

URA Thesis Award:

Antineutrino Oscillations in the Atmospheric Sector

Alexander Himmel

Duke University

for work done at

The California Institute of Technology

with

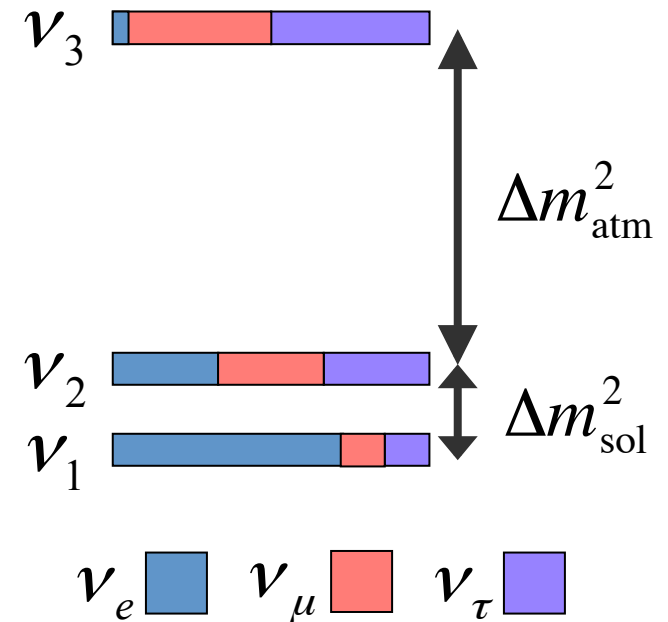
The MINOS Collaboration



45th FNAL User's Meeting, June 13th, 2012

The Standard 3-neutrino Picture

- Two mass splittings
 - Large: $\Delta m^2_{\text{atm}} \approx \Delta m^2_{23} = 2 \times 10^{-3} \text{ eV}^2$
 - Small: $\Delta m^2_{\text{sol}} \approx \Delta m^2_{12} = 8 \times 10^{-5} \text{ eV}^2$
- Three mixing angles:
 - Atmospheric: $\theta_{23} \approx 45^\circ$ (maximal?)
 - Solar: $\theta_{12} \approx 34^\circ$
 - Reactor: $\theta_{13} \approx 9^\circ$



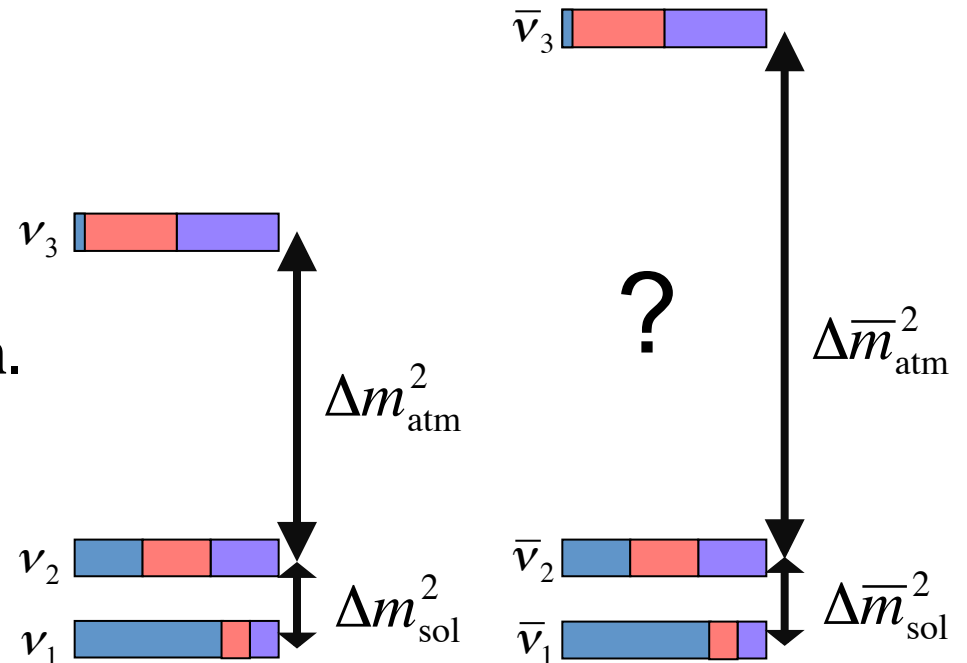
$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 1 & & \\ & \boxed{\begin{matrix} c_{23} & s_{23} \\ -s_{23} & c_{23} \end{matrix}} & \\ & & 1 \end{pmatrix} \begin{pmatrix} \boxed{c_{13}} & \boxed{s_{13}e^{-i\delta}} \\ & 1 \\ \boxed{-s_{13}e^{i\delta}} & \boxed{c_{13}} \end{pmatrix} \begin{pmatrix} \boxed{\begin{matrix} c_{12} & s_{12} \\ -s_{12} & c_{12} \end{matrix}} & \\ & 1 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Atmospheric, Accelerator
Reactor, Accelerator
Solar, Reactor

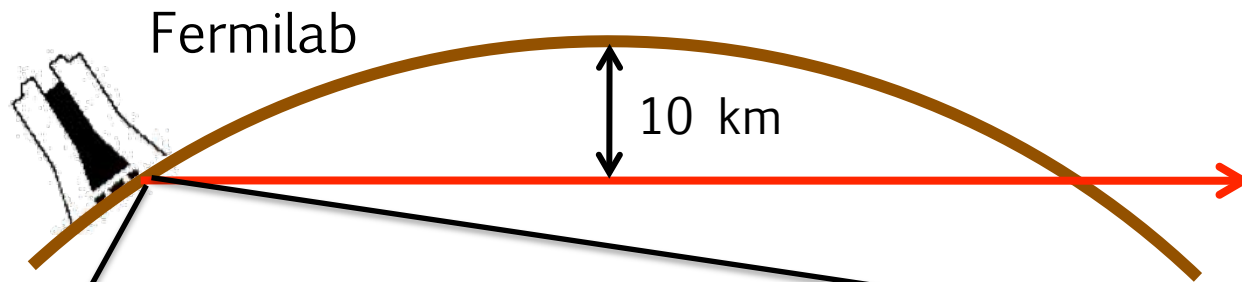
Why study ν_μ and $\bar{\nu}_\mu$?

- Standard neutrino model predicts identical oscillations
 - Differences would indicate **new physics!**
 - Could manifest as different apparent mass-splittings
- Antineutrino parameters are known with much less precision.
 - Only the MINOS experiment that can **identify $\bar{\nu}_\mu$ event-by-event**
 - Allows a **direct measurement** of antineutrino oscillations

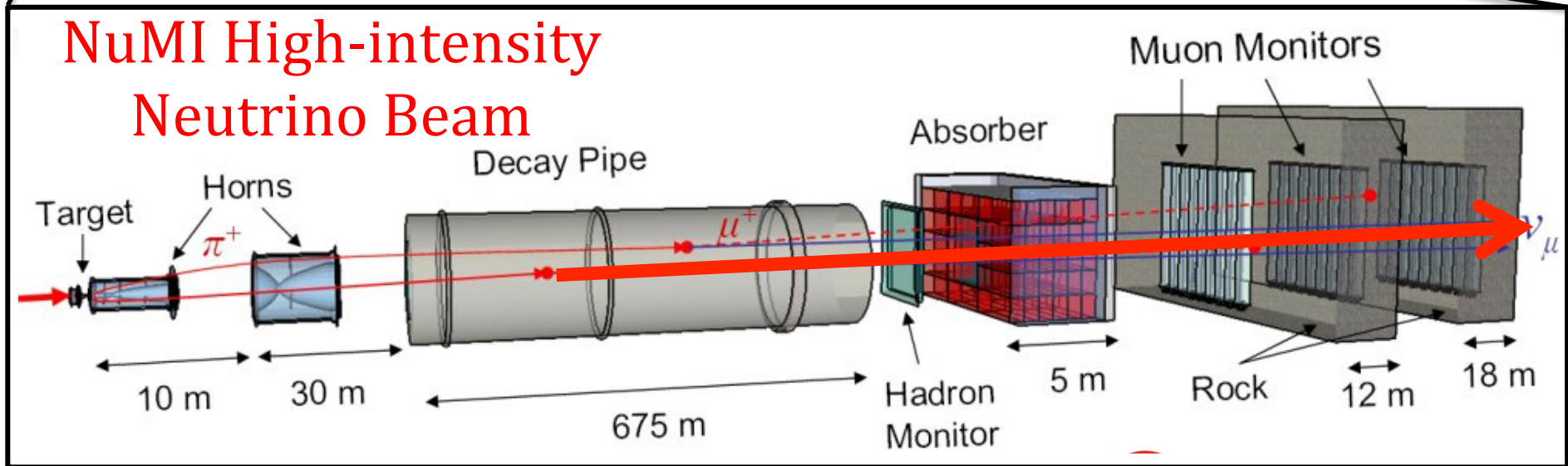
$$P(\nu_\mu \rightarrow \nu_\mu) \stackrel{?}{=} P(\bar{\nu}_\mu \rightarrow \bar{\nu}_\mu)$$



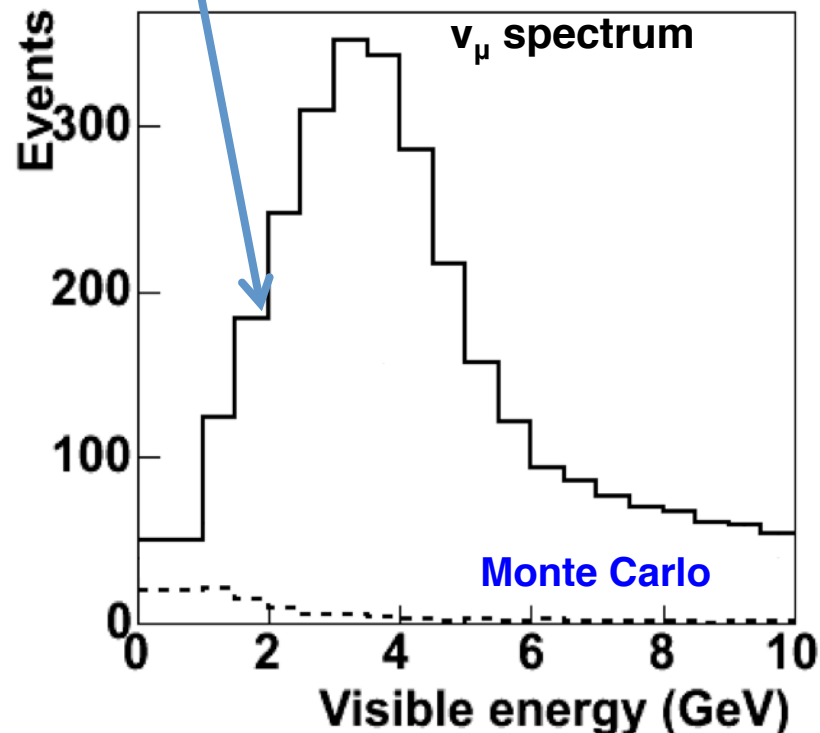
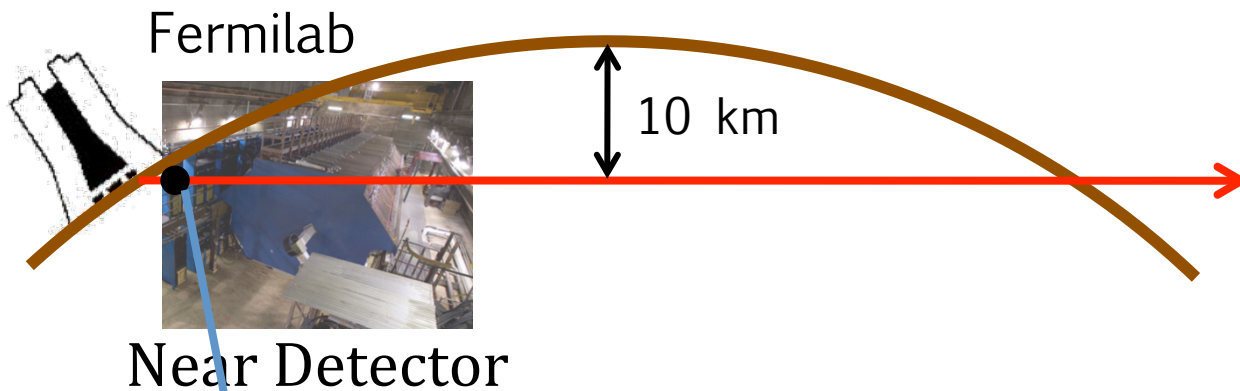
The MINOS Experiment



NuMI High-intensity Neutrino Beam

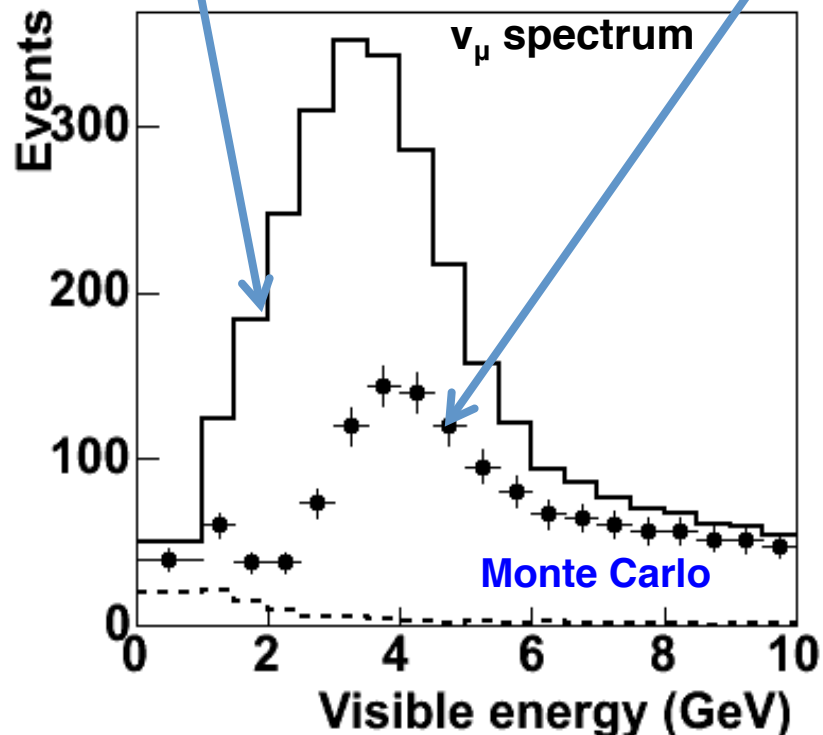
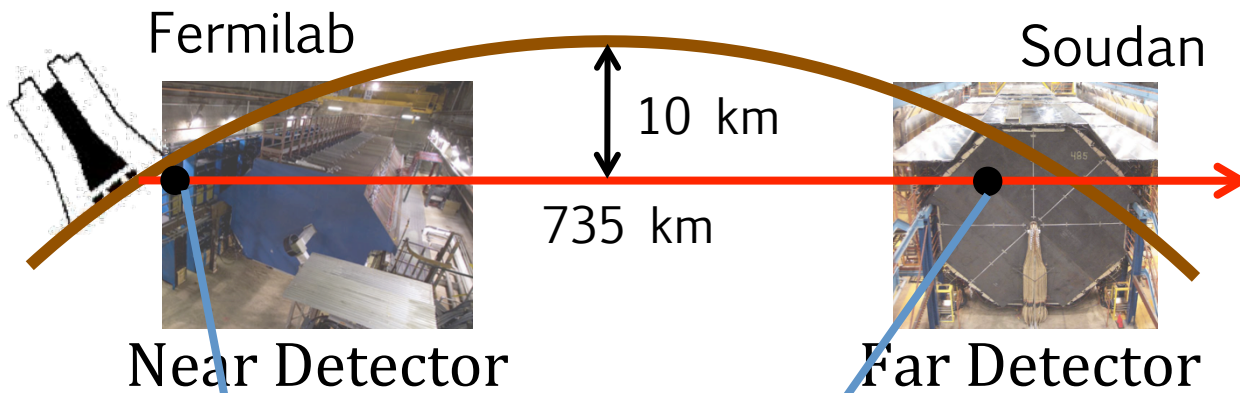


The MINOS Experiment



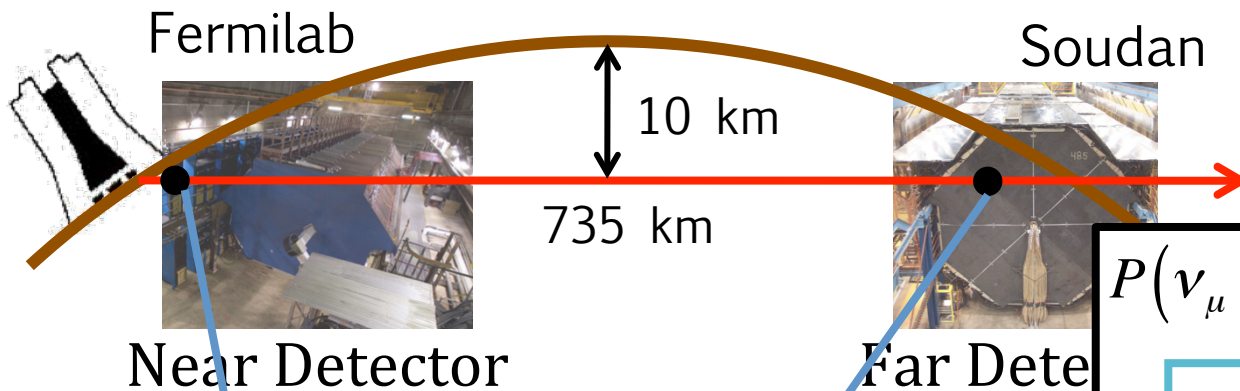
Near Detector at Fermilab
measures the initial
beam composition and
spectrum

