

State of DUNE and Update to DUNE CD-2 Strategy

Mark Thomson LBNC Meeting CERN, 22nd June 2017













1. Collaboration Status & News



2. DUNE Collab. Status & News





The DUNE Collaboration

As of today:

60 % non-US

970 collaborators from 164 institutions in 30 nations

Armenia, Brazil, Bulgaria, Canada, CERN, Chile, China, Colombia, Czech Republic, Finland, France, Greece, India, Iran, Italy, Japan, Madagascar, Mexico, Netherlands, Peru, Poland, Romania, Russia, South Korea, Spain, Sweden, Switzerland, Turkey, UK, Ukraine, USA



DUNE is still growing – anticipate next growth spurt soon







International growth

- DUNE (4xFD & ND): aim for ~75 % non-DOE resource
- Continue to reaching out to international community, e.g.
 - Europe:
 - Ongoing discussions in Czech Republic, Spain, Italy and Germany
 - Latin Americas:
 - New institutes joined (Brazil, Columbia) + interest elsewhere (e.g. Mexico)
 - Brazilian (FAPESP) DUNE/SBN meeting June 1st 2017 over 100 attendees !
 - Paraguay in process of joining (UNA)
 - Asia:
 - Already have many collaborators from India but resources...
 - Annex 2 is critical (but there are positive indications)
 - Some involvement from China, Japan & South Korea, working to build on this

– Near Detector:

- New involvement in Near Det: workshops at FNAL in March & June 2017
- Participation from Italy, Germany, Russia
- Next ND workshop at CERN in November



1.1 Organization Updates

- Organization refreshes:
 - April: Co-Spokespersons, Executive Committee
 - September: Coordinators, Working Group conveners





Changes since last March LBNC

Executive Committee

- Elected: Co-spokes (Ed Blucher, Mark Thomson)
- Appointed: TC (Eric James) & RC (Ami Dave)
- Elected: IB chair (Bob Wilson)
- Elected: Kate Scholberg, Stefan Söldner-Rembold, Chang Kee Jung, Mary Bishai
- Appointed: Dario Autiero, Marta Losada

Near Detector Coordination

- Appointed: Kam-Biu Luk, Alfons Weber

Physics TDR Editors

- Appointed: Jon Urheim, Albert de Roeck
 - Get started as early as possible



DUNE Management Team

Current top-level management team



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DUNE Coordination Team

Very strong team in place – all working effectively



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Management Structure: Aug 2017

- Moving to modified DUNE organizational structure to implement our strategy for CD-2: FD WGs → Construction Consortia
 - in addition, removed a layer of management to clarify reporting lines



- Project management
 - Shift towards distributed project organization, coordinated by IPO
 - Deliverables managed by international contributors within consortia







Where are we now?

DUNE is in very good shape

- **CD-3A** in September was an important milestone
- \$50M for LBNF/DUNE in US FY17 budget & \$55M in FY18 PBR
- **ProtoDUNE-SP** is now in a strong position
 - We are in the construction phase !
 - Largely keeping to the schedule impressive work from many people
- Dual-Phase 3x1x1 m³ demonstrator
 - Has been ready for a while, but some issues with cryostat
 - First cosmic-ray track yesterday !
 - Ready move seamlessly into construction of protoDUNE-DP
- Overall, excellent progress
 - Now planning for construction phase



Impressive progress at CERN

- ProtoDUNE construction at CERN charging ahead
 - EHN1 at time of May collaboration meeting





Impressive progress at CERN

- ProtoDUNE construction at CERN charging ahead
 - EHN1 yesterday





Thank you!







Looking ahead: Strategic Goals

• DUNE is committed to delivering:

- Two large-scale engineering prototype detectors (protoDUNE-SP and protoDUNE-DP) operational at CERN in 2018
- DUNE TDR for the CD and LBNC Reviews in 2019
 - Technical Proposal in 2018
- 20-kt (fiducial mass) Far Detector ready for beam in 2026
 - Two 10-kt detector modules (not necessarily the same design)
- Full 40-kt (fiducial mass) Far Detector shortly afterwards
- Near detector system(s) operational in time for first beam
- The detailed implementation strategy for 2016 2019 described in dune-doc-1050 - being updated by EC



To meet our goals...

