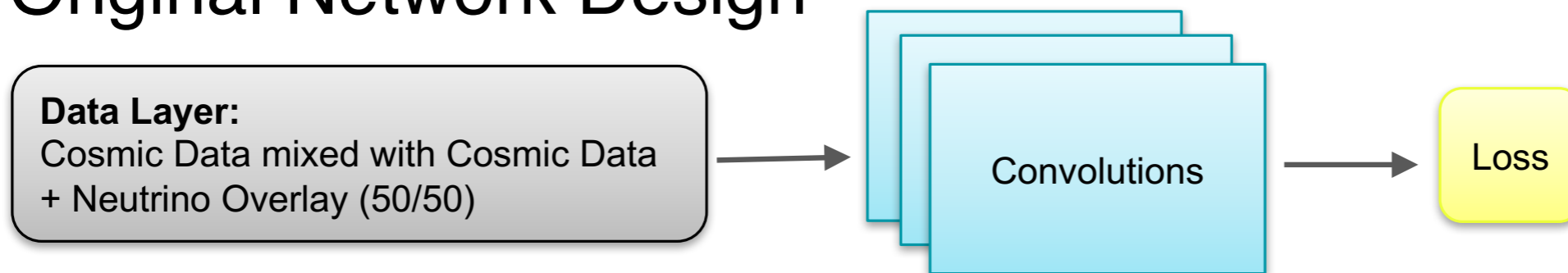
**Standard GAN:** GAN is rewarded when classifier network classifies the image as real. [arXiv:1511.06434](#)



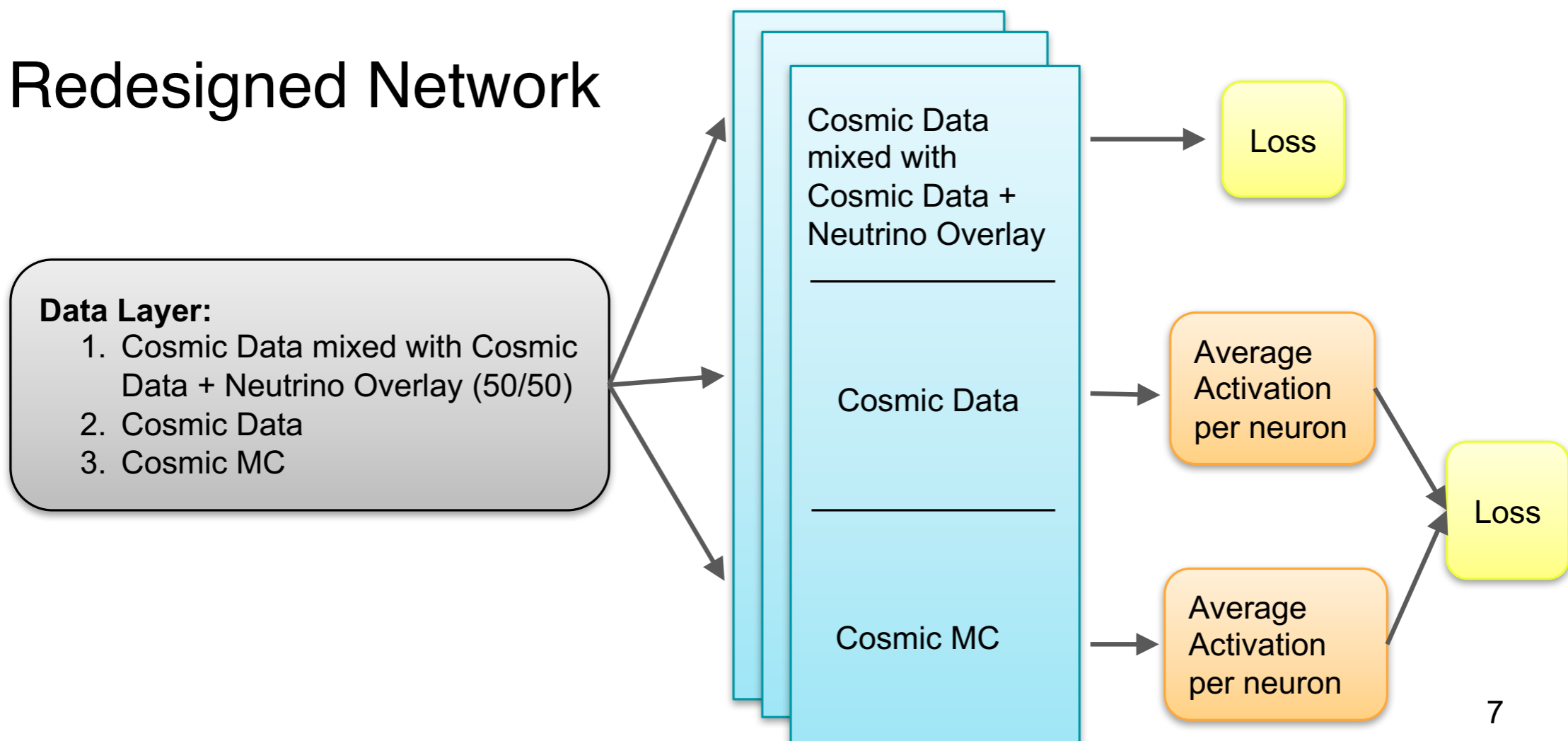
**Feature-matching GAN:** GAN is rewarded when neurons in an intermediate layer of the classifier network activate in the same way as when viewing a real image. [arXiv:1606.03498](#)



## Original Network Design



## Redesigned Network



## WHAT IS STABILITY TRAINING?

- Small perturbations in images can cause large shifts in classification scores
- We modify our loss function with a “Stability Term”
- Run “original image” and “original image plus gaussian noise” and minimize difference in score

<https://arxiv.org/pdf/1604.04326.pdf>

$$L_{total} = L_0 + L_{stability}$$