The MINOS Experiment



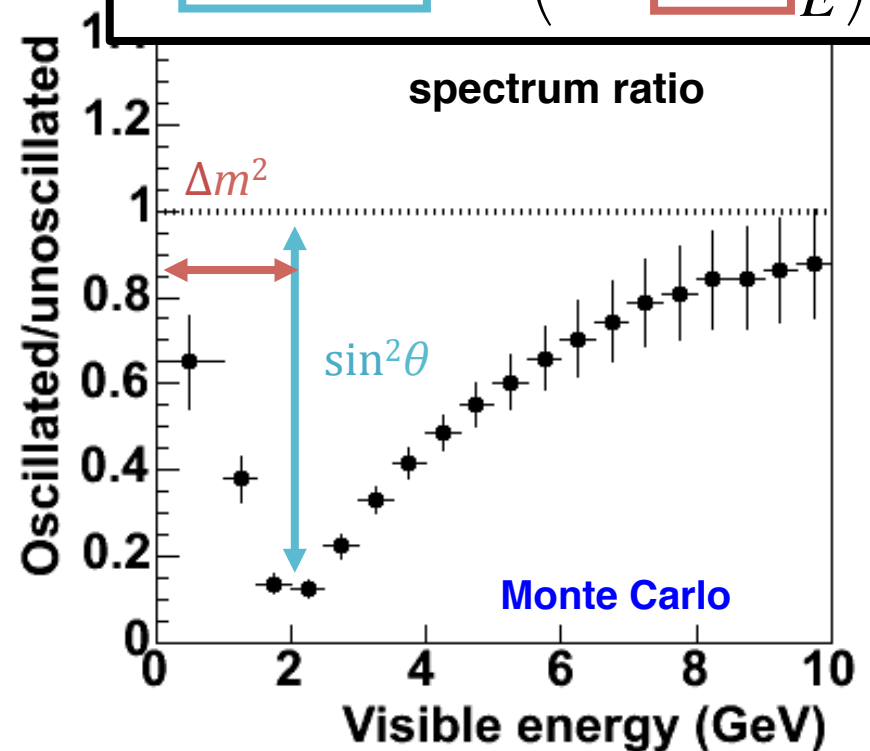
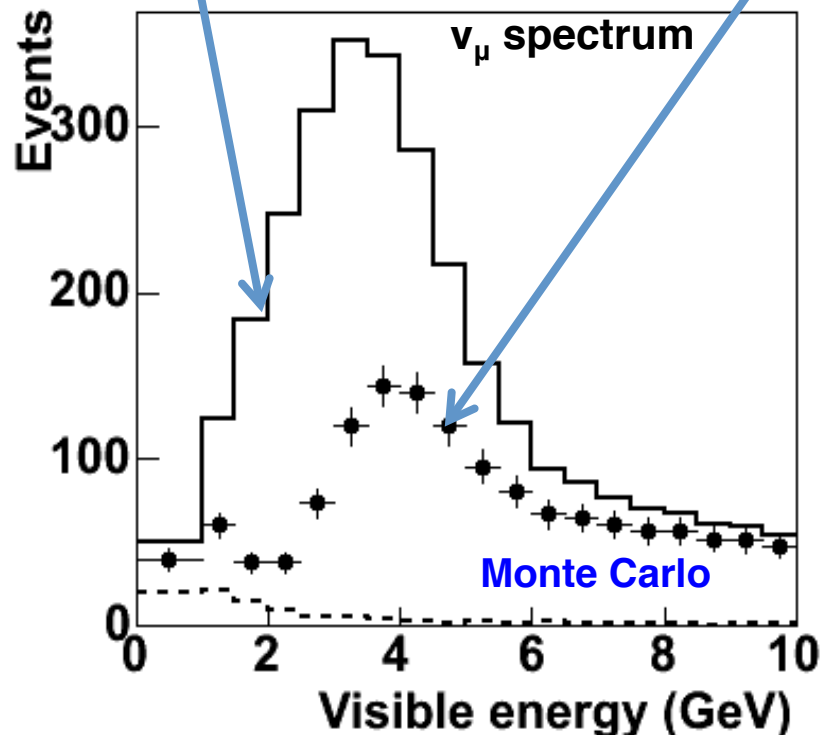
Far Detector in Soudan, MN
measures the
oscillated spectrum

The MINOS Experiment

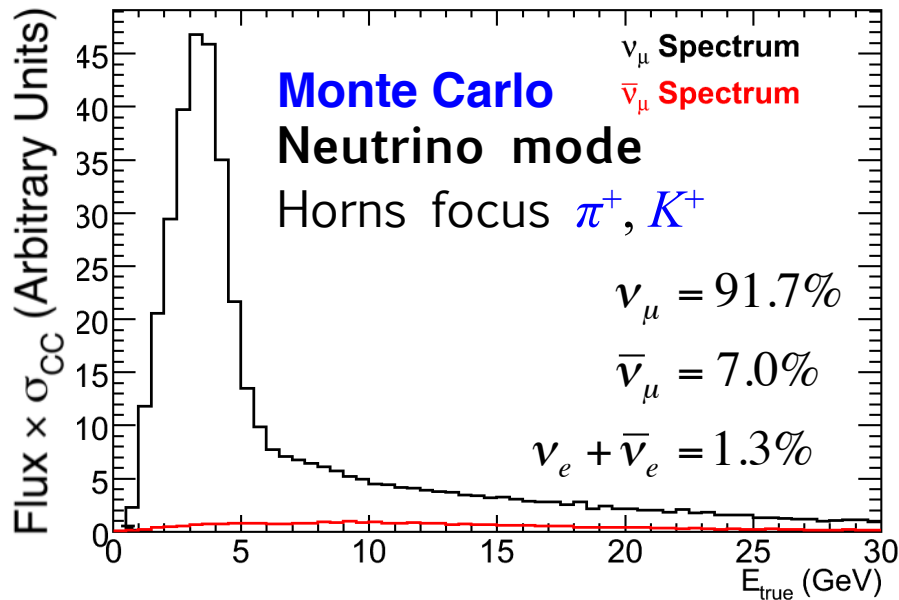


$$P(\nu_\mu \rightarrow \nu_\mu) \approx 1 -$$

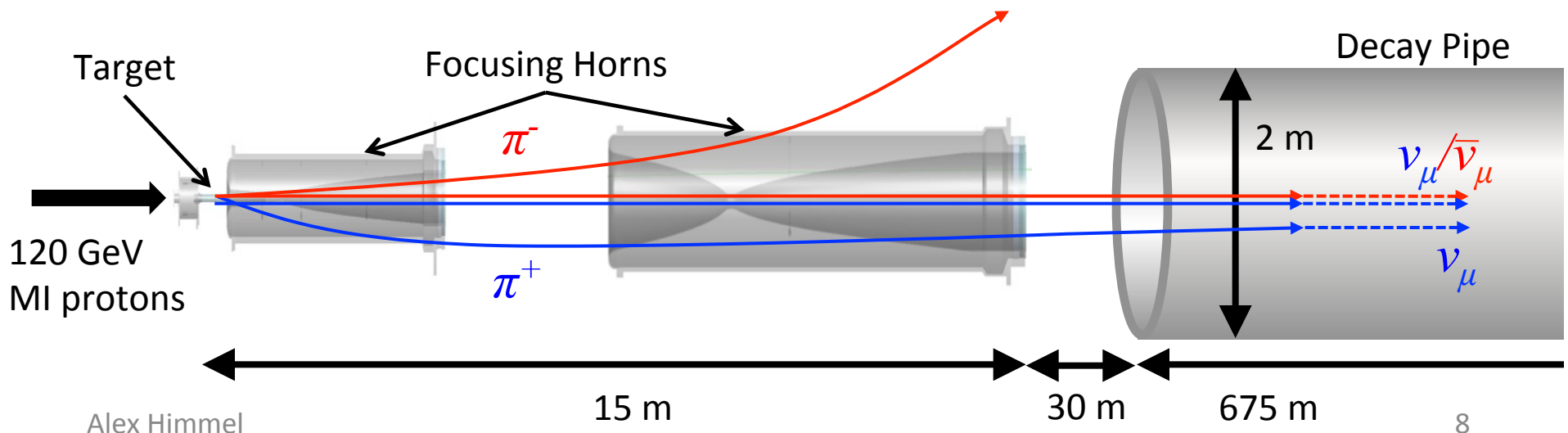
$$\sin^2(2\theta_{23}) \sin^2\left(1.27 \Delta m_{atm}^2 \frac{L}{E}\right)$$



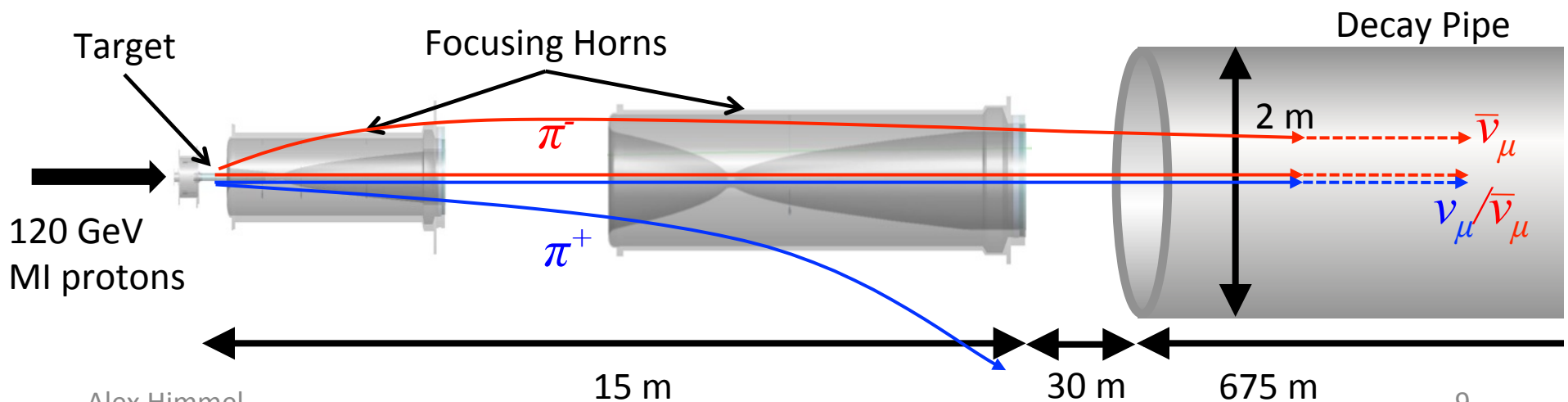
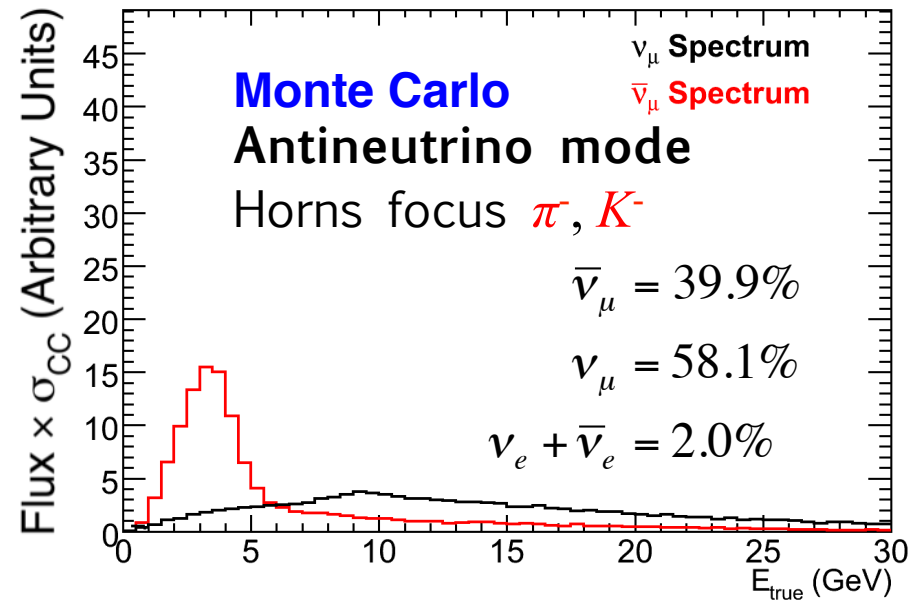
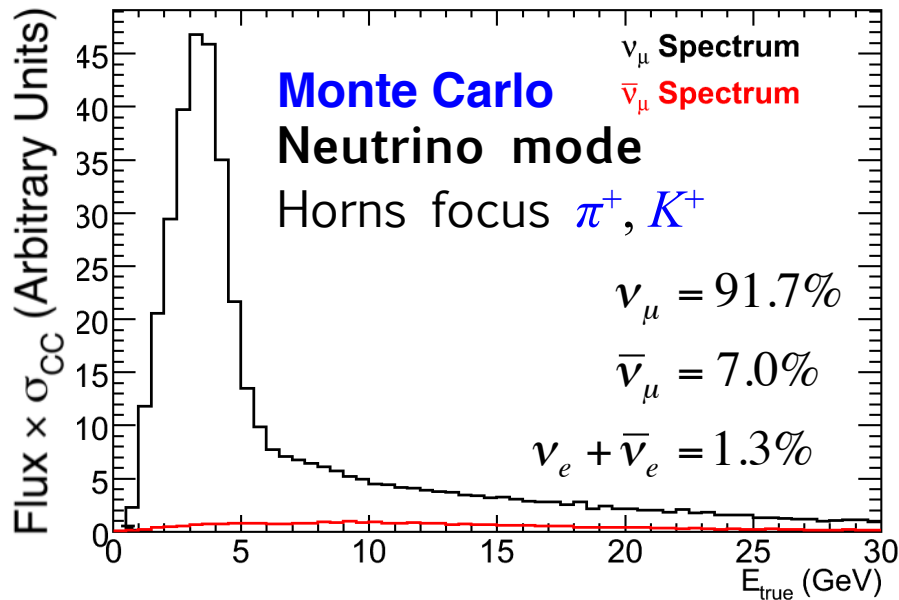
Making a Neutrino Beam



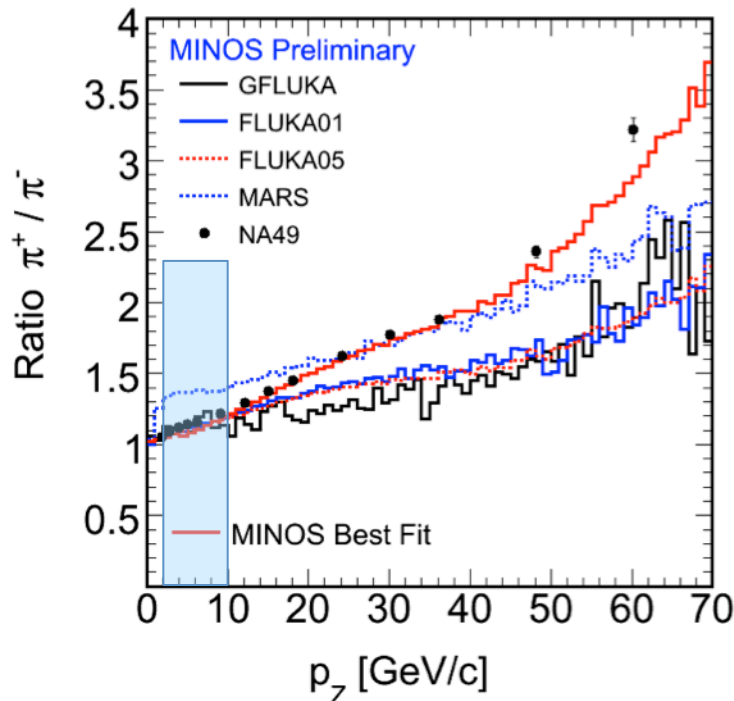
- Horns focus π^+ , K^+ , which decay into ν_μ
- Higher energy $\bar{\nu}_\mu$ from very forward π^-
- Most of MINOS beam data taken in this configuration



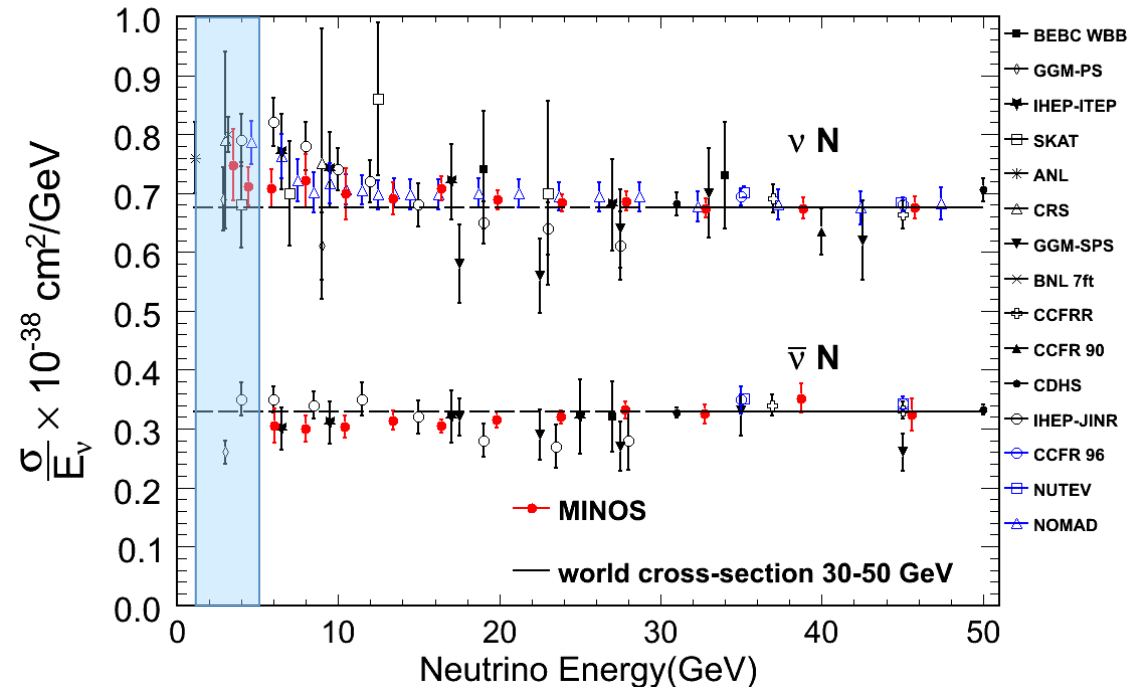
Making an Antineutrino Beam



Antineutrino Cross-section



Eur. Phys. J. C 49 897 (2007)

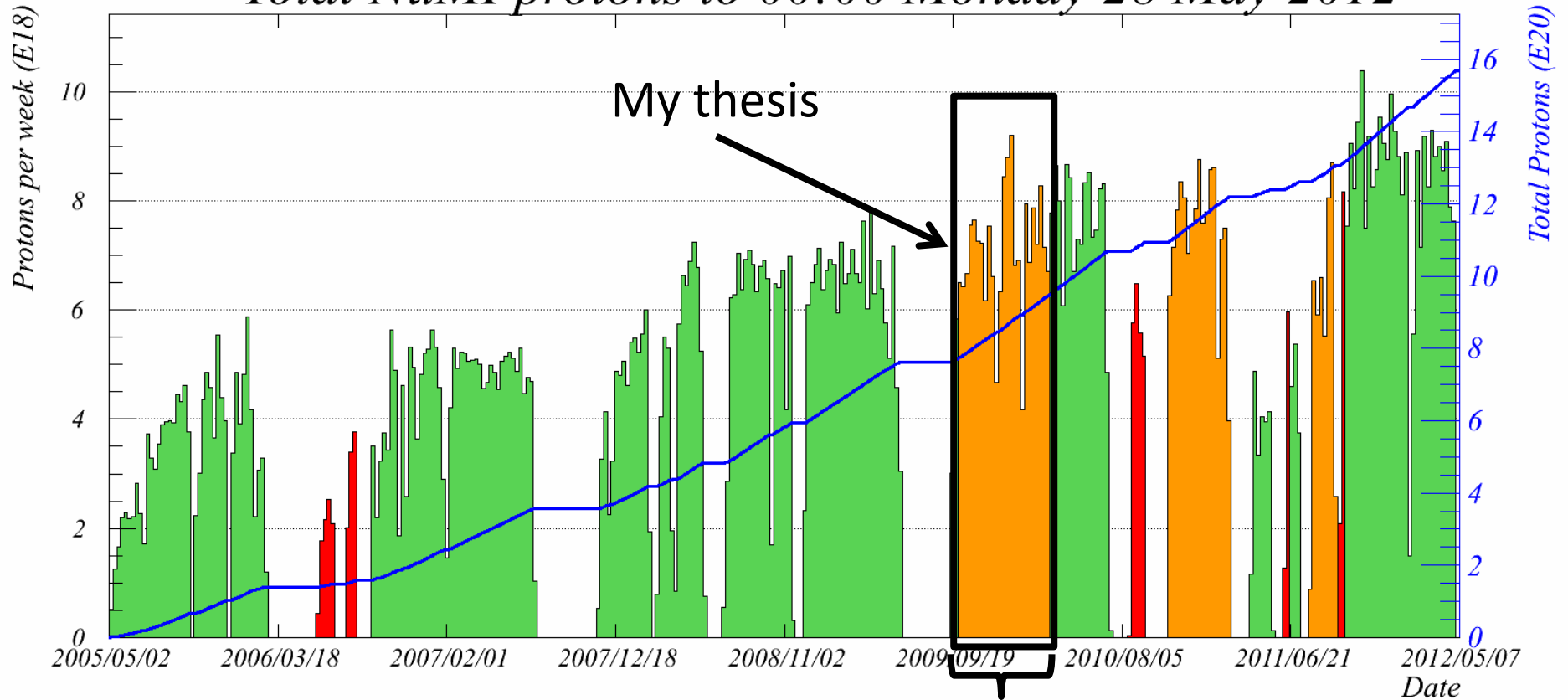


Phys. Rev. D 81 072002 (2010)