- Expected timeline for DUNE (and LBNF) reviews
 - Mid-2018: Technical Proposal for FD (+costs, responsibilities)
 - End-2018: Decision on (at least) first two FD modules
 - Jan/Feb 2019: RRB for to provide funding status
 - July 2019: LBNC review of TDRs
 Review of international DUNE construction project
 - Sept 2019: **RRB** to confirm **funding** status for construction validation of **international** funding model
 - October 2019: DOE CD-2 Review of LBNF (Far) and DUNE-US: far site and two far detector modules
 - August 2020: DOE CD-2 for near facilities and DUNE-US ND
- In just over two years
 - Need FD technical designs and understanding of funding model



2.1 DUNE FD Technical Proposal

Previously

- Considering a FD Preliminary Design Report in mid/late 2018:
 - Step towards the TDR
 - Intermediate milestone for the Far Detector Consortia

Thinking has evolved

- FD Technical Proposal(s) in mid-2018
 - Prompted by LBNC/RRB discussions
 - Step towards TDR first major task of consortia
 - · Detailed scope still to be defined
 - Overview of timeline, physics
 - Overview of major systems, maybe ~30 pages per system
 - Common infrastructure
 - Planned responsibilities & Cost
 - ×2 : Single-Phase and Dual-Phase



The CMS Collaboration







2.1 DUNE FD Technical Proposal

Previously

- Alle Sur Consortia agreed with LBNC Ware for FD consortia agreed with LBNC Details and time in the other of the

- ×2 : Single-Phase and Dual-Phase





CMS: ~300 pages











3. 2017 – a critical year for DUNE

Looking ahead

- FD Technical Proposal in 2018
- Two years to be ready for various reviews in 2019
- Much of the groundwork needs to be complete by end of 2017
- Major steps need to be taken in 2017:
 - Understand possible contributions to far detector construction
 - Decision on the near detector concept & some understanding the likely contributors
 - Preparations for TDRs must have started, TP is a good step
 - Need a better understanding of financial contributions from the different agencies
- Progress will have to be very rapid
 - Need to map out timeline with respect to LBNC and RRB



DUNE in 2017

- Major (non-protoDUNE) milestones in 2017
 - − Jan Launch Eol process for FD-SP ✓
 - March First ND Concept Study workshop
 - April Physics TDR editors appointed
 - May
 Task force reports finalized/reviewed
 - − May FD_SP consortia discussions at collab. mtg. ✓
 - June Second ND Concept Study workshop
 - June FD-DP consortia discussions: CERN 26/27 June
 - **June** FD "proto-consortia" defined
 - July Consortia leadership elected
 - Aug First formal meetings of FD construction consortia
 - Sept Rotation of coordinator/convener roles
 - Nov
 Consortia plans presented to RRB
 - Dec Far Detector TDR editors appointed
 - Decision-making process for first two FD modules agreed
 - Dec(ish) Concept for DUNE ND agreed (Jan collab mtg.)



Strategy and Implementation





4. Strategic view of protoDUNEs





4. Strategic View of protoDUNEs

Status of protoDUNEs

- Generally going very well
 - This is a major effort
 - The two largest LAr-TPC modules every constructed
- Plans (beyond 2018)
 - Formally communicated our plans to CERN management (RD, SPSC chair, RB chair):
 - Aim for test beam operation in 2018, before LHC LS2
 - Recognize the challenges/risks:
 - Construction schedule (but actually doing well here)
 - Cryostat/cryogenics
 - Beam
 - Wish to keep open the option of post-LS2 test beam
 - Regardless, wish to run with cosmics in 2019 and (ideally) 2020
 - Test long-term stability



4.1 Role of protoDUNEs

Large-scale prototyping/calibration

- Production (delivery of the detector components to CERN):
 - stress testing of the production and quality assurance processes of detector components
 - mitigate the associated risks for the far detector.
- Installation:
 - test of the interfaces between the detector elements
 - mitigate the associated risks for the far detector.
- Operation (cosmic-ray data):
 - **validation** of the detector designs and performance
- Test beam (data analysis):
 - essential measurements of physics response of detector
 - not necessary for the finalization of the FD design



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Risk mitigation and understanding of costs for CD-2

Detector validation for LBNC & CD-2

Physics calibration for oscillation analyses



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5. Far Detector Strategy





Far Detector Staging Strategy

- Four chambers hosting four independent 10-kt FD modules
 - Flexibility for staging & evolution of LAr-TPC technology design
 - Assume four identical cryostats: 15.1 (W) x 14.0 (H) x 62 (L) m³
 - Assume the four 10-kt modules will be similar but not identical





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 - Assume the four 10-kt modules will be similar but not identical
- Two LAr readout technologies on the table
 - Single-Phase (Ionization read out in the Liquid Ar)
 - Demonstrated by ICARUS & MicroBooNE
 - Dual-Phase (Ionization amplified and read out in Gas Ar)
 - Pioneered by WA105 (protoDUNE-DP)
- Soon need to agree a concrete plan for the first two far detector modules
 - With a funding model agreed by the FAs
 - Major decision for the collaboration



Planning Strategy and Decisions

Need Resource matrix for (at least) first two FD modules by 2019

Planning Strategy is to keep options open:

- Could be two modules of same type
- Could be 1 + 1 (plan for first SP, second DP)
- Identify full scope (4 FD modules) as early as possible

b Decision on (at least) first two FD modules at end 2018

- Decision process will be defined in 2017, non-trivial parameter space:
 - Detector performance, Cost, Risk, Opportunity
 - Resources and interest from collaboration



Updated FD Planning Strategy

- Discussed in EC converged
- Assumes success of both protoDUNE detectors
 - Success is defined in dune-doc-2765
- At this stage wish to keep options open
- For planning purposes:
 - "we are assuming that the first far detector module will be single-phase and the second will be dual-phase"
 - "This planning strategy is not intended to prejudice the actual technology decision in late 2018/early 2019, which will be based on the full knowledge at that time and the availability of funding."
 - i.e. plan so that all options can be on the table



Motivation:

- By 2019, need to understand contributions to first two FD modules & funding
- To succeed, have to start this process now
- Strategy:
 - Build collaboration detector activities around "consortia of institutions" responsible for detector sub-systems
 - August 2017: will replace existing FD WG organization with sub-detector consortia
 - Evolution towards LHC GPD organization structure
 - Use the consortia to facilitate the process whereby institutions take on responsibility for concrete tasks
 - Funding Agency engagement is essential



Consortia operate within the DUNE collaboration

- Each consortium is self-organizing, working within collaboration rules:
 - Elected Consortium Leader (faculty scientist or equivalent)
 - Select a Technical Lead acts as project manager
 - Consortium Board with a representative from each institution
 - Internal Project Management Board (PMB) with representatives from each contributing national project
 - ...



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Consortia come together under a technical board •



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5.2 Boot-strapping

Consortium Membership

- Define consortium membership by end of June
 - Will mark end of "Eol" process
 - Process organized by Technical Coordinator
 - Phone meetings to identify list of deliverables (hardware, scientific support)
 - IB representatives then contact TC to formally join initial consortium, including a description of possible role and potential funding source(s)
 - Also possible for institutions to join consortia at a later date

Consortium Leader

- These are important positions, e.g. they will steer the Tech. Proposal
- Election of Consortium Leader in early July
 - Process will be steered by DUNE Co-spokespersons
 - Consortium Board members nominate candidates for CL to Co-spokes
 - Co-spokes will talk to potential candidates
 - EC recommends a slate of candidates for election
 - CB representatives vote (1 vote per institution)



5.3 Consortia Status

Single-Phase Consortia

- First meetings at May collaboration meeting
 - Socialize concept and discuss consortium-specific issues
 - Discuss process of forming consortia and electing leadership
- Second and Third meetings in June
 - Discuss and define responsibilities and deliverables (and interfaces)
- Overall progressing extremely well
 - Strong engagement and interest
 - FD discussions start to feel very real
 - Ready to launch call for initial consortia membership next week

Dual-Phase Consortia

- Aim to converge in parallel with single-phase with a similar model
- Process is lagging somewhat
- Next week face-to-face meetings at CERN to advance discussion



6. Near Detector Strategy





Near Detector Concept Study

CD-1-R Conceptual Design

- NOMAD-inspired Fine-Grained Tracker (FGT)
- Developed in the context of LBNE as a U.S. India collaboration
- Generally felt to be a good concept (and could be the right one)
- But not the only option, and funding model is very unclear...

Moving to a DUNE Near Detector Concept

- A number of Options: FGT, HP-TPC, LAr-TPC or a hybrid system
- Before formation of ND-consortium/consortia need to converge on an agreed DUNE collaboration concept for the ND
 - Will depend on interests of collaborators and funding opportunities
 - Major opportunity to bring in new collaborators/nations/resources
- Aim to agree the DUNE ND concept by end of 2017
- New "Near Detector Concept Study" to provide the focus to this process is up and running



Near Detector Concept Study

• Charge:

 Develop a proposal for a DUNE collaboration near detector concept by the end of 2017.

• Study should:

- Ensure that the proposed near detector concept meets the requirements of the primary scientific goals of DUNE.
- Assume a single near detector hall of a similar to the CD-1-R design, located at a distance of between 360 m and 575 m from the target.
- Present a plausible funding model for the proposed concept, based on the interests and likely contributions to the detector construction from the international collaboration
- Focus solely on the design of the Near Detector; the scope of the study does not extend to the design of the LBNF near site facility



Near Detector Timeline

Timeline

- Jan 2017: 1-day workshop to start discussions
- Feb 2017: Eol-light for interests in ND
 - Form broad "DUNE ND Concept Study Team"
- Mar 2017: 3-day DUNE ND Workshop 27th-29th March at FNAL
 - "new" participation: Germany, Italy, Russia, ...
- May 2017: agree on 2 [or 3] options to pursue
- Jun 2017: 2-day DUNE ND Workshop I to review and document pros/cons of each option and assumed funding model
- Aug 2017: presentation of options at collab. mtg, possible down select
- Nov 2017: 2-day DUNE ND Workshop at CERN
- Jan 2018: concept agreed by collaboration + Eol process
- Early 2019: ND CDR (which could be an updated FGT CDR)
- Early 2020: ND TDR for review in August