- Why is the peak rate $\times 3$ lower?
 - $\times 1.3$ lower π^- production
 - $\times 2.3$ lower interaction cross-section

NuMI Beam Performance

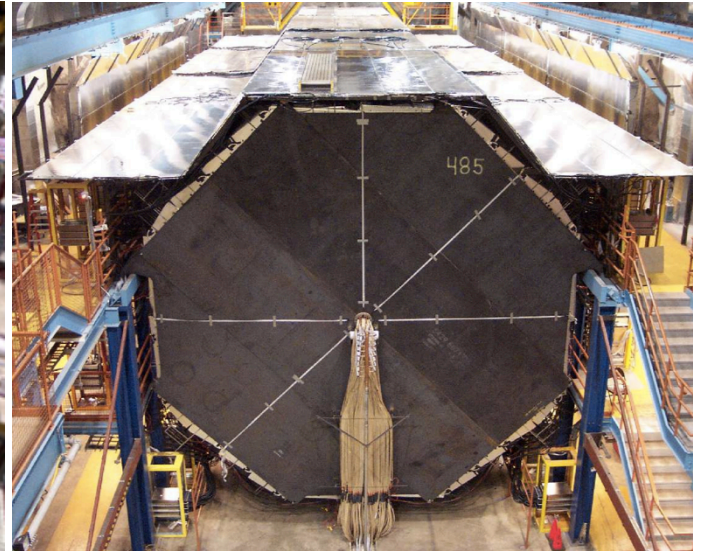
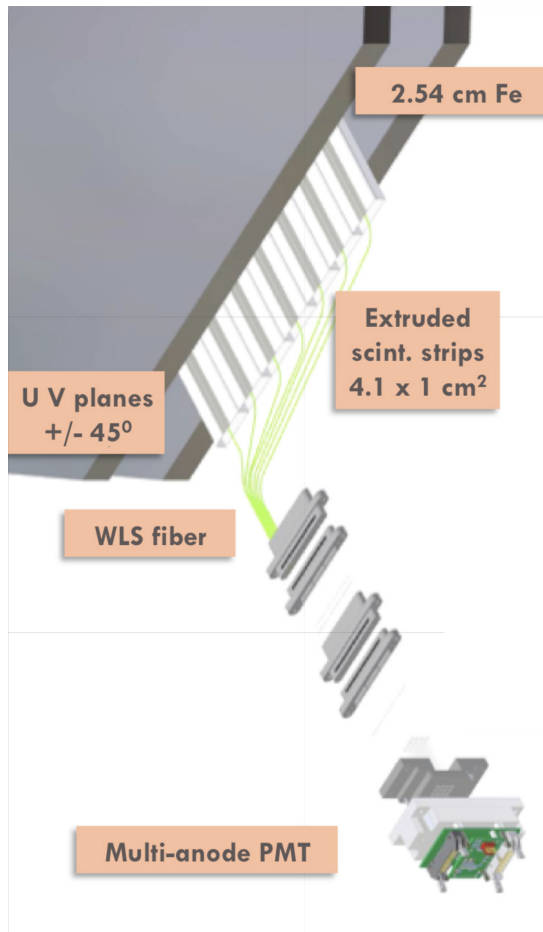
Total NuMI protons to 00:00 Monday 28 May 2012



1.71×10^{20} POT

$\bar{\nu}_\mu$ mode

MINOS Detectors

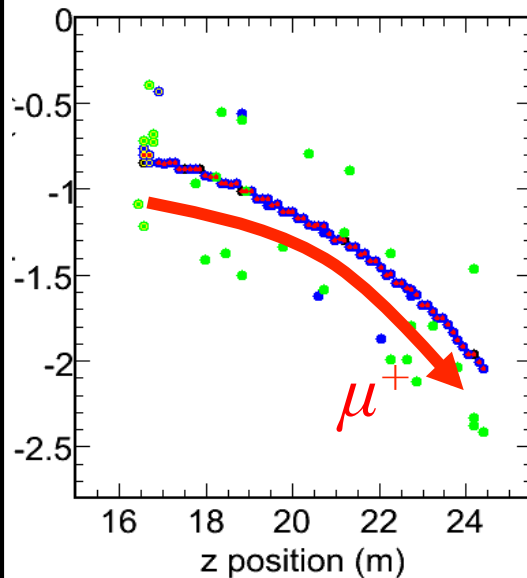
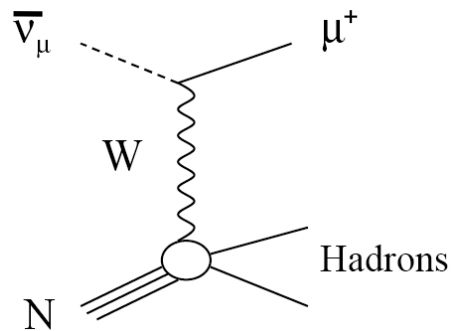


- Alternating layers of steel and plastic scintillator
- Design as similar as possible to minimize systematics
- Event-by-event identification of μ^+/μ^- thanks to 1.3 T magnetic field
- 980 ton Near Detector, 5.4 kiloton Far Detector

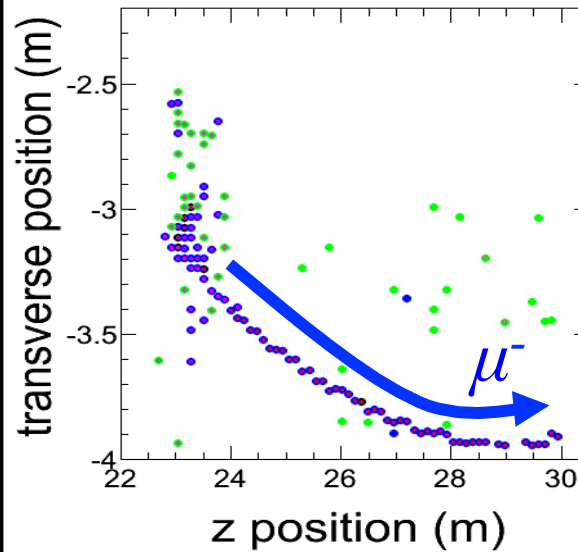
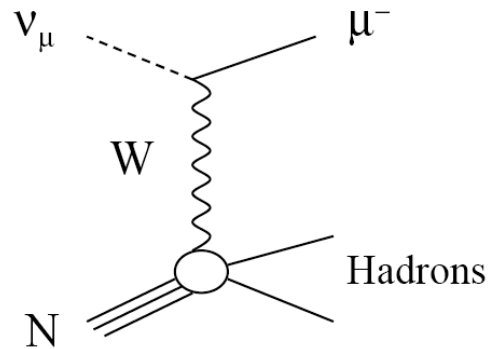
Signal

Backgrounds

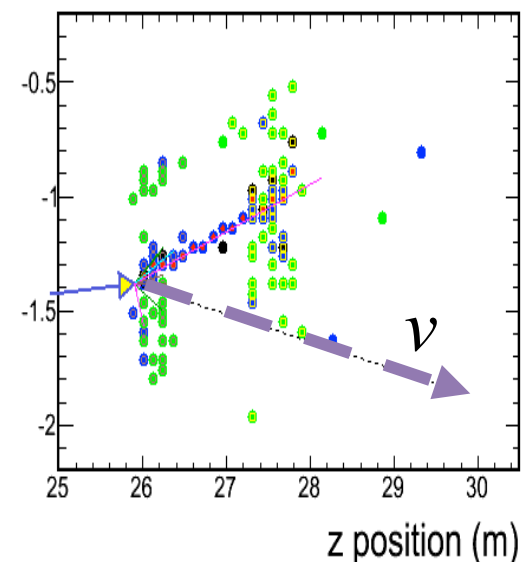
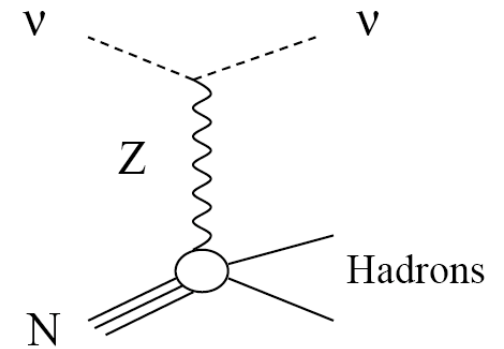
$\bar{\nu}_\mu$ CC Event



ν_μ CC Event



NC Event



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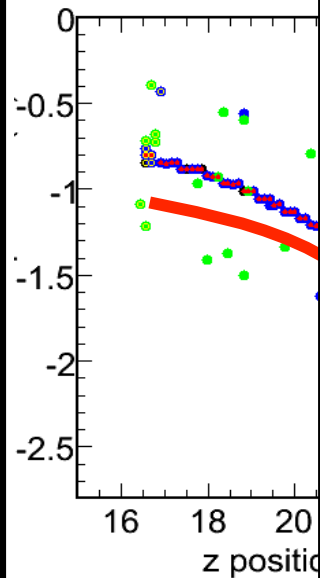
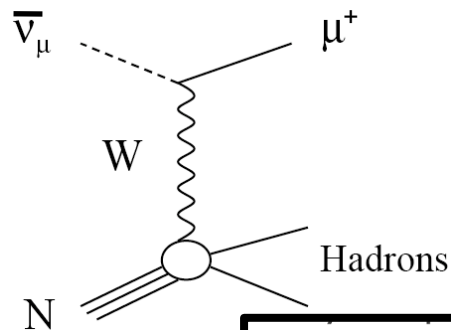
Simulated Events

- Deposition < 2.0 pe
- 2.0 < Deposition < 20.0 pe
- Deposition > 20.0 pe

Signal

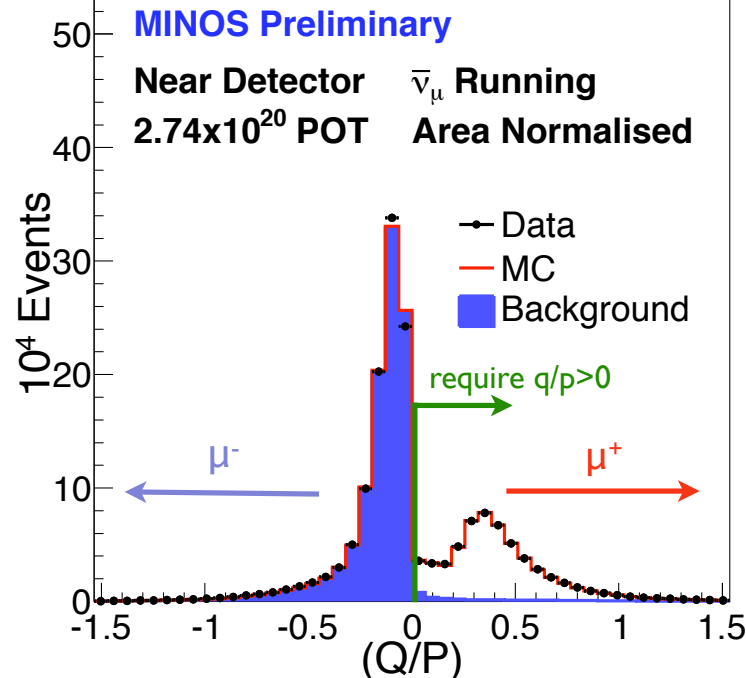
Backgrounds

$\bar{\nu}_\mu$ CC Event

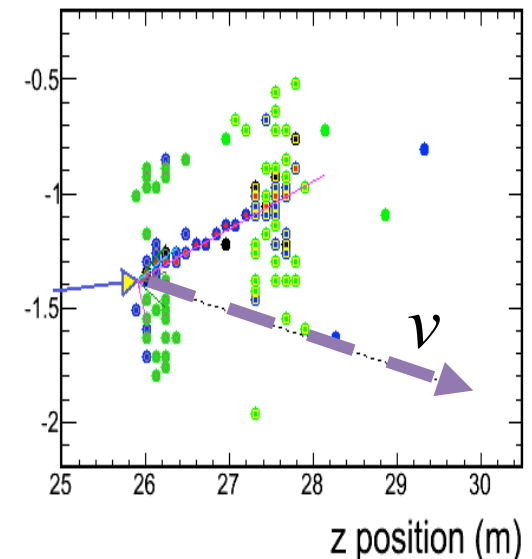
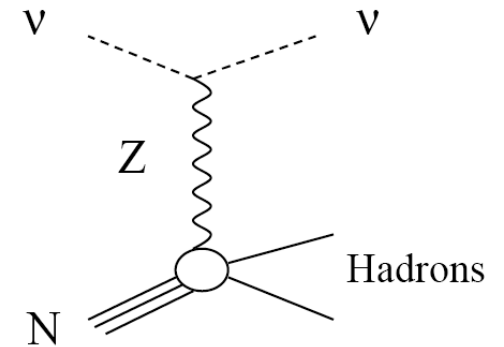


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Positive
Reconstructed
Charge

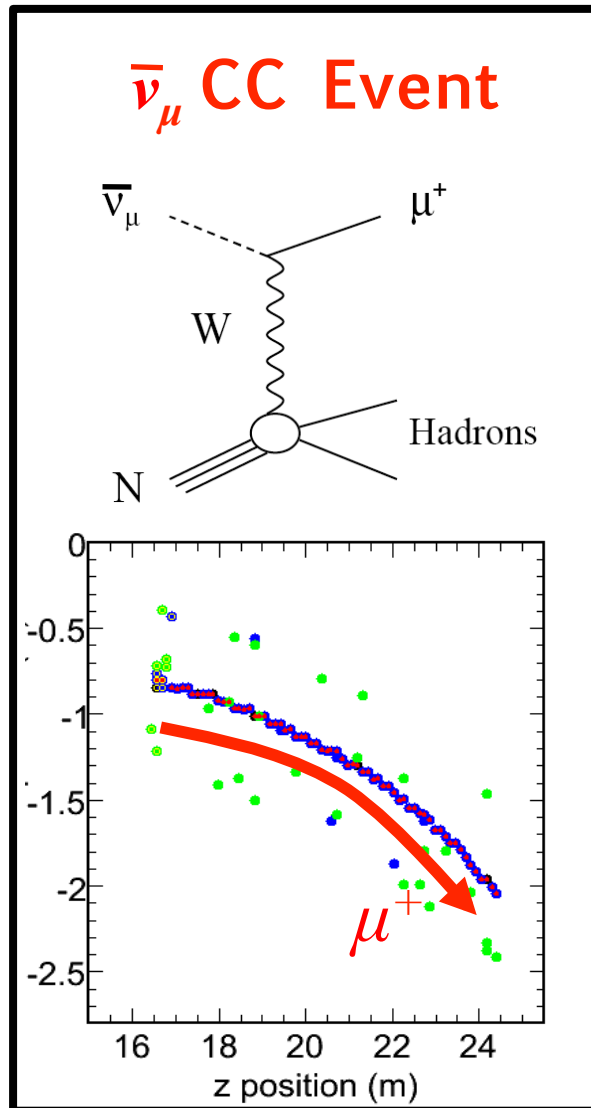


NC Event

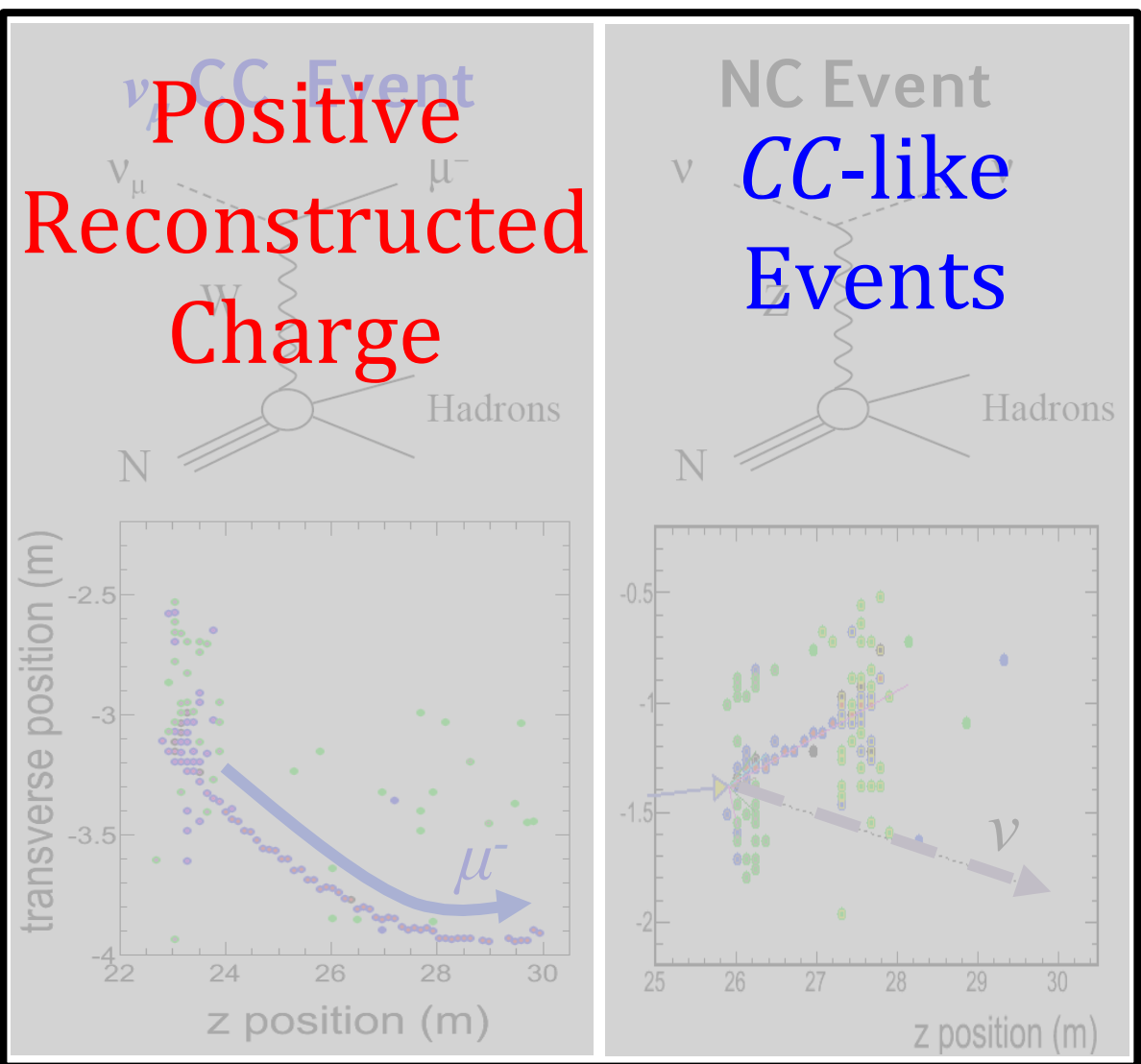


- Deposition < 2.0 pe
- 2.0 < Deposition < 20.0 pe
- Deposition > 20.0 pe

Signal



Backgrounds



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Simulated Events

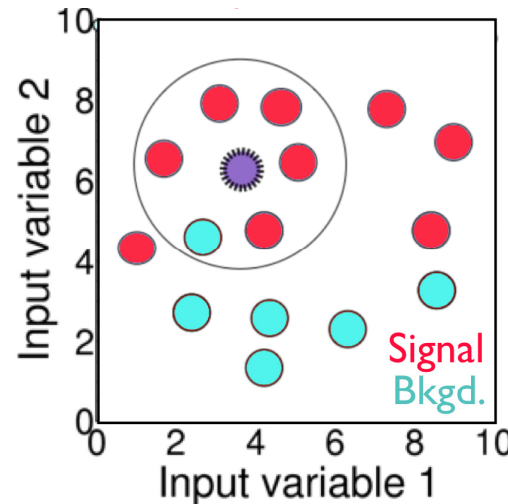
- Deposition < 2.0 pe
- 2.0 < Deposition < 20.0 pe
- Deposition > 20.0 pe

Selecting Charge Currents

CC/NC Selector

- kNN Algorithm
 - Measure “distance” to MC events
 - Classify on fraction of closest that are signal
- Input Variables
 - Track Length
 - Transverse energy profile
 - 2 dE/dx -like variables

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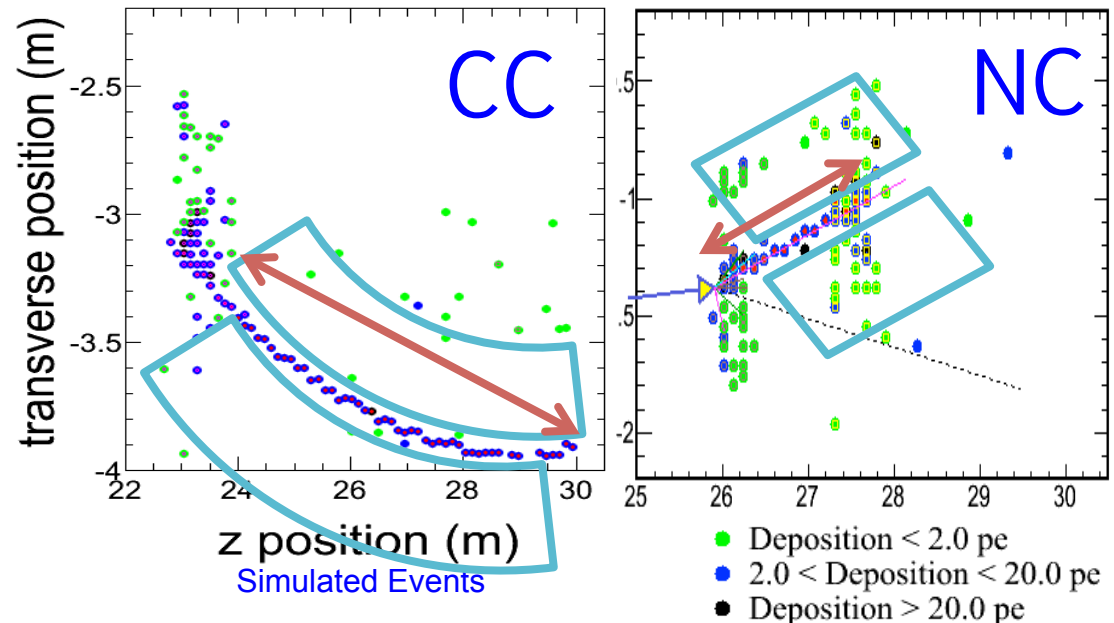
2-Variable Example

Input Event

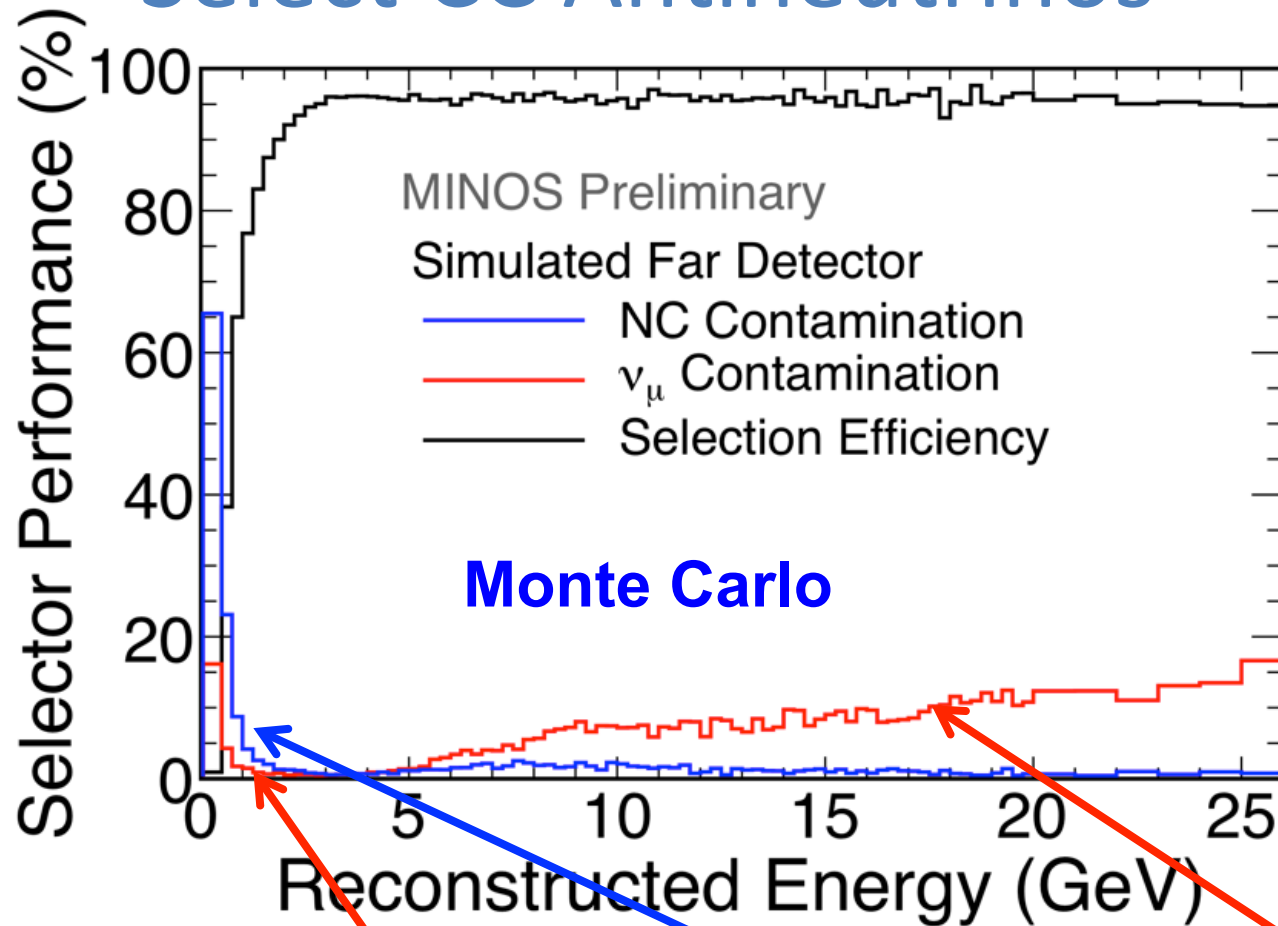
5 signal

1 background

$kNN_{ID} = 5/6$



Select CC Antineutrinos



3 remaining
Backgrounds:

ν_μ CC with a track
too short to
identify the charge

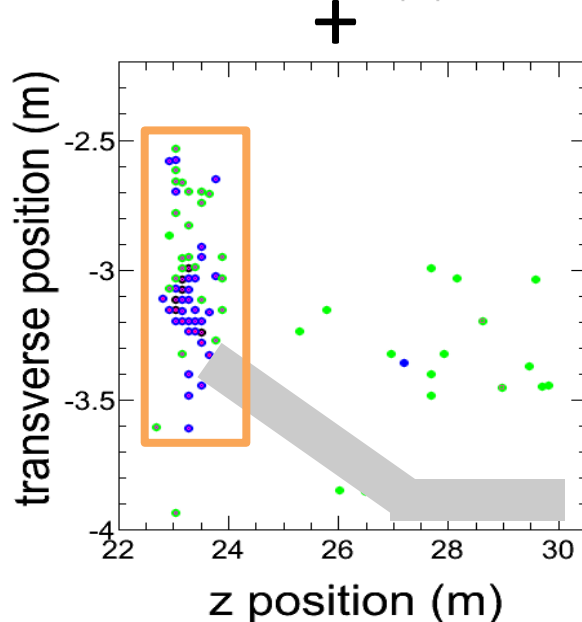
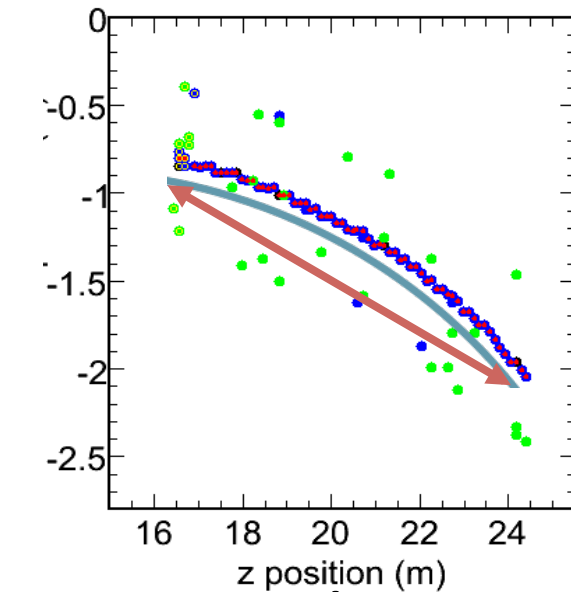
NC with a fake
muon track

ν_μ CC with a track
too straight to
identify the charge

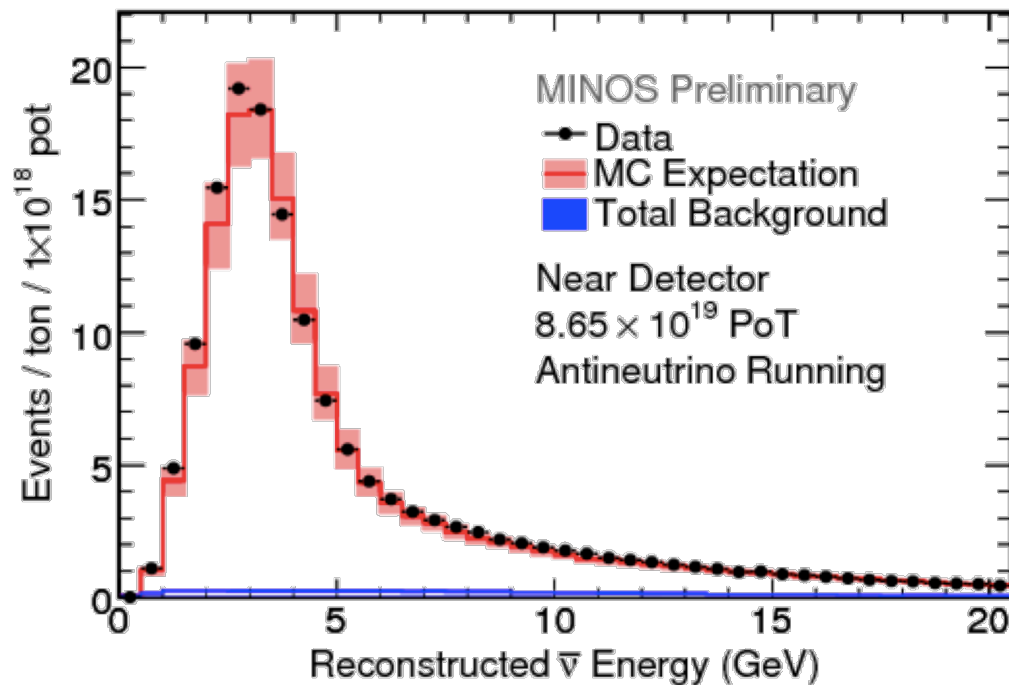
Near Detector Spectrum

Reconstruct the neutrino energy:

- Muon Track
 - Range (contained)
 - Curvature (exiting)
- Hadronic Shower
 - Calorimetry

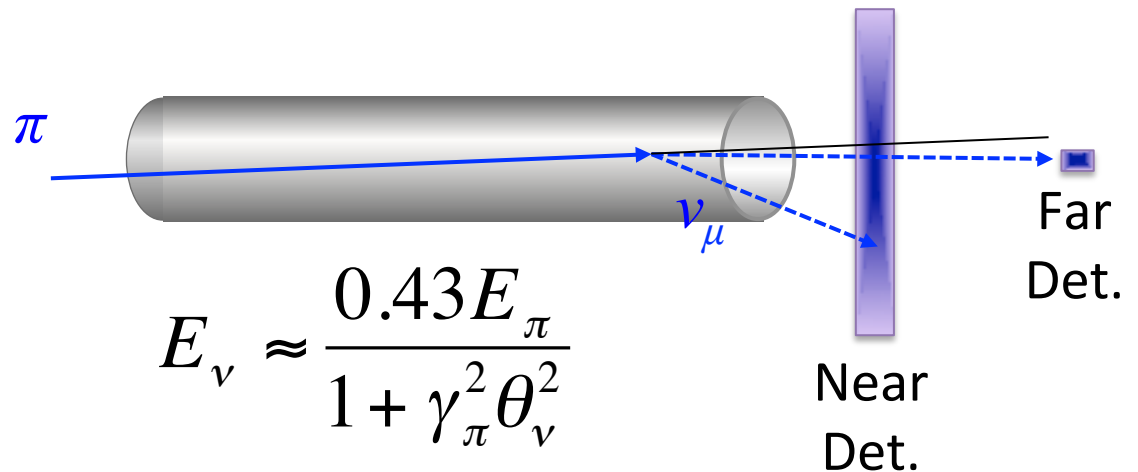


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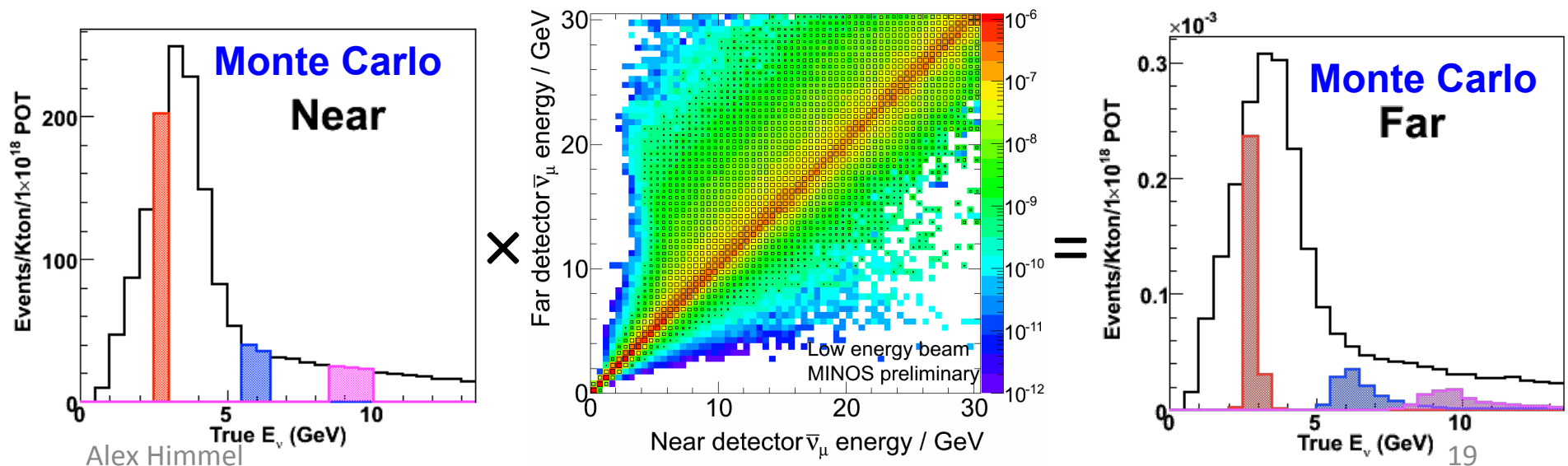