Conventional Facilities

- Needless to say... CF are a major cost driver in any large-scale construction project
 - At last ND workshop, built into the discussions
 - Aim to keep process on the real axis
- DUNE management asked LBNF for cost estimates of a number of potential options:
 - dune-doc-3866 : alternative ND hall sizes
 - dune-doc-3914 : alternative location
- LBNF provided estimates of increase in LBNF DOE TPC
 - Includes escalation and contingency



Conventional Facilities (ND Hall)









Conventional Facilities (ND Hall)



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ND Status

Agreed direction

- Non-magnetized LAr-TPC & magnetized "Multi-purpose tracker"
- Likely to require ~50% larger ND hall
- Remain at current location (575m), unless LBNF believes there are cost benefits for moving closer
- Movable "DUNE-Prism" option not favored
- Issues
 - Magnet (and who pays): Solenoid vs. Dipole
 - Costs of conventional facilities
 - Cryogenics and cryostat for LAr-TPC
 - Helium deliver for SC solenoid
 - Increased size of access shaft for SC solenoid/cryostat
 - Resource matrix...





7. Recommendations and Major Milestones





7.1 LBNC Recommendations

- DUNE is tracking LBNC recommendations
 - Moved to a more transparent procedure (google doc + docdb)
- Current status of LBNC recommendations:
 - 97 closed
 - 11 in progress

Open recommendations:

- 3 management
- 2 protoDUNE-SP
- 2 protoDUNE-DP
- 1 physics
- 1 cold electronics
- 2 LBNF (cryogenics and engineering for optimised beam)

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"Management" Recommendations

Open management recommendations:

- "Work with the LBNC to better define the deliverables needed for a full review of the TDR and the detector sub-system proposals in a meaningful way to meet the needs of the RRB and the INC"
 - Discussions are on-going. From DUNE side we have developed an extended list of key milestones in the process. High-level path through LBNC, RRB for TDR and Technical Proposal have been defined.
- "It would be useful for DUNE and LBNF to develop a 6-month look ahead mechanism to anticipate important developments or activities that would benefit from interaction with the LBNC."
 - Being developed. Six-month look head will appear in plenary talks.
 Developing an overall milestone list.
- "DUNE should develop and document a process for evaluating and providing feedback to consortium proposals"
 - After formation of the consortia is complete (July 2017), evaluation of proposed contributions from each institution will be the responsibility of the consortia leadership, in consultation with DUNE management..





7.2 Six-month look ahead (management)

- Moving to more systematic tracking of high-level milestones
 - Work in progress...

Target date	Milestone	Type 🔻	Tier 🔻	Original date
Jun-17	Launch of expressions of interest in DUNE FD-DP	FD	2	May-17
Jun-17	Review of TF reports	TF	2	Jun-17
Jun-17	Initial membership of consortia finalized	FD	2	Jun-17
Jun-17	ND Concept Study workshop	ND	2	Jul-17
Jun-17	LBNC Meeting	LBNC	4	Jun-17
Jul-18	Consortium leaders in place	Management	2	Jul-17
Aug-17	Update of strategy document	Management	2	May-17
Aug-17	Initial consortia for the construction of the far detector systems in place	FD	1	Aug-17
Aug-17	First formal meetings of FD consortia	FD	1	Aug-17
Aug-17	Eighth DUNE Collaboration Meeting (FNAL)	Meeting	3	Sep-17
Sep-17	Rotation of Leadership of WGs etc.	Management	3	Sep-17
Oct-17	LBNC Meeting	LBNC	4	Oct-17
Nov-17	ND Concept Study workshop (CERN)	ND	2	Nov-17
Nov-17	Presentation of consortia plans at RRB	RRB	1	Nov-17
Nov-17	RRB Meeting	RRB	4	Nov-17
Dec-17	All components of protoDUNE-SP at CERN	PD-SP	2	Dec-17
Dec-17	All components of protoDUNE-DP at CERN	PD-DP	2	Dec-17
Dec-17	FD TDR editors appointed	TDR	2	Dec-17
Dec-17	Definition of the decision-making process for the first two FD modules	FD	2	Dec-17









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Summary

★ DUNE is going ahead

- CD-3a & US FY17/FY18 funding are major steps
- International commitments come next

★ DUNE has a strong and focused team

• There are many challenges, but we are working effectively together to realize our goal

★ Great progress in the last year

★2017 is an incredibly important year for DUNE

• Same will be true for 2018, 2019, ...





Thank you. Questions?