Near-to-Far Extrapolation

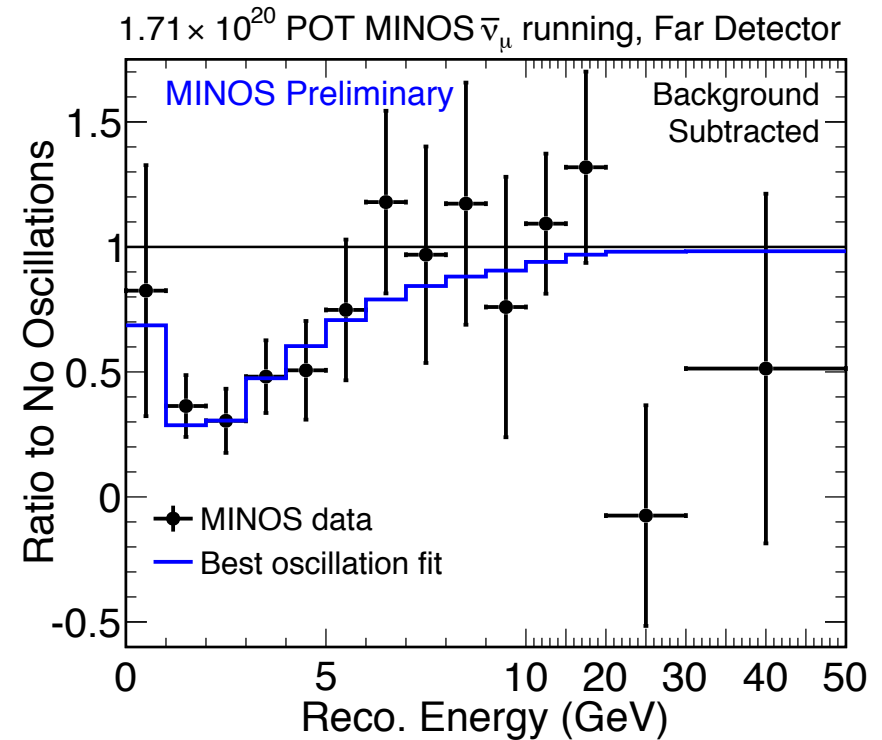
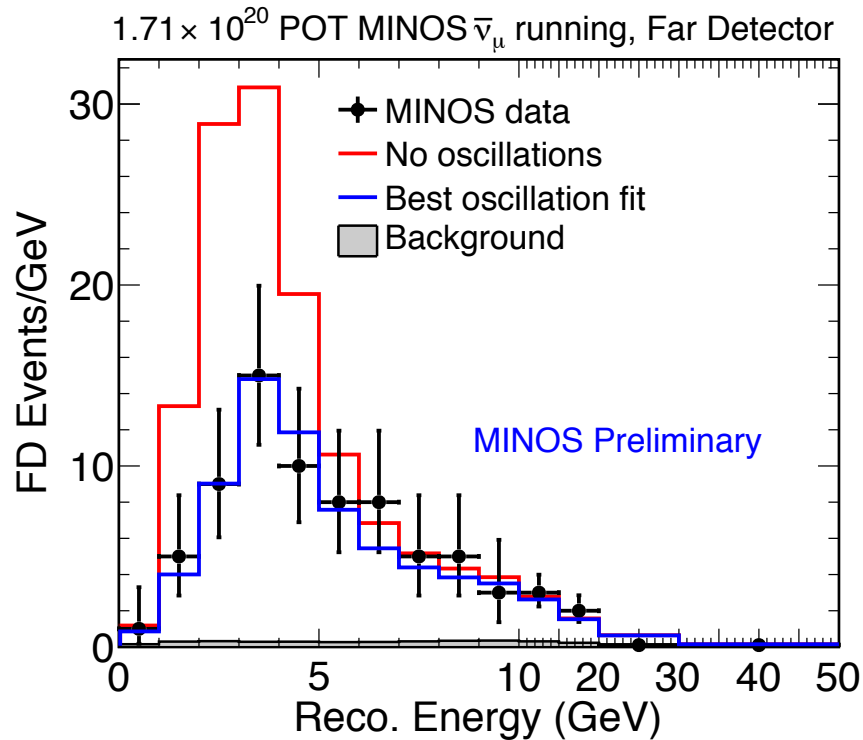
- The Near Detector and Far Detector spectra are **not identical**.
- Use a matrix to encode:
 - beamline geometry
 - decay kinematics



$$E_\nu \approx \frac{0.43 E_\pi}{1 + \gamma_\pi^2 \theta_\nu^2}$$



Far Detector Data



➔ **155** expected without oscillations

➔ **97** observed events

No-oscillations hypothesis is disfavored at **6.3 σ**

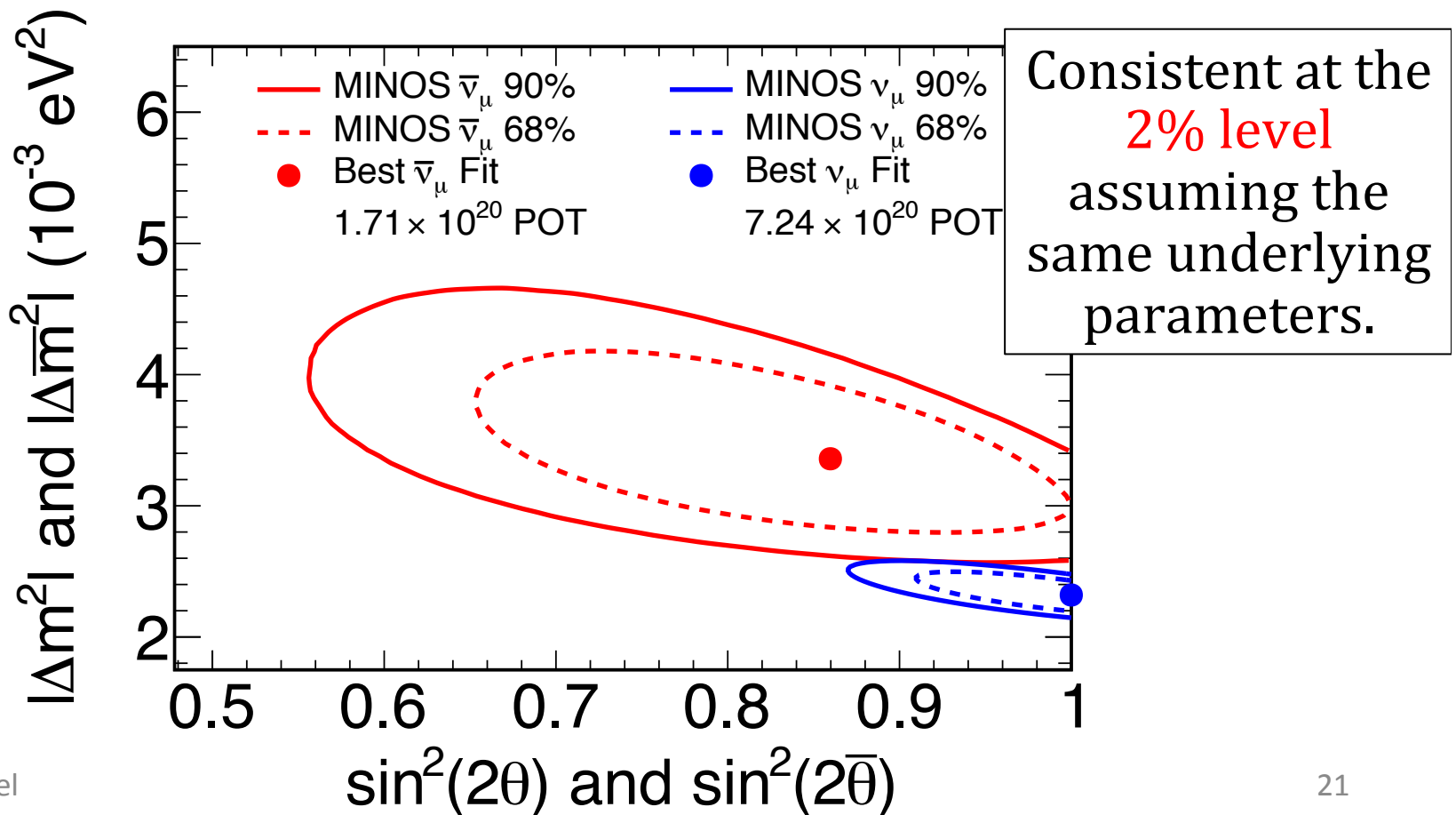
Neutrinos and Antineutrinos

$$|\Delta \bar{m}_{\text{atm}}^2| = 3.36^{+0.45}_{-0.40} \times 10^{-3} \text{ eV}^2$$

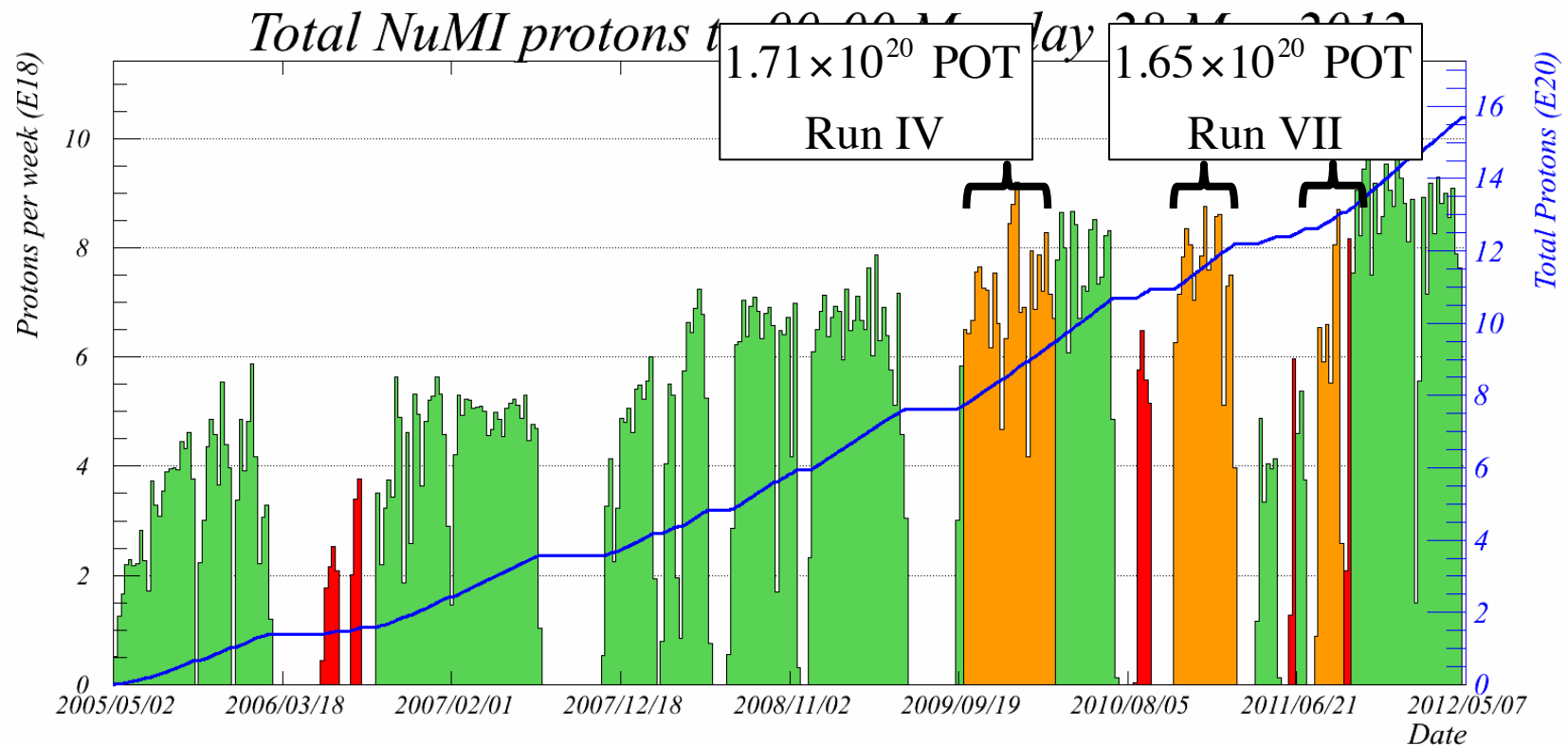
$$\sin^2(2\bar{\theta}_{23}) = 0.86 \pm 0.11$$

$$|\Delta m_{\text{atm}}^2| = 2.35^{+0.11}_{-0.08} \times 10^{-3} \text{ eV}^2$$

$$\sin^2(2\theta_{23}) > 0.91 \text{ (90\% C.L.)}$$

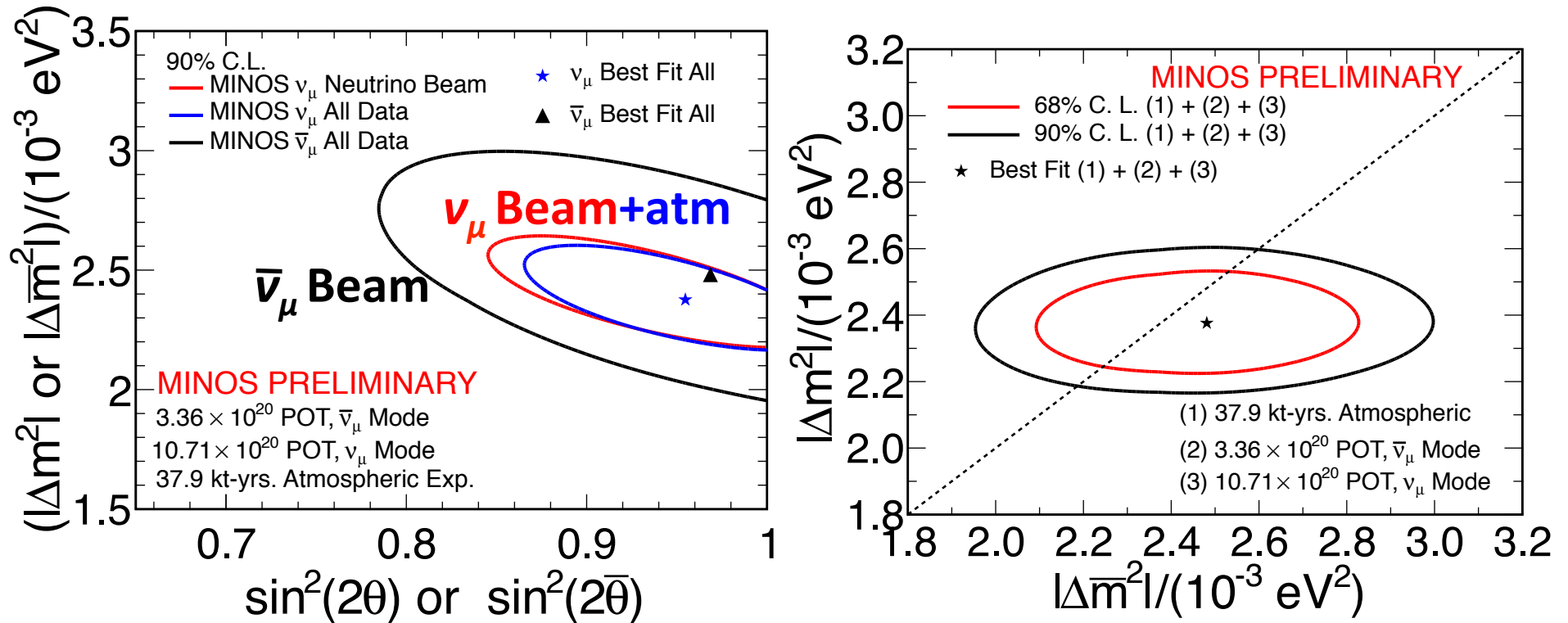


New Results



- Since that time, MINOS has accumulated an additional 1.65×10^{20} POT
- New analysis incorporates
 - Remove ND tracks likely to have a failed reconstruction
 - Improved shower resolution
 - Atmospheric neutrino samples included

New Results



- Final MINOS results presented at Neutrino 2012 show no tension between neutrinos and antineutrinos

Conclusions

- MINOS made the first direct, precision measurement of antineutrino oscillations using a **dedicated antineutrino run**
- The 1.71×10^{20} POT antineutrino measurement showed some tension with neutrinos ($p=2\%$)
- With an additional 1.65×10^{20} POT and analysis improvements, neutrino and antineutrino parameters are **no longer in tension**
- Thanks to all my MINOS colleagues, my thesis advisor Harvey Newman, and my many unofficial advisors

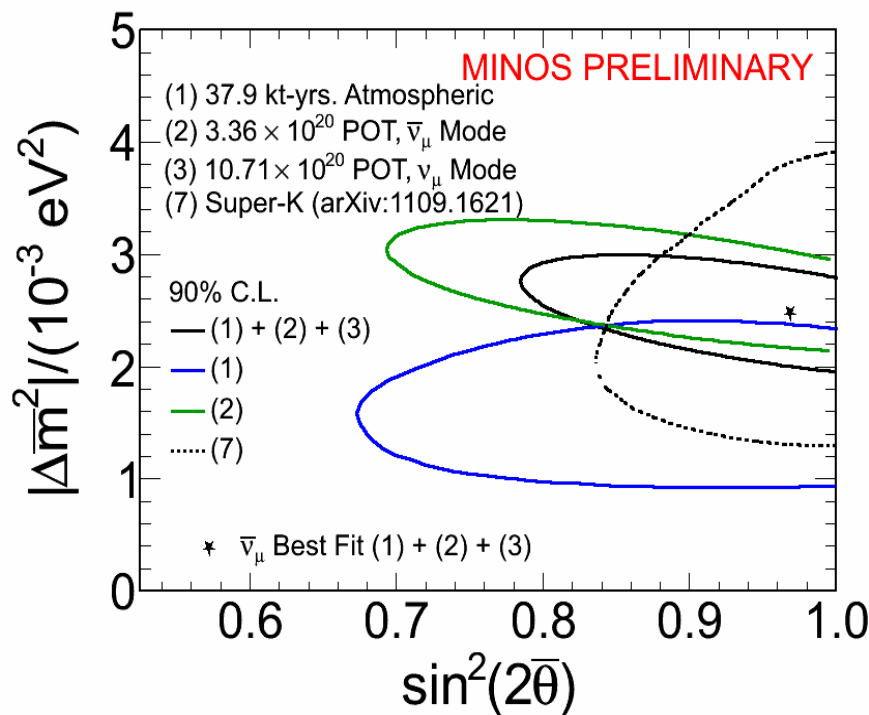
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MINOS full-exposure results neutrinos vs antineutrinos

Extended fit allows different oscillation parameters for neutrinos and antineutrinos, and confidence limits are placed on the antineutrino oscillation parameters



$$|\Delta \bar{m}^2| = 2.48^{+0.22}_{-0.27} \times 10^{-3} \text{ eV}^2$$

$$\sin^2(2\bar{\theta}) > 0.83 \text{ (90\% C.L.)}$$

$$|\Delta m^2| = 2.39^{+0.09}_{-0.10} \times 10^{-3} \text{ eV}^2$$

$$\sin^2(2\theta) = 0.957^{+0.035}_{-0.036}$$

$$\sin^2(2\theta) > 0.90 \text{ (90\% C.L.)}$$

The new data resolves the tension between neutrino & antineutrino parameters

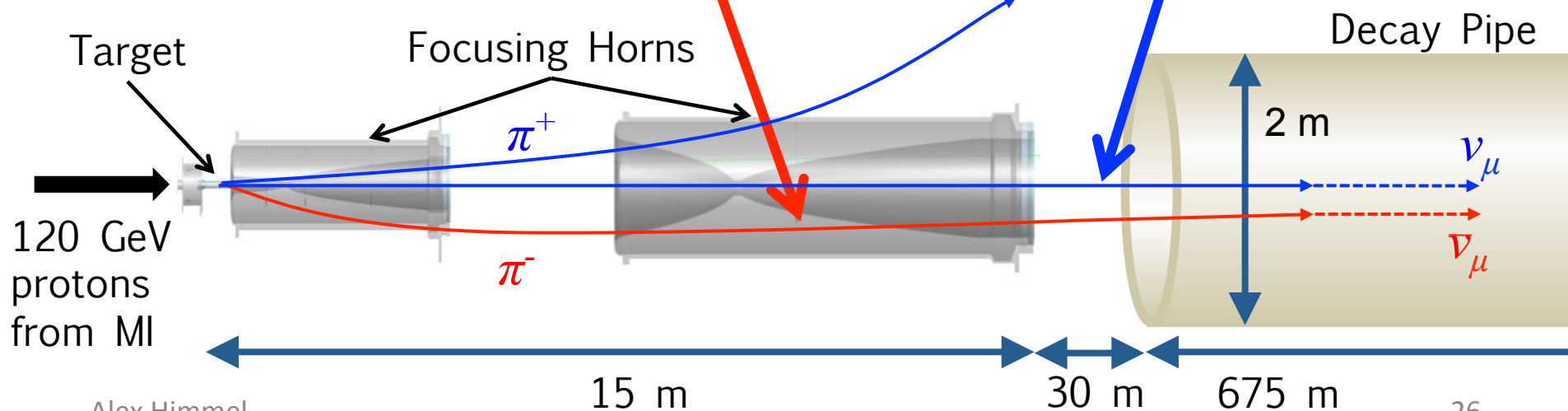
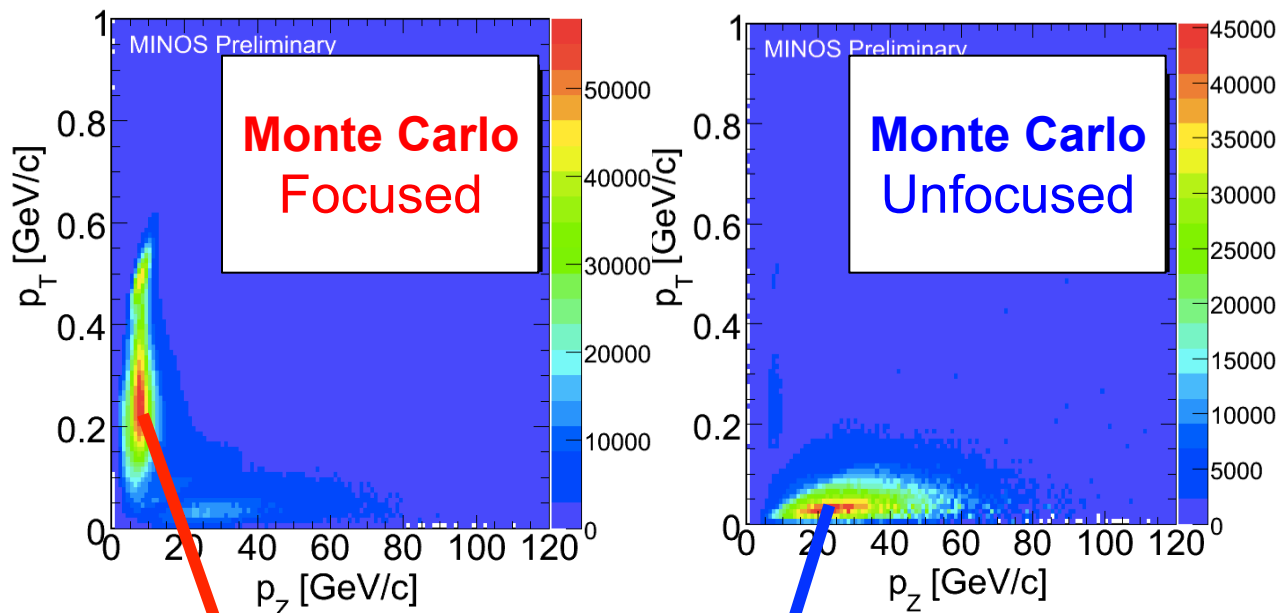
Peak vs. Tail

- ν_μ 's from **high- p_t $\pi^\pm$'s**

– Focused by horns

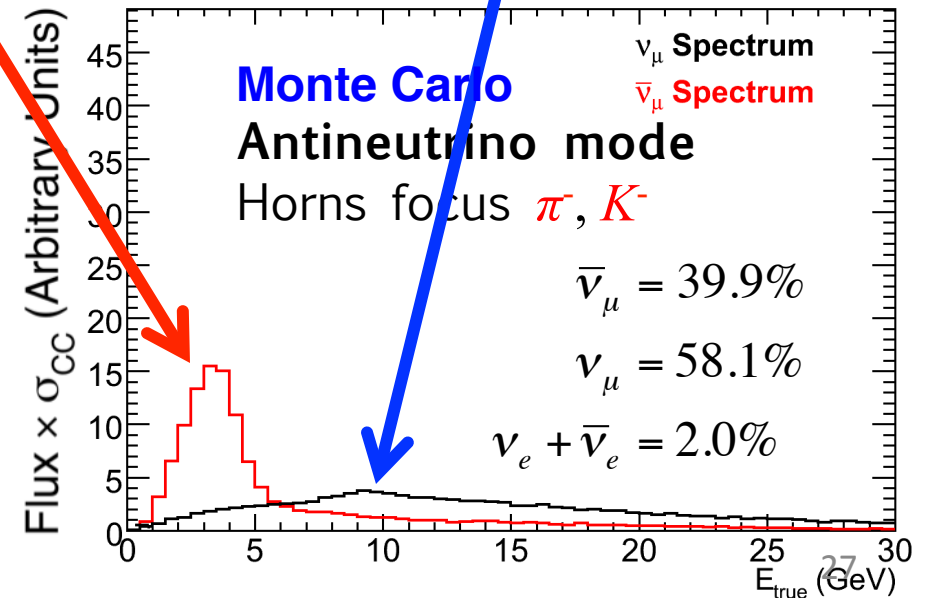
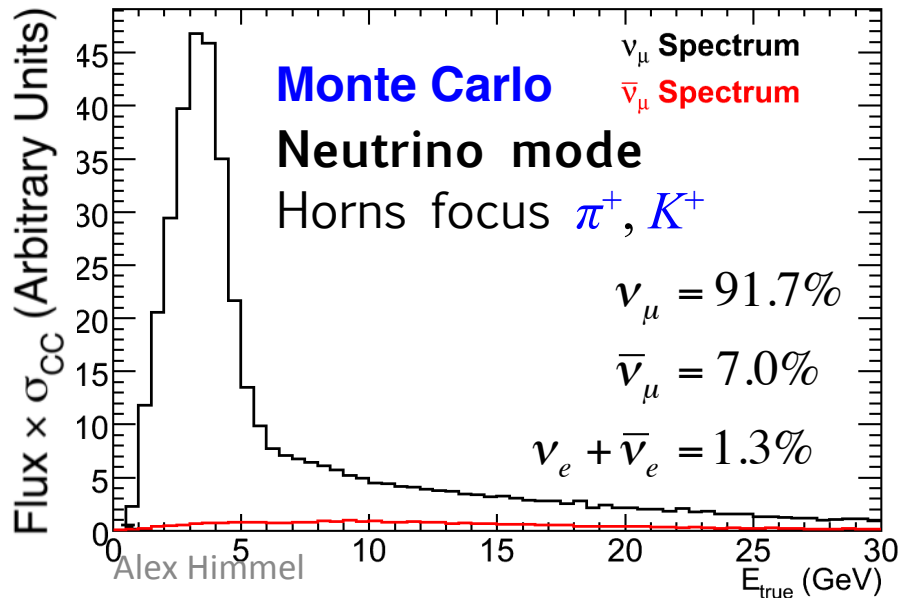
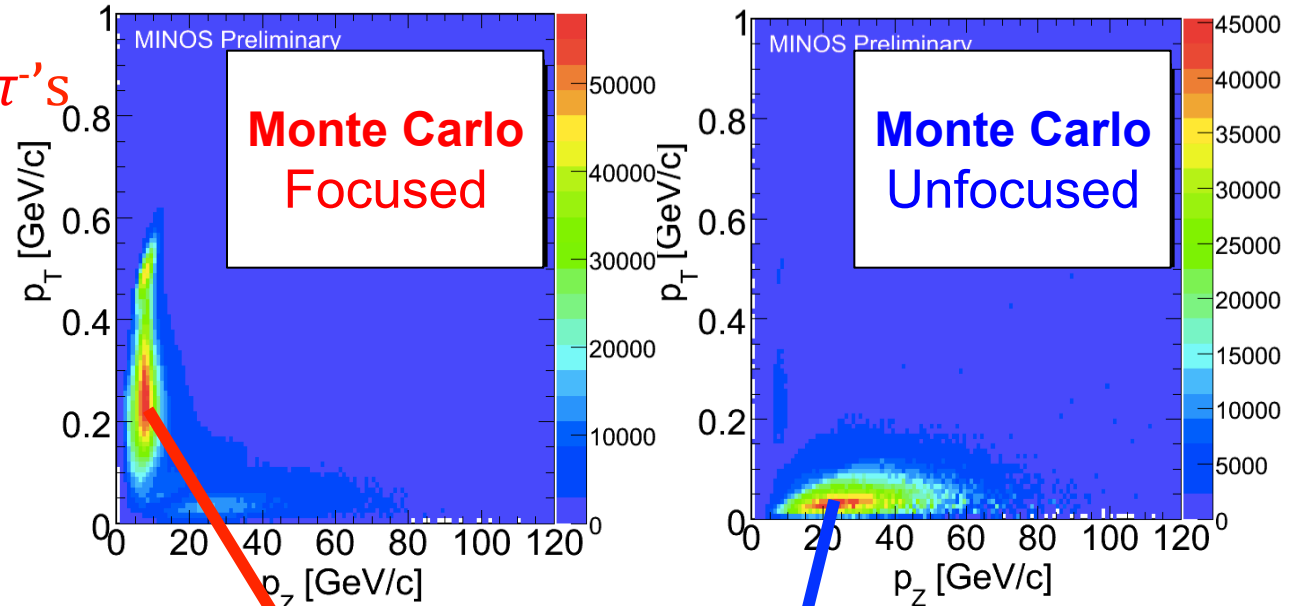
- ν_μ 's from **low- p_t $\pi^\pm$'s**

– Pass through horn center



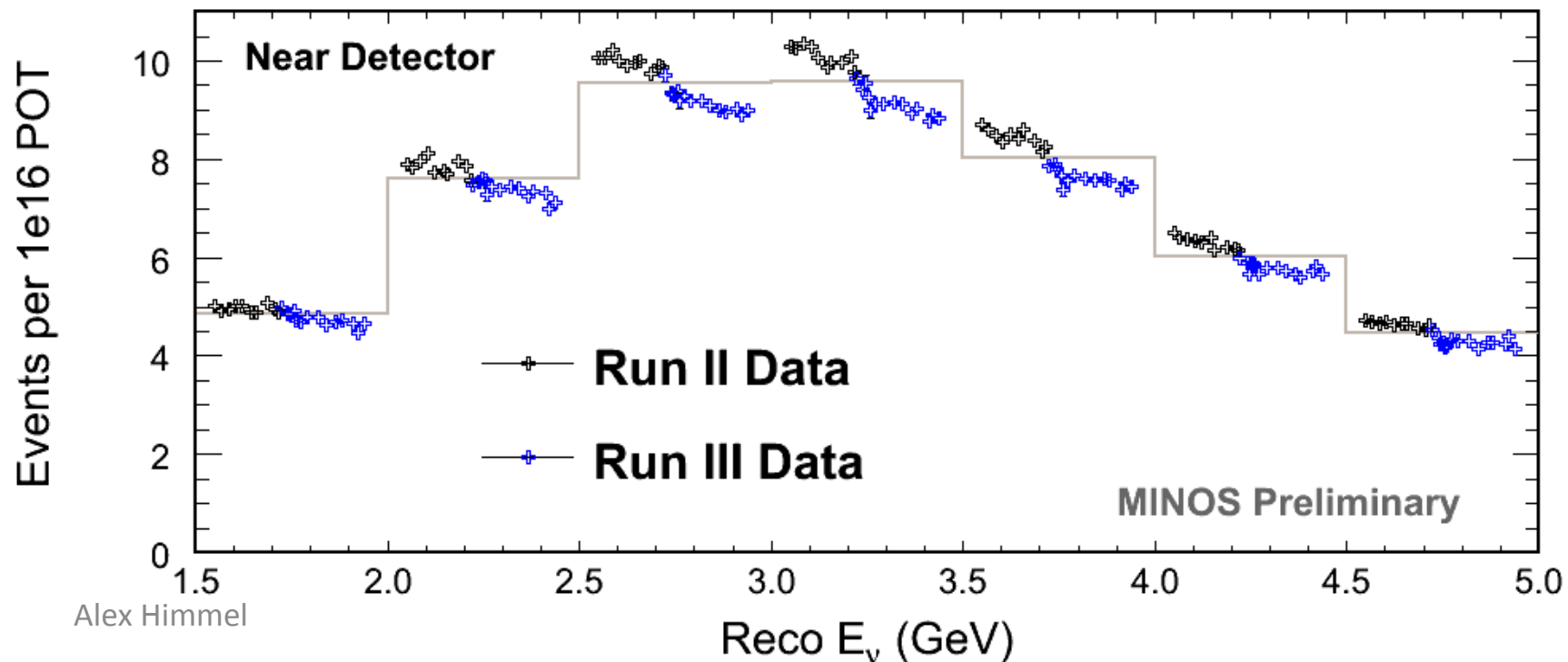
Peak vs. Tail

- $\bar{\nu}_\mu$'s from **low- p_t π^- 's**
 - Focused by horns
- ν_μ 's from **high- p_t π^+ 's**
 - Pass through horn center

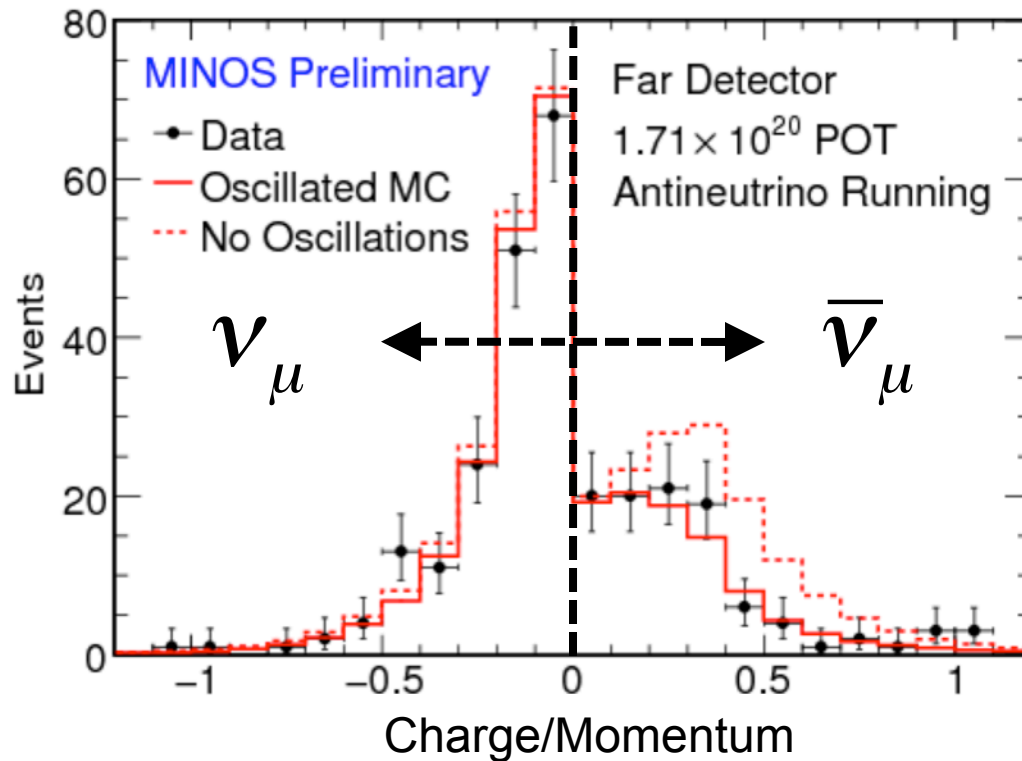


Target Degradation

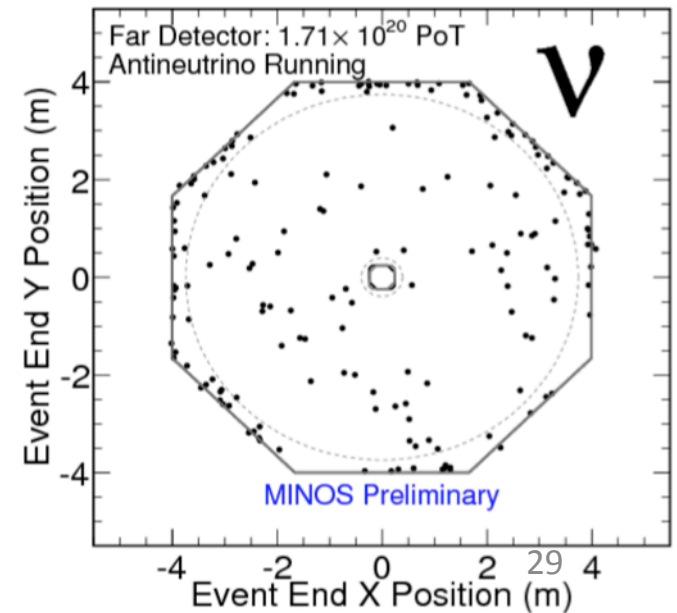
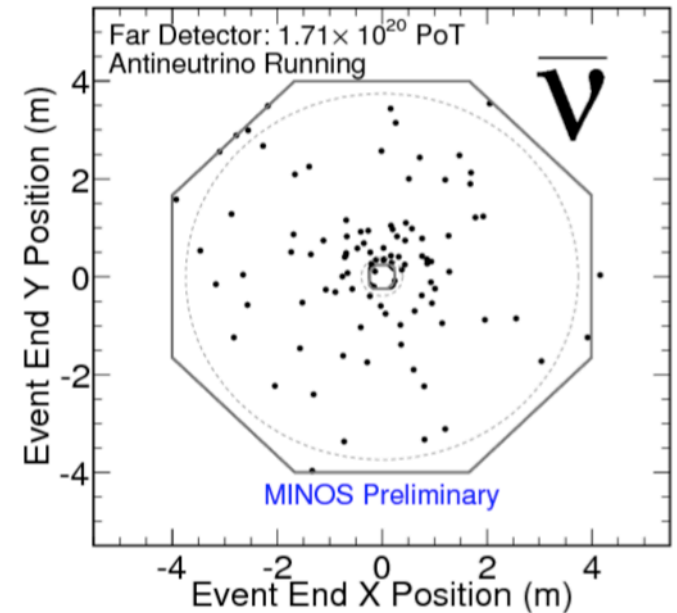
- Began during Run II and continued through Run III
- The exact mechanism of the decay is not known
- Missing fins at the shower max in the target model the energy-dependent effect
- Target to undergo post-mortem later this year
- Cancels between the two detector



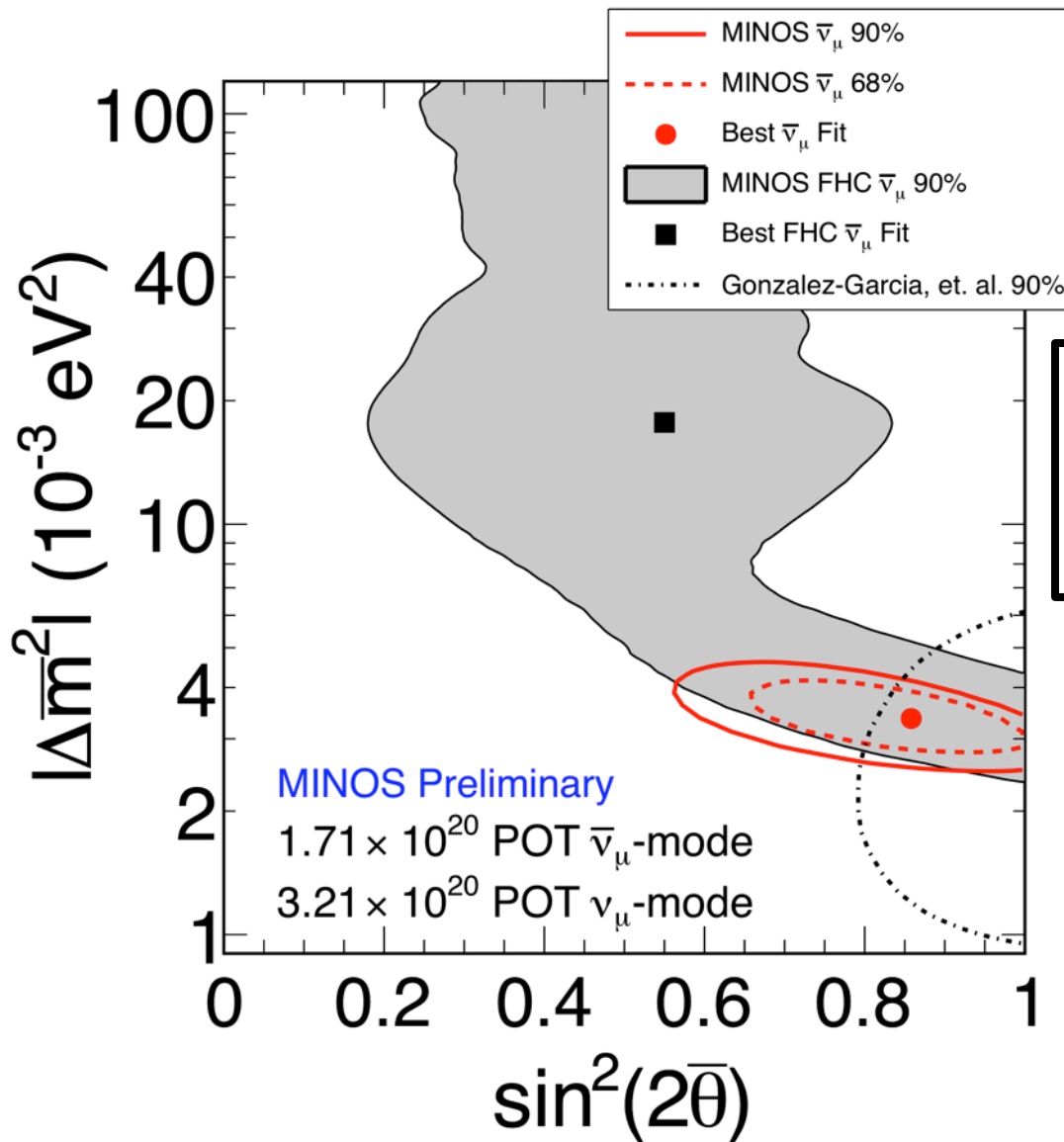
Far Detector Data



- Good data/mc agreement in charge/momentum
- Antineutrinos focused inwards
- Neutrinos defocused outwards



Antineutrino Contour

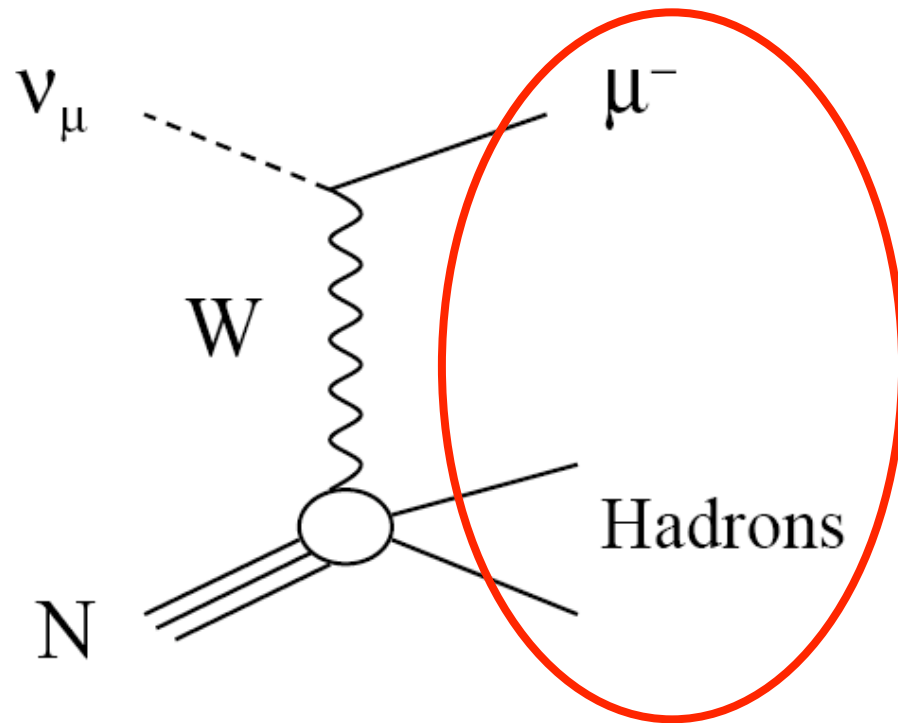


$$|\Delta\bar{m}_{\text{atm}}^2| = 3.36_{-0.40}^{+0.45} \times 10^{-3} \text{ eV}^2$$

$$\sin^2(2\bar{\theta}_{23}) = 0.86 \pm 0.11$$

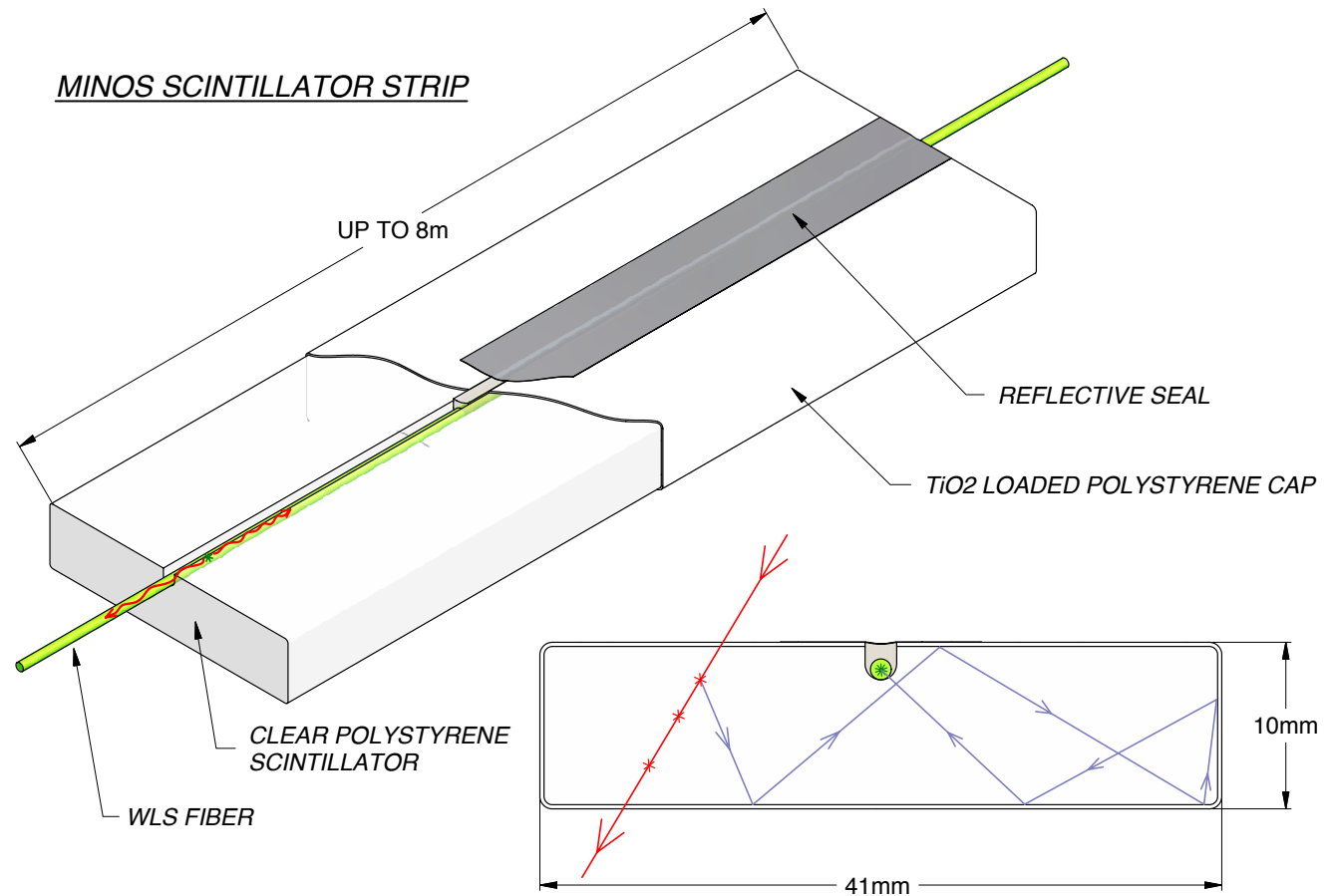
A combined analysis using all antineutrino data is planned.

Detecting Neutrinos



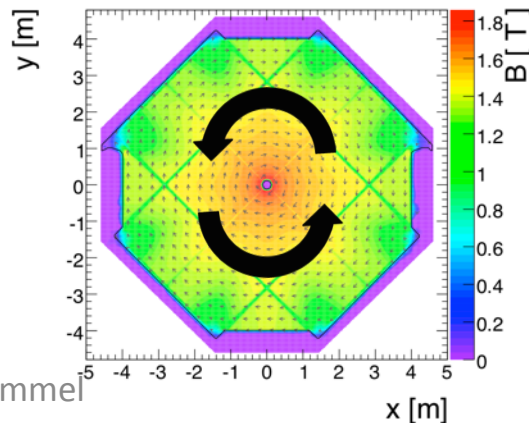
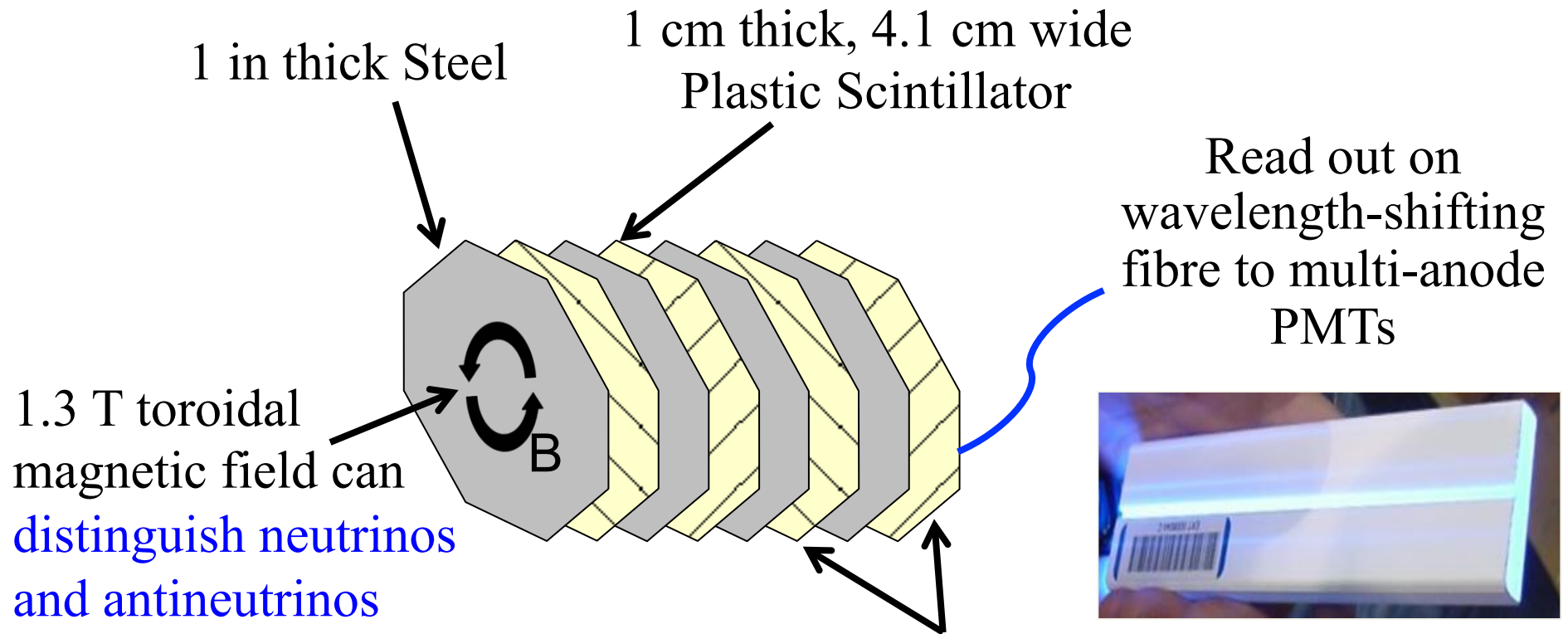
- Cannot directly observe the neutrino
- Instead, observe the charged particles after a neutrino interacts with a nucleus in the detector

Detecting Charged Particles



- 4 cm x 1 cm plastic scintillator strips
- Embedded wavelength-shifting fiber
- Scintillation light amplified by multi-anode PMTs

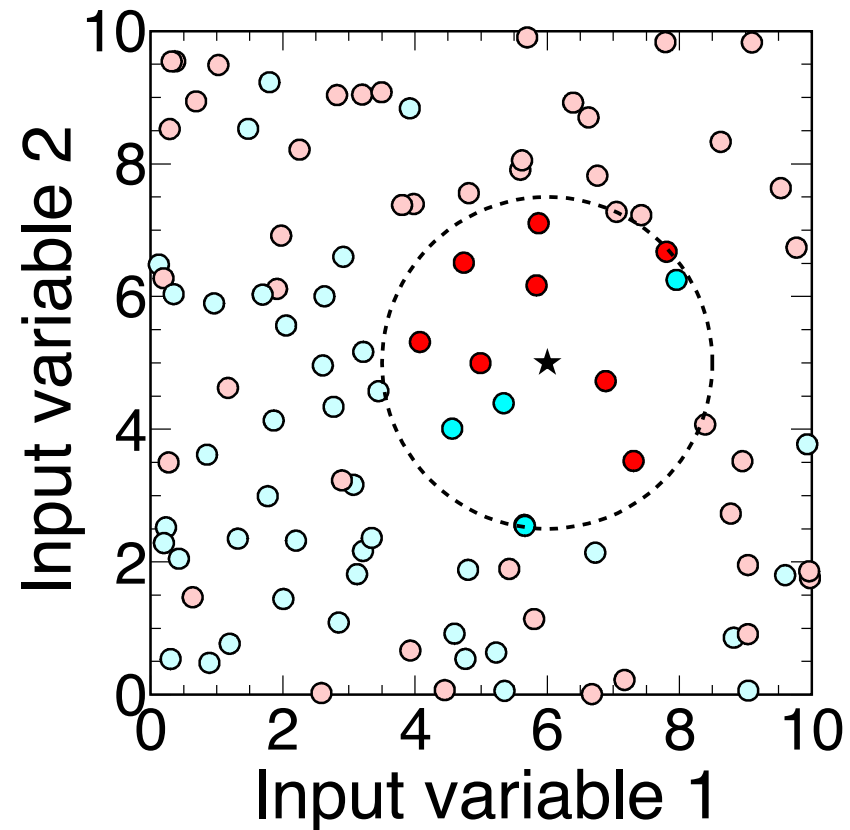
MINOS Detectors



Strips in alternating directions allow 3D event reconstruction

Selecting Charged Currents

- Basic selection
 - In-time with the spill
 - In the fiducial volume
 - At least 1 reconstructed track
- CC/NC separation using a **kNN algorithm**
 - Compare to Monte Carlo events
 - Fraction of signal in k most similar events is the discriminant

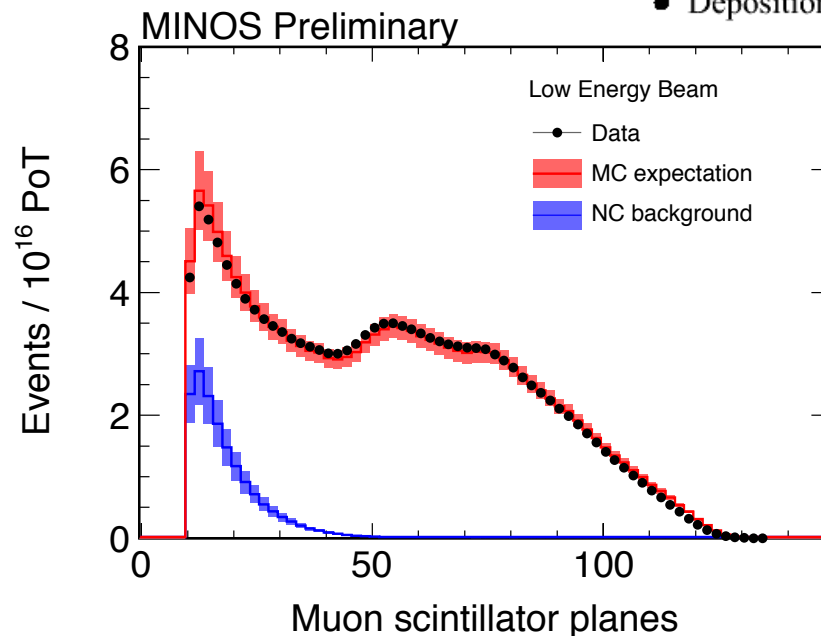
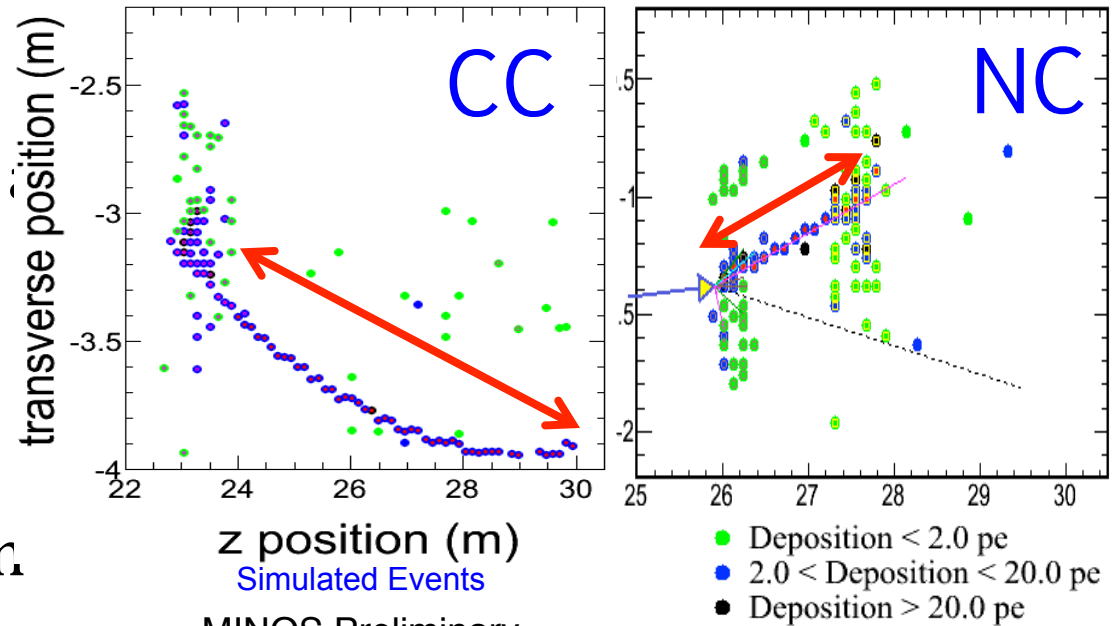


k-Nearest Neighbors

“kNN”

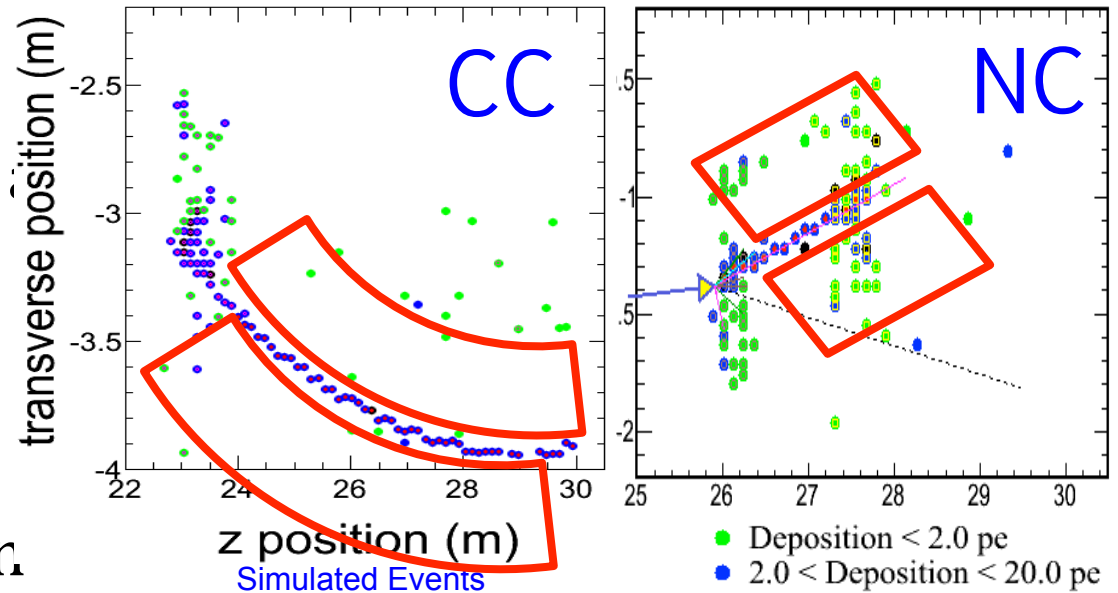
Selecting Charged Currents

- CC/NC separation using kNN algorithm
- 4-parameter comparison
 - Track length
 - Transverse energy profile
 - Energy deposited per plane
 - Energy fluctuations along the track

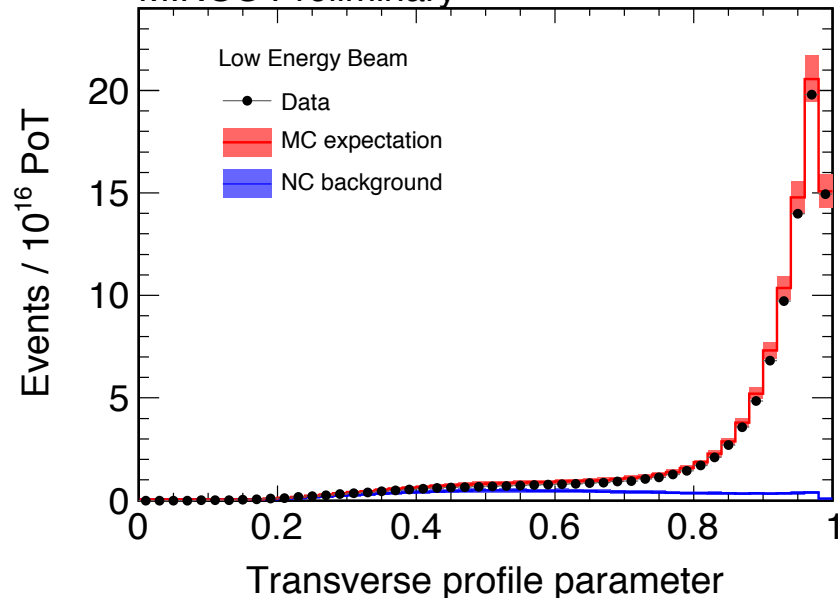


Selecting Charged Currents

- CC/NC separation using kNN algorithm
- 4-parameter comparison
 - Track length
 - Transverse energy profile
 - Energy deposited per plane
 - Energy fluctuations along the track



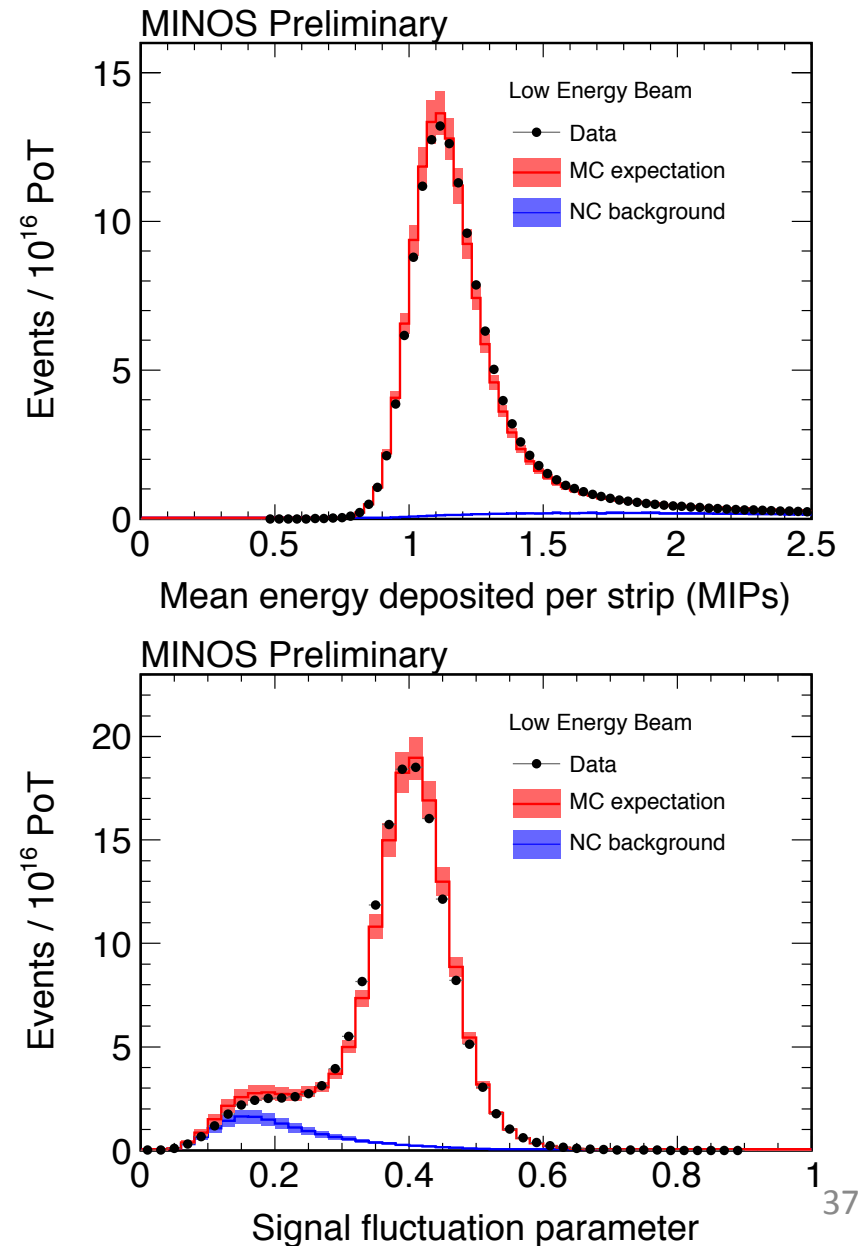
MINOS Preliminary



Selecting Charged Currents

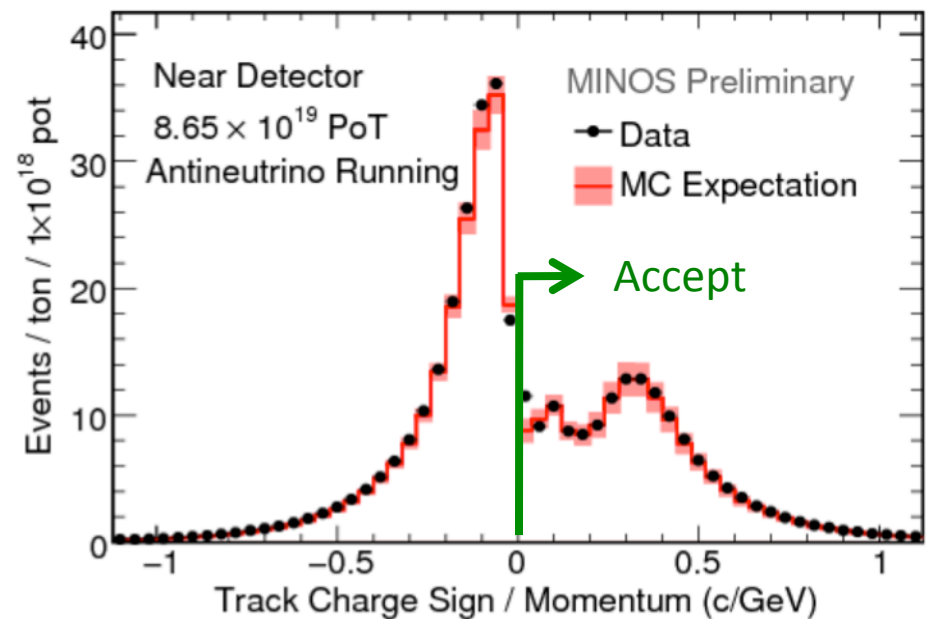
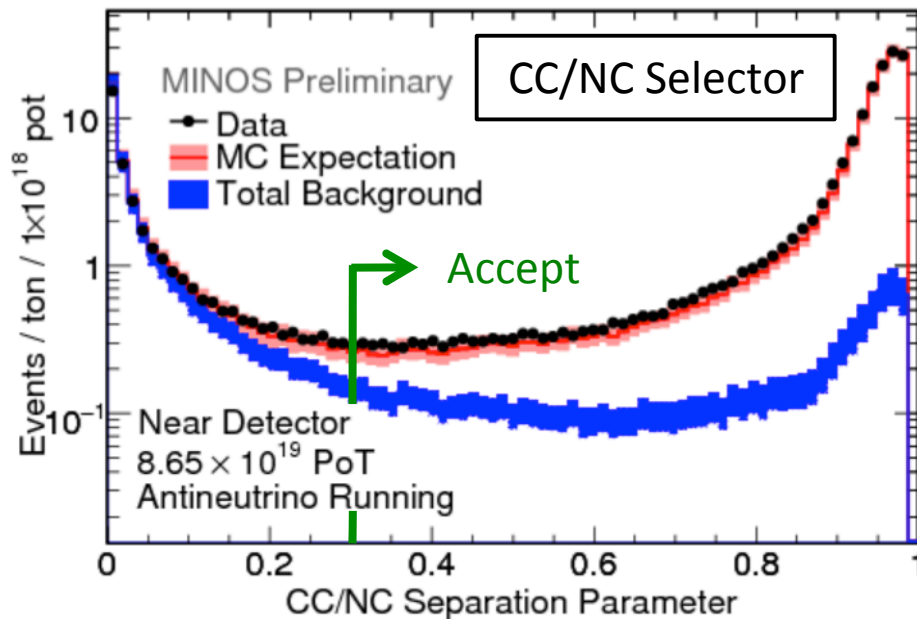
- CC/NC separation using a **kNN algorithm**
- 4-parameter comparison
 - Track length
 - Transverse energy profile
 - **Energy deposited per plane**
 - **Energy fluctuations along the track**

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Selecting CC Antineutrinos

- Use the **CC/NC Selector**
 - Removes NC and high-y CC interactions
- Accept only events with **positive reconstructed charge**



Fitting for Oscillations

- Fit performed by minimizing a binned -log likelihood

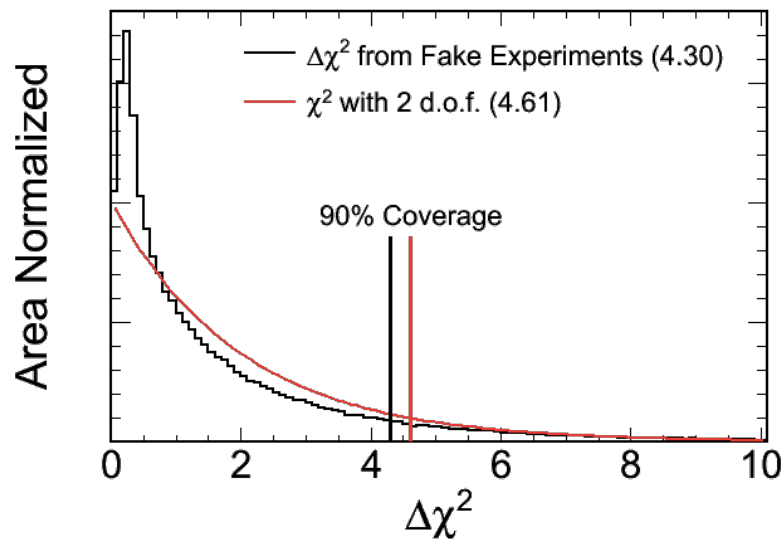
$$-2 \ln L(\vec{\alpha}) = 2 \sum_i \left[p_i(\vec{\alpha}) - d_i + d_i \ln \frac{d_i}{p_i(\vec{\alpha})} \right]$$

$$\vec{\alpha} = [\Delta \bar{m}_{\text{atm}}^2, \sin^2(2\bar{\theta}_{23})]$$

- For antineutrinos, a Feldman-Cousins approach is used
 - Many fake experiments used create empirical χ^2 distributions as a function of the parameters
 - Systematics included in the fake experiments

Feldman-Cousins

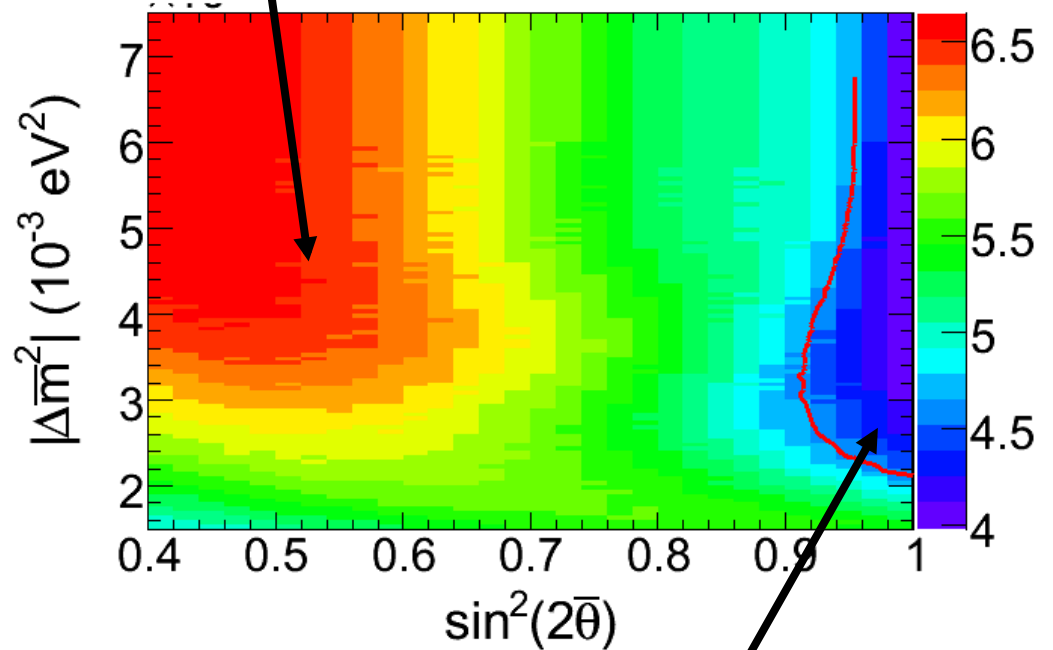
- Each point is the $\Delta\chi^2$ that encompasses 90% of fake experiments
 - A perfectly Gaussian surface would be 4.7 everywhere.



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Worse than Gaussian
Degeneracy with fast
oscillations

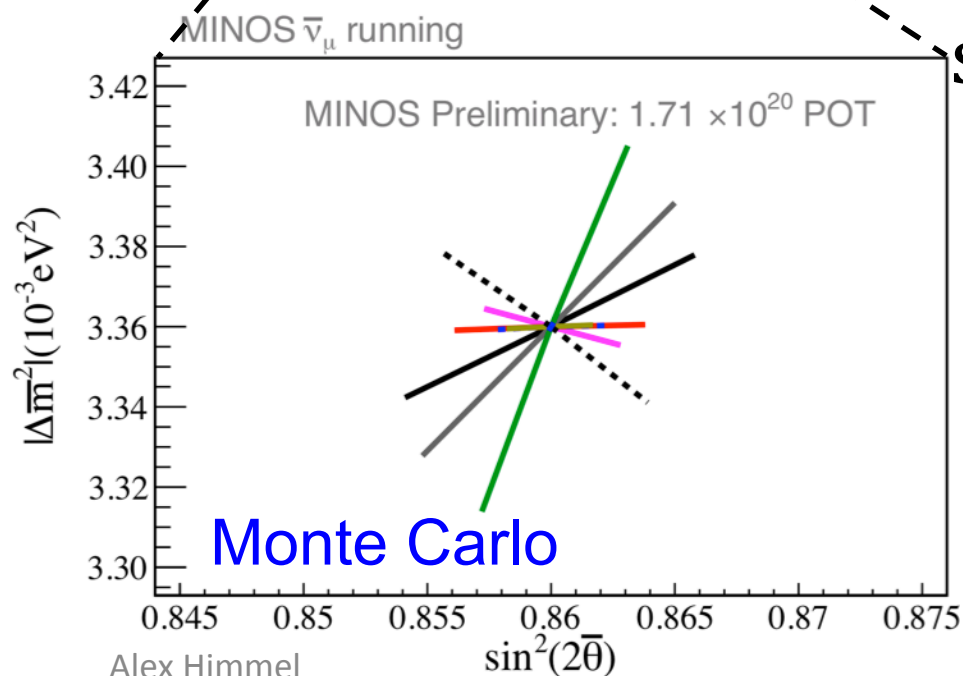
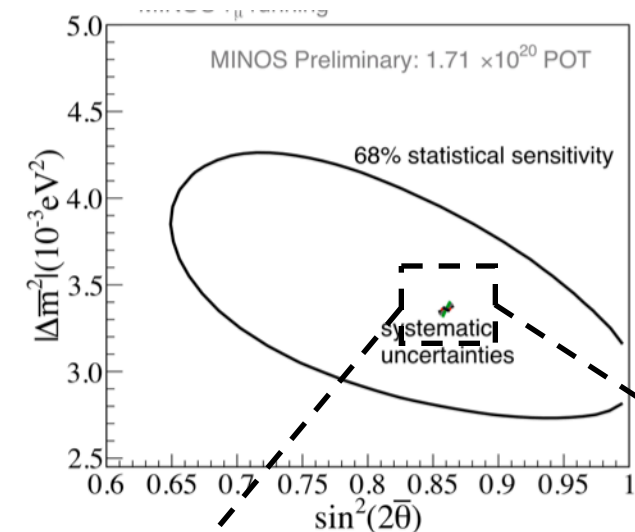
90% Feldman-Cousins Surface



Better than Gaussian
Physical boundary gives
extra information

Systematics

- Effect of uncertainties estimated by fitting systematically shifted MC
- Systematics are very small relative to the statistical uncertainty



- NC Background
- WS CC Background
- Track energy
- Relative normalisation
- Relative hadronic energy FD
- Relative hadronic energy ND
- Overall hadronic energy
- Beam
- Cross sections